

Size-Change Termination

Alexander Krauss

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1 Miscellaneous Tools for Size-Change Termination

```
theory Misc-Tools
imports Main
begin
```

1.1 Searching in lists

```
fun index-of :: 'a list  $\Rightarrow$  'a  $\Rightarrow$  nat
where
  index-of [] c = 0
| index-of (x#xs) c = (if x = c then 0 else Suc (index-of xs c))
```

```
lemma index-of-member:
  (x  $\in$  set l)  $\implies$  (l ! index-of l x = x)
  <proof>
```

```
lemma index-of-length:
  (x  $\in$  set l) = (index-of l x < length l)
  <proof>
```

1.2 Some reasoning tools

```
lemma three-cases:
  assumes a1  $\implies$  thesis
  assumes a2  $\implies$  thesis
  assumes a3  $\implies$  thesis
  assumes  $\bigwedge R. \llbracket a1 \implies R; a2 \implies R; a3 \implies R \rrbracket \implies R$ 
  shows thesis
  <proof>
```

1.3 Sequences

```
types
  'a sequence = nat  $\Rightarrow$  'a
```

1.3.1 Increasing sequences

definition

$increasing :: (nat \Rightarrow nat) \Rightarrow bool$ **where**
 $increasing\ s = (\forall i\ j. i < j \longrightarrow s\ i < s\ j)$

lemma *increasing-strict*:

assumes *increasing s*

assumes $i < j$

shows $s\ i < s\ j$

$\langle proof \rangle$

lemma *increasing-weak*:

assumes *increasing s*

assumes $i \leq j$

shows $s\ i \leq s\ j$

$\langle proof \rangle$

lemma *increasing-inc*:

assumes *increasing s*

shows $n \leq s\ n$

$\langle proof \rangle$

lemma *increasing-bij*:

assumes $[simp]: increasing\ s$

shows $(s\ i < s\ j) = (i < j)$

$\langle proof \rangle$

1.3.2 Sections induced by an increasing sequence

abbreviation

$section\ s\ i == \{s\ i ..< s\ (Suc\ i)\}$

definition

$section-of\ s\ n = (LEAST\ i. n < s\ (Suc\ i))$

lemma *section-help*:

assumes *increasing s*

shows $\exists i. n < s\ (Suc\ i)$

$\langle proof \rangle$

lemma *section-of2*:

assumes *increasing s*

shows $n < s\ (Suc\ (section-of\ s\ n))$

$\langle proof \rangle$

lemma *section-of1*:

assumes $[simp, intro]: increasing\ s$

assumes $s\ i \leq n$

shows $s\ (section-of\ s\ n) \leq n$

$\langle proof \rangle$

lemma *section-of-known*:

assumes *[simp]*: *increasing s*

assumes *in-sect*: $k \in \text{section } s \ i$

shows *section-of s k = i* (**is** $?s = i$)

$\langle proof \rangle$

lemma *in-section-of*:

assumes *increasing s*

assumes $s \ i \leq k$

shows $k \in \text{section } s \ (\text{section-of } s \ k)$

$\langle proof \rangle$

end

2 Kleene Algebras

theory *Kleene-Algebras*

imports *Main*

begin

A type class of kleene algebras

class *star* = *type* +

fixes *star* :: $'a \Rightarrow 'a$

class *idem-add* = *ab-semigroup-add* +

assumes *add-idem* *[simp]*: $x + x = x$

lemma *add-idem2* *[simp]*: $(x :: 'a :: \text{idem-add}) + (x + y) = x + y$

$\langle proof \rangle$

class *order-by-add* = *idem-add* + *ord* +

assumes *order-def*: $a \leq b \iff a + b = b$

assumes *strict-order-def*: $a < b \iff a \leq b \wedge a \neq b$

lemma *ord-simp1* *[simp]*: $(x :: 'a :: \text{order-by-add}) \leq y \implies x + y = y$

$\langle proof \rangle$

lemma *ord-simp2* *[simp]*: $(x :: 'a :: \text{order-by-add}) \leq y \implies y + x = y$

$\langle proof \rangle$

lemma *ord-intro*: $(x :: 'a :: \text{order-by-add}) + y = y \implies x \leq y$

$\langle proof \rangle$

instance *order-by-add* \subseteq *order*

$\langle proof \rangle$

class *pre-kleene* = *semiring-1* + *order-by-add*

```

instance pre-kleene  $\subseteq$  pordered-semiring
  <proof>

class kleene = pre-kleene + star +
  assumes star1:  $1 + a * \text{star } a \leq \text{star } a$ 
  and star2:  $1 + \text{star } a * a \leq \text{star } a$ 
  and star3:  $a * x \leq x \implies \text{star } a * x \leq x$ 
  and star4:  $x * a \leq x \implies x * \text{star } a \leq x$ 

class kleene-by-complete-lattice = pre-kleene
  + complete-lattice + recpower + star +
  assumes star-cont:  $a * \text{star } b * c = \text{SUPR UNIV } (\lambda n. a * b ^ n * c)$ 

lemma plus-leI:
  fixes x :: 'a :: order-by-add
  shows  $x \leq z \implies y \leq z \implies x + y \leq z$ 
  <proof>

lemma le-SUPI':
  fixes l :: 'a :: complete-lattice
  assumes  $l \leq M \ i$ 
  shows  $l \leq (\text{SUP } i. M \ i)$ 
  <proof>

lemma zero-minimum[simp]:  $(0 :: 'a :: \text{pre-kleene}) \leq x$ 
  <proof>

instance kleene-by-complete-lattice  $\subseteq$  kleene
  <proof>

lemma less-add[simp]:
  fixes a b :: 'a :: order-by-add
  shows  $a \leq a + b$ 
  and  $b \leq a + b$ 
  <proof>

lemma add-est1:
  fixes a b c :: 'a :: order-by-add
  assumes  $a + b \leq c$ 
  shows  $a \leq c$ 
  <proof>

lemma add-est2:
  fixes a b c :: 'a :: order-by-add
  assumes  $a + b \leq c$ 
  shows  $b \leq c$ 
  <proof>

```

lemma *star3'*:
fixes $a\ b\ x :: 'a :: \text{kleene}$
assumes $a: b + a * x \leq x$
shows $\text{star } a * b \leq x$
 $\langle \text{proof} \rangle$

lemma *star4'*:
fixes $a\ b\ x :: 'a :: \text{kleene}$
assumes $a: b + x * a \leq x$
shows $b * \text{star } a \leq x$
 $\langle \text{proof} \rangle$

lemma *star-idemp*:
fixes $x :: 'a :: \text{kleene}$
shows $\text{star } (\text{star } x) = \text{star } x$
 $\langle \text{proof} \rangle$

lemma *star-unfold-left*:
fixes $a :: 'a :: \text{kleene}$
shows $1 + a * \text{star } a = \text{star } a$
 $\langle \text{proof} \rangle$

lemma *star-unfold-right*:
fixes $a :: 'a :: \text{kleene}$
shows $1 + \text{star } a * a = \text{star } a$
 $\langle \text{proof} \rangle$

lemma *star-zero[simp]*:
shows $\text{star } (0 :: 'a :: \text{kleene}) = 1$
 $\langle \text{proof} \rangle$

lemma *star-commute*:
fixes $a\ b\ x :: 'a :: \text{kleene}$
assumes $a: a * x = x * b$
shows $\text{star } a * x = x * \text{star } b$
 $\langle \text{proof} \rangle$

lemma *star-assoc*:
fixes $c\ d :: 'a :: \text{kleene}$
shows $\text{star } (c * d) * c = c * \text{star } (d * c)$
 $\langle \text{proof} \rangle$

lemma *star-dist*:
fixes $a\ b :: 'a :: \text{kleene}$
shows $\text{star } (a + b) = \text{star } a * \text{star } (b * \text{star } a)$

$\langle proof \rangle$

lemma *star-one*:

fixes $a\ p\ p' :: 'a :: kleene$
assumes $p * p' = 1$ **and** $p' * p = 1$
shows $p' * star\ a * p = star\ (p' * a * p)$
 $\langle proof \rangle$

lemma *star-mono*:

fixes $x\ y :: 'a :: kleene$
assumes $x \leq y$
shows $star\ x \leq star\ y$
 $\langle proof \rangle$

lemma *x-less-star[simp]*:

fixes $x :: 'a :: kleene$
shows $x \leq x * star\ a$
 $\langle proof \rangle$

2.1 Transitive Closure

definition

$tcl\ (x :: 'a :: kleene) = star\ x * x$

lemma *tcl-zero*:

