

Software Group | Enterprise Networking and Transformation Solutions (ENTS)

# Configuration for z/OS IPSec and IP Packet Filtering (Part 1 of 2)

# SHARE Session 3914

Lin Overby - overbylh@us.ibm.com Allen Bailey - eabailey@us.ibm.com

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# z/OS Communications Server IP security agenda



- Part 1 Session 3914
  Introduction to IP security on z/OS
  - ► IP filtering
  - ► IPSec
- Part 2 Session 3907
  - Configuring and enabling IP Security
  - ► IP security displays and controls



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# z/OS Communications Server IP security

# Introduction

# Protocol stack view of TCP/IP security functions





# z/OS IP security support



- Prior to z/OS V1R7, IP security packaged with Firewall Technologies
  - ► TCP/IP IPSec and IP filtering support
    - -Communications Server
  - ► IKE daemon and configuration
    - -Integrated Security Services
- In z/OS V1R7, complete IPSec, IP filtering, and IKE solution part of z/OS Communications Server
  - ► Alternative to Firewall Technologies
    - -New IKE daemon and configuration
  - ► Services
    - -IP filtering
    - -Manual IPSec
    - -Dynamic IPSec (IKE)
    - Filter directed logging to syslogd

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# **Firewall Technologies**

Starting in z/OS V1R8, Firewall Technologies is no longer available

## Announced Feb 15th, 2005

- z/OS V1.7 is the last z/OS release to include the Firewall Technologies component of the Integrated Security Services element.
- Many Firewall Technologies functions have been stabilized for some time and can be replaced using comparable or better functions provided by or planned for Communications Server, notably,
  - ►IPSec
  - ► IP packet filtering
  - In addition, a functionally rich downloadable tool is planned to replace the IPSecurity and IP Filtering configuration GUI support.
- The following functions will be removed without replacement:
  - ► FTP Proxy services
  - ► Socks V4 services
  - Network Address Translation (NAT)
  - ► RealAudio support



# z/OS Communications Server IP security features

## Configuration support

- Optimized for z/OS host-to-host and z/OS host-to-gateway (z/OS gateway still supported)
- IPSec NAT Traversal support
  - IP address translation
  - Port translation (V1R8)
- IPv4 and IPv6 support (IPv6 in V1R8)

## Simplified infrastructure

- Eliminates need for FW Technologies daemons
- Policy agent reads and manages IPSec and IKE policy

## Simplified configuration

- ► New configuration GUI for both new and expert users
- Direct file edit into local configuration file
- Reduced definition, more "wildcarding"

## Improved serviceability

- Improved messages and traces
- Default filters part of TCP profile
  - More granular control before policy is loaded

## Administrative controls

- ► pasearch
- ► ipsec command
- Cryptographic algorithms (\*\* uses cryptographic hardware if available)
  - ► DES(\*\*) and 3DES(\*\*) encryption
  - ► HMAC-SHA (\*\*) and HMAC-MD5 authentication
  - ► AES (\*\*) encryption (V1R8)



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# z/OS Communications Server IP security Infrastructure overview



- TCP/IP stack
  - IPSec and IP filtering
- IKE daemon
  - Negotiates security associations
- Policy agent
  - Reads and manages IPSec and IKE policy
- Network Security Configuration Assistant
  - Creates policy definitions

- ipsec command
  - Displays and controls IP filtering, IPSec, and IKE
- trmd
  - Monitors TCP/IP stacks for log messages
- syslogd
  - write log messages to syslogd destinations

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# z/OS Communications Server IP security

# **IP** filtering

# **Basics of IP filtering**

## Packet filtering at IP Layer

- Filter rules defined to match on inbound and outbound packets based on:
  - -packet information
  - network attributes
  - -time
- ► Used to control
  - traffic being routed
  - access at destination host
- ► Possible actions
  - -1. Permit
  - -2. Deny
  - 3a. Permit with manual IPSec
  - 3b. Permit with dynamic IPSec
  - Log (in combination with others)



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# IP filtering processing overview

