



Topics to be Discussed

- Brief Overview of Batch Processing Including background on Java Batch evolution
- Overview of JSR 352
 A review of the key elements of the standard
- IBM Implementation and Extensions

A review of how JSR 352 is implemented by IBM, including extensions to the standard that provide additional operational features and benefits



Batch Processing ...

... and what led up to Java Batch



Batch Processing Has Been Around a Very Long Time



A picture from the 1960s, and batch processing pre-dated this by several decades, or even centuries, depending on what is considered a "computer"

There has long been a need to process large amounts of data to arrive at results from the data

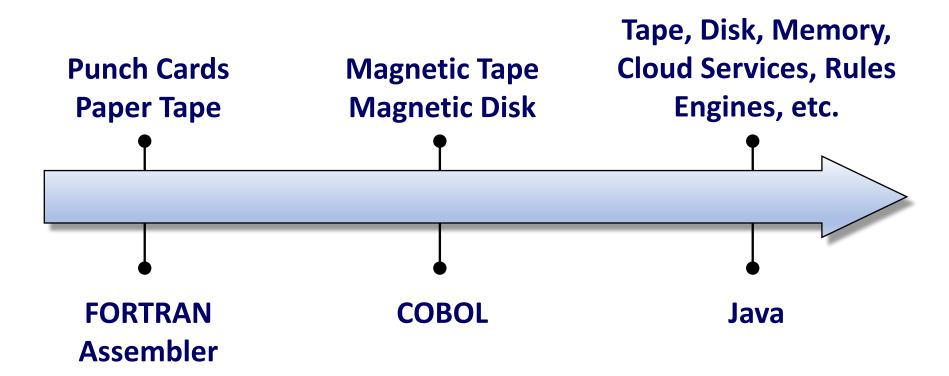
There continues to be the same need today

It is unlikely the need to do processing in batch will go away any time soon

The need persists, the approach has evolved ...



Evolution: Data Storage and Programming Languages



Change is driven by need. So what is driving the trend towards Java for batch processing?



Things Creating Push to Java for Batch

Desire to Modernize Batch Processes

Motivation behind this takes many forms – new business needs; some update to an existing batch program is needed and it's seen as a good opportunity to re-write in Java; separate business logic into rules engine for more agile processing

Availability of Java Skills

Particularly relative to other skills such as COBOL.

z/OS: Ability to Offload to Specialty Engines

Workload that runs on z/OS specialty engines (zAAP, zIIP) is not counted towards CPU-based software charges.



Can Java Run as Fast as Compiled Code?

Comparably ... and sometimes faster*:

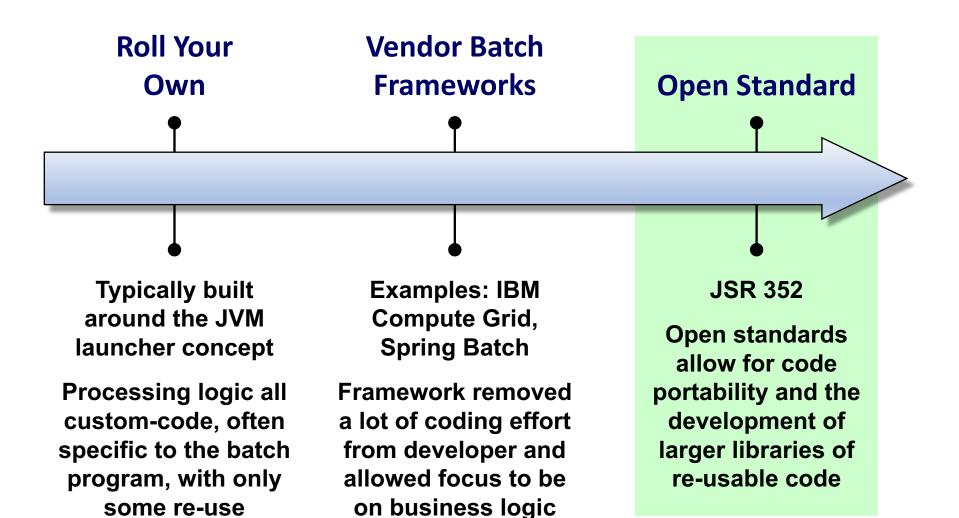
- Batch processing is by its nature iterative, which means Java classes prone to being Just-in-Time (JIT) compiled at runtime
- Java JIT compilers are getting very good at optimizing JIT'd code
- z/OS: System z processor chips have instructions specifically designed to aid JIT-compiled code
- COBOL that has not been compiled in a long time is operating with less-optimal compiled code that does not take specific advantage of chip instructions



Results vary, depending on many factors. This is not a promise of performance results.



The Evolution of Java Batch ...





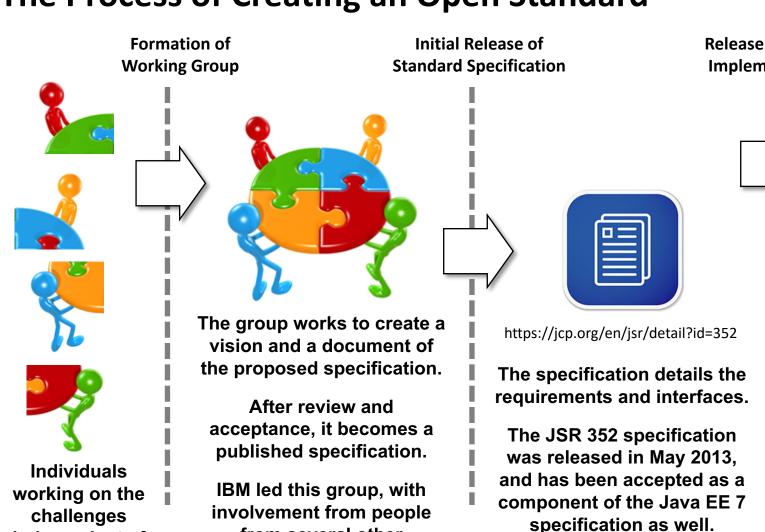
Overview of JSR 352



The Process of Creating an Open Standard

from several other

companies.



Release of Vendor Implementations

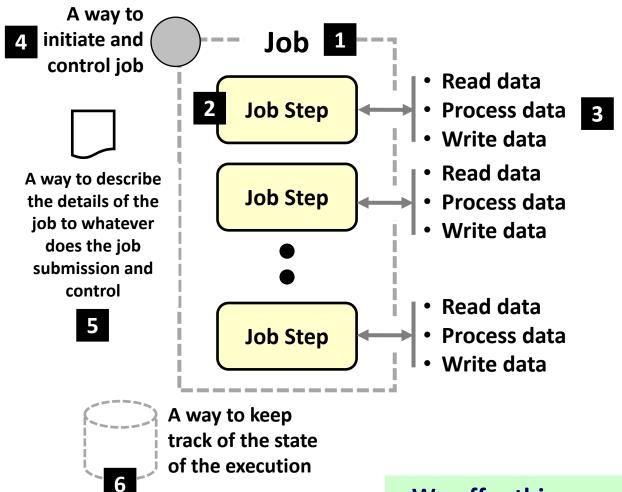
Vendors
release
products and
provide
extensions
for additional
value

independent of

one another



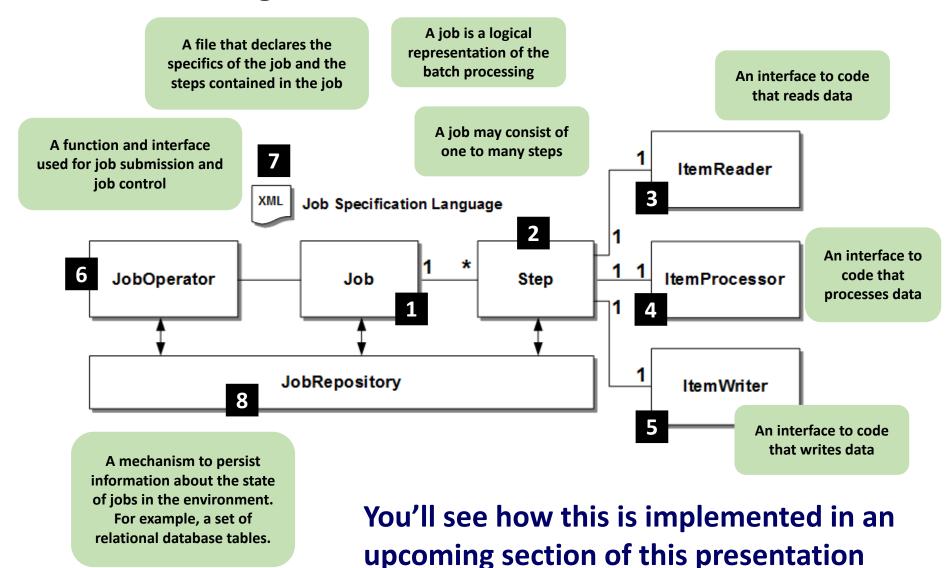
Very Abstract Representation of a "Batch Job"



