

# **E04 Introduction to HALDB**

## **High Availability Large DataBase**

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# HALDB (High Availability Large Database)

## ● Large Database

- Databases are partitioned
  - Up to 1001 partitions per database
  - Partitions have up to 10 data set groups



## ● High Availability Database

- Partition independence
  - Allocation, authorization, reorganization, and recovery are by partition
- Self healing pointers
  - Reorganization of partition does not require changes to secondary indexes or logically related databases



# HALDB (High Availability Large Database)

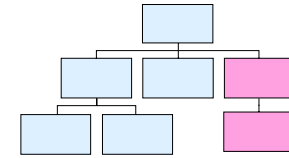
## ● Benefits

- Greater database capacity
  - Without application changes
- Increased database availability
  - Partitions, not databases, are removed from system
  - Shortened reorganization process
  - Batch window is shortened with concurrent processing
- Improved manageability
  - Data sets may be smaller
- Enhanced usability
  - ISPF utility for partition definitions

# Highlights

- **Hierarchical structure is maintained**

- A database record resides in one partition



- **Minimal (or no) application changes required**

- Cannot initially load logical child segments
  - New status code for load programs
- 'Data unavailable' conditions apply to partitions
  - Database may be available, but partition unavailable

- **New database types**

- PHDAM - partitioned HDAM
- PHIDAM - partitioned HIDAM
  - Index is partitioned
- PSINDEX - partitioned secondary index

# Highlights

- **OSAM and VSAM (ESDS and KSDS) are supported**
- **Partition selection is done by key or user exit routine**
- **Logical relationships and secondary indexes are supported**
  - Secondary indexes may be partitioned
- **DBRC is required**
  - Databases must be registered
- **Dynamic allocation uses DBRC information**
  - DFSMDA is not used

# Definition Process

## ● DBDGEN

- Used to define database
  - Hierarchic structure, data set group boundaries, pointer options, logical relationships, secondary indexes,...

## ● HALDB Partition Definition Utility

- ISPF based
- Used to define partitions in database
  - Partition selection, space characteristics, randomizers,...

# Indirect Pointers

- **HALDB uses both direct and indirect pointers**
  - Direct pointers point to segments
  - Indirect pointers "point" to Indirect List Entries (ILEs) in Indirect List Data Set (ILDS)
  - ILEs have token for key
    - ILEs contain direct pointer to segment
  - ILDS is KSDS associated with a Partition

# HALDB Database Structure

- **Each partition in a database has a unique partition ID (PID)**
- **A reorganization number is maintained in each partition**
  - Incremented by each reorganization reload
- **Each segment in PHDAM or PHIDAM database is assigned a unique token when created**
  - Indirect List Entry Key (ILK)
  - 8 bytes stored in segment prefix

