



IBM Software Group

z/OS® V1R9 Communications Server

Security enhancements



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This presentation discusses the Security enhancements for the z/OS V1R9 Communications Server.

Agenda

- IPSec enhancements
- AT-TLS API enhancements



Enhancements have been made to the z/OS V1R9 Communications Server for IP Security and the AT-TLS API.

Section

IPSec enhancements

This section describes the enhancements to the existing IPSec function in V1R9.

Background information: Integrated IPsec

- CS V1R7 introduced Integrated IPsec function
 - ▶ Improved usability and diagnosis for IP filtering and IP security
 - ▶ Introduction of NAT traversal support
- IPv6 and NAPT traversal support in V1R8
- Components of Integrated IPsec
 - ▶ Policy Agent manages IP filter and IP security policy
 - ▶ TCP/IP stack enforces policy
 - ▶ TRM daemon logs events
 - ▶ IKE daemon negotiates dynamic IP security associations with peers
 - ✓ IPsec endpoints agree on how to protect traffic. These agreements are known as security associations (SAs).
 - ✓ Phase 1 SAs protect phase 2 negotiations and informational exchanges.
 - Phase 1 SAs are established using Aggressive Mode or Main Mode.
 - Aggressive Mode is more efficient and uses fewer messages.
 - Main Mode protects endpoint identity.
 - ✓ Phase 2 SAs protect data traffic.
 - Phase 2 SAs are established using Quick Mode.
 - ▶ ipsec command displays system information and manages security associations
 - ▶ IBM Configuration Assistant
- Terminology and definitions:
 - ▶ SWSA = Sysplex-wide security associations
 - ✓ IPsec SAs automatically reestablished during a DVIPA takeover/giveback.
 - ▶ SA refresh = Negotiation of new security association keys for an existing SA

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The Integrated IPsec function was introduced in z/OS V1R7 Communications Server as a replacement for the Security Server Firewall Technologies IP filtering and IP security function. The Integrated IPsec function has improved usability over Firewall Technologies. The Integrated IPsec function has Network Address Translation (NAT) support. It also has Network Address Port Translation (NAPT) traversal support. NAPT translates multiple internal IP addresses to a single public address and translates the TCP or UDP port to make the connection unique.

The IKE daemon negotiates the dynamic IP security associations. Security associations are negotiated in two phases. Phase 1 SAs are established first and they protect the phase 2 negotiations. You have a choice of two modes: Aggressive Mode or Main Mode. Phase 2 SAs protect data traffic and uses Quick Mode.

SWSA allows for IPsec SAs to be automatically reestablished during a DVIPA takeover or giveback. SA refreshes are performed before the expiration of the existing SA.

Problem: IPSec enhancements needed

- No support for multiple PFS groups
 - ▶ Perfect Forward Secrecy (PFS) is used for generating keys during a phase 2 negotiation.
 - ▶ Integrated IPSec policy only allows a single PFS group to be specified on the ***IpDynVpnAction*** statement.
 - ✓ The ***IpDynVpnAction*** statement is used to specify how to protect phase 2 SAs.
 - ▶ When acting as a responder, you may want to configure an ***IpDynVpnAction*** to accept various PFS values from multiple clients.
- The attributes used for the SA are not saved
 - ▶ Integrated IPSec policy allows configuration of multiple offers (groups of SA attributes).
 - ✓ SA attribute examples: encryption algorithm, hash algorithm, key exchange (DH or PFS) group
 - ▶ SA refresh and SWSA takeover/giveback negotiations using Aggressive Mode or Quick Mode fail if an incorrect offer is selected.

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The usage of PFS is optional in a phase 2 negotiation. For maximum interoperability, servers should be able to accept multiple PFS values. Some clients may only be able to support lower PFS groups, while other clients may support higher PFS groups for higher security.

During a takeover/giveback or refresh using Aggressive or quick mode, the first offer is used which may not be the offer used in the establishment of the SA when multiple offers are configured. In Main mode all of the SA offers are sent to the peer and the peer can select an acceptable offer.

