

The Supplemental Isabelle/HOL Library

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1 Accessible-Part: The accessible part of a relation

```
theory Accessible-Part
imports Main
begin
```

1.1 Inductive definition

Inductive definition of the accessible part $acc\ r$ of a relation; see also [4].

```
consts
  acc :: ('a × 'a) set => 'a set
inductive acc r
  intros
    accI: (!!y. (y, x) ∈ r ==> y ∈ acc r) ==> x ∈ acc r

syntax
  termi :: ('a × 'a) set => 'a set
translations
  termi r == acc (r-1)
```

1.2 Induction rules

```
theorem acc-induct:
  a ∈ acc r ==>
    (!!x. x ∈ acc r ==> ∀y. (y, x) ∈ r --> P y ==> P x) ==> P a
<proof>
```

```
theorems acc-induct-rule = acc-induct [rule-format, induct set: acc]
```

```
theorem acc-downward: b ∈ acc r ==> (a, b) ∈ r ==> a ∈ acc r
<proof>
```

```
lemma acc-downwards-aux: (b, a) ∈ r* ==> a ∈ acc r --> b ∈ acc r
<proof>
```

```
theorem acc-downwards: a ∈ acc r ==> (b, a) ∈ r* ==> b ∈ acc r
<proof>
```

```
theorem acc-wfI: ∀x. x ∈ acc r ==> wf r
<proof>
```

```
theorem acc-wfD: wf r ==> x ∈ acc r
<proof>
```

```
theorem wf-acc-iff: wf r = (∀x. x ∈ acc r)
<proof>
```

```
end
```

2 SetsAndFunctions: Operations on sets and functions

```
theory SetsAndFunctions
imports Main
begin
```

This library lifts operations like addition and multiplication to sets and functions of appropriate types. It was designed to support asymptotic calculations. See the comments at the top of theory *BigO*.

2.1 Basic definitions

```
instance set :: (plus) plus <proof>
instance fun :: (type, plus) plus <proof>

defs (overloaded)
  func-plus: f + g == (%x. f x + g x)
  set-plus: A + B == {c. EX a:A. EX b:B. c = a + b}

instance set :: (times) times <proof>
instance fun :: (type, times) times <proof>

defs (overloaded)
  func-times: f * g == (%x. f x * g x)
  set-times: A * B == {c. EX a:A. EX b:B. c = a * b}

instance fun :: (type, minus) minus <proof>

defs (overloaded)
  func-minus: - f == (%x. - f x)
  func-diff: f - g == %x. f x - g x

instance fun :: (type, zero) zero <proof>
instance set :: (zero) zero <proof>

defs (overloaded)
  func-zero: 0::('a::type) => ('b::zero) == %x. 0
  set-zero: 0::('a::zero)set == {0}

instance fun :: (type, one) one <proof>
instance set :: (one) one <proof>

defs (overloaded)
  func-one: 1::('a::type) => ('b::one) == %x. 1
  set-one: 1::('a::one)set == {1}
```

constdefs

elt-set-plus :: 'a::plus => 'a set => 'a set (infixl +o 70)
 $a +o B == \{c. EX b:B. c = a + b\}$

elt-set-times :: 'a::times => 'a set => 'a set (infixl *o 80)
 $a *o B == \{c. EX b:B. c = a * b\}$

syntax

elt-set-eq :: 'a => 'a set => bool (infix =o 50)

translations

$x =o A ==> x : A$

instance *fun* :: (type,semigroup-add)semigroup-add
 ⟨proof⟩

instance *fun* :: (type,comm-monoid-add)comm-monoid-add
 ⟨proof⟩

instance *fun* :: (type,ab-group-add)ab-group-add
 ⟨proof⟩

instance *fun* :: (type,semigroup-mult)semigroup-mult
 ⟨proof⟩

instance *fun* :: (type,comm-monoid-mult)comm-monoid-mult
 ⟨proof⟩

instance *fun* :: (type,comm-ring-1)comm-ring-1
 ⟨proof⟩

instance *set* :: (semigroup-add)semigroup-add
 ⟨proof⟩

instance *set* :: (semigroup-mult)semigroup-mult
 ⟨proof⟩

instance *set* :: (comm-monoid-add)comm-monoid-add
 ⟨proof⟩

instance *set* :: (comm-monoid-mult)comm-monoid-mult
 ⟨proof⟩

2.2 Basic properties

lemma *set-plus-intro* [*intro*]: $a : C ==> b : D ==> a + b : C + D$
 ⟨proof⟩

lemma *set-plus-intro2* [intro]: $b : C \implies a + b : a +_o C$
 ⟨proof⟩

lemma *set-plus-rearrange*: $((a::'a::\text{comm-monoid-add}) +_o C) + (b +_o D) = (a + b) +_o (C + D)$
 ⟨proof⟩

lemma *set-plus-rearrange2*: $(a::'a::\text{semigroup-add}) +_o (b +_o C) = (a + b) +_o C$
 ⟨proof⟩

lemma *set-plus-rearrange3*: $((a::'a::\text{semigroup-add}) +_o B) + C = a +_o (B + C)$
 ⟨proof⟩

theorem *set-plus-rearrange4*: $C + ((a::'a::\text{comm-monoid-add}) +_o D) = a +_o (C + D)$
 ⟨proof⟩

theorems *set-plus-rearranges* = *set-plus-rearrange set-plus-rearrange2 set-plus-rearrange3 set-plus-rearrange4*

lemma *set-plus-mono* [intro!]: $C \leq D \implies a +_o C \leq a +_o D$
 ⟨proof⟩

lemma *set-plus-mono2* [intro]: $(C::('a::\text{plus}) \text{ set}) \leq D \implies E \leq F \implies C + E \leq D + F$
 ⟨proof⟩

lemma *set-plus-mono3* [intro]: $a : C \implies a +_o D \leq C + D$
 ⟨proof⟩

lemma *set-plus-mono4* [intro]: $(a::'a::\text{comm-monoid-add}) : C \implies a +_o D \leq D + C$
 ⟨proof⟩

lemma *set-plus-mono5*: $a : C \implies B \leq D \implies a +_o B \leq C + D$
 ⟨proof⟩

lemma *set-plus-mono-b*: $C \leq D \implies x : a +_o C \implies x : a +_o D$
 ⟨proof⟩

lemma *set-plus-mono2-b*: $C \leq D \implies E \leq F \implies x : C + E \implies x : D + F$
 ⟨proof⟩

lemma *set-plus-mono3-b*: $a : C \implies x : a +_o D \implies x : C + D$
 ⟨proof⟩

lemma *set-plus-mono4-b*: ($a::'a::comm-monoid-add$) : $C ==>$
 $x : a +_o D ==> x : D + C$
 ⟨*proof*⟩

lemma *set-zero-plus [simp]*: ($0::'a::comm-monoid-add$) $+_o C = C$
 ⟨*proof*⟩

lemma *set-zero-plus2*: ($0::'a::comm-monoid-add$) : $A ==> B <= A + B$
 ⟨*proof*⟩

lemma *set-plus-imp-minus*: ($a::'a::ab-group-add$) : $b +_o C ==> (a - b) : C$
 ⟨*proof*⟩

lemma *set-minus-imp-plus*: ($a::'a::ab-group-add$) $- b : C ==> a : b +_o C$
 ⟨*proof*⟩

lemma *set-minus-plus*: ($(a::'a::ab-group-add) - b : C$) = $(a : b +_o C)$
 ⟨*proof*⟩

lemma *set-times-intro [intro]*: $a : C ==> b : D ==> a * b : C * D$
 ⟨*proof*⟩

lemma *set-times-intro2 [intro!]*: $b : C ==> a * b : a *_o C$
 ⟨*proof*⟩

lemma *set-times-rearrange*: ($(a::'a::comm-monoid-mult) *_o C$) *
 $(b *_o D) = (a * b) *_o (C * D)$
 ⟨*proof*⟩

lemma *set-times-rearrange2*: ($a::'a::semigroup-mult$) $*_o (b *_o C) =$
 $(a * b) *_o C$
 ⟨*proof*⟩

lemma *set-times-rearrange3*: ($(a::'a::semigroup-mult) *_o B$) * $C =$
 $a *_o (B * C)$
 ⟨*proof*⟩

theorem *set-times-rearrange4*: $C * ((a::'a::comm-monoid-mult) *_o D) =$
 $a *_o (C * D)$
 ⟨*proof*⟩

theorems *set-times-rearranges* = *set-times-rearrange set-times-rearrange2*
set-times-rearrange3 set-times-rearrange4

lemma *set-times-mono [intro]*: $C <= D ==> a *_o C <= a *_o D$
 ⟨*proof*⟩

lemma *set-times-mono2 [intro]*: $(C::('a::times) set) <= D ==> E <= F ==>$

$C * E \leq D * F$
 ⟨proof⟩

lemma *set-times-mono3* [intro]: $a : C \implies a * o D \leq C * D$
 ⟨proof⟩

lemma *set-times-mono4* [intro]: $(a::'a::comm-monoid-mult) : C \implies$
 $a * o D \leq D * C$
 ⟨proof⟩

lemma *set-times-mono5*: $a : C \implies B \leq D \implies a * o B \leq C * D$
 ⟨proof⟩

lemma *set-times-mono-b*: $C \leq D \implies x : a * o C$
 $\implies x : a * o D$
 ⟨proof⟩

lemma *set-times-mono2-b*: $C \leq D \implies E \leq F \implies x : C * E \implies$
 $x : D * F$
 ⟨proof⟩

lemma *set-times-mono3-b*: $a : C \implies x : a * o D \implies x : C * D$
 ⟨proof⟩

lemma *set-times-mono4-b*: $(a::'a::comm-monoid-mult) : C \implies$
 $x : a * o D \implies x : D * C$
 ⟨proof⟩

lemma *set-one-times* [simp]: $(1::'a::comm-monoid-mult) * o C = C$
 ⟨proof⟩

lemma *set-times-plus-distrib*: $(a::'a::semiring) * o (b + o C) =$
 $(a * b) + o (a * o C)$
 ⟨proof⟩

lemma *set-times-plus-distrib2*: $(a::'a::semiring) * o (B + C) =$
 $(a * o B) + (a * o C)$
 ⟨proof⟩

lemma *set-times-plus-distrib3*: $((a::'a::semiring) + o C) * D \leq$
 $a * o D + C * D$
 ⟨proof⟩

theorems *set-times-plus-distrib* = *set-times-plus-distrib*
set-times-plus-distrib2

lemma *set-neg-intro*: $(a::'a::ring-1) : (- 1) * o C \implies$
 $- a : C$
 ⟨proof⟩

```

lemma set-neg-intro2: (a::'a::ring-1) : C ==>
  - a : (- 1) *o C
<proof>

```

```

end

```

3 BigO: Big O notation

```

theory BigO
imports SetsAndFunctions
begin

```

This library is designed to support asymptotic “big O” calculations, i.e. reasoning with expressions of the form $f = O(g)$ and $f = g + O(h)$. An earlier version of this library is described in detail in [2].

The main changes in this version are as follows:

- We have eliminated the O operator on sets. (Most uses of this seem to be inessential.)
- We no longer use $+$ as output syntax for $+o$
- Lemmas involving *sumr* have been replaced by more general lemmas involving ‘*setsum*’.
- The library has been expanded, with e.g. support for expressions of the form $f < g + O(h)$.

See `Complex/ex/BigO_Complex.thy` for additional lemmas that require the HOL-Complex logic image.

Note also since the Big O library includes rules that demonstrate set inclusion, to use the automated reasoners effectively with the library one should redeclare the theorem *subsetI* as an intro rule, rather than as an *intro!* rule, for example, using `declare subsetI [del, intro]`.

3.1 Definitions

```

constdefs

```

```

bigo :: ('a ==> 'b::ordered-idom) ==> ('a ==> 'b) set  ((IO'(-)))
O(f::('a ==> 'b)) ==
  {h. EX c. ALL x. abs (h x) <= c * abs (f x)}

```

```

lemma bigo-pos-const: (EX (c::'a::ordered-idom).
  ALL x. (abs (h x) <= (c * (abs (f x))))

```

$= (EX\ c.\ 0 < c \ \& \ (ALL\ x.\ (abs(h\ x)) \leq (c * (abs\ (f\ x))))))$
 ⟨proof⟩

lemma *bigo-alt-def*: $O(f) =$
 $\{h.\ EX\ c.\ (0 < c \ \& \ (ALL\ x.\ abs\ (h\ x) \leq c * abs\ (f\ x)))\}$
 ⟨proof⟩

lemma *bigo-elt-subset* [intro]: $f : O(g) \implies O(f) \leq O(g)$
 ⟨proof⟩

lemma *bigo-refl* [intro]: $f : O(f)$
 ⟨proof⟩

lemma *bigo-zero*: $0 : O(g)$
 ⟨proof⟩

lemma *bigo-zero2*: $O(\%x.0) = \{\%x.0\}$
 ⟨proof⟩

lemma *bigo-plus-self-subset* [intro]:
 $O(f) + O(f) \leq O(f)$
 ⟨proof⟩

lemma *bigo-plus-idemp* [simp]: $O(f) + O(f) = O(f)$
 ⟨proof⟩

lemma *bigo-plus-subset* [intro]: $O(f + g) \leq O(f) + O(g)$
 ⟨proof⟩

lemma *bigo-plus-subset2* [intro]: $A \leq O(f) \implies B \leq O(f) \implies A + B \leq$
 $O(f)$
 ⟨proof⟩

lemma *bigo-plus-eq*: $ALL\ x.\ 0 \leq f\ x \implies ALL\ x.\ 0 \leq g\ x \implies$
 $O(f + g) = O(f) + O(g)$
 ⟨proof⟩

lemma *bigo-bounded-alt*: $ALL\ x.\ 0 \leq f\ x \implies ALL\ x.\ f\ x \leq c * g\ x \implies$
 $f : O(g)$
 ⟨proof⟩

lemma *bigo-bounded*: $ALL\ x.\ 0 \leq f\ x \implies ALL\ x.\ f\ x \leq g\ x \implies$
 $f : O(g)$
 ⟨proof⟩

lemma *bigo-bounded2*: $ALL\ x.\ lb\ x \leq f\ x \implies ALL\ x.\ f\ x \leq lb\ x + g\ x \implies$
 $f : lb + o\ O(g)$
 ⟨proof⟩

lemma *bigO-abs*: $(\%x. \text{abs}(f x)) =_o O(f)$
 ⟨proof⟩

lemma *bigO-abs2*: $f =_o O(\%x. \text{abs}(f x))$
 ⟨proof⟩

lemma *bigO-abs3*: $O(f) = O(\%x. \text{abs}(f x))$
 ⟨proof⟩

lemma *bigO-abs4*: $f =_o g +_o O(h) \implies$
 $(\%x. \text{abs}(f x)) =_o (\%x. \text{abs}(g x)) +_o O(h)$
 ⟨proof⟩

lemma *bigO-abs5*: $f =_o O(g) \implies (\%x. \text{abs}(f x)) =_o O(g)$
 ⟨proof⟩

lemma *bigO-elt-subset2* [intro]: $f : g +_o O(h) \implies O(f) \leq O(g) + O(h)$
 ⟨proof⟩

lemma *bigO-mult* [intro]: $O(f) * O(g) \leq O(f * g)$
 ⟨proof⟩

lemma *bigO-mult2* [intro]: $f *_o O(g) \leq O(f * g)$
 ⟨proof⟩

lemma *bigO-mult3*: $f : O(h) \implies g : O(j) \implies f * g : O(h * j)$
 ⟨proof⟩

lemma *bigO-mult4* [intro]: $f : k +_o O(h) \implies g * f : (g * k) +_o O(g * h)$
 ⟨proof⟩

lemma *bigO-mult5*: $ALL x. f x \sim 0 \implies$
 $O(f * g) \leq (f :: 'a \Rightarrow ('b :: \text{ordered-field})) *_o O(g)$
 ⟨proof⟩

lemma *bigO-mult6*: $ALL x. f x \sim 0 \implies$
 $O(f * g) = (f :: 'a \Rightarrow ('b :: \text{ordered-field})) *_o O(g)$
 ⟨proof⟩

lemma *bigO-mult7*: $ALL x. f x \sim 0 \implies$
 $O(f * g) \leq O(f :: 'a \Rightarrow ('b :: \text{ordered-field})) * O(g)$
 ⟨proof⟩

lemma *bigO-mult8*: $ALL x. f x \sim 0 \implies$
 $O(f * g) = O(f :: 'a \Rightarrow ('b :: \text{ordered-field})) * O(g)$
 ⟨proof⟩

lemma *bigO-minus* [intro]: $f : O(g) \implies -f : O(g)$
 ⟨proof⟩

lemma *bigo-minus2*: $f : g + o O(h) \implies -f : -g + o O(h)$
 ⟨proof⟩

lemma *bigo-minus3*: $O(-f) = O(f)$
 ⟨proof⟩

lemma *bigo-plus-absorb-lemma1*: $f : O(g) \implies f + o O(g) \leq O(g)$
 ⟨proof⟩

lemma *bigo-plus-absorb-lemma2*: $f : O(g) \implies O(g) \leq f + o O(g)$
 ⟨proof⟩

lemma *bigo-plus-absorb [simp]*: $f : O(g) \implies f + o O(g) = O(g)$
 ⟨proof⟩

lemma *bigo-plus-absorb2 [intro]*: $f : O(g) \implies A \leq O(g) \implies f + o A \leq O(g)$
 ⟨proof⟩

lemma *bigo-add-commute-imp*: $f : g + o O(h) \implies g : f + o O(h)$
 ⟨proof⟩

lemma *bigo-add-commute*: $(f : g + o O(h)) = (g : f + o O(h))$
 ⟨proof⟩

lemma *bigo-const1*: $(\%x. c) : O(\%x. 1)$
 ⟨proof⟩

lemma *bigo-const2 [intro]*: $O(\%x. c) \leq O(\%x. 1)$
 ⟨proof⟩

lemma *bigo-const3*: $(c::'a::ordered-field) \sim 0 \implies (\%x. 1) : O(\%x. c)$
 ⟨proof⟩

lemma *bigo-const4*: $(c::'a::ordered-field) \sim 0 \implies O(\%x. 1) \leq O(\%x. c)$
 ⟨proof⟩

lemma *bigo-const [simp]*: $(c::'a::ordered-field) \sim 0 \implies O(\%x. c) = O(\%x. 1)$
 ⟨proof⟩

lemma *bigo-const-mult1*: $(\%x. c * f x) : O(f)$
 ⟨proof⟩

lemma *bigo-const-mult2*: $O(\%x. c * f x) \leq O(f)$
 ⟨proof⟩

lemma *bigo-const-mult3*: $(c::'a::ordered-field) \sim 0 \implies f : O(\%x. c * f x)$

<proof>

lemma *bigo-const-mult4*: $(c::'a::\text{ordered-field}) \sim= 0 \implies$
 $O(f) \leq O(\%x. c * f x)$
<proof>

lemma *bigo-const-mult [simp]*: $(c::'a::\text{ordered-field}) \sim= 0 \implies$
 $O(\%x. c * f x) = O(f)$
<proof>

lemma *bigo-const-mult5 [simp]*: $(c::'a::\text{ordered-field}) \sim= 0 \implies$
 $(\%x. c) *o O(f) = O(f)$
<proof>

lemma *bigo-const-mult6 [intro]*: $(\%x. c) *o O(f) \leq O(f)$
<proof>

lemma *bigo-const-mult7 [intro]*: $f =o O(g) \implies (\%x. c * f x) =o O(g)$
<proof>

lemma *bigo-compose1*: $f =o O(g) \implies (\%x. f(k x)) =o O(\%x. g(k x))$
<proof>

lemma *bigo-compose2*: $f =o g +o O(h) \implies (\%x. f(k x)) =o (\%x. g(k x)) +o$
 $O(\%x. h(k x))$
<proof>