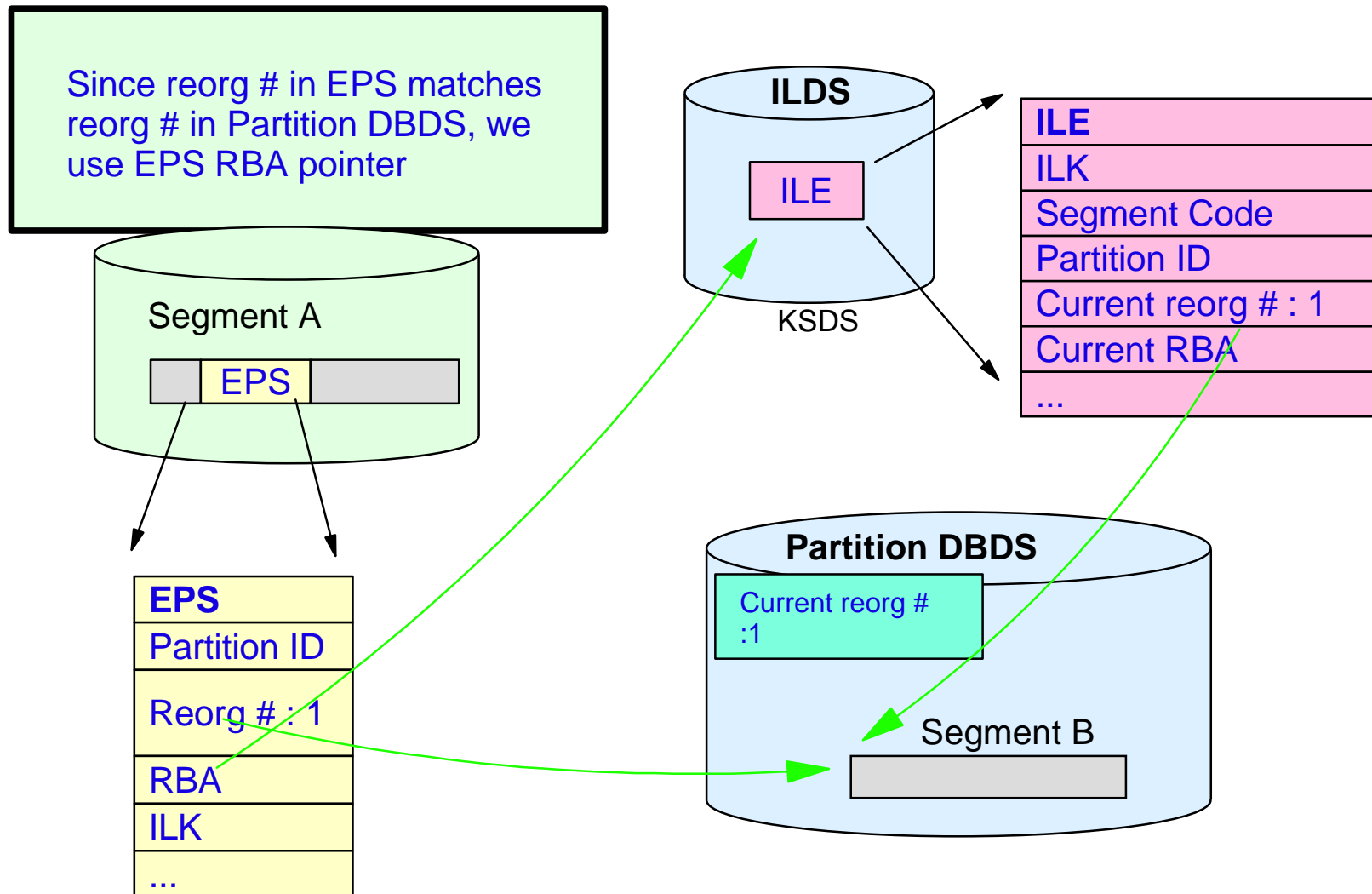


# Extended Pointer Set

- **Extended Pointer Set (EPS) is used for logical relationships and secondary indexes**
  - EPS is not updated by reorganizations!
  - EPS contains direct pointer, reorganization number, target partition ID, and ILK
    - If reorg number is current, direct pointer is used
    - If reorg number is not current, ILK is used to find ILE in ILDS
    - ILE contains pointer to segment
  - Direct pointer and reorg number in EPS are updated when ILE is used
- **Self healing pointers!**