Solution: IPsec enhanced

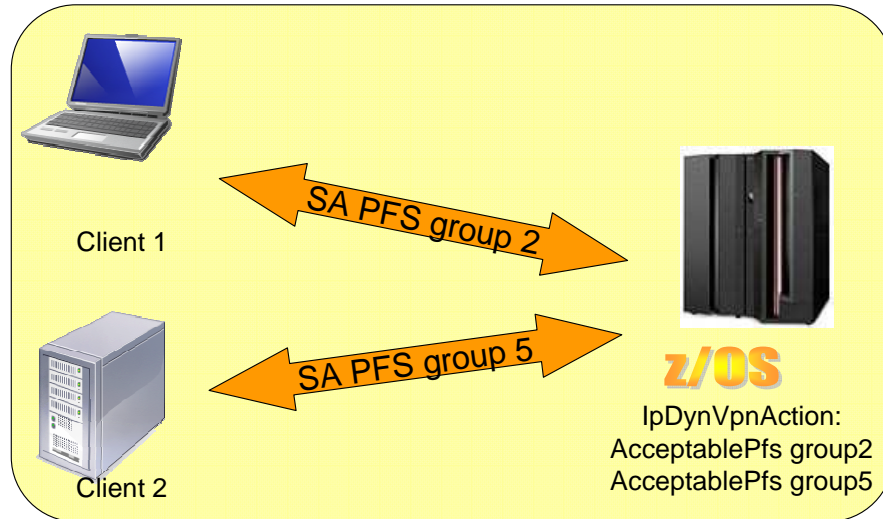
- Support for multiple PFS groups
 - ▶ Provide new parameters on the ***IpDynVpnAction*** statement to allow multiple PFS values to be accepted in responder mode.
 - ✓ The ***InitiateWithPfs*** parameter specifies the PFS value to use when initiating a phase 2 negotiation.
 - ✓ The ***AcceptablePfs*** parameter specifies an acceptable PFS value when responding to a phase 2 negotiation. The ***AcceptablePfs*** parameter is repeatable to allow specification of multiple values.
- Use of SA cache for SWSA and refresh
 - ▶ Select the SA offer based upon previously agreed to SA attributes.
 - ▶ The agreed to SA attributes are stored in the SA cache.
 - ✓ For SWSA, SA attributes are stored in the Coupling Facility.



New parameters are added to the `IpDynVpnAction` statement to allow you to configure multiple PFS values to be accepted in responder mode. The `InitiateWithPfs` and `AcceptablePfs` parameters should now be used instead of the `Pfs` parameter.

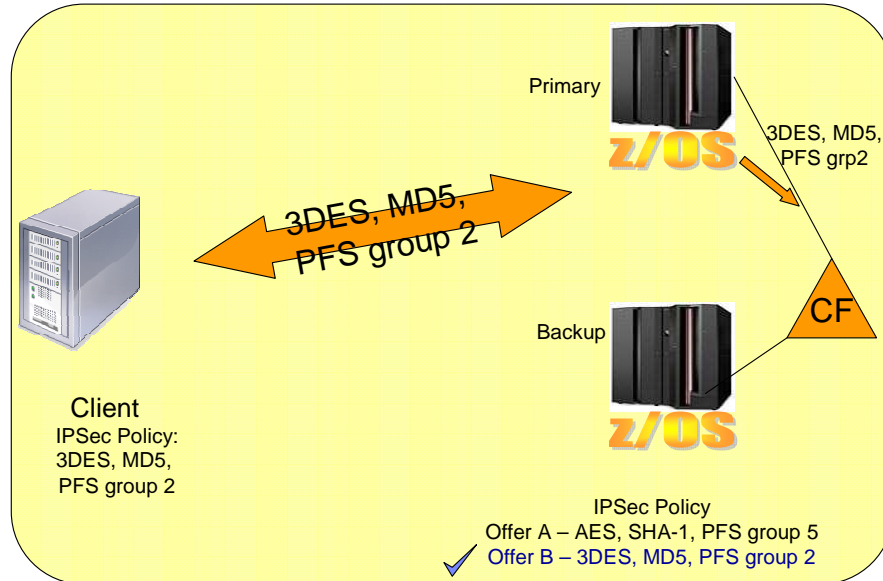
With a SA cache the correct offer is used for SWSA takeover and giveback and a SA refresh. The SA cache is located in the IKED private storage.

