

The Isabelle/HOL Algebra Library

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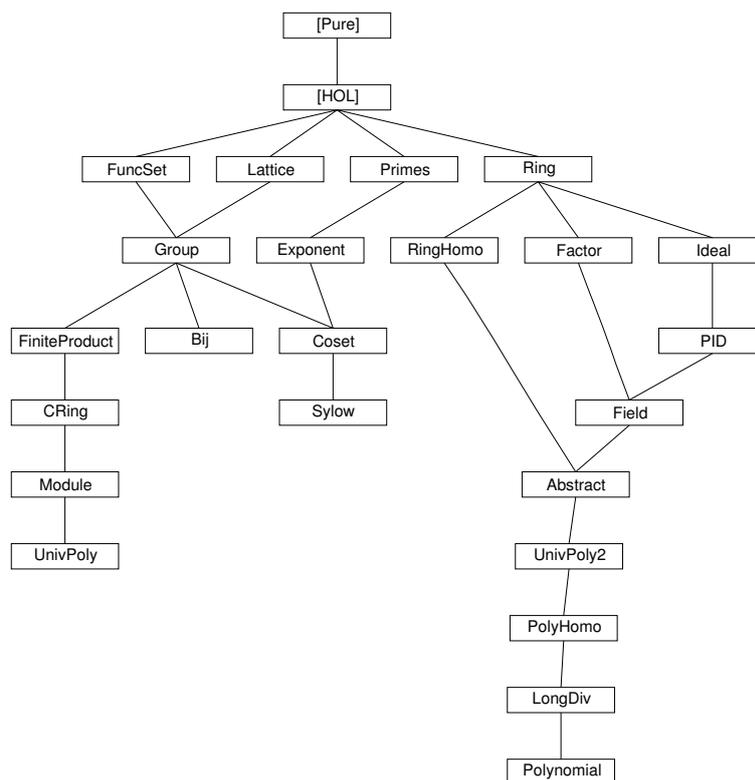
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1 Lattice: Orders and Lattices

theory *Lattice* **imports** *Main* **begin**

Object with a carrier set.

record *'a partial-object* =
carrier :: *'a set*

1.1 Partial Orders

record *'a order* = *'a partial-object* +
le :: [*'a*, *'a*] ==> *bool* (**infixl** \sqsubseteq 50)

locale *partial-order* = *struct* *L* +

assumes *refl* [*intro*, *simp*]:

$x \in \text{carrier } L \implies x \sqsubseteq x$

and *anti-sym* [*intro*]:

$[x \sqsubseteq y; y \sqsubseteq x; x \in \text{carrier } L; y \in \text{carrier } L] \implies x = y$

and *trans* [*trans*]:

$[x \sqsubseteq y; y \sqsubseteq z;$

$x \in \text{carrier } L; y \in \text{carrier } L; z \in \text{carrier } L] \implies x \sqsubseteq z$

constdefs (**structure** *L*)

less :: [*'a*, *'a*] ==> *bool* (**infixl** \sqsubset 50)

$x \sqsubset y \iff x \sqsubseteq y \ \& \ x \neq y$

— Upper and lower bounds of a set.

Upper :: [*'a set*] ==> *'a set*

$\text{Upper } L A \iff \{u. (\text{ALL } x. x \in A \cap \text{carrier } L \implies x \sqsubseteq u)\} \cap \text{carrier } L$

Lower :: [*'a set*] ==> *'a set*

$\text{Lower } L A \iff \{l. (\text{ALL } x. x \in A \cap \text{carrier } L \implies l \sqsubseteq x)\} \cap \text{carrier } L$

— Least and greatest, as predicate.

least :: [*'a*, *'a set*] ==> *bool*

$\text{least } L l A \iff A \subseteq \text{carrier } L \ \& \ l \in A \ \& \ (\text{ALL } x : A. l \sqsubseteq x)$

greatest :: [*'a*, *'a set*] ==> *bool*

$\text{greatest } L g A \iff A \subseteq \text{carrier } L \ \& \ g \in A \ \& \ (\text{ALL } x : A. x \sqsubseteq g)$

— Supremum and infimum

sup :: [*'a set*] ==> *'a* (\sqcup 1- [90] 90)

$\sqcup A \iff \text{THE } x. \text{least } L x (\text{Upper } L A)$

inf :: [*'a set*] ==> *'a* (\sqcap 1- [90] 90)

$\sqcap A \iff \text{THE } x. \text{greatest } L x (\text{Lower } L A)$

join :: [*'a*, *'a*] ==> *'a* (**infixl** \sqcup 65)

$x \sqcup y == \text{sup } L \{x, y\}$

$\text{meet} :: [-, 'a, 'a] ==> 'a$ (**infixl** \sqcap 70)
 $x \sqcap y == \text{inf } L \{x, y\}$

1.1.1 Upper

lemma *Upper-closed* [*intro, simp*]:

$\text{Upper } L A \subseteq \text{carrier } L$
by (*unfold Upper-def*) *clarify*

lemma *UpperD* [*dest*]:

includes *struct* L
shows $[\![u \in \text{Upper } L A; x \in A; A \subseteq \text{carrier } L]\!] ==> x \sqsubseteq u$
by (*unfold Upper-def*) *blast*

lemma *Upper-memI*:

includes *struct* L
shows $[\![y. y \in A ==> y \sqsubseteq x; x \in \text{carrier } L]\!] ==> x \in \text{Upper } L A$
by (*unfold Upper-def*) *blast*

lemma *Upper-antimono*:

$A \subseteq B ==> \text{Upper } L B \subseteq \text{Upper } L A$
by (*unfold Upper-def*) *blast*

1.1.2 Lower

lemma *Lower-closed* [*intro, simp*]:

$\text{Lower } L A \subseteq \text{carrier } L$
by (*unfold Lower-def*) *clarify*

lemma *LowerD* [*dest*]:

includes *struct* L
shows $[\![l \in \text{Lower } L A; x \in A; A \subseteq \text{carrier } L]\!] ==> l \sqsubseteq x$
by (*unfold Lower-def*) *blast*

lemma *Lower-memI*:

includes *struct* L
shows $[\![y. y \in A ==> x \sqsubseteq y; x \in \text{carrier } L]\!] ==> x \in \text{Lower } L A$
by (*unfold Lower-def*) *blast*

lemma *Lower-antimono*:

$A \subseteq B ==> \text{Lower } L B \subseteq \text{Lower } L A$
by (*unfold Lower-def*) *blast*

1.1.3 least

lemma *least-carrier* [*intro, simp*]:

shows $\text{least } L l A ==> l \in \text{carrier } L$
by (*unfold least-def*) *fast*

lemma *least-mem*:

least L l A ==> l ∈ A
by (*unfold least-def*) *fast*

lemma (*in partial-order*) *least-unique*:

$[[\textit{least L x A}; \textit{least L y A }]]$ $==> x = y$
by (*unfold least-def*) *blast*

lemma *least-le*:

includes *struct L*
shows $[[\textit{least L x A}; a \in A]]$ $==> x \sqsubseteq a$
by (*unfold least-def*) *fast*

lemma *least-UpperI*:

includes *struct L*
assumes *above*: $!! x. x \in A ==> x \sqsubseteq s$
and *below*: $!! y. y \in \textit{Upper L A} ==> s \sqsubseteq y$
and *L*: $A \subseteq \textit{carrier L}$ $s \in \textit{carrier L}$
shows *least L s (Upper L A)*
proof –
have $\textit{Upper L A} \subseteq \textit{carrier L}$ **by** *simp*
moreover from *above L* **have** $s \in \textit{Upper L A}$ **by** (*simp add: Upper-def*)
moreover from *below* **have** $ALL x : \textit{Upper L A}. s \sqsubseteq x$ **by** *fast*
ultimately show *?thesis* **by** (*simp add: least-def*)
qed

1.1.4 greatest

lemma *greatest-carrier* [*intro, simp*]:

shows *greatest L l A ==> l ∈ carrier L*
by (*unfold greatest-def*) *fast*

lemma *greatest-mem*:

greatest L l A ==> l ∈ A
by (*unfold greatest-def*) *fast*

lemma (*in partial-order*) *greatest-unique*:

$[[\textit{greatest L x A}; \textit{greatest L y A }]]$ $==> x = y$
by (*unfold greatest-def*) *blast*

lemma *greatest-le*:

includes *struct L*
shows $[[\textit{greatest L x A}; a \in A]]$ $==> a \sqsubseteq x$
by (*unfold greatest-def*) *fast*

lemma *greatest-LowerI*:

includes *struct L*
assumes *below*: $!! x. x \in A ==> i \sqsubseteq x$

and above: $!! y. y \in \text{Lower } L A \implies y \sqsubseteq i$
and $L: A \subseteq \text{carrier } L \quad i \in \text{carrier } L$
shows $\text{greatest } L i \text{ (Lower } L A)$
proof –
have $\text{Lower } L A \subseteq \text{carrier } L$ **by** *simp*
moreover from *below* L **have** $i \in \text{Lower } L A$ **by** (*simp add: Lower-def*)
moreover from *above* **have** $\text{ALL } x : \text{Lower } L A. x \sqsubseteq i$ **by** *fast*
ultimately show *?thesis* **by** (*simp add: greatest-def*)
qed

1.2 Lattices

locale *lattice* = *partial-order* +
assumes *sup-of-two-exists*:
 $[[x \in \text{carrier } L; y \in \text{carrier } L]] \implies \text{EX } s. \text{least } L s \text{ (Upper } L \{x, y\})$
and *inf-of-two-exists*:
 $[[x \in \text{carrier } L; y \in \text{carrier } L]] \implies \text{EX } s. \text{greatest } L s \text{ (Lower } L \{x, y\})$

lemma *least-Upper-above*:
includes *struct* L
shows $[[\text{least } L s \text{ (Upper } L A); x \in A; A \subseteq \text{carrier } L]] \implies x \sqsubseteq s$
by (*unfold least-def*) *blast*

lemma *greatest-Lower-above*:
includes *struct* L
shows $[[\text{greatest } L i \text{ (Lower } L A); x \in A; A \subseteq \text{carrier } L]] \implies i \sqsubseteq x$
by (*unfold greatest-def*) *blast*

1.2.1 Supremum

lemma (*in lattice*) *joinI*:
 $[[!!l. \text{least } L l \text{ (Upper } L \{x, y\}) \implies P l; x \in \text{carrier } L; y \in \text{carrier } L]]$
 $\implies P (x \sqcup y)$
proof (*unfold join-def sup-def*)
assume $L: x \in \text{carrier } L \quad y \in \text{carrier } L$
and $P: !!l. \text{least } L l \text{ (Upper } L \{x, y\}) \implies P l$
with *sup-of-two-exists* **obtain** s **where** $\text{least } L s \text{ (Upper } L \{x, y\})$ **by** *fast*
with L **show** $P \text{ (THE } l. \text{least } L l \text{ (Upper } L \{x, y\}))$
by (*fast intro: theI2 least-unique P*)
qed

lemma (*in lattice*) *join-closed* [*simp*]:
 $[[x \in \text{carrier } L; y \in \text{carrier } L]] \implies x \sqcup y \in \text{carrier } L$
by (*rule joinI*) (*rule least-carrier*)

lemma (*in partial-order*) *sup-of-singletonI*:
 $x \in \text{carrier } L \implies \text{least } L x \text{ (Upper } L \{x\})$
by (*rule least-UpperI*) *fast+*

lemma (*in partial-order*) *sup-of-singleton* [*simp*]:

includes *struct L*
shows $x \in \text{carrier } L \implies \bigsqcup \{x\} = x$
by (*unfold sup-def*) (*blast intro: least-unique least-UpperI sup-of-singletonI*)

Condition on A : supremum exists.

lemma (*in lattice*) *sup-insertI*:
 $[\![\text{!!}s. \text{least } L s (\text{Upper } L (\text{insert } x A)) \implies P s;$
 $\text{least } L a (\text{Upper } L A); x \in \text{carrier } L; A \subseteq \text{carrier } L \]\!] \implies P (\bigsqcup (\text{insert } x A))$
proof (*unfold sup-def*)
assume $L: x \in \text{carrier } L \ A \subseteq \text{carrier } L$
and $P: \text{!!}l. \text{least } L l (\text{Upper } L (\text{insert } x A)) \implies P l$
and *least-a*: $\text{least } L a (\text{Upper } L A)$
from L *least-a* **have** $La: a \in \text{carrier } L$ **by** *simp*
from L *sup-of-two-exists least-a*
obtain s **where** *least-s*: $\text{least } L s (\text{Upper } L \{a, x\})$ **by** *blast*
show $P (THE l. \text{least } L l (\text{Upper } L (\text{insert } x A)))$
proof (*rule theI2*)
show $\text{least } L s (\text{Upper } L (\text{insert } x A))$
proof (*rule least-UpperI*)
fix z
assume $z \in \text{insert } x A$
then show $z \sqsubseteq s$
proof
assume $z = x$ **then show** *?thesis*
by (*simp add: least-Upper-above [OF least-s] L La*)
next
assume $z \in A$
with L *least-s least-a* **show** *?thesis*
by (*rule-tac trans [where y = a] (auto dest: least-Upper-above)*)
qed
next
fix y
assume $y: y \in \text{Upper } L (\text{insert } x A)$
show $s \sqsubseteq y$
proof (*rule least-le [OF least-s], rule Upper-memI*)
fix z
assume $z: z \in \{a, x\}$
then show $z \sqsubseteq y$
proof
have $y': y \in \text{Upper } L A$
apply (*rule subsetD [where A = Upper L (insert x A)]*)
apply (*rule Upper-antimono*) **apply** *clarify* **apply** *assumption*
done
assume $z = a$
with y' *least-a* **show** *?thesis* **by** (*fast dest: least-le*)
next
assume $z \in \{x\}$
with y L **show** *?thesis* **by** *blast*

```

    qed
  qed (rule Upper-closed [THEN subsetD])
next
  from L show insert x A  $\subseteq$  carrier L by simp
  from least-s show s  $\in$  carrier L by simp
qed
next
  fix l
  assume least-l: least L l (Upper L (insert x A))
  show l = s
  proof (rule least-unique)
    show least L s (Upper L (insert x A))
    proof (rule least-UpperI)
      fix z
      assume z  $\in$  insert x A
      then show z  $\sqsubseteq$  s
      proof
        assume z = x then show ?thesis
        by (simp add: least-Upper-above [OF least-s] L La)
      next
        assume z  $\in$  A
        with L least-s least-a show ?thesis
        by (rule-tac trans [where y = a]) (auto dest: least-Upper-above)
      qed
    next
      fix y
      assume y: y  $\in$  Upper L (insert x A)
      show s  $\sqsubseteq$  y
      proof (rule least-le [OF least-s], rule Upper-memI)
        fix z
        assume z: z  $\in$  {a, x}
        then show z  $\sqsubseteq$  y
        proof
          have y': y  $\in$  Upper L A
          apply (rule subsetD [where A = Upper L (insert x A)])
          apply (rule Upper-antimono) apply clarify apply assumption
          done
          assume z = a
          with y' least-a show ?thesis by (fast dest: least-le)
        next
          assume z  $\in$  {x}
          with y L show ?thesis by blast
        qed
      qed (rule Upper-closed [THEN subsetD])
    next
      from L show insert x A  $\subseteq$  carrier L by simp
      from least-s show s  $\in$  carrier L by simp
    qed
  qed

```

qed
qed

lemma (in lattice) *finite-sup-least*:

$[[\text{finite } A; A \subseteq \text{carrier } L; A \sim = \{\}]] \implies \text{least } L (\bigsqcup A) (\text{Upper } L A)$

proof (induct set: Finites)

case empty

then show ?case by simp

next

case (insert x A)

show ?case

proof (cases A = {})

case True

with insert show ?thesis by (simp add: sup-of-singletonI)

next

case False

with insert have least L ($\bigsqcup A$) (Upper L A) by simp

with - show ?thesis

by (rule sup-insertI) (simp-all add: insert [simplified])

qed

qed

lemma (in lattice) *finite-sup-insertI*:

assumes P: $!!l. \text{least } L l (\text{Upper } L (\text{insert } x A)) \implies P l$

and xA: *finite* A x \in carrier L A \subseteq carrier L

shows P ($\bigsqcup (\text{insert } x A)$)

proof (cases A = {})

case True with P and xA show ?thesis

by (simp add: sup-of-singletonI)

next

case False with P and xA show ?thesis

by (simp add: sup-insertI finite-sup-least)

qed

lemma (in lattice) *finite-sup-closed*:

$[[\text{finite } A; A \subseteq \text{carrier } L; A \sim = \{\}]] \implies \bigsqcup A \in \text{carrier } L$

proof (induct set: Finites)

case empty then show ?case by simp

next

case insert then show ?case

by - (rule finite-sup-insertI, simp-all)

qed

lemma (in lattice) *join-left*:

$[[x \in \text{carrier } L; y \in \text{carrier } L]] \implies x \sqsubseteq x \sqcup y$

by (rule joinI [folded join-def]) (blast dest: least-mem)

lemma (in lattice) *join-right*:

$[[x \in \text{carrier } L; y \in \text{carrier } L]] \implies y \sqsubseteq x \sqcup y$

by (rule joinI [folded join-def]) (blast dest: least-mem)

lemma (in lattice) sup-of-two-least:

$[[x \in \text{carrier } L; y \in \text{carrier } L]] \implies \text{least } L (\sqcup \{x, y\}) (\text{Upper } L \{x, y\})$

proof (unfold sup-def)

assume $L: x \in \text{carrier } L \ y \in \text{carrier } L$

with sup-of-two-exists obtain s where $\text{least } L s (\text{Upper } L \{x, y\})$ by fast

with L show $\text{least } L (\text{THE } xa. \text{least } L xa (\text{Upper } L \{x, y\})) (\text{Upper } L \{x, y\})$

by (fast intro: theI2 least-unique)

qed

lemma (in lattice) join-le:

assumes $sub: x \sqsubseteq z \ y \sqsubseteq z$

and $L: x \in \text{carrier } L \ y \in \text{carrier } L \ z \in \text{carrier } L$

shows $x \sqcup y \sqsubseteq z$

proof (rule joinI)

fix s

assume $\text{least } L s (\text{Upper } L \{x, y\})$

with $sub \ L$ show $s \sqsubseteq z$ by (fast elim: least-le intro: Upper-memI)

qed

lemma (in lattice) join-assoc-lemma:

assumes $L: x \in \text{carrier } L \ y \in \text{carrier } L \ z \in \text{carrier } L$

shows $x \sqcup (y \sqcup z) = \sqcup \{x, y, z\}$

proof (rule finite-sup-insertI)

— The textbook argument in Jacobson I, p 457

fix s

assume $sup: \text{least } L s (\text{Upper } L \{x, y, z\})$

show $x \sqcup (y \sqcup z) = s$

proof (rule anti-sym)

from $sup \ L$ show $x \sqcup (y \sqcup z) \sqsubseteq s$

by (fastsimp intro!: join-le elim: least-Upper-above)

next

from $sup \ L$ show $s \sqsubseteq x \sqcup (y \sqcup z)$

by (erule-tac least-le)

(blast intro!: Upper-memI intro: trans join-left join-right join-closed)

qed (simp-all add: L least-carrier [OF sup])

qed (simp-all add: L)

lemma join-comm:

includes struct L

shows $x \sqcup y = y \sqcup x$

by (unfold join-def) (simp add: insert-commute)

lemma (in lattice) join-assoc:

assumes $L: x \in \text{carrier } L \ y \in \text{carrier } L \ z \in \text{carrier } L$

shows $(x \sqcup y) \sqcup z = x \sqcup (y \sqcup z)$

proof —

have $(x \sqcup y) \sqcup z = z \sqcup (x \sqcup y)$ by (simp only: join-comm)

also from L have ... = $\sqcup \{z, x, y\}$ by (simp add: join-assoc-lemma)
 also from L have ... = $\sqcup \{x, y, z\}$ by (simp add: insert-commute)
 also from L have ... = $x \sqcup (y \sqcup z)$ by (simp add: join-assoc-lemma)
 finally show ?thesis .
 qed

1.2.2 Infimum

lemma (in lattice) meetI:

$\llbracket \text{!!}i. \text{greatest } L \ i \ (\text{Lower } L \ \{x, y\}) \implies P \ i;$
 $x \in \text{carrier } L; y \in \text{carrier } L \rrbracket$
 $\implies P \ (x \sqcap y)$

proof (unfold meet-def inf-def)

assume $L: x \in \text{carrier } L \ y \in \text{carrier } L$

and $P: \text{!!}g. \text{greatest } L \ g \ (\text{Lower } L \ \{x, y\}) \implies P \ g$

with inf-of-two-exists **obtain** i **where** $\text{greatest } L \ i \ (\text{Lower } L \ \{x, y\})$ **by** fast

with L **show** $P \ (\text{THE } g. \text{greatest } L \ g \ (\text{Lower } L \ \{x, y\}))$

by (fast intro: theI2 greatest-unique P)

qed

lemma (in lattice) meet-closed [simp]:

$\llbracket x \in \text{carrier } L; y \in \text{carrier } L \rrbracket \implies x \sqcap y \in \text{carrier } L$
by (rule meetI) (rule greatest-carrier)

lemma (in partial-order) inf-of-singletonI:

$x \in \text{carrier } L \implies \text{greatest } L \ x \ (\text{Lower } L \ \{x\})$
by (rule greatest-LowerI) fast+

lemma (in partial-order) inf-of-singleton [simp]:

includes struct L

shows $x \in \text{carrier } L \implies \sqcap \{x\} = x$

by (unfold inf-def) (blast intro: greatest-unique greatest-LowerI inf-of-singletonI)

Condition on A : infimum exists.

lemma (in lattice) inf-insertI:

$\llbracket \text{!!}i. \text{greatest } L \ i \ (\text{Lower } L \ (\text{insert } x \ A)) \implies P \ i;$
 $\text{greatest } L \ a \ (\text{Lower } L \ A); x \in \text{carrier } L; A \subseteq \text{carrier } L \rrbracket$
 $\implies P \ (\sqcap (\text{insert } x \ A))$

proof (unfold inf-def)

assume $L: x \in \text{carrier } L \ A \subseteq \text{carrier } L$

and $P: \text{!!}g. \text{greatest } L \ g \ (\text{Lower } L \ (\text{insert } x \ A)) \implies P \ g$

and greatest-a: $\text{greatest } L \ a \ (\text{Lower } L \ A)$

from L greatest-a **have** $La: a \in \text{carrier } L$ **by** simp

from L inf-of-two-exists greatest-a

obtain i **where** $\text{greatest-}i: \text{greatest } L \ i \ (\text{Lower } L \ \{a, x\})$ **by** blast

show $P \ (\text{THE } g. \text{greatest } L \ g \ (\text{Lower } L \ (\text{insert } x \ A)))$

proof (rule theI2)

show $\text{greatest } L \ i \ (\text{Lower } L \ (\text{insert } x \ A))$

proof (rule greatest-LowerI)

```

fix z
assume z ∈ insert x A
then show i ⊆ z
proof
  assume z = x then show ?thesis
  by (simp add: greatest-Lower-above [OF greatest-i] L La)
next
assume z ∈ A
with L greatest-i greatest-a show ?thesis
  by (rule-tac trans [where y = a]) (auto dest: greatest-Lower-above)
qed
next
fix y
assume y: y ∈ Lower L (insert x A)
show y ⊆ i
proof (rule greatest-le [OF greatest-i], rule Lower-memI)
  fix z
  assume z: z ∈ {a, x}
  then show y ⊆ z
  proof
    have y': y ∈ Lower L A
    apply (rule subsetD [where A = Lower L (insert x A)])
    apply (rule Lower-antimono) apply clarify apply assumption
    done
  assume z = a
  with y' greatest-a show ?thesis by (fast dest: greatest-le)
next
  assume z ∈ {x}
  with y L show ?thesis by blast
qed
qed (rule Lower-closed [THEN subsetD])
next
from L show insert x A ⊆ carrier L by simp
from greatest-i show i ∈ carrier L by simp
qed
next
fix g
assume greatest-g: greatest L g (Lower L (insert x A))
show g = i
proof (rule greatest-unique)
  show greatest L i (Lower L (insert x A))
  proof (rule greatest-LowerI)
    fix z
    assume z ∈ insert x A
    then show i ⊆ z
    proof
      assume z = x then show ?thesis
      by (simp add: greatest-Lower-above [OF greatest-i] L La)
    next

```

```

    assume z ∈ A
    with L greatest-i greatest-a show ?thesis
      by (rule-tac trans [where y = a]) (auto dest: greatest-Lower-above)
  qed
next
fix y
assume y: y ∈ Lower L (insert x A)
show y ⊆ i
proof (rule greatest-le [OF greatest-i], rule Lower-memI)
  fix z
  assume z: z ∈ {a, x}
  then show y ⊆ z
  proof
    have y': y ∈ Lower L A
      apply (rule subsetD [where A = Lower L (insert x A)])
      apply (rule Lower-antimono) apply clarify apply assumption
    done
    assume z = a
    with y' greatest-a show ?thesis by (fast dest: greatest-le)
  next
    assume z ∈ {x}
    with y L show ?thesis by blast
  qed
qed (rule Lower-closed [THEN subsetD])
next
from L show insert x A ⊆ carrier L by simp
from greatest-i show i ∈ carrier L by simp
qed
qed
qed
qed

```

lemma (in lattice) *finite-inf-greatest*:

$[| \text{finite } A; A \subseteq \text{carrier } L; A \sim = \{\} |] \implies \text{greatest } L (\bigsqcap A) (\text{Lower } L A)$

proof (induct set: Finites)

case empty then show ?case by simp

next

case (insert x A)

show ?case

proof (cases A = {})

case True

with insert show ?thesis by (simp add: inf-of-singletonI)

next

case False

from insert show ?thesis

proof (rule-tac inf-insertI)

from False insert show greatest L ($\bigsqcap A$) (Lower L A) by simp

qed simp-all

qed

qed

lemma (in lattice) *finite-inf-insertI*:
 assumes $P: !!i. \text{greatest } L \ i \ (\text{Lower } L \ (\text{insert } x \ A)) \implies P \ i$
 and $xA: \text{finite } A \ x \in \text{carrier } L \ A \subseteq \text{carrier } L$
 shows $P \ (\bigcap \ (\text{insert } x \ A))$
proof (cases $A = \{\}$)
 case *True* with P and xA show ?thesis
 by (simp add: inf-of-singletonI)
 next
 case *False* with P and xA show ?thesis
 by (simp add: inf-insertI finite-inf-greatest)
 qed

lemma (in lattice) *finite-inf-closed*:
 $[\![\text{finite } A; A \subseteq \text{carrier } L; A \sim = \{\}]\!] \implies \bigcap A \in \text{carrier } L$
proof (induct set: *Finites*)
 case *empty* then show ?case by simp
 next
 case *insert* then show ?case
 by (rule-tac finite-inf-insertI) (simp-all)
 qed

lemma (in lattice) *meet-left*:
 $[\![x \in \text{carrier } L; y \in \text{carrier } L]\!] \implies x \sqcap y \sqsubseteq x$
 by (rule meetI [folded meet-def]) (blast dest: greatest-mem)

lemma (in lattice) *meet-right*:
 $[\![x \in \text{carrier } L; y \in \text{carrier } L]\!] \implies x \sqcap y \sqsubseteq y$
 by (rule meetI [folded meet-def]) (blast dest: greatest-mem)

lemma (in lattice) *inf-of-two-greatest*:
 $[\![x \in \text{carrier } L; y \in \text{carrier } L]\!] \implies$
 $\text{greatest } L \ (\bigcap \ \{x, y\}) \ (\text{Lower } L \ \{x, y\})$
proof (unfold inf-def)
 assume $L: x \in \text{carrier } L \ y \in \text{carrier } L$
 with *inf-of-two-exists* obtain s where $\text{greatest } L \ s \ (\text{Lower } L \ \{x, y\})$ by fast
 with L
 show $\text{greatest } L \ (\text{THE } xa. \text{greatest } L \ xa \ (\text{Lower } L \ \{x, y\})) \ (\text{Lower } L \ \{x, y\})$
 by (fast intro: theI2 greatest-unique)
 qed

lemma (in lattice) *meet-le*:
 assumes $\text{sub}: z \sqsubseteq x \ z \sqsubseteq y$
 and $L: x \in \text{carrier } L \ y \in \text{carrier } L \ z \in \text{carrier } L$
 shows $z \sqsubseteq x \sqcap y$
proof (rule meetI)
 fix i
 assume $\text{greatest } L \ i \ (\text{Lower } L \ \{x, y\})$

with *sub L* **show** $z \sqsubseteq i$ **by** (*fast elim: greatest-le intro: Lower-memI*)
qed

lemma (*in lattice*) *meet-assoc-lemma*:

assumes *L: x ∈ carrier L y ∈ carrier L z ∈ carrier L*
shows $x \sqcap (y \sqcap z) = \sqcap \{x, y, z\}$
proof (*rule finite-inf-insertI*)

The textbook argument in Jacobson I, p 457

fix *i*
assume *inf: greatest L i (Lower L {x, y, z})*
show $x \sqcap (y \sqcap z) = i$
proof (*rule anti-sym*)
from *inf L* **show** $i \sqsubseteq x \sqcap (y \sqcap z)$
by (*fastsimp intro!: meet-le elim: greatest-Lower-above*)
next
from *inf L* **show** $x \sqcap (y \sqcap z) \sqsubseteq i$
by (*erule-tac greatest-le*)
(*blast intro!: Lower-memI intro: trans meet-left meet-right meet-closed*)
qed (*simp-all add: L greatest-carrier [OF inf]*)
qed (*simp-all add: L*)

lemma *meet-comm*:

includes *struct L*
shows $x \sqcap y = y \sqcap x$
by (*unfold meet-def*) (*simp add: insert-commute*)

lemma (*in lattice*) *meet-assoc*:

assumes *L: x ∈ carrier L y ∈ carrier L z ∈ carrier L*
shows $(x \sqcap y) \sqcap z = x \sqcap (y \sqcap z)$
proof –
have $(x \sqcap y) \sqcap z = z \sqcap (x \sqcap y)$ **by** (*simp only: meet-comm*)
also from *L* **have** $\dots = \sqcap \{z, x, y\}$ **by** (*simp add: meet-assoc-lemma*)
also from *L* **have** $\dots = \sqcap \{x, y, z\}$ **by** (*simp add: insert-commute*)
also from *L* **have** $\dots = x \sqcap (y \sqcap z)$ **by** (*simp add: meet-assoc-lemma*)
finally show *?thesis* .
qed

1.3 Total Orders

locale *total-order = lattice +*

assumes *total: [| x ∈ carrier L; y ∈ carrier L |] ==> x ⊆ y | y ⊆ x*

Introduction rule: the usual definition of total order

lemma (*in partial-order*) *total-orderI*:

assumes *total: !!x y. [| x ∈ carrier L; y ∈ carrier L |] ==> x ⊆ y | y ⊆ x*
shows *total-order L*
proof (*rule total-order.intro*)
show *lattice-axioms L*

```

proof (rule lattice-axioms.intro)
  fix x y
  assume L: x ∈ carrier L y ∈ carrier L
  show EX s. least L s (Upper L {x, y})
  proof –
    note total L
    moreover
    {
      assume x ⊆ y
      with L have least L y (Upper L {x, y})
      by (rule-tac least-UpperI) auto
    }
    moreover
    {
      assume y ⊆ x
      with L have least L x (Upper L {x, y})
      by (rule-tac least-UpperI) auto
    }
    ultimately show ?thesis by blast
  qed
next
  fix x y
  assume L: x ∈ carrier L y ∈ carrier L
  show EX i. greatest L i (Lower L {x, y})
  proof –
    note total L
    moreover
    {
      assume y ⊆ x
      with L have greatest L y (Lower L {x, y})
      by (rule-tac greatest-LowerI) auto
    }
    moreover
    {
      assume x ⊆ y
      with L have greatest L x (Lower L {x, y})
      by (rule-tac greatest-LowerI) auto
    }
    ultimately show ?thesis by blast
  qed
qed (assumption | rule total-order-axioms.intro)+

```

1.4 Complete lattices

locale complete-lattice = lattice +

assumes sup-exists:

[| A ⊆ carrier L |] ==> EX s. least L s (Upper L A)

and inf-exists:

$\llbracket A \subseteq \text{carrier } L \rrbracket \implies \text{EX } i. \text{ greatest } L \ i \ (\text{Lower } L \ A)$

Introduction rule: the usual definition of complete lattice

lemma (in *partial-order*) *complete-latticeI*:

assumes *sup-exists*:

!!A. $\llbracket A \subseteq \text{carrier } L \rrbracket \implies \text{EX } s. \text{ least } L \ s \ (\text{Upper } L \ A)$

and *inf-exists*:

!!A. $\llbracket A \subseteq \text{carrier } L \rrbracket \implies \text{EX } i. \text{ greatest } L \ i \ (\text{Lower } L \ A)$

shows *complete-lattice L*

proof (rule *complete-lattice.intro*)

show *lattice-axioms L*

by (rule *lattice-axioms.intro*) (blast intro: *sup-exists inf-exists*)+

qed (*assumption* | rule *complete-lattice-axioms.intro*)+

constdefs (structure *L*)

top :: - => 'a (\top)

\top == *sup L (carrier L)*

bottom :: - => 'a (\perp)

\perp == *inf L (carrier L)*

lemma (in *complete-lattice*) *supI*:

$\llbracket \text{!!}l. \text{ least } L \ l \ (\text{Upper } L \ A) \implies P \ l; A \subseteq \text{carrier } L \rrbracket$

$\implies P \ (\bigsqcup A)$

proof (*unfold sup-def*)

assume *L: A* \subseteq *carrier L*

and *P: !!l. least L l (Upper L A) ==> P l*

with *sup-exists* **obtain** *s* **where** *least L s (Upper L A)* **by** *blast*

with *L* **show** *P (THE l. least L l (Upper L A))*

by (*fast intro: theI2 least-unique P*)

qed

lemma (in *complete-lattice*) *sup-closed [simp]*:

$A \subseteq \text{carrier } L \implies \bigsqcup A \in \text{carrier } L$

by (rule *supI*) *simp-all*

lemma (in *complete-lattice*) *top-closed [simp, intro]*:

$\top \in \text{carrier } L$

by (*unfold top-def*) *simp*

lemma (in *complete-lattice*) *infI*:

$\llbracket \text{!!}i. \text{ greatest } L \ i \ (\text{Lower } L \ A) \implies P \ i; A \subseteq \text{carrier } L \rrbracket$

$\implies P \ (\bigsqcap A)$

proof (*unfold inf-def*)

assume *L: A* \subseteq *carrier L*

and *P: !!i. greatest L i (Lower L A) ==> P i*

with *inf-exists* **obtain** *s* **where** *greatest L s (Lower L A)* **by** *blast*

with *L* **show** *P (THE i. greatest L i (Lower L A))*

by (*fast intro: theI2 greatest-unique P*)
qed

lemma (*in complete-lattice*) *inf-closed* [*simp*]:
 $A \subseteq \text{carrier } L \implies \bigcap A \in \text{carrier } L$
by (*rule infI*) *simp-all*

lemma (*in complete-lattice*) *bottom-closed* [*simp, intro*]:
 $\perp \in \text{carrier } L$
by (*unfold bottom-def*) *simp*

Jacobson: Theorem 8.1

lemma *Lower-empty* [*simp*]:
 $\text{Lower } L \ \{\} = \text{carrier } L$
by (*unfold Lower-def*) *simp*

lemma *Upper-empty* [*simp*]:
 $\text{Upper } L \ \{\} = \text{carrier } L$
by (*unfold Upper-def*) *simp*

theorem (*in partial-order*) *complete-lattice-criterion1*:
assumes *top-exists: EX g. greatest L g (carrier L)*
and *inf-exists*:
 $\forall A. [\![A \subseteq \text{carrier } L; A \sim \{\}]\!] \implies \text{EX } i. \text{greatest } L \ i \ (\text{Lower } L \ A)$
shows *complete-lattice L*
proof (*rule complete-latticeI*)
from *top-exists* **obtain** *top* **where** *top: greatest L top (carrier L) ..*
fix *A*
assume *L: A ⊆ carrier L*
let *?B = Upper L A*
from *L top* **have** *top ∈ ?B* **by** (*fast intro!: Upper-memI intro: greatest-le*)
then **have** *B-non-empty: ?B ∼ {}* **by** *fast*
have *B-L: ?B ⊆ carrier L* **by** *simp*
from *inf-exists* [*OF B-L B-non-empty*]
obtain *b* **where** *b-inf-B: greatest L b (Lower L ?B) ..*
have *least L b (Upper L A)*
apply (*rule least-UpperI*)
apply (*rule greatest-le [where A = Lower L ?B]*)
apply (*rule b-inf-B*)
apply (*rule Lower-memI*)
apply (*erule UpperD*)
apply *assumption*
apply (*rule L*)
apply (*fast intro: L [THEN subsetD]*)
apply (*erule greatest-Lower-above [OF b-inf-B]*)
apply *simp*
apply (*rule L*)
apply (*rule greatest-carrier [OF b-inf-B]*)
done

```

    then show EX s. least L s (Upper L A) ..
next
  fix A
  assume L: A ⊆ carrier L
  show EX i. greatest L i (Lower L A)
  proof (cases A = {})
    case True then show ?thesis
      by (simp add: top-exists)
    next
    case False with L show ?thesis
      by (rule inf-exists)
  qed
qed

```

1.5 Examples

1.5.1 Powerset of a set is a complete lattice

```

theorem powerset-is-complete-lattice:
  complete-lattice (| carrier = Pow A, le = op ⊆ |)
  (is complete-lattice ?L)
proof (rule partial-order.complete-latticeI)
  show partial-order ?L
    by (rule partial-order.intro) auto
next
  fix B
  assume B ⊆ carrier ?L
  then have least ?L (⋃ B) (Upper ?L B)
    by (fastsimp intro!: least-UpperI simp: Upper-def)
  then show EX s. least ?L s (Upper ?L B) ..
next
  fix B
  assume B ⊆ carrier ?L
  then have greatest ?L (⋂ B ∩ A) (Lower ?L B)

```

$\bigcap B$ is not the infimum of B : $\bigcap \{\} = UNIV$ which is in general bigger than A !

```

  by (fastsimp intro!: greatest-LowerI simp: Lower-def)
  then show EX i. greatest ?L i (Lower ?L B) ..
qed

```

An other example, that of the lattice of subgroups of a group, can be found in Group theory (Section 3.9).

end

2 Group: Groups

```

theory Group imports FuncSet Lattice begin

```

3 Monoids and Groups

Definitions follow [2].

