

Examples of Inductive and Coinductive Definitions in HOL

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Abstract

This is a collection of small examples to demonstrate Isabelle/HOL's (co)inductive definitions package. Large examples appear on many other sessions, such as Lambda, IMP, and Auth.

Contents

1	The Mutilated Chess Board Problem	5
2	Defining an Initial Algebra by Quotienting a Free Algebra	7
2.1	Defining the Free Algebra	7
2.2	Some Functions on the Free Algebra	8
2.2.1	The Set of Nonces	8
2.2.2	The Left Projection	9
2.2.3	The Right Projection	9
2.2.4	The Discriminator for Constructors	9
2.3	The Initial Algebra: A Quotiented Message Type	10
2.3.1	Characteristic Equations for the Abstract Constructors	11
2.4	The Abstract Function to Return the Set of Nonces	12
2.5	The Abstract Function to Return the Left Part	12
2.6	The Abstract Function to Return the Right Part	13
2.7	Injectivity Properties of Some Constructors	13
2.8	The Abstract Discriminator	15
3	Quotienting a Free Algebra Involving Nested Recursion	16
3.1	Defining the Free Algebra	16
3.2	Some Functions on the Free Algebra	18
3.2.1	The Set of Variables	18
3.2.2	Functions for Freeness	18

3.3	The Initial Algebra: A Quotiented Message Type	19
3.4	Every list of abstract expressions can be expressed in terms of a list of concrete expressions	20
3.4.1	Characteristic Equations for the Abstract Constructors	21
3.5	The Abstract Function to Return the Set of Variables	22
3.6	Injectivity Properties of Some Constructors	22
3.7	Injectivity of <i>FnCall</i>	23
3.8	The Abstract Discriminator	24
4	Terms over a given alphabet	25
5	Arithmetic and boolean expressions	26
6	Infinitely branching trees	27
6.1	The Brouwer ordinals, as in ZF/Induct/Brouwer.thy.	28
6.2	A WF Ordering for The Brouwer ordinals (Michael Compton)	29
7	Ordinals	30
8	Sigma algebras	31
9	Combinatory Logic example: the Church-Rosser Theorem	32
9.1	Definitions	32
9.2	Reflexive/Transitive closure preserves Church-Rosser property	33
9.3	Non-contraction results	34
9.4	Results about Parallel Contraction	35
9.5	Basic properties of parallel contraction	35
10	Meta-theory of propositional logic	36
10.1	The datatype of propositions	36
10.2	The proof system	36
10.3	The semantics	37
10.3.1	Semantics of propositional logic.	37
10.3.2	Logical consequence	37
10.4	Proof theory of propositional logic	37
10.4.1	Weakening, left and right	37
10.4.2	The deduction theorem	38
10.4.3	The cut rule	38
10.4.4	Soundness of the rules wrt truth-table semantics	38
10.5	Completeness	38
10.5.1	Towards the completeness proof	38
10.6	Completeness – lemmas for reducing the set of assumptions	39
10.6.1	Completeness theorem	40

11 Definition of type llist by a greatest fixed point	63
11.0.2 Sample function definitions. Item-based ones start with L	65
11.0.3 Simplification	65
11.1 Type checking by coinduction	66
11.2 $LList\text{-}corec$ satisfies the desired recursion equation	66
11.2.1 The directions of the equality are proved separately	67
11.3 $l\text{list}$ equality as a gfp ; the bisimulation principle	67
11.3.1 Coinduction, using $LListD\text{-}Fun$	68
11.3.2 To show two LLists are equal, exhibit a bisimulation! [also admits true equality] Replace A by some particular set, like $\{x. True\}$???	69
11.4 Finality of $l\text{list}(A)$: Uniqueness of functions defined by corecursion	70
11.4.1 Obsolete proof of $LList\text{-}corec\text{-}unique$: complete induction, not coinduction	70
11.5 $Lconst$: defined directly by lfp	71
11.6 Isomorphisms	71
11.6.1 Distinctness of constructors	72
11.6.2 $l\text{list}$ constructors	72
11.6.3 Injectiveness of $CONS$ and $LCons$	72
11.7 Reasoning about $l\text{list}(A)$	72
11.8 The functional $Lmap$	73
11.8.1 Two easy results about $Lmap$	73
11.9 $Lappend$ – its two arguments cause some complications!	74
11.9.1 Alternative type-checking proofs for $Lappend$	74
11.10 Lazy lists as the type $'a\ l\text{list}$ – strongly typed versions of above	75
11.10.1 $l\text{list}\text{-}case$: case analysis for $'a\ l\text{list}$	75
11.10.2 $l\text{list}\text{-}corec$: corecursion for $'a\ l\text{list}$	75
11.11 Proofs about type $'a\ l\text{list}$ functions	76
11.12 Deriving $l\text{list}\text{-}equalityI$ – $l\text{list}$ equality is a bisimulation	76
11.12.1 To show two llists are equal, exhibit a bisimulation! [also admits true equality]	77
11.12.2 Rules to prove the 2nd premise of $l\text{list}\text{-}equalityI$	77
11.13 The functional $lmap$	78
11.13.1 Two easy results about $lmap$	78
11.14 iterates – $l\text{list}\text{-}fun\text{-}equalityI$ cannot be used!	78
11.15 A rather complex proof about iterates – cf Andy Pitts	78
11.15.1 Two lemmas about $natrec\ n\ x\ (\%m. g)$, which is essentially $(g\ \hat{=}\ n)(x)$	78
11.16 $lappend$ – its two arguments cause some complications!	79
11.16.1 Two proofs that $lmap$ distributes over $lappend$	80

12 The "filter" functional for coinductive lists –defined by a combination of induction and coinduction	81
12.1 <i>findRel</i> : basic laws	81
12.2 Properties of <i>Domain (findRel p)</i>	81
12.3 <i>find</i> : basic equations	82
12.4 <i>lfilter</i> : basic equations	82
12.5 <i>lfilter</i> : simple facts by coinduction	83
12.6 Numerous lemmas required to prove <i>lfilter-conj</i>	84
12.7 Numerous lemmas required to prove ??: <i>lfilter p (lmap f l) = lmap f (lfilter (%x. p(f x)) l)</i>	85

1 The Mutilated Chess Board Problem

theory *Mutil* **imports** *Main* **begin**

The Mutilated Chess Board Problem, formalized inductively.

Originator is Max Black, according to J A Robinson. Popularized as the Mutilated Checkerboard Problem by J McCarthy.

consts *tiling* :: 'a set set => 'a set set

inductive *tiling* *A*

intros

empty [*simp*, *intro*]: $\{\} \in \textit{tiling } A$

Un [*simp*, *intro*]: $\llbracket a \in A; t \in \textit{tiling } A; a \cap t = \{\} \rrbracket$
 $\implies a \cup t \in \textit{tiling } A$

consts *domino* :: (nat × nat) set set

inductive *domino*

intros

horiz [*simp*]: $\{(i, j), (i, \textit{Suc } j)\} \in \textit{domino}$

vertl [*simp*]: $\{(i, j), (\textit{Suc } i, j)\} \in \textit{domino}$

Sets of squares of the given colour

constdefs

coloured :: nat => (nat × nat) set

coloured *b* == $\{(i, j). (i + j) \bmod 2 = b\}$

syntax *whites* :: (nat × nat) set

blacks :: (nat × nat) set

translations

whites == *coloured* 0

blacks == *coloured* (*Suc* 0)

The union of two disjoint tilings is a tiling

lemma *tiling-UnI* [*intro*]:

$\llbracket t \in \textit{tiling } A; u \in \textit{tiling } A; t \cap u = \{\} \rrbracket \implies t \cup u \in \textit{tiling } A$

apply (*induct set: tiling*)

apply (*auto simp add: Un-assoc*)

done

Chess boards

lemma *Sigma-Suc1* [*simp*]:

$\textit{lessThan } (\textit{Suc } n) \times B = (\{n\} \times B) \cup ((\textit{lessThan } n) \times B)$

by (*auto simp add: lessThan-def*)

lemma *Sigma-Suc2* [*simp*]:

$A \times \textit{lessThan } (\textit{Suc } n) = (A \times \{n\}) \cup (A \times (\textit{lessThan } n))$

by (*auto simp add: lessThan-def*)

lemma *sing-Times-lemma*: $(\{i\} \times \{n\}) \cup (\{i\} \times \{m\}) = \{(i, m), (i, n)\}$
by *auto*

lemma *dominoes-tile-row* [*intro!*]: $\{i\} \times \text{lessThan } (2 * n) \in \text{tiling domino}$
apply (*induct n*)
apply (*simp-all add: Un-assoc [symmetric]*)
apply (*rule tiling.Un*)
apply (*auto simp add: sing-Times-lemma*)
done

lemma *dominoes-tile-matrix*: $(\text{lessThan } m) \times \text{lessThan } (2 * n) \in \text{tiling domino}$
by (*induct m, auto*)

coloured and Dominoes

lemma *coloured-insert* [*simp*]:
 $\text{coloured } b \cap (\text{insert } (i, j) t) =$
(if $(i + j) \bmod 2 = b$ *then* $\text{insert } (i, j) (\text{coloured } b \cap t)$
else $\text{coloured } b \cap t$
by (*auto simp add: coloured-def*)

lemma *domino-singletons*:
 $d \in \text{domino} \implies$
 $(\exists i j. \text{whites} \cap d = \{(i, j)\}) \wedge$
 $(\exists m n. \text{blacks} \cap d = \{(m, n)\})$
apply (*erule domino.cases*)
apply (*auto simp add: mod-Suc*)
done

lemma *domino-finite* [*simp*]: $d \in \text{domino} \implies \text{finite } d$
by (*erule domino.cases, auto*)

Tilings of dominoes

lemma *tiling-domino-finite* [*simp*]: $t \in \text{tiling domino} \implies \text{finite } t$
by (*induct set: tiling, auto*)

declare

Int-Un-distrib [*simp*]
Diff-Int-distrib [*simp*]

lemma *tiling-domino-0-1*:
 $t \in \text{tiling domino} \implies \text{card}(\text{whites} \cap t) = \text{card}(\text{blacks} \cap t)$
apply (*induct set: tiling*)
apply (*drule-tac [2] domino-singletons*)
apply *auto*
apply (*subgoal-tac* $\forall p C. C \cap a = \{p\} \implies p \notin t$)
— this lemma tells us that both “inserts” are non-trivial
apply (*simp (no-asm-simp)*)

```

apply blast
done

```

Final argument is surprisingly complex

```

theorem gen-mutil-not-tiling:
   $t \in \text{tiling\_domino} \implies$ 
   $(i + j) \bmod 2 = 0 \implies (m + n) \bmod 2 = 0 \implies$ 
   $\{(i, j), (m, n)\} \subseteq t$ 
   $\implies (t - \{(i, j)\} - \{(m, n)\}) \notin \text{tiling\_domino}$ 
apply (rule notI)
apply (subgoal-tac)
   $\text{card } (\text{whites} \cap (t - \{(i, j)\} - \{(m, n)\})) <$ 
   $\text{card } (\text{blacks} \cap (t - \{(i, j)\} - \{(m, n)\}))$ 
apply (force simp only: tiling-domino-0-1)
apply (simp add: tiling-domino-0-1 [symmetric])
apply (simp add: coloured-def card-Diff2-less)
done

```

Apply the general theorem to the well-known case

```

theorem mutil-not-tiling:
   $t = \text{lessThan } (2 * \text{Suc } m) \times \text{lessThan } (2 * \text{Suc } n)$ 
   $\implies t - \{(0, 0)\} - \{(\text{Suc } (2 * m), \text{Suc } (2 * n))\} \notin \text{tiling\_domino}$ 
apply (rule gen-mutil-not-tiling)
apply (blast intro!: dominoes-tile-matrix)
apply auto
done

```

end

2 Defining an Initial Algebra by Quotienting a Free Algebra

```

theory QuoDataType imports Main begin

```

2.1 Defining the Free Algebra

Messages with encryption and decryption as free constructors.

```

datatype
  freemsg = NONCE nat
           | MPAIR freemsg freemsg
           | CRYPT nat freemsg
           | DECRYPT nat freemsg

```

The equivalence relation, which makes encryption and decryption inverses provided the keys are the same.

```

consts msgrel :: (freemsg * freemsg) set

syntax
  -msgrel :: [freemsg, freemsg] => bool (infixl ~~ 50)
syntax (xsymbols)
  -msgrel :: [freemsg, freemsg] => bool (infixl ~ 50)
syntax (HTML output)
  -msgrel :: [freemsg, freemsg] => bool (infixl ~ 50)
translations
  X ~ Y == (X, Y) ∈ msgrel

```

The first two rules are the desired equations. The next four rules make the equations applicable to subterms. The last two rules are symmetry and transitivity.

```

inductive msgrel
intros
  CD: CRYPT K (DECRYPT K X) ~ X
  DC: DECRYPT K (CRYPT K X) ~ X
  NONCE: NONCE N ~ NONCE N
  MPAIR: [[X ~ X'; Y ~ Y']] ==> MPAIR X Y ~ MPAIR X' Y'
  CRYPT: X ~ X' ==> CRYPT K X ~ CRYPT K X'
  DECRYPT: X ~ X' ==> DECRYPT K X ~ DECRYPT K X'
  SYM: X ~ Y ==> Y ~ X
  TRANS: [[X ~ Y; Y ~ Z]] ==> X ~ Z

```

Proving that it is an equivalence relation

```

lemma msgrel-refl: X ~ X
by (induct X, (blast intro: msgrel.intros)+)

theorem equiv-msgrel: equiv UNIV msgrel
proof (simp add: equiv-def, intro conjI)
  show reflexive msgrel by (simp add: refl-def msgrel-refl)
  show sym msgrel by (simp add: sym-def, blast intro: msgrel.SYM)
  show trans msgrel by (simp add: trans-def, blast intro: msgrel.TRANS)
qed

```

2.2 Some Functions on the Free Algebra

2.2.1 The Set of Nonces

A function to return the set of nonces present in a message. It will be lifted to the initial algebra, to serve as an example of that process.

```

consts
  freenonces :: freemsg => nat set

primrec
  freenonces (NONCE N) = {N}
  freenonces (MPAIR X Y) = freenonces X ∪ freenonces Y

```

$freenonces (CRYPT K X) = freenonces X$
 $freenonces (DECRYPT K X) = freenonces X$

This theorem lets us prove that the nonces function respects the equivalence relation. It also helps us prove that Nonce (the abstract constructor) is injective

theorem *msgrel-imp-eq-freenonces*: $U \sim V \implies freenonces U = freenonces V$
by (*erule msgrel.induct, auto*)

2.2.2 The Left Projection

A function to return the left part of the top pair in a message. It will be lifted to the initial algebra, to serve as an example of that process.

consts *freeleft* :: *freemsg* \Rightarrow *freemsg*
primrec
 $freeleft (NONCE N) = NONCE N$
 $freeleft (MPAIR X Y) = X$
 $freeleft (CRYPT K X) = freeleft X$
 $freeleft (DECRYPT K X) = freeleft X$

This theorem lets us prove that the left function respects the equivalence relation. It also helps us prove that MPair (the abstract constructor) is injective

theorem *msgrel-imp-eq-freeleft*:
 $U \sim V \implies freeleft U \sim freeleft V$
by (*erule msgrel.induct, auto intro: msgrel.intros*)

2.2.3 The Right Projection

A function to return the right part of the top pair in a message.

consts *freeright* :: *freemsg* \Rightarrow *freemsg*
primrec
 $freeright (NONCE N) = NONCE N$
 $freeright (MPAIR X Y) = Y$
 $freeright (CRYPT K X) = freeright X$
 $freeright (DECRYPT K X) = freeright X$

This theorem lets us prove that the right function respects the equivalence relation. It also helps us prove that MPair (the abstract constructor) is injective

theorem *msgrel-imp-eq-freeright*:
 $U \sim V \implies freeright U \sim freeright V$
by (*erule msgrel.induct, auto intro: msgrel.intros*)

2.2.4 The Discriminator for Constructors

A function to distinguish nonces, mpairs and encryptions

consts *freediscrim* :: *freemsg* \Rightarrow *int*

primrec

freediscrim (*NONCE* *N*) = 0

freediscrim (*MPAIR* *X* *Y*) = 1

freediscrim (*CRYPT* *K* *X*) = *freediscrim* *X* + 2

freediscrim (*DECRYPT* *K* *X*) = *freediscrim* *X* - 2

This theorem helps us prove *Nonce* *N* \neq *MPair* *X* *Y*

theorem *msgrel-imp-eq-freediscrim*:

$U \sim V \Longrightarrow \text{freediscrim } U = \text{freediscrim } V$

by (*erule* *msgrel.induct*, *auto*)

2.3 The Initial Algebra: A Quotiented Message Type

typedef (*Msg*) *msg* = *UNIV* // *msgrel*

by (*auto simp add: quotient-def*)

The abstract message constructors

constdefs

Nonce :: *nat* \Rightarrow *msg*

Nonce *N* == *Abs-Msg*(*msgrel*“{*NONCE* *N*}”)

MPair :: [*msg*,*msg*] \Rightarrow *msg*

MPair *X* *Y* ==

Abs-Msg ($\bigcup U \in \text{Rep-Msg } X. \bigcup V \in \text{Rep-Msg } Y. \text{msgrel}“\{\text{MPAIR } U \ V\}$)

Crypt :: [*nat*,*msg*] \Rightarrow *msg*

Crypt *K* *X* ==

Abs-Msg ($\bigcup U \in \text{Rep-Msg } X. \text{msgrel}“\{\text{CRYPT } K \ U\}$)

Decrypt :: [*nat*,*msg*] \Rightarrow *msg*

Decrypt *K* *X* ==

Abs-Msg ($\bigcup U \in \text{Rep-Msg } X. \text{msgrel}“\{\text{DECRYPT } K \ U\}$)

Reduces equality of equivalence classes to the *msgrel* relation: (*msgrel* “ {*x*} = *msgrel* “ {*y*}) = (*x* \sim *y*)

lemmas *equiv-msgrel-iff* = *eq-equiv-class-iff* [*OF* *equiv-msgrel UNIV-I UNIV-I*]

declare *equiv-msgrel-iff* [*simp*]

All equivalence classes belong to set of representatives

lemma [*simp*]: *msgrel*“{*U*} \in *Msg*

by (*auto simp add: Msg-def quotient-def intro: msgrel-refl*)

lemma *inj-on-Abs-Msg*: *inj-on* *Abs-Msg* *Msg*

apply (*rule inj-on-inverseI*)

apply (*erule Abs-Msg-inverse*)

done

Reduces equality on abstractions to equality on representatives

declare *inj-on-Abs-Msg* [THEN *inj-on-iff*, *simp*]

declare *Abs-Msg-inverse* [*simp*]

2.3.1 Characteristic Equations for the Abstract Constructors

lemma *MPair*: $MPair (Abs-Msg(msgrel\{\{U\}\})) (Abs-Msg(msgrel\{\{V\}\})) = Abs-Msg (msgrel\{\{MPAIR U V\}\})$

proof –

have $(\lambda U V. msgrel\{\{MPAIR U V\}\}) respects2 msgrel$

by (*simp add: congruent2-def msgrel.MPAIR*)

thus *?thesis*

by (*simp add: MPair-def UN-equiv-class2 [OF equiv-msgrel equiv-msgrel]*)

qed

lemma *Crypt*: $Crypt K (Abs-Msg(msgrel\{\{U\}\})) = Abs-Msg (msgrel\{\{CRYPT K U\}\})$

proof –

have $(\lambda U. msgrel\{\{CRYPT K U\}\}) respects msgrel$

by (*simp add: congruent-def msgrel.CRYPT*)

thus *?thesis*

by (*simp add: Crypt-def UN-equiv-class [OF equiv-msgrel]*)

qed

lemma *Decrypt*:

$Decrypt K (Abs-Msg(msgrel\{\{U\}\})) = Abs-Msg (msgrel\{\{DECRYPT K U\}\})$

proof –

have $(\lambda U. msgrel\{\{DECRYPT K U\}\}) respects msgrel$

by (*simp add: congruent-def msgrel.DECRYPT*)

thus *?thesis*

by (*simp add: Decrypt-def UN-equiv-class [OF equiv-msgrel]*)

qed

Case analysis on the representation of a msg as an equivalence class.

lemma *eq-Abs-Msg* [*case-names Abs-Msg*, *cases type: msg*]:

$(!!U. z = Abs-Msg(msgrel\{\{U\}\}) ==> P) ==> P$

apply (*rule Rep-Msg [of z, unfolded Msg-def, THEN quotientE]*)

apply (*drule arg-cong [where f=Abs-Msg]*)

apply (*auto simp add: Rep-Msg-inverse intro: msgrel-refl*)

done

Establishing these two equations is the point of the whole exercise

theorem *CD-eq* [*simp*]: $Crypt K (Decrypt K X) = X$

by (*cases X, simp add: Crypt Decrypt CD*)

theorem *DC-eq* [*simp*]: $Decrypt K (Crypt K X) = X$

by (*cases X, simp add: Crypt Decrypt DC*)

2.4 The Abstract Function to Return the Set of Nonces

constdefs

nonces :: *msg* \Rightarrow *nat set*
nonces *X* == $\bigcup U \in \text{Rep-Msg } X. \text{freenonces } U$

lemma *nonces-congruent*: *freenonces* respects *msgrel*
by (*simp add: congruent-def msgrel-imp-eq-freenonces*)

Now prove the four equations for *nonces*

lemma *nonces-Nonce* [*simp*]: *nonces* (*Nonce* *N*) = {*N*}
by (*simp add: nonces-def Nonce-def*
UN-equiv-class [OF equiv-msgrel nonces-congruent])

lemma *nonces-MPair* [*simp*]: *nonces* (*MPair* *X* *Y*) = *nonces* *X* \cup *nonces* *Y*
apply (*cases* *X*, *cases* *Y*)
apply (*simp add: nonces-def MPair*
UN-equiv-class [OF equiv-msgrel nonces-congruent])

done

lemma *nonces-Crypt* [*simp*]: *nonces* (*Crypt* *K* *X*) = *nonces* *X*
apply (*cases* *X*)
apply (*simp add: nonces-def Crypt*
UN-equiv-class [OF equiv-msgrel nonces-congruent])

done

lemma *nonces-Decrypt* [*simp*]: *nonces* (*Decrypt* *K* *X*) = *nonces* *X*
apply (*cases* *X*)
apply (*simp add: nonces-def Decrypt*
UN-equiv-class [OF equiv-msgrel nonces-congruent])

done

2.5 The Abstract Function to Return the Left Part

constdefs

left :: *msg* \Rightarrow *msg*
left *X* == *Abs-Msg* ($\bigcup U \in \text{Rep-Msg } X. \text{msgrel} \{ \text{freeleft } U \}$)

lemma *left-congruent*: ($\lambda U. \text{msgrel} \{ \text{freeleft } U \}$) respects *msgrel*
by (*simp add: congruent-def msgrel-imp-eq-freeleft*)