Example using multiple PFS groups



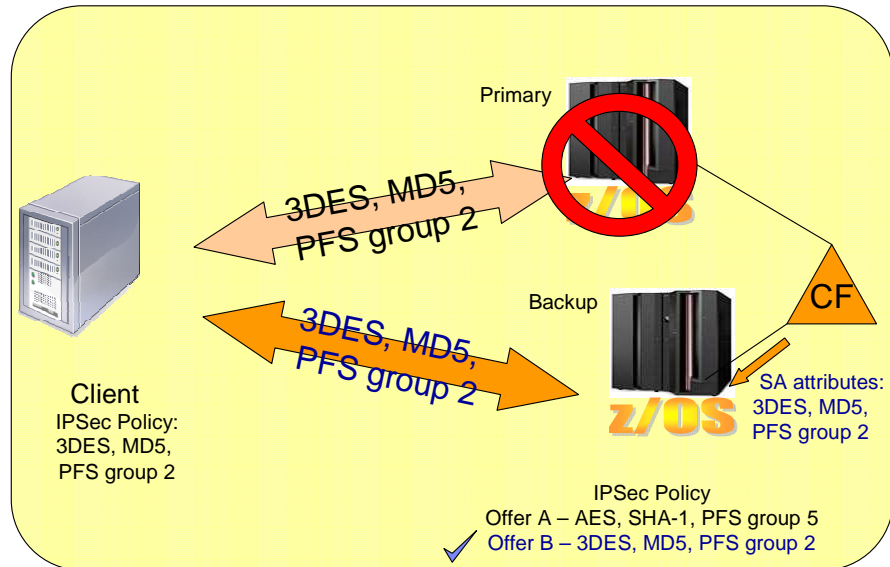
The two clients use different PFS groups when establishing a SA with the z/OS server. The z/OS server is able to respond to both clients by configuring a single IpDynVpnAction to support multiple PFS groups.

SWSA example - Initial SA establishment



The client on the left establishes an SA with the z/OS sysplex. The SA information is stored in the coupling facility.

SWSA example takeover



When the primary z/OS box becomes unavailable, the backup performs a SWSA takeover to re-establish the SA with the client. The SA attributes are retrieved from the coupling facility and the correct SA offer is used for the negotiation.

Diagnosis

- Policy configuration rules:
 - ▶ New ***InitiateWithPfs*** and ***AcceptablePfs*** parameters cannot be used with ***Pfs*** parameter in the same ***IpDynVpnAction*** statement.
 - ▶ The ***InitiateWithPfs*** value must be specified as one of the values specified by ***AcceptablePfs***.

| | |
|----------------------|--------|
| InitiatePfs | Group5 |
| AcceptablePfs | Group2 |
| AcceptablePfs | Group5 |

Policy agent enforces that the *InitiateWithPfs* value must be specified as one of the values specified by *AcceptablePfs*. Otherwise, SA refreshes and SWSA takeovers would fail.

Section

AT-TLS API enhancements

This section covers enhancements to the AT-TLS API using the existing SIOCTLSCTL ioctl.

Background: SIOCTTLSCTL ioctl for AT-TLS

- z/OS V1R7 introduced SIOCTTLSCTL ioctl for AT-TLS
 - ▶ Allows a controlling application to start a secure session on a connection or refresh session keys.
 - ✓ Application protocol can negotiate use of TLS in clear text before starting secure session
 - ✓ Policy says Enabled ON and ApplicationControlled ON
 - ✓ Application changed to use SIOCTTLSCTL ioctl to control AT-TLS
 - start secure session on a connection, reset session associated with the connection, or reset the cipher to generate new session keys.
- AT-TLS connection information obtained using the SIOCTTLSCTL ioctl Query function
 - ▶ Policy status and connection status
 - ▶ Security level, cipher level, associated user ID, and partner certificate

z/OS 1.7 introduced Application Transparent Transport Layer Security (AT-TLS) and the SIOCTTLSCTL ioctl. This allowed applications to control AT-TLS security on a connection. The application starts security on the connection. The application can also reset the cipher being used to generate new session keys for the connection or reset the session associated with the connection to force a full SSL handshake. This type of application is called a controlling application. The AT-TLS policy must be defined with ApplicationControlled On.

The SIOCTTLSCTL ioctl currently can be used to obtain information about the connection. The state of the connection (secure, not secure, or handshake in progress) and the policy status (unknown, client, server or server with client authentication) can be obtained. For secure connections, the security level(SSLv2, SSLv3 or TLSv1) and the negotiated cipher can be obtained. For connections which the certificate has been received, the certificate and associated user ID can be obtained.

