

# Examples of Inductive and Coinductive Definitions in ZF

Lawrence C Paulson and others

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## 1 Sample datatype definitions

**theory** *Datatypes* **imports** *Main* **begin**

## 1.1 A type with four constructors

It has four constructors, of arities 0–3, and two parameters  $A$  and  $B$ .

**consts**

$data :: [i, i] \Rightarrow i$

**datatype**  $data(A, B) =$

$Con0$   
|  $Con1 (a \in A)$   
|  $Con2 (a \in A, b \in B)$   
|  $Con3 (a \in A, b \in B, d \in data(A, B))$

**lemma**  $data-unfold$ :  $data(A, B) = (\{0\} + A) + (A \times B + A \times B \times data(A, B))$   
 $\langle proof \rangle$

Lemmas to justify using  $data$  in other recursive type definitions.

**lemma**  $data-mono$ :  $[[ A \subseteq C; B \subseteq D ]] \Rightarrow data(A, B) \subseteq data(C, D)$   
 $\langle proof \rangle$

**lemma**  $data-univ$ :  $data(univ(A), univ(A)) \subseteq univ(A)$   
 $\langle proof \rangle$

**lemma**  $data-subset-univ$ :  
 $[[ A \subseteq univ(C); B \subseteq univ(C) ]] \Rightarrow data(A, B) \subseteq univ(C)$   
 $\langle proof \rangle$

## 1.2 Example of a big enumeration type

Can go up to at least 100 constructors, but it takes nearly 7 minutes ...  
(back in 1994 that is).

**consts**

$enum :: i$

**datatype**  $enum =$

$C00 \mid C01 \mid C02 \mid C03 \mid C04 \mid C05 \mid C06 \mid C07 \mid C08 \mid C09$   
|  $C10 \mid C11 \mid C12 \mid C13 \mid C14 \mid C15 \mid C16 \mid C17 \mid C18 \mid C19$   
|  $C20 \mid C21 \mid C22 \mid C23 \mid C24 \mid C25 \mid C26 \mid C27 \mid C28 \mid C29$   
|  $C30 \mid C31 \mid C32 \mid C33 \mid C34 \mid C35 \mid C36 \mid C37 \mid C38 \mid C39$   
|  $C40 \mid C41 \mid C42 \mid C43 \mid C44 \mid C45 \mid C46 \mid C47 \mid C48 \mid C49$   
|  $C50 \mid C51 \mid C52 \mid C53 \mid C54 \mid C55 \mid C56 \mid C57 \mid C58 \mid C59$

**end**

## 2 Binary trees

**theory** *Binary-Trees* **imports** *Main* **begin**

## 2.1 Datatype definition

**consts**

$bt :: i \Rightarrow i$

**datatype**  $bt(A) =$

$Lf \mid Br(a \in A, t1 \in bt(A), t2 \in bt(A))$

**declare**  $bt.intros [simp]$

**lemma**  $Br\text{-}neq\text{-}left: l \in bt(A) \Rightarrow (!x r. Br(x, l, r) \neq l)$

$\langle proof \rangle$

**lemma**  $Br\text{-}iff: Br(a, l, r) = Br(a', l', r') \Leftrightarrow a = a' \ \& \ l = l' \ \& \ r = r'$

— Proving a freeness theorem.

$\langle proof \rangle$

**inductive-cases**  $BrE: Br(a, l, r) \in bt(A)$

— An elimination rule, for type-checking.

Lemmas to justify using  $bt$  in other recursive type definitions.

**lemma**  $bt\text{-}mono: A \subseteq B \Rightarrow bt(A) \subseteq bt(B)$

$\langle proof \rangle$

**lemma**  $bt\text{-}univ: bt(univ(A)) \subseteq univ(A)$

$\langle proof \rangle$

**lemma**  $bt\text{-}subset\text{-}univ: A \subseteq univ(B) \Rightarrow bt(A) \subseteq univ(B)$

$\langle proof \rangle$

**lemma**  $bt\text{-}rec\text{-}type:$

$[| t \in bt(A);$

$c \in C(Lf);$

$!!x y z r s. [| x \in A; y \in bt(A); z \in bt(A); r \in C(y); s \in C(z) |] \Rightarrow$

$h(x, y, z, r, s) \in C(Br(x, y, z))$

$] \Rightarrow bt\text{-}rec(c, h, t) \in C(t)$

— Type checking for recursor – example only; not really needed.

$\langle proof \rangle$

## 2.2 Number of nodes, with an example of tail-recursion

**consts**  $n\text{-}nodes :: i \Rightarrow i$

**primrec**

$n\text{-}nodes(Lf) = 0$

$n\text{-}nodes(Br(a, l, r)) = succ(n\text{-}nodes(l) \# + n\text{-}nodes(r))$

**lemma**  $n\text{-}nodes\text{-}type [simp]: t \in bt(A) \Rightarrow n\text{-}nodes(t) \in nat$

$\langle proof \rangle$

**consts**  $n\text{-nodes-aux} :: i \Rightarrow i$

**primrec**

$n\text{-nodes-aux}(Lf) = (\lambda k \in \text{nat}. k)$

$n\text{-nodes-aux}(Br(a, l, r)) =$

$(\lambda k \in \text{nat}. n\text{-nodes-aux}(r) \text{ ' } (n\text{-nodes-aux}(l) \text{ ' } succ(k)))$

**lemma**  $n\text{-nodes-aux-eq}$  [rule-format]:

$t \in bt(A) \Rightarrow \forall k \in \text{nat}. n\text{-nodes-aux}(t) \text{ ' } k = n\text{-nodes}(t) \# + k$

$\langle proof \rangle$

**constdefs**

$n\text{-nodes-tail} :: i \Rightarrow i$

$n\text{-nodes-tail}(t) == n\text{-nodes-aux}(t) \text{ ' } 0$

**lemma**  $t \in bt(A) \Rightarrow n\text{-nodes-tail}(t) = n\text{-nodes}(t)$

$\langle proof \rangle$

## 2.3 Number of leaves

**consts**

$n\text{-leaves} :: i \Rightarrow i$

**primrec**

$n\text{-leaves}(Lf) = 1$

$n\text{-leaves}(Br(a, l, r)) = n\text{-leaves}(l) \# + n\text{-leaves}(r)$

**lemma**  $n\text{-leaves-type}$  [simp]:  $t \in bt(A) \Rightarrow n\text{-leaves}(t) \in \text{nat}$

$\langle proof \rangle$

## 2.4 Reflecting trees

**consts**

$bt\text{-reflect} :: i \Rightarrow i$

**primrec**

$bt\text{-reflect}(Lf) = Lf$

$bt\text{-reflect}(Br(a, l, r)) = Br(a, bt\text{-reflect}(r), bt\text{-reflect}(l))$

**lemma**  $bt\text{-reflect-type}$  [simp]:  $t \in bt(A) \Rightarrow bt\text{-reflect}(t) \in bt(A)$

$\langle proof \rangle$

Theorems about  $n\text{-leaves}$ .

**lemma**  $n\text{-leaves-reflect}$ :  $t \in bt(A) \Rightarrow n\text{-leaves}(bt\text{-reflect}(t)) = n\text{-leaves}(t)$

$\langle proof \rangle$

**lemma**  $n\text{-leaves-nodes}$ :  $t \in bt(A) \Rightarrow n\text{-leaves}(t) = succ(n\text{-nodes}(t))$

$\langle proof \rangle$

Theorems about  $bt\text{-reflect}$ .

**lemma**  $bt\text{-reflect-bt-reflect-ident}$ :  $t \in bt(A) \Rightarrow bt\text{-reflect}(bt\text{-reflect}(t)) = t$

$\langle proof \rangle$

end

### 3 Terms over an alphabet

**theory** *Term* **imports** *Main* **begin**

Illustrates the list functor (essentially the same type as in *Trees-Forest*).

**consts**

*term* ::  $i \Rightarrow i$

**datatype** *term*( $A$ ) = *Apply* ( $a \in A, l \in \text{list}(\text{term}(A))$ )

**monos** *list-mono*

**type-elim** *list-univ* [*THEN subsetD, elim-format*]

**declare** *Apply* [*TC*]

**constdefs**

*term-rec* ::  $[i, [i, i, i] \Rightarrow i] \Rightarrow i$

*term-rec*( $t, d$ ) ==

$Vrec(t, \lambda t g. \text{term-case}(\lambda x \text{ zs}. d(x, \text{zs}, \text{map}(\lambda z. g'z, \text{zs})), t))$

*term-map* ::  $[i \Rightarrow i, i] \Rightarrow i$

*term-map*( $f, t$ ) == *term-rec*( $t, \lambda x \text{ zs rs}. \text{Apply}(f(x), \text{rs}))$

*term-size* ::  $i \Rightarrow i$

*term-size*( $t$ ) == *term-rec*( $t, \lambda x \text{ zs rs}. \text{succ}(\text{list-add}(\text{rs}))$ )

*reflect* ::  $i \Rightarrow i$

*reflect*( $t$ ) == *term-rec*( $t, \lambda x \text{ zs rs}. \text{Apply}(x, \text{rev}(\text{rs}))$ )

*preorder* ::  $i \Rightarrow i$

*preorder*( $t$ ) == *term-rec*( $t, \lambda x \text{ zs rs}. \text{Cons}(x, \text{flat}(\text{rs}))$ )

*postorder* ::  $i \Rightarrow i$

*postorder*( $t$ ) == *term-rec*( $t, \lambda x \text{ zs rs}. \text{flat}(\text{rs}) @ [x]$ )

**lemma** *term-unfold*:  $\text{term}(A) = A * \text{list}(\text{term}(A))$

*<proof>*

**lemma** *term-induct2*:

$[| t \in \text{term}(A);$

$!!x. [| x \in A |] \Rightarrow P(\text{Apply}(x, \text{Nil});$

$!!x \text{ z zs}. [| x \in A; z \in \text{term}(A); \text{zs}: \text{list}(\text{term}(A)); P(\text{Apply}(x, \text{zs}))$

$|] \Rightarrow P(\text{Apply}(x, \text{Cons}(z, \text{zs})))$

$|] \Rightarrow P(t)$

— Induction on *term*( $A$ ) followed by induction on *list*.

*<proof>*

**lemma** *term-induct-eqn*:

$[[ t \in \text{term}(A);$   
 $!!x \text{ } zs. [[ x \in A; \text{ } zs: \text{list}(\text{term}(A)); \text{ } \text{map}(f, zs) = \text{map}(g, zs) ]] ==>$   
 $\text{ } f(\text{Apply}(x, zs)) = g(\text{Apply}(x, zs))$   
 $]] ==> f(t) = g(t)$   
 — Induction on  $\text{term}(A)$  to prove an equation.  
 $\langle \text{proof} \rangle$

Lemmas to justify using *term* in other recursive type definitions.

**lemma** *term-mono*:  $A \subseteq B ==> \text{term}(A) \subseteq \text{term}(B)$   
 $\langle \text{proof} \rangle$

**lemma** *term-univ*:  $\text{term}(\text{univ}(A)) \subseteq \text{univ}(A)$   
 — Easily provable by induction also  
 $\langle \text{proof} \rangle$

**lemma** *term-subset-univ*:  $A \subseteq \text{univ}(B) ==> \text{term}(A) \subseteq \text{univ}(B)$   
 $\langle \text{proof} \rangle$

**lemma** *term-into-univ*:  $[[ t \in \text{term}(A); A \subseteq \text{univ}(B) ]] ==> t \in \text{univ}(B)$   
 $\langle \text{proof} \rangle$

*term-rec* – by *Vset* recursion.

**lemma** *map-lemma*:  $[[ l \in \text{list}(A); \text{ } \text{Ord}(i); \text{ } \text{rank}(l) < i ]]$   
 $==> \text{map}(\lambda z. (\lambda x \in \text{Vset}(i). h(x)) \text{ } 'z, l) = \text{map}(h, l)$   
 — *map* works correctly on the underlying list of terms.  
 $\langle \text{proof} \rangle$

**lemma** *term-rec [simp]*:  $ts \in \text{list}(A) ==>$   
 $\text{term-rec}(\text{Apply}(a, ts), d) = d(a, ts, \text{map } (\lambda z. \text{term-rec}(z, d), ts))$   
 — Typing premise is necessary to invoke *map-lemma*.  
 $\langle \text{proof} \rangle$

**lemma** *term-rec-type*:

$[[ t \in \text{term}(A);$   
 $!!x \text{ } zs \text{ } r. [[ x \in A; \text{ } zs: \text{list}(\text{term}(A));$   
 $\text{ } r \in \text{list}(\bigcup t \in \text{term}(A). C(t)) ]]$   
 $==> d(x, zs, r): C(\text{Apply}(x, zs))$   
 $]] ==> \text{term-rec}(t, d) \in C(t)$   
 — Slightly odd typing condition on  $r$  in the second premise!  
 $\langle \text{proof} \rangle$

**lemma** *def-term-rec*:

$[[ !!t. j(t) == \text{term-rec}(t, d); \text{ } ts: \text{list}(A) ]] ==>$   
 $j(\text{Apply}(a, ts)) = d(a, ts, \text{map}(\lambda Z. j(Z), ts))$   
 $\langle \text{proof} \rangle$

**lemma** *term-rec-simple-type* [TC]:

[[  $t \in \text{term}(A)$ ;  
 $\text{!!}x \text{ } zs \text{ } r. \text{ [[ } x \in A; \text{ } zs: \text{list}(\text{term}(A)); \text{ } r \in \text{list}(C) \text{ ]}]$   
 $\implies d(x, zs, r): C$   
 $\text{[[ } \implies \text{term-rec}(t, d) \in C$   
 $\langle \text{proof} \rangle$

*term-map.*

**lemma** *term-map* [simp]:

$ts \in \text{list}(A) \implies$   
 $\text{term-map}(f, \text{Apply}(a, ts)) = \text{Apply}(f(a), \text{map}(\text{term-map}(f), ts))$   
 $\langle \text{proof} \rangle$

**lemma** *term-map-type* [TC]:

[[  $t \in \text{term}(A)$ ;  $\text{!!}x. x \in A \implies f(x): B$  ]]  $\implies \text{term-map}(f, t) \in \text{term}(B)$   
 $\langle \text{proof} \rangle$

**lemma** *term-map-type2* [TC]:

$t \in \text{term}(A) \implies \text{term-map}(f, t) \in \text{term}(\{f(u). u \in A\})$   
 $\langle \text{proof} \rangle$

*term-size.*

**lemma** *term-size* [simp]:

$ts \in \text{list}(A) \implies \text{term-size}(\text{Apply}(a, ts)) = \text{succ}(\text{list-add}(\text{map}(\text{term-size}, ts)))$   
 $\langle \text{proof} \rangle$

**lemma** *term-size-type* [TC]:  $t \in \text{term}(A) \implies \text{term-size}(t) \in \text{nat}$

$\langle \text{proof} \rangle$

*reflect.*

**lemma** *reflect* [simp]:

$ts \in \text{list}(A) \implies \text{reflect}(\text{Apply}(a, ts)) = \text{Apply}(a, \text{rev}(\text{map}(\text{reflect}, ts)))$   
 $\langle \text{proof} \rangle$

**lemma** *reflect-type* [TC]:  $t \in \text{term}(A) \implies \text{reflect}(t) \in \text{term}(A)$

$\langle \text{proof} \rangle$

*preorder.*

**lemma** *preorder* [simp]:

$ts \in \text{list}(A) \implies \text{preorder}(\text{Apply}(a, ts)) = \text{Cons}(a, \text{flat}(\text{map}(\text{preorder}, ts)))$   
 $\langle \text{proof} \rangle$

**lemma** *preorder-type* [TC]:  $t \in \text{term}(A) \implies \text{preorder}(t) \in \text{list}(A)$

$\langle \text{proof} \rangle$

*postorder.*



**lemma** *postorder* [*simp*]:  
 $ts \in \text{list}(A) \implies \text{postorder}(\text{Apply}(a, ts)) = \text{flat}(\text{map}(\text{postorder}, ts)) @ [a]$   
 ⟨*proof*⟩

**lemma** *postorder-type* [*TC*]:  $t \in \text{term}(A) \implies \text{postorder}(t) \in \text{list}(A)$   
 ⟨*proof*⟩

Theorems about *term-map*.