Now prove the four equations for *left*

lemma *left-Nonce* [*simp*]: *left* (*Nonce* *N*) = *Nonce* *N*
by (*simp add: left-def Nonce-def*
UN-equiv-class [OF equiv-msgrel left-congruent])

lemma *left-MPair* [*simp*]: *left* (*MPair* *X* *Y*) = *X*
apply (*cases* *X*, *cases* *Y*)
apply (*simp add: left-def MPair*
UN-equiv-class [OF equiv-msgrel left-congruent])

done

lemma *left-Crypt* [simp]: $\text{left } (\text{Crypt } K \ X) = \text{left } X$
apply (cases *X*)
apply (simp add: left-def *Crypt*
UN-equiv-class [OF equiv-msgrel left-congruent])
done

lemma *left-Decrypt* [simp]: $\text{left } (\text{Decrypt } K \ X) = \text{left } X$
apply (cases *X*)
apply (simp add: left-def *Decrypt*
UN-equiv-class [OF equiv-msgrel left-congruent])
done

2.6 The Abstract Function to Return the Right Part

constdefs

right :: $\text{msg} \Rightarrow \text{msg}$
 $\text{right } X == \text{Abs-Msg } (\bigcup U \in \text{Rep-Msg } X. \text{msgrel} \{ \text{freeright } U \})$

lemma *right-congruent*: $(\lambda U. \text{msgrel } \{ \text{freeright } U \})$ respects *msgrel*
by (simp add: congruent-def *msgrel-imp-eqv-freeright*)

Now prove the four equations for *right*

lemma *right-Nonce* [simp]: $\text{right } (\text{Nonce } N) = \text{Nonce } N$
by (simp add: right-def *Nonce-def*
UN-equiv-class [OF equiv-msgrel right-congruent])

lemma *right-MPair* [simp]: $\text{right } (\text{MPair } X \ Y) = Y$
apply (cases *X*, cases *Y*)
apply (simp add: right-def *MPair*
UN-equiv-class [OF equiv-msgrel right-congruent])
done

lemma *right-Crypt* [simp]: $\text{right } (\text{Crypt } K \ X) = \text{right } X$
apply (cases *X*)
apply (simp add: right-def *Crypt*
UN-equiv-class [OF equiv-msgrel right-congruent])
done

lemma *right-Decrypt* [simp]: $\text{right } (\text{Decrypt } K \ X) = \text{right } X$
apply (cases *X*)
apply (simp add: right-def *Decrypt*
UN-equiv-class [OF equiv-msgrel right-congruent])
done

2.7 Injectivity Properties of Some Constructors

lemma *NONCE-imp-eq*: $\text{NONCE } m \sim \text{NONCE } n \implies m = n$

by (drule msgrel-imp-eq-freenonces, simp)

Can also be proved using the function *nonces*

lemma *Nonce-Nonce-eq* [iff]: (Nonce $m =$ Nonce n) = ($m = n$)
by (auto simp add: Nonce-def msgrel-refl dest: NONCE-imp-eq)

lemma *MPAIR-imp-eqv-left*: $MPAIR\ X\ Y \sim MPAIR\ X'\ Y' \implies X \sim X'$
by (drule msgrel-imp-eqv-freeleft, simp)

lemma *MPair-imp-eq-left*:
assumes eq: $MPair\ X\ Y = MPair\ X'\ Y'$ shows $X = X'$
proof –
from eq
have left ($MPair\ X\ Y$) = left ($MPair\ X'\ Y'$) by simp
thus ?thesis by simp
qed

lemma *MPAIR-imp-eqv-right*: $MPAIR\ X\ Y \sim MPAIR\ X'\ Y' \implies Y \sim Y'$
by (drule msgrel-imp-eqv-freeright, simp)

lemma *MPair-imp-eq-right*: $MPair\ X\ Y = MPair\ X'\ Y' \implies Y = Y'$
apply (cases X, cases X', cases Y, cases Y')
apply (simp add: MPair)
apply (erule MPAIR-imp-eqv-right)
done

theorem *MPair-MPair-eq* [iff]: ($MPair\ X\ Y = MPair\ X'\ Y'$) = ($X=X' \ \& \ Y=Y'$)
by (blast dest: MPair-imp-eq-left MPair-imp-eq-right)

lemma *NONCE-neqv-MPAIR*: $NONCE\ m \sim MPAIR\ X\ Y \implies False$
by (drule msgrel-imp-eq-freediscrim, simp)

theorem *Nonce-neq-MPair* [iff]: $Nonce\ N \neq MPair\ X\ Y$
apply (cases X, cases Y)
apply (simp add: Nonce-def MPair)
apply (blast dest: NONCE-neqv-MPAIR)
done

Example suggested by a referee

theorem *Crypt-Nonce-neq-Nonce*: $Crypt\ K\ (Nonce\ M) \neq Nonce\ N$
by (auto simp add: Nonce-def Crypt dest: msgrel-imp-eq-freediscrim)

...and many similar results

theorem *Crypt2-Nonce-neq-Nonce*: $Crypt\ K\ (Crypt\ K'\ (Nonce\ M)) \neq Nonce\ N$
by (auto simp add: Nonce-def Crypt dest: msgrel-imp-eq-freediscrim)

theorem *Crypt-Crypt-eq* [iff]: ($Crypt\ K\ X = Crypt\ K\ X'$) = ($X=X'$)
proof

```

assume Crypt K X = Crypt K X'
hence Decrypt K (Crypt K X) = Decrypt K (Crypt K X') by simp
thus X = X' by simp
next
  assume X = X'
  thus Crypt K X = Crypt K X' by simp
qed

theorem Decrypt-Decrypt-eq [iff]: (Decrypt K X = Decrypt K X') = (X=X')
proof
  assume Decrypt K X = Decrypt K X'
  hence Crypt K (Decrypt K X) = Crypt K (Decrypt K X') by simp
  thus X = X' by simp
next
  assume X = X'
  thus Decrypt K X = Decrypt K X' by simp
qed

lemma msg-induct [case-names Nonce MPair Crypt Decrypt, cases type: msg]:
  assumes N:  $\bigwedge N. P$  (Nonce N)
    and M:  $\bigwedge X Y. \llbracket P X; P Y \rrbracket \implies P$  (MPair X Y)
    and C:  $\bigwedge K X. P X \implies P$  (Crypt K X)
    and D:  $\bigwedge K X. P X \implies P$  (Decrypt K X)
  shows P msg
proof (cases msg, erule ssubst)
  fix U::freemsg
  show P (Abs-Msg (msgrel “ {U}))
  proof (induct U)
    case (NONCE N)
      with N show ?case by (simp add: Nonce-def)
    next
      case (MPAIR X Y)
      with M [of Abs-Msg (msgrel “ {X}) Abs-Msg (msgrel “ {Y})]
      show ?case by (simp add: MPair)
    next
      case (CRYPT K X)
      with C [of Abs-Msg (msgrel “ {X})]
      show ?case by (simp add: Crypt)
    next
      case (DECRYPT K X)
      with D [of Abs-Msg (msgrel “ {X})]
      show ?case by (simp add: Decrypt)
  qed
qed

```

2.8 The Abstract Discriminator

However, as *Crypt-Nonce-neq-Nonce* above illustrates, we don't need this function in order to prove discrimination theorems.

```

constdefs
  discrim :: msg ⇒ int
  discrim X == contents (∪ U ∈ Rep-Msg X. {freediscrim U})

lemma discrim-congruent: (λU. {freediscrim U}) respects msgrel
by (simp add: congruent-def msgrel-imp-eq-freediscrim)

Now prove the four equations for discrim

lemma discrim-Nonce [simp]: discrim (Nonce N) = 0
by (simp add: discrim-def Nonce-def
          UN-equiv-class [OF equiv-msgrel discrim-congruent])

lemma discrim-MPair [simp]: discrim (MPair X Y) = 1
apply (cases X, cases Y)
apply (simp add: discrim-def MPair
          UN-equiv-class [OF equiv-msgrel discrim-congruent])
done

lemma discrim-Crypt [simp]: discrim (Crypt K X) = discrim X + 2
apply (cases X)
apply (simp add: discrim-def Crypt
          UN-equiv-class [OF equiv-msgrel discrim-congruent])
done

lemma discrim-Decrypt [simp]: discrim (Decrypt K X) = discrim X - 2
apply (cases X)
apply (simp add: discrim-def Decrypt
          UN-equiv-class [OF equiv-msgrel discrim-congruent])
done

end

```

3 Quotienting a Free Algebra Involving Nested Recursion

```

theory QuoNestedDataType imports Main begin

```

3.1 Defining the Free Algebra

Messages with encryption and decryption as free constructors.

```

datatype
  freeExp = VAR nat
           | PLUS freeExp freeExp
           | FNCALL nat freeExp list

```

The equivalence relation, which makes PLUS associative.

consts *exprel* :: (*freeExp* * *freeExp*) *set*

syntax

-*exprel* :: [*freeExp*, *freeExp*] => *bool* (**infixl** $\sim\sim$ 50)

syntax (*xsymbols*)

-*exprel* :: [*freeExp*, *freeExp*] => *bool* (**infixl** \sim 50)

syntax (*HTML output*)

-*exprel* :: [*freeExp*, *freeExp*] => *bool* (**infixl** \sim 50)

translations

$X \sim Y == (X, Y) \in \text{exprel}$

The first rule is the desired equation. The next three rules make the equations applicable to subterms. The last two rules are symmetry and transitivity.

inductive *exprel*

intros

ASSOC: $PLUS\ X\ (PLUS\ Y\ Z) \sim PLUS\ (PLUS\ X\ Y)\ Z$

VAR: $VAR\ N \sim VAR\ N$

PLUS: $\llbracket X \sim X'; Y \sim Y' \rrbracket \implies PLUS\ X\ Y \sim PLUS\ X'\ Y'$

FNCALL: $(Xs, Xs') \in \text{listrel}\ \text{exprel} \implies FNCALL\ F\ Xs \sim FNCALL\ F\ Xs'$

SYM: $X \sim Y \implies Y \sim X$

TRANS: $\llbracket X \sim Y; Y \sim Z \rrbracket \implies X \sim Z$

monos *listrel-mono*

Proving that it is an equivalence relation

lemma *exprel-refl-conj*: $X \sim X \ \& \ (Xs, Xs) \in \text{listrel}(\text{exprel})$

apply (*induct X and Xs*)

apply (*blast intro: exprel.intros listrel.intros*)+

done

lemmas *exprel-refl = exprel-refl-conj [THEN conjunct1]*

lemmas *list-exprel-refl = exprel-refl-conj [THEN conjunct2]*

theorem *equiv-exprel: equiv UNIV exprel*

proof (*simp add: equiv-def, intro conjI*)

show *reflexive exprel* **by** (*simp add: refl-def exprel-refl*)

show *sym exprel* **by** (*simp add: sym-def, blast intro: exprel.SYM*)

show *trans exprel* **by** (*simp add: trans-def, blast intro: exprel.TRANS*)

qed

theorem *equiv-list-exprel: equiv UNIV (listrel exprel)*

by (*insert equiv-listrel [OF equiv-exprel], simp*)

lemma *FNCALL-Nil: FNCALL F [] \sim FNCALL F []*

apply (*rule exprel.intros*)

apply (*rule listrel.intros*)

done

lemma *FNCALL-Cons*:

$$\begin{aligned} & \llbracket X \sim X'; (Xs, Xs') \in \text{listrel}(\text{exprel}) \rrbracket \\ & \implies \text{FNCALL } F (X \# Xs) \sim \text{FNCALL } F (X' \# Xs') \end{aligned}$$

by (*blast intro: exprel.intros listrel.intros*)

3.2 Some Functions on the Free Algebra

3.2.1 The Set of Variables

A function to return the set of variables present in a message. It will be lifted to the initial algebra, to serve as an example of that process. Note that the "free" refers to the free datatype rather than to the concept of a free variable.

consts

$$\begin{aligned} \text{freevars} & \quad :: \text{freeExp} \Rightarrow \text{nat set} \\ \text{freevars-list} & \quad :: \text{freeExp list} \Rightarrow \text{nat set} \end{aligned}$$

primrec

$$\begin{aligned} \text{freevars } (\text{VAR } N) & = \{N\} \\ \text{freevars } (\text{PLUS } X Y) & = \text{freevars } X \cup \text{freevars } Y \\ \text{freevars } (\text{FNCALL } F Xs) & = \text{freevars-list } Xs \end{aligned}$$

$$\begin{aligned} \text{freevars-list } [] & = \{\} \\ \text{freevars-list } (X \# Xs) & = \text{freevars } X \cup \text{freevars-list } Xs \end{aligned}$$

This theorem lets us prove that the vars function respects the equivalence relation. It also helps us prove that Variable (the abstract constructor) is injective

theorem *exprel-imp-eq-freevars*: $U \sim V \implies \text{freevars } U = \text{freevars } V$

apply (*erule exprel.induct*)

apply (*erule-tac [4] listrel.induct*)

apply (*simp-all add: Un-assoc*)

done

3.2.2 Functions for Freeness

A discriminator function to distinguish vars, sums and function calls

consts *freediscrim* :: $\text{freeExp} \Rightarrow \text{int}$

primrec

$$\begin{aligned} \text{freediscrim } (\text{VAR } N) & = 0 \\ \text{freediscrim } (\text{PLUS } X Y) & = 1 \\ \text{freediscrim } (\text{FNCALL } F Xs) & = 2 \end{aligned}$$

theorem *exprel-imp-eq-freediscrim*:

$$U \sim V \implies \text{freediscrim } U = \text{freediscrim } V$$

by (*erule exprel.induct, auto*)

This function, which returns the function name, is used to prove part of the injectivity property for FnCall.

consts *freefun* :: *freeExp* \Rightarrow *nat*

primrec

freefun (*VAR* *N*) = 0
freefun (*PLUS* *X* *Y*) = 0
freefun (*FNCALL* *F* *Xs*) = *F*

theorem *exprel-imp-eq-freefun*:

$U \sim V \implies \text{freefun } U = \text{freefun } V$

by (*erule* *exprel.induct*, *simp-all* *add: listrel.intros*)

This function, which returns the list of function arguments, is used to prove part of the injectivity property for FnCall.

consts *freeargs* :: *freeExp* \Rightarrow *freeExp* *list*

primrec

freeargs (*VAR* *N*) = []
freeargs (*PLUS* *X* *Y*) = []
freeargs (*FNCALL* *F* *Xs*) = *Xs*

theorem *exprel-imp-eqv-freeargs*:

$U \sim V \implies (\text{freeargs } U, \text{freeargs } V) \in \text{listrel } \text{exprel}$

apply (*erule* *exprel.induct*)

apply (*erule-tac* [4] *listrel.induct*)

apply (*simp-all* *add: listrel.intros*)

apply (*blast* *intro: symD* [*OF* *equiv.sym* [*OF* *equiv-list-exprel*]])

apply (*blast* *intro: transD* [*OF* *equiv.trans* [*OF* *equiv-list-exprel*]])

done

3.3 The Initial Algebra: A Quotiented Message Type

typedef (*Exp*) *exp* = *UNIV* // *exprel*

by (*auto* *simp* *add: quotient-def*)

The abstract message constructors

constdefs

Var :: *nat* \Rightarrow *exp*

Var *N* == *Abs-Exp*(*exprel* “ {*VAR* *N*})

Plus :: [*exp*, *exp*] \Rightarrow *exp*

Plus *X* *Y* ==

Abs-Exp ($\bigcup U \in \text{Rep-Exp } X. \bigcup V \in \text{Rep-Exp } Y. \text{exprel} \text{ “ } \{ \text{PLUS } U \ V \}$)

Fncall :: [*nat*, *exp* *list*] \Rightarrow *exp*

Fncall *F* *Xs* ==

Abs-Exp ($\bigcup Us \in \text{listset } (\text{map } \text{Rep-Exp } Xs). \text{exprel} \text{ “ } \{ \text{FNCALL } F \ Us \}$)

Reduces equality of equivalence classes to the *exprel* relation: $(\text{exprel } \{x\} = \text{exprel } \{y\}) = (x \sim y)$

lemmas *equiv-exprel-iff* = *eq-equiv-class-iff* [*OF equiv-exprel UNIV-I UNIV-I*]

declare *equiv-exprel-iff* [*simp*]

All equivalence classes belong to set of representatives

lemma [*simp*]: *exprel*“ $\{U\} \in \text{Exp}$

by (*auto simp add: Exp-def quotient-def intro: exprel-refl*)

lemma *inj-on-Abs-Exp*: *inj-on Abs-Exp Exp*

apply (*rule inj-on-inverseI*)

apply (*erule Abs-Exp-inverse*)

done

Reduces equality on abstractions to equality on representatives

declare *inj-on-Abs-Exp* [*THEN inj-on-iff, simp*]

declare *Abs-Exp-inverse* [*simp*]

Case analysis on the representation of a exp as an equivalence class.

lemma *eq-Abs-Exp* [*case-names Abs-Exp, cases type: exp*]:

($!!U. z = \text{Abs-Exp}(\text{exprel}\{U\}) \implies P \implies P$)

apply (*rule Rep-Exp [of z, unfolded Exp-def, THEN quotientE]*)

apply (*erule arg-cong [where f=Abs-Exp]*)

apply (*auto simp add: Rep-Exp-inverse intro: exprel-refl*)

done

3.4 Every list of abstract expressions can be expressed in terms of a list of concrete expressions

constdefs *Abs-ExpList* :: *freeExp list => exp list*

Abs-ExpList *Xs* == *map* (%*U*. *Abs-Exp*(*exprel*“ $\{U\}$)) *Xs*

lemma *Abs-ExpList-Nil* [*simp*]: *Abs-ExpList* [] == []

by (*simp add: Abs-ExpList-def*)

lemma *Abs-ExpList-Cons* [*simp*]:

Abs-ExpList (*X#Xs*) == *Abs-Exp* (*exprel*“ $\{X\}$) # *Abs-ExpList* *Xs*

by (*simp add: Abs-ExpList-def*)

lemma *ExpList-rep*: $\exists Us. z = \text{Abs-ExpList } Us$

apply (*induct z*)

apply (*rule-tac [2] z=a in eq-Abs-Exp*)

apply (*auto simp add: Abs-ExpList-def intro: exprel-refl*)

done

lemma *eq-Abs-ExpList* [*case-names Abs-ExpList*]:

(!! $Us. z = \text{Abs-ExpList } Us \implies P \implies P$) $\implies P$
by (rule *exE* [OF *ExpList-rep*], *blast*)

3.4.1 Characteristic Equations for the Abstract Constructors

lemma *Plus*: $\text{Plus } (\text{Abs-Exp}(\text{exprel}\{\!U\})) (\text{Abs-Exp}(\text{exprel}\{\!V\})) =$
 $\text{Abs-Exp } (\text{exprel}\{\!PLUS\ U\ V\})$

proof –

have ($\lambda U V. \text{exprel } \{\!PLUS\ U\ V\}$) *respects2* *exprel*

by (*simp add: congruent2-def exprel.PLUS*)

thus *?thesis*

by (*simp add: Plus-def UN-equiv-class2 [OF equiv-exprel equiv-exprel]*)

qed

It is not clear what to do with *FnCall*: its argument is an abstraction of an *exp list*. Is it just *Nil* or *Cons*? What seems to work best is to regard an *exp list* as a *listrel exprel* equivalence class

This theorem is easily proved but never used. There’s no obvious way even to state the analogous result, *FnCall-Cons*.

lemma *FnCall-Nil*: $\text{FnCall } F \ [] = \text{Abs-Exp } (\text{exprel}\{\!FNCALL\ F\ []\})$
by (*simp add: FnCall-def*)

lemma *FnCall-respects*:

($\lambda Us. \text{exprel } \{\!FNCALL\ F\ Us\}$) *respects* (*listrel exprel*)

by (*simp add: congruent-def exprel.FNCALL*)

lemma *FnCall-sing*:

$\text{FnCall } F \ [\text{Abs-Exp}(\text{exprel}\{\!U\})] = \text{Abs-Exp } (\text{exprel}\{\!FNCALL\ F\ [U]\})$

proof –

have ($\lambda U. \text{exprel } \{\!FNCALL\ F\ [U]\}$) *respects* *exprel*

by (*simp add: congruent-def FNCALL-Cons listrel.intros*)

thus *?thesis*

by (*simp add: FnCall-def UN-equiv-class [OF equiv-exprel]*)

qed

lemma *listset-Rep-Exp-Abs-Exp*:

$\text{listset } (\text{map } \text{Rep-Exp } (\text{Abs-ExpList } Us)) = \text{listrel exprel } \{\!Us\}$

by (*induct-tac Us, simp-all add: listrel-Cons Abs-ExpList-def*)

lemma *FnCall*:

$\text{FnCall } F \ (\text{Abs-ExpList } Us) = \text{Abs-Exp } (\text{exprel}\{\!FNCALL\ F\ Us\})$

proof –

have ($\lambda Us. \text{exprel } \{\!FNCALL\ F\ Us\}$) *respects* (*listrel exprel*)

by (*simp add: congruent-def exprel.FNCALL*)

thus *?thesis*

by (*simp add: FnCall-def UN-equiv-class [OF equiv-list-exprel]*

listset-Rep-Exp-Abs-Exp)

qed

Establishing this equation is the point of the whole exercise

theorem *Plus-assoc*: $Plus\ X\ (Plus\ Y\ Z) = Plus\ (Plus\ X\ Y)\ Z$
by (*cases X, cases Y, cases Z, simp add: Plus exprel.ASSOC*)

3.5 The Abstract Function to Return the Set of Variables

constdefs

$vars :: exp \Rightarrow nat\ set$
 $vars\ X == \bigcup U \in Rep\ Exp\ X. freevars\ U$

lemma *vars-respects*: *freevars respects exprel*
by (*simp add: congruent-def exprel-imp-eq-freevars*)