We offer this as a way to set the stage for the discussion of the JSR 352 specification

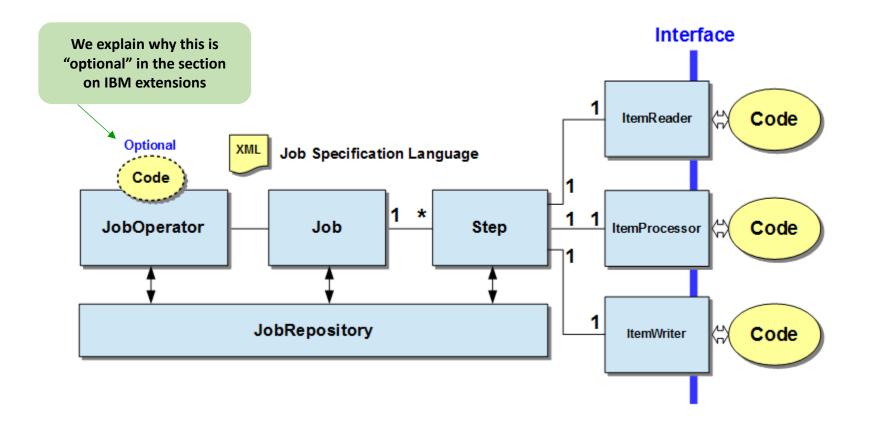


The JSR 352 Diagram to Describe the Architecture





How Much of that Picture Do / Have to Code?



It turns out ... relatively little

Much of the processing is handled by the vendor implementation of the JSR 352 standard. Your code sits behind standard interfaces and is called by the JSR 352 runtime.



Job Step Types - Chunk and Batchlet

Chunk Step

Job Step

- What we typically think of as a "batch job" an iterative loop through data, with periodic commits of data written out during processing
- This involves the ItemReader, ItemProcessor and ItemWriter interfaces shown earlier.

Batchlet Step

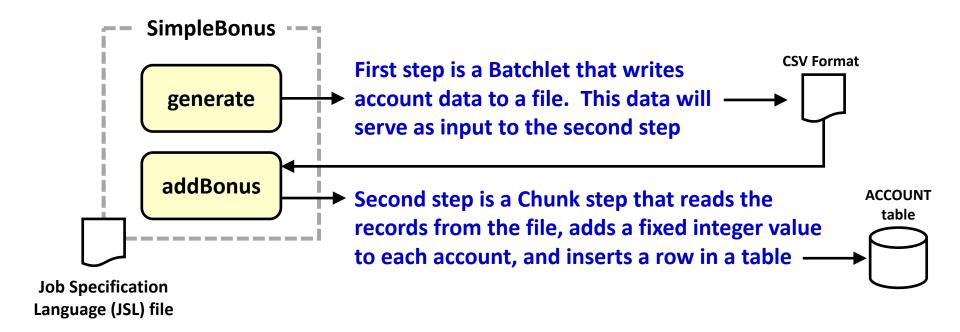
Job Step

- A job step with much less structure ... it is called, it runs and does whatever is in the code, and ends
- This job step type is useful for operations that are not iterative in nature, but may take some time ... a large file FTP, for example
- This is also useful for encapsulating existing Java main() programs into the JSR 352 model

A multi-step job may consist of either ... or both



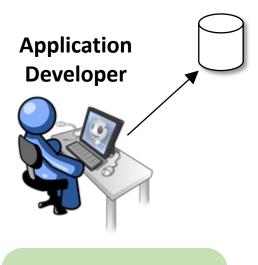
High-Level Example ... to Illustrate the Key Concepts



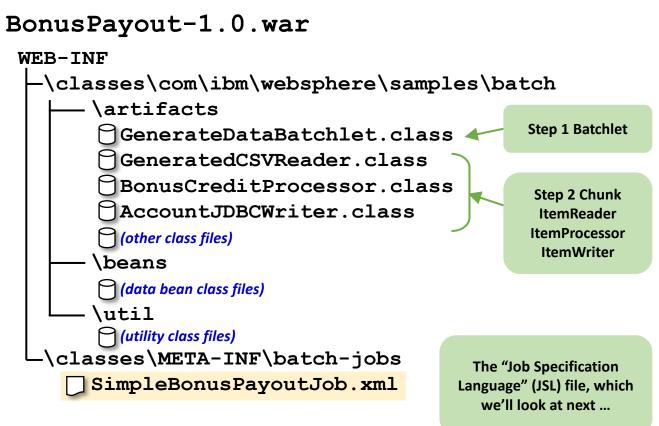
Not real-world, but useful to illustrate essential JSR 352 concepts. What does packaging look like?



A Peek Inside the Sample Application WAR file



The "How to write JSR 352 applications" topic is important, but outside the scope of this overview discussion.



This deploys into the Liberty Profile server's /dropins directory, or pointed to with <application> tag like any other application



JSL: Job Specification Language, Part 1

```
Properties are a way to get values into your
                              batch job. They can be specified in the JSL as
<?xml version="1.0" encoding="UTF-8"?>
                              shown, and overridden at submission time
<job id="SimpleBonusPayoutJob">
                               using IBM's REST interface (shown later)
 properties>
  </properties>
 <step id="generate" next="addBonus">
   <batchlet ref="com.ibm.websphere.samples.batch.artifacts.GenerateDataBatchlet">
    properties>
     cproperty name="numRecords" value="#{jobProperties['numRecords']}" />
    </properties>
  </batchlet>
                        The first step is defined as a Batchlet. The
 </step>
                       Java class file that implements the Batchlet is
                        indicated. The property to tell the Batchlet
  (second part on next chart)
                        how many records to create is specified.
```

The job specification is taking shape. What about the second step? That's shown next ...



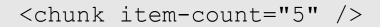
JSL: Job Specification Language, Part 2

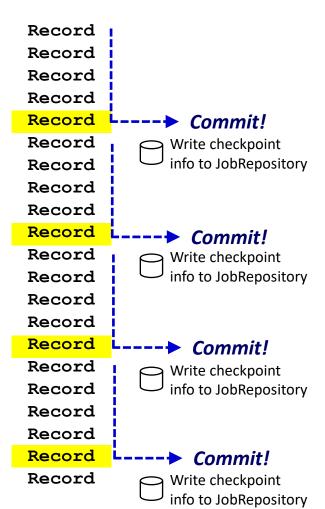
```
(first part on previous chart)
                                                             The second step is defined as a Chunk
                                                            step. The "chunkSize" (commit interval)
  <step id="addBonus">
                                                                  is a property from earlier.
    <chunk item-count="#{jobProperties['chunkSize']}">
      <reader ref="com.ibm.websphere.samples.batch.artifacts.GeneratedCSVReader"/>
      properties>
          property name="bonusAmount" value="#{jobProperties['bonusAmount']}" />
        </properties>
      </processor>
      <writer ref="com.ibm.websphere.samples.batch.artifacts.AccountJDBCWriter">
        properties>
          cproperty name="dsJNDI" value="#{jobProperties['dsJNDI']}" />
          cproperty name="tableName" value="#{jobProperties['tableName']}" />
        </properties>
      </writer>
                                                                   A property on the processor provides the
                          The "reader," "processor" and "writer"
    </chink>
                                                                    integer bonus to add to each account.
                                Java classes are specified
 </step>
                                                                   Properties on the writer indicate how to
                                                                   reach the database and what table to use
</job>
```

Summary: the JSR 352 runtime provides the infrastructure to run batch jobs; this JSL tells it what Java classes to use and other details related to the operation of the job



Checkpoint/Restart





Interval specified by item-count on <chunk> element for step in JSL

You may externalize with a property in the JSL, allowing you to pass the interval in at submission

Container wraps a transaction around update processing and commits at the specified interval

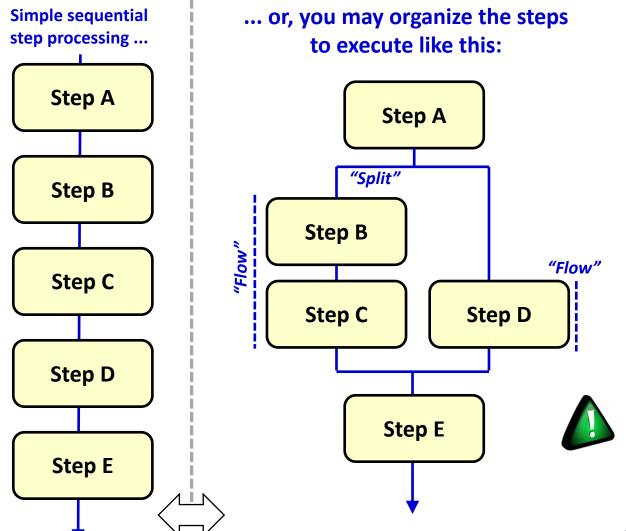
Container persists last-good commit point, and in the event of restart will pick up at last-good commit



This is a function of the JSR 352 container. Your code does not need to handle any of this.