$tcl\ (0 :: 'a :: kleene) = 0$
 $\langle proof \rangle$

lemma *tcl-unfold-right*: $tcl\ a = a + tcl\ a * a$

$\langle proof \rangle$

lemma *less-tcl*: $a \leq tcl\ a$

$\langle proof \rangle$

2.2 Naive Algorithm to generate the transitive closure

function (default $\lambda x. 0$, *tailrec*, *domintros*)

$mk-tcl :: ('a :: \{plus, times, ord, zero\}) \Rightarrow 'a \Rightarrow 'a$

where

$mk-tcl\ A\ X = (if\ X * A \leq X\ then\ X\ else\ mk-tcl\ A\ (X + X * A))$

$\langle proof \rangle$

declare *mk-tcl.simps[simp del]*

lemma *mk-tcl-code[code]*:

```

mk-tcl A X =
  (let XA = X * A
   in if XA ≤ X then X else mk-tcl A (X + XA))
⟨proof⟩

```

```

lemma mk-tcl-lemma1:
  fixes X :: 'a :: kleene
  shows (X + X * A) * star A = X * star A
⟨proof⟩

```

```

lemma mk-tcl-lemma2:
  fixes X :: 'a :: kleene
  shows X * A ≤ X ⟹ X * star A = X
⟨proof⟩

```

```

lemma mk-tcl-correctness:
  fixes A X :: 'a :: {kleene}
  assumes mk-tcl-dom (A, X)
  shows mk-tcl A X = X * star A
⟨proof⟩

```

```

lemma graph-implies-dom: mk-tcl-graph x y ⟹ mk-tcl-dom x
⟨proof⟩

```

```

lemma mk-tcl-default: ¬ mk-tcl-dom (a,x) ⟹ mk-tcl a x = 0
⟨proof⟩

```

We can replace the dom-Condition of the correctness theorem with something executable

```

lemma mk-tcl-correctness2:
  fixes A X :: 'a :: {kleene}
  assumes mk-tcl A A ≠ 0
  shows mk-tcl A A = tcl A
⟨proof⟩

```

end

3 General Graphs as Sets

```

theory Graphs
imports Main Misc-Tools Kleene-Algebras
begin

```

3.1 Basic types, Size Change Graphs

datatype ('a, 'b) graph =
 Graph ('a × 'b × 'a) set

primrec dest-graph :: ('a, 'b) graph ⇒ ('a × 'b × 'a) set
 where dest-graph (Graph G) = G

lemma graph-dest-graph[simp]:
 Graph (dest-graph G) = G
 ⟨proof⟩

lemma split-graph-all:
 (⋀gr. PROP P gr) ≡ (⋀set. PROP P (Graph set))
 ⟨proof⟩

definition
 has-edge :: ('n, 'e) graph ⇒ 'n ⇒ 'e ⇒ 'n ⇒ bool
 (- ⊢ - ∼ -)
where
 has-edge G n e n' = ((n, e, n') ∈ dest-graph G)

3.2 Graph composition

fun grcomp :: ('n, 'e::times) graph ⇒ ('n, 'e) graph ⇒ ('n, 'e) graph
where
 grcomp (Graph G) (Graph H) =
 Graph {(p,b,q) | p b q.
 (∃ k e e'. (p,e,k) ∈ G ∧ (k,e',q) ∈ H ∧ b = e * e')}

declare grcomp.simps[code del]

lemma graph-ext:
 assumes ⋀n e n'. has-edge G n e n' = has-edge H n e n'
 shows G = H
 ⟨proof⟩

instantiation graph :: (type, type) comm-monoid-add
begin

definition
 graph-zero-def: 0 = Graph {}

definition
 graph-plus-def [code func del]: G + H = Graph (dest-graph G ∪ dest-graph H)

instance ⟨proof⟩

end

instantiation *graph* :: (*type*, *type*) {*distrib-lattice*, *complete-lattice*}
begin

definition

graph-leq-def [*code func del*]: $G \leq H \longleftrightarrow \text{dest-graph } G \subseteq \text{dest-graph } H$

definition

graph-less-def [*code func del*]: $G < H \longleftrightarrow \text{dest-graph } G \subset \text{dest-graph } H$

definition

[*code func del*]: $\text{inf } G \ H = \text{Graph } (\text{dest-graph } G \cap \text{dest-graph } H)$

definition

[*code func del*]: $\text{sup } (G :: ('a, 'b) \text{ graph}) \ H = G + H$

definition

Inf-graph-def [*code func del*]: $\text{Inf} = (\lambda Gs. \text{Graph } (\bigcap (\text{dest-graph } 'Gs)))$

definition

Sup-graph-def [*code func del*]: $\text{Sup} = (\lambda Gs. \text{Graph } (\bigcup (\text{dest-graph } 'Gs)))$

instance $\langle \text{proof} \rangle$

end

lemma *in-grplus*:

$\text{has-edge } (G + H) \ p \ b \ q = (\text{has-edge } G \ p \ b \ q \vee \text{has-edge } H \ p \ b \ q)$
 $\langle \text{proof} \rangle$

lemma *in-grzero*:

$\text{has-edge } 0 \ p \ b \ q = \text{False}$
 $\langle \text{proof} \rangle$

3.2.1 Multiplicative Structure

instantiation *graph* :: (*type*, *times*) *mult-zero*
begin

definition

graph-mult-def [*code func del*]: $G * H = \text{grcomp } G \ H$

instance $\langle \text{proof} \rangle$

end

instantiation *graph* :: (*type*, *one*) *one*

begin

definition

graph-one-def: $1 = \text{Graph } \{ (x, 1, x) \mid x. \text{True} \}$

instance $\langle \text{proof} \rangle$

end

lemma *in-grcomp*:

has-edge $(G * H) \ p \ b \ q$
= $(\exists k \ e \ e'. \text{has-edge } G \ p \ e \ k \wedge \text{has-edge } H \ k \ e' \ q \wedge b = e * e')$
 $\langle \text{proof} \rangle$

lemma *in-grunit*:

has-edge $1 \ p \ b \ q = (p = q \wedge b = 1)$
 $\langle \text{proof} \rangle$

instance *graph* :: $(\text{type}, \text{semigroup-mult}) \text{ semigroup-mult}$
 $\langle \text{proof} \rangle$

instantiation *graph* :: $(\text{type}, \text{monoid-mult}) \{ \text{semiring-1}, \text{idem-add}, \text{recpower}, \text{star} \}$
begin

primrec *power-graph* :: $(\text{'a}::\text{type}, \text{'b}::\text{monoid-mult}) \text{ graph} \Rightarrow \text{nat} \Rightarrow (\text{'a}, \text{'b}) \text{ graph}$
where

$(A :: (\text{'a}, \text{'b}) \text{ graph}) \ ^0 = 1$
 $\mid (A :: (\text{'a}, \text{'b}) \text{ graph}) \ ^{\text{Suc } n} = A * (A \ ^n)$

definition

graph-star-def: $\text{star } (G :: (\text{'a}, \text{'b}) \text{ graph}) = (\text{SUP } n. G \ ^n)$

instance $\langle \text{proof} \rangle$

end

lemma *graph-leqI*:

assumes $\bigwedge n \ e \ n'. \text{has-edge } G \ n \ e \ n' \Longrightarrow \text{has-edge } H \ n \ e \ n'$
shows $G \leq H$
 $\langle \text{proof} \rangle$

lemma *in-graph-plusE*:

assumes $\text{has-edge } (G + H) \ n \ e \ n'$
assumes $\text{has-edge } G \ n \ e \ n' \Longrightarrow P$
assumes $\text{has-edge } H \ n \ e \ n' \Longrightarrow P$
shows P
 $\langle \text{proof} \rangle$

lemma *in-graph-compE*:

assumes GH : $\text{has-edge } (G * H) \ n \ e \ n'$
obtains $e1 \ k \ e2$
where $\text{has-edge } G \ n \ e1 \ k \ \text{has-edge } H \ k \ e2 \ n' \ e = e1 * e2$
 $\langle \text{proof} \rangle$

