

Examples of Inductive and Coinductive Definitions in HOL

Stefan Berghofer
Tobias Nipkow
Lawrence C Paulson
Markus Wenzel

October 1, 2005

Abstract

This is a collection of small examples to demonstrate Isabelle/HOL's (co)inductive definitions package. Large examples appear on many other sessions, such as Lambda, IMP, and Auth.

Contents

| | | |
|----------|--|-----------|
| 1 | The Mutilated Chess Board Problem | 5 |
| 2 | Defining an Initial Algebra by Quotienting a Free Algebra | 7 |
| 2.1 | Defining the Free Algebra | 7 |
| 2.2 | Some Functions on the Free Algebra | 8 |
| 2.2.1 | The Set of Nonces | 8 |
| 2.2.2 | The Left Projection | 8 |
| 2.2.3 | The Right Projection | 9 |
| 2.2.4 | The Discriminator for Constructors | 9 |
| 2.3 | The Initial Algebra: A Quotiented Message Type | 9 |
| 2.3.1 | Characteristic Equations for the Abstract Constructors | 10 |
| 2.4 | The Abstract Function to Return the Set of Nonces | 11 |
| 2.5 | The Abstract Function to Return the Left Part | 11 |
| 2.6 | The Abstract Function to Return the Right Part | 11 |
| 2.7 | Injectivity Properties of Some Constructors | 12 |
| 2.8 | The Abstract Discriminator | 13 |
| 3 | Quotienting a Free Algebra Involving Nested Recursion | 14 |
| 3.1 | Defining the Free Algebra | 14 |
| 3.2 | Some Functions on the Free Algebra | 15 |
| 3.2.1 | The Set of Variables | 15 |
| 3.2.2 | Functions for Freeness | 15 |

| | | |
|-----------|---|-----------|
| 3.3 | The Initial Algebra: A Quotiented Message Type | 16 |
| 3.4 | Every list of abstract expressions can be expressed in terms of a list of concrete expressions | 17 |
| 3.4.1 | Characteristic Equations for the Abstract Constructors | 17 |
| 3.5 | The Abstract Function to Return the Set of Variables | 18 |
| 3.6 | Injectivity Properties of Some Constructors | 19 |
| 3.7 | Injectivity of <i>FnCall</i> | 19 |
| 3.8 | The Abstract Discriminator | 20 |
| 4 | Terms over a given alphabet | 20 |
| 5 | Arithmetic and boolean expressions | 21 |
| 6 | Infinitely branching trees | 23 |
| 6.1 | The Brouwer ordinals, as in ZF/Induct/Brouwer.thy. | 23 |
| 6.2 | A WF Ordering for The Brouwer ordinals (Michael Compton) | 24 |
| 7 | Ordinals | 25 |
| 8 | Sigma algebras | 26 |
| 9 | Combinatory Logic example: the Church-Rosser Theorem | 27 |
| 9.1 | Definitions | 27 |
| 9.2 | Reflexive/Transitive closure preserves Church-Rosser property | 28 |
| 9.3 | Non-contraction results | 28 |
| 9.4 | Results about Parallel Contraction | 29 |
| 9.5 | Basic properties of parallel contraction | 29 |
| 10 | Meta-theory of propositional logic | 30 |
| 10.1 | The datatype of propositions | 31 |
| 10.2 | The proof system | 31 |
| 10.3 | The semantics | 31 |
| 10.3.1 | Semantics of propositional logic. | 31 |
| 10.3.2 | Logical consequence | 31 |
| 10.4 | Proof theory of propositional logic | 32 |
| 10.4.1 | Weakening, left and right | 32 |
| 10.4.2 | The deduction theorem | 32 |
| 10.4.3 | The cut rule | 32 |
| 10.4.4 | Soundness of the rules wrt truth-table semantics | 32 |
| 10.5 | Completeness | 32 |
| 10.5.1 | Towards the completeness proof | 32 |
| 10.6 | Completeness – lemmas for reducing the set of assumptions | 33 |
| 10.6.1 | Completeness theorem | 34 |

| | |
|---|-----------|
| 11 Definition of type llist by a greatest fixed point | 53 |
| 11.0.2 Sample function definitions. Item-based ones start with L | 55 |
| 11.0.3 Simplification | 56 |
| 11.1 Type checking by coinduction | 56 |
| 11.2 $LList\text{-}corec$ satisfies the desired recursion equation | 56 |
| 11.2.1 The directions of the equality are proved separately | 56 |
| 11.3 $l\text{list}$ equality as a gfp ; the bisimulation principle | 57 |
| 11.3.1 Coinduction, using $LListD\text{-}Fun$ | 58 |
| 11.3.2 To show two LLists are equal, exhibit a bisimulation! [also admits true equality] Replace A by some particular set, like $\{x. True\}$??? | 58 |
| 11.4 Finality of $l\text{list}(A)$: Uniqueness of functions defined by corecursion | 58 |
| 11.4.1 Obsolete proof of $LList\text{-}corec\text{-}unique$: complete induction, not coinduction | 59 |
| 11.5 $Lconst$: defined directly by lfp | 59 |
| 11.6 Isomorphisms | 60 |
| 11.6.1 Distinctness of constructors | 60 |
| 11.6.2 $l\text{list}$ constructors | 60 |
| 11.6.3 Injectiveness of $CONS$ and $LCons$ | 60 |
| 11.7 Reasoning about $l\text{list}(A)$ | 60 |
| 11.8 The functional $Lmap$ | 61 |
| 11.8.1 Two easy results about $Lmap$ | 61 |
| 11.9 $Lappend$ – its two arguments cause some complications! | 61 |
| 11.9.1 Alternative type-checking proofs for $Lappend$ | 62 |
| 11.10 Lazy lists as the type $'a\ l\text{list}$ – strongly typed versions of above | 62 |
| 11.10.1 $l\text{list}\text{-}case$: case analysis for $'a\ l\text{list}$ | 62 |
| 11.10.2 $l\text{list}\text{-}corec$: corecursion for $'a\ l\text{list}$ | 62 |
| 11.11 Proofs about type $'a\ l\text{list}$ functions | 63 |
| 11.12 Deriving $l\text{list}\text{-}equalityI$ – $l\text{list}$ equality is a bisimulation | 63 |
| 11.12.1 To show two llists are equal, exhibit a bisimulation! [also admits true equality] | 63 |
| 11.12.2 Rules to prove the 2nd premise of $l\text{list}\text{-}equalityI$ | 64 |
| 11.13 The functional $lmap$ | 64 |
| 11.13.1 Two easy results about $lmap$ | 64 |
| 11.14 iterates – $l\text{list}\text{-}fun\text{-}equalityI$ cannot be used! | 64 |
| 11.15 A rather complex proof about iterates – cf Andy Pitts | 65 |
| 11.15.1 Two lemmas about $natrec\ n\ x\ (\%m. g)$, which is essentially $(g\ \hat{=}^n)(x)$ | 65 |
| 11.16 $lappend$ – its two arguments cause some complications! | 65 |
| 11.16.1 Two proofs that $lmap$ distributes over $lappend$ | 65 |

| | |
|--|-----------|
| 12 The "filter" functional for coinductive lists –defined by a combination of induction and coinduction | 66 |
| 12.1 <i>findRel</i> : basic laws | 66 |
| 12.2 Properties of <i>Domain (findRel p)</i> | 67 |
| 12.3 <i>find</i> : basic equations | 67 |
| 12.4 <i>lfilter</i> : basic equations | 67 |
| 12.5 <i>lfilter</i> : simple facts by coinduction | 68 |
| 12.6 Numerous lemmas required to prove <i>lfilter-conj</i> | 68 |
| 12.7 Numerous lemmas required to prove ??: $lfilter\ p\ (lmap\ f\ l) = lmap\ f\ (lfilter\ (\%x.\ p(f\ x))\ l)$ | 69 |

1 The Mutilated Chess Board Problem

theory *Mutil* **imports** *Main* **begin**

The Mutilated Chess Board Problem, formalized inductively.

Originator is Max Black, according to J A Robinson. Popularized as the Mutilated Checkerboard Problem by J McCarthy.

consts *tiling* :: 'a set set => 'a set set

inductive *tiling* *A*

intros

empty [*simp*, *intro*]: $\{\} \in \text{tiling } A$

Un [*simp*, *intro*]: $\llbracket a \in A; t \in \text{tiling } A; a \cap t = \{\} \rrbracket$
 $\implies a \cup t \in \text{tiling } A$

consts *domino* :: (nat × nat) set set

inductive *domino*

intros

horiz [*simp*]: $\{(i, j), (i, \text{Suc } j)\} \in \text{domino}$

vertl [*simp*]: $\{(i, j), (\text{Suc } i, j)\} \in \text{domino}$

Sets of squares of the given colour

constdefs

coloured :: nat => (nat × nat) set

coloured *b* == $\{(i, j). (i + j) \bmod 2 = b\}$

syntax *whites* :: (nat × nat) set

blacks :: (nat × nat) set

translations

whites == *coloured* 0

blacks == *coloured* (Suc 0)

The union of two disjoint tilings is a tiling

lemma *tiling-UnI* [*intro*]:

$\llbracket t \in \text{tiling } A; u \in \text{tiling } A; t \cap u = \{\} \rrbracket \implies t \cup u \in \text{tiling } A$
(*proof*)

Chess boards

lemma *Sigma-Suc1* [*simp*]:

$\text{lessThan } (\text{Suc } n) \times B = (\{n\} \times B) \cup ((\text{lessThan } n) \times B)$
(*proof*)

lemma *Sigma-Suc2* [*simp*]:

$A \times \text{lessThan } (\text{Suc } n) = (A \times \{n\}) \cup (A \times (\text{lessThan } n))$
(*proof*)

lemma *sing-Times-lemma*: $(\{i\} \times \{n\}) \cup (\{i\} \times \{m\}) = \{(i, m), (i, n)\}$

<proof>

lemma *dominoes-tile-row* [intro!]: $\{i\} \times \text{lessThan } (2 * n) \in \text{tiling domino}$
<proof>

lemma *dominoes-tile-matrix*: $(\text{lessThan } m) \times \text{lessThan } (2 * n) \in \text{tiling domino}$
<proof>

coloured and Dominoes

lemma *coloured-insert* [simp]:
 $\text{coloured } b \cap (\text{insert } (i, j) t) =$
 $(\text{if } (i + j) \bmod 2 = b \text{ then } \text{insert } (i, j) (\text{coloured } b \cap t)$
 $\text{else } \text{coloured } b \cap t)$
<proof>

lemma *domino-singletons*:
 $d \in \text{domino} \implies$
 $(\exists i j. \text{whites} \cap d = \{(i, j)\}) \wedge$
 $(\exists m n. \text{blacks} \cap d = \{(m, n)\})$
<proof>

lemma *domino-finite* [simp]: $d \in \text{domino} \implies \text{finite } d$
<proof>

Tilings of dominoes

lemma *tiling-domino-finite* [simp]: $t \in \text{tiling domino} \implies \text{finite } t$
<proof>

declare

Int-Un-distrib [simp]
Diff-Int-distrib [simp]

lemma *tiling-domino-0-1*:
 $t \in \text{tiling domino} \implies \text{card}(\text{whites} \cap t) = \text{card}(\text{blacks} \cap t)$
<proof>

Final argument is surprisingly complex

theorem *gen-mutil-not-tiling*:
 $t \in \text{tiling domino} \implies$
 $(i + j) \bmod 2 = 0 \implies (m + n) \bmod 2 = 0 \implies$
 $\{(i, j), (m, n)\} \subseteq t$
 $\implies (t - \{(i, j)\} - \{(m, n)\}) \notin \text{tiling domino}$
<proof>

Apply the general theorem to the well-known case

theorem *mutil-not-tiling*:
 $t = \text{lessThan } (2 * \text{Suc } m) \times \text{lessThan } (2 * \text{Suc } n)$

$\implies t - \{(0, 0)\} - \{(Suc\ (2 * m), Suc\ (2 * n))\} \notin \text{tiling domino}$
 <proof>

end

2 Defining an Initial Algebra by Quotienting a Free Algebra

theory *QuoDataType* imports *Main* begin

2.1 Defining the Free Algebra

Messages with encryption and decryption as free constructors.

datatype

```
freemsg = NONCE nat
        | MPAIR freemsg freemsg
        | CRYPT nat freemsg
        | DECRYPT nat freemsg
```

The equivalence relation, which makes encryption and decryption inverses provided the keys are the same.

consts *msgrel* :: (*freemsg* * *freemsg*) set

syntax

-msgrel :: [*freemsg*, *freemsg*] => bool (infixl ~ 50)

syntax (*xsymbols*)

-msgrel :: [*freemsg*, *freemsg*] => bool (infixl ~ 50)

syntax (*HTML output*)

-msgrel :: [*freemsg*, *freemsg*] => bool (infixl ~ 50)

translations

$X \sim Y \iff (X, Y) \in \text{msgrel}$

The first two rules are the desired equations. The next four rules make the equations applicable to subterms. The last two rules are symmetry and transitivity.

inductive *msgrel*

intros

CD: $CRYPT\ K\ (DECRYPT\ K\ X) \sim X$

DC: $DECRYPT\ K\ (CRYPT\ K\ X) \sim X$

NONCE: $NONCE\ N \sim NONCE\ N$

MPAIR: $\llbracket X \sim X'; Y \sim Y' \rrbracket \implies MPAIR\ X\ Y \sim MPAIR\ X'\ Y'$

CRYPT: $X \sim X' \implies CRYPT\ K\ X \sim CRYPT\ K\ X'$

DECRYPT: $X \sim X' \implies DECRYPT\ K\ X \sim DECRYPT\ K\ X'$

SYM: $X \sim Y \implies Y \sim X$

TRANS: $\llbracket X \sim Y; Y \sim Z \rrbracket \implies X \sim Z$

Proving that it is an equivalence relation

lemma *msgrel-reft*: $X \sim X$

<proof>

theorem *equiv-msgrel*: *equiv UNIV msgrel*

<proof>

2.2 Some Functions on the Free Algebra

2.2.1 The Set of Nonces

A function to return the set of nonces present in a message. It will be lifted to the initial algebra, to serve as an example of that process.

consts

freenonces :: *freemsg* \Rightarrow *nat set*

primrec

freenonces (*NONCE* *N*) = {*N*}

freenonces (*MPAIR* *X* *Y*) = *freenonces* *X* \cup *freenonces* *Y*

freenonces (*CRYPT* *K* *X*) = *freenonces* *X*

freenonces (*DECRYPT* *K* *X*) = *freenonces* *X*

This theorem lets us prove that the nonces function respects the equivalence relation. It also helps us prove that Nonce (the abstract constructor) is injective

theorem *msgrel-imp-eq-freenonces*: $U \sim V \Longrightarrow \text{freenonces } U = \text{freenonces } V$

<proof>

2.2.2 The Left Projection

A function to return the left part of the top pair in a message. It will be lifted to the initial algebra, to serve as an example of that process.

consts *freeleft* :: *freemsg* \Rightarrow *freemsg*

primrec

freeleft (*NONCE* *N*) = *NONCE* *N*

freeleft (*MPAIR* *X* *Y*) = *X*

freeleft (*CRYPT* *K* *X*) = *freeleft* *X*

freeleft (*DECRYPT* *K* *X*) = *freeleft* *X*

This theorem lets us prove that the left function respects the equivalence relation. It also helps us prove that MPair (the abstract constructor) is injective

theorem *msgrel-imp-eqv-freeleft*:

$U \sim V \Longrightarrow \text{freeleft } U \sim \text{freeleft } V$

<proof>

2.2.3 The Right Projection

A function to return the right part of the top pair in a message.

```

consts freeright :: freemsg  $\Rightarrow$  freemsg
primrec
  freeright (NONCE N) = NONCE N
  freeright (MPAIR X Y) = Y
  freeright (CRYPT K X) = freeright X
  freeright (DECRYPT K X) = freeright X

```

This theorem lets us prove that the right function respects the equivalence relation. It also helps us prove that MPair (the abstract constructor) is injective

```

theorem msgrel-imp-eqv-freeright:
   $U \sim V \implies \text{freeright } U \sim \text{freeright } V$ 
  <proof>

```

2.2.4 The Discriminator for Constructors

A function to distinguish nonces, mpairs and encryptions

```

consts freediscrim :: freemsg  $\Rightarrow$  int
primrec
  freediscrim (NONCE N) = 0
  freediscrim (MPAIR X Y) = 1
  freediscrim (CRYPT K X) = freediscrim X + 2
  freediscrim (DECRYPT K X) = freediscrim X - 2

```

This theorem helps us prove $\text{Nonce } N \neq \text{MPair } X Y$

```

theorem msgrel-imp-eq-freediscrim:
   $U \sim V \implies \text{freediscrim } U = \text{freediscrim } V$ 
  <proof>

```

2.3 The Initial Algebra: A Quotiented Message Type

```

typedef (Msg) msg = UNIV // msgrel
  <proof>

```

The abstract message constructors

```

constdefs
  Nonce :: nat  $\Rightarrow$  msg
  Nonce N == Abs-Msg(msgrel``{NONCE N})

  MPair :: [msg,msg]  $\Rightarrow$  msg
  MPair X Y ==
    Abs-Msg ( $\bigcup U \in \text{Rep-Msg } X. \bigcup V \in \text{Rep-Msg } Y. \text{msgrel``}\{\text{MPAIR } U V\}$ )

  Crypt :: [nat,msg]  $\Rightarrow$  msg
  Crypt K X ==

