

# The Constructible Universe and the Relative Consistency of the Axiom of Choice

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## Abstract

Gödel's proof of the relative consistency of the axiom of choice [1] is one of the most important results in the foundations of mathematics. It bears on Hilbert's first problem, namely the continuum hypothesis, and indeed Gödel also proved the relative consistency of the continuum hypothesis. Just as important, Gödel's proof introduced the *inner model* method of proving relative consistency, and it introduced the concept of *constructible set*. Kunen [2] gives an excellent description of this body of work.

This Isabelle/ZF formalization demonstrates Gödel's claim that his proof can be undertaken without using metamathematical arguments, for example arguments based on the general syntactic structure of a formula. Isabelle's automation replaces the metamathematics, although it does not eliminate the requirement at least to state many tedious results that would otherwise be unnecessary.

This formalization [4] is by far the deepest result in set theory proved in any automated theorem prover. It rests on a previous formal development of the reflection theorem [3].

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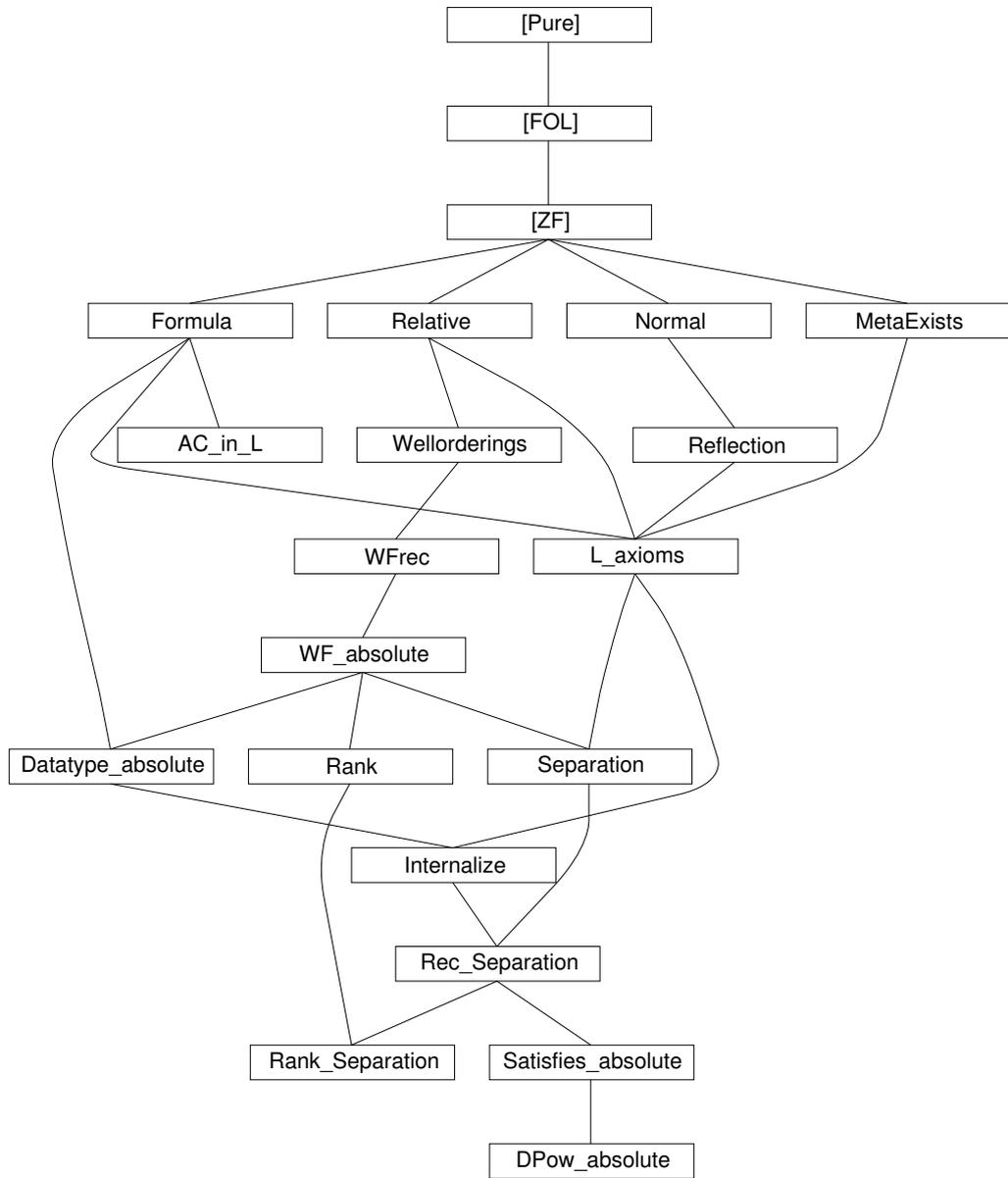
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# 1 First-Order Formulas and the Definition of the Class L

theory *Formula* imports *Main* begin

## 1.1 Internalized formulas of FOL

De Bruijn representation. Unbound variables get their denotations from an environment.

```
consts formula :: i
datatype
  "formula" = Member ("x: nat", "y: nat")
             | Equal  ("x: nat", "y: nat")
             | Nand   ("p: formula", "q: formula")
             | Forall ("p: formula")

declare formula.intros [TC]

constdefs Neg :: "i=>i"
  "Neg(p) == Nand(p,p)"

constdefs And :: "[i,i]=>i"
  "And(p,q) == Neg(Nand(p,q))"

constdefs Or :: "[i,i]=>i"
  "Or(p,q) == Nand(Neg(p),Neg(q))"

constdefs Implies :: "[i,i]=>i"
  "Implies(p,q) == Nand(p,Neg(q))"

constdefs Iff :: "[i,i]=>i"
  "Iff(p,q) == And(Implies(p,q), Implies(q,p))"

constdefs Exists :: "i=>i"
  "Exists(p) == Neg(Forall(Neg(p)))"

lemma Neg_type [TC]: "p ∈ formula ==> Neg(p) ∈ formula"
  <proof>

lemma And_type [TC]: "[| p ∈ formula; q ∈ formula |] ==> And(p,q) ∈
formula"
  <proof>

lemma Or_type [TC]: "[| p ∈ formula; q ∈ formula |] ==> Or(p,q) ∈ formula"
  <proof>

lemma Implies_type [TC]:
  "[| p ∈ formula; q ∈ formula |] ==> Implies(p,q) ∈ formula"
```

*<proof>*

**lemma** *Iff\_type* [TC]:

"[| p ∈ formula; q ∈ formula |] ==> Iff(p,q) ∈ formula"

*<proof>*

**lemma** *Exists\_type* [TC]: "p ∈ formula ==> Exists(p) ∈ formula"

*<proof>*

**consts** satisfies :: "[i,i]=>i"

**primrec**

"satisfies(A,Member(x,y)) =  
 (λenv ∈ list(A). bool\_of\_o (nth(x,env) ∈ nth(y,env)))"

"satisfies(A,Equal(x,y)) =  
 (λenv ∈ list(A). bool\_of\_o (nth(x,env) = nth(y,env)))"

"satisfies(A,Nand(p,q)) =  
 (λenv ∈ list(A). not ((satisfies(A,p) 'env) and (satisfies(A,q) 'env)))"

"satisfies(A,Forall(p)) =  
 (λenv ∈ list(A). bool\_of\_o (∀x∈A. satisfies(A,p) ' (Cons(x,env))  
= 1))"

**lemma** "p ∈ formula ==> satisfies(A,p) ∈ list(A) -> bool"

*<proof>*

**syntax** sats :: "[i,i,i] => o"

**translations** "sats(A,p,env)" == "satisfies(A,p) 'env = 1"

**lemma** [simp]:

"env ∈ list(A)  
 ==> sats(A, Member(x,y), env) <-> nth(x,env) ∈ nth(y,env)"

*<proof>*

**lemma** [simp]:

"env ∈ list(A)  
 ==> sats(A, Equal(x,y), env) <-> nth(x,env) = nth(y,env)"

*<proof>*

**lemma** *sats\_Nand\_iff* [simp]:

"env ∈ list(A)  
 ==> (sats(A, Nand(p,q), env)) <-> ~ (sats(A,p,env) & sats(A,q,env))"

*<proof>*

**lemma** *sats\_Forall\_iff* [simp]:

```

"env ∈ list(A)
==> sats(A, Forall(p), env) <-> (∀x∈A. sats(A, p, Cons(x,env)))"
⟨proof⟩

```

```

declare satisfies.simps [simp del]

```

## 1.2 Dividing line between primitive and derived connectives

```

lemma sats_Neg_iff [simp]:
"env ∈ list(A)
==> sats(A, Neg(p), env) <-> ~ sats(A,p,env)"
⟨proof⟩

```

```

lemma sats_And_iff [simp]:
"env ∈ list(A)
==> (sats(A, And(p,q), env)) <-> sats(A,p,env) & sats(A,q,env)"
⟨proof⟩

```

```

lemma sats_Or_iff [simp]:
"env ∈ list(A)
==> (sats(A, Or(p,q), env)) <-> sats(A,p,env) | sats(A,q,env)"
⟨proof⟩

```

```

lemma sats_Implies_iff [simp]:
"env ∈ list(A)
==> (sats(A, Implies(p,q), env)) <-> (sats(A,p,env) --> sats(A,q,env))"
⟨proof⟩

```

```

lemma sats_Iff_iff [simp]:
"env ∈ list(A)
==> (sats(A, Iff(p,q), env)) <-> (sats(A,p,env) <-> sats(A,q,env))"
⟨proof⟩

```

```

lemma sats_Exists_iff [simp]:
"env ∈ list(A)
==> sats(A, Exists(p), env) <-> (∃x∈A. sats(A, p, Cons(x,env)))"
⟨proof⟩

```

### 1.2.1 Derived rules to help build up formulas

```

lemma mem_iff_sats:
"[| nth(i,env) = x; nth(j,env) = y; env ∈ list(A) |]
==> (x∈y) <-> sats(A, Member(i,j), env)"
⟨proof⟩

```

```

lemma equal_iff_sats:
"[| nth(i,env) = x; nth(j,env) = y; env ∈ list(A) |]
==> (x=y) <-> sats(A, Equal(i,j), env)"
⟨proof⟩

```

```

lemma not_iff_sats:
  "[| P <-> sats(A,p,env); env ∈ list(A)|]
  ==> (~P) <-> sats(A, Neg(p), env)"
⟨proof⟩

lemma conj_iff_sats:
  "[| P <-> sats(A,p,env); Q <-> sats(A,q,env); env ∈ list(A)|]
  ==> (P & Q) <-> sats(A, And(p,q), env)"
⟨proof⟩

lemma disj_iff_sats:
  "[| P <-> sats(A,p,env); Q <-> sats(A,q,env); env ∈ list(A)|]
  ==> (P | Q) <-> sats(A, Or(p,q), env)"
⟨proof⟩

lemma iff_iff_sats:
  "[| P <-> sats(A,p,env); Q <-> sats(A,q,env); env ∈ list(A)|]
  ==> (P <-> Q) <-> sats(A, Iff(p,q), env)"
⟨proof⟩

lemma imp_iff_sats:
  "[| P <-> sats(A,p,env); Q <-> sats(A,q,env); env ∈ list(A)|]
  ==> (P --> Q) <-> sats(A, Implies(p,q), env)"
⟨proof⟩

lemma ball_iff_sats:
  "[| !!x. x∈A ==> P(x) <-> sats(A, p, Cons(x, env)); env ∈ list(A)|]
  ==> (∀x∈A. P(x)) <-> sats(A, Forall(p), env)"
⟨proof⟩

lemma bex_iff_sats:
  "[| !!x. x∈A ==> P(x) <-> sats(A, p, Cons(x, env)); env ∈ list(A)|]
  ==> (∃x∈A. P(x)) <-> sats(A, Exists(p), env)"
⟨proof⟩

lemmas FOL_iff_sats =
  mem_iff_sats equal_iff_sats not_iff_sats conj_iff_sats
  disj_iff_sats imp_iff_sats iff_iff_sats imp_iff_sats ball_iff_sats
  bex_iff_sats

```

### 1.3 Arity of a Formula: Maximum Free de Bruijn Index

```

consts arity :: "i=>i"
primrec
  "arity(Member(x,y)) = succ(x) ∪ succ(y)"

  "arity(Equal(x,y)) = succ(x) ∪ succ(y)"

  "arity(Nand(p,q)) = arity(p) ∪ arity(q)"

```

```

"arity(Forall(p)) = Arith.pred(arity(p))"

lemma arity_type [TC]: "p ∈ formula ==> arity(p) ∈ nat"
⟨proof⟩

lemma arity_Neg [simp]: "arity(Neg(p)) = arity(p)"
⟨proof⟩

lemma arity_And [simp]: "arity(And(p,q)) = arity(p) ∪ arity(q)"
⟨proof⟩

lemma arity_Or [simp]: "arity(Or(p,q)) = arity(p) ∪ arity(q)"
⟨proof⟩

lemma arity_Implies [simp]: "arity(Implies(p,q)) = arity(p) ∪ arity(q)"
⟨proof⟩

lemma arity_Iff [simp]: "arity(Iff(p,q)) = arity(p) ∪ arity(q)"
⟨proof⟩

lemma arity_Exists [simp]: "arity(Exists(p)) = Arith.pred(arity(p))"
⟨proof⟩

lemma arity_sats_iff [rule_format]:
  "[| p ∈ formula; extra ∈ list(A) |]
  ==> ∀ env ∈ list(A).
    arity(p) ≤ length(env) -->
    sats(A, p, env @ extra) <-> sats(A, p, env)"
⟨proof⟩

lemma arity_sats1_iff:
  "[| arity(p) ≤ succ(length(env)); p ∈ formula; x ∈ A; env ∈ list(A);
    extra ∈ list(A) |]
  ==> sats(A, p, Cons(x, env @ extra)) <-> sats(A, p, Cons(x, env))"
⟨proof⟩

```

#### 1.4 Renaming Some de Bruijn Variables

```

constdefs incr_var :: "[i,i]=i"
  "incr_var(x,nq) == if x<nq then x else succ(x)"

lemma incr_var_lt: "x<nq ==> incr_var(x,nq) = x"
⟨proof⟩

lemma incr_var_le: "nq≤x ==> incr_var(x,nq) = succ(x)"

```

*<proof>*

**consts** incr\_bv :: "i=>i"

**primrec**

"incr\_bv(Member(x,y)) =  
 ( $\lambda nq \in \text{nat}. \text{Member} (\text{incr\_var}(x,nq), \text{incr\_var}(y,nq))$ )"

"incr\_bv(Equal(x,y)) =  
 ( $\lambda nq \in \text{nat}. \text{Equal} (\text{incr\_var}(x,nq), \text{incr\_var}(y,nq))$ )"

"incr\_bv(Nand(p,q)) =  
 ( $\lambda nq \in \text{nat}. \text{Nand} (\text{incr\_bv}(p) \text{ ' } nq, \text{incr\_bv}(q) \text{ ' } nq)$ )"

"incr\_bv(Forall(p)) =  
 ( $\lambda nq \in \text{nat}. \text{Forall} (\text{incr\_bv}(p) \text{ ' } \text{succ}(nq))$ )"

**lemma** [TC]: "x  $\in$  nat ==> incr\_var(x,nq)  $\in$  nat"

*<proof>*

**lemma** incr\_bv\_type [TC]: "p  $\in$  formula ==> incr\_bv(p)  $\in$  nat -> formula"

*<proof>*

Obviously, DPow is closed under complements and finite intersections and unions. Needs an inductive lemma to allow two lists of parameters to be combined.

**lemma** sats\_incr\_bv\_iff [rule\_format]:

"[| p  $\in$  formula; env  $\in$  list(A); x  $\in$  A |]  
 ==>  $\forall bvs \in \text{list}(A).$   
 sats(A, incr\_bv(p) ' length(bvs), bvs @ Cons(x,env)) <->  
 sats(A, p, bvs@env)"

*<proof>*

**lemma** incr\_var\_lemma:

"[| x  $\in$  nat; y  $\in$  nat; nq  $\leq$  x |]  
 ==> succ(x)  $\cup$  incr\_var(y,nq) = succ(x  $\cup$  y)"

*<proof>*

**lemma** incr\_And\_lemma:

"y < x ==> y  $\cup$  succ(x) = succ(x  $\cup$  y)"

*<proof>*

**lemma** arity\_incr\_bv\_lemma [rule\_format]:

"p  $\in$  formula  
 ==>  $\forall n \in \text{nat}. \text{arity} (\text{incr\_bv}(p) \text{ ' } n) =$   
 (if n < arity(p) then succ(arity(p)) else arity(p))"

*<proof>*

## 1.5 Renaming all but the First de Bruijn Variable

```
constdefs incr_bv1 :: "i => i"
  "incr_bv1(p) == incr_bv(p)'1"
```

```
lemma incr_bv1_type [TC]: "p ∈ formula ==> incr_bv1(p) ∈ formula"
  <proof>
```

```
lemma sats_incr_bv1_iff:
  "[| p ∈ formula; env ∈ list(A); x ∈ A; y ∈ A |]
  ==> sats(A, incr_bv1(p), Cons(x, Cons(y, env))) <->
  sats(A, p, Cons(x,env))"
  <proof>
```

```
lemma formula_add_params1 [rule_format]:
  "[| p ∈ formula; n ∈ nat; x ∈ A |]
  ==> ∀bvs ∈ list(A). ∀env ∈ list(A).
  length(bvs) = n -->
  sats(A, iterates(incr_bv1, n, p), Cons(x, bvs@env)) <->
  sats(A, p, Cons(x,env))"
  <proof>
```

```
lemma arity_incr_bv1_eq:
  "p ∈ formula
  ==> arity(incr_bv1(p)) =
  (if 1 < arity(p) then succ(arity(p)) else arity(p))"
  <proof>
```

```
lemma arity_iterates_incr_bv1_eq:
  "[| p ∈ formula; n ∈ nat |]
  ==> arity(incr_bv1^n(p)) =
  (if 1 < arity(p) then n #+ arity(p) else arity(p))"
  <proof>
```

## 1.6 Definable Powerset

The definable powerset operation: Kunen's definition VI 1.1, page 165.

```
constdefs DPow :: "i => i"
  "DPow(A) == {X ∈ Pow(A).
  ∃env ∈ list(A). ∃p ∈ formula.
  arity(p) ≤ succ(length(env)) &
  X = {x∈A. sats(A, p, Cons(x,env))}}"
```

```
lemma DPowI:
  "[|env ∈ list(A); p ∈ formula; arity(p) ≤ succ(length(env))|]
  ==> {x∈A. sats(A, p, Cons(x,env))} ∈ DPow(A)"
```

*<proof>*

With this rule we can specify  $p$  later.

**lemma** DPowI2 [rule\_format]:

```
"[| $\forall x \in A. P(x) \leftrightarrow \text{sats}(A, p, \text{Cons}(x, \text{env}))$ ;  
  env  $\in$  list(A); p  $\in$  formula; arity(p)  $\leq$  succ(length(env))|]  
  ==> { $x \in A. P(x)$ }  $\in$  DPow(A)"
```

*<proof>*

**lemma** DPowD:

```
"X  $\in$  DPow(A)  
  ==> X  $\leq$  A &  
    ( $\exists$  env  $\in$  list(A).  
      $\exists$  p  $\in$  formula. arity(p)  $\leq$  succ(length(env)) &  
       X = { $x \in A. \text{sats}(A, p, \text{Cons}(x, \text{env}))$ })"
```

*<proof>*

**lemmas** DPow\_imp\_subset = DPowD [THEN conjunct1]

**lemma** "[| p  $\in$  formula; env  $\in$  list(A); arity(p)  $\leq$  succ(length(env))

|]

```
  ==> { $x \in A. \text{sats}(A, p, \text{Cons}(x, \text{env}))$ }  $\in$  DPow(A)"
```

*<proof>*

**lemma** DPow\_subset\_Pow: "DPow(A)  $\leq$  Pow(A)"

*<proof>*

**lemma** empty\_in\_DPow: "0  $\in$  DPow(A)"

*<proof>*

**lemma** Compl\_in\_DPow: "X  $\in$  DPow(A) ==> (A-X)  $\in$  DPow(A)"

*<proof>*

**lemma** Int\_in\_DPow: "[| X  $\in$  DPow(A); Y  $\in$  DPow(A) |] ==> X Int Y  $\in$  DPow(A)"

*<proof>*

**lemma** Un\_in\_DPow: "[| X  $\in$  DPow(A); Y  $\in$  DPow(A) |] ==> X Un Y  $\in$  DPow(A)"

*<proof>*

**lemma** singleton\_in\_DPow: "a  $\in$  A ==> {a}  $\in$  DPow(A)"

*<proof>*

**lemma** cons\_in\_DPow: "[| a  $\in$  A; X  $\in$  DPow(A) |] ==> cons(a, X)  $\in$  DPow(A)"

*<proof>*

**lemma** Fin\_into\_DPow: "X  $\in$  Fin(A) ==> X  $\in$  DPow(A)"

*<proof>*

$DPow$  is not monotonic. For example, let  $A$  be some non-constructible set of natural numbers, and let  $B$  be  $nat$ . Then  $A \subseteq B$  and obviously  $A \in DPow(A)$  but  $A \notin DPow(B)$ .

**lemma** *Finite\_Pow\_subset\_Pow*: " $Finite(A) \implies Pow(A) \leq DPow(A)$ "  
 ⟨*proof*⟩

**lemma** *Finite\_DPow\_eq\_Pow*: " $Finite(A) \implies DPow(A) = Pow(A)$ "  
 ⟨*proof*⟩

## 1.7 Internalized Formulas for the Ordinals

The *sats* theorems below differ from the usual form in that they include an element of absoluteness. That is, they relate internalized formulas to real concepts such as the subset relation, rather than to the relativized concepts defined in theory *Relative*. This lets us prove the theorem as *Ords\_in\_DPow* without first having to instantiate the locale *M\_trivial*. Note that the present theory does not even take *Relative* as a parent.

### 1.7.1 The subset relation

**constdefs** *subset\_fm* :: " $[i,i] \Rightarrow i$ "  
 " $subset\_fm(x,y) == Forall(Implies(Member(0,succ(x)), Member(0,succ(y))))$ "

**lemma** *subset\_type [TC]*: " $[| x \in nat; y \in nat |] \implies subset\_fm(x,y) \in formula$ "  
 ⟨*proof*⟩

**lemma** *arity\_subset\_fm [simp]*:  
 " $[| x \in nat; y \in nat |] \implies arity(subset\_fm(x,y)) = succ(x) \cup succ(y)$ "  
 ⟨*proof*⟩

**lemma** *sats\_subset\_fm [simp]*:  
 " $[| x < length(env); y \in nat; env \in list(A); Transset(A) |]$   
 $\implies sats(A, subset\_fm(x,y), env) \leftrightarrow nth(x,env) \subseteq nth(y,env)$ "  
 ⟨*proof*⟩

### 1.7.2 Transitive sets

**constdefs** *transset\_fm* :: " $i \Rightarrow i$ "  
 " $transset\_fm(x) == Forall(Implies(Member(0,succ(x)), subset_fm(0,succ(x))))$ "

**lemma** *transset\_type [TC]*: " $x \in nat \implies transset\_fm(x) \in formula$ "  
 ⟨*proof*⟩

**lemma** *arity\_transset\_fm [simp]*:  
 " $x \in nat \implies arity(transset\_fm(x)) = succ(x)$ "  
 ⟨*proof*⟩

```

lemma sats_transset_fm [simp]:
  "[|x < length(env); env ∈ list(A); Transset(A)|]
  ==> sats(A, transset_fm(x), env) <-> Transset(nth(x,env))"
⟨proof⟩

```

### 1.7.3 Ordinals

```

constdefs ordinal_fm :: "i=>i"
  "ordinal_fm(x) ==
  And(transset_fm(x), Forall(Implies(Member(0,succ(x)), transset_fm(0))))"

```

```

lemma ordinal_type [TC]: "x ∈ nat ==> ordinal_fm(x) ∈ formula"
⟨proof⟩

```

```

lemma arity_ordinal_fm [simp]:
  "x ∈ nat ==> arity(ordinal_fm(x)) = succ(x)"
⟨proof⟩

```

```

lemma sats_ordinal_fm:
  "[|x < length(env); env ∈ list(A); Transset(A)|]
  ==> sats(A, ordinal_fm(x), env) <-> Ord(nth(x,env))"
⟨proof⟩

```

The subset consisting of the ordinals is definable. Essential lemma for *Ord\_in\_Lset*. This result is the objective of the present subsection.

```

theorem Ords_in_DPow: "Transset(A) ==> {x ∈ A. Ord(x)} ∈ DPow(A)"
⟨proof⟩

```

## 1.8 Constant Lset: Levels of the Constructible Universe

```

constdefs
  Lset :: "i=>i"
  "Lset(i) == transrec(i, %x f. ⋃y∈x. DPow(f'y))"

  L :: "i=>o" — Kunen's definition VI 1.5, page 167
  "L(x) == ∃i. Ord(i) & x ∈ Lset(i)"

```

NOT SUITABLE FOR REWRITING – RECURSIVE!

```

lemma Lset: "Lset(i) = (UN j:i. DPow(Lset(j)))"
⟨proof⟩

```

```

lemma LsetI: "[|y∈x; A ∈ DPow(Lset(y))|] ==> A ∈ Lset(x)"
⟨proof⟩

```

```

lemma LsetD: "A ∈ Lset(x) ==> ∃y∈x. A ∈ DPow(Lset(y))"
⟨proof⟩

```

### 1.8.1 Transitivity

```

lemma elem_subset_in_DPow: "[|X ∈ A; X ⊆ A|] ==> X ∈ DPow(A)"

```

*<proof>*

**lemma** *Transset\_subset\_DPow*: " $\text{Transset}(A) \implies A \leq \text{DPow}(A)$ "  
*<proof>*

**lemma** *Transset\_DPow*: " $\text{Transset}(A) \implies \text{Transset}(\text{DPow}(A))$ "  
*<proof>*

Kunen's VI 1.6 (a)

**lemma** *Transset\_Lset*: " $\text{Transset}(\text{Lset}(i))$ "  
*<proof>*

**lemma** *mem\_Lset\_imp\_subset\_Lset*: " $a \in \text{Lset}(i) \implies a \subseteq \text{Lset}(i)$ "  
*<proof>*

### 1.8.2 Monotonicity

Kunen's VI 1.6 (b)

**lemma** *Lset\_mono* [*rule\_format*]:  
" $\text{ALL } j. i \leq j \implies \text{Lset}(i) \leq \text{Lset}(j)$ "  
*<proof>*

This version lets us remove the premise  $\text{Ord}(i)$  sometimes.

**lemma** *Lset\_mono\_mem* [*rule\_format*]:  
" $\text{ALL } j. i : j \implies \text{Lset}(i) \leq \text{Lset}(j)$ "  
*<proof>*

Useful with Reflection to bump up the ordinal

**lemma** *subset\_Lset\_ltD*: " $[A \subseteq \text{Lset}(i); i < j] \implies A \subseteq \text{Lset}(j)$ "  
*<proof>*

### 1.8.3 0, successor and limit equations for Lset

**lemma** *Lset\_0* [*simp*]: " $\text{Lset}(0) = 0$ "  
*<proof>*

**lemma** *Lset\_succ\_subset1*: " $\text{DPow}(\text{Lset}(i)) \leq \text{Lset}(\text{succ}(i))$ "  
*<proof>*

**lemma** *Lset\_succ\_subset2*: " $\text{Lset}(\text{succ}(i)) \leq \text{DPow}(\text{Lset}(i))$ "  
*<proof>*

**lemma** *Lset\_succ*: " $\text{Lset}(\text{succ}(i)) = \text{DPow}(\text{Lset}(i))$ "  
*<proof>*

**lemma** *Lset\_Union* [*simp*]: " $\text{Lset}(\bigcup(X)) = (\bigcup_{y \in X}. \text{Lset}(y))$ "  
*<proof>*

#### 1.8.4 Lset applied to Limit ordinals

**lemma** *Limit\_Lset\_eq*:

"Limit(i) ==> Lset(i) = ( $\bigcup_{y \in i} \text{Lset}(y)$ )"  
<proof>

**lemma** *lt\_LsetI*: "[| a: Lset(j); j < i |] ==> a ∈ Lset(i)"

<proof>

**lemma** *Limit\_LsetE*:

"[| a: Lset(i); ~R ==> Limit(i);  
!!x. [| x < i; a: Lset(x) |] ==> R  
|] ==> R"  
<proof>

#### 1.8.5 Basic closure properties

**lemma** *zero\_in\_Lset*: "y: x ==> 0 ∈ Lset(x)"

<proof>

**lemma** *notin\_Lset*: "x ∉ Lset(x)"

<proof>

#### 1.9 Constructible Ordinals: Kunen's VI 1.9 (b)

**lemma** *Ords\_of\_Lset\_eq*: "Ord(i) ==> {x ∈ Lset(i). Ord(x)} = i"

<proof>

**lemma** *Ord\_subset\_Lset*: "Ord(i) ==> i ⊆ Lset(i)"

<proof>

**lemma** *Ord\_in\_Lset*: "Ord(i) ==> i ∈ Lset(succ(i))"

<proof>

**lemma** *Ord\_in\_L*: "Ord(i) ==> L(i)"

<proof>

##### 1.9.1 Unions

**lemma** *Union\_in\_Lset*:

"X ∈ Lset(i) ==> Union(X) ∈ Lset(succ(i))"  
<proof>

**theorem** *Union\_in\_L*: "L(X) ==> L(Union(X))"

<proof>

##### 1.9.2 Finite sets and ordered pairs

**lemma** *singleton\_in\_Lset*: "a: Lset(i) ==> {a} ∈ Lset(succ(i))"

*<proof>*

**lemma** *doubleton\_in\_Lset*:

"[| a: Lset(i); b: Lset(i) |] ==> {a,b} ∈ Lset(succ(i))"

*<proof>*

**lemma** *Pair\_in\_Lset*:

"[| a: Lset(i); b: Lset(i); Ord(i) |] ==> <a,b> ∈ Lset(succ(succ(i)))"

*<proof>*

**lemmas** *Lset\_UnI1* = *Un\_upper1* [THEN *Lset\_mono* [THEN *subsetD*], *standard*]

**lemmas** *Lset\_UnI2* = *Un\_upper2* [THEN *Lset\_mono* [THEN *subsetD*], *standard*]

Hard work is finding a single  $j:i$  such that  $a,b_i=Lset(j)$

**lemma** *doubleton\_in\_LLimit*:

"[| a: Lset(i); b: Lset(i); Limit(i) |] ==> {a,b} ∈ Lset(i)"

*<proof>*

**theorem** *doubleton\_in\_L*: "[| L(a); L(b) |] ==> L({a, b})"

*<proof>*

**lemma** *Pair\_in\_LLimit*:

"[| a: Lset(i); b: Lset(i); Limit(i) |] ==> <a,b> ∈ Lset(i)"*<proof>*

The rank function for the constructible universe

**constdefs**

*lrank* :: " $i \Rightarrow i$ " — Kunen's definition VI 1.7

"*lrank*( $x$ ) ==  $\mu i. x \in Lset(succ(i))$ "

**lemma** *L\_I*: "[|  $x \in Lset(i)$ ;  $Ord(i)$  |] ==>  $L(x)$ "

*<proof>*

**lemma** *L\_D*: " $L(x) \Rightarrow \exists i. Ord(i) \ \& \ x \in Lset(i)$ "

*<proof>*

**lemma** *Ord\_lrank* [*simp*]: " $Ord(lrank(a))$ "

*<proof>*

**lemma** *Lset\_lrank\_lt* [*rule\_format*]: " $Ord(i) \Rightarrow x \in Lset(i) \ \dashrightarrow \ lrank(x) < i$ "

*<proof>*

Kunen's VI 1.8. The proof is much harder than the text would suggest. For a start, it needs the previous lemma, which is proved by induction.

**lemma** *Lset\_iff\_lrank\_lt*: " $Ord(i) \Rightarrow x \in Lset(i) \ \leftrightarrow \ L(x) \ \& \ lrank(x) < i$ "

*<proof>*

**lemma** *Lset\_succ\_lrank\_iff* [*simp*]: " $x \in Lset(succ(lrank(x))) \ \leftrightarrow \ L(x)$ "

*<proof>*

Kunen's VI 1.9 (a)

**lemma** *lrank\_of\_Ord*: " $Ord(i) \implies lrank(i) = i$ "

*<proof>*

This is  $lrank(lrank(a)) = lrank(a)$

**declare** *Ord\_lrank* [*THEN lrank\_of\_Ord, simp*]

Kunen's VI 1.10

**lemma** *Lset\_in\_Lset\_succ*: " $Lset(i) \in Lset(succ(i))$ "

*<proof>*

**lemma** *lrank\_Lset*: " $Ord(i) \implies lrank(Lset(i)) = i$ "

*<proof>*

Kunen's VI 1.11

**lemma** *Lset\_subset\_Vset*: " $Ord(i) \implies Lset(i) \subseteq Vset(i)$ "

*<proof>*

Kunen's VI 1.12

**lemma** *Lset\_subset\_Vset'*: " $i \in nat \implies Lset(i) = Vset(i)$ "

*<proof>*

Every set of constructible sets is included in some *Lset*

**lemma** *subset\_Lset*:

" $(\forall x \in A. L(x)) \implies \exists i. Ord(i) \ \& \ A \subseteq Lset(i)$ "

*<proof>*

**lemma** *subset\_LsetE*:

" $[\forall x \in A. L(x);$   
   $!!i. [Ord(i); A \subseteq Lset(i)] \implies P]$   
 $\implies P$ "

*<proof>*

### 1.9.3 For L to satisfy the Powerset axiom

**lemma** *LPow\_env\_typing*:

" $[y \in Lset(i); Ord(i); y \subseteq X]$   
 $\implies \exists z \in Pow(X). y \in Lset(succ(lrank(z)))$ "

*<proof>*

**lemma** *LPow\_in\_Lset*:

" $[X \in Lset(i); Ord(i)] \implies \exists j. Ord(j) \ \& \ \{y \in Pow(X). L(y)\} \in$   
 $Lset(j)$ "

*<proof>*

**theorem** *LPow\_in\_L*: " $L(X) \implies L(\{y \in Pow(X). L(y)\})$ "

*<proof>*

## 1.10 Eliminating arity from the Definition of *Lset*

**lemma** *nth\_zero\_eq\_0*: "n ∈ nat ==> nth(n, [0]) = 0"

*<proof>*

**lemma** *sats\_app\_0\_iff* [*rule\_format*]:

"[| p ∈ formula; 0 ∈ A |]

==> ∀ env ∈ list(A). sats(A,p, env@[0]) <-> sats(A,p,env)"

*<proof>*

**lemma** *sats\_app\_zeroes\_iff*:

"[| p ∈ formula; 0 ∈ A; env ∈ list(A); n ∈ nat |]

==> sats(A,p,env @ repeat(0,n)) <-> sats(A,p,env)"

*<proof>*

**lemma** *exists\_bigger\_env*:

"[| p ∈ formula; 0 ∈ A; env ∈ list(A) |]

==> ∃ env' ∈ list(A). arity(p) ≤ succ(length(env')) &  
 (∀ a ∈ A. sats(A,p,Cons(a,env')) <-> sats(A,p,Cons(a,env)))"

*<proof>*

A simpler version of *DPow*: no arity check!

**constdefs** *DPow'* :: "i => i"

"*DPow'*(A) == {X ∈ Pow(A).

∃ env ∈ list(A). ∃ p ∈ formula.

X = {x ∈ A. sats(A, p, Cons(x,env))}"

**lemma** *DPow\_subset\_DPow'*: "*DPow*(A) ≤ *DPow'*(A)"

*<proof>*

**lemma** *DPow'\_0*: "*DPow'*(0) = {0}"

*<proof>*

**lemma** *DPow'\_subset\_DPow*: "0 ∈ A ==> *DPow'*(A) ⊆ *DPow*(A)"

*<proof>*

**lemma** *DPow\_eq\_DPow'*: "*Transset*(A) ==> *DPow*(A) = *DPow'*(A)"

*<proof>*

And thus we can relativize *Lset* without bothering with *arity* and *length*

**lemma** *Lset\_eq\_transrec\_DPow'*: "*Lset*(i) = *transrec*(i, %x f. ⋃ y ∈ x. *DPow'*(f'y))"

*<proof>*

With this rule we can specify *p* later and don't worry about arities at all!

**lemma** *DPow\_LsetI* [*rule\_format*]:

"[| ∀ x ∈ *Lset*(i). P(x) <-> sats(*Lset*(i), p, Cons(x,env));

env ∈ list(*Lset*(i)); p ∈ formula |]

==> {x ∈ *Lset*(i). P(x)} ∈ *DPow*(*Lset*(i))"

*<proof>*

end

## 2 Relativization and Absoluteness

theory *Relative* imports *Main* begin

### 2.1 Relativized versions of standard set-theoretic concepts

constdefs

```
empty :: "[i=>o,i] => o"
  "empty(M,z) ==  $\forall x[M]. x \notin z$ "

subset :: "[i=>o,i,i] => o"
  "subset(M,A,B) ==  $\forall x[M]. x \in A \rightarrow x \in B$ "

upair :: "[i=>o,i,i,i] => o"
  "upair(M,a,b,z) ==  $a \in z \ \& \ b \in z \ \& \ (\forall x[M]. x \in z \rightarrow x = a \ \vee \ x = b)$ "

pair :: "[i=>o,i,i,i] => o"
  "pair(M,a,b,z) ==  $\exists x[M]. \text{upair}(M,a,a,x) \ \& \ (\exists y[M]. \text{upair}(M,a,b,y) \ \& \ \text{upair}(M,x,y,z))$ "

union :: "[i=>o,i,i,i] => o"
  "union(M,a,b,z) ==  $\forall x[M]. x \in z \leftrightarrow x \in a \ \vee \ x \in b$ "

is_cons :: "[i=>o,i,i,i] => o"
  "is_cons(M,a,b,z) ==  $\exists x[M]. \text{upair}(M,a,a,x) \ \& \ \text{union}(M,x,b,z)$ "

successor :: "[i=>o,i,i] => o"
  "successor(M,a,z) == is_cons(M,a,a,z)"

number1 :: "[i=>o,i] => o"
  "number1(M,a) ==  $\exists x[M]. \text{empty}(M,x) \ \& \ \text{successor}(M,x,a)$ "

number2 :: "[i=>o,i] => o"
  "number2(M,a) ==  $\exists x[M]. \text{number1}(M,x) \ \& \ \text{successor}(M,x,a)$ "

number3 :: "[i=>o,i] => o"
  "number3(M,a) ==  $\exists x[M]. \text{number2}(M,x) \ \& \ \text{successor}(M,x,a)$ "

powerset :: "[i=>o,i,i] => o"
  "powerset(M,A,z) ==  $\forall x[M]. x \in z \leftrightarrow \text{subset}(M,x,A)$ "

is_Collect :: "[i=>o,i,i=>o,i] => o"
  "is_Collect(M,A,P,z) ==  $\forall x[M]. x \in z \leftrightarrow x \in A \ \& \ P(x)$ "
```

```

is_Replace :: "[i=>o,i,[i,i]=>o,i] => o"
  "is_Replace(M,A,P,z) ==  $\forall u[M]. u \in z \leftrightarrow (\exists x[M]. x \in A \ \& \ P(x,u))$ "

inter :: "[i=>o,i,i,i] => o"
  "inter(M,a,b,z) ==  $\forall x[M]. x \in z \leftrightarrow x \in a \ \& \ x \in b$ "

setdiff :: "[i=>o,i,i,i] => o"
  "setdiff(M,a,b,z) ==  $\forall x[M]. x \in z \leftrightarrow x \in a \ \& \ x \notin b$ "

big_union :: "[i=>o,i,i] => o"
  "big_union(M,A,z) ==  $\forall x[M]. x \in z \leftrightarrow (\exists y[M]. y \in A \ \& \ x \in y)$ "

big_inter :: "[i=>o,i,i] => o"
  "big_inter(M,A,z) ==
    (A=0 --> z=0) &
    (A $\neq$ 0 --> ( $\forall x[M]. x \in z \leftrightarrow (\forall y[M]. y \in A \ \rightarrow x \in y)$ ))"

cartprod :: "[i=>o,i,i,i] => o"
  "cartprod(M,A,B,z) ==
     $\forall u[M]. u \in z \leftrightarrow (\exists x[M]. x \in A \ \& \ (\exists y[M]. y \in B \ \& \ \text{pair}(M,x,y,u)))$ "

is_sum :: "[i=>o,i,i,i] => o"
  "is_sum(M,A,B,Z) ==
     $\exists A0[M]. \exists n1[M]. \exists s1[M]. \exists B1[M].$ 
    number1(M,n1) & cartprod(M,n1,A,A0) & upair(M,n1,n1,s1) &
    cartprod(M,s1,B,B1) & union(M,A0,B1,Z)"

is_Inl :: "[i=>o,i,i] => o"
  "is_Inl(M,a,z) ==  $\exists \text{zero}[M]. \text{empty}(M,\text{zero}) \ \& \ \text{pair}(M,\text{zero},a,z)$ "

is_Inr :: "[i=>o,i,i] => o"
  "is_Inr(M,a,z) ==  $\exists n1[M]. \text{number1}(M,n1) \ \& \ \text{pair}(M,n1,a,z)$ "

is_converse :: "[i=>o,i,i] => o"
  "is_converse(M,r,z) ==
     $\forall x[M]. x \in z \leftrightarrow$ 
    ( $\exists w[M]. w \in r \ \& \ (\exists u[M]. \exists v[M]. \text{pair}(M,u,v,w) \ \& \ \text{pair}(M,v,u,x))$ )"

pre_image :: "[i=>o,i,i,i] => o"
  "pre_image(M,r,A,z) ==
     $\forall x[M]. x \in z \leftrightarrow (\exists w[M]. w \in r \ \& \ (\exists y[M]. y \in A \ \& \ \text{pair}(M,x,y,w)))$ "

is_domain :: "[i=>o,i,i] => o"
  "is_domain(M,r,z) ==
     $\forall x[M]. x \in z \leftrightarrow (\exists w[M]. w \in r \ \& \ (\exists y[M]. \text{pair}(M,x,y,w)))$ "

image :: "[i=>o,i,i,i] => o"
  "image(M,r,A,z) ==
     $\forall y[M]. y \in z \leftrightarrow (\exists w[M]. w \in r \ \& \ (\exists x[M]. x \in A \ \& \ \text{pair}(M,x,y,w)))$ "

```

```

is_range :: "[i=>o,i,i] => o"
  — the cleaner  $\exists r'[M]. \text{is\_converse}(M, r, r') \wedge \text{is\_domain}(M, r', z)$ 
  unfortunately needs an instance of separation in order to prove  $M(\text{converse}(r))$ .

"is_range(M,r,z) ==
   $\forall y[M]. y \in z \leftrightarrow (\exists w[M]. w \in r \ \& \ (\exists x[M]. \text{pair}(M,x,y,w)))$ "

is_field :: "[i=>o,i,i] => o"
"is_field(M,r,z) ==
   $\exists dr[M]. \exists rr[M]. \text{is\_domain}(M,r,dr) \ \& \ \text{is\_range}(M,r,rr) \ \& \ \text{union}(M,dr,rr,z)$ "

is_relation :: "[i=>o,i] => o"
"is_relation(M,r) ==
   $(\forall z[M]. z \in r \rightarrow (\exists x[M]. \exists y[M]. \text{pair}(M,x,y,z)))$ "

is_function :: "[i=>o,i] => o"
"is_function(M,r) ==
   $\forall x[M]. \forall y[M]. \forall y'[M]. \forall p[M]. \forall p'[M].$ 
   $\text{pair}(M,x,y,p) \rightarrow \text{pair}(M,x,y',p') \rightarrow p \in r \rightarrow p' \in r \rightarrow y=y'$ "

fun_apply :: "[i=>o,i,i,i] => o"
"fun_apply(M,f,x,y) ==
   $(\exists xs[M]. \exists fxs[M].$ 
   $\text{upair}(M,x,x,xs) \ \& \ \text{image}(M,f,xs,fxs) \ \& \ \text{big\_union}(M,fxs,y))$ "

typed_function :: "[i=>o,i,i,i] => o"
"typed_function(M,A,B,r) ==
   $\text{is\_function}(M,r) \ \& \ \text{is\_relation}(M,r) \ \& \ \text{is\_domain}(M,r,A) \ \& \$ 
   $(\forall u[M]. u \in r \rightarrow (\forall x[M]. \forall y[M]. \text{pair}(M,x,y,u) \rightarrow y \in B))$ "

is_funspace :: "[i=>o,i,i,i] => o"
"is_funspace(M,A,B,F) ==
   $\forall f[M]. f \in F \leftrightarrow \text{typed\_function}(M,A,B,f)$ "

composition :: "[i=>o,i,i,i] => o"
"composition(M,r,s,t) ==
   $\forall p[M]. p \in t \leftrightarrow$ 
   $(\exists x[M]. \exists y[M]. \exists z[M]. \exists xy[M]. \exists yz[M].$ 
   $\text{pair}(M,x,z,p) \ \& \ \text{pair}(M,x,y,xy) \ \& \ \text{pair}(M,y,z,yz) \ \& \$ 
   $xy \in s \ \& \ yz \in r)$ "

injection :: "[i=>o,i,i,i] => o"
"injection(M,A,B,f) ==
   $\text{typed\_function}(M,A,B,f) \ \& \$ 
   $(\forall x[M]. \forall x'[M]. \forall y[M]. \forall p[M]. \forall p'[M].$ 
   $\text{pair}(M,x,y,p) \rightarrow \text{pair}(M,x',y,p') \rightarrow p \in f \rightarrow p' \in f \rightarrow x=x')$ "

```

```

surjection :: "[i=>o,i,i,i] => o"
"surjection(M,A,B,f) ==
  typed_function(M,A,B,f) &
  ( $\forall y[M]. y \in B \rightarrow (\exists x[M]. x \in A \ \& \ \text{fun\_apply}(M,f,x,y))$ )"

bijection :: "[i=>o,i,i,i] => o"
"bijection(M,A,B,f) == injection(M,A,B,f) & surjection(M,A,B,f)"

restriction :: "[i=>o,i,i,i] => o"
"restriction(M,r,A,z) ==
   $\forall x[M]. x \in z \leftrightarrow (x \in r \ \& \ (\exists u[M]. u \in A \ \& \ (\exists v[M]. \text{pair}(M,u,v,x))))$ "

transitive_set :: "[i=>o,i] => o"
"transitive_set(M,a) ==  $\forall x[M]. x \in a \rightarrow \text{subset}(M,x,a)$ "

ordinal :: "[i=>o,i] => o"
— an ordinal is a transitive set of transitive sets
"ordinal(M,a) == transitive_set(M,a) & ( $\forall x[M]. x \in a \rightarrow \text{transitive\_set}(M,x)$ )"

limit_ordinal :: "[i=>o,i] => o"
— a limit ordinal is a non-empty, successor-closed ordinal
"limit_ordinal(M,a) ==
  ordinal(M,a) &  $\sim \text{empty}(M,a)$  &
  ( $\forall x[M]. x \in a \rightarrow (\exists y[M]. y \in a \ \& \ \text{successor}(M,x,y))$ )"

successor_ordinal :: "[i=>o,i] => o"
— a successor ordinal is any ordinal that is neither empty nor limit
"successor_ordinal(M,a) ==
  ordinal(M,a) &  $\sim \text{empty}(M,a)$  &  $\sim \text{limit\_ordinal}(M,a)$ "

finite_ordinal :: "[i=>o,i] => o"
— an ordinal is finite if neither it nor any of its elements are limit
"finite_ordinal(M,a) ==
  ordinal(M,a) &  $\sim \text{limit\_ordinal}(M,a)$  &
  ( $\forall x[M]. x \in a \rightarrow \sim \text{limit\_ordinal}(M,x)$ )"

omega :: "[i=>o,i] => o"
— omega is a limit ordinal none of whose elements are limit
"omega(M,a) == limit_ordinal(M,a) & ( $\forall x[M]. x \in a \rightarrow \sim \text{limit\_ordinal}(M,x)$ )"

is_quasinat :: "[i=>o,i] => o"
"is_quasinat(M,z) == empty(M,z) | ( $\exists m[M]. \text{successor}(M,m,z)$ )"

is_nat_case :: "[i=>o, i, [i,i]=>o, i, i] => o"
"is_nat_case(M, a, is_b, k, z) ==
  (empty(M,k)  $\rightarrow z=a$ ) &
  ( $\forall m[M]. \text{successor}(M,m,k) \rightarrow \text{is\_b}(m,z)$ ) &
  (is_quasinat(M,k) | empty(M,z))"

```

```

relation1 :: "[i=>o, [i,i]=>o, i=>i] => o"
"relation1(M,is_f,f) ==  $\forall x[M]. \forall y[M]. is\_f(x,y) \leftrightarrow y = f(x)$ "

Relation1 :: "[i=>o, i, [i,i]=>o, i=>i] => o"
— as above, but typed
"Relation1(M,A,is_f,f) ==
 $\forall x[M]. \forall y[M]. x \in A \leftrightarrow is\_f(x,y) \leftrightarrow y = f(x)$ "

relation2 :: "[i=>o, [i,i,i]=>o, [i,i]=>i] => o"
"relation2(M,is_f,f) ==  $\forall x[M]. \forall y[M]. \forall z[M]. is\_f(x,y,z) \leftrightarrow z = f(x,y)$ "

Relation2 :: "[i=>o, i, i, [i,i,i]=>o, [i,i]=>i] => o"
"Relation2(M,A,B,is_f,f) ==
 $\forall x[M]. \forall y[M]. \forall z[M]. x \in A \leftrightarrow y \in B \leftrightarrow is\_f(x,y,z) \leftrightarrow z = f(x,y)$ "

relation3 :: "[i=>o, [i,i,i,i]=>o, [i,i,i]=>i] => o"
"relation3(M,is_f,f) ==
 $\forall x[M]. \forall y[M]. \forall z[M]. \forall u[M]. is\_f(x,y,z,u) \leftrightarrow u = f(x,y,z)$ "

Relation3 :: "[i=>o, i, i, i, [i,i,i,i]=>o, [i,i,i]=>i] => o"
"Relation3(M,A,B,C,is_f,f) ==
 $\forall x[M]. \forall y[M]. \forall z[M]. \forall u[M]. x \in A \leftrightarrow y \in B \leftrightarrow z \in C \leftrightarrow is\_f(x,y,z,u) \leftrightarrow u = f(x,y,z)$ "

relation4 :: "[i=>o, [i,i,i,i,i]=>o, [i,i,i,i]=>i] => o"
"relation4(M,is_f,f) ==
 $\forall u[M]. \forall x[M]. \forall y[M]. \forall z[M]. \forall a[M]. is\_f(u,x,y,z,a) \leftrightarrow a = f(u,x,y,z)$ "

```

Useful when absoluteness reasoning has replaced the predicates by terms

**lemma** *triv\_Relation1*:

```

"Relation1(M, A,  $\lambda x y. y = f(x), f$ )"
<proof>

```

**lemma** *triv\_Relation2*:

```

"Relation2(M, A, B,  $\lambda x y a. a = f(x,y), f$ )"
<proof>

```

## 2.2 The relativized ZF axioms

**constdefs**

```

extensionality :: "(i=>o) => o"
"extensionality(M) ==
 $\forall x[M]. \forall y[M]. (\forall z[M]. z \in x \leftrightarrow z \in y) \leftrightarrow x=y$ "

```

```

separation :: "[i=>o, i=>o] => o"

```

— The formula  $P$  should only involve parameters belonging to  $M$  and all its quantifiers must be relativized to  $M$ . We do not have separation as a scheme; every

instance that we need must be assumed (and later proved) separately.

```

"separation(M,P) ==
  ∀z[M]. ∃y[M]. ∀x[M]. x ∈ y <-> x ∈ z & P(x)"

upair_ax :: "(i=>o) => o"
"upair_ax(M) == ∀x[M]. ∀y[M]. ∃z[M]. upair(M,x,y,z)"

Union_ax :: "(i=>o) => o"
"Union_ax(M) == ∀x[M]. ∃z[M]. big_union(M,x,z)"

power_ax :: "(i=>o) => o"
"power_ax(M) == ∀x[M]. ∃z[M]. powerset(M,x,z)"

univalent :: "[i=>o, i, [i,i]=>o] => o"
"univalent(M,A,P) ==
  ∀x[M]. x∈A --> (∀y[M]. ∀z[M]. P(x,y) & P(x,z) --> y=z)"

replacement :: "[i=>o, [i,i]=>o] => o"
"replacement(M,P) ==
  ∀A[M]. univalent(M,A,P) -->
  (∃Y[M]. ∀b[M]. (∃x[M]. x∈A & P(x,b)) --> b ∈ Y)"

strong_replacement :: "[i=>o, [i,i]=>o] => o"
"strong_replacement(M,P) ==
  ∀A[M]. univalent(M,A,P) -->
  (∃Y[M]. ∀b[M]. b ∈ Y <-> (∃x[M]. x∈A & P(x,b)))"

foundation_ax :: "(i=>o) => o"
"foundation_ax(M) ==
  ∀x[M]. (∃y[M]. y∈x) --> (∃y[M]. y∈x & ~(∃z[M]. z∈x & z ∈ y))"

```

### 2.3 A trivial consistency proof for $V_\omega$

We prove that  $V_\omega$  (or `univ` in Isabelle) satisfies some ZF axioms. Kunen, Theorem IV 3.13, page 123.

```

lemma univ0_downwards_mem: "[| y ∈ x; x ∈ univ(0) |] ==> y ∈ univ(0)"
<proof>

```

```

lemma univ0_Ball_abs [simp]:
  "A ∈ univ(0) ==> (∀x∈A. x ∈ univ(0) --> P(x)) <-> (∀x∈A. P(x))"
<proof>

```

```

lemma univ0_Bex_abs [simp]:
  "A ∈ univ(0) ==> (∃x∈A. x ∈ univ(0) & P(x)) <-> (∃x∈A. P(x))"
<proof>

```

Congruence rule for separation: can assume the variable is in  $M$

```

lemma separation_cong [cong]:

```

```

    "(!!x. M(x) ==> P(x) <-> P'(x))
    ==> separation(M, %x. P(x)) <-> separation(M, %x. P'(x))"
  <proof>

```

```

lemma univalent_cong [cong]:
  "[| A=A'; !!x y. [| x∈A; M(x); M(y) |] ==> P(x,y) <-> P'(x,y) |]
  ==> univalent(M, A, %x y. P(x,y)) <-> univalent(M, A', %x y. P'(x,y))"
  <proof>

```

```

lemma univalent_triv [intro,simp]:
  "univalent(M, A, λx y. y = f(x))"
  <proof>

```

```

lemma univalent_conjI2 [intro,simp]:
  "univalent(M,A,Q) ==> univalent(M, A, λx y. P(x,y) & Q(x,y))"
  <proof>

```

Congruence rule for replacement

```

lemma strong_replacement_cong [cong]:
  "[| !!x y. [| M(x); M(y) |] ==> P(x,y) <-> P'(x,y) |]
  ==> strong_replacement(M, %x y. P(x,y)) <->
  strong_replacement(M, %x y. P'(x,y))"
  <proof>

```

The extensionality axiom

```

lemma "extensionality(λx. x ∈ univ(0))"
  <proof>

```

The separation axiom requires some lemmas

```

lemma Collect_in_Vfrom:
  "[| X ∈ Vfrom(A,j); Transset(A) |] ==> Collect(X,P) ∈ Vfrom(A,
  succ(j))"
  <proof>

```

```

lemma Collect_in_VLimit:
  "[| X ∈ Vfrom(A,i); Limit(i); Transset(A) |]
  ==> Collect(X,P) ∈ Vfrom(A,i)"
  <proof>

```

```

lemma Collect_in_univ:
  "[| X ∈ univ(A); Transset(A) |] ==> Collect(X,P) ∈ univ(A)"
  <proof>

```

```

lemma "separation(λx. x ∈ univ(0), P)"
  <proof>

```

Unordered pairing axiom

```

lemma "upair_ax(λx. x ∈ univ(0))"

```

*<proof>*

Union axiom

**lemma** "Union\_ax( $\lambda x. x \in \text{univ}(0)$ )"

*<proof>*

Powerset axiom

**lemma** Pow\_in\_univ:

"[|  $X \in \text{univ}(A)$ ; Transset(A) |] ==> Pow(X)  $\in \text{univ}(A)$ "

*<proof>*

**lemma** "power\_ax( $\lambda x. x \in \text{univ}(0)$ )"

*<proof>*

Foundation axiom

**lemma** "foundation\_ax( $\lambda x. x \in \text{univ}(0)$ )"

*<proof>*

**lemma** "replacement( $\lambda x. x \in \text{univ}(0)$ , P)"

*<proof>*

no idea: maybe prove by induction on the rank of A?

