

Type inference for let-free MiniML

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October 1, 2005

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```
theory W0
imports Main
begin
```

1 Universal error monad

```
datatype 'a maybe = Ok 'a | Fail
```

```
constdefs
```

```
bind :: 'a maybe  $\Rightarrow$  ('a  $\Rightarrow$  'b maybe)  $\Rightarrow$  'b maybe (infixl bind 60)
m bind f  $\equiv$  case m of Ok r  $\Rightarrow$  f r | Fail  $\Rightarrow$  Fail
```

```
syntax
```

```
-bind :: patterns  $\Rightarrow$  'a maybe  $\Rightarrow$  'b  $\Rightarrow$  'c ((- := -;/-) 0)
```

```
translations
```

```
P := E; F == E bind ( $\lambda P. F$ )
```

```
lemma bind-Ok [simp]: (Ok s) bind f = (f s)
by (simp add: bind-def)
```

lemma *bind-Fail* [*simp*]: $Fail\ bind\ f = Fail$
by (*simp add: bind-def*)

lemma *split-bind*:
 $P\ (res\ bind\ f) = ((res = Fail \longrightarrow P\ Fail) \wedge (\forall s. res = Ok\ s \longrightarrow P\ (f\ s)))$
by (*induct res*) *simp-all*

lemma *split-bind-asm*:
 $P\ (res\ bind\ f) = (\neg (res = Fail \wedge \neg P\ Fail) \vee (\exists s. res = Ok\ s \wedge \neg P\ (f\ s)))$
by (*simp split: split-bind*)

lemmas *bind-splits = split-bind split-bind-asm*

lemma *bind-eq-Fail* [*simp*]:
 $((m\ bind\ f) = Fail) = ((m = Fail) \vee (\exists p. m = Ok\ p \wedge f\ p = Fail))$
by (*simp split: split-bind*)

lemma *rotate-Ok*: $(y = Ok\ x) = (Ok\ x = y)$
by (*rule eq-sym-conv*)

2 MiniML-types and type substitutions

axclass *type-struct* \subseteq *type*
— new class for structures containing type variables

datatype *typ* = *TVar nat* | *TFun typ typ* (**infixr** \rightarrow 70)
— type expressions

types *subst* = *nat => typ*
— type variable substitution

instance *typ* :: *type-struct* ..
instance *list* :: (*type-struct*) *type-struct* ..
instance *fun* :: (*type*, *type-struct*) *type-struct* ..

2.1 Substitutions

consts
 $app\ subst :: subst \Rightarrow 'a::type\ struct \Rightarrow 'a::type\ struct$ (\$)
— extension of substitution to type structures

primrec (*app-subst-*typ**)
 $app\ subst\ TVar: \$s\ (TVar\ n) = s\ n$
 $app\ subst\ Fun: \$s\ (t1 \rightarrow t2) = \$s\ t1 \rightarrow \$s\ t2$

defs (**overloaded**)
 $app\ subst\ list: \$s \equiv map\ (\$s)$

consts

$free-tv :: 'a::type-struct \Rightarrow nat\ set$
 — $free-tv\ s$: the type variables occurring freely in the type structure s

primrec ($free-tv-ty$)
 $free-tv\ (TVar\ m) = \{m\}$
 $free-tv\ (t1\ \rightarrow\ t2) = free-tv\ t1 \cup free-tv\ t2$

primrec ($free-tv-list$)
 $free-tv\ [] = \{\}$
 $free-tv\ (x\ \#\ xs) = free-tv\ x \cup free-tv\ xs$

constdefs
 $dom :: subst \Rightarrow nat\ set$
 $dom\ s \equiv \{n. s\ n \neq\ TVar\ n\}$
 — domain of a substitution

$cod :: subst \Rightarrow nat\ set$
 $cod\ s \equiv \bigcup m \in dom\ s. free-tv\ (s\ m)$
 — codomain of a substitutions: the introduced variables

defs
 $free-tv-subst: free-tv\ s \equiv dom\ s \cup cod\ s$

$new-tv\ s\ n$ checks whether n is a new type variable wrt. a type structure s , i.e. whether n is greater than any type variable occurring in the type structure.

constdefs
 $new-tv :: nat \Rightarrow 'a::type-struct \Rightarrow bool$
 $new-tv\ n\ ts \equiv \forall m. m \in free-tv\ ts \longrightarrow m < n$

2.1.1 Identity substitution

constdefs
 $id-subst :: subst$
 $id-subst \equiv \lambda n. TVar\ n$

lemma $app-subst-id-te$ [$simp$]:
 $\$id-subst = (\lambda t::typ. t)$
 — application of $id-subst$ does not change type expression

proof
fix $t :: typ$
show $\$id-subst\ t = t$
by ($induct\ t$) ($simp-all\ add: id-subst-def$)
qed

lemma $app-subst-id-tel$ [$simp$]: $\$id-subst = (\lambda ts::typ\ list. ts)$
 — application of $id-subst$ does not change list of type expressions

proof
fix $ts :: typ\ list$

show $\$id\text{-subst } ts = ts$
by (*induct ts*) (*simp-all add: app-subst-list*)
qed

lemma *o-id-subst* [*simp*]: $\$s \circ id\text{-subst} = s$
by (*rule ext*) (*simp add: id-subst-def*)

lemma *dom-id-subst* [*simp*]: $dom \text{ id-subst} = \{\}$
by (*simp add: dom-def id-subst-def*)

lemma *cod-id-subst* [*simp*]: $cod \text{ id-subst} = \{\}$
by (*simp add: cod-def*)

lemma *free-tv-id-subst* [*simp*]: $free\text{-tv } id\text{-subst} = \{\}$
by (*simp add: free-tv-subst*)

lemma *cod-app-subst* [*simp*]:
assumes *free*: $v \in free\text{-tv } (s \ n)$
and *neq*: $v \neq n$
shows $v \in cod \ s$
proof –
have $s \ n \neq TVar \ n$
proof
assume $s \ n = TVar \ n$
with *free* **have** $v = n$ **by** *simp*
with *neq* **show** *False* ..
qed
with *free* **show** *?thesis*
by (*auto simp add: dom-def cod-def*)
qed

lemma *subst-comp-te*: $\$g (\$f \ t :: typ) = \$(\lambda x. \$g (f \ x)) \ t$
– composition of substitutions
by (*induct t*) *simp-all*

lemma *subst-comp-tel*: $\$g (\$f \ ts :: typ \ list) = \$(\lambda x. \$g (f \ x)) \ ts$
by (*induct ts*) (*simp-all add: app-subst-list subst-comp-te*)

lemma *app-subst-Nil* [*simp*]: $\$s \ [] = []$
by (*simp add: app-subst-list*)

lemma *app-subst-Cons* [*simp*]: $\$s (t \ # \ ts) = (\$s \ t) \ # \ (\$s \ ts)$
by (*simp add: app-subst-list*)

lemma *new-tv-TVar* [*simp*]: $new\text{-tv } n (TVar \ m) = (m < n)$
by (*simp add: new-tv-def*)

lemma *new-tv-Fun* [*simp*]:
 $new-tv\ n\ (t1 \rightarrow t2) = (new-tv\ n\ t1 \wedge new-tv\ n\ t2)$
by (*auto simp add: new-tv-def*)

lemma *new-tv-Nil* [*simp*]: $new-tv\ n\ []$
by (*simp add: new-tv-def*)

lemma *new-tv-Cons* [*simp*]: $new-tv\ n\ (t \# ts) = (new-tv\ n\ t \wedge new-tv\ n\ ts)$
by (*auto simp add: new-tv-def*)

lemma *new-tv-id-subst* [*simp*]: $new-tv\ n\ id-subst$
by (*simp add: id-subst-def new-tv-def free-tv-subst dom-def cod-def*)

lemma *new-tv-subst*:
 $new-tv\ n\ s =$
 $((\forall m. n \leq m \rightarrow s\ m = TVar\ m) \wedge$
 $(\forall l. l < n \rightarrow new-tv\ n\ (s\ l)))$
apply (*unfold new-tv-def*)
apply (*tactic safe-tac HOL-cs*)
 \rightarrow
apply (*tactic* \ll *fast-tac* (*HOL-cs addDs* [*leD*] *addss* (*simpset*)
 $addsimps$ [*thm free-tv-subst, thm dom-def*])) *1* \gg)
apply (*subgoal-tac* $m \in cod\ s \vee s\ l = TVar\ l$)
apply (*tactic safe-tac HOL-cs*)
apply (*tactic* \ll *fast-tac* (*HOL-cs addDs* [*UnI2*] *addss* (*simpset*)
 $addsimps$ [*thm free-tv-subst*])) *1* \gg)
apply (*drule-tac* $P = \lambda x. m \in free-tv\ x$ **in** *subst, assumption*)
apply *simp*
apply (*tactic* \ll *fast-tac* (*set-cs addss* (*simpset*)
 $addsimps$ [*thm free-tv-subst, thm cod-def, thm dom-def*])) *1* \gg)
 \leftarrow
apply (*unfold free-tv-subst cod-def dom-def*)
apply (*tactic safe-tac set-cs*)
apply (*cut-tac* $m = m$ **and** $n = n$ **in** *less-linear*)
apply (*tactic fast-tac* (*HOL-cs addSIs* [*less-or-eq-imp-le*] *1*))
apply (*cut-tac* $m = ma$ **and** $n = n$ **in** *less-linear*)
apply (*fast intro!*: *less-or-eq-imp-le*)
done

lemma *new-tv-list*: $new-tv\ n\ x = (\forall y \in set\ x. new-tv\ n\ y)$
by (*induct x simp-all*)

lemma *subst-te-new-tv* [*simp*]:
 $new-tv\ n\ (t::typ) \rightarrow \$(\lambda x. if\ x = n\ then\ t'\ else\ s\ x)\ t = \$s\ t$
 \rightarrow substitution affects only variables occurring freely
by (*induct t simp-all*)

lemma *subst-tel-new-tv* [*simp*]:
 $new-tv\ n\ (ts::typ\ list) \rightarrow \$(\lambda x. if\ x = n\ then\ t\ else\ s\ x)\ ts = \$s\ ts$

