

# Isabelle/HOL — Higher-Order Logic

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## Contents

<b>1</b>	<b>HOL: The basis of Higher-Order Logic</b>	<b>15</b>
1.1	Primitive logic	15
1.1.1	Core syntax	15
1.1.2	Additional concrete syntax	16
1.1.3	Axioms and basic definitions	18
1.1.4	Generic classes and algebraic operations	19
1.2	Fundamental rules	21
1.2.1	Equality	21
1.2.2	Congruence rules for application	21
1.2.3	Equality of booleans – iff	22
1.2.4	True	22
1.2.5	Universal quantifier	23
1.2.6	False	23
1.2.7	Negation	23
1.2.8	Implication	24
1.2.9	Existential quantifier	25
1.2.10	Conjunction	25
1.2.11	Disjunction	26
1.2.12	Classical logic	26
1.2.13	Unique existence	27
1.2.14	THE: definite description operator	27
1.2.15	Classical intro rules for disjunction and existential quantifiers	28
1.2.16	Intuitionistic Reasoning	30
1.2.17	Atomizing meta-level connectives	31
1.2.18	Atomizing elimination rules	32
1.3	Package setup	32
1.3.1	Classical Reasoner setup	32
1.3.2	Simplifier	35
1.3.3	Generic cases and induction	44
1.4	Other simple lemmas and lemma duplicates	45

1.5	Basic ML bindings . . . . .	46
1.6	Code generator basic setup – see further <i>Code-Setup.thy</i> . . .	47
1.7	Legacy tactics and ML bindings . . . . .	49
<b>2</b>	<b>Code-Setup: Setup of code generators and derived tools</b>	<b>50</b>
2.1	SML code generator setup . . . . .	50
2.2	Generic code generator setup . . . . .	51
2.3	Evaluation oracle . . . . .	52
2.4	Normalization by evaluation . . . . .	53
<b>3</b>	<b>Orderings: Abstract orderings</b>	<b>53</b>
3.1	Partial orders . . . . .	53
3.2	Linear (total) orders . . . . .	55
3.3	Reasoning tools setup . . . . .	57
3.4	Name duplicates . . . . .	63
3.5	Bounded quantifiers . . . . .	64
3.6	Transitivity reasoning . . . . .	66
3.7	Order on bool . . . . .	70
3.8	Order on functions . . . . .	71
3.9	Monotonicity, least value operator and min/max . . . . .	72
<b>4</b>	<b>Set: Set theory for higher-order logic</b>	<b>74</b>
4.1	Basic syntax . . . . .	74
4.2	Additional concrete syntax . . . . .	75
4.2.1	Bounded quantifiers . . . . .	77
4.3	Rules and definitions . . . . .	80
4.4	Lemmas and proof tool setup . . . . .	81
4.4.1	Relating predicates and sets . . . . .	81
4.4.2	Bounded quantifiers . . . . .	82
4.4.3	Congruence rules . . . . .	84
4.4.4	Subsets . . . . .	84
4.4.5	Equality . . . . .	85
4.4.6	The universal set – UNIV . . . . .	86
4.4.7	The empty set . . . . .	86
4.4.8	The Powerset operator – Pow . . . . .	87
4.4.9	Set complement . . . . .	87
4.4.10	Binary union – Un . . . . .	88
4.4.11	Binary intersection – Int . . . . .	88
4.4.12	Set difference . . . . .	88
4.4.13	Augmenting a set – insert . . . . .	89
4.4.14	Singletons, using insert . . . . .	90
4.4.15	Unions of families . . . . .	90
4.4.16	Intersections of families . . . . .	91
4.4.17	Union . . . . .	91

4.4.18	Inter . . . . .	92
4.4.19	Set reasoning tools . . . . .	93
4.4.20	The “proper subset” relation . . . . .	94
4.5	Further set-theory lemmas . . . . .	95
4.5.1	Derived rules involving subsets. . . . .	95
4.5.2	Equalities involving union, intersection, inclusion, etc. . . . .	96
4.5.3	Monotonicity of various operations . . . . .	112
4.6	Inverse image of a function . . . . .	114
4.6.1	Basic rules . . . . .	114
4.6.2	Equations . . . . .	114
4.7	Getting the Contents of a Singleton Set . . . . .	116
4.8	Transitivity rules for calculational reasoning . . . . .	116
4.9	Dense orders . . . . .	116
4.10	Least value operator . . . . .	116
4.11	Basic ML bindings . . . . .	117
<b>5</b>	<b>Fun: Notions about functions</b>	<b>118</b>
5.1	The Identity Function $id$ . . . . .	118
5.2	The Composition Operator $f \circ g$ . . . . .	119
5.3	The Forward Composition Operator $fcomp$ . . . . .	119
5.4	Injectivity and Surjectivity . . . . .	120
5.5	Function Updating . . . . .	125
5.6	<i>override-on</i> . . . . .	126
5.7	<i>swap</i> . . . . .	126
5.8	Proof tool setup . . . . .	127
5.9	Code generator setup . . . . .	128
<b>6</b>	<b>Lattices: Abstract lattices</b>	<b>129</b>
6.1	Lattices . . . . .	129
6.1.1	Intro and elim rules . . . . .	129
6.1.2	Equational laws . . . . .	131
6.2	Distributive lattices . . . . .	133
6.3	Uniqueness of inf and sup . . . . .	134
6.4	$min/max$ on linear orders as special case of $op \sqcap / op \sqcup$ . . . . .	134
6.5	Complete lattices . . . . .	135
6.6	Bool as lattice . . . . .	138
6.7	Fun as lattice . . . . .	139
6.8	Set as lattice . . . . .	140
<b>7</b>	<b>Typedef: HOL type definitions</b>	<b>141</b>

<b>8</b>	<b>Sum-Type: The Disjoint Sum of Two Types</b>	<b>144</b>
8.1	Freeness Properties for <i>Inl</i> and <i>Inr</i> . . . . .	146
8.2	Projections . . . . .	147
8.3	The Disjoint Sum of Sets . . . . .	147
8.4	The <i>Part</i> Primitive . . . . .	148
<b>9</b>	<b>Inductive: Knaster-Tarski Fixpoint Theorem and inductive definitions</b>	<b>149</b>
9.1	Least and greatest fixed points . . . . .	150
9.2	Proof of Knaster-Tarski Theorem using <i>lfp</i> . . . . .	150
9.3	General induction rules for least fixed points . . . . .	150
9.4	Proof of Knaster-Tarski Theorem using <i>gfp</i> . . . . .	152
9.5	Coinduction rules for greatest fixed points . . . . .	152
9.6	Even Stronger Coinduction Rule, by Martin Coen . . . . .	153
9.7	Inductive predicates and sets . . . . .	154
9.8	Inductive datatypes and primitive recursion . . . . .	156
<b>10</b>	<b>Product-Type: Cartesian products</b>	<b>156</b>
10.1	<i>bool</i> is a datatype . . . . .	157
10.2	Unit . . . . .	157
10.3	Pairs . . . . .	159
10.3.1	Product type, basic operations and concrete syntax . . . . .	159
10.3.2	Basic rules and proof tools . . . . .	162
10.3.3	<i>split</i> and <i>curry</i> . . . . .	163
10.4	Further cases/induct rules for tuples . . . . .	169
10.4.1	Derived operations . . . . .	170
10.4.2	Code generator setup . . . . .	174
10.5	Legacy bindings . . . . .	177
10.6	Further inductive packages . . . . .	178
<b>11</b>	<b>Record: Extensible records with structural subtyping</b>	<b>179</b>
11.1	Concrete record syntax . . . . .	179
<b>12</b>	<b>OrderedGroup: Ordered Groups</b>	<b>180</b>
12.1	Semigroups and Monoids . . . . .	180
12.2	Groups . . . . .	183
12.3	(Partially) Ordered Groups . . . . .	186
12.4	Support for reasoning about signs . . . . .	188
12.5	Lattice Ordered (Abelian) Groups . . . . .	198
12.6	Positive Part, Negative Part, Absolute Value . . . . .	201
12.7	Tools setup . . . . .	207

<b>13 Ring-and-Field: (Ordered) Rings and Fields</b>	<b>209</b>
13.1 Calculations with fractions	230
13.1.1 Special Cancellation Simprules for Division	232
13.2 Division and Unary Minus	232
13.3 Ordered Fields	235
13.4 Anti-Monotonicity of <i>inverse</i>	236
13.5 Inverses and the Number One	238
13.6 Simplification of Inequalities Involving Literal Divisors	239
13.7 Field simplification	241
13.8 Division and Signs	242
13.9 Cancellation Laws for Division	243
13.10 Division and the Number One	243
13.11 Ordering Rules for Division	244
13.12 Conditional Simplification Rules: No Case Splits	245
13.13 Reasoning about inequalities with division	246
13.14 Ordered Fields are Dense	247
13.15 Absolute Value	248
13.16 Bounds of products via negative and positive Part	252
<b>14 Nat: Natural numbers</b>	<b>253</b>
14.1 Type <i>ind</i>	254
14.2 Type <i>nat</i>	254
14.3 Arithmetic operators	256
14.3.1 Addition	257
14.3.2 Difference	258
14.3.3 Multiplication	259
14.4 Orders on <i>nat</i>	260
14.4.1 Operation definition	260
14.4.2 Introduction properties	262
14.4.3 Elimination properties	262
14.4.4 Inductive (?) properties	263
14.4.5 <i>min</i> and <i>max</i>	266
14.4.6 Monotonicity of Addition	267
14.4.7 Additional theorems about <i>op</i> $\leq$	268
14.4.8 More results about difference	272
14.4.9 Monotonicity of Multiplication	274
14.5 Embedding of the Naturals into any <i>semiring-1: of-nat</i>	275
14.6 The Set of Natural Numbers	278
14.7 Further Arithmetic Facts Concerning the Natural Numbers	279
14.8 size of a datatype value	282
<b>15 Power: Exponentiation</b>	<b>282</b>
15.1 Powers for Arbitrary Monoids	282
15.2 Exponentiation for the Natural Numbers	288

<b>16 Divides: The division operators <code>div</code>, <code>mod</code> and the divides relation <code>dvd</code></b>	<b>290</b>
16.1 Syntactic division operations . . . . .	290
16.2 Abstract divisibility in commutative semirings. . . . .	291
16.3 Division on <i>nat</i> . . . . .	294
16.3.1 Quotient . . . . .	298
16.3.2 Remainder . . . . .	299
16.3.3 Quotient and Remainder . . . . .	300
16.3.4 Cancellation of Common Factors in Division . . . . .	302
16.3.5 Further Facts about Quotient and Remainder . . . . .	302
16.3.6 The Divides Relation . . . . .	304
16.3.7 An “induction” law for modulus arithmetic. . . . .	311
<b>17 Relation: Relations</b>	<b>312</b>
17.1 Definitions . . . . .	313
17.2 The identity relation . . . . .	314
17.3 Diagonal: identity over a set . . . . .	314
17.4 Composition of two relations . . . . .	315
17.5 Reflexivity . . . . .	316
17.6 Antisymmetry . . . . .	316
17.7 Symmetry . . . . .	317
17.8 Transitivity . . . . .	317
17.9 Converse . . . . .	318
17.10 Domain . . . . .	319
17.11 Range . . . . .	320
17.12 Field . . . . .	321
17.13 Image of a set under a relation . . . . .	321
17.14 Single valued relations . . . . .	322
17.15 Graphs given by <i>Collect</i> . . . . .	323
17.16 Inverse image . . . . .	323
17.17 Version of <i>lfp-induct</i> for binary relations . . . . .	323
<b>18 Predicate: Predicates</b>	<b>324</b>
18.1 Equality and Subsets . . . . .	324
18.2 Top and bottom elements . . . . .	324
18.3 The empty set . . . . .	324
18.4 Binary union . . . . .	324
18.5 Binary intersection . . . . .	325
18.6 Unions of families . . . . .	326
18.7 Intersections of families . . . . .	327
18.8 Composition of two relations . . . . .	327
18.9 Converse . . . . .	328
18.10 Domain . . . . .	329
18.11 Range . . . . .	329

18.12	Inverse image . . . . .	329
18.13	The Powerset operator . . . . .	329
18.14	Properties of relations - predicate versions . . . . .	330
<b>19</b>	<b>Transitive-Closure: Reflexive and Transitive closure of a relation</b>	<b>330</b>
19.1	Reflexive closure . . . . .	331
19.2	Reflexive-transitive closure . . . . .	331
19.3	Transitive closure . . . . .	336
19.4	Setup of transitivity reasoner . . . . .	342
<b>20</b>	<b>Finite-Set: Finite sets</b>	<b>344</b>
20.1	Definition and basic properties . . . . .	344
20.1.1	Finiteness and set theoretic constructions . . . . .	346
20.2	Class <i>finite</i> . . . . .	352
20.3	A fold functional for finite sets . . . . .	353
20.3.1	From <i>foldSet</i> to <i>fold</i> . . . . .	354
20.3.2	Lemmas about <i>fold</i> . . . . .	358
20.4	Generalized summation over a set . . . . .	360
20.4.1	Properties in more restricted classes of structures . . . . .	363
20.5	Generalized product over a set . . . . .	369
20.5.1	Properties in more restricted classes of structures . . . . .	372
20.6	Finite cardinality . . . . .	374
20.6.1	Cardinality of unions . . . . .	377
20.6.2	Cardinality of image . . . . .	378
20.6.3	Cardinality of products . . . . .	379
20.6.4	Cardinality of the Powerset . . . . .	379
20.6.5	Relating injectivity and surjectivity . . . . .	380
20.7	A fold functional for non-empty sets . . . . .	380
20.7.1	Determinacy for <i>fold1Set</i> . . . . .	384
20.7.2	Lemmas about <i>fold1</i> . . . . .	385
20.7.3	Fold1 in lattices with <i>inf</i> and <i>sup</i> . . . . .	385
20.7.4	Fold1 in linear orders with <i>min</i> and <i>max</i> . . . . .	390
<b>21</b>	<b>Equiv-Relations: Equivalence Relations in Higher-Order Set Theory</b>	<b>397</b>
21.1	Equivalence relations . . . . .	398
21.2	Equivalence classes . . . . .	398
21.3	Quotients . . . . .	399
21.4	Defining unary operations upon equivalence classes . . . . .	400
21.5	Defining binary operations upon equivalence classes . . . . .	402
21.6	Quotients and finiteness . . . . .	403

<b>22 Wellfounded: Well-founded Recursion</b>	<b>404</b>
22.1 Basic Definitions . . . . .	404
22.2 Basic Results . . . . .	406
22.3 Well-Foundedness Results for Unions . . . . .	409
22.3.1 acyclic . . . . .	411
22.4 Well-Founded Recursion . . . . .	412
22.5 Code generator setup . . . . .	413
22.6 LEAST and wellorderings . . . . .	413
22.7 <i>nat</i> is well-founded . . . . .	414
22.8 Accessible Part . . . . .	416
22.9 Tools for building wellfounded relations . . . . .	419
22.10 Weakly decreasing sequences (w.r.t. some well-founded order)	
stabilize. . . . .	421
22.11 size of a datatype value . . . . .	421
<b>23 Int: The Integers as Equivalence Classes over Pairs of Nat- ural Numbers</b>	<b>422</b>
23.1 The equivalence relation underlying the integers . . . . .	422
23.2 Construction of the Integers . . . . .	423
23.3 Arithmetic Operations . . . . .	424
23.4 The $\leq$ Ordering . . . . .	425
23.5 Embedding of the Integers into any <i>ring-1: of-int</i> . . . . .	427
23.6 Magnitude of an Integer, as a Natural Number: <i>nat</i> . . . . .	429
23.7 Lemmas about the Function <i>of-nat</i> and Orderings . . . . .	431
23.8 Cases and induction . . . . .	433
23.9 Binary representation . . . . .	433
23.10 The Functions <i>succ</i> , <i>pred</i> and <i>uminus</i> . . . . .	435
23.11 Binary Addition and Multiplication: <i>op +</i> and <i>op *</i> . . . . .	436
23.12 Converting Numerals to Rings: <i>number-of</i> . . . . .	437
23.13 Equality of Binary Numbers . . . . .	439
23.14 Comparisons, for Ordered Rings . . . . .	440
23.15 The Less-Than Relation . . . . .	442
23.16 Simplification of arithmetic operations on integer constants. . . . .	443
23.17 Simplification of arithmetic when nested to the right. . . . .	444
23.18 The Set of Integers . . . . .	444
23.19 <i>setsum</i> and <i>setprod</i> . . . . .	447
23.20 Inequality Reasoning for the Arithmetic Simproc . . . . .	448
23.21 Special Arithmetic Rules for Abstract 0 and 1 . . . . .	448
23.22 Lemmas About Small Numerals . . . . .	450
23.23 More Inequality Reasoning . . . . .	450
23.24 The Functions <i>nat</i> and <i>int</i> . . . . .	451
23.25 Induction principles for <i>int</i> . . . . .	452
23.26 Intermediate value theorems . . . . .	455
23.27 Products and 1, by T. M. Rasmussen . . . . .	455



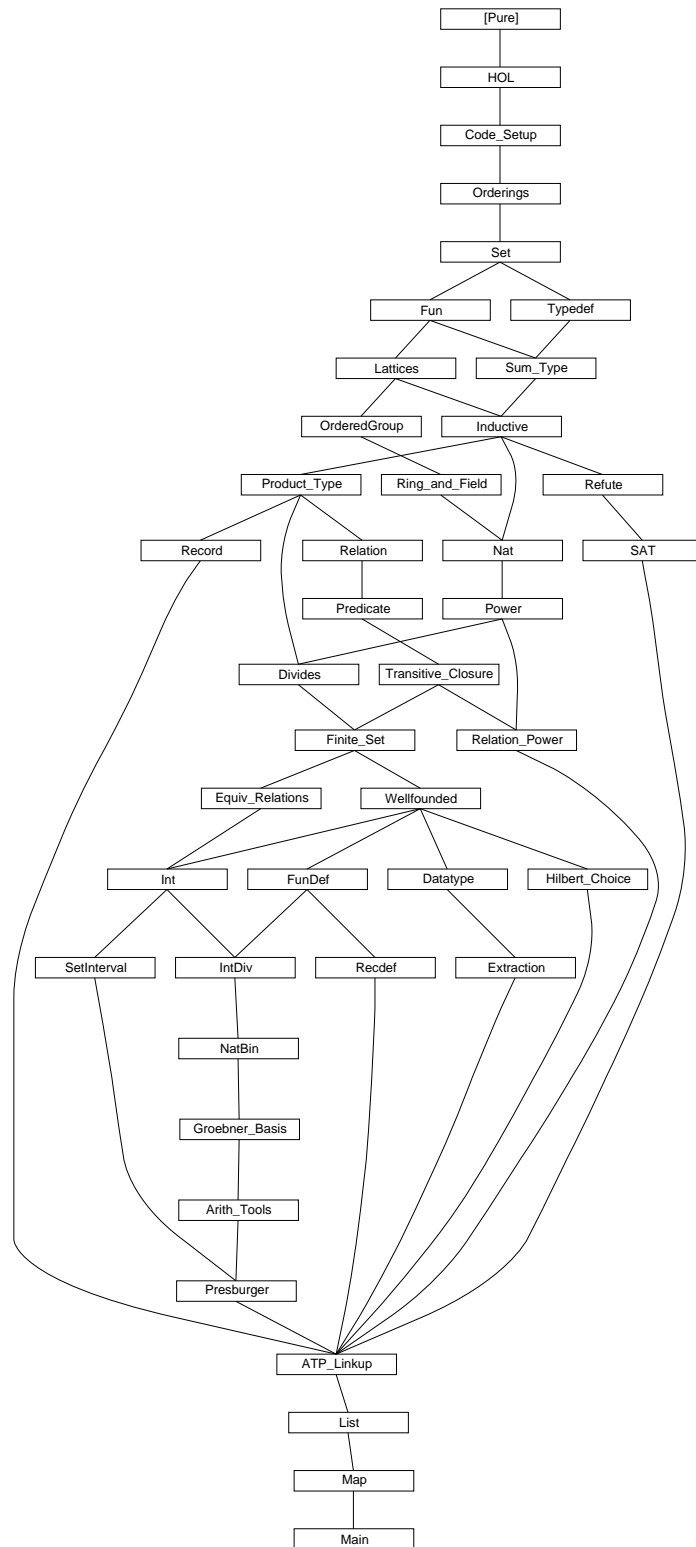
23.28	Integer Powers . . . . .	456
23.29	Configuration of the code generator . . . . .	457
23.30	Legacy theorems . . . . .	461
<b>24</b>	<b>FunDef: General recursive function definitions</b>	<b>462</b>
24.1	Setup for termination proofs . . . . .	465
<b>25</b>	<b>IntDiv: The Division Operators div and mod; the Divides Relation dvd</b>	<b>466</b>
25.1	Uniqueness and Monotonicity of Quotients and Remainders .	468
25.2	Correctness of <i>posDivAlg</i> , the Algorithm for Non-Negative Dividends . . . . .	469
25.3	Correctness of <i>negDivAlg</i> , the Algorithm for Negative Dividends	469
25.4	Existence Shown by Proving the Division Algorithm to be Correct . . . . .	470
25.5	General Properties of div and mod . . . . .	472
25.6	Laws for div and mod with Unary Minus . . . . .	473
25.7	Division of a Number by Itself . . . . .	474
25.8	Computation of Division and Remainder . . . . .	474
25.9	Monotonicity in the First Argument (Dividend) . . . . .	478
25.10	Monotonicity in the Second Argument (Divisor) . . . . .	478
25.11	More Algebraic Laws for div and mod . . . . .	480
25.12	Proving $a \text{ div } (b * c) = a \text{ div } b \text{ div } c$ . . . . .	482
25.13	Cancellation of Common Factors in div . . . . .	483
25.14	Distribution of Factors over mod . . . . .	484
25.15	Splitting Rules for div and mod . . . . .	485
25.16	Speeding up the Division Algorithm with Shifting . . . . .	486
25.17	Computing mod by Shifting (proofs resemble those for div) .	487
25.18	Quotients of Signs . . . . .	488
25.19	The Divides Relation . . . . .	488
<b>26</b>	<b>NatBin: Binary arithmetic for the natural numbers</b>	<b>496</b>
26.1	Function <i>nat</i> : Coercion from Type <i>int</i> to <i>nat</i> . . . . .	497
26.2	Function <i>int</i> : Coercion from Type <i>nat</i> to <i>int</i> . . . . .	498
26.2.1	Successor . . . . .	498
26.2.2	Addition . . . . .	499
26.2.3	Subtraction . . . . .	499
26.2.4	Multiplication . . . . .	499
26.2.5	Quotient . . . . .	499
26.2.6	Remainder . . . . .	500
26.2.7	Divisibility . . . . .	500
26.3	Comparisons . . . . .	501
26.3.1	Equals (=) . . . . .	501
26.3.2	Less-than (<) . . . . .	501

26.4	Powers with Numeric Exponents . . . . .	501
26.4.1	Nat . . . . .	504
26.4.2	Arith . . . . .	504
26.5	Comparisons involving (0::nat) . . . . .	505
26.6	Comparisons involving <i>Suc</i> . . . . .	505
26.7	Max and Min Combined with <i>Suc</i> . . . . .	506
26.8	Literal arithmetic involving powers . . . . .	507
26.9	Literal arithmetic and <i>of-nat</i> . . . . .	510
26.10	Lemmas for the Combination and Cancellation Simprocs . . .	510
26.10.1	For <i>combine-numerals</i> . . . . .	510
26.10.2	For <i>cancel-numerals</i> . . . . .	510
26.10.3	For <i>cancel-numeral-factors</i> . . . . .	511
26.10.4	For <i>cancel-factor</i> . . . . .	512
<b>27</b>	<b>Groebner-Basis: Semiring normalization and Groebner Bases</b>	<b>512</b>
27.1	Semiring normalization . . . . .	512
27.1.1	Declaring the abstract theory . . . . .	513
27.2	Groebner Bases . . . . .	518
<b>28</b>	<b>Arith-Tools: Setup of arithmetic tools</b>	<b>521</b>
28.1	Simprocs for the Naturals . . . . .	521
28.1.1	For simplifying $Suc\ m - K$ and $K - Suc\ m$ . . . . .	521
28.1.2	For <i>nat-case</i> and <i>nat-rec</i> . . . . .	522
28.1.3	Various Other Lemmas . . . . .	523
28.1.4	Special Simplification for Constants . . . . .	524
28.1.5	Optional Simplification Rules Involving Constants . .	527
28.2	Groebner Bases for fields . . . . .	528
<b>29</b>	<b>SetInterval: Set intervals</b>	<b>533</b>
29.1	Various equivalences . . . . .	534
29.2	Logical Equivalences for Set Inclusion and Equality . . . . .	535
29.3	Two-sided intervals . . . . .	536
29.3.1	Emptiness and singletons . . . . .	536
29.4	Intervals of natural numbers . . . . .	537
29.4.1	The Constant <i>lessThan</i> . . . . .	537
29.4.2	The Constant <i>greaterThan</i> . . . . .	537
29.4.3	The Constant <i>atLeast</i> . . . . .	537
29.4.4	The Constant <i>atMost</i> . . . . .	538
29.4.5	The Constant <i>atLeastLessThan</i> . . . . .	538
29.4.6	Intervals of nats with <i>Suc</i> . . . . .	538
29.4.7	Image . . . . .	539
29.4.8	Finiteness . . . . .	540
29.4.9	Cardinality . . . . .	541
29.5	Intervals of integers . . . . .	541

29.5.1	Finiteness . . . . .	542
29.5.2	Cardinality . . . . .	542
29.6	Lemmas useful with the summation operator <code>setsum</code> . . . . .	543
29.6.1	Disjoint Unions . . . . .	543
29.6.2	Disjoint Intersections . . . . .	544
29.6.3	Some Differences . . . . .	545
29.6.4	Some Subset Conditions . . . . .	545
29.7	Summation indexed over intervals . . . . .	545
29.8	Shifting bounds . . . . .	547
29.9	The formula for geometric sums . . . . .	548
29.10	The formula for arithmetic sums . . . . .	548
<b>30</b>	<b>Presburger: Decision Procedure for Presburger Arithmetic</b>	<b>550</b>
30.1	The $-\infty$ and $+\infty$ Properties . . . . .	550
30.2	The A and B sets . . . . .	551
30.3	Cooper's Theorem $-\infty$ and $+\infty$ Version . . . . .	555
30.3.1	First some trivial facts about periodic sets or predicates	555
30.3.2	The $-\infty$ Version . . . . .	555
30.3.3	The $+\infty$ Version . . . . .	557
<b>31</b>	<b>Refute: Refute</b>	<b>561</b>
<b>32</b>	<b>SAT: Reconstructing external resolution proofs for propositional logic</b>	<b>563</b>
<b>33</b>	<b>Recdef: TFL: recursive function definitions</b>	<b>564</b>
<b>34</b>	<b>Datatype: Analogues of the Cartesian Product and Disjoint Sum for Datatypes</b>	<b>566</b>
34.1	Freeness: Distinctness of Constructors . . . . .	569
34.2	Set Constructions . . . . .	572
<b>35</b>	<b>Datatypes</b>	<b>577</b>
35.1	Representing sums . . . . .	577
35.2	The option datatype . . . . .	578
35.2.1	Operations . . . . .	578
35.2.2	Code generator setup . . . . .	579
<b>36</b>	<b>Extraction: Program extraction for HOL</b>	<b>580</b>
36.1	Setup . . . . .	581
36.2	Type of extracted program . . . . .	582
36.3	Realizability . . . . .	583
36.4	Computational content of basic inference rules . . . . .	584
<b>37</b>	<b>Relation-Power: Powers of Relations and Functions</b>	<b>589</b>

<b>38 Hilbert-Choice: Hilbert's Epsilon-Operator and the Axiom of Choice</b>	<b>593</b>
38.1 Hilbert's epsilon	593
38.2 Hilbert's Epsilon-operator	593
38.3 Axiom of Choice, Proved Using the Description Operator	594
38.4 Function Inverse	594
38.5 Inverse of a PI-function (restricted domain)	597
38.6 Other Consequences of Hilbert's Epsilon	598
38.7 Least value operator	599
38.8 Greatest value operator	600
38.9 The Meson proof procedure	602
38.9.1 Negation Normal Form	602
38.9.2 Pulling out the existential quantifiers	602
38.9.3 Generating clauses for the Meson Proof Procedure	602
38.10 Lemmas for Meson, the Model Elimination Procedure	602
38.10.1 Lemmas for Forward Proof	603
38.11 Meson package	605
38.12 Specification package – Hilbertized version	605
<b>39 ATP-Linkup: The Isabelle-ATP Linkup</b>	<b>605</b>
39.1 Setup for Vampire, E prover and SPASS	607
39.2 The Metis prover	607
<b>40 List: The datatype of finite lists</b>	<b>608</b>
40.1 Basic list processing functions	608
40.1.1 List comprehension	612
40.1.2 $[]$ and $op \#$	615
40.1.3 <i>length</i>	615
40.1.4 $@$ – append	618
40.1.5 <i>map</i>	621
40.1.6 <i>rev</i>	623
40.1.7 <i>set</i>	624
40.1.8 <i>filter</i>	627
40.1.9 List partitioning	630
40.1.10 <i>concat</i>	631
40.1.11 <i>nth</i>	631
40.1.12 <i>list-update</i>	633
40.1.13 <i>last</i> and <i>butlast</i>	634
40.1.14 <i>take</i> and <i>drop</i>	636
40.1.15 <i>takeWhile</i> and <i>dropWhile</i>	640
40.1.16 <i>zip</i>	642
40.1.17 <i>list-all2</i>	644
40.1.18 <i>foldl</i> and <i>foldr</i>	647
40.1.19 List summation: <i>listsum</i> and $\sum$	649

40.1.20	<i>upt</i>	650
40.1.21	<i>distinct</i> and <i>remdups</i>	652
40.1.22	<i>remove1</i>	655
40.1.23	<i>replicate</i>	656
40.1.24	<i>rotate1</i> and <i>rotate</i>	658
40.1.25	<i>sublist</i> — a generalization of <i>nth</i> to sets	660
40.1.26	<i>splice</i>	661
40.2	Sorting	662
40.2.1	<i>sorted-list-of-set</i>	663
40.2.2	<i>upto</i> : the generic interval-list	664
40.2.3	<i>lists</i> : the list-forming operator over sets	665
40.2.4	Inductive definition for membership	666
40.2.5	Lists as Cartesian products	666
40.3	Relations on Lists	667
40.3.1	Length Lexicographic Ordering	667
40.3.2	Lexicographic Ordering	669
40.4	Lexicographic combination of measure functions	670
40.4.1	Lifting a Relation on List Elements to the Lists	671
40.5	Miscellany	672
40.5.1	Characters and strings	672
40.6	Size function	673
40.7	Code generator	673
40.7.1	Setup	673
40.7.2	Generation of efficient code	675
<b>41</b>	<b>Map: Maps</b>	<b>679</b>
41.1	<i>empty</i>	681
41.2	<i>map-upd</i>	681
41.3	<i>map-of</i>	681
41.4	<i>option-map</i> related	684
41.5	<i>map-comp</i> related	684
41.6	<i>++</i>	684
41.7	<i>restrict-map</i>	685
41.8	<i>map-upds</i>	686
41.9	<i>dom</i>	688
41.10	<i>ran</i>	689
41.11	<i>map-le</i>	689
<b>42</b>	<b>Main: Main HOL</b>	<b>690</b>



## 1 HOL: The basis of Higher-Order Logic

```

theory HOL
imports Pure
uses
  (hologic.ML)
  ~~ /src/Tools/IsaPlanner/zipper.ML
  ~~ /src/Tools/IsaPlanner/isand.ML
  ~~ /src/Tools/IsaPlanner/rw-tools.ML
  ~~ /src/Tools/IsaPlanner/rw-inst.ML
  ~~ /src/Provers/project-rule.ML
  ~~ /src/Provers/hypsubst.ML
  ~~ /src/Provers/splitter.ML
  ~~ /src/Provers/classical.ML
  ~~ /src/Provers/blast.ML
  ~~ /src/Provers/clsimp.ML
  ~~ /src/Provers/eqsubst.ML
  ~~ /src/Provers/quantifier1.ML
  (simpdata.ML)
  ~~ /src/Tools/random-word.ML
  ~~ /src/Tools/atomize-elim.ML
  ~~ /src/Tools/induct.ML
  ~~ /src/Tools/code/code-name.ML
  ~~ /src/Tools/code/code-funcgr.ML
  ~~ /src/Tools/code/code-thingol.ML
  ~~ /src/Tools/code/code-target.ML
  ~~ /src/Tools/code/code-package.ML
  ~~ /src/Tools/nbe.ML
begin

```

### 1.1 Primitive logic

#### 1.1.1 Core syntax

```

classes type
defaultsort type
setup ≪ ObjectLogic.add-base-sort @{sort type} ≫

arities
  fun :: (type, type) type
  itself :: (type) type

global

typeddecl bool

judgment
  Trueprop    :: bool => prop          ((-) 5)

consts

```

<i>Not</i>	:: <i>bool</i> => <i>bool</i>	(~ - [40] 40)
<i>True</i>	:: <i>bool</i>	
<i>False</i>	:: <i>bool</i>	
<i>arbitrary</i>	:: 'a	
<i>The</i>	:: ('a => <i>bool</i> ) => 'a	
<i>All</i>	:: ('a => <i>bool</i> ) => <i>bool</i>	(binder ALL 10)
<i>Ex</i>	:: ('a => <i>bool</i> ) => <i>bool</i>	(binder EX 10)
<i>Ex1</i>	:: ('a => <i>bool</i> ) => <i>bool</i>	(binder EX! 10)
<i>Let</i>	:: ['a, 'a => 'b] => 'b	
<i>op</i> =	:: ['a, 'a] => <i>bool</i>	(infixl = 50)
<i>op</i> &	:: [ <i>bool</i> , <i>bool</i> ] => <i>bool</i>	(infixr & 35)
<i>op</i>	:: [ <i>bool</i> , <i>bool</i> ] => <i>bool</i>	(infixr   30)
<i>op</i> -->	:: [ <i>bool</i> , <i>bool</i> ] => <i>bool</i>	(infixr --> 25)

**local****consts**

*If* :: [*bool*, 'a, 'a] => 'a ((if (-)/ then (-)/ else (-)) 10)

**1.1.2 Additional concrete syntax****notation (output)**

*op* = (infix = 50)

**abbreviation**

*not-equal* :: ['a, 'a] => *bool* (infixl ~= 50) **where**  
*x* ~= *y* == ~ (*x* = *y*)

**notation (output)**

*not-equal* (infix ~= 50)

**notation (symbols)**

*Not* (¬ - [40] 40) **and**  
*op* & (infixr ∧ 35) **and**  
*op* | (infixr ∨ 30) **and**  
*op* --> (infixr → 25) **and**  
*not-equal* (infix ≠ 50)

**notation (HTML output)**

*Not* (¬ - [40] 40) **and**  
*op* & (infixr ∧ 35) **and**  
*op* | (infixr ∨ 30) **and**  
*not-equal* (infix ≠ 50)

**abbreviation (iff)**

*iff* :: [*bool*, *bool*] => *bool* (infixr <-> 25) **where**  
*A* <-> *B* == *A* = *B*



**notation** (*xsymbols*)  
*iff* (**infixr**  $\longleftrightarrow$  25)

**nonterminals**  
*letbinds letbind*  
*case-syn cases-syn*

**syntax**  
*-The*  $:: [pttrn, bool] \Rightarrow 'a$   $((3THE \text{ -./ } -) [0, 10] 10)$   
*-bind*  $:: [pttrn, 'a] \Rightarrow letbind$   $((2- =/ -) 10)$   
 $:: letbind \Rightarrow letbinds$   $(-)$   
*-binds*  $:: [letbind, letbinds] \Rightarrow letbinds$   $(-;/ -)$   
*-Let*  $:: [letbinds, 'a] \Rightarrow 'a$   $((let (-)/ in (-)) 10)$   
*-case-syntax*  $:: ['a, cases-syn] \Rightarrow 'b$   $((case - of / -) 10)$   
*-case1*  $:: ['a, 'b] \Rightarrow case-syn$   $((2- \Rightarrow / -) 10)$   
 $:: case-syn \Rightarrow cases-syn$   $(-)$   
*-case2*  $:: [case-syn, cases-syn] \Rightarrow cases-syn$   $(-/ | -)$

**translations**  
 $THE\ x.\ P \quad ==\ The\ (\%x.\ P)$   
 $-Let\ (-binds\ b\ bs)\ e \quad ==\ -Let\ b\ (-Let\ bs\ e)$   
 $let\ x = a\ in\ e \quad ==\ Let\ a\ (\%x.\ e)$

**print-translation**  $\ll$   
 (\* To avoid eta-contraction of body: \*)  
 $[(The, fn\ [Abs\ abs] \Rightarrow$   
 $\quad let\ val\ (x,t) = atomic-abs-tr'\ abs$   
 $\quad in\ Syntax.const\ -The\ \$\ x\ \$\ t\ end)]$   
 $\gg$

**syntax** (*xsymbols*)  
*-case1*  $:: ['a, 'b] \Rightarrow case-syn$   $((2- \Rightarrow / -) 10)$

**notation** (*xsymbols*)  
*All* (**binder**  $\forall$  10) **and**  
*Ex* (**binder**  $\exists$  10) **and**  
*Ex1* (**binder**  $\exists!$  10)

**notation** (*HTML output*)  
*All* (**binder**  $\forall$  10) **and**  
*Ex* (**binder**  $\exists$  10) **and**  
*Ex1* (**binder**  $\exists!$  10)

**notation** (*HOL*)  
*All* (**binder** ! 10) **and**

*Ex* (**binder** ? 10) and  
*Ex1* (**binder** ?! 10)

### 1.1.3 Axioms and basic definitions

#### axioms

*eq-reflection*:  $(x=y) ==> (x==y)$

*refl*:  $t = (t::'a)$

*ext*:  $(!!x::'a. (f\ x :: 'b) = g\ x) ==> (\%x. f\ x) = (\%x. g\ x)$

— Extensionality is built into the meta-logic, and this rule expresses a related property. It is an eta-expanded version of the traditional rule, and similar to the ABS rule of HOL

*the-eq-trivial*:  $(THE\ x. x = a) = (a::'a)$

*impI*:  $(P ==> Q) ==> P --> Q$   
*mp*:  $[| P --> Q; P |] ==> Q$

#### defs

*True-def*:  $True == ((\%x::bool. x) = (\%x. x))$   
*All-def*:  $All(P) == (P = (\%x. True))$   
*Ex-def*:  $Ex(P) == !Q. (!x. P\ x --> Q) --> Q$   
*False-def*:  $False == (!P. P)$   
*not-def*:  $\sim P == P --> False$   
*and-def*:  $P \ \& \ Q == !R. (P --> Q --> R) --> R$   
*or-def*:  $P \ | \ Q == !R. (P --> R) --> (Q --> R) --> R$   
*Ex1-def*:  $Ex1(P) == ?\ x. P(x) \ \& \ (!\ y. P(y) --> y=x)$

#### axioms

*iff*:  $(P --> Q) --> (Q --> P) --> (P=Q)$   
*True-or-False*:  $(P=True) \ | \ (P=False)$

#### defs

*Let-def*:  $Let\ s\ f == f(s)$   
*if-def*:  $If\ P\ x\ y == THE\ z::'a. (P=True --> z=x) \ \& \ (P=False --> z=y)$

#### finalconsts

*op* =  
*op* -->  
*The*  
*arbitrary*

#### axiomatization

*undefined* :: 'a

**axiomatization where**

*undefined-fun*: *undefined*  $x = \text{undefined}$

#### 1.1.4 Generic classes and algebraic operations

**class** *default* = *type* +  
**fixes** *default* :: 'a

**class** *zero* = *type* +  
**fixes** *zero* :: 'a (*0*)

**class** *one* = *type* +  
**fixes** *one* :: 'a (*1*)

**hide** (**open**) *const zero one*

**class** *plus* = *type* +  
**fixes** *plus* :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a (**infixl** + *65*)

**class** *minus* = *type* +  
**fixes** *minus* :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a (**infixl** - *65*)

**class** *uminus* = *type* +  
**fixes** *uminus* :: 'a  $\Rightarrow$  'a (*-* - [*81*] *80*)

**class** *times* = *type* +  
**fixes** *times* :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a (**infixl** \* *70*)

**class** *inverse* = *type* +  
**fixes** *inverse* :: 'a  $\Rightarrow$  'a  
**and** *divide* :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a (**infixl** ' / *70*)

**class** *abs* = *type* +  
**fixes** *abs* :: 'a  $\Rightarrow$  'a

**begin**

**notation** (*xsymbols*)  
*abs* (|*-*|)

**notation** (*HTML output*)  
*abs* (|*-*|)

**end**

**class** *sgn* = *type* +  
**fixes** *sgn* :: 'a  $\Rightarrow$  'a

**class** *ord* = *type* +  
**fixes** *less-eq* :: 'a  $\Rightarrow$  'a  $\Rightarrow$  *bool*

**and** *less* :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool  
**begin**

**notation**

*less-eq* (*op* <=) **and**  
*less-eq* ((-/ <= -) [51, 51] 50) **and**  
*less* (*op* <) **and**  
*less* ((-/ < -) [51, 51] 50)

**notation** (*xsymbols*)

*less-eq* (*op*  $\leq$ ) **and**  
*less-eq* ((-/  $\leq$  -) [51, 51] 50)

**notation** (*HTML output*)

*less-eq* (*op*  $\leq$ ) **and**  
*less-eq* ((-/  $\leq$  -) [51, 51] 50)

**abbreviation** (*input*)

*greater-eq* (**infix**  $\geq$  50) **where**  
 $x \geq y \equiv y \leq x$

**notation** (*input*)

*greater-eq* (**infix**  $\geq$  50)

**abbreviation** (*input*)

*greater* (**infix**  $>$  50) **where**  
 $x > y \equiv y < x$

**definition**

*Least* :: ('a  $\Rightarrow$  bool)  $\Rightarrow$  'a (**binder** *LEAST* 10) **where**  
*Least* *P* == (*THE* *x*. *P* *x*  $\wedge$  ( $\forall$  *y*. *P* *y*  $\longrightarrow$  *less-eq* *x* *y*))

**end**

**syntax**

*-index1* :: *index* (1)

**translations**

(*index*)<sub>1</sub>  $\Rightarrow$  (*index*) <sub>$\diamond$</sub>

**typed-print-translation**  $\ll$

*let*

*fun* *tr'* *c* = (*c*, *fn* *show-sorts*  $\Rightarrow$  *fn* *T*  $\Rightarrow$  *fn* *ts*  $\Rightarrow$

*if* *T* = *dummyT* *orelse* not (! *show-types*) *andalso* can *Term.dest-Type* *T* *then*  
*raise Match*

*else* *Syntax.const* *Syntax.constrainC* \$ *Syntax.const* *c* \$ *Syntax.term-of-typ*  
*show-sorts* *T*);

*in* *map* *tr'* [*@*{*const-syntax* *HOL.one*}, *@*{*const-syntax* *HOL.zero*}] *end*;

$\gg$  — show types that are presumably too general

## 1.2 Fundamental rules

### 1.2.1 Equality

Thanks to Stephan Merz

```

lemma subst:
  assumes eq:  $s = t$  and  $p: P\ s$ 
  shows  $P\ t$ 
proof –
  from eq have meta:  $s \equiv t$ 
    by (rule eq-reflection)
  from p show ?thesis
    by (unfold meta)
qed

lemma sym:  $s = t \implies t = s$ 
  by (erule subst) (rule refl)

lemma ssubst:  $t = s \implies P\ s \implies P\ t$ 
  by (drule sym) (erule subst)

lemma trans:  $[| r=s; s=t |] \implies r=t$ 
  by (erule subst)

lemma meta-eq-to-obj-eq:
  assumes meq:  $A == B$ 
  shows  $A = B$ 
  by (unfold meq) (rule refl)

```

Useful with *erule* for proving equalities from known equalities.

```

lemma box-equals:  $[| a=b; a=c; b=d |] \implies c=d$ 
apply (rule trans)
apply (rule trans)
apply (rule sym)
apply assumption+
done

```

For calculational reasoning:

```

lemma forw-subst:  $a = b \implies P\ b \implies P\ a$ 
  by (rule ssubst)

lemma back-subst:  $P\ a \implies a = b \implies P\ b$ 
  by (rule subst)

```

### 1.2.2 Congruence rules for application

```

lemma fun-cong:  $(f::'a \Rightarrow 'b) = g \implies f(x)=g(x)$ 
apply (erule subst)
apply (rule refl)

```

done

lemma *arg-cong*:  $x=y \implies f(x)=f(y)$   
 apply (*erule subst*)  
 apply (*rule refl*)  
 done

lemma *arg-cong2*:  $\llbracket a = b; c = d \rrbracket \implies f\ a\ c = f\ b\ d$   
 apply (*erule ssubst*) +  
 apply (*rule refl*)  
 done

lemma *cong*:  $\llbracket f = g; (x::'a) = y \rrbracket \implies f(x) = g(y)$   
 apply (*erule subst*) +  
 apply (*rule refl*)  
 done

### 1.2.3 Equality of booleans – iff

lemma *iffI*: assumes  $P \implies Q$  and  $Q \implies P$  shows  $P=Q$   
 by (*iprover intro: iff [THEN mp, THEN mp] impI assms*)

lemma *iffD2*:  $\llbracket P=Q; Q \rrbracket \implies P$   
 by (*erule ssubst*)

lemma *rev-iffD2*:  $\llbracket Q; P=Q \rrbracket \implies P$   
 by (*erule iffD2*)

lemma *iffD1*:  $Q = P \implies Q \implies P$   
 by (*drule sym*) (*rule iffD2*)

lemma *rev-iffD1*:  $Q \implies Q = P \implies P$   
 by (*drule sym*) (*rule rev-iffD2*)

lemma *iffE*:  
 assumes *major*:  $P=Q$   
 and *minor*:  $\llbracket P \dashv\rightarrow Q; Q \dashv\rightarrow P \rrbracket \implies R$   
 shows  $R$   
 by (*iprover intro: minor impI major [THEN iffD2] major [THEN iffD1]*)

### 1.2.4 True

lemma *TrueI*: *True*  
 unfolding *True-def* by (*rule refl*)

lemma *eqTrueI*:  $P \implies P = \text{True}$   
 by (*iprover intro: iffI TrueI*)

lemma *eqTrueE*:  $P = \text{True} \implies P$

by (erule iffD2) (rule TrueI)

### 1.2.5 Universal quantifier

**lemma** *allI*: **assumes**  $!!x::'a. P(x)$  **shows**  $ALL\ x. P(x)$   
**unfolding** *All-def* **by** (iprover intro: ext eqTrueI assms)

**lemma** *spec*:  $ALL\ x::'a. P(x) ==> P(x)$   
**apply** (unfold *All-def*)  
**apply** (rule *eqTrueE*)  
**apply** (erule *fun-cong*)  
**done**

**lemma** *allE*:  
**assumes** *major*:  $ALL\ x. P(x)$   
**and** *minor*:  $P(x) ==> R$   
**shows**  $R$   
**by** (iprover intro: *minor major [THEN spec]*)

**lemma** *all-dupE*:  
**assumes** *major*:  $ALL\ x. P(x)$   
**and** *minor*:  $[| P(x); ALL\ x. P(x) |] ==> R$   
**shows**  $R$   
**by** (iprover intro: *minor major major [THEN spec]*)

### 1.2.6 False

Depends upon *spec*; it is impossible to do propositional logic before quantifiers!

**lemma** *FalseE*:  $False ==> P$   
**apply** (unfold *False-def*)  
**apply** (erule *spec*)  
**done**

**lemma** *False-neg-True*:  $False = True ==> P$   
**by** (erule *eqTrueE [THEN FalseE]*)

### 1.2.7 Negation

**lemma** *notI*:  
**assumes**  $P ==> False$   
**shows**  $\sim P$   
**apply** (unfold *not-def*)  
**apply** (iprover intro: *impI assms*)  
**done**

**lemma** *False-not-True*:  $False \sim = True$   
**apply** (rule *notI*)  
**apply** (erule *False-neg-True*)

done

**lemma** *True-not-False*:  $\text{True} \sim = \text{False}$   
 apply (rule notI)  
 apply (erule sym)  
 apply (erule False-neq-True)  
 done

**lemma** *notE*:  $[ \sim P; P ] \implies R$   
 apply (unfold not-def)  
 apply (erule mp [THEN FalseE])  
 apply assumption  
 done

**lemma** *notI2*:  $(P \implies \neg Pa) \implies (P \implies Pa) \implies \neg P$   
 by (erule notE [THEN notI]) (erule meta-mp)

### 1.2.8 Implication

**lemma** *impE*:  
 assumes  $P \longrightarrow Q$   $P$   $Q \implies R$   
 shows  $R$   
 by (iprover intro: assms mp)

**lemma** *rev-mp*:  $[ P; P \longrightarrow Q ] \implies Q$   
 by (iprover intro: mp)

**lemma** *contrapos-nn*:  
 assumes major:  $\sim Q$   
 and minor:  $P \implies Q$   
 shows  $\sim P$   
 by (iprover intro: notI minor major [THEN notE])

**lemma** *contrapos-pn*:  
 assumes major:  $Q$   
 and minor:  $P \implies \sim Q$   
 shows  $\sim P$   
 by (iprover intro: notI minor major notE)

**lemma** *not-sym*:  $t \sim = s \implies s \sim = t$   
 by (erule contrapos-nn) (erule sym)

**lemma** *eq-neq-eq-imp-neq*:  $[ x = a ; a \sim = b ; b = y ] \implies x \sim = y$   
 by (erule subst, erule ssubst, assumption)

**lemma** *rev-contrapos*:



```

    assumes  $pq: P \implies Q$ 
    and  $nq: \sim Q$ 
    shows  $\sim P$ 
  apply (rule  $nq$  [THEN contrapos-nn])
  apply (erule  $pq$ )
done

```

### 1.2.9 Existential quantifier

```

lemma  $exI: P\ x \implies EX\ x::'a.\ P\ x$ 
  apply (unfold  $Ex$ -def)
  apply (iprover intro:  $allI\ allE\ impI\ mp$ )
done

```

```

lemma  $exE$ :
  assumes  $major: EX\ x::'a.\ P(x)$ 
  and  $minor: !!x.\ P(x) \implies Q$ 
  shows  $Q$ 
  apply (rule  $major$  [unfolded  $Ex$ -def, THEN  $spec$ , THEN  $mp$ ])
  apply (iprover intro:  $impI$  [THEN  $allI$ ]  $minor$ )
done

```

### 1.2.10 Conjunction

```

lemma  $conjI: [| P; Q |] \implies P \& Q$ 
  apply (unfold  $and$ -def)
  apply (iprover intro:  $impI$  [THEN  $allI$ ]  $mp$ )
done

```

```

lemma  $conjunct1: [| P \& Q |] \implies P$ 
  apply (unfold  $and$ -def)
  apply (iprover intro:  $impI\ dest: spec\ mp$ )
done

```

```

lemma  $conjunct2: [| P \& Q |] \implies Q$ 
  apply (unfold  $and$ -def)
  apply (iprover intro:  $impI\ dest: spec\ mp$ )
done

```

```

lemma  $conjE$ :
  assumes  $major: P \& Q$ 
  and  $minor: [| P; Q |] \implies R$ 
  shows  $R$ 
  apply (rule  $minor$ )
  apply (rule  $major$  [THEN  $conjunct1$ ])
  apply (rule  $major$  [THEN  $conjunct2$ ])
done

```

```

lemma  $context-conjI$ :
  assumes  $P\ P \implies Q$  shows  $P \& Q$ 

```

by (iprover intro: conjI assms)

### 1.2.11 Disjunction

```
lemma disjI1: P ==> P|Q
  apply (unfold or-def)
  apply (iprover intro: allI impI mp)
  done
```

```
lemma disjI2: Q ==> P|Q
  apply (unfold or-def)
  apply (iprover intro: allI impI mp)
  done
```

```
lemma disjE:
  assumes major: P|Q
    and minorP: P ==> R
    and minorQ: Q ==> R
  shows R
  by (iprover intro: minorP minorQ impI
      major [unfolded or-def, THEN spec, THEN mp, THEN mp])
```

### 1.2.12 Classical logic

```
lemma classical:
  assumes prem: ~P ==> P
  shows P
  apply (rule True-or-False [THEN disjE, THEN eqTrueE])
  apply assumption
  apply (rule notI [THEN prem, THEN eqTrueI])
  apply (erule subst)
  apply assumption
  done
```

```
lemmas ccontr = FalseE [THEN classical, standard]
```

```
lemma rev-notE:
  assumes premp: P
    and premnot: ~R ==> ~P
  shows R
  apply (rule ccontr)
  apply (erule notE [OF premnot premp])
  done
```

```
lemma notnotD: ~~P ==> P
  apply (rule classical)
  apply (erule notE)
  apply assumption
```

done

```
lemma contrapos-pp:
  assumes p1: Q
    and p2:  $\sim P \implies \sim Q$ 
  shows P
by (iprover intro: classical p1 p2 notE)
```

### 1.2.13 Unique existence

```
lemma exI:
  assumes P a !!x. P(x)  $\implies x=a$ 
  shows EX! x. P(x)
by (unfold Ex1-def, iprover intro: assms exI conjI allI impI)
```

Sometimes easier to use: the premises have no shared variables. Safe!

```
lemma ex-exI:
  assumes ex-prem: EX x. P(x)
    and eq: !!x y. [| P(x); P(y) |]  $\implies x=y$ 
  shows EX! x. P(x)
by (iprover intro: ex-prem [THEN exE] exI eq)
```

```
lemma exIE:
  assumes major: EX! x. P(x)
    and minor: !!x. [| P(x); ALL y. P(y)  $\longrightarrow y=x$  |]  $\implies R$ 
  shows R
apply (rule major [unfolded Ex1-def, THEN exE])
apply (erule conjE)
apply (iprover intro: minor)
done
```

```
lemma ex1-implies-ex: EX! x. P x  $\implies$  EX x. P x
apply (erule exIE)
apply (rule exI)
apply assumption
done
```

### 1.2.14 THE: definite description operator

```
lemma the-equality:
  assumes prema: P a
    and premx: !!x. P x  $\implies x=a$ 
  shows (THE x. P x) = a
apply (rule trans [OF - the-eq-trivial])
apply (rule-tac f = The in arg-cong)
apply (rule ext)
apply (rule iffI)
  apply (erule premx)
  apply (erule ssubst, rule prema)
done
```

```

lemma theI:
  assumes  $P\ a$  and  $\forall x. P\ x \implies x=a$ 
  shows  $P\ (THE\ x. P\ x)$ 
by (iprover intro: assms the-equality [THEN ssubst])

```

```

lemma theI':  $EX! x. P\ x \implies P\ (THE\ x. P\ x)$ 
apply (erule ex1E)
apply (erule theI)
apply (erule allE)
apply (erule mp)
apply assumption
done

```

```

lemma theI2:
  assumes  $P\ a$   $\forall x. P\ x \implies x=a$   $\forall x. P\ x \implies Q\ x$ 
  shows  $Q\ (THE\ x. P\ x)$ 
by (iprover intro: assms theI)

```

```

lemma the1I2: assumes  $EX! x. P\ x \wedge x. P\ x \implies Q\ x$  shows  $Q\ (THE\ x. P\ x)$ 
by (iprover intro: assms(2) theI2[where  $P=P$  and  $Q=Q$ ] ex1E[OF assms(1)]
    elim: allE impE)

```

```

lemma the1-equality [elim?]:  $[\![\ EX!x. P\ x; P\ a\ ]\!] \implies (THE\ x. P\ x) = a$ 
apply (rule the-equality)
apply assumption
apply (erule ex1E)
apply (erule all-dupE)
apply (erule mp)
apply assumption
apply (erule ssubst)
apply (erule allE)
apply (erule mp)
apply assumption
done

```

```

lemma the-sym-eq-trivial:  $(THE\ y. x=y) = x$ 
apply (rule the-equality)
apply (rule refl)
apply (erule sym)
done

```

### 1.2.15 Classical intro rules for disjunction and existential quantifiers

```

lemma disjCI:
  assumes  $\sim Q \implies P$  shows  $P \vee Q$ 
apply (rule classical)

```

```

apply (iprover intro: assms disjI1 disjI2 notI elim: notE)
done

```

```

lemma excluded-middle:  $\sim P \mid P$ 
by (iprover intro: disjCI)

```

case distinction as a natural deduction rule. Note that  $\neg P$  is the second case, not the first

```

lemma case-split-thm:
  assumes prem1:  $P \implies Q$ 
    and prem2:  $\sim P \implies Q$ 
  shows  $Q$ 
apply (rule excluded-middle [THEN disjE])
apply (erule prem2)
apply (erule prem1)
done
lemmas case-split = case-split-thm [case-names True False]

```

```

lemma impCE:
  assumes major:  $P \dashv\vdash Q$ 
    and minor:  $\sim P \implies R \quad Q \implies R$ 
  shows  $R$ 
apply (rule excluded-middle [of P, THEN disjE])
apply (iprover intro: minor major [THEN mp])+
done

```

```

lemma impCE':
  assumes major:  $P \dashv\vdash Q$ 
    and minor:  $Q \implies R \quad \sim P \implies R$ 
  shows  $R$ 
apply (rule excluded-middle [of P, THEN disjE])
apply (iprover intro: minor major [THEN mp])+
done

```

```

lemma iffCE:
  assumes major:  $P=Q$ 
    and minor:  $[[P; Q]] \implies R \quad [[\sim P; \sim Q]] \implies R$ 
  shows  $R$ 
apply (rule major [THEN iffE])
apply (iprover intro: minor elim: impCE notE)
done

```

```

lemma exCI:
  assumes ALL  $x. \sim P(x) \implies P(a)$ 
  shows EX  $x. P(x)$ 
apply (rule ccontr)

```

apply (iprover intro: assms exI allI notI notE [of  $\exists x. P\ x$ ])  
done

### 1.2.16 Intuitionistic Reasoning

lemma *impE'*:  
  assumes 1:  $P \longrightarrow Q$   
  and 2:  $Q \implies R$   
  and 3:  $P \longrightarrow Q \implies P$   
  shows  $R$   
proof –  
  from 3 and 1 have  $P$  .  
  with 1 have  $Q$  by (rule *impE*)  
  with 2 show  $R$  .  
qed

lemma *allE'*:  
  assumes 1:  $\text{ALL } x. P\ x$   
  and 2:  $P\ x \implies \text{ALL } x. P\ x \implies Q$   
  shows  $Q$   
proof –  
  from 1 have  $P\ x$  by (rule *spec*)  
  from this and 1 show  $Q$  by (rule 2)  
qed

lemma *notE'*:  
  assumes 1:  $\sim P$   
  and 2:  $\sim P \implies P$   
  shows  $R$   
proof –  
  from 2 and 1 have  $P$  .  
  with 1 show  $R$  by (rule *notE*)  
qed

lemma *TrueE*:  $\text{True} \implies P \implies P$  .  
lemma *notFalseE*:  $\sim \text{False} \implies P \implies P$  .

lemmas [*Pure.elim!*] = *disjE iffE FalseE conjE exE TrueE notFalseE*  
  and [*Pure.intro!*] = *iffI conjI impI TrueI notI allI refl*  
  and [*Pure.elim 2*] = *allE notE' impE'*  
  and [*Pure.intro*] = *exI disjI2 disjI1*

lemmas [*trans*] = *trans*  
  and [*sym*] = *sym not-sym*  
  and [*Pure.elim?*] = *iffD1 iffD2 impE*

use *hologic.ML*

## 1.2.17 Atomizing meta-level connectives

**lemma** *atomize-all* [*atomize*]:  $(!!x. P\ x) == \text{Trueprop}\ (ALL\ x. P\ x)$

**proof**

**assume**  $!!x. P\ x$

**then show**  $ALL\ x. P\ x$  ..

**next**

**assume**  $ALL\ x. P\ x$

**then show**  $!!x. P\ x$  **by** (*rule allE*)

**qed**

**lemma** *atomize-imp* [*atomize*]:  $(A ==> B) == \text{Trueprop}\ (A --> B)$

**proof**

**assume**  $r: A ==> B$

**show**  $A --> B$  **by** (*rule impI*) (*rule r*)

**next**

**assume**  $A --> B$  **and**  $A$

**then show**  $B$  **by** (*rule mp*)

**qed**

**lemma** *atomize-not*:  $(A ==> \text{False}) == \text{Trueprop}\ (\sim A)$

**proof**

**assume**  $r: A ==> \text{False}$

**show**  $\sim A$  **by** (*rule notI*) (*rule r*)

**next**

**assume**  $\sim A$  **and**  $A$

**then show**  $\text{False}$  **by** (*rule notE*)

**qed**

**lemma** *atomize-eq* [*atomize*]:  $(x == y) == \text{Trueprop}\ (x = y)$

**proof**

**assume**  $x == y$

**show**  $x = y$  **by** (*unfold*  $\langle x == y \rangle$ ) (*rule refl*)

**next**

**assume**  $x = y$

**then show**  $x == y$  **by** (*rule eq-reflection*)

**qed**

**lemma** *atomize-conj* [*atomize*]:

**includes** *meta-conjunction-syntax*

**shows**  $(A \ \&\& \ B) == \text{Trueprop}\ (A \ \& \ B)$

**proof**

**assume**  $\text{conj}: A \ \&\& \ B$

**show**  $A \ \& \ B$

**proof** (*rule conjI*)

**from**  $\text{conj}$  **show**  $A$  **by** (*rule conjunctionD1*)

**from**  $\text{conj}$  **show**  $B$  **by** (*rule conjunctionD2*)

**qed**

**next**

**assume**  $\text{conj}: A \ \& \ B$

```

show A && B
proof -
  from conj show A ..
  from conj show B ..
qed
qed

```

```

lemmas [symmetric, rulify] = atomize-all atomize-imp
and [symmetric, defn] = atomize-all atomize-imp atomize-eq

```

### 1.2.18 Atomizing elimination rules

```

setup AtomizeElim.setup

```

```

lemma atomize-exL[atomize-elim]: (!!x. P x ==> Q) == ((EX x. P x) ==> Q)
by rule iprover+

```

```

lemma atomize-conjL[atomize-elim]: (A ==> B ==> C) == (A & B ==> C)
by rule iprover+

```

```

lemma atomize-disjL[atomize-elim]: ((A ==> C) ==> (B ==> C) ==> C)
== ((A | B ==> C) ==> C)
by rule iprover+

```

```

lemma atomize-elimL[atomize-elim]: (!!B. (A ==> B) ==> B) == Trueprop A
..