Problem: SIOCTTLSCTL needs enhancements

- SIOCTTLSCTL could not support all application protocols
 - ▶ Applications can negotiate security to protect authentication data such as passwords, but not require security on other data
 - ▶ Some applications have been designed to allow both secure and non-secure connections on the same port. If the client does not start a SSL handshake, the application will allow the connection to continue without security.
- Applications wanted additional information from the SIOCTTLSCTL ioctl Query function
 - ▶ Policy Rule and Action names mapped for debugging or logging
 - ▶ Validate partner host name
- SIOCTTLSCTL ioctl did not allow for easy expansion for future requests

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Many applications use a secure connection for sensitive data during the connection. After this data exchange, security is no longer needed for the connection. The application will stop security on the connection, reducing the processor overhead of security. Some applications also support both secure and non-secure connections on the same port. These applications detect which type of client has connected and act accordingly. These type of applications could not use the SIOCTTLSCTL ioctl to implement security.

Additional information is available about the connection using netstat. Applications can use the policy rule and action names for debugging purposes. Some applications need to validate the host name received in the partner certificate against the host name they have connected to as described in RFC 2818. The SIOCTTLSCTL ioctl did not allow for additional functions to be easily defined.

Solution: SIOCTTLSCTL ioctl enhanced

- New SIOCTTLSCTL Request options
 - ▶ **TTLS_Stop_Connection** – Stops security on a connection, allowing clear text to be sent
 - ✓ The connection returns to clear text communication after the stop completes
 - ✓ Restrictions
 - Stop not supported on SSLv2 connections
 - All application data must be read before the stop is issued.
 - ▶ **TTLS_Allow_HSTimeout** – If non-SSL data is received or the SSL handshake times out because no SSL data is received, the connection is allowed to continue.
 - ✓ This option only applies to a SSL handshake on a clear text connection, so it must be combined with TTLS_Init_Connection option.
 - ✓ Restrictions
 - The AT-TLS policy must specify a non-zero HandshakeTimeout value.
 - The AT-TLS policy HandshakeRole must be Server or ServerWithClientAuth
- Additional information can be requested on the Query function
 - ▶ A new structure defined to pass requests
 - ✓ TTLSHeader – defines structure
 - ✓ TTLSQuadruplet defines each request
 - ▶ New requests
 - ✓ Retrieve TtlRule name, TtlGroupAction name, TtlEnvironmentAction name and TtlConnectionAction name
 - ✓ Validate partner host name
- SIOCTTLSCTL IOCTL data structures updated

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Two new options now are defined for the SIOCTTLSCTL ioctl. TTLS_Stop_Connection allows the application to stop security on a connection. The SSL security on the connection is stopped and subsequent data is sent as clear text. The TTLS_Stop_Connection request behaves differently for blocking and non-blocking sockets. For blocking sockets, the ioctl will return once the stop completes. For non-blocking sockets, the ioctl will return immediately with EInProgress. The application can use a select for write to determine when the stop is complete. Subsequent data on the socket is sent in clear text. SSLv2 does not support any type of close notification, so stop is not supported on SSLv2 connections. All application data needs to be read before the stop is issued.

TTLS_Allow_HSTimeout will allow the SSL handshake to timeout if no SSL data is received from the client or if clear text data is received. This option is only valid with TTLS_Init_Connection since it only applies to a SSL handshake on a clear text connection. The AT-TLS policy must have a non-zero HandshakeTimeout value. This is required so that the handshake will not hang indefinitely. TTLS_Allow_HSTimeout can only be used when the application is acting as the server in the SSL handshake. SSL handshakes always start with the client sending a SSL hello.

A new structure is created, the TTLSHeader. The TTLSHeader contains control information about the number of requests contained in the buffer. Each request is represented by a TTLSQuadruplet, which defines the request. The TTLSHeader is pointed to be the existing TTLSi_BufferPtr. TTLSK_TTLRule_Name, TTLSK_TTLGroupAction_Name, TTLSK_TTLSEnvironmentAction_Name, and TTLSK_TTLConnectionAction_Name are the **TTLSQ_Key** values used on the ioctl to retrieve policy rule and action names. The policy rule and action names will return up to a 48 character buffer with a null character terminated. TTLSK_Certificate is used to retrieve the partners certificate. It is equivalent to a TTLSi_Return_Certificate request. TTLSK_Host_Status is used to validate the host name from the partner certificate against a host name supplied in the TTLSHeader buffer. A host name must be passed, pointed to by the TTLSQuadruplet. The TTLSQ_Rcode is set upon return.