3.1 Definitions

record *'a monoid* = *'a partial-object* +
mult :: [*'a, 'a*] ⇒ *'a* (**infixl** \otimes_1 70)
one :: *'a* (**1**)

constdefs (**structure** *G*)

m-inv :: (*'a, 'b*) *monoid-scheme* ⇒ *'a* ⇒ *'a* (*inv1* - [81] 80)
inv *x* == (*THE* *y. y* ∈ *carrier G* & *x* ⊗ *y* = **1** & *y* ⊗ *x* = **1**)

Units :: - ⇒ *'a set*

— The set of invertible elements

Units G == {*y. y* ∈ *carrier G* & (∃*x* ∈ *carrier G. x* ⊗ *y* = **1** & *y* ⊗ *x* = **1**)}

consts

pow :: [(*'a, 'm*) *monoid-scheme, 'a, 'b::number*] ⇒ *'a* (**infixr** $'(^)1$ 75)

defs (**overloaded**)

nat-pow-def: *pow G a n* == *nat-rec 1_G (%u b. b* ⊗_{*G*} *a) n*

int-pow-def: *pow G a z* ==

let *p* = *nat-rec 1_G (%u b. b* ⊗_{*G*} *a)*

in if neg z then inv_G (p (nat (-z))) else p (nat z)

locale *monoid* = *struct G* +

assumes *m-closed* [*intro, simp*]:

 [[*x* ∈ *carrier G*; *y* ∈ *carrier G*] ⇒ *x* ⊗ *y* ∈ *carrier G*

and *m-assoc*:

 [[*x* ∈ *carrier G*; *y* ∈ *carrier G*; *z* ∈ *carrier G*]

 ⇒ (*x* ⊗ *y*) ⊗ *z* = *x* ⊗ (*y* ⊗ *z*)

and *one-closed* [*intro, simp*]: **1** ∈ *carrier G*

and *l-one* [*simp*]: *x* ∈ *carrier G* ⇒ **1** ⊗ *x* = *x*

and *r-one* [*simp*]: *x* ∈ *carrier G* ⇒ *x* ⊗ **1** = *x*

lemma *monoidI*:

includes *struct G*

assumes *m-closed*:

 !!*x y. [[x* ∈ *carrier G*; *y* ∈ *carrier G*]] ⇒ *x* ⊗ *y* ∈ *carrier G*

and *one-closed*: **1** ∈ *carrier G*

and *m-assoc*:

 !!*x y z. [[x* ∈ *carrier G*; *y* ∈ *carrier G*; *z* ∈ *carrier G*]] ⇒

 (*x* ⊗ *y*) ⊗ *z* = *x* ⊗ (*y* ⊗ *z*)

and *l-one*: !!*x. x* ∈ *carrier G* ⇒ **1** ⊗ *x* = *x*

and *r-one*: !!*x. x* ∈ *carrier G* ⇒ *x* ⊗ **1** = *x*

shows *monoid G*

by (*fast intro!*: *monoid.intro intro: prems*)

```

lemma (in monoid) Units-closed [dest]:
   $x \in \text{Units } G \implies x \in \text{carrier } G$ 
  by (unfold Units-def) fast

lemma (in monoid) inv-unique:
  assumes  $eq: y \otimes x = \mathbf{1} \quad x \otimes y' = \mathbf{1}$ 
  and  $G: x \in \text{carrier } G \quad y \in \text{carrier } G \quad y' \in \text{carrier } G$ 
  shows  $y = y'$ 
proof –
  from  $G \text{ eq}$  have  $y = y \otimes (x \otimes y')$  by simp
  also from  $G$  have  $\dots = (y \otimes x) \otimes y'$  by (simp add: m-assoc)
  also from  $G \text{ eq}$  have  $\dots = y'$  by simp
  finally show ?thesis .
qed

lemma (in monoid) Units-one-closed [intro, simp]:
   $\mathbf{1} \in \text{Units } G$ 
  by (unfold Units-def) auto

lemma (in monoid) Units-inv-closed [intro, simp]:
   $x \in \text{Units } G \implies \text{inv } x \in \text{carrier } G$ 
  apply (unfold Units-def m-inv-def, auto)
  apply (rule theI2, fast)
  apply (fast intro: inv-unique, fast)
  done

lemma (in monoid) Units-l-inv:
   $x \in \text{Units } G \implies \text{inv } x \otimes x = \mathbf{1}$ 
  apply (unfold Units-def m-inv-def, auto)
  apply (rule theI2, fast)
  apply (fast intro: inv-unique, fast)
  done

lemma (in monoid) Units-r-inv:
   $x \in \text{Units } G \implies x \otimes \text{inv } x = \mathbf{1}$ 
  apply (unfold Units-def m-inv-def, auto)
  apply (rule theI2, fast)
  apply (fast intro: inv-unique, fast)
  done

lemma (in monoid) Units-inv-Units [intro, simp]:
   $x \in \text{Units } G \implies \text{inv } x \in \text{Units } G$ 
proof –
  assume  $x: x \in \text{Units } G$ 
  show  $\text{inv } x \in \text{Units } G$ 
  by (auto simp add: Units-def
    intro: Units-l-inv Units-r-inv x Units-closed [OF x])
qed

```

lemma (in monoid) *Units-l-cancel* [simp]:
 $\llbracket x \in \text{Units } G; y \in \text{carrier } G; z \in \text{carrier } G \rrbracket \implies$
 $(x \otimes y = x \otimes z) = (y = z)$
proof
 assume eq: $x \otimes y = x \otimes z$
 and $G: x \in \text{Units } G \ y \in \text{carrier } G \ z \in \text{carrier } G$
 then have $(\text{inv } x \otimes x) \otimes y = (\text{inv } x \otimes x) \otimes z$
 by (simp add: m-assoc Units-closed)
 with G show $y = z$ by (simp add: Units-l-inv)
next
 assume eq: $y = z$
 and $G: x \in \text{Units } G \ y \in \text{carrier } G \ z \in \text{carrier } G$
 then show $x \otimes y = x \otimes z$ by simp
qed

lemma (in monoid) *Units-inv-inv* [simp]:
 $x \in \text{Units } G \implies \text{inv } (\text{inv } x) = x$
proof –
 assume $x: x \in \text{Units } G$
 then have $\text{inv } x \otimes \text{inv } (\text{inv } x) = \text{inv } x \otimes x$
 by (simp add: Units-l-inv Units-r-inv)
 with x show ?thesis by (simp add: Units-closed)
qed

lemma (in monoid) *inv-inj-on-Units*:
 $\text{inj-on } (m\text{-inv } G) (\text{Units } G)$
proof (rule inj-onI)
 fix $x \ y$
 assume $G: x \in \text{Units } G \ y \in \text{Units } G$ and eq: $\text{inv } x = \text{inv } y$
 then have $\text{inv } (\text{inv } x) = \text{inv } (\text{inv } y)$ by simp
 with G show $x = y$ by simp
qed

lemma (in monoid) *Units-inv-comm*:
 assumes $\text{inv}: x \otimes y = \mathbf{1}$
 and $G: x \in \text{Units } G \ y \in \text{Units } G$
 shows $y \otimes x = \mathbf{1}$
proof –
 from G have $x \otimes y \otimes x = x \otimes \mathbf{1}$ by (auto simp add: inv Units-closed)
 with G show ?thesis by (simp del: r-one add: m-assoc Units-closed)
qed

Power

lemma (in monoid) *nat-pow-closed* [intro, simp]:
 $x \in \text{carrier } G \implies x \ (\wedge) \ (n::\text{nat}) \in \text{carrier } G$
 by (induct n) (simp-all add: nat-pow-def)

lemma (in monoid) *nat-pow-0* [simp]:

$x (^) (0::nat) = \mathbf{1}$
by (*simp add: nat-pow-def*)

lemma (**in** *monoid*) *nat-pow-Suc* [*simp*]:
 $x (^) (Suc\ n) = x (^) n \otimes x$
by (*simp add: nat-pow-def*)

lemma (**in** *monoid*) *nat-pow-one* [*simp*]:
 $\mathbf{1} (^) (n::nat) = \mathbf{1}$
by (*induct n*) *simp-all*

lemma (**in** *monoid*) *nat-pow-mult*:
 $x \in carrier\ G \implies x (^) (n::nat) \otimes x (^) m = x (^) (n + m)$
by (*induct m*) (*simp-all add: m-assoc [THEN sym]*)

lemma (**in** *monoid*) *nat-pow-pow*:
 $x \in carrier\ G \implies (x (^) n) (^) m = x (^) (n * m::nat)$
by (*induct m*) (*simp, simp add: nat-pow-mult add-commute*)

A group is a monoid all of whose elements are invertible.

locale *group = monoid +*
assumes *Units: carrier G <= Units G*

lemma (**in** *group*) *is-group: group G*
by (*rule group.intro [OF prems]*)

theorem *groupI*:
includes *struct G*
assumes *m-closed* [*simp*]:
 $!!x\ y. [| x \in carrier\ G; y \in carrier\ G |] \implies x \otimes y \in carrier\ G$
and *one-closed* [*simp*]: $\mathbf{1} \in carrier\ G$
and *m-assoc*:
 $!!x\ y\ z. [| x \in carrier\ G; y \in carrier\ G; z \in carrier\ G |] \implies$
 $(x \otimes y) \otimes z = x \otimes (y \otimes z)$
and *l-one* [*simp*]: $!!x. x \in carrier\ G \implies \mathbf{1} \otimes x = x$
and *l-inv-ex*: $!!x. x \in carrier\ G \implies \exists y \in carrier\ G. y \otimes x = \mathbf{1}$
shows *group G*

proof –
have *l-cancel* [*simp*]:
 $!!x\ y\ z. [| x \in carrier\ G; y \in carrier\ G; z \in carrier\ G |] \implies$
 $(x \otimes y = x \otimes z) = (y = z)$

proof
fix $x\ y\ z$
assume *eq*: $x \otimes y = x \otimes z$
and G : $x \in carrier\ G\ y \in carrier\ G\ z \in carrier\ G$
with *l-inv-ex* **obtain** *x-inv* **where** xG : $x-inv \in carrier\ G$
and *l-inv*: $x-inv \otimes x = \mathbf{1}$ **by** *fast*
from G *eq* xG **have** $(x-inv \otimes x) \otimes y = (x-inv \otimes x) \otimes z$

```

    by (simp add: m-assoc)
  with G show y = z by (simp add: l-inv)
next
fix x y z
assume eq: y = z
  and G: x ∈ carrier G y ∈ carrier G z ∈ carrier G
  then show x ⊗ y = x ⊗ z by simp
qed
have r-one:
  !!x. x ∈ carrier G ==> x ⊗ 1 = x
proof -
  fix x
  assume x: x ∈ carrier G
  with l-inv-ex obtain x-inv where xG: x-inv ∈ carrier G
  and l-inv: x-inv ⊗ x = 1 by fast
  from x xG have x-inv ⊗ (x ⊗ 1) = x-inv ⊗ x
  by (simp add: m-assoc [symmetric] l-inv)
  with x xG show x ⊗ 1 = x by simp
qed
have inv-ex:
  !!x. x ∈ carrier G ==> ∃ y ∈ carrier G. y ⊗ x = 1 & x ⊗ y = 1
proof -
  fix x
  assume x: x ∈ carrier G
  with l-inv-ex obtain y where y: y ∈ carrier G
  and l-inv: y ⊗ x = 1 by fast
  from x y have y ⊗ (x ⊗ y) = y ⊗ 1
  by (simp add: m-assoc [symmetric] l-inv r-one)
  with x y have r-inv: x ⊗ y = 1
  by simp
  from x y show ∃ y ∈ carrier G. y ⊗ x = 1 & x ⊗ y = 1
  by (fast intro: l-inv r-inv)
qed
then have carrier-subset-Units: carrier G ≤ Units G
  by (unfold Units-def) fast
show ?thesis
  by (fast intro!: group.intro monoid.intro group-axioms.intro
      carrier-subset-Units intro: prems r-one)
qed

lemma (in monoid) monoid-groupI:
  assumes l-inv-ex:
    !!x. x ∈ carrier G ==> ∃ y ∈ carrier G. y ⊗ x = 1
  shows group G
  by (rule groupI) (auto intro: m-assoc l-inv-ex)

lemma (in group) Units-eq [simp]:
  Units G = carrier G
proof

```

```

  show Units G <= carrier G by fast
next
  show carrier G <= Units G by (rule Units)
qed

```

```

lemma (in group) inv-closed [intro, simp]:
  x ∈ carrier G ==> inv x ∈ carrier G
  using Units-inv-closed by simp

```

```

lemma (in group) l-inv [simp]:
  x ∈ carrier G ==> inv x ⊗ x = 1
  using Units-l-inv by simp

```

3.2 Cancellation Laws and Basic Properties

```

lemma (in group) l-cancel [simp]:
  [| x ∈ carrier G; y ∈ carrier G; z ∈ carrier G |] ==>
  (x ⊗ y = x ⊗ z) = (y = z)
  using Units-l-inv by simp

```

```

lemma (in group) r-inv [simp]:
  x ∈ carrier G ==> x ⊗ inv x = 1

```

```

proof –
  assume x: x ∈ carrier G
  then have inv x ⊗ (x ⊗ inv x) = inv x ⊗ 1
    by (simp add: m-assoc [symmetric] l-inv)
  with x show ?thesis by (simp del: r-one)
qed

```

```

lemma (in group) r-cancel [simp]:
  [| x ∈ carrier G; y ∈ carrier G; z ∈ carrier G |] ==>
  (y ⊗ x = z ⊗ x) = (y = z)

```

```

proof
  assume eq: y ⊗ x = z ⊗ x
  and G: x ∈ carrier G y ∈ carrier G z ∈ carrier G
  then have y ⊗ (x ⊗ inv x) = z ⊗ (x ⊗ inv x)
    by (simp add: m-assoc [symmetric] del: r-inv)
  with G show y = z by simp
next
  assume eq: y = z
  and G: x ∈ carrier G y ∈ carrier G z ∈ carrier G
  then show y ⊗ x = z ⊗ x by simp
qed

```

```

lemma (in group) inv-one [simp]:
  inv 1 = 1
proof –
  have inv 1 = 1 ⊗ (inv 1) by (simp del: r-inv)
  moreover have ... = 1 by simp

```

finally show *?thesis* .
qed

lemma (**in** *group*) *inv-inv* [*simp*]:
 $x \in \text{carrier } G \implies \text{inv } (\text{inv } x) = x$
using *Units-inv-inv* **by** *simp*

lemma (**in** *group*) *inv-inj*:
 $\text{inj-on } (m\text{-inv } G) (\text{carrier } G)$
using *inv-inj-on-Units* **by** *simp*

lemma (**in** *group*) *inv-mult-group*:
 $[[x \in \text{carrier } G; y \in \text{carrier } G]] \implies \text{inv } (x \otimes y) = \text{inv } y \otimes \text{inv } x$
proof –
assume $G: x \in \text{carrier } G \ y \in \text{carrier } G$
then have $\text{inv } (x \otimes y) \otimes (x \otimes y) = (\text{inv } y \otimes \text{inv } x) \otimes (x \otimes y)$
by (*simp add: m-assoc l-inv*) (*simp add: m-assoc [symmetric]*)
with G **show** *?thesis* **by** (*simp del: l-inv*)
qed

lemma (**in** *group*) *inv-comm*:
 $[[x \otimes y = \mathbf{1}; x \in \text{carrier } G; y \in \text{carrier } G]] \implies y \otimes x = \mathbf{1}$
by (*rule Units-inv-comm*) *auto*

lemma (**in** *group*) *inv-equality*:
 $[[y \otimes x = \mathbf{1}; x \in \text{carrier } G; y \in \text{carrier } G]] \implies \text{inv } x = y$
apply (*simp add: m-inv-def*)
apply (*rule the-equality*)
apply (*simp add: inv-comm [of y x]*)
apply (*rule r-cancel [THEN iffD1], auto*)
done

Power

lemma (**in** *group*) *int-pow-def2*:
 $a (^) (z::\text{int}) = (\text{if } \text{neg } z \text{ then } \text{inv } (a (^) (\text{nat } (-z))) \text{ else } a (^) (\text{nat } z))$
by (*simp add: int-pow-def nat-pow-def Let-def*)

lemma (**in** *group*) *int-pow-0* [*simp*]:
 $x (^) (0::\text{int}) = \mathbf{1}$
by (*simp add: int-pow-def2*)

lemma (**in** *group*) *int-pow-one* [*simp*]:
 $\mathbf{1} (^) (z::\text{int}) = \mathbf{1}$
by (*simp add: int-pow-def2*)

3.3 Subgroups

locale *subgroup* = *var* H + *struct* G +
assumes *subset*: $H \subseteq \text{carrier } G$

and *m-closed* [*intro, simp*]: $\llbracket x \in H; y \in H \rrbracket \implies x \otimes y \in H$
and *one-closed* [*simp*]: $\mathbf{1} \in H$
and *m-inv-closed* [*intro, simp*]: $x \in H \implies \text{inv } x \in H$

declare (*in subgroup*) *group.intro* [*intro*]

lemma (*in subgroup*) *mem-carrier* [*simp*]:
 $x \in H \implies x \in \text{carrier } G$
using *subset by blast*

lemma *subgroup-imp-subset*:
 $\text{subgroup } H G \implies H \subseteq \text{carrier } G$
by (*rule subgroup.subset*)

lemma (*in subgroup*) *subgroup-is-group* [*intro*]:
includes *group* G
shows *group* ($G(\text{carrier} := H)$)
by (*rule groupI*) (*auto intro: m-assoc l-inv mem-carrier*)

Since H is nonempty, it contains some element x . Since it is closed under inverse, it contains $\text{inv } x$. Since it is closed under product, it contains $x \otimes \text{inv } x = \mathbf{1}$.

lemma (*in group*) *one-in-subset*:
 $\llbracket H \subseteq \text{carrier } G; H \neq \{\}; \forall a \in H. \text{inv } a \in H; \forall a \in H. \forall b \in H. a \otimes b \in H \rrbracket$
 $\implies \mathbf{1} \in H$
by (*force simp add: l-inv*)

A characterization of subgroups: closed, non-empty subset.

lemma (*in group*) *subgroupI*:
assumes *subset*: $H \subseteq \text{carrier } G$ **and** *non-empty*: $H \neq \{\}$
and *inv*: $\forall a. a \in H \implies \text{inv } a \in H$
and *mult*: $\forall a b. [a \in H; b \in H] \implies a \otimes b \in H$
shows *subgroup* $H G$
proof (*simp add: subgroup-def prems*)
show $\mathbf{1} \in H$ **by** (*rule one-in-subset*) (*auto simp only: prems*)
qed

declare *monoid.one-closed* [*iff*] *group.inv-closed* [*simp*]
monoid.l-one [*simp*] *monoid.r-one* [*simp*] *group.inv-inv* [*simp*]

lemma *subgroup-nonempty*:
 $\sim \text{subgroup } \{\} G$
by (*blast dest: subgroup.one-closed*)

lemma (*in subgroup*) *finite-imp-card-positive*:
 $\text{finite } (\text{carrier } G) \implies 0 < \text{card } H$
proof (*rule classical*)
assume $\text{finite } (\text{carrier } G) \sim 0 < \text{card } H$
then have $\text{finite } H$ **by** (*blast intro: finite-subset [OF subset]*)

with *prems* **have** *subgroup* {} *G* **by** *simp*
with *subgroup-nonempty* **show** *?thesis* **by** *contradiction*
qed

3.4 Direct Products

constdefs

DirProd :: $- \Rightarrow - \Rightarrow ('a \times 'b)$ *monoid* (**infixr** $\times \times$ 80)
 $G \times \times H \equiv (\text{carrier} = \text{carrier } G \times \text{carrier } H,$
 $\text{mult} = (\lambda(g, h) (g', h'). (g \otimes_G g', h \otimes_H h')),$
 $\text{one} = (\mathbf{1}_G, \mathbf{1}_H))$

lemma *DirProd-monoid*:

includes *monoid* *G* + *monoid* *H*
shows *monoid* ($G \times \times H$)

proof –

from *prems*
show *?thesis* **by** (*unfold monoid-def DirProd-def, auto*)

qed

Does not use the previous result because it’s easier just to use auto.

lemma *DirProd-group*:

includes *group* *G* + *group* *H*
shows *group* ($G \times \times H$)
by (*rule groupI*)
(*auto intro: G.m-assoc H.m-assoc G.l-inv H.l-inv*
simp add: DirProd-def)

lemma *carrier-DirProd [simp]*:

$\text{carrier } (G \times \times H) = \text{carrier } G \times \text{carrier } H$
by (*simp add: DirProd-def*)

lemma *one-DirProd [simp]*:

$\mathbf{1}_{G \times \times H} = (\mathbf{1}_G, \mathbf{1}_H)$
by (*simp add: DirProd-def*)

lemma *mult-DirProd [simp]*:

$(g, h) \otimes_{(G \times \times H)} (g', h') = (g \otimes_G g', h \otimes_H h')$
by (*simp add: DirProd-def*)

lemma *inv-DirProd [simp]*:

includes *group* *G* + *group* *H*
assumes *g*: $g \in \text{carrier } G$
and *h*: $h \in \text{carrier } H$
shows *m-inv* ($G \times \times H$) $(g, h) = (\text{inv}_G g, \text{inv}_H h)$
apply (*rule group.inv-equality [OF DirProd-group]*)
apply (*simp-all add: prems group-def group.l-inv*)
done

This alternative proof of the previous result demonstrates interpret. It uses

Prod.inv-equality (available after *interpret*) instead of *group.inv-equality* [*OF DirProd-group*].

lemma

includes *group G + group H*

assumes *g: g ∈ carrier G*

and *h: h ∈ carrier H*

shows *m-inv (G ×× H) (g, h) = (inv_G g, inv_H h)*

proof –

interpret *Prod: group [G ×× H]*

by (*auto intro: DirProd-group group.intro group.axioms prems*)

show *?thesis* **by** (*simp add: Prod.inv-equality g h*)

qed

3.5 Homomorphisms and Isomorphisms

constdefs (**structure** *G and H*)

hom :: *- => - => ('a => 'b) set*

hom G H ==

{h. h ∈ carrier G -> carrier H &

(∀ x ∈ carrier G. ∀ y ∈ carrier G. h (x ⊗_G y) = h x ⊗_H h y)}

lemma *hom-mult:*

[| h ∈ hom G H; x ∈ carrier G; y ∈ carrier G |]

==> h (x ⊗_G y) = h x ⊗_H h y

by (*simp add: hom-def*)

lemma *hom-closed:*

[| h ∈ hom G H; x ∈ carrier G |] ==> h x ∈ carrier H

by (*auto simp add: hom-def funcset-mem*)

lemma (**in** *group*) *hom-compose:*

[| h ∈ hom G H; i ∈ hom H I |] ==> compose (carrier G) i h ∈ hom G I

apply (*auto simp add: hom-def funcset-compose*)

apply (*simp add: compose-def funcset-mem*)

done

3.6 Isomorphisms

constdefs

iso :: *- => - => ('a => 'b) set* (**infixr** *≅* 60)

G ≅ H == {h. h ∈ hom G H & bij-betw h (carrier G) (carrier H)}

lemma *iso-refl:* *(%x. x) ∈ G ≅ G*

by (*simp add: iso-def hom-def inj-on-def bij-betw-def Pi-def*)

lemma (**in** *group*) *iso-sym:*

h ∈ G ≅ H ==> Inv (carrier G) h ∈ H ≅ G

apply (*simp add: iso-def bij-betw-Inv*)

apply (*subgoal-tac Inv (carrier G) h ∈ carrier H → carrier G*)

prefer 2 apply (*simp add: bij-betw-imp-funcset [OF bij-betw-Inv]*)
apply (*simp add: hom-def bij-betw-def Inv-f-eq funcset-mem f-Inv-f*)
done

lemma (*in group*) *iso-trans*:

$[[h \in G \cong H; i \in H \cong I]] ==> (\text{compose } (\text{carrier } G) \ i \ h) \in G \cong I$
by (*auto simp add: iso-def hom-compose bij-betw-compose*)

lemma *DirProd-commute-iso*:

shows $(\lambda(x,y). (y,x)) \in (G \times \times H) \cong (H \times \times G)$
by (*auto simp add: iso-def hom-def inj-on-def bij-betw-def Pi-def*)

lemma *DirProd-assoc-iso*:

shows $(\lambda(x,y,z). (x,(y,z))) \in (G \times \times H \times \times I) \cong (G \times \times (H \times \times I))$
by (*auto simp add: iso-def hom-def inj-on-def bij-betw-def Pi-def*)

Basis for homomorphism proofs: we assume two groups G and H , with a homomorphism h between them

locale *group-hom* = *group* G + *group* H + *var* h +
assumes *homh*: $h \in \text{hom } G \ H$
notes *hom-mult [simp]* = *hom-mult [OF homh]*
and *hom-closed [simp]* = *hom-closed [OF homh]*

lemma (*in group-hom*) *one-closed [simp]*:

$h \ \mathbf{1} \in \text{carrier } H$
by *simp*

lemma (*in group-hom*) *hom-one [simp]*:

$h \ \mathbf{1} = \mathbf{1}_H$

proof –

have $h \ \mathbf{1} \otimes_H \mathbf{1}_H = h \ \mathbf{1} \otimes_H h \ \mathbf{1}$

by (*simp add: hom-mult [symmetric] del: hom-mult*)

then show *?thesis* **by** (*simp del: r-one*)

qed

lemma (*in group-hom*) *inv-closed [simp]*:

$x \in \text{carrier } G ==> h \ (\text{inv } x) \in \text{carrier } H$

by *simp*

lemma (*in group-hom*) *hom-inv [simp]*:

$x \in \text{carrier } G ==> h \ (\text{inv } x) = \text{inv}_H (h \ x)$

proof –

assume $x: x \in \text{carrier } G$

then have $h \ x \otimes_H h \ (\text{inv } x) = \mathbf{1}_H$

by (*simp add: hom-mult [symmetric] del: hom-mult*)

also from x **have** $\dots = h \ x \otimes_H \text{inv}_H (h \ x)$

by (*simp add: hom-mult [symmetric] del: hom-mult*)

finally have $h \ x \otimes_H h \ (\text{inv } x) = h \ x \otimes_H \text{inv}_H (h \ x)$.

with x **show** *?thesis* **by** (*simp del: H.r-inv*)

qed

3.7 Commutative Structures

Naming convention: multiplicative structures that are commutative are called *commutative*, additive structures are called *Abelian*.

