IMP — A `WHILE`-language and its Semantics

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Abstract

The denotational, operational, and axiomatic semantics, a verification condition generator, and all the necessary soundness, completeness and equivalence proofs. Essentially a formalization of the first 100 pages of [3].

An eminently readable description of this theory is found in [2]. See also HOLCF/IMP for a denotational semantics.

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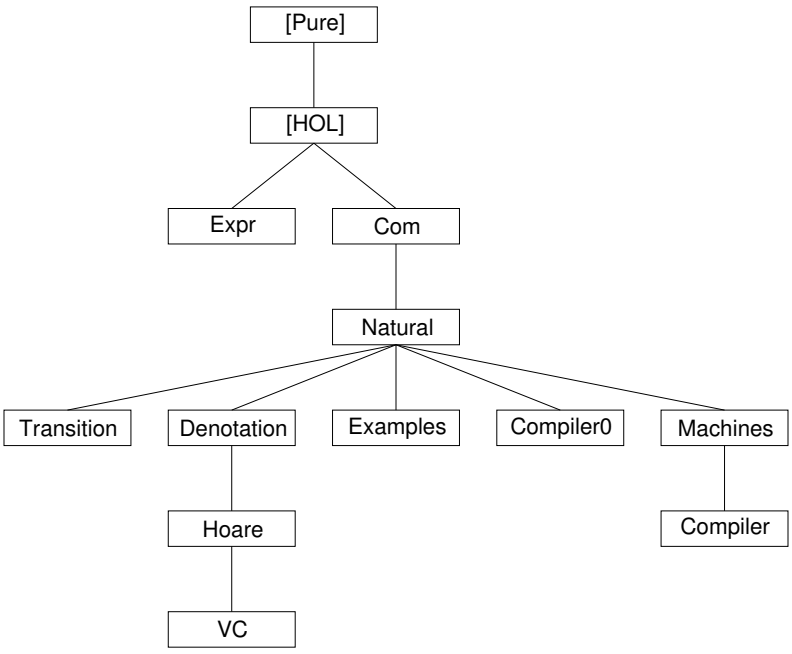

1 Expressions

theory *Expr* **imports** *Main* **begin**

Arithmetic expressions and Boolean expressions. Not used in the rest of the language, but included for completeness.

1.1 Arithmetic expressions

typedecl *loc*

types
```
  state = "loc => nat"
```

datatype
```
  aexp = N nat
       | X loc
       | Op1 "nat => nat" aexp
       | Op2 "nat => nat => nat" aexp aexp
```

1.2 Evaluation of arithmetic expressions

consts `evala    :: "((aexp*state) * nat) set"`
syntax `"_evala"  :: "[aexp*state,nat] => bool"` (**infixl** `"-a->" 50`)
translations
```
    "aesig -a-> n" == "(aesig,n) : evala"
```
inductive *evala*
intros
```
  N:   "(N(n),s) -a-> n"
  X:   "(X(x),s) -a-> s(x)"
  Op1: "(e,s) -a-> n ==> (Op1 f e,s) -a-> f(n)"
  Op2: "[| (e0,s) -a-> n0;  (e1,s)  -a-> n1 |]
        ==> (Op2 f e0 e1,s) -a-> f n0 n1"
```

lemmas *[intro] = N X Op1 Op2*

1.3 Boolean expressions

datatype
```
  bexp = true
       | false
       | ROp  "nat => nat => bool" aexp aexp
       | noti bexp
       | andi bexp bexp          (infixl 60)
       | ori  bexp bexp          (infixl 60)
```

1.4 Evaluation of boolean expressions

consts `evalb    :: "((bexp*state) * bool)set"`
syntax `"_evalb" :: "[bexp*state,bool] => bool"` (**infixl** `"-b->" 50`)

translations
```
"besig -b-> b" == "(besig,b) : evalb"
```

inductive *evalb*
— avoid clash with ML constructors true, false
intros
```
tru:   "(true,s) -b-> True"
fls:   "(false,s) -b-> False"
ROp:   "[| (a0,s) -a-> n0; (a1,s) -a-> n1 |]
        ==> (ROp f a0 a1,s) -b-> f n0 n1"
noti:  "(b,s) -b-> w ==> (noti(b),s) -b-> (~w)"
andi:  "[| (b0,s) -b-> w0; (b1,s) -b-> w1 |]
        ==> (b0 andi b1,s) -b-> (w0 & w1)"
ori:   "[| (b0,s) -b-> w0; (b1,s) -b-> w1 |]
        ==> (b0 ori b1,s) -b-> (w0 | w1)"
```

lemmas *[intro] = tru fls ROp noti andi ori*

1.5 Denotational semantics of arithmetic and boolean expressions

consts
```
A     :: "aexp => state => nat"
B     :: "bexp => state => bool"
```

primrec
```
"A(N(n)) = (%s. n)"
"A(X(x)) = (%s. s(x))"
"A(Op1 f a) = (%s. f(A a s))"
"A(Op2 f a0 a1) = (%s. f (A a0 s) (A a1 s))"
```

primrec
```
"B(true) = (%s. True)"
"B(false) = (%s. False)"
"B(ROp f a0 a1) = (%s. f (A a0 s) (A a1 s))"
"B(noti(b)) = (%s. ~(B b s))"
"B(b0 andi b1) = (%s. (B b0 s) & (B b1 s))"
"B(b0 ori b1) = (%s. (B b0 s) | (B b1 s))"
```

lemma *[simp]: "(N(n),s) -a-> n' = (n = n')"*
⟨*proof*⟩

lemma *[simp]: "(X(x),sigma) -a-> i = (i = sigma x)"*
⟨*proof*⟩

lemma *[simp]:*
"(Op1 f e,sigma) -a-> i = (∃n. i = f n ∧ (e,sigma) -a-> n)"
⟨*proof*⟩

lemma *[simp]:*

```
"(Op2 f a1 a2,sigma) -a-> i =
(∃n0 n1. i = f n0 n1 ∧ (a1, sigma) -a-> n0 ∧ (a2, sigma) -a-> n1)"
```
⟨*proof*⟩

lemma *[simp]*: `"((true,sigma) -b-> w) = (w=True)"`
⟨*proof*⟩

lemma *[simp]*:
```
"((false,sigma) -b-> w) = (w=False)"
```
⟨*proof*⟩

lemma *[simp]*:
```
"((ROp f a0 a1,sigma) -b-> w) =
(? m. (a0,sigma) -a-> m & (? n. (a1,sigma) -a-> n & w = f m n))"
```
⟨*proof*⟩

lemma *[simp]*:
```
"((noti(b),sigma) -b-> w) = (? x. (b,sigma) -b-> x & w = (~x))"
```
⟨*proof*⟩

lemma *[simp]*:
```
"((b0 andi b1,sigma) -b-> w) =
(? x. (b0,sigma) -b-> x & (? y. (b1,sigma) -b-> y & w = (x&y)))"
```
⟨*proof*⟩

lemma *[simp]*:
```
"((b0 ori b1,sigma) -b-> w) =
(? x. (b0,sigma) -b-> x & (? y. (b1,sigma) -b-> y & w = (x|y)))"
```
⟨*proof*⟩

lemma *aexp_iff*:
```
"!!n. ((a,s) -a-> n) = (A a s = n)"
```
⟨*proof*⟩

lemma *bexp_iff*:
```
"!!w. ((b,s) -b-> w) = (B b s = w)"
```
⟨*proof*⟩

end

2 Syntax of Commands

theory *Com* **imports** *Main* **begin**

typedecl *loc*
— an unspecified (arbitrary) type of locations (adresses/names) for variables

types
  val = *nat* — or anything else, *nat* used in examples
  state = *"loc ⇒ val"*
  aexp = *"state ⇒ val"*
  bexp = *"state ⇒ bool"*
  — arithmetic and boolean expressions are not modelled explicitly here,
  — they are just functions on states