The extension of the function *vars* to lists

consts *vars-list* :: $exp\ list \Rightarrow nat\ set$

primrec

$vars\ list\ [] = \{\}$
 $vars\ list\ (E\ \# Es) = vars\ E \cup vars\ list\ Es$

Now prove the three equations for *vars*

lemma *vars-Variable* [*simp*]: $vars\ (Var\ N) = \{N\}$
by (*simp add: vars-def Var-def UN-equiv-class [OF equiv-exprel vars-respects]*)

lemma *vars-Plus* [*simp*]: $vars\ (Plus\ X\ Y) = vars\ X \cup vars\ Y$
apply (*cases X, cases Y*)
apply (*simp add: vars-def Plus UN-equiv-class [OF equiv-exprel vars-respects]*)

done

lemma *vars-FnCall* [*simp*]: $vars\ (FnCall\ F\ Xs) = vars\ list\ Xs$
apply (*cases Xs rule: eq-Abs-ExpList*)
apply (*simp add: FnCall*)
apply (*induct-tac Us*)
apply (*simp-all add: vars-def UN-equiv-class [OF equiv-exprel vars-respects]*)
done

lemma *vars-FnCall-Nil*: $vars\ (FnCall\ F\ Nil) = \{\}$
by *simp*

lemma *vars-FnCall-Cons*: $vars\ (FnCall\ F\ (X\ \# Xs)) = vars\ X \cup vars\ list\ Xs$
by *simp*

3.6 Injectivity Properties of Some Constructors

lemma *VAR-imp-eq*: $VAR\ m \sim VAR\ n \implies m = n$
by (*drule exprel-imp-eq-freevars, simp*)

Can also be proved using the function *vars*

lemma *Var-Var-eq* [iff]: $(\text{Var } m = \text{Var } n) = (m = n)$
by (*auto simp add: Var-def exprel-refl dest: VAR-imp-eq*)

lemma *VAR-neqv-PLUS*: $\text{VAR } m \sim \text{PLUS } X Y \implies \text{False}$
by (*drule exprel-imp-eq-freediscrim, simp*)

theorem *Var-neq-Plus* [iff]: $\text{Var } N \neq \text{Plus } X Y$
apply (*cases X, cases Y*)
apply (*simp add: Var-def Plus*)
apply (*blast dest: VAR-neqv-PLUS*)
done

theorem *Var-neq-FnCall* [iff]: $\text{Var } N \neq \text{FnCall } F Xs$
apply (*cases Xs rule: eq-Abs-ExpList*)
apply (*auto simp add: FnCall Var-def*)
apply (*drule exprel-imp-eq-freediscrim, simp*)
done

3.7 Injectivity of *FnCall*

constdefs
fun :: *exp* \Rightarrow *nat*
fun *X* == *contents* ($\bigcup U \in \text{Rep-Exp } X. \{\text{freefun } U\}$)

lemma *fun-respects*: $(\%U. \{\text{freefun } U\})$ *respects exprel*
by (*simp add: congruent-def exprel-imp-eq-freefun*)

lemma *fun-FnCall* [simp]: $\text{fun } (\text{FnCall } F Xs) = F$
apply (*cases Xs rule: eq-Abs-ExpList*)
apply (*simp add: FnCall fun-def UN-equiv-class [OF equiv-exprel fun-respects]*)
done

constdefs
args :: *exp* \Rightarrow *exp list*
args *X* == *contents* ($\bigcup U \in \text{Rep-Exp } X. \{\text{Abs-ExpList } (\text{freeargs } U)\}$)

This result can probably be generalized to arbitrary equivalence relations, but with little benefit here.

lemma *Abs-ExpList-eq*:
 $(y, z) \in \text{listrel exprel} \implies \text{Abs-ExpList } (y) = \text{Abs-ExpList } (z)$
by (*erule listrel.induct, simp-all*)

lemma *args-respects*: $(\%U. \{\text{Abs-ExpList } (\text{freeargs } U)\})$ *respects exprel*
by (*simp add: congruent-def Abs-ExpList-eq exprel-imp-eqv-freeargs*)

lemma *args-FnCall* [simp]: $\text{args } (\text{FnCall } F Xs) = Xs$
apply (*cases Xs rule: eq-Abs-ExpList*)
apply (*simp add: FnCall args-def UN-equiv-class [OF equiv-exprel args-respects]*)
done

lemma *FnCall-FnCall-eq* [*iff*]:
 $(FnCall\ F\ Xs = FnCall\ F'\ Xs') = (F=F' \ \&\ Xs=Xs')$
proof
assume $FnCall\ F\ Xs = FnCall\ F'\ Xs'$
hence $fun\ (FnCall\ F\ Xs) = fun\ (FnCall\ F'\ Xs')$
and $args\ (FnCall\ F\ Xs) = args\ (FnCall\ F'\ Xs')$ **by** *auto*
thus $F=F' \ \&\ Xs=Xs'$ **by** *simp*
next
assume $F=F' \ \&\ Xs=Xs'$ **thus** $FnCall\ F\ Xs = FnCall\ F'\ Xs'$ **by** *simp*
qed

3.8 The Abstract Discriminator

However, as *FnCall-Var-neq-Var* illustrates, we don't need this function in order to prove discrimination theorems.

constdefs
 $discrim :: exp \Rightarrow int$
 $discrim\ X == contents\ (\bigcup\ U \in Rep-Exp\ X.\ \{freediscrim\ U\})$

lemma *discrim-respects*: $(\lambda U.\ \{freediscrim\ U\})$ *respects* *exprel*
by (*simp add: congruent-def exprel-imp-eq-freediscrim*)

Now prove the four equations for *discrim*

lemma *discrim-Var* [*simp*]: $discrim\ (Var\ N) = 0$
by (*simp add: discrim-def Var-def*
 $UN-equiv-class\ [OF\ equiv-exprel\ discrim-respects]$)

lemma *discrim-Plus* [*simp*]: $discrim\ (Plus\ X\ Y) = 1$
apply (*cases\ X, cases\ Y*)
apply (*simp add: discrim-def Plus*
 $UN-equiv-class\ [OF\ equiv-exprel\ discrim-respects]$)

done

lemma *discrim-FnCall* [*simp*]: $discrim\ (FnCall\ F\ Xs) = 2$
apply (*rule-tac\ z=Xs in eq-Abs-ExpList*)
apply (*simp add: discrim-def FnCall*
 $UN-equiv-class\ [OF\ equiv-exprel\ discrim-respects]$)

done

The structural induction rule for the abstract type

theorem *exp-induct*:
assumes $V: \bigwedge nat.\ P1\ (Var\ nat)$
and $P: \bigwedge exp1\ exp2.\ \llbracket P1\ exp1; P1\ exp2 \rrbracket \Longrightarrow P1\ (Plus\ exp1\ exp2)$
and $F: \bigwedge nat\ list.\ P2\ list \Longrightarrow P1\ (FnCall\ nat\ list)$
and $Nil: P2\ []$
and $Cons: \bigwedge exp\ list.\ \llbracket P1\ exp; P2\ list \rrbracket \Longrightarrow P2\ (exp\ \# list)$

```

shows  $P1\ exp \ \& \ P2\ list$ 
proof (cases exp, rule eq-Abs-ExpList [of list], clarify)
fix  $U\ Us$ 
show  $P1\ (Abs-Exp\ (exprel\ \{\ U\})) \wedge$ 
        $P2\ (Abs-ExpList\ Us)$ 
proof (induct U and Us)
  case ( $VAR\ nat$ )
    with  $V$  show ?case by (simp add: Var-def)
  next
    case ( $PLUS\ X\ Y$ )
    with  $P$  [of Abs-Exp (exprel “ {X}) Abs-Exp (exprel “ {Y})]
    show ?case by (simp add: Plus)
  next
    case ( $FNCALL\ nat\ list$ )
    with  $F$  [of Abs-ExpList list]
    show ?case by (simp add: FnCall)
  next
    case  $Nil-freeExp$ 
    with  $Nil$  show ?case by simp
  next
    case  $Cons-freeExp$ 
    with  $Cons$ 
    show ?case by simp
qed
qed
end

```

4 Terms over a given alphabet

theory *Term* **imports** *Main* **begin**

```

datatype ( $'a, 'b$ ) term =
   $Var\ 'a$ 
  |  $App\ 'b\ ('a, 'b)\ term\ list$ 

```

Substitution function on terms

```

consts
   $subst-term :: ('a => ('a, 'b)\ term) => ('a, 'b)\ term => ('a, 'b)\ term$ 
   $subst-term-list ::$ 
     $('a => ('a, 'b)\ term) => ('a, 'b)\ term\ list => ('a, 'b)\ term\ list$ 

```

primrec

```

 $subst-term\ f\ (Var\ a) = f\ a$ 
 $subst-term\ f\ (App\ b\ ts) = App\ b\ (subst-term-list\ f\ ts)$ 

```

```

subst-term-list f [] = []
subst-term-list f (t # ts) =
  subst-term f t # subst-term-list f ts

```

A simple theorem about composition of substitutions

lemma *subst-comp*:

```

subst-term (subst-term f1 o f2) t =
  subst-term f1 (subst-term f2 t)
and subst-term-list (subst-term f1 o f2) ts =
  subst-term-list f1 (subst-term-list f2 ts)
by (induct t and ts) simp-all

```

Alternative induction rule

lemma

```

assumes var: !!v. P (Var v)
and app: !!f ts. list-all P ts ==> P (App f ts)
shows term-induct2: P t
and list-all P ts
apply (induct t and ts)
apply (rule var)
apply (rule app)
apply assumption
apply simp-all
done

```

end

5 Arithmetic and boolean expressions

theory *ABexp* **imports** *Main* **begin**

```

datatype 'a aexp =
  IF 'a bexp 'a aexp 'a aexp
| Sum 'a aexp 'a aexp
| Diff 'a aexp 'a aexp
| Var 'a
| Num nat
and 'a bexp =
  Less 'a aexp 'a aexp
| And 'a bexp 'a bexp
| Neg 'a bexp

```

Evaluation of arithmetic and boolean expressions

consts

```

evala :: ('a => nat) => 'a aexp => nat
evalb :: ('a => nat) => 'a bexp => bool

```

primrec

$evala\ env\ (IF\ b\ a1\ a2) = (if\ evalb\ env\ b\ then\ evala\ env\ a1\ else\ evala\ env\ a2)$
 $evala\ env\ (Sum\ a1\ a2) = evala\ env\ a1 + evala\ env\ a2$
 $evala\ env\ (Diff\ a1\ a2) = evala\ env\ a1 - evala\ env\ a2$
 $evala\ env\ (Var\ v) = env\ v$
 $evala\ env\ (Num\ n) = n$

$evalb\ env\ (Less\ a1\ a2) = (evala\ env\ a1 < evala\ env\ a2)$
 $evalb\ env\ (And\ b1\ b2) = (evalb\ env\ b1 \wedge evalb\ env\ b2)$
 $evalb\ env\ (Neg\ b) = (\neg\ evalb\ env\ b)$

Substitution on arithmetic and boolean expressions

consts

$subst\ ::\ ('a\ =>\ 'b\ aexp)\ =>\ 'a\ aexp\ =>\ 'b\ aexp$
 $subst\ ::\ ('a\ =>\ 'b\ aexp)\ =>\ 'a\ bexp\ =>\ 'b\ bexp$

primrec

$subst\ f\ (IF\ b\ a1\ a2) = IF\ (subst\ f\ b)\ (subst\ f\ a1)\ (subst\ f\ a2)$
 $subst\ f\ (Sum\ a1\ a2) = Sum\ (subst\ f\ a1)\ (subst\ f\ a2)$
 $subst\ f\ (Diff\ a1\ a2) = Diff\ (subst\ f\ a1)\ (subst\ f\ a2)$
 $subst\ f\ (Var\ v) = f\ v$
 $subst\ f\ (Num\ n) = Num\ n$

$subst\ f\ (Less\ a1\ a2) = Less\ (subst\ f\ a1)\ (subst\ f\ a2)$
 $subst\ f\ (And\ b1\ b2) = And\ (subst\ f\ b1)\ (subst\ f\ b2)$
 $subst\ f\ (Neg\ b) = Neg\ (subst\ f\ b)$

lemma subst1-aexp:

$evala\ env\ (subst\ (Var\ (v := a'))\ a) = evala\ (env\ (v := evala\ env\ a'))\ a$

and subst1-bexp:

$evalb\ env\ (subst\ (Var\ (v := a'))\ b) = evalb\ (env\ (v := evala\ env\ a'))\ b$
— one variable
by $(induct\ a\ \mathbf{and}\ b)\ simp\text{-all}$

lemma subst-all-aexp:

$evala\ env\ (subst\ s\ a) = evala\ (\lambda x.\ evala\ env\ (s\ x))\ a$

and subst-all-bexp:

$evalb\ env\ (subst\ s\ b) = evalb\ (\lambda x.\ evala\ env\ (s\ x))\ b$
by $(induct\ a\ \mathbf{and}\ b)\ auto$

end

6 Infinitely branching trees

theory *Tree* **imports** *Main* **begin**

datatype 'a tree =
 Atom 'a
 | Branch nat => 'a tree

consts
 map-tree :: ('a => 'b) => 'a tree => 'b tree

primrec
 map-tree f (Atom a) = Atom (f a)
 map-tree f (Branch ts) = Branch ($\lambda x.$ map-tree f (ts x))

lemma tree-map-compose: map-tree g (map-tree f t) = map-tree (g \circ f) t
 by (induct t) simp-all

consts
 exists-tree :: ('a => bool) => 'a tree => bool

primrec
 exists-tree P (Atom a) = P a
 exists-tree P (Branch ts) = ($\exists x.$ exists-tree P (ts x))

lemma exists-map:
 ($\forall x.$ P x ==> Q (f x)) ==>
 exists-tree P ts ==> exists-tree Q (map-tree f ts)
 by (induct ts) auto

6.1 The Brouwer ordinals, as in ZF/Induct/Brouwer.thy.

datatype brouwer = Zero | Succ brouwer | Lim nat => brouwer

Addition of ordinals

consts
 add :: [brouwer, brouwer] => brouwer

primrec
 add i Zero = i
 add i (Succ j) = Succ (add i j)
 add i (Lim f) = Lim ($\%n.$ add i (f n))

lemma add-assoc: add (add i j) k = add i (add j k)
 by (induct k, auto)

Multiplication of ordinals

consts
 mult :: [brouwer, brouwer] => brouwer

primrec
 mult i Zero = Zero
 mult i (Succ j) = add (mult i j) i
 mult i (Lim f) = Lim ($\%n.$ mult i (f n))

lemma add-mult-distrib: mult i (add j k) = add (mult i j) (mult i k)
 apply (induct k)

```

apply (auto simp add: add-assoc)
done

```

```

lemma mult-assoc: mult (mult i j) k = mult i (mult j k)
apply (induct k)
apply (auto simp add: add-mult-distrib)
done

```

We could probably instantiate some axiomatic type classes and use the standard infix operators.

6.2 A WF Ordering for The Brouwer ordinals (Michael Comp-ton)

To define recdef style functions we need an ordering on the Brouwer ordinals. Start with a predecessor relation and form its transitive closure.

constdefs

```

  brouwer-pred :: (brouwer * brouwer) set
  brouwer-pred ==  $\bigcup i. \{(m,n). n = Succ\ m \vee (EX\ f. n = Lim\ f \ \&\ m = f\ i)\}$ 

```

```

  brouwer-order :: (brouwer * brouwer) set
  brouwer-order == brouwer-pred+

```

```

lemma wf-brouwer-pred: wf brouwer-pred
by(unfold wf-def brouwer-pred-def, clarify, induct-tac x, blast+)

```

```

lemma wf-brouwer-order: wf brouwer-order
by(unfold brouwer-order-def, rule wf-trancl[OF wf-brouwer-pred])

```

```

lemma [simp]: (j, Succ j) : brouwer-order
by(auto simp add: brouwer-order-def brouwer-pred-def)

```

```

lemma [simp]: (f n, Lim f) : brouwer-order
by(auto simp add: brouwer-order-def brouwer-pred-def)

```

Example of a recdef

consts

```

  add2 :: (brouwer*brouwer) => brouwer

```

```

recdef add2 inv-image brouwer-order ( $\lambda (x,y). y$ )

```

```

  add2 (i, Zero) = i
  add2 (i, (Succ j)) = Succ (add2 (i, j))
  add2 (i, (Lim f)) = Lim ( $\lambda n. add2 (i, (f\ n))$ )
  (hints recdef-wf: wf-brouwer-order)

```

```

lemma add2-assoc: add2 (add2 (i, j), k) = add2 (i, add2 (j, k))
by (induct k, auto)

```

end

7 Ordinals

theory *Ordinals* **imports** *Main* **begin**

Some basic definitions of ordinal numbers. Draws an Agda development (in Martin-Löf type theory) by Peter Hancock (see <http://www.dcs.ed.ac.uk/home/pgh/chat.html>).

datatype *ordinal* =
 Zero
 | *Succ ordinal*
 | *Limit nat => ordinal*

consts

pred :: *ordinal* => *nat* => *ordinal option*

primrec

pred Zero n = *None*
pred (Succ a) n = *Some a*
pred (Limit f) n = *Some (f n)*

consts

iter :: (*'a* => *'a*) => *nat* => (*'a* => *'a*)

primrec

iter f 0 = *id*
iter f (Suc n) = *f* ∘ (*iter f n*)

constdefs

OpLim :: (*nat* => (*ordinal* => *ordinal*)) => (*ordinal* => *ordinal*)
OpLim F a == *Limit (λn. F n a)*
OpItw :: (*ordinal* => *ordinal*) => (*ordinal* => *ordinal*) (□)
□*f* == *OpLim (iter f)*

consts

cantor :: *ordinal* => *ordinal* => *ordinal*

primrec

cantor a Zero = *Succ a*
cantor a (Succ b) = □(*λx. cantor x b*) *a*
cantor a (Limit f) = *Limit (λn. cantor a (f n))*

consts

Nabla :: (*ordinal* => *ordinal*) => (*ordinal* => *ordinal*) (∇)

primrec

∇*f Zero* = *f Zero*
∇*f (Succ a)* = *f (Succ (∇f a))*
∇*f (Limit h)* = *Limit (λn. ∇f (h n))*

```

constdefs
  deriv :: (ordinal => ordinal) => (ordinal => ordinal)
  deriv f ==  $\nabla(\sqcup f)$ 

consts
  veblen :: ordinal => ordinal => ordinal

primrec
  veblen Zero =  $\nabla(\text{OpLim } (\text{iter } (\text{cantor } \text{Zero})))$ 
  veblen (Succ a) =  $\nabla(\text{OpLim } (\text{iter } (\text{veblen } a)))$ 
  veblen (Limit f) =  $\nabla(\text{OpLim } (\lambda n. \text{veblen } (f n)))$ 

constdefs
  veb a == veblen a Zero
   $\varepsilon_0$  == veb Zero
   $\Gamma_0$  == Limit ( $\lambda n. \text{iter } \text{veb } n \text{ Zero}$ )

end

```

8 Sigma algebras

theory Sigma-Algebra imports Main begin

This is just a tiny example demonstrating the use of inductive definitions in classical mathematics. We define the least σ -algebra over a given set of sets.

```

consts
   $\sigma$ -algebra :: 'a set set => 'a set set

inductive  $\sigma$ -algebra A
  intros
    basic:  $a \in A \implies a \in \sigma$ -algebra A
    UNIV: UNIV  $\in \sigma$ -algebra A
    complement:  $a \in \sigma$ -algebra A  $\implies -a \in \sigma$ -algebra A
    Union: ( $!!i::\text{nat}. a i \in \sigma$ -algebra A)  $\implies (\bigcup i. a i) \in \sigma$ -algebra A

```

The following basic facts are consequences of the closure properties of any σ -algebra, merely using the introduction rules, but no induction nor cases.

```

theorem sigma-algebra-empty:  $\{\} \in \sigma$ -algebra A
proof –
  have UNIV  $\in \sigma$ -algebra A by (rule  $\sigma$ -algebra.UNIV)
  hence  $-UNIV \in \sigma$ -algebra A by (rule  $\sigma$ -algebra.complement)
  also have  $-UNIV = \{\}$  by simp
  finally show ?thesis .
qed

```

```

theorem sigma-algebra-Inter:
  ( $!!i::\text{nat}. a i \in \sigma$ -algebra A)  $\implies (\bigcap i. a i) \in \sigma$ -algebra A
proof –

```

```

assume !!i::nat. a i ∈  $\sigma$ -algebra A
hence !!i::nat.  $\neg(a\ i) \in \sigma$ -algebra A by (rule  $\sigma$ -algebra.complement)
hence  $(\bigcup i. \neg(a\ i)) \in \sigma$ -algebra A by (rule  $\sigma$ -algebra.Union)
hence  $\neg(\bigcup i. \neg(a\ i)) \in \sigma$ -algebra A by (rule  $\sigma$ -algebra.complement)
also have  $\neg(\bigcup i. \neg(a\ i)) = (\bigcap i. a\ i)$  by simp
finally show ?thesis .
qed

end

```

9 Combinatory Logic example: the Church-Rosser Theorem

```

theory Comb imports Main begin

```

Curiously, combinators do not include free variables.

Example taken from [?].