**declare** *List.map-compose* [*simp*]

**lemma** *term-map-ident*:  $t \in \text{term}(A) \implies \text{term-map}(\lambda u. u, t) = t$   
 ⟨*proof*⟩

**lemma** *term-map-compose*:  
 $t \in \text{term}(A) \implies \text{term-map}(f, \text{term-map}(g, t)) = \text{term-map}(\lambda u. f(g(u)), t)$   
 ⟨*proof*⟩

**lemma** *term-map-reflect*:  
 $t \in \text{term}(A) \implies \text{term-map}(f, \text{reflect}(t)) = \text{reflect}(\text{term-map}(f, t))$   
 ⟨*proof*⟩

Theorems about *term-size*.

**lemma** *term-size-term-map*:  $t \in \text{term}(A) \implies \text{term-size}(\text{term-map}(f, t)) = \text{term-size}(t)$   
 ⟨*proof*⟩

**lemma** *term-size-reflect*:  $t \in \text{term}(A) \implies \text{term-size}(\text{reflect}(t)) = \text{term-size}(t)$   
 ⟨*proof*⟩

**lemma** *term-size-length*:  $t \in \text{term}(A) \implies \text{term-size}(t) = \text{length}(\text{preorder}(t))$   
 ⟨*proof*⟩

Theorems about *reflect*.

**lemma** *reflect-reflect-ident*:  $t \in \text{term}(A) \implies \text{reflect}(\text{reflect}(t)) = t$   
 ⟨*proof*⟩

Theorems about *preorder*.

**lemma** *preorder-term-map*:  
 $t \in \text{term}(A) \implies \text{preorder}(\text{term-map}(f, t)) = \text{map}(f, \text{preorder}(t))$   
 ⟨*proof*⟩

**lemma** *preorder-reflect-eq-rev-postorder*:  
 $t \in \text{term}(A) \implies \text{preorder}(\text{reflect}(t)) = \text{rev}(\text{postorder}(t))$   
 ⟨*proof*⟩

**end**

## 4 Datatype definition n-ary branching trees

**theory** *Ntree* **imports** *Main* **begin**

Demonstrates a simple use of function space in a datatype definition. Based upon theory *Term*.

**consts**

*ntree* ::  $i \Rightarrow i$   
*maptree* ::  $i \Rightarrow i$   
*maptree2* ::  $[i, i] \Rightarrow i$

**datatype** *ntree*( $A$ ) = *Branch* ( $a \in A, h \in (\bigcup n \in \text{nat. } n \rightarrow \text{ntree}(A))$ )  
**monos** *UN-mono* [*OF subset-refl Pi-mono*] — MUST have this form  
**type-intros** *nat-fun-univ* [*THEN subsetD*]  
**type-elim** *UN-E*

**datatype** *maptree*( $A$ ) = *Sons* ( $a \in A, h \in \text{maptree}(A) \rightarrow \text{maptree}(A)$ )  
**monos** *FiniteFun-mono1* — Use monotonicity in BOTH args  
**type-intros** *FiniteFun-univ1* [*THEN subsetD*]

**datatype** *maptree2*( $A, B$ ) = *Sons2* ( $a \in A, h \in B \rightarrow \text{maptree2}(A, B)$ )  
**monos** *FiniteFun-mono* [*OF subset-refl*]  
**type-intros** *FiniteFun-in-univ'*

**constdefs**

*ntree-rec* ::  $[[i, i, i] \Rightarrow i, i] \Rightarrow i$   
*ntree-rec*( $b$ ) ==  
*Vrecursor*( $\lambda pr. \text{ntree-case}(\lambda x h. b(x, h, \lambda i \in \text{domain}(h). pr'(h'i))))$ )

**constdefs**

*ntree-copy* ::  $i \Rightarrow i$   
*ntree-copy*( $z$ ) == *ntree-rec*( $\lambda x h r. \text{Branch}(x, r), z$ )

*ntree*

**lemma** *ntree-unfold*:  $\text{ntree}(A) = A \times (\bigcup n \in \text{nat. } n \rightarrow \text{ntree}(A))$   
 $\langle \text{proof} \rangle$

**lemma** *ntree-induct* [*induct set: ntree*]:

$[[ t \in \text{ntree}(A);$   
 $!!x n h. [[ x \in A; n \in \text{nat}; h \in n \rightarrow \text{ntree}(A); \forall i \in n. P(h'i)$   
 $]] \Rightarrow P(\text{Branch}(x, h))$   
 $]] \Rightarrow P(t)$

— A nicer induction rule than the standard one.

$\langle \text{proof} \rangle$

**lemma** *ntree-induct-eqn*:

$[[ t \in \text{ntree}(A); f \in \text{ntree}(A) \rightarrow B; g \in \text{ntree}(A) \rightarrow B;$   
 $!!x n h. [[ x \in A; n \in \text{nat}; h \in n \rightarrow \text{ntree}(A); f \circ h = g \circ h ]]$  ==>  
 $f \circ \text{Branch}(x, h) = g \circ \text{Branch}(x, h)$

$|| \implies f't = g't$   
 — Induction on  $ntree(A)$  to prove an equation  
 $\langle proof \rangle$

Lemmas to justify using  $Ntree$  in other recursive type definitions.

**lemma** *ntree-mono*:  $A \subseteq B \implies ntree(A) \subseteq ntree(B)$   
 $\langle proof \rangle$

**lemma** *ntree-univ*:  $ntree(univ(A)) \subseteq univ(A)$   
 — Easily provable by induction also  
 $\langle proof \rangle$

**lemma** *ntree-subset-univ*:  $A \subseteq univ(B) \implies ntree(A) \subseteq univ(B)$   
 $\langle proof \rangle$

*ntree* recursion.

**lemma** *ntree-rec-Branch*:  
 $function(h) \implies$   
 $ntree-rec(b, Branch(x, h)) = b(x, h, \lambda i \in domain(h). ntree-rec(b, h'i))$   
 $\langle proof \rangle$

**lemma** *ntree-copy-Branch* [*simp*]:  
 $function(h) \implies$   
 $ntree-copy (Branch(x, h)) = Branch(x, \lambda i \in domain(h). ntree-copy (h'i))$   
 $\langle proof \rangle$

**lemma** *ntree-copy-is-ident*:  $z \in ntree(A) \implies ntree-copy(z) = z$   
 $\langle proof \rangle$

*maptree*

**lemma** *maptree-unfold*:  $maptree(A) = A \times (maptree(A) -||> maptree(A))$   
 $\langle proof \rangle$

**lemma** *maptree-induct* [*induct set*: *maptree*]:  
 $|| t \in maptree(A);$   
 $!!x \ n \ h. || x \in A; \ h \in maptree(A) -||> maptree(A);$   
 $\forall y \in field(h). P(y)$   
 $|| \implies P(Sons(x, h))$   
 $|| \implies P(t)$   
 — A nicer induction rule than the standard one.  
 $\langle proof \rangle$

*maptree2*

**lemma** *maptree2-unfold*:  $maptree2(A, B) = A \times (B -||> maptree2(A, B))$   
 $\langle proof \rangle$

```

lemma maptree2-induct [induct set: maptree2]:
  [| t ∈ maptree2(A, B);
    !!x n h. [| x ∈ A; h ∈ B -||> maptree2(A,B);  $\forall y \in \text{range}(h). P(y)$ 
    |] ==> P(Sons2(x,h))
  |] ==> P(t)
<proof>

end

```

## 5 Trees and forests, a mutually recursive type definition

**theory** *Tree-Forest* **imports** *Main* **begin**

### 5.1 Datatype definition

```

consts
  tree :: i => i
  forest :: i => i
  tree-forest :: i => i

datatype tree(A) = Tcons (a ∈ A, f ∈ forest(A))
  and forest(A) = Fnil | Fcons (t ∈ tree(A), f ∈ forest(A))

declare tree-forest.intros [simp, TC]

lemma tree-def: tree(A) == Part(tree-forest(A), Inl)
<proof>

lemma forest-def: forest(A) == Part(tree-forest(A), Inr)
<proof>

tree-forest(A) as the union of tree(A) and forest(A).

lemma tree-subset-TF: tree(A) ⊆ tree-forest(A)
<proof>

lemma treeI [TC]: x ∈ tree(A) ==> x ∈ tree-forest(A)
<proof>

lemma forest-subset-TF: forest(A) ⊆ tree-forest(A)
<proof>

lemma treeI' [TC]: x ∈ forest(A) ==> x ∈ tree-forest(A)
<proof>

lemma TF-equals-Un: tree(A) ∪ forest(A) = tree-forest(A)
<proof>

```

**lemma**

**notes**  $rews = tree-forest.con-defs \ tree-def \ forest-def$

**shows**

$tree-forest-unfold: tree-forest(A) =$   
 $(A \times forest(A)) + (\{0\} + tree(A) \times forest(A))$   
 — NOT useful, but interesting ...

$\langle proof \rangle$

**lemma**  $tree-forest-unfold'$ :

$tree-forest(A) =$   
 $A \times Part(tree-forest(A), \lambda w. Inr(w)) +$   
 $\{0\} + Part(tree-forest(A), \lambda w. Inl(w)) * Part(tree-forest(A), \lambda w. Inr(w))$   
 $\langle proof \rangle$

**lemma**  $tree-unfold: tree(A) = \{Inl(x). x \in A \times forest(A)\}$

$\langle proof \rangle$

**lemma**  $forest-unfold: forest(A) = \{Inr(x). x \in \{0\} + tree(A) * forest(A)\}$

$\langle proof \rangle$

Type checking for recursor: Not needed; possibly interesting?

**lemma**  $TF-rec-type$ :

$\llbracket z \in tree-forest(A);$   
 $!!x \ f \ r. \llbracket x \in A; f \in forest(A); r \in C(f)$   
 $\rrbracket ==> b(x,f,r) \in C(Tcons(x,f));$   
 $c \in C(Fnil);$   
 $!!t \ f \ r1 \ r2. \llbracket t \in tree(A); f \in forest(A); r1 \in C(t); r2 \in C(f)$   
 $\rrbracket ==> d(t,f,r1,r2) \in C(Fcons(t,f))$   
 $\rrbracket ==> tree-forest-rec(b,c,d,z) \in C(z)$   
 $\langle proof \rangle$

**lemma**  $tree-forest-rec-type$ :

$\llbracket !!x \ f \ r. \llbracket x \in A; f \in forest(A); r \in D(f)$   
 $\rrbracket ==> b(x,f,r) \in C(Tcons(x,f));$   
 $c \in D(Fnil);$   
 $!!t \ f \ r1 \ r2. \llbracket t \in tree(A); f \in forest(A); r1 \in C(t); r2 \in D(f)$   
 $\rrbracket ==> d(t,f,r1,r2) \in D(Fcons(t,f))$   
 $\rrbracket ==> (\forall t \in tree(A). tree-forest-rec(b,c,d,t) \in C(t)) \wedge$   
 $(\forall f \in forest(A). tree-forest-rec(b,c,d,f) \in D(f))$   
 — Mutually recursive version.  
 $\langle proof \rangle$

## 5.2 Operations

**consts**

$map :: [i ==> i, i] ==> i$   
 $size :: i ==> i$   
 $preorder :: i ==> i$

$list\text{-}of\text{-}TF :: i \Rightarrow i$   
 $of\text{-}list :: i \Rightarrow i$   
 $reflect :: i \Rightarrow i$

**primrec**

$list\text{-}of\text{-}TF (Tcons(x,f)) = [Tcons(x,f)]$   
 $list\text{-}of\text{-}TF (Fnil) = []$   
 $list\text{-}of\text{-}TF (Fcons(t,tf)) = Cons (t, list\text{-}of\text{-}TF(tf))$

**primrec**

$of\text{-}list([]) = Fnil$   
 $of\text{-}list(Cons(t,l)) = Fcons(t, of\text{-}list(l))$

**primrec**

$map (h, Tcons(x,f)) = Tcons(h(x), map(h,f))$   
 $map (h, Fnil) = Fnil$   
 $map (h, Fcons(t,tf)) = Fcons (map(h, t), map(h, tf))$

**primrec**

$size (Tcons(x,f)) = succ(size(f))$   
 $size (Fnil) = 0$   
 $size (Fcons(t,tf)) = size(t) \# + size(tf)$

**primrec**

$preorder (Tcons(x,f)) = Cons(x, preorder(f))$   
 $preorder (Fnil) = Nil$   
 $preorder (Fcons(t,tf)) = preorder(t) @ preorder(tf)$

**primrec**

$reflect (Tcons(x,f)) = Tcons(x, reflect(f))$   
 $reflect (Fnil) = Fnil$   
 $reflect (Fcons(t,tf)) =$   
 $of\text{-}list (list\text{-}of\text{-}TF (reflect(tf)) @ Cons(reflect(t), Nil))$

$list\text{-}of\text{-}TF$  and  $of\text{-}list$ .

**lemma**  $list\text{-}of\text{-}TF\text{-}type [TC]$ :

$z \in tree\text{-}forest(A) \Rightarrow list\text{-}of\text{-}TF(z) \in list(tree(A))$   
 $\langle proof \rangle$

**lemma**  $of\text{-}list\text{-}type [TC]$ :  $l \in list(tree(A)) \Rightarrow of\text{-}list(l) \in forest(A)$

$\langle proof \rangle$

$map$ .

**lemma**

**assumes**  $h\text{-}type$ :  $!!x. x \in A \Rightarrow h(x) \in B$   
**shows**  $map\text{-}tree\text{-}type$ :  $t \in tree(A) \Rightarrow map(h,t) \in tree(B)$   
**and**  $map\text{-}forest\text{-}type$ :  $f \in forest(A) \Rightarrow map(h,f) \in forest(B)$   
 $\langle proof \rangle$

*size*.

**lemma** *size-type* [TC]:  $z \in \text{tree-forest}(A) \implies \text{size}(z) \in \text{nat}$   
⟨proof⟩

*preorder*.

**lemma** *preorder-type* [TC]:  $z \in \text{tree-forest}(A) \implies \text{preorder}(z) \in \text{list}(A)$   
⟨proof⟩

Theorems about *list-of-TF* and *of-list*.

**lemma** *forest-induct*:

[[  $f \in \text{forest}(A)$ ;  
     $R(\text{Fnil})$ ;  
    !! $t f$ . [[  $t \in \text{tree}(A)$ ;  $f \in \text{forest}(A)$ ;  $R(f)$  ]]  $\implies R(\text{Fcons}(t, f))$   
]]  $\implies R(f)$   
— Essentially the same as list induction.  
⟨proof⟩

**lemma** *forest-iso*:  $f \in \text{forest}(A) \implies \text{of-list}(\text{list-of-TF}(f)) = f$   
⟨proof⟩

**lemma** *tree-list-iso*:  $ts: \text{list}(\text{tree}(A)) \implies \text{list-of-TF}(\text{of-list}(ts)) = ts$   
⟨proof⟩

Theorems about *map*.

**lemma** *map-ident*:  $z \in \text{tree-forest}(A) \implies \text{map}(\lambda u. u, z) = z$   
⟨proof⟩

**lemma** *map-compose*:

$z \in \text{tree-forest}(A) \implies \text{map}(h, \text{map}(j, z)) = \text{map}(\lambda u. h(j(u)), z)$   
⟨proof⟩

Theorems about *size*.

**lemma** *size-map*:  $z \in \text{tree-forest}(A) \implies \text{size}(\text{map}(h, z)) = \text{size}(z)$   
⟨proof⟩

**lemma** *size-length*:  $z \in \text{tree-forest}(A) \implies \text{size}(z) = \text{length}(\text{preorder}(z))$   
⟨proof⟩

Theorems about *preorder*.

**lemma** *preorder-map*:

$z \in \text{tree-forest}(A) \implies \text{preorder}(\text{map}(h, z)) = \text{List.map}(h, \text{preorder}(z))$   
⟨proof⟩

**end**

## 6 Infinite branching datatype definitions

**theory** *Brouwer* **imports** *Main-ZFC* **begin**

### 6.1 The Brouwer ordinals

**consts**

*brouwer* :: *i*

**datatype**  $\subseteq$  *Vfrom*(0, *csucc*(*nat*))

*brouwer* = *Zero* | *Suc* (*b* ∈ *brouwer*) | *Lim* (*h* ∈ *nat*  $\rightarrow$  *brouwer*)

**monos** *Pi-mono*

**type-intros** *inf-datatype-intros*

**lemma** *brouwer-unfold*: *brouwer* = {0} + *brouwer* + (*nat*  $\rightarrow$  *brouwer*)  
 <proof>

**lemma** *brouwer-induct2*:

[| *b* ∈ *brouwer*;  
   *P*(*Zero*);  
   !!*b*. [| *b* ∈ *brouwer*; *P*(*b*) |] ==> *P*(*Suc*(*b*));  
   !!*h*. [| *h* ∈ *nat*  $\rightarrow$  *brouwer*;  $\forall i \in \text{nat}. P(h'i)$   
     |] ==> *P*(*Lim*(*h*))  
 |] ==> *P*(*b*)

— A nicer induction rule than the standard one.