datatype
  com = *SKIP*
      | *Assign loc aexp* (*"_ :== _ " 60*)
      | *Semi com com* (*"_; _" [60, 60] 10*)
      | *Cond bexp com com* (*"IF _ THEN _ ELSE _" 60*)
      | *While bexp com* (*"WHILE _ DO _" 60*)

syntax *(latex)*
  SKIP :: com (*"*skip*"*)
  Cond :: "bexp ⇒ com ⇒ com ⇒ com" (*"*if _ then _ else _*" 60*)
  While :: "bexp ⇒ com ⇒ com" (*"*while _ do _*" 60*)

end

3 Natural Semantics of Commands

theory *Natural* **imports** *Com* **begin**

3.1 Execution of commands

consts *evalc :: "(com × state × state) set"*
syntax *"_evalc" :: "[com,state,state] ⇒ bool" ("<_,_>/ -c-> _" [0,0,60] 60)*

syntax *(xsymbols)*
  "_evalc" :: "[com,state,state] ⇒ bool" ("⟨_,_⟩/ ⟶c _" [0,0,60] 60)

syntax *(HTML* **output***)*
  "_evalc" :: "[com,state,state] ⇒ bool" ("⟨_,_⟩/ ⟶c _" [0,0,60] 60)

We write ⟨*c*,*s*⟩ ⟶$_c$ *s'* for *Statement c, started in state s, terminates in state s'*. Formally, ⟨*c*,*s*⟩ ⟶$_c$ *s'* is just another form of saying *the tuple (c,s,s') is part of the relation* `evalc`:

translations *"⟨c,s⟩ ⟶c s'" == "(c,s,s') ∈ evalc"*

constdefs
  update :: "('a ⇒ 'b) ⇒ 'a ⇒ 'b ⇒ ('a ⇒ 'b)" ("_/[_ ::= /_]" [900,0,0] 900)
  "update == fun_upd"

syntax *(xsymbols)*
  update :: "('a ⇒ 'b) ⇒ 'a ⇒ 'b ⇒ ('a ⇒ 'b)" ("_/[_ ↦ /_]" [900,0,0] 900)

The big-step execution relation *evalc* is defined inductively:

inductive *evalc*
  intros
  Skip: "⟨skip,*s*⟩ ⟶$_c$ *s*"
  Assign: "⟨*x* :== a,*s*⟩ ⟶$_c$ *s*[*x*↦a *s*]"

  Semi: "⟨*c0*,*s*⟩ ⟶$_c$ *s''* ⟹ ⟨*c1*,*s''*⟩ ⟶$_c$ *s'* ⟹ ⟨*c0*; *c1*, *s*⟩ ⟶$_c$ *s'*"

  IfTrue: "*b s* ⟹ ⟨*c0*,*s*⟩ ⟶$_c$ *s'* ⟹ ⟨if *b* then *c0* else *c1*, *s*⟩ ⟶$_c$ *s'*"
  IfFalse: "¬*b s* ⟹ ⟨*c1*,*s*⟩ ⟶$_c$ *s'* ⟹ ⟨if *b* then *c0* else *c1*, *s*⟩ ⟶$_c$ *s'*"

  WhileFalse: "¬*b s* ⟹ ⟨while *b* do *c*,*s*⟩ ⟶$_c$ *s*"
  WhileTrue: "*b s* ⟹ ⟨*c*,*s*⟩ ⟶$_c$ *s''* ⟹ ⟨while *b* do *c*, *s''*⟩ ⟶$_c$ *s'*
              ⟹ ⟨while *b* do *c*, *s*⟩ ⟶$_c$ *s'*"

lemmas *evalc.intros [intro]* — use those rules in automatic proofs

The induction principle induced by this definition looks like this:

```
⟦⟨xc,xb⟩ ⟶c xa; ⋀s. P skip s s; ⋀a s x. P (x :== a ) s (s[x ↦ a s]);
 ⋀c0 c1 s s' s''.
    ⟦⟨c0,s⟩ ⟶c s''; P c0 s s''; ⟨c1,s''⟩ ⟶c s'; P c1 s'' s'⟧
    ⟹ P (c0; c1) s s';
 ⋀b c0 c1 s s'. ⟦b s; ⟨c0,s⟩ ⟶c s'; P c0 s s'⟧ ⟹ P (if b then c0 else c1) s s';
 ⋀b c0 c1 s s'. ⟦¬ b s; ⟨c1,s⟩ ⟶c s'; P c1 s s'⟧ ⟹ P (if b then c0 else c1) s s';
 ⋀b c s. ¬ b s ⟹ P (while b do c) s s;
 ⋀b c s s' s''.
    ⟦b s; ⟨c,s⟩ ⟶c s''; P c s s''; ⟨while b do c,s''⟩ ⟶c s';
     P (while b do c) s'' s'⟧
    ⟹ P (while b do c) s s'⟧
⟹ P xc xb xa
```

(⋀ and ⟹ are Isabelle's meta symbols for ∀ and ⟶)

The rules of *evalc* are syntax directed, i.e. for each syntactic category there is always only one rule applicable. That means we can use the rules in both directions. The proofs for this are all the same: one direction is trivial, the other one is shown by using the *evalc* rules backwards:

lemma *skip:*
  "⟨skip,*s*⟩ ⟶$_c$ *s'* = (*s'* = *s*)"
  ⟨*proof*⟩

lemma *assign:*
  "⟨*x* :== a,*s*⟩ ⟶$_c$ *s'* = (*s'* = *s*[*x*↦a *s*])"
  ⟨*proof*⟩

lemma *semi:*
  "⟨*c0*; *c1*, *s*⟩ ⟶$_c$ *s'* = (∃*s''*. ⟨*c0*,*s*⟩ ⟶$_c$ *s''* ∧ ⟨*c1*,*s''*⟩ ⟶$_c$ *s'*)"
  ⟨*proof*⟩

lemma *ifTrue:*
"b s ⟹ ⟨if b then c0 else c1, s⟩ ⟶c s' = ⟨c0,s⟩ ⟶c s'"
⟨*proof*⟩

lemma *ifFalse:*
"¬b s ⟹ ⟨if b then c0 else c1, s⟩ ⟶c s' = ⟨c1,s⟩ ⟶c s'"
⟨*proof*⟩

lemma *whileFalse:*
"¬ b s ⟹ ⟨while b do c,s⟩ ⟶c s' = (s' = s)"
⟨*proof*⟩

lemma *whileTrue:*
"b s ⟹
⟨while b do c, s⟩ ⟶c s' =
(∃s''. ⟨c,s⟩ ⟶c s'' ∧ ⟨while b do c, s''⟩ ⟶c s')"
⟨*proof*⟩

Again, Isabelle may use these rules in automatic proofs:

lemmas *evalc_cases [simp] = skip assign ifTrue ifFalse whileFalse semi whileTrue*

3.2 Equivalence of statements

We call two statements *c* and *c'* equivalent wrt. the big-step semantics when *c started in s terminates in s' iff c' started in the same s also terminates in the same s'*. Formally:

constdefs
equiv_c :: "com ⇒ com ⇒ bool" ("_ ∼ _")
"c ∼ c' ≡ ∀s s'. ⟨c, s⟩ ⟶c s' = ⟨c', s⟩ ⟶c s'"

Proof rules telling Isabelle to unfold the definition if there is something to be proved about equivalent statements:

lemma *equivI [intro!]:*
"(⋀s s'. ⟨c, s⟩ ⟶c s' = ⟨c', s⟩ ⟶c s') ⟹ c ∼ c'"
⟨*proof*⟩

lemma *equivD1:*
"c ∼ c' ⟹ ⟨c, s⟩ ⟶c s' ⟹ ⟨c', s⟩ ⟶c s'"
⟨*proof*⟩

lemma *equivD2:*
"c ∼ c' ⟹ ⟨c', s⟩ ⟶c s' ⟹ ⟨c, s⟩ ⟶c s'"
⟨*proof*⟩

As an example, we show that loop unfolding is an equivalence transformation on programs:

lemma *unfold_while:*
"(while b do c) ∼ (if b then c; while b do c else skip)" (**is** "?w ∼ ?if")
⟨*proof*⟩

