Document Access Systems Regulatory Compliance Management

Partner Solution

- **Target Industry**
  Energy and Utilities

- **Business Application**
  Regulatory Compliance

- **Products**
  IBM FileNet Business Process Manager
  IBM FileNet Content Manager
  IBM FileNet eForms

DOCUMENT ACCESS SYSTEMS
Enterprise Content Management

Business Challenge

New stringent regulatory requirements coupled with an influx of mergers and acquisition activities are increasing the complexity and cost of compliance for organizations within the oil and gas sector, and in other heavily regulated industries.

The Occupational Safety and Health Administration's (OSHA) dictated Management of Change (MOC) processes related to Process Safety Management requires linking each instance of organizational change to a custom, auditable review and approval list based on the nature of the specific change. Because formally identified approvers can also invoke informal collaboration sessions with other key individuals, it is difficult for enterprises to manage and track these processes.

Meeting this requirement also increases the cost of doing business when additional staff training or the introduction of process-specific information silos is required for compliance purposes. Tracking and locating compliance-related materials can lengthen process reviews and consume valuable staff hours.

These organizations require technology-based solutions that can ensure compliance with all applicable regulatory structures as changes take place in the enterprise; provide consistent, integrated processes and interfaces for all compliance matters; improve competitive advantage, increase productivity and shorten cycle times for compliance processes by reducing time spent tracking and locating compliance matters; and reduce potential errors during process changes.

Solution

Document Access Systems' (DAS') Regulatory Compliance Management is a single process and technology platform that automates the regulatory compliance process from creation and change management to reporting and auditing.

Leveraging the IBM Enterprise Content Management (ECM) suite of products, the Regulatory Compliance Management solution provides compliance-driven organizations with a centralized view and management control of their regulatory processes.

The solution offers a comprehensive, integrated framework for management of regulatory processes, which are often fragmented throughout organizations. This ensures the consistent application of organizational

policies and procedures across all compliance processes, streamlining compliance processes, easing the compliance burden and providing a centralized view of the entire regulatory environment.

Via workflow modeling, organizations can eliminate non-value-added tasks, reducing errors and cycle times, and ensuring a sharp focus on meeting the essence of compliance requirements. End-users will appreciate the simple, forms-based input that moves each compliance instance through the necessary processes.

With Document Access Systems' Regulatory Compliance Management solution, organizations benefit from a solution that is responsive to process changes; integrates compliance with records management, rather than as an add-on task; and accommodates a wide variety of process participants across all transactions.

Value Proposition

DAS is an IBM Software ValueNet Business Partner that has been successfully implementing ECM-based solutions, for more than 13 years.

With its Regulatory Compliance Management solution, compliance becomes an integrated, essential element of standard operating procedures and processes.

In heavily regulated industries, such as oil and gas, compliance expenditures can average 20 percent of process costs. For example, in a company with 3,000 employees, 500 of which are general and administrative staff, as many as 100 full-time equivalent (FTE) employees could be allocated toward compliance costs. By using Document Access Systems' Regulatory Compliance Management solution and reallocating just 20 FTE employees at an average annual cost of $50,000 each, this same company would achieve a return on investment of more than $1 million annually.

Company Description

Document Access Systems (DAS) is a nationally recognized, award-winning provider that has successfully implemented nearly 300 ECM solutions since 1991. The company's approach is first and foremost client-driven, with an ongoing emphasis on providing its clients with quality products and services at a fair price. DAS is dedicated exclusively to the Enterprise Content Management marketplace, and empowers clients in a broad range of industries to solve their individual business problems.

For more information, please contact:

Matt Weis
+1 336 882 8252
mweis@documentaccess.net

LEARN MORE!

View a 6-minute Webinar
of this solution:
www.ibm.com/software/ecm/partner/
das

IMX13040-USEN-01