

Examples of Inductive and Coinductive Definitions in ZF

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1 Sample datatype definitions

```
theory Datatypes imports Main begin
```

1.1 A type with four constructors

It has four constructors, of arities 0–3, and two parameters A and B .

consts

$data :: [i, i] \Rightarrow i$

datatype $data(A, B) =$

$Con0$
| $Con1 (a \in A)$
| $Con2 (a \in A, b \in B)$
| $Con3 (a \in A, b \in B, d \in data(A, B))$

lemma $data-unfold: data(A, B) = (\{0\} + A) + (A \times B + A \times B \times data(A, B))$
 $\langle proof \rangle$

Lemmas to justify using $data$ in other recursive type definitions.

lemma $data-mono: [| A \subseteq C; B \subseteq D |] \Rightarrow data(A, B) \subseteq data(C, D)$
 $\langle proof \rangle$

lemma $data-univ: data(univ(A), univ(A)) \subseteq univ(A)$
 $\langle proof \rangle$

lemma $data-subset-univ:$
 $[| A \subseteq univ(C); B \subseteq univ(C) |] \Rightarrow data(A, B) \subseteq univ(C)$
 $\langle proof \rangle$

1.2 Example of a big enumeration type

Can go up to at least 100 constructors, but it takes nearly 7 minutes ...
(back in 1994 that is).

consts

$enum :: i$

datatype $enum =$

$C00 | C01 | C02 | C03 | C04 | C05 | C06 | C07 | C08 | C09$
| $C10 | C11 | C12 | C13 | C14 | C15 | C16 | C17 | C18 | C19$
| $C20 | C21 | C22 | C23 | C24 | C25 | C26 | C27 | C28 | C29$
| $C30 | C31 | C32 | C33 | C34 | C35 | C36 | C37 | C38 | C39$
| $C40 | C41 | C42 | C43 | C44 | C45 | C46 | C47 | C48 | C49$
| $C50 | C51 | C52 | C53 | C54 | C55 | C56 | C57 | C58 | C59$

end

2 Binary trees

theory $Binary-Trees$ **imports** $Main$ **begin**

2.1 Datatype definition

consts

$bt :: i \Rightarrow i$

datatype $bt(A) =$

$Lf \mid Br (a \in A, t1 \in bt(A), t2 \in bt(A))$

declare $bt.intros [simp]$

lemma $Br\text{-}neq\text{-}left: l \in bt(A) \Longrightarrow (!x r. Br(x, l, r) \neq l)$

$\langle proof \rangle$

lemma $Br\text{-}iff: Br(a, l, r) = Br(a', l', r') \iff a = a' \ \& \ l = l' \ \& \ r = r'$

— Proving a freeness theorem.

$\langle proof \rangle$

inductive-cases $BrE: Br(a, l, r) \in bt(A)$

— An elimination rule, for type-checking.

Lemmas to justify using bt in other recursive type definitions.

lemma $bt\text{-}mono: A \subseteq B \Longrightarrow bt(A) \subseteq bt(B)$

$\langle proof \rangle$

lemma $bt\text{-}univ: bt(univ(A)) \subseteq univ(A)$

$\langle proof \rangle$

lemma $bt\text{-}subset\text{-}univ: A \subseteq univ(B) \Longrightarrow bt(A) \subseteq univ(B)$

$\langle proof \rangle$

lemma $bt\text{-}rec\text{-}type:$

$[[t \in bt(A);$

$c \in C(Lf);$

$!!x y z r s. [[x \in A; y \in bt(A); z \in bt(A); r \in C(y); s \in C(z)]] \Longrightarrow$

$h(x, y, z, r, s) \in C(Br(x, y, z))$

$]] \Longrightarrow bt\text{-}rec(c, h, t) \in C(t)$

— Type checking for recursor – example only; not really needed.

$\langle proof \rangle$

2.2 Number of nodes, with an example of tail-recursion

consts $n\text{-}nodes :: i \Rightarrow i$

primrec

$n\text{-}nodes(Lf) = 0$

$n\text{-}nodes(Br(a, l, r)) = succ(n\text{-}nodes(l) \#+ n\text{-}nodes(r))$

lemma $n\text{-}nodes\text{-}type [simp]: t \in bt(A) \Longrightarrow n\text{-}nodes(t) \in nat$

$\langle proof \rangle$

consts $n\text{-nodes-}aux :: i \Rightarrow i$

primrec

$n\text{-nodes-}aux(Lf) = (\lambda k \in nat. k)$

$n\text{-nodes-}aux(Br(a, l, r)) =$

$(\lambda k \in nat. n\text{-nodes-}aux(r) + (n\text{-nodes-}aux(l) + succ(k)))$

lemma $n\text{-nodes-}aux\text{-}eq$ [rule-format]:

$t \in bt(A) \implies \forall k \in nat. n\text{-nodes-}aux(t)^k = n\text{-nodes}(t) \#+ k$

$\langle proof \rangle$

constdefs

$n\text{-nodes-}tail :: i \Rightarrow i$

$n\text{-nodes-}tail(t) == n\text{-nodes-}aux(t) + 0$

lemma $t \in bt(A) \implies n\text{-nodes-}tail(t) = n\text{-nodes}(t)$

$\langle proof \rangle$

2.3 Number of leaves

consts

$n\text{-leaves} :: i \Rightarrow i$

primrec

$n\text{-leaves}(Lf) = 1$

$n\text{-leaves}(Br(a, l, r)) = n\text{-leaves}(l) \#+ n\text{-leaves}(r)$

lemma $n\text{-leaves-}type$ [simp]: $t \in bt(A) \implies n\text{-leaves}(t) \in nat$

$\langle proof \rangle$

2.4 Reflecting trees

consts

$bt\text{-reflect} :: i \Rightarrow i$

primrec

$bt\text{-reflect}(Lf) = Lf$

$bt\text{-reflect}(Br(a, l, r)) = Br(a, bt\text{-reflect}(r), bt\text{-reflect}(l))$

lemma $bt\text{-reflect-}type$ [simp]: $t \in bt(A) \implies bt\text{-reflect}(t) \in bt(A)$

$\langle proof \rangle$

Theorems about $n\text{-leaves}$.

lemma $n\text{-leaves-reflect}$: $t \in bt(A) \implies n\text{-leaves}(bt\text{-reflect}(t)) = n\text{-leaves}(t)$

$\langle proof \rangle$

lemma $n\text{-leaves-}nodes$: $t \in bt(A) \implies n\text{-leaves}(t) = succ(n\text{-nodes}(t))$

$\langle proof \rangle$

Theorems about $bt\text{-reflect}$.

lemma $bt\text{-reflect-}bt\text{-reflect-}ident$: $t \in bt(A) \implies bt\text{-reflect}(bt\text{-reflect}(t)) = t$

$\langle proof \rangle$

end

3 Terms over an alphabet

theory *Term* **imports** *Main* **begin**

Illustrates the list functor (essentially the same type as in *Trees-Forest*).

consts

term :: $i \Rightarrow i$

datatype *term*(A) = *Apply* ($a \in A, l \in \text{list}(\text{term}(A))$)

monos *list-mono*

type-elim *list-univ* [*THEN subsetD, elim-format*]

declare *Apply* [*TC*]

constdefs

term-rec :: $[i, [i, i, i] \Rightarrow i] \Rightarrow i$

term-rec(t, d) ==

$Vrec(t, \lambda t g. \text{term-case}(\lambda x zs. d(x, zs, \text{map}(\lambda z. g'z, zs)), t))$

term-map :: $[i \Rightarrow i, i] \Rightarrow i$

term-map(f, t) == *term-rec*($t, \lambda x zs rs. \text{Apply}(f(x), rs)$)

term-size :: $i \Rightarrow i$

term-size(t) == *term-rec*($t, \lambda x zs rs. \text{succ}(\text{list-add}(rs))$)

reflect :: $i \Rightarrow i$

reflect(t) == *term-rec*($t, \lambda x zs rs. \text{Apply}(x, \text{rev}(rs))$)

preorder :: $i \Rightarrow i$

preorder(t) == *term-rec*($t, \lambda x zs rs. \text{Cons}(x, \text{flat}(rs))$)

postorder :: $i \Rightarrow i$

postorder(t) == *term-rec*($t, \lambda x zs rs. \text{flat}(rs) @ [x]$)

lemma *term-unfold*: $\text{term}(A) = A * \text{list}(\text{term}(A))$

<proof>

lemma *term-induct2*:

$[| t \in \text{term}(A);$

$!!x. [| x \in A |] \implies P(\text{Apply}(x, \text{Nil}));$

$!!x z zs. [| x \in A; z \in \text{term}(A); zs: \text{list}(\text{term}(A)); P(\text{Apply}(x, zs))$

$|] \implies P(\text{Apply}(x, \text{Cons}(z, zs)))$

$|] \implies P(t)$

— Induction on *term*(A) followed by induction on *list*.

<proof>

lemma *term-induct-eqn*:

$[[t \in \text{term}(A);$
 $!!x \text{ } zs. [[x \in A; \text{ } zs: \text{list}(\text{term}(A)); \text{ } \text{map}(f, zs) = \text{map}(g, zs)]] ==>$
 $f(\text{Apply}(x, zs)) = g(\text{Apply}(x, zs))$
 $]] ==> f(t) = g(t)$
— Induction on $\text{term}(A)$ to prove an equation.
<proof>

Lemmas to justify using *term* in other recursive type definitions.

lemma *term-mono*: $A \subseteq B ==> \text{term}(A) \subseteq \text{term}(B)$
<proof>

lemma *term-univ*: $\text{term}(\text{univ}(A)) \subseteq \text{univ}(A)$
— Easily provable by induction also
<proof>

lemma *term-subset-univ*: $A \subseteq \text{univ}(B) ==> \text{term}(A) \subseteq \text{univ}(B)$
<proof>

lemma *term-into-univ*: $[[t \in \text{term}(A); A \subseteq \text{univ}(B)]] ==> t \in \text{univ}(B)$
<proof>

term-rec – by *Vset* recursion.

lemma *map-lemma*: $[[l \in \text{list}(A); \text{Ord}(i); \text{rank}(l) < i]]$
 $==> \text{map}(\lambda z. (\lambda x \in \text{Vset}(i). h(x)) ' z, l) = \text{map}(h, l)$
— *map* works correctly on the underlying list of terms.
<proof>

lemma *term-rec [simp]*: $ts \in \text{list}(A) ==>$
 $\text{term-rec}(\text{Apply}(a, ts), d) = d(a, ts, \text{map}(\lambda z. \text{term-rec}(z, d), ts))$
— Typing premise is necessary to invoke *map-lemma*.
<proof>

lemma *term-rec-type*:

$[[t \in \text{term}(A);$
 $!!x \text{ } zs \text{ } r. [[x \in A; \text{ } zs: \text{list}(\text{term}(A));$
 $r \in \text{list}(\bigcup t \in \text{term}(A). C(t))]]$
 $==> d(x, zs, r): C(\text{Apply}(x, zs))$
 $]] ==> \text{term-rec}(t, d) \in C(t)$
— Slightly odd typing condition on r in the second premise!
<proof>

lemma *def-term-rec*:

$[[!!t. j(t) == \text{term-rec}(t, d); \text{ } ts: \text{list}(A)]]$ $==>$
 $j(\text{Apply}(a, ts)) = d(a, ts, \text{map}(\lambda Z. j(Z), ts))$
<proof>

lemma *term-rec-simple-type* [TC]:

$\llbracket t \in \text{term}(A);$
 $\forall x \text{ zs } r. \llbracket x \in A; \text{zs}: \text{list}(\text{term}(A)); r \in \text{list}(C) \rrbracket$
 $\implies d(x, \text{zs}, r): C$
 $\rrbracket \implies \text{term-rec}(t, d) \in C$
<proof>

term-map.

lemma *term-map* [simp]:

$ts \in \text{list}(A) \implies$
 $\text{term-map}(f, \text{Apply}(a, ts)) = \text{Apply}(f(a), \text{map}(\text{term-map}(f), ts))$
<proof>

lemma *term-map-type* [TC]:

$\llbracket t \in \text{term}(A); \forall x. x \in A \implies f(x): B \rrbracket \implies \text{term-map}(f, t) \in \text{term}(B)$
<proof>

lemma *term-map-type2* [TC]:

$t \in \text{term}(A) \implies \text{term-map}(f, t) \in \text{term}(\{f(u). u \in A\})$
<proof>

term-size.

lemma *term-size* [simp]:

$ts \in \text{list}(A) \implies \text{term-size}(\text{Apply}(a, ts)) = \text{succ}(\text{list-add}(\text{map}(\text{term-size}, ts)))$
<proof>

lemma *term-size-type* [TC]: $t \in \text{term}(A) \implies \text{term-size}(t) \in \text{nat}$

<proof>

reflect.

lemma *reflect* [simp]:

$ts \in \text{list}(A) \implies \text{reflect}(\text{Apply}(a, ts)) = \text{Apply}(a, \text{rev}(\text{map}(\text{reflect}, ts)))$
<proof>

lemma *reflect-type* [TC]: $t \in \text{term}(A) \implies \text{reflect}(t) \in \text{term}(A)$

<proof>

preorder.

lemma *preorder* [simp]:

$ts \in \text{list}(A) \implies \text{preorder}(\text{Apply}(a, ts)) = \text{Cons}(a, \text{flat}(\text{map}(\text{preorder}, ts)))$
<proof>

lemma *preorder-type* [TC]: $t \in \text{term}(A) \implies \text{preorder}(t) \in \text{list}(A)$

<proof>

postorder.

lemma *postorder* [*simp*]:

$ts \in \text{list}(A) \implies \text{postorder}(\text{Apply}(a, ts)) = \text{flat}(\text{map}(\text{postorder}, ts)) @ [a]$
<proof>

lemma *postorder-type* [*TC*]: $t \in \text{term}(A) \implies \text{postorder}(t) \in \text{list}(A)$

<proof>

Theorems about *term-map*.

declare *List.map-compose* [*simp*]

lemma *term-map-ident*: $t \in \text{term}(A) \implies \text{term-map}(\lambda u. u, t) = t$

<proof>

lemma *term-map-compose*:

$t \in \text{term}(A) \implies \text{term-map}(f, \text{term-map}(g, t)) = \text{term-map}(\lambda u. f(g(u)), t)$
<proof>

lemma *term-map-reflect*:

$t \in \text{term}(A) \implies \text{term-map}(f, \text{reflect}(t)) = \text{reflect}(\text{term-map}(f, t))$
<proof>

Theorems about *term-size*.

lemma *term-size-term-map*: $t \in \text{term}(A) \implies \text{term-size}(\text{term-map}(f, t)) = \text{term-size}(t)$

<proof>

lemma *term-size-reflect*: $t \in \text{term}(A) \implies \text{term-size}(\text{reflect}(t)) = \text{term-size}(t)$

<proof>

lemma *term-size-length*: $t \in \text{term}(A) \implies \text{term-size}(t) = \text{length}(\text{preorder}(t))$