Still missing: Replacement, Choice

## 2.4 Lemmas Needed to Reduce Some Set Constructions to Instances of Separation

**lemma** image\_iff\_Collect: " $r$  ' '  $A = \{y \in \text{Union}(\text{Union}(r)). \exists p \in r. \exists x \in A. p = \langle x, y \rangle\}$ "

*<proof>*

**lemma** vimage\_iff\_Collect:

" $r$  - ' '  $A = \{x \in \text{Union}(\text{Union}(r)). \exists p \in r. \exists y \in A. p = \langle x, y \rangle\}$ "

*<proof>*

These two lemmas lets us prove *domain\_closed* and *range\_closed* without new instances of separation

**lemma** domain\_eq\_vimage: " $\text{domain}(r) = r$  - ' '  $\text{Union}(\text{Union}(r))$ "

*<proof>*

**lemma** range\_eq\_image: " $\text{range}(r) = r$  ' '  $\text{Union}(\text{Union}(r))$ "

*<proof>*

**lemma** replacementD:

"[| replacement(M,P); M(A); univalent(M,A,P) |]

==>  $\exists Y[M]. (\forall b[M]. ((\exists x[M]. x \in A \ \& \ P(x,b)) \rightarrow b \in Y))$ "

*<proof>*

```

lemma strong_replacementD:
  "[| strong_replacement(M,P); M(A); univalent(M,A,P) |]
  ==>  $\exists Y[M]. (\forall b[M]. (b \in Y \leftrightarrow (\exists x[M]. x \in A \ \& \ P(x,b))))$ "
<proof>

lemma separationD:
  "[| separation(M,P); M(z) |] ==>  $\exists y[M]. \forall x[M]. x \in y \leftrightarrow x \in z \ \& \ P(x)$ "
<proof>

```

More constants, for order types

**constdefs**

```

order_isomorphism :: "[i=>o,i,i,i,i,i] => o"
  "order_isomorphism(M,A,r,B,s,f) ==
  bijection(M,A,B,f) &
  ( $\forall x[M]. x \in A \ \rightarrow (\forall y[M]. y \in A \ \rightarrow$ 
  ( $\forall p[M]. \forall fx[M]. \forall fy[M]. \forall q[M].$ 
  pair(M,x,y,p)  $\rightarrow$  fun_apply(M,f,x,fx)  $\rightarrow$  fun_apply(M,f,y,fy)
 $\rightarrow$  pair(M,fx,fy,q)  $\rightarrow$  (p∈r  $\leftrightarrow$  q∈s))))"

pred_set :: "[i=>o,i,i,i,i] => o"
  "pred_set(M,A,x,r,B) ==
   $\forall y[M]. y \in B \leftrightarrow (\exists p[M]. p \in r \ \& \ y \in A \ \& \ \text{pair}(M,y,x,p))$ "

membership :: "[i=>o,i,i] => o" — membership relation
  "membership(M,A,r) ==
   $\forall p[M]. p \in r \leftrightarrow (\exists x[M]. x \in A \ \& \ (\exists y[M]. y \in A \ \& \ x \in y \ \& \ \text{pair}(M,x,y,p)))$ "

```

## 2.5 Introducing a Transitive Class Model

The class M is assumed to be transitive and to satisfy some relativized ZF axioms

```

locale M_trivial =
  fixes M
  assumes transM: "[| y∈x; M(x) |] ==> M(y)"
  and upair_ax: "upair_ax(M)"
  and Union_ax: "Union_ax(M)"
  and power_ax: "power_ax(M)"
  and replacement: "replacement(M,P)"
  and M_nat [iff]: "M(nat)"

```

Automatically discovers the proof using *transM*, *nat\_OI* and *M\_nat*.

```

lemma (in M_trivial) nonempty [simp]: "M(0)"
<proof>

```

```

lemma (in M_trivial) rall_abs [simp]:
  "M(A) ==> (∀ x[M]. x∈A --> P(x)) <-> (∀ x∈A. P(x))"
<proof>

lemma (in M_trivial) rex_abs [simp]:
  "M(A) ==> (∃ x[M]. x∈A & P(x)) <-> (∃ x∈A. P(x))"
<proof>

lemma (in M_trivial) ball_iff_equiv:
  "M(A) ==> (∀ x[M]. (x∈A <-> P(x))) <->
    (∀ x∈A. P(x)) & (∀ x. P(x) --> M(x) --> x∈A)"
<proof>

```

Simplifies proofs of equalities when there's an iff-equality available for rewriting, universally quantified over M. But it's not the only way to prove such equalities: its premises  $M(A)$  and  $M(B)$  can be too strong.

```

lemma (in M_trivial) M_equalityI:
  "[| !!x. M(x) ==> x∈A <-> x∈B; M(A); M(B) |] ==> A=B"
<proof>

```

### 2.5.1 Trivial Absoluteness Proofs: Empty Set, Pairs, etc.

```

lemma (in M_trivial) empty_abs [simp]:
  "M(z) ==> empty(M,z) <-> z=0"
<proof>

lemma (in M_trivial) subset_abs [simp]:
  "M(A) ==> subset(M,A,B) <-> A ⊆ B"
<proof>

lemma (in M_trivial) upair_abs [simp]:
  "M(z) ==> upair(M,a,b,z) <-> z={a,b}"
<proof>

lemma (in M_trivial) upair_in_M_iff [iff]:
  "M({a,b}) <-> M(a) & M(b)"
<proof>

lemma (in M_trivial) singleton_in_M_iff [iff]:
  "M({a}) <-> M(a)"
<proof>

lemma (in M_trivial) pair_abs [simp]:
  "M(z) ==> pair(M,a,b,z) <-> z=<a,b>"
<proof>

lemma (in M_trivial) pair_in_M_iff [iff]:
  "M(<a,b>) <-> M(a) & M(b)"
<proof>

```

**lemma** (in *M\_trivial*) *pair\_components\_in\_M*:  
 "[| <x,y> ∈ A; M(A) |] ==> M(x) & M(y)"  
 <proof>

**lemma** (in *M\_trivial*) *cartprod\_abs [simp]*:  
 "[| M(A); M(B); M(z) |] ==> cartprod(M,A,B,z) <-> z = A\*B"  
 <proof>

## 2.5.2 Absoluteness for Unions and Intersections

**lemma** (in *M\_trivial*) *union\_abs [simp]*:  
 "[| M(a); M(b); M(z) |] ==> union(M,a,b,z) <-> z = a Un b"  
 <proof>

**lemma** (in *M\_trivial*) *inter\_abs [simp]*:  
 "[| M(a); M(b); M(z) |] ==> inter(M,a,b,z) <-> z = a Int b"  
 <proof>

**lemma** (in *M\_trivial*) *setdiff\_abs [simp]*:  
 "[| M(a); M(b); M(z) |] ==> setdiff(M,a,b,z) <-> z = a-b"  
 <proof>

**lemma** (in *M\_trivial*) *Union\_abs [simp]*:  
 "[| M(A); M(z) |] ==> big\_union(M,A,z) <-> z = Union(A)"  
 <proof>

**lemma** (in *M\_trivial*) *Union\_closed [intro,simp]*:  
 "M(A) ==> M(Union(A))"  
 <proof>

**lemma** (in *M\_trivial*) *Un\_closed [intro,simp]*:  
 "[| M(A); M(B) |] ==> M(A Un B)"  
 <proof>

**lemma** (in *M\_trivial*) *cons\_closed [intro,simp]*:  
 "[| M(a); M(A) |] ==> M(cons(a,A))"  
 <proof>

**lemma** (in *M\_trivial*) *cons\_abs [simp]*:  
 "[| M(b); M(z) |] ==> is\_cons(M,a,b,z) <-> z = cons(a,b)"  
 <proof>

**lemma** (in *M\_trivial*) *successor\_abs [simp]*:  
 "[| M(a); M(z) |] ==> successor(M,a,z) <-> z = succ(a)"  
 <proof>

**lemma** (in *M\_trivial*) *succ\_in\_M\_iff [iff]*:  
 "M(succ(a)) <-> M(a)"

*<proof>*

### 2.5.3 Absoluteness for Separation and Replacement

**lemma** (in *M\_trivial*) *separation\_closed* [*intro,simp*]:  
" [| *separation*(*M,P*); *M*(*A*) |] ==> *M*(*Collect*(*A,P*))"  
*<proof>*

**lemma** *separation\_iff*:  
"*separation*(*M,P*) <-> ( $\forall z[M]. \exists y[M]. \text{is\_Collect}(M,z,P,y)$ )"  
*<proof>*

**lemma** (in *M\_trivial*) *Collect\_abs* [*simp*]:  
" [| *M*(*A*); *M*(*z*) |] ==> *is\_Collect*(*M,A,P,z*) <-> *z* = *Collect*(*A,P*)"  
*<proof>*

Probably the premise and conclusion are equivalent

**lemma** (in *M\_trivial*) *strong\_replacementI* [*rule\_format*]:  
" [|  $\forall B[M]. \text{separation}(M, \%u. \exists x[M]. x \in B \ \& \ P(x,u))$  |] ==> *strong\_replacement*(*M,P*)"  
*<proof>*

### 2.5.4 The Operator *is\_Replace*

**lemma** *is\_Replace\_cong* [*cong*]:  
" [| *A=A'*;  
  !!*x y. [| M*(*x*); *M*(*y*) |] ==> *P*(*x,y*) <-> *P'*(*x,y*);  
  *z=z'* |] ==> *is\_Replace*(*M, A, \%x y. P*(*x,y*), *z*) <-> *is\_Replace*(*M, A', \%x y. P'*(*x,y*), *z'*)"  
*<proof>*

**lemma** (in *M\_trivial*) *univalent\_Replace\_iff*:  
" [| *M*(*A*); *univalent*(*M,A,P*);  
  !!*x y. [| x* ∈ *A*; *P*(*x,y*) |] ==> *M*(*y*) |] ==> *u* ∈ *Replace*(*A,P*) <-> ( $\exists x. x \in A \ \& \ P(x,u)$ )"  
*<proof>*

**lemma** (in *M\_trivial*) *strong\_replacement\_closed* [*intro,simp*]:  
" [| *strong\_replacement*(*M,P*); *M*(*A*); *univalent*(*M,A,P*);  
  !!*x y. [| x* ∈ *A*; *P*(*x,y*) |] ==> *M*(*y*) |] ==> *M*(*Replace*(*A,P*))"  
*<proof>*

**lemma** (in *M\_trivial*) *Replace\_abs*:  
" [| *M*(*A*); *M*(*z*); *univalent*(*M,A,P*);  
  !!*x y. [| x* ∈ *A*; *P*(*x,y*) |] ==> *M*(*y*) |] ==> *is\_Replace*(*M,A,P,z*) <-> *z* = *Replace*(*A,P*)"  
*<proof>*

```

lemma (in M_trivial) RepFun_closed:
  "[| strong_replacement(M,  $\lambda x y. y = f(x)$ ); M(A);  $\forall x \in A. M(f(x))$  |]
  ==> M(RepFun(A,f))"
<proof>

```

```

lemma Replace_conj_eq: "{y . x  $\in$  A, x  $\in$  A & y=f(x)} = {y . x  $\in$  A, y=f(x)}"
<proof>

```

Better than RepFun\_closed when having the formula  $x \in A$  makes relativization easier.

```

lemma (in M_trivial) RepFun_closed2:
  "[| strong_replacement(M,  $\lambda x y. x \in A$  & y = f(x)); M(A);  $\forall x \in A. M(f(x))$ 
  |]
  ==> M(RepFun(A, %x. f(x)))"
<proof>

```

## 2.5.5 Absoluteness for Lambda

**constdefs**

```

is_lambda :: "[i=>o, i, [i,i]=>o, i] => o"
"is_lambda(M, A, is_b, z) ==
   $\forall p[M]. p \in z \leftrightarrow$ 
  ( $\exists u[M]. \exists v[M]. u \in A$  & pair(M,u,v,p) & is_b(u,v))"

```

```

lemma (in M_trivial) lam_closed:
  "[| strong_replacement(M,  $\lambda x y. y = \langle x, b(x) \rangle$ ); M(A);  $\forall x \in A. M(b(x))$ 
  |]
  ==> M( $\lambda x \in A. b(x)$ )"
<proof>

```

Better than lam\_closed: has the formula  $x \in A$

```

lemma (in M_trivial) lam_closed2:
  "[| strong_replacement(M,  $\lambda x y. x \in A$  & y =  $\langle x, b(x) \rangle$ );
  M(A);  $\forall m[M]. m \in A \rightarrow M(b(m))$  |] ==> M(Lambda(A,b))"
<proof>

```

```

lemma (in M_trivial) lambda_abs2:
  "[| Relation1(M,A,is_b,b); M(A);  $\forall m[M]. m \in A \rightarrow M(b(m)); M(z)$  |]
  ==> is_lambda(M,A,is_b,z)  $\leftrightarrow$  z = Lambda(A,b)"
<proof>

```

```

lemma is_lambda_cong [cong]:
  "[| A=A'; z=z';
  !!x y. [| x  $\in$  A; M(x); M(y) |] ==> is_b(x,y)  $\leftrightarrow$  is_b'(x,y) |]
  ==> is_lambda(M, A, %x y. is_b(x,y), z)  $\leftrightarrow$ 
  is_lambda(M, A', %x y. is_b'(x,y), z)"
<proof>

```

```

lemma (in M_trivial) image_abs [simp]:
  "[| M(r); M(A); M(z) |] ==> image(M,r,A,z) <-> z = r `` A"
<proof>

```

What about *Pow\_abs*? Powerset is NOT absolute! This result is one direction of absoluteness.

```

lemma (in M_trivial) powerset_Pow:
  "powerset(M, x, Pow(x))"
<proof>

```

But we can't prove that the powerset in *M* includes the real powerset.

```

lemma (in M_trivial) powerset_imp_subset_Pow:
  "[| powerset(M,x,y); M(y) |] ==> y <= Pow(x)"
<proof>

```

## 2.5.6 Absoluteness for the Natural Numbers

```

lemma (in M_trivial) nat_into_M [intro]:
  "n ∈ nat ==> M(n)"
<proof>

```

```

lemma (in M_trivial) nat_case_closed [intro,simp]:
  "[| M(k); M(a); ∀ m[M]. M(b(m)) |] ==> M(nat_case(a,b,k))"
<proof>

```

```

lemma (in M_trivial) quasinat_abs [simp]:
  "M(z) ==> is_quasinat(M,z) <-> quasinat(z)"
<proof>

```

```

lemma (in M_trivial) nat_case_abs [simp]:
  "[| relation1(M,is_b,b); M(k); M(z) |]
  ==> is_nat_case(M,a,is_b,k,z) <-> z = nat_case(a,b,k)"
<proof>

```

```

lemma is_nat_case_cong:
  "[| a = a'; k = k'; z = z'; M(z');
  !!x y. [| M(x); M(y) |] ==> is_b(x,y) <-> is_b'(x,y) |]
  ==> is_nat_case(M, a, is_b, k, z) <-> is_nat_case(M, a', is_b',
  k', z')"
<proof>

```

## 2.6 Absoluteness for Ordinals

These results constitute Theorem IV 5.1 of Kunen (page 126).

```

lemma (in M_trivial) lt_closed:
  "[| j < i; M(i) |] ==> M(j)"

```

*<proof>*

**lemma** (in *M\_trivial*) *transitive\_set\_abs [simp]*:  
"M(a) ==> transitive\_set(M,a) <-> Transset(a)"  
*<proof>*

**lemma** (in *M\_trivial*) *ordinal\_abs [simp]*:  
"M(a) ==> ordinal(M,a) <-> Ord(a)"  
*<proof>*

**lemma** (in *M\_trivial*) *limit\_ordinal\_abs [simp]*:  
"M(a) ==> limit\_ordinal(M,a) <-> Limit(a)"  
*<proof>*

**lemma** (in *M\_trivial*) *successor\_ordinal\_abs [simp]*:  
"M(a) ==> successor\_ordinal(M,a) <-> Ord(a) & ( $\exists b[M]. a = \text{succ}(b)$ )"  
*<proof>*

**lemma** *finite\_Ord\_is\_nat*:  
"[| Ord(a); ~ Limit(a);  $\forall x \in a. \sim \text{Limit}(x)$  |] ==> a  $\in$  nat"  
*<proof>*

**lemma** (in *M\_trivial*) *finite\_ordinal\_abs [simp]*:  
"M(a) ==> finite\_ordinal(M,a) <-> a  $\in$  nat"  
*<proof>*

**lemma** *Limit\_non\_Limit\_implies\_nat*:  
"[| Limit(a);  $\forall x \in a. \sim \text{Limit}(x)$  |] ==> a = nat"  
*<proof>*

**lemma** (in *M\_trivial*) *omega\_abs [simp]*:  
"M(a) ==> omega(M,a) <-> a = nat"  
*<proof>*

**lemma** (in *M\_trivial*) *number1\_abs [simp]*:  
"M(a) ==> number1(M,a) <-> a = 1"  
*<proof>*

**lemma** (in *M\_trivial*) *number2\_abs [simp]*:  
"M(a) ==> number2(M,a) <-> a = succ(1)"  
*<proof>*

**lemma** (in *M\_trivial*) *number3\_abs [simp]*:  
"M(a) ==> number3(M,a) <-> a = succ(succ(1))"  
*<proof>*

Kunen continued to 20...

## 2.7 Some instances of separation and strong replacement

```

locale M_basic = M_trivial +
assumes Inter_separation:
  "M(A) ==> separation(M,  $\lambda x. \forall y[M]. y \in A \rightarrow x \in y$ )"
and Diff_separation:
  "M(B) ==> separation(M,  $\lambda x. x \notin B$ )"
and cartprod_separation:
  "[| M(A); M(B) |]
  ==> separation(M,  $\lambda z. \exists x[M]. x \in A \ \& \ (\exists y[M]. y \in B \ \& \ \text{pair}(M,x,y,z))$ )"
and image_separation:
  "[| M(A); M(r) |]
  ==> separation(M,  $\lambda y. \exists p[M]. p \in r \ \& \ (\exists x[M]. x \in A \ \& \ \text{pair}(M,x,y,p))$ )"
and converse_separation:
  "M(r) ==> separation(M,
     $\lambda z. \exists p[M]. p \in r \ \& \ (\exists x[M]. \exists y[M]. \text{pair}(M,x,y,p) \ \& \ \text{pair}(M,y,x,z))$ )"
and restrict_separation:
  "M(A) ==> separation(M,  $\lambda z. \exists x[M]. x \in A \ \& \ (\exists y[M]. \text{pair}(M,x,y,z))$ )"
and comp_separation:
  "[| M(r); M(s) |]
  ==> separation(M,  $\lambda xz. \exists x[M]. \exists y[M]. \exists z[M]. \exists xy[M]. \exists yz[M].$ 
     $\text{pair}(M,x,z,xz) \ \& \ \text{pair}(M,x,y,xy) \ \& \ \text{pair}(M,y,z,yz) \ \&$ 
     $xy \in s \ \& \ yz \in r$ )"
and pred_separation:
  "[| M(r); M(x) |] ==> separation(M,  $\lambda y. \exists p[M]. p \in r \ \& \ \text{pair}(M,y,x,p)$ )"
and Memrel_separation:
  "separation(M,  $\lambda z. \exists x[M]. \exists y[M]. \text{pair}(M,x,y,z) \ \& \ x \in y$ )"
and funspace_succ_replacement:
  "M(n) ==>
    strong_replacement(M,  $\lambda p z. \exists f[M]. \exists b[M]. \exists nb[M]. \exists cnbf[M].$ 
       $\text{pair}(M,f,b,p) \ \& \ \text{pair}(M,n,b,nb) \ \& \ \text{is\_cons}(M,nb,f,cnbf)$ 
      &
       $\text{upair}(M,cnbf,cnbf,z)$ )"
and is_recfun_separation:
  — for well-founded recursion: used to prove is_recfun_equal
  "[| M(r); M(f); M(g); M(a); M(b) |]
  ==> separation(M,
     $\lambda x. \exists xa[M]. \exists xb[M].$ 
     $\text{pair}(M,x,a,xa) \ \& \ xa \in r \ \& \ \text{pair}(M,x,b,xb) \ \& \ xb \in r \ \&$ 
     $(\exists fx[M]. \exists gx[M]. \text{fun\_apply}(M,f,x,fx) \ \& \ \text{fun\_apply}(M,g,x,gx)$ 
    &
     $fx \neq gx)$ )"

lemma (in M_basic) cartprod_iff_lemma:
  "[| M(C);  $\forall u[M]. u \in C \leftrightarrow (\exists x \in A. \exists y \in B. u = \{\{x\}, \{x,y\}\})$ ;
    powerset(M, A  $\cup$  B, p1); powerset(M, p1, p2); M(p2) |]
  ==> C = {u  $\in$  p2 .  $\exists x \in A. \exists y \in B. u = \{\{x\}, \{x,y\}\}}$ "
<proof>

lemma (in M_basic) cartprod_iff:

```

```

    "[| M(A); M(B); M(C) |]
    ==> cartprod(M,A,B,C) <->
        (∃p1[M]. ∃p2[M]. powerset(M,A Un B,p1) & powerset(M,p1,p2)
&
        C = {z ∈ p2. ∃x∈A. ∃y∈B. z = <x,y>})"
<proof>

```

```

lemma (in M_basic) cartprod_closed_lemma:
    "[| M(A); M(B) |] ==> ∃C[M]. cartprod(M,A,B,C)"
<proof>

```

All the lemmas above are necessary because Powerset is not absolute. I should have used Replacement instead!

```

lemma (in M_basic) cartprod_closed [intro,simp]:
    "[| M(A); M(B) |] ==> M(A*B)"
<proof>

```

```

lemma (in M_basic) sum_closed [intro,simp]:
    "[| M(A); M(B) |] ==> M(A+B)"
<proof>

```

```

lemma (in M_basic) sum_abs [simp]:
    "[| M(A); M(B); M(Z) |] ==> is_sum(M,A,B,Z) <-> (Z = A+B)"
<proof>

```

```

lemma (in M_trivial) Inl_in_M_iff [iff]:
    "M(Inl(a)) <-> M(a)"
<proof>

```

```

lemma (in M_trivial) Inl_abs [simp]:
    "M(Z) ==> is_Inl(M,a,Z) <-> (Z = Inl(a))"
<proof>

```

```

lemma (in M_trivial) Inr_in_M_iff [iff]:
    "M(Inr(a)) <-> M(a)"
<proof>

```

```

lemma (in M_trivial) Inr_abs [simp]:
    "M(Z) ==> is_Inr(M,a,Z) <-> (Z = Inr(a))"
<proof>

```

### 2.7.1 converse of a relation

```

lemma (in M_basic) M_converse_iff:
    "M(r) ==>
    converse(r) =
    {z ∈ Union(Union(r)) * Union(Union(r)).
    ∃p∈r. ∃x[M]. ∃y[M]. p = <x,y> & z = <y,x>}"
<proof>

```

**lemma** (in *M\_basic*) *converse\_closed* [*intro,simp*]:

" $M(r) \implies M(\text{converse}(r))$ "

*<proof>*

**lemma** (in *M\_basic*) *converse\_abs* [*simp*]:

" $[| M(r); M(z) |] \implies \text{is\_converse}(M,r,z) \iff z = \text{converse}(r)$ "

*<proof>*

### 2.7.2 image, preimage, domain, range

**lemma** (in *M\_basic*) *image\_closed* [*intro,simp*]:

" $[| M(A); M(r) |] \implies M(r^{-1}A)$ "

*<proof>*

**lemma** (in *M\_basic*) *vimage\_abs* [*simp*]:

" $[| M(r); M(A); M(z) |] \implies \text{pre\_image}(M,r,A,z) \iff z = r^{-1}A$ "

*<proof>*

**lemma** (in *M\_basic*) *vimage\_closed* [*intro,simp*]:

" $[| M(A); M(r) |] \implies M(r^{-1}A)$ "

*<proof>*

### 2.7.3 Domain, range and field

**lemma** (in *M\_basic*) *domain\_abs* [*simp*]:

" $[| M(r); M(z) |] \implies \text{is\_domain}(M,r,z) \iff z = \text{domain}(r)$ "

*<proof>*

**lemma** (in *M\_basic*) *domain\_closed* [*intro,simp*]:

" $M(r) \implies M(\text{domain}(r))$ "

*<proof>*

**lemma** (in *M\_basic*) *range\_abs* [*simp*]:

" $[| M(r); M(z) |] \implies \text{is\_range}(M,r,z) \iff z = \text{range}(r)$ "

*<proof>*

**lemma** (in *M\_basic*) *range\_closed* [*intro,simp*]:

" $M(r) \implies M(\text{range}(r))$ "

*<proof>*

**lemma** (in *M\_basic*) *field\_abs* [*simp*]:

" $[| M(r); M(z) |] \implies \text{is\_field}(M,r,z) \iff z = \text{field}(r)$ "

*<proof>*

**lemma** (in *M\_basic*) *field\_closed* [*intro,simp*]:

" $M(r) \implies M(\text{field}(r))$ "

*<proof>*

## 2.7.4 Relations, functions and application

**lemma** (in *M\_basic*) *relation\_abs* [*simp*]:  
"M(r) ==> is\_relation(M,r) <-> relation(r)"  
<proof>

**lemma** (in *M\_basic*) *function\_abs* [*simp*]:  
"M(r) ==> is\_function(M,r) <-> function(r)"  
<proof>

**lemma** (in *M\_basic*) *apply\_closed* [*intro,simp*]:  
"[M(f); M(a)] ==> M(f'a)"  
<proof>

**lemma** (in *M\_basic*) *apply\_abs* [*simp*]:  
"[M(f); M(x); M(y)] ==> fun\_apply(M,f,x,y) <-> f'x = y"  
<proof>

**lemma** (in *M\_basic*) *typed\_function\_abs* [*simp*]:  
"[M(A); M(f)] ==> typed\_function(M,A,B,f) <-> f ∈ A -> B"  
<proof>

**lemma** (in *M\_basic*) *injection\_abs* [*simp*]:  
"[M(A); M(f)] ==> injection(M,A,B,f) <-> f ∈ inj(A,B)"  
<proof>

**lemma** (in *M\_basic*) *surjection\_abs* [*simp*]:  
"[M(A); M(B); M(f)] ==> surjection(M,A,B,f) <-> f ∈ surj(A,B)"  
<proof>

**lemma** (in *M\_basic*) *bijection\_abs* [*simp*]:  
"[M(A); M(B); M(f)] ==> bijection(M,A,B,f) <-> f ∈ bij(A,B)"  
<proof>

## 2.7.5 Composition of relations

**lemma** (in *M\_basic*) *M\_comp\_iff*:  
"[M(r); M(s)]  
==> r O s =  
{xz ∈ domain(s) \* range(r).  
∃x[M]. ∃y[M]. ∃z[M]. xz = ⟨x,z⟩ & ⟨x,y⟩ ∈ s & ⟨y,z⟩ ∈ r}"  
<proof>

**lemma** (in *M\_basic*) *comp\_closed* [*intro,simp*]:  
"[M(r); M(s)] ==> M(r O s)"  
<proof>

**lemma** (in *M\_basic*) *composition\_abs* [*simp*]:  
"[M(r); M(s); M(t)] ==> composition(M,r,s,t) <-> t = r O s"  
<proof>

no longer needed

```
lemma (in M_basic) restriction_is_function:
  "[| restriction(M,f,A,z); function(f); M(f); M(A); M(z) |]
   ==> function(z)"
<proof>
```

```
lemma (in M_basic) restriction_abs [simp]:
  "[| M(f); M(A); M(z) |]
   ==> restriction(M,f,A,z) <-> z = restrict(f,A)"
<proof>
```

```
lemma (in M_basic) M_restrict_iff:
  "M(r) ==> restrict(r,A) = {z ∈ r . ∃x∈A. ∃y[M]. z = ⟨x, y⟩}"
<proof>
```

```
lemma (in M_basic) restrict_closed [intro,simp]:
  "[| M(A); M(r) |] ==> M(restrict(r,A))"
<proof>
```

```
lemma (in M_basic) Inter_abs [simp]:
  "[| M(A); M(z) |] ==> big_inter(M,A,z) <-> z = Inter(A)"
<proof>
```

```
lemma (in M_basic) Inter_closed [intro,simp]:
  "M(A) ==> M(Inter(A))"
<proof>
```

```
lemma (in M_basic) Int_closed [intro,simp]:
  "[| M(A); M(B) |] ==> M(A Int B)"
<proof>
```

```
lemma (in M_basic) Diff_closed [intro,simp]:
  "[| M(A); M(B) |] ==> M(A-B)"
<proof>
```

## 2.7.6 Some Facts About Separation Axioms

```
lemma (in M_basic) separation_conj:
  "[| separation(M,P); separation(M,Q) |] ==> separation(M, λz. P(z)
  & Q(z))"
<proof>
```

```
lemma Collect_Un_Collect_eq:
  "Collect(A,P) Un Collect(A,Q) = Collect(A, %x. P(x) | Q(x))"
<proof>
```

```
lemma Diff_Collect_eq:
```

"A - Collect(A,P) = Collect(A, %x. ~ P(x))"  
 <proof>

**lemma** (in M\_trivial) Collect\_rall\_eq:  
 "M(Y) ==> Collect(A, %x.  $\forall y[M]. y \in Y \rightarrow P(x,y)$ ) =  
 (if Y=0 then A else ( $\bigcap y \in Y. \{x \in A. P(x,y)\}$ ))"  
 <proof>

**lemma** (in M\_basic) separation\_disj:  
 "[|separation(M,P); separation(M,Q)|] ==> separation(M,  $\lambda z. P(z) \vee Q(z)$ )"  
 <proof>

**lemma** (in M\_basic) separation\_neg:  
 "separation(M,P) ==> separation(M,  $\lambda z. \sim P(z)$ )"  
 <proof>

**lemma** (in M\_basic) separation\_imp:  
 "[|separation(M,P); separation(M,Q)|]  
 ==> separation(M,  $\lambda z. P(z) \rightarrow Q(z)$ )"  
 <proof>

This result is a hint of how little can be done without the Reflection Theorem. The quantifier has to be bounded by a set. We also need another instance of Separation!

**lemma** (in M\_basic) separation\_rall:  
 "[|M(Y);  $\forall y[M]. \text{separation}(M, \lambda x. P(x,y))$ ;  
 $\forall z[M]. \text{strong\_replacement}(M, \lambda x y. y = \{u \in z . P(u,x)\})$ |]  
 ==> separation(M,  $\lambda x. \forall y[M]. y \in Y \rightarrow P(x,y)$ )"  
 <proof>

## 2.7.7 Functions and function space

The assumption  $M(A \rightarrow B)$  is unusual, but essential: in all but trivial cases,  $A \rightarrow B$  cannot be expected to belong to  $M$ .

**lemma** (in M\_basic) is\_funspace\_abs [simp]:  
 "[|M(A); M(B); M(F); M(A->B)|] ==> is\_funspace(M,A,B,F) <-> F = A->B"  
 <proof>

**lemma** (in M\_basic) succ\_fun\_eq2:  
 "[|M(B); M(n->B)|] ==>  
 succ(n) -> B =  
 $\bigcup \{z. p \in (n \rightarrow B) * B, \exists f[M]. \exists b[M]. p = \langle f, b \rangle \ \& \ z = \{\text{cons}(\langle n, b \rangle, f)\}$ "  
 <proof>

**lemma** (in M\_basic) funspace\_succ:  
 "[|M(n); M(B); M(n->B)|] ==> M(succ(n) -> B)"

*<proof>*

$M$  contains all finite function spaces. Needed to prove the absoluteness of transitive closure. See the definition of `rtrancl_alt` in `WF_absolute.thy`.

**lemma** (in `M_basic`) `finite_funspace_closed` [intro,simp]:  
" $[n \in \text{nat}; M(B) \mid] \implies M(n \rightarrow B)$ "

*<proof>*

## 2.8 Relativization and Absoluteness for Boolean Operators

**constdefs**

`is_bool_of_o` :: " $[i \Rightarrow o, o, i] \Rightarrow o$ "  
"`is_bool_of_o`( $M, P, z$ ) == ( $P \ \& \ \text{number1}(M, z)$ ) | ( $\sim P \ \& \ \text{empty}(M, z)$ )"

`is_not` :: " $[i \Rightarrow o, i, i] \Rightarrow o$ "  
"`is_not`( $M, a, z$ ) == ( $\text{number1}(M, a) \ \& \ \text{empty}(M, z)$ ) |  
( $\sim \text{number1}(M, a) \ \& \ \text{number1}(M, z)$ )"

`is_and` :: " $[i \Rightarrow o, i, i, i] \Rightarrow o$ "  
"`is_and`( $M, a, b, z$ ) == ( $\text{number1}(M, a) \ \& \ z = b$ ) |  
( $\sim \text{number1}(M, a) \ \& \ \text{empty}(M, z)$ )"

`is_or` :: " $[i \Rightarrow o, i, i, i] \Rightarrow o$ "  
"`is_or`( $M, a, b, z$ ) == ( $\text{number1}(M, a) \ \& \ \text{number1}(M, z)$ ) |  
( $\sim \text{number1}(M, a) \ \& \ z = b$ )"

**lemma** (in `M_trivial`) `bool_of_o_abs` [simp]:  
" $M(z) \implies \text{is\_bool\_of\_o}(M, P, z) \iff z = \text{bool\_of\_o}(P)$ "  
*<proof>*

**lemma** (in `M_trivial`) `not_abs` [simp]:  
" $[ \mid M(a); M(z) \mid] \implies \text{is\_not}(M, a, z) \iff z = \text{not}(a)$ "  
*<proof>*

**lemma** (in `M_trivial`) `and_abs` [simp]:  
" $[ \mid M(a); M(b); M(z) \mid] \implies \text{is\_and}(M, a, b, z) \iff z = a \ \& \ b$ "  
*<proof>*

**lemma** (in `M_trivial`) `or_abs` [simp]:  
" $[ \mid M(a); M(b); M(z) \mid] \implies \text{is\_or}(M, a, b, z) \iff z = a \ \vee \ b$ "  
*<proof>*

**lemma** (in `M_trivial`) `bool_of_o_closed` [intro,simp]:  
" $M(\text{bool\_of\_o}(P))$ "  
*<proof>*

**lemma** (in `M_trivial`) `and_closed` [intro,simp]:

```

    "[| M(p); M(q) |] ==> M(p and q)"
  <proof>

```

```

lemma (in M_trivial) or_closed [intro,simp]:
  "[| M(p); M(q) |] ==> M(p or q)"
  <proof>

```

```

lemma (in M_trivial) not_closed [intro,simp]:
  "M(p) ==> M(not(p))"
  <proof>

```

## 2.9 Relativization and Absoluteness for List Operators

constdefs

```

is_Nil :: "[i=>o, i] => o"
  — because [] ≡ Inl(0)
  "is_Nil(M,xs) == ∃ zero[M]. empty(M,zero) & is_Inl(M,zero,xs)"

```

```

is_Cons :: "[i=>o,i,i,i] => o"
  — because Cons(a, l) ≡ Inr(⟨a, l⟩)
  "is_Cons(M,a,l,Z) == ∃ p[M]. pair(M,a,l,p) & is_Inr(M,p,Z)"

```

```

lemma (in M_trivial) Nil_in_M [intro,simp]: "M(Nil)"
  <proof>

```

```

lemma (in M_trivial) Nil_abs [simp]: "M(Z) ==> is_Nil(M,Z) <-> (Z = Nil)"
  <proof>

```

```

lemma (in M_trivial) Cons_in_M_iff [iff]: "M(Cons(a,l)) <-> M(a) & M(l)"
  <proof>

```

```

lemma (in M_trivial) Cons_abs [simp]:
  "[|M(a); M(l); M(Z)|] ==> is_Cons(M,a,l,Z) <-> (Z = Cons(a,l))"
  <proof>

```

constdefs

```

quasilist :: "i => o"
  "quasilist(xs) == xs=Nil | (∃ x l. xs = Cons(x,l))"

```

```

is_quasilist :: "[i=>o,i] => o"
  "is_quasilist(M,z) == is_Nil(M,z) | (∃ x[M]. ∃ l[M]. is_Cons(M,x,l,z))"

```

```

list_case' :: "[i, [i,i]=>i, i] => i"
  — A version of list_case that's always defined.
  "list_case'(a,b,xs) ==

```

```

    if quasilist(xs) then list_case(a,b,xs) else 0"

is_list_case :: "[i=>o, i, [i,i,i]=>o, i, i] => o"
  — Returns 0 for non-lists
  "is_list_case(M, a, is_b, xs, z) ==
    (is_Nil(M,xs) --> z=a) &
    (∀x[M]. ∀l[M]. is_Cons(M,x,l,xs) --> is_b(x,l,z)) &
    (is_quasilist(M,xs) | empty(M,z))"

hd' :: "i => i"
  — A version of hd that's always defined.
  "hd'(xs) == if quasilist(xs) then hd(xs) else 0"

tl' :: "i => i"
  — A version of tl that's always defined.
  "tl'(xs) == if quasilist(xs) then tl(xs) else 0"

is_hd :: "[i=>o,i,i] => o"
  — hd([]) = 0 no constraints if not a list. Avoiding implication prevents the
  simplifier's looping.
  "is_hd(M,xs,H) ==
    (is_Nil(M,xs) --> empty(M,H)) &
    (∀x[M]. ∀l[M]. ~ is_Cons(M,x,l,xs) | H=x) &
    (is_quasilist(M,xs) | empty(M,H))"

is_tl :: "[i=>o,i,i] => o"
  — tl([]) = []; see comments about is_hd
  "is_tl(M,xs,T) ==
    (is_Nil(M,xs) --> T=xs) &
    (∀x[M]. ∀l[M]. ~ is_Cons(M,x,l,xs) | T=l) &
    (is_quasilist(M,xs) | empty(M,T))"

```

### 2.9.1 quasilist: For Case-Splitting with list\_case'

**lemma** [iff]: "quasilist(Nil)"  
 <proof>

**lemma** [iff]: "quasilist(Cons(x,l))"  
 <proof>

**lemma** list\_imp\_quasilist: "l ∈ list(A) ==> quasilist(l)"  
 <proof>

### 2.9.2 list\_case', the Modified Version of list\_case

**lemma** list\_case'\_Nil [simp]: "list\_case'(a,b,Nil) = a"  
 <proof>

**lemma** list\_case'\_Cons [simp]: "list\_case'(a,b,Cons(x,l)) = b(x,l)"  
 <proof>

```

lemma non_list_case: "~ quasilist(x) ==> list_case'(a,b,x) = 0"
<proof>

lemma list_case'_eq_list_case [simp]:
  "xs ∈ list(A) ==>list_case'(a,b,xs) = list_case(a,b,xs)"
<proof>

lemma (in M_basic) list_case'_closed [intro,simp]:
  "[|M(k); M(a); ∀x[M]. ∀y[M]. M(b(x,y))|] ==> M(list_case'(a,b,k))"
<proof>

lemma (in M_trivial) quasilist_abs [simp]:
  "M(z) ==> is_quasilist(M,z) <-> quasilist(z)"
<proof>

lemma (in M_trivial) list_case_abs [simp]:
  "[| relation2(M,is_b,b); M(k); M(z) |]
  ==> is_list_case(M,a,is_b,k,z) <-> z = list_case'(a,b,k)"
<proof>

2.9.3 The Modified Operators hd' and tl'

lemma (in M_trivial) is_hd_Nil: "is_hd(M,[],Z) <-> empty(M,Z)"
<proof>

lemma (in M_trivial) is_hd_Cons:
  "[|M(a); M(l)|] ==> is_hd(M,Cons(a,l),Z) <-> Z = a"
<proof>

lemma (in M_trivial) hd_abs [simp]:
  "[|M(x); M(y)|] ==> is_hd(M,x,y) <-> y = hd'(x)"
<proof>

lemma (in M_trivial) is_tl_Nil: "is_tl(M,[],Z) <-> Z = []"
<proof>

lemma (in M_trivial) is_tl_Cons:
  "[|M(a); M(l)|] ==> is_tl(M,Cons(a,l),Z) <-> Z = l"
<proof>

lemma (in M_trivial) tl_abs [simp]:
  "[|M(x); M(y)|] ==> is_tl(M,x,y) <-> y = tl'(x)"
<proof>

lemma (in M_trivial) relation1_tl: "relation1(M, is_tl(M), tl')"
<proof>

lemma hd'_Nil: "hd'([]) = 0"

```

```

⟨proof⟩

lemma hd'_Cons: "hd' (Cons(a,l)) = a"
⟨proof⟩

lemma tl'_Nil: "tl' ([]) = []"
⟨proof⟩

lemma tl'_Cons: "tl' (Cons(a,l)) = l"
⟨proof⟩

lemma iterates_tl_Nil: "n ∈ nat ==> tl'^n ([]) = []"
⟨proof⟩

lemma (in M_basic) tl'_closed: "M(x) ==> M(tl'(x))"
⟨proof⟩

end

```

### 3 Relativized Wellorderings

theory Wellorderings imports Relative begin

We define functions analogous to *ordermap ordertype* but without using recursion. Instead, there is a direct appeal to Replacement. This will be the basis for a version relativized to some class *M*. The main result is Theorem I 7.6 in Kunen, page 17.

#### 3.1 Wellorderings

constdefs

```

irreflexive :: "[i=>o,i,i]=>o"
  "irreflexive(M,A,r) == ∀x[M]. x∈A --> ⟨x,x⟩ ∉ r"

transitive_rel :: "[i=>o,i,i]=>o"
  "transitive_rel(M,A,r) ==
    ∀x[M]. x∈A --> (∀y[M]. y∈A --> (∀z[M]. z∈A -->
      ⟨x,y⟩∈r --> ⟨y,z⟩∈r --> ⟨x,z⟩∈r))"

linear_rel :: "[i=>o,i,i]=>o"
  "linear_rel(M,A,r) ==
    ∀x[M]. x∈A --> (∀y[M]. y∈A --> ⟨x,y⟩∈r | x=y | ⟨y,x⟩∈r)"

wellfounded :: "[i=>o,i]=>o"
  — EVERY non-empty set has an r-minimal element
  "wellfounded(M,r) ==

```

```

       $\forall x[M]. x \neq 0 \rightarrow (\exists y[M]. y \in x \ \& \ \sim(\exists z[M]. z \in x \ \& \ \langle z, y \rangle \in r))$ "
wellfounded_on :: "[i=>o,i,i]=>o"
  — every non-empty SUBSET OF A has an r-minimal element
"wellfounded_on(M,A,r) ==
   $\forall x[M]. x \neq 0 \rightarrow x \subseteq A \rightarrow (\exists y[M]. y \in x \ \& \ \sim(\exists z[M]. z \in x \ \& \ \langle z, y \rangle \in r))$ "

wellordered :: "[i=>o,i,i]=>o"
  — linear and wellfounded on A
"wellordered(M,A,r) ==
  transitive_rel(M,A,r) & linear_rel(M,A,r) & wellfounded_on(M,A,r)"

```

### 3.1.1 Trivial absoluteness proofs

```

lemma (in M_basic) irreflexive_abs [simp]:
  "M(A) ==> irreflexive(M,A,r) <-> irrefl(A,r)"
<proof>

```

```

lemma (in M_basic) transitive_rel_abs [simp]:
  "M(A) ==> transitive_rel(M,A,r) <-> trans[A](r)"
<proof>

```

```

lemma (in M_basic) linear_rel_abs [simp]:
  "M(A) ==> linear_rel(M,A,r) <-> linear(A,r)"
<proof>

```

```

lemma (in M_basic) wellordered_is_trans_on:
  "[| wellordered(M,A,r); M(A) |] ==> trans[A](r)"
<proof>

```

```

lemma (in M_basic) wellordered_is_linear:
  "[| wellordered(M,A,r); M(A) |] ==> linear(A,r)"
<proof>

```

```

lemma (in M_basic) wellordered_is_wellfounded_on:
  "[| wellordered(M,A,r); M(A) |] ==> wellfounded_on(M,A,r)"
<proof>

```

```

lemma (in M_basic) wellfounded_imp_wellfounded_on:
  "[| wellfounded(M,r); M(A) |] ==> wellfounded_on(M,A,r)"
<proof>

```

```

lemma (in M_basic) wellfounded_on_subset_A:
  "[| wellfounded_on(M,A,r); B<=A |] ==> wellfounded_on(M,B,r)"
<proof>

```

### 3.1.2 Well-founded relations

```

lemma (in M_basic) wellfounded_on_iff_wellfounded:
  "wellfounded_on(M,A,r) <-> wellfounded(M, r  $\cap$  A*A)"

```

*<proof>*

**lemma** (in *M\_basic*) *wellfounded\_on\_imp\_wellfounded*:  
"*[|wellfounded\_on(M,A,r); r ⊆ A\*A|] ==> wellfounded(M,r)*"  
*<proof>*

**lemma** (in *M\_basic*) *wellfounded\_on\_field\_imp\_wellfounded*:  
"*wellfounded\_on(M, field(r), r) ==> wellfounded(M,r)*"  
*<proof>*

**lemma** (in *M\_basic*) *wellfounded\_iff\_wellfounded\_on\_field*:  
"*M(r) ==> wellfounded(M,r) <-> wellfounded\_on(M, field(r), r)*"  
*<proof>*

**lemma** (in *M\_basic*) *wellfounded\_induct*:  
"*[| wellfounded(M,r); M(a); M(r); separation(M, λx. ~P(x));  
  ∀x. M(x) & (∀y. <y,x> ∈ r --> P(y)) --> P(x) |]  
  ==> P(a)*"  
*<proof>*

**lemma** (in *M\_basic*) *wellfounded\_on\_induct*:  
"*[| a∈A; wellfounded\_on(M,A,r); M(A);  
  separation(M, λx. x∈A --> ~P(x));  
  ∀x∈A. M(x) & (∀y∈A. <y,x> ∈ r --> P(y)) --> P(x) |]  
  ==> P(a)*"  
*<proof>*

### 3.1.3 Kunen's lemma IV 3.14, page 123

**lemma** (in *M\_basic*) *linear\_imp\_relativized*:  
"*linear(A,r) ==> linear\_rel(M,A,r)*"  
*<proof>*

**lemma** (in *M\_basic*) *trans\_on\_imp\_relativized*:  
"*trans[A](r) ==> transitive\_rel(M,A,r)*"  
*<proof>*

**lemma** (in *M\_basic*) *wf\_on\_imp\_relativized*:  
"*wf[A](r) ==> wellfounded\_on(M,A,r)*"  
*<proof>*

**lemma** (in *M\_basic*) *wf\_imp\_relativized*:  
"*wf(r) ==> wellfounded(M,r)*"  
*<proof>*

**lemma** (in *M\_basic*) *well\_ord\_imp\_relativized*:  
"*well\_ord(A,r) ==> wellordered(M,A,r)*"  
*<proof>*

### 3.2 Relativized versions of order-isomorphisms and order types

```

lemma (in M_basic) order_isomorphism_abs [simp]:
  "[| M(A); M(B); M(f) |]
  ==> order_isomorphism(M,A,r,B,s,f) <-> f ∈ ord_iso(A,r,B,s)"
⟨proof⟩

lemma (in M_basic) pred_set_abs [simp]:
  "[| M(r); M(B) |] ==> pred_set(M,A,x,r,B) <-> B = Order.pred(A,x,r)"
⟨proof⟩

lemma (in M_basic) pred_closed [intro,simp]:
  "[| M(A); M(r); M(x) |] ==> M(Order.pred(A,x,r))"
⟨proof⟩

lemma (in M_basic) membership_abs [simp]:
  "[| M(r); M(A) |] ==> membership(M,A,r) <-> r = Memrel(A)"
⟨proof⟩

lemma (in M_basic) M_Memrel_iff:
  "M(A) ==>
  Memrel(A) = {z ∈ A*A. ∃x[M]. ∃y[M]. z = ⟨x,y⟩ & x ∈ y}"
⟨proof⟩

lemma (in M_basic) Memrel_closed [intro,simp]:
  "M(A) ==> M(Memrel(A))"
⟨proof⟩

```

### 3.3 Main results of Kunen, Chapter 1 section 6

Subset properties– proved outside the locale

```

lemma linear_rel_subset:
  "[| linear_rel(M,A,r); B<=A |] ==> linear_rel(M,B,r)"
⟨proof⟩

lemma transitive_rel_subset:
  "[| transitive_rel(M,A,r); B<=A |] ==> transitive_rel(M,B,r)"
⟨proof⟩

lemma wellfounded_on_subset:
  "[| wellfounded_on(M,A,r); B<=A |] ==> wellfounded_on(M,B,r)"
⟨proof⟩

lemma wellordered_subset:
  "[| wellordered(M,A,r); B<=A |] ==> wellordered(M,B,r)"
⟨proof⟩

lemma (in M_basic) wellfounded_on_asym:

```

```

    "[| wellfounded_on(M,A,r); <a,x>∈r; a∈A; x∈A; M(A) |] ==> <x,a>∉r"
  <proof>

```

```

lemma (in M_basic) wellordered_asym:

```

```

    "[| wellordered(M,A,r); <a,x>∈r; a∈A; x∈A; M(A) |] ==> <x,a>∉r"
  <proof>

```

```

end

```

## 4 Relativized Well-Founded Recursion

```

theory WFreq imports Wellorderings begin

```

### 4.1 General Lemmas

```

lemma apply_recfun2:

```

```

    "[| is_recfun(r,a,H,f); <x,i>:f |] ==> i = H(x, restrict(f,r-''{x}))"
  <proof>

```

Expresses *is\_recfun* as a recursion equation

```

lemma is_recfun_iff_equation:

```

```

    "is_recfun(r,a,H,f) <->
      f ∈ r -'' {a} → range(f) &
      (∀x ∈ r-''{a}. f'x = H(x, restrict(f, r-''{x})))"
  <proof>

```

```

lemma is_recfun_imp_in_r: "[| is_recfun(r,a,H,f); <x,i> ∈ f |] ==> <x,
a> ∈ r"

```

```

  <proof>

```

```

lemma trans_Int_eq:

```

```

    "[| trans(r); <y,x> ∈ r |] ==> r -'' {x} ∩ r -'' {y} = r -'' {y}"
  <proof>

```

```

lemma is_recfun_restrict_idem:

```

```

    "is_recfun(r,a,H,f) ==> restrict(f, r -'' {a}) = f"
  <proof>

```

```

lemma is_recfun_cong_lemma:

```

```

    "[| is_recfun(r,a,H,f); r = r'; a = a'; f = f';
      !!x g. [| <x,a'> ∈ r'; relation(g); domain(g) <= r' -''{x} |]
      ==> H(x,g) = H'(x,g) |]
      ==> is_recfun(r',a',H',f')"
  <proof>

```

For *is\_recfun* we need only pay attention to functions whose domains are initial segments of *r*.

```

lemma is_recfun_cong:

```

```

" [| r = r'; a = a'; f = f';
  !!x g. [| <x,a'> ∈ r'; relation(g); domain(g) ≤ r' -' '{x} |]
  ==> H(x,g) = H'(x,g) |]
==> is_recfun(r,a,H,f) <-> is_recfun(r',a',H',f')"
<proof>

```

## 4.2 Reworking of the Recursion Theory Within $M$

```

lemma (in M_basic) is_recfun_separation':
  "[| f ∈ r -' '{a} → range(f); g ∈ r -' '{b} → range(g);
    M(r); M(f); M(g); M(a); M(b) |]
  ==> separation(M, λx. ¬ (<x, a> ∈ r → <x, b> ∈ r → f ' x = g
    ' x))"
<proof>

```

Stated using  $\text{trans}(r)$  rather than  $\text{transitive\_rel}(M, A, r)$  because the latter rewrites to the former anyway, by  $\text{transitive\_rel\_abs}$ . As always, theorems should be expressed in simplified form. The last three  $M$ -premises are redundant because of  $M(r)$ , but without them we'd have to undertake more work to set up the induction formula.

```

lemma (in M_basic) is_recfun_equal [rule_format]:
  "[| is_recfun(r,a,H,f); is_recfun(r,b,H,g);
    wellfounded(M,r); trans(r);
    M(f); M(g); M(r); M(x); M(a); M(b) |]
  ==> <x,a> ∈ r --> <x,b> ∈ r --> f ' x = g ' x"
<proof>

```

```

lemma (in M_basic) is_recfun_cut:
  "[| is_recfun(r,a,H,f); is_recfun(r,b,H,g);
    wellfounded(M,r); trans(r);
    M(f); M(g); M(r); <b,a> ∈ r |]
  ==> restrict(f, r -' '{b}) = g"
<proof>

```

```

lemma (in M_basic) is_recfun_functional:
  "[| is_recfun(r,a,H,f); is_recfun(r,a,H,g);
    wellfounded(M,r); trans(r); M(f); M(g); M(r) |] ==> f=g"
<proof>

```

Tells us that  $\text{is\_recfun}$  can (in principle) be relativized.

```

lemma (in M_basic) is_recfun_relativize:
  "[| M(r); M(f); ∀x[M]. ∀g[M]. function(g) --> M(H(x,g)) |]
  ==> is_recfun(r,a,H,f) <->
    (∀z[M]. z ∈ f <->
      (∃x[M]. <x,a> ∈ r & z = <x, H(x, restrict(f, r -' '{x}))>))"
<proof>

```

```

lemma (in M_basic) is_recfun_restrict:
  "[| wellfounded(M,r); trans(r); is_recfun(r,x,H,f); <y,x> ∈ r;

```

```

M(r); M(f);
∀x[M]. ∀g[M]. function(g) --> M(H(x,g)) []
==> is_recfun(r, y, H, restrict(f, r -“ {y}))"
⟨proof⟩