3.3 Execution is deterministic

The following proof presents all the details:

theorem *com_det:* "⟨c,s⟩ ⟶$_c$ t ∧ ⟨c,s⟩ ⟶$_c$ u ⟶ u=t"
⟨*proof*⟩

This is the proof as you might present it in a lecture. The remaining cases are simple enough to be proved automatically:

theorem "⟨c,s⟩ ⟶$_c$ t ∧ ⟨c,s⟩ ⟶$_c$ u ⟶ u=t"
⟨*proof*⟩

end

4 Transition Semantics of Commands

theory *Transition* **imports** *Natural* **begin**

4.1 The transition relation

We formalize the transition semantics as in [1]. This makes some of the rules a bit more intuitive, but also requires some more (internal) formal overhead.
Since configurations that have terminated are written without a statement, the transition relation is not *((com × state) × com × state) set* but instead:

consts *evalc1 :: "((com option × state) × (com option × state)) set"*

Some syntactic sugar that we will use to hide the *option* part in configurations:

syntax
"_angle" :: "[com, state] ⇒ com option × state" ("<_,_>")
"_angle2" :: "state ⇒ com option × state" ("<_>")

syntax *(xsymbols)*
"_angle" :: "[com, state] ⇒ com option × state" ("⟨_,_⟩")
"_angle2" :: "state ⇒ com option × state" ("⟨_⟩")

syntax *(HTML* **output***)*
"_angle" :: "[com, state] ⇒ com option × state" ("⟨_,_⟩")
"_angle2" :: "state ⇒ com option × state" ("⟨_⟩")

translations
"⟨c,s⟩" == "(Some c, s)"
"⟨s⟩" == "(None, s)"

More syntactic sugar for the transition relation, and its iteration.

syntax
"_evalc1" :: "[(com option×state),(com option×state)] ⇒ bool"

```
    ("_ -1-> _" [60,60] 60)
  "_evalcn" :: "[(com option×state),nat,(com option×state)] ⇒ bool"
    ("_ -_-> _" [60,60,60] 60)
  "_evalc*" :: "[(com option×state),(com option×state)] ⇒ bool"
    ("_ -*-> _" [60,60] 60)

syntax (xsymbols)
  "_evalc1" :: "[(com option×state),(com option×state)] ⇒ bool"
    ("_ ⟶₁ _" [60,60] 61)
  "_evalcn" :: "[(com option×state),nat,(com option×state)] ⇒ bool"
    ("_ -_→₁ _" [60,60,60] 60)
  "_evalc*" :: "[(com option×state),(com option×state)] ⇒ bool"
    ("_ ⟶₁* _" [60,60] 60)

translations
  "cs ⟶₁ cs'" == "(cs,cs') ∈ evalc1"
  "cs -n→₁ cs'" == "(cs,cs') ∈ evalc1^n"
  "cs ⟶₁* cs'" == "(cs,cs') ∈ evalc1^*"

  — Isabelle converts (cs0,(c1,s1)) to (cs0, c1, s1), so we also include:
  "cs0 ⟶₁ (c1,s1)" == "(cs0,c1,s1) ∈ evalc1"
  "cs0 -n→₁ (c1,s1)" == "(cs0,c1,s1) ∈ evalc1^n"
  "cs0 ⟶₁* (c1,s1)" == "(cs0,c1,s1) ∈ evalc1^*"
```

Now, finally, we are set to write down the rules for our small step semantics:

```
inductive evalc1
  intros
  Skip:    "⟨skip, s⟩ ⟶₁ ⟨s⟩"
  Assign:  "⟨x :== a, s⟩ ⟶₁ ⟨s[x ↦ a s]⟩"

  Semi1:   "⟨c0,s⟩ ⟶₁ ⟨s'⟩ ⟹ ⟨c0;c1,s⟩ ⟶₁ ⟨c1,s'⟩"
  Semi2:   "⟨c0,s⟩ ⟶₁ ⟨c0',s'⟩ ⟹ ⟨c0;c1,s⟩ ⟶₁ ⟨c0';c1,s'⟩"

  IfTrue:  "b s ⟹ ⟨if b then c1 else c2,s⟩ ⟶₁ ⟨c1,s⟩"
  IfFalse: "¬b s ⟹ ⟨if b then c1 else c2,s⟩ ⟶₁ ⟨c2,s⟩"

  While:   "⟨while b do c,s⟩ ⟶₁ ⟨if b then c; while b do c else skip,s⟩"
```

lemmas `[intro] = evalc1.intros` — again, use these rules in automatic proofs
⟨*proof*⟩⟨*proof*⟩

As for the big step semantics you can read these rules in a syntax directed way:

```
lemma SKIP_1: "⟨skip, s⟩ ⟶₁ y = (y = ⟨s⟩)"
```
⟨*proof*⟩

```
lemma Assign_1: "⟨x :== a, s⟩ ⟶₁ y = (y = ⟨s[x ↦ a s]⟩)"
```
⟨*proof*⟩

```
lemma Cond_1:
```

"⟨if b then c1 else c2, s⟩ ⟶₁ y = ((b s ⟶ y = ⟨c1, s⟩) ∧ (¬b s ⟶ y = ⟨c2, s⟩))"
⟨proof⟩

lemma While_1:
"⟨while b do c, s⟩ ⟶₁ y = (y = ⟨if b then c; while b do c else skip, s⟩)"
⟨proof⟩

lemmas [simp] = SKIP_1 Assign_1 Cond_1 While_1

4.2 Examples

lemma
"s x = 0 ⟹ ⟨while λs. s x ≠ 1 do (x:== λs. s x+1), s⟩ ⟶₁* ⟨s[x ↦ 1]⟩"
(**is** "_ ⟹ ⟨?w, _⟩ ⟶₁* _")
⟨proof⟩

lemma
"s x = 2 ⟹ ⟨while λs. s x ≠ 1 do (x:== λs. s x+1), s⟩ ⟶₁* s'"
(**is** "_ ⟹ ⟨?w, _⟩ ⟶₁* s'")
⟨proof⟩

4.3 Basic properties

There are no *stuck* programs:

lemma no_stuck: "∃y. ⟨c,s⟩ ⟶₁ y"
⟨proof⟩

If a configuration does not contain a statement, the program has terminated and there is no next configuration:

lemma stuck [elim!]: "⟨s⟩ ⟶₁ y ⟹ P"
⟨proof⟩

lemma evalc_None_retrancl [simp, dest!]: "⟨s⟩ ⟶₁* s' ⟹ s' = ⟨s⟩"
⟨proof⟩⟨proof⟩⟨proof⟩**lemma** evalc1_None_0 [simp, dest!]: "⟨s⟩ -n→₁ y = (n = 0 ∧ y = ⟨s⟩)"
⟨proof⟩

lemma SKIP_n: "⟨skip, s⟩ -n→₁ ⟨s'⟩ ⟹ s' = s ∧ n=1"
⟨proof⟩

4.4 Equivalence to natural semantics (after Nielson and Nielson)

We first need two lemmas about semicolon statements: decomposition and composition.

lemma semiD:
"⋀c1 c2 s s''. ⟨c1; c2, s⟩ -n→₁ ⟨s''⟩ ⟹
∃i j s'. ⟨c1, s⟩ -i→₁ ⟨s'⟩ ∧ ⟨c2, s'⟩ -j→₁ ⟨s''⟩ ∧ n = i+j"
(**is** "PROP ?P n")
⟨proof⟩

lemma *semiI:*
"⋀*c0 s s''*. ⟨*c0,s*⟩ -*n*→$_1$ ⟨*s''*⟩ ⟹ ⟨*c1,s''*⟩ ⟶$_1^*$ ⟨*s'*⟩ ⟹ ⟨*c0; c1, s*⟩ ⟶$_1^*$ ⟨*s'*⟩"
⟨*proof*⟩