3.2 Setsum

lemma *bigo-setsum-main*: $ALL x. ALL y : A x. 0 \leq h x y \implies$
 $EX c. ALL x. ALL y : A x. \text{abs}(f x y) \leq c * (h x y) \implies$
 $(\%x. SUM y : A x. f x y) =o O(\%x. SUM y : A x. h x y)$
<proof>

lemma *bigo-setsum1*: $ALL x y. 0 \leq h x y \implies$
 $EX c. ALL x y. \text{abs}(f x y) \leq c * (h x y) \implies$
 $(\%x. SUM y : A x. f x y) =o O(\%x. SUM y : A x. h x y)$
<proof>

lemma *bigo-setsum2*: $ALL y. 0 \leq h y \implies$
 $EX c. ALL y. \text{abs}(f y) \leq c * (h y) \implies$
 $(\%x. SUM y : A x. f y) =o O(\%x. SUM y : A x. h y)$
<proof>

lemma *bigo-setsum3*: $f =o O(h) \implies$
 $(\%x. SUM y : A x. (l x y) * f(k x y)) =o$
 $O(\%x. SUM y : A x. \text{abs}(l x y * h(k x y)))$
<proof>

lemma *bigO-setsum4*: $f =_o g +_o O(h) \implies$
 $(\%x. \text{SUM } y : A x. l x y * f(k x y)) =_o$
 $(\%x. \text{SUM } y : A x. l x y * g(k x y)) +_o$
 $O(\%x. \text{SUM } y : A x. \text{abs}(l x y * h(k x y)))$
 $\langle \text{proof} \rangle$

lemma *bigO-setsum5*: $f =_o O(h) \implies \text{ALL } x y. 0 \leq l x y \implies$
 $\text{ALL } x. 0 \leq h x \implies$
 $(\%x. \text{SUM } y : A x. (l x y) * f(k x y)) =_o$
 $O(\%x. \text{SUM } y : A x. (l x y) * h(k x y))$
 $\langle \text{proof} \rangle$

lemma *bigO-setsum6*: $f =_o g +_o O(h) \implies \text{ALL } x y. 0 \leq l x y \implies$
 $\text{ALL } x. 0 \leq h x \implies$
 $(\%x. \text{SUM } y : A x. (l x y) * f(k x y)) =_o$
 $(\%x. \text{SUM } y : A x. (l x y) * g(k x y)) +_o$
 $O(\%x. \text{SUM } y : A x. (l x y) * h(k x y))$
 $\langle \text{proof} \rangle$

3.3 Misc useful stuff

lemma *bigO-useful-intro*: $A \leq O(f) \implies B \leq O(f) \implies$
 $A + B \leq O(f)$
 $\langle \text{proof} \rangle$

lemma *bigO-useful-add*: $f =_o O(h) \implies g =_o O(h) \implies f + g =_o O(h)$
 $\langle \text{proof} \rangle$

lemma *bigO-useful-const-mult*: $(c::'a::\text{ordered-field}) \sim 0 \implies$
 $(\%x. c) * f =_o O(h) \implies f =_o O(h)$
 $\langle \text{proof} \rangle$

lemma *bigO-fix*: $(\%x. f ((x::\text{nat}) + 1)) =_o O(\%x. h(x + 1)) \implies f 0 = 0 \implies$
 $f =_o O(h)$
 $\langle \text{proof} \rangle$

lemma *bigO-fix2*:
 $(\%x. f ((x::\text{nat}) + 1)) =_o (\%x. g(x + 1)) +_o O(\%x. h(x + 1)) \implies$
 $f 0 = g 0 \implies f =_o g +_o O(h)$
 $\langle \text{proof} \rangle$

3.4 Less than or equal to

constdefs

lesso :: $('a \Rightarrow 'b::\text{ordered-idom}) \Rightarrow ('a \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'b)$
 $(\text{infixl } <_o \ 70)$
 $f <_o g == (\%x. \text{max } (f x - g x) 0)$

lemma *bigO-lesseq1*: $f =_o O(h) \implies \text{ALL } x. \text{abs } (g x) \leq \text{abs } (f x) \implies$
 $g =_o O(h)$

<proof>

lemma *big-lesseq2*: $f =_o O(h) \implies \text{ALL } x. \text{abs } (g \ x) \leq f \ x \implies$
 $g =_o O(h)$
<proof>

lemma *big-lesseq3*: $f =_o O(h) \implies \text{ALL } x. 0 \leq g \ x \implies \text{ALL } x. g \ x \leq f \ x \implies$
 $g =_o O(h)$
<proof>

lemma *big-lesseq4*: $f =_o O(h) \implies$
 $\text{ALL } x. 0 \leq g \ x \implies \text{ALL } x. g \ x \leq \text{abs } (f \ x) \implies$
 $g =_o O(h)$
<proof>

lemma *big-lesso1*: $\text{ALL } x. f \ x \leq g \ x \implies f <_o g =_o O(h)$
<proof>

lemma *big-lesso2*: $f =_o g +_o O(h) \implies$
 $\text{ALL } x. 0 \leq k \ x \implies \text{ALL } x. k \ x \leq f \ x \implies$
 $k <_o g =_o O(h)$
<proof>

lemma *big-lesso3*: $f =_o g +_o O(h) \implies$
 $\text{ALL } x. 0 \leq k \ x \implies \text{ALL } x. g \ x \leq k \ x \implies$
 $f <_o k =_o O(h)$
<proof>

lemma *big-lesso4*: $f <_o g =_o O(k::'a \Rightarrow 'b::\text{ordered-field}) \implies$
 $g =_o h +_o O(k) \implies f <_o h =_o O(k)$
<proof>

lemma *big-lesso5*: $f <_o g =_o O(h) \implies$
 $\text{EX } C. \text{ALL } x. f \ x \leq g \ x + C * \text{abs}(h \ x)$
<proof>

lemma *lesso-add*: $f <_o g =_o O(h) \implies$
 $k <_o l =_o O(h) \implies (f + k) <_o (g + l) =_o O(h)$
<proof>

end

4 Continuity: Continuity and iterations (of set transformers)

theory *Continuity*

imports *Main*
begin

4.1 Chains

constdefs

up-chain :: (*nat* => 'a set) => bool
up-chain *F* == $\forall i. F\ i \subseteq F\ (Suc\ i)$

lemma *up-chainI*: ($\forall i. F\ i \subseteq F\ (Suc\ i)$) ==> *up-chain* *F*
 <proof>

lemma *up-chainD*: *up-chain* *F* ==> $F\ i \subseteq F\ (Suc\ i)$
 <proof>

lemma *up-chain-less-mono* [rule-format]:

up-chain *F* ==> $x < y \longrightarrow F\ x \subseteq F\ y$
 <proof>

lemma *up-chain-mono*: *up-chain* *F* ==> $x \leq y \implies F\ x \subseteq F\ y$
 <proof>

constdefs

down-chain :: (*nat* => 'a set) => bool
down-chain *F* == $\forall i. F\ (Suc\ i) \subseteq F\ i$

lemma *down-chainI*: ($\forall i. F\ (Suc\ i) \subseteq F\ i$) ==> *down-chain* *F*
 <proof>

lemma *down-chainD*: *down-chain* *F* ==> $F\ (Suc\ i) \subseteq F\ i$
 <proof>

lemma *down-chain-less-mono* [rule-format]:

down-chain *F* ==> $x < y \longrightarrow F\ y \subseteq F\ x$
 <proof>

lemma *down-chain-mono*: *down-chain* *F* ==> $x \leq y \implies F\ y \subseteq F\ x$
 <proof>

4.2 Continuity

constdefs

up-cont :: ('a set => 'a set) => bool
up-cont *f* == $\forall F. \text{up-chain } F \longrightarrow f\ (\bigcup(\text{range } F)) = \bigcup(f\ \text{' } \text{range } F)$

lemma *up-contI*:

($\forall F. \text{up-chain } F \implies f\ (\bigcup(\text{range } F)) = \bigcup(f\ \text{' } \text{range } F)$) ==> *up-cont* *f*
 <proof>

lemma *up-contD*:

$up\text{-}cont\ f \implies up\text{-}chain\ F \implies f\ (\bigcup (range\ F)) = \bigcup (f\ ' range\ F)$
 ⟨proof⟩

lemma *up-cont-mono*: $up\text{-}cont\ f \implies mono\ f$

⟨proof⟩

constdefs

$down\text{-}cont :: ('a\ set \implies 'a\ set) \implies bool$

$down\text{-}cont\ f ==$

$\forall F. down\text{-}chain\ F \dashrightarrow f\ (Inter\ (range\ F)) = Inter\ (f\ ' range\ F)$

lemma *down-contI*:

$(!!F. down\text{-}chain\ F \implies f\ (Inter\ (range\ F)) = Inter\ (f\ ' range\ F)) \implies$

$down\text{-}cont\ f$

⟨proof⟩

lemma *down-contD*: $down\text{-}cont\ f \implies down\text{-}chain\ F \implies$

$f\ (Inter\ (range\ F)) = Inter\ (f\ ' range\ F)$

⟨proof⟩

lemma *down-cont-mono*: $down\text{-}cont\ f \implies mono\ f$

⟨proof⟩

4.3 Iteration

constdefs

$up\text{-}iterate :: ('a\ set \implies 'a\ set) \implies nat \implies 'a\ set$

$up\text{-}iterate\ f\ n == (f^n)\ \{\}$

lemma *up-iterate-0* [simp]: $up\text{-}iterate\ f\ 0 = \{\}$

⟨proof⟩

lemma *up-iterate-Suc* [simp]: $up\text{-}iterate\ f\ (Suc\ i) = f\ (up\text{-}iterate\ f\ i)$

⟨proof⟩

lemma *up-iterate-chain*: $mono\ F \implies up\text{-}chain\ (up\text{-}iterate\ F)$

⟨proof⟩

lemma *UNION-up-iterate-is-fp*:

$up\text{-}cont\ F \implies$

$F\ (UNION\ UNIV\ (up\text{-}iterate\ F)) = UNION\ UNIV\ (up\text{-}iterate\ F)$

⟨proof⟩

lemma *UNION-up-iterate-lowerbound*:

$mono\ F \implies F\ P = P \implies UNION\ UNIV\ (up\text{-}iterate\ F) \subseteq P$

⟨proof⟩

lemma *UNION-up-iterate-is-lfp*:

$up-cont\ F ==> lfp\ F = UNION\ UNIV\ (up-iterate\ F)$
 $\langle proof \rangle$

constdefs

$down-iterate :: ('a\ set ==> 'a\ set) ==> nat ==> 'a\ set$
 $down-iterate\ f\ n == (f^n)\ UNIV$

lemma *down-iterate-0 [simp]*: $down-iterate\ f\ 0 = UNIV$

$\langle proof \rangle$

lemma *down-iterate-Suc [simp]*:

$down-iterate\ f\ (Suc\ i) = f\ (down-iterate\ f\ i)$
 $\langle proof \rangle$

lemma *down-iterate-chain*: $mono\ F ==> down-chain\ (down-iterate\ F)$

$\langle proof \rangle$

lemma *INTER-down-iterate-is-fp*:

$down-cont\ F ==>$
 $F\ (INTER\ UNIV\ (down-iterate\ F)) = INTER\ UNIV\ (down-iterate\ F)$
 $\langle proof \rangle$

lemma *INTER-down-iterate-upperbound*:

$mono\ F ==> F\ P = P ==> P \subseteq INTER\ UNIV\ (down-iterate\ F)$
 $\langle proof \rangle$

lemma *INTER-down-iterate-is-gfp*:

$down-cont\ F ==> gfp\ F = INTER\ UNIV\ (down-iterate\ F)$
 $\langle proof \rangle$

end

5 EfficientNat: Implementation of natural numbers by integers

theory *EfficientNat*

imports *Main*

begin

When generating code for functions on natural numbers, the canonical representation using 0 and Suc is unsuitable for computations involving large numbers. The efficiency of the generated code can be improved drastically by implementing natural numbers by integers. To do this, just include this theory.

5.1 Basic functions

The implementation of 0 and Suc using the ML integers is straightforward. Since natural numbers are implemented using integers, the coercion function int of type $nat \Rightarrow int$ is simply implemented by the identity function. For the nat function for converting an integer to a natural number, we give a specific implementation using an ML function that returns its input value, provided that it is non-negative, and otherwise returns 0 .

types-code

```

  nat (int)
attach (term-of) ⟨⟨
  fun term-of-nat 0 = Const (0, HOLogic.natT)
    | term-of-nat 1 = Const (1, HOLogic.natT)
    | term-of-nat i = HOLogic.number-of-const HOLogic.natT $
      HOLogic.mk-bin (IntInf.fromInt i);
  ⟩⟩
attach (test) ⟨⟨
  fun gen-nat i = random-range 0 i;
  ⟩⟩

```

consts-code

```

  0 :: nat (0)
  Suc ((- + 1))
  nat ((module)nat)
attach ⟨⟨
  fun nat i = if i < 0 then 0 else i;
  ⟩⟩
  int ((-))

```

Case analysis on natural numbers is rephrased using a conditional expression:

lemma [code unfold]: $nat\text{-case} \equiv (\lambda f g n. \text{if } n = 0 \text{ then } f \text{ else } g (n - 1))$
 ⟨proof⟩

Most standard arithmetic functions on natural numbers are implemented using their counterparts on the integers:

lemma [code]: $m - n = nat (int m - int n)$ ⟨proof⟩

lemma [code]: $m + n = nat (int m + int n)$ ⟨proof⟩

lemma [code]: $m * n = nat (int m * int n)$
 ⟨proof⟩

lemma [code]: $m \text{ div } n = nat (int m \text{ div } int n)$
 ⟨proof⟩

lemma [code]: $m \text{ mod } n = nat (int m \text{ mod } int n)$
 ⟨proof⟩

lemma [code]: $(m < n) = (int m < int n)$
 ⟨proof⟩

5.2 Preprocessors

In contrast to $Suc\ n$, the term $n + 1$ is no longer a constructor term. Therefore, all occurrences of this term in a position where a pattern is expected (i.e. on the left-hand side of a recursion equation or in the arguments of an inductive relation in an introduction rule) must be eliminated. This can be accomplished by applying the following transformation rules:

theorem *Suc-if-eq*: $(\bigwedge n. f\ (Suc\ n) = h\ n) \implies f\ 0 = g \implies$
 $f\ n = (if\ n = 0\ then\ g\ else\ h\ (n - 1))$
<proof>

theorem *Suc-clause*: $(\bigwedge n. P\ n\ (Suc\ n)) \implies n \neq 0 \implies P\ (n - 1)\ n$
<proof>

The rules above are built into a preprocessor that is plugged into the code generator. Since the preprocessor for introduction rules does not know anything about modes, some of the modes that worked for the canonical representation of natural numbers may no longer work.

<ML>
end

6 ExecutableSet: Implementation of finite sets by lists

theory *ExecutableSet*
imports *Main*
begin

lemma [*code target: Set*]: $(A = B) = (A \subseteq B \wedge B \subseteq A)$
<proof>

declare *bea-triv-one-point1* [*symmetric, standard, code*]

types-code

set (- *list*)

attach (*term-of*) \ll

fun term-of-set f T [] = Const ({}, Type (set, [T]))

| term-of-set f T (x :: xs) = Const (insert,

T --> Type (set, [T]) --> Type (set, [T])) \$ f x \$ term-of-set f T xs;

\gg

attach (*test*) \ll

fun gen-set' aG i j = frequency

[(i, fn () => aG j :: gen-set' aG (i-1) j), (1, fn () => [])] ()

and gen-set aG i = gen-set' aG i i;

\gg

```

consts-code
  {}      ([])
  insert ((- ins -))
  op Un   ((- union -))
  op Int  ((- inter -))
  op - :: 'a set => 'a set => 'a set ((- \\ -))
  image  (<module>image)
attach <<
  fun image f S = distinct (map f S);
  >>
  UNION  (<module>UNION)
attach <<
  fun UNION S f = Library.foldr Library.union (map f S, []);
  >>
  INTER  (<module>INTER)
attach <<
  fun INTER S f = Library.foldr1 Library.inter (map f S);
  >>
  Bex    (<module>Bex)
attach <<
  fun Bex S P = Library.exists P S;
  >>
  Ball   (<module>Ball)
attach <<
  fun Ball S P = Library.forall P S;
  >>

end

```

7 FuncSet: Pi and Function Sets

```

theory FuncSet
imports Main
begin

```

```

constdefs

```

```

  Pi :: ['a set, 'a => 'b set] => ('a => 'b) set
  Pi A B == {f. ∀x. x ∈ A --> f x ∈ B x}

```

```

  extensional :: 'a set => ('a => 'b) set
  extensional A == {f. ∀x. x~:A --> f x = arbitrary}

```

```

  restrict :: ['a => 'b, 'a set] => ('a => 'b)
  restrict f A == (%x. if x ∈ A then f x else arbitrary)

```

```

syntax

```

```

  @Pi :: [pttrn, 'a set, 'b set] => ('a => 'b) set ((@PI -:/ -) 10)
  funcset :: ['a set, 'b set] => ('a => 'b) set      (infixr -> 60)

```

$@lam :: [pttrn, 'a\ set, 'a \Rightarrow 'b] \Rightarrow ('a \Rightarrow 'b) \ ((\exists \% \text{-} \cdot \text{-} / \text{-}) [0,0,3] \exists)$

syntax (*xsymbols*)

$@Pi :: [pttrn, 'a\ set, 'b\ set] \Rightarrow ('a \Rightarrow 'b)\ set \ ((\exists \Pi \text{-} \in \cdot \text{-} / \text{-}) \ 10)$

$funcset :: ['a\ set, 'b\ set] \Rightarrow ('a \Rightarrow 'b)\ set \ (\mathbf{infixr} \rightarrow 60)$

$@lam :: [pttrn, 'a\ set, 'a \Rightarrow 'b] \Rightarrow ('a \Rightarrow 'b) \ ((\exists \lambda \text{-} \in \cdot \text{-} / \text{-}) [0,0,3] \exists)$

syntax (*HTML output*)

$@Pi :: [pttrn, 'a\ set, 'b\ set] \Rightarrow ('a \Rightarrow 'b)\ set \ ((\exists \Pi \text{-} \in \cdot \text{-} / \text{-}) \ 10)$

$@lam :: [pttrn, 'a\ set, 'a \Rightarrow 'b] \Rightarrow ('a \Rightarrow 'b) \ ((\exists \lambda \text{-} \in \cdot \text{-} / \text{-}) [0,0,3] \exists)$

translations

$PI\ x:A.\ B \Rightarrow Pi\ A\ (\%x.\ B)$

$A \text{-} \> B \Rightarrow Pi\ A\ (\text{-}K\ B)$

$\%x:A.\ f == restrict\ (\%x.\ f)\ A$

constdefs

$compose :: ['a\ set, 'b \Rightarrow 'c, 'a \Rightarrow 'b] \Rightarrow ('a \Rightarrow 'c)$

$compose\ A\ g\ f == \lambda x \in A.\ g\ (f\ x)$

$\langle ML \rangle$

7.1 Basic Properties of Pi

lemma *Pi-I*: $(!!x.\ x \in A \Rightarrow f\ x \in B\ x) \Rightarrow f \in Pi\ A\ B$
 $\langle proof \rangle$

lemma *funcsetI*: $(!!x.\ x \in A \Rightarrow f\ x \in B) \Rightarrow f \in A \text{-} \> B$
 $\langle proof \rangle$

lemma *Pi-mem*: $[f: Pi\ A\ B; x \in A] \Rightarrow f\ x \in B\ x$
 $\langle proof \rangle$

lemma *funcset-mem*: $[f \in A \text{-} \> B; x \in A] \Rightarrow f\ x \in B$
 $\langle proof \rangle$

lemma *funcset-image*: $f \in A \rightarrow B \Rightarrow f\ 'A \subseteq B$
 $\langle proof \rangle$

lemma *Pi-eq-empty*: $((PI\ x:\ A.\ B\ x) = \{\}) = (\exists x \in A.\ B(x) = \{\})$
 $\langle proof \rangle$

lemma *Pi-empty* [*simp*]: $Pi\ \{\}\ B = UNIV$
 $\langle proof \rangle$

lemma *Pi-UNIV* [*simp*]: $A \text{-} \> UNIV = UNIV$
 $\langle proof \rangle$

Covariance of Pi -sets in their second argument

lemma *Pi-mono*: $(!!x. x \in A \implies B x \leq C x) \implies \text{Pi } A B \leq \text{Pi } A C$
 ⟨proof⟩

Contravariance of Pi-sets in their first argument

lemma *Pi-anti-mono*: $A' \leq A \implies \text{Pi } A B \leq \text{Pi } A' B$
 ⟨proof⟩

7.2 Composition With a Restricted Domain: *compose*

lemma *funcset-compose*:

$[| f \in A \rightarrow B; g \in B \rightarrow C |] \implies \text{compose } A g f \in A \rightarrow C$
 ⟨proof⟩

lemma *compose-assoc*:

$[| f \in A \rightarrow B; g \in B \rightarrow C; h \in C \rightarrow D |]$
 $\implies \text{compose } A h (\text{compose } A g f) = \text{compose } A (\text{compose } B h g) f$
 ⟨proof⟩

lemma *compose-eq*: $x \in A \implies \text{compose } A g f x = g(f(x))$
 ⟨proof⟩

lemma *surj-compose*: $[| f \text{ ' } A = B; g \text{ ' } B = C |] \implies \text{compose } A g f \text{ ' } A = C$
 ⟨proof⟩