```

```

lemma (in M_basic) restrict_Y_lemma:
  "[| wellfounded(M,r); trans(r); M(r);
  ∀x[M]. ∀g[M]. function(g) --> M(H(x,g)); M(Y);
  ∀b[M].
    b ∈ Y <->
    (∃x[M]. <x,a1> ∈ r &
    (∃y[M]. b = <x,y> & (∃g[M]. is_recfun(r,x,H,g) ∧ y = H(x,g)))));
  <x,a1> ∈ r; is_recfun(r,x,H,f); M(f) |]
  ==> restrict(Y, r -“ {x}) = f"
⟨proof⟩

```

For typical applications of Replacement for recursive definitions

```

lemma (in M_basic) univalent_is_recfun:
  "[|wellfounded(M,r); trans(r); M(r)|]
  ==> univalent (M, A, λx p.
    ∃y[M]. p = <x,y> & (∃f[M]. is_recfun(r,x,H,f) & y = H(x,f)))"
⟨proof⟩

```

Proof of the inductive step for `exists_is_recfun`, since we must prove two versions.

```

lemma (in M_basic) exists_is_recfun_indstep:
  "[|∀y. <y, a1> ∈ r --> (∃f[M]. is_recfun(r, y, H, f));
  wellfounded(M,r); trans(r); M(r); M(a1);
  strong_replacement(M, λx z.
    ∃y[M]. ∃g[M]. pair(M,x,y,z) & is_recfun(r,x,H,g) & y =
  H(x,g));
  ∀x[M]. ∀g[M]. function(g) --> M(H(x,g))|]
  ==> ∃f[M]. is_recfun(r,a1,H,f)"
⟨proof⟩

```

Relativized version, when we have the (currently weaker) premise `wellfounded(M, r)`

```

lemma (in M_basic) wellfounded_exists_is_recfun:
  "[|wellfounded(M,r); trans(r);
  separation(M, λx. ~ (∃f[M]. is_recfun(r, x, H, f)));
  strong_replacement(M, λx z.
    ∃y[M]. ∃g[M]. pair(M,x,y,z) & is_recfun(r,x,H,g) & y = H(x,g));

  M(r); M(a);
  ∀x[M]. ∀g[M]. function(g) --> M(H(x,g)) |]
  ==> ∃f[M]. is_recfun(r,a,H,f)"
⟨proof⟩

```

```

lemma (in M_basic) wf_exists_is_recfun [rule_format]:
  "[|wf(r); trans(r); M(r);
    strong_replacement(M, λx z.
      ∃y[M]. ∃g[M]. pair(M,x,y,z) & is_recfun(r,x,H,g) & y = H(x,g));

    ∀x[M]. ∀g[M]. function(g) --> M(H(x,g)) |]
  ==> M(a) --> (∃f[M]. is_recfun(r,a,H,f))"
⟨proof⟩

```

### 4.3 Relativization of the ZF Predicate *is\_recfun*

constdefs

```

M_is_recfun :: "[i=>o, [i,i,i]=>o, i, i, i] => o"
"M_is_recfun(M,MH,r,a,f) ==
  ∀z[M]. z ∈ f <->
    (∃x[M]. ∃y[M]. ∃xa[M]. ∃sx[M]. ∃r_sx[M]. ∃f_r_sx[M].
      pair(M,x,y,z) & pair(M,x,a,xa) & upair(M,x,x,sx) &
      pre_image(M,r,sx,r_sx) & restriction(M,f,r_sx,f_r_sx) &
      xa ∈ r & MH(x, f_r_sx, y))"

```

```

is_wfrec :: "[i=>o, [i,i,i]=>o, i, i, i] => o"
"is_wfrec(M,MH,r,a,z) ==
  ∃f[M]. M_is_recfun(M,MH,r,a,f) & MH(a,f,z)"

```

```

wfrec_replacement :: "[i=>o, [i,i,i]=>o, i] => o"
"wfrec_replacement(M,MH,r) ==
  strong_replacement(M,
    λx z. ∃y[M]. pair(M,x,y,z) & is_wfrec(M,MH,r,x,y))"

```

```

lemma (in M_basic) is_recfun_abs:
  "[| ∀x[M]. ∀g[M]. function(g) --> M(H(x,g)); M(r); M(a); M(f);

    relation2(M,MH,H) |]
  ==> M_is_recfun(M,MH,r,a,f) <-> is_recfun(r,a,H,f)"
⟨proof⟩

```

```

lemma M_is_recfun_cong [cong]:
  "[| r = r'; a = a'; f = f';
    !!x g y. [| M(x); M(g); M(y) |] ==> MH(x,g,y) <-> MH'(x,g,y) |]
  ==> M_is_recfun(M,MH,r,a,f) <-> M_is_recfun(M,MH',r',a',f')"
⟨proof⟩

```

```

lemma (in M_basic) is_wfrec_abs:
  "[| ∀x[M]. ∀g[M]. function(g) --> M(H(x,g));
    relation2(M,MH,H); M(r); M(a); M(z) |]
  ==> is_wfrec(M,MH,r,a,z) <->
    (∃g[M]. is_recfun(r,a,H,g) & z = H(a,g))"
⟨proof⟩

```

Relating *wfrec\_replacement* to native constructs

```

lemma (in M_basic) wfrec_replacement':
  "[|wfrec_replacement(M,MH,r);
    ∀x[M]. ∀g[M]. function(g) --> M(H(x,g));
    relation2(M,MH,H); M(r)|]
  ==> strong_replacement(M, λx z. ∃y[M].
    pair(M,x,y,z) & (∃g[M]. is_recfun(r,x,H,g) & y = H(x,g)))"
⟨proof⟩

lemma wfrec_replacement_cong [cong]:
  "[| !!x y z. [| M(x); M(y); M(z) |] ==> MH(x,y,z) <-> MH'(x,y,z);
    r=r' |]
  ==> wfrec_replacement(M, %x y. MH(x,y), r) <->
    wfrec_replacement(M, %x y. MH'(x,y), r')]"
⟨proof⟩

end

```

## 5 Absoluteness of Well-Founded Recursion

theory *WF\_absolute* imports *WFrec* begin

### 5.1 Transitive closure without fixedpoints

constdefs

```

rtrancl_alt :: "[i,i]=>i"
  "rtrancl_alt(A,r) ==
  {p ∈ A*A. ∃n∈nat. ∃f ∈ succ(n) -> A.
    (∃x y. p = <x,y> & f'0 = x & f'n = y) &
    (∀i∈n. <f'i, f'succ(i)> ∈ r)}"

```

lemma *alt\_rtrancl\_lemma1* [rule\_format]:

```

  "n ∈ nat
  ==> ∀f ∈ succ(n) -> field(r).
    (∀i∈n. <f'i, f ' succ(i)> ∈ r) --> <f'0, f'n> ∈ r^*"

```

⟨proof⟩

lemma *rtrancl\_alt\_subset\_rtrancl*: "rtrancl\_alt(field(r),r) <= r^\*"

⟨proof⟩

lemma *rtrancl\_subset\_rtrancl\_alt*: "r^\* <= rtrancl\_alt(field(r),r)"

⟨proof⟩

lemma *rtrancl\_alt\_eq\_rtrancl*: "rtrancl\_alt(field(r),r) = r^\*"

⟨proof⟩

constdefs

```

rtran_closure_mem :: "[i=>o,i,i,i] => o"
  — The property of belonging to rtran_closure(r)
  "rtran_closure_mem(M,A,r,p) ==
    ∃ nnat[M]. ∃ n[M]. ∃ n'[M].
      omega(M,nnat) & n∈nnat & successor(M,n,n') &
      (∃ f[M]. typed_function(M,n',A,f) &
        (∃ x[M]. ∃ y[M]. ∃ zero[M]. pair(M,x,y,p) & empty(M,zero)
&
          fun_apply(M,f,zero,x) & fun_apply(M,f,n,y)) &
          (∀ j[M]. j∈n -->
            (∃ fj[M]. ∃ sj[M]. ∃ fsj[M]. ∃ ffp[M].
              fun_apply(M,f,j,fj) & successor(M,j,sj) &
              fun_apply(M,f,sj,fsj) & pair(M,fj,fsj,ffp) & ffp
∈ r)))"

```

```

rtran_closure :: "[i=>o,i,i] => o"
  "rtran_closure(M,r,s) ==
    ∀ A[M]. is_field(M,r,A) -->
      (∀ p[M]. p ∈ s <-> rtran_closure_mem(M,A,r,p))"

```

```

tran_closure :: "[i=>o,i,i] => o"
  "tran_closure(M,r,t) ==
    ∃ s[M]. rtran_closure(M,r,s) & composition(M,r,s,t)"

```

lemma (in M\_basic) rtran\_closure\_mem\_iff:

```

  "[| M(A); M(r); M(p) |]
  ==> rtran_closure_mem(M,A,r,p) <->
    (∃ n[M]. n∈nat &
      (∃ f[M]. f ∈ succ(n) -> A &
        (∃ x[M]. ∃ y[M]. p = <x,y> & f'0 = x & f'n = y) &
        (∀ i∈n. <f'i, f'succ(i)> ∈ r)))"

```

<proof>

locale M\_trancl = M\_basic +

assumes rtrancl\_separation:

```

  "[| M(r); M(A) |] ==> separation (M, rtran_closure_mem(M,A,r))"

```

and wellfounded\_trancl\_separation:

```

  "[| M(r); M(Z) |] ==>

```

```

  separation (M, λx.

```

```

    ∃ w[M]. ∃ wx[M]. ∃ rp[M].

```

```

    w ∈ Z & pair(M,w,x,wx) & tran_closure(M,r,rp) & wx ∈ rp)"

```

lemma (in M\_trancl) rtran\_closure\_rtrancl:

```

  "M(r) ==> rtran_closure(M,r,rtrancl(r))"

```

<proof>

**lemma** (in *M\_trancl*) *rtrancl\_closed* [*intro,simp*]:

" $M(r) \implies M(\text{rtrancl}(r))$ "

*<proof>*

**lemma** (in *M\_trancl*) *rtrancl\_abs* [*simp*]:

" $[| M(r); M(z) |] \implies \text{rtran\_closure}(M,r,z) \leftrightarrow z = \text{rtrancl}(r)$ "

*<proof>*

**lemma** (in *M\_trancl*) *trancl\_closed* [*intro,simp*]:

" $M(r) \implies M(\text{trancl}(r))$ "

*<proof>*

**lemma** (in *M\_trancl*) *trancl\_abs* [*simp*]:

" $[| M(r); M(z) |] \implies \text{tran\_closure}(M,r,z) \leftrightarrow z = \text{trancl}(r)$ "

*<proof>*

**lemma** (in *M\_trancl*) *wellfounded\_trancl\_separation'*:

" $[| M(r); M(Z) |] \implies \text{separation}(M, \lambda x. \exists w[M]. w \in Z \ \& \ \langle w,x \rangle \in r^+)$ "

*<proof>*

Alternative proof of *wf\_on\_trancl*; inspiration for the relativized version.  
Original version is on theory WF.

**lemma** " $[| \text{wf}[A](r); r - \{A \leq A \} |] \implies \text{wf}[A](r^+)$ "

*<proof>*

**lemma** (in *M\_trancl*) *wellfounded\_on\_trancl*:

" $[| \text{wellfounded\_on}(M,A,r); r - \{A \leq A; M(r); M(A) \} |] \implies \text{wellfounded\_on}(M,A,r^+)$ "

*<proof>*

**lemma** (in *M\_trancl*) *wellfounded\_trancl*:

" $[| \text{wellfounded}(M,r); M(r) |] \implies \text{wellfounded}(M,r^+)$ "

*<proof>*

Absoluteness for wfrec-defined functions.

**lemma** (in *M\_trancl*) *wfrec\_relativize*:

" $[| \text{wf}(r); M(a); M(r); \text{strong\_replacement}(M, \lambda x z. \exists y[M]. \exists g[M]. \text{pair}(M,x,y,z) \ \& \ \text{is\_recfun}(r^+, x, \lambda x f. H(x, \text{restrict}(f, r - \{x\})), g) \ \& \ y = H(x, \text{restrict}(g, r - \{x\}))); \forall x[M]. \forall g[M]. \text{function}(g) \rightarrow M(H(x,g)) |] \implies \text{wfrec}(r,a,H) = z \leftrightarrow (\exists f[M]. \text{is\_recfun}(r^+, a, \lambda x f. H(x, \text{restrict}(f, r - \{x\})), f) \ \& \ z = H(a, \text{restrict}(f, r - \{a\})))$ "

*<proof>*

Assuming  $r$  is transitive simplifies the occurrences of  $H$ . The premise  $\text{relation}(r)$  is necessary before we can replace  $r^+$  by  $r$ .

```

theorem (in  $M\_trancl$ )  $\text{trans\_wfrec\_relativize}$ :
  "[|wf(r); trans(r); relation(r); M(r); M(a);
    wfrec_replacement(M,MH,r); relation2(M,MH,H);
     $\forall x[M]. \forall g[M]. \text{function}(g) \rightarrow M(H(x,g))$  |]
  ==> wfrec(r,a,H) = z <-> ( $\exists f[M]. \text{is\_recfun}(r,a,H,f) \ \& \ z = H(a,f)$ )"

```

*<proof>*

```

theorem (in  $M\_trancl$ )  $\text{trans\_wfrec\_abs}$ :
  "[|wf(r); trans(r); relation(r); M(r); M(a); M(z);
    wfrec_replacement(M,MH,r); relation2(M,MH,H);
     $\forall x[M]. \forall g[M]. \text{function}(g) \rightarrow M(H(x,g))$  |]
  ==> is_wfrec(M,MH,r,a,z) <-> z=wfrec(r,a,H)"

```

*<proof>*

```

lemma (in  $M\_trancl$ )  $\text{trans\_eq\_pair\_wfrec\_iff}$ :
  "[|wf(r); trans(r); relation(r); M(r); M(y);
    wfrec_replacement(M,MH,r); relation2(M,MH,H);
     $\forall x[M]. \forall g[M]. \text{function}(g) \rightarrow M(H(x,g))$  |]
  ==>  $y = \langle x, \text{wfrec}(r, x, H) \rangle \leftrightarrow$ 
    ( $\exists f[M]. \text{is\_recfun}(r,x,H,f) \ \& \ y = \langle x, H(x,f) \rangle$ )"

```

*<proof>*

## 5.2 M is closed under well-founded recursion

Lemma with the awkward premise mentioning  $\text{wfrec}$ .

```

lemma (in  $M\_trancl$ )  $\text{wfrec\_closed\_lemma}$  [rule_format]:
  "[|wf(r); M(r);
    strong_replacement(M,  $\lambda x y. y = \langle x, \text{wfrec}(r, x, H) \rangle$ );
     $\forall x[M]. \forall g[M]. \text{function}(g) \rightarrow M(H(x,g))$  |]
  ==>  $M(a) \rightarrow M(\text{wfrec}(r,a,H))$ "

```

*<proof>*

Eliminates one instance of replacement.

```

lemma (in  $M\_trancl$ )  $\text{wfrec\_replacement\_iff}$ :
  "strong_replacement(M,  $\lambda x z. \exists y[M]. \text{pair}(M,x,y,z) \ \& \ (\exists g[M]. \text{is\_recfun}(r,x,H,g) \ \& \ y = H(x,g))$ )
  <->
  strong_replacement(M,
     $\lambda x y. \exists f[M]. \text{is\_recfun}(r,x,H,f) \ \& \ y = \langle x, H(x,f) \rangle$ )"

```

*<proof>*

Useful version for transitive relations

```

theorem (in  $M\_trancl$ )  $\text{trans\_wfrec\_closed}$ :
  "[|wf(r); trans(r); relation(r); M(r); M(a);

```

```

wfrec_replacement(M,MH,r); relation2(M,MH,H);
  ∀x[M]. ∀g[M]. function(g) --> M(H(x,g)) |]
==> M(wfrec(r,a,H))"
⟨proof⟩

```

### 5.3 Absoluteness without assuming transitivity

```

lemma (in M_trancl) eq_pair_wfrec_iff:
  "[|wf(r); M(r); M(y);
    strong_replacement(M, λx z. ∃y[M]. ∃g[M].
      pair(M,x,y,z) &
      is_recfun(r^+, x, λx f. H(x, restrict(f, r -'' {x})), g) &
      y = H(x, restrict(g, r -'' {x})));
    ∀x[M]. ∀g[M]. function(g) --> M(H(x,g))|]
  ==> y = <x, wfrec(r, x, H)> <->
    (∃f[M]. is_recfun(r^+, x, λx f. H(x, restrict(f, r -'' {x})),
f) &
      y = <x, H(x,restrict(f,r-''{x}))>)"
⟨proof⟩

```

Full version not assuming transitivity, but maybe not very useful.

```

theorem (in M_trancl) wfrec_closed:
  "[|wf(r); M(r); M(a);
    wfrec_replacement(M,MH,r^+);
    relation2(M,MH, λx f. H(x, restrict(f, r -'' {x})));
    ∀x[M]. ∀g[M]. function(g) --> M(H(x,g)) |]
  ==> M(wfrec(r,a,H))"
⟨proof⟩

```

end

## 6 Absoluteness Properties for Recursive Datatypes

```

theory Datatype_absolute imports Formula WF_absolute begin

```

### 6.1 The lfp of a continuous function can be expressed as a union

```

constdefs
  directed :: "i=>o"
    "directed(A) == A≠0 & (∀x∈A. ∀y∈A. x ∪ y ∈ A)"

  contin :: "(i=>i) => o"
    "contin(h) == (∀A. directed(A) --> h(∪A) = (∪X∈A. h(X)))"

```

```

lemma bnd_mono_iterates_subset: "[|bnd_mono(D, h); n ∈ nat|] ==> h^n
(0) <= D"
⟨proof⟩

```

**lemma** *bnd\_mono\_increasing* [rule\_format]:  
 "[|i ∈ nat; j ∈ nat; bnd\_mono(D,h)|] ==> i ≤ j --> h<sup>i</sup>(0) ⊆ h<sup>j</sup>(0)"  
 <proof>

**lemma** *directed\_iterates*: "bnd\_mono(D,h) ==> directed({h<sup>n</sup>(0). n ∈ nat})"  
 <proof>

**lemma** *contin\_iterates\_eq*:  
 "[|bnd\_mono(D, h); contin(h)|]  
 ==> h(⋃<sub>n ∈ nat.</sub> h<sup>n</sup>(0)) = (⋃<sub>n ∈ nat.</sub> h<sup>n</sup>(0))"  
 <proof>

**lemma** *lfp\_subset\_Union*:  
 "[|bnd\_mono(D, h); contin(h)|] ==> lfp(D,h) ≤ (⋃<sub>n ∈ nat.</sub> h<sup>n</sup>(0))"  
 <proof>

**lemma** *Union\_subset\_lfp*:  
 "bnd\_mono(D,h) ==> (⋃<sub>n ∈ nat.</sub> h<sup>n</sup>(0)) ≤ lfp(D,h)"  
 <proof>

**lemma** *lfp\_eq\_Union*:  
 "[|bnd\_mono(D, h); contin(h)|] ==> lfp(D,h) = (⋃<sub>n ∈ nat.</sub> h<sup>n</sup>(0))"  
 <proof>

### 6.1.1 Some Standard Datatype Constructions Preserve Continuity

**lemma** *contin\_imp\_mono*: "[|X ⊆ Y; contin(F)|] ==> F(X) ⊆ F(Y)"  
 <proof>

**lemma** *sum\_contin*: "[|contin(F); contin(G)|] ==> contin(λX. F(X) + G(X))"  
 <proof>

**lemma** *prod\_contin*: "[|contin(F); contin(G)|] ==> contin(λX. F(X) \* G(X))"  
 <proof>

**lemma** *const\_contin*: "contin(λX. A)"  
 <proof>

**lemma** *id\_contin*: "contin(λX. X)"  
 <proof>

## 6.2 Absoluteness for "Iterates"

constdefs

*iterates\_MH* :: "[i=>o, [i,i]=>o, i, i, i, i] => o"

```

"iterates_MH(M,isF,v,n,g,z) ==
  is_nat_case(M, v, λm u. ∃gm[M]. fun_apply(M,g,m,gm) & isF(gm,u),
    n, z)"

is_iterates :: "[i=>o, [i,i]=>o, i, i, i] => o"
"is_iterates(M,isF,v,n,Z) ==
  ∃sn[M]. ∃msn[M]. successor(M,n,sn) & membership(M,sn,msn) &
    is_wfrec(M, iterates_MH(M,isF,v), msn, n, Z)"

iterates_replacement :: "[i=>o, [i,i]=>o, i] => o"
"iterates_replacement(M,isF,v) ==
  ∀n[M]. n∈nat -->
    wfrec_replacement(M, iterates_MH(M,isF,v), Memrel(succ(n)))"

lemma (in M_basic) iterates_MH_abs:
  "[| relation1(M,isF,F); M(n); M(g); M(z) |]
  ==> iterates_MH(M,isF,v,n,g,z) <-> z = nat_case(v, λm. F(g'm), n)"
<proof>

lemma (in M_basic) iterates_imp_wfrec_replacement:
  "[|relation1(M,isF,F); n ∈ nat; iterates_replacement(M,isF,v) |]
  ==> wfrec_replacement(M, λn f z. z = nat_case(v, λm. F(f'm), n),
    Memrel(succ(n)))"
<proof>

theorem (in M_trancl) iterates_abs:
  "[| iterates_replacement(M,isF,v); relation1(M,isF,F);
    n ∈ nat; M(v); M(z); ∀x[M]. M(F(x)) |]
  ==> is_iterates(M,isF,v,n,z) <-> z = iterates(F,n,v)"
<proof>

lemma (in M_trancl) iterates_closed [intro,simp]:
  "[| iterates_replacement(M,isF,v); relation1(M,isF,F);
    n ∈ nat; M(v); ∀x[M]. M(F(x)) |]
  ==> M(iterates(F,n,v))"
<proof>

```

### 6.3 lists without univ

```

lemmas datatype_univs = Inl_in_univ Inr_in_univ
  Pair_in_univ nat_into_univ A_into_univ

```

```

lemma list_fun_bnd_mono: "bnd_mono(univ(A), λX. {0} + A*X)"
<proof>

```

```

lemma list_fun_contin: "contin(λX. {0} + A*X)"
<proof>

```

Re-expresses lists using sum and product

**lemma** *list\_eq\_lfp2*: " $list(A) = lfp(univ(A), \lambda X. \{0\} + A*X)$ "  
 <proof>

Re-expresses lists using "iterates", no univ.

**lemma** *list\_eq\_Union*:  
 " $list(A) = (\bigcup_{n \in nat.} (\lambda X. \{0\} + A*X) \hat{=} n (0))$ "  
 <proof>

**constdefs**

*is\_list\_functor* :: "[i=>o,i,i,i] => o"  
 "*is\_list\_functor*(M,A,X,Z) ==  
 $\exists n1[M]. \exists AX[M].$   
 $number1(M,n1) \ \& \ cartprod(M,A,X,AX) \ \& \ is\_sum(M,n1,AX,Z)$ "

**lemma** (in *M\_basic*) *list\_functor\_abs* [*simp*]:  
 " $[| M(A); M(X); M(Z) |] \implies is\_list\_functor(M,A,X,Z) \iff (Z = \{0\} + A*X)$ "  
 <proof>

## 6.4 formulas without univ

**lemma** *formula\_fun\_bnd\_mono*:  
 " $bnd\_mono(univ(0), \lambda X. ((nat*nat) + (nat*nat)) + (X*X + X))$ "  
 <proof>

**lemma** *formula\_fun\_contin*:  
 " $contin(\lambda X. ((nat*nat) + (nat*nat)) + (X*X + X))$ "  
 <proof>

Re-expresses formulas using sum and product

**lemma** *formula\_eq\_lfp2*:  
 " $formula = lfp(univ(0), \lambda X. ((nat*nat) + (nat*nat)) + (X*X + X))$ "  
 <proof>

Re-expresses formulas using "iterates", no univ.

**lemma** *formula\_eq\_Union*:  
 " $formula =$   
 $(\bigcup_{n \in nat.} (\lambda X. ((nat*nat) + (nat*nat)) + (X*X + X)) \hat{=} n (0))$ "  
 <proof>

**constdefs**

*is\_formula\_functor* :: "[i=>o,i,i] => o"  
 "*is\_formula\_functor*(M,X,Z) ==  
 $\exists nat'[M]. \exists natnat[M]. \exists natnatsum[M]. \exists XX[M]. \exists X3[M].$   
 $omega(M,nat') \ \& \ cartprod(M,nat',nat',natnat) \ \&$   
 $is\_sum(M,natnat,natnat,natnatsum) \ \&$   
 $cartprod(M,X,X,XX) \ \& \ is\_sum(M,XX,X,X3) \ \&$

`is_sum(M,natnatsum,X3,Z)"`

**lemma** (in `M_basic`) `formula_functor_abs [simp]:`  
`"[| M(X); M(Z) |]`  
`==> is_formula_functor(M,X,Z) <->`  
`Z = ((nat*nat) + (nat*nat)) + (X*X + X)"`  
`<proof>`

## 6.5 `M` Contains the List and Formula Datatypes

**constdefs**

`list_N :: "[i,i] => i"`  
`"list_N(A,n) == (λX. {0} + A * X)^n (0)"`

**lemma** `Nil_in_list_N [simp]: "[ ] ∈ list_N(A,succ(n))"`  
`<proof>`

**lemma** `Cons_in_list_N [simp]:`  
`"Cons(a,l) ∈ list_N(A,succ(n)) <-> a∈A & l ∈ list_N(A,n)"`  
`<proof>`

These two aren't simprules because they reveal the underlying list representation.

**lemma** `list_N_0: "list_N(A,0) = 0"`  
`<proof>`

**lemma** `list_N_succ: "list_N(A,succ(n)) = {0} + A * (list_N(A,n))"`  
`<proof>`

**lemma** `list_N_imp_list:`  
`"[| l ∈ list_N(A,n); n ∈ nat |] ==> l ∈ list(A)"`  
`<proof>`

**lemma** `list_N_imp_length_lt [rule_format]:`  
`"n ∈ nat ==> ∀l ∈ list_N(A,n). length(l) < n"`  
`<proof>`

**lemma** `list_imp_list_N [rule_format]:`  
`"l ∈ list(A) ==> ∀n∈nat. length(l) < n --> l ∈ list_N(A, n)"`  
`<proof>`

**lemma** `list_N_imp_eq_length:`  
`"[|n ∈ nat; l ∉ list_N(A, n); l ∈ list_N(A, succ(n))|]"`  
`==> n = length(l)"`  
`<proof>`

Express `list_rec` without using `rank` or `Vset`, neither of which is absolute.

**lemma** (in `M_trivial`) `list_rec_eq:`  
`"l ∈ list(A) ==>`

```

list_rec(a,g,l) =
  transrec (succ(length(l)),
    λx h. Lambda (list(A),
      list_case' (a,
        λa l. g(a, l, h ' succ(length(l)) ' l)))) '
l"
⟨proof⟩

```

**constdefs**

```

is_list_N :: "[i=>o,i,i,i] => o"
"is_list_N(M,A,n,Z) ==
  ∃ zero[M]. empty(M,zero) &
  is_iterates(M, is_list_functor(M,A), zero, n, Z)"

```

```

mem_list :: "[i=>o,i,i] => o"
"mem_list(M,A,l) ==
  ∃ n[M]. ∃ listn[M].
  finite_ordinal(M,n) & is_list_N(M,A,n,listn) & l ∈ listn"

```

```

is_list :: "[i=>o,i,i] => o"
"is_list(M,A,Z) == ∀ l[M]. l ∈ Z <-> mem_list(M,A,l)"

```

### 6.5.1 Towards Absoluteness of *formula\_rec*

**consts** depth :: "i=>i"

**primrec**

```

"depth(Member(x,y)) = 0"
"depth(Equal(x,y)) = 0"
"depth(Nand(p,q)) = succ(depth(p) ∪ depth(q))"
"depth(Forall(p)) = succ(depth(p))"

```

**lemma** depth\_type [TC]: "p ∈ formula ==> depth(p) ∈ nat"

⟨proof⟩

**constdefs**

```

formula_N :: "i => i"
"formula_N(n) == (λX. ((nat*nat) + (nat*nat)) + (X*X + X)) ^ n (0)"

```

**lemma** Member\_in\_formula\_N [simp]:

"Member(x,y) ∈ formula\_N(succ(n)) <-> x ∈ nat & y ∈ nat"

⟨proof⟩

**lemma** Equal\_in\_formula\_N [simp]:

"Equal(x,y) ∈ formula\_N(succ(n)) <-> x ∈ nat & y ∈ nat"

⟨proof⟩

**lemma** Nand\_in\_formula\_N [simp]:

"Nand(x,y) ∈ formula\_N(succ(n)) <-> x ∈ formula\_N(n) & y ∈ formula\_N(n)"

*<proof>*

**lemma** *Forall\_in\_formula\_N* [*simp*]:  
"Forall(x) ∈ formula\_N(succ(n)) <-> x ∈ formula\_N(n)"  
*<proof>*

These two aren't simprules because they reveal the underlying formula representation.

**lemma** *formula\_N\_0*: "formula\_N(0) = 0"  
*<proof>*

**lemma** *formula\_N\_succ*:  
"formula\_N(succ(n)) =  
((nat\*nat) + (nat\*nat)) + (formula\_N(n) \* formula\_N(n) + formula\_N(n))"  
*<proof>*

**lemma** *formula\_N\_imp\_formula*:  
"[| p ∈ formula\_N(n); n ∈ nat |] ==> p ∈ formula"  
*<proof>*

**lemma** *formula\_N\_imp\_depth\_lt* [*rule\_format*]:  
"n ∈ nat ==> ∀ p ∈ formula\_N(n). depth(p) < n"  
*<proof>*

**lemma** *formula\_imp\_formula\_N* [*rule\_format*]:  
"p ∈ formula ==> ∀ n ∈ nat. depth(p) < n --> p ∈ formula\_N(n)"  
*<proof>*

**lemma** *formula\_N\_imp\_eq\_depth*:  
"[| n ∈ nat; p ∉ formula\_N(n); p ∈ formula\_N(succ(n)) |]  
==> n = depth(p)"  
*<proof>*

This result and the next are unused.

**lemma** *formula\_N\_mono* [*rule\_format*]:  
"[| m ∈ nat; n ∈ nat |] ==> m ≤ n --> formula\_N(m) ⊆ formula\_N(n)"  
*<proof>*

**lemma** *formula\_N\_distrib*:  
"[| m ∈ nat; n ∈ nat |] ==> formula\_N(m ∪ n) = formula\_N(m) ∪ formula\_N(n)"  
*<proof>*

**constdefs**

*is\_formula\_N* :: "[i=>o, i, i] => o"  
"is\_formula\_N(M, n, Z) ==  
∃ zero[M]. empty(M, zero) &  
is\_iterates(M, is\_formula\_functor(M), zero, n, Z)"

**constdefs**

```

mem_formula :: "[i=>o,i] => o"
  "mem_formula(M,p) ==
  ∃ n[M]. ∃ formn[M].
  finite_ordinal(M,n) & is_formula_N(M,n,formn) & p ∈ formn"

is_formula :: "[i=>o,i] => o"
  "is_formula(M,Z) == ∀ p[M]. p ∈ Z <-> mem_formula(M,p)"

```

```

locale M_datatypes = M_trancl +
  assumes list_replacement1:
    "M(A) ==> iterates_replacement(M, is_list_functor(M,A), 0)"
  and list_replacement2:
    "M(A) ==> strong_replacement(M,
    λ n y. n ∈ nat & is_iterates(M, is_list_functor(M,A), 0, n, y))"
  and formula_replacement1:
    "iterates_replacement(M, is_formula_functor(M), 0)"
  and formula_replacement2:
    "strong_replacement(M,
    λ n y. n ∈ nat & is_iterates(M, is_formula_functor(M), 0, n, y))"
  and nth_replacement:
    "M(l) ==> iterates_replacement(M, %l t. is_tl(M,l,t), l)"

```

### 6.5.2 Absoluteness of the List Construction

```

lemma (in M_datatypes) list_replacement2':
  "M(A) ==> strong_replacement(M, λ n y. n ∈ nat & y = (λ X. {0} + A * X)^n
  (0))"
  <proof>

```

```

lemma (in M_datatypes) list_closed [intro,simp]:
  "M(A) ==> M(list(A))"
  <proof>

```

WARNING: use only with `dest:` or with variables fixed!

```

lemmas (in M_datatypes) list_into_M = transM [OF _ list_closed]

```

```

lemma (in M_datatypes) list_N_abs [simp]:
  "[|M(A); n ∈ nat; M(Z)|]
  ==> is_list_N(M,A,n,Z) <-> Z = list_N(A,n)"
  <proof>

```

```

lemma (in M_datatypes) list_N_closed [intro,simp]:
  "[|M(A); n ∈ nat|] ==> M(list_N(A,n))"
  <proof>

```

```

lemma (in M_datatypes) mem_list_abs [simp]:
  "M(A) ==> mem_list(M,A,l) <-> l ∈ list(A)"

```

*<proof>*

```
lemma (in M_datatypes) list_abs [simp]:  
  "[|M(A); M(Z)|] ==> is_list(M,A,Z) <-> Z = list(A)"  
<proof>
```

### 6.5.3 Absoluteness of Formulas

```
lemma (in M_datatypes) formula_replacement2':  
  "strong_replacement(M,  $\lambda n y. n \in \text{nat} \ \& \ y = (\lambda X. ((\text{nat} * \text{nat}) + (\text{nat} * \text{nat}))$   
  + (X*X + X))^n (0))"  
<proof>
```

```
lemma (in M_datatypes) formula_closed [intro,simp]:  
  "M(formula)"  
<proof>
```

```
lemmas (in M_datatypes) formula_into_M = transM [OF _ formula_closed]
```

```
lemma (in M_datatypes) formula_N_abs [simp]:  
  "[|n ∈ nat; M(Z)|]  
  ==> is_formula_N(M,n,Z) <-> Z = formula_N(n)"  
<proof>
```

```
lemma (in M_datatypes) formula_N_closed [intro,simp]:  
  "n ∈ nat ==> M(formula_N(n))"  
<proof>
```

```
lemma (in M_datatypes) mem_formula_abs [simp]:  
  "mem_formula(M,l) <-> l ∈ formula"  
<proof>
```

```
lemma (in M_datatypes) formula_abs [simp]:  
  "[|M(Z)|] ==> is_formula(M,Z) <-> Z = formula"  
<proof>
```

## 6.6 Absoluteness for $\varepsilon$ -Closure: the *eclose* Operator

Re-expresses *eclose* using "iterates"

```
lemma eclose_eq_Union:  
  " $\text{eclose}(A) = (\bigcup_{n \in \text{nat}} \text{Union}^n(A))$ "  
<proof>
```

**constdefs**

```
is_eclose_n :: "[i=>o,i,i,i] => o"  
  " $\text{is\_eclose\_n}(M,A,n,Z) == \text{is\_iterates}(M, \text{big\_union}(M), A, n, Z)$ "  
  
mem_eclose :: "[i=>o,i,i] => o"  
  " $\text{mem\_eclose}(M,A,l) ==$ 
```

```

    ∃ n[M]. ∃ eclosen[M].
      finite_ordinal(M,n) & is_eclose_n(M,A,n,eclosen) & l ∈ eclosen"

is_eclose :: "[i=>o, i, i] => o"
  "is_eclose(M,A,Z) == ∀ u[M]. u ∈ Z <-> mem_eclose(M,A,u)"

locale M_eclose = M_datatypes +
  assumes eclose_replacement1:
    "M(A) ==> iterates_replacement(M, big_union(M), A)"
  and eclose_replacement2:
    "M(A) ==> strong_replacement(M,
      λn y. n∈nat & is_iterates(M, big_union(M), A, n, y))"

lemma (in M_eclose) eclose_replacement2':
  "M(A) ==> strong_replacement(M, λn y. n∈nat & y = Union^n (A))"
<proof>

lemma (in M_eclose) eclose_closed [intro,simp]:
  "M(A) ==> M(eclose(A))"
<proof>

lemma (in M_eclose) is_eclose_n_abs [simp]:
  "[|M(A); n∈nat; M(Z)|] ==> is_eclose_n(M,A,n,Z) <-> Z = Union^n (A)"
<proof>

lemma (in M_eclose) mem_eclose_abs [simp]:
  "M(A) ==> mem_eclose(M,A,l) <-> l ∈ eclose(A)"
<proof>

lemma (in M_eclose) eclose_abs [simp]:
  "[|M(A); M(Z)|] ==> is_eclose(M,A,Z) <-> Z = eclose(A)"
<proof>

```

## 6.7 Absoluteness for *transrec*

$\text{transrec}(a, H) \equiv \text{wfrec}(\text{Memrel}(\text{eclose}(\{a\})), a, H)$

**constdefs**

```

is_transrec :: "[i=>o, [i,i,i]=>o, i, i] => o"
  "is_transrec(M,MH,a,z) ==
    ∃ sa[M]. ∃ esa[M]. ∃ mesa[M].
      upair(M,a,a,sa) & is_eclose(M,sa,esa) & membership(M,esa,mesa)
  &
    is_wfrec(M,MH,mesa,a,z)"

transrec_replacement :: "[i=>o, [i,i,i]=>o, i] => o"
  "transrec_replacement(M,MH,a) ==
    ∃ sa[M]. ∃ esa[M]. ∃ mesa[M].

```

```

    upair(M,a,a,sa) & is_eclose(M,sa,esa) & membership(M,esa,mesa)
&
    wfrec_replacement(M,MH,mesa)"

```

The condition *Ord(i)* lets us use the simpler *trans\_wfrec\_abs* rather than *trans\_wfrec\_abs*, which I haven't even proved yet.

```

theorem (in M_eclose) transrec_abs:
  "[|transrec_replacement(M,MH,i); relation2(M,MH,H);
    Ord(i); M(i); M(z);
    ∀x[M]. ∀g[M]. function(g) --> M(H(x,g))|]
  ==> is_transrec(M,MH,i,z) <-> z = transrec(i,H)"
<proof>

```

```

theorem (in M_eclose) transrec_closed:
  "[|transrec_replacement(M,MH,i); relation2(M,MH,H);
    Ord(i); M(i);
    ∀x[M]. ∀g[M]. function(g) --> M(H(x,g))|]
  ==> M(transrec(i,H))"
<proof>

```

Helps to prove instances of *transrec\_replacement*

```

lemma (in M_eclose) transrec_replacementI:
  "[|M(a);
    strong_replacement (M,
      λx z. ∃y[M]. pair(M, x, y, z) &
        is_wfrec(M,MH,Memrel(eclose({a})),x,y))|]
  ==> transrec_replacement(M,MH,a)"
<proof>

```

## 6.8 Absoluteness for the List Operator *length*

But it is never used.

```

constdefs
  is_length :: "[i=>o,i,i,i] => o"
  "is_length(M,A,l,n) ==
    ∃sn[M]. ∃list_n[M]. ∃list_sn[M].
      is_list_N(M,A,n,list_n) & l ∉ list_n &
      successor(M,n,sn) & is_list_N(M,A,sn,list_sn) & l ∈ list_sn"

```

```

lemma (in M_datatypes) length_abs [simp]:
  "[|M(A); l ∈ list(A); n ∈ nat|] ==> is_length(M,A,l,n) <-> n = length(l)"
<proof>

```

Proof is trivial since *length* returns natural numbers.

```

lemma (in M_trivial) length_closed [intro,simp]:
  "l ∈ list(A) ==> M(length(l))"
<proof>

```

## 6.9 Absoluteness for the List Operator $nth$

**lemma**  $nth\_eq\_hd\_iterates\_tl$   $[rule\_format]:$   
 $"xs \in list(A) ==> \forall n \in nat. nth(n,xs) = hd' (tl'^n (xs))"$   
 $\langle proof \rangle$

**lemma**  $(in\ M\_basic)$   $iterates\_tl'\_closed:$   
 $"[n \in nat; M(x)] ==> M(tl'^n (x))"$   
 $\langle proof \rangle$

Immediate by type-checking

**lemma**  $(in\ M\_datatypes)$   $nth\_closed$   $[intro,simp]:$   
 $"[xs \in list(A); n \in nat; M(A)] ==> M(nth(n,xs))"$   
 $\langle proof \rangle$

**constdefs**  
 $is\_nth :: "[i=>o,i,i,i] => o"$   
 $"is\_nth(M,n,l,Z) ==$   
 $\exists X[M]. is\_iterates(M, is\_tl(M), l, n, X) \ \& \ is\_hd(M,X,Z)"$

**lemma**  $(in\ M\_datatypes)$   $nth\_abs$   $[simp]:$   
 $"[M(A); n \in nat; l \in list(A); M(Z)]$   
 $==> is\_nth(M,n,l,Z) \ \leftrightarrow \ Z = nth(n,l)"$   
 $\langle proof \rangle$

## 6.10 Relativization and Absoluteness for the *formula* Constructors

**constdefs**  
 $is\_Member :: "[i=>o,i,i,i] => o"$   
 $\text{— because } Member(x, y) \equiv Inl(Inl(\langle x, y \rangle))$   
 $"is\_Member(M,x,y,Z) ==$   
 $\exists p[M]. \exists u[M]. pair(M,x,y,p) \ \& \ is\_Inl(M,p,u) \ \& \ is\_Inl(M,u,Z)"$

**lemma**  $(in\ M\_trivial)$   $Member\_abs$   $[simp]:$   
 $"[M(x); M(y); M(Z)] ==> is\_Member(M,x,y,Z) \ \leftrightarrow \ (Z = Member(x,y))"$   
 $\langle proof \rangle$

**lemma**  $(in\ M\_trivial)$   $Member\_in\_M\_iff$   $[iff]:$   
 $"M(Member(x,y)) \ \leftrightarrow \ M(x) \ \& \ M(y)"$   
 $\langle proof \rangle$

**constdefs**  
 $is\_Equal :: "[i=>o,i,i,i] => o"$   
 $\text{— because } Equal(x, y) \equiv Inl(Inr(\langle x, y \rangle))$   
 $"is\_Equal(M,x,y,Z) ==$   
 $\exists p[M]. \exists u[M]. pair(M,x,y,p) \ \& \ is\_Inr(M,p,u) \ \& \ is\_Inl(M,u,Z)"$

**lemma**  $(in\ M\_trivial)$   $Equal\_abs$   $[simp]:$   
 $"[M(x); M(y); M(Z)] ==> is\_Equal(M,x,y,Z) \ \leftrightarrow \ (Z = Equal(x,y))"$



```

    transrec (succ(depth(p)),
              λx h. Lambda (formula, formula_rec_case(a,b,c,d,h))) ' p"
⟨proof⟩

```

### 6.11.1 Absoluteness for the Formula Operator `depth`

**constdefs**

```

is_depth :: "[i=>o, i, i] => o"
"is_depth(M,p,n) ==
  ∃ sn[M]. ∃ formula_n[M]. ∃ formula_sn[M].
    is_formula_N(M,n,formula_n) & p ∉ formula_n &
    successor(M,n,sn) & is_formula_N(M,sn,formula_sn) & p ∈ formula_sn"

```

```

lemma (in M_datatypes) depth_abs [simp]:
  "[| p ∈ formula; n ∈ nat |] ==> is_depth(M,p,n) <-> n = depth(p)"
⟨proof⟩

```

Proof is trivial since `depth` returns natural numbers.

```

lemma (in M_trivial) depth_closed [intro,simp]:
  "p ∈ formula ==> M(depth(p))"
⟨proof⟩

```

### 6.11.2 `is_formula_case`: relativization of `formula_case`

**constdefs**

```

is_formula_case ::
  "[i=>o, [i,i,i]=>o, [i,i,i]=>o, [i,i,i]=>o, [i,i]=>o, i, i] => o"
— no constraint on non-formulas
"is_formula_case(M, is_a, is_b, is_c, is_d, p, z) ==
  (∀ x[M]. ∀ y[M]. finite_ordinal(M,x) --> finite_ordinal(M,y) -->
    is_Member(M,x,y,p) --> is_a(x,y,z)) &
  (∀ x[M]. ∀ y[M]. finite_ordinal(M,x) --> finite_ordinal(M,y) -->
    is_Equal(M,x,y,p) --> is_b(x,y,z)) &
  (∀ x[M]. ∀ y[M]. mem_formula(M,x) --> mem_formula(M,y) -->
    is_Nand(M,x,y,p) --> is_c(x,y,z)) &
  (∀ x[M]. mem_formula(M,x) --> is_Forall(M,x,p) --> is_d(x,z))"

```

```

lemma (in M_datatypes) formula_case_abs [simp]:
  "[| Relation2(M,nat,nat,is_a,a); Relation2(M,nat,nat,is_b,b);
    Relation2(M,formula,formula,is_c,c); Relation1(M,formula,is_d,d);
    p ∈ formula; M(z) |]
  ==> is_formula_case(M,is_a,is_b,is_c,is_d,p,z) <->
    z = formula_case(a,b,c,d,p)"
⟨proof⟩

```

```

lemma (in M_datatypes) formula_case_closed [intro,simp]:
  "[| p ∈ formula;

```

```

    ∀x[M]. ∀y[M]. x∈nat --> y∈nat --> M(a(x,y));
    ∀x[M]. ∀y[M]. x∈nat --> y∈nat --> M(b(x,y));
    ∀x[M]. ∀y[M]. x∈formula --> y∈formula --> M(c(x,y));
    ∀x[M]. x∈formula --> M(d(x)) |] ==> M(formula_case(a,b,c,d,p))"
  ⟨proof⟩

```

### 6.11.3 Absoluteness for *formula\_rec*: Final Results

**constdefs**

```

  is_formula_rec :: "[i=>o, [i,i,i]=>o, i, i] => o"
  — predicate to relativize the functional formula_rec
  "is_formula_rec(M,MH,p,z) ==
    ∃ dp[M]. ∃ i[M]. ∃ f[M]. finite_ordinal(M,dp) & is_depth(M,p,dp) &
      successor(M,dp,i) & fun_apply(M,f,p,z) & is_transrec(M,MH,i,f)"

```

Sufficient conditions to relativize the instance of *formula\_case* in *formula\_rec*

**lemma** (in *M\_datatypes*) *Relation1\_formula\_rec\_case*:

```

  "[/Relation2(M, nat, nat, is_a, a);
   Relation2(M, nat, nat, is_b, b);
   Relation2 (M, formula, formula,
     is_c, λu v. c(u, v, h'succ(depth(u))'u, h'succ(depth(v))'v));
   Relation1(M, formula,
     is_d, λu. d(u, h ' succ(depth(u)) ' u));
   M(h) |]
  ==> Relation1(M, formula,
    is_formula_case (M, is_a, is_b, is_c, is_d),
    formula_rec_case(a, b, c, d, h))"

```

⟨proof⟩

This locale packages the premises of the following theorems, which is the normal purpose of locales. It doesn't accumulate constraints on the class *M*, as in most of this deveopment.

**locale** *Formula\_Rec* = *M\_eclose* +

fixes *a* and *is\_a* and *b* and *is\_b* and *c* and *is\_c* and *d* and *is\_d* and *MH*

**defines**

```

  "MH(u::i,f,z) ==
    ∀ fml[M]. is_formula(M,fml) -->
      is_lambda
      (M, fml, is_formula_case (M, is_a, is_b, is_c(f), is_d(f)), z)"

```

```

assumes a_closed: "[|x∈nat; y∈nat|] ==> M(a(x,y))"
and a_rel: "Relation2(M, nat, nat, is_a, a)"
and b_closed: "[|x∈nat; y∈nat|] ==> M(b(x,y))"
and b_rel: "Relation2(M, nat, nat, is_b, b)"
and c_closed: "[|x ∈ formula; y ∈ formula; M(gx); M(gy)|]
  ==> M(c(x, y, gx, gy))"
and c_rel:
  "M(f) ==>

```

```

      Relation2 (M, formula, formula, is_c(f),
        λu v. c(u, v, f ' succ(depth(u)) ' u, f ' succ(depth(v))
' v))"
    and d_closed: "[|x ∈ formula; M(gx)|] ==> M(d(x, gx))"
    and d_rel:
      "M(f) ==>
      Relation1(M, formula, is_d(f), λu. d(u, f ' succ(depth(u)) '
u))"
    and fr_replace: "n ∈ nat ==> transrec_replacement(M,MH,n)"
    and fr_lam_replace:
      "M(g) ==>
      strong_replacement
      (M, λx y. x ∈ formula &
      y = ⟨x, formula_rec_case(a,b,c,d,g,x)⟩)"

```

```

lemma (in Formula_Rec) formula_rec_case_closed:
  "[|M(g); p ∈ formula|] ==> M(formula_rec_case(a, b, c, d, g, p))"
⟨proof⟩

```

```

lemma (in Formula_Rec) formula_rec_lam_closed:
  "M(g) ==> M(Lambda (formula, formula_rec_case(a,b,c,d,g)))"
⟨proof⟩

```

```

lemma (in Formula_Rec) MH_rel2:
  "relation2 (M, MH,
    λx h. Lambda (formula, formula_rec_case(a,b,c,d,h)))"
⟨proof⟩

```

```

lemma (in Formula_Rec) fr_transrec_closed:
  "n ∈ nat
  ==> M(transrec
    (n, λx h. Lambda(formula, formula_rec_case(a, b, c, d, h))))"
⟨proof⟩

```

The main two results: *formula\_rec* is absolute for *M*.

```

theorem (in Formula_Rec) formula_rec_closed:
  "p ∈ formula ==> M(formula_rec(a,b,c,d,p))"
⟨proof⟩

```

```

theorem (in Formula_Rec) formula_rec_abs:
  "[| p ∈ formula; M(z) |]
  ==> is_formula_rec(M,MH,p,z) <-> z = formula_rec(a,b,c,d,p)"
⟨proof⟩

```

**end**

## 7 Closed Unbounded Classes and Normal Functions

**theory** *Normal* imports *Main* begin

One source is the book

Frank R. Drake. *Set Theory: An Introduction to Large Cardinals*. North-Holland, 1974.

### 7.1 Closed and Unbounded (c.u.) Classes of Ordinals

**constdefs**

```
Closed :: "(i=>o) => o"
  "Closed(P) ==  $\forall I. I \neq 0 \rightarrow (\forall i \in I. \text{Ord}(i) \wedge P(i)) \rightarrow P(\bigcup(I))"$ 
```

```
Unbounded :: "(i=>o) => o"
  "Unbounded(P) ==  $\forall i. \text{Ord}(i) \rightarrow (\exists j. i < j \wedge P(j))"$ 
```

```
Closed_Unbounded :: "(i=>o) => o"
  "Closed_Unbounded(P) == Closed(P)  $\wedge$  Unbounded(P)"
```

#### 7.1.1 Simple facts about c.u. classes

**lemma** *ClosedI*:

```
"[| !!I. [| I  $\neq$  0;  $\forall i \in I. \text{Ord}(i) \wedge P(i)$  |] ==> P( $\bigcup(I)$ ) |]
 ==> Closed(P)"
```

*<proof>*

**lemma** *ClosedD*:

```
"[| Closed(P); I  $\neq$  0; !!i. i  $\in$  I ==> Ord(i); !!i. i  $\in$  I ==> P(i) |]
 ==> P( $\bigcup(I)$ )"
```

*<proof>*

**lemma** *UnboundedD*:

```
"[| Unbounded(P); Ord(i) |] ==>  $\exists j. i < j \wedge P(j)"$ 
```

*<proof>*

**lemma** *Closed\_Unbounded\_imp\_Unbounded*: "Closed\_Unbounded(C) ==> Unbounded(C)"

*<proof>*

The universal class, *V*, is closed and unbounded. A bit odd, since *C. U.* concerns only ordinals, but it's used below!

**theorem** *Closed\_Unbounded\_V* [simp]: "Closed\_Unbounded( $\lambda x. \text{True}$ )"

*<proof>*

The class of ordinals, *Ord*, is closed and unbounded.