Split/Flow Processing



You specify in the JSL the way you want the splits and flows to process

Split steps will process on separate threads in the execution JVM

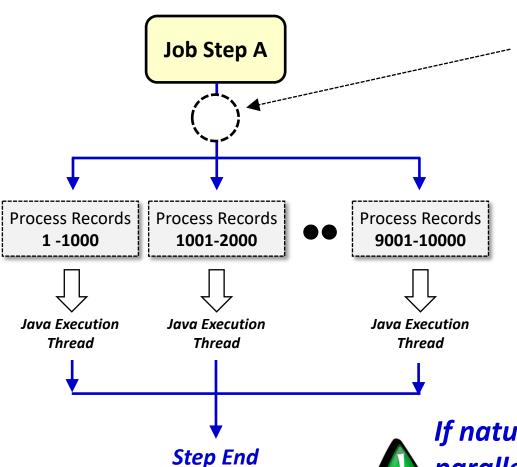
Step execution may be defined as conditional on previous step completion

Keep steps logically organized within a single job, but process in splits and flows if needed



Step Partitions

Finer-grained parallel processing than splits-flows ... this is within a job step:



You may partition based on record ranges (passed-in parameters) or by indicating number of partitions (your code determines records ranges accordingly)

The container then dispatches execution on separate threads within the JVM with the specified properties for different data ranges.

When all partitions end, step ends

If nature of job step lends itself to parallel processing, then partition across execution threads



Listeners

Think of "listeners" as "exits" -- points during execution of batch processing where your code would get control to do whatever you wish to do for that event at that time:

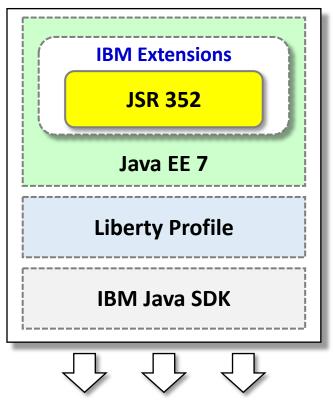
Each is an implementable interface:	Receives control
JobListener	before and after a job execution runs, and if exception thrown
StepListener	before and after a step runs, and if exception thrown
ChunkListener	at the beginning and the end of chunk, and if exception thrown
ItemReadListener	before and after an item is read by an item reader, and if exception thrown
ItemProcessListener	before and after an item is processed by an item processor, and if exception
ItemWriteListener	before and after an item is written by an item writer, and if exception
SkipListener	when a skippable exception is thrown from an item reader, processor, or writer
RetryListener	when a retryable exception is thrown from an item reader, processor, or writer



IBM Implementation And Extensions



Built on Liberty Profile as the Java Runtime Server



All Platforms Supported By Liberty Profile

Liberty Profile 8.5.5.6 and above

- IBM's fast, lightweight, composable server runtime
- Dynamic configuration and application updates

JVM Stays Active Between Jobs

 Avoids the overhead of JVM initialize and tear down for each job

IBM Extensions to JSR 352

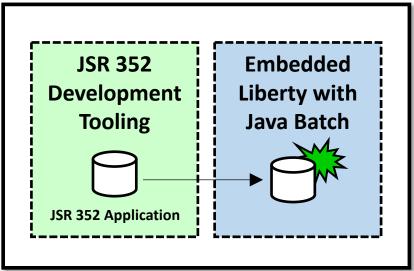
- JSR 352 is largely a programming standard
- IBM extensions augment this with valuable operational functions
- Includes:
 - Job logs separated by job execution
 - REST interface to JobOperator
 - Command line client for job submission
 - Integration with enterprise scheduler functions
 - Multi-JVM support: dispatcher and endpoint servers provide a distributed topology for batch job execution
 - Inline JSL (8.5.5.7)
 - Batch events (8.5.5.7)

WebSphere Liberty Java Batch



Integration with WebSphere Developer Tools (WDT)







Eclipse-based JSR 352 tooling



Understands the JSR 352 requirements, helps you build the implementation classes, and creates the JSL

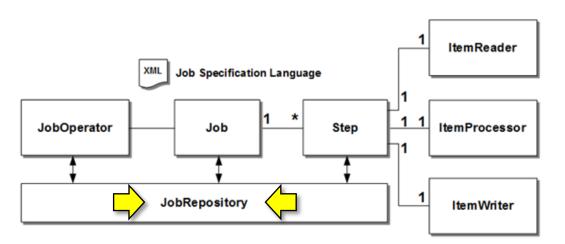
Embedded Liberty allows you to deploy and run your application all within your Eclipse framework

TechDoc: http://www.ibm.com/support/techdocs/atsmastr.nsf/WebIndex/WP102639

wasDev: https://developer.ibm.com/wasdev/docs/creating-simple-java-batch-application-using-websphere-developer-tools/



JobRepository Implementation



The JSR 352 standard calls for a JobRepository to hold job state information, but it does not spell out implementation details

IBM WebSphere Liberty Batch provides three options for this:

- 1. An in-memory JobRepository

 For development and test environments where job state does not need to persist between server starts
- 2. File-based Derby JobRepository

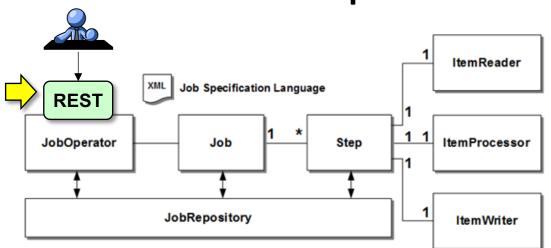
 For runtime environments where a degree of persistence is desired, but a full database product is not needed
- 3. Relational database product JobRepository

 For production and near-production environments where a robust database product is called for

Table creation is automatic. Relatively easy to drop one set of tables and re-configure to use a different data store.



REST Interface to JobOperator



The JSR 352 standard calls for a JobOperator *interface*, but leaves to vendors to implement function to handle external requests for job submission, control and monitoring

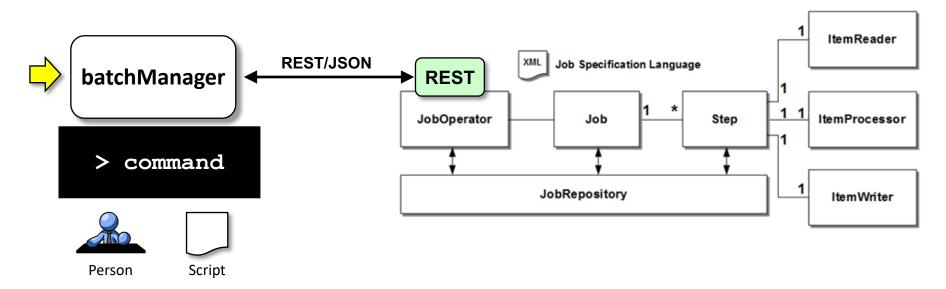
The IBM WebSphere Liberty Batch REST interface provides:

- 1. A RESTful interface for job submission, control and monitoring
 Job submission requests may come from outside the Liberty Profile runtime
- 2. Security model for authentication and authorization Authorization is role-based: administrator, submitter, monitor
- 3. JSON payload carries the specifics of the job to be submitted
 With information such as the application name, the JSL file name, and any parameters to pass in

This permits the remote submission and control of jobs; it provides a way to integrate with external systems such as schedulers



Command Line Client to REST Interface



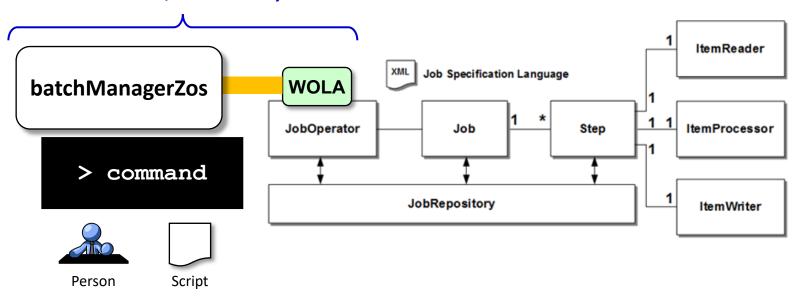
The batchManager command line interface client provides:

- 1. A way to submit, monitor and control jobs remotely using a command line interface On the same system, or a different system ... different OS ... doesn't matter: TCP/IP and REST/JSON
- 2. Uses the REST interface on the IBM Java Batch server
 Which means the same security model is in effect: SSL, authentication, role-based access
- 3. External schedulers can use this to submit and monitor job completion batchManager parameters allow the script to "wait" for Java to complete. Parameters allow for discovery of job log information, and a mechanism to retrieve the job log for archival if desired.



z/OS: Native Program Command Line Interface





Same batchManager command line function, but ...