HOL system proofs may be found in the HOL distribution at .../contrib/rule-induction/cl.ml

9.1 Definitions

Datatype definition of combinators S and K .

```

datatype comb = K
                | S
                | ## comb comb (infixl 90)

```

Inductive definition of contractions, $-1->$ and (multi-step) reductions, $---->$.

consts

```

contract :: (comb*comb) set
-1->     :: [comb,comb] => bool  (infixl 50)
---->   :: [comb,comb] => bool  (infixl 50)

```

translations

```

x -1-> y == (x,y) ∈ contract
x ----> y == (x,y) ∈ contract^*

```

syntax (xsymbols)

```

op ## :: [comb,comb] => comb  (infixl . 90)

```

inductive contract

intros

```

K:   K##x##y -1-> x
S:   S##x##y##z -1-> (x##z)##(y##z)

```

Ap1: $x-1->y \implies x\#\#z-1->y\#\#z$
Ap2: $x-1->y \implies z\#\#x-1->z\#\#y$

Inductive definition of parallel contractions, $=1=>$ and (multi-step) parallel reductions, $===>$.

consts

parcontract :: (*comb***comb*) *set*
 $=1=>$:: [*comb*,*comb*] => *bool* (**infixl 50**)
 $===>$:: [*comb*,*comb*] => *bool* (**infixl 50**)

translations

$x =1=> y == (x,y) \in \text{parcontract}$
 $x ===> y == (x,y) \in \text{parcontract}^*$

inductive parcontract

intros

refl: $x =1=> x$
K: $K\#\#x\#\#y =1=> x$
S: $S\#\#x\#\#y\#\#z =1=> (x\#\#z)\#\#(y\#\#z)$
Ap: $[| x=1=>y; z=1=>w |] \implies x\#\#z =1=> y\#\#w$

Misc definitions.

constdefs

I :: *comb*
 $I == S\#\#K\#\#K$

diamond :: (*'a* * *'a*)*set* => *bool*
 — confluence; Lambda/Commutation treats this more abstractly
 $\text{diamond}(r) == \forall x y. (x,y) \in r \dashrightarrow$
 $(\forall y'. (x,y') \in r \dashrightarrow$
 $(\exists z. (y,z) \in r \ \& \ (y',z) \in r))$

9.2 Reflexive/Transitive closure preserves Church-Rosser property

So does the Transitive closure, with a similar proof

Strip lemma. The induction hypothesis covers all but the last diamond of the strip.

lemma *diamond-strip-lemmaE* [*rule-format*]:

$[| \text{diamond}(r); (x,y) \in r^* |] \implies$
 $\forall y'. (x,y') \in r \dashrightarrow (\exists z. (y',z) \in r^* \ \& \ (y,z) \in r)$

apply (*unfold diamond-def*)
apply (*erule rtrancl-induct*)
apply (*meson rtrancl-refl*)
apply (*meson rtrancl-trans r-into-rtrancl*)
done

```

lemma diamond-rtrancl:  $\text{diamond}(r) \implies \text{diamond}(r^{\wedge *})$ 
apply (simp (no-asm-simp) add: diamond-def)
apply (rule impI [THEN allI, THEN allI])
apply (erule rtrancl-induct, blast)
apply (meson rtrancl-trans r-into-rtrancl diamond-strip-lemmaE)
done

```

9.3 Non-contraction results

Derive a case for each combinator constructor.

inductive-cases

```

  K-contractE [elim!]:  $K -1-> r$ 
  and S-contractE [elim!]:  $S -1-> r$ 
  and Ap-contractE [elim!]:  $p\#\#q -1-> r$ 

```

```

declare contract.K [intro!] contract.S [intro!]
declare contract.Ap1 [intro] contract.Ap2 [intro]

```

```

lemma I-contract-E [elim!]:  $I -1-> z \implies P$ 
by (unfold I-def, blast)

```

```

lemma K1-contractD [elim!]:  $K\#\#x -1-> z \implies (\exists x'. z = K\#\#x' \ \& \ x -1-> x')$ 
by blast

```

```

lemma Ap-reduce1 [intro]:  $x \text{----}> y \implies x\#\#z \text{----}> y\#\#z$ 
apply (erule rtrancl-induct)
apply (blast intro: rtrancl-trans)+
done

```

```

lemma Ap-reduce2 [intro]:  $x \text{----}> y \implies z\#\#x \text{----}> z\#\#y$ 
apply (erule rtrancl-induct)
apply (blast intro: rtrancl-trans)+
done

```

```

lemma KIII-contract1:  $K\#\#I\#\#(I\#\#I) -1-> I$ 
by (rule contract.K)

```

```

lemma KIII-contract2:  $K\#\#I\#\#(I\#\#I) -1-> K\#\#I\#\#((K\#\#I)\#\#(K\#\#I))$ 
by (unfold I-def, blast)

```

```

lemma KIII-contract3:  $K\#\#I\#\#((K\#\#I)\#\#(K\#\#I)) -1-> I$ 
by blast

```

```

lemma not-diamond-contract:  $\sim \text{diamond}(\text{contract})$ 
apply (unfold diamond-def)
apply (best intro: KIII-contract1 KIII-contract2 KIII-contract3)

```

done

9.4 Results about Parallel Contraction

Derive a case for each combinator constructor.

inductive-cases

K-parcontractE [elim!]: $K = 1 \Rightarrow r$
and *S*-parcontractE [elim!]: $S = 1 \Rightarrow r$
and *Ap*-parcontractE [elim!]: $p \#\# q = 1 \Rightarrow r$

declare parcontract.intros [intro]

9.5 Basic properties of parallel contraction

lemma *K1-parcontractD* [dest!]: $K \#\# x = 1 \Rightarrow z \Longrightarrow (\exists x'. z = K \#\# x' \ \& \ x = 1 \Rightarrow x')$
by blast

lemma *S1-parcontractD* [dest!]: $S \#\# x = 1 \Rightarrow z \Longrightarrow (\exists x'. z = S \#\# x' \ \& \ x = 1 \Rightarrow x')$
by blast

lemma *S2-parcontractD* [dest!]:
 $S \#\# x \#\# y = 1 \Rightarrow z \Longrightarrow (\exists x' \ y'. z = S \#\# x' \#\# y' \ \& \ x = 1 \Rightarrow x' \ \& \ y = 1 \Rightarrow y')$
by blast

The rules above are not essential but make proofs much faster

Church-Rosser property for parallel contraction

lemma *diamond-parcontract*: *diamond parcontract*
apply (unfold diamond-def)
apply (rule impI [THEN allI, THEN allI])
apply (erule parcontract.induct, fast+)
done

Equivalence of $p \dashrightarrow q$ and $p \Longrightarrow q$.

lemma *contract-subset-parcontract*: *contract <= parcontract*
apply (rule subsetI)
apply (simp only: split-tupled-all)
apply (erule contract.induct, blast+)
done

Reductions: simply throw together reflexivity, transitivity and the one-step reductions

declare r-into-rtrancl [intro] rtrancl-trans [intro]

lemma *reduce-I*: $I \#\#x \dashrightarrow x$
by (*unfold I-def*, *blast*)

lemma *parcontract-subset-reduce*: $\text{parcontract} \leq \text{contract}^*$
apply (*rule subsetI*)
apply (*simp only: split-tupled-all*)
apply (*erule parcontract.induct*, *blast+*)
done

lemma *reduce-eq-parreduce*: $\text{contract}^* = \text{parcontract}^*$
by (*rule equalityI contract-subset-parcontract [THEN rtrancl-mono]*
parcontract-subset-reduce [THEN rtrancl-subset-rtrancl])**+**

lemma *diamond-reduce*: $\text{diamond}(\text{contract}^*)$
by (*simp add: reduce-eq-parreduce diamond-rtrancl diamond-parcontract*)

end

10 Meta-theory of propositional logic

theory *PropLog* **imports** *Main* **begin**

Datatype definition of propositional logic formulae and inductive definition of the propositional tautologies.

Inductive definition of propositional logic. Soundness and completeness w.r.t. truth-tables.

Prove: If $H \models p$ then $G \models p$ where $G \in \text{Fin}(H)$

10.1 The datatype of propositions

datatype

$'a \text{ pl} = \text{false} \mid \text{var } 'a \ (\#\text{- } [1000]) \mid \text{-> } 'a \ \text{pl } 'a \ \text{pl}$ (**infixr** 90)

10.2 The proof system

consts

$\text{thms} \ :: \ 'a \ \text{pl} \ \text{set} \ ==> \ 'a \ \text{pl} \ \text{set}$
 $\text{-} \ :: \ ['a \ \text{pl} \ \text{set}, \ 'a \ \text{pl}] \ ==> \ \text{bool}$ (**infixl** 50)

translations

$H \text{-} p \ == \ p \in \ \text{thms}(H)$

inductive $\text{thms}(H)$

intros

$H \text{ [intro]: } p \in H \ ==> \ H \text{-} p$

$K: \quad H \text{-} p \text{->} q \text{->} p$

$S: \quad H \text{-} (p \text{->} q \text{->} r) \text{->} (p \text{->} q) \text{->} p \text{->} r$

DN: $H \mid- ((p \rightarrow \text{false}) \rightarrow \text{false}) \rightarrow p$
MP: $[[H \mid- p \rightarrow q; H \mid- p]] \implies H \mid- q$

10.3 The semantics

10.3.1 Semantics of propositional logic.

consts

eval :: [*'a set*, *'a pl*] => *bool* (-[[*-*]] [100,0] 100)

primrec *tt*[[*false*]] = *False*

tt[[*#v*]] = (*v* ∈ *tt*)

eval-imp: *tt*[[*p* → *q*]] = (*tt*[[*p*]] → *tt*[[*q*]])

A finite set of hypotheses from *t* and the *Vars* in *p*.

consts

hyps :: [*'a pl*, *'a set*] => *'a pl set*

primrec

hyps false *tt* = {}

hyps (*#v*) *tt* = {*if v* ∈ *tt* *then #v* *else #v* → *false*}

hyps (*p* → *q*) *tt* = *hyps p* *tt* *Un* *hyps q* *tt*

10.3.2 Logical consequence

For every valuation, if all elements of *H* are true then so is *p*.

constdefs

sat :: [*'a pl set*, *'a pl*] => *bool* (**infixl** | = 50)

H | = *p* == (∀ *tt*. (∀ *q* ∈ *H*. *tt*[[*q*]]) → *tt*[[*p*]])

10.4 Proof theory of propositional logic

lemma *thms-mono*: $G \leq H \implies \text{thms}(G) \leq \text{thms}(H)$

apply (*unfold thms.defs*)

apply (*rule lfp-mono*)

apply (*assumption* | *rule basic-monos*)+

done

lemma *thms-I*: $H \mid- p \rightarrow p$

— Called *I* for Identity Combinator, not for Introduction.

by (*best intro*: *thms.K* *thms.S* *thms.MP*)

10.4.1 Weakening, left and right

lemma *weaken-left*: $[[G \subseteq H; G \mid- p]] \implies H \mid- p$

— Order of premises is convenient with *THEN*

by (*erule thms-mono* [*THEN subsetD*])

lemmas *weaken-left-insert* = *subset-insertI* [*THEN weaken-left*]

lemmas *weaken-left-Un1* = *Un-upper1* [THEN *weaken-left*]

lemmas *weaken-left-Un2* = *Un-upper2* [THEN *weaken-left*]

lemma *weaken-right*: $H \mid\!-\ q \implies H \mid\!-\ p \rightarrow q$

by (*fast intro: thms.K thms.MP*)

10.4.2 The deduction theorem

theorem *deduction*: $\text{insert } p \ H \mid\!-\ q \implies H \mid\!-\ p \rightarrow q$

apply (*erule thms.induct*)

apply (*fast intro: thms-I thms.H thms.K thms.S thms.DN*

thms.S [THEN *thms.MP*, THEN *thms.MP*] *weaken-right*)+

done

10.4.3 The cut rule

lemmas *cut* = *deduction* [THEN *thms.MP*]

lemmas *thms-falseE* = *weaken-right* [THEN *thms.DN* [THEN *thms.MP*]]

lemmas *thms-notE* = *thms.MP* [THEN *thms-falseE*, *standard*]

10.4.4 Soundness of the rules wrt truth-table semantics

theorem *soundness*: $H \mid\!-\ p \implies H \models p$

apply (*unfold sat-def*)

apply (*erule thms.induct, auto*)

done

10.5 Completeness

10.5.1 Towards the completeness proof

lemma *false-imp*: $H \mid\!-\ p \rightarrow \text{false} \implies H \mid\!-\ p \rightarrow q$

apply (*rule deduction*)

apply (*erule weaken-left-insert* [THEN *thms-notE*])

apply *blast*

done

lemma *imp-false*:

$\llbracket H \mid\!-\ p; H \mid\!-\ q \rightarrow \text{false} \rrbracket \implies H \mid\!-\ (p \rightarrow q) \rightarrow \text{false}$

apply (*rule deduction*)

apply (*blast intro: weaken-left-insert thms.MP thms.H*)

done

lemma *hyps-thms-if*: $\text{hyps } p \ tt \mid\!-\ (\text{if } tt[[p]] \text{ then } p \text{ else } p \rightarrow \text{false})$

— Typical example of strengthening the induction statement.

apply *simp*

apply (*induct-tac p*)

apply (*simp-all add: thms-I thms.H*)
apply (*blast intro: weaken-left-Un1 weaken-left-Un2 weaken-right
imp-false false-imp*)
done

lemma *sat-thms-p*: $\{\} \models p \implies \text{hyps } p \text{ tt} \vdash p$
— Key lemma for completeness; yields a set of assumptions satisfying p
apply (*unfold sat-def*)
apply (*drule spec, erule mp [THEN if-P, THEN subst],
rule-tac [2] hyps-thms-if, simp*)
done

For proving certain theorems in our new propositional logic.

declare *deduction* [*intro!*]
declare *thms.H* [*THEN thms.MP, intro*]

The excluded middle in the form of an elimination rule.

lemma *thms-excluded-middle*: $H \vdash (p \rightarrow q) \rightarrow ((p \rightarrow \text{false}) \rightarrow q) \rightarrow q$
apply (*rule deduction [THEN deduction]*)
apply (*rule thms.DN [THEN thms.MP], best*)
done

lemma *thms-excluded-middle-rule*:
 $[[\text{insert } p \text{ } H \vdash q; \text{insert } (p \rightarrow \text{false}) \text{ } H \vdash q]] \implies H \vdash q$
— Hard to prove directly because it requires cuts
by (*rule thms-excluded-middle [THEN thms.MP, THEN thms.MP], auto*)

10.6 Completeness – lemmas for reducing the set of assumptions

For the case $\text{hyps } p \text{ } t - \text{insert } \#v \text{ } Y \vdash p$ we also have $\text{hyps } p \text{ } t - \{\#v\} \subseteq \text{hyps } p \text{ } (t - \{v\})$.

lemma *hyps-Diff*: $\text{hyps } p \text{ } (t - \{v\}) \leq \text{insert } (\#v \rightarrow \text{false}) ((\text{hyps } p \text{ } t) - \{\#v\})$
by (*induct-tac p, auto*)

For the case $\text{hyps } p \text{ } t - \text{insert } (\#v \rightarrow \text{Fls}) \text{ } Y \vdash p$ we also have $\text{hyps } p \text{ } t - \{\#v \rightarrow \text{Fls}\} \subseteq \text{hyps } p \text{ } (\text{insert } v \text{ } t)$.

lemma *hyps-insert*: $\text{hyps } p \text{ } (\text{insert } v \text{ } t) \leq \text{insert } (\#v) (\text{hyps } p \text{ } t - \{\#v \rightarrow \text{false}\})$
by (*induct-tac p, auto*)

Two lemmas for use with *weaken-left*

lemma *insert-Diff-same*: $B - C \leq \text{insert } a (B - \text{insert } a \text{ } C)$
by *fast*

lemma *insert-Diff-subset2*: $\text{insert } a (B - \{c\}) - D \leq \text{insert } a (B - \text{insert } c \text{ } D)$
by *fast*

The set $\text{hyps } p \text{ } t$ is finite, and elements have the form $\#v$ or $\#v \rightarrow \text{Fls}$.

lemma *hyps-finite*: $finite(hyps\ p\ t)$
by (*induct-tac* *p*, *auto*)

lemma *hyps-subset*: $hyps\ p\ t \leq (UN\ v.\ \{\#v,\ \#v \rightarrow false\})$
by (*induct-tac* *p*, *auto*)

lemmas *Diff-weaken-left* = *Diff-mono* [*OF* - *subset-refl*, *THEN* *weaken-left*]

10.6.1 Completeness theorem

Induction on the finite set of assumptions $hyps\ p\ t0$. We may repeatedly subtract assumptions until none are left!

lemma *completeness-0-lemma*:

$\{\} \models p \implies \forall t.\ hyps\ p\ t - hyps\ p\ t0 \vdash p$
apply (*rule* *hyps-subset* [*THEN* *hyps-finite* [*THEN* *finite-subset-induct*]])
apply (*simp* *add: sat-thms-p*, *safe*)

Case $hyps\ p\ t - insert(\#v, Y) \vdash p$

apply (*iprover* *intro: thms-excluded-middle-rule*
insert-Diff-same [*THEN* *weaken-left*]
insert-Diff-subset2 [*THEN* *weaken-left*]
hyps-Diff [*THEN* *Diff-weaken-left*])

Case $hyps\ p\ t - insert(\#v \rightarrow false, Y) \vdash p$

apply (*iprover* *intro: thms-excluded-middle-rule*
insert-Diff-same [*THEN* *weaken-left*]
insert-Diff-subset2 [*THEN* *weaken-left*]
hyps-insert [*THEN* *Diff-weaken-left*])

done

The base case for completeness

lemma *completeness-0*: $\{\} \models p \implies \{\} \vdash p$
apply (*rule* *Diff-cancel* [*THEN* *subst*])
apply (*erule* *completeness-0-lemma* [*THEN* *spec*])
done

A semantic analogue of the Deduction Theorem

lemma *sat-imp*: $insert\ p\ H \models q \implies H \models p \rightarrow q$
by (*unfold* *sat-def*, *auto*)

theorem *completeness* [*rule-format*]: $finite\ H \implies \forall p.\ H \models p \rightarrow H \vdash p$
apply (*erule* *finite-induct*)
apply (*blast* *intro: completeness-0*)
apply (*iprover* *intro: sat-imp* *thms.H* *insertI1* *weaken-left-insert* [*THEN* *thms.MP*])
done

theorem *syntax-iff-semantics*: $finite\ H \implies (H \vdash p) = (H \models p)$
by (*blast* *intro: soundness* *completeness*)

end

theory *Sexp* **imports** *Datatype-Universe Inductive* **begin**

consts

sexp :: *'a item set*

inductive *sexp*

intros

LeafI: *Leaf(a) ∈ sexp*

NumbI: *Numb(i) ∈ sexp*

SconsI: $[[M \in \textit{sexp}; N \in \textit{sexp}]] \implies \textit{Scons } M N \in \textit{sexp}$

constdefs

sexp-case :: $['a \implies 'b, \textit{nat} \implies 'b, ['a \textit{ item}, 'a \textit{ item}] \implies 'b, 'a \textit{ item}] \implies 'b$

sexp-case *c d e M* == *THE* *z*. (*EX* *x*. *M = Leaf(x) & z = c(x)*)
| (*EX* *k*. *M = Numb(k) & z = d(k)*)
| (*EX* *N1 N2*. *M = Scons N1 N2 & z = e N1 N2*)

pred-sexp :: *('a item * 'a item) set*

pred-sexp == $\bigcup M \in \textit{sexp}. \bigcup N \in \textit{sexp}. \{(M, \textit{Scons } M N), (N, \textit{Scons } M N)\}$

sexp-rec :: $['a \textit{ item}, 'a \implies 'b, \textit{nat} \implies 'b, ['a \textit{ item}, 'a \textit{ item}, 'b, 'b] \implies 'b]$

sexp-rec *M c d e* == *wfrec pred-sexp*
(%*g*. *sexp-case* *c d* (%*N1 N2*. *e N1 N2 (g N1) (g N2)*)) *M*

lemma *sexp-case-Leaf* [*simp*]: *sexp-case* *c d e* (*Leaf a*) = *c(a)*

by (*simp add: sexp-case-def, blast*)

lemma *sexp-case-Numb* [*simp*]: *sexp-case* *c d e* (*Numb k*) = *d(k)*

by (*simp add: sexp-case-def, blast*)

lemma *sexp-case-Scons* [*simp*]: *sexp-case* *c d e* (*Scons M N*) = *e M N*

by (*simp add: sexp-case-def*)

lemma *sexp-In0I*: $M \in \textit{sexp} \implies \textit{In0}(M) \in \textit{sexp}$

```

apply (simp add: In0-def)
apply (erule sexp.NumbI [THEN sexp.SconsI])
done

lemma sexp-In1I:  $M \in \text{sexp} \implies \text{In1}(M) \in \text{sexp}$ 
apply (simp add: In1-def)
apply (erule sexp.NumbI [THEN sexp.SconsI])
done

declare sexp.intros [intro, simp]

lemma range-Leaf-subset-sexp:  $\text{range}(\text{Leaf}) \leq \text{sexp}$ 
by blast

lemma Scons-D:  $\text{Scons } M \ N \in \text{sexp} \implies M \in \text{sexp} \ \& \ N \in \text{sexp}$ 
apply (erule setup-induction)
apply (erule sexp.induct, blast+)
done

lemma pred-sexp-subset-Sigma:  $\text{pred-sexp} \leq \text{sexp} \langle * \rangle \text{sexp}$ 
by (simp add: pred-sexp-def, blast)

lemmas trancl-pred-sexpD1 =
  pred-sexp-subset-Sigma
  [THEN trancl-subset-Sigma, THEN subsetD, THEN SigmaD1]
and trancl-pred-sexpD2 =
  pred-sexp-subset-Sigma
  [THEN trancl-subset-Sigma, THEN subsetD, THEN SigmaD2]

lemma pred-sexpI1:
  [ $M \in \text{sexp}; N \in \text{sexp}$ ]  $\implies (M, \text{Scons } M \ N) \in \text{pred-sexp}$ 
by (simp add: pred-sexp-def, blast)

lemma pred-sexpI2:
  [ $M \in \text{sexp}; N \in \text{sexp}$ ]  $\implies (N, \text{Scons } M \ N) \in \text{pred-sexp}$ 
by (simp add: pred-sexp-def, blast)

lemmas pred-sexp-t1 [simp] = pred-sexpI1 [THEN r-into-trancl]
and pred-sexp-t2 [simp] = pred-sexpI2 [THEN r-into-trancl]

lemmas pred-sexp-trans1 [simp] = trans-trancl [THEN transD, OF - pred-sexp-t1]
and pred-sexp-trans2 [simp] = trans-trancl [THEN transD, OF - pred-sexp-t2]

declare cut-apply [simp]

```

```

lemma pred-sexpE:
  [|  $p \in \text{pred-sexp}$ ;
    !! $M N$ . [|  $p = (M, \text{Scons } M N)$ ;  $M \in \text{sexp}$ ;  $N \in \text{sexp}$  |] ==>  $R$ ;
    !! $M N$ . [|  $p = (N, \text{Scons } M N)$ ;  $M \in \text{sexp}$ ;  $N \in \text{sexp}$  |] ==>  $R$ 
  |] ==>  $R$ 
by (simp add: pred-sexp-def, blast)

```

```

lemma wf-pred-sexp: wf(pred-sexp)
apply (rule pred-sexp-subset-Sigma [THEN wfI])
apply (erule sexp.induct)
apply (blast elim!: pred-sexpE)+
done

```

```

lemma sexp-rec-unfold-lemma:
  (% $M$ . sexp-rec  $M c d e$ ) ==
  wfrec pred-sexp (% $g$ . sexp-case  $c d$  (% $N1 N2$ .  $e N1 N2 (g N1) (g N2)$ ))
by (simp add: sexp-rec-def)