lemma
assumes $x \in S \ k$
shows $x \in (\bigcup k. S \ k)$
 $\langle \text{proof} \rangle$

lemma *graph-union-least*:
assumes $\bigwedge n. \text{Graph } (G \ n) \leq C$
shows $\text{Graph } (\bigcup n. G \ n) \leq C$
 $\langle \text{proof} \rangle$

lemma *Sup-graph-eq*:
 $(\text{SUP } n. \text{Graph } (G \ n)) = \text{Graph } (\bigcup n. G \ n)$
 $\langle \text{proof} \rangle$

lemma *has-edge-leq*: $\text{has-edge } G \ p \ b \ q = (\text{Graph } \{(p,b,q)\} \leq G)$
 $\langle \text{proof} \rangle$

lemma *Sup-graph-eq2*:
 $(\text{SUP } n. G \ n) = \text{Graph } (\bigcup n. \text{dest-graph } (G \ n))$
 $\langle \text{proof} \rangle$

lemma *in-SUP*:
 $\text{has-edge } (\text{SUP } x. Gs \ x) \ p \ b \ q = (\exists x. \text{has-edge } (Gs \ x) \ p \ b \ q)$
 $\langle \text{proof} \rangle$

instance *graph* :: (type, monoid-mult) *kleene-by-complete-lattice*
 $\langle \text{proof} \rangle$

lemma *in-star*:
 $\text{has-edge } (\text{star } G) \ a \ x \ b = (\exists n. \text{has-edge } (G \ ^n) \ a \ x \ b)$
 $\langle \text{proof} \rangle$

lemma *tcl-is-SUP*:
 $\text{tcl } (G :: ('a :: \text{type}, 'b :: \text{monoid-mult}) \ \text{graph}) =$
 $(\text{SUP } n. G \ ^n \ (\text{Suc } n))$
 $\langle \text{proof} \rangle$

lemma *in-tcl*:
 $\text{has-edge } (\text{tcl } G) \ a \ x \ b = (\exists n > 0. \text{has-edge } (G \ ^n) \ a \ x \ b)$
 $\langle \text{proof} \rangle$

3.3 Infinite Paths

types $('n, 'e) \text{ ipath} = ('n \times 'e) \text{ sequence}$

definition $\text{has-ipath} :: ('n, 'e) \text{ graph} \Rightarrow ('n, 'e) \text{ ipath} \Rightarrow \text{bool}$
where

$\text{has-ipath } G \text{ } p =$
 $(\forall i. \text{has-edge } G \text{ } (\text{fst } (p \text{ } i)) \text{ } (\text{snd } (p \text{ } i)) \text{ } (\text{fst } (p \text{ } (\text{Suc } i))))$

3.4 Finite Paths

types $('n, 'e) \text{ fpath} = ('n \times ('e \times 'n) \text{ list})$

inductive $\text{has-fpath} :: ('n, 'e) \text{ graph} \Rightarrow ('n, 'e) \text{ fpath} \Rightarrow \text{bool}$
for $G :: ('n, 'e) \text{ graph}$

where

$\text{has-fpath-empty}: \text{has-fpath } G \text{ } (n, [])$
 $| \text{has-fpath-join}: \llbracket G \vdash n \rightsquigarrow^e n'; \text{has-fpath } G \text{ } (n', \text{es}) \rrbracket \Longrightarrow \text{has-fpath } G \text{ } (n, (e, n')\#\text{es})$

definition

$\text{end-node } p =$
 $(\text{if } \text{snd } p = [] \text{ then } \text{fst } p \text{ else } \text{snd } (\text{snd } p ! (\text{length } (\text{snd } p) - 1)))$

definition $\text{path-nth} :: ('n, 'e) \text{ fpath} \Rightarrow \text{nat} \Rightarrow ('n \times 'e \times 'n)$

where

$\text{path-nth } p \text{ } k = (\text{if } k = 0 \text{ then } \text{fst } p \text{ else } \text{snd } (\text{snd } p ! (k - 1)), \text{snd } p ! k)$

lemma endnode-nth :

assumes $\text{length } (\text{snd } p) = \text{Suc } k$
shows $\text{end-node } p = \text{snd } (\text{snd } (\text{path-nth } p \text{ } k))$
 $\langle \text{proof} \rangle$

lemma path-nth-graph :

assumes $k < \text{length } (\text{snd } p)$
assumes $\text{has-fpath } G \text{ } p$
shows $(\lambda(n,e,n'). \text{has-edge } G \text{ } n \text{ } e \text{ } n') (\text{path-nth } p \text{ } k)$
 $\langle \text{proof} \rangle$

lemma $\text{path-nth-connected}$:

assumes $\text{Suc } k < \text{length } (\text{snd } p)$
shows $\text{fst } (\text{path-nth } p \text{ } (\text{Suc } k)) = \text{snd } (\text{snd } (\text{path-nth } p \text{ } k))$
 $\langle \text{proof} \rangle$

definition $\text{path-loop} :: ('n, 'e) \text{ fpath} \Rightarrow ('n, 'e) \text{ ipath } (\text{omega})$

where

$\text{omega } p \equiv (\lambda i. (\lambda(n,e,n'). (n,e)) (\text{path-nth } p \text{ } (i \bmod (\text{length } (\text{snd } p)))))$

lemma $\text{fst-p0}: \text{fst } (\text{path-nth } p \text{ } 0) = \text{fst } p$

$\langle \text{proof} \rangle$

lemma *path-loop-connect*:
assumes *fst p = end-node p*
and $0 < \text{length } (\text{snd } p)$ (**is** $0 < ?l$)
shows $\text{fst } (\text{path-nth } p \ (\text{Suc } i \ \text{mod } (\text{length } (\text{snd } p))))$
 $= \text{snd } (\text{snd } (\text{path-nth } p \ (i \ \text{mod } \text{length } (\text{snd } p))))$
(**is** $\dots = \text{snd } (\text{snd } (\text{path-nth } p \ ?k))$)
 $\langle \text{proof} \rangle$

lemma *path-loop-graph*:
assumes *has-fpath G p*
and *loop: fst p = end-node p*
and *nonempty: 0 < length (snd p)* (**is** $0 < ?l$)
shows *has-ipath G (omega p)*
 $\langle \text{proof} \rangle$

definition *prod* :: $('n, 'e::\text{monoid-mult}) \text{ fpath} \Rightarrow 'e$
where
 $\text{prod } p = \text{foldr } (\text{op } *) \ (\text{map } \text{fst } (\text{snd } p)) \ 1$

lemma *prod-simps[simp]*:
 $\text{prod } (n, []) = 1$
 $\text{prod } (n, (e, n') \# es) = e * (\text{prod } (n', es))$
 $\langle \text{proof} \rangle$

lemma *power-induces-path*:
assumes *a: has-edge (A ^ k) n G m*
obtains *p*
where *has-fpath A p*
and $n = \text{fst } p \ m = \text{end-node } p$
and $G = \text{prod } p$
and $k = \text{length } (\text{snd } p)$
 $\langle \text{proof} \rangle$

3.5 Sub-Paths

definition *sub-path* :: $('n, 'e) \text{ ipath} \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow ('n, 'e) \text{ fpath}$
 $((-\langle -, - \rangle))$
where
 $p \langle i, j \rangle =$
 $(\text{fst } (p \ i), \text{map } (\lambda k. (\text{snd } (p \ k), \text{fst } (p \ (\text{Suc } k)))) \ [i ..< j])$

lemma *sub-path-is-path*:
assumes *ipath: has-ipath G p*
assumes *l: i ≤ j*
shows *has-fpath G (p ⟨i, j⟩)*
 $\langle \text{proof} \rangle$

lemma *sub-path-start*[simp]:

$\text{fst } (p \langle i, j \rangle) = \text{fst } (p \ i)$
 $\langle \text{proof} \rangle$

lemma *nth-upto*[simp]: $k < j - i \implies [i \ ..< j] ! k = i + k$

$\langle \text{proof} \rangle$

lemma *sub-path-end*[simp]:

$i < j \implies \text{end-node } (p \langle i, j \rangle) = \text{fst } (p \ j)$
 $\langle \text{proof} \rangle$

lemma *foldr-map*: $\text{foldr } f \ (\text{map } g \ xs) = \text{foldr } (f \ o \ g) \ xs$