The easy direction of the equivalence proof:

lemma *evalc_imp_evalc1:*
"⟨*c,s*⟩ ⟶$_c$ *s'* ⟹ ⟨*c, s*⟩ ⟶$_1^*$ ⟨*s'*⟩"
⟨*proof*⟩

Finally, the equivalence theorem:

theorem *evalc_equiv_evalc1:*
"⟨*c, s*⟩ ⟶$_c$ *s'* = ⟨*c,s*⟩ ⟶$_1^*$ ⟨*s'*⟩"
⟨*proof*⟩

4.5 Winskel's Proof

declare *rel_pow_0_E [elim!]*

Winskel's small step rules are a bit different [3]; we introduce their equivalents as derived rules:

lemma *whileFalse1 [intro]:*
"¬ *b s* ⟹ ⟨while *b* do *c,s*⟩ ⟶$_1^*$ ⟨*s*⟩" (**is** "_ ⟹ ⟨*?w, s*⟩ ⟶$_1^*$ ⟨*s*⟩")
⟨*proof*⟩

lemma *whileTrue1 [intro]:*
"*b s* ⟹ ⟨while *b* do *c,s*⟩ ⟶$_1^*$ ⟨*c;* while *b* do *c, s*⟩"
(**is** "_ ⟹ ⟨*?w, s*⟩ ⟶$_1^*$ ⟨*c;?w,s*⟩")
⟨*proof*⟩

inductive_cases *evalc1_SEs:*
"⟨skip,*s*⟩ ⟶$_1$ *t*"
"⟨*x:==a,s*⟩ ⟶$_1$ *t*"
"⟨*c1;c2, s*⟩ ⟶$_1$ *t*"
"⟨if *b* then *c1* else *c2, s*⟩ ⟶$_1$ *t*"
"⟨while *b* do *c, s*⟩ ⟶$_1$ *t*"

inductive_cases *evalc1_E:* "⟨while *b* do *c, s*⟩ ⟶$_1$ *t*"

declare *evalc1_SEs [elim!]*

lemma *evalc_impl_evalc1:* "⟨*c,s*⟩ ⟶$_c$ *s1* ⟹ ⟨*c,s*⟩ ⟶$_1^*$ ⟨*s1*⟩"
⟨*proof*⟩

lemma *lemma2 [rule_format (no_asm)]:*
"∀*c d s u*. ⟨*c;d,s*⟩ -*n*→$_1$ ⟨*u*⟩ ⟶ (∃*t m*. ⟨*c,s*⟩ ⟶$_1^*$ ⟨*t*⟩ ∧ ⟨*d,t*⟩ -*m*→$_1$ ⟨*u*⟩ ∧ *m* ≤ *n*)"
⟨*proof*⟩

lemma *evalc1_impl_evalc [rule_format (no_asm)]:*
"∀ s t. ⟨c,s⟩ −→₁ ⟨t⟩ −→ ⟨c,s⟩ −→c t"*
⟨*proof*⟩

proof of the equivalence of evalc and evalc1

lemma *evalc1_eq_evalc: "(⟨c, s⟩ −→₁* ⟨t⟩) = (⟨c,s⟩ −→c t)"*
⟨*proof*⟩

4.6 A proof without n

The inductions are a bit awkward to write in this section, because *None* as result statement in the small step semantics doesn't have a direct counterpart in the big step semantics.

Winskel's small step rule set (using the skip statement to indicate termination) is better suited for this proof.

lemma *my_lemma1 [rule_format (no_asm)]:*
"⟨c1,s1⟩ −→₁ ⟨s2⟩ ⟹ ⟨c2,s2⟩ −→₁* cs3 ⟹ ⟨c1;c2,s1⟩ −→₁* cs3"*
⟨*proof*⟩

lemma *evalc_impl_evalc1': "⟨c,s⟩ −→c s1 ⟹ ⟨c,s⟩ −→₁* ⟨s1⟩"*
⟨*proof*⟩

The opposite direction is based on a Coq proof done by Ranan Fraer and Yves Bertot. The following sketch is from an email by Ranan Fraer.

```
First we've broke it into 2 lemmas:

Lemma 1
((c,s) --> (SKIP,t)) => (<c,s> -c-> t)

This is a quick one, dealing with the cases skip, assignment
and while_false.

Lemma 2
((c,s) -*-> (c',s')) /\ <c',s'> -c'-> t
  =>
<c,s> -c-> t

This is proved by rule induction on the  -*-> relation
and the induction step makes use of a third lemma:

Lemma 3
((c,s) --> (c',s')) /\ <c',s'> -c'-> t
  =>
<c,s> -c-> t

This captures the essence of the proof, as it shows that <c',s'>
```

behaves as the continuation of <c,s> with respect to the natural semantics.
The proof of Lemma 3 goes by rule induction on the --> relation, dealing with the cases sequence1, sequence2, if_true, if_false and while_true. In particular in the case (sequence1) we make use again of Lemma 1.

inductive_cases *evalc1_term_cases:* "$\langle c,s\rangle \longrightarrow_1 \langle s'\rangle$"

lemma *FB_lemma3 [rule_format]:*
"$(c,s) \longrightarrow_1 (c',s') \Longrightarrow c \neq None \longrightarrow$
$(\forall t.\ \langle$*if c'=None then* skip *else the c',s'*$\rangle \longrightarrow_c t \longrightarrow \langle$*the c,s*$\rangle \longrightarrow_c t)$"
⟨*proof*⟩

lemma *FB_lemma2 [rule_format]:*
"$(c,s) \longrightarrow_1^* (c',s') \Longrightarrow c \neq None \longrightarrow$
$\langle$*if c' = None then* skip *else the c',s'*$\rangle \longrightarrow_c t \longrightarrow \langle$*the c,s*$\rangle \longrightarrow_c t$"
⟨*proof*⟩

lemma *evalc1_impl_evalc':* "$\langle c,s\rangle \longrightarrow_1^* \langle t\rangle \Longrightarrow \langle c,s\rangle \longrightarrow_c t$"
⟨*proof*⟩

end

5 Denotational Semantics of Commands

theory *Denotation* **imports** *Natural* **begin**

types *com_den = "(state×state)set"*

constdefs
Gamma :: "[bexp,com_den] => (com_den => com_den)"
"Gamma b cd == (λphi. {(s,t). (s,t) ∈ (phi O cd) ∧ b s} ∪
{(s,t). s=t ∧ ¬b s})"

consts
C :: "com => com_den"

primrec
C_skip: "*C* skip = *Id*"
C_assign: "*C (x :== a) = {(s,t). t = s[x↦a(s)]}*"
C_comp: "*C (c0;c1) = C(c1) O C(c0)*"
C_if: "*C (*if *b* then *c1* else *c2) = {(s,t). (s,t) ∈ C c1 ∧ b s} ∪*
{(s,t). (s,t) ∈ C c2 ∧ ¬b s}"
C_while: "*C(*while *b* do *c) = lfp (Gamma b (C c))*"

lemma *Gamma_mono: "mono (Gamma b c)"*
⟨*proof*⟩

lemma *C_While_If: "C(*while *b* do *c) = C(*if *b* then *c;* while *b* do *c* else skip*)"*
⟨*proof*⟩

lemma *com1: "⟨c,s⟩ −→c t ⟹ (s,t) ∈ C(c)"*

⟨*proof*⟩

lemma *com2 [rule_format]: "∀s t. (s,t)∈C(c) ⟶ ⟨c,s⟩ −→c t"*
⟨*proof*⟩

lemma *denotational_is_natural: "(s,t) ∈ C(c) = (⟨c,s⟩ −→c t)"*
⟨*proof*⟩

end

6 Inductive Definition of Hoare Logic

theory *Hoare* **imports** *Denotation* **begin**

types *assn = "state => bool"*

constdefs *hoare_valid :: "[assn,com,assn] => bool" ("|= {(1_)}/ (_)/ {(1_)}" 50)*
"|= {P}c{Q} == !s t. (s,t) : C(c) --> P s --> Q t"