```

    by (induct ts) simp-all

lemma new-tv-le:  $n \leq m \implies \text{new-tv } n (t::\text{typ}) \implies \text{new-tv } m t$ 
  — all greater variables are also new
proof (induct t)
  case (TVar n)
  thus ?case by (auto intro: less-le-trans)
next
  case TFun
  thus ?case by simp
qed

lemma [simp]:  $\text{new-tv } n t \implies \text{new-tv } (\text{Suc } n) (t::\text{typ})$ 
  by (rule lessI [THEN less-imp-le [THEN new-tv-le]])

lemma new-tv-list-le:
   $n \leq m \implies \text{new-tv } n (ts::\text{typ list}) \implies \text{new-tv } m ts$ 
proof (induct ts)
  case Nil
  thus ?case by simp
next
  case Cons
  thus ?case by (auto intro: new-tv-le)
qed

lemma [simp]:  $\text{new-tv } n ts \implies \text{new-tv } (\text{Suc } n) (ts::\text{typ list})$ 
  by (rule lessI [THEN less-imp-le [THEN new-tv-list-le]])

lemma new-tv-subst-le:  $n \leq m \implies \text{new-tv } n (s::\text{subst}) \implies \text{new-tv } m s$ 
  apply (simp add: new-tv-subst)
  apply clarify
  apply (rule-tac  $P = l < n$  and  $Q = n \leq l$  in disjE)
  apply clarify
  apply (simp-all add: new-tv-le)
  done

lemma [simp]:  $\text{new-tv } n s \implies \text{new-tv } (\text{Suc } n) (s::\text{subst})$ 
  by (rule lessI [THEN less-imp-le [THEN new-tv-subst-le]])