<proof>

### 6.2 The Martin-Löf wellordering type

**consts**

*Well* :: [*i*, *i*  $\Rightarrow$  *i*]  $\Rightarrow$  *i*

**datatype**  $\subseteq$  *Vfrom*(*A*  $\cup$  ( $\bigcup x \in A. B(x)$ ), *csucc*(*nat*  $\cup$   $|\bigcup x \in A. B(x)|$ ))

— The union with *nat* ensures that the cardinal is infinite.

*Well*(*A*, *B*) = *Sup* (*a* ∈ *A*, *f* ∈ *B*(*a*)  $\rightarrow$  *Well*(*A*, *B*))

**monos** *Pi-mono*

**type-intros** *le-trans* [*OF UN-upper-cardinal le-nat-Un-cardinal*] *inf-datatype-intros*

**lemma** *Well-unfold*: *Well*(*A*, *B*) = ( $\Sigma x \in A. B(x)$   $\rightarrow$  *Well*(*A*, *B*))  
 <proof>

**lemma** *Well-induct2*:

[| *w* ∈ *Well*(*A*, *B*);  
   !!*a f*. [| *a* ∈ *A*; *f* ∈ *B*(*a*)  $\rightarrow$  *Well*(*A*, *B*);  $\forall y \in B(a). P(f'y)$   
     |] ==> *P*(*Sup*(*a*, *f*))  
 |] ==> *P*(*w*)

— A nicer induction rule than the standard one.

<proof>



**lemma** *Well-bool-unfold*:  $Well(bool, \lambda x. x) = 1 + (1 -> Well(bool, \lambda x. x))$   
 — In fact it's isomorphic to *nat*, but we need a recursion operator  
 — for *Well* to prove this.  
 $\langle proof \rangle$

**end**

## 7 The Mutilated Chess Board Problem, formalized inductively

**theory** *Mutil* **imports** *Main* **begin**

Originator is Max Black, according to J A Robinson. Popularized as the Mutilated Checkerboard Problem by J McCarthy.

**consts**

*domino* ::  $i$   
*tiling* ::  $i \Rightarrow i$

**inductive**

**domains** *domino*  $\subseteq Pow(nat \times nat)$

**intros**

*horiz*:  $[i \in nat; j \in nat] \Rightarrow \{ \langle i, j \rangle, \langle i, succ(j) \rangle \} \in domino$

*vertl*:  $[i \in nat; j \in nat] \Rightarrow \{ \langle i, j \rangle, \langle succ(i), j \rangle \} \in domino$

**type-intros** *empty-subsetI* *cons-subsetI* *PowI* *SigmaI* *nat-succI*

**inductive**

**domains** *tiling*(*A*)  $\subseteq Pow(Union(A))$

**intros**

*empty*:  $0 \in tiling(A)$

*Un*:  $[a \in A; t \in tiling(A); a \text{ Int } t = 0] \Rightarrow a \text{ Un } t \in tiling(A)$

**type-intros** *empty-subsetI* *Union-upper* *Un-least* *PowI*

**type-elim**s *PowD* [*elim-format*]

**constdefs**

*evnodd* ::  $[i, i] \Rightarrow i$

*evnodd*(*A*, *b*) ==  $\{ z \in A. \exists i j. z = \langle i, j \rangle \wedge (i \# + j) \text{ mod } 2 = b \}$

### 7.1 Basic properties of evnodd

**lemma** *evnodd-iff*:  $\langle i, j \rangle: evnodd(A, b) \Leftrightarrow \langle i, j \rangle: A \ \& \ (i \# + j) \text{ mod } 2 = b$   
 $\langle proof \rangle$

**lemma** *evnodd-subset*:  $evnodd(A, b) \subseteq A$   
 $\langle proof \rangle$

**lemma** *Finite-evnodd*:  $Finite(X) \Rightarrow Finite(evnodd(X, b))$   
 $\langle proof \rangle$

**lemma** *evnodd-Un*:  $evnodd(A \text{ Un } B, b) = evnodd(A, b) \text{ Un } evnodd(B, b)$   
 $\langle proof \rangle$

**lemma** *evnodd-Diff*:  $evnodd(A - B, b) = evnodd(A, b) - evnodd(B, b)$   
 $\langle proof \rangle$

**lemma** *evnodd-cons* [simp]:  
 $evnodd(cons(<i, j>, C), b) =$   
 $(if (i \# + j) \bmod 2 = b \text{ then } cons(<i, j>, evnodd(C, b)) \text{ else } evnodd(C, b))$   
 $\langle proof \rangle$

**lemma** *evnodd-0* [simp]:  $evnodd(0, b) = 0$   
 $\langle proof \rangle$

## 7.2 Dominoes

**lemma** *domino-Finite*:  $d \in domino ==> Finite(d)$   
 $\langle proof \rangle$

**lemma** *domino-singleton*:  
 $[| d \in domino; b < 2 |] ==> \exists i' j'. evnodd(d, b) = \{<i', j'>\}$   
 $\langle proof \rangle$

## 7.3 Tilings

The union of two disjoint tilings is a tiling

**lemma** *tiling-UnI*:  
 $t \in tiling(A) ==> u \in tiling(A) ==> t \text{ Int } u = 0 ==> t \text{ Un } u \in tiling(A)$   
 $\langle proof \rangle$

**lemma** *tiling-domino-Finite*:  $t \in tiling(domino) ==> Finite(t)$   
 $\langle proof \rangle$

**lemma** *tiling-domino-0-1*:  $t \in tiling(domino) ==> |evnodd(t, 0)| = |evnodd(t, 1)|$   
 $\langle proof \rangle$

**lemma** *dominoes-tile-row*:  
 $[| i \in nat; n \in nat |] ==> \{i\} * (n \# + n) \in tiling(domino)$   
 $\langle proof \rangle$

**lemma** *dominoes-tile-matrix*:  
 $[| m \in nat; n \in nat |] ==> m * (n \# + n) \in tiling(domino)$   
 $\langle proof \rangle$

**lemma** *eq-lt-E*:  $[| x=y; x<y |] ==> P$   
 $\langle proof \rangle$

**theorem** *mutil-not-tiling*:  $[| m \in nat; n \in nat;$

```

      t = (succ(m)#+succ(m))*(succ(n)#+succ(n));
      t' = t - {<0,0>} - {<succ(m#+m), succ(n#+n)>} []
    ==> t' ∉ tiling(domino)
  <proof>

```

**end**

**theory FoldSet imports Main begin**

**consts** fold-set :: [i, i, [i,i]=>i, i] => i

**inductive**

**domains** fold-set(A, B, f,e) <= Fin(A)\*B

**intros**

emptyI: e∈B ==> <0, e>∈fold-set(A, B, f,e)

consI: [] x∈A; x ∉ C; <C,y> : fold-set(A, B,f,e); f(x,y):B []

==> <cons(x,C), f(x,y)>∈fold-set(A, B, f, e)

**type-intros** Fin.intros

**constdefs**

fold :: [i, [i,i]=>i, i, i] => i (fold[-]'(-,-,-'))

fold[B](f,e, A) == THE x. <A, x>∈fold-set(A, B, f,e)

setsum :: [i=>i, i] => i

setsum(g, C) == if Finite(C) then

fold[int](%x y. g(x) \$+ y, #0, C) else #0

**inductive-cases** empty-fold-setE: <0, x> : fold-set(A, B, f,e)

**inductive-cases** cons-fold-setE: <cons(x,C), y> : fold-set(A, B, f,e)

**lemma** cons-lemma1: [] x∉C; x∉B [] ==> cons(x,B)=cons(x,C) <-> B = C  
<proof>

**lemma** cons-lemma2: [] cons(x, B)=cons(y, C); x≠y; x∉B; y∉C []  
==> B - {y} = C - {x} & x∈C & y∈B  
<proof>

**lemma** fold-set-mono-lemma:

<C, x> : fold-set(A, B, f, e)

==> ALL D. A<=D --> <C, x> : fold-set(D, B, f, e)

<proof>

**lemma** *fold-set-mono*:  $C \leq A \implies \text{fold-set}(C, B, f, e) \leq \text{fold-set}(A, B, f, e)$   
 $\langle \text{proof} \rangle$

**lemma** *fold-set-lemma*:  
 $\langle C, x \rangle \in \text{fold-set}(A, B, f, e) \implies \langle C, x \rangle \in \text{fold-set}(C, B, f, e) \ \& \ C \leq A$   
 $\langle \text{proof} \rangle$

**lemma** *Diff1-fold-set*:  
 $[[ \langle C - \{x\}, y \rangle : \text{fold-set}(A, B, f, e); \ x \in C; \ x \in A; \ f(x, y) \in B \ ]]$   
 $\implies \langle C, f(x, y) \rangle : \text{fold-set}(A, B, f, e)$   
 $\langle \text{proof} \rangle$

**locale** *fold-typing* =  
**fixes**  $A$  **and**  $B$  **and**  $e$  **and**  $f$   
**assumes** *f*type [intro,simp]:  $[[x \in A; \ y \in B]] \implies f(x, y) \in B$   
**and** *e*type [intro,simp]:  $e \in B$   
**and** *f*comm:  $[[x \in A; \ y \in A; \ z \in B]] \implies f(x, f(y, z)) = f(y, f(x, z))$

**lemma** (**in** *fold-typing*) *Fin-imp-fold-set*:  
 $C \in \text{Fin}(A) \implies (\exists x. \langle C, x \rangle : \text{fold-set}(A, B, f, e))$   
 $\langle \text{proof} \rangle$

**lemma** *Diff-sing-imp*:  
 $[[C - \{b\} = D - \{a\}; \ a \neq b; \ b \in C]] \implies C = \text{cons}(b, D) - \{a\}$   
 $\langle \text{proof} \rangle$

**lemma** (**in** *fold-typing*) *fold-set-determ-lemma* [rule-format]:  
 $n \in \text{nat}$   
 $\implies \text{ALL } C. |C| < n \longrightarrow$   
 $(\text{ALL } x. \langle C, x \rangle : \text{fold-set}(A, B, f, e) \longrightarrow$   
 $(\text{ALL } y. \langle C, y \rangle : \text{fold-set}(A, B, f, e) \longrightarrow y = x))$   
 $\langle \text{proof} \rangle$

**lemma** (**in** *fold-typing*) *fold-set-determ*:  
 $[[ \langle C, x \rangle \in \text{fold-set}(A, B, f, e);$   
 $\langle C, y \rangle \in \text{fold-set}(A, B, f, e)]] \implies y = x$   
 $\langle \text{proof} \rangle$

**lemma** (**in** *fold-typing*) *fold-equality*:  
 $\langle C, y \rangle : \text{fold-set}(A, B, f, e) \implies \text{fold}[B](f, e, C) = y$   
 $\langle \text{proof} \rangle$

**lemma** *fold-0* [simp]:  $e : B \implies \text{fold}[B](f, e, 0) = e$

$\langle proof \rangle$

This result is the right-to-left direction of the subsequent result

**lemma** (in *fold-typing*) *fold-set-imp-cons*:

$$\begin{aligned} & [[ <C, y> : fold-set(C, B, f, e); C : Fin(A); c : A; c \notin C ] ] \\ & \implies <cons(c, C), f(c, y)> : fold-set(cons(c, C), B, f, e) \end{aligned}$$
  
 $\langle proof \rangle$

**lemma** (in *fold-typing*) *fold-cons-lemma* [rule-format]:

$$\begin{aligned} & [[ C : Fin(A); c : A; c \notin C ] ] \\ & \implies <cons(c, C), v> : fold-set(cons(c, C), B, f, e) <-> \\ & \quad (EX y. <C, y> : fold-set(C, B, f, e) \ \& \ v = f(c, y)) \end{aligned}$$
  
 $\langle proof \rangle$

**lemma** (in *fold-typing*) *fold-cons*:

$$\begin{aligned} & [[ C \in Fin(A); c \in A; c \notin C ] ] \\ & \implies fold[B](f, e, cons(c, C)) = f(c, fold[B](f, e, C)) \end{aligned}$$
  
 $\langle proof \rangle$

**lemma** (in *fold-typing*) *fold-type* [simp, TC]:

$$C \in Fin(A) \implies fold[B](f, e, C) : B$$
  
 $\langle proof \rangle$

**lemma** (in *fold-typing*) *fold-commute* [rule-format]:

$$\begin{aligned} & [[ C \in Fin(A); c \in A ] ] \\ & \implies (\forall y \in B. f(c, fold[B](f, y, C)) = fold[B](f, f(c, y), C)) \end{aligned}$$
  
 $\langle proof \rangle$

**lemma** (in *fold-typing*) *fold-nest-Un-Int*:

$$\begin{aligned} & [[ C \in Fin(A); D \in Fin(A) ] ] \\ & \implies fold[B](f, fold[B](f, e, D), C) = \\ & \quad fold[B](f, fold[B](f, e, (C \text{ Int } D)), C \text{ Un } D) \end{aligned}$$
  
 $\langle proof \rangle$

**lemma** (in *fold-typing*) *fold-nest-Un-disjoint*:

$$\begin{aligned} & [[ C \in Fin(A); D \in Fin(A); C \text{ Int } D = 0 ] ] \\ & \implies fold[B](f, e, C \text{ Un } D) = fold[B](f, fold[B](f, e, D), C) \end{aligned}$$
  
 $\langle proof \rangle$

**lemma** *Finite-cons-lemma*:  $Finite(C) \implies C \in Fin(cons(c, C))$

$\langle proof \rangle$

## 7.4 The Operator *setsum*

**lemma** *setsum-0* [simp]:  $setsum(g, 0) = \#0$

$\langle proof \rangle$

**lemma** *setsum-cons* [simp]:

$Finite(C) \implies$

$setsum(g, cons(c, C)) =$   
 $(if\ c : C\ then\ setsum(g, C)\ else\ g(c)\ \$+\ setsum(g, C))$   
 $\langle proof \rangle$

**lemma** *setsum-K0*:  $setsum((\%i. \#0), C) = \#0$   
 $\langle proof \rangle$

**lemma** *setsum-Un-Int*:  
 $[[\ Finite(C); \ Finite(D) \ ]]$   
 $==> setsum(g, C\ Un\ D)\ \$+\ setsum(g, C\ Int\ D)$   
 $= setsum(g, C)\ \$+\ setsum(g, D)$   
 $\langle proof \rangle$

**lemma** *setsum-type* [*simp, TC*]:  $setsum(g, C):int$   
 $\langle proof \rangle$

**lemma** *setsum-Un-disjoint*:  
 $[[\ Finite(C); \ Finite(D); \ C\ Int\ D = 0 \ ]]$   
 $==> setsum(g, C\ Un\ D) = setsum(g, C)\ \$+\ setsum(g, D)$   
 $\langle proof \rangle$

**lemma** *Finite-RepFun* [*rule-format (no-asm)*]:  
 $Finite(I) ==> (\forall i \in I. \ Finite(C(i))) \dashrightarrow Finite(RepFun(I, C))$   
 $\langle proof \rangle$

**lemma** *setsum-UN-disjoint* [*rule-format (no-asm)*]:  
 $Finite(I)$   
 $==> (\forall i \in I. \ Finite(C(i))) \dashrightarrow$   
 $(\forall i \in I. \ \forall j \in I. \ i \neq j \dashrightarrow C(i)\ Int\ C(j) = 0) \dashrightarrow$   
 $setsum(f, \bigcup i \in I. \ C(i)) = setsum\ (\%i. \ setsum(f, C(i)), I)$   
 $\langle proof \rangle$

**lemma** *setsum-addf*:  $setsum(\%x. f(x)\ \$+\ g(x), C) = setsum(f, C)\ \$+\ setsum(g, C)$   
 $\langle proof \rangle$