consts *hoare :: "(assn * com * assn) set"*
syntax *"_hoare" :: "[bool,com,bool] => bool" ("|- ({(1_)}/ (_)/ {(1_)})" 50)*
translations *"|- {P}c{Q}" == "(P,c,Q) : hoare"*

inductive *hoare*
intros
*skip: "|- {P}*skip*{P}"*
ass: "|- {%s. P(s[x↦a s])} x:==a {P}"
semi: "[| |- {P}c{Q}; |- {Q}d{R} |] ==> |- {P} c;d {R}"
If: "[| |- {%s. P s & b s}c{Q}; |- {%s. P s & ~b s}d{Q} |] ==>
|- {P} if *b* then *c* else *d {Q}"*
While: "|- {%s. P s & b s} c {P} ==>

```
        |- {P} while b do c {%s. P s & ~b s}"
  conseq: "[| !s. P' s --> P s; |- {P}c{Q}; !s. Q s --> Q' s |] ==>
           |- {P'}c{Q'}"
```

constdefs `wp :: "com => assn => assn"`
`"wp c Q == (%s. !t. (s,t) : C(c) --> Q t)"`

lemma *hoare_conseq1:* `"[| !s. P' s --> P s; |- {P}c{Q} |] ==> |- {P'}c{Q}"`
⟨*proof*⟩

lemma *hoare_conseq2:* `"[| |- {P}c{Q}; !s. Q s --> Q' s |] ==> |- {P}c{Q'}"`
⟨*proof*⟩

lemma *hoare_sound:* `"|- {P}c{Q} ==> |= {P}c{Q}"`
⟨*proof*⟩

lemma *wp_SKIP:* `"wp` skip `Q = Q"`
⟨*proof*⟩

lemma *wp_Ass:* `"wp (x:==a) Q = (%s. Q(s[x↦a s]))"`
⟨*proof*⟩

lemma *wp_Semi:* `"wp (c;d) Q = wp c (wp d Q)"`
⟨*proof*⟩

lemma *wp_If:*
`"wp (`if `b` then `c` else `d) Q = (%s. (b s --> wp c Q s) & (~b s --> wp d Q s))"`
⟨*proof*⟩

lemma *wp_While_True:*
`"b s ==> wp (`while `b` do `c) Q s = wp (c;`while `b` do `c) Q s"`
⟨*proof*⟩

lemma *wp_While_False:* `"~b s ==> wp (`while `b` do `c) Q s = Q s"`
⟨*proof*⟩

lemmas *[simp] = wp_SKIP wp_Ass wp_Semi wp_If wp_While_True wp_While_False*

lemma *wp_While_if:*
`"wp (`while `b` do `c) Q s = (if b s then wp (c;`while `b` do `c) Q s else Q s)"`
⟨*proof*⟩

lemma *wp_While:* `"wp (`while `b` do `c) Q s =`
`(s : gfp(%S.{s. if b s then wp c (%s. s:S) s else Q s}))"`
⟨*proof*⟩

declare *C_while [simp del]*

lemmas *[intro!] = hoare.skip hoare.ass hoare.semi hoare.If*

lemma *wp_is_pre [rule_format (no_asm)]: "!Q. |- {wp c Q} c {Q}"*
⟨*proof*⟩

lemma *hoare_relative_complete: "|= {P}c{Q} ==> |- {P}c{Q}"*
⟨*proof*⟩

end

7 Verification Conditions

theory *VC* **imports** *Hoare* **begin**

datatype *acom = Askip*
| Aass loc aexp
| Asemi acom acom
| Aif bexp acom acom
| Awhile bexp assn acom

consts
vc :: "acom => assn => assn"
awp :: "acom => assn => assn"
vcawp :: "acom => assn => assn × assn"
astrip :: "acom => com"

primrec
"awp Askip Q = Q"
"awp (Aass x a) Q = (λs. Q(s[x↦a s]))"
"awp (Asemi c d) Q = awp c (awp d Q)"
"awp (Aif b c d) Q = (λs. (b s-->awp c Q s) & (~b s-->awp d Q s))"
"awp (Awhile b I c) Q = I"

primrec
"vc Askip Q = (λs. True)"
"vc (Aass x a) Q = (λs. True)"
"vc (Asemi c d) Q = (λs. vc c (awp d Q) s & vc d Q s)"
"vc (Aif b c d) Q = (λs. vc c Q s & vc d Q s)"
"vc (Awhile b I c) Q = (λs. (I s & ~b s --> Q s) &
(I s & b s --> awp c I s) & vc c I s)"

primrec
"astrip Askip = SKIP"
"astrip (Aass x a) = (x:==a)"
"astrip (Asemi c d) = (astrip c;astrip d)"
"astrip (Aif b c d) = (if *b* then *astrip c* else *astrip d)"*
"astrip (Awhile b I c) = (while *b* do *astrip c)"*

primrec

```
  "vcawp Askip Q = (λs. True, Q)"
  "vcawp (Aass x a) Q = (λs. True, λs. Q(s[x↦a s]))"
  "vcawp (Asemi c d) Q = (let (vcd,wpd) = vcawp d Q;
                              (vcc,wpc) = vcawp c wpd
                          in (λs. vcc s & vcd s, wpc))"
  "vcawp (Aif b c d) Q = (let (vcd,wpd) = vcawp d Q;
                              (vcc,wpc) = vcawp c Q
                          in (λs. vcc s & vcd s,
                              λs.(b s --> wpc s) & (~b s --> wpd s)))"
  "vcawp (Awhile b I c) Q = (let (vcc,wpc) = vcawp c I
                             in (λs. (I s & ~b s --> Q s) &
                                     (I s & b s --> wpc s) & vcc s, I))"
```

declare *hoare.intros [intro]*

lemma *l: "!s. P s --> P s"* ⟨*proof*⟩

lemma *vc_sound: "!Q. (!s. vc c Q s) --> |- {awp c Q} astrip c {Q}"*
⟨*proof*⟩

lemma *awp_mono [rule_format (no_asm)]:*
"!P Q. (!s. P s --> Q s) --> (!s. awp c P s --> awp c Q s)"
⟨*proof*⟩

lemma *vc_mono [rule_format (no_asm)]:*
"!P Q. (!s. P s --> Q s) --> (!s. vc c P s --> vc c Q s)"
⟨*proof*⟩

lemma *vc_complete:* **assumes** *der: "|- {P}c{Q}"*
shows *"(? ac. astrip ac = c & (!s. vc ac Q s) & (!s. P s --> awp ac Q s))"*
(**is** *"? ac. ?Eq P c Q ac"*)
⟨*proof*⟩

lemma *vcawp_vc_awp: "!Q. vcawp c Q = (vc c Q, awp c Q)"*
⟨*proof*⟩

end

8 Examples

theory *Examples* **imports** *Natural* **begin**

constdefs

```
  factorial :: "loc => loc => com"
  "factorial a b == b :== (%s. 1);
                    while (%s. s a ~= 0) do
                    (b :== (%s. s b * s a); a :== (%s. s a - 1))"
```

declare *update_def [simp]*

8.1 An example due to Tony Hoare

lemma *lemma1 [rule_format (no_asm)]:*

```
  "[| !x. P x ⟶ Q x; ⟨w,s⟩ ⟶c t |] ==>
  !c. w = While P c ⟶ ⟨While Q c,t⟩ ⟶c u ⟶ ⟨While Q c,s⟩ ⟶c u"
```

⟨*proof*⟩

lemma *lemma2 [rule_format (no_asm)]:*

```
  "[| !x. P x ⟶ Q x; ⟨w,s⟩ ⟶c u |] ==>
  !c. w = While Q c ⟶ ⟨While P c; While Q c,s⟩ ⟶c u"
```