<proof>

Theorems about *reflect*.

lemma *reflect-reflect-ident*: $t \in \text{term}(A) \implies \text{reflect}(\text{reflect}(t)) = t$

<proof>

Theorems about *preorder*.

lemma *preorder-term-map*:

$t \in \text{term}(A) \implies \text{preorder}(\text{term-map}(f, t)) = \text{map}(f, \text{preorder}(t))$
<proof>

lemma *preorder-reflect-eq-rev-postorder*:

$t \in \text{term}(A) \implies \text{preorder}(\text{reflect}(t)) = \text{rev}(\text{postorder}(t))$
<proof>

end

4 Datatype definition n-ary branching trees

theory *Ntree* **imports** *Main* **begin**

Demonstrates a simple use of function space in a datatype definition. Based upon theory *Term*.

consts

ntree :: $i \Rightarrow i$
maptree :: $i \Rightarrow i$
maptree2 :: $[i, i] \Rightarrow i$

datatype *ntree*(A) = *Branch* ($a \in A, h \in (\bigcup n \in \text{nat}. n \rightarrow \text{ntree}(A))$)
monos *UN-mono* [*OF subset-refl Pi-mono*] — MUST have this form
type-intros *nat-fun-univ* [*THEN subsetD*]
type-elims *UN-E*

datatype *maptree*(A) = *Sons* ($a \in A, h \in \text{maptree}(A) \rightarrow \text{maptree}(A)$)
monos *FiniteFun-mono1* — Use monotonicity in BOTH args
type-intros *FiniteFun-univ1* [*THEN subsetD*]

datatype *maptree2*(A, B) = *Sons2* ($a \in A, h \in B \rightarrow \text{maptree2}(A, B)$)
monos *FiniteFun-mono* [*OF subset-refl*]
type-intros *FiniteFun-in-univ'*

constdefs

ntree-rec :: $[[i, i, i] \Rightarrow i, i] \Rightarrow i$
ntree-rec(b) ==
Vrecursor($\lambda pr. \text{ntree-case}(\lambda x h. b(x, h), \lambda i \in \text{domain}(h). pr'(h'i)))$)

constdefs

ntree-copy :: $i \Rightarrow i$
ntree-copy(z) == *ntree-rec*($\lambda x h r. \text{Branch}(x, r), z$)

ntree

lemma *ntree-unfold*: $\text{ntree}(A) = A \times (\bigcup n \in \text{nat}. n \rightarrow \text{ntree}(A))$
 $\langle \text{proof} \rangle$

lemma *ntree-induct* [*induct set: ntree*]:

$[[t \in \text{ntree}(A);$
 $!!x n h. [[x \in A; n \in \text{nat}; h \in n \rightarrow \text{ntree}(A); \forall i \in n. P(h'i)$
 $]] \implies P(\text{Branch}(x, h))$
 $]] \implies P(t)$

— A nicer induction rule than the standard one.

$\langle \text{proof} \rangle$

lemma *ntree-induct-eqn*:

$[[t \in \text{ntree}(A); f \in \text{ntree}(A) \rightarrow B; g \in \text{ntree}(A) \rightarrow B;$
 $!!x n h. [[x \in A; n \in \text{nat}; h \in n \rightarrow \text{ntree}(A); f O h = g O h]]$ \implies
 $f ' \text{Branch}(x, h) = g ' \text{Branch}(x, h)$

$\llbracket \rrbracket \implies f't=g't$
 — Induction on $ntree(A)$ to prove an equation
 $\langle proof \rangle$

Lemmas to justify using $Ntree$ in other recursive type definitions.

lemma *ntree-mono*: $A \subseteq B \implies ntree(A) \subseteq ntree(B)$
 $\langle proof \rangle$

lemma *ntree-univ*: $ntree(univ(A)) \subseteq univ(A)$
 — Easily provable by induction also
 $\langle proof \rangle$

lemma *ntree-subset-univ*: $A \subseteq univ(B) \implies ntree(A) \subseteq univ(B)$
 $\langle proof \rangle$

ntree recursion.

lemma *ntree-rec-Branch*:
 $function(h) \implies$
 $ntree-rec(b, Branch(x,h)) = b(x, h, \lambda i \in domain(h). ntree-rec(b, h'i))$
 $\langle proof \rangle$

lemma *ntree-copy-Branch* [*simp*]:
 $function(h) \implies$
 $ntree-copy (Branch(x, h)) = Branch(x, \lambda i \in domain(h). ntree-copy (h'i))$
 $\langle proof \rangle$

lemma *ntree-copy-is-ident*: $z \in ntree(A) \implies ntree-copy(z) = z$
 $\langle proof \rangle$

maptree

lemma *maptree-unfold*: $maptree(A) = A \times (maptree(A) -||> maptree(A))$
 $\langle proof \rangle$

lemma *maptree-induct* [*induct set: maptree*]:
 $\llbracket t \in maptree(A);$
 $\quad !!x \ n \ h. \llbracket x \in A; \ h \in maptree(A) -||> maptree(A);$
 $\quad \quad \forall y \in field(h). P(y)$
 $\quad \quad \rrbracket \implies P(Sons(x,h))$
 $\rrbracket \implies P(t)$
 — A nicer induction rule than the standard one.
 $\langle proof \rangle$

maptree2

lemma *maptree2-unfold*: $maptree2(A, B) = A \times (B -||> maptree2(A, B))$
 $\langle proof \rangle$

```

lemma maptree2-induct [induct set: maptree2]:
  [|  $t \in \text{maptree2}(A, B)$ ;
    !! $x\ n\ h.$  [|  $x \in A; h \in B - || > \text{maptree2}(A, B)$ ;  $\forall y \in \text{range}(h).$   $P(y)$ 
    |] ==>  $P(\text{Sons2}(x, h))$ 
  |] ==>  $P(t)$ 
<proof>

end

```

5 Trees and forests, a mutually recursive type definition

```

theory Tree-Forest imports Main begin

```

5.1 Datatype definition

```

consts
  tree ::  $i \Rightarrow i$ 
  forest ::  $i \Rightarrow i$ 
  tree-forest ::  $i \Rightarrow i$ 

datatype tree( $A$ ) = Tcons ( $a \in A, f \in \text{forest}(A)$ )
  and forest( $A$ ) = Fnil | Fcons ( $t \in \text{tree}(A), f \in \text{forest}(A)$ )

declare tree-forest.intros [simp, TC]

lemma tree-def:  $\text{tree}(A) == \text{Part}(\text{tree-forest}(A), \text{Inl})$ 
  <proof>

lemma forest-def:  $\text{forest}(A) == \text{Part}(\text{tree-forest}(A), \text{Inr})$ 
  <proof>

tree-forest( $A$ ) as the union of tree( $A$ ) and forest( $A$ ).

lemma tree-subset-TF:  $\text{tree}(A) \subseteq \text{tree-forest}(A)$ 
  <proof>

lemma treeI [TC]:  $x \in \text{tree}(A) ==> x \in \text{tree-forest}(A)$ 
  <proof>

lemma forest-subset-TF:  $\text{forest}(A) \subseteq \text{tree-forest}(A)$ 
  <proof>

lemma treeI' [TC]:  $x \in \text{forest}(A) ==> x \in \text{tree-forest}(A)$ 
  <proof>

lemma TF-equals-Un:  $\text{tree}(A) \cup \text{forest}(A) = \text{tree-forest}(A)$ 
  <proof>

```

lemma

notes $rews = tree-forest.con-defs\ tree-def\ forest-def$

shows

$tree-forest-unfold: tree-forest(A) =$
 $(A \times forest(A)) + (\{0\} + tree(A) \times forest(A))$
— NOT useful, but interesting ...

$\langle proof \rangle$

lemma $tree-forest-unfold'$:

$tree-forest(A) =$
 $A \times Part(tree-forest(A), \lambda w. Inr(w)) +$
 $\{0\} + Part(tree-forest(A), \lambda w. Inl(w)) * Part(tree-forest(A), \lambda w. Inr(w))$
 $\langle proof \rangle$

lemma $tree-unfold: tree(A) = \{Inl(x). x \in A \times forest(A)\}$

$\langle proof \rangle$

lemma $forest-unfold: forest(A) = \{Inr(x). x \in \{0\} + tree(A) * forest(A)\}$

$\langle proof \rangle$

Type checking for recursor: Not needed; possibly interesting?

lemma $TF-rec-type$:

$\llbracket z \in tree-forest(A);$
 $\quad !!x\ f\ r. \llbracket x \in A; f \in forest(A); r \in C(f)$
 $\quad \quad \quad \rrbracket ==> b(x,f,r) \in C(Tcons(x,f));$
 $\quad c \in C(Fnil);$
 $\quad !!t\ f\ r1\ r2. \llbracket t \in tree(A); f \in forest(A); r1 \in C(t); r2 \in C(f)$
 $\quad \quad \quad \rrbracket ==> d(t,f,r1,r2) \in C(Fcons(t,f))$
 $\rrbracket ==> tree-forest-rec(b,c,d,z) \in C(z)$
 $\langle proof \rangle$

lemma $tree-forest-rec-type$:

$\llbracket !!x\ f\ r. \llbracket x \in A; f \in forest(A); r \in D(f)$
 $\quad \quad \quad \rrbracket ==> b(x,f,r) \in C(Tcons(x,f));$
 $\quad c \in D(Fnil);$
 $\quad !!t\ f\ r1\ r2. \llbracket t \in tree(A); f \in forest(A); r1 \in C(t); r2 \in D(f)$
 $\quad \quad \quad \rrbracket ==> d(t,f,r1,r2) \in D(Fcons(t,f))$
 $\rrbracket ==> (\forall t \in tree(A). tree-forest-rec(b,c,d,t) \in C(t)) \wedge$
 $\quad (\forall f \in forest(A). tree-forest-rec(b,c,d,f) \in D(f))$
— Mutually recursive version.
 $\langle proof \rangle$

5.2 Operations

consts

$map :: [i ==> i, i] ==> i$
 $size :: i ==> i$
 $preorder :: i ==> i$

$list\text{-of-}TF :: i \Rightarrow i$
 $of\text{-list} :: i \Rightarrow i$
 $reflect :: i \Rightarrow i$

primrec

$list\text{-of-}TF (Tcons(x,f)) = [Tcons(x,f)]$
 $list\text{-of-}TF (Fnil) = []$
 $list\text{-of-}TF (Fcons(t,tf)) = Cons (t, list\text{-of-}TF(tf))$

primrec

$of\text{-list}([]) = Fnil$
 $of\text{-list}(Cons(t,l)) = Fcons(t, of\text{-list}(l))$

primrec

$map (h, Tcons(x,f)) = Tcons(h(x), map(h,f))$
 $map (h, Fnil) = Fnil$
 $map (h, Fcons(t,tf)) = Fcons (map(h, t), map(h, tf))$

primrec

$size (Tcons(x,f)) = succ(size(f))$
 $size (Fnil) = 0$
 $size (Fcons(t,tf)) = size(t) \# + size(tf)$

primrec

$preorder (Tcons(x,f)) = Cons(x, preorder(f))$
 $preorder (Fnil) = Nil$
 $preorder (Fcons(t,tf)) = preorder(t) @ preorder(tf)$

primrec

$reflect (Tcons(x,f)) = Tcons(x, reflect(f))$
 $reflect (Fnil) = Fnil$
 $reflect (Fcons(t,tf)) =$
 $of\text{-list} (list\text{-of-}TF (reflect(tf)) @ Cons(reflect(t), Nil))$

$list\text{-of-}TF$ and $of\text{-list}$.

lemma $list\text{-of-}TF\text{-type} [TC]$:

$z \in tree\text{-forest}(A) \Rightarrow list\text{-of-}TF(z) \in list(tree(A))$
 $\langle proof \rangle$

lemma $of\text{-list-type} [TC]$: $l \in list(tree(A)) \Rightarrow of\text{-list}(l) \in forest(A)$

$\langle proof \rangle$

map .

lemma

assumes $h\text{-type}$: $!!x. x \in A \Rightarrow h(x): B$
shows $map\text{-tree-type}$: $t \in tree(A) \Rightarrow map(h,t) \in tree(B)$
and $map\text{-forest-type}$: $f \in forest(A) \Rightarrow map(h,f) \in forest(B)$
 $\langle proof \rangle$

size.

lemma *size-type* [TC]: $z \in \text{tree-forest}(A) \implies \text{size}(z) \in \text{nat}$
⟨*proof*⟩

preorder.

lemma *preorder-type* [TC]: $z \in \text{tree-forest}(A) \implies \text{preorder}(z) \in \text{list}(A)$
⟨*proof*⟩

Theorems about *list-of-TF* and *of-list*.

lemma *forest-induct*:

[[$f \in \text{forest}(A)$;
 $R(\text{Fnil})$;
 !! $t f$. [[$t \in \text{tree}(A)$; $f \in \text{forest}(A)$; $R(f)$]] $\implies R(\text{Fcons}(t,f))$
]] $\implies R(f)$
— Essentially the same as list induction.
⟨*proof*⟩

lemma *forest-iso*: $f \in \text{forest}(A) \implies \text{of-list}(\text{list-of-TF}(f)) = f$
⟨*proof*⟩

lemma *tree-list-iso*: $ts: \text{list}(\text{tree}(A)) \implies \text{list-of-TF}(\text{of-list}(ts)) = ts$
⟨*proof*⟩

Theorems about *map*.

lemma *map-ident*: $z \in \text{tree-forest}(A) \implies \text{map}(\lambda u. u, z) = z$
⟨*proof*⟩

lemma *map-compose*:

$z \in \text{tree-forest}(A) \implies \text{map}(h, \text{map}(j,z)) = \text{map}(\lambda u. h(j(u)), z)$
⟨*proof*⟩

Theorems about *size*.

lemma *size-map*: $z \in \text{tree-forest}(A) \implies \text{size}(\text{map}(h,z)) = \text{size}(z)$
⟨*proof*⟩

lemma *size-length*: $z \in \text{tree-forest}(A) \implies \text{size}(z) = \text{length}(\text{preorder}(z))$
⟨*proof*⟩

Theorems about *preorder*.

lemma *preorder-map*:

$z \in \text{tree-forest}(A) \implies \text{preorder}(\text{map}(h,z)) = \text{List.map}(h, \text{preorder}(z))$
⟨*proof*⟩

end

6 Infinite branching datatype definitions

theory *Brouwer* **imports** *Main-ZFC* **begin**

6.1 The Brouwer ordinals

consts

brouwer :: *i*

datatype \subseteq *Vfrom*(*0*, *csucc*(*nat*))

brouwer = *Zero* | *Suc* (*b* ∈ *brouwer*) | *Lim* (*h* ∈ *nat* → *brouwer*)

monos *Pi-mono*

type-intros *inf-datatype-intros*

lemma *brouwer-unfold*: *brouwer* = {*0*} + *brouwer* + (*nat* → *brouwer*)
 ⟨*proof*⟩

lemma *brouwer-induct2*:

[| *b* ∈ *brouwer*;
 P(*Zero*);
 !!*b*. [| *b* ∈ *brouwer*; *P*(*b*) |] ==> *P*(*Suc*(*b*));
 !!*h*. [| *h* ∈ *nat* → *brouwer*; ∀ *i* ∈ *nat*. *P*(*h*'*i*)
 |] ==> *P*(*Lim*(*h*))
 |] ==> *P*(*b*)

— A nicer induction rule than the standard one.