7.3 Bounded Abstraction: *restrict*

lemma *restrict-in-funcset*: $(!!x. x \in A \implies f x \in B) \implies (\lambda x \in A. f x) \in A \rightarrow B$
 ⟨proof⟩

lemma *restrictI*: $(!!x. x \in A \implies f x \in B x) \implies (\lambda x \in A. f x) \in \text{Pi } A B$
 ⟨proof⟩

lemma *restrict-apply* [*simp*]:

$(\lambda y \in A. f y) x = (\text{if } x \in A \text{ then } f x \text{ else arbitrary})$
 ⟨proof⟩

lemma *restrict-ext*:

$(!!x. x \in A \implies f x = g x) \implies (\lambda x \in A. f x) = (\lambda x \in A. g x)$
 ⟨proof⟩

lemma *inj-on-restrict-eq* [*simp*]: $\text{inj-on } (\text{restrict } f A) A = \text{inj-on } f A$
 ⟨proof⟩

lemma *Id-compose*:

$[| f \in A \rightarrow B; f \in \text{extensional } A |] \implies \text{compose } A (\lambda y \in B. y) f = f$
 ⟨proof⟩

lemma *compose-Id*:

$\llbracket g \in A \rightarrow B; g \in \text{extensional } A \rrbracket \implies \text{compose } A \ g \ (\lambda x \in A. x) = g$
 ⟨proof⟩

lemma *image-restrict-eq* [simp]: $(\text{restrict } f \ A) \ 'A = f \ 'A$
 ⟨proof⟩

7.4 Bijections Between Sets

The basic definition could be moved to *Fun.thy*, but most of the theorems belong here, or need at least *Hilbert-Choice*.

constdefs

bij-betw :: [*'a* => *'b*, *'a set*, *'b set*] => bool
bij-betw *f* *A* *B* == *inj-on* *f* *A* & *f* ' *A* = *B*

lemma *bij-betw-imp-inj-on*: $\text{bij-betw } f \ A \ B \implies \text{inj-on } f \ A$
 ⟨proof⟩

lemma *bij-betw-imp-funcset*: $\text{bij-betw } f \ A \ B \implies f \in A \rightarrow B$
 ⟨proof⟩

lemma *bij-betw-Inv*: $\text{bij-betw } f \ A \ B \implies \text{bij-betw } (\text{Inv } A \ f) \ B \ A$
 ⟨proof⟩

lemma *inj-on-compose*:

$\llbracket \text{bij-betw } f \ A \ B; \text{inj-on } g \ B \rrbracket \implies \text{inj-on } (\text{compose } A \ g \ f) \ A$
 ⟨proof⟩

lemma *bij-betw-compose*:

$\llbracket \text{bij-betw } f \ A \ B; \text{bij-betw } g \ B \ C \rrbracket \implies \text{bij-betw } (\text{compose } A \ g \ f) \ A \ C$
 ⟨proof⟩

lemma *bij-betw-restrict-eq* [simp]:

$\text{bij-betw } (\text{restrict } f \ A) \ A \ B = \text{bij-betw } f \ A \ B$
 ⟨proof⟩

7.5 Extensionality

lemma *extensional-arb*: $\llbracket f \in \text{extensional } A; x \notin A \rrbracket \implies f \ x = \text{arbitrary}$
 ⟨proof⟩

lemma *restrict-extensional* [simp]: $\text{restrict } f \ A \in \text{extensional } A$
 ⟨proof⟩

lemma *compose-extensional* [simp]: $\text{compose } A \ f \ g \in \text{extensional } A$
 ⟨proof⟩

lemma *extensionalityI*:

$\llbracket f \in \text{extensional } A; g \in \text{extensional } A; \forall x. x \in A \implies f \ x = g \ x \rrbracket \implies f = g$

<proof>

lemma *Inv-funcset*: $f ' A = B ==> (\lambda x \in B. \text{Inv } A \text{ } f \text{ } x) : B \rightarrow A$
<proof>

lemma *compose-Inv-id*:

bij-betw f A B ==> compose A (\lambda y \in B. \text{Inv } A \text{ } f \text{ } y) f = (\lambda x \in A. x)
<proof>

lemma *compose-id-Inv*:

f ' A = B ==> compose B f (\lambda y \in B. \text{Inv } A \text{ } f \text{ } y) = (\lambda x \in B. x)
<proof>

7.6 Cardinality

lemma *card-inj*: $[[f \in A \rightarrow B; \text{inj-on } f \text{ } A; \text{finite } B]] ==> \text{card}(A) \leq \text{card}(B)$
<proof>

lemma *card-bij*:

$[[f \in A \rightarrow B; \text{inj-on } f \text{ } A;$
 $g \in B \rightarrow A; \text{inj-on } g \text{ } B; \text{finite } A; \text{finite } B]] ==> \text{card}(A) = \text{card}(B)$
<proof>

end

8 Multiset: Multisets

theory *Multiset*

imports *Accessible-Part*

begin

8.1 The type of multisets

typedef *'a multiset* = $\{f :: 'a \Rightarrow \text{nat. finite } \{x . 0 < f \text{ } x\}\}$
<proof>

lemmas *multiset-typedef [simp]* =

Abs-multiset-inverse Rep-multiset-inverse Rep-multiset
and *[simp] = Rep-multiset-inject [symmetric]*

constdefs

Mempty :: *'a multiset* ($\{\#\}$)
 $\{\#\} == \text{Abs-multiset } (\lambda a. 0)$

single :: *'a* \Rightarrow *'a multiset* ($\{\#-\#\}$)
 $\{\#a\#} == \text{Abs-multiset } (\lambda b. \text{if } b = a \text{ then } 1 \text{ else } 0)$

count :: *'a multiset* \Rightarrow *'a* \Rightarrow *nat*

count == *Rep-multiset*

MCollect :: 'a multiset => ('a => bool) => 'a multiset
MCollect *M P* == *Abs-multiset* ($\lambda x. \text{if } P \ x \text{ then } \text{Rep-multiset } M \ x \text{ else } 0$)

syntax

-*Melem* :: 'a => 'a multiset => bool ((-/ :# -) [50, 51] 50)
 -*MCollect* :: pptrn => 'a multiset => bool => 'a multiset ((1{# - : -/ -#}))

translations

$a :\# M == 0 < \text{count } M \ a$
 $\{ \#x:M. P \# \} == \text{MCollect } M \ (\lambda x. P)$

constdefs

set-of :: 'a multiset => 'a set
set-of *M* == {*x. x* :# *M*}

instance *multiset* :: (type) {*plus, minus, zero*} <proof>

defs (overloaded)

union-def: $M + N == \text{Abs-multiset } (\lambda a. \text{Rep-multiset } M \ a + \text{Rep-multiset } N \ a)$
diff-def: $M - N == \text{Abs-multiset } (\lambda a. \text{Rep-multiset } M \ a - \text{Rep-multiset } N \ a)$
Zero-multiset-def [*simp*]: $0 == \{ \# \}$
size-def: $\text{size } M == \text{setsum } (\text{count } M) \ (\text{set-of } M)$

constdefs

multiset-inter :: 'a multiset \Rightarrow 'a multiset \Rightarrow 'a multiset (**infixl** # \cap 70)
multiset-inter *A B* $\equiv A - (A - B)$

Preservation of the representing set *multiset*.

lemma *const0-in-multiset* [*simp*]: $(\lambda a. 0) \in \text{multiset}$
 <proof>

lemma *only1-in-multiset* [*simp*]: $(\lambda b. \text{if } b = a \text{ then } 1 \text{ else } 0) \in \text{multiset}$
 <proof>

lemma *union-preserves-multiset* [*simp*]:
 $M \in \text{multiset} ==> N \in \text{multiset} ==> (\lambda a. M \ a + N \ a) \in \text{multiset}$
 <proof>

lemma *diff-preserves-multiset* [*simp*]:
 $M \in \text{multiset} ==> (\lambda a. M \ a - N \ a) \in \text{multiset}$
 <proof>

8.2 Algebraic properties of multisets

8.2.1 Union

lemma *union-empty* [*simp*]: $M + \{ \# \} = M \wedge \{ \# \} + M = M$
 <proof>

lemma *union-commute*: $M + N = N + (M::'a\ multiset)$
 ⟨*proof*⟩

lemma *union-assoc*: $(M + N) + K = M + (N + (K::'a\ multiset))$
 ⟨*proof*⟩

lemma *union-lcomm*: $M + (N + K) = N + (M + (K::'a\ multiset))$
 ⟨*proof*⟩

lemmas *union-ac = union-assoc union-commute union-lcomm*

instance *multiset* :: (*type*) *comm-monoid-add*
 ⟨*proof*⟩

8.2.2 Difference

lemma *diff-empty* [*simp*]: $M - \{\#\} = M \wedge \{\#\} - M = \{\#\}$
 ⟨*proof*⟩

lemma *diff-union-inverse2* [*simp*]: $M + \{\#a\# \} - \{\#a\# \} = M$
 ⟨*proof*⟩

8.2.3 Count of elements

lemma *count-empty* [*simp*]: *count* $\{\#\}$ $a = 0$
 ⟨*proof*⟩

lemma *count-single* [*simp*]: *count* $\{\#b\#\}$ $a = (\text{if } b = a \text{ then } 1 \text{ else } 0)$
 ⟨*proof*⟩

lemma *count-union* [*simp*]: *count* $(M + N)$ $a = \text{count } M \ a + \text{count } N \ a$
 ⟨*proof*⟩

lemma *count-diff* [*simp*]: *count* $(M - N)$ $a = \text{count } M \ a - \text{count } N \ a$
 ⟨*proof*⟩

8.2.4 Set of elements

lemma *set-of-empty* [*simp*]: *set-of* $\{\#\}$ = $\{\}$
 ⟨*proof*⟩

lemma *set-of-single* [*simp*]: *set-of* $\{\#b\#\}$ = $\{b\}$
 ⟨*proof*⟩

lemma *set-of-union* [*simp*]: *set-of* $(M + N)$ = *set-of* $M \cup \text{set-of } N$
 ⟨*proof*⟩

lemma *set-of-eq-empty-iff* [*simp*]: $(\text{set-of } M = \{\}) = (M = \{\#\})$
 ⟨*proof*⟩

lemma *mem-set-of-iff* [simp]: $(x \in \text{set-of } M) = (x :\# M)$
 ⟨proof⟩

8.2.5 Size

lemma *size-empty* [simp]: $\text{size } \{\#\} = 0$
 ⟨proof⟩

lemma *size-single* [simp]: $\text{size } \{\#b\# \} = 1$
 ⟨proof⟩

lemma *finite-set-of* [iff]: $\text{finite } (\text{set-of } M)$
 ⟨proof⟩

lemma *setsum-count-Int*:
 $\text{finite } A \implies \text{setsum } (\text{count } N) (A \cap \text{set-of } N) = \text{setsum } (\text{count } N) A$
 ⟨proof⟩

lemma *size-union* [simp]: $\text{size } (M + N::'a \text{ multiset}) = \text{size } M + \text{size } N$
 ⟨proof⟩

lemma *size-eq-0-iff-empty* [iff]: $(\text{size } M = 0) = (M = \{\#\})$
 ⟨proof⟩

lemma *size-eq-Suc-imp-elem*: $\text{size } M = \text{Suc } n \implies \exists a. a :\# M$
 ⟨proof⟩

8.2.6 Equality of multisets

lemma *multiset-eq-conv-count-eq*: $(M = N) = (\forall a. \text{count } M a = \text{count } N a)$
 ⟨proof⟩

lemma *single-not-empty* [simp]: $\{\#a\#\} \neq \{\#\} \wedge \{\#\} \neq \{\#a\#\}$
 ⟨proof⟩

lemma *single-eq-single* [simp]: $(\{\#a\#\} = \{\#b\#\}) = (a = b)$
 ⟨proof⟩

lemma *union-eq-empty* [iff]: $(M + N = \{\#\}) = (M = \{\#\} \wedge N = \{\#\})$
 ⟨proof⟩

lemma *empty-eq-union* [iff]: $(\{\#\} = M + N) = (M = \{\#\} \wedge N = \{\#\})$
 ⟨proof⟩

lemma *union-right-cancel* [simp]: $(M + K = N + K) = (M = (N::'a \text{ multiset}))$
 ⟨proof⟩

lemma *union-left-cancel* [simp]: $(K + M = K + N) = (M = (N::'a \text{ multiset}))$
 ⟨proof⟩

lemma *union-is-single*:

$$(M + N = \{ \#a\# \}) = (M = \{ \#a\# \} \wedge N = \{ \# \} \vee M = \{ \# \} \wedge N = \{ \#a\# \})$$

<proof>

lemma *single-is-union*:

$$(\{ \#a\# \} = M + N) = (\{ \#a\# \} = M \wedge N = \{ \# \} \vee M = \{ \# \} \wedge \{ \#a\# \} = N)$$

<proof>

lemma *add-eq-conv-diff*:

$$(M + \{ \#a\# \} = N + \{ \#b\# \}) = (M = N \wedge a = b \vee M = N - \{ \#a\# \} + \{ \#b\# \} \wedge N = M - \{ \#b\# \} + \{ \#a\# \})$$

<proof>

declare *Rep-multiset-inject* [*symmetric, simp del*]

8.2.7 Intersection

lemma *multiset-inter-count*:

$$\text{count } (A \# \cap B) x = \min (\text{count } A x) (\text{count } B x)$$

<proof>

lemma *multiset-inter-commute*: $A \# \cap B = B \# \cap A$

<proof>

lemma *multiset-inter-assoc*: $A \# \cap (B \# \cap C) = A \# \cap B \# \cap C$

<proof>

lemma *multiset-inter-left-commute*: $A \# \cap (B \# \cap C) = B \# \cap (A \# \cap C)$

<proof>

lemmas *multiset-inter-ac =*

multiset-inter-commute

multiset-inter-assoc

multiset-inter-left-commute

lemma *multiset-union-diff-commute*: $B \# \cap C = \{ \# \} \implies A + B - C = A - C + B$

<proof>

8.3 Induction over multisets

lemma *setsum-decr*:

$$\text{finite } F \implies (0 :: \text{nat}) < f a \implies$$

$$\text{setsum } (f (a := f a - 1)) F = (\text{if } a \in F \text{ then setsum } f F - 1 \text{ else setsum } f F)$$

<proof>

lemma *rep-multiset-induct-aux*:

assumes $P (\lambda a. (0::nat))$
and $!!f b. f \in \text{multiset} \implies P f \implies P (f (b := f b + 1))$
shows $\forall f. f \in \text{multiset} \dashrightarrow \text{setsum } f \{x. 0 < f x\} = n \dashrightarrow P f$
 $\langle \text{proof} \rangle$

theorem *rep-multiset-induct*:
 $f \in \text{multiset} \implies P (\lambda a. 0) \implies$
 $(!!f b. f \in \text{multiset} \implies P f \implies P (f (b := f b + 1))) \implies P f$
 $\langle \text{proof} \rangle$

theorem *multiset-induct* [*induct type: multiset*]:
assumes *prem1*: $P \{\#\}$
and *prem2*: $!!M x. P M \implies P (M + \{\#x\#})$
shows $P M$
 $\langle \text{proof} \rangle$

lemma *MCollect-preserves-multiset*:
 $M \in \text{multiset} \implies (\lambda x. \text{if } P x \text{ then } M x \text{ else } 0) \in \text{multiset}$
 $\langle \text{proof} \rangle$

lemma *count-MCollect* [*simp*]:
 $\text{count } \{\# x:M. P x \#\} a = (\text{if } P a \text{ then } \text{count } M a \text{ else } 0)$
 $\langle \text{proof} \rangle$

lemma *set-of-MCollect* [*simp*]: $\text{set-of } \{\# x:M. P x \#\} = \text{set-of } M \cap \{x. P x\}$
 $\langle \text{proof} \rangle$

lemma *multiset-partition*: $M = \{\# x:M. P x \#\} + \{\# x:M. \neg P x \#\}$
 $\langle \text{proof} \rangle$

lemma *add-eq-conv-ex*:
 $(M + \{\#a\#} = N + \{\#b\#}) =$
 $(M = N \wedge a = b \vee (\exists K. M = K + \{\#b\#} \wedge N = K + \{\#a\#}))$
 $\langle \text{proof} \rangle$

declare *multiset-typedef* [*simp del*]

8.4 Multiset orderings

8.4.1 Well-foundedness

constdefs
 $\text{mult1} :: ('a \times 'a) \text{ set} \Rightarrow ('a \text{ multiset} \times 'a \text{ multiset}) \text{ set}$
 $\text{mult1 } r ==$
 $\{(N, M). \exists a M0 K. M = M0 + \{\#a\#} \wedge N = M0 + K \wedge$
 $(\forall b. b :\# K \dashrightarrow (b, a) \in r)\}$

 $\text{mult} :: ('a \times 'a) \text{ set} \Rightarrow ('a \text{ multiset} \times 'a \text{ multiset}) \text{ set}$
 $\text{mult } r == (\text{mult1 } r)^+$

lemma *not-less-empty* [iff]: $(M, \{\#\}) \notin \text{mult1 } r$
 ⟨proof⟩

lemma *less-add*: $(N, M0 + \{\#a\#\}) \in \text{mult1 } r \implies$
 $(\exists M. (M, M0) \in \text{mult1 } r \wedge N = M + \{\#a\#\}) \vee$
 $(\exists K. (\forall b. b :\# K \implies (b, a) \in r) \wedge N = M0 + K)$
 (concl is ?case1 (mult1 r) \vee ?case2)
 ⟨proof⟩

lemma *all-accessible*: $\text{wf } r \implies \forall M. M \in \text{acc } (\text{mult1 } r)$
 ⟨proof⟩

theorem *wf-mult1*: $\text{wf } r \implies \text{wf } (\text{mult1 } r)$
 ⟨proof⟩

theorem *wf-mult*: $\text{wf } r \implies \text{wf } (\text{mult } r)$
 ⟨proof⟩

8.4.2 Closure-free presentation

lemma *diff-union-single-conv*: $a :\# J \implies I + J - \{\#a\#\} = I + (J - \{\#a\#\})$
 ⟨proof⟩

One direction.

lemma *mult-implies-one-step*:
 $\text{trans } r \implies (M, N) \in \text{mult } r \implies$
 $\exists I J K. N = I + J \wedge M = I + K \wedge J \neq \{\#\} \wedge$
 $(\forall k \in \text{set-of } K. \exists j \in \text{set-of } J. (k, j) \in r)$
 ⟨proof⟩

lemma *elem-imp-eq-diff-union*: $a :\# M \implies M = M - \{\#a\#\} + \{\#a\#\}$
 ⟨proof⟩

lemma *size-eq-Suc-imp-eq-union*: $\text{size } M = \text{Suc } n \implies \exists a N. M = N + \{\#a\#\}$
 ⟨proof⟩

lemma *one-step-implies-mult-aux*:
 $\text{trans } r \implies$
 $\forall I J K. (\text{size } J = n \wedge J \neq \{\#\} \wedge (\forall k \in \text{set-of } K. \exists j \in \text{set-of } J. (k, j) \in r))$
 $\implies (I + K, I + J) \in \text{mult } r$
 ⟨proof⟩

lemma *one-step-implies-mult*:
 $\text{trans } r \implies J \neq \{\#\} \implies \forall k \in \text{set-of } K. \exists j \in \text{set-of } J. (k, j) \in r$
 $\implies (I + K, I + J) \in \text{mult } r$
 ⟨proof⟩

8.4.3 Partial-order properties

instance *multiset* :: (type) ord ⟨proof⟩

defs (overloaded)

less-multiset-def: $M' < M \iff (M', M) \in \text{mult } \{(x', x). x' < x\}$
le-multiset-def: $M' <= M \iff M' = M \vee M' < (M::'a \text{ multiset})$

lemma *trans-base-order*: $\text{trans } \{(x', x). x' < (x::'a::\text{order})\}$
 ⟨proof⟩

Irreflexivity.

lemma *mult-irrefl-aux*:

finite $A \implies (\forall x \in A. \exists y \in A. x < (y::'a::\text{order})) \dashrightarrow A = \{\}$
 ⟨proof⟩

lemma *mult-less-not-refl*: $\neg M < (M::'a::\text{order multiset})$
 ⟨proof⟩

lemma *mult-less-irrefl [elim!]*: $M < (M::'a::\text{order multiset}) \implies R$
 ⟨proof⟩

Transitivity.

theorem *mult-less-trans*: $K < M \implies M < N \implies K < (N::'a::\text{order multiset})$
 ⟨proof⟩

Asymmetry.

theorem *mult-less-not-sym*: $M < N \implies \neg N < (M::'a::\text{order multiset})$
 ⟨proof⟩

theorem *mult-less-asym*:

$M < N \implies (\neg P \implies N < (M::'a::\text{order multiset})) \implies P$
 ⟨proof⟩

theorem *mult-le-refl [iff]*: $M <= (M::'a::\text{order multiset})$
 ⟨proof⟩

Anti-symmetry.