⟨*proof*⟩

lemma *Hoare_example: "!x. P x ⟶ Q x ==>*

```
  (⟨While P c; While Q c, s⟩ ⟶c t) = (⟨While Q c, s⟩ ⟶c t)"
```

⟨*proof*⟩

8.2 Factorial

lemma *factorial_3: "a~=b ==>*

```
  ⟨factorial a b, Mem(a:=3)⟩ ⟶c Mem(b:=6, a:=0)"
```

⟨*proof*⟩

the same in single step mode:

lemmas *[simp del] = evalc_cases*
lemma *"a~=b ⟹ ⟨factorial a b, Mem(a:=3)⟩ ⟶c Mem(b:=6, a:=0)"*
⟨*proof*⟩

end

9 A Simple Compiler

theory *Compiler0* **imports** *Natural* **begin**

9.1 An abstract, simplistic machine

There are only three instructions:

datatype *instr = ASIN loc aexp | JMPF bexp nat | JMPB nat*

We describe execution of programs in the machine by an operational (small step) semantics:

```
consts  stepa1 :: "instr list ⇒ ((state×nat) × (state×nat))set"

syntax
  "_stepa1" :: "[instr list,state,nat,state,nat] ⇒ bool"
               ("_ |- (3<_,_>/ -1-> <_,_>)" [50,0,0,0,0] 50)
  "_stepa" :: "[instr list,state,nat,state,nat] ⇒ bool"
               ("_ |-/ (3<_,_>/ -*-> <_,_>)" [50,0,0,0,0] 50)

  "_stepan" :: "[instr list,state,nat,nat,state,nat] ⇒ bool"
               ("_ |-/ (3<_,_>/ -(_)-> <_,_>)" [50,0,0,0,0,0] 50)

syntax (xsymbols)
  "_stepa1" :: "[instr list,state,nat,state,nat] ⇒ bool"
               ("_ ⊢ (3⟨_,_⟩/ -1→ ⟨_,_⟩)" [50,0,0,0,0] 50)
  "_stepa" :: "[instr list,state,nat,state,nat] ⇒ bool"
               ("_ ⊢/ (3⟨_,_⟩/ -*→ ⟨_,_⟩)" [50,0,0,0,0] 50)
  "_stepan" :: "[instr list,state,nat,nat,state,nat] ⇒ bool"
               ("_ ⊢/ (3⟨_,_⟩/ -(_)→ ⟨_,_⟩)" [50,0,0,0,0,0] 50)

syntax (HTML output)
  "_stepa1" :: "[instr list,state,nat,state,nat] ⇒ bool"
               ("_ |- (3⟨_,_⟩/ -1→ ⟨_,_⟩)" [50,0,0,0,0] 50)
  "_stepa" :: "[instr list,state,nat,state,nat] ⇒ bool"
               ("_ |-/ (3⟨_,_⟩/ -*→ ⟨_,_⟩)" [50,0,0,0,0] 50)
  "_stepan" :: "[instr list,state,nat,nat,state,nat] ⇒ bool"
               ("_ |-/ (3⟨_,_⟩/ -(_)→ ⟨_,_⟩)" [50,0,0,0,0,0] 50)

translations
  "P ⊢ ⟨s,m⟩ -1→ ⟨t,n⟩" == "((s,m),t,n) : stepa1 P"
  "P ⊢ ⟨s,m⟩ -*→ ⟨t,n⟩" == "((s,m),t,n) : ((stepa1 P)^*)"
  "P ⊢ ⟨s,m⟩ -(i)→ ⟨t,n⟩" == "((s,m),t,n) : ((stepa1 P)^i)"

inductive "stepa1 P"
intros
ASIN[simp]:
  "⟦ n<size P; P!n = ASIN x a ⟧ ⟹ P ⊢ ⟨s,n⟩ -1→ ⟨s[x↦ a s],Suc n⟩"
JMPFT[simp,intro]:
  "⟦ n<size P; P!n = JMPF b i;  b s ⟧ ⟹ P ⊢ ⟨s,n⟩ -1→ ⟨s,Suc n⟩"
JMPFF[simp,intro]:
  "⟦ n<size P; P!n = JMPF b i; ~b s; m=n+i ⟧ ⟹ P ⊢ ⟨s,n⟩ -1→ ⟨s,m⟩"
JMPB[simp]:
  "⟦ n<size P; P!n = JMPB i; i <= n; j = n-i ⟧ ⟹ P ⊢ ⟨s,n⟩ -1→ ⟨s,j⟩"
```

9.2 The compiler

```
consts compile :: "com ⇒ instr list"
primrec
"compile skip = []"
```

```
"compile (x:==a) = [ASIN x a]"
"compile (c1;c2) = compile c1 @ compile c2"
"compile (if b then c1 else c2) =
 [JMPF b (length(compile c1) + 2)] @ compile c1 @
 [JMPF (%x. False) (length(compile c2)+1)] @ compile c2"
"compile (while b do c) = [JMPF b (length(compile c) + 2)] @ compile c @
 [JMPB (length(compile c)+1)]"
```

declare *nth_append[simp]*

9.3 Context lifting lemmas

Some lemmas for lifting an execution into a prefix and suffix of instructions; only needed for the first proof.

lemma *app_right_1:*
  assumes *A: "is1 ⊢ ⟨s1,i1⟩ -1→ ⟨s2,i2⟩"*
  shows *"is1 @ is2 ⊢ ⟨s1,i1⟩ -1→ ⟨s2,i2⟩"*
⟨*proof*⟩

lemma *app_left_1:*
  assumes *A: "is2 ⊢ ⟨s1,i1⟩ -1→ ⟨s2,i2⟩"*
  shows *"is1 @ is2 ⊢ ⟨s1,size is1+i1⟩ -1→ ⟨s2,size is1+i2⟩"*
⟨*proof*⟩

declare *rtrancl_induct2 [induct set: rtrancl]*

lemma *app_right:*
assumes *A: "is1 ⊢ ⟨s1,i1⟩ -*→ ⟨s2,i2⟩"*
shows *"is1 @ is2 ⊢ ⟨s1,i1⟩ -*→ ⟨s2,i2⟩"*
⟨*proof*⟩

lemma *app_left:*
assumes *A: "is2 ⊢ ⟨s1,i1⟩ -*→ ⟨s2,i2⟩"*
shows *"is1 @ is2 ⊢ ⟨s1,size is1+i1⟩ -*→ ⟨s2,size is1+i2⟩"*
⟨*proof*⟩

lemma *app_left2:*
  "⟦ is2 ⊢ ⟨s1,i1⟩ -→ ⟨s2,i2⟩; j1 = size is1+i1; j2 = size is1+i2 ⟧ ⟹*
   is1 @ is2 ⊢ ⟨s1,j1⟩ -→ ⟨s2,j2⟩"*
  ⟨*proof*⟩

lemma *app1_left:*
  "is ⊢ ⟨s1,i1⟩ -→ ⟨s2,i2⟩ ⟹*
   instr # is ⊢ ⟨s1,Suc i1⟩ -→ ⟨s2,Suc i2⟩"*
  ⟨*proof*⟩

9.4 Compiler correctness

declare *rtrancl_into_rtrancl[trans]*

```
converse_rtrancl_into_rtrancl[trans]
rtrancl_trans[trans]
```

The first proof; The statement is very intuitive, but application of induction hypothesis requires the above lifting lemmas

theorem assumes *A: "⟨c,s⟩ ⟶c t"*
shows *"compile c ⊢ ⟨s,0⟩ -*→ ⟨t,length(compile c)⟩" (***is** *"?P c s t")*
⟨*proof*⟩

Second proof; statement is generalized to cater for prefixes and suffixes; needs none of the lifting lemmas, but instantiations of pre/suffix.