⟨*proof*⟩

6.2 The Martin-Löf wellordering type

consts

Well :: [*i*, *i* ==> *i*] ==> *i*

datatype \subseteq *Vfrom*(*A* ∪ (∪ *x* ∈ *A*. *B*(*x*)), *csucc*(*nat* ∪ |∪ *x* ∈ *A*. *B*(*x*)|))

— The union with *nat* ensures that the cardinal is infinite.

Well(*A*, *B*) = *Sup* (*a* ∈ *A*, *f* ∈ *B*(*a*) → *Well*(*A*, *B*))

monos *Pi-mono*

type-intros *le-trans* [*OF UN-upper-cardinal le-nat-Un-cardinal*] *inf-datatype-intros*

lemma *Well-unfold*: *Well*(*A*, *B*) = (∑ *x* ∈ *A*. *B*(*x*) → *Well*(*A*, *B*))
 ⟨*proof*⟩

lemma *Well-induct2*:

[| *w* ∈ *Well*(*A*, *B*);
 !!*a* *f*. [| *a* ∈ *A*; *f* ∈ *B*(*a*) → *Well*(*A*, *B*); ∀ *y* ∈ *B*(*a*). *P*(*f*'*y*)
 |] ==> *P*(*Sup*(*a*, *f*))
 |] ==> *P*(*w*)

— A nicer induction rule than the standard one.

⟨*proof*⟩

lemma *Well-bool-unfold*: $Well(bool, \lambda x. x) = 1 + (1 -> Well(bool, \lambda x. x))$
 — In fact it's isomorphic to *nat*, but we need a recursion operator
 — for *Well* to prove this.
 ⟨*proof*⟩

end

7 The Mutilated Chess Board Problem, formalized inductively

theory *Mutil* **imports** *Main* **begin**

Originator is Max Black, according to J A Robinson. Popularized as the Mutilated Checkerboard Problem by J McCarthy.

consts

domino :: *i*
tiling :: *i* ==> *i*

inductive

domains *domino* $\subseteq Pow(nat \times nat)$

intros

horiz: $[[i \in nat; j \in nat]] ==> \{<i,j>, <i,succ(j)>\} \in domino$

vertl: $[[i \in nat; j \in nat]] ==> \{<i,j>, <succ(i),j>\} \in domino$

type-intros *empty-subsetI cons-subsetI PowI SigmaI nat-succI*

inductive

domains *tiling(A)* $\subseteq Pow(Union(A))$

intros

empty: $0 \in tiling(A)$

Un: $[[a \in A; t \in tiling(A); a Int t = 0]] ==> a Un t \in tiling(A)$

type-intros *empty-subsetI Union-upper Un-least PowI*

type-elim *PowD [elim-format]*

constdefs

evnodd :: $[i, i] ==> i$

evnodd(A,b) == $\{z \in A. \exists i j. z = <i,j> \wedge (i \# + j) \bmod 2 = b\}$

7.1 Basic properties of *evnodd*

lemma *evnodd-iff*: $<i,j>: evnodd(A,b) <-> <i,j>: A \ \& \ (i \# + j) \bmod 2 = b$
 ⟨*proof*⟩

lemma *evnodd-subset*: $evnodd(A, b) \subseteq A$
 ⟨*proof*⟩

lemma *Finite-evnodd*: $Finite(X) ==> Finite(evnodd(X,b))$
 ⟨*proof*⟩

lemma *evnodd-Un*: $evnodd(A \text{ Un } B, b) = evnodd(A,b) \text{ Un } evnodd(B,b)$
 ⟨proof⟩

lemma *evnodd-Diff*: $evnodd(A - B, b) = evnodd(A,b) - evnodd(B,b)$
 ⟨proof⟩

lemma *evnodd-cons* [*simp*]:
 $evnodd(cons(\langle i,j \rangle, C), b) =$
 (if $(i \# + j) \bmod 2 = b$ then $cons(\langle i,j \rangle, evnodd(C,b))$ else $evnodd(C,b)$)
 ⟨proof⟩

lemma *evnodd-0* [*simp*]: $evnodd(0, b) = 0$
 ⟨proof⟩

7.2 Dominoes

lemma *domino-Finite*: $d \in \text{domino} \implies \text{Finite}(d)$
 ⟨proof⟩

lemma *domino-singleton*:
 $[[d \in \text{domino}; b < 2]] \implies \exists i' j'. evnodd(d,b) = \{\langle i',j' \rangle\}$
 ⟨proof⟩

7.3 Tilings

The union of two disjoint tilings is a tiling

lemma *tiling-UnI*:
 $t \in \text{tiling}(A) \implies u \in \text{tiling}(A) \implies t \text{ Int } u = 0 \implies t \text{ Un } u \in \text{tiling}(A)$
 ⟨proof⟩

lemma *tiling-domino-Finite*: $t \in \text{tiling}(\text{domino}) \implies \text{Finite}(t)$
 ⟨proof⟩

lemma *tiling-domino-0-1*: $t \in \text{tiling}(\text{domino}) \implies |evnodd(t,0)| = |evnodd(t,1)|$
 ⟨proof⟩

lemma *dominoes-tile-row*:
 $[[i \in \text{nat}; n \in \text{nat}]] \implies \{i\} * (n \# + n) \in \text{tiling}(\text{domino})$
 ⟨proof⟩

lemma *dominoes-tile-matrix*:
 $[[m \in \text{nat}; n \in \text{nat}]] \implies m * (n \# + n) \in \text{tiling}(\text{domino})$
 ⟨proof⟩

lemma *eq-lt-E*: $[[x=y; x < y]] \implies P$
 ⟨proof⟩

theorem *mutil-not-tiling*: $[[m \in \text{nat}; n \in \text{nat};$

```

    t = (succ(m)#+succ(m))*(succ(n)#+succ(n));
    t' = t - {<0,0>} - {<succ(m#+m), succ(n#+n)>} ||
    ==> t' ∉ tiling(domino)
  <proof>

```

end

theory FoldSet imports Main begin

consts fold-set :: [i, i, [i,i]=>i, i] => i

inductive

domains fold-set(A, B, f,e) <= Fin(A)*B

intros

emptyI: e∈B ==> <0, e>∈fold-set(A, B, f,e)

consI: [| x∈A; x ∉ C; <C,y> : fold-set(A, B,f,e); f(x,y):B |]
 ==> <cons(x,C), f(x,y)>∈fold-set(A, B, f, e)

type-intros Fin.intros

constdefs

fold :: [i, [i,i]=>i, i, i] => i (fold[-]'(-,-,-)')
fold[B](f,e, A) == THE x. <A, x>∈fold-set(A, B, f,e)

setsum :: [i=>i, i] => i
setsum(g, C) == if Finite(C) then
 fold[int](%x y. g(x) \$+ y, #0, C) else #0

inductive-cases *empty-fold-setE*: <0, x> : fold-set(A, B, f,e)

inductive-cases *cons-fold-setE*: <cons(x,C), y> : fold-set(A, B, f,e)

lemma *cons-lemma1*: [| x∉C; x∉B |] ==> cons(x,B)=cons(x,C) <-> B = C
 <proof>

lemma *cons-lemma2*: [| cons(x, B)=cons(y, C); x≠y; x∉B; y∉C |]
 ==> B - {y} = C - {x} & x∈C & y∈B
 <proof>

lemma *fold-set-mono-lemma*:

<C, x> : fold-set(A, B, f, e)

==> ALL D. A<=D --> <C, x> : fold-set(D, B, f, e)

<proof>

lemma *fold-set-mono*: $C \leq A \implies \text{fold-set}(C, B, f, e) \leq \text{fold-set}(A, B, f, e)$
 ⟨proof⟩

lemma *fold-set-lemma*:
 $\langle C, x \rangle \in \text{fold-set}(A, B, f, e) \implies \langle C, x \rangle \in \text{fold-set}(C, B, f, e) \ \& \ C \leq A$
 ⟨proof⟩

lemma *Diff1-fold-set*:
 $[\langle C - \{x\}, y \rangle : \text{fold-set}(A, B, f, e); \ x \in C; \ x \in A; \ f(x, y) : B] \implies \langle C, f(x, y) \rangle : \text{fold-set}(A, B, f, e)$
 ⟨proof⟩

locale *fold-typing* =
fixes *A and B and e and f*
assumes *f*type [intro,simp]: $[\![x \in A; \ y \in B]\!] \implies f(x, y) \in B$
and *e*type [intro,simp]: $e \in B$
and *f*comm: $[\![x \in A; \ y \in A; \ z \in B]\!] \implies f(x, f(y, z)) = f(y, f(x, z))$

lemma (in *fold-typing*) *Fin-imp-fold-set*:
 $C \in \text{Fin}(A) \implies (\exists x. \langle C, x \rangle : \text{fold-set}(A, B, f, e))$
 ⟨proof⟩

lemma *Diff-sing-imp*:
 $[\![C - \{b\} = D - \{a\}; \ a \neq b; \ b \in C]\!] \implies C = \text{cons}(b, D) - \{a\}$
 ⟨proof⟩

lemma (in *fold-typing*) *fold-set-determ-lemma* [rule-format]:
 $n \in \text{nat}$
 $\implies \text{ALL } C. |C| < n \implies$
 $(\text{ALL } x. \langle C, x \rangle : \text{fold-set}(A, B, f, e) \implies$
 $(\text{ALL } y. \langle C, y \rangle : \text{fold-set}(A, B, f, e) \implies y = x))$
 ⟨proof⟩

lemma (in *fold-typing*) *fold-set-determ*:
 $[\![\langle C, x \rangle \in \text{fold-set}(A, B, f, e);$
 $\langle C, y \rangle \in \text{fold-set}(A, B, f, e)]\!] \implies y = x$
 ⟨proof⟩

lemma (in *fold-typing*) *fold-equality*:
 $\langle C, y \rangle : \text{fold-set}(A, B, f, e) \implies \text{fold}[B](f, e, C) = y$
 ⟨proof⟩

lemma *fold-0* [simp]: $e : B \implies \text{fold}[B](f, e, 0) = e$

$\langle proof \rangle$

This result is the right-to-left direction of the subsequent result

lemma (in *fold-typing*) *fold-set-imp-cons*:

$$\begin{aligned} & \llbracket \langle C, y \rangle : fold\text{-set}(C, B, f, e); C : Fin(A); c : A; c \notin C \rrbracket \\ & \implies \langle cons(c, C), f(c, y) \rangle : fold\text{-set}(cons(c, C), B, f, e) \end{aligned}$$

$\langle proof \rangle$

lemma (in *fold-typing*) *fold-cons-lemma* [rule-format]:

$$\begin{aligned} & \llbracket C : Fin(A); c : A; c \notin C \rrbracket \\ & \implies \langle cons(c, C), v \rangle : fold\text{-set}(cons(c, C), B, f, e) \langle - \rangle \\ & \quad (EX y. \langle C, y \rangle : fold\text{-set}(C, B, f, e) \ \& \ v = f(c, y)) \end{aligned}$$

$\langle proof \rangle$

lemma (in *fold-typing*) *fold-cons*:

$$\begin{aligned} & \llbracket C \in Fin(A); c \in A; c \notin C \rrbracket \\ & \implies fold[B](f, e, cons(c, C)) = f(c, fold[B](f, e, C)) \end{aligned}$$

$\langle proof \rangle$

lemma (in *fold-typing*) *fold-type* [simp, TC]:

$$C \in Fin(A) \implies fold[B](f, e, C) : B$$

$\langle proof \rangle$

lemma (in *fold-typing*) *fold-commute* [rule-format]:

$$\begin{aligned} & \llbracket C \in Fin(A); c \in A \rrbracket \\ & \implies (\forall y \in B. f(c, fold[B](f, y, C)) = fold[B](f, f(c, y), C)) \end{aligned}$$

$\langle proof \rangle$

lemma (in *fold-typing*) *fold-nest-Un-Int*:

$$\begin{aligned} & \llbracket C \in Fin(A); D \in Fin(A) \rrbracket \\ & \implies fold[B](f, fold[B](f, e, D), C) = \\ & \quad fold[B](f, fold[B](f, e, (C Int D)), C Un D) \end{aligned}$$

$\langle proof \rangle$

lemma (in *fold-typing*) *fold-nest-Un-disjoint*:

$$\begin{aligned} & \llbracket C \in Fin(A); D \in Fin(A); C Int D = 0 \rrbracket \\ & \implies fold[B](f, e, C Un D) = fold[B](f, fold[B](f, e, D), C) \end{aligned}$$

$\langle proof \rangle$

lemma *Finite-cons-lemma*: $Finite(C) \implies C \in Fin(cons(c, C))$

$\langle proof \rangle$

7.4 The Operator *setsum*

lemma *setsum-0* [simp]: $setsum(g, 0) = \#0$

$\langle proof \rangle$

lemma *setsum-cons* [simp]:

$$Finite(C) \implies$$

$setsum(g, cons(c, C)) =$
 $(if\ c : C\ then\ setsum(g, C)\ else\ g(c)\ \$+\ setsum(g, C))$
 <proof>

lemma *setsum-K0*: $setsum((\%i. \#0), C) = \#0$
 <proof>

lemma *setsum-Un-Int*:
 $[[\ Finite(C); Finite(D)]]$
 $==> setsum(g, C\ Un\ D)\ \$+\ setsum(g, C\ Int\ D)$
 $= setsum(g, C)\ \$+\ setsum(g, D)$
 <proof>

lemma *setsum-type* [*simp, TC*]: $setsum(g, C):int$
 <proof>

lemma *setsum-Un-disjoint*:
 $[[\ Finite(C); Finite(D); C\ Int\ D = 0]]$
 $==> setsum(g, C\ Un\ D) = setsum(g, C)\ \$+\ setsum(g, D)$
 <proof>

lemma *Finite-RepFun* [*rule-format (no-asm)*]:
 $Finite(I) ==> (\forall i \in I. Finite(C(i))) \dashrightarrow Finite(RepFun(I, C))$
 <proof>

lemma *setsum-UN-disjoint* [*rule-format (no-asm)*]:
 $Finite(I)$
 $==> (\forall i \in I. Finite(C(i))) \dashrightarrow$
 $(\forall i \in I. \forall j \in I. i \neq j \dashrightarrow C(i)\ Int\ C(j) = 0) \dashrightarrow$
 $setsum(f, \bigcup i \in I. C(i)) = setsum(\%i. setsum(f, C(i)), I)$
 <proof>

lemma *setsum-addr*: $setsum(\%x. f(x)\ \$+\ g(x), C) = setsum(f, C)\ \$+\ setsum(g, C)$
 <proof>

lemma *fold-set-cong*:
 $[[\ A=A'; B=B'; e=e'; (\forall x \in A'. \forall y \in B'. f(x, y) = f'(x, y))]]$
 $==> fold-set(A, B, f, e) = fold-set(A', B', f', e')$
 <proof>

lemma *fold-cong*:
 $[[\ B=B'; A=A'; e=e';$
 $!!x\ y. [[x \in A'; y \in B']] ==> f(x, y) = f'(x, y)]]$ $==>$
 $fold[B](f, e, A) = fold[B'](f', e', A')$
 <proof>

lemma *setsum-cong*:

