

# Examples of Inductive and Coinductive Definitions in ZF

Lawrence C Paulson and others

October 1, 2005

## Contents

<b>1</b>	<b>Sample datatype definitions</b>	<b>2</b>
1.1	A type with four constructors . . . . .	3
1.2	Example of a big enumeration type . . . . .	3
<b>2</b>	<b>Binary trees</b>	<b>4</b>
2.1	Datatype definition . . . . .	4
2.2	Number of nodes, with an example of tail-recursion . . . . .	5
2.3	Number of leaves . . . . .	5
2.4	Reflecting trees . . . . .	6
<b>3</b>	<b>Terms over an alphabet</b>	<b>6</b>
<b>4</b>	<b>Datatype definition n-ary branching trees</b>	<b>11</b>
<b>5</b>	<b>Trees and forests, a mutually recursive type definition</b>	<b>15</b>
5.1	Datatype definition . . . . .	15
5.2	Operations . . . . .	17
<b>6</b>	<b>Infinite branching datatype definitions</b>	<b>20</b>
6.1	The Brouwer ordinals . . . . .	20
6.2	The Martin-Löf wellordering type . . . . .	20
<b>7</b>	<b>The Mutilated Chess Board Problem, formalized inductively</b>	<b>21</b>
7.1	Basic properties of <i>evnodd</i> . . . . .	22
7.2	Dominoes . . . . .	22
7.3	Tilings . . . . .	23
7.4	The Operator <i>setsum</i> . . . . .	29

<b>8</b>	<b>The accessible part of a relation</b>	<b>33</b>
8.1	Properties of the original "restrict" from ZF.thy . . . . .	36
8.2	Multiset Orderings . . . . .	49
8.3	Toward the proof of well-foundedness of multirell . . . . .	49
8.4	Ordinal Multisets . . . . .	57
<b>9</b>	<b>An operator to "map" a relation over a list</b>	<b>63</b>
<b>10</b>	<b>Meta-theory of propositional logic</b>	<b>65</b>
10.1	The datatype of propositions . . . . .	65
10.2	The proof system . . . . .	65
10.3	The semantics . . . . .	66
10.3.1	Semantics of propositional logic. . . . .	66
10.3.2	Logical consequence . . . . .	66
10.4	Proof theory of propositional logic . . . . .	66
10.4.1	Weakening, left and right . . . . .	67
10.4.2	The deduction theorem . . . . .	67
10.4.3	The cut rule . . . . .	67
10.4.4	Soundness of the rules wrt truth-table semantics . . . . .	68
10.5	Completeness . . . . .	68
10.5.1	Towards the completeness proof . . . . .	68
10.5.2	Completeness – lemmas for reducing the set of as- sumptions . . . . .	69
10.5.3	Completeness theorem . . . . .	70
<b>11</b>	<b>Lists of n elements</b>	<b>71</b>
<b>12</b>	<b>Combinatory Logic example: the Church-Rosser Theorem</b>	<b>72</b>
12.1	Definitions . . . . .	72
12.2	Transitive closure preserves the Church-Rosser property . . . . .	73
12.3	Results about Contraction . . . . .	74
12.4	Non-contraction results . . . . .	74
12.5	Results about Parallel Contraction . . . . .	75
12.6	Basic properties of parallel contraction . . . . .	76
<b>13</b>	<b>Primitive Recursive Functions: the inductive definition</b>	<b>77</b>
13.1	Basic definitions . . . . .	77
13.2	Inductive definition of the PR functions . . . . .	78
13.3	Ackermann's function cases . . . . .	79
13.4	Main result . . . . .	82

## 1 Sample datatype definitions

**theory** *Datatypes* **imports** *Main* **begin**

## 1.1 A type with four constructors

It has four constructors, of arities 0–3, and two parameters  $A$  and  $B$ .

**consts**

$data :: [i, i] \Rightarrow i$

**datatype**  $data(A, B) =$

$Con0$   
 $| Con1 (a \in A)$   
 $| Con2 (a \in A, b \in B)$   
 $| Con3 (a \in A, b \in B, d \in data(A, B))$

**lemma**  $data-unfold$ :  $data(A, B) = (\{0\} + A) + (A \times B + A \times B \times data(A, B))$

**by** ( $fast\ intro!$ :  $data.intros [unfolded\ data.con-defs]$   
 $elim$ :  $data.cases [unfolded\ data.con-defs]$ )

Lemmas to justify using  $data$  in other recursive type definitions.

**lemma**  $data-mono$ :  $[| A \subseteq C; B \subseteq D |] \Rightarrow data(A, B) \subseteq data(C, D)$

**apply** ( $unfold\ data.defs$ )  
**apply** ( $rule\ lfp-mono$ )  
**apply** ( $rule\ data.bnd-mono$ ) +  
**apply** ( $rule\ univ-mono\ Un-mono\ basic-monos\ | assumption$ ) +  
**done**

**lemma**  $data-univ$ :  $data(univ(A), univ(A)) \subseteq univ(A)$

**apply** ( $unfold\ data.defs\ data.con-defs$ )  
**apply** ( $rule\ lfp-lowerbound$ )  
**apply** ( $rule-tac\ [2]\ subset-trans\ [OF\ A-subset-univ\ Un-upper1,\ THEN\ univ-mono]$ )  
**apply** ( $fast\ intro!$ :  $zero-in-univ\ Inl-in-univ\ Inr-in-univ\ Pair-in-univ$ )  
**done**

**lemma**  $data-subset-univ$ :

$[| A \subseteq univ(C); B \subseteq univ(C) |] \Rightarrow data(A, B) \subseteq univ(C)$   
**by** ( $rule\ subset-trans\ [OF\ data-mono\ data-univ]$ )

## 1.2 Example of a big enumeration type

Can go up to at least 100 constructors, but it takes nearly 7 minutes ...  
(back in 1994 that is).

**consts**

$enum :: i$

**datatype**  $enum =$

$C00\ |\ C01\ |\ C02\ |\ C03\ |\ C04\ |\ C05\ |\ C06\ |\ C07\ |\ C08\ |\ C09$   
 $| C10\ |\ C11\ |\ C12\ |\ C13\ |\ C14\ |\ C15\ |\ C16\ |\ C17\ |\ C18\ |\ C19$   
 $| C20\ |\ C21\ |\ C22\ |\ C23\ |\ C24\ |\ C25\ |\ C26\ |\ C27\ |\ C28\ |\ C29$   
 $| C30\ |\ C31\ |\ C32\ |\ C33\ |\ C34\ |\ C35\ |\ C36\ |\ C37\ |\ C38\ |\ C39$   
 $| C40\ |\ C41\ |\ C42\ |\ C43\ |\ C44\ |\ C45\ |\ C46\ |\ C47\ |\ C48\ |\ C49$

| C50 | C51 | C52 | C53 | C54 | C55 | C56 | C57 | C58 | C59

end

## 2 Binary trees

theory *Binary-Trees* imports *Main* begin

### 2.1 Datatype definition

consts

*bt* :: *i* ==> *i*

datatype *bt*(*A*) =

*Lf* | *Br* (*a* ∈ *A*, *t1* ∈ *bt*(*A*), *t2* ∈ *bt*(*A*))

declare *bt.intros* [*simp*]

lemma *Br-neq-left*: *l* ∈ *bt*(*A*) ==> (!*x r*. *Br*(*x*, *l*, *r*) ≠ *l*)

by (*induct set: bt*) *auto*

lemma *Br-iff*: *Br*(*a*, *l*, *r*) = *Br*(*a'*, *l'*, *r'*) <-> *a* = *a'* & *l* = *l'* & *r* = *r'*

— Proving a freeness theorem.

by (*fast elim!: bt.free-elim*s)

inductive-cases *BrE*: *Br*(*a*, *l*, *r*) ∈ *bt*(*A*)

— An elimination rule, for type-checking.

Lemmas to justify using *bt* in other recursive type definitions.

lemma *bt-mono*: *A* ⊆ *B* ==> *bt*(*A*) ⊆ *bt*(*B*)

apply (*unfold bt.defs*)

apply (*rule lfp-mono*)

apply (*rule bt.bnd-mono*)+

apply (*rule univ-mono basic-monos | assumption*)+

done

lemma *bt-univ*: *bt*(*univ*(*A*)) ⊆ *univ*(*A*)

apply (*unfold bt.defs bt.con-defs*)

apply (*rule lfp-lowerbound*)

apply (*rule-tac* [2] *A-subset-univ [THEN univ-mono]*)

apply (*fast intro!: zero-in-univ Inl-in-univ Inr-in-univ Pair-in-univ*)

done

lemma *bt-subset-univ*: *A* ⊆ *univ*(*B*) ==> *bt*(*A*) ⊆ *univ*(*B*)

apply (*rule subset-trans*)

apply (*erule bt-mono*)

apply (*rule bt-univ*)

**done**

**lemma** *bt-rec-type*:

```
[| t ∈ bt(A);  
  c ∈ C(Lf);  
  !!x y z r s. [| x ∈ A; y ∈ bt(A); z ∈ bt(A); r ∈ C(y); s ∈ C(z) |] ==>  
    h(x, y, z, r, s) ∈ C(Br(x, y, z))  
|] ==> bt-rec(c, h, t) ∈ C(t)  
— Type checking for recursor – example only; not really needed.  
apply (induct-tac t)  
apply simp-all  
done
```

## 2.2 Number of nodes, with an example of tail-recursion

**consts** *n-nodes* ::  $i \Rightarrow i$

**primrec**

```
n-nodes(Lf) = 0  
n-nodes(Br(a, l, r)) = succ(n-nodes(l) #+ n-nodes(r))
```

**lemma** *n-nodes-type* [simp]:  $t \in \text{bt}(A) \Rightarrow n\text{-nodes}(t) \in \text{nat}$

**by** (induct-tac t) auto

**consts** *n-nodes-aux* ::  $i \Rightarrow i$

**primrec**

```
n-nodes-aux(Lf) = ( $\lambda k \in \text{nat}. k$ )  
n-nodes-aux(Br(a, l, r)) =  
  ( $\lambda k \in \text{nat}. n\text{-nodes-aux}(r) \text{ ‘ } (n\text{-nodes-aux}(l) \text{ ‘ } \text{succ}(k))$ )
```

**lemma** *n-nodes-aux-eq* [rule-format]:

$t \in \text{bt}(A) \Rightarrow \forall k \in \text{nat}. n\text{-nodes-aux}(t) \text{ ‘ } k = n\text{-nodes}(t) \text{ \#+ } k$

**by** (induct-tac t, simp-all)

**constdefs**

```
n-nodes-tail ::  $i \Rightarrow i$   
n-nodes-tail(t) == n-nodes-aux(t) ‘ 0
```

**lemma**  $t \in \text{bt}(A) \Rightarrow n\text{-nodes-tail}(t) = n\text{-nodes}(t)$

**by** (simp add: *n-nodes-tail-def* *n-nodes-aux-eq*)

## 2.3 Number of leaves

**consts**

*n-leaves* ::  $i \Rightarrow i$

**primrec**

```
n-leaves(Lf) = 1  
n-leaves(Br(a, l, r)) = n-leaves(l) #+ n-leaves(r)
```

**lemma** *n-leaves-type* [simp]:  $t \in \text{bt}(A) \Rightarrow n\text{-leaves}(t) \in \text{nat}$

**by** (induct-tac t) auto

## 2.4 Reflecting trees

**consts**

$bt\text{-}reflect :: i \Rightarrow i$

**primrec**

$bt\text{-}reflect(Lf) = Lf$

$bt\text{-}reflect(Br(a, l, r)) = Br(a, bt\text{-}reflect(r), bt\text{-}reflect(l))$

**lemma**  $bt\text{-}reflect\text{-}type$  [simp]:  $t \in bt(A) \Rightarrow bt\text{-}reflect(t) \in bt(A)$

**by** (induct-tac t) auto

Theorems about  $n\text{-}leaves$ .

**lemma**  $n\text{-}leaves\text{-}reflect$ :  $t \in bt(A) \Rightarrow n\text{-}leaves(bt\text{-}reflect(t)) = n\text{-}leaves(t)$

**by** (induct-tac t) (simp-all add: add-commute  $n\text{-}leaves\text{-}type$ )

**lemma**  $n\text{-}leaves\text{-}nodes$ :  $t \in bt(A) \Rightarrow n\text{-}leaves(t) = succ(n\text{-}nodes(t))$

**by** (induct-tac t) (simp-all add: add-succ-right)

Theorems about  $bt\text{-}reflect$ .

**lemma**  $bt\text{-}reflect\text{-}bt\text{-}reflect\text{-}ident$ :  $t \in bt(A) \Rightarrow bt\text{-}reflect(bt\text{-}reflect(t)) = t$

**by** (induct-tac t) simp-all

**end**

## 3 Terms over an alphabet

**theory** *Term* imports *Main* begin

Illustrates the list functor (essentially the same type as in *Trees-Forest*).

**consts**

$term :: i \Rightarrow i$

**datatype**  $term(A) = Apply$  ( $a \in A, l \in list(term(A))$ )

**monos**  $list\text{-}mono$

**type-elim**  $list\text{-}univ$  [THEN subsetD, elim-format]

**declare**  $Apply$  [TC]

**constdefs**

$term\text{-}rec :: [i, [i, i, i] \Rightarrow i] \Rightarrow i$

$term\text{-}rec(t, d) ==$

$Vrec(t, \lambda t\ g. term\text{-}case(\lambda x\ zs. d(x, zs, map(\lambda z. g'z, zs)), t))$

$term\text{-}map :: [i \Rightarrow i, i] \Rightarrow i$

$term\text{-}map(f, t) == term\text{-}rec(t, \lambda x\ zs\ rs. Apply(f(x), rs))$

$term\text{-}size :: i \Rightarrow i$

$term\text{-}size(t) == term\text{-}rec(t, \lambda x \text{ } zs \text{ } rs. succ(list\text{-}add(rs)))$

$reflect :: i ==> i$

$reflect(t) == term\text{-}rec(t, \lambda x \text{ } zs \text{ } rs. Apply(x, rev(rs)))$

$preorder :: i ==> i$

$preorder(t) == term\text{-}rec(t, \lambda x \text{ } zs \text{ } rs. Cons(x, flat(rs)))$

$postorder :: i ==> i$

$postorder(t) == term\text{-}rec(t, \lambda x \text{ } zs \text{ } rs. flat(rs) @ [x])$

**lemma** *term-unfold*:  $term(A) = A * list(term(A))$

**by** (*fast intro!*:  $term.intros [unfolded term.con\text{-}defs]$

*elim*:  $term.cases [unfolded term.con\text{-}defs]$ )

**lemma** *term-induct2*:

$[| t \in term(A);$

$!!x. [| x \in A |] ==> P(Apply(x, Nil));$

$!!x \text{ } z \text{ } zs. [| x \in A; z \in term(A); zs: list(term(A)); P(Apply(x, zs))$

$|] ==> P(Apply(x, Cons(z, zs)))$

$|] ==> P(t)$

— Induction on *term*(A) followed by induction on *list*.

**apply** (*induct-tac t*)

**apply** (*erule list.induct*)

**apply** (*auto dest: list-CollectD*)

**done**

**lemma** *term-induct-eqn*:

$[| t \in term(A);$

$!!x \text{ } zs. [| x \in A; zs: list(term(A)); map(f, zs) = map(g, zs) |] ==>$

$f(Apply(x, zs)) = g(Apply(x, zs))$

$|] ==> f(t) = g(t)$

— Induction on *term*(A) to prove an equation.

**apply** (*induct-tac t*)

**apply** (*auto dest: map-list-Collect list-CollectD*)

**done**

Lemmas to justify using *term* in other recursive type definitions.

**lemma** *term-mono*:  $A \subseteq B ==> term(A) \subseteq term(B)$

**apply** (*unfold term.defs*)

**apply** (*rule lfp-mono*)

**apply** (*rule term.bnd-mono*)+

**apply** (*rule univ-mono basic-monos | assumption*)+

**done**

**lemma** *term-univ*:  $term(univ(A)) \subseteq univ(A)$

— Easily provable by induction also

**apply** (*unfold term.defs term.con\text{-}defs*)

**apply** (*rule lfp-lowerbound*)

```

  apply (rule-tac [2] A-subset-univ [THEN univ-mono])
  apply safe
  apply (assumption | rule Pair-in-univ list-univ [THEN subsetD])+
  done

lemma term-subset-univ:  $A \subseteq \text{univ}(B) \implies \text{term}(A) \subseteq \text{univ}(B)$ 
  apply (rule subset-trans)
  apply (erule term-mono)
  apply (rule term-univ)
  done

lemma term-into-univ:  $[\mid t \in \text{term}(A); A \subseteq \text{univ}(B) \mid] \implies t \in \text{univ}(B)$ 
  by (rule term-subset-univ [THEN subsetD])

term-rec – by Vset recursion.

lemma map-lemma:  $[\mid l \in \text{list}(A); \text{Ord}(i); \text{rank}(l) < i \mid]$ 
   $\implies \text{map}(\lambda z. (\lambda x \in \text{Vset}(i). h(x)) 'z, l) = \text{map}(h, l)$ 
  — map works correctly on the underlying list of terms.
  apply (induct set: list)
  apply simp
  apply (subgoal-tac rank (a) < i & rank (l) < i)
  apply (simp add: rank-of-Ord)
  apply (simp add: list.con-defs)
  apply (blast dest: rank-rls [THEN lt-trans])
  done

lemma term-rec [simp]:  $ts \in \text{list}(A) \implies$ 
   $\text{term-rec}(\text{Apply}(a, ts), d) = d(a, ts, \text{map}(\lambda z. \text{term-rec}(z, d), ts))$ 
  — Typing premise is necessary to invoke map-lemma.
  apply (rule term-rec-def [THEN def-Vrec, THEN trans])
  apply (unfold term.con-defs)
  apply (simp add: rank-pair2 map-lemma)
  done

lemma term-rec-type:
   $[\mid t \in \text{term}(A);$ 
     $\quad !!x \text{ } zs \text{ } r. [\mid x \in A; zs: \text{list}(\text{term}(A));$ 
       $\quad \quad r \in \text{list}(\bigcup t \in \text{term}(A). C(t)) \mid]$ 
     $\implies d(x, zs, r): C(\text{Apply}(x, zs))$ 
   $\mid] \implies \text{term-rec}(t, d) \in C(t)$ 
  — Slightly odd typing condition on r in the second premise!
proof –
  assume a:  $!!x \text{ } zs \text{ } r. [\mid x \in A; zs: \text{list}(\text{term}(A));$ 
     $\quad \quad r \in \text{list}(\bigcup t \in \text{term}(A). C(t)) \mid]$ 
     $\implies d(x, zs, r): C(\text{Apply}(x, zs))$ 
  assume t  $\in \text{term}(A)$ 
  thus ?thesis
    apply induct
    apply (frule list-CollectD)

```



```

    apply (subst term-rec)
    apply (assumption | rule a)+
    apply (erule list.induct)
    apply (simp add: term-rec)
    apply (auto simp add: term-rec)
  done
qed

```

```

lemma def-term-rec:
  [| !!t. j(t) == term-rec(t,d);  ts: list(A) |] ==>
  j(Apply(a,ts)) = d(a, ts, map(λZ. j(Z), ts))
  apply (simp only:)
  apply (erule term-rec)
  done

```

```

lemma term-rec-simple-type [TC]:
  [| t ∈ term(A);
    !!x zs r. [| x ∈ A;  zs: list(term(A));  r ∈ list(C) |]
               ==> d(x, zs, r): C
  |] ==> term-rec(t,d) ∈ C
  apply (erule term-rec-type)
  apply (drule subset-refl [THEN UN-least, THEN list-mono, THEN subsetD])
  apply simp
  done

```

*term-map.*

```

lemma term-map [simp]:
  ts ∈ list(A) ==>
  term-map(f, Apply(a, ts)) = Apply(f(a), map(term-map(f), ts))
  by (rule term-map-def [THEN def-term-rec])

```

```

lemma term-map-type [TC]:
  [| t ∈ term(A);  !!x. x ∈ A ==> f(x): B |] ==> term-map(f,t) ∈ term(B)
  apply (unfold term-map-def)
  apply (erule term-rec-simple-type)
  apply fast
  done

```

```

lemma term-map-type2 [TC]:
  t ∈ term(A) ==> term-map(f,t) ∈ term({f(u). u ∈ A})
  apply (erule term-map-type)
  apply (erule RepFunI)
  done

```

*term-size.*

```

lemma term-size [simp]:
  ts ∈ list(A) ==> term-size(Apply(a, ts)) = succ(list-add(map(term-size, ts)))
  by (rule term-size-def [THEN def-term-rec])

```

**lemma** *term-size-type* [TC]:  $t \in \text{term}(A) \implies \text{term-size}(t) \in \text{nat}$   
**by** (*auto simp add: term-size-def*)

*reflect.*

**lemma** *reflect* [*simp*]:  
 $ts \in \text{list}(A) \implies \text{reflect}(\text{Apply}(a, ts)) = \text{Apply}(a, \text{rev}(\text{map}(\text{reflect}, ts)))$   
**by** (*rule reflect-def [THEN def-term-rec]*)

**lemma** *reflect-type* [TC]:  $t \in \text{term}(A) \implies \text{reflect}(t) \in \text{term}(A)$   
**by** (*auto simp add: reflect-def*)

*preorder.*

**lemma** *preorder* [*simp*]:  
 $ts \in \text{list}(A) \implies \text{preorder}(\text{Apply}(a, ts)) = \text{Cons}(a, \text{flat}(\text{map}(\text{preorder}, ts)))$   
**by** (*rule preorder-def [THEN def-term-rec]*)

**lemma** *preorder-type* [TC]:  $t \in \text{term}(A) \implies \text{preorder}(t) \in \text{list}(A)$   
**by** (*simp add: preorder-def*)

*postorder.*

**lemma** *postorder* [*simp*]:  
 $ts \in \text{list}(A) \implies \text{postorder}(\text{Apply}(a, ts)) = \text{flat}(\text{map}(\text{postorder}, ts)) @ [a]$   
**by** (*rule postorder-def [THEN def-term-rec]*)

**lemma** *postorder-type* [TC]:  $t \in \text{term}(A) \implies \text{postorder}(t) \in \text{list}(A)$   
**by** (*simp add: postorder-def*)

Theorems about *term-map*.

**declare** *List.map-compose* [*simp*]

**lemma** *term-map-ident*:  $t \in \text{term}(A) \implies \text{term-map}(\lambda u. u, t) = t$   
**apply** (*erule term-induct-eqn*)  
**apply** *simp*  
**done**

**lemma** *term-map-compose*:  
 $t \in \text{term}(A) \implies \text{term-map}(f, \text{term-map}(g, t)) = \text{term-map}(\lambda u. f(g(u)), t)$   
**apply** (*erule term-induct-eqn*)  
**apply** *simp*  
**done**

**lemma** *term-map-reflect*:  
 $t \in \text{term}(A) \implies \text{term-map}(f, \text{reflect}(t)) = \text{reflect}(\text{term-map}(f, t))$   
**apply** (*erule term-induct-eqn*)  
**apply** (*simp add: rev-map-distrib [symmetric]*)

**done**

Theorems about *term-size*.

**lemma** *term-size-term-map*:  $t \in \text{term}(A) \implies \text{term-size}(\text{term-map}(f, t)) = \text{term-size}(t)$   
  **apply** (*erule term-induct-eqn*)  
  **apply** (*simp*)  
  **done**

**lemma** *term-size-reflect*:  $t \in \text{term}(A) \implies \text{term-size}(\text{reflect}(t)) = \text{term-size}(t)$   
  **apply** (*erule term-induct-eqn*)  
  **apply** (*simp add: rev-map-distrib [symmetric] list-add-rev*)  
  **done**

**lemma** *term-size-length*:  $t \in \text{term}(A) \implies \text{term-size}(t) = \text{length}(\text{preorder}(t))$   
  **apply** (*erule term-induct-eqn*)  
  **apply** (*simp add: length-flat*)  
  **done**

Theorems about *reflect*.

**lemma** *reflect-reflect-ident*:  $t \in \text{term}(A) \implies \text{reflect}(\text{reflect}(t)) = t$   
  **apply** (*erule term-induct-eqn*)  
  **apply** (*simp add: rev-map-distrib*)  
  **done**

Theorems about *preorder*.

**lemma** *preorder-term-map*:  
   $t \in \text{term}(A) \implies \text{preorder}(\text{term-map}(f, t)) = \text{map}(f, \text{preorder}(t))$   
  **apply** (*erule term-induct-eqn*)  
  **apply** (*simp add: map-flat*)  
  **done**

**lemma** *preorder-reflect-eq-rev-postorder*:  
   $t \in \text{term}(A) \implies \text{preorder}(\text{reflect}(t)) = \text{rev}(\text{postorder}(t))$   
  **apply** (*erule term-induct-eqn*)  
  **apply** (*simp add: rev-app-distrib rev-flat rev-map-distrib [symmetric]*)  
  **done**

**end**

## 4 Datatype definition n-ary branching trees

**theory** *Ntree* **imports** *Main* **begin**

Demonstrates a simple use of function space in a datatype definition. Based upon theory *Term*.