#### 1. Inbound or outbound IP packet arrives

### 2. Consult filter rules in a Security Policy Database (SPD)

Rules have conditions and actions

#### 3. Apply action of matching rule to packet

- ► Deny
- ► Permit
- Permit with additional processing applied



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# IP Filtering Concepts Filter Matching

- 1. Filters are searched in the order they were configured
- 2. Each rule is inspected, from top to bottom, for a match
- 3. If a match is found, the search ends and the action is performed



## IP security - filter policies

- IP security's Security Policy Database (SPD)
  - 1. Default IP filter policy
    - Intended to allow limited access while IP security filter policy is being loaded
      - Can be reverted to in an "attack" situation
    - Defined in the TCP/IP profile
      - Default is to deny all traffic
    - Provides basic filtering function
      - Permit rules only
      - No VPN support
  - 2. IP security filter policy
    - Intended to be the primary source of filter rules
    - Defined in a Policy Agent IPSec configuration file
      - Policy can be generated by the Configuration Assistant for z/OS GUI
- Implicit filter rules
  - Always present, not user-defined
    - Deny all inbound traffic
    - Deny all outbound traffic
  - Appended to Default IP filter policy by the TCP /IP stack
  - Appended to IP Security filter policy by Pagent
  - If neither policies are defined, the implicit rules become the default policy (deny all)





# A little more detail on the default filter policy

- Provides initial protection of the stack during initialization
   Used until IP security filter policy is loaded
- Generally restrictive; these user-defined rules should include
  - ► Traffic needed for services
    - Examples
      - Omproute
        - OSPF traffic
        - IGMP traffic
      - DNS queries UDP traffic with a destination port of 53
  - ► Traffic needed to fix problems with IP security filter policy
    - Examples
      - FTP traffic from the workstation running the Configuration Assistant for z/OS GUI
      - Telnet traffic from the Network Administrator's workstation

# IP filter policy on z/OS - overview



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# Filtering conditions

| Criteria               | Description  |
|------------------------|--|
| From packet            |  |
| Source address         | Source IP address in IP header of packet   |
| Destination address    | Destination IP address in IP header of packet  |
| Protocol               | Protocol in the IP header of packet (TCP, UDP, OSPF, etc.)   |
| Source port            | For TCP and UDP, the source port in the transport header of packet   |
| Destination port       | For TCP and UDP, the destination port in the transport header of packet  |
| ICMP type and code     | For ICMP, type and code in the ICMP header of packet   |
| OSPF type              | For OSPF, type located in the OSPF header of packet  |
| Network attributes     |  |
| Direction              | Direction of packet.   |
| Routing                | Packet is local if source or destination IP address exists on local host, otherwise it is routed   |
| Link security class    | A virtual class that allow you to group interfaces with similar<br>security requirements. Non-VIPA addresses can be assigned a<br>security class. Packets inherit the security class of the interface<br>over which packet is sent/received. |
| Time condition         |  |
| Time, Day, Week, Month | Indicates when filter rule is active   |



# Interface security class (SECCLASS)

- Can be assigned only to non-virtual interfaces
- Defined in the TCP/IP profile
  - ► LINK statement (SECCLASS parameter)
  - IPCONFIG DYNAMICXCF statement (SECCLASS parameter)
- Value 1 to 255 (default is 255)
  - ► Value is just a classification identifier, it has no inherent meaning
    - -Can be referred to in the filter rules
- Packets inherit the security class of the interface they traverse
- A more flexible and expandable mechanism than the traditional firewall's "secure" vs. "non-secure" interface types





## Allowed IP filter conditions -

Differences between the default and IP security filter policy definitions

| Criteria        | Default IP Filter<br>Policy  | IP Security Filter<br>Policy  |
|-----------------|------------------------------|---|
| IP addresses    | Single/Subnet                | Single/ <i>Range</i> /Subnet  |
| Protocol        | Single/All                   | Single/All  |
| Ports           | Single/All for UDP and TCP   | Single/ <i>Range</i> /All for UDP<br>and TCP                                |
| Туре            | Single/All for ICMP and OSPF | Single/All for ICMP for OSPF  |
| Code            | Single/All for ICMP          | Single/All for ICMP   |
| Direction       | Bidirectional                | Inbound/Outbound/<br>Bidirectional<br>(1)InboundConnect/<br>OutboundConnect |
| Routing         | Local                        | Local/ <b>Routed/Either</b>   |
| Security Class  | Single/Any                   | Single/Any  |
| Time Conditions | Not Applicable               | Time Specification  |