**theorem** *Closed\_Unbounded\_Ord* [simp]: "Closed\_Unbounded(*Ord*)"

*<proof>*

The class of limit ordinals, *Limit*, is closed and unbounded.

```
theorem Closed_Unbounded_Limit [simp]: "Closed_Unbounded(Limit)"  
<proof>
```

The class of cardinals, *Card*, is closed and unbounded.

```
theorem Closed_Unbounded_Card [simp]: "Closed_Unbounded(Card)"  
<proof>
```

### 7.1.2 The intersection of any set-indexed family of c.u. classes is c.u.

The constructions below come from Kunen, *Set Theory*, page 78.

```
locale cub_family =  
  fixes P and A  
  fixes next_greater — the next ordinal satisfying class A  
  fixes sup_greater — sup of those ordinals over all A  
  assumes closed: "a ∈ A ==> Closed(P(a))"  
    and unbounded: "a ∈ A ==> Unbounded(P(a))"  
    and A_non0: "A ≠ 0"  
  defines "next_greater(a, x) == μ y. x < y ∧ P(a, y)"  
    and "sup_greater(x) == ⋃ a ∈ A. next_greater(a, x)"
```

Trivial that the intersection is closed.

```
lemma (in cub_family) Closed_INT: "Closed(λx. ∀ i ∈ A. P(i, x))"  
<proof>
```

All remaining effort goes to show that the intersection is unbounded.

```
lemma (in cub_family) Ord_sup_greater:  
  "Ord(sup_greater(x))"  
<proof>
```

```
lemma (in cub_family) Ord_next_greater:  
  "Ord(next_greater(a, x))"  
<proof>
```

*next\_greater* works as expected: it returns a larger value and one that belongs to class *P*(*a*).

```
lemma (in cub_family) next_greater_lemma:  
  "[| Ord(x); a ∈ A |] ==> P(a, next_greater(a, x)) ∧ x < next_greater(a, x)"  
<proof>
```

```
lemma (in cub_family) next_greater_in_P:  
  "[| Ord(x); a ∈ A |] ==> P(a, next_greater(a, x))"  
<proof>
```

```

lemma (in cub_family) next_greater_gt:
  "[| Ord(x); a∈A |] ==> x < next_greater(a,x)"
⟨proof⟩

lemma (in cub_family) sup_greater_gt:
  "Ord(x) ==> x < sup_greater(x)"
⟨proof⟩

lemma (in cub_family) next_greater_le_sup_greater:
  "a∈A ==> next_greater(a,x) ≤ sup_greater(x)"
⟨proof⟩

lemma (in cub_family) omega_sup_greater_eq_UN:
  "[| Ord(x); a∈A |]
  ==> sup_greater^ω (x) =
  (⋃ n∈nat. next_greater(a, sup_greater^n (x)))"
⟨proof⟩

lemma (in cub_family) P_omega_sup_greater:
  "[| Ord(x); a∈A |] ==> P(a, sup_greater^ω (x))"
⟨proof⟩

lemma (in cub_family) omega_sup_greater_gt:
  "Ord(x) ==> x < sup_greater^ω (x)"
⟨proof⟩

lemma (in cub_family) Unbounded_INT: "Unbounded(λx. ∀ a∈A. P(a,x))"
⟨proof⟩

lemma (in cub_family) Closed_Unbounded_INT:
  "Closed_Unbounded(λx. ∀ a∈A. P(a,x))"
⟨proof⟩

theorem Closed_Unbounded_INT:
  "(!!a. a∈A ==> Closed_Unbounded(P(a)))
  ==> Closed_Unbounded(λx. ∀ a∈A. P(a, x))"
⟨proof⟩

lemma Int_iff_INT2:
  "P(x) ∧ Q(x) <-> (∀ i∈2. (i=0 --> P(x)) ∧ (i=1 --> Q(x)))"
⟨proof⟩

theorem Closed_Unbounded_Int:
  "[| Closed_Unbounded(P); Closed_Unbounded(Q) |]
  ==> Closed_Unbounded(λx. P(x) ∧ Q(x))"
⟨proof⟩

```

## 7.2 Normal Functions

constdefs

```
mono_le_subset :: "(i=>i) => o"
  "mono_le_subset(M) ==  $\forall i j. i \leq j \rightarrow M(i) \subseteq M(j)$ "

mono_Ord :: "(i=>i) => o"
  "mono_Ord(F) ==  $\forall i j. i < j \rightarrow F(i) < F(j)$ "

cont_Ord :: "(i=>i) => o"
  "cont_Ord(F) ==  $\forall l. \text{Limit}(l) \rightarrow F(l) = (\bigcup_{i < l}. F(i))$ "

Normal :: "(i=>i) => o"
  "Normal(F) == mono_Ord(F)  $\wedge$  cont_Ord(F)"
```

### 7.2.1 Immediate properties of the definitions

lemma NormalI:

```
"[[ $\forall i j. i < j \implies F(i) < F(j)$ ;  $\forall l. \text{Limit}(l) \implies F(l) = (\bigcup_{i < l}. F(i))$ ]]
==> Normal(F)"
<proof>
```

```
lemma mono_Ord_imp_Ord: "[| Ord(i); mono_Ord(F) |] ==> Ord(F(i))"
<proof>
```

```
lemma mono_Ord_imp_mono: "[| i < j; mono_Ord(F) |] ==> F(i) < F(j)"
<proof>
```

```
lemma Normal_imp_Ord [simp]: "[| Normal(F); Ord(i) |] ==> Ord(F(i))"
<proof>
```

```
lemma Normal_imp_cont: "[| Normal(F); Limit(l) |] ==> F(l) = ( $\bigcup_{i < l}. F(i)$ )"
<proof>
```

```
lemma Normal_imp_mono: "[| i < j; Normal(F) |] ==> F(i) < F(j)"
<proof>
```

```
lemma Normal_increasing: "[| Ord(i); Normal(F) |] ==> i  $\leq$  F(i)"
<proof>
```

### 7.2.2 The class of fixedpoints is closed and unbounded

The proof is from Drake, pages 113–114.

```
lemma mono_Ord_imp_le_subset: "mono_Ord(F) ==> mono_le_subset(F)"
<proof>
```

The following equation is taken for granted in any set theory text.

```

lemma cont_Ord_Union:
  "[| cont_Ord(F); mono_le_subset(F); X=0 --> F(0)=0;  $\forall x \in X. \text{Ord}(x)$ 
  |]
  ==> F(Union(X)) = ( $\bigcup_{y \in X}. F(y)$ )"
<proof>

lemma Normal_Union:
  "[|  $X \neq 0$ ;  $\forall x \in X. \text{Ord}(x)$ ; Normal(F) |] ==> F(Union(X)) = ( $\bigcup_{y \in X}. F(y)$ )"
<proof>

lemma Normal_imp_fp_Closed: "Normal(F) ==> Closed( $\lambda i. F(i) = i$ )"
<proof>

lemma iterates_Normal_increasing:
  "[|  $n \in \text{nat}$ ;  $x < F(x)$ ; Normal(F) |]
  ==>  $F^n(x) < F(\text{succ}(n))(x)$ "
<proof>

lemma Ord_iterates_Normal:
  "[|  $n \in \text{nat}$ ; Normal(F);  $\text{Ord}(x)$  |] ==>  $\text{Ord}(F^n(x))$ "
<proof>

THIS RESULT IS UNUSED

lemma iterates_omega_Limit:
  "[| Normal(F);  $x < F(x)$  |] ==> Limit( $F^\omega(x)$ )"
<proof>

lemma iterates_omega_fixedpoint:
  "[| Normal(F);  $\text{Ord}(a)$  |] ==>  $F(F^\omega(a)) = F^\omega(a)$ "
<proof>

lemma iterates_omega_increasing:
  "[| Normal(F);  $\text{Ord}(a)$  |] ==>  $a \leq F^\omega(a)$ "
<proof>

lemma Normal_imp_fp_Unbounded: "Normal(F) ==> Unbounded( $\lambda i. F(i) = i$ )"
<proof>

theorem Normal_imp_fp_Closed_Unbounded:
  "Normal(F) ==> Closed_Unbounded( $\lambda i. F(i) = i$ )"
<proof>

```

### 7.2.3 Function normalize

Function *normalize* maps a function  $F$  to a normal function that bounds it above. The result is normal if and only if  $F$  is continuous: *succ* is not bounded above by any normal function, by *Normal\_imp\_fp\_Unbounded*.

```

constdefs
  normalize :: "[i=>i, i] => i"
    "normalize(F,a) == transrec2(a, F(0),  $\lambda x r. F(\text{succ}(x)) \text{ Un } \text{succ}(r)$ )"

lemma Ord_normalize [simp, intro]:
  "[| Ord(a);  $\forall x. \text{Ord}(x) \implies \text{Ord}(F(x))$  |]  $\implies \text{Ord}(\text{normalize}(F, a))$ "
  <proof>

lemma normalize_lemma [rule_format]:
  "[| Ord(b);  $\forall x. \text{Ord}(x) \implies \text{Ord}(F(x))$  |]
   $\implies \forall a. a < b \longrightarrow \text{normalize}(F, a) < \text{normalize}(F, b)$ "
  <proof>

lemma normalize_increasing:
  "[| a < b;  $\forall x. \text{Ord}(x) \implies \text{Ord}(F(x))$  |]
   $\implies \text{normalize}(F, a) < \text{normalize}(F, b)$ "
  <proof>

theorem Normal_normalize:
  " $(\forall x. \text{Ord}(x) \implies \text{Ord}(F(x))) \implies \text{Normal}(\text{normalize}(F))$ "
  <proof>

theorem le_normalize:
  "[| Ord(a); cont_Ord(F);  $\forall x. \text{Ord}(x) \implies \text{Ord}(F(x))$  |]
   $\implies F(a) \leq \text{normalize}(F, a)$ "
  <proof>

```

### 7.3 The Alephs

This is the well-known transfinite enumeration of the cardinal numbers.

```

constdefs
  Aleph :: "i => i"
    "Aleph(a) == transrec2(a, nat,  $\lambda x r. \text{csucc}(r)$ )"

syntax (xsymbols)
  Aleph :: "i => i"  ("ℵ_" [90] 90)

lemma Card_Aleph [simp, intro]:
  "Ord(a)  $\implies \text{Card}(\text{Aleph}(a))$ "
  <proof>

lemma Aleph_lemma [rule_format]:
  "Ord(b)  $\implies \forall a. a < b \longrightarrow \text{Aleph}(a) < \text{Aleph}(b)$ "
  <proof>

lemma Aleph_increasing:
  "a < b  $\implies \text{Aleph}(a) < \text{Aleph}(b)$ "
  <proof>

```

```

theorem Normal_Aleph: "Normal(Aleph)"
⟨proof⟩

end

```

## 8 The Reflection Theorem

```

theory Reflection imports Normal begin

```

```

lemma all_iff_not_ex_not: "( $\forall x. P(x)$ ) <-> ( $\sim (\exists x. \sim P(x))$ )"
⟨proof⟩

```

```

lemma ball_iff_not_bex_not: "( $\forall x \in A. P(x)$ ) <-> ( $\sim (\exists x \in A. \sim P(x))$ )"
⟨proof⟩

```

From the notes of A. S. Kechris, page 6, and from Andrzej Mostowski, *Constructible Sets with Applications*, North-Holland, 1969, page 23.

### 8.1 Basic Definitions

First part: the cumulative hierarchy defining the class  $M$ . To avoid handling multiple arguments, we assume that  $Mset(l)$  is closed under ordered pairing provided  $l$  is limit. Possibly this could be avoided: the induction hypothesis  $Cl\_reflects$  (in locale  $ex\_reflection$ ) could be weakened to  $\forall y \in Mset(a). \forall z \in Mset(a). P(\langle y, z \rangle) \longleftrightarrow Q(a, \langle y, z \rangle)$ , removing most uses of  $Pair\_in\_Mset$ . But there isn't much point in doing so, since ultimately the  $ex\_reflection$  proof is packaged up using the predicate  $Reflects$ .

```

locale reflection =
  fixes Mset and M and Reflects
  assumes Mset_mono_le : "mono_le_subset(Mset)"
    and Mset_cont      : "cont_Ord(Mset)"
    and Pair_in_Mset   : "[| x ∈ Mset(a); y ∈ Mset(a); Limit(a) |]
      ==> ⟨x,y⟩ ∈ Mset(a)"
  defines "M(x) ==  $\exists a. Ord(a) \ \& \ x \in Mset(a)$ "
    and "Reflects(Cl,P,Q) == Closed_Unbounded(Cl) &
      ( $\forall a. Cl(a) \ \dashrightarrow (\forall x \in Mset(a). P(x) \ \<-> \ Q(a,x))$ )"
  fixes F0 — ordinal for a specific value y
  fixes FF — sup over the whole level,  $y \in Mset(a)$ 
  fixes ClEx — Reflecting ordinals for the formula  $\exists z. P$ 
  defines "F0(P,y) ==  $\mu b. (\exists z. M(z) \ \& \ P(\langle y,z \rangle)) \ \dashrightarrow$ 
      ( $\exists z \in Mset(b). P(\langle y,z \rangle)$ )"
    and "FF(P) ==  $\lambda a. \bigcup_{y \in Mset(a)}. F0(P,y)$ "
    and "ClEx(P,a) == Limit(a) & normalize(FF(P),a) = a"

```

**lemma** (in reflection) *Mset\_mono*: " $i \leq j \implies \text{Mset}(i) \leq \text{Mset}(j)$ "  
 ⟨proof⟩

Awkward: we need a version of *ClEx\_def* as an equality at the level of classes, which do not really exist

**lemma** (in reflection) *ClEx\_eq*:  
 " $\text{ClEx}(P) == \lambda a. \text{Limit}(a) \ \& \ \text{normalize}(\text{FF}(P), a) = a$ "  
 ⟨proof⟩

## 8.2 Easy Cases of the Reflection Theorem

**theorem** (in reflection) *Triv\_reflection* [intro]:  
 " $\text{Reflects}(\text{Ord}, P, \lambda a x. P(x))$ "  
 ⟨proof⟩

**theorem** (in reflection) *Not\_reflection* [intro]:  
 " $\text{Reflects}(\text{Cl}, P, Q) \implies \text{Reflects}(\text{Cl}, \lambda x. \sim P(x), \lambda a x. \sim Q(a, x))$ "  
 ⟨proof⟩

**theorem** (in reflection) *And\_reflection* [intro]:  
 " $[| \text{Reflects}(\text{Cl}, P, Q); \text{Reflects}(C', P', Q') |] \implies \text{Reflects}(\lambda a. \text{Cl}(a) \ \& \ C'(a), \lambda x. P(x) \ \& \ P'(x), \lambda a x. Q(a, x) \ \& \ Q'(a, x))$ "  
 ⟨proof⟩

**theorem** (in reflection) *Or\_reflection* [intro]:  
 " $[| \text{Reflects}(\text{Cl}, P, Q); \text{Reflects}(C', P', Q') |] \implies \text{Reflects}(\lambda a. \text{Cl}(a) \ \& \ C'(a), \lambda x. P(x) \ | \ P'(x), \lambda a x. Q(a, x) \ | \ Q'(a, x))$ "  
 ⟨proof⟩

**theorem** (in reflection) *Imp\_reflection* [intro]:  
 " $[| \text{Reflects}(\text{Cl}, P, Q); \text{Reflects}(C', P', Q') |] \implies \text{Reflects}(\lambda a. \text{Cl}(a) \ \& \ C'(a), \lambda x. P(x) \ \dashrightarrow \ P'(x), \lambda a x. Q(a, x) \ \dashrightarrow \ Q'(a, x))$ "  
 ⟨proof⟩

**theorem** (in reflection) *Iff\_reflection* [intro]:  
 " $[| \text{Reflects}(\text{Cl}, P, Q); \text{Reflects}(C', P', Q') |] \implies \text{Reflects}(\lambda a. \text{Cl}(a) \ \& \ C'(a), \lambda x. P(x) \ \leftrightarrow \ P'(x), \lambda a x. Q(a, x) \ \leftrightarrow \ Q'(a, x))$ "  
 ⟨proof⟩

## 8.3 Reflection for Existential Quantifiers

**lemma** (in reflection) *F0\_works*:  
 " $[| y \in \text{Mset}(a); \text{Ord}(a); M(z); P(\langle y, z \rangle) |] \implies \exists z \in \text{Mset}(\text{F0}(P, y)). P(\langle y, z \rangle)$ "

*<proof>*

**lemma** (in reflection) Ord\_F0 [intro,simp]: "Ord(F0(P,y))"  
*<proof>*

**lemma** (in reflection) Ord\_FF [intro,simp]: "Ord(FF(P,y))"  
*<proof>*

**lemma** (in reflection) cont\_Ord\_FF: "cont\_Ord(FF(P))"  
*<proof>*

Recall that  $F0$  depends upon  $y \in Mset(a)$ , while  $FF$  depends only upon  $a$ .

**lemma** (in reflection) FF\_works:  
" [ | M(z); y ∈ Mset(a); P(<y,z>); Ord(a) | ] ==> ∃ z ∈ Mset(FF(P,a)).  
P(<y,z>)"  
*<proof>*

**lemma** (in reflection) FFN\_works:  
" [ | M(z); y ∈ Mset(a); P(<y,z>); Ord(a) | ]  
==> ∃ z ∈ Mset(normalize(FF(P),a)). P(<y,z>)"  
*<proof>*

Locale for the induction hypothesis

**locale** ex\_reflection = reflection +  
 fixes P — the original formula  
 fixes Q — the reflected formula  
 fixes Cl — the class of reflecting ordinals  
 assumes Cl\_reflects: " [ | Cl(a); Ord(a) | ] ==> ∀ x ∈ Mset(a). P(x) <->  
 Q(a,x) "

**lemma** (in ex\_reflection) ClEx\_downward:  
" [ | M(z); y ∈ Mset(a); P(<y,z>); Cl(a); ClEx(P,a) | ]  
==> ∃ z ∈ Mset(a). Q(a,<y,z>)"  
*<proof>*

**lemma** (in ex\_reflection) ClEx\_upward:  
" [ | z ∈ Mset(a); y ∈ Mset(a); Q(a,<y,z>); Cl(a); ClEx(P,a) | ]  
==> ∃ z. M(z) & P(<y,z>)"  
*<proof>*

Class  $ClEx$  indeed consists of reflecting ordinals...

**lemma** (in ex\_reflection) ZF\_ClEx\_iff:  
" [ | y ∈ Mset(a); Cl(a); ClEx(P,a) | ]  
==> (∃ z. M(z) & P(<y,z>)) <-> (∃ z ∈ Mset(a). Q(a,<y,z>))"  
*<proof>*

...and it is closed and unbounded

**lemma** (in ex\_reflection) ZF\_Closed\_Unbounded\_ClEx:

```
"Closed_Unbounded(ClEx(P))"
⟨proof⟩
```

The same two theorems, exported to locale *reflection*.

Class *ClEx* indeed consists of reflecting ordinals...

```
lemma (in reflection) ClEx_iff:
  "[| y∈Mset(a); Cl(a); ClEx(P,a);
    !!a. [| Cl(a); Ord(a) |] ==> ∀x∈Mset(a). P(x) <-> Q(a,x) |]
  ==> (∃z. M(z) & P(<y,z>)) <-> (∃z∈Mset(a). Q(a,<y,z>))"
⟨proof⟩
```

```
lemma (in reflection) Closed_Unbounded_ClEx:
  "(!!a. [| Cl(a); Ord(a) |] ==> ∀x∈Mset(a). P(x) <-> Q(a,x))
  ==> Closed_Unbounded(ClEx(P))"
⟨proof⟩
```

## 8.4 Packaging the Quantifier Reflection Rules

```
lemma (in reflection) Ex_reflection_0:
  "Reflects(Cl,P0,Q0)
  ==> Reflects(λa. Cl(a) & ClEx(P0,a),
    λx. ∃z. M(z) & P0(<x,z>),
    λa x. ∃z∈Mset(a). Q0(a,<x,z>))"
⟨proof⟩
```

```
lemma (in reflection) All_reflection_0:
  "Reflects(Cl,P0,Q0)
  ==> Reflects(λa. Cl(a) & ClEx(λx. ~P0(x), a),
    λx. ∀z. M(z) --> P0(<x,z>),
    λa x. ∀z∈Mset(a). Q0(a,<x,z>))"
⟨proof⟩
```

```
theorem (in reflection) Ex_reflection [intro]:
  "Reflects(Cl, λx. P(fst(x),snd(x)), λa x. Q(a,fst(x),snd(x)))
  ==> Reflects(λa. Cl(a) & ClEx(λx. P(fst(x),snd(x)), a),
    λx. ∃z. M(z) & P(x,z),
    λa x. ∃z∈Mset(a). Q(a,x,z))"
⟨proof⟩
```

```
theorem (in reflection) All_reflection [intro]:
  "Reflects(Cl, λx. P(fst(x),snd(x)), λa x. Q(a,fst(x),snd(x)))
  ==> Reflects(λa. Cl(a) & ClEx(λx. ~P(fst(x),snd(x)), a),
    λx. ∀z. M(z) --> P(x,z),
    λa x. ∀z∈Mset(a). Q(a,x,z))"
⟨proof⟩
```

And again, this time using class-bounded quantifiers

```

theorem (in reflection) Rex_reflection [intro]:
  "Reflects(Cl,  $\lambda x. P(\text{fst}(x), \text{snd}(x))$ ,  $\lambda a x. Q(a, \text{fst}(x), \text{snd}(x))$ )
  ==> Reflects( $\lambda a. Cl(a) \ \& \ ClEx(\lambda x. P(\text{fst}(x), \text{snd}(x)), a)$ ,
     $\lambda x. \exists z[M]. P(x, z)$ ,
     $\lambda a x. \exists z \in Mset(a). Q(a, x, z)$ )"

```

*<proof>*

```

theorem (in reflection) Rall_reflection [intro]:
  "Reflects(Cl,  $\lambda x. P(\text{fst}(x), \text{snd}(x))$ ,  $\lambda a x. Q(a, \text{fst}(x), \text{snd}(x))$ )
  ==> Reflects( $\lambda a. Cl(a) \ \& \ ClEx(\lambda x. \sim P(\text{fst}(x), \text{snd}(x)), a)$ ,
     $\lambda x. \forall z[M]. P(x, z)$ ,
     $\lambda a x. \forall z \in Mset(a). Q(a, x, z)$ )"

```

*<proof>*

No point considering bounded quantifiers, where reflection is trivial.

## 8.5 Simple Examples of Reflection

Example 1: reflecting a simple formula. The reflecting class is first given as the variable *?Cl* and later retrieved from the final proof state.

```

lemma (in reflection)
  "Reflects(?Cl,
     $\lambda x. \exists y. M(y) \ \& \ x \in y$ ,
     $\lambda a x. \exists y \in Mset(a). x \in y$ )"

```

*<proof>*

Problem here: there needs to be a conjunction (class intersection) in the class of reflecting ordinals. The *Ord(a)* is redundant, though harmless.

```

lemma (in reflection)
  "Reflects( $\lambda a. Ord(a) \ \& \ ClEx(\lambda x. \text{fst}(x) \in \text{snd}(x), a)$ ,
     $\lambda x. \exists y. M(y) \ \& \ x \in y$ ,
     $\lambda a x. \exists y \in Mset(a). x \in y$ )"

```

*<proof>*

Example 2

```

lemma (in reflection)
  "Reflects(?Cl,
     $\lambda x. \exists y. M(y) \ \& \ (\forall z. M(z) \ \rightarrow z \subseteq x \ \rightarrow z \in y)$ ,
     $\lambda a x. \exists y \in Mset(a). \forall z \in Mset(a). z \subseteq x \ \rightarrow z \in y$ )"

```

*<proof>*

Example 2'. We give the reflecting class explicitly.

```

lemma (in reflection)
  "Reflects
    ( $\lambda a. (Ord(a) \ \& \ ClEx(\lambda x. \sim (\text{snd}(x) \subseteq \text{fst}(\text{fst}(x)) \ \rightarrow \text{snd}(x) \in \text{snd}(\text{fst}(x))),$ 
    a)) \ \&

```

```

C1Ex( $\lambda x. \forall z. M(z) \rightarrow z \subseteq \text{fst}(x) \rightarrow z \in \text{snd}(x)$ , a),
 $\lambda x. \exists y. M(y) \ \& \ (\forall z. M(z) \rightarrow z \subseteq x \rightarrow z \in y)$ ,
 $\lambda a x. \exists y \in \text{Mset}(a). \forall z \in \text{Mset}(a). z \subseteq x \rightarrow z \in y$ "

```

*<proof>*

Example 2". We expand the subset relation.

```

lemma (in reflection)
  "Reflects(?Cl,
     $\lambda x. \exists y. M(y) \ \& \ (\forall z. M(z) \rightarrow (\forall w. M(w) \rightarrow w \in z \rightarrow w \in x) \rightarrow z \in y)$ ,
     $\lambda a x. \exists y \in \text{Mset}(a). \forall z \in \text{Mset}(a). (\forall w \in \text{Mset}(a). w \in z \rightarrow w \in x) \rightarrow z \in y$ "

```

*<proof>*

Example 2"''. Single-step version, to reveal the reflecting class.

```

lemma (in reflection)
  "Reflects(?Cl,
     $\lambda x. \exists y. M(y) \ \& \ (\forall z. M(z) \rightarrow z \subseteq x \rightarrow z \in y)$ ,
     $\lambda a x. \exists y \in \text{Mset}(a). \forall z \in \text{Mset}(a). z \subseteq x \rightarrow z \in y$ "

```

*<proof>*

Example 3. Warning: the following examples make sense only if  $P$  is quantifier-free, since it is not being relativized.

```

lemma (in reflection)
  "Reflects(?Cl,
     $\lambda x. \exists y. M(y) \ \& \ (\forall z. M(z) \rightarrow z \in y \leftrightarrow z \in x \ \& \ P(z))$ ,
     $\lambda a x. \exists y \in \text{Mset}(a). \forall z \in \text{Mset}(a). z \in y \leftrightarrow z \in x \ \& \ P(z)$ "

```

*<proof>*

Example 3'

```

lemma (in reflection)
  "Reflects(?Cl,
     $\lambda x. \exists y. M(y) \ \& \ y = \text{Collect}(x,P)$ ,
     $\lambda a x. \exists y \in \text{Mset}(a). y = \text{Collect}(x,P)$ "

```

*<proof>*

Example 3"

```

lemma (in reflection)
  "Reflects(?Cl,
     $\lambda x. \exists y. M(y) \ \& \ y = \text{Replace}(x,P)$ ,
     $\lambda a x. \exists y \in \text{Mset}(a). y = \text{Replace}(x,P)$ "

```

*<proof>*

Example 4: Axiom of Choice. Possibly wrong, since  $\Pi$  needs to be relativized.

```

lemma (in reflection)

```

```

    "Reflects(?Cl,
       $\lambda A. 0 \notin A \rightarrow (\exists f. M(f) \ \& \ f \in (\prod X \in A. X)),$ 
       $\lambda a A. 0 \notin A \rightarrow (\exists f \in \text{Mset}(a). f \in (\prod X \in A. X))"$ 
    <proof>
  end

```

## 9 The meta-existential quantifier

**theory** *MetaExists* **imports** *Main* **begin**

Allows quantification over any term having sort *logic*. Used to quantify over classes. Yields a proposition rather than a FOL formula.

**constdefs**

```

  ex :: "('a::t) => prop) => prop"          (binder "?? " 0)
  "ex(P) == (!!Q. (!!x. PROP P(x) ==> PROP Q) ==> PROP Q)"

```

**syntax** (*xsymbols*)

```

  "?? "      :: "[idts, o] => o"          ("( $\exists \sqrt{\_} / \_$ )" [0, 0] 0)

```

**lemma** *meta\_exI*: "*PROP P(x) ==> (?? x. PROP P(x))*"

<*proof*>

**lemma** *meta\_exE*: "*[| ?? x. PROP P(x); !!x. PROP P(x) ==> PROP R |] ==> PROP R*"

<*proof*>

**end**

## 10 The ZF Axioms (Except Separation) in L

**theory** *L\_axioms* **imports** *Formula* *Relative Reflection* *MetaExists* **begin**

The class *L* satisfies the premises of locale *M\_trivial*

**lemma** *transL*: "*[| y ∈ x; L(x) |] ==> L(y)*"

<*proof*>

**lemma** *nonempty*: "*L(0)*"

<*proof*>

**theorem** *upair\_ax*: "*upair\_ax(L)*"

<*proof*>

**theorem** *Union\_ax*: "*Union\_ax(L)*"

<*proof*>

**theorem** *power\_ax*: "power\_ax(L)"  
 ⟨proof⟩

We don't actually need  $L$  to satisfy the foundation axiom.

**theorem** *foundation\_ax*: "foundation\_ax(L)"  
 ⟨proof⟩

### 10.1 For $L$ to satisfy Replacement

**lemma** *LReplace\_in\_Lset*:  
 "[ $X \in \text{Lset}(i)$ ; univalent( $L, X, Q$ );  $\text{Ord}(i)$ ]"  
 $\implies \exists j. \text{Ord}(j) \ \& \ \text{Replace}(X, \lambda x y. Q(x, y) \ \& \ L(y)) \subseteq \text{Lset}(j)$ "  
 ⟨proof⟩

**lemma** *LReplace\_in\_L*:  
 "[ $L(X)$ ; univalent( $L, X, Q$ )]"  
 $\implies \exists Y. L(Y) \ \& \ \text{Replace}(X, \lambda x y. Q(x, y) \ \& \ L(y)) \subseteq Y$ "  
 ⟨proof⟩

**theorem** *replacement*: "replacement( $L, P$ )"  
 ⟨proof⟩

### 10.2 Instantiating the locale $M_{\text{trivial}}$

No instances of Separation yet.

**lemma** *Lset\_mono\_le*: "mono\_le\_subset(Lset)"  
 ⟨proof⟩

**lemma** *Lset\_cont*: "cont\_Ord(Lset)"  
 ⟨proof⟩

**lemmas** *L\_nat* = *Ord\_in\_L* [OF *Ord\_nat*]

**theorem** *M\_trivial\_L*: "PROP  $M_{\text{trivial}}(L)$ "  
 ⟨proof⟩

**interpretation** *M\_trivial* ["L"] ⟨proof⟩

### 10.3 Instantiation of the locale *reflection*

instances of locale constants

**constdefs**

$L_{F0} :: "[i \Rightarrow o, i] \Rightarrow i$ "  
 $"L_{F0}(P, y) == \mu b. (\exists z. L(z) \ \& \ P(\langle y, z \rangle)) \ \dashrightarrow (\exists z \in \text{Lset}(b). P(\langle y, z \rangle))"$

$L_{FF} :: "[i \Rightarrow o, i] \Rightarrow i$ "  
 $"L_{FF}(P) == \lambda a. \bigcup_{y \in \text{Lset}(a)}. L_{F0}(P, y)"$

```

L_ClEx :: "[i=>o,i] => o"
"L_ClEx(P) == λa. Limit(a) ∧ normalize(L_FF(P),a) = a"

```

We must use the meta-existential quantifier; otherwise the reflection terms become enormous!

**constdefs**

```

L_Reflects :: "[i=>o,[i,i]=>o] => prop"      ("(3REFLECTS/ [_,/ _])")
"REFLECTS[P,Q] == (??Cl. Closed_Unbounded(Cl) &
  (∀a. Cl(a) --> (∀x ∈ Lset(a). P(x) <-> Q(a,x))))"

```

**theorem Triv\_reflection:**

```

"REFLECTS[P, λa x. P(x)]"
<proof>

```

**theorem Not\_reflection:**

```

"REFLECTS[P,Q] ==> REFLECTS[λx. ~P(x), λa x. ~Q(a,x)]"
<proof>

```

**theorem And\_reflection:**

```

"[| REFLECTS[P,Q]; REFLECTS[P',Q'] |]
==> REFLECTS[λx. P(x) ∧ P'(x), λa x. Q(a,x) ∧ Q'(a,x)]"
<proof>

```

**theorem Or\_reflection:**

```

"[| REFLECTS[P,Q]; REFLECTS[P',Q'] |]
==> REFLECTS[λx. P(x) ∨ P'(x), λa x. Q(a,x) ∨ Q'(a,x)]"
<proof>

```

**theorem Imp\_reflection:**

```

"[| REFLECTS[P,Q]; REFLECTS[P',Q'] |]
==> REFLECTS[λx. P(x) --> P'(x), λa x. Q(a,x) --> Q'(a,x)]"
<proof>

```

**theorem Iff\_reflection:**

```

"[| REFLECTS[P,Q]; REFLECTS[P',Q'] |]
==> REFLECTS[λx. P(x) <-> P'(x), λa x. Q(a,x) <-> Q'(a,x)]"
<proof>

```

**lemma reflection\_Lset:** "reflection(Lset)"

<proof>

**theorem Ex\_reflection:**

```

"REFLECTS[λx. P(fst(x),snd(x)), λa x. Q(a,fst(x),snd(x))]
==> REFLECTS[λx. ∃z. L(z) ∧ P(x,z), λa x. ∃z∈Lset(a). Q(a,x,z)]"
<proof>

```

**theorem All\_reflection:**  
 "REFLECTS[ $\lambda x. P(\text{fst}(x), \text{snd}(x))$ ,  $\lambda a x. Q(a, \text{fst}(x), \text{snd}(x))$ ]  
 $\implies$  REFLECTS[ $\lambda x. \forall z. L(z) \rightarrow P(x, z)$ ,  $\lambda a x. \forall z \in \text{Lset}(a). Q(a, x, z)$ ]"  
 <proof>

**theorem Rex\_reflection:**  
 "REFLECTS[  $\lambda x. P(\text{fst}(x), \text{snd}(x))$ ,  $\lambda a x. Q(a, \text{fst}(x), \text{snd}(x))$ ]  
 $\implies$  REFLECTS[ $\lambda x. \exists z[L]. P(x, z)$ ,  $\lambda a x. \exists z \in \text{Lset}(a). Q(a, x, z)$ ]"  
 <proof>

**theorem Rall\_reflection:**  
 "REFLECTS[ $\lambda x. P(\text{fst}(x), \text{snd}(x))$ ,  $\lambda a x. Q(a, \text{fst}(x), \text{snd}(x))$ ]  
 $\implies$  REFLECTS[ $\lambda x. \forall z[L]. P(x, z)$ ,  $\lambda a x. \forall z \in \text{Lset}(a). Q(a, x, z)$ ]"  
 <proof>

This version handles an alternative form of the bounded quantifier in the second argument of REFLECTS.

**theorem Rex\_reflection':**  
 "REFLECTS[ $\lambda x. P(\text{fst}(x), \text{snd}(x))$ ,  $\lambda a x. Q(a, \text{fst}(x), \text{snd}(x))$ ]  
 $\implies$  REFLECTS[ $\lambda x. \exists z[L]. P(x, z)$ ,  $\lambda a x. \exists z[##\text{Lset}(a)]. Q(a, x, z)$ ]"  
 <proof>

As above.

**theorem Rall\_reflection':**  
 "REFLECTS[ $\lambda x. P(\text{fst}(x), \text{snd}(x))$ ,  $\lambda a x. Q(a, \text{fst}(x), \text{snd}(x))$ ]  
 $\implies$  REFLECTS[ $\lambda x. \forall z[L]. P(x, z)$ ,  $\lambda a x. \forall z[##\text{Lset}(a)]. Q(a, x, z)$ ]"  
 <proof>

**lemmas FOL\_reflections =**  
 Triv\_reflection Not\_reflection And\_reflection Or\_reflection  
 Imp\_reflection Iff\_reflection Ex\_reflection All\_reflection  
 Rex\_reflection Rall\_reflection Rex\_reflection' Rall\_reflection'

**lemma ReflectsD:**  
 "[|REFLECTS[P,Q]; Ord(i)|]  
 $\implies \exists j. i < j \ \& \ (\forall x \in \text{Lset}(j). P(x) \leftrightarrow Q(j, x))$ "  
 <proof>

**lemma ReflectsE:**  
 "[| REFLECTS[P,Q]; Ord(i);  
 !!j. [|i < j;  $\forall x \in \text{Lset}(j). P(x) \leftrightarrow Q(j, x)$ ]|]  $\implies R$  |]"  
 $\implies R$ "  
 <proof>

**lemma Collect\_mem\_eq:** "{x ∈ A. x ∈ B} = A ∩ B"  
 <proof>

## 10.4 Internalized Formulas for some Set-Theoretic Concepts

### 10.4.1 Some numbers to help write de Bruijn indices

syntax

```
"3" :: i ("3")
"4" :: i ("4")
"5" :: i ("5")
"6" :: i ("6")
"7" :: i ("7")
"8" :: i ("8")
"9" :: i ("9")
```

translations

```
"3" == "succ(2)"
"4" == "succ(3)"
"5" == "succ(4)"
"6" == "succ(5)"
"7" == "succ(6)"
"8" == "succ(7)"
"9" == "succ(8)"
```

### 10.4.2 The Empty Set, Internalized

```
constdefs empty_fm :: "i=>i"
  "empty_fm(x) == Forall(Neg(Member(0,succ(x))))"
```

```
lemma empty_type [TC]:
  "x ∈ nat ==> empty_fm(x) ∈ formula"
⟨proof⟩
```

```
lemma sats_empty_fm [simp]:
  "[| x ∈ nat; env ∈ list(A)|]
  ==> sats(A, empty_fm(x), env) <-> empty(##A, nth(x,env))"
⟨proof⟩
```

```
lemma empty_iff_sats:
  "[| nth(i,env) = x; nth(j,env) = y;
  i ∈ nat; env ∈ list(A)|]
  ==> empty(##A, x) <-> sats(A, empty_fm(i), env)"
⟨proof⟩
```

```
theorem empty_reflection:
  "REFLECTS[λx. empty(L,f(x)),
  λi x. empty(##Lset(i),f(x))]"
⟨proof⟩
```

Not used. But maybe useful?

```
lemma Transset_sats_empty_fm_eq_0:
  "[| n ∈ nat; env ∈ list(A); Transset(A)|]
```

```

    ==> sats(A, empty_fm(n), env) <-> nth(n,env) = 0"
  <proof>

```

### 10.4.3 Unordered Pairs, Internalized

```

constdefs upair_fm :: "[i,i,i]=>i"
  "upair_fm(x,y,z) ==
    And(Member(x,z),
      And(Member(y,z),
        Forall(Implies(Member(0,succ(z)),
          Or(Equal(0,succ(x)), Equal(0,succ(y)))))))"

```

```

lemma upair_type [TC]:
  "[| x ∈ nat; y ∈ nat; z ∈ nat |] ==> upair_fm(x,y,z) ∈ formula"
  <proof>

```

```

lemma sats_upair_fm [simp]:
  "[| x ∈ nat; y ∈ nat; z ∈ nat; env ∈ list(A)|]
  ==> sats(A, upair_fm(x,y,z), env) <->
    upair(##A, nth(x,env), nth(y,env), nth(z,env))"
  <proof>

```

```

lemma upair_iff_sats:
  "[| nth(i,env) = x; nth(j,env) = y; nth(k,env) = z;
    i ∈ nat; j ∈ nat; k ∈ nat; env ∈ list(A)|]
  ==> upair(##A, x, y, z) <-> sats(A, upair_fm(i,j,k), env)"
  <proof>

```

Useful? At least it refers to "real" unordered pairs

```

lemma sats_upair_fm2 [simp]:
  "[| x ∈ nat; y ∈ nat; z < length(env); env ∈ list(A); Transset(A)|]
  ==> sats(A, upair_fm(x,y,z), env) <->
    nth(z,env) = {nth(x,env), nth(y,env)}"
  <proof>

```

```

theorem upair_reflection:
  "REFLECTS[λx. upair(L,f(x),g(x),h(x)),
    λi x. upair(##Lset(i),f(x),g(x),h(x))]"
  <proof>

```

### 10.4.4 Ordered pairs, Internalized

```

constdefs pair_fm :: "[i,i,i]=>i"
  "pair_fm(x,y,z) ==
    Exists(And(upair_fm(succ(x),succ(x),0),
      Exists(And(upair_fm(succ(succ(x)),succ(succ(y)),0),
        upair_fm(1,0,succ(succ(z)))))))"

```

```

lemma pair_type [TC]:
  "[| x ∈ nat; y ∈ nat; z ∈ nat |] ==> pair_fm(x,y,z) ∈ formula"

```

*<proof>*

**lemma** *sats\_pair\_fm* [*simp*]:

```
"[| x ∈ nat; y ∈ nat; z ∈ nat; env ∈ list(A) |]
  ==> sats(A, pair_fm(x,y,z), env) <->
      pair(##A, nth(x,env), nth(y,env), nth(z,env))"
```

*<proof>*

**lemma** *pair\_iff\_sats*:

```
"[| nth(i,env) = x; nth(j,env) = y; nth(k,env) = z;
  i ∈ nat; j ∈ nat; k ∈ nat; env ∈ list(A) |]
  ==> pair(##A, x, y, z) <-> sats(A, pair_fm(i,j,k), env)"
```

*<proof>*

**theorem** *pair\_reflection*:

```
"REFLECTS[λx. pair(L,f(x),g(x),h(x)),
  λi x. pair(##Lset(i),f(x),g(x),h(x))]"
```

*<proof>*

#### 10.4.5 Binary Unions, Internalized

**constdefs** *union\_fm* :: "[i,i,i]=>i"

```
"union_fm(x,y,z) ==
  Forall(Iff(Member(0,succ(z)),
    Or(Member(0,succ(x)),Member(0,succ(y)))))"
```

**lemma** *union\_type* [TC]:

```
"[| x ∈ nat; y ∈ nat; z ∈ nat |] ==> union_fm(x,y,z) ∈ formula"
```

*<proof>*

**lemma** *sats\_union\_fm* [*simp*]:

```
"[| x ∈ nat; y ∈ nat; z ∈ nat; env ∈ list(A) |]
  ==> sats(A, union_fm(x,y,z), env) <->
      union(##A, nth(x,env), nth(y,env), nth(z,env))"
```

*<proof>*

**lemma** *union\_iff\_sats*:

```
"[| nth(i,env) = x; nth(j,env) = y; nth(k,env) = z;
  i ∈ nat; j ∈ nat; k ∈ nat; env ∈ list(A) |]
  ==> union(##A, x, y, z) <-> sats(A, union_fm(i,j,k), env)"
```

*<proof>*

**theorem** *union\_reflection*:

```
"REFLECTS[λx. union(L,f(x),g(x),h(x)),
  λi x. union(##Lset(i),f(x),g(x),h(x))]"
```

*<proof>*

#### 10.4.6 Set "Cons," Internalized

**constdefs** *cons\_fm* :: "[i,i,i]=>i"

```
"cons_fm(x,y,z) ==
  Exists(And(upair_fm(succ(x),succ(x),0),
              union_fm(0,succ(y),succ(z))))"
```

**lemma cons\_type [TC]:**

```
"[| x ∈ nat; y ∈ nat; z ∈ nat |] ==> cons_fm(x,y,z) ∈ formula"
⟨proof⟩
```

**lemma sats\_cons\_fm [simp]:**

```
"[| x ∈ nat; y ∈ nat; z ∈ nat; env ∈ list(A)|]
==> sats(A, cons_fm(x,y,z), env) <->
  is_cons(##A, nth(x,env), nth(y,env), nth(z,env))"
⟨proof⟩
```

**lemma cons\_iff\_sats:**

```
"[| nth(i,env) = x; nth(j,env) = y; nth(k,env) = z;
  i ∈ nat; j ∈ nat; k ∈ nat; env ∈ list(A)|]
==> is_cons(##A, x, y, z) <-> sats(A, cons_fm(i,j,k), env)"
⟨proof⟩
```

**theorem cons\_reflection:**

```
"REFLECTS[λx. is_cons(L,f(x),g(x),h(x)),
  λi x. is_cons(##Lset(i),f(x),g(x),h(x))]"
⟨proof⟩
```

#### 10.4.7 Successor Function, Internalized

```
constdefs succ_fm :: "[i,i]=>i"
"succ_fm(x,y) == cons_fm(x,x,y)"
```

**lemma succ\_type [TC]:**

```
"[| x ∈ nat; y ∈ nat |] ==> succ_fm(x,y) ∈ formula"
⟨proof⟩
```

**lemma sats\_succ\_fm [simp]:**

```
"[| x ∈ nat; y ∈ nat; env ∈ list(A)|]
==> sats(A, succ_fm(x,y), env) <->
  successor(##A, nth(x,env), nth(y,env))"
⟨proof⟩
```

**lemma successor\_iff\_sats:**

```
"[| nth(i,env) = x; nth(j,env) = y;
  i ∈ nat; j ∈ nat; env ∈ list(A)|]
==> successor(##A, x, y) <-> sats(A, succ_fm(i,j), env)"
⟨proof⟩
```

**theorem successor\_reflection:**

```
"REFLECTS[λx. successor(L,f(x),g(x)),
```

```

    λi x. successor(##Lset(i),f(x),g(x))]"
⟨proof⟩

```

#### 10.4.8 The Number 1, Internalized

```

constdefs number1_fm :: "i=>i"
  "number1_fm(a) == Exists(And(empty_fm(0), succ_fm(0,succ(a))))"

```

```

lemma number1_type [TC]:
  "x ∈ nat ==> number1_fm(x) ∈ formula"
⟨proof⟩

```

```

lemma sats_number1_fm [simp]:
  "[| x ∈ nat; env ∈ list(A)|]
  ==> sats(A, number1_fm(x), env) <-> number1(##A, nth(x,env))"
⟨proof⟩

```

```

lemma number1_iff_sats:
  "[| nth(i,env) = x; nth(j,env) = y;
    i ∈ nat; env ∈ list(A)|]
  ==> number1(##A, x) <-> sats(A, number1_fm(i), env)"
⟨proof⟩

```

```

theorem number1_reflection:
  "REFLECTS[λx. number1(L,f(x)),
    λi x. number1(##Lset(i),f(x))]"
⟨proof⟩

```

#### 10.4.9 Big Union, Internalized

```

constdefs big_union_fm :: "[i,i]=>i"
  "big_union_fm(A,z) ==
    Forall(Iff(Member(0,succ(z)),
      Exists(And(Member(0,succ(succ(A))), Member(1,0))))))"

```

```

lemma big_union_type [TC]:
  "[| x ∈ nat; y ∈ nat |] ==> big_union_fm(x,y) ∈ formula"
⟨proof⟩

```

```

lemma sats_big_union_fm [simp]:
  "[| x ∈ nat; y ∈ nat; env ∈ list(A)|]
  ==> sats(A, big_union_fm(x,y), env) <->
    big_union(##A, nth(x,env), nth(y,env))"
⟨proof⟩

```

```

lemma big_union_iff_sats:
  "[| nth(i,env) = x; nth(j,env) = y;
    i ∈ nat; j ∈ nat; env ∈ list(A)|]
  ==> big_union(##A, x, y) <-> sats(A, big_union_fm(i,j), env)"
⟨proof⟩

```

```

theorem big_union_reflection:
  "REFLECTS[ $\lambda x.$  big_union(L,f(x),g(x)),
     $\lambda i x.$  big_union(##Lset(i),f(x),g(x))]"
<proof>

```

#### 10.4.10 Variants of Satisfaction Definitions for Ordinals, etc.

The *sats* theorems below are standard versions of the ones proved in theory *Formula*. They relate elements of type *formula* to relativized concepts such as *subset* or *ordinal* rather than to real concepts such as *Ord*. Now that we have instantiated the locale *M\_trivial*, we no longer require the earlier versions.

```

lemma sats_subset_fm':
  "[ $|x \in \text{nat}; y \in \text{nat}; \text{env} \in \text{list}(A)|$ ]
  ==> sats(A, subset_fm(x,y), env) <-> subset(##A, nth(x,env), nth(y,env))"
<proof>

```

```

theorem subset_reflection:
  "REFLECTS[ $\lambda x.$  subset(L,f(x),g(x)),
     $\lambda i x.$  subset(##Lset(i),f(x),g(x))]"
<proof>

```

```

lemma sats_transset_fm':
  "[ $|x \in \text{nat}; \text{env} \in \text{list}(A)|$ ]
  ==> sats(A, transset_fm(x), env) <-> transitive_set(##A, nth(x,env))"
<proof>

```

```

theorem transitive_set_reflection:
  "REFLECTS[ $\lambda x.$  transitive_set(L,f(x)),
     $\lambda i x.$  transitive_set(##Lset(i),f(x))]"
<proof>

```

```

lemma sats_ordinal_fm':
  "[ $|x \in \text{nat}; \text{env} \in \text{list}(A)|$ ]
  ==> sats(A, ordinal_fm(x), env) <-> ordinal(##A,nth(x,env))"
<proof>

```

```

lemma ordinal_iff_sats:
  "[ $| \text{nth}(i,\text{env}) = x; i \in \text{nat}; \text{env} \in \text{list}(A)|$ ]
  ==> ordinal(##A, x) <-> sats(A, ordinal_fm(i), env)"
<proof>

```

```

theorem ordinal_reflection:
  "REFLECTS[ $\lambda x.$  ordinal(L,f(x)),  $\lambda i x.$  ordinal(##Lset(i),f(x))]"
<proof>

```

#### 10.4.11 Membership Relation, Internalized

```

constdefs Memrel_fm :: "[i,i]=>i"
  "Memrel_fm(A,r) ==
    Forall(Iff(Member(0,succ(r)),
      Exists(And(Member(0,succ(succ(A))),
        Exists(And(Member(0,succ(succ(succ(A))))),
          And(Member(1,0),
            pair_fm(1,0,2))))))))))"

```

```

lemma Memrel_type [TC]:
  "[| x ∈ nat; y ∈ nat |] ==> Memrel_fm(x,y) ∈ formula"
<proof>

```

```

lemma sats_Memrel_fm [simp]:
  "[| x ∈ nat; y ∈ nat; env ∈ list(A) |]
  ==> sats(A, Memrel_fm(x,y), env) <->
    membership(##A, nth(x,env), nth(y,env))"
<proof>

```

```

lemma Memrel_iff_sats:
  "[| nth(i,env) = x; nth(j,env) = y;
    i ∈ nat; j ∈ nat; env ∈ list(A) |]
  ==> membership(##A, x, y) <-> sats(A, Memrel_fm(i,j), env)"
<proof>

```

```

theorem membership_reflection:
  "REFLECTS[λx. membership(L,f(x),g(x)),
    λi x. membership(##Lset(i),f(x),g(x))]"
<proof>

```

#### 10.4.12 Predecessor Set, Internalized

```

constdefs pred_set_fm :: "[i,i,i,i]=>i"
  "pred_set_fm(A,x,r,B) ==
    Forall(Iff(Member(0,succ(B)),
      Exists(And(Member(0,succ(succ(r))),
        And(Member(1,succ(succ(A))),
          pair_fm(1,succ(succ(x)),0))))))"

```

```

lemma pred_set_type [TC]:
  "[| A ∈ nat; x ∈ nat; r ∈ nat; B ∈ nat |]
  ==> pred_set_fm(A,x,r,B) ∈ formula"
<proof>

```

```

lemma sats_pred_set_fm [simp]:
  "[| U ∈ nat; x ∈ nat; r ∈ nat; B ∈ nat; env ∈ list(A) |]
  ==> sats(A, pred_set_fm(U,x,r,B), env) <->
    pred_set(##A, nth(U,env), nth(x,env), nth(r,env), nth(B,env))"

```

*<proof>*

**lemma** *pred\_set\_iff\_sats*:

```
"[| nth(i,env) = U; nth(j,env) = x; nth(k,env) = r; nth(l,env) =
B;
   i ∈ nat; j ∈ nat; k ∈ nat; l ∈ nat; env ∈ list(A) |]
==> pred_set(##A,U,x,r,B) <-> sats(A, pred_set_fm(i,j,k,l), env)"
```

*<proof>*

**theorem** *pred\_set\_reflection*:

```
"REFLECTS[λx. pred_set(L,f(x),g(x),h(x),b(x)),
λi x. pred_set(##Lset(i),f(x),g(x),h(x),b(x))]"
```

*<proof>*

### 10.4.13 Domain of a Relation, Internalized

**constdefs** *domain\_fm* :: "[i,i]=>i"

```
"domain_fm(r,z) ==
  Forall(Iff(Member(0,succ(z)),
             Exists(And(Member(0,succ(succ(r))),
                        Exists(pair_fm(2,0,1))))))"
```

**lemma** *domain\_type* [TC]:

```
"[| x ∈ nat; y ∈ nat |] ==> domain_fm(x,y) ∈ formula"
```

*<proof>*

**lemma** *sats\_domain\_fm* [simp]:

```
"[| x ∈ nat; y ∈ nat; env ∈ list(A) |]
==> sats(A, domain_fm(x,y), env) <->
  is_domain(##A, nth(x,env), nth(y,env))"
```

*<proof>*

**lemma** *domain\_iff\_sats*:

```
"[| nth(i,env) = x; nth(j,env) = y;
   i ∈ nat; j ∈ nat; env ∈ list(A) |]
==> is_domain(##A, x, y) <-> sats(A, domain_fm(i,j), env)"
```

*<proof>*

**theorem** *domain\_reflection*:

```
"REFLECTS[λx. is_domain(L,f(x),g(x)),
λi x. is_domain(##Lset(i),f(x),g(x))]"
```

*<proof>*

### 10.4.14 Range of a Relation, Internalized

**constdefs** *range\_fm* :: "[i,i]=>i"

```
"range_fm(r,z) ==
  Forall(Iff(Member(0,succ(z)),
             Exists(And(Member(0,succ(succ(r))),
                        Exists(pair_fm(0,2,1))))))"
```

```

lemma range_type [TC]:
  "[| x ∈ nat; y ∈ nat |] ==> range_fm(x,y) ∈ formula"
⟨proof⟩

lemma sats_range_fm [simp]:
  "[| x ∈ nat; y ∈ nat; env ∈ list(A) |]
  ==> sats(A, range_fm(x,y), env) <->
  is_range(##A, nth(x,env), nth(y,env))"
⟨proof⟩

lemma range_iff_sats:
  "[| nth(i,env) = x; nth(j,env) = y;
  i ∈ nat; j ∈ nat; env ∈ list(A) |]
  ==> is_range(##A, x, y) <-> sats(A, range_fm(i,j), env)"
⟨proof⟩

theorem range_reflection:
  "REFLECTS[λx. is_range(L,f(x),g(x)),
  λi x. is_range(##Lset(i),f(x),g(x))]"
⟨proof⟩

10.4.15 Field of a Relation, Internalized

constdefs field_fm :: "[i,i]=>i"
  "field_fm(r,z) ==
  Exists(And(domain_fm(succ(r),0),
  Exists(And(range_fm(succ(succ(r)),0),
  union_fm(1,0,succ(succ(z)))))))"

lemma field_type [TC]:
  "[| x ∈ nat; y ∈ nat |] ==> field_fm(x,y) ∈ formula"
⟨proof⟩

lemma sats_field_fm [simp]:
  "[| x ∈ nat; y ∈ nat; env ∈ list(A) |]
  ==> sats(A, field_fm(x,y), env) <->
  is_field(##A, nth(x,env), nth(y,env))"
⟨proof⟩

lemma field_iff_sats:
  "[| nth(i,env) = x; nth(j,env) = y;
  i ∈ nat; j ∈ nat; env ∈ list(A) |]
  ==> is_field(##A, x, y) <-> sats(A, field_fm(i,j), env)"
⟨proof⟩

theorem field_reflection:
  "REFLECTS[λx. is_field(L,f(x),g(x)),
  λi x. is_field(##Lset(i),f(x),g(x))]"

```

*<proof>*

#### 10.4.16 Image under a Relation, Internalized

```
constdefs image_fm :: "[i,i,i]=>i"
  "image_fm(r,A,z) ==
    Forall(Iff(Member(0,succ(z)),
      Exists(And(Member(0,succ(succ(r))),
        Exists(And(Member(0,succ(succ(succ(A))),
          pair_fm(0,2,1))))))))"
```

```
lemma image_type [TC]:
  "[| x ∈ nat; y ∈ nat; z ∈ nat |] ==> image_fm(x,y,z) ∈ formula"
<proof>
```

```
lemma sats_image_fm [simp]:
  "[| x ∈ nat; y ∈ nat; z ∈ nat; env ∈ list(A)|]
  ==> sats(A, image_fm(x,y,z), env) <->
    image(##A, nth(x,env), nth(y,env), nth(z,env))"
<proof>
```

```
lemma image_iff_sats:
  "[| nth(i,env) = x; nth(j,env) = y; nth(k,env) = z;
    i ∈ nat; j ∈ nat; k ∈ nat; env ∈ list(A)|]
  ==> image(##A, x, y, z) <-> sats(A, image_fm(i,j,k), env)"
<proof>
```

```
theorem image_reflection:
  "REFLECTS[λx. image(L,f(x),g(x),h(x)),
    λi x. image(##Lset(i),f(x),g(x),h(x))]"
<proof>
```

#### 10.4.17 Pre-Image under a Relation, Internalized

```
constdefs pre_image_fm :: "[i,i,i]=>i"
  "pre_image_fm(r,A,z) ==
    Forall(Iff(Member(0,succ(z)),
      Exists(And(Member(0,succ(succ(r))),
        Exists(And(Member(0,succ(succ(succ(A))),
          pair_fm(2,0,1))))))))"
```

```
lemma pre_image_type [TC]:
  "[| x ∈ nat; y ∈ nat; z ∈ nat |] ==> pre_image_fm(x,y,z) ∈ formula"
<proof>
```

```
lemma sats_pre_image_fm [simp]:
  "[| x ∈ nat; y ∈ nat; z ∈ nat; env ∈ list(A)|]
  ==> sats(A, pre_image_fm(x,y,z), env) <->
    pre_image(##A, nth(x,env), nth(y,env), nth(z,env))"
<proof>
```

```

lemma pre_image_iff_sats:
  "[| nth(i,env) = x; nth(j,env) = y; nth(k,env) = z;
    i ∈ nat; j ∈ nat; k ∈ nat; env ∈ list(A) |]
  ==> pre_image(##A, x, y, z) <-> sats(A, pre_image_fm(i,j,k), env)"
<proof>

```

```

theorem pre_image_reflection:
  "REFLECTS[λx. pre_image(L,f(x),g(x),h(x)),
    λi x. pre_image(##Lset(i),f(x),g(x),h(x))]"
<proof>

```

#### 10.4.18 Function Application, Internalized

```

constdefs fun_apply_fm :: "[i,i,i]=>i"
  "fun_apply_fm(f,x,y) ==
    Exists(Exists(And(upair_fm(succ(succ(x)), succ(succ(x)), 1),
      And(image_fm(succ(succ(f)), 1, 0),
        big_union_fm(0,succ(succ(y)))))))"