- 1. Not a Java client, so do not need to spin up a JVM for each invocation

 Saves the CPU associated with initiating the JVM, and when there's a lot of jobs this can be significant
- 2. Cross-memory

 Very low latency, and since no network then no SSL and management of certificates
- 3. Same access security model

 Once the WOLA connection is established, the same "admin," "submitter" and "monitor" roles apply

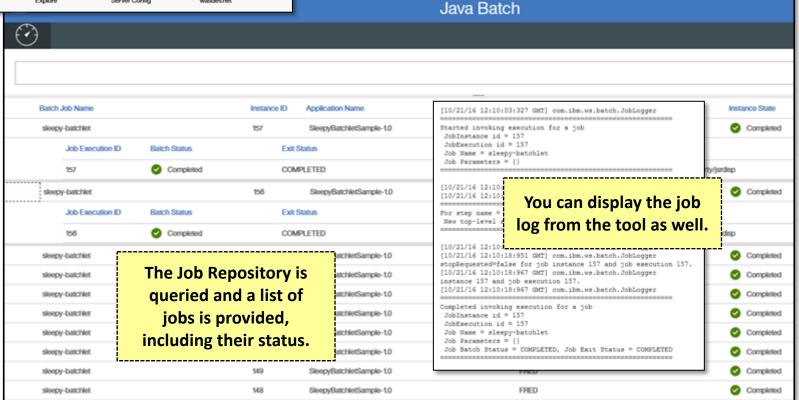


AdminCenter Java Batch Tool

16.0.0.4



Graphical interface to list jobs and their status, and view job logs





AdminCenter Java Batch Tool

17.0.0.1



Update to include Job 'STOP' and Job 'RESTART'

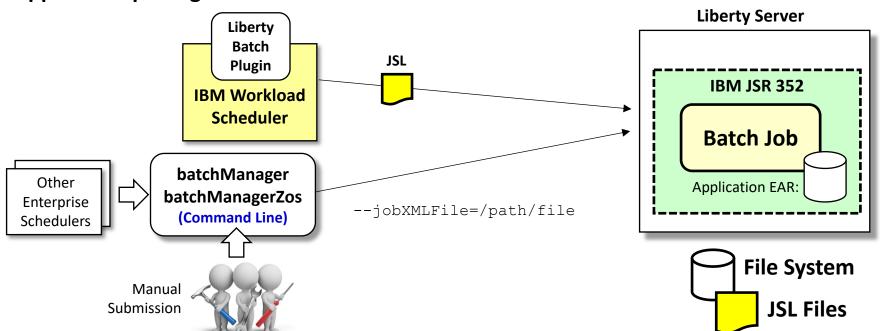




Inline JSL

8.5.5.7

Provides a way to maintain Job Specification Language (JSL) file *outside* the batch job application package file

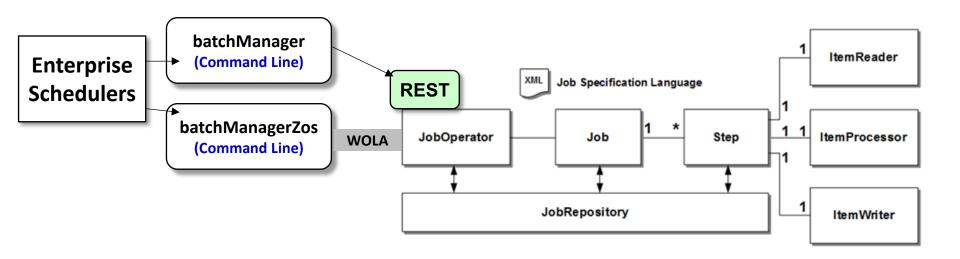


Provides flexibility in where you maintain JSL files:

- 1. Package JSL in application EAR or maintain outside EAR and point to at submission
- 2. Can use from command line utilities with --jobXMLFile= parameter
- 3. IBM Workload Scheduler can be configured to pass in the JSL file to use



Integration with Enterprise Schedulers



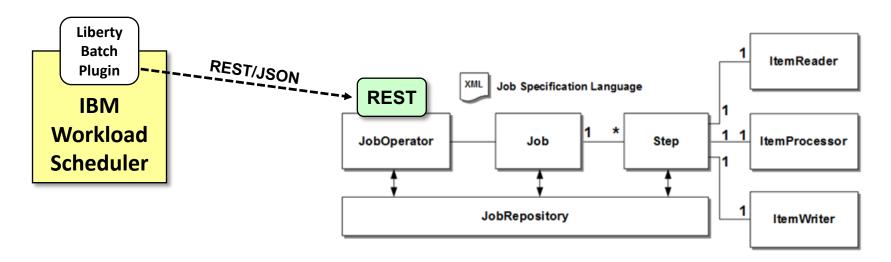
The batchManager and batchManagerZos utilities provide this

- 1. batchManager is a command line interface that integrates with REST interface
 This can be used on z/OS or on other platforms, same LPAR or across-LPARs
- 2. batchManagerZos is same command line interface but uses cross-memory WOLA Used on the same LPAR as the batch server, it is very fast because of cross-memory WOLA

Submit jobs, check status of jobs, retrieve job logs



IBM Workload Scheduler Integration



IWS can integrate directly with the REST interface of IBM JSR 352

- 1. Eliminates the need for the command line interface utilities

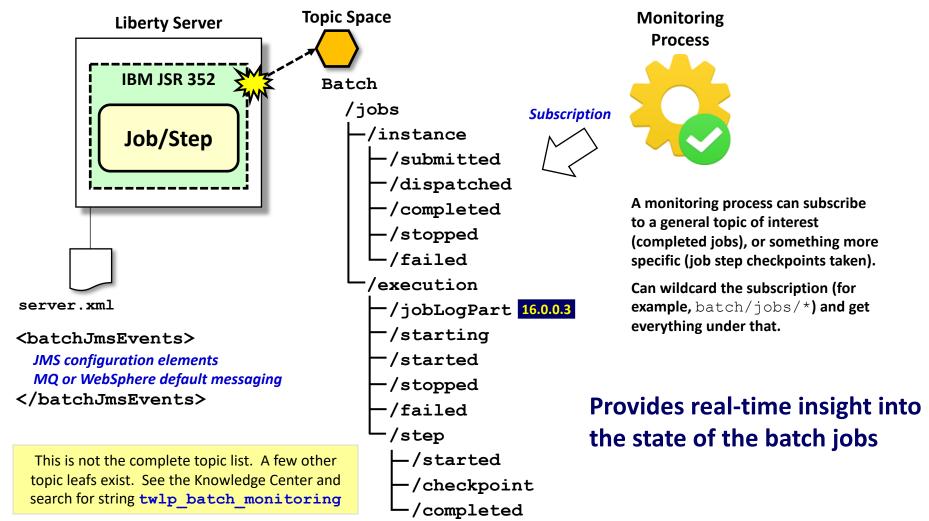
 Simplicity of design ... Command line interfaces may be used by other enterprise schedulers
- 2. Can be used by IBM Liberty Batch on z/OS or on distributed operating systems
- 3. Supports IBM's inline JSL file function
- 4. A recorded demonstration can be seen here: http://youtu.be/VF5TyZN-MP0



Batch Events

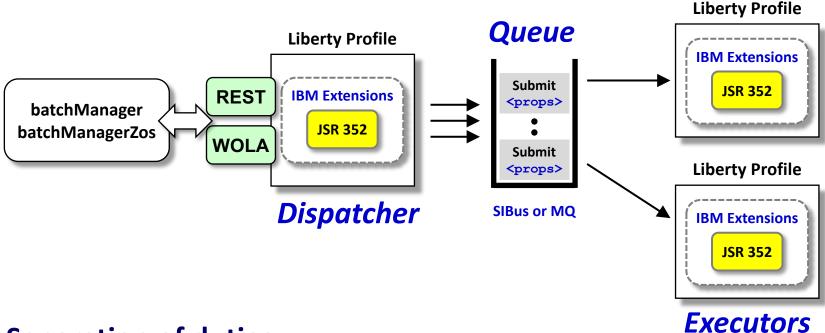
8.5.5.7

Emit messages to a JMS topic space at key events during the batch job lifecycle:





Multi-JVM Support: Job Dispatchers, End-Points



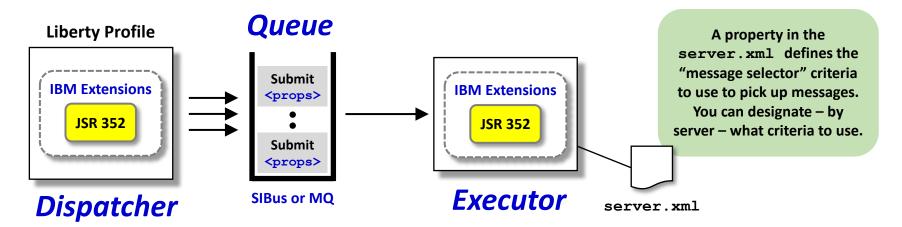
Separation of duties ...