**Note:** 1) Optional conditions specified with bidirectional that control who initiates TCP connections

*Text:* highlights difference between the two policies

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# Allowed IP filter actions -

Differences between the default and IP security filter policy definitions

| Default IP Filter Policy | IP Security Filter<br>Policy |
|--------------------------|------------------------------|
| ✓ Permit                 | ✓ Permit                     |
|                          | ✓ Deny                       |
|                          | IPSec (both manual and       |
|                          | dynamic)                     |

- Both policies allow filter logging to be enabled/disabled
- IP Security filter policies using an action of IPSec:
  - ► Must be bidirectional
  - ► Can only specify a security class of 0
    - Indicates the rule applies to all interfaces
  - Require the definition of additional policy actions
    - Manual VPN actions
    - Dynamic VPN actions
  - Packets matching an SPD rule with an IPSec action are modified to provide authentication and/or data encryption

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# z/OS Communications Server IP security

# IPSec

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# **IPSec protocol overview**



- Open network layer security protocol defined by IETF
- Provides authentication, integrity, and data privacy
  - ► IPSec security protocols
    - -Authentication Header (AH) provides authentication / integrity
    - Encapsulating Security Protocol (ESP) provides data privacy with optional authentication/integrity
- Implemented at IP layer
  - ► Requires no application changes
  - Secures traffic between any two IP resources
    - Security Associations (SA)
- Management of crypto keys and security associations can be
  - ► manual
  - ► automated via key management protocol (IKE)



# Virtual Private Network (VPN) overview

- Virtual Private Network
  - Logical network of connected nodes that communicate over unsecure networks using a secure channel



- A secure channel is commonly called a tunnel and uses authentication and/or encryption
  - ► A secure channel provides point to point security
- VPNs are built using IPSec security protocols

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# **IPSec security associations**



- IPSec Security Association (SA) defines security services for a defined traffic type
  - Unidirectional logical connection between 2 IPSec hosts
  - Used in pairs for bidirectional traffic
- SA scope of protection can vary
  - ► Wide Traffic protection for multiple connections e.g. Protect all traffic between 2 hosts
  - ► Narrow Traffic protection for a single connection
- SA endpoints can vary
  - Entire data path can be secured with IPSec
    - Security and connection endpoints are the same Transport mode
  - Portion of data path considered "untrusted" can be secured with IPSec
    - Security and connection endpoints are different Tunnel mode



# IPSec Scenarios z/OS as Host

# Host-to-Host: End-to-End Security Association



Host-to-gateway: Protect segment of data path



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# IPSec Scenarios z/OS as Gateway

Gateway-to-Gateway: Protection over Untrusted Network Segment



Gateway-to-Host: Protection over Untrusted Network Segment



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# **IPSec Authentication Header (AH) protocol**



- If transport mode then "Payload" contains the original transport header and original data
- If tunnel mode then "Payload" contains the original IP header, original transport header, and original data

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# IPSec Encapsulating Security Payload (ESP) protocol



- If transport mode then "Payload" contains the original transport header and original data (possibly encrypted)
- If tunnel mode then "Payload" contains original IP header, original transport header, and original data
  - ► "Payload" can be encrypted

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# **Encapsulation mode**

## Indicates how to construct an IPSec packet

### Two modes

- ► Transport mode
  - Inserts AH and/or ESP headers between original IP header and protected data
- ► Tunnel mode
  - Creates a new IP header with an AH and/or ESP header
  - -AH/ESP header followed by original IP header and protected data

## If one or both security endpoints are acting as a gateway

► Tunnel mode must be selected

## If neither security endpoint is acting as a gateway

- Tunnel or transport may be selected
- Usually transport mode is used in this case
  - No need for extra cost of adding a new IP header in this case

## The counterpart to encapsulation is decapsulation

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# Creating an IPSec packet using transport mode



Transport mode is typically used between two hosts that establish an IPSec SA end-to-end between them

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# Creating an IPSec packet using tunnel mode





Tunnel mode is used if at least one of the two IPSec SA end-points is a gateway.