3.8 Definition

locale *comm-monoid* = *monoid* +

assumes *m-comm*: $\llbracket x \in \text{carrier } G; y \in \text{carrier } G \rrbracket \implies x \otimes y = y \otimes x$

lemma (**in** *comm-monoid*) *m-lcomm*:

$\llbracket x \in \text{carrier } G; y \in \text{carrier } G; z \in \text{carrier } G \rrbracket \implies$

$x \otimes (y \otimes z) = y \otimes (x \otimes z)$

proof –

assume *xyz*: $x \in \text{carrier } G \ y \in \text{carrier } G \ z \in \text{carrier } G$

from *xyz* **have** $x \otimes (y \otimes z) = (x \otimes y) \otimes z$ **by** (*simp add: m-assoc*)

also from *xyz* **have** $\dots = (y \otimes x) \otimes z$ **by** (*simp add: m-comm*)

also from *xyz* **have** $\dots = y \otimes (x \otimes z)$ **by** (*simp add: m-assoc*)

finally show *?thesis* .

qed

lemmas (**in** *comm-monoid*) *m-ac* = *m-assoc* *m-comm* *m-lcomm*

lemma *comm-monoidI*:

includes *struct* *G*

assumes *m-closed*:

$\llbracket x \ y. \llbracket x \in \text{carrier } G; y \in \text{carrier } G \rrbracket \implies x \otimes y \in \text{carrier } G$

and *one-closed*: $\mathbf{1} \in \text{carrier } G$

and *m-assoc*:

$\llbracket x \ y \ z. \llbracket x \in \text{carrier } G; y \in \text{carrier } G; z \in \text{carrier } G \rrbracket \implies$

$(x \otimes y) \otimes z = x \otimes (y \otimes z)$

and *l-one*: $\llbracket x. x \in \text{carrier } G \rrbracket \implies \mathbf{1} \otimes x = x$

and *m-comm*:

$\llbracket x \ y. \llbracket x \in \text{carrier } G; y \in \text{carrier } G \rrbracket \implies x \otimes y = y \otimes x$

shows *comm-monoid* *G*

using *l-one*

by (*auto intro!*: *comm-monoid.intro* *comm-monoid-axioms.intro* *monoid.intro*
intro: *prems simp: m-closed one-closed m-comm*)

lemma (**in** *monoid*) *monoid-comm-monoidI*:

assumes *m-comm*:

$\llbracket x \ y. \llbracket x \in \text{carrier } G; y \in \text{carrier } G \rrbracket \implies x \otimes y = y \otimes x$

shows *comm-monoid* *G*

by (*rule comm-monoidI*) (*auto intro: m-assoc m-comm*)

lemma (in *comm-monoid*) *nat-pow-distr*:
 $\llbracket x \in \text{carrier } G; y \in \text{carrier } G \rrbracket \implies$
 $(x \otimes y) (\wedge) (n::\text{nat}) = x (\wedge) n \otimes y (\wedge) n$
by (*induct n*) (*simp, simp add: m-ac*)

locale *comm-group = comm-monoid + group*

lemma (in *group*) *group-comm-groupI*:
assumes *m-comm*: $\llbracket x \in \text{carrier } G; y \in \text{carrier } G \rrbracket \implies$
 $x \otimes y = y \otimes x$
shows *comm-group G*
by (*fast intro: comm-group.intro comm-monoid-axioms.intro*
is-group prems)

lemma *comm-groupI*:
includes *struct G*
assumes *m-closed*:
 $\llbracket x \in \text{carrier } G; y \in \text{carrier } G \rrbracket \implies x \otimes y \in \text{carrier } G$
and *one-closed*: $\mathbf{1} \in \text{carrier } G$
and *m-assoc*:
 $\llbracket x \in \text{carrier } G; y \in \text{carrier } G; z \in \text{carrier } G \rrbracket \implies$
 $(x \otimes y) \otimes z = x \otimes (y \otimes z)$
and *m-comm*:
 $\llbracket x \in \text{carrier } G; y \in \text{carrier } G \rrbracket \implies x \otimes y = y \otimes x$
and *l-one*: $\llbracket x \in \text{carrier } G \rrbracket \implies \mathbf{1} \otimes x = x$
and *l-inv-ex*: $\llbracket x \in \text{carrier } G \rrbracket \implies \exists y \in \text{carrier } G. y \otimes x = \mathbf{1}$
shows *comm-group G*
by (*fast intro: group.group-comm-groupI groupI prems*)

lemma (in *comm-group*) *inv-mult*:
 $\llbracket x \in \text{carrier } G; y \in \text{carrier } G \rrbracket \implies \text{inv } (x \otimes y) = \text{inv } x \otimes \text{inv } y$
by (*simp add: m-ac inv-mult-group*)

3.9 Lattice of subgroups of a group

theorem (in *group*) *subgroups-partial-order*:
 $\text{partial-order } (\llbracket \text{carrier} = \{H. \text{subgroup } H \ G\}, \text{le} = \text{op } \subseteq \rrbracket)$
by (*rule partial-order.intro simp-all*)

lemma (in *group*) *subgroup-self*:
 $\text{subgroup } (\text{carrier } G) \ G$
by (*rule subgroupI*) *auto*

lemma (in *group*) *subgroup-imp-group*:
 $\text{subgroup } H \ G \implies \text{group } (G(\llbracket \text{carrier} := H \rrbracket))$
using *subgroup.subgroup-is-group [OF - group.intro]* .

lemma (in *group*) *is-monoid* [*intro, simp*]:
 $\text{monoid } G$

by (auto intro: monoid.intro m-assoc)

lemma (in group) subgroup-inv-equality:
 [| subgroup H G ; $x \in H$ |] ==> m-inv (G (| carrier := H |)) $x = inv$ x
 apply (rule-tac inv-equality [THEN sym])
 apply (rule group.l-inv [OF subgroup-imp-group, simplified], assumption+)
 apply (rule subsetD [OF subgroup.subset], assumption+)
 apply (rule subsetD [OF subgroup.subset], assumption)
 apply (rule-tac group.inv-closed [OF subgroup-imp-group, simplified], assumption+)
 done

theorem (in group) subgroups-Inter:
 assumes subgr: (!! H . $H \in A$ ==> subgroup H G)
 and not-empty: $A \sim= \{\}$
 shows subgroup ($\bigcap A$) G
 proof (rule subgroupI)
 from subgr [THEN subgroup.subset] and not-empty
 show $\bigcap A \subseteq carrier$ G by blast
 next
 from subgr [THEN subgroup.one-closed]
 show $\bigcap A \sim= \{\}$ by blast
 next
 fix x assume $x \in \bigcap A$
 with subgr [THEN subgroup.m-inv-closed]
 show inv $x \in \bigcap A$ by blast
 next
 fix x y assume $x \in \bigcap A$ $y \in \bigcap A$
 with subgr [THEN subgroup.m-closed]
 show $x \otimes y \in \bigcap A$ by blast
 qed

theorem (in group) subgroups-complete-lattice:
 complete-lattice (| carrier = { H . subgroup H G }, le = $op \subseteq$ |)
 (is complete-lattice ? L)
 proof (rule partial-order.complete-lattice-criterion1)
 show partial-order ? L by (rule subgroups-partial-order)
 next
 have greatest ? L (carrier G) (carrier ? L)
 by (unfold greatest-def) (simp add: subgroup.subset subgroup-self)
 then show $\exists G$. greatest ? L G (carrier ? L) ..
 next
 fix A
 assume L : $A \subseteq carrier$? L and non-empty: $A \sim= \{\}$
 then have Int-subgroup: subgroup ($\bigcap A$) G
 by (fastsimp intro: subgroups-Inter)
 have greatest ? L ($\bigcap A$) (Lower ? L A)
 (is greatest ? L ?Int -)
 proof (rule greatest-LowerI)
 fix H

```

assume  $H: H \in A$ 
with  $L$  have  $subgroupH: subgroup\ H\ G$  by auto
from  $subgroupH$  have  $groupH: group\ (G\ (\mid\ carrier := H\ \mid))$  (is  $group\ ?H$ )
  by (rule subgroup-imp-group)
from  $groupH$  have  $monoidH: monoid\ ?H$ 
  by (rule group.is-monoid)
from  $H$  have  $Int-subset: ?Int \subseteq H$  by fastsimp
then show  $le\ ?L\ ?Int\ H$  by simp
next
fix  $H$ 
assume  $H: H \in Lower\ ?L\ A$ 
with  $L$   $Int-subgroup$  show  $le\ ?L\ H\ ?Int$  by (fastsimp intro: Inter-greatest)
next
show  $A \subseteq carrier\ ?L$  by (rule L)
next
show  $?Int \in carrier\ ?L$  by simp (rule Int-subgroup)
qed
then show  $\exists I. greatest\ ?L\ I\ (Lower\ ?L\ A)$  ..
qed
end

```

4 FiniteProduct: Product Operator for Commutative Monoids

theory *FiniteProduct* **imports** *Group* **begin**

Instantiation of locale *LC* of theory *Finite-Set* is not possible, because here we have explicit typing rules like $x \in carrier\ G$. We introduce an explicit argument for the domain D .

consts

$foldSetD :: ['a\ set, 'b \Rightarrow 'a \Rightarrow 'a, 'a] \Rightarrow ('b\ set * 'a)\ set$

inductive $foldSetD\ D\ f\ e$

intros

$emptyI$ [*intro*]: $e \in D \Rightarrow (\{\}, e) \in foldSetD\ D\ f\ e$

$insertI$ [*intro*]: $[\mid\ x \sim: A; f\ x\ y \in D; (A, y) \in foldSetD\ D\ f\ e\ \mid] \Rightarrow$
 $(insert\ x\ A, f\ x\ y) \in foldSetD\ D\ f\ e$

inductive-cases $empty-foldSetDE$ [*elim!*]: $(\{\}, x) \in foldSetD\ D\ f\ e$

constdefs

$foldD :: ['a\ set, 'b \Rightarrow 'a \Rightarrow 'a, 'a, 'b\ set] \Rightarrow 'a$

$foldD\ D\ f\ e\ A == THE\ x. (A, x) \in foldSetD\ D\ f\ e$

lemma $foldSetD-closed$:

$[\mid\ (A, z) \in foldSetD\ D\ f\ e; e \in D; \forall x\ y. [\mid\ x \in A; y \in D\ \mid] \Rightarrow f\ x\ y \in D$

```

    || ==> z ∈ D
  by (erule foldSetD.elims) auto

```

lemma *Diff1-foldSetD*:

```

  || (A - {x}, y) ∈ foldSetD D f e; x ∈ A; f x y ∈ D || ==>
  (A, f x y) ∈ foldSetD D f e
  apply (erule insert-Diff [THEN subst], rule foldSetD.intros)
  apply auto
  done

```

lemma *foldSetD-imp-finite* [simp]: $(A, x) \in \text{foldSetD } D f e \implies \text{finite } A$
 by (induct set: foldSetD) auto

lemma *finite-imp-foldSetD*:

```

  || finite A; e ∈ D; !!x y. || x ∈ A; y ∈ D || ==> f x y ∈ D || ==>
  EX x. (A, x) ∈ foldSetD D f e
  proof (induct set: Finites)
  case empty then show ?case by auto
  next
  case (insert x F)
  then obtain y where y: (F, y) ∈ foldSetD D f e by auto
  with insert have y ∈ D by (auto dest: foldSetD-closed)
  with y and insert have (insert x F, f x y) ∈ foldSetD D f e
  by (intro foldSetD.intros) auto
  then show ?case ..
  qed

```

4.1 Left-commutative operations

locale *LCD* =

```

  fixes B :: 'b set
  and D :: 'a set
  and f :: 'b => 'a => 'a (infixl · 70)
  assumes left-commute:
    || x ∈ B; y ∈ B; z ∈ D || ==> x · (y · z) = y · (x · z)
  and f-closed [simp, intro!]: !!x y. || x ∈ B; y ∈ D || ==> f x y ∈ D

```

lemma (in *LCD*) *foldSetD-closed* [dest]:

```

  (A, z) ∈ foldSetD D f e ==> z ∈ D
  by (erule foldSetD.elims) auto

```

lemma (in *LCD*) *Diff1-foldSetD*:

```

  || (A - {x}, y) ∈ foldSetD D f e; x ∈ A; A ⊆ B || ==>
  (A, f x y) ∈ foldSetD D f e
  apply (subgoal-tac x ∈ B)
  prefer 2 apply fast
  apply (erule insert-Diff [THEN subst], rule foldSetD.intros)
  apply auto
  done

```

lemma (in *LCD*) *foldSetD-imp-finite* [*simp*]:

$(A, x) \in \text{foldSetD } D f e \implies \text{finite } A$

by (*induct set: foldSetD*) *auto*

lemma (in *LCD*) *finite-imp-foldSetD*:

$[| \text{finite } A; A \subseteq B; e \in D |] \implies \exists x. (A, x) \in \text{foldSetD } D f e$

proof (*induct set: Finites*)

case empty then show *?case* **by** *auto*

next

case (*insert x F*)

then obtain *y* **where** $y: (F, y) \in \text{foldSetD } D f e$ **by** *auto*

with *insert* **have** $y \in D$ **by** *auto*

with *y* **and** *insert* **have** $(\text{insert } x F, f x y) \in \text{foldSetD } D f e$

by (*intro foldSetD.intros*) *auto*

then show *?case* **..**

qed

lemma (in *LCD*) *foldSetD-determ-aux*:

$e \in D \implies \forall A x. A \subseteq B \ \& \ \text{card } A < n \implies (A, x) \in \text{foldSetD } D f e \implies$

$(\forall y. (A, y) \in \text{foldSetD } D f e \implies y = x)$

apply (*induct n*)

apply (*auto simp add: less-Suc-eq*)

apply (*erule foldSetD.cases*)

apply *blast*

apply (*erule foldSetD.cases*)

apply *blast*

apply *clarify*

force simplification of $\text{card } A < \text{card } (\text{insert } \dots)$.

apply (*erule rev-mp*)

apply (*simp add: less-Suc-eq-le*)

apply (*rule impI*)

apply (*rename-tac Aa xa ya Ab xb yb, case-tac xa = xb*)

apply (*subgoal-tac Aa = Ab*)

prefer 2 **apply** (*blast elim!: equalityE*)

apply *blast*

case $xa \notin xb$.

apply (*subgoal-tac Aa - {xb} = Ab - {xa} & xb \in Aa & xa \in Ab*)

prefer 2 **apply** (*blast elim!: equalityE*)

apply *clarify*

apply (*subgoal-tac Aa = insert xb Ab - {xa}*)

prefer 2 **apply** *blast*

apply (*subgoal-tac card Aa \le card Ab*)

prefer 2

apply (*rule Suc-le-mono [THEN subst]*)

apply (*simp add: card-Suc-Diff1*)

apply (*rule-tac A1 = Aa - {xb} in finite-imp-foldSetD [THEN exE]*)

```

  apply (blast intro: foldSetD-imp-finite finite-Diff)
  apply best
  apply assumption
  apply (frule (1) Diff1-foldSetD)
  apply best
  apply (subgoal-tac ya = f xb x)
  prefer 2
  apply (subgoal-tac Aa  $\subseteq$  B)
  prefer 2 apply best
  apply (blast del: equalityCE)
  apply (subgoal-tac (Ab - {xa}, x)  $\in$  foldSetD D f e)
  prefer 2 apply simp
  apply (subgoal-tac yb = f xa x)
  prefer 2
  apply (blast del: equalityCE dest: Diff1-foldSetD)
  apply (simp (no-asm-simp))
  apply (rule left-commute)
  apply assumption
  apply best
  apply best
  done

```

lemma (in LCD) foldSetD-determ:

```

[[ (A, x)  $\in$  foldSetD D f e; (A, y)  $\in$  foldSetD D f e; e  $\in$  D; A  $\subseteq$  B ]]
==> y = x
by (blast intro: foldSetD-determ-aux [rule-format])

```

lemma (in LCD) foldD-equality:

```

[[ (A, y)  $\in$  foldSetD D f e; e  $\in$  D; A  $\subseteq$  B ]] ==> foldD D f e A = y
by (unfold foldD-def) (blast intro: foldSetD-determ)

```

lemma foldD-empty [simp]:

```

e  $\in$  D ==> foldD D f e {} = e
by (unfold foldD-def) blast

```

lemma (in LCD) foldD-insert-aux:

```

[[ x  $\sim$ : A; x  $\in$  B; e  $\in$  D; A  $\subseteq$  B ]] ==>
  ((insert x A, v)  $\in$  foldSetD D f e) =
  (EX y. (A, y)  $\in$  foldSetD D f e & v = f x y)
  apply auto
  apply (rule-tac A1 = A in finite-imp-foldSetD [THEN exE])
  apply (fastsimp dest: foldSetD-imp-finite)
  apply assumption
  apply assumption
  apply (blast intro: foldSetD-determ)
  done

```

lemma (in LCD) foldD-insert:

```

[[ finite A; x  $\sim$ : A; x  $\in$  B; e  $\in$  D; A  $\subseteq$  B ]] ==>

```

```

    foldD D f e (insert x A) = f x (foldD D f e A)
  apply (unfold foldD-def)
  apply (simp add: foldD-insert-aux)
  apply (rule the-equality)
  apply (auto intro: finite-imp-foldSetD
    cong add: conj-cong simp add: foldD-def [symmetric] foldD-equality)
  done

```

```

lemma (in LCD) foldD-closed [simp]:
  [| finite A; e ∈ D; A ⊆ B |] ==> foldD D f e A ∈ D
proof (induct set: Finites)
  case empty then show ?case by (simp add: foldD-empty)
next
  case insert then show ?case by (simp add: foldD-insert)
qed

```

```

lemma (in LCD) foldD-commute:
  [| finite A; x ∈ B; e ∈ D; A ⊆ B |] ==>
  f x (foldD D f e A) = foldD D f (f x e) A
  apply (induct set: Finites)
  apply simp
  apply (auto simp add: left-commute foldD-insert)
  done

```

```

lemma Int-mono2:
  [| A ⊆ C; B ⊆ C |] ==> A Int B ⊆ C
  by blast

```

```

lemma (in LCD) foldD-nest-Un-Int:
  [| finite A; finite C; e ∈ D; A ⊆ B; C ⊆ B |] ==>
  foldD D f (foldD D f e C) A = foldD D f (foldD D f e (A Int C)) (A Un C)
  apply (induct set: Finites)
  apply simp
  apply (simp add: foldD-insert foldD-commute Int-insert-left insert-absorb
    Int-mono2 Un-subset-iff)
  done

```

```

lemma (in LCD) foldD-nest-Un-disjoint:
  [| finite A; finite B; A Int B = {}; e ∈ D; A ⊆ B; C ⊆ B |]
  ==> foldD D f e (A Un B) = foldD D f (foldD D f e B) A
  by (simp add: foldD-nest-Un-Int)

```

— Delete rules to do with *foldSetD* relation.

```

declare foldSetD-imp-finite [simp del]
  empty-foldSetDE [rule del]
  foldSetD.intros [rule del]
declare (in LCD)
  foldSetD-closed [rule del]

```

4.2 Commutative monoids

We enter a more restrictive context, with $f :: 'a ==> 'a ==> 'a$ instead of $'b ==> 'a ==> 'a$.

```

locale ACeD =
  fixes D :: 'a set
    and f :: 'a ==> 'a ==> 'a   (infixl · 70)
    and e :: 'a
  assumes ident [simp]: x ∈ D ==> x · e = x
    and commute: [| x ∈ D; y ∈ D |] ==> x · y = y · x
    and assoc: [| x ∈ D; y ∈ D; z ∈ D |] ==> (x · y) · z = x · (y · z)
    and e-closed [simp]: e ∈ D
    and f-closed [simp]: [| x ∈ D; y ∈ D |] ==> x · y ∈ D

```

```

lemma (in ACeD) left-commute:
  [| x ∈ D; y ∈ D; z ∈ D |] ==> x · (y · z) = y · (x · z)

```

```

proof –
  assume D: x ∈ D y ∈ D z ∈ D
  then have x · (y · z) = (y · z) · x by (simp add: commute)
  also from D have ... = y · (z · x) by (simp add: assoc)
  also from D have z · x = x · z by (simp add: commute)
  finally show ?thesis .

```

qed

```

lemmas (in ACeD) AC = assoc commute left-commute

```

```

lemma (in ACeD) left-ident [simp]: x ∈ D ==> e · x = x

```

```

proof –
  assume D: x ∈ D
  have x · e = x by (rule ident)
  with D show ?thesis by (simp add: commute)

```

qed

```

lemma (in ACeD) foldD-Un-Int:

```

```

  [| finite A; finite B; A ⊆ D; B ⊆ D |] ==>
    foldD D f e A · foldD D f e B =
    foldD D f e (A Un B) · foldD D f e (A Int B)
  apply (induct set: Finites)
  apply (simp add: left-commute LCD.foldD-closed [OF LCD.intro [of D]])
  apply (simp add: AC insert-absorb Int-insert-left
    LCD.foldD-insert [OF LCD.intro [of D]]
    LCD.foldD-closed [OF LCD.intro [of D]]
    Int-mono2 Un-subset-iff)

```

done

```

lemma (in ACeD) foldD-Un-disjoint:

```

```

  [| finite A; finite B; A Int B = {}; A ⊆ D; B ⊆ D |] ==>
    foldD D f e (A Un B) = foldD D f e A · foldD D f e B
  by (simp add: foldD-Un-Int)

```

left-commute LCD.foldD-closed [OF LCD.intro [of D]] Un-subset-iff)

4.3 Products over Finite Sets

constdefs (structure *G*)

```

finprod :: [('b, 'm) monoid-scheme, 'a => 'b, 'a set] => 'b
finprod G f A == if finite A
  then foldD (carrier G) (mult G o f) 1 A
  else arbitrary

```

syntax

```

-finprod :: index => idt => 'a set => 'b => 'b
  (( $\exists \otimes$  --:-. -) [1000, 0, 51, 10] 10)

```

syntax (*xsymbols*)

```

-finprod :: index => idt => 'a set => 'b => 'b
  (( $\exists \otimes$  --∈-. -) [1000, 0, 51, 10] 10)

```

syntax (*HTML output*)

```

-finprod :: index => idt => 'a set => 'b => 'b
  (( $\exists \otimes$  --∈-. -) [1000, 0, 51, 10] 10)

```

translations

```

 $\otimes$ 1i:A. b == finprod  $\circ$ 1 (%i. b) A
— Beware of argument permutation!

```

lemma (in *comm-monoid*) *finprod-empty* [*simp*]:

```

finprod G f {} = 1
by (simp add: finprod-def)

```

declare *funcsetI* [*intro*]

```

funcset-mem [dest]

```

lemma (in *comm-monoid*) *finprod-insert* [*simp*]:

```

[[ finite F; a  $\notin$  F; f  $\in$  F  $\rightarrow$  carrier G; f a  $\in$  carrier G ]] ==>
  finprod G f (insert a F) = f a  $\otimes$  finprod G f F
apply (rule trans)
apply (simp add: finprod-def)
apply (rule trans)
apply (rule LCD.foldD-insert [OF LCD.intro [of insert a F]])
  apply simp
  apply (rule m-lcomm)
  apply fast
  apply fast
  apply assumption
  apply (fastsimp intro: m-closed)
apply simp+
apply fast
apply (auto simp add: finprod-def)
done

```

lemma (in *comm-monoid*) *finprod-one* [*simp*]:

```

  finite A ==> ( $\otimes$  i:A. 1) = 1
proof (induct set: Finites)
  case empty show ?case by simp
next
  case (insert a A)
  have (%i. 1)  $\in$  A  $\rightarrow$  carrier G by auto
  with insert show ?case by simp
qed

lemma (in comm-monoid) finprod-closed [simp]:
  fixes A
  assumes fin: finite A and f: f  $\in$  A  $\rightarrow$  carrier G
  shows finprod G f A  $\in$  carrier G
using fin f
proof induct
  case empty show ?case by simp
next
  case (insert a A)
  then have a: f a  $\in$  carrier G by fast
  from insert have A: f  $\in$  A  $\rightarrow$  carrier G by fast
  from insert A a show ?case by simp
qed

lemma funcset-Int-left [simp, intro]:
  [| f  $\in$  A  $\rightarrow$  C; f  $\in$  B  $\rightarrow$  C |] ==> f  $\in$  A Int B  $\rightarrow$  C
  by fast

lemma funcset-Un-left [iff]:
  (f  $\in$  A Un B  $\rightarrow$  C) = (f  $\in$  A  $\rightarrow$  C & f  $\in$  B  $\rightarrow$  C)
  by fast

lemma (in comm-monoid) finprod-Un-Int:
  [| finite A; finite B; g  $\in$  A  $\rightarrow$  carrier G; g  $\in$  B  $\rightarrow$  carrier G |] ==>
  finprod G g (A Un B)  $\otimes$  finprod G g (A Int B) =
  finprod G g A  $\otimes$  finprod G g B
— The reversed orientation looks more natural, but LOOPS as a simprule!
proof (induct set: Finites)
  case empty then show ?case by (simp add: finprod-closed)
next
  case (insert a A)
  then have a: g a  $\in$  carrier G by fast
  from insert have A: g  $\in$  A  $\rightarrow$  carrier G by fast
  from insert A a show ?case
  by (simp add: m-ac Int-insert-left insert-absorb finprod-closed
  Int-mono2 Un-subset-iff)
qed

lemma (in comm-monoid) finprod-Un-disjoint:
  [| finite A; finite B; A Int B = {}];

```

```

    g ∈ A -> carrier G; g ∈ B -> carrier G ||
  ==> finprod G g (A Un B) = finprod G g A ⊗ finprod G g B
apply (subst finprod-Un-Int [symmetric])
  apply (auto simp add: finprod-closed)
done

```

```

lemma (in comm-monoid) finprod-multf:
  [| finite A; f ∈ A -> carrier G; g ∈ A -> carrier G |] ==>
  finprod G (%x. f x ⊗ g x) A = (finprod G f A ⊗ finprod G g A)
proof (induct set: Finites)
  case empty show ?case by simp
next
  case (insert a A) then
  have fA: f ∈ A -> carrier G by fast
  from insert have fa: f a ∈ carrier G by fast
  from insert have gA: g ∈ A -> carrier G by fast
  from insert have ga: g a ∈ carrier G by fast
  from insert have fgA: (%x. f x ⊗ g x) ∈ A -> carrier G
    by (simp add: Pi-def)
  show ?case
  by (simp add: insert fA fa gA ga fgA m-ac)
qed

```

```

lemma (in comm-monoid) finprod-cong':
  [| A = B; g ∈ B -> carrier G;
    !!i. i ∈ B ==> f i = g i |] ==> finprod G f A = finprod G g B
proof -
  assume prems: A = B g ∈ B -> carrier G
  !!i. i ∈ B ==> f i = g i
  show ?thesis
  proof (cases finite B)
  case True
  then have !!A. [| A = B; g ∈ B -> carrier G;
    !!i. i ∈ B ==> f i = g i |] ==> finprod G f A = finprod G g B
  proof induct
  case empty thus ?case by simp
  next
  case (insert x B)
  then have finprod G f A = finprod G f (insert x B) by simp
  also from insert have ... = f x ⊗ finprod G f B
  proof (intro finprod-insert)
  show finite B .
  next
  show x ~: B .
  next
  assume x ~: B !!i. i ∈ insert x B ==> f i = g i
  g ∈ insert x B -> carrier G
  thus f ∈ B -> carrier G by fastsimp
  next

```

```

    assume  $x \sim: B \ \!i. i \in \text{insert } x \ B \implies f \ i = g \ i$ 
     $g \in \text{insert } x \ B \rightarrow \text{carrier } G$ 
    thus  $f \ x \in \text{carrier } G$  by fastsimp
  qed
  also from insert have  $\dots = g \ x \otimes \text{finprod } G \ g \ B$  by fastsimp
  also from insert have  $\dots = \text{finprod } G \ g \ (\text{insert } x \ B)$ 
  by (intro finprod-insert [THEN sym]) auto
  finally show ?case .
  qed
  with prems show ?thesis by simp
next
  case False with prems show ?thesis by (simp add: finprod-def)
  qed
  qed

```

```

lemma (in comm-monoid) finprod-cong:
  [|  $A = B; f \in B \rightarrow \text{carrier } G = \text{True};$ 
     $\!i. i \in B \implies f \ i = g \ i$  |] ==>  $\text{finprod } G \ f \ A = \text{finprod } G \ g \ B$ 

  by (rule finprod-cong') force+

```

Usually, if this rule causes a failed congruence proof error, the reason is that the premise $g \in B \rightarrow \text{carrier } G$ cannot be shown. Adding *Pi-def* to the simpset is often useful. For this reason, *comm-monoid.finprod-cong* is not added to the simpset by default.

```

declare funcsetI [rule del]
  funcset-mem [rule del]

```

```

lemma (in comm-monoid) finprod-0 [simp]:
   $f \in \{0::\text{nat}\} \rightarrow \text{carrier } G \implies \text{finprod } G \ f \ \{..0\} = f \ 0$ 
  by (simp add: Pi-def)

```

```

lemma (in comm-monoid) finprod-Suc [simp]:
   $f \in \{.. \text{Suc } n\} \rightarrow \text{carrier } G \implies$ 
   $\text{finprod } G \ f \ \{.. \text{Suc } n\} = (f \ (\text{Suc } n) \otimes \text{finprod } G \ f \ \{..n\})$ 
  by (simp add: Pi-def atMost-Suc)

```

```

lemma (in comm-monoid) finprod-Suc2:
   $f \in \{.. \text{Suc } n\} \rightarrow \text{carrier } G \implies$ 
   $\text{finprod } G \ f \ \{.. \text{Suc } n\} = (\text{finprod } G \ (\%i. f \ (\text{Suc } i)) \ \{..n\} \otimes f \ 0)$ 
  proof (induct n)
    case 0 thus ?case by (simp add: Pi-def)
  next
    case Suc thus ?case by (simp add: m-assoc Pi-def)
  qed

```

```

lemma (in comm-monoid) finprod-mult [simp]:
  [|  $f \in \{..n\} \rightarrow \text{carrier } G; g \in \{..n\} \rightarrow \text{carrier } G$  |] ==>
   $\text{finprod } G \ (\%i. f \ i \otimes g \ i) \ \{..n::\text{nat}\} =$ 

```

```

    finprod G f {..n} ⊗ finprod G g {..n}
  by (induct n) (simp-all add: m-ac Pi-def)

```

```
end
```

5 Exponent: The Combinatorial Argument Underlying the First Sylow Theorem

```
theory Exponent imports Main Primes begin
```

```
constdefs
```

```

  exponent    :: [nat, nat] => nat
  exponent p s == if prime p then (GREATEST r. p ^ r dvd s) else 0

```

5.1 Prime Theorems

```

lemma prime-imp-one-less: prime p ==> Suc 0 < p
by (unfold prime-def, force)

```

```
lemma prime-iff:
```

```

  (prime p) = (Suc 0 < p & (∀ a b. p dvd a*b --> (p dvd a) | (p dvd b)))

```

```

apply (auto simp add: prime-imp-one-less)
apply (blast dest!: prime-dvd-mult)
apply (auto simp add: prime-def)
apply (erule dvdE)
apply (case-tac k=0, simp)
apply (drule-tac x = m in spec)
apply (drule-tac x = k in spec)
apply (simp add: dvd-mult-cancel1 dvd-mult-cancel2)
done

```

```

lemma zero-less-prime-power: prime p ==> 0 < p ^ a
by (force simp add: prime-iff)

```

```

lemma zero-less-card-empty: [| finite S; S ≠ {} |] ==> 0 < card(S)
by (rule ccontr, simp)

```

```
lemma prime-dvd-cases:
```

```

  [| p*k dvd m*n; prime p |]
  ==> (∃ x. k dvd x*n & m = p*x) | (∃ y. k dvd m*y & n = p*y)

```

```

apply (simp add: prime-iff)
apply (frule dvd-mult-left)
apply (subgoal-tac p dvd m | p dvd n)
prefer 2 apply blast

```

```

apply (erule disjE)
apply (rule disjI1)
apply (rule-tac [2] disjI2)
apply (erule-tac  $n = m$  in dvdE)
apply (erule-tac [2]  $n = n$  in dvdE, auto)
apply (rule-tac [2]  $k = p$  in dvd-mult-cancel)
apply (rule-tac  $k = p$  in dvd-mult-cancel)
apply (simp-all add: mult-ac)
done

```

```

lemma prime-power-dvd-cases [rule-format (no-asm)]: prime  $p$ 
  ==>  $\forall m n. p \hat{c} \text{ dvd } m * n \text{ --->}$ 
      ( $\forall a b. a + b = \text{Suc } c \text{ ---> } p \hat{a} \text{ dvd } m \mid p \hat{b} \text{ dvd } n$ )
apply (induct-tac c)
apply clarify
apply (case-tac a)
apply simp
apply simp

```

```

apply simp
apply clarify
apply (erule prime-dvd-cases [THEN disjE], assumption, auto)

```

```

apply (case-tac a)
apply simp
apply clarify
apply (drule spec, drule spec, erule (1) notE impE)
apply (drule-tac  $x = \text{nat}$  in spec)
apply (drule-tac  $x = b$  in spec)
apply simp
apply (blast intro: dvd-refl mult-dvd-mono)

```

```

apply (case-tac b)
apply simp
apply clarify
apply (drule spec, drule spec, erule (1) notE impE)
apply (drule-tac  $x = a$  in spec)
apply (drule-tac  $x = \text{nat}$  in spec, simp)
apply (blast intro: dvd-refl mult-dvd-mono)
done

```

lemma div-combine:

```

[[ prime  $p$ ;  $\sim (p \hat{ } (\text{Suc } r) \text{ dvd } n)$ ;  $p \hat{(a+r)} \text{ dvd } n * k$  ]]
==>  $p \hat{a} \text{ dvd } k$ 

```

by (drule-tac $a = \text{Suc } r$ **and** $b = a$ **in** prime-power-dvd-cases, assumption, auto)

```

lemma Suc-le-power:  $Suc\ 0 < p \implies Suc\ n \leq p^n$ 
apply (induct-tac  $n$ )
apply (simp (no-asm-simp))
apply simp
apply (subgoal-tac  $2 * n + 2 \leq p * p^n$ , simp)
apply (subgoal-tac  $2 * p^n \leq p * p^n$ )

apply (rule order-trans)
prefer 2 apply assumption
apply (drule-tac  $k = 2$  in mult-le-mono2, simp)
apply (rule mult-le-mono1, simp)
done

lemma power-dvd-bound:  $[p^n\ dvd\ a; Suc\ 0 < p; 0 < a] \implies n < a$ 
apply (drule dvd-imp-le)
apply (drule-tac [2]  $n = n$  in Suc-le-power, auto)
done

```

5.2 Exponent Theorems

```

lemma exponent-ge [rule-format]:
   $[p^k\ dvd\ n; prime\ p; 0 < n] \implies k \leq exponent\ p\ n$ 
apply (simp add: exponent-def)
apply (erule Greatest-le)
apply (blast dest: prime-imp-one-less power-dvd-bound)
done

lemma power-exponent-dvd:  $0 < s \implies (p^{exponent\ p\ s})\ dvd\ s$ 
apply (simp add: exponent-def)
apply clarify
apply (rule-tac  $k = 0$  in GreatestI)
prefer 2 apply (blast dest: prime-imp-one-less power-dvd-bound, simp)
done

lemma power-Suc-exponent-Not-dvd:
   $[(p * p^{exponent\ p\ s})\ dvd\ s; prime\ p] \implies s = 0$ 
apply (subgoal-tac  $p^{exponent\ p\ s} \leq Suc\ (exponent\ p\ s)$ )
prefer 2 apply simp
apply (rule ccontr)
apply (drule exponent-ge, auto)
done

lemma exponent-power-eq [simp]:  $prime\ p \implies exponent\ p\ (p^a) = a$ 
apply (simp (no-asm-simp) add: exponent-def)
apply (rule Greatest-equality, simp)
apply (simp (no-asm-simp) add: prime-imp-one-less power-dvd-imp-le)
done

```

lemma *exponent-equalityI*:

$!r::nat. (p \hat{r} \text{ dvd } a) = (p \hat{r} \text{ dvd } b) \implies \text{exponent } p \ a = \text{exponent } p \ b$
by (*simp* (*no-asm-simp*) *add*: *exponent-def*)

lemma *exponent-eq-0* [*simp*]: $\neg \text{prime } p \implies \text{exponent } p \ s = 0$

by (*simp* (*no-asm-simp*) *add*: *exponent-def*)

lemma *exponent-mult-add1*:

$[[\ 0 < a; 0 < b \]]$
 $\implies (\text{exponent } p \ a) + (\text{exponent } p \ b) \leq \text{exponent } p \ (a * b)$
apply (*case-tac* *prime* *p*)
apply (*rule* *exponent-ge*)
apply (*auto simp add*: *power-add*)
apply (*blast intro*: *prime-imp-one-less power-exponent-dvd mult-dvd-mono*)
done

lemma *exponent-mult-add2*: $[[\ 0 < a; 0 < b \]]$

$\implies \text{exponent } p \ (a * b) \leq (\text{exponent } p \ a) + (\text{exponent } p \ b)$
apply (*case-tac* *prime* *p*)
apply (*rule* *leI*, *clarify*)
apply (*cut-tac* $p = p$ **and** $s = a * b$ **in** *power-exponent-dvd*, *auto*)
apply (*subgoal-tac* $p \hat{r} (\text{Suc } (\text{exponent } p \ a) + \text{exponent } p \ b)) \ \text{dvd } a * b$)
apply (*rule-tac* [2] *le-imp-power-dvd* [*THEN dvd-trans*])
prefer 3 **apply** *assumption*
prefer 2 **apply** *simp*
apply (*frule-tac* $a = \text{Suc } (\text{exponent } p \ a)$ **and** $b = \text{Suc } (\text{exponent } p \ b)$ **in** *prime-power-dvd-cases*)
apply (*assumption*, *force*, *simp*)
apply (*blast dest*: *power-Suc-exponent-Not-dvd*)
done

lemma *exponent-mult-add*:

$[[\ 0 < a; 0 < b \]]$
 $\implies \text{exponent } p \ (a * b) = (\text{exponent } p \ a) + (\text{exponent } p \ b)$
by (*blast intro*: *exponent-mult-add1* *exponent-mult-add2* *order-antisym*)

lemma *not-divides-exponent-0*: $\sim (p \ \text{dvd} \ n) \implies \text{exponent } p \ n = 0$

apply (*case-tac* *exponent* *p* *n*, *simp*)
apply (*case-tac* *n*, *simp*)
apply (*cut-tac* $s = n$ **and** $p = p$ **in** *power-exponent-dvd*)
apply (*auto dest*: *dvd-mult-left*)
done

lemma *exponent-1-eq-0* [*simp*]: $\text{exponent } p \ (\text{Suc } 0) = 0$

apply (*case-tac* *prime* *p*)

```

apply (auto simp add: prime-iff not-divides-exponent-0)
done

```

5.3 Lemmas for the Main Combinatorial Argument

```

lemma le-extend-mult: [| 0 < c; a <= b |] ==> a <= b * (c::nat)
apply (rule-tac P = %x. x <= b * c in subst)
apply (rule mult-1-right)
apply (rule mult-le-mono, auto)
done