```

## 1.3 Package setup

### 1.3.1 Classical Reasoner setup

```

lemma imp-elim: P --> Q ==> (~ R ==> P) ==> (Q ==> R) ==> R
by (rule classical) iprover

```

```

lemma swap: ~ P ==> (~ R ==> P) ==> R
by (rule classical) iprover

```

```

lemma thin-refl:
   $\bigwedge X. \llbracket x=x; PROP W \rrbracket \implies PROP W .$ 

```

```

ML <<
structure Hypsubst = HypsubstFun(
struct
  structure Simplifier = Simplifier
  val dest-eq = HOLogic.dest-eq
  val dest-Trueprop = HOLogic.dest-Trueprop
  val dest-imp = HOLogic.dest-imp
  val eq-reflection = @{thm eq-reflection}
  val rev-eq-reflection = @{thm meta-eq-to-obj-eq}
  val imp-intr = @{thm impI}

```



```

    val rev-mp = @{thm rev-mp}
    val subst = @{thm subst}
    val sym = @{thm sym}
    val thin-refl = @{thm thin-refl};
end);
open Hypsubst;

structure Classical = ClassicalFun(
struct
    val imp-elim = @{thm imp-elim}
    val not-elim = @{thm notE}
    val swap = @{thm swap}
    val classical = @{thm classical}
    val sizef = Drule.size-of-thm
    val hyp-subst-tacs = [Hypsubst.hyp-subst-tac]
end);

structure BasicClassical: BASIC-CLASSICAL = Classical;
open BasicClassical;

ML-Context.value-antiq claset
(Scan.succeed (claset, Classical.local-claset-of (ML-Context.the-local-context ()))));

structure ResAtpset = NamedThmsFun(val name = atp val description = ATP
rules);

structure ResBlacklist = NamedThmsFun(val name = noatp val description = The-
orems blacklisted for ATP);
>>

ResBlacklist holds theorems blacklisted to sledgehammer. These theorems
typically produce clauses that are prolific (match too many equality or mem-
bership literals) and relate to seldom-used facts. Some duplicate other rules.

setup <<
let
    (*prevent substitution on bool*)
    fun hyp-subst-tac' i thm = if i <= Thm.nprems-of thm andalso
        Term.exists-Const (fn (op =, Type (-, [T, -])) => T <> Type (bool, [])) | - =>
false)
        (nth (Thm.premsof thm) (i - 1)) then Hypsubst.hyp-subst-tac i thm else
no-tac thm;
in
    Hypsubst.hypsubst-setup
    #> ContextRules.addSWrapper (fn tac => hyp-subst-tac' ORELSE' tac)
    #> Classical.setup
    #> ResAtpset.setup
    #> ResBlacklist.setup
end
>>

```

```

declare iffI [intro!]
  and notI [intro!]
  and impI [intro!]
  and disjCI [intro!]
  and conjI [intro!]
  and TrueI [intro!]
  and refl [intro!]

declare iffCE [elim!]
  and FalseE [elim!]
  and impCE [elim!]
  and disjE [elim!]
  and conjE [elim!]
  and conjE [elim!]

declare ex-ex1I [intro!]
  and allI [intro!]
  and the-equality [intro]
  and exI [intro]

declare exE [elim!]
  allE [elim]

ML  $\ll \text{val } HOL\text{-cs} = @\{\text{claset}\} \gg$ 

lemma contrapos-np:  $\sim Q ==> (\sim P ==> Q) ==> P$ 
  apply (erule swap)
  apply (erule (1) meta-mp)
  done

declare ex-ex1I [rule del, intro! 2]
  and ex1I [intro]

lemmas [intro?] = ext
  and [elim?] = ex1-implies-ex

lemma alt-ex1E [elim!]:
  assumes major:  $\exists!x. P\ x$ 
    and prem:  $\bigwedge x. \ll P\ x; \forall y\ y'. P\ y \wedge P\ y' \longrightarrow y = y' \gg \Longrightarrow R$ 
  shows R
apply (rule ex1E [OF major])
apply (rule prem)
apply (tactic  $\ll \text{ares-tac } @\{\text{thms allI}\} 1 \gg$ ) +
apply (tactic  $\ll \text{etac (Classical.dup-elim } @\{\text{thm allE}\}) 1 \gg$ )
apply iprover
done

```

```

ML <<
structure Blast = BlastFun
(
  type claset = Classical.claset
  val equality-name = @{const-name op =}
  val not-name = @{const-name Not}
  val notE = @{thm notE}
  val ccontr = @{thm ccontr}
  val contr-tac = Classical.contr-tac
  val dup-intr = Classical.dup-intr
  val hyp-subst-tac = Hypsubst.blast-hyp-subst-tac
  val claset = Classical.claset
  val rep-cs = Classical.rep-cs
  val cla-modifiers = Classical.cla-modifiers
  val cla-meth' = Classical.cla-meth'
);
val Blast-tac = Blast.Blast-tac;
val blast-tac = Blast.blast-tac;
>>

setup Blast.setup

```

### 1.3.2 Simplifier

**lemma** *eta-contract-eq*:  $(\%s. f s) = f ..$

**lemma** *simp-thms*:

```

shows not-not:  $(\sim \sim P) = P$ 
and Not-eq-iff:  $((\sim P) = (\sim Q)) = (P = Q)$ 
and
   $(P \sim = Q) = (P = (\sim Q))$ 
   $(P \mid \sim P) = \text{True} \quad (\sim P \mid P) = \text{True}$ 
   $(x = x) = \text{True}$ 
and not-True-eq-False:  $(\neg \text{True}) = \text{False}$ 
and not-False-eq-True:  $(\neg \text{False}) = \text{True}$ 
and
   $(\sim P) \sim = P \quad P \sim = (\sim P)$ 
   $(\text{True} = P) = P$ 
and eq-True:  $(P = \text{True}) = P$ 
and  $(\text{False} = P) = (\sim P)$ 
and eq-False:  $(P = \text{False}) = (\neg P)$ 
and
   $(\text{True} \dashrightarrow P) = P \quad (\text{False} \dashrightarrow P) = \text{True}$ 
   $(P \dashrightarrow \text{True}) = \text{True} \quad (P \dashrightarrow P) = \text{True}$ 
   $(P \dashrightarrow \text{False}) = (\sim P) \quad (P \dashrightarrow \sim P) = (\sim P)$ 
   $(P \ \& \ \text{True}) = P \quad (\text{True} \ \& \ P) = P$ 
   $(P \ \& \ \text{False}) = \text{False} \quad (\text{False} \ \& \ P) = \text{False}$ 
   $(P \ \& \ P) = P \quad (P \ \& \ (P \ \& \ Q)) = (P \ \& \ Q)$ 
   $(P \ \& \ \sim P) = \text{False} \quad (\sim P \ \& \ P) = \text{False}$ 

```

$(P \mid \text{True}) = \text{True} \quad (\text{True} \mid P) = \text{True}$   
 $(P \mid \text{False}) = P \quad (\text{False} \mid P) = P$   
 $(P \mid P) = P \quad (P \mid (P \mid Q)) = (P \mid Q)$  **and**  
 $(\text{ALL } x. P) = P \quad (\text{EX } x. P) = P \quad \text{EX } x. x=t \quad \text{EX } x. t=x$   
 — needed for the one-point-rule quantifier simplification procs  
 — essential for termination!! **and**  
 $!!P. (\text{EX } x. x=t \ \& \ P(x)) = P(t)$   
 $!!P. (\text{EX } x. t=x \ \& \ P(x)) = P(t)$   
 $!!P. (\text{ALL } x. x=t \ \dashv\vdash \ P(x)) = P(t)$   
 $!!P. (\text{ALL } x. t=x \ \dashv\vdash \ P(x)) = P(t)$   
**by** (*blast*, *blast*, *blast*, *blast*, *blast*, *iprover*+)

**lemma** *disj-absorb*:  $(A \mid A) = A$   
**by** *blast*

**lemma** *disj-left-absorb*:  $(A \mid (A \mid B)) = (A \mid B)$   
**by** *blast*

**lemma** *conj-absorb*:  $(A \ \& \ A) = A$   
**by** *blast*

**lemma** *conj-left-absorb*:  $(A \ \& \ (A \ \& \ B)) = (A \ \& \ B)$   
**by** *blast*

**lemma** *eq-ac*:  
**shows** *eq-commute*:  $(a=b) = (b=a)$   
**and** *eq-left-commute*:  $(P=(Q=R)) = (Q=(P=R))$   
**and** *eq-assoc*:  $((P=Q)=R) = (P=(Q=R))$  **by** (*iprover*, *blast*+)

**lemma** *neg-commute*:  $(a^\sim=b) = (b^\sim=a)$  **by** *iprover*

**lemma** *conj-comms*:  
**shows** *conj-commute*:  $(P \ \& \ Q) = (Q \ \& \ P)$   
**and** *conj-left-commute*:  $(P \ \& \ (Q \ \& \ R)) = (Q \ \& \ (P \ \& \ R))$  **by** *iprover* +

**lemma** *conj-assoc*:  $((P \ \& \ Q) \ \& \ R) = (P \ \& \ (Q \ \& \ R))$  **by** *iprover*

**lemmas** *conj-ac* = *conj-commute* *conj-left-commute* *conj-assoc*

**lemma** *disj-comms*:  
**shows** *disj-commute*:  $(P \mid Q) = (Q \mid P)$   
**and** *disj-left-commute*:  $(P \mid (Q \mid R)) = (Q \mid (P \mid R))$  **by** *iprover* +

**lemma** *disj-assoc*:  $((P \mid Q) \mid R) = (P \mid (Q \mid R))$  **by** *iprover*

**lemmas** *disj-ac* = *disj-commute* *disj-left-commute* *disj-assoc*

**lemma** *conj-disj-distribL*:  $(P \ \& \ (Q \mid R)) = (P \ \& \ Q \mid P \ \& \ R)$  **by** *iprover*  
**lemma** *conj-disj-distribR*:  $((P \mid Q) \ \& \ R) = (P \ \& \ R \mid Q \ \& \ R)$  **by** *iprover*

**lemma** *disj-conj-distribL*:  $(P \mid (Q \ \& \ R)) = ((P \mid Q) \ \& \ (P \mid R))$  **by** *iprover*  
**lemma** *disj-conj-distribR*:  $((P \ \& \ Q) \mid R) = ((P \ \& \ R) \ \& \ (Q \ \& \ R))$  **by** *iprover*

**lemma** *imp-conjR*:  $(P \multimap (Q \& R)) = ((P \multimap Q) \& (P \multimap R))$  **by** *iprover*

**lemma** *imp-conjL*:  $((P \& Q) \multimap R) = (P \multimap (Q \multimap R))$  **by** *iprover*

**lemma** *imp-disjL*:  $((P | Q) \multimap R) = ((P \multimap R) \& (Q \multimap R))$  **by** *iprover*

These two are specialized, but *imp-disj-not1* is useful in *Auth/Yahalom*.

**lemma** *imp-disj-not1*:  $(P \multimap Q | R) = (\sim Q \multimap P \multimap R)$  **by** *blast*

**lemma** *imp-disj-not2*:  $(P \multimap Q | R) = (\sim R \multimap P \multimap Q)$  **by** *blast*

**lemma** *imp-disj1*:  $((P \multimap Q) | R) = (P \multimap Q | R)$  **by** *blast*

**lemma** *imp-disj2*:  $(Q | (P \multimap R)) = (P \multimap Q | R)$  **by** *blast*

**lemma** *imp-cong*:  $(P = P') \implies (P' \implies (Q = Q')) \implies ((P \multimap Q) = (P' \multimap Q'))$

**by** *iprover*

**lemma** *de-Morgan-disj*:  $(\sim(P | Q)) = (\sim P \& \sim Q)$  **by** *iprover*

**lemma** *de-Morgan-conj*:  $(\sim(P \& Q)) = (\sim P | \sim Q)$  **by** *blast*

**lemma** *not-imp*:  $(\sim(P \multimap Q)) = (P \& \sim Q)$  **by** *blast*

**lemma** *not-iff*:  $(P \sim Q) = (P = (\sim Q))$  **by** *blast*

**lemma** *disj-not1*:  $(\sim P | Q) = (P \multimap Q)$  **by** *blast*

**lemma** *disj-not2*:  $(P | \sim Q) = (Q \multimap P)$  — changes orientation :-(  
**by** *blast*

**lemma** *imp-conv-disj*:  $(P \multimap Q) = ((\sim P) | Q)$  **by** *blast*

**lemma** *iff-conv-conj-imp*:  $(P = Q) = ((P \multimap Q) \& (Q \multimap P))$  **by** *iprover*

**lemma** *cases-simp*:  $((P \multimap Q) \& (\sim P \multimap Q)) = Q$

— Avoids duplication of subgoals after *split-if*, when the true and false

— cases boil down to the same thing.

**by** *blast*

**lemma** *not-all*:  $(\sim (! x. P(x))) = (? x. \sim P(x))$  **by** *blast*

**lemma** *imp-all*:  $((! x. P x) \multimap Q) = (? x. P x \multimap Q)$  **by** *blast*

**lemma** *not-ex*:  $(\sim (? x. P(x))) = (! x. \sim P(x))$  **by** *iprover*

**lemma** *imp-ex*:  $((? x. P x) \multimap Q) = (! x. P x \multimap Q)$  **by** *iprover*

**lemma** *all-not-ex*:  $(ALL x. P x) = (\sim (EX x. \sim P x))$  **by** *blast*

**declare** *All-def* [*noatp*]

**lemma** *ex-disj-distrib*:  $(? x. P(x) | Q(x)) = ((? x. P(x)) | (? x. Q(x)))$  **by** *iprover*

**lemma** *all-conj-distrib*:  $(!x. P(x) \& Q(x)) = ((! x. P(x)) \& (! x. Q(x)))$  **by** *iprover*

The  $\&$  congruence rule: not included by default! May slow rewrite proofs down by as much as 50%

**lemma** *conj-cong*:

$(P = P') \implies (P' \implies (Q = Q')) \implies ((P \& Q) = (P' \& Q'))$

by *iprover*

**lemma** *rev-conj-cong*:

$(Q = Q') \implies (Q' \implies (P = P')) \implies ((P \ \& \ Q) = (P' \ \& \ Q'))$

by *iprover*

The  $|$  congruence rule: not included by default!

**lemma** *disj-cong*:

$(P = P') \implies (\sim P' \implies (Q = Q')) \implies ((P \ | \ Q) = (P' \ | \ Q'))$

by *blast*

if-then-else rules

**lemma** *if-True*:  $(\text{if } \text{True} \text{ then } x \text{ else } y) = x$

by  $(\text{unfold if-def})$  *blast*

**lemma** *if-False*:  $(\text{if } \text{False} \text{ then } x \text{ else } y) = y$

by  $(\text{unfold if-def})$  *blast*

**lemma** *if-P*:  $P \implies (\text{if } P \text{ then } x \text{ else } y) = x$

by  $(\text{unfold if-def})$  *blast*

**lemma** *if-not-P*:  $\sim P \implies (\text{if } P \text{ then } x \text{ else } y) = y$

by  $(\text{unfold if-def})$  *blast*

**lemma** *split-if*:  $P \ (\text{if } Q \text{ then } x \text{ else } y) = ((Q \ \longrightarrow P(x)) \ \& \ (\sim Q \ \longrightarrow P(y)))$

**apply**  $(\text{rule case-split [of } Q])$

**apply**  $(\text{simplesubst if-P})$

**prefer** 3 **apply**  $(\text{simplesubst if-not-P, blast+})$

**done**

**lemma** *split-if-asm*:  $P \ (\text{if } Q \text{ then } x \text{ else } y) = (\sim((Q \ \& \ \sim P \ x) \ | \ (\sim Q \ \& \ \sim P \ y)))$

by  $(\text{simplesubst split-if, blast})$

**lemmas** *if-splits* [noatp] = *split-if split-if-asm*

**lemma** *if-cancel*:  $(\text{if } c \text{ then } x \text{ else } x) = x$

by  $(\text{simplesubst split-if, blast})$

**lemma** *if-eq-cancel*:  $(\text{if } x = y \text{ then } y \text{ else } x) = x$

by  $(\text{simplesubst split-if, blast})$

**lemma** *if-bool-eq-conj*:  $(\text{if } P \text{ then } Q \text{ else } R) = ((P \ \longrightarrow Q) \ \& \ (\sim P \ \longrightarrow R))$

— This form is useful for expanding *ifs* on the RIGHT of the  $\implies$  symbol.

by  $(\text{rule split-if})$

**lemma** *if-bool-eq-disj*:  $(\text{if } P \text{ then } Q \text{ else } R) = ((P \ \& \ Q) \ | \ (\sim P \ \& \ R))$

— And this form is useful for expanding *ifs* on the LEFT.

**apply**  $(\text{simplesubst split-if, blast})$

**done**

```

lemma Eq-TrueI:  $P \implies P == \text{True}$  by (unfold atomize-eq) iprover
lemma Eq-FalseI:  $\sim P \implies P == \text{False}$  by (unfold atomize-eq) iprover

```

let rules for *simproc*

```

lemma Let-folded:  $f\ x \equiv g\ x \implies \text{Let } x\ f \equiv \text{Let } x\ g$ 
by (unfold Let-def)

```

```

lemma Let-unfold:  $f\ x \equiv g \implies \text{Let } x\ f \equiv g$ 
by (unfold Let-def)

```

The following copy of the implication operator is useful for fine-tuning congruence rules. It instructs the simplifier to simplify its premise.

```

constdefs
  simp-implies :: [prop, prop] => prop (infixr simp=> 1)
  [code func del]: simp-implies  $\equiv op \implies$ 

```

```

lemma simp-impliesI:
  assumes PQ: ( $PROP\ P \implies PROP\ Q$ )
  shows  $PROP\ P =_{simp=>} PROP\ Q$ 
  apply (unfold simp-implies-def)
  apply (rule PQ)
  apply assumption
  done

```

```

lemma simp-impliesE:
  assumes PQ:  $PROP\ P =_{simp=>} PROP\ Q$ 
  and P:  $PROP\ P$ 
  and QR:  $PROP\ Q \implies PROP\ R$ 
  shows  $PROP\ R$ 
  apply (rule QR)
  apply (rule PQ [unfolded simp-implies-def])
  apply (rule P)
  done

```

```

lemma simp-implies-cong:
  assumes PP':  $PROP\ P == PROP\ P'$ 
  and P'QQ':  $PROP\ P' \implies (PROP\ Q == PROP\ Q')$ 
  shows  $(PROP\ P =_{simp=>} PROP\ Q) == (PROP\ P' =_{simp=>} PROP\ Q')$ 
proof (unfold simp-implies-def, rule equal-intr-rule)
  assume PQ:  $PROP\ P \implies PROP\ Q$ 
  and P':  $PROP\ P'$ 
  from PP' [symmetric] and P' have  $PROP\ P$ 
    by (rule equal-elim-rule1)
  then have  $PROP\ Q$  by (rule PQ)
  with P'QQ' [OF P'] show  $PROP\ Q'$  by (rule equal-elim-rule1)
next
  assume P'Q':  $PROP\ P' \implies PROP\ Q'$ 

```

```

and P: PROP P
from PP' and P have P': PROP P' by (rule equal-elim-rule1)
then have PROP Q' by (rule P'Q')
with P'QQ' [OF P', symmetric] show PROP Q
  by (rule equal-elim-rule1)
qed

```

```

lemma uncurry:
  assumes  $P \longrightarrow Q \longrightarrow R$ 
  shows  $P \wedge Q \longrightarrow R$ 
  using assms by blast

```

```

lemma iff-allI:
  assumes  $\bigwedge x. P\ x = Q\ x$ 
  shows  $(\forall x. P\ x) = (\forall x. Q\ x)$ 
  using assms by blast

```

```

lemma iff-exI:
  assumes  $\bigwedge x. P\ x = Q\ x$ 
  shows  $(\exists x. P\ x) = (\exists x. Q\ x)$ 
  using assms by blast

```

```

lemma all-comm:
   $(\forall x\ y. P\ x\ y) = (\forall y\ x. P\ x\ y)$ 
  by blast

```

```

lemma ex-comm:
   $(\exists x\ y. P\ x\ y) = (\exists y\ x. P\ x\ y)$ 
  by blast

```

```

use simpdata.ML
ML << open Simpdata >>

```

```

setup <<
  Simplifier.method-setup Splitter.split-modifiers
  #> Simplifier.map-simpset (K Simpdata.simpset-simprocs)
  #> Splitter.setup
  #> clasimp-setup
  #> EqSubst.setup
>>

```

Simproc for proving  $(y = x) == \text{False}$  from premise  $\sim(x = y)$ :

```

simproc-setup neq (x = y) = << fn - =>
let
  val neq-to-EQ-False = @{thm not-sym} RS @{thm Eq-FalseI};
  fun is-neq eq lhs rhs thm =
    (case Thm.prop-of thm of
     - $ (Not $ (eq' $ l' $ r')) =>
       Not = HOLogic.Not andalso eq' = eq andalso

```



```

      r' aconv lhs andalso l' aconv rhs
    | - => false);
  fun proc ss ct =
    (case Thm.term-of ct of
      eq $ lhs $ rhs =>
        (case find-first (is-neq eq lhs rhs) (Simplifier.premss-of-ss ss) of
          SOME thm => SOME (thm RS neq-to-EQ-False)
        | NONE => NONE)
    | - => NONE);
  in proc end;
>>

```

```

simproc-setup let-simp (Let x f) = <<
  let
    val (f-Let-unfold, x-Let-unfold) =
      let val [(-$(f$x)$-)] = prems-of @{thm Let-unfold}
        in (cterm-of @{theory} f, cterm-of @{theory} x) end
    val (f-Let-folded, x-Let-folded) =
      let val [(-$(f$x)$-)] = prems-of @{thm Let-folded}
        in (cterm-of @{theory} f, cterm-of @{theory} x) end;
    val g-Let-folded =
      let val [(-$(g$-))] = prems-of @{thm Let-folded} in cterm-of @{theory} g
    end;

```

```

  fun proc - ss ct =
    let
      val ctxt = Simplifier.the-context ss;
      val thy = ProofContext.theory-of ctxt;
      val t = Thm.term-of ct;
      val ([t'], ctxt') = Variable.import-terms false [t] ctxt;
      in Option.map (hd o Variable.export ctxt' ctxt o single)
        (case t' of Const (Let,-) $ x $ f => (* x and f are already in normal form *)
          if is-Free x orelse is-Bound x orelse is-Const x
          then SOME @{thm Let-def}
          else
            let
              val n = case f of (Abs (x,-,-)) => x | - => x;
              val cx = cterm-of thy x;
              val {T=xT,...} = rep-cterm cx;
              val cf = cterm-of thy f;
              val fx-g = Simplifier.rewrite ss (Thm.capply cf cx);
              val (-$-g) = prop-of fx-g;
              val g' = abstract-over (x,g);
              in (if (g aconv g')
                  then
                    let
                      val rl =
                        cterm-instantiate [(f-Let-unfold,cf),(x-Let-unfold,cx)] @{thm
Let-unfold});

```

```

      in SOME (rl OF [fx-g]) end
      else if Term.betapply (f,x) aconv g then NONE (*avoid identity
conversion*)
      else let
        val abs-g' = Abs (n,xT,g');
        val g'x = abs-g'$x;
        val g-g'x = symmetric (beta-conversion false (cterm-of thy g'x));
        val rl = cterm-instantiate
          [(f-Let-folded,cterm-of thy f),(x-Let-folded,cx),
           (g-Let-folded,cterm-of thy abs-g')]
          @ {thm Let-folded};
        in SOME (rl OF [transitive fx-g g-g'x])
      end)
    end
  | - => NONE)
end
in proc end >>

```

**lemma** *True-implies-equals*:  $(True \implies PROP P) \equiv PROP P$

**proof**

**assume**  $True \implies PROP P$

**from** *this* [OF TrueI] **show**  $PROP P$  .

**next**

**assume**  $PROP P$

**then show**  $PROP P$  .

**qed**

**lemma** *ex-simps*:

!!P Q.  $(EX x. P x \ \& \ Q) = ((EX x. P x) \ \& \ Q)$

!!P Q.  $(EX x. P \ \& \ Q x) = (P \ \& \ (EX x. Q x))$

!!P Q.  $(EX x. P x \ | \ Q) = ((EX x. P x) \ | \ Q)$

!!P Q.  $(EX x. P \ | \ Q x) = (P \ | \ (EX x. Q x))$

!!P Q.  $(EX x. P x \ --> Q) = ((ALL x. P x) \ --> Q)$

!!P Q.  $(EX x. P \ --> Q x) = (P \ --> (EX x. Q x))$

— Miniscoping: pushing in existential quantifiers.

**by** (*iprover* | *blast*)+

**lemma** *all-simps*:

!!P Q.  $(ALL x. P x \ \& \ Q) = ((ALL x. P x) \ \& \ Q)$

!!P Q.  $(ALL x. P \ \& \ Q x) = (P \ \& \ (ALL x. Q x))$

!!P Q.  $(ALL x. P x \ | \ Q) = ((ALL x. P x) \ | \ Q)$

!!P Q.  $(ALL x. P \ | \ Q x) = (P \ | \ (ALL x. Q x))$

!!P Q.  $(ALL x. P x \ --> Q) = ((EX x. P x) \ --> Q)$

!!P Q.  $(ALL x. P \ --> Q x) = (P \ --> (ALL x. Q x))$

— Miniscoping: pushing in universal quantifiers.

**by** (*iprover* | *blast*)+

**lemmas** [*simp*] =

*triv-forall-equality*  
*True-implies-equals*  
*if-True*  
*if-False*  
*if-cancel*  
*if-eq-cancel*  
*imp-disjL*

*conj-assoc*  
*disj-assoc*  
*de-Morgan-conj*  
*de-Morgan-disj*  
*imp-disj1*  
*imp-disj2*  
*not-imp*  
*disj-not1*  
*not-all*  
*not-ex*  
*cases-simp*  
*the-eq-trivial*  
*the-sym-eq-trivial*  
*ex-simps*  
*all-simps*  
*simp-thms*

**lemmas** [*cong*] = *imp-cong simp-implies-cong*

**lemmas** [*split*] = *split-if*

**ML**  $\ll \text{val HOL-ss} = @\{\text{simpset}\} \gg$

Simplifies x assuming c and y assuming  $\neg c$

**lemma** *if-cong*:

**assumes**  $b = c$

**and**  $c \implies x = u$

**and**  $\neg c \implies y = v$

**shows**  $(\text{if } b \text{ then } x \text{ else } y) = (\text{if } c \text{ then } u \text{ else } v)$

**unfolding** *if-def* **using** *assms* **by** *simp*

Prevents simplification of x and y: faster and allows the execution of functional programs.

**lemma** *if-weak-cong* [*cong*]:

**assumes**  $b = c$

**shows**  $(\text{if } b \text{ then } x \text{ else } y) = (\text{if } c \text{ then } x \text{ else } y)$

**using** *assms* **by** (*rule arg-cong*)

Prevents simplification of t: much faster

**lemma** *let-weak-cong*:

**assumes**  $a = b$

**shows**  $(\text{let } x = a \text{ in } t\ x) = (\text{let } x = b \text{ in } t\ x)$

**using** *assms* **by** (*rule arg-cong*)

To tidy up the result of a simproc. Only the RHS will be simplified.

**lemma** *eq-cong2*:  
**assumes**  $u = u'$   
**shows**  $(t \equiv u) \equiv (t \equiv u')$   
**using** *assms* **by** *simp*

**lemma** *if-distrib*:  
 $f \text{ (if } c \text{ then } x \text{ else } y) = (\text{if } c \text{ then } f x \text{ else } f y)$   
**by** *simp*

This lemma restricts the effect of the rewrite rule  $u=v$  to the left-hand side of an equality. Used in  $\{Integ, Real\}/simproc.ML$

**lemma** *restrict-to-left*:  
**assumes**  $x = y$   
**shows**  $(x = z) = (y = z)$   
**using** *assms* **by** *simp*

### 1.3.3 Generic cases and induction

Rule projections:

**ML**  $\ll$   
 $structure \text{ProjectRule} = \text{ProjectRuleFun}$   
 $($   
 $\quad val \text{conjunct1} = @\{thm \text{conjunct1}\};$   
 $\quad val \text{conjunct2} = @\{thm \text{conjunct2}\};$   
 $\quad val \text{mp} = @\{thm \text{mp}\};$   
 $)$   
 $\gg$

**constdefs**  
 $\text{induct-forall} \textbf{where} \text{induct-forall } P == \forall x. P x$   
 $\text{induct-implies} \textbf{where} \text{induct-implies } A B == A \longrightarrow B$   
 $\text{induct-equal} \textbf{where} \text{induct-equal } x y == x = y$   
 $\text{induct-conj} \textbf{where} \text{induct-conj } A B == A \wedge B$

**lemma** *induct-forall-eq*:  $(!!x. P x) == \text{Trueprop } (\text{induct-forall } (\lambda x. P x))$   
**by** (*unfold atomize-all induct-forall-def*)

**lemma** *induct-implies-eq*:  $(A ==> B) == \text{Trueprop } (\text{induct-implies } A B)$   
**by** (*unfold atomize-imp induct-implies-def*)

**lemma** *induct-equal-eq*:  $(x == y) == \text{Trueprop } (\text{induct-equal } x y)$   
**by** (*unfold atomize-eq induct-equal-def*)

**lemma** *induct-conj-eq*:  
**includes** *meta-conjunction-syntax*

```

shows (A && B) == Trueprop (induct-conj A B)
by (unfold atomize-conj induct-conj-def)

lemmas induct-atomize = induct-forall-eq induct-implies-eq induct-equal-eq induct-conj-eq
lemmas induct-rulify [symmetric, standard] = induct-atomize
lemmas induct-rulify-fallback =
  induct-forall-def induct-implies-def induct-equal-def induct-conj-def

lemma induct-forall-conj: induct-forall (λx. induct-conj (A x) (B x)) =
  induct-conj (induct-forall A) (induct-forall B)
by (unfold induct-forall-def induct-conj-def) iprover

lemma induct-implies-conj: induct-implies C (induct-conj A B) =
  induct-conj (induct-implies C A) (induct-implies C B)
by (unfold induct-implies-def induct-conj-def) iprover

lemma induct-conj-curry: (induct-conj A B ==> PROP C) == (A ==> B ==>
PROP C)
proof
  assume r: induct-conj A B ==> PROP C and A B
  show PROP C by (rule r) (simp add: induct-conj-def ⟨A⟩ ⟨B⟩)
next
  assume r: A ==> B ==> PROP C and induct-conj A B
  show PROP C by (rule r) (simp-all add: ⟨induct-conj A B⟩ [unfolded induct-conj-def])
qed

lemmas induct-conj = induct-forall-conj induct-implies-conj induct-conj-curry

hide const induct-forall induct-implies induct-equal induct-conj

Method setup.

ML ⟨⟨
  structure Induct = InductFun
  (
    val cases-default = @{thm case-split}
    val atomize = @{thms induct-atomize}
    val rulify = @{thms induct-rulify}
    val rulify-fallback = @{thms induct-rulify-fallback}
  );
  ⟩⟩

setup Induct.setup

```

### 1.4 Other simple lemmas and lemma duplicates

```

lemma Let-0 [simp]: Let 0 f = f 0
  unfolding Let-def ..

```

**lemma** *Let-1* [*simp*]: *Let* 1  $f = f$  1  
**unfolding** *Let-def* ..

**lemma** *ex1-eq* [*iff*]:  $EX! x. x = t \text{ } EX! x. t = x$   
**by** *blast+*

**lemma** *choice-eq*:  $(ALL x. EX! y. P x y) = (EX! f. ALL x. P x (f x))$   
**apply** (*rule iffI*)  
**apply** (*rule-tac*  $a = \%x. THE y. P x y$  **in** *ex1I*)  
**apply** (*fast dest!*: *theI'*)  
**apply** (*fast intro: ext the1-equality* [*symmetric*])  
**apply** (*erule ex1E*)  
**apply** (*rule allI*)  
**apply** (*rule ex1I*)  
**apply** (*erule spec*)  
**apply** (*erule-tac*  $x = \%z. \text{if } z = x \text{ then } y \text{ else } f z$  **in** *allE*)  
**apply** (*erule impE*)  
**apply** (*rule allI*)  
**apply** (*rule-tac*  $P = xa = x$  **in** *case-split-thm*)  
**apply** (*erule-tac* [ $\beta$ ]  $x = x$  **in** *fun-cong, simp-all*)  
**done**

**lemma** *mk-left-commute*:  
**fixes**  $f$  (**infix**  $\otimes$  60)  
**assumes**  $a: \bigwedge x y z. (x \otimes y) \otimes z = x \otimes (y \otimes z)$  **and**  
 $c: \bigwedge x y. x \otimes y = y \otimes x$   
**shows**  $x \otimes (y \otimes z) = y \otimes (x \otimes z)$   
**by** (*rule trans* [*OF trans* [*OF c a*] *arg-cong* [*OF c, of f y*]])

**lemmas** *eq-sym-conv* = *eq-commute*

**lemma** *nnf-simps*:  
 $(\neg(P \wedge Q)) = (\neg P \vee \neg Q) \quad (\neg(P \vee Q)) = (\neg P \wedge \neg Q) \quad (P \longrightarrow Q) = (\neg P \vee Q)$   
 $(P = Q) = ((P \wedge Q) \vee (\neg P \wedge \neg Q)) \quad (\neg(P = Q)) = ((P \wedge \neg Q) \vee (\neg P \wedge Q))$   
 $(\neg \neg(P)) = P$   
**by** *blast+*

## 1.5 Basic ML bindings

**ML**  $\langle\langle$   
 $val \text{ FalseE} = @\{thm \text{ FalseE}\}$   
 $val \text{ Let-def} = @\{thm \text{ Let-def}\}$   
 $val \text{ TrueI} = @\{thm \text{ TrueI}\}$   
 $val \text{ allE} = @\{thm \text{ allE}\}$   
 $val \text{ allI} = @\{thm \text{ allI}\}$   
 $val \text{ all-dupE} = @\{thm \text{ all-dupE}\}$   
 $val \text{ arg-cong} = @\{thm \text{ arg-cong}\}$   
 $val \text{ box-equals} = @\{thm \text{ box-equals}\}$

```

val ccontr = @{thm ccontr}
val classical = @{thm classical}
val conjE = @{thm conjE}
val conjI = @{thm conjI}
val conjunct1 = @{thm conjunct1}
val conjunct2 = @{thm conjunct2}
val disjCI = @{thm disjCI}
val disjE = @{thm disjE}
val disjI1 = @{thm disjI1}
val disjI2 = @{thm disjI2}
val eq-reflection = @{thm eq-reflection}
val ex1E = @{thm ex1E}
val ex1I = @{thm ex1I}
val ex1-implies-ex = @{thm ex1-implies-ex}
val exE = @{thm exE}
val exI = @{thm exI}
val excluded-middle = @{thm excluded-middle}
val ext = @{thm ext}
val fun-cong = @{thm fun-cong}
val iffD1 = @{thm iffD1}
val iffD2 = @{thm iffD2}
val iffI = @{thm iffI}
val impE = @{thm impE}
val impI = @{thm impI}
val meta-eq-to-obj-eq = @{thm meta-eq-to-obj-eq}
val mp = @{thm mp}
val notE = @{thm notE}
val notI = @{thm notI}
val not-all = @{thm not-all}
val not-ex = @{thm not-ex}
val not-iff = @{thm not-iff}
val not-not = @{thm not-not}
val not-sym = @{thm not-sym}
val refl = @{thm refl}
val rev-mp = @{thm rev-mp}
val spec = @{thm spec}
val ssubst = @{thm ssubst}
val subst = @{thm subst}
val sym = @{thm sym}
val trans = @{thm trans}
>>

```

## 1.6 Code generator basic setup – see further *Code-Setup.thy*

**code-datatype** *Trueprop prop*

**code-datatype** *TYPE('a::{})*

**lemma** *Let-case-cert:*

```

assumes CASE  $\equiv (\lambda x. \text{Let } x \text{ } f)$ 
shows CASE  $x \equiv f \text{ } x$ 
using assms by simp-all

lemma If-case-cert:
includes meta-conjunction-syntax
assumes CASE  $\equiv (\lambda b. \text{If } b \text{ } f \text{ } g)$ 
shows (CASE True  $\equiv f$ ) && (CASE False  $\equiv g$ )
using assms by simp-all

setup <<
  Code.add-case @{thm Let-case-cert}
  #> Code.add-case @{thm If-case-cert}
  #> Code.add-undefined @{const-name undefined}
>>

class eq = type +
  fixes eq :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool
  assumes eq: eq  $x \text{ } y \iff x = y$ 
begin

lemma equals-eq [code inline, code func]: op =  $\equiv$  eq
  by (rule eq-reflection) (rule ext, rule ext, rule sym, rule eq)

declare equals-eq [symmetric, code post]

end

hide (open) const eq
hide const eq

setup <<
  CodeUnit.add-const-alias @{thm equals-eq}
  #> CodeName.setup
  #> CodeTarget.setup
  #> Nbe.setup
>>

lemma [code func]:
shows False  $\wedge x \iff \text{False}$ 
  and True  $\wedge x \iff x$ 
  and  $x \wedge \text{False} \iff \text{False}$ 
  and  $x \wedge \text{True} \iff x$  by simp-all

lemma [code func]:
shows False  $\vee x \iff x$ 
  and True  $\vee x \iff \text{True}$ 
  and  $x \vee \text{False} \iff x$ 
  and  $x \vee \text{True} \iff \text{True}$  by simp-all

```



```

lemma [code func]:
  shows  $\neg \text{True} \longleftrightarrow \text{False}$ 
  and  $\neg \text{False} \longleftrightarrow \text{True}$  by (rule HOL.simp-thms)+

```

## 1.7 Legacy tactics and ML bindings

```

ML <<
fun strip-tac i = REPEAT (resolve-tac [impI, allI] i);

(* combination of (spec RS spec RS ...(j times) ... spec RS mp) *)
local
  fun wrong-prem (Const (All, -) $ (Abs (-, -, t))) = wrong-prem t
    | wrong-prem (Bound -) = true
    | wrong-prem - = false;
  val filter-right = filter (not o wrong-prem o HOLogic.dest-Trueprop o hd o Thm.premsof);
in
  fun smp i = funpow i (fn m => filter-right ([spec] RL m)) ([mp]);
  fun smp-tac j = EVERY'[dresolve-tac (smp j), atac];
end;

val all-conj-distrib = thm all-conj-distrib;
val all-simps = thms all-simps;
val atomize-not = thm atomize-not;
val case-split = thm case-split;
val case-split-thm = thm case-split-thm;
val cases-simp = thm cases-simp;
val choice-eq = thm choice-eq;
val cong = thm cong;
val conj-comms = thms conj-comms;
val conj-cong = thm conj-cong;
val de-Morgan-conj = thm de-Morgan-conj;
val de-Morgan-disj = thm de-Morgan-disj;
val disj-assoc = thm disj-assoc;
val disj-comms = thms disj-comms;
val disj-cong = thm disj-cong;
val eq-ac = thms eq-ac;
val eq-cong2 = thm eq-cong2;
val Eq-FalseI = thm Eq-FalseI;
val Eq-TrueI = thm Eq-TrueI;
val Ex1-def = thm Ex1-def;
val ex-disj-distrib = thm ex-disj-distrib;
val ex-simps = thms ex-simps;
val if-cancel = thm if-cancel;
val if-eq-cancel = thm if-eq-cancel;
val if-False = thm if-False;
val iff-conv-conj-imp = thm iff-conv-conj-imp;
val iff = thm iff;
val if-splits = thms if-splits;

```

```

val if-True = thm if-True;
val if-weak-cong = thm if-weak-cong
val imp-all = thm imp-all;
val imp-cong = thm imp-cong;
val imp-conjL = thm imp-conjL;
val imp-conjR = thm imp-conjR;
val imp-conv-disj = thm imp-conv-disj;
val simp-implies-def = thm simp-implies-def;
val simp-thms = thms simp-thms;
val split-if = thm split-if;
val the1-equality = thm the1-equality
val theI = thm theI
val theI' = thm theI'
val True-implies-equals = thm True-implies-equals;
val nnf-conv = Simplifier.rewrite (HOL-basic-ss addsimps simp-thms @ @{thms
nnf-simps})

>>

end

```

## 2 Code-Setup: Setup of code generators and derived tools

```

theory Code-Setup
imports HOL
uses ~~/src/HOL/Tools/recfun-codegen.ML
begin

```

### 2.1 SML code generator setup

```

setup RecfunCodegen.setup

types-code
  bool (bool)
attach (term-of) <<
  fun term-of-bool b = if b then HOLogic.true-const else HOLogic.false-const;
  >>
attach (test) <<
  fun gen-bool i =
    let val b = one-of [false, true]
    in (b, fn () => term-of-bool b) end;
  >>
  prop (bool)
attach (term-of) <<
  fun term-of-prop b =
    HOLogic.mk-Trueprop (if b then HOLogic.true-const else HOLogic.false-const);

```

»

### consts-code

```

Trueprop ((-))
True      (true)
False     (false)
Not       (Bool.not)
op |      ((- orelse/ -))
op &      ((- andalso/ -))
If        ((if -/ then -/ else -))

```

### setup «

let

```

fun eq-codegen thy defs gr dep thynome b t =
  (case strip-comb t of
    (Const (op =, Type (-, [Type (fun, -), -])), -) => NONE
  | (Const (op =, -), [t, u]) =>
    let
      val (gr', pt) = Codegen.invoke-codegen thy defs dep thynome false (gr,
t);
      val (gr'', pu) = Codegen.invoke-codegen thy defs dep thynome false (gr',
u);
      val (gr''', -) = Codegen.invoke-tycodegen thy defs dep thynome false (gr'',
HOLogic.boolT)
    in
      SOME (gr''', Codegen.parens
        (Pretty.block [pt, Codegen.str =, Pretty.brk 1, pu]))
    end
  | (t as Const (op =, -), ts) => SOME (Codegen.invoke-codegen
    thy defs dep thynome b (gr, Codegen.eta-expand t ts 2))
  | - => NONE);

in
  Codegen.add-codegen eq-codegen eq-codegen
end
»

```

**quickcheck-params** [size = 5, iterations = 50]

Evaluation

```

method-setup evaluation = «
  Method.no-args (Method.SIMPLE-METHOD' (CONVERSION Codegen.evaluation-conv
THEN' rtac TrueI))
» solve goal by evaluation

```

## 2.2 Generic code generator setup

using built-in Haskell equality

```

code-class eq
  (Haskell Eq where op =  $\equiv$  (==))

code-const op =
  (Haskell infixl 4 ==)

type bool

lemmas [code func, code unfold, code post] = imp-conv-disj

code-type bool
  (SML bool)
  (OCaml bool)
  (Haskell Bool)

code-const True and False and Not and op & and op | and If
  (SML true and false and not
    and infixl 1 andalso and infixl 0 orelse
    and !(if (-)/ then (-)/ else (-)))
  (OCaml true and false and not
    and infixl 4 && and infixl 2 ||
    and !(if (-)/ then (-)/ else (-)))
  (Haskell True and False and not
    and infixl 3 && and infixl 2 ||
    and !(if (-)/ then (-)/ else (-)))

code-reserved SML
  bool true false not

code-reserved OCaml
  bool not

code generation for undefined as exception

code-const undefined
  (SML raise/ Fail/ undefined)
  (OCaml failwith/ undefined)
  (Haskell error/ undefined)

Let and If

lemmas [code func] = Let-def if-True if-False

```

## 2.3 Evaluation oracle

```

oracle eval-oracle (term) =  $\langle\langle$  fn thy => fn t =>
  if CodePackage.satisfies thy (HOLogic.dest-Trueprop t) []
  then t
  else HOLogic.Trueprop $ HOLogic.true-const (*dummy*)
 $\rangle\rangle$ 

method-setup eval =  $\langle\langle$ 

```

```

let
  fun eval-tac thy =
    SUBGOAL (fn (t, i) => rtac (eval-oracle thy t) i)
in
  Method.ctx-args (fn ctx =>
    Method.SIMPLE-METHOD' (eval-tac (ProofContext.theory-of ctx)))
end
>> solve goal by evaluation

```

## 2.4 Normalization by evaluation

```

method-setup normalization = <<
  Method.no-args (Method.SIMPLE-METHOD'
    (CONVERSION (ObjectLogic.judgment-conv Nbe.norm-conv)
      THEN' (fn k => TRY (rtac TrueI k))
    ))
>> solve goal by normalization

end

```

## 3 Orderings: Abstract orderings

```

theory Orderings
imports Code-Setup
uses
  ~/src/Provers/order.ML
begin

```

### 3.1 Partial orders

```

class order = ord +
  assumes less-le:  $x < y \longleftrightarrow x \leq y \wedge x \neq y$ 
  and order-refl [iff]:  $x \leq x$ 
  and order-trans:  $x \leq y \implies y \leq z \implies x \leq z$ 
  assumes antisym:  $x \leq y \implies y \leq x \implies x = y$ 
begin

```

Reflexivity.

```

lemma eq-refl:  $x = y \implies x \leq y$ 
  — This form is useful with the classical reasoner.
by (erule ssubst) (rule order-refl)

```

```

lemma less-irrefl [iff]:  $\neg x < x$ 
by (simp add: less-le)

```

```

lemma le-less:  $x \leq y \longleftrightarrow x < y \vee x = y$ 
  — NOT suitable for iff, since it can cause PROOF FAILED.
by (simp add: less-le) blast

```

**lemma** *le-imp-less-or-eq*:  $x \leq y \implies x < y \vee x = y$   
**unfolding** *less-le* **by** *blast*

**lemma** *less-imp-le*:  $x < y \implies x \leq y$   
**unfolding** *less-le* **by** *blast*

Useful for simplification, but too risky to include by default.

**lemma** *less-imp-not-eq*:  $x < y \implies (x = y) \longleftrightarrow \text{False}$   
**by** *auto*

**lemma** *less-imp-not-eq2*:  $x < y \implies (y = x) \longleftrightarrow \text{False}$   
**by** *auto*

Transitivity rules for calculational reasoning

**lemma** *neq-le-trans*:  $a \neq b \implies a \leq b \implies a < b$   
**by** (*simp add: less-le*)

**lemma** *le-neq-trans*:  $a \leq b \implies a \neq b \implies a < b$   
**by** (*simp add: less-le*)

Asymmetry.

**lemma** *less-not-sym*:  $x < y \implies \neg (y < x)$   
**by** (*simp add: less-le antisym*)

**lemma** *less-asy*:  $x < y \implies (\neg P \implies y < x) \implies P$   
**by** (*drule less-not-sym, erule contrapos-np*) *simp*

**lemma** *eq-iff*:  $x = y \longleftrightarrow x \leq y \wedge y \leq x$   
**by** (*blast intro: antisym*)

**lemma** *antisym-conv*:  $y \leq x \implies x \leq y \longleftrightarrow x = y$   
**by** (*blast intro: antisym*)

**lemma** *less-imp-neq*:  $x < y \implies x \neq y$   
**by** (*erule contrapos-pn, erule subst, rule less-irrefl*)

Transitivity.

**lemma** *less-trans*:  $x < y \implies y < z \implies x < z$   
**by** (*simp add: less-le*) (*blast intro: order-trans antisym*)

**lemma** *le-less-trans*:  $x \leq y \implies y < z \implies x < z$   
**by** (*simp add: less-le*) (*blast intro: order-trans antisym*)

**lemma** *less-le-trans*:  $x < y \implies y \leq z \implies x < z$   
**by** (*simp add: less-le*) (*blast intro: order-trans antisym*)

Useful for simplification, but too risky to include by default.