```

$Abs-Msg (\bigcup U \in Rep-Msg X. msgrel\{\{CRYPT K U\}\})$

$Decrypt :: [nat, msg] \Rightarrow msg$
 $Decrypt K X ==$
 $Abs-Msg (\bigcup U \in Rep-Msg X. msgrel\{\{DECRYPT K U\}\})$

Reduces equality of equivalence classes to the $msgrel$ relation: $(msgrel\{\{x\}\} = msgrel\{\{y\}\}) = (x \sim y)$

lemmas *equiv-msgrel-iff = eq-equiv-class-iff* [OF *equiv-msgrel UNIV-I UNIV-I*]

declare *equiv-msgrel-iff* [simp]

All equivalence classes belong to set of representatives

lemma [simp]: $msgrel\{\{U\}\} \in Msg$
 <proof>

lemma *inj-on-Abs-Msg*: *inj-on Abs-Msg Msg*
 <proof>

Reduces equality on abstractions to equality on representatives

declare *inj-on-Abs-Msg* [THEN *inj-on-iff*, simp]

declare *Abs-Msg-inverse* [simp]

2.3.1 Characteristic Equations for the Abstract Constructors

lemma *MPair*: $MPair (Abs-Msg(msgrel\{\{U\}\})) (Abs-Msg(msgrel\{\{V\}\})) =$
 $Abs-Msg (msgrel\{\{MPAIR U V\}\})$
 <proof>

lemma *Crypt*: $Crypt K (Abs-Msg(msgrel\{\{U\}\})) = Abs-Msg (msgrel\{\{CRYPT K U\}\})$
 <proof>

lemma *Decrypt*:
 $Decrypt K (Abs-Msg(msgrel\{\{U\}\})) = Abs-Msg (msgrel\{\{DECRYPT K U\}\})$
 <proof>

Case analysis on the representation of a msg as an equivalence class.

lemma *eq-Abs-Msg* [case-names *Abs-Msg*, cases type: *msg*]:
 $(!!U. z = Abs-Msg(msgrel\{\{U\}\}) ==> P) ==> P$
 <proof>

Establishing these two equations is the point of the whole exercise

theorem *CD-eq* [simp]: $Crypt K (Decrypt K X) = X$
 <proof>

theorem *DC-eq* [simp]: $Decrypt K (Crypt K X) = X$
 <proof>

2.4 The Abstract Function to Return the Set of Nonces

constdefs

$nonces :: msg \Rightarrow nat\ set$
 $nonces\ X == \bigcup U \in Rep\text{-}Msg\ X. freenonces\ U$

lemma *nonces-congruent*: *freenonces respects msgrel*
(*proof*)

Now prove the four equations for *nonces*

lemma *nonces-Nonce* [*simp*]: $nonces\ (Nonce\ N) = \{N\}$
(*proof*)

lemma *nonces-MPair* [*simp*]: $nonces\ (MPair\ X\ Y) = nonces\ X \cup nonces\ Y$
(*proof*)

lemma *nonces-Crypt* [*simp*]: $nonces\ (Crypt\ K\ X) = nonces\ X$
(*proof*)

lemma *nonces-Decrypt* [*simp*]: $nonces\ (Decrypt\ K\ X) = nonces\ X$
(*proof*)

2.5 The Abstract Function to Return the Left Part

constdefs

$left :: msg \Rightarrow msg$
 $left\ X == Abs\text{-}Msg\ (\bigcup U \in Rep\text{-}Msg\ X. msgrel\ \{\text{freeleft}\ U\})$

lemma *left-congruent*: $(\lambda U. msgrel\ \{\text{freeleft}\ U\})$ *respects msgrel*
(*proof*)

Now prove the four equations for *left*

lemma *left-Nonce* [*simp*]: $left\ (Nonce\ N) = Nonce\ N$
(*proof*)

lemma *left-MPair* [*simp*]: $left\ (MPair\ X\ Y) = X$
(*proof*)

lemma *left-Crypt* [*simp*]: $left\ (Crypt\ K\ X) = left\ X$
(*proof*)

lemma *left-Decrypt* [*simp*]: $left\ (Decrypt\ K\ X) = left\ X$
(*proof*)

2.6 The Abstract Function to Return the Right Part

constdefs

$right :: msg \Rightarrow msg$
 $right\ X == Abs\text{-}Msg\ (\bigcup U \in Rep\text{-}Msg\ X. msgrel\ \{\text{freeright}\ U\})$

lemma *right-congruent*: $(\lambda U. \text{msgrel } \{ \text{freeright } U \})$ respects *msgrel*
<proof>

Now prove the four equations for *right*

lemma *right-Nonce* [*simp*]: *right* (Nonce *N*) = Nonce *N*
<proof>

lemma *right-MPair* [*simp*]: *right* (MPair *X Y*) = *Y*
<proof>

lemma *right-Crypt* [*simp*]: *right* (Crypt *K X*) = *right X*
<proof>

lemma *right-Decrypt* [*simp*]: *right* (Decrypt *K X*) = *right X*
<proof>

2.7 Injectivity Properties of Some Constructors

lemma *NONCE-imp-eq*: *NONCE m* \sim *NONCE n* $\implies m = n$
<proof>

Can also be proved using the function *nonces*

lemma *Nonce-Nonce-eq* [*iff*]: (Nonce *m* = Nonce *n*) = (*m* = *n*)
<proof>

lemma *MPAIR-imp-eqv-left*: *MPAIR X Y* \sim *MPAIR X' Y'* $\implies X \sim X'$
<proof>

lemma *MPair-imp-eq-left*:
 assumes *eq*: *MPair X Y* = *MPair X' Y'* **shows** *X* = *X'*
<proof>

lemma *MPAIR-imp-eqv-right*: *MPAIR X Y* \sim *MPAIR X' Y'* $\implies Y \sim Y'$
<proof>

lemma *MPair-imp-eq-right*: *MPair X Y* = *MPair X' Y'* $\implies Y = Y'$
<proof>

theorem *MPair-MPair-eq* [*iff*]: (*MPair X Y* = *MPair X' Y'*) = (*X=X'* &
Y=Y')
<proof>

lemma *NONCE-neq-MPAIR*: *NONCE m* \sim *MPAIR X Y* $\implies \text{False}$
<proof>

theorem *Nonce-neq-MPair* [*iff*]: Nonce *N* \neq *MPair X Y*
<proof>

Example suggested by a referee

theorem *Crypt-Nonce-neq-Nonce*: $\text{Crypt } K \ (\text{Nonce } M) \neq \text{Nonce } N$
(proof)

...and many similar results

theorem *Crypt2-Nonce-neq-Nonce*: $\text{Crypt } K \ (\text{Crypt } K' \ (\text{Nonce } M)) \neq \text{Nonce } N$
(proof)

theorem *Crypt-Crypt-eq* [iff]: $(\text{Crypt } K \ X = \text{Crypt } K \ X') = (X=X')$
(proof)

theorem *Decrypt-Decrypt-eq* [iff]: $(\text{Decrypt } K \ X = \text{Decrypt } K \ X') = (X=X')$
(proof)

lemma *msg-induct* [case-names *Nonce MPair Crypt Decrypt*, cases type: *msg*]:
assumes $N: \bigwedge N. P \ (\text{Nonce } N)$
and $M: \bigwedge X \ Y. \llbracket P \ X; P \ Y \rrbracket \implies P \ (\text{MPair } X \ Y)$
and $C: \bigwedge K \ X. P \ X \implies P \ (\text{Crypt } K \ X)$
and $D: \bigwedge K \ X. P \ X \implies P \ (\text{Decrypt } K \ X)$
shows $P \ \text{msg}$
(proof)

2.8 The Abstract Discriminator

However, as *Crypt-Nonce-neq-Nonce* above illustrates, we don't need this function in order to prove discrimination theorems.

constdefs

$\text{discrim} :: \text{msg} \Rightarrow \text{int}$
 $\text{discrim } X == \text{contents} \ (\bigcup U \in \text{Rep-Msg } X. \ \{\text{freediscrim } U\})$

lemma *discrim-congruent*: $(\lambda U. \ \{\text{freediscrim } U\})$ respects *msgrel*
(proof)

Now prove the four equations for *discrim*

lemma *discrim-Nonce* [simp]: $\text{discrim} \ (\text{Nonce } N) = 0$
(proof)

lemma *discrim-MPair* [simp]: $\text{discrim} \ (\text{MPair } X \ Y) = 1$
(proof)

lemma *discrim-Crypt* [simp]: $\text{discrim} \ (\text{Crypt } K \ X) = \text{discrim } X + 2$
(proof)

lemma *discrim-Decrypt* [simp]: $\text{discrim} \ (\text{Decrypt } K \ X) = \text{discrim } X - 2$
(proof)

end

3 Quotienting a Free Algebra Involving Nested Recursion

theory *QuoNestedDataType* **imports** *Main* **begin**

3.1 Defining the Free Algebra

Messages with encryption and decryption as free constructors.

datatype

```

freeExp = VAR nat
          | PLUS freeExp freeExp
          | FNCALL nat freeExp list

```

The equivalence relation, which makes PLUS associative.

consts *exprel* :: (*freeExp* * *freeExp*) set

syntax

```
-exprel :: [freeExp, freeExp] => bool (infixl ~~ 50)
```

syntax (*xsymbols*)

```
-exprel :: [freeExp, freeExp] => bool (infixl ~ 50)
```

syntax (*HTML output*)

```
-exprel :: [freeExp, freeExp] => bool (infixl ~ 50)
```

translations

```
X ~ Y == (X, Y) ∈ exprel
```

The first rule is the desired equation. The next three rules make the equations applicable to subterms. The last two rules are symmetry and transitivity.

inductive *exprel*

intros

```
ASSOC: PLUS X (PLUS Y Z) ~ PLUS (PLUS X Y) Z
```

```
VAR: VAR N ~ VAR N
```

```
PLUS: [[X ~ X'; Y ~ Y'] ==> PLUS X Y ~ PLUS X' Y'
```

```
FNCALL: (Xs, Xs') ∈ listrel exprel ==> FNCALL F Xs ~ FNCALL F Xs'
```

```
SYM: X ~ Y ==> Y ~ X
```

```
TRANS: [[X ~ Y; Y ~ Z] ==> X ~ Z
```

monos *listrel-mono*

Proving that it is an equivalence relation

lemma *exprel-refl-conj*: *X* ~ *X* & (*Xs, Xs*) ∈ *listrel(exprel)*

<proof>

lemmas *exprel-refl* = *exprel-refl-conj* [THEN *conjunct1*]

lemmas *list-exprel-refl* = *exprel-refl-conj* [THEN *conjunct2*]

theorem *equiv-exprel*: *equiv UNIV exprel*

<proof>

theorem *equiv-list-exprel*: *equiv UNIV (listrel exprel)*
 ⟨*proof*⟩

lemma *FNCALL-Nil*: $FNCALL\ F\ [] \sim FNCALL\ F\ []$
 ⟨*proof*⟩

lemma *FNCALL-Cons*:

$$\llbracket X \sim X'; (Xs, Xs') \in listrel(exprel) \rrbracket$$

$$\implies FNCALL\ F\ (X\#\ Xs) \sim FNCALL\ F\ (X'\#\ Xs')$$
 ⟨*proof*⟩

3.2 Some Functions on the Free Algebra

3.2.1 The Set of Variables

A function to return the set of variables present in a message. It will be lifted to the initial algebra, to serve as an example of that process. Note that the "free" refers to the free datatype rather than to the concept of a free variable.

consts

freevars :: *freeExp* \Rightarrow *nat set*
freevars-list :: *freeExp list* \Rightarrow *nat set*

primrec

freevars (VAR *N*) = {*N*}
freevars (PLUS *X Y*) = *freevars X* \cup *freevars Y*
freevars (FNCALL *F Xs*) = *freevars-list Xs*

freevars-list [] = {}
freevars-list (X # *Xs*) = *freevars X* \cup *freevars-list Xs*

This theorem lets us prove that the vars function respects the equivalence relation. It also helps us prove that Variable (the abstract constructor) is injective

theorem *exprel-imp-eq-freevars*: $U \sim V \implies freevars\ U = freevars\ V$
 ⟨*proof*⟩

3.2.2 Functions for Freeness

A discriminator function to distinguish vars, sums and function calls

consts *freediscrim* :: *freeExp* \Rightarrow *int*

primrec

freediscrim (VAR *N*) = 0
freediscrim (PLUS *X Y*) = 1
freediscrim (FNCALL *F Xs*) = 2

theorem *exprel-imp-eq-freediscrim:*

$U \sim V \implies \text{freediscrim } U = \text{freediscrim } V$
 ⟨proof⟩

This function, which returns the function name, is used to prove part of the injectivity property for FnCall.

consts *freefun* :: *freeExp* \Rightarrow *nat*

primrec

$\text{freefun } (\text{VAR } N) = 0$
 $\text{freefun } (\text{PLUS } X \ Y) = 0$
 $\text{freefun } (\text{FNCALL } F \ Xs) = F$

theorem *exprel-imp-eq-freefun:*

$U \sim V \implies \text{freefun } U = \text{freefun } V$
 ⟨proof⟩

This function, which returns the list of function arguments, is used to prove part of the injectivity property for FnCall.

consts *freeargs* :: *freeExp* \Rightarrow *freeExp list*

primrec

$\text{freeargs } (\text{VAR } N) = []$
 $\text{freeargs } (\text{PLUS } X \ Y) = []$
 $\text{freeargs } (\text{FNCALL } F \ Xs) = Xs$

theorem *exprel-imp-eqv-freeargs:*

$U \sim V \implies (\text{freeargs } U, \text{freeargs } V) \in \text{listrel } \text{exprel}$
 ⟨proof⟩

3.3 The Initial Algebra: A Quotiented Message Type

typedef (*Exp*) *exp* = *UNIV* // *exprel*
 ⟨proof⟩

The abstract message constructors

constdefs

$\text{Var} :: \text{nat} \Rightarrow \text{exp}$
 $\text{Var } N == \text{Abs-Exp}(\text{exprel} \{ \text{VAR } N \})$

$\text{Plus} :: [\text{exp}, \text{exp}] \Rightarrow \text{exp}$
 $\text{Plus } X \ Y ==$
 $\text{Abs-Exp} (\bigcup U \in \text{Rep-Exp } X. \bigcup V \in \text{Rep-Exp } Y. \text{exprel} \{ \text{PLUS } U \ V \})$

$\text{FnCall} :: [\text{nat}, \text{exp list}] \Rightarrow \text{exp}$
 $\text{FnCall } F \ Xs ==$
 $\text{Abs-Exp} (\bigcup Us \in \text{listset } (\text{map } \text{Rep-Exp } Xs). \text{exprel} \{ \text{FNCALL } F \ Us \})$

Reduces equality of equivalence classes to the *exprel* relation: $(\text{exprel} \{x\} = \text{exprel} \{y\}) = (x \sim y)$

lemmas *equiv-exprel-iff* = *eq-equiv-class-iff* [*OF equiv-exprel UNIV-I UNIV-I*]

declare *equiv-exprel-iff* [*simp*]

All equivalence classes belong to set of representatives

lemma [*simp*]: *exprel*“{*U*} ∈ *Exp*
⟨*proof*⟩

lemma *inj-on-Abs-Exp*: *inj-on Abs-Exp Exp*
⟨*proof*⟩

Reduces equality on abstractions to equality on representatives

declare *inj-on-Abs-Exp* [*THEN inj-on-iff, simp*]

declare *Abs-Exp-inverse* [*simp*]

Case analysis on the representation of a *exp* as an equivalence class.

lemma *eq-Abs-Exp* [*case-names Abs-Exp, cases type: exp*]:
(!!*U*. *z* = *Abs-Exp*(*exprel*“{*U*}) ==> *P*) ==> *P*
⟨*proof*⟩

3.4 Every list of abstract expressions can be expressed in terms of a list of concrete expressions

constdefs *Abs-ExpList* :: *freeExp list => exp list*
Abs-ExpList Xs == *map* (%*U*. *Abs-Exp*(*exprel*“{*U*})) *Xs*

lemma *Abs-ExpList-Nil* [*simp*]: *Abs-ExpList* [] == []
⟨*proof*⟩

lemma *Abs-ExpList-Cons* [*simp*]:
Abs-ExpList (*X* # *Xs*) == *Abs-Exp* (*exprel*“{*X*}) # *Abs-ExpList Xs*
⟨*proof*⟩

lemma *ExpList-rep*: ∃ *Us*. *z* = *Abs-ExpList Us*
⟨*proof*⟩

lemma *eq-Abs-ExpList* [*case-names Abs-ExpList*]:
(!!*Us*. *z* = *Abs-ExpList Us* ==> *P*) ==> *P*
⟨*proof*⟩

3.4.1 Characteristic Equations for the Abstract Constructors

lemma *Plus*: *Plus* (*Abs-Exp*(*exprel*“{*U*})) (*Abs-Exp*(*exprel*“{*V*})) =
Abs-Exp (*exprel*“{*PLUS U V*})
⟨*proof*⟩

It is not clear what to do with *FnCall*: it's argument is an abstraction of an *exp list*. Is it just *Nil* or *Cons*? What seems to work best is to regard an *exp list* as a *listrel exprel* equivalence class

This theorem is easily proved but never used. There's no obvious way even to state the analogous result, *FnCall-Cons*.