theorem *mult-le-antisym*:

$M <= N \implies N <= M \implies M = (N::'a::\text{order multiset})$
 ⟨proof⟩

Transitivity.

theorem *mult-le-trans*:

$K <= M \implies M <= N \implies K <= (N::'a::\text{order multiset})$
 ⟨proof⟩

theorem *mult-less-le*: $(M < N) = (M <= N \wedge M \neq (N::'a::\text{order multiset}))$
 ⟨proof⟩

Partial order.

instance *multiset* :: (order) order
 ⟨proof⟩

8.4.4 Monotonicity of multiset union

lemma *mult1-union*:

$(B, D) \in \text{mult1 } r \implies \text{trans } r \implies (C + B, C + D) \in \text{mult1 } r$
 ⟨proof⟩

lemma *union-less-mono2*: $B < D \implies C + B < C + (D::'a::\text{order multiset})$
 ⟨proof⟩

lemma *union-less-mono1*: $B < D \implies B + C < D + (C::'a::\text{order multiset})$
 ⟨proof⟩

lemma *union-less-mono*:

$A < C \implies B < D \implies A + B < C + (D::'a::\text{order multiset})$
 ⟨proof⟩

lemma *union-le-mono*:

$A \leq C \implies B \leq D \implies A + B \leq C + (D::'a::\text{order multiset})$
 ⟨proof⟩

lemma *empty-leI* [iff]: $\{\#\} \leq (M::'a::\text{order multiset})$
 ⟨proof⟩

lemma *union-upper1*: $A \leq A + (B::'a::\text{order multiset})$
 ⟨proof⟩

lemma *union-upper2*: $B \leq A + (B::'a::\text{order multiset})$
 ⟨proof⟩

8.5 Link with lists

consts

multiset-of :: 'a list \Rightarrow 'a multiset

primrec

multiset-of [] = {#}

multiset-of (a # x) = *multiset-of* x + {# a #}

lemma *multiset-of-zero-iff[simp]*: $(\text{multiset-of } x = \{\#\}) = (x = [])$
 ⟨proof⟩

lemma *multiset-of-zero-iff-right[simp]*: $(\{\#\} = \text{multiset-of } x) = (x = [])$
 ⟨proof⟩

lemma *set-of-multiset-of[simp]*: $\text{set-of}(\text{multiset-of } x) = \text{set } x$
 ⟨proof⟩

lemma *mem-set-multiset-eq*: $x \in \text{set } xs = (x :\# \text{multiset-of } xs)$

<proof>

lemma *multiset-of-append[simp]*:

multiset-of (xs @ ys) = multiset-of xs + multiset-of ys

<proof>

lemma *surj-multiset-of: surj multiset-of*

<proof>

lemma *set-count-greater-0: set x = {a. 0 < count (multiset-of x) a}*

<proof>

lemma *distinct-count-atmost-1:*

distinct x = (! a. count (multiset-of x) a = (if a ∈ set x then 1 else 0))

<proof>

lemma *multiset-of-eq-setD:*

multiset-of xs = multiset-of ys \implies set xs = set ys

<proof>

lemma *set-eq-iff-multiset-of-eq-distinct:*

[[distinct x; distinct y]

\implies (set x = set y) = (multiset-of x = multiset-of y)

<proof>

lemma *set-eq-iff-multiset-of-remdups-eq:*

(set x = set y) = (multiset-of (remdups x) = multiset-of (remdups y))

<proof>

lemma *multiset-of-compl-union[simp]:*

multiset-of [x∈xs. P x] + multiset-of [x∈xs. ¬P x] = multiset-of xs

<proof>

lemma *count-filter:*

count (multiset-of xs) x = length [y ∈ xs. y = x]

<proof>

8.6 Pointwise ordering induced by count

consts

mset-le :: ['a multiset, 'a multiset] \Rightarrow bool

syntax

-mset-le :: 'a multiset \Rightarrow 'a multiset \Rightarrow bool (- ≤# - [50,51] 50)

translations

x ≤# y == mset-le x y

defs

mset-le-def: xs ≤# ys == (∀ a. count xs a ≤ count ys a)

lemma *mset-le-refl[simp]*: $xs \leq\# xs$
 ⟨proof⟩

lemma *mset-le-trans*: $\llbracket xs \leq\# ys; ys \leq\# zs \rrbracket \implies xs \leq\# zs$
 ⟨proof⟩

lemma *mset-le-antisym*: $\llbracket xs \leq\# ys; ys \leq\# xs \rrbracket \implies xs = ys$
 ⟨proof⟩

lemma *mset-le-exists-conv*:
 $(xs \leq\# ys) = (\exists zs. ys = xs + zs)$
 ⟨proof⟩

lemma *mset-le-mono-add-right-cancel[simp]*: $(xs + zs \leq\# ys + zs) = (xs \leq\# ys)$
 ⟨proof⟩

lemma *mset-le-mono-add-left-cancel[simp]*: $(zs + xs \leq\# zs + ys) = (xs \leq\# ys)$
 ⟨proof⟩

lemma *mset-le-mono-add*: $\llbracket xs \leq\# ys; vs \leq\# ws \rrbracket \implies xs + vs \leq\# ys + ws$
 ⟨proof⟩

lemma *mset-le-add-left[simp]*: $xs \leq\# xs + ys$
 ⟨proof⟩

lemma *mset-le-add-right[simp]*: $ys \leq\# xs + ys$
 ⟨proof⟩

lemma *multiset-of-remdups-le*: $\text{multiset-of } (\text{remdups } x) \leq\# \text{multiset-of } x$
 ⟨proof⟩

end

9 NatPair: Pairs of Natural Numbers

theory *NatPair*
imports *Main*
begin

An injective function from \mathbb{N}^2 to \mathbb{N} . Definition and proofs are from [3, page 85].

constdefs

nat2-to-nat:: $(nat * nat) \Rightarrow nat$

nat2-to-nat pair \equiv *let* $(n,m) = \text{pair}$ *in* $(n+m) * \text{Suc } (n+m) \text{ div } 2 + n$

lemma *dvd2-a-x-suc-a*: $2 \text{ dvd } a * (\text{Suc } a)$
 ⟨proof⟩

```

lemma
  assumes eq: nat2-to-nat (u,v) = nat2-to-nat (x,y)
  shows nat2-to-nat-help:  $u+v \leq x+y$ 
  <proof>

theorem nat2-to-nat-inj: inj nat2-to-nat
  <proof>

end

```

10 Nat-Infinity: Natural numbers with infinity

```

theory Nat-Infinity
imports Main
begin

```

10.1 Definitions

We extend the standard natural numbers by a special value indicating infinity. This includes extending the ordering relations $op <$ and $op \leq$.

```

datatype inat = Fin nat | Infty

instance inat :: {ord, zero} <proof>

consts
  iSuc :: inat => inat

syntax (xsymbols)
  Infty :: inat    ( $\infty$ )

syntax (HTML output)
  Infty :: inat    ( $\infty$ )

defs
  Zero-inat-def:  $0 == \text{Fin } 0$ 
  iSuc-def:  $iSuc\ i == \text{case } i \text{ of } \text{Fin } n \Rightarrow \text{Fin } (\text{Suc } n) \mid \infty \Rightarrow \infty$ 
  iless-def:  $m < n ==$ 
     $\text{case } m \text{ of } \text{Fin } m1 \Rightarrow (\text{case } n \text{ of } \text{Fin } n1 \Rightarrow m1 < n1 \mid \infty \Rightarrow \text{True})$ 
     $\mid \infty \Rightarrow \text{False}$ 
  ile-def:  $(m::inat) \leq n == \neg (n < m)$ 

lemmas inat-defs = Zero-inat-def iSuc-def illess-def ile-def
lemmas inat-splits = inat.split inat.split-asm

```

Below is a not quite complete set of theorems. Use the method (*simp add: inat-defs split:inat-splits, arith?*) to prove new theorems or solve arithmetic

subgoals involving *inat* on the fly.

10.2 Constructors

lemma *Fin-0*: $Fin\ 0 = 0$
 ⟨*proof*⟩

lemma *Infty-ne-i0* [*simp*]: $\infty \neq 0$
 ⟨*proof*⟩

lemma *i0-ne-Infty* [*simp*]: $0 \neq \infty$
 ⟨*proof*⟩

lemma *iSuc-Fin* [*simp*]: $iSuc\ (Fin\ n) = Fin\ (Suc\ n)$
 ⟨*proof*⟩

lemma *iSuc-Infty* [*simp*]: $iSuc\ \infty = \infty$
 ⟨*proof*⟩

lemma *iSuc-ne-0* [*simp*]: $iSuc\ n \neq 0$
 ⟨*proof*⟩

lemma *iSuc-inject* [*simp*]: $(iSuc\ x = iSuc\ y) = (x = y)$
 ⟨*proof*⟩

10.3 Ordering relations

lemma *Infty-ilessE* [*elim!*]: $\infty < Fin\ m \implies R$
 ⟨*proof*⟩

lemma *iless-linear*: $m < n \vee m = n \vee n < (m::inat)$
 ⟨*proof*⟩

lemma *iless-not-refl* [*simp*]: $\neg n < (n::inat)$
 ⟨*proof*⟩

lemma *iless-trans*: $i < j \implies j < k \implies i < (k::inat)$
 ⟨*proof*⟩

lemma *iless-not-sym*: $n < m \implies \neg m < (n::inat)$
 ⟨*proof*⟩

lemma *Fin-iless-mono* [*simp*]: $(Fin\ n < Fin\ m) = (n < m)$
 ⟨*proof*⟩

lemma *Fin-iless-Infty* [*simp*]: $Fin\ n < \infty$
 ⟨*proof*⟩

lemma *Infty-eq* [*simp*]: $(n < \infty) = (n \neq \infty)$

$\langle proof \rangle$

lemma *i0-eq* [simp]: $((0::inat) < n) = (n \neq 0)$
 $\langle proof \rangle$

lemma *i0-iless-iSuc* [simp]: $0 < iSuc\ n$
 $\langle proof \rangle$

lemma *not-ilessi0* [simp]: $\neg n < (0::inat)$
 $\langle proof \rangle$

lemma *Fin-iless*: $n < Fin\ m \implies \exists k. n = Fin\ k$
 $\langle proof \rangle$

lemma *iSuc-mono* [simp]: $(iSuc\ n < iSuc\ m) = (n < m)$
 $\langle proof \rangle$

lemma *ile-def2*: $(m \leq n) = (m < n \vee m = (n::inat))$
 $\langle proof \rangle$

lemma *ile-refl* [simp]: $n \leq (n::inat)$
 $\langle proof \rangle$

lemma *ile-trans*: $i \leq j \implies j \leq k \implies i \leq (k::inat)$
 $\langle proof \rangle$

lemma *ile-iless-trans*: $i \leq j \implies j < k \implies i < (k::inat)$
 $\langle proof \rangle$

lemma *iless-ile-trans*: $i < j \implies j \leq k \implies i < (k::inat)$
 $\langle proof \rangle$

lemma *Infty-ub* [simp]: $n \leq \infty$
 $\langle proof \rangle$

lemma *i0-lb* [simp]: $(0::inat) \leq n$
 $\langle proof \rangle$

lemma *Infty-ileE* [elim!]: $\infty \leq Fin\ m \implies R$
 $\langle proof \rangle$

lemma *Fin-ile-mono* [simp]: $(Fin\ n \leq Fin\ m) = (n \leq m)$
 $\langle proof \rangle$

lemma *ilessI1*: $n \leq m \implies n \neq m \implies n < (m::inat)$
 $\langle proof \rangle$

lemma *ileI1*: $m < n \implies iSuc\ m \leq n$
 ⟨*proof*⟩

lemma *Suc-ile-eq*: $(Fin\ (Suc\ m) \leq n) = (Fin\ m < n)$
 ⟨*proof*⟩

lemma *iSuc-ile-mono* [*simp*]: $(iSuc\ n \leq iSuc\ m) = (n \leq m)$
 ⟨*proof*⟩

lemma *iless-Suc-eq* [*simp*]: $(Fin\ m < iSuc\ n) = (Fin\ m \leq n)$
 ⟨*proof*⟩

lemma *not-iSuc-ilei0* [*simp*]: $\neg iSuc\ n \leq 0$
 ⟨*proof*⟩

lemma *ile-iSuc* [*simp*]: $n \leq iSuc\ n$
 ⟨*proof*⟩

lemma *Fin-ile*: $n \leq Fin\ m \implies \exists k. n = Fin\ k$
 ⟨*proof*⟩

lemma *chain-incr*: $\forall i. \exists j. Y\ i < Y\ j \implies \exists j. Fin\ k < Y\ j$
 ⟨*proof*⟩

end

11 Nested-Environment: Nested environments

theory *Nested-Environment*
imports *Main*
begin

Consider a partial function $e :: 'a \Rightarrow 'b\ option$; this may be understood as an *environment* mapping indexes $'a$ to optional entry values $'b$ (cf. the basic theory *Map* of Isabelle/HOL). This basic idea is easily generalized to that of a *nested environment*, where entries may be either basic values or again proper environments. Then each entry is accessed by a *path*, i.e. a list of indexes leading to its position within the structure.

datatype $('a, 'b, 'c)\ env =$
 $\quad Val\ 'a$
 $\quad | Env\ 'b\ 'c \Rightarrow ('a, 'b, 'c)\ env\ option$

In the type $('a, 'b, 'c)\ env$ the parameter $'a$ refers to basic values (occurring in terminal positions), type $'b$ to values associated with proper (inner) environments, and type $'c$ with the index type for branching. Note that there is

no restriction on any of these types. In particular, arbitrary branching may yield rather large (transfinite) tree structures.

11.1 The lookup operation

Lookup in nested environments works by following a given path of index elements, leading to an optional result (a terminal value or nested environment). A *defined position* within a nested environment is one where *lookup* at its path does not yield *None*.

consts

```
lookup :: ('a, 'b, 'c) env => 'c list => ('a, 'b, 'c) env option
lookup-option :: ('a, 'b, 'c) env option => 'c list => ('a, 'b, 'c) env option
```

primrec (*lookup*)

```
lookup (Val a) xs = (if xs = [] then Some (Val a) else None)
lookup (Env b es) xs =
  (case xs of
   [] => Some (Env b es)
  | y # xs => lookup-option (es y) xs)
lookup-option None xs = None
lookup-option (Some e) xs = lookup e xs
```

hide *const lookup-option*

The characteristic cases of *lookup* are expressed by the following equalities.

theorem *lookup-nil*: $lookup\ e\ [] = Some\ e$
<proof>

theorem *lookup-val-cons*: $lookup\ (Val\ a)\ (x\ \#\ xs) = None$
<proof>

theorem *lookup-env-cons*:
 $lookup\ (Env\ b\ es)\ (x\ \#\ xs) =$
 (case *es x* of
None => *None*
 | *Some e* => $lookup\ e\ xs$)
<proof>

lemmas *lookup.simps* [*simp del*]
and *lookup-simps* [*simp*] = *lookup-nil lookup-val-cons lookup-env-cons*

theorem *lookup-eq*:
 $lookup\ env\ xs =$
 (case *xs* of
 [] => *Some env*
 | *x # xs* =>
 (case *env* of

```

    Val a => None
  | Env b es =>
    (case es x of
      None => None
    | Some e => lookup e xs)))
⟨proof⟩

```

Displaced *lookup* operations, relative to a certain base path prefix, may be reduced as follows. There are two cases, depending whether the environment actually extends far enough to follow the base path.

theorem *lookup-append-none*:

```

!!env. lookup env xs = None ==> lookup env (xs @ ys) = None
(is PROP ?P xs)
⟨proof⟩

```

theorem *lookup-append-some*:

```

!!env e. lookup env xs = Some e ==> lookup env (xs @ ys) = lookup e ys
(is PROP ?P xs)
⟨proof⟩

```

Successful *lookup* deeper down an environment structure means we are able to peek further up as well. Note that this is basically just the contrapositive statement of *lookup-append-none* above.

theorem *lookup-some-append*:

```

lookup env (xs @ ys) = Some e ==> ∃ e. lookup env xs = Some e
⟨proof⟩

```

The subsequent statement describes in more detail how a successful *lookup* with a non-empty path results in a certain situation at any upper position.

theorem *lookup-some-upper*: !!env e.

```

lookup env (xs @ y # ys) = Some e ==>
  ∃ b' es' env'.
    lookup env xs = Some (Env b' es') ∧
    es' y = Some env' ∧
    lookup env' ys = Some e
(is PROP ?P xs is !!env e. ?A env e xs ==> ?C env e xs)
⟨proof⟩

```

11.2 The update operation

Update at a certain position in a nested environment may either delete an existing entry, or overwrite an existing one. Note that update at undefined positions is simple absorbed, i.e. the environment is left unchanged.

consts

```

update :: 'c list => ('a, 'b, 'c) env option
=> ('a, 'b, 'c) env => ('a, 'b, 'c) env

```

update-option :: 'c list => ('a, 'b, 'c) env option
 => ('a, 'b, 'c) env option => ('a, 'b, 'c) env option

primrec (*update*)

update xs opt (Val a) =
 (if *xs* = [] then (case *opt* of None => Val a | Some *e* => *e*)
 else Val a)
update xs opt (Env b es) =
 (case *xs* of
 [] => (case *opt* of None => Env b es | Some *e* => *e*)
 | *y* # *ys* => Env b (es (*y* := *update-option ys opt* (es *y*))))
update-option xs opt None =
 (if *xs* = [] then *opt* else None)
update-option xs opt (Some e) =
 (if *xs* = [] then *opt* else Some (*update xs opt e*))

hide const *update-option*

The characteristic cases of *update* are expressed by the following equalities.

theorem *update-nil-none*: *update* [] None env = env
 ⟨*proof*⟩

theorem *update-nil-some*: *update* [] (Some *e*) env = *e*
 ⟨*proof*⟩

theorem *update-cons-val*: *update* (*x* # *xs*) *opt* (Val *a*) = Val *a*
 ⟨*proof*⟩

theorem *update-cons-nil-env*:
update [*x*] *opt* (Env b es) = Env b (es (*x* := *opt*))
 ⟨*proof*⟩

theorem *update-cons-cons-env*:
update (*x* # *y* # *ys*) *opt* (Env b es) =
 Env b (es (*x* :=
 (case es *x* of
 None => None
 | Some *e* => Some (*update* (*y* # *ys*) *opt* *e*))))
 ⟨*proof*⟩

lemmas *update.simps* [*simp del*]
and *update-simps* [*simp*] = *update-nil-none* *update-nil-some*
update-cons-val *update-cons-nil-env* *update-cons-cons-env*

lemma *update-eq*:
update xs opt env =
 (case *xs* of
 [] =>
 (case *opt* of

```

      None => env
    | Some e => e)
  | x # xs =>
    (case env of
      Val a => Val a
    | Env b es =>
      (case xs of
        [] => Env b (es (x := opt))
      | y # ys =>
        Env b (es (x :=
          (case es x of
            None => None
          | Some e => Some (update (y # ys) opt e)))))))))
<proof>

```

The most basic correspondence of *lookup* and *update* states that after *update* at a defined position, subsequent *lookup* operations would yield the new value.

theorem *lookup-update-some*:

```

!!env e. lookup env xs = Some e ==>
  lookup (update xs (Some env') env) xs = Some env'
(is PROP ?P xs)
<proof>

```

The properties of displaced *update* operations are analogous to those of *lookup* above. There are two cases: below an undefined position *update* is absorbed altogether, and below a defined positions *update* affects subsequent *lookup* operations in the obvious way.

theorem *update-append-none*:

```

!!env. lookup env xs = None ==> update (xs @ y # ys) opt env = env
(is PROP ?P xs)
<proof>

```

theorem *update-append-some*:

```

!!env e. lookup env xs = Some e ==>
  lookup (update (xs @ y # ys) opt env) xs = Some (update (y # ys) opt e)
(is PROP ?P xs)
<proof>

```

Apparently, *update* does not affect the result of subsequent *lookup* operations at independent positions, i.e. in case that the paths for *update* and *lookup* fork at a certain point.

theorem *lookup-update-other*:

```

!!env. y ≠ (z::!c) ==> lookup (update (xs @ z # zs) opt env) (xs @ y # ys) =
  lookup env (xs @ y # ys)
(is PROP ?P xs)