The SIOCTTLSCTL IOCTL data structures has been updated for all supported languages below.

Assembler – ezbtlspl.macros

C - ezbtls.c

Cobol – ezbtlsb.sample

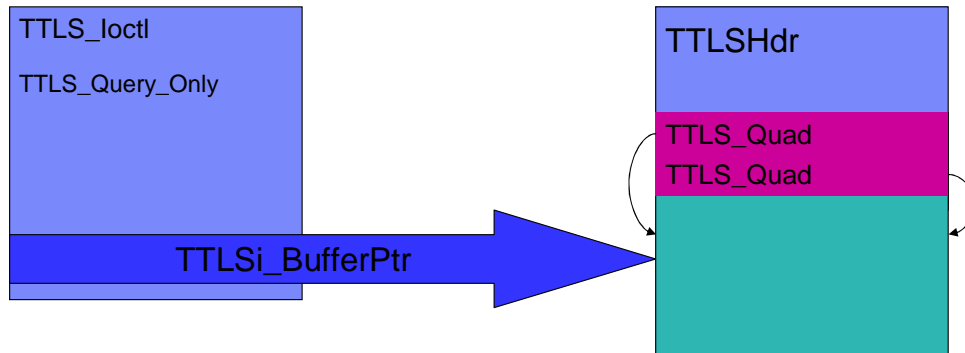
PL/1 – ezbtls1.sample

Rexx sockets – STOPCONNECTION and INITCONNHSTIMEOUT requests defined

Rexx sockets has a unique constant defined, INITCONNHSTIMEOUT, which combines the TTLS_Init_Connection and TTLS_Allow_HSTimeout options. Rexx sockets have constants for the AT-TLS Query functions. QueryRuleName, QueryGroupActionName, QueryEnvironmentActionName, and QueryConnectionActionName are used to retrieve policy rule and action names. QueryHost accepts a host name as a parameter to validate against the partner certificate. Rexx sockets do not have access to the partner certificate directly.

TTLShHeader structure

- TTLShHeader is pointed to by existing TTLSi_BufferPtr in TTLS_loctl control block. A TTLShHeader is only valid with a TTLSi_Req_Type of TTLS_Query_Only and TTLSi_Version of 2.



The TTLShHeader is pointed to by the existing TTLSi_BufferPtr. The version in the SIOCTTLsCTL request must be set to 2. The TTLShHeader is only supported when the TTLSi_Req_Type is set to TTLS_Query_Only(0). The TTLShHeader must be first in storage and contains the number of requests in the buffer. The TTLs_Quadruplets immediately follow the TTLShHeader. Each TTLs_Quadruplet can point into the buffer for the request. For example, the host name to be compared against a partner certificate would be after the TTLs_Quadruplet and pointed to be the TTLs_Quadruplet. Upon return, the TTLs_Quadruplet is updated to point to the returned information, if any.

Diagnosis

- Check return code values
 - ▶ EPerm
 - TTLS_Stop_Connection combined with other TTLSi_Req_Type
 - TTLS_Allow_HSTimeout without TTLS_Init_Connection
 - ▶ EProto with reason code JrConnDeniedPolicy
 - TTLS_Allow_HSTimeout but policy has HandshakeRole of client or HandshakeTimeout value of 0.
 - ▶ ENoBufs for Query functions
 - Use TTLSHdr_BytesNeeded to determine the size of buffer needed
- Use ctrace option IOCTL or SOCKAPI to trace TTLSHeader buffer and return values

The return code and reason code can be used to determine why the SIOCTTLSCTL ioctl request failed.

If the TTLSHeader buffer does not have enough room to hold the returned information, the return code is set to ENoBufs. The TTLSHdr_BytesNeeded field is updated with the amount of storage required to complete the request.

The IOCTL ctrace option will trace the TTLSHeader control block and the return and reason codes. The SOCKAPI option can also be used to trace these requests.

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