lemma *FnCall-Nil*: $\text{FnCall } F \ [] = \text{Abs-Exp } (\text{exprel} \{ \text{FNCALL } F \ [] \})$
 ⟨proof⟩

lemma *FnCall-respects*:
 $(\lambda Us. \text{exprel} \{ \text{FNCALL } F \ Us \}) \text{ respects } (\text{listrel } \text{exprel})$
 ⟨proof⟩

lemma *FnCall-sing*:
 $\text{FnCall } F \ [\text{Abs-Exp}(\text{exprel} \{ U \})] = \text{Abs-Exp } (\text{exprel} \{ \text{FNCALL } F \ [U] \})$
 ⟨proof⟩

lemma *listset-Rep-Exp-Abs-Exp*:
 $\text{listset } (\text{map } \text{Rep-Exp } (\text{Abs-ExpList } Us)) = \text{listrel } \text{exprel} \{ \{ Us \} \}$
 ⟨proof⟩

lemma *FnCall*:
 $\text{FnCall } F \ (\text{Abs-ExpList } Us) = \text{Abs-Exp } (\text{exprel} \{ \text{FNCALL } F \ Us \})$
 ⟨proof⟩

Establishing this equation is the point of the whole exercise

theorem *Plus-assoc*: $\text{Plus } X \ (\text{Plus } Y \ Z) = \text{Plus } (\text{Plus } X \ Y) \ Z$
 ⟨proof⟩

3.5 The Abstract Function to Return the Set of Variables

constdefs

$\text{vars} :: \text{exp} \Rightarrow \text{nat set}$
 $\text{vars } X == \bigcup U \in \text{Rep-Exp } X. \text{freevars } U$

lemma *vars-respects*: freevars respects exprel
 ⟨proof⟩

The extension of the function vars to lists

consts $\text{vars-list} :: \text{exp list} \Rightarrow \text{nat set}$

primrec

$\text{vars-list } [] = \{ \}$
 $\text{vars-list}(E \# Es) = \text{vars } E \cup \text{vars-list } Es$

Now prove the three equations for vars

lemma *vars-Variable* [simp]: $\text{vars } (\text{Var } N) = \{N\}$
 ⟨proof⟩

lemma *vars-Plus* [simp]: $\text{vars } (\text{Plus } X \ Y) = \text{vars } X \cup \text{vars } Y$
 ⟨proof⟩

lemma *vars-FnCall* [simp]: $\text{vars } (\text{FnCall } F \ Xs) = \text{vars-list } Xs$

<proof>

lemma *vars-FnCall-Nil*: $\text{vars } (\text{FnCall } F \text{ Nil}) = \{\}$
<proof>

lemma *vars-FnCall-Cons*: $\text{vars } (\text{FnCall } F (X \# Xs)) = \text{vars } X \cup \text{vars-list } Xs$
<proof>

3.6 Injectivity Properties of Some Constructors

lemma *VAR-imp-eq*: $\text{VAR } m \sim \text{VAR } n \implies m = n$
<proof>

Can also be proved using the function *vars*

lemma *Var-Var-eq [iff]*: $(\text{Var } m = \text{Var } n) = (m = n)$
<proof>

lemma *VAR-neqv-PLUS*: $\text{VAR } m \sim \text{PLUS } X Y \implies \text{False}$
<proof>

theorem *Var-neqv-Plus [iff]*: $\text{Var } N \neq \text{Plus } X Y$
<proof>

theorem *Var-neqv-FnCall [iff]*: $\text{Var } N \neq \text{FnCall } F Xs$
<proof>

3.7 Injectivity of *FnCall*

constdefs

fun :: *exp* \Rightarrow *nat*
fun *X* == *contents* ($\bigcup U \in \text{Rep-Exp } X. \{\text{freefun } U\}$)

lemma *fun-respects*: $(\%U. \{\text{freefun } U\})$ respects *exprel*
<proof>

lemma *fun-FnCall [simp]*: $\text{fun } (\text{FnCall } F Xs) = F$
<proof>

constdefs

args :: *exp* \Rightarrow *exp list*
args *X* == *contents* ($\bigcup U \in \text{Rep-Exp } X. \{\text{Abs-ExpList } (\text{freeargs } U)\}$)

This result can probably be generalized to arbitrary equivalence relations, but with little benefit here.

lemma *Abs-ExpList-eq*:
 $(y, z) \in \text{listrel } \text{exprel} \implies \text{Abs-ExpList } (y) = \text{Abs-ExpList } (z)$
<proof>

lemma *args-respects*: $(\%U. \{\text{Abs-ExpList } (\text{freeargs } U)\})$ respects *exprel*

<proof>

lemma *args-FnCall [simp]*: $\text{args } (\text{FnCall } F \ Xs) = Xs$
<proof>

lemma *FnCall-FnCall-eg [iff]*:
 $(\text{FnCall } F \ Xs = \text{FnCall } F' \ Xs') = (F=F' \ \& \ Xs=Xs')$
<proof>

3.8 The Abstract Discriminator

However, as *FnCall-Var-neq-Var* illustrates, we don't need this function in order to prove discrimination theorems.

constdefs

discrim :: $\text{exp} \Rightarrow \text{int}$
discrim $X == \text{contents } (\bigcup U \in \text{Rep-Exp } X. \{\text{freediscrim } U\})$

lemma *discrim-respects*: $(\lambda U. \{\text{freediscrim } U\})$ respects *exprel*
<proof>

Now prove the four equations for *discrim*

lemma *discrim-Var [simp]*: $\text{discrim } (\text{Var } N) = 0$
<proof>

lemma *discrim-Plus [simp]*: $\text{discrim } (\text{Plus } X \ Y) = 1$
<proof>

lemma *discrim-FnCall [simp]*: $\text{discrim } (\text{FnCall } F \ Xs) = 2$
<proof>

The structural induction rule for the abstract type

theorem *exp-induct*:

assumes V : $\bigwedge \text{nat}. P1 \ (\text{Var } \text{nat})$
and P : $\bigwedge \text{exp1 } \text{exp2}. \llbracket P1 \ \text{exp1}; P1 \ \text{exp2} \rrbracket \Longrightarrow P1 \ (\text{Plus } \text{exp1 } \ \text{exp2})$
and F : $\bigwedge \text{nat } \text{list}. P2 \ \text{list} \Longrightarrow P1 \ (\text{FnCall } \text{nat } \ \text{list})$
and Nil : $P2 \ []$
and $Cons$: $\bigwedge \text{exp } \text{list}. \llbracket P1 \ \text{exp}; P2 \ \text{list} \rrbracket \Longrightarrow P2 \ (\text{exp } \# \ \text{list})$
shows $P1 \ \text{exp} \ \& \ P2 \ \text{list}$
<proof>

end

4 Terms over a given alphabet

theory *Term* imports *Main* begin

```

datatype ('a, 'b) term =
  Var 'a
  | App 'b ('a, 'b) term list

```

Substitution function on terms

consts

```

subst-term :: ('a => ('a, 'b) term) => ('a, 'b) term => ('a, 'b) term
subst-term-list ::
  ('a => ('a, 'b) term) => ('a, 'b) term list => ('a, 'b) term list

```

primrec

```

subst-term f (Var a) = f a
subst-term f (App b ts) = App b (subst-term-list f ts)

subst-term-list f [] = []
subst-term-list f (t # ts) =
  subst-term f t # subst-term-list f ts

```

A simple theorem about composition of substitutions

lemma *subst-comp*:

```

subst-term (subst-term f1 o f2) t =
  subst-term f1 (subst-term f2 t)
and subst-term-list (subst-term f1 o f2) ts =
  subst-term-list f1 (subst-term-list f2 ts)
<proof>

```

Alternative induction rule

lemma

```

assumes var: !!v. P (Var v)
and app: !!f ts. list-all P ts ==> P (App f ts)
shows term-induct2: P t
and list-all P ts
<proof>

```

end

5 Arithmetic and boolean expressions

theory *ABexp* **imports** *Main* **begin**

```

datatype 'a aexp =
  IF 'a bexp 'a aexp 'a aexp
  | Sum 'a aexp 'a aexp
  | Diff 'a aexp 'a aexp
  | Var 'a

```

| *Num nat*
and *'a bexp =*
 Less 'a aexp 'a aexp
 | *And 'a bexp 'a bexp*
 | *Neg 'a bexp*

Evaluation of arithmetic and boolean expressions

consts

evala :: (*'a => nat*) => *'a aexp => nat*
evalb :: (*'a => nat*) => *'a bexp => bool*

primrec

evala env (IF b a1 a2) = (if evalb env b then evala env a1 else evala env a2)
evala env (Sum a1 a2) = evala env a1 + evala env a2
evala env (Diff a1 a2) = evala env a1 - evala env a2
evala env (Var v) = env v
evala env (Num n) = n

evalb env (Less a1 a2) = (evala env a1 < evala env a2)
evalb env (And b1 b2) = (evalb env b1 ∧ evalb env b2)
evalb env (Neg b) = (¬ evalb env b)

Substitution on arithmetic and boolean expressions

consts

subst a :: (*'a => 'b aexp*) => *'a aexp => 'b aexp*
subst b :: (*'a => 'b aexp*) => *'a bexp => 'b bexp*

primrec

subst a f (IF b a1 a2) = IF (subst b f b) (subst a f a1) (subst a f a2)
subst a f (Sum a1 a2) = Sum (subst a f a1) (subst a f a2)
subst a f (Diff a1 a2) = Diff (subst a f a1) (subst a f a2)
subst a f (Var v) = f v
subst a f (Num n) = Num n

subst b f (Less a1 a2) = Less (subst a f a1) (subst a f a2)
subst b f (And b1 b2) = And (subst b f b1) (subst b f b2)
subst b f (Neg b) = Neg (subst b f b)

lemma subst1-aexp:

evala env (subst a (Var (v := a')) a) = evala (env (v := evala env a')) a

and subst1-bexp:

evalb env (subst b (Var (v := a')) b) = evalb (env (v := evala env a')) b

— one variable

<proof>

lemma subst-all-aexp:

evala env (subst s a) = evala (λx. evala env (s x)) a

and subst-all-bexp:

evalb env (substb s b) = evalb (λx. evala env (s x)) b
 ⟨proof⟩

end

6 Infinitely branching trees

theory *Tree* imports *Main* begin

datatype 'a tree =
 Atom 'a
 | Branch nat => 'a tree

consts

map-tree :: ('a => 'b) => 'a tree => 'b tree

primrec

map-tree f (Atom a) = Atom (f a)

map-tree f (Branch ts) = Branch (λx. *map-tree* f (ts x))

lemma *tree-map-compose*: *map-tree* g (*map-tree* f t) = *map-tree* (g ∘ f) t
 ⟨proof⟩

consts

exists-tree :: ('a => bool) => 'a tree => bool

primrec

exists-tree P (Atom a) = P a

exists-tree P (Branch ts) = (∃ x. *exists-tree* P (ts x))

lemma *exists-map*:

(!x. P x ==> Q (f x)) ==>

exists-tree P ts ==> *exists-tree* Q (*map-tree* f ts)

⟨proof⟩

6.1 The Brouwer ordinals, as in ZF/Induct/Brouwer.thy.

datatype *brouwer* = Zero | Succ *brouwer* | Lim nat => *brouwer*

Addition of ordinals

consts

add :: [*brouwer*,*brouwer*] => *brouwer*

primrec

add i Zero = i

add i (Succ j) = Succ (*add* i j)

add i (Lim f) = Lim (%n. *add* i (f n))

lemma *add-assoc*: *add* (*add* i j) k = *add* i (*add* j k)
 ⟨proof⟩

Multiplication of ordinals

consts

$mult :: [brouwer, brouwer] => brouwer$

primrec

$mult\ i\ Zero = Zero$

$mult\ i\ (Succ\ j) = add\ (mult\ i\ j)\ i$

$mult\ i\ (Lim\ f) = Lim\ (\%n.\ mult\ i\ (f\ n))$

lemma *add-mult-distrib*: $mult\ i\ (add\ j\ k) = add\ (mult\ i\ j)\ (mult\ i\ k)$

<proof>

lemma *mult-assoc*: $mult\ (mult\ i\ j)\ k = mult\ i\ (mult\ j\ k)$

<proof>

We could probably instantiate some axiomatic type classes and use the standard infix operators.

6.2 A WF Ordering for The Brouwer ordinals (Michael Compton)

To define recdef style functions we need an ordering on the Brouwer ordinals. Start with a predecessor relation and form its transitive closure.

constdefs

$brouwer-pred :: (brouwer * brouwer)\ set$

$brouwer-pred == \bigcup i.\ \{(m,n).\ n = Succ\ m \vee (EX\ f.\ n = Lim\ f \ \&\ m = f\ i)\}$

$brouwer-order :: (brouwer * brouwer)\ set$

$brouwer-order == brouwer-pred^{\wedge+}$

lemma *wf-brouwer-pred*: *wf* *brouwer-pred*

<proof>

lemma *wf-brouwer-order*: *wf* *brouwer-order*

<proof>

lemma [*simp*]: $(j,\ Succ\ j) : brouwer-order$

<proof>

lemma [*simp*]: $(f\ n,\ Lim\ f) : brouwer-order$

<proof>

Example of a recdef

consts

$add2 :: (brouwer * brouwer) => brouwer$

recdef *add2* *inv-image* *brouwer-order* $(\lambda\ (x,y).\ y)$

$add2\ (i,\ Zero) = i$

$add2\ (i,\ (Succ\ j)) = Succ\ (add2\ (i,\ j))$

```

    add2 (i, (Lim f)) = Lim (λ n. add2 (i, (f n)))
    (hints recdef-wf: wf-brouwer-order)

```

```

lemma add2-assoc: add2 (add2 (i, j), k) = add2 (i, add2 (j, k))
⟨proof⟩

```

```

end

```

7 Ordinals

```

theory Ordinals imports Main begin

```

Some basic definitions of ordinal numbers. Draws an Agda development (in Martin-Löf type theory) by Peter Hancock (see <http://www.dcs.ed.ac.uk/home/pgh/chat.html>).

```

datatype ordinal =
  Zero
  | Succ ordinal
  | Limit nat => ordinal

```

```

consts

```

```

  pred :: ordinal => nat => ordinal option

```

```

primrec

```

```

  pred Zero n = None
  pred (Succ a) n = Some a
  pred (Limit f) n = Some (f n)

```

```

consts

```

```

  iter :: ('a => 'a) => nat => ('a => 'a)

```

```

primrec

```

```

  iter f 0 = id
  iter f (Suc n) = f ∘ (iter f n)

```

```

constdefs

```

```

  OpLim :: (nat => (ordinal => ordinal)) => (ordinal => ordinal)
  OpLim F a == Limit (λn. F n a)
  OpItw :: (ordinal => ordinal) => (ordinal => ordinal)  (□)
  □f == OpLim (iter f)

```

```

consts

```

```

  cantor :: ordinal => ordinal => ordinal

```

```

primrec

```

```

  cantor a Zero = Succ a
  cantor a (Succ b) = □(λx. cantor x b) a
  cantor a (Limit f) = Limit (λn. cantor a (f n))

```

```

consts
  Nabla :: (ordinal => ordinal) => (ordinal => ordinal)   (∇)
primrec
  ∇f Zero = f Zero
  ∇f (Succ a) = f (Succ (∇f a))
  ∇f (Limit h) = Limit (λn. ∇f (h n))

constdefs
  deriv :: (ordinal => ordinal) => (ordinal => ordinal)
  deriv f == ∇(⊔f)

consts
  vebLen :: ordinal => ordinal => ordinal
primrec
  vebLen Zero = ∇(OpLim (iter (cantor Zero)))
  vebLen (Succ a) = ∇(OpLim (iter (vebLen a)))
  vebLen (Limit f) = ∇(OpLim (λn. vebLen (f n)))

constdefs
  veb a == vebLen a Zero
  ε0 == veb Zero
  Γ0 == Limit (λn. iter veb n Zero)

end

```

8 Sigma algebras

theory *Sigma-Algebra* **imports** *Main* **begin**

This is just a tiny example demonstrating the use of inductive definitions in classical mathematics. We define the least σ -algebra over a given set of sets.

```

consts
  σ-algebra :: 'a set set => 'a set set

inductive σ-algebra A
intros
  basic: a ∈ A ==> a ∈ σ-algebra A
  UNIV: UNIV ∈ σ-algebra A
  complement: a ∈ σ-algebra A ==> -a ∈ σ-algebra A
  Union: (!!i::nat. a i ∈ σ-algebra A) ==> (⋃i. a i) ∈ σ-algebra A

```

The following basic facts are consequences of the closure properties of any σ -algebra, merely using the introduction rules, but no induction nor cases.

theorem *sigma-algebra-empty*: $\{\} \in \sigma\text{-algebra } A$
<proof>

theorem *sigma-algebra-Inter*:

(!!i::nat. a i ∈ σ -algebra A) ==> (\bigcap i. a i) ∈ σ -algebra A
 <proof>

end

9 Combinatory Logic example: the Church-Rosser Theorem

theory Comb imports Main begin

Curiously, combinators do not include free variables.

Example taken from [?].