$[[A=B; !!x. x \in B ==> f(x) = g(x)]] ==>$
 $setsum(f, A) = setsum(g, B)$
 $\langle proof \rangle$

lemma *setsum-Un*:

$[[Finite(A); Finite(B)]]$
 $==> setsum(f, A \cup B) =$
 $setsum(f, A) \# + setsum(f, B) \# - setsum(f, A \cap B)$
 $\langle proof \rangle$

lemma *setsum-zneg-or-0* [*rule-format* (*no-asm*)]:

$Finite(A) ==> (\forall x \in A. g(x) \# <= \#0) --> setsum(g, A) \# <= \#0$
 $\langle proof \rangle$

lemma *setsum-succD-lemma* [*rule-format*]:

$Finite(A)$
 $==> \forall n \in nat. setsum(f, A) = \# succ(n) --> (\exists a \in A. \#0 \# < f(a))$
 $\langle proof \rangle$

lemma *setsum-succD*:

$[[setsum(f, A) = \# succ(n); n \in nat]]$
 $==> \exists a \in A. \#0 \# < f(a)$
 $\langle proof \rangle$

lemma *g-zpos-imp-setsum-zpos* [*rule-format*]:

$Finite(A) ==> (\forall x \in A. \#0 \# <= g(x)) --> \#0 \# <= setsum(g, A)$
 $\langle proof \rangle$

lemma *g-zpos-imp-setsum-zpos2* [*rule-format*]:

$[[Finite(A); \forall x. \#0 \# <= g(x)]]$
 $==> \#0 \# <= setsum(g, A)$
 $\langle proof \rangle$

lemma *g-zspos-imp-setsum-zspos* [*rule-format*]:

$Finite(A)$
 $==> (\forall x \in A. \#0 \# < g(x)) --> A \neq 0 --> (\#0 \# < setsum(g, A))$
 $\langle proof \rangle$

lemma *setsum-Diff* [*rule-format*]:

$Finite(A) ==> \forall a. M(a) = \#0 --> setsum(M, A) = setsum(M, A - \{a\})$
 $\langle proof \rangle$

$\langle ML \rangle$

end

8 The accessible part of a relation

theory *Acc* **imports** *Main* **begin**

Inductive definition of $acc(r)$; see [?].

consts

$acc :: i \Rightarrow i$

inductive

domains $acc(r) \subseteq field(r)$

intros

image: $\llbracket r - \{a\}; Pow(acc(r)); a \in field(r) \rrbracket \Longrightarrow a \in acc(r)$

monos $Pow\text{-}mono$

The introduction rule must require $a \in field(r)$, otherwise $acc(r)$ would be a proper class!

The intended introduction rule:

lemma *accI*: $\llbracket !!b. \langle b, a \rangle : r \Longrightarrow b \in acc(r); a \in field(r) \rrbracket \Longrightarrow a \in acc(r)$
<proof>

lemma *acc-downward*: $\llbracket b \in acc(r); \langle a, b \rangle : r \rrbracket \Longrightarrow a \in acc(r)$
<proof>

lemma *acc-induct* [*induct set*: *acc*]:

$\llbracket a \in acc(r);$
 $!!x. \llbracket x \in acc(r); \forall y. \langle y, x \rangle : r \longrightarrow P(y) \rrbracket \Longrightarrow P(x)$
 $\rrbracket \Longrightarrow P(a)$
<proof>

lemma *wf-on-acc*: $wf[acc(r)](r)$
<proof>

lemma *acc-wfI*: $field(r) \subseteq acc(r) \Longrightarrow wf(r)$
<proof>

lemma *acc-wfD*: $wf(r) \Longrightarrow field(r) \subseteq acc(r)$
<proof>

lemma *wf-acc-iff*: $wf(r) \longleftrightarrow field(r) \subseteq acc(r)$
<proof>

end

theory *Multiset*

imports *FoldSet Acc*

begin

consts

Mult :: $i \Rightarrow i$

translations

Mult(A) $\Rightarrow A -||> \text{nat} - \{0\}$

constdefs

funrestrict :: $[i, i] \Rightarrow i$
funrestrict(f, A) == $\lambda x \in A. f'x$

multiset :: $i \Rightarrow o$
multiset(M) == $\exists A. M \in A -> \text{nat} - \{0\} \ \& \ \text{Finite}(A)$

mset-of :: $i \Rightarrow i$
mset-of(M) == *domain*(M)

munion :: $[i, i] \Rightarrow i$ (**infixl** $+ \#$ 65)
 $M + \# N$ == $\lambda x \in \text{mset-of}(M) \cup \text{mset-of}(N).$
 if $x \in \text{mset-of}(M)$ *Int* $\text{mset-of}(N)$ *then* $(M'x) \# + (N'x)$
 else (*if* $x \in \text{mset-of}(M)$ *then* $M'x$ *else* $N'x$)

normalize :: $i \Rightarrow i$
normalize(f) ==
 if ($\exists A. f \in A -> \text{nat} \ \& \ \text{Finite}(A)$) *then*
 funrestrict($f, \{x \in \text{mset-of}(f). 0 < f'x\}$)
 else 0

mdiff :: $[i, i] \Rightarrow i$ (**infixl** $- \#$ 65)
 $M - \# N$ == *normalize*($\lambda x \in \text{mset-of}(M).$
 if $x \in \text{mset-of}(N)$ *then* $M'x \# - N'x$ *else* $M'x$)

msingle :: $i \Rightarrow i$ (**{#-#}**)
 $\{\#a\}$ == $\{<a, 1>\}$

MCollect :: $[i, i \Rightarrow o] \Rightarrow i$
MCollect(M, P) == *funrestrict*($M, \{x \in \text{mset-of}(M). P(x)\}$)

mcount :: $[i, i] \Rightarrow i$
mcount(M, a) == *if* $a \in \text{mset-of}(M)$ *then* $M'a$ *else* 0

msize :: $i \Rightarrow i$

$msize(M) == setsum(\%a. \$\# mcount(M,a), mset-of(M))$

syntax

$melem :: [i,i] => o \quad ((-/ :\# -) [50, 51] 50)$
 $@MColl :: [pttrn, i, o] => i \quad ((1\{\# - : -/ -\#})$

syntax (*xsymbols*)

$@MColl :: [pttrn, i, o] => i \quad ((1\{\# - \in -/ -\#})$

translations

$a :\# M == a \in mset-of(M)$
 $\{\#x \in M. P\# \} == MCollect(M, \%x. P)$

constdefs

$multirel1 :: [i,i] => i$
 $multirel1(A, r) ==$
 $\{ \langle M, N \rangle \in Mult(A) * Mult(A).$
 $\exists a \in A. \exists M0 \in Mult(A). \exists K \in Mult(A).$
 $N = M0 +\# \{ \#a\# \} \ \& \ M = M0 +\# K \ \& \ (\forall b \in mset-of(K). \langle b,a \rangle \in r) \}$

$multirel :: [i, i] => i$
 $multirel(A, r) == multirel1(A, r) \hat{+}$

$omultiset :: i => o$
 $omultiset(M) == \exists i. Ord(i) \ \& \ M \in Mult(field(Memrel(i)))$

$mless :: [i, i] => o \quad (\mathbf{infixl} \ <\# \ 50)$
 $M \ <\# \ N == \exists i. Ord(i) \ \& \ \langle M, N \rangle \in multirel(field(Memrel(i)), Memrel(i))$

$mle :: [i, i] => o \quad (\mathbf{infixl} \ <\# = \ 50)$
 $M \ <\# = \ N == (omultiset(M) \ \& \ M = N) \ | \ M \ <\# \ N$

8.1 Properties of the original "restrict" from ZF.thy

lemma *funrestrict-subset*: $[[f \in Pi(C,B); \ A \subseteq C \]] ==> funrestrict(f,A) \subseteq f$
 $\langle proof \rangle$

lemma *funrestrict-type*:

$[[!!x. x \in A ==> f'x \in B(x) \]] ==> funrestrict(f,A) \in Pi(A,B)$
 $\langle proof \rangle$

lemma *funrestrict-type2*: $[[f \in Pi(C,B); \ A \subseteq C \]] ==> funrestrict(f,A) \in Pi(A,B)$
 $\langle proof \rangle$

lemma *funrestrict* [*simp*]: $a \in A \implies \text{funrestrict}(f, A) \text{ ` } a = f \text{ ` } a$
<proof>

lemma *funrestrict-empty* [*simp*]: $\text{funrestrict}(f, 0) = 0$
<proof>

lemma *domain-funrestrict* [*simp*]: $\text{domain}(\text{funrestrict}(f, C)) = C$
<proof>

lemma *fun-cons-funrestrict-eq*:
 $f \in \text{cons}(a, b) \rightarrow B \implies f = \text{cons}(\langle a, f \text{ ` } a \rangle, \text{funrestrict}(f, b))$
<proof>

declare *domain-of-fun* [*simp*]
declare *domainE* [*rule del*]

A useful simplification rule

lemma *multiset-fun-iff*:
 $(f \in A \rightarrow \text{nat} - \{0\}) \leftrightarrow f \in A \rightarrow \text{nat} \& (\forall a \in A. f \text{ ` } a \in \text{nat} \& 0 < f \text{ ` } a)$
<proof>

lemma *multiset-into-Mult*: $[| \text{multiset}(M); \text{mset-of}(M) \subseteq A |] \implies M \in \text{Mult}(A)$
<proof>

lemma *Mult-into-multiset*: $M \in \text{Mult}(A) \implies \text{multiset}(M) \& \text{mset-of}(M) \subseteq A$
<proof>

lemma *Mult-iff-multiset*: $M \in \text{Mult}(A) \leftrightarrow \text{multiset}(M) \& \text{mset-of}(M) \subseteq A$
<proof>

lemma *multiset-iff-Mult-mset-of*: $\text{multiset}(M) \leftrightarrow M \in \text{Mult}(\text{mset-of}(M))$
<proof>

The *multiset* operator

lemma *multiset-0* [*simp*]: $\text{multiset}(0)$
<proof>

The *mset-of* operator

lemma *multiset-set-of-Finite* [*simp*]: $\text{multiset}(M) \implies \text{Finite}(\text{mset-of}(M))$
<proof>

lemma *mset-of-0* [*iff*]: $\text{mset-of}(0) = 0$
<proof>

lemma *mset-is-0-iff*: $\text{multiset}(M) \implies \text{mset-of}(M) = 0 \leftrightarrow M = 0$
<proof>

lemma *mset-of-single* [iff]: $mset-of(\{ \#a\# \}) = \{a\}$
<proof>

lemma *mset-of-union* [iff]: $mset-of(M +\# N) = mset-of(M) \cup mset-of(N)$
<proof>

lemma *mset-of-diff* [simp]: $mset-of(M) \subseteq A \implies mset-of(M -\# N) \subseteq A$
<proof>

lemma *msingle-not-0* [iff]: $\{ \#a\# \} \neq 0 \ \& \ 0 \neq \{ \#a\# \}$
<proof>

lemma *msingle-eq-iff* [iff]: $(\{ \#a\# \} = \{ \#b\# \}) \iff (a = b)$
<proof>

lemma *msingle-multiset* [iff, TC]: $mset-of(\{ \#a\# \}) = multiset(\{ \#a\# \})$
<proof>

lemmas *Collect-Finite = Collect-subset* [THEN subset-Finite, standard]

lemma *normalize-idem* [simp]: $normalize(normalize(f)) = normalize(f)$
<proof>

lemma *normalize-multiset* [simp]: $multiset(M) \implies normalize(M) = M$
<proof>

lemma *multiset-normalize* [simp]: $multiset(normalize(f)) = multiset(f)$
<proof>

lemma *munion-multiset* [simp]: $[[multiset(M); multiset(N)]] \implies multiset(M +\# N)$
<proof>

lemma *mdiff-multiset* [simp]: $multiset(M -\# N) = multiset(M) - multiset(N)$
<proof>

lemma *munion-0* [*simp*]: $\text{multiset}(M) \implies M +\# 0 = M \ \& \ 0 +\# M = M$
 ⟨*proof*⟩

lemma *munion-commute*: $M +\# N = N +\# M$
 ⟨*proof*⟩

lemma *munion-assoc*: $(M +\# N) +\# K = M +\# (N +\# K)$
 ⟨*proof*⟩

lemma *munion-lcommute*: $M +\# (N +\# K) = N +\# (M +\# K)$
 ⟨*proof*⟩

lemmas *munion-ac = munion-commute munion-assoc munion-lcommute*

lemma *mdiff-self-eq-0* [*simp*]: $M -\# M = 0$
 ⟨*proof*⟩

lemma *mdiff-0* [*simp*]: $0 -\# M = 0$
 ⟨*proof*⟩

lemma *mdiff-0-right* [*simp*]: $\text{multiset}(M) \implies M -\# 0 = M$
 ⟨*proof*⟩

lemma *mdiff-union-inverse2* [*simp*]: $\text{multiset}(M) \implies M +\# \{\#a\# \} -\# \{\#a\# \} = M$
 ⟨*proof*⟩

lemma *mcount-type* [*simp,TC*]: $\text{multiset}(M) \implies \text{mcount}(M, a) \in \text{nat}$
 ⟨*proof*⟩

lemma *mcount-0* [*simp*]: $\text{mcount}(0, a) = 0$
 ⟨*proof*⟩

lemma *mcount-single* [*simp*]: $\text{mcount}(\{\#b\#\}, a) = (\text{if } a=b \text{ then } 1 \text{ else } 0)$
 ⟨*proof*⟩

lemma *mcount-union* [*simp*]: $[\text{multiset}(M); \text{multiset}(N)] \implies \text{mcount}(M +\# N, a) = \text{mcount}(M, a) \#+ \text{mcount}(N, a)$
 ⟨*proof*⟩

lemma *mcount-diff* [*simp*]: $\text{multiset}(M) \implies \text{mcount}(M -\# N, a) = \text{mcount}(M, a) \#- \text{mcount}(N, a)$
 ⟨*proof*⟩

lemma *mcount-elim*: $[[\text{multiset}(M); a \in \text{mset-of}(M)]] \implies 0 < \text{mcount}(M, a)$
 $\langle \text{proof} \rangle$