**consts**

*ntree* ::  $i \Rightarrow i$   
*maptree* ::  $i \Rightarrow i$   
*maptree2* ::  $[i, i] \Rightarrow i$

**datatype** *ntree*(*A*) = *Branch* ( $a \in A, h \in (\bigcup n \in \text{nat}. n \rightarrow \text{ntree}(A))$ )  
**monos** *UN-mono* [*OF subset-refl Pi-mono*] — MUST have this form  
**type-intros** *nat-fun-univ* [*THEN subsetD*]  
**type-elim** *UN-E*

**datatype** *maptree*(*A*) = *Sons* ( $a \in A, h \in \text{maptree}(A) \multimap \text{maptree}(A)$ )  
**monos** *FiniteFun-mono1* — Use monotonicity in BOTH args  
**type-intros** *FiniteFun-univ1* [*THEN subsetD*]

**datatype** *maptree2*(*A*, *B*) = *Sons2* ( $a \in A, h \in B \multimap \text{maptree2}(A, B)$ )  
**monos** *FiniteFun-mono* [*OF subset-refl*]  
**type-intros** *FiniteFun-in-univ'*

**constdefs**

*ntree-rec* ::  $[[i, i, i] \Rightarrow i, i] \Rightarrow i$   
*ntree-rec*(*b*) ==  
*Vrecursor*( $\lambda pr. \text{ntree-case}(\lambda x h. b(x, h, \lambda i \in \text{domain}(h). pr'(h'i)))$ )

**constdefs**

*ntree-copy* ::  $i \Rightarrow i$   
*ntree-copy*(*z*) == *ntree-rec*( $\lambda x h r. \text{Branch}(x, r), z$ )

*ntree*

**lemma** *ntree-unfold*: *ntree*(*A*) =  $A \times (\bigcup n \in \text{nat}. n \rightarrow \text{ntree}(A))$   
**by** (*blast intro: ntree.intros [unfolded ntree.con-defs]*  
*elim: ntree.cases [unfolded ntree.con-defs]*)

**lemma** *ntree-induct* [*induct set: ntree*]:

$[[t \in \text{ntree}(A);$   
 $!!x n h. [[x \in A; n \in \text{nat}; h \in n \rightarrow \text{ntree}(A); \forall i \in n. P(h'i)$   
 $]] \Rightarrow P(\text{Branch}(x, h))$   
 $]] \Rightarrow P(t)$

— A nicer induction rule than the standard one.

**proof** —

**case** *rule-context*  
**assume**  $t \in \text{ntree}(A)$   
**thus** *?thesis*  
**apply** *induct*  
**apply** (*erule UN-E*)  
**apply** (*assumption* | *rule rule-context*) +  
**apply** (*fast elim: fun-weaken-type*)  
**apply** (*fast dest: apply-type*)  
**done**

**qed**

**lemma** *ntree-induct-eqn*:  

$$\begin{aligned} & \llbracket t \in \text{ntree}(A); f \in \text{ntree}(A) \multimap B; g \in \text{ntree}(A) \multimap B; \\ & \quad !!x \ n \ h. \llbracket x \in A; n \in \text{nat}; h \in n \multimap \text{ntree}(A); f \ O \ h = g \ O \ h \rrbracket ==> \\ & \quad f \text{ ' } \text{Branch}(x,h) = g \text{ ' } \text{Branch}(x,h) \\ & \rrbracket ==> f't=g't \\ & \text{--- Induction on } \text{ntree}(A) \text{ to prove an equation} \end{aligned}$$
  
**proof** –  
**case** *rule-context*  
**assume**  $t \in \text{ntree}(A)$   
**thus** *?thesis*  
**apply** *induct*  
**apply** (*assumption* | *rule rule-context*) +  
**apply** (*insert rule-context*)  
**apply** (*rule fun-extension*)  
**apply** (*assumption* | *rule comp-fun*) +  
**apply** (*simp add: comp-fun-apply*)  
**done**  
**qed**

Lemmas to justify using *Ntree* in other recursive type definitions.

**lemma** *ntree-mono*:  $A \subseteq B ==> \text{ntree}(A) \subseteq \text{ntree}(B)$   
**apply** (*unfold ntree.defs*)  
**apply** (*rule lfp-mono*)  
**apply** (*rule ntree.bnd-mono*) +  
**apply** (*assumption* | *rule univ-mono basic-monos*) +  
**done**

**lemma** *ntree-univ*:  $\text{ntree}(\text{univ}(A)) \subseteq \text{univ}(A)$   
 — Easily provable by induction also  
**apply** (*unfold ntree.defs ntree.con-defs*)  
**apply** (*rule lfp-lowerbound*)  
**apply** (*rule-tac* [2] *A-subset-univ [THEN univ-mono]*)  
**apply** (*blast intro: Pair-in-univ nat-fun-univ [THEN subsetD]*)  
**done**

**lemma** *ntree-subset-univ*:  $A \subseteq \text{univ}(B) ==> \text{ntree}(A) \subseteq \text{univ}(B)$   
**by** (*rule subset-trans [OF ntree-mono ntree-univ]*)

*ntree* recursion.

**lemma** *ntree-rec-Branch*:  

$$\text{function}(h) ==>$$

$$\text{ntree-rec}(b, \text{Branch}(x,h)) = b(x, h, \lambda i \in \text{domain}(h). \text{ntree-rec}(b, h'i))$$
**apply** (*rule ntree-rec-def [THEN def-Vrecursor, THEN trans]*)  
**apply** (*simp add: ntree.con-defs rank-pair2 [THEN [2] lt-trans] rank-apply*)  
**done**

**lemma** *ntree-copy-Branch* [*simp*]:

```

function(h) ==>
  ntree-copy (Branch(x, h)) = Branch(x,  $\lambda i \in \text{domain}(h). \text{ntree-copy } (h'i)$ )
by (simp add: ntree-copy-def ntree-rec-Branch)

```

```

lemma ntree-copy-is-ident:  $z \in \text{ntree}(A) \implies \text{ntree-copy}(z) = z$ 
  apply (induct-tac z)
  apply (auto simp add: domain-of-fun Pi-Collect-iff fun-is-function)
done

```

*maptree*

```

lemma maptree-unfold:  $\text{maptree}(A) = A \times (\text{maptree}(A) -||> \text{maptree}(A))$ 
  by (fast intro!: maptree.intros [unfolded maptree.con-defs]
    elim: maptree.cases [unfolded maptree.con-defs])

```

```

lemma maptree-induct [induct set: maptree]:
  [|  $t \in \text{maptree}(A)$ ;
    !! $x \ n \ h. [| x \in A; h \in \text{maptree}(A) -||> \text{maptree}(A)$ ;
                  $\forall y \in \text{field}(h). P(y)$ 
                |] ==>  $P(\text{Sons}(x, h))$ 
  |] ==>  $P(t)$ 
  — A nicer induction rule than the standard one.

```

```

proof —
  case rule-context
  assume  $t \in \text{maptree}(A)$ 
  thus ?thesis
    apply induct
    apply (assumption | rule rule-context)+
    apply (erule Collect-subset [THEN FiniteFun-mono1, THEN subsetD])
    apply (drule FiniteFun.dom-subset [THEN subsetD])
    apply (drule Fin.dom-subset [THEN subsetD])
    apply fast
  done
qed

```

*maptree2*

```

lemma maptree2-unfold:  $\text{maptree2}(A, B) = A \times (B -||> \text{maptree2}(A, B))$ 
  by (fast intro!: maptree2.intros [unfolded maptree2.con-defs]
    elim: maptree2.cases [unfolded maptree2.con-defs])

```

```

lemma maptree2-induct [induct set: maptree2]:
  [|  $t \in \text{maptree2}(A, B)$ ;
    !! $x \ n \ h. [| x \in A; h \in B -||> \text{maptree2}(A, B)$ ;  $\forall y \in \text{range}(h). P(y)$ 
                |] ==>  $P(\text{Sons2}(x, h))$ 
  |] ==>  $P(t)$ 

```

```

proof —
  case rule-context
  assume  $t \in \text{maptree2}(A, B)$ 
  thus ?thesis

```

```

    apply induct
    apply (assumption | rule rule-context)+
    apply (erule FiniteFun-mono [OF subset-refl Collect-subset, THEN subsetD])
    apply (drule FiniteFun.dom-subset [THEN subsetD])
    apply (drule Fin.dom-subset [THEN subsetD])
    apply fast
  done
qed

end

```

## 5 Trees and forests, a mutually recursive type definition

**theory** *Tree-Forest* **imports** *Main* **begin**

### 5.1 Datatype definition

```

consts
  tree :: i => i
  forest :: i => i
  tree-forest :: i => i

datatype tree(A) = Tcons (a ∈ A, f ∈ forest(A))
  and forest(A) = Fnil | Fcons (t ∈ tree(A), f ∈ forest(A))

declare tree-forest.intros [simp, TC]

lemma tree-def: tree(A) == Part(tree-forest(A), Inl)
  by (simp only: tree-forest.defs)

lemma forest-def: forest(A) == Part(tree-forest(A), Inr)
  by (simp only: tree-forest.defs)

tree-forest(A) as the union of tree(A) and forest(A).

lemma tree-subset-TF: tree(A) ⊆ tree-forest(A)
  apply (unfold tree-forest.defs)
  apply (rule Part-subset)
  done

lemma treeI [TC]: x ∈ tree(A) ==> x ∈ tree-forest(A)
  by (rule tree-subset-TF [THEN subsetD])

lemma forest-subset-TF: forest(A) ⊆ tree-forest(A)
  apply (unfold tree-forest.defs)
  apply (rule Part-subset)
  done

```

```

lemma treeI' [TC]:  $x \in \text{forest}(A) \implies x \in \text{tree-forest}(A)$ 
  by (rule forest-subset-TF [THEN subsetD])

lemma TF-equals-Un:  $\text{tree}(A) \cup \text{forest}(A) = \text{tree-forest}(A)$ 
  apply (insert tree-subset-TF forest-subset-TF)
  apply (auto intro!: equalityI tree-forest.intros elim: tree-forest.cases)
  done

lemma
  notes rews = tree-forest.con-defs tree-def forest-def
  shows
    tree-forest-unfold:  $\text{tree-forest}(A) =$ 
       $(A \times \text{forest}(A)) + (\{0\} + \text{tree}(A) \times \text{forest}(A))$ 
    — NOT useful, but interesting ...
  apply (unfold tree-def forest-def)
  apply (fast intro!: tree-forest.intros [unfolded rews, THEN PartD1]
    elim: tree-forest.cases [unfolded rews])
  done

lemma tree-forest-unfold':
  tree-forest(A) =
     $A \times \text{Part}(\text{tree-forest}(A), \lambda w. \text{Inr}(w)) +$ 
     $\{0\} + \text{Part}(\text{tree-forest}(A), \lambda w. \text{Inl}(w)) * \text{Part}(\text{tree-forest}(A), \lambda w. \text{Inr}(w))$ 
  by (rule tree-forest-unfold [unfolded tree-def forest-def])

lemma tree-unfold:  $\text{tree}(A) = \{\text{Inl}(x). x \in A \times \text{forest}(A)\}$ 
  apply (unfold tree-def forest-def)
  apply (rule Part-Inl [THEN subst])
  apply (rule tree-forest-unfold' [THEN subst-context])
  done

lemma forest-unfold:  $\text{forest}(A) = \{\text{Inr}(x). x \in \{0\} + \text{tree}(A) * \text{forest}(A)\}$ 
  apply (unfold tree-def forest-def)
  apply (rule Part-Inr [THEN subst])
  apply (rule tree-forest-unfold' [THEN subst-context])
  done

```

Type checking for recursor: Not needed; possibly interesting?

```

lemma TF-rec-type:
  [|  $z \in \text{tree-forest}(A)$ ;
    !! $x f r$ . [|  $x \in A$ ;  $f \in \text{forest}(A)$ ;  $r \in C(f)$ 
    |]  $\implies b(x,f,r) \in C(Tcons(x,f))$ ;
     $c \in C(Fnil)$ ;
    !! $t f r1 r2$ . [|  $t \in \text{tree}(A)$ ;  $f \in \text{forest}(A)$ ;  $r1 \in C(t)$ ;  $r2 \in C(f)$ 
    |]  $\implies d(t,f,r1,r2) \in C(Fcons(t,f))$ 
  |]  $\implies \text{tree-forest-rec}(b,c,d,z) \in C(z)$ 
  by (induct-tac z) simp-all

```



**lemma** *tree-forest-rec-type*:

```

[] !!x f r. [] x ∈ A; f ∈ forest(A); r ∈ D(f)
[] ==> b(x,f,r) ∈ C(Tcons(x,f));
  c ∈ D(Fnil);
  !!t f r1 r2. [] t ∈ tree(A); f ∈ forest(A); r1 ∈ C(t); r2 ∈ D(f)
[] ==> d(t,f,r1,r2) ∈ D(Fcons(t,f))
[] ==> (∀ t ∈ tree(A). tree-forest-rec(b,c,d,t) ∈ C(t)) ∧
  (∀ f ∈ forest(A). tree-forest-rec(b,c,d,f) ∈ D(f))
— Mutually recursive version.
apply (unfold Ball-def)
apply (rule tree-forest.mutual-induct)
apply simp-all
done

```

## 5.2 Operations

**consts**

```

map :: [i => i, i] => i
size :: i => i
preorder :: i => i
list-of-TF :: i => i
of-list :: i => i
reflect :: i => i

```

**primrec**

```

list-of-TF (Tcons(x,f)) = [Tcons(x,f)]
list-of-TF (Fnil) = []
list-of-TF (Fcons(t,tf)) = Cons (t, list-of-TF(tf))

```

**primrec**

```

of-list([]) = Fnil
of-list(Cons(t,l)) = Fcons(t, of-list(l))

```

**primrec**

```

map (h, Tcons(x,f)) = Tcons(h(x), map(h,f))
map (h, Fnil) = Fnil
map (h, Fcons(t,tf)) = Fcons (map(h, t), map(h, tf))

```

**primrec**

```

size (Tcons(x,f)) = succ(size(f))
size (Fnil) = 0
size (Fcons(t,tf)) = size(t) #+ size(tf)

```

**primrec**

```

preorder (Tcons(x,f)) = Cons(x, preorder(f))
preorder (Fnil) = Nil
preorder (Fcons(t,tf)) = preorder(t) @ preorder(tf)

```

**primrec**

$reflect (Tcons(x,f)) = Tcons(x, reflect(f))$   
 $reflect (Fnil) = Fnil$   
 $reflect (Fcons(t,tf)) =$   
 $of-list (list-of-TF (reflect(tf)) @ Cons(reflect(t), Nil))$

*list-of-TF* and *of-list*.

**lemma** *list-of-TF-type* [TC]:  
 $z \in tree-forest(A) ==> list-of-TF(z) \in list(tree(A))$   
**apply** (erule *tree-forest.induct*)  
**apply** *simp-all*  
**done**

**lemma** *of-list-type* [TC]:  $l \in list(tree(A)) ==> of-list(l) \in forest(A)$   
**apply** (erule *list.induct*)  
**apply** *simp-all*  
**done**

*map*.

**lemma**  
**assumes** *h-type*:  $!!x. x \in A ==> h(x): B$   
**shows** *map-tree-type*:  $t \in tree(A) ==> map(h,t) \in tree(B)$   
**and** *map-forest-type*:  $f \in forest(A) ==> map(h,f) \in forest(B)$   
**apply** (induct rule: *tree-forest.mutual-induct*)  
**apply** (insert *h-type*)  
**apply** *simp-all*  
**done**

*size*.

**lemma** *size-type* [TC]:  $z \in tree-forest(A) ==> size(z) \in nat$   
**apply** (erule *tree-forest.induct*)  
**apply** *simp-all*  
**done**

*preorder*.

**lemma** *preorder-type* [TC]:  $z \in tree-forest(A) ==> preorder(z) \in list(A)$   
**apply** (erule *tree-forest.induct*)  
**apply** *simp-all*  
**done**

Theorems about *list-of-TF* and *of-list*.

**lemma** *forest-induct*:  
 $[| f \in forest(A);$   
 $R(Fnil);$   
 $!!t f. [| t \in tree(A); f \in forest(A); R(f) |] ==> R(Fcons(t,f))$   
 $|] ==> R(f)$   
 — Essentially the same as list induction.

```

apply (erule tree-forest.mutual-induct
  [THEN conjunct2, THEN spec, THEN [2] rev-mp])
apply (rule TrueI)
apply simp
apply simp
done

```

```

lemma forest-iso:  $f \in \text{forest}(A) \implies \text{of-list}(\text{list-of-TF}(f)) = f$ 
apply (erule forest-induct)
apply simp-all
done

```

```

lemma tree-list-iso:  $ts: \text{list}(\text{tree}(A)) \implies \text{list-of-TF}(\text{of-list}(ts)) = ts$ 
apply (erule list.induct)
apply simp-all
done

```

Theorems about *map*.

```

lemma map-ident:  $z \in \text{tree-forest}(A) \implies \text{map}(\lambda u. u, z) = z$ 
apply (erule tree-forest.induct)
apply simp-all
done

```

```

lemma map-compose:
   $z \in \text{tree-forest}(A) \implies \text{map}(h, \text{map}(j, z)) = \text{map}(\lambda u. h(j(u)), z)$ 
apply (erule tree-forest.induct)
apply simp-all
done

```

Theorems about *size*.

```

lemma size-map:  $z \in \text{tree-forest}(A) \implies \text{size}(\text{map}(h, z)) = \text{size}(z)$ 
apply (erule tree-forest.induct)
apply simp-all
done

```

```

lemma size-length:  $z \in \text{tree-forest}(A) \implies \text{size}(z) = \text{length}(\text{preorder}(z))$ 
apply (erule tree-forest.induct)
apply (simp-all add: length-app)
done

```

Theorems about *preorder*.

```

lemma preorder-map:
   $z \in \text{tree-forest}(A) \implies \text{preorder}(\text{map}(h, z)) = \text{List.map}(h, \text{preorder}(z))$ 
apply (erule tree-forest.induct)
apply (simp-all add: map-app-distrib)
done

```

end

## 6 Infinite branching datatype definitions

theory *Brouwer* imports *Main-ZFC* begin

### 6.1 The Brouwer ordinals

consts

*brouwer* :: *i*

datatype  $\subseteq V_{\text{from}}(0, \text{csucc}(\text{nat}))$

*brouwer* = *Zero* | *Suc* (*b* ∈ *brouwer*) | *Lim* (*h* ∈ *nat*  $\rightarrow$  *brouwer*)

monos *Pi-mono*

type-intros *inf-datatype-intros*

lemma *brouwer-unfold*: *brouwer* =  $\{0\}$  + *brouwer* + (*nat*  $\rightarrow$  *brouwer*)

by (fast intro!: *brouwer.intros* [unfolded *brouwer.con-defs*])

elim: *brouwer.cases* [unfolded *brouwer.con-defs*])

lemma *brouwer-induct2*:

[| *b* ∈ *brouwer*;

*P*(*Zero*);

!!*b*. [| *b* ∈ *brouwer*; *P*(*b*) |]  $\Rightarrow$  *P*(*Suc*(*b*));

!!*h*. [| *h* ∈ *nat*  $\rightarrow$  *brouwer*;  $\forall i \in \text{nat}. P(h[i])$

|]  $\Rightarrow$  *P*(*Lim*(*h*))

|]  $\Rightarrow$  *P*(*b*)

— A nicer induction rule than the standard one.

proof —

case *rule-context*

assume *b* ∈ *brouwer*

thus ?thesis

apply *induct*

apply (assumption | rule *rule-context*) +

apply (fast elim: *fun-weaken-type*)

apply (fast dest: *apply-type*)

done

qed

### 6.2 The Martin-Löf wellordering type

consts

*Well* :: [*i*, *i*  $\Rightarrow$  *i*]  $\Rightarrow$  *i*

datatype  $\subseteq V_{\text{from}}(A \cup (\bigcup x \in A. B(x)), \text{csucc}(\text{nat} \cup |\bigcup x \in A. B(x)|))$

— The union with *nat* ensures that the cardinal is infinite.

*Well*(*A*, *B*) = *Sup* (*a* ∈ *A*, *f* ∈ *B*(*a*)  $\rightarrow$  *Well*(*A*, *B*))

monos *Pi-mono*

```

type-intros le-trans [OF UN-upper-cardinal le-nat-Un-cardinal] inf-datatype-intros

lemma Well-unfold:  $Well(A, B) = (\Sigma x \in A. B(x) \rightarrow Well(A, B))$ 
  by (fast intro!: Well.intros [unfolded Well.con-defs]
    elim: Well.cases [unfolded Well.con-defs])

lemma Well-induct2:
  [|  $w \in Well(A, B)$ ;
    !!  $a f. [| a \in A; f \in B(a) \rightarrow Well(A, B); \forall y \in B(a). P(f'y)$ 
      |] ==>  $P(Sup(a, f))$ 
  |] ==>  $P(w)$ 
  — A nicer induction rule than the standard one.
proof —
  case rule-context
  assume  $w \in Well(A, B)$ 
  thus ?thesis
    apply induct
    apply (assumption | rule rule-context) +
    apply (fast elim: fun-weaken-type)
    apply (fast dest: apply-type)
  done
qed

lemma Well-bool-unfold:  $Well(bool, \lambda x. x) = 1 + (1 \rightarrow Well(bool, \lambda x. x))$ 
  — In fact it's isomorphic to nat, but we need a recursion operator
  — for Well to prove this.
  apply (rule Well-unfold [THEN trans])
  apply (simp add: Sigma-bool Pi-empty1 succ-def)
  done

end

```

## 7 The Mutilated Chess Board Problem, formalized inductively

**theory** *Mutil* **imports** *Main* **begin**

Originator is Max Black, according to J A Robinson. Popularized as the Mutilated Checkerboard Problem by J McCarthy.