**lemma** *fold-set-cong*:  
 $[[\ A=A'; \ B=B'; \ e=e'; \ (\forall x \in A'. \ \forall y \in B'. \ f(x, y) = f'(x, y)) \ ]]$   
 $==> fold-set(A, B, f, e) = fold-set(A', B', f', e')$   
 $\langle proof \rangle$

**lemma** *fold-cong*:  
 $[[\ B=B'; \ A=A'; \ e=e';$   
 $!!x\ y. \ [[x \in A'; \ y \in B']] ==> f(x, y) = f'(x, y) \ ] ==>$   
 $fold[B](f, e, A) = fold[B'](f', e', A')$   
 $\langle proof \rangle$

**lemma** *setsum-cong*:

$[| A=B; !!x. x \in B ==> f(x) = g(x) |] ==>$   
 $setsum(f, A) = setsum(g, B)$   
 $\langle proof \rangle$

**lemma** *setsum-Un*:

$[| Finite(A); Finite(B) |]$   
 $==> setsum(f, A \cup B) =$   
 $setsum(f, A) + setsum(f, B) - setsum(f, A \cap B)$   
 $\langle proof \rangle$

**lemma** *setsum-zneg-or-0* [rule-format (no-asm)]:

$Finite(A) ==> (\forall x \in A. g(x) \leq 0) \longrightarrow setsum(g, A) \leq 0$   
 $\langle proof \rangle$

**lemma** *setsum-succD-lemma* [rule-format]:

$Finite(A)$   
 $==> \forall n \in nat. setsum(f, A) = \# succ(n) \longrightarrow (\exists a \in A. \#0 < f(a))$   
 $\langle proof \rangle$

**lemma** *setsum-succD*:

$[| setsum(f, A) = \# succ(n); n \in nat |] ==> \exists a \in A. \#0 < f(a)$   
 $\langle proof \rangle$

**lemma** *g-zpos-imp-setsum-zpos* [rule-format]:

$Finite(A) ==> (\forall x \in A. \#0 \leq g(x)) \longrightarrow \#0 \leq setsum(g, A)$   
 $\langle proof \rangle$

**lemma** *g-zpos-imp-setsum-zpos2* [rule-format]:

$[| Finite(A); \forall x. \#0 \leq g(x) |] ==> \#0 \leq setsum(g, A)$   
 $\langle proof \rangle$

**lemma** *g-zspos-imp-setsum-zspos* [rule-format]:

$Finite(A)$   
 $==> (\forall x \in A. \#0 < g(x)) \longrightarrow A \neq 0 \longrightarrow (\#0 < setsum(g, A))$   
 $\langle proof \rangle$

**lemma** *setsum-Diff* [rule-format]:

$Finite(A) ==> \forall a. M(a) = \#0 \longrightarrow setsum(M, A) = setsum(M, A - \{a\})$   
 $\langle proof \rangle$

$\langle ML \rangle$

**end**

## 8 The accessible part of a relation

**theory** *Acc* **imports** *Main* **begin**

Inductive definition of  $acc(r)$ ; see [?].

**consts**

$acc :: i \Rightarrow i$

**inductive**

**domains**  $acc(r) \subseteq field(r)$

**intros**

*image*:  $\llbracket r - \{\{a\}; Pow(acc(r)); a \in field(r) \rrbracket \implies a \in acc(r)$

**monos** *Pow-mono*

The introduction rule must require  $a \in field(r)$ , otherwise  $acc(r)$  would be a proper class!

The intended introduction rule:

**lemma** *accI*:  $\llbracket !!b. \langle b, a \rangle : r \implies b \in acc(r); a \in field(r) \rrbracket \implies a \in acc(r)$   
*<proof>*

**lemma** *acc-downward*:  $\llbracket b \in acc(r); \langle a, b \rangle : r \rrbracket \implies a \in acc(r)$   
*<proof>*

**lemma** *acc-induct* [*induct set: acc*]:

$\llbracket a \in acc(r);$   
 $!!x. \llbracket x \in acc(r); \forall y. \langle y, x \rangle : r \longrightarrow P(y) \rrbracket \implies P(x)$   
 $\rrbracket \implies P(a)$   
*<proof>*

**lemma** *wf-on-acc*:  $wf[acc(r)](r)$   
*<proof>*

**lemma** *acc-wfI*:  $field(r) \subseteq acc(r) \implies wf(r)$   
*<proof>*

**lemma** *acc-wfD*:  $wf(r) \implies field(r) \subseteq acc(r)$   
*<proof>*

**lemma** *wf-acc-iff*:  $wf(r) \longleftrightarrow field(r) \subseteq acc(r)$   
*<proof>*

**end**

**theory** *Multiset*

**imports** *FoldSet Acc*

**begin**



## consts

*Mult* ::  $i \Rightarrow i$

## translations

*Mult*(*A*)  $\Rightarrow A - || \> \text{nat} - \{0\}$

## constdefs

*funrestrict* ::  $[i, i] \Rightarrow i$   
*funrestrict*(*f*, *A*) ==  $\lambda x \in A. f'x$

*multiset* ::  $i \Rightarrow o$   
*multiset*(*M*) ==  $\exists A. M \in A -> \text{nat} - \{0\} \ \& \ \text{Finite}(A)$

*mset-of* ::  $i \Rightarrow i$   
*mset-of*(*M*) == *domain*(*M*)

*munion* ::  $[i, i] \Rightarrow i$  (**infixl**  $+\#$  65)  
*M*  $+\#$  *N* ==  $\lambda x \in \text{mset-of}(M) \cup \text{mset-of}(N).$   
    *if*  $x \in \text{mset-of}(M)$  *Int*  $\text{mset-of}(N)$  *then* (*M*'*x*)  $\#+$  (*N*'*x*)  
    *else* (*if*  $x \in \text{mset-of}(M)$  *then* *M*'*x* *else* *N*'*x*)

*normalize* ::  $i \Rightarrow i$   
*normalize*(*f*) ==  
    *if* ( $\exists A. f \in A -> \text{nat} \ \& \ \text{Finite}(A)$ ) *then*  
        *funrestrict*(*f*,  $\{x \in \text{mset-of}(f). 0 < f'x\}$ )  
    *else* 0

*mdiff* ::  $[i, i] \Rightarrow i$  (**infixl**  $-\#$  65)  
*M*  $-\#$  *N* == *normalize*( $\lambda x \in \text{mset-of}(M).$   
    *if*  $x \in \text{mset-of}(N)$  *then* *M*'*x*  $\#-$  *N*'*x* *else* *M*'*x*)

*msingle* ::  $i \Rightarrow i$  ( $\{\#-\#\}$ )  
 $\{\#a\# \} == \{<a, 1>\}$

*MCollect* ::  $[i, i \Rightarrow o] \Rightarrow i$   
*MCollect*(*M*, *P*) == *funrestrict*(*M*,  $\{x \in \text{mset-of}(M). P(x)\}$ )

*mcount* ::  $[i, i] \Rightarrow i$   
*mcount*(*M*, *a*) == *if*  $a \in \text{mset-of}(M)$  *then* *M*'*a* *else* 0

*msize* ::  $i \Rightarrow i$

$msize(M) == setsum(\%a. \$\# mcount(M,a), mset-of(M))$

#### **syntax**

$melem :: [i,i] => o \quad ((-/ : \# -) [50, 51] 50)$   
 $@MColl :: [pttrn, i, o] => i \quad ((1\{\# - : -/ -\# \}))$

#### **syntax** (*xsymbols*)

$@MColl :: [pttrn, i, o] => i \quad ((1\{\# - \in -/ -\# \}))$

#### **translations**

$a : \# M == a \in mset-of(M)$   
 $\{\#x \in M. P\# \} == MCollect(M, \%x. P)$

#### **constdefs**

$multirel1 :: [i,i] => i$   
 $multirel1(A, r) ==$   
 $\{<M, N> \in Mult(A)*Mult(A).$   
 $\exists a \in A. \exists M0 \in Mult(A). \exists K \in Mult(A).$   
 $N=M0 +\# \{\#a\# \} \ \& \ M=M0 +\# K \ \& \ (\forall b \in mset-of(K). <b,a> \in r)\}$

$multirel :: [i, i] => i$   
 $multirel(A, r) == multirel1(A, r) ^+$

$omultiset :: i => o$   
 $omultiset(M) == \exists i. Ord(i) \ \& \ M \in Mult(field(Memrel(i)))$

$mless :: [i, i] => o \quad (\mathbf{infixl} <\# 50)$   
 $M <\# N == \exists i. Ord(i) \ \& \ <M, N> \in multirel(field(Memrel(i)), Memrel(i))$

$mle :: [i, i] => o \quad (\mathbf{infixl} <\# = 50)$   
 $M <\# = N == (omultiset(M) \ \& \ M = N) \mid M <\# N$

### **8.1 Properties of the original "restrict" from ZF.thy**

**lemma** *funrestrict-subset*:  $[[f \in Pi(C,B); A \subseteq C] ==> funrestrict(f,A) \subseteq f$   
 $\langle proof \rangle$

**lemma** *funrestrict-type*:

$[[!!x. x \in A ==> f'x \in B(x)] ==> funrestrict(f,A) \in Pi(A,B)$   
 $\langle proof \rangle$

**lemma** *funrestrict-type2*:  $[[f \in Pi(C,B); A \subseteq C] ==> funrestrict(f,A) \in Pi(A,B)$   
 $\langle proof \rangle$

**lemma** *funrestrict* [*simp*]:  $a \in A \implies \text{funrestrict}(f, A) \text{ ` } a = f'a$   
 $\langle \text{proof} \rangle$

**lemma** *funrestrict-empty* [*simp*]:  $\text{funrestrict}(f, 0) = 0$   
 $\langle \text{proof} \rangle$

**lemma** *domain-funrestrict* [*simp*]:  $\text{domain}(\text{funrestrict}(f, C)) = C$   
 $\langle \text{proof} \rangle$

**lemma** *fun-cons-funrestrict-eq*:  
 $f \in \text{cons}(a, b) \rightarrow B \implies f = \text{cons}(\langle a, f'a \rangle, \text{funrestrict}(f, b))$   
 $\langle \text{proof} \rangle$

**declare** *domain-of-fun* [*simp*]  
**declare** *domainE* [*rule del*]

A useful simplification rule

**lemma** *multiset-fun-iff*:  
 $(f \in A \rightarrow \text{nat} - \{0\}) \leftrightarrow f \in A \rightarrow \text{nat} \& (\forall a \in A. f'a \in \text{nat} \& 0 < f'a)$   
 $\langle \text{proof} \rangle$

**lemma** *multiset-into-Mult*:  $[| \text{multiset}(M); \text{mset-of}(M) \subseteq A |] \implies M \in \text{Mult}(A)$   
 $\langle \text{proof} \rangle$

**lemma** *Mult-into-multiset*:  $M \in \text{Mult}(A) \implies \text{multiset}(M) \& \text{mset-of}(M) \subseteq A$   
 $\langle \text{proof} \rangle$

**lemma** *Mult-iff-multiset*:  $M \in \text{Mult}(A) \leftrightarrow \text{multiset}(M) \& \text{mset-of}(M) \subseteq A$   
 $\langle \text{proof} \rangle$

**lemma** *multiset-iff-Mult-mset-of*:  $\text{multiset}(M) \leftrightarrow M \in \text{Mult}(\text{mset-of}(M))$   
 $\langle \text{proof} \rangle$

The *multiset* operator

**lemma** *multiset-0* [*simp*]:  $\text{multiset}(0)$   
 $\langle \text{proof} \rangle$

The *mset-of* operator

**lemma** *multiset-set-of-Finite* [*simp*]:  $\text{multiset}(M) \implies \text{Finite}(\text{mset-of}(M))$   
 $\langle \text{proof} \rangle$

**lemma** *mset-of-0* [*iff*]:  $\text{mset-of}(0) = 0$   
 $\langle \text{proof} \rangle$

**lemma** *mset-is-0-iff*:  $\text{multiset}(M) \implies \text{mset-of}(M) = 0 \leftrightarrow M = 0$   
 $\langle \text{proof} \rangle$

**lemma** *mset-of-single* [iff]:  $mset-of(\{ \#a\# \}) = \{a\}$   
 $\langle proof \rangle$

**lemma** *mset-of-union* [iff]:  $mset-of(M +\# N) = mset-of(M) \cup mset-of(N)$   
 $\langle proof \rangle$

**lemma** *mset-of-diff* [simp]:  $mset-of(M) \subseteq A \implies mset-of(M -\# N) \subseteq A$   
 $\langle proof \rangle$

**lemma** *msingle-not-0* [iff]:  $\{ \#a\# \} \neq 0 \ \& \ 0 \neq \{ \#a\# \}$   
 $\langle proof \rangle$

**lemma** *msingle-eq-iff* [iff]:  $(\{ \#a\# \} = \{ \#b\# \}) \iff (a = b)$   
 $\langle proof \rangle$

**lemma** *msingle-multiset* [iff, TC]:  $mset-of(\{ \#a\# \}) = \{a\}$   
 $\langle proof \rangle$

**lemmas** *Collect-Finite* = *Collect-subset* [THEN subset-Finite, standard]

**lemma** *normalize-idem* [simp]:  $normalize(normalize(f)) = normalize(f)$   
 $\langle proof \rangle$

**lemma** *normalize-multiset* [simp]:  $multiset(M) \implies normalize(M) = M$   
 $\langle proof \rangle$

**lemma** *multiset-normalize* [simp]:  $multiset(normalize(f)) = multiset(f)$   
 $\langle proof \rangle$

**lemma** *munion-multiset* [simp]:  $[ \mid multiset(M); multiset(N) \mid ] \implies multiset(M +\# N)$   
 $\langle proof \rangle$

**lemma** *mdiff-multiset* [simp]:  $multiset(M -\# N)$   
 $\langle proof \rangle$

**lemma** *munion-0* [simp]:  $\text{multiset}(M) \implies M +\# 0 = M \ \& \ 0 +\# M = M$   
 $\langle \text{proof} \rangle$

**lemma** *munion-commute*:  $M +\# N = N +\# M$   
 $\langle \text{proof} \rangle$

**lemma** *munion-assoc*:  $(M +\# N) +\# K = M +\# (N +\# K)$   
 $\langle \text{proof} \rangle$

**lemma** *munion-lcommute*:  $M +\# (N +\# K) = N +\# (M +\# K)$   
 $\langle \text{proof} \rangle$

**lemmas** *munion-ac = munion-commute munion-assoc munion-lcommute*

**lemma** *mdiff-self-eq-0* [simp]:  $M -\# M = 0$   
 $\langle \text{proof} \rangle$

**lemma** *mdiff-0* [simp]:  $0 -\# M = 0$   
 $\langle \text{proof} \rangle$

**lemma** *mdiff-0-right* [simp]:  $\text{multiset}(M) \implies M -\# 0 = M$   
 $\langle \text{proof} \rangle$

**lemma** *mdiff-union-inverse2* [simp]:  $\text{multiset}(M) \implies M +\# \{\#a\# \} -\# \{\#a\# \} = M$   
 $\langle \text{proof} \rangle$

**lemma** *mcount-type* [simp,TC]:  $\text{multiset}(M) \implies \text{mcount}(M, a) \in \text{nat}$   
 $\langle \text{proof} \rangle$

**lemma** *mcount-0* [simp]:  $\text{mcount}(0, a) = 0$   
 $\langle \text{proof} \rangle$

**lemma** *mcount-single* [simp]:  $\text{mcount}(\{\#b\# \}, a) = (\text{if } a=b \text{ then } 1 \text{ else } 0)$   
 $\langle \text{proof} \rangle$

**lemma** *mcount-union* [simp]:  $[[ \text{multiset}(M); \text{multiset}(N) ]]$   
 $\implies \text{mcount}(M +\# N, a) = \text{mcount}(M, a) \# + \text{mcount}(N, a)$   
 $\langle \text{proof} \rangle$

**lemma** *mcount-diff* [simp]:  
 $\text{multiset}(M) \implies \text{mcount}(M -\# N, a) = \text{mcount}(M, a) \# - \text{mcount}(N, a)$   
 $\langle \text{proof} \rangle$

**lemma** *mcount-elim*:  $[ \text{multiset}(M); a \in \text{mset-of}(M) ] \implies 0 < \text{mcount}(M, a)$   
 $\langle \text{proof} \rangle$