**lemma** *less-imp-not-less*:  $x < y \implies (\neg y < x) \longleftrightarrow \text{True}$   
**by** (*blast elim: less-asm*)

**lemma** *less-imp-triv*:  $x < y \implies (y < x \longrightarrow P) \longleftrightarrow \text{True}$   
**by** (*blast elim: less-asm*)

Transitivity rules for calculational reasoning

**lemma** *less-asm'*:  $a < b \implies b < a \implies P$   
**by** (*rule less-asm*)

Dual order

**lemma** *dual-order*:  
*order* (*op*  $\geq$ ) (*op*  $>$ )  
**by** *unfold-locales*  
*(simp add: less-le, auto intro: antisym order-trans)*

**end**

### 3.2 Linear (total) orders

**class** *linorder* = *order* +  
*assumes* *linear*:  $x \leq y \vee y \leq x$   
**begin**

**lemma** *less-linear*:  $x < y \vee x = y \vee y < x$   
**unfolding** *less-le* **using** *less-le linear* **by** *blast*

**lemma** *le-less-linear*:  $x \leq y \vee y < x$   
**by** (*simp add: le-less less-linear*)

**lemma** *le-cases* [*case-names le ge*]:  
 $(x \leq y \implies P) \implies (y \leq x \implies P) \implies P$   
**using** *linear* **by** *blast*

**lemma** *linorder-cases* [*case-names less equal greater*]:  
 $(x < y \implies P) \implies (x = y \implies P) \implies (y < x \implies P) \implies P$   
**using** *less-linear* **by** *blast*

**lemma** *not-less*:  $\neg x < y \longleftrightarrow y \leq x$   
**apply** (*simp add: less-le*)  
**using** *linear* **apply** (*blast intro: antisym*)  
**done**

**lemma** *not-less-iff-gr-or-eq*:  
 $\neg(x < y) \longleftrightarrow (x > y \mid x = y)$   
**apply** (*simp add: not-less le-less*)  
**apply** *blast*  
**done**

**lemma** *not-le*:  $\neg x \leq y \longleftrightarrow y < x$   
**apply** (*simp add: less-le*)  
**using** *linear* **apply** (*blast intro: antisym*)  
**done**

**lemma** *neq-iff*:  $x \neq y \longleftrightarrow x < y \vee y < x$   
**by** (*cut-tac x = x and y = y in less-linear, auto*)

**lemma** *neqE*:  $x \neq y \Longrightarrow (x < y \Longrightarrow R) \Longrightarrow (y < x \Longrightarrow R) \Longrightarrow R$   
**by** (*simp add: neq-iff*) *blast*

**lemma** *antisym-conv1*:  $\neg x < y \Longrightarrow x \leq y \longleftrightarrow x = y$   
**by** (*blast intro: antisym dest: not-less [THEN iffD1]*)

**lemma** *antisym-conv2*:  $x \leq y \Longrightarrow \neg x < y \longleftrightarrow x = y$   
**by** (*blast intro: antisym dest: not-less [THEN iffD1]*)

**lemma** *antisym-conv3*:  $\neg y < x \Longrightarrow \neg x < y \longleftrightarrow x = y$   
**by** (*blast intro: antisym dest: not-less [THEN iffD1]*)

Replacing the old *Nat.leI*

**lemma** *leI*:  $\neg x < y \Longrightarrow y \leq x$   
**unfolding** *not-less* .

**lemma** *leD*:  $y \leq x \Longrightarrow \neg x < y$   
**unfolding** *not-less* .

**lemma** *not-leE*:  $\neg y \leq x \Longrightarrow x < y$   
**unfolding** *not-le* .

Dual order

**lemma** *dual-linorder*:  
*linorder* (*op*  $\geq$ ) (*op*  $>$ )  
**by** *unfold-locales*  
(*simp add: less-le, auto intro: antisym order-trans simp add: linear*)

min/max

for historic reasons, definitions are done in context *ord*

**definition** (*in ord*)  
*min* :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a **where**  
[*code unfold, code inline del*]: *min* a b = (*if* a  $\leq$  b *then* a *else* b)

**definition** (*in ord*)  
*max* :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a **where**  
[*code unfold, code inline del*]: *max* a b = (*if* a  $\leq$  b *then* b *else* a)

**lemma** *min-le-iff-disj*:



$\min x y \leq z \iff x \leq z \vee y \leq z$   
**unfolding** *min-def* **using** *linear* **by** (*auto intro: order-trans*)

**lemma** *le-max-iff-disj*:  
 $z \leq \max x y \iff z \leq x \vee z \leq y$   
**unfolding** *max-def* **using** *linear* **by** (*auto intro: order-trans*)

**lemma** *min-less-iff-disj*:  
 $\min x y < z \iff x < z \vee y < z$   
**unfolding** *min-def le-less* **using** *less-linear* **by** (*auto intro: less-trans*)

**lemma** *less-max-iff-disj*:  
 $z < \max x y \iff z < x \vee z < y$   
**unfolding** *max-def le-less* **using** *less-linear* **by** (*auto intro: less-trans*)

**lemma** *min-less-iff-conj* [*simp*]:  
 $z < \min x y \iff z < x \wedge z < y$   
**unfolding** *min-def le-less* **using** *less-linear* **by** (*auto intro: less-trans*)

**lemma** *max-less-iff-conj* [*simp*]:  
 $\max x y < z \iff x < z \wedge y < z$   
**unfolding** *max-def le-less* **using** *less-linear* **by** (*auto intro: less-trans*)

**lemma** *split-min* [*noatp*]:  
 $P (\min i j) \iff (i \leq j \longrightarrow P i) \wedge (\neg i \leq j \longrightarrow P j)$   
**by** (*simp add: min-def*)

**lemma** *split-max* [*noatp*]:  
 $P (\max i j) \iff (i \leq j \longrightarrow P j) \wedge (\neg i \leq j \longrightarrow P i)$   
**by** (*simp add: max-def*)

**end**

### 3.3 Reasoning tools setup

**ML**  $\ll$

*signature* *ORDERS* =  
*sig*  
  *val* *print-structures*: *Proof.context*  $\rightarrow$  *unit*  
  *val* *setup*: *theory*  $\rightarrow$  *theory*  
  *val* *order-tac*: *thm list*  $\rightarrow$  *Proof.context*  $\rightarrow$  *int*  $\rightarrow$  *tactic*  
*end*;

*structure* *Orders*: *ORDERS* =  
*struct*

(\*\* *Theory and context data* \*\*)

```

fun struct-eq ((s1: string, ts1), (s2, ts2)) =
  (s1 = s2) andalso eq-list (op aconv) (ts1, ts2);

structure Data = GenericDataFun
(
  type T = ((string * term list) * Order-Tac.less-arith) list;
  (* Order structures:
    identifier of the structure, list of operations and record of theorems
    needed to set up the transitivity reasoner,
    identifier and operations identify the structure uniquely. *)
  val empty = [];
  val extend = I;
  fun merge - = AList.join struct-eq (K fst);
);

fun print-structures ctxt =
  let
    val structs = Data.get (Context.Proof ctxt);
    fun pretty-term t = Pretty.block
      [Pretty.quote (Syntax.pretty-term ctxt t), Pretty.brk 1,
       Pretty.str ::, Pretty.brk 1,
       Pretty.quote (Syntax.pretty-typ ctxt (type-of t))];
    fun pretty-struct ((s, ts), _) = Pretty.block
      [Pretty.str s, Pretty.str ::, Pretty.brk 1,
       Pretty.enclose ( ) (Pretty.breaks (map pretty-term ts))];
  in
    Pretty.writeln (Pretty.big-list Order structures: (map pretty-struct structs))
  end;

(** Method **)

fun struct-tac ((s, [eq, le, less]), thms) prems =
  let
    fun decomp thy (Trueprop $ t) =
      let
        fun excluded t =
          (* exclude numeric types: linear arithmetic subsumes transitivity *)
          let val T = type-of t
            in
              T = HOLogic.natT orelse T = HOLogic.intT orelse T = HOLogic.realT
            end;
        fun rel (bin-op $ t1 $ t2) =
          if excluded t1 then NONE
          else if Pattern.matches thy (eq, bin-op) then SOME (t1, =, t2)
          else if Pattern.matches thy (le, bin-op) then SOME (t1, <=, t2)
          else if Pattern.matches thy (less, bin-op) then SOME (t1, <, t2)
          else NONE
        | rel - = NONE;
      in
        decomp thy (Trueprop $ t)
      end;
  in
    decomp thy (Trueprop $ t)
  end;

```

```

    fun dec (Const (@{const-name Not}, -) $ t) = (case rel t
      of NONE => NONE
       | SOME (t1, rel, t2) => SOME (t1, ~ ^ rel, t2))
    | dec x = rel x;
  in dec t end;
in
  case s of
    order => Order-Tac.partial-tac decomp thms prems
  | linorder => Order-Tac.linear-tac decomp thms prems
  | - => error (Unknown kind of order ' ^ s ^ ' encountered in transitivity
reasoner.)
  end

fun order-tac prems ctxt =
  FIRST' (map (fn s => CHANGED o struct-tac s prems) (Data.get (Context.Proof
ctxt)));

(** Attribute **)

fun add-struct-thm s tag =
  Thm.declaration-attribute
    (fn thm => Data.map (AList.map-default struct-eq (s, Order-Tac.empty TrueI)
(Order-Tac.update tag thm)));
fun del-struct s =
  Thm.declaration-attribute
    (fn - => Data.map (AList.delete struct-eq s));

val attribute = Attrib.syntax
  (Scan.lift ((Args.add -- Args.name >> (fn (-, s) => SOME s) ||
    Args.del >> K NONE) --| Args.colon (* FIXME ||
    Scan.succeed true *) ) -- Scan.lift Args.name --
    Scan.repeat Args.term
  >> (fn ((SOME tag, n), ts) => add-struct-thm (n, ts) tag
    | ((NONE, n), ts) => del-struct (n, ts)));

(** Diagnostic command **)

val print = Toplevel.unknown-context o
  Toplevel.keep (Toplevel.node-case
    (Context.cases (print-structures o ProofContext.init) print-structures)
    (print-structures o Proof.context-of));

val - =
  OuterSyntax.improper-command print-orders
    print order structures available to transitivity reasoner OuterKeyword.diag
    (Scan.succeed (Toplevel.no-timing o print));

```

```

(** Setup **)

val setup =
  Method.add-methods
    [(order, Method.ctx-args (Method.SIMPLE-METHOD' o order-tac []), transi-
      tivity reasoner)] #>
    Attrib.add-attributes [(order, attribute, theorems controlling transitivity reasoner)];

end;

>>

setup Orders.setup

Declarations to set up transitivity reasoner of partial and linear orders.

context order
begin

lemmas
  [order add less-reflE: order op = :: 'a => 'a => bool op <= op <] =
  less-irrefl [THEN notE]
lemmas
  [order add le-refl: order op = :: 'a => 'a => bool op <= op <] =
  order-refl
lemmas
  [order add less-imp-le: order op = :: 'a => 'a => bool op <= op <] =
  less-imp-le
lemmas
  [order add eqI: order op = :: 'a => 'a => bool op <= op <] =
  antisym
lemmas
  [order add eqD1: order op = :: 'a => 'a => bool op <= op <] =
  eq-refl
lemmas
  [order add eqD2: order op = :: 'a => 'a => bool op <= op <] =
  sym [THEN eq-refl]
lemmas
  [order add less-trans: order op = :: 'a => 'a => bool op <= op <] =
  less-trans
lemmas
  [order add less-le-trans: order op = :: 'a => 'a => bool op <= op <] =
  less-le-trans
lemmas
  [order add le-less-trans: order op = :: 'a => 'a => bool op <= op <] =
  le-less-trans
lemmas

```

```

[order add le-trans: order op = :: 'a => 'a => bool op <= op <] =
  order-trans
lemmas
[order add le-neq-trans: order op = :: 'a => 'a => bool op <= op <] =
  le-neq-trans
lemmas
[order add neq-le-trans: order op = :: 'a => 'a => bool op <= op <] =
  neq-le-trans
lemmas
[order add less-imp-neq: order op = :: 'a => 'a => bool op <= op <] =
  less-imp-neq
lemmas
[order add eq-neq-eq-imp-neq: order op = :: 'a => 'a => bool op <= op <] =
  eq-neq-eq-imp-neq
lemmas
[order add not-sym: order op = :: 'a => 'a => bool op <= op <] =
  not-sym

end

context linorder
begin

lemmas
[order del: order op = :: 'a => 'a => bool op <= op <] = -

lemmas
[order add less-reflE: linorder op = :: 'a => 'a => bool op <= op <] =
  less-irrefl [THEN notE]
lemmas
[order add le-refl: linorder op = :: 'a => 'a => bool op <= op <] =
  order-refl
lemmas
[order add less-imp-le: linorder op = :: 'a => 'a => bool op <= op <] =
  less-imp-le
lemmas
[order add not-lessI: linorder op = :: 'a => 'a => bool op <= op <] =
  not-less [THEN iffD2]
lemmas
[order add not-leI: linorder op = :: 'a => 'a => bool op <= op <] =
  not-le [THEN iffD2]
lemmas
[order add not-lessD: linorder op = :: 'a => 'a => bool op <= op <] =
  not-less [THEN iffD1]
lemmas
[order add not-leD: linorder op = :: 'a => 'a => bool op <= op <] =
  not-le [THEN iffD1]
lemmas
[order add eqI: linorder op = :: 'a => 'a => bool op <= op <] =

```

*antisym*

**lemmas**

[*order add eqD1: linorder op = :: 'a => 'a => bool op <= op <*] =  
*eq-refl*

**lemmas**

[*order add eqD2: linorder op = :: 'a => 'a => bool op <= op <*] =  
*sym [THEN eq-refl]*

**lemmas**

[*order add less-trans: linorder op = :: 'a => 'a => bool op <= op <*] =  
*less-trans*

**lemmas**

[*order add less-le-trans: linorder op = :: 'a => 'a => bool op <= op <*] =  
*less-le-trans*

**lemmas**

[*order add le-less-trans: linorder op = :: 'a => 'a => bool op <= op <*] =  
*le-less-trans*

**lemmas**

[*order add le-trans: linorder op = :: 'a => 'a => bool op <= op <*] =  
*order-trans*

**lemmas**

[*order add le-neq-trans: linorder op = :: 'a => 'a => bool op <= op <*] =  
*le-neq-trans*

**lemmas**

[*order add neq-le-trans: linorder op = :: 'a => 'a => bool op <= op <*] =  
*neq-le-trans*

**lemmas**

[*order add less-imp-neq: linorder op = :: 'a => 'a => bool op <= op <*] =  
*less-imp-neq*

**lemmas**

[*order add eq-neq-eq-imp-neq: linorder op = :: 'a => 'a => bool op <= op <*] =  
*eq-neq-eq-imp-neq*

**lemmas**

[*order add not-sym: linorder op = :: 'a => 'a => bool op <= op <*] =  
*not-sym*

**end**

**setup** <<

*let*

*fun prp t thm = (#prop (rep-thm thm) = t);*

*fun prove-antisym-le sg ss ((le as Const(·, T)) \$ r \$ s) =*

*let val prems = prems-of-ss ss;*

*val less = Const (@{const-name less}, T);*

*val t = HOLogic.mk-Trueprop(le \$ s \$ r);*

*in case find-first (prp t) prems of*

*NONE =>*

```

    let val t = HLogic.mk-Trueprop(HLogic.Not $ (less $ r $ s))
    in case find-first (prp t) prems of
        NONE => NONE
      | SOME thm => SOME(mk-meta-eq(thm RS @{thm linorder-class.antisym-conv1}))
    end
  | SOME thm => SOME(mk-meta-eq(thm RS @{thm order-class.antisym-conv}))
end
handle THM - => NONE;

fun prove-antisym-less sg ss (NotC $ ((less as Const(-,T)) $ r $ s)) =
  let val prems = prems-of-ss ss;
      val le = Const (@{const-name less-eq}, T);
      val t = HLogic.mk-Trueprop(le $ r $ s);
  in case find-first (prp t) prems of
      NONE =>
        let val t = HLogic.mk-Trueprop(NotC $ (less $ s $ r))
        in case find-first (prp t) prems of
            NONE => NONE
          | SOME thm => SOME(mk-meta-eq(thm RS @{thm linorder-class.antisym-conv3}))
        end
      | SOME thm => SOME(mk-meta-eq(thm RS @{thm linorder-class.antisym-conv2}))
    end
  handle THM - => NONE;

fun add-simprocs procs thy =
  Simplifier.map-simpset (fn ss => ss
    addsimprocs (map (fn (name, raw-ts, proc) =>
      Simplifier.simproc thy name raw-ts proc) procs)) thy;
fun add-solver name tac =
  Simplifier.map-simpset (fn ss => ss addSolver
    mk-solver' name (fn ss => tac (Simplifier.prems-of-ss ss) (Simplifier.the-context
ss)));

in
  add-simprocs [
    (antisym le, [(x::'a::order) <= y], prove-antisym-le),
    (antisym less, [~ (x::'a::linorder) < y], prove-antisym-less)
  ]
#> add-solver Transitivity Orders.order-tac
(* Adding the transitivity reasoners also as safe solvers showed a slight
speed up, but the reasoning strength appears to be not higher (at least
no breaking of additional proofs in the entire HOL distribution, as
of 5 March 2004, was observed). *)
end
>>

```

### 3.4 Name duplicates

lemmas order-less-le = less-le

```

lemmas order-eq-refl = order-class.eq-refl
lemmas order-less-irrefl = order-class.less-irrefl
lemmas order-le-less = order-class.le-less
lemmas order-le-imp-less-or-eq = order-class.le-imp-less-or-eq
lemmas order-less-imp-le = order-class.less-imp-le
lemmas order-less-imp-not-eq = order-class.less-imp-not-eq
lemmas order-less-imp-not-eq2 = order-class.less-imp-not-eq2
lemmas order-neq-le-trans = order-class.neq-le-trans
lemmas order-le-neq-trans = order-class.le-neq-trans

```

```

lemmas order-antisym = antisym
lemmas order-less-not-sym = order-class.less-not-sym
lemmas order-less-asm = order-class.less-asm
lemmas order-eq-iff = order-class.eq-iff
lemmas order-antisym-conv = order-class.antisym-conv
lemmas order-less-trans = order-class.less-trans
lemmas order-le-less-trans = order-class.le-less-trans
lemmas order-less-le-trans = order-class.less-le-trans
lemmas order-less-imp-not-less = order-class.less-imp-not-less
lemmas order-less-imp-triv = order-class.less-imp-triv
lemmas order-less-asm' = order-class.less-asm'

```

```

lemmas linorder-linear = linear
lemmas linorder-less-linear = linorder-class.less-linear
lemmas linorder-le-less-linear = linorder-class.le-less-linear
lemmas linorder-le-cases = linorder-class.le-cases
lemmas linorder-not-less = linorder-class.not-less
lemmas linorder-not-le = linorder-class.not-le
lemmas linorder-neq-iff = linorder-class.neq-iff
lemmas linorder-neqE = linorder-class.neqE
lemmas linorder-antisym-conv1 = linorder-class.antisym-conv1
lemmas linorder-antisym-conv2 = linorder-class.antisym-conv2
lemmas linorder-antisym-conv3 = linorder-class.antisym-conv3

```

### 3.5 Bounded quantifiers

#### syntax

```

-All-less :: [idt, 'a, bool] => bool  (( $\exists ALL$  -<./ -) [0, 0, 10] 10)
-Ex-less :: [idt, 'a, bool] => bool  (( $\exists EX$  -<./ -) [0, 0, 10] 10)
-All-less-eq :: [idt, 'a, bool] => bool  (( $\exists ALL$  -<=./ -) [0, 0, 10] 10)
-Ex-less-eq :: [idt, 'a, bool] => bool  (( $\exists EX$  -<=./ -) [0, 0, 10] 10)

-All-greater :: [idt, 'a, bool] => bool  (( $\exists ALL$  ->./ -) [0, 0, 10] 10)
-Ex-greater :: [idt, 'a, bool] => bool  (( $\exists EX$  ->./ -) [0, 0, 10] 10)
-All-greater-eq :: [idt, 'a, bool] => bool  (( $\exists ALL$  ->=./ -) [0, 0, 10] 10)
-Ex-greater-eq :: [idt, 'a, bool] => bool  (( $\exists EX$  ->=./ -) [0, 0, 10] 10)

```

#### syntax (xsymbols)

```

-All-less :: [idt, 'a, bool] => bool  (( $\exists \forall$  -<./ -) [0, 0, 10] 10)

```



```

-Ex-less :: [idt, 'a, bool] => bool    (( $\exists \neg$  -<-./ -) [0, 0, 10] 10)
-All-less-eq :: [idt, 'a, bool] => bool  (( $\exists \forall$  -≤-./ -) [0, 0, 10] 10)
-Ex-less-eq :: [idt, 'a, bool] => bool   (( $\exists \exists$  -≤-./ -) [0, 0, 10] 10)

-All-greater :: [idt, 'a, bool] => bool  (( $\exists \forall$  ->-./ -) [0, 0, 10] 10)
-Ex-greater :: [idt, 'a, bool] => bool   (( $\exists \exists$  ->-./ -) [0, 0, 10] 10)
-All-greater-eq :: [idt, 'a, bool] => bool (( $\exists \forall$  -≥-./ -) [0, 0, 10] 10)
-Ex-greater-eq :: [idt, 'a, bool] => bool (( $\exists \exists$  -≥-./ -) [0, 0, 10] 10)

```

**syntax (HOL)**

```

-All-less :: [idt, 'a, bool] => bool    (( $\exists!$  -<-./ -) [0, 0, 10] 10)
-Ex-less :: [idt, 'a, bool] => bool     (( $\exists?$  -<-./ -) [0, 0, 10] 10)
-All-less-eq :: [idt, 'a, bool] => bool  (( $\exists!$  -<= -./ -) [0, 0, 10] 10)
-Ex-less-eq :: [idt, 'a, bool] => bool   (( $\exists?$  -<= -./ -) [0, 0, 10] 10)

```

**syntax (HTML output)**

```

-All-less :: [idt, 'a, bool] => bool    (( $\exists \forall$  -<-./ -) [0, 0, 10] 10)
-Ex-less :: [idt, 'a, bool] => bool     (( $\exists \exists$  -<-./ -) [0, 0, 10] 10)
-All-less-eq :: [idt, 'a, bool] => bool  (( $\exists \forall$  -≤-./ -) [0, 0, 10] 10)
-Ex-less-eq :: [idt, 'a, bool] => bool   (( $\exists \exists$  -≤-./ -) [0, 0, 10] 10)

-All-greater :: [idt, 'a, bool] => bool  (( $\exists \forall$  ->-./ -) [0, 0, 10] 10)
-Ex-greater :: [idt, 'a, bool] => bool   (( $\exists \exists$  ->-./ -) [0, 0, 10] 10)
-All-greater-eq :: [idt, 'a, bool] => bool (( $\exists \forall$  -≥-./ -) [0, 0, 10] 10)
-Ex-greater-eq :: [idt, 'a, bool] => bool (( $\exists \exists$  -≥-./ -) [0, 0, 10] 10)

```

**translations**

```

ALL x<y. P  =>  ALL x. x < y → P
EX x<y. P   =>  EX x. x < y ∧ P
ALL x<=y. P =>  ALL x. x <= y → P
EX x<=y. P  =>  EX x. x <= y ∧ P
ALL x>y. P  =>  ALL x. x > y → P
EX x>y. P   =>  EX x. x > y ∧ P
ALL x>=y. P =>  ALL x. x >= y → P
EX x>=y. P  =>  EX x. x >= y ∧ P

```

**print-translation**  $\ll$ 

let

```

val All-binder = Syntax.binder-name @{const-syntax All};
val Ex-binder  = Syntax.binder-name @{const-syntax Ex};
val impl = @{const-syntax op -->};
val conj  = @{const-syntax op &};
val less  = @{const-syntax less};
val less-eq = @{const-syntax less-eq};

```

val trans =

```

(((All-binder, impl, less), (-All-less, -All-greater)),
 ((All-binder, impl, less-eq), (-All-less-eq, -All-greater-eq)),
 ((Ex-binder, conj, less), (-Ex-less, -Ex-greater)),

```

```

((Ex-binder, conj, less-eq), (-Ex-less-eq, -Ex-greater-eq));

fun matches-bound v t =
  case t of (Const (-bound, -) $ Free (v', -)) => (v = v')
           | - => false
fun contains-var v = Term.exists-subterm (fn Free (x, -) => x = v | - => false)
fun mk v c n P = Syntax.const c $ Syntax.mark-bound v $ n $ P

fun tr' q = (q,
  fn [Const (-bound, -) $ Free (v, -), Const (c, -) $ (Const (d, -) $ t $ u) $ P]
=>
  (case AList.lookup (op =) trans (q, c, d) of
    NONE => raise Match
  | SOME (l, g) =>
    if matches-bound v t andalso not (contains-var v u) then mk v l u P
    else if matches-bound v u andalso not (contains-var v t) then mk v g t P
    else raise Match)
  | - => raise Match);
in [tr' All-binder, tr' Ex-binder] end
>>

```

### 3.6 Transitivity reasoning

**context** *ord*

**begin**

**lemma** *ord-le-eq-trans*:  $a \leq b \implies b = c \implies a \leq c$   
**by** (*rule subst*)

**lemma** *ord-eq-le-trans*:  $a = b \implies b \leq c \implies a \leq c$   
**by** (*rule ssubst*)

**lemma** *ord-less-eq-trans*:  $a < b \implies b = c \implies a < c$   
**by** (*rule subst*)

**lemma** *ord-eq-less-trans*:  $a = b \implies b < c \implies a < c$   
**by** (*rule ssubst*)

**end**

**lemma** *order-less-subst2*:  $(a::'a::order) < b \implies f\ b < (c::'c::order) \implies$   
 $(!!x\ y. x < y \implies f\ x < f\ y) \implies f\ a < c$

**proof** –

**assume**  $r$ :  $!!x\ y. x < y \implies f\ x < f\ y$   
**assume**  $a < b$  **hence**  $f\ a < f\ b$  **by** (*rule r*)  
**also assume**  $f\ b < c$   
**finally** (*order-less-trans*) **show** ?thesis .

**qed**

**lemma** *order-less-subst1*:  $(a::'a::order) < f\ b ==> (b::'b::order) < c ==>$   
 $(!!x\ y. x < y ==> f\ x < f\ y) ==> a < f\ c$

**proof** –

assume  $r: !!x\ y. x < y ==> f\ x < f\ y$

assume  $a < f\ b$

also assume  $b < c$  hence  $f\ b < f\ c$  by (rule  $r$ )

finally (order-less-trans) show ?thesis .

qed

**lemma** *order-le-less-subst2*:  $(a::'a::order) <= b ==> f\ b < (c::'c::order) ==>$   
 $(!!x\ y. x <= y ==> f\ x <= f\ y) ==> f\ a < c$

**proof** –

assume  $r: !!x\ y. x <= y ==> f\ x <= f\ y$

assume  $a <= b$  hence  $f\ a <= f\ b$  by (rule  $r$ )

also assume  $f\ b < c$

finally (order-le-less-trans) show ?thesis .

qed

**lemma** *order-le-less-subst1*:  $(a::'a::order) <= f\ b ==> (b::'b::order) < c ==>$   
 $(!!x\ y. x < y ==> f\ x < f\ y) ==> a < f\ c$

**proof** –

assume  $r: !!x\ y. x < y ==> f\ x < f\ y$

assume  $a <= f\ b$

also assume  $b < c$  hence  $f\ b < f\ c$  by (rule  $r$ )

finally (order-le-less-trans) show ?thesis .

qed

**lemma** *order-less-le-subst2*:  $(a::'a::order) < b ==> f\ b <= (c::'c::order) ==>$   
 $(!!x\ y. x < y ==> f\ x < f\ y) ==> f\ a < c$

**proof** –

assume  $r: !!x\ y. x < y ==> f\ x < f\ y$

assume  $a < b$  hence  $f\ a < f\ b$  by (rule  $r$ )

also assume  $f\ b <= c$

finally (order-less-le-trans) show ?thesis .

qed

**lemma** *order-less-le-subst1*:  $(a::'a::order) < f\ b ==> (b::'b::order) <= c ==>$   
 $(!!x\ y. x <= y ==> f\ x <= f\ y) ==> a < f\ c$

**proof** –

assume  $r: !!x\ y. x <= y ==> f\ x <= f\ y$

assume  $a < f\ b$

also assume  $b <= c$  hence  $f\ b <= f\ c$  by (rule  $r$ )

finally (order-less-le-trans) show ?thesis .

qed

**lemma** *order-subst1*:  $(a::'a::order) <= f\ b ==> (b::'b::order) <= c ==>$   
 $(!!x\ y. x <= y ==> f\ x <= f\ y) ==> a <= f\ c$

**proof** –

assume  $r: !!x\ y. x <= y ==> f\ x <= f\ y$

assume  $a \leq f b$   
 also assume  $b \leq c$  hence  $f b \leq f c$  by (rule  $r$ )  
 finally (order-trans) show ?thesis .  
 qed

**lemma** order-subst2:  $(a::'a::order) \leq b \implies f b \leq (c::'c::order) \implies$   
 $(!!x y. x \leq y \implies f x \leq f y) \implies f a \leq c$   
**proof** –  
 assume  $r: !!x y. x \leq y \implies f x \leq f y$   
 assume  $a \leq b$  hence  $f a \leq f b$  by (rule  $r$ )  
 also assume  $f b \leq c$   
 finally (order-trans) show ?thesis .  
 qed

**lemma** ord-le-eq-subst:  $a \leq b \implies f b = c \implies$   
 $(!!x y. x \leq y \implies f x \leq f y) \implies f a \leq c$   
**proof** –  
 assume  $r: !!x y. x \leq y \implies f x \leq f y$   
 assume  $a \leq b$  hence  $f a \leq f b$  by (rule  $r$ )  
 also assume  $f b = c$   
 finally (ord-le-eq-trans) show ?thesis .  
 qed

**lemma** ord-eq-le-subst:  $a = f b \implies b \leq c \implies$   
 $(!!x y. x \leq y \implies f x \leq f y) \implies a \leq f c$   
**proof** –  
 assume  $r: !!x y. x \leq y \implies f x \leq f y$   
 assume  $a = f b$   
 also assume  $b \leq c$  hence  $f b \leq f c$  by (rule  $r$ )  
 finally (ord-eq-le-trans) show ?thesis .  
 qed

**lemma** ord-less-eq-subst:  $a < b \implies f b = c \implies$   
 $(!!x y. x < y \implies f x < f y) \implies f a < c$   
**proof** –  
 assume  $r: !!x y. x < y \implies f x < f y$   
 assume  $a < b$  hence  $f a < f b$  by (rule  $r$ )  
 also assume  $f b = c$   
 finally (ord-less-eq-trans) show ?thesis .  
 qed

**lemma** ord-eq-less-subst:  $a = f b \implies b < c \implies$   
 $(!!x y. x < y \implies f x < f y) \implies a < f c$   
**proof** –  
 assume  $r: !!x y. x < y \implies f x < f y$   
 assume  $a = f b$   
 also assume  $b < c$  hence  $f b < f c$  by (rule  $r$ )  
 finally (ord-eq-less-trans) show ?thesis .  
 qed

Note that this list of rules is in reverse order of priorities.

**lemmas** *order-trans-rules* [*trans*] =

*order-less-subst2*  
*order-less-subst1*  
*order-le-less-subst2*  
*order-le-less-subst1*  
*order-less-le-subst2*  
*order-less-le-subst1*  
*order-subst2*  
*order-subst1*  
*ord-le-eq-subst*  
*ord-eq-le-subst*  
*ord-less-eq-subst*  
*ord-eq-less-subst*  
*forw-subst*  
*back-subst*  
*rev-mp*  
*mp*  
*order-neq-le-trans*  
*order-le-neq-trans*  
*order-less-trans*  
*order-less-asymp'*  
*order-le-less-trans*  
*order-less-le-trans*  
*order-trans*  
*order-antisym*  
*ord-le-eq-trans*  
*ord-eq-le-trans*  
*ord-less-eq-trans*  
*ord-eq-less-trans*  
*trans*

These support proving chains of decreasing inequalities  $a \leq b \leq c \dots$  in Isar proofs.

**lemma** *xt1*:

$a = b \implies b > c \implies a > c$   
 $a > b \implies b = c \implies a > c$   
 $a = b \implies b \geq c \implies a \geq c$   
 $a \geq b \implies b = c \implies a \geq c$   
 $(x::'a::order) \geq y \implies y \geq x \implies x = y$   
 $(x::'a::order) \geq y \implies y \geq z \implies x \geq z$   
 $(x::'a::order) > y \implies y \geq z \implies x > z$   
 $(x::'a::order) \geq y \implies y > z \implies x > z$   
 $(a::'a::order) > b \implies b > a \implies P$   
 $(x::'a::order) > y \implies y > z \implies x > z$   
 $(a::'a::order) \geq b \implies a \sim b \implies a > b$   
 $(a::'a::order) \sim b \implies a \geq b \implies a > b$   
 $a = f b \implies b > c \implies (!x y. x > y \implies f x > f y) \implies a > f c$   
 $a > b \implies f b = c \implies (!x y. x > y \implies f x > f y) \implies f a > c$

$a = f b \implies b \geq c \implies (!x y. x \geq y \implies f x \geq f y) \implies a \geq f c$   
 $a \geq b \implies f b = c \implies (!x y. x \geq y \implies f x \geq f y) \implies f a \geq c$   
**by** *auto*

**lemma** *xt2*:

$(a::'a::order) \geq f b \implies b \geq c \implies (!x y. x \geq y \implies f x \geq f y) \implies a \geq f c$   
**by** (*subgoal-tac*  $f b \geq f c$ , *force*, *force*)

**lemma** *xt3*:  $(a::'a::order) \geq b \implies (f b::'b::order) \geq c \implies$

$(!x y. x \geq y \implies f x \geq f y) \implies f a \geq c$

**by** (*subgoal-tac*  $f a \geq f b$ , *force*, *force*)

**lemma** *xt4*:  $(a::'a::order) > f b \implies (b::'b::order) \geq c \implies$

$(!x y. x \geq y \implies f x \geq f y) \implies a > f c$

**by** (*subgoal-tac*  $f b \geq f c$ , *force*, *force*)

**lemma** *xt5*:  $(a::'a::order) > b \implies (f b::'b::order) \geq c \implies$

$(!x y. x > y \implies f x > f y) \implies f a > c$

**by** (*subgoal-tac*  $f a > f b$ , *force*, *force*)

**lemma** *xt6*:  $(a::'a::order) \geq f b \implies b > c \implies$

$(!x y. x > y \implies f x > f y) \implies a > f c$

**by** (*subgoal-tac*  $f b > f c$ , *force*, *force*)

**lemma** *xt7*:  $(a::'a::order) \geq b \implies (f b::'b::order) > c \implies$

$(!x y. x \geq y \implies f x \geq f y) \implies f a > c$

**by** (*subgoal-tac*  $f a \geq f b$ , *force*, *force*)

**lemma** *xt8*:  $(a::'a::order) > f b \implies (b::'b::order) > c \implies$

$(!x y. x > y \implies f x > f y) \implies a > f c$

**by** (*subgoal-tac*  $f b > f c$ , *force*, *force*)

**lemma** *xt9*:  $(a::'a::order) > b \implies (f b::'b::order) > c \implies$

$(!x y. x > y \implies f x > f y) \implies f a > c$

**by** (*subgoal-tac*  $f a > f b$ , *force*, *force*)

**lemmas** *xtrans* = *xt1 xt2 xt3 xt4 xt5 xt6 xt7 xt8 xt9*

### 3.7 Order on bool

**instantiation** *bool* :: *order*

**begin**

**definition**

*le-bool-def* [*code func del*]:  $P \leq Q \longleftrightarrow P \longrightarrow Q$

**definition**

*less-bool-def* [*code func del*]:  $(P::bool) < Q \longleftrightarrow P \leq Q \wedge P \neq Q$

```

instance
  by intro-classes (auto simp add: le-bool-def less-bool-def)

end

lemma le-boolI:  $(P \implies Q) \implies P \leq Q$ 
by (simp add: le-bool-def)

lemma le-boolI':  $P \longrightarrow Q \implies P \leq Q$ 
by (simp add: le-bool-def)

lemma le-boolE:  $P \leq Q \implies P \implies (Q \implies R) \implies R$ 
by (simp add: le-bool-def)

lemma le-boolD:  $P \leq Q \implies P \longrightarrow Q$ 
by (simp add: le-bool-def)

lemma [code func]:
  False  $\leq b \longleftrightarrow$  True
  True  $\leq b \longleftrightarrow b$ 
  False  $< b \longleftrightarrow b$ 
  True  $< b \longleftrightarrow$  False
  unfolding le-bool-def less-bool-def by simp-all

```

### 3.8 Order on functions

```

instantiation fun :: (type, ord) ord
begin

definition
  le-fun-def [code func del]:  $f \leq g \longleftrightarrow (\forall x. f\ x \leq g\ x)$ 

definition
  less-fun-def [code func del]:  $(f :: 'a \Rightarrow 'b) < g \longleftrightarrow f \leq g \wedge f \neq g$ 

instance ..

end

instance fun :: (type, order) order
  by default
    (auto simp add: le-fun-def less-fun-def
     intro: order-trans order-antisym intro!: ext)

lemma le-funI:  $(\bigwedge x. f\ x \leq g\ x) \implies f \leq g$ 
  unfolding le-fun-def by simp

lemma le-funE:  $f \leq g \implies (f\ x \leq g\ x \implies P) \implies P$ 

```

**unfolding** *le-fun-def* **by** *simp*

**lemma** *le-funD*:  $f \leq g \implies f\ x \leq g\ x$   
**unfolding** *le-fun-def* **by** *simp*

Handy introduction and elimination rules for  $\leq$  on unary and binary predicates

**lemma** *predicate1I*:  
**assumes**  $PQ: \bigwedge x. P\ x \implies Q\ x$   
**shows**  $P \leq Q$   
**apply** (*rule le-funI*)  
**apply** (*rule le-boolI*)  
**apply** (*rule PQ*)  
**apply** *assumption*  
**done**

**lemma** *predicate1D* [*Pure.dest*, *dest*]:  $P \leq Q \implies P\ x \implies Q\ x$   
**apply** (*erule le-funE*)  
**apply** (*erule le-boolE*)  
**apply** *assumption* +  
**done**

**lemma** *predicate2I* [*Pure.intro!*, *intro!*]:  
**assumes**  $PQ: \bigwedge x\ y. P\ x\ y \implies Q\ x\ y$   
**shows**  $P \leq Q$   
**apply** (*rule le-funI*) +  
**apply** (*rule le-boolI*)  
**apply** (*rule PQ*)  
**apply** *assumption*  
**done**

**lemma** *predicate2D* [*Pure.dest*, *dest*]:  $P \leq Q \implies P\ x\ y \implies Q\ x\ y$   
**apply** (*erule le-funE*) +  
**apply** (*erule le-boolE*)  
**apply** *assumption* +  
**done**

**lemma** *rev-predicate1D*:  $P\ x \implies P \leq Q \implies Q\ x$   
**by** (*rule predicate1D*)

**lemma** *rev-predicate2D*:  $P\ x\ y \implies P \leq Q \implies Q\ x\ y$   
**by** (*rule predicate2D*)

### 3.9 Monotonicity, least value operator and min/max

**context** *order*  
**begin**

**definition**



```

mono :: ('a ⇒ 'b::order) ⇒ bool
where
  mono f ⇔ (∀ x y. x ≤ y ⇒ f x ≤ f y)

```

```

lemma monoI [intro?]:
  fixes f :: 'a ⇒ 'b::order
  shows (⋀ x y. x ≤ y ⇒ f x ≤ f y) ⇒ mono f
  unfolding mono-def by iprover

```

```

lemma monoD [dest?]:
  fixes f :: 'a ⇒ 'b::order
  shows mono f ⇒ x ≤ y ⇒ f x ≤ f y
  unfolding mono-def by iprover

```

end

```

context linorder
begin

```

```

lemma min-of-mono:
  fixes f :: 'a ⇒ 'b::linorder
  shows mono f ⇒ min (f m) (f n) = f (min m n)
  by (auto simp: mono-def Orderings.min-def min-def intro: Orderings.antisym)

```

```

lemma max-of-mono:
  fixes f :: 'a ⇒ 'b::linorder
  shows mono f ⇒ max (f m) (f n) = f (max m n)
  by (auto simp: mono-def Orderings.max-def max-def intro: Orderings.antisym)

```

end

```

lemma LeastI2-order:
  [| P (x::'a::order);
    !!y. P y ==> x <= y;
    !!x. [| P x; ALL y. P y --> x ≤ y |] ==> Q x |]
  ==> Q (Least P)
  apply (unfold Least-def)
  apply (rule theI2)
  apply (blast intro: order-antisym)+
done

```

```

lemma min-leastL: (!x. least <= x) ==> min least x = least
by (simp add: min-def)

```

```

lemma max-leastL: (!x. least <= x) ==> max least x = x
by (simp add: max-def)

```

```

lemma min-leastR: (⋀ x::'a::order. least ≤ x) ⇒ min x least = least
  apply (simp add: min-def)

```

```

apply (blast intro: order-antisym)
done

```

```

lemma max-leastR: ( $\bigwedge x::'a::order. \text{least} \leq x$ )  $\implies \text{max } x \text{ least} = x$ 
apply (simp add: max-def)
apply (blast intro: order-antisym)
done

```

```

end

```

## 4 Set: Set theory for higher-order logic

```

theory Set
imports Orderings
begin

```

A set in HOL is simply a predicate.

### 4.1 Basic syntax

```

global

```

```

types 'a set = 'a => bool

```

```

consts

```

```

{}          :: 'a set                ({{})
UNIV        :: 'a set
insert      :: 'a => 'a set => 'a set
Collect     :: ('a => bool) => 'a set   — comprehension
op Int      :: 'a set => 'a set => 'a set (infixl Int 70)
op Un       :: 'a set => 'a set => 'a set (infixl Un 65)
UNION       :: 'a set => ('a => 'b set) => 'b set — general union
INTER      :: 'a set => ('a => 'b set) => 'b set — general intersection
Union       :: 'a set set => 'a set    — union of a set
Inter      :: 'a set set => 'a set    — intersection of a set
Pow        :: 'a set => 'a set set    — powerset
Ball       :: 'a set => ('a => bool) => bool — bounded universal quantifiers

Bex        :: 'a set => ('a => bool) => bool — bounded existential
quantifiers
Bex1       :: 'a set => ('a => bool) => bool — bounded unique existential
quantifiers
image      :: ('a => 'b) => 'a set => 'b set (infixr ' 90)
op :       :: 'a => 'a set => bool      — membership

```

```

notation

```

```

op : (op :) and
op : ((-/ : -) [50, 51] 50)

```

**local**

## 4.2 Additional concrete syntax

### abbreviation

*range* :: ('a => 'b) => 'b set **where** — of function  
*range* f == f ' UNIV

### abbreviation

*not-mem* x A == ~ (x : A) — non-membership

### notation

*not-mem* (op ~:) **and**  
*not-mem* ((-/ ~: -) [50, 51] 50)

### notation (*xsymbols*)

*op Int* (**infixl**  $\cap$  70) **and**  
*op Un* (**infixl**  $\cup$  65) **and**  
*op* : (op  $\in$ ) **and**  
*op* : ((-/  $\in$  -) [50, 51] 50) **and**  
*not-mem* (op  $\notin$ ) **and**  
*not-mem* ((-/  $\notin$  -) [50, 51] 50) **and**  
*Union* ( $\bigcup$  - [90] 90) **and**  
*Inter* ( $\bigcap$  - [90] 90)

### notation (*HTML output*)

*op Int* (**infixl**  $\cap$  70) **and**  
*op Un* (**infixl**  $\cup$  65) **and**  
*op* : (op  $\in$ ) **and**  
*op* : ((-/  $\in$  -) [50, 51] 50) **and**  
*not-mem* (op  $\notin$ ) **and**  
*not-mem* ((-/  $\notin$  -) [50, 51] 50)

### syntax

@Finset :: args => 'a set ({(-)})  
 @Coll :: pptrn => bool => 'a set ((1{-/-/ -}))  
 @SetCompr :: 'a => idts => bool => 'a set ((1{- /-/-/ -}))  
 @Collect :: idt => 'a set => bool => 'a set ((1{- :-/ -}))  
 @INTER1 :: pptrns => 'b set => 'b set ((3INT -/-/ -) [0, 10] 10)  
 @UNION1 :: pptrns => 'b set => 'b set ((3UN -/-/ -) [0, 10] 10)  
 @INTER :: pptrn => 'a set => 'b set => 'b set ((3INT -:-/ -) [0, 10] 10)  
 @UNION :: pptrn => 'a set => 'b set => 'b set ((3UN -:-/ -) [0, 10] 10)  
 -Ball :: pptrn => 'a set => bool => bool ((3ALL -:-/ -) [0, 0, 10] 10)  
 -Bex :: pptrn => 'a set => bool => bool ((3EX -:-/ -) [0, 0, 10] 10)  
 -Bex1 :: pptrn => 'a set => bool => bool ((3EX! -:-/ -) [0, 0, 10] 10)  
 -Bleast :: id => 'a set => bool => 'a ((3LEAST -:-/ -) [0, 0, 10] 10)

**syntax** (*HOL*)

-Ball :: *pttrn* => 'a set => bool => bool (( $\exists!$  -:-/ -) [0, 0, 10] 10)  
 -Bex :: *pttrn* => 'a set => bool => bool (( $\exists?$  -:-/ -) [0, 0, 10] 10)  
 -Bex1 :: *pttrn* => 'a set => bool => bool (( $\exists?! -:-/ -$ ) [0, 0, 10] 10)

**translations**

{*x*, *xs*} == insert *x* {*xs*}  
 {*x*} == insert *x* {}  
 {*x*. *P*} == Collect (%*x*. *P*)  
 {*x*:*A*. *P*} => {*x*. *x*:*A* & *P*}  
 UN *x y*. *B* == UN *x*. UN *y*. *B*  
 UN *x*. *B* == UNION UNIV (%*x*. *B*)  
 UN *x*. *B* == UN *x*:UNIV. *B*  
 INT *x y*. *B* == INT *x*. INT *y*. *B*  
 INT *x*. *B* == INTER UNIV (%*x*. *B*)  
 INT *x*. *B* == INT *x*:UNIV. *B*  
 UN *x*:*A*. *B* == UNION *A* (%*x*. *B*)  
 INT *x*:*A*. *B* == INTER *A* (%*x*. *B*)  
 ALL *x*:*A*. *P* == Ball *A* (%*x*. *P*)  
 EX *x*:*A*. *P* == Bex *A* (%*x*. *P*)  
 EX! *x*:*A*. *P* == Bex1 *A* (%*x*. *P*)  
 LEAST *x*:*A*. *P* => LEAST *x*. *x*:*A* & *P*

**syntax** (*xsymbols*)

-Ball :: *pttrn* => 'a set => bool => bool (( $\exists\forall$  -∈-/ -) [0, 0, 10] 10)  
 -Bex :: *pttrn* => 'a set => bool => bool (( $\exists\exists$  -∈-/ -) [0, 0, 10] 10)  
 -Bex1 :: *pttrn* => 'a set => bool => bool (( $\exists\exists!$  -∈-/ -) [0, 0, 10] 10)  
 -Bleat :: *id* => 'a set => bool => 'a (( $\exists$ LEAST -∈-/ -) [0, 0, 10] 10)

**syntax** (*HTML output*)

-Ball :: *pttrn* => 'a set => bool => bool (( $\exists\forall$  -∈-/ -) [0, 0, 10] 10)  
 -Bex :: *pttrn* => 'a set => bool => bool (( $\exists\exists$  -∈-/ -) [0, 0, 10] 10)  
 -Bex1 :: *pttrn* => 'a set => bool => bool (( $\exists\exists!$  -∈-/ -) [0, 0, 10] 10)

**syntax** (*xsymbols*)

@Collect :: *idt* => 'a set => bool => 'a set (( $1\{- \in / - / -\}$ )  
 @UNION1 :: *pttrns* => 'b set => 'b set (( $\exists\bigcup$  -/ -) [0, 10] 10)  
 @INTER1 :: *pttrns* => 'b set => 'b set (( $\exists\bigcap$  -/ -) [0, 10] 10)  
 @UNION :: *pttrn* => 'a set => 'b set => 'b set (( $\exists\bigcup$  -∈-/ -) [0, 10] 10)  
 @INTER :: *pttrn* => 'a set => 'b set => 'b set (( $\exists\bigcap$  -∈-/ -) [0, 10] 10)

**syntax** (*latex output*)

@UNION1 :: *pttrns* => 'b set => 'b set (( $\exists\bigcup(00-)/ -$ ) [0, 10] 10)  
 @INTER1 :: *pttrns* => 'b set => 'b set (( $\exists\bigcap(00-)/ -$ ) [0, 10] 10)  
 @UNION :: *pttrn* => 'a set => 'b set => 'b set (( $\exists\bigcup(00-\in-)/ -$ ) [0, 10] 10)  
 @INTER :: *pttrn* => 'a set => 'b set => 'b set (( $\exists\bigcap(00-\in-)/ -$ ) [0, 10] 10)

Note the difference between ordinary xsymbol syntax of indexed unions and intersections (e.g.  $\bigcup_{a_1 \in A_1} B$ ) and their L<sup>A</sup>T<sub>E</sub>X rendition:  $\bigcup_{a_1 \in A_1} B$ . The former does not make the index expression a subscript of the union/intersection symbol because this leads to problems with nested subscripts in Proof General.

**abbreviation**

*subset* :: 'a set  $\Rightarrow$  'a set  $\Rightarrow$  bool **where**  
*subset*  $\equiv$  less

**abbreviation**

*subset-eq* :: 'a set  $\Rightarrow$  'a set  $\Rightarrow$  bool **where**  
*subset-eq*  $\equiv$  less-eq

**notation (output)**

*subset* (op <) **and**  
*subset* ((-/ < -) [50, 51] 50) **and**  
*subset-eq* (op <=) **and**  
*subset-eq* ((-/ <= -) [50, 51] 50)

**notation (xsymbols)**

*subset* (op  $\subset$ ) **and**  
*subset* ((-/  $\subset$  -) [50, 51] 50) **and**  
*subset-eq* (op  $\subseteq$ ) **and**  
*subset-eq* ((-/  $\subseteq$  -) [50, 51] 50)

**notation (HTML output)**

*subset* (op  $\subset$ ) **and**  
*subset* ((-/  $\subset$  -) [50, 51] 50) **and**  
*subset-eq* (op  $\subseteq$ ) **and**  
*subset-eq* ((-/  $\subseteq$  -) [50, 51] 50)

**abbreviation (input)**

*supset* :: 'a set  $\Rightarrow$  'a set  $\Rightarrow$  bool **where**  
*supset*  $\equiv$  greater

**abbreviation (input)**

*supset-eq* :: 'a set  $\Rightarrow$  'a set  $\Rightarrow$  bool **where**  
*supset-eq*  $\equiv$  greater-eq

**notation (xsymbols)**

*supset* (op  $\supset$ ) **and**  
*supset* ((-/  $\supset$  -) [50, 51] 50) **and**  
*supset-eq* (op  $\supseteq$ ) **and**  
*supset-eq* ((-/  $\supseteq$  -) [50, 51] 50)

**4.2.1 Bounded quantifiers****syntax (output)**

*-setlessAll* :: [idt, 'a, bool]  $\Rightarrow$  bool (( $\exists$ ALL -<-./ -) [0, 0, 10] 10)

```

-setlessEx :: [idt, 'a, bool] => bool ((3EX -<-./ -) [0, 0, 10] 10)
-settleAll :: [idt, 'a, bool] => bool ((3ALL -<=.-./ -) [0, 0, 10] 10)
-settleEx  :: [idt, 'a, bool] => bool ((3EX -<=.-./ -) [0, 0, 10] 10)
-settleEx1 :: [idt, 'a, bool] => bool ((3EX! -<=.-./ -) [0, 0, 10] 10)

```

**syntax** (*xsymbols*)

```

-setlessAll :: [idt, 'a, bool] => bool ((3∀ -C-./ -) [0, 0, 10] 10)
-setlessEx  :: [idt, 'a, bool] => bool ((3∃ -C-./ -) [0, 0, 10] 10)
-settleAll  :: [idt, 'a, bool] => bool ((3∀ -⊆-./ -) [0, 0, 10] 10)
-settleEx   :: [idt, 'a, bool] => bool ((3∃ -⊆-./ -) [0, 0, 10] 10)
-settleEx1  :: [idt, 'a, bool] => bool ((3∃! -⊆-./ -) [0, 0, 10] 10)

```

**syntax** (*HOL output*)

```

-setlessAll :: [idt, 'a, bool] => bool ((3! -<-./ -) [0, 0, 10] 10)
-setlessEx  :: [idt, 'a, bool] => bool ((3? -<-./ -) [0, 0, 10] 10)
-settleAll  :: [idt, 'a, bool] => bool ((3! -<=.-./ -) [0, 0, 10] 10)
-settleEx   :: [idt, 'a, bool] => bool ((3? -<=.-./ -) [0, 0, 10] 10)
-settleEx1  :: [idt, 'a, bool] => bool ((3?! -<=.-./ -) [0, 0, 10] 10)

```

**syntax** (*HTML output*)

```

-setlessAll :: [idt, 'a, bool] => bool ((3∀ -C-./ -) [0, 0, 10] 10)
-setlessEx  :: [idt, 'a, bool] => bool ((3∃ -C-./ -) [0, 0, 10] 10)
-settleAll  :: [idt, 'a, bool] => bool ((3∀ -⊆-./ -) [0, 0, 10] 10)
-settleEx   :: [idt, 'a, bool] => bool ((3∃ -⊆-./ -) [0, 0, 10] 10)
-settleEx1  :: [idt, 'a, bool] => bool ((3∃! -⊆-./ -) [0, 0, 10] 10)

```

**translations**

```

∀ A ⊂ B. P  =>  ALL A. A ⊂ B --> P
∃ A ⊂ B. P  =>  EX A. A ⊂ B & P
∀ A ⊆ B. P  =>  ALL A. A ⊆ B --> P
∃ A ⊆ B. P  =>  EX A. A ⊆ B & P
∃! A ⊆ B. P =>  EX! A. A ⊆ B & P

```

**print-translation**  $\ll$ 

*let*

```

val Type (set-type, -) = @{typ 'a set};
val All-binder = Syntax.binder-name @{const-syntax All};
val Ex-binder  = Syntax.binder-name @{const-syntax Ex};
val impl = @{const-syntax op -->};
val conj = @{const-syntax op &};
val sbset = @{const-syntax subset};
val sbset-eq = @{const-syntax subset-eq};

```

*val trans =*

```

[((All-binder, impl, sbset), -setlessAll),
 ((All-binder, impl, sbset-eq), -settleAll),
 ((Ex-binder, conj, sbset), -setlessEx),
 ((Ex-binder, conj, sbset-eq), -settleEx)];

```

```

fun mk v v' c n P =
  if v = v' andalso not (Term.exists-subterm (fn Free (x, -) => x = v | - =>
false) n)
  then Syntax.const c $ Syntax.mark-bound v' $ n $ P else raise Match;

fun tr' q = (q,
  fn [Const (-bound, -) $ Free (v, Type (T, -)), Const (c, -) $ (Const (d, -) $
(Const (-bound, -) $ Free (v', -)) $ n) $ P] =>
    if T = (set-type) then case AList.lookup (op =) trans (q, c, d)
      of NONE => raise Match
        | SOME l => mk v v' l n P
    else raise Match
  | - => raise Match);
in
  [tr' All-binder, tr' Ex-binder]
end
>>

```

Translate between  $\{e \mid x1...xn. P\}$  and  $\{u. EX\ x1..xn. u = e \ \& \ P\}$ ;  $\{y. EX\ x1..xn. y = e \ \& \ P\}$  is only translated if  $[0..n]$  subset  $bvs(e)$ .

**parse-translation**  $\ll$

```

let
  val ex-tr = snd (mk-binder-tr (EX , Ex));

  fun nvars (Const (-idts, -) $ - $ idts) = nvars idts + 1
    | nvars - = 1;

  fun setcompr-tr [e, idts, b] =
    let
      val eq = Syntax.const op = $ Bound (nvars idts) $ e;
      val P = Syntax.const op & $ eq $ b;
      val exP = ex-tr [idts, P];
    in Syntax.const Collect $ Term.absdummy (dummyT, exP) end;

  in [(@SetCompr, setcompr-tr)] end;
>>

```

**print-translation**  $\ll$

```

let
  fun btr' syn [A, Abs abs] =
    let val (x, t) = atomic-abs-tr' abs
    in Syntax.const syn $ x $ A $ t end
in
  [(Ball, btr' -Ball), (Bex, btr' -Bex),
  (UNION, btr' @UNION), (INTER, btr' @INTER)]
end
>>

```

```

print-translation <<
let
  val ex-tr' = snd (mk-binder-tr' (Ex, DUMMY));

  fun setcompr-tr' [Abs (abs as (-, -, P))] =
    let
      fun check (Const (Ex, -) $ Abs (-, -, P), n) = check (P, n + 1)
        | check (Const (op &, -) $ (Const (op =, -) $ Bound m $ e) $ P, n) =
            n > 0 andalso m = n andalso not (loose-bvar1 (P, n)) andalso
            ((0 upto (n - 1)) subset add-loose-bnos (e, 0, []))
        | check - = false

      fun tr' (- $ abs) =
        let val - $ idts $ (- $ (- $ - $ e) $ Q) = ex-tr' [abs]
            in Syntax.const @SetCompr $ e $ idts $ Q end;
        in if check (P, 0) then tr' P
            else let val (x as - $ Free(xN, -), t) = atomic-abs-tr' abs
                  val M = Syntax.const @Coll $ x $ t
                  in case t of
                      Const(op &, -)
                        $ (Const(op :-, -) $ (Const(-bound, -) $ Free(yN, -)) $ A)
                        $ P =>
                          if xN=yN then Syntax.const @Collect $ x $ A $ P else M
                      | - => M
                  end
            end;
        in [(Collect, setcompr-tr')] end;
    >>

```

### 4.3 Rules and definitions

Isomorphisms between predicates and sets.

**defs**

```

mem-def: x : S == S x
Collect-def: Collect P == P

```

**defs**

```

Ball-def:   Ball A P      == ALL x. x:A --> P(x)
Bex-def:    Bex A P       == EX x. x:A & P(x)
Bex1-def:   Bex1 A P      == EX! x. x:A & P(x)

```

**instantiation** fun :: (type, minus) minus  
**begin**

**definition**

```

fun-diff-def: A - B = (%x. A x - B x)

```

**instance** ..



```

end

instantiation bool :: minus
begin

definition
  bool-diff-def:  $A - B = (A \ \& \ \sim B)$ 

instance ..

end

instantiation fun :: (type, uminus) uminus
begin

definition
  fun-Compl-def:  $- A = (\%x. - A \ x)$ 

instance ..

end

instantiation bool :: uminus
begin

definition
  bool-Compl-def:  $- A = (\sim A)$ 

instance ..

end

defs
  Un-def:       $A \ Un \ B \quad == \{x. x:A \mid x:B\}$ 
  Int-def:      $A \ Int \ B \quad == \{x. x:A \ \& \ x:B\}$ 
  INTER-def:    $INTER \ A \ B \quad == \{y. \ ALL \ x:A. \ y: B(x)\}$ 
  UNION-def:    $UNION \ A \ B \quad == \{y. \ EX \ x:A. \ y: B(x)\}$ 
  Inter-def:    $Inter \ S \quad == (INT \ x:S. \ x)$ 
  Union-def:    $Union \ S \quad == (UN \ x:S. \ x)$ 
  Pow-def:      $Pow \ A \quad == \{B. B \leq A\}$ 
  empty-def:    $\{\} \quad == \{x. \ False\}$ 
  UNIV-def:     $UNIV \quad == \{x. \ True\}$ 
  insert-def:   $insert \ a \ B \quad == \{x. x=a\} \ Un \ B$ 
  image-def:    $f'A \quad == \{y. \ EX \ x:A. \ y = f(x)\}$ 

```

## 4.4 Lemmas and proof tool setup

### 4.4.1 Relating predicates and sets

**lemma** *mem-Collect-eq [iff]*:  $(a : \{x. P(x)\}) = P(a)$

**by** (*simp add: Collect-def mem-def*)

**lemma** *Collect-mem-eq* [*simp*]:  $\{x. x:A\} = A$   
**by** (*simp add: Collect-def mem-def*)

**lemma** *CollectI*:  $P(a) ==> a : \{x. P(x)\}$   
**by** *simp*

**lemma** *CollectD*:  $a : \{x. P(x)\} ==> P(a)$   
**by** *simp*

**lemma** *Collect-cong*:  $(!!x. P x = Q x) ==> \{x. P(x)\} = \{x. Q(x)\}$   
**by** *simp*

**lemmas** *CollectE = CollectD* [*elim-format*]

#### 4.4.2 Bounded quantifiers

**lemma** *ballI* [*intro!*]:  $(!!x. x:A ==> P x) ==> ALL x:A. P x$   
**by** (*simp add: Ball-def*)

**lemmas** *strip = impI allI ballI*

**lemma** *bspec* [*dest?*]:  $ALL x:A. P x ==> x:A ==> P x$   
**by** (*simp add: Ball-def*)

**lemma** *ballE* [*elim*]:  $ALL x:A. P x ==> (P x ==> Q) ==> (x \sim: A ==> Q) ==> Q$   
**by** (*unfold Ball-def*) *blast*

**ML**  $\ll \text{bind-thm } (rev-ballE, \text{permute-prems } 1\ 1\ @\{\text{thm ballE}\}) \gg$

This tactic takes assumptions  $\forall x \in A. P x$  and  $a \in A$ ; creates assumption  $P a$ .