**consts**

```

  domino :: i
  tiling :: i ==> i

```

**inductive**

```

  domains domino  $\subseteq Pow(nat \times nat)$ 
  intros

```

$horiz: [i \in nat; j \in nat] \implies \{ \langle i, j \rangle, \langle i, succ(j) \rangle \} \in domino$   
 $vertl: [i \in nat; j \in nat] \implies \{ \langle i, j \rangle, \langle succ(i), j \rangle \} \in domino$   
**type-intros** *empty-subsetI cons-subsetI PowI SigmaI nat-succI*

**inductive**

**domains** *tiling(A)  $\subseteq$  Pow(Union(A))*

**intros**

*empty:  $0 \in tiling(A)$*

*Un:  $[a \in A; t \in tiling(A); a \text{ Int } t = 0] \implies a \text{ Un } t \in tiling(A)$*

**type-intros** *empty-subsetI Union-upper Un-least PowI*

**type-elim** *PowD [elim-format]*

**constdefs**

*evnodd ::  $[i, i] \implies i$*

*evnodd(A, b) ==  $\{z \in A. \exists i j. z = \langle i, j \rangle \wedge (i \# + j) \bmod 2 = b\}$*

## 7.1 Basic properties of evnodd

**lemma** *evnodd-iff:  $\langle i, j \rangle: evnodd(A, b) \iff \langle i, j \rangle: A \ \& \ (i \# + j) \bmod 2 = b$*   
**by** (*unfold evnodd-def*) *blast*

**lemma** *evnodd-subset:  $evnodd(A, b) \subseteq A$*

**by** (*unfold evnodd-def*) *blast*

**lemma** *Finite-evnodd:  $Finite(X) \implies Finite(evnodd(X, b))$*

**by** (*rule lepoll-Finite, rule subset-imp-lepoll, rule evnodd-subset*)

**lemma** *evnodd-Un:  $evnodd(A \text{ Un } B, b) = evnodd(A, b) \text{ Un } evnodd(B, b)$*

**by** (*simp add: evnodd-def Collect-Un*)

**lemma** *evnodd-Diff:  $evnodd(A - B, b) = evnodd(A, b) - evnodd(B, b)$*

**by** (*simp add: evnodd-def Collect-Diff*)

**lemma** *evnodd-cons [simp]:*

*evnodd(cons( $\langle i, j \rangle, C$ ), b) =*

*(if  $(i \# + j) \bmod 2 = b$  then cons( $\langle i, j \rangle, evnodd(C, b)$ ) else evnodd(C, b))*

**by** (*simp add: evnodd-def Collect-cons*)

**lemma** *evnodd-0 [simp]:  $evnodd(0, b) = 0$*

**by** (*simp add: evnodd-def*)

## 7.2 Dominoes

**lemma** *domino-Finite:  $d \in domino \implies Finite(d)$*

**by** (*blast intro!: Finite-cons Finite-0 elim: domino.cases*)

**lemma** *domino-singleton:*

*$[d \in domino; b < 2] \implies \exists i' j'. evnodd(d, b) = \{ \langle i', j' \rangle \}$*

**apply** (*erule domino.cases*)

**apply** (*rule-tac [2] k1 = i# + j in mod2-cases [THEN disjE]*)

```

    apply (rule-tac k1 = i#+j in mod2-cases [THEN disjE])
    apply (rule add-type | assumption)+

    apply (auto simp add: mod-succ succ-neq-self dest: ltD)
done

```

### 7.3 Tilings

The union of two disjoint tilings is a tiling

**lemma** *tiling-UnI*:

```

    t ∈ tiling(A) ==> u ∈ tiling(A) ==> t Int u = 0 ==> t Un u ∈ tiling(A)
  apply (induct set: tiling)
  apply (simp add: tiling.intros)
  apply (simp add: Un-assoc subset-empty-iff [THEN iff-sym])
  apply (blast intro: tiling.intros)
done

```

**lemma** *tiling-domino-Finite*:  $t \in \text{tiling}(\text{domino}) \implies \text{Finite}(t)$

```

  apply (induct rule: tiling.induct)
  apply (rule Finite-0)
  apply (blast intro!: Finite-Un intro: domino-Finite)
done

```

**lemma** *tiling-domino-0-1*:  $t \in \text{tiling}(\text{domino}) \implies |\text{evnodd}(t,0)| = |\text{evnodd}(t,1)|$

```

  apply (induct rule: tiling.induct)
  apply (simp add: evnodd-def)
  apply (rule-tac b1 = 0 in domino-singleton [THEN exE])
  prefer 2
  apply simp
  apply assumption
  apply (rule-tac b1 = 1 in domino-singleton [THEN exE])
  prefer 2
  apply simp
  apply assumption
  apply safe
  apply (subgoal-tac ∀ p b. p ∈ evnodd (a,b) --> p ∉ evnodd (t,b))
  apply (simp add: evnodd-Un Un-cons tiling-domino-Finite
    evnodd-subset [THEN subset-Finite] Finite-imp-cardinal-cons)
  apply (blast dest!: evnodd-subset [THEN subsetD] elim: equalityE)
done

```

**lemma** *dominoes-tile-row*:

```

    [| i ∈ nat; n ∈ nat |] ==> {i} * (n #+ n) ∈ tiling(domino)
  apply (induct-tac n)
  apply (simp add: tiling.intros)
  apply (simp add: Un-assoc [symmetric] Sigma-succ2)
  apply (rule tiling.intros)
  prefer 2 apply assumption
  apply (rename-tac n^ )

```

```

apply (subgoal-tac
  {i}*{succ (n'#+n') } Un {i}*{n'#+n'} =
  {<i,n'#+n'>, <i,succ (n'#+n') >})
prefer 2 apply blast
apply (simp add: domino.horiz)
apply (blast elim: mem-irrefl mem-asy)
done

lemma dominoes-tile-matrix:
  [| m ∈ nat; n ∈ nat |] ==> m * (n #+ n) ∈ tiling(domino)
apply (induct-tac m)
apply (simp add: tiling.intros)
apply (simp add: Sigma-succ1)
apply (blast intro: tiling-UnI dominoes-tile-row elim: mem-irrefl)
done

lemma eq-lt-E: [| x=y; x<y |] ==> P
by auto

theorem mutl-not-tiling: [| m ∈ nat; n ∈ nat;
  t = (succ(m)#+succ(m))*(succ(n)#+succ(n));
  t' = t - {<0,0>} - {<succ(m#+m), succ(n#+n)>} |]
  ==> t' ∉ tiling(domino)
apply (rule notI)
apply (drule tiling-domino-0-1)
apply (erule-tac x = |?A| in eq-lt-E)
apply (subgoal-tac t ∈ tiling (domino))
prefer 2
apply (simp only: nat-succI add-type dominoes-tile-matrix)
apply (simp add: evnodd-Diff mod2-add-self mod2-succ-succ
  tiling-domino-0-1 [symmetric])
apply (rule lt-trans)
apply (rule Finite-imp-cardinal-Diff,
  simp add: tiling-domino-Finite Finite-evnodd Finite-Diff,
  simp add: evnodd-iff nat-0-le [THEN ltD] mod2-add-self)+
done

end

```

**theory** *FoldSet* **imports** *Main* **begin**

**consts** *fold-set* :: [*i*, *i*, [*i*,*i*]==>*i*, *i*] ==> *i*

**inductive**

**domains** *fold-set*(*A*, *B*, *f*,*e*) <= *Fin*(*A*)\**B*

**intros**

*emptyI*: *e*∈*B* ==> <0, *e*>∈*fold-set*(*A*, *B*, *f*,*e*)



```

consI: [| x∈A; x ∉ C; <C,y> : fold-set(A, B,f,e); f(x,y):B |]
      ==> <cons(x,C), f(x,y)>∈fold-set(A, B, f, e)
type-intros Fin.intros

constdefs

fold :: [i, [i,i]=>i, i, i] => i (fold[-]'(-,-,-'))
fold[B](f,e, A) == THE x. <A, x>∈fold-set(A, B, f,e)

setsum :: [i=>i, i] => i
setsum(g, C) == if Finite(C) then
  fold[int](%x y. g(x) $+ y, #0, C) else #0

inductive-cases empty-fold-setE: <0, x> : fold-set(A, B, f,e)
inductive-cases cons-fold-setE: <cons(x,C), y> : fold-set(A, B, f,e)

lemma cons-lemma1: [| x∉C; x∉B |] ==> cons(x,B)=cons(x,C) <-> B = C
by (auto elim: equalityE)

lemma cons-lemma2: [| cons(x, B)=cons(y, C); x≠y; x∉B; y∉C |]
  ==> B - {y} = C - {x} & x∈C & y∈B
apply (auto elim: equalityE)
done

lemma fold-set-mono-lemma:
  <C, x> : fold-set(A, B, f, e)
  ==> ALL D. A<=D --> <C, x> : fold-set(D, B, f, e)
apply (erule fold-set.induct)
apply (auto intro: fold-set.intros)
done

lemma fold-set-mono: C<=A ==> fold-set(C, B, f, e) <= fold-set(A, B, f, e)
apply clarify
apply (frule fold-set.dom-subset [THEN subsetD], clarify)
apply (auto dest: fold-set-mono-lemma)
done

lemma fold-set-lemma:
  <C, x>∈fold-set(A, B, f, e) ==> <C, x>∈fold-set(C, B, f, e) & C<=A
apply (erule fold-set.induct)
apply (auto intro!: fold-set.intros intro: fold-set-mono [THEN subsetD])
done

```

```

lemma Diff1-fold-set:
  [| <C-{x},y> : fold-set(A, B, f,e); x∈C; x∈A; f(x,y):B |]
  ==> <C, f(x,y)> : fold-set(A, B, f, e)
apply (frule fold-set.dom-subset [THEN subsetD])
apply (erule cons-Diff [THEN subst], rule fold-set.intros, auto)
done

locale fold-typing =
  fixes A and B and e and f
  assumes ftype [intro,simp]: [|x ∈ A; y ∈ B|] ==> f(x,y) ∈ B
  and etype [intro,simp]: e ∈ B
  and fcomm: [|x ∈ A; y ∈ A; z ∈ B|] ==> f(x, f(y, z))=f(y, f(x, z))

lemma (in fold-typing) Fin-imp-fold-set:
  C∈Fin(A) ==> (EX x. <C, x> : fold-set(A, B, f,e))
apply (erule Fin-induct)
apply (auto dest: fold-set.dom-subset [THEN subsetD]
  intro: fold-set.intros etype ftype)
done

lemma Diff-sing-imp:
  [| C - {b} = D - {a}; a ≠ b; b ∈ C |] ==> C = cons(b,D) - {a}
by (blast elim: equalityE)

lemma (in fold-typing) fold-set-determ-lemma [rule-format]:
  n∈nat
  ==> ALL C. |C|<n -->
    (ALL x. <C, x> : fold-set(A, B, f,e)-->
      (ALL y. <C, y> : fold-set(A, B, f,e) --> y=x))
apply (erule nat-induct)
apply (auto simp add: le-iff)
apply (erule fold-set.cases)
apply (force elim!: empty-fold-setE)
apply (erule fold-set.cases)
apply (force elim!: empty-fold-setE, clarify)

apply (frule-tac a = Ca in fold-set.dom-subset [THEN subsetD, THEN SigmaD1])
apply (frule-tac a = Cb in fold-set.dom-subset [THEN subsetD, THEN SigmaD1])
apply (simp add: Fin-into-Finite [THEN Finite-imp-cardinal-cons])
apply (case-tac x=xb, auto)
apply (simp add: cons-lemma1, blast)

case x ≠ xb
apply (drule cons-lemma2, safe)
apply (frule Diff-sing-imp, assumption+)

* LEVEL 17
apply (subgoal-tac |Ca| le |Cb|)

```

```

prefer 2
apply (rule succ-le-imp-le)
apply (simp add: Fin-into-Finite Finite-imp-succ-cardinal-Diff
               Fin-into-Finite [THEN Finite-imp-cardinal-cons])
apply (rule-tac C1 = Ca - {xb} in Fin-imp-fold-set [THEN exE])
apply (blast intro: Diff-subset [THEN Fin-subset])

* LEVEL 24 *

apply (frule Diff1-fold-set, blast, blast)
apply (blast dest!: ftype fold-set.dom-subset [THEN subsetD])
apply (subgoal-tac ya = f(xb, xa) )
prefer 2 apply (blast del: equalityCE)
apply (subgoal-tac <Cb - {x}, xa> : fold-set(A,B,f,e))
prefer 2 apply simp
apply (subgoal-tac yb = f(x, xa) )
apply (drule-tac [2] C = Cb in Diff1-fold-set, simp-all)
apply (blast intro: fcomm dest!: fold-set.dom-subset [THEN subsetD])
apply (blast intro: ftype dest!: fold-set.dom-subset [THEN subsetD], blast)
done

lemma (in fold-typing) fold-set-determ:
  [| <C, x> ∈ fold-set(A, B, f, e);
    <C, y> ∈ fold-set(A, B, f, e)|] ==> y=x
apply (frule fold-set.dom-subset [THEN subsetD], clarify)
apply (drule Fin-into-Finite)
apply (unfold Finite-def, clarify)
apply (rule-tac n = succ(n) in fold-set-determ-lemma)
apply (auto intro: eqpoll-imp-lepoll [THEN lepoll-cardinal-le])
done

lemma (in fold-typing) fold-equality:
  <C, y> : fold-set(A,B,f,e) ==> fold[B](f,e,C) = y
apply (unfold fold-def)
apply (frule fold-set.dom-subset [THEN subsetD], clarify)
apply (rule the-equality)
apply (rule-tac [2] A=C in fold-typing.fold-set-determ)
apply (force dest: fold-set-lemma)
apply (auto dest: fold-set-lemma)
apply (simp add: fold-typing-def, auto)
apply (auto dest: fold-set-lemma intro: ftype etype fcomm)
done

lemma fold-0 [simp]: e : B ==> fold[B](f,e,0) = e
apply (unfold fold-def)
apply (blast elim!: empty-fold-setE intro: fold-set.intros)
done

```

This result is the right-to-left direction of the subsequent result

```

lemma (in fold-typing) fold-set-imp-cons:
  [| <C, y> : fold-set(C, B, f, e); C : Fin(A); c : A; c ∉ C |]
  ==> <cons(c, C), f(c,y)> : fold-set(cons(c, C), B, f, e)
apply (frule FinD [THEN fold-set-mono, THEN subsetD])
apply assumption
apply (frule fold-set.dom-subset [of A, THEN subsetD])
apply (blast intro!: fold-set.consI intro: fold-set-mono [THEN subsetD])
done

lemma (in fold-typing) fold-cons-lemma [rule-format]:
  [| C : Fin(A); c : A; c ∉ C |]
  ==> <cons(c, C), v> : fold-set(cons(c, C), B, f, e) <->
    (EX y. <C, y> : fold-set(C, B, f, e) & v = f(c, y))
apply auto
prefer 2 apply (blast intro: fold-set-imp-cons)
apply (frule-tac Fin.consI [of c, THEN FinD, THEN fold-set-mono, THEN subsetD], assumption+)
apply (frule-tac fold-set.dom-subset [of A, THEN subsetD])
apply (drule FinD)
apply (rule-tac A1 = cons(c,C) and f1=f and B1=B and C1=C and e1=e
in fold-typing.Fin-imp-fold-set [THEN exE])
apply (blast intro: fold-typing.intro ftype etype fcomm)
apply (blast intro: Fin-subset [of - cons(c,C)] Finite-into-Fin
  dest: Fin-into-Finite)
apply (rule-tac x = x in exI)
apply (auto intro: fold-set.intros)
apply (drule-tac fold-set-lemma [of C], blast)
apply (blast intro!: fold-set.consI
  intro: fold-set-determ fold-set-mono [THEN subsetD]
  dest: fold-set.dom-subset [THEN subsetD])
done

lemma (in fold-typing) fold-cons:
  [| C ∈ Fin(A); c ∈ A; c ∉ C |]
  ==> fold[B](f, e, cons(c, C)) = f(c, fold[B](f, e, C))
apply (unfold fold-def)
apply (simp add: fold-cons-lemma)
apply (rule the-equality, auto)
apply (subgoal-tac [2] <C, y> ∈ fold-set(A, B, f, e))
apply (drule Fin-imp-fold-set)
apply (auto dest: fold-set-lemma simp add: fold-def [symmetric] fold-equality)
apply (blast intro: fold-set-mono [THEN subsetD] dest!: FinD)
done

lemma (in fold-typing) fold-type [simp, TC]:
  C ∈ Fin(A) ==> fold[B](f,e,C):B
apply (erule Fin-induct)
apply (simp-all add: fold-cons ftype etype)
done

```

```

lemma (in fold-typing) fold-commute [rule-format]:
  [|  $C \in \text{Fin}(A)$ ;  $c \in A$  |]
  ==> ( $\forall y \in B. f(c, \text{fold}[B](f, y, C)) = \text{fold}[B](f, f(c, y), C)$ )
apply (erule Fin-induct)
apply (simp-all add: fold-typing.fold-cons [of A B - f]
        fold-typing.fold-type [of A B - f]
        fold-typing-def fcomm)
done

```

```

lemma (in fold-typing) fold-nest-Un-Int:
  [|  $C \in \text{Fin}(A)$ ;  $D \in \text{Fin}(A)$  |]
  ==>  $\text{fold}[B](f, \text{fold}[B](f, e, D), C) =$ 
     $\text{fold}[B](f, \text{fold}[B](f, e, (C \text{ Int } D)), C \text{ Un } D)$ 
apply (erule Fin-induct, auto)
apply (simp add: Un-cons Int-cons-left fold-type fold-commute
        fold-typing.fold-cons [of A - - f]
        fold-typing-def fcomm cons-absorb)
done

```

```

lemma (in fold-typing) fold-nest-Un-disjoint:
  [|  $C \in \text{Fin}(A)$ ;  $D \in \text{Fin}(A)$ ;  $C \text{ Int } D = 0$  |]
  ==>  $\text{fold}[B](f, e, C \text{ Un } D) = \text{fold}[B](f, \text{fold}[B](f, e, D), C)$ 
by (simp add: fold-nest-Un-Int)

```

```

lemma Finite-cons-lemma:  $\text{Finite}(C) ==> C \in \text{Fin}(\text{cons}(c, C))$ 
apply (drule Finite-into-Fin)
apply (blast intro: Fin-mono [THEN subsetD])
done

```

## 7.4 The Operator *setsum*

```

lemma setsum-0 [simp]:  $\text{setsum}(g, 0) = \#0$ 
by (simp add: setsum-def)

```

```

lemma setsum-cons [simp]:
   $\text{Finite}(C) ==>$ 
     $\text{setsum}(g, \text{cons}(c, C)) =$ 
       $(\text{if } c : C \text{ then } \text{setsum}(g, C) \text{ else } g(c) \$+ \text{setsum}(g, C))$ 
apply (auto simp add: setsum-def Finite-cons cons-absorb)
apply (rule-tac A = cons (c, C) in fold-typing.fold-cons)
apply (auto intro: fold-typing.intro Finite-cons-lemma)
done

```

```

lemma setsum-K0:  $\text{setsum}((\%i. \#0), C) = \#0$ 
apply (case-tac Finite (C))
prefer 2 apply (simp add: setsum-def)
apply (erule Finite-induct, auto)
done

```

```

lemma setsum-Un-Int:
  [| Finite(C); Finite(D) |]
  ==> setsum(g, C Un D) $+ setsum(g, C Int D)
  = setsum(g, C) $+ setsum(g, D)
apply (erule Finite-induct)
apply (simp-all add: Int-cons-right cons-absorb Un-cons Int-commute Finite-Un
        Int-lower1 [THEN subset-Finite])
done

```

```

lemma setsum-type [simp,TC]: setsum(g, C):int
apply (case-tac Finite (C))
prefer 2 apply (simp add: setsum-def)
apply (erule Finite-induct, auto)
done

```

```

lemma setsum-Un-disjoint:
  [| Finite(C); Finite(D); C Int D = 0 |]
  ==> setsum(g, C Un D) = setsum(g, C) $+ setsum(g,D)
apply (subst setsum-Un-Int [symmetric])
apply (subgoal-tac [3] Finite (C Un D))
apply (auto intro: Finite-Un)
done

```

```

lemma Finite-RepFun [rule-format (no-asm)]:
  Finite(I) ==> ( $\forall i \in I. \text{Finite}(C(i))$ ) --> Finite(RepFun(I, C))
apply (erule Finite-induct, auto)
done

```

```

lemma setsum-UN-disjoint [rule-format (no-asm)]:
  Finite(I)
  ==> ( $\forall i \in I. \text{Finite}(C(i))$ ) -->
    ( $\forall i \in I. \forall j \in I. i \neq j \rightarrow C(i) \text{ Int } C(j) = 0$ ) -->
    setsum(f,  $\bigcup i \in I. C(i)$ ) = setsum (%i. setsum(f, C(i)), I)
apply (erule Finite-induct, auto)
apply (subgoal-tac  $\forall i \in B. x \neq i$ )
prefer 2 apply blast
apply (subgoal-tac C (x) Int ( $\bigcup i \in B. C (i)$ ) = 0)
prefer 2 apply blast
apply (subgoal-tac Finite ( $\bigcup i \in B. C (i)$ ) & Finite (C (x)) & Finite (B))
apply (simp (no-asm-simp) add: setsum-Un-disjoint)
apply (auto intro: Finite-Union Finite-RepFun)
done

```

```

lemma setsum-addf: setsum(%x. f(x) $+ g(x),C) = setsum(f, C) $+ setsum(g,
  C)
apply (case-tac Finite (C))

```

```

prefer 2 apply (simp add: setsum-def)
apply (erule Finite-induct, auto)
done

```

```

lemma fold-set-cong:
  [| A=A'; B=B'; e=e'; (∀ x∈A'. ∀ y∈B'. f(x,y) = f'(x,y)) |]
  ==> fold-set(A,B,f,e) = fold-set(A',B',f',e')
apply (simp add: fold-set-def)
apply (intro refl iff-refl lfp-cong Collect-cong disj-cong ex-cong, auto)
done

```

```

lemma fold-cong:
  [| B=B'; A=A'; e=e';
    !!x y. [| x∈A'; y∈B'|] ==> f(x,y) = f'(x,y) |] ==>
    fold[B](f,e,A) = fold[B'](f', e', A')
apply (simp add: fold-def)
apply (subst fold-set-cong)
apply (rule-tac [5] refl, simp-all)
done

```

```

lemma setsum-cong:
  [| A=B; !!x. x∈B ==> f(x) = g(x) |] ==>
    setsum(f, A) = setsum(g, B)
by (simp add: setsum-def cong add: fold-cong)

```

```

lemma setsum-Un:
  [| Finite(A); Finite(B) |]
  ==> setsum(f, A Un B) =
    setsum(f, A) $+ setsum(f, B) $- setsum(f, A Int B)
apply (subst setsum-Un-Int [symmetric], auto)
done

```

```

lemma setsum-zneg-or-0 [rule-format (no-asm)]:
  Finite(A) ==> (∀ x∈A. g(x) $<= #0) --> setsum(g, A) $<= #0
apply (erule Finite-induct)
apply (auto intro: zneg-or-0-add-zneg-or-0-imp-zneg-or-0)
done

```

```

lemma setsum-succD-lemma [rule-format]:
  Finite(A)
  ==> ∀ n∈nat. setsum(f,A) = $# succ(n) --> (∃ a∈A. #0 $< f(a))
apply (erule Finite-induct)
apply (auto simp del: int-of-0 int-of-succ simp add: not-zless-iff-zle int-of-0 [symmetric])
apply (subgoal-tac setsum (f, B) $<= #0)
apply simp-all
prefer 2 apply (blast intro: setsum-zneg-or-0)
apply (subgoal-tac $# 1 $<= f (x) $+ setsum (f, B) )

```

```

apply (drule zdiff-zle-iff [THEN iffD2])
apply (subgoal-tac $# 1 $<= $# 1 $- setsum (f,B) )
apply (drule-tac x = $# 1 in zle-trans)
apply (rule-tac [2] j = #1 in zless-zle-trans, auto)
done

```

**lemma** setsum-succD:

```

  [| setsum(f, A) = $# succ(n); n∈nat |] ==> ∃ a∈A. #0 $< f(a)
apply (case-tac Finite (A) )
apply (blast intro: setsum-succD-lemma)
apply (unfold setsum-def)
apply (auto simp del: int-of-0 int-of-succ simp add: int-succ-int-1 [symmetric]
  int-of-0 [symmetric])
done

```

**lemma** g-zpos-imp-setsum-zpos [rule-format]:

```

  Finite(A) ==> (∀ x∈A. #0 $<= g(x)) --> #0 $<= setsum(g, A)
apply (erule Finite-induct)
apply (simp (no-asm))
apply (auto intro: zpos-add-zpos-imp-zpos)
done

```

**lemma** g-zpos-imp-setsum-zpos2 [rule-format]:

```

  [| Finite(A); ∀ x. #0 $<= g(x) |] ==> #0 $<= setsum(g, A)
apply (erule Finite-induct)
apply (auto intro: zpos-add-zpos-imp-zpos)
done

```

**lemma** g-zspos-imp-setsum-zspos [rule-format]:

```

  Finite(A)
  ==> (∀ x∈A. #0 $< g(x)) --> A ≠ 0 --> (#0 $< setsum(g, A))
apply (erule Finite-induct)
apply (auto intro: zspos-add-zspos-imp-zspos)
done

```

**lemma** setsum-Diff [rule-format]:

```

  Finite(A) ==> ∀ a. M(a) = #0 --> setsum(M, A) = setsum(M, A-{a})
apply (erule Finite-induct)
apply (simp-all add: Diff-cons-eq Finite-Diff)
done

```

**ML**

```

⟨⟨
  val fold-set-mono = thm fold-set-mono;
  val Diff1-fold-set = thm Diff1-fold-set;
  val Diff-sing-imp = thm Diff-sing-imp;
  val fold-0 = thm fold-0;
  val setsum-0 = thm setsum-0;
  val setsum-cons = thm setsum-cons;

```



```

val setsum-K0 = thm setsum-K0;
val setsum-Un-Int = thm setsum-Un-Int;
val setsum-type = thm setsum-type;
val setsum-Un-disjoint = thm setsum-Un-disjoint;
val Finite-RepFun = thm Finite-RepFun;
val setsum-UN-disjoint = thm setsum-UN-disjoint;
val setsum-addf = thm setsum-addf;
val fold-set-cong = thm fold-set-cong;
val fold-cong = thm fold-cong;
val setsum-cong = thm setsum-cong;
val setsum-Un = thm setsum-Un;
val setsum-zneg-or-0 = thm setsum-zneg-or-0;
val setsum-succD = thm setsum-succD;
val g-zpos-imp-setsum-zpos = thm g-zpos-imp-setsum-zpos;
val g-zpos-imp-setsum-zpos2 = thm g-zpos-imp-setsum-zpos2;
val g-zspos-imp-setsum-zspos = thm g-zspos-imp-setsum-zspos;
val setsum-Diff = thm setsum-Diff;
>>

```

end

## 8 The accessible part of a relation

**theory** *Acc* **imports** *Main* **begin**

Inductive definition of  $acc(r)$ ; see [?].

**consts**

$acc :: i \Rightarrow i$

**inductive**

**domains**  $acc(r) \subseteq field(r)$

**intros**

*image*:  $[| r - \{\{a\}: Pow(acc(r)); a \in field(r) |] \Rightarrow a \in acc(r)$

**monos** *Pow-mono*

The introduction rule must require  $a \in field(r)$ , otherwise  $acc(r)$  would be a proper class!