```

```

lemmas sexp-rec-unfold = def-wfrec [OF sexp-rec-unfold-lemma wf-pred-sexp]

```

```

lemma sexp-rec-Leaf: sexp-rec (Leaf  $a$ )  $c d h$  =  $c(a)$ 
apply (subst sexp-rec-unfold)
apply (rule sexp-case-Leaf)
done

```

```

lemma sexp-rec-Numb: sexp-rec (Numb  $k$ )  $c d h$  =  $d(k)$ 
apply (subst sexp-rec-unfold)
apply (rule sexp-case-Numb)
done

```

```

lemma sexp-rec-Scons: [|  $M \in \text{sexp}$ ;  $N \in \text{sexp}$  |] ==>
  sexp-rec (Scons  $M N$ )  $c d h$  =  $h M N$  (sexp-rec  $M c d h$ ) (sexp-rec  $N c d h$ )
apply (rule sexp-rec-unfold [THEN trans])
apply (simp add: pred-sexpI1 pred-sexpI2)
done

```

```

end

```

theory *SList* **imports** *NatArith Sexp Hilbert-Choice* **begin**

constdefs

NIL :: 'a item
NIL == *In0(Numb(0))*

CONS :: ['a item, 'a item] => 'a item
CONS M N == *In1(Scons M N)*

consts

list :: 'a item set => 'a item set

inductive *list*(*A*)

intros

NIL-I: *NIL*: *list A*

CONS-I: [| *a*: *A*; *M*: *list A* |] ==> *CONS a M* : *list A*

typedef (*List*)

'a *list* = *list(range Leaf)* :: 'a item set

by (*blast intro: list.NIL-I*)

constdefs

List-case :: ['b, ['a item, 'a item]=>'b, 'a item] => 'b
List-case c d == *Case(%x. c)(Split(d))*

List-rec :: ['a item, 'b, ['a item, 'a item, 'b]=>'b] => 'b
List-rec M c d == *wfrec (trancl pred-sexp)*
(%g. *List-case c (%x y. d x y (g y))) M*

constdefs

Nil :: 'a list
Nil == *Abs-List(NIL)*

Cons :: ['a, 'a list] => 'a list (infixr # 65)
x#xs == *Abs-List(CONS (Leaf x)(Rep-List xs))*

list-rec :: ['a list, 'b, ['a, 'a list, 'b]=>'b] => 'b
list-rec l c d ==
List-rec(Rep-List l) c (%x y r. d(inv Leaf x)(Abs-List y) r)

list-case :: ['b, ['a, 'a list]=>'b, 'a list] => 'b
list-case a f xs == *list-rec xs a (%x xs r. f x xs)*

consts

[] :: 'a list ([])

syntax

@list :: args => 'a list ([[(-)])

translations

[*x, xs*] == *x#[xs]*
[*x*] == *x#[]*
[] == *Nil*

case xs of Nil => a | y#ys => b == *list-case(a, %y ys. b, xs)*

constdefs

Rep-map :: ('b => 'a item) => ('b list => 'a item)

Rep-map f xs == *list-rec* xs *NIL*(% x l r . *CONS*(f x) r)

Abs-map :: (' a *item* => ' b) => ' a *item* => ' b *list*
Abs-map g M == *List-rec* M *Nil* (% N L r . $g(N)\#r$)

constdefs

null :: ' a *list* => *bool*
null xs == *list-rec* xs *True* (% x xs r . *False*)

hd :: ' a *list* => ' a
hd xs == *list-rec* xs (@ x . *True*) (% x xs r . x)

tl :: ' a *list* => ' a *list*
tl xs == *list-rec* xs (@ xs . *True*) (% x xs r . xs)

tll :: ' a *list* => ' a *list*
tll xs == *list-rec* xs [] (% x xs r . xs)

member :: [' a , ' a *list*] => *bool* (**infixl** *mem* 55)
x mem xs == *list-rec* xs *False* (% y ys r . *if* $y=x$ *then* *True* *else* r)

list-all :: (' a => *bool*) => (' a *list* => *bool*)
list-all P xs == *list-rec* xs *True*(% x l r . $P(x)$ & r)

map :: (' a => ' b) => (' a *list* => ' b *list*)
map f xs == *list-rec* xs [] (% x l r . $f(x)\#r$)

constdefs

append :: [' a *list*, ' a *list*] => ' a *list* (**infixr** @ 65)
 $xs@ys$ == *list-rec* xs ys (% x l r . $x\#r$)

filter :: [' a => *bool*, ' a *list*] => ' a *list*
filter P xs == *list-rec* xs [] (% x xs r . *if* $P(x)$ *then* $x\#r$ *else* r)

foldl :: [[' b , ' a] => ' b , ' b , ' a *list*] => ' b
foldl f a xs == *list-rec* xs (% a . a)(% x xs r . % a . $r(f$ a x))(a)

foldr :: [[' a , ' b] => ' b , ' b , ' a *list*] => ' b
foldr f a xs == *list-rec* xs a (% x xs r . (f x r))

length :: ' a *list* => *nat*
length xs == *list-rec* xs 0 (% x xs r . *Suc* r)

lemma *ListI*: $x : list (range Leaf) ==> x : List$
by (*simp add: List-def*)

lemma *ListD*: $x : List ==> x : list (range Leaf)$
by (*simp add: List-def*)

lemma *list-unfold*: $list(A) = usum \{Numb(0)\} (uprod A (list(A)))$
by (*fast intro!: list.intros [unfolded NIL-def CONS-def]*
elim: list.cases [unfolded NIL-def CONS-def])

lemma *list-mono*: $A \leq B ==> list(A) \leq list(B)$
apply (*unfold list.defs*)
apply (*rule lfp-mono*)
apply (*assumption | rule basic-monos*)
done

lemma *list-sexp*: $list(sexp) \leq sexp$
apply (*unfold NIL-def CONS-def list.defs*)
apply (*rule lfp-lowerbound*)
apply (*fast intro: sexp.intros sexp-In0I sexp-In1I*)
done

lemmas *list-subset-sexp* = *subset-trans [OF list-mono list-sexp]*

lemma *list-induct*:
 $[[P(Nil);$
 $!!x xs. P(xs) ==> P(x \# xs)]] ==> P(l)$
apply (*unfold Nil-def Cons-def*)
apply (*rule Rep-List-inverse [THEN subst]*)

apply (*rule Rep-List [unfolded List-def, THEN list.induct], simp*)
apply (*erule Abs-List-inverse [unfolded List-def, THEN subst], blast*)
done

lemma *inj-on-Abs-list*: $inj-on Abs-List (list(range Leaf))$
apply (*rule inj-on-inverseI*)
apply (*erule Abs-List-inverse [unfolded List-def]*)
done

```

lemma CONS-not-NIL [iff]: CONS M N  $\sim$  NIL
by (simp add: NIL-def CONS-def)

lemmas NIL-not-CONS [iff] = CONS-not-NIL [THEN not-sym]
lemmas CONS-neq-NIL = CONS-not-NIL [THEN notE, standard]
lemmas NIL-neq-CONS = sym [THEN CONS-neq-NIL]

lemma Cons-not-Nil [iff]: x # xs  $\sim$  Nil
apply (unfold Nil-def Cons-def)
apply (rule CONS-not-NIL [THEN inj-on-Abs-list [THEN inj-on-contrad]])
apply (simp-all add: list.intros rangeI Rep-List [unfolded List-def])
done

lemmas Nil-not-Cons [iff] = Cons-not-Nil [THEN not-sym, standard]
lemmas Cons-neq-Nil = Cons-not-Nil [THEN notE, standard]
lemmas Nil-neq-Cons = sym [THEN Cons-neq-Nil]

lemma CONS-CONS-eq [iff]: (CONS K M) = (CONS L N) = (K=L & M=N)
by (simp add: CONS-def)

declare Rep-List [THEN ListD, intro] ListI [intro]
declare list.intros [intro, simp]
declare Leaf-inject [dest!]

lemma Cons-Cons-eq [iff]: (x#xs=y#ys) = (x=y & xs=ys)
apply (simp add: Cons-def)
apply (subst Abs-List-inject)
apply (auto simp add: Rep-List-inject)
done

lemmas Cons-inject2 = Cons-Cons-eq [THEN iffD1, THEN conjE, standard]

lemma CONS-D: CONS M N: list(A) ==> M: A & N: list(A)
apply (erule setup-induction)
apply (erule list.induct, blast+)
done

lemma sexp-CONS-D: CONS M N: sexp ==> M: sexp & N: sexp
apply (simp add: CONS-def In1-def)
apply (fast dest!: Scons-D)
done

```

lemma *not-CONS-self*: $N: list(A) ==> !M. N \sim = CONS\ M\ N$
by (*erule list.induct, simp-all*)

lemma *not-Cons-self2*: $\forall x. l \sim = x\#l$
by (*induct-tac l rule: list-induct, simp-all*)

lemma *neq-Nil-conv2*: $(xs \sim = []) = (\exists y\ ys. xs = y\#\ys)$
by (*induct-tac xs rule: list-induct, auto*)

lemma *List-case-NIL* [*simp*]: *List-case c h NIL = c*
by (*simp add: List-case-def NIL-def*)

lemma *List-case-CONS* [*simp*]: *List-case c h (CONS M N) = h M N*
by (*simp add: List-case-def CONS-def*)

lemma *List-rec-unfold-lemma*:
 $(\%M. List-rec\ M\ c\ d) ==$
 $wfrec\ (trancl\ pred-sexp)\ (\%g. List-case\ c\ (\%x\ y. d\ x\ y\ (g\ y)))$
by (*simp add: List-rec-def*)

lemmas *List-rec-unfold =*
 $def-wfrec\ [OF\ List-rec-unfold-lemma\ wf-pred-sexp\ [THEN\ wf-trancl],$
 $standard]$

lemma *pred-sexp-CONS-I1*:
 $[M: sexp; N: sexp] ==> (M, CONS\ M\ N) : pred-sexp^+$
by (*simp add: CONS-def In1-def*)

lemma *pred-sexp-CONS-I2*:
 $[M: sexp; N: sexp] ==> (N, CONS\ M\ N) : pred-sexp^+$
by (*simp add: CONS-def In1-def*)

lemma *pred-sexp-CONS-D*:
 $(CONS\ M1\ M2, N) : pred-sexp^+ ==>$
 $(M1, N) : pred-sexp^+ \& (M2, N) : pred-sexp^+$
apply (*frule pred-sexp-subset-Sigma [THEN trancl-subset-Sigma, THEN subsetD]*)
apply (*blast dest!: sexp-CONS-D intro: pred-sexp-CONS-I1 pred-sexp-CONS-I2*
 $trans-trancl [THEN transD]$)

done

lemma *List-rec-NIL* [simp]: *List-rec NIL c h = c*
apply (*rule List-rec-unfold [THEN trans]*)
apply (*simp add: List-case-NIL*)
done

lemma *List-rec-CONS* [simp]:
 [| *M: sexp; N: sexp* |]
 ==> *List-rec (CONS M N) c h = h M N (List-rec N c h)*
apply (*rule List-rec-unfold [THEN trans]*)
apply (*simp add: pred-sexp-CONS-I2*)
done

lemmas *Rep-List-in-sexp =*
 subsetD [OF range-Leaf-subset-sexp [THEN list-subset-sexp]
 Rep-List [THEN ListD]]

lemma *list-rec-Nil* [simp]: *list-rec Nil c h = c*
by (*simp add: list-rec-def ListI [THEN Abs-List-inverse] Nil-def*)

lemma *list-rec-Cons* [simp]: *list-rec (a#l) c h = h a l (list-rec l c h)*
by (*simp add: list-rec-def ListI [THEN Abs-List-inverse] Cons-def*
 Rep-List-inverse Rep-List [THEN ListD] inj-Leaf Rep-List-in-sexp)

lemma *List-rec-type*:
 [| *M: list(A);*
 A<=sexp;
 c: C(NIL);
 !!x y r. [| x: A; y: list(A); r: C(y) |] ==> h x y r: C(CONS x y)
 |] ==> *List-rec M c h : C(M :: 'a item)*
apply (*erule list.induct, simp*)
apply (*insert list-subset-sexp*)
apply (*subst List-rec-CONS, blast+*)
done

lemma *Rep-map-Nil* [*simp*]: $\text{Rep-map } f \text{ Nil} = \text{NIL}$
by (*simp add: Rep-map-def*)

lemma *Rep-map-Cons* [*simp*]:
 $\text{Rep-map } f (x\#xs) = \text{CONS}(f x)(\text{Rep-map } f xs)$
by (*simp add: Rep-map-def*)

lemma *Rep-map-type*: $(!!x. f(x): A) ==> \text{Rep-map } f xs: \text{list}(A)$
apply (*simp add: Rep-map-def*)
apply (*rule list-induct, auto*)
done

lemma *Abs-map-NIL* [*simp*]: $\text{Abs-map } g \text{ NIL} = \text{Nil}$
by (*simp add: Abs-map-def*)

lemma *Abs-map-CONS* [*simp*]:
 $[! M: \text{sexp}; N: \text{sexp}] ==> \text{Abs-map } g (\text{CONS } M N) = g(M) \# \text{Abs-map } g N$
by (*simp add: Abs-map-def*)

lemma *def-list-rec-NilCons*:
 $[! xs. f(xs) == \text{list-rec } xs \ c \ h \]$
 $==> f \ [] = c \ \& \ f(x\#xs) = h \ x \ xs \ (f \ xs)$
by *simp*

lemma *Abs-map-inverse*:
 $[! M: \text{list}(A); A <= \text{sexp}; !!z. z: A ==> f(g(z)) = z \]$
 $==> \text{Rep-map } f (\text{Abs-map } g M) = M$
apply (*erule list.induct, simp-all*)
apply (*insert list-subset-sexp*)
apply (*subst Abs-map-CONS, blast*)
apply *blast*
apply *simp*
done

Better to have a single theorem with a conjunctive conclusion.

declare *def-list-rec-NilCons* [*OF list-case-def, simp*]

lemma *expand-list-case*:
 $P(\text{list-case } a \ f \ xs) = ((xs = [] \ --> P \ a) \ \& \ (!y \ ys. xs = y\#ys \ --> P(f \ y \ ys)))$
by (*induct-tac xs rule: list-induct, simp-all*)

declare *def-list-rec-NilCons* [*OF null-def, simp*]
declare *def-list-rec-NilCons* [*OF hd-def, simp*]
declare *def-list-rec-NilCons* [*OF tl-def, simp*]
declare *def-list-rec-NilCons* [*OF ttl-def, simp*]
declare *def-list-rec-NilCons* [*OF append-def, simp*]
declare *def-list-rec-NilCons* [*OF member-def, simp*]
declare *def-list-rec-NilCons* [*OF map-def, simp*]
declare *def-list-rec-NilCons* [*OF filter-def, simp*]
declare *def-list-rec-NilCons* [*OF list-all-def, simp*]

lemma *def-nat-rec-0-eta*:
 $[[!n. f == nat-rec\ c\ h]] ==> f(0) = c$
by *simp*

lemma *def-nat-rec-Suc-eta*:
 $[[!n. f == nat-rec\ c\ h]] ==> f(Suc(n)) = h\ n\ (f\ n)$
by *simp*

declare *def-nat-rec-0-eta* [*OF nth-def, simp*]
declare *def-nat-rec-Suc-eta* [*OF nth-def, simp*]

lemma *length-Nil* [*simp*]: $length([]) = 0$
by (*simp add: length-def*)

lemma *length-Cons* [*simp*]: $length(a\#\ xs) = Suc(length(xs))$
by (*simp add: length-def*)

lemma *append-assoc* [*simp*]: $(xs@ys)@zs = xs@(ys@zs)$
by (*induct-tac xs rule: list-induct, simp-all*)

lemma *append-Nil2* [*simp*]: $xs\ @\ [] = xs$
by (*induct-tac xs rule: list-induct, simp-all*)

lemma *mem-append* [*simp*]: $x\ mem\ (xs@ys) = (x\ mem\ xs\ |\ x\ mem\ ys)$
by (*induct-tac xs rule: list-induct, simp-all*)

lemma *mem-filter* [*simp*]: $x\ mem\ [x:xs.\ P\ x] = (x\ mem\ xs\ \&\ P(x))$

by (*induct-tac xs rule: list-induct, simp-all*)

lemma *list-all-True* [*simp*]: (*Alls x:xs. True*) = *True*

by (*induct-tac xs rule: list-induct, simp-all*)

lemma *list-all-conj* [*simp*]:

list-all p (xs@ys) = ((list-all p xs) & (list-all p ys))

by (*induct-tac xs rule: list-induct, simp-all*)

lemma *list-all-mem-conv*: (*Alls x:xs. P(x)*) = (!*x. x mem xs --> P(x)*)

apply (*induct-tac xs rule: list-induct, simp-all*)

apply *blast*

done

lemma *nat-case-dist* : (! *n. P n*) = (*P 0 & (! n. P (Suc n))*)

apply *auto*

apply (*induct-tac n, auto*)

done

lemma *alls-P-eq-P-nth*: (*Alls u:A. P u*) = (!*n. n < length A --> P(nth n A)*)

apply (*induct-tac A rule: list-induct, simp-all*)

apply (*rule trans*)

apply (*rule-tac [2] nat-case-dist [symmetric], simp-all*)

done

lemma *list-all-imp*:

[! *x. P x --> Q x*; (*Alls x:xs. P(x)*)] ==> (*Alls x:xs. Q(x)*)

by (*simp add: list-all-mem-conv*)

lemma *Abs-Rep-map*:

(!*x. f(x): sexp*) ==>

Abs-map g (Rep-map f xs) = map (%t. g(f(t))) xs

apply (*induct-tac xs rule: list-induct*)

apply (*simp-all add: Rep-map-type list-sexp [THEN subsetD]*)

done

lemma *map-ident* [*simp*]: *map(%x. x)(xs) = xs*

by (*induct-tac xs rule: list-induct, simp-all*)

lemma *map-append* [*simp*]: $\text{map } f (xs @ ys) = \text{map } f xs @ \text{map } f ys$
by (*induct-tac xs rule: list-induct, simp-all*)

lemma *map-compose*: $\text{map}(f \circ g)(xs) = \text{map } f (\text{map } g xs)$
apply (*simp add: o-def*)
apply (*induct-tac xs rule: list-induct, simp-all*)
done

lemma *mem-map-aux1* [*rule-format*]:
 $x \text{ mem } (\text{map } f q) \longrightarrow (\exists y. y \text{ mem } q \ \& \ x = f y)$
by (*induct-tac q rule: list-induct, simp-all, blast*)

lemma *mem-map-aux2* [*rule-format*]:
 $(\exists y. y \text{ mem } q \ \& \ x = f y) \longrightarrow x \text{ mem } (\text{map } f q)$
by (*induct-tac q rule: list-induct, auto*)

lemma *mem-map*: $x \text{ mem } (\text{map } f q) = (\exists y. y \text{ mem } q \ \& \ x = f y)$
apply (*rule iffI*)
apply (*erule mem-map-aux1*)
apply (*erule mem-map-aux2*)
done

lemma *hd-append* [*rule-format*]: $A \sim = [] \longrightarrow \text{hd}(A @ B) = \text{hd}(A)$
by (*induct-tac A rule: list-induct, auto*)

lemma *tl-append* [*rule-format*]: $A \sim = [] \longrightarrow \text{tl}(A @ B) = \text{tl}(A) @ B$
by (*induct-tac A rule: list-induct, auto*)

lemma *take-Suc1* [*simp*]: $\text{take } [] (\text{Suc } x) = []$
by *simp*

lemma *take-Suc2* [*simp*]: $\text{take}(a \# xs)(\text{Suc } x) = a \# \text{take } xs x$
by *simp*

lemma *drop-0* [*simp*]: $\text{drop } xs 0 = xs$
by (*simp add: drop-def*)

lemma *drop-Suc1* [*simp*]: $\text{drop } [] (\text{Suc } x) = []$
apply (*simp add: drop-def*)
apply (*induct-tac x, auto*)
done

lemma *drop-Suc2* [*simp*]: $\text{drop}(a\#xs)(\text{Suc } x) = \text{drop } xs \ x$
by (*simp add: drop-def*)

lemma *copy-0* [*simp*]: $\text{copy } x \ 0 = []$
by (*simp add: copy-def*)

lemma *copy-Suc* [*simp*]: $\text{copy } x \ (\text{Suc } y) = x \ \# \ \text{copy } x \ y$
by (*simp add: copy-def*)

lemma *foldl-Nil* [*simp*]: $\text{foldl } f \ a \ [] = a$
by (*simp add: foldl-def*)

lemma *foldl-Cons* [*simp*]: $\text{foldl } f \ a \ (x\#xs) = \text{foldl } f \ (f \ a \ x) \ xs$
by (*simp add: foldl-def*)

lemma *foldr-Nil* [*simp*]: $\text{foldr } f \ a \ [] = a$
by (*simp add: foldr-def*)

lemma *foldr-Cons* [*simp*]: $\text{foldr } f \ z \ (x\#xs) = f \ x \ (\text{foldr } f \ z \ xs)$
by (*simp add: foldr-def*)

lemma *flat-Nil* [*simp*]: $\text{flat } [] = []$
by (*simp add: flat-def*)

lemma *flat-Cons* [*simp*]: $\text{flat } (x \ \# \ xs) = x \ \text{@} \ \text{flat } xs$
by (*simp add: flat-def*)

lemma *rev-Nil* [*simp*]: $\text{rev } [] = []$
by (*simp add: rev-def*)

lemma *rev-Cons* [*simp*]: $\text{rev } (x \ \# \ xs) = \text{rev } xs \ \text{@} \ [x]$
by (*simp add: rev-def*)

lemma *zipWith-Cons-Cons* [*simp*]:
 $\text{zipWith } f \ (a\#as, b\#bs) = f(a, b) \ \# \ \text{zipWith } f \ (as, bs)$

by (*simp add: zipWith-def*)

lemma *zipWith-Nil-Nil* [*simp*]: $\text{zipWith } f \ (\ [], []) = []$
by (*simp add: zipWith-def*)

lemma *zipWith-Cons-Nil* [*simp*]: $\text{zipWith } f \ (x, []) = []$
apply (*simp add: zipWith-def*)
apply (*induct-tac x rule: list-induct, simp-all*)
done

lemma *zipWith-Nil-Cons* [*simp*]: $\text{zipWith } f \ ([], x) = []$
by (*simp add: zipWith-def*)