```

```

lemma p-fac-forw-lemma:
  [| 0 < (m::nat); 0 < k; k < p^a; (p^r) dvd (p^a)*m - k |] ==> r <= a
apply (rule notnotD)
apply (rule notI)
apply (drule contrapos-nn [OF - leI, THEN notnotD], assumption)
apply (drule-tac m = a in less-imp-le)
apply (drule le-imp-power-dvd)
apply (drule-tac n = p ^ r in dvd-trans, assumption)
apply (frule-tac m = k in less-imp-le)
apply (drule-tac c = m in le-extend-mult, assumption)
apply (drule-tac k = p ^ a and m = (p ^ a) * m in dvd-diffD1)
prefer 2 apply assumption
apply (rule dvd-refl [THEN dvd-mult2])
apply (drule-tac n = k in dvd-imp-le, auto)
done

```

```

lemma p-fac-forw: [| 0 < (m::nat); 0 < k; k < p^a; (p^r) dvd (p^a)*m - k |]
  ==> (p^r) dvd (p^a) - k
apply (frule-tac k1 = k and i = p in p-fac-forw-lemma [THEN le-imp-power-dvd],
  auto)
apply (subgoal-tac p^r dvd p^a*m)
prefer 2 apply (blast intro: dvd-mult2)
apply (drule dvd-diffD1)
  apply assumption
prefer 2 apply (blast intro: dvd-diff)
apply (drule less-imp-Suc-add, auto)
done

```

```

lemma r-le-a-forw: [| 0 < (k::nat); k < p^a; 0 < p; (p^r) dvd (p^a) - k |] ==>
  r <= a
by (rule-tac m = Suc 0 in p-fac-forw-lemma, auto)

```

```

lemma p-fac-backw: [| 0 < m; 0 < k; 0 < (p::nat); k < p^a; (p^r) dvd p^a - k |]
  ==> (p^r) dvd (p^a)*m - k
apply (frule-tac k1 = k and i = p in r-le-a-forw [THEN le-imp-power-dvd], auto)
apply (subgoal-tac p^r dvd p^a*m)

```

```

prefer 2 apply (blast intro: dvd-mult2)
apply (drule dvd-diffD1)
apply assumption
prefer 2 apply (blast intro: dvd-diff)
apply (drule less-imp-Suc-add, auto)
done

```

```

lemma exponent-p-a-m-k-equation: [| 0 < m; 0 < k; 0 < (p::nat); k < p^a |]
  ==> exponent p (p^a * m - k) = exponent p (p^a - k)
apply (blast intro: exponent-equalityI p-fac-forw p-fac-backw)
done

```

Suc rules that we have to delete from the simpset

lemmas bad-Sucs = binomial-Suc-Suc mult-Suc mult-Suc-right

```

lemma p-not-div-choose-lemma [rule-format]:
  [|  $\forall i. \text{Suc } i < K \longrightarrow \text{exponent } p (\text{Suc } i) = \text{exponent } p (\text{Suc}(j+i))$  |]
  ==>  $k < K \longrightarrow \text{exponent } p ((j+k) \text{ choose } k) = 0$ 
apply (case-tac prime p)
prefer 2 apply simp
apply (induct-tac k)
apply (simp (no-asm))

```

```

apply (subgoal-tac 0 < (Suc (j+n) choose Suc n) )
prefer 2 apply (simp add: zero-less-binomial-iff, clarify)
apply (subgoal-tac exponent p ((Suc (j+n) choose Suc n) * Suc n) =
  exponent p (Suc n))

```

First, use the assumed equation. We simplify the LHS to $\text{exponent } p (\text{Suc } (j + n) \text{ choose } \text{Suc } n) + \text{exponent } p (\text{Suc } n)$ the common terms cancel, proving the conclusion.

```

apply (simp del: bad-Sucs add: exponent-mult-add)

```

Establishing the equation requires first applying *Suc-times-binomial-eq ...*

```

apply (simp del: bad-Sucs add: Suc-times-binomial-eq [symmetric])

```

...then *exponent-mult-add* and the quantified premise.

```

apply (simp del: bad-Sucs add: zero-less-binomial-iff exponent-mult-add)
done

```

lemma p-not-div-choose:

```

  [| k < K; k <= n;
     $\forall j. 0 < j \ \& \ j < K \longrightarrow \text{exponent } p (n - k + (K - j)) = \text{exponent } p (K - j)$  |]
  ==> exponent p (n choose k) = 0
apply (cut-tac j = n - k and k = k and p = p in p-not-div-choose-lemma)
prefer 3 apply simp

```

```

prefer 2 apply assumption
apply (drule-tac  $x = K - Suc\ i$  in spec)
apply (simp add: Suc-diff-le)
done

```

```

lemma const-p-fac-right:
   $0 < m \implies exponent\ p\ ((p^{\hat{a}} * m - Suc\ 0)\ choose\ (p^{\hat{a}} - Suc\ 0)) = 0$ 
apply (case-tac prime p)
prefer 2 apply simp
apply (frule-tac  $a = a$  in zero-less-prime-power)
apply (rule-tac  $K = p^{\hat{a}}$  in p-not-div-choose)
  apply simp
  apply simp
  apply (case-tac m)
  apply (case-tac [2]  $p^{\hat{a}}$ )
  apply auto

```

```

apply (subgoal-tac  $0 < p$ )
prefer 2 apply (force dest!: prime-imp-one-less)
apply (subst exponent-p-a-m-k-equation, auto)
done

```

```

lemma const-p-fac:
   $0 < m \implies exponent\ p\ (((p^{\hat{a}}) * m)\ choose\ p^{\hat{a}}) = exponent\ p\ m$ 
apply (case-tac prime p)
prefer 2 apply simp
apply (subgoal-tac  $0 < p^{\hat{a}} * m \ \&\ p^{\hat{a}} \leq p^{\hat{a}} * m$ )
prefer 2 apply (force simp add: prime-iff)

```

A similar trick to the one used in *p-not-div-choose-lemma*: insert an equation; use *exponent-mult-add* on the LHS; on the RHS, first transform the binomial coefficient, then use *exponent-mult-add*.

```

apply (subgoal-tac  $exponent\ p\ (((p^{\hat{a}}) * m)\ choose\ p^{\hat{a}}) * p^{\hat{a}} =$ 
   $a + exponent\ p\ m$ )
apply (simp del: bad-Sucs add: zero-less-binomial-iff exponent-mult-add prime-iff)

```

one subgoal left!

```

apply (subst times-binomial-minus1-eq, simp, simp)
apply (subst exponent-mult-add, simp)
apply (simp (no-asm-simp) add: zero-less-binomial-iff)
apply arith
apply (simp del: bad-Sucs add: exponent-mult-add const-p-fac-right)
done

```

end

6 Coset: Cosets and Quotient Groups

theory *Coset* **imports** *Group Exponent* **begin**

constdefs (**structure** *G*)

r-coset :: $[-, 'a \text{ set}, 'a] \Rightarrow 'a \text{ set}$ (**infixl** $\#>_1$ 60)
 $H \#> a \equiv \bigcup_{h \in H}. \{h \otimes a\}$

l-coset :: $[-, 'a, 'a \text{ set}] \Rightarrow 'a \text{ set}$ (**infixl** $<\#_1$ 60)
 $a <\# H \equiv \bigcup_{h \in H}. \{a \otimes h\}$

RCOSETS :: $[-, 'a \text{ set}] \Rightarrow ('a \text{ set})\text{set}$ (*rcosets*₁ - [81] 80)
 $\text{rcosets } H \equiv \bigcup_{a \in \text{carrier } G}. \{H \#> a\}$

set-mult :: $[-, 'a \text{ set}, 'a \text{ set}] \Rightarrow 'a \text{ set}$ (**infixl** $<\#>_1$ 60)
 $H <\#> K \equiv \bigcup_{h \in H}. \bigcup_{k \in K}. \{h \otimes k\}$

SET-INV :: $[-, 'a \text{ set}] \Rightarrow 'a \text{ set}$ (*set'-inv*₁ - [81] 80)
 $\text{set-inv } H \equiv \bigcup_{h \in H}. \{\text{inv } h\}$

locale *normal* = *subgroup* + *group* +

assumes *coset-eq*: $(\forall x \in \text{carrier } G. H \#> x = x <\# H)$

syntax

@normal :: $['a \text{ set}, ('a, 'b) \text{ monoid-scheme}] \Rightarrow \text{bool}$ (**infixl** \triangleleft 60)

translations

$H \triangleleft G == \text{normal } H G$

6.1 Basic Properties of Cosets

lemma (**in** *group*) *coset-mult-assoc*:

$[[M \subseteq \text{carrier } G; g \in \text{carrier } G; h \in \text{carrier } G]]$
 $==> (M \#> g) \#> h = M \#> (g \otimes h)$

by (*force simp add: r-coset-def m-assoc*)

lemma (**in** *group*) *coset-mult-one* [*simp*]: $M \subseteq \text{carrier } G ==> M \#> \mathbf{1} = M$

by (*force simp add: r-coset-def*)

lemma (**in** *group*) *coset-mult-inv1*:

$[[M \#> (x \otimes (\text{inv } y)) = M; x \in \text{carrier } G; y \in \text{carrier } G;$
 $M \subseteq \text{carrier } G]]$ $==> M \#> x = M \#> y$

apply (*erule subst [of concl: %z. M \#> x = z \#> y]*)

apply (*simp add: coset-mult-assoc m-assoc*)

done

lemma (**in** *group*) *coset-mult-inv2*:

$[[M \#> x = M \#> y; x \in \text{carrier } G; y \in \text{carrier } G; M \subseteq \text{carrier } G]]$

$\implies M \#> (x \otimes (\text{inv } y)) = M$
apply (*simp add: coset-mult-assoc [symmetric]*)
apply (*simp add: coset-mult-assoc*)
done

lemma (*in group*) *coset-join1*:
 $\llbracket H \#> x = H; x \in \text{carrier } G; \text{ subgroup } H G \rrbracket \implies x \in H$
apply (*erule subst*)
apply (*simp add: r-coset-def*)
apply (*blast intro: l-one subgroup.one-closed sym*)
done

lemma (*in group*) *solve-equation*:
 $\llbracket \text{subgroup } H G; x \in H; y \in H \rrbracket \implies \exists h \in H. y = h \otimes x$
apply (*rule beaI [of - y \otimes (inv x)]*)
apply (*auto simp add: subgroup.m-closed subgroup.m-inv-closed m-assoc*
subgroup.subset [THEN subsetD])
done

lemma (*in group*) *repr-independence*:
 $\llbracket y \in H \#> x; x \in \text{carrier } G; \text{ subgroup } H G \rrbracket \implies H \#> x = H \#> y$
by (*auto simp add: r-coset-def m-assoc [symmetric]*
subgroup.subset [THEN subsetD]
subgroup.m-closed solve-equation)

lemma (*in group*) *coset-join2*:
 $\llbracket x \in \text{carrier } G; \text{ subgroup } H G; x \in H \rrbracket \implies H \#> x = H$
— Alternative proof is to put $x = \mathbf{1}$ in *repr-independence*.
by (*force simp add: subgroup.m-closed r-coset-def solve-equation*)

lemma (*in group*) *r-coset-subset-G*:
 $\llbracket H \subseteq \text{carrier } G; x \in \text{carrier } G \rrbracket \implies H \#> x \subseteq \text{carrier } G$
by (*auto simp add: r-coset-def*)

lemma (*in group*) *rcosI*:
 $\llbracket h \in H; H \subseteq \text{carrier } G; x \in \text{carrier } G \rrbracket \implies h \otimes x \in H \#> x$
by (*auto simp add: r-coset-def*)

lemma (*in group*) *rcosetsI*:
 $\llbracket H \subseteq \text{carrier } G; x \in \text{carrier } G \rrbracket \implies H \#> x \in \text{rcosets } H$
by (*auto simp add: RCOSETS-def*)

Really needed?

lemma (*in group*) *transpose-inv*:
 $\llbracket x \otimes y = z; x \in \text{carrier } G; y \in \text{carrier } G; z \in \text{carrier } G \rrbracket$
 $\implies (\text{inv } x) \otimes z = y$
by (*force simp add: m-assoc [symmetric]*)

lemma (*in group*) *rcos-self*: $\llbracket x \in \text{carrier } G; \text{ subgroup } H G \rrbracket \implies x \in H \#> x$

```

apply (simp add: r-coset-def)
apply (blast intro: sym l-one subgroup.subset [THEN subsetD]
        subgroup.one-closed)
done

```

6.2 Normal subgroups

```

lemma normal-imp-subgroup:  $H \triangleleft G \implies \text{subgroup } H \ G$ 
by (simp add: normal-def subgroup-def)

```

```

lemma (in group) normalI:
  subgroup  $H \ G \implies (\forall x \in \text{carrier } G. H \ \#> \ x = x \ \<\# \ H) \implies H \triangleleft G$ 
by (simp add: normal-def normal-axioms-def prems)

```

```

lemma (in normal) inv-op-closed1:
   $\llbracket x \in \text{carrier } G; h \in H \rrbracket \implies (inv \ x) \otimes h \otimes x \in H$ 
apply (insert coset-eq)
apply (auto simp add: l-coset-def r-coset-def)
apply (drule bspec, assumption)
apply (drule equalityD1 [THEN subsetD], blast, clarify)
apply (simp add: m-assoc)
apply (simp add: m-assoc [symmetric])
done

```

```

lemma (in normal) inv-op-closed2:
   $\llbracket x \in \text{carrier } G; h \in H \rrbracket \implies x \otimes h \otimes (inv \ x) \in H$ 
apply (subgoal-tac inv (inv x)  $\otimes$  h  $\otimes$  (inv x)  $\in H$ )
apply (simp add: )
apply (blast intro: inv-op-closed1)
done

```

Alternative characterization of normal subgroups

```

lemma (in group) normal-inv-iff:
   $(N \triangleleft G) =$ 
  (subgroup  $N \ G \ \& \ (\forall x \in \text{carrier } G. \forall h \in N. x \otimes h \otimes (inv \ x) \in N))$ 
  (is - = ?rhs)
proof
  assume  $N: N \triangleleft G$ 
  show ?rhs
  by (blast intro: N normal.inv-op-closed2 normal-imp-subgroup)
next
  assume ?rhs
  hence sg: subgroup  $N \ G$ 
  and closed:  $\bigwedge x. x \in \text{carrier } G \implies \forall h \in N. x \otimes h \otimes inv \ x \in N$  by auto
  hence sb:  $N \subseteq \text{carrier } G$  by (simp add: subgroup.subset)
  show  $N \triangleleft G$ 
proof (intro normalI [OF sg], simp add: l-coset-def r-coset-def, clarify)
  fix  $x$ 
  assume  $x: x \in \text{carrier } G$ 

```

```

show  $(\bigcup_{h \in N}. \{h \otimes x\}) = (\bigcup_{h \in N}. \{x \otimes h\})$ 
proof
  show  $(\bigcup_{h \in N}. \{h \otimes x\}) \subseteq (\bigcup_{h \in N}. \{x \otimes h\})$ 
  proof clarify
    fix  $n$ 
    assume  $n: n \in N$ 
    show  $n \otimes x \in (\bigcup_{h \in N}. \{x \otimes h\})$ 
    proof
      from closed [of inv x]
      show  $inv\ x \otimes n \otimes x \in N$  by (simp add: x n)
      show  $n \otimes x \in \{x \otimes (inv\ x \otimes n \otimes x)\}$ 
      by (simp add: x n m-assoc [symmetric] sb [THEN subsetD])
    qed
  qed
next
  show  $(\bigcup_{h \in N}. \{x \otimes h\}) \subseteq (\bigcup_{h \in N}. \{h \otimes x\})$ 
  proof clarify
    fix  $n$ 
    assume  $n: n \in N$ 
    show  $x \otimes n \in (\bigcup_{h \in N}. \{h \otimes x\})$ 
    proof
      show  $x \otimes n \otimes inv\ x \in N$  by (simp add: x n closed)
      show  $x \otimes n \in \{x \otimes n \otimes inv\ x \otimes x\}$ 
      by (simp add: x n m-assoc sb [THEN subsetD])
    qed
  qed
qed
qed
qed

```

6.3 More Properties of Cosets

lemma (*in group*) *lcos-m-assoc*:

$\llbracket M \subseteq \text{carrier } G; g \in \text{carrier } G; h \in \text{carrier } G \rrbracket$
 $\implies g <\# (h <\# M) = (g \otimes h) <\# M$

by (*force simp add: l-coset-def m-assoc*)

lemma (*in group*) *lcos-mult-one*: $M \subseteq \text{carrier } G \implies \mathbf{1} <\# M = M$

by (*force simp add: l-coset-def*)

lemma (*in group*) *l-coset-subset-G*:

$\llbracket H \subseteq \text{carrier } G; x \in \text{carrier } G \rrbracket \implies x <\# H \subseteq \text{carrier } G$

by (*auto simp add: l-coset-def subsetD*)

lemma (*in group*) *l-coset-swap*:

$\llbracket y \in x <\# H; x \in \text{carrier } G; \text{ subgroup } H\ G \rrbracket \implies x \in y <\# H$

proof (*simp add: l-coset-def*)

assume $\exists h \in H. y = x \otimes h$

and $x: x \in \text{carrier } G$

and sb : subgroup $H G$
then obtain h' **where** h' : $h' \in H \ \& \ x \otimes h' = y$ **by** *blast*
show $\exists h \in H. x = y \otimes h$
proof
show $x = y \otimes \text{inv } h'$ **using** $h' \ x \ sb$
by (*auto simp add: m-assoc subgroup.subset [THEN subsetD]*)
show $\text{inv } h' \in H$ **using** $h' \ sb$
by (*auto simp add: subgroup.subset [THEN subsetD] subgroup.m-inv-closed*)
qed
qed

lemma (**in** group) *l-coset-carrier*:
 $\llbracket y \in x <\# H; x \in \text{carrier } G; \text{subgroup } H G \rrbracket \implies y \in \text{carrier } G$
by (*auto simp add: l-coset-def m-assoc*
subgroup.subset [THEN subsetD] subgroup.m-closed)

lemma (**in** group) *l-repr-imp-subset*:
assumes y : $y \in x <\# H$ **and** x : $x \in \text{carrier } G$ **and** sb : subgroup $H G$
shows $y <\# H \subseteq x <\# H$
proof –
from y
obtain h' **where** $h' \in H \ x \otimes h' = y$ **by** (*auto simp add: l-coset-def*)
thus *?thesis* **using** $x \ sb$
by (*auto simp add: l-coset-def m-assoc*
subgroup.subset [THEN subsetD] subgroup.m-closed)
qed

lemma (**in** group) *l-repr-independence*:
assumes y : $y \in x <\# H$ **and** x : $x \in \text{carrier } G$ **and** sb : subgroup $H G$
shows $x <\# H = y <\# H$
proof
show $x <\# H \subseteq y <\# H$
by (*rule l-repr-imp-subset,*
(blast intro: l-coset-swap l-coset-carrier y x sb)+)
show $y <\# H \subseteq x <\# H$ **by** (*rule l-repr-imp-subset [OF y x sb]*)
qed

lemma (**in** group) *setmult-subset-G*:
 $\llbracket H \subseteq \text{carrier } G; K \subseteq \text{carrier } G \rrbracket \implies H <\#\> K \subseteq \text{carrier } G$
by (*auto simp add: set-mult-def subsetD*)

lemma (**in** group) *subgroup-mult-id*: subgroup $H G \implies H <\#\> H = H$
apply (*auto simp add: subgroup.m-closed set-mult-def Sigma-def image-def*)
apply (*rule-tac x = x in beXI*)
apply (*rule beXI [of - 1]*)
apply (*auto simp add: subgroup.m-closed subgroup.one-closed*
r-one subgroup.subset [THEN subsetD])
done

6.3.1 Set of inverses of an r -coset.

lemma (in normal) *rcos-inv*:
 assumes $x: x \in \text{carrier } G$
 shows $\text{set-inv } (H \#> x) = H \#> (\text{inv } x)$
proof (*simp add: r-coset-def SET-INV-def x inv-mult-group, safe*)
 fix h
 assume $h \in H$
 show $\text{inv } x \otimes \text{inv } h \in (\bigcup_{j \in H}. \{j \otimes \text{inv } x\})$
proof
 show $\text{inv } x \otimes \text{inv } h \otimes x \in H$
 by (*simp add: inv-op-closed1 prems*)
 show $\text{inv } x \otimes \text{inv } h \in \{\text{inv } x \otimes \text{inv } h \otimes x \otimes \text{inv } x\}$
 by (*simp add: prems m-assoc*)
 qed
next
 fix h
 assume $h \in H$
 show $h \otimes \text{inv } x \in (\bigcup_{j \in H}. \{\text{inv } x \otimes \text{inv } j\})$
proof
 show $x \otimes \text{inv } h \otimes \text{inv } x \in H$
 by (*simp add: inv-op-closed2 prems*)
 show $h \otimes \text{inv } x \in \{\text{inv } x \otimes \text{inv } (x \otimes \text{inv } h \otimes \text{inv } x)\}$
 by (*simp add: prems m-assoc [symmetric] inv-mult-group*)
 qed
 qed

6.3.2 Theorems for $\langle \# \rangle$ with $\#>$ or $\langle \#$.

lemma (in group) *setmult-rcos-assoc*:
 $\llbracket H \subseteq \text{carrier } G; K \subseteq \text{carrier } G; x \in \text{carrier } G \rrbracket$
 $\implies H \langle \# \rangle (K \#> x) = (H \langle \# \rangle K) \#> x$
by (*force simp add: r-coset-def set-mult-def m-assoc*)

lemma (in group) *rcos-assoc-lcos*:
 $\llbracket H \subseteq \text{carrier } G; K \subseteq \text{carrier } G; x \in \text{carrier } G \rrbracket$
 $\implies (H \#> x) \langle \# \rangle K = H \langle \# \rangle (x \langle \# \rangle K)$
by (*force simp add: r-coset-def l-coset-def set-mult-def m-assoc*)

lemma (in normal) *rcos-mult-step1*:
 $\llbracket x \in \text{carrier } G; y \in \text{carrier } G \rrbracket$
 $\implies (H \#> x) \langle \# \rangle (H \#> y) = (H \langle \# \rangle (x \langle \# \rangle H)) \#> y$
by (*simp add: setmult-rcos-assoc subset*
r-coset-subset-G l-coset-subset-G rcos-assoc-lcos)

lemma (in normal) *rcos-mult-step2*:
 $\llbracket x \in \text{carrier } G; y \in \text{carrier } G \rrbracket$
 $\implies (H \langle \# \rangle (x \langle \# \rangle H)) \#> y = (H \langle \# \rangle (H \#> x)) \#> y$
by (*insert coset-eq, simp add: normal-def*)

lemma (in normal) *rcos-mult-step3*:
 $\llbracket x \in \text{carrier } G; y \in \text{carrier } G \rrbracket$
 $\implies (H \langle \# \rangle (H \# \rangle x)) \# \rangle y = H \# \rangle (x \otimes y)$
by (*simp add: setmult-rcos-assoc coset-mult-assoc*
subgroup-mult-id subset prems)

lemma (in normal) *rcos-sum*:
 $\llbracket x \in \text{carrier } G; y \in \text{carrier } G \rrbracket$
 $\implies (H \# \rangle x) \langle \# \rangle (H \# \rangle y) = H \# \rangle (x \otimes y)$
by (*simp add: rcos-mult-step1 rcos-mult-step2 rcos-mult-step3*)

lemma (in normal) *rcosets-mult-eq*: $M \in \text{rcosets } H \implies H \langle \# \rangle M = M$
— generalizes *subgroup-mult-id*
by (*auto simp add: RCOSETS-def subset*
setmult-rcos-assoc subgroup-mult-id prems)

6.3.3 An Equivalence Relation

constdefs (structure *G*)
r-congruent :: $[(\text{'a}, \text{'b}) \text{monoid-scheme}, \text{'a set}] \Rightarrow (\text{'a} * \text{'a}) \text{set}$
(*rcong1 -*)
 $\text{rcong } H \equiv \{(x, y). x \in \text{carrier } G \ \& \ y \in \text{carrier } G \ \& \ \text{inv } x \otimes y \in H\}$

lemma (in subgroup) *equiv-rcong*:
includes *group G*
shows *equiv (carrier G) (rcong H)*
proof (*intro equiv.intro*)
show *refl (carrier G) (rcong H)*
by (*auto simp add: r-congruent-def refl-def*)
next
show *sym (rcong H)*
proof (*simp add: r-congruent-def sym-def, clarify*)
fix *x y*
assume [*simp*]: $x \in \text{carrier } G \ y \in \text{carrier } G$
and $\text{inv } x \otimes y \in H$
hence $\text{inv } (\text{inv } x \otimes y) \in H$ **by** (*simp add: m-inv-closed*)
thus $\text{inv } y \otimes x \in H$ **by** (*simp add: inv-mult-group*)
qed
next
show *trans (rcong H)*
proof (*simp add: r-congruent-def trans-def, clarify*)
fix *x y z*
assume [*simp*]: $x \in \text{carrier } G \ y \in \text{carrier } G \ z \in \text{carrier } G$
and $\text{inv } x \otimes y \in H$ **and** $\text{inv } y \otimes z \in H$
hence $(\text{inv } x \otimes y) \otimes (\text{inv } y \otimes z) \in H$ **by** *simp*
hence $\text{inv } x \otimes (y \otimes \text{inv } y) \otimes z \in H$ **by** (*simp add: m-assoc del: r-inv*)
thus $\text{inv } x \otimes z \in H$ **by** *simp*
qed

qed

Equivalence classes of *rcong* correspond to left cosets. Was there a mistake in the definitions? I’d have expected them to correspond to right cosets.

```
lemma (in subgroup) l-coset-eq-rcong:
  includes group G
  assumes a: a ∈ carrier G
  shows a <# H = rcong H “ {a}
by (force simp add: r-congruent-def l-coset-def m-assoc [symmetric] a )
```

6.3.4 Two distinct right cosets are disjoint

```
lemma (in group) rcos-equation:
  includes subgroup H G
  shows
    [[ha ⊗ a = h ⊗ b; a ∈ carrier G; b ∈ carrier G;
     h ∈ H; ha ∈ H; hb ∈ H]]
    ⇒ hb ⊗ a ∈ (⋃ h∈H. {h ⊗ b})
apply (rule UN-I [of hb ⊗ ((inv ha) ⊗ h)])
apply (simp add: )
apply (simp add: m-assoc transpose-inv)
done
```

```
lemma (in group) rcos-disjoint:
  includes subgroup H G
  shows [[a ∈ rcosets H; b ∈ rcosets H; a≠b]] ⇒ a ∩ b = {}
apply (simp add: RCOSETS-def r-coset-def)
apply (blast intro: rcos-equation prems sym)
done
```

6.4 Order of a Group and Lagrange’s Theorem

```
constdefs
  order :: ('a, 'b) monoid-scheme ⇒ nat
  order S ≡ card (carrier S)
```

```
lemma (in group) rcos-self:
  includes subgroup
  shows x ∈ carrier G ⇒ x ∈ H #> x
apply (simp add: r-coset-def)
apply (rule-tac x=1 in bexI)
apply (auto simp add: )
done
```

```
lemma (in group) rcosets-part-G:
  includes subgroup
  shows ⋃ (rcosets H) = carrier G
apply (rule equalityI)
apply (force simp add: RCOSETS-def r-coset-def)
```

apply (*auto simp add: RCOSETS-def intro: rcos-self prems*)
done

lemma (*in group*) *cosets-finite*:

$\llbracket c \in \text{rcosets } H; H \subseteq \text{carrier } G; \text{finite } (\text{carrier } G) \rrbracket \implies \text{finite } c$
apply (*auto simp add: RCOSETS-def*)
apply (*simp add: r-coset-subset-G [THEN finite-subset]*)
done

The next two lemmas support the proof of *card-cosets-equal*.

lemma (*in group*) *inj-on-f*:

$\llbracket H \subseteq \text{carrier } G; a \in \text{carrier } G \rrbracket \implies \text{inj-on } (\lambda y. y \otimes \text{inv } a) (H \#> a)$
apply (*rule inj-onI*)
apply (*subgoal-tac x \in carrier G & y \in carrier G*)
prefer 2 apply (*blast intro: r-coset-subset-G [THEN subsetD]*)
apply (*simp add: subsetD*)
done

lemma (*in group*) *inj-on-g*:

$\llbracket H \subseteq \text{carrier } G; a \in \text{carrier } G \rrbracket \implies \text{inj-on } (\lambda y. y \otimes a) H$
by (*force simp add: inj-on-def subsetD*)

lemma (*in group*) *card-cosets-equal*:

$\llbracket c \in \text{rcosets } H; H \subseteq \text{carrier } G; \text{finite}(\text{carrier } G) \rrbracket$
 $\implies \text{card } c = \text{card } H$
apply (*auto simp add: RCOSETS-def*)
apply (*rule card-bij-eq*)
apply (*rule inj-on-f, assumption+*)
apply (*force simp add: m-assoc subsetD r-coset-def*)
apply (*rule inj-on-g, assumption+*)
apply (*force simp add: m-assoc subsetD r-coset-def*)

The sets $H \#> a$ and H are finite.

apply (*simp add: r-coset-subset-G [THEN finite-subset]*)
apply (*blast intro: finite-subset*)
done

lemma (*in group*) *rcosets-subset-PowG*:

$\text{subgroup } H G \implies \text{rcosets } H \subseteq \text{Pow}(\text{carrier } G)$
apply (*simp add: RCOSETS-def*)
apply (*blast dest: r-coset-subset-G subgroup.subset*)
done

theorem (*in group*) *lagrange*:

$\llbracket \text{finite}(\text{carrier } G); \text{subgroup } H G \rrbracket$
 $\implies \text{card}(\text{rcosets } H) * \text{card}(H) = \text{order}(G)$
apply (*simp (no-asm-simp) add: order-def rcosets-part-G [symmetric]*)
apply (*subst mult-commute*)

```

apply (rule card-partition)
  apply (simp add: rcosets-subset-PowG [THEN finite-subset])
  apply (simp add: rcosets-part-G)
  apply (simp add: card-cosets-equal subgroup.subset)
apply (simp add: rcos-disjoint)
done

```

6.5 Quotient Groups: Factorization of a Group

constdefs

```

FactGroup :: [('a,'b) monoid-scheme, 'a set] => ('a set) monoid
  (infixl Mod 65)
  — Actually defined for groups rather than monoids
FactGroup G H ≡
  (carrier = rcosetsG H, mult = set-mult G, one = H)

```

lemma (in normal) setmult-closed:

```

[[K1 ∈ rcosets H; K2 ∈ rcosets H]] => K1 <#> K2 ∈ rcosets H
by (auto simp add: rcos-sum RCOSETS-def)

```

lemma (in normal) setinv-closed:

```

K ∈ rcosets H => set-inv K ∈ rcosets H
by (auto simp add: rcos-inv RCOSETS-def)

```

lemma (in normal) rcosets-assoc:

```

[[M1 ∈ rcosets H; M2 ∈ rcosets H; M3 ∈ rcosets H]]
  => M1 <#> M2 <#> M3 = M1 <#> (M2 <#> M3)
by (auto simp add: RCOSETS-def rcos-sum m-assoc)

```

lemma (in subgroup) subgroup-in-rcosets:

```

includes group G
shows H ∈ rcosets H
proof —
  have H #> 1 = H
  by (rule coset-join2, auto)
  then show ?thesis
  by (auto simp add: RCOSETS-def)
qed

```

lemma (in normal) rcosets-inv-mult-group-eq:

```

M ∈ rcosets H => set-inv M <#> M = H
by (auto simp add: RCOSETS-def rcos-inv rcos-sum subgroup.subset prems)

```

theorem (in normal) factorgroup-is-group:

```

group (G Mod H)
apply (simp add: FactGroup-def)
apply (rule groupI)
  apply (simp add: setmult-closed)
  apply (simp add: normal-imp-subgroup subgroup-in-rcosets [OF is-group])

```

```

apply (simp add: restrictI setmult-closed rcosets-assoc)
apply (simp add: normal-imp-subgroup
        subgroup-in-rcosets rcosets-mult-eq)
apply (auto dest: rcosets-inv-mult-group-eq simp add: setinv-closed)
done

```

```

lemma mult-FactGroup [simp]:  $X \otimes_{(G \text{ Mod } H)} X' = X \langle \# \rangle_G X'$ 
by (simp add: FactGroup-def)

```

```

lemma (in normal) inv-FactGroup:
   $X \in \text{carrier } (G \text{ Mod } H) \implies \text{inv}_{G \text{ Mod } H} X = \text{set-inv } X$ 
apply (rule group.inv-equality [OF factorgroup-is-group])
apply (simp-all add: FactGroup-def setinv-closed rcosets-inv-mult-group-eq)
done

```

The coset map is a homomorphism from G to the quotient group $G \text{ Mod } H$

```

lemma (in normal) r-coset-hom-Mod:
   $(\lambda a. H \#> a) \in \text{hom } G (G \text{ Mod } H)$ 
by (auto simp add: FactGroup-def RCOSETS-def Pi-def hom-def rcos-sum)

```

6.6 The First Isomorphism Theorem

The quotient by the kernel of a homomorphism is isomorphic to the range of that homomorphism.

```

constdefs
  kernel :: ('a, 'm) monoid-scheme  $\Rightarrow$  ('b, 'n) monoid-scheme  $\Rightarrow$ 
    ('a  $\Rightarrow$  'b)  $\Rightarrow$  'a set
  — the kernel of a homomorphism
  kernel  $G H h \equiv \{x. x \in \text{carrier } G \ \& \ h \ x = \mathbf{1}_H\}$ 

```

```

lemma (in group-hom) subgroup-kernel: subgroup (kernel  $G H h$ )  $G$ 
apply (rule subgroup.intro)
apply (auto simp add: kernel-def group.intro prems)
done

```

The kernel of a homomorphism is a normal subgroup

```

lemma (in group-hom) normal-kernel: (kernel  $G H h$ )  $\triangleleft G$ 
apply (simp add: group.normal-inv-iff subgroup-kernel group.intro prems)
apply (simp add: kernel-def)
done

```

```

lemma (in group-hom) FactGroup-nonempty:
  assumes  $X: X \in \text{carrier } (G \text{ Mod } \text{kernel } G H h)$ 
  shows  $X \neq \{\}$ 
proof —
  from  $X$ 
  obtain  $g$  where  $g \in \text{carrier } G$ 
    and  $X = \text{kernel } G H h \#> g$ 