The intended introduction rule:

**lemma** *accI*:  $[| !!b. <b,a>:r \Rightarrow b \in acc(r); a \in field(r) |] \Rightarrow a \in acc(r)$   
**by** (*blast intro: acc.intros*)

**lemma** *acc-downward*:  $[| b \in acc(r); <a,b>: r |] \Rightarrow a \in acc(r)$   
**by** (*erule acc.cases blast*)

**lemma** *acc-induct* [*induct set: acc*]:  
 $[| a \in acc(r);$

```

    !!x. [| x ∈ acc(r); ∀ y. <y,x>:r --> P(y) |] ==> P(x)
  [|] ==> P(a)
by (erule acc.induct) (blast intro: acc.intros)

lemma wf-on-acc: wf[acc(r)](r)
apply (rule wf-onI2)
apply (erule acc-induct)
apply fast
done

lemma acc-wfI: field(r) ⊆ acc(r) ⇒ wf(r)
by (erule wf-on-acc [THEN wf-on-subset-A, THEN wf-on-field-imp-wf])

lemma acc-wfD: wf(r) ==> field(r) ⊆ acc(r)
apply (rule subsetI)
apply (erule wf-induct2, assumption)
apply (blast intro: accI)+
done

lemma wf-acc-iff: wf(r) <-> field(r) ⊆ acc(r)
by (rule iffI, erule acc-wfD, erule acc-wfI)

end

```

```

theory Multiset
imports FoldSet Acc
begin

```

```

consts

```

```

  Mult :: i=>i

```

```

translations

```

```

  Mult(A) => A -||> nat-{0}

```

```

constdefs

```

```

  funrestrict :: [i,i] => i
  funrestrict(f,A) == λx ∈ A. f x

```

```

  multiset :: i => o
  multiset(M) == ∃ A. M ∈ A -> nat-{0} & Finite(A)

```

```

  mset-of :: i=>i
  mset-of(M) == domain(M)

```

*munion* ::  $[i, i] \Rightarrow i$  (**infixl** +# 65)  
 $M +\# N == \lambda x \in \text{mset-of}(M) \text{ Un } \text{mset-of}(N).$   
 if  $x \in \text{mset-of}(M)$  Int  $\text{mset-of}(N)$  then  $(M'x) \# + (N'x)$   
 else (if  $x \in \text{mset-of}(M)$  then  $M'x$  else  $N'x$ )

*normalize* ::  $i \Rightarrow i$   
*normalize*( $f$ ) ==  
 if  $(\exists A. f \in A \rightarrow \text{nat} \ \& \ \text{Finite}(A))$  then  
     *funrestrict*( $f, \{x \in \text{mset-of}(f). 0 < f'x\}$ )  
 else 0

*mdiff* ::  $[i, i] \Rightarrow i$  (**infixl** -# 65)  
 $M -\# N == \text{normalize}(\lambda x \in \text{mset-of}(M).$   
     if  $x \in \text{mset-of}(N)$  then  $M'x \# - N'x$  else  $M'x$ )

*msingle* ::  $i \Rightarrow i$  ( $\{\#-\#\}$ )  
 $\{\#a\# \} == \{<a, 1>\}$

*MCollect* ::  $[i, i \Rightarrow o] \Rightarrow i$   
*MCollect*( $M, P$ ) == *funrestrict*( $M, \{x \in \text{mset-of}(M). P(x)\}$ )

*mcount* ::  $[i, i] \Rightarrow i$   
*mcount*( $M, a$ ) == if  $a \in \text{mset-of}(M)$  then  $M'a$  else 0

*msize* ::  $i \Rightarrow i$   
*msize*( $M$ ) == *setsum*(% $a. \$\# \text{mcount}(M, a), \text{mset-of}(M)$ )

#### **syntax**

*melem* ::  $[i, i] \Rightarrow o$  ((-/ :# -) [50, 51] 50)  
 @*MColl* ::  $[pttrn, i, o] \Rightarrow i$  ((1{# - : -./ -#}))

#### **syntax** (*xsymbols*)

@*MColl* ::  $[pttrn, i, o] \Rightarrow i$  ((1{# -  $\in$  -./ -#}))

#### **translations**

$a : \# M == a \in \text{mset-of}(M)$   
 $\{\#x \in M. P\# \} == \text{MCollect}(M, \%x. P)$

#### **constdefs**

*multirel1* ::  $[i, i] \Rightarrow i$   
*multirel1*( $A, r$ ) ==

$\{ \langle M, N \rangle \in \text{Mult}(A) * \text{Mult}(A). \\
\exists a \in A. \exists M0 \in \text{Mult}(A). \exists K \in \text{Mult}(A). \\
N = M0 + \# \{ \# a \# \} \ \& \ M = M0 + \# K \ \& \ (\forall b \in \text{mset-of}(K). \langle b, a \rangle \in r) \}$

$\text{multirel} :: [i, i] \Rightarrow i$   
 $\text{multirel}(A, r) == \text{multirel1}(A, r) \hat{+}$

$\text{omultiset} :: i \Rightarrow o$   
 $\text{omultiset}(M) == \exists i. \text{Ord}(i) \ \& \ M \in \text{Mult}(\text{field}(\text{Memrel}(i)))$

$\text{mless} :: [i, i] \Rightarrow o \ (\text{infixl} \ <\# \ 50)$   
 $M \ <\# \ N == \exists i. \text{Ord}(i) \ \& \ \langle M, N \rangle \in \text{multirel}(\text{field}(\text{Memrel}(i)), \text{Memrel}(i))$

$\text{mle} :: [i, i] \Rightarrow o \ (\text{infixl} \ <\# = \ 50)$   
 $M \ <\# = \ N == (\text{omultiset}(M) \ \& \ M = N) \mid M \ <\# \ N$

## 8.1 Properties of the original "restrict" from ZF.thy

**lemma** *funrestrict-subset*:  $[f \in \text{Pi}(C, B); \ A \subseteq C] \Rightarrow \text{funrestrict}(f, A) \subseteq f$   
**by** (*auto simp add: funrestrict-def lam-def intro: apply-Pair*)

**lemma** *funrestrict-type*:  
 $[!!x. x \in A \Rightarrow f'x \in B(x)] \Rightarrow \text{funrestrict}(f, A) \in \text{Pi}(A, B)$   
**by** (*simp add: funrestrict-def lam-type*)

**lemma** *funrestrict-type2*:  $[f \in \text{Pi}(C, B); \ A \subseteq C] \Rightarrow \text{funrestrict}(f, A) \in \text{Pi}(A, B)$   
**by** (*blast intro: apply-type funrestrict-type*)

**lemma** *funrestrict [simp]*:  $a \in A \Rightarrow \text{funrestrict}(f, A) \ 'a = f'a$   
**by** (*simp add: funrestrict-def*)

**lemma** *funrestrict-empty [simp]*:  $\text{funrestrict}(f, 0) = 0$   
**by** (*simp add: funrestrict-def*)

**lemma** *domain-funrestrict [simp]*:  $\text{domain}(\text{funrestrict}(f, C)) = C$   
**by** (*auto simp add: funrestrict-def lam-def*)

**lemma** *fun-cons-funrestrict-eq*:  
 $f \in \text{cons}(a, b) \rightarrow B \Rightarrow f = \text{cons}(\langle a, f \ 'a \rangle, \text{funrestrict}(f, b))$   
**apply** (*rule equalityI*)  
**prefer** 2 **apply** (*blast intro: apply-Pair funrestrict-subset [THEN subsetD]*)  
**apply** (*auto dest!: Pi-memberD simp add: funrestrict-def lam-def*)  
**done**

**declare** *domain-of-fun [simp]*  
**declare** *domainE [rule del]*

A useful simplification rule

```

lemma multiset-fun-iff:
  ( $f \in A \rightarrow \text{nat} - \{0\}$ )  $\leftrightarrow$   $f \in A \rightarrow \text{nat} \& (\forall a \in A. f'a \in \text{nat} \& 0 < f'a)$ 
apply safe
apply (rule-tac [4]  $B1 = \text{range } (f)$  in Pi-mono [THEN subsetD])
apply (auto intro!: Ord-0-lt
  dest: apply-type Diff-subset [THEN Pi-mono, THEN subsetD]
  simp add: range-of-fun apply-iff)
done

lemma multiset-into-Mult: [ $\text{multiset}(M); \text{mset-of}(M) \subseteq A$ ]  $\implies M \in \text{Mult}(A)$ 
apply (simp add: multiset-def)
apply (auto simp add: multiset-fun-iff mset-of-def)
apply (rule-tac  $B1 = \text{nat} - \{0\}$  in FiniteFun-mono [THEN subsetD], simp-all)
apply (rule Finite-into-Fin [THEN [2] Fin-mono [THEN subsetD], THEN fun-FiniteFunI])
apply (simp-all (no-asm-simp) add: multiset-fun-iff)
done

lemma Mult-into-multiset:  $M \in \text{Mult}(A) \implies \text{multiset}(M) \& \text{mset-of}(M) \subseteq A$ 
apply (simp add: multiset-def mset-of-def)
apply (frule FiniteFun-is-fun)
apply (drule FiniteFun-domain-Fin)
apply (frule FinD, clarify)
apply (rule-tac  $x = \text{domain } (M)$  in exI)
apply (blast intro: Fin-into-Finite)
done

lemma Mult-iff-multiset:  $M \in \text{Mult}(A) \leftrightarrow \text{multiset}(M) \& \text{mset-of}(M) \subseteq A$ 
by (blast dest: Mult-into-multiset intro: multiset-into-Mult)

lemma multiset-iff-Mult-mset-of:  $\text{multiset}(M) \leftrightarrow M \in \text{Mult}(\text{mset-of}(M))$ 
by (auto simp add: Mult-iff-multiset)

The multiset operator

lemma multiset-0 [simp]:  $\text{multiset}(0)$ 
by (auto intro: FiniteFun.intros simp add: multiset-iff-Mult-mset-of)

The mset-of operator

lemma multiset-set-of-Finite [simp]:  $\text{multiset}(M) \implies \text{Finite}(\text{mset-of}(M))$ 
by (simp add: multiset-def mset-of-def, auto)

lemma mset-of-0 [iff]:  $\text{mset-of}(0) = 0$ 
by (simp add: mset-of-def)

lemma mset-is-0-iff:  $\text{multiset}(M) \implies \text{mset-of}(M) = 0 \leftrightarrow M = 0$ 
by (auto simp add: multiset-def mset-of-def)

lemma mset-of-single [iff]:  $\text{mset-of}(\{\#a\}) = \{a\}$ 
by (simp add: msingle-def mset-of-def)

```

**lemma** *mset-of-union* [iff]:  $mset-of(M +\# N) = mset-of(M) \cup mset-of(N)$   
**by** (*simp add: mset-of-def munion-def*)

**lemma** *mset-of-diff* [simp]:  $mset-of(M) \subseteq A \implies mset-of(M -\# N) \subseteq A$   
**by** (*auto simp add: mdiff-def multiset-def normalize-def mset-of-def*)

**lemma** *msingle-not-0* [iff]:  $\{\#a\} \neq 0 \ \& \ 0 \neq \{\#a\}$   
**by** (*simp add: msingle-def*)

**lemma** *msingle-eq-iff* [iff]:  $(\{\#a\} = \{\#b\}) \iff (a = b)$   
**by** (*simp add: msingle-def*)

**lemma** *msingle-multiset* [iff, TC]:  $multiset(\{\#a\})$   
**apply** (*simp add: multiset-def msingle-def*)  
**apply** (*rule-tac x = \{a\} in exI*)  
**apply** (*auto intro: Finite-cons Finite-0 fun-extend3*)  
**done**

**lemmas** *Collect-Finite = Collect-subset* [THEN *subset-Finite, standard*]

**lemma** *normalize-idem* [simp]:  $normalize(normalize(f)) = normalize(f)$   
**apply** (*simp add: normalize-def funrestrict-def mset-of-def*)  
**apply** (*case-tac \exists A. f \in A \rightarrow nat \& Finite (A) )*)  
**apply** *clarify*  
**apply** (*drule-tac x = \{x \in domain (f) . 0 < f ' x\} in spec*)  
**apply** *auto*  
**apply** (*auto intro!: lam-type simp add: Collect-Finite*)  
**done**

**lemma** *normalize-multiset* [simp]:  $multiset(M) \implies normalize(M) = M$   
**by** (*auto simp add: multiset-def normalize-def mset-of-def funrestrict-def multiset-fun-iff*)

**lemma** *multiset-normalize* [simp]:  $multiset(normalize(f))$   
**apply** (*simp add: normalize-def*)  
**apply** (*simp add: normalize-def mset-of-def multiset-def, auto*)  
**apply** (*rule-tac x = \{x \in A . 0 < f ' x\} in exI*)  
**apply** (*auto intro: Collect-subset [THEN subset-Finite] funrestrict-type*)  
**done**

**lemma** *munion-multiset* [simp]:  $[\![ multiset(M); multiset(N) ]\!] \implies multiset(M \cup N)$

```

+# N)
apply (unfold multiset-def munion-def mset-of-def, auto)
apply (rule-tac x = A Un Aa in exI)
apply (auto intro!: lam-type intro: Finite-Un simp add: multiset-fun-iff zero-less-add)
done

```

```

lemma mdiff-multiset [simp]: multiset(M -# N)
by (simp add: mdiff-def)

```

```

lemma munion-0 [simp]: multiset(M) ==> M +# 0 = M & 0 +# M = M
apply (simp add: multiset-def)
apply (auto simp add: munion-def mset-of-def)
done

```

```

lemma munion-commute: M +# N = N +# M
by (auto intro!: lam-cong simp add: munion-def)

```

```

lemma munion-assoc: (M +# N) +# K = M +# (N +# K)
apply (unfold munion-def mset-of-def)
apply (rule lam-cong, auto)
done

```

```

lemma munion-lcommute: M +# (N +# K) = N +# (M +# K)
apply (unfold munion-def mset-of-def)
apply (rule lam-cong, auto)
done

```

```

lemmas munion-ac = munion-commute munion-assoc munion-lcommute

```

```

lemma mdiff-self-eq-0 [simp]: M -# M = 0
by (simp add: mdiff-def normalize-def mset-of-def)

```

```

lemma mdiff-0 [simp]: 0 -# M = 0
by (simp add: mdiff-def normalize-def)

```

```

lemma mdiff-0-right [simp]: multiset(M) ==> M -# 0 = M
by (auto simp add: multiset-def mdiff-def normalize-def multiset-fun-iff mset-of-def
funrestrict-def)

```

```

lemma mdiff-union-inverse2 [simp]: multiset(M) ==> M +# {#a#} -# {#a#}
= M

```

```

apply (unfold multiset-def munion-def mdiff-def msingle-def normalize-def mset-of-def)
apply (auto cong add: if-cong simp add: ltD multiset-fun-iff funrestrict-def subset-Un-iff2
[THEN iffD1])
prefer 2 apply (force intro!: lam-type)
apply (subgoal-tac [2] { $x \in A \cup \{a\} \cdot x \neq a \wedge x \in A$ } =  $A$ )
apply (rule fun-extension, auto)
apply (drule-tac  $x = A \text{ Un } \{a\}$  in spec)
apply (simp add: Finite-Un)
apply (force intro!: lam-type)
done

```

```

lemma mcount-type [simp,TC]:  $\text{multiset}(M) \implies \text{mcount}(M, a) \in \text{nat}$ 
by (auto simp add: multiset-def mcount-def mset-of-def multiset-fun-iff)

```

```

lemma mcount-0 [simp]:  $\text{mcount}(0, a) = 0$ 
by (simp add: mcount-def)

```

```

lemma mcount-single [simp]:  $\text{mcount}(\{\#b\}, a) = (\text{if } a=b \text{ then } 1 \text{ else } 0)$ 
by (simp add: mcount-def mset-of-def msingle-def)

```

```

lemma mcount-union [simp]: [ $\text{multiset}(M); \text{multiset}(N)$ ]
 $\implies \text{mcount}(M \text{ } \# \text{ } N, a) = \text{mcount}(M, a) \text{ } \# \text{ } \text{mcount}(N, a)$ 
apply (auto simp add: multiset-def multiset-fun-iff mcount-def munion-def mset-of-def)
done

```

```

lemma mcount-diff [simp]:
 $\text{multiset}(M) \implies \text{mcount}(M \text{ } - \# \text{ } N, a) = \text{mcount}(M, a) \text{ } \# - \text{mcount}(N, a)$ 
apply (simp add: multiset-def)
apply (auto dest!: not-lt-imp-le
simp add: mdiff-def multiset-fun-iff mcount-def normalize-def mset-of-def)
apply (force intro!: lam-type)
apply (force intro!: lam-type)
done

```

```

lemma mcount-elem: [ $\text{multiset}(M); a \in \text{mset-of}(M)$ ]  $\implies 0 < \text{mcount}(M, a)$ 
apply (simp add: multiset-def, clarify)
apply (simp add: mcount-def mset-of-def)
apply (simp add: multiset-fun-iff)
done

```

```

lemma msize-0 [simp]:  $\text{msize}(0) = \#0$ 
by (simp add: msize-def)

```

```

lemma msize-single [simp]:  $\text{msize}(\{\#a\}) = \#1$ 
by (simp add: msize-def)

```



```

lemma msize-type [simp,TC]: msize(M) ∈ int
by (simp add: msize-def)

lemma msize-zpositive: multiset(M) ==> #0 ≤ msize(M)
by (auto simp add: msize-def intro: g-zpos-imp-setsum-zpos)

lemma msize-int-of-nat: multiset(M) ==> ∃ n ∈ nat. msize(M) = # n
apply (rule not-zneg-int-of)
apply (simp-all (no-asm-simp) add: msize-type [THEN znegative-iff-zless-0] not-zless-iff-zle msize-zpositive)
done

lemma not-empty-multiset-imp-exist:
  [| M ≠ 0; multiset(M) |] ==> ∃ a ∈ mset-of(M). 0 < mcount(M, a)
apply (simp add: multiset-def)
apply (erule not-emptyE)
apply (auto simp add: mset-of-def mcount-def multiset-fun-iff)
apply (blast dest!: fun-is-rel)
done

lemma msize-eq-0-iff: multiset(M) ==> msize(M) = #0 <-> M = 0
apply (simp add: msize-def, auto)
apply (rule-tac Pa = setsum (?u, ?v) ≠ #0 in swap)
apply blast
apply (drule not-empty-multiset-imp-exist, assumption, clarify)
apply (subgoal-tac Finite (mset-of (M) - {a}))
  prefer 2 apply (simp add: Finite-Diff)
apply (subgoal-tac setsum (%x. # mcount (M, x), cons (a, mset-of (M) - {a})) = #0)
  prefer 2 apply (simp add: cons-Diff, simp)
apply (subgoal-tac #0 ≤ setsum (%x. # mcount (M, x), mset-of (M) - {a}))
)
apply (rule-tac [2] g-zpos-imp-setsum-zpos)
apply (auto simp add: Finite-Diff not-zless-iff-zle [THEN iff-sym] znegative-iff-zless-0 [THEN iff-sym])
apply (rule not-zneg-int-of [THEN bexE])
apply (auto simp del: int-of-0 simp add: int-of-add [symmetric] int-of-0 [symmetric])
done

lemma setsum-mcount-Int:
  Finite(A) ==> setsum(%a. # mcount(N, a), A Int mset-of(N))
    = setsum(%a. # mcount(N, a), A)
apply (erule Finite-induct, auto)
apply (subgoal-tac Finite (B Int mset-of (N)))
prefer 2 apply (blast intro: subset-Finite)
apply (auto simp add: mcount-def Int-cons-left)
done

lemma msize-union [simp]:

```

```

  [| multiset(M); multiset(N) |] ==> msize(M +# N) = msize(M) $+ msize(N)
apply (simp add: msize-def setsum-Un setsum-addf int-of-add setsum-mcount-Int)
apply (subst Int-commute)
apply (simp add: setsum-mcount-Int)
done

```

```

lemma msize-eq-succ-imp-lem: [| msize(M) = $# succ(n); n ∈ nat |] ==> ∃ a. a
  ∈ mset-of(M)
apply (unfold msize-def)
apply (blast dest: setsum-succD)
done

```

```

lemma equality-lemma:
  [| multiset(M); multiset(N); ∀ a. mcount(M, a) = mcount(N, a) |]
  ==> mset-of(M) = mset-of(N)
apply (simp add: multiset-def)
apply (rule sym, rule equalityI)
apply (auto simp add: multiset-fun-iff mcount-def mset-of-def)
apply (drule-tac [!] x=x in spec)
apply (case-tac [2] x ∈ Aa, case-tac x ∈ A, auto)
done

```

```

lemma multiset-equality:
  [| multiset(M); multiset(N) |] ==> M = N <-> (∀ a. mcount(M, a) = mcount(N,
  a))
apply auto
apply (subgoal-tac mset-of (M) = mset-of (N) )
prefer 2 apply (blast intro: equality-lemma)
apply (simp add: multiset-def mset-of-def)
apply (auto simp add: multiset-fun-iff)
apply (rule fun-extension)
apply (blast, blast)
apply (drule-tac x = x in spec)
apply (auto simp add: mcount-def mset-of-def)
done

```

```

lemma munion-eq-0-iff [simp]: [| multiset(M); multiset(N) |] ==> (M +# N = 0)
  <-> (M = 0 & N = 0)
by (auto simp add: multiset-equality)

```

```

lemma empty-eq-munion-iff [simp]: [| multiset(M); multiset(N) |] ==> (0 = M +#
  N) <-> (M = 0 & N = 0)
apply (rule iffI, drule sym)
apply (simp-all add: multiset-equality)
done

```

**lemma** *munion-right-cancel* [*simp*]:  

$$[[\text{multiset}(M); \text{multiset}(N); \text{multiset}(K)]] \implies (M + \# K = N + \# K) \iff (M = N)$$
  
**by** (*auto simp add: multiset-equality*)

**lemma** *munion-left-cancel* [*simp*]:  

$$[[\text{multiset}(K); \text{multiset}(M); \text{multiset}(N)]] \implies (K + \# M = K + \# N) \iff (M = N)$$
  
**by** (*auto simp add: multiset-equality*)

**lemma** *nat-add-eq-1-cases*:  $[[m \in \text{nat}; n \in \text{nat}]] \implies (m \# + n = 1) \iff (m=1 \ \& \ n=0) \mid (m=0 \ \& \ n=1)$   
**by** (*induct-tac n, auto*)

**lemma** *munion-is-single*:  

$$[[\text{multiset}(M); \text{multiset}(N)]] \implies (M + \# N = \{\#a\}) \iff (M = \{\#a\} \ \& \ N = 0) \mid (M = 0 \ \& \ N = \{\#a\})$$
  
**apply** (*simp (no-asm-simp) add: multiset-equality*)  
**apply** *safe*  
**apply** *simp-all*  
**apply** (*case-tac aa=a*)  
**apply** (*drule-tac [2] x = aa in spec*)  
**apply** (*drule-tac x = a in spec*)  
**apply** (*simp add: nat-add-eq-1-cases, simp*)  
**apply** (*case-tac aaa=aa, simp*)  
**apply** (*drule-tac x = aa in spec*)  
**apply** (*simp add: nat-add-eq-1-cases*)  
**apply** (*case-tac aaa=a*)  
**apply** (*drule-tac [4] x = aa in spec*)  
**apply** (*drule-tac [3] x = a in spec*)  
**apply** (*drule-tac [2] x = aaa in spec*)  
**apply** (*drule-tac x = aa in spec*)  
**apply** (*simp-all add: nat-add-eq-1-cases*)  
**done**

**lemma** *msingle-is-union*:  $[[\text{multiset}(M); \text{multiset}(N)]] \implies (\{\#a\} = M + \# N) \iff (\{\#a\} = M \ \& \ N=0 \mid M = 0 \ \& \ \{\#a\} = N)$   
**apply** (*subgoal-tac (\{\#a\} = M + \# N) \iff (M + \# N = \{\#a\})*)  
**apply** (*simp (no-asm-simp) add: munion-is-single*)  
**apply** *blast*  
**apply** (*blast dest: sym*)  
**done**

**lemma** *setsum-decr*:  

$$\text{Finite}(A)$$

```

==> (∀ M. multiset(M) -->
(∀ a ∈ mset-of(M). setsum(%z. $# mcount(M(a:=M'a #- 1), z), A) =
(if a ∈ A then setsum(%z. $# mcount(M, z), A) #- #1
else setsum(%z. $# mcount(M, z), A))))
apply (unfold multiset-def)
apply (erule Finite-induct)
apply (auto simp add: multiset-fun-iff)
apply (unfold mset-of-def mcount-def)
apply (case-tac x ∈ A, auto)
apply (subgoal-tac $# M ' x $+ #-1 = $# M ' x $- $# 1)
apply (erule ssubst)
apply (rule int-of-diff, auto)
done