lemma *unzip-Nil* [*simp*]: $\text{unzip } [] = ([], [])$
by (*simp add: unzip-def*)

lemma *map-compose-ext*: $\text{map}(f \circ g) = ((\text{map } f) \circ (\text{map } g))$
apply (*simp add: o-def*)
apply (*rule ext*)
apply (*simp add: map-compose [symmetric] o-def*)
done

lemma *map-flat*: $\text{map } f \ (\text{flat } S) = \text{flat}(\text{map } (\text{map } f) S)$
by (*induct-tac S rule: list-induct, simp-all*)

lemma *list-all-map-eq*: $(\text{Alls } u:xs. f(u) = g(u)) \longrightarrow \text{map } f \ xs = \text{map } g \ xs$
by (*induct-tac xs rule: list-induct, simp-all*)

lemma *filter-map-d*: $\text{filter } p \ (\text{map } f \ xs) = \text{map } f \ (\text{filter}(p \circ f)(xs))$
by (*induct-tac xs rule: list-induct, simp-all*)

lemma *filter-compose*: $\text{filter } p \ (\text{filter } q \ xs) = \text{filter}(\%x. p \ x \ \& \ q \ x) \ xs$
by (*induct-tac xs rule: list-induct, simp-all*)

lemma *filter-append* [*rule-format, simp*]:
 $\forall B. \text{filter } p \ (A \ @ \ B) = (\text{filter } p \ A \ @ \ \text{filter } p \ B)$
by (*induct-tac A rule: list-induct, simp-all*)

lemma *length-append*: $\text{length}(xs@ys) = \text{length}(xs) + \text{length}(ys)$
by (*induct-tac xs rule: list-induct, simp-all*)

lemma *length-map*: $\text{length}(\text{map } f \text{ } xs) = \text{length}(xs)$
by (*induct-tac xs rule: list-induct, simp-all*)

lemma *take-Nil* [*simp*]: $\text{take } [] \text{ } n = []$
by (*induct-tac n, simp-all*)

lemma *take-take-eq* [*simp*]: $\forall n. \text{take } (\text{take } xs \text{ } n) \text{ } n = \text{take } xs \text{ } n$
apply (*induct-tac xs rule: list-induct, simp-all*)
apply (*rule allI*)
apply (*induct-tac n, auto*)
done

lemma *take-take-Suc-eq1* [*rule-format*]:
 $\forall n. \text{take } (\text{take } xs \text{ } (\text{Suc}(n+m))) \text{ } n = \text{take } xs \text{ } n$
apply (*induct-tac xs rule: list-induct, simp-all*)
apply (*rule allI*)
apply (*induct-tac n, auto*)
done

declare *take-Suc* [*simp del*]

lemma *take-take-1*: $\text{take } (\text{take } xs \text{ } (n+m)) \text{ } n = \text{take } xs \text{ } n$
apply (*induct-tac m*)
apply (*simp-all add: take-take-Suc-eq1*)
done

lemma *take-take-Suc-eq2* [*rule-format*]:
 $\forall n. \text{take } (\text{take } xs \text{ } n) \text{ } (\text{Suc}(n+m)) = \text{take } xs \text{ } n$
apply (*induct-tac xs rule: list-induct, simp-all*)
apply (*rule allI*)
apply (*induct-tac n, auto*)
done

lemma *take-take-2*: $\text{take}(\text{take } xs \text{ } n)(n+m) = \text{take } xs \text{ } n$
apply (*induct-tac m*)
apply (*simp-all add: take-take-Suc-eq2*)
done

lemma *drop-Nil* [*simp*]: $\text{drop } [] \text{ } n = []$
by (*induct-tac n, auto*)

lemma *drop-drop* [*rule-format*]: $\forall xs. \text{drop} (\text{drop } xs \ m) \ n = \text{drop } xs(m+n)$
apply (*induct-tac* *m*, *auto*)
apply (*induct-tac* *xs* *rule: list-induct*, *auto*)
done

lemma *take-drop* [*rule-format*]: $\forall xs. (\text{take } xs \ n) \ @ \ (\text{drop } xs \ n) = xs$
apply (*induct-tac* *n*, *auto*)
apply (*induct-tac* *xs* *rule: list-induct*, *auto*)
done

lemma *copy-copy*: $\text{copy } x \ n \ @ \ \text{copy } x \ m = \text{copy } x \ (n+m)$
by (*induct-tac* *n*, *auto*)

lemma *length-copy*: $\text{length}(\text{copy } x \ n) = n$
by (*induct-tac* *n*, *auto*)

lemma *length-take* [*rule-format*, *simp*]:
 $\forall xs. \text{length}(\text{take } xs \ n) = \min (\text{length } xs) \ n$
apply (*induct-tac* *n*)
apply *auto*
apply (*induct-tac* *xs* *rule: list-induct*)
apply *auto*
done

lemma *length-take-drop*: $\text{length}(\text{take } A \ k) + \text{length}(\text{drop } A \ k) = \text{length}(A)$
by (*simp only: length-append [symmetric] take-drop*)

lemma *take-append* [*rule-format*]: $\forall A. \text{length}(A) = n \ \longrightarrow \ \text{take}(A@B) \ n = A$
apply (*induct-tac* *n*)
apply (*rule allI*)
apply (*rule-tac* [2] *allI*)
apply (*induct-tac* *A* *rule: list-induct*)
apply (*induct-tac* [3] *A* *rule: list-induct, simp-all*)
done

lemma *take-append2* [*rule-format*]:
 $\forall A. \text{length}(A) = n \ \longrightarrow \ \text{take}(A@B) \ (n+k) = A \ @ \ \text{take } B \ k$
apply (*induct-tac* *n*)
apply (*rule allI*)
apply (*rule-tac* [2] *allI*)
apply (*induct-tac* *A* *rule: list-induct*)
apply (*induct-tac* [3] *A* *rule: list-induct, simp-all*)
done

lemma *take-map* [*rule-format*]: $\forall n. \text{take} (\text{map } f \ A) \ n = \text{map } f \ (\text{take } A \ n)$
apply (*induct-tac* *A* *rule: list-induct, simp-all*)
apply (*rule allI*)
apply (*induct-tac* *n*, *simp-all*)

done

lemma *drop-append* [rule-format]: $\forall A. \text{length}(A) = n \dashrightarrow \text{drop}(A@B)n = B$
apply (*induct-tac* n)
apply (*rule allI*)
apply (*rule-tac* [2] *allI*)
apply (*induct-tac* A *rule: list-induct*)
apply (*induct-tac* [3] A *rule: list-induct, simp-all*)
done

lemma *drop-append2* [rule-format]:
 $\forall A. \text{length}(A) = n \dashrightarrow \text{drop}(A@B)(n+k) = \text{drop } B \ k$
apply (*induct-tac* n)
apply (*rule allI*)
apply (*rule-tac* [2] *allI*)
apply (*induct-tac* A *rule: list-induct*)
apply (*induct-tac* [3] A *rule: list-induct, simp-all*)
done

lemma *drop-all* [rule-format]: $\forall A. \text{length}(A) = n \dashrightarrow \text{drop } A \ n = []$
apply (*induct-tac* n)
apply (*rule allI*)
apply (*rule-tac* [2] *allI*)
apply (*induct-tac* A *rule: list-induct*)
apply (*induct-tac* [3] A *rule: list-induct, auto*)
done

lemma *drop-map* [rule-format]: $\forall n. \text{drop } (\text{map } f \ A) \ n = \text{map } f \ (\text{drop } A \ n)$
apply (*induct-tac* A *rule: list-induct, simp-all*)
apply (*rule allI*)
apply (*induct-tac* n , *simp-all*)
done

lemma *take-all* [rule-format]: $\forall A. \text{length}(A) = n \dashrightarrow \text{take } A \ n = A$
apply (*induct-tac* n)
apply (*rule allI*)
apply (*rule-tac* [2] *allI*)
apply (*induct-tac* A *rule: list-induct*)
apply (*induct-tac* [3] A *rule: list-induct, auto*)
done

lemma *foldl-single*: $\text{foldl } f \ a \ [b] = f \ a \ b$
by *simp-all*

lemma *foldl-append* [rule-format, simp]:
 $\forall a. \text{foldl } f \ a \ (A @ B) = \text{foldl } f \ (\text{foldl } f \ a \ A) \ B$
by (*induct-tac* A *rule: list-induct, simp-all*)

lemma *foldl-map* [*rule-format*]:
 $\forall e. \text{foldl } f \ e \ (\text{map } g \ S) = \text{foldl } (\%x \ y. f \ x \ (g \ y)) \ e \ S$
by (*induct-tac* *S* *rule*: *list-induct*, *simp-all*)

lemma *foldl-neutr-distr* [*rule-format*]:
assumes *r-neutr*: $\forall a. f \ a \ e = a$
and *r-neutl*: $\forall a. f \ e \ a = a$
and *assoc*: $\forall a \ b \ c. f \ a \ (f \ b \ c) = f \ (f \ a \ b) \ c$
shows $\forall y. f \ y \ (\text{foldl } f \ e \ A) = \text{foldl } f \ y \ A$
apply (*induct-tac* *A* *rule*: *list-induct*)
apply (*simp-all* *add*: *r-neutr* *r-neutl*, *clarify*)
apply (*erule* *all-dupE*)
apply (*rule* *trans*)
prefer 2 **apply** *assumption*
apply (*simp* (*no-asm-use*) *add*: *assoc* [*THEN* *spec*, *THEN* *spec*, *THEN* *spec*, *THEN* *sym*])
apply *simp*
done

lemma *foldl-append-sym*:
 $[[!a. f \ a \ e = a; !a. f \ e \ a = a;$
 $!a \ b \ c. f \ a \ (f \ b \ c) = f \ (f \ a \ b) \ c]]$
 $\implies \text{foldl } f \ e \ (A \ @ \ B) = f \ (\text{foldl } f \ e \ A) \ (\text{foldl } f \ e \ B)$
apply (*rule* *trans*)
apply (*rule* *foldl-append*)
apply (*rule* *sym*)
apply (*rule* *foldl-neutr-distr*, *auto*)
done

lemma *foldr-append* [*rule-format*, *simp*]:
 $\forall a. \text{foldr } f \ a \ (A \ @ \ B) = \text{foldr } f \ (\text{foldr } f \ a \ B) \ A$
apply (*induct-tac* *A* *rule*: *list-induct*, *simp-all*)
done

lemma *foldr-map* [*rule-format*]: $\forall e. \text{foldr } f \ e \ (\text{map } g \ S) = \text{foldr } (f \ o \ g) \ e \ S$
apply (*simp* *add*: *o-def*)
apply (*induct-tac* *S* *rule*: *list-induct*, *simp-all*)
done

lemma *foldr-Un-eq-UN*: $\text{foldr } op \ Un \ \{ \} \ S = (UN \ X: \{t. t \ mem \ S\}. X)$
by (*induct-tac* *S* *rule*: *list-induct*, *auto*)

lemma *foldr-neutr-distr*:
 $[[!a. f \ e \ a = a; !a \ b \ c. f \ a \ (f \ b \ c) = f \ (f \ a \ b) \ c]]$
 $\implies \text{foldr } f \ y \ S = f \ (\text{foldr } f \ e \ S) \ y$
by (*induct-tac* *S* *rule*: *list-induct*, *auto*)

lemma *foldr-append2*:

```

[[ !a. f e a = a; !a b c. f a (f b c) = f(f a b) c ]]
==> foldr f e (A @ B) = f (foldr f e A) (foldr f e B)
apply auto
apply (rule foldr-neutr-distr, auto)
done

```

```

lemma foldr-flat:
[[ !a. f e a = a; !a b c. f a (f b c) = f(f a b) c ]] ==>
  foldr f e (flat S) = (foldr f e)(map (foldr f e) S)
apply (induct-tac S rule: list-induct)
apply (simp-all del: foldr-append add: foldr-append2)
done

```

```

lemma list-all-map: (Alls x:map f xs .P(x)) = (Alls x:xs.(P o f)(x))
by (induct-tac xs rule: list-induct, auto)

```

```

lemma list-all-and:
(Alls x:xs. P(x)&Q(x)) = ((Alls x:xs. P(x))&(Alls x:xs. Q(x)))
by (induct-tac xs rule: list-induct, auto)

```

```

lemma nth-map [rule-format]:
   $\forall i. i < \text{length}(A) \rightarrow \text{nth } i (\text{map } f A) = f(\text{nth } i A)$ 
apply (induct-tac A rule: list-induct, simp-all)
apply (rule allI)
apply (induct-tac i, auto)
done

```

```

lemma nth-app-cancel-right [rule-format]:
   $\forall i. i < \text{length}(A) \rightarrow \text{nth } i (A @ B) = \text{nth } i A$ 
apply (induct-tac A rule: list-induct, simp-all)
apply (rule allI)
apply (induct-tac i, simp-all)
done

```

```

lemma nth-app-cancel-left [rule-format]:
   $\forall n. n = \text{length}(A) \rightarrow \text{nth}(n+i)(A @ B) = \text{nth } i B$ 
by (induct-tac A rule: list-induct, simp-all)

```

```

lemma flat-append [simp]: flat(xs@ys) = flat(xs) @ flat(ys)
by (induct-tac xs rule: list-induct, auto)

```

```

lemma filter-flat: filter p (flat S) = flat(map (filter p) S)
by (induct-tac S rule: list-induct, auto)

```

lemma *rev-append* [simp]: $rev(xs@ys) = rev(ys) @ rev(xs)$
by (*induct-tac xs rule: list-induct, auto*)

lemma *rev-rev-ident* [simp]: $rev(rev l) = l$
by (*induct-tac l rule: list-induct, auto*)

lemma *rev-flat*: $rev(flat ls) = flat (map rev (rev ls))$
by (*induct-tac ls rule: list-induct, auto*)

lemma *rev-map-distrib*: $rev(map f l) = map f (rev l)$
by (*induct-tac l rule: list-induct, auto*)

lemma *foldl-rev*: $foldl f b (rev l) = foldr (\%x y. f y x) b l$
by (*induct-tac l rule: list-induct, auto*)

lemma *foldr-rev*: $foldr f b (rev l) = foldl (\%x y. f y x) b l$
apply (*rule sym*)
apply (*rule trans*)
apply (*rule-tac [2] foldl-rev, simp*)
done

end

11 Definition of type llist by a greatest fixed point

theory *LList* **imports** *Main SList* **begin**

consts

llist :: 'a item set => 'a item set
LListD :: ('a item * 'a item) set => ('a item * 'a item) set

coinductive *llist*(A)

intros

NIL-I: $NIL \in llist(A)$

CONS-I: $[[a \in A; M \in llist(A)]] \implies CONS a M \in llist(A)$

coinductive *LListD*(r)

intros

NIL-I: $(NIL, NIL) \in LListD(r)$

CONS-I: $[[(a,b) \in r; (M,N) \in LListD(r)]] \implies (CONS a M, CONS b N) \in LListD(r)$

```

typedef (LList)
  'a llist = llist(range Leaf) :: 'a item set
  by (blast intro: llist.NIL-I)

constdefs
  list-Fun  :: ['a item set, 'a item set] => 'a item set
  — Now used exclusively for abbreviating the coinduction rule
  list-Fun A X == {z. z = NIL | (∃ M a. z = CONS a M & a ∈ A & M ∈ X)}

  LListD-Fun ::
    [('a item * 'a item)set, ('a item * 'a item)set] =>
      ('a item * 'a item)set
  LListD-Fun r X ==
    {z. z = (NIL, NIL) |
      (∃ M N a b. z = (CONS a M, CONS b N) & (a, b) ∈ r & (M, N) ∈ X)}

  LNil :: 'a llist
  — abstract constructor
  LNil == Abs-LList NIL

  LCons :: ['a, 'a llist] => 'a llist
  — abstract constructor
  LCons x xs == Abs-LList(CONS (Leaf x) (Rep-LList xs))

  llist-case :: ['b, ['a, 'a llist]=>'b, 'a llist] => 'b
  llist-case c d l ==
    List-case c (%x y. d (inv Leaf x) (Abs-LList y)) (Rep-LList l)

  LList-corec-fun :: [nat, 'a=> ('b item * 'a) option, 'a] => 'b item
  LList-corec-fun k f ==
    nat-rec (%x. {})
      (%j r x. case f x of None    => NIL
                    | Some(z,w) => CONS z (r w))
      k

  LList-corec    :: ['a, 'a => ('b item * 'a) option] => 'b item
  LList-corec a f == ∪ k. LList-corec-fun k f a

  llist-corec    :: ['a, 'a => ('b * 'a) option] => 'b llist
  llist-corec a f ==
    Abs-LList(LList-corec a
      (%z. case f z of None    => None
                    | Some(v,w) => Some(Leaf(v), w)))

  llistD-Fun :: ('a llist * 'a llist)set => ('a llist * 'a llist)set
  llistD-Fun(r) ==
    prod-fun Abs-LList Abs-LList '
      LListD-Fun (diag(range Leaf))
      (prod-fun Rep-LList Rep-LList ' r)

```

The case syntax for type *'a llist*

translations

case p of LNil => a | LCons x l => b == llist-case a (%x l. b) p

11.0.2 Sample function definitions. Item-based ones start with *L*

constdefs

Lmap :: ('a item => 'b item) => ('a llist => 'b llist)
Lmap f M == LList-corec M (List-case None (%x M'. Some((f(x), M'))))

lmap :: ('a=>'b) => ('a llist => 'b llist)
*lmap f l == llist-corec l (%z. case z of LNil => None
| LCons y z => Some(f(y), z))*

iterates :: ['a => 'a, 'a] => 'a llist
iterates f a == llist-corec a (%x. Some((x, f(x))))

Lconst :: 'a item => 'a item
Lconst(M) == lfp(%N. CONS M N)

Lappend :: ['a item, 'a item] => 'a item
*Lappend M N == LList-corec (M,N)
(split(List-case (List-case None (%N1 N2. Some((N1, (NIL,N2))))
(%M1 M2 N. Some((M1, (M2,N))))))*

lappend :: ['a llist, 'a llist] => 'a llist
*lappend l n == llist-corec (l,n)
(split(llist-case (llist-case None (%n1 n2. Some((n1, (LNil,n2))))
(%l1 l2 n. Some((l1, (l2,n))))))*

Append generates its result by applying *f*, where *f*((NIL,NIL)) = None
f((NIL, CONS N1 N2)) = Some((N1, (NIL,N2)) *f*((CONS M1 M2, N)) =
Some((M1, (M2,N))

SHOULD *LListD-Fun-CONS-I*, etc., be equations (for rewriting)?

lemmas *UN1-I = UNIV-I [THEN UN-I, standard]*

11.0.3 Simplification

declare *option.split [split]*

This justifies using *llist* in other recursive type definitions

lemma *llist-mono: A<=B ==> llist(A) <= llist(B)*

apply (*unfold llist.defs*)

apply (*rule gfp-mono*)

apply (*assumption | rule basic-monos*)+

done

lemma *llist-unfold*: $l\text{list}(A) = \text{usum } \{Numb(0)\} (\text{uprod } A (l\text{list } A))$
by (*fast intro!*: *l\text{list.intros}* [*unfolded NIL-def CONS-def*]
elim: *l\text{list.cases}* [*unfolded NIL-def CONS-def*])

11.1 Type checking by coinduction

... using *list-Fun* THE COINDUCTIVE DEFINITION PACKAGE COULD DO THIS!

lemma *l\text{list-coinduct}*:
 $[[M \in X; X \leq \text{list-Fun } A (X \text{ Un } l\text{list}(A))]] \implies M \in l\text{list}(A)$
apply (*unfold list-Fun-def*)
apply (*erule l\text{list.coinduct}*)
apply (*erule subsetD* [*THEN CollectD*], *assumption*)
done

lemma *list-Fun-NIL-I* [*iff*]: $NIL \in \text{list-Fun } A X$
by (*unfold list-Fun-def NIL-def*, *fast*)

lemma *list-Fun-CONS-I* [*intro!,simp*]:
 $[[M \in A; N \in X]] \implies \text{CONS } M N \in \text{list-Fun } A X$
apply (*unfold list-Fun-def CONS-def*, *fast*)
done

Utilise the “strong” part, i.e. $\text{gfp}(f)$

lemma *list-Fun-l\text{list-I}*: $M \in l\text{list}(A) \implies M \in \text{list-Fun } A (X \text{ Un } l\text{list}(A))$
apply (*unfold l\text{list.defs list-Fun-def*)
apply (*rule gfp-fun-UnI2*)
apply (*rule monoI*, *blast*)
apply *assumption*
done

11.2 *LList-corec* satisfies the desired recursion equation

A continuity result?

lemma *CONS-UN1*: $\text{CONS } M (\bigcup x. f(x)) = (\bigcup x. \text{CONS } M (f x))$
apply (*unfold CONS-def*)
apply (*simp add: In1-UN1 Scons-UN1-y*)
done

lemma *CONS-mono*: $[[M \leq M'; N \leq N']] \implies \text{CONS } M N \leq \text{CONS } M' N'$
apply (*unfold CONS-def*)
apply (*assumption* | *rule In1-mono Scons-mono*)
done

declare *LList-corec-fun-def* [*THEN def-nat-rec-0*, *simp*]
LList-corec-fun-def [*THEN def-nat-rec-Suc*, *simp*]

11.2.1 The directions of the equality are proved separately

lemma *LList-corec-subset1*:

```

  LList-corec a f <=
    (case f a of None => NIL | Some(z,w) => CONS z (LList-corec w f))
apply (unfold LList-corec-def)
apply (rule UN-least)
apply (case-tac k)
apply (simp-all (no-asm-simp))
apply (rule allI impI subset-refl [THEN CONS-mono] UNIV-I [THEN UN-upper])+
done

```

lemma *LList-corec-subset2*:

```

  (case f a of None => NIL | Some(z,w) => CONS z (LList-corec w f)) <=
    LList-corec a f
apply (unfold LList-corec-def)
apply (simp add: CONS-UN1, safe)
apply (rule-tac a=Suc(?k) in UN-I, simp, simp)+
done

```

the recursion equation for *LList-corec* – NOT SUITABLE FOR REWRITING!

lemma *LList-corec*:

```

  LList-corec a f =
    (case f a of None => NIL | Some(z,w) => CONS z (LList-corec w f))
by (rule equalityI LList-corec-subset1 LList-corec-subset2)+

```

definitional version of same

lemma *def-LList-corec*:

```

  [| !!x. h(x) == LList-corec x f |]
  ==> h(a) = (case f a of None => NIL | Some(z,w) => CONS z (h w))
by (simp add: LList-corec)