```

by (auto simp add: FactGroup-def RCOSETS-def)
thus ?thesis
by (auto simp add: kernel-def r-coset-def image-def intro: hom-one)
qed

lemma (in group-hom) FactGroup-contents-mem:
assumes $X: X \in \text{carrier } (G \text{ Mod } (\text{kernel } G \ H \ h))$
shows $\text{contents } (h'X) \in \text{carrier } H$
proof –
from X
obtain g **where** $g: g \in \text{carrier } G$
and $X = \text{kernel } G \ H \ h \ \#> \ g$
by (auto simp add: FactGroup-def RCOSETS-def)
hence $h'X = \{h \ g\}$ **by** (auto simp add: kernel-def r-coset-def image-def g)
thus ?thesis **by** (auto simp add: g)
qed

lemma (in group-hom) FactGroup-hom:
 $(\lambda X. \text{contents } (h'X)) \in \text{hom } (G \text{ Mod } (\text{kernel } G \ H \ h)) \ H$
apply (simp add: hom-def FactGroup-contents-mem normal.factorgroup-is-group
[OF normal-kernel] group.axioms monoid.m-closed)
proof (simp add: hom-def funcsetI FactGroup-contents-mem, intro ballI)
fix X **and** X'
assume $X: X \in \text{carrier } (G \text{ Mod } \text{kernel } G \ H \ h)$
and $X': X' \in \text{carrier } (G \text{ Mod } \text{kernel } G \ H \ h)$
then
obtain g **and** g'
where $g \in \text{carrier } G$ **and** $g' \in \text{carrier } G$
and $X = \text{kernel } G \ H \ h \ \#> \ g$ **and** $X' = \text{kernel } G \ H \ h \ \#> \ g'$
by (auto simp add: FactGroup-def RCOSETS-def)
hence $\text{all: } \forall x \in X. h \ x = h \ g \ \forall x \in X'. h \ x = h \ g'$
and $X_{\text{sub}}: X \subseteq \text{carrier } G$ **and** $X'_{\text{sub}}: X' \subseteq \text{carrier } G$
by (force simp add: kernel-def r-coset-def image-def)+
hence $h' (X \ \<\#> \ X') = \{h \ g \ \otimes_H \ h \ g'\}$ **using** $X \ X'$
by (auto dest!: FactGroup-nonempty
simp add: set-mult-def image-eq-UN
subsetD [OF X_{sub}] subsetD [OF X'_{sub}])
thus $\text{contents } (h' (X \ \<\#> \ X')) = \text{contents } (h' X) \ \otimes_H \ \text{contents } (h' X')$
by (simp add: all image-eq-UN FactGroup-nonempty $X \ X'$)
qed

Lemma for the following injectivity result

lemma (in group-hom) FactGroup-subset:
 $\llbracket g \in \text{carrier } G; g' \in \text{carrier } G; h \ g = h \ g' \rrbracket$
 $\implies \text{kernel } G \ H \ h \ \#> \ g \subseteq \text{kernel } G \ H \ h \ \#> \ g'$
apply (clarsimp simp add: kernel-def r-coset-def image-def)
apply (rename-tac y)
apply (rule-tac $x=y \ \otimes \ g \ \otimes \ \text{inv } g'$ in exI)

apply (*simp add: G.m-assoc*)
done

lemma (*in group-hom*) *FactGroup-inj-on*:
inj-on ($\lambda X. \text{contents } (h \text{ ' } X)$) (*carrier* ($G \text{ Mod kernel } G \ H \ h$))
proof (*simp add: inj-on-def, clarify*)
fix X **and** X'
assume $X: X \in \text{carrier } (G \text{ Mod kernel } G \ H \ h)$
and $X': X' \in \text{carrier } (G \text{ Mod kernel } G \ H \ h)$
then
obtain g **and** g'
where $gX: g \in \text{carrier } G \ g' \in \text{carrier } G$
 $X = \text{kernel } G \ H \ h \ \#> \ g \ X' = \text{kernel } G \ H \ h \ \#> \ g'$
by (*auto simp add: FactGroup-def RCOSETS-def*)
hence $\text{all: } \forall x \in X. h \ x = h \ g \ \forall x \in X'. h \ x = h \ g'$
by (*force simp add: kernel-def r-coset-def image-def*)
assume $\text{contents } (h \text{ ' } X) = \text{contents } (h \text{ ' } X')$
hence $h: h \ g = h \ g'$
by (*simp add: image-eq-UN all FactGroup-nonempty X X'*)
show $X = X'$ **by** (*rule equalityI*) (*simp-all add: FactGroup-subset h gX*)
qed

If the homomorphism h is onto H , then so is the homomorphism from the quotient group

lemma (*in group-hom*) *FactGroup-onto*:
assumes $h: h \text{ ' carrier } G = \text{carrier } H$
shows ($\lambda X. \text{contents } (h \text{ ' } X)$) $\text{' carrier } (G \text{ Mod kernel } G \ H \ h) = \text{carrier } H$
proof
show ($\lambda X. \text{contents } (h \text{ ' } X)$) $\text{' carrier } (G \text{ Mod kernel } G \ H \ h) \subseteq \text{carrier } H$
by (*auto simp add: FactGroup-contents-mem*)
show $\text{carrier } H \subseteq (\lambda X. \text{contents } (h \text{ ' } X)) \text{' carrier } (G \text{ Mod kernel } G \ H \ h)$
proof
fix y
assume $y: y \in \text{carrier } H$
with h **obtain** g **where** $g: g \in \text{carrier } G \ h \ g = y$
by (*blast elim: equalityE*)
hence $(\bigcup x \in \text{kernel } G \ H \ h \ \#> \ g. \{h \ x\}) = \{y\}$
by (*auto simp add: y kernel-def r-coset-def*)
with g **show** $y \in (\lambda X. \text{contents } (h \text{ ' } X)) \text{' carrier } (G \text{ Mod kernel } G \ H \ h)$
by (*auto intro!: bexI simp add: FactGroup-def RCOSETS-def image-eq-UN*)
qed
qed

If h is a homomorphism from G onto H , then the quotient group $G \text{ Mod kernel } G \ H \ h$ is isomorphic to H .

theorem (*in group-hom*) *FactGroup-iso*:
 $h \text{ ' carrier } G = \text{carrier } H$
 $\implies (\lambda X. \text{contents } (h \text{ ' } X)) \in (G \text{ Mod } (\text{kernel } G \ H \ h)) \cong H$
by (*simp add: iso-def FactGroup-hom FactGroup-inj-on bij-betw-def*)

FactGroup-onto)

end

7 Sylow: Sylow’s theorem

theory *Sylow* imports *Coset* begin

See also [3].

The combinatorial argument is in theory *Exponent*

locale *sylow* = group +
 fixes *p* and *a* and *m* and *calM* and *RelM*
 assumes *prime-p*: prime *p*
 and *order-G*: $\text{order}(G) = (p \wedge a) * m$
 and *finite-G [iff]*: finite (*carrier* *G*)
 defines *calM* == {*s*. *s* \subseteq *carrier*(*G*) & $\text{card}(s) = p \wedge a$ }
 and *RelM* == {(*N1*,*N2*). *N1* \in *calM* & *N2* \in *calM* &
 ($\exists g \in \text{carrier}(G). N1 = (N2 \#> g)$) }

lemma (in *sylow*) *RelM-refl*: refl *calM* *RelM*
 apply (auto simp add: refl-def *RelM*-def *calM*-def)
 apply (blast intro!: *coset-mult-one* [symmetric])
 done

lemma (in *sylow*) *RelM-sym*: sym *RelM*
 proof (unfold *sym*-def *RelM*-def, clarify)
 fix *y g*
 assume *y* \in *calM*
 and *g*: *g* \in *carrier* *G*
 hence *y* = *y* $\#>$ *g* $\#>$ (*inv g*) by (simp add: *coset-mult-assoc* *calM*-def)
 thus $\exists g' \in \text{carrier } G. y = y \#> g \#> g'$
 by (blast intro: *g inv-closed*)
 qed

lemma (in *sylow*) *RelM-trans*: trans *RelM*
 by (auto simp add: trans-def *RelM*-def *calM*-def *coset-mult-assoc*)

lemma (in *sylow*) *RelM-equiv*: equiv *calM* *RelM*
 apply (unfold equiv-def)
 apply (blast intro: *RelM-refl* *RelM-sym* *RelM-trans*)
 done

lemma (in *sylow*) *M-subset-calM-prep*: *M'* \in *calM* // *RelM* ==> *M'* \subseteq *calM*
 apply (unfold *RelM*-def)
 apply (blast elim!: *quotientE*)
 done

7.1 Main Part of the Proof

locale *syLOW-central* = *syLOW* +
fixes *H* and *M1* and *M*
assumes *M-in-quot*: $M \in \text{calM} // \text{RelM}$
and *not-dvd-M*: $\sim(p \wedge \text{Suc}(\text{exponent } p \ m) \ \text{dvd } \text{card}(M))$
and *M1-in-M*: $M1 \in M$
defines $H == \{g. g \in \text{carrier } G \ \& \ M1 \ \#\> \ g = M1\}$

lemma (in *syLOW-central*) *M-subset-calM*: $M \subseteq \text{calM}$
by (rule *M-in-quot* [THEN *M-subset-calM-prep*])

lemma (in *syLOW-central*) *card-M1*: $\text{card}(M1) = p \wedge a$
apply (*cut-tac M-subset-calM M1-in-M*)
apply (*simp add: calM-def, blast*)
done

lemma *card-nonempty*: $0 < \text{card}(S) ==> S \neq \{\}$
by *force*

lemma (in *syLOW-central*) *exists-x-in-M1*: $\exists x. x \in M1$
apply (*subgoal-tac 0 < card M1*)
apply (*blast dest: card-nonempty*)
apply (*cut-tac prime-p [THEN prime-imp-one-less]*)
apply (*simp (no-asm-simp) add: card-M1*)
done

lemma (in *syLOW-central*) *M1-subset-G* [*simp*]: $M1 \subseteq \text{carrier } G$
apply (*rule subsetD [THEN PowD]*)
apply (*rule-tac [2] M1-in-M*)
apply (*rule M-subset-calM [THEN subset-trans]*)
apply (*auto simp add: calM-def*)
done

lemma (in *syLOW-central*) *M1-inj-H*: $\exists f \in H \rightarrow M1. \text{inj-on } f \ H$
proof –
from *exists-x-in-M1* **obtain** *m1* **where** *m1M*: $m1 \in M1..$
have *m1G*: $m1 \in \text{carrier } G$ **by** (*simp add: m1M M1-subset-G [THEN subsetD]*)
show *?thesis*
proof
show *inj-on* ($\lambda z \in H. m1 \otimes z$) *H*
by (*simp add: inj-on-def l-cancel [of m1 x y, THEN iffD1] H-def m1G*)
show *restrict* ($op \otimes m1$) $H \in H \rightarrow M1$
proof (*rule restrictI*)
fix *z* **assume** *zH*: $z \in H$
show $m1 \otimes z \in M1$
proof –
from *zH*
have *zG*: $z \in \text{carrier } G$ **and** *M1zeq*: $M1 \ \#\> \ z = M1$
by (*auto simp add: H-def*)

```

    show ?thesis
    by (rule subst [OF M1zeq], simp add: m1M zG rcosI)
  qed
  qed
  qed
  qed

```

7.2 Discharging the Assumptions of *sylow-central*

```

lemma (in sylow) EmptyNotInEquivSet: {} ∉ calM // RelM
by (blast elim!: quotientE dest: RelM-equiv [THEN equiv-class-self])

```

```

lemma (in sylow) existsM1inM: M ∈ calM // RelM ==> ∃ M1. M1 ∈ M
apply (subgoal-tac M ≠ {})
  apply blast
apply (cut-tac EmptyNotInEquivSet, blast)
done

```

```

lemma (in sylow) zero-less-o-G: 0 < order(G)
apply (unfold order-def)
apply (blast intro: one-closed zero-less-card-empty)
done

```

```

lemma (in sylow) zero-less-m: 0 < m
apply (cut-tac zero-less-o-G)
apply (simp add: order-G)
done

```

```

lemma (in sylow) card-calM: card(calM) = (p^a) * m choose p^a
by (simp add: calM-def n-subsets order-G [symmetric] order-def)

```

```

lemma (in sylow) zero-less-card-calM: 0 < card calM
by (simp add: card-calM zero-less-binomial le-extend-mult zero-less-m)

```

```

lemma (in sylow) max-p-div-calM:
  ~ (p ^ Suc(exponent p m) dvd card(calM))
apply (subgoal-tac exponent p m = exponent p (card calM) )
  apply (cut-tac zero-less-card-calM prime-p)
  apply (force dest: power-Suc-exponent-Not-dvd)
apply (simp add: card-calM zero-less-m [THEN const-p-fac])
done

```

```

lemma (in sylow) finite-calM: finite calM
apply (unfold calM-def)
apply (rule-tac B = Pow (carrier G) in finite-subset)
apply auto
done

```

```

lemma (in sylow) lemma-A1:

```

```

  ∃ M ∈ calM // RelM. ~ (p ^ Suc(exponent p m) dvd card(M))
apply (rule max-p-div-calM [THEN contrapos-mp])
apply (simp add: finite-calM equiv-imp-dvd-card [OF - RelM-equiv])
done

```

7.2.1 Introduction and Destruct Rules for H

```

lemma (in sylow-central) H-I: [|g ∈ carrier G; M1 #> g = M1|] ==> g ∈ H
by (simp add: H-def)

```

```

lemma (in sylow-central) H-into-carrier-G: x ∈ H ==> x ∈ carrier G
by (simp add: H-def)

```

```

lemma (in sylow-central) in-H-imp-eq: g : H ==> M1 #> g = M1
by (simp add: H-def)

```

```

lemma (in sylow-central) H-m-closed: [| x ∈ H; y ∈ H |] ==> x ⊗ y ∈ H
apply (unfold H-def)
apply (simp add: coset-mult-assoc [symmetric] m-closed)
done

```

```

lemma (in sylow-central) H-not-empty: H ≠ {}
apply (simp add: H-def)
apply (rule exI [of - 1], simp)
done

```

```

lemma (in sylow-central) H-is-subgroup: subgroup H G
apply (rule subgroupI)
apply (rule subsetI)
apply (erule H-into-carrier-G)
apply (rule H-not-empty)
apply (simp add: H-def, clarify)
apply (erule-tac P = %z. ?lhs(z) = M1 in subst)
apply (simp add: coset-mult-assoc)
apply (blast intro: H-m-closed)
done

```

```

lemma (in sylow-central) rcosetGM1g-subset-G:
  [| g ∈ carrier G; x ∈ M1 #> g |] ==> x ∈ carrier G
by (blast intro: M1-subset-G [THEN r-coset-subset-G, THEN subsetD])

```

```

lemma (in sylow-central) finite-M1: finite M1
by (rule finite-subset [OF M1-subset-G finite-G])

```

```

lemma (in sylow-central) finite-rcosetGM1g: g ∈ carrier G ==> finite (M1 #> g)
apply (rule finite-subset)
apply (rule subsetI)
apply (erule rcosetGM1g-subset-G, assumption)

```

apply (*rule finite-G*)
done

lemma (*in sylow-central*) *M1-cardeq-rcosetGM1g*:
 $g \in \text{carrier } G \implies \text{card}(M1 \#> g) = \text{card}(M1)$
by (*simp (no-asm-simp) add: M1-subset-G card-cosets-equal rcosetsI*)

lemma (*in sylow-central*) *M1-RelM-rcosetGM1g*:
 $g \in \text{carrier } G \implies (M1, M1 \#> g) \in \text{RelM}$
apply (*simp (no-asm) add: RelM-def calM-def card-M1 M1-subset-G*)
apply (*rule conjI*)
apply (*blast intro: rcosetGM1g-subset-G*)
apply (*simp (no-asm-simp) add: card-M1 M1-cardeq-rcosetGM1g*)
apply (*rule bexI [of - inv g]*)
apply (*simp-all add: coset-mult-assoc M1-subset-G*)
done

7.3 Equal Cardinalities of M and the Set of Cosets

Injections between M and $\text{rcosets}_G H$ show that their cardinalities are equal.

lemma *ElemClassEquiv*:
 $[| \text{equiv } A \text{ } r; C \in A // r |] \implies \forall x \in C. \forall y \in C. (x,y) \in r$
by (*unfold equiv-def quotient-def sym-def trans-def, blast*)

lemma (*in sylow-central*) *M-elem-map*:
 $M2 \in M \implies \exists g. g \in \text{carrier } G \ \& \ M1 \#> g = M2$
apply (*cut-tac M1-in-M M-in-quot [THEN RelM-equiv [THEN ElemClassEquiv]]*)
apply (*simp add: RelM-def*)
apply (*blast dest!: bspec*)
done

lemmas (*in sylow-central*) *M-elem-map-carrier =*
 $M\text{-elem-map [THEN someI-ex, THEN conjunct1]}$

lemmas (*in sylow-central*) *M-elem-map-eq =*
 $M\text{-elem-map [THEN someI-ex, THEN conjunct2]}$

lemma (*in sylow-central*) *M-funcset-rcosets-H*:
 $(\%x:M. H \#> (\text{SOME } g. g \in \text{carrier } G \ \& \ M1 \#> g = x)) \in M \rightarrow \text{rcosets } H$
apply (*rule rcosetsI [THEN restrictI]*)
apply (*rule H-is-subgroup [THEN subgroup.subset]*)
apply (*erule M-elem-map-carrier*)
done

lemma (*in sylow-central*) *inj-M-GmodH*: $\exists f \in M \rightarrow \text{rcosets } H. \text{inj-on } f \ M$
apply (*rule bexI*)
apply (*rule-tac [2] M-funcset-rcosets-H*)
apply (*rule inj-onI, simp*)
apply (*rule trans [OF - M-elem-map-eq]*)

```

prefer 2 apply assumption
apply (rule M-elem-map-eq [symmetric, THEN trans], assumption)
apply (rule coset-mult-inv1)
apply (erule-tac [2] M-elem-map-carrier)+
apply (rule-tac [2] M1-subset-G)
apply (rule coset-join1 [THEN in-H-imp-eq])
apply (rule-tac [3] H-is-subgroup)
prefer 2 apply (blast intro: m-closed M-elem-map-carrier inv-closed)
apply (simp add: coset-mult-inv2 H-def M-elem-map-carrier subset-def)
done

```

7.3.1 The opposite injection

```

lemma (in sylow-central) H-elem-map:
   $H1 \in \text{rcosets } H \implies \exists g. g \in \text{carrier } G \ \& \ H \ \#\!> \ g = H1$ 
by (auto simp add: RCOSETS-def)

```

```

lemmas (in sylow-central) H-elem-map-carrier =
  H-elem-map [THEN someI-ex, THEN conjunct1]

```

```

lemmas (in sylow-central) H-elem-map-eq =
  H-elem-map [THEN someI-ex, THEN conjunct2]

```

```

lemma EquivElemClass:
   $[[\text{equiv } A \ r; M \in A//r; M1 \in M; (M1, M2) \in r]] \implies M2 \in M$ 
by (unfold equiv-def quotient-def sym-def trans-def, blast)

```

```

lemma (in sylow-central) rcosets-H-funcset-M:
   $(\lambda C \in \text{rcosets } H. M1 \ \#\!> \ (@g. g \in \text{carrier } G \ \wedge \ H \ \#\!> \ g = C)) \in \text{rcosets } H \rightarrow M$ 
apply (simp add: RCOSETS-def)
apply (fast intro: someI2
  intro!: restrictI M1-in-M
  EquivElemClass [OF RelM-equiv M-in-quot - M1-RelM-rcosetGM1g])
done

```

close to a duplicate of *inj-M-GmodH*

```

lemma (in sylow-central) inj-GmodH-M:
   $\exists g \in \text{rcosets } H \rightarrow M. \text{inj-on } g \ (\text{rcosets } H)$ 
apply (rule beXI)
apply (rule-tac [2] rcosets-H-funcset-M)
apply (rule inj-onI)
apply (simp)
apply (rule trans [OF - H-elem-map-eq])
prefer 2 apply assumption
apply (rule H-elem-map-eq [symmetric, THEN trans], assumption)
apply (rule coset-mult-inv1)

```

```

apply (erule-tac [2] H-elem-map-carrier)+
apply (rule-tac [2] H-is-subgroup [THEN subgroup.subset])
apply (rule coset-join2)
apply (blast intro: m-closed inv-closed H-elem-map-carrier)
apply (rule H-is-subgroup)
apply (simp add: H-I coset-mult-inv2 M1-subset-G H-elem-map-carrier)
done

```

```

lemma (in sylow-central) calM-subset-PowG: calM  $\subseteq$  Pow(carrier G)
by (auto simp add: calM-def)

```

```

lemma (in sylow-central) finite-M: finite M
apply (rule finite-subset)
apply (rule M-subset-calM [THEN subset-trans])
apply (rule calM-subset-PowG, blast)
done

```

```

lemma (in sylow-central) cardMeqIndexH: card(M) = card(rcosets H)
apply (insert inj-M-GmodH inj-GmodH-M)
apply (blast intro: card-bij finite-M H-is-subgroup
           rcosets-subset-PowG [THEN finite-subset]
           finite-Pow-iff [THEN iffD2])
done

```

```

lemma (in sylow-central) index-lem: card(M) * card(H) = order(G)
by (simp add: cardMeqIndexH lagrange H-is-subgroup)

```

```

lemma (in sylow-central) lemma-leq1: p^a  $\leq$  card(H)
apply (rule dvd-imp-le)
  apply (rule div-combine [OF prime-p not-dvd-M])
  prefer 2 apply (blast intro: subgroup.finite-imp-card-positive H-is-subgroup)
apply (simp add: index-lem order-G power-add mult-dvd-mono power-exponent-dvd
           zero-less-m)
done

```

```

lemma (in sylow-central) lemma-leq2: card(H)  $\leq$  p^a
apply (subst card-M1 [symmetric])
apply (cut-tac M1-inj-H)
apply (blast intro!: M1-subset-G intro:
           card-inj H-into-carrier-G finite-subset [OF - finite-G])
done

```

```

lemma (in sylow-central) card-H-eq: card(H) = p^a
by (blast intro: le-anti-sym lemma-leq1 lemma-leq2)

```

```

lemma (in sylow) sylow-thm:  $\exists H$ . subgroup H G & card(H) = p^a
apply (cut-tac lemma-A1, clarify)
apply (frule existsM1inM, clarify)

```

```

apply (subgoal-tac sylow-central  $G$   $p$   $a$   $m$   $M1$   $M$ )
apply (blast dest: sylow-central.H-is-subgroup sylow-central.card-H-eq)
apply (simp add: sylow-central-def sylow-central-axioms-def prems)
done

```

Needed because the locale’s automatic definition refers to *semigroup* G and *group-axioms* G rather than simply to *group* G .

```

lemma sylow-eq: sylow  $G$   $p$   $a$   $m$  = (group  $G$  & sylow-axioms  $G$   $p$   $a$   $m$ )
by (simp add: sylow-def group-def)

```

```

theorem sylow-thm:

```

```

  [| prime  $p$ ; group( $G$ ); order( $G$ ) = ( $p^a$ ) *  $m$ ; finite (carrier  $G$ )|]
  ==>  $\exists H$ . subgroup  $H$   $G$  & card( $H$ ) =  $p^a$ 

```

```

apply (rule sylow.sylow-thm [of  $G$   $p$   $a$   $m$ ])
apply (simp add: sylow-eq sylow-axioms-def)
done

```

```

end

```

8 Bij: Bijections of a Set, Permutation Groups, Automorphism Groups

```

theory Bij imports Group begin

```

```

constdefs

```

```

  Bij :: 'a set  $\Rightarrow$  ('a  $\Rightarrow$  'a) set
  — Only extensional functions, since otherwise we get too many.
  Bij  $S$   $\equiv$  extensional  $S \cap \{f. \text{bij-betw } f \text{ } S \text{ } S\}$ 

```

```

  BijGroup :: 'a set  $\Rightarrow$  ('a  $\Rightarrow$  'a) monoid

```

```

  BijGroup  $S$   $\equiv$ 
  ( $\lambda$ carrier = Bij  $S$ ,
    $\lambda$ mult =  $\lambda g \in \text{Bij } S. \lambda f \in \text{Bij } S. \text{compose } S \ g \ f$ ,
    $\lambda$ one =  $\lambda x \in S. x$ )

```

```

declare Id-compose [simp] compose-Id [simp]

```

```

lemma Bij-imp-extensional:  $f \in \text{Bij } S \implies f \in \text{extensional } S$ 
by (simp add: Bij-def)

```

```

lemma Bij-imp-funcset:  $f \in \text{Bij } S \implies f \in S \rightarrow S$ 
by (auto simp add: Bij-def bij-betw-imp-funcset)

```

8.1 Bijections Form a Group

lemma *restrict-Inv-Bij*: $f \in \text{Bij } S \implies (\lambda x \in S. (\text{Inv } S f) x) \in \text{Bij } S$
by (*simp add: Bij-def bij-betw-Inv*)

lemma *id-Bij*: $(\lambda x \in S. x) \in \text{Bij } S$
by (*auto simp add: Bij-def bij-betw-def inj-on-def*)

lemma *compose-Bij*: $\llbracket x \in \text{Bij } S; y \in \text{Bij } S \rrbracket \implies \text{compose } S x y \in \text{Bij } S$
by (*auto simp add: Bij-def bij-betw-compose*)

lemma *Bij-compose-restrict-eq*:
 $f \in \text{Bij } S \implies \text{compose } S (\text{restrict } (\text{Inv } S f) S) f = (\lambda x \in S. x)$
by (*simp add: Bij-def compose-Inv-id*)

theorem *group-BijGroup*: *group* (*BijGroup* S)
apply (*simp add: BijGroup-def*)
apply (*rule groupI*)
apply (*simp add: compose-Bij*)
apply (*simp add: id-Bij*)
apply (*simp add: compose-Bij*)
apply (*blast intro: compose-assoc [symmetric] Bij-imp-funcset*)
apply (*simp add: id-Bij Bij-imp-funcset Bij-imp-extensional, simp*)
apply (*blast intro: Bij-compose-restrict-eq restrict-Inv-Bij*)
done

8.2 Automorphisms Form a Group

lemma *Bij-Inv-mem*: $\llbracket f \in \text{Bij } S; x \in S \rrbracket \implies \text{Inv } S f x \in S$
by (*simp add: Bij-def bij-betw-def Inv-mem*)

lemma *Bij-Inv-lemma*:
assumes *eq*: $\bigwedge x y. \llbracket x \in S; y \in S \rrbracket \implies h(g x y) = g (h x) (h y)$
shows $\llbracket h \in \text{Bij } S; g \in S \rightarrow S \rightarrow S; x \in S; y \in S \rrbracket$
 $\implies \text{Inv } S h (g x y) = g (\text{Inv } S h x) (\text{Inv } S h y)$
apply (*simp add: Bij-def bij-betw-def*)
apply (*subgoal-tac $\exists x' \in S. \exists y' \in S. x = h x' \ \& \ y = h y'$, clarify*)
apply (*simp add: eq [symmetric] Inv-f-f funcset-mem [THEN funcset-mem], blast*)
done

constdefs

auto :: $('a, 'b) \text{ monoid-scheme} \Rightarrow ('a \Rightarrow 'a) \text{ set}$
auto $G \equiv \text{hom } G G \cap \text{Bij } (\text{carrier } G)$

AutoGroup :: $('a, 'c) \text{ monoid-scheme} \Rightarrow ('a \Rightarrow 'a) \text{ monoid}$
AutoGroup $G \equiv \text{BijGroup } (\text{carrier } G) (\llbracket \text{carrier} := \text{auto } G \rrbracket)$

lemma (*in group*) *id-in-auto*: $(\lambda x \in \text{carrier } G. x) \in \text{auto } G$
by (*simp add: auto-def hom-def restrictI group.axioms id-Bij*)

lemma (in group) *mult-funcset*: $\text{mult } G \in \text{carrier } G \rightarrow \text{carrier } G \rightarrow \text{carrier } G$
 by (simp add: *Pi-I group.axioms*)

lemma (in group) *restrict-Inv-hom*:
 $\llbracket h \in \text{hom } G \ G; h \in \text{Bij } (\text{carrier } G) \rrbracket$
 $\implies \text{restrict } (\text{Inv } (\text{carrier } G) \ h) \ (\text{carrier } G) \in \text{hom } G \ G$
 by (simp add: *hom-def Bij-Inv-mem restrictI mult-funcset*
group.axioms Bij-Inv-lemma)

lemma *inv-BijGroup*:
 $f \in \text{Bij } S \implies m\text{-inv } (\text{BijGroup } S) \ f = (\lambda x \in S. (\text{Inv } S \ f) \ x)$
 apply (rule *group.inv-equality*)
 apply (rule *group-BijGroup*)
 apply (simp-all add: *BijGroup-def restrict-Inv-Bij Bij-compose-restrict-eq*)
 done

lemma (in group) *subgroup-auto*:
 $\text{subgroup } (\text{auto } G) \ (\text{BijGroup } (\text{carrier } G))$
proof (rule *subgroup.intro*)
 show $\text{auto } G \subseteq \text{carrier } (\text{BijGroup } (\text{carrier } G))$
 by (force simp add: *auto-def BijGroup-def*)
next
 fix $x \ y$
 assume $x \in \text{auto } G \ y \in \text{auto } G$
 thus $x \otimes_{\text{BijGroup } (\text{carrier } G)} y \in \text{auto } G$
 by (force simp add: *BijGroup-def is-group auto-def Bij-imp-funcset*
group.hom-compose compose-Bij)
next
 show $1_{\text{BijGroup } (\text{carrier } G)} \in \text{auto } G$ by (simp add: *BijGroup-def id-in-auto*)
next
 fix x
 assume $x \in \text{auto } G$
 thus $\text{inv }_{\text{BijGroup } (\text{carrier } G)} \ x \in \text{auto } G$
 by (simp del: *restrict-apply*
 add: *inv-BijGroup auto-def restrict-Inv-Bij restrict-Inv-hom*)
qed

theorem (in group) *AutoGroup*: $\text{group } (\text{AutoGroup } G)$
 by (simp add: *AutoGroup-def subgroup.subgroup-is-group subgroup-auto*
group-BijGroup)

end

9 CRing: Abelian Groups

theory *CRing* imports *FiniteProduct*

uses (*ringsimp.ML*) **begin**

record 'a ring = 'a monoid +
 zero :: 'a (**0**)
 add :: ['a, 'a] => 'a (**infixl** \oplus 65)

Derived operations.

constdefs (**structure** R)
 a-inv :: [('a, 'm) ring-scheme, 'a] => 'a (\ominus 1 - [81] 80)
 a-inv R == m-inv (| carrier = carrier R, mult = add R, one = zero R |)

 minus :: [('a, 'm) ring-scheme, 'a, 'a] => 'a (**infixl** \ominus 1 65)
 [| x \in carrier R; y \in carrier R |] ==> x \ominus y == x \oplus (\ominus y)

locale abelian-monoid = struct G +
assumes a-comm-monoid:
 comm-monoid (| carrier = carrier G, mult = add G, one = zero G |)

The following definition is redundant but simple to use.

locale abelian-group = abelian-monoid +
assumes a-comm-group:
 comm-group (| carrier = carrier G, mult = add G, one = zero G |)

9.1 Basic Properties

lemma abelian-monoidI:

includes struct R
assumes a-closed:
 !!x y. [| x \in carrier R; y \in carrier R |] ==> x \oplus y \in carrier R
and zero-closed: **0** \in carrier R
and a-assoc:
 !!x y z. [| x \in carrier R; y \in carrier R; z \in carrier R |] ==>
 (x \oplus y) \oplus z = x \oplus (y \oplus z)
and l-zero: !!x. x \in carrier R ==> **0** \oplus x = x
and a-comm:
 !!x y. [| x \in carrier R; y \in carrier R |] ==> x \oplus y = y \oplus x
shows abelian-monoid R
by (auto intro!: abelian-monoid.intro comm-monoidI intro: prems)

lemma abelian-groupI:

includes struct R
assumes a-closed:
 !!x y. [| x \in carrier R; y \in carrier R |] ==> x \oplus y \in carrier R
and zero-closed: zero R \in carrier R
and a-assoc:
 !!x y z. [| x \in carrier R; y \in carrier R; z \in carrier R |] ==>
 (x \oplus y) \oplus z = x \oplus (y \oplus z)
and a-comm:
 !!x y. [| x \in carrier R; y \in carrier R |] ==> x \oplus y = y \oplus x

and *l-zero*: $!!x. x \in \text{carrier } R \implies \mathbf{0} \oplus x = x$
and *l-inv-ex*: $!!x. x \in \text{carrier } R \implies \exists x' y : \text{carrier } R. y \oplus x = \mathbf{0}$
shows *abelian-group* *R*
by (*auto* *intro!*: *abelian-group.intro* *abelian-monoidI*
abelian-group-axioms.intro *comm-monoidI* *comm-groupI*
intro: prems)

lemma (**in** *abelian-monoid*) *a-monoid*:
monoid ($| \text{carrier} = \text{carrier } G, \text{mult} = \text{add } G, \text{one} = \text{zero } G |$)
by (*rule* *comm-monoid.axioms*, *rule* *a-comm-monoid*)

lemma (**in** *abelian-group*) *a-group*:
group ($| \text{carrier} = \text{carrier } G, \text{mult} = \text{add } G, \text{one} = \text{zero } G |$)
by (*simp* *add*: *group-def* *a-monoid* *comm-group.axioms* *a-comm-group*)

lemmas *monoid-record-simps* = *partial-object.simps* *monoid.simps*

lemma (**in** *abelian-monoid*) *a-closed* [*intro*, *simp*]:
 $[[x \in \text{carrier } G; y \in \text{carrier } G]] \implies x \oplus y \in \text{carrier } G$
by (*rule* *monoid.m-closed* [*OF* *a-monoid*, *simplified monoid-record-simps*])

lemma (**in** *abelian-monoid*) *zero-closed* [*intro*, *simp*]:
 $\mathbf{0} \in \text{carrier } G$
by (*rule* *monoid.one-closed* [*OF* *a-monoid*, *simplified monoid-record-simps*])

lemma (**in** *abelian-group*) *a-inv-closed* [*intro*, *simp*]:
 $x \in \text{carrier } G \implies \ominus x \in \text{carrier } G$
by (*simp* *add*: *a-inv-def*
group.inv-closed [*OF* *a-group*, *simplified monoid-record-simps*])

lemma (**in** *abelian-group*) *minus-closed* [*intro*, *simp*]:
 $[[x \in \text{carrier } G; y \in \text{carrier } G]] \implies x \ominus y \in \text{carrier } G$
by (*simp* *add*: *minus-def*)

lemma (**in** *abelian-group*) *a-l-cancel* [*simp*]:
 $[[x \in \text{carrier } G; y \in \text{carrier } G; z \in \text{carrier } G]] \implies$
 $(x \oplus y = x \oplus z) = (y = z)$
by (*rule* *group.l-cancel* [*OF* *a-group*, *simplified monoid-record-simps*])

lemma (**in** *abelian-group*) *a-r-cancel* [*simp*]:
 $[[x \in \text{carrier } G; y \in \text{carrier } G; z \in \text{carrier } G]] \implies$
 $(y \oplus x = z \oplus x) = (y = z)$
by (*rule* *group.r-cancel* [*OF* *a-group*, *simplified monoid-record-simps*])

lemma (**in** *abelian-monoid*) *a-assoc*:
 $[[x \in \text{carrier } G; y \in \text{carrier } G; z \in \text{carrier } G]] \implies$
 $(x \oplus y) \oplus z = x \oplus (y \oplus z)$
by (*rule* *monoid.m-assoc* [*OF* *a-monoid*, *simplified monoid-record-simps*])

lemma (in *abelian-monoid*) *l-zero* [simp]:

$x \in \text{carrier } G \implies \mathbf{0} \oplus x = x$

by (rule *monoid.l-one* [OF *a-monoid*, *simplified monoid-record-simps*])

lemma (in *abelian-group*) *l-neg*:

$x \in \text{carrier } G \implies \ominus x \oplus x = \mathbf{0}$

by (simp add: *a-inv-def*)

group.l-inv [OF *a-group*, *simplified monoid-record-simps*])

lemma (in *abelian-monoid*) *a-comm*:

$\llbracket x \in \text{carrier } G; y \in \text{carrier } G \rrbracket \implies x \oplus y = y \oplus x$

by (rule *comm-monoid.m-comm* [OF *a-comm-monoid*,
simplified monoid-record-simps])

lemma (in *abelian-monoid*) *a-lcomm*:

$\llbracket x \in \text{carrier } G; y \in \text{carrier } G; z \in \text{carrier } G \rrbracket \implies$

$x \oplus (y \oplus z) = y \oplus (x \oplus z)$

by (rule *comm-monoid.m-lcomm* [OF *a-comm-monoid*,
simplified monoid-record-simps])

lemma (in *abelian-monoid*) *r-zero* [simp]:

$x \in \text{carrier } G \implies x \oplus \mathbf{0} = x$

using *monoid.r-one* [OF *a-monoid*]

by *simp*

lemma (in *abelian-group*) *r-neg*:

$x \in \text{carrier } G \implies x \oplus (\ominus x) = \mathbf{0}$

using *group.r-inv* [OF *a-group*]

by (simp add: *a-inv-def*)

lemma (in *abelian-group*) *minus-zero* [simp]:

$\ominus \mathbf{0} = \mathbf{0}$

by (simp add: *a-inv-def*)

group.inv-one [OF *a-group*, *simplified monoid-record-simps*])

lemma (in *abelian-group*) *minus-minus* [simp]:

$x \in \text{carrier } G \implies \ominus (\ominus x) = x$

using *group.inv-inv* [OF *a-group*, *simplified monoid-record-simps*]

by (simp add: *a-inv-def*)

lemma (in *abelian-group*) *a-inv-inj*:

inj-on (*a-inv* *G*) (*carrier* *G*)

using *group.inv-inj* [OF *a-group*, *simplified monoid-record-simps*]

by (simp add: *a-inv-def*)

lemma (in *abelian-group*) *minus-add*:

$\llbracket x \in \text{carrier } G; y \in \text{carrier } G \rrbracket \implies \ominus (x \oplus y) = \ominus x \oplus \ominus y$

using *comm-group.inv-mult* [OF *a-comm-group*]

by (simp add: *a-inv-def*)

lemmas (in *abelian-monoid*) $a\text{-ac} = a\text{-assoc } a\text{-comm } a\text{-lcomm}$

9.2 Sums over Finite Sets

This definition makes it easy to lift lemmas from *finprod*.

constdefs

```

finsum :: [('b, 'm) ring-scheme, 'a => 'b, 'a set] => 'b
finsum G f A == finprod (| carrier = carrier G,
  mult = add G, one = zero G |) f A

```

syntax

```

-finsum :: index => idt => 'a set => 'b => 'b
(( $\bigoplus$  --:.-) [1000, 0, 51, 10] 10)

```

syntax (*xsymbols*)

```

-finsum :: index => idt => 'a set => 'b => 'b
(( $\bigoplus$  --∈.-) [1000, 0, 51, 10] 10)

```

syntax (*HTML output*)

```

-finsum :: index => idt => 'a set => 'b => 'b
(( $\bigoplus$  --∈.-) [1000, 0, 51, 10] 10)

```

translations

```

 $\bigoplus_{i:A} b == finsum \diamond_1 (\%i. b) A$ 
— Beware of argument permutation!