HOL system proofs may be found in the HOL distribution at .../contrib/rule-induction/cl.ml

9.1 Definitions

Datatype definition of combinators S and K .

```
datatype comb = K
  | S
  | ## comb comb (infixl 90)
```

Inductive definition of contractions, $-1->$ and (multi-step) reductions, $---->$.

consts

```
contract :: (comb*comb) set
-1->    :: [comb,comb] => bool (infixl 50)
---->  :: [comb,comb] => bool (infixl 50)
```

translations

```
x -1-> y == (x,y) ∈ contract
x ----> y == (x,y) ∈ contract^*
```

syntax (xsymbols)

```
op ## :: [comb,comb] => comb (infixl . 90)
```

inductive contract

intros

```
K:    K ## x ## y -1-> x
S:    S ## x ## y ## z -1-> (x ## z) ## (y ## z)
Ap1:  x -1-> y ==> x ## z -1-> y ## z
Ap2:  x -1-> y ==> z ## x -1-> z ## y
```

Inductive definition of parallel contractions, $=1=>$ and (multi-step) parallel reductions, $===>$.

consts

$parcontract :: (comb*comb) set$
 $=1=> :: [comb,comb] => bool$ (infixl 50)
 $===> :: [comb,comb] => bool$ (infixl 50)

translations

$x =1=> y == (x,y) \in parcontract$
 $x ===> y == (x,y) \in parcontract^*$

inductive parcontract

intros

$refl: x =1=> x$
 $K: K###x###y =1=> x$
 $S: S###x###y###z =1=> (x###z)###(y###z)$
 $Ap: [| x=1=>y; z=1=>w |] ==> x###z =1=> y###w$

Misc definitions.

constdefs

$I :: comb$
 $I == S###K###K$

$diamond :: ('a * 'a)set => bool$
— confluence; Lambda/Commutation treats this more abstractly
 $diamond(r) == \forall x y. (x,y) \in r \dashrightarrow$
 $(\forall y'. (x,y') \in r \dashrightarrow$
 $(\exists z. (y,z) \in r \ \& \ (y',z) \in r))$

9.2 Reflexive/Transitive closure preserves Church-Rosser property

So does the Transitive closure, with a similar proof

Strip lemma. The induction hypothesis covers all but the last diamond of the strip.

lemma *diamond-strip-lemmaE* [rule-format]:

$[| diamond(r); (x,y) \in r^* |] ==>$
 $\forall y'. (x,y') \in r \dashrightarrow (\exists z. (y',z) \in r^* \ \& \ (y,z) \in r)$
{proof}

lemma *diamond-rtrancl*: $diamond(r) ==> diamond(r^*)$

{proof}

9.3 Non-contraction results

Derive a case for each combinator constructor.

inductive-cases

$K-contractE$ [elim!]: $K -1-> r$
and $S-contractE$ [elim!]: $S -1-> r$

and *Ap-contractE* [*elim!*]: $p \#\# q \rightarrow r$

declare *contract.K* [*intro!*] *contract.S* [*intro!*]
declare *contract.Ap1* [*intro*] *contract.Ap2* [*intro*]

lemma *I-contract-E* [*elim!*]: $I \rightarrow z \implies P$
<proof>

lemma *K1-contractD* [*elim!*]: $K \#\# x \rightarrow z \implies (\exists x'. z = K \#\# x' \ \& \ x \rightarrow x')$
<proof>

lemma *Ap-reduce1* [*intro*]: $x \rightarrow y \implies x \#\# z \rightarrow y \#\# z$
<proof>

lemma *Ap-reduce2* [*intro*]: $x \rightarrow y \implies z \#\# x \rightarrow z \#\# y$
<proof>

lemma *KIII-contract1*: $K \#\# I \#\# (I \#\# I) \rightarrow I$
<proof>

lemma *KIII-contract2*: $K \#\# I \#\# (I \#\# I) \rightarrow K \#\# I \#\# ((K \#\# I) \#\# (K \#\# I))$
<proof>

lemma *KIII-contract3*: $K \#\# I \#\# ((K \#\# I) \#\# (K \#\# I)) \rightarrow I$
<proof>

lemma *not-diamond-contract*: $\sim \text{diamond}(\text{contract})$
<proof>

9.4 Results about Parallel Contraction

Derive a case for each combinator constructor.

inductive-cases

K-parcontractE [*elim!*]: $K = 1 \implies r$
and *S-parcontractE* [*elim!*]: $S = 1 \implies r$
and *Ap-parcontractE* [*elim!*]: $p \#\# q = 1 \implies r$

declare *parcontract.intros* [*intro*]

9.5 Basic properties of parallel contraction

lemma *K1-parcontractD* [*dest!*]: $K \#\# x = 1 \implies z \implies (\exists x'. z = K \#\# x' \ \& \ x = 1 \implies x')$
<proof>

lemma *S1-parcontractD* [*dest!*]: $S\#\#x =1=> z ==> (\exists x'. z = S\#\#x' \& x =1=> x')$
 ⟨*proof*⟩

lemma *S2-parcontractD* [*dest!*]:
 $S\#\#x\#\#y =1=> z ==> (\exists x' y'. z = S\#\#x'\#\#y' \& x =1=> x' \& y =1=> y')$
 ⟨*proof*⟩

The rules above are not essential but make proofs much faster

Church-Rosser property for parallel contraction

lemma *diamond-parcontract*: *diamond parcontract*
 ⟨*proof*⟩

Equivalence of $p \dashrightarrow q$ and $p \implies q$.

lemma *contract-subset-parcontract*: *contract <= parcontract*
 ⟨*proof*⟩

Reductions: simply throw together reflexivity, transitivity and the one-step reductions

declare *r-into-rtrancl* [*intro*] *rtrancl-trans* [*intro*]

lemma *reduce-I*: $I\#\#x \dashrightarrow x$
 ⟨*proof*⟩

lemma *parcontract-subset-reduce*: *parcontract <= contract^{*}*
 ⟨*proof*⟩

lemma *reduce-eq-parreduce*: *contract^{*} = parcontract^{*}*
 ⟨*proof*⟩

lemma *diamond-reduce*: *diamond(contract^{*})*
 ⟨*proof*⟩

end

10 Meta-theory of propositional logic

theory *PropLog* **imports** *Main* **begin**

Datatype definition of propositional logic formulae and inductive definition of the propositional tautologies.

Inductive definition of propositional logic. Soundness and completeness w.r.t. truth-tables.

Prove: If $H \models p$ then $G \models p$ where $G \in \text{Fin}(H)$

10.1 The datatype of propositions

datatype

$'a \text{ pl} = \text{false} \mid \text{var } 'a \text{ (\#- [1000])} \mid \text{-> } 'a \text{ pl } 'a \text{ pl}$ (**infixr 90**)

10.2 The proof system

consts

$\text{thms} :: 'a \text{ pl set} \Rightarrow 'a \text{ pl set}$
 $\text{-} :: ['a \text{ pl set}, 'a \text{ pl}] \Rightarrow \text{bool}$ (**infixl 50**)

translations

$H \text{-} p \equiv p \in \text{thms}(H)$

inductive $\text{thms}(H)$

intros

$H \text{ [intro]: } p \in H \Rightarrow H \text{-} p$
 $K: H \text{-} p \text{->} q \text{->} p$
 $S: H \text{-} (p \text{->} q \text{->} r) \text{->} (p \text{->} q) \text{->} p \text{->} r$
 $DN: H \text{-} ((p \text{->} \text{false}) \text{->} \text{false}) \text{->} p$
 $MP: [| H \text{-} p \text{->} q; H \text{-} p |] \Rightarrow H \text{-} q$

10.3 The semantics

10.3.1 Semantics of propositional logic.

consts

$\text{eval} :: ['a \text{ set}, 'a \text{ pl}] \Rightarrow \text{bool}$ ($\text{-}[[\text{-}]] \text{ [100,0] 100}$)

primrec $\text{tt}[[\text{false}]] = \text{False}$

$\text{tt}[[\#v]] = (v \in \text{tt})$

$\text{eval-imp: } \text{tt}[[p \text{->} q]] = (\text{tt}[[p]] \text{->} \text{tt}[[q]])$

A finite set of hypotheses from t and the Vars in p .

consts

$\text{hyps} :: ['a \text{ pl}, 'a \text{ set}] \Rightarrow 'a \text{ pl set}$

primrec

$\text{hyps false } \text{tt} = \{\}$

$\text{hyps } (\#v) \text{ } \text{tt} = \{\text{if } v \in \text{tt} \text{ then } \#v \text{ else } \#v \text{->} \text{false}\}$

$\text{hyps } (p \text{->} q) \text{ } \text{tt} = \text{hyps } p \text{ } \text{tt} \cup \text{hyps } q \text{ } \text{tt}$

10.3.2 Logical consequence

For every valuation, if all elements of H are true then so is p .

constdefs

$\text{sat} :: ['a \text{ pl set}, 'a \text{ pl}] \Rightarrow \text{bool}$ (**infixl** \models 50)

$$H \models p \iff (\forall tt. (\forall q \in H. tt[[q]]) \implies tt[[p]])$$

10.4 Proof theory of propositional logic

lemma *thms-mono*: $G \leq H \implies thms(G) \leq thms(H)$
 $\langle proof \rangle$

lemma *thms-I*: $H \vdash p \rightarrow p$
 — Called *I* for Identity Combinator, not for Introduction.
 $\langle proof \rangle$

10.4.1 Weakening, left and right

lemma *weaken-left*: $[G \subseteq H; G \vdash p] \implies H \vdash p$
 — Order of premises is convenient with *THEN*
 $\langle proof \rangle$

lemmas *weaken-left-insert* = *subset-insertI* [*THEN* *weaken-left*]

lemmas *weaken-left-Un1* = *Un-upper1* [*THEN* *weaken-left*]

lemmas *weaken-left-Un2* = *Un-upper2* [*THEN* *weaken-left*]

lemma *weaken-right*: $H \vdash q \implies H \vdash p \rightarrow q$
 $\langle proof \rangle$

10.4.2 The deduction theorem

theorem *deduction*: $insert\ p\ H \vdash q \implies H \vdash p \rightarrow q$
 $\langle proof \rangle$

10.4.3 The cut rule

lemmas *cut* = *deduction* [*THEN* *thms.MP*]

lemmas *thms-falseE* = *weaken-right* [*THEN* *thms.DN* [*THEN* *thms.MP*]]

lemmas *thms-notE* = *thms.MP* [*THEN* *thms-falseE*, *standard*]

10.4.4 Soundness of the rules wrt truth-table semantics

theorem *soundness*: $H \vdash p \implies H \models p$
 $\langle proof \rangle$

10.5 Completeness

10.5.1 Towards the completeness proof

lemma *false-imp*: $H \vdash p \rightarrow false \implies H \vdash p \rightarrow q$
 $\langle proof \rangle$

lemma *imp-false*:

$\llbracket H \mid p; H \mid q \rightarrow \text{false} \rrbracket \implies H \mid (p \rightarrow q) \rightarrow \text{false}$
 <proof>

lemma *hyps-thms-if*: $\text{hyps } p \text{ } tt \mid - (\text{if } tt[[p]] \text{ then } p \text{ else } p \rightarrow \text{false})$
 — Typical example of strengthening the induction statement.
 <proof>

lemma *sat-thms-p*: $\{ \} \models p \implies \text{hyps } p \text{ } tt \mid - p$
 — Key lemma for completeness; yields a set of assumptions satisfying p
 <proof>

For proving certain theorems in our new propositional logic.

declare *deduction* [*intro!*]
declare *thms.H* [*THEN thms.MP, intro*]

The excluded middle in the form of an elimination rule.

lemma *thms-excluded-middle*: $H \mid - (p \rightarrow q) \rightarrow ((p \rightarrow \text{false}) \rightarrow q) \rightarrow q$
 <proof>

lemma *thms-excluded-middle-rule*:
 $\llbracket \text{insert } p \text{ } H \mid - q; \text{insert } (p \rightarrow \text{false}) \text{ } H \mid - q \rrbracket \implies H \mid - q$
 — Hard to prove directly because it requires cuts
 <proof>

10.6 Completeness – lemmas for reducing the set of assumptions

For the case $\text{hyps } p \text{ } t - \text{insert } \#v \text{ } Y \mid - p$ we also have $\text{hyps } p \text{ } t - \{ \#v \} \subseteq \text{hyps } p \text{ } (t - \{ v \})$.

lemma *hyps-Diff*: $\text{hyps } p \text{ } (t - \{ v \}) \leq \text{insert } (\#v \rightarrow \text{false}) ((\text{hyps } p \text{ } t) - \{ \#v \})$
 <proof>

For the case $\text{hyps } p \text{ } t - \text{insert } (\#v \rightarrow \text{Fls}) \text{ } Y \mid - p$ we also have $\text{hyps } p \text{ } t - \{ \#v \rightarrow \text{Fls} \} \subseteq \text{hyps } p \text{ } (\text{insert } v \text{ } t)$.

lemma *hyps-insert*: $\text{hyps } p \text{ } (\text{insert } v \text{ } t) \leq \text{insert } (\#v) (\text{hyps } p \text{ } t - \{ \#v \rightarrow \text{false} \})$
 <proof>

Two lemmas for use with *weaken-left*

lemma *insert-Diff-same*: $B - C \leq \text{insert } a (B - \text{insert } a \text{ } C)$
 <proof>

lemma *insert-Diff-subset2*: $\text{insert } a (B - \{ c \}) - D \leq \text{insert } a (B - \text{insert } c \text{ } D)$
 <proof>

The set $\text{hyps } p \text{ } t$ is finite, and elements have the form $\#v$ or $\#v \rightarrow \text{Fls}$.

lemma *hyps-finite*: $\text{finite}(\text{hyps } p \text{ } t)$
 <proof>

lemma *hyps-subset*: $\text{hyps } p \ t \leq (UN \ v. \{\#v, \#v \rightarrow \text{false}\})$
 ⟨*proof*⟩

lemmas *Diff-weaken-left* = *Diff-mono* [*OF* - *subset-refl*, *THEN* *weaken-left*]

10.6.1 Completeness theorem

Induction on the finite set of assumptions $\text{hyps } p \ t0$. We may repeatedly subtract assumptions until none are left!

lemma *completeness-0-lemma*:
 $\{\} \models p \implies \forall t. \text{hyps } p \ t - \text{hyps } p \ t0 \vdash p$
 ⟨*proof*⟩

The base case for completeness

lemma *completeness-0*: $\{\} \models p \implies \{\} \vdash p$
 ⟨*proof*⟩

A semantic analogue of the Deduction Theorem

lemma *sat-imp*: $\text{insert } p \ H \models q \implies H \models p \rightarrow q$
 ⟨*proof*⟩

theorem *completeness* [*rule-format*]: $\text{finite } H \implies \forall p. H \models p \dashv\vdash H \vdash p$
 ⟨*proof*⟩

theorem *syntax-iff-semantics*: $\text{finite } H \implies (H \vdash p) = (H \models p)$
 ⟨*proof*⟩

end

theory *Sexp* **imports** *Datatype-Universe Inductive* **begin**

consts

sexp :: 'a item set

inductive *sexp*

intros

LeafI: $\text{Leaf}(a) \in \text{sexp}$

NumbI: $\text{Numb}(i) \in \text{sexp}$

SconsI: $[\mid M \in \text{sexp}; N \in \text{sexp} \mid] \implies \text{Scons } M \ N \in \text{sexp}$

constdefs

sexp-case :: $['a \Rightarrow 'b, \text{nat} \Rightarrow 'b, ['a \ \text{item}, 'a \ \text{item}] \Rightarrow 'b, 'a \ \text{item}] \Rightarrow 'b$

sexp-case $c \ d \ e \ M == \text{THE } z. (\text{EX } x. M = \text{Leaf}(x) \ \& \ z = c(x))$

| (EX k. M=Numb(k) & z=d(k))
| (EX N1 N2. M = Scons N1 N2 & z=e N1 N2)

pred-sexp :: ('a item * 'a item)set
pred-sexp == $\bigcup M \in \text{sexp}. \bigcup N \in \text{sexp}. \{(M, \text{Scons } M \ N), (N, \text{Scons } M \ N)\}$

sexp-rec :: ['a item, 'a=>'b, nat=>'b,
['a item, 'a item, 'b, 'b]=>'b] => 'b
sexp-rec M c d e == wfrec *pred-sexp*
(%g. *sexp-case* c d (%N1 N2. e N1 N2 (g N1) (g N2))) M

lemma *sexp-case-Leaf* [simp]: *sexp-case* c d e (Leaf a) = c(a)
<proof>

lemma *sexp-case-Numb* [simp]: *sexp-case* c d e (Numb k) = d(k)
<proof>

lemma *sexp-case-Scons* [simp]: *sexp-case* c d e (Scons M N) = e M N
<proof>

lemma *sexp-In0I*: M ∈ *sexp* ==> In0(M) ∈ *sexp*
<proof>

lemma *sexp-In1I*: M ∈ *sexp* ==> In1(M) ∈ *sexp*
<proof>

declare *sexp.intros* [intro,simp]

lemma *range-Leaf-subset-sexp*: range(Leaf) <= *sexp*
<proof>

lemma *Scons-D*: Scons M N ∈ *sexp* ==> M ∈ *sexp* & N ∈ *sexp*
<proof>

lemma *pred-sexp-subset-Sigma*: *pred-sexp* <= *sexp* <*> *sexp*
<proof>

lemmas *trancl-pred-sexpD1* =
pred-sexp-subset-Sigma

[*THEN trancl-subset-Sigma, THEN subsetD, THEN SigmaD1*]
and *trancl-pred-sexpD2* =
pred-sexp-subset-Sigma
[*THEN trancl-subset-Sigma, THEN subsetD, THEN SigmaD2*]

lemma *pred-sexpI1*:

[*M* ∈ *sexp*; *N* ∈ *sexp*] ==> (*M*, *Scons M N*) ∈ *pred-sexp*
⟨*proof*⟩

lemma *pred-sexpI2*:

[*M* ∈ *sexp*; *N* ∈ *sexp*] ==> (*N*, *Scons M N*) ∈ *pred-sexp*
⟨*proof*⟩

lemmas *pred-sexp-t1* [*simp*] = *pred-sexpI1* [*THEN r-into-trancl*]
and *pred-sexp-t2* [*simp*] = *pred-sexpI2* [*THEN r-into-trancl*]

lemmas *pred-sexp-trans1* [*simp*] = *trans-trancl* [*THEN transD, OF - pred-sexp-t1*]
and *pred-sexp-trans2* [*simp*] = *trans-trancl* [*THEN transD, OF - pred-sexp-t2*]

declare *cut-apply* [*simp*]

lemma *pred-sexpE*:

[*p* ∈ *pred-sexp*;
!!*M N*. [*p* = (*M*, *Scons M N*); *M* ∈ *sexp*; *N* ∈ *sexp*] ==> *R*;
!!*M N*. [*p* = (*N*, *Scons M N*); *M* ∈ *sexp*; *N* ∈ *sexp*] ==> *R*
] ==> *R*
⟨*proof*⟩

lemma *wf-pred-sexp*: *wf(pred-sexp)*

⟨*proof*⟩

lemma *sexp-rec-unfold-lemma*:

(%*M*. *sexp-rec M c d e*) ==
wfrec pred-sexp (%*g*. *sexp-case c d* (%*N1 N2*. *e N1 N2 (g N1) (g N2)*))
⟨*proof*⟩

lemmas *sexp-rec-unfold* = *def-wfrec* [*OF sexp-rec-unfold-lemma wf-pred-sexp*]

lemma *sexp-rec-Leaf*: *sexp-rec (Leaf a) c d h* = *c(a)*

⟨*proof*⟩

lemma *sexp-rec-Numb*: *sexp-rec* (*Numb* *k*) *c d h* = *d(k)*

<proof>

lemma *sexp-rec-Scons*: $[[M \in \text{sexp}; N \in \text{sexp}]] \implies$

$\text{sexp-rec} (\text{Scons } M N) c d h = h M N (\text{sexp-rec } M c d h) (\text{sexp-rec } N c d h)$

<proof>

end

theory *SList* **imports** *NatArith Sexp Hilbert-Choice* **begin**

constdefs

NIL :: 'a item

NIL == *In0(Numb(0))*

CONS :: ['a item, 'a item] => 'a item

CONS M N == *In1(Scons M N)*

consts

list :: 'a item set => 'a item set

inductive *list(A)*

intros

NIL-I: *NIL*: *list A*

CONS-I: $[[a: A; M: list A]] \implies \text{CONS } a M : list A$

typedef (*List*)

'a list = *list(range Leaf) :: 'a item set*
(*proof*)

constdefs

List-case :: [*'b, ['a item, 'a item]=>'b, 'a item*] => *'b*
List-case c d == *Case(%x. c)(Split(d))*

List-rec :: [*'a item, 'b, ['a item, 'a item, 'b]=>'b*] => *'b*
List-rec M c d == *wfrec (trancl pred-sexp)*
(%g. List-case c (%x y. d x y (g y))) M

constdefs

Nil :: *'a list*
Nil == *Abs-List(NIL)*

Cons :: [*'a, 'a list*] => *'a list* (**infixr** # 65)
x#xs == *Abs-List(CONS (Leaf x)(Rep-List xs))*

list-rec :: [*'a list, 'b, ['a, 'a list, 'b]=>'b*] => *'b*
list-rec l c d ==
List-rec(Rep-List l) c (%x y r. d(inv Leaf x)(Abs-List y) r)

list-case :: [*'b, ['a, 'a list]=>'b, 'a list*] => *'b*
list-case a f xs == *list-rec xs a (%x xs r. f x xs)*

consts

\square :: *'a list* (\square)

syntax

\textcircled{list} :: *args => 'a list* ($\textcircled{(-)}$)

translations

$[x, xs]$ == $x\#\![xs]$
 $[x]$ == $x\#\!\square$
 \square == *Nil*

case xs of Nil => a | y#ys => b == *list-case(a, %y ys. b, xs)*

constdefs

Rep-map :: ('b => 'a item) => ('b list => 'a item)
Rep-map f xs == list-rec xs NIL(%x l r. CONS(f x) r)

Abs-map :: ('a item => 'b) => 'a item => 'b list
Abs-map g M == List-rec M Nil (%N L r. g(N)#r)

constdefs

null :: 'a list => bool
null xs == list-rec xs True (%x xs r. False)

hd :: 'a list => 'a
hd xs == list-rec xs (@x. True) (%x xs r. x)

tl :: 'a list => 'a list
tl xs == list-rec xs (@xs. True) (%x xs r. xs)

tll :: 'a list => 'a list
tll xs == list-rec xs [] (%x xs r. xs)

member :: ['a, 'a list] => bool (**infixl** mem 55)
x mem xs == list-rec xs False (%y ys r. if y=x then True else r)

list-all :: ('a => bool) => ('a list => bool)
list-all P xs == list-rec xs True(%x l r. P(x) & r)

map :: ('a=>'b) => ('a list => 'b list)
map f xs == list-rec xs [] (%x l r. f(x)#r)

constdefs

append :: ['a list, 'a list] => 'a list (**infixr** @ 65)
xs@ys == list-rec xs ys (%x l r. x#r)

filter :: [*'a* => *bool*, *'a list*] => *'a list*
filter P xs == *list-rec xs [] (%x xs r. if P(x) then x#r else r)*

foldl :: [[*'b, 'a*] => *'b*, *'b, 'a list*] => *'b*
foldl f a xs == *list-rec xs (%a. a)(%x xs r.%a. r(f a x))(a)*

foldr :: [[*'a, 'b*] => *'b*, *'b, 'a list*] => *'b*
foldr f a xs == *list-rec xs a (%x xs r. (f x r))*

length :: *'a list* => *nat*
length xs == *list-rec xs 0 (%x xs r. Suc r)*

drop :: [*'a list, nat*] => *'a list*
drop t n == (*nat-rec (%x. x)(%m r xs. r(ttl xs))*)(*n*)(*t*)

copy :: [*'a, nat*] => *'a list*
copy t == *nat-rec [] (%m xs. t # xs)*

flat :: *'a list list* => *'a list*
flat == *foldr (op @) []*

nth :: [*nat, 'a list*] => *'a*
nth == *nat-rec hd (%m r xs. r(tl xs))*

rev :: *'a list* => *'a list*
rev xs == *list-rec xs [] (%x xs xsa. xsa @ [x])*

zipWith :: [*'a * 'b* => *'c*, *'a list * 'b list*] => *'c list*
zipWith f S == (*list-rec (fst S) (%T. [])*
(%x xs r. %T. if null T then []
else f(x,hd T) # r(tl T)))(*snd(S)*)

zip :: *'a list * 'b list* => (*'a*'b*) *list*
zip == *zipWith (%s. s)*

unzip :: (*'a*'b*) *list* => (*'a list * 'b list*)
unzip == *foldr (% (a,b)(c,d).(a#c,b#d))([],[])*

consts *take* :: [*'a list, nat*] => *'a list*
primrec
take-0: take xs 0 = []
take-Suc: take xs (Suc n) = list-case [] (%x l. x # take l n) xs

consts *enum* :: [*nat, nat*] => *nat list*
primrec
enum-0: enum i 0 = []

enum-Suc: $\text{enum } i \text{ (Suc } j) = (\text{if } i \leq j \text{ then enum } i \ j \ @ \ [j] \ \text{else } [])$

syntax

$\text{@Alls} \quad :: [\text{idt}, 'a \ \text{list}, \text{bool}] \Rightarrow \text{bool} \quad ((2\text{Alls } \text{:-} \cdot / \ -) \ 10)$
 $\text{@filter} \quad :: [\text{idt}, 'a \ \text{list}, \text{bool}] \Rightarrow 'a \ \text{list} \quad ((1[\text{:-} \cdot / \ -])$

translations

$[x:\text{xs}. P] \quad == \text{filter}(\%x. P) \ \text{xs}$
 $\text{Alls } x:\text{xs}. P == \text{list-all}(\%x. P)\text{xs}$

lemma *ListI*: $x : \text{list } (\text{range } \text{Leaf}) \Longrightarrow x : \text{List}$
 $\langle \text{proof} \rangle$

lemma *ListD*: $x : \text{List} \Longrightarrow x : \text{list } (\text{range } \text{Leaf})$
 $\langle \text{proof} \rangle$

lemma *list-unfold*: $\text{list}(A) = \text{usum } \{\text{Numb}(0)\} (\text{uprod } A \ (\text{list}(A)))$
 $\langle \text{proof} \rangle$

lemma *list-mono*: $A \leq B \Longrightarrow \text{list}(A) \leq \text{list}(B)$
 $\langle \text{proof} \rangle$

lemma *list-sexp*: $\text{list}(\text{sexp}) \leq \text{sexp}$
 $\langle \text{proof} \rangle$

lemmas *list-subset-sexp* = *subset-trans* [OF *list-mono list-sexp*]

lemma *list-induct*:
 $[[P(\text{Nil});$
 $\quad !!x \ \text{xs}. P(\text{xs}) \Longrightarrow P(x \ \# \ \text{xs})]] \Longrightarrow P(l)$
 $\langle \text{proof} \rangle$

lemma *inj-on-Abs-list*: $\text{inj-on } \text{Abs-List} \ (\text{list}(\text{range } \text{Leaf}))$
 $\langle \text{proof} \rangle$

lemma *CONS-not-NIL* [iff]: $\text{CONS } M \ N \ \sim = \ \text{NIL}$

<proof>

lemmas *NIL-not-CONS* [iff] = *CONS-not-NIL* [THEN not-sym]
lemmas *CONS-neq-NIL* = *CONS-not-NIL* [THEN notE, standard]
lemmas *NIL-neq-CONS* = *sym* [THEN *CONS-neq-NIL*]

lemma *Cons-not-Nil* [iff]: $x \# xs \sim = Nil$
<proof>

lemmas *Nil-not-Cons* [iff] = *Cons-not-Nil* [THEN not-sym, standard]
lemmas *Cons-neq-Nil* = *Cons-not-Nil* [THEN notE, standard]
lemmas *Nil-neq-Cons* = *sym* [THEN *Cons-neq-Nil*]

lemma *CONS-CONS-eq* [iff]: $(CONS\ K\ M) = (CONS\ L\ N) = (K=L \ \&\ M=N)$
<proof>

declare *Rep-List* [THEN *ListD*, intro] *ListI* [intro]
declare *list.intros* [intro, simp]
declare *Leaf-inject* [dest!]

lemma *Cons-Cons-eq* [iff]: $(x \# xs = y \# ys) = (x = y \ \&\ xs = ys)$
<proof>

lemmas *Cons-inject2* = *Cons-Cons-eq* [THEN *iffD1*, THEN *conjE*, standard]

lemma *CONS-D*: $CONS\ M\ N: list(A) ==> M: A \ \&\ N: list(A)$
<proof>

lemma *sexp-CONS-D*: $CONS\ M\ N: sexp ==> M: sexp \ \&\ N: sexp$
<proof>

lemma *not-CONS-self*: $N: list(A) ==> !M. N \sim = CONS\ M\ N$
<proof>

lemma *not-Cons-self2*: $\forall x. l \sim = x \# l$
<proof>

lemma *neq-Nil-conv2*: $(xs \sim = []) = (\exists y\ ys. xs = y \# ys)$
<proof>

lemma *List-case-NIL* [*simp*]: *List-case c h NIL = c*
 ⟨*proof*⟩

lemma *List-case-CONS* [*simp*]: *List-case c h (CONS M N) = h M N*
 ⟨*proof*⟩

lemma *List-rec-unfold-lemma*:
 (%M. *List-rec M c d*) ==
 wfrec (*trancl pred-sexp*) (%g. *List-case c (%x y. d x y (g y))*)
 ⟨*proof*⟩

lemmas *List-rec-unfold* =
 def-wfrec [*OF List-rec-unfold-lemma wf-pred-sexp [THEN wf-trancl]*,
standard]

lemma *pred-sexp-CONS-I1*:
 [| *M: sexp; N: sexp* |] ==> (*M, CONS M N*) : *pred-sexp* ^+
 ⟨*proof*⟩

lemma *pred-sexp-CONS-I2*:
 [| *M: sexp; N: sexp* |] ==> (*N, CONS M N*) : *pred-sexp* ^+
 ⟨*proof*⟩

lemma *pred-sexp-CONS-D*:
 (*CONS M1 M2, N*) : *pred-sexp* ^+ ==>
 (*M1,N*) : *pred-sexp* ^+ & (*M2,N*) : *pred-sexp* ^+
 ⟨*proof*⟩

lemma *List-rec-NIL* [*simp*]: *List-rec NIL c h = c*
 ⟨*proof*⟩

lemma *List-rec-CONS* [*simp*]:
 [| *M: sexp; N: sexp* |]
 ==> *List-rec (CONS M N) c h = h M N (List-rec N c h)*
 ⟨*proof*⟩

lemmas *Rep-List-in-sexp* =
 subsetD [OF range-Leaf-subset-sexp [THEN list-subset-sexp]
 Rep-List [THEN ListD]]

lemma *list-rec-Nil* [simp]: *list-rec Nil c h = c*
 <proof>

lemma *list-rec-Cons* [simp]: *list-rec (a#l) c h = h a l (list-rec l c h)*
 <proof>

lemma *List-rec-type*:
 [| M: list(A);
 A<=sexp;
 c: C(NIL);
 !!x y r. [| x: A; y: list(A); r: C(y) |] ==> h x y r: C(CONS x y)
 |] ==> List-rec M c h : C(M :: 'a item)
 <proof>

lemma *Rep-map-Nil* [simp]: *Rep-map f Nil = NIL*
 <proof>

lemma *Rep-map-Cons* [simp]:
Rep-map f (x#xs) = CONS(f x)(Rep-map f xs)
 <proof>

lemma *Rep-map-type*: (!!x. f(x): A) ==> *Rep-map f xs: list(A)*
 <proof>

lemma *Abs-map-NIL* [simp]: *Abs-map g NIL = Nil*
 <proof>

lemma *Abs-map-CONS* [simp]:
 [| M: sexp; N: sexp |] ==> *Abs-map g (CONS M N) = g(M) # Abs-map g N*
 <proof>

lemma *def-list-rec-NilCons*:
 [| !!xs. f(xs) == list-rec xs c h |]
 ==> f [] = c & f(x#xs) = h x xs (f xs)

<proof>

lemma *Abs-map-inverse:*

$[[M: \text{list}(A); A \leq \text{sexp}; !!z. z: A \implies f(g(z)) = z]]$
 $\implies \text{Rep-map } f \ (\text{Abs-map } g \ M) = M$

<proof>

Better to have a single theorem with a conjunctive conclusion.

declare *def-list-rec-NilCons* [*OF list-case-def, simp*]

lemma *expand-list-case:*

$P(\text{list-case } a \ f \ xs) = ((xs = [] \longrightarrow P \ a) \ \& \ (!y \ ys. \ xs = y \# \ ys \longrightarrow P(f \ y \ ys)))$

<proof>

declare *def-list-rec-NilCons* [*OF null-def, simp*]

declare *def-list-rec-NilCons* [*OF hd-def, simp*]

declare *def-list-rec-NilCons* [*OF tl-def, simp*]

declare *def-list-rec-NilCons* [*OF ttl-def, simp*]

declare *def-list-rec-NilCons* [*OF append-def, simp*]

declare *def-list-rec-NilCons* [*OF member-def, simp*]

declare *def-list-rec-NilCons* [*OF map-def, simp*]

declare *def-list-rec-NilCons* [*OF filter-def, simp*]

declare *def-list-rec-NilCons* [*OF list-all-def, simp*]

lemma *def-nat-rec-0-eta:*

$[[!!n. f == \text{nat-rec } c \ h]] \implies f(0) = c$

<proof>

lemma *def-nat-rec-Suc-eta:*

$[[!!n. f == \text{nat-rec } c \ h]] \implies f(\text{Suc}(n)) = h \ n \ (f \ n)$