$\langle \text{proof} \rangle$

lemma *upto-append*[simp]:

assumes $i \leq j \ j \leq k$
shows $[i \ ..< j] @ [j \ ..< k] = [i \ ..< k]$
 $\langle \text{proof} \rangle$

lemma *foldr-monoid*: $\text{foldr } (op \ *) \ xs \ 1 * \text{foldr } (op \ *) \ ys \ 1$

$= \text{foldr } (op \ *) \ (xs @ ys) \ (1 :: 'a :: \text{monoid-mult})$
 $\langle \text{proof} \rangle$

lemma *sub-path-prod*:

assumes $i < j$
assumes $j < k$
shows $\text{prod } (p \langle i, k \rangle) = \text{prod } (p \langle i, j \rangle) * \text{prod } (p \langle j, k \rangle)$
 $\langle \text{proof} \rangle$

lemma *path-acgpow-aux*:

assumes $\text{length } es = l$
assumes $\text{has-fpath } G \ (n, es)$
shows $\text{has-edge } (G \ ^l) \ n \ (\text{prod } (n, es)) \ (\text{end-node } (n, es))$
 $\langle \text{proof} \rangle$

lemma *path-acgpow*:

$\text{has-fpath } G \ p$
 $\implies \text{has-edge } (G \ ^{\text{length } (\text{snd } p)}) \ (\text{fst } p) \ (\text{prod } p) \ (\text{end-node } p)$
 $\langle \text{proof} \rangle$

lemma *star-paths*:

$\text{has-edge } (\text{star } G) \ a \ x \ b =$
 $(\exists p. \text{has-fpath } G \ p \wedge a = \text{fst } p \wedge b = \text{end-node } p \wedge x = \text{prod } p)$
 $\langle \text{proof} \rangle$

lemma *plus-paths*:
 $has-edge\ (tcl\ G)\ a\ x\ b =$
 $(\exists p. has-fpath\ G\ p \wedge a = fst\ p \wedge b = end-node\ p \wedge x = prod\ p \wedge 0 < length$
 $(snd\ p))$
 $\langle proof \rangle$

definition
 $contract\ s\ p =$
 $(\lambda i. (fst\ (p\ (s\ i)), prod\ (p\langle s\ i, s\ (Suc\ i) \rangle))))$

lemma *ipath-contract*:
assumes $[simp]$: *increasing* s
assumes *ipath*: $has-ipath\ G\ p$
shows $has-ipath\ (tcl\ G)\ (contract\ s\ p)$
 $\langle proof \rangle$

lemma *prod-unfold*:
 $i < j \implies prod\ (p\langle i, j \rangle)$
 $= snd\ (p\ i) * prod\ (p\langle Suc\ i, j \rangle)$
 $\langle proof \rangle$

lemma *sub-path-loop*:
assumes $0 < k$
assumes k : $k = length\ (snd\ loop)$
assumes *loop*: $fst\ loop = end-node\ loop$
shows $(omega\ loop)\langle k * i, k * Suc\ i \rangle = loop\ (is\ ?\omega = loop)$
 $\langle proof \rangle$

end

4 The Size-Change Principle (Definition)

theory *Criterion*
imports *Graphs Infinite-Set*
begin

4.1 Size-Change Graphs

datatype *sedge* =
 $LESS\ (\downarrow)$
 $| LEQ\ (\Downarrow)$

instantiation *sedge* :: *comm-monoid-mult*
begin

definition

one-sedge-def: $1 = \Downarrow$

definition

mult-sedge-def: $a * b = (\text{if } a = \downarrow \text{ then } \downarrow \text{ else } b)$

instance $\langle \text{proof} \rangle$

end

lemma *sedge-UNIV*:

$UNIV = \{ LESS, LEQ \}$

$\langle \text{proof} \rangle$

instance *sedge :: finite*

$\langle \text{proof} \rangle$

types $'a \text{ scg} = ('a, \text{sedge}) \text{ graph}$

types $'a \text{ acg} = ('a, 'a \text{ scg}) \text{ graph}$

4.2 Size-Change Termination

abbreviation (*input*)

desc $P \ Q == ((\exists n. \forall i \geq n. P \ i) \wedge (\exists_{\infty} i. Q \ i))$

abbreviation (*input*)

dsc $G \ i \ j \equiv \text{has-edge } G \ i \ LESS \ j$

abbreviation (*input*)

eqp $G \ i \ j \equiv \text{has-edge } G \ i \ LEQ \ j$

abbreviation

eql $:: 'a \text{ scg} \Rightarrow 'a \Rightarrow 'a \Rightarrow \text{bool}$

$(- \vdash - \rightsquigarrow -)$

where

eql $G \ i \ j \equiv \text{has-edge } G \ i \ LESS \ j \vee \text{has-edge } G \ i \ LEQ \ j$

abbreviation (*input*) *descat* $:: ('a, 'a \text{ scg}) \text{ ipath} \Rightarrow 'a \text{ sequence} \Rightarrow \text{nat} \Rightarrow \text{bool}$

where

descat $p \ \vartheta \ i \equiv \text{has-edge } (\text{snd } (p \ i)) \ (\vartheta \ i) \ LESS \ (\vartheta \ (\text{Suc } i))$

abbreviation (*input*) *eqat* $:: ('a, 'a \text{ scg}) \text{ ipath} \Rightarrow 'a \text{ sequence} \Rightarrow \text{nat} \Rightarrow \text{bool}$

where

eqat $p \ \vartheta \ i \equiv \text{has-edge } (\text{snd } (p \ i)) \ (\vartheta \ i) \ LEQ \ (\vartheta \ (\text{Suc } i))$

abbreviation $(input) \text{ eqlat} :: ('a, 'a \text{ scg}) \text{ ipath} \Rightarrow 'a \text{ sequence} \Rightarrow nat \Rightarrow bool$
where

$\text{eqlat } p \ \vartheta \ i \equiv (\text{has-edge } (snd \ (p \ i)) \ (\vartheta \ i) \text{ LESS } (\vartheta \ (Suc \ i)))$
 $\vee \text{ has-edge } (snd \ (p \ i)) \ (\vartheta \ i) \text{ LEQ } (\vartheta \ (Suc \ i)))$

definition $\text{is-desc-thread} :: 'a \text{ sequence} \Rightarrow ('a, 'a \text{ scg}) \text{ ipath} \Rightarrow bool$
where

$\text{is-desc-thread } \vartheta \ p = ((\exists n. \forall i \geq n. \text{ eqlat } p \ \vartheta \ i) \wedge (\exists_{\infty} i. \text{ descat } p \ \vartheta \ i))$

definition $\text{SCT} :: 'a \text{ acg} \Rightarrow bool$
where

$\text{SCT } \mathcal{A} =$
 $(\forall p. \text{ has-ipath } \mathcal{A} \ p \longrightarrow (\exists \vartheta. \text{ is-desc-thread } \vartheta \ p))$

definition $\text{no-bad-graphs} :: 'a \text{ acg} \Rightarrow bool$
where

$\text{no-bad-graphs } A =$
 $(\forall n \ G. \text{ has-edge } A \ n \ G \ n \wedge G * G = G$
 $\longrightarrow (\exists p. \text{ has-edge } G \ p \text{ LESS } p))$

definition $\text{SCT}' :: 'a \text{ acg} \Rightarrow bool$
where

$\text{SCT}' \ A = \text{no-bad-graphs } (tcl \ A)$

end

5 Proof of the Size-Change Principle

theory *Correctness*
imports *Main Ramsey Misc-Tools Criterion*
begin

5.1 Auxiliary definitions

definition $\text{is-thread} :: nat \Rightarrow 'a \text{ sequence} \Rightarrow ('a, 'a \text{ scg}) \text{ ipath} \Rightarrow bool$
where

$\text{is-thread } n \ \vartheta \ p = (\forall i \geq n. \text{ eqlat } p \ \vartheta \ i)$

definition $\text{is-fthread} ::$
 $'a \text{ sequence} \Rightarrow ('a, 'a \text{ scg}) \text{ ipath} \Rightarrow nat \Rightarrow nat \Rightarrow bool$
where