**lemma** *msize-0* *[simp]*:  $\text{msize}(0) = \#0$   
 $\langle \text{proof} \rangle$

**lemma** *msize-single* *[simp]*:  $\text{msize}(\{\#a\}) = \#1$   
 $\langle \text{proof} \rangle$

**lemma** *msize-type* *[simp, TC]*:  $\text{msize}(M) \in \text{int}$   
 $\langle \text{proof} \rangle$

**lemma** *msize-zpositive*:  $\text{multiset}(M) \implies \#0 \leq \text{msize}(M)$   
 $\langle \text{proof} \rangle$

**lemma** *msize-int-of-nat*:  $\text{multiset}(M) \implies \exists n \in \text{nat}. \text{msize}(M) = \#n$   
 $\langle \text{proof} \rangle$

**lemma** *not-empty-multiset-imp-exist*:  
 $[ M \neq 0; \text{multiset}(M) ] \implies \exists a \in \text{mset-of}(M). 0 < \text{mcount}(M, a)$   
 $\langle \text{proof} \rangle$

**lemma** *msize-eq-0-iff*:  $\text{multiset}(M) \implies \text{msize}(M) = \#0 \iff M = 0$   
 $\langle \text{proof} \rangle$

**lemma** *setsum-mcount-Int*:  
 $\text{Finite}(A) \implies \text{setsum}(\%a. \# \text{mcount}(N, a), A \text{ Int } \text{mset-of}(N))$   
 $\quad = \text{setsum}(\%a. \# \text{mcount}(N, a), A)$   
 $\langle \text{proof} \rangle$

**lemma** *msize-union* *[simp]*:  
 $[ \text{multiset}(M); \text{multiset}(N) ] \implies \text{msize}(M + \# N) = \text{msize}(M) + \text{msize}(N)$   
 $\langle \text{proof} \rangle$

**lemma** *msize-eq-succ-imp-elim*:  $[ \text{msize}(M) = \# \text{succ}(n); n \in \text{nat} ] \implies \exists a. a \in \text{mset-of}(M)$   
 $\langle \text{proof} \rangle$

**lemma** *equality-lemma*:  
 $[ \text{multiset}(M); \text{multiset}(N); \forall a. \text{mcount}(M, a) = \text{mcount}(N, a) ]$   
 $\implies \text{mset-of}(M) = \text{mset-of}(N)$   
 $\langle \text{proof} \rangle$

**lemma** *multiset-equality*:  
 $[ \text{multiset}(M); \text{multiset}(N) ] \implies M = N \iff (\forall a. \text{mcount}(M, a) = \text{mcount}(N,$

a))  
 $\langle proof \rangle$

**lemma** *munion-eq-0-iff* [simp]:  $[[multiset(M); multiset(N)] ==> (M +\# N = 0) <-> (M=0 \ \& \ N=0)$   
 $\langle proof \rangle$

**lemma** *empty-eq-munion-iff* [simp]:  $[[multiset(M); multiset(N)] ==> (0=M +\# N) <-> (M=0 \ \& \ N=0)$   
 $\langle proof \rangle$

**lemma** *munion-right-cancel* [simp]:  
 $[[multiset(M); multiset(N); multiset(K)] ==> (M +\# K = N +\# K) <-> (M=N)$   
 $\langle proof \rangle$

**lemma** *munion-left-cancel* [simp]:  
 $[[multiset(K); multiset(M); multiset(N)] ==> (K +\# M = K +\# N) <-> (M = N)$   
 $\langle proof \rangle$

**lemma** *nat-add-eq-1-cases*:  $[[m \in nat; n \in nat] ==> (m \# + n = 1) <-> (m=1 \ \& \ n=0) \mid (m=0 \ \& \ n=1)$   
 $\langle proof \rangle$

**lemma** *munion-is-single*:  
 $[[multiset(M); multiset(N)] ==> (M +\# N = \{ \# a \# \}) <-> (M = \{ \# a \# \} \ \& \ N=0) \mid (M = 0 \ \& \ N = \{ \# a \# \})$   
 $\langle proof \rangle$

**lemma** *msingle-is-union*:  $[[multiset(M); multiset(N)] ==> (\{ \# a \# \} = M +\# N) <-> (\{ \# a \# \} = M \ \& \ N=0 \mid M = 0 \ \& \ \{ \# a \# \} = N)$   
 $\langle proof \rangle$

**lemma** *setsum-decr*:  
 $Finite(A)$   
 $==> (\forall M. multiset(M) -->$   
 $(\forall a \in mset-of(M). setsum(\%z. \#\ mcount(M(a:=M'a \# - 1), z), A) =$   
 $(if a \in A then setsum(\%z. \#\ mcount(M, z), A) \# - \#1$   
 $else setsum(\%z. \#\ mcount(M, z), A))))$   
 $\langle proof \rangle$

**lemma** *setsum-decr2*:  
 $Finite(A)$

$$\begin{aligned} & \implies \forall M. \text{multiset}(M) \longrightarrow (\forall a \in \text{mset-of}(M). \\ & \quad \text{setsum}(\%x. \$\# \text{mcount}(\text{funrestrict}(M, \text{mset-of}(M) - \{a\}), x), A) = \\ & \quad (\text{if } a \in A \text{ then } \text{setsum}(\%x. \$\# \text{mcount}(M, x), A) \$- \$\# M'a \\ & \quad \text{else } \text{setsum}(\%x. \$\# \text{mcount}(M, x), A))) \end{aligned}$$
 $\langle \text{proof} \rangle$

**lemma** *setsum-decr3*:  $[\mid \text{Finite}(A); \text{multiset}(M); a \in \text{mset-of}(M) \mid]$   
 $\implies \text{setsum}(\%x. \$\# \text{mcount}(\text{funrestrict}(M, \text{mset-of}(M) - \{a\}), x), A - \{a\}) =$   
 $=$   
 $(\text{if } a \in A \text{ then } \text{setsum}(\%x. \$\# \text{mcount}(M, x), A) \$- \$\# M'a$   
 $\text{else } \text{setsum}(\%x. \$\# \text{mcount}(M, x), A))$   
 $\langle \text{proof} \rangle$

**lemma** *nat-le-1-cases*:  $n \in \text{nat} \implies n \text{ le } 1 \longleftrightarrow (n=0 \mid n=1)$   
 $\langle \text{proof} \rangle$

**lemma** *succ-pred-eq-self*:  $[\mid 0 < n; n \in \text{nat} \mid] \implies \text{succ}(n \#- 1) = n$   
 $\langle \text{proof} \rangle$

Specialized for use in the proof below.

**lemma** *multiset-funrestrict*:  

$$[\mid \forall a \in A. M \text{ ' } a \in \text{nat} \wedge 0 < M \text{ ' } a; \text{Finite}(A) \mid]$$
  
 $\implies \text{multiset}(\text{funrestrict}(M, A - \{a\}))$   
 $\langle \text{proof} \rangle$

**lemma** *multiset-induct-aux*:  
**assumes** *prem1*:  $!!M a. [\mid \text{multiset}(M); a \notin \text{mset-of}(M); P(M) \mid] \implies P(\text{cons}(<a, 1>, M))$   
**and** *prem2*:  $!!M b. [\mid \text{multiset}(M); b \in \text{mset-of}(M); P(M) \mid] \implies P(M(b := M'b \# + 1))$   
**shows**  
 $[\mid n \in \text{nat}; P(0) \mid]$   
 $\implies (\forall M. \text{multiset}(M) \longrightarrow$   
 $(\text{setsum}(\%x. \$\# \text{mcount}(M, x), \{x \in \text{mset-of}(M). 0 < M'x\}) = \$\# n) \longrightarrow$   
 $P(M))$   
 $\langle \text{proof} \rangle$

**lemma** *multiset-induct2*:  
 $[\mid \text{multiset}(M); P(0);$   
 $(!!M a. [\mid \text{multiset}(M); a \notin \text{mset-of}(M); P(M) \mid] \implies P(\text{cons}(<a, 1>, M)));$   
 $(!!M b. [\mid \text{multiset}(M); b \in \text{mset-of}(M); P(M) \mid] \implies P(M(b := M'b \# + 1)))$   
 $\mid]$   
 $\implies P(M)$   
 $\langle \text{proof} \rangle$

**lemma** *munion-single-case1*:  
 $[\mid \text{multiset}(M); a \notin \text{mset-of}(M) \mid] \implies M \text{ +\# } \{\#a\} = \text{cons}(<a, 1>, M)$   
 $\langle \text{proof} \rangle$



**lemma** *munion-single-case2*:

$[| \text{multiset}(M); a \in \text{mset-of}(M) |] \implies M + \# \{ \#a \# \} = M(a := M'a \ \# + 1)$   
 $\langle \text{proof} \rangle$

**lemma** *multiset-induct*:

**assumes**  $M: \text{multiset}(M)$   
**and**  $P0: P(0)$   
**and step**:  $!!M \ a. [| \text{multiset}(M); P(M) |] \implies P(M + \# \{ \#a \# \})$   
**shows**  $P(M)$   
 $\langle \text{proof} \rangle$

**lemma** *MCollect-multiset* [simp]:

$\text{multiset}(M) \implies \text{multiset}(\{ \# x \in M. P(x) \# \})$   
 $\langle \text{proof} \rangle$

**lemma** *mset-of-MCollect* [simp]:

$\text{multiset}(M) \implies \text{mset-of}(\{ \# x \in M. P(x) \# \}) \subseteq \text{mset-of}(M)$   
 $\langle \text{proof} \rangle$

**lemma** *MCollect-mem-iff* [iff]:

$x \in \text{mset-of}(\{ \# x \in M. P(x) \# \}) \iff x \in \text{mset-of}(M) \ \& \ P(x)$   
 $\langle \text{proof} \rangle$

**lemma** *mcount-MCollect* [simp]:

$\text{mcount}(\{ \# x \in M. P(x) \# \}, a) = (\text{if } P(a) \text{ then } \text{mcount}(M, a) \text{ else } 0)$   
 $\langle \text{proof} \rangle$

**lemma** *multiset-partition*:  $\text{multiset}(M) \implies M = \{ \# x \in M. P(x) \# \} + \# \{ \# x \in M. \sim P(x) \# \}$   
 $\langle \text{proof} \rangle$

**lemma** *nativify-elem-is-self* [simp]:

$[| \text{multiset}(M); a \in \text{mset-of}(M) |] \implies \text{nativify}(M'a) = M'a$   
 $\langle \text{proof} \rangle$

**lemma** *munion-eq-conv-diff*:  $[| \text{multiset}(M); \text{multiset}(N) |]$

$\implies (M + \# \{ \#a \# \} = N + \# \{ \#b \# \}) \iff (M = N \ \& \ a = b \mid$   
 $M = N - \# \{ \#a \# \} + \# \{ \#b \# \} \ \& \ N = M - \# \{ \#b \# \} + \# \{ \#a \# \})$   
 $\langle \text{proof} \rangle$

**lemma** *melem-diff-single*:

$\text{multiset}(M) \implies$   
 $k \in \text{mset-of}(M - \# \{ \#a \# \}) \iff (k=a \ \& \ 1 < \text{mcount}(M, a)) \mid (k \neq a \ \& \ k \in$

$mset-of(M)$   
 $\langle proof \rangle$

**lemma** *munion-eq-conv-exist*:

$[| M \in Mult(A); N \in Mult(A) |]$   
 $==> (M +\# \{\#a\# \} = N +\# \{\#b\# \}) <->$   
 $(M=N \ \& \ a=b \mid (\exists K \in Mult(A). M = K +\# \{\#b\# \} \ \& \ N = K +\# \{\#a\# \}))$   
 $\langle proof \rangle$

## 8.2 Multiset Orderings

**lemma** *multirel1-type*:  $multirel1(A, r) \subseteq Mult(A) * Mult(A)$   
 $\langle proof \rangle$

**lemma** *multirel1-0* [simp]:  $multirel1(0, r) = 0$   
 $\langle proof \rangle$

**lemma** *multirel1-iff*:

$\langle N, M \rangle \in multirel1(A, r) <->$   
 $(\exists a. a \in A \ \& \$   
 $(\exists M0. M0 \in Mult(A) \ \& \ (\exists K. K \in Mult(A) \ \& \$   
 $M = M0 +\# \{\#a\# \} \ \& \ N = M0 +\# K \ \& \ (\forall b \in mset-of(K). \langle b, a \rangle \in r)))$   
 $\langle proof \rangle$

Monotonicity of *multirel1*

**lemma** *multirel1-mono1*:  $A \subseteq B ==> multirel1(A, r) \subseteq multirel1(B, r)$   
 $\langle proof \rangle$

**lemma** *multirel1-mono2*:  $r \subseteq s ==> multirel1(A, r) \subseteq multirel1(A, s)$   
 $\langle proof \rangle$

**lemma** *multirel1-mono*:

$[| A \subseteq B; r \subseteq s |] ==> multirel1(A, r) \subseteq multirel1(B, s)$   
 $\langle proof \rangle$

## 8.3 Toward the proof of well-foundedness of multirel1

**lemma** *not-less-0* [iff]:  $\langle M, 0 \rangle \notin multirel1(A, r)$   
 $\langle proof \rangle$

**lemma** *less-munion*:  $[| \langle N, M0 +\# \{\#a\# \} \rangle \in multirel1(A, r); M0 \in Mult(A) |]$   
 $==>$   
 $(\exists M. \langle M, M0 \rangle \in multirel1(A, r) \ \& \ N = M +\# \{\#a\# \}) \mid$   
 $(\exists K. K \in Mult(A) \ \& \ (\forall b \in mset-of(K). \langle b, a \rangle \in r) \ \& \ N = M0 +\# K)$   
 $\langle proof \rangle$

**lemma** *multirel1-base*:  $[| M \in Mult(A); a \in A |] ==> \langle M, M +\# \{\#a\# \} \rangle \in multirel1(A, r)$   
 $\langle proof \rangle$

**lemma** *acc-0*:  $\text{acc}(0)=0$

$\langle \text{proof} \rangle$

**lemma** *lemma1*:  $[\mid \forall b \in A. \langle b, a \rangle \in r \longrightarrow$

$(\forall M \in \text{acc}(\text{multirel1}(A, r)). M \text{ \#} \{ \#b\# \} : \text{acc}(\text{multirel1}(A, r)))$ ;

$M0 \in \text{acc}(\text{multirel1}(A, r)); a \in A$ ;

$\forall M. \langle M, M0 \rangle \in \text{multirel1}(A, r) \longrightarrow M \text{ \#} \{ \#a\# \} \in \text{acc}(\text{multirel1}(A, r))$

$\mid]$

$\implies M0 \text{ \#} \{ \#a\# \} \in \text{acc}(\text{multirel1}(A, r))$

$\langle \text{proof} \rangle$

**lemma** *lemma2*:  $[\mid \forall b \in A. \langle b, a \rangle \in r$

$\longrightarrow (\forall M \in \text{acc}(\text{multirel1}(A, r)). M \text{ \#} \{ \#b\# \} : \text{acc}(\text{multirel1}(A, r)))$ ;

$M \in \text{acc}(\text{multirel1}(A, r)); a \in A] \implies M \text{ \#} \{ \#a\# \} \in \text{acc}(\text{multirel1}(A,$

$r))$

$\langle \text{proof} \rangle$

**lemma** *lemma3*:  $[\mid \text{wf}[A](r); a \in A \mid]$

$\implies \forall M \in \text{acc}(\text{multirel1}(A, r)). M \text{ \#} \{ \#a\# \} \in \text{acc}(\text{multirel1}(A, r))$

$\langle \text{proof} \rangle$

**lemma** *lemma4*:  $\text{multiset}(M) \implies \text{mset-of}(M) \subseteq A \longrightarrow$

$\text{wf}[A](r) \longrightarrow M \in \text{field}(\text{multirel1}(A, r)) \longrightarrow M \in \text{acc}(\text{multirel1}(A, r))$

$\langle \text{proof} \rangle$

**lemma** *all-accessible*:  $[\mid \text{wf}[A](r); M \in \text{Mult}(A); A \neq 0] \implies M \in \text{acc}(\text{multirel1}(A,$

$r))$

$\langle \text{proof} \rangle$

**lemma** *wf-on-multirel1*:  $\text{wf}[A](r) \implies \text{wf}[A - \mid \mid \text{nat} - \{0\}](\text{multirel1}(A, r))$