lemma new-tv-subst-var:
   $n < m \implies \text{new-tv } m (s::\text{subst}) \implies \text{new-tv } m (s n)$ 
  — new-tv property remains if a substitution is applied
  by (simp add: new-tv-subst)

lemma new-tv-subst-te [simp]:
   $\text{new-tv } n s \implies \text{new-tv } n (t::\text{typ}) \implies \text{new-tv } n (\$s t)$ 
  by (induct t) (auto simp add: new-tv-subst)

lemma new-tv-subst-tel [simp]:

```

$new-tv\ n\ s \implies new-tv\ n\ (ts::typ\ list) \implies new-tv\ n\ (\$s\ ts)$
by (*induct ts*) (*fastsimp simp add: new-tv-subst*)⁺

lemma *new-tv-Suc-list*: $new-tv\ n\ ts \dashrightarrow new-tv\ (Suc\ n)\ (TVar\ n\ \#\ ts)$
— auxilliary lemma
by (*simp add: new-tv-list*)

lemma *new-tv-subst-comp-1* [*simp*]:
 $new-tv\ n\ (s::subst) \implies new-tv\ n\ r \implies new-tv\ n\ (\$r\ o\ s)$
— composition of substitutions preserves *new-tv* proposition
by (*simp add: new-tv-subst*)

lemma *new-tv-subst-comp-2* [*simp*]:
 $new-tv\ n\ (s::subst) \implies new-tv\ n\ r \implies new-tv\ n\ (\lambda v. \$r\ (s\ v))$
by (*simp add: new-tv-subst*)

lemma *new-tv-not-free-tv* [*simp*]: $new-tv\ n\ ts \implies n \notin free-tv\ ts$
— new type variables do not occur freely in a type structure
by (*auto simp add: new-tv-def*)

lemma *ftv-mem-sub-ftv-list* [*simp*]:
 $(t::typ) \in set\ ts \implies free-tv\ t \subseteq free-tv\ ts$
by (*induct ts*) *auto*

If two substitutions yield the same result if applied to a type structure the substitutions coincide on the free type variables occurring in the type structure.

lemma *eq-subst-te-eq-free*:
 $\$s1\ (t::typ) = \$s2\ t \implies n \in free-tv\ t \implies s1\ n = s2\ n$
by (*induct t*) *auto*

lemma *eq-free-eq-subst-te*:
 $(\forall n. n \in free-tv\ t \dashrightarrow s1\ n = s2\ n) \implies \$s1\ (t::typ) = \$s2\ t$
by (*induct t*) *auto*

lemma *eq-subst-tel-eq-free*:
 $\$s1\ (ts::typ\ list) = \$s2\ ts \implies n \in free-tv\ ts \implies s1\ n = s2\ n$
by (*induct ts*) (*auto intro: eq-subst-te-eq-free*)

lemma *eq-free-eq-subst-tel*:
 $(\forall n. n \in free-tv\ ts \dashrightarrow s1\ n = s2\ n) \implies \$s1\ (ts::typ\ list) = \$s2\ ts$
by (*induct ts*) (*auto intro: eq-free-eq-subst-te*)

Some useful lemmas.

lemma *codD*: $v \in cod\ s \implies v \in free-tv\ s$
by (*simp add: free-tv-subst*)

lemma *not-free-impl-id*: $x \notin free-tv\ s \implies s\ x = TVar\ x$

by (*simp add: free-tv-subst dom-def*)

lemma *free-tv-le-new-tv*: $\text{new-tv } n \ t \implies m \in \text{free-tv } t \implies m < n$
 by (*unfold new-tv-def*) *fast*

lemma *free-tv-subst-var*: $\text{free-tv } (s \ (v::\text{nat})) \leq \text{insert } v \ (\text{cod } s)$
 by (*cases v \in dom s*) (*auto simp add: cod-def dom-def*)

lemma *free-tv-app-subst-te*: $\text{free-tv } (\$s \ (t::\text{typ})) \subseteq \text{cod } s \cup \text{free-tv } t$
 by (*induct t*) (*auto simp add: free-tv-subst-var*)

lemma *free-tv-app-subst-tel*: $\text{free-tv } (\$s \ (ts::\text{typ list})) \subseteq \text{cod } s \cup \text{free-tv } ts$
 apply (*induct ts*)
 apply *simp*
 apply (*cut-tac free-tv-app-subst-te*)
 apply *fastsimp*
 done

lemma *free-tv-comp-subst*:
 $\text{free-tv } (\lambda u::\text{nat}. \$s1 \ (s2 \ u) :: \text{typ}) \subseteq \text{free-tv } s1 \cup \text{free-tv } s2$
 apply (*unfold free-tv-subst dom-def*)
 apply (*tactic* <<
fast-tac (set-cs addSDs [thm free-tv-app-subst-te RS subsetD,
thm free-tv-subst-var RS subsetD]
addss (simpset() delsimps bex-simps
addsimps [thm cod-def, thm dom-def])) 1 >>)
 done

2.2 Most general unifiers

consts

mgu :: $\text{typ} \Rightarrow \text{typ} \Rightarrow \text{subst maybe}$

axioms

mgu-eq [*simp*]: $\text{mgu } t1 \ t2 = \text{Ok } u \implies \$u \ t1 = \$u \ t2$

mgu-mg [*simp*]: $\text{mgu } t1 \ t2 = \text{Ok } u \implies \$s \ t1 = \$s \ t2 \implies \exists r. s = \$r \ o \ u$

mgu-Ok: $\$s \ t1 = \$s \ t2 \implies \exists u. \text{mgu } t1 \ t2 = \text{Ok } u$

mgu-free [*simp*]: $\text{mgu } t1 \ t2 = \text{Ok } u \implies \text{free-tv } u \subseteq \text{free-tv } t1 \cup \text{free-tv } t2$