- 1. Server designated as dispatchers handle job requests, and places them on JMS queue The endpoints listen on the JMS queues and pick up the job submission request based on criteria you set to indicate which jobs to pick up (*more on that next chart*)
- 2. Endpoint servers run the batch jobs

 Deploy the batch jobs where most appropriate; co-locate some batch jobs and others have their own server
- 3. JMS queues (either Service Integration Bus or MQ) serve as integration between two This provides a mechanism for queuing up jobs prior to execution



Multi-JVM Support: Get Jobs Based on Endpoint Criteria



```
... messageSelector="com_ibm_ws_batch_applicationName = 'BatchJobA'"
```

```
... messageSelector="com_ibm_ws_batch_applicationName = 'BatchJobA'

OR com ibm ws batch applicationName = 'BatchJobB'"
```

```
... messageSelector="com_ibm_ws_batch_applicationName = 'BatchJobA'

AND com_ibm_ws_batch_myProperty = 'myValue'"
```

Submit jobs and have them run only when intended server starts and picks up the submission request

Have jobs run in intended servers based on selection criteria of your choice

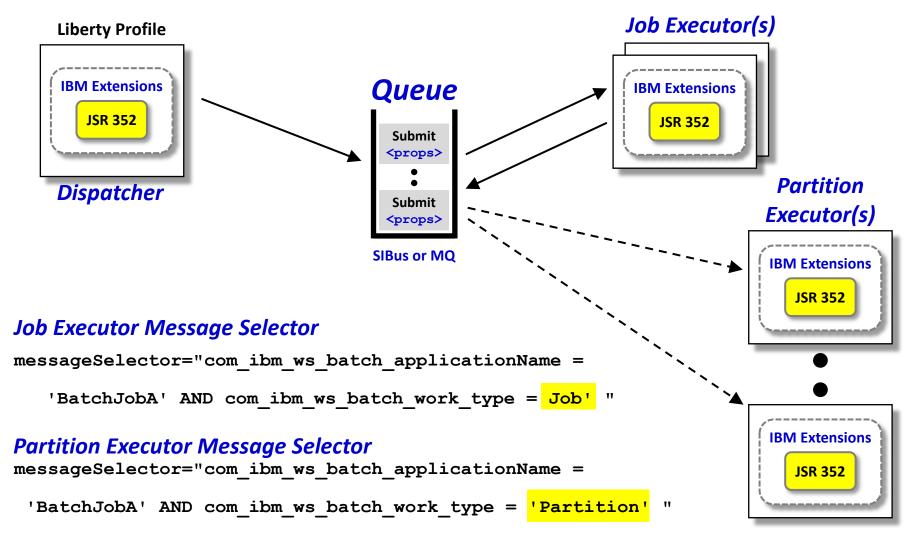
Not limited to system, not limited to platform ... may span systems and platforms



Multi-JVM Support: Partitions

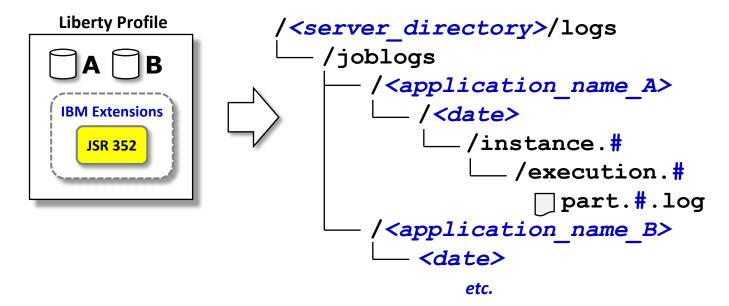
8.5.5.8

This is an extension of the earlier "Step Partition" feature, but here across separate JVMs





Job Logging



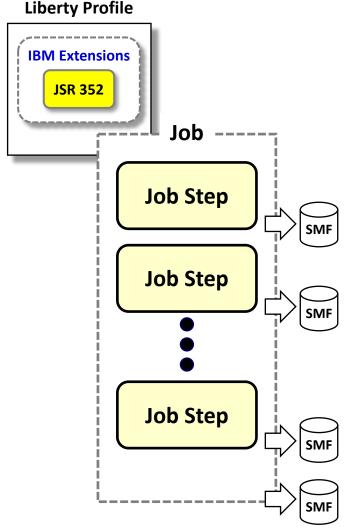
Job logs separate from the server log, separate from each other

- 1. Each job's logs are kept separate by application name, date, instance and execution
- 2. The IBM JSR 352 REST interface has a method for discovery and retrieval of job logs. This is accessible through the batchManager command line interface as well. This is how job log retrieval and archival can be achieved if needed.
- 3. Also publish the logs to the jobLogPart topic (as noted earlier) as each log part closes or on a timer basis 16.0.0.4



SMF 120.12 Records for Java Batch





Records written at end of each step and at end of job SMF 120.12 record sections:

Standard Header	1 / record
Subsystem Section	1 / record
Identification Section	1 / record
Completion Section	1 / record
Processor Section	1 / record
Accounting Section	0 - n / record
USS Section	1 / record

Noteworthy fields in the SMF 120.12 record:

Record Type - step end / job end

Server identification - which Liberty ran the job

Job identification - job, step, execution id, app name, etc.

Timestamps - job submit, start, end; each step start / end

JES Job Identifiers - batchManagerZos JES jobname/ID

Exit Status - job or step completion code

CPU times - total CPU by job / step; GP and zIIP

Accounting - useful for accounting / chargeback



Liberty Profile server.xml

```
<featureManager>
  <feature>servlet-3.1</feature>
  <feature>batch-1.0</feature>
  <feature>batchManagement-1.0</feature>
</featureManager>
  :
<batchPersistence jobStoreRef="BatchDatabaseStore" />
<databaseStore id="BatchDatabaseStore"
  dataSourceRef="batchDB" schema="JBATCH" tablePrefix="" />
.
```

Relatively simple updates to server . xml ...

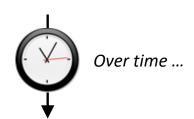
- 1. The batch-1.0 feature enables the JSR 352 core functionality
- 2. The batchManagement-1.0 feature enables the REST interface, job logging, and the ability to configure the multi-JVM support.
- 3. The <batchPersistence> element provides information about where the JobRepository is located

Some details left out of this chart, of course ... but the key point is that configuring the support is based on updates to server .xml

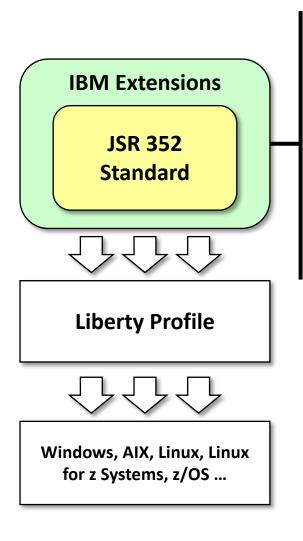


Overall Summary





Modernization
Java
JSR 352



Multiple JobRepository

Job logging

REST interface

Command line client

z/OS: native client

Multi-JVM capability

IBM
WebSphere
Liberty Java
Batch



Other Documentation

8.5.5 Knowledge Center

http://www-01.ibm.com/support/knowledgecenter/SSAW57_8.5.5/com.ibm.websphere.wlp.nd.multiplatform.doc/ae/twlp_container_batch.html