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# Security endpoints

## The endpoints of an IPSec security association

► Where IPSec protection is applied

## Endpoint roles

► Host

- -Local data endpoint and IPSec security endpoint (SA) are the same IP address
- ► Gateway
  - -Local data endpoint and IPSec security endpoint (SA) are different IP addresses





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# Encapsulation mode rules

## Must use tunnel mode:



## Predecap filtering

- IPSec protected traffic arrives as an AH or ESP packet (UDP-encapsulated ESP packets are interpreted as ESP packets; see charts on UDP-encapsulation)
- The stack can optionally perform filtering on AH/ESP packets before decapsulation
  - Known as predecap filtering
  - Prevents decapsulation of AH/ESP traffic from unacceptable sources
- The AH/ESP packet is then decapsulated revealing the original packet
  - ► Filtering is always performed on the decapsulated packet





# Security associations (SAs)

## Endpoints must agree on how to protect traffic

- ► Security protocol
  - AH
  - -ESP
- Algorithms to be used by the security protocols
  - Encryption Algorithm
    - DES, Triple DES, AES, Null
  - Authentication Algorithm
    - HMAC\_MD5 or HMAC\_SHA
- ► Cryptographic keys
- ► Encapsulation mode
  - -tunnel
  - -transport
- ► Lifetime/lifesize (for dynamic SAs)
- This agreement is known as a "security association"



# More about IPSec security associations (SAs)

## Used to protect IP traffic

## Unidirectional

- Need one for inbound and another for outbound each IPSec secure channel endpoint consists of two SAs
  - -Generally symmetrical with regards to algorithms used
  - Cryptographic keys will be different
- A pair of matching SAs are, on z/OS, referred to by a "Tunnel ID" in a sense identifying the secure channel

## An SA is identified by:

- ► A Security Parameter Index (SPI)
  - The SPI is a 32 bit value
  - -SPI numbers in themselves may not be unique on a given IPSec node
  - The SPI is carried in the IPSec headers
- ► IPSec protocol
- Destination IP address information

## Manually defined SAs

Statically defined in the SPD (Pagent IPSec config file)

## Dynamically defined SAs

- ► Negotiated using the Internet Key Exchange protocol
- ► Acceptable values (policy) defined in the SPD (Pagent IPSec config file)

## Security Association Database (SAD)

► The collection of all SAs known to the stack

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# **IPSec security association example**



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# Manually defined SAs

## Not commonly used

- ► Do not provide a scalable solution
- ► In the long run difficult to manage

## Defined in a Pagent IPSec configuration file

- ► Utilized by filter rules with an action of ipsec
- ► SA is defined by a manual VPN action
  - -Can be generated by the Configuration Assistant for z/OS GUI

## Use ipsec command activate/deactivate manual SAs

► Can also be automatically activated when policy is installed

## Definition of SA attributes require mutual agreement between tunnel endpoint administrators

- Cryptographic keys and IPSec Security Protocol parameters must be mutually agreed to between tunnel endpoint administrators
- ► Need to decide how to safely exchange keys (physical mail/courier service)
- Need to decide how to refresh keys
  - -Manual SAs must be deactivated and activated when refreshing keys
  - -Refreshing keys must be coordinated with the remote tunnel endpoint's administrator
- Remote endpoint may need to reactivate a manual SA if you locally deactivate the SA and then locally activate the SA.



# **IPSec** manual SAs overview

- Define IP filter conditions here (which packets using manual tunnels for encryption)
- Define all encryption info between 2 data endpoints here (Ciphersuite, spi, keys, method (AH/ESP), Mode (Tunnel/Transport), gateways to use, etc.