```

lemma (in *abelian-monoid*) *finsum-empty* [*simp*]:

```

finsum G f {} = 0

```

by (*rule comm-monoid.finprod-empty* [*OF a-comm-monoid*,
folded finsum-def, simplified monoid-record-simps])

lemma (in *abelian-monoid*) *finsum-insert* [*simp*]:

```

[[ finite F; a ∉ F; f ∈ F -> carrier G; f a ∈ carrier G ]]
==> finsum G f (insert a F) = f a ⊕ finsum G f F

```

by (*rule comm-monoid.finprod-insert* [*OF a-comm-monoid*,
folded finsum-def, simplified monoid-record-simps])

lemma (in *abelian-monoid*) *finsum-zero* [*simp*]:

```

finite A ==> ( $\bigoplus_{i \in A} 0$ ) = 0

```

by (*rule comm-monoid.finprod-one* [*OF a-comm-monoid, folded finsum-def*,
simplified monoid-record-simps])

lemma (in *abelian-monoid*) *finsum-closed* [*simp*]:

fixes *A*

assumes *fin*: *finite A* **and** *f*: *f ∈ A -> carrier G*

shows *finsum G f A ∈ carrier G*

by (*rule comm-monoid.finprod-closed* [*OF a-comm-monoid*,
folded finsum-def, simplified monoid-record-simps])

lemma (in *abelian-monoid*) *finsum-cong*:

```

[[ A = B; f : B -> carrier G;
  !!i. i : B =simp=> f i = g i ]] ==> finsum G f A = finsum G g B
by (rule comm-monoid.finprod-cong [OF a-comm-monoid, folded finsum-def,
  simplified monoid-record-simps]) (auto simp add: simp-implies-def)

```

Usually, if this rule causes a failed congruence proof error, the reason is that the premise $g \in B \rightarrow \text{carrier } G$ cannot be shown. Adding *Pi-def* to the simpset is often useful.

10 The Algebraic Hierarchy of Rings

10.1 Basic Definitions

```

locale ring = abelian-group R + monoid R +
  assumes l-distr: [[ x ∈ carrier R; y ∈ carrier R; z ∈ carrier R ]]
    ==> (x ⊕ y) ⊗ z = x ⊗ z ⊕ y ⊗ z
  and r-distr: [[ x ∈ carrier R; y ∈ carrier R; z ∈ carrier R ]]
    ==> z ⊗ (x ⊕ y) = z ⊗ x ⊕ z ⊗ y

```

```

locale cring = ring + comm-monoid R

```

```

locale domain = cring +
  assumes one-not-zero [simp]: 1 ~ = 0
  and integral: [[ a ⊗ b = 0; a ∈ carrier R; b ∈ carrier R ]] ==>
    a = 0 | b = 0

```

```

locale field = domain +
  assumes field-Units: Units R = carrier R - {0}

```

10.2 Basic Facts of Rings

lemma *ringI*:

```

includes struct R
assumes abelian-group: abelian-group R
  and monoid: monoid R
  and l-distr: !!x y z. [[ x ∈ carrier R; y ∈ carrier R; z ∈ carrier R ]]
    ==> (x ⊕ y) ⊗ z = x ⊗ z ⊕ y ⊗ z
  and r-distr: !!x y z. [[ x ∈ carrier R; y ∈ carrier R; z ∈ carrier R ]]
    ==> z ⊗ (x ⊕ y) = z ⊗ x ⊕ z ⊗ y
shows ring R
by (auto intro: ring.intro
  abelian-group.axioms ring-axioms.intro prems)

```

lemma (in *ring*) *is-abelian-group*:

```

abelian-group R
by (auto intro!: abelian-groupI a-assoc a-comm l-neg)

```

lemma (in ring) *is-monoid*:
monoid R
by (auto intro!: monoidI m-assoc)

lemma *cringI*:
includes *struct R*
assumes *abelian-group: abelian-group R*
and *comm-monoid: comm-monoid R*
and *l-distr: !!x y z. [| x ∈ carrier R; y ∈ carrier R; z ∈ carrier R |]
==> (x ⊕ y) ⊗ z = x ⊗ z ⊕ y ⊗ z*
shows *cring R*
proof (rule *cring.intro*)
show *ring-axioms R*
— Right-distributivity follows from left-distributivity and commutativity.
proof (rule *ring-axioms.intro*)
fix *x y z*
assume *R: x ∈ carrier R y ∈ carrier R z ∈ carrier R*
note [*simp*]= *comm-monoid.axioms [OF comm-monoid]*
abelian-group.axioms [OF abelian-group]
abelian-monoid.a-closed

from *R* **have** $z \otimes (x \oplus y) = (x \oplus y) \otimes z$
by (*simp add: comm-monoid.m-comm [OF comm-monoid.intro]*)
also from *R* **have** $\dots = x \otimes z \oplus y \otimes z$ **by** (*simp add: l-distr*)
also from *R* **have** $\dots = z \otimes x \oplus z \otimes y$
by (*simp add: comm-monoid.m-comm [OF comm-monoid.intro]*)
finally show $z \otimes (x \oplus y) = z \otimes x \oplus z \otimes y$.
qed
qed (auto intro: *cring.intro*
abelian-group.axioms comm-monoid.axioms ring-axioms.intro prems)

lemma (in cring) *is-comm-monoid*:
comm-monoid R
by (auto intro!: *comm-monoidI m-assoc m-comm*)

10.3 Normaliser for Rings

lemma (in abelian-group) *r-neg2*:
 $[| x \in \text{carrier } G; y \in \text{carrier } G |] ==> x \oplus (\ominus x \oplus y) = y$
proof —
assume *G: x ∈ carrier G y ∈ carrier G*
then have $(x \oplus \ominus x) \oplus y = y$
by (*simp only: r-neg l-zero*)
with *G* **show** *?thesis*
by (*simp add: a-ac*)
qed

lemma (in abelian-group) *r-neg1*:
 $[| x \in \text{carrier } G; y \in \text{carrier } G |] ==> \ominus x \oplus (x \oplus y) = y$

proof –

assume $G: x \in \text{carrier } G \ y \in \text{carrier } G$
then have $(\ominus x \oplus x) \oplus y = y$
by (*simp only: l-neg l-zero*)
with G **show** *?thesis* **by** (*simp add: a-ac*)
qed

The following proofs are from Jacobson, Basic Algebra I, pp. 88–89

lemma (*in ring*) *l-null* [*simp*]:

$x \in \text{carrier } R \implies \mathbf{0} \otimes x = \mathbf{0}$

proof –

assume $R: x \in \text{carrier } R$
then have $\mathbf{0} \otimes x \oplus \mathbf{0} \otimes x = (\mathbf{0} \oplus \mathbf{0}) \otimes x$
by (*simp add: l-distr del: l-zero r-zero*)
also from R **have** $\dots = \mathbf{0} \otimes x \oplus \mathbf{0}$ **by** *simp*
finally have $\mathbf{0} \otimes x \oplus \mathbf{0} \otimes x = \mathbf{0} \otimes x \oplus \mathbf{0}$.
with R **show** *?thesis* **by** (*simp del: r-zero*)
qed

lemma (*in ring*) *r-null* [*simp*]:

$x \in \text{carrier } R \implies x \otimes \mathbf{0} = \mathbf{0}$

proof –

assume $R: x \in \text{carrier } R$
then have $x \otimes \mathbf{0} \oplus x \otimes \mathbf{0} = x \otimes (\mathbf{0} \oplus \mathbf{0})$
by (*simp add: r-distr del: l-zero r-zero*)
also from R **have** $\dots = x \otimes \mathbf{0} \oplus \mathbf{0}$ **by** *simp*
finally have $x \otimes \mathbf{0} \oplus x \otimes \mathbf{0} = x \otimes \mathbf{0} \oplus \mathbf{0}$.
with R **show** *?thesis* **by** (*simp del: r-zero*)
qed

lemma (*in ring*) *l-minus*:

$[| x \in \text{carrier } R; y \in \text{carrier } R |] \implies \ominus x \otimes y = \ominus (x \otimes y)$

proof –

assume $R: x \in \text{carrier } R \ y \in \text{carrier } R$
then have $(\ominus x) \otimes y \oplus x \otimes y = (\ominus x \oplus x) \otimes y$ **by** (*simp add: l-distr*)
also from R **have** $\dots = \mathbf{0}$ **by** (*simp add: l-neg l-null*)
finally have $(\ominus x) \otimes y \oplus x \otimes y = \mathbf{0}$.
with R **have** $(\ominus x) \otimes y \oplus x \otimes y \oplus \ominus (x \otimes y) = \mathbf{0} \oplus \ominus (x \otimes y)$ **by** *simp*
with R **show** *?thesis* **by** (*simp add: a-assoc r-neg*)
qed

lemma (*in ring*) *r-minus*:

$[| x \in \text{carrier } R; y \in \text{carrier } R |] \implies x \otimes \ominus y = \ominus (x \otimes y)$

proof –

assume $R: x \in \text{carrier } R \ y \in \text{carrier } R$
then have $x \otimes (\ominus y) \oplus x \otimes y = x \otimes (\ominus y \oplus y)$ **by** (*simp add: r-distr*)
also from R **have** $\dots = \mathbf{0}$ **by** (*simp add: l-neg r-null*)
finally have $x \otimes (\ominus y) \oplus x \otimes y = \mathbf{0}$.
with R **have** $x \otimes (\ominus y) \oplus x \otimes y \oplus \ominus (x \otimes y) = \mathbf{0} \oplus \ominus (x \otimes y)$ **by** *simp*

with R **show** *?thesis* **by** (*simp add: a-assoc r-neg*)
qed

lemma (**in** *ring*) *minus-eq*:
 $[[x \in \text{carrier } R; y \in \text{carrier } R]] ==> x \ominus y = x \oplus \ominus y$
by (*simp only: minus-def*)

lemmas (**in** *ring*) *ring-simprules* =
a-closed zero-closed a-inv-closed minus-closed m-closed one-closed
a-assoc l-zero l-neg a-comm m-assoc l-one l-distr minus-eq
r-zero r-neg r-neg2 r-neg1 minus-add minus-minus minus-zero
a-lcomm r-distr l-null r-null l-minus r-minus

lemmas (**in** *cring*) *cring-simprules* =
a-closed zero-closed a-inv-closed minus-closed m-closed one-closed
a-assoc l-zero l-neg a-comm m-assoc l-one l-distr m-comm minus-eq
r-zero r-neg r-neg2 r-neg1 minus-add minus-minus minus-zero
a-lcomm m-lcomm r-distr l-null r-null l-minus r-minus

use *ringsimp.ML*

method-setup *algebra* =
 $\langle\langle \text{Method.ctx-args cring-normalise} \rangle\rangle$
 $\langle\langle \text{computes distributive normal form in locale context cring} \rangle\rangle$

lemma (**in** *cring*) *nat-pow-zero*:
 $(n::\text{nat}) \sim = 0 ==> \mathbf{0} (\wedge) n = \mathbf{0}$
by (*induct n*) *simp-all*

Two examples for use of method *algebra*

lemma
includes *ring R + cring S*
shows $[[a \in \text{carrier } R; b \in \text{carrier } R; c \in \text{carrier } S; d \in \text{carrier } S]] ==>$
 $a \oplus \ominus (a \oplus \ominus b) = b \ \& \ c \otimes_S d = d \otimes_S c$
by *algebra*

lemma
includes *cring*
shows $[[a \in \text{carrier } R; b \in \text{carrier } R]] ==> a \ominus (a \ominus b) = b$
by *algebra*

10.4 Sums over Finite Sets

lemma (**in** *cring*) *finsum-ldistr*:
 $[[\text{finite } A; a \in \text{carrier } R; f \in A \rightarrow \text{carrier } R]] ==>$
 $\text{finsum } R \ f \ A \ \otimes \ a = \text{finsum } R \ (\%i. f \ i \ \otimes \ a) \ A$
proof (*induct set: Finites*)
case empty then show *?case* **by** *simp*
next

case (*insert x F*) **then show** ?*case* **by** (*simp add: Pi-def l-distr*)
qed

lemma (*in cring*) *finsum-rdistr*:

$[[\text{finite } A; a \in \text{carrier } R; f \in A \rightarrow \text{carrier } R]] \implies$

$a \otimes \text{finsum } R f A = \text{finsum } R (\%i. a \otimes f i) A$

proof (*induct set: Finites*)

case empty **then show** ?*case* **by** *simp*

next

case (*insert x F*) **then show** ?*case* **by** (*simp add: Pi-def r-distr*)

qed

10.5 Facts of Integral Domains

lemma (*in domain*) *zero-not-one* [*simp*]:

$0 \sim = 1$

by (*rule not-sym*) *simp*

lemma (*in domain*) *integral-iff*:

$[[a \in \text{carrier } R; b \in \text{carrier } R]] \implies (a \otimes b = 0) = (a = 0 \mid b = 0)$

proof

assume $a \in \text{carrier } R \ b \in \text{carrier } R \ a \otimes b = 0$

then show $a = 0 \mid b = 0$ **by** (*simp add: integral*)

next

assume $a \in \text{carrier } R \ b \in \text{carrier } R \ a = 0 \mid b = 0$

then show $a \otimes b = 0$ **by** *auto*

qed

lemma (*in domain*) *m-lcancel*:

assumes *prem*: $a \sim = 0$

and $R: a \in \text{carrier } R \ b \in \text{carrier } R \ c \in \text{carrier } R$

shows $(a \otimes b = a \otimes c) = (b = c)$

proof

assume *eq*: $a \otimes b = a \otimes c$

with R **have** $a \otimes (b \ominus c) = 0$ **by** *algebra*

with R **have** $a = 0 \mid (b \ominus c) = 0$ **by** (*simp add: integral-iff*)

with *prem* **and** R **have** $b \ominus c = 0$ **by** *auto*

with R **have** $b = b \ominus (b \ominus c)$ **by** *algebra*

also from R **have** $b \ominus (b \ominus c) = c$ **by** *algebra*

finally show $b = c$.

next

assume $b = c$ **then show** $a \otimes b = a \otimes c$ **by** *simp*

qed

lemma (*in domain*) *m-rcancel*:

assumes *prem*: $a \sim = 0$

and $R: a \in \text{carrier } R \ b \in \text{carrier } R \ c \in \text{carrier } R$

shows *conc*: $(b \otimes a = c \otimes a) = (b = c)$

proof –

from *prem* **and** *R* **have** $(a \otimes b = a \otimes c) = (b = c)$ **by** (*rule m-lcancel*)
with *R* **show** *?thesis* **by** *algebra*
qed

10.6 Morphisms

constdefs (**structure** *R S*)

ring-hom :: [*'a*, *'m*] *ring-scheme*, [*'b*, *'n*] *ring-scheme*] ==> (*'a* ==> *'b*) *set*
ring-hom *R S* == {*h*. *h* ∈ *carrier R* -> *carrier S* &
 (ALL *x y*. *x* ∈ *carrier R* & *y* ∈ *carrier R* -->
 $h (x \otimes y) = h x \otimes_S h y$ & $h (x \oplus y) = h x \oplus_S h y$) &
 $h \mathbf{1} = \mathbf{1}_S$ }

lemma *ring-hom-memI*:

includes *struct R + struct S*
assumes *hom-closed*: !!*x*. *x* ∈ *carrier R* ==> *h x* ∈ *carrier S*
and *hom-mult*: !!*x y*. [| *x* ∈ *carrier R*; *y* ∈ *carrier R* |] ==>
 $h (x \otimes y) = h x \otimes_S h y$
and *hom-add*: !!*x y*. [| *x* ∈ *carrier R*; *y* ∈ *carrier R* |] ==>
 $h (x \oplus y) = h x \oplus_S h y$
and *hom-one*: $h \mathbf{1} = \mathbf{1}_S$
shows *h* ∈ *ring-hom R S*
by (*auto simp add: ring-hom-def prems Pi-def*)

lemma *ring-hom-closed*:

[| *h* ∈ *ring-hom R S*; *x* ∈ *carrier R* |] ==> *h x* ∈ *carrier S*
by (*auto simp add: ring-hom-def funcset-mem*)

lemma *ring-hom-mult*:

includes *struct R + struct S*
shows
 [| *h* ∈ *ring-hom R S*; *x* ∈ *carrier R*; *y* ∈ *carrier R* |] ==>
 $h (x \otimes y) = h x \otimes_S h y$
by (*simp add: ring-hom-def*)

lemma *ring-hom-add*:

includes *struct R + struct S*
shows
 [| *h* ∈ *ring-hom R S*; *x* ∈ *carrier R*; *y* ∈ *carrier R* |] ==>
 $h (x \oplus y) = h x \oplus_S h y$
by (*simp add: ring-hom-def*)

lemma *ring-hom-one*:

includes *struct R + struct S*
shows *h* ∈ *ring-hom R S* ==> $h \mathbf{1} = \mathbf{1}_S$
by (*simp add: ring-hom-def*)

locale *ring-hom-cring* = *cring R + cring S + var h +*
assumes *homh* [*simp, intro*]: *h* ∈ *ring-hom R S*

```

notes hom-closed [simp, intro] = ring-hom-closed [OF homh]
and hom-mult [simp] = ring-hom-mult [OF homh]
and hom-add [simp] = ring-hom-add [OF homh]
and hom-one [simp] = ring-hom-one [OF homh]

```

```

lemma (in ring-hom-crng) hom-zero [simp]:

```

```

  h 0 = 0S

```

```

proof –

```

```

  have h 0 ⊕S h 0 = h 0 ⊕S 0S

```

```

    by (simp add: hom-add [symmetric] del: hom-add)

```

```

  then show ?thesis by (simp del: S.r-zero)

```

```

qed

```

```

lemma (in ring-hom-crng) hom-a-inv [simp]:

```

```

  x ∈ carrier R ==> h (⊖ x) = ⊖S h x

```

```

proof –

```

```

  assume R: x ∈ carrier R

```

```

  then have h x ⊕S h (⊖ x) = h x ⊕S (⊖S h x)

```

```

    by (simp add: hom-add [symmetric] R.r-neg S.r-neg del: hom-add)

```

```

  with R show ?thesis by simp

```

```

qed

```

```

lemma (in ring-hom-crng) hom-finsum [simp]:

```

```

  [finite A; f ∈ A -> carrier R] ==>

```

```

  h (finsum R f A) = finsum S (h o f) A

```

```

proof (induct set: Finites)

```

```

  case empty then show ?case by simp

```

```

next

```

```

  case insert then show ?case by (simp add: Pi-def)

```

```

qed

```

```

lemma (in ring-hom-crng) hom-finprod:

```

```

  [finite A; f ∈ A -> carrier R] ==>

```

```

  h (finprod R f A) = finprod S (h o f) A

```

```

proof (induct set: Finites)

```

```

  case empty then show ?case by simp

```

```

next

```

```

  case insert then show ?case by (simp add: Pi-def)

```

```

qed

```

```

declare ring-hom-crng.hom-finprod [simp]

```

```

lemma id-ring-hom [simp]:

```

```

  id ∈ ring-hom R R

```

```

  by (auto intro!: ring-hom-memI)

```

```

end

```

11 Module: Modules over an Abelian Group

theory *Module* **imports** *CRing* **begin**

record ('a, 'b) *module* = 'b *ring* +
smult :: ['a, 'b] => 'b (**infixl** \odot_M 70)

locale *module* = *cring* *R* + *abelian-group* *M* +

assumes *smult-closed* [*simp*, *intro*]:

$\llbracket a \in \text{carrier } R; x \in \text{carrier } M \rrbracket \implies a \odot_M x \in \text{carrier } M$

and *smult-l-distr*:

$\llbracket a \in \text{carrier } R; b \in \text{carrier } R; x \in \text{carrier } M \rrbracket \implies$

$(a \oplus b) \odot_M x = a \odot_M x \oplus_M b \odot_M x$

and *smult-r-distr*:

$\llbracket a \in \text{carrier } R; x \in \text{carrier } M; y \in \text{carrier } M \rrbracket \implies$

$a \odot_M (x \oplus_M y) = a \odot_M x \oplus_M a \odot_M y$

and *smult-assoc1*:

$\llbracket a \in \text{carrier } R; b \in \text{carrier } R; x \in \text{carrier } M \rrbracket \implies$

$(a \otimes b) \odot_M x = a \odot_M (b \odot_M x)$

and *smult-one* [*simp*]:

$x \in \text{carrier } M \implies \mathbf{1} \odot_M x = x$

locale *algebra* = *module* *R* *M* + *cring* *M* +

assumes *smult-assoc2*:

$\llbracket a \in \text{carrier } R; x \in \text{carrier } M; y \in \text{carrier } M \rrbracket \implies$

$(a \odot_M x) \otimes_M y = a \odot_M (x \otimes_M y)$

lemma *moduleI*:

includes *struct* *R* + *struct* *M*

assumes *cring*: *cring* *R*

and *abelian-group*: *abelian-group* *M*

and *smult-closed*:

$\llbracket a \in \text{carrier } R; x \in \text{carrier } M \rrbracket \implies a \odot_M x \in \text{carrier } M$

and *smult-l-distr*:

$\llbracket a \in \text{carrier } R; b \in \text{carrier } R; x \in \text{carrier } M \rrbracket \implies$

$(a \oplus b) \odot_M x = (a \odot_M x) \oplus_M (b \odot_M x)$

and *smult-r-distr*:

$\llbracket a \in \text{carrier } R; x \in \text{carrier } M; y \in \text{carrier } M \rrbracket \implies$

$a \odot_M (x \oplus_M y) = (a \odot_M x) \oplus_M (a \odot_M y)$

and *smult-assoc1*:

$\llbracket a \in \text{carrier } R; b \in \text{carrier } R; x \in \text{carrier } M \rrbracket \implies$

$(a \otimes b) \odot_M x = a \odot_M (b \odot_M x)$

and *smult-one*:

$\llbracket x \in \text{carrier } M \rrbracket \implies \mathbf{1} \odot_M x = x$

shows *module* *R* *M*

by (*auto intro: module.intro cring.axioms abelian-group.axioms*
module-axioms.intro prems)

lemma *algebraI*:

```

includes struct R + struct M
assumes R-cring: cring R
  and M-cring: cring M
  and smult-closed:
    !!a x. [| a ∈ carrier R; x ∈ carrier M |] ==> a ⊙M x ∈ carrier M
  and smult-l-distr:
    !!a b x. [| a ∈ carrier R; b ∈ carrier R; x ∈ carrier M |] ==>
       $(a \oplus b) \odot_M x = (a \odot_M x) \oplus_M (b \odot_M x)$ 
  and smult-r-distr:
    !!a x y. [| a ∈ carrier R; x ∈ carrier M; y ∈ carrier M |] ==>
       $a \odot_M (x \oplus_M y) = (a \odot_M x) \oplus_M (a \odot_M y)$ 
  and smult-assoc1:
    !!a b x. [| a ∈ carrier R; b ∈ carrier R; x ∈ carrier M |] ==>
       $(a \otimes b) \odot_M x = a \odot_M (b \odot_M x)$ 
  and smult-one:
    !!x. x ∈ carrier M ==> (one R) ⊙M x = x
  and smult-assoc2:
    !!a x y. [| a ∈ carrier R; x ∈ carrier M; y ∈ carrier M |] ==>
       $(a \odot_M x) \otimes_M y = a \odot_M (x \otimes_M y)$ 
shows algebra R M
by (auto intro!: algebra.intro algebra-axioms.intro cring.axioms
      module-axioms.intro prems)

```

```

lemma (in algebra) R-cring:
  cring R
by (rule cring.intro)

```

```

lemma (in algebra) M-cring:
  cring M
by (rule cring.intro)

```

```

lemma (in algebra) module:
  module R M
by (auto intro: moduleI R-cring is-abelian-group
      smult-l-distr smult-r-distr smult-assoc1)

```

11.1 Basic Properties of Algebras

```

lemma (in algebra) smult-l-null [simp]:

```

```

  x ∈ carrier M ==> 0 ⊙M x = 0M

```

```

proof –

```

```

  assume M: x ∈ carrier M

```

```

  note facts = M smult-closed

```

```

from facts have  $0 \odot_M x = (0 \odot_M x \oplus_M 0 \odot_M x) \oplus_M \ominus_M (0 \odot_M x)$  by algebra

```

```

also from M have  $\dots = (0 \oplus 0) \odot_M x \oplus_M \ominus_M (0 \odot_M x)$ 

```

```

  by (simp add: smult-l-distr del: R.l-zero R.r-zero)

```

```

also from facts have  $\dots = 0_M$  by algebra

```

```

finally show ?thesis .

```

```

qed

```

lemma (in algebra) *smult-r-null* [simp]:

$a \in \text{carrier } R \implies a \odot_M \mathbf{0}_M = \mathbf{0}_M$

proof –

assume R : $a \in \text{carrier } R$

note $\text{facts} = R$ *smult-closed*

from facts **have** $a \odot_M \mathbf{0}_M = (a \odot_M \mathbf{0}_M \oplus_M a \odot_M \mathbf{0}_M) \oplus_M \ominus_M (a \odot_M \mathbf{0}_M)$
by algebra

also **from** R **have** $\dots = a \odot_M (\mathbf{0}_M \oplus_M \mathbf{0}_M) \oplus_M \ominus_M (a \odot_M \mathbf{0}_M)$

by (*simp add: smult-r-distr del: M.l-zero M.r-zero*)

also **from** facts **have** $\dots = \mathbf{0}_M$ by algebra

finally **show** *?thesis* .

qed

lemma (in algebra) *smult-l-minus*:

$\llbracket a \in \text{carrier } R; x \in \text{carrier } M \rrbracket \implies (\ominus a) \odot_M x = \ominus_M (a \odot_M x)$

proof –

assume RM : $a \in \text{carrier } R$ $x \in \text{carrier } M$

note $\text{facts} = RM$ *smult-closed*

from facts **have** $(\ominus a) \odot_M x = (\ominus a \odot_M x \oplus_M a \odot_M x) \oplus_M \ominus_M (a \odot_M x)$
by algebra

also **from** RM **have** $\dots = (\ominus a \oplus a) \odot_M x \oplus_M \ominus_M (a \odot_M x)$

by (*simp add: smult-l-distr*)

also **from** facts *smult-l-null* **have** $\dots = \ominus_M (a \odot_M x)$ by algebra

finally **show** *?thesis* .

qed

lemma (in algebra) *smult-r-minus*:

$\llbracket a \in \text{carrier } R; x \in \text{carrier } M \rrbracket \implies a \odot_M (\ominus_M x) = \ominus_M (a \odot_M x)$

proof –

assume RM : $a \in \text{carrier } R$ $x \in \text{carrier } M$

note $\text{facts} = RM$ *smult-closed*

from facts **have** $a \odot_M (\ominus_M x) = (a \odot_M \ominus_M x \oplus_M a \odot_M x) \oplus_M \ominus_M (a \odot_M x)$
by algebra

also **from** RM **have** $\dots = a \odot_M (\ominus_M x \oplus_M x) \oplus_M \ominus_M (a \odot_M x)$

by (*simp add: smult-r-distr*)

also **from** facts *smult-r-null* **have** $\dots = \ominus_M (a \odot_M x)$ by algebra

finally **show** *?thesis* .

qed

end

12 UnivPoly: Univariate Polynomials

theory *UnivPoly* **imports** *Module* **begin**

Polynomials are formalised as modules with additional operations for extracting coefficients from polynomials and for obtaining monomials from co-

efficients and exponents (record *up-ring*). The carrier set is a set of bounded functions from Nat to the coefficient domain. Bounded means that these functions return zero above a certain bound (the degree). There is a chapter on the formalisation of polynomials in the PhD thesis [1], which was implemented with axiomatic type classes. This was later ported to Locales.