```

```

lemma fun_apply_type [TC]:
  "[| x ∈ nat; y ∈ nat; z ∈ nat |] ==> fun_apply_fm(x,y,z) ∈ formula"
<proof>

```

```

lemma sats_fun_apply_fm [simp]:
  "[| x ∈ nat; y ∈ nat; z ∈ nat; env ∈ list(A) |]
  ==> sats(A, fun_apply_fm(x,y,z), env) <->
    fun_apply(##A, nth(x,env), nth(y,env), nth(z,env))"
<proof>

```

```

lemma fun_apply_iff_sats:
  "[| nth(i,env) = x; nth(j,env) = y; nth(k,env) = z;
    i ∈ nat; j ∈ nat; k ∈ nat; env ∈ list(A) |]
  ==> fun_apply(##A, x, y, z) <-> sats(A, fun_apply_fm(i,j,k), env)"
<proof>

```

```

theorem fun_apply_reflection:
  "REFLECTS[λx. fun_apply(L,f(x),g(x),h(x)),
    λi x. fun_apply(##Lset(i),f(x),g(x),h(x))]"
<proof>

```

#### 10.4.19 The Concept of Relation, Internalized

```

constdefs relation_fm :: "i=>i"
  "relation_fm(r) ==
    Forall(Implies(Member(0,succ(r)), Exists(Exists(pair_fm(1,0,2)))))"

```

```

lemma relation_type [TC]:
  "[| x ∈ nat |] ==> relation_fm(x) ∈ formula"
<proof>

```

```

lemma sats_relation_fm [simp]:
  "[| x ∈ nat; env ∈ list(A)|]
  ==> sats(A, relation_fm(x), env) <-> is_relation(##A, nth(x,env))"
⟨proof⟩

```

```

lemma relation_iff_sats:
  "[| nth(i,env) = x; nth(j,env) = y;
  i ∈ nat; env ∈ list(A)|]
  ==> is_relation(##A, x) <-> sats(A, relation_fm(i), env)"
⟨proof⟩

```

```

theorem is_relation_reflection:
  "REFLECTS[λx. is_relation(L,f(x)),
  λi x. is_relation(##Lset(i),f(x))]"
⟨proof⟩

```

#### 10.4.20 The Concept of Function, Internalized

```

constdefs function_fm :: "i=>i"
  "function_fm(r) ==
  Forall(Forall(Forall(Forall(Forall(
    Implies(pair_fm(4,3,1),
      Implies(pair_fm(4,2,0),
        Implies(Member(1,r#+5),
          Implies(Member(0,r#+5), Equal(3,2))))))))))"

```

```

lemma function_type [TC]:
  "[| x ∈ nat |] ==> function_fm(x) ∈ formula"
⟨proof⟩

```

```

lemma sats_function_fm [simp]:
  "[| x ∈ nat; env ∈ list(A)|]
  ==> sats(A, function_fm(x), env) <-> is_function(##A, nth(x,env))"
⟨proof⟩

```

```

lemma is_function_iff_sats:
  "[| nth(i,env) = x; nth(j,env) = y;
  i ∈ nat; env ∈ list(A)|]
  ==> is_function(##A, x) <-> sats(A, function_fm(i), env)"
⟨proof⟩

```

```

theorem is_function_reflection:
  "REFLECTS[λx. is_function(L,f(x)),
  λi x. is_function(##Lset(i),f(x))]"
⟨proof⟩

```

#### 10.4.21 Typed Functions, Internalized

```

constdefs typed_function_fm :: "[i,i,i]=>i"

```

```

"typed_function_fm(A,B,r) ==
  And(function_fm(r),
    And(relation_fm(r),
      And(domain_fm(r,A),
        Forall(Implies(Member(0,succ(r)),
          Forall(Forall(Implies(pair_fm(1,0,2),Member(0,B#+3))))))))))"

lemma typed_function_type [TC]:
  "[| x ∈ nat; y ∈ nat; z ∈ nat |] ==> typed_function_fm(x,y,z) ∈
formula"
⟨proof⟩

lemma sats_typed_function_fm [simp]:
  "[| x ∈ nat; y ∈ nat; z ∈ nat; env ∈ list(A)|]
==> sats(A, typed_function_fm(x,y,z), env) <->
typed_function(##A, nth(x,env), nth(y,env), nth(z,env))"
⟨proof⟩

lemma typed_function_iff_sats:
  "[| nth(i,env) = x; nth(j,env) = y; nth(k,env) = z;
i ∈ nat; j ∈ nat; k ∈ nat; env ∈ list(A)|]
==> typed_function(##A, x, y, z) <-> sats(A, typed_function_fm(i,j,k),
env)"
⟨proof⟩

lemmas function_reflections =
empty_reflection number1_reflection
upair_reflection pair_reflection union_reflection
big_union_reflection cons_reflection successor_reflection
fun_apply_reflection subset_reflection
transitive_set_reflection membership_reflection
pred_set_reflection domain_reflection range_reflection field_reflection
image_reflection pre_image_reflection
is_relation_reflection is_function_reflection

lemmas function_iff_sats =
empty_iff_sats number1_iff_sats
upair_iff_sats pair_iff_sats union_iff_sats
big_union_iff_sats cons_iff_sats successor_iff_sats
fun_apply_iff_sats Memrel_iff_sats
pred_set_iff_sats domain_iff_sats range_iff_sats field_iff_sats
image_iff_sats pre_image_iff_sats
relation_iff_sats is_function_iff_sats

theorem typed_function_reflection:
  "REFLECTS[λx. typed_function(L,f(x),g(x),h(x)),
λi x. typed_function(##Lset(i),f(x),g(x),h(x))]"
⟨proof⟩

```

### 10.4.22 Composition of Relations, Internalized

```
constdefs composition_fm :: "[i,i,i]=>i"
  "composition_fm(r,s,t) ==
    Forall(Iff(Member(0,succ(t)),
      Exists(Exists(Exists(Exists(Exists(
        And(pair_fm(4,2,5),
        And(pair_fm(4,3,1),
        And(pair_fm(3,2,0),
        And(Member(1,s#+6), Member(0,r#+6)))))))))))))"
```

```
lemma composition_type [TC]:
  "[| x ∈ nat; y ∈ nat; z ∈ nat |] ==> composition_fm(x,y,z) ∈ formula"
  <proof>
```

```
lemma sats_composition_fm [simp]:
  "[| x ∈ nat; y ∈ nat; z ∈ nat; env ∈ list(A)|]
  ==> sats(A, composition_fm(x,y,z), env) <->
    composition(##A, nth(x,env), nth(y,env), nth(z,env))"
  <proof>
```

```
lemma composition_iff_sats:
  "[| nth(i,env) = x; nth(j,env) = y; nth(k,env) = z;
    i ∈ nat; j ∈ nat; k ∈ nat; env ∈ list(A)|]
  ==> composition(##A, x, y, z) <-> sats(A, composition_fm(i,j,k),
  env)"
  <proof>
```

```
theorem composition_reflection:
  "REFLECTS[λx. composition(L,f(x),g(x),h(x)),
    λi x. composition(##Lset(i),f(x),g(x),h(x))]"
  <proof>
```

### 10.4.23 Injections, Internalized

```
constdefs injection_fm :: "[i,i,i]=>i"
  "injection_fm(A,B,f) ==
    And(typed_function_fm(A,B,f),
      Forall(Forall(Forall(Forall(Forall(
        Implies(pair_fm(4,2,1),
        Implies(pair_fm(3,2,0),
        Implies(Member(1,f#+5),
        Implies(Member(0,f#+5), Equal(4,3))))))))))"
```

```
lemma injection_type [TC]:
  "[| x ∈ nat; y ∈ nat; z ∈ nat |] ==> injection_fm(x,y,z) ∈ formula"
  <proof>
```

```
lemma sats_injection_fm [simp]:
```

```

    "[| x ∈ nat; y ∈ nat; z ∈ nat; env ∈ list(A) |]
    ==> sats(A, injection_fm(x,y,z), env) <->
        injection(##A, nth(x,env), nth(y,env), nth(z,env))"
⟨proof⟩

```

```

lemma injection_iff_sats:
    "[| nth(i,env) = x; nth(j,env) = y; nth(k,env) = z;
        i ∈ nat; j ∈ nat; k ∈ nat; env ∈ list(A) |]
    ==> injection(##A, x, y, z) <-> sats(A, injection_fm(i,j,k), env)"
⟨proof⟩

```

```

theorem injection_reflection:
    "REFLECTS[λx. injection(L,f(x),g(x),h(x)),
        λi x. injection(##Lset(i),f(x),g(x),h(x))]"
⟨proof⟩

```

#### 10.4.24 Surjections, Internalized

```

constdefs surjection_fm :: "[i,i,i]=>i"
    "surjection_fm(A,B,f) ==
        And(typed_function_fm(A,B,f),
            Forall(Implies(Member(0,succ(B)),
                Exists(And(Member(0,succ(succ(A))),
                    fun_apply_fm(succ(succ(f)),0,1))))))"

```

```

lemma surjection_type [TC]:
    "[| x ∈ nat; y ∈ nat; z ∈ nat |] ==> surjection_fm(x,y,z) ∈ formula"
⟨proof⟩

```

```

lemma sats_surjection_fm [simp]:
    "[| x ∈ nat; y ∈ nat; z ∈ nat; env ∈ list(A) |]
    ==> sats(A, surjection_fm(x,y,z), env) <->
        surjection(##A, nth(x,env), nth(y,env), nth(z,env))"
⟨proof⟩

```

```

lemma surjection_iff_sats:
    "[| nth(i,env) = x; nth(j,env) = y; nth(k,env) = z;
        i ∈ nat; j ∈ nat; k ∈ nat; env ∈ list(A) |]
    ==> surjection(##A, x, y, z) <-> sats(A, surjection_fm(i,j,k), env)"
⟨proof⟩

```

```

theorem surjection_reflection:
    "REFLECTS[λx. surjection(L,f(x),g(x),h(x)),
        λi x. surjection(##Lset(i),f(x),g(x),h(x))]"
⟨proof⟩

```

#### 10.4.25 Bijections, Internalized

```

constdefs bijection_fm :: "[i,i,i]=>i"
    "bijection_fm(A,B,f) == And(injection_fm(A,B,f), surjection_fm(A,B,f))"

```

```

lemma bijection_type [TC]:
  "[| x ∈ nat; y ∈ nat; z ∈ nat |] ==> bijection_fm(x,y,z) ∈ formula"
⟨proof⟩

```

```

lemma sats_bijection_fm [simp]:
  "[| x ∈ nat; y ∈ nat; z ∈ nat; env ∈ list(A)|]
  ==> sats(A, bijection_fm(x,y,z), env) <->
  bijection(##A, nth(x,env), nth(y,env), nth(z,env))"
⟨proof⟩

```

```

lemma bijection_iff_sats:
  "[| nth(i,env) = x; nth(j,env) = y; nth(k,env) = z;
  i ∈ nat; j ∈ nat; k ∈ nat; env ∈ list(A)|]
  ==> bijection(##A, x, y, z) <-> sats(A, bijection_fm(i,j,k), env)"
⟨proof⟩

```

```

theorem bijection_reflection:
  "REFLECTS[λx. bijection(L,f(x),g(x),h(x)),
  λi x. bijection(##Lset(i),f(x),g(x),h(x))]"
⟨proof⟩

```

#### 10.4.26 Restriction of a Relation, Internalized

```

constdefs restriction_fm :: "[i,i,i]=>i"
  "restriction_fm(r,A,z) ==
  Forall(Iff(Member(0,succ(z)),
  And(Member(0,succ(r)),
  Exists(And(Member(0,succ(succ(A))),
  Exists(pair_fm(1,0,2)))))))"

```

```

lemma restriction_type [TC]:
  "[| x ∈ nat; y ∈ nat; z ∈ nat |] ==> restriction_fm(x,y,z) ∈ formula"
⟨proof⟩

```

```

lemma sats_restriction_fm [simp]:
  "[| x ∈ nat; y ∈ nat; z ∈ nat; env ∈ list(A)|]
  ==> sats(A, restriction_fm(x,y,z), env) <->
  restriction(##A, nth(x,env), nth(y,env), nth(z,env))"
⟨proof⟩

```

```

lemma restriction_iff_sats:
  "[| nth(i,env) = x; nth(j,env) = y; nth(k,env) = z;
  i ∈ nat; j ∈ nat; k ∈ nat; env ∈ list(A)|]
  ==> restriction(##A, x, y, z) <-> sats(A, restriction_fm(i,j,k),
env)"
⟨proof⟩

```

```

theorem restriction_reflection:

```

```

    "REFLECTS[ $\lambda x. \text{restriction}(L, f(x), g(x), h(x)),$ 
       $\lambda i x. \text{restriction}(\#\#L\text{set}(i), f(x), g(x), h(x))]$ "
  <proof>

```

#### 10.4.27 Order-Isomorphisms, Internalized

```

constdefs order_isomorphism_fm :: "[i,i,i,i,i]=>i"
  "order_isomorphism_fm(A,r,B,s,f) ==
    And(bijection_fm(A,B,f),
      Forall(Implies(Member(0,succ(A)),
        Forall(Implies(Member(0,succ(succ(A))),
          Forall(Forall(Forall(Forall(
            Implies(pair_fm(5,4,3),
              Implies(fun_apply_fm(f#+6,5,2),
                Implies(fun_apply_fm(f#+6,4,1),
                  Implies(pair_fm(2,1,0),
                    Iff(Member(3,r#+6), Member(0,s#+6)))))))))))))))))"

```

```

lemma order_isomorphism_type [TC]:
  "[| A  $\in$  nat; r  $\in$  nat; B  $\in$  nat; s  $\in$  nat; f  $\in$  nat |]
  ==> order_isomorphism_fm(A,r,B,s,f)  $\in$  formula"
  <proof>

```

```

lemma sats_order_isomorphism_fm [simp]:
  "[| U  $\in$  nat; r  $\in$  nat; B  $\in$  nat; s  $\in$  nat; f  $\in$  nat; env  $\in$  list(A) |]
  ==> sats(A, order_isomorphism_fm(U,r,B,s,f), env) <->
    order_isomorphism( $\#\#A$ , nth(U,env), nth(r,env), nth(B,env),
      nth(s,env), nth(f,env))"
  <proof>

```

```

lemma order_isomorphism_iff_sats:
  "[| nth(i,env) = U; nth(j,env) = r; nth(k,env) = B; nth(j',env) = s;
    nth(k',env) = f;
    i  $\in$  nat; j  $\in$  nat; k  $\in$  nat; j'  $\in$  nat; k'  $\in$  nat; env  $\in$  list(A) |]
  ==> order_isomorphism( $\#\#A$ ,U,r,B,s,f) <->
    sats(A, order_isomorphism_fm(i,j,k,j',k'), env)"
  <proof>

```

```

theorem order_isomorphism_reflection:
  "REFLECTS[ $\lambda x. \text{order\_isomorphism}(L, f(x), g(x), h(x), g'(x), h'(x)),$ 
     $\lambda i x. \text{order\_isomorphism}(\#\#L\text{set}(i), f(x), g(x), h(x), g'(x), h'(x))]$ "
  <proof>

```

#### 10.4.28 Limit Ordinals, Internalized

A limit ordinal is a non-empty, successor-closed ordinal

```

constdefs limit_ordinal_fm :: "i=>i"
  "limit_ordinal_fm(x) ==
    And(ordinal_fm(x),

```

```

    And(Neg(empty_fm(x)),
        Forall(Implies(Member(0,succ(x)),
            Exists(And(Member(0,succ(succ(x))),
                succ_fm(1,0)))))))"

lemma limit_ordinal_type [TC]:
  "x ∈ nat ==> limit_ordinal_fm(x) ∈ formula"
⟨proof⟩

lemma sats_limit_ordinal_fm [simp]:
  "[| x ∈ nat; env ∈ list(A)|]
  ==> sats(A, limit_ordinal_fm(x), env) <-> limit_ordinal(##A, nth(x,env))"
⟨proof⟩

lemma limit_ordinal_iff_sats:
  "[| nth(i,env) = x; nth(j,env) = y;
    i ∈ nat; env ∈ list(A)|]
  ==> limit_ordinal(##A, x) <-> sats(A, limit_ordinal_fm(i), env)"
⟨proof⟩

theorem limit_ordinal_reflection:
  "REFLECTS[λx. limit_ordinal(L,f(x)),
    λi x. limit_ordinal(##Lset(i),f(x))]"
⟨proof⟩

10.4.29 Finite Ordinals: The Predicate “Is A Natural Number”

constdefs finite_ordinal_fm :: "i=>i"
  "finite_ordinal_fm(x) ==
    And(ordinal_fm(x),
        And(Neg(limit_ordinal_fm(x)),
            Forall(Implies(Member(0,succ(x)),
                Neg(limit_ordinal_fm(0))))))"

lemma finite_ordinal_type [TC]:
  "x ∈ nat ==> finite_ordinal_fm(x) ∈ formula"
⟨proof⟩

lemma sats_finite_ordinal_fm [simp]:
  "[| x ∈ nat; env ∈ list(A)|]
  ==> sats(A, finite_ordinal_fm(x), env) <-> finite_ordinal(##A, nth(x,env))"
⟨proof⟩

lemma finite_ordinal_iff_sats:
  "[| nth(i,env) = x; nth(j,env) = y;
    i ∈ nat; env ∈ list(A)|]
  ==> finite_ordinal(##A, x) <-> sats(A, finite_ordinal_fm(i), env)"
⟨proof⟩

```

```

theorem finite_ordinal_reflection:
  "REFLECTS[ $\lambda x.$  finite_ordinal(L,f(x)),
     $\lambda i x.$  finite_ordinal(##Lset(i),f(x))]"
  <proof>

```

### 10.4.30 Omega: The Set of Natural Numbers

```

constdefs omega_fm :: "i=>i"
  "omega_fm(x) ==
    And(limit_ordinal_fm(x),
      Forall(Implies(Member(0,succ(x)),
        Neg(limit_ordinal_fm(0)))))"

```

```

lemma omega_type [TC]:
  "x ∈ nat ==> omega_fm(x) ∈ formula"
  <proof>

```

```

lemma sats_omega_fm [simp]:
  "[| x ∈ nat; env ∈ list(A)|]
  ==> sats(A, omega_fm(x), env) <-> omega(##A, nth(x,env))"
  <proof>

```

```

lemma omega_iff_sats:
  "[| nth(i,env) = x; nth(j,env) = y;
    i ∈ nat; env ∈ list(A)|]
  ==> omega(##A, x) <-> sats(A, omega_fm(i), env)"
  <proof>

```

```

theorem omega_reflection:
  "REFLECTS[ $\lambda x.$  omega(L,f(x)),
     $\lambda i x.$  omega(##Lset(i),f(x))]"
  <proof>

```

```

lemmas fun_plus_reflections =
  typed_function_reflection composition_reflection
  injection_reflection surjection_reflection
  bijection_reflection restriction_reflection
  order_isomorphism_reflection finite_ordinal_reflection
  ordinal_reflection limit_ordinal_reflection omega_reflection

```

```

lemmas fun_plus_iff_sats =
  typed_function_iff_sats composition_iff_sats
  injection_iff_sats surjection_iff_sats
  bijection_iff_sats restriction_iff_sats
  order_isomorphism_iff_sats finite_ordinal_iff_sats
  ordinal_iff_sats limit_ordinal_iff_sats omega_iff_sats

```

**end**

## 11 Early Instances of Separation and Strong Replacement

**theory Separation imports L\_axioms WF\_absolute begin**

This theory proves all instances needed for locale *M\_basic*

Helps us solve for de Bruijn indices!

**lemma nth\_ConsI:** "[|nth(n, l) = x; n ∈ nat|] ==> nth(succ(n), Cons(a, l)) = x"  
 <proof>

**lemmas nth\_rules = nth\_0 nth\_ConsI nat\_0I nat\_succI**  
**lemmas sep\_rules = nth\_0 nth\_ConsI FOL\_iff\_sats function\_iff\_sats**  
**fun\_plus\_iff\_sats**

**lemma Collect\_conj\_in\_DPow:**  
 "[| {x ∈ A. P(x)} ∈ DPow(A); {x ∈ A. Q(x)} ∈ DPow(A) |]  
 ==> {x ∈ A. P(x) & Q(x)} ∈ DPow(A)"  
 <proof>

**lemma Collect\_conj\_in\_DPow\_Lset:**  
 "[|z ∈ Lset(j); {x ∈ Lset(j). P(x)} ∈ DPow(Lset(j))|]  
 ==> {x ∈ Lset(j). x ∈ z & P(x)} ∈ DPow(Lset(j))"  
 <proof>

**lemma separation\_CollectI:**  
 "(∧z. L(z) ==> L({x ∈ z . P(x)})) ==> separation(L, λx. P(x))"  
 <proof>

Reduces the original comprehension to the reflected one

**lemma reflection\_imp\_L\_separation:**  
 "[| ∃x ∈ Lset(j). P(x) <-> Q(x);  
 {x ∈ Lset(j) . Q(x)} ∈ DPow(Lset(j));  
 Ord(j); z ∈ Lset(j) |] ==> L({x ∈ z . P(x)})"  
 <proof>

Encapsulates the standard proof script for proving instances of Separation.

**lemma gen\_separation:**  
**assumes reflection:** "REFLECTS [P, Q]"  
**and Lu:** "L(u)"  
**and collI:** "!!j. u ∈ Lset(j)  
 ==> Collect(Lset(j), Q(j)) ∈ DPow(Lset(j))"  
**shows "separation(L, P)"**  
 <proof>

As above, but typically  $u$  is a finite enumeration such as  $\{a, b\}$ ; thus the new subgoal gets the assumption  $\{a, b\} \subseteq Lset(i)$ , which is logically equivalent to  $a \in Lset(i)$  and  $b \in Lset(i)$ .

```
lemma gen_separation_multi:
  assumes reflection: "REFLECTS [P,Q]"
    and Lu:          "L(u)"
    and collI:      "!!j. u  $\subseteq$  Lset(j)
                     $\implies$  Collect(Lset(j), Q(j))  $\in$  DPow(Lset(j))"
  shows "separation(L,P)"
  <proof>
```

### 11.1 Separation for Intersection

```
lemma Inter_Reflects:
  "REFLECTS[ $\lambda x. \forall y[L]. y \in A \rightarrow x \in y,$ 
             $\lambda i x. \forall y \in Lset(i). y \in A \rightarrow x \in y]$ "
  <proof>
```

```
lemma Inter_separation:
  "L(A)  $\implies$  separation(L,  $\lambda x. \forall y[L]. y \in A \rightarrow x \in y)$ "
  <proof>
```

### 11.2 Separation for Set Difference

```
lemma Diff_Reflects:
  "REFLECTS[ $\lambda x. x \notin B, \lambda i x. x \notin B]$ "
  <proof>
```

```
lemma Diff_separation:
  "L(B)  $\implies$  separation(L,  $\lambda x. x \notin B)$ "
  <proof>
```

### 11.3 Separation for Cartesian Product

```
lemma cartprod_Reflects:
  "REFLECTS[ $\lambda z. \exists x[L]. x \in A \ \& \ (\exists y[L]. y \in B \ \& \ pair(L,x,y,z)),$ 
             $\lambda i z. \exists x \in Lset(i). x \in A \ \& \ (\exists y \in Lset(i). y \in B \ \& \$ 
             $pair(\#Lset(i),x,y,z))]$ "
  <proof>
```

```
lemma cartprod_separation:
  "[| L(A); L(B) |]
    $\implies$  separation(L,  $\lambda z. \exists x[L]. x \in A \ \& \ (\exists y[L]. y \in B \ \& \ pair(L,x,y,z))$ )"
  <proof>
```

### 11.4 Separation for Image

```
lemma image_Reflects:
  "REFLECTS[ $\lambda y. \exists p[L]. p \in r \ \& \ (\exists x[L]. x \in A \ \& \ pair(L,x,y,p)),$ 
```

$\langle proof \rangle$   $\lambda i y. \exists p \in Lset(i). p \in r \ \& \ (\exists x \in Lset(i). x \in A \ \& \ pair(\#\#Lset(i), x, y, p))]$ "

**lemma image\_separation:**

"[| L(A); L(r) |]  
 $\implies separation(L, \lambda y. \exists p[L]. p \in r \ \& \ (\exists x[L]. x \in A \ \& \ pair(L, x, y, p)))$ "  
 $\langle proof \rangle$

## 11.5 Separation for Converse

**lemma converse\_Reflects:**

"REFLECTS[ $\lambda z. \exists p[L]. p \in r \ \& \ (\exists x[L]. \exists y[L]. pair(L, x, y, p) \ \& \ pair(L, y, x, z))$ ],  
 $\lambda i z. \exists p \in Lset(i). p \in r \ \& \ (\exists x \in Lset(i). \exists y \in Lset(i).$   
 $pair(\#\#Lset(i), x, y, p) \ \& \ pair(\#\#Lset(i), y, x, z))]$ "  
 $\langle proof \rangle$

**lemma converse\_separation:**

"L(r)  $\implies separation(L,$   
 $\lambda z. \exists p[L]. p \in r \ \& \ (\exists x[L]. \exists y[L]. pair(L, x, y, p) \ \& \ pair(L, y, x, z)))$ "  
 $\langle proof \rangle$

## 11.6 Separation for Restriction

**lemma restrict\_Reflects:**

"REFLECTS[ $\lambda z. \exists x[L]. x \in A \ \& \ (\exists y[L]. pair(L, x, y, z))$ ],  
 $\lambda i z. \exists x \in Lset(i). x \in A \ \& \ (\exists y \in Lset(i). pair(\#\#Lset(i), x, y, z))]$ "  
 $\langle proof \rangle$

**lemma restrict\_separation:**

"L(A)  $\implies separation(L, \lambda z. \exists x[L]. x \in A \ \& \ (\exists y[L]. pair(L, x, y, z)))$ "  
 $\langle proof \rangle$

## 11.7 Separation for Composition

**lemma comp\_Reflects:**

"REFLECTS[ $\lambda xz. \exists x[L]. \exists y[L]. \exists z[L]. \exists xy[L]. \exists yz[L].$   
 $pair(L, x, z, xz) \ \& \ pair(L, x, y, xy) \ \& \ pair(L, y, z, yz) \ \&$   
 $xy \in s \ \& \ yz \in r,$   
 $\lambda i xz. \exists x \in Lset(i). \exists y \in Lset(i). \exists z \in Lset(i). \exists xy \in Lset(i). \exists yz \in Lset(i).$   
 $pair(\#\#Lset(i), x, z, xz) \ \& \ pair(\#\#Lset(i), x, y, xy) \ \&$   
 $pair(\#\#Lset(i), y, z, yz) \ \& \ xy \in s \ \& \ yz \in r]$ "  
 $\langle proof \rangle$

**lemma comp\_separation:**

"[| L(r); L(s) |]  
 $\implies separation(L, \lambda xz. \exists x[L]. \exists y[L]. \exists z[L]. \exists xy[L]. \exists yz[L].$   
 $pair(L, x, z, xz) \ \& \ pair(L, x, y, xy) \ \& \ pair(L, y, z, yz) \ \&$   
 $xy \in s \ \& \ yz \in r)$ "  
 $\langle proof \rangle$

## 11.8 Separation for Predecessors in an Order

**lemma** *pred\_Reflects*:  
"REFLECTS[ $\lambda y. \exists p[L]. p \in r \ \& \ \text{pair}(L, y, x, p),$   
 $\lambda i \ y. \exists p \in \text{Lset}(i). p \in r \ \& \ \text{pair}(\#\#\text{Lset}(i), y, x, p)]$ "  
(*proof*)

**lemma** *pred\_separation*:  
"[ $L(r); L(x) \ ] \ ==> \ \text{separation}(L, \lambda y. \exists p[L]. p \in r \ \& \ \text{pair}(L, y, x, p))$ "  
(*proof*)

## 11.9 Separation for the Membership Relation

**lemma** *Memrel\_Reflects*:  
"REFLECTS[ $\lambda z. \exists x[L]. \exists y[L]. \text{pair}(L, x, y, z) \ \& \ x \in y,$   
 $\lambda i \ z. \exists x \in \text{Lset}(i). \exists y \in \text{Lset}(i). \text{pair}(\#\#\text{Lset}(i), x, y, z)$   
 $\ \& \ x \in y]$ "  
(*proof*)

**lemma** *Memrel\_separation*:  
"separation(L,  $\lambda z. \exists x[L]. \exists y[L]. \text{pair}(L, x, y, z) \ \& \ x \in y$ )"  
(*proof*)

## 11.10 Replacement for FunSpace

**lemma** *funspace\_succ\_Reflects*:  
"REFLECTS[ $\lambda z. \exists p[L]. p \in A \ \& \ (\exists f[L]. \exists b[L]. \exists nb[L]. \exists cnbf[L].$   
 $\text{pair}(L, f, b, p) \ \& \ \text{pair}(L, n, b, nb) \ \& \ \text{is\_cons}(L, nb, f, cnbf) \ \&$   
 $\text{upair}(L, cnbf, cnbf, z)),$   
 $\lambda i \ z. \exists p \in \text{Lset}(i). p \in A \ \& \ (\exists f \in \text{Lset}(i). \exists b \in \text{Lset}(i).$   
 $\exists nb \in \text{Lset}(i). \exists cnbf \in \text{Lset}(i).$   
 $\text{pair}(\#\#\text{Lset}(i), f, b, p) \ \& \ \text{pair}(\#\#\text{Lset}(i), n, b, nb) \ \&$   
 $\text{is\_cons}(\#\#\text{Lset}(i), nb, f, cnbf) \ \& \ \text{upair}(\#\#\text{Lset}(i), cnbf, cnbf, z))]$ "  
(*proof*)

**lemma** *funspace\_succ\_replacement*:  
"L(n) ==>  
strong\_replacement(L,  $\lambda p \ z. \exists f[L]. \exists b[L]. \exists nb[L]. \exists cnbf[L].$   
 $\text{pair}(L, f, b, p) \ \& \ \text{pair}(L, n, b, nb) \ \& \ \text{is\_cons}(L, nb, f, cnbf)$   
&  
 $\text{upair}(L, cnbf, cnbf, z))$ "  
(*proof*)

## 11.11 Separation for a Theorem about *is\_recfun*

**lemma** *is\_recfun\_reflects*:  
"REFLECTS[ $\lambda x. \exists xa[L]. \exists xb[L].$   
 $\text{pair}(L, x, a, xa) \ \& \ xa \in r \ \& \ \text{pair}(L, x, b, xb) \ \& \ xb \in r \ \&$   
 $(\exists fx[L]. \exists gx[L]. \text{fun\_apply}(L, f, x, fx) \ \& \ \text{fun\_apply}(L, g, x, gx)$   
&

```

                                fx ≠ gx),
    λi x. ∃ xa ∈ Lset(i). ∃ xb ∈ Lset(i).
        pair(##Lset(i),x,a,xa) & xa ∈ r & pair(##Lset(i),x,b,xb) & xb
∈ r &
        (∃ fx ∈ Lset(i). ∃ gx ∈ Lset(i). fun_apply(##Lset(i),f,x,fx)
&
        fun_apply(##Lset(i),g,x,gx) & fx ≠ gx)]"
⟨proof⟩

```

```

lemma is_recfun_separation:
  — for well-founded recursion
  "[| L(r); L(f); L(g); L(a); L(b) |]
  ==> separation(L,
    λx. ∃ xa[L]. ∃ xb[L].
      pair(L,x,a,xa) & xa ∈ r & pair(L,x,b,xb) & xb ∈ r &
      (∃ fx[L]. ∃ gx[L]. fun_apply(L,f,x,fx) & fun_apply(L,g,x,gx)
&
      fx ≠ gx))"
⟨proof⟩

```

## 11.12 Instantiating the locale $M_{\text{basic}}$

Separation (and Strong Replacement) for basic set-theoretic constructions such as intersection, Cartesian Product and image.

```

lemma M_basic_axioms_L: "M_basic_axioms(L)"
⟨proof⟩

```

```

theorem M_basic_L: "PROP M_basic(L)"
⟨proof⟩

```

```

interpretation M_basic [L] ⟨proof⟩

```

**end**

```

theory Internalize imports L_axioms Datatype_absolute begin

```

## 11.13 Internalized Forms of Data Structuring Operators

### 11.13.1 The Formula $\text{is\_In1}$ , Internalized

```

constdefs In1_fm :: "[i,i]=>i"
  "In1_fm(a,z) == Exists(And(empty_fm(0), pair_fm(0,succ(a),succ(z))))"

```

```

lemma In1_type [TC]:
  "[| x ∈ nat; z ∈ nat |] ==> In1_fm(x,z) ∈ formula"
⟨proof⟩

```

```

lemma sats_Inl_fm [simp]:
  "[| x ∈ nat; z ∈ nat; env ∈ list(A) |]
   ==> sats(A, Inl_fm(x,z), env) <-> is_Inl(##A, nth(x,env), nth(z,env))"
⟨proof⟩

```

```

lemma Inl_iff_sats:
  "[| nth(i,env) = x; nth(k,env) = z;
     i ∈ nat; k ∈ nat; env ∈ list(A) |]
   ==> is_Inl(##A, x, z) <-> sats(A, Inl_fm(i,k), env)"
⟨proof⟩

```

```

theorem Inl_reflection:
  "REFLECTS[λx. is_Inl(L,f(x),h(x)),
            λi x. is_Inl(##Lset(i),f(x),h(x))]"
⟨proof⟩

```

### 11.13.2 The Formula *is\_Inr*, Internalized

```

constdefs Inr_fm :: "[i,i]=>i"
  "Inr_fm(a,z) == Exists(And(number1_fm(0), pair_fm(0,succ(a),succ(z))))"

```

```

lemma Inr_type [TC]:
  "[| x ∈ nat; z ∈ nat |] ==> Inr_fm(x,z) ∈ formula"
⟨proof⟩

```

```

lemma sats_Inr_fm [simp]:
  "[| x ∈ nat; z ∈ nat; env ∈ list(A) |]
   ==> sats(A, Inr_fm(x,z), env) <-> is_Inr(##A, nth(x,env), nth(z,env))"
⟨proof⟩

```

```

lemma Inr_iff_sats:
  "[| nth(i,env) = x; nth(k,env) = z;
     i ∈ nat; k ∈ nat; env ∈ list(A) |]
   ==> is_Inr(##A, x, z) <-> sats(A, Inr_fm(i,k), env)"
⟨proof⟩

```

```

theorem Inr_reflection:
  "REFLECTS[λx. is_Inr(L,f(x),h(x)),
            λi x. is_Inr(##Lset(i),f(x),h(x))]"
⟨proof⟩

```

### 11.13.3 The Formula *is\_Nil*, Internalized

```

constdefs Nil_fm :: "i=>i"
  "Nil_fm(x) == Exists(And(empty_fm(0), Inl_fm(0,succ(x))))"

```

```

lemma Nil_type [TC]: "x ∈ nat ==> Nil_fm(x) ∈ formula"
⟨proof⟩

```

```

lemma sats_Nil_fm [simp]:
  "[| x ∈ nat; env ∈ list(A) |]
  ==> sats(A, Nil_fm(x), env) <-> is_Nil(##A, nth(x,env))"
⟨proof⟩

```

```

lemma Nil_iff_sats:
  "[| nth(i,env) = x; i ∈ nat; env ∈ list(A) |]
  ==> is_Nil(##A, x) <-> sats(A, Nil_fm(i), env)"
⟨proof⟩

```

```

theorem Nil_reflection:
  "REFLECTS[λx. is_Nil(L,f(x)),
  λi x. is_Nil(##Lset(i),f(x))]"
⟨proof⟩

```

#### 11.13.4 The Formula *is\_Cons*, Internalized

```

constdefs Cons_fm :: "[i,i,i]=>i"
  "Cons_fm(a,l,Z) ==
  Exists(And(pair_fm(succ(a),succ(l),0), Inr_fm(0,succ(Z))))"

```

```

lemma Cons_type [TC]:
  "[| x ∈ nat; y ∈ nat; z ∈ nat |] ==> Cons_fm(x,y,z) ∈ formula"
⟨proof⟩

```

```

lemma sats_Cons_fm [simp]:
  "[| x ∈ nat; y ∈ nat; z ∈ nat; env ∈ list(A) |]
  ==> sats(A, Cons_fm(x,y,z), env) <->
  is_Cons(##A, nth(x,env), nth(y,env), nth(z,env))"
⟨proof⟩

```

```

lemma Cons_iff_sats:
  "[| nth(i,env) = x; nth(j,env) = y; nth(k,env) = z;
  i ∈ nat; j ∈ nat; k ∈ nat; env ∈ list(A) |]
  ==> is_Cons(##A, x, y, z) <-> sats(A, Cons_fm(i,j,k), env)"
⟨proof⟩

```

```

theorem Cons_reflection:
  "REFLECTS[λx. is_Cons(L,f(x),g(x),h(x)),
  λi x. is_Cons(##Lset(i),f(x),g(x),h(x))]"
⟨proof⟩

```

#### 11.13.5 The Formula *is\_quasulist*, Internalized

```

constdefs quasulist_fm :: "i=>i"
  "quasulist_fm(x) ==
  Or(Nil_fm(x), Exists(Exists(Cons_fm(1,0,succ(succ(x))))))"

```

```

lemma quasulist_type [TC]: "x ∈ nat ==> quasulist_fm(x) ∈ formula"
⟨proof⟩

```

```

lemma sats_quasulist_fm [simp]:
  "[| x ∈ nat; env ∈ list(A)|]
  ==> sats(A, quasulist_fm(x), env) <-> is_quasulist(##A, nth(x,env))"
⟨proof⟩

```

```

lemma quasulist_iff_sats:
  "[| nth(i,env) = x; i ∈ nat; env ∈ list(A)|]
  ==> is_quasulist(##A, x) <-> sats(A, quasulist_fm(i), env)"
⟨proof⟩

```

```

theorem quasulist_reflection:
  "REFLECTS[λx. is_quasulist(L,f(x)),
  λi x. is_quasulist(##Lset(i),f(x))]"
⟨proof⟩

```

## 11.14 Absoluteness for the Function nth

### 11.14.1 The Formula is\_hd, Internalized

```

constdefs hd_fm :: "[i,i]=>i"
  "hd_fm(xs,H) ==
  And(Implies(Nil_fm(xs), empty_fm(H)),
  And(Forall(Forall(Or(Neg(Cons_fm(1,0,xs#+2))), Equal(H#+2,1)))),
  Or(quasulist_fm(xs), empty_fm(H)))"

```

```

lemma hd_type [TC]:
  "[| x ∈ nat; y ∈ nat |] ==> hd_fm(x,y) ∈ formula"
⟨proof⟩

```

```

lemma sats_hd_fm [simp]:
  "[| x ∈ nat; y ∈ nat; env ∈ list(A)|]
  ==> sats(A, hd_fm(x,y), env) <-> is_hd(##A, nth(x,env), nth(y,env))"
⟨proof⟩

```

```

lemma hd_iff_sats:
  "[| nth(i,env) = x; nth(j,env) = y;
  i ∈ nat; j ∈ nat; env ∈ list(A)|]
  ==> is_hd(##A, x, y) <-> sats(A, hd_fm(i,j), env)"
⟨proof⟩

```

```

theorem hd_reflection:
  "REFLECTS[λx. is_hd(L,f(x),g(x)),
  λi x. is_hd(##Lset(i),f(x),g(x))]"
⟨proof⟩

```

### 11.14.2 The Formula is\_t1, Internalized

```

constdefs t1_fm :: "[i,i]=>i"
  "t1_fm(xs,T) ==

```

```

And(Implies(Nil_fm(xs), Equal(T,xs)),
  And(Forall(Forall(Or(Neg(Cons_fm(1,0,xs#+2)), Equal(T#+2,0))),
    Or(quasilist_fm(xs), empty_fm(T))))"

```

```

lemma tl_type [TC]:
  "[| x ∈ nat; y ∈ nat |] ==> tl_fm(x,y) ∈ formula"
⟨proof⟩

```

```

lemma sats_tl_fm [simp]:
  "[| x ∈ nat; y ∈ nat; env ∈ list(A) |]
  ==> sats(A, tl_fm(x,y), env) <-> is_tl(##A, nth(x,env), nth(y,env))"
⟨proof⟩

```

```

lemma tl_iff_sats:
  "[| nth(i,env) = x; nth(j,env) = y;
  i ∈ nat; j ∈ nat; env ∈ list(A) |]
  ==> is_tl(##A, x, y) <-> sats(A, tl_fm(i,j), env)"
⟨proof⟩

```

```

theorem tl_reflection:
  "REFLECTS[λx. is_tl(L,f(x),g(x)),
  λi x. is_tl(##Lset(i),f(x),g(x))]"
⟨proof⟩

```

### 11.14.3 The Operator `is_bool_of_o`

The formula  $p$  has no free variables.

```

constdefs bool_of_o_fm :: "[i, i]=>i"
  "bool_of_o_fm(p,z) ==
  Or(And(p,number1_fm(z)),
  And(Neg(p),empty_fm(z)))"

```

```

lemma is_bool_of_o_type [TC]:
  "[| p ∈ formula; z ∈ nat |] ==> bool_of_o_fm(p,z) ∈ formula"
⟨proof⟩

```

```

lemma sats_bool_of_o_fm:
  assumes p_iff_sats: "P <-> sats(A, p, env)"
  shows
  "[| z ∈ nat; env ∈ list(A) |]
  ==> sats(A, bool_of_o_fm(p,z), env) <->
  is_bool_of_o(##A, P, nth(z,env))"
⟨proof⟩

```

```

lemma is_bool_of_o_iff_sats:
  "[| P <-> sats(A, p, env); nth(k,env) = z; k ∈ nat; env ∈ list(A) |]
  ==> is_bool_of_o(##A, P, z) <-> sats(A, bool_of_o_fm(p,k), env)"
⟨proof⟩

```

```

theorem bool_of_o_reflection:
  "REFLECTS [P(L),  $\lambda i. P(\#\text{Lset}(i))$ ] ==>
   REFLECTS [ $\lambda x. \text{is\_bool\_of\_o}(L, P(L,x), f(x)),$ 
     $\lambda i x. \text{is\_bool\_of\_o}(\#\text{Lset}(i), P(\#\text{Lset}(i),x), f(x))$ ]"
<proof>

```

## 11.15 More Internalizations

### 11.15.1 The Operator `is_lambda`

The two arguments of `p` are always 1, 0. Remember that `p` will be enclosed by three quantifiers.

```

constdefs lambda_fm :: "[i, i, i]=>i"
  "lambda_fm(p,A,z) ==
   Forall(Iff(Member(0,succ(z)),
    Exists(Exists(And(Member(1,A#+3),
    And(pair_fm(1,0,2), p))))))"

```

We call `p` with arguments `x`, `y` by equating them with the corresponding quantified variables with de Bruijn indices 1, 0.

```

lemma is_lambda_type [TC]:
  "[| p  $\in$  formula; x  $\in$  nat; y  $\in$  nat |]
  ==> lambda_fm(p,x,y)  $\in$  formula"
<proof>

```

```

lemma sats_lambda_fm:
  assumes is_b_iff_sats:
    "!!a0 a1 a2.
     [|a0 $\in$ A; a1 $\in$ A; a2 $\in$ A|]
     ==> is_b(a1, a0) <-> sats(A, p, Cons(a0,Cons(a1,Cons(a2,env))))"
  shows
    "[|x  $\in$  nat; y  $\in$  nat; env  $\in$  list(A)|]
     ==> sats(A, lambda_fm(p,x,y), env) <->
        is_lambda(##A, nth(x,env), is_b, nth(y,env))"
<proof>

```

```

theorem is_lambda_reflection:
  assumes is_b_reflection:
    "!!f g h. REFLECTS [ $\lambda x. \text{is\_b}(L, f(x), g(x), h(x)),$ 
      $\lambda i x. \text{is\_b}(\#\text{Lset}(i), f(x), g(x), h(x))$ ]"
  shows "REFLECTS [ $\lambda x. \text{is\_lambda}(L, A(x), \text{is\_b}(L,x), f(x)),$ 
      $\lambda i x. \text{is\_lambda}(\#\text{Lset}(i), A(x), \text{is\_b}(\#\text{Lset}(i),x), f(x))$ ]"
<proof>

```

### 11.15.2 The Operator `is_Member`, Internalized

```

constdefs Member_fm :: "[i,i,i]=>i"
  "Member_fm(x,y,Z) ==
   Exists(Exists(And(pair_fm(x#+2,y#+2,1),

```

And(Inl\_fm(1,0), Inl\_fm(0,Z#+2))))))"

**lemma** is\_Member\_type [TC]:

"[| x ∈ nat; y ∈ nat; z ∈ nat |] ==> Member\_fm(x,y,z) ∈ formula"  
 ⟨proof⟩

**lemma** sats\_Member\_fm [simp]:

"[| x ∈ nat; y ∈ nat; z ∈ nat; env ∈ list(A)|]  
 ==> sats(A, Member\_fm(x,y,z), env) <->  
 is\_Member(##A, nth(x,env), nth(y,env), nth(z,env))"  
 ⟨proof⟩

**lemma** Member\_iff\_sats:

"[| nth(i,env) = x; nth(j,env) = y; nth(k,env) = z;  
 i ∈ nat; j ∈ nat; k ∈ nat; env ∈ list(A)|]  
 ==> is\_Member(##A, x, y, z) <-> sats(A, Member\_fm(i,j,k), env)"  
 ⟨proof⟩

**theorem** Member\_reflection:

"REFLECTS[λx. is\_Member(L,f(x),g(x),h(x)),  
 λi x. is\_Member(##Lset(i),f(x),g(x),h(x))]"  
 ⟨proof⟩

### 11.15.3 The Operator is\_Equal, Internalized

**constdefs** Equal\_fm :: "[i,i,i]=>i"

"Equal\_fm(x,y,Z) ==  
 Exists(Exists(And(pair\_fm(x#+2,y#+2,1),  
 And(Inr\_fm(1,0), Inl\_fm(0,Z#+2))))))"

**lemma** is\_Equal\_type [TC]:

"[| x ∈ nat; y ∈ nat; z ∈ nat |] ==> Equal\_fm(x,y,z) ∈ formula"  
 ⟨proof⟩

**lemma** sats\_Equal\_fm [simp]:

"[| x ∈ nat; y ∈ nat; z ∈ nat; env ∈ list(A)|]  
 ==> sats(A, Equal\_fm(x,y,z), env) <->  
 is\_Equal(##A, nth(x,env), nth(y,env), nth(z,env))"  
 ⟨proof⟩

**lemma** Equal\_iff\_sats:

"[| nth(i,env) = x; nth(j,env) = y; nth(k,env) = z;  
 i ∈ nat; j ∈ nat; k ∈ nat; env ∈ list(A)|]  
 ==> is\_Equal(##A, x, y, z) <-> sats(A, Equal\_fm(i,j,k), env)"  
 ⟨proof⟩

**theorem** Equal\_reflection:

"REFLECTS[λx. is\_Equal(L,f(x),g(x),h(x)),  
 λi x. is\_Equal(##Lset(i),f(x),g(x),h(x))]"

*<proof>*

#### 11.15.4 The Operator *is\_Nand*, Internalized

```
constdefs Nand_fm :: "[i,i,i]=>i"
  "Nand_fm(x,y,Z) ==
    Exists(Exists(And(pair_fm(x#+2,y#+2,1),
      And(Inl_fm(1,0), Inr_fm(0,Z#+2))))))"
```

**lemma** *is\_Nand\_type* [TC]:

```
"[| x ∈ nat; y ∈ nat; z ∈ nat |] ==> Nand_fm(x,y,z) ∈ formula"
```

*<proof>*

**lemma** *sats\_Nand\_fm* [simp]:

```
"[| x ∈ nat; y ∈ nat; z ∈ nat; env ∈ list(A)|]
==> sats(A, Nand_fm(x,y,z), env) <->
  is_Nand(##A, nth(x,env), nth(y,env), nth(z,env))"
```

*<proof>*

**lemma** *Nand\_iff\_sats*:

```
"[| nth(i,env) = x; nth(j,env) = y; nth(k,env) = z;
  i ∈ nat; j ∈ nat; k ∈ nat; env ∈ list(A)|]
==> is_Nand(##A, x, y, z) <-> sats(A, Nand_fm(i,j,k), env)"
```

*<proof>*

**theorem** *Nand\_reflection*:

```
"REFLECTS[λx. is_Nand(L,f(x),g(x),h(x)),
  λi x. is_Nand(##Lset(i),f(x),g(x),h(x))]"
```

*<proof>*

#### 11.15.5 The Operator *is\_Forall*, Internalized

```
constdefs Forall_fm :: "[i,i]=>i"
  "Forall_fm(x,Z) ==
    Exists(And(Inr_fm(succ(x),0), Inr_fm(0,succ(Z))))"
```

**lemma** *is\_Forall\_type* [TC]:

```
"[| x ∈ nat; y ∈ nat |] ==> Forall_fm(x,y) ∈ formula"
```

*<proof>*

**lemma** *sats\_Forall\_fm* [simp]:

```
"[| x ∈ nat; y ∈ nat; env ∈ list(A)|]
==> sats(A, Forall_fm(x,y), env) <->
  is_Forall(##A, nth(x,env), nth(y,env))"
```

*<proof>*

**lemma** *Forall\_iff\_sats*:

```
"[| nth(i,env) = x; nth(j,env) = y;
  i ∈ nat; j ∈ nat; env ∈ list(A)|]
==> is_Forall(##A, x, y) <-> sats(A, Forall_fm(i,j), env)"
```

*<proof>*

**theorem** Forall\_reflection:

```
"REFLECTS[ $\lambda x.$  is_Forall(L,f(x),g(x)),  
   $\lambda i x.$  is_Forall(##Lset(i),f(x),g(x))]"
```

*<proof>*

### 11.15.6 The Operator *is\_and*, Internalized

**constdefs** and\_fm :: "[i,i,i]=>i"

```
"and_fm(a,b,z) ==  
  Or(And(number1_fm(a), Equal(z,b)),  
    And(Neg(number1_fm(a)), empty_fm(z)))"
```

**lemma** is\_and\_type [TC]:

```
"[ $\mid x \in \text{nat}; y \in \text{nat}; z \in \text{nat} \mid] \implies \text{and\_fm}(x,y,z) \in \text{formula}"$ 
```

*<proof>*

**lemma** sats\_and\_fm [simp]:

```
"[ $\mid x \in \text{nat}; y \in \text{nat}; z \in \text{nat}; \text{env} \in \text{list}(A) \mid]$   
  $\implies \text{sats}(A, \text{and\_fm}(x,y,z), \text{env}) \iff$   
   is_and(##A, nth(x,env), nth(y,env), nth(z,env))"
```

*<proof>*

**lemma** is\_and\_iff\_sats:

```
"[ $\mid \text{nth}(i,\text{env}) = x; \text{nth}(j,\text{env}) = y; \text{nth}(k,\text{env}) = z;$   
   $i \in \text{nat}; j \in \text{nat}; k \in \text{nat}; \text{env} \in \text{list}(A) \mid]$   
  $\implies \text{is\_and}(##A, x, y, z) \iff \text{sats}(A, \text{and\_fm}(i,j,k), \text{env})"$ 
```

*<proof>*

**theorem** is\_and\_reflection:

```
"REFLECTS[ $\lambda x.$  is_and(L,f(x),g(x),h(x)),  
   $\lambda i x.$  is_and(##Lset(i),f(x),g(x),h(x))]"
```

*<proof>*

### 11.15.7 The Operator *is\_or*, Internalized

**constdefs** or\_fm :: "[i,i,i]=>i"

```
"or_fm(a,b,z) ==  
  Or(And(number1_fm(a), number1_fm(z)),  
    And(Neg(number1_fm(a)), Equal(z,b)))"
```

**lemma** is\_or\_type [TC]:

```
"[ $\mid x \in \text{nat}; y \in \text{nat}; z \in \text{nat} \mid] \implies \text{or\_fm}(x,y,z) \in \text{formula}"$ 
```

*<proof>*

**lemma** sats\_or\_fm [simp]:

```
"[ $\mid x \in \text{nat}; y \in \text{nat}; z \in \text{nat}; \text{env} \in \text{list}(A) \mid]$   
  $\implies \text{sats}(A, \text{or\_fm}(x,y,z), \text{env}) \iff$   
   is_or(##A, nth(x,env), nth(y,env), nth(z,env))"
```

*<proof>*

**lemma** *is\_or\_iff\_sats*:

```
"[| nth(i,env) = x; nth(j,env) = y; nth(k,env) = z;
  i ∈ nat; j ∈ nat; k ∈ nat; env ∈ list(A) |]
==> is_or(##A, x, y, z) <-> sats(A, or_fm(i,j,k), env)"
```

*<proof>*

**theorem** *is\_or\_reflection*:

```
"REFLECTS[λx. is_or(L,f(x),g(x),h(x)),
  λi x. is_or(##Lset(i),f(x),g(x),h(x))]"
```

*<proof>*

### 11.15.8 The Operator *is\_not*, Internalized

**constdefs** *not\_fm* :: "[i,i]=>i"

```
"not_fm(a,z) ==
  Or(And(number1_fm(a), empty_fm(z)),
    And(Neg(number1_fm(a)), number1_fm(z)))"
```

**lemma** *is\_not\_type* [TC]:

```
"[| x ∈ nat; z ∈ nat |] ==> not_fm(x,z) ∈ formula"
```

*<proof>*

**lemma** *sats\_is\_not\_fm* [simp]:

```
"[| x ∈ nat; z ∈ nat; env ∈ list(A) |]
==> sats(A, not_fm(x,z), env) <-> is_not(##A, nth(x,env), nth(z,env))"
```

*<proof>*

**lemma** *is\_not\_iff\_sats*:

```
"[| nth(i,env) = x; nth(k,env) = z;
  i ∈ nat; k ∈ nat; env ∈ list(A) |]
==> is_not(##A, x, z) <-> sats(A, not_fm(i,k), env)"
```

*<proof>*

**theorem** *is\_not\_reflection*:

```
"REFLECTS[λx. is_not(L,f(x),g(x)),
  λi x. is_not(##Lset(i),f(x),g(x))]"
```

*<proof>*

**lemmas** *extra\_reflections* =

```
Inl_reflection Inr_reflection Nil_reflection Cons_reflection
quasulist_reflection hd_reflection tl_reflection bool_of_o_reflection
is_lambda_reflection Member_reflection Equal_reflection Nand_reflection
Forall_reflection is_and_reflection is_or_reflection is_not_reflection
```

## 11.16 Well-Founded Recursion!

### 11.16.1 The Operator $M_{is\_recfun}$

Alternative definition, minimizing nesting of quantifiers around MH

```
lemma  $M_{is\_recfun\_iff}$ :  
  " $M_{is\_recfun}(M, MH, r, a, f) \leftrightarrow$   
  ( $\forall z[M]. z \in f \leftrightarrow$   
  ( $\exists x[M]. \exists f\_r\_sx[M]. \exists y[M].$   
     $MH(x, f\_r\_sx, y) \& pair(M, x, y, z) \&$   
    ( $\exists xa[M]. \exists sx[M]. \exists r\_sx[M].$   
       $pair(M, x, a, xa) \& upair(M, x, x, sx) \&$   
       $pre\_image(M, r, sx, r\_sx) \& restriction(M, f, r\_sx, f\_r\_sx) \&$   
       $xa \in r$ )))"
```

*<proof>*

The three arguments of  $p$  are always 2, 1, 0 and  $z$

```
constdefs  $is\_recfun\_fm :: "[i, i, i, i] \Rightarrow i$ "  
  " $is\_recfun\_fm(p, r, a, f) ==$   
  Forall(Iff(Member(0, succ(f)),  
    Exists(Exists(Exists(  
      And(p,  
        And(pair_fm(2, 0, 3),  
          Exists(Exists(Exists(  
            And(pair_fm(5, a#+7, 2),  
              And(upair_fm(5, 5, 1),  
                And(pre_image_fm(r#+7, 1, 0),  
                  And(restriction_fm(f#+7, 0, 4), Member(2, r#+7))))))))))))))"
```

```
lemma  $is\_recfun\_type [TC]$ :  
  " $[| p \in formula; x \in nat; y \in nat; z \in nat |]$   
   $\Rightarrow is\_recfun\_fm(p, x, y, z) \in formula$ "
```

*<proof>*

```
lemma  $sats\_is\_recfun\_fm$ :  
  assumes  $MH\_iff\_sats$ :  
    " $!!a0 a1 a2 a3.$   
     $[| a0 \in A; a1 \in A; a2 \in A; a3 \in A |]$   
     $\Rightarrow MH(a2, a1, a0) \leftrightarrow sats(A, p, Cons(a0, Cons(a1, Cons(a2, Cons(a3, env))))))"$   
  shows  
    " $[| x \in nat; y \in nat; z \in nat; env \in list(A) |]$   
     $\Rightarrow sats(A, is\_recfun\_fm(p, x, y, z), env) \leftrightarrow$   
     $M_{is\_recfun}(\#A, MH, nth(x, env), nth(y, env), nth(z, env))"$ 
```

*<proof>*

```
lemma  $is\_recfun\_iff\_sats$ :  
  assumes  $MH\_iff\_sats$ :  
    " $!!a0 a1 a2 a3.$ 
```

```

      [|a0∈A; a1∈A; a2∈A; a3∈A|]
      ==> MH(a2, a1, a0) <-> sats(A, p, Cons(a0,Cons(a1,Cons(a2,Cons(a3,env))))))"
shows
  "[| nth(i,env) = x; nth(j,env) = y; nth(k,env) = z;
    i ∈ nat; j ∈ nat; k ∈ nat; env ∈ list(A)|]
  ==> M_is_recfun(##A, MH, x, y, z) <-> sats(A, is_recfun_fm(p,i,j,k),
env)"
<proof>

```

The additional variable in the premise, namely  $f'$ , is essential. It lets  $MH$  depend upon  $x$ , which seems often necessary. The same thing occurs in `is_wfrec_reflection`.

```

theorem is_recfun_reflection:
  assumes MH_reflection:
    "!!f' f g h. REFLECTS[λx. MH(L, f'(x), f(x), g(x), h(x)),
      λi x. MH(##Lset(i), f'(x), f(x), g(x), h(x))]"
  shows "REFLECTS[λx. M_is_recfun(L, MH(L,x), f(x), g(x), h(x)),
    λi x. M_is_recfun(##Lset(i), MH(##Lset(i),x), f(x), g(x),
h(x))]"
<proof>

```

### 11.16.2 The Operator `is_wfrec`

The three arguments of  $p$  are always 2, 1, 0;  $p$  is enclosed by 5 quantifiers.

```

constdefs is_wfrec_fm :: "[i, i, i, i]=>i"
  "is_wfrec_fm(p,r,a,z) ==
  Exists(And(is_recfun_fm(p, succ(r), succ(a), 0),
    Exists(Exists(Exists(Exists(
      And(Equal(2,a#+5), And(Equal(1,4), And(Equal(0,z#+5), p))))))))))"

```

We call  $p$  with arguments  $a, f, z$  by equating them with the corresponding quantified variables with de Bruijn indices 2, 1, 0.