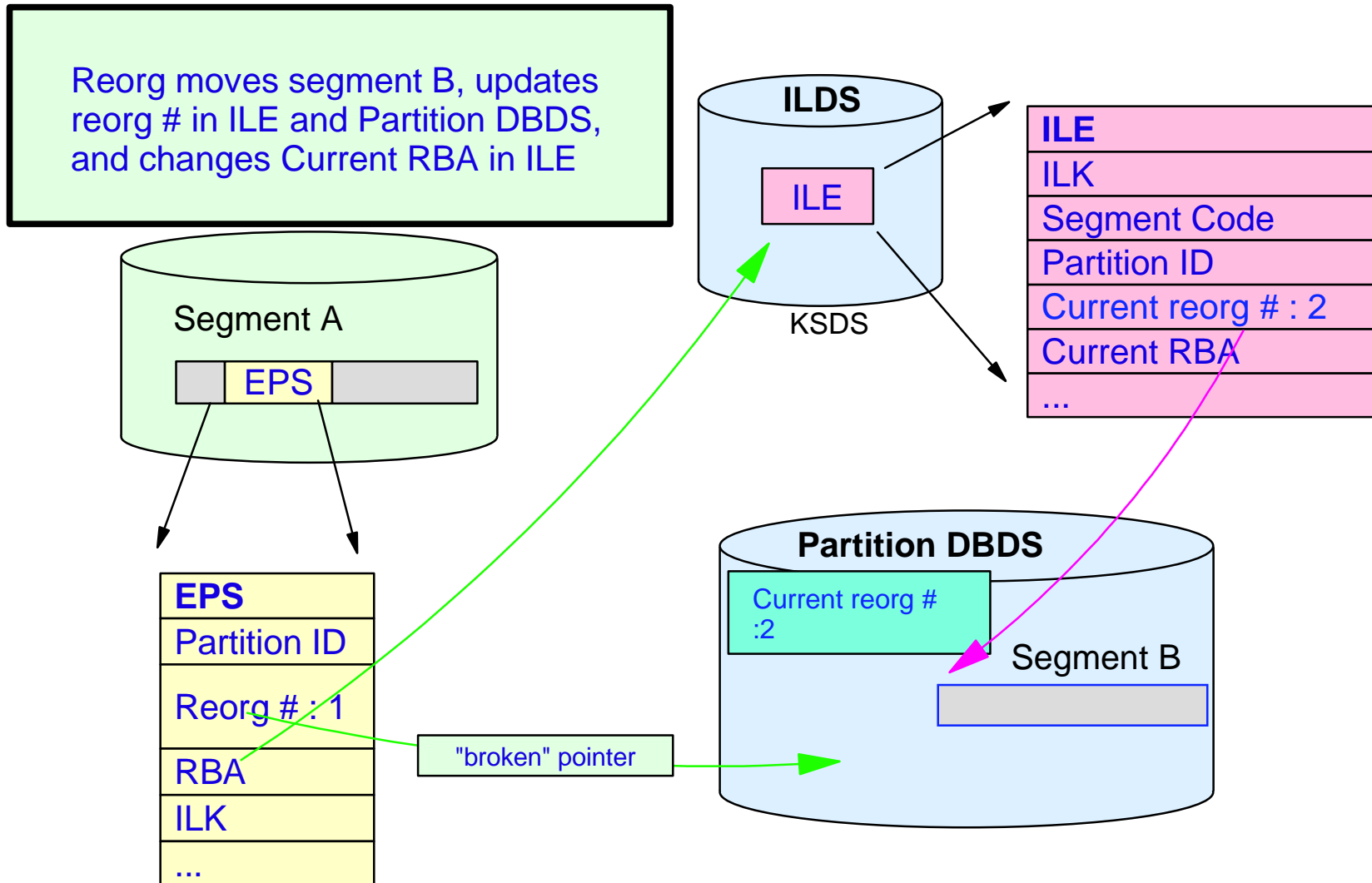
# Self-Healing Pointers

Using an Extended Pointer Set (EPS)