```

A typical use of co-induction to show membership in the *gfp*. Bisimulation is $\text{range}(\%x. \text{LList-corec } x \text{ } f)$

lemma *LList-corec-type*: $\text{LList-corec } a \text{ } f \in \text{lList UNIV}$

```

apply (rule-tac X = range (%x. LList-corec x ?g) in llist-coinduct)
apply (rule rangeI, safe)
apply (subst LList-corec, simp)
done

```

11.3 *lList* equality as a *gfp*; the bisimulation principle

This theorem is actually used, unlike the many similar ones in ZF

```

lemma LListD-unfold:  $\text{LListD } r = \text{dsum } (\text{diag } \{\text{Numb } 0\}) (\text{dprod } r (\text{LListD } r))$ 
by (fast intro!: LListD.intros [unfolded NIL-def CONS-def]
  elim: LListD.cases [unfolded NIL-def CONS-def])

```

```

lemma LListD-implies-ntrunc-equality [rule-format]:
   $\forall M N. (M,N) \in \text{LListD}(\text{diag } A) \dashrightarrow \text{ntrunc } k M = \text{ntrunc } k N$ 
apply (induct-tac k rule: nat-less-induct)
apply (safe del: equalityI)
apply (erule LListD.cases)
apply (safe del: equalityI)
apply (case-tac n, simp)
apply (rename-tac n')
apply (case-tac n')
apply (simp-all add: CONS-def less-Suc-eq)
done

```

The domain of the *LListD* relation

```

lemma Domain-LListD:
   $\text{Domain } (\text{LListD}(\text{diag } A)) \leq \text{lList}(A)$ 
apply (unfold lList.defs NIL-def CONS-def)
apply (rule gfp-upperbound)

```

avoids unfolding *LListD* on the rhs

```

apply (rule-tac  $P = \%x. \text{Domain } x \leq ?B$  in LListD-unfold [THEN ssubst],
  simp)
apply blast
done

```

This inclusion justifies the use of coinduction to show $M = N$

```

lemma LListD-subset-diag:  $\text{LListD}(\text{diag } A) \leq \text{diag}(\text{lList}(A))$ 
apply (rule subsetI)
apply (rule-tac  $p = x$  in PairE, safe)
apply (rule diag-eqI)
apply (rule LListD-implies-ntrunc-equality [THEN ntrunc-equality], assumption)
apply (erule DomainI [THEN Domain-LListD [THEN subsetD]])
done

```

11.3.1 Coinduction, using *LListD-Fun*

THE COINDUCTIVE DEFINITION PACKAGE COULD DO THIS!

```

lemma LListD-Fun-mono:  $A \leq B \implies \text{LListD-Fun } r A \leq \text{LListD-Fun } r B$ 
apply (unfold LListD-Fun-def)
apply (assumption | rule basic-monos)+
done

```

```

lemma LListD-coinduct:
   $[\![ M \in X; X \leq \text{LListD-Fun } r (X \text{ Un } \text{LListD}(r)) \!\!] \implies M \in \text{LListD}(r)$ 
apply (unfold LListD-Fun-def)
apply (erule LListD.coinduct)
apply (erule subsetD [THEN CollectD], assumption)
done

```

lemma *LListD-Fun-NIL-I*: $(NIL, NIL) \in LListD-Fun\ r\ s$
by (*unfold LListD-Fun-def NIL-def, fast*)

lemma *LListD-Fun-CONS-I*:
 $[[\ x \in A; (M, N):s \]] \implies (CONS\ x\ M, CONS\ x\ N) \in LListD-Fun\ (diag\ A)\ s$
apply (*unfold LListD-Fun-def CONS-def, blast*)
done

Utilise the "strong" part, i.e. $gfp(f)$

lemma *LListD-Fun-LListD-I*:
 $M \in LListD(r) \implies M \in LListD-Fun\ r\ (X\ Un\ LListD(r))$
apply (*unfold LListD.defs LListD-Fun-def*)
apply (*rule gfp-fun-UnI2*)
apply (*rule monoI, blast*)
apply *assumption*
done

This converse inclusion helps to strengthen *LList-equalityI*

lemma *diag-subset-LListD*: $diag(llist(A)) \leq LListD(diag\ A)$
apply (*rule subsetI*)
apply (*erule LListD-coinduct*)
apply (*rule subsetI*)
apply (*erule diagE*)
apply (*erule ssubst*)
apply (*erule llist.cases*)
apply (*simp-all add: diagI LListD-Fun-NIL-I LListD-Fun-CONS-I*)
done

lemma *LListD-eq-diag*: $LListD(diag\ A) = diag(llist(A))$
apply (*rule equalityI LListD-subset-diag diag-subset-LListD*)
done

lemma *LListD-Fun-diag-I*: $M \in llist(A) \implies (M, M) \in LListD-Fun\ (diag\ A)\ (X\ Un\ diag(llist(A)))$
apply (*rule LListD-eq-diag [THEN subset]*)
apply (*rule LListD-Fun-LListD-I*)
apply (*simp add: LListD-eq-diag diagI*)
done

11.3.2 To show two LLists are equal, exhibit a bisimulation! [also admits true equality] Replace A by some particular set, like $\{x. True\}$???

lemma *LList-equalityI*:
 $[[\ (M, N) \in r; r \leq LListD-Fun\ (diag\ A)\ (r\ Un\ diag(llist(A))) \]] \implies M=N$
apply (*rule LListD-subset-diag [THEN subsetD, THEN diagE]*)
apply (*erule LListD-coinduct*)
apply (*simp add: LListD-eq-diag, safe*)

done

11.4 Finality of $l\text{list}(A)$: Uniqueness of functions defined by corecursion

We must remove *Pair-eq* because it may turn an instance of reflexivity $(h1\ b, h2\ b) = (h1\ ?x17, h2\ ?x17)$ into a conjunction! (or strengthen the Solver?)

declare *Pair-eq* [*simp del*]

abstract proof using a bisimulation

lemma *LList-corec-unique*:

$[[\text{!!}x. h1(x) = (\text{case } f\ x \text{ of } \text{None} \Rightarrow \text{NIL} \mid \text{Some}(z,w) \Rightarrow \text{CONS } z\ (h1\ w));$
 $\text{!!}x. h2(x) = (\text{case } f\ x \text{ of } \text{None} \Rightarrow \text{NIL} \mid \text{Some}(z,w) \Rightarrow \text{CONS } z\ (h2\ w)) \text{]}]$
 $\Rightarrow h1=h2$

apply (*rule ext*)

next step avoids an unknown (and flexflex pair) in simplification

apply (*rule-tac A = UNIV and r = range(%u. (h1 u, h2 u))*
in LList-equalityI)

apply (*rule rangeI, safe*)

apply (*simp add: LListD-Fun-NIL-I UNIV-I [THEN LListD-Fun-CONS-I]*)

done

lemma *equals-LList-corec*:

$[[\text{!!}x. h(x) = (\text{case } f\ x \text{ of } \text{None} \Rightarrow \text{NIL} \mid \text{Some}(z,w) \Rightarrow \text{CONS } z\ (h\ w)) \text{]}]$
 $\Rightarrow h = (\%x. \text{LList-corec } x\ f)$

by (*simp add: LList-corec-unique LList-corec*)

11.4.1 Obsolete proof of *LList-corec-unique*: complete induction, not coinduction

lemma *ntrunc-one-CONS* [*simp*]: *ntrunc (Suc 0) (CONS M N) = {}*

by (*simp add: CONS-def ntrunc-one-In1*)

lemma *ntrunc-CONS* [*simp*]:

ntrunc (Suc(Suc(k))) (CONS M N) = CONS (ntrunc k M) (ntrunc k N)

by (*simp add: CONS-def*)

lemma

assumes *prem1*:

$\text{!!}x. h1\ x = (\text{case } f\ x \text{ of } \text{None} \Rightarrow \text{NIL} \mid \text{Some}(z,w) \Rightarrow \text{CONS } z\ (h1\ w))$

and *prem2*:

$\text{!!}x. h2\ x = (\text{case } f\ x \text{ of } \text{None} \Rightarrow \text{NIL} \mid \text{Some}(z,w) \Rightarrow \text{CONS } z\ (h2\ w))$

shows $h1=h2$

apply (*rule ntrunc-equality [THEN ext]*)

apply (*rule-tac x = x in spec*)

apply (*induct-tac k rule: nat-less-induct*)

```

apply (rename-tac n)
apply (rule allI)
apply (subst prem1)
apply (subst prem2, simp)
apply (intro strip)
apply (case-tac n)
apply (rename-tac [2] m)
apply (case-tac [2] m)
apply simp-all
done

```

11.5 Lconst: defined directly by lfp

But it could be defined by corecursion.

```

lemma Lconst-fun-mono: mono(CONS(M))
apply (rule monoI subset-refl CONS-mono)+
apply assumption
done

```

$Lconst(M) = CONS\ M\ (Lconst\ M)$

```

lemmas Lconst = Lconst-fun-mono [THEN Lconst-def [THEN def-lfp-unfold]]

```

A typical use of co-induction to show membership in the gfp. The containing set is simply the singleton $\{Lconst(M)\}$.

```

lemma Lconst-type:  $M \in A \implies Lconst(M) : llist(A)$ 
apply (rule singletonI [THEN llist-coinduct], safe)
apply (rule-tac  $P = \%u. u \in ?A$  in Lconst [THEN ssubst])
apply (assumption | rule list-Fun-CONS-I singletonI UnI1)+
done

```

```

lemma Lconst-eq-LList-corec:  $Lconst(M) = LList-corec\ M\ (\%x. Some(x,x))$ 
apply (rule equals-LList-corec [THEN fun-cong], simp)
apply (rule Lconst)
done

```

Thus we could have used gfp in the definition of Lconst

```

lemma gfp-Lconst-eq-LList-corec:  $gfp(\%N. CONS\ M\ N) = LList-corec\ M\ (\%x. Some(x,x))$ 
apply (rule equals-LList-corec [THEN fun-cong], simp)
apply (rule Lconst-fun-mono [THEN gfp-unfold])
done

```

11.6 Isomorphisms

```

lemma LListI:  $x \in llist\ (range\ Leaf) \implies x \in LList$ 
by (unfold LList-def, simp)

```

```

lemma LListD:  $x \in LList \implies x \in llist\ (range\ Leaf)$ 
by (unfold LList-def, simp)

```

11.6.1 Distinctness of constructors

```
lemma LCons-not-LNil [iff]: ~ LCons x xs = LNil
apply (unfold LNil-def LCons-def)
apply (subst Abs-LList-inject)
apply (rule llist.intros CONS-not-NIL rangeI LListI Rep-LList [THEN LListD])+
done
```

```
lemmas LNil-not-LCons [iff] = LCons-not-LNil [THEN not-sym, standard]
```

11.6.2 llist constructors

```
lemma Rep-LList-LNil: Rep-LList LNil = NIL
apply (unfold LNil-def)
apply (rule llist.NIL-I [THEN LListI, THEN Abs-LList-inverse])
done
```

```
lemma Rep-LList-LCons: Rep-LList(LCons x l) = CONS (Leaf x) (Rep-LList l)
apply (unfold LCons-def)
apply (rule llist.CONS-I [THEN LListI, THEN Abs-LList-inverse]
         rangeI Rep-LList [THEN LListD])+
done
```

11.6.3 Injectiveness of CONS and LCons

```
lemma CONS-CONS-eq2: (CONS M N = CONS M' N') = (M = M' & N = N')
apply (unfold CONS-def)
apply (fast elim!: Scons-inject)
done
```

```
lemmas CONS-inject = CONS-CONS-eq [THEN iffD1, THEN conjE, standard]
```

For reasoning about abstract llist constructors

```
declare Rep-LList [THEN LListD, intro] LListI [intro]
declare llist.intros [intro]
```

```
lemma LCons-LCons-eq [iff]: (LCons x xs = LCons y ys) = (x = y & xs = ys)
apply (unfold LCons-def)
apply (subst Abs-LList-inject)
apply (auto simp add: Rep-LList-inject)
done
```

```
lemma CONS-D2: CONS M N ∈ llist(A) ==> M ∈ A & N ∈ llist(A)
apply (erule llist.cases)
apply (erule CONS-neq-NIL, fast)
done
```

11.7 Reasoning about *llist*(*A*)

A special case of *list-equality* for functions over lazy lists

lemma *LList-fun-equalityI*:
 $\llbracket M \in \text{lList}(A); g(\text{NIL}): \text{lList}(A);$
 $f(\text{NIL})=g(\text{NIL});$
 $\forall x l. \llbracket x \in A; l \in \text{lList}(A) \rrbracket \implies$
 $(f(\text{CONS } x l), g(\text{CONS } x l)) \in$
 $\text{LListD-Fun } (\text{diag } A) ((\%u.(f(u),g(u))) \text{lList}(A) \text{Un}$
 $\text{diag}(\text{lList}(A)))$
 $\rrbracket \implies f(M) = g(M)$
apply (*rule LList-equalityI*)
apply (*erule imageI*)
apply (*rule image-subsetI*)
apply (*erule-tac aa=x in lList.cases*)
apply (*erule ssubst, erule ssubst, erule LListD-Fun-diag-I, blast*)
done

11.8 The functional *Lmap*

lemma *Lmap-NIL* [*simp*]: $Lmap f \text{NIL} = \text{NIL}$
by (*rule Lmap-def [THEN def-LList-corec, THEN trans], simp*)

lemma *Lmap-CONS* [*simp*]: $Lmap f (\text{CONS } M N) = \text{CONS } (f M) (Lmap f N)$
by (*rule Lmap-def [THEN def-LList-corec, THEN trans], simp*)

Another type-checking proof by coinduction

lemma *Lmap-type*:
 $\llbracket M \in \text{lList}(A); \forall x. x \in A \implies f(x):B \rrbracket \implies Lmap f M \in \text{lList}(B)$
apply (*erule imageI [THEN lList-coinduct], safe*)
apply (*erule lList.cases, simp-all*)
done

This type checking rule synthesises a sufficiently large set for *f*

lemma *Lmap-type2*: $M \in \text{lList}(A) \implies Lmap f M \in \text{lList}(f'A)$
apply (*erule Lmap-type*)
apply (*erule imageI*)
done

11.8.1 Two easy results about *Lmap*

lemma *Lmap-compose*: $M \in \text{lList}(A) \implies Lmap (f \circ g) M = Lmap f (Lmap g M)$
apply (*unfold o-def*)
apply (*erule imageI*)
apply (*erule LList-equalityI, safe*)
apply (*erule lList.cases, simp-all*)
apply (*rule LListD-Fun-NIL-I imageI UnI1 rangeI [THEN LListD-Fun-CONS-I]*)
apply *assumption*
done

lemma *Lmap-ident*: $M \in \text{lList}(A) \implies Lmap (\%x. x) M = M$

```

apply (drule imageI)
apply (erule LList-equalityI, safe)
apply (erule llist.cases, simp-all)
apply (rule LListD-Fun-NIL-I imageI UnI1 rangeI [THEN LListD-Fun-CONS-I])+
apply assumption
done

```

11.9 *Lappend* – its two arguments cause some complications!

```

lemma Lappend-NIL-NIL [simp]: Lappend NIL NIL = NIL
apply (unfold Lappend-def)
apply (rule LList-corec [THEN trans], simp)
done

```

```

lemma Lappend-NIL-CONS [simp]:
  Lappend NIL (CONS N N') = CONS N (Lappend NIL N')
apply (unfold Lappend-def)
apply (rule LList-corec [THEN trans], simp)
done

```

```

lemma Lappend-CONS [simp]:
  Lappend (CONS M M') N = CONS M (Lappend M' N)
apply (unfold Lappend-def)
apply (rule LList-corec [THEN trans], simp)
done

```

```

declare llist.intros [simp] LListD-Fun-CONS-I [simp]
  range-eqI [simp] image-eqI [simp]

```

```

lemma Lappend-NIL [simp]:  $M \in \text{llist}(A) \implies \text{Lappend NIL } M = M$ 
by (erule LList-fun-equalityI, simp-all)

```

```

lemma Lappend-NIL2:  $M \in \text{llist}(A) \implies \text{Lappend } M \text{ NIL} = M$ 
by (erule LList-fun-equalityI, simp-all)

```

11.9.1 Alternative type-checking proofs for *Lappend*

weak co-induction: bisimulation and case analysis on both variables

```

lemma Lappend-type: [ $M \in \text{llist}(A); N \in \text{llist}(A)$ ]  $\implies \text{Lappend } M \ N \in \text{llist}(A)$ 
apply (rule-tac  $X = \bigcup u \in \text{llist}(A) . \bigcup v \in \text{llist}(A) . \{\text{Lappend } u \ v\}$  in llist-coinduct)
apply fast
apply safe
apply (erule-tac  $aa = u$  in llist.cases)
apply (erule-tac  $aa = v$  in llist.cases, simp-all)
apply blast
done

```

strong co-induction: bisimulation and case analysis on one variable

```

lemma Lappend-type': [|  $M \in \text{llist}(A)$ ;  $N \in \text{llist}(A)$  |] ==> Lappend  $M N \in \text{llist}(A)$ 
apply (rule-tac  $X = (\%u. \text{Lappend } u N)$  'llist ( $A$ ) in llist-coinduct)
apply (erule imageI)
apply (rule image-subsetI)
apply (erule-tac  $aa = x$  in llist.cases)
apply (simp add: list-Fun-llist-I, simp)
done

```

11.10 Lazy lists as the type '*a llist* – strongly typed versions of above

11.10.1 *llist-case*: case analysis for '*a llist*

```

declare LListI [THEN Abs-LList-inverse, simp]
declare Rep-LList-inverse [simp]
declare Rep-LList [THEN LListD, simp]
declare rangeI [simp inj-Leaf simp]

```

```

lemma llist-case-LNil [simp]: llist-case  $c d$  LNil =  $c$ 
by (unfold llist-case-def LNil-def, simp)

```

```

lemma llist-case-LCons [simp]:
  llist-case  $c d$  (LCons  $M N$ ) =  $d M N$ 
apply (unfold llist-case-def LCons-def, simp)
done

```

Elimination is case analysis, not induction.

```

lemma llistE: [|  $l = \text{LNil} \implies P$ ;  $\forall x l'. l = \text{LCons } x l' \implies P$  |] ==>  $P$ 
apply (rule Rep-LList [THEN LListD, THEN llist.cases])
apply (simp add: Rep-LList-LNil [symmetric] Rep-LList-inject)
apply blast
apply (erule LListI [THEN Rep-LList-cases, clarify])
apply (simp add: Rep-LList-LCons [symmetric] Rep-LList-inject, blast)
done

```

11.10.2 *llist-corec*: corecursion for '*a llist*

Lemma for the proof of *llist-corec*

```

lemma LList-corec-type2:
  LList-corec  $a$ 
    ( $\%z. \text{case } f z \text{ of } \text{None} \implies \text{None} \mid \text{Some}(v,w) \implies \text{Some}(\text{Leaf}(v),w)$ )
     $\in \text{llist}(\text{range } \text{Leaf})$ 
apply (rule-tac  $X = \text{range } (\%x. \text{LList-corec } x ?g)$  in llist-coinduct)
apply (rule rangeI, safe)
apply (subst LList-corec, force)
done

```

```

lemma llist-corec:

```

```

    llist-corec a f =
      (case f a of None => LNil | Some(z,w) => LCons z (llist-corec w f))
  apply (unfold llist-corec-def LNil-def LCons-def)
  apply (subst LList-corec)
  apply (case-tac f a)
  apply (simp add: LList-corec-type2)
  apply (force simp add: LList-corec-type2)
done

```

definitional version of same

```

lemma def-llist-corec:
  [| !!x. h(x) == llist-corec x f |] ==>
  h(a) = (case f a of None => LNil | Some(z,w) => LCons z (h w))
by (simp add: llist-corec)

```

11.11 Proofs about type 'a llist functions

11.12 Deriving llist-equalityI – llist equality is a bisimulation

```

lemma LListD-Fun-subset-Times-llist:
  r <= (llist A) <*> (llist A)
  ==> LListD-Fun (diag A) r <= (llist A) <*> (llist A)
by (auto simp add: LListD-Fun-def)

```

```

lemma subset-Times-llist:
  prod-fun Rep-LList Rep-LList ‘ r <=
  (llist(range Leaf)) <*> (llist(range Leaf))
by (blast intro: Rep-LList [THEN LListD])

```

```

lemma prod-fun-lemma:
  r <= (llist(range Leaf)) <*> (llist(range Leaf))
  ==> prod-fun (Rep-LList o Abs-LList) (Rep-LList o Abs-LList) ‘ r <= r
apply safe
apply (erule subsetD [THEN SigmaE2], assumption)
apply (simp add: LListI [THEN Abs-LList-inverse])
done

```

```

lemma prod-fun-range-eq-diag:
  prod-fun Rep-LList Rep-LList ‘ range(%x. (x, x)) =
  diag(llist(range Leaf))
apply (rule equalityI, blast)
apply (fast elim: LListI [THEN Abs-LList-inverse, THEN subst])
done

```

Used with *lfilter*

```

lemma llistD-Fun-mono:
  A <= B ==> llistD-Fun A <= llistD-Fun B
apply (unfold llistD-Fun-def prod-fun-def, auto)
apply (rule image-eqI)

```

```

prefer 2 apply (blast intro: rev-subsetD [OF - LListD-Fun-mono], force)
done

```

11.12.1 To show two llists are equal, exhibit a bisimulation! [also admits true equality]

lemma *l1ist-equalityI*:

```

[[ (l1,l2) ∈ r; r ≤ llistD-Fun(r Un range(%x.(x,x))) ]] ==> l1=l2
apply (unfold llistD-Fun-def)
apply (rule Rep-LList-inject [THEN iffD1])
apply (rule-tac r = prod-fun Rep-LList Rep-LList ‘r and A = range (Leaf) in
LList-equalityI)
apply (erule prod-fun-imageI)
apply (erule image-mono [THEN subset-trans])
apply (rule image-compose [THEN subst])
apply (rule prod-fun-compose [THEN subst])
apply (subst image-Un)
apply (subst prod-fun-range-eq-diag)
apply (rule LListD-Fun-subset-Times-llist [THEN prod-fun-lemma])
apply (rule subset-Times-llist [THEN Un-least])
apply (rule diag-subset-Times)
done