The Techdoc for this presentation

http://www.ibm.com/support/techdocs/atsmastr.nsf/WebIndex/WP102544

- Overview presentation
- Video (in case your access to YouTube is blocked)
- Quick Start Guide
- Detailed step-by-step implementation guide

Other Techdocs related to Java Batch:

Job Classification: http://www-03.ibm.com/support/techdocs/atsmastr.nsf/WebIndex/WP102600

Batch Events: http://www-03.ibm.com/support/techdocs/atsmastr.nsf/WebIndex/WP102603

Batch Topologies: http://www-03.ibm.com/support/techdocs/atsmastr.nsf/WebIndex/WP102626

REST Interface: http://www-03.ibm.com/support/techdocs/atsmastr.nsf/WebIndex/WP102632

Using DFSORT and IDCAMS: http://www-03.ibm.com/support/techdocs/atsmastr.nsf/WebIndex/WP102636

Batch Migration: http://www-03.ibm.com/support/techdocs/atsmastr.nsf/WebIndex/WP102638

Lab Materials: http://www-03.ibm.com/support/techdocs/atsmastr.nsf/WebIndex/WP102639

Data Set Contention: http://www-03.ibm.com/support/techdocs/atsmastr.nsf/WebIndex/WP102667

Batch SMF: http://www-03.ibm.com/support/techdocs/atsmastr.nsf/WebIndex/WP102668

YouTube Video

https://youtu.be/tRhKTMb-5Io

Github Repository (for examples and other links)

https://github.com/WASdev/sample.batch.bonuspayout/wiki/WebSphereLibertyBatchLinks