# Self-Healing Pointers

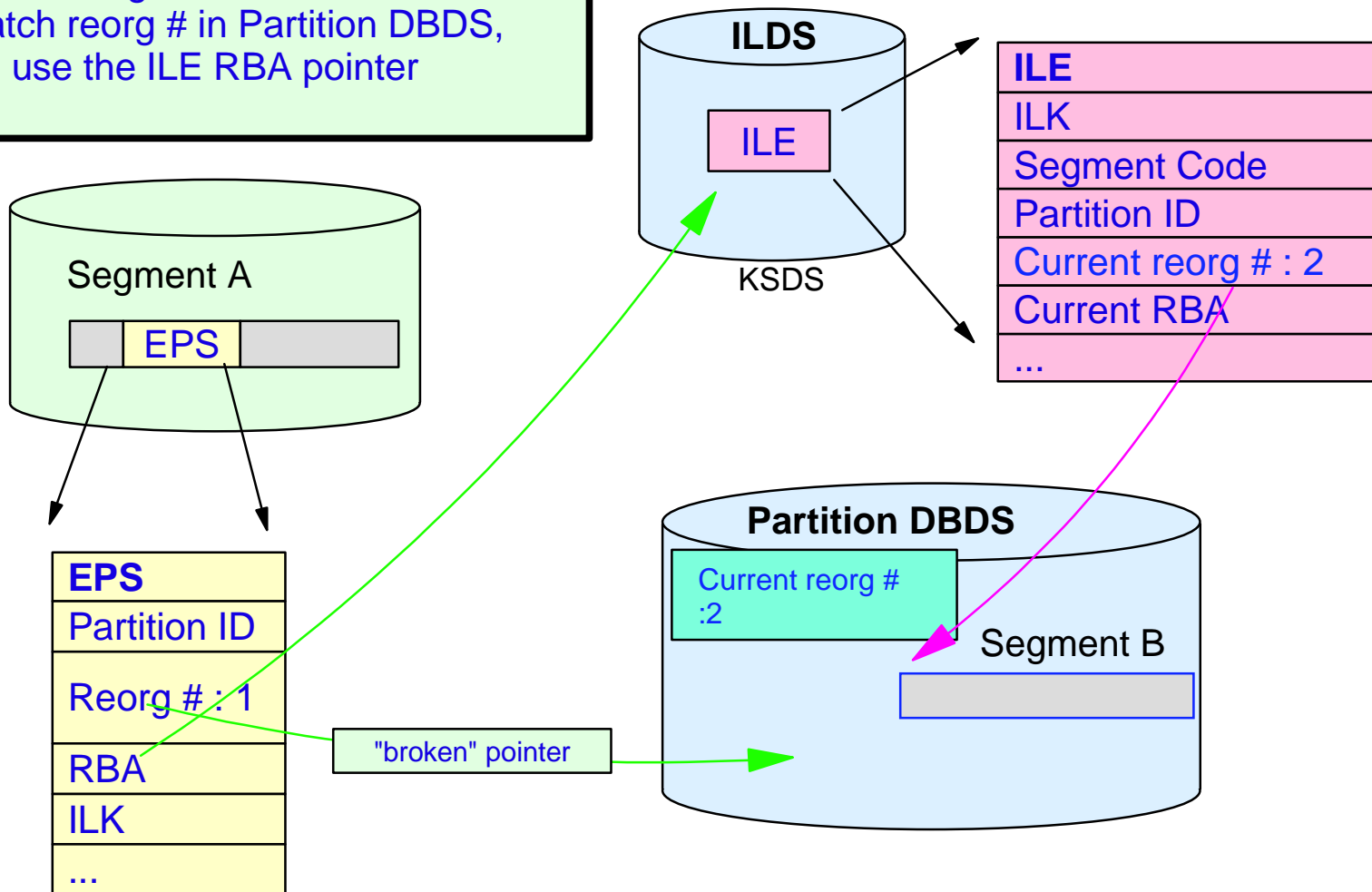
After reorganization of Partition



# Self-Healing Pointers

Using the EPS after the reorganization

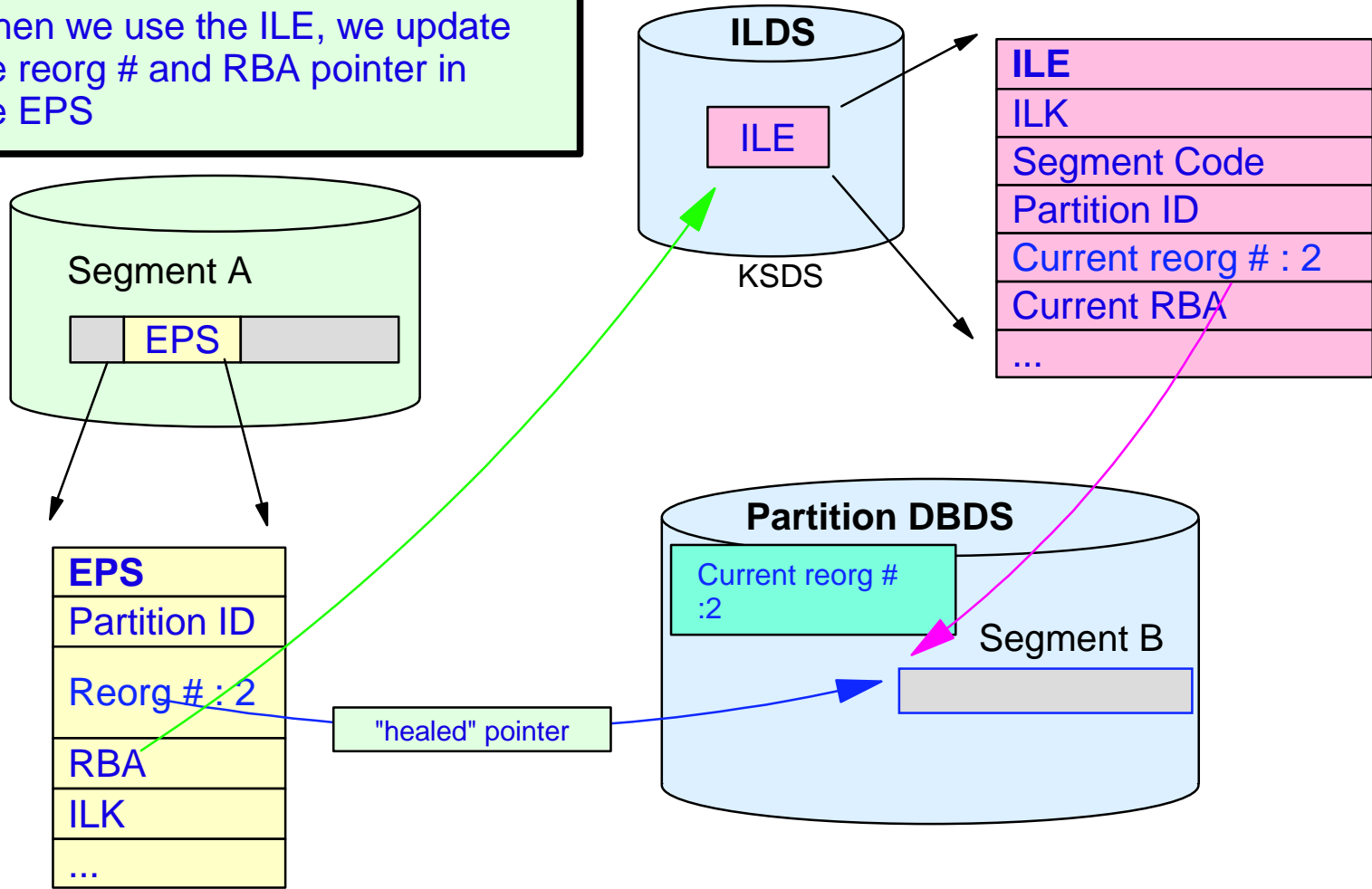
Since reorg # in EPS does not match reorg # in Partition DBDS, we use the ILE RBA pointer



# Self-Healing Pointers

## "Healing" the EPS

When we use the ILE, we update the reorg # and RBA pointer in the EPS



# Extended Pointer Set (EPS) Adjustments

- **When out of date pointer is found it is corrected if:**
  - Access intent is update or exclusive
  - PROCOPT is update
- **Locking considerations**
  - Read programs with update PROCOPTs may hold many locks
    - If block level data sharing is used, block locks are held until sync point

# ILDS Data Sets

- **Indirect List Entries (ILEs)**

- Created or updated by reorg reload
  - Reorgs do not update pointers in segments
- Not created or updated by non-reload processing
  - This processing updates pointers in segments
- Initial load does not create ILEs

# ILDS Data Sets

- **ILE keys (9 bytes)**
  - ILK (8 bytes)
    - RBA of segment at its creation time (4 bytes)
    - Partition id at creation time (2 bytes)
    - Reorg number at creation time (2 bytes)
  - Segment code (1 byte)



# ILDS Data Sets

- **ILE data (50 bytes)**
  - Key (ILK and segment code)
  - Flags
  - Old and new copies of:
    - Partition ID
    - Reorg number
    - Pointer to logical parent or sec. index target
    - Database record lock ID for segment
    - Pointer to paired logical child for physical pairing

# Database Structures

- **PHIDAM prime indexes are not separately defined**
  - Defined as part of the PHIDAM database
    - Applies to DBDGEN and system definition
- **Parent pointers**
  - All segments have physical parent pointers
- **Symbolic pointers are not used**
  - All pointers are direct