There's an additional existential quantifier to ensure that the environments in both calls to  $MH$  have the same length.

```

lemma is_wfrec_type [TC]:
  "[| p ∈ formula; x ∈ nat; y ∈ nat; z ∈ nat |]
  ==> is_wfrec_fm(p,x,y,z) ∈ formula"
<proof>

```

```

lemma sats_is_wfrec_fm:
  assumes MH_iff_sats:
    "!!a0 a1 a2 a3 a4.
      [|a0∈A; a1∈A; a2∈A; a3∈A; a4∈A|]
      ==> MH(a2, a1, a0) <-> sats(A, p, Cons(a0,Cons(a1,Cons(a2,Cons(a3,Cons(a4,env))))))"
  shows
    "[|x ∈ nat; y < length(env); z < length(env); env ∈ list(A)|]
    ==> sats(A, is_wfrec_fm(p,x,y,z), env) <->

```

```

    is_wfrec(##A, MH, nth(x,env), nth(y,env), nth(z,env))"
⟨proof⟩

```

```

lemma is_wfrec_iff_sats:

```

```

  assumes MH_iff_sats:

```

```

    "!!a0 a1 a2 a3 a4.

```

```

    [|a0∈A; a1∈A; a2∈A; a3∈A; a4∈A|]

```

```

    ==> MH(a2, a1, a0) <-> sats(A, p, Cons(a0,Cons(a1,Cons(a2,Cons(a3,Cons(a4,env))))))"

```

```

  shows

```

```

    "[|nth(i,env) = x; nth(j,env) = y; nth(k,env) = z;

```

```

    i ∈ nat; j < length(env); k < length(env); env ∈ list(A)|]

```

```

    ==> is_wfrec(##A, MH, x, y, z) <-> sats(A, is_wfrec_fm(p,i,j,k), env)"

```

```

⟨proof⟩

```

```

theorem is_wfrec_reflection:

```

```

  assumes MH_reflection:

```

```

    "!!f' f g h. REFLECTS[λx. MH(L, f'(x), f(x), g(x), h(x)),

```

```

    λi x. MH(##Lset(i), f'(x), f(x), g(x), h(x))]"

```

```

  shows "REFLECTS[λx. is_wfrec(L, MH(L,x), f(x), g(x), h(x)),

```

```

    λi x. is_wfrec(##Lset(i), MH(##Lset(i),x), f(x), g(x),

```

```

    h(x))]"

```

```

⟨proof⟩

```

## 11.17 For Datatypes

### 11.17.1 Binary Products, Internalized

```

constdefs cartprod_fm :: "[i,i,i]=>i"

```

```

  "cartprod_fm(A,B,z) ==

```

```

    Forall(Iff(Member(0,succ(z)),

```

```

        Exists(And(Member(0,succ(succ(A))),

```

```

            Exists(And(Member(0,succ(succ(succ(B))),

```

```

                pair_fm(1,0,2))))))"

```

```

lemma cartprod_type [TC]:

```

```

  "[| x ∈ nat; y ∈ nat; z ∈ nat |] ==> cartprod_fm(x,y,z) ∈ formula"

```

```

⟨proof⟩

```

```

lemma sats_cartprod_fm [simp]:

```

```

  "[| x ∈ nat; y ∈ nat; z ∈ nat; env ∈ list(A)|]

```

```

  ==> sats(A, cartprod_fm(x,y,z), env) <->

```

```

    cartprod(##A, nth(x,env), nth(y,env), nth(z,env))"

```

```

⟨proof⟩

```

```

lemma cartprod_iff_sats:

```

```

  "[| nth(i,env) = x; nth(j,env) = y; nth(k,env) = z;

```

```

    i ∈ nat; j ∈ nat; k ∈ nat; env ∈ list(A)|]

```

$\Rightarrow \text{cartprod}(\#\#A, x, y, z) \leftrightarrow \text{sats}(A, \text{cartprod\_fm}(i,j,k), \text{env})$ "  
 <proof>

**theorem** *cartprod\_reflection*:

"REFLECTS[ $\lambda x. \text{cartprod}(L, f(x), g(x), h(x)),$   
 $\lambda i x. \text{cartprod}(\#\#L\text{set}(i), f(x), g(x), h(x))$ ]"

<proof>

### 11.17.2 Binary Sums, Internalized

**constdefs** *sum\_fm* :: " $i, i, i \Rightarrow i$ "

"*sum\_fm*(A,B,Z) ==  
 Exists(Exists(Exists(Exists(  
 And(number1\_fm(2),  
 And(cartprod\_fm(2,A#+4,3),  
 And(upair\_fm(2,2,1),  
 And(cartprod\_fm(1,B#+4,0), union\_fm(3,0,Z#+4))))))))"

**lemma** *sum\_type* [TC]:

"[ $x \in \text{nat}; y \in \text{nat}; z \in \text{nat}$ ]  $\Rightarrow \text{sum\_fm}(x,y,z) \in \text{formula}$ "

<proof>

**lemma** *sats\_sum\_fm* [simp]:

"[ $x \in \text{nat}; y \in \text{nat}; z \in \text{nat}; \text{env} \in \text{list}(A)$ ]  
 $\Rightarrow \text{sats}(A, \text{sum\_fm}(x,y,z), \text{env}) \leftrightarrow$   
 $\text{is\_sum}(\#\#A, \text{nth}(x,\text{env}), \text{nth}(y,\text{env}), \text{nth}(z,\text{env}))$ "

<proof>

**lemma** *sum\_iff\_sats*:

"[ $\text{nth}(i,\text{env}) = x; \text{nth}(j,\text{env}) = y; \text{nth}(k,\text{env}) = z;$   
 $i \in \text{nat}; j \in \text{nat}; k \in \text{nat}; \text{env} \in \text{list}(A)$ ]  
 $\Rightarrow \text{is\_sum}(\#\#A, x, y, z) \leftrightarrow \text{sats}(A, \text{sum\_fm}(i,j,k), \text{env})$ "

<proof>

**theorem** *sum\_reflection*:

"REFLECTS[ $\lambda x. \text{is\_sum}(L, f(x), g(x), h(x)),$   
 $\lambda i x. \text{is\_sum}(\#\#L\text{set}(i), f(x), g(x), h(x))$ ]"

<proof>

### 11.17.3 The Operator *quasinat*

**constdefs** *quasinat\_fm* :: " $i \Rightarrow i$ "

"*quasinat\_fm*(z) == Or(empty\_fm(z), Exists(succ\_fm(0,succ(z))))"

**lemma** *quasinat\_type* [TC]:

" $x \in \text{nat} \Rightarrow \text{quasinat\_fm}(x) \in \text{formula}$ "

<proof>

**lemma** *sats\_quasinat\_fm* [simp]:

"[ $x \in \text{nat}; \text{env} \in \text{list}(A)$ ]"

```

    ==> sats(A, quasinat_fm(x), env) <-> is_quasinat(##A, nth(x,env))"
  <proof>

```

```

lemma quasinat_iff_sats:
  "[| nth(i,env) = x; nth(j,env) = y;
    i ∈ nat; env ∈ list(A)|]
  ==> is_quasinat(##A, x) <-> sats(A, quasinat_fm(i), env)"
  <proof>

```

```

theorem quasinat_reflection:
  "REFLECTS[λx. is_quasinat(L,f(x)),
    λi x. is_quasinat(##Lset(i),f(x))]"
  <proof>

```

#### 11.17.4 The Operator `is_nat_case`

I could not get it to work with the more natural assumption that `is_b` takes two arguments. Instead it must be a formula where 1 and 0 stand for  $m$  and  $b$ , respectively.

The formula `is_b` has free variables 1 and 0.

```

constdefs is_nat_case_fm :: "[i, i, i, i]=>i"
  "is_nat_case_fm(a,is_b,k,z) ==
    And(Implies(empty_fm(k), Equal(z,a)),
      And(Forall(Implies(succ_fm(0,succ(k)),
        Forall(Implies(Equal(0,succ(succ(z))), is_b))),
        Or(quasinat_fm(k), empty_fm(z)))))"

```

```

lemma is_nat_case_type [TC]:
  "[| is_b ∈ formula;
    x ∈ nat; y ∈ nat; z ∈ nat |]
  ==> is_nat_case_fm(x,is_b,y,z) ∈ formula"
  <proof>

```

```

lemma sats_is_nat_case_fm:
  assumes is_b_iff_sats:
    "!!a. a ∈ A ==> is_b(a,nth(z, env)) <->
      sats(A, p, Cons(nth(z,env), Cons(a, env)))"
  shows
    "[|x ∈ nat; y ∈ nat; z < length(env); env ∈ list(A)|]
    ==> sats(A, is_nat_case_fm(x,p,y,z), env) <->
      is_nat_case(##A, nth(x,env), is_b, nth(y,env), nth(z,env))"
  <proof>

```

```

lemma is_nat_case_iff_sats:
  "[| (!!a. a ∈ A ==> is_b(a,z) <->
    sats(A, p, Cons(z, Cons(a,env)))));
    nth(i,env) = x; nth(j,env) = y; nth(k,env) = z;
    i ∈ nat; j ∈ nat; k < length(env); env ∈ list(A)|]

```

```

    ==> is_nat_case(##A, x, is_b, y, z) <-> sats(A, is_nat_case_fm(i,p,j,k),
env)"
<proof>

```

The second argument of `is_b` gives it direct access to `x`, which is essential for handling free variable references. Without this argument, we cannot prove reflection for `iterates_MH`.

```

theorem is_nat_case_reflection:
  assumes is_b_reflection:
    "!!h f g. REFLECTS[λx. is_b(L, h(x), f(x), g(x)),
      λi x. is_b(##Lset(i), h(x), f(x), g(x))]"
  shows "REFLECTS[λx. is_nat_case(L, f(x), is_b(L,x), g(x), h(x)),
    λi x. is_nat_case(##Lset(i), f(x), is_b(##Lset(i), x),
g(x), h(x))]"
<proof>

```

## 11.18 The Operator `iterates_MH`, Needed for Iteration

```

constdefs iterates_MH_fm :: "[i, i, i, i, i]=>i"
  "iterates_MH_fm(isF,v,n,g,z) ==
  is_nat_case_fm(v,
    Exists(And(fun_apply_fm(succ(succ(succ(g))),2,0),
      Forall(Implies(Equal(0,2), isF))))),
  n, z)"

```

```

lemma iterates_MH_type [TC]:
  "[| p ∈ formula;
  v ∈ nat; x ∈ nat; y ∈ nat; z ∈ nat |]
  ==> iterates_MH_fm(p,v,x,y,z) ∈ formula"
<proof>

```

```

lemma sats_iterates_MH_fm:
  assumes is_F_iff_sats:
    "!!a b c d. [| a ∈ A; b ∈ A; c ∈ A; d ∈ A|]
      ==> is_F(a,b) <->
        sats(A, p, Cons(b, Cons(a, Cons(c, Cons(d,env)))))"
  shows
    "[|v ∈ nat; x ∈ nat; y ∈ nat; z < length(env); env ∈ list(A)|]
      ==> sats(A, iterates_MH_fm(p,v,x,y,z), env) <->
        iterates_MH(##A, is_F, nth(v,env), nth(x,env), nth(y,env),
nth(z,env))"
<proof>

```

```

lemma iterates_MH_iff_sats:
  assumes is_F_iff_sats:
    "!!a b c d. [| a ∈ A; b ∈ A; c ∈ A; d ∈ A|]
      ==> is_F(a,b) <->
        sats(A, p, Cons(b, Cons(a, Cons(c, Cons(d,env)))))"
  shows

```

```

"[/ nth(i',env) = v; nth(i,env) = x; nth(j,env) = y; nth(k,env) = z;

  i' ∈ nat; i ∈ nat; j ∈ nat; k < length(env); env ∈ list(A)]
==> iterates_MH(##A, is_F, v, x, y, z) <->
  sats(A, iterates_MH_fm(p,i',i,j,k), env)"
<proof>

```

The second argument of  $p$  gives it direct access to  $x$ , which is essential for handling free variable references. Without this argument, we cannot prove reflection for  $list\_N$ .

**theorem** *iterates\_MH\_reflection*:

```

  assumes p_reflection:
    "!!f g h. REFLECTS[λx. p(L, h(x), f(x), g(x)),
      λi x. p(##Lset(i), h(x), f(x), g(x))]"
  shows "REFLECTS[λx. iterates_MH(L, p(L,x), e(x), f(x), g(x), h(x)),
    λi x. iterates_MH(##Lset(i), p(##Lset(i),x), e(x), f(x),
g(x), h(x))]"
<proof>

```

### 11.18.1 The Operator *is\_iterates*

The three arguments of  $p$  are always 2, 1, 0;  $p$  is enclosed by 9 (??) quantifiers.

```

constdefs is_iterates_fm :: "[i, i, i, i]=>i"
  "is_iterates_fm(p,v,n,Z) ==
    Exists(Exists(
      And(succ_fm(n#+2,1),
        And(Memrel_fm(1,0),
          is_wfrec_fm(iterates_MH_fm(p, v#+7, 2, 1, 0),
            0, n#+2, Z#+2))))))"

```

We call  $p$  with arguments  $a, f, z$  by equating them with the corresponding quantified variables with de Bruijn indices 2, 1, 0.

**lemma** *is\_iterates\_type* [TC]:

```

"[/ p ∈ formula; x ∈ nat; y ∈ nat; z ∈ nat ]
==> is_iterates_fm(p,x,y,z) ∈ formula"
<proof>

```

**lemma** *sats\_is\_iterates\_fm*:

```

  assumes is_F_iff_sats:
    "!!a b c d e f g h i j k.
      [/ a ∈ A; b ∈ A; c ∈ A; d ∈ A; e ∈ A; f ∈ A;
        g ∈ A; h ∈ A; i ∈ A; j ∈ A; k ∈ A/]
    ==> is_F(a,b) <->
      sats(A, p, Cons(b, Cons(a, Cons(c, Cons(d, Cons(e, Cons(f,
        Cons(g, Cons(h, Cons(i, Cons(j, Cons(k, env))))))))))"
  shows

```

```

    "[| x ∈ nat; y < length(env); z < length(env); env ∈ list(A) |]
    ==> sats(A, is_iterates_fm(p,x,y,z), env) <->
    is_iterates(##A, is_F, nth(x,env), nth(y,env), nth(z,env))"
  <proof>

```

**lemma** *is\_iterates\_iff\_sats*:

**assumes** *is\_F\_iff\_sats*:

"!!a b c d e f g h i j k.

[| a ∈ A; b ∈ A; c ∈ A; d ∈ A; e ∈ A; f ∈ A;

g ∈ A; h ∈ A; i ∈ A; j ∈ A; k ∈ A |]

==> *is\_F*(a,b) <->

sats(A, p, Cons(b, Cons(a, Cons(c, Cons(d, Cons(e, Cons(f,

Cons(g, Cons(h, Cons(i, Cons(j, Cons(k, env)))))))))))))"

**shows**

"[| nth(i,env) = x; nth(j,env) = y; nth(k,env) = z;

i ∈ nat; j < length(env); k < length(env); env ∈ list(A) |]

==> *is\_iterates*(##A, *is\_F*, x, y, z) <->

sats(A, *is\_iterates\_fm*(p,i,j,k), env)"

<proof>

The second argument of *p* gives it direct access to *x*, which is essential for handling free variable references. Without this argument, we cannot prove reflection for *list\_N*.

**theorem** *is\_iterates\_reflection*:

**assumes** *p\_reflection*:

"!!f g h. REFLECTS[λx. p(L, h(x), f(x), g(x)),

λi x. p(##Lset(i), h(x), f(x), g(x))]"

**shows** "REFLECTS[λx. *is\_iterates*(L, p(L,x), f(x), g(x), h(x)),

λi x. *is\_iterates*(##Lset(i), p(##Lset(i),x), f(x), g(x),

h(x))]"

<proof>

### 11.18.2 The Formula *is\_eclose\_n*, Internalized

**constdefs** *eclose\_n\_fm* :: "[i,i,i]=>i"

"*eclose\_n\_fm*(A,n,Z) == *is\_iterates\_fm*(big\_union\_fm(1,0), A, n, Z)"

**lemma** *eclose\_n\_fm\_type* [TC]:

"[| x ∈ nat; y ∈ nat; z ∈ nat |] ==> *eclose\_n\_fm*(x,y,z) ∈ formula"

<proof>

**lemma** *sats\_eclose\_n\_fm* [simp]:

"[| x ∈ nat; y < length(env); z < length(env); env ∈ list(A) |]

==> sats(A, *eclose\_n\_fm*(x,y,z), env) <->

*is\_eclose\_n*(##A, nth(x,env), nth(y,env), nth(z,env))"

<proof>

```

lemma eclose_n_iff_sats:
  "[| nth(i,env) = x; nth(j,env) = y; nth(k,env) = z;
    i ∈ nat; j < length(env); k < length(env); env ∈ list(A) |]
  ==> is_eclose_n(##A, x, y, z) <-> sats(A, eclose_n_fm(i,j,k), env)"
<proof>

```

```

theorem eclose_n_reflection:
  "REFLECTS[λx. is_eclose_n(L, f(x), g(x), h(x)),
    λi x. is_eclose_n(##Lset(i), f(x), g(x), h(x))]"
<proof>

```

### 11.18.3 Membership in $\text{eclose}(A)$

```

constdefs mem_eclose_fm :: "[i,i]=>i"
  "mem_eclose_fm(x,y) ==
  Exists(Exists(
    And(finite_ordinal_fm(1),
      And(eclose_n_fm(x#+2,1,0), Member(y#+2,0)))))"

```

```

lemma mem_eclose_type [TC]:
  "[| x ∈ nat; y ∈ nat |] ==> mem_eclose_fm(x,y) ∈ formula"
<proof>

```

```

lemma sats_mem_eclose_fm [simp]:
  "[| x ∈ nat; y ∈ nat; env ∈ list(A) |]
  ==> sats(A, mem_eclose_fm(x,y), env) <-> mem_eclose(##A, nth(x,env),
  nth(y,env))"
<proof>

```

```

lemma mem_eclose_iff_sats:
  "[| nth(i,env) = x; nth(j,env) = y;
    i ∈ nat; j ∈ nat; env ∈ list(A) |]
  ==> mem_eclose(##A, x, y) <-> sats(A, mem_eclose_fm(i,j), env)"
<proof>

```

```

theorem mem_eclose_reflection:
  "REFLECTS[λx. mem_eclose(L,f(x),g(x)),
    λi x. mem_eclose(##Lset(i),f(x),g(x))]"
<proof>

```

### 11.18.4 The Predicate “Is $\text{eclose}(A)$ ”

```

constdefs is_eclose_fm :: "[i,i]=>i"
  "is_eclose_fm(A,Z) ==
  Forall(Iff(Member(0,succ(Z)), mem_eclose_fm(succ(A),0)))"

```

```

lemma is_eclose_type [TC]:
  "[| x ∈ nat; y ∈ nat |] ==> is_eclose_fm(x,y) ∈ formula"
<proof>

```

```

lemma sats_is_eclose_fm [simp]:
  "[| x ∈ nat; y ∈ nat; env ∈ list(A) |]
   ==> sats(A, is_eclose_fm(x,y), env) <-> is_eclose(##A, nth(x,env),
nth(y,env))"
  <proof>

```

```

lemma is_eclose_iff_sats:
  "[| nth(i,env) = x; nth(j,env) = y;
   i ∈ nat; j ∈ nat; env ∈ list(A) |]
   ==> is_eclose(##A, x, y) <-> sats(A, is_eclose_fm(i,j), env)"
  <proof>

```

```

theorem is_eclose_reflection:
  "REFLECTS[λx. is_eclose(L,f(x),g(x)),
   λi x. is_eclose(##Lset(i),f(x),g(x))]"
  <proof>

```

### 11.18.5 The List Functor, Internalized

```

constdefs list_functor_fm :: "[i,i,i]=>i"

```

```

  "list_functor_fm(A,X,Z) ==
   Exists(Exists(
     And(number1_fm(1),
       And(cartprod_fm(A#+2,X#+2,0), sum_fm(1,0,Z#+2)))))"

```

```

lemma list_functor_type [TC]:
  "[| x ∈ nat; y ∈ nat; z ∈ nat |] ==> list_functor_fm(x,y,z) ∈ formula"
  <proof>

```

```

lemma sats_list_functor_fm [simp]:
  "[| x ∈ nat; y ∈ nat; z ∈ nat; env ∈ list(A) |]
   ==> sats(A, list_functor_fm(x,y,z), env) <->
   is_list_functor(##A, nth(x,env), nth(y,env), nth(z,env))"
  <proof>

```

```

lemma list_functor_iff_sats:
  "[| nth(i,env) = x; nth(j,env) = y; nth(k,env) = z;
   i ∈ nat; j ∈ nat; k ∈ nat; env ∈ list(A) |]
   ==> is_list_functor(##A, x, y, z) <-> sats(A, list_functor_fm(i,j,k),
env)"
  <proof>

```

```

theorem list_functor_reflection:
  "REFLECTS[λx. is_list_functor(L,f(x),g(x),h(x)),
   λi x. is_list_functor(##Lset(i),f(x),g(x),h(x))]"
  <proof>

```

### 11.18.6 The Formula `is_list_N`, Internalized

```
constdefs list_N_fm :: "[i,i,i]=>i"
  "list_N_fm(A,n,Z) ==
    Exists(
      And(empty_fm(0),
          is_iterates_fm(list_functor_fm(A#+9#+3,1,0), 0, n#+1, Z#+1)))"
```

```
lemma list_N_fm_type [TC]:
  "[| x ∈ nat; y ∈ nat; z ∈ nat |] ==> list_N_fm(x,y,z) ∈ formula"
  <proof>
```

```
lemma sats_list_N_fm [simp]:
  "[| x ∈ nat; y < length(env); z < length(env); env ∈ list(A)|]
  ==> sats(A, list_N_fm(x,y,z), env) <->
    is_list_N(##A, nth(x,env), nth(y,env), nth(z,env))"
  <proof>
```

```
lemma list_N_iff_sats:
  "[| nth(i,env) = x; nth(j,env) = y; nth(k,env) = z;
    i ∈ nat; j < length(env); k < length(env); env ∈ list(A)|]
  ==> is_list_N(##A, x, y, z) <-> sats(A, list_N_fm(i,j,k), env)"
  <proof>
```

```
theorem list_N_reflection:
  "REFLECTS[λx. is_list_N(L, f(x), g(x), h(x)),
    λi x. is_list_N(##Lset(i), f(x), g(x), h(x))]"
  <proof>
```

### 11.18.7 The Predicate “Is A List”

```
constdefs mem_list_fm :: "[i,i]=>i"
  "mem_list_fm(x,y) ==
    Exists(Exists(
      And(finite_ordinal_fm(1),
          And(list_N_fm(x#+2,1,0), Member(y#+2,0)))))"
```

```
lemma mem_list_type [TC]:
  "[| x ∈ nat; y ∈ nat |] ==> mem_list_fm(x,y) ∈ formula"
  <proof>
```

```
lemma sats_mem_list_fm [simp]:
  "[| x ∈ nat; y ∈ nat; env ∈ list(A)|]
  ==> sats(A, mem_list_fm(x,y), env) <-> mem_list(##A, nth(x,env), nth(y,env))"
  <proof>
```

```
lemma mem_list_iff_sats:
  "[| nth(i,env) = x; nth(j,env) = y;
    i ∈ nat; j ∈ nat; env ∈ list(A)|]
  ==> mem_list(##A, x, y) <-> sats(A, mem_list_fm(i,j), env)"
```

*<proof>*

```
theorem mem_list_reflection:  
  "REFLECTS[ $\lambda x.$  mem_list(L,f(x),g(x)),  
     $\lambda i x.$  mem_list(##Lset(i),f(x),g(x))]"
```

*<proof>*

### 11.18.8 The Predicate "Is list(A)"

```
constdefs is_list_fm :: "[i,i]=>i"  
  "is_list_fm(A,Z) ==  
    Forall(Iff(Member(0,succ(Z)), mem_list_fm(succ(A),0)))"
```

```
lemma is_list_type [TC]:  
  "[ $\mid x \in \text{nat}; y \in \text{nat} \mid] \implies \text{is\_list\_fm}(x,y) \in \text{formula}"$ 
```

*<proof>*

```
lemma sats_is_list_fm [simp]:  
  "[ $\mid x \in \text{nat}; y \in \text{nat}; \text{env} \in \text{list}(A) \mid] \implies \text{sats}(A, \text{is\_list\_fm}(x,y), \text{env}) \leftrightarrow \text{is\_list}(\#\#A, \text{nth}(x,\text{env}), \text{nth}(y,\text{env}))"$ 
```

*<proof>*

```
lemma is_list_iff_sats:  
  "[ $\mid \text{nth}(i,\text{env}) = x; \text{nth}(j,\text{env}) = y;$   
     $i \in \text{nat}; j \in \text{nat}; \text{env} \in \text{list}(A) \mid] \implies \text{is\_list}(\#\#A, x, y) \leftrightarrow \text{sats}(A, \text{is\_list\_fm}(i,j), \text{env})"$ 
```

*<proof>*

```
theorem is_list_reflection:  
  "REFLECTS[ $\lambda x.$  is_list(L,f(x),g(x)),  
     $\lambda i x.$  is_list(##Lset(i),f(x),g(x))]"
```

*<proof>*

### 11.18.9 The Formula Functor, Internalized

```
constdefs formula_functor_fm :: "[i,i]=>i"  
  
  "formula_functor_fm(X,Z) ==  
    Exists(Exists(Exists(Exists(Exists(  
      And(omega_fm(4),  
      And(cartprod_fm(4,4,3),  
      And(sum_fm(3,3,2),  
      And(cartprod_fm(X#+5,X#+5,1),  
      And(sum_fm(1,X#+5,0), sum_fm(2,0,Z#+5))))))))))"
```

```
lemma formula_functor_type [TC]:  
  "[ $\mid x \in \text{nat}; y \in \text{nat} \mid] \implies \text{formula\_functor\_fm}(x,y) \in \text{formula}"$ 
```

*<proof>*

```
lemma sats_formula_functor_fm [simp]:
```

```

    "[| x ∈ nat; y ∈ nat; env ∈ list(A) |]
    ==> sats(A, formula_functor_fm(x,y), env) <->
        is_formula_functor(##A, nth(x,env), nth(y,env))"
⟨proof⟩

```

```

lemma formula_functor_iff_sats:
    "[| nth(i,env) = x; nth(j,env) = y;
        i ∈ nat; j ∈ nat; env ∈ list(A) |]
    ==> is_formula_functor(##A, x, y) <-> sats(A, formula_functor_fm(i,j),
env)"
⟨proof⟩

```

```

theorem formula_functor_reflection:
    "REFLECTS[λx. is_formula_functor(L,f(x),g(x)),
        λi x. is_formula_functor(##Lset(i),f(x),g(x))]"
⟨proof⟩

```

### 11.18.10 The Formula *is\_formula\_N*, Internalized

```

constdefs formula_N_fm :: "[i,i]=>i"
    "formula_N_fm(n,Z) ==
        Exists(
            And(empty_fm(0),
                is_iterates_fm(formula_functor_fm(1,0), 0, n#+1, Z#+1)))"

```

```

lemma formula_N_fm_type [TC]:
    "[| x ∈ nat; y ∈ nat |] ==> formula_N_fm(x,y) ∈ formula"
⟨proof⟩

```

```

lemma sats_formula_N_fm [simp]:
    "[| x < length(env); y < length(env); env ∈ list(A) |]
    ==> sats(A, formula_N_fm(x,y), env) <->
        is_formula_N(##A, nth(x,env), nth(y,env))"
⟨proof⟩

```

```

lemma formula_N_iff_sats:
    "[| nth(i,env) = x; nth(j,env) = y;
        i < length(env); j < length(env); env ∈ list(A) |]
    ==> is_formula_N(##A, x, y) <-> sats(A, formula_N_fm(i,j), env)"
⟨proof⟩

```

```

theorem formula_N_reflection:
    "REFLECTS[λx. is_formula_N(L, f(x), g(x)),
        λi x. is_formula_N(##Lset(i), f(x), g(x))]"
⟨proof⟩

```

### 11.18.11 The Predicate “Is A Formula”

```

constdefs mem_formula_fm :: "i=>i"
    "mem_formula_fm(x) ==

```

```

    Exists(Exists(
      And(finite_ordinal_fm(1),
        And(formula_N_fm(1,0), Member(x#+2,0))))))"

lemma mem_formula_type [TC]:
  "x ∈ nat ==> mem_formula_fm(x) ∈ formula"
⟨proof⟩

lemma sats_mem_formula_fm [simp]:
  "[| x ∈ nat; env ∈ list(A)|]
  ==> sats(A, mem_formula_fm(x), env) <-> mem_formula(##A, nth(x,env))"
⟨proof⟩

lemma mem_formula_iff_sats:
  "[| nth(i,env) = x; i ∈ nat; env ∈ list(A)|]
  ==> mem_formula(##A, x) <-> sats(A, mem_formula_fm(i), env)"
⟨proof⟩

theorem mem_formula_reflection:
  "REFLECTS[λx. mem_formula(L,f(x)),
    λi x. mem_formula(##Lset(i),f(x))]"
⟨proof⟩

11.18.12 The Predicate “Is formula”

constdefs is_formula_fm :: "i=>i"
  "is_formula_fm(Z) == Forall(Iff(Member(0,succ(Z)), mem_formula_fm(0)))"

lemma is_formula_type [TC]:
  "x ∈ nat ==> is_formula_fm(x) ∈ formula"
⟨proof⟩

lemma sats_is_formula_fm [simp]:
  "[| x ∈ nat; env ∈ list(A)|]
  ==> sats(A, is_formula_fm(x), env) <-> is_formula(##A, nth(x,env))"
⟨proof⟩

lemma is_formula_iff_sats:
  "[| nth(i,env) = x; i ∈ nat; env ∈ list(A)|]
  ==> is_formula(##A, x) <-> sats(A, is_formula_fm(i), env)"
⟨proof⟩

theorem is_formula_reflection:
  "REFLECTS[λx. is_formula(L,f(x)),
    λi x. is_formula(##Lset(i),f(x))]"
⟨proof⟩

```

### 11.18.13 The Operator *is\_transrec*

The three arguments of *p* are always 2, 1, 0. It is buried within eight quantifiers! We call *p* with arguments *a*, *f*, *z* by equating them with the corresponding quantified variables with de Bruijn indices 2, 1, 0.

```

constdefs is_transrec_fm :: "[i, i, i]=>i"
  "is_transrec_fm(p,a,z) ==
    Exists(Exists(Exists(
      And(upair_fm(a#+3,a#+3,2),
        And(is_eclose_fm(2,1),
          And(Memrel_fm(1,0), is_wfrec_fm(p,0,a#+3,z#+3)))))))))"

lemma is_transrec_type [TC]:
  "[| p ∈ formula; x ∈ nat; z ∈ nat |]
  ==> is_transrec_fm(p,x,z) ∈ formula"
⟨proof⟩

lemma sats_is_transrec_fm:
  assumes MH_iff_sats:
    "!!a0 a1 a2 a3 a4 a5 a6 a7.
      [|a0∈A; a1∈A; a2∈A; a3∈A; a4∈A; a5∈A; a6∈A; a7∈A|]
      ==> MH(a2, a1, a0) <->
        sats(A, p, Cons(a0,Cons(a1,Cons(a2,Cons(a3,
          Cons(a4,Cons(a5,Cons(a6,Cons(a7,env))))))))))"
  shows
    "[|x < length(env); z < length(env); env ∈ list(A)|]
    ==> sats(A, is_transrec_fm(p,x,z), env) <->
      is_transrec(##A, MH, nth(x,env), nth(z,env))"
⟨proof⟩

lemma is_transrec_iff_sats:
  assumes MH_iff_sats:
    "!!a0 a1 a2 a3 a4 a5 a6 a7.
      [|a0∈A; a1∈A; a2∈A; a3∈A; a4∈A; a5∈A; a6∈A; a7∈A|]
      ==> MH(a2, a1, a0) <->
        sats(A, p, Cons(a0,Cons(a1,Cons(a2,Cons(a3,
          Cons(a4,Cons(a5,Cons(a6,Cons(a7,env))))))))))"
  shows
    "[|nth(i,env) = x; nth(k,env) = z;
      i < length(env); k < length(env); env ∈ list(A)|]
    ==> is_transrec(##A, MH, x, z) <-> sats(A, is_transrec_fm(p,i,k), env)"
⟨proof⟩

theorem is_transrec_reflection:
  assumes MH_reflection:
    "!!f' f g h. REFLECTS[λx. MH(L, f'(x), f(x), g(x), h(x)),

```

```

      λi x. MH(##Lset(i), f'(x), f(x), g(x), h(x))]"
shows "REFLECTS[λx. is_transrec(L, MH(L,x), f(x), h(x)),
      λi x. is_transrec(##Lset(i), MH(##Lset(i),x), f(x), h(x))]"
⟨proof⟩

end

```

## 12 Separation for Facts About Recursion

**theory** *Rec\_Separation* **imports** *Separation Internalize* **begin**

This theory proves all instances needed for locales *M\_trancl* and *M\_datatypes*

**lemma** *eq\_succ\_imp\_lt*: "[| i = succ(j); Ord(i) |] ==> j < i"  
 ⟨proof⟩

### 12.1 The Locale *M\_trancl*

#### 12.1.1 Separation for Reflexive/Transitive Closure

First, The Defining Formula

```

constdefs rtran_closure_mem_fm :: "[i,i,i]=>i"
rtran_closure_mem_fm(A,r,p) ==
  Exists(Exists(Exists(
    And(omega_fm(2),
      And(Member(1,2),
        And(succ_fm(1,0),
          Exists(And(typed_function_fm(1, A#+4, 0),
            And(Exists(Exists(Exists(
              And(pair_fm(2,1,p#+7),
                And(empty_fm(0),
                  And(fun_apply_fm(3,0,2), fun_apply_fm(3,5,1))))))),
            Forall(Implies(Member(0,3),
              Exists(Exists(Exists(Exists(
                And(fun_apply_fm(5,4,3),
                  And(succ_fm(4,2),
                    And(fun_apply_fm(5,2,1),
                      And(pair_fm(3,1,0), Member(0,r#+9)))))))))))))))))))))"

```

**lemma** *rtran\_closure\_mem\_type* [TC]:  
 "[| x ∈ nat; y ∈ nat; z ∈ nat |] ==> *rtran\_closure\_mem\_fm*(x,y,z) ∈  
 formula"  
 ⟨proof⟩

**lemma** *sats\_rtran\_closure\_mem\_fm* [simp]:  
 "[| x ∈ nat; y ∈ nat; z ∈ nat; env ∈ list(A) |]  
 ==> *sats*(A, *rtran\_closure\_mem\_fm*(x,y,z), env) <->

```

    rtran_closure_mem(##A, nth(x,env), nth(y,env), nth(z,env))"
<proof>

```

```

lemma rtran_closure_mem_iff_sats:
  "[| nth(i,env) = x; nth(j,env) = y; nth(k,env) = z;
    i ∈ nat; j ∈ nat; k ∈ nat; env ∈ list(A) |]
  ==> rtran_closure_mem(##A, x, y, z) <-> sats(A, rtran_closure_mem_fm(i,j,k),
env)"
<proof>

```

```

lemma rtran_closure_mem_reflection:
  "REFLECTS[λx. rtran_closure_mem(L,f(x),g(x),h(x)),
    λi x. rtran_closure_mem(##Lset(i),f(x),g(x),h(x))]"
<proof>

```

Separation for  $r^*$ .

```

lemma rtrancl_separation:
  "[| L(r); L(A) |] ==> separation (L, rtran_closure_mem(L,A,r))"
<proof>

```

### 12.1.2 Reflexive/Transitive Closure, Internalized

```

constdefs rtran_closure_fm :: "[i,i]=>i"
  "rtran_closure_fm(r,s) ==
  Forall(Implies(field_fm(succ(r),0),
    Forall(Iff(Member(0,succ(succ(s))),
      rtran_closure_mem_fm(1,succ(succ(r)),0))))))"

```

```

lemma rtran_closure_type [TC]:
  "[| x ∈ nat; y ∈ nat |] ==> rtran_closure_fm(x,y) ∈ formula"
<proof>

```

```

lemma sats_rtran_closure_fm [simp]:
  "[| x ∈ nat; y ∈ nat; env ∈ list(A) |]
  ==> sats(A, rtran_closure_fm(x,y), env) <->
  rtran_closure(##A, nth(x,env), nth(y,env))"
<proof>

```

```

lemma rtran_closure_iff_sats:
  "[| nth(i,env) = x; nth(j,env) = y;
    i ∈ nat; j ∈ nat; env ∈ list(A) |]
  ==> rtran_closure(##A, x, y) <-> sats(A, rtran_closure_fm(i,j),
env)"
<proof>

```

```

theorem rtran_closure_reflection:
  "REFLECTS[λx. rtran_closure(L,f(x),g(x)),
    λi x. rtran_closure(##Lset(i),f(x),g(x))]"
<proof>

```

### 12.1.3 Transitive Closure of a Relation, Internalized

```
constdefs tran_closure_fm :: "[i,i]=>i"
  "tran_closure_fm(r,s) ==
    Exists(And(rtran_closure_fm(succ(r),0), composition_fm(succ(r),0,succ(s))))"
```

```
lemma tran_closure_type [TC]:
  "[| x ∈ nat; y ∈ nat |] ==> tran_closure_fm(x,y) ∈ formula"
⟨proof⟩
```

```
lemma sats_tran_closure_fm [simp]:
  "[| x ∈ nat; y ∈ nat; env ∈ list(A) |]
  ==> sats(A, tran_closure_fm(x,y), env) <->
    tran_closure(##A, nth(x,env), nth(y,env))"
⟨proof⟩
```

```
lemma tran_closure_iff_sats:
  "[| nth(i,env) = x; nth(j,env) = y;
    i ∈ nat; j ∈ nat; env ∈ list(A) |]
  ==> tran_closure(##A, x, y) <-> sats(A, tran_closure_fm(i,j), env)"
⟨proof⟩
```

```
theorem tran_closure_reflection:
  "REFLECTS[λx. tran_closure(L,f(x),g(x)),
    λi x. tran_closure(##Lset(i),f(x),g(x))]"
⟨proof⟩
```

### 12.1.4 Separation for the Proof of wellfounded\_on\_trancl

```
lemma wellfounded_trancl_reflects:
  "REFLECTS[λx. ∃w[L]. ∃wx[L]. ∃rp[L].
    w ∈ Z & pair(L,w,x,wx) & tran_closure(L,r,rp) & wx ∈
rp,
  λi x. ∃w ∈ Lset(i). ∃wx ∈ Lset(i). ∃rp ∈ Lset(i).
    w ∈ Z & pair(##Lset(i),w,x,wx) & tran_closure(##Lset(i),r,rp) &
wx ∈ rp]"
⟨proof⟩
```

```
lemma wellfounded_trancl_separation:
  "[| L(r); L(Z) |] ==>
    separation (L, λx.
      ∃w[L]. ∃wx[L]. ∃rp[L].
        w ∈ Z & pair(L,w,x,wx) & tran_closure(L,r,rp) & wx ∈ rp)"
⟨proof⟩
```

### 12.1.5 Instantiating the locale $M\_trancl$

```
lemma M_trancl_axioms_L: "M_trancl_axioms(L)"
⟨proof⟩
```

**theorem** *M\_trancl\_L*: "PROP *M\_trancl*(*L*)"  
 <proof>

**interpretation** *M\_trancl* [*L*] <proof>

## 12.2 *L* is Closed Under the Operator *list*

### 12.2.1 Instances of Replacement for Lists

**lemma** *list\_replacement1\_Reflects*:

"REFLECTS  
 [ $\lambda x. \exists u[L]. u \in B \wedge (\exists y[L]. \text{pair}(L, u, y, x) \wedge$   
      $\text{is\_wfrec}(L, \text{iterates\_MH}(L, \text{is\_list\_functor}(L, A), 0), \text{memsn}, u,$   
 $y)),$   
 $\lambda i x. \exists u \in \text{Lset}(i). u \in B \wedge (\exists y \in \text{Lset}(i). \text{pair}(\#\#\text{Lset}(i), u, y,$   
 $x) \wedge$   
      $\text{is\_wfrec}(\#\#\text{Lset}(i),$   
      $\text{iterates\_MH}(\#\#\text{Lset}(i),$   
      $\text{is\_list\_functor}(\#\#\text{Lset}(i), A), 0), \text{memsn}, u,$   
 $y))]$ "  
 <proof>

**lemma** *list\_replacement1*:

"*L*(*A*) ==> *iterates\_replacement*(*L*, *is\_list\_functor*(*L*, *A*), 0)"  
 <proof>

**lemma** *list\_replacement2\_Reflects*:

"REFLECTS  
 [ $\lambda x. \exists u[L]. u \in B \ \& \ u \in \text{nat} \ \&$   
      $\text{is\_iterates}(L, \text{is\_list\_functor}(L, A), 0, u, x),$   
 $\lambda i x. \exists u \in \text{Lset}(i). u \in B \ \& \ u \in \text{nat} \ \&$   
      $\text{is\_iterates}(\#\#\text{Lset}(i), \text{is\_list\_functor}(\#\#\text{Lset}(i), A), 0,$   
 $u, x)]$ "  
 <proof>

**lemma** *list\_replacement2*:

"*L*(*A*) ==> *strong\_replacement*(*L*,  
 $\lambda n y. n \in \text{nat} \ \& \ \text{is\_iterates}(L, \text{is\_list\_functor}(L, A), 0, n, y))$ "  
 <proof>

## 12.3 *L* is Closed Under the Operator *formula*

### 12.3.1 Instances of Replacement for Formulas

**lemma** *formula\_replacement1\_Reflects*:

"REFLECTS  
 [ $\lambda x. \exists u[L]. u \in B \ \& \ (\exists y[L]. \text{pair}(L, u, y, x) \ \&$   
      $\text{is\_wfrec}(L, \text{iterates\_MH}(L, \text{is\_formula\_functor}(L), 0), \text{memsn},$

```

u, y)),
  λi x. ∃u ∈ Lset(i). u ∈ B & (∃y ∈ Lset(i). pair(##Lset(i), u, y,
x) &
    is_wfrec(##Lset(i),
              iterates_MH(##Lset(i),
                          is_formula_functor(##Lset(i)), 0), memsn, u,
y)))]"
⟨proof⟩

```

```

lemma formula_replacement1:
  "iterates_replacement(L, is_formula_functor(L), 0)"
⟨proof⟩

```

```

lemma formula_replacement2_Reflects:
  "REFLECTS
  [λx. ∃u[L]. u ∈ B & u ∈ nat &
    is_iterates(L, is_formula_functor(L), 0, u, x),
  λi x. ∃u ∈ Lset(i). u ∈ B & u ∈ nat &
    is_iterates(##Lset(i), is_formula_functor(##Lset(i)), 0,
u, x)]"
⟨proof⟩

```

```

lemma formula_replacement2:
  "strong_replacement(L,
  λn y. n ∈ nat & is_iterates(L, is_formula_functor(L), 0, n, y))"
⟨proof⟩

```

NB The proofs for type *formula* are virtually identical to those for *list(A)*.  
It was a cut-and-paste job!

### 12.3.2 The Formula *is\_nth*, Internalized

```

constdefs nth_fm :: "[i,i,i]=>i"
  "nth_fm(n,l,Z) ==
  Exists(And(is_iterates_fm(tl_fm(1,0), succ(1), succ(n), 0),
hd_fm(0,succ(Z))))"

```

```

lemma nth_fm_type [TC]:
  "[| x ∈ nat; y ∈ nat; z ∈ nat |] ==> nth_fm(x,y,z) ∈ formula"
⟨proof⟩

```

```

lemma sats_nth_fm [simp]:
  "[| x < length(env); y ∈ nat; z ∈ nat; env ∈ list(A) |]
  ==> sats(A, nth_fm(x,y,z), env) <->
  is_nth(##A, nth(x,env), nth(y,env), nth(z,env))"
⟨proof⟩

```

```

lemma nth_iff_sats:
  "[| nth(i,env) = x; nth(j,env) = y; nth(k,env) = z;

```

```

      i < length(env); j ∈ nat; k ∈ nat; env ∈ list(A)]
    ==> is_nth(##A, x, y, z) <-> sats(A, nth_fm(i,j,k), env)"
⟨proof⟩

```

**theorem** *nth\_reflection*:

```

  "REFLECTS[λx. is_nth(L, f(x), g(x), h(x)),
    λi x. is_nth(##Lset(i), f(x), g(x), h(x))]"
⟨proof⟩

```

### 12.3.3 An Instance of Replacement for *nth*

**lemma** *nth\_replacement\_Reflects*:

```

  "REFLECTS
    [λx. ∃u[L]. u ∈ B & (∃y[L]. pair(L,u,y,x) &
      is_wfrec(L, iterates_MH(L, is_tl(L), z), memsn, u, y)),
    λi x. ∃u ∈ Lset(i). u ∈ B & (∃y ∈ Lset(i). pair(##Lset(i), u, y,
x) &
      is_wfrec(##Lset(i),
        iterates_MH(##Lset(i),
          is_tl(##Lset(i)), z), memsn, u, y))]"
⟨proof⟩

```

**lemma** *nth\_replacement*:

```

  "L(w) ==> iterates_replacement(L, is_tl(L), w)"
⟨proof⟩

```

### 12.3.4 Instantiating the locale *M\_datatypes*

**lemma** *M\_datatypes\_axioms\_L*: "*M\_datatypes\_axioms*(L)"  
 ⟨proof⟩

**theorem** *M\_datatypes\_L*: "*PROP M\_datatypes*(L)"  
 ⟨proof⟩

**interpretation** *M\_datatypes [L]* ⟨proof⟩

## 12.4 *L* is Closed Under the Operator *eclose*

### 12.4.1 Instances of Replacement for *eclose*

**lemma** *eclose\_replacement1\_Reflects*:

```

  "REFLECTS
    [λx. ∃u[L]. u ∈ B & (∃y[L]. pair(L,u,y,x) &
      is_wfrec(L, iterates_MH(L, big_union(L), A), memsn, u, y)),
    λi x. ∃u ∈ Lset(i). u ∈ B & (∃y ∈ Lset(i). pair(##Lset(i), u, y,
x) &
      is_wfrec(##Lset(i),
        iterates_MH(##Lset(i), big_union(##Lset(i)), A),
        memsn, u, y))]"
⟨proof⟩

```

```

lemma eclose_replacement1:
  "L(A) ==> iterates_replacement(L, big_union(L), A)"
  <proof>

lemma eclose_replacement2_Reflects:
  "REFLECTS
   [\x. \u[L]. u \in B & u \in nat &
    is_iterates(L, big_union(L), A, u, x),
   \i x. \u \in Lset(i). u \in B & u \in nat &
    is_iterates(##Lset(i), big_union(##Lset(i)), A, u, x)]"
  <proof>

lemma eclose_replacement2:
  "L(A) ==> strong_replacement(L,
   \n y. n \in nat & is_iterates(L, big_union(L), A, n, y))"
  <proof>

12.4.2 Instantiating the locale  $M_{eclose}$ 

lemma M_eclose_axioms_L: "M_eclose_axioms(L)"
  <proof>

theorem M_eclose_L: "PROP M_eclose(L)"
  <proof>

interpretation M_eclose [L] <proof>

end

```

## 13 Absoluteness for the Satisfies Relation on Formulas

```

theory Satisfies_absolute imports Datatype_absolute Rec_Separation begin

```

### 13.1 More Internalization

#### 13.1.1 The Formula $is\_depth$ , Internalized

```

constdefs depth_fm :: "[i,i]=>i"
  "depth_fm(p,n) ==
   Exists(Exists(Exists(
     And(formula_N_fm(n#+3,1),
     And(Neg(Member(p#+3,1)),
     And(succ_fm(n#+3,2),

```

```
And(formula_N_fm(2,0), Member(p#+3,0)))))))))"
```

```
lemma depth_fm_type [TC]:
  "[| x ∈ nat; y ∈ nat |] ==> depth_fm(x,y) ∈ formula"
  <proof>
```

```
lemma sats_depth_fm [simp]:
  "[| x ∈ nat; y < length(env); env ∈ list(A)|]
  ==> sats(A, depth_fm(x,y), env) <->
  is_depth(##A, nth(x,env), nth(y,env))"
  <proof>
```

```
lemma depth_iff_sats:
  "[| nth(i,env) = x; nth(j,env) = y;
  i ∈ nat; j < length(env); env ∈ list(A)|]
  ==> is_depth(##A, x, y) <-> sats(A, depth_fm(i,j), env)"
  <proof>
```

```
theorem depth_reflection:
  "REFLECTS[λx. is_depth(L, f(x), g(x)),
  λi x. is_depth(##Lset(i), f(x), g(x))]"
  <proof>
```

### 13.1.2 The Operator *is\_formula\_case*

The arguments of *is\_a* are always 2, 1, 0, and the formula will be enclosed by three quantifiers.

```
constdefs formula_case_fm :: "[i, i, i, i, i, i]=>i"
  "formula_case_fm(is_a, is_b, is_c, is_d, v, z) ==
  And(Forall(Forall(Implies(finite_ordinal_fm(1),
  Implies(finite_ordinal_fm(0),
  Implies(Member_fm(1,0,v#+2),
  Forall(Implies(Equal(0,z#+3), is_a))))))),
  And(Forall(Forall(Implies(finite_ordinal_fm(1),
  Implies(finite_ordinal_fm(0),
  Implies(Equal_fm(1,0,v#+2),
  Forall(Implies(Equal(0,z#+3), is_b))))))),
  And(Forall(Forall(Implies(mem_formula_fm(1),
  Implies(mem_formula_fm(0),
  Implies(Nand_fm(1,0,v#+2),
  Forall(Implies(Equal(0,z#+3), is_c))))))),
  Forall(Implies(mem_formula_fm(0),
  Implies(Forall_fm(0,succ(v)),
  Forall(Implies(Equal(0,z#+2), is_d)))))))))"
```

```
lemma is_formula_case_type [TC]:
  "[| is_a ∈ formula; is_b ∈ formula; is_c ∈ formula; is_d ∈ formula;
```

```

      x ∈ nat; y ∈ nat |]
    ==> formula_case_fm(is_a, is_b, is_c, is_d, x, y) ∈ formula"
⟨proof⟩

lemma sats_formula_case_fm:
  assumes is_a_iff_sats:
    "!!a0 a1 a2.
     [|a0∈A; a1∈A; a2∈A|]
     ==> ISA(a2, a1, a0) <-> sats(A, is_a, Cons(a0,Cons(a1,Cons(a2,env))))"
  and is_b_iff_sats:
    "!!a0 a1 a2.
     [|a0∈A; a1∈A; a2∈A|]
     ==> ISB(a2, a1, a0) <-> sats(A, is_b, Cons(a0,Cons(a1,Cons(a2,env))))"
  and is_c_iff_sats:
    "!!a0 a1 a2.
     [|a0∈A; a1∈A; a2∈A|]
     ==> ISC(a2, a1, a0) <-> sats(A, is_c, Cons(a0,Cons(a1,Cons(a2,env))))"
  and is_d_iff_sats:
    "!!a0 a1.
     [|a0∈A; a1∈A|]
     ==> ISD(a1, a0) <-> sats(A, is_d, Cons(a0,Cons(a1,env)))"
  shows
    "[|x ∈ nat; y < length(env); env ∈ list(A)|]
    ==> sats(A, formula_case_fm(is_a,is_b,is_c,is_d,x,y), env) <->
    is_formula_case(##A, ISA, ISB, ISC, ISD, nth(x,env), nth(y,env))"
⟨proof⟩

lemma formula_case_iff_sats:
  assumes is_a_iff_sats:
    "!!a0 a1 a2.
     [|a0∈A; a1∈A; a2∈A|]
     ==> ISA(a2, a1, a0) <-> sats(A, is_a, Cons(a0,Cons(a1,Cons(a2,env))))"
  and is_b_iff_sats:
    "!!a0 a1 a2.
     [|a0∈A; a1∈A; a2∈A|]
     ==> ISB(a2, a1, a0) <-> sats(A, is_b, Cons(a0,Cons(a1,Cons(a2,env))))"
  and is_c_iff_sats:
    "!!a0 a1 a2.
     [|a0∈A; a1∈A; a2∈A|]
     ==> ISC(a2, a1, a0) <-> sats(A, is_c, Cons(a0,Cons(a1,Cons(a2,env))))"
  and is_d_iff_sats:
    "!!a0 a1.
     [|a0∈A; a1∈A|]
     ==> ISD(a1, a0) <-> sats(A, is_d, Cons(a0,Cons(a1,env)))"
  shows
    "[|nth(i,env) = x; nth(j,env) = y;
    i ∈ nat; j < length(env); env ∈ list(A)|]
    ==> is_formula_case(##A, ISA, ISB, ISC, ISD, x, y) <->
    sats(A, formula_case_fm(is_a,is_b,is_c,is_d,i,j), env)"

```

*<proof>*

The second argument of *is\_a* gives it direct access to *x*, which is essential for handling free variable references. Treatment is based on that of *is\_nat\_case\_reflection*.