lemma *mgu-new*: $\text{mgu } t1 \ t2 = \text{Ok } u \implies \text{new-tv } n \ t1 \implies \text{new-tv } n \ t2 \implies \text{new-tv } n \ u$

— *mgu* does not introduce new type variables

by (*unfold new-tv-def*) (*blast dest: mgu-free*)

3 Mini-ML with type inference rules

datatype

expr = *Var nat* | *Abs expr* | *App expr expr*

Type inference rules.

consts

$$has\text{-}type :: (typ\ list \times expr \times typ) \text{ set}$$
syntax

$$\begin{aligned} &-has\text{-}type :: typ\ list \Rightarrow expr \Rightarrow typ \Rightarrow bool \\ &(((_) \mid - / (_) :: (_)) [60, 0, 60] 60) \end{aligned}$$
translations

$$a \mid - e :: t == (a, e, t) \in has\text{-}type$$
inductive has-type**intros**

$$\begin{aligned} &Var: n < length\ a \Longrightarrow a \mid - Var\ n :: a\ !\ n \\ &Abs: t1 \# a \mid - e :: t2 \Longrightarrow a \mid - Abs\ e :: t1 \rightarrow t2 \\ &App: a \mid - e1 :: t2 \rightarrow t1 \Longrightarrow a \mid - e2 :: t2 \\ &\Longrightarrow a \mid - App\ e1\ e2 :: t1 \end{aligned}$$

Type assignment is closed wrt. substitution.

lemma *has-type-subst-closed*: $a \mid - e :: t \Longrightarrow \$s\ a \mid - e :: \$s\ t$

proof –

assume $a \mid - e :: t$

thus *?thesis* (**is** *?P a e t*)

proof *induct*

case (*Var a n*)

hence $n < length\ (map\ (\$s)\ a)$ **by** *simp*

hence $map\ (\$s)\ a \mid - Var\ n :: map\ (\$s)\ a\ !\ n$

by (*rule has-type.Var*)

also have $map\ (\$s)\ a\ !\ n = \$s\ (a\ !\ n)$

by (*rule nth-map*)

also have $map\ (\$s)\ a = \$s\ a$

by (*simp only: app-subst-list*)

finally show *?P a (Var n) (a ! n)* .

next

case (*Abs a e t1 t2*)

hence $\$s\ t1 \# map\ (\$s)\ a \mid - e :: \$s\ t2$

by (*simp add: app-subst-list*)

hence $map\ (\$s)\ a \mid - Abs\ e :: \$s\ t1 \rightarrow \$s\ t2$

by (*rule has-type.Abs*)

thus *?P a (Abs e) (t1 -> t2)*

by (*simp add: app-subst-list*)

next

case *App*

thus *?case* **by** (*simp add: has-type.App*)

qed

qed

4 Correctness and completeness of the type inference algorithm \mathcal{W}

consts

$W :: \text{expr} \Rightarrow \text{typ list} \Rightarrow \text{nat} \Rightarrow (\text{subst} \times \text{typ} \times \text{nat}) \text{ maybe } (W)$

primrec

$W (\text{Var } i) a n =$
(if $i < \text{length } a$ then $\text{Ok } (\text{id-subst}, a ! i, n)$ else Fail)
 $W (\text{Abs } e) a n =$
*((s, t, m) := $W e (\text{TVar } n \# a) (\text{Suc } n)$;
 $\text{Ok } (s, (s n) \rightarrow t, m)$)*
 $W (\text{App } e1 e2) a n =$
*(($s1, t1, m1$) := $W e1 a n$;
 $(s2, t2, m2) := W e2 (\$s1 a) m1$;
 $u := \text{mgu } (\$ s2 t1) (t2 \rightarrow \text{TVar } m2)$;
 $\text{Ok } (\$u o \$s2 o s1, \$u (\text{TVar } m2), \text{Suc } m2)$)*

theorem *W-correct: !!a s t m n. $\text{Ok } (s, t, m) = W e a n \implies \$s a \mid - e :: t$*
(is PROP ?P e)

proof *(induct e)*

fix $a s t m n$

{

fix i

assume $\text{Ok } (s, t, m) = W (\text{Var } i) a n$

thus $\$s a \mid - \text{Var } i :: t$ **by** *(simp add: has-type.Var split: if-splits)*

next

fix e **assume** $\text{hyp}: \text{PROP } ?P e$

assume $\text{Ok } (s, t, m) = W (\text{Abs } e) a n$

then obtain t' **where** $t = s n \rightarrow t'$

and $\text{Ok } (s, t', m) = W e (\text{TVar } n \# a) (\text{Suc } n)$

by *(auto split: bind-splits)*

with hyp **show** $\$s a \mid - \text{Abs } e :: t$

by *(force intro: has-type.Abs)*

next

fix $e1 e2$ **assume** $\text{hyp1}: \text{PROP } ?P e1$ **and** $\text{hyp2}: \text{PROP } ?P e2$

assume $\text{Ok } (s, t, m) = W (\text{App } e1 e2) a n$

then obtain $s1 t1 n1 s2 t2 n2 u$ **where**

$s: s = \$u o \$s2 o s1$

and $t: t = u n2$

and $\text{mgu-ok}: \text{mgu } (\$s2 t1) (t2 \rightarrow \text{TVar } n2) = \text{Ok } u$

and $W1\text{-ok}: \text{Ok } (s1, t1, n1) = W e1 a n$

and $W2\text{-ok}: \text{Ok } (s2, t2, n2) = W e2 (\$s1 a) n1$

by *(auto split: bind-splits simp: that)*

show $\$s a \mid - \text{App } e1 e2 :: t$

proof *(rule has-type.App)*

from s **have** $s': \$u (\$s2 (\$s1 a)) = \$s a$

by *(simp add: subst-comp-tel o-def)*

show $\$s a \mid - e1 :: \$u t2 \rightarrow t$