$\text{is-fthread } \vartheta \ mp \ i \ j = (\forall k \in \{i..<j\}. \text{ eqlat } mp \ \vartheta \ k)$

definition $\text{is-desc-fthread} ::$

$'a \text{ sequence} \Rightarrow ('a, 'a \text{ scg}) \text{ ipath} \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow \text{bool}$
where
 $\text{is-desc-fthread } \vartheta \text{ mp } i \text{ } j =$
 $(\text{is-fthread } \vartheta \text{ mp } i \text{ } j \wedge$
 $(\exists k \in \{i..<j\}. \text{descat mp } \vartheta \text{ } k))$

definition

$\text{has-fth } p \text{ } i \text{ } j \text{ } n \text{ } m =$
 $(\exists \vartheta. \text{is-fthread } \vartheta \text{ } p \text{ } i \text{ } j \wedge \vartheta \text{ } i = n \wedge \vartheta \text{ } j = m)$

definition

$\text{has-desc-fth } p \text{ } i \text{ } j \text{ } n \text{ } m =$
 $(\exists \vartheta. \text{is-desc-fthread } \vartheta \text{ } p \text{ } i \text{ } j \wedge \vartheta \text{ } i = n \wedge \vartheta \text{ } j = m)$

5.2 Everything is finite

lemma *finite-range*:

fixes $f :: \text{nat} \Rightarrow 'a$
assumes $\text{fin}: \text{finite } (\text{range } f)$
shows $\exists x. \exists_{\infty} i. f \text{ } i = x$
 $\langle \text{proof} \rangle$

lemma *finite-range-ignore-prefix*:

fixes $f :: \text{nat} \Rightarrow 'a$
assumes $fA: \text{finite } A$
assumes $\text{in}A: \forall x \geq n. f \text{ } x \in A$
shows $\text{finite } (\text{range } f)$
 $\langle \text{proof} \rangle$

definition

$\text{finite-graph } G = \text{finite } (\text{dest-graph } G)$

definition

$\text{all-finite } G = (\forall n \text{ } H \text{ } m. \text{has-edge } G \text{ } n \text{ } H \text{ } m \longrightarrow \text{finite-graph } H)$

definition

$\text{finite-acg } A = (\text{finite-graph } A \wedge \text{all-finite } A)$

definition

$\text{nodes } G = \text{fst } ' \text{ dest-graph } G \cup \text{snd } ' \text{ snd } ' \text{ dest-graph } G$

definition

$\text{edges } G = \text{fst } ' \text{ snd } ' \text{ dest-graph } G$

definition

$\text{smallnodes } G = \bigcup (\text{nodes } ' \text{ edges } G)$

lemma *thread-image-nodes*:

assumes $\text{th}: \text{is-thread } n \text{ } \vartheta \text{ } p$
shows $\forall i \geq n. \vartheta \text{ } i \in \text{nodes } (\text{snd } (p \text{ } i))$
 $\langle \text{proof} \rangle$

lemma *finite-nodes*: $\text{finite-graph } G \implies \text{finite } (\text{nodes } G)$
 ⟨proof⟩

lemma *nodes-subgraph*: $A \leq B \implies \text{nodes } A \subseteq \text{nodes } B$
 ⟨proof⟩

lemma *finite-edges*: $\text{finite-graph } G \implies \text{finite } (\text{edges } G)$
 ⟨proof⟩

lemma *edges-sum[simp]*: $\text{edges } (A + B) = \text{edges } A \cup \text{edges } B$
 ⟨proof⟩

lemma *nodes-sum[simp]*: $\text{nodes } (A + B) = \text{nodes } A \cup \text{nodes } B$
 ⟨proof⟩

lemma *finite-acg-subset*:
 $A \leq B \implies \text{finite-acg } B \implies \text{finite-acg } A$
 ⟨proof⟩

lemma *scg-finite*:
 fixes $G :: 'a \text{ scg}$
 assumes $\text{fin}: \text{finite } (\text{nodes } G)$
 shows $\text{finite-graph } G$
 ⟨proof⟩

lemma *smallnodes-sum[simp]*:
 $\text{smallnodes } (A + B) = \text{smallnodes } A \cup \text{smallnodes } B$
 ⟨proof⟩

lemma *in-smallnodes*:
 fixes $A :: 'a \text{ acg}$
 assumes $e: \text{has-edge } A \ x \ G \ y$
 shows $\text{nodes } G \subseteq \text{smallnodes } A$
 ⟨proof⟩

lemma *finite-smallnodes*:
 assumes $fA: \text{finite-acg } A$
 shows $\text{finite } (\text{smallnodes } A)$
 ⟨proof⟩

lemma *nodes-tcl*:
 $\text{nodes } (\text{tcl } A) = \text{nodes } A$
 ⟨proof⟩

lemma *smallnodes-tcl*:
 fixes $A :: 'a \text{ acg}$
 shows $\text{smallnodes } (\text{tcl } A) = \text{smallnodes } A$
 ⟨proof⟩

lemma *finite-nodegraphs*:
assumes F : *finite* F
shows *finite* $\{ G :: 'a \text{ scg. nodes } G \subseteq F \}$ (**is** *finite* $?P$)
 $\langle \text{proof} \rangle$

lemma *finite-graphI*:
fixes $A :: 'a \text{ acg}$
assumes fin : *finite* (*nodes* A) *finite* (*smallnodes* A)
shows *finite-graph* A
 $\langle \text{proof} \rangle$

lemma *smallnodes-allfinite*:
fixes $A :: 'a \text{ acg}$
assumes fin : *finite* (*smallnodes* A)
shows *all-finite* A
 $\langle \text{proof} \rangle$

lemma *finite-tcl*:
fixes $A :: 'a \text{ acg}$
shows *finite-acg* (*tcl* A) \longleftrightarrow *finite-acg* A
 $\langle \text{proof} \rangle$

lemma *finite-acg-empty*: *finite-acg* (*Graph* $\{\}$)
 $\langle \text{proof} \rangle$

lemma *finite-acg-ins*:
assumes fA : *finite-acg* (*Graph* A)
assumes fG : *finite* G
shows *finite-acg* (*Graph* (*insert* (a , *Graph* G , b) A))
 $\langle \text{proof} \rangle$

lemmas *finite-acg-simps* = *finite-acg-empty* *finite-acg-ins* *finite-graph-def*

5.3 Contraction and more

abbreviation

$\text{pdesc } P == (\text{fst } P, \text{prod } P, \text{end-node } P)$

lemma *pdesc-acgplus*:
assumes *has-ipath* \mathcal{A} p
and $i < j$
shows *has-edge* (*tcl* \mathcal{A}) (*fst* ($p\langle i, j \rangle$)) (*prod* ($p\langle i, j \rangle$)) (*end-node* ($p\langle i, j \rangle$))
 $\langle \text{proof} \rangle$

lemma *combine-fthreads*:
assumes *range*: $i < j \leq k$

shows
 $has_fth\ p\ i\ k\ m\ r =$
 $(\exists n. has_fth\ p\ i\ j\ m\ n \wedge has_fth\ p\ j\ k\ n\ r) \text{ (is } ?L = ?R)$
 $\langle proof \rangle$

lemma *desc-is-fthread*:
 $is_desc_fthread\ \vartheta\ p\ i\ k \implies is_fthread\ \vartheta\ p\ i\ k$
 $\langle proof \rangle$

lemma *combine-dfthreads*:
assumes $range: i < j \leq k$
shows
 $has_desc_fth\ p\ i\ k\ m\ r =$
 $(\exists n. (has_desc_fth\ p\ i\ j\ m\ n \wedge has_fth\ p\ j\ k\ n\ r)$
 $\vee (has_fth\ p\ i\ j\ m\ n \wedge has_desc_fth\ p\ j\ k\ n\ r)) \text{ (is } ?L = ?R)$
 $\langle proof \rangle$

lemma *fth-single*:
 $has_fth\ p\ i\ (Suc\ i)\ m\ n = eql\ (snd\ (p\ i))\ m\ n \text{ (is } ?L = ?R)$
 $\langle proof \rangle$

lemma *desc-fth-single*:
 $has_desc_fth\ p\ i\ (Suc\ i)\ m\ n =$
 $dsc\ (snd\ (p\ i))\ m\ n \text{ (is } ?L = ?R)$
 $\langle proof \rangle$

lemma *mk-eql*: $(G \vdash m \rightsquigarrow^e n) \implies eql\ G\ m\ n$
 $\langle proof \rangle$