Missing: the other direction! I did much of it, and although the main lemma is very similar to the one in the new development, the lemmas surrounding it seemed much more complicated. In the end I gave up.

end

theory *Machines* **imports** *Natural* **begin**

lemma *rtrancl_eq: "R^* = Id ∪ (R O R^*)"*
⟨*proof*⟩

lemma *converse_rtrancl_eq: "R^* = Id ∪ (R^* O R)"*
⟨*proof*⟩

lemmas *converse_rel_powE = rel_pow_E2*

lemma *R_O_Rn_commute: "R O R^n = R^n O R"*
⟨*proof*⟩

lemma *converse_in_rel_pow_eq:*
"((x,z) ∈ R^n) = (n=0 ∧ z=x ∨ (∃m y. n = Suc m ∧ (x,y) ∈ R ∧ (y,z) ∈ R^m))"
⟨*proof*⟩

lemma *rel_pow_plus: "R^(m+n) = R^n O R^m"*
⟨*proof*⟩

lemma *rel_pow_plusI: "⟦ (x,y) ∈ R^m; (y,z) ∈ R^n ⟧ ⟹ (x,z) ∈ R^(m+n)"*
⟨*proof*⟩

9.5 Instructions

There are only three instructions:

datatype *instr = SET loc aexp | JMPF bexp nat | JMPB nat*

types *instrs = "instr list"*

9.6 M0 with PC

consts *exec01 :: "instr list ⇒ ((nat×state) × (nat×state))set"*
syntax
```
  "_exec01" :: "[instrs, nat,state, nat,state] ⇒ bool"
               ("(_/ |- (1<_,/_>)/ -1-> (1<_,/_>))" [50,0,0,0,0] 50)
  "_exec0s" :: "[instrs, nat,state, nat,state] ⇒ bool"
               ("(_/ |- (1<_,/_>)/ -*-> (1<_,/_>))" [50,0,0,0,0] 50)
  "_exec0n" :: "[instrs, nat,state, nat, nat,state] ⇒ bool"
               ("(_/ |- (1<_,/_>)/ -_-> (1<_,/_>))" [50,0,0,0,0] 50)
```

syntax *(xsymbols)*
```
  "_exec01" :: "[instrs, nat,state, nat,state] ⇒ bool"
               ("(_/ ⊢ (1⟨_,/_⟩)/ -1→ (1⟨_,/_⟩))" [50,0,0,0,0] 50)
  "_exec0s" :: "[instrs, nat,state, nat,state] ⇒ bool"
               ("(_/ ⊢ (1⟨_,/_⟩)/ -*→ (1⟨_,/_⟩))" [50,0,0,0,0] 50)
  "_exec0n" :: "[instrs, nat,state, nat, nat,state] ⇒ bool"
               ("(_/ ⊢ (1⟨_,/_⟩)/ -_→ (1⟨_,/_⟩))" [50,0,0,0,0] 50)
```

syntax *(HTML* **output***)*
```
  "_exec01" :: "[instrs, nat,state, nat,state] ⇒ bool"
               ("(_/ |- (1⟨_,/_⟩)/ -1→ (1⟨_,/_⟩))" [50,0,0,0,0] 50)
  "_exec0s" :: "[instrs, nat,state, nat,state] ⇒ bool"
               ("(_/ |- (1⟨_,/_⟩)/ -*→ (1⟨_,/_⟩))" [50,0,0,0,0] 50)
  "_exec0n" :: "[instrs, nat,state, nat, nat,state] ⇒ bool"
               ("(_/ |- (1⟨_,/_⟩)/ -_→ (1⟨_,/_⟩))" [50,0,0,0,0] 50)
```

translations
```
  "p ⊢ ⟨i,s⟩ -1→ ⟨j,t⟩" == "((i,s),j,t) : (exec01 p)"
  "p ⊢ ⟨i,s⟩ -*→ ⟨j,t⟩" == "((i,s),j,t) : (exec01 p)^*"
  "p ⊢ ⟨i,s⟩ -n→ ⟨j,t⟩" == "((i,s),j,t) : (exec01 p)^n"
```

inductive *"exec01 P"*
intros
```
SET: "⟦ n<size P; P!n = SET x a ⟧ ⟹ P ⊢ ⟨n,s⟩ -1→ ⟨Suc n,s[x↦ a s]⟩"
JMPFT: "⟦ n<size P; P!n = JMPF b i;  b s ⟧ ⟹ P ⊢ ⟨n,s⟩ -1→ ⟨Suc n,s⟩"
JMPFF: "⟦ n<size P; P!n = JMPF b i; ¬b s; m=n+i+1; m ≤ size P ⟧
       ⟹ P ⊢ ⟨n,s⟩ -1→ ⟨m,s⟩"
JMPB:  "⟦ n<size P; P!n = JMPB i; i ≤ n; j = n-i ⟧ ⟹ P ⊢ ⟨n,s⟩ -1→ ⟨j,s⟩"
```

9.7 M0 with lists

We describe execution of programs in the machine by an operational (small step) semantics:

types *config = "instrs × instrs × state"*

consts *stepa1 :: "(config × config)set"*

syntax
```
  "_stepa1" :: "[instrs,instrs,state, instrs,instrs,state] ⇒ bool"
               ("((1<_,/_,/_>)/ -1-> (1<_,/_,/_>))" 50)
```

```
  "_stepa" :: "[instrs,instrs,state, instrs,instrs,state] ⇒ bool"
              ("((1<_,/_,/_>)/ -*-> (1<_,/_,/_>))" 50)
  "_stepan" :: "[state,instrs,instrs, nat, instrs,instrs,state] ⇒ bool"
              ("((1<_,/_,/_>)/ -_-> (1<_,/_,/_>))" 50)

syntax (xsymbols)
  "_stepa1" :: "[instrs,instrs,state, instrs,instrs,state] ⇒ bool"
              ("((1⟨_,/_,/_⟩)/ -1→ (1⟨_,/_,/_⟩))" 50)
  "_stepa" :: "[instrs,instrs,state, instrs,instrs,state] ⇒ bool"
              ("((1⟨_,/_,/_⟩)/ -*→ (1⟨_,/_,/_⟩))" 50)
  "_stepan" :: "[instrs,instrs,state, nat, instrs,instrs,state] ⇒ bool"
              ("((1⟨_,/_,/_⟩)/ -_→ (1⟨_,/_,/_⟩))" 50)

translations
  "⟨p,q,s⟩ -1→ ⟨p',q',t⟩" == "((p,q,s),p',q',t) : stepa1"
  "⟨p,q,s⟩ -*→ ⟨p',q',t⟩" == "((p,q,s),p',q',t) : (stepa1^*)"
  "⟨p,q,s⟩ -i→ ⟨p',q',t⟩" == "((p,q,s),p',q',t) : (stepa1^i)"


inductive "stepa1"
intros
  "⟨SET x a#p,q,s⟩ -1→ ⟨p,SET x a#q,s[x↦ a s]⟩"
  "b s ⟹ ⟨JMPF b i#p,q,s⟩ -1→ ⟨p,JMPF b i#q,s⟩"
  "⟦ ¬ b s; i ≤ size p ⟧
   ⟹ ⟨JMPF b i # p, q, s⟩ -1→ ⟨drop i p, rev(take i p) @ JMPF b i # q, s⟩"
  "i ≤ size q
   ⟹ ⟨JMPB i # p, q, s⟩ -1→ ⟨rev(take i q) @ JMPB i # p, drop i q, s⟩"

inductive_cases execE: "((i#is,p,s),next) : stepa1"

lemma exec_simp[simp]:
 "(⟨i#p,q,s⟩ -1→ ⟨p',q',t⟩) = (case i of
 SET x a ⇒ t = s[x↦ a s] ∧ p' = p ∧ q' = i#q |
 JMPF b n ⇒ t=s ∧ (if b s then p' = p ∧ q' = i#q
            else n ≤ size p ∧ p' = drop n p ∧ q' = rev(take n p) @ i # q) |
 JMPB n ⇒ n ≤ size q ∧ t=s ∧ p' = rev(take n q) @ i # p ∧ q' = drop n q)"
⟨proof⟩

lemma execn_simp[simp]:
"(⟨i#p,q,s⟩ -n→ ⟨p'',q'',u⟩) =
 (n=0 ∧ p'' = i#p ∧ q'' = q ∧ u = s ∨
  ((∃m p' q' t. n = Suc m ∧
               ⟨i#p,q,s⟩ -1→ ⟨p',q',t⟩ ∧ ⟨p',q',t⟩ -m→ ⟨p'',q'',u⟩)))"
⟨proof⟩


lemma exec_star_simp[simp]: "(⟨i#p,q,s⟩ -*→ ⟨p'',q'',u⟩) =
 (p'' = i#p & q''=q & u=s |
 (∃p' q' t. ⟨i#p,q,s⟩ -1→ ⟨p',q',t⟩ ∧ ⟨p',q',t⟩ -*→ ⟨p'',q'',u⟩))"
⟨proof⟩
```