```

proof –
  from W1-ok have  $\$s1\ a \mid -\ e1 :: t1$  by (rule hyp1)
  hence  $\$u\ (\$s2\ (\$s1\ a)) \mid -\ e1 :: \$u\ (\$s2\ t1)$ 
  by (intro has-type-subst-closed)
  with  $s'\ t\ mgu-ok$  show ?thesis by simp
qed
show  $\$s\ a \mid -\ e2 :: \$u\ t2$ 
proof –
  from W2-ok have  $\$s2\ (\$s1\ a) \mid -\ e2 :: t2$  by (rule hyp2)
  hence  $\$u\ (\$s2\ (\$s1\ a)) \mid -\ e2 :: \$u\ t2$ 
  by (rule has-type-subst-closed)
  with  $s'$  show ?thesis by simp
qed
qed
}
qed

```

inductive-cases *has-type-casesE*:

```

 $s \mid -\ Var\ n :: t$ 
 $s \mid -\ Abs\ e :: t$ 
 $s \mid -\ App\ e1\ e2 :: t$ 

```

lemmas [*simp*] = *Suc-le-lessD*
and [*simp del*] = *less-imp-le ex-simps all-simps*

lemma *W-var-ge* [*simp*]: $!!a\ n\ s\ t\ m.\ W\ e\ a\ n = Ok\ (s,\ t,\ m) \implies n \leq m$
— the resulting type variable is always greater or equal than the given one
apply (*atomize (full)*)
apply (*induct e*)

case *Var n*

apply *clarsimp*

case *Abs e*

apply (*simp split add: split-bind*)
apply (*fast dest: Suc-leD*)

case *App e1 e2*

apply (*simp (no-asm) split add: split-bind*)
apply (*intro strip*)
apply (*rename-tac s t na sa ta nb sb*)
apply (*erule-tac x = a in allE*)
apply (*erule-tac x = n in allE*)
apply (*erule-tac x = \$s a in allE*)
apply (*erule-tac x = s in allE*)
apply (*erule-tac x = t in allE*)
apply (*erule-tac x = na in allE*)

apply (*erule-tac* $x = na$ **in** *allE*)
apply (*simp add: eq-sym-conv*)
done

lemma *W-var-geD*: $Ok (s, t, m) = W e a n \implies n \leq m$
by (*simp add: eq-sym-conv*)

lemma *new-tv-W*: $!!n a s t m.$
 $new-tv n a \implies W e a n = Ok (s, t, m) \implies new-tv m s \ \& \ new-tv m t$
— resulting type variable is new
apply (*atomize (full)*)
apply (*induct e*)

case *Var n*

apply *clarsimp*
apply (*force elim: list-ball-nth simp add: id-subst-def new-tv-list new-tv-subst*)

case *Abs e*

apply (*simp (no-asm) add: new-tv-subst new-tv-Suc-list split add: split-bind*)
apply (*intro strip*)
apply (*erule-tac x = Suc n in allE*)
apply (*erule-tac x = TVar n # a in allE*)
apply (*fastsimp simp add: new-tv-subst new-tv-Suc-list*)

case *App e1 e2*

apply (*simp (no-asm) split add: split-bind*)
apply (*intro strip*)
apply (*rename-tac s t na sa ta nb sb*)
apply (*erule-tac x = n in allE*)
apply (*erule-tac x = a in allE*)
apply (*erule-tac x = s in allE*)
apply (*erule-tac x = t in allE*)
apply (*erule-tac x = na in allE*)
apply (*erule-tac x = na in allE*)
apply (*simp add: eq-sym-conv*)
apply (*erule-tac x = \$s a in allE*)
apply (*erule-tac x = sa in allE*)
apply (*erule-tac x = ta in allE*)
apply (*erule-tac x = nb in allE*)
apply (*simp add: o-def rotate-Ok*)
apply (*rule conjI*)
apply (*rule new-tv-subst-comp-2*)
apply (*rule new-tv-subst-comp-2*)
apply (*rule lessI [THEN less-imp-le, THEN new-tv-subst-le]*)
apply (*rule-tac n = na in new-tv-subst-le*)
apply (*simp add: rotate-Ok*)
apply (*simp (no-asm-simp)*)
apply (*fast dest: W-var-geD intro: new-tv-list-le new-tv-subst-tel lessI [THEN less-imp-le, THEN new-tv-subst-le]*)

```

apply (erule sym [THEN mgu-new])
apply (best dest: W-var-geD intro: new-tv-subst-te new-tv-list-le new-tv-subst-tel
  lessI [THEN less-imp-le, THEN new-tv-le] lessI [THEN less-imp-le, THEN
new-tv-subst-le]
  new-tv-le)
apply (tactic ⟨⟨ fast-tac (HOL-cs addDs [thm W-var-geD]
  addIs [thm new-tv-list-le, thm new-tv-subst-tel, thm new-tv-le]
  addss (simpset())) 1 ⟩⟩)
apply (rule lessI [THEN new-tv-subst-var])
apply (erule sym [THEN mgu-new])
apply (bestsimp intro!: lessI [THEN less-imp-le, THEN new-tv-le] new-tv-subst-te
  dest!: W-var-geD intro: new-tv-list-le new-tv-subst-tel
  lessI [THEN less-imp-le, THEN new-tv-subst-le] new-tv-le)
apply (tactic ⟨⟨ fast-tac (HOL-cs addDs [thm W-var-geD]
  addIs [thm new-tv-list-le, thm new-tv-subst-tel, thm new-tv-le]
  addss (simpset())) 1 ⟩⟩)
done

```

```

lemma free-tv-W: !!n a s t m v. W e a n = Ok (s, t, m) ==>
  (v ∈ free-tv s ∨ v ∈ free-tv t) ==> v < n ==> v ∈ free-tv a
apply (atomize (full))
apply (induct e)

```

case Var n

```

apply clarsimp
apply (tactic ⟨⟨ fast-tac (HOL-cs addIs [nth-mem, subsetD, thm ftv-mem-sub-ftv-list]
  1 ⟩⟩)

```

case Abs e

```

apply (simp add: free-tv-subst split add: split-bind)
apply (intro strip)
apply (rename-tac s t n1 v)
apply (erule-tac x = Suc n in alle)
apply (erule-tac x = TVar n # a in alle)
apply (erule-tac x = s in alle)
apply (erule-tac x = t in alle)
apply (erule-tac x = n1 in alle)
apply (erule-tac x = v in alle)
apply (force elim!: alle intro: cod-app-subst)

```

case App e1 e2