$\langle \text{proof} \rangle$

**lemma** *wf-multirel1*:  $\text{wf}(r) \implies \text{wf}(\text{multirel1}(\text{field}(r), r))$

$\langle \text{proof} \rangle$

**lemma** *multirel-type*:  $\text{multirel}(A, r) \subseteq \text{Mult}(A) * \text{Mult}(A)$

$\langle \text{proof} \rangle$

**lemma** *multirel-mono*:

$[\mid A \subseteq B; r \subseteq s \mid] \implies \text{multirel}(A, r) \subseteq \text{multirel}(B, s)$

$\langle \text{proof} \rangle$

**lemma** *add-diff-eq*:  $k \in \text{nat} \implies 0 < k \longrightarrow n \# + k \# - 1 = n \# + (k \# - 1)$

$\langle \text{proof} \rangle$

**lemma** *mdiff-union-single-conv*:  $[ [a \in \text{mset-of}(J); \text{multiset}(I); \text{multiset}(J) ] ]$   
 $\implies I + \# J - \# \{\#a\# \} = I + \# (J - \# \{\#a\# \})$   
 $\langle \text{proof} \rangle$

**lemma** *diff-add-commute*:  $[ [n \leq m; m \in \text{nat}; n \in \text{nat}; k \in \text{nat} ] ] \implies m \# -$   
 $n \# + k = m \# + k \# - n$   
 $\langle \text{proof} \rangle$

**lemma** *multirel-implies-one-step*:  
 $\langle M, N \rangle \in \text{multirel}(A, r) \implies$   
 $\text{trans}[A](r) \dashrightarrow$   
 $(\exists I J K.$   
 $I \in \text{Mult}(A) \ \& \ J \in \text{Mult}(A) \ \& \ K \in \text{Mult}(A) \ \&$   
 $N = I + \# J \ \& \ M = I + \# K \ \& \ J \neq 0 \ \&$   
 $(\forall k \in \text{mset-of}(K). \exists j \in \text{mset-of}(J). \langle k, j \rangle \in r))$   
 $\langle \text{proof} \rangle$

**lemma** *melem-imp-eq-diff-union* [*simp*]:  $[ [a \in \text{mset-of}(M); \text{multiset}(M) ] ] \implies$   
 $M - \# \{\#a\# \} + \# \{\#a\# \} = M$   
 $\langle \text{proof} \rangle$

**lemma** *msize-eq-succ-imp-eq-union*:  
 $[ [ \text{msize}(M) = \# \text{succ}(n); M \in \text{Mult}(A); n \in \text{nat} ] ]$   
 $\implies \exists a N. M = N + \# \{\#a\# \} \ \& \ N \in \text{Mult}(A) \ \& \ a \in A$   
 $\langle \text{proof} \rangle$

**lemma** *one-step-implies-multirel-lemma* [*rule-format (no-asm)*]:  
 $n \in \text{nat} \implies$   
 $(\forall I J K.$   
 $I \in \text{Mult}(A) \ \& \ J \in \text{Mult}(A) \ \& \ K \in \text{Mult}(A) \ \&$   
 $(\text{msize}(J) = \# n \ \& \ J \neq 0 \ \& \ (\forall k \in \text{mset-of}(K). \exists j \in \text{mset-of}(J). \langle k, j \rangle \in$   
 $r))$   
 $\dashrightarrow \langle I + \# K, I + \# J \rangle \in \text{multirel}(A, r))$   
 $\langle \text{proof} \rangle$

**lemma** *one-step-implies-multirel*:  
 $[ [ J \neq 0; \forall k \in \text{mset-of}(K). \exists j \in \text{mset-of}(J). \langle k, j \rangle \in r;$   
 $I \in \text{Mult}(A); J \in \text{Mult}(A); K \in \text{Mult}(A) ] ]$   
 $\implies \langle I + \# K, I + \# J \rangle \in \text{multirel}(A, r)$   
 $\langle \text{proof} \rangle$

**lemma** *multirel-irrefl-lemma*:

$Finite(A) ==> part-ord(A, r) --> (\forall x \in A. \exists y \in A. \langle x, y \rangle \in r) --> A=0$   
 $\langle proof \rangle$

**lemma** *irrefl-on-multirel*:

$part-ord(A, r) ==> irrefl(Mult(A), multirel(A, r))$   
 $\langle proof \rangle$

**lemma** *trans-on-multirel*:  $trans[Mult(A)](multirel(A, r))$

$\langle proof \rangle$

**lemma** *multirel-trans*:

$[ \langle M, N \rangle \in multirel(A, r); \langle N, K \rangle \in multirel(A, r) ] ==> \langle M, K \rangle \in multirel(A, r)$   
 $\langle proof \rangle$

**lemma** *trans-multirel*:  $trans(multirel(A, r))$

$\langle proof \rangle$

**lemma** *part-ord-multirel*:  $part-ord(A, r) ==> part-ord(Mult(A), multirel(A, r))$

$\langle proof \rangle$

**lemma** *munion-multirel1-mono*:

$[ \langle M, N \rangle \in multirel1(A, r); K \in Mult(A) ] ==> \langle K +\# M, K +\# N \rangle \in multirel1(A, r)$   
 $\langle proof \rangle$

**lemma** *munion-multirel-mono2*:

$[ \langle M, N \rangle \in multirel(A, r); K \in Mult(A) ] ==> \langle K +\# M, K +\# N \rangle \in multirel(A, r)$   
 $\langle proof \rangle$

**lemma** *munion-multirel-mono1*:

$[ \langle M, N \rangle \in multirel(A, r); K \in Mult(A) ] ==> \langle M +\# K, N +\# K \rangle \in multirel(A, r)$   
 $\langle proof \rangle$

**lemma** *munion-multirel-mono*:

$[ \langle M, K \rangle \in multirel(A, r); \langle N, L \rangle \in multirel(A, r) ]$   
 $==> \langle M +\# N, K +\# L \rangle \in multirel(A, r)$   
 $\langle proof \rangle$

## 8.4 Ordinal Multisets

**lemmas** *field-Memrel-mono* = *Memrel-mono* [THEN *field-mono*, *standard*]

**lemmas** *multirel-Memrel-mono* = *multirel-mono* [*OF field-Memrel-mono Memrel-mono*]

**lemma** *omultiset-is-multiset* [*simp*]: *omultiset*(*M*) ==> *multiset*(*M*)  
 <proof>

**lemma** *munion-omultiset* [*simp*]: [*omultiset*(*M*); *omultiset*(*N*) ] ==> *omultiset*(*M* +# *N*)  
 <proof>

**lemma** *mdiff-omultiset* [*simp*]: *omultiset*(*M*) ==> *omultiset*(*M* -# *N*)  
 <proof>

**lemma** *irrefl-Memrel*: *Ord*(*i*) ==> *irrefl*(*field*(*Memrel*(*i*)), *Memrel*(*i*))  
 <proof>

**lemma** *trans-iff-trans-on*: *trans*(*r*) <-> *trans*[*field*(*r*)](*r*)  
 <proof>

**lemma** *part-ord-Memrel*: *Ord*(*i*) ==> *part-ord*(*field*(*Memrel*(*i*)), *Memrel*(*i*))  
 <proof>

**lemmas** *part-ord-mless* = *part-ord-Memrel* [*THEN part-ord-multirel, standard*]

**lemma** *mless-not-refl*: ~(*M* <# *M*)  
 <proof>

**lemmas** *mless-irrefl* = *mless-not-refl* [*THEN notE, standard, elim!*]

**lemma** *mless-trans*: [*K* <# *M*; *M* <# *N* ] ==> *K* <# *N*  
 <proof>

**lemma** *mless-not-sym*: *M* <# *N* ==> ~ *N* <# *M*  
 <proof>

**lemma** *mless-asy*: [*M* <# *N*; ~*P* ==> *N* <# *M* ] ==> *P*  
 <proof>

**lemma** *mle-refl* [*simp*]:  $omultiset(M) ==> M <\# = M$   
 $\langle proof \rangle$

**lemma** *mle-antisym*:  
 $[| M <\# = N; N <\# = M |] ==> M = N$   
 $\langle proof \rangle$

**lemma** *mle-trans*:  $[| K <\# = M; M <\# = N |] ==> K <\# = N$   
 $\langle proof \rangle$

**lemma** *mless-le-iff*:  $M <\# N <-> (M <\# = N \ \& \ M \neq N)$   
 $\langle proof \rangle$

**lemma** *munion-less-mono2*:  $[| M <\# N; omultiset(K) |] ==> K +\# M <\# K +\# N$   
 $\langle proof \rangle$

**lemma** *munion-less-mono1*:  $[| M <\# N; omultiset(K) |] ==> M +\# K <\# N +\# K$   
 $\langle proof \rangle$

**lemma** *mless-imp-omultiset*:  $M <\# N ==> omultiset(M) \ \& \ omultiset(N)$   
 $\langle proof \rangle$

**lemma** *munion-less-mono*:  $[| M <\# K; N <\# L |] ==> M +\# N <\# K +\# L$   
 $\langle proof \rangle$

**lemma** *mle-imp-omultiset*:  $M <\# = N ==> omultiset(M) \ \& \ omultiset(N)$   
 $\langle proof \rangle$

**lemma** *mle-mono*:  $[| M <\# = K; N <\# = L |] ==> M +\# N <\# = K +\# L$   
 $\langle proof \rangle$

**lemma** *omultiset-0* [*iff*]:  $omultiset(0)$   
 $\langle proof \rangle$

**lemma** *empty-leI* [*simp*]:  $omultiset(M) ==> 0 <\# = M$   
 $\langle proof \rangle$

**lemma** *munion-upper1*:  $[| omultiset(M); omultiset(N) |] ==> M <\# = M +\# N$   
 $\langle proof \rangle$

$\langle ML \rangle$

end

## 9 An operator to “map” a relation over a list

theory *Rmap* imports *Main* begin

consts

*rmap* ::  $i \Rightarrow i$

inductive

domains *rmap*(*r*)  $\subseteq \text{list}(\text{domain}(r)) \times \text{list}(\text{range}(r))$

intros

*NilI*:  $\langle \text{Nil}, \text{Nil} \rangle \in \text{rmap}(r)$

*ConsI*:  $[\mid \langle x, y \rangle \in r; \langle xs, ys \rangle \in \text{rmap}(r) \mid]$   
 $\implies \langle \text{Cons}(x, xs), \text{Cons}(y, ys) \rangle \in \text{rmap}(r)$

type-intros *domainI* *rangeI* *list.intros*

lemma *rmap-mono*:  $r \subseteq s \implies \text{rmap}(r) \subseteq \text{rmap}(s)$

*<proof>*

inductive-cases

*Nil-rmap-case* [*elim!*]:  $\langle \text{Nil}, zs \rangle \in \text{rmap}(r)$

and *Cons-rmap-case* [*elim!*]:  $\langle \text{Cons}(x, xs), zs \rangle \in \text{rmap}(r)$

declare *rmap.intros* [*intro*]

lemma *rmap-rel-type*:  $r \subseteq A \times B \implies \text{rmap}(r) \subseteq \text{list}(A) \times \text{list}(B)$

*<proof>*

lemma *rmap-total*:  $A \subseteq \text{domain}(r) \implies \text{list}(A) \subseteq \text{domain}(\text{rmap}(r))$

*<proof>*

lemma *rmap-functional*:  $\text{function}(r) \implies \text{function}(\text{rmap}(r))$

*<proof>*

If *f* is a function then *rmap*(*f*) behaves as expected.

lemma *rmap-fun-type*:  $f \in A \multimap B \implies \text{rmap}(f): \text{list}(A) \multimap \text{list}(B)$

*<proof>*

lemma *rmap-Nil*:  $\text{rmap}(f) \text{ ` Nil} = \text{Nil}$

*<proof>*

lemma *rmap-Cons*:  $[\mid f \in A \multimap B; x \in A; xs: \text{list}(A) \mid]$

$\implies \text{rmap}(f) \text{ ` Cons}(x, xs) = \text{Cons}(f \text{ ` } x, \text{rmap}(f) \text{ ` } xs)$



$\langle proof \rangle$

end

## 10 Meta-theory of propositional logic

**theory** *PropLog* **imports** *Main* **begin**

Datatype definition of propositional logic formulae and inductive definition of the propositional tautologies.

Inductive definition of propositional logic. Soundness and completeness w.r.t. truth-tables.

Prove: If  $H \models p$  then  $G \models p$  where  $G \in \text{Fin}(H)$

### 10.1 The datatype of propositions

**consts**

*propn* :: *i*

**datatype** *propn* =

*Fls*

| *Var* (*n* ∈ *nat*) (#- [100] 100)

| *Imp* (*p* ∈ *propn*, *q* ∈ *propn*) (**infixr** ==> 90)

### 10.2 The proof system

**consts** *thms* :: *i* ==> *i*

**syntax** *-thms* :: [*i*,*i*] ==> *o* (**infixl** |- 50)

**translations**  $H \vdash p == p \in \text{thms}(H)$

**inductive**

**domains**  $\text{thms}(H) \subseteq \text{propn}$

**intros**

*H*: [| *p* ∈ *H*; *p* ∈ *propn* |] ==>  $H \vdash p$

*K*: [| *p* ∈ *propn*; *q* ∈ *propn* |] ==>  $H \vdash p \Rightarrow q \Rightarrow p$

*S*: [| *p* ∈ *propn*; *q* ∈ *propn*; *r* ∈ *propn* |]

==>  $H \vdash (p \Rightarrow q \Rightarrow r) \Rightarrow (p \Rightarrow q) \Rightarrow p \Rightarrow r$

*DN*:  $p \in \text{propn} \Rightarrow H \vdash ((p \Rightarrow \text{Fls}) \Rightarrow \text{Fls}) \Rightarrow p$

*MP*: [|  $H \vdash p \Rightarrow q$ ;  $H \vdash p$ ; *p* ∈ *propn*; *q* ∈ *propn* |] ==>  $H \vdash q$

**type-intros** *propn.intros*

**declare** *propn.intros* [*simp*]

### 10.3 The semantics

#### 10.3.1 Semantics of propositional logic.

**consts**

$is\_true\_fun :: [i,i] \Rightarrow i$   
**primrec**  
 $is\_true\_fun(Fls, t) = 0$   
 $is\_true\_fun(Var(v), t) = (if\ v \in t\ then\ 1\ else\ 0)$   
 $is\_true\_fun(p \Rightarrow q, t) = (if\ is\_true\_fun(p,t) = 1\ then\ is\_true\_fun(q,t)\ else\ 1)$

**constdefs**  
 $is\_true :: [i,i] \Rightarrow o$   
 $is\_true(p,t) == is\_true\_fun(p,t) = 1$   
 — this definition is required since predicates can't be recursive

**lemma** *is-true-Fls [simp]: is-true(Fls,t) <-> False*  
*<proof>*

**lemma** *is-true-Var [simp]: is-true(#v,t) <-> v ∈ t*  
*<proof>*

**lemma** *is-true-Imp [simp]: is-true(p=>q,t) <-> (is-true(p,t) --> is-true(q,t))*  
*<proof>*

### 10.3.2 Logical consequence

For every valuation, if all elements of  $H$  are true then so is  $p$ .

**constdefs**  
 $logcon :: [i,i] \Rightarrow o \quad (\mathbf{infixl}\ |\!=\ 50)$   
 $H\ |\!=\ p == \forall t. (\forall q \in H. is\_true(q,t)) \longrightarrow is\_true(p,t)$

A finite set of hypotheses from  $t$  and the *Vars* in  $p$ .