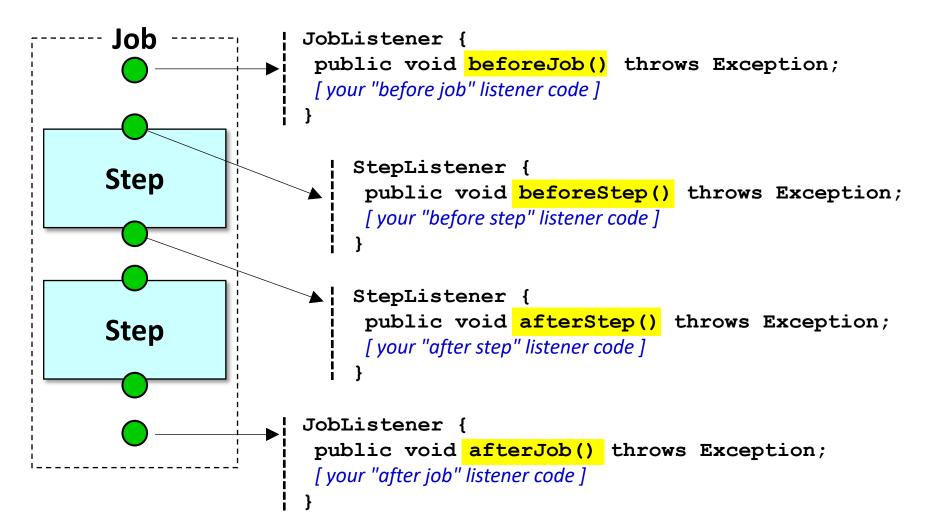
Charts providing additional detail



Listeners

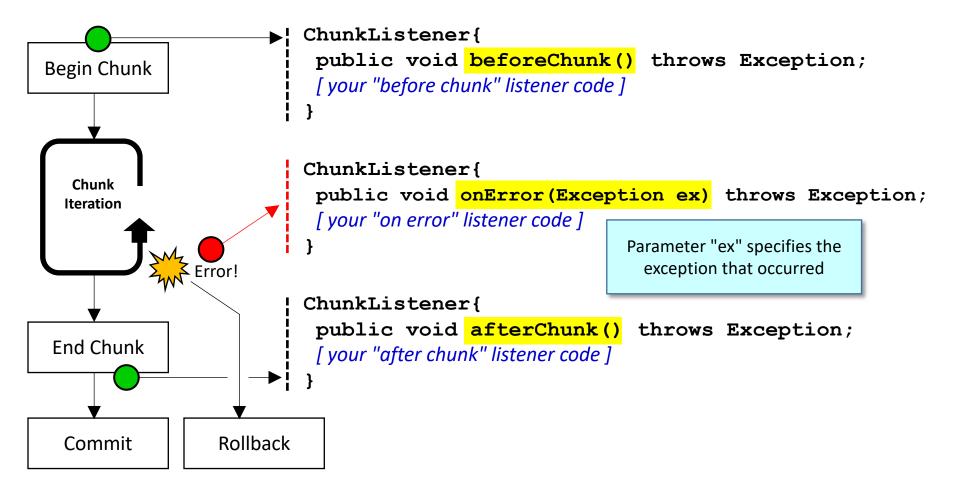


Job and Step Listeners



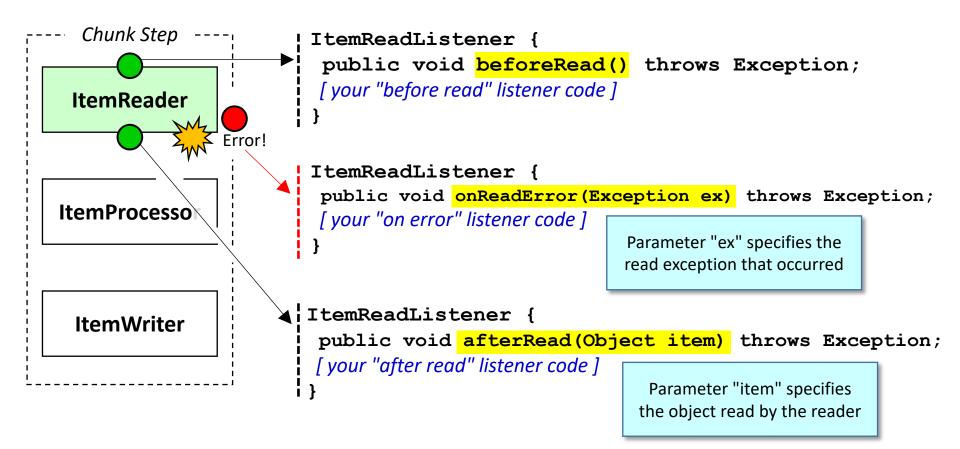


Chunk Listener



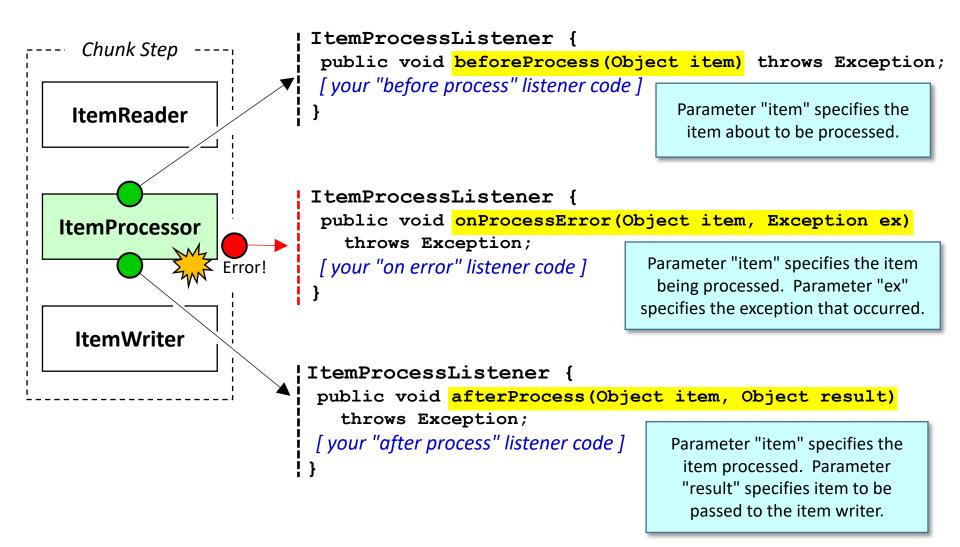


ItemRead Listener



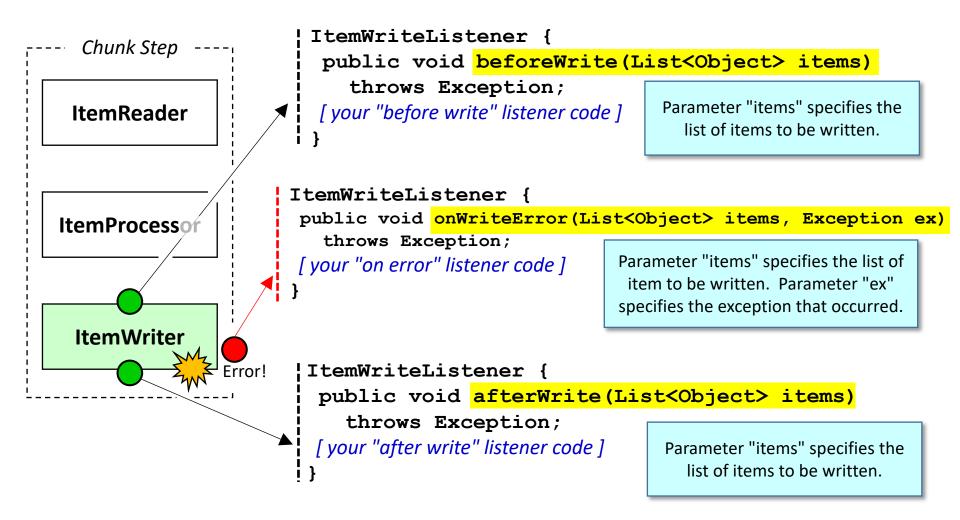


ItemProcess Listener



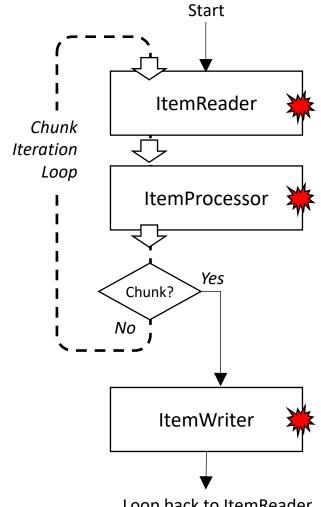


ItemWrite Listener





Overview of Skip Exception Processing



Loop back to ItemReader for next chunk, or end.

Exceptions may occur in all three batch artifacts: read, process, or write.

In the absence of any "skippable exception" definition, an *unhandled* exception thrown results in the termination of the step.

You can define what types of unhandled exceptions can be "skipped"; that is, ignored and processing continues. You may define what is skipped for the chunk step in the JSL. Example:

```
<skippable-exception-classes>
    <include class="java.lang.Exception"/>
    <exclude class="java.io.FileNotFoundException"/>
</skippable-exception-classes>
```

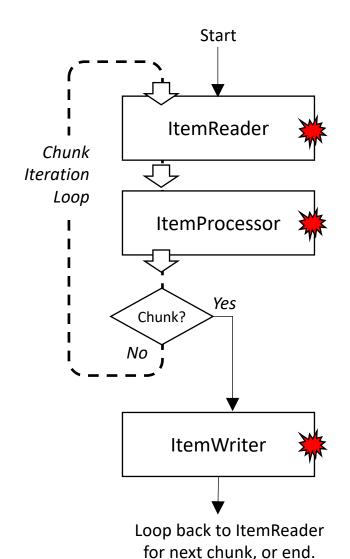
This would skip *all exceptions* except java.io.FileNotFoundException (along with any subclasses of java.io.FileNotFoundException).

Good practice: skip based on your own class names, never general Java exception classes.

The number of skips for a step may be limited by the <skip-limit> JSL element. Default is no limit.



What Happens With a Skipped Exception?



Skipped read -- the container calls ItemReader again and the next item is read.

If you implement a SkipRead listener, you can capture information about the skipped record for processing later.

Skipped process -- the container loops and calls ItemReader again. The next item is read and processed.

If you implement a SkipProcess listener, you can capture information about the item that wasn't processed.

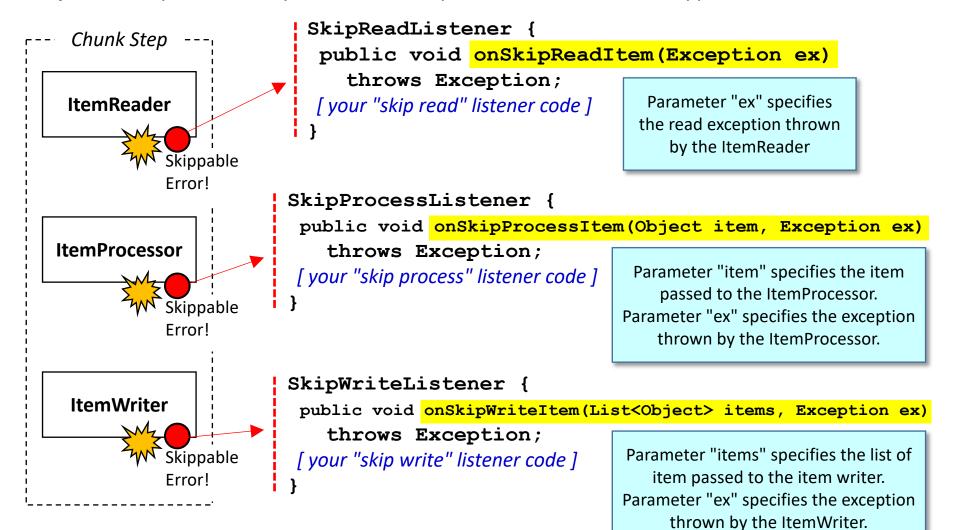
Skipped write -- the container commits the transaction with whatever was (or was not) written. The container starts a *new chunk* and calls the ItemReader.

If you implement a SkipWrite listener, you can capture information about the list of items passed to the writer.



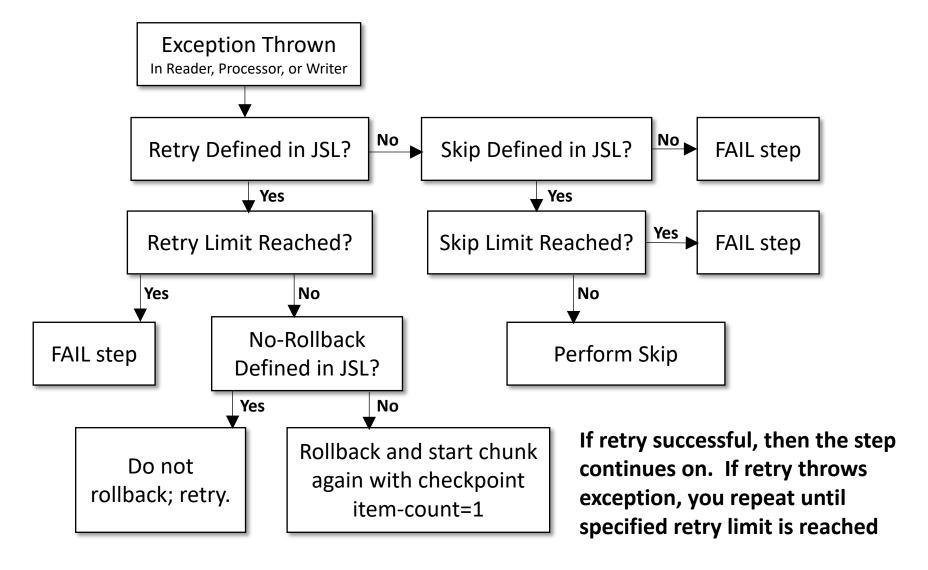
Read, Process, and Write Skip Listeners

Important! Skip listeners only called if the exception is defined in JSL as "skippable."





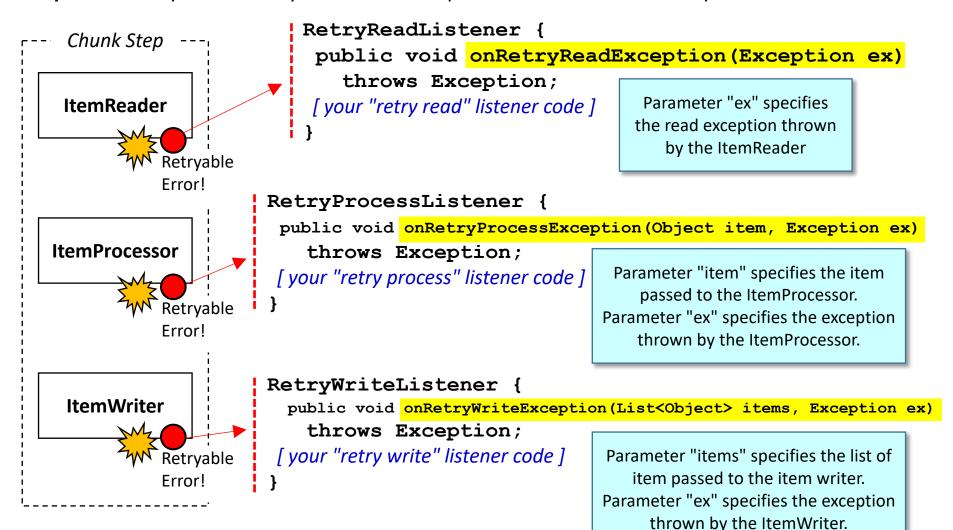
Overview of Retry Exception Processing





Read, Process, and Write Retry Listeners

Important! Retry listeners only called if the exception is defined in JSL as "retryable."

