

Algorithm A (*AVL Tree Insertion*). Given a set of nodes which form an AVL tree T , and a key to insert K , this algorithm will insert the node into the tree while maintaining the tree's balance properties. Each node is assumed to contain KEY , BAL , $LLINK$, $RLINK$, and $PARENT$ fields. For any given node N , $KEY(N)$ gives the key field of N , $BAL(N)$ gives the balance field of N , $LLINK(N)$ and $RLINK(N)$ are pointers to N 's left and right subtrees, respectively, and $PARENT(N)$ is a pointer to the node of which N is a subtree. Any or all of these three link fields may be Λ , which for $LLINK(N)$ and $RLINK(N)$ indicates that N has no left or right subtree, respectively, and for $PARENT(N)$ indicates that N is the root of the tree. The balance field must be able to represent an integer on the range $[-2, +2]$. The tree has a field $ROOT$ which is a pointer to the root node of the tree.

You can find an implementation of this algorithm, as well as many others, in **libdict**, which is available on the web at <http://home.earthlink.net/~smela1/libdict.html>.

- A1.** [Initialize.] Set $N \leftarrow ROOT(T)$, $P \leftarrow Q \leftarrow \Lambda$.
- A2.** [Find insertion point.] If $N = \Lambda$, go to step A3. If $K = KEY(N)$, the key is already in the tree and the algorithm terminates with an error. Set $P \leftarrow N$; if $BAL(P) \neq 0$, set $Q \leftarrow P$. If $K < KEY(N)$, then set $N \leftarrow LLINK(N)$, otherwise set $N \leftarrow RLINK(N)$. Repeat this step.
- A3.** [Insert.] Set $N \leftarrow AVAIL$. If $N = \Lambda$, the algorithm terminates with an out of memory error. Set $KEY(N) \leftarrow K$, $LLINK(N) \leftarrow RLINK(N) \leftarrow \Lambda$, $PARENT(N) \leftarrow P$, and $BAL(N) \leftarrow 0$. If $P = \Lambda$, set $ROOT(T) \leftarrow N$, and go to step A8. If $K < KEY(P)$, set $LLINK(P) \leftarrow N$; otherwise, set $RLINK(P) \leftarrow N$.
- A4.** [Adjust balance factors.] If $P = Q$, go to step A5. If $LLINK(P) = N$, set $BAL(P) \leftarrow -1$; otherwise, set $BAL(P) \leftarrow +1$. Then set $N \leftarrow P$, and $P \leftarrow PARENT(P)$, and repeat this step.
- A5.** [Check for imbalance.] If $Q = \Lambda$, go to step A8. Otherwise:
 - i. If $LLINK(Q) = N$, set $BAL(Q) \leftarrow BAL(Q) - 1$. If $BAL(Q) = -2$, go to step A6, otherwise, go to step A8.
 - ii. If $RLINK(Q) = N$, set $BAL(Q) \leftarrow BAL(Q) + 1$. If $BAL(Q) = +2$, go to step A7, otherwise, go to step A8.
- A6.** [Left imbalance.] If $BAL(LLINK(Q)) > 0$, rotate $LLINK(Q)$ left. Rotate Q right. Go to step A8.
- A7.** [Right imbalance.] If $BAL(RLINK(Q)) < 0$, rotate $RLINK(Q)$ right. Rotate Q left. Go to step A8.
- A8.** [All done.] The algorithm terminates successfully.

Rotations in AVL Trees

Algorithm R (*Right Rotation*). Given a tree T and a node in the tree N , this routine will rotate N right.

- R1.** [Do the rotation.] Set $L \leftarrow LLINK(N)$ and $LLINK(N) \leftarrow RLINK(L)$. If $RLINK(L) \neq \Lambda$, then set $PARENT(RLINK(L)) \leftarrow N$. Set $P \leftarrow PARENT(N)$, $PARENT(L) \leftarrow P$. If $P = \Lambda$, then set $ROOT(T) \leftarrow L$; if $P \neq \Lambda$ and $LLINK(P) = N$, set $LLINK(P) \leftarrow L$, otherwise set $RLINK(P) \leftarrow L$. Finally, set $RLINK(L) \leftarrow N$, and $PARENT(N) \leftarrow L$.
- R2.** [Recompute balance factors.] Set $BAL(N) \leftarrow BAL(N) + (1 - \text{MIN}(BAL(L), 0))$, $BAL(L) \leftarrow BAL(L) + (1 + \text{MAX}(BAL(N), 0))$.

The code for a left rotation is symmetric. At the risk of being repetitive, it appears below.

Algorithm L (*Left Rotation*). Given a tree T and a node in the tree N , this routine will rotate N left.

- L1.** [Do the rotation.] Set $R \leftarrow RLINK(N)$ and $RLINK(N) \leftarrow LLINK(R)$. If $LLINK(R) \neq \Lambda$, then set $PARENT(LLINK(R)) \leftarrow N$. Set $P \leftarrow PARENT(N)$, $PARENT(R) \leftarrow P$. If $P = \Lambda$, then set $ROOT(T) \leftarrow R$; if $P \neq \Lambda$ and $LLINK(P) = N$, set $LLINK(P) \leftarrow R$, otherwise set $RLINK(P) \leftarrow R$. Finally, set $LLINK(R) \leftarrow N$, and $PARENT(N) \leftarrow R$.
- L2.** [Recompute balance factors.] Set $BAL(N) \leftarrow BAL(N) - (1 + \text{MAX}(BAL(R), 0))$, $BAL(R) \leftarrow BAL(R) - (1 - \text{MIN}(BAL(N), 0))$.