# Database Structures

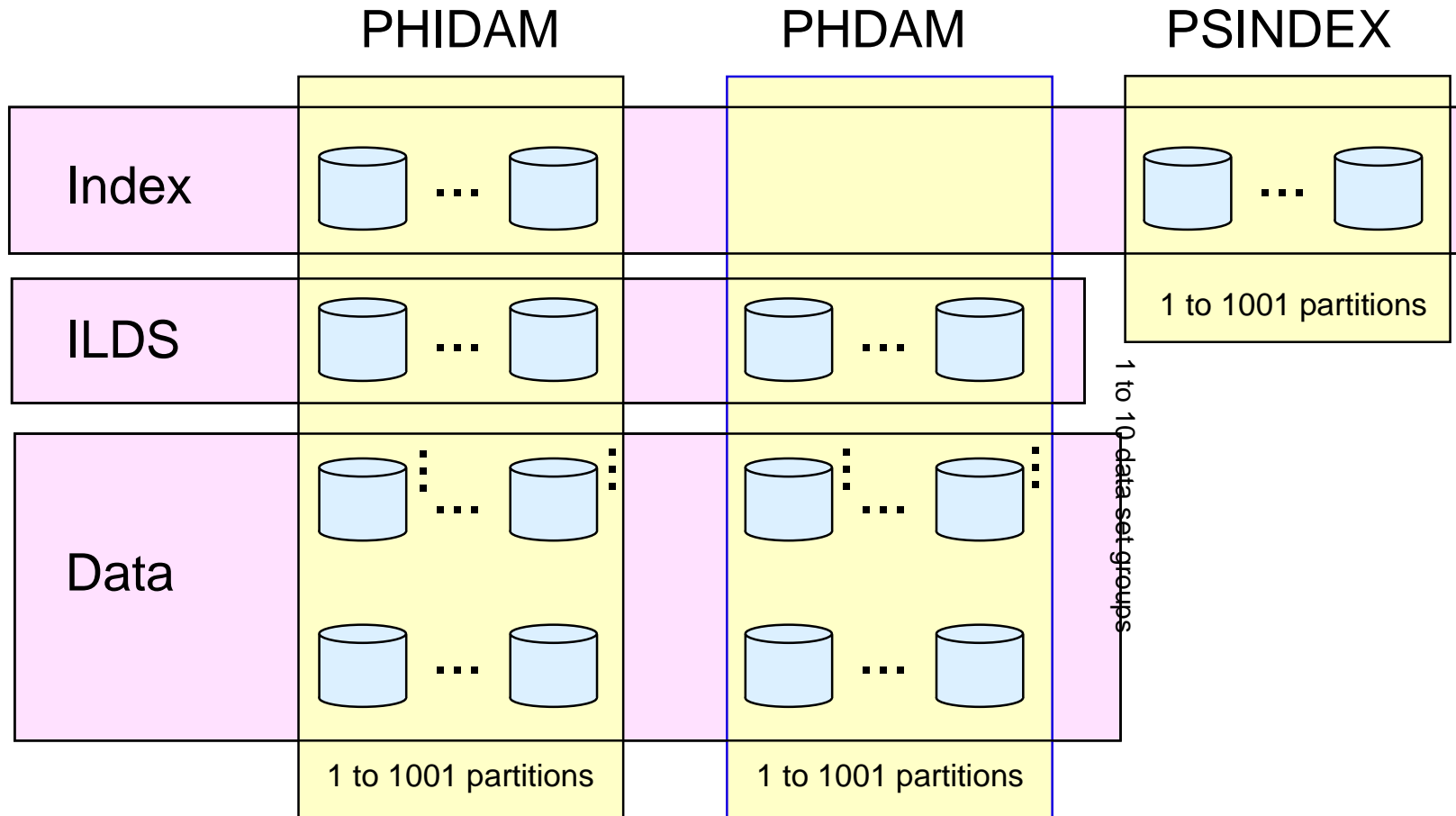
- **Logical relationships**

- Virtual pairing is not allowed
  - Limited to unidirectional or physically paired
- Logical child segments cannot be initially loaded
  - Must be added by update

- **Secondary indexes must have unique keys**

- /SX or /CK may be used to create uniqueness
  - /SX is increased from 4 to 8 bytes (ILK)