lemma *eql-scgcomp*:
 $eql\ (G * H)\ m\ r =$
 $(\exists n. eql\ G\ m\ n \wedge eql\ H\ n\ r) \text{ (is } ?L = ?R)$
 $\langle proof \rangle$

lemma *desc-scgcomp*:
 $dsc\ (G * H)\ m\ r =$
 $(\exists n. (dsc\ G\ m\ n \wedge eql\ H\ n\ r) \vee (eqp\ G\ m\ n \wedge dsc\ H\ n\ r)) \text{ (is } ?L = ?R)$
 $\langle proof \rangle$

lemma *has-fth-unfold*:
assumes $i < j$
shows $has_fth\ p\ i\ j\ m\ n =$
 $(\exists r. has_fth\ p\ i\ (Suc\ i)\ m\ r \wedge has_fth\ p\ (Suc\ i)\ j\ r\ n)$
 $\langle proof \rangle$

lemma *has-dfth-unfold*:
assumes *range*: $i < j$
shows
 $has_desc_fth\ p\ i\ j\ m\ r =$
 $(\exists n. (has_desc_fth\ p\ i\ (Suc\ i)\ m\ n \wedge has_fth\ p\ (Suc\ i)\ j\ n\ r))$
 $\vee (has_fth\ p\ i\ (Suc\ i)\ m\ n \wedge has_desc_fth\ p\ (Suc\ i)\ j\ n\ r))$
 $\langle proof \rangle$

lemma *Lemma7a*:
 $i \leq j \implies has_fth\ p\ i\ j\ m\ n = eql\ (prod\ (p\langle i,j \rangle))\ m\ n$
 $\langle proof \rangle$

lemma *Lemma7b*:
assumes $i \leq j$
shows
 $has_desc_fth\ p\ i\ j\ m\ n =$
 $dsc\ (prod\ (p\langle i,j \rangle))\ m\ n$
 $\langle proof \rangle$

lemma *descat-contract*:
assumes *[simp]*: *increasing s*
shows
 $descat\ (contract\ s\ p)\ \vartheta\ i =$
 $has_desc_fth\ p\ (s\ i)\ (s\ (Suc\ i))\ (\vartheta\ i)\ (\vartheta\ (Suc\ i))$
 $\langle proof \rangle$

lemma *eqlat-contract*:
assumes *[simp]*: *increasing s*
shows
 $eqlat\ (contract\ s\ p)\ \vartheta\ i =$
 $has_fth\ p\ (s\ i)\ (s\ (Suc\ i))\ (\vartheta\ i)\ (\vartheta\ (Suc\ i))$
 $\langle proof \rangle$

5.3.1 Connecting threads

definition
 $connect\ s\ \vartheta s = (\lambda k. \vartheta s\ (section_of\ s\ k)\ k)$

lemma *next-in-range*:
assumes *[simp]*: *increasing s*
assumes *a*: $k \in section\ s\ i$
shows $(Suc\ k \in section\ s\ i) \vee (Suc\ k \in section\ s\ (Suc\ i))$
 $\langle proof \rangle$

lemma *connect-threads*:

assumes $[simp]$: *increasing s*
assumes *connected*: $\vartheta s\ i\ (s\ (Suc\ i)) = \vartheta s\ (Suc\ i)\ (s\ (Suc\ i))$
assumes *fth*: *is-fthread* $(\vartheta s\ i)\ p\ (s\ i)\ (s\ (Suc\ i))$

shows

is-fthread $(connect\ s\ \vartheta s)\ p\ (s\ i)\ (s\ (Suc\ i))$
 $\langle proof \rangle$

lemma *connect-dthreads*:

assumes $inc[simp]$: *increasing s*
assumes *connected*: $\vartheta s\ i\ (s\ (Suc\ i)) = \vartheta s\ (Suc\ i)\ (s\ (Suc\ i))$
assumes *fth*: *is-desc-fthread* $(\vartheta s\ i)\ p\ (s\ i)\ (s\ (Suc\ i))$

shows

is-desc-fthread $(connect\ s\ \vartheta s)\ p\ (s\ i)\ (s\ (Suc\ i))$
 $\langle proof \rangle$

lemma *mk-inf-thread*:

assumes $[simp]$: *increasing s*
assumes *fths*: $\bigwedge i. i > n \implies is-fthread\ \vartheta\ p\ (s\ i)\ (s\ (Suc\ i))$
shows *is-thread* $(s\ (Suc\ n))\ \vartheta\ p$
 $\langle proof \rangle$

lemma *mk-inf-desc-thread*:

assumes $[simp]$: *increasing s*
assumes *fths*: $\bigwedge i. i > n \implies is-fthread\ \vartheta\ p\ (s\ i)\ (s\ (Suc\ i))$
assumes *fdths*: $\exists_{\infty} i. is-desc-fthread\ \vartheta\ p\ (s\ i)\ (s\ (Suc\ i))$
shows *is-desc-thread* $\vartheta\ p$
 $\langle proof \rangle$

lemma *desc-ex-choice*:

assumes *A*: $((\exists n. \forall i \geq n. \exists x. P\ x\ i) \wedge (\exists_{\infty} i. \exists x. Q\ x\ i))$
and *imp*: $\bigwedge x\ i. Q\ x\ i \implies P\ x\ i$
shows $\exists xs. ((\exists n. \forall i \geq n. P\ (xs\ i)\ i) \wedge (\exists_{\infty} i. Q\ (xs\ i)\ i))$
(is $\exists xs. ?Ps\ xs \wedge ?Qs\ xs)$
 $\langle proof \rangle$

lemma *dthreads-join*:

assumes $[simp]$: *increasing s*
assumes *dthread*: *is-desc-thread* $\vartheta\ (contract\ s\ p)$
shows $\exists \vartheta s. desc\ (\lambda i. is-fthread\ (\vartheta s\ i)\ p\ (s\ i)\ (s\ (Suc\ i)))$
 $\wedge \vartheta s\ i\ (s\ i) = \vartheta\ i$

$$\begin{aligned}
& \wedge \vartheta s \ i \ (s \ (Suc \ i)) = \vartheta \ (Suc \ i) \\
& (\lambda i. \ is_desc_fthread \ (\vartheta s \ i) \ p \ (s \ i) \ (s \ (Suc \ i))) \\
& \wedge \vartheta s \ i \ (s \ i) = \vartheta \ i \\
& \wedge \vartheta s \ i \ (s \ (Suc \ i)) = \vartheta \ (Suc \ i) \\
\langle proof \rangle
\end{aligned}$$

lemma *INF-drop-prefix*:
 $(\exists_{\infty} i :: nat. \ i > n \wedge P \ i) = (\exists_{\infty} i. \ P \ i)$
 $\langle proof \rangle$

lemma *contract-keeps-threads*:
assumes *inc[simp]*: *increasing s*
shows $(\exists \vartheta. \ is_desc_thread \ \vartheta \ p)$
 $\longleftrightarrow (\exists \vartheta. \ is_desc_thread \ \vartheta \ (contract \ s \ p))$
(is $?A \longleftrightarrow ?B$
 $\langle proof \rangle$

lemma *repeated-edge*:
assumes $\bigwedge i. \ i > n \implies dsc \ (snd \ (p \ i)) \ k \ k$
shows *is-desc-thread* $(\lambda i. \ k) \ p$
 $\langle proof \rangle$

lemma *fin-from-inf*:
assumes *is-thread* $n \ \vartheta \ p$
assumes $n < i$
assumes $i < j$
shows *is-fthread* $\vartheta \ p \ i \ j$
 $\langle proof \rangle$

5.4 Ramsey's Theorem

definition
 $set2pair \ S = (THE \ (x,y). \ x < y \wedge S = \{x,y\})$

lemma *set2pair-conv*:
fixes $x \ y :: nat$
assumes $x < y$
shows $set2pair \ \{x, y\} = (x, y)$
 $\langle proof \rangle$

definition
 $set2list = inv \ set$

lemma *finite-set2list*:


```

assumes finite S
shows set (set2list S) = S
⟨proof⟩

corollary RamseyNatpairs:
  fixes S :: 'a set
  and f :: nat × nat ⇒ 'a

  assumes finite S
  and inS: ∧x y. x < y ⇒ f (x, y) ∈ S

  obtains T :: nat set and s :: 'a
  where infinite T
  and s ∈ S
  and ∧x y. [x ∈ T; y ∈ T; x < y] ⇒ f (x, y) = s
⟨proof⟩

5.5 Main Result

theorem LJA-Theorem4:
  assumes finite-acg A
  shows SCT A ⟷ SCT' A
⟨proof⟩

end