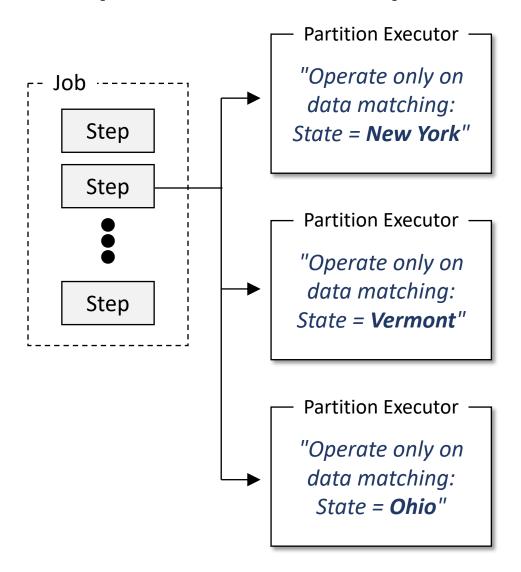




Partitioning



Example: Partitions to Operate on Data by State Name



Two ways to accomplish this:

- 1. Static values in JSL
- 2. Using a partition mapper

We're showing three partitions, but you could have any number of partitions based on your data processing needs



Partitions: "Fixed"* Definition in the JSL

```
<step id="Step1">
                                                                      The property is defined to the
  <chunk item-count="10000">
                                                                      processor, and is indicated to
    <reader ref="com.ibm.ws390.batch.Reader">
                                                                       come from a Partition Plan,
    </reader>
                                                                    which in this JSL is a fixed <plan>
    cprocessor ref="com.ibm.ws390.batch.Processor">
      properties >
        property name="State" value="#{partitionPlan['State']}"/>
      </properties>
    </processor>
    <writer ref="com.ibm.ws390.batch.Writer">
                                                                      The number of partitions is
    </writer>
                                                                        set to a fixed value of 3.
  </chunk>
  <partition>
    <plan partitions="3">
                                                                       The first partition is given
      cproperties partition="0" />
                                                                       a property of "New York."
        cproperty name="State" value="New York" />
                                                                       It works on records related
      </properties>
      cproperties partition="1" />
                                                                           to New York only.
        cproperty name="State" value="Vermont" />
      </properties>
      cproperties partition="2" />
        cproperty name="State" value="Ohio" />
                                                              The other two partitions are given
      </properties>
                                                          properties of "Vermont" and "Ohio." They
    </plan>
                                                            work on their respective data records.
  </partition>
```

^{*} It is possible to pass the values in as job properties and use JSL substitution.



Partitions: Using the Partition Mapper

```
JSL
    <step id="Step1">
                                                                   The property is defined to
      <chunk item-count="10000">
                                                                  the processor, and down in
         <reader ref="com.ibm.ws390.batch.Reader">
                                                                   the <partition> section a
         </reader>
                                                                     <mapper> is defined.
         cprocessor ref="com.ibm.ws390.batch.Processor">
           properties >
             cproperty name="State" value="#{partitionPlan['State']}"/>
           </properties>
         </processor>
         <writer ref="com.ibm.ws390.batch.Writer">
         </writer>
      </chunk>
                         You write this class to provide the parameter
                                                               Partitions = 3
                             string you need for your partitions.
                                                               Array of String Values
      <partition>
         <mapper ref="com.ibm.ws390.batch.StateNameBasedPartitionMapper">
           properties >
             cproperty name="States" value="#{jobParameters['States']}"/>
           </properties>
                                           "New York", "Vermont", "Ohio"
         </mapper>
      </partition>
```



Partition Mapper Specifics

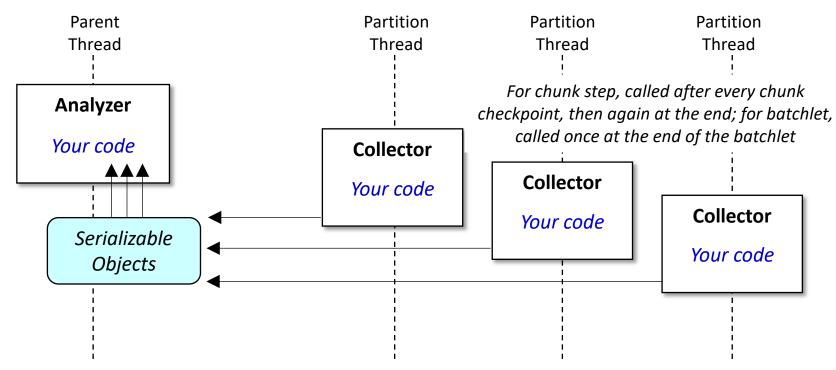
```
package javax.batch.api.partition;
import javax.batch.api.partition.PartitionPlan;
public interface PartitionMapper {
    /**

    * The mapPartitions method that receives control at the
    * start of partitioned step processing. The method
    * returns a PartitionPlan, which specifies the batch properties
    * for each partition.
    * @return partition plan for a partitioned step.
    * @throws Exception is thrown if an error occurs.
    */
    public PartitionPlan mapPartitions() throws Exception;
}
```

Returns the PartitionPlan. A sample implementation of the PartitionPlan is provided as PartitionPlanImpl in the JSR-352 specification.



Overview: Partition Collector and Analyzer

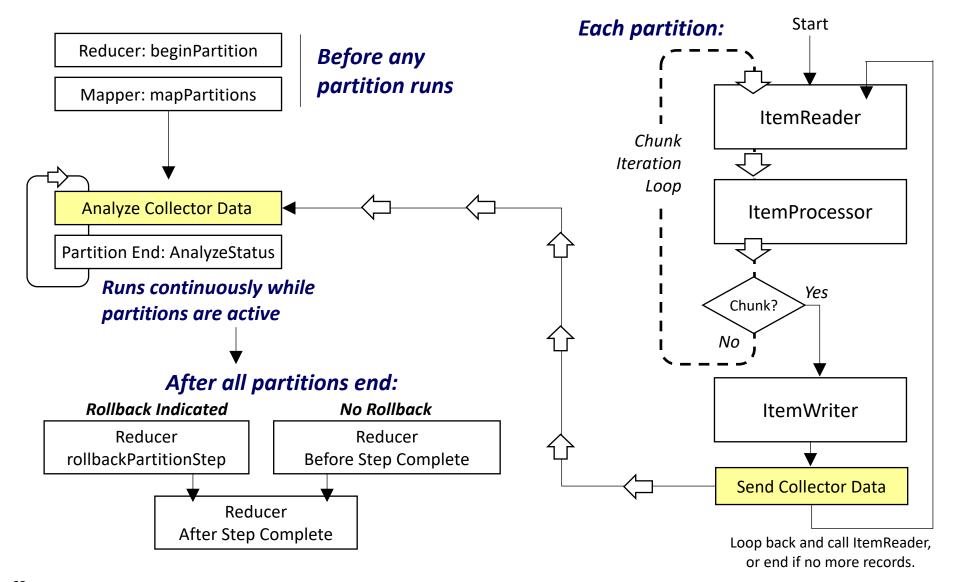


These are optional interfaces you may implement if you wish to collect information from each partition during execution.

The collector runs in each partition and passes data over to the analyzer, which runs in the parent thread.



Overview: Chunk Step with Partitions





Batch Contexts - Job Context

```
getJobName()
getInstanceId()
getExecutionId()
getProperties()
getBatchStatus()
setTransientUserData(Object data)
getTransientUserData()
setExitStatus(String status)
getExitStatus()
```

This data is <u>not</u> persisted to the job repository; it does not exist past the life of the job.

This information stays local to the JVM in which it is set.

This is useful for passing information between steps in a job.



Batch Contexts - Step Context

```
getStepName()
getStepExecutionId()
getProperties()
getBatchStatus()
getException()
getMetrics()
setTransientUserData(Object data)
getTransientUserData()
setPersistentUserData(Serializable data)
getPersistentUserData()
setExitStatus(String status)
getExitStatus()
```

The "step transient" data is different from the "job transient" data.

The "step <u>persistent</u>" data is stored in the job repository at each checkpoint, or at the end of a batchlet step.

For partitions each partition gets its own unique step context, so you can <u>not</u> communicate across partitions this way.

The step context can be a good way to communicate among the components of a step, such as between the reader and the reader listener or a skip listener.