12.1 The Constructor for Univariate Polynomials

Functions with finite support.

```

locale bound =
  fixes z :: 'a
    and n :: nat
    and f :: nat => 'a
  assumes bound: !!m. n < m => f m = z

declare bound.intro [intro!]
and bound.bound [dest]

lemma bound-below:
  assumes bound: bound z m f and nonzero: f n ≠ z shows n ≤ m
proof (rule classical)
  assume ~ ?thesis
  then have m < n by arith
  with bound have f n = z ..
  with nonzero show ?thesis by contradiction
qed

record ('a, 'p) up-ring = ('a, 'p) module +
  monom :: ['a, nat] => 'p
  coeff :: ['p, nat] => 'a

constdefs (structure R)
  up :: ('a, 'm) ring-scheme => (nat => 'a) set
  up R == {f. f ∈ UNIV -> carrier R & (EX n. bound 0 n f)}
  UP :: ('a, 'm) ring-scheme => ('a, nat => 'a) up-ring
  UP R == (|
    carrier = up R,
    mult = (%p:up R. %q:up R. %n. ⊕ i ∈ {..n}. p i ⊗ q (n-i)),
    one = (%i. if i=0 then 1 else 0),
    zero = (%i. 0),
    add = (%p:up R. %q:up R. %i. p i ⊕ q i),
    smult = (%a:carrier R. %p:up R. %i. a ⊗ p i),
    monom = (%a:carrier R. %n i. if i=n then a else 0),
    coeff = (%p:up R. %n. p n) |)

```

Properties of the set of polynomials *up*.

```

lemma mem-upI [intro]:
  [| !!n. f n ∈ carrier R; EX n. bound (zero R) n f |] ==> f ∈ up R

```

by (simp add: up-def Pi-def)

lemma mem-upD [dest]:
 $f \in \text{up } R \implies f \ n \in \text{carrier } R$
 by (simp add: up-def Pi-def)

lemma (in cring) bound-upD [dest]:
 $f \in \text{up } R \implies \text{EX } n. \text{ bound } \mathbf{0} \ n \ f$
 by (simp add: up-def)

lemma (in cring) up-one-closed:
 $(\%n. \text{ if } n = \mathbf{0} \text{ then } \mathbf{1} \text{ else } \mathbf{0}) \in \text{up } R$
 using up-def by force

lemma (in cring) up-smult-closed:
 $\llbracket a \in \text{carrier } R; p \in \text{up } R \rrbracket \implies (\%i. a \otimes p \ i) \in \text{up } R$
 by force

lemma (in cring) up-add-closed:
 $\llbracket p \in \text{up } R; q \in \text{up } R \rrbracket \implies (\%i. p \ i \oplus q \ i) \in \text{up } R$

proof

fix n

assume $p \in \text{up } R$ and $q \in \text{up } R$

then show $p \ n \oplus q \ n \in \text{carrier } R$

by auto

next

assume UP: $p \in \text{up } R \ q \in \text{up } R$

show $\text{EX } n. \text{ bound } \mathbf{0} \ n \ (\%i. p \ i \oplus q \ i)$

proof –

from UP obtain n where boundn: $\text{bound } \mathbf{0} \ n \ p$ by fast

from UP obtain m where boundm: $\text{bound } \mathbf{0} \ m \ q$ by fast

have $\text{bound } \mathbf{0} \ (\text{max } n \ m) \ (\%i. p \ i \oplus q \ i)$

proof

fix i

assume $\text{max } n \ m < i$

with boundn and boundm and UP show $p \ i \oplus q \ i = \mathbf{0}$ by fastsimp

qed

then show ?thesis ..

qed

qed

lemma (in cring) up-a-inv-closed:
 $p \in \text{up } R \implies (\%i. \ominus (p \ i)) \in \text{up } R$

proof

assume R: $p \in \text{up } R$

then obtain n where $\text{bound } \mathbf{0} \ n \ p$ by auto

then have $\text{bound } \mathbf{0} \ n \ (\%i. \ominus (p \ i))$ by auto

then show $\text{EX } n. \text{ bound } \mathbf{0} \ n \ (\%i. \ominus (p \ i))$ by auto

qed auto

lemma (in *cring*) *up-mult-closed*:
 $\llbracket p \in \text{up } R; q \in \text{up } R \rrbracket \implies$
 $(\%n. \bigoplus i \in \{..n\}. p \ i \otimes q \ (n-i)) \in \text{up } R$

proof
fix n
assume $p \in \text{up } R \ q \in \text{up } R$
then show $(\bigoplus i \in \{..n\}. p \ i \otimes q \ (n-i)) \in \text{carrier } R$
by (*simp add: mem-upD funcsetI*)

next
assume $UP: p \in \text{up } R \ q \in \text{up } R$
show $EX \ n. \text{bound } \mathbf{0} \ n \ (\%n. \bigoplus i \in \{..n\}. p \ i \otimes q \ (n-i))$
proof –
from UP **obtain** n **where** $\text{bound}n: \text{bound } \mathbf{0} \ n \ p$ **by** *fast*
from UP **obtain** m **where** $\text{bound}m: \text{bound } \mathbf{0} \ m \ q$ **by** *fast*
have $\text{bound } \mathbf{0} \ (n + m) \ (\%n. \bigoplus i \in \{..n\}. p \ i \otimes q \ (n - i))$
proof
fix k **assume** $\text{bound}: n + m < k$
{
fix i
have $p \ i \otimes q \ (k-i) = \mathbf{0}$
proof (*cases* $n < i$)
case *True*
with $\text{bound}n$ **have** $p \ i = \mathbf{0}$ **by** *auto*
moreover from UP **have** $q \ (k-i) \in \text{carrier } R$ **by** *auto*
ultimately show *?thesis* **by** *simp*
next
case *False*
with bound **have** $m < k-i$ **by** *arith*
with $\text{bound}m$ **have** $q \ (k-i) = \mathbf{0}$ **by** *auto*
moreover from UP **have** $p \ i \in \text{carrier } R$ **by** *auto*
ultimately show *?thesis* **by** *simp*
qed
}
then show $(\bigoplus i \in \{..k\}. p \ i \otimes q \ (k-i)) = \mathbf{0}$
by (*simp add: Pi-def*)
qed
then show *?thesis* **by** *fast*
qed
qed

12.2 Effect of operations on coefficients

locale $UP = \text{struct } R + \text{struct } P +$
defines $P\text{-def}: P == UP \ R$

locale $UP\text{-cring} = UP + \text{cring } R$

locale $UP\text{-domain} = UP\text{-cring} + \text{domain } R$

Temporarily declare $P \equiv UP R$ as simp rule.

declare (in UP) P -def [simp]

lemma (in UP -cring) *coeff-monom* [simp]:
 $a \in \text{carrier } R \implies$
 $\text{coeff } P \text{ (monom } P \ a \ m) \ n = (\text{if } m=n \text{ then } a \ \text{else } \mathbf{0})$

proof –

assume $R: a \in \text{carrier } R$
then have $(\%n. \text{if } n = m \text{ then } a \ \text{else } \mathbf{0}) \in \text{up } R$
using up-def **by force**
with R **show** *?thesis* **by** (simp add: UP -def)

qed

lemma (in UP -cring) *coeff-zero* [simp]:

$\text{coeff } P \ \mathbf{0}_P \ n = \mathbf{0}$
by (auto simp add: UP -def)

lemma (in UP -cring) *coeff-one* [simp]:

$\text{coeff } P \ \mathbf{1}_P \ n = (\text{if } n=0 \text{ then } \mathbf{1} \ \text{else } \mathbf{0})$
using up-one-closed **by** (simp add: UP -def)

lemma (in UP -cring) *coeff-smult* [simp]:

$[[a \in \text{carrier } R; p \in \text{carrier } P]] \implies$
 $\text{coeff } P \ (a \odot_P p) \ n = a \otimes \text{coeff } P \ p \ n$
by (simp add: UP -def up-smult-closed)

lemma (in UP -cring) *coeff-add* [simp]:

$[[p \in \text{carrier } P; q \in \text{carrier } P]] \implies$
 $\text{coeff } P \ (p \oplus_P q) \ n = \text{coeff } P \ p \ n \oplus \text{coeff } P \ q \ n$
by (simp add: UP -def up-add-closed)

lemma (in UP -cring) *coeff-mult* [simp]:

$[[p \in \text{carrier } P; q \in \text{carrier } P]] \implies$
 $\text{coeff } P \ (p \otimes_P q) \ n = (\bigoplus_{i \in \{..n\}} \text{coeff } P \ p \ i \otimes \text{coeff } P \ q \ (n-i))$
by (simp add: UP -def up-mult-closed)

lemma (in UP) *up-eqI*:

assumes $\text{prem}: !!n. \text{coeff } P \ p \ n = \text{coeff } P \ q \ n$
and $R: p \in \text{carrier } P \ q \in \text{carrier } P$
shows $p = q$

proof

fix x
from prem **and** R **show** $p \ x = q \ x$ **by** (simp add: UP -def)

qed

12.3 Polynomials form a commutative ring.

Operations are closed over P .

lemma (in *UP-crng*) *UP-mult-closed* [*simp*]:
 $[[p \in \text{carrier } P; q \in \text{carrier } P]] \implies p \otimes_P q \in \text{carrier } P$
by (*simp add: UP-def up-mult-closed*)

lemma (in *UP-crng*) *UP-one-closed* [*simp*]:
 $1_P \in \text{carrier } P$
by (*simp add: UP-def up-one-closed*)

lemma (in *UP-crng*) *UP-zero-closed* [*intro, simp*]:
 $0_P \in \text{carrier } P$
by (*auto simp add: UP-def*)

lemma (in *UP-crng*) *UP-a-closed* [*intro, simp*]:
 $[[p \in \text{carrier } P; q \in \text{carrier } P]] \implies p \oplus_P q \in \text{carrier } P$
by (*simp add: UP-def up-add-closed*)

lemma (in *UP-crng*) *monom-closed* [*simp*]:
 $a \in \text{carrier } R \implies \text{monom } P \ a \ n \in \text{carrier } P$
by (*auto simp add: UP-def up-def Pi-def*)

lemma (in *UP-crng*) *UP-smult-closed* [*simp*]:
 $[[a \in \text{carrier } R; p \in \text{carrier } P]] \implies a \odot_P p \in \text{carrier } P$
by (*simp add: UP-def up-smult-closed*)

lemma (in *UP*) *coeff-closed* [*simp*]:
 $p \in \text{carrier } P \implies \text{coeff } P \ p \ n \in \text{carrier } R$
by (*auto simp add: UP-def*)

declare (in *UP*) *P-def* [*simp del*]

Algebraic ring properties

lemma (in *UP-crng*) *UP-a-assoc*:
assumes *R*: $p \in \text{carrier } P \ q \in \text{carrier } P \ r \in \text{carrier } P$
shows $(p \oplus_P q) \oplus_P r = p \oplus_P (q \oplus_P r)$
by (*rule up-eqI, simp add: a-assoc R, simp-all add: R*)

lemma (in *UP-crng*) *UP-l-zero* [*simp*]:
assumes *R*: $p \in \text{carrier } P$
shows $0_P \oplus_P p = p$
by (*rule up-eqI, simp-all add: R*)

lemma (in *UP-crng*) *UP-l-neg-ex*:
assumes *R*: $p \in \text{carrier } P$
shows *EX* $q : \text{carrier } P. q \oplus_P p = 0_P$

proof –
let $?q = \%i. \ominus (p \ i)$
from *R* **have** *closed*: $?q \in \text{carrier } P$
by (*simp add: UP-def P-def up-a-inv-closed*)
from *R* **have** *coeff*: $!!n. \text{coeff } P \ ?q \ n = \ominus (\text{coeff } P \ p \ n)$

```

  by (simp add: UP-def P-def up-a-inv-closed)
show ?thesis
proof
  show  $?q \oplus_P p = \mathbf{0}_P$ 
  by (auto intro!: up-eqI simp add: R closed coeff R.l-neg)
qed (rule closed)
qed

```

```

lemma (in UP-crng) UP-a-comm:
  assumes  $R: p \in \text{carrier } P \ q \in \text{carrier } P$ 
  shows  $p \oplus_P q = q \oplus_P p$ 
  by (rule up-eqI, simp add: a-comm R, simp-all add: R)

```

```

lemma (in UP-crng) UP-m-assoc:
  assumes  $R: p \in \text{carrier } P \ q \in \text{carrier } P \ r \in \text{carrier } P$ 
  shows  $(p \otimes_P q) \otimes_P r = p \otimes_P (q \otimes_P r)$ 
proof (rule up-eqI)
  fix n
  {
    fix k and a b c :: nat=>'a
    assume  $R: a \in \text{UNIV} \rightarrow \text{carrier } R \ b \in \text{UNIV} \rightarrow \text{carrier } R$ 
       $c \in \text{UNIV} \rightarrow \text{carrier } R$ 
    then have  $k \leq n \iff$ 
       $(\bigoplus_{j \in \{..k\}}. (\bigoplus_{i \in \{..j\}}. a \ i \otimes b \ (j-i)) \otimes c \ (n-j)) =$ 
       $(\bigoplus_{j \in \{..k\}}. a \ j \otimes (\bigoplus_{i \in \{..k-j\}}. b \ i \otimes c \ (n-j-i)))$ 
      (concl is ?eq k)
    proof (induct k)
      case 0 then show ?case by (simp add: Pi-def m-assoc)
    next
      case (Suc k)
      then have  $k \leq n$  by arith
      then have ?eq k by (rule Suc)
      with R show ?case
        by (simp cong: finsum-cong
            add: Suc-diff-le Pi-def l-distr r-distr m-assoc)
          (simp cong: finsum-cong add: Pi-def a-ac finsum-ldistr m-assoc)
    qed
  }
  with R show  $\text{coeff } P \ ((p \otimes_P q) \otimes_P r) \ n = \text{coeff } P \ (p \otimes_P (q \otimes_P r)) \ n$ 
  by (simp add: Pi-def)
qed (simp-all add: R)

```

```

lemma (in UP-crng) UP-l-one [simp]:
  assumes  $R: p \in \text{carrier } P$ 
  shows  $\mathbf{1}_P \otimes_P p = p$ 
proof (rule up-eqI)
  fix n
  show  $\text{coeff } P \ (\mathbf{1}_P \otimes_P p) \ n = \text{coeff } P \ p \ n$ 
  proof (cases n)

```

```

  case 0 with R show ?thesis by simp
next
  case Suc with R show ?thesis
  by (simp del: finsum-Suc add: finsum-Suc2 Pi-def)
qed
qed (simp-all add: R)

```

```

lemma (in UP-cring) UP-l-distr:
  assumes R: p ∈ carrier P q ∈ carrier P r ∈ carrier P
  shows (p ⊕P q) ⊗P r = (p ⊗P r) ⊕P (q ⊗P r)
  by (rule up-eqI) (simp add: l-distr R Pi-def, simp-all add: R)

```

```

lemma (in UP-cring) UP-m-comm:
  assumes R: p ∈ carrier P q ∈ carrier P
  shows p ⊗P q = q ⊗P p
proof (rule up-eqI)
  fix n
  {
    fix k and a b :: nat=>'a
    assume R: a ∈ UNIV -> carrier R b ∈ UNIV -> carrier R
    then have k <= n ==>
      (⊕ i ∈ {...k}. a i ⊗ b (n-i)) =
      (⊕ i ∈ {...k}. a (k-i) ⊗ b (i+n-k))
      (concl is ?eq k)
    proof (induct k)
      case 0 then show ?case by (simp add: Pi-def)
    next
      case (Suc k) then show ?case
      by (subst (2) finsum-Suc2) (simp add: Pi-def a-comm)+
    qed
  }
  note l = this
  from R show coeff P (p ⊗P q) n = coeff P (q ⊗P p) n
  apply (simp add: Pi-def)
  apply (subst l)
  apply (auto simp add: Pi-def)
  apply (simp add: m-comm)
  done
qed (simp-all add: R)

```

```

theorem (in UP-cring) UP-cring:
  cring P
  by (auto intro!: cringI abelian-groupI comm-monoidI UP-a-assoc UP-l-zero
    UP-l-neg-ex UP-a-comm UP-m-assoc UP-l-one UP-m-comm UP-l-distr)

```

```

lemma (in UP-cring) UP-ring:
  ring P
  by (auto intro: ring.intro cring.axioms UP-cring)

```

lemma (in *UP-crng*) *UP-a-inv-closed* [*intro, simp*]:
 $p \in \text{carrier } P \implies \ominus_P p \in \text{carrier } P$
by (rule *abelian-group.a-inv-closed*
[*OF ring.is-abelian-group [OF UP-ring]*])

lemma (in *UP-crng*) *coeff-a-inv* [*simp*]:
assumes $R: p \in \text{carrier } P$
shows $\text{coeff } P (\ominus_P p) n = \ominus (\text{coeff } P p n)$
proof –
from R *coeff-closed UP-a-inv-closed* **have**
 $\text{coeff } P (\ominus_P p) n = \ominus \text{coeff } P p n \oplus (\text{coeff } P p n \oplus \text{coeff } P (\ominus_P p) n)$
by *algebra*
also from R **have** $\dots = \ominus (\text{coeff } P p n)$
by (*simp del: coeff-add add: coeff-add [THEN sym]*
abelian-group.r-neg [OF ring.is-abelian-group [OF UP-ring]])
finally show *?thesis* .
qed

Interpretation of lemmas from *crng*. Saves lifting 43 lemmas manually.

interpretation *UP-crng < crng P*
using *UP-crng*
by – (*erule crng.axioms*)+

12.4 Polynomials form an Algebra

lemma (in *UP-crng*) *UP-smult-l-distr*:
 $\llbracket a \in \text{carrier } R; b \in \text{carrier } R; p \in \text{carrier } P \rrbracket \implies$
 $(a \oplus b) \odot_P p = a \odot_P p \oplus_P b \odot_P p$
by (rule *up-eqI*) (*simp-all add: R.l-distr*)

lemma (in *UP-crng*) *UP-smult-r-distr*:
 $\llbracket a \in \text{carrier } R; p \in \text{carrier } P; q \in \text{carrier } P \rrbracket \implies$
 $a \odot_P (p \oplus_P q) = a \odot_P p \oplus_P a \odot_P q$
by (rule *up-eqI*) (*simp-all add: R.r-distr*)

lemma (in *UP-crng*) *UP-smult-assoc1*:
 $\llbracket a \in \text{carrier } R; b \in \text{carrier } R; p \in \text{carrier } P \rrbracket \implies$
 $(a \otimes b) \odot_P p = a \odot_P (b \odot_P p)$
by (rule *up-eqI*) (*simp-all add: R.m-assoc*)

lemma (in *UP-crng*) *UP-smult-one* [*simp*]:
 $p \in \text{carrier } P \implies \mathbf{1} \odot_P p = p$
by (rule *up-eqI*) *simp-all*

lemma (in *UP-crng*) *UP-smult-assoc2*:
 $\llbracket a \in \text{carrier } R; p \in \text{carrier } P; q \in \text{carrier } P \rrbracket \implies$
 $(a \odot_P p) \otimes_P q = a \odot_P (p \otimes_P q)$
by (rule *up-eqI*) (*simp-all add: R.finsum-rdistr R.m-assoc Pi-def*)

Interpretation of lemmas from *algebra*.

lemma (in *cring*) *cring*:

cring R

by (*fast intro*: *cring.intro prems*)

lemma (in *UP-cring*) *UP-algebra*:

algebra R P

by (*auto intro*: *algebraI R.cring UP-cring UP-smult-l-distr UP-smult-r-distr UP-smult-assoc1 UP-smult-assoc2*)

interpretation *UP-cring* < *algebra* R P

using *UP-algebra*

by – (*erule algebra.axioms*)⁺

12.5 Further lemmas involving monomials

lemma (in *UP-cring*) *monom-zero* [*simp*]:

monom P $\mathbf{0}$ $n = \mathbf{0}_P$

by (*simp add*: *UP-def P-def*)

lemma (in *UP-cring*) *monom-mult-is-smult*:

assumes R : $a \in \text{carrier } R$ $p \in \text{carrier } P$

shows *monom* P a $0 \otimes_P p = a \odot_P p$

proof (*rule up-eqI*)

fix n

have *coeff* P ($p \otimes_P \text{monom } P$ a 0) $n = \text{coeff } P$ ($a \odot_P p$) n

proof (*cases n*)

case 0 **with** R **show** *?thesis* **by** (*simp add*: *R.m-comm*)

next

case *Suc* **with** R **show** *?thesis*

by (*simp cong*: *R.finsum-cong add*: *R.r-null Pi-def*)

(*simp add*: *R.m-comm*)

qed

with R **show** *coeff* P (*monom* P a $0 \otimes_P p$) $n = \text{coeff } P$ ($a \odot_P p$) n

by (*simp add*: *UP-m-comm*)

qed (*simp-all add*: R)

lemma (in *UP-cring*) *monom-add* [*simp*]:

$\llbracket a \in \text{carrier } R; b \in \text{carrier } R \rrbracket \implies$

monom P ($a \oplus b$) $n = \text{monom } P$ a $n \oplus_P \text{monom } P$ b n

by (*rule up-eqI*) *simp-all*

lemma (in *UP-cring*) *monom-one-Suc*:

monom P $\mathbf{1}$ (*Suc* n) = *monom* P $\mathbf{1}$ $n \otimes_P \text{monom } P$ $\mathbf{1}$ 1

proof (*rule up-eqI*)

fix k

show *coeff* P (*monom* P $\mathbf{1}$ (*Suc* n)) $k = \text{coeff } P$ (*monom* P $\mathbf{1}$ $n \otimes_P \text{monom } P$ $\mathbf{1}$ 1) k

proof (*cases k = Suc n*)

```

case True show ?thesis
proof –
  from True have less-add-diff:
    !!i. [| n < i; i <= n + m |] ==> n + m - i < m by arith
  from True have coeff P (monom P 1 (Suc n)) k = 1 by simp
  also from True
  have ... = ( $\bigoplus i \in \{..<n\} \cup \{n\}$ . coeff P (monom P 1 n) i  $\otimes$ 
    coeff P (monom P 1 1) (k - i))
    by (simp cong: R.finsum-cong add: Pi-def)
  also have ... = ( $\bigoplus i \in \{..n\}$ . coeff P (monom P 1 n) i  $\otimes$ 
    coeff P (monom P 1 1) (k - i))
    by (simp only: ivl-disj-un-singleton)
  also from True
  have ... = ( $\bigoplus i \in \{..n\} \cup \{n<..k\}$ . coeff P (monom P 1 n) i  $\otimes$ 
    coeff P (monom P 1 1) (k - i))
    by (simp cong: R.finsum-cong add: R.finsum-Un-disjoint ivl-disj-int-one
      order-less-imp-not-eq Pi-def)
  also from True have ... = coeff P (monom P 1 n  $\otimes_P$  monom P 1 1) k
    by (simp add: ivl-disj-un-one)
  finally show ?thesis .
qed
next
case False
note neq = False
let ?s =
   $\lambda i$ . (if n = i then 1 else 0)  $\otimes$  (if Suc 0 = k - i then 1 else 0)
from neq have coeff P (monom P 1 (Suc n)) k = 0 by simp
also have ... = ( $\bigoplus i \in \{..k\}$ . ?s i)
proof –
  have f1: ( $\bigoplus i \in \{..<n\}$ . ?s i) = 0
    by (simp cong: R.finsum-cong add: Pi-def)
  from neq have f2: ( $\bigoplus i \in \{n\}$ . ?s i) = 0
    by (simp cong: R.finsum-cong add: Pi-def) arith
  have f3: n < k ==> ( $\bigoplus i \in \{n<..k\}$ . ?s i) = 0
    by (simp cong: R.finsum-cong add: order-less-imp-not-eq Pi-def)
  show ?thesis
  proof (cases k < n)
    case True then show ?thesis by (simp cong: R.finsum-cong add: Pi-def)
  next
  case False then have n-le-k: n <= k by arith
  show ?thesis
  proof (cases n = k)
    case True
    then have 0 = ( $\bigoplus i \in \{..<n\} \cup \{n\}$ . ?s i)
      by (simp cong: R.finsum-cong add: ivl-disj-int-singleton Pi-def)
    also from True have ... = ( $\bigoplus i \in \{..k\}$ . ?s i)
      by (simp only: ivl-disj-un-singleton)
    finally show ?thesis .
  next

```

```

case False with n-le-k have n-less-k: n < k by arith
with neq have  $\mathbf{0} = (\bigoplus i \in \{..<n\} \cup \{n\}. ?s i)$ 
  by (simp add: R.finsum-Un-disjoint f1 f2
    ivl-disj-int-singleton Pi-def del: Un-insert-right)
also have  $\dots = (\bigoplus i \in \{..n\}. ?s i)$ 
  by (simp only: ivl-disj-un-singleton)
also from n-less-k neq have  $\dots = (\bigoplus i \in \{..n\} \cup \{n<..k\}. ?s i)$ 
  by (simp add: R.finsum-Un-disjoint f3 ivl-disj-int-one Pi-def)
also from n-less-k have  $\dots = (\bigoplus i \in \{..k\}. ?s i)$ 
  by (simp only: ivl-disj-un-one)
finally show ?thesis .
qed
qed
qed
also have  $\dots = \text{coeff } P (\text{monom } P \mathbf{1} n \otimes_P \text{monom } P \mathbf{1} 1) k$  by simp
finally show ?thesis .
qed
qed (simp-all)

```

lemma (*in UP-cring*) *monom-mult-smult*:

```

[| a ∈ carrier R; b ∈ carrier R |] ==> monom P (a ⊗ b) n = a ⊙P monom P
b n
by (rule up-eqI) simp-all

```

lemma (*in UP-cring*) *monom-one [simp]*:

```

monom P 1 0 = 1P
by (rule up-eqI) simp-all

```

lemma (*in UP-cring*) *monom-one-mult*:

```

monom P 1 (n + m) = monom P 1 n ⊗P monom P 1 m
proof (induct n)
  case 0 show ?case by simp
next
  case Suc then show ?case
    by (simp only: add-Suc monom-one-Suc) (simp add: P.m-ac)
qed

```

lemma (*in UP-cring*) *monom-mult [simp]*:

```

assumes R: a ∈ carrier R b ∈ carrier R
shows monom P (a ⊗ b) (n + m) = monom P a n ⊗P monom P b m
proof –
  from R have monom P (a ⊗ b) (n + m) = monom P (a ⊗ b ⊗ 1) (n + m)
by simp
  also from R have  $\dots = a \otimes b \odot_P \text{monom } P \mathbf{1} (n + m)$ 
    by (simp add: monom-mult-smult del: R.r-one)
  also have  $\dots = a \otimes b \odot_P (\text{monom } P \mathbf{1} n \otimes_P \text{monom } P \mathbf{1} m)$ 
    by (simp only: monom-one-mult)
  also from R have  $\dots = a \odot_P (b \odot_P (\text{monom } P \mathbf{1} n \otimes_P \text{monom } P \mathbf{1} m))$ 
    by (simp add: UP-smult-assoc1)

```

also from R have $\dots = a \odot_P (b \odot_P (\text{monom } P \ \mathbf{1} \ m \ \otimes_P \ \text{monom } P \ \mathbf{1} \ n))$
by (*simp add: P.m-comm*)
also from R have $\dots = a \odot_P ((b \odot_P \text{monom } P \ \mathbf{1} \ m) \otimes_P \text{monom } P \ \mathbf{1} \ n)$
by (*simp add: UP-smult-assoc2*)
also from R have $\dots = a \odot_P (\text{monom } P \ \mathbf{1} \ n \otimes_P (b \odot_P \text{monom } P \ \mathbf{1} \ m))$
by (*simp add: P.m-comm*)
also from R have $\dots = (a \odot_P \text{monom } P \ \mathbf{1} \ n) \otimes_P (b \odot_P \text{monom } P \ \mathbf{1} \ m)$
by (*simp add: UP-smult-assoc2*)
also from R have $\dots = \text{monom } P \ (a \otimes \mathbf{1}) \ n \otimes_P \text{monom } P \ (b \otimes \mathbf{1}) \ m$
by (*simp add: monom-mult-smult del: R.r-one*)
also from R have $\dots = \text{monom } P \ a \ n \otimes_P \text{monom } P \ b \ m$ **by** *simp*
finally show *?thesis* .
qed

lemma (in $UP\text{-cring}$) monom-a-inv [*simp*]:
 $a \in \text{carrier } R \implies \text{monom } P \ (\ominus a) \ n = \ominus_P \text{monom } P \ a \ n$
by (*rule up-eqI simp-all*)

lemma (in $UP\text{-cring}$) monom-inj:
 $\text{inj-on } (\%a. \text{monom } P \ a \ n) \ (\text{carrier } R)$
proof (*rule inj-onI*)

fix $x \ y$
assume $R: x \in \text{carrier } R \ y \in \text{carrier } R$ **and** $\text{eq: monom } P \ x \ n = \text{monom } P \ y \ n$
then have $\text{coeff } P \ (\text{monom } P \ x \ n) \ n = \text{coeff } P \ (\text{monom } P \ y \ n) \ n$ **by** *simp*
with R show $x = y$ **by** *simp*
qed

12.6 The degree function

constdefs (structure R)
 $\text{deg} :: [('a, 'm) \text{ring-scheme}, \text{nat} \implies 'a] \implies \text{nat}$
 $\text{deg } R \ p == \text{LEAST } n. \text{bound } \mathbf{0} \ n \ (\text{coeff } (UP \ R) \ p)$

lemma (in $UP\text{-cring}$) deg-aboveI:
 $[| (!m. n < m \implies \text{coeff } P \ p \ m = \mathbf{0}) ; p \in \text{carrier } P |] \implies \text{deg } R \ p \leq n$
by (*unfold deg-def P-def*) (*fast intro: Least-le*)

lemma (in $UP\text{-cring}$) deg-aboveD:
 $[| \text{deg } R \ p < m ; p \in \text{carrier } P |] \implies \text{coeff } P \ p \ m = \mathbf{0}$

proof –
assume $R: p \in \text{carrier } P$ **and** $\text{deg } R \ p < m$
from R obtain n **where** $\text{bound } \mathbf{0} \ n \ (\text{coeff } P \ p)$
by (*auto simp add: UP-def P-def*)
then have $\text{bound } \mathbf{0} \ (\text{deg } R \ p) \ (\text{coeff } P \ p)$
by (*auto simp: deg-def P-def dest: LeastI*)
then show *?thesis* ..
qed

lemma (in *UP-cring*) *deg-belowI*:
assumes *non-zero*: $n \sim = 0 \implies \text{coeff } P \ p \ n \sim = \mathbf{0}$
and *R*: $p \in \text{carrier } P$
shows $n \leq \text{deg } R \ p$
— Logically, this is a slightly stronger version of *deg-aboveD*
proof (*cases n=0*)
case *True* **then show** *?thesis* **by** *simp*
next
case *False* **then have** $\text{coeff } P \ p \ n \sim = \mathbf{0}$ **by** (*rule non-zero*)
then have $\sim \text{deg } R \ p < n$ **by** (*fast dest: deg-aboveD intro: R*)
then show *?thesis* **by** *arith*
qed

lemma (in *UP-cring*) *lcoeff-nonzero-deg*:
assumes *deg*: $\text{deg } R \ p \sim = 0$ **and** *R*: $p \in \text{carrier } P$
shows $\text{coeff } P \ p \ (\text{deg } R \ p) \sim = \mathbf{0}$
proof —
from *R* **obtain** *m* **where** $\text{deg } R \ p \leq m$ **and** *m-coeff*: $\text{coeff } P \ p \ m \sim = \mathbf{0}$
proof —
have *minus*: $!!(n::\text{nat}) \ m. \ n \sim = 0 \implies (n - \text{Suc } 0 < m) = (n \leq m)$
by *arith*

from *deg* **have** $\text{deg } R \ p - 1 < (\text{LEAST } n. \text{bound } \mathbf{0} \ n \ (\text{coeff } P \ p))$
by (*unfold deg-def P-def*) *arith*
then have $\sim \text{bound } \mathbf{0} \ (\text{deg } R \ p - 1) \ (\text{coeff } P \ p)$ **by** (*rule not-less-Least*)
then have *EX m. deg R p - 1 < m & coeff P p m ~ = 0*
by (*unfold bound-def*) *fast*
then have *EX m. deg R p <= m & coeff P p m ~ = 0* **by** (*simp add: deg minus*)
then show *?thesis* **by** *auto*
qed
with *deg-belowI R* **have** $\text{deg } R \ p = m$ **by** *fastsimp*
with *m-coeff* **show** *?thesis* **by** *simp*
qed

lemma (in *UP-cring*) *lcoeff-nonzero-nonzero*:
assumes *deg*: $\text{deg } R \ p = 0$ **and** *nonzero*: $p \sim = \mathbf{0}_P$ **and** *R*: $p \in \text{carrier } P$
shows $\text{coeff } P \ p \ 0 \sim = \mathbf{0}$
proof —
have *EX m. coeff P p m ~ = 0*
proof (*rule classical*)
assume $\sim ?thesis$
with *R* **have** $p = \mathbf{0}_P$ **by** (*auto intro: up-eqI*)
with *nonzero* **show** *?thesis* **by** *contradiction*
qed
then obtain *m* **where** $\text{coeff } P \ p \ m \sim = \mathbf{0} \ ..$
then have $m \leq \text{deg } R \ p$ **by** (*rule deg-belowI*)
then have $m = 0$ **by** (*simp add: deg*)

with *coeff* **show** *?thesis* **by** *simp*
qed

lemma (in *UP-cring*) *lcoeff-nonzero*:

assumes *neq*: $p \sim = \mathbf{0}_P$ **and** *R*: $p \in \text{carrier } P$

shows *coeff* *P* *p* (*deg* *R* *p*) $\sim = \mathbf{0}$

proof (*cases* *deg* *R* *p* = 0)

case *True* **with** *neq* *R* **show** *?thesis* **by** (*simp* *add*: *lcoeff-nonzero-nonzero*)

next

case *False* **with** *neq* *R* **show** *?thesis* **by** (*simp* *add*: *lcoeff-nonzero-deg*)

qed

lemma (in *UP-cring*) *deg-eqI*:

[| $!!m. n < m \implies \text{coeff } P \ p \ m = \mathbf{0}$;

$!!n. n \sim = 0 \implies \text{coeff } P \ p \ n \sim = \mathbf{0}; p \in \text{carrier } P$ |] $\implies \text{deg } R \ p = n$

by (*fast* *intro*: *le-anti-sym* *deg-aboveI* *deg-belowI*)

Degree and polynomial operations

lemma (in *UP-cring*) *deg-add* [*simp*]:

assumes *R*: $p \in \text{carrier } P \ q \in \text{carrier } P$

shows *deg* *R* ($p \oplus_P q$) $\leq \max (\text{deg } R \ p) (\text{deg } R \ q)$

proof (*cases* *deg* *R* *p* \leq *deg* *R* *q*)

case *True* **show** *?thesis*

by (*rule* *deg-aboveI*) (*simp-all* *add*: *True* *R* *deg-aboveD*)

next

case *False* **show** *?thesis*

by (*rule* *deg-aboveI*) (*simp-all* *add*: *False* *R* *deg-aboveD*)

qed

lemma (in *UP-cring*) *deg-monom-le*:

$a \in \text{carrier } R \implies \text{deg } R (\text{monom } P \ a \ n) \leq n$

by (*intro* *deg-aboveI*) *simp-all*

lemma (in *UP-cring*) *deg-monom* [*simp*]:

[| $a \sim = \mathbf{0}$; $a \in \text{carrier } R$ |] $\implies \text{deg } R (\text{monom } P \ a \ n) = n$

by (*fastsimp* *intro*: *le-anti-sym* *deg-aboveI* *deg-belowI*)

lemma (in *UP-cring*) *deg-const* [*simp*]:

assumes *R*: $a \in \text{carrier } R$ **shows** *deg* *R* (*monom* *P* *a* 0) = 0

proof (*rule* *le-anti-sym*)

show *deg* *R* (*monom* *P* *a* 0) ≤ 0 **by** (*rule* *deg-aboveI*) (*simp-all* *add*: *R*)

next

show 0 \leq *deg* *R* (*monom* *P* *a* 0) **by** (*rule* *deg-belowI*) (*simp-all* *add*: *R*)

qed

lemma (in *UP-cring*) *deg-zero* [*simp*]:

deg *R* $\mathbf{0}_P = 0$

proof (*rule* *le-anti-sym*)

show *deg* *R* $\mathbf{0}_P \leq 0$ **by** (*rule* *deg-aboveI*) *simp-all*

```

next
  show  $0 \leq \deg R \mathbf{0}_P$  by (rule deg-belowI) simp-all
qed

lemma (in UP-cring) deg-one [simp]:
   $\deg R \mathbf{1}_P = 0$ 
proof (rule le-anti-sym)
  show  $\deg R \mathbf{1}_P \leq 0$  by (rule deg-aboveI) simp-all
next
  show  $0 \leq \deg R \mathbf{1}_P$  by (rule deg-belowI) simp-all
qed

lemma (in UP-cring) deg-uminus [simp]:
  assumes  $R: p \in \text{carrier } P$  shows  $\deg R (\ominus_P p) = \deg R p$ 
proof (rule le-anti-sym)
  show  $\deg R (\ominus_P p) \leq \deg R p$  by (simp add: deg-aboveI deg-aboveD R)
next
  show  $\deg R p \leq \deg R (\ominus_P p)$ 
  by (simp add: deg-belowI lcoeff-nonzero-deg
    inj-on-iff [OF R.a-inv-inj, of -  $\mathbf{0}$ , simplified] R)
qed

lemma (in UP-domain) deg-smult-ring:
  [|  $a \in \text{carrier } R; p \in \text{carrier } P$  |] ==>
   $\deg R (a \odot_P p) \leq (\text{if } a = \mathbf{0} \text{ then } 0 \text{ else } \deg R p)$ 
  by (cases  $a = \mathbf{0}$ ) (simp add: deg-aboveI deg-aboveD)+

lemma (in UP-domain) deg-smult [simp]:
  assumes  $R: a \in \text{carrier } R \ p \in \text{carrier } P$ 
  shows  $\deg R (a \odot_P p) = (\text{if } a = \mathbf{0} \text{ then } 0 \text{ else } \deg R p)$ 
proof (rule le-anti-sym)
  show  $\deg R (a \odot_P p) \leq (\text{if } a = \mathbf{0} \text{ then } 0 \text{ else } \deg R p)$ 
  by (rule deg-smult-ring)
next
  show  $(\text{if } a = \mathbf{0} \text{ then } 0 \text{ else } \deg R p) \leq \deg R (a \odot_P p)$ 
  proof (cases  $a = \mathbf{0}$ )
  qed (simp, simp add: deg-belowI lcoeff-nonzero-deg integral-iff R)
qed

lemma (in UP-cring) deg-mult-cring:
  assumes  $R: p \in \text{carrier } P \ q \in \text{carrier } P$ 
  shows  $\deg R (p \otimes_P q) \leq \deg R p + \deg R q$ 
proof (rule deg-aboveI)
  fix  $m$ 
  assume boundm:  $\deg R p + \deg R q < m$ 
  {
    fix  $k \ i$ 
    assume boundk:  $\deg R p + \deg R q < k$ 
    then have  $\text{coeff } P \ p \ i \otimes \text{coeff } P \ q \ (k - i) = \mathbf{0}$ 
  }