```

6 Applying SCT to function definitions

```

theory Interpretation
imports Main Misc-Tools Criterion
begin

definition
  idseq R s x = (s 0 = x ∧ (∀ i. R (s (Suc i)) (s i)))

lemma not-acc-smaller:
  assumes notacc: ¬ accp R x
  shows ∃ y. R y x ∧ ¬ accp R y
⟨proof⟩

lemma non-acc-has-idseq:
  assumes ¬ accp R x
  shows ∃ s. idseq R s x
⟨proof⟩

```

```

types ('a, 'q) cdesc =
  ('q  $\Rightarrow$  bool)  $\times$  ('q  $\Rightarrow$  'a)  $\times$  ('q  $\Rightarrow$  'a)

fun in-cdesc :: ('a, 'q) cdesc  $\Rightarrow$  'a  $\Rightarrow$  'a  $\Rightarrow$  bool
where
  in-cdesc ( $\Gamma$ , r, l) x y = ( $\exists$  q. x = r q  $\wedge$  y = l q  $\wedge$   $\Gamma$  q)

primrec mk-rel :: ('a, 'q) cdesc list  $\Rightarrow$  'a  $\Rightarrow$  'a  $\Rightarrow$  bool
where
  mk-rel [] x y = False
| mk-rel (c#cs) x y =
  (in-cdesc c x y  $\vee$  mk-rel cs x y)

lemma some-rd:
  assumes mk-rel rds x y
  shows  $\exists$  rd  $\in$  set rds. in-cdesc rd x y
  <proof>

lemma ex-cs:
  assumes idseq: idseq (mk-rel rds) s x
  shows  $\exists$  cs.  $\forall$  i. cs i  $\in$  set rds  $\wedge$  in-cdesc (cs i) (s (Suc i)) (s i)
  <proof>

types 'a measures = nat  $\Rightarrow$  'a  $\Rightarrow$  nat

fun stepP :: ('a, 'q) cdesc  $\Rightarrow$  ('a, 'q) cdesc  $\Rightarrow$ 
  ('a  $\Rightarrow$  nat)  $\Rightarrow$  ('a  $\Rightarrow$  nat)  $\Rightarrow$  (nat  $\Rightarrow$  nat  $\Rightarrow$  bool)  $\Rightarrow$  bool
where
  stepP ( $\Gamma 1, r1, l1$ ) ( $\Gamma 2, r2, l2$ ) m1 m2 R
  = ( $\forall$  q1 q2.  $\Gamma 1$  q1  $\wedge$   $\Gamma 2$  q2  $\wedge$  r1 q1 = l2 q2
   $\longrightarrow$  R (m2 (l2 q2)) ((m1 (l1 q1)))))

definition
  decr :: ('a, 'q) cdesc  $\Rightarrow$  ('a, 'q) cdesc  $\Rightarrow$ 
  ('a  $\Rightarrow$  nat)  $\Rightarrow$  ('a  $\Rightarrow$  nat)  $\Rightarrow$  bool
where
  decr c1 c2 m1 m2 = stepP c1 c2 m1 m2 (op <)

definition
  decreq :: ('a, 'q) cdesc  $\Rightarrow$  ('a, 'q) cdesc  $\Rightarrow$ 
  ('a  $\Rightarrow$  nat)  $\Rightarrow$  ('a  $\Rightarrow$  nat)  $\Rightarrow$  bool

```

where

$decreq\ c1\ c2\ m1\ m2 = stepP\ c1\ c2\ m1\ m2\ (op\ \leq)$

definition

$no\text{-}step :: ('a, 'q)\ cdesc \Rightarrow ('a, 'q)\ cdesc \Rightarrow bool$

where

$no\text{-}step\ c1\ c2 = stepP\ c1\ c2\ (\lambda x. 0)\ (\lambda x. 0)\ (\lambda x\ y. False)$

lemma *decr-in-cdesc*:

assumes *in-cdesc* $RD1\ y\ x$

assumes *in-cdesc* $RD2\ z\ y$

assumes *decr* $RD1\ RD2\ m1\ m2$

shows $m2\ y < m1\ x$

$\langle proof \rangle$

lemma *decreq-in-cdesc*:

assumes *in-cdesc* $RD1\ y\ x$

assumes *in-cdesc* $RD2\ z\ y$

assumes *decreq* $RD1\ RD2\ m1\ m2$

shows $m2\ y \leq m1\ x$

$\langle proof \rangle$

lemma *no-inf-desc-nat-sequence*:

fixes $s :: nat \Rightarrow nat$

assumes *leq*: $\bigwedge i. n \leq i \implies s\ (Suc\ i) \leq s\ i$

assumes *less*: $\exists_{\infty} i. s\ (Suc\ i) < s\ i$

shows *False*

$\langle proof \rangle$

definition

$approx :: nat\ scg \Rightarrow ('a, 'q)\ cdesc \Rightarrow ('a, 'q)\ cdesc$

$\Rightarrow 'a\ measures \Rightarrow 'a\ measures \Rightarrow bool$

where

$approx\ G\ C\ C'\ M\ M'$

$= (\forall i\ j. (dsc\ G\ i\ j \longrightarrow decr\ C\ C'\ (M\ i)\ (M'\ j)))$

$\wedge (eqp\ G\ i\ j \longrightarrow decreq\ C\ C'\ (M\ i)\ (M'\ j)))$

lemma *approx-empty*:

$approx\ (Graph\ \{\})\ c1\ c2\ ms1\ ms2$

$\langle proof \rangle$

lemma *approx-less*:

assumes *stepP* *c1 c2 (ms1 i) (ms2 j) (op <)*
assumes *approx (Graph Es) c1 c2 ms1 ms2*
shows *approx (Graph (insert (i, ↓, j) Es)) c1 c2 ms1 ms2*
 $\langle proof \rangle$

lemma *approx-leq*:

assumes *stepP c1 c2 (ms1 i) (ms2 j) (op ≤)*
assumes *approx (Graph Es) c1 c2 ms1 ms2*
shows *approx (Graph (insert (i, ↓↓, j) Es)) c1 c2 ms1 ms2*
 $\langle proof \rangle$

lemma *approx (Graph {(1, ↓, 2), (2, ↓↓, 3)}) c1 c2 ms1 ms2*
 $\langle proof \rangle$

lemma *no-stepI*:

stepP c1 c2 m1 m2 (λx y. False)
 \implies *no-step c1 c2*
 $\langle proof \rangle$

definition

sound-int :: nat acg \Rightarrow ('a, 'q) cdesc list
 \Rightarrow 'a measures list \Rightarrow bool

where

sound-int \mathcal{A} RDs M =
($\forall n < \text{length RDs}. \forall m < \text{length RDs}.$
no-step (RDs ! n) (RDs ! m) \vee
($\exists G. (\mathcal{A} \vdash n \rightsquigarrow^G m) \wedge \text{approx } G (RDs ! n) (RDs ! m) (M ! n) (M ! m)$))

lemma *length-simps: length [] = 0 length (x#xs) = Suc (length xs)*
 $\langle proof \rangle$

lemma *all-less-zero: $\forall n < (0 :: nat). P n$*
 $\langle proof \rangle$

lemma *all-less-Suc*:

assumes *Pk: P k*
assumes *Pn: $\forall n < k. P n$*
shows *$\forall n < \text{Suc } k. P n$*
 $\langle proof \rangle$

```

lemma step-witness:
  assumes in-cdesc RD1 y x
  assumes in-cdesc RD2 z y
  shows  $\neg$  no-step RD1 RD2
   $\langle$ proof $\rangle$ 

```

```

theorem SCT-on-relations:
  assumes R: R = mk-rel RDs
  assumes sound: sound-int A RDs M
  assumes SCT A
  shows  $\forall x.$  accp R x
   $\langle$ proof $\rangle$ 

```

end

7 Implementation of the SCT criterion

```

theory Implementation
imports Correctness
begin

```

```

fun edges-match :: ('n  $\times$  'e  $\times$  'n)  $\times$  ('n  $\times$  'e  $\times$  'n)  $\Rightarrow$  bool
where
  edges-match ((n, e, m), (n', e', m')) = (m = n')