lemma *msize-0* [*simp*]: $\text{msize}(0) = \#0$
 $\langle \text{proof} \rangle$

lemma *msize-single* [*simp*]: $\text{msize}(\{\#a\}) = \#1$
 $\langle \text{proof} \rangle$

lemma *msize-type* [*simp, TC*]: $\text{msize}(M) \in \text{int}$
 $\langle \text{proof} \rangle$

lemma *msize-zpositive*: $\text{multiset}(M) \implies \#0 \leq \text{msize}(M)$
 $\langle \text{proof} \rangle$

lemma *msize-int-of-nat*: $\text{multiset}(M) \implies \exists n \in \text{nat}. \text{msize}(M) = \#n$
 $\langle \text{proof} \rangle$

lemma *not-empty-multiset-imp-exist*:
 $[[M \neq 0; \text{multiset}(M)]] \implies \exists a \in \text{mset-of}(M). 0 < \text{mcount}(M, a)$
 $\langle \text{proof} \rangle$

lemma *msize-eq-0-iff*: $\text{multiset}(M) \implies \text{msize}(M) = \#0 \iff M = 0$
 $\langle \text{proof} \rangle$

lemma *setsum-mcount-Int*:
 $\text{Finite}(A) \implies \text{setsum}(\%a. \# \text{mcount}(N, a), A \text{ Int } \text{mset-of}(N))$
 $= \text{setsum}(\%a. \# \text{mcount}(N, a), A)$
 $\langle \text{proof} \rangle$

lemma *msize-union* [*simp*]:
 $[[\text{multiset}(M); \text{multiset}(N)]] \implies \text{msize}(M +\# N) = \text{msize}(M) \#+ \text{msize}(N)$
 $\langle \text{proof} \rangle$

lemma *msize-eq-succ-imp-elim*: $[[\text{msize}(M) = \# \text{succ}(n); n \in \text{nat}]] \implies \exists a. a \in \text{mset-of}(M)$
 $\langle \text{proof} \rangle$

lemma *equality-lemma*:
 $[[\text{multiset}(M); \text{multiset}(N); \forall a. \text{mcount}(M, a) = \text{mcount}(N, a)]]$
 $\implies \text{mset-of}(M) = \text{mset-of}(N)$
 $\langle \text{proof} \rangle$

lemma *multiset-equality*:
 $[[\text{multiset}(M); \text{multiset}(N)]] \implies M = N \iff (\forall a. \text{mcount}(M, a) = \text{mcount}(N,$

a))
 ⟨proof⟩

lemma *munion-eq-0-iff* [simp]: $[[\text{multiset}(M); \text{multiset}(N)] \implies (M +\# N = 0) \longleftrightarrow (M=0 \ \& \ N=0)$
 ⟨proof⟩

lemma *empty-eq-munion-iff* [simp]: $[[\text{multiset}(M); \text{multiset}(N)] \implies (0=M +\# N) \longleftrightarrow (M=0 \ \& \ N=0)$
 ⟨proof⟩

lemma *munion-right-cancel* [simp]:
 $[[\text{multiset}(M); \text{multiset}(N); \text{multiset}(K)] \implies (M +\# K = N +\# K) \longleftrightarrow (M=N)$
 ⟨proof⟩

lemma *munion-left-cancel* [simp]:
 $[[\text{multiset}(K); \text{multiset}(M); \text{multiset}(N)] \implies (K +\# M = K +\# N) \longleftrightarrow (M = N)$
 ⟨proof⟩

lemma *nat-add-eq-1-cases*: $[[m \in \text{nat}; n \in \text{nat}] \implies (m \#+ n = 1) \longleftrightarrow (m=1 \ \& \ n=0) \mid (m=0 \ \& \ n=1)$
 ⟨proof⟩

lemma *munion-is-single*:
 $[[\text{multiset}(M); \text{multiset}(N)] \implies (M +\# N = \{\#a\}) \longleftrightarrow (M=\{\#a\} \ \& \ N=0) \mid (M=0 \ \& \ N = \{\#a\})$
 ⟨proof⟩

lemma *msingle-is-union*: $[[\text{multiset}(M); \text{multiset}(N)] \implies (\{\#a\} = M +\# N) \longleftrightarrow (\{\#a\} = M \ \& \ N=0 \mid M=0 \ \& \ \{\#a\} = N)$
 ⟨proof⟩

lemma *setsum-decr*:
 $\text{Finite}(A) \implies (\forall M. \text{multiset}(M) \longrightarrow (\forall a \in \text{mset-of}(M). \text{setsum}(\%z. \ \$\# \ \text{mcount}(M(a:=M'a \ \#- \ 1), z), A) = (\text{if } a \in A \text{ then } \text{setsum}(\%z. \ \$\# \ \text{mcount}(M, z), A) \ \$- \ \#1 \ \text{else } \text{setsum}(\%z. \ \$\# \ \text{mcount}(M, z), A))))$
 ⟨proof⟩

lemma *setsum-decr2*:
 $\text{Finite}(A)$

$==> \forall M. \text{multiset}(M) \text{ --> } (\forall a \in \text{mset-of}(M). \\
\text{setsum}(\%x. \$\# \text{mcount}(\text{funrestrict}(M, \text{mset-of}(M) - \{a\}), x), A) = \\
(\text{if } a \in A \text{ then } \text{setsum}(\%x. \$\# \text{mcount}(M, x), A) \$- \$\# M'a \\
\text{else } \text{setsum}(\%x. \$\# \text{mcount}(M, x), A)))$

$\langle \text{proof} \rangle$

lemma *setsum-decr3*: $[[\text{Finite}(A); \text{multiset}(M); a \in \text{mset-of}(M)]]$
 $==> \text{setsum}(\%x. \$\# \text{mcount}(\text{funrestrict}(M, \text{mset-of}(M) - \{a\}), x), A - \{a\}) =$
 $=$
 $(\text{if } a \in A \text{ then } \text{setsum}(\%x. \$\# \text{mcount}(M, x), A) \$- \$\# M'a \\
\text{else } \text{setsum}(\%x. \$\# \text{mcount}(M, x), A))$

$\langle \text{proof} \rangle$

lemma *nat-le-1-cases*: $n \in \text{nat} ==> n \text{ le } 1 <-> (n=0 \mid n=1)$

$\langle \text{proof} \rangle$

lemma *succ-pred-eq-self*: $[[0 < n; n \in \text{nat}]]$ $==> \text{succ}(n \#- 1) = n$

$\langle \text{proof} \rangle$

Specialized for use in the proof below.

lemma *multiset-funrestrict*:
 $[[\forall a \in A. M \text{ ' } a \in \text{nat} \wedge 0 < M \text{ ' } a; \text{Finite}(A)]]$
 $\implies \text{multiset}(\text{funrestrict}(M, A - \{a\}))$

$\langle \text{proof} \rangle$

lemma *multiset-induct-aux*:

assumes *prem1*: $!!M a. [[\text{multiset}(M); a \notin \text{mset-of}(M); P(M)]]$ $==> P(\text{cons}(<a, 1>, M))$

and *prem2*: $!!M b. [[\text{multiset}(M); b \in \text{mset-of}(M); P(M)]]$ $==> P(M(b:= M'b \#+ 1))$

shows

$[[n \in \text{nat}; P(0)]]$

$==> (\forall M. \text{multiset}(M) \text{ --> } ($

$\text{setsum}(\%x. \$\# \text{mcount}(M, x), \{x \in \text{mset-of}(M). 0 < M'x\}) = \$\# n) \text{ --> } P(M))$

$\langle \text{proof} \rangle$

lemma *multiset-induct2*:

$[[\text{multiset}(M); P(0);$

$(!!M a. [[\text{multiset}(M); a \notin \text{mset-of}(M); P(M)]]$ $==> P(\text{cons}(<a, 1>, M))];$

$(!!M b. [[\text{multiset}(M); b \in \text{mset-of}(M); P(M)]]$ $==> P(M(b:= M'b \#+ 1)))$

$]]$

$==> P(M)$

$\langle \text{proof} \rangle$

lemma *union-single-case1*:

$[[\text{multiset}(M); a \notin \text{mset-of}(M)]]$ $==> M \#+ \#\{a\} = \text{cons}(<a, 1>, M)$

$\langle \text{proof} \rangle$

lemma *munion-single-case2*:

$[[\text{multiset}(M); a \in \text{mset-of}(M)]] \implies M +\# \{\#a\# \} = M(a:=M'a \# + 1)$
 $\langle \text{proof} \rangle$

lemma *multiset-induct*:

assumes $M: \text{multiset}(M)$
and $P0: P(0)$
and step: $!!M a. [[\text{multiset}(M); P(M)]] \implies P(M +\# \{\#a\# \})$
shows $P(M)$
 $\langle \text{proof} \rangle$

lemma *MCollect-multiset* [simp]:

$\text{multiset}(M) \implies \text{multiset}(\{\# x \in M. P(x)\# \})$
 $\langle \text{proof} \rangle$

lemma *mset-of-MCollect* [simp]:

$\text{multiset}(M) \implies \text{mset-of}(\{\# x \in M. P(x)\# \}) \subseteq \text{mset-of}(M)$
 $\langle \text{proof} \rangle$

lemma *MCollect-mem-iff* [iff]:

$x \in \text{mset-of}(\{\# x \in M. P(x)\# \}) \iff x \in \text{mset-of}(M) \ \& \ P(x)$
 $\langle \text{proof} \rangle$

lemma *mcount-MCollect* [simp]:

$\text{mcount}(\{\# x \in M. P(x)\# \}, a) = (\text{if } P(a) \text{ then } \text{mcount}(M, a) \text{ else } 0)$
 $\langle \text{proof} \rangle$

lemma *multiset-partition*: $\text{multiset}(M) \implies M = \{\# x \in M. P(x)\# \} +\# \{\# x \in M. \sim P(x)\# \}$
 $\langle \text{proof} \rangle$

lemma *nafify-elem-is-self* [simp]:

$[[\text{multiset}(M); a \in \text{mset-of}(M)]] \implies \text{nafify}(M'a) = M'a$
 $\langle \text{proof} \rangle$

lemma *munion-eq-conv-diff*: $[[\text{multiset}(M); \text{multiset}(N)]]$

$\implies (M +\# \{\#a\# \} = N +\# \{\#b\# \}) \iff (M = N \ \& \ a = b \mid$
 $M = N -\# \{\#a\# \} +\# \{\#b\# \} \ \& \ N = M -\# \{\#b\# \} +\# \{\#a\# \})$
 $\langle \text{proof} \rangle$

lemma *melem-diff-single*:

$\text{multiset}(M) \implies$
 $k \in \text{mset-of}(M -\# \{\#a\# \}) \iff (k=a \ \& \ 1 < \text{mcount}(M, a)) \mid (k \neq a \ \& \ k \in$

$mset-of(M)$
 $\langle proof \rangle$

lemma *munion-eq-conv-exist*:

$[[M \in Mult(A); N \in Mult(A)]]$
 $==> (M +\# \{\#a\} = N +\# \{\#b\}) <->$
 $(M=N \ \& \ a=b \mid (\exists K \in Mult(A). M = K +\# \{\#b\} \ \& \ N = K +\# \{\#a\}))$
 $\langle proof \rangle$

8.2 Multiset Orderings

lemma *multirel1-type*: $multirel1(A, r) \subseteq Mult(A)*Mult(A)$
 $\langle proof \rangle$

lemma *multirel1-0* [simp]: $multirel1(0, r) = 0$
 $\langle proof \rangle$

lemma *multirel1-iff*:

$\langle N, M \rangle \in multirel1(A, r) <->$
 $(\exists a. a \in A \ \&$
 $(\exists M0. M0 \in Mult(A) \ \& \ (\exists K. K \in Mult(A) \ \&$
 $M = M0 +\# \{\#a\} \ \& \ N = M0 +\# K \ \& \ (\forall b \in mset-of(K). \langle b, a \rangle \in r)))$
 $\langle proof \rangle$

Monotonicity of *multirel1*

lemma *multirel1-mono1*: $A \subseteq B ==> multirel1(A, r) \subseteq multirel1(B, r)$
 $\langle proof \rangle$

lemma *multirel1-mono2*: $r \subseteq s ==> multirel1(A, r) \subseteq multirel1(A, s)$
 $\langle proof \rangle$

lemma *multirel1-mono*:

$[[A \subseteq B; r \subseteq s]]$ $==> multirel1(A, r) \subseteq multirel1(B, s)$
 $\langle proof \rangle$

8.3 Toward the proof of well-foundedness of multirel1

lemma *not-less-0* [iff]: $\langle M, 0 \rangle \notin multirel1(A, r)$
 $\langle proof \rangle$

lemma *less-munion*: $[[\langle N, M0 +\# \{\#a\} \rangle \in multirel1(A, r); M0 \in Mult(A)]]$
 $==>$
 $(\exists M. \langle M, M0 \rangle \in multirel1(A, r) \ \& \ N = M +\# \{\#a\}) \mid$
 $(\exists K. K \in Mult(A) \ \& \ (\forall b \in mset-of(K). \langle b, a \rangle \in r) \ \& \ N = M0 +\# K)$
 $\langle proof \rangle$

lemma *multirel1-base*: $[[M \in Mult(A); a \in A]]$ $==> \langle M, M +\# \{\#a\} \rangle \in multirel1(A, r)$
 $\langle proof \rangle$

lemma *acc-0*: $\text{acc}(0)=0$

<proof>

lemma *lemma1*: $[\forall b \in A. \langle b, a \rangle \in r \longrightarrow$

$(\forall M \in \text{acc}(\text{multirel1}(A, r)). M \text{ +\# } \{\#b\# \} : \text{acc}(\text{multirel1}(A, r)));$

$M0 \in \text{acc}(\text{multirel1}(A, r)); a \in A;$

$\forall M. \langle M, M0 \rangle \in \text{multirel1}(A, r) \longrightarrow M \text{ +\# } \{\#a\# \} \in \text{acc}(\text{multirel1}(A, r))$

$]$

$\implies M0 \text{ +\# } \{\#a\# \} \in \text{acc}(\text{multirel1}(A, r))$

<proof>

lemma *lemma2*: $[\forall b \in A. \langle b, a \rangle \in r$

$\longrightarrow (\forall M \in \text{acc}(\text{multirel1}(A, r)). M \text{ +\# } \{\#b\# \} : \text{acc}(\text{multirel1}(A, r)));$

$M \in \text{acc}(\text{multirel1}(A, r)); a \in A] \implies M \text{ +\# } \{\#a\# \} \in \text{acc}(\text{multirel1}(A,$

$r))$

<proof>

lemma *lemma3*: $[\text{wf}[A](r); a \in A]$

$\implies \forall M \in \text{acc}(\text{multirel1}(A, r)). M \text{ +\# } \{\#a\# \} \in \text{acc}(\text{multirel1}(A, r))$