**ML**  $\ll$   
 $\text{fun ball-tac } i = \text{etac } @\{\text{thm ballE}\} \ i \ \text{THEN } \text{contr-tac } (i + 1)$   
 $\gg$

Gives better instantiation for bound:

**declaration**  $\ll \text{fn } - ==>$   
 $\text{Classical.map-cs } (fn \ cs ==> \ cs \ \text{addbefore } (bspec, \ \text{datac } @\{\text{thm bspec}\} \ 1))$   
 $\gg$

**lemma** *bexI* [*intro*]:  $P x ==> x:A ==> EX x:A. P x$   
— Normally the best argument order:  $P x$  constrains the choice of  $x \in A$ .  
**by** (*unfold Bex-def*) *blast*

**lemma** *rev-bexI* [*intro?*]:  $x:A ==> P x ==> EX x:A. P x$   
— The best argument order when there is only one  $x \in A$ .

```

by (unfold Bex-def) blast

lemma bexCI: (ALL x:A.  $\sim P x \implies P a$ )  $\implies a:A \implies EX x:A. P x$ 
by (unfold Bex-def) blast

lemma bexE [elim!]:  $EX x:A. P x \implies (!x. x:A \implies P x \implies Q) \implies Q$ 
by (unfold Bex-def) blast

lemma ball-triv [simp]:  $(ALL x:A. P) = ((EX x. x:A) \multimap P)$ 
— Trivial rewrite rule.
by (simp add: Ball-def)

lemma bex-triv [simp]:  $(EX x:A. P) = ((EX x. x:A) \& P)$ 
— Dual form for existentials.
by (simp add: Bex-def)

lemma bex-triv-one-point1 [simp]:  $(EX x:A. x = a) = (a:A)$ 
by blast

lemma bex-triv-one-point2 [simp]:  $(EX x:A. a = x) = (a:A)$ 
by blast

lemma bex-one-point1 [simp]:  $(EX x:A. x = a \& P x) = (a:A \& P a)$ 
by blast

lemma bex-one-point2 [simp]:  $(EX x:A. a = x \& P x) = (a:A \& P a)$ 
by blast

lemma ball-one-point1 [simp]:  $(ALL x:A. x = a \multimap P x) = (a:A \multimap P a)$ 
by blast

lemma ball-one-point2 [simp]:  $(ALL x:A. a = x \multimap P x) = (a:A \multimap P a)$ 
by blast

ML <<
  local
    val unfold-bex-tac = unfold-tac @ {thms Bex-def};
    fun prove-bex-tac ss = unfold-bex-tac ss THEN Quantifier1.prove-one-point-ex-tac;
    val rearrange-bex = Quantifier1.rearrange-bex prove-bex-tac;

    val unfold-ball-tac = unfold-tac @ {thms Ball-def};
    fun prove-ball-tac ss = unfold-ball-tac ss THEN Quantifier1.prove-one-point-all-tac;
    val rearrange-ball = Quantifier1.rearrange-ball prove-ball-tac;
  in
    val defBEX-regroup = Simplifier.simproc (the-context ())
      defined BEX [EX x:A. P x & Q x] rearrange-bex;
    val defBALL-regroup = Simplifier.simproc (the-context ())
      defined BALL [ALL x:A. P x  $\multimap$  Q x] rearrange-ball;
  end;

```

```
Addsimprocs [defBALL-regroup, defBEX-regroup];
>>
```

#### 4.4.3 Congruence rules

**lemma** *ball-cong*:

```
A = B ==> (!x. x:B ==> P x = Q x) ==>
  (ALL x:A. P x) = (ALL x:B. Q x)
by (simp add: Ball-def)
```

**lemma** *strong-ball-cong* [cong]:

```
A = B ==> (!x. x:B =simp=> P x = Q x) ==>
  (ALL x:A. P x) = (ALL x:B. Q x)
by (simp add: simp-implies-def Ball-def)
```

**lemma** *bex-cong*:

```
A = B ==> (!x. x:B ==> P x = Q x) ==>
  (EX x:A. P x) = (EX x:B. Q x)
by (simp add: Bex-def cong: conj-cong)
```

**lemma** *strong-bex-cong* [cong]:

```
A = B ==> (!x. x:B =simp=> P x = Q x) ==>
  (EX x:A. P x) = (EX x:B. Q x)
by (simp add: simp-implies-def Bex-def cong: conj-cong)
```

#### 4.4.4 Subsets

**lemma** *subsetI* [atp,intro!]:  $(!x. x:A ==> x:B) ==> A \subseteq B$   
 by (auto simp add: mem-def intro: predicate1I)

Map the type *'a set => anything* to just *'a*; for overloading constants whose first argument has type *'a set*.

**lemma** *subsetD* [elim]:  $A \subseteq B ==> c \in A ==> c \in B$   
 — Rule in Modus Ponens style.  
 by (unfold mem-def) blast

**declare** *subsetD* [intro?] — FIXME

**lemma** *rev-subsetD*:  $c \in A ==> A \subseteq B ==> c \in B$   
 — The same, with reversed premises for use with *erule* – cf *rev-mp*.  
 by (rule subsetD)

**declare** *rev-subsetD* [intro?] — FIXME

Converts  $A \subseteq B$  to  $x \in A \implies x \in B$ .

**ML**  $\ll$   
 $\text{fun impOfSubs th} = \text{th RSN } (2, @\{\text{thm rev-subsetD}\})$

»

**lemma** *subsetCE* [*elim*]:  $A \subseteq B \implies (c \notin A \implies P) \implies (c \in B \implies P) \implies P$   
 — Classical elimination rule.  
**by** (*unfold mem-def*) *blast*

**lemma** *subset-eq*:  $A \subseteq B = (\forall x \in A. x \in B)$  **by** *blast*

Takes assumptions  $A \subseteq B$ ;  $c \in A$  and creates the assumption  $c \in B$ .

**ML** «  
*fun set-mp-tac i = etac @{thm subsetCE} i THEN mp-tac i*  
 »

**lemma** *contra-subsetD*:  $A \subseteq B \implies c \notin B \implies c \notin A$   
**by** *blast*

**lemma** *subset-refl* [*simp,atp*]:  $A \subseteq A$   
**by** *fast*

**lemma** *subset-trans*:  $A \subseteq B \implies B \subseteq C \implies A \subseteq C$   
**by** *blast*

#### 4.4.5 Equality

**lemma** *set-ext*: **assumes** *prem*:  $(!!x. (x:A) = (x:B))$  **shows**  $A = B$   
**apply** (*rule prem [THEN ext, THEN arg-cong, THEN box-equals]*)  
**apply** (*rule Collect-mem-eq*)  
**apply** (*rule Collect-mem-eq*)  
**done**

**lemma** *expand-set-eq*:  $(A = B) = (ALL x. (x:A) = (x:B))$   
**by**(*auto intro:set-ext*)

**lemma** *subset-antisym* [*intro!*]:  $A \subseteq B \implies B \subseteq A \implies A = B$   
 — Anti-symmetry of the subset relation.  
**by** (*iprover intro: set-ext subsetD*)

**lemmas** *equalityI* [*intro!*] = *subset-antisym*

Equality rules from ZF set theory – are they appropriate here?

**lemma** *equalityD1*:  $A = B \implies A \subseteq B$   
**by** (*simp add: subset-refl*)

**lemma** *equalityD2*:  $A = B \implies B \subseteq A$   
**by** (*simp add: subset-refl*)

Be careful when adding this to the claset as *subset-empty* is in the simpset:  
 $A = \{\}$  goes to  $\{\} \subseteq A$  and  $A \subseteq \{\}$  and then back to  $A = \{\}$ !

**lemma** *equalityE*:  $A = B \implies (A \subseteq B \implies B \subseteq A \implies P) \implies P$   
 by (*simp add: subset-refl*)

**lemma** *equalityCE* [*elim*]:  
 $A = B \implies (c \in A \implies c \in B \implies P) \implies (c \notin A \implies c \notin B \implies P)$   
 $\implies P$   
 by *blast*

**lemma** *eqset-imp-iff*:  $A = B \implies (x : A) = (x : B)$   
 by *simp*

**lemma** *eqelem-imp-iff*:  $x = y \implies (x : A) = (y : A)$   
 by *simp*

#### 4.4.6 The universal set – UNIV

**lemma** *UNIV-I* [*simp*]:  $x : UNIV$   
 by (*simp add: UNIV-def*)

**declare** *UNIV-I* [*intro*] — unsafe makes it less likely to cause problems

**lemma** *UNIV-witness* [*intro?*]:  $EX x. x : UNIV$   
 by *simp*

**lemma** *subset-UNIV* [*simp*]:  $A \subseteq UNIV$   
 by (*rule subsetI*) (*rule UNIV-I*)

Eta-contracting these two rules (to remove  $P$ ) causes them to be ignored because of their interaction with congruence rules.

**lemma** *ball-UNIV* [*simp*]:  $Ball UNIV P = All P$   
 by (*simp add: Ball-def*)

**lemma** *bex-UNIV* [*simp*]:  $Bex UNIV P = Ex P$   
 by (*simp add: Bex-def*)

**lemma** *UNIV-eq-I*:  $(\bigwedge x. x \in A) \implies UNIV = A$   
 by *auto*

#### 4.4.7 The empty set

**lemma** *empty-iff* [*simp*]:  $(c : \{\}) = False$   
 by (*simp add: empty-def*)

**lemma** *emptyE* [*elim!*]:  $a : \{\} \implies P$   
 by *simp*

**lemma** *empty-subsetI* [iff]:  $\{\} \subseteq A$   
 — One effect is to delete the ASSUMPTION  $\{\} \subseteq A$   
**by** *blast*

**lemma** *equals0I*:  $(!!y. y \in A ==> False) ==> A = \{\}$   
**by** *blast*

**lemma** *equals0D*:  $A = \{\} ==> a \notin A$   
 — Use for reasoning about disjointness:  $A \cap B = \{\}$   
**by** *blast*

**lemma** *ball-empty* [simp]:  $Ball \ \{\} \ P = True$   
**by** (*simp add: Ball-def*)

**lemma** *bex-empty* [simp]:  $Bex \ \{\} \ P = False$   
**by** (*simp add: Bex-def*)

**lemma** *UNIV-not-empty* [iff]:  $UNIV \sim = \{\}$   
**by** (*blast elim: equalityE*)

#### 4.4.8 The Powerset operator – Pow

**lemma** *Pow-iff* [iff]:  $(A \in Pow \ B) = (A \subseteq B)$   
**by** (*simp add: Pow-def*)

**lemma** *PowI*:  $A \subseteq B ==> A \in Pow \ B$   
**by** (*simp add: Pow-def*)

**lemma** *PowD*:  $A \in Pow \ B ==> A \subseteq B$   
**by** (*simp add: Pow-def*)

**lemma** *Pow-bottom*:  $\{\} \in Pow \ B$   
**by** *simp*

**lemma** *Pow-top*:  $A \in Pow \ A$   
**by** (*simp add: subset-refl*)

#### 4.4.9 Set complement

**lemma** *Compl-iff* [simp]:  $(c \in -A) = (c \notin A)$   
**by** (*simp add: mem-def fun-Compl-def bool-Compl-def*)

**lemma** *ComplI* [intro!]:  $(c \in A ==> False) ==> c \in -A$   
**by** (*unfold mem-def fun-Compl-def bool-Compl-def*) *blast*

This form, with negated conclusion, works well with the Classical prover. Negated assumptions behave like formulae on the right side of the notional turnstile ...

**lemma** *ComplD* [dest!]:  $c : -A ==> c \sim : A$

**by** (*simp add: mem-def fun-Compl-def bool-Compl-def*)

**lemmas** *ComplE* = *ComplD* [*elim-format*]

**lemma** *Compl-eq*:  $- A = \{x. \sim x : A\}$  **by** *blast*

#### 4.4.10 Binary union – Un

**lemma** *Un-iff* [*simp*]:  $(c : A \text{ Un } B) = (c:A \mid c:B)$   
**by** (*unfold Un-def*) *blast*

**lemma** *UnI1* [*elim?*]:  $c:A \implies c : A \text{ Un } B$   
**by** *simp*

**lemma** *UnI2* [*elim?*]:  $c:B \implies c : A \text{ Un } B$   
**by** *simp*

Classical introduction rule: no commitment to  $A$  vs  $B$ .

**lemma** *UnCI* [*intro!*]:  $(c\sim:B \implies c:A) \implies c : A \text{ Un } B$   
**by** *auto*

**lemma** *UnE* [*elim!*]:  $c : A \text{ Un } B \implies (c:A \implies P) \implies (c:B \implies P) \implies P$   
**by** (*unfold Un-def*) *blast*

#### 4.4.11 Binary intersection – Int

**lemma** *Int-iff* [*simp*]:  $(c : A \text{ Int } B) = (c:A \ \& \ c:B)$   
**by** (*unfold Int-def*) *blast*

**lemma** *IntI* [*intro!*]:  $c:A \implies c:B \implies c : A \text{ Int } B$   
**by** *simp*

**lemma** *IntD1*:  $c : A \text{ Int } B \implies c:A$   
**by** *simp*

**lemma** *IntD2*:  $c : A \text{ Int } B \implies c:B$   
**by** *simp*

**lemma** *IntE* [*elim!*]:  $c : A \text{ Int } B \implies (c:A \implies c:B \implies P) \implies P$   
**by** *simp*

#### 4.4.12 Set difference

**lemma** *Diff-iff* [*simp*]:  $(c : A - B) = (c:A \ \& \ c\sim:B)$   
**by** (*simp add: mem-def fun-diff-def bool-diff-def*)

**lemma** *DiffI* [*intro!*]:  $c : A \implies c \sim : B \implies c : A - B$   
**by** *simp*



**lemma** *DiffD1*:  $c : A - B \implies c : A$   
**by** *simp*

**lemma** *DiffD2*:  $c : A - B \implies c : B \implies P$   
**by** *simp*

**lemma** *DiffE* [*elim!*]:  $c : A - B \implies (c:A \implies c\sim:B \implies P) \implies P$   
**by** *simp*

**lemma** *set-diff-eq*:  $A - B = \{x. x : A \ \& \ \sim x : B\}$  **by** *blast*

#### 4.4.13 Augmenting a set – insert

**lemma** *insert-iff* [*simp*]:  $(a : \text{insert } b \ A) = (a = b \mid a:A)$   
**by** (*unfold insert-def*) *blast*

**lemma** *insertI1*:  $a : \text{insert } a \ B$   
**by** *simp*

**lemma** *insertI2*:  $a : B \implies a : \text{insert } b \ B$   
**by** *simp*

**lemma** *insertE* [*elim!*]:  $a : \text{insert } b \ A \implies (a = b \implies P) \implies (a:A \implies P) \implies P$   
**by** (*unfold insert-def*) *blast*

**lemma** *insertCI* [*intro!*]:  $(a\sim:B \implies a = b) \implies a : \text{insert } b \ B$   
— Classical introduction rule.  
**by** *auto*

**lemma** *subset-insert-iff*:  $(A \subseteq \text{insert } x \ B) = (\text{if } x:A \text{ then } A - \{x\} \subseteq B \text{ else } A \subseteq B)$   
**by** *auto*

**lemma** *set-insert*:  
**assumes**  $x \in A$   
**obtains**  $B$  **where**  $A = \text{insert } x \ B$  **and**  $x \notin B$   
**proof**  
**from** *assms* **show**  $A = \text{insert } x \ (A - \{x\})$  **by** *blast*  
**next**  
**show**  $x \notin A - \{x\}$  **by** *blast*  
**qed**

**lemma** *insert-ident*:  $x \sim: A \implies x \sim: B \implies (\text{insert } x \ A = \text{insert } x \ B) = (A = B)$   
**by** *auto*

#### 4.4.14 Singletons, using insert

**lemma** *singletonI* [*intro!*,*noatp*]:  $a : \{a\}$   
 — Redundant? But unlike *insertCI*, it proves the subgoal immediately!  
**by** (*rule insertI1*)

**lemma** *singletonD* [*dest!*,*noatp*]:  $b : \{a\} ==> b = a$   
**by** *blast*

**lemmas** *singletonE* = *singletonD* [*elim-format*]

**lemma** *singleton-iff*:  $(b : \{a\}) = (b = a)$   
**by** *blast*

**lemma** *singleton-inject* [*dest!*]:  $\{a\} = \{b\} ==> a = b$   
**by** *blast*

**lemma** *singleton-insert-inj-eq* [*iff*,*noatp*]:  
 $(\{b\} = \text{insert } a \ A) = (a = b \ \& \ A \subseteq \{b\})$   
**by** *blast*

**lemma** *singleton-insert-inj-eq'* [*iff*,*noatp*]:  
 $(\text{insert } a \ A = \{b\}) = (a = b \ \& \ A \subseteq \{b\})$   
**by** *blast*

**lemma** *subset-singletonD*:  $A \subseteq \{x\} ==> A = \{\} \mid A = \{x\}$   
**by** *fast*

**lemma** *singleton-conv* [*simp*]:  $\{x. x = a\} = \{a\}$   
**by** *blast*

**lemma** *singleton-conv2* [*simp*]:  $\{x. a = x\} = \{a\}$   
**by** *blast*

**lemma** *diff-single-insert*:  $A - \{x\} \subseteq B ==> x \in A ==> A \subseteq \text{insert } x \ B$   
**by** *blast*

**lemma** *doubleton-eq-iff*:  $(\{a,b\} = \{c,d\}) = (a=c \ \& \ b=d \mid a=d \ \& \ b=c)$   
**by** (*blast elim: equalityE*)

#### 4.4.15 Unions of families

$\bigcup_{x:A. B \ x}$  is  $\bigcup B \ 'A$ .

**declare** *UNION-def* [*noatp*]

**lemma** *UN-iff* [*simp*]:  $(b : (\bigcup_{x:A. B \ x})) = (EX \ x:A. b : B \ x)$   
**by** (*unfold UNION-def*) *blast*

**lemma** *UN-I* [*intro*]:  $a:A ==> b : B \ a ==> b : (\bigcup_{x:A. B \ x})$

— The order of the premises presupposes that  $A$  is rigid;  $b$  may be flexible.  
**by** *auto*

**lemma** *UN-E* [*elim!*]:  $b : (UN\ x:A. B\ x) ==> (!!x. x:A ==> b: B\ x ==> R)$   
 $==> R$   
**by** (*unfold UNION-def*) *blast*

**lemma** *UN-cong* [*cong*]:  
 $A = B ==> (!!x. x:B ==> C\ x = D\ x) ==> (UN\ x:A. C\ x) = (UN\ x:B. D\ x)$   
**by** (*simp add: UNION-def*)

#### 4.4.16 Intersections of families

$INT\ x:A. B\ x$  is  $\bigcap B \text{ ‘ } A$ .

**lemma** *INT-iff* [*simp*]:  $(b: (INT\ x:A. B\ x)) = (ALL\ x:A. b: B\ x)$   
**by** (*unfold INTER-def*) *blast*

**lemma** *INT-I* [*intro!*]:  $(!!x. x:A ==> b: B\ x) ==> b : (INT\ x:A. B\ x)$   
**by** (*unfold INTER-def*) *blast*

**lemma** *INT-D* [*elim*]:  $b : (INT\ x:A. B\ x) ==> a:A ==> b: B\ a$   
**by** *auto*

**lemma** *INT-E* [*elim*]:  $b : (INT\ x:A. B\ x) ==> (b: B\ a ==> R) ==> (a \sim : A ==> R) ==> R$   
 — ”Classical” elimination – by the Excluded Middle on  $a \in A$ .  
**by** (*unfold INTER-def*) *blast*

**lemma** *INT-cong* [*cong*]:  
 $A = B ==> (!!x. x:B ==> C\ x = D\ x) ==> (INT\ x:A. C\ x) = (INT\ x:B. D\ x)$   
**by** (*simp add: INTER-def*)

#### 4.4.17 Union

**lemma** *Union-iff* [*simp, noatp*]:  $(A : Union\ C) = (EX\ X:C. A:X)$   
**by** (*unfold Union-def*) *blast*

**lemma** *UnionI* [*intro*]:  $X:C ==> A:X ==> A : Union\ C$   
 — The order of the premises presupposes that  $C$  is rigid;  $A$  may be flexible.  
**by** *auto*

**lemma** *UnionE* [*elim!*]:  $A : Union\ C ==> (!!X. A:X ==> X:C ==> R) ==> R$   
**by** (*unfold Union-def*) *blast*

## 4.4.18 Inter

**lemma** *Inter-iff* [*simp, noatp*]:  $(A : \text{Inter } C) = (\text{ALL } X:C. A:X)$   
**by** (*unfold Inter-def*) *blast*

**lemma** *InterI* [*intro!*]:  $(!!X. X:C ==> A:X) ==> A : \text{Inter } C$   
**by** (*simp add: Inter-def*)

A “destruct” rule – every  $X$  in  $C$  contains  $A$  as an element, but  $A \in X$  can hold when  $X \in C$  does not! This rule is analogous to *spec*.

**lemma** *InterD* [*elim*]:  $A : \text{Inter } C ==> X:C ==> A:X$   
**by** *auto*

**lemma** *InterE* [*elim*]:  $A : \text{Inter } C ==> (X\sim:C ==> R) ==> (A:X ==> R) ==> R$   
 — “Classical” elimination rule – does not require proving  $X \in C$ .  
**by** (*unfold Inter-def*) *blast*

Image of a set under a function. Frequently  $b$  does not have the syntactic form of  $f x$ .

**declare** *image-def* [*noatp*]

**lemma** *image-eqI* [*simp, intro*]:  $b = f x ==> x:A ==> b : f'A$   
**by** (*unfold image-def*) *blast*

**lemma** *imageI*:  $x : A ==> f x : f ' A$   
**by** (*rule image-eqI*) (*rule refl*)

**lemma** *rev-image-eqI*:  $x:A ==> b = f x ==> b : f'A$   
 — This version’s more effective when we already have the required  $x$ .  
**by** (*unfold image-def*) *blast*

**lemma** *imageE* [*elim!*]:  
 $b : (\%x. f x)'A ==> (!!x. b = f x ==> x:A ==> P) ==> P$   
 — The eta-expansion gives variable-name preservation.  
**by** (*unfold image-def*) *blast*

**lemma** *image-Un*:  $f'(A \text{ Un } B) = f'A \text{ Un } f'B$   
**by** *blast*

**lemma** *image-eq-UN*:  $f'A = (\text{UN } x:A. \{f x\})$   
**by** *blast*

**lemma** *image-iff*:  $(z : f'A) = (\text{EX } x:A. z = f x)$   
**by** *blast*

**lemma** *image-subset-iff*:  $(f'A \subseteq B) = (\forall x \in A. f x \in B)$   
 — This rewrite rule would confuse users if made default.

by *blast*

**lemma** *subset-image-iff*:  $(B \subseteq f^*A) = (EX\ AA. AA \subseteq A \ \& \ B = f^*AA)$   
 apply *safe*  
 prefer 2 apply *fast*  
 apply (rule-tac  $x = \{a. a : A \ \& \ f\ a : B\}$  in *exI*, *fast*)  
 done

**lemma** *image-subsetI*:  $(!!x. x \in A ==> f\ x \in B) ==> f^*A \subseteq B$   
 — Replaces the three steps *subsetI*, *imageE*, *hypsubst*, but breaks too many existing proofs.  
 by *blast*

Range of a function – just a translation for image!

**lemma** *range-eqI*:  $b = f\ x ==> b \in \text{range } f$   
 by *simp*

**lemma** *rangeI*:  $f\ x \in \text{range } f$   
 by *simp*

**lemma** *rangeE* [*elim?*]:  $b \in \text{range } (\lambda x. f\ x) ==> (!!x. b = f\ x ==> P) ==> P$   
 by *blast*

#### 4.4.19 Set reasoning tools

Rewrite rules for boolean case-splitting: faster than *split-if* [*split*].

**lemma** *split-if-eq1*:  $((\text{if } Q \text{ then } x \text{ else } y) = b) = ((Q \longrightarrow x = b) \ \& \ (\sim Q \longrightarrow y = b))$   
 by (rule *split-if*)

**lemma** *split-if-eq2*:  $(a = (\text{if } Q \text{ then } x \text{ else } y)) = ((Q \longrightarrow a = x) \ \& \ (\sim Q \longrightarrow a = y))$   
 by (rule *split-if*)

Split ifs on either side of the membership relation. Not for [*simp*] – can cause goals to blow up!

**lemma** *split-if-mem1*:  $((\text{if } Q \text{ then } x \text{ else } y) : b) = ((Q \longrightarrow x : b) \ \& \ (\sim Q \longrightarrow y : b))$   
 by (rule *split-if*)

**lemma** *split-if-mem2*:  $(a : (\text{if } Q \text{ then } x \text{ else } y)) = ((Q \longrightarrow a : x) \ \& \ (\sim Q \longrightarrow a : y))$   
 by (rule *split-if* [where  $P = \%S. a : S$ ])

**lemmas** *split-ifs* = *if-bool-eq-conj split-if-eq1 split-if-eq2 split-if-mem1 split-if-mem2*

**lemmas** *mem-simps* =  
*insert-iff empty-iff Un-iff Int-iff Compl-iff Diff-iff*

*mem-Collect-eq UN-iff Union-iff INT-iff Inter-iff*  
 — Each of these has ALREADY been added [*simp*] above.

```
ML <<
  val mksimps-pairs = [(Ball, @{thms bspec})] @ mksimps-pairs;
>>
declaration << fn - ==>
  Simplifier.map-ss (fn ss ==> ss setmksimps (mksimps mksimps-pairs))
>>
```

#### 4.4.20 The “proper subset” relation

**lemma** *psubsetI* [*intro!*,*noatp*]:  $A \subseteq B \implies A \neq B \implies A \subset B$   
 by (*unfold less-le*) *blast*

**lemma** *psubsetE* [*elim!*,*noatp*]:  
 $\llbracket A \subset B; \llbracket A \subseteq B; \sim (B \subseteq A) \rrbracket \implies R \rrbracket \implies R$   
 by (*unfold less-le*) *blast*

**lemma** *psubset-insert-iff*:  
 $(A \subset \text{insert } x \ B) = (\text{if } x \in B \text{ then } A \subset B \text{ else if } x \in A \text{ then } A - \{x\} \subset B \text{ else } A \subseteq B)$   
 by (*auto simp add: less-le subset-insert-iff*)

**lemma** *psubset-eq*:  $(A \subset B) = (A \subseteq B \ \& \ A \neq B)$   
 by (*simp only: less-le*)

**lemma** *psubset-imp-subset*:  $A \subset B \implies A \subseteq B$   
 by (*simp add: psubset-eq*)

**lemma** *psubset-trans*:  $\llbracket A \subset B; B \subset C \rrbracket \implies A \subset C$   
**apply** (*unfold less-le*)  
**apply** (*auto dest: subset-antisym*)  
**done**

**lemma** *psubsetD*:  $\llbracket A \subset B; c \in A \rrbracket \implies c \in B$   
**apply** (*unfold less-le*)  
**apply** (*auto dest: subsetD*)  
**done**

**lemma** *psubset-subset-trans*:  $A \subset B \implies B \subseteq C \implies A \subset C$   
 by (*auto simp add: psubset-eq*)

**lemma** *subset-psubset-trans*:  $A \subseteq B \implies B \subset C \implies A \subset C$   
 by (*auto simp add: psubset-eq*)

**lemma** *psubset-imp-ex-mem*:  $A \subset B \implies \exists b. b \in (B - A)$

**by** (*unfold less-le*) *blast*

**lemma** *atomize-ball*:

( $!!x. x \in A \implies P x$ )  $\implies$  *Trueprop* ( $\forall x \in A. P x$ )

**by** (*simp only: Ball-def atomize-all atomize-imp*)

**lemmas** [*symmetric, rulify*] = *atomize-ball*

**and** [*symmetric, defn*] = *atomize-ball*

## 4.5 Further set-theory lemmas

### 4.5.1 Derived rules involving subsets.

*insert.*

**lemma** *subset-insertI*:  $B \subseteq \text{insert } a \ B$

**by** (*rule subsetI*) (*erule insertI2*)

**lemma** *subset-insertI2*:  $A \subseteq B \implies A \subseteq \text{insert } b \ B$

**by** *blast*

**lemma** *subset-insert*:  $x \notin A \implies (A \subseteq \text{insert } x \ B) = (A \subseteq B)$

**by** *blast*

Big Union – least upper bound of a set.

**lemma** *Union-upper*:  $B \in A \implies B \subseteq \text{Union } A$

**by** (*iprover intro: subsetI UnionI*)

**lemma** *Union-least*: ( $!!X. X \in A \implies X \subseteq C$ )  $\implies \text{Union } A \subseteq C$

**by** (*iprover intro: subsetI elim: UnionE dest: subsetD*)

General union.

**lemma** *UN-upper*:  $a \in A \implies B \ a \subseteq (\bigcup_{x \in A. B \ x})$

**by** *blast*

**lemma** *UN-least*: ( $!!x. x \in A \implies B \ x \subseteq C$ )  $\implies (\bigcup_{x \in A. B \ x}) \subseteq C$

**by** (*iprover intro: subsetI elim: UN-E dest: subsetD*)

Big Intersection – greatest lower bound of a set.

**lemma** *Inter-lower*:  $B \in A \implies \text{Inter } A \subseteq B$

**by** *blast*

**lemma** *Inter-subset*:

( $!!X. X \in A \implies X \subseteq B; A \sim = \{\}$ )  $\implies \bigcap A \subseteq B$

**by** *blast*

**lemma** *Inter-greatest*: ( $!!X. X \in A \implies C \subseteq X$ )  $\implies C \subseteq \text{Inter } A$

**by** (*iprover intro: InterI subsetI dest: subsetD*)

**lemma** *INT-lower*:  $a \in A \implies (\bigcap_{x \in A}. B\ x) \subseteq B\ a$   
**by** *blast*

**lemma** *INT-greatest*:  $(!!x. x \in A \implies C \subseteq B\ x) \implies C \subseteq (\bigcap_{x \in A}. B\ x)$   
**by** (*iprover intro: INT-I subsetI dest: subsetD*)

Finite Union – the least upper bound of two sets.

**lemma** *Un-upper1*:  $A \subseteq A \cup B$   
**by** *blast*

**lemma** *Un-upper2*:  $B \subseteq A \cup B$   
**by** *blast*

**lemma** *Un-least*:  $A \subseteq C \implies B \subseteq C \implies A \cup B \subseteq C$   
**by** *blast*

Finite Intersection – the greatest lower bound of two sets.

**lemma** *Int-lower1*:  $A \cap B \subseteq A$   
**by** *blast*

**lemma** *Int-lower2*:  $A \cap B \subseteq B$   
**by** *blast*

**lemma** *Int-greatest*:  $C \subseteq A \implies C \subseteq B \implies C \subseteq A \cap B$   
**by** *blast*

Set difference.

**lemma** *Diff-subset*:  $A - B \subseteq A$   
**by** *blast*

**lemma** *Diff-subset-conv*:  $(A - B \subseteq C) = (A \subseteq B \cup C)$   
**by** *blast*

#### 4.5.2 Equalities involving union, intersection, inclusion, etc.

$\{\}$ .

**lemma** *Collect-const* [*simp*]:  $\{s. P\} = (\text{if } P \text{ then } \text{UNIV} \text{ else } \{\})$   
— supersedes *Collect-False-empty*  
**by** *auto*

**lemma** *subset-empty* [*simp*]:  $(A \subseteq \{\}) = (A = \{\})$   
**by** *blast*

**lemma** *not-psubset-empty* [*iff*]:  $\neg (A < \{\})$   
**by** (*unfold less-le*) *blast*



**lemma** *Collect-empty-eq* [simp]:  $(\text{Collect } P = \{\}) = (\forall x. \neg P x)$   
**by** *blast*

**lemma** *empty-Collect-eq* [simp]:  $(\{\} = \text{Collect } P) = (\forall x. \neg P x)$   
**by** *blast*

**lemma** *Collect-neg-eq*:  $\{x. \neg P x\} = - \{x. P x\}$   
**by** *blast*

**lemma** *Collect-disj-eq*:  $\{x. P x \mid Q x\} = \{x. P x\} \cup \{x. Q x\}$   
**by** *blast*

**lemma** *Collect-imp-eq*:  $\{x. P x \longrightarrow Q x\} = -\{x. P x\} \cup \{x. Q x\}$   
**by** *blast*

**lemma** *Collect-conj-eq*:  $\{x. P x \ \& \ Q x\} = \{x. P x\} \cap \{x. Q x\}$   
**by** *blast*

**lemma** *Collect-all-eq*:  $\{x. \forall y. P x y\} = (\bigcap y. \{x. P x y\})$   
**by** *blast*

**lemma** *Collect-ball-eq*:  $\{x. \forall y \in A. P x y\} = (\bigcap y \in A. \{x. P x y\})$   
**by** *blast*

**lemma** *Collect-ex-eq* [noatp]:  $\{x. \exists y. P x y\} = (\bigcup y. \{x. P x y\})$   
**by** *blast*

**lemma** *Collect-bex-eq* [noatp]:  $\{x. \exists y \in A. P x y\} = (\bigcup y \in A. \{x. P x y\})$   
**by** *blast*

*insert.*

**lemma** *insert-is-Un*:  $\text{insert } a \ A = \{a\} \ \text{Un } A$   
— NOT SUITABLE FOR REWRITING since  $\{a\} == \text{insert } a \ \{\}$   
**by** *blast*

**lemma** *insert-not-empty* [simp]:  $\text{insert } a \ A \neq \{\}$   
**by** *blast*

**lemmas** *empty-not-insert* = *insert-not-empty* [symmetric, standard]  
**declare** *empty-not-insert* [simp]

**lemma** *insert-absorb*:  $a \in A ==> \text{insert } a \ A = A$   
— [simp] causes recursive calls when there are nested inserts  
— with *quadratic* running time  
**by** *blast*

**lemma** *insert-absorb2* [simp]:  $\text{insert } x \ (\text{insert } x \ A) = \text{insert } x \ A$   
**by** *blast*

**lemma** *insert-commute*:  $\text{insert } x (\text{insert } y A) = \text{insert } y (\text{insert } x A)$   
**by** *blast*

**lemma** *insert-subset* [simp]:  $(\text{insert } x A \subseteq B) = (x \in B \ \& \ A \subseteq B)$   
**by** *blast*

**lemma** *mk-disjoint-insert*:  $a \in A \implies \exists B. A = \text{insert } a B \ \& \ a \notin B$   
— use new  $B$  rather than  $A - \{a\}$  to avoid infinite unfolding  
**apply** (*rule-tac*  $x = A - \{a\}$  **in** *exI*, *blast*)  
**done**

**lemma** *insert-Collect*:  $\text{insert } a (\text{Collect } P) = \{u. u \neq a \longrightarrow P u\}$   
**by** *auto*

**lemma** *UN-insert-distrib*:  $u \in A \implies (\bigcup_{x \in A. \text{insert } a (B x)} = \text{insert } a (\bigcup_{x \in A. B x})$   
**by** *blast*

**lemma** *insert-inter-insert*[simp]:  $\text{insert } a A \cap \text{insert } a B = \text{insert } a (A \cap B)$   
**by** *blast*

**lemma** *insert-disjoint* [simp, noatp]:  
 $(\text{insert } a A \cap B = \{\}) = (a \notin B \ \wedge \ A \cap B = \{\})$   
 $(\{\} = \text{insert } a A \cap B) = (a \notin B \ \wedge \ \{\} = A \cap B)$   
**by** *auto*

**lemma** *disjoint-insert* [simp, noatp]:  
 $(B \cap \text{insert } a A = \{\}) = (a \notin B \ \wedge \ B \cap A = \{\})$   
 $(\{\} = A \cap \text{insert } b B) = (b \notin A \ \wedge \ \{\} = A \cap B)$   
**by** *auto*

*image.*

**lemma** *image-empty* [simp]:  $f' \{\} = \{\}$   
**by** *blast*

**lemma** *image-insert* [simp]:  $f' \text{insert } a B = \text{insert } (f a) (f' B)$   
**by** *blast*

**lemma** *image-constant*:  $x \in A \implies (\lambda x. c)' A = \{c\}$   
**by** *auto*

**lemma** *image-constant-conv*:  $(\%x. c)' A = (\text{if } A = \{\} \text{ then } \{\} \text{ else } \{c\})$   
**by** *auto*

**lemma** *image-image*:  $f' (g' A) = (\lambda x. f (g x))' A$   
**by** *blast*

**lemma** *insert-image* [simp]:  $x \in A \implies \text{insert } (f x) (f' A) = f' A$   
**by** *blast*

**lemma** *image-is-empty* [iff]:  $(f^{\circ}A = \{\}) = (A = \{\})$   
**by** *blast*

**lemma** *image-Collect* [noatp]:  $f^{\circ} \{x. P\ x\} = \{f\ x \mid x. P\ x\}$   
 — NOT suitable as a default simp rule: the RHS isn’t simpler than the LHS, with its implicit quantifier and conjunction. Also image enjoys better equational properties than does the RHS.  
**by** *blast*

**lemma** *if-image-distrib* [simp]:  
 $(\lambda x. \text{if } P\ x \text{ then } f\ x \text{ else } g\ x)^{\circ} S$   
 $= (f^{\circ} (S \cap \{x. P\ x\})) \cup (g^{\circ} (S \cap \{x. \neg P\ x\}))$   
**by** (*auto simp add: image-def*)

**lemma** *image-cong*:  $M = N \implies (!x. x \in N \implies f\ x = g\ x) \implies f^{\circ}M = g^{\circ}N$   
**by** (*simp add: image-def*)

*range.*

**lemma** *full-SetCompr-eq* [noatp]:  $\{u. \exists x. u = f\ x\} = \text{range } f$   
**by** *auto*

**lemma** *range-composition* [simp]:  $\text{range } (\lambda x. f\ (g\ x)) = f^{\circ} \text{range } g$   
**by** (*subst image-image, simp*)

*Int*

**lemma** *Int-absorb* [simp]:  $A \cap A = A$   
**by** *blast*

**lemma** *Int-left-absorb*:  $A \cap (A \cap B) = A \cap B$   
**by** *blast*

**lemma** *Int-commute*:  $A \cap B = B \cap A$   
**by** *blast*

**lemma** *Int-left-commute*:  $A \cap (B \cap C) = B \cap (A \cap C)$   
**by** *blast*

**lemma** *Int-assoc*:  $(A \cap B) \cap C = A \cap (B \cap C)$   
**by** *blast*

**lemmas** *Int-ac = Int-assoc Int-left-absorb Int-commute Int-left-commute*  
 — Intersection is an AC-operator

**lemma** *Int-absorb1*:  $B \subseteq A \implies A \cap B = B$   
**by** *blast*

**lemma** *Int-absorb2*:  $A \subseteq B \implies A \cap B = A$   
**by** *blast*

**lemma** *Int-empty-left* [*simp*]:  $\{\} \cap B = \{\}$   
**by** *blast*

**lemma** *Int-empty-right* [*simp*]:  $A \cap \{\} = \{\}$   
**by** *blast*

**lemma** *disjoint-eq-subset-Compl*:  $(A \cap B = \{\}) = (A \subseteq -B)$   
**by** *blast*

**lemma** *disjoint-iff-not-equal*:  $(A \cap B = \{\}) = (\forall x \in A. \forall y \in B. x \neq y)$   
**by** *blast*

**lemma** *Int-UNIV-left* [*simp*]:  $UNIV \cap B = B$   
**by** *blast*

**lemma** *Int-UNIV-right* [*simp*]:  $A \cap UNIV = A$   
**by** *blast*

**lemma** *Int-eq-Inter*:  $A \cap B = \bigcap \{A, B\}$   
**by** *blast*

**lemma** *Int-Un-distrib*:  $A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$   
**by** *blast*

**lemma** *Int-Un-distrib2*:  $(B \cup C) \cap A = (B \cap A) \cup (C \cap A)$   
**by** *blast*

**lemma** *Int-UNIV* [*simp*,*noatp*]:  $(A \cap B = UNIV) = (A = UNIV \ \& \ B = UNIV)$   
**by** *blast*

**lemma** *Int-subset-iff* [*simp*]:  $(C \subseteq A \cap B) = (C \subseteq A \ \& \ C \subseteq B)$   
**by** *blast*

**lemma** *Int-Collect*:  $(x \in A \cap \{x. P\ x\}) = (x \in A \ \& \ P\ x)$   
**by** *blast*

*Un.*

**lemma** *Un-absorb* [*simp*]:  $A \cup A = A$   
**by** *blast*

**lemma** *Un-left-absorb*:  $A \cup (A \cup B) = A \cup B$   
**by** *blast*

**lemma** *Un-commute*:  $A \cup B = B \cup A$   
**by** *blast*

**lemma** *Un-left-commute*:  $A \cup (B \cup C) = B \cup (A \cup C)$   
**by** *blast*

**lemma** *Un-assoc*:  $(A \cup B) \cup C = A \cup (B \cup C)$   
**by** *blast*

**lemmas** *Un-ac = Un-assoc Un-left-absorb Un-commute Un-left-commute*  
 — Union is an AC-operator

**lemma** *Un-absorb1*:  $A \subseteq B \implies A \cup B = B$   
**by** *blast*

**lemma** *Un-absorb2*:  $B \subseteq A \implies A \cup B = A$   
**by** *blast*

**lemma** *Un-empty-left [simp]*:  $\{\} \cup B = B$   
**by** *blast*

**lemma** *Un-empty-right [simp]*:  $A \cup \{\} = A$   
**by** *blast*

**lemma** *Un-UNIV-left [simp]*:  $UNIV \cup B = UNIV$   
**by** *blast*

**lemma** *Un-UNIV-right [simp]*:  $A \cup UNIV = UNIV$   
**by** *blast*

**lemma** *Un-eq-Union*:  $A \cup B = \bigcup \{A, B\}$   
**by** *blast*

**lemma** *Un-insert-left [simp]*:  $(\text{insert } a \ B) \cup C = \text{insert } a \ (B \cup C)$   
**by** *blast*

**lemma** *Un-insert-right [simp]*:  $A \cup (\text{insert } a \ B) = \text{insert } a \ (A \cup B)$   
**by** *blast*

**lemma** *Int-insert-left*:  
 $(\text{insert } a \ B) \cap C = (\text{if } a \in C \text{ then } \text{insert } a \ (B \cap C) \text{ else } B \cap C)$   
**by** *auto*

**lemma** *Int-insert-right*:  
 $A \cap (\text{insert } a \ B) = (\text{if } a \in A \text{ then } \text{insert } a \ (A \cap B) \text{ else } A \cap B)$   
**by** *auto*

**lemma** *Un-Int-distrib*:  $A \cup (B \cap C) = (A \cup B) \cap (A \cup C)$   
**by** *blast*

**lemma** *Un-Int-distrib2*:  $(B \cap C) \cup A = (B \cup A) \cap (C \cup A)$   
**by** *blast*

**lemma** *Un-Int-crazy*:

$$(A \cap B) \cup (B \cap C) \cup (C \cap A) = (A \cup B) \cap (B \cup C) \cap (C \cup A)$$

**by** *blast*

**lemma** *subset-Un-eq*:  $(A \subseteq B) = (A \cup B = B)$

**by** *blast*

**lemma** *Un-empty [iff]*:  $(A \cup B = \{\}) = (A = \{\} \ \& \ B = \{\})$

**by** *blast*

**lemma** *Un-subset-iff [simp]*:  $(A \cup B \subseteq C) = (A \subseteq C \ \& \ B \subseteq C)$

**by** *blast*

**lemma** *Un-Diff-Int*:  $(A - B) \cup (A \cap B) = A$

**by** *blast*

**lemma** *Diff-Int2*:  $A \cap C - B \cap C = A \cap C - B$

**by** *blast*

Set complement

**lemma** *Compl-disjoint [simp]*:  $A \cap -A = \{\}$

**by** *blast*

**lemma** *Compl-disjoint2 [simp]*:  $-A \cap A = \{\}$

**by** *blast*

**lemma** *Compl-partition*:  $A \cup -A = UNIV$

**by** *blast*

**lemma** *Compl-partition2*:  $-A \cup A = UNIV$

**by** *blast*

**lemma** *double-complement [simp]*:  $-(-A) = (A::'a \text{ set})$

**by** *blast*

**lemma** *Compl-Un [simp]*:  $-(A \cup B) = (-A) \cap (-B)$

**by** *blast*

**lemma** *Compl-Int [simp]*:  $-(A \cap B) = (-A) \cup (-B)$

**by** *blast*

**lemma** *Compl-UN [simp]*:  $-(\bigcup x \in A. B \ x) = (\bigcap x \in A. -B \ x)$

**by** *blast*

**lemma** *Compl-INT [simp]*:  $-(\bigcap x \in A. B \ x) = (\bigcup x \in A. -B \ x)$

**by** *blast*

**lemma** *subset-Compl-self-eq*:  $(A \subseteq -A) = (A = \{\})$

by *blast*

**lemma** *Un-Int-assoc-eq*:  $((A \cap B) \cup C = A \cap (B \cup C)) = (C \subseteq A)$   
 — Halmos, Naive Set Theory, page 16.  
 by *blast*

**lemma** *Compl-UNIV-eq* [simp]:  $-UNIV = \{\}$   
 by *blast*

**lemma** *Compl-empty-eq* [simp]:  $-\{\} = UNIV$   
 by *blast*

**lemma** *Compl-subset-Compl-iff* [iff]:  $(-A \subseteq -B) = (B \subseteq A)$   
 by *blast*

**lemma** *Compl-eq-Compl-iff* [iff]:  $(-A = -B) = (A = (B::'a \text{ set}))$   
 by *blast*

*Union.*

**lemma** *Union-empty* [simp]:  $Union(\{\}) = \{\}$   
 by *blast*

**lemma** *Union-UNIV* [simp]:  $Union UNIV = UNIV$   
 by *blast*

**lemma** *Union-insert* [simp]:  $Union (\text{insert } a B) = a \cup \bigcup B$   
 by *blast*

**lemma** *Union-Un-distrib* [simp]:  $\bigcup (A \text{ Un } B) = \bigcup A \cup \bigcup B$   
 by *blast*

**lemma** *Union-Int-subset*:  $\bigcup (A \cap B) \subseteq \bigcup A \cap \bigcup B$   
 by *blast*

**lemma** *Union-empty-conv* [simp,noatp]:  $(\bigcup A = \{\}) = (\forall x \in A. x = \{\})$   
 by *blast*

**lemma** *empty-Union-conv* [simp,noatp]:  $(\{\} = \bigcup A) = (\forall x \in A. x = \{\})$   
 by *blast*

**lemma** *Union-disjoint*:  $(\bigcup C \cap A = \{\}) = (\forall B \in C. B \cap A = \{\})$   
 by *blast*

*Inter.*

**lemma** *Inter-empty* [simp]:  $\bigcap \{\} = UNIV$   
 by *blast*

**lemma** *Inter-UNIV* [simp]:  $\bigcap UNIV = \{\}$

by *blast*

**lemma** *Inter-insert* [simp]:  $\bigcap (\text{insert } a \ B) = a \cap \bigcap B$   
by *blast*

**lemma** *Inter-Un-subset*:  $\bigcap A \cup \bigcap B \subseteq \bigcap (A \cap B)$   
by *blast*

**lemma** *Inter-Un-distrib*:  $\bigcap (A \cup B) = \bigcap A \cap \bigcap B$   
by *blast*

**lemma** *Inter-UNIV-conv* [simp, noatp]:  
 $(\bigcap A = \text{UNIV}) = (\forall x \in A. x = \text{UNIV})$   
 $(\text{UNIV} = \bigcap A) = (\forall x \in A. x = \text{UNIV})$   
 by *blast+*

*UN* and *INT*.

Basic identities:

**lemma** *UN-empty* [simp, noatp]:  $(\bigcup x \in \{\}. B \ x) = \{\}$   
by *blast*

**lemma** *UN-empty2* [simp]:  $(\bigcup x \in A. \{\}) = \{\}$   
by *blast*

**lemma** *UN-singleton* [simp]:  $(\bigcup x \in A. \{x\}) = A$   
by *blast*

**lemma** *UN-absorb*:  $k \in I \implies A \ k \cup (\bigcup i \in I. A \ i) = (\bigcup i \in I. A \ i)$   
by *auto*

**lemma** *INT-empty* [simp]:  $(\bigcap x \in \{\}. B \ x) = \text{UNIV}$   
by *blast*

**lemma** *INT-absorb*:  $k \in I \implies A \ k \cap (\bigcap i \in I. A \ i) = (\bigcap i \in I. A \ i)$   
by *blast*

**lemma** *UN-insert* [simp]:  $(\bigcup x \in \text{insert } a \ A. B \ x) = B \ a \cup \text{UNION } A \ B$   
by *blast*

**lemma** *UN-Un* [simp]:  $(\bigcup i \in A \cup B. M \ i) = (\bigcup i \in A. M \ i) \cup (\bigcup i \in B. M \ i)$   
by *blast*

**lemma** *UN-UN-flatten*:  $(\bigcup x \in (\bigcup y \in A. B \ y). C \ x) = (\bigcup y \in A. \bigcup x \in B \ y. C \ x)$   
by *blast*

**lemma** *UN-subset-iff*:  $((\bigcup i \in I. A \ i) \subseteq B) = (\forall i \in I. A \ i \subseteq B)$   
by *blast*

**lemma** *INT-subset-iff*:  $(B \subseteq (\bigcap i \in I. A \ i)) = (\forall i \in I. B \subseteq A \ i)$



by *blast*

**lemma** *INT-insert [simp]*:  $(\bigcap x \in \text{insert } a \ A. B \ x) = B \ a \cap \text{INTER } A \ B$   
by *blast*

**lemma** *INT-Un*:  $(\bigcap i \in A \cup B. M \ i) = (\bigcap i \in A. M \ i) \cap (\bigcap i \in B. M \ i)$   
by *blast*

**lemma** *INT-insert-distrib*:  
 $u \in A \implies (\bigcap x \in A. \text{insert } a \ (B \ x)) = \text{insert } a \ (\bigcap x \in A. B \ x)$   
by *blast*

**lemma** *Union-image-eq [simp]*:  $\bigcup (B' A) = (\bigcup x \in A. B \ x)$   
by *blast*

**lemma** *image-Union*:  $f' \bigcup S = (\bigcup x \in S. f' \ x)$   
by *blast*

**lemma** *Inter-image-eq [simp]*:  $\bigcap (B' A) = (\bigcap x \in A. B \ x)$   
by *blast*

**lemma** *UN-constant [simp]*:  $(\bigcup y \in A. c) = (\text{if } A = \{\} \text{ then } \{\} \text{ else } c)$   
by *auto*

**lemma** *INT-constant [simp]*:  $(\bigcap y \in A. c) = (\text{if } A = \{\} \text{ then } \text{UNIV} \text{ else } c)$   
by *auto*

**lemma** *UN-eq*:  $(\bigcup x \in A. B \ x) = \bigcup (\{Y. \exists x \in A. Y = B \ x\})$   
by *blast*

**lemma** *INT-eq*:  $(\bigcap x \in A. B \ x) = \bigcap (\{Y. \exists x \in A. Y = B \ x\})$   
— Look: it has an *existential* quantifier  
by *blast*

**lemma** *UNION-empty-conv[simp]*:  
 $(\{\} = (\text{UN } x:A. B \ x)) = (\forall x \in A. B \ x = \{\})$   
 $((\text{UN } x:A. B \ x) = \{\}) = (\forall x \in A. B \ x = \{\})$   
by *blast+*

**lemma** *INTER-UNIV-conv[simp]*:  
 $(\text{UNIV} = (\text{INT } x:A. B \ x)) = (\forall x \in A. B \ x = \text{UNIV})$   
 $((\text{INT } x:A. B \ x) = \text{UNIV}) = (\forall x \in A. B \ x = \text{UNIV})$   
by *blast+*

Distributive laws:

**lemma** *Int-Union*:  $A \cap \bigcup B = (\bigcup C \in B. A \cap C)$   
by *blast*

**lemma** *Int-Union2*:  $\bigcup B \cap A = (\bigcup C \in B. C \cap A)$

by *blast*

**lemma** *Un-Union-image*:  $(\bigcup x \in C. A \ x \cup B \ x) = \bigcup (A' C) \cup \bigcup (B' C)$   
 — Devlin, Fundamentals of Contemporary Set Theory, page 12, exercise 5:  
 — Union of a family of unions  
 by *blast*

**lemma** *UN-Un-distrib*:  $(\bigcup i \in I. A \ i \cup B \ i) = (\bigcup i \in I. A \ i) \cup (\bigcup i \in I. B \ i)$   
 — Equivalent version  
 by *blast*

**lemma** *Un-Inter*:  $A \cup \bigcap B = (\bigcap C \in B. A \cup C)$   
 by *blast*

**lemma** *Int-Inter-image*:  $(\bigcap x \in C. A \ x \cap B \ x) = \bigcap (A' C) \cap \bigcap (B' C)$   
 by *blast*

**lemma** *INT-Int-distrib*:  $(\bigcap i \in I. A \ i \cap B \ i) = (\bigcap i \in I. A \ i) \cap (\bigcap i \in I. B \ i)$   
 — Equivalent version  
 by *blast*

**lemma** *Int-UN-distrib*:  $B \cap (\bigcup i \in I. A \ i) = (\bigcup i \in I. B \cap A \ i)$   
 — Halmos, Naive Set Theory, page 35.  
 by *blast*

**lemma** *Un-INT-distrib*:  $B \cup (\bigcap i \in I. A \ i) = (\bigcap i \in I. B \cup A \ i)$   
 by *blast*

**lemma** *Int-UN-distrib2*:  $(\bigcup i \in I. A \ i) \cap (\bigcup j \in J. B \ j) = (\bigcup i \in I. \bigcup j \in J. A \ i \cap B \ j)$   
 by *blast*

**lemma** *Un-INT-distrib2*:  $(\bigcap i \in I. A \ i) \cup (\bigcap j \in J. B \ j) = (\bigcap i \in I. \bigcap j \in J. A \ i \cup B \ j)$   
 by *blast*

Bounded quantifiers.

The following are not added to the default simpset because (a) they duplicate the body and (b) there are no similar rules for *Int*.

**lemma** *ball-Un*:  $(\forall x \in A \cup B. P \ x) = ((\forall x \in A. P \ x) \ \& \ (\forall x \in B. P \ x))$   
 by *blast*

**lemma** *beX-Un*:  $(\exists x \in A \cup B. P \ x) = ((\exists x \in A. P \ x) \ | \ (\exists x \in B. P \ x))$   
 by *blast*

**lemma** *ball-UN*:  $(\forall z \in \text{UNION } A \ B. P \ z) = (\forall x \in A. \forall z \in B \ x. P \ z)$   
 by *blast*

**lemma** *bex-UN*:  $(\exists z \in \text{UNION } A \ B. P \ z) = (\exists x \in A. \exists z \in B \ x. P \ z)$   
**by** *blast*

Set difference.

**lemma** *Diff-eq*:  $A - B = A \cap (-B)$   
**by** *blast*

**lemma** *Diff-eq-empty-iff* [*simp, noatp*]:  $(A - B = \{\}) = (A \subseteq B)$   
**by** *blast*

**lemma** *Diff-cancel* [*simp*]:  $A - A = \{\}$   
**by** *blast*

**lemma** *Diff-idemp* [*simp*]:  $(A - B) - B = A - (B::'a \text{ set})$   
**by** *blast*

**lemma** *Diff-triv*:  $A \cap B = \{\} \implies A - B = A$   
**by** (*blast elim: equalityE*)

**lemma** *empty-Diff* [*simp*]:  $\{\} - A = \{\}$   
**by** *blast*

**lemma** *Diff-empty* [*simp*]:  $A - \{\} = A$   
**by** *blast*

**lemma** *Diff-UNIV* [*simp*]:  $A - \text{UNIV} = \{\}$   
**by** *blast*

**lemma** *Diff-insert0* [*simp, noatp*]:  $x \notin A \implies A - \text{insert } x \ B = A - B$   
**by** *blast*

**lemma** *Diff-insert*:  $A - \text{insert } a \ B = A - B - \{a\}$   
 — NOT SUITABLE FOR REWRITING since  $\{a\} == \text{insert } a \ 0$   
**by** *blast*

**lemma** *Diff-insert2*:  $A - \text{insert } a \ B = A - \{a\} - B$   
 — NOT SUITABLE FOR REWRITING since  $\{a\} == \text{insert } a \ 0$   
**by** *blast*

**lemma** *insert-Diff-if*:  $\text{insert } x \ A - B = (\text{if } x \in B \text{ then } A - B \text{ else } \text{insert } x \ (A - B))$   
**by** *auto*

**lemma** *insert-Diff1* [*simp*]:  $x \in B \implies \text{insert } x \ A - B = A - B$   
**by** *blast*

**lemma** *insert-Diff-single* [*simp*]:  $\text{insert } a \ (A - \{a\}) = \text{insert } a \ A$   
**by** *blast*

**lemma** *insert-Diff*:  $a \in A \implies \text{insert } a (A - \{a\}) = A$   
**by** *blast*

**lemma** *Diff-insert-absorb*:  $x \notin A \implies (\text{insert } x A) - \{x\} = A$   
**by** *auto*

**lemma** *Diff-disjoint [simp]*:  $A \cap (B - A) = \{\}$   
**by** *blast*

**lemma** *Diff-partition*:  $A \subseteq B \implies A \cup (B - A) = B$   
**by** *blast*

**lemma** *double-diff*:  $A \subseteq B \implies B \subseteq C \implies B - (C - A) = A$   
**by** *blast*

**lemma** *Un-Diff-cancel [simp]*:  $A \cup (B - A) = A \cup B$   
**by** *blast*

**lemma** *Un-Diff-cancel2 [simp]*:  $(B - A) \cup A = B \cup A$   
**by** *blast*

**lemma** *Diff-Un*:  $A - (B \cup C) = (A - B) \cap (A - C)$   
**by** *blast*

**lemma** *Diff-Int*:  $A - (B \cap C) = (A - B) \cup (A - C)$   
**by** *blast*

**lemma** *Un-Diff*:  $(A \cup B) - C = (A - C) \cup (B - C)$   
**by** *blast*

**lemma** *Int-Diff*:  $(A \cap B) - C = A \cap (B - C)$   
**by** *blast*

**lemma** *Diff-Int-distrib*:  $C \cap (A - B) = (C \cap A) - (C \cap B)$   
**by** *blast*

**lemma** *Diff-Int-distrib2*:  $(A - B) \cap C = (A \cap C) - (B \cap C)$   
**by** *blast*

**lemma** *Diff-Compl [simp]*:  $A - (- B) = A \cap B$   
**by** *auto*

**lemma** *Compl-Diff-eq [simp]*:  $- (A - B) = -A \cup B$   
**by** *blast*

Quantification over type *bool*.