```

```

lemma setsum-decr2:
  Finite(A)
  ==> ∀ M. multiset(M) --> (∀ a ∈ mset-of(M).
    setsum(%x. $# mcount(funrestrict(M, mset-of(M)-{a}), x), A) =
    (if a ∈ A then setsum(%x. $# mcount(M, x), A) #- $# M'a
    else setsum(%x. $# mcount(M, x), A)))
apply (simp add: multiset-def)
apply (erule Finite-induct)
apply (auto simp add: multiset-fun-iff mcount-def mset-of-def)
done

```

```

lemma setsum-decr3: [| Finite(A); multiset(M); a ∈ mset-of(M) |]
  ==> setsum(%x. $# mcount(funrestrict(M, mset-of(M)-{a}), x), A - {a})
  =
    (if a ∈ A then setsum(%x. $# mcount(M, x), A) #- $# M'a
    else setsum(%x. $# mcount(M, x), A))
apply (subgoal-tac setsum (%x. $# mcount (funrestrict (M, mset-of (M) -{a}),x),A-{a})
  = setsum (%x. $# mcount (funrestrict (M, mset-of (M) -{a}),x),A) )
apply (rule-tac [2] setsum-Diff [symmetric])
apply (rule sym, rule ssubst, blast)
apply (rule sym, drule setsum-decr2, auto)
apply (simp add: mcount-def mset-of-def)
done

```

```

lemma nat-le-1-cases: n ∈ nat ==> n le 1 <-> (n=0 | n=1)
by (auto elim: natE)

```

```

lemma succ-pred-eq-self: [| 0 < n; n ∈ nat |] ==> succ(n #- 1) = n
apply (subgoal-tac 1 le n)
apply (drule add-diff-inverse2, auto)
done

```

Specialized for use in the proof below.

```

lemma multiset-funrestrict:
  [| ∀ a ∈ A. M ' a ∈ nat ∧ 0 < M ' a; Finite(A) |]

```

```

    ==> multiset(funrestrict(M, A - {a}))
  apply (simp add: multiset-def multiset-fun-iff)
  apply (rule-tac x=A-{a} in exI)
  apply (auto intro: Finite-Diff funrestrict-type)
done

lemma multiset-induct-aux:
  assumes prem1: !!M a. [| multiset(M); a∉mset-of(M); P(M) |] ==> P(cons(<a,
1>, M))
  and prem2: !!M b. [| multiset(M); b ∈ mset-of(M); P(M) |] ==> P(M(b:=
M'b #+ 1))
  shows
    [| n ∈ nat; P(0) |]
    ==> (∀ M. multiset(M) -->
      (setsum(%x. $# mcount(M, x), {x ∈ mset-of(M). 0 < M'x}) = $# n) -->
      P(M))
  apply (erule nat-induct, clarify)
  apply (frule msize-eq-0-iff)
  apply (auto simp add: mset-of-def multiset-def multiset-fun-iff msize-def)
  apply (subgoal-tac setsum (%x. $# mcount (M, x), A) = $# succ (x) )
  apply (drule setsum-succD, auto)
  apply (case-tac 1 <M'a)
  apply (drule-tac [2] not-lt-imp-le)
  apply (simp-all add: nat-le-1-cases)
  apply (subgoal-tac M = (M (a:=M'a #- 1)) (a:= (M (a:=M'a #- 1))'a #+ 1)
)
  apply (rule-tac [2] A = A and B = %x. nat and D = %x. nat in fun-extension)
  apply (rule-tac [3] update-type)+
  apply (simp-all (no-asm-simp))
  apply (rule-tac [2] impI)
  apply (rule-tac [2] succ-pred-eq-self [symmetric])
  apply (simp-all (no-asm-simp))
  apply (rule subst, rule sym, blast, rule prem2)
  apply (simp (no-asm) add: multiset-def multiset-fun-iff)
  apply (rule-tac x = A in exI)
  apply (force intro: update-type)
  apply (simp (no-asm-simp) add: mset-of-def mcount-def)
  apply (drule-tac x = M (a := M 'a #- 1) in spec)
  apply (drule mp, drule-tac [2] mp, simp-all)
  apply (rule-tac x = A in exI)
  apply (auto intro: update-type)
  apply (subgoal-tac Finite ({x ∈ cons (a, A) . x≠a --> 0<M'x}) )
  prefer 2 apply (blast intro: Collect-subset [THEN subset-Finite] Finite-cons)
  apply (drule-tac A = {x ∈ cons (a, A) . x≠a --> 0<M'x} in setsum-decr)
  apply (drule-tac x = M in spec)
  apply (subgoal-tac multiset (M) )
  prefer 2
  apply (simp add: multiset-def multiset-fun-iff)
  apply (rule-tac x = A in exI, force)

```

```

apply (simp-all add: mset-of-def)
apply (drule-tac psi =  $\forall x \in A. ?u(x)$  in asm-rl)
apply (drule-tac x = a in bspec)
apply (simp (no-asm-simp))
apply (subgoal-tac cons (a, A) = A)
prefer 2 apply blast
apply simp
apply (subgoal-tac M=cons ( $\langle a, M'a \rangle$ , funrestrict (M,  $A - \{a\}$ )))
prefer 2
apply (rule fun-cons-funrestrict-eq)
apply (subgoal-tac cons (a,  $A - \{a\}$ ) = A)
apply force
apply force
apply (rule-tac a = cons ( $\langle a, 1 \rangle$ , funrestrict (M,  $A - \{a\}$ )) in ssubst)
apply simp
apply (frule multiset-funrestrict, assumption)
apply (rule prem1, assumption)
apply (simp add: mset-of-def)
apply (drule-tac x = funrestrict (M,  $A - \{a\}$ ) in spec)
apply (drule mp)
apply (rule-tac x =  $A - \{a\}$  in exI)
apply (auto intro: Finite-Diff funrestrict-type simp add: funrestrict)
apply (frule-tac A = A and M = M and a = a in setsum-decr3)
apply (simp (no-asm-simp) add: multiset-def multiset-fun-iff)
apply blast
apply (simp (no-asm-simp) add: mset-of-def)
apply (drule-tac b = if ?u then ?v else ?w in sym, simp-all)
apply (subgoal-tac  $\{x \in A - \{a\} . 0 < \text{funrestrict}(M, A - \{x\}) ' x\} = A - \{a\}$ )
apply (auto intro!: setsum-cong simp add: zdiff-eq-iff zadd-commute multiset-def multiset-fun-iff mset-of-def)
done

```

**lemma** *multiset-induct2*:

```

[[ multiset(M); P(0);
  (!!M a. [[ multiset(M); a  $\notin$  mset-of(M); P(M) ]] ==> P(cons( $\langle a, 1 \rangle$ , M)));
  (!!M b. [[ multiset(M); b  $\in$  mset-of(M); P(M) ]] ==> P(M(b := M'b #+ 1)))
]]
==> P(M)
apply (subgoal-tac  $\exists n \in \text{nat. setsum } (\lambda x. \$\# \text{ mcount } (M, x), \{x \in \text{mset-of } (M) . 0 < M ' x\}) = \$\# n$ )
apply (rule-tac [2] not-zneg-int-of)
apply (simp-all (no-asm-simp) add: znegative-iff-zless-0 not-zless-iff-zle)
apply (rule-tac [2] g-zpos-imp-setsum-zpos)
prefer 2 apply (blast intro: multiset-set-of-Finite Collect-subset [THEN subset-Finite])
prefer 2 apply (simp add: multiset-def multiset-fun-iff, clarify)
apply (rule multiset-induct-aux [rule-format], auto)
done

```

```

lemma munion-single-case1:
  [| multiset(M); a ∉ mset-of(M) |] ==> M + # {#a#} = cons(<a, 1>, M)
apply (simp add: multiset-def msingle-def)
apply (auto simp add: munion-def)
apply (unfold mset-of-def, simp)
apply (rule fun-extension, rule lam-type, simp-all)
apply (auto simp add: multiset-fun-iff fun-extend-apply)
apply (drule-tac c = a and b = 1 in fun-extend3)
apply (auto simp add: cons-eq Un-commute [of - {a}])
done

```

```

lemma munion-single-case2:
  [| multiset(M); a ∈ mset-of(M) |] ==> M + # {#a#} = M(a := M'a # + 1)
apply (simp add: multiset-def)
apply (auto simp add: munion-def multiset-fun-iff msingle-def)
apply (unfold mset-of-def, simp)
apply (subgoal-tac A Un {a} = A)
apply (rule fun-extension)
apply (auto dest: domain-type intro: lam-type update-type)
done

```

```

lemma multiset-induct:
  assumes M: multiset(M)
    and P0: P(0)
    and step: !!M a. [| multiset(M); P(M) |] ==> P(M + # {#a#})
  shows P(M)
apply (rule multiset-induct2 [OF M])
apply (simp-all add: P0)
apply (frule-tac [2] a1 = b in munion-single-case2 [symmetric])
apply (frule-tac a1 = a in munion-single-case1 [symmetric])
apply (auto intro: step)
done

```

```

lemma MCollect-multiset [simp]:
  multiset(M) ==> multiset({# x ∈ M. P(x) #})
apply (simp add: MCollect-def multiset-def mset-of-def, clarify)
apply (rule-tac x = {x ∈ A. P (x) } in exI)
apply (auto dest: CollectD1 [THEN [2] apply-type])
    intro: Collect-subset [THEN subset-Finite] funrestrict-type)
done

```

```

lemma mset-of-MCollect [simp]:
  multiset(M) ==> mset-of({# x ∈ M. P(x) #}) ⊆ mset-of(M)
by (auto simp add: mset-of-def MCollect-def multiset-def funrestrict-def)

```

**lemma** *MCollect-mem-iff* [iff]:

$x \in \text{mset-of}(\{\#x \in M. P(x)\# \}) \leftrightarrow x \in \text{mset-of}(M) \ \& \ P(x)$

**by** (simp add: MCollect-def mset-of-def)

**lemma** *mcount-MCollect* [simp]:

$\text{mcount}(\{\#x \in M. P(x)\# \}, a) = (\text{if } P(a) \text{ then } \text{mcount}(M, a) \text{ else } 0)$

**by** (simp add: mcount-def MCollect-def mset-of-def)

**lemma** *multiset-partition*:  $\text{multiset}(M) \implies M = \{\#x \in M. P(x)\# \} + \# \{ \#x \in M. \sim P(x)\# \}$

**by** (simp add: multiset-equality)

**lemma** *natify-elem-is-self* [simp]:

$[\mid \text{multiset}(M); a \in \text{mset-of}(M) \mid] \implies \text{natify}(M'a) = M'a$

**by** (auto simp add: multiset-def mset-of-def multiset-fun-iff)

**lemma** *munion-eq-conv-diff*:  $[\mid \text{multiset}(M); \text{multiset}(N) \mid]$

$\implies (M + \# \{\#a\# \} = N + \# \{\#b\# \}) \leftrightarrow (M = N \ \& \ a = b \mid$

$M = N - \# \{\#a\# \} + \# \{\#b\# \} \ \& \ N = M - \# \{\#b\# \} + \# \{\#a\# \})$

**apply** (simp del: mcount-single add: multiset-equality)

**apply** (rule iffI, erule-tac [2] disjE, erule-tac [3] conjE)

**apply** (case-tac a=b, auto)

**apply** (drule-tac  $x = a$  in spec)

**apply** (drule-tac [2]  $x = b$  in spec)

**apply** (drule-tac [3]  $x = aa$  in spec)

**apply** (drule-tac [4]  $x = a$  in spec, auto)

**apply** (subgoal-tac [!] mcount (N,a) :nat)

**apply** (erule-tac [3] natE, erule natE, auto)

**done**

**lemma** *melem-diff-single*:

$\text{multiset}(M) \implies$

$k \in \text{mset-of}(M - \# \{\#a\# \}) \leftrightarrow (k=a \ \& \ 1 < \text{mcount}(M, a)) \mid (k \neq a \ \& \ k \in \text{mset-of}(M))$

**apply** (simp add: multiset-def)

**apply** (simp add: normalize-def mset-of-def msingle-def mdiff-def mcount-def)

**apply** (auto dest: domain-type intro: zero-less-diff [THEN iffD1]

simp add: multiset-fun-iff apply-iff)

**apply** (force intro!: lam-type)

**apply** (force intro!: lam-type)

**apply** (force intro!: lam-type)

**done**

**lemma** *munion-eq-conv-exist*:

$[\mid M \in \text{Mult}(A); N \in \text{Mult}(A) \mid]$

$\implies (M + \# \{\#a\# \} = N + \# \{\#b\# \}) \leftrightarrow$

$(M=N \ \& \ a=b \mid (\exists K \in \text{Mult}(A). M = K + \# \{\#b\# \} \ \& \ N = K + \# \{\#a\# \}))$



by (auto simp add: Mult-iff-multiset melem-diff-single munion-eq-conv-diff)

## 8.2 Multiset Orderings

**lemma** *multirel1-type*:  $\text{multirel1}(A, r) \subseteq \text{Mult}(A) * \text{Mult}(A)$   
 by (auto simp add: multirel1-def)

**lemma** *multirel1-0* [simp]:  $\text{multirel1}(0, r) = 0$   
 by (auto simp add: multirel1-def)

**lemma** *multirel1-iff*:  
 $\langle N, M \rangle \in \text{multirel1}(A, r) \iff$   
 $(\exists a. a \in A \ \& \$   
 $(\exists M0. M0 \in \text{Mult}(A) \ \& \ (\exists K. K \in \text{Mult}(A) \ \& \$   
 $M = M0 + \# \{ \#a \# \} \ \& \ N = M0 + \# K \ \& \ (\forall b \in \text{mset-of}(K). \langle b, a \rangle \in r)))$   
 by (auto simp add: multirel1-def Mult-iff-multiset Bex-def)

Monotonicity of *multirel1*

**lemma** *multirel1-mono1*:  $A \subseteq B \implies \text{multirel1}(A, r) \subseteq \text{multirel1}(B, r)$   
 apply (auto simp add: multirel1-def)  
 apply (auto simp add: Un-subset-iff Mult-iff-multiset)  
 apply (rule-tac  $x = a$  in bexI)  
 apply (rule-tac  $x = M0$  in bexI, simp)  
 apply (rule-tac  $x = K$  in bexI)  
 apply (auto simp add: Mult-iff-multiset)  
 done

**lemma** *multirel1-mono2*:  $r \subseteq s \implies \text{multirel1}(A, r) \subseteq \text{multirel1}(A, s)$   
 apply (simp add: multirel1-def, auto)  
 apply (rule-tac  $x = a$  in bexI)  
 apply (rule-tac  $x = M0$  in bexI)  
 apply (simp-all add: Mult-iff-multiset)  
 apply (rule-tac  $x = K$  in bexI)  
 apply (simp-all add: Mult-iff-multiset, auto)  
 done

**lemma** *multirel1-mono*:  
 $[\![ A \subseteq B; r \subseteq s ]\!] \implies \text{multirel1}(A, r) \subseteq \text{multirel1}(B, s)$   
 apply (rule subset-trans)  
 apply (rule multirel1-mono1)  
 apply (rule-tac [2] multirel1-mono2, auto)  
 done

## 8.3 Toward the proof of well-foundedness of multirel1

**lemma** *not-less-0* [iff]:  $\langle M, 0 \rangle \notin \text{multirel1}(A, r)$   
 by (auto simp add: multirel1-def Mult-iff-multiset)

**lemma** *less-munion*:  $[\![ \langle N, M0 + \# \{ \#a \# \} \rangle \in \text{multirel1}(A, r); M0 \in \text{Mult}(A) ]\!] \implies$

```

    (∃ M. <M, M0> ∈ multirel1(A, r) & N = M + # {#a#}) |
    (∃ K. K ∈ Mult(A) & (∀ b ∈ mset-of(K). <b, a> ∈ r) & N = M0 + # K)
  apply (frule multirel1-type [THEN subsetD])
  apply (simp add: multirel1-iff)
  apply (auto simp add: munion-eq-conv-exist)
  apply (rule-tac x=Ka + # K in exI, auto, simp add: Mult-iff-multiset)
  apply (simp (no-asm-simp) add: munion-left-cancel munion-assoc)
  apply (auto simp add: munion-commute)
done

lemma multirel1-base: [| M ∈ Mult(A); a ∈ A |] ==> <M, M + # {#a#}> ∈
multirel1(A, r)
  apply (auto simp add: multirel1-iff)
  apply (simp add: Mult-iff-multiset)
  apply (rule-tac x = a in exI, clarify)
  apply (rule-tac x = M in exI, simp)
  apply (rule-tac x = 0 in exI, auto)
done

lemma acc-0: acc(0)=0
by (auto intro!: equalityI dest: acc.dom-subset [THEN subsetD])

lemma lemma1: [| ∀ b ∈ A. <b,a> ∈ r -->
  (∀ M ∈ acc(multirel1(A, r)). M + # {#b#}:acc(multirel1(A, r)));
  M0 ∈ acc(multirel1(A, r)); a ∈ A;
  ∀ M. <M,M0> ∈ multirel1(A, r) --> M + # {#a#} ∈ acc(multirel1(A, r))
|]
==> M0 + # {#a#} ∈ acc(multirel1(A, r))
  apply (subgoal-tac M0 ∈ Mult(A) )
  prefer 2
  apply (erule acc.cases)
  apply (erule fieldE)
  apply (auto dest: multirel1-type [THEN subsetD])
  apply (rule accI)
  apply (rename-tac N)
  apply (drule less-munion, blast)
  apply (auto simp add: Mult-iff-multiset)
  apply (erule-tac P = ∀ x ∈ mset-of (K) . <x, a> ∈ r in rev-mp)
  apply (erule-tac P = mset-of (K) ⊆ A in rev-mp)
  apply (erule-tac M = K in multiset-induct)

  apply (simp (no-asm-simp))

  apply (simp add: Ball-def Un-subset-iff, clarify)
  apply (drule-tac x = aa in spec, simp)
  apply (subgoal-tac aa ∈ A)
  prefer 2 apply blast
  apply (drule-tac x = M0 + # M and P =

```

```

    %x.  $x \in \text{acc}(\text{multirel1}(A, r)) \longrightarrow ?Q(x)$  in spec)
  apply (simp add: munion-assoc [symmetric])

  apply (auto intro!: multirel1-base [THEN fieldI2] simp add: Mult-iff-multiset)
  done

  lemma lemma2: [|  $\forall b \in A. <b, a> \in r$ 
    --> ( $\forall M \in \text{acc}(\text{multirel1}(A, r)). M +\# \{\#b\# \} : \text{acc}(\text{multirel1}(A, r))$ );
     $M \in \text{acc}(\text{multirel1}(A, r)); a \in A$ ] ==>  $M +\# \{\#a\# \} \in \text{acc}(\text{multirel1}(A,$ 
     $r))$ 
  apply (erule acc-induct)
  apply (blast intro: lemma1)
  done

  lemma lemma3: [|  $\text{wf}[A](r); a \in A$  |]
    ==>  $\forall M \in \text{acc}(\text{multirel1}(A, r)). M +\# \{\#a\# \} \in \text{acc}(\text{multirel1}(A, r))$ 
  apply (erule-tac a = a in wf-on-induct, blast)
  apply (blast intro: lemma2)
  done

  lemma lemma4:  $\text{multiset}(M) ==> \text{mset-of}(M) \subseteq A$  -->
     $\text{wf}[A](r) --> M \in \text{field}(\text{multirel1}(A, r)) --> M \in \text{acc}(\text{multirel1}(A, r))$ 
  apply (erule multiset-induct)

  apply clarify
  apply (rule accI, force)
  apply (simp add: multirel1-def)

  apply clarify
  apply simp
  apply (subgoal-tac  $\text{mset-of}(M) \subseteq A$ )
  prefer 2 apply blast
  apply clarify
  apply (drule-tac a = a in lemma3, blast)
  apply (subgoal-tac  $M \in \text{field}(\text{multirel1}(A, r))$ )
  apply blast
  apply (rule multirel1-base [THEN fieldI1])
  apply (auto simp add: Mult-iff-multiset)
  done

  lemma all-accessible: [|  $\text{wf}[A](r); M \in \text{Mult}(A); A \neq 0$  |] ==>  $M \in \text{acc}(\text{multirel1}(A,$ 
     $r))$ 
  apply (erule not-emptyE)
  apply (rule lemma4 [THEN mp, THEN mp, THEN mp])
  apply (rule-tac [4] multirel1-base [THEN fieldI1])
  apply (auto simp add: Mult-iff-multiset)
  done

  lemma wf-on-multirel1:  $\text{wf}[A](r) ==> \text{wf}[A - ||>\text{nat} - \{0\}](\text{multirel1}(A, r))$ 

```

```

apply (case-tac  $A=0$ )
apply (simp (no-asm-simp))
apply (rule wf-imp-wf-on)
apply (rule wf-on-field-imp-wf)
apply (simp (no-asm-simp) add: wf-on-0)
apply (rule-tac  $A = acc (multirel1 (A,r))$  in wf-on-subset-A)
apply (rule wf-on-acc)
apply (blast intro: all-accessible)
done

```

```

lemma wf-multirel1:  $wf(r) ==> wf(multirel1 (field(r), r))$ 
apply (simp (no-asm-use) add: wf-iff-wf-on-field)
apply (drule wf-on-multirel1)
apply (rule-tac  $A = field (r) - ||> nat - \{0\}$  in wf-on-subset-A)
apply (simp (no-asm-simp))
apply (rule field-rel-subset)
apply (rule multirel1-type)
done

```

```

lemma multirel-type:  $multirel(A, r) \subseteq Mult(A)*Mult(A)$ 
apply (simp add: multirel-def)
apply (rule trancl-type [THEN subset-trans])
apply (auto dest: multirel1-type [THEN subsetD])
done

```

```

lemma multirel-mono:
   $[[ A \subseteq B; r \subseteq s ]] ==> multirel(A, r) \subseteq multirel(B, s)$ 
apply (simp add: multirel-def)
apply (rule trancl-mono)
apply (rule multirel1-mono, auto)
done

```

```

lemma add-diff-eq:  $k \in nat ==> 0 < k --> n \# + k \# - 1 = n \# + (k \# - 1)$ 
by (erule nat-induct, auto)

```

```

lemma mdiff-union-single-conv:  $[[ a \in mset-of(J); multiset(I); multiset(J) ]]$ 
   $==> I + \# J - \# \{ \# a \# \} = I + \# (J - \# \{ \# a \# \})$ 
apply (simp (no-asm-simp) add: multiset-equality)
apply (case-tac  $a \notin mset-of (I)$  )
apply (auto simp add: mcount-def mset-of-def multiset-def multiset-fun-iff)
apply (auto dest: domain-type simp add: add-diff-eq)
done

```

```

lemma diff-add-commute:  $[[ n \leq m; m \in nat; n \in nat; k \in nat ]] ==> m \# -$ 

```

$n \# + k = m \# + k \# - n$   
**by** (*auto simp add: le-iff less-iff-succ-add*)

**lemma** *multirel-implies-one-step*:

$\langle M, N \rangle \in \text{multirel}(A, r) \implies$   
 $\text{trans}[A](r) \dashv\dashv$   
 $(\exists I J K.$   
 $I \in \text{Mult}(A) \ \& \ J \in \text{Mult}(A) \ \& \ K \in \text{Mult}(A) \ \&$   
 $N = I \# + J \ \& \ M = I \# + K \ \& \ J \neq 0 \ \&$   
 $(\forall k \in \text{mset-of}(K). \exists j \in \text{mset-of}(J). \langle k, j \rangle \in r))$   
**apply** (*simp add: multirel-def Ball-def Bex-def*)  
**apply** (*erule converse-trancl-induct*)  
**apply** (*simp-all add: multirel1-iff Mult-iff-multiset*)

**apply** *clarify*  
**apply** (*rule-tac x = M0 in exI, force*)

**apply** *clarify*  
**apply** (*case-tac a \in mset-of (Ka) )*  
**apply** (*rule-tac x = I in exI, simp (no-asm-simp)*)  
**apply** (*rule-tac x = J in exI, simp (no-asm-simp)*)  
**apply** (*rule-tac x = (Ka -# {#a#}) +# K in exI, simp (no-asm-simp)*)  
**apply** (*simp-all add: Un-subset-iff*)  
**apply** (*simp (no-asm-simp) add: munion-assoc [symmetric]*)  
**apply** (*drule-tac t = %M. M -# {#a#} in subst-context*)  
**apply** (*simp add: mdiff-union-single-conv melem-diff-single, clarify*)  
**apply** (*erule disjE, simp*)  
**apply** (*erule disjE, simp*)  
**apply** (*drule-tac x = a and P = %x. x :# Ka \longrightarrow ?Q(x) in spec*)  
**apply** *clarify*  
**apply** (*rule-tac x = xa in exI*)  
**apply** (*simp (no-asm-simp)*)  
**apply** (*blast dest: trans-onD*)