<proof>

lemma *lemma4*: $\text{multiset}(M) \implies \text{mset-of}(M) \subseteq A \longrightarrow$

$\text{wf}[A](r) \longrightarrow M \in \text{field}(\text{multirel1}(A, r)) \longrightarrow M \in \text{acc}(\text{multirel1}(A, r))$

<proof>

lemma *all-accessible*: $[\text{wf}[A](r); M \in \text{Mult}(A); A \neq 0] \implies M \in \text{acc}(\text{multirel1}(A,$

$r))$

<proof>

lemma *wf-on-multirel1*: $\text{wf}[A](r) \implies \text{wf}[A - \{0\}](\text{multirel1}(A, r))$

<proof>

lemma *wf-multirel1*: $\text{wf}(r) \implies \text{wf}(\text{multirel1}(\text{field}(r), r))$

<proof>

lemma *multirel-type*: $\text{multirel}(A, r) \subseteq \text{Mult}(A) * \text{Mult}(A)$

<proof>

lemma *multirel-mono*:

$[\ A \subseteq B; r \subseteq s] \implies \text{multirel}(A, r) \subseteq \text{multirel}(B, s)$

<proof>

lemma *add-diff-eq*: $k \in \text{nat} \implies 0 < k \longrightarrow n \# + k \# - 1 = n \# + (k \# - 1)$

$\langle proof \rangle$

lemma *mdiff-union-single-conv*: $\llbracket a \in mset\text{-of}(J); multiset(I); multiset(J) \rrbracket$
 $\implies I +\# J -\# \{\#a\# \} = I +\# (J -\# \{\#a\# \})$
 $\langle proof \rangle$

lemma *diff-add-commute*: $\llbracket n \text{ le } m; m \in nat; n \in nat; k \in nat \rrbracket \implies m \#- n$
 $n \#+ k = m \#+ k \#- n$
 $\langle proof \rangle$

lemma *multirel-implies-one-step*:

$\langle M, N \rangle \in multirel(A, r) \implies$
 $trans[A](r) \dashrightarrow$
 $(\exists I J K.$
 $I \in Mult(A) \ \& \ J \in Mult(A) \ \& \ K \in Mult(A) \ \&$
 $N = I +\# J \ \& \ M = I +\# K \ \& \ J \neq 0 \ \&$
 $(\forall k \in mset\text{-of}(K). \exists j \in mset\text{-of}(J). \langle k, j \rangle \in r))$
 $\langle proof \rangle$

lemma *melem-imp-eq-diff-union* [*simp*]: $\llbracket a \in mset\text{-of}(M); multiset(M) \rrbracket \implies$
 $M -\# \{\#a\# \} +\# \{\#a\# \} = M$
 $\langle proof \rangle$

lemma *msize-eq-succ-imp-eq-union*:

$\llbracket msize(M) = \$\# succ(n); M \in Mult(A); n \in nat \rrbracket$
 $\implies \exists a N. M = N +\# \{\#a\# \} \ \& \ N \in Mult(A) \ \& \ a \in A$
 $\langle proof \rangle$

lemma *one-step-implies-multirel-lemma* [*rule-format (no-asm)*]:

$n \in nat \implies$
 $(\forall I J K.$
 $I \in Mult(A) \ \& \ J \in Mult(A) \ \& \ K \in Mult(A) \ \&$
 $(msize(J) = \$\# n \ \& \ J \neq 0 \ \& \ (\forall k \in mset\text{-of}(K). \exists j \in mset\text{-of}(J). \langle k, j \rangle \in r))$
 $\dashrightarrow \langle I +\# K, I +\# J \rangle \in multirel(A, r))$
 $\langle proof \rangle$

lemma *one-step-implies-multirel*:

$\llbracket J \neq 0; \forall k \in mset\text{-of}(K). \exists j \in mset\text{-of}(J). \langle k, j \rangle \in r;$
 $I \in Mult(A); J \in Mult(A); K \in Mult(A) \rrbracket$
 $\implies \langle I +\# K, I +\# J \rangle \in multirel(A, r)$
 $\langle proof \rangle$

lemma *multirel-irrefl-lemma*:

$Finite(A) \implies part\text{-}ord(A, r) \dashrightarrow (\forall x \in A. \exists y \in A. \langle x, y \rangle \in r) \dashrightarrow A=0$
<proof>

lemma *irrefl-on-multirel*:

$part\text{-}ord(A, r) \implies irrefl(Mult(A), multirel(A, r))$
<proof>

lemma *trans-on-multirel*: $trans[Multi(A)](multirel(A, r))$

<proof>

lemma *multirel-trans*:

$[\langle M, N \rangle \in multirel(A, r); \langle N, K \rangle \in multirel(A, r)] \implies \langle M, K \rangle \in multirel(A, r)$
<proof>

lemma *trans-multirel*: $trans(multirel(A, r))$

<proof>

lemma *part-ord-multirel*: $part\text{-}ord(A, r) \implies part\text{-}ord(Mult(A), multirel(A, r))$

<proof>

lemma *munion-multirel1-mono*:

$[\langle M, N \rangle \in multirel1(A, r); K \in Mult(A)] \implies \langle K +\# M, K +\# N \rangle \in multirel1(A, r)$
<proof>

lemma *munion-multirel-mono2*:

$[\langle M, N \rangle \in multirel(A, r); K \in Mult(A)] \implies \langle K +\# M, K +\# N \rangle \in multirel(A, r)$
<proof>

lemma *munion-multirel-mono1*:

$[\langle M, N \rangle \in multirel(A, r); K \in Mult(A)] \implies \langle M +\# K, N +\# K \rangle \in multirel(A, r)$
<proof>

lemma *munion-multirel-mono*:

$[\langle M, K \rangle \in multirel(A, r); \langle N, L \rangle \in multirel(A, r)] \implies \langle M +\# N, K +\# L \rangle \in multirel(A, r)$
<proof>

8.4 Ordinal Multisets

lemmas *field-Memrel-mono = Memrel-mono [THEN field-mono, standard]*

lemmas *multirel-Memrel-mono = multirel-mono* [*OF field-Memrel-mono Memrel-mono*]

lemma *omultiset-is-multiset* [*simp*]: $omultiset(M) ==> multiset(M)$
(*proof*)

lemma *munion-omultiset* [*simp*]: $[| omultiset(M); omultiset(N) |] ==> omultiset(M +\# N)$
(*proof*)

lemma *mdiff-omultiset* [*simp*]: $omultiset(M) ==> omultiset(M -\# N)$
(*proof*)

lemma *irrefl-Memrel*: $Ord(i) ==> irrefl(field(Memrel(i)), Memrel(i))$
(*proof*)

lemma *trans-iff-trans-on*: $trans(r) <-> trans[field(r)](r)$
(*proof*)

lemma *part-ord-Memrel*: $Ord(i) ==> part-ord(field(Memrel(i)), Memrel(i))$
(*proof*)

lemmas *part-ord-mless = part-ord-Memrel* [*THEN part-ord-multirel, standard*]

lemma *mless-not-refl*: $\sim(M <\# M)$
(*proof*)

lemmas *mless-irrefl = mless-not-refl* [*THEN notE, standard, elim!*]

lemma *mless-trans*: $[| K <\# M; M <\# N |] ==> K <\# N$
(*proof*)

lemma *mless-not-sym*: $M <\# N ==> \sim N <\# M$
(*proof*)

lemma *mless-asym*: $[| M <\# N; \sim P ==> N <\# M |] ==> P$
(*proof*)

lemma *mle-refl* [*simp*]: $omultiset(M) \implies M <\# = M$
<proof>

lemma *mle-antisym*:
[[$M <\# = N$; $N <\# = M$]] $\implies M = N$
<proof>

lemma *mle-trans*: [[$K <\# = M$; $M <\# = N$]] $\implies K <\# = N$
<proof>

lemma *mless-le-iff*: $M <\# N \iff (M <\# = N \ \& \ M \neq N)$
<proof>

lemma *munion-less-mono2*: [[$M <\# N$; $omultiset(K)$]] $\implies K +\# M <\# K +\# N$
<proof>

lemma *munion-less-mono1*: [[$M <\# N$; $omultiset(K)$]] $\implies M +\# K <\# N +\# K$
<proof>

lemma *mless-imp-omultiset*: $M <\# N \implies omultiset(M) \ \& \ omultiset(N)$
<proof>

lemma *munion-less-mono*: [[$M <\# K$; $N <\# L$]] $\implies M +\# N <\# K +\# L$
<proof>

lemma *mle-imp-omultiset*: $M <\# = N \implies omultiset(M) \ \& \ omultiset(N)$
<proof>

lemma *mle-mono*: [[$M <\# = K$; $N <\# = L$]] $\implies M +\# N <\# = K +\# L$
<proof>

lemma *omultiset-0* [*iff*]: $omultiset(0)$
<proof>

lemma *empty-leI* [*simp*]: $omultiset(M) \implies 0 <\# = M$
<proof>

lemma *munion-upper1*: [[$omultiset(M)$; $omultiset(N)$]] $\implies M <\# = M +\# N$
<proof>

<ML>

end

9 An operator to “map” a relation over a list

theory *Rmap* imports *Main* begin

consts

rmap :: $i \Rightarrow i$

inductive

domains *rmap*(*r*) \subseteq *list*(*domain*(*r*)) \times *list*(*range*(*r*))

intros

NilI: $\langle Nil, Nil \rangle \in rmap(r)$

ConsI: $[\langle x, y \rangle: r; \langle xs, ys \rangle \in rmap(r)]$
 $\implies \langle Cons(x, xs), Cons(y, ys) \rangle \in rmap(r)$

type-intros *domainI* *rangeI* *list.intros*

lemma *rmap-mono*: $r \subseteq s \implies rmap(r) \subseteq rmap(s)$

<proof>

inductive-cases

Nil-rmap-case [*elim!*]: $\langle Nil, zs \rangle \in rmap(r)$

and *Cons-rmap-case* [*elim!*]: $\langle Cons(x, xs), zs \rangle \in rmap(r)$

declare *rmap.intros* [*intro*]

lemma *rmap-rel-type*: $r \subseteq A \times B \implies rmap(r) \subseteq list(A) \times list(B)$

<proof>

lemma *rmap-total*: $A \subseteq domain(r) \implies list(A) \subseteq domain(rmap(r))$

<proof>

lemma *rmap-functional*: $function(r) \implies function(rmap(r))$

<proof>

If *f* is a function then *rmap*(*f*) behaves as expected.

lemma *rmap-fun-type*: $f \in A \rightarrow B \implies rmap(f): list(A) \rightarrow list(B)$

<proof>

lemma *rmap-Nil*: $rmap(f) 'Nil = Nil$

<proof>

lemma *rmap-Cons*: $[\ f \in A \rightarrow B; \ x \in A; \ xs: list(A) \]$

$\implies rmap(f) ' Cons(x, xs) = Cons(f'x, rmap(f) 'xs)$

<proof>

end

10 Meta-theory of propositional logic

theory PropLog imports Main begin

Datatype definition of propositional logic formulae and inductive definition of the propositional tautologies.

Inductive definition of propositional logic. Soundness and completeness w.r.t. truth-tables.

Prove: If $H \models p$ then $G \models p$ where $G \in Fin(H)$

10.1 The datatype of propositions

consts

propn :: *i*

datatype *propn* =

Fls

| *Var* (*n* ∈ *nat*) (#- [100] 100)

| *Imp* (*p* ∈ *propn*, *q* ∈ *propn*) (**infixr** => 90)

10.2 The proof system

consts *thms* :: *i* => *i*

syntax *-thms* :: [*i*,*i*] => *o* (**infixl** |- 50)

translations $H \vdash p == p \in thms(H)$

inductive

domains $thms(H) \subseteq propn$

intros

H: [| *p* ∈ *H*; *p* ∈ *propn* |] ==> $H \vdash p$

K: [| *p* ∈ *propn*; *q* ∈ *propn* |] ==> $H \vdash p \Rightarrow q \Rightarrow p$

S: [| *p* ∈ *propn*; *q* ∈ *propn*; *r* ∈ *propn* |]

==> $H \vdash (p \Rightarrow q \Rightarrow r) \Rightarrow (p \Rightarrow q) \Rightarrow p \Rightarrow r$

DN: $p \in propn \Rightarrow H \vdash ((p \Rightarrow Fls) \Rightarrow Fls) \Rightarrow p$

MP: [| $H \vdash p \Rightarrow q$; $H \vdash p$; *p* ∈ *propn*; *q* ∈ *propn* |] ==> $H \vdash q$

type-intros *propn.intros*

declare *propn.intros* [*simp*]

10.3 The semantics

10.3.1 Semantics of propositional logic.

consts

$is\text{-true}\text{-fun} :: [i,i] \Rightarrow i$

primrec

$is\text{-true}\text{-fun}(Fls, t) = 0$

$is\text{-true}\text{-fun}(Var(v), t) = (if\ v \in t\ then\ 1\ else\ 0)$

$is\text{-true}\text{-fun}(p \Rightarrow q, t) = (if\ is\text{-true}\text{-fun}(p,t) = 1\ then\ is\text{-true}\text{-fun}(q,t)\ else\ 1)$

constdefs

$is\text{-true} :: [i,i] \Rightarrow o$

$is\text{-true}(p,t) == is\text{-true}\text{-fun}(p,t) = 1$

— this definition is required since predicates can't be recursive

lemma $is\text{-true}\text{-Fls}$ [simp]: $is\text{-true}(Fls,t) \Leftrightarrow False$

$\langle proof \rangle$

lemma $is\text{-true}\text{-Var}$ [simp]: $is\text{-true}(\#v,t) \Leftrightarrow v \in t$

$\langle proof \rangle$

lemma $is\text{-true}\text{-Imp}$ [simp]: $is\text{-true}(p \Rightarrow q,t) \Leftrightarrow (is\text{-true}(p,t) \longrightarrow is\text{-true}(q,t))$

$\langle proof \rangle$

10.3.2 Logical consequence

For every valuation, if all elements of H are true then so is p .

constdefs

$logcon :: [i,i] \Rightarrow o$ (**infixl** $|\ =$ 50)

$H |\ = p == \forall t. (\forall q \in H. is\text{-true}(q,t)) \longrightarrow is\text{-true}(p,t)$

A finite set of hypotheses from t and the $Vars$ in p .

consts

$hyps :: [i,i] \Rightarrow i$

primrec

$hyps(Fls, t) = 0$

$hyps(Var(v), t) = (if\ v \in t\ then\ \{\#v\}\ else\ \{\#v \Rightarrow Fls\})$

$hyps(p \Rightarrow q, t) = hyps(p,t) \cup hyps(q,t)$

10.4 Proof theory of propositional logic

lemma $thms\text{-mono}$: $G \subseteq H \Rightarrow thms(G) \subseteq thms(H)$

$\langle proof \rangle$

lemmas $thms\text{-in-pl} = thms.\text{dom-subset}$ [THEN subsetD]

inductive-cases $ImpE$: $p \Rightarrow q \in propn$

lemma $thms\text{-MP}$: $[| H |\ -\ p \Rightarrow q; H |\ -\ p |] \Rightarrow H |\ -\ q$

— Stronger Modus Ponens rule: no typechecking!