**lemma** *bool-induct*:  $P \text{ True} \implies P \text{ False} \implies P x$   
**by** *(cases x) auto*

**lemma** *all-bool-eq*:  $(\forall b. P\ b) \longleftrightarrow P\ \text{True} \wedge P\ \text{False}$   
**by** (*auto intro: bool-induct*)

**lemma** *bool-contrapos*:  $P\ x \Longrightarrow \neg P\ \text{False} \Longrightarrow P\ \text{True}$   
**by** (*cases x auto*)

**lemma** *ex-bool-eq*:  $(\exists b. P\ b) \longleftrightarrow P\ \text{True} \vee P\ \text{False}$   
**by** (*auto intro: bool-contrapos*)

**lemma** *Un-eq-UN*:  $A \cup B = (\bigcup b. \text{if } b \text{ then } A \text{ else } B)$   
**by** (*auto simp add: split-if-mem2*)

**lemma** *UN-bool-eq*:  $(\bigcup b::\text{bool}. A\ b) = (A\ \text{True} \cup A\ \text{False})$   
**by** (*auto intro: bool-contrapos*)

**lemma** *INT-bool-eq*:  $(\bigcap b::\text{bool}. A\ b) = (A\ \text{True} \cap A\ \text{False})$   
**by** (*auto intro: bool-induct*)

*Pow*

**lemma** *Pow-empty [simp]*:  $\text{Pow}\ \{\} = \{\{\}\}$   
**by** (*auto simp add: Pow-def*)

**lemma** *Pow-insert*:  $\text{Pow}\ (\text{insert } a\ A) = \text{Pow}\ A \cup (\text{insert } a\ \text{'Pow } A)$   
**by** (*blast intro: image-eqI [where ?x = u - {a}, standard]*)

**lemma** *Pow-Compl*:  $\text{Pow}\ (\neg\ A) = \{\neg B \mid B. A \in \text{Pow}\ B\}$   
**by** (*blast intro: exI [where ?x = - u, standard]*)

**lemma** *Pow-UNIV [simp]*:  $\text{Pow}\ \text{UNIV} = \text{UNIV}$   
**by** *blast*

**lemma** *Un-Pow-subset*:  $\text{Pow}\ A \cup \text{Pow}\ B \subseteq \text{Pow}\ (A \cup B)$   
**by** *blast*

**lemma** *UN-Pow-subset*:  $(\bigcup x \in A. \text{Pow}\ (B\ x)) \subseteq \text{Pow}\ (\bigcup x \in A. B\ x)$   
**by** *blast*

**lemma** *subset-Pow-Union*:  $A \subseteq \text{Pow}\ (\bigcup A)$   
**by** *blast*

**lemma** *Union-Pow-eq [simp]*:  $\bigcup (\text{Pow}\ A) = A$   
**by** *blast*

**lemma** *Pow-Int-eq [simp]*:  $\text{Pow}\ (A \cap B) = \text{Pow}\ A \cap \text{Pow}\ B$   
**by** *blast*

**lemma** *Pow-INT-eq*:  $\text{Pow}\ (\bigcap x \in A. B\ x) = (\bigcap x \in A. \text{Pow}\ (B\ x))$   
**by** *blast*

Miscellany.

**lemma** *set-eq-subset*:  $(A = B) = (A \subseteq B \ \& \ B \subseteq A)$   
**by** *blast*

**lemma** *subset-iff*:  $(A \subseteq B) = (\forall t. t \in A \longrightarrow t \in B)$   
**by** *blast*

**lemma** *subset-iff-psubset-eq*:  $(A \subseteq B) = ((A \subset B) \mid (A = B))$   
**by** (*unfold less-le*) *blast*

**lemma** *all-not-in-conv* [*simp*]:  $(\forall x. x \notin A) = (A = \{\})$   
**by** *blast*

**lemma** *ex-in-conv*:  $(\exists x. x \in A) = (A \neq \{\})$   
**by** *blast*

**lemma** *distinct-lemma*:  $f\ x \neq f\ y \implies x \neq y$   
**by** *iprover*

Miniscoping: pushing in quantifiers and big Unions and Intersections.

**lemma** *UN-simps* [*simp*]:  
 $!!a\ B\ C. (UN\ x:C. insert\ a\ (B\ x)) = (if\ C=\{\}\ then\ \{\}\ else\ insert\ a\ (UN\ x:C. B\ x))$   
 $!!A\ B\ C. (UN\ x:C. A\ x\ Un\ B) = ((if\ C=\{\}\ then\ \{\}\ else\ (UN\ x:C. A\ x)\ Un\ B))$   
 $!!A\ B\ C. (UN\ x:C. A\ Un\ B\ x) = ((if\ C=\{\}\ then\ \{\}\ else\ A\ Un\ (UN\ x:C. B\ x)))$   
 $!!A\ B\ C. (UN\ x:C. A\ x\ Int\ B) = ((UN\ x:C. A\ x)\ Int\ B)$   
 $!!A\ B\ C. (UN\ x:C. A\ Int\ B\ x) = (A\ Int\ (UN\ x:C. B\ x))$   
 $!!A\ B\ C. (UN\ x:C. A\ x - B) = ((UN\ x:C. A\ x) - B)$   
 $!!A\ B\ C. (UN\ x:C. A - B\ x) = (A - (INT\ x:C. B\ x))$   
 $!!A\ B. (UN\ x: Union\ A. B\ x) = (UN\ y:A. UN\ x:y. B\ x)$   
 $!!A\ B\ C. (UN\ z: UNION\ A\ B. C\ z) = (UN\ x:A. UN\ z: B(x). C\ z)$   
 $!!A\ B\ f. (UN\ x:f\ A. B\ x) = (UN\ a:A. B\ (f\ a))$   
**by** *auto*

**lemma** *INT-simps* [*simp*]:  
 $!!A\ B\ C. (INT\ x:C. A\ x\ Int\ B) = (if\ C=\{\}\ then\ UNIV\ else\ (INT\ x:C. A\ x)\ Int\ B)$   
 $!!A\ B\ C. (INT\ x:C. A\ Int\ B\ x) = (if\ C=\{\}\ then\ UNIV\ else\ A\ Int\ (INT\ x:C. B\ x))$   
 $!!A\ B\ C. (INT\ x:C. A\ x - B) = (if\ C=\{\}\ then\ UNIV\ else\ (INT\ x:C. A\ x) - B)$   
 $!!A\ B\ C. (INT\ x:C. A - B\ x) = (if\ C=\{\}\ then\ UNIV\ else\ A - (UN\ x:C. B\ x))$   
 $!!a\ B\ C. (INT\ x:C. insert\ a\ (B\ x)) = insert\ a\ (INT\ x:C. B\ x)$   
 $!!A\ B\ C. (INT\ x:C. A\ x\ Un\ B) = ((INT\ x:C. A\ x)\ Un\ B)$   
 $!!A\ B\ C. (INT\ x:C. A\ Un\ B\ x) = (A\ Un\ (INT\ x:C. B\ x))$

$!!A B. (INT x: Union A. B x) = (INT y:A. INT x:y. B x)$   
 $!!A B C. (INT z: UNION A B. C z) = (INT x:A. INT z: B(x). C z)$   
 $!!A B f. (INT x:f'A. B x) = (INT a:A. B (f a))$   
**by** *auto*

**lemma** *ball-simps* [*simp, noatp*]:

$!!A P Q. (ALL x:A. P x \mid Q) = ((ALL x:A. P x) \mid Q)$   
 $!!A P Q. (ALL x:A. P \mid Q x) = (P \mid (ALL x:A. Q x))$   
 $!!A P Q. (ALL x:A. P \dashrightarrow Q x) = (P \dashrightarrow (ALL x:A. Q x))$   
 $!!A P Q. (ALL x:A. P x \dashrightarrow Q) = ((EX x:A. P x) \dashrightarrow Q)$   
 $!!P. (ALL x:\{\}. P x) = True$   
 $!!P. (ALL x:UNIV. P x) = (ALL x. P x)$   
 $!!a B P. (ALL x:insert a B. P x) = (P a \& (ALL x:B. P x))$   
 $!!A P. (ALL x:Union A. P x) = (ALL y:A. ALL x:y. P x)$   
 $!!A B P. (ALL x: UNION A B. P x) = (ALL a:A. ALL x: B a. P x)$   
 $!!P Q. (ALL x:Collect Q. P x) = (ALL x. Q x \dashrightarrow P x)$   
 $!!A P f. (ALL x:f'A. P x) = (ALL x:A. P (f x))$   
 $!!A P. (\sim(ALL x:A. P x)) = (EX x:A. \sim P x)$   
**by** *auto*

**lemma** *bex-simps* [*simp, noatp*]:

$!!A P Q. (EX x:A. P x \& Q) = ((EX x:A. P x) \& Q)$   
 $!!A P Q. (EX x:A. P \& Q x) = (P \& (EX x:A. Q x))$   
 $!!P. (EX x:\{\}. P x) = False$   
 $!!P. (EX x:UNIV. P x) = (EX x. P x)$   
 $!!a B P. (EX x:insert a B. P x) = (P(a) \mid (EX x:B. P x))$   
 $!!A P. (EX x:Union A. P x) = (EX y:A. EX x:y. P x)$   
 $!!A B P. (EX x: UNION A B. P x) = (EX a:A. EX x:B a. P x)$   
 $!!P Q. (EX x:Collect Q. P x) = (EX x. Q x \& P x)$   
 $!!A P f. (EX x:f'A. P x) = (EX x:A. P (f x))$   
 $!!A P. (\sim(EX x:A. P x)) = (ALL x:A. \sim P x)$   
**by** *auto*

**lemma** *ball-conj-distrib*:

$(ALL x:A. P x \& Q x) = ((ALL x:A. P x) \& (ALL x:A. Q x))$   
**by** *blast*

**lemma** *bex-disj-distrib*:

$(EX x:A. P x \mid Q x) = ((EX x:A. P x) \mid (EX x:A. Q x))$   
**by** *blast*

Maxiscoping: pulling out big Unions and Intersections.

**lemma** *UN-extend-simps*:

$!!a B C. insert a (UN x:C. B x) = (if C=\{\} then \{a\} else (UN x:C. insert a (B x)))$   
 $!!A B C. (UN x:C. A x) Un B = (if C=\{\} then B else (UN x:C. A x Un B))$   
 $!!A B C. A Un (UN x:C. B x) = (if C=\{\} then A else (UN x:C. A Un B x))$   
 $!!A B C. ((UN x:C. A x) Int B) = (UN x:C. A x Int B)$   
 $!!A B C. (A Int (UN x:C. B x)) = (UN x:C. A Int B x)$

$!!A \ B \ C. ((UN \ x:C. \ A \ x) - B) = (UN \ x:C. \ A \ x - B)$   
 $!!A \ B \ C. (A - (INT \ x:C. \ B \ x)) = (UN \ x:C. \ A - B \ x)$   
 $!!A \ B. (UN \ y:A. \ UN \ x:y. \ B \ x) = (UN \ x: \ Union \ A. \ B \ x)$   
 $!!A \ B \ C. (UN \ x:A. \ UN \ z: \ B(x). \ C \ z) = (UN \ z: \ UNION \ A \ B. \ C \ z)$   
 $!!A \ B \ f. (UN \ a:A. \ B \ (f \ a)) = (UN \ x:f'A. \ B \ x)$   
**by** *auto*

**lemma** *INT-extend-simps*:

$!!A \ B \ C. (INT \ x:C. \ A \ x) \ Int \ B = (if \ C=\{\} \ then \ B \ else \ (INT \ x:C. \ A \ x \ Int \ B))$   
 $!!A \ B \ C. \ A \ Int \ (INT \ x:C. \ B \ x) = (if \ C=\{\} \ then \ A \ else \ (INT \ x:C. \ A \ Int \ B \ x))$   
 $!!A \ B \ C. (INT \ x:C. \ A \ x) - B = (if \ C=\{\} \ then \ UNIV - B \ else \ (INT \ x:C. \ A \ x - B))$   
 $!!A \ B \ C. \ A - (UN \ x:C. \ B \ x) = (if \ C=\{\} \ then \ A \ else \ (INT \ x:C. \ A - B \ x))$   
 $!!a \ B \ C. \ insert \ a \ (INT \ x:C. \ B \ x) = (INT \ x:C. \ insert \ a \ (B \ x))$   
 $!!A \ B \ C. ((INT \ x:C. \ A \ x) \ Un \ B) = (INT \ x:C. \ A \ x \ Un \ B)$   
 $!!A \ B \ C. \ A \ Un \ (INT \ x:C. \ B \ x) = (INT \ x:C. \ A \ Un \ B \ x)$   
 $!!A \ B. (INT \ y:A. \ INT \ x:y. \ B \ x) = (INT \ x: \ Union \ A. \ B \ x)$   
 $!!A \ B \ C. (INT \ x:A. \ INT \ z: \ B(x). \ C \ z) = (INT \ z: \ UNION \ A \ B. \ C \ z)$   
 $!!A \ B \ f. (INT \ a:A. \ B \ (f \ a)) = (INT \ x:f'A. \ B \ x)$   
**by** *auto*

#### 4.5.3 Monotonicity of various operations

**lemma** *image-mono*:  $A \subseteq B \implies f'A \subseteq f'B$   
**by** *blast*

**lemma** *Pow-mono*:  $A \subseteq B \implies Pow \ A \subseteq Pow \ B$   
**by** *blast*

**lemma** *Union-mono*:  $A \subseteq B \implies \bigcup A \subseteq \bigcup B$   
**by** *blast*

**lemma** *Inter-anti-mono*:  $B \subseteq A \implies \bigcap A \subseteq \bigcap B$   
**by** *blast*

**lemma** *UN-mono*:  
 $A \subseteq B \implies (!!x. \ x \in A \implies f \ x \subseteq g \ x) \implies$   
 $(\bigcup_{x \in A}. \ f \ x) \subseteq (\bigcup_{x \in B}. \ g \ x)$   
**by** (*blast dest: subsetD*)

**lemma** *INT-anti-mono*:  
 $B \subseteq A \implies (!!x. \ x \in A \implies f \ x \subseteq g \ x) \implies$   
 $(\bigcap_{x \in A}. \ f \ x) \subseteq (\bigcap_{x \in A}. \ g \ x)$   
— The last inclusion is POSITIVE!  
**by** (*blast dest: subsetD*)

**lemma** *insert-mono*:  $C \subseteq D \implies insert \ a \ C \subseteq insert \ a \ D$   
**by** *blast*



**lemma** *Un-mono*:  $A \subseteq C \implies B \subseteq D \implies A \cup B \subseteq C \cup D$   
**by** *blast*

**lemma** *Int-mono*:  $A \subseteq C \implies B \subseteq D \implies A \cap B \subseteq C \cap D$   
**by** *blast*

**lemma** *Diff-mono*:  $A \subseteq C \implies D \subseteq B \implies A - B \subseteq C - D$   
**by** *blast*

**lemma** *Compl-anti-mono*:  $A \subseteq B \implies -B \subseteq -A$   
**by** *blast*

Monotonicity of implications.

**lemma** *in-mono*:  $A \subseteq B \implies x \in A \longrightarrow x \in B$   
**apply** (*rule impI*)  
**apply** (*erule subsetD, assumption*)  
**done**

**lemma** *conj-mono*:  $P1 \longrightarrow Q1 \implies P2 \longrightarrow Q2 \implies (P1 \ \& \ P2) \longrightarrow (Q1 \ \& \ Q2)$   
**by** *iprover*

**lemma** *disj-mono*:  $P1 \longrightarrow Q1 \implies P2 \longrightarrow Q2 \implies (P1 \mid P2) \longrightarrow (Q1 \mid Q2)$   
**by** *iprover*

**lemma** *imp-mono*:  $Q1 \longrightarrow P1 \implies P2 \longrightarrow Q2 \implies (P1 \longrightarrow P2) \longrightarrow (Q1 \longrightarrow Q2)$   
**by** *iprover*

**lemma** *imp-refl*:  $P \longrightarrow P \ ..$

**lemma** *ex-mono*:  $(!!x. P \ x \longrightarrow Q \ x) \implies (EX \ x. P \ x) \longrightarrow (EX \ x. Q \ x)$   
**by** *iprover*

**lemma** *all-mono*:  $(!!x. P \ x \longrightarrow Q \ x) \implies (ALL \ x. P \ x) \longrightarrow (ALL \ x. Q \ x)$   
**by** *iprover*

**lemma** *Collect-mono*:  $(!!x. P \ x \longrightarrow Q \ x) \implies Collect \ P \subseteq Collect \ Q$   
**by** *blast*

**lemma** *Int-Collect-mono*:  
 $A \subseteq B \implies (!!x. x \in A \implies P \ x \longrightarrow Q \ x) \implies A \cap Collect \ P \subseteq B \cap Collect \ Q$   
**by** *blast*

**lemmas** *basic-monos* =  
*subset-refl imp-refl disj-mono conj-mono*  
*ex-mono Collect-mono in-mono*

**lemma** *eq-to-mono*:  $a = b \implies c = d \implies b \dashrightarrow d \implies a \dashrightarrow c$   
**by** *iprover*

**lemma** *eq-to-mono2*:  $a = b \implies c = d \implies \sim b \dashrightarrow \sim d \implies \sim a \dashrightarrow \sim c$   
**by** *iprover*

## 4.6 Inverse image of a function

**constdefs**

*vimage* ::  $( 'a \Rightarrow 'b ) \Rightarrow 'b \text{ set} \Rightarrow 'a \text{ set}$     (**infixr**  $-' 90$ )  
 $f -' B == \{x. f\ x : B\}$

### 4.6.1 Basic rules

**lemma** *vimage-eq* [*simp*]:  $(a : f -' B) = (f\ a : B)$   
**by** (*unfold vimage-def*) *blast*

**lemma** *vimage-singleton-eq*:  $(a : f -' \{b\}) = (f\ a = b)$   
**by** *simp*

**lemma** *vimageI* [*intro*]:  $f\ a = b \implies b : B \implies a : f -' B$   
**by** (*unfold vimage-def*) *blast*

**lemma** *vimageI2*:  $f\ a : A \implies a : f -' A$   
**by** (*unfold vimage-def*) *fast*

**lemma** *vimageE* [*elim!*]:  $a : f -' B \implies (!x. f\ a = x \implies x : B \implies P) \implies P$   
**by** (*unfold vimage-def*) *blast*

**lemma** *vimageD*:  $a : f -' A \implies f\ a : A$   
**by** (*unfold vimage-def*) *fast*

### 4.6.2 Equations

**lemma** *vimage-empty* [*simp*]:  $f -' \{\} = \{\}$   
**by** *blast*

**lemma** *vimage-Compl*:  $f -' (-A) = -(f -' A)$   
**by** *blast*

**lemma** *vimage-Un* [*simp*]:  $f -' (A \text{ Un } B) = (f -' A) \text{ Un } (f -' B)$   
**by** *blast*

**lemma** *vimage-Int* [*simp*]:  $f -' (A \text{ Int } B) = (f -' A) \text{ Int } (f -' B)$   
**by** *fast*

**lemma** *vimage-Union*:  $f -' (\text{Union } A) = (\text{UN } X:A. f -' X)$   
**by** *blast*

**lemma** *vimage-UN*:  $f - ' (UN\ x:A. B\ x) = (UN\ x:A. f - ' B\ x)$   
**by** *blast*

**lemma** *vimage-INT*:  $f - ' (INT\ x:A. B\ x) = (INT\ x:A. f - ' B\ x)$   
**by** *blast*

**lemma** *vimage-Collect-eq* [*simp*]:  $f - ' Collect\ P = \{y. P\ (f\ y)\}$   
**by** *blast*

**lemma** *vimage-Collect*:  $(!!x. P\ (f\ x) = Q\ x) ==> f - ' (Collect\ P) = Collect\ Q$   
**by** *blast*

**lemma** *vimage-insert*:  $f - ' (insert\ a\ B) = (f - '\{a\})\ Un\ (f - ' B)$   
 — NOT suitable for rewriting because of the recurrence of  $\{a\}$ .  
**by** *blast*

**lemma** *vimage-Diff*:  $f - ' (A - B) = (f - ' A) - (f - ' B)$   
**by** *blast*

**lemma** *vimage-UNIV* [*simp*]:  $f - ' UNIV = UNIV$   
**by** *blast*

**lemma** *vimage-eq-UN*:  $f - ' B = (UN\ y: B. f - '\{y\})$   
 — NOT suitable for rewriting  
**by** *blast*

**lemma** *vimage-mono*:  $A \subseteq B ==> f - ' A \subseteq f - ' B$   
 — monotonicity  
**by** *blast*

**lemma** *vimage-image-eq* [*noatp*]:  $f - ' (f - ' A) = \{y. EX\ x:A. f\ x = f\ y\}$   
**by** (*blast intro: sym*)

**lemma** *image-vimage-subset*:  $f - ' (f - ' A) <= A$   
**by** *blast*

**lemma** *image-vimage-eq* [*simp*]:  $f - ' (f - ' A) = A\ Int\ range\ f$   
**by** *blast*

**lemma** *image-Int-subset*:  $f - ' (A\ Int\ B) <= f - ' A\ Int\ f - ' B$   
**by** *blast*

**lemma** *image-diff-subset*:  $f - ' A - f - ' B <= f - ' (A - B)$   
**by** *blast*

**lemma** *image-UN*:  $f - ' (UNION\ A\ B) = (UN\ x:A. (f - ' (B\ x)))$   
**by** *blast*

## 4.7 Getting the Contents of a Singleton Set

**definition**

*contents* :: 'a set  $\Rightarrow$  'a

**where**

*contents* X = (THE x. X = {x})

**lemma** *contents-eq* [simp]: *contents* {x} = x

**by** (simp add: *contents-def*)

## 4.8 Transitivity rules for calculational reasoning

**lemma** *set-rev-mp*:  $x:A \Rightarrow A \subseteq B \Rightarrow x:B$

**by** (rule *subsetD*)

**lemma** *set-mp*:  $A \subseteq B \Rightarrow x:A \Rightarrow x:B$

**by** (rule *subsetD*)

**lemmas** *basic-trans-rules* [trans] =

*order-trans-rules set-rev-mp set-mp*

## 4.9 Dense orders

**class** *dense-linear-order* = *linorder* +

**assumes** *gt-ex*:  $\exists y. x < y$

**and** *lt-ex*:  $\exists y. y < x$

**and** *dense*:  $x < y \Rightarrow (\exists z. x < z \wedge z < y)$

**begin**

**lemma** *interval-empty-iff*:

$\{y. x < y \wedge y < z\} = \{\} \longleftrightarrow \neg x < z$

**by** (auto dest: *dense*)

**end**

## 4.10 Least value operator

**lemma** *Least-mono*:

*mono* ( $f::'a::order \Rightarrow 'b::order$ )  $\Rightarrow EX x:S. ALL y:S. x \leq y$

$\Rightarrow (LEAST y. y : f ' S) = f (LEAST x. x : S)$

— Courtesy of Stephan Merz

**apply** *clarify*

**apply** (erule-tac  $P = \%x. x : S$  in *LeastI2-order*, fast)

**apply** (rule *LeastI2-order*)

**apply** (auto elim: *monoD intro!*: *order-antisym*)

**done**

**lemma** *Least-equality*:

$[| P (k::'a::order); !!x. P x \Rightarrow k \leq x |] \Rightarrow (LEAST x. P x) = k$

```

apply (simp add: Least-def)
apply (rule the-equality)
apply (auto intro!: order-antisym)
done

```

#### 4.11 Basic ML bindings

```

ML ⟨⟨
  val Ball-def = @{thm Ball-def}
  val Bex-def = @{thm Bex-def}
  val CollectD = @{thm CollectD}
  val CollectE = @{thm CollectE}
  val CollectI = @{thm CollectI}
  val Collect-conj-eq = @{thm Collect-conj-eq}
  val Collect-mem-eq = @{thm Collect-mem-eq}
  val IntD1 = @{thm IntD1}
  val IntD2 = @{thm IntD2}
  val IntE = @{thm IntE}
  val IntI = @{thm IntI}
  val Int-Collect = @{thm Int-Collect}
  val UNIV-I = @{thm UNIV-I}
  val UNIV-witness = @{thm UNIV-witness}
  val UnE = @{thm UnE}
  val UnI1 = @{thm UnI1}
  val UnI2 = @{thm UnI2}
  val ballE = @{thm ballE}
  val ballI = @{thm ballI}
  val bexCI = @{thm bexCI}
  val bexE = @{thm bexE}
  val bexI = @{thm bexI}
  val bex-triv = @{thm bex-triv}
  val bspec = @{thm bspec}
  val contra-subsetD = @{thm contra-subsetD}
  val distinct-lemma = @{thm distinct-lemma}
  val eq-to-mono = @{thm eq-to-mono}
  val eq-to-mono2 = @{thm eq-to-mono2}
  val equalityCE = @{thm equalityCE}
  val equalityD1 = @{thm equalityD1}
  val equalityD2 = @{thm equalityD2}
  val equalityE = @{thm equalityE}
  val equalityI = @{thm equalityI}
  val imageE = @{thm imageE}
  val imageI = @{thm imageI}
  val image-Un = @{thm image-Un}
  val image-insert = @{thm image-insert}
  val insert-commute = @{thm insert-commute}
  val insert-iff = @{thm insert-iff}
  val mem-Collect-eq = @{thm mem-Collect-eq}
  val rangeE = @{thm rangeE}

```

```

val rangeI = @{thm rangeI}
val range-eqI = @{thm range-eqI}
val subsetCE = @{thm subsetCE}
val subsetD = @{thm subsetD}
val subsetI = @{thm subsetI}
val subset-refl = @{thm subset-refl}
val subset-trans = @{thm subset-trans}
val vimageD = @{thm vimageD}
val vimageE = @{thm vimageE}
val vimageI = @{thm vimageI}
val vimageI2 = @{thm vimageI2}
val vimage-Collect = @{thm vimage-Collect}
val vimage-Int = @{thm vimage-Int}
val vimage-Un = @{thm vimage-Un}
>>

end

```

## 5 Fun: Notions about functions

```

theory Fun
imports Set
begin

```

As a simplification rule, it replaces all function equalities by first-order equalities.

```

lemma expand-fun-eq:  $f = g \longleftrightarrow (\forall x. f\ x = g\ x)$ 
apply (rule iffI)
apply (simp (no-asm-simp))
apply (rule ext)
apply (simp (no-asm-simp))
done

```

```

lemma apply-inverse:
 $f\ x = u \implies (\bigwedge x. P\ x \implies g\ (f\ x) = x) \implies P\ x \implies x = g\ u$ 
by auto

```

### 5.1 The Identity Function *id*

```

definition
  id :: 'a  $\Rightarrow$  'a
where
  id = ( $\lambda x. x$ )

```

```

lemma id-apply [simp]: id x = x
  by (simp add: id-def)

```

```

lemma image-ident [simp]: ( $\%x. x$ ) ‘ Y = Y

```

**by** *blast*

**lemma** *image-id* [*simp*]:  $id \circ Y = Y$   
**by** (*simp add: id-def*)

**lemma** *vimage-ident* [*simp*]:  $(\%x. x) \circ Y = Y$   
**by** *blast*

**lemma** *vimage-id* [*simp*]:  $id \circ A = A$   
**by** (*simp add: id-def*)

## 5.2 The Composition Operator $f \circ g$

**definition**

$comp :: ('b \Rightarrow 'c) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'c$  (**infixl** *o* 55)

**where**

$f \circ g = (\lambda x. f (g x))$

**notation** (*xsymbols*)  
 $comp$  (**infixl** *o* 55)

**notation** (*HTML output*)  
 $comp$  (**infixl** *o* 55)

compatibility

**lemmas** *o-def* = *comp-def*

**lemma** *o-apply* [*simp*]:  $(f \circ g) x = f (g x)$   
**by** (*simp add: comp-def*)

**lemma** *o-assoc*:  $f \circ (g \circ h) = f \circ g \circ h$   
**by** (*simp add: comp-def*)

**lemma** *id-o* [*simp*]:  $id \circ g = g$   
**by** (*simp add: comp-def*)

**lemma** *o-id* [*simp*]:  $f \circ id = f$   
**by** (*simp add: comp-def*)

**lemma** *image-compose*:  $(f \circ g) \circ r = f \circ (g \circ r)$   
**by** (*simp add: comp-def, blast*)

**lemma** *UN-o*:  $UNION A (g \circ f) = UNION (f \circ A) g$   
**by** (*unfold comp-def, blast*)

## 5.3 The Forward Composition Operator $fcomp$

**definition**

$fcomp :: ('a \Rightarrow 'b) \Rightarrow ('b \Rightarrow 'c) \Rightarrow 'a \Rightarrow 'c$  (**infixl** *o>* 60)

**where**

$f \circ > g = (\lambda x. g (f x))$

**lemma** *fcomp-apply*:  $(f \circ > g) x = g (f x)$   
**by** (*simp add: fcomp-def*)

**lemma** *fcomp-assoc*:  $(f \circ > g) \circ > h = f \circ > (g \circ > h)$   
**by** (*simp add: fcomp-def*)

**lemma** *id-fcomp* [*simp*]:  $id \circ > g = g$   
**by** (*simp add: fcomp-def*)

**lemma** *fcomp-id* [*simp*]:  $f \circ > id = f$   
**by** (*simp add: fcomp-def*)

**no-notation** *fcomp* (**infixl**  $\circ >$  60)

## 5.4 Injectivity and Surjectivity

**constdefs**

*inj-on* ::  $[ 'a \Rightarrow 'b, 'a \text{ set} ] \Rightarrow \text{bool}$  — injective  
*inj-on*  $f A == ! x:A. ! y:A. f(x)=f(y) \longrightarrow x=y$

A common special case: functions injective over the entire domain type.

**abbreviation**

*inj*  $f == \text{inj-on } f \text{ UNIV}$

**definition**

*bij-betw* ::  $( 'a \Rightarrow 'b ) \Rightarrow 'a \text{ set} \Rightarrow 'b \text{ set} \Rightarrow \text{bool}$  **where** — bijective  
*bij-betw*  $f A B \longleftrightarrow \text{inj-on } f A \ \& \ f ' A = B$

**constdefs**

*surj* ::  $( 'a \Rightarrow 'b ) \Rightarrow \text{bool}$   
*surj*  $f == ! y. ? x. y=f(x)$

*bij* ::  $( 'a \Rightarrow 'b ) \Rightarrow \text{bool}$   
*bij*  $f == \text{inj } f \ \& \ \text{surj } f$

**lemma** *injI*:

**assumes**  $\bigwedge x y. f x = f y \Longrightarrow x = y$   
**shows** *inj*  $f$   
**using** *assms* **unfolding** *inj-on-def* **by** *auto*

For Proofs in *Tools/datatype-rep-proofs*

**lemma** *datatype-injI*:

$(! x. \text{ALL } y. f(x) = f(y) \longrightarrow x=y) \Longrightarrow \text{inj}(f)$   
**by** (*simp add: inj-on-def*)

**theorem** *range-ex1-eq*:  $\text{inj } f \Longrightarrow b : \text{range } f = (EX! x. b = f x)$



**by** (*unfold inj-on-def*, *blast*)

**lemma** *injD*:  $\llbracket \text{inj}(f); f(x) = f(y) \rrbracket \implies x=y$   
**by** (*simp add: inj-on-def*)

**lemma** *inj-eq*:  $\text{inj}(f) \implies (f(x) = f(y)) = (x=y)$   
**by** (*force simp add: inj-on-def*)

**lemma** *inj-on-id[*simp*]*: *inj-on id A*  
**by** (*simp add: inj-on-def*)

**lemma** *inj-on-id2[*simp*]*: *inj-on ( $\%x. x$ ) A*  
**by** (*simp add: inj-on-def*)

**lemma** *surj-id[*simp*]*: *surj id*  
**by** (*simp add: surj-def*)

**lemma** *bij-id[*simp*]*: *bij id*  
**by** (*simp add: bij-def inj-on-id surj-id*)

**lemma** *inj-onI*:  
 $(\llbracket x\ y. \llbracket x:A; y:A; f(x) = f(y) \rrbracket \implies x=y \rrbracket \implies \text{inj-on } f\ A$   
**by** (*simp add: inj-on-def*)

**lemma** *inj-on-inverseI*:  $(\llbracket x. x:A \implies g(f(x)) = x \rrbracket \implies \text{inj-on } f\ A$   
**by** (*auto dest: arg-cong [of concl: g] simp add: inj-on-def*)

**lemma** *inj-onD*:  $\llbracket \text{inj-on } f\ A; f(x)=f(y); x:A; y:A \rrbracket \implies x=y$   
**by** (*unfold inj-on-def*, *blast*)

**lemma** *inj-on-iff*:  $\llbracket \text{inj-on } f\ A; x:A; y:A \rrbracket \implies (f(x)=f(y)) = (x=y)$   
**by** (*blast dest!: inj-onD*)

**lemma** *comp-inj-on*:  
 $\llbracket \text{inj-on } f\ A; \text{inj-on } g\ (f'A) \rrbracket \implies \text{inj-on } (g \circ f)\ A$   
**by** (*simp add: comp-def inj-on-def*)

**lemma** *inj-on-imageI*:  $\text{inj-on } (g \circ f)\ A \implies \text{inj-on } g\ (f'A)$   
**apply** (*simp add: inj-on-def image-def*)  
**apply** *blast*  
**done**

**lemma** *inj-on-image-iff*:  $\llbracket \text{ALL } x:A. \text{ALL } y:A. (g(f\ x) = g(f\ y)) = (g\ x = g\ y);$   
 $\text{inj-on } f\ A \rrbracket \implies \text{inj-on } g\ (f'A) = \text{inj-on } g\ A$   
**apply** (*unfold inj-on-def*)  
**apply** *blast*  
**done**

**lemma** *inj-on-contrad*:  $[[ \text{inj-on } f \ A; \ \sim x=y; \ x:A; \ y:A \ ] \implies \sim f(x)=f(y)]$   
**by** (*unfold inj-on-def, blast*)

**lemma** *inj-singleton*: *inj* ( $\%s. \{s\}$ )  
**by** (*simp add: inj-on-def*)

**lemma** *inj-on-empty*[*iff*]: *inj-on* *f*  $\{\}$   
**by**(*simp add: inj-on-def*)

**lemma** *subset-inj-on*:  $[[ \text{inj-on } f \ B; \ A \leq B \ ] \implies \text{inj-on } f \ A]$   
**by** (*unfold inj-on-def, blast*)

**lemma** *inj-on-Un*:  
*inj-on* *f* (*A Un B*) =  
 (*inj-on* *f* *A* & *inj-on* *f* *B* & *f*<sup>(A-B)</sup> *Int* *f*<sup>(B-A)</sup> =  $\{\}$ )  
**apply**(*unfold inj-on-def*)  
**apply** (*blast intro:sym*)  
**done**

**lemma** *inj-on-insert*[*iff*]:  
*inj-on* *f* (*insert a A*) = (*inj-on* *f* *A* & *f* *a*  $\sim$ : *f*<sup>(A-{a})</sup>)  
**apply**(*unfold inj-on-def*)  
**apply** (*blast intro:sym*)  
**done**

**lemma** *inj-on-diff*: *inj-on* *f* *A*  $\implies$  *inj-on* *f* (*A-B*)  
**apply**(*unfold inj-on-def*)  
**apply** (*blast*)  
**done**

**lemma** *surjI*:  $(!! x. g(f\ x) = x) \implies \text{surj } g$   
**apply** (*simp add: surj-def*)  
**apply** (*blast intro: sym*)  
**done**

**lemma** *surj-range*: *surj* *f*  $\implies$  *range* *f* = *UNIV*  
**by** (*auto simp add: surj-def*)

**lemma** *surjD*: *surj* *f*  $\implies$   $\exists x. y = f\ x$   
**by** (*simp add: surj-def*)

**lemma** *surjE*: *surj* *f*  $\implies$   $(!!x. y = f\ x \implies C) \implies C$   
**by** (*simp add: surj-def, blast*)

**lemma** *comp-surj*:  $[[ \text{surj } f; \ \text{surj } g \ ] \implies \text{surj } (g \circ f)]$   
**apply** (*simp add: comp-def surj-def, clarify*)  
**apply** (*drule-tac x = y in spec, clarify*)  
**apply** (*drule-tac x = x in spec, blast*)  
**done**

```

lemma bijI: [| inj f; surj f |] ==> bij f
by (simp add: bij-def)

lemma bij-is-inj: bij f ==> inj f
by (simp add: bij-def)

lemma bij-is-surj: bij f ==> surj f
by (simp add: bij-def)

lemma bij-betw-imp-inj-on: bij-betw f A B ==> inj-on f A
by (simp add: bij-betw-def)

lemma bij-betw-inv: assumes bij-betw f A B shows EX g. bij-betw g B A
proof –
  have i: inj-on f A and s: f ‘ A = B
    using assms by(auto simp:bij-betw-def)
  let ?P = %b a. a:A ∧ f a = b let ?g = %b. The (?P b)
  { fix a b assume P: ?P b a
    hence ex1: ∃ a. ?P b a using s unfolding image-def by blast
    hence uex1: ∃!a. ?P b a by(blast dest:inj-onD[OF i])
    hence ?g b = a using the1-equality[OF uex1, OF P] P by simp
  } note g = this
  have inj-on ?g B
  proof(rule inj-onI)
    fix x y assume x:B y:B ?g x = ?g y
    from s ⟨x:B⟩ obtain a1 where a1: ?P x a1 unfolding image-def by blast
    from s ⟨y:B⟩ obtain a2 where a2: ?P y a2 unfolding image-def by blast
    from g[OF a1] a1 g[OF a2] a2 ⟨?g x = ?g y⟩ show x=y by simp
  qed
  moreover have ?g ‘ B = A
  proof(auto simp:image-def)
    fix b assume b:B
    with s obtain a where P: ?P b a unfolding image-def by blast
    thus ?g b ∈ A using g[OF P] by auto
  next
    fix a assume a:A
    then obtain b where P: ?P b a using s unfolding image-def by blast
    then have b:B using s unfolding image-def by blast
    with g[OF P] show ∃ b∈B. a = ?g b by blast
  qed
  ultimately show ?thesis by(auto simp:bij-betw-def)
qed

lemma surj-image-vimage-eq: surj f ==> f ‘ (f – ‘ A) = A
by (simp add: surj-range)

lemma inj-vimage-image-eq: inj f ==> f – ‘ (f ‘ A) = A
by (simp add: inj-on-def, blast)

```

**lemma** *vimage-subsetD*:  $\text{surj } f \implies f -' B \leq A \implies B \leq f -' A$   
**apply** (*unfold surj-def*)  
**apply** (*blast intro: sym*)  
**done**

**lemma** *vimage-subsetI*:  $\text{inj } f \implies B \leq f -' A \implies f -' B \leq A$   
**by** (*unfold inj-on-def, blast*)

**lemma** *vimage-subset-eq*:  $\text{bij } f \implies (f -' B \leq A) = (B \leq f -' A)$   
**apply** (*unfold bij-def*)  
**apply** (*blast del: subsetI intro: vimage-subsetI vimage-subsetD*)  
**done**

**lemma** *inj-on-image-Int*:  
 $[\text{inj-on } f \ C; \ A \leq C; \ B \leq C] \implies f'(A \text{ Int } B) = f'A \text{ Int } f'B$   
**apply** (*simp add: inj-on-def, blast*)  
**done**

**lemma** *inj-on-image-set-diff*:  
 $[\text{inj-on } f \ C; \ A \leq C; \ B \leq C] \implies f'(A - B) = f'A - f'B$   
**apply** (*simp add: inj-on-def, blast*)  
**done**

**lemma** *image-Int*:  $\text{inj } f \implies f'(A \text{ Int } B) = f'A \text{ Int } f'B$   
**by** (*simp add: inj-on-def, blast*)

**lemma** *image-set-diff*:  $\text{inj } f \implies f'(A - B) = f'A - f'B$   
**by** (*simp add: inj-on-def, blast*)

**lemma** *inj-image-mem-iff*:  $\text{inj } f \implies (f \ a : f'A) = (a : A)$   
**by** (*blast dest: injD*)

**lemma** *inj-image-subset-iff*:  $\text{inj } f \implies (f'A \leq f'B) = (A \leq B)$   
**by** (*simp add: inj-on-def, blast*)

**lemma** *inj-image-eq-iff*:  $\text{inj } f \implies (f'A = f'B) = (A = B)$   
**by** (*blast dest: injD*)

**lemma** *image-INT*:  
 $[\text{inj-on } f \ C; \ \text{ALL } x:A. \ B \ x \leq C; \ j:A] \implies f'(\text{INTER } A \ B) = (\text{INT } x:A. \ f' \ B \ x)$   
**apply** (*simp add: inj-on-def, blast*)  
**done**

**lemma** *bij-image-INT*:  $\text{bij } f \implies f'(\text{INTER } A \ B) = (\text{INT } x:A. \ f' \ B \ x)$   
**apply** (*simp add: bij-def*)

```

apply (simp add: inj-on-def surj-def, blast)
done

```

```

lemma surj-Compl-image-subset: surj f ==> -(fcA) <= fc(-A)
by (auto simp add: surj-def)

```

```

lemma inj-image-Compl-subset: inj f ==> fc(-A) <= -(fcA)
by (auto simp add: inj-on-def)

```

```

lemma bij-image-Compl-eq: bij f ==> fc(-A) = -(fcA)
apply (simp add: bij-def)
apply (rule equalityI)
apply (simp-all (no-asm-simp) add: inj-image-Compl-subset surj-Compl-image-subset)
done

```

## 5.5 Function Updating

**constdefs**

```

  fun-upd :: ('a => 'b) => 'a => 'b ==> ('a => 'b)
  fun-upd f a b == % x. if x=a then b else f x

```

**nonterminals**

```

  updbinds updbind

```

**syntax**

```

  -updbind :: ['a, 'a] => updbind          ((2- :=/ -))
             :: updbind => updbinds        (-)
  -updbinds:: [updbind, updbinds] => updbinds (-,/ -)
  -Update  :: ['a, updbinds] => 'a          (-/'((-)') [1000,0] 900)

```

**translations**

```

  -Update f (-updbinds b bs) == -Update (-Update f b) bs
  f(x:=y)                      == fun-upd f x y

```

```

lemma fun-upd-idem-iff: (f(x:=y) = f) = (f x = y)

```

```

apply (simp add: fun-upd-def, safe)

```

```

apply (erule subst)

```

```

apply (rule-tac [2] ext, auto)

```

```

done

```

```

lemmas fun-upd-idem = fun-upd-idem-iff [THEN iffD2, standard]

```

```

lemmas fun-upd-triv = refl [THEN fun-upd-idem]

```

```

declare fun-upd-triv [iff]

```

```

lemma fun-upd-apply [simp]: (f(x:=y))z = (if z=x then y else f z)

```

**by** (*simp add: fun-upd-def*)

**lemma** *fun-upd-same*:  $(f(x:=y))\ x = y$   
**by** *simp*

**lemma** *fun-upd-other*:  $z \sim x \implies (f(x:=y))\ z = f\ z$   
**by** *simp*

**lemma** *fun-upd-upd* [*simp*]:  $f(x:=y, x:=z) = f(x:=z)$   
**by** (*simp add: expand-fun-eq*)

**lemma** *fun-upd-twist*:  $a \sim c \implies (m(a:=b))(c:=d) = (m(c:=d))(a:=b)$   
**by** (*rule ext, auto*)

**lemma** *inj-on-fun-updI*:  $\llbracket \text{inj-on } f\ A; y \notin f'A \rrbracket \implies \text{inj-on } (f(x:=y))\ A$   
**by** (*fastsimp simp: inj-on-def image-def*)

**lemma** *fun-upd-image*:  
 $f(x:=y)\ 'A = (\text{if } x \in A \text{ then insert } y\ (f\ 'A - \{x\}) \text{ else } f\ 'A)$   
**by** *auto*

## 5.6 override-on

### definition

*override-on* ::  $('a \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a\ \text{set} \Rightarrow 'a \Rightarrow 'b$   
**where**  
 $\text{override-on } f\ g\ A = (\lambda a. \text{if } a \in A \text{ then } g\ a \text{ else } f\ a)$

**lemma** *override-on-emptyset*[*simp*]:  $\text{override-on } f\ g\ \{\} = f$   
**by** (*simp add: override-on-def*)

**lemma** *override-on-apply-notin*[*simp*]:  $a \sim: A \implies (\text{override-on } f\ g\ A)\ a = f\ a$   
**by** (*simp add: override-on-def*)

**lemma** *override-on-apply-in*[*simp*]:  $a : A \implies (\text{override-on } f\ g\ A)\ a = g\ a$   
**by** (*simp add: override-on-def*)

## 5.7 swap

### definition

*swap* ::  $'a \Rightarrow 'a \Rightarrow ('a \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'b)$   
**where**  
 $\text{swap } a\ b\ f = f\ (a := f\ b, b := f\ a)$

**lemma** *swap-self*:  $\text{swap } a\ a\ f = f$   
**by** (*simp add: swap-def*)

**lemma** *swap-commute*:  $\text{swap } a\ b\ f = \text{swap } b\ a\ f$   
**by** (*rule ext, simp add: fun-upd-def swap-def*)

**lemma** *swap-nilpotent* [*simp*]:  $\text{swap } a \ b \ (\text{swap } a \ b \ f) = f$   
**by** (*rule ext*, *simp add: fun-upd-def swap-def*)

**lemma** *inj-on-imp-inj-on-swap*:  
 $[[\text{inj-on } f \ A; a \in A; b \in A]] \implies \text{inj-on } (\text{swap } a \ b \ f) \ A$   
**by** (*simp add: inj-on-def swap-def, blast*)

**lemma** *inj-on-swap-iff* [*simp*]:  
**assumes**  $A: a \in A \ b \in A$  **shows**  $\text{inj-on } (\text{swap } a \ b \ f) \ A = \text{inj-on } f \ A$   
**proof**  
**assume**  $\text{inj-on } (\text{swap } a \ b \ f) \ A$   
**with**  $A$  **have**  $\text{inj-on } (\text{swap } a \ b \ (\text{swap } a \ b \ f)) \ A$   
**by** (*iprover intro: inj-on-imp-inj-on-swap*)  
**thus**  $\text{inj-on } f \ A$  **by** *simp*  
**next**  
**assume**  $\text{inj-on } f \ A$   
**with**  $A$  **show**  $\text{inj-on } (\text{swap } a \ b \ f) \ A$  **by** (*iprover intro: inj-on-imp-inj-on-swap*)  
**qed**

**lemma** *surj-imp-surj-swap*:  $\text{surj } f \implies \text{surj } (\text{swap } a \ b \ f)$   
**apply** (*simp add: surj-def swap-def, clarify*)  
**apply** (*rule-tac P = y = f b in case-split-thm, blast*)  
**apply** (*rule-tac P = y = f a in case-split-thm, auto*)  
 — We don't yet have *case-tac*  
**done**

**lemma** *surj-swap-iff* [*simp*]:  $\text{surj } (\text{swap } a \ b \ f) = \text{surj } f$   
**proof**  
**assume**  $\text{surj } (\text{swap } a \ b \ f)$   
**hence**  $\text{surj } (\text{swap } a \ b \ (\text{swap } a \ b \ f))$  **by** (*rule surj-imp-surj-swap*)  
**thus**  $\text{surj } f$  **by** *simp*  
**next**  
**assume**  $\text{surj } f$   
**thus**  $\text{surj } (\text{swap } a \ b \ f)$  **by** (*rule surj-imp-surj-swap*)  
**qed**

**lemma** *bij-swap-iff*:  $\text{bij } (\text{swap } a \ b \ f) = \text{bij } f$   
**by** (*simp add: bij-def*)

## 5.8 Proof tool setup

simplifies terms of the form  $f(\dots, x := y, \dots, x := z, \dots)$  to  $f(\dots, x := z, \dots)$

**simproc-setup** *fun-upd2* ( $f(v := w, x := y)$ ) =  $\langle\langle f n - =>$

*let*

*fun gen-fun-upd NONE T - = NONE*

*| gen-fun-upd (SOME f) T x y = SOME (Const (@{const-name fun-upd}, T)*

*\$ f \$ x \$ y)*

*fun dest-fun-T1 (Type (-, T :: Ts)) = T*

```

fun find-double (t as Const (@{const-name fun-upd}, T) $ f $ x $ y) =
  let
    fun find (Const (@{const-name fun-upd}, T) $ g $ v $ w) =
      if v aconv x then SOME g else gen-fun-upd (find g) T v w
    | find t = NONE
  in (dest-fun-T1 T, gen-fun-upd (find f) T x y) end

fun proc ss ct =
  let
    val ctxt = Simplifier.the-context ss
    val t = Thm.term-of ct
  in
    case find-double t of
      (T, NONE) => NONE
    | (T, SOME rhs) =>
        SOME (Goal.prove ctxt [] (Term.equals T $ t $ rhs)
              (fn - =>
                 rtac eq-reflection 1 THEN
                 rtac ext 1 THEN
                 simp-tac (Simplifier.inherit-context ss @ {simpset}) 1))
    end
  in proc end
>>

```

## 5.9 Code generator setup

### types-code

```

fun ((- --> / -))
attach (term-of) <<
  fun term-of-fun-type - aT - bT - = Free (<function>, aT --> bT);
>>
attach (test) <<
  fun gen-fun-type aF aT bG bT i =
    let
      val tab = ref [];
      fun mk-upd (x, (-, y)) t = Const (Fun.fun-upd,
        (aT --> bT) --> aT --> bT --> aT --> bT) $ t $ aF x $ y ()
    in
      (fn x =>
        case AList.lookup op = (!tab) x of
          NONE =>
            let val p as (y, -) = bG i
            in (tab := (x, p) :: !tab; y) end
        | SOME (y, -) => y,
        fn () => Basics.fold mk-upd (!tab) (Const (arbitrary, aT --> bT)))
      end;
    >>

```

**code-const** op ◦



```

(SML infixl 5 o)
(Haskell infixr 9 .)

```

```

code-const id
  (Haskell id)

```

```

end

```

## 6 Lattices: Abstract lattices

```

theory Lattices
imports Fun
begin

```

### 6.1 Lattices

```

notation

```

```

  less-eq (infix  $\sqsubseteq$  50) and
  less (infix  $\sqsubset$  50)

```

```

class lower-semilattice = order +
  fixes inf :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a (infixl  $\sqcap$  70)
  assumes inf-le1 [simp]:  $x \sqcap y \sqsubseteq x$ 
  and inf-le2 [simp]:  $x \sqcap y \sqsubseteq y$ 
  and inf-greatest:  $x \sqsubseteq y \Longrightarrow x \sqsubseteq z \Longrightarrow x \sqsubseteq y \sqcap z$ 

```

```

class upper-semilattice = order +
  fixes sup :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a (infixl  $\sqcup$  65)
  assumes sup-ge1 [simp]:  $x \sqsubseteq x \sqcup y$ 
  and sup-ge2 [simp]:  $y \sqsubseteq x \sqcup y$ 
  and sup-least:  $y \sqsubseteq x \Longrightarrow z \sqsubseteq x \Longrightarrow y \sqcup z \sqsubseteq x$ 
begin

```

Dual lattice

```

lemma dual-lattice:
  lower-semilattice (op  $\geq$ ) (op  $>$ ) sup
by unfold-locales
  (auto simp add: sup-least)

```

```

end

```

```

class lattice = lower-semilattice + upper-semilattice

```

#### 6.1.1 Intro and elim rules

```

context lower-semilattice
begin

```

```

lemma le-infI1 [intro]:
  assumes  $a \sqsubseteq x$ 
  shows  $a \sqcap b \sqsubseteq x$ 
proof (rule order-trans)
  from assms show  $a \sqsubseteq x$  .
  show  $a \sqcap b \sqsubseteq a$  by simp
qed
lemmas (in  $-$ ) [rule del] = le-infI1

lemma le-infI2 [intro]:
  assumes  $b \sqsubseteq x$ 
  shows  $a \sqcap b \sqsubseteq x$ 
proof (rule order-trans)
  from assms show  $b \sqsubseteq x$  .
  show  $a \sqcap b \sqsubseteq b$  by simp
qed
lemmas (in  $-$ ) [rule del] = le-infI2

lemma le-infI [intro!]:  $x \sqsubseteq a \implies x \sqsubseteq b \implies x \sqsubseteq a \sqcap b$ 
by (blast intro: inf-greatest)
lemmas (in  $-$ ) [rule del] = le-infI

lemma le-infE [elim!]:  $x \sqsubseteq a \sqcap b \implies (x \sqsubseteq a \implies x \sqsubseteq b \implies P) \implies P$ 
by (blast intro: order-trans)
lemmas (in  $-$ ) [rule del] = le-infE

lemma le-inf-iff [simp]:
   $x \sqsubseteq y \sqcap z = (x \sqsubseteq y \wedge x \sqsubseteq z)$ 
by blast

lemma le-iff-inf:  $(x \sqsubseteq y) = (x \sqcap y = x)$ 
by (blast intro: antisym dest: eq-iff [THEN iffD1])

lemma mono-inf:
  fixes  $f :: 'a \Rightarrow 'b::\text{lower-semilattice}$ 
  shows  $\text{mono } f \implies f (A \sqcap B) \leq f A \sqcap f B$ 
by (auto simp add: mono-def intro: Lattices.inf-greatest)

end

context upper-semilattice
begin

lemma le-supI1 [intro]:  $x \sqsubseteq a \implies x \sqsubseteq a \sqcup b$ 
by (rule order-trans) auto
lemmas (in  $-$ ) [rule del] = le-supI1

lemma le-supI2 [intro]:  $x \sqsubseteq b \implies x \sqsubseteq a \sqcup b$ 
by (rule order-trans) auto

```

```

lemmas (in -) [rule del] = le-supI2

lemma le-supI[intro!]:  $a \sqsubseteq x \implies b \sqsubseteq x \implies a \sqcup b \sqsubseteq x$ 
  by (blast intro: sup-least)
lemmas (in -) [rule del] = le-supI

lemma le-supE[elim!]:  $a \sqcup b \sqsubseteq x \implies (a \sqsubseteq x \implies b \sqsubseteq x \implies P) \implies P$ 
  by (blast intro: order-trans)
lemmas (in -) [rule del] = le-supE

lemma ge-sup-conv[simp]:
   $x \sqcup y \sqsubseteq z = (x \sqsubseteq z \wedge y \sqsubseteq z)$ 
by blast

lemma le-iff-sup:  $(x \sqsubseteq y) = (x \sqcup y = y)$ 
  by (blast intro: antisym dest: eq-iff [THEN iffD1])

lemma mono-sup:
  fixes f :: 'a  $\Rightarrow$  'b::upper-semilattice
  shows mono f  $\implies f A \sqcup f B \leq f (A \sqcup B)$ 
  by (auto simp add: mono-def intro: Lattices.sup-least)

```

**end**

### 6.1.2 Equational laws

```

context lower-semilattice
begin

```

```

lemma inf-commute:  $(x \sqcap y) = (y \sqcap x)$ 
  by (blast intro: antisym)

lemma inf-assoc:  $(x \sqcap y) \sqcap z = x \sqcap (y \sqcap z)$ 
  by (blast intro: antisym)

lemma inf-idem[simp]:  $x \sqcap x = x$ 
  by (blast intro: antisym)

lemma inf-left-idem[simp]:  $x \sqcap (x \sqcap y) = x \sqcap y$ 
  by (blast intro: antisym)

lemma inf-absorb1:  $x \sqsubseteq y \implies x \sqcap y = x$ 
  by (blast intro: antisym)

lemma inf-absorb2:  $y \sqsubseteq x \implies x \sqcap y = y$ 
  by (blast intro: antisym)

lemma inf-left-commute:  $x \sqcap (y \sqcap z) = y \sqcap (x \sqcap z)$ 
  by (blast intro: antisym)

```

**lemmas** *inf-ACI* = *inf-commute inf-assoc inf-left-commute inf-left-idem*

**end**

**context** *upper-semilattice*  
**begin**

**lemma** *sup-commute*:  $(x \sqcup y) = (y \sqcup x)$   
**by** (*blast intro: antisym*)

**lemma** *sup-assoc*:  $(x \sqcup y) \sqcup z = x \sqcup (y \sqcup z)$   
**by** (*blast intro: antisym*)

**lemma** *sup-idem[simp]*:  $x \sqcup x = x$   
**by** (*blast intro: antisym*)

**lemma** *sup-left-idem[simp]*:  $x \sqcup (x \sqcup y) = x \sqcup y$   
**by** (*blast intro: antisym*)

**lemma** *sup-absorb1*:  $y \sqsubseteq x \implies x \sqcup y = x$   
**by** (*blast intro: antisym*)

**lemma** *sup-absorb2*:  $x \sqsubseteq y \implies x \sqcup y = y$   
**by** (*blast intro: antisym*)

**lemma** *sup-left-commute*:  $x \sqcup (y \sqcup z) = y \sqcup (x \sqcup z)$   
**by** (*blast intro: antisym*)

**lemmas** *sup-ACI* = *sup-commute sup-assoc sup-left-commute sup-left-idem*

**end**

**context** *lattice*  
**begin**

**lemma** *inf-sup-absorb*:  $x \sqcap (x \sqcup y) = x$   
**by** (*blast intro: antisym inf-le1 inf-greatest sup-ge1*)

**lemma** *sup-inf-absorb*:  $x \sqcup (x \sqcap y) = x$   
**by** (*blast intro: antisym sup-ge1 sup-least inf-le1*)

**lemmas** *ACI* = *inf-ACI sup-ACI*

**lemmas** *inf-sup-ord* = *inf-le1 inf-le2 sup-ge1 sup-ge2*

Towards distributivity

**lemma** *distrib-sup-le*:  $x \sqcup (y \sqcap z) \sqsubseteq (x \sqcup y) \sqcap (x \sqcup z)$

by *blast*

**lemma** *distrib-inf-le*:  $(x \sqcap y) \sqcup (x \sqcap z) \sqsubseteq x \sqcap (y \sqcup z)$   
by *blast*

If you have one of them, you have them all.