```

<proof>

end

12 Permutation: Permutations

theory *Permutation*

imports *Multiset*

begin

consts

perm :: ('a list * 'a list) set

syntax

-perm :: 'a list => 'a list => bool (- <~~> - [50, 50] 50)

translations

$x <~~> y == (x, y) \in perm$

inductive *perm*

intros

Nil [*intro!*]: [] <~~> []

swap [*intro!*]: $y \# x \# l <~~> x \# y \# l$

Cons [*intro!*]: $xs <~~> ys ==> z \# xs <~~> z \# ys$

trans [*intro!*]: $xs <~~> ys ==> ys <~~> zs ==> xs <~~> zs$

lemma *perm-refl* [*iff!*]: $l <~~> l$

<proof>

12.1 Some examples of rule induction on permutations

lemma *xperm-empty-imp-aux*: $xs <~~> ys ==> xs = [] \dashrightarrow ys = []$

— the form of the premise lets the induction bind *xs* and *ys*

<proof>

lemma *xperm-empty-imp*: $[] <~~> ys ==> ys = []$

<proof>

This more general theorem is easier to understand!

lemma *perm-length*: $xs <~~> ys ==> length\ xs = length\ ys$

<proof>

lemma *perm-empty-imp*: $[] <~~> xs ==> xs = []$

<proof>

lemma *perm-sym*: $xs <~~> ys ==> ys <~~> xs$

<proof>

lemma *perm-mem* [*rule-format*]: $xs <\sim\sim> ys \implies x \text{ mem } xs \dashrightarrow x \text{ mem } ys$
<proof>

12.2 Ways of making new permutations

We can insert the head anywhere in the list.

lemma *perm-append-Cons*: $a \# xs @ ys <\sim\sim> xs @ a \# ys$
<proof>

lemma *perm-append-swap*: $xs @ ys <\sim\sim> ys @ xs$
<proof>

lemma *perm-append-single*: $a \# xs <\sim\sim> xs @ [a]$
<proof>

lemma *perm-rev*: $\text{rev } xs <\sim\sim> xs$
<proof>

lemma *perm-append1*: $xs <\sim\sim> ys \implies l @ xs <\sim\sim> l @ ys$
<proof>

lemma *perm-append2*: $xs <\sim\sim> ys \implies xs @ l <\sim\sim> ys @ l$
<proof>

12.3 Further results

lemma *perm-empty* [*iff*]: $([] <\sim\sim> xs) = (xs = [])$
<proof>

lemma *perm-empty2* [*iff*]: $(xs <\sim\sim> []) = (xs = [])$
<proof>

lemma *perm-sing-imp* [*rule-format*]: $ys <\sim\sim> xs \implies xs = [y] \dashrightarrow ys = [y]$
<proof>

lemma *perm-sing-eq* [*iff*]: $(ys <\sim\sim> [y]) = (ys = [y])$
<proof>

lemma *perm-sing-eq2* [*iff*]: $([y] <\sim\sim> ys) = (ys = [y])$
<proof>

12.4 Removing elements

consts

remove :: 'a => 'a list => 'a list

primrec

remove $x [] = []$

$remove\ x\ (y\ \# \ ys) = (if\ x = y\ then\ ys\ else\ y\ \# \ remove\ x\ ys)$

lemma *perm-remove*: $x \in set\ ys \implies ys <\sim\sim> x\ \# \ remove\ x\ ys$
 ⟨proof⟩

lemma *remove-commute*: $remove\ x\ (remove\ y\ l) = remove\ y\ (remove\ x\ l)$
 ⟨proof⟩

lemma *multiset-of-remove*[*simp*]:
 $multiset-of\ (remove\ a\ x) = multiset-of\ x - \{\#a\# \}$
 ⟨proof⟩

Congruence rule

lemma *perm-remove-perm*: $xs <\sim\sim> ys \implies remove\ z\ xs <\sim\sim> remove\ z\ ys$
 ⟨proof⟩

lemma *remove-hd* [*simp*]: $remove\ z\ (z\ \# \ xs) = xs$
 ⟨proof⟩

lemma *cons-perm-imp-perm*: $z\ \# \ xs <\sim\sim> z\ \# \ ys \implies xs <\sim\sim> ys$
 ⟨proof⟩

lemma *cons-perm-eq* [*iff*]: $(z\ \# \ xs <\sim\sim> z\ \# \ ys) = (xs <\sim\sim> ys)$
 ⟨proof⟩

lemma *append-perm-imp-perm*: $!!xs\ ys.\ zs\ @\ xs <\sim\sim> zs\ @\ ys \implies xs <\sim\sim> ys$
 ⟨proof⟩

lemma *perm-append1-eq* [*iff*]: $(zs\ @\ xs <\sim\sim> zs\ @\ ys) = (xs <\sim\sim> ys)$
 ⟨proof⟩

lemma *perm-append2-eq* [*iff*]: $(xs\ @\ zs <\sim\sim> ys\ @\ zs) = (xs <\sim\sim> ys)$
 ⟨proof⟩

lemma *multiset-of-eq-perm*: $(multiset-of\ xs = multiset-of\ ys) = (xs <\sim\sim> ys)$
 ⟨proof⟩

lemma *multiset-of-le-perm-append*:
 $(multiset-of\ xs \leq\# \ multiset-of\ ys) = (\exists\ zs.\ xs\ @\ zs <\sim\sim> ys)$
 ⟨proof⟩

end

13 Primes: Primality on nat

theory *Primes*

```

imports Main
begin

constdefs
  coprime :: nat => nat => bool
  coprime m n == gcd (m, n) = 1

  prime :: nat => bool
  prime p == 1 < p ∧ (∀ m. m dvd p --> m = 1 ∨ m = p)

lemma two-is-prime: prime 2
  ⟨proof⟩

lemma prime-imp-relprime: prime p ==> ¬ p dvd n ==> gcd (p, n) = 1
  ⟨proof⟩

This theorem leads immediately to a proof of the uniqueness of factorization.
If  $p$  divides a product of primes then it is one of those primes.

lemma prime-dvd-mult: prime p ==> p dvd m * n ==> p dvd m ∨ p dvd n
  ⟨proof⟩

lemma prime-dvd-square: prime p ==> p dvd m ^ Suc (Suc 0) ==> p dvd m
  ⟨proof⟩

lemma prime-dvd-power-two: prime p ==> p dvd m2 ==> p dvd m
  ⟨proof⟩

end

```

14 Quotient: Quotient types

```

theory Quotient
imports Main
begin

```

We introduce the notion of quotient types over equivalence relations via axiomatic type classes.

14.1 Equivalence relations and quotient types

Type class *equiv* models equivalence relations $\sim :: 'a \Rightarrow 'a \Rightarrow bool$.

```

axclass eqv ⊆ type
consts
  eqv :: ('a::eqv) => 'a => bool  (infixl ~ 50)

```

axclass *equiv* \subseteq *eqv*

equiv-refl [*intro*]: $x \sim x$

equiv-trans [*trans*]: $x \sim y \implies y \sim z \implies x \sim z$

equiv-sym [*sym*]: $x \sim y \implies y \sim x$

lemma *equiv-not-sym* [*sym*]: $\neg (x \sim y) \implies \neg (y \sim (x::'a::equiv))$
 ⟨*proof*⟩

lemma *not-equiv-trans1* [*trans*]: $\neg (x \sim y) \implies y \sim z \implies \neg (x \sim (z::'a::equiv))$
 ⟨*proof*⟩

lemma *not-equiv-trans2* [*trans*]: $x \sim y \implies \neg (y \sim z) \implies \neg (x \sim (z::'a::equiv))$
 ⟨*proof*⟩

The quotient type *'a quot* consists of all *equivalence classes* over elements of the base type *'a*.

typedef *'a quot* = $\{\{x. a \sim x\} \mid a::'a::equiv. True\}$
 ⟨*proof*⟩

lemma *quotI* [*intro*]: $\{x. a \sim x\} \in quot$
 ⟨*proof*⟩

lemma *quotE* [*elim*]: $R \in quot \implies (!a. R = \{x. a \sim x\} \implies C) \implies C$
 ⟨*proof*⟩

Abstracted equivalence classes are the canonical representation of elements of a quotient type.

constdefs

class :: *'a::equiv* \implies *'a quot* ($[-]$)

$[a] == Abs-quot \{x. a \sim x\}$

theorem *quot-exhaust*: $\exists a. A = [a]$
 ⟨*proof*⟩

lemma *quot-cases* [*cases type: quot*]: $(!a. A = [a] \implies C) \implies C$
 ⟨*proof*⟩

14.2 Equality on quotients

Equality of canonical quotient elements coincides with the original relation.

theorem *quot-equality* [*iff?*]: $([a] = [b]) = (a \sim b)$
 ⟨*proof*⟩

14.3 Picking representing elements

constdefs

```
pick :: 'a::equiv quot => 'a
pick A == SOME a. A = [a]
```

theorem *pick-equiv* [intro]: $\text{pick } [a] \sim a$
 ⟨proof⟩

theorem *pick-inverse* [intro]: $[pick A] = A$
 ⟨proof⟩

The following rules support canonical function definitions on quotient types (with up to two arguments). Note that the stripped-down version without additional conditions is sufficient most of the time.

theorem *quot-cond-function*:

```
(!!X Y. P X Y ==> f X Y == g (pick X) (pick Y)) ==>
  (!!x x' y y'. [x] = [x'] ==> [y] = [y']
    ==> P [x] [y] ==> P [x'] [y'] ==> g x y = g x' y') ==>
  P [a] [b] ==> f [a] [b] = g a b
(is PROP ?eq ==> PROP ?cong ==> - ==> -)
⟨proof⟩
```

theorem *quot-function*:

```
(!!X Y. f X Y == g (pick X) (pick Y)) ==>
  (!!x x' y y'. [x] = [x'] ==> [y] = [y'] ==> g x y = g x' y') ==>
  f [a] [b] = g a b
⟨proof⟩
```

theorem *quot-function'*:

```
(!!X Y. f X Y == g (pick X) (pick Y)) ==>
  (!!x x' y y'. x ~ x' ==> y ~ y' ==> g x y = g x' y') ==>
  f [a] [b] = g a b
⟨proof⟩
```

end

15 While-Combinator: A general “while” combinator

```
theory While-Combinator
imports Main
begin
```

We define a while-combinator *while* and prove: (a) an unrestricted unfolding law (even if while diverges!) (I got this idea from Wolfgang Goerigk), and (b) the invariant rule for reasoning about *while*.

```
consts while-aux :: ('a => bool) × ('a => 'a) × 'a => 'a
recdef (permissive) while-aux
```

```

same-fst ( $\lambda b. \text{True}$ ) ( $\lambda b. \text{same-fst}$  ( $\lambda c. \text{True}$ ) ( $\lambda c.
\{(t, s). b\ s \wedge c\ s = t \wedge
\neg (\exists f. f\ (0::\text{nat}) = s \wedge (\forall i. b\ (f\ i) \wedge c\ (f\ i) = f\ (i + 1))\}\}$ ))
while-aux ( $b, c, s$ ) =
  ( $\text{if } (\exists f. f\ (0::\text{nat}) = s \wedge (\forall i. b\ (f\ i) \wedge c\ (f\ i) = f\ (i + 1)))$ )
    then arbitrary
    else if  $b\ s$  then while-aux ( $b, c, c\ s$ )
    else  $s$ )

```

recdef-tc while-aux-tc: while-aux
 ⟨proof⟩

constdefs
 while :: ($'a \Rightarrow \text{bool}$) \Rightarrow ($'a \Rightarrow 'a$) \Rightarrow $'a \Rightarrow 'a$
 while $b\ c\ s == \text{while-aux } (b, c, s)$

lemma while-aux-unfold:
 while-aux (b, c, s) =
 ($\text{if } \exists f. f\ (0::\text{nat}) = s \wedge (\forall i. b\ (f\ i) \wedge c\ (f\ i) = f\ (i + 1))$)
 then arbitrary
 else if $b\ s$ then while-aux ($b, c, c\ s$)
 else s)
 ⟨proof⟩

The recursion equation for *while*: directly executable!

theorem while-unfold [code]:
 while $b\ c\ s = (\text{if } b\ s \text{ then while } b\ c\ (c\ s) \text{ else } s)$
 ⟨proof⟩

hide const while-aux

lemma def-while-unfold: **assumes** fdef: $f == \text{while test do}$
shows $f\ x = (\text{if test } x \text{ then } f(\text{do } x) \text{ else } x)$
 ⟨proof⟩

The proof rule for *while*, where P is the invariant.

theorem while-rule-lemma[rule-format]:
 [| $!!s. P\ s \Longrightarrow b\ s \Longrightarrow P\ (c\ s);$
 $!!s. P\ s \Longrightarrow \neg b\ s \Longrightarrow Q\ s;$
 $wf\ \{(t, s). P\ s \wedge b\ s \wedge t = c\ s\}$ |] \Longrightarrow
 $P\ s \dashrightarrow Q\ (\text{while } b\ c\ s)$
 ⟨proof⟩

theorem while-rule:
 [| $P\ s;$
 $!!s. [| P\ s; b\ s |] \Longrightarrow P\ (c\ s);$
 $!!s. [| P\ s; \neg b\ s |] \Longrightarrow Q\ s;$
 $wf\ r;$
 $!!s. [| P\ s; b\ s |] \Longrightarrow (c\ s, s) \in r$ |] \Longrightarrow

Q (*while b c s*)
 ⟨*proof*⟩

An application: computation of the *lfp* on finite sets via iteration.

theorem *lfp-conv-while*:

[[*mono f*; *finite U*; *f U = U*]] ==>
lfp f = fst (while (λ(A, fA). A ≠ fA) (λ(A, fA). (fA, f fA)) ({}), f {}))
 ⟨*proof*⟩

An example of using the *while* combinator.

Cannot use *set-eq-subset* because it leads to looping because the antisymmetry simproc turns the subset relationship back into equality.

lemma *seteq*: (*A = B*) = ((!*a* : *A*. *a*:*B*) & (!*b*:*B*. *b*:*A*))
 ⟨*proof*⟩

theorem *P* (*lfp* (λ*N*::*int set*. {*0*} ∪ {(*n* + 2) mod 6 | *n*. *n* ∈ *N*})) =
P {*0*, 4, 2}
 ⟨*proof*⟩

end

16 Word: Binary Words

theory *Word*
imports *Main*
uses *word-setup.ML*
begin

16.1 Auxiliary Lemmas

lemma *max-le* [*intro!*]: [[*x* ≤ *z*; *y* ≤ *z*]] ==> *max x y* ≤ *z*
 ⟨*proof*⟩

lemma *max-mono*:

fixes *x* :: '*a*::*linorder*
assumes *mf*: *mono f*
shows *max (f x) (f y) ≤ f (max x y)*
 ⟨*proof*⟩

declare *zero-le-power* [*intro*]
and *zero-less-power* [*intro*]

lemma *int-nat-two-exp*: 2 ^ *k* = *int* (2 ^ *k*)
 ⟨*proof*⟩

16.2 Bits

datatype *bit*

= *Zero* (**0**)

| *One* (**1**)

consts

bitval :: *bit* => *nat*

primrec

bitval **0** = 0

bitval **1** = 1

consts

bitnot :: *bit* => *bit*

bitand :: *bit* => *bit* => *bit* (**infixr** *bitand* 35)

bitor :: *bit* => *bit* => *bit* (**infixr** *bitor* 30)

bitxor :: *bit* => *bit* => *bit* (**infixr** *bitxor* 30)

syntax (*xsymbols*)

bitnot :: *bit* => *bit* (\neg_b - [40] 40)

bitand :: *bit* => *bit* => *bit* (**infixr** \wedge_b 35)

bitor :: *bit* => *bit* => *bit* (**infixr** \vee_b 30)

bitxor :: *bit* => *bit* => *bit* (**infixr** \oplus_b 30)

syntax (*HTML output*)

bitnot :: *bit* => *bit* (\neg_b - [40] 40)

bitand :: *bit* => *bit* => *bit* (**infixr** \wedge_b 35)

bitor :: *bit* => *bit* => *bit* (**infixr** \vee_b 30)

bitxor :: *bit* => *bit* => *bit* (**infixr** \oplus_b 30)

primrec

bitnot-zero: (*bitnot* **0**) = **1**

bitnot-one : (*bitnot* **1**) = **0**

primrec

bitand-zero: (**0** *bitand* *y*) = **0**

bitand-one: (**1** *bitand* *y*) = *y*

primrec

bitor-zero: (**0** *bitor* *y*) = *y*

bitor-one: (**1** *bitor* *y*) = **1**

primrec

bitxor-zero: (**0** *bitxor* *y*) = *y*

bitxor-one: (**1** *bitxor* *y*) = (*bitnot* *y*)

lemma *bitnot-bitnot* [*simp*]: (*bitnot* (*bitnot* *b*)) = *b*

<proof>

lemma *bitand-cancel* [*simp*]: $(b \text{ bitand } b) = b$
 ⟨*proof*⟩

lemma *bitor-cancel* [*simp*]: $(b \text{ bitor } b) = b$
 ⟨*proof*⟩

lemma *bitxor-cancel* [*simp*]: $(b \text{ bitxor } b) = \mathbf{0}$
 ⟨*proof*⟩

16.3 Bit Vectors

First, a couple of theorems expressing case analysis and induction principles for bit vectors.

lemma *bit-list-cases*:
assumes *empty*: $w = [] \implies P w$
and *zero*: $!!bs. w = \mathbf{0} \# bs \implies P w$
and *one*: $!!bs. w = \mathbf{1} \# bs \implies P w$
shows $P w$
 ⟨*proof*⟩

lemma *bit-list-induct*:
assumes *empty*: $P []$
and *zero*: $!!bs. P bs \implies P (\mathbf{0} \# bs)$
and *one*: $!!bs. P bs \implies P (\mathbf{1} \# bs)$
shows $P w$
 ⟨*proof*⟩

constdefs

bv-msb :: *bit list* => *bit*
bv-msb $w ==$ if $w = []$ then $\mathbf{0}$ else *hd* w
bv-extend :: [*nat*,*bit*,*bit list*] => *bit list*
bv-extend $i b w ==$ (*replicate* ($i - \text{length } w$) b) @ w
bv-not :: *bit list* => *bit list*
bv-not $w ==$ *map* *bitnot* w

lemma *bv-length-extend* [*simp*]: $\text{length } w \leq i \implies \text{length } (bv\text{-extend } i b w) = i$
 ⟨*proof*⟩

lemma *bv-not-Nil* [*simp*]: $bv\text{-not } [] = []$
 ⟨*proof*⟩

lemma *bv-not-Cons* [*simp*]: $bv\text{-not } (b \# bs) = (bitnot b) \# bv\text{-not } bs$
 ⟨*proof*⟩

lemma *bv-not-bv-not* [*simp*]: $bv\text{-not } (bv\text{-not } w) = w$
 ⟨*proof*⟩

lemma *bv-msb-Nil* [*simp*]: $bv\text{-msb } [] = \mathbf{0}$
 ⟨*proof*⟩

lemma *bv-msb-Cons* [simp]: $bv\text{-msb } (b\#bs) = b$
 ⟨proof⟩

lemma *bv-msb-bv-not* [simp]: $0 < \text{length } w \implies bv\text{-msb } (bv\text{-not } w) = (\text{bitnot } (bv\text{-msb } w))$
 ⟨proof⟩

lemma *bv-msb-one-length* [simp,intro]: $bv\text{-msb } w = \mathbf{1} \implies 0 < \text{length } w$
 ⟨proof⟩

lemma *length-bv-not* [simp]: $\text{length } (bv\text{-not } w) = \text{length } w$
 ⟨proof⟩

constdefs

bv-to-nat :: bit list => nat
bv-to-nat == foldl (%bn b. 2 * bn + bitval b) 0

lemma *bv-to-nat-Nil* [simp]: $bv\text{-to-nat } [] = 0$
 ⟨proof⟩

lemma *bv-to-nat-helper* [simp]: $bv\text{-to-nat } (b \# bs) = \text{bitval } b * 2 ^ \text{length } bs + bv\text{-to-nat } bs$
 ⟨proof⟩

lemma *bv-to-nat0* [simp]: $bv\text{-to-nat } (\mathbf{0}\#bs) = bv\text{-to-nat } bs$
 ⟨proof⟩

lemma *bv-to-nat1* [simp]: $bv\text{-to-nat } (\mathbf{1}\#bs) = 2 ^ \text{length } bs + bv\text{-to-nat } bs$
 ⟨proof⟩

lemma *bv-to-nat-upper-range*: $bv\text{-to-nat } w < 2 ^ \text{length } w$
 ⟨proof⟩

lemma *bv-extend-longer* [simp]:
 assumes *wn*: $n \leq \text{length } w$
 shows $bv\text{-extend } n \ b \ w = w$
 ⟨proof⟩

lemma *bv-extend-shorter* [simp]:
 assumes *wn*: $\text{length } w < n$
 shows $bv\text{-extend } n \ b \ w = bv\text{-extend } n \ b \ (b\#w)$
 ⟨proof⟩

consts

rem-initial :: bit => bit list => bit list

primrec

rem-initial $b \ [] = []$

$rem\text{-initial } b (x\#xs) = (if\ b = x\ then\ rem\text{-initial } b\ xs\ else\ x\#xs)$