```

apply (simp (no-asm) split add: split-bind)
apply (intro strip)
apply (rename-tac s t n1 s1 t1 n2 s3 v)
apply (erule-tac x = n in alle)
apply (erule-tac x = a in alle)
apply (erule-tac x = s in alle)
apply (erule-tac x = t in alle)
apply (erule-tac x = n1 in alle)

```

apply (*erule-tac* $x = n1$ **in** *allE*)
apply (*erule-tac* $x = v$ **in** *allE*)

second case

apply (*erule-tac* $x = \$ s a$ **in** *allE*)
apply (*erule-tac* $x = s1$ **in** *allE*)
apply (*erule-tac* $x = t1$ **in** *allE*)
apply (*erule-tac* $x = n2$ **in** *allE*)
apply (*erule-tac* $x = v$ **in** *allE*)
apply (*tactic safe-tac* (*empty-cs addSIs* [*conjI*, *impI*] *addSEs* [*conjE*]))
apply (*simp add: rotate-Ok o-def*)
apply (*drule W-var-geD*)
apply (*drule W-var-geD*)
apply (*frule less-le-trans, assumption*)
apply (*fastsimp dest: free-tv-comp-subst* [*THEN subsetD*] *sym* [*THEN mgu-free*]
codD
free-tv-app-subst-te [*THEN subsetD*] *free-tv-app-subst-tel* [*THEN subsetD*] *subsetD elim: UnE*)
apply *simp*
apply (*drule sym* [*THEN W-var-geD*])
apply (*drule sym* [*THEN W-var-geD*])
apply (*frule less-le-trans, assumption*)
apply (*tactic* $\langle\langle$ *fast-tac* (*HOL-cs addDs* [*thm mgu-free, thm codD,*
thm free-tv-subst-var RS subsetD,
thm free-tv-app-subst-te RS subsetD,
thm free-tv-app-subst-tel RS subsetD, less-le-trans, subsetD]
addSEs [*UnE*] *addss* (*simpset*() *setSolver unsafe-solver*) 1 $\rangle\rangle$)
— builtin arithmetic in *simpset* messes things up
done

Completeness of \mathcal{W} wrt. *has-type*.

lemma *W-complete-aux*: $!!s' a t' n. \$s' a \mid - e :: t' \Longrightarrow \text{new-tv } n a \Longrightarrow$
 $(\exists s t. (\exists m. \mathcal{W} e a n = \text{Ok } (s, t, m)) \wedge (\exists r. \$s' a = \$r (\$s a) \wedge t' = \$r t))$
apply (*atomize* (*full*))
apply (*induct* *e*)

case *Var n*

apply (*intro strip*)
apply (*simp* (*no-asm*) *cong add: conj-cong*)
apply (*erule has-type-casesE*)
apply (*simp add: eq-sym-conv app-subst-list*)
apply (*rule-tac* $x = s'$ **in** *exI*)
apply *simp*

case *Abs e*

apply (*intro strip*)
apply (*erule has-type-casesE*)
apply (*erule-tac* $x = \lambda x. \text{if } x = n \text{ then } t1 \text{ else } (s' x)$ **in** *allE*)

```

apply (erule-tac x = TVar n # a in allE)
apply (erule-tac x = t2 in allE)
apply (erule-tac x = Suc n in allE)
apply (fastsimp cong add: conj-cong split add: split-bind)

case App e1 e2

apply (intro strip)
apply (erule has-type-casesE)
apply (erule-tac x = s' in allE)
apply (erule-tac x = a in allE)
apply (erule-tac x = t2 -> t' in allE)
apply (erule-tac x = n in allE)
apply (tactic safe-tac HOL-cs)
apply (erule-tac x = r in allE)
apply (erule-tac x = $s a in allE)
apply (erule-tac x = t2 in allE)
apply (erule-tac x = m in allE)
apply simp
apply (tactic safe-tac HOL-cs)
apply (tactic ⟨⟨ fast-tac (HOL-cs addIs [sym RS thm W-var-geD,
  thm new-tv-W RS conjunct1, thm new-tv-list-le, thm new-tv-subst-tel]) 1 ⟩⟩)
apply (subgoal-tac
  $(λx. if x = ma then t' else (if x ∈ free-tv t - free-tv sa then r x
    else ra x)) ($ sa t) =
  $(λx. if x = ma then t' else (if x ∈ free-tv t - free-tv sa then r x
    else ra x)) (ta -> (TVar ma)))
apply (rule-tac [2] t = $(λx. if x = ma then t'
  else (if x ∈ (free-tv t - free-tv sa) then r x else ra x)) ($sa t) and
  s = ($ ra ta) -> t' in ssubst)
prefer 2
apply (simp add: subst-comp-te)
apply (rule eq-free-eq-subst-te)
apply (intro strip)
apply (subgoal-tac na ≠ ma)
prefer 2
apply (fast dest: new-tv-W sym [THEN W-var-geD] new-tv-not-free-tv new-tv-le)
apply (case-tac na ∈ free-tv sa)

na ∉ free-tv sa

prefer 2
apply (frule not-free-impl-id)
apply simp

na ∈ free-tv sa

apply (drule-tac ts1 = $s a and r = $ r ($ s a) in subst-comp-tel [THEN [2]
trans])
apply (drule-tac eq-subst-tel-eq-free)
apply (fast intro: free-tv-W free-tv-le-new-tv dest: new-tv-W)
apply simp

```