**lemma** *distrib-imp1*:

**assumes** *D*:  $\forall x y z. x \sqcap (y \sqcup z) = (x \sqcap y) \sqcup (x \sqcap z)$

**shows**  $x \sqcup (y \sqcap z) = (x \sqcup y) \sqcap (x \sqcup z)$

**proof**–

**have**  $x \sqcup (y \sqcap z) = (x \sqcup (x \sqcap z)) \sqcup (y \sqcap z)$  **by** (*simp add: sup-inf-absorb*)

**also have**  $\dots = x \sqcup (z \sqcap (x \sqcup y))$  **by** (*simp add: D inf-commute sup-assoc*)

**also have**  $\dots = ((x \sqcup y) \sqcap x) \sqcup ((x \sqcup y) \sqcap z)$

**by** (*simp add: inf-sup-absorb inf-commute*)

**also have**  $\dots = (x \sqcup y) \sqcap (x \sqcup z)$  **by** (*simp add: D*)

**finally show** *?thesis* .

**qed**

**lemma** *distrib-imp2*:

**assumes** *D*:  $\forall x y z. x \sqcup (y \sqcap z) = (x \sqcup y) \sqcap (x \sqcup z)$

**shows**  $x \sqcap (y \sqcup z) = (x \sqcap y) \sqcup (x \sqcap z)$

**proof**–

**have**  $x \sqcap (y \sqcup z) = (x \sqcap (x \sqcup z)) \sqcap (y \sqcup z)$  **by** (*simp add: inf-sup-absorb*)

**also have**  $\dots = x \sqcap (z \sqcup (x \sqcap y))$  **by** (*simp add: D sup-commute inf-assoc*)

**also have**  $\dots = ((x \sqcap y) \sqcup x) \sqcap ((x \sqcap y) \sqcup z)$

**by** (*simp add: sup-inf-absorb sup-commute*)

**also have**  $\dots = (x \sqcap y) \sqcup (x \sqcap z)$  **by** (*simp add: D*)

**finally show** *?thesis* .

**qed**

**lemma** *modular-le*:  $x \sqsubseteq z \implies x \sqcup (y \sqcap z) \sqsubseteq (x \sqcup y) \sqcap z$

by *blast*

**end**

## 6.2 Distributive lattices

**class** *distrib-lattice* = *lattice* +

**assumes** *sup-inf-distrib1*:  $x \sqcup (y \sqcap z) = (x \sqcup y) \sqcap (x \sqcup z)$

**context** *distrib-lattice*

**begin**

**lemma** *sup-inf-distrib2*:

$(y \sqcap z) \sqcup x = (y \sqcup x) \sqcap (z \sqcup x)$

**by** (*simp add: ACI sup-inf-distrib1*)

**lemma** *inf-sup-distrib1*:

$x \sqcap (y \sqcup z) = (x \sqcap y) \sqcup (x \sqcap z)$   
**by**(rule distrib-imp2[OF sup-inf-distrib1])

**lemma** inf-sup-distrib2:  
 $(y \sqcup z) \sqcap x = (y \sqcap x) \sqcup (z \sqcap x)$   
**by**(simp add:ACI inf-sup-distrib1)

**lemmas** distrib =  
 sup-inf-distrib1 sup-inf-distrib2 inf-sup-distrib1 inf-sup-distrib2

**end**

### 6.3 Uniqueness of inf and sup

**lemma** (in lower-semilattice) inf-unique:  
 fixes f (infixl  $\triangle$  70)  
 assumes le1:  $\bigwedge x y. x \triangle y \leq x$  and le2:  $\bigwedge x y. x \triangle y \leq y$   
 and greatest:  $\bigwedge x y z. x \leq y \implies x \leq z \implies x \leq y \triangle z$   
 shows  $x \sqcap y = x \triangle y$   
**proof** (rule antisym)  
 show  $x \triangle y \leq x \sqcap y$  **by** (rule le-infI) (rule le1, rule le2)  
**next**  
 have leI:  $\bigwedge x y z. x \leq y \implies x \leq z \implies x \leq y \triangle z$  **by** (blast intro: greatest)  
 show  $x \sqcap y \leq x \triangle y$  **by** (rule leI) simp-all  
**qed**

**lemma** (in upper-semilattice) sup-unique:  
 fixes f (infixl  $\nabla$  70)  
 assumes ge1 [simp]:  $\bigwedge x y. x \leq x \nabla y$  and ge2:  $\bigwedge x y. y \leq x \nabla y$   
 and least:  $\bigwedge x y z. y \leq x \implies z \leq x \implies y \nabla z \leq x$   
 shows  $x \sqcup y = x \nabla y$   
**proof** (rule antisym)  
 show  $x \sqcup y \leq x \nabla y$  **by** (rule le-supI) (rule ge1, rule ge2)  
**next**  
 have leI:  $\bigwedge x y z. x \leq z \implies y \leq z \implies x \nabla y \leq z$  **by** (blast intro: least)  
 show  $x \nabla y \leq x \sqcup y$  **by** (rule leI) simp-all  
**qed**

### 6.4 min/max on linear orders as special case of $op \sqcap / op \sqcup$

**lemma** (in linorder) distrib-lattice-min-max:  
 distrib-lattice ( $op \leq$ ) ( $op <$ ) min max  
**proof** unfold-locales  
 have aux:  $\bigwedge x y :: 'a. x < y \implies y \leq x \implies x = y$   
**by** (auto simp add: less-le antisym)  
 fix x y z  
 show  $\max x (\min y z) = \min (\max x y) (\max x z)$   
 unfolding min-def max-def  
**by** auto  
**qed** (auto simp add: min-def max-def not-le less-imp-le)

**interpretation** *min-max*:

*distrib-lattice* [*op* ≤ :: 'a::linorder ⇒ 'a ⇒ bool *op* < *min max*]  
**by** (rule *distrib-lattice-min-max*)

**lemma** *inf-min*: *inf* = (*min* :: 'a::{lower-semilattice, linorder} ⇒ 'a ⇒ 'a)  
**by** (rule *ext*)+ (auto intro: *antisym*)

**lemma** *sup-max*: *sup* = (*max* :: 'a::{upper-semilattice, linorder} ⇒ 'a ⇒ 'a)  
**by** (rule *ext*)+ (auto intro: *antisym*)

**lemmas** *le-maxI1* = *min-max.sup-ge1*

**lemmas** *le-maxI2* = *min-max.sup-ge2*

**lemmas** *max-ac* = *min-max.sup-assoc min-max.sup-commute*  
*mk-left-commute* [*of max, OF min-max.sup-assoc min-max.sup-commute*]

**lemmas** *min-ac* = *min-max.inf-assoc min-max.inf-commute*  
*mk-left-commute* [*of min, OF min-max.inf-assoc min-max.inf-commute*]

Now we have inherited antisymmetry as an intro-rule on all linear orders.  
 This is a problem because it applies to bool, which is undesirable.

**lemmas** [*rule del*] = *min-max.le-infI min-max.le-supI*  
*min-max.le-supE min-max.le-infE min-max.le-supI1 min-max.le-supI2*  
*min-max.le-infI1 min-max.le-infI2*

## 6.5 Complete lattices

**class** *complete-lattice* = *lattice* +  
**fixes** *Inf* :: 'a set ⇒ 'a ( $\bigcap$  - [900] 900)  
**and** *Sup* :: 'a set ⇒ 'a ( $\bigcup$  - [900] 900)  
**assumes** *Inf-lower*:  $x \in A \Rightarrow \bigcap A \subseteq x$   
**and** *Inf-greatest*:  $(\bigwedge x. x \in A \Rightarrow z \subseteq x) \Rightarrow z \subseteq \bigcap A$   
**assumes** *Sup-upper*:  $x \in A \Rightarrow x \subseteq \bigcup A$   
**and** *Sup-least*:  $(\bigwedge x. x \in A \Rightarrow x \subseteq z) \Rightarrow \bigcup A \subseteq z$   
**begin**

**lemma** *Inf-Sup*:  $\bigcap A = \bigcup \{b. \forall a \in A. b \leq a\}$   
**by** (auto intro: *antisym Inf-lower Inf-greatest Sup-upper Sup-least*)

**lemma** *Sup-Inf*:  $\bigcup A = \bigcap \{b. \forall a \in A. a \leq b\}$   
**by** (auto intro: *antisym Inf-lower Inf-greatest Sup-upper Sup-least*)

**lemma** *Inf-Univ*:  $\bigcap UNIV = \bigcup \{\}$   
**unfolding** *Sup-Inf* **by** auto

**lemma** *Sup-Univ*:  $\bigcup UNIV = \bigcap \{\}$   
**unfolding** *Inf-Sup* **by** auto

**lemma** *Inf-insert*:  $\sqcap \text{insert } a \ A = a \sqcap \sqcap A$   
**by** (*auto intro: antisym Inf-greatest Inf-lower*)

**lemma** *Sup-insert*:  $\sqcup \text{insert } a \ A = a \sqcup \sqcup A$   
**by** (*auto intro: antisym Sup-least Sup-upper*)

**lemma** *Inf-singleton [simp]*:  
 $\sqcap \{a\} = a$   
**by** (*auto intro: antisym Inf-lower Inf-greatest*)

**lemma** *Sup-singleton [simp]*:  
 $\sqcup \{a\} = a$   
**by** (*auto intro: antisym Sup-upper Sup-least*)

**lemma** *Inf-insert-simp*:  
 $\sqcap \text{insert } a \ A = (\text{if } A = \{\} \text{ then } a \text{ else } a \sqcap \sqcap A)$   
**by** (*cases A = {} (simp-all, simp add: Inf-insert)*)

**lemma** *Sup-insert-simp*:  
 $\sqcup \text{insert } a \ A = (\text{if } A = \{\} \text{ then } a \text{ else } a \sqcup \sqcup A)$   
**by** (*cases A = {} (simp-all, simp add: Sup-insert)*)

**lemma** *Inf-binary*:  
 $\sqcap \{a, b\} = a \sqcap b$   
**by** (*simp add: Inf-insert-simp*)

**lemma** *Sup-binary*:  
 $\sqcup \{a, b\} = a \sqcup b$   
**by** (*simp add: Sup-insert-simp*)

**definition**  
 $\text{top} :: 'a \text{ where}$   
 $\text{top} = \sqcap \{\}$

**definition**  
 $\text{bot} :: 'a \text{ where}$   
 $\text{bot} = \sqcup \{\}$

**lemma** *top-greatest [simp]*:  $x \leq \text{top}$   
**by** (*unfold top-def, rule Inf-greatest, simp*)

**lemma** *bot-least [simp]*:  $\text{bot} \leq x$   
**by** (*unfold bot-def, rule Sup-least, simp*)

**definition**  
 $\text{SUPR} :: 'b \text{ set} \Rightarrow ('b \Rightarrow 'a) \Rightarrow 'a$   
**where**  
 $\text{SUPR } A \ f == \sqcup (f \ ` \ A)$



**definition**

$$INFI :: 'b \text{ set} \Rightarrow ('b \Rightarrow 'a) \Rightarrow 'a$$
**where**

$$INFI A f == \bigcap (f \text{ ` } A)$$
**end****syntax**

$$\begin{aligned} -SUP1 &:: pttrens => 'b => 'b && ((3SUP \text{ -./ -}) [0, 10] 10) \\ -SUP &:: pttren => 'a \text{ set} => 'b => 'b && ((3SUP \text{ :-./ -}) [0, 10] 10) \\ -INF1 &:: pttrens => 'b => 'b && ((3INF \text{ -./ -}) [0, 10] 10) \\ -INF &:: pttren => 'a \text{ set} => 'b => 'b && ((3INF \text{ :-./ -}) [0, 10] 10) \end{aligned}$$
**translations**

$$\begin{aligned} SUP x y. B &== SUP x. SUP y. B \\ SUP x. B &== CONST SUPR UNIV (\%x. B) \\ SUP x. B &== SUP x:UNIV. B \\ SUP x:A. B &== CONST SUPR A (\%x. B) \\ INF x y. B &== INF x. INF y. B \\ INF x. B &== CONST INFI UNIV (\%x. B) \\ INF x. B &== INF x:UNIV. B \\ INF x:A. B &== CONST INFI A (\%x. B) \end{aligned}$$
**print-translation**  $\ll$ 

*let*

$$\begin{aligned} \text{fun } btr' \text{ syn } (A :: Abs \text{ abs} :: ts) = \\ \text{let } val (x, t) = \text{atomic-abs-tr}' \text{ abs} \\ \text{in } list\text{-comb } (Syntax.const \text{ syn } \$ x \$ A \$ t, ts) \text{ end} \\ val \text{const-syntax-name} = \text{Sign.const-syntax-name } @\{\text{theory}\} \text{ o fst o dest-Const} \end{aligned}$$

*in*

$$[(const\text{-syntax-name } @\{\text{term SUPR}\}, btr' \text{-SUP}), (const\text{-syntax-name } @\{\text{term INFI}\}, btr' \text{-INF})]$$

*end*

$\gg$

**context** *complete-lattice***begin**

**lemma** *le-SUPI*:  $i : A \Longrightarrow M i \leq (SUP i:A. M i)$

**by** (*auto simp add: SUPR-def intro: Sup-upper*)

**lemma** *SUP-leI*:  $(\bigwedge i. i : A \Longrightarrow M i \leq u) \Longrightarrow (SUP i:A. M i) \leq u$

**by** (*auto simp add: SUPR-def intro: Sup-least*)

**lemma** *INF-leI*:  $i : A \Longrightarrow (INF i:A. M i) \leq M i$

**by** (*auto simp add: INFI-def intro: Inf-lower*)

**lemma** *le-INF1*:  $(\bigwedge i. i : A \Longrightarrow u \leq M i) \Longrightarrow u \leq (INF i:A. M i)$

```

    by (auto simp add: INFI-def intro: Inf-greatest)

lemma SUP-const[simp]:  $A \neq \{\}$   $\implies (SUP\ i:A. M) = M$ 
  by (auto intro: antisym SUP-leI le-SUPI)

lemma INF-const[simp]:  $A \neq \{\}$   $\implies (INF\ i:A. M) = M$ 
  by (auto intro: antisym INF-leI le-INF)

end

```

## 6.6 Bool as lattice

```

instantiation bool :: distrib-lattice
begin

```

```

definition
  inf-bool-eq:  $P \sqcap Q \longleftrightarrow P \wedge Q$ 

```

```

definition
  sup-bool-eq:  $P \sqcup Q \longleftrightarrow P \vee Q$ 

```

```

instance
  by intro-classes (auto simp add: inf-bool-eq sup-bool-eq le-bool-def)

```

```

end

```

```

instantiation bool :: complete-lattice
begin

```

```

definition
  Inf-bool-def:  $\sqcap A \longleftrightarrow (\forall x \in A. x)$ 

```

```

definition
  Sup-bool-def:  $\sqcup A \longleftrightarrow (\exists x \in A. x)$ 

```

```

instance
  by intro-classes (auto simp add: Inf-bool-def Sup-bool-def le-bool-def)

```

```

end

```

```

lemma Inf-empty-bool [simp]:
   $\sqcap \{\}$ 
  unfolding Inf-bool-def by auto

```

```

lemma not-Sup-empty-bool [simp]:
   $\neg Sup\ \{\}$ 
  unfolding Sup-bool-def by auto

```

```

lemma top-bool-eq:  $top = True$ 

```

**by** (*iprover intro!:* *order-antisym le-boolI top-greatest*)

**lemma** *bot-bool-eq*: *bot* = *False*

**by** (*iprover intro!:* *order-antisym le-boolI bot-least*)

## 6.7 Fun as lattice

**instantiation** *fun* :: (*type*, *lattice*) *lattice*

**begin**

**definition**

*inf-fun-eq* [*code func del*]:  $f \sqcap g = (\lambda x. f x \sqcap g x)$

**definition**

*sup-fun-eq* [*code func del*]:  $f \sqcup g = (\lambda x. f x \sqcup g x)$

**instance**

**apply** *intro-classes*

**unfolding** *inf-fun-eq sup-fun-eq*

**apply** (*auto intro:* *le-funI*)

**apply** (*rule le-funI*)

**apply** (*auto dest:* *le-funD*)

**apply** (*rule le-funI*)

**apply** (*auto dest:* *le-funD*)

**done**

**end**

**instance** *fun* :: (*type*, *distrib-lattice*) *distrib-lattice*

**by** *default* (*auto simp add:* *inf-fun-eq sup-fun-eq sup-inf-distrib1*)

**instantiation** *fun* :: (*type*, *complete-lattice*) *complete-lattice*

**begin**

**definition**

*Inf-fun-def* [*code func del*]:  $\sqcap A = (\lambda x. \sqcap \{y. \exists f \in A. y = f x\})$

**definition**

*Sup-fun-def* [*code func del*]:  $\sqcup A = (\lambda x. \sqcup \{y. \exists f \in A. y = f x\})$

**instance**

**by** *intro-classes*

(*auto simp add:* *Inf-fun-def Sup-fun-def le-fun-def*

*intro:* *Inf-lower Sup-upper Inf-greatest Sup-least*)

**end**

**lemma** *Inf-empty-fun*:

$\sqcap \{\} = (\lambda \cdot. \sqcap \{\})$

**by** *rule* (*auto simp add: Inf-fun-def*)

**lemma** *Sup-empty-fun*:

$\sqcup \{\} = (\lambda -. \sqcup \{\})$

**by** *rule* (*auto simp add: Sup-fun-def*)

**lemma** *top-fun-eq*:  $\text{top} = (\lambda x. \text{top})$

**by** (*iprover intro!: order-antisym le-funI top-greatest*)

**lemma** *bot-fun-eq*:  $\text{bot} = (\lambda x. \text{bot})$

**by** (*iprover intro!: order-antisym le-funI bot-least*)

## 6.8 Set as lattice

**lemma** *inf-set-eq*:  $A \sqcap B = A \cap B$

**apply** (*rule subset-antisym*)

**apply** (*rule Int-greatest*)

**apply** (*rule inf-le1*)

**apply** (*rule inf-le2*)

**apply** (*rule inf-greatest*)

**apply** (*rule Int-lower1*)

**apply** (*rule Int-lower2*)

**done**

**lemma** *sup-set-eq*:  $A \sqcup B = A \cup B$

**apply** (*rule subset-antisym*)

**apply** (*rule sup-least*)

**apply** (*rule Un-upper1*)

**apply** (*rule Un-upper2*)

**apply** (*rule Un-least*)

**apply** (*rule sup-ge1*)

**apply** (*rule sup-ge2*)

**done**

**lemma** *mono-Int*:  $\text{mono } f \implies f (A \cap B) \subseteq f A \cap f B$

**apply** (*fold inf-set-eq sup-set-eq*)

**apply** (*erule mono-inf*)

**done**

**lemma** *mono-Un*:  $\text{mono } f \implies f A \cup f B \subseteq f (A \cup B)$

**apply** (*fold inf-set-eq sup-set-eq*)

**apply** (*erule mono-sup*)

**done**

**lemma** *Inf-set-eq*:  $\prod S = \bigcap S$

**apply** (*rule subset-antisym*)

**apply** (*rule Inter-greatest*)

**apply** (*erule Inf-lower*)

**apply** (*rule Inf-greatest*)

```

apply (erule Inter-lower)
done

lemma Sup-set-eq:  $\sqcup S = \bigcup S$ 
  apply (rule subset-antisym)
  apply (rule Sup-least)
  apply (erule Union-upper)
  apply (rule Union-least)
  apply (erule Sup-upper)
done

lemma top-set-eq:  $top = UNIV$ 
  by (iprover intro!: subset-antisym subset-UNIV top-greatest)

lemma bot-set-eq:  $bot = \{\}$ 
  by (iprover intro!: subset-antisym empty-subsetI bot-least)

redundant bindings

lemmas inf-aci = inf-ACI
lemmas sup-aci = sup-ACI

no-notation
  less-eq (infix  $\sqsubseteq$  50) and
  less (infix  $\sqsubset$  50) and
  inf (infixl  $\sqcap$  70) and
  sup (infixl  $\sqcup$  65) and
  Inf ([  $\sqcap$  - [900] 900) and
  Sup ([  $\sqcup$  - [900] 900)

end

```

## 7 Typedef: HOL type definitions

```

theory Typedef
imports Set
uses
  (Tools/typedef-package.ML)
  (Tools/typecopy-package.ML)
  (Tools/typedef-codegen.ML)
begin

ML ⟨⟨
  structure HOL = struct val thy = theory HOL end;
  ⟩⟩ — belongs to theory HOL

locale type-definition =
  fixes Rep and Abs and A
  assumes Rep:  $Rep\ x \in A$ 

```

```

    and Rep-inverse: Abs (Rep x) = x
    and Abs-inverse:  $y \in A \implies \text{Rep} (\text{Abs } y) = y$ 
    — This will be axiomatized for each typedef!
begin

lemma Rep-inject:
  (Rep x = Rep y) = (x = y)
proof
  assume Rep x = Rep y
  then have Abs (Rep x) = Abs (Rep y) by (simp only:)
  moreover have Abs (Rep x) = x by (rule Rep-inverse)
  moreover have Abs (Rep y) = y by (rule Rep-inverse)
  ultimately show x = y by simp
next
  assume x = y
  thus Rep x = Rep y by (simp only:)
qed

lemma Abs-inject:
  assumes x:  $x \in A$  and y:  $y \in A$ 
  shows (Abs x = Abs y) = (x = y)
proof
  assume Abs x = Abs y
  then have Rep (Abs x) = Rep (Abs y) by (simp only:)
  moreover from x have Rep (Abs x) = x by (rule Abs-inverse)
  moreover from y have Rep (Abs y) = y by (rule Abs-inverse)
  ultimately show x = y by simp
next
  assume x = y
  thus Abs x = Abs y by (simp only:)
qed

lemma Rep-cases [cases set]:
  assumes y:  $y \in A$ 
  and hyp:  $!!x. y = \text{Rep } x \implies P$ 
  shows P
proof (rule hyp)
  from y have Rep (Abs y) = y by (rule Abs-inverse)
  thus  $y = \text{Rep} (\text{Abs } y) ..$ 
qed

lemma Abs-cases [cases type]:
  assumes r:  $!!y. x = \text{Abs } y \implies y \in A \implies P$ 
  shows P
proof (rule r)
  have Abs (Rep x) = x by (rule Rep-inverse)
  thus  $x = \text{Abs} (\text{Rep } x) ..$ 
  show Rep x  $\in A$  by (rule Rep)
qed

```

```

lemma Rep-induct [induct set]:
  assumes y: y ∈ A
    and hyp: !!x. P (Rep x)
  shows P y
proof –
  have P (Rep (Abs y)) by (rule hyp)
  moreover from y have Rep (Abs y) = y by (rule Abs-inverse)
  ultimately show P y by simp
qed

lemma Abs-induct [induct type]:
  assumes r: !!y. y ∈ A ==> P (Abs y)
  shows P x
proof –
  have Rep x ∈ A by (rule Rep)
  then have P (Abs (Rep x)) by (rule r)
  moreover have Abs (Rep x) = x by (rule Rep-inverse)
  ultimately show P x by simp
qed

lemma Rep-range:
  shows range Rep = A
proof
  show range Rep ≤ A using Rep by (auto simp add: image-def)
  show A ≤ range Rep
  proof
    fix x assume x : A
    hence x = Rep (Abs x) by (rule Abs-inverse [symmetric])
    thus x : range Rep by (rule range-eqI)
  qed
qed

end

use Tools/typedef-package.ML
use Tools/typecopy-package.ML
use Tools/typedef-codegen.ML

setup ⟨
  TypedefPackage.setup
  #> TypecopyPackage.setup
  #> TypedefCodegen.setup
  ⟩

```

This class is just a workaround for classes without parameters; it shall disappear as soon as possible.

```

class itself = type +
  fixes itself :: 'a itself

```

```

setup <<
  let fun add-itself tyco thy =
    let
      val vs = Name.names Name.context 'a
      (replicate (Sign.arity-number thy tyco) @{sort type});
      val ty = Type (tyco, map TFree vs);
      val lhs = Const (@{const-name itself}, Term.itselfT ty);
      val rhs = Logic.mk-type ty;
      val eq = HOLogic.mk-Trueprop (HOLogic.mk-eq (lhs, rhs));
    in
      thy
      |> TheoryTarget.instantiation ([tyco], vs, @{sort itself})
      |> ‘(fn lthy => Syntax.check-term lthy eq)
      |-> (fn eq => Specification.definition (NONE, ((, []), eq)))
      |> snd
      |> Class.prove-instantiation-instance (K (Class.intro-classes-tac []))
      |> LocalTheory.exit
      |> ProofContext.theory-of
    end
  in TypedefPackage.interpretation add-itself end
>>

```

```

instantiation bool :: itself
begin

definition itself = TYPE(bool)

instance ..

end

instantiation fun :: (type, type) itself
begin

definition itself = TYPE('a ⇒ 'b)

instance ..

end

hide (open) const itself

end

```

## 8 Sum-Type: The Disjoint Sum of Two Types

```

theory Sum-Type

```



```

imports Typedef Fun
begin

```

The representations of the two injections

```

constdefs
  Inl-Rep :: ['a, 'a, 'b, bool] => bool
  Inl-Rep == (%a. %x y p. x=a & p)

  Inr-Rep :: ['b, 'a, 'b, bool] => bool
  Inr-Rep == (%b. %x y p. y=b & ~p)

```

**global**

```

typedef (Sum)
  ('a, 'b) + (infixr + 10)
  = {f. (? a. f = Inl-Rep(a::'a)) | (? b. f = Inr-Rep(b::'b))}
by auto

```

**local**

abstract constants and syntax

```

constdefs
  Inl :: 'a => 'a + 'b
  Inl == (%a. Abs-Sum(Inl-Rep(a)))

  Inr :: 'b => 'a + 'b
  Inr == (%b. Abs-Sum(Inr-Rep(b)))

  Plus :: ['a set, 'b set] => ('a + 'b) set      (infixr <+> 65)
  A <+> B == (Inl'A) Un (Inr'B)
  — disjoint sum for sets; the operator + is overloaded with wrong type!

  Part :: ['a set, 'b => 'a] => 'a set
  Part A h == A Int {x. ? z. x = h(z)}
  — for selecting out the components of a mutually recursive definition

```

```

lemma Inl-RepI: Inl-Rep(a) : Sum
by (auto simp add: Sum-def)

```

```

lemma Inr-RepI: Inr-Rep(b) : Sum
by (auto simp add: Sum-def)

```

```

lemma inj-on-Abs-Sum: inj-on Abs-Sum Sum

```

```

apply (rule inj-on-inverseI)
apply (erule Abs-Sum-inverse)
done

```

### 8.1 Freeness Properties for *Inl* and *Inr*

Distinctness

```

lemma Inl-Rep-not-Inr-Rep: Inl-Rep(a)  $\sim$  Inr-Rep(b)
by (auto simp add: Inl-Rep-def Inr-Rep-def expand-fun-eq)

```

```

lemma Inl-not-Inr [iff]: Inl(a)  $\sim$  Inr(b)
apply (simp add: Inl-def Inr-def)
apply (rule inj-on-Abs-Sum [THEN inj-on-contrad])
apply (rule Inl-Rep-not-Inr-Rep)
apply (rule Inl-RepI)
apply (rule Inr-RepI)
done

```

```

lemmas Inr-not-Inl = Inl-not-Inr [THEN not-sym, standard]
declare Inr-not-Inl [iff]

```

```

lemmas Inl-neq-Inr = Inl-not-Inr [THEN notE, standard]
lemmas Inr-neq-Inl = sym [THEN Inl-neq-Inr, standard]

```

Injectiveness

```

lemma Inl-Rep-inject: Inl-Rep(a) = Inl-Rep(c) ==> a=c
by (auto simp add: Inl-Rep-def expand-fun-eq)

```

```

lemma Inr-Rep-inject: Inr-Rep(b) = Inr-Rep(d) ==> b=d
by (auto simp add: Inr-Rep-def expand-fun-eq)

```

```

lemma inj-Inl: inj(Inl)
apply (simp add: Inl-def)
apply (rule inj-onI)
apply (erule inj-on-Abs-Sum [THEN inj-onD, THEN Inl-Rep-inject])
apply (rule Inl-RepI)
apply (rule Inl-RepI)
done
lemmas Inl-inject = inj-Inl [THEN injD, standard]

```

```

lemma inj-Inr: inj(Inr)
apply (simp add: Inr-def)
apply (rule inj-onI)
apply (erule inj-on-Abs-Sum [THEN inj-onD, THEN Inr-Rep-inject])
apply (rule Inr-RepI)
apply (rule Inr-RepI)
done

```

```

lemmas Inr-inject = inj-Inr [THEN injD, standard]

```

**lemma** *Inl-eq* [iff]:  $(Inl(x)=Inl(y)) = (x=y)$   
**by** (*blast dest!*: *Inl-inject*)

**lemma** *Inr-eq* [iff]:  $(Inr(x)=Inr(y)) = (x=y)$   
**by** (*blast dest!*: *Inr-inject*)

## 8.2 Projections

**definition**

*sum-case*  $f\ g\ x =$   
 (if  $(\exists!y. x = Inl\ y)$   
 then  $f\ (THE\ y. x = Inl\ y)$   
 else  $g\ (THE\ y. x = Inr\ y)$ )

**definition** *Projl*  $x = \text{sum-case id arbitrary } x$

**definition** *Projr*  $x = \text{sum-case arbitrary id } x$

**lemma** *sum-cases*[simp]:  
*sum-case*  $f\ g\ (Inl\ x) = f\ x$   
*sum-case*  $f\ g\ (Inr\ y) = g\ y$   
**unfolding** *sum-case-def*  
**by** *auto*

**lemma** *Projl-Inl*[simp]: *Projl*  $(Inl\ x) = x$   
**unfolding** *Projl-def* **by** *simp*

**lemma** *Projr-Inr*[simp]: *Projr*  $(Inr\ x) = x$   
**unfolding** *Projr-def* **by** *simp*

## 8.3 The Disjoint Sum of Sets

**lemma** *InlI* [intro!]:  $a : A ==> Inl(a) : A <+> B$   
**by** (*simp add: Plus-def*)

**lemma** *InrI* [intro!]:  $b : B ==> Inr(b) : A <+> B$   
**by** (*simp add: Plus-def*)

**lemma** *PlusE* [elim!]:  

$$\begin{aligned} &[ \ u : A <+> B; \\ &\quad !!x. [ \ x:A; \ u=Inl(x) \ ] ==> P; \\ &\quad !!y. [ \ y:B; \ u=Inr(y) \ ] ==> P \\ &] ==> P \end{aligned}$$
  
**by** (*auto simp add: Plus-def*)

Exhaustion rule for sums, a degenerate form of induction

**lemma** *sumE*:  

$$[ \ !x::'a. s = Inl(x) ==> P; \ !y::'b. s = Inr(y) ==> P$$

```

    [] ==> P
  apply (rule Abs-Sum-cases [of s])
  apply (auto simp add: Sum-def Inl-def Inr-def)
done

lemma sum-induct: [!x. P (Inl x); !x. P (Inr x) []] ==> P x
by (rule sumE [of x], auto)

```

```

lemma UNIV-Plus-UNIV [simp]: UNIV <+> UNIV = UNIV
  apply (rule set-ext)
  apply (rename-tac s)
  apply (rule-tac s=s in sumE)
  apply auto
done

```

#### 8.4 The Part Primitive

```

lemma Part-eqI [intro]: [! a : A; a=h(b) []] ==> a : Part A h
by (auto simp add: Part-def)

```

```

lemmas PartI = Part-eqI [OF - refl, standard]

```

```

lemma PartE [elim!]: [! a : Part A h; !z. [! a : A; a=h(z) []] ==> P []] ==> P
by (auto simp add: Part-def)

```

```

lemma Part-subset: Part A h <= A
by (auto simp add: Part-def)

```

```

lemma Part-mono: A<=B ==> Part A h <= Part B h
by blast

```

```

lemmas basic-monos = basic-monos Part-mono

```

```

lemma PartD1: a : Part A h ==> a : A
by (simp add: Part-def)

```

```

lemma Part-id: Part A (%x. x) = A
by blast

```

```

lemma Part-Int: Part (A Int B) h = (Part A h) Int (Part B h)
by blast

```

```

lemma Part-Collect: Part (A Int {x. P x}) h = (Part A h) Int {x. P x}
by blast

```

ML

```

⟨⟨
  val Inl-RepI = thm Inl-RepI;
  val Inr-RepI = thm Inr-RepI;
  val inj-on-Abs-Sum = thm inj-on-Abs-Sum;
  val Inl-Rep-not-Inr-Rep = thm Inl-Rep-not-Inr-Rep;
  val Inl-not-Inr = thm Inl-not-Inr;
  val Inr-not-Inl = thm Inr-not-Inl;
  val Inl-neq-Inr = thm Inl-neq-Inr;
  val Inr-neq-Inl = thm Inr-neq-Inl;
  val Inl-Rep-inject = thm Inl-Rep-inject;
  val Inr-Rep-inject = thm Inr-Rep-inject;
  val inj-Inl = thm inj-Inl;
  val Inl-inject = thm Inl-inject;
  val inj-Inr = thm inj-Inr;
  val Inr-inject = thm Inr-inject;
  val Inl-eq = thm Inl-eq;
  val Inr-eq = thm Inr-eq;
  val InlI = thm InlI;
  val InrI = thm InrI;
  val PlusE = thm PlusE;
  val sumE = thm sumE;
  val sum-induct = thm sum-induct;
  val Part-eqI = thm Part-eqI;
  val PartI = thm PartI;
  val PartE = thm PartE;
  val Part-subset = thm Part-subset;
  val Part-mono = thm Part-mono;
  val PartD1 = thm PartD1;
  val Part-id = thm Part-id;
  val Part-Int = thm Part-Int;
  val Part-Collect = thm Part-Collect;

  val basic-monos = thms basic-monos;
⟩⟩

end

```

## 9 Inductive: Knaster-Tarski Fixpoint Theorem and inductive definitions

```

theory Inductive
imports Lattices Sum-Type
uses
  (Tools/inductive-package.ML)
  Tools/dseq.ML
  (Tools/inductive-codegen.ML)
  (Tools/datatype-aux.ML)

```

```

(Tools/datatype-prop.ML)
(Tools/datatype-rep-proofs.ML)
(Tools/datatype-abs-proofs.ML)
(Tools/datatype-case.ML)
(Tools/datatype-package.ML)
(Tools/old-primrec-package.ML)
(Tools/primrec-package.ML)
(Tools/datatype-codegen.ML)
begin

```

### 9.1 Least and greatest fixed points

```

context complete-lattice
begin

```

#### definition

```

lfp :: ('a ⇒ 'a) ⇒ 'a where
lfp f = Inf {u. f u ≤ u} — least fixed point

```

#### definition

```

gfp :: ('a ⇒ 'a) ⇒ 'a where
gfp f = Sup {u. u ≤ f u} — greatest fixed point

```

### 9.2 Proof of Knaster-Tarski Theorem using *lfp*

*lfp f* is the least upper bound of the set  $\{u. f u \leq u\}$

```

lemma lfp-lowerbound:  $f A \leq A \implies lfp f \leq A$ 
by (auto simp add: lfp-def intro: Inf-lower)

```

```

lemma lfp-greatest:  $(\llbracket u. f u \leq u \implies A \leq u \rrbracket \implies A \leq lfp f)$ 
by (auto simp add: lfp-def intro: Inf-greatest)

```

```

end

```

```

lemma lfp-lemma2:  $mono f \implies f (lfp f) \leq lfp f$ 
by (iprover intro: lfp-greatest order-trans monoD lfp-lowerbound)

```

```

lemma lfp-lemma3:  $mono f \implies lfp f \leq f (lfp f)$ 
by (iprover intro: lfp-lemma2 monoD lfp-lowerbound)

```

```

lemma lfp-unfold:  $mono f \implies lfp f = f (lfp f)$ 
by (iprover intro: order-antisym lfp-lemma2 lfp-lemma3)

```

```

lemma lfp-const:  $lfp (\lambda x. t) = t$ 
by (rule lfp-unfold) (simp add:mono-def)

```

### 9.3 General induction rules for least fixed points

```

theorem lfp-induct:

```

**assumes** *mono*:  $\text{mono } f$  **and** *ind*:  $f \text{ (inf (lfp } f) P) \leq P$   
**shows**  $\text{lfp } f \leq P$   
**proof** –  
**have**  $\text{inf (lfp } f) P \leq \text{lfp } f$  **by** (rule *inf-le1*)  
**with** *mono* **have**  $f \text{ (inf (lfp } f) P) \leq f \text{ (lfp } f)$  ..  
**also from** *mono* **have**  $f \text{ (lfp } f) = \text{lfp } f$  **by** (rule *lfp-unfold* [*symmetric*])  
**finally have**  $f \text{ (inf (lfp } f) P) \leq \text{lfp } f$  .  
**from this and ind** **have**  $f \text{ (inf (lfp } f) P) \leq \text{inf (lfp } f) P$  **by** (rule *le-infI*)  
**hence**  $\text{lfp } f \leq \text{inf (lfp } f) P$  **by** (rule *lfp-lowerbound*)  
**also have**  $\text{inf (lfp } f) P \leq P$  **by** (rule *inf-le2*)  
**finally show** ?thesis .  
**qed**

**lemma** *lfp-induct-set*:  
**assumes** *lfp*:  $a: \text{lfp}(f)$   
**and** *mono*:  $\text{mono}(f)$   
**and** *indhyp*:  $!!x. [| x: f(\text{lfp}(f) \text{ Int } \{x. P(x)\}) |] \implies P(x)$   
**shows**  $P(a)$   
**by** (rule *lfp-induct* [*THEN subsetD, THEN CollectD, OF mono - lfp*])  
(auto simp: *inf-set-eq* intro: *indhyp*)

**lemma** *lfp-ordinal-induct*:  
**fixes**  $f :: 'a::\text{complete-lattice} \Rightarrow 'a$   
**assumes** *mono*:  $\text{mono } f$   
**and** *P-f*:  $\bigwedge S. P S \implies P (f S)$   
**and** *P-Union*:  $\bigwedge M. \forall S \in M. P S \implies P (\text{Sup } M)$   
**shows**  $P (\text{lfp } f)$   
**proof** –  
**let**  $?M = \{S. S \leq \text{lfp } f \wedge P S\}$   
**have**  $P (\text{Sup } ?M)$  **using** *P-Union* **by** *simp*  
**also have**  $\text{Sup } ?M = \text{lfp } f$   
**proof** (rule *antisym*)  
**show**  $\text{Sup } ?M \leq \text{lfp } f$  **by** (blast intro: *Sup-least*)  
**hence**  $f (\text{Sup } ?M) \leq f (\text{lfp } f)$  **by** (rule *mono* [*THEN monoD*])  
**hence**  $f (\text{Sup } ?M) \leq \text{lfp } f$  **using** *mono* [*THEN lfp-unfold*] **by** *simp*  
**hence**  $f (\text{Sup } ?M) \in ?M$  **using** *P-f P-Union* **by** *simp*  
**hence**  $f (\text{Sup } ?M) \leq \text{Sup } ?M$  **by** (rule *Sup-upper*)  
**thus**  $\text{lfp } f \leq \text{Sup } ?M$  **by** (rule *lfp-lowerbound*)  
**qed**  
**finally show** ?thesis .  
**qed**

**lemma** *lfp-ordinal-induct-set*:  
**assumes** *mono*:  $\text{mono } f$   
**and** *P-f*:  $!!S. P S \implies P(f S)$   
**and** *P-Union*:  $!!M. !S:M. P S \implies P(\text{Union } M)$   
**shows**  $P(\text{lfp } f)$   
**using** *assms* **unfolding** *Sup-set-eq* [*symmetric*]  
**by** (rule *lfp-ordinal-induct* [**where**  $P=P$ ])

Definition forms of *lfp-unfold* and *lfp-induct*, to control unfolding

**lemma** *def-lfp-unfold*:  $\llbracket h == \text{lfp}(f); \text{mono}(f) \rrbracket \implies h = f(h)$   
**by** (*auto intro!*: *lfp-unfold*)

**lemma** *def-lfp-induct*:  
 $\llbracket A == \text{lfp}(f); \text{mono}(f);$   
 $\quad f (\inf A P) \leq P$   
 $\rrbracket \implies A \leq P$   
**by** (*blast intro*: *lfp-induct*)

**lemma** *def-lfp-induct-set*:  
 $\llbracket A == \text{lfp}(f); \text{mono}(f); a:A;$   
 $\quad !!x. \llbracket x: f(A \text{ Int } \{x. P(x)\}) \rrbracket \implies P(x)$   
 $\rrbracket \implies P(a)$   
**by** (*blast intro*: *lfp-induct-set*)

**lemma** *lfp-mono*:  $(!!Z. f Z \leq g Z) \implies \text{lfp } f \leq \text{lfp } g$   
**by** (*rule lfp-lowerbound [THEN lfp-greatest]*, *blast intro*: *order-trans*)

#### 9.4 Proof of Knaster-Tarski Theorem using *gfp*

*gfp f* is the greatest lower bound of the set  $\{u. u \leq f u\}$

**lemma** *gfp-upperbound*:  $X \leq f X \implies X \leq \text{gfp } f$   
**by** (*auto simp add*: *gfp-def intro*: *Sup-upper*)

**lemma** *gfp-least*:  $(!!u. u \leq f u \implies u \leq X) \implies \text{gfp } f \leq X$   
**by** (*auto simp add*: *gfp-def intro*: *Sup-least*)

**lemma** *gfp-lemma2*:  $\text{mono } f \implies \text{gfp } f \leq f (\text{gfp } f)$   
**by** (*iprover intro*: *gfp-least order-trans monoD gfp-upperbound*)

**lemma** *gfp-lemma3*:  $\text{mono } f \implies f (\text{gfp } f) \leq \text{gfp } f$   
**by** (*iprover intro*: *gfp-lemma2 monoD gfp-upperbound*)

**lemma** *gfp-unfold*:  $\text{mono } f \implies \text{gfp } f = f (\text{gfp } f)$   
**by** (*iprover intro*: *order-antisym gfp-lemma2 gfp-lemma3*)

#### 9.5 Coinduction rules for greatest fixed points

weak version

**lemma** *weak-coinduct*:  $\llbracket a: X; X \subseteq f(X) \rrbracket \implies a : \text{gfp}(f)$   
**by** (*rule gfp-upperbound [THEN subsetD]*, *auto*)

**lemma** *weak-coinduct-image*:  $!!X. \llbracket a : X; g'X \subseteq f (g'X) \rrbracket \implies g a : \text{gfp } f$   
**apply** (*erule gfp-upperbound [THEN subsetD]*)  
**apply** (*erule imageI*)  
**done**



**lemma** *coinduct-lemma*:

```

  [| X ≤ f (sup X (gfp f)); mono f |] ==> sup X (gfp f) ≤ f (sup X (gfp f))
  apply (frule gfp-lemma2)
  apply (drule mono-sup)
  apply (rule le-supI)
  apply assumption
  apply (rule order-trans)
  apply (rule order-trans)
  apply assumption
  apply (rule sup-ge2)
  apply assumption
  done

```

strong version, thanks to Coen and Frost

**lemma** *coinduct-set*: [| *mono*(*f*); *a* : *X*;  $X \subseteq f(X \text{ Un } \text{gfp}(f))$  |] ==> *a* : *gfp*(*f*)  
 by (blast intro: *weak-coinduct* [*OF* - *coinduct-lemma*, *simplified sup-set-eq*])

**lemma** *coinduct*: [| *mono*(*f*);  $X \leq f(\text{sup } X (\text{gfp } f))$  |] ==>  $X \leq \text{gfp}(f)$   
 apply (rule *order-trans*)  
 apply (rule *sup-ge1*)  
 apply (erule *gfp-upperbound* [*OF* *coinduct-lemma*])  
 apply assumption  
 done

**lemma** *gfp-fun-UnI2*: [| *mono*(*f*); *a* : *gfp*(*f*) |] ==> *a* :  $f(X \text{ Un } \text{gfp}(f))$   
 by (blast dest: *gfp-lemma2* *mono-Un*)

## 9.6 Even Stronger Coinduction Rule, by Martin Coen

Weakens the condition  $X \subseteq f X$  to one expressed using both *lfp* and *gfp*

**lemma** *coinduct3-mono-lemma*: *mono*(*f*) ==> *mono*(%*x*. *f*(*x*) Un *X* Un *B*)  
 by (iprover intro: *subset-refl* *monoI* Un-*mono* *monoD*)

**lemma** *coinduct3-lemma*:

```

  [| X ⊆ f(lfp(%x. f(x) Un X Un gfp(f))); mono(f) |]
  ==> lfp(%x. f(x) Un X Un gfp(f)) ⊆ f(lfp(%x. f(x) Un X Un gfp(f)))
  apply (rule subset-trans)
  apply (erule coinduct3-mono-lemma [THEN lfp-lemma3])
  apply (rule Un-least [THEN Un-least])
  apply (rule subset-refl, assumption)
  apply (rule gfp-unfold [THEN equalityD1, THEN subset-trans], assumption)
  apply (rule monoD [where f=f], assumption)
  apply (subst coinduct3-mono-lemma [THEN lfp-unfold], auto)
  done

```

**lemma** *coinduct3*:

```

  [| mono(f); a:X; X ⊆ f(lfp(%x. f(x) Un X Un gfp(f))) |] ==> a : gfp(f)
  apply (rule coinduct3-lemma [THEN [2] weak-coinduct])

```

**apply** (rule *coinduct3-mono-lemma* [THEN *lfp-unfold*, THEN *ssubst*], *auto*)  
**done**

Definition forms of *gfp-unfold* and *coinduct*, to control unfolding

**lemma** *def-gfp-unfold*:  $[[ A == \text{gfp}(f); \text{mono}(f) ]] ==> A = f(A)$   
**by** (*auto intro!*: *gfp-unfold*)

**lemma** *def-coinduct*:  
 $[[ A == \text{gfp}(f); \text{mono}(f); X \leq f(\text{sup } X \ A) ]] ==> X \leq A$   
**by** (*iprover intro!*: *coinduct*)

**lemma** *def-coinduct-set*:  
 $[[ A == \text{gfp}(f); \text{mono}(f); a:X; X \subseteq f(X \ \text{Un } A) ]] ==> a: A$   
**by** (*auto intro!*: *coinduct-set*)

**lemma** *def-Collect-coinduct*:  
 $[[ A == \text{gfp}(\%w. \text{Collect}(P(w))); \text{mono}(\%w. \text{Collect}(P(w)));$   
 $a: X; !!z. z: X ==> P(X \ \text{Un } A) \ z ]] ==>$   
 $a: A$   
**apply** (*erule def-coinduct-set, auto*)  
**done**

**lemma** *def-coinduct3*:  
 $[[ A == \text{gfp}(f); \text{mono}(f); a:X; X \subseteq f(\text{lfp}(\%x. f(x) \ \text{Un } X \ \text{Un } A)) ]] ==> a: A$   
**by** (*auto intro!*: *coinduct3*)

Monotonicity of *gfp!*

**lemma** *gfp-mono*:  $(!Z. f \ Z \leq g \ Z) ==> \text{gfp } f \leq \text{gfp } g$   
**by** (rule *gfp-upperbound* [THEN *gfp-least*], *blast intro: order-trans*)

## 9.7 Inductive predicates and sets

Inversion of injective functions.

**constdefs**  
 $\text{myinv} :: ('a ==> 'b) ==> ('b ==> 'a)$   
 $\text{myinv } (f :: 'a ==> 'b) == \lambda y. \text{THE } x. f \ x = y$

**lemma** *myinv-f-f*:  $\text{inj } f ==> \text{myinv } f \ (f \ x) = x$   
**proof** –  
**assume** *inj f*  
**hence**  $(\text{THE } x'. f \ x' = f \ x) = (\text{THE } x'. x' = x)$   
**by** (*simp only: inj-eq*)  
**also have**  $\dots = x$  **by** (rule *the-eq-trivial*)  
**finally show** *?thesis* **by** (*unfold myinv-def*)  
**qed**

**lemma** *f-myinv-f*:  $\text{inj } f ==> y \in \text{range } f ==> f \ (\text{myinv } f \ y) = y$

```

proof (unfold myinv-def)
  assume inj: inj f
  assume y ∈ range f
  then obtain x where y = f x ..
  hence x: f x = y ..
  thus f (THE x. f x = y) = y
  proof (rule theI)
    fix x' assume f x' = y
    with x have f x' = f x by simp
    with inj show x' = x by (rule injD)
  qed
qed

```

**hide** const myinv

Package setup.

```

theorems basic-monos =
  subset-refl imp-refl disj-mono conj-mono ex-mono all-mono if-bool-eq-conj
  Collect-mono in-mono vimage-mono
  imp-conv-disj not-not de-Morgan-disj de-Morgan-conj
  not-all not-ex
  Ball-def Bex-def
  induct-rulify-fallback

```

```

ML <<
  val def-lfp-unfold = @{thm def-lfp-unfold}
  val def-gfp-unfold = @{thm def-gfp-unfold}
  val def-lfp-induct = @{thm def-lfp-induct}
  val def-coinduct = @{thm def-coinduct}
  val inf-bool-eq = @{thm inf-bool-eq} RS @{thm eq-reflection}
  val inf-fun-eq = @{thm inf-fun-eq} RS @{thm eq-reflection}
  val sup-bool-eq = @{thm sup-bool-eq} RS @{thm eq-reflection}
  val sup-fun-eq = @{thm sup-fun-eq} RS @{thm eq-reflection}
  val le-boolI = @{thm le-boolI}
  val le-boolI' = @{thm le-boolI'}
  val le-funI = @{thm le-funI}
  val le-boolE = @{thm le-boolE}
  val le-funE = @{thm le-funE}
  val le-boolD = @{thm le-boolD}
  val le-funD = @{thm le-funD}
  val le-bool-def = @{thm le-bool-def} RS @{thm eq-reflection}
  val le-fun-def = @{thm le-fun-def} RS @{thm eq-reflection}
  >>

```

**use** Tools/inductive-package.ML

**setup** InductivePackage.setup

```

theorems [mono] =
  imp-refl disj-mono conj-mono ex-mono all-mono if-bool-eq-conj

```

```

imp-conv-disj not-not de-Morgan-disj de-Morgan-conj
not-all not-ex
Ball-def Bex-def
induct-rulify-fallback

```

## 9.8 Inductive datatypes and primitive recursion

Package setup.

```

use Tools/datatype-aux.ML
use Tools/datatype-prop.ML
use Tools/datatype-rep-proofs.ML
use Tools/datatype-abs-proofs.ML
use Tools/datatype-case.ML
use Tools/datatype-package.ML
setup DatatypePackage.setup
use Tools/old-primrec-package.ML
use Tools/primrec-package.ML

```

```

use Tools/datatype-codegen.ML
setup DatatypeCodegen.setup

```

```

use Tools/inductive-codegen.ML
setup InductiveCodegen.setup

```

Lambda-abstractions with pattern matching:

```

syntax
  -lam-pats-syntax :: cases-syn => 'a => 'b          ((%-) 10)
syntax (xsymbols)
  -lam-pats-syntax :: cases-syn => 'a => 'b          ((λ-) 10)

```

```

parse-translation (advanced) <<
  let
    fun fun-tr ctxt [cs] =
      let
        val x = Free (Name.variant (add-term-free-names (cs, [])) x, dummyT);
        val ft = DatatypeCase.case-tr true DatatypePackage.datatype-of-constr
          ctxt [x, cs]
      in lambda x ft end
  in [(-lam-pats-syntax, fun-tr)] end
  >>

end

```

## 10 Product-Type: Cartesian products

```

theory Product-Type
imports Inductive

```

```

uses
  (Tools/split-rule.ML)
  (Tools/inductive-set-package.ML)
  (Tools/inductive-realizer.ML)
  (Tools/datatype-realizer.ML)
begin

10.1 bool is a datatype

rep-datatype bool
  distinct True-not-False False-not-True
  induction bool-induct

```

```

declare case-split [cases type: bool]
  — prefer plain propositional version

```

```

lemma [code func]:
  shows False = P  $\longleftrightarrow$   $\neg$  P
    and True = P  $\longleftrightarrow$  P
    and P = False  $\longleftrightarrow$   $\neg$  P
    and P = True  $\longleftrightarrow$  P by simp-all

```

```

code-const op = :: bool  $\Rightarrow$  bool  $\Rightarrow$  bool
  (Haskell infixl 4 ==)

```

```

code-instance bool :: eq
  (Haskell -)

```

## 10.2 Unit

```

typedef unit = { True }
proof
  show True : ?unit ..
qed

```

```

definition
  Unity :: unit    ('())
where
  () = Abs-unit True

```

```

lemma unit-eq [noatp]: u = ()
  by (induct u) (simp add: unit-def Unity-def)

```

Simplification procedure for *unit-eq*. Cannot use this rule directly — it loops!

```

ML <<
  val unit-eq-proc =
    let val unit-meta-eq = mk-meta-eq @ {thm unit-eq} in
      Simplifier.simproc @ {theory} unit-eq [x::unit]

```

```

      (fn - => fn - => fn t => if HLogic.is-unit t then NONE else SOME
unit-meta-eq)
    end;

```

```

  Addsimprocs [unit-eq-proc];
>>

```

```

lemma unit-induct [noatp,induct type: unit]: P () ==> P x
by simp

```

```

rep-datatype unit
induction unit-induct

```

```

lemma unit-all-eq1: (!x::unit. PROP P x) == PROP P ()
by simp

```

```

lemma unit-all-eq2: (!x::unit. PROP P) == PROP P
by (rule triv-forall-equality)

```

This rewrite counters the effect of *unit-eq-proc* on  $\%u::unit. f u$ , replacing it by  $f$  rather than by  $\%u. f ()$ .

```

lemma unit-abs-eta-conv [simp,noatp]: (%u::unit. f ()) = f
by (rule ext) simp

```

code generator setup

```

instance unit :: eq ..