lemma *rem-initial-length*: $length (rem\text{-initial } b\ w) \leq length\ w$
 ⟨proof⟩

lemma *rem-initial-equal*:
assumes $p: length (rem\text{-initial } b\ w) = length\ w$
shows $rem\text{-initial } b\ w = w$
 ⟨proof⟩

lemma *bv-extend-rem-initial*: $bv\text{-extend } (length\ w)\ b (rem\text{-initial } b\ w) = w$
 ⟨proof⟩

lemma *rem-initial-append1*:
assumes $rem\text{-initial } b\ xs \sim []$
shows $rem\text{-initial } b (xs @ ys) = rem\text{-initial } b\ xs @ ys$
 ⟨proof⟩

lemma *rem-initial-append2*:
assumes $rem\text{-initial } b\ xs = []$
shows $rem\text{-initial } b (xs @ ys) = rem\text{-initial } b\ ys$
 ⟨proof⟩

constdefs
 $norm\text{-unsigned} :: bit\ list \Rightarrow bit\ list$
 $norm\text{-unsigned} == rem\text{-initial } \mathbf{0}$

lemma *norm-unsigned-Nil* [simp]: $norm\text{-unsigned } [] = []$
 ⟨proof⟩

lemma *norm-unsigned-Cons0* [simp]: $norm\text{-unsigned } (\mathbf{0}\#bs) = norm\text{-unsigned } bs$
 ⟨proof⟩

lemma *norm-unsigned-Cons1* [simp]: $norm\text{-unsigned } (\mathbf{1}\#bs) = \mathbf{1}\#bs$
 ⟨proof⟩

lemma *norm-unsigned-idem* [simp]: $norm\text{-unsigned } (norm\text{-unsigned } w) = norm\text{-unsigned } w$
 ⟨proof⟩

consts
 $nat\text{-to-bv-helper} :: nat \Rightarrow bit\ list \Rightarrow bit\ list$

recdef *nat-to-bv-helper measure* ($\lambda n. n$)
 $nat\text{-to-bv-helper } n = (\%bs. (if\ n = 0\ then\ bs$
 $else\ nat\text{-to-bv-helper } (n\ div\ 2) ((if\ n\ mod\ 2 = 0\ then\ \mathbf{0}$
 $else\ \mathbf{1})\#bs)))$

constdefs

nat-to-bv :: *nat* ==> *bit list*
nat-to-bv *n* == *nat-to-bv-helper* *n* []

lemma *nat-to-bv0* [*simp*]: *nat-to-bv* 0 = []
 <*proof*>

lemmas [*simp del*] = *nat-to-bv-helper.simps*

lemma *n-div-2-cases*:
assumes *zero*: (*n*::*nat*) = 0 ==> *R*
and *div* : [] *n div 2* < *n* ; 0 < *n* [] ==> *R*
shows *R*
 <*proof*>

lemma *int-wf-ge-induct*:
assumes *base*: *P* (*k*::*int*)
and *ind* : !!*i*. (!!*j*. [] *k* ≤ *j* ; *j* < *i* [] ==> *P j*) ==> *P i*
and *valid*: *k* ≤ *i*
shows *P i*
 <*proof*>

lemma *unfold-nat-to-bv-helper*:
nat-to-bv-helper *b l* = *nat-to-bv-helper* *b* [] @ *l*
 <*proof*>

lemma *nat-to-bv-non0* [*simp*]: 0 < *n* ==> *nat-to-bv* *n* = *nat-to-bv* (*n div 2*) @
 [if *n mod 2* = 0 then 0 else 1]
 <*proof*>

lemma *bv-to-nat-dist-append*: *bv-to-nat* (*l1* @ *l2*) = *bv-to-nat* *l1* * 2 ^ *length l2*
 + *bv-to-nat* *l2*
 <*proof*>

lemma *bv-nat-bv* [*simp*]: *bv-to-nat* (*nat-to-bv* *n*) = *n*
 <*proof*>

lemma *bv-to-nat-type* [*simp*]: *bv-to-nat* (*norm-unsigned* *w*) = *bv-to-nat* *w*
 <*proof*>

lemma *length-norm-unsigned-le* [*simp*]: *length* (*norm-unsigned* *w*) ≤ *length* *w*
 <*proof*>

lemma *bv-to-nat-rew-msb*: *bv-msb* *w* = 1 ==> *bv-to-nat* *w* = 2 ^ (*length* *w* - 1)
 + *bv-to-nat* (*tl* *w*)
 <*proof*>

lemma *norm-unsigned-result*: *norm-unsigned* *xs* = [] ∨ *bv-msb* (*norm-unsigned* *xs*)
 = 1
 <*proof*>

lemma *norm-empty-bv-to-nat-zero*:

assumes *nw*: *norm-unsigned w = []*

shows *bv-to-nat w = 0*

<proof>

lemma *bv-to-nat-lower-limit*:

assumes *w0*: $0 < \text{bv-to-nat } w$

shows $2^{\wedge} (\text{length } (\text{norm-unsigned } w) - 1) \leq \text{bv-to-nat } w$

<proof>

lemmas [*simp del*] = *nat-to-bv-non0*

lemma *norm-unsigned-length [intro!]*: $\text{length } (\text{norm-unsigned } w) \leq \text{length } w$

<proof>

lemma *norm-unsigned-equal*: $\text{length } (\text{norm-unsigned } w) = \text{length } w \implies \text{norm-unsigned } w = w$

<proof>

lemma *bv-extend-norm-unsigned*: $\text{bv-extend } (\text{length } w) \mathbf{0} (\text{norm-unsigned } w) = w$

<proof>

lemma *norm-unsigned-append1 [simp]*: $\text{norm-unsigned } xs \neq [] \implies \text{norm-unsigned } (xs @ ys) = \text{norm-unsigned } xs @ ys$

<proof>

lemma *norm-unsigned-append2 [simp]*: $\text{norm-unsigned } xs = [] \implies \text{norm-unsigned } (xs @ ys) = \text{norm-unsigned } ys$

<proof>

lemma *bv-to-nat-zero-imp-empty [rule-format]*:

$\text{bv-to-nat } w = 0 \longrightarrow \text{norm-unsigned } w = []$

<proof>

lemma *bv-to-nat-nzero-imp-nempty*:

assumes $\text{bv-to-nat } w \neq 0$

shows $\text{norm-unsigned } w \neq []$

<proof>

lemma *nat-helper1*:

assumes *ass*: $\text{nat-to-bv } (\text{bv-to-nat } w) = \text{norm-unsigned } w$

shows $\text{nat-to-bv } (2 * \text{bv-to-nat } w + \text{bitval } x) = \text{norm-unsigned } (w @ [x])$

<proof>

lemma *nat-helper2*: $\text{nat-to-bv } (2^{\wedge} \text{length } xs + \text{bv-to-nat } xs) = \mathbf{1} \# xs$

<proof>

lemma *nat-bv-nat [simp]*: $\text{nat-to-bv } (\text{bv-to-nat } w) = \text{norm-unsigned } w$

<proof>

lemma *bv-to-nat-qinj*:

assumes *one*: $bv\text{-to-nat } xs = bv\text{-to-nat } ys$

and *len*: $length\ xs = length\ ys$

shows $xs = ys$

<proof>

lemma *norm-unsigned-nat-to-bv [simp]*:

$norm\text{-unsigned } (nat\text{-to-bv } n) = nat\text{-to-bv } n$

<proof>

lemma *length-nat-to-bv-upper-limit*:

assumes *nk*: $n \leq 2^k - 1$

shows $length\ (nat\text{-to-bv } n) \leq k$

<proof>

lemma *length-nat-to-bv-lower-limit*:

assumes *nk*: $2^k \leq n$

shows $k < length\ (nat\text{-to-bv } n)$

<proof>

16.4 Unsigned Arithmetic Operations

constdefs

$bv\text{-add} :: [bit\ list, bit\ list] \Rightarrow bit\ list$

$bv\text{-add } w1\ w2 == nat\text{-to-bv } (bv\text{-to-nat } w1 + bv\text{-to-nat } w2)$

lemma *bv-add-type1 [simp]*: $bv\text{-add } (norm\text{-unsigned } w1)\ w2 = bv\text{-add } w1\ w2$

<proof>

lemma *bv-add-type2 [simp]*: $bv\text{-add } w1\ (norm\text{-unsigned } w2) = bv\text{-add } w1\ w2$

<proof>

lemma *bv-add-returntype [simp]*: $norm\text{-unsigned } (bv\text{-add } w1\ w2) = bv\text{-add } w1\ w2$

<proof>

lemma *bv-add-length*: $length\ (bv\text{-add } w1\ w2) \leq Suc\ (max\ (length\ w1)\ (length\ w2))$

<proof>

constdefs

$bv\text{-mult} :: [bit\ list, bit\ list] \Rightarrow bit\ list$

$bv\text{-mult } w1\ w2 == nat\text{-to-bv } (bv\text{-to-nat } w1 * bv\text{-to-nat } w2)$

lemma *bv-mult-type1 [simp]*: $bv\text{-mult } (norm\text{-unsigned } w1)\ w2 = bv\text{-mult } w1\ w2$

<proof>

lemma *bv-mult-type2 [simp]*: $bv\text{-mult } w1\ (norm\text{-unsigned } w2) = bv\text{-mult } w1\ w2$

<proof>

lemma *bv-mult-returntype* [simp]: *norm-unsigned* (bv-mult w1 w2) = bv-mult w1 w2
 ⟨proof⟩

lemma *bv-mult-length*: *length* (bv-mult w1 w2) ≤ *length* w1 + *length* w2
 ⟨proof⟩

16.5 Signed Vectors

consts

norm-signed :: bit list => bit list

primrec

norm-signed-Nil: *norm-signed* [] = []
norm-signed-Cons: *norm-signed* (b#bs) = (case b of 0 => if *norm-unsigned* bs = [] then [] else b#*norm-unsigned* bs | 1 => b#*rem-initial* b bs)

lemma *norm-signed0* [simp]: *norm-signed* [0] = []
 ⟨proof⟩

lemma *norm-signed1* [simp]: *norm-signed* [1] = [1]
 ⟨proof⟩

lemma *norm-signed01* [simp]: *norm-signed* (0#1#xs) = 0#1#xs
 ⟨proof⟩

lemma *norm-signed00* [simp]: *norm-signed* (0#0#xs) = *norm-signed* (0#xs)
 ⟨proof⟩

lemma *norm-signed10* [simp]: *norm-signed* (1#0#xs) = 1#0#xs
 ⟨proof⟩

lemma *norm-signed11* [simp]: *norm-signed* (1#1#xs) = *norm-signed* (1#xs)
 ⟨proof⟩

lemmas [simp del] = *norm-signed-Cons*

constdefs

int-to-bv :: int => bit list
int-to-bv n == if 0 ≤ n
 then *norm-signed* (0#nat-to-bv (nat n))
 else *norm-signed* (bv-not (0#nat-to-bv (nat (-n - 1))))

lemma *int-to-bv-ge0* [simp]: 0 ≤ n ==> *int-to-bv* n = *norm-signed* (0 # nat-to-bv (nat n))
 ⟨proof⟩

lemma *int-to-bv-lt0* [simp]: n < 0 ==> *int-to-bv* n = *norm-signed* (bv-not (0#nat-to-bv

$(\text{nat } (-n - 1)))$
 $\langle \text{proof} \rangle$

lemma *norm-signed-idem* [simp]: $\text{norm-signed } (\text{norm-signed } w) = \text{norm-signed } w$
 $\langle \text{proof} \rangle$

constdefs

$\text{bv-to-int} :: \text{bit list} \Rightarrow \text{int}$
 $\text{bv-to-int } w == \text{case } \text{bv-msb } w \text{ of } \mathbf{0} \Rightarrow \text{int } (\text{bv-to-nat } w) \mid \mathbf{1} \Rightarrow - \text{int } (\text{bv-to-nat } (\text{bv-not } w) + 1)$

lemma *bv-to-int-Nil* [simp]: $\text{bv-to-int } [] = 0$
 $\langle \text{proof} \rangle$

lemma *bv-to-int-Cons0* [simp]: $\text{bv-to-int } (\mathbf{0}\#bs) = \text{int } (\text{bv-to-nat } bs)$
 $\langle \text{proof} \rangle$

lemma *bv-to-int-Cons1* [simp]: $\text{bv-to-int } (\mathbf{1}\#bs) = - \text{int } (\text{bv-to-nat } (\text{bv-not } bs) + 1)$
 $\langle \text{proof} \rangle$

lemma *bv-to-int-type* [simp]: $\text{bv-to-int } (\text{norm-signed } w) = \text{bv-to-int } w$
 $\langle \text{proof} \rangle$

lemma *bv-to-int-upper-range*: $\text{bv-to-int } w < 2 ^ (\text{length } w - 1)$
 $\langle \text{proof} \rangle$

lemma *bv-to-int-lower-range*: $- (2 ^ (\text{length } w - 1)) \leq \text{bv-to-int } w$
 $\langle \text{proof} \rangle$

lemma *int-bv-int* [simp]: $\text{int-to-bv } (\text{bv-to-int } w) = \text{norm-signed } w$
 $\langle \text{proof} \rangle$

lemma *bv-int-bv* [simp]: $\text{bv-to-int } (\text{int-to-bv } i) = i$
 $\langle \text{proof} \rangle$

lemma *bv-msb-norm* [simp]: $\text{bv-msb } (\text{norm-signed } w) = \text{bv-msb } w$
 $\langle \text{proof} \rangle$

lemma *norm-signed-length*: $\text{length } (\text{norm-signed } w) \leq \text{length } w$
 $\langle \text{proof} \rangle$

lemma *norm-signed-equal*: $\text{length } (\text{norm-signed } w) = \text{length } w \implies \text{norm-signed } w = w$
 $\langle \text{proof} \rangle$

lemma *bv-extend-norm-signed*: $\text{bv-msb } w = b \implies \text{bv-extend } (\text{length } w) b (\text{norm-signed } w) = w$
 $\langle \text{proof} \rangle$

lemma *bv-to-int-qinj*:

assumes *one*: $bv\text{-to-int } xs = bv\text{-to-int } ys$

and *len*: $length\ xs = length\ ys$

shows $xs = ys$

<proof>

lemma *int-to-bv-returntype [simp]*: $norm\text{-signed } (int\text{-to-bv } w) = int\text{-to-bv } w$

<proof>

lemma *bv-to-int-msb0*: $0 \leq bv\text{-to-int } w1 \implies bv\text{-msb } w1 = \mathbf{0}$

<proof>

lemma *bv-to-int-msb1*: $bv\text{-to-int } w1 < 0 \implies bv\text{-msb } w1 = \mathbf{1}$

<proof>

lemma *bv-to-int-lower-limit-gt0*:

assumes *w0*: $0 < bv\text{-to-int } w$

shows $2 \wedge (length\ (norm\text{-signed } w) - 2) \leq bv\text{-to-int } w$

<proof>

lemma *norm-signed-result*: $norm\text{-signed } w = [] \vee norm\text{-signed } w = [\mathbf{1}] \vee bv\text{-msb } (norm\text{-signed } w) \neq bv\text{-msb } (tl\ (norm\text{-signed } w))$

<proof>

lemma *bv-to-int-upper-limit-lem1*:

assumes *w0*: $bv\text{-to-int } w < -1$

shows $bv\text{-to-int } w < -(2 \wedge (length\ (norm\text{-signed } w) - 2))$

<proof>

lemma *length-int-to-bv-upper-limit-gt0*:

assumes *w0*: $0 < i$

and *wk*: $i \leq 2 \wedge (k - 1) - 1$

shows $length\ (int\text{-to-bv } i) \leq k$

<proof>

lemma *pos-length-pos*:

assumes *i0*: $0 < bv\text{-to-int } w$

shows $0 < length\ w$

<proof>

lemma *neg-length-pos*:

assumes *i0*: $bv\text{-to-int } w < -1$

shows $0 < length\ w$

<proof>

lemma *length-int-to-bv-lower-limit-gt0*:

assumes *wk*: $2 \wedge (k - 1) \leq i$

shows $k < length\ (int\text{-to-bv } i)$

<proof>

lemma *length-int-to-bv-upper-limit-lem1*:

assumes $w1: i < -1$

and $wk: -(2 \wedge (k - 1)) \leq i$

shows $\text{length } (\text{int-to-bv } i) \leq k$

<proof>

lemma *length-int-to-bv-lower-limit-lem1*:

assumes $wk: i < -(2 \wedge (k - 1))$

shows $k < \text{length } (\text{int-to-bv } i)$

<proof>

16.6 Signed Arithmetic Operations

16.6.1 Conversion from unsigned to signed

constdefs

$\text{utos} :: \text{bit list} \Rightarrow \text{bit list}$

$\text{utos } w == \text{norm-signed } (\mathbf{0} \# w)$

lemma *utos-type [simp]*: $\text{utos } (\text{norm-unsigned } w) = \text{utos } w$

<proof>

lemma *utos-returntype [simp]*: $\text{norm-signed } (\text{utos } w) = \text{utos } w$

<proof>

lemma *utos-length*: $\text{length } (\text{utos } w) \leq \text{Suc } (\text{length } w)$

<proof>

lemma *bv-to-int-utos*: $\text{bv-to-int } (\text{utos } w) = \text{int } (\text{bv-to-nat } w)$

<proof>

16.6.2 Unary minus

constdefs

$\text{bv-uminus} :: \text{bit list} \Rightarrow \text{bit list}$

$\text{bv-uminus } w == \text{int-to-bv } (- \text{bv-to-int } w)$

lemma *bv-uminus-type [simp]*: $\text{bv-uminus } (\text{norm-signed } w) = \text{bv-uminus } w$

<proof>

lemma *bv-uminus-returntype [simp]*: $\text{norm-signed } (\text{bv-uminus } w) = \text{bv-uminus } w$

<proof>

lemma *bv-uminus-length*: $\text{length } (\text{bv-uminus } w) \leq \text{Suc } (\text{length } w)$

<proof>

lemma *bv-uminus-length-utos*: $\text{length } (\text{bv-uminus } (\text{utos } w)) \leq \text{Suc } (\text{length } w)$

<proof>

constdefs

$bv_sadd :: [bit\ list, bit\ list] \Rightarrow bit\ list$
 $bv_sadd\ w1\ w2 == int\ to\ bv\ (bv\ to\ int\ w1 + bv\ to\ int\ w2)$

lemma bv_sadd_type1 [simp]: $bv_sadd\ (norm\ signed\ w1)\ w2 = bv_sadd\ w1\ w2$
 ⟨proof⟩

lemma bv_sadd_type2 [simp]: $bv_sadd\ w1\ (norm\ signed\ w2) = bv_sadd\ w1\ w2$
 ⟨proof⟩

lemma $bv_sadd_returntype$ [simp]: $norm\ signed\ (bv_sadd\ w1\ w2) = bv_sadd\ w1\ w2$
 ⟨proof⟩

lemma $adder_helper$:

assumes $lw: 0 < max\ (length\ w1)\ (length\ w2)$
shows $((2::int) ^ (length\ w1 - 1)) + (2 ^ (length\ w2 - 1)) \leq 2 ^ max\ (length\ w1)\ (length\ w2)$
 ⟨proof⟩

lemma bv_sadd_length : $length\ (bv_sadd\ w1\ w2) \leq Suc\ (max\ (length\ w1)\ (length\ w2))$
 ⟨proof⟩

constdefs

$bv_sub :: [bit\ list, bit\ list] \Rightarrow bit\ list$
 $bv_sub\ w1\ w2 == bv_sadd\ w1\ (bv_uminus\ w2)$

lemma bv_sub_type1 [simp]: $bv_sub\ (norm\ signed\ w1)\ w2 = bv_sub\ w1\ w2$
 ⟨proof⟩

lemma bv_sub_type2 [simp]: $bv_sub\ w1\ (norm\ signed\ w2) = bv_sub\ w1\ w2$
 ⟨proof⟩

lemma $bv_sub_returntype$ [simp]: $norm\ signed\ (bv_sub\ w1\ w2) = bv_sub\ w1\ w2$
 ⟨proof⟩

lemma bv_sub_length : $length\ (bv_sub\ w1\ w2) \leq Suc\ (max\ (length\ w1)\ (length\ w2))$
 ⟨proof⟩

constdefs

$bv_smult :: [bit\ list, bit\ list] \Rightarrow bit\ list$
 $bv_smult\ w1\ w2 == int\ to\ bv\ (bv\ to\ int\ w1 * bv\ to\ int\ w2)$

lemma bv_smult_type1 [simp]: $bv_smult\ (norm\ signed\ w1)\ w2 = bv_smult\ w1\ w2$
 ⟨proof⟩

lemma bv_smult_type2 [simp]: $bv_smult\ w1\ (norm\ signed\ w2) = bv_smult\ w1\ w2$
 ⟨proof⟩

lemma *bv-smult-returntype* [simp]: $\text{norm-signed } (bv\text{-smult } w1 \ w2) = bv\text{-smult } w1 \ w2$
 ⟨proof⟩

lemma *bv-smult-length*: $\text{length } (bv\text{-smult } w1 \ w2) \leq \text{length } w1 + \text{length } w2$
 ⟨proof⟩

lemma *bv-msb-one*: $bv\text{-msb } w = \mathbf{1} \implies 0 < bv\text{-to-nat } w$
 ⟨proof⟩

lemma *bv-smult-length-utos*: $\text{length } (bv\text{-smult } (utos \ w1) \ w2) \leq \text{length } w1 + \text{length } w2$
 ⟨proof⟩

lemma *bv-smult-sym*: $bv\text{-smult } w1 \ w2 = bv\text{-smult } w2 \ w1$
 ⟨proof⟩