$\langle proof \rangle$

lemma $thms\text{-I}$: $p \in propn \Rightarrow H |\ -\ p \Rightarrow p$

— Rule is called *I* for Identity Combinator, not for Introduction.
 ⟨proof⟩

10.4.1 Weakening, left and right

lemma *weaken-left*: $[| G \subseteq H; G|-p |] \implies H|-p$
 — Order of premises is convenient with *THEN*
 ⟨proof⟩

lemma *weaken-left-cons*: $H|-p \implies \text{cons}(a,H) |- p$
 ⟨proof⟩

lemmas *weaken-left-Un1* = *Un-upper1* [*THEN* *weaken-left*]
lemmas *weaken-left-Un2* = *Un-upper2* [*THEN* *weaken-left*]

lemma *weaken-right*: $[| H|-q; p \in \text{propn} |] \implies H|-p \implies q$
 ⟨proof⟩

10.4.2 The deduction theorem

theorem *deduction*: $[| \text{cons}(p,H) |- q; p \in \text{propn} |] \implies H|-p \implies q$
 ⟨proof⟩

10.4.3 The cut rule

lemma *cut*: $[| H|-p; \text{cons}(p,H) |- q |] \implies H|-q$
 ⟨proof⟩

lemma *thms-FlsE*: $[| H|-Fls; p \in \text{propn} |] \implies H|-p$
 ⟨proof⟩

lemma *thms-notE*: $[| H|-p \implies Fls; H|-p; q \in \text{propn} |] \implies H|-q$
 ⟨proof⟩

10.4.4 Soundness of the rules wrt truth-table semantics

theorem *soundness*: $H|-p \implies H|=p$
 ⟨proof⟩

10.5 Completeness

10.5.1 Towards the completeness proof

lemma *Fls-Imp*: $[| H|-p \implies Fls; q \in \text{propn} |] \implies H|-p \implies q$
 ⟨proof⟩

lemma *Imp-Fls*: $[| H|-p; H|-q \implies Fls |] \implies H|- (p \implies q) \implies Fls$
 ⟨proof⟩

lemma *hyps-thms-if*:

$p \in \text{propn} \implies \text{hyps}(p,t) \mid - (\text{if is-true}(p,t) \text{ then } p \text{ else } p \implies \text{Fls})$
 — Typical example of strengthening the induction statement.
 $\langle \text{proof} \rangle$

lemma *logcon-thms-p*: $[\mid p \in \text{propn}; \ 0 \mid = p \mid] \implies \text{hyps}(p,t) \mid - p$
 — Key lemma for completeness; yields a set of assumptions satisfying p
 $\langle \text{proof} \rangle$

For proving certain theorems in our new propositional logic.

lemmas *propn-SIs = propn.intros deduction*
and *propn-Is = thms-in-pl thms.H thms.H [THEN thms-MP]*

The excluded middle in the form of an elimination rule.

lemma *thms-excluded-middle*:
 $[\mid p \in \text{propn}; \ q \in \text{propn} \mid] \implies H \mid - (p \implies q) \implies ((p \implies \text{Fls}) \implies q) \implies q$
 $\langle \text{proof} \rangle$

lemma *thms-excluded-middle-rule*:
 $[\mid \text{cons}(p,H) \mid - q; \ \text{cons}(p \implies \text{Fls}, H) \mid - q; \ p \in \text{propn} \mid] \implies H \mid - q$
 — Hard to prove directly because it requires cuts
 $\langle \text{proof} \rangle$

10.5.2 Completeness – lemmas for reducing the set of assumptions

For the case $\text{hyps}(p, t) - \text{cons}(\#v, Y) \mid - p$ we also have $\text{hyps}(p, t) - \{\#v\} \subseteq \text{hyps}(p, t - \{v\})$.

lemma *hyps-Diff*:
 $p \in \text{propn} \implies \text{hyps}(p, t - \{v\}) \subseteq \text{cons}(\#v \implies \text{Fls}, \text{hyps}(p,t) - \{\#v\})$
 $\langle \text{proof} \rangle$

For the case $\text{hyps}(p, t) - \text{cons}(\#v \implies \text{Fls}, Y) \mid - p$ we also have $\text{hyps}(p, t) - \{\#v \implies \text{Fls}\} \subseteq \text{hyps}(p, \text{cons}(v, t))$.

lemma *hyps-cons*:
 $p \in \text{propn} \implies \text{hyps}(p, \text{cons}(v,t)) \subseteq \text{cons}(\#v, \text{hyps}(p,t) - \{\#v \implies \text{Fls}\})$
 $\langle \text{proof} \rangle$

Two lemmas for use with *weaken-left*

lemma *cons-Diff-same*: $B - C \subseteq \text{cons}(a, B - \text{cons}(a,C))$
 $\langle \text{proof} \rangle$

lemma *cons-Diff-subset2*: $\text{cons}(a, B - \{c\}) - D \subseteq \text{cons}(a, B - \text{cons}(c,D))$
 $\langle \text{proof} \rangle$

The set $\text{hyps}(p, t)$ is finite, and elements have the form $\#v$ or $\#v \implies \text{Fls}$; could probably prove the stronger $\text{hyps}(p, t) \in \text{Fin}(\text{hyps}(p, 0) \cup \text{hyps}(p, \text{nat}))$.

lemma *hyps-finite*: $p \in \text{propn} \implies \text{hyps}(p,t) \in \text{Fin}(\bigcup v \in \text{nat}. \{\#v, \#v \implies \text{Fls}\})$
 ⟨proof⟩

lemmas *Diff-weaken-left = Diff-mono [OF - subset-refl, THEN weaken-left]*

Induction on the finite set of assumptions $\text{hyps}(p, t0)$. We may repeatedly subtract assumptions until none are left!

lemma *completeness-0-lemma* [*rule-format*]:
 $[[p \in \text{propn}; 0 \models p]] \implies \forall t. \text{hyps}(p,t) - \text{hyps}(p,t0) \vdash p$
 ⟨proof⟩

10.5.3 Completeness theorem

lemma *completeness-0*: $[[p \in \text{propn}; 0 \models p]] \implies 0 \vdash p$
 — The base case for completeness
 ⟨proof⟩

lemma *logcon-Imp*: $[[\text{cons}(p,H) \models q]] \implies H \models p \implies q$
 — A semantic analogue of the Deduction Theorem
 ⟨proof⟩

lemma *completeness* [*rule-format*]:
 $H \in \text{Fin}(\text{propn}) \implies \forall p \in \text{propn}. H \models p \dashv\vdash H \vdash p$
 ⟨proof⟩

theorem *thms-iff*: $H \in \text{Fin}(\text{propn}) \implies H \vdash p \iff H \models p \wedge p \in \text{propn}$
 ⟨proof⟩

end

11 Lists of n elements

theory *ListN* imports *Main* begin

Inductive definition of lists of n elements; see [?].

consts *listn* :: $i \implies i$

inductive

domains $\text{listn}(A) \subseteq \text{nat} \times \text{list}(A)$

intros

NilI: $\langle 0, \text{Nil} \rangle \in \text{listn}(A)$

ConsI: $[[a \in A; \langle n, l \rangle \in \text{listn}(A)]] \implies \langle \text{succ}(n), \text{Cons}(a,l) \rangle \in \text{listn}(A)$

type-intros *nat-typechecks list.intros*

lemma *list-into-listn*: $l \in \text{list}(A) \implies \langle \text{length}(l), l \rangle \in \text{listn}(A)$
 ⟨proof⟩

lemma *listn-iff*: $\langle n, l \rangle \in \text{listn}(A) \leftrightarrow l \in \text{list}(A) \ \& \ \text{length}(l)=n$
 ⟨proof⟩

lemma *listn-image-eq*: $\text{listn}(A) \text{ ``}\{n\} = \{l \in \text{list}(A). \text{length}(l)=n\}$
 ⟨proof⟩

lemma *listn-mono*: $A \subseteq B \implies \text{listn}(A) \subseteq \text{listn}(B)$
 ⟨proof⟩

lemma *listn-append*:
 $[\langle n, l \rangle \in \text{listn}(A); \langle n', l' \rangle \in \text{listn}(A)] \implies \langle n\# + n', l@l' \rangle \in \text{listn}(A)$
 ⟨proof⟩

inductive-cases

Nil-listn-case: $\langle i, \text{Nil} \rangle \in \text{listn}(A)$
and *Cons-listn-case*: $\langle i, \text{Cons}(x, l) \rangle \in \text{listn}(A)$

inductive-cases

zero-listn-case: $\langle 0, l \rangle \in \text{listn}(A)$
and *succ-listn-case*: $\langle \text{succ}(i), l \rangle \in \text{listn}(A)$

end

12 Combinatory Logic example: the Church-Rosser Theorem

theory *Comb* **imports** *Main* **begin**

Curiously, combinators do not include free variables.

Example taken from [?].

12.1 Definitions

Datatype definition of combinators *S* and *K*.

consts *comb* :: *i*
datatype *comb* =
 K
 | *S*
 | *app* (*p* ∈ *comb*, *q* ∈ *comb*) (**infixl** @@ 90)

Inductive definition of contractions, $-1-\>$ and (multi-step) reductions, $---\>$.

consts

contract :: *i*

syntax

-contract :: [*i, i*] => *o* (**infixl** $-1-\>$ 50)

-contract-multi :: $[i, i] \Rightarrow o$ (**infixl** $----$ 50)

translations

$p -1-> q \equiv \langle p, q \rangle \in \text{contract}$

$p ----> q \equiv \langle p, q \rangle \in \text{contract}^*$

syntax (*xsymbols*)

comb.app :: $[i, i] \Rightarrow i$ (**infixl** \cdot 90)

inductive

domains $\text{contract} \subseteq \text{comb} \times \text{comb}$

intros

K: $[[p \in \text{comb}; q \in \text{comb}]] \implies K \cdot p \cdot q -1-> p$

S: $[[p \in \text{comb}; q \in \text{comb}; r \in \text{comb}]] \implies S \cdot p \cdot q \cdot r -1-> (p \cdot r) \cdot (q \cdot r)$

Ap1: $[[p -1-> q; r \in \text{comb}]] \implies p \cdot r -1-> q \cdot r$

Ap2: $[[p -1-> q; r \in \text{comb}]] \implies r \cdot p -1-> r \cdot q$

type-intros *comb.intros*

Inductive definition of parallel contractions, $=1=>$ and (multi-step) parallel reductions, $====>$.

consts

parcontract :: i

syntax

-parcontract :: $[i, i] \Rightarrow o$ (**infixl** $=1=>$ 50)

-parcontract-multi :: $[i, i] \Rightarrow o$ (**infixl** $====>$ 50)

translations

$p =1=> q \equiv \langle p, q \rangle \in \text{parcontract}$

$p ====> q \equiv \langle p, q \rangle \in \text{parcontract}^+$

inductive

domains $\text{parcontract} \subseteq \text{comb} \times \text{comb}$

intros

refl: $[[p \in \text{comb}]] \implies p =1=> p$

K: $[[p \in \text{comb}; q \in \text{comb}]] \implies K \cdot p \cdot q =1=> p$

S: $[[p \in \text{comb}; q \in \text{comb}; r \in \text{comb}]] \implies S \cdot p \cdot q \cdot r =1=> (p \cdot r) \cdot (q \cdot r)$

Ap: $[[p =1=> q; r =1=> s]] \implies p \cdot r =1=> q \cdot s$

type-intros *comb.intros*

Misc definitions.

constdefs

I :: i

$I \equiv S \cdot K \cdot K$

diamond :: $i \Rightarrow o$

diamond(*r*) ==

$\forall x y. \langle x, y \rangle \in r \longrightarrow (\forall y'. \langle x, y' \rangle \in r \longrightarrow (\exists z. \langle y, z \rangle \in r \ \& \ \langle y', z \rangle \in r))$

12.2 Transitive closure preserves the Church-Rosser property

lemma *diamond-strip-lemmaD* [*rule-format*]:

$$[[\text{diamond}(r); \langle x, y \rangle : r^+]] \implies$$

$$\forall y'. \langle x, y' \rangle : r \dashrightarrow (\exists z. \langle y', z \rangle : r^+ \ \& \ \langle y, z \rangle : r)$$

$$\langle \text{proof} \rangle$$

lemma *diamond-trancl*: $\text{diamond}(r) \implies \text{diamond}(r^+)$
 $\langle \text{proof} \rangle$

inductive-cases *Ap-E* [*elim!*]: $p \cdot q \in \text{comb}$

declare *comb.intros* [*intro!*]

12.3 Results about Contraction

For type checking: replaces $a -1-\> b$ by $a, b \in \text{comb}$.

lemmas *contract-combE2* = *contract.dom-subset* [*THEN subsetD*, *THEN SigmaE2*]

and *contract-combD1* = *contract.dom-subset* [*THEN subsetD*, *THEN SigmaD1*]

and *contract-combD2* = *contract.dom-subset* [*THEN subsetD*, *THEN SigmaD2*]

lemma *field-contract-eq*: $\text{field}(\text{contract}) = \text{comb}$
 $\langle \text{proof} \rangle$

lemmas *reduction-refl* =
field-contract-eq [*THEN equalityD2*, *THEN subsetD*, *THEN rtrancl-refl*]

lemmas *rtrancl-into-rtrancl2* =
r-into-rtrancl [*THEN trans-rtrancl* [*THEN transD*]]

declare *reduction-refl* [*intro!*] *contract.K* [*intro!*] *contract.S* [*intro!*]

lemmas *reduction-rls* =
contract.K [*THEN rtrancl-into-rtrancl2*]
contract.S [*THEN rtrancl-into-rtrancl2*]
contract.Ap1 [*THEN rtrancl-into-rtrancl2*]
contract.Ap2 [*THEN rtrancl-into-rtrancl2*]

lemma $p \in \text{comb} \implies I \cdot p \dashrightarrow p$
— Example only: not used
 $\langle \text{proof} \rangle$

lemma *comb-I*: $I \in \text{comb}$
 $\langle \text{proof} \rangle$

12.4 Non-contraction results

Derive a case for each combinator constructor.

inductive-cases

K -contractE [elim!]: $K -1-\> r$
and S -contractE [elim!]: $S -1-\> r$
and Ap -contractE [elim!]: $p \cdot q -1-\> r$

lemma I -contract-E: $I -1-\> r \implies P$
 ⟨proof⟩

lemma $K1$ -contractD: $K \cdot p -1-\> r \implies (\exists q. r = K \cdot q \ \& \ p -1-\> q)$
 ⟨proof⟩

lemma Ap -reduce1: $[[p \dashrightarrow q; r \in \text{comb}]] \implies p \cdot r \dashrightarrow q \cdot r$
 ⟨proof⟩

lemma Ap -reduce2: $[[p \dashrightarrow q; r \in \text{comb}]] \implies r \cdot p \dashrightarrow r \cdot q$
 ⟨proof⟩