**apply** (*subgoal-tac a :# I*)  
**apply** (*rule-tac x = I -# {#a#} in exI, simp (no-asm-simp)*)  
**apply** (*rule-tac x = J +# {#a#} in exI*)  
**apply** (*simp (no-asm-simp) add: Un-subset-iff*)  
**apply** (*rule-tac x = Ka +# K in exI*)  
**apply** (*simp (no-asm-simp) add: Un-subset-iff*)  
**apply** (*rule conjI*)  
**apply** (*simp (no-asm-simp) add: multiset-equality mcount-elem [THEN succ-pred-eq-self]*)  
**apply** (*rule conjI*)  
**apply** (*drule-tac t = %M. M -# {#a#} in subst-context*)  
**apply** (*simp add: mdiff-union-inverse2*)  
**apply** (*simp-all (no-asm-simp) add: multiset-equality*)

```

apply (rule diff-add-commute [symmetric])
apply (auto intro: mcount-elem)
apply (subgoal-tac  $a \in \text{mset-of } (I +\# Ka)$  )
apply (drule-tac [2] sym, auto)
done

```

```

lemma melem-imp-eq-diff-union [simp]: [ $a \in \text{mset-of}(M); \text{multiset}(M)$ ] ==>
 $M -\# \{\#a\} +\# \{\#a\} = M$ 
by (simp add: multiset-equality mcount-elem [THEN succ-pred-eq-self])

```

```

lemma msize-eq-succ-imp-eq-union:
  [ $\text{msize}(M) = \# \text{succ}(n); M \in \text{Mult}(A); n \in \text{nat}$ ]
  ==>  $\exists a N. M = N +\# \{\#a\} \ \& \ N \in \text{Mult}(A) \ \& \ a \in A$ 
apply (drule msize-eq-succ-imp-elem, auto)
apply (rule-tac  $x = a$  in exI)
apply (rule-tac  $x = M -\# \{\#a\}$  in exI)
apply (frule Mult-into-multiset)
apply (simp (no-asm-simp))
apply (auto simp add: Mult-iff-multiset)
done

```

```

lemma one-step-implies-multirel-lemma [rule-format (no-asm)]:
 $n \in \text{nat} ==>$ 
  ( $\forall I J K.$ 
     $I \in \text{Mult}(A) \ \& \ J \in \text{Mult}(A) \ \& \ K \in \text{Mult}(A) \ \&$ 
    ( $\text{msize}(J) = \# n \ \& \ J \neq 0 \ \& \ (\forall k \in \text{mset-of}(K). \exists j \in \text{mset-of}(J). <k, j> \in$ 
 $r))$ 
     $--> <I +\# K, I +\# J> \in \text{multirel}(A, r))$ 
apply (simp add: Mult-iff-multiset)
apply (erule nat-induct, clarify)
apply (drule-tac  $M = J$  in msize-eq-0-iff, auto)

```

```

apply (subgoal-tac  $\text{msize}(J) = \# \text{succ}(x)$  )
prefer 2 apply simp
apply (frule-tac  $A = A$  in msize-eq-succ-imp-eq-union)
apply (simp-all add: Mult-iff-multiset, clarify)
apply (rename-tac  $J'$ , simp)
apply (case-tac  $J' = 0$ )
apply (simp add: multirel-def)
apply (rule r-into-trancl, clarify)
apply (simp add: multirel1-iff Mult-iff-multiset, force)

```

```

apply (drule sym, rotate-tac -1, simp)
apply (erule-tac  $V = \# x = \text{msize}(J')$  in thin-rl)
apply (frule-tac  $M = K$  and  $P = \%x. <x, a> \in r$  in multiset-partition)
apply (erule-tac  $P = \forall k \in \text{mset-of}(K). ?P(k)$  in rev-mp)
apply (erule ssubst)

```

```

apply (simp add: Ball-def, auto)
apply (subgoal-tac < ( $I + \# \{ \# x \in K. \langle x, a \rangle \in r \# \}$ )  $+ \# \{ \# x \in K. \langle x, a \rangle \notin r \# \}$ , ( $I + \# \{ \# x \in K. \langle x, a \rangle \in r \# \}$ )  $+ \# J' > \in \text{multirel}(A, r)$  )
prefer 2
apply (drule-tac  $x = I + \# \{ \# x \in K. \langle x, a \rangle \in r \# \}$  in spec)
apply (rotate-tac -1)
apply (drule-tac  $x = J'$  in spec)
apply (rotate-tac -1)
apply (drule-tac  $x = \{ \# x \in K. \langle x, a \rangle \notin r \# \}$  in spec, simp) apply blast
apply (simp add: munion-assoc [symmetric] multirel-def)
apply (rule-tac  $b = I + \# \{ \# x \in K. \langle x, a \rangle \in r \# \} + \# J'$  in transcl-trans, blast)
apply (rule r-into-transcl)
apply (simp add: multirel1-iff Mult-iff-multiset)
apply (rule-tac  $x = a$  in exI)
apply (simp (no-asm-simp))
apply (rule-tac  $x = I + \# J'$  in exI)
apply (auto simp add: munion-ac Un-subset-iff)
done

```

```

lemma one-step-implies-multirel:
  [|  $J \neq 0$ ;  $\forall k \in \text{mset-of}(K). \exists j \in \text{mset-of}(J). \langle k, j \rangle \in r$ ;
    $I \in \text{Mult}(A)$ ;  $J \in \text{Mult}(A)$ ;  $K \in \text{Mult}(A)$  |]
  ==>  $\langle I + \# K, I + \# J \rangle \in \text{multirel}(A, r)$ 
apply (subgoal-tac multiset (J) )
prefer 2 apply (simp add: Mult-iff-multiset)
apply (frule-tac  $M = J$  in mset-int-of-nat)
apply (auto intro: one-step-implies-multirel-lemma)
done

```

```

lemma multirel-irrefl-lemma:
   $\text{Finite}(A) ==> \text{part-ord}(A, r) \dashv\dashv (\forall x \in A. \exists y \in A. \langle x, y \rangle \in r) \dashv\dashv A=0$ 
apply (erule Finite-induct)
apply (auto dest: subset-consI [THEN [2] part-ord-subset])
apply (auto simp add: part-ord-def irrefl-def)
apply (drule-tac  $x = xa$  in bspec)
apply (drule-tac [2]  $a = xa$  and  $b = x$  in trans-onD, auto)
done

```

```

lemma irrefl-on-multirel:
   $\text{part-ord}(A, r) ==> \text{irrefl}(\text{Mult}(A), \text{multirel}(A, r))$ 
apply (simp add: irrefl-def)
apply (subgoal-tac trans[A](r) )
prefer 2 apply (simp add: part-ord-def, clarify)
apply (drule multirel-implies-one-step, clarify)
apply (simp add: Mult-iff-multiset, clarify)

```

```

apply (subgoal-tac Finite (mset-of (K)))
apply (frule-tac r = r in multirel-irrefl-lemma)
apply (frule-tac B = mset-of (K) in part-ord-subset)
apply simp-all
apply (auto simp add: multiset-def mset-of-def)
done

```

```

lemma trans-on-multirel: trans[Mult(A)](multirel(A, r))
apply (simp add: multirel-def trans-on-def)
apply (blast intro: trancl-trans)
done

```

```

lemma multirel-trans:
  [| <M, N> ∈ multirel(A, r); <N, K> ∈ multirel(A, r) |] ==> <M, K> ∈
multirel(A, r)
apply (simp add: multirel-def)
apply (blast intro: trancl-trans)
done

```

```

lemma trans-multirel: trans(multirel(A, r))
apply (simp add: multirel-def)
apply (rule trans-trancl)
done

```

```

lemma part-ord-multirel: part-ord(A, r) ==> part-ord(Mult(A), multirel(A, r))
apply (simp (no-asm) add: part-ord-def)
apply (blast intro: irrefl-on-multirel trans-on-multirel)
done

```

```

lemma munion-multirel1-mono:
  [| <M, N> ∈ multirel1(A, r); K ∈ Mult(A) |] ==> <K +# M, K +# N> ∈
multirel1(A, r)
apply (frule multirel1-type [THEN subsetD])
apply (auto simp add: multirel1-iff Mult-iff-multiset)
apply (rule-tac x = a in exI)
apply (simp (no-asm-simp))
apply (rule-tac x = K +# M0 in exI)
apply (simp (no-asm-simp) add: Un-subset-iff)
apply (rule-tac x = Ka in exI)
apply (simp (no-asm-simp) add: munion-assoc)
done

```

```

lemma munion-multirel-mono2:
  [| <M, N> ∈ multirel(A, r); K ∈ Mult(A) |] ==> <K +# M, K +# N> ∈
multirel(A, r)
apply (frule multirel-type [THEN subsetD])
apply (simp (no-asm-use) add: multirel-def)

```



```

apply clarify
apply (drule-tac psi =  $\langle M, N \rangle \in \text{multirel1 } (A, r) \wedge$  in asm-rl)
apply (erule rev-mp)
apply (erule rev-mp)
apply (erule rev-mp)
apply (erule trancl-induct, clarify)
apply (blast intro: munion-multirel1-mono r-into-trancl, clarify)
apply (subgoal-tac  $y \in \text{Mult}(A)$  )
prefer 2
apply (blast dest: multirel-type [unfolded multirel-def, THEN subsetD])
apply (subgoal-tac  $\langle K +\# y, K +\# z \rangle \in \text{multirel1 } (A, r)$  )
prefer 2 apply (blast intro: munion-multirel1-mono)
apply (blast intro: r-into-trancl trancl-trans)
done

lemma munion-multirel-mono1:
  [| $\langle M, N \rangle \in \text{multirel}(A, r)$ ;  $K \in \text{Mult}(A)$ ] ==>  $\langle M +\# K, N +\# K \rangle \in$ 
  multirel(A, r)
apply (frule multirel-type [THEN subsetD])
apply (rule-tac  $P = \%x. \langle x, ?u \rangle \in \text{multirel}(A, r)$  in munion-commute [THEN
  subst])
apply (subst munion-commute [of N])
apply (rule munion-multirel-mono2)
apply (auto simp add: Mult-iff-multiset)
done

lemma munion-multirel-mono:
  [| $\langle M, K \rangle \in \text{multirel}(A, r)$ ;  $\langle N, L \rangle \in \text{multirel}(A, r)$ ]
  ==>  $\langle M +\# N, K +\# L \rangle \in \text{multirel}(A, r)$ 
apply (subgoal-tac  $M \in \text{Mult}(A) \ \& \ N \in \text{Mult}(A) \ \& \ K \in \text{Mult}(A) \ \& \ L \in \text{Mult}(A)$ 
  )
prefer 2 apply (blast dest: multirel-type [THEN subsetD])
apply (blast intro: munion-multirel-mono1 multirel-trans munion-multirel-mono2)
done

```

## 8.4 Ordinal Multisets

```

lemmas field-Memrel-mono = Memrel-mono [THEN field-mono, standard]

lemmas multirel-Memrel-mono = multirel-mono [OF field-Memrel-mono Memrel-mono]

lemma omultiset-is-multiset [simp]: omultiset(M) ==> multiset(M)
apply (simp add: omultiset-def)
apply (auto simp add: Mult-iff-multiset)
done

lemma munion-omultiset [simp]: [| omultiset(M); omultiset(N) |] ==> omulti-

```

```

set( $M + \# N$ )
apply (simp add: omultiset-def, clarify)
apply (rule-tac  $x = i \text{ Un } ia$  in exI)
apply (simp add: Mult-iff-multiset Ord-Un Un-subset-iff)
apply (blast intro: field-Memrel-mono)
done

```

```

lemma mdiff-omultiset [simp]:  $omultiset(M) ==> omultiset(M - \# N)$ 
apply (simp add: omultiset-def, clarify)
apply (simp add: Mult-iff-multiset)
apply (rule-tac  $x = i$  in exI)
apply (simp (no-asm-simp))
done

```

```

lemma irrefl-Memrel:  $Ord(i) ==> irrefl(field(Memrel(i)), Memrel(i))$ 
apply (rule irreflI, clarify)
apply (subgoal-tac  $Ord(x)$ )
prefer 2 apply (blast intro: Ord-in-Ord)
apply (drule-tac  $i = x$  in ltI [THEN lt-irrefl], auto)
done

```

```

lemma trans-iff-trans-on:  $trans(r) <-> trans[field(r)](r)$ 
by (simp add: trans-on-def trans-def, auto)

```

```

lemma part-ord-Memrel:  $Ord(i) ==> part-ord(field(Memrel(i)), Memrel(i))$ 
apply (simp add: part-ord-def)
apply (simp (no-asm) add: trans-iff-trans-on [THEN iff-sym])
apply (blast intro: trans-Memrel irrefl-Memrel)
done

```

```

lemmas part-ord-mless = part-ord-Memrel [THEN part-ord-multirel, standard]

```

```

lemma mless-not-refl:  $\sim(M < \# M)$ 
apply (simp add: mless-def, clarify)
apply (frule multirel-type [THEN subsetD])
apply (drule part-ord-mless)
apply (simp add: part-ord-def irrefl-def)
done

```

```

lemmas mless-irrefl = mless-not-refl [THEN notE, standard, elim!]

```

```

lemma mless-trans: [|  $K <\# M$ ;  $M <\# N$  |] ==>  $K <\# N$ 
apply (simp add: mless-def, clarify)
apply (rule-tac x = i Un ia in exI)
apply (blast dest: multirel-Memrel-mono [OF Un-upper1 Un-upper1, THEN subsetD])
      multirel-Memrel-mono [OF Un-upper2 Un-upper2, THEN subsetD]
      intro: multirel-trans Ord-Un)
done

```

```

lemma mless-not-sym:  $M <\# N$  ==>  $\sim N <\# M$ 
apply clarify
apply (rule mless-not-refl [THEN notE])
apply (erule mless-trans, assumption)
done

```

```

lemma mless-asy: [|  $M <\# N$ ;  $\sim P$  ==>  $N <\# M$  |] ==>  $P$ 
by (blast dest: mless-not-sym)

```

```

lemma mle-refl [simp]: omultiset( $M$ ) ==>  $M <\# M$ 
by (simp add: mle-def)

```

```

lemma mle-antisym:
  [|  $M <\# N$ ;  $N <\# M$  |] ==>  $M = N$ 
apply (simp add: mle-def)
apply (blast dest: mless-not-sym)
done

```

```

lemma mle-trans: [|  $K <\# M$ ;  $M <\# N$  |] ==>  $K <\# N$ 
apply (simp add: mle-def)
apply (blast intro: mless-trans)
done

```

```

lemma mless-le-iff:  $M <\# N <-> (M <\# N \ \& \ M \neq N)$ 
by (simp add: mle-def, auto)

```

```

lemma munion-less-mono2: [|  $M <\# N$ ; omultiset( $K$ ) |] ==>  $K +\# M <\# K +\# N$ 
apply (simp add: mless-def omultiset-def, clarify)
apply (rule-tac x = i Un ia in exI)
apply (simp add: Mult-iff-multiset Ord-Un Un-subset-iff)
apply (rule munion-multirel-mono2)
      apply (blast intro: multirel-Memrel-mono [THEN subsetD])
apply (simp add: Mult-iff-multiset)
apply (blast intro: field-Memrel-mono [THEN subsetD])

```

done

**lemma** *munion-less-mono1*:  $[| M <\# N; \text{omultiset}(K) |] \implies M +\# K <\# N +\# K$   
**by** (*force dest: munion-less-mono2 simp add: munion-commute*)

**lemma** *mless-imp-omultiset*:  $M <\# N \implies \text{omultiset}(M) \ \& \ \text{omultiset}(N)$   
**by** (*auto simp add: mless-def omultiset-def dest: multirel-type [THEN subsetD]*)

**lemma** *munion-less-mono*:  $[| M <\# K; N <\# L |] \implies M +\# N <\# K +\# L$   
**apply** (*frule-tac M = M in mless-imp-omultiset*)  
**apply** (*frule-tac M = N in mless-imp-omultiset*)  
**apply** (*blast intro: munion-less-mono1 munion-less-mono2 mless-trans*)  
done

**lemma** *mle-imp-omultiset*:  $M <\# = N \implies \text{omultiset}(M) \ \& \ \text{omultiset}(N)$   
**by** (*auto simp add: mle-def mless-imp-omultiset*)

**lemma** *mle-mono*:  $[| M <\# = K; N <\# = L |] \implies M +\# N <\# = K +\# L$   
**apply** (*frule-tac M = M in mle-imp-omultiset*)  
**apply** (*frule-tac M = N in mle-imp-omultiset*)  
**apply** (*auto simp add: mle-def intro: munion-less-mono1 munion-less-mono2 munion-less-mono*)  
done

**lemma** *omultiset-0 [iff]*:  $\text{omultiset}(0)$   
**by** (*auto simp add: omultiset-def Mult-iff-multiset*)

**lemma** *empty-leI [simp]*:  $\text{omultiset}(M) \implies 0 <\# = M$   
**apply** (*simp add: mle-def mless-def*)  
**apply** (*subgoal-tac  $\exists i. \text{Ord}(i) \ \& \ M \in \text{Mult}(\text{field}(\text{Memrel}(i)))$* )  
**prefer 2 apply** (*simp add: omultiset-def*)  
**apply** (*case-tac M=0, simp-all, clarify*)  
**apply** (*subgoal-tac  $<0 +\# 0, 0 +\# M> \in \text{multirel}(\text{field}(\text{Memrel}(i)), \text{Memrel}(i))$* )  
**apply** (*rule-tac [2] one-step-implies-multirel*)  
**apply** (*auto simp add: Mult-iff-multiset*)  
done

**lemma** *munion-upper1*:  $[| \text{omultiset}(M); \text{omultiset}(N) |] \implies M <\# = M +\# N$   
**apply** (*subgoal-tac M +\# 0 <\# = M +\# N*)  
**apply** (*rule-tac [2] mle-mono, auto*)  
done

ML

⟨⟨  
*val munion-ac = thms munion-ac;*  
*val funrestrict-subset = thm funrestrict-subset;*

```

val funrestrict-type = thm funrestrict-type;
val funrestrict-type2 = thm funrestrict-type2;
val funrestrict = thm funrestrict;
val funrestrict-empty = thm funrestrict-empty;
val domain-funrestrict = thm domain-funrestrict;
val fun-cons-funrestrict-eq = thm fun-cons-funrestrict-eq;
val multiset-fun-iff = thm multiset-fun-iff;
val multiset-into-Mult = thm multiset-into-Mult;
val Mult-into-multiset = thm Mult-into-multiset;
val Mult-iff-multiset = thm Mult-iff-multiset;
val multiset-iff-Mult-mset-of = thm multiset-iff-Mult-mset-of;
val multiset-0 = thm multiset-0;
val multiset-set-of-Finite = thm multiset-set-of-Finite;
val mset-of-0 = thm mset-of-0;
val mset-is-0-iff = thm mset-is-0-iff;
val mset-of-single = thm mset-of-single;
val mset-of-union = thm mset-of-union;
val mset-of-diff = thm mset-of-diff;
val msingle-not-0 = thm msingle-not-0;
val msingle-eq-iff = thm msingle-eq-iff;
val msingle-multiset = thm msingle-multiset;
val Collect-Finite = thms Collect-Finite;
val normalize-idem = thm normalize-idem;
val normalize-multiset = thm normalize-multiset;
val multiset-normalize = thm multiset-normalize;
val munion-multiset = thm munion-multiset;
val mdiff-multiset = thm mdiff-multiset;
val munion-0 = thm munion-0;
val munion-commute = thm munion-commute;
val munion-assoc = thm munion-assoc;
val munion-lcommute = thm munion-lcommute;
val mdiff-self-eq-0 = thm mdiff-self-eq-0;
val mdiff-0 = thm mdiff-0;
val mdiff-0-right = thm mdiff-0-right;
val mdiff-union-inverse2 = thm mdiff-union-inverse2;
val mcount-type = thm mcount-type;
val mcount-0 = thm mcount-0;
val mcount-single = thm mcount-single;
val mcount-union = thm mcount-union;
val mcount-diff = thm mcount-diff;
val mcount-elem = thm mcount-elem;
val msize-0 = thm msize-0;
val msize-single = thm msize-single;
val msize-type = thm msize-type;
val msize-zpositive = thm msize-zpositive;
val msize-int-of-nat = thm msize-int-of-nat;
val not-empty-multiset-imp-exist = thm not-empty-multiset-imp-exist;
val msize-eq-0-iff = thm msize-eq-0-iff;
val setsum-mcount-Int = thm setsum-mcount-Int;

```

```

val msize-union = thm msize-union;
val msize-eq-succ-imp-elem = thm msize-eq-succ-imp-elem;
val multiset-equality = thm multiset-equality;
val munion-eq-0-iff = thm munion-eq-0-iff;
val empty-eq-munion-iff = thm empty-eq-munion-iff;
val munion-right-cancel = thm munion-right-cancel;
val munion-left-cancel = thm munion-left-cancel;
val nat-add-eq-1-cases = thm nat-add-eq-1-cases;
val munion-is-single = thm munion-is-single;
val msingle-is-union = thm msingle-is-union;
val setsum-decr = thm setsum-decr;
val setsum-decr2 = thm setsum-decr2;
val setsum-decr3 = thm setsum-decr3;
val nat-le-1-cases = thm nat-le-1-cases;
val succ-pred-eq-self = thm succ-pred-eq-self;
val multiset-funrestrict = thm multiset-funrestrict;
val multiset-induct-aux = thm multiset-induct-aux;
val multiset-induct2 = thm multiset-induct2;
val munion-single-case1 = thm munion-single-case1;
val munion-single-case2 = thm munion-single-case2;
val multiset-induct = thm multiset-induct;
val MCollect-multiset = thm MCollect-multiset;
val mset-of-MCollect = thm mset-of-MCollect;
val MCollect-mem-iff = thm MCollect-mem-iff;
val mcount-MCollect = thm mcount-MCollect;
val multiset-partition = thm multiset-partition;
val natify-elem-is-self = thm natify-elem-is-self;
val munion-eq-conv-diff = thm munion-eq-conv-diff;
val melem-diff-single = thm melem-diff-single;
val munion-eq-conv-exist = thm munion-eq-conv-exist;
val multirel1-type = thm multirel1-type;
val multirel1-0 = thm multirel1-0;
val multirel1-iff = thm multirel1-iff;
val multirel1-mono1 = thm multirel1-mono1;
val multirel1-mono2 = thm multirel1-mono2;
val multirel1-mono = thm multirel1-mono;
val not-less-0 = thm not-less-0;
val less-munion = thm less-munion;
val multirel1-base = thm multirel1-base;
val acc-0 = thm acc-0;
val all-accessible = thm all-accessible;
val wf-on-multirel1 = thm wf-on-multirel1;
val wf-multirel1 = thm wf-multirel1;
val multirel-type = thm multirel-type;
val multirel-mono = thm multirel-mono;
val add-diff-eq = thm add-diff-eq;
val mdiff-union-single-conv = thm mdiff-union-single-conv;
val diff-add-commute = thm diff-add-commute;
val multirel-implies-one-step = thm multirel-implies-one-step;

```

```

val melem-imp-eq-diff-union = thm melem-imp-eq-diff-union;
val msize-eq-succ-imp-eq-union = thm msize-eq-succ-imp-eq-union;
val one-step-implies-multirel = thm one-step-implies-multirel;
val irrefl-on-multirel = thm irrefl-on-multirel;
val trans-on-multirel = thm trans-on-multirel;
val multirel-trans = thm multirel-trans;
val trans-multirel = thm trans-multirel;
val part-ord-multirel = thm part-ord-multirel;
val munion-multirel1-mono = thm munion-multirel1-mono;
val munion-multirel-mono2 = thm munion-multirel-mono2;
val munion-multirel-mono1 = thm munion-multirel-mono1;
val munion-multirel-mono = thm munion-multirel-mono;
val field-Memrel-mono = thms field-Memrel-mono;
val multirel-Memrel-mono = thms multirel-Memrel-mono;
val omultiset-is-multiset = thm omultiset-is-multiset;
val munion-omultiset = thm munion-omultiset;
val mdiff-omultiset = thm mdiff-omultiset;
val irrefl-Memrel = thm irrefl-Memrel;
val trans-iff-trans-on = thm trans-iff-trans-on;
val part-ord-Memrel = thm part-ord-Memrel;
val part-ord-mless = thms part-ord-mless;
val mless-not-refl = thm mless-not-refl;
val mless-irrefl = thms mless-irrefl;
val mless-trans = thm mless-trans;
val mless-not-sym = thm mless-not-sym;
val mless-asy = thm mless-asy;
val mle-refl = thm mle-refl;
val mle-antisym = thm mle-antisym;
val mle-trans = thm mle-trans;
val mless-le-iff = thm mless-le-iff;
val munion-less-mono2 = thm munion-less-mono2;
val munion-less-mono1 = thm munion-less-mono1;
val mless-imp-omultiset = thm mless-imp-omultiset;
val munion-less-mono = thm munion-less-mono;
val mle-imp-omultiset = thm mle-imp-omultiset;
val mle-mono = thm mle-mono;
val omultiset-0 = thm omultiset-0;
val empty-leI = thm empty-leI;
val munion-upper1 = thm munion-upper1;
>>

```

end

## 9 An operator to “map” a relation over a list

theory *Rmap* imports *Main* begin

consts

```

rmap :: i=>i

inductive
  domains rmap(r) ⊆ list(domain(r)) × list(range(r))
  intros
    NilI: <Nil,Nil> ∈ rmap(r)

    ConsI: [| <x,y>: r; <xs,ys> ∈ rmap(r) |]
      ==> <Cons(x,xs), Cons(y,ys)> ∈ rmap(r)

  type-intros domainI rangeI list.intros

lemma rmap-mono: r ⊆ s ==> rmap(r) ⊆ rmap(s)
  apply (unfold rmap.defs)
  apply (rule lfp-mono)
  apply (rule rmap.bnd-mono)+
  apply (assumption | rule Sigma-mono list-mono domain-mono range-mono basic-monos)+
  done

inductive-cases
  Nil-rmap-case [elim!]: <Nil,zs> ∈ rmap(r)
  and Cons-rmap-case [elim!]: <Cons(x,xs),zs> ∈ rmap(r)

declare rmap.intros [intro]

lemma rmap-rel-type: r ⊆ A × B ==> rmap(r) ⊆ list(A) × list(B)
  apply (rule rmap.dom-subset [THEN subset-trans])
  apply (assumption |
    rule domain-rel-subset range-rel-subset Sigma-mono list-mono)+
  done

lemma rmap-total: A ⊆ domain(r) ==> list(A) ⊆ domain(rmap(r))
  apply (rule subsetI)
  apply (erule list.induct)
  apply blast+
  done

lemma rmap-functional: function(r) ==> function(rmap(r))
  apply (unfold function-def)
  apply (rule impI [THEN allI, THEN allI])
  apply (erule rmap.induct)
  apply blast+
  done

If f is a function then rmap(f) behaves as expected.

lemma rmap-fun-type: f ∈ A->B ==> rmap(f): list(A)->list(B)
  by (simp add: Pi-iff rmap-rel-type rmap-functional rmap-total)

lemma rmap-Nil: rmap(f) `Nil = Nil

```



```

by (unfold apply-def) blast

lemma rmap-Cons: [| f ∈ A->B; x ∈ A; xs: list(A) |]
  ==> rmap(f) ' Cons(x,xs) = Cons(f'x, rmap(f)'xs)
by (blast intro: apply-equality apply-Pair rmap-fun-type rmap.intros)

end

```

## 10 Meta-theory of propositional logic

**theory** *PropLog* **imports** *Main* **begin**

Datatype definition of propositional logic formulae and inductive definition of the propositional tautologies.