```

```

fun connect-edges ::
  ('n  $\times$  ('e::times)  $\times$  'n)  $\times$  ('n  $\times$  'e  $\times$  'n)
   $\Rightarrow$  ('n  $\times$  'e  $\times$  'n)
where
  connect-edges ((n, e, m), (n', e', m')) = (n, e * e', m')

```

```

lemma grcomp-code [code]:
  grcomp (Graph G) (Graph H) = Graph (connect-edges ' { x  $\in$  G  $\times$  H. edges-match
x })
   $\langle$ proof $\rangle$ 

```

```

lemma mk-tcl-finite-terminates:
  fixes A :: 'a acg
  assumes fA: finite-acg A
  shows mk-tcl-dom (A, A)
   $\langle$ proof $\rangle$ 

```

```

lemma mk-tcl-finite-tcl:
  fixes A :: 'a acg

```

assumes fA : *finite-acg* A
shows $mk\text{-}tcl\ A\ A = tcl\ A$
 $\langle proof \rangle$

definition $test\text{-}SCT :: nat\ acg \Rightarrow bool$

where

$test\text{-}SCT\ \mathcal{A} =$
 $(let\ \mathcal{T} = mk\text{-}tcl\ \mathcal{A}\ \mathcal{A}$
 $in\ (\forall (n,G,m) \in dest\text{-}graph\ \mathcal{T}.$
 $n \neq m \vee G * G \neq G \vee$
 $(\exists (p::nat,e,q) \in dest\text{-}graph\ G. p = q \wedge e = LESS)))$

lemma $SCT'\text{-}exec$:

assumes fin : *finite-acg* A
shows $SCT'\ A = test\text{-}SCT\ A$
 $\langle proof \rangle$

code-module SML

Implementation Graphs

lemma $[code\ func]$:

$(G::('a::eq, 'b::eq)\ graph) \leq H \longleftrightarrow dest\text{-}graph\ G \subseteq dest\text{-}graph\ H$
 $(G::('a::eq, 'b::eq)\ graph) < H \longleftrightarrow dest\text{-}graph\ G \subset dest\text{-}graph\ H$
 $\langle proof \rangle$

lemma $[code\ func]$:

$(G::('a::eq, 'b::eq)\ graph) + H = Graph\ (dest\text{-}graph\ G \cup dest\text{-}graph\ H)$
 $\langle proof \rangle$

lemma $[code\ func]$:

$(G::('a::eq, 'b::\{eq, times\})\ graph) * H = grcomp\ G\ H$
 $\langle proof \rangle$

lemma $SCT'\text{-}empty$: $SCT'\ (Graph\ \{\})$

$\langle proof \rangle$

7.1 Witness checking

definition $test\text{-}SCT\text{-}witness :: nat\ acg \Rightarrow nat\ acg \Rightarrow bool$

where

$test\text{-}SCT\text{-}witness\ A\ T =$
 $(A \leq T \wedge A * T \leq T \wedge$
 $(\forall (n,G,m) \in dest\text{-}graph\ T.$
 $n \neq m \vee G * G \neq G \vee$
 $(\exists (p::nat,e,q) \in dest\text{-}graph\ G. p = q \wedge e = LESS)))$

lemma *no-bad-graphs-ucl*:
assumes $A \leq B$
assumes *no-bad-graphs* B
shows *no-bad-graphs* A
 $\langle proof \rangle$

lemma *SCT'-witness*:
assumes a : *test-SCT-witness* A T
shows *SCT'* A
 $\langle proof \rangle$

code-module *SML*
Graphs SCT
Kleene-Algebras SCT
Implementation SCT

end

8 Size-Change Termination

theory *Size-Change-Termination*
imports *Correctness Interpretation Implementation*
uses *sct.ML*
begin

8.1 Simplifier setup

This is needed to run the SCT algorithm in the simplifier:

lemma *setbcomp-simps*:
 $\{x \in \{\}. P\ x\} = \{\}$
 $\{x \in \text{insert } y\ ys. P\ x\} = (\text{if } P\ y\ \text{then } \text{insert } y\ \{x \in ys. P\ x\}\ \text{else } \{x \in ys. P\ x\})$
 $\langle proof \rangle$

lemma *setbcomp-cong*:
 $A = B \implies (\bigwedge x. P\ x = Q\ x) \implies \{x \in A. P\ x\} = \{x \in B. Q\ x\}$
 $\langle proof \rangle$

lemma *cartprod-simps*:
 $\{\} \times A = \{\}$
 $\text{insert } a\ A \times B = \text{Pair } a\ 'B \cup (A \times B)$
 $\langle proof \rangle$

lemma *image-simps*:

$fu \text{ ' } \{\} = \{\}$
 $fu \text{ ' } insert\ a\ A = insert\ (fu\ a)\ (fu \text{ ' } A)$
 $\langle proof \rangle$

lemmas *union-simps* =

Un-empty-left Un-empty-right Un-insert-left

lemma *subset-simps*:

$\{\} \subseteq B$
 $insert\ a\ A \subseteq B \equiv a \in B \wedge A \subseteq B$
 $\langle proof \rangle$

lemma *element-simps*:

$x \in \{\} \equiv False$
 $x \in insert\ a\ A \equiv x = a \vee x \in A$
 $\langle proof \rangle$

lemma *set-eq-simp*:

$A = B \longleftrightarrow A \subseteq B \wedge B \subseteq A \langle proof \rangle$

lemma *ball-simps*:

$\forall x \in \{\}. P\ x \equiv True$
 $(\forall x \in insert\ a\ A. P\ x) \equiv P\ a \wedge (\forall x \in A. P\ x)$
 $\langle proof \rangle$

lemma *bex-simps*:

$\exists x \in \{\}. P\ x \equiv False$
 $(\exists x \in insert\ a\ A. P\ x) \equiv P\ a \vee (\exists x \in A. P\ x)$
 $\langle proof \rangle$

lemmas *set-simps* =

setbcomp-simps
cartprod-simps image-simps union-simps subset-simps
element-simps set-eq-simp
ball-simps bex-simps

lemma *sedge-simps*:

$\downarrow * x = \downarrow$
 $\Downarrow * x = x$
 $\langle proof \rangle$

lemmas *sctTest-simps* =

simp-thms
if-True
if-False
nat.inject
nat.distinct


```

Pair-eq

grcomp-code
edges-match.simps
connect-edges.simps

sedge-simps
sedge.distinct
set-simps

graph-mult-def
graph-leq-def
dest-graph.simps
graph-plus-def
graph.inject
graph-zero-def

test-SCT-def
mk-tcl-code

Let-def
split-conv

lemmas sctTest-congs =
  if-weak-cong let-weak-cong setbcomp-cong

lemma SCT-Main:
  finite-acg A  $\implies$  test-SCT A  $\implies$  SCT A
  <proof>

end

```

9 Examples for Size-Change Termination

```

theory Examples
imports Size-Change-Termination
begin

function f :: nat  $\Rightarrow$  nat  $\Rightarrow$  nat
where
  f n 0 = n
| f 0 (Suc m) = f (Suc m) m
| f (Suc n) (Suc m) = f m n
  <proof>

termination

```

```

    <proof>

function  $p :: \text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat}$ 
where
     $p\ m\ n\ r = (\text{if } r > 0 \text{ then } p\ m\ (r - 1)\ n \text{ else}$ 
                 $\text{if } n > 0 \text{ then } p\ r\ (n - 1)\ m$ 
                 $\text{else } m)$ 
    <proof>

termination
    <proof>

function  $foo :: \text{bool} \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat}$ 
where
     $foo\ True\ (Suc\ n)\ m = foo\ True\ n\ (Suc\ m)$ 
    |  $foo\ True\ 0\ m = foo\ False\ 0\ m$ 
    |  $foo\ False\ n\ (Suc\ m) = foo\ False\ (Suc\ n)\ m$ 
    |  $foo\ False\ n\ 0 = n$ 
    <proof>

termination
    <proof>

function  $(sequential)$ 
     $bar :: \text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat}$ 
where
     $bar\ 0\ (Suc\ n)\ m = bar\ m\ m\ m$ 
    |  $bar\ k\ n\ m = 0$ 
    <proof>

termination
    <proof>

end

```