```

11.12.2 Rules to prove the 2nd premise of *l1ist-equalityI*

lemma *l1istD-Fun-LNil-I* [simp]: $(LNil, LNil) \in \text{llistD-Fun}(r)$

```

apply (unfold llistD-Fun-def LNil-def)
apply (rule LListD-Fun-NIL-I [THEN prod-fun-imageI])
done

```

lemma *l1istD-Fun-LCons-I* [simp]:

```

(l1,l2):r ==> (LCons x l1, LCons x l2) ∈ llistD-Fun(r)
apply (unfold llistD-Fun-def LCons-def)
apply (rule rangeI [THEN LListD-Fun-CONS-I, THEN prod-fun-imageI])
apply (erule prod-fun-imageI)
done

```

Utilise the "strong" part, i.e. $\text{gfp}(f)$

lemma *l1istD-Fun-range-I*: $(l,l) \in \text{llistD-Fun}(r \text{ Un } \text{range}(\%x.(x,x)))$

```

apply (unfold llistD-Fun-def)
apply (rule Rep-LList-inverse [THEN subst])
apply (rule prod-fun-imageI)
apply (subst image-Un)
apply (subst prod-fun-range-eq-diag)
apply (rule Rep-LList [THEN LListD, THEN LListD-Fun-diag-I])
done

```

A special case of *l1ist-equality* for functions over lazy lists

lemma *l1ist-fun-equalityI*:

```

    [| f(LNil)=g(LNil);
      !!x l. (f(LCons x l),g(LCons x l))
              ∈ llistD-Fun(range(%u. (f(u),g(u))) Un range(%v. (v,v)))
    |] ==> f(l) = (g(l :: 'a llist) :: 'b llist)
apply (rule-tac r = range (%u. (f (u),g (u))) in llist-equalityI)
apply (rule rangeI, clarify)
apply (rule-tac l = u in llistE)
apply (simp-all add: llistD-Fun-range-I)
done

```

11.13 The functional *lmap*

lemma *lmap-LNil* [simp]: *lmap f LNil = LNil*
by (rule *lmap-def* [THEN *def-llist-corec*, THEN *trans*], simp)

lemma *lmap-LCons* [simp]: *lmap f (LCons M N) = LCons (f M) (lmap f N)*
by (rule *lmap-def* [THEN *def-llist-corec*, THEN *trans*], simp)

11.13.1 Two easy results about *lmap*

lemma *lmap-compose* [simp]: *lmap (f o g) l = lmap f (lmap g l)*
by (rule-tac *l = l* **in** *llist-fun-equalityI*, simp-all)

lemma *lmap-ident* [simp]: *lmap (%x. x) l = l*
by (rule-tac *l = l* **in** *llist-fun-equalityI*, simp-all)

11.14 iterates – *llist-fun-equalityI* cannot be used!

lemma *iterates*: *iterates f x = LCons x (iterates f (f x))*
by (rule *iterates-def* [THEN *def-llist-corec*, THEN *trans*], simp)

lemma *lmap-iterates* [simp]: *lmap f (iterates f x) = iterates f (f x)*
apply (rule-tac *r = range (%u. (lmap f (iterates f u),iterates f (f u)))* **in** *llist-equalityI*)
apply (rule *rangeI*, safe)
apply (rule-tac *x1 = f (u)* **in** *iterates* [THEN *ssubst*])
apply (rule-tac *x1 = u* **in** *iterates* [THEN *ssubst*], simp)
done

lemma *iterates-lmap*: *iterates f x = LCons x (lmap f (iterates f x))*
apply (*subst lmap-iterates*)
apply (*rule iterates*)
done

11.15 A rather complex proof about iterates – cf Andy Pitts

11.15.1 Two lemmas about *natrec n x (%m. g)*, which is essentially $(g \hat{\ }^n)(x)$

lemma *fun-power-lmap*: *nat-rec (LCons b l) (%m. lmap(f)) n =*
LCons (nat-rec b (%m. f) n) (nat-rec l (%m. lmap(f)) n)

apply (*induct-tac* *n*, *simp-all*)
done

lemma *fun-power-Suc*: $\text{nat-rec } (g \ x) \ (\%m. \ g) \ n = \text{nat-rec } x \ (\%m. \ g) \ (\text{Suc } n)$
by (*induct-tac* *n*, *simp-all*)

lemmas *Pair-cong* = *refl* [*THEN cong*, *THEN cong*, of **concl**: *Pair*]

The bisimulation consists of $\{(lmap(f) \hat{\ }^n (h(u)), lmap(f) \hat{\ }^n (iterates(f,u)))\}$
for all *u* and all $n::\text{nat}$.

lemma *iterates-equality*:

($\!|x. h(x) = LCons \ x \ (lmap \ f \ (h \ x)) \ ==> \ h = iterates(f)$)

apply (*rule ext*)

apply (*rule-tac*

$r = \bigcup u. \text{range } (\%n. \ (\text{nat-rec } (h \ u) \ (\%m \ y. \ lmap \ f \ y) \ n, \ \text{nat-rec } (iterates \ f \ u) \ (\%m \ y. \ lmap \ f \ y) \ n))$

in *llist-equalityI*)

apply (*rule UN1-I range-eqI Pair-cong nat-rec-0* [*symmetric*])+

apply *clarify*

apply (*subst iterates, atomize*)

apply (*drule-tac x=u in spec*)

apply (*erule ssubst*)

apply (*subst fun-power-lmap*)

apply (*subst fun-power-lmap*)

apply (*rule llistD-Fun-LCons-I*)

apply (*rule lmap-iterates* [*THEN subst*])

apply (*subst fun-power-Suc*)

apply (*subst fun-power-Suc, blast*)

done

11.16 *lappend* – its two arguments cause some complications!

lemma *lappend-LNil-LNil* [*simp*]: $\text{lappend } LNil \ LNil = LNil$

apply (*unfold lappend-def*)

apply (*rule llist-corec* [*THEN trans*], *simp*)

done

lemma *lappend-LNil-LCons* [*simp*]:

$\text{lappend } LNil \ (LCons \ l \ l') = LCons \ l \ (\text{lappend } LNil \ l')$

apply (*unfold lappend-def*)

apply (*rule llist-corec* [*THEN trans*], *simp*)

done

lemma *lappend-LCons* [*simp*]:

$\text{lappend } (LCons \ l \ l') \ N = LCons \ l \ (\text{lappend } l' \ N)$

apply (*unfold lappend-def*)

apply (*rule llist-corec* [*THEN trans*], *simp*)

done

lemma *lappend-LNil* [*simp*]: *lappend LNil l = l*
by (*rule-tac l = l in llist-fun-equalityI, simp-all*)

lemma *lappend-LNil2* [*simp*]: *lappend l LNil = l*
by (*rule-tac l = l in llist-fun-equalityI, simp-all*)

The infinite first argument blocks the second

lemma *lappend-iterates* [*simp*]: *lappend (iterates f x) N = iterates f x*
apply (*rule-tac r = range (%u. (lappend (iterates f u) N, iterates f u))*)
in *llist-equalityI*)
apply (*rule rangeI*)
apply (*safe*)
apply (*subst (1 2) iterates*)
apply *simp*
done

11.16.1 Two proofs that *lmap* distributes over *lappend*

Long proof requiring case analysis on both both arguments

lemma *lmap-lappend-distrib*:
 $lmap f (lappend l n) = lappend (lmap f l) (lmap f n)$
apply (*rule-tac r = $\bigcup n. range (%l. (lmap f (lappend l n),$*
 $lappend (lmap f l) (lmap f n))$)
in *llist-equalityI*)
apply (*rule UN1-I*)
apply (*rule rangeI, safe*)
apply (*rule-tac l = l in llistE*)
apply (*rule-tac l = n in llistE, simp-all*)
apply (*blast intro: llistD-Fun-LCons-I*)
done

Shorter proof of theorem above using *llist-equalityI* as strong coinduction

lemma *lmap-lappend-distrib'*:
 $lmap f (lappend l n) = lappend (lmap f l) (lmap f n)$
apply (*rule-tac l = l in llist-fun-equalityI, simp*)
apply *simp*
done

Without strong coinduction, three case analyses might be needed

lemma *lappend-assoc'*: $lappend (lappend l1 l2) l3 = lappend l1 (lappend l2 l3)$
apply (*rule-tac l = l1 in llist-fun-equalityI, simp*)
apply *simp*
done

end

12 The "filter" functional for coinductive lists – defined by a combination of induction and coinduction

theory *LFilter* **imports** *LList* **begin**

consts

findRel :: ('a => bool) => ('a llist * 'a llist)set

inductive *findRel* *p*

intros

found: $p\ x \implies (LCons\ x\ l,\ LCons\ x\ l) \in findRel\ p$

seek: $[\sim p\ x;\ (l,l') \in findRel\ p] \implies (LCons\ x\ l,\ l') \in findRel\ p$

declare *findRel.intros* [*intro*]

constdefs

find :: ['a => bool, 'a llist] => 'a llist

find *p* *l* == @l'. (l,l'): *findRel* *p* | (l' = *LNil* & l ~: *Domain*(*findRel* *p*))

lfilter :: ['a => bool, 'a llist] => 'a llist

lfilter *p* *l* == *lfilter-corec* *l* (%l. case *find* *p* *l* of
LNil => *None*
| *LCons* *y* *z* => *Some*(*y*,*z*))

12.1 *findRel*: basic laws

inductive-cases

findRel-LConsE [*elim!*]: $(LCons\ x\ l,\ l'') \in findRel\ p$

lemma *findRel-functional* [*rule-format*]:

$(l,l'): findRel\ p \implies (l,l''): findRel\ p \dashrightarrow l'' = l'$

by (*erule* *findRel.induct*, *auto*)

lemma *findRel-imp-LCons* [*rule-format*]:

$(l,l'): findRel\ p \implies \exists x\ l''. l' = LCons\ x\ l'' \ \&\ p\ x$

by (*erule* *findRel.induct*, *auto*)

lemma *findRel-LNil* [*elim!*]: $(LNil,l): findRel\ p \implies R$

by (*blast* *elim*: *findRel.cases*)

12.2 Properties of *Domain* (*findRel* *p*)

lemma *LCons-Domain-findRel* [*simp*]:

$LCons\ x\ l \in Domain(findRel\ p) = (p\ x \mid l \in Domain(findRel\ p))$

by *auto*

lemma *Domain-findRel-iff*:

$(l \in \text{Domain } (\text{findRel } p)) = (\exists x l'. (l, \text{LCons } x l') \in \text{findRel } p \ \& \ p \ x)$
by (*blast dest: findRel-imp-LCons*)

lemma *Domain-findRel-mono*:

$[\![\!|x. p \ x \implies q \ x \]\!] \implies \text{Domain } (\text{findRel } p) \leq \text{Domain } (\text{findRel } q)$
apply *clarify*
apply (*erule findRel.induct, blast+*)
done

12.3 *find*: basic equations

lemma *find-LNil [simp]*: $\text{find } p \ \text{LNil} = \text{LNil}$
by (*unfold find-def, blast*)

lemma *findRel-imp-find [simp]*: $(l, l') \in \text{findRel } p \implies \text{find } p \ l = l'$
apply (*unfold find-def*)
apply (*blast dest: findRel-functional*)
done

lemma *find-LCons-found*: $p \ x \implies \text{find } p \ (\text{LCons } x \ l) = \text{LCons } x \ l$
by (*blast intro: findRel-imp-find*)

lemma *diverge-find-LNil [simp]*: $l \sim : \text{Domain}(\text{findRel } p) \implies \text{find } p \ l = \text{LNil}$
by (*unfold find-def, blast*)

lemma *find-LCons-seek*: $\sim (p \ x) \implies \text{find } p \ (\text{LCons } x \ l) = \text{find } p \ l$
apply (*case-tac LCons x l \in \text{Domain } (\text{findRel } p)*)
apply *auto*
apply (*blast intro: findRel-imp-find*)
done

lemma *find-LCons [simp]*:
 $\text{find } p \ (\text{LCons } x \ l) = (\text{if } p \ x \ \text{then } \text{LCons } x \ l \ \text{else } \text{find } p \ l)$
by (*simp add: find-LCons-seek find-LCons-found*)

12.4 *lfilter*: basic equations

lemma *lfilter-LNil [simp]*: $\text{lfilter } p \ \text{LNil} = \text{LNil}$
by (*rule lfilter-def [THEN def-llist-corec, THEN trans], simp*)

lemma *diverge-lfilter-LNil [simp]*:
 $l \sim : \text{Domain}(\text{findRel } p) \implies \text{lfilter } p \ l = \text{LNil}$
by (*rule lfilter-def [THEN def-llist-corec, THEN trans], simp*)

lemma *lfilter-LCons-found*:
 $p \ x \implies \text{lfilter } p \ (\text{LCons } x \ l) = \text{LCons } x \ (\text{lfilter } p \ l)$
by (*rule lfilter-def [THEN def-llist-corec, THEN trans], simp*)

lemma *findRel-imp-lfilter [simp]*:
 $(l, \text{LCons } x \ l') \in \text{findRel } p \implies \text{lfilter } p \ l = \text{LCons } x \ (\text{lfilter } p \ l')$

by (rule lfilter-def [THEN def-llist-corec, THEN trans], simp)

lemma lfilter-LCons-seek: $\sim (p\ x) \implies \text{lfilter } p\ (LCons\ x\ l) = \text{lfilter } p\ l$
apply (rule lfilter-def [THEN def-llist-corec, THEN trans], simp)
apply (case-tac $LCons\ x\ l \in Domain\ (\text{findRel } p)$)
apply (simp add: Domain-findRel-iff, auto)
done

lemma lfilter-LCons [simp]:
 $\text{lfilter } p\ (LCons\ x\ l) =$
 $(\text{if } p\ x\ \text{then } LCons\ x\ (\text{lfilter } p\ l)\ \text{else } \text{lfilter } p\ l)$
by (simp add: lfilter-LCons-found lfilter-LCons-seek)

declare llistD-Fun-LNil-I [intro!] llistD-Fun-LCons-I [intro!]

lemma lfilter-eq-LNil: $\text{lfilter } p\ l = LNil \implies l \sim: Domain(\text{findRel } p)$
apply (auto iff: Domain-findRel-iff)
done

lemma lfilter-eq-LCons [rule-format]:
 $\text{lfilter } p\ l = LCons\ x\ l' \implies$
 $(\exists l''. l' = \text{lfilter } p\ l'' \ \& \ (l, LCons\ x\ l'') \in \text{findRel } p)$
apply (subst lfilter-def [THEN def-llist-corec])
apply (case-tac $l \in Domain\ (\text{findRel } p)$)
apply (auto iff: Domain-findRel-iff)
done

lemma lfilter-cases: $\text{lfilter } p\ l = LNil \mid$
 $(\exists y\ l'. \text{lfilter } p\ l = LCons\ y\ (\text{lfilter } p\ l') \ \& \ p\ y)$
apply (case-tac $l \in Domain\ (\text{findRel } p)$)
apply (auto iff: Domain-findRel-iff)
done

12.5 lfilter: simple facts by coinduction

lemma lfilter-K-True: $\text{lfilter } (\%x. True)\ l = l$
by (rule-tac $l = l$ in llist-fun-equalityI, simp-all)

lemma lfilter-idem: $\text{lfilter } p\ (\text{lfilter } p\ l) = \text{lfilter } p\ l$
apply (rule-tac $l = l$ in llist-fun-equalityI, simp-all)
apply safe

Cases: $p\ x$ is true or false

apply (rule lfilter-cases [THEN disjE])
apply (erule ssubst, auto)
done

12.6 Numerous lemmas required to prove *lfilter-conj*

lemma *findRel-conj-lemma* [rule-format]:

$(l, l') \in \text{findRel } q$
 $\implies l' = \text{LCons } x \ l'' \longrightarrow p \ x \longrightarrow (l, l') \in \text{findRel } (\%x. p \ x \ \& \ q \ x)$

by (*erule findRel.induct, auto*)

lemmas *findRel-conj* = *findRel-conj-lemma* [*OF - refl*]

lemma *findRel-not-conj-Domain* [rule-format]:

$(l, l') \in \text{findRel } (\%x. p \ x \ \& \ q \ x)$
 $\implies (l, \text{LCons } x \ l') \in \text{findRel } q \longrightarrow \sim p \ x \longrightarrow$
 $l' \in \text{Domain } (\text{findRel } (\%x. p \ x \ \& \ q \ x))$

by (*erule findRel.induct, auto*)

lemma *findRel-conj2* [rule-format]:

$(l, lxx) \in \text{findRel } q$
 $\implies lxx = \text{LCons } x \ lx \longrightarrow (lx, lz) \in \text{findRel } (\%x. p \ x \ \& \ q \ x) \longrightarrow \sim p \ x$
 $\longrightarrow (l, lz) \in \text{findRel } (\%x. p \ x \ \& \ q \ x)$

by (*erule findRel.induct, auto*)

lemma *findRel-lfilter-Domain-conj* [rule-format]:

$(lx, ly) \in \text{findRel } p$
 $\implies \forall l. lx = \text{lfilter } q \ l \longrightarrow l \in \text{Domain } (\text{findRel } (\%x. p \ x \ \& \ q \ x))$

apply (*erule findRel.induct*)

apply (*blast dest!: sym [THEN lfilter-eq-LCons] intro: findRel-conj, auto*)

apply (*drule sym [THEN lfilter-eq-LCons], auto*)

apply (*drule spec*)

apply (*drule refl [THEN rev-mp]*)

apply (*blast intro: findRel-conj2*)

done

lemma *findRel-conj-lfilter* [rule-format]:

$(l, l'') \in \text{findRel } (\%x. p \ x \ \& \ q \ x)$
 $\implies l'' = \text{LCons } y \ l' \longrightarrow$
 $(\text{lfilter } q \ l, \text{LCons } y \ (\text{lfilter } q \ l')) \in \text{findRel } p$

by (*erule findRel.induct, auto*)

lemma *lfilter-conj-lemma*:

$(\text{lfilter } p \ (\text{lfilter } q \ l), \text{lfilter } (\%x. p \ x \ \& \ q \ x) \ l)$
 $\in \text{lListD-Fun } (\text{range } (\%u. (\text{lfilter } p \ (\text{lfilter } q \ u),$
 $\text{lfilter } (\%x. p \ x \ \& \ q \ x) \ u)))$

apply (*case-tac l \in Domain (findRel q)*)

apply (*subgoal-tac [2] l \sim: Domain (findRel (%x. p x & q x))*)

prefer 3 apply (*blast intro: rev-subsetD [OF - Domain-findRel-mono]*)

There are no *qs* in *l*: both lists are *LNil*

apply (*simp-all add: Domain-findRel-iff, clarify*)

case $q\ x$
apply (*case-tac* $p\ x$)
apply (*simp-all add: findRel-conj [THEN findRel-imp-lfilter]*)
 case $q\ x$ and $\sim(p\ x)$
apply (*case-tac* $l' \in \text{Domain}(\text{findRel } (\%x. p\ x \ \&\ q\ x))$)
 subcase: there is no $p \ \&\ q$ in l' and therefore none in l
apply (*subgoal-tac* [2] $l \sim: \text{Domain}(\text{findRel } (\%x. p\ x \ \&\ q\ x))$)
prefer 3 **apply** (*blast intro: findRel-not-conj-Domain*)
apply (*subgoal-tac* [2] $l\text{filter } q\ l' \sim: \text{Domain}(\text{findRel } p)$)
prefer 3 **apply** (*blast intro: findRel-lfilter-Domain-conj*)
 ... and therefore too, no p in $l\text{filter } q\ l'$. Both results are *LNil*
apply (*simp-all add: Domain-findRel-iff, clarify*)
 subcase: there is a $p \ \&\ q$ in l' and therefore also one in l
apply (*subgoal-tac* ($l, L\text{Cons } xa\ l'a) \in \text{findRel } (\%x. p\ x \ \&\ q\ x)$)
prefer 2 **apply** (*blast intro: findRel-conj2*)
apply (*subgoal-tac* ($l\text{filter } q\ l', L\text{Cons } xa\ (l\text{filter } q\ l'a) \in \text{findRel } p$)
apply *simp*
apply (*blast intro: findRel-conj-lfilter*)
done

lemma *lfilter-conj*: $l\text{filter } p\ (l\text{filter } q\ l) = l\text{filter } (\%x. p\ x \ \&\ q\ x)\ l$
apply (*rule-tac* $l = l$ **in** *lfilter-fun-equalityI, simp-all*)
apply (*blast intro: lfilter-conj-lemma rev-subsetD [OF - llistD-Fun-mono]*)
done

12.7 Numerous lemmas required to prove ??: $l\text{filter } p\ (l\text{map } f\ l) = l\text{map } f\ (l\text{filter } (\%x. p(f\ x))\ l)$

lemma *findRel-lmap-Domain*:
 $(l, l') \in \text{findRel}(\%x. p\ (f\ x)) \implies l\text{map } f\ l \in \text{Domain}(\text{findRel } p)$
by (*erule findRel.induct, auto*)

lemma *lmap-eq-LCons* [*rule-format*]: $l\text{map } f\ l = L\text{Cons } x\ l' \dashrightarrow$
 $(\exists y\ l''. x = f\ y \ \&\ l' = l\text{map } f\ l'' \ \&\ l = L\text{Cons } y\ l'')$
apply (*subst lmap-def [THEN def-llist-corec]*)
apply (*rule-tac* $l = l$ **in** *lfilterE, auto*)
done

lemma *lmap-LCons-findRel-lemma* [*rule-format*]:
 $(lx, ly) \in \text{findRel } p$
 $\implies \forall l. l\text{map } f\ l = lx \dashrightarrow ly = L\text{Cons } x\ l' \dashrightarrow$
 $(\exists y\ l''. x = f\ y \ \&\ l' = l\text{map } f\ l'' \ \&$

```

      (l, LCons y l'') ∈ findRel(%x. p(f x))
apply (erule findRel.induct, simp-all)
apply (blast dest!: lmap-eq-LCons)+
done

lemmas lmap-LCons-findRel = lmap-LCons-findRel-lemma [OF - refl refl]

lemma lfilter-lmap: lfilter p (lmap f l) = lmap f (lfilter (p o f) l)
apply (rule-tac l = l in llist-fun-equalityI, simp-all)
apply safe
apply (case-tac lmap f l ∈ Domain (findRel p))
  apply (simp add: Domain-findRel-iff, clarify)
  apply (frule lmap-LCons-findRel, force)
apply (subgoal-tac l ~: Domain (findRel (%x. p (f x))), simp)
apply (blast intro: findRel-lmap-Domain)
done

end

```