<proof>

declare *def-nat-rec-0-eta* [*OF nth-def, simp*]

declare *def-nat-rec-Suc-eta* [*OF nth-def, simp*]

lemma *length-Nil* [*simp*]: $\text{length}([]) = 0$

$\langle proof \rangle$

lemma *length-Cons* [*simp*]: $length(a\#xs) = Suc(length(xs))$
 $\langle proof \rangle$

lemma *append-assoc* [*simp*]: $(xs@ys)@zs = xs@(ys@zs)$
 $\langle proof \rangle$

lemma *append-Nil2* [*simp*]: $xs @ [] = xs$
 $\langle proof \rangle$

lemma *mem-append* [*simp*]: $x mem (xs@ys) = (x mem xs \mid x mem ys)$
 $\langle proof \rangle$

lemma *mem-filter* [*simp*]: $x mem [x:xs. P x] = (x mem xs \& P(x))$
 $\langle proof \rangle$

lemma *list-all-True* [*simp*]: $(Alls x:xs. True) = True$
 $\langle proof \rangle$

lemma *list-all-conj* [*simp*]:
 $list-all p (xs@ys) = ((list-all p xs) \& (list-all p ys))$
 $\langle proof \rangle$

lemma *list-all-mem-conv*: $(Alls x:xs. P(x)) = (!x. x mem xs \longrightarrow P(x))$
 $\langle proof \rangle$

lemma *nat-case-dist* : $(! n. P n) = (P 0 \& (! n. P (Suc n)))$
 $\langle proof \rangle$

lemma *alls-P-eq-P-nth*: $(Alls u:A. P u) = (!n. n < length A \longrightarrow P(nth n A))$
 $\langle proof \rangle$

lemma *list-all-imp*:
 $[! x. P x \longrightarrow Q x; (Alls x:xs. P(x)) \mid] \Longrightarrow (Alls x:xs. Q(x))$
 $\langle proof \rangle$

lemma *Abs-Rep-map*:

$(!!x. f(x): sexp) ==>$
 $Abs\text{-map } g \text{ (Rep-map } f \text{ } xs) = map \text{ } (\%t. g(f(t))) \text{ } xs$
<proof>

lemma *map-ident [simp]*: $map(\%x. x)(xs) = xs$

<proof>

lemma *map-append [simp]*: $map \text{ } f \text{ } (xs@ys) = map \text{ } f \text{ } xs \text{ } @ \text{ } map \text{ } f \text{ } ys$

<proof>

lemma *map-compose*: $map(f \circ g)(xs) = map \text{ } f \text{ } (map \text{ } g \text{ } xs)$

<proof>

lemma *mem-map-aux1 [rule-format]*:

$x \text{ mem } (map \text{ } f \text{ } q) \text{ } --> (\exists y. y \text{ mem } q \ \& \ x = f \ y)$
<proof>

lemma *mem-map-aux2 [rule-format]*:

$(\exists y. y \text{ mem } q \ \& \ x = f \ y) \text{ } --> x \text{ mem } (map \text{ } f \text{ } q)$
<proof>

lemma *mem-map*: $x \text{ mem } (map \text{ } f \text{ } q) = (\exists y. y \text{ mem } q \ \& \ x = f \ y)$

<proof>

lemma *hd-append [rule-format]*: $A \sim = [] \text{ } --> hd(A @ B) = hd(A)$

<proof>

lemma *tl-append [rule-format]*: $A \sim = [] \text{ } --> tl(A @ B) = tl(A) @ B$

<proof>

lemma *take-Suc1 [simp]*: $take \ [] \text{ } (Suc \ x) = []$

<proof>

lemma *take-Suc2 [simp]*: $take(a\#xs)(Suc \ x) = a\#take \ xs \ x$

<proof>

lemma *drop-0 [simp]*: $drop \ xs \ 0 = xs$

<proof>

lemma *drop-Suc1* [*simp*]: $\text{drop } [] (\text{Suc } x) = []$
<proof>

lemma *drop-Suc2* [*simp*]: $\text{drop}(a\#xs)(\text{Suc } x) = \text{drop } xs \ x$
<proof>

lemma *copy-0* [*simp*]: $\text{copy } x \ 0 = []$
<proof>

lemma *copy-Suc* [*simp*]: $\text{copy } x (\text{Suc } y) = x \# \text{copy } x \ y$
<proof>

lemma *foldl-Nil* [*simp*]: $\text{foldl } f \ a \ [] = a$
<proof>

lemma *foldl-Cons* [*simp*]: $\text{foldl } f \ a(x\#xs) = \text{foldl } f \ (f \ a \ x) \ xs$
<proof>

lemma *foldr-Nil* [*simp*]: $\text{foldr } f \ a \ [] = a$
<proof>

lemma *foldr-Cons* [*simp*]: $\text{foldr } f \ z(x\#xs) = f \ x (\text{foldr } f \ z \ xs)$
<proof>

lemma *flat-Nil* [*simp*]: $\text{flat } [] = []$
<proof>

lemma *flat-Cons* [*simp*]: $\text{flat } (x \# xs) = x \ @ \ \text{flat } \ xs$
<proof>

lemma *rev-Nil* [*simp*]: $\text{rev } [] = []$
<proof>

lemma *rev-Cons* [*simp*]: $\text{rev } (x \# xs) = \text{rev } xs \ @ \ [x]$
<proof>

lemma *zipWith-Cons-Cons* [*simp*]:
 $zipWith\ f\ (a\#\ as,\ b\#\ bs) = f(a,b)\ \#\ zipWith\ f\ (as,bs)$
 <proof>

lemma *zipWith-Nil-Nil* [*simp*]: $zipWith\ f\ ([],[]) = []$
 <proof>

lemma *zipWith-Cons-Nil* [*simp*]: $zipWith\ f\ (x,[]) = []$
 <proof>

lemma *zipWith-Nil-Cons* [*simp*]: $zipWith\ f\ ([],x) = []$
 <proof>

lemma *unzip-Nil* [*simp*]: $unzip\ [] = ([],[])$
 <proof>

lemma *map-compose-ext*: $map(f\ o\ g) = ((map\ f)\ o\ (map\ g))$
 <proof>

lemma *map-flat*: $map\ f\ (flat\ S) = flat(map\ (map\ f)\ S)$
 <proof>

lemma *list-all-map-eq*: $(\forall u:xs.\ f(u) = g(u)) \longrightarrow map\ f\ xs = map\ g\ xs$
 <proof>

lemma *filter-map-d*: $filter\ p\ (map\ f\ xs) = map\ f\ (filter(p\ o\ f)(xs))$
 <proof>

lemma *filter-compose*: $filter\ p\ (filter\ q\ xs) = filter(\%x.\ p\ x\ \&\ q\ x)\ xs$
 <proof>

lemma *filter-append* [*rule-format*, *simp*]:
 $\forall B.\ filter\ p\ (A\ @\ B) = (filter\ p\ A\ @\ filter\ p\ B)$
 <proof>

lemma *length-append*: $\text{length}(xs@ys) = \text{length}(xs) + \text{length}(ys)$
<proof>

lemma *length-map*: $\text{length}(\text{map } f \text{ } xs) = \text{length}(xs)$
<proof>

lemma *take-Nil* [*simp*]: $\text{take } [] \text{ } n = []$
<proof>

lemma *take-take-eq* [*simp*]: $\forall n. \text{take } (\text{take } xs \text{ } n) \text{ } n = \text{take } xs \text{ } n$
<proof>

lemma *take-take-Suc-eq1* [*rule-format*]:
 $\forall n. \text{take } (\text{take } xs \text{ } (\text{Suc}(n+m))) \text{ } n = \text{take } xs \text{ } n$
<proof>

declare *take-Suc* [*simp del*]

lemma *take-take-1*: $\text{take } (\text{take } xs \text{ } (n+m)) \text{ } n = \text{take } xs \text{ } n$
<proof>

lemma *take-take-Suc-eq2* [*rule-format*]:
 $\forall n. \text{take } (\text{take } xs \text{ } n) \text{ } (\text{Suc}(n+m)) = \text{take } xs \text{ } n$
<proof>

lemma *take-take-2*: $\text{take}(\text{take } xs \text{ } n)(n+m) = \text{take } xs \text{ } n$
<proof>

lemma *drop-Nil* [*simp*]: $\text{drop } [] \text{ } n = []$
<proof>

lemma *drop-drop* [*rule-format*]: $\forall xs. \text{drop } (\text{drop } xs \text{ } m) \text{ } n = \text{drop } xs \text{ } (m+n)$
<proof>

lemma *take-drop* [*rule-format*]: $\forall xs. (\text{take } xs \text{ } n) @ (\text{drop } xs \text{ } n) = xs$
<proof>

lemma *copy-copy*: $\text{copy } x \text{ } n @ \text{copy } x \text{ } m = \text{copy } x \text{ } (n+m)$
<proof>

lemma *length-copy*: $\text{length}(\text{copy } x \text{ } n) = n$
<proof>

lemma *length-take* [*rule-format, simp*]:

$\forall xs. \text{length}(\text{take } xs \ n) = \min (\text{length } xs) \ n$
<proof>

lemma *length-take-drop*: $\text{length}(\text{take } A \ k) + \text{length}(\text{drop } A \ k) = \text{length}(A)$
<proof>

lemma *take-append* [rule-format]: $\forall A. \text{length}(A) = n \ \dashrightarrow \ \text{take}(A@B) \ n = A$
<proof>

lemma *take-append2* [rule-format]:
 $\forall A. \text{length}(A) = n \ \dashrightarrow \ \text{take}(A@B) \ (n+k) = A \ @ \ \text{take } B \ k$
<proof>

lemma *take-map* [rule-format]: $\forall n. \text{take} (\text{map } f \ A) \ n = \text{map } f \ (\text{take } A \ n)$
<proof>

lemma *drop-append* [rule-format]: $\forall A. \text{length}(A) = n \ \dashrightarrow \ \text{drop}(A@B) \ n = B$
<proof>

lemma *drop-append2* [rule-format]:
 $\forall A. \text{length}(A) = n \ \dashrightarrow \ \text{drop}(A@B) \ (n+k) = \text{drop } B \ k$
<proof>

lemma *drop-all* [rule-format]: $\forall A. \text{length}(A) = n \ \dashrightarrow \ \text{drop } A \ n = []$
<proof>

lemma *drop-map* [rule-format]: $\forall n. \text{drop} (\text{map } f \ A) \ n = \text{map } f \ (\text{drop } A \ n)$
<proof>

lemma *take-all* [rule-format]: $\forall A. \text{length}(A) = n \ \dashrightarrow \ \text{take } A \ n = A$
<proof>

lemma *foldl-single*: $\text{foldl } f \ a \ [b] = f \ a \ b$
<proof>

lemma *foldl-append* [rule-format, simp]:
 $\forall a. \text{foldl } f \ a \ (A \ @ \ B) = \text{foldl } f \ (\text{foldl } f \ a \ A) \ B$
<proof>

lemma *foldl-map* [rule-format]:
 $\forall e. \text{foldl } f \ e \ (\text{map } g \ S) = \text{foldl } (\%x \ y. f \ x \ (g \ y)) \ e \ S$
<proof>

lemma *foldl-neutr-distr* [rule-format]:
assumes *r-neutr*: $\forall a. f \ a \ e = a$
and *r-neutl*: $\forall a. f \ e \ a = a$
and *assoc*: $\forall a \ b \ c. f \ a \ (f \ b \ c) = f \ (f \ a \ b) \ c$
shows $\forall y. f \ y \ (\text{foldl } f \ e \ A) = \text{foldl } f \ y \ A$

$\langle proof \rangle$

lemma *foldl-append-sym*:

$[[!a. f a e = a; !a. f e a = a; \\ !a b c. f a (f b c) = f(f a b) c]]$
 $==> foldl f e (A @ B) = f(foldl f e A)(foldl f e B)$
 $\langle proof \rangle$

lemma *foldr-append* [rule-format, simp]:

$\forall a. foldr f a (A @ B) = foldr f (foldr f a B) A$
 $\langle proof \rangle$

lemma *foldr-map* [rule-format]: $\forall e. foldr f e (map g S) = foldr (f o g) e S$

$\langle proof \rangle$

lemma *foldr-Un-eq-UN*: $foldr op Un \{ \} S = (UN X: \{t. t mem S\}.X)$

$\langle proof \rangle$

lemma *foldr-neutr-distr*:

$[[!a. f e a = a; !a b c. f a (f b c) = f(f a b) c]]$
 $==> foldr f y S = f (foldr f e S) y$
 $\langle proof \rangle$

lemma *foldr-append2*:

$[[!a. f e a = a; !a b c. f a (f b c) = f(f a b) c]]$
 $==> foldr f e (A @ B) = f (foldr f e A) (foldr f e B)$
 $\langle proof \rangle$

lemma *foldr-flat*:

$[[!a. f e a = a; !a b c. f a (f b c) = f(f a b) c]]$ $==>$
 $foldr f e (flat S) = (foldr f e)(map (foldr f e) S)$
 $\langle proof \rangle$

lemma *list-all-map*: $(Alls x:map f xs .P(x)) = (Alls x:xs.(P o f)(x))$

$\langle proof \rangle$

lemma *list-all-and*:

$(Alls x:xs. P(x) \& Q(x)) = ((Alls x:xs. P(x)) \& (Alls x:xs. Q(x)))$
 $\langle proof \rangle$

lemma *nth-map* [rule-format]:

$\forall i. i < length(A) \ --> nth i (map f A) = f(nth i A)$
 $\langle proof \rangle$

lemma *nth-app-cancel-right* [rule-format]:

$\forall i. i < length(A) \ --> nth i (A @ B) = nth i A$

<proof>

lemma *nth-app-cancel-left* [rule-format]:

$\forall n. n = \text{length}(A) \longrightarrow \text{nth}(n+i)(A@B) = \text{nth } i B$
<proof>

lemma *flat-append* [simp]: $\text{flat}(xs@ys) = \text{flat}(xs) @ \text{flat}(ys)$
<proof>

lemma *filter-flat*: $\text{filter } p (\text{flat } S) = \text{flat}(\text{map } (\text{filter } p) S)$
<proof>

lemma *rev-append* [simp]: $\text{rev}(xs@ys) = \text{rev}(ys) @ \text{rev}(xs)$
<proof>

lemma *rev-rev-ident* [simp]: $\text{rev}(\text{rev } l) = l$
<proof>

lemma *rev-flat*: $\text{rev}(\text{flat } ls) = \text{flat } (\text{map } \text{rev } (\text{rev } ls))$
<proof>

lemma *rev-map-distrib*: $\text{rev}(\text{map } f l) = \text{map } f (\text{rev } l)$
<proof>

lemma *foldl-rev*: $\text{foldl } f b (\text{rev } l) = \text{foldr } (\%x y. f y x) b l$
<proof>

lemma *foldr-rev*: $\text{foldr } f b (\text{rev } l) = \text{foldl } (\%x y. f y x) b l$
<proof>

end

11 Definition of type llist by a greatest fixed point

theory *LList* imports *Main SList* begin

consts

llist :: 'a item set => 'a item set

LListD :: ('a item * 'a item)set => ('a item * 'a item)set

coinductive *l*list(*A*)

intros

NIL-I: $NIL \in \text{l}list(A)$

CONS-I: $[[a \in A; M \in \text{l}list(A)]] \implies CONS\ a\ M \in \text{l}list(A)$

coinductive *LListD*(*r*)

intros

NIL-I: $(NIL, NIL) \in \text{LListD}(r)$

CONS-I: $[[(a,b) \in r; (M,N) \in \text{LListD}(r)]] \implies (CONS\ a\ M, CONS\ b\ N) \in \text{LListD}(r)$

typedef (*LList*)

'a llist = *l*list(*range Leaf*) :: *'a item set*

<proof>

constdefs

list-Fun :: [*'a item set*, *'a item set*] => *'a item set*

— Now used exclusively for abbreviating the coinduction rule

list-Fun A X == {*z*. *z* = *NIL* | ($\exists M\ a$. *z* = *CONS a M* & $a \in A$ & $M \in X$)}

LListD-Fun ::

$[(\text{'a item * 'a item})\text{set}, (\text{'a item * 'a item})\text{set}] \implies$
 $(\text{'a item * 'a item})\text{set}$

LListD-Fun r X ==

{*z*. *z* = (*NIL, NIL*) |
 $(\exists M\ N\ a\ b$. *z* = (*CONS a M, CONS b N*) & $(a, b) \in r$ & $(M, N) \in X$)}

LNil :: *'a llist*

— abstract constructor

LNil == *Abs-LList NIL*

LCons :: [*'a*, *'a llist*] => *'a llist*

— abstract constructor

LCons x xs == *Abs-LList(CONS (Leaf x) (Rep-LList xs))*

*l*list-case :: [*'b*, [*'a*, *'a llist*] => *'b*, *'a llist*] => *'b*

*l*list-case *c d l* ==

List-case c (%*x y*. *d* (*inv Leaf x*) (*Abs-LList y*)) (*Rep-LList l*)

LList-corec-fun :: [*nat*, *'a* => (*'b item * 'a*) option, *'a*] => *'b item*

LList-corec-fun k f ==

nat-rec (%*x*. {*l*})

(%*j r x*. case *f x* of *None* => *NIL*

| *Some(z,w)* => *CONS z (r w)*)

k

LList-corec :: [*'a*, *'a* => (*'b item * 'a*) option] => *'b item*

LList-corec a f == $\bigcup k$. *LList-corec-fun k f a*

```

llist-corec    :: ['a, 'a => ('b * 'a) option] => 'b llist
llist-corec a f ==
  Abs-LList(LList-corec a
             (%z. case f z of None    => None
                       | Some(v,w) => Some(Leaf(v), w)))

llistD-Fun :: ('a llist * 'a llist)set => ('a llist * 'a llist)set
llistD-Fun(r) ==
  prod-fun Abs-LList Abs-LList '
    LListD-Fun (diag(range Leaf))
    (prod-fun Rep-LList Rep-LList ' r)

```

The case syntax for type 'a l_{list}

translations

```

case p of LNil => a | LCons x l => b == llist-case a (%x l. b) p

```

11.0.2 Sample function definitions. Item-based ones start with L

constdefs

```

Lmap    :: ('a item => 'b item) => ('a item => 'b item)
Lmap f M == LList-corec M (List-case None (%x M'. Some((f(x), M'))))