Counterexample to the diamond property for $-1-\>$.

lemma $KIII$ -contract1: $K \cdot I \cdot (I \cdot I) -1-\> I$
 ⟨proof⟩

lemma $KIII$ -contract2: $K \cdot I \cdot (I \cdot I) -1-\> K \cdot I \cdot ((K \cdot I) \cdot (K \cdot I))$
 ⟨proof⟩

lemma $KIII$ -contract3: $K \cdot I \cdot ((K \cdot I) \cdot (K \cdot I)) -1-\> I$
 ⟨proof⟩

lemma not-diamond-contract: $\neg \text{diamond}(\text{contract})$
 ⟨proof⟩

12.5 Results about Parallel Contraction

For type checking: replaces $a =1-\> b$ by $a, b \in \text{comb}$

lemmas $\text{parcontract-combE2} = \text{parcontract.dom-subset} [\text{THEN subsetD}, \text{THEN SigmaE2}]$

and $\text{parcontract-combD1} = \text{parcontract.dom-subset} [\text{THEN subsetD}, \text{THEN SigmaD1}]$

and $\text{parcontract-combD2} = \text{parcontract.dom-subset} [\text{THEN subsetD}, \text{THEN SigmaD2}]$

lemma $\text{field-parcontract-eq}$: $\text{field}(\text{parcontract}) = \text{comb}$
 ⟨proof⟩

Derive a case for each combinator constructor.

inductive-cases

K -parcontractE [elim!]: $K = 1 \Rightarrow r$
and S -parcontractE [elim!]: $S = 1 \Rightarrow r$
and Ap -parcontractE [elim!]: $p \cdot q = 1 \Rightarrow r$

declare *parcontract.intros* [intro]

12.6 Basic properties of parallel contraction

lemma $K1$ -parcontractD [dest!]:

$K \cdot p = 1 \Rightarrow r \implies (\exists p'. r = K \cdot p' \ \& \ p = 1 \Rightarrow p')$
 <proof>

lemma $S1$ -parcontractD [dest!]:

$S \cdot p = 1 \Rightarrow r \implies (\exists p'. r = S \cdot p' \ \& \ p = 1 \Rightarrow p')$
 <proof>

lemma $S2$ -parcontractD [dest!]:

$S \cdot p \cdot q = 1 \Rightarrow r \implies (\exists p' q'. r = S \cdot p' \cdot q' \ \& \ p = 1 \Rightarrow p' \ \& \ q = 1 \Rightarrow q')$
 <proof>

lemma *diamond-parcontract*: *diamond(parcontract)*

— Church-Rosser property for parallel contraction

<proof>

Equivalence of $p \dashrightarrow q$ and $p \implies q$.

lemma *contract-imp-parcontract*: $p - 1 - > q \implies p = 1 \Rightarrow q$

<proof>

lemma *reduce-imp-parreduce*: $p \dashrightarrow q \implies p \implies q$

<proof>

lemma *parcontract-imp-reduce*: $p = 1 \Rightarrow q \implies p \dashrightarrow q$

<proof>

lemma *parreduce-imp-reduce*: $p \implies q \implies p \dashrightarrow q$

<proof>

lemma *parreduce-iff-reduce*: $p \implies q \iff p \dashrightarrow q$

<proof>

end

13 Primitive Recursive Functions: the inductive definition

theory *Primrec* **imports** *Main* **begin**

Proof adopted from [?].

See also [?, page 250, exercise 11].

13.1 Basic definitions

constdefs

$SC :: i$

$SC == \lambda l \in list(nat). list-case(0, \lambda x xs. succ(x), l)$

$CONST :: i=>i$

$CONST(k) == \lambda l \in list(nat). k$

$PROJ :: i=>i$

$PROJ(i) == \lambda l \in list(nat). list-case(0, \lambda x xs. x, drop(i,l))$

$COMP :: [i,i]=>i$

$COMP(g,fs) == \lambda l \in list(nat). g \text{ ' } List.map(\lambda f. f'l, fs)$

$PREC :: [i,i]=>i$

$PREC(f,g) ==$

$\lambda l \in list(nat). list-case(0,$

$\lambda x xs. rec(x, f'xs, \lambda y r. g \text{ ' } Cons(r, Cons(y, xs))), l)$

— Note that g is applied first to $PREC(f, g) \text{ ' } y$ and then to $y!$

consts

$ACK :: i=>i$

primrec

$ACK(0) = SC$

$ACK(succ(i)) = PREC (CONST (ACK(i) \text{ ' } [1]), COMP(ACK(i), [PROJ(0)]))$

syntax

$ack :: [i,i]=>i$

translations

$ack(x,y) == ACK(x) \text{ ' } [y]$

Useful special cases of evaluation.

lemma SC : $[[x \in nat; l \in list(nat)]] ==> SC \text{ ' } (Cons(x,l)) = succ(x)$
<proof>

lemma $CONST$: $l \in list(nat) ==> CONST(k) \text{ ' } l = k$
<proof>

lemma $PROJ-0$: $[[x \in nat; l \in list(nat)]] ==> PROJ(0) \text{ ' } (Cons(x,l)) = x$
<proof>

lemma $COMP-1$: $l \in list(nat) ==> COMP(g,[f]) \text{ ' } l = g \text{ ' } [f'l]$
<proof>

lemma *PREC-0*: $l \in \text{list}(\text{nat}) \implies \text{PREC}(f,g) \text{ ` } (\text{Cons}(0,l)) = f^l$
 ⟨*proof*⟩

lemma *PREC-succ*:
 $[[x \in \text{nat}; l \in \text{list}(\text{nat})]] \implies \text{PREC}(f,g) \text{ ` } (\text{Cons}(\text{succ}(x),l)) =$
 $g \text{ ` } \text{Cons}(\text{PREC}(f,g) \text{ ` } (\text{Cons}(x,l)), \text{Cons}(x,l))$
 ⟨*proof*⟩

13.2 Inductive definition of the PR functions

consts

prim-rec :: *i*

inductive

domains *prim-rec* $\subseteq \text{list}(\text{nat}) \rightarrow \text{nat}$

intros

SC \in *prim-rec*

$k \in \text{nat} \implies \text{CONST}(k) \in \text{prim-rec}$

$i \in \text{nat} \implies \text{PROJ}(i) \in \text{prim-rec}$

$[[g \in \text{prim-rec}; fs \in \text{list}(\text{prim-rec})]] \implies \text{COMP}(g,fs) \in \text{prim-rec}$

$[[f \in \text{prim-rec}; g \in \text{prim-rec}]] \implies \text{PREC}(f,g) \in \text{prim-rec}$

monos *list-mono*

con-defs *SC-def* *CONST-def* *PROJ-def* *COMP-def* *PREC-def*

type-intros *nat-typechecks* *list.intros*

lam-type *list-case-type* *drop-type* *List.map-type*

apply-type *rec-type*

lemma *prim-rec-into-fun* [*TC*]: $c \in \text{prim-rec} \implies c \in \text{list}(\text{nat}) \rightarrow \text{nat}$
 ⟨*proof*⟩

lemmas [*TC*] = *apply-type* [*OF prim-rec-into-fun*]

declare *prim-rec.intros* [*TC*]

declare *nat-into-Ord* [*TC*]

declare *rec-type* [*TC*]

lemma *ACK-in-prim-rec* [*TC*]: $i \in \text{nat} \implies \text{ACK}(i) \in \text{prim-rec}$
 ⟨*proof*⟩

lemma *ack-type* [*TC*]: $[[i \in \text{nat}; j \in \text{nat}]] \implies \text{ack}(i,j) \in \text{nat}$
 ⟨*proof*⟩

13.3 Ackermann's function cases

lemma *ack-0*: $j \in \text{nat} \implies \text{ack}(0,j) = \text{succ}(j)$
 — PROPERTY A 1
 ⟨*proof*⟩

lemma *ack-succ-0*: $ack(succ(i), 0) = ack(i, 1)$

— PROPERTY A 2

<proof>

lemma *ack-succ-succ*:

$[[i \in nat; j \in nat]] \implies ack(succ(i), succ(j)) = ack(i, ack(succ(i), j))$

— PROPERTY A 3

<proof>

lemmas [*simp*] = *ack-0 ack-succ-0 ack-succ-succ ack-type*

and [*simp del*] = *ACK.simps*

lemma *lt-ack2* [*rule-format*]: $i \in nat \implies \forall j \in nat. j < ack(i, j)$

— PROPERTY A 4

<proof>

lemma *ack-lt-ack-succ2*: $[[i \in nat; j \in nat]] \implies ack(i, j) < ack(i, succ(j))$

— PROPERTY A 5-, the single-step lemma

<proof>

lemma *ack-lt-mono2*: $[[j < k; i \in nat; k \in nat]] \implies ack(i, j) < ack(i, k)$

— PROPERTY A 5, monotonicity for <

<proof>

lemma *ack-le-mono2*: $[[j \leq k; i \in nat; k \in nat]] \implies ack(i, j) \leq ack(i, k)$

— PROPERTY A 5', monotonicity for \leq

<proof>

lemma *ack2-le-ack1*:

$[[i \in nat; j \in nat]] \implies ack(i, succ(j)) \leq ack(succ(i), j)$

— PROPERTY A 6

<proof>

lemma *ack-lt-ack-succ1*: $[[i \in nat; j \in nat]] \implies ack(i, j) < ack(succ(i), j)$

— PROPERTY A 7-, the single-step lemma

<proof>

lemma *ack-lt-mono1*: $[[i < j; j \in nat; k \in nat]] \implies ack(i, k) < ack(j, k)$

— PROPERTY A 7, monotonicity for <

<proof>

lemma *ack-le-mono1*: $[[i \leq j; j \in nat; k \in nat]] \implies ack(i, k) \leq ack(j, k)$

— PROPERTY A 7', monotonicity for \leq

<proof>

lemma *ack-1*: $j \in nat \implies ack(1, j) = succ(succ(j))$

— PROPERTY A 8

<proof>

lemma *ack-2*: $j \in \text{nat} \implies \text{ack}(\text{succ}(1), j) = \text{succ}(\text{succ}(\text{succ}(j \# + j)))$
 — PROPERTY A 9
 $\langle \text{proof} \rangle$

lemma *ack-nest-bound*:
 $[[i1 \in \text{nat}; i2 \in \text{nat}; j \in \text{nat}]]$
 $\implies \text{ack}(i1, \text{ack}(i2, j)) < \text{ack}(\text{succ}(\text{succ}(i1 \# + i2)), j)$
 — PROPERTY A 10
 $\langle \text{proof} \rangle$

lemma *ack-add-bound*:
 $[[i1 \in \text{nat}; i2 \in \text{nat}; j \in \text{nat}]]$
 $\implies \text{ack}(i1, j) \# + \text{ack}(i2, j) < \text{ack}(\text{succ}(\text{succ}(\text{succ}(\text{succ}(i1 \# + i2))))), j)$
 — PROPERTY A 11
 $\langle \text{proof} \rangle$

lemma *ack-add-bound2*:
 $[[i < \text{ack}(k, j); j \in \text{nat}; k \in \text{nat}]]$
 $\implies i \# + j < \text{ack}(\text{succ}(\text{succ}(\text{succ}(\text{succ}(k))))), j)$
 — PROPERTY A 12.
 — Article uses existential quantifier but the ALF proof used $k \# + \#4$.
 — Quantified version must be nested $\exists k'. \forall i, j \dots$
 $\langle \text{proof} \rangle$

13.4 Main result

declare *list-add-type* [*simp*]

lemma *SC-case*: $l \in \text{list}(\text{nat}) \implies \text{SC } ' l < \text{ack}(1, \text{list-add}(l))$
 $\langle \text{proof} \rangle$

lemma *lt-ack1*: $[[i \in \text{nat}; j \in \text{nat}]]$ $\implies i < \text{ack}(i, j)$
 — PROPERTY A 4'? Extra lemma needed for *CONST* case, constant functions.
 $\langle \text{proof} \rangle$

lemma *CONST-case*:
 $[[l \in \text{list}(\text{nat}); k \in \text{nat}]]$ $\implies \text{CONST}(k) ' l < \text{ack}(k, \text{list-add}(l))$
 $\langle \text{proof} \rangle$

lemma *PROJ-case* [*rule-format*]:
 $l \in \text{list}(\text{nat}) \implies \forall i \in \text{nat}. \text{PROJ}(i) ' l < \text{ack}(0, \text{list-add}(l))$
 $\langle \text{proof} \rangle$

COMP case.

lemma *COMP-map-lemma*:
 $fs \in \text{list}(\{f \in \text{prim-rec}. \exists kf \in \text{nat}. \forall l \in \text{list}(\text{nat}). f'l < \text{ack}(kf, \text{list-add}(l))\})$
 $\implies \exists k \in \text{nat}. \forall l \in \text{list}(\text{nat}).$

$list-add(map(\lambda f. f \text{ ' } l, fs)) < ack(k, list-add(l))$
 ⟨proof⟩

lemma *COMP-case*:

[[$kg \in nat$;
 $\forall l \in list(nat). g^l < ack(kg, list-add(l))$;
 $fs \in list(\{f \in prim-rec .$
 $\exists kf \in nat. \forall l \in list(nat).$
 $f^l < ack(kf, list-add(l))\})$]]
 $\implies \exists k \in nat. \forall l \in list(nat). COMP(g,fs)^l < ack(k, list-add(l))$
 ⟨proof⟩

PREC case.

lemma *PREC-case-lemma*:

[[$\forall l \in list(nat). f^l \# + list-add(l) < ack(kf, list-add(l))$;
 $\forall l \in list(nat). g^l \# + list-add(l) < ack(kg, list-add(l))$;
 $f \in prim-rec$; $kf \in nat$;
 $g \in prim-rec$; $kg \in nat$;
 $l \in list(nat)$]]
 $\implies PREC(f,g)^l \# + list-add(l) < ack(succ(kf \# + kg), list-add(l))$
 ⟨proof⟩

lemma *PREC-case*:

[[$f \in prim-rec$; $kf \in nat$;
 $g \in prim-rec$; $kg \in nat$;
 $\forall l \in list(nat). f^l < ack(kf, list-add(l))$;
 $\forall l \in list(nat). g^l < ack(kg, list-add(l))$]]
 $\implies \exists k \in nat. \forall l \in list(nat). PREC(f,g)^l < ack(k, list-add(l))$
 ⟨proof⟩

lemma *ack-bounds-prim-rec*:

$f \in prim-rec \implies \exists k \in nat. \forall l \in list(nat). f^l < ack(k, list-add(l))$
 ⟨proof⟩

theorem *ack-not-prim-rec*:

$(\lambda l \in list(nat). list-case(0, \lambda x xs. ack(x,x), l)) \notin prim-rec$
 ⟨proof⟩

end