16.7 Structural operations

constdefs

bv-select :: [bit list, nat] => bit
bv-select $w \ i == w \ ! \ (\text{length } w - 1 - i)$
bv-chop :: [bit list, nat] => bit list * bit list
bv-chop $w \ i == \text{let } len = \text{length } w \ \text{in } (\text{take } (len - i) \ w, \text{drop } (len - i) \ w)$
bv-slice :: [bit list, nat*nat] => bit list
bv-slice $w == \lambda(b,e). \text{fst } (bv\text{-chop } (snd \ (bv\text{-chop } w \ (b+1))) \ e)$

lemma *bv-select-rev*:
assumes *nonnull*: $n < \text{length } w$
shows $bv\text{-select } w \ n = \text{rev } w \ ! \ n$
 ⟨proof⟩

lemma *bv-chop-append*: $bv\text{-chop } (w1 \ @ \ w2) \ (\text{length } w2) = (w1, w2)$
 ⟨proof⟩

lemma *append-bv-chop-id*: $\text{fst } (bv\text{-chop } w \ l) \ @ \ \text{snd } (bv\text{-chop } w \ l) = w$
 ⟨proof⟩

lemma *bv-chop-length-fst* [simp]: $\text{length } (\text{fst } (bv\text{-chop } w \ i)) = \text{length } w - i$
 ⟨proof⟩

lemma *bv-chop-length-snd* [simp]: $\text{length } (\text{snd } (bv\text{-chop } w \ i)) = \min \ i \ (\text{length } w)$
 ⟨proof⟩

lemma *bv-slice-length* [simp]: $[\![\ j \leq i; \ i < \text{length } w \]\!] \implies \text{length } (bv\text{-slice } w \ (i, j)) = i - j + 1$
 ⟨proof⟩

constdefs

length-nat :: *nat* => *nat*
length-nat *x* == LEAST *n*. *x* < 2 ^ *n*

lemma *length-nat*: *length* (*nat-to-bv* *n*) = *length-nat* *n*
 ⟨*proof*⟩

lemma *length-nat-0* [*simp*]: *length-nat* 0 = 0
 ⟨*proof*⟩

lemma *length-nat-non0*:

assumes *n0*: 0 < *n*
shows *length-nat* *n* = *Suc* (*length-nat* (*n* div 2))
 ⟨*proof*⟩

constdefs

length-int :: *int* => *nat*
length-int *x* == if 0 < *x* then *Suc* (*length-nat* (*nat* *x*)) else if *x* = 0 then 0 else
Suc (*length-nat* (*nat* (*-x* - 1)))

lemma *length-int*: *length* (*int-to-bv* *i*) = *length-int* *i*
 ⟨*proof*⟩

lemma *length-int-0* [*simp*]: *length-int* 0 = 0
 ⟨*proof*⟩

lemma *length-int-gt0*: 0 < *i* ==> *length-int* *i* = *Suc* (*length-nat* (*nat* *i*))
 ⟨*proof*⟩

lemma *length-int-lt0*: *i* < 0 ==> *length-int* *i* = *Suc* (*length-nat* (*nat* (*-i*) - 1))
 ⟨*proof*⟩

lemma *bv-chopI*: [| *w* = *w1* @ *w2* ; *i* = *length* *w2* |] ==> *bv-chop* *w* *i* = (*w1*, *w2*)
 ⟨*proof*⟩

lemma *bv-sliceI*: [| *j* ≤ *i* ; *i* < *length* *w* ; *w* = *w1* @ *w2* @ *w3* ; *Suc* *i* = *length*
w2 + *j* ; *j* = *length* *w3* |] ==> *bv-slice* *w* (*i*, *j*) = *w2*
 ⟨*proof*⟩

lemma *bv-slice-bv-slice*:

assumes *ki*: *k* ≤ *i*
and *ij*: *i* ≤ *j*
and *jl*: *j* ≤ *l*
and *lw*: *l* < *length* *w*
shows *bv-slice* *w* (*j*, *i*) = *bv-slice* (*bv-slice* *w* (*l*, *k*)) (*j* - *k*, *i* - *k*)
 ⟨*proof*⟩

lemma *bv-to-nat-extend* [*simp*]: *bv-to-nat* (*bv-extend* *n* 0 *w*) = *bv-to-nat* *w*
 ⟨*proof*⟩

lemma *bv-msb-extend-same* [*simp*]: $bv\text{-}msb\ w = b \implies bv\text{-}msb\ (bv\text{-}extend\ n\ b\ w) = b$
 ⟨*proof*⟩

lemma *bv-to-int-extend* [*simp*]:
assumes $a: bv\text{-}msb\ w = b$
shows $bv\text{-}to\text{-}int\ (bv\text{-}extend\ n\ b\ w) = bv\text{-}to\text{-}int\ w$
 ⟨*proof*⟩

lemma *length-nat-mono* [*simp*]: $x \leq y \implies length\text{-}nat\ x \leq length\text{-}nat\ y$
 ⟨*proof*⟩

lemma *length-nat-mono-int* [*simp*]: $x \leq y \implies length\text{-}nat\ x \leq length\text{-}nat\ y$
 ⟨*proof*⟩

lemma *length-nat-pos* [*simp,intro!*]: $0 < x \implies 0 < length\text{-}nat\ x$
 ⟨*proof*⟩

lemma *length-int-mono-gt0*: $[[\ 0 \leq x ; x \leq y\]] \implies length\text{-}int\ x \leq length\text{-}int\ y$
 ⟨*proof*⟩

lemma *length-int-mono-lt0*: $[[\ x \leq y ; y \leq 0\]] \implies length\text{-}int\ y \leq length\text{-}int\ x$
 ⟨*proof*⟩

lemmas [*simp*] = *length-nat-non0*

lemma *nat-to-bv* (*number-of Numeral.Pls*) = []
 ⟨*proof*⟩

consts

fast-bv-to-nat-helper :: [bit list, bin] => bin

primrec

fast-bv-to-nat-Nil: *fast-bv-to-nat-helper* [] bin = bin

fast-bv-to-nat-Cons: *fast-bv-to-nat-helper* (b#bs) bin = *fast-bv-to-nat-helper* bs
 (bin BIT (bit-case bit.B0 bit.B1 b))

lemma *fast-bv-to-nat-Cons0*: *fast-bv-to-nat-helper* (0#bs) bin = *fast-bv-to-nat-helper* bs
 (bin BIT bit.B0)
 ⟨*proof*⟩

lemma *fast-bv-to-nat-Cons1*: *fast-bv-to-nat-helper* (1#bs) bin = *fast-bv-to-nat-helper* bs
 (bin BIT bit.B1)
 ⟨*proof*⟩

lemma *fast-bv-to-nat-def*: *bv-to-nat bs == number-of (fast-bv-to-nat-helper bs Numerical.Pls)*

<proof>

declare *fast-bv-to-nat-Cons* [*simp del*]

declare *fast-bv-to-nat-Cons0* [*simp*]

declare *fast-bv-to-nat-Cons1* [*simp*]

<ML>

declare *bv-to-nat1* [*simp del*]

declare *bv-to-nat-helper* [*simp del*]

constdefs

bv-mapzip :: [*bit => bit => bit, bit list, bit list*] => *bit list*

bv-mapzip f w1 w2 == let g = bv-extend (max (length w1) (length w2)) 0
in map (split f) (zip (g w1) (g w2))

lemma *bv-length-bv-mapzip* [*simp*]: *length (bv-mapzip f w1 w2) = max (length w1) (length w2)*

<proof>

lemma *bv-mapzip-Nil* [*simp*]: *bv-mapzip f [] [] = []*

<proof>

lemma *bv-mapzip-Cons* [*simp*]: *length w1 = length w2 ==> bv-mapzip f (x#w1) (y#w2) = f x y # bv-mapzip f w1 w2*

<proof>

end

17 Zorn: Zorn’s Lemma

theory *Zorn*

imports *Main*

begin

The lemma and section numbers refer to an unpublished article [1].

constdefs

chain :: [*'a set set => 'a set set set*]

chain S == {F. F ⊆ S & (∀ x ∈ F. ∀ y ∈ F. x ⊆ y | y ⊆ x)}

super :: [*'a set set, 'a set set*] => *'a set set set*

super S c == {d. d ∈ chain S & c ⊂ d}

maxchain :: [*'a set set => 'a set set set*]

maxchain S == {c. c ∈ chain S & super S c = {}}

$succ \quad :: \ ['a \ set \ set, 'a \ set \ set] \Rightarrow 'a \ set \ set$
 $succ \ S \ c \ ==$
 $\quad \text{if } c \notin chain \ S \ | \ c \in maxchain \ S$
 $\quad \text{then } c \ \text{else } SOME \ c'. \ c' \in super \ S \ c$

consts

$TFin \ :: \ 'a \ set \ set \Rightarrow 'a \ set \ set \ set$

inductive $TFin \ S$ **intros**

$succI: \quad x \in TFin \ S \ ==> succ \ S \ x \in TFin \ S$

$Pow-UnionI: \quad Y \in Pow(TFin \ S) \ ==> Union(Y) \in TFin \ S$

monos $\quad Pow-mono$

17.1 Mathematical Preamble**lemma** $Union-lemma0$:

$(\forall x \in C. \ x \subseteq A \ | \ B \subseteq x) \ ==> Union(C) \subseteq A \ | \ B \subseteq Union(C)$
 $\langle proof \rangle$

This is theorem *increasingD2* of ZF/Zorn.thy

lemma $Abrial-axiom1: \ x \subseteq succ \ S \ x$

$\langle proof \rangle$

lemmas $TFin-UnionI = TFin.Pow-UnionI \ [OF \ PowI]$

lemma $TFin-induct$:

$[[\ n \in TFin \ S;$
 $\quad !!x. \ [[\ x \in TFin \ S; \ P(x) \] \ ==> \ P(succ \ S \ x);$
 $\quad !!Y. \ [[\ Y \subseteq TFin \ S; \ Ball \ Y \ P \] \ ==> \ P(Union \ Y) \]]$
 $\ ==> \ P(n)$

$\langle proof \rangle$

lemma $succ-trans: \ x \subseteq y \ ==> x \subseteq succ \ S \ y$

$\langle proof \rangle$

Lemma 1 of section 3.1

lemma $TFin-linear-lemma1$:

$[[\ n \in TFin \ S; \ m \in TFin \ S;$
 $\quad \forall x \in TFin \ S. \ x \subseteq m \ \longrightarrow \ x = m \ | \ succ \ S \ x \subseteq m$
 $\quad]]$ $\ ==> \ n \subseteq m \ | \ succ \ S \ m \subseteq n$

$\langle proof \rangle$

Lemma 2 of section 3.2

lemma $TFin-linear-lemma2$:

$m \in TFin \ S \ ==> \forall n \in TFin \ S. \ n \subseteq m \ \longrightarrow \ n = m \ | \ succ \ S \ n \subseteq m$

$\langle proof \rangle$

Re-ordering the premises of Lemma 2

lemma *TFin-subsetD*:

$[[n \subseteq m; m \in TFin\ S; n \in TFin\ S]] ==> n=m \mid succ\ S\ n \subseteq m$
 ⟨proof⟩

Consequences from section 3.3 – Property 3.2, the ordering is total

lemma *TFin-subset-linear*: $[[m \in TFin\ S; n \in TFin\ S]] ==> n \subseteq m \mid m \subseteq n$
 ⟨proof⟩

Lemma 3 of section 3.3

lemma *eq-succ-upper*: $[[n \in TFin\ S; m \in TFin\ S; m = succ\ S\ m]] ==> n \subseteq m$
 ⟨proof⟩

Property 3.3 of section 3.3

lemma *equal-succ-Union*: $m \in TFin\ S ==> (m = succ\ S\ m) = (m = Union(TFin\ S))$
 ⟨proof⟩

17.2 Hausdorff’s Theorem: Every Set Contains a Maximal Chain.

NB: We assume the partial ordering is \subseteq , the subset relation!

lemma *empty-set-mem-chain*: $(\{\} :: 'a\ set\ set) \in chain\ S$
 ⟨proof⟩

lemma *super-subset-chain*: $super\ S\ c \subseteq chain\ S$
 ⟨proof⟩

lemma *maxchain-subset-chain*: $maxchain\ S \subseteq chain\ S$
 ⟨proof⟩

lemma *mem-super-Ex*: $c \in chain\ S - maxchain\ S ==> ?\ d.\ d \in super\ S\ c$
 ⟨proof⟩

lemma *select-super*: $c \in chain\ S - maxchain\ S ==>$
 $(\epsilon\ c'.\ c':\ super\ S\ c):\ super\ S\ c$
 ⟨proof⟩

lemma *select-not-equals*: $c \in chain\ S - maxchain\ S ==>$
 $(\epsilon\ c'.\ c':\ super\ S\ c) \neq c$
 ⟨proof⟩

lemma *succI3*: $c \in chain\ S - maxchain\ S ==> succ\ S\ c = (\epsilon\ c'.\ c':\ super\ S\ c)$
 ⟨proof⟩

lemma *succ-not-equals*: $c \in chain\ S - maxchain\ S ==> succ\ S\ c \neq c$
 ⟨proof⟩

lemma *TFin-chain-lemma4*: $c \in TFin\ S \implies (c :: 'a\ set\ set); chain\ S$
 ⟨proof⟩

theorem *Hausdorff*: $\exists c. (c :: 'a\ set\ set); maxchain\ S$
 ⟨proof⟩

17.3 Zorn’s Lemma: If All Chains Have Upper Bounds Then There Is a Maximal Element

lemma *chain-extend*:
 $[[\ c \in chain\ S; z \in S;$
 $\ \ \ \ \ \forall x \in c. x \leq (z :: 'a\ set)\]]$ $\implies \{z\} \cup c \in chain\ S$
 ⟨proof⟩

lemma *chain-Union-upper*: $[[\ c \in chain\ S; x \in c\]]$ $\implies x \subseteq Union(c)$
 ⟨proof⟩

lemma *chain-ball-Union-upper*: $c \in chain\ S \implies \forall x \in c. x \subseteq Union(c)$
 ⟨proof⟩

lemma *maxchain-Zorn*:
 $[[\ c \in maxchain\ S; u \in S; Union(c) \subseteq u\]]$ $\implies Union(c) = u$
 ⟨proof⟩

theorem *Zorn-Lemma*:
 $\forall c \in chain\ S. Union(c) \in S \implies \exists y \in S. \forall z \in S. y \subseteq z \implies y = z$
 ⟨proof⟩

17.4 Alternative version of Zorn’s Lemma

lemma *Zorn-Lemma2*:
 $\forall c \in chain\ S. \exists y \in S. \forall x \in c. x \subseteq y$
 $\implies \exists y \in S. \forall x \in S. (y :: 'a\ set) \subseteq x \implies y = x$
 ⟨proof⟩

Various other lemmas

lemma *chainD*: $[[\ c \in chain\ S; x \in c; y \in c\]]$ $\implies x \subseteq y \mid y \subseteq x$
 ⟨proof⟩

lemma *chainD2*: $!!(c :: 'a\ set\ set). c \in chain\ S \implies c \subseteq S$
 ⟨proof⟩

end

18 Product-ord: Order on product types

theory *Product-ord*
imports *Main*

begin

instance * :: (ord,ord) ord ⟨proof⟩

defs (overloaded)

prod-le-def: $(x \leq y) \equiv (fst\ x < fst\ y) \mid (fst\ x = fst\ y \ \&\ \text{snd}\ x \leq \text{snd}\ y)$

prod-less-def: $(x < y) \equiv (fst\ x < fst\ y) \mid (fst\ x = fst\ y \ \&\ \text{snd}\ x < \text{snd}\ y)$

lemmas *prod-ord-defs* = *prod-less-def prod-le-def*

instance * :: (order,order) order
⟨proof⟩

instance *:: (linorder,linorder) linorder
⟨proof⟩

end

19 Char-ord: Order on characters

theory *Char-ord*

imports *Product-ord*

begin

Conversions between nibbles and integers in [0..15].

consts

nibble-to-int:: nibble \Rightarrow int

int-to-nibble:: int \Rightarrow nibble

primrec

nibble-to-int Nibble0 = 0

nibble-to-int Nibble1 = 1

nibble-to-int Nibble2 = 2

nibble-to-int Nibble3 = 3

nibble-to-int Nibble4 = 4

nibble-to-int Nibble5 = 5

nibble-to-int Nibble6 = 6

nibble-to-int Nibble7 = 7

nibble-to-int Nibble8 = 8

nibble-to-int Nibble9 = 9

nibble-to-int NibbleA = 10

nibble-to-int NibbleB = 11

nibble-to-int NibbleC = 12

nibble-to-int NibbleD = 13

nibble-to-int NibbleE = 14

nibble-to-int NibbleF = 15

defs

int-to-nibble-def:

```

int-to-nibble x ≡ (let y = x mod 16 in
  if y = 0 then Nibble0 else
  if y = 1 then Nibble1 else
  if y = 2 then Nibble2 else
  if y = 3 then Nibble3 else
  if y = 4 then Nibble4 else
  if y = 5 then Nibble5 else
  if y = 6 then Nibble6 else
  if y = 7 then Nibble7 else
  if y = 8 then Nibble8 else
  if y = 9 then Nibble9 else
  if y = 10 then NibbleA else
  if y = 11 then NibbleB else
  if y = 12 then NibbleC else
  if y = 13 then NibbleD else
  if y = 14 then NibbleE else
  NibbleF)

```

lemma *int-to-nibble-nibble-to-int*: $\text{int-to-nibble}(\text{nibble-to-int } x) = x$
 ⟨proof⟩

lemma *inj-nibble-to-int*: inj nibble-to-int
 ⟨proof⟩

lemmas *nibble-to-int-eq* = inj-nibble-to-int [THEN inj-eq]

lemma *nibble-to-int-ge-0*: $0 \leq \text{nibble-to-int } x$
 ⟨proof⟩

lemma *nibble-to-int-less-16*: $\text{nibble-to-int } x < 16$
 ⟨proof⟩

Conversion between chars and int pairs.

consts

```
char-to-int-pair :: char ⇒ int × int
```

primrec

```
char-to-int-pair (Char a b) = (nibble-to-int a, nibble-to-int b)
```

lemma *inj-char-to-int-pair*: $\text{inj char-to-int-pair}$
 ⟨proof⟩

lemmas *char-to-int-pair-eq* = $\text{inj-char-to-int-pair}$ [THEN inj-eq]

Instantiation of order classes

instance *char* :: ord ⟨proof⟩

defs (overloaded)

```
char-le-def: c ≤ d ≡ (char-to-int-pair c ≤ char-to-int-pair d)
```

char-less-def: $c < d \equiv (\text{char-to-int-pair } c < \text{char-to-int-pair } d)$

lemmas *char-ord-defs* = *char-less-def char-le-def*

instance *char* :: *order*
 ⟨*proof*⟩

instance *char::linorder*
 ⟨*proof*⟩

end

20 Commutative-Ring: Proving equalities in commutative rings

theory *Commutative-Ring*
imports *Main*
uses (*comm-ring.ML*)
begin

Syntax of multivariate polynomials (*pol*) and polynomial expressions.

datatype *'a pol* =
Pc 'a
 | *Pinj nat 'a pol*
 | *PX 'a pol nat 'a pol*

datatype *'a polex* =
Pol 'a pol
 | *Add 'a polex 'a polex*
 | *Sub 'a polex 'a polex*
 | *Mul 'a polex 'a polex*
 | *Pow 'a polex nat*
 | *Neg 'a polex*

Interpretation functions for the shadow syntax.