```

```

lmap    :: ('a=>'b) => ('a llist => 'b llist)
lmap f l == llist-corec l (%z. case z of LNil => None
                                   | LCons y z => Some(f(y), z))

```

```

iterates :: ['a => 'a, 'a] => 'a llist
iterates f a == llist-corec a (%x. Some((x, f(x))))

```

```

Lconst  :: 'a item => 'a item
Lconst(M) == lfp(%N. CONS M N)

```

```

Lappend :: ['a item, 'a item] => 'a item
Lappend M N == LList-corec (M,N)
  (split(List-case (List-case None (%N1 N2. Some((N1, (NIL,N2))))
                  (%M1 M2 N. Some((M1, (M2,N))))))

```

```

lappend :: ['a llist, 'a llist] => 'a llist
lappend l n == llist-corec (l,n)
  (split(llist-case (llist-case None (%n1 n2. Some((n1, (LNil,n2))))
                  (%l1 l2 n. Some((l1, (l2,n))))))

```

Append generates its result by applying f, where f((NIL,NIL)) = None
f((NIL, CONS N1 N2)) = Some((N1, (NIL,N2)) f((CONS M1 M2, N)) =
Some((M1, (M2,N))

SHOULD LListD-Fun-CONS-I, etc., be equations (for rewriting)?

lemmas UN1-I = UNIV-I [THEN UN-I, standard]

11.0.3 Simplification

declare *option.split* [*split*]

This justifies using *llist* in other recursive type definitions

lemma *llist-mono*: $A \leq B \implies \text{llist}(A) \leq \text{llist}(B)$
<proof>

lemma *llist-unfold*: $\text{llist}(A) = \text{usum } \{\text{Numb}(0)\} (\text{uprod } A (\text{llist } A))$
<proof>

11.1 Type checking by coinduction

... using *list-Fun* THE COINDUCTIVE DEFINITION PACKAGE COULD DO THIS!

lemma *llist-coinduct*:

$[\![M \in X; X \leq \text{list-Fun } A (X \text{ Un } \text{llist}(A)) \!]\!] \implies M \in \text{llist}(A)$
<proof>

lemma *list-Fun-NIL-I* [*iff*]: $\text{NIL} \in \text{list-Fun } A X$
<proof>

lemma *list-Fun-CONS-I* [*intro!,simp*]:

$[\![M \in A; N \in X \!]\!] \implies \text{CONS } M N \in \text{list-Fun } A X$
<proof>

Utilise the “strong” part, i.e. *gfp*(*f*)

lemma *list-Fun-llist-I*: $M \in \text{llist}(A) \implies M \in \text{list-Fun } A (X \text{ Un } \text{llist}(A))$
<proof>

11.2 LList-corec satisfies the desired recursion equation

A continuity result?

lemma *CONS-UN1*: $\text{CONS } M (\bigcup x. f(x)) = (\bigcup x. \text{CONS } M (f x))$
<proof>

lemma *CONS-mono*: $[\![M \leq M'; N \leq N' \!]\!] \implies \text{CONS } M N \leq \text{CONS } M' N'$
<proof>

declare *LList-corec-fun-def* [*THEN def-nat-rec-0, simp*]
LList-corec-fun-def [*THEN def-nat-rec-Suc, simp*]

11.2.1 The directions of the equality are proved separately

lemma *LList-corec-subset1*:
LList-corec a f <=

(*case f a of None => NIL | Some(z,w) => CONS z (LList-corec w f)*)
 <proof>

lemma *LList-corec-subset2*:

(*case f a of None => NIL | Some(z,w) => CONS z (LList-corec w f)*) <=
LList-corec a f
 <proof>

the recursion equation for *LList-corec* – NOT SUITABLE FOR REWRITING!

lemma *LList-corec*:

LList-corec a f =
 (*case f a of None => NIL | Some(z,w) => CONS z (LList-corec w f)*)
 <proof>

definitional version of same

lemma *def-LList-corec*:

[| !!x. h(x) == *LList-corec x f* |]
 ==> h(a) = (*case f a of None => NIL | Some(z,w) => CONS z (h w)*)
 <proof>

A typical use of co-induction to show membership in the *gfp*. Bisimulation is *range(%x. LList-corec x f)*

lemma *LList-corec-type*: *LList-corec a f* ∈ *lList UNIV*

<proof>

11.3 *lList* equality as a *gfp*; the bisimulation principle

This theorem is actually used, unlike the many similar ones in ZF

lemma *LListD-unfold*: *LListD r* = *dsum (diag {Numb 0}) (dprod r (LListD r))*
 <proof>

lemma *LListD-implies-ntrunc-equality* [*rule-format*]:

∀ *M N*. (*M,N*) ∈ *LListD(diag A)* --> *ntrunc k M* = *ntrunc k N*
 <proof>

The domain of the *LListD* relation

lemma *Domain-LListD*:

Domain (LListD(diag A)) <= *lList(A)*
 <proof>

This inclusion justifies the use of coinduction to show *M = N*

lemma *LListD-subset-diag*: *LListD(diag A)* <= *diag(lList(A))*
 <proof>

11.3.1 Coinduction, using *LListD-Fun*

THE COINDUCTIVE DEFINITION PACKAGE COULD DO THIS!

lemma *LListD-Fun-mono*: $A \leq B \implies \text{LListD-Fun } r \ A \leq \text{LListD-Fun } r \ B$
(*proof*)

lemma *LListD-coinduct*:

$\llbracket M \in X; X \leq \text{LListD-Fun } r \ (X \text{ Un } \text{LListD}(r)) \rrbracket \implies M \in \text{LListD}(r)$
(*proof*)

lemma *LListD-Fun-NIL-I*: $(\text{NIL}, \text{NIL}) \in \text{LListD-Fun } r \ s$
(*proof*)

lemma *LListD-Fun-CONS-I*:

$\llbracket x \in A; (M, N) : s \rrbracket \implies (\text{CONS } x \ M, \text{CONS } x \ N) \in \text{LListD-Fun } (\text{diag } A) \ s$
(*proof*)

Utilise the "strong" part, i.e. *gfp*(*f*)

lemma *LListD-Fun-LListD-I*:

$M \in \text{LListD}(r) \implies M \in \text{LListD-Fun } r \ (X \text{ Un } \text{LListD}(r))$
(*proof*)

This converse inclusion helps to strengthen *LList-equalityI*

lemma *diag-subset-LListD*: $\text{diag}(\text{lList}(A)) \leq \text{LListD}(\text{diag } A)$
(*proof*)

lemma *LListD-eq-diag*: $\text{LListD}(\text{diag } A) = \text{diag}(\text{lList}(A))$
(*proof*)

lemma *LListD-Fun-diag-I*: $M \in \text{lList}(A) \implies (M, M) \in \text{LListD-Fun } (\text{diag } A) \ (X \text{ Un } \text{diag}(\text{lList}(A)))$
(*proof*)

11.3.2 To show two LLists are equal, exhibit a bisimulation! [also admits true equality] Replace *A* by some particular set, like $\{x. \text{True}\}$???

lemma *LList-equalityI*:

$\llbracket (M, N) \in r; r \leq \text{LListD-Fun } (\text{diag } A) \ (r \text{ Un } \text{diag}(\text{lList}(A))) \rrbracket \implies M = N$
(*proof*)

11.4 Finality of *lList*(*A*): Uniqueness of functions defined by corecursion

We must remove *Pair-eq* because it may turn an instance of reflexivity (*h1 b, h2 b*) = (*h1 ?x17, h2 ?x17*) into a conjunction! (or strengthen the Solver?)

declare *Pair-eq* [*simp del*]

abstract proof using a bisimulation

lemma *LList-corec-unique*:

$[[\text{!!}x. h1(x) = (\text{case } f \text{ } x \text{ of } \text{None} \Rightarrow \text{NIL} \mid \text{Some}(z,w) \Rightarrow \text{CONS } z (h1 \ w));$
 $\text{!!}x. h2(x) = (\text{case } f \text{ } x \text{ of } \text{None} \Rightarrow \text{NIL} \mid \text{Some}(z,w) \Rightarrow \text{CONS } z (h2 \ w)) \]]$
 $\Rightarrow h1=h2$
 $\langle \text{proof} \rangle$

lemma *equals-LList-corec*:

$[[\text{!!}x. h(x) = (\text{case } f \text{ } x \text{ of } \text{None} \Rightarrow \text{NIL} \mid \text{Some}(z,w) \Rightarrow \text{CONS } z (h \ w)) \]]$
 $\Rightarrow h = (\%x. \text{LList-corec } x \ f)$
 $\langle \text{proof} \rangle$

11.4.1 Obsolete proof of *LList-corec-unique*: complete induction, not coinduction

lemma *ntrunc-one-CONS [simp]*: $\text{ntrunc } (\text{Suc } 0) (\text{CONS } M \ N) = \{\}$
 $\langle \text{proof} \rangle$

lemma *ntrunc-CONS [simp]*:

$\text{ntrunc } (\text{Suc}(\text{Suc}(k))) (\text{CONS } M \ N) = \text{CONS } (\text{ntrunc } k \ M) (\text{ntrunc } k \ N)$
 $\langle \text{proof} \rangle$

lemma

assumes *prem1*:

$\text{!!}x. h1 \ x = (\text{case } f \text{ } x \text{ of } \text{None} \Rightarrow \text{NIL} \mid \text{Some}(z,w) \Rightarrow \text{CONS } z (h1 \ w))$

and *prem2*:

$\text{!!}x. h2 \ x = (\text{case } f \text{ } x \text{ of } \text{None} \Rightarrow \text{NIL} \mid \text{Some}(z,w) \Rightarrow \text{CONS } z (h2 \ w))$

shows $h1=h2$

$\langle \text{proof} \rangle$

11.5 Lconst: defined directly by *lfp*

But it could be defined by corecursion.

lemma *Lconst-fun-mono*: $\text{mono}(\text{CONS}(M))$

$\langle \text{proof} \rangle$

$\text{Lconst}(M) = \text{CONS } M (\text{Lconst } M)$

lemmas $\text{Lconst} = \text{Lconst-fun-mono} \ [\text{THEN } \text{Lconst-def} \ [\text{THEN } \text{def-lfp-unfold}]]$

A typical use of co-induction to show membership in the gfp. The containing set is simply the singleton $\{\text{Lconst}(M)\}$.

lemma *Lconst-type*: $M \in A \Rightarrow \text{Lconst}(M): \text{lList}(A)$

$\langle \text{proof} \rangle$

lemma *Lconst-eq-LList-corec*: $\text{Lconst}(M) = \text{LList-corec } M \ (\%x. \text{Some}(x,x))$

$\langle \text{proof} \rangle$

Thus we could have used `gfp` in the definition of `Lconst`

lemma *gfp-Lconst-eq-LList-corec*: $\text{gfp}(\%N. \text{CONS } M \ N) = \text{LList-corec } M \ (\%x. \text{Some}(x,x))$
 $\langle \text{proof} \rangle$

11.6 Isomorphisms

lemma *LListI*: $x \in \text{llist } (\text{range } \text{Leaf}) \implies x \in \text{LList}$
 $\langle \text{proof} \rangle$

lemma *LListD*: $x \in \text{LList} \implies x \in \text{llist } (\text{range } \text{Leaf})$
 $\langle \text{proof} \rangle$

11.6.1 Distinctness of constructors

lemma *LCons-not-LNil [iff]*: $\sim \text{LCons } x \ xs = \text{LNil}$
 $\langle \text{proof} \rangle$

lemmas *LNil-not-LCons [iff]* = *LCons-not-LNil [THEN not-sym, standard]*

11.6.2 llist constructors

lemma *Rep-LList-LNil*: $\text{Rep-LList } \text{LNil} = \text{NIL}$
 $\langle \text{proof} \rangle$

lemma *Rep-LList-LCons*: $\text{Rep-LList}(\text{LCons } x \ l) = \text{CONS } (\text{Leaf } x) \ (\text{Rep-LList } l)$
 $\langle \text{proof} \rangle$

11.6.3 Injectiveness of CONS and LCons

lemma *CONS-CONS-eq2*: $(\text{CONS } M \ N = \text{CONS } M' \ N') = (M = M' \ \& \ N = N')$
 $\langle \text{proof} \rangle$

lemmas *CONS-inject* = *CONS-CONS-eq [THEN iffD1, THEN conjE, standard]*

For reasoning about abstract `l`list constructors

declare *Rep-LList [THEN LListD, intro] LListI [intro]*
declare *l*list.intros [intro]

lemma *LCons-LCons-eq [iff]*: $(\text{LCons } x \ xs = \text{LCons } y \ ys) = (x = y \ \& \ xs = ys)$
 $\langle \text{proof} \rangle$

lemma *CONS-D2*: $\text{CONS } M \ N \in \text{l$ list(A) $\implies M \in A \ \& \ N \in \text{l$ list(A)
 $\langle \text{proof} \rangle$

11.7 Reasoning about `l`list(A)

A special case of *list-equality* for functions over lazy lists

lemma *LList-fun-equalityI*:

```

[[ M ∈ llist(A); g(NIL): llist(A);
  f(NIL)=g(NIL);
  !!x l. [[ x∈A; l ∈ llist(A) ]] ==>
    (f(CONS x l),g(CONS x l)) ∈
      LListD-Fun (diag A) ((%u.(f(u),g(u))) 'llist(A) Un
        diag(llist(A)))
  ]] ==> f(M) = g(M)
⟨proof⟩

```

11.8 The functional *Lmap*

lemma *Lmap-NIL* [simp]: *Lmap f NIL = NIL*
 ⟨proof⟩

lemma *Lmap-CONS* [simp]: *Lmap f (CONS M N) = CONS (f M) (Lmap f N)*
 ⟨proof⟩

Another type-checking proof by coinduction

lemma *Lmap-type*:
 [[M ∈ llist(A); !!x. x∈A ==> f(x):B]] ==> *Lmap f M ∈ llist(B)*
 ⟨proof⟩

This type checking rule synthesises a sufficiently large set for *f*

lemma *Lmap-type2*: *M ∈ llist(A) ==> Lmap f M ∈ llist(f'A)*
 ⟨proof⟩

11.8.1 Two easy results about *Lmap*

lemma *Lmap-compose*: *M ∈ llist(A) ==> Lmap (f o g) M = Lmap f (Lmap g M)*
 ⟨proof⟩

lemma *Lmap-ident*: *M ∈ llist(A) ==> Lmap (%x. x) M = M*
 ⟨proof⟩

11.9 *Lappend* – its two arguments cause some complications!

lemma *Lappend-NIL-NIL* [simp]: *Lappend NIL NIL = NIL*
 ⟨proof⟩

lemma *Lappend-NIL-CONS* [simp]:
Lappend NIL (CONS N N') = CONS N (Lappend NIL N')
 ⟨proof⟩

lemma *Lappend-CONS* [simp]:
Lappend (CONS M M') N = CONS M (Lappend M' N)
 ⟨proof⟩

declare *llist.intros* [simp] *LListD-Fun-CONS-I* [simp]

range-eqI [simp] *image-eqI* [simp]

lemma *Lappend-NIL* [simp]: $M \in \text{llist}(A) \implies \text{Lappend NIL } M = M$
(proof)

lemma *Lappend-NIL2*: $M \in \text{llist}(A) \implies \text{Lappend } M \text{ NIL} = M$
(proof)

11.9.1 Alternative type-checking proofs for *Lappend*

weak co-induction: bisimulation and case analysis on both variables

lemma *Lappend-type*: $[[M \in \text{llist}(A); N \in \text{llist}(A)]] \implies \text{Lappend } M \ N \in \text{llist}(A)$
(proof)

strong co-induction: bisimulation and case analysis on one variable

lemma *Lappend-type'*: $[[M \in \text{llist}(A); N \in \text{llist}(A)]] \implies \text{Lappend } M \ N \in \text{llist}(A)$
(proof)

11.10 Lazy lists as the type *'a llist* – strongly typed versions of above

11.10.1 *llist-case*: case analysis for *'a llist*

declare *LListI* [THEN *Abs-LList-inverse*, simp]

declare *Rep-LList-inverse* [simp]

declare *Rep-LList* [THEN *LListD*, simp]

declare *rangeI* [simp] *inj-Leaf* [simp]

lemma *llist-case-LNil* [simp]: *llist-case* $c \ d \ \text{LNil} = c$
(proof)

lemma *llist-case-LCons* [simp]:
 $\text{llist-case } c \ d \ (\text{LCons } M \ N) = d \ M \ N$
(proof)

Elimination is case analysis, not induction.

lemma *llistE*: $[[l = \text{LNil} \implies P; !!x \ l'. l = \text{LCons } x \ l' \implies P]] \implies P$
(proof)

11.10.2 *llist-corec*: corecursion for *'a llist*

Lemma for the proof of *llist-corec*

lemma *LList-corec-type2*:

LList-corec a
(%z. case $f \ z$ of $\text{None} \implies \text{None} \mid \text{Some}(v,w) \implies \text{Some}(\text{Leaf}(v),w)$)
 $\in \text{llist}(\text{range } \text{Leaf})$)

<proof>

lemma *llist-corec*:

llist-corec a f =

(case f a of None => LNil | Some(z,w) => LCons z (llist-corec w f))

<proof>

definitional version of same

lemma *def-llist-corec*:

[| !!x. h(x) == llist-corec x f |] ==>

h(a) = (case f a of None => LNil | Some(z,w) => LCons z (h w))