```

apply (case-tac  $na \in \text{dom } sa$ )
prefer 2

na  $\neq \text{dom } sa$ 
apply (simp add: dom-def)

na  $\in \text{dom } sa$ 
apply (rule eq-free-eq-subst-te)
apply (intro strip)
apply (subgoal-tac  $nb \neq ma$ )
prefer 2
apply (frule new-tv-W, assumption)
apply (erule conjE)
apply (drule new-tv-subst-tel)
apply (fast intro: new-tv-list-le dest: sym [THEN W-var-geD])
apply (fastsimp dest: new-tv-W new-tv-not-free-tv simp add: cod-def free-tv-subst)
apply (fastsimp simp add: cod-def free-tv-subst)
prefer 2
apply (simp (no-asm))
apply (rule eq-free-eq-subst-te)
apply (intro strip)
apply (subgoal-tac  $na \neq ma$ )
prefer 2
apply (frule new-tv-W, assumption)
apply (erule conjE)
apply (drule sym [THEN W-var-geD])
apply (fast dest: new-tv-list-le new-tv-subst-tel new-tv-W new-tv-not-free-tv)
apply (case-tac  $na \in \text{free-tv } t - \text{free-tv } sa$ )
prefer 2

case  $na \notin \text{free-tv } t - \text{free-tv } sa$ 
apply simp
defer

case  $na \in \text{free-tv } t - \text{free-tv } sa$ 
apply simp
apply (drule-tac  $ts1 = \$s a$  and  $r = \$ r (\$ s a)$  in subst-comp-tel [THEN [2]
trans])
apply (drule eq-subst-tel-eq-free)
apply (fast intro: free-tv-W free-tv-le-new-tv dest: new-tv-W)
apply (simp add: free-tv-subst dom-def)
prefer 2 apply fast
apply (simp (no-asm-simp) split add: split-bind)
apply (tactic safe-tac HOL-cs)
apply (drule mgu-Ok)
apply fastsimp
apply (drule mgu-mg, assumption)
apply (erule exE)
apply (rule-tac  $x = rb$  in exI)

```

```

apply (rule conjI)
prefer 2
apply (drule-tac x = ma in fun-cong)
apply (simp add: eq-sym-conv)
apply (simp (no-asm) add: o-def subst-comp-tel [symmetric])
apply (rule subst-comp-tel [symmetric, THEN [2] trans])
apply (simp add: o-def eq-sym-conv)
apply (rule eq-free-eq-subst-tel)
apply (tactic safe-tac HOL-cs)
apply (subgoal-tac ma ≠ na)
prefer 2
apply (frule new-tv-W, assumption)
apply (erule conjE)
apply (drule new-tv-subst-tel)
apply (fast intro: new-tv-list-le dest: sym [THEN W-var-geD])
apply (frule-tac n = m in new-tv-W, assumption)
apply (erule conjE)
apply (drule free-tv-app-subst-tel [THEN subsetD])
apply (tactic ⟨⟨ fast-tac (set-cs addDs [sym RS thm W-var-geD, thm new-tv-list-le,
  thm codD, thm new-tv-not-free-tv]) 1 ⟩⟩)
apply (case-tac na ∈ free-tv t – free-tv sa)
prefer 2

case na ∉ free-tv t – free-tv sa

apply simp
defer

case na ∈ free-tv t – free-tv sa

apply simp
apply (drule free-tv-app-subst-tel [THEN subsetD])
apply (fastsimp dest: codD subst-comp-tel [THEN [2] trans]
  eq-subst-tel-eq-free simp add: free-tv-subst dom-def)
apply fast
done

lemma W-complete: [] |- e :: t' ==>
  ∃ s t. (∃ m. W e [] n = Ok (s, t, m)) ∧ (∃ r. t' = $r t)
apply (cut-tac a = [] and s' = id-subst and e = e and t' = t' in W-complete-aux)
apply simp-all
done

```

5 Equivalence of W and I

Recursive definition of type inference algorithm \mathcal{I} for Mini-ML.

consts

$I :: \text{expr} \Rightarrow \text{typ list} \Rightarrow \text{nat} \Rightarrow \text{subst} \Rightarrow (\text{subst} \times \text{typ} \times \text{nat}) \text{ maybe } (\mathcal{I})$

primrec

$\mathcal{I} (\text{Var } i) a n s = (\text{if } i < \text{length } a \text{ then } \text{Ok } (s, a ! i, n) \text{ else } \text{Fail})$

```

 $\mathcal{I} (Abs\ e)\ a\ n\ s = ((s, t, m) := \mathcal{I}\ e\ (TVar\ n\ \# a)\ (Suc\ n)\ s;$ 
 $Ok\ (s, TVar\ n\ \rightarrow t, m))$ 
 $\mathcal{I} (App\ e1\ e2)\ a\ n\ s =$ 
 $((s1, t1, m1) := \mathcal{I}\ e1\ a\ n\ s;$ 
 $(s2, t2, m2) := \mathcal{I}\ e2\ a\ m1\ s1;$ 
 $u := mgu\ (\$s2\ t1)\ (\$s2\ t2\ \rightarrow TVar\ m2);$ 
 $Ok(\$u\ o\ s2, TVar\ m2, Suc\ m2))$ 

```

Correctness.

lemma *I-correct-wrt-W*: $!!a\ m\ s\ s'\ t\ n.$

```

 $new-tv\ m\ a \wedge new-tv\ m\ s \implies \mathcal{I}\ e\ a\ m\ s = Ok\ (s', t, n) \implies$ 
 $\exists r. \mathcal{W}\ e\ (\$s\ a)\ m = Ok\ (r, \$s'\ t, n) \wedge s' = (\$r\ o\ s)$ 

```

apply (*atomize* (*full*))

apply (*induct* *e*)

case *Var n*

apply (*simp* *add*: *app-subst-list* *split*: *split-if*)

case *Abs e*

```

apply (tactic  $\ll$  asm-full-simp-tac
 $(\text{simpset}()\ \text{setloop}\ (\text{split-inside-tac}\ [thm\ \text{split-bind}]])\ 1\ \gg$ )
apply (intro strip)
apply (rule conjI)
apply (intro strip)
apply (erule allE)+
apply (erule impE)
prefer 2 apply (fastsimp simp add: new-tv-subst)
apply (tactic  $\ll$  fast-tac (HOL-cs addIs [thm new-tv-Suc-list RS mp,
 $thm\ new-tv-subst-le, less-imp-le, lessI$ ]) 1  $\gg$ )
apply (intro strip)
apply (erule allE)+
apply (erule impE)
prefer 2 apply (fastsimp simp add: new-tv-subst)
apply (tactic  $\ll$  fast-tac (HOL-cs addIs [thm new-tv-Suc-list RS mp,
 $thm\ new-tv-subst-le, less-imp-le, lessI$ ]) 1  $\gg$ )

```

case *App e1 e2*