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# Dynamically defined SAs

## Currently state of the art

- ► Scalable
- ► Automatic, non-disruptive refresh of SAs and session keys

## Initially requires more configuration than a manual SA

- ► In the long run easier to manage
  - Set and forget it

## Dynamic SAs are negotiated by the IKE daemon

- Dynamic IPSec policy defined in a Pagent IPSec configuration file
  - ► Can be generated by the Configuration Assistant for z/OS GUI
  - ► Dynamic VPN action identifies "acceptable" SA attributes
    - Utilized by filter rules with an action of IPSEC

## Authentication methods

- ► Pre-shared key
  - Each host needs to be keyed with key of each potential IKE partner
    - This key is not directly used to encrypt data.
  - Often used during the initial stages of dynamic SA deployment
- ► RSA signature (most scalable)
  - Uses x.509 certificates for host-based authentication
    - Each host needs only its own host-based certificate and the certificate of the trusted Certificate Authority for the IKE peer
  - Often used during the initial stages of dynamic SA deployment

# The IKE Daemon

- The IKE deamon implements the Internet Key Exchange protocol
  - ► Defined in RFC 2409
  - A two phase approach to negotiating dynamic IPSec SAs

### The IKE daemon obtains its policy from Pagent

- Policy information for negotiating IPSec SAs
  - Dynamic VPN actions
- Policy for creating a secure channel used to negotiate IPSec SAs
  - -Key Exchange Policy
- Policy for ipsec command activation and autoactivation
  - -Local Dynamic VPN Policy

#### Utilizes UDP ports 500 and 4500 to communicate with remote security endpoints

- ► Negotiating SAs
- Sending informational messages

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# Two phases of IKE negotiations

- Phase 1
  - Creates a secure channel with a remote security endpoint
    - Negotiates an IKE SA
      - Generates cryptographic keys that will be used to protect Phase 2 negotiations and Informational exchanges
      - Authenticates the identity of the parties involved
  - ► Done infrequently
- Phase 2
  - Negotiates an IPSec SA with a remote security endpoint
    - Generates cryptographic keys that are used to protect data
      - Authentication keys for use with AH
      - Authentication and/or encryption keys for use with ESP
  - ► Performed under the protection of an IKE SA
  - Done more frequently than phase 1



# **IKE Phase 1 SAs**

- Used to protect Phase 2 negotiations
- Bidirectional
- Endpoints must agree on
  - ► Encryption algorithm
    - AES / Triple DES / DES
  - ► Hash Algorithm
    - MD5 / SHA1
  - ► Authentication Method
    - Preshared Key
    - -RSA Signature
  - ► Diffie-Hellman Group
  - ► Lifetime/Lifesize
- Policy definition is based on identities exchanged during phase 1
  - ► Key Exchange Policy
    - A set of filter rules for IKE



# More info about Phase 1 SAs

- There are two different phase 1 exchange modes. Both exchange the same information, but one utilizes fewer messages.
  - ► Main Mode
    - All IPSec implementations must support Main Mode. Main mode utilizes 6 messages. The last two messages contain identity information and are encrypted. This provides identity protection.
  - ► Aggressive Mode
    - Some IPSec implementations do not support Aggressive mode. Aggressive mode utilizes 3 messages. No messages are encrypted.
- Identity information is used to locate policy.
  - Phase 1 identity types supported include:
    - An IPv4 address (this identity type should not be used when behind a NAT)
    - RFC 822 name (i.e. email address)
    - Fully qualified Domain Name (FQDN)
    - x500 Distinguished Name (DN)
- Diffie-Hellman is an algorithm that allows IKE to produce cryptographic keying material. Diffie-Hellman groups are defined in RFC 2409 (IKE).
  - ► Original options are groups 1 and 2.
  - ► Group 2 provides better security characteristics, but is also requires more computational power.
  - ► Groups 5 and 14 are new for use with AES.



# Perfect forward secrecy (PFS)

- Perfect Forward Secrecy
  - Refers to the notion that the compromise of a single key will only permit access to data protected by that key
    - Compromise of the keys negotiated in phase 1 will not compromise keys generated in phase 2
    - Compromise of the keys negotiated in phase 2 will not compromise future phase 2 keys or previously generated phase 2 keys
- PFS is optional
  - Accomplished by performing an optional Diffie-Hellman exchange during phase 2
    - The Diffie-Hellman exchange during Phase 1 SA is not optional
- Factors to consider
  - ► Frequency that IKE SAs are refreshed (Phase 1)
  - ► Frequency that IPSec SAs are refreshed (Phase 2)
  - ►Key size