<proof>

11.11 Proofs about type 'a llist functions

11.12 Deriving *llist-equalityI* – *llist* equality is a bisimulation

lemma *LListD-Fun-subset-Times-llist*:

r <= (llist A) <> (llist A)*

==> LListD-Fun (diag A) r <= (llist A) <> (llist A)*

<proof>

lemma *subset-Times-llist*:

prod-fun Rep-LList Rep-LList ' r <=

(llist(range Leaf)) <> (llist(range Leaf))*

<proof>

lemma *prod-fun-lemma*:

r <= (llist(range Leaf)) <> (llist(range Leaf))*

==> prod-fun (Rep-LList o Abs-LList) (Rep-LList o Abs-LList) ' r <= r

<proof>

lemma *prod-fun-range-eq-diag*:

prod-fun Rep-LList Rep-LList ' range(%x. (x, x)) =

diag(llist(range Leaf))

<proof>

Used with *lfilter*

lemma *llistD-Fun-mono*:

A <= B ==> llistD-Fun A <= llistD-Fun B

<proof>

11.12.1 To show two llists are equal, exhibit a bisimulation! [also admits true equality]

lemma *llist-equalityI*:

[| (l1,l2) ∈ r; r <= llistD-Fun(r Un range(%x.(x,x))) |] ==> l1=l2

<proof>

11.12.2 Rules to prove the 2nd premise of *llist-equalityI*

lemma *llistD-Fun-LNil-I* [simp]: $(LNil, LNil) \in \text{llistD-Fun}(r)$
<proof>

lemma *llistD-Fun-LCons-I* [simp]:
 $(l1, l2):r \implies (LCons\ x\ l1, LCons\ x\ l2) \in \text{llistD-Fun}(r)$
<proof>

Utilise the "strong" part, i.e. $\text{gfp}(f)$

lemma *llistD-Fun-range-I*: $(l, l) \in \text{llistD-Fun}(r\ \text{Un}\ \text{range}(\%x.(x, x)))$
<proof>

A special case of *llist-equality* for functions over lazy lists

lemma *llist-fun-equalityI*:
[[$f(LNil) = g(LNil)$;
!! $x\ l. (f(LCons\ x\ l), g(LCons\ x\ l))$
 $\in \text{llistD-Fun}(\text{range}(\%u. (f(u), g(u)))\ \text{Un}\ \text{range}(\%v. (v, v)))$
]] $\implies f(l) = (g(l :: 'a\ \text{llist}) :: 'b\ \text{llist})$
<proof>

11.13 The functional *lmap*

lemma *lmap-LNil* [simp]: $\text{lmap}\ f\ LNil = LNil$
<proof>

lemma *lmap-LCons* [simp]: $\text{lmap}\ f\ (LCons\ M\ N) = LCons\ (f\ M)\ (\text{lmap}\ f\ N)$
<proof>

11.13.1 Two easy results about *lmap*

lemma *lmap-compose* [simp]: $\text{lmap}\ (f\ o\ g)\ l = \text{lmap}\ f\ (\text{lmap}\ g\ l)$
<proof>

lemma *lmap-ident* [simp]: $\text{lmap}\ (\%x. x)\ l = l$
<proof>

11.14 iterates – *llist-fun-equalityI* cannot be used!

lemma *iterates*: $\text{iterates}\ f\ x = LCons\ x\ (\text{iterates}\ f\ (f\ x))$
<proof>

lemma *lmap-iterates* [simp]: $\text{lmap}\ f\ (\text{iterates}\ f\ x) = \text{iterates}\ f\ (f\ x)$
<proof>

lemma *iterates-lmap*: $\text{iterates}\ f\ x = LCons\ x\ (\text{lmap}\ f\ (\text{iterates}\ f\ x))$
<proof>

11.15 A rather complex proof about iterates – cf Andy Pitts

11.15.1 Two lemmas about $\text{natrec } n \ x \ (\%m. g)$, which is essentially $(g \hat{\ }^n)(x)$

lemma *fun-power-lmap*: $\text{nat-rec } (LCons \ b \ l) \ (\%m. \text{lmap}(f)) \ n =$
 $LCons \ (\text{nat-rec } b \ (\%m. f) \ n) \ (\text{nat-rec } l \ (\%m. \text{lmap}(f)) \ n)$
<proof>

lemma *fun-power-Suc*: $\text{nat-rec } (g \ x) \ (\%m. g) \ n = \text{nat-rec } x \ (\%m. g) \ (Suc \ n)$
<proof>

lemmas *Pair-cong = refl* [*THEN cong, THEN cong, of concl: Pair*]

The bisimulation consists of $\{(lmap(f) \hat{\ }^n (h(u)), lmap(f) \hat{\ }^n (\text{iterates}(f,u)))\}$
for all u and all $n::\text{nat}$.

lemma *iterates-equality*:
 $(!!x. h(x) = LCons \ x \ (\text{lmap } f \ (h \ x))) ==> h = \text{iterates}(f)$
<proof>

11.16 *lappend* – its two arguments cause some complications!

lemma *lappend-LNil-LNil* [*simp*]: $\text{lappend } LNil \ LNil = LNil$
<proof>

lemma *lappend-LNil-LCons* [*simp*]:
 $\text{lappend } LNil \ (LCons \ l \ l') = LCons \ l \ (\text{lappend } LNil \ l')$
<proof>

lemma *lappend-LCons* [*simp*]:
 $\text{lappend } (LCons \ l \ l') \ N = LCons \ l \ (\text{lappend } l' \ N)$
<proof>

lemma *lappend-LNil* [*simp*]: $\text{lappend } LNil \ l = l$
<proof>

lemma *lappend-LNil2* [*simp*]: $\text{lappend } l \ LNil = l$
<proof>

The infinite first argument blocks the second

lemma *lappend-iterates* [*simp*]: $\text{lappend } (\text{iterates } f \ x) \ N = \text{iterates } f \ x$
<proof>

11.16.1 Two proofs that *lmap* distributes over *lappend*

Long proof requiring case analysis on both both arguments

lemma *lmap-lappend-distrib*:
 $\text{lmap } f \ (\text{lappend } l \ n) = \text{lappend } (\text{lmap } f \ l) \ (\text{lmap } f \ n)$
<proof>

Shorter proof of theorem above using *lList-equalityI* as strong coinduction

lemma *lmap-lappend-distrib'*:

$$lmap\ f\ (lappend\ l\ n) = lappend\ (lmap\ f\ l)\ (lmap\ f\ n)$$

<proof>

Without strong coinduction, three case analyses might be needed

lemma *lappend-assoc'*: $lappend\ (lappend\ l1\ l2)\ l3 = lappend\ l1\ (lappend\ l2\ l3)$

<proof>

end

12 The "filter" functional for coinductive lists – defined by a combination of induction and coinduction

theory *LFilter* **imports** *LList* **begin**

consts

$$findRel \quad :: ('a \Rightarrow bool) \Rightarrow ('a\ llist * 'a\ llist)set$$

inductive *findRel* *p*

intros

$$found: \quad p\ x \Rightarrow (LCons\ x\ l, LCons\ x\ l) \in findRel\ p$$

$$seek: \quad [| \sim_p\ x; (l, l') \in findRel\ p |] \Rightarrow (LCons\ x\ l, l') \in findRel\ p$$

declare *findRel.intros* [*intro*]

constdefs

$$find \quad :: ['a \Rightarrow bool, 'a\ llist] \Rightarrow 'a\ llist$$

$$find\ p\ l == @l'. (l, l'): findRel\ p \mid (l' = LNil \ \& \ l \sim: Domain(findRel\ p))$$

$$lfilter \quad :: ['a \Rightarrow bool, 'a\ llist] \Rightarrow 'a\ llist$$

$$lfilter\ p\ l == llist-corec\ l\ (\%l. case\ find\ p\ l\ of$$

$$LNil \Rightarrow None$$

$$\mid LCons\ y\ z \Rightarrow Some(y, z))$$

12.1 *findRel*: basic laws

inductive-cases

$$findRel-LConsE\ [elim!]: (LCons\ x\ l, l'') \in findRel\ p$$

lemma *findRel-functional* [*rule-format*]:

$$(l, l'): findRel\ p \Rightarrow (l, l''): findRel\ p \dashrightarrow l'' = l'$$

<proof>

lemma *findRel-imp-LCons* [*rule-format*]:
 $(l,l'): \text{findRel } p \implies \exists x l''. l' = \text{LCons } x l'' \ \& \ p \ x$
 ⟨*proof*⟩

lemma *findRel-LNil* [*elim!*]: $(\text{LNil},l): \text{findRel } p \implies R$
 ⟨*proof*⟩

12.2 Properties of *Domain* (*findRel* *p*)

lemma *LCons-Domain-findRel* [*simp*]:
 $\text{LCons } x \ l \in \text{Domain}(\text{findRel } p) = (p \ x \mid l \in \text{Domain}(\text{findRel } p))$
 ⟨*proof*⟩

lemma *Domain-findRel-iff*:
 $(l \in \text{Domain}(\text{findRel } p)) = (\exists x l'. (l, \text{LCons } x \ l') \in \text{findRel } p \ \& \ p \ x)$
 ⟨*proof*⟩

lemma *Domain-findRel-mono*:
 $([\![x. p \ x \implies q \ x]\!] \implies \text{Domain}(\text{findRel } p) \leq \text{Domain}(\text{findRel } q))$
 ⟨*proof*⟩

12.3 *find*: basic equations

lemma *find-LNil* [*simp*]: $\text{find } p \ \text{LNil} = \text{LNil}$
 ⟨*proof*⟩

lemma *findRel-imp-find* [*simp*]: $(l,l') \in \text{findRel } p \implies \text{find } p \ l = l'$
 ⟨*proof*⟩

lemma *find-LCons-found*: $p \ x \implies \text{find } p \ (\text{LCons } x \ l) = \text{LCons } x \ l$
 ⟨*proof*⟩

lemma *diverge-find-LNil* [*simp*]: $l \sim: \text{Domain}(\text{findRel } p) \implies \text{find } p \ l = \text{LNil}$
 ⟨*proof*⟩

lemma *find-LCons-seek*: $\sim (p \ x) \implies \text{find } p \ (\text{LCons } x \ l) = \text{find } p \ l$
 ⟨*proof*⟩

lemma *find-LCons* [*simp*]:
 $\text{find } p \ (\text{LCons } x \ l) = (\text{if } p \ x \ \text{then } \text{LCons } x \ l \ \text{else } \text{find } p \ l)$
 ⟨*proof*⟩

12.4 *lfilter*: basic equations

lemma *lfilter-LNil* [*simp*]: $\text{lfilter } p \ \text{LNil} = \text{LNil}$
 ⟨*proof*⟩

lemma *diverge-lfilter-LNil* [*simp*]:
 $l \sim: \text{Domain}(\text{findRel } p) \implies \text{lfilter } p \ l = \text{LNil}$
 ⟨*proof*⟩

lemma *lfilter-LCons-found*:

$p\ x \implies \text{lfilter } p\ (LCons\ x\ l) = LCons\ x\ (\text{lfilter } p\ l)$
<proof>

lemma *findRel-imp-lfilter* [simp]:

$(l, LCons\ x\ l') \in \text{findRel } p \implies \text{lfilter } p\ l = LCons\ x\ (\text{lfilter } p\ l')$
<proof>

lemma *lfilter-LCons-seek*: $\sim (p\ x) \implies \text{lfilter } p\ (LCons\ x\ l) = \text{lfilter } p\ l$

<proof>

lemma *lfilter-LCons* [simp]:

$\text{lfilter } p\ (LCons\ x\ l) =$
 $(\text{if } p\ x \text{ then } LCons\ x\ (\text{lfilter } p\ l) \text{ else } \text{lfilter } p\ l)$
<proof>

declare *lListD-Fun-LNil-I* [intro!] *lListD-Fun-LCons-I* [intro!]

lemma *lfilter-eq-LNil*: $\text{lfilter } p\ l = LNil \implies l \sim: \text{Domain}(\text{findRel } p)$

<proof>

lemma *lfilter-eq-LCons* [rule-format]:

$\text{lfilter } p\ l = LCons\ x\ l' \implies$
 $(\exists l''. l' = \text{lfilter } p\ l'' \ \& \ (l, LCons\ x\ l'') \in \text{findRel } p)$
<proof>

lemma *lfilter-cases*: $\text{lfilter } p\ l = LNil \mid$

$(\exists y\ l'. \text{lfilter } p\ l = LCons\ y\ (\text{lfilter } p\ l') \ \& \ p\ y)$
<proof>

12.5 *lfilter*: simple facts by coinduction

lemma *lfilter-K-True*: $\text{lfilter } (\%x. \text{True})\ l = l$

<proof>

lemma *lfilter-idem*: $\text{lfilter } p\ (\text{lfilter } p\ l) = \text{lfilter } p\ l$

<proof>

12.6 Numerous lemmas required to prove *lfilter-conj*

lemma *findRel-conj-lemma* [rule-format]:

$(l, l') \in \text{findRel } q$
 $\implies l' = LCons\ x\ l'' \implies p\ x \implies (l, l') \in \text{findRel } (\%x. p\ x \ \& \ q\ x)$
<proof>

lemmas *findRel-conj* = *findRel-conj-lemma* [OF - refl]

lemma *findRel-not-conj-Domain* [rule-format]:

$$\begin{aligned} & (l, l') \in \text{findRel } (\%x. p \ x \ \& \ q \ x) \\ \implies & (l, \text{LCons } x \ l') \in \text{findRel } q \ \dashrightarrow \sim p \ x \ \dashrightarrow \\ & l' \in \text{Domain } (\text{findRel } (\%x. p \ x \ \& \ q \ x)) \end{aligned}$$

$\langle \text{proof} \rangle$

lemma *findRel-conj2* [rule-format]:

$$\begin{aligned} & (l, \text{LCons } x \ lx) \in \text{findRel } q \\ \implies & \text{LCons } x \ lx = \text{LCons } x \ lx \ \dashrightarrow (lx, lz) \in \text{findRel } (\%x. p \ x \ \& \ q \ x) \ \dashrightarrow \sim p \ x \\ & \dashrightarrow (l, lz) \in \text{findRel } (\%x. p \ x \ \& \ q \ x) \end{aligned}$$

$\langle \text{proof} \rangle$

lemma *findRel-lfilter-Domain-conj* [rule-format]:

$$\begin{aligned} & (lx, ly) \in \text{findRel } p \\ \implies & \forall l. \text{LCons } lx \ l = \text{lfilter } q \ l \ \dashrightarrow l \in \text{Domain } (\text{findRel } (\%x. p \ x \ \& \ q \ x)) \end{aligned}$$

$\langle \text{proof} \rangle$

lemma *findRel-conj-lfilter* [rule-format]:

$$\begin{aligned} & (l, l') \in \text{findRel } (\%x. p \ x \ \& \ q \ x) \\ \implies & l' = \text{LCons } y \ l' \ \dashrightarrow \\ & (\text{lfilter } q \ l, \text{LCons } y \ (\text{lfilter } q \ l')) \in \text{findRel } p \end{aligned}$$

$\langle \text{proof} \rangle$

lemma *lfilter-conj-lemma*:

$$\begin{aligned} & (\text{lfilter } p \ (\text{lfilter } q \ l), \text{lfilter } (\%x. p \ x \ \& \ q \ x) \ l) \\ & \in \text{listD-Fun } (\text{range } (\%u. (\text{lfilter } p \ (\text{lfilter } q \ u), \\ & \quad \text{lfilter } (\%x. p \ x \ \& \ q \ x) \ u))) \end{aligned}$$

$\langle \text{proof} \rangle$

lemma *lfilter-conj*: $\text{lfilter } p \ (\text{lfilter } q \ l) = \text{lfilter } (\%x. p \ x \ \& \ q \ x) \ l$

$\langle \text{proof} \rangle$

12.7 Numerous lemmas required to prove ??: $\text{lfilter } p \ (\text{lmap } f \ l) = \text{lmap } f \ (\text{lfilter } (\%x. p \ (f \ x)) \ l)$

lemma *findRel-lmap-Domain*:

$$(l, l') \in \text{findRel } (\%x. p \ (f \ x)) \implies \text{lmap } f \ l \in \text{Domain}(\text{findRel } p)$$

$\langle \text{proof} \rangle$

lemma *lmap-eq-LCons* [rule-format]: $\text{lmap } f \ l = \text{LCons } x \ l' \ \dashrightarrow$

$$(\exists y \ l''. x = f \ y \ \& \ l' = \text{lmap } f \ l'' \ \& \ l = \text{LCons } y \ l'')$$

$\langle \text{proof} \rangle$

lemma *lmap-LCons-findRel-lemma* [rule-format]:

$$\begin{aligned} & (lx, ly) \in \text{findRel } p \\ \implies & \forall l. \text{lmap } f \ l = lx \ \dashrightarrow ly = \text{LCons } x \ l' \ \dashrightarrow \end{aligned}$$

$(\exists y l''. x = f y \ \& \ l' = \text{lmap } f \ l'' \ \&$
 $(l, \text{LCons } y \ l'') \in \text{findRel}(\%x. p(f x))$)
<proof>

lemmas *lmap-LCons-findRel = lmap-LCons-findRel-lemma* [OF - refl refl]

lemma *lfilter-lmap: lfilter p (lmap f l) = lmap f (lfilter (p o f) l)*
<proof>

end