**theorem** *is\_formula\_case\_reflection*:

```
assumes is_a_reflection:
  "!!h f g g'. REFLECTS[ $\lambda x. is\_a(L, h(x), f(x), g(x), g'(x)),$ 
     $\lambda i x. is\_a(\#\#Lset(i), h(x), f(x), g(x), g'(x))]$ "
and is_b_reflection:
  "!!h f g g'. REFLECTS[ $\lambda x. is\_b(L, h(x), f(x), g(x), g'(x)),$ 
     $\lambda i x. is\_b(\#\#Lset(i), h(x), f(x), g(x), g'(x))]$ "
and is_c_reflection:
  "!!h f g g'. REFLECTS[ $\lambda x. is\_c(L, h(x), f(x), g(x), g'(x)),$ 
     $\lambda i x. is\_c(\#\#Lset(i), h(x), f(x), g(x), g'(x))]$ "
and is_d_reflection:
  "!!h f g g'. REFLECTS[ $\lambda x. is\_d(L, h(x), f(x), g(x)),$ 
     $\lambda i x. is\_d(\#\#Lset(i), h(x), f(x), g(x))]$ "
shows "REFLECTS[ $\lambda x. is\_formula\_case(L, is\_a(L,x), is\_b(L,x), is\_c(L,x),$ 
 $is\_d(L,x), g(x), h(x)),$ 
   $\lambda i x. is\_formula\_case(\#\#Lset(i), is\_a(\#\#Lset(i), x), is\_b(\#\#Lset(i),$ 
 $x), is\_c(\#\#Lset(i), x), is\_d(\#\#Lset(i), x), g(x), h(x))]$ "
<proof>
```

## 13.2 Absoluteness for the Function *satisfies*

**constdefs**

```
is_depth_apply :: "[i=>o,i,i,i] => o"
— Merely a useful abbreviation for the sequel.
"is_depth_apply(M,h,p,z) ==
   $\exists dp[M]. \exists sdp[M]. \exists hsdp[M].$ 
    finite_ordinal(M,dp) & is_depth(M,p,dp) & successor(M,dp,sdp)
&
  fun_apply(M,h,sdp,hsdp) & fun_apply(M,hsdp,p,z)"
```

**lemma** (in *M\_datatypes*) *is\_depth\_apply\_abs [simp]*:

```
"[|M(h); p  $\in$  formula; M(z)|]
  ==> is_depth_apply(M,h,p,z) <-> z = h ' succ(depth(p)) ' p"
<proof>
```

There is at present some redundancy between the relativizations in e.g. *satisfies\_is\_a* and those in e.g. *Member\_replacement*.

These constants let us instantiate the parameters *a*, *b*, *c*, *d*, etc., of the locale *Formula\_Rec*.

**constdefs**

```
satisfies_a :: "[i,i,i]=>i"
"satisfies_a(A) ==
   $\lambda x y. \lambda env \in list(A). bool\_of\_o (nth(x,env) \in nth(y,env))"$ 
```

```

satisfies_is_a :: "[i=>o,i,i,i,i]=>o"
"satisfies_is_a(M,A) ==
  λx y zz. ∀lA[M]. is_list(M,A,lA) -->
    is_lambda(M, lA,
      λenv z. is_bool_of_o(M,
        ∃nx[M]. ∃ny[M].
          is_nth(M,x,env,nx) & is_nth(M,y,env,ny) & nx ∈
ny, z),
        zz)"

satisfies_b :: "[i,i,i]=>i"
"satisfies_b(A) ==
  λx y. λenv ∈ list(A). bool_of_o (nth(x,env) = nth(y,env))"

satisfies_is_b :: "[i=>o,i,i,i,i]=>o"
— We simplify the formula to have just nx rather than introducing ny with nx
= ny
"satisfies_is_b(M,A) ==
  λx y zz. ∀lA[M]. is_list(M,A,lA) -->
    is_lambda(M, lA,
      λenv z. is_bool_of_o(M,
        ∃nx[M]. is_nth(M,x,env,nx) & is_nth(M,y,env,nx),
z),
        zz)"

satisfies_c :: "[i,i,i,i,i]=>i"
"satisfies_c(A) == λp q rp rq. λenv ∈ list(A). not(rp ‘ env and rq
‘ env)"

satisfies_is_c :: "[i=>o,i,i,i,i,i]=>o"
"satisfies_is_c(M,A,h) ==
  λp q zz. ∀lA[M]. is_list(M,A,lA) -->
    is_lambda(M, lA, λenv z. ∃hp[M]. ∃hq[M].
      (∃rp[M]. is_depth_apply(M,h,p,rp) & fun_apply(M,rp,env,hp))
&
      (∃rq[M]. is_depth_apply(M,h,q,rq) & fun_apply(M,rq,env,hq))
&
      (∃pq[M]. is_and(M,hp,hq,pq) & is_not(M,pq,z)),
      zz)"

satisfies_d :: "[i,i,i]=>i"
"satisfies_d(A)
== λp rp. λenv ∈ list(A). bool_of_o (∀x∈A. rp ‘ (Cons(x,env)) =
1)"

satisfies_is_d :: "[i=>o,i,i,i,i]=>o"
"satisfies_is_d(M,A,h) ==
  λp zz. ∀lA[M]. is_list(M,A,lA) -->

```

```

is_lambda(M, lA,
  λenv z. ∃rp[M]. is_depth_apply(M,h,p,rp) &
    is_bool_of_o(M,
      ∀x[M]. ∀xenv[M]. ∀hp[M].
        x∈A --> is_Cons(M,x,env,xenv) -->
          fun_apply(M,rp,xenv,hp) --> number1(M,hp),
      z),
  zz)"

satisfies_MH :: "[i=>o,i,i,i,i]=>o"
  — The variable u is unused, but gives satisfies_MH the correct arity.
"satisfies_MH ==
λM A u f z.
  ∀fml[M]. is_formula(M,fml) -->
    is_lambda (M, fml,
      is_formula_case (M, satisfies_is_a(M,A),
        satisfies_is_b(M,A),
        satisfies_is_c(M,A,f), satisfies_is_d(M,A,f)),
      z)"

is_satisfies :: "[i=>o,i,i,i]=>o"
  "is_satisfies(M,A) == is_formula_rec (M, satisfies_MH(M,A))"

```

This lemma relates the fragments defined above to the original primitive recursion in *satisfies*. Induction is not required: the definitions are directly equal!

**lemma** *satisfies\_eq*:

```

"satisfies(A,p) =
  formula_rec (satisfies_a(A), satisfies_b(A),
    satisfies_c(A), satisfies_d(A), p)"

```

*<proof>*

Further constraints on the class *M* in order to prove absoluteness for the constants defined above. The ultimate goal is the absoluteness of the function *satisfies*.

**locale** *M\_satisfies* = *M\_eclose* +

**assumes**

*Member\_replacement*:

```
"[|M(A); x ∈ nat; y ∈ nat|]
```

```
==> strong_replacement
```

```
(M, λenv z. ∃bo[M]. ∃nx[M]. ∃ny[M].
```

```
  env ∈ list(A) & is_nth(M,x,env,nx) & is_nth(M,y,env,ny)
```

&

```
  is_bool_of_o(M, nx ∈ ny, bo) &
```

```
  pair(M, env, bo, z))"
```

**and**

*Equal\_replacement*:

```
"[|M(A); x ∈ nat; y ∈ nat|]
```

```
==> strong_replacement
```

```

      (M, λenv z. ∃bo[M]. ∃nx[M]. ∃ny[M].
        env ∈ list(A) & is_nth(M,x,env,nx) & is_nth(M,y,env,ny)
&
      is_bool_of_o(M, nx = ny, bo) &
      pair(M, env, bo, z))"
and
  Nand_replacement:
  "[|M(A); M(rp); M(rq)|]
  ==> strong_replacement
    (M, λenv z. ∃rpe[M]. ∃rqe[M]. ∃andpq[M]. ∃notpq[M].
      fun_apply(M,rp,env,rpe) & fun_apply(M,rq,env,rqe) &
      is_and(M,rpe,rqe,andpq) & is_not(M,andpq,notpq) &
      env ∈ list(A) & pair(M, env, notpq, z))"
and
  Forall_replacement:
  "[|M(A); M(rp)|]
  ==> strong_replacement
    (M, λenv z. ∃bo[M].
      env ∈ list(A) &
      is_bool_of_o (M,
        ∀a[M]. ∀co[M]. ∀rpco[M].
          a∈A --> is_Cons(M,a,env,co) -->
          fun_apply(M,rp,co,rpco) --> number1(M,
rpco),
        bo) &
      pair(M,env,bo,z))"
and
  formula_rec_replacement:
  — For the transrec
  "[|n ∈ nat; M(A)|] ==> transrec_replacement(M, satisfies_MH(M,A), n)"
and
  formula_rec_lambda_replacement:
  — For the λ-abstraction in the transrec body
  "[|M(g); M(A)|] ==>
  strong_replacement (M,
    λx y. mem_formula(M,x) &
      (∃c[M]. is_formula_case(M, satisfies_is_a(M,A),
        satisfies_is_b(M,A),
        satisfies_is_c(M,A,g),
        satisfies_is_d(M,A,g), x, c) &
      pair(M, x, c, y)))"

lemma (in M_satisfies) Member_replacement':
  "[|M(A); x ∈ nat; y ∈ nat|]
  ==> strong_replacement
    (M, λenv z. env ∈ list(A) &
      z = ⟨env, bool_of_o(nth(x, env) ∈ nth(y, env))⟩)"
⟨proof⟩

```

```

lemma (in M_satisfies) Equal_replacement':
  "[|M(A); x ∈ nat; y ∈ nat|]
  ==> strong_replacement
    (M, λenv z. env ∈ list(A) &
      z = ⟨env, bool_of_o(nth(x, env) = nth(y, env))⟩)"
⟨proof⟩

lemma (in M_satisfies) Nand_replacement':
  "[|M(A); M(rp); M(rq)|]
  ==> strong_replacement
    (M, λenv z. env ∈ list(A) & z = ⟨env, not(rp'env and rq'env)⟩)"
⟨proof⟩

lemma (in M_satisfies) Forall_replacement':
  "[|M(A); M(rp)|]
  ==> strong_replacement
    (M, λenv z.
      env ∈ list(A) &
      z = ⟨env, bool_of_o (∀a∈A. rp ' Cons(a,env) = 1)⟩)"
⟨proof⟩

lemma (in M_satisfies) a_closed:
  "[|M(A); x∈nat; y∈nat|] ==> M(satisfies_a(A,x,y))"
⟨proof⟩

lemma (in M_satisfies) a_rel:
  "M(A) ==> Relation2(M, nat, nat, satisfies_is_a(M,A), satisfies_a(A))"
⟨proof⟩

lemma (in M_satisfies) b_closed:
  "[|M(A); x∈nat; y∈nat|] ==> M(satisfies_b(A,x,y))"
⟨proof⟩

lemma (in M_satisfies) b_rel:
  "M(A) ==> Relation2(M, nat, nat, satisfies_is_b(M,A), satisfies_b(A))"
⟨proof⟩

lemma (in M_satisfies) c_closed:
  "[|M(A); x ∈ formula; y ∈ formula; M(rx); M(ry)|]
  ==> M(satisfies_c(A,x,y,rx,ry))"
⟨proof⟩

lemma (in M_satisfies) c_rel:
  "[|M(A); M(f)|] ==>
  Relation2 (M, formula, formula,
    satisfies_is_c(M,A,f),
    λu v. satisfies_c(A, u, v, f ' succ(depth(u)) ' u,
      f ' succ(depth(v)) ' v))"

```

*<proof>*

```
lemma (in M_satisfies) d_closed:
  "[|M(A); x ∈ formula; M(rx)|] ==> M(satisfies_d(A,x,rx))"
<proof>
```

```
lemma (in M_satisfies) d_rel:
  "[|M(A); M(f)|] ==>
  Relation1(M, formula, satisfies_is_d(M,A,f),
    λu. satisfies_d(A, u, f ‘ succ(depth(u)) ‘ u))"
<proof>
```

```
lemma (in M_satisfies) fr_replace:
  "[|n ∈ nat; M(A)|] ==> transrec_replacement(M,satisfies_MH(M,A),n)"
```

*<proof>*

```
lemma (in M_satisfies) formula_case_satisfies_closed:
  "[|M(g); M(A); x ∈ formula|] ==>
  M(formula_case (satisfies_a(A), satisfies_b(A),
    λu v. satisfies_c(A, u, v,
      g ‘ succ(depth(u)) ‘ u, g ‘ succ(depth(v)) ‘
v),
    λu. satisfies_d (A, u, g ‘ succ(depth(u)) ‘ u,
x))"
<proof>
```

```
lemma (in M_satisfies) fr_lam_replace:
  "[|M(g); M(A)|] ==>
  strong_replacement (M, λx y. x ∈ formula &
    y = ⟨x,
      formula_rec_case(satisfies_a(A),
        satisfies_b(A),
        satisfies_c(A),
        satisfies_d(A), g, x)⟩)"
<proof>
```

Instantiate locale *Formula\_Rec* for the Function *satisfies*

```
lemma (in M_satisfies) Formula_Rec_axioms_M:
  "M(A) ==>
  Formula_Rec_axioms(M, satisfies_a(A), satisfies_is_a(M,A),
    satisfies_b(A), satisfies_is_b(M,A),
    satisfies_c(A), satisfies_is_c(M,A),
    satisfies_d(A), satisfies_is_d(M,A))"
<proof>
```

```
theorem (in M_satisfies) Formula_Rec_M:
```

```

    "M(A) ==>
      PROP Formula_Rec(M, satisfies_a(A), satisfies_is_a(M,A),
                      satisfies_b(A), satisfies_is_b(M,A),
                      satisfies_c(A), satisfies_is_c(M,A),
                      satisfies_d(A), satisfies_is_d(M,A))"
  <proof>

  lemmas (in M_satisfies)
    satisfies_closed' = Formula_Rec.formula_rec_closed [OF Formula_Rec_M]
  and satisfies_abs'   = Formula_Rec.formula_rec_abs [OF Formula_Rec_M]

```

```

  lemma (in M_satisfies) satisfies_closed:
    "[|M(A); p ∈ formula|] ==> M(satisfies(A,p))"
  <proof>

```

```

  lemma (in M_satisfies) satisfies_abs:
    "[|M(A); M(z); p ∈ formula|]
    ==> is_satisfies(M,A,p,z) <-> z = satisfies(A,p)"
  <proof>

```

### 13.3 Internalizations Needed to Instantiate *M\_satisfies*

#### 13.3.1 The Operator *is\_depth\_apply*, Internalized

```

constdefs depth_apply_fm :: "[i,i,i]=>i"
  "depth_apply_fm(h,p,z) ==
    Exists(Exists(Exists(
      And(finite_ordinal_fm(2),
        And(depth_fm(p#+3,2),
          And(succ_fm(2,1),
            And(fun_apply_fm(h#+3,1,0), fun_apply_fm(0,p#+3,z#+3)))))))"

  lemma depth_apply_type [TC]:
    "[| x ∈ nat; y ∈ nat; z ∈ nat |] ==> depth_apply_fm(x,y,z) ∈ formula"
  <proof>

```

```

  lemma sats_depth_apply_fm [simp]:
    "[| x ∈ nat; y ∈ nat; z ∈ nat; env ∈ list(A)|]
    ==> sats(A, depth_apply_fm(x,y,z), env) <->
      is_depth_apply(##A, nth(x,env), nth(y,env), nth(z,env))"
  <proof>

```

```

  lemma depth_apply_iff_sats:
    "[| nth(i,env) = x; nth(j,env) = y; nth(k,env) = z;
      i ∈ nat; j ∈ nat; k ∈ nat; env ∈ list(A)|]
    ==> is_depth_apply(##A, x, y, z) <-> sats(A, depth_apply_fm(i,j,k),
  env)"
  <proof>

```

```

lemma depth_apply_reflection:
  "REFLECTS[ $\lambda x. \text{is\_depth\_apply}(L, f(x), g(x), h(x)),$ 
     $\lambda i x. \text{is\_depth\_apply}(\#\#L\text{set}(i), f(x), g(x), h(x))]$ ]"
<proof>

```

### 13.3.2 The Operator *satisfies\_is\_a*, Internalized

```

constdefs satisfies_is_a_fm :: "[i,i,i,i]=>i"
  "satisfies_is_a_fm(A,x,y,z) ==
  Forall(
    Implies(is_list_fm(succ(A),0),
      lambda_fm(
        bool_of_o_fm(Exists(
          Exists(And(nth_fm(x#+6,3,1),
            And(nth_fm(y#+6,3,0),
              Member(1,0))))), 0),
          0, succ(z))))"

```

```

lemma satisfies_is_a_type [TC]:
  "[| A  $\in$  nat; x  $\in$  nat; y  $\in$  nat; z  $\in$  nat |]
  ==> satisfies_is_a_fm(A,x,y,z)  $\in$  formula"
<proof>

```

```

lemma sats_satisfies_is_a_fm [simp]:
  "[| u  $\in$  nat; x < length(env); y < length(env); z  $\in$  nat; env  $\in$  list(A) |]
  ==> sats(A, satisfies_is_a_fm(u,x,y,z), env) <->
    sats(A, satisfies_is_a(\#\#A, nth(u,env), nth(x,env), nth(y,env), nth(z,env)))"
<proof>

```

```

lemma satisfies_is_a_iff_sats:
  "[| nth(u,env) = nu; nth(x,env) = nx; nth(y,env) = ny; nth(z,env) =
  nz;
  u  $\in$  nat; x < length(env); y < length(env); z  $\in$  nat; env  $\in$  list(A) |]
  ==> satisfies_is_a(\#\#A, nu, nx, ny, nz) <->
    sats(A, satisfies_is_a_fm(u,x,y,z), env)"
<proof>

```

```

theorem satisfies_is_a_reflection:
  "REFLECTS[ $\lambda x. \text{satisfies\_is\_a}(L, f(x), g(x), h(x), g'(x)),$ 
     $\lambda i x. \text{satisfies\_is\_a}(\#\#L\text{set}(i), f(x), g(x), h(x), g'(x))]$ ]"
<proof>

```

### 13.3.3 The Operator *satisfies\_is\_b*, Internalized

```

constdefs satisfies_is_b_fm :: "[i,i,i,i]=>i"
  "satisfies_is_b_fm(A,x,y,z) ==
  Forall(
    Implies(is_list_fm(succ(A),0),
      lambda_fm(

```

```

    bool_of_o_fm(Exists(And(nth_fm(x#+5,2,0), nth_fm(y#+5,2,0))),
0),
    0, succ(z))))"

```

```

lemma satisfies_is_b_type [TC]:
  "[| A ∈ nat; x ∈ nat; y ∈ nat; z ∈ nat |]
  ==> satisfies_is_b_fm(A,x,y,z) ∈ formula"
⟨proof⟩

```

```

lemma sats_satisfies_is_b_fm [simp]:
  "[| u ∈ nat; x < length(env); y < length(env); z ∈ nat; env ∈ list(A) |]
  ==> sats(A, satisfies_is_b_fm(u,x,y,z), env) <->
  satisfies_is_b(##A, nth(u,env), nth(x,env), nth(y,env), nth(z,env))"
⟨proof⟩

```

```

lemma satisfies_is_b_iff_sats:
  "[| nth(u,env) = nu; nth(x,env) = nx; nth(y,env) = ny; nth(z,env) =
nz;
  u ∈ nat; x < length(env); y < length(env); z ∈ nat; env ∈ list(A) |]
  ==> satisfies_is_b(##A,nu,nx,ny,nz) <->
  sats(A, satisfies_is_b_fm(u,x,y,z), env)"
⟨proof⟩

```

```

theorem satisfies_is_b_reflection:
  "REFLECTS[λx. satisfies_is_b(L,f(x),g(x),h(x),g'(x)),
  λi x. satisfies_is_b(##Lset(i),f(x),g(x),h(x),g'(x))]"
⟨proof⟩

```

### 13.3.4 The Operator *satisfies\_is\_c*, Internalized

```

constdefs satisfies_is_c_fm :: "[i,i,i,i,i]=>i"
"satisfies_is_c_fm(A,h,p,q,zz) ==
  Forall(
    Implies(is_list_fm(succ(A),0),
  lambda_fm(
    Exists(Exists(
      And(Exists(And(depth_apply_fm(h#+7,p#+7,0), fun_apply_fm(0,4,2))),
      And(Exists(And(depth_apply_fm(h#+7,q#+7,0), fun_apply_fm(0,4,1))),
        Exists(And(and_fm(2,1,0), not_fm(0,3))))))),
    0, succ(zz))))"

```

```

lemma satisfies_is_c_type [TC]:
  "[| A ∈ nat; h ∈ nat; x ∈ nat; y ∈ nat; z ∈ nat |]
  ==> satisfies_is_c_fm(A,h,x,y,z) ∈ formula"
⟨proof⟩

```

```

lemma sats_satisfies_is_c_fm [simp]:
  "[| u ∈ nat; v ∈ nat; x ∈ nat; y ∈ nat; z ∈ nat; env ∈ list(A) |]
  ==> sats(A, satisfies_is_c_fm(u,v,x,y,z), env) <->

```

```

        satisfies_is_c(##A, nth(u,env), nth(v,env), nth(x,env),
                      nth(y,env), nth(z,env))"
<proof>

lemma satisfies_is_c_iff_sats:
  "[| nth(u,env) = nu; nth(v,env) = nv; nth(x,env) = nx; nth(y,env) =
ny;
    nth(z,env) = nz;
    u ∈ nat; v ∈ nat; x ∈ nat; y ∈ nat; z ∈ nat; env ∈ list(A) |]
  ==> satisfies_is_c(##A,nu,nv,nx,ny,nz) <->
    sats(A, satisfies_is_c_fm(u,v,x,y,z), env)"
<proof>

theorem satisfies_is_c_reflection:
  "REFLECTS[λx. satisfies_is_c(L,f(x),g(x),h(x),g'(x),h'(x)),
    λi x. satisfies_is_c(##Lset(i),f(x),g(x),h(x),g'(x),h'(x))]"
<proof>

```

### 13.3.5 The Operator `satisfies_is_d`, Internalized

```

constdefs satisfies_is_d_fm :: "[i,i,i,i]=>i"
"satisfies_is_d_fm(A,h,p,zz) ==
  Forall(
    Implies(is_list_fm(succ(A),0),
      lambda_fm(
        Exists(
          And(depth_apply_fm(h#+5,p#+5,0),
            bool_of_o_fm(
              Forall(Forall(Forall(
                Implies(Member(2,A#+8),
                  Implies(Cons_fm(2,5,1),
                    Implies(fun_apply_fm(3,1,0), number1_fm(0)))))), 1))),
          0, succ(zz))))"

lemma satisfies_is_d_type [TC]:
  "[| A ∈ nat; h ∈ nat; x ∈ nat; z ∈ nat |]
  ==> satisfies_is_d_fm(A,h,x,z) ∈ formula"
<proof>

lemma sats_satisfies_is_d_fm [simp]:
  "[| u ∈ nat; x ∈ nat; y ∈ nat; z ∈ nat; env ∈ list(A) |]
  ==> sats(A, satisfies_is_d_fm(u,x,y,z), env) <->
    satisfies_is_d(##A, nth(u,env), nth(x,env), nth(y,env), nth(z,env))"

<proof>

lemma satisfies_is_d_iff_sats:
  "[| nth(u,env) = nu; nth(x,env) = nx; nth(y,env) = ny; nth(z,env) =
nz;

```

```

    u ∈ nat; x ∈ nat; y ∈ nat; z ∈ nat; env ∈ list(A)[]
  ==> satisfies_is_d(##A,nu,nx,ny,nz) <->
    sats(A, satisfies_is_d_fm(u,x,y,z), env)"
</proof>

```

```

theorem satisfies_is_d_reflection:
  "REFLECTS[λx. satisfies_is_d(L,f(x),g(x),h(x),g'(x)),
    λi x. satisfies_is_d(##Lset(i),f(x),g(x),h(x),g'(x))]"
</proof>

```

### 13.3.6 The Operator *satisfies\_MH*, Internalized

```

constdefs satisfies_MH_fm :: "[i,i,i,i]=>i"
  "satisfies_MH_fm(A,u,f,zz) ==
  Forall(
    Implies(is_formula_fm(0),
      lambda_fm(
        formula_case_fm(satisfies_is_a_fm(A#+7,2,1,0),
          satisfies_is_b_fm(A#+7,2,1,0),
          satisfies_is_c_fm(A#+7,f#+7,2,1,0),
          satisfies_is_d_fm(A#+6,f#+6,1,0),
          1, 0),
        0, succ(zz))))"

```

```

lemma satisfies_MH_type [TC]:
  "[| A ∈ nat; u ∈ nat; x ∈ nat; z ∈ nat |]
  ==> satisfies_MH_fm(A,u,x,z) ∈ formula"
</proof>

```

```

lemma sats_satisfies_MH_fm [simp]:
  "[| u ∈ nat; x ∈ nat; y ∈ nat; z ∈ nat; env ∈ list(A)[]
  ==> sats(A, satisfies_MH_fm(u,x,y,z), env) <->
    satisfies_MH(##A, nth(u,env), nth(x,env), nth(y,env), nth(z,env))"
</proof>

```

```

lemma satisfies_MH_iff_sats:
  "[| nth(u,env) = nu; nth(x,env) = nx; nth(y,env) = ny; nth(z,env) =
  nz;
    u ∈ nat; x ∈ nat; y ∈ nat; z ∈ nat; env ∈ list(A)[]
  ==> satisfies_MH(##A,nu,nx,ny,nz) <->
    sats(A, satisfies_MH_fm(u,x,y,z), env)"
</proof>

```

```

lemmas satisfies_reflections =
  is_lambda_reflection is_formula_reflection
  is_formula_case_reflection
  satisfies_is_a_reflection satisfies_is_b_reflection
  satisfies_is_c_reflection satisfies_is_d_reflection

```

**theorem** *satisfies\_MH\_reflection*:  
 "REFLECTS[ $\lambda x. \text{satisfies\_MH}(L, f(x), g(x), h(x), g'(x)),$   
 $\lambda i x. \text{satisfies\_MH}(\#\#Lset(i), f(x), g(x), h(x), g'(x))]$ "  
 ⟨*proof*⟩

## 13.4 Lemmas for Instantiating the Locale $M_{\text{satisfies}}$

### 13.4.1 The Member Case

**lemma** *Member\_Reflects*:  
 "REFLECTS[ $\lambda u. \exists v[L]. v \in B \wedge (\exists bo[L]. \exists nx[L]. \exists ny[L].$   
 $v \in lstA \wedge is\_nth(L, x, v, nx) \wedge is\_nth(L, y, v, ny) \wedge$   
 $is\_bool\_of\_o(L, nx \in ny, bo) \wedge pair(L, v, bo, u)),$   
 $\lambda i u. \exists v \in Lset(i). v \in B \wedge (\exists bo \in Lset(i). \exists nx \in Lset(i). \exists ny$   
 $\in Lset(i).$   
 $v \in lstA \wedge is\_nth(\#\#Lset(i), x, v, nx) \wedge$   
 $is\_nth(\#\#Lset(i), y, v, ny) \wedge$   
 $is\_bool\_of\_o(\#\#Lset(i), nx \in ny, bo) \wedge pair(\#\#Lset(i), v, bo,$   
 $u)]]$ "  
 ⟨*proof*⟩

**lemma** *Member\_replacement*:  
 "[ $|L(A); x \in nat; y \in nat|]$   
 $\implies strong\_replacement$   
 $(L, \lambda env z. \exists bo[L]. \exists nx[L]. \exists ny[L].$   
 $env \in list(A) \ \& \ is\_nth(L, x, env, nx) \ \& \ is\_nth(L, y, env, ny)$   
 $\&$   
 $is\_bool\_of\_o(L, nx \in ny, bo) \ \&$   
 $pair(L, env, bo, z))]$ "  
 ⟨*proof*⟩

### 13.4.2 The Equal Case

**lemma** *Equal\_Reflects*:  
 "REFLECTS[ $\lambda u. \exists v[L]. v \in B \wedge (\exists bo[L]. \exists nx[L]. \exists ny[L].$   
 $v \in lstA \wedge is\_nth(L, x, v, nx) \wedge is\_nth(L, y, v, ny) \wedge$   
 $is\_bool\_of\_o(L, nx = ny, bo) \wedge pair(L, v, bo, u)),$   
 $\lambda i u. \exists v \in Lset(i). v \in B \wedge (\exists bo \in Lset(i). \exists nx \in Lset(i). \exists ny$   
 $\in Lset(i).$   
 $v \in lstA \wedge is\_nth(\#\#Lset(i), x, v, nx) \wedge$   
 $is\_nth(\#\#Lset(i), y, v, ny) \wedge$   
 $is\_bool\_of\_o(\#\#Lset(i), nx = ny, bo) \wedge pair(\#\#Lset(i), v, bo,$   
 $u)]]$ "  
 ⟨*proof*⟩

**lemma** *Equal\_replacement*:  
 "[ $|L(A); x \in nat; y \in nat|]$

```

==> strong_replacement
  (L,  $\lambda env z. \exists bo[L]. \exists nx[L]. \exists ny[L].$ 
    env  $\in list(A) \ \& \ is\_nth(L,x,env,nx) \ \& \ is\_nth(L,y,env,ny)$ )
&
  (is_bool_of_o(L, nx = ny, bo) &
   pair(L, env, bo, z))"
<proof>

```

### 13.4.3 The Nand Case

lemma Nand\_Reflects:

```

"REFLECTS [ $\lambda x. \exists u[L]. u \in B \ \wedge$ 
  ( $\exists rpe[L]. \exists rqe[L]. \exists andpq[L]. \exists notpq[L].$ 
    fun_apply(L, rp, u, rpe)  $\wedge$  fun_apply(L, rq, u, rqe)  $\wedge$ 
    is_and(L, rpe, rqe, andpq)  $\wedge$  is_not(L, andpq, notpq)]
&
  ( $\lambda i x. \exists u \in Lset(i). u \in B \ \wedge$ 
    ( $\exists rpe \in Lset(i). \exists rqe \in Lset(i). \exists andpq \in Lset(i). \exists notpq \in Lset(i).$ 
      fun_apply(##Lset(i), rp, u, rpe)  $\wedge$  fun_apply(##Lset(i), rq, u,
rqe)  $\wedge$ 
      is_and(##Lset(i), rpe, rqe, andpq)  $\wedge$  is_not(##Lset(i), andpq, notpq))
    u  $\in list(A) \ \wedge$  pair(##Lset(i), u, notpq, x))]"
<proof>

```

lemma Nand\_replacement:

```

"[L(A); L(rp); L(rq)]
==> strong_replacement
  (L,  $\lambda env z. \exists rpe[L]. \exists rqe[L]. \exists andpq[L]. \exists notpq[L].$ 
    fun_apply(L,rp,env,rpe) & fun_apply(L,rq,env,rqe) &
    is_and(L,rpe,rqe,andpq) & is_not(L,andpq,notpq) &
    env  $\in list(A) \ \& \ pair(L, env, notpq, z)$ )"
<proof>

```

### 13.4.4 The Forall Case

lemma Forall\_Reflects:

```

"REFLECTS [ $\lambda x. \exists u[L]. u \in B \ \wedge \ (\exists bo[L]. u \in list(A) \ \wedge$ 
  is_bool_of_o (L,
 $\forall a[L]. \forall co[L]. \forall rpco[L]. a \in A \ \longrightarrow$ 
    is_Cons(L,a,u,co)  $\longrightarrow$  fun_apply(L,rp,co,rpco)  $\longrightarrow$ 
    number1(L,rpco),
    bo)  $\wedge$  pair(L,u,bo,x)),
   $\lambda i x. \exists u \in Lset(i). u \in B \ \wedge \ (\exists bo \in Lset(i). u \in list(A) \ \wedge$ 
    is_bool_of_o (##Lset(i),
 $\forall a \in Lset(i). \forall co \in Lset(i). \forall rpco \in Lset(i). a \in A \ \longrightarrow$ 
    is_Cons(##Lset(i),a,u,co)  $\longrightarrow$  fun_apply(##Lset(i),rp,co,rpco)
 $\longrightarrow$ 
    number1(##Lset(i),rpco),

```

```

                                bo) ∧ pair(##Lset(i),u,bo,x))]"
⟨proof⟩

lemma Forall_replacement:
  "[|L(A); L(rp)|]
  ==> strong_replacement
    (L, λenv z. ∃bo[L].
      env ∈ list(A) &
      is_bool_of_o (L,
        ∀a[L]. ∀co[L]. ∀rpco[L].
          a∈A --> is_Cons(L,a,env,co) -->
          fun_apply(L,rp,co,rpco) --> number1(L,
rpco),
                                bo) &
      pair(L,env,bo,z))]"
⟨proof⟩

```

#### 13.4.5 The transrec\_replacement Case

```

lemma formula_rec_replacement_Reflects:
  "REFLECTS [λx. ∃u[L]. u ∈ B ∧ (∃y[L]. pair(L, u, y, x) ∧
    is_wfrec (L, satisfies_MH(L,A), mesa, u, y)),
    λi x. ∃u ∈ Lset(i). u ∈ B ∧ (∃y ∈ Lset(i). pair(##Lset(i), u, y,
x) ∧
    is_wfrec (##Lset(i), satisfies_MH(##Lset(i),A), mesa, u,
y))]"
⟨proof⟩

```

```

lemma formula_rec_replacement:
  — For the transrec
  "[|n ∈ nat; L(A)|] ==> transrec_replacement(L, satisfies_MH(L,A), n)"
⟨proof⟩

```

#### 13.4.6 The Lambda Replacement Case

```

lemma formula_rec_lambda_replacement_Reflects:
  "REFLECTS [λx. ∃u[L]. u ∈ B &
    mem_formula(L,u) &
    (∃c[L].
      is_formula_case
        (L, satisfies_is_a(L,A), satisfies_is_b(L,A),
          satisfies_is_c(L,A,g), satisfies_is_d(L,A,g),
          u, c) &
      pair(L,u,c,x)),
    λi x. ∃u ∈ Lset(i). u ∈ B & mem_formula(##Lset(i),u) &
    (∃c ∈ Lset(i).
      is_formula_case
        (##Lset(i), satisfies_is_a(##Lset(i),A), satisfies_is_b(##Lset(i),A),
          satisfies_is_c(##Lset(i),A,g), satisfies_is_d(##Lset(i),A,g),
          u, c) &

```

```

      pair(##Lset(i),u,c,x))]"
⟨proof⟩

lemma formula_rec_lambda_replacement:
  — For the transrec
  "[|L(g); L(A)|] ==>
  strong_replacement (L,
    λx y. mem_formula(L,x) &
      (∃ c[L]. is_formula_case(L, satisfies_is_a(L,A),
        satisfies_is_b(L,A),
        satisfies_is_c(L,A,g),
        satisfies_is_d(L,A,g), x, c) &
        pair(L, x, c, y)))]"
⟨proof⟩

```

### 13.5 Instantiating $M_{\text{satisfies}}$

```

lemma M_satisfies_axioms_L: "M_satisfies_axioms(L)"
⟨proof⟩

```

```

theorem M_satisfies_L: "PROP M_satisfies(L)"
⟨proof⟩

```

Finally: the point of the whole theory!

```

lemmas satisfies_closed = M_satisfies.satisfies_closed [OF M_satisfies_L]
and satisfies_abs = M_satisfies.satisfies_abs [OF M_satisfies_L]

```

end

## 14 Absoluteness for the Definable Powerset Function

```

theory DPow_absolute imports Satisfies_absolute begin

```

### 14.1 Preliminary Internalizations

#### 14.1.1 The Operator $is\_formula\_rec$

The three arguments of  $p$  are always 2, 1, 0. It is buried within 11 quantifiers!!

```

constdefs formula_rec_fm :: "[i, i, i]=>i"
  "formula_rec_fm(mh,p,z) ==
  Exists(Exists(Exists(
    And(finite_ordinal_fm(2),
      And(depth_fm(p#+3,2),
        And(succ_fm(2,1),
          And(fun_apply_fm(0,p#+3,z#+3), is_transrec_fm(mh,1,0)))))))))"

```

```

lemma is_formula_rec_type [TC]:
  "[| p ∈ formula; x ∈ nat; z ∈ nat |]
   ==> formula_rec_fm(p,x,z) ∈ formula"
⟨proof⟩

lemma sats_formula_rec_fm:
  assumes MH_iff_sats:
    "!!a0 a1 a2 a3 a4 a5 a6 a7 a8 a9 a10.
     [|a0∈A; a1∈A; a2∈A; a3∈A; a4∈A; a5∈A; a6∈A; a7∈A; a8∈A; a9∈A;
     a10∈A|]
     ==> MH(a2, a1, a0) <->
       sats(A, p, Cons(a0,Cons(a1,Cons(a2,Cons(a3,
         Cons(a4,Cons(a5,Cons(a6,Cons(a7,
           Cons(a8,Cons(a9,Cons(a10,env)))))))))))))"
  shows
    "[|x ∈ nat; z ∈ nat; env ∈ list(A)|]
     ==> sats(A, formula_rec_fm(p,x,z), env) <->
       is_formula_rec(##A, MH, nth(x,env), nth(z,env))"
⟨proof⟩

lemma formula_rec_iff_sats:
  assumes MH_iff_sats:
    "!!a0 a1 a2 a3 a4 a5 a6 a7 a8 a9 a10.
     [|a0∈A; a1∈A; a2∈A; a3∈A; a4∈A; a5∈A; a6∈A; a7∈A; a8∈A; a9∈A;
     a10∈A|]
     ==> MH(a2, a1, a0) <->
       sats(A, p, Cons(a0,Cons(a1,Cons(a2,Cons(a3,
         Cons(a4,Cons(a5,Cons(a6,Cons(a7,
           Cons(a8,Cons(a9,Cons(a10,env)))))))))))))"
  shows
    "[|nth(i,env) = x; nth(k,env) = z;
     i ∈ nat; k ∈ nat; env ∈ list(A)|]
     ==> is_formula_rec(##A, MH, x, z) <-> sats(A, formula_rec_fm(p,i,k),
     env)"
⟨proof⟩

theorem formula_rec_reflection:
  assumes MH_reflection:
    "!!f' f g h. REFLECTS[λx. MH(L, f'(x), f(x), g(x), h(x)),
      λi x. MH(##Lset(i), f'(x), f(x), g(x), h(x))]"
  shows "REFLECTS[λx. is_formula_rec(L, MH(L,x), f(x), h(x)),
    λi x. is_formula_rec(##Lset(i), MH(##Lset(i),x), f(x),
    h(x))]"
⟨proof⟩

```

### 14.1.2 The Operator *is\_satisfies*

```
constdefs satisfies_fm :: "[i,i,i]=>i"
```

```

"satisfies_fm(x) == formula_rec_fm (satisfies_MH_fm(x#+5#+6, 2, 1,
0))"

lemma is_satisfies_type [TC]:
  "[| x ∈ nat; y ∈ nat; z ∈ nat |] ==> satisfies_fm(x,y,z) ∈ formula"
⟨proof⟩

lemma sats_satisfies_fm [simp]:
  "[| x ∈ nat; y ∈ nat; z ∈ nat; env ∈ list(A)|]
  ==> sats(A, satisfies_fm(x,y,z), env) <->
  is_satisfies(##A, nth(x,env), nth(y,env), nth(z,env))"
⟨proof⟩

lemma satisfies_iff_sats:
  "[| nth(i,env) = x; nth(j,env) = y; nth(k,env) = z;
  i ∈ nat; j ∈ nat; k ∈ nat; env ∈ list(A)|]
  ==> is_satisfies(##A, x, y, z) <-> sats(A, satisfies_fm(i,j,k),
env)"
⟨proof⟩

theorem satisfies_reflection:
  "REFLECTS[λx. is_satisfies(L,f(x),g(x),h(x)),
  λi x. is_satisfies(##Lset(i),f(x),g(x),h(x))]"
⟨proof⟩

```

## 14.2 Relativization of the Operator $DPow'$

```

lemma DPow'_eq:
  "DPow'(A) = {z . ep ∈ list(A) * formula,
  ∃env ∈ list(A). ∃p ∈ formula.
  ep = <env,p> & z = {x∈A. sats(A, p, Cons(x,env))}}"
⟨proof⟩

```

Relativize the use of `sats` within  $DPow'$  (the comprehension).

```

constdefs
  is_DPow_sats :: "[i=>o,i,i,i,i] => o"
  "is_DPow_sats(M,A,env,p,x) ==
  ∀n1[M]. ∀e[M]. ∀sp[M].
  is_satisfies(M,A,p,sp) --> is_Cons(M,x,env,e) -->
  fun_apply(M, sp, e, n1) --> number1(M, n1)"

```

```

lemma (in M_satisfies) DPow_sats_abs:
  "[| M(A); env ∈ list(A); p ∈ formula; M(x) |]
  ==> is_DPow_sats(M,A,env,p,x) <-> sats(A, p, Cons(x,env))"
⟨proof⟩

```

```

lemma (in M_satisfies) Collect_DPow_sats_abs:
  "[| M(A); env ∈ list(A); p ∈ formula |]
  ==> Collect(A, is_DPow_sats(M,A,env,p)) =

```

```

    {x ∈ A. sats(A, p, Cons(x,env))}"
⟨proof⟩

```

### 14.2.1 The Operator *is\_DPow\_sats*, Internalized

```

constdefs DPow_sats_fm :: "[i,i,i,i]=>i"
  "DPow_sats_fm(A,env,p,x) ==
    Forall(Forall(Forall(
      Implies(satisfies_fm(A#+3,p#+3,0),
        Implies(Cons_fm(x#+3,env#+3,1),
          Implies(fun_apply_fm(0,1,2), number1_fm(2)))))))"

```

```

lemma is_DPow_sats_type [TC]:
  "[| A ∈ nat; x ∈ nat; y ∈ nat; z ∈ nat |]
  ==> DPow_sats_fm(A,x,y,z) ∈ formula"
⟨proof⟩

```

```

lemma sats_DPow_sats_fm [simp]:
  "[| u ∈ nat; x ∈ nat; y ∈ nat; z ∈ nat; env ∈ list(A) |]
  ==> sats(A, DPow_sats_fm(u,x,y,z), env) <->
    is_DPow_sats(##A, nth(u,env), nth(x,env), nth(y,env), nth(z,env))"
⟨proof⟩

```

```

lemma DPow_sats_iff_sats:
  "[| nth(u,env) = nu; nth(x,env) = nx; nth(y,env) = ny; nth(z,env) =
  nz;
    u ∈ nat; x ∈ nat; y ∈ nat; z ∈ nat; env ∈ list(A) |]
  ==> is_DPow_sats(##A,nu,nx,ny,nz) <->
    sats(A, DPow_sats_fm(u,x,y,z), env)"
⟨proof⟩

```

```

theorem DPow_sats_reflection:
  "REFLECTS[λx. is_DPow_sats(L,f(x),g(x),h(x),g'(x)),
    λi x. is_DPow_sats(##Lset(i),f(x),g(x),h(x),g'(x))]"
⟨proof⟩

```

### 14.3 A Locale for Relativizing the Operator *DPow'*

```

locale M_DPow = M_satisfies +
  assumes sep:
    "[| M(A); env ∈ list(A); p ∈ formula |]
    ==> separation(M, λx. is_DPow_sats(M,A,env,p,x))"
  and rep:
    "M(A)
    ==> strong_replacement (M,
      λep z. ∃ env[M]. ∃ p[M]. mem_formula(M,p) & mem_list(M,A,env)
    &
      pair(M,env,p,ep) &
      is_Collect(M, A, λx. is_DPow_sats(M,A,env,p,x), z))"

```

```

lemma (in M_DPow) sep':
  "[| M(A); env ∈ list(A); p ∈ formula |]
  ==> separation(M, λx. sats(A, p, Cons(x,env)))"
⟨proof⟩

```

```

lemma (in M_DPow) rep':
  "M(A)
  ==> strong_replacement (M,
    λep z. ∃ env∈list(A). ∃ p∈formula.
      ep = ⟨env,p⟩ & z = {x ∈ A . sats(A, p, Cons(x, env))})"
⟨proof⟩

```

```

lemma univalent_pair_eq:
  "univalent (M, A, λxy z. ∃ x∈B. ∃ y∈C. xy = ⟨x,y⟩ ∧ z = f(x,y))"
⟨proof⟩

```

```

lemma (in M_DPow) DPow'_closed: "M(A) ==> M(DPow'(A))"
⟨proof⟩

```

Relativization of the Operator  $DPow'$

```

constdefs
  is_DPow' :: "[i=>o, i, i] => o"
  "is_DPow'(M,A,Z) ==
  ∀ X[M]. X ∈ Z <->
  subset(M,X,A) &
  (∃ env[M]. ∃ p[M]. mem_formula(M,p) & mem_list(M,A,env) &
  is_Collect(M, A, is_DPow_sats(M,A,env,p), X))"

```

```

lemma (in M_DPow) DPow'_abs:
  "[| M(A); M(Z) |] ==> is_DPow'(M,A,Z) <-> Z = DPow'(A)"
⟨proof⟩

```

## 14.4 Instantiating the Locale $M\_DPow$

### 14.4.1 The Instance of Separation

```

lemma DPow_separation:
  "[| L(A); env ∈ list(A); p ∈ formula |]
  ==> separation(L, λx. is_DPow_sats(L,A,env,p,x))"
⟨proof⟩

```

### 14.4.2 The Instance of Replacement

```

lemma DPow_replacement_Reflects:
  "REFLECTS [λx. ∃ u[L]. u ∈ B &
  (∃ env[L]. ∃ p[L].
  mem_formula(L,p) & mem_list(L,A,env) & pair(L,env,p,u)
  &

```

```

        is_Collect (L, A, is_DPow_sats(L,A,env,p), x)),
    λi x. ∃u ∈ Lset(i). u ∈ B &
        (∃env ∈ Lset(i). ∃p ∈ Lset(i).
            mem_formula(##Lset(i),p) & mem_list(##Lset(i),A,env) &

            pair(##Lset(i),env,p,u) &
            is_Collect (##Lset(i), A, is_DPow_sats(##Lset(i),A,env,p),
x))]"]"
<proof>

```

```

lemma DPow_replacement:
    "L(A)
    ==> strong_replacement (L,
        λep z. ∃env[L]. ∃p[L]. mem_formula(L,p) & mem_list(L,A,env)
    &
        pair(L,env,p,ep) &
        is_Collect(L, A, λx. is_DPow_sats(L,A,env,p,x), z))"
<proof>

```

#### 14.4.3 Actually Instantiating the Locale

```

lemma M_DPow_axioms_L: "M_DPow_axioms(L)"
<proof>

```

```

theorem M_DPow_L: "PROP M_DPow(L)"
<proof>

```

```

lemmas DPow'_closed [intro, simp] = M_DPow.DPow'_closed [OF M_DPow_L]
and DPow'_abs [intro, simp] = M_DPow.DPow'_abs [OF M_DPow_L]

```

#### 14.4.4 The Operator is\_Collect

The formula  $is\_P$  has one free variable, 0, and it is enclosed within a single quantifier.

```

constdefs Collect_fm :: "[i, i, i]=>i"
    "Collect_fm(A,is_P,z) ==
        Forall(Iff(Member(0,succ(z)),
            And(Member(0,succ(A)), is_P)))"

```

```

lemma is_Collect_type [TC]:
    "[| is_P ∈ formula; x ∈ nat; y ∈ nat |]
    ==> Collect_fm(x,is_P,y) ∈ formula"
<proof>

```

```

lemma sats_Collect_fm:
    assumes is_P_iff_sats:
        "!!a. a ∈ A ==> is_P(a) <-> sats(A, p, Cons(a, env))"
    shows
        "[|x ∈ nat; y ∈ nat; env ∈ list(A)|]

```

```

    ==> sats(A, Collect_fm(x,p,y), env) <->
        is_Collect(##A, nth(x,env), is_P, nth(y,env))"
<proof>

```

```

lemma Collect_iff_sats:
  assumes is_P_iff_sats:
    "!!a. a ∈ A ==> is_P(a) <-> sats(A, p, Cons(a, env))"
  shows
    "[| nth(i,env) = x; nth(j,env) = y;
      i ∈ nat; j ∈ nat; env ∈ list(A) |]
    ==> is_Collect(##A, x, is_P, y) <-> sats(A, Collect_fm(i,p,j), env)"
<proof>

```

The second argument of `is_P` gives it direct access to `x`, which is essential for handling free variable references.

```

theorem Collect_reflection:
  assumes is_P_reflection:
    "!!h f g. REFLECTS[λx. is_P(L, f(x), g(x)),
      λi x. is_P(##Lset(i), f(x), g(x))]"
  shows "REFLECTS[λx. is_Collect(L, f(x), is_P(L,x), g(x)),
    λi x. is_Collect(##Lset(i), f(x), is_P(##Lset(i), x), g(x))]"
<proof>

```

#### 14.4.5 The Operator `is_Replace`

BEWARE! The formula `is_P` has free variables 0, 1 and not the usual 1, 0! It is enclosed within two quantifiers.

```

constdefs Replace_fm :: "[i, i, i]=>i"
  "Replace_fm(A,is_P,z) ==
    Forall(Iff(Member(0,succ(z)),
      Exists(And(Member(0,A#+2), is_P))))"

```

```

lemma is_Replace_type [TC]:
  "[| is_P ∈ formula; x ∈ nat; y ∈ nat |]
  ==> Replace_fm(x,is_P,y) ∈ formula"
<proof>

```

```

lemma sats_Replace_fm:
  assumes is_P_iff_sats:
    "!!a b. [|a ∈ A; b ∈ A|]
    ==> is_P(a,b) <-> sats(A, p, Cons(a,Cons(b,env)))"
  shows
    "[|x ∈ nat; y ∈ nat; env ∈ list(A)|]
    ==> sats(A, Replace_fm(x,p,y), env) <->
      is_Replace(##A, nth(x,env), is_P, nth(y,env))"
<proof>

```

```

lemma Replace_iff_sats:

```

```

assumes is_P_iff_sats:
  "!!a b. [|a ∈ A; b ∈ A|]
    ==> is_P(a,b) <-> sats(A, p, Cons(a,Cons(b,env)))"
shows
  "[| nth(i,env) = x; nth(j,env) = y;
    i ∈ nat; j ∈ nat; env ∈ list(A)|]
    ==> is_Replace(##A, x, is_P, y) <-> sats(A, Replace_fm(i,p,j), env)"
<proof>

```

The second argument of `is_P` gives it direct access to `x`, which is essential for handling free variable references.

```

theorem Replace_reflection:
  assumes is_P_reflection:
    "!!h f g. REFLECTS[λx. is_P(L, f(x), g(x), h(x)),
      λi x. is_P(##Lset(i), f(x), g(x), h(x))]"
  shows "REFLECTS[λx. is_Replace(L, f(x), is_P(L,x), g(x)),
    λi x. is_Replace(##Lset(i), f(x), is_P(##Lset(i), x), g(x))]"
<proof>

```

#### 14.4.6 The Operator `is_DPow'`, Internalized

```

constdefs DPow'_fm :: "[i,i]=>i"
  "DPow'_fm(A,Z) ==
  Forall(
    Iff(Member(0,succ(Z)),
      And(subset_fm(0,succ(A)),
        Exists(Exists(
          And(mem_formula_fm(0),
            And(mem_list_fm(A#+3,1),
              Collect_fm(A#+3,
                DPow_sats_fm(A#+4, 2, 1, 0), 2))))))))"

```

```

lemma is_DPow'_type [TC]:
  "[| x ∈ nat; y ∈ nat |] ==> DPow'_fm(x,y) ∈ formula"
<proof>

```

```

lemma sats_DPow'_fm [simp]:
  "[| x ∈ nat; y ∈ nat; env ∈ list(A)|]
    ==> sats(A, DPow'_fm(x,y), env) <->
    is_DPow'(##A, nth(x,env), nth(y,env))"
<proof>

```

```

lemma DPow'_iff_sats:
  "[| nth(i,env) = x; nth(j,env) = y;
    i ∈ nat; j ∈ nat; env ∈ list(A)|]
    ==> is_DPow'(##A, x, y) <-> sats(A, DPow'_fm(i,j), env)"
<proof>

```

```

theorem DPow'_reflection:

```

```

"REFLECTS[ $\lambda x. \text{is\_DPow}'(L, f(x), g(x)),$ 
 $\lambda i x. \text{is\_DPow}'(\#\text{Lset}(i), f(x), g(x))]$ "
<proof>

```

## 14.5 A Locale for Relativizing the Operator $\text{Lset}$

**constdefs**

```

transrec_body :: "[ $i \Rightarrow o, i, i, i, i$ ]  $\Rightarrow o$ "
"transrec_body(M, g, x) ==
 $\lambda y z. \exists gy[M]. y \in x \ \& \ \text{fun\_apply}(M, g, y, gy) \ \& \ \text{is\_DPow}'(M, gy, z) "$ "

```

**lemma** (in  $M\_DPow$ ) transrec\_body\_abs:

```

" $[|M(x); M(g)|]$ 
 $\Rightarrow \text{transrec\_body}(M, g, x, y, z) \ \leftrightarrow \ y \in x \ \& \ z = \text{DPow}'(g' y) "$ "
<proof>

```

**locale**  $M\_Lset = M\_DPow +$

**assumes** strong\_rep:

```

" $[|M(x); M(g)|]$   $\Rightarrow \text{strong\_replacement}(M, \lambda y z. \text{transrec\_body}(M, g, x, y, z)) "$ "

```

**and** transrec\_rep:

```

" $M(i) \Rightarrow \text{transrec\_replacement}(M, \lambda x f u.
\exists r[M]. \text{is\_Replace}(M, x, \text{transrec\_body}(M, f, x), r) \ \&
\text{big\_union}(M, r, u), i) "$ "