# HALDB Database Data Sets



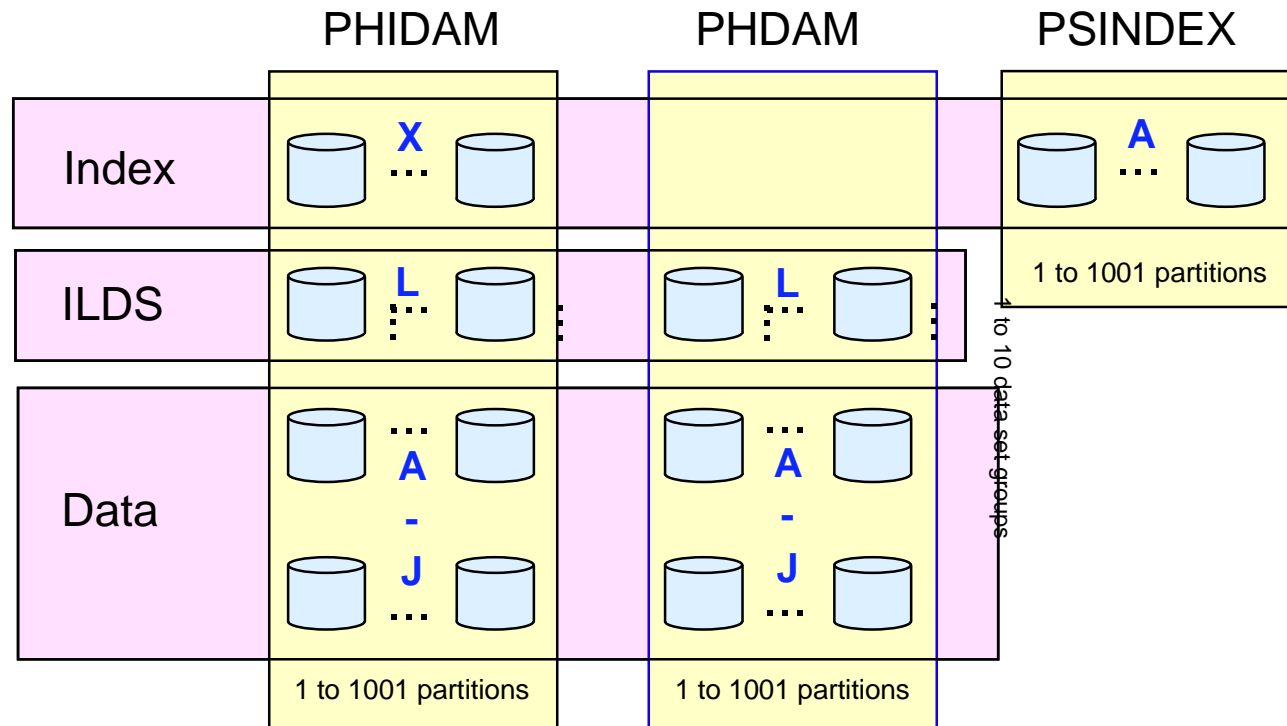
# HALDB Database Data Sets

- **Each HALDB database has up to 1001 partitions**
- **PHIDAM has index, ILDS, and up to 10 data set groups per partition**
  - 3 to 12 data sets per partition
  - 3 to 12,012 data sets per database
- **PHDAM has ILDS and up to 10 data set groups per partition**
  - 2 to 11 data sets per partition
  - 2 to 11,011 data sets per database
- **PSINDEX has no ILDS or data set groups**
  - 1 data set per partition
  - 1 to 1001 data sets per secondary index

# Database Data Sets

- **Data set size limitations**
  - Maximum data set size is 4GB
    - Applies to OSAM and VSAM
- **OSAM block sizes must be even**

# Database Data Sets



The data sets in a partition have generated data set names and DDNAMEs.  
Letters are used to distinguish them.

- X - PHIDAM index
- L - ILDS
- A through J - Data data sets
- A - PSINDEX

# Database Data Sets

## ● Data set names

- Begin with data set name prefix for the partition
  - Up to 37 characters
  - Assigned in HALDB Partition Definition Utility
- Letter and Partition ID are used as suffix
  - X for PHIDAM index
  - L for ILDS
  - A for PSINDEX
  - A through J for data
- Example:
  - Partition data set name prefix [IMP0.DB.INV23.FR](#)
  - Partition ID: 00004
  - Data set name PHIDAM Index: [IMP0.DB.INV23.FR.X00004](#)



# Database Data Sets

## ● DDNAMEs

- Partition name is basis for DDNAME
  - Up to 7 characters
  - Assigned in HALDB Partition Definition Utility
- Letter is used as suffix
  - X for PHIDAM index
  - L for ILDS
  - A for PSINDEX
  - A through J for data
- Example:
  - Partition name: FRANCE
  - DDNAME for PHIDAM Index: FRANCEX