**consts**  
 $hyps :: [i,i] \Rightarrow i$   
**primrec**  
 $hyps(Fls, t) = 0$   
 $hyps(Var(v), t) = (if\ v \in t\ then\ \{\#v\}\ else\ \{\#v \Rightarrow Fls\})$   
 $hyps(p \Rightarrow q, t) = hyps(p,t) \cup hyps(q,t)$

### 10.4 Proof theory of propositional logic

**lemma** *thms-mono:  $G \subseteq H \implies thms(G) \subseteq thms(H)$*   
*<proof>*

**lemmas** *thms-in-pl = thms.dom-subset [THEN subsetD]*

**inductive-cases** *ImpE:  $p \Rightarrow q \in propn$*

**lemma** *thms-MP:  $[| H\ |\!-\ p \Rightarrow q;\ H\ |\!-\ p |] \implies H\ |\!-\ q$*   
 — Stronger Modus Ponens rule: no typechecking!  
*<proof>*

**lemma** *thms-I:  $p \in propn \implies H\ |\!-\ p \Rightarrow p$*

— Rule is called *I* for Identity Combinator, not for Introduction.  
 $\langle proof \rangle$

#### 10.4.1 Weakening, left and right

**lemma** *weaken-left*:  $[| G \subseteq H; G|-p |] ==> H|-p$   
 — Order of premises is convenient with *THEN*  
 $\langle proof \rangle$

**lemma** *weaken-left-cons*:  $H |- p ==> cons(a,H) |- p$   
 $\langle proof \rangle$

**lemmas** *weaken-left-Un1* = *Un-upper1* [*THEN* *weaken-left*]  
**lemmas** *weaken-left-Un2* = *Un-upper2* [*THEN* *weaken-left*]

**lemma** *weaken-right*:  $[| H |- q; p \in propn |] ==> H |- p=>q$   
 $\langle proof \rangle$

#### 10.4.2 The deduction theorem

**theorem** *deduction*:  $[| cons(p,H) |- q; p \in propn |] ==> H |- p=>q$   
 $\langle proof \rangle$

#### 10.4.3 The cut rule

**lemma** *cut*:  $[| H|-p; cons(p,H) |- q |] ==> H |- q$   
 $\langle proof \rangle$

**lemma** *thms-FlsE*:  $[| H |- Fls; p \in propn |] ==> H |- p$   
 $\langle proof \rangle$

**lemma** *thms-notE*:  $[| H |- p=>Fls; H |- p; q \in propn |] ==> H |- q$   
 $\langle proof \rangle$

#### 10.4.4 Soundness of the rules wrt truth-table semantics

**theorem** *soundness*:  $H |- p ==> H |= p$   
 $\langle proof \rangle$

### 10.5 Completeness

#### 10.5.1 Towards the completeness proof

**lemma** *Fls-Imp*:  $[| H |- p=>Fls; q \in propn |] ==> H |- p=>q$   
 $\langle proof \rangle$

**lemma** *Imp-Fls*:  $[| H |- p; H |- q=>Fls |] ==> H |- (p=>q)=>Fls$   
 $\langle proof \rangle$

**lemma** *hyps-thms-if*:

$p \in \text{propn} \implies \text{hyps}(p, t) \vdash (\text{if is-true}(p, t) \text{ then } p \text{ else } p \Rightarrow \text{Fls})$   
 — Typical example of strengthening the induction statement.  
 $\langle \text{proof} \rangle$

**lemma** *logcon-thms-p*:  $[p \in \text{propn}; \ 0 \models p] \implies \text{hyps}(p, t) \vdash p$   
 — Key lemma for completeness; yields a set of assumptions satisfying  $p$   
 $\langle \text{proof} \rangle$

For proving certain theorems in our new propositional logic.

**lemmas** *propn-SIs* = *propn.intros deduction*  
**and** *propn-Is* = *thms-in-pl thms.H thms.H [THEN thms-MP]*

The excluded middle in the form of an elimination rule.

**lemma** *thms-excluded-middle*:  
 $[p \in \text{propn}; \ q \in \text{propn}] \implies H \vdash (p \Rightarrow q) \Rightarrow ((p \Rightarrow \text{Fls}) \Rightarrow q) \Rightarrow q$   
 $\langle \text{proof} \rangle$

**lemma** *thms-excluded-middle-rule*:  
 $[ \text{cons}(p, H) \vdash q; \ \text{cons}(p \Rightarrow \text{Fls}, H) \vdash q; \ p \in \text{propn} ] \implies H \vdash q$   
 — Hard to prove directly because it requires cuts  
 $\langle \text{proof} \rangle$

### 10.5.2 Completeness – lemmas for reducing the set of assumptions

For the case  $\text{hyps}(p, t) - \text{cons}(\#v, Y) \vdash p$  we also have  $\text{hyps}(p, t) - \{\#v\} \subseteq \text{hyps}(p, t - \{v\})$ .

**lemma** *hyps-Diff*:  
 $p \in \text{propn} \implies \text{hyps}(p, t - \{v\}) \subseteq \text{cons}(\#v \Rightarrow \text{Fls}, \text{hyps}(p, t) - \{\#v\})$   
 $\langle \text{proof} \rangle$

For the case  $\text{hyps}(p, t) - \text{cons}(\#v \Rightarrow \text{Fls}, Y) \vdash p$  we also have  $\text{hyps}(p, t) - \{\#v \Rightarrow \text{Fls}\} \subseteq \text{hyps}(p, \text{cons}(v, t))$ .

**lemma** *hyps-cons*:  
 $p \in \text{propn} \implies \text{hyps}(p, \text{cons}(v, t)) \subseteq \text{cons}(\#v, \text{hyps}(p, t) - \{\#v \Rightarrow \text{Fls}\})$   
 $\langle \text{proof} \rangle$

Two lemmas for use with *weaken-left*

**lemma** *cons-Diff-same*:  $B - C \subseteq \text{cons}(a, B - \text{cons}(a, C))$   
 $\langle \text{proof} \rangle$

**lemma** *cons-Diff-subset2*:  $\text{cons}(a, B - \{c\}) - D \subseteq \text{cons}(a, B - \text{cons}(c, D))$   
 $\langle \text{proof} \rangle$

The set  $\text{hyps}(p, t)$  is finite, and elements have the form  $\#v$  or  $\#v \Rightarrow \text{Fls}$ ; could probably prove the stronger  $\text{hyps}(p, t) \in \text{Fin}(\text{hyps}(p, 0) \cup \text{hyps}(p, \text{nat}))$ .

**lemma** *hyps-finite*:  $p \in \text{propn} \implies \text{hyps}(p, t) \in \text{Fin}(\bigcup v \in \text{nat}. \{\#v, \#v \Rightarrow \text{Fls}\})$   
 $\langle \text{proof} \rangle$

**lemmas** *Diff-weaken-left* = *Diff-mono* [*OF* - *subset-refl*, *THEN* *weaken-left*]

Induction on the finite set of assumptions  $\text{hyps}(p, t0)$ . We may repeatedly subtract assumptions until none are left!

**lemma** *completeness-0-lemma* [*rule-format*]:  
 $[\mid p \in \text{propn}; \ 0 \models p \mid] \implies \forall t. \text{hyps}(p, t) - \text{hyps}(p, t0) \vdash p$   
 $\langle \text{proof} \rangle$

### 10.5.3 Completeness theorem

**lemma** *completeness-0*:  $[\mid p \in \text{propn}; \ 0 \models p \mid] \implies 0 \vdash p$   
 — The base case for completeness  
 $\langle \text{proof} \rangle$

**lemma** *logcon-Imp*:  $[\mid \text{cons}(p, H) \models q \mid] \implies H \models p \Rightarrow q$   
 — A semantic analogue of the Deduction Theorem  
 $\langle \text{proof} \rangle$

**lemma** *completeness* [*rule-format*]:  
 $H \in \text{Fin}(\text{propn}) \implies \forall p \in \text{propn}. H \models p \dashv\vdash H \vdash p$   
 $\langle \text{proof} \rangle$

**theorem** *thms-iff*:  $H \in \text{Fin}(\text{propn}) \implies H \vdash p \Leftrightarrow H \models p \wedge p \in \text{propn}$   
 $\langle \text{proof} \rangle$

**end**

## 11 Lists of n elements

**theory** *ListN* **imports** *Main* **begin**

Inductive definition of lists of  $n$  elements; see [?].

**consts** *listn* ::  $i \Rightarrow i$

**inductive**

**domains**  $\text{listn}(A) \subseteq \text{nat} \times \text{list}(A)$

**intros**

*NilI*:  $\langle 0, \text{Nil} \rangle \in \text{listn}(A)$

*ConsI*:  $[\mid a \in A; \langle n, l \rangle \in \text{listn}(A) \mid] \implies \langle \text{succ}(n), \text{Cons}(a, l) \rangle \in \text{listn}(A)$

**type-intros** *nat-typechecks* *list.intros*

**lemma** *list-into-listn*:  $l \in \text{list}(A) \implies \langle \text{length}(l), l \rangle \in \text{listn}(A)$   
 $\langle \text{proof} \rangle$

**lemma** *listn-iff*:  $\langle n, l \rangle \in \text{listn}(A) \iff l \in \text{list}(A) \ \& \ \text{length}(l)=n$   
 $\langle \text{proof} \rangle$

**lemma** *listn-image-eq*:  $\text{listn}(A) \text{ `` } \{n\} = \{l \in \text{list}(A). \text{length}(l)=n\}$   
 $\langle \text{proof} \rangle$

**lemma** *listn-mono*:  $A \subseteq B \implies \text{listn}(A) \subseteq \text{listn}(B)$   
 $\langle \text{proof} \rangle$

**lemma** *listn-append*:  
 $[\langle n, l \rangle \in \text{listn}(A); \langle n', l' \rangle \in \text{listn}(A)] \implies \langle n\# + n', l @ l' \rangle \in \text{listn}(A)$   
 $\langle \text{proof} \rangle$

**inductive-cases**

*Nil-listn-case*:  $\langle i, \text{Nil} \rangle \in \text{listn}(A)$

**and** *Cons-listn-case*:  $\langle i, \text{Cons}(x, l) \rangle \in \text{listn}(A)$

**inductive-cases**

*zero-listn-case*:  $\langle 0, l \rangle \in \text{listn}(A)$

**and** *succ-listn-case*:  $\langle \text{succ}(i), l \rangle \in \text{listn}(A)$

**end**

## 12 Combinatory Logic example: the Church-Rosser Theorem

**theory** *Comb* **imports** *Main* **begin**

Curiously, combinators do not include free variables.

Example taken from [?].

### 12.1 Definitions

Datatype definition of combinators  $S$  and  $K$ .

**consts** *comb* ::  $i$

**datatype** *comb* =

$K$   
 $| S$   
 $| \text{app } (p \in \text{comb}, q \in \text{comb}) \quad (\text{infixl } @@ \ 90)$

Inductive definition of contractions,  $-1->$  and (multi-step) reductions,  $--->$ .

**consts**

*contract* ::  $i$

**syntax**

*-contract* ::  $[i, i] \Rightarrow o \quad (\text{infixl } -1-> \ 50)$

*-contract-multi* ::  $[i, i] \Rightarrow o$  (**infixl**  $----$  50)

**translations**

$p -1-> q \equiv \langle p, q \rangle \in \text{contract}$

$p ----> q \equiv \langle p, q \rangle \in \text{contract}^*$

**syntax** (*xsymbols*)

*comb.app* ::  $[i, i] \Rightarrow i$  (**infixl**  $\cdot$  90)

**inductive**

**domains** *contract*  $\subseteq \text{comb} \times \text{comb}$

**intros**

*K*:  $[\mid p \in \text{comb}; q \in \text{comb} \mid] \Rightarrow K \cdot p \cdot q -1-> p$

*S*:  $[\mid p \in \text{comb}; q \in \text{comb}; r \in \text{comb} \mid] \Rightarrow S \cdot p \cdot q \cdot r -1-> (p \cdot r) \cdot (q \cdot r)$

*Ap1*:  $[\mid p -1-> q; r \in \text{comb} \mid] \Rightarrow p \cdot r -1-> q \cdot r$

*Ap2*:  $[\mid p -1-> q; r \in \text{comb} \mid] \Rightarrow r \cdot p -1-> r \cdot q$

**type-intros** *comb.intros*

Inductive definition of parallel contractions,  $=1=>$  and (multi-step) parallel reductions,  $====>$ .

**consts**

*parcontract* :: *i*

**syntax**

*-parcontract* ::  $[i, i] \Rightarrow o$  (**infixl**  $=1=>$  50)

*-parcontract-multi* ::  $[i, i] \Rightarrow o$  (**infixl**  $====>$  50)

**translations**

$p =1=> q \equiv \langle p, q \rangle \in \text{parcontract}$

$p ====> q \equiv \langle p, q \rangle \in \text{parcontract}^+$

**inductive**

**domains** *parcontract*  $\subseteq \text{comb} \times \text{comb}$

**intros**

*refl*:  $[\mid p \in \text{comb} \mid] \Rightarrow p =1=> p$

*K*:  $[\mid p \in \text{comb}; q \in \text{comb} \mid] \Rightarrow K \cdot p \cdot q =1=> p$

*S*:  $[\mid p \in \text{comb}; q \in \text{comb}; r \in \text{comb} \mid] \Rightarrow S \cdot p \cdot q \cdot r =1=> (p \cdot r) \cdot (q \cdot r)$

*Ap*:  $[\mid p =1=> q; r =1=> s \mid] \Rightarrow p \cdot r =1=> q \cdot s$

**type-intros** *comb.intros*

Misc definitions.

**constdefs**

*I* :: *i*

$I \equiv S \cdot K \cdot K$

*diamond* :: *i*  $\Rightarrow o$

*diamond*(*r*) ==

$\forall x y. \langle x, y \rangle \in r \longrightarrow (\forall y'. \langle x, y' \rangle \in r \longrightarrow (\exists z. \langle y, z \rangle \in r \ \& \ \langle y', z \rangle \in r))$

## 12.2 Transitive closure preserves the Church-Rosser property

**lemma** *diamond-strip-lemmaD* [rule-format]:  

$$[[ \text{diamond}(r); \langle x, y \rangle : r^+ ]] ==>$$

$$\forall y'. \langle x, y' \rangle : r \dashrightarrow (\exists z. \langle y', z \rangle : r^+ \ \& \ \langle y, z \rangle : r)$$

$$\langle \text{proof} \rangle$$

**lemma** *diamond-trancl*:  $\text{diamond}(r) ==> \text{diamond}(r^+)$   

$$\langle \text{proof} \rangle$$

**inductive-cases** *Ap-E* [elim!]:  $p \bullet q \in \text{comb}$

**declare** *comb.intros* [intro!]

## 12.3 Results about Contraction

For type checking: replaces  $a -1-> b$  by  $a, b \in \text{comb}$ .

**lemmas** *contract-combE2* = *contract.dom-subset* [THEN *subsetD*, THEN *SigmaE2*]  
**and** *contract-combD1* = *contract.dom-subset* [THEN *subsetD*, THEN *SigmaD1*]  
**and** *contract-combD2* = *contract.dom-subset* [THEN *subsetD*, THEN *SigmaD2*]

**lemma** *field-contract-eq*:  $\text{field}(\text{contract}) = \text{comb}$   

$$\langle \text{proof} \rangle$$

**lemmas** *reduction-refl* =  
*field-contract-eq* [THEN *equalityD2*, THEN *subsetD*, THEN *rtrancl-refl*]

**lemmas** *rtrancl-into-rtrancl2* =  
*r-into-rtrancl* [THEN *trans-rtrancl* [THEN *transD*]]

**declare** *reduction-refl* [intro!] *contract.K* [intro!] *contract.S* [intro!]

**lemmas** *reduction-rls* =  
*contract.K* [THEN *rtrancl-into-rtrancl2*]  
*contract.S* [THEN *rtrancl-into-rtrancl2*]  
*contract.Ap1* [THEN *rtrancl-into-rtrancl2*]  
*contract.Ap2* [THEN *rtrancl-into-rtrancl2*]

**lemma**  $p \in \text{comb} ==> I \bullet p \dashrightarrow p$   
— Example only: not used  

$$\langle \text{proof} \rangle$$

**lemma** *comb-I*:  $I \in \text{comb}$   

$$\langle \text{proof} \rangle$$



## 12.4 Non-contraction results

Derive a case for each combinator constructor.

**inductive-cases**

*K-contractE* [elim!]:  $K -1-> r$   
**and** *S-contractE* [elim!]:  $S -1-> r$   
**and** *Ap-contractE* [elim!]:  $p \cdot q -1-> r$

**lemma** *I-contract-E*:  $I -1-> r \implies P$   
 ⟨proof⟩

**lemma** *K1-contractD*:  $K \cdot p -1-> r \implies (\exists q. r = K \cdot q \ \& \ p -1-> q)$   
 ⟨proof⟩

**lemma** *Ap-reduce1*:  $[p \dashrightarrow q; r \in \text{comb}] \implies p \cdot r \dashrightarrow q \cdot r$   
 ⟨proof⟩

**lemma** *Ap-reduce2*:  $[p \dashrightarrow q; r \in \text{comb}] \implies r \cdot p \dashrightarrow r \cdot q$   
 ⟨proof⟩

Counterexample to the diamond property for  $-1->$ .