```

**lemma** (in  $M\_Lset$ ) strong\_rep':

```

" $[|M(x); M(g)|]$ 
 $\Rightarrow \text{strong\_replacement}(M, \lambda y z. y \in x \ \& \ z = \text{DPow}'(g' y)) "$ "
<proof>

```

**lemma** (in  $M\_Lset$ )  $\text{DPow\_apply\_closed}$ :

```

" $[|M(f); M(x); y \in x|]$   $\Rightarrow M(\text{DPow}'(f' y)) "$ "
<proof>

```

**lemma** (in  $M\_Lset$ )  $\text{RepFun\_DPow\_apply\_closed}$ :

```

" $[|M(f); M(x)|]$   $\Rightarrow M(\{\text{DPow}'(f' y). y \in x\}) "$ "
<proof>

```

**lemma** (in  $M\_Lset$ )  $\text{RepFun\_DPow\_abs}$ :

```

" $[|M(x); M(f); M(r) |]$ 
 $\Rightarrow \text{is\_Replace}(M, x, \lambda y z. \text{transrec\_body}(M, f, x, y, z), r) \ \leftrightarrow$ 
 $r = \{\text{DPow}'(f' y). y \in x\} "$ "
<proof>

```

**lemma** (in  $M\_Lset$ ) transrec\_rep':

```

" $M(i) \Rightarrow \text{transrec\_replacement}(M, \lambda x f u. u = (\bigcup_{y \in x. \text{DPow}'(f' y)),
i) "$ "
<proof>

```

Relativization of the Operator  $\text{Lset}$

**constdefs**

```
is_Lset :: "[i=>o, i, i] => o"
```

— We can use the term language below because *is\_Lset* will not have to be internalized: it isn't used in any instance of separation.

```
"is_Lset(M,a,z) == is_transrec(M, %x f u. u = (∪y∈x. DPow'(f'y)),
a, z)"
```

**lemma** (in *M\_Lset*) *Lset\_abs*:

```
"[!Ord(i); M(i); M(z)]
==> is_Lset(M,i,z) <-> z = Lset(i)"
⟨proof⟩
```

**lemma** (in *M\_Lset*) *Lset\_closed*:

```
"[!Ord(i); M(i)] ==> M(Lset(i))"
⟨proof⟩
```

## 14.6 Instantiating the Locale *M\_Lset*

### 14.6.1 The First Instance of Replacement

**lemma** *strong\_rep\_Reflects*:

```
"REFLECTS [λu. ∃v[L]. v ∈ B & (∃gy[L].
v ∈ x & fun_apply(L,g,v,gy) & is_DPow'(L,gy,u)),
λi u. ∃v ∈ Lset(i). v ∈ B & (∃gy ∈ Lset(i).
v ∈ x & fun_apply(##Lset(i),g,v,gy) & is_DPow'(##Lset(i),gy,u))]"
⟨proof⟩
```

**lemma** *strong\_rep*:

```
"[!L(x); L(g)] ==> strong_replacement(L, λy z. transrec_body(L,g,x,y,z))"
⟨proof⟩
```

### 14.6.2 The Second Instance of Replacement

**lemma** *transrec\_rep\_Reflects*:

```
"REFLECTS [λx. ∃v[L]. v ∈ B &
(∃y[L]. pair(L,v,y,x) &
is_wfrec (L, λx f u. ∃r[L].
is_Replace (L, x, λy z.
∃gy[L]. y ∈ x & fun_apply(L,f,y,gy) &
is_DPow'(L,gy,z), r) & big_union(L,r,u), mr, v,
y)),
λi x. ∃v ∈ Lset(i). v ∈ B &
(∃y ∈ Lset(i). pair(##Lset(i),v,y,x) &
is_wfrec (##Lset(i), λx f u. ∃r ∈ Lset(i).
is_Replace (##Lset(i), x, λy z.
∃gy ∈ Lset(i). y ∈ x & fun_apply(##Lset(i),f,y,gy)
&
is_DPow'(##Lset(i),gy,z), r) &
big_union(##Lset(i),r,u), mr, v, y))]"
⟨proof⟩
```

```

lemma transrec_rep:
  "[|L(j)|]
  ==> transrec_replacement(L, λx f u.
    ∃ r[L]. is_Replace(L, x, transrec_body(L,f,x), r) &
    big_union(L, r, u), j)"
⟨proof⟩

```

### 14.6.3 Actually Instantiating $M\_Lset$

```

lemma M_Lset_axioms_L: "M_Lset_axioms(L)"
⟨proof⟩

```

```

theorem M_Lset_L: "PROP M_Lset(L)"
⟨proof⟩

```

Finally: the point of the whole theory!

```

lemmas Lset_closed = M_Lset.Lset_closed [OF M_Lset_L]
and Lset_abs = M_Lset.Lset_abs [OF M_Lset_L]

```

## 14.7 The Notion of Constructible Set

```

constdefs
  constructible :: "[i=>o,i] => o"
  "constructible(M,x) ==
  ∃ i[M]. ∃ Li[M]. ordinal(M,i) & is_Lset(M,i,Li) & x ∈ Li"

```

```

theorem V_equals_L_in_L:
  "L(x) ==> constructible(L,x)"
⟨proof⟩

```

end

## 15 The Axiom of Choice Holds in L!

```

theory AC_in_L imports Formula begin

```

### 15.1 Extending a Wellordering over a List – Lexicographic Power

This could be moved into a library.

```

consts
  rlist    :: "[i,i]=>i"

inductive
  domains "rlist(A,r)" ⊆ "list(A) * list(A)"

```

```

intros
  shorterI:
    "[| length(l') < length(l); l' ∈ list(A); l ∈ list(A) |]"
    ==> <l', l> ∈ rlist(A,r)"

  sameI:
    "[| <l',l> ∈ rlist(A,r); a ∈ A |]"
    ==> <Cons(a,l'), Cons(a,l)> ∈ rlist(A,r)"

  diffI:
    "[| length(l') = length(l); <a',a> ∈ r;
      l' ∈ list(A); l ∈ list(A); a' ∈ A; a ∈ A |]"
    ==> <Cons(a',l'), Cons(a,l)> ∈ rlist(A,r)"
type_intros list.intros

```

### 15.1.1 Type checking

```

lemmas rlist_type = rlist.dom_subset

```

```

lemmas field_rlist = rlist_type [THEN field_rel_subset]

```

### 15.1.2 Linearity

```

lemma rlist_Nil_Cons [intro]:
  "[| a ∈ A; l ∈ list(A) |] ==> <[], Cons(a,l)> ∈ rlist(A, r)"
  <proof>

```

```

lemma linear_rlist:
  "linear(A,r) ==> linear(list(A),rlist(A,r))"
  <proof>

```

### 15.1.3 Well-foundedness

Nothing precedes Nil in this ordering.

```

inductive_cases rlist_NilE: " <l, []> ∈ rlist(A,r)"

```

```

inductive_cases rlist_ConsE: " <l', Cons(x,l)> ∈ rlist(A,r)"

```

```

lemma not_rlist_Nil [simp]: " <l, []> ∉ rlist(A,r)"
  <proof>

```

```

lemma rlist_imp_length_le: "<l',l> ∈ rlist(A,r) ==> length(l') ≤ length(l)"
  <proof>

```

```

lemma wf_on_rlist_n:
  "[| n ∈ nat; wf[A](r) |] ==> wf[{l ∈ list(A). length(l) = n}](rlist(A,r))"
  <proof>

```

```
lemma list_eq_UN_length: "list(A) = ( $\bigcup_{n \in \text{nat}} \{l \in \text{list}(A). \text{length}(l) = n\}$ )"
<proof>
```

```
lemma wf_on_rlist: "wf[A](r) ==> wf[list(A)](rlist(A,r))"
<proof>
```

```
lemma wf_rlist: "wf(r) ==> wf(rlist(field(r),r))"
<proof>
```

```
lemma well_ord_rlist:
  "well_ord(A,r) ==> well_ord(list(A), rlist(A,r))"
<proof>
```

## 15.2 An Injection from Formulas into the Natural Numbers

There is a well-known bijection between  $\text{nat} \times \text{nat}$  and  $\text{nat}$  given by the expression  $f(m,n) = \text{triangle}(m+n) + m$ , where  $\text{triangle}(k)$  enumerates the triangular numbers and can be defined by  $\text{triangle}(0)=0$ ,  $\text{triangle}(\text{succ}(k)) = \text{succ}(k + \text{triangle}(k))$ . Some small amount of effort is needed to show that  $f$  is a bijection. We already know that such a bijection exists by the theorem *well\_ord\_InfCard\_square\_eq*:

```
[[well_ord(A, r); InfCard(|A|)]] ==> A  $\times$  A  $\approx$  A
```

However, this result merely states that there is a bijection between the two sets. It provides no means of naming a specific bijection. Therefore, we conduct the proofs under the assumption that a bijection exists. The simplest way to organize this is to use a locale.

Locale for any arbitrary injection between  $\text{nat} \times \text{nat}$  and  $\text{nat}$

```
locale Nat_Times_Nat =
  fixes fn
  assumes fn_inj: "fn  $\in$  inj(nat*nat, nat)"

consts  enum :: "[i,i]=>i"
primrec
  "enum(f, Member(x,y)) = f ' <0, f ' <x,y>>"
  "enum(f, Equal(x,y)) = f ' <1, f ' <x,y>>"
  "enum(f, Nand(p,q)) = f ' <2, f ' <enum(f,p), enum(f,q)>>"
  "enum(f, Forall(p)) = f ' <succ(2), enum(f,p)>"

lemma (in Nat_Times_Nat) fn_type [TC,simp]:
  "[|x  $\in$  nat; y  $\in$  nat|] ==> fn'<x,y>  $\in$  nat"
<proof>
```

```

lemma (in Nat_Times_Nat) fn_iff:
  "[x ∈ nat; y ∈ nat; u ∈ nat; v ∈ nat/]
  ==> (fn'⟨x,y⟩ = fn'⟨u,v⟩) <-> (x=u & y=v)"
⟨proof⟩

lemma (in Nat_Times_Nat) enum_type [TC,simp]:
  "p ∈ formula ==> enum(fn,p) ∈ nat"
⟨proof⟩

lemma (in Nat_Times_Nat) enum_inject [rule_format]:
  "p ∈ formula ==> ∀ q∈formula. enum(fn,p) = enum(fn,q) --> p=q"
⟨proof⟩

lemma (in Nat_Times_Nat) inj_formula_nat:
  "(λp ∈ formula. enum(fn,p)) ∈ inj(formula, nat)"
⟨proof⟩

lemma (in Nat_Times_Nat) well_ord_formula:
  "well_ord(formula, measure(formula, enum(fn)))"
⟨proof⟩

lemmas nat_times_nat_lepoll_nat =
  InfCard_nat [THEN InfCard_square_eqpoll, THEN eqpoll_imp_lepoll]

Not needed—but interesting?

theorem formula_lepoll_nat: "formula ≲ nat"
⟨proof⟩

```

### 15.3 Defining the Wellordering on $DPow(A)$

The objective is to build a wellordering on  $DPow(A)$  from a given one on  $A$ . We first introduce wellorderings for environments, which are lists built over  $A$ . We combine it with the enumeration of formulas. The order type of the resulting wellordering gives us a map from (environment, formula) pairs into the ordinals. For each member of  $DPow(A)$ , we take the minimum such ordinal.

**constdefs**

```

env_form_r :: "[i,i,i]=>i"
  — wellordering on (environment, formula) pairs
"env_form_r(f,r,A) ==
  rmult(list(A), rlist(A, r),
        formula, measure(formula, enum(f)))"

env_form_map :: "[i,i,i,i]=>i"
  — map from (environment, formula) pairs to ordinals
"env_form_map(f,r,A,z)
  == ordermap(list(A) * formula, env_form_r(f,r,A)) ‘ z"

```

```

DPow_ord :: "[i,i,i,i,i]=>o"
  — predicate that holds if k is a valid index for X
"DPow_ord(f,r,A,X,k) ==
  ∃ env ∈ list(A). ∃ p ∈ formula.
  arity(p) ≤ succ(length(env)) &
  X = {x∈A. sats(A, p, Cons(x,env))} &
  env_form_map(f,r,A,<env,p>) = k"

DPow_least :: "[i,i,i,i,i]=>i"
  — function yielding the smallest index for X
"DPow_least(f,r,A,X) == μ k. DPow_ord(f,r,A,X,k)"

DPow_r :: "[i,i,i]=>i"
  — a wellordering on DPow(A)
"DPow_r(f,r,A) == measure(DPow(A), DPow_least(f,r,A))"

lemma (in Nat_Times_Nat) well_ord_env_form_r:
  "well_ord(A,r)
  ==> well_ord(list(A) * formula, env_form_r(fn,r,A))"
⟨proof⟩

lemma (in Nat_Times_Nat) Ord_env_form_map:
  "[|well_ord(A,r); z ∈ list(A) * formula|]
  ==> Ord(env_form_map(fn,r,A,z))"
⟨proof⟩

lemma DPow_imp_ex_DPow_ord:
  "X ∈ DPow(A) ==> ∃ k. DPow_ord(fn,r,A,X,k)"
⟨proof⟩

lemma (in Nat_Times_Nat) DPow_ord_imp_Ord:
  "[|DPow_ord(fn,r,A,X,k); well_ord(A,r)|] ==> Ord(k)"
⟨proof⟩

lemma (in Nat_Times_Nat) DPow_imp_DPow_least:
  "[|X ∈ DPow(A); well_ord(A,r)|]
  ==> DPow_ord(fn, r, A, X, DPow_least(fn,r,A,X))"
⟨proof⟩

lemma (in Nat_Times_Nat) env_form_map_inject:
  "[|env_form_map(fn,r,A,u) = env_form_map(fn,r,A,v); well_ord(A,r);
  u ∈ list(A) * formula; v ∈ list(A) * formula|]
  ==> u=v"
⟨proof⟩

lemma (in Nat_Times_Nat) DPow_ord_unique:
  "[|DPow_ord(fn,r,A,X,k); DPow_ord(fn,r,A,Y,k); well_ord(A,r)|]

```

==> X=Y"  
 <proof>

**lemma** (in Nat\_Times\_Nat) well\_ord\_DPow\_r:  
 "well\_ord(A,r) ==> well\_ord(DPow(A), DPow\_r(fn,r,A))"  
 <proof>

**lemma** (in Nat\_Times\_Nat) DPow\_r\_type:  
 "DPow\_r(fn,r,A)  $\subseteq$  DPow(A) \* DPow(A)"  
 <proof>

## 15.4 Limit Construction for Well-Orderings

Now we work towards the transfinite definition of wellorderings for  $Lset(i)$ . We assume as an inductive hypothesis that there is a family of wellorderings for smaller ordinals.

**constdefs**

*rlimit* :: "[i,i=>i]=>i"

— Expresses the wellordering at limit ordinals. The conditional lets us remove the premise  $Limit(i)$  from some theorems.

"rlimit(i,r) ==  
 if Limit(i) then  
 {z: Lset(i) \* Lset(i).  
 $\exists x' x. z = \langle x', x \rangle \ \&$   
 $(lrank(x') < lrank(x) \mid$   
 $(lrank(x') = lrank(x) \ \& \ \langle x', x \rangle \in r(succ(lrank(x))))}$   
 else 0"

*Lset\_new* :: "i=>i"

— This constant denotes the set of elements introduced at level  $succ(i)$

"Lset\_new(i) == {x  $\in$  Lset(succ(i)). lrank(x) = i}"

**lemma** Limit\_Lset\_eq2:  
 "Limit(i) ==> Lset(i) = ( $\bigcup_{j \in i} Lset\_new(j)$ )"  
 <proof>

**lemma** wf\_on\_Lset:  
 "wf[Lset(succ(j))](r(succ(j))) ==> wf[Lset\_new(j)](rlimit(i,r))"  
 <proof>

**lemma** wf\_on\_rlimit:  
 "( $\forall j < i. wf[Lset(j)](r(j))$ ) ==> wf[Lset(i)](rlimit(i,r))"  
 <proof>

**lemma** linear\_rlimit:  
 "[|Limit(i);  $\forall j < i. linear(Lset(j), r(j))$  |]  
 ==> linear(Lset(i), rlimit(i,r))"  
 <proof>

```

lemma well_ord_rlimit:
  "[|Limit(i);  $\forall j < i.$  well_ord(Lset(j), r(j)) |]
  ==> well_ord(Lset(i), rlimit(i,r))"
<proof>

lemma rlimit_cong:
  "(!!j. j < i ==> r'(j) = r(j)) ==> rlimit(i,r) = rlimit(i,r')"
<proof>

```

## 15.5 Transfinite Definition of the Wellordering on $L$

```

constdefs
  L_r :: "[i, i] => i"
  "L_r(f) == %i.
    transrec3(i, 0,  $\lambda x r.$  DPow_r(f, r, Lset(x)),
       $\lambda x r.$  rlimit(x,  $\lambda y.$  r'y))"

```

### 15.5.1 The Corresponding Recursion Equations

```

lemma [simp]: "L_r(f,0) = 0"
<proof>

lemma [simp]: "L_r(f, succ(i)) = DPow_r(f, L_r(f,i), Lset(i))"
<proof>

```

The limit case is non-trivial because of the distinction between object-level and meta-level abstraction.

```

lemma [simp]: "Limit(i) ==> L_r(f,i) = rlimit(i, L_r(f))"
<proof>

```

```

lemma (in Nat_Times_Nat) L_r_type:
  "Ord(i) ==> L_r(fn,i)  $\subseteq$  Lset(i) * Lset(i)"
<proof>

```

```

lemma (in Nat_Times_Nat) well_ord_L_r:
  "Ord(i) ==> well_ord(Lset(i), L_r(fn,i))"
<proof>

```

```

lemma well_ord_L_r:
  "Ord(i) ==>  $\exists r.$  well_ord(Lset(i), r)"
<proof>

```

Locale for proving results under the assumption  $V=L$

```

locale V_equals_L =
  assumes VL: "L(x)"

```

The Axiom of Choice holds in  $L$ ! Or, to be precise, the Wellordering Theorem.

```

theorem (in V_equals_L) AC: " $\exists r.$  well_ord(x,r)"

```

*<proof>*

end

## 16 Absoluteness for Order Types, Rank Functions and Well-Founded Relations

theory Rank imports WF\_absolute begin

### 16.1 Order Types: A Direct Construction by Replacement

```
locale M_ordertype = M_basic +
assumes well_ord_iso_separation:
  "[| M(A); M(f); M(r) |]
  ==> separation (M,  $\lambda x. x \in A \rightarrow (\exists y[M]. (\exists p[M].$ 
    fun_apply(M,f,x,y) & pair(M,y,x,p) & p  $\in$  r)))"
and obase_separation:
  — part of the order type formalization
  "[| M(A); M(r) |]
  ==> separation(M,  $\lambda a. \exists x[M]. \exists g[M]. \exists mx[M]. \exists par[M].$ 
    ordinal(M,x) & membership(M,x,mx) & pred_set(M,A,a,r,par)
&
    order_isomorphism(M,par,r,x,mx,g))"
and obase_equals_separation:
  "[| M(A); M(r) |]
  ==> separation (M,  $\lambda x. x \in A \rightarrow \sim(\exists y[M]. \exists g[M].$ 
    ordinal(M,y) &  $(\exists my[M]. \exists pxr[M].$ 
    membership(M,y,my) & pred_set(M,A,x,r,pxr)
&
    order_isomorphism(M,pxr,r,y,my,g))))"
and omap_replacement:
  "[| M(A); M(r) |]
  ==> strong_replacement(M,
     $\lambda a z. \exists x[M]. \exists g[M]. \exists mx[M]. \exists par[M].$ 
    ordinal(M,x) & pair(M,a,x,z) & membership(M,x,mx) &
    pred_set(M,A,a,r,par) & order_isomorphism(M,par,r,x,mx,g))"
```

Inductive argument for Kunen's Lemma I 6.1, etc. Simple proof from Halmos, page 72

```
lemma (in M_ordertype) wellordered_iso_subset_lemma:
  "[| wellordered(M,A,r); f  $\in$  ord_iso(A,r, A',r); A'  $\leq$  A; y  $\in$  A;
```

```
  M(A); M(f); M(r) |] ==>  $\sim \langle f'y, y \rangle \in r$ "
```

*<proof>*

Kunen's Lemma I 6.1, page 14: there's no order-isomorphism to an initial segment of a well-ordering

```

lemma (in M_ordertype) wellordered_iso_predD:
  "[| wellordered(M,A,r); f ∈ ord_iso(A, r, Order.pred(A,x,r), r);
    M(A); M(f); M(r) |] ==> x ∉ A"
⟨proof⟩

```

```

lemma (in M_ordertype) wellordered_iso_pred_eq_lemma:
  "[| f ∈ ⟨Order.pred(A,y,r), r⟩ ≅ ⟨Order.pred(A,x,r), r⟩;
    wellordered(M,A,r); x∈A; y∈A; M(A); M(f); M(r) |] ==> ⟨x,y⟩ ∉
r"
⟨proof⟩

```

Simple consequence of Lemma 6.1

```

lemma (in M_ordertype) wellordered_iso_pred_eq:
  "[| wellordered(M,A,r);
    f ∈ ord_iso(Order.pred(A,a,r), r, Order.pred(A,c,r), r);
    M(A); M(f); M(r); a∈A; c∈A |] ==> a=c"
⟨proof⟩

```

Following Kunen's Theorem I 7.6, page 17. Note that this material is not required elsewhere.

Can't use `well_ord_iso_preserving` because it needs the strong premise `well_ord(A, r)`

```

lemma (in M_ordertype) ord_iso_pred_imp_lt:
  "[| f ∈ ord_iso(Order.pred(A,x,r), r, i, Memrel(i));
    g ∈ ord_iso(Order.pred(A,y,r), r, j, Memrel(j));
    wellordered(M,A,r); x ∈ A; y ∈ A; M(A); M(r); M(f); M(g);
M(j);
    Ord(i); Ord(j); ⟨x,y⟩ ∈ r |]
==> i < j"
⟨proof⟩

```

```

lemma ord_iso_converse1:
  "[| f: ord_iso(A,r,B,s); ⟨b, f'a⟩: s; a:A; b:B |]
==> ⟨converse(f) ' b, a⟩ ∈ r"
⟨proof⟩

```

**constdefs**

```

obase :: "[i=>o,i,i] => i"
  — the domain of om, eventually shown to equal A
"obase(M,A,r) == {a∈A. ∃x[M]. ∃g[M]. Ord(x) &
  g ∈ ord_iso(Order.pred(A,a,r),r,x,Memrel(x))}"

omap :: "[i=>o,i,i,i] => o"

```

— the function that maps wosets to order types

```
"omap(M,A,r,f) ==
  ∀ z[M].
    z ∈ f <-> (∃ a∈A. ∃ x[M]. ∃ g[M]. z = <a,x> & Ord(x) &
      g ∈ ord_iso(Order.pred(A,a,r),r,x,Memrel(x)))"
```

```
otype :: "[i=>o,i,i,i] => o" — the order types themselves
"otype(M,A,r,i) == ∃ f[M]. omap(M,A,r,f) & is_range(M,f,i)"
```

Can also be proved with the premise  $M(z)$  instead of  $M(f)$ , but that version is less useful. This lemma is also more useful than the definition, `omap_def`.

```
lemma (in M_ordertype) omap_iff:
  "[| omap(M,A,r,f); M(A); M(f) |]
  ==> z ∈ f <->
    (∃ a∈A. ∃ x[M]. ∃ g[M]. z = <a,x> & Ord(x) &
      g ∈ ord_iso(Order.pred(A,a,r),r,x,Memrel(x)))"
<proof>
```

```
lemma (in M_ordertype) omap_unique:
  "[| omap(M,A,r,f); omap(M,A,r,f'); M(A); M(r); M(f); M(f') |] ==>
  f' = f"
<proof>
```

```
lemma (in M_ordertype) omap_yields_Ord:
  "[| omap(M,A,r,f); <a,x> ∈ f; M(a); M(x) |] ==> Ord(x)"
<proof>
```

```
lemma (in M_ordertype) otype_iff:
  "[| otype(M,A,r,i); M(A); M(r); M(i) |]
  ==> x ∈ i <->
    (M(x) & Ord(x) &
      (∃ a∈A. ∃ g[M]. g ∈ ord_iso(Order.pred(A,a,r),r,x,Memrel(x))))"
<proof>
```

```
lemma (in M_ordertype) otype_eq_range:
  "[| omap(M,A,r,f); otype(M,A,r,i); M(A); M(r); M(f); M(i) |]
  ==> i = range(f)"
<proof>
```

```
lemma (in M_ordertype) Ord_otype:
  "[| otype(M,A,r,i); trans[A](r); M(A); M(r); M(i) |] ==> Ord(i)"
<proof>
```

```
lemma (in M_ordertype) domain_omap:
  "[| omap(M,A,r,f); M(A); M(r); M(B); M(f) |]
  ==> domain(f) = obase(M,A,r)"
<proof>
```

```

lemma (in M_ordertype) omap_subset:
  "[| omap(M,A,r,f); otype(M,A,r,i);
    M(A); M(r); M(f); M(B); M(i) |] ==> f ⊆ obase(M,A,r) * i"
  <proof>

```

```

lemma (in M_ordertype) omap_funtype:
  "[| omap(M,A,r,f); otype(M,A,r,i);
    M(A); M(r); M(f); M(i) |] ==> f ∈ obase(M,A,r) -> i"
  <proof>

```

```

lemma (in M_ordertype) wellordered_omap_bij:
  "[| wellordered(M,A,r); omap(M,A,r,f); otype(M,A,r,i);
    M(A); M(r); M(f); M(i) |] ==> f ∈ bij(obase(M,A,r),i)"
  <proof>

```

This is not the final result: we must show  $oB(A, r) = A$

```

lemma (in M_ordertype) omap_ord_iso:
  "[| wellordered(M,A,r); omap(M,A,r,f); otype(M,A,r,i);
    M(A); M(r); M(f); M(i) |] ==> f ∈ ord_iso(obase(M,A,r),r,i,Memrel(i))"
  <proof>

```

```

lemma (in M_ordertype) Ord_omap_image_pred:
  "[| wellordered(M,A,r); omap(M,A,r,f); otype(M,A,r,i);
    M(A); M(r); M(f); M(i); b ∈ A |] ==> Ord(f ‘‘ Order.pred(A,b,r))"
  <proof>

```

```

lemma (in M_ordertype) restrict_omap_ord_iso:
  "[| wellordered(M,A,r); omap(M,A,r,f); otype(M,A,r,i);
    D ⊆ obase(M,A,r); M(A); M(r); M(f); M(i) |]
  ==> restrict(f,D) ∈ ((D,r) ≅ ⟨f‘‘D, Memrel(f‘‘D)⟩)"
  <proof>

```

```

lemma (in M_ordertype) obase_equals:
  "[| wellordered(M,A,r); omap(M,A,r,f); otype(M,A,r,i);
    M(A); M(r); M(f); M(i) |] ==> obase(M,A,r) = A"
  <proof>

```

Main result:  $om$  gives the order-isomorphism  $\langle A, r \rangle \cong \langle i, Memrel(i) \rangle$

```

theorem (in M_ordertype) omap_ord_iso_otype:
  "[| wellordered(M,A,r); omap(M,A,r,f); otype(M,A,r,i);
    M(A); M(r); M(f); M(i) |] ==> f ∈ ord_iso(A, r, i, Memrel(i))"
  <proof>

```

```

lemma (in M_ordertype) obase_exists:
  "[| M(A); M(r) |] ==> M(obase(M,A,r))"
  <proof>

```

```

lemma (in M_ordertype) omap_exists:
  "[| M(A); M(r) |] ==> ∃z[M]. omap(M,A,r,z)"
⟨proof⟩

declare rall_simps [simp] rex_simps [simp]

lemma (in M_ordertype) otype_exists:
  "[| wellordered(M,A,r); M(A); M(r) |] ==> ∃i[M]. otype(M,A,r,i)"
⟨proof⟩

lemma (in M_ordertype) ordertype_exists:
  "[| wellordered(M,A,r); M(A); M(r) |]
  ==> ∃f[M]. (∃i[M]. Ord(i) & f ∈ ord_iso(A, r, i, Memrel(i)))"
⟨proof⟩

lemma (in M_ordertype) relativized_imp_well_ord:
  "[| wellordered(M,A,r); M(A); M(r) |] ==> well_ord(A,r)"
⟨proof⟩

```

## 16.2 Kunen's theorem 5.4, page 127

(a) The notion of Wellordering is absolute

```

theorem (in M_ordertype) well_ord_abs [simp]:
  "[| M(A); M(r) |] ==> wellordered(M,A,r) <-> well_ord(A,r)"
⟨proof⟩

```

(b) Order types are absolute

```

theorem (in M_ordertype)
  "[| wellordered(M,A,r); f ∈ ord_iso(A, r, i, Memrel(i));
  M(A); M(r); M(f); M(i); Ord(i) |] ==> i = ordertype(A,r)"
⟨proof⟩

```

## 16.3 Ordinal Arithmetic: Two Examples of Recursion

Note: the remainder of this theory is not needed elsewhere.

### 16.3.1 Ordinal Addition

constdefs

```

is_oadd_fun :: "[i=>o,i,i,i,i] => o"
is_oadd_fun(M,i,j,x,f) ==
  (∀sj msj. M(sj) --> M(msj) -->
  successor(M,j,sj) --> membership(M,sj,msj) -->
  M_is_recfun(M,
  %x g y. ∃gx[M]. image(M,g,x,gx) & union(M,i,gx,y),
  msj, x, f))"

```

```

is_oadd :: "[i=>o,i,i,i] => o"
  "is_oadd(M,i,j,k) ==
    (~ ordinal(M,i) & ~ ordinal(M,j) & k=0) |
    (~ ordinal(M,i) & ordinal(M,j) & k=j) |
    (ordinal(M,i) & ~ ordinal(M,j) & k=i) |
    (ordinal(M,i) & ordinal(M,j) &
      (∃ f fj sj. M(f) & M(fj) & M(sj) &
        successor(M,j,sj) & is_oadd_fun(M,i,sj,sj,f) &
        fun_apply(M,f,j,fj) & fj = k))"

omult_eqns :: "[i,i,i,i] => o"
  "omult_eqns(i,x,g,z) ==
    Ord(x) &
    (x=0 --> z=0) &
    (∀ j. x = succ(j) --> z = g'j ++ i) &
    (Limit(x) --> z = ⋃ (g'x))"

is_omult_fun :: "[i=>o,i,i,i] => o"
  "is_omult_fun(M,i,j,f) ==
    (∃ df. M(df) & is_function(M,f) &
      is_domain(M,f,df) & subset(M, j, df)) &
    (∀ x∈j. omult_eqns(i,x,f,f'x))"

is_omult :: "[i=>o,i,i,i] => o"
  "is_omult(M,i,j,k) ==
    ∃ f fj sj. M(f) & M(fj) & M(sj) &
      successor(M,j,sj) & is_omult_fun(M,i,sj,f) &
      fun_apply(M,f,j,fj) & fj = k"

locale M_ord_arith = M_ordertype +
  assumes oadd_strong_replacement:
    "[| M(i); M(j) |] ==>
      strong_replacement(M,
        λx z. ∃ y[M]. pair(M,x,y,z) &
          (∃ f[M]. ∃ fx[M]. is_oadd_fun(M,i,j,x,f) &
            image(M,f,x,fx) & y = i Un fx))"

  and omult_strong_replacement':
    "[| M(i); M(j) |] ==>
      strong_replacement(M,
        λx z. ∃ y[M]. z = <x,y> &
          (∃ g[M]. is_recfun(Memrel(succ(j)),x,%x g. THE z. omult_eqns(i,x,g,z),g)
            &
              y = (THE z. omult_eqns(i, x, g, z))))"

is_oadd_fun: Relating the pure "language of set theory" to Isabelle/ZF

```

```

lemma (in M_ord_arith) is_oadd_fun_iff:
  "[| a ≤ j; M(i); M(j); M(a); M(f) |]
  ==> is_oadd_fun(M,i,j,a,f) <->
    f ∈ a → range(f) & (∀ x. M(x) --> x < a --> f'x = i Un f'x)"
⟨proof⟩

lemma (in M_ord_arith) oadd_strong_replacement':
  "[| M(i); M(j) |] ==>
    strong_replacement(M,
      λx z. ∃ y[M]. z = <x,y> &
        (∃ g[M]. is_recfun(Memrel(succ(j)),x,%x g. i Un g'x,g)
&
          y = i Un g'x))"
⟨proof⟩

lemma (in M_ord_arith) exists_oadd:
  "[| Ord(j); M(i); M(j) |]
  ==> ∃ f[M]. is_recfun(Memrel(succ(j)), j, %x g. i Un g'x, f)"
⟨proof⟩

lemma (in M_ord_arith) exists_oadd_fun:
  "[| Ord(j); M(i); M(j) |] ==> ∃ f[M]. is_oadd_fun(M,i,succ(j),succ(j),f)"
⟨proof⟩

lemma (in M_ord_arith) is_oadd_fun_apply:
  "[| x < j; M(i); M(j); M(f); is_oadd_fun(M,i,j,j,f) |]
  ==> f'x = i Un (⋃ k ∈ x. {f'k})"
⟨proof⟩

lemma (in M_ord_arith) is_oadd_fun_iff_oadd [rule_format]:
  "[| is_oadd_fun(M,i,J,J,f); M(i); M(J); M(f); Ord(i); Ord(j) |]
  ==> j < J --> f'j = i++j"
⟨proof⟩

lemma (in M_ord_arith) Ord_oadd_abs:
  "[| M(i); M(j); M(k); Ord(i); Ord(j) |] ==> is_oadd(M,i,j,k) <-> k
= i++j"
⟨proof⟩

lemma (in M_ord_arith) oadd_abs:
  "[| M(i); M(j); M(k) |] ==> is_oadd(M,i,j,k) <-> k = i++j"
⟨proof⟩

lemma (in M_ord_arith) oadd_closed [intro,simp]:
  "[| M(i); M(j) |] ==> M(i++j)"
⟨proof⟩

```

### 16.3.2 Ordinal Multiplication

**lemma** *omult\_eqns\_unique*:

"[| *omult\_eqns*(*i*,*x*,*g*,*z*); *omult\_eqns*(*i*,*x*,*g*,*z'*) |] ==> *z*=*z'*"  
<proof>

**lemma** *omult\_eqns\_0*: "*omult\_eqns*(*i*,0,*g*,*z*) <-> *z*=0"

<proof>

**lemma** *the\_omult\_eqns\_0*: "(THE *z*. *omult\_eqns*(*i*,0,*g*,*z*)) = 0"

<proof>

**lemma** *omult\_eqns\_succ*: "*omult\_eqns*(*i*,*succ*(*j*),*g*,*z*) <-> *Ord*(*j*) & *z* = *g*'*j* ++ *i*"

<proof>

**lemma** *the\_omult\_eqns\_succ*:

"*Ord*(*j*) ==> (THE *z*. *omult\_eqns*(*i*,*succ*(*j*),*g*,*z*)) = *g*'*j* ++ *i*"  
<proof>

**lemma** *omult\_eqns\_Limit*:

"*Limit*(*x*) ==> *omult\_eqns*(*i*,*x*,*g*,*z*) <-> *z* =  $\bigcup$  (*g*'*x*)"  
<proof>

**lemma** *the\_omult\_eqns\_Limit*:

"*Limit*(*x*) ==> (THE *z*. *omult\_eqns*(*i*,*x*,*g*,*z*)) =  $\bigcup$  (*g*'*x*)"  
<proof>

**lemma** *omult\_eqns\_Not*: "~ *Ord*(*x*) ==> ~ *omult\_eqns*(*i*,*x*,*g*,*z*)"

<proof>

**lemma** (in *M\_ord\_arith*) *the\_omult\_eqns\_closed*:

"[| *M*(*i*); *M*(*x*); *M*(*g*); *function*(*g*) |]  
==> *M*(THE *z*. *omult\_eqns*(*i*, *x*, *g*, *z*))"  
<proof>

**lemma** (in *M\_ord\_arith*) *exists\_omult*:

"[| *Ord*(*j*); *M*(*i*); *M*(*j*) |]  
==>  $\exists f[M]. \text{is\_recfun}(\text{Memrel}(\text{succ}(j)), j, \%x g. \text{THE } z. \text{omult\_eqns}(i, x, g, z), f)$ "  
<proof>

**lemma** (in *M\_ord\_arith*) *exists\_omult\_fun*:

"[| *Ord*(*j*); *M*(*i*); *M*(*j*) |] ==>  $\exists f[M]. \text{is\_omult\_fun}(M, i, \text{succ}(j), f)$ "  
<proof>

**lemma** (in *M\_ord\_arith*) *is\_omult\_fun\_apply\_0*:

"[| 0 < *j*; *is\_omult\_fun*(*M*,*i*,*j*,*f*) |] ==> *f*'0 = 0"  
<proof>

```

lemma (in M_ord_arith) is_omult_fun_apply_succ:
  "[| succ(x) < j; is_omult_fun(M,i,j,f) |] ==> f'succ(x) = f'x ++ i"
  <proof>

lemma (in M_ord_arith) is_omult_fun_apply_Limit:
  "[| x < j; Limit(x); M(j); M(f); is_omult_fun(M,i,j,f) |]
  ==> f ' x = (⋃y∈x. f'y)"
  <proof>

lemma (in M_ord_arith) is_omult_fun_eq_omult:
  "[| is_omult_fun(M,i,J,f); M(J); M(f); Ord(i); Ord(j) |]
  ==> j<J --> f'j = i**j"
  <proof>

lemma (in M_ord_arith) omult_abs:
  "[| M(i); M(j); M(k); Ord(i); Ord(j) |] ==> is_omult(M,i,j,k) <->
  k = i**j"
  <proof>

```

## 16.4 Absoluteness of Well-Founded Relations

Relativized to  $M$ : Every well-founded relation is a subset of some inverse image of an ordinal. Key step is the construction (in  $M$ ) of a rank function.

```

locale M_wfrank = M_trancl +
  assumes wfrank_separation:
    "M(r) ==>
    separation (M, λx.
      ∀rplus[M]. tran_closure(M,r,rplus) -->
      ~ (∃f[M]. M_is_recfun(M, %x f y. is_range(M,f,y), rplus, x,
      f)))"
  and wfrank_strong_replacement:
    "M(r) ==>
    strong_replacement(M, λx z.
      ∀rplus[M]. tran_closure(M,r,rplus) -->
      (∃y[M]. ∃f[M]. pair(M,x,y,z) &
      M_is_recfun(M, %x f y. is_range(M,f,y), rplus,
      x, f) &
      is_range(M,f,y)))"
  and Ord_wfrank_separation:
    "M(r) ==>
    separation (M, λx.
      ∀rplus[M]. tran_closure(M,r,rplus) -->
      ~ (∀f[M]. ∀rangef[M].
      is_range(M,f,rangef) -->
      M_is_recfun(M, λx f y. is_range(M,f,y), rplus, x, f) -->
      ordinal(M,rangef)))"

```

Proving that the relativized instances of Separation or Replacement agree

with the "real" ones.

```
lemma (in M_wfrank) wfrank_separation':
  "M(r) ==>
  separation
  (M,  $\lambda x. \sim (\exists f[M]. \text{is\_recfun}(r^+, x, \%x f. \text{range}(f), f))$ )"
<proof>
```

```
lemma (in M_wfrank) wfrank_strong_replacement':
  "M(r) ==>
  strong_replacement(M,  $\lambda x z. \exists y[M]. \exists f[M].$ 
    pair(M,x,y,z) & is_recfun(r^+, x, \%x f. range(f), f)
  &
    y = range(f))"
<proof>
```

```
lemma (in M_wfrank) Ord_wfrank_separation':
  "M(r) ==>
  separation (M,  $\lambda x.$ 
     $\sim (\forall f[M]. \text{is\_recfun}(r^+, x, \lambda x. \text{range}, f) \rightarrow \text{Ord}(\text{range}(f)))$ )"
<proof>
```

This function, defined using replacement, is a rank function for well-founded relations within the class M.

**constdefs**

```
wellfoundedrank :: "[i=>o,i,i] => i"
"wellfoundedrank(M,r,A) ==
  {p. x∈A,  $\exists y[M]. \exists f[M].$ 
    p = <x,y> & is_recfun(r^+, x, \%x f. range(f), f)
  &
    y = range(f)}"
```

```
lemma (in M_wfrank) exists_wfrank:
  "[| wellfounded(M,r); M(a); M(r) |]
  ==>  $\exists f[M]. \text{is\_recfun}(r^+, a, \%x f. \text{range}(f), f)$ "
<proof>
```

```
lemma (in M_wfrank) M_wellfoundedrank:
  "[| wellfounded(M,r); M(r); M(A) |] ==> M(wellfoundedrank(M,r,A))"
<proof>
```

```
lemma (in M_wfrank) Ord_wfrank_range [rule_format]:
  "[| wellfounded(M,r); a∈A; M(r); M(A) |]
  ==>  $\forall f[M]. \text{is\_recfun}(r^+, a, \%x f. \text{range}(f), f) \rightarrow \text{Ord}(\text{range}(f))$ "
<proof>
```

```
lemma (in M_wfrank) Ord_range_wellfoundedrank:
  "[| wellfounded(M,r); r  $\subseteq$  A*A; M(r); M(A) |]
  ==> Ord (range(wellfoundedrank(M,r,A)))"
```

*<proof>*

**lemma** (in *M\_wfrank*) *function\_wellfoundedrank*:  
" [| wellfounded(M,r); M(r); M(A) | ]  
==> function(wellfoundedrank(M,r,A))"

*<proof>*

**lemma** (in *M\_wfrank*) *domain\_wellfoundedrank*:  
" [| wellfounded(M,r); M(r); M(A) | ]  
==> domain(wellfoundedrank(M,r,A)) = A"

*<proof>*

**lemma** (in *M\_wfrank*) *wellfoundedrank\_type*:  
" [| wellfounded(M,r); M(r); M(A) | ]  
==> wellfoundedrank(M,r,A) ∈ A -> range(wellfoundedrank(M,r,A))"

*<proof>*

**lemma** (in *M\_wfrank*) *Ord\_wellfoundedrank*:  
" [| wellfounded(M,r); a ∈ A; r ⊆ A\*A; M(r); M(A) | ]  
==> Ord(wellfoundedrank(M,r,A) ‘ a)"

*<proof>*

**lemma** (in *M\_wfrank*) *wellfoundedrank\_eq*:  
" [| is\_recfun(r^+, a, %x. range, f);  
wellfounded(M,r); a ∈ A; M(f); M(r); M(A) | ]  
==> wellfoundedrank(M,r,A) ‘ a = range(f)"

*<proof>*

**lemma** (in *M\_wfrank*) *wellfoundedrank\_lt*:  
" [| <a,b> ∈ r;  
wellfounded(M,r); r ⊆ A\*A; M(r); M(A) | ]  
==> wellfoundedrank(M,r,A) ‘ a < wellfoundedrank(M,r,A) ‘ b"

*<proof>*

**lemma** (in *M\_wfrank*) *wellfounded\_imp\_subset\_rvimage*:  
" [| wellfounded(M,r); r ⊆ A\*A; M(r); M(A) | ]  
==> ∃ i f. Ord(i) & r ≤ rvimage(A, f, Memrel(i))"

*<proof>*

**lemma** (in *M\_wfrank*) *wellfounded\_imp\_wf*:  
" [| wellfounded(M,r); relation(r); M(r) | ] ==> wf(r)"

*<proof>*

**lemma** (in *M\_wfrank*) *wellfounded\_on\_imp\_wf\_on*:  
" [| wellfounded\_on(M,A,r); relation(r); M(r); M(A) | ] ==> wf[A](r)"

*<proof>*

```

theorem (in M_wfrank) wf_abs:
  "[/relation(r); M(r)|] ==> wellfounded(M,r) <-> wf(r)"
  <proof>

theorem (in M_wfrank) wf_on_abs:
  "[/relation(r); M(r); M(A)|] ==> wellfounded_on(M,A,r) <-> wf[A](r)"
  <proof>

end

```

## 17 Separation for Facts About Order Types, Rank Functions and Well-Founded Relations

```

theory Rank_Separation imports Rank Rec_Separation begin

```

This theory proves all instances needed for locales  $M\_ordertype$  and  $M\_wfrank$ . But the material is not needed for proving the relative consistency of AC.

### 17.1 The Locale $M\_ordertype$

#### 17.1.1 Separation for Order-Isomorphisms

```

lemma well_ord_iso_Reflects:
  "REFLECTS[ $\lambda x. x \in A \rightarrow$ 
    ( $\exists y[L]. \exists p[L]. \text{fun\_apply}(L,f,x,y) \ \& \ \text{pair}(L,y,x,p) \ \& \ p \in r$ ),
     $\lambda i x. x \in A \rightarrow (\exists y \in \text{Lset}(i). \exists p \in \text{Lset}(i). \text{fun\_apply}(\#\#\text{Lset}(i),f,x,y) \ \& \ \text{pair}(\#\#\text{Lset}(i),y,x,p) \ \& \ p \in r)$ ]"
  <proof>

```

```

lemma well_ord_iso_separation:
  "[/ L(A); L(f); L(r) |]
  ==> separation (L,  $\lambda x. x \in A \rightarrow (\exists y[L]. (\exists p[L]. \text{fun\_apply}(L,f,x,y) \ \& \ \text{pair}(L,y,x,p) \ \& \ p \in r))$ )"
  <proof>

```

#### 17.1.2 Separation for obase

```

lemma obase_reflects:
  "REFLECTS[ $\lambda a. \exists x[L]. \exists g[L]. \exists mx[L]. \exists par[L].$ 
    ordinal(L,x) & membership(L,x,mx) & pred_set(L,A,a,r,par)
  &
    order_isomorphism(L,par,r,x,mx,g),
     $\lambda i a. \exists x \in \text{Lset}(i). \exists g \in \text{Lset}(i). \exists mx \in \text{Lset}(i). \exists par \in \text{Lset}(i). \text{ordinal}(\#\#\text{Lset}(i),x) \ \& \ \text{membership}(\#\#\text{Lset}(i),x,mx) \ \& \ \text{pred\_set}(\#\#\text{Lset}(i),A,a,r,p)$ 
  &
    order_isomorphism(\#\#\text{Lset}(i),par,r,x,mx,g)]"

```

*<proof>*

**lemma** *obase\_separation*:

— part of the order type formalization

"[| L(A); L(r) |]

==> separation(L,  $\lambda a. \exists x[L]. \exists g[L]. \exists mx[L]. \exists par[L].$

ordinal(L,x) & membership(L,x,mx) & pred\_set(L,A,a,r,par)

&

order\_isomorphism(L,par,r,x,mx,g))"

*<proof>*

### 17.1.3 Separation for a Theorem about obase

**lemma** *obase\_equals\_reflects*:

"REFLECTS[ $\lambda x. x \in A \rightarrow \sim(\exists y[L]. \exists g[L].$

ordinal(L,y) & ( $\exists my[L]. \exists pxr[L].$

membership(L,y,my) & pred\_set(L,A,x,r,pxr) &

order\_isomorphism(L,pxr,r,y,my,g))],

$\lambda i x. x \in A \rightarrow \sim(\exists y \in Lset(i). \exists g \in Lset(i).$

ordinal(##Lset(i),y) & ( $\exists my \in Lset(i). \exists pxr \in Lset(i).$

membership(##Lset(i),y,my) & pred\_set(##Lset(i),A,x,r,pxr)

&

order\_isomorphism(##Lset(i),pxr,r,y,my,g))]"

*<proof>*

**lemma** *obase\_equals\_separation*:

"[| L(A); L(r) |]

==> separation(L,  $\lambda x. x \in A \rightarrow \sim(\exists y[L]. \exists g[L].$

ordinal(L,y) & ( $\exists my[L]. \exists pxr[L].$

membership(L,y,my) & pred\_set(L,A,x,r,pxr)

&

order\_isomorphism(L,pxr,r,y,my,g))]"

*<proof>*

### 17.1.4 Replacement for omap

**lemma** *omap\_reflects*:

"REFLECTS[ $\lambda z. \exists a[L]. a \in B \& (\exists x[L]. \exists g[L]. \exists mx[L]. \exists par[L].$

ordinal(L,x) & pair(L,a,x,z) & membership(L,x,mx) &

pred\_set(L,A,a,r,par) & order\_isomorphism(L,par,r,x,mx,g)],

$\lambda i z. \exists a \in Lset(i). a \in B \& (\exists x \in Lset(i). \exists g \in Lset(i). \exists mx \in Lset(i).$

$\exists par \in Lset(i).$

ordinal(##Lset(i),x) & pair(##Lset(i),a,x,z) &

membership(##Lset(i),x,mx) & pred\_set(##Lset(i),A,a,r,par) &

order\_isomorphism(##Lset(i),par,r,x,mx,g))]"

*<proof>*

**lemma** *omap\_replacement*:

"[| L(A); L(r) |]

==> strong\_replacement(L,

```

    λa z. ∃x[L]. ∃g[L]. ∃mx[L]. ∃par[L].
    ordinal(L,x) & pair(L,a,x,z) & membership(L,x,mx) &
    pred_set(L,A,a,r,par) & order_isomorphism(L,par,r,x,mx,g))"
⟨proof⟩

```

## 17.2 Instantiating the locale $M\_ordertype$

Separation (and Strong Replacement) for basic set-theoretic constructions such as intersection, Cartesian Product and image.

```

lemma  $M\_ordertype\_axioms\_L$ : " $M\_ordertype\_axioms(L)$ "
  ⟨proof⟩

```

```

theorem  $M\_ordertype\_L$ : " $PROP M\_ordertype(L)$ "
  ⟨proof⟩

```

## 17.3 The Locale $M\_wfrank$

### 17.3.1 Separation for $wfrank$

```

lemma  $wfrank\_Reflects$ :
  "REFLECTS[λx. ∀rplus[L]. tran_closure(L,r,rplus) -->
    ~ (∃f[L].  $M\_is\_recfun(L, \%x f y. is\_range(L,f,y), rplus,$ 
  x, f)),
  λi x. ∀rplus ∈  $Lset(i)$ . tran_closure( $\#\#Lset(i)$ ,r,rplus) -->
    ~ (∃f ∈  $Lset(i)$ .
     $M\_is\_recfun(\#\#Lset(i), \%x f y. is\_range(\#\#Lset(i),f,y),$ 
    rplus, x, f))]"
  ⟨proof⟩

```

```

lemma  $wfrank\_separation$ :
  " $L(r) ==>$ 
  separation (L, λx. ∀rplus[L]. tran_closure(L,r,rplus) -->
    ~ (∃f[L].  $M\_is\_recfun(L, \%x f y. is\_range(L,f,y), rplus, x,$ 
  f)))"
  ⟨proof⟩

```

### 17.3.2 Replacement for $wfrank$

```

lemma  $wfrank\_replacement\_Reflects$ :
  "REFLECTS[λz. ∃x[L]. x ∈ A &
    (∀rplus[L]. tran_closure(L,r,rplus) -->
    (∃y[L]. ∃f[L]. pair(L,x,y,z) &
     $M\_is\_recfun(L, \%x f y. is\_range(L,f,y), rplus,$ 
  x, f) &
    is_range(L,f,y))),
  λi z. ∃x ∈  $Lset(i)$ . x ∈ A &
    (∀rplus ∈  $Lset(i)$ . tran_closure( $\#\#Lset(i)$ ,r,rplus) -->
    (∃y ∈  $Lset(i)$ . ∃f ∈  $Lset(i)$ . pair( $\#\#Lset(i)$ ,x,y,z) &

```

```

    M_is_recfun(##Lset(i), %x f y. is_range(##Lset(i),f,y), rplus,
x, f) &
    is_range(##Lset(i),f,y)))]"
<proof>

```

```

lemma wfrank_strong_replacement:
  "L(r) ==>
  strong_replacement(L, λx z.
    ∀rplus[L]. tran_closure(L,r,rplus) -->
    (∃y[L]. ∃f[L]. pair(L,x,y,z) &
      M_is_recfun(L, %x f y. is_range(L,f,y), rplus,
x, f) &
        is_range(L,f,y)))"
<proof>

```

### 17.3.3 Separation for Proving Ord\_wfrank\_range

```

lemma Ord_wfrank_Reflects:
  "REFLECTS[λx. ∀rplus[L]. tran_closure(L,r,rplus) -->
    ~ (∀f[L]. ∀rangef[L].
      is_range(L,f,rangef) -->
      M_is_recfun(L, λx f y. is_range(L,f,y), rplus, x, f) -->
      ordinal(L,rangef)),
  λi x. ∀rplus ∈ Lset(i). tran_closure(##Lset(i),r,rplus) -->
    ~ (∀f ∈ Lset(i). ∀rangef ∈ Lset(i).
      is_range(##Lset(i),f,rangef) -->
      M_is_recfun(##Lset(i), λx f y. is_range(##Lset(i),f,y),
        rplus, x, f) -->
      ordinal(##Lset(i),rangef))]"
<proof>

```

```

lemma Ord_wfrank_separation:
  "L(r) ==>
  separation (L, λx.
    ∀rplus[L]. tran_closure(L,r,rplus) -->
    ~ (∀f[L]. ∀rangef[L].
      is_range(L,f,rangef) -->
      M_is_recfun(L, λx f y. is_range(L,f,y), rplus, x, f) -->
      ordinal(L,rangef)))"
<proof>

```

### 17.3.4 Instantiating the locale M\_wfrank

```

lemma M_wfrank_axioms_L: "M_wfrank_axioms(L)"
<proof>

```

```

theorem M_wfrank_L: "PROP M_wfrank(L)"
<proof>

```

```

lemmas exists_wfrank = M_wfrank.exists_wfrank [OF M_wfrank_L]

```

```

and M_wellfoundedrank = M_wfrank.M_wellfoundedrank [OF M_wfrank_L]
and Ord_wfrank_range = M_wfrank.Ord_wfrank_range [OF M_wfrank_L]
and Ord_range_wellfoundedrank = M_wfrank.Ord_range_wellfoundedrank [OF
M_wfrank_L]
and function_wellfoundedrank = M_wfrank.function_wellfoundedrank [OF
M_wfrank_L]
and domain_wellfoundedrank = M_wfrank.domain_wellfoundedrank [OF M_wfrank_L]
and wellfoundedrank_type = M_wfrank.wellfoundedrank_type [OF M_wfrank_L]
and Ord_wellfoundedrank = M_wfrank.Ord_wellfoundedrank [OF M_wfrank_L]
and wellfoundedrank_eq = M_wfrank.wellfoundedrank_eq [OF M_wfrank_L]
and wellfoundedrank_lt = M_wfrank.wellfoundedrank_lt [OF M_wfrank_L]
and wellfounded_imp_subset_rvimage = M_wfrank.wellfounded_imp_subset_rvimage
[OF M_wfrank_L]
and wellfounded_imp_wf = M_wfrank.wellfounded_imp_wf [OF M_wfrank_L]
and wellfounded_on_imp_wf_on = M_wfrank.wellfounded_on_imp_wf_on [OF
M_wfrank_L]
and wf_abs = M_wfrank.wf_abs [OF M_wfrank_L]
and wf_on_abs = M_wfrank.wf_on_abs [OF M_wfrank_L]

end

```

## References

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