consts
Ipol :: *'a::\{comm-ring,recpower\}* *list* \Rightarrow *'a pol* \Rightarrow *'a*
Ipolex :: *'a::\{comm-ring,recpower\}* *list* \Rightarrow *'a polex* \Rightarrow *'a*

primrec
Ipol l (Pc c) = *c*
Ipol l (Pinj i P) = *Ipol (drop i l) P*
Ipol l (PX P x Q) = *Ipol l P* * (*hd l*)^{*x*} + *Ipol (drop 1 l) Q*

primrec
Ipolex l (Pol P) = *Ipol l P*
Ipolex l (Add P Q) = *Ipolex l P* + *Ipolex l Q*

$$\begin{aligned} \text{Ipolex } l (\text{Sub } P \ Q) &= \text{Ipolex } l \ P - \text{Ipolex } l \ Q \\ \text{Ipolex } l (\text{Mul } P \ Q) &= \text{Ipolex } l \ P * \text{Ipolex } l \ Q \\ \text{Ipolex } l (\text{Pow } p \ n) &= \text{Ipolex } l \ p \ ^n \\ \text{Ipolex } l (\text{Neg } P) &= - \text{Ipolex } l \ P \end{aligned}$$

Create polynomial normalized polynomials given normalized inputs.

constdefs

$$\begin{aligned} \text{mkPinj} &:: \text{nat} \Rightarrow 'a \ \text{pol} \Rightarrow 'a \ \text{pol} \\ \text{mkPinj } x \ P &\equiv (\text{case } P \ \text{of} \\ &\quad \text{Pc } c \Rightarrow \text{Pc } c \ | \\ &\quad \text{Pinj } y \ P \Rightarrow \text{Pinj } (x + y) \ P \ | \\ &\quad \text{PX } p1 \ y \ p2 \Rightarrow \text{Pinj } x \ P) \end{aligned}$$

constdefs

$$\begin{aligned} \text{mkPX} &:: 'a::\{\text{comm-ring,recpower}\} \ \text{pol} \Rightarrow \text{nat} \Rightarrow 'a \ \text{pol} \Rightarrow 'a \ \text{pol} \\ \text{mkPX } P \ i \ Q &== (\text{case } P \ \text{of} \\ &\quad \text{Pc } c \Rightarrow (\text{if } (c = 0) \ \text{then } (\text{mkPinj } 1 \ Q) \ \text{else } (\text{PX } P \ i \ Q)) \ | \\ &\quad \text{Pinj } j \ R \Rightarrow \text{PX } P \ i \ Q \ | \\ &\quad \text{PX } P2 \ i2 \ Q2 \Rightarrow (\text{if } (Q2 = (\text{Pc } 0)) \ \text{then } (\text{PX } P2 \ (i+i2) \ Q) \ \text{else } (\text{PX } P \ i \ Q)) \\ &\quad) \end{aligned}$$

Defining the basic ring operations on normalized polynomials

consts

$$\begin{aligned} \text{add} &:: 'a::\{\text{comm-ring,recpower}\} \ \text{pol} \times 'a \ \text{pol} \Rightarrow 'a \ \text{pol} \\ \text{mul} &:: 'a::\{\text{comm-ring,recpower}\} \ \text{pol} \times 'a \ \text{pol} \Rightarrow 'a \ \text{pol} \\ \text{neg} &:: 'a::\{\text{comm-ring,recpower}\} \ \text{pol} \Rightarrow 'a \ \text{pol} \\ \text{sqr} &:: 'a::\{\text{comm-ring,recpower}\} \ \text{pol} \Rightarrow 'a \ \text{pol} \\ \text{pow} &:: 'a::\{\text{comm-ring,recpower}\} \ \text{pol} \times \text{nat} \Rightarrow 'a \ \text{pol} \end{aligned}$$

Addition

recdef *add measure* ($\lambda(x, y). \text{size } x + \text{size } y$)

$$\begin{aligned} \text{add } (\text{Pc } a, \text{Pc } b) &= \text{Pc } (a + b) \\ \text{add } (\text{Pc } c, \text{Pinj } i \ P) &= \text{Pinj } i \ (\text{add } (P, \text{Pc } c)) \\ \text{add } (\text{Pinj } i \ P, \text{Pc } c) &= \text{Pinj } i \ (\text{add } (P, \text{Pc } c)) \\ \text{add } (\text{Pc } c, \text{PX } P \ i \ Q) &= \text{PX } P \ i \ (\text{add } (Q, \text{Pc } c)) \\ \text{add } (\text{PX } P \ i \ Q, \text{Pc } c) &= \text{PX } P \ i \ (\text{add } (Q, \text{Pc } c)) \\ \text{add } (\text{Pinj } x \ P, \text{Pinj } y \ Q) &= \\ &(\text{if } x=y \ \text{then } \text{mkPinj } x \ (\text{add } (P, Q)) \\ &\quad \text{else } (\text{if } x>y \ \text{then } \text{mkPinj } y \ (\text{add } (\text{Pinj } (x-y) \ P, Q)) \\ &\quad \quad \text{else } \text{mkPinj } x \ (\text{add } (\text{Pinj } (y-x) \ Q, P)) \)) \\ \text{add } (\text{Pinj } x \ P, \text{PX } Q \ y \ R) &= \\ &(\text{if } x=0 \ \text{then } \text{add } (P, \text{PX } Q \ y \ R) \\ &\quad \text{else } (\text{if } x=1 \ \text{then } \text{PX } Q \ y \ (\text{add } (R, P)) \\ &\quad \quad \text{else } \text{PX } Q \ y \ (\text{add } (R, \text{Pinj } (x-1) \ P)))) \\ \text{add } (\text{PX } P \ x \ R, \text{Pinj } y \ Q) &= \\ &(\text{if } y=0 \ \text{then } \text{add } (\text{PX } P \ x \ R, Q) \\ &\quad \text{else } (\text{if } y=1 \ \text{then } \text{PX } P \ x \ (\text{add } (R, Q)) \\ &\quad \quad \text{else } \text{PX } P \ x \ (\text{add } (R, \text{Pinj } (y-1) \ Q)))) \\ \text{add } (\text{PX } P1 \ x \ P2, \text{PX } Q1 \ y \ Q2) &= \end{aligned}$$

```

(if x=y then mkPX (add (P1, Q1)) x (add (P2, Q2))
 else (if x>y then mkPX (add (PX P1 (x-y) (Pc 0), Q1)) y (add (P2,Q2))
      else mkPX (add (PX Q1 (y-x) (Pc 0), P1)) x (add (P2,Q2)) ))

```

Multiplication

```

recdef mul measure ( $\lambda(x, y). \text{size } x + \text{size } y$ )
  mul (Pc a, Pc b) = Pc (a*b)
  mul (Pc c, Pinj i P) = (if c=0 then Pc 0 else mkPinj i (mul (P, Pc c)))
  mul (Pinj i P, Pc c) = (if c=0 then Pc 0 else mkPinj i (mul (P, Pc c)))
  mul (Pc c, PX P i Q) =
    (if c=0 then Pc 0 else mkPX (mul (P, Pc c)) i (mul (Q, Pc c)))
  mul (PX P i Q, Pc c) =
    (if c=0 then Pc 0 else mkPX (mul (P, Pc c)) i (mul (Q, Pc c)))
  mul (Pinj x P, Pinj y Q) =
    (if x=y then mkPinj x (mul (P, Q))
     else (if x>y then mkPinj y (mul (Pinj (x-y) P, Q))
          else mkPinj x (mul (Pinj (y-x) Q, P)) ))
  mul (Pinj x P, PX Q y R) =
    (if x=0 then mul(P, PX Q y R)
     else (if x=1 then mkPX (mul (Pinj x P, Q)) y (mul (R, P))
          else mkPX (mul (Pinj x P, Q)) y (mul (R, Pinj (x - 1) P))))
  mul (PX P x R, Pinj y Q) =
    (if y=0 then mul(PX P x R, Q)
     else (if y=1 then mkPX (mul (Pinj y Q, P)) x (mul (R, Q))
          else mkPX (mul (Pinj y Q, P)) x (mul (R, Pinj (y - 1) Q))))
  mul (PX P1 x P2, PX Q1 y Q2) =
    add (mkPX (mul (P1, Q1)) (x+y) (mul (P2, Q2)),
        add (mkPX (mul (P1, mkPinj 1 Q2)) x (Pc 0), mkPX (mul (Q1, mkPinj 1
P2)) y (Pc 0)) )
(hints simp add: mkPinj-def split: pol.split)

```

Negation

```

primrec
  neg (Pc c) = Pc (-c)
  neg (Pinj i P) = Pinj i (neg P)
  neg (PX P x Q) = PX (neg P) x (neg Q)

```

Substraction

```

constdefs
  sub :: 'a::{comm-ring,recpower} pol  $\Rightarrow$  'a pol  $\Rightarrow$  'a pol
  sub p q  $\equiv$  add (p, neg q)

```

Square for Fast Exponentation

```

primrec
  sqr (Pc c) = Pc (c * c)
  sqr (Pinj i P) = mkPinj i (sqr P)
  sqr (PX A x B) = add (mkPX (sqr A) (x + x) (sqr B),
                        mkPX (mul (mul (Pc (1 + 1), A), mkPinj 1 B)) x (Pc 0))

```

Fast Exponentiation

lemma *pow-wf*: $odd\ n \implies (n::nat)\ div\ 2 < n$ $\langle proof \rangle$

recdef *pow measure* $(\lambda(x, y). y)$

$pow\ (p, 0) = Pc\ 1$

$pow\ (p, n) = (if\ even\ n\ then\ (pow\ (sqr\ p, n\ div\ 2))\ else\ mul\ (p, pow\ (sqr\ p, n\ div\ 2)))$

(**hints** *simp add: pow-wf*)

lemma *pow-if*:

$pow\ (p, n) =$

$(if\ n = 0\ then\ Pc\ 1\ else\ if\ even\ n\ then\ pow\ (sqr\ p, n\ div\ 2)$
 $\ else\ mul\ (p, pow\ (sqr\ p, n\ div\ 2)))$

$\langle proof \rangle$

Normalization of polynomial expressions

consts *norm* :: $'a::\{comm-ring, recpower\}$ *pol* \Rightarrow $'a\ pol$

primrec

$norm\ (Pol\ P) = P$

$norm\ (Add\ P\ Q) = add\ (norm\ P, norm\ Q)$

$norm\ (Sub\ p\ q) = sub\ (norm\ p)\ (norm\ q)$

$norm\ (Mul\ P\ Q) = mul\ (norm\ P, norm\ Q)$

$norm\ (Pow\ p\ n) = pow\ (norm\ p, n)$

$norm\ (Neg\ P) = neg\ (norm\ P)$

mkPinj preserve semantics

lemma *mkPinj-ci*: $Ipol\ l\ (mkPinj\ a\ B) = Ipol\ l\ (Pinj\ a\ B)$

$\langle proof \rangle$

mkPX preserves semantics

lemma *mkPX-ci*: $Ipol\ l\ (mkPX\ A\ b\ C) = Ipol\ l\ (PX\ A\ b\ C)$

$\langle proof \rangle$

Correctness theorems for the implemented operations

Negation

lemma *neg-ci*: $\bigwedge l. Ipol\ l\ (neg\ P) = -(Ipol\ l\ P)$

$\langle proof \rangle$

Addition

lemma *add-ci*: $\bigwedge l. Ipol\ l\ (add\ (P, Q)) = Ipol\ l\ P + Ipol\ l\ Q$

$\langle proof \rangle$

Multiplication

lemma *mul-ci*: $\bigwedge l. Ipol\ l\ (mul\ (P, Q)) = Ipol\ l\ P * Ipol\ l\ Q$

$\langle proof \rangle$

Substraction

lemma *sub-ci*: $Ipol\ l\ (sub\ p\ q) = Ipol\ l\ p - Ipol\ l\ q$
 ⟨proof⟩

Square

lemma *sqr-ci*: $\bigwedge ls. Ipol\ ls\ (sqr\ p) = Ipol\ ls\ p * Ipol\ ls\ p$
 ⟨proof⟩

Power

lemma *even-pow*: $even\ n \implies pow\ (p, n) = pow\ (sqr\ p, n\ div\ 2)$ ⟨proof⟩

lemma *pow-ci*: $\bigwedge p. Ipol\ ls\ (pow\ (p, n)) = (Ipol\ ls\ p) \wedge^n$
 ⟨proof⟩

Normalization preserves semantics

lemma *norm-ci*: $Ipolex\ l\ Pe = Ipol\ l\ (norm\ Pe)$
 ⟨proof⟩

Reflection lemma: Key to the (incomplete) decision procedure

lemma *norm-eq*:
 assumes *eq*: $norm\ P1 = norm\ P2$
 shows $Ipolex\ l\ P1 = Ipolex\ l\ P2$
 ⟨proof⟩

Code generation

⟨ML⟩

end

21 List-Prefix: List prefixes and postfixes

theory *List-Prefix*
imports *Main*
begin

21.1 Prefix order on lists

instance *list* :: (type) ord ⟨proof⟩

defs (overloaded)

prefix-def: $xs \leq ys == \exists zs. ys = xs @ zs$

strict-prefix-def: $xs < ys == xs \leq ys \wedge xs \neq (ys::'a\ list)$

instance *list* :: (type) order
 ⟨proof⟩

lemma *prefixI* [*intro?*]: $ys = xs @ zs \implies xs \leq ys$
 ⟨proof⟩

lemma *prefixE* [*elim?*]: $xs \leq ys \implies (!!zs. ys = xs @ zs \implies C) \implies C$
 ⟨*proof*⟩

lemma *strict-prefixI'* [*intro?*]: $ys = xs @ z \# zs \implies xs < ys$
 ⟨*proof*⟩

lemma *strict-prefixE'* [*elim?*]:
assumes *lt*: $xs < ys$
and *r*: $!!z zs. ys = xs @ z \# zs \implies C$
shows C
 ⟨*proof*⟩

lemma *strict-prefixI* [*intro?*]: $xs \leq ys \implies xs \neq ys \implies xs < (ys::'a \text{ list})$
 ⟨*proof*⟩

lemma *strict-prefixE* [*elim?*]:
 $xs < ys \implies (xs \leq ys \implies xs \neq (ys::'a \text{ list}) \implies C) \implies C$
 ⟨*proof*⟩

21.2 Basic properties of prefixes

theorem *Nil-prefix* [*iff*]: $[] \leq xs$
 ⟨*proof*⟩

theorem *prefix-Nil* [*simp*]: $(xs \leq []) = (xs = [])$
 ⟨*proof*⟩

lemma *prefix-snoc* [*simp*]: $(xs \leq ys @ [y]) = (xs = ys @ [y] \vee xs \leq ys)$
 ⟨*proof*⟩

lemma *Cons-prefix-Cons* [*simp*]: $(x \# xs \leq y \# ys) = (x = y \wedge xs \leq ys)$
 ⟨*proof*⟩

lemma *same-prefix-prefix* [*simp*]: $(xs @ ys \leq xs @ zs) = (ys \leq zs)$
 ⟨*proof*⟩

lemma *same-prefix-nil* [*iff*]: $(xs @ ys \leq xs) = (ys = [])$
 ⟨*proof*⟩

lemma *prefix-prefix* [*simp*]: $xs \leq ys \implies xs \leq ys @ zs$
 ⟨*proof*⟩

lemma *append-prefixD*: $xs @ ys \leq zs \implies xs \leq zs$
 ⟨*proof*⟩

theorem *prefix-Cons*: $(xs \leq y \# ys) = (xs = [] \vee (\exists zs. xs = y \# zs \wedge zs \leq ys))$
 ⟨*proof*⟩

theorem *prefix-append*:

$$(xs \leq ys @ zs) = (xs \leq ys \vee (\exists us. xs = ys @ us \wedge us \leq zs))$$

<proof>

lemma *append-one-prefix*:

$$xs \leq ys \implies \text{length } xs < \text{length } ys \implies xs @ [ys ! \text{length } xs] \leq ys$$

<proof>

theorem *prefix-length-le*: $xs \leq ys \implies \text{length } xs \leq \text{length } ys$

<proof>

lemma *prefix-same-cases*:

$$(xs_1 :: 'a \text{ list}) \leq ys \implies xs_2 \leq ys \implies xs_1 \leq xs_2 \vee xs_2 \leq xs_1$$

<proof>

lemma *set-mono-prefix*:

$$xs \leq ys \implies \text{set } xs \subseteq \text{set } ys$$

<proof>

21.3 Parallel lists

constdefs

$$\text{parallel} :: 'a \text{ list} \Rightarrow 'a \text{ list} \Rightarrow \text{bool} \quad (\text{infixl } \parallel 50)$$

$$xs \parallel ys == \neg xs \leq ys \wedge \neg ys \leq xs$$

lemma *parallelI* [intro]: $\neg xs \leq ys \implies \neg ys \leq xs \implies xs \parallel ys$

<proof>

lemma *parallelE* [elim]:

$$xs \parallel ys \implies (\neg xs \leq ys \implies \neg ys \leq xs \implies C) \implies C$$

<proof>

theorem *prefix-cases*:

$$(xs \leq ys \implies C) \implies$$

$$(ys < xs \implies C) \implies$$

$$(xs \parallel ys \implies C) \implies C$$

<proof>

theorem *parallel-decomp*:

$$xs \parallel ys \implies \exists as \ b \ bs \ c \ cs. b \neq c \wedge xs = as @ b \# bs \wedge ys = as @ c \# cs$$

<proof>

21.4 Postfix order on lists

constdefs

$$\text{postfix} :: 'a \text{ list} \Rightarrow 'a \text{ list} \Rightarrow \text{bool} \quad ((-/ >>= -) [51, 50] 50)$$

$$xs >>= ys == \exists zs. xs = zs @ ys$$

lemma *postfix-refl* [simp, intro!]: $xs >>= xs$

<proof>

lemma *postfix-trans*: $\llbracket xs \gg = ys; ys \gg = zs \rrbracket \implies xs \gg = zs$
 ⟨proof⟩

lemma *postfix-antisym*: $\llbracket xs \gg = ys; ys \gg = xs \rrbracket \implies xs = ys$
 ⟨proof⟩

lemma *Nil-postfix [iff]*: $xs \gg = []$
 ⟨proof⟩

lemma *postfix-Nil [simp]*: $([] \gg = xs) = (xs = [])$
 ⟨proof⟩

lemma *postfix-ConsI*: $xs \gg = ys \implies x\#xs \gg = ys$
 ⟨proof⟩

lemma *postfix-ConsD*: $xs \gg = y\#ys \implies xs \gg = ys$
 ⟨proof⟩

lemma *postfix-appendI*: $xs \gg = ys \implies zs @ xs \gg = ys$
 ⟨proof⟩

lemma *postfix-appendD*: $xs \gg = zs @ ys \implies xs \gg = ys$
 ⟨proof⟩

lemma *postfix-is-subset-lemma*: $xs = zs @ ys \implies \text{set } ys \subseteq \text{set } xs$
 ⟨proof⟩

lemma *postfix-is-subset*: $xs \gg = ys \implies \text{set } ys \subseteq \text{set } xs$
 ⟨proof⟩

lemma *postfix-ConsD2-lemma [rule-format]*: $x\#xs = zs @ y\#ys \longrightarrow xs \gg = ys$
 ⟨proof⟩

lemma *postfix-ConsD2*: $x\#xs \gg = y\#ys \implies xs \gg = ys$
 ⟨proof⟩

lemma *postfix2prefix*: $(xs \gg = ys) = (\text{rev } ys <= \text{rev } xs)$
 ⟨proof⟩

end

22 List-lexord: Lexicographic order on lists

theory *List-lexord*

imports *Main*

begin

instance *list* :: (ord) ord ⟨proof⟩

defs (overloaded)

list-le-def: $(xs::('a::ord) \text{ list}) \leq ys \equiv (xs < ys \vee xs = ys)$

list-less-def: $(xs::('a::ord) \text{ list}) < ys \equiv (xs, ys) \in \text{lexord } \{(u,v). u < v\}$

lemmas *list-ord-defs* = *list-less-def list-le-def*

instance *list* :: (*order*) *order*
 ⟨*proof*⟩

instance *list*::(*linorder*)*linorder*
 ⟨*proof*⟩

lemma *not-less-Nil*[*simp*]: $\sim(x < [])$
 ⟨*proof*⟩

lemma *Nil-less-Cons*[*simp*]: $[] < a \# x$
 ⟨*proof*⟩

lemma *Cons-less-Cons*[*simp*]: $(a \# x < b \# y) = (a < b \mid a = b \ \& \ x < y)$
 ⟨*proof*⟩

lemma *le-Nil*[*simp*]: $(x <= []) = (x = [])$
 ⟨*proof*⟩

lemma *Nil-le-Cons* [*simp*]: $([] <= x)$
 ⟨*proof*⟩

lemma *Cons-le-Cons*[*simp*]: $(a \# x <= b \# y) = (a < b \mid a = b \ \& \ x <= y)$
 ⟨*proof*⟩

end

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