```

```

proof (cases deg R p < i)
  case True then show ?thesis by (simp add: deg-aboveD R)
next
  case False with boundk have deg R q < k - i by arith
  then show ?thesis by (simp add: deg-aboveD R)
qed
}
with boundm R show coeff P (p ⊗P q) m = 0 by simp
qed (simp add: R)

lemma (in UP-domain) deg-mult [simp]:
  [| p ~ = 0P; q ~ = 0P; p ∈ carrier P; q ∈ carrier P |] ==>
  deg R (p ⊗P q) = deg R p + deg R q
proof (rule le-anti-sym)
  assume p ∈ carrier P q ∈ carrier P
  show deg R (p ⊗P q) <= deg R p + deg R q by (rule deg-mult-cring)
next
  let ?s = (%i. coeff P p i ⊗ coeff P q (deg R p + deg R q - i))
  assume R: p ∈ carrier P q ∈ carrier P and nz: p ~ = 0P q ~ = 0P
  have less-add-diff: !(k::nat) n m. k < n ==> m < n + m - k by arith
  show deg R p + deg R q <= deg R (p ⊗P q)
  proof (rule deg-belowI, simp add: R)
    have (⊕ i ∈ {.. deg R p + deg R q}. ?s i)
      = (⊕ i ∈ {.. < deg R p} ∪ {deg R p .. deg R p + deg R q}. ?s i)
    by (simp only: ivl-disj-un-one)
    also have ... = (⊕ i ∈ {deg R p .. deg R p + deg R q}. ?s i)
    by (simp cong: R.finsum-cong add: R.finsum-Un-disjoint ivl-disj-int-one
      deg-aboveD less-add-diff R Pi-def)
    also have ... = (⊕ i ∈ {deg R p} ∪ {deg R p <.. deg R p + deg R q}. ?s i)
    by (simp only: ivl-disj-un-singleton)
    also have ... = coeff P p (deg R p) ⊗ coeff P q (deg R q)
    by (simp cong: R.finsum-cong
      add: ivl-disj-int-singleton deg-aboveD R Pi-def)
    finally have (⊕ i ∈ {.. deg R p + deg R q}. ?s i)
      = coeff P p (deg R p) ⊗ coeff P q (deg R q) .
    with nz show (⊕ i ∈ {.. deg R p + deg R q}. ?s i) ~ = 0
    by (simp add: integral-iff lcoeff-nonzero R)
  qed (simp add: R)
qed

```

```

lemma (in UP-cring) coeff-finsum:
  assumes fin: finite A
  shows p ∈ A -> carrier P ==>
    coeff P (finsum P p A) k = (⊕ i ∈ A. coeff P (p i) k)
  using fin by induct (auto simp: Pi-def)

```

```

lemma (in UP-cring) up-repr:
  assumes R: p ∈ carrier P
  shows (⊕P i ∈ {..deg R p}. monom P (coeff P p i) i) = p

```

proof (rule up-eqI)
let ?s = (%i. monom P (coeff P p i) i)
fix k
from R **have** RR: !!i. (if i = k then coeff P p i else 0) ∈ carrier R
by simp
show coeff P (⊕_P i ∈ {..deg R p}. ?s i) k = coeff P p k
proof (cases k <= deg R p)
case True
hence coeff P (⊕_P i ∈ {..deg R p}. ?s i) k =
coeff P (⊕_P i ∈ {..k} ∪ {k<..deg R p}. ?s i) k
by (simp only: ivl-disj-un-one)
also from True
have ... = coeff P (⊕_P i ∈ {..k}. ?s i) k
by (simp cong: R.finsum-cong add: R.finsum-Un-disjoint
ivl-disj-int-one order-less-imp-not-eq2 coeff-finsum R RR Pi-def)
also
have ... = coeff P (⊕_P i ∈ {..<k} ∪ {k}. ?s i) k
by (simp only: ivl-disj-un-singleton)
also have ... = coeff P p k
by (simp cong: R.finsum-cong
add: ivl-disj-int-singleton coeff-finsum deg-aboveD R RR Pi-def)
finally show ?thesis .
next
case False
hence coeff P (⊕_P i ∈ {..deg R p}. ?s i) k =
coeff P (⊕_P i ∈ {..<deg R p} ∪ {deg R p}. ?s i) k
by (simp only: ivl-disj-un-singleton)
also from False **have** ... = coeff P p k
by (simp cong: R.finsum-cong
add: ivl-disj-int-singleton coeff-finsum deg-aboveD R Pi-def)
finally show ?thesis .
qed
qed (simp-all add: R Pi-def)

lemma (in UP-cring) up-repr-le:
[| deg R p <= n; p ∈ carrier P |] ==>
(⊕_P i ∈ {..n}. monom P (coeff P p i) i) = p
proof –
let ?s = (%i. monom P (coeff P p i) i)
assume R: p ∈ carrier P **and** deg R p <= n
then have finsum P ?s {..n} = finsum P ?s ({..deg R p} ∪ {deg R p<..n})
by (simp only: ivl-disj-un-one)
also have ... = finsum P ?s {..deg R p}
by (simp cong: P.finsum-cong add: P.finsum-Un-disjoint ivl-disj-int-one
deg-aboveD R Pi-def)
also have ... = p **by** (rule up-repr)
finally show ?thesis .
qed

12.7 Polynomials over an integral domain form an integral domain

lemma *domainI*:

assumes *cring*: *cring* R
 and *one-not-zero*: $\text{one } R \sim = \text{zero } R$
 and *integral*: $\llbracket a \ b. \llbracket \text{mult } R \ a \ b = \text{zero } R; \ a \in \text{carrier } R; \ b \in \text{carrier } R \rrbracket \implies a = \text{zero } R \mid b = \text{zero } R$
 shows *domain* R
 by (*auto intro!*: *domain.intro domain-axioms.intro cring.axioms prems del: disjCI*)

lemma (in *UP-domain*) *UP-one-not-zero*:

$\mathbf{1}_P \sim = \mathbf{0}_P$
 proof
 assume $\mathbf{1}_P = \mathbf{0}_P$
 hence *coeff* $P \ \mathbf{1}_P \ 0 = (\text{coeff } P \ \mathbf{0}_P \ 0)$ by *simp*
 hence $\mathbf{1} = \mathbf{0}$ by *simp*
 with *one-not-zero* show *False* by *contradiction*
 qed

lemma (in *UP-domain*) *UP-integral*:

$\llbracket p \otimes_P q = \mathbf{0}_P; \ p \in \text{carrier } P; \ q \in \text{carrier } P \rrbracket \implies p = \mathbf{0}_P \mid q = \mathbf{0}_P$
 proof –

fix $p \ q$
 assume *pq*: $p \otimes_P q = \mathbf{0}_P$ and *R*: $p \in \text{carrier } P \ q \in \text{carrier } P$
 show $p = \mathbf{0}_P \mid q = \mathbf{0}_P$
 proof (*rule classical*)
 assume *c*: $\sim (p = \mathbf{0}_P \mid q = \mathbf{0}_P)$
 with *R* have *deg* $R \ p + \text{deg } R \ q = \text{deg } R \ (p \otimes_P q)$ by *simp*
 also from *pq* have $\dots = 0$ by *simp*
 finally have *deg* $R \ p + \text{deg } R \ q = 0$.
 then have *f1*: *deg* $R \ p = 0 \ \& \ \text{deg } R \ q = 0$ by *simp*
 from *f1* *R* have $p = (\bigoplus_P i \in \{..0\}. \text{monom } P \ (\text{coeff } P \ p \ i) \ i)$
 by (*simp only: up-repr-le*)
 also from *R* have $\dots = \text{monom } P \ (\text{coeff } P \ p \ 0) \ 0$ by *simp*
 finally have *p*: $p = \text{monom } P \ (\text{coeff } P \ p \ 0) \ 0$.
 from *f1* *R* have $q = (\bigoplus_P i \in \{..0\}. \text{monom } P \ (\text{coeff } P \ q \ i) \ i)$
 by (*simp only: up-repr-le*)
 also from *R* have $\dots = \text{monom } P \ (\text{coeff } P \ q \ 0) \ 0$ by *simp*
 finally have *q*: $q = \text{monom } P \ (\text{coeff } P \ q \ 0) \ 0$.
 from *R* have *coeff* $P \ p \ 0 \otimes \text{coeff } P \ q \ 0 = \text{coeff } P \ (p \otimes_P q) \ 0$ by *simp*
 also from *pq* have $\dots = \mathbf{0}$ by *simp*
 finally have *coeff* $P \ p \ 0 \otimes \text{coeff } P \ q \ 0 = \mathbf{0}$.
 with *R* have *coeff* $P \ p \ 0 = \mathbf{0} \mid \text{coeff } P \ q \ 0 = \mathbf{0}$
 by (*simp add: R.integral-iff*)
 with *p q* show $p = \mathbf{0}_P \mid q = \mathbf{0}_P$ by *fastsimp*
 qed
 qed

theorem (in *UP-domain*) *UP-domain*:

domain P

by (*auto intro!*: *domainI UP-cring UP-one-not-zero UP-integral del: disjCI*)

Interpretation of theorems from *domain*.

interpretation *UP-domain < domain P*

using *UP-domain*

by (*rule domain.axioms*)

12.8 Evaluation Homomorphism and Universal Property

theorem (in *cring*) *diagonal-sum*:

$\llbracket f \in \{..n + m::nat\} \rightarrow carrier R; g \in \{..n + m\} \rightarrow carrier R \rrbracket ==>$

$(\bigoplus k \in \{..n + m\}. \bigoplus i \in \{..k\}. f i \otimes g (k - i)) =$

$(\bigoplus k \in \{..n + m\}. \bigoplus i \in \{..n + m - k\}. f k \otimes g i)$

proof –

assume *Rf*: $f \in \{..n + m\} \rightarrow carrier R$ **and** *Rg*: $g \in \{..n + m\} \rightarrow carrier R$

{

fix *j*

have $j \leq n + m ==>$

$(\bigoplus k \in \{..j\}. \bigoplus i \in \{..k\}. f i \otimes g (k - i)) =$

$(\bigoplus k \in \{..j\}. \bigoplus i \in \{..j - k\}. f k \otimes g i)$

proof (*induct j*)

case 0 from *Rf Rg show ?case by* (*simp add: Pi-def*)

next

case (*Suc j*)

have *R6*: $\forall i k. \llbracket k \leq j; i \leq Suc j - k \rrbracket ==> g i \in carrier R$

using *Suc by* (*auto intro!*: *funcset-mem [OF Rg]*) *arith*

have *R8*: $\forall i k. \llbracket k \leq Suc j; i \leq k \rrbracket ==> g (k - i) \in carrier R$

using *Suc by* (*auto intro!*: *funcset-mem [OF Rg]*) *arith*

have *R9*: $\forall i k. \llbracket k \leq Suc j \rrbracket ==> f k \in carrier R$

using *Suc by* (*auto intro!*: *funcset-mem [OF Rf]*)

have *R10*: $\forall i k. \llbracket k \leq Suc j; i \leq Suc j - k \rrbracket ==> g i \in carrier R$

using *Suc by* (*auto intro!*: *funcset-mem [OF Rg]*) *arith*

have *R11*: $g 0 \in carrier R$

using *Suc by* (*auto intro!*: *funcset-mem [OF Rg]*)

from *Suc show ?case*

by (*simp cong: finsum-cong add: Suc-diff-le a-ac*

Pi-def R6 R8 R9 R10 R11)

qed

}

then show *?thesis by fast*

qed

lemma (in *abelian-monoid*) *boundD-carrier*:

$\llbracket bound \mathbf{0} n f; n < m \rrbracket ==> f m \in carrier G$

by *auto*

theorem (in *cring*) *cauchy-product*:

assumes bf : bound $\mathbf{0}$ n f **and** bg : bound $\mathbf{0}$ m g
and Rf : $f \in \{..n\} \rightarrow \text{carrier } R$ **and** Rg : $g \in \{..m\} \rightarrow \text{carrier } R$
shows $(\bigoplus k \in \{..n+m\}. \bigoplus i \in \{..k\}. f i \otimes g (k-i)) =$
 $(\bigoplus i \in \{..n\}. f i) \otimes (\bigoplus i \in \{..m\}. g i)$
proof –
have f : !! x . $f x \in \text{carrier } R$
proof –
fix x
show $f x \in \text{carrier } R$
using Rf bf boundD-carrier **by** (cases $x \leq n$) (auto simp: Pi-def)
qed
have g : !! x . $g x \in \text{carrier } R$
proof –
fix x
show $g x \in \text{carrier } R$
using Rg bg boundD-carrier **by** (cases $x \leq m$) (auto simp: Pi-def)
qed
from $f g$ **have** $(\bigoplus k \in \{..n+m\}. \bigoplus i \in \{..k\}. f i \otimes g (k-i)) =$
 $(\bigoplus k \in \{..n+m\}. \bigoplus i \in \{..n+m-k\}. f k \otimes g i)$
by (simp add: diagonal-sum Pi-def)
also have ... = $(\bigoplus k \in \{..n\} \cup \{n < ..n+m\}. \bigoplus i \in \{..n+m-k\}. f k \otimes g i)$
by (simp only: ivl-disj-un-one)
also from $f g$ **have** ... = $(\bigoplus k \in \{..n\}. \bigoplus i \in \{..n+m-k\}. f k \otimes g i)$
by (simp cong: finsum-cong
add: bound.bound [OF bf] finsum-Un-disjoint ivl-disj-int-one Pi-def)
also from $f g$
have ... = $(\bigoplus k \in \{..n\}. \bigoplus i \in \{..m\} \cup \{m < ..n+m-k\}. f k \otimes g i)$
by (simp cong: finsum-cong add: ivl-disj-un-one le-add-diff Pi-def)
also from $f g$ **have** ... = $(\bigoplus k \in \{..n\}. \bigoplus i \in \{..m\}. f k \otimes g i)$
by (simp cong: finsum-cong
add: bound.bound [OF bg] finsum-Un-disjoint ivl-disj-int-one Pi-def)
also from $f g$ **have** ... = $(\bigoplus i \in \{..n\}. f i) \otimes (\bigoplus i \in \{..m\}. g i)$
by (simp add: finsum-ldistr diagonal-sum Pi-def,
simp cong: finsum-cong add: finsum-ldistr Pi-def)
finally show ?thesis .
qed

lemma (in UP-cring) const-ring-hom:

(% a . monom P a 0) \in ring-hom R P

by (auto intro!: ring-hom-memI intro: up-eqI simp: monom-mult-is-smult)

constdefs (structure S)

$eval$:: [($'a$, $'m$) ring-scheme, ($'b$, $'n$) ring-scheme,

$'a \Rightarrow 'b$, $'b$, nat $\Rightarrow 'a$] $\Rightarrow 'b$

$eval$ R S phi s == $\lambda p \in \text{carrier } (UP R).$

$\bigoplus i \in \{..deg R p\}. phi$ (coeff $(UP R)$ p i) $\otimes s$ (\wedge) i

lemma (in UP) eval-on-carrier:

includes *struct S*
shows $p \in \text{carrier } P \implies$
 $\text{eval } R \ S \ \text{phi } s \ p = (\bigoplus_S i \in \{..deg \ R \ p\}. \ \text{phi } (\text{coeff } P \ p \ i) \otimes_S s \ (\hat{\ })_S \ i)$
by (*unfold eval-def, fold P-def*) *simp*

lemma (*in UP*) *eval-extensional*:
 $\text{eval } R \ S \ \text{phi } p \in \text{extensional } (\text{carrier } P)$
by (*unfold eval-def, fold P-def*) *simp*

The universal property of the polynomial ring

locale *UP-pre-univ-prop = ring-hom-cring R S h + UP-cring R P*

locale *UP-univ-prop = UP-pre-univ-prop + var s + var Eval +*
assumes *indet-img-carrier [simp, intro]: s \in carrier S*
defines *Eval-def: Eval == eval R S h s*

theorem (*in UP-pre-univ-prop*) *eval-ring-hom*:
assumes *S: s \in carrier S*
shows $\text{eval } R \ S \ h \ s \in \text{ring-hom } P \ S$
proof (*rule ring-hom-memI*)
fix *p*
assume *R: p \in carrier P*
then show $\text{eval } R \ S \ h \ s \ p \in \text{carrier } S$
by (*simp only: eval-on-carrier*) (*simp add: S Pi-def*)

next

fix *p q*
assume *R: p \in carrier P q \in carrier P*
then show $\text{eval } R \ S \ h \ s \ (p \otimes_P q) = \text{eval } R \ S \ h \ s \ p \otimes_S \text{eval } R \ S \ h \ s \ q$
proof (*simp only: eval-on-carrier UP-mult-closed*)
from *R S* **have**
 $(\bigoplus_S i \in \{..deg \ R \ (p \otimes_P q)\}. \ h \ (\text{coeff } P \ (p \otimes_P q) \ i) \otimes_S s \ (\hat{\ })_S \ i) =$
 $(\bigoplus_S i \in \{..deg \ R \ (p \otimes_P q)\} \cup \{deg \ R \ (p \otimes_P q) < ..deg \ R \ p + deg \ R \ q\}. \ h \ (\text{coeff } P \ (p \otimes_P q) \ i) \otimes_S s \ (\hat{\ })_S \ i)$
by (*simp cong: S.finsum-cong*)
add: deg-aboveD S.finsum-Un-disjoint ivl-disj-int-one Pi-def
del: coeff-mult)

also from *R* **have** ... =

$(\bigoplus_S i \in \{..deg \ R \ p + deg \ R \ q\}. \ h \ (\text{coeff } P \ (p \otimes_P q) \ i) \otimes_S s \ (\hat{\ })_S \ i)$
by (*simp only: ivl-disj-un-one deg-mult-cring*)

also from *R S* **have** ... =

$(\bigoplus_S i \in \{..deg \ R \ p + deg \ R \ q\}. \ \bigoplus_S k \in \{..i\}. \ h \ (\text{coeff } P \ p \ k) \otimes_S h \ (\text{coeff } P \ q \ (i - k)) \otimes_S (s \ (\hat{\ })_S \ k \otimes_S s \ (\hat{\ })_S \ (i - k)))$

by (*simp cong: S.finsum-cong add: S.nat-pow-mult Pi-def*)
S.m-ac S.finsum-rdistr)

also from *R S* **have** ... =

$(\bigoplus_S i \in \{..deg \ R \ p\}. \ h \ (\text{coeff } P \ p \ i) \otimes_S s \ (\hat{\ })_S \ i) \otimes_S$
 $(\bigoplus_S i \in \{..deg \ R \ q\}. \ h \ (\text{coeff } P \ q \ i) \otimes_S s \ (\hat{\ })_S \ i)$

by (*simp add: S.cauchy-product [THEN sym] bound.intro deg-aboveD S.m-ac Pi-def*)
finally show

$$\begin{aligned} & (\bigoplus_S i \in \{..deg R (p \oplus_P q)\}. h (coeff P (p \oplus_P q) i) \otimes_S s (\wedge)_S i) = \\ & (\bigoplus_S i \in \{..deg R p\}. h (coeff P p i) \otimes_S s (\wedge)_S i) \otimes_S \\ & (\bigoplus_S i \in \{..deg R q\}. h (coeff P q i) \otimes_S s (\wedge)_S i) . \end{aligned}$$
qed
next
fix $p q$
assume $R: p \in carrier P q \in carrier P$
then show $eval R S h s (p \oplus_P q) = eval R S h s p \oplus_S eval R S h s q$
proof (*simp only: eval-on-carrier P.a-closed*)
from $S R$ **have**

$$\begin{aligned} & (\bigoplus_S i \in \{..deg R (p \oplus_P q)\}. h (coeff P (p \oplus_P q) i) \otimes_S s (\wedge)_S i) = \\ & (\bigoplus_S i \in \{..deg R (p \oplus_P q)\} \cup \{deg R (p \oplus_P q) <..max (deg R p) (deg R q)\}. \\ & \quad h (coeff P (p \oplus_P q) i) \otimes_S s (\wedge)_S i) \end{aligned}$$
by (*simp cong: S.finsum-cong add: deg-aboveD S.finsum-Un-disjoint ivl-disj-int-one Pi-def del: coeff-add*)
also from R **have** $... =$

$$\begin{aligned} & (\bigoplus_S i \in \{..max (deg R p) (deg R q)\}. \\ & \quad h (coeff P (p \oplus_P q) i) \otimes_S s (\wedge)_S i) \end{aligned}$$
by (*simp add: ivl-disj-un-one*)
also from $R S$ **have** $... =$

$$\begin{aligned} & (\bigoplus_{S i \in \{..max (deg R p) (deg R q)\}. h (coeff P p i) \otimes_S s (\wedge)_S i} \oplus_S \\ & (\bigoplus_{S i \in \{..max (deg R p) (deg R q)\}. h (coeff P q i) \otimes_S s (\wedge)_S i} \oplus_S) \end{aligned}$$
by (*simp cong: S.finsum-cong add: S.l-distr deg-aboveD ivl-disj-int-one Pi-def*)
also have $... =$

$$\begin{aligned} & (\bigoplus_S i \in \{..deg R p\} \cup \{deg R p <..max (deg R p) (deg R q)\}. \\ & \quad h (coeff P p i) \otimes_S s (\wedge)_S i) \oplus_S \\ & (\bigoplus_S i \in \{..deg R q\} \cup \{deg R q <..max (deg R p) (deg R q)\}. \\ & \quad h (coeff P q i) \otimes_S s (\wedge)_S i) \end{aligned}$$
by (*simp only: ivl-disj-un-one le-maxI1 le-maxI2*)
also from $R S$ **have** $... =$

$$\begin{aligned} & (\bigoplus_S i \in \{..deg R p\}. h (coeff P p i) \otimes_S s (\wedge)_S i) \oplus_S \\ & (\bigoplus_S i \in \{..deg R q\}. h (coeff P q i) \otimes_S s (\wedge)_S i) \end{aligned}$$
by (*simp cong: S.finsum-cong add: deg-aboveD S.finsum-Un-disjoint ivl-disj-int-one Pi-def*)
finally show

$$\begin{aligned} & (\bigoplus_{S i \in \{..deg R (p \oplus_P q)\}. h (coeff P (p \oplus_P q) i) \otimes_S s (\wedge)_S i} \oplus_S \\ & (\bigoplus_{S i \in \{..deg R p\}. h (coeff P p i) \otimes_S s (\wedge)_S i} \oplus_S) \\ & (\bigoplus_{S i \in \{..deg R q\}. h (coeff P q i) \otimes_S s (\wedge)_S i} \oplus_S) . \end{aligned}$$
qed
next
show $eval R S h s \mathbf{1}_P = \mathbf{1}_S$
by (*simp only: eval-on-carrier UP-one-closed simp*)
qed

Interpretation of ring homomorphism lemmas.

interpretation *UP-univ-prop* < *ring-hom-cring P S Eval*
by (*unfold Eval-def*)
 (*fast intro!*: *ring-hom-cring.intro UP-cring cring.axioms prems*
intro: ring-hom-cring-axioms.intro eval-ring-hom)

Further properties of the evaluation homomorphism.

lemma (**in** *UP-pre-univ-prop*) *eval-const*:
 $[[s \in \text{carrier } S; r \in \text{carrier } R]] \implies \text{eval } R \ S \ h \ s \ (\text{monom } P \ r \ 0) = h \ r$
by (*simp only: eval-on-carrier monom-closed*) *simp*

The following proof is complicated by the fact that in arbitrary rings one might have $\mathbf{1}_R = \mathbf{0}_R$.

lemma (**in** *UP-pre-univ-prop*) *eval-monom1*:
assumes *S*: $s \in \text{carrier } S$
shows $\text{eval } R \ S \ h \ s \ (\text{monom } P \ \mathbf{1} \ 1) = s$
proof (*simp only: eval-on-carrier monom-closed R.one-closed*)
from *S* **have**
 $(\bigoplus_S i \in \{.. \text{deg } R \ (\text{monom } P \ \mathbf{1} \ 1)\}. h \ (\text{coeff } P \ (\text{monom } P \ \mathbf{1} \ 1) \ i) \otimes_S s \ (\wedge)_S i)$
 $=$
 $(\bigoplus_S i \in \{.. \text{deg } R \ (\text{monom } P \ \mathbf{1} \ 1)\} \cup \{\text{deg } R \ (\text{monom } P \ \mathbf{1} \ 1) < .. 1\}. h \ (\text{coeff } P \ (\text{monom } P \ \mathbf{1} \ 1) \ i) \otimes_S s \ (\wedge)_S i)$
by (*simp cong: S.finsum-cong del: coeff-monom*
add: deg-aboveD S.finsum-Un-disjoint ivl-disj-int-one Pi-def)
also have ... =
 $(\bigoplus_S i \in \{.. 1\}. h \ (\text{coeff } P \ (\text{monom } P \ \mathbf{1} \ 1) \ i) \otimes_S s \ (\wedge)_S i)$
by (*simp only: ivl-disj-un-one deg-monom-le R.one-closed*)
also have ... = *s*
proof (*cases s = 0_S*)
case *True* **then show** *?thesis* **by** (*simp add: Pi-def*)
next
case *False* **then show** *?thesis* **by** (*simp add: S Pi-def*)
qed
finally show $(\bigoplus_S i \in \{.. \text{deg } R \ (\text{monom } P \ \mathbf{1} \ 1)\}. h \ (\text{coeff } P \ (\text{monom } P \ \mathbf{1} \ 1) \ i) \otimes_S s \ (\wedge)_S i) = s$.
qed

lemma (**in** *UP-cring*) *monom-pow*:
assumes *R*: $a \in \text{carrier } R$
shows $(\text{monom } P \ a \ n) \ (\wedge)_P m = \text{monom } P \ (a \ (\wedge) \ m) \ (n * m)$
proof (*induct m*)
case *0* **from** *R* **show** *?case* **by** *simp*
next
case *Suc* **with** *R* **show** *?case*
by (*simp del: monom-mult add: monom-mult [THEN sym] add-commute*)
qed

lemma (**in** *ring-hom-cring*) *hom-pow* [*simp*]:
 $x \in \text{carrier } R \implies h \ (x \ (\wedge) \ n) = h \ x \ (\wedge)_S \ (n::\text{nat})$
by (*induct n*) *simp-all*

lemma (in *UP-univ-prop*) *Eval-monom*:
 $r \in \text{carrier } R \implies \text{Eval } (\text{monom } P \ r \ n) = h \ r \otimes_S s \ (\wedge)_S \ n$
proof –
 assume $R: r \in \text{carrier } R$
 from R have $\text{Eval } (\text{monom } P \ r \ n) = \text{Eval } (\text{monom } P \ r \ 0 \otimes_P (\text{monom } P \ \mathbf{1} \ 1) \ (\wedge)_P \ n)$
 by (*simp del: monom-mult add: monom-mult [THEN sym] monom-pow*)
 also
 from R *eval-monom1* [where $s = s$, *folded Eval-def*]
 have $\dots = h \ r \otimes_S s \ (\wedge)_S \ n$
 by (*simp add: eval-const [where $s = s$, folded Eval-def]*)
 finally show *?thesis* .
qed

lemma (in *UP-pre-univ-prop*) *eval-monom*:
 assumes $R: r \in \text{carrier } R$ and $S: s \in \text{carrier } S$
 shows $\text{eval } R \ S \ h \ s \ (\text{monom } P \ r \ n) = h \ r \otimes_S s \ (\wedge)_S \ n$
proof –
 from S interpret *UP-univ-prop* [$R \ S \ h \ P \ s \ -$]
 by (*auto intro!: UP-univ-prop-axioms.intro*)
 from R
 show *?thesis* by (*rule Eval-monom*)
qed

lemma (in *UP-univ-prop*) *Eval-smult*:
 $[[r \in \text{carrier } R; p \in \text{carrier } P]] \implies \text{Eval } (r \odot_P p) = h \ r \otimes_S \text{Eval } p$
proof –
 assume $R: r \in \text{carrier } R$ and $P: p \in \text{carrier } P$
 then show *?thesis*
 by (*simp add: monom-mult-is-smult [THEN sym] eval-const [where $s = s$, folded Eval-def]*)
qed

lemma *ring-hom-cringI*:
 assumes *cring* R
 and *cring* S
 and $h \in \text{ring-hom } R \ S$
 shows *ring-hom-cring* $R \ S \ h$
 by (*fast intro: ring-hom-cring.intro ring-hom-cring-axioms.intro cring.axioms prems*)

lemma (in *UP-pre-univ-prop*) *UP-hom-unique*:
 includes *ring-hom-cring* $P \ S \ \text{Phi}$
 assumes $\text{Phi}: \text{Phi } (\text{monom } P \ \mathbf{1} \ (\text{Suc } 0)) = s$
 !! $r. r \in \text{carrier } R \implies \text{Phi } (\text{monom } P \ r \ 0) = h \ r$
 includes *ring-hom-cring* $P \ S \ \text{Psi}$
 assumes $\text{Psi}: \text{Psi } (\text{monom } P \ \mathbf{1} \ (\text{Suc } 0)) = s$
 !! $r. r \in \text{carrier } R \implies \text{Psi } (\text{monom } P \ r \ 0) = h \ r$

```

and  $P: p \in \text{carrier } P$  and  $S: s \in \text{carrier } S$ 
shows  $\text{Phi } p = \text{Psi } p$ 
proof –
  have  $\text{Phi } p =$ 
     $\text{Phi } (\bigoplus_P i \in \{..deg R p\}. \text{monom } P (\text{coeff } P p i) 0 \otimes_P \text{monom } P \mathbf{1} 1 (\wedge)_P$ 
   $i)$ 
  by (simp add: up-repr P monom-mult [THEN sym] monom-pow del: monom-mult)
  also
  have ... =
     $\text{Psi } (\bigoplus_P i \in \{..deg R p\}. \text{monom } P (\text{coeff } P p i) 0 \otimes_P \text{monom } P \mathbf{1} 1 (\wedge)_P i)$ 
  by (simp add: Phi Psi P Pi-def comp-def)
  also have ... =  $\text{Psi } p$ 
  by (simp add: up-repr P monom-mult [THEN sym] monom-pow del: monom-mult)
  finally show ?thesis .
qed

```

```

lemma (in UP-pre-univ-prop) ring-homD:
  assumes  $\text{Phi}: \text{Phi} \in \text{ring-hom } P S$ 
  shows ring-hom-cring  $P S \text{Phi}$ 
proof (rule ring-hom-cring.intro)
  show ring-hom-cring-axioms  $P S \text{Phi}$ 
  by (rule ring-hom-cring-axioms.intro) (rule Phi)
qed (auto intro: P.cring cring.axioms)

```

```

theorem (in UP-pre-univ-prop) UP-universal-property:
  assumes  $S: s \in \text{carrier } S$ 
  shows  $\text{EX! } \text{Phi}. \text{Phi} \in \text{ring-hom } P S \cap \text{extensional } (\text{carrier } P) \ \&$ 
   $\text{Phi } (\text{monom } P \mathbf{1} 1) = s \ \&$ 
   $(\text{ALL } r : \text{carrier } R. \text{Phi } (\text{monom } P r 0) = h r)$ 
  using  $S \text{eval-monom1}$ 
  apply (auto intro: eval-ring-hom eval-const eval-extensional)
  apply (rule extensionalityI)
  apply (auto intro: UP-hom-unique ring-homD)
  done

```

12.9 Sample application of evaluation homomorphism

```

lemma UP-pre-univ-propI:
  assumes cring  $R$ 
  and cring  $S$ 
  and  $h \in \text{ring-hom } R S$ 
  shows UP-pre-univ-prop  $R S h$ 
  by (fast intro: UP-pre-univ-prop.intro ring-hom-cring-axioms.intro
  cring.axioms prems)

```

```

constdefs
   $\text{INTEG} :: \text{int ring}$ 
   $\text{INTEG} == (| \text{carrier} = \text{UNIV}, \text{mult} = \text{op } *, \text{one} = 1, \text{zero} = 0, \text{add} = \text{op } +$ 
   $|)$ 

```

```

lemma INTEG-cring:
  cring INTEG
  by (unfold INTEG-def) (auto intro!: cringI abelian-groupI comm-monoidI
    zadd-zminus-inverse2 zadd-zmult-distrib)

```

```

lemma INTEG-id-eval:
  UP-pre-univ-prop INTEG INTEG id
  by (fast intro: UP-pre-univ-propI INTEG-cring id-ring-hom)

```

Interpretation now enables to import all theorems and lemmas valid in the context of homomorphisms between *INTEG* and *UP INTEG* globally.

```

interpretation INTEG: UP-pre-univ-prop [INTEG INTEG id]
  using INTEG-id-eval
  by - (erule UP-pre-univ-prop.axioms)+

```

```

lemma INTEG-closed [intro, simp]:
  z ∈ carrier INTEG
  by (unfold INTEG-def) simp

```

```

lemma INTEG-mult [simp]:
  mult INTEG z w = z * w
  by (unfold INTEG-def) simp

```

```

lemma INTEG-pow [simp]:
  pow INTEG z n = z ^ n
  by (induct n) (simp-all add: INTEG-def nat-pow-def)

```

```

lemma eval INTEG INTEG id 10 (monom (UP INTEG) 5 2) = 500
  by (simp add: INTEG.eval-monom)

```

end

References

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