```

apply (tactic  $\ll$  simp-tac (simpset  $()\ \text{setloop}\ (\text{split-inside-tac}\ [thm\ \text{split-bind}]])\ 1\ \gg$ )
apply (intro strip)
apply (rename-tac s1' t1 n1 s2' t2 n2 sa)
apply (rule conjI)
apply fastsimp
apply (intro strip)
apply (rename-tac s1 t1' n1')
apply (erule-tac  $x = a$  in allE)
apply (erule-tac  $x = m$  in allE)
apply (erule-tac  $x = s$  in allE)

```

```

apply (erule-tac x = s1' in allE)
apply (erule-tac x = t1 in allE)
apply (erule-tac x = n1 in allE)
apply (erule-tac x = a in allE)
apply (erule-tac x = n1 in allE)
apply (erule-tac x = s1' in allE)
apply (erule-tac x = s2' in allE)
apply (erule-tac x = t2 in allE)
apply (erule-tac x = n2 in allE)
apply (rule conjI)
apply (intro strip)
apply (rule notI)
apply simp
apply (erule impE)
  apply (erule new-tv-subst-tel, assumption)
  apply (erule-tac a = $s a in new-tv-W, assumption)
  apply (fastsimp dest: sym [THEN W-var-geD] new-tv-subst-le new-tv-list-le)
apply (fastsimp simp add: subst-comp-tel)
apply (intro strip)
apply (rename-tac s2 t2' n2')
apply (rule conjI)
apply (intro strip)
apply (rule notI)
apply simp
apply (erule impE)
apply (erule new-tv-subst-tel, assumption)
apply (erule-tac a = $s a in new-tv-W, assumption)
  apply (fastsimp dest: sym [THEN W-var-geD] new-tv-subst-le new-tv-list-le)
apply (fastsimp simp add: subst-comp-tel subst-comp-te)
apply (intro strip)
apply (erule (1) notE impE)
apply (erule (1) notE impE)
apply (erule exE)
apply (erule conjE)
apply (erule impE)
  apply (erule new-tv-subst-tel, assumption)
  apply (erule-tac a = $s a in new-tv-W, assumption)
  apply (fastsimp dest: sym [THEN W-var-geD] new-tv-subst-le new-tv-list-le)
apply (erule (1) notE impE)
apply (erule exE conjE)+
apply (simp (asm-lr) add: subst-comp-tel subst-comp-te o-def, (erule conjE)+,
hypsubst)+
apply (subgoal-tac new-tv n2 s  $\wedge$  new-tv n2 r  $\wedge$  new-tv n2 ra)
  apply (simp add: new-tv-subst)
apply (erule new-tv-subst-tel, assumption)
apply (erule-tac a = $s a in new-tv-W, assumption)
apply (tactic safe-tac HOL-cs)
  apply (bestsimp dest: sym [THEN W-var-geD] new-tv-subst-le new-tv-list-le)
  apply (fastsimp dest: sym [THEN W-var-geD] new-tv-subst-le new-tv-list-le)

```

```

apply (drule-tac e = e1 in sym [THEN W-var-geD])
apply (drule new-tv-subst-tel, assumption)
apply (drule-tac ts = $s a in new-tv-list-le, assumption)
apply (drule new-tv-subst-tel, assumption)
apply (bestsimp dest: new-tv-W simp add: subst-comp-tel)
done

```

lemma *I-complete-wrt-W: !!a m s.*

```

  new-tv m a  $\wedge$  new-tv m s  $\implies$   $\mathcal{I}$  e a m s = Fail  $\implies$   $\mathcal{W}$  e ($s a) m = Fail
apply (atomize (full))
apply (induct e)
  apply (simp add: app-subst-list)
  apply (simp (no-asm))
  apply (intro strip)
  apply (subgoal-tac TVar m # $s a = $s (TVar m # a))
  apply (tactic  $\ll$  asm-simp-tac (HOL-ss addsimps
    [thm new-tv-Suc-list, lessI RS less-imp-le RS thm new-tv-subst-le]) 1  $\gg$ )
  apply (erule conjE)
  apply (drule new-tv-not-free-tv [THEN not-free-impl-id])
  apply (simp (no-asm-simp))
apply (simp (no-asm-simp))
apply (intro strip)
apply (erule exE)+
apply (erule conjE)+
apply (drule I-correct-wrt-W [COMP swap-prems-rl])
  apply fast
apply (erule exE)
apply (erule conjE)
apply hypsubst
apply (simp (no-asm-simp))
apply (erule disjE)
apply (rule disjI1)
apply (simp (no-asm-use) add: o-def subst-comp-tel)
apply (erule allE, erule allE, erule allE, erule impE, erule-tac [2] impE,
  erule-tac [2] asm-rl, erule-tac [2] asm-rl)
apply (rule conjI)
  apply (fast intro: W-var-ge [THEN new-tv-list-le])
apply (rule new-tv-subst-comp-2)
  apply (fast intro: W-var-ge [THEN new-tv-subst-le])
apply (fast intro!: new-tv-subst-tel intro: new-tv-W [THEN conjunct1])
apply (rule disjI2)
apply (erule exE)+
apply (erule conjE)
apply (drule I-correct-wrt-W [COMP swap-prems-rl])
apply (rule conjI)
apply (fast intro: W-var-ge [THEN new-tv-list-le])
apply (rule new-tv-subst-comp-1)
apply (fast intro: W-var-ge [THEN new-tv-subst-le])
apply (fast intro!: new-tv-subst-tel intro: new-tv-W [THEN conjunct1])

```

```
apply (erule exE)  
apply (erule conjE)  
apply hypsubst  
apply (simp add: o-def subst-comp-te [symmetric] subst-comp-tel [symmetric])  
done
```

```
end
```