```

```

lemma [code func]:
  (u::unit) = v <=> True unfolding unit-eq [of u] unit-eq [of v] by rule+

```

```

code-type unit
  (SML unit)
  (OCaml unit)
  (Haskell ())

```

```

code-instance unit :: eq
  (Haskell -)

```

```

code-const op = :: unit => unit => bool
  (Haskell infixl 4 ==)

```

```

code-const Unity
  (SML ())
  (OCaml ())
  (Haskell ())

```

```

code-reserved SML
  unit

```

**code-reserved** *OCaml*  
*unit*

### 10.3 Pairs

#### 10.3.1 Product type, basic operations and concrete syntax

**definition**

$Pair\text{-}Rep :: 'a \Rightarrow 'b \Rightarrow 'a \Rightarrow 'b \Rightarrow bool$

**where**

$Pair\text{-}Rep\ a\ b = (\lambda x\ y. x = a \wedge y = b)$

**global**

**typedef** (*Prod*)

$( 'a, 'b ) * \quad (\textbf{infixr}\ *\ 20)$   
 $= \{f. \exists a\ b. f = Pair\text{-}Rep\ (a::'a)\ (b::'b)\}$

**proof**

**fix** *a b* **show**  $Pair\text{-}Rep\ a\ b \in ?Prod$

**by** *rule+*

**qed**

**syntax** (*xsymbols*)

$* :: [type, type] \Rightarrow type \quad ((- \times / -) [21, 20] 20)$

**syntax** (*HTML output*)

$* :: [type, type] \Rightarrow type \quad ((- \times / -) [21, 20] 20)$

**consts**

$Pair \quad :: 'a \Rightarrow 'b \Rightarrow 'a \times 'b$

$fst \quad :: 'a \times 'b \Rightarrow 'a$

$snd \quad :: 'a \times 'b \Rightarrow 'b$

$split \quad :: ('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow 'a \times 'b \Rightarrow 'c$

$curry \quad :: ('a \times 'b \Rightarrow 'c) \Rightarrow 'a \Rightarrow 'b \Rightarrow 'c$

**local**

**defs**

$Pair\text{-}def: \quad Pair\ a\ b == Abs\text{-}Prod\ (Pair\text{-}Rep\ a\ b)$

$fst\text{-}def: \quad fst\ p == THE\ a. EX\ b. p = Pair\ a\ b$

$snd\text{-}def: \quad snd\ p == THE\ b. EX\ a. p = Pair\ a\ b$

$split\text{-}def: \quad split == (\%c\ p. c\ (fst\ p)\ (snd\ p))$

$curry\text{-}def: \quad curry == (\%c\ x\ y. c\ (Pair\ x\ y))$

Patterns – extends pre-defined type *pttrn* used in abstractions.

**nonterminals**

*tuple-args patterns*

**syntax**

$\text{-}tuple \quad :: 'a \Rightarrow tuple\text{-}args \Rightarrow 'a * 'b \quad ((1\ '(-, -)))$

$\text{-}tuple\text{-}arg \quad :: 'a \Rightarrow tuple\text{-}args \quad (-)$

```

-tuple-args :: 'a => tuple-args => tuple-args    (-,/ -)
-pattern    :: [pttrn, patterns] => pttrn        ('(-,/ -'))
              :: pttrn => patterns                (-)
-patterns   :: [pttrn, patterns] => patterns      (-,/ -)

```

**translations**

```

(x, y)      == Pair x y
-tuple x (-tuple-args y z) == -tuple x (-tuple-arg (-tuple y z))
%(x,y,zs).b == split(%x (y,zs).b)
%(x,y).b    == split(%x y. b)
-abs (Pair x y) t => %(x,y).t

```

**print-translation**  $\ll$ 

```

let fun split-tr' [Abs (x,T,t as (Abs abs))] =
  (* split (%x y. t) => %(x,y) t *)
  let val (y,t') = atomic-abs-tr' abs;
      val (x',t'') = atomic-abs-tr' (x,T,t');
  in Syntax.const -abs $ (Syntax.const -pattern $x'$y) $ t'' end
| split-tr' [Abs (x,T,(s as Const (split,-)$t))] =
  (* split (%x. (split (%y z. t))) => %(x,y,z). t *)
  let val (Const (-abs,-)$ (Const (-pattern,-)$y$z)$t') = split-tr' [t];
      val (x',t'') = atomic-abs-tr' (x,T,t');
  in Syntax.const -abs $
    (Syntax.const -pattern $x'$ (Syntax.const -patterns $y$z)) $ t'' end
| split-tr' [Const (split,-)$t] =
  (* split (split (%x y z. t)) => %((x,y),z). t *)
  split-tr' [(split-tr' [t])] (* inner split-tr' creates next pattern *)
| split-tr' [Const (-abs,-)$x-y$(Abs abs)] =
  (* split (%pttrn z. t) => %(pttrn,z). t *)
  let val (z,t) = atomic-abs-tr' abs;
  in Syntax.const -abs $ (Syntax.const -pattern $x-y$z) $ t end
| split-tr' - = raise Match;
in [(split, split-tr')]
end

```

**typed-print-translation**  $\ll$ 

```

let
  fun split-guess-names-tr' - T [Abs (x,-,Abs -)] = raise Match
  | split-guess-names-tr' - T [Abs (x,xT,t)] =
    (case (head-of t) of
      Const (split,-) => raise Match
    | - => let
        val (-::yT::-) = binder-types (domain-type T) handle Bind => raise

```



```

Match;
    val (y,t') = atomic-abs-tr' (y,yT,(incr-boundvars 1 t)$Bound 0);
    val (x',t'') = atomic-abs-tr' (x,xT,t');
    in Syntax.const -abs $ (Syntax.const -pattern $x'$y) $ t'' end)
| split-guess-names-tr' - T [t] =
    (case (head-of t) of
      Const (split,-) => raise Match
    | - => let
        val (xT::yT::-) = binder-types (domain-type T) handle Bind =>
raise Match;
        val (y,t') =
            atomic-abs-tr' (y,yT,(incr-boundvars 2 t)$Bound 1$Bound 0);
        val (x',t'') = atomic-abs-tr' (x,xT,t');
        in Syntax.const -abs $ (Syntax.const -pattern $x'$y) $ t'' end)
| split-guess-names-tr' - - = raise Match;
in [(split, split-guess-names-tr')]
end
>>

```

Towards a datatype declaration

```

lemma surj-pair [simp]: EX x y. p = (x, y)
  apply (unfold Pair-def)
  apply (rule Rep-Prod [unfolded Prod-def, THEN CollectE])
  apply (erule exE, erule exE, rule exI, rule exI)
  apply (rule Rep-Prod-inverse [symmetric, THEN trans])
  apply (erule arg-cong)
done

```

```

lemma PairE [cases type: *]:
  obtains x y where p = (x, y)
  using surj-pair [of p] by blast

```

```

lemma prod-induct [induct type: *]: ( $\bigwedge a b. P (a, b)$ )  $\implies P x$ 
  by (cases x) simp

```

```

lemma ProdI: Pair-Rep a b  $\in$  Prod
  unfolding Prod-def by rule+

```

```

lemma Pair-Rep-inject: Pair-Rep a b = Pair-Rep a' b'  $\implies a = a' \wedge b = b'$ 
  unfolding Pair-Rep-def by (drule fun-cong, drule fun-cong) blast

```

```

lemma inj-on-Abs-Prod: inj-on Abs-Prod Prod
  apply (rule inj-on-inverseI)
  apply (erule Abs-Prod-inverse)
done

```

```

lemma Pair-inject:
  assumes (a, b) = (a', b')

```

```

    and  $a = a' ==> b = b' ==> R$ 
  shows  $R$ 
  apply (insert prems [unfolded Pair-def])
  apply (rule inj-on-Abs-Prod [THEN inj-onD, THEN Pair-Rep-inject, THEN
conjE])
  apply (assumption | rule ProdI)+
  done

```

```

lemma Pair-eq [iff]:  $((a, b) = (a', b')) = (a = a' \ \& \ b = b')$ 
  by (blast elim!: Pair-inject)

```

```

lemma fst-conv [simp, code]:  $\text{fst } (a, b) = a$ 
  unfolding fst-def by blast

```

```

lemma snd-conv [simp, code]:  $\text{snd } (a, b) = b$ 
  unfolding snd-def by blast

```

```

rep-datatype prod
  inject Pair-eq
  induction prod-induct

```

### 10.3.2 Basic rules and proof tools

```

lemma fst-eqD:  $\text{fst } (x, y) = a ==> x = a$ 
  by simp

```

```

lemma snd-eqD:  $\text{snd } (x, y) = a ==> y = a$ 
  by simp

```

```

lemma pair-collapse [simp]:  $(\text{fst } p, \text{snd } p) = p$ 
  by (cases p) simp

```

```

lemmas surjective-pairing = pair-collapse [symmetric]

```

```

lemma split-paired-all:  $(!!x. \text{PROP } P \ x) == (!!a \ b. \text{PROP } P \ (a, b))$ 

```

```

proof
  fix a b
  assume !!x. PROP P x
  then show PROP P (a, b) .
next
  fix x
  assume !!a b. PROP P (a, b)
  from  $\langle \text{PROP } P \ (\text{fst } x, \text{snd } x) \rangle$  show PROP P x by simp
qed

```

The rule *split-paired-all* does not work with the Simplifier because it also affects premises in congruence rules, where this can lead to premises of the form  $!!a \ b. \dots = ?P(a, b)$  which cannot be solved by reflexivity.

```

lemmas split-tupled-all = split-paired-all unit-all-eq2

```

```

ML <<
  (* replace parameters of product type by individual component parameters *)
  val safe-full-simp-tac = generic-simp-tac true (true, false, false);
  local (* filtering with exists-paired-all is an essential optimization *)
    fun exists-paired-all (Const (all, -) $ Abs (-, T, t)) =
      can HOLLogic.dest-prodT T orelse exists-paired-all t
    | exists-paired-all (t $ u) = exists-paired-all t orelse exists-paired-all u
    | exists-paired-all (Abs (-, -, t)) = exists-paired-all t
    | exists-paired-all - = false;
  val ss = HOL-basic-ss
  addsimps [@{thm split-paired-all}, @{thm unit-all-eq2}, @{thm unit-abs-eta-conv}]
  addsimprocs [unit-eq-proc];
in
  val split-all-tac = SUBGOAL (fn (t, i) =>
    if exists-paired-all t then safe-full-simp-tac ss i else no-tac);
  val unsafe-split-all-tac = SUBGOAL (fn (t, i) =>
    if exists-paired-all t then full-simp-tac ss i else no-tac);
  fun split-all th =
    if exists-paired-all (Thm.prop-of th) then full-simplify ss th else th;
end;
>>

```

```

declaration << fn - =>
  Classical.map-cs (fn cs => cs addSbefore (split-all-tac, split-all-tac))
>>

```

**lemma** *split-paired-All* [simp]:  $(ALL\ x.\ P\ x) = (ALL\ a\ b.\ P\ (a, b))$   
 — [iff] is not a good idea because it makes *blast* loop  
 by *fast*

**lemma** *split-paired-Ex* [simp]:  $(EX\ x.\ P\ x) = (EX\ a\ b.\ P\ (a, b))$   
 by *fast*

**lemma** *Pair-fst-snd-eq*:  $s = t \longleftrightarrow fst\ s = fst\ t \wedge snd\ s = snd\ t$   
 by (cases *s*, cases *t*) *simp*

**lemma** *prod-eqI* [intro?]:  $fst\ p = fst\ q \implies snd\ p = snd\ q \implies p = q$   
 by (simp add: *Pair-fst-snd-eq*)

### 10.3.3 split and curry

**lemma** *split-conv* [simp, code func]:  $split\ f\ (a, b) = f\ a\ b$   
 by (simp add: *split-def*)

**lemma** *curry-conv* [simp, code func]:  $curry\ f\ a\ b = f\ (a, b)$   
 by (simp add: *curry-def*)

**lemmas** *split* = *split-conv* — for backwards compatibility

**lemma** *splitI*:  $f\ a\ b \implies \text{split}\ f\ (a,\ b)$   
**by** (*rule split-conv [THEN iffD2]*)

**lemma** *splitD*:  $\text{split}\ f\ (a,\ b) \implies f\ a\ b$   
**by** (*rule split-conv [THEN iffD1]*)

**lemma** *curryI* [*intro!*]:  $f\ (a,\ b) \implies \text{curry}\ f\ a\ b$   
**by** (*simp add: curry-def*)

**lemma** *curryD* [*dest!*]:  $\text{curry}\ f\ a\ b \implies f\ (a,\ b)$   
**by** (*simp add: curry-def*)

**lemma** *curryE*:  $\text{curry}\ f\ a\ b \implies (f\ (a,\ b) \implies Q) \implies Q$   
**by** (*simp add: curry-def*)

**lemma** *curry-split* [*simp*]:  $\text{curry}\ (\text{split}\ f) = f$   
**by** (*simp add: curry-def split-def*)

**lemma** *split-curry* [*simp*]:  $\text{split}\ (\text{curry}\ f) = f$   
**by** (*simp add: curry-def split-def*)

**lemma** *split-Pair* [*simp*]:  $(\lambda(x,\ y).\ (x,\ y)) = \text{id}$   
**by** (*simp add: split-def id-def*)

**lemma** *split-eta*:  $(\lambda(x,\ y).\ f\ (x,\ y)) = f$   
— Subsumes the old *split-Pair* when  $f$  is the identity function.  
**by** (*rule ext*) *auto*

**lemma** *split-comp*:  $\text{split}\ (f \circ g)\ x = f\ (g\ (\text{fst}\ x))\ (\text{snd}\ x)$   
**by** (*cases x*) *simp*

**lemma** *split-twice*:  $\text{split}\ f\ (\text{split}\ g\ p) = \text{split}\ (\lambda x\ y.\ \text{split}\ f\ (g\ x\ y))\ p$   
**unfolding** *split-def* ..

**lemma** *split-paired-The*:  $(\text{THE}\ x.\ P\ x) = (\text{THE}\ (a,\ b).\ P\ (a,\ b))$   
— Can’t be added to simpset: loops!  
**by** (*simp add: split-eta*)

**lemma** *The-split*:  $\text{The}\ (\text{split}\ P) = (\text{THE}\ xy.\ P\ (\text{fst}\ xy)\ (\text{snd}\ xy))$   
**by** (*simp add: split-def*)

**lemma** *split-weak-cong*:  $p = q \implies \text{split}\ c\ p = \text{split}\ c\ q$   
— Prevents simplification of  $c$ : much faster  
**by** (*erule arg-cong*)

**lemma** *cond-split-eta*:  $(!\ x\ y.\ f\ x\ y = g\ (x,\ y)) \implies (\% (x,\ y).\ f\ x\ y) = g$   
**by** (*simp add: split-eta*)

Simplification procedure for *cond-split-eta*. Using *split-eta* as a rewrite rule

is not general enough, and using *cond-split-eta* directly would render some existing proofs very inefficient; similarly for *split-beta*.

ML  $\ll$

*local*

```

val cond-split-eta-ss = HOL-basic-ss addsimps [thm cond-split-eta]
fun Pair-pat k 0 (Bound m) = (m = k)
| Pair-pat k i (Const (Pair, -) $ Bound m $ t) = i > 0 andalso
    m = k+i andalso Pair-pat k (i-1) t
| Pair-pat - - = false;
fun no-args k i (Abs (-, -, t)) = no-args (k+1) i t
| no-args k i (t $ u) = no-args k i t andalso no-args k i u
| no-args k i (Bound m) = m < k orelse m > k+i
| no-args - - = true;
fun split-pat tp i (Abs (-, -, t)) = if tp 0 i t then SOME (i, t) else NONE
| split-pat tp i (Const (split, -) $ Abs (-, -, t)) = split-pat tp (i+1) t
| split-pat tp i - = NONE;
fun metaeq ss lhs rhs = mk-meta-eq (Goal.prove (Simplifier.the-context ss) [] []
    (HOLogic.mk-Trueprop (HOLogic.mk-eq (lhs, rhs)))
    (K (simp-tac (Simplifier.inherit-context ss cond-split-eta-ss) 1)));

fun beta-term-pat k i (Abs (-, -, t)) = beta-term-pat (k+1) i t
| beta-term-pat k i (t $ u) = Pair-pat k i (t $ u) orelse
    (beta-term-pat k i t andalso beta-term-pat k i u)
| beta-term-pat k i t = no-args k i t;
fun eta-term-pat k i (f $ arg) = no-args k i f andalso Pair-pat k i arg
| eta-term-pat - - = false;
fun subst arg k i (Abs (x, T, t)) = Abs (x, T, subst arg (k+1) i t)
| subst arg k i (t $ u) = if Pair-pat k i (t $ u) then incr-boundvars k arg
    else (subst arg k i t $ subst arg k i u)
| subst arg k i t = t;
fun beta-proc ss (s as Const (split, -) $ Abs (-, -, t) $ arg) =
    (case split-pat beta-term-pat 1 t of
        SOME (i, f) => SOME (metaeq ss s (subst arg 0 i f))
    | NONE => NONE)
| beta-proc - - = NONE;
fun eta-proc ss (s as Const (split, -) $ Abs (-, -, t)) =
    (case split-pat eta-term-pat 1 t of
        SOME (-, ft) => SOME (metaeq ss s (let val (f $ arg) = ft in f end))
    | NONE => NONE)
| eta-proc - - = NONE;
in
val split-beta-proc = Simplifier.simproc @{theory} split-beta [split f z] (K beta-proc);
val split-eta-proc = Simplifier.simproc @{theory} split-eta [split f] (K eta-proc);
end;

Addsimprocs [split-beta-proc, split-eta-proc];
 $\gg$ 

```

**lemma** *split-beta* [*mono*]:  $(\%(x, y). P\ x\ y)\ z = P\ (fst\ z)\ (snd\ z)$   
**by** (*subst surjective-pairing*, *rule split-conv*)

**lemma** *split-split* [*noatp*]:  $R(split\ c\ p) = (ALL\ x\ y. p = (x, y) \dashv\dashv R(c\ x\ y))$   
 — For use with *split* and the Simplifier.  
**by** (*insert surj-pair* [*of p*], *clarify*, *simp*)

*split-split* could be declared as [*split*] done after the Splitter has been speeded up significantly; precompute the constants involved and don’t do anything unless the current goal contains one of those constants.

**lemma** *split-split-asm* [*noatp*]:  $R\ (split\ c\ p) = (\sim(EX\ x\ y. p = (x, y) \ \&\ (\sim R\ (c\ x\ y))))$   
**by** (*subst split-split*, *simp*)

*split* used as a logical connective or set former.

These rules are for use with *blast*; could instead call *simp* using *split* as rewrite.

**lemma** *splitI2*:  $!!p. [\![\![a\ b. p = (a, b) \implies c\ a\ b]\!]\implies split\ c\ p$   
**apply** (*simp only: split-tupled-all*)  
**apply** (*simp (no-asm-simp)*)  
**done**

**lemma** *splitI2'*:  $!!p. [\![\![a\ b. (a, b) = p \implies c\ a\ b\ x]\!]\implies split\ c\ p\ x$   
**apply** (*simp only: split-tupled-all*)  
**apply** (*simp (no-asm-simp)*)  
**done**

**lemma** *splitE*:  $split\ c\ p \implies (!x\ y. p = (x, y) \implies c\ x\ y \implies Q) \implies Q$   
**by** (*induct p*) (*auto simp add: split-def*)

**lemma** *splitE'*:  $split\ c\ p\ z \implies (!x\ y. p = (x, y) \implies c\ x\ y\ z \implies Q) \implies Q$   
**by** (*induct p*) (*auto simp add: split-def*)

**lemma** *splitE2*:  
 $[\![\ Q\ (split\ P\ z); \![\![z = (x, y); Q\ (P\ x\ y)]\!]\implies R]\implies R$   
**proof** —  
**assume** *q*:  $Q\ (split\ P\ z)$   
**assume** *r*:  $[\![z = (x, y); Q\ (P\ x\ y)]\!]\implies R$   
**show** *R*  
**apply** (*rule r surjective-pairing*) +  
**apply** (*rule split-beta* [*THEN subst*], *rule q*)  
**done**  
**qed**

**lemma** *splitD'*:  $split\ R\ (a, b)\ c \implies R\ a\ b\ c$   
**by** *simp*

**lemma** *mem-splitI*:  $z: c\ a\ b \implies z: \text{split}\ c\ (a, b)$   
**by** *simp*

**lemma** *mem-splitI2*:  $!!p. [\![\![a\ b. p = (a, b) \implies z: c\ a\ b]\!]\implies z: \text{split}\ c\ p$   
**by** (*simp only: split-tupled-all, simp*)

**lemma** *mem-splitE*:  
**assumes** *major*:  $z: \text{split}\ c\ p$   
**and cases**:  $!!x\ y. [\![p = (x, y); z: c\ x\ y]\!] \implies Q$   
**shows**  $Q$   
**by** (*rule major [unfolded split-def] cases surjective-pairing*) $+$

**declare** *mem-splitI2* [*intro!*] *mem-splitI* [*intro!*] *splitI2'* [*intro!*] *splitI2* [*intro!*] *splitI* [*intro!*]

**declare** *mem-splitE* [*elim!*] *splitE'* [*elim!*] *splitE* [*elim!*]

**ML**  $\langle\langle$   
*local* (\* filtering with exists-p-split is an essential optimization \*)  
*fun* *exists-p-split* (*Const* (*split*, -) \$ - \$ (*Const* (*Pair*, -)\$-\$-)) = *true*  
| *exists-p-split* (*t* \$ *u*) = *exists-p-split* *t* *orelse* *exists-p-split* *u*  
| *exists-p-split* (*Abs* (-, -, *t*)) = *exists-p-split* *t*  
| *exists-p-split* - = *false*;  
*val* *ss* = *HOL-basic-ss* *addsimps* [*thm split-conv*];  
*in*  
*val* *split-conv-tac* = *SUBGOAL* (*fn* (*t*, *i*) =>  
*if* *exists-p-split* *t* *then* *safe-full-simp-tac* *ss* *i* *else* *no-tac*);  
*end*;  
 $\rangle\rangle$

**declaration**  $\langle\langle$  *fn* - =>  
*Classical.map-cs* (*fn* *cs* => *cs* *addSbefore* (*split-conv-tac*, *split-conv-tac*))  
 $\rangle\rangle$

**lemma** *split-eta-SetCompr* [*simp*, *noatp*]:  $(\%u. \text{EX } x\ y. u = (x, y) \ \& \ P\ (x, y)) = P$   
**by** (*rule ext*) *fast*

**lemma** *split-eta-SetCompr2* [*simp*, *noatp*]:  $(\%u. \text{EX } x\ y. u = (x, y) \ \& \ P\ x\ y) = \text{split}\ P$   
**by** (*rule ext*) *fast*

**lemma** *split-part* [*simp*]:  $(\%(a, b). P \ \& \ Q\ a\ b) = (\%ab. P \ \& \ \text{split}\ Q\ ab)$   
— Allows simplifications of nested splits in case of independent predicates.  
**by** (*rule ext*) *blast*

**lemma** *split-comp-eq*:  
**fixes**  $f :: 'a \Rightarrow 'b \Rightarrow 'c$  **and**  $g :: 'd \Rightarrow 'a$

```
shows (%u. f (g (fst u)) (snd u)) = (split (%x. f (g x)))
by (rule ext) auto
```

```
lemma pair-imageI [intro]: (a, b) : A ==> f a b : (%(a, b). f a b) ‘ A
  apply (rule-tac x = (a, b) in image-eqI)
  apply auto
done
```

```
lemma The-split-eq [simp]: (THE (x',y'). x = x' & y = y') = (x, y)
  by blast
```

Setup of internal *split-rule*.

**definition**

```
internal-split :: ('a => 'b => 'c) => 'a × 'b => 'c
```

**where**

```
internal-split == split
```

```
lemma internal-split-conv: internal-split c (a, b) = c a b
  by (simp only: internal-split-def split-conv)
```

```
hide const internal-split
```

```
use Tools/split-rule.ML
```

```
setup SplitRule.setup
```

```
lemmas prod-caseI = prod.cases [THEN iffD2, standard]
```

```
lemma prod-caseI2: !!p. [| !!a b. p = (a, b) ==> c a b |] ==> prod-case c p
  by auto
```

```
lemma prod-caseI2': !!p. [| !!a b. (a, b) = p ==> c a b x |] ==> prod-case c p x
  by (auto simp: split-tupled-all)
```

```
lemma prod-caseE: prod-case c p ==> (!!x y. p = (x, y) ==> c x y ==> Q)
==> Q
  by (induct p) auto
```

```
lemma prod-caseE': prod-case c p z ==> (!!x y. p = (x, y) ==> c x y z ==>
Q) ==> Q
  by (induct p) auto
```

```
lemma prod-case-unfold: prod-case = (%c p. c (fst p) (snd p))
  by (simp add: expand-fun-eq)
```

```
declare prod-caseI2' [intro!] prod-caseI2 [intro!] prod-caseI [intro!]
declare prod-caseE' [elim!] prod-caseE [elim!]
```

```
lemma prod-case-split:
  prod-case = split
```



**by** (*auto simp add: expand-fun-eq*)

**lemma** *prod-case-beta*:

*prod-case*  $f\ p = f\ (fst\ p)\ (snd\ p)$

**unfolding** *prod-case-split split-beta ..*

#### 10.4 Further cases/induct rules for tuples

**lemma** *prod-cases3* [*cases type*]:

**obtains** (*fields*)  $a\ b\ c$  **where**  $y = (a, b, c)$

**by** (*cases y, case-tac b*) *blast*

**lemma** *prod-induct3* [*case-names fields, induct type*]:

$(!!a\ b\ c. P\ (a, b, c)) \implies P\ x$

**by** (*cases x*) *blast*

**lemma** *prod-cases4* [*cases type*]:

**obtains** (*fields*)  $a\ b\ c\ d$  **where**  $y = (a, b, c, d)$

**by** (*cases y, case-tac c*) *blast*

**lemma** *prod-induct4* [*case-names fields, induct type*]:

$(!!a\ b\ c\ d. P\ (a, b, c, d)) \implies P\ x$

**by** (*cases x*) *blast*

**lemma** *prod-cases5* [*cases type*]:

**obtains** (*fields*)  $a\ b\ c\ d\ e$  **where**  $y = (a, b, c, d, e)$

**by** (*cases y, case-tac d*) *blast*

**lemma** *prod-induct5* [*case-names fields, induct type*]:

$(!!a\ b\ c\ d\ e. P\ (a, b, c, d, e)) \implies P\ x$

**by** (*cases x*) *blast*

**lemma** *prod-cases6* [*cases type*]:

**obtains** (*fields*)  $a\ b\ c\ d\ e\ f$  **where**  $y = (a, b, c, d, e, f)$

**by** (*cases y, case-tac e*) *blast*

**lemma** *prod-induct6* [*case-names fields, induct type*]:

$(!!a\ b\ c\ d\ e\ f. P\ (a, b, c, d, e, f)) \implies P\ x$

**by** (*cases x*) *blast*

**lemma** *prod-cases7* [*cases type*]:

**obtains** (*fields*)  $a\ b\ c\ d\ e\ f\ g$  **where**  $y = (a, b, c, d, e, f, g)$

**by** (*cases y, case-tac f*) *blast*

**lemma** *prod-induct7* [*case-names fields, induct type*]:

$(!!a\ b\ c\ d\ e\ f\ g. P\ (a, b, c, d, e, f, g)) \implies P\ x$

**by** (*cases x*) *blast*

### 10.4.1 Derived operations

The composition-uncurry combinator.

**notation** *fcomp* (**infixl** *o>* 60)

**definition**

*scomp* :: (*'a* ⇒ *'b* × *'c*) ⇒ (*'b* ⇒ *'c* ⇒ *'d*) ⇒ *'a* ⇒ *'d* (**infixl** *o→* 60)

**where**

*f o→ g* = (λ*x*. *split g (f x)*)

**lemma** *scomp-apply*: (*f o→ g*) *x* = *split g (f x)*

**by** (*simp add: scomp-def*)

**lemma** *Pair-scomp*: *Pair x o→ f* = *f x*

**by** (*simp add: expand-fun-eq scomp-apply*)

**lemma** *scomp-Pair*: *x o→ Pair* = *x*

**by** (*simp add: expand-fun-eq scomp-apply*)

**lemma** *scomp-scomp*: (*f o→ g*) *o→ h* = *f o→ (λx. g x o→ h)*

**by** (*simp add: expand-fun-eq split-twice scomp-def*)

**lemma** *scomp-fcomp*: (*f o→ g*) *o> h* = *f o→ (λx. g x o> h)*

**by** (*simp add: expand-fun-eq scomp-apply fcomp-def split-def*)

**lemma** *fcomp-scomp*: (*f o> g*) *o→ h* = *f o> (g o→ h)*

**by** (*simp add: expand-fun-eq scomp-apply fcomp-apply*)

**no-notation** *fcomp* (**infixl** *o>* 60)

**no-notation** *scomp* (**infixl** *o→* 60)

*prod-fun* — action of the product functor upon functions.

**definition** *prod-fun* :: (*'a* ⇒ *'c*) ⇒ (*'b* ⇒ *'d*) ⇒ *'a* × *'b* ⇒ *'c* × *'d* **where**

[*code func del*]: *prod-fun f g* = (λ(*x*, *y*). (*f x*, *g y*))

**lemma** *prod-fun* [*simp*, *code func*]: *prod-fun f g (a, b)* = (*f a*, *g b*)

**by** (*simp add: prod-fun-def*)

**lemma** *prod-fun-compose*: *prod-fun (f1 o f2) (g1 o g2)* = (*prod-fun f1 g1 o prod-fun f2 g2*)

**by** (*rule ext*) *auto*

**lemma** *prod-fun-ident* [*simp*]: *prod-fun (%x. x) (%y. y)* = (%*z*. *z*)

**by** (*rule ext*) *auto*

**lemma** *prod-fun-imageI* [*intro*]: (*a*, *b*) : *r* ==> (*f a*, *g b*) : *prod-fun f g ‘ r*

**apply** (*rule image-eqI*)

**apply** (*rule prod-fun [symmetric]*, *assumption*)

**done**

```

lemma prod-fun-imageE [elim!]:
  assumes major: c: (prod-fun f g) ‘r
    and cases: !!x y. [| c=(f(x),g(y)); (x,y):r |] ==> P
  shows P
  apply (rule major [THEN imageE])
  apply (rule-tac p = x in PairE)
  apply (rule cases)
  apply (blast intro: prod-fun)
  apply blast
  done

```

**definition**

```

apfst :: ('a => 'c) => 'a × 'b => 'c × 'b
where
  [code func del]: apfst f = prod-fun f id

```

**definition**

```

apsnd :: ('b => 'c) => 'a × 'b => 'a × 'c
where
  [code func del]: apsnd f = prod-fun id f

```

**lemma** *apfst-conv* [*simp*, *code*]:

```

apfst f (x, y) = (f x, y)
by (simp add: apfst-def)

```

**lemma** *upd-snd-conv* [*simp*, *code*]:

```

apsnd f (x, y) = (x, f y)
by (simp add: apsnd-def)

```

Disjoint union of a family of sets – Sigma.

**definition** *Sigma* :: ['*a* *set*, '*a* => '*b* *set*] => ('*a* × '*b*) *set* **where**  
*Sigma-def*: *Sigma* *A* *B* == UN *x*:*A*. UN *y*:*B* *x*. {*Pair* *x* *y*}

**abbreviation**

```

Times :: ['a set, 'b set] => ('a * 'b) set
  (infixr <*> 80) where
  A <*> B == Sigma A (%-. B)

```

**notation** (*xsymbols*)

```

Times (infixr × 80)

```

**notation** (*HTML output*)

```

Times (infixr × 80)

```

**syntax**

```

@Sigma :: [pttrn, 'a set, 'b set] => ('a * 'b) set ((3SIGMA :-./ -) [0, 0, 10] 10)

```

**translations**

$SIGMA\ x:A. B == Product\text{-}Type.Sigma\ A\ (\%x. B)$

**lemma** *SigmaI* [intro!]:  $\llbracket a:A; b:B(a) \rrbracket ==> (a,b) : Sigma\ A\ B$   
**by** (*unfold Sigma-def*) *blast*

**lemma** *SigmaE* [elim!]:  
 $\llbracket c: Sigma\ A\ B; \quad !!x\ y. \llbracket x:A; y:B(x); c=(x,y) \rrbracket ==> P \rrbracket ==> P$   
 — The general elimination rule.  
**by** (*unfold Sigma-def*) *blast*

Elimination of  $(a, b) \in A \times B$  – introduces no eigenvariables.

**lemma** *SigmaD1*:  $(a, b) : Sigma\ A\ B ==> a : A$   
**by** *blast*

**lemma** *SigmaD2*:  $(a, b) : Sigma\ A\ B ==> b : B\ a$   
**by** *blast*

**lemma** *SigmaE2*:  
 $\llbracket (a, b) : Sigma\ A\ B; \quad \llbracket a:A; b:B(a) \rrbracket ==> P \rrbracket ==> P$   
**by** *blast*

**lemma** *Sigma-cong*:  
 $\llbracket A = B; !!x. x \in B ==> C\ x = D\ x \rrbracket ==> (SIGMA\ x: A. C\ x) = (SIGMA\ x: B. D\ x)$   
**by** *auto*

**lemma** *Sigma-mono*:  $\llbracket A <= C; !!x. x:A ==> B\ x <= D\ x \rrbracket ==> Sigma\ A\ B <= Sigma\ C\ D$   
**by** *blast*

**lemma** *Sigma-empty1* [simp]:  $Sigma\ \{\} B = \{\}$   
**by** *blast*

**lemma** *Sigma-empty2* [simp]:  $A <*> \{\} = \{\}$   
**by** *blast*

**lemma** *UNIV-Times-UNIV* [simp]:  $UNIV <*> UNIV = UNIV$   
**by** *auto*

**lemma** *Compl-Times-UNIV1* [simp]:  $\neg (UNIV <*> A) = UNIV <*> (\neg A)$   
**by** *auto*

**lemma** *Compl-Times-UNIV2* [simp]:  $\neg (A <*> UNIV) = (\neg A) <*> UNIV$   
**by** *auto*

**lemma** *mem-Sigma-iff* [*iff*]:  $((a,b): \text{Sigma } A \ B) = (a:A \ \& \ b:B(a))$   
**by** *blast*

**lemma** *Times-subset-cancel2*:  $x:C \implies (A \ <*> \ C \ \leq B \ <*> \ C) = (A \ \leq B)$   
**by** *blast*

**lemma** *Times-eq-cancel2*:  $x:C \implies (A \ <*> \ C = B \ <*> \ C) = (A = B)$   
**by** (*blast elim: equalityE*)

**lemma** *SetCompr-Sigma-eq*:  
 $\text{Collect } (\text{split } (\%x \ y. \ P \ x \ \& \ Q \ x \ y)) = (\text{SIGMA } x:\text{Collect } P. \ \text{Collect } (Q \ x))$   
**by** *blast*

**lemma** *Collect-split* [*simp*]:  $\{(a,b). \ P \ a \ \& \ Q \ b\} = \text{Collect } P \ <*> \ \text{Collect } Q$   
**by** *blast*

**lemma** *UN-Times-distrib*:  
 $(\text{UN } (a,b):(A \ <*> \ B). \ E \ a \ <*> \ F \ b) = (\text{UNION } A \ E) \ <*> \ (\text{UNION } B \ F)$   
— Suggested by Pierre Chartier  
**by** *blast*

**lemma** *split-paired-Ball-Sigma* [*simp,noatp*]:  
 $(\text{ALL } z: \text{Sigma } A \ B. \ P \ z) = (\text{ALL } x:A. \ \text{ALL } y: B \ x. \ P(x,y))$   
**by** *blast*

**lemma** *split-paired-Bex-Sigma* [*simp,noatp*]:  
 $(\text{EX } z: \text{Sigma } A \ B. \ P \ z) = (\text{EX } x:A. \ \text{EX } y: B \ x. \ P(x,y))$   
**by** *blast*

**lemma** *Sigma-Un-distrib1*:  $(\text{SIGMA } i:I \ \text{Un } J. \ C(i)) = (\text{SIGMA } i:I. \ C(i)) \ \text{Un} \ (\text{SIGMA } j:J. \ C(j))$   
**by** *blast*

**lemma** *Sigma-Un-distrib2*:  $(\text{SIGMA } i:I. \ A(i) \ \text{Un } B(i)) = (\text{SIGMA } i:I. \ A(i)) \ \text{Un} \ (\text{SIGMA } i:I. \ B(i))$   
**by** *blast*

**lemma** *Sigma-Int-distrib1*:  $(\text{SIGMA } i:I \ \text{Int } J. \ C(i)) = (\text{SIGMA } i:I. \ C(i)) \ \text{Int} \ (\text{SIGMA } j:J. \ C(j))$   
**by** *blast*

**lemma** *Sigma-Int-distrib2*:  $(\text{SIGMA } i:I. \ A(i) \ \text{Int } B(i)) = (\text{SIGMA } i:I. \ A(i)) \ \text{Int} \ (\text{SIGMA } i:I. \ B(i))$   
**by** *blast*

**lemma** *Sigma-Diff-distrib1*:  $(\text{SIGMA } i:I \ - \ J. \ C(i)) = (\text{SIGMA } i:I. \ C(i)) \ - \ (\text{SIGMA } j:J. \ C(j))$   
**by** *blast*

**lemma** *Sigma-Diff-distrib2*:  $(\text{SIGMA } i:I. A(i) - B(i)) = (\text{SIGMA } i:I. A(i)) - (\text{SIGMA } i:I. B(i))$   
**by** *blast*

**lemma** *Sigma-Union*:  $\text{Sigma } (\text{Union } X) B = (\text{UN } A:X. \text{Sigma } A B)$   
**by** *blast*

Non-dependent versions are needed to avoid the need for higher-order matching, especially when the rules are re-oriented.

**lemma** *Times-Un-distrib1*:  $(A \text{ Un } B) <*> C = (A <*> C) \text{ Un } (B <*> C)$   
**by** *blast*

**lemma** *Times-Int-distrib1*:  $(A \text{ Int } B) <*> C = (A <*> C) \text{ Int } (B <*> C)$   
**by** *blast*

**lemma** *Times-Diff-distrib1*:  $(A - B) <*> C = (A <*> C) - (B <*> C)$   
**by** *blast*

#### 10.4.2 Code generator setup

**instance**  $*$  ::  $(eq, eq) \Rightarrow eq$  ..

**lemma** *[code func]*:  
 $(x1::'a::eq, y1::'b::eq) \longleftrightarrow x1 = x2 \wedge y1 = y2$  **by** *auto*

**lemma** *split-case-cert*:  
**assumes**  $CASE \equiv \text{split } f$   
**shows**  $CASE (a, b) \equiv f a b$   
**using** *assms* **by** *simp*

**setup**  $\langle\langle$   
 $\text{Code.add-case } @\{\text{thm split-case-cert}\}$   
 $\rangle\rangle$

**code-type**  $*$   
 $(\text{SML } \text{infix } 2 \ *)$   
 $(\text{OCaml } \text{infix } 2 \ *)$   
 $(\text{Haskell } !((-), / (-)))$

**code-instance**  $*$  ::  $eq$   
 $(\text{Haskell } -)$

**code-const**  $op = :: 'a::eq \times 'b::eq \Rightarrow 'a \times 'b \Rightarrow bool$   
 $(\text{Haskell } \text{infixl } 4 \ ==)$

**code-const** *Pair*  
 $(\text{SML } !((-), / (-)))$   
 $(\text{OCaml } !((-), / (-)))$   
 $(\text{Haskell } !((-), / (-)))$

**code-const** *fst* and *snd*

(*Haskell fst* and *snd*)

**types-code**

\* ((- \*/ -))

**attach** (*term-of*)  $\ll$

*fun term-of-id-42 aF aT bF bT (x, y) = HOLogic.pair-const aT bT \$ aF x \$ bF y;*

$\gg$

**attach** (*test*)  $\ll$

*fun gen-id-42 aG aT bG bT i =*

*let*

*val (x, t) = aG i;*

*val (y, u) = bG i*

*in ((x, y), fn () => HOLogic.pair-const aT bT \$ t () \$ u ()) end;*

$\gg$

**consts-code**

*Pair* ((-, / -))

**setup**  $\ll$

*let*

*fun strip-abs-split 0 t = ([], t)*

*| strip-abs-split i (Abs (s, T, t)) =*

*let*

*val s' = Codegen.new-name t s;*

*val v = Free (s', T)*

*in apfst (cons v) (strip-abs-split (i-1) (subst-bound (v, t))) end*

*| strip-abs-split i (u as Const (split, -) \$ t) = (case strip-abs-split (i+1) t of*

*(v :: v' :: vs, u) => (HOLogic.mk-prod (v, v') :: vs, u)*

*| - => ([], u))*

*| strip-abs-split i t = ([], t);*

*fun let-codegen thy defs gr dep thynome brack t = (case strip-comb t of*

*(t1 as Const (Let, -), t2 :: t3 :: ts) =>*

*let*

*fun dest-let (l as Const (Let, -) \$ t \$ u) =*

*(case strip-abs-split 1 u of*

*([p], u') => apfst (cons (p, t)) (dest-let u')*

*| - => ([], l))*

*| dest-let t = ([], t);*

*fun mk-code (gr, (l, r)) =*

*let*

*val (gr1, pl) = Codegen.invoke-codegen thy defs dep thynome false (gr, l);*

*val (gr2, pr) = Codegen.invoke-codegen thy defs dep thynome false (gr1,*

*r);*

```

      in (gr2, (pl, pr)) end
in case dest-let (t1 $ t2 $ t3) of
  ([], -) => NONE
  | (ps, u) =>
    let
      val (gr1, qs) = foldl-map mk-code (gr, ps);
      val (gr2, pu) = Codegen.invoke-codegen thy defs dep thyname false (gr1,
u);
      val (gr3, pargs) = foldl-map
        (Codegen.invoke-codegen thy defs dep thyname true) (gr2, ts)
    in
      SOME (gr3, Codegen.mk-app brack
        (Pretty.blk (0, [Codegen.str let , Pretty.blk (0, List.concat
          (separate [Codegen.str ;, Pretty.brk 1] (map (fn (pl, pr) =>
            [Pretty.block [Codegen.str val , pl, Codegen.str =,
              Pretty.brk 1, pr]]) qs))),
          Pretty.brk 1, Codegen.str in , pu,
          Pretty.brk 1, Codegen.str end])) pargs)
    end
  end
  | - => NONE);

fun split-codegen thy defs gr dep thyname brack t = (case strip-comb t of
  (t1 as Const (split, -), t2 :: ts) =>
    (case strip-abs-split 1 (t1 $ t2) of
      ([p], u) =>
        let
          val (gr1, q) = Codegen.invoke-codegen thy defs dep thyname false (gr,
p);
          val (gr2, pu) = Codegen.invoke-codegen thy defs dep thyname false (gr1,
u);
          val (gr3, pargs) = foldl-map
            (Codegen.invoke-codegen thy defs dep thyname true) (gr2, ts)
        in
          SOME (gr2, Codegen.mk-app brack
            (Pretty.block [Codegen.str (fn , q, Codegen.str =>,
              Pretty.brk 1, pu, Codegen.str )]) pargs)
          end
        | - => NONE)
      | - => NONE);

in

  Codegen.add-codegen let-codegen let-codegen
  #> Codegen.add-codegen split-codegen split-codegen

end
>>

```



## 10.5 Legacy bindings

```

ML ⟨⟨
  val Collect-split = thm Collect-split;
  val Compl-Times-UNIV1 = thm Compl-Times-UNIV1;
  val Compl-Times-UNIV2 = thm Compl-Times-UNIV2;
  val PairE = thm PairE;
  val Pair-Rep-inject = thm Pair-Rep-inject;
  val Pair-def = thm Pair-def;
  val Pair-eq = thm Pair-eq;
  val Pair-fst-snd-eq = thm Pair-fst-snd-eq;
  val ProdI = thm ProdI;
  val SetCompr-Sigma-eq = thm SetCompr-Sigma-eq;
  val SigmaD1 = thm SigmaD1;
  val SigmaD2 = thm SigmaD2;
  val SigmaE = thm SigmaE;
  val SigmaE2 = thm SigmaE2;
  val SigmaI = thm SigmaI;
  val Sigma-Diff-distrib1 = thm Sigma-Diff-distrib1;
  val Sigma-Diff-distrib2 = thm Sigma-Diff-distrib2;
  val Sigma-Int-distrib1 = thm Sigma-Int-distrib1;
  val Sigma-Int-distrib2 = thm Sigma-Int-distrib2;
  val Sigma-Un-distrib1 = thm Sigma-Un-distrib1;
  val Sigma-Un-distrib2 = thm Sigma-Un-distrib2;
  val Sigma-Union = thm Sigma-Union;
  val Sigma-def = thm Sigma-def;
  val Sigma-empty1 = thm Sigma-empty1;
  val Sigma-empty2 = thm Sigma-empty2;
  val Sigma-mono = thm Sigma-mono;
  val The-split = thm The-split;
  val The-split-eq = thm The-split-eq;
  val The-split-eq = thm The-split-eq;
  val Times-Diff-distrib1 = thm Times-Diff-distrib1;
  val Times-Int-distrib1 = thm Times-Int-distrib1;
  val Times-Un-distrib1 = thm Times-Un-distrib1;
  val Times-eq-cancel2 = thm Times-eq-cancel2;
  val Times-subset-cancel2 = thm Times-subset-cancel2;
  val UNIV-Times-UNIV = thm UNIV-Times-UNIV;
  val UN-Times-distrib = thm UN-Times-distrib;
  val Unity-def = thm Unity-def;
  val cond-split-eta = thm cond-split-eta;
  val fst-conv = thm fst-conv;
  val fst-def = thm fst-def;
  val fst-eqD = thm fst-eqD;
  val inj-on-Abs-Prod = thm inj-on-Abs-Prod;
  val mem-Sigma-iff = thm mem-Sigma-iff;
  val mem-splitE = thm mem-splitE;
  val mem-splitI = thm mem-splitI;
  val mem-splitI2 = thm mem-splitI2;
  val prod-eqI = thm prod-eqI;

```

```

val prod-fun = thm prod-fun;
val prod-fun-compose = thm prod-fun-compose;
val prod-fun-def = thm prod-fun-def;
val prod-fun-ident = thm prod-fun-ident;
val prod-fun-imageE = thm prod-fun-imageE;
val prod-fun-imageI = thm prod-fun-imageI;
val prod-induct = thm prod-induct;
val snd-conv = thm snd-conv;
val snd-def = thm snd-def;
val snd-eqD = thm snd-eqD;
val split = thm split;
val splitD = thm splitD;
val splitD' = thm splitD';
val splitE = thm splitE;
val splitE' = thm splitE';
val splitE2 = thm splitE2;
val splitI = thm splitI;
val splitI2 = thm splitI2;
val splitI2' = thm splitI2';
val split-beta = thm split-beta;
val split-conv = thm split-conv;
val split-def = thm split-def;
val split-eta = thm split-eta;
val split-eta-SetCompr = thm split-eta-SetCompr;
val split-eta-SetCompr2 = thm split-eta-SetCompr2;
val split-paired-All = thm split-paired-All;
val split-paired-Ball-Sigma = thm split-paired-Ball-Sigma;
val split-paired-Bex-Sigma = thm split-paired-Bex-Sigma;
val split-paired-Ex = thm split-paired-Ex;
val split-paired-The = thm split-paired-The;
val split-paired-all = thm split-paired-all;
val split-part = thm split-part;
val split-split = thm split-split;
val split-split-asm = thm split-split-asm;
val split-tupled-all = thm split-tupled-all;
val split-weak-cong = thm split-weak-cong;
val surj-pair = thm surj-pair;
val surjective-pairing = thm surjective-pairing;
val unit-abs-eta-conv = thm unit-abs-eta-conv;
val unit-all-eq1 = thm unit-all-eq1;
val unit-all-eq2 = thm unit-all-eq2;
val unit-eq = thm unit-eq;
>>

```

## 10.6 Further inductive packages

```

use Tools/inductive-realizer.ML
setup InductiveRealizer.setup

```

```

use Tools/inductive-set-package.ML
setup InductiveSetPackage.setup

use Tools/datatype-realizer.ML
setup DatatypeRealizer.setup

end

```

## 11 Record: Extensible records with structural subtyping

```

theory Record
imports Product-Type
uses (Tools/record-package.ML)
begin

lemma prop-subst:  $s = t \implies PROP\ P\ t \implies PROP\ P\ s$ 
  by simp

lemma rec-UNIV-I:  $\bigwedge x. x \in UNIV \equiv True$ 
  by simp

lemma rec-True-simp:  $(True \implies PROP\ P) \equiv PROP\ P$ 
  by simp

lemma K-record-comp:  $(\lambda x. c) \circ f = (\lambda x. c)$ 
  by (simp add: comp-def)

```

### 11.1 Concrete record syntax

#### nonterminals

*ident field-type field-types field fields update updates*

#### syntax

-constify	:: <i>id</i> => <i>ident</i>	(-)
-constify	:: <i>longid</i> => <i>ident</i>	(-)
-field-type	:: [ <i>ident</i> , <i>type</i> ] => <i>field-type</i>	((2- ::/ -))
	:: <i>field-type</i> => <i>field-types</i>	(-)
-field-types	:: [ <i>field-type</i> , <i>field-types</i> ] => <i>field-types</i>	(-,/ -)
-record-type	:: <i>field-types</i> => <i>type</i>	((3'(  -  ')))
-record-type-scheme	:: [ <i>field-types</i> , <i>type</i> ] => <i>type</i>	((3'(  -,/ (2... ::/ -)  ')))
-field	:: [ <i>ident</i> , ' <i>a</i> ] => <i>field</i>	((2- =/ -))
	:: <i>field</i> => <i>fields</i>	(-)
-fields	:: [ <i>field</i> , <i>fields</i> ] => <i>fields</i>	(-,/ -)
-record	:: <i>fields</i> => ' <i>a</i>	((3'(  -  ')))
-record-scheme	:: [ <i>fields</i> , ' <i>a</i> ] => ' <i>a</i>	((3'(  -,/ (2... =/ -)  ')))

```

-update-name      :: idt
-update           :: [ident, 'a] => update      ((2- :=/ -))
                  :: update => updates          (-)
-update           :: [update, updates] => updates  (-,/ -)
-record-update    :: ['a, updates] => 'b        (-/(3'(| - |')) [900,0] 900)

syntax (xsymbols)
-record-type      :: field-types => type        ((3(|-|)))
-record-type-scheme :: [field-types, type] => type  ((3(|-,/ (2... :=/ -)|)))
-record          :: fields => 'a                ((3(|-|)))
-record-scheme    :: [fields, 'a] => 'a          ((3(|-,/ (2... :=/ -)|)))
-record-update    :: ['a, updates] => 'b        (-/(3(|-|)) [900,0] 900)

use Tools/record-package.ML
setup RecordPackage.setup

end

```

## 12 OrderedGroup: Ordered Groups

```

theory OrderedGroup
imports Lattices
uses ~~/src/Provers/Arith/abel-cancel.ML
begin

```

The theory of partially ordered groups is taken from the books:

- *Lattice Theory* by Garret Birkhoff, American Mathematical Society 1979
- *Partially Ordered Algebraic Systems*, Pergamon Press 1963

Most of the used notions can also be looked up in

- <http://www.mathworld.com> by Eric Weisstein et. al.
- *Algebra I* by van der Waerden, Springer.

### 12.1 Semigroups and Monoids

```

class semigroup-add = plus +
  assumes add-assoc: (a + b) + c = a + (b + c)

class ab-semigroup-add = semigroup-add +
  assumes add-commute: a + b = b + a
begin

```

```

lemma add-left-commute:  $a + (b + c) = b + (a + c)$ 
  by (rule mk-left-commute [of plus, OF add-assoc add-commute])

theorems add-ac = add-assoc add-commute add-left-commute

end

theorems add-ac = add-assoc add-commute add-left-commute

class semigroup-mult = times +
  assumes mult-assoc:  $(a * b) * c = a * (b * c)$ 

class ab-semigroup-mult = semigroup-mult +
  assumes mult-commute:  $a * b = b * a$ 
begin

lemma mult-left-commute:  $a * (b * c) = b * (a * c)$ 
  by (rule mk-left-commute [of times, OF mult-assoc mult-commute])

theorems mult-ac = mult-assoc mult-commute mult-left-commute

end

theorems mult-ac = mult-assoc mult-commute mult-left-commute

class ab-semigroup-idem-mult = ab-semigroup-mult +
  assumes mult-idem:  $x * x = x$ 
begin

lemma mult-left-idem:  $x * (x * y) = x * y$ 
  unfolding mult-assoc [symmetric, of x] mult-idem ..

lemmas mult-ac-idem = mult-ac mult-idem mult-left-idem

end

lemmas mult-ac-idem = mult-ac mult-idem mult-left-idem

class monoid-add = zero + semigroup-add +
  assumes add-0-left [simp]:  $0 + a = a$ 
  and add-0-right [simp]:  $a + 0 = a$ 

lemma zero-reorient:  $0 = x \longleftrightarrow x = 0$ 
  by (rule eq-commute)

class comm-monoid-add = zero + ab-semigroup-add +
  assumes add-0:  $0 + a = a$ 
begin

```

```

subclass monoid-add
  by unfold-locales (insert add-0, simp-all add: add-commute)

end

class monoid-mult = one + semigroup-mult +
  assumes mult-1-left [simp]:  $1 * a = a$ 
  assumes mult-1-right [simp]:  $a * 1 = a$ 

lemma one-reorient:  $1 = x \longleftrightarrow x = 1$ 
  by (rule eq-commute)

class comm-monoid-mult = one + ab-semigroup-mult +
  assumes mult-1:  $1 * a = a$ 
begin

subclass monoid-mult
  by unfold-locales (insert mult-1, simp-all add: mult-commute)

end

class cancel-semigroup-add = semigroup-add +
  assumes add-left-imp-eq:  $a + b = a + c \implies b = c$ 
  assumes add-right-imp-eq:  $b + a = c + a \implies b = c$ 

class cancel-ab-semigroup-add = ab-semigroup-add +
  assumes add-imp-eq:  $a + b = a + c \implies b = c$ 
begin

subclass cancel-semigroup-add
proof unfold-locales
  fix a b c :: 'a
  assume a + b = a + c
  then show b = c by (rule add-imp-eq)
next
  fix a b c :: 'a
  assume b + a = c + a
  then have a + b = a + c by (simp only: add-commute)
  then show b = c by (rule add-imp-eq)
qed

end

context cancel-ab-semigroup-add
begin

lemma add-left-cancel [simp]:
   $a + b = a + c \longleftrightarrow b = c$ 

```

```

    by (blast dest: add-left-imp-eq)

lemma add-right-cancel [simp]:
   $b + a = c + a \longleftrightarrow b = c$ 
  by (blast dest: add-right-imp-eq)

end

12.2 Groups

class group-add = minus + uminus + monoid-add +
  assumes left-minus [simp]:  $- a + a = 0$ 
  assumes diff-minus:  $a - b = a + (- b)$ 
begin

lemma minus-add-cancel:  $- a + (a + b) = b$ 
  by (simp add: add-assoc[symmetric])

lemma minus-zero [simp]:  $- 0 = 0$ 
proof -
  have  $- 0 = - 0 + (0 + 0)$  by (simp only: add-0-right)
  also have  $\dots = 0$  by (rule minus-add-cancel)
  finally show ?thesis .
qed

lemma minus-minus [simp]:  $- (- a) = a$ 
proof -
  have  $- (- a) = - (- a) + (- a + a)$  by simp
  also have  $\dots = a$  by (rule minus-add-cancel)
  finally show ?thesis .
qed

lemma right-minus [simp]:  $a + - a = 0$ 
proof -
  have  $a + - a = - (- a) + - a$  by simp
  also have  $\dots = 0$  by (rule left-minus)
  finally show ?thesis .
qed

lemma right-minus-eq:  $a - b = 0 \longleftrightarrow a = b$ 
proof
  assume  $a - b = 0$ 
  have  $a = (a - b) + b$  by (simp add: diff-minus add-assoc)
  also have  $\dots = b$  using  $\langle a - b = 0 \rangle$  by simp
  finally show  $a = b$  .
next
  assume  $a = b$  thus  $a - b = 0$  by (simp add: diff-minus)
qed

```

```

lemma equals-zero-I:
  assumes  $a + b = 0$ 
  shows  $- a = b$ 
proof -
  have  $- a = - a + (a + b)$  using assms by simp
  also have  $\dots = b$  by (simp add: add-associ[commutative])
  finally show ?thesis .
qed

```

```

lemma diff-self [simp]:  $a - a = 0$ 
  by (simp add: diff-minus)

```

```

lemma diff-0 [simp]:  $0 - a = - a$ 
  by (simp add: diff-minus)

```

```

lemma diff-0-right [simp]:  $a - 0 = a$ 
  by (simp add: diff-minus)

```

```

lemma diff-minus-eq-add [simp]:  $a - - b = a + b$ 
  by (simp add: diff-minus)

```

```

lemma neg-equal-iff-equal [simp]:
   $- a = - b \longleftrightarrow a = b$ 

```

```

proof
  assume  $- a = - b$ 
  hence  $- (- a) = - (- b)$ 
  by simp
  thus  $a = b$  by simp
next
  assume  $a = b$ 
  thus  $- a = - b$  by simp
qed

```

```

lemma neg-equal-0-iff-equal [simp]:
   $- a = 0 \longleftrightarrow a = 0$ 
  by (subst neg-equal-iff-equal [symmetric], simp)

```

```

lemma neg-0-equal-iff-equal [simp]:
   $0 = - a \longleftrightarrow 0 = a$ 
  by (subst neg-equal-iff-equal [symmetric], simp)

```

The next two equations can make the simplifier loop!

```

lemma equation-minus-iff:
   $a = - b \longleftrightarrow b = - a$ 
proof -
  have  $- (- a) = - b \longleftrightarrow - a = b$  by (rule neg-equal-iff-equal)
  thus ?thesis by (simp add: eq-commute)
qed

```



```

lemma minus-equation-iff:
   $- a = b \longleftrightarrow - b = a$ 
proof -
  have  $- a = - (- b) \longleftrightarrow a = - b$  by (rule neg-equal-iff-equal)
  thus ?thesis by (simp add: eq-commute)
qed

end

class ab-group-add = minus + uminus + comm-monoid-add +
  assumes ab-left-minus:  $- a + a = 0$ 
  assumes ab-diff-minus:  $a - b = a + (- b)$ 
begin

subclass group-add
  by unfold-locales (simp-all add: ab-left-minus ab-diff-minus)

subclass cancel-ab-semigroup-add
proof unfold-locales
  fix a b c :: 'a
  assume  $a + b = a + c$ 
  then have  $- a + a + b = - a + a + c$ 
  unfolding add-assoc by simp
  then show  $b = c$  by simp
qed

lemma uminus-add-conv-diff:
   $- a + b = b - a$ 
  by (simp add: diff-minus add-commute)

lemma minus-add-distrib [simp]:
   $-(a + b) = - a + - b$ 
  by (rule equals-zero-I) (simp add: add-ac)

lemma minus-diff-eq [simp]:
   $-(a - b) = b - a$ 
  by (simp add: diff-minus add-commute)

lemma add-diff-eq:  $a + (b - c) = (a + b) - c$ 
  by (simp add: diff-minus add-ac)

lemma diff-add-eq:  $(a - b) + c = (a + c) - b$ 
  by (simp add: diff-minus add-ac)

lemma diff-eq-eq:  $a - b = c \longleftrightarrow a = c + b$ 
  by (auto simp add: diff-minus add-assoc)

lemma eq-diff-eq:  $a = c - b \longleftrightarrow a + b = c$ 
  by (auto simp add: diff-minus add-assoc)

```

```

lemma diff-diff-eq:  $(a - b) - c = a - (b + c)$ 
  by (simp add: diff-minus add-ac)

lemma diff-diff-eq2:  $a - (b - c) = (a + c) - b$ 
  by (simp add: diff-minus add-ac)

lemma diff-add-cancel:  $a - b + b = a$ 
  by (simp add: diff-minus add-ac)

lemma add-diff-cancel:  $a + b - b = a$ 
  by (simp add: diff-minus add-ac)

lemmas compare-rls =
  diff-minus [symmetric]
  add-diff-eq diff-add-eq diff-diff-eq diff-diff-eq2
  diff-eq-eq eq-diff-eq

lemma eq-iff-diff-eq-0:  $a = b \longleftrightarrow a - b = 0$ 
  by (simp add: compare-rls)

end

```

### 12.3 (Partially) Ordered Groups

```

class pordered-ab-semigroup-add = order + ab-semigroup-add +
  assumes add-left-mono:  $a \leq b \implies c + a \leq c + b$ 
begin

lemma add-right-mono:
   $a \leq b \implies a + c \leq b + c$ 
  by (simp add: add-commute [of - c] add-left-mono)

  non-strict, in both arguments

lemma add-mono:
   $a \leq b \implies c \leq d \implies a + c \leq b + d$ 
  apply (erule add-right-mono [THEN order-trans])
  apply (simp add: add-commute add-left-mono)
  done

end

class pordered-cancel-ab-semigroup-add =
  pordered-ab-semigroup-add + cancel-ab-semigroup-add
begin

lemma add-strict-left-mono:
   $a < b \implies c + a < c + b$ 
  by (auto simp add: less-le add-left-mono)

```

```

lemma add-strict-right-mono:
   $a < b \implies a + c < b + c$ 
  by (simp add: add-commute [of - c] add-strict-left-mono)

```

Strict monotonicity in both arguments

```

lemma add-strict-mono:
   $a < b \implies c < d \implies a + c < b + d$ 
apply (erule add-strict-right-mono [THEN less-trans])
apply (erule add-strict-left-mono)
done

```

```

lemma add-less-le-mono:
   $a < b \implies c \leq d \implies a + c < b + d$ 
apply (erule add-strict-right-mono [THEN less-le-trans])
apply (erule add-left-mono)
done

```

```

lemma add-le-less-mono:
   $a \leq b \implies c < d \implies a + c < b + d$ 
apply (erule add-right-mono [THEN le-less-trans])
apply (erule add-strict-left-mono)
done

```

**end**

```

class pordered-ab-semigroup-add-imp-le =
  pordered-cancel-ab-semigroup-add +
  assumes add-le-imp-le-left:  $c + a \leq c + b \implies a \leq b$ 
begin

```

```

lemma add-less-imp-less-left:
  assumes less:  $c + a < c + b$ 
  shows  $a < b$ 
proof –
  from less have le:  $c + a \leq c + b$  by (simp add: order-le-less)
  have  $a \leq b$ 
  apply (insert le)
  apply (drule add-le-imp-le-left)
  by (insert le, drule add-le-imp-le-left, assumption)
  moreover have  $a \neq b$ 
  proof (rule ccontr)
    assume  $\sim(a \neq b)$ 
    then have  $a = b$  by simp
    then have  $c + a = c + b$  by simp
    with less show False by simp
  qed
  ultimately show  $a < b$  by (simp add: order-le-less)
qed

```

```

lemma add-less-imp-less-right:
   $a + c < b + c \implies a < b$ 
apply (rule add-less-imp-less-left [of c])
apply (simp add: add-commute)
done

lemma add-less-cancel-left [simp]:
   $c + a < c + b \iff a < b$ 
by (blast intro: add-less-imp-less-left add-strict-left-mono)

lemma add-less-cancel-right [simp]:
   $a + c < b + c \iff a < b$ 
by (blast intro: add-less-imp-less-right add-strict-right-mono)

lemma add-le-cancel-left [simp]:
   $c + a \leq c + b \iff a \leq b$ 
by (auto, drule add-le-imp-le-left, simp-all add: add-left-mono)

lemma add-le-cancel-right [simp]:
   $a + c \leq b + c \iff a \leq b$ 
by (simp add: add-commute [of a c] add-commute [of b c])

lemma add-le-imp-le-right:
   $a + c \leq b + c \implies a \leq b$ 
by simp

lemma max-add-distrib-left:
   $\max x y + z = \max (x + z) (y + z)$ 
unfolding max-def by auto

lemma min-add-distrib-left:
   $\min x y + z = \min (x + z) (y + z)$ 
unfolding min-def by auto

end

```

## 12.4 Support for reasoning about signs

```

class pordered-comm-monoid-add =
  pordered-cancel-ab-semigroup-add + comm-monoid-add
begin

lemma add-pos-nonneg:
  assumes  $0 < a$  and  $0 \leq b$ 
  shows  $0 < a + b$ 
proof –
  have  $0 + 0 < a + b$ 
  using assms by (rule add-less-le-mono)

```

then show *?thesis* by *simp*  
qed

lemma *add-pos-pos*:  
assumes  $0 < a$  and  $0 < b$   
shows  $0 < a + b$   
by (rule *add-pos-nonneg*) (insert *assms*, *auto*)

lemma *add-nonneg-pos*:  
assumes  $0 \leq a$  and  $0 < b$   
shows  $0 < a + b$   
proof –  
have  $0 + 0 < a + b$   
using *assms* by (rule *add-le-less-mono*)  
then show *?thesis* by *simp*  
qed

lemma *add-nonneg-nonneg*:  
assumes  $0 \leq a$  and  $0 \leq b$   
shows  $0 \leq a + b$   
proof –  
have  $0 + 0 \leq a + b$   
using *assms* by (rule *add-mono*)  
then show *?thesis* by *simp*  
qed

lemma *add-neg-nonpos*:  
assumes  $a < 0$  and  $b \leq 0$   
shows  $a + b < 0$   
proof –  
have  $a + b < 0 + 0$   
using *assms* by (rule *add-less-le-mono*)  
then show *?thesis* by *simp*  
qed

lemma *add-neg-neg*:  
assumes  $a < 0$  and  $b < 0$   
shows  $a + b < 0$   
by (rule *add-neg-nonpos*) (insert *assms*, *auto*)

lemma *add-nonpos-neg*:  
assumes  $a \leq 0$  and  $b < 0$   
shows  $a + b < 0$   
proof –  
have  $a + b < 0 + 0$   
using *assms* by (rule *add-le-less-mono*)  
then show *?thesis* by *simp*  
qed

```

lemma add-nonpos-nonpos:
  assumes  $a \leq 0$  and  $b \leq 0$ 
  shows  $a + b \leq 0$ 
proof –
  have  $a + b \leq 0 + 0$ 
    using assms by (rule add-mono)
  then show ?thesis by simp
qed

end

class pordered-ab-group-add =
  ab-group-add + pordered-ab-semigroup-add
begin

subclass pordered-cancel-ab-semigroup-add
  by intro-locales

subclass pordered-ab-semigroup-add-imp-le
proof unfold-locales
  fix  $a\ b\ c :: 'a$ 
  assume  $c + a \leq c + b$ 
  hence  $(-c) + (c + a) \leq (-c) + (c + b)$  by (rule add-left-mono)
  hence  $((-c) + c) + a \leq ((-c) + c) + b$  by (simp only: add-assoc)
  thus  $a \leq b$  by simp
qed

subclass pordered-comm-monoid-add
  by intro-locales

lemma max-diff-distrib-left:
  shows  $\max\ x\ y - z = \max\ (x - z)\ (y - z)$ 
  by (simp add: diff-minus, rule max-add-distrib-left)

lemma min-diff-distrib-left:
  shows  $\min\ x\ y - z = \min\ (x - z)\ (y - z)$ 
  by (simp add: diff-minus, rule min-add-distrib-left)

lemma le-imp-neg-le:
  assumes  $a \leq b$ 
  shows  $-b \leq -a$ 
proof –
  have  $-a + a \leq -a + b$ 
    using  $\langle a \leq b \rangle$  by (rule add-left-mono)
  hence  $0 \leq -a + b$ 
    by simp
  hence  $0 + (-b) \leq (-a + b) + (-b)$ 
    by (rule add-right-mono)
  thus ?thesis

```

by (*simp add: add-assoc*)  
qed

lemma *neg-le-iff-le* [*simp*]:  $- b \leq - a \longleftrightarrow a \leq b$   
proof

assume  $- b \leq - a$   
hence  $- (- a) \leq - (- b)$   
by (*rule le-imp-neg-le*)  
thus  $a \leq b$  by *simp*  
next  
assume  $a \leq b$   
thus  $-b \leq -a$  by (*rule le-imp-neg-le*)  
qed

lemma *neg-le-0-iff-le* [*simp*]:  $- a \leq 0 \longleftrightarrow 0 \leq a$   
by (*subst neg-le-iff-le [symmetric], simp*)

lemma *neg-0-le-iff-le* [*simp*]:  $0 \leq - a \longleftrightarrow a \leq 0$   
by (*subst neg-le-iff-le [symmetric], simp*)

lemma *neg-less-iff-less* [*simp*]:  $- b < - a \longleftrightarrow a < b$   
by (*force simp add: less-le*)

lemma *neg-less-0-iff-less* [*simp*]:  $- a < 0 \longleftrightarrow 0 < a$   
by (*subst neg-less-iff-less [symmetric], simp*)

lemma *neg-0-less-iff-less* [*simp*]:  $0 < - a \longleftrightarrow a < 0$   
by (*subst neg-less-iff-less [symmetric], simp*)

The next several equations can make the simplifier loop!

lemma *less-minus-iff*:  $a < - b \longleftrightarrow b < - a$   
proof –  
have  $(- (-a) < - b) = (b < - a)$  by (*rule neg-less-iff-less*)  
thus ?thesis by *simp*  
qed

lemma *minus-less-iff*:  $- a < b \longleftrightarrow - b < a$   
proof –  
have  $(- a < - (-b)) = (- b < a)$  by (*rule neg-less-iff-less*)  
thus ?thesis by *simp*  
qed

lemma *le-minus-iff*:  $a \leq - b \longleftrightarrow b \leq - a$   
proof –  
have *mm*:  $!! a (b::'a). (-(-a)) < -b \implies -(-b) < -a$  by (*simp only: minus-less-iff*)  
have  $(- (- a) \leq -b) = (b \leq - a)$   
apply (*auto simp only: le-less*)  
apply (*drule mm*)  
apply (*simp-all*)

```

    apply (drule mm[simplified], assumption)
  done
  then show ?thesis by simp
qed

```

```

lemma minus-le-iff:  $- a \leq b \iff - b \leq a$ 
  by (auto simp add: le-less minus-less-iff)

```

```

lemma less-iff-diff-less-0:  $a < b \iff a - b < 0$ 
proof -
  have  $(a < b) = (a + (- b) < b + (-b))$ 
  by (simp only: add-less-cancel-right)
  also have  $\dots = (a - b < 0)$  by (simp add: diff-minus)
  finally show ?thesis .
qed

```

```

lemma diff-less-eq:  $a - b < c \iff a < c + b$ 
apply (subst less-iff-diff-less-0 [of a])
apply (rule less-iff-diff-less-0 [of - c, THEN ssubst])
apply (simp add: diff-minus add-ac)
done

```

```

lemma less-diff-eq:  $a < c - b \iff a + b < c$ 
apply (subst less-iff-diff-less-0 [of plus a b])
apply (subst less-iff-diff-less-0 [of a])
apply (simp add: diff-minus add-ac)
done

```

```

lemma diff-le-eq:  $a - b \leq c \iff a \leq c + b$ 
  by (auto simp add: le-less diff-less-eq diff-add-cancel add-diff-cancel)

```

```

lemma le-diff-eq:  $a \leq c - b \iff a + b \leq c$ 
  by (auto simp add: le-less less-diff-eq diff-add-cancel add-diff-cancel)

```

```

lemmas compare-rls =
  diff-minus [symmetric]
  add-diff-eq diff-add-eq diff-diff-eq diff-diff-eq2
  diff-less-eq less-diff-eq diff-le-eq le-diff-eq
  diff-eq-eq eq-diff-eq

```

This list of rewrites simplifies (in)equalities by bringing subtractions to the top and then moving negative terms to the other side. Use with *add-ac*

```

lemmas (in -) compare-rls =
  diff-minus [symmetric]
  add-diff-eq diff-add-eq diff-diff-eq diff-diff-eq2
  diff-less-eq less-diff-eq diff-le-eq le-diff-eq
  diff-eq-eq eq-diff-eq

```

```

lemma le-iff-diff-le-0:  $a \leq b \iff a - b \leq 0$ 

```



```

by (simp add: compare-rls)

lemmas group-simps =
  add-ac
  add-diff-eq diff-add-eq diff-diff-eq diff-diff-eq2
  diff-eq-eq eq-diff-eq diff-minus [symmetric] uminus-add-conv-diff
  diff-less-eq less-diff-eq diff-le-eq le-diff-eq

end

lemmas group-simps =
  mult-ac
  add-ac
  add-diff-eq diff-add-eq diff-diff-eq diff-diff-eq2
  diff-eq-eq eq-diff-eq diff-minus [symmetric] uminus-add-conv-diff
  diff-less-eq less-diff-eq diff-le-eq le-diff-eq

class ordered-ab-semigroup-add =
  linorder + pordered-ab-semigroup-add

class ordered-cancel-ab-semigroup-add =
  linorder + pordered-cancel-ab-semigroup-add
begin

subclass ordered-ab-semigroup-add
  by intro-locales

subclass pordered-ab-semigroup-add-imp-le
proof unfold-locales
  fix a b c :: 'a
  assume le: c + a <= c + b
  show a <= b
  proof (rule ccontr)
    assume w: ~ a ≤ b
    hence b <= a by (simp add: linorder-not-le)
    hence le2: c + b <= c + a by (rule add-left-mono)
    have a = b
      apply (insert le)
      apply (insert le2)
      apply (drule antisym, simp-all)
    done
  with w show False
  by (simp add: linorder-not-le [symmetric])
qed
qed

end

class ordered-ab-group-add =

```

```

  linorder + pordered-ab-group-add
begin

subclass ordered-cancel-ab-semigroup-add
  by intro-locales

lemma neg-less-eq-nonneg:
   $- a \leq a \iff 0 \leq a$ 
proof
  assume A:  $- a \leq a$  show  $0 \leq a$ 
  proof (rule classical)
    assume  $\neg 0 \leq a$ 
    then have  $a < 0$  by auto
    with A have  $- a < 0$  by (rule le-less-trans)
    then show ?thesis by auto
  qed
next
  assume A:  $0 \leq a$  show  $- a \leq a$ 
  proof (rule order-trans)
    show  $- a \leq 0$  using A by (simp add: minus-le-iff)
  next
    show  $0 \leq a$  using A .
  qed
qed

lemma less-eq-neg-nonpos:
   $a \leq - a \iff a \leq 0$ 
proof
  assume A:  $a \leq - a$  show  $a \leq 0$ 
  proof (rule classical)
    assume  $\neg a \leq 0$ 
    then have  $0 < a$  by auto
    then have  $0 < - a$  using A by (rule less-le-trans)
    then show ?thesis by auto
  qed
next
  assume A:  $a \leq 0$  show  $a \leq - a$ 
  proof (rule order-trans)
    show  $0 \leq - a$  using A by (simp add: minus-le-iff)
  next
    show  $a \leq 0$  using A .
  qed
qed

lemma equal-neg-zero:
   $a = - a \iff a = 0$ 
proof
  assume  $a = 0$  then show  $a = - a$  by simp
next

```

```

assume A:  $a = - a$  show  $a = 0$ 
proof (cases  $0 \leq a$ )
  case True with A have  $0 \leq - a$  by auto
  with le-minus-iff have  $a \leq 0$  by simp
  with True show ?thesis by (auto intro: order-trans)
next
  case False then have B:  $a \leq 0$  by auto
  with A have  $- a \leq 0$  by auto
  with B show ?thesis by (auto intro: order-trans)
qed
qed

```

```

lemma neg-equal-zero:
   $- a = a \iff a = 0$ 
  unfolding equal-neg-zero [symmetric] by auto

```

**end**

— FIXME localize the following

```

lemma add-increasing:
  fixes c :: 'a::{pordered-ab-semigroup-add-imp-le, comm-monoid-add}
  shows  $[|0 \leq a; b \leq c|] \implies b \leq a + c$ 
by (insert add-mono [of 0 a b c], simp)

```

```

lemma add-increasing2:
  fixes c :: 'a::{pordered-ab-semigroup-add-imp-le, comm-monoid-add}
  shows  $[|0 \leq c; b \leq a|] \implies b \leq a + c$ 
by (simp add: add-increasing add-commute [of a])

```

```

lemma add-strict-increasing:
  fixes c :: 'a::{pordered-ab-semigroup-add-imp-le, comm-monoid-add}
  shows  $[|0 < a; b \leq c|] \implies b < a + c$ 
by (insert add-less-le-mono [of 0 a b c], simp)

```

```

lemma add-strict-increasing2:
  fixes c :: 'a::{pordered-ab-semigroup-add-imp-le, comm-monoid-add}
  shows  $[|0 \leq a; b < c|] \implies b < a + c$ 
by (insert add-le-less-mono [of 0 a b c], simp)

```

```

class pordered-ab-group-add-abs = pordered-ab-group-add + abs +
  assumes abs-ge-zero [simp]:  $|a| \geq 0$ 
  and abs-ge-self:  $a \leq |a|$ 
  and abs-leI:  $a \leq b \implies - a \leq b \implies |a| \leq b$ 
  and abs-minus-cancel [simp]:  $|-a| = |a|$ 
  and abs-triangle-ineq:  $|a + b| \leq |a| + |b|$ 
begin

```

**lemma** *abs-minus-le-zero*:  $-|a| \leq 0$   
**unfolding** *neg-le-0-iff-le* **by** *simp*

**lemma** *abs-of-nonneg* [*simp*]:  
**assumes** *nonneg*:  $0 \leq a$   
**shows**  $|a| = a$   
**proof** (*rule antisym*)  
**from** *nonneg* *le-imp-neg-le* **have**  $-a \leq 0$  **by** *simp*  
**from** *this* *nonneg* **have**  $-a \leq a$  **by** (*rule order-trans*)  
**then show**  $|a| \leq a$  **by** (*auto intro: abs-leI*)  
**qed** (*rule abs-ge-self*)

**lemma** *abs-idempotent* [*simp*]:  $||a|| = |a|$   
**by** (*rule antisym*)  
*(auto intro!: abs-ge-self abs-leI order-trans [of uminus (abs a) zero abs a])*

**lemma** *abs-eq-0* [*simp*]:  $|a| = 0 \longleftrightarrow a = 0$   
**proof** –  
**have**  $|a| = 0 \implies a = 0$   
**proof** (*rule antisym*)  
**assume** *zero*:  $|a| = 0$   
**with** *abs-ge-self* **show**  $a \leq 0$  **by** *auto*  
**from** *zero* **have**  $|-a| = 0$  **by** *simp*  
**with** *abs-ge-self* [*of uminus a*] **have**  $-a \leq 0$  **by** *auto*  
**with** *neg-le-0-iff-le* **show**  $0 \leq a$  **by** *auto*  
**qed**  
**then show** *?thesis* **by** *auto*  
**qed**

**lemma** *abs-zero* [*simp*]:  $|0| = 0$   
**by** *simp*

**lemma** *abs-0-eq* [*simp*, *noatp*]:  $0 = |a| \longleftrightarrow a = 0$   
**proof** –  
**have**  $0 = |a| \longleftrightarrow |a| = 0$  **by** (*simp only: eq-ac*)  
**thus** *?thesis* **by** *simp*  
**qed**

**lemma** *abs-le-zero-iff* [*simp*]:  $|a| \leq 0 \longleftrightarrow a = 0$   
**proof**  
**assume**  $|a| \leq 0$   
**then have**  $|a| = 0$  **by** (*rule antisym*) *simp*  
**thus**  $a = 0$  **by** *simp*  
**next**  
**assume**  $a = 0$   
**thus**  $|a| \leq 0$  **by** *simp*  
**qed**

**lemma** *zero-less-abs-iff* [*simp*]:  $0 < |a| \longleftrightarrow a \neq 0$

**by** (*simp add: less-le*)

**lemma** *abs-not-less-zero* [*simp*]:  $\neg |a| < 0$

**proof** –

**have**  $a: \bigwedge x y. x \leq y \implies \neg y < x$  **by** *auto*

**show** *?thesis* **by** (*simp add: a*)

**qed**

**lemma** *abs-ge-minus-self*:  $- a \leq |a|$

**proof** –

**have**  $- a \leq |-a|$  **by** (*rule abs-ge-self*)

**then show** *?thesis* **by** *simp*

**qed**

**lemma** *abs-minus-commute*:

$|a - b| = |b - a|$

**proof** –

**have**  $|a - b| = |- (a - b)|$  **by** (*simp only: abs-minus-cancel*)

**also have**  $\dots = |b - a|$  **by** *simp*

**finally show** *?thesis* .

**qed**

**lemma** *abs-of-pos*:  $0 < a \implies |a| = a$

**by** (*rule abs-of-nonneg, rule less-imp-le*)

**lemma** *abs-of-nonpos* [*simp*]:

**assumes**  $a \leq 0$

**shows**  $|a| = - a$

**proof** –

**let**  $?b = - a$

**have**  $- ?b \leq 0 \implies |- ?b| = - (- ?b)$

**unfolding** *abs-minus-cancel* [*of ?b*]

**unfolding** *neg-le-0-iff-le* [*of ?b*]

**unfolding** *minus-minus* **by** (*erule abs-of-nonneg*)

**then show** *?thesis* **using** *assms* **by** *auto*

**qed**

**lemma** *abs-of-neg*:  $a < 0 \implies |a| = - a$

**by** (*rule abs-of-nonpos, rule less-imp-le*)

**lemma** *abs-le-D1*:  $|a| \leq b \implies a \leq b$

**by** (*insert abs-ge-self, blast intro: order-trans*)

**lemma** *abs-le-D2*:  $|a| \leq b \implies - a \leq b$

**by** (*insert abs-le-D1 [of uminus a], simp*)

**lemma** *abs-le-iff*:  $|a| \leq b \iff a \leq b \wedge - a \leq b$

**by** (*blast intro: abs-leI dest: abs-le-D1 abs-le-D2*)

```

lemma abs-triangle-ineq2:  $|a| - |b| \leq |a - b|$ 
  apply (simp add: compare-rls)
  apply (subgoal-tac abs a = abs (plus (minus a b) b))
  apply (erule ssubst)
  apply (rule abs-triangle-ineq)
  apply (rule arg-cong) back
  apply (simp add: compare-rls)
done

```

```

lemma abs-triangle-ineq3:  $||a| - |b|| \leq |a - b|$ 
  apply (subst abs-le-iff)
  apply auto
  apply (rule abs-triangle-ineq2)
  apply (subst abs-minus-commute)
  apply (rule abs-triangle-ineq2)
done

```

```

lemma abs-triangle-ineq4:  $|a - b| \leq |a| + |b|$ 
proof -
  have  $\text{abs}(a - b) = \text{abs}(a + - b)$ 
    by (subst diff-minus, rule refl)
  also have  $\dots \leq \text{abs } a + \text{abs } (- b)$ 
    by (rule abs-triangle-ineq)
  finally show ?thesis
    by simp
qed

```

```

lemma abs-diff-triangle-ineq:  $|a + b - (c + d)| \leq |a - c| + |b - d|$ 
proof -
  have  $|a + b - (c + d)| = |(a - c) + (b - d)|$  by (simp add: diff-minus add-ac)
  also have  $\dots \leq |a - c| + |b - d|$  by (rule abs-triangle-ineq)
  finally show ?thesis .
qed

```

```

lemma abs-add-abs [simp]:
   $||a| + |b|| = |a| + |b|$  (is ?L = ?R)
proof (rule antisym)
  show  $?L \geq ?R$  by (rule abs-ge-self)
next
  have  $?L \leq ||a| + |b||$  by (rule abs-triangle-ineq)
  also have  $\dots = ?R$  by simp
  finally show  $?L \leq ?R$  .
qed

```

**end**

## 12.5 Lattice Ordered (Abelian) Groups

```

class lordered-ab-group-add-meet = pordered-ab-group-add + lower-semilattice

```

**begin**

**lemma** *add-inf-distrib-left*:

$$a + \inf b\ c = \inf (a + b)\ (a + c)$$

**apply** (*rule antisym*)

**apply** (*simp-all add: le-infI*)

**apply** (*rule add-le-imp-le-left [of uminus a]*)

**apply** (*simp only: add-assoc [symmetric], simp*)

**apply** *rule*

**apply** (*rule add-le-imp-le-left [of a], simp only: add-assoc [symmetric], simp*) +

**done**

**lemma** *add-inf-distrib-right*:

$$\inf a\ b + c = \inf (a + c)\ (b + c)$$

**proof** –

**have**  $c + \inf a\ b = \inf (c+a)\ (c+b)$  **by** (*simp add: add-inf-distrib-left*)

**thus** *?thesis* **by** (*simp add: add-commute*)

**qed**

**end**

**class** *lordered-ab-group-add-join* = *pordered-ab-group-add* + *upper-semilattice*

**begin**

**lemma** *add-sup-distrib-left*:

$$a + \sup b\ c = \sup (a + b)\ (a + c)$$

**apply** (*rule antisym*)

**apply** (*rule add-le-imp-le-left [of uminus a]*)

**apply** (*simp only: add-assoc [symmetric], simp*)

**apply** *rule*

**apply** (*rule add-le-imp-le-left [of a], simp only: add-assoc [symmetric], simp*) +

**apply** (*rule le-supI*)

**apply** (*simp-all*)

**done**

**lemma** *add-sup-distrib-right*:

$$\sup a\ b + c = \sup (a+c)\ (b+c)$$

**proof** –

**have**  $c + \sup a\ b = \sup (c+a)\ (c+b)$  **by** (*simp add: add-sup-distrib-left*)

**thus** *?thesis* **by** (*simp add: add-commute*)

**qed**

**end**

**class** *lordered-ab-group-add* = *pordered-ab-group-add* + *lattice*

**begin**

**subclass** *lordered-ab-group-add-meet* **by** *intro-locales*

**subclass** *lordered-ab-group-add-join* **by** *intro-locales*

**lemmas** *add-sup-inf-distrib* = *add-inf-distrib-right add-inf-distrib-left add-sup-distrib-right add-sup-distrib-left*

**lemma** *inf-eq-neg-sup*:  $\text{inf } a \ b = - \ \text{sup } (-a) \ (-b)$

**proof** (*rule inf-unique*)

**fix**  $a \ b :: 'a$

**show**  $-\ \text{sup } (-a) \ (-b) \leq a$

**by** (*rule add-le-imp-le-right [of - sup (uminus a) (uminus b)]*)  
(*simp, simp add: add-sup-distrib-left*)

**next**

**fix**  $a \ b :: 'a$

**show**  $-\ \text{sup } (-a) \ (-b) \leq b$

**by** (*rule add-le-imp-le-right [of - sup (uminus a) (uminus b)]*)  
(*simp, simp add: add-sup-distrib-left*)

**next**

**fix**  $a \ b \ c :: 'a$

**assume**  $a \leq b \ a \leq c$

**then show**  $a \leq - \ \text{sup } (-b) \ (-c)$  **by** (*subst neg-le-iff-le [symmetric]*)  
(*simp add: le-supI*)

**qed**

**lemma** *sup-eq-neg-inf*:  $\text{sup } a \ b = - \ \text{inf } (-a) \ (-b)$

**proof** (*rule sup-unique*)

**fix**  $a \ b :: 'a$

**show**  $a \leq - \ \text{inf } (-a) \ (-b)$

**by** (*rule add-le-imp-le-right [of - inf (uminus a) (uminus b)]*)  
(*simp, simp add: add-inf-distrib-left*)

**next**

**fix**  $a \ b :: 'a$

**show**  $b \leq - \ \text{inf } (-a) \ (-b)$

**by** (*rule add-le-imp-le-right [of - inf (uminus a) (uminus b)]*)  
(*simp, simp add: add-inf-distrib-left*)

**next**

**fix**  $a \ b \ c :: 'a$

**assume**  $a \leq c \ b \leq c$

**then show**  $-\ \text{inf } (-a) \ (-b) \leq c$  **by** (*subst neg-le-iff-le [symmetric]*)  
(*simp add: le-infI*)

**qed**

**lemma** *neg-inf-eq-sup*:  $-\ \text{inf } a \ b = \text{sup } (-a) \ (-b)$

**by** (*simp add: inf-eq-neg-sup*)

**lemma** *neg-sup-eq-inf*:  $-\ \text{sup } a \ b = \text{inf } (-a) \ (-b)$

**by** (*simp add: sup-eq-neg-inf*)

**lemma** *add-eq-inf-sup*:  $a + b = \text{sup } a \ b + \text{inf } a \ b$

**proof** –

**have**  $0 = - \ \text{inf } 0 \ (a-b) + \text{inf } (a-b) \ 0$  **by** (*simp add: inf-commute*)



```

hence  $0 = \sup 0 (b-a) + \inf (a-b) 0$  by (simp add: inf-eq-neg-sup)
hence  $0 = (-a + \sup a b) + (\inf a b + (-b))$ 
  apply (simp add: add-sup-distrib-left add-inf-distrib-right)
  by (simp add: diff-minus add-commute)
thus ?thesis
  apply (simp add: compare-rls)
  apply (subst add-left-cancel [symmetric, of plus a b plus (sup a b) (inf a b)
uminus a])
  apply (simp only: add-assoc, simp add: add-assoc[symmetric])
  done
qed

```

## 12.6 Positive Part, Negative Part, Absolute Value

### definition

```

nprt :: 'a  $\Rightarrow$  'a where
nprt x = inf x 0

```

### definition

```

pprt :: 'a  $\Rightarrow$  'a where
pprt x = sup x 0

```

**lemma** *pprt-neg*:  $\text{pprt } (-x) = - \text{nprt } x$

**proof** –

```

  have  $\sup (-x) 0 = \sup (-x) (-0)$  unfolding minus-zero ..
  also have  $\dots = - \inf x 0$  unfolding neg-inf-eq-sup ..
  finally have  $\sup (-x) 0 = - \inf x 0$  .
  then show ?thesis unfolding pprt-def nprt-def .

```

**qed**

**lemma** *nprt-neg*:  $\text{nprt } (-x) = - \text{pprt } x$

**proof** –

```

  from pprt-neg have  $\text{pprt } (-(-x)) = - \text{nprt } (-x)$  .
  then have  $\text{pprt } x = - \text{nprt } (-x)$  by simp
  then show ?thesis by simp

```

**qed**

**lemma** *prts*:  $a = \text{pprt } a + \text{nprt } a$

**by** (*simp add: pprt-def nprt-def add-eq-inf-sup[symmetric]*)

**lemma** *zero-le-pprt[simp]*:  $0 \leq \text{pprt } a$

**by** (*simp add: pprt-def*)

**lemma** *nprt-le-zero[simp]*:  $\text{nprt } a \leq 0$

**by** (*simp add: nprt-def*)

**lemma** *le-eq-neg*:  $a \leq -b \longleftrightarrow a + b \leq 0$  (**is** ?l = ?r)

**proof** –

**have**  $a: ?l \longrightarrow ?r$

```

    apply (auto)
    apply (rule add-le-imp-le-right[of - uminus b -])
    apply (simp add: add-assoc)
  done
  have b: ?r  $\longrightarrow$  ?l
    apply (auto)
    apply (rule add-le-imp-le-right[of - b -])
    apply (simp)
  done
  from a b show ?thesis by blast
qed

lemma ppert-0[simp]: ppert 0 = 0 by (simp add: ppert-def)
lemma npert-0[simp]: npert 0 = 0 by (simp add: npert-def)

lemma ppert-eq-id [simp, noatp]:  $0 \leq x \implies \text{ppert } x = x$ 
  by (simp add: ppert-def le-iff-sup sup-ACI)

lemma npert-eq-id [simp, noatp]:  $x \leq 0 \implies \text{npert } x = x$ 
  by (simp add: npert-def le-iff-inf inf-ACI)

lemma ppert-eq-0 [simp, noatp]:  $x \leq 0 \implies \text{ppert } x = 0$ 
  by (simp add: ppert-def le-iff-sup sup-ACI)

lemma npert-eq-0 [simp, noatp]:  $0 \leq x \implies \text{npert } x = 0$ 
  by (simp add: npert-def le-iff-inf inf-ACI)

lemma sup-0-imp-0:  $\text{sup } a \ (-a) = 0 \implies a = 0$ 
proof -
  {
    fix a::'a
    assume hyp:  $\text{sup } a \ (-a) = 0$ 
    hence  $\text{sup } a \ (-a) + a = a$  by (simp)
    hence  $\text{sup } (a+a) \ 0 = a$  by (simp add: add-sup-distrib-right)
    hence  $\text{sup } (a+a) \ 0 \leq a$  by (simp)
    hence  $0 \leq a$  by (blast intro: order-trans inf-sup-ord)
  }
  note p = this
  assume hyp:  $\text{sup } a \ (-a) = 0$ 
  hence hyp2:  $\text{sup } (-a) \ (-(-a)) = 0$  by (simp add: sup-commute)
  from p[OF hyp] p[OF hyp2] show  $a = 0$  by simp
qed

lemma inf-0-imp-0:  $\text{inf } a \ (-a) = 0 \implies a = 0$ 
  apply (simp add: inf-eq-neg-sup)
  apply (simp add: sup-commute)
  apply (erule sup-0-imp-0)
  done

```

**lemma** *inf-0-eq-0* [*simp*, *noatp*]:  $\inf a (-a) = 0 \longleftrightarrow a = 0$   
**by** (*rule*, *erule inf-0-imp-0*) *simp*

**lemma** *sup-0-eq-0* [*simp*, *noatp*]:  $\sup a (-a) = 0 \longleftrightarrow a = 0$   
**by** (*rule*, *erule sup-0-imp-0*) *simp*

**lemma** *zero-le-double-add-iff-zero-le-single-add* [*simp*]:  
 $0 \leq a + a \longleftrightarrow 0 \leq a$

**proof**

**assume**  $0 \leq a + a$

**hence**  $a : \inf (a+a) 0 = 0$  **by** (*simp add: le-iff-inf inf-commute*)

**have**  $(\inf a 0) + (\inf a 0) = \inf (\inf (a+a) 0) a$  (**is**  $?l=-$ )

**by** (*simp add: add-sup-inf-distrib inf-ACI*)

**hence**  $?l = 0 + \inf a 0$  **by** (*simp add: a, simp add: inf-commute*)

**hence**  $\inf a 0 = 0$  **by** (*simp only: add-right-cancel*)

**then show**  $0 \leq a$  **by** (*simp add: le-iff-inf inf-commute*)

**next**

**assume**  $a : 0 \leq a$

**show**  $0 \leq a + a$  **by** (*simp add: add-mono[OF a a, simplified]*)

**qed**

**lemma** *double-zero*:  $a + a = 0 \longleftrightarrow a = 0$

**proof**

**assume** *assm*:  $a + a = 0$

**then have**  $a + a + -a = -a$  **by** *simp*

**then have**  $a + (a + -a) = -a$  **by** (*simp only: add-assoc*)

**then have**  $a : -a = a$  **by** *simp*

**show**  $a = 0$  **apply** (*rule antisym*)

**apply** (*unfold neg-le-iff-le [symmetric, of a]*)

**unfolding** *a* **apply** *simp*

**unfolding** *zero-le-double-add-iff-zero-le-single-add* [*symmetric, of a*]

**unfolding** *assm* **unfolding** *le-less* **apply** *simp-all* **done**

**next**

**assume**  $a = 0$  **then show**  $a + a = 0$  **by** *simp*

**qed**

**lemma** *zero-less-double-add-iff-zero-less-single-add*:

$0 < a + a \longleftrightarrow 0 < a$

**proof** (*cases a = 0*)

**case** *True* **then show** *?thesis* **by** *auto*

**next**

**case** *False* **then show** *?thesis*

**unfolding** *less-le* **apply** *simp* **apply** *rule*

**apply** *clarify*

**apply** *rule*

**apply** *assumption*

**apply** (*rule notI*)

**unfolding** *double-zero* [*symmetric, of a*] **apply** *simp*

**done**

qed

**lemma** *double-add-le-zero-iff-single-add-le-zero* [simp]:

$$a + a \leq 0 \iff a \leq 0$$

**proof** –

**have**  $a + a \leq 0 \iff 0 \leq -(a + a)$  **by** (subst le-minus-iff, simp)

**moreover have**  $\dots \iff a \leq 0$  **by** (simp add: zero-le-double-add-iff-zero-le-single-add)

**ultimately show** ?thesis **by** blast

qed

**lemma** *double-add-less-zero-iff-single-less-zero* [simp]:

$$a + a < 0 \iff a < 0$$

**proof** –

**have**  $a + a < 0 \iff 0 < -(a + a)$  **by** (subst less-minus-iff, simp)

**moreover have**  $\dots \iff a < 0$  **by** (simp add: zero-less-double-add-iff-zero-less-single-add)

**ultimately show** ?thesis **by** blast

qed

**declare** *neg-inf-eq-sup* [simp] *neg-sup-eq-inf* [simp]

**lemma** *le-minus-self-iff*:  $a \leq -a \iff a \leq 0$

**proof** –

**from** *add-le-cancel-left* [of uminus a plus a a zero]

**have**  $(a \leq -a) = (a + a \leq 0)$

**by** (simp add: add-assoc[symmetric])

**thus** ?thesis **by** simp

qed

**lemma** *minus-le-self-iff*:  $-a \leq a \iff 0 \leq a$

**proof** –

**from** *add-le-cancel-left* [of uminus a zero plus a a]

**have**  $(-a \leq a) = (0 \leq a + a)$

**by** (simp add: add-assoc[symmetric])

**thus** ?thesis **by** simp

qed

**lemma** *zero-le-iff-zero-nprt*:  $0 \leq a \iff \text{nprt } a = 0$

**by** (simp add: le-iff-inf nprt-def inf-commute)

**lemma** *le-zero-iff-zero-pprt*:  $a \leq 0 \iff \text{pprt } a = 0$

**by** (simp add: le-iff-sup pprt-def sup-commute)

**lemma** *le-zero-iff-pprt-id*:  $0 \leq a \iff \text{pprt } a = a$

**by** (simp add: le-iff-sup pprt-def sup-commute)

**lemma** *zero-le-iff-nprt-id*:  $a \leq 0 \iff \text{nprt } a = a$

**by** (simp add: le-iff-inf nprt-def inf-commute)

**lemma** *pprt-mono* [simp, noatp]:  $a \leq b \implies \text{pprt } a \leq \text{pprt } b$

```

    by (simp add: le-iff-sup ppri-def sup-ACI sup-assoc [symmetric, of a])

lemma npri-mono [simp, noatp]:  $a \leq b \implies \text{npri } a \leq \text{npri } b$ 
  by (simp add: le-iff-inf npri-def inf-ACI inf-assoc [symmetric, of a])

end

lemmas add-sup-inf-distrib = add-inf-distrib-right add-inf-distrib-left add-sup-distrib-right
add-sup-distrib-left

class lordered-ab-group-add-abs = lordered-ab-group-add + abs +
  assumes abs-lattice:  $|a| = \sup a \ (-a)$ 
begin

lemma abs-pri:  $|a| = \text{ppri } a - \text{npri } a$ 
proof -
  have  $0 \leq |a|$ 
proof -
  have  $a: a \leq |a|$  and  $b: -a \leq |a|$  by (auto simp add: abs-lattice)
  show ?thesis by (rule add-mono [OF a b, simplified])
qed
then have  $0 \leq \sup a \ (-a)$  unfolding abs-lattice .
then have  $\sup (\sup a \ (-a)) \ 0 = \sup a \ (-a)$  by (rule sup-absorb1)
then show ?thesis
  by (simp add: add-sup-inf-distrib sup-ACI
    ppri-def npri-def diff-minus abs-lattice)
qed

subclass pordered-ab-group-add-abs
proof -
  have abs-ge-zero [simp]:  $\bigwedge a. 0 \leq |a|$ 
proof -
  fix a b
  have  $a: a \leq |a|$  and  $b: -a \leq |a|$  by (auto simp add: abs-lattice)
  show  $0 \leq |a|$  by (rule add-mono [OF a b, simplified])
qed
have abs-leI:  $\bigwedge a \ b. a \leq b \implies -a \leq b \implies |a| \leq b$ 
  by (simp add: abs-lattice le-supI)
show ?thesis
proof unfold-locales
  fix a
  show  $0 \leq |a|$  by simp
next
  fix a
  show  $a \leq |a|$ 
    by (auto simp add: abs-lattice)
next
  fix a

```

```

    show  $|-a| = |a|$ 
    by (simp add: abs-lattice sup-commute)
next
  fix a b
  show  $a \leq b \implies -a \leq b \implies |a| \leq b$  by (erule abs-leI)
next
  fix a b
  show  $|a + b| \leq |a| + |b|$ 
  proof -
    have  $g: \text{abs } a + \text{abs } b = \text{sup } (a+b) (\text{sup } (-a-b) (\text{sup } (-a+b) (a + (-b))))$ 
  (is  $\text{--sup } ?m ?n$ )
    by (simp add: abs-lattice add-sup-inf-distrib sup-ACI diff-minus)
    have  $a: a+b \leq \text{sup } ?m ?n$  by (simp)
    have  $b: -a-b \leq ?n$  by (simp)
    have  $c: ?n \leq \text{sup } ?m ?n$  by (simp)
    from b c have  $d: -a-b \leq \text{sup } ?m ?n$  by (rule order-trans)
    have  $e: -a-b = -(a+b)$  by (simp add: diff-minus)
    from a d e have  $\text{abs}(a+b) \leq \text{sup } ?m ?n$ 
    by (drule-tac abs-leI, auto)
    with g[symmetric] show ?thesis by simp
  qed
qed auto
qed

end

lemma sup-eq-if:
  fixes a :: 'a::{lordered-ab-group-add, linorder}
  shows  $\text{sup } a (-a) = (\text{if } a < 0 \text{ then } -a \text{ else } a)$ 
proof -
  note add-le-cancel-right [of a a - a, symmetric, simplified]
  moreover note add-le-cancel-right [of -a a a, symmetric, simplified]
  then show ?thesis by (auto simp: sup-max max-def)
qed

lemma abs-if-lattice:
  fixes a :: 'a::{lordered-ab-group-add-abs, linorder}
  shows  $|a| = (\text{if } a < 0 \text{ then } -a \text{ else } a)$ 
  by auto

Needed for abelian cancellation simprocs:

lemma add-cancel-21:  $((x::'a::\text{ab-group-add}) + (y + z) = y + u) = (x + z = u)$ 
apply (subst add-left-commute)
apply (subst add-left-cancel)
apply simp
done

lemma add-cancel-end:  $(x + (y + z) = y) = (x = - (z::'a::\text{ab-group-add}))$ 
apply (subst add-cancel-21 [of - - 0, simplified])

```

**apply** (*simp add: add-right-cancel[symmetric, of  $x - z$ , simplified]*)  
**done**

**lemma** *less-eqI*:  $(x::'a::\text{pordered-ab-group-add}) - y = x' - y' \implies (x < y) = (x' < y')$   
**by** (*simp add: less-iff-diff-less-0[of  $x$   $y$ ] less-iff-diff-less-0[of  $x'$   $y'$ ]*)

**lemma** *le-eqI*:  $(x::'a::\text{pordered-ab-group-add}) - y = x' - y' \implies (y \leq x) = (y' \leq x')$   
**apply** (*simp add: le-iff-diff-le-0[of  $y$   $x$ ] le-iff-diff-le-0[of  $y'$   $x'$ ]*)  
**apply** (*simp add: neg-le-iff-le[symmetric, of  $y-x$  0] neg-le-iff-le[symmetric, of  $y'-x'$  0]*)  
**done**

**lemma** *eq-eqI*:  $(x::'a::\text{ab-group-add}) - y = x' - y' \implies (x = y) = (x' = y')$   
**by** (*simp add: eq-iff-diff-eq-0[of  $x$   $y$ ] eq-iff-diff-eq-0[of  $x'$   $y'$ ]*)

**lemma** *diff-def*:  $(x::'a::\text{ab-group-add}) - y == x + (-y)$   
**by** (*simp add: diff-minus*)

**lemma** *add-minus-cancel*:  $(a::'a::\text{ab-group-add}) + (-a + b) = b$   
**by** (*simp add: add-assoc[symmetric]*)

**lemma** *le-add-right-mono*:  
**assumes**  
 $a \leq b + (c::'a::\text{pordered-ab-group-add})$   
 $c \leq d$   
**shows**  $a \leq b + d$   
**apply** (*rule-tac order-trans[where  $y = b+c$ ]*)  
**apply** (*simp-all add: prems*)  
**done**

**lemma** *estimate-by-abs*:  
 $a + b \leq (c::'a::\text{lordered-ab-group-add-abs}) \implies a \leq c + \text{abs } b$   
**proof** –  
**assume**  $a+b \leq c$   
**hence**  $2: a \leq c+(-b)$  **by** (*simp add: group-simps*)  
**have**  $3: (-b) \leq \text{abs } b$  **by** (*rule abs-ge-minus-self*)  
**show** ?thesis **by** (*rule le-add-right-mono[OF 2 3]*)  
**qed**

## 12.7 Tools setup

**lemma** *add-mono-thms-ordered-semiring* [*noatp*]:  
**fixes**  $i\ j\ k :: 'a::\text{pordered-ab-semigroup-add}$   
**shows**  $i \leq j \wedge k \leq l \implies i + k \leq j + l$   
**and**  $i = j \wedge k \leq l \implies i + k \leq j + l$   
**and**  $i \leq j \wedge k = l \implies i + k \leq j + l$   
**and**  $i = j \wedge k = l \implies i + k = j + l$

by (rule add-mono, clarify+)+

**lemma** add-mono-thms-ordered-field [noatp]:  
**fixes**  $i\ j\ k :: 'a::\text{pordered-cancel-ab-semigroup-add}$   
**shows**  $i < j \wedge k = l \implies i + k < j + l$   
**and**  $i = j \wedge k < l \implies i + k < j + l$   
**and**  $i < j \wedge k \leq l \implies i + k < j + l$   
**and**  $i \leq j \wedge k < l \implies i + k < j + l$   
**and**  $i < j \wedge k < l \implies i + k < j + l$   
**by** (auto intro: add-strict-right-mono add-strict-left-mono  
add-less-le-mono add-le-less-mono add-strict-mono)

Simplification of  $x - y < (0::'a)$ , etc.

**lemmas** diff-less-0-iff-less [simp] = less-iff-diff-less-0 [symmetric]  
**lemmas** diff-eq-0-iff-eq [simp, noatp] = eq-iff-diff-eq-0 [symmetric]  
**lemmas** diff-le-0-iff-le [simp] = le-iff-diff-le-0 [symmetric]

**ML** <<  
structure ab-group-add-cancel = Abel-Cancel(  
struct

(\* term order for abelian groups \*)

fun agrp-ord (Const (a, -)) = find-index (fn a' => a = a')  
 [@{const-name HOL.zero}, @{const-name HOL.plus},  
 @{const-name HOL.uminus}, @{const-name HOL.minus}]  
 | agrp-ord - = ~1;

fun termless-agrp (a, b) = (Term.term-lpo agrp-ord (a, b) = LESS);

local

val ac1 = mk-meta-eq @{thm add-associative};  
val ac2 = mk-meta-eq @{thm add-commute};  
val ac3 = mk-meta-eq @{thm add-left-commute};  
fun solve-add-ac thy - (- \$ (Const (@{const-name HOL.plus},-) \$ - \$ -) \$ -) =  
 SOME ac1  
 | solve-add-ac thy - (- \$ x \$ (Const (@{const-name HOL.plus},-) \$ y \$ z)) =  
 if termless-agrp (y, x) then SOME ac3 else NONE  
 | solve-add-ac thy - (- \$ x \$ y) =  
 if termless-agrp (y, x) then SOME ac2 else NONE  
 | solve-add-ac thy - - = NONE

in

val add-ac-proc = Simplifier.simproc @{theory}  
 add-ac-proc [x + y::'a::ab-semigroup-add] solve-add-ac;  
end;

val cancel-ss = HOL-basic-ss settermless termless-agrp  
 addsimprocs [add-ac-proc] addsimps  
 [@{thm add-0-left}, @{thm add-0-right}, @{thm diff-def},



```

@{thm minus-add-distrib}, @{thm minus-minus}, @{thm minus-zero},
@{thm right-minus}, @{thm left-minus}, @{thm add-minus-cancel},
@{thm minus-add-cancel}}];

val eq-reflection = @{thm eq-reflection};

val thy-ref = Theory.check-thy @{theory};

val T = @{typ 'a::ab-group-add};

val eqI-rules = [@{thm less-eqI}, @{thm le-eqI}, @{thm eq-eqI}];

val dest-eqI =
  fst o HOLogic.dest-bin op = HOLogic.boolT o HOLogic.dest-Trueprop o concl-of;

end);
>>

ML <<
  Addsimprocs [ab-group-add-cancel.sum-conv, ab-group-add-cancel.rel-conv];
>>

end

```

## 13 Ring-and-Field: (Ordered) Rings and Fields

```

theory Ring-and-Field
imports OrderedGroup
begin

```

The theory of partially ordered rings is taken from the books:

- *Lattice Theory* by Garret Birkhoff, American Mathematical Society 1979
- *Partially Ordered Algebraic Systems*, Pergamon Press 1963

Most of the used notions can also be looked up in

- <http://www.mathworld.com> by Eric Weisstein et. al.
- *Algebra I* by van der Waerden, Springer.

```

class semiring = ab-semigroup-add + semigroup-mult +
  assumes left-distrib:  $(a + b) * c = a * c + b * c$ 
  assumes right-distrib:  $a * (b + c) = a * b + a * c$ 
begin

```

For the *combine-numerals* simproc

```

lemma combine-common-factor:
   $a * e + (b * e + c) = (a + b) * e + c$ 
  by (simp add: left-distrib add-ac)

end

class mult-zero = times + zero +
  assumes mult-zero-left [simp]:  $0 * a = 0$ 
  assumes mult-zero-right [simp]:  $a * 0 = 0$ 

class semiring-0 = semiring + comm-monoid-add + mult-zero

class semiring-0-cancel = semiring + comm-monoid-add + cancel-ab-semigroup-add
begin

subclass semiring-0
proof unfold-locales
  fix  $a :: 'a$ 
  have  $0 * a + 0 * a = 0 * a + 0$ 
    by (simp add: left-distrib [symmetric])
  thus  $0 * a = 0$ 
    by (simp only: add-left-cancel)
next
  fix  $a :: 'a$ 
  have  $a * 0 + a * 0 = a * 0 + 0$ 
    by (simp add: right-distrib [symmetric])
  thus  $a * 0 = 0$ 
    by (simp only: add-left-cancel)
qed

end

class comm-semiring = ab-semigroup-add + ab-semigroup-mult +
  assumes distrib:  $(a + b) * c = a * c + b * c$ 
begin

subclass semiring
proof unfold-locales
  fix  $a b c :: 'a$ 
  show  $(a + b) * c = a * c + b * c$  by (simp add: distrib)
  have  $a * (b + c) = (b + c) * a$  by (simp add: mult-ac)
  also have  $\dots = b * a + c * a$  by (simp only: distrib)
  also have  $\dots = a * b + a * c$  by (simp add: mult-ac)
  finally show  $a * (b + c) = a * b + a * c$  by blast
qed

end

class comm-semiring-0 = comm-semiring + comm-monoid-add + mult-zero

```

```

begin

subclass semiring-0 by intro-locales

end

class comm-semiring-0-cancel = comm-semiring + comm-monoid-add + cancel-ab-semigroup-add
begin

subclass semiring-0-cancel by intro-locales

end

class zero-neg-one = zero + one +
  assumes zero-neg-one [simp]:  $0 \neq 1$ 
begin

lemma one-neg-zero [simp]:  $1 \neq 0$ 
  by (rule not-sym) (rule zero-neg-one)

end

class semiring-1 = zero-neg-one + semiring-0 + monoid-mult

class comm-semiring-1 = zero-neg-one + comm-semiring-0 + comm-monoid-mult

begin

subclass semiring-1 by intro-locales

end

class no-zero-divisors = zero + times +
  assumes no-zero-divisors:  $a \neq 0 \implies b \neq 0 \implies a * b \neq 0$ 

class semiring-1-cancel = semiring + comm-monoid-add + zero-neg-one
  + cancel-ab-semigroup-add + monoid-mult
begin

subclass semiring-0-cancel by intro-locales

subclass semiring-1 by intro-locales

end

class comm-semiring-1-cancel = comm-semiring + comm-monoid-add + comm-monoid-mult
  + zero-neg-one + cancel-ab-semigroup-add
begin

```

```

subclass semiring-1-cancel by intro-locales
subclass comm-semiring-0-cancel by intro-locales
subclass comm-semiring-1 by intro-locales

end

class ring = semiring + ab-group-add
begin

subclass semiring-0-cancel by intro-locales

Distribution rules

lemma minus-mult-left:  $-(a * b) = -a * b$ 
  by (rule equals-zero-I) (simp add: left-distrib [symmetric])

lemma minus-mult-right:  $-(a * b) = a * -b$ 
  by (rule equals-zero-I) (simp add: right-distrib [symmetric])

lemma minus-mult-minus [simp]:  $-a * -b = a * b$ 
  by (simp add: minus-mult-left [symmetric] minus-mult-right [symmetric])

lemma minus-mult-commute:  $-a * b = a * -b$ 
  by (simp add: minus-mult-left [symmetric] minus-mult-right [symmetric])

lemma right-diff-distrib:  $a * (b - c) = a * b - a * c$ 
  by (simp add: right-distrib diff-minus
    minus-mult-left [symmetric] minus-mult-right [symmetric])

lemma left-diff-distrib:  $(a - b) * c = a * c - b * c$ 
  by (simp add: left-distrib diff-minus
    minus-mult-left [symmetric] minus-mult-right [symmetric])

lemmas ring-distribs =
  right-distrib left-distrib left-diff-distrib right-diff-distrib

lemmas ring-simps =
  add-ac
  add-diff-eq diff-add-eq diff-diff-eq diff-diff-eq2
  diff-eq-eq eq-diff-eq diff-minus [symmetric] uminus-add-conv-diff
  ring-distribs

lemma eq-add-iff1:
   $a * e + c = b * e + d \longleftrightarrow (a - b) * e + c = d$ 
  by (simp add: ring-simps)

lemma eq-add-iff2:
   $a * e + c = b * e + d \longleftrightarrow c = (b - a) * e + d$ 
  by (simp add: ring-simps)

```

```

end

lemmas ring-distribs =
  right-distrib left-distrib left-diff-distrib right-diff-distrib

class comm-ring = comm-semiring + ab-group-add
begin

subclass ring by intro-locales
subclass comm-semiring-0 by intro-locales

end

class ring-1 = ring + zero-neq-one + monoid-mult
begin

subclass semiring-1-cancel by intro-locales

end

class comm-ring-1 = comm-ring + zero-neq-one + comm-monoid-mult

begin

subclass ring-1 by intro-locales
subclass comm-semiring-1-cancel by intro-locales

end

class ring-no-zero-divisors = ring + no-zero-divisors
begin

lemma mult-eq-0-iff [simp]:
  shows  $a * b = 0 \longleftrightarrow (a = 0 \vee b = 0)$ 
proof (cases  $a = 0 \vee b = 0$ )
  case False then have  $a \neq 0$  and  $b \neq 0$  by auto
  then show ?thesis using no-zero-divisors by simp
next
  case True then show ?thesis by auto
qed

Cancellation of equalities with a common factor

lemma mult-cancel-right [simp, noatp]:
   $a * c = b * c \longleftrightarrow c = 0