# Partition DDNAMEs and Data Set Names

Partition\_name assigned by user in HALDB Partition Definition Utility

DSN\_prefix assigned by user in HALDB Partition Definition Utility

PartitionID assigned by IMS in HALDB Partition Definition Utility

Data set	DDNAME	Data Set Name
Data set group 1	Partition_nameA	DSN_prefix.ApartitionID
Data set group 2	Partition_nameB	DSN_prefix.BpartitionID
Data set group 3	Partition_nameC	DSN_prefix.CpartitionID
...	...	...
Data set group 10	Partition_nameJ	DSN_prefix.JpartitionID
ILDS	Partition_nameL	DSN_prefix.LpartitionID
PHIDAM Index	Partition_nameX	DSN_prefix.XpartitionID
Secondary Index	Partition_nameA	DSN_prefix.ApartitionID

# Partition Selection

- **Partition selection is based on either:**
  - Key range
  - or
  - Partition Selection Exit routine
- **Partition selection determines:**
  - Where root segments are placed
  - Order in which partitions are processed

# Partition Selection - NEW

- Restricting a PCB to a single partition
  - Batch or BMP
- New DD name, DFSHALDB
  - Syntax =
    - ◆ HALDB PCB=(n,ppppppppp)  
where:            n = required, the nth DB PCB  
                  ppppppppp = required, partition name
    - ◆ one card per PCB, multiple cards allowed
- SPE - PQ57313

# Partition Independence

- **Commands**

- Allowed on both databases and partitions

- **Availability**

- Partitions are allocated and authorized independently

- **Scheduling**

- Based on database availability
  - PCBs and INQY calls report database availability
  - Partition may be unavailable with available database

- **Database Utilities**

- Allowed on individual partitions
- Concurrent processing of multiple partitions allowed

# HALDB Overview

## ● Migration

- Uses Prereorg, HD Unload, and HD Reload utilities with new control statements
- Databases logically related to each other must be migrated together
- Secondary indexes must be migrated with the databases to which they point
- Migration Aid Utility
  - Provides statistical information about space requirements, key ranges, suggested partition boundaries,...

# HALDB Overview

- **Fallback**

- Fallback from HALDB to HIDAM, HDAM, and secondary indexes is supported
- Uses Prereorg, HD Unload, HD Reload, Prefix Resolution, and Prefix Update utilities with new control statements

# HALDB Support

- **HALDB is supported with:**

- Data sharing
- Remote Site Recovery (RSR)
- Extended Recovery Facility (XRF)
- Online Change
- OSAM Sequential Buffering
- IMS Monitor and IMS Performance Analyzer



# DL/I Calls with HALDB

- **Database availability information**
  - INIT DBQUERY call and priming of database PCB
    - Report database availability
    - Do not report partition availability
  - Database calls to unavailable partitions
    - 'BA' status code or U3303
    - GN after 'BA' will move to next partition

# DL/I Calls with HALDB

- **Cannot initially load logical child segments**
  - LF status code returned if attempted
  - Log. child segments may be inserted by update programs
  - Log. child segments may be reloaded
- **PHIDAM with Partition Selection Exit routine**
  - Root segments are not necessarily in key sequence when crossing partition boundaries
    - Segments are in sequence within a partition

# Logging

- **No logging of "after images" for PHIDAM indexes**
  - Rebuilt with DFSPREC0 utility
  - "Before images" are not archived
- **EPS adjustments are not backed out**
- **Database change log records include partition name instead of master database name**
- **No logging for ILDS**
  - Only updated by HD Reload utility

# HALDB Database Candidates

- **Very large databases**

- Approaching 4GB (VSAM) or 8GB (OSAM) limitations
  - To allow for growth
  - To make databases more manageable
- Previously partitioned databases
  - Using IMS/ESA Partition Support Product (PDB)
  - User partitioning

# HALDB Database Candidates

- **Medium and large databases**
  - Parallel processing to meet time deadlines
    - Application programs
    - Utilities

# HALDB Database Candidates

- **Any size database**

- Faster reorganizations

- May be done more frequently

- Partition independence

- Making only parts of the data unavailable for database maintenance

- HIDAM to PHIDAM conversion

- Log reduction for prime index
- No image copies of prime index

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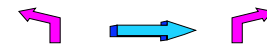


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