# Dynamic SA activation methods

- On-demand activation
  - Activation attempted when the stack receives an outbound packet requiring the protection of a new dynamic tunnel
- Remote activation
  - ► A remote security endpoint initiates the negotiation of a new SA
- Command activation
  - ► ipsec -y activate command
    - Requires definition of local dynamic VPN policy:
- Autoactivated
  - Activation attempted when a stack connects to IKED or when IP Security filter policy is reloaded
    - Requires definition of local dynamic VPN policy:

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# IP Security dynamic SAs overview



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# **IPSec and NAT traversal**

# The IPSec NAT Traversal Problem

- Network Address Translation (NAT) alters addressing information in packet
  - ► IP addresses in IP headers
  - Addresses in data payload for some protocols
- Some NATs do port translation (NAPT)
  - ► IP addresses in IP headers
  - ► Ports in TCP and UDP headers
  - Addresses and ports in data payload for some protocols
- IPSec and NAT / NAPT historically have not been compatible
  - ► IPSec SA could not traverse NAT/NAPT device
  - ► Forced configuration where multiple SAs required to make end-to-end connection
    - Cascaded SAs





# The IPSec NAT Traversal Solution

- New IETF RFCs address this incompatibility for NAT / NAPT alterations in IP and transport headers
  - ► RFC 3947 and 3948
  - ► Does not address translation of addresses in data payload
    - Application protocol specific solution required (e.g. FTP EPSV support which eliminates use of addresses in data payload)
  - ► ESP only
    - AH not allowed
- z/OS NAT traversal support
  - ► z/OS Host-to-host
    - -transport or tunnel mode
  - ► z/OS Host-to-gateway <
    - -tunnel mode
  - ► No z/OS gateway support
  - ► NAT
    - -supported in V1R7
  - ► NAPT
    - supported in V1R8





## NAT traversal - UDP encapsulation

- Additional encapsulation modes used when a NAT is traversed
  - UDP-encapsulated transport
  - ► UDP-encapsulated tunnel
- Only valid with ESP packets
  - Normal transport/tunnel mode encapsulation performed
  - Inserts an additional UDP header in front of the ESP header
- Allows ESP packets to traverse a NAT
- On z/OS the decision to use UDP-encapsulation is made by the IKE daemon if a NAT is detected
- NAT traversal support can be enabled or disabled in IP Security policy



# NAT traversal - UDP-encapsulated packets

Below shows the format of a UDP-encapsulated transport mode packet



Below shows the format of a UDP-encapsulated tunnel mode packet



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# z/OS Communications Server IP security agenda

- - ► IP filtering
  - ► IPSec
- Part 2 Session 3907
  - Configuring and enabling IP Security
  - ► IP security displays and controls



# For More Information....

| UR_   | Content  |
|---|--|
| http://www.ibm.com/servers/eserver/zseries                            | IBM eServer zSeries Mainframe<br>Servers   |
| http://www.ibm.com/servers/eserver/zseries/networking                 | Networking: IBM zSeries Servers  |
| http://www.ibm.com/servers/eserver/zseries/networking/technology.html | IBM Enterprise Servers:<br>Networking Technologies   |
| http://www.ibm.com/software/network/commserver                        | Communications Server product overview   |
| http://www.ibm.com/software/network/commserver/zos/                   | z/OS Communications Server   |
| http://www.ibm.com/software/network/commserver/z_lin/                 | Communications Server for Linux<br>on zSeries  |
| http://www.ibm.com/software/network/ccl                               | Communication Controller for<br>Linux on zSeries   |
| http://www.ibm.com/software/network/commserver/library                | Communications Server<br>products - white papers, product<br>documentation, etc.             |
| http://www.redbooks.ibm.com   | ITSO redbooks  |
| http://www.ibm.com/software/network/commserver/support                | Communications Server<br>technical Support   |
| http://www.ibm.com/support/techdocs/                                  | Technical support documentation<br>(techdocs, flashes,<br>presentations, white papers, etc.) |
| http://www.rfc-editor.org/rfcsearch.html                              | Request For Comments (RFC)   |