declare *nth_append[simp]*

lemma *rev_revD: "rev xs = rev ys ⟹ xs = ys"*
⟨*proof*⟩

lemma *[simp]: "(rev xs @ rev ys = rev zs) = (ys @ xs = zs)"*
⟨*proof*⟩

lemma *direction1:*
"⟨q,p,s⟩ -1→ ⟨q',p',t⟩ ⟹
rev p' @ q' = rev p @ q ∧ rev p @ q ⊢ ⟨size p,s⟩ -1→ ⟨size p',t⟩"
⟨*proof*⟩

lemma *direction2:*
"rpq ⊢ ⟨sp,s⟩ -1→ ⟨sp',t⟩ ⟹
∀p q p' q'. rpq = rev p @ q & sp = size p & sp' = size p' ⟶
rev p' @ q' = rev p @ q ⟶ ⟨q,p,s⟩ -1→ ⟨q',p',t⟩"
⟨*proof*⟩

theorem *M_eqiv:*
"(⟨q,p,s⟩ -1→ ⟨q',p',t⟩) =
(rev p' @ q' = rev p @ q ∧ rev p @ q ⊢ ⟨size p,s⟩ -1→ ⟨size p',t⟩)"
⟨*proof*⟩

end

theory *Compiler* **imports** *Machines* **begin**

9.8 The compiler

consts *compile :: "com ⇒ instr list"*
primrec
"compile skip *= []"*
"compile (x:==a) = [SET x a]"
"compile (c1;c2) = compile c1 @ compile c2"
*"compile (*if *b* then *c1* else *c2) =*
[JMPF b (length(compile c1) + 1)] @ compile c1 @
[JMPF (λx. False) (length(compile c2))] @ compile c2"
*"compile (*while *b* do *c) = [JMPF b (length(compile c) + 1)] @ compile c @*
[JMPB (length(compile c)+1)]"

9.9 Compiler correctness

theorem assumes *A: "⟨c,s⟩ ⟶c t"*
shows *"⋀p q. ⟨compile c @ p,q,s⟩ -*→ ⟨p,rev(compile c)@q,t⟩"*
*(***is** *"⋀p q. ?P c s t p q")*

⟨*proof*⟩

The other direction!

inductive_cases *[elim!]: "(([],p,s),next) : stepa1"*

lemma *[simp]: "(⟨[],q,s⟩ -n→ ⟨p',q',t⟩) = (n=0 ∧ p' = [] ∧ q' = q ∧ t = s)"*
⟨*proof*⟩

lemma *[simp]: "(⟨[],q,s⟩ -*→ ⟨p',q',t⟩) = (p' = [] ∧ q' = q ∧ t = s)"*
⟨*proof*⟩

constdefs
```
 forws :: "instr ⇒ nat set"
"forws instr == case instr of
 SET x a ⇒ {0} |
 JMPF b n ⇒ {0,n} |
 JMPB n ⇒ {}"
 backws :: "instr ⇒ nat set"
"backws instr == case instr of
 SET x a ⇒ {} |
 JMPF b n ⇒ {} |
 JMPB n ⇒ {n}"
```

consts *closed :: "nat ⇒ nat ⇒ instr list ⇒ bool"*
primrec
```
"closed m n [] = True"
"closed m n (instr#is) = ((∀j ∈ forws instr. j ≤ size is+n) ∧
                          (∀j ∈ backws instr. j ≤ m) ∧ closed (Suc m) n is)"
```

lemma *[simp]:*
```
 "⋀m n. closed m n (C1@C2) =
         (closed m (n+size C2) C1 ∧ closed (m+size C1) n C2)"
```
⟨*proof*⟩

theorem *[simp]: "⋀m n. closed m n (compile c)"*
⟨*proof*⟩

lemma *drop_lem: "n ≤ size(p1@p2)*
```
 ⟹ (p1' @ p2 = drop n p1 @ drop (n - size p1) p2) =
    (n ≤ size p1 & p1' = drop n p1)"
```
⟨*proof*⟩

lemma *reduce_exec1:*
```
 "⟨i # p1 @ p2,q1 @ q2,s⟩ -1→ ⟨p1' @ p2,q1' @ q2,s'⟩ ⟹
  ⟨i # p1,q1,s⟩ -1→ ⟨p1',q1',s'⟩"
```
⟨*proof*⟩

lemma *closed_exec1:*
```
 "⟦ closed 0 0 (rev q1 @ instr # p1);
```

```
    ⟨instr # p1 @ p2, q1 @ q2,r⟩ -1→ ⟨p',q',r'⟩ ⟧ ⟹
  ∃p1' q1'. p' = p1'@p2 ∧ q' = q1'@q2 ∧ rev q1' @ p1' = rev q1 @ instr # p1"
⟨proof⟩

theorem closed_execn_decomp: "⋀C1 C2 r.
 ⟦ closed 0 0 (rev C1 @ C2);
   ⟨C2 @ p1 @ p2, C1 @ q,r⟩ -n→ ⟨p2,rev p1 @ rev C2 @ C1 @ q,t⟩ ⟧
 ⟹ ∃s n1 n2. ⟨C2,C1,r⟩ -n1→ ⟨[],rev C2 @ C1,s⟩ ∧
     ⟨p1@p2,rev C2 @ C1 @ q,s⟩ -n2→ ⟨p2, rev p1 @ rev C2 @ C1 @ q,t⟩ ∧
         n = n1+n2"
(is "⋀C1 C2 r. ⟦?CL C1 C2; ?H C1 C2 r n⟧ ⟹ ?P C1 C2 r n")
⟨proof⟩

lemma execn_decomp:
"⟨compile c @ p1 @ p2,q,r⟩ -n→ ⟨p2,rev p1 @ rev(compile c) @ q,t⟩
 ⟹ ∃s n1 n2. ⟨compile c,[],r⟩ -n1→ ⟨[],rev(compile c),s⟩ ∧
     ⟨p1@p2,rev(compile c) @ q,s⟩ -n2→ ⟨p2, rev p1 @ rev(compile c) @ q,t⟩ ∧
         n = n1+n2"
⟨proof⟩

lemma exec_star_decomp:
"⟨compile c @ p1 @ p2,q,r⟩ -*→ ⟨p2,rev p1 @ rev(compile c) @ q,t⟩
 ⟹ ∃s. ⟨compile c,[],r⟩ -*→ ⟨[],rev(compile c),s⟩ ∧
     ⟨p1@p2,rev(compile c) @ q,s⟩ -*→ ⟨p2, rev p1 @ rev(compile c) @ q,t⟩"
⟨proof⟩
```

Warning: `⟨compile c @ p,q,s⟩ -*→ ⟨p,rev (compile c) @ q,t⟩ ⟹ ⟨c,s⟩ ⟶c t` is not true!

```
theorem "⋀s t.
 ⟨compile c,[],s⟩ -*→ ⟨[],rev(compile c),t⟩ ⟹ ⟨c,s⟩ ⟶c t"
⟨proof⟩
```

end

References

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