Inductive definition of propositional logic. Soundness and completeness w.r.t. truth-tables.

Prove: If  $H \models p$  then  $G \models p$  where  $G \in \text{Fin}(H)$

### 10.1 The datatype of propositions

**consts**

*propn* :: *i*

**datatype** *propn* =

*Fls*  
 | *Var* (*n* ∈ *nat*) (#- [100] 100)  
 | *Imp* (*p* ∈ *propn*, *q* ∈ *propn*) (**infixr** ==> 90)

### 10.2 The proof system

**consts** *thms* :: *i* ==> *i*

**syntax** *-thms* :: [*i*,*i*] ==> *o* (**infixl** |- 50)

**translations**  $H \vdash p == p \in \text{thms}(H)$

**inductive**

**domains** *thms*(*H*) ⊆ *propn*

**intros**

*H*: [| *p* ∈ *H*; *p* ∈ *propn* |] ==> *H* |- *p*

*K*: [| *p* ∈ *propn*; *q* ∈ *propn* |] ==> *H* |- *p*=>*q*=>*p*

*S*: [| *p* ∈ *propn*; *q* ∈ *propn*; *r* ∈ *propn* |]

==> *H* |- (*p*=>*q*=>*r*) => (*p*=>*q*) => *p*=>*r*

*DN*: *p* ∈ *propn* ==> *H* |- ((*p*=>*Fls*) => *Fls*) => *p*

*MP*: [| *H* |- *p*=>*q*; *H* |- *p*; *p* ∈ *propn*; *q* ∈ *propn* |] ==> *H* |- *q*

**type-intros** *propn.intros*

**declare** *propn.intros* [*simp*]

### 10.3 The semantics

#### 10.3.1 Semantics of propositional logic.

**consts**

$is\_true\_fun :: [i,i] \Rightarrow i$

**primrec**

$is\_true\_fun(Fls, t) = 0$

$is\_true\_fun(Var(v), t) = (if\ v \in t\ then\ 1\ else\ 0)$

$is\_true\_fun(p \Rightarrow q, t) = (if\ is\_true\_fun(p,t) = 1\ then\ is\_true\_fun(q,t)\ else\ 1)$

**constdefs**

$is\_true :: [i,i] \Rightarrow o$

$is\_true(p,t) == is\_true\_fun(p,t) = 1$

— this definition is required since predicates can't be recursive

**lemma**  $is\_true\_Fls$  [simp]:  $is\_true(Fls,t) <-> False$

**by** (simp add: is-true-def)

**lemma**  $is\_true\_Var$  [simp]:  $is\_true(\#v,t) <-> v \in t$

**by** (simp add: is-true-def)

**lemma**  $is\_true\_Imp$  [simp]:  $is\_true(p \Rightarrow q,t) <-> (is\_true(p,t) \longrightarrow is\_true(q,t))$

**by** (simp add: is-true-def)

#### 10.3.2 Logical consequence

For every valuation, if all elements of  $H$  are true then so is  $p$ .

**constdefs**

$logcon :: [i,i] \Rightarrow o$  (infixl  $|=$  50)

$H \models p == \forall t. (\forall q \in H. is\_true(q,t)) \longrightarrow is\_true(p,t)$

A finite set of hypotheses from  $t$  and the  $Vars$  in  $p$ .

**consts**

$hyps :: [i,i] \Rightarrow i$

**primrec**

$hyps(Fls, t) = 0$

$hyps(Var(v), t) = (if\ v \in t\ then\ \{\#v\}\ else\ \{\#v \Rightarrow Fls\})$

$hyps(p \Rightarrow q, t) = hyps(p,t) \cup hyps(q,t)$

### 10.4 Proof theory of propositional logic

**lemma**  $thms\_mono$ :  $G \subseteq H \implies thms(G) \subseteq thms(H)$

**apply** (unfold thms.defs)

**apply** (rule lfp-mono)

**apply** (rule thms.bnd-mono)+

**apply** (assumption | rule univ-mono basic-monos)+

**done**

**lemmas** *thms-in-pl* = *thms.dom-subset* [*THEN subsetD*]

**inductive-cases** *ImpE*:  $p \Rightarrow q \in \text{propn}$

**lemma** *thms-MP*:  $[H \mid - p \Rightarrow q; H \mid - p] \Rightarrow H \mid - q$   
 — Stronger Modus Ponens rule: no typechecking!  
**apply** (*rule thms.MP*)  
**apply** (*erule asm-rl thms-in-pl thms-in-pl [THEN ImpE]*) +  
**done**

**lemma** *thms-I*:  $p \in \text{propn} \Rightarrow H \mid - p \Rightarrow p$   
 — Rule is called *I* for Identity Combinator, not for Introduction.  
**apply** (*rule thms.S [THEN thms-MP, THEN thms-MP]*)  
**apply** (*rule-tac [5] thms.K*)  
**apply** (*rule-tac [4] thms.K*)  
**apply** *simp-all*  
**done**

#### 10.4.1 Weakening, left and right

**lemma** *weaken-left*:  $[G \subseteq H; G \mid - p] \Rightarrow H \mid - p$   
 — Order of premises is convenient with *THEN*  
**by** (*erule thms-mono [THEN subsetD]*)

**lemma** *weaken-left-cons*:  $H \mid - p \Rightarrow \text{cons}(a, H) \mid - p$   
**by** (*erule subset-consI [THEN weaken-left]*)

**lemmas** *weaken-left-Un1* = *Un-upper1* [*THEN weaken-left*]

**lemmas** *weaken-left-Un2* = *Un-upper2* [*THEN weaken-left*]

**lemma** *weaken-right*:  $[H \mid - q; p \in \text{propn}] \Rightarrow H \mid - p \Rightarrow q$   
**by** (*simp-all add: thms.K [THEN thms-MP] thms-in-pl*)

#### 10.4.2 The deduction theorem

**theorem** *deduction*:  $[ \text{cons}(p, H) \mid - q; p \in \text{propn} ] \Rightarrow H \mid - p \Rightarrow q$   
**apply** (*erule thms.induct*)  
**apply** (*blast intro: thms-I thms.H [THEN weaken-right]*)  
**apply** (*blast intro: thms.K [THEN weaken-right]*)  
**apply** (*blast intro: thms.S [THEN weaken-right]*)  
**apply** (*blast intro: thms.DN [THEN weaken-right]*)  
**apply** (*blast intro: thms.S [THEN thms-MP [THEN thms-MP]]*)  
**done**

#### 10.4.3 The cut rule

**lemma** *cut*:  $[H \mid - p; \text{cons}(p, H) \mid - q] \Rightarrow H \mid - q$   
**apply** (*rule deduction [THEN thms-MP]*)  
**apply** (*simp-all add: thms-in-pl*)  
**done**

```

lemma thms-FlsE: [|  $H \vdash \text{Fls}$ ;  $p \in \text{propn}$  |] ==>  $H \vdash p$ 
  apply (rule thms.DN [THEN thms-MP])
  apply (rule-tac [2] weaken-right)
  apply (simp-all add: propn.intros)
done

```

```

lemma thms-notE: [|  $H \vdash p \Rightarrow \text{Fls}$ ;  $H \vdash p$ ;  $q \in \text{propn}$  |] ==>  $H \vdash q$ 
  by (erule thms-MP [THEN thms-FlsE])

```

#### 10.4.4 Soundness of the rules wrt truth-table semantics

```

theorem soundness:  $H \vdash p \Rightarrow H \models p$ 
  apply (unfold logcon-def)
  apply (erule thms.induct)
  apply auto
done

```

### 10.5 Completeness

#### 10.5.1 Towards the completeness proof

```

lemma Fls-Imp: [|  $H \vdash p \Rightarrow \text{Fls}$ ;  $q \in \text{propn}$  |] ==>  $H \vdash p \Rightarrow q$ 
  apply (frule thms-in-pl)
  apply (rule deduction)
  apply (rule weaken-left-cons [THEN thms-notE])
  apply (blast intro: thms.H elim: ImpE)+
done

```

```

lemma Imp-Fls: [|  $H \vdash p$ ;  $H \vdash q \Rightarrow \text{Fls}$  |] ==>  $H \vdash (p \Rightarrow q) \Rightarrow \text{Fls}$ 
  apply (frule thms-in-pl)
  apply (frule thms-in-pl [of concl:  $q \Rightarrow \text{Fls}$ ])
  apply (rule deduction)
  apply (erule weaken-left-cons [THEN thms-MP])
  apply (rule consI1 [THEN thms.H, THEN thms-MP])
  apply (blast intro: weaken-left-cons elim: ImpE)+
done

```

```

lemma hyps-thms-if:
   $p \in \text{propn} \Rightarrow \text{hyps}(p,t) \vdash (\text{if is-true}(p,t) \text{ then } p \text{ else } p \Rightarrow \text{Fls})$ 
  — Typical example of strengthening the induction statement.
  apply simp
  apply (induct-tac p)
  apply (simp-all add: thms-I thms.H)
  apply (safe elim!: Fls-Imp [THEN weaken-left-Un1] Fls-Imp [THEN weaken-left-Un2])
  apply (blast intro: weaken-left-Un1 weaken-left-Un2 weaken-right Imp-Fls)+
done

```

```

lemma logcon-thms-p: [|  $p \in \text{propn}$ ;  $0 \models p$  |] ==>  $\text{hyps}(p,t) \vdash p$ 
  — Key lemma for completeness; yields a set of assumptions satisfying  $p$ 

```

```

apply (drule hyps-thms-if)
apply (simp add: logcon-def)
done

```

For proving certain theorems in our new propositional logic.

```

lemmas propn-SIs = propn.intros deduction
and propn-Is = thms-in-pl thms.H thms.H [THEN thms-MP]

```

The excluded middle in the form of an elimination rule.

```

lemma thms-excluded-middle:
  [| p ∈ propn; q ∈ propn |] ==> H |- (p=>q) => ((p=>Fls)=>q) => q
apply (rule deduction [THEN deduction])
apply (rule thms.DN [THEN thms-MP])
apply (best intro!: propn-SIs intro: propn-Is)+
done

```

```

lemma thms-excluded-middle-rule:
  [| cons(p,H) |- q; cons(p=>Fls,H) |- q; p ∈ propn |] ==> H |- q
  — Hard to prove directly because it requires cuts
apply (rule thms-excluded-middle [THEN thms-MP, THEN thms-MP])
apply (blast intro!: propn-SIs intro: propn-Is)+
done

```

### 10.5.2 Completeness – lemmas for reducing the set of assumptions

For the case  $\text{hyps}(p, t) - \text{cons}(\#v, Y) \vdash p$  we also have  $\text{hyps}(p, t) - \{\#v\} \subseteq \text{hyps}(p, t - \{v\})$ .

```

lemma hyps-Diff:
  p ∈ propn ==> hyps(p, t - {v}) ⊆ cons(#v=>Fls, hyps(p,t) - {#v})
by (induct-tac p) auto

```

For the case  $\text{hyps}(p, t) - \text{cons}(\#v \Rightarrow \text{Fls}, Y) \vdash p$  we also have  $\text{hyps}(p, t) - \{\#v \Rightarrow \text{Fls}\} \subseteq \text{hyps}(p, \text{cons}(v, t))$ .

```

lemma hyps-cons:
  p ∈ propn ==> hyps(p, cons(v,t)) ⊆ cons(#v, hyps(p,t) - {#v=>Fls})
by (induct-tac p) auto

```

Two lemmas for use with *weaken-left*

```

lemma cons-Diff-same: B - C ⊆ cons(a, B - cons(a,C))
by blast

```

```

lemma cons-Diff-subset2: cons(a, B - {c}) - D ⊆ cons(a, B - cons(c,D))
by blast

```

The set  $\text{hyps}(p, t)$  is finite, and elements have the form  $\#v$  or  $\#v \Rightarrow \text{Fls}$ ; could probably prove the stronger  $\text{hyps}(p, t) \in \text{Fin}(\text{hyps}(p, 0) \cup \text{hyps}(p, \text{nat}))$ .

**lemma** *hyps-finite*:  $p \in \text{propn} \implies \text{hyps}(p, t) \in \text{Fin}(\bigcup v \in \text{nat}. \{\#v, \#v \Rightarrow \text{Fls}\})$   
**by** (*induct-tac* *p*) *auto*

**lemmas** *Diff-weaken-left* = *Diff-mono* [*OF* - *subset-refl*, *THEN* *weaken-left*]

Induction on the finite set of assumptions  $\text{hyps}(p, t0)$ . We may repeatedly subtract assumptions until none are left!

**lemma** *completeness-0-lemma* [*rule-format*]:  
 $[\mid p \in \text{propn}; \ 0 \models p \mid] \implies \forall t. \text{hyps}(p, t) - \text{hyps}(p, t0) \vdash p$   
**apply** (*frule* *hyps-finite*)  
**apply** (*erule* *Fin-induct*)  
**apply** (*simp* *add: logcon-thms-p* *Diff-0*)

inductive step

**apply** *safe*

Case  $\text{hyps}(p, t) - \text{cons}(\#v, Y) \vdash p$

**apply** (*rule* *thms-excluded-middle-rule*)  
**apply** (*erule-tac* [3] *propn.intros*)  
**apply** (*blast* *intro: cons-Diff-same* [*THEN* *weaken-left*])  
**apply** (*blast* *intro: cons-Diff-subset2* [*THEN* *weaken-left*])  
*hyps-Diff* [*THEN* *Diff-weaken-left*])

Case  $\text{hyps}(p, t) - \text{cons}(\#v \Rightarrow \text{Fls}, Y) \vdash p$

**apply** (*rule* *thms-excluded-middle-rule*)  
**apply** (*erule-tac* [3] *propn.intros*)  
**apply** (*blast* *intro: cons-Diff-subset2* [*THEN* *weaken-left*])  
*hyps-cons* [*THEN* *Diff-weaken-left*])  
**apply** (*blast* *intro: cons-Diff-same* [*THEN* *weaken-left*])  
**done**

### 10.5.3 Completeness theorem

**lemma** *completeness-0*:  $[\mid p \in \text{propn}; \ 0 \models p \mid] \implies 0 \vdash p$

— The base case for completeness

**apply** (*rule* *Diff-cancel* [*THEN* *subst*])  
**apply** (*blast* *intro: completeness-0-lemma*)  
**done**

**lemma** *logcon-Imp*:  $[\mid \text{cons}(p, H) \models q \mid] \implies H \models p \Rightarrow q$

— A semantic analogue of the Deduction Theorem

**by** (*simp* *add: logcon-def*)

**lemma** *completeness* [*rule-format*]:

$H \in \text{Fin}(\text{propn}) \implies \forall p \in \text{propn}. H \models p \dashv\dashv H \vdash p$   
**apply** (*erule* *Fin-induct*)  
**apply** (*safe* *intro!: completeness-0*)  
**apply** (*rule* *weaken-left-cons* [*THEN* *thms-MP*])  
**apply** (*blast* *intro!: logcon-Imp* *propn.intros*)

```

apply (blast intro: propn-Is)
done

theorem thms-iff:  $H \in \text{Fin}(\text{propn}) \implies H \vdash p \leftrightarrow H \models p \wedge p \in \text{propn}$ 
  by (blast intro: soundness completeness thms-in-pl)

end

```

## 11 Lists of $n$ elements

**theory** ListN **imports** Main **begin**

Inductive definition of lists of  $n$  elements; see [?].

```

consts listn ::  $i \Rightarrow i$ 
inductive
  domains listn(A)  $\subseteq \text{nat} \times \text{list}(A)$ 
  intros
    NilI:  $\langle 0, \text{Nil} \rangle \in \text{listn}(A)$ 
    ConsI:  $\llbracket a \in A; \langle n, l \rangle \in \text{listn}(A) \rrbracket \implies \langle \text{succ}(n), \text{Cons}(a, l) \rangle \in \text{listn}(A)$ 
  type-intros nat-typechecks list.intros

```

```

lemma list-into-listn:  $l \in \text{list}(A) \implies \langle \text{length}(l), l \rangle \in \text{listn}(A)$ 
  by (erule list.induct) (simp-all add: listn.intros)

```

```

lemma listn-iff:  $\langle n, l \rangle \in \text{listn}(A) \leftrightarrow l \in \text{list}(A) \ \& \ \text{length}(l)=n$ 
  apply (rule iffI)
  apply (erule listn.induct)
  apply auto
  apply (blast intro: list-into-listn)
done

```

```

lemma listn-image-eq:  $\text{listn}(A) \text{ ``}\{n\} = \{l \in \text{list}(A). \text{length}(l)=n\}$ 
  apply (rule equality-iffI)
  apply (simp add: listn-iff separation image-singleton-iff)
done

```

```

lemma listn-mono:  $A \subseteq B \implies \text{listn}(A) \subseteq \text{listn}(B)$ 
  apply (unfold listn.defs)
  apply (rule lfp-mono)
  apply (rule listn.bnd-mono)+
  apply (assumption | rule univ-mono Sigma-mono list-mono basic-monos)+
done

```

```

lemma listn-append:
   $\llbracket \langle n, l \rangle \in \text{listn}(A); \langle n', l' \rangle \in \text{listn}(A) \rrbracket \implies \langle n\# + n', l @ l' \rangle \in \text{listn}(A)$ 
  apply (erule listn.induct)
  apply (frule listn.dom-subset [THEN subsetD])

```

```

    apply (simp-all add: listn.intros)
  done

inductive-cases
  Nil-listn-case: <i,Nil> ∈ listn(A)
  and Cons-listn-case: <i,Cons(x,l)> ∈ listn(A)

inductive-cases
  zero-listn-case: <0,l> ∈ listn(A)
  and succ-listn-case: <succ(i),l> ∈ listn(A)

end

```

## 12 Combinatory Logic example: the Church-Rosser Theorem

**theory** *Comb* **imports** *Main* **begin**

Curiously, combinators do not include free variables.

Example taken from [?].

### 12.1 Definitions

Datatype definition of combinators  $S$  and  $K$ .

```

consts comb :: i
datatype comb =
  K
  | S
  | app (p ∈ comb, q ∈ comb)    (infixl @@ 90)

```

Inductive definition of contractions,  $-1->$  and (multi-step) reductions,  $---->$ .

```

consts
  contract :: i
syntax
  -contract      :: [i,i] => o    (infixl -1-> 50)
  -contract-multi :: [i,i] => o    (infixl ----> 50)
translations
  p -1-> q == <p,q> ∈ contract
  p ----> q == <p,q> ∈ contract^*

```

```

syntax (xsymbols)
  comb.app    :: [i, i] => i      (infixl · 90)

```

```

inductive
  domains contract ⊆ comb × comb

```



**intros**

$K: \llbracket p \in \text{comb}; q \in \text{comb} \rrbracket \implies K \cdot p \cdot q - 1 -> p$   
 $S: \llbracket p \in \text{comb}; q \in \text{comb}; r \in \text{comb} \rrbracket \implies S \cdot p \cdot q \cdot r - 1 -> (p \cdot r) \cdot (q \cdot r)$   
 $Ap1: \llbracket p - 1 -> q; r \in \text{comb} \rrbracket \implies p \cdot r - 1 -> q \cdot r$   
 $Ap2: \llbracket p - 1 -> q; r \in \text{comb} \rrbracket \implies r \cdot p - 1 -> r \cdot q$

**type-intros** *comb.intros*

Inductive definition of parallel contractions,  $=1=>$  and (multi-step) parallel reductions,  $===>$ .

**consts**

*parcontract* :: *i*

**syntax**

$\text{-parcontract} :: [i, i] \Rightarrow o \quad (\text{infixl } =1=> \ 50)$   
 $\text{-parcontract-multi} :: [i, i] \Rightarrow o \quad (\text{infixl } ===> \ 50)$

**translations**

$p =1=> q \iff \langle p, q \rangle \in \text{parcontract}$   
 $p ===> q \iff \langle p, q \rangle \in \text{parcontract}^+$

**inductive**

**domains** *parcontract*  $\subseteq \text{comb} \times \text{comb}$

**intros**

$\text{refl}: \llbracket p \in \text{comb} \rrbracket \implies p =1=> p$   
 $K: \llbracket p \in \text{comb}; q \in \text{comb} \rrbracket \implies K \cdot p \cdot q =1=> p$   
 $S: \llbracket p \in \text{comb}; q \in \text{comb}; r \in \text{comb} \rrbracket \implies S \cdot p \cdot q \cdot r =1=> (p \cdot r) \cdot (q \cdot r)$   
 $Ap: \llbracket p =1=> q; r =1=> s \rrbracket \implies p \cdot r =1=> q \cdot s$

**type-intros** *comb.intros*

Misc definitions.

**constdefs**

$I :: i$

$I == S \cdot K \cdot K$

$\text{diamond} :: i \Rightarrow o$

$\text{diamond}(r) ==$

$\forall x y. \langle x, y \rangle \in r \longrightarrow (\forall y'. \langle x, y' \rangle \in r \longrightarrow (\exists z. \langle y, z \rangle \in r \ \& \ \langle y', z \rangle \in r))$

## 12.2 Transitive closure preserves the Church-Rosser property

**lemma** *diamond-strip-lemmaD* [*rule-format*]:

$\llbracket \text{diamond}(r); \langle x, y \rangle : r^+ \rrbracket \implies$   
 $\forall y'. \langle x, y' \rangle : r \longrightarrow (\exists z. \langle y', z \rangle : r^+ \ \& \ \langle y, z \rangle : r)$

**apply** (*unfold diamond-def*)

**apply** (*erule trancl-induct*)

**apply** (*blast intro: r-into-trancl*)

**apply** *clarify*

**apply** (*drule spec* [*THEN mp*], *assumption*)

**apply** (*blast intro: r-into-trancl trans-trancl* [*THEN transD*])

**done**

```

lemma diamond-trancl: diamond(r) ==> diamond(r+)
  apply (simp (no-asm-simp) add: diamond-def)
  apply (rule impI [THEN allI, THEN allI])
  apply (erule trancl-induct)
  apply auto
  apply (best intro: r-into-trancl trans-trancl [THEN transD]
    dest: diamond-strip-lemmaD) +
  done

```

```

inductive-cases Ap-E [elim!]: p•q ∈ comb

```

```

declare comb.intros [intro!]

