CA Email Control for the Enterprise

New Capabilities for Regulatory Compliance



Overview: What's the problem or opportunity?

Companies today must adhere to a myriad of governmental regulations and standards established by industry or self-regulatory organizations (SRO's) such as FINRA or HIPAA. Companies must also execute their compliance responsibility across the globe and do so in a way that is consistent, transparent, and operationally efficient. Meeting compliance obligations, while managing the complex dynamics of any regulated industry or financial market is essential.

One of the primary tasks for compliance personnel is to review electronic communication. For large organizations generating millions of messages per day can be resource-intensive.

Companies relying on random surveys spend the majority of time reviewing irrelevant messages while potential violations go unnoticed.

CA Technologies and IBM have joined together to provide a best in class response to these challenges through the integration of CA's Dataminder and IBM's Content Collector.

The primary challenges our joint solution addresses are:

- 1. Explosion of Information
- 2. Related Regulatory and Legal Obligations
- 3. Electronic Communications by 'Insiders' are a Real Threat

KEY DIFFERENTIATORS

World class-leading email surveillance solution

Aligned with IBM Value-based Archiving and Defensible Disposal initiatives

Fully integrated with IBM ICC

Centrally monitors all email communications before archiving

Provides full Compliance workflow interface to meet regulatory obligations

Comprehensive and flexible reporting

KEY BENEFITS

Reduce Legal Costs

Reduce Regulatory Fines

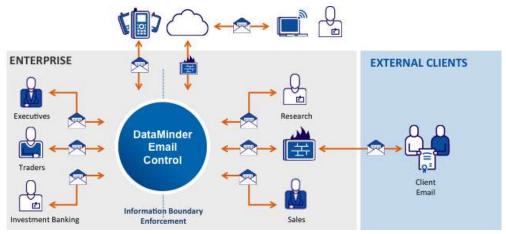
Preserve Shareholder Value

Reduce Intellectual Property Loss

Sustain and Grow Customer Base

Solution Overview: How can you protect sensitive information inside or outside your company?

CA Email Control for the Enterprise provides three core capabilities. First, it provides powerful review tools coupled with a sophisticated workflow engine. Second, real-time prevention is delivered to block users from committing violations. Finally, accurate and targeted policies are provided to help companies quickly deploy a solution to meet their requirements.



Market Leadership: Why combine IBM and CA Technologies?

By combining Content Collector with DataMinder for Email Surveillance and Prevention you can provide your customers a seamlessly integrated Messaging Compliance Solution that will provide archiving, eDiscovery, compliance, retention and surveillance capabilities in support of a Defensible Disposal program focused on improving information economics.





Messaging Compliance Partnership Highlights:

- The CA Email Control for the Enterprise solution will offer customers unique capabilities for email control, surveillance and storage management that for many industries is now mandatory.
- IBM is the Gartner Magic Quadrant Leader across ECM vendors.
- CA Technologies is positioned as a leader in the <u>Gartner Magic Quadrant for Content</u> <u>Aware Data Loss Prevention</u>.

CA Technologies

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Strategic Relationship: How IBM and CA Technologies Partner?

IBM Global Services (IGS) provides customers with IBM's global expertise in the management of applications and other IT components in either a hosted or onsite arrangement. Together, CA Technologies and IBM have a successful history of cost-effectively addressing the unique business and technology needs of our clients. Our alliance delivers a powerful combination of CA Technologies innovative software solutions with IBM Global Services expert services. Our combined and proven collaborative approach allows our joint customers to successfully integrate their diverse technologies, processes, and achieve better results, even for the toughest enterprise challenges. For more information on the CA Technologies/IBM alliance please engage with one of the listed contacts.

IBM

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