**lemma** *KIII-contract1*:  $K \cdot I \cdot (I \cdot I) -1-> I$   
 ⟨proof⟩

**lemma** *KIII-contract2*:  $K \cdot I \cdot (I \cdot I) -1-> K \cdot I \cdot ((K \cdot I) \cdot (K \cdot I))$   
 ⟨proof⟩

**lemma** *KIII-contract3*:  $K \cdot I \cdot ((K \cdot I) \cdot (K \cdot I)) -1-> I$   
 ⟨proof⟩

**lemma** *not-diamond-contract*:  $\neg \text{diamond}(\text{contract})$   
 ⟨proof⟩

## 12.5 Results about Parallel Contraction

For type checking: replaces  $a =1=> b$  by  $a, b \in \text{comb}$

**lemmas** *parcontract-combE2* = *parcontract.dom-subset* [THEN subsetD, THEN SigmaE2]

**and** *parcontract-combD1* = *parcontract.dom-subset* [THEN subsetD, THEN SigmaD1]

**and** *parcontract-combD2* = *parcontract.dom-subset* [THEN subsetD, THEN SigmaD2]

**lemma** *field-parcontract-eq*:  $\text{field}(\text{parcontract}) = \text{comb}$   
 ⟨proof⟩

Derive a case for each combinator constructor.

**inductive-cases**

$K\text{-parcontract}E \text{ [elim!]}: K = 1 \Rightarrow r$   
**and**  $S\text{-parcontract}E \text{ [elim!]}: S = 1 \Rightarrow r$   
**and**  $Ap\text{-parcontract}E \text{ [elim!]}: p \cdot q = 1 \Rightarrow r$

**declare** *parcontract.intros* [intro]

## 12.6 Basic properties of parallel contraction

**lemma** *K1-parcontractD* [dest!]:  
 $K \cdot p = 1 \Rightarrow r \Rightarrow (\exists p'. r = K \cdot p' \ \& \ p = 1 \Rightarrow p')$   
 <proof>

**lemma** *S1-parcontractD* [dest!]:  
 $S \cdot p = 1 \Rightarrow r \Rightarrow (\exists p'. r = S \cdot p' \ \& \ p = 1 \Rightarrow p')$   
 <proof>

**lemma** *S2-parcontractD* [dest!]:  
 $S \cdot p \cdot q = 1 \Rightarrow r \Rightarrow (\exists p' q'. r = S \cdot p' \cdot q' \ \& \ p = 1 \Rightarrow p' \ \& \ q = 1 \Rightarrow q')$   
 <proof>

**lemma** *diamond-parcontract*: *diamond*(*parcontract*)  
 — Church-Rosser property for parallel contraction  
 <proof>

Equivalence of  $p \dashrightarrow q$  and  $p \Rightarrow q$ .

**lemma** *contract-imp-parcontract*:  $p - 1 -> q \Rightarrow p = 1 \Rightarrow q$   
 <proof>

**lemma** *reduce-imp-parreduce*:  $p \dashrightarrow q \Rightarrow p \Rightarrow q$   
 <proof>

**lemma** *parcontract-imp-reduce*:  $p = 1 \Rightarrow q \Rightarrow p \dashrightarrow q$   
 <proof>

**lemma** *parreduce-imp-reduce*:  $p \Rightarrow q \Rightarrow p \dashrightarrow q$   
 <proof>

**lemma** *parreduce-iff-reduce*:  $p \Rightarrow q \Leftrightarrow p \dashrightarrow q$   
 <proof>

**end**

## 13 Primitive Recursive Functions: the inductive definition

**theory** *Primrec* **imports** *Main* **begin**

Proof adopted from [?].

See also [?, page 250, exercise 11].

### 13.1 Basic definitions

#### constdefs

$SC :: i$

$SC == \lambda l \in list(nat). list-case(0, \lambda x xs. succ(x), l)$

$CONST :: i \Rightarrow i$

$CONST(k) == \lambda l \in list(nat). k$

$PROJ :: i \Rightarrow i$

$PROJ(i) == \lambda l \in list(nat). list-case(0, \lambda x xs. x, drop(i, l))$

$COMP :: [i, i] \Rightarrow i$

$COMP(g, fs) == \lambda l \in list(nat). g \text{ ' } List.map(\lambda f. f'l, fs)$

$PREC :: [i, i] \Rightarrow i$

$PREC(f, g) ==$

$\lambda l \in list(nat). list-case(0,$

$\lambda x xs. rec(x, f'xs, \lambda y r. g \text{ ' } Cons(r, Cons(y, xs))), l)$

— Note that  $g$  is applied first to  $PREC(f, g) \text{ ' } y$  and then to  $y!$

#### consts

$ACK :: i \Rightarrow i$

#### primrec

$ACK(0) = SC$

$ACK(succ(i)) = PREC (CONST (ACK(i) \text{ ' } [1]), COMP(ACK(i), [PROJ(0)]))$

#### syntax

$ack :: [i, i] \Rightarrow i$

#### translations

$ack(x, y) == ACK(x) \text{ ' } [y]$

Useful special cases of evaluation.

**lemma**  $SC$ :  $[[ x \in nat; l \in list(nat) ]] \Rightarrow SC \text{ ' } (Cons(x, l)) = succ(x)$   
 $\langle proof \rangle$

**lemma**  $CONST$ :  $l \in list(nat) \Rightarrow CONST(k) \text{ ' } l = k$   
 $\langle proof \rangle$

**lemma**  $PROJ-0$ :  $[[ x \in nat; l \in list(nat) ]] \Rightarrow PROJ(0) \text{ ' } (Cons(x, l)) = x$   
 $\langle proof \rangle$

**lemma**  $COMP-1$ :  $l \in list(nat) \Rightarrow COMP(g, [f]) \text{ ' } l = g \text{ ' } [f'l]$   
 $\langle proof \rangle$

**lemma** *PREC-0*:  $l \in \text{list}(\text{nat}) \implies \text{PREC}(f,g) \text{ ' } (\text{Cons}(0,l)) = f^l$   
 $\langle \text{proof} \rangle$

**lemma** *PREC-succ*:  
 $[[\ x \in \text{nat};\ l \in \text{list}(\text{nat})\ ]]$   
 $\implies \text{PREC}(f,g) \text{ ' } (\text{Cons}(\text{succ}(x),l)) =$   
 $g \text{ ' } \text{Cons}(\text{PREC}(f,g) \text{ ' } (\text{Cons}(x,l)), \text{Cons}(x,l))$   
 $\langle \text{proof} \rangle$

### 13.2 Inductive definition of the PR functions

**consts**

*prim-rec* :: *i*

**inductive**

**domains** *prim-rec*  $\subseteq \text{list}(\text{nat}) \rightarrow \text{nat}$

**intros**

*SC*  $\in \text{prim-rec}$

$k \in \text{nat} \implies \text{CONST}(k) \in \text{prim-rec}$

$i \in \text{nat} \implies \text{PROJ}(i) \in \text{prim-rec}$

$[[\ g \in \text{prim-rec};\ fs \in \text{list}(\text{prim-rec})\ ]] \implies \text{COMP}(g,fs) \in \text{prim-rec}$

$[[\ f \in \text{prim-rec};\ g \in \text{prim-rec}\ ]] \implies \text{PREC}(f,g) \in \text{prim-rec}$

**monos** *list-mono*

**con-defs** *SC-def* *CONST-def* *PROJ-def* *COMP-def* *PREC-def*

**type-intros** *nat-typechecks* *list.intros*

*lam-type* *list-case-type* *drop-type* *List.map-type*

*apply-type* *rec-type*

**lemma** *prim-rec-into-fun* [*TC*]:  $c \in \text{prim-rec} \implies c \in \text{list}(\text{nat}) \rightarrow \text{nat}$   
 $\langle \text{proof} \rangle$

**lemmas** [*TC*] = *apply-type* [*OF* *prim-rec-into-fun*]

**declare** *prim-rec.intros* [*TC*]

**declare** *nat-into-Ord* [*TC*]

**declare** *rec-type* [*TC*]

**lemma** *ACK-in-prim-rec* [*TC*]:  $i \in \text{nat} \implies \text{ACK}(i) \in \text{prim-rec}$   
 $\langle \text{proof} \rangle$

**lemma** *ack-type* [*TC*]:  $[[\ i \in \text{nat};\ j \in \text{nat}\ ]] \implies \text{ack}(i,j) \in \text{nat}$   
 $\langle \text{proof} \rangle$

### 13.3 Ackermann's function cases

**lemma** *ack-0*:  $j \in \text{nat} \implies \text{ack}(0,j) = \text{succ}(j)$   
— PROPERTY A 1  
 $\langle \text{proof} \rangle$

**lemma** *ack-succ-0*:  $ack(succ(i), 0) = ack(i, 1)$

— PROPERTY A 2

*<proof>*

**lemma** *ack-succ-succ*:

$[i \in nat; j \in nat] \implies ack(succ(i), succ(j)) = ack(i, ack(succ(i), j))$

— PROPERTY A 3

*<proof>*

**lemmas**  $[simp] = ack-0 \ ack-succ-0 \ ack-succ-succ \ ack-type$

**and**  $[simp \ del] = ACK.simps$

**lemma** *lt-ack2*  $[rule-format]$ :  $i \in nat \implies \forall j \in nat. j < ack(i, j)$

— PROPERTY A 4

*<proof>*

**lemma** *ack-lt-ack-succ2*:  $[i \in nat; j \in nat] \implies ack(i, j) < ack(i, succ(j))$

— PROPERTY A 5-, the single-step lemma

*<proof>*

**lemma** *ack-lt-mono2*:  $[j < k; i \in nat; k \in nat] \implies ack(i, j) < ack(i, k)$

— PROPERTY A 5, monotonicity for <

*<proof>*

**lemma** *ack-le-mono2*:  $[j \leq k; i \in nat; k \in nat] \implies ack(i, j) \leq ack(i, k)$

— PROPERTY A 5', monotonicity for  $\leq$

*<proof>*

**lemma** *ack2-le-ack1*:

$[i \in nat; j \in nat] \implies ack(i, succ(j)) \leq ack(succ(i), j)$

— PROPERTY A 6

*<proof>*

**lemma** *ack-lt-ack-succ1*:  $[i \in nat; j \in nat] \implies ack(i, j) < ack(succ(i), j)$

— PROPERTY A 7-, the single-step lemma

*<proof>*

**lemma** *ack-lt-mono1*:  $[i < j; j \in nat; k \in nat] \implies ack(i, k) < ack(j, k)$

— PROPERTY A 7, monotonicity for <

*<proof>*

**lemma** *ack-le-mono1*:  $[i \leq j; j \in nat; k \in nat] \implies ack(i, k) \leq ack(j, k)$

— PROPERTY A 7', monotonicity for  $\leq$

*<proof>*

**lemma** *ack-1*:  $j \in nat \implies ack(1, j) = succ(succ(j))$

— PROPERTY A 8

*<proof>*

**lemma** *ack-2*:  $j \in \text{nat} \implies \text{ack}(\text{succ}(1), j) = \text{succ}(\text{succ}(\text{succ}(j \# + j)))$   
 — PROPERTY A 9  
 $\langle \text{proof} \rangle$

**lemma** *ack-nest-bound*:  
 $[[ i1 \in \text{nat}; i2 \in \text{nat}; j \in \text{nat} ]]$   
 $\implies \text{ack}(i1, \text{ack}(i2, j)) < \text{ack}(\text{succ}(\text{succ}(i1 \# + i2)), j)$   
 — PROPERTY A 10  
 $\langle \text{proof} \rangle$

**lemma** *ack-add-bound*:  
 $[[ i1 \in \text{nat}; i2 \in \text{nat}; j \in \text{nat} ]]$   
 $\implies \text{ack}(i1, j) \# + \text{ack}(i2, j) < \text{ack}(\text{succ}(\text{succ}(\text{succ}(\text{succ}(i1 \# + i2)))), j)$   
 — PROPERTY A 11  
 $\langle \text{proof} \rangle$

**lemma** *ack-add-bound2*:  
 $[[ i < \text{ack}(k, j); j \in \text{nat}; k \in \text{nat} ]]$   
 $\implies i \# + j < \text{ack}(\text{succ}(\text{succ}(\text{succ}(\text{succ}(k)))), j)$   
 — PROPERTY A 12.  
 — Article uses existential quantifier but the ALF proof used  $k \# + \#4$ .  
 — Quantified version must be nested  $\exists k'. \forall i, j \dots$   
 $\langle \text{proof} \rangle$

### 13.4 Main result

**declare** *list-add-type* [simp]

**lemma** *SC-case*:  $l \in \text{list}(\text{nat}) \implies \text{SC} \text{ ' } l < \text{ack}(1, \text{list-add}(l))$   
 $\langle \text{proof} \rangle$

**lemma** *lt-ack1*:  $[[ i \in \text{nat}; j \in \text{nat} ]]$   $\implies i < \text{ack}(i, j)$   
 — PROPERTY A 4'? Extra lemma needed for *CONST* case, constant functions.  
 $\langle \text{proof} \rangle$

**lemma** *CONST-case*:  
 $[[ l \in \text{list}(\text{nat}); k \in \text{nat} ]]$   $\implies \text{CONST}(k) \text{ ' } l < \text{ack}(k, \text{list-add}(l))$   
 $\langle \text{proof} \rangle$

**lemma** *PROJ-case* [rule-format]:  
 $l \in \text{list}(\text{nat}) \implies \forall i \in \text{nat}. \text{PROJ}(i) \text{ ' } l < \text{ack}(0, \text{list-add}(l))$   
 $\langle \text{proof} \rangle$

*COMP* case.

**lemma** *COMP-map-lemma*:  
 $fs \in \text{list}(\{f \in \text{prim-rec}. \exists kf \in \text{nat}. \forall l \in \text{list}(\text{nat}). f \cdot l < \text{ack}(kf, \text{list-add}(l))\})$   
 $\implies \exists k \in \text{nat}. \forall l \in \text{list}(\text{nat}).$

$list-add(map(\lambda f. f \text{ ' } l, fs)) < ack(k, list-add(l))$   
 <proof>

**lemma** *COMP-case*:

[|  $kg \in nat$ ;  
 $\forall l \in list(nat). g'l < ack(kg, list-add(l))$ ;  
 $fs \in list(\{f \in prim-rec .$   
 $\quad \exists kf \in nat. \forall l \in list(nat).$   
 $\quad \quad f'l < ack(kf, list-add(l))\})$  |]  
 $\implies \exists k \in nat. \forall l \in list(nat). COMP(g,fs)'l < ack(k, list-add(l))$   
 <proof>

*PREC* case.

**lemma** *PREC-case-lemma*:

[|  $\forall l \in list(nat). f'l \# + list-add(l) < ack(kf, list-add(l))$ ;  
 $\forall l \in list(nat). g'l \# + list-add(l) < ack(kg, list-add(l))$ ;  
 $f \in prim-rec; \quad kf \in nat$ ;  
 $g \in prim-rec; \quad kg \in nat$ ;  
 $l \in list(nat)$  |]  
 $\implies PREC(f,g)'l \# + list-add(l) < ack(succ(kf \# + kg), list-add(l))$   
 <proof>

**lemma** *PREC-case*:

[|  $f \in prim-rec; \quad kf \in nat$ ;  
 $g \in prim-rec; \quad kg \in nat$ ;  
 $\forall l \in list(nat). f'l < ack(kf, list-add(l))$ ;  
 $\forall l \in list(nat). g'l < ack(kg, list-add(l))$  |]  
 $\implies \exists k \in nat. \forall l \in list(nat). PREC(f,g)'l < ack(k, list-add(l))$   
 <proof>

**lemma** *ack-bounds-prim-rec*:

$f \in prim-rec \implies \exists k \in nat. \forall l \in list(nat). f'l < ack(k, list-add(l))$   
 <proof>

**theorem** *ack-not-prim-rec*:

$(\lambda l \in list(nat). list-case(0, \lambda x xs. ack(x,x), l)) \notin prim-rec$   
 <proof>

**end**