```

### 12.3 Results about Contraction

For type checking: replaces  $a -1-> b$  by  $a, b \in \text{comb}$ .

```

lemmas contract-combE2 = contract.dom-subset [THEN subsetD, THEN SigmaE2]
  and contract-combD1 = contract.dom-subset [THEN subsetD, THEN SigmaD1]
  and contract-combD2 = contract.dom-subset [THEN subsetD, THEN SigmaD2]

```

```

lemma field-contract-eq: field(contract) = comb
  by (blast intro: contract.K elim!: contract-combE2)

```

```

lemmas reduction-refl =
  field-contract-eq [THEN equalityD2, THEN subsetD, THEN rtrancl-refl]

```

```

lemmas rtrancl-into-rtrancl2 =
  r-into-rtrancl [THEN trans-rtrancl [THEN transD]]

```

```

declare reduction-refl [intro!] contract.K [intro!] contract.S [intro!]

```

```

lemmas reduction-rls =
  contract.K [THEN rtrancl-into-rtrancl2]
  contract.S [THEN rtrancl-into-rtrancl2]
  contract.Ap1 [THEN rtrancl-into-rtrancl2]
  contract.Ap2 [THEN rtrancl-into-rtrancl2]

```

```

lemma p ∈ comb ==> I•p ---> p
  — Example only: not used
  by (unfold I-def) (blast intro: reduction-rls)

```

```

lemma comb-I: I ∈ comb
  by (unfold I-def) blast

```

### 12.4 Non-contraction results

Derive a case for each combinator constructor.

**inductive-cases**

$K\text{-contractE}$  [elim!]:  $K -1-> r$   
**and**  $S\text{-contractE}$  [elim!]:  $S -1-> r$   
**and**  $Ap\text{-contractE}$  [elim!]:  $p \cdot q -1-> r$

**lemma**  $I\text{-contract-E}$ :  $I -1-> r ==> P$   
**by** (*auto simp add: I-def*)

**lemma**  $K1\text{-contractD}$ :  $K \cdot p -1-> r ==> (\exists q. r = K \cdot q \ \& \ p -1-> q)$   
**by** *auto*

**lemma**  $Ap\text{-reduce1}$ :  $[p \dashrightarrow q; r \in \text{comb}] ==> p \cdot r \dashrightarrow q \cdot r$   
**apply** (*frule rtrancl-type [THEN subsetD, THEN SigmaD1]*)  
**apply** (*drule field-contract-eq [THEN equalityD1, THEN subsetD]*)  
**apply** (*erule rtrancl-induct*)  
**apply** (*blast intro: reduction-rls*)  
**apply** (*erule trans-rtrancl [THEN transD]*)  
**apply** (*blast intro: contract-combD2 reduction-rls*)  
**done**

**lemma**  $Ap\text{-reduce2}$ :  $[p \dashrightarrow q; r \in \text{comb}] ==> r \cdot p \dashrightarrow r \cdot q$   
**apply** (*frule rtrancl-type [THEN subsetD, THEN SigmaD1]*)  
**apply** (*drule field-contract-eq [THEN equalityD1, THEN subsetD]*)  
**apply** (*erule rtrancl-induct*)  
**apply** (*blast intro: reduction-rls*)  
**apply** (*blast intro: trans-rtrancl [THEN transD]*  
*contract-combD2 reduction-rls*)  
**done**

Counterexample to the diamond property for  $-1->$ .

**lemma**  $KIII\text{-contract1}$ :  $K \cdot I \cdot (I \cdot I) -1-> I$   
**by** (*blast intro: comb.intros contract.K comb-I*)

**lemma**  $KIII\text{-contract2}$ :  $K \cdot I \cdot (I \cdot I) -1-> K \cdot I \cdot ((K \cdot I) \cdot (K \cdot I))$   
**by** (*unfold I-def*) (*blast intro: comb.intros contract.intros*)

**lemma**  $KIII\text{-contract3}$ :  $K \cdot I \cdot ((K \cdot I) \cdot (K \cdot I)) -1-> I$   
**by** (*blast intro: comb.intros contract.K comb-I*)

**lemma**  $\text{not-diamond-contract}$ :  $\neg \text{diamond}(\text{contract})$   
**apply** (*unfold diamond-def*)  
**apply** (*blast intro: KIII-contract1 KIII-contract2 KIII-contract3*  
*elim!: I-contract-E*)  
**done**

## 12.5 Results about Parallel Contraction

For type checking: replaces  $a =1=> b$  by  $a, b \in \text{comb}$

**lemmas**  $\text{parcontract-combE2} = \text{parcontract.dom-subset}$  [*THEN subsetD, THEN*

*SigmaE2*]  
**and** *parcontract-combD1* = *parcontract.dom-subset* [*THEN subsetD*, *THEN SigmaD1*]  
**and** *parcontract-combD2* = *parcontract.dom-subset* [*THEN subsetD*, *THEN SigmaD2*]

**lemma** *field-parcontract-eq*: *field(parcontract) = comb*  
**by** (*blast intro: parcontract.K elim!: parcontract-combE2*)

Derive a case for each combinator constructor.

**inductive-cases**

*K-parcontractE* [*elim!*]: *K = 1 ==> r*  
**and** *S-parcontractE* [*elim!*]: *S = 1 ==> r*  
**and** *Ap-parcontractE* [*elim!*]: *p · q = 1 ==> r*

**declare** *parcontract.intros* [*intro*]

## 12.6 Basic properties of parallel contraction

**lemma** *K1-parcontractD* [*dest!*]:  
 $K \cdot p = 1 ==> r ==> (\exists p'. r = K \cdot p' \ \& \ p = 1 ==> p')$   
**by** *auto*

**lemma** *S1-parcontractD* [*dest!*]:  
 $S \cdot p = 1 ==> r ==> (\exists p'. r = S \cdot p' \ \& \ p = 1 ==> p')$   
**by** *auto*

**lemma** *S2-parcontractD* [*dest!*]:  
 $S \cdot p \cdot q = 1 ==> r ==> (\exists p' q'. r = S \cdot p' \cdot q' \ \& \ p = 1 ==> p' \ \& \ q = 1 ==> q')$   
**by** *auto*

**lemma** *diamond-parcontract*: *diamond(parcontract)*  
— Church-Rosser property for parallel contraction  
**apply** (*unfold diamond-def*)  
**apply** (*rule impI* [*THEN allI*, *THEN allI*])  
**apply** (*erule parcontract.induct*)  
**apply** (*blast elim!: comb.free-elim intro: parcontract-combD2*) +  
**done**

Equivalence of  $p \dashrightarrow q$  and  $p ==> q$ .

**lemma** *contract-imp-parcontract*:  $p - 1 -> q ==> p = 1 ==> q$   
**by** (*erule contract.induct*) *auto*

**lemma** *reduce-imp-parreduce*:  $p \dashrightarrow q ==> p ==> q$   
**apply** (*frule rtracL-type* [*THEN subsetD*, *THEN SigmaD1*])  
**apply** (*drule field-contract-eq* [*THEN equalityD1*, *THEN subsetD*])  
**apply** (*erule rtracL-induct*)  
**apply** (*blast intro: r-into-tracL*)  
**apply** (*blast intro: contract-imp-parcontract r-into-tracL*)

```

    trans-trancl [THEN transD])
  done

lemma parcontract-imp-reduce:  $p=1=>q ==> p---->q$ 
  apply (erule parcontract.induct)
  apply (blast intro: reduction-rls)
  apply (blast intro: reduction-rls)
  apply (blast intro: reduction-rls)
  apply (blast intro: trans-rtrancl [THEN transD])
  Ap-reduce1 Ap-reduce2 parcontract-combD1 parcontract-combD2)
done

lemma parreduce-imp-reduce:  $p===>q ==> p--->q$ 
  apply (frule trancl-type [THEN subsetD, THEN SigmaD1])
  apply (drule field-parcontract-eq [THEN equalityD1, THEN subsetD])
  apply (erule trancl-induct, erule parcontract-imp-reduce)
  apply (erule trans-rtrancl [THEN transD])
  apply (erule parcontract-imp-reduce)
done

lemma parreduce-iff-reduce:  $p===>q <-> p--->q$ 
  by (blast intro: parreduce-imp-reduce reduce-imp-parreduce)

end

```

## 13 Primitive Recursive Functions: the inductive definition

**theory Primrec imports Main begin**

Proof adopted from [?].

See also [?, page 250, exercise 11].

### 13.1 Basic definitions

**constdefs**

$SC :: i$

$SC == \lambda l \in list(nat). list-case(0, \lambda x xs. succ(x), l)$

$CONST :: i=>i$

$CONST(k) == \lambda l \in list(nat). k$

$PROJ :: i=>i$

$PROJ(i) == \lambda l \in list(nat). list-case(0, \lambda x xs. x, drop(i,l))$

$COMP :: [i,i]=>i$

$COMP(g,fs) == \lambda l \in list(nat). g \text{ ' } List.map(\lambda f. f'l, fs)$

$PREC :: [i,i] \Rightarrow i$   
 $PREC(f,g) ==$   
 $\lambda l \in list(nat). list-case(0,$   
 $\lambda x xs. rec(x, f'xs, \lambda y r. g \text{ ' } Cons(r, Cons(y, xs))), l)$   
 — Note that  $g$  is applied first to  $PREC(f, g) \text{ ' } y$  and then to  $y$ !

**consts**

$ACK :: i \Rightarrow i$

**primrec**

$ACK(0) = SC$

$ACK(succ(i)) = PREC (CONST (ACK(i) \text{ ' } [1]), COMP(ACK(i), [PROJ(0)]))$

**syntax**

$ack :: [i,i] \Rightarrow i$

**translations**

$ack(x,y) == ACK(x) \text{ ' } [y]$

Useful special cases of evaluation.

**lemma**  $SC$ :  $[| x \in nat; l \in list(nat) |] \Rightarrow SC \text{ ' } (Cons(x,l)) = succ(x)$   
**by** (*simp add: SC-def*)

**lemma**  $CONST$ :  $l \in list(nat) \Rightarrow CONST(k) \text{ ' } l = k$   
**by** (*simp add: CONST-def*)

**lemma**  $PROJ-0$ :  $[| x \in nat; l \in list(nat) |] \Rightarrow PROJ(0) \text{ ' } (Cons(x,l)) = x$   
**by** (*simp add: PROJ-def*)

**lemma**  $COMP-1$ :  $l \in list(nat) \Rightarrow COMP(g,[f]) \text{ ' } l = g \text{ ' } [f'l]$   
**by** (*simp add: COMP-def*)

**lemma**  $PREC-0$ :  $l \in list(nat) \Rightarrow PREC(f,g) \text{ ' } (Cons(0,l)) = f'l$   
**by** (*simp add: PREC-def*)

**lemma**  $PREC-succ$ :

$[| x \in nat; l \in list(nat) |]$   
 $\Rightarrow PREC(f,g) \text{ ' } (Cons(succ(x),l)) =$   
 $g \text{ ' } Cons(PREC(f,g) \text{ ' } (Cons(x,l)), Cons(x,l))$   
**by** (*simp add: PREC-def*)

## 13.2 Inductive definition of the PR functions

**consts**

$prim-rec :: i$

**inductive**

**domains**  $prim-rec \subseteq list(nat) \rightarrow nat$

**intros**

$SC \in prim-rec$

```

    k ∈ nat ==> CONST(k) ∈ prim-rec
    i ∈ nat ==> PROJ(i) ∈ prim-rec
    [| g ∈ prim-rec; fs ∈ list(prim-rec) |] ==> COMP(g,fs) ∈ prim-rec
    [| f ∈ prim-rec; g ∈ prim-rec |] ==> PREC(f,g) ∈ prim-rec
monos list-mono
con-defs SC-def CONST-def PROJ-def COMP-def PREC-def
type-intros nat-typechecks list.intros
    lam-type list-case-type drop-type List.map-type
    apply-type rec-type

lemma prim-rec-into-fun [TC]: c ∈ prim-rec ==> c ∈ list(nat) -> nat
  by (erule subsetD [OF prim-rec.dom-subset])

lemmas [TC] = apply-type [OF prim-rec-into-fun]

declare prim-rec.intros [TC]
declare nat-into-Ord [TC]
declare rec-type [TC]

lemma ACK-in-prim-rec [TC]: i ∈ nat ==> ACK(i) ∈ prim-rec
  by (induct-tac i) simp-all

lemma ack-type [TC]: [| i ∈ nat; j ∈ nat |] ==> ack(i,j) ∈ nat
  by auto



### 13.3 Ackermann's function cases

lemma ack-0: j ∈ nat ==> ack(0,j) = succ(j)
  — PROPERTY A 1
  by (simp add: SC)

lemma ack-succ-0: ack(succ(i), 0) = ack(i,1)
  — PROPERTY A 2
  by (simp add: CONST PREC-0)

lemma ack-succ-succ:
  [| i ∈ nat; j ∈ nat |] ==> ack(succ(i), succ(j)) = ack(i, ack(succ(i), j))
  — PROPERTY A 3
  by (simp add: CONST PREC-succ COMP-1 PROJ-0)

lemmas [simp] = ack-0 ack-succ-0 ack-succ-succ ack-type
  and [simp del] = ACK.simps

lemma lt-ack2 [rule-format]: i ∈ nat ==> ∀ j ∈ nat. j < ack(i,j)
  — PROPERTY A 4
  apply (induct-tac i)
  apply simp

```

```

apply (rule ballI)
apply (induct-tac j)
apply (erule-tac [2] succ-leI [THEN lt-trans1])
apply (rule nat-0I [THEN nat-0-le, THEN lt-trans])
apply auto
done

lemma ack-lt-ack-succ2:  $[i \in \text{nat}; j \in \text{nat}] \implies \text{ack}(i, j) < \text{ack}(i, \text{succ}(j))$ 
  — PROPERTY A 5-, the single-step lemma
  by (induct-tac i) (simp-all add: lt-ack2)

lemma ack-lt-mono2:  $[j < k; i \in \text{nat}; k \in \text{nat}] \implies \text{ack}(i, j) < \text{ack}(i, k)$ 
  — PROPERTY A 5, monotonicity for <
  apply (frule lt-nat-in-nat, assumption)
  apply (erule succ-lt-induct)
  apply assumption
  apply (rule-tac [2] lt-trans)
  apply (auto intro: ack-lt-ack-succ2)
done

lemma ack-le-mono2:  $[j \leq k; i \in \text{nat}; k \in \text{nat}] \implies \text{ack}(i, j) \leq \text{ack}(i, k)$ 
  — PROPERTY A 5', monotonicity for ≤
  apply (rule-tac  $f = \lambda j. \text{ack}(i, j)$  in Ord-lt-mono-imp-le-mono)
  apply (assumption | rule ack-lt-mono2 ack-type [THEN nat-into-Ord])
done

lemma ack2-le-ack1:
   $[i \in \text{nat}; j \in \text{nat}] \implies \text{ack}(i, \text{succ}(j)) \leq \text{ack}(\text{succ}(i), j)$ 
  — PROPERTY A 6
  apply (induct-tac j)
  apply simp-all
  apply (rule ack-le-mono2)
  apply (rule lt-ack2 [THEN succ-leI, THEN le-trans])
  apply auto
done

lemma ack-lt-ack-succ1:  $[i \in \text{nat}; j \in \text{nat}] \implies \text{ack}(i, j) < \text{ack}(\text{succ}(i), j)$ 
  — PROPERTY A 7-, the single-step lemma
  apply (rule ack-lt-mono2 [THEN lt-trans2])
  apply (rule-tac [4] ack2-le-ack1)
  apply auto
done

lemma ack-lt-mono1:  $[i < j; j \in \text{nat}; k \in \text{nat}] \implies \text{ack}(i, k) < \text{ack}(j, k)$ 
  — PROPERTY A 7, monotonicity for <
  apply (frule lt-nat-in-nat, assumption)
  apply (erule succ-lt-induct)
  apply assumption
  apply (rule-tac [2] lt-trans)

```



```

    apply (auto intro: ack-lt-ack-succ1)
  done

lemma ack-le-mono1: [| i ≤ j; j ∈ nat; k ∈ nat |] ==> ack(i,k) ≤ ack(j,k)
  — PROPERTY A 7', monotonicity for ≤
  apply (rule-tac f = λj. ack (j,k) in Ord-lt-mono-imp-le-mono)
  apply (assumption | rule ack-lt-mono1 ack-type [THEN nat-into-Ord])
  done

lemma ack-1: j ∈ nat ==> ack(1,j) = succ(succ(j))
  — PROPERTY A 8
  by (induct-tac j) simp-all

lemma ack-2: j ∈ nat ==> ack(succ(1),j) = succ(succ(succ(j#+j)))
  — PROPERTY A 9
  by (induct-tac j) (simp-all add: ack-1)

lemma ack-nest-bound:
  [| i1 ∈ nat; i2 ∈ nat; j ∈ nat |]
  ==> ack(i1, ack(i2,j)) < ack(succ(succ(i1#+i2)), j)
  — PROPERTY A 10
  apply (rule lt-trans2 [OF - ack2-le-ack1])
  apply simp
  apply (rule add-le-self [THEN ack-le-mono1, THEN lt-trans1])
  apply auto
  apply (force intro: add-le-self2 [THEN ack-lt-mono1, THEN ack-lt-mono2])
  done

lemma ack-add-bound:
  [| i1 ∈ nat; i2 ∈ nat; j ∈ nat |]
  ==> ack(i1,j) #+ ack(i2,j) < ack(succ(succ(succ(succ(i1#+i2))))), j)
  — PROPERTY A 11
  apply (rule-tac j = ack (succ (1), ack (i1 #+ i2, j)) in lt-trans)
  apply (simp add: ack-2)
  apply (rule-tac [2] ack-nest-bound [THEN lt-trans2])
  apply (rule add-le-mono [THEN leI, THEN leI])
  apply (auto intro: add-le-self add-le-self2 ack-le-mono1)
  done

lemma ack-add-bound2:
  [| i < ack(k,j); j ∈ nat; k ∈ nat |]
  ==> i#+j < ack(succ(succ(succ(succ(k))))), j)
  — PROPERTY A 12.
  — Article uses existential quantifier but the ALF proof used k #+ #4.
  — Quantified version must be nested ∃ k'. ∀ i,j ...
  apply (rule-tac j = ack (k,j) #+ ack (0,j) in lt-trans)
  apply (rule-tac [2] ack-add-bound [THEN lt-trans2])
  apply (rule add-lt-mono)
  apply auto

```

done

### 13.4 Main result

declare *list-add-type* [*simp*]

lemma *SC-case*:  $l \in \text{list}(\text{nat}) \implies SC \text{ ' } l < \text{ack}(1, \text{list-add}(l))$   
 apply (*unfold SC-def*)  
 apply (*erule list.cases*)  
 apply (*simp add: succ-iff*)  
 apply (*simp add: ack-1 add-le-self*)  
 done

lemma *lt-ack1*:  $[\![\ i \in \text{nat}; j \in \text{nat} \ ]\!] \implies i < \text{ack}(i, j)$   
 — PROPERTY A 4'? Extra lemma needed for *CONST* case, constant functions.

apply (*induct-tac i*)  
 apply (*simp add: nat-0-le*)  
 apply (*erule lt-trans1 [OF succ-leI ack-lt-ack-succ1]*)  
 apply *auto*  
 done

lemma *CONST-case*:  
 $[\![\ l \in \text{list}(\text{nat}); k \in \text{nat} \ ]\!] \implies \text{CONST}(k) \text{ ' } l < \text{ack}(k, \text{list-add}(l))$   
 by (*simp add: CONST-def lt-ack1*)

lemma *PROJ-case* [*rule-format*]:  
 $l \in \text{list}(\text{nat}) \implies \forall i \in \text{nat}. \text{PROJ}(i) \text{ ' } l < \text{ack}(0, \text{list-add}(l))$   
 apply (*unfold PROJ-def*)  
 apply *simp*  
 apply (*erule list.induct*)  
 apply (*simp add: nat-0-le*)  
 apply *simp*  
 apply (*rule ballI*)  
 apply (*erule-tac n = i in natE*)  
 apply (*simp add: add-le-self*)  
 apply *simp*  
 apply (*erule bspec [THEN lt-trans2]*)  
 apply (*rule-tac [2] add-le-self2 [THEN succ-leI]*)  
 apply *auto*  
 done

*COMP* case.

lemma *COMP-map-lemma*:  
 $fs \in \text{list}(\{f \in \text{prim-rec}. \exists kf \in \text{nat}. \forall l \in \text{list}(\text{nat}). f'l < \text{ack}(kf, \text{list-add}(l))\})$   
 $\implies \exists k \in \text{nat}. \forall l \in \text{list}(\text{nat}).$   
 $\text{list-add}(\text{map}(\lambda f. f \text{ ' } l, fs)) < \text{ack}(k, \text{list-add}(l))$   
 apply (*erule list.induct*)  
 apply (*rule-tac x = 0 in bexI*)

```

  apply (simp-all add: lt-ack1 nat-0-le)
  apply clarify
  apply (rule ballI [THEN beXI])
  apply (rule add-lt-mono [THEN lt-trans])
    apply (rule-tac [5] ack-add-bound)
      apply blast
      apply auto
  done

```

**lemma** *COMP-case*:

```

[[ kg ∈ nat;
  ∀ l ∈ list(nat). g'l < ack(kg, list-add(l));
  fs ∈ list({f ∈ prim-rec .
    ∃ kf ∈ nat. ∀ l ∈ list(nat).
      f'l < ack(kf, list-add(l))}) ]]
==> ∃ k ∈ nat. ∀ l ∈ list(nat). COMP(g,fs)'l < ack(k, list-add(l))
  apply (simp add: COMP-def)
  apply (frule list-CollectD)
  apply (erule COMP-map-lemma [THEN beXE])
  apply (rule ballI [THEN beXI])
  apply (erule bspec [THEN lt-trans])
    apply (rule-tac [2] lt-trans)
    apply (rule-tac [3] ack-nest-bound)
      apply (erule-tac [2] bspec [THEN ack-lt-mono2])
      apply auto
  done

```

*PREC* case.

**lemma** *PREC-case-lemma*:

```

[[ ∀ l ∈ list(nat). f'l #+ list-add(l) < ack(kf, list-add(l));
  ∀ l ∈ list(nat). g'l #+ list-add(l) < ack(kg, list-add(l));
  f ∈ prim-rec; kf ∈ nat;
  g ∈ prim-rec; kg ∈ nat;
  l ∈ list(nat) ]]
==> PREC(f,g)'l #+ list-add(l) < ack(succ(kf#+kg), list-add(l))
  apply (unfold PREC-def)
  apply (erule list.cases)
    apply (simp add: lt-trans [OF nat-le-refl lt-ack2])
    apply simp
    apply (erule ssubst) — get rid of the needless assumption
    apply (induct-tac a)
    apply simp-all

```

base case

```

  apply (rule lt-trans, erule bspec, assumption)
  apply (simp add: add-le-self [THEN ack-lt-mono1])

```

ind step

```

  apply (rule succ-leI [THEN lt-trans1])

```

```

apply (rule-tac  $j = g \text{ ' ?ll \# + ?mm in lt-trans1}$ )
apply (erule-tac [2] bspec)
apply (rule nat-le-refl [THEN add-le-mono])
apply typecheck
apply (simp add: add-le-self2)

final part of the simplification

apply simp
apply (rule add-le-self2 [THEN ack-le-mono1, THEN lt-trans1])
apply (erule-tac [4] ack-lt-mono2)
apply auto
done

lemma PREC-case:
  [|  $f \in \text{prim-rec}; kf \in \text{nat};$ 
     $g \in \text{prim-rec}; kg \in \text{nat};$ 
     $\forall l \in \text{list}(\text{nat}). f'l < \text{ack}(kf, \text{list-add}(l));$ 
     $\forall l \in \text{list}(\text{nat}). g'l < \text{ack}(kg, \text{list-add}(l))$  |]
  ==>  $\exists k \in \text{nat}. \forall l \in \text{list}(\text{nat}). \text{PREC}(f,g)'l < \text{ack}(k, \text{list-add}(l))$ 
apply (rule ballI [THEN beqI])
apply (rule lt-trans1 [OF add-le-self PREC-case-lemma])
apply typecheck
apply (blast intro: ack-add-bound2 list-add-type)+
done

lemma ack-bounds-prim-rec:
   $f \in \text{prim-rec} ==> \exists k \in \text{nat}. \forall l \in \text{list}(\text{nat}). f'l < \text{ack}(k, \text{list-add}(l))$ 
apply (erule prim-rec.induct)
apply (auto intro: SC-case CONST-case PROJ-case COMP-case PREC-case)
done

theorem ack-not-prim-rec:
   $(\lambda l \in \text{list}(\text{nat}). \text{list-case}(0, \lambda x xs. \text{ack}(x,x), l)) \notin \text{prim-rec}$ 
apply (rule notI)
apply (drule ack-bounds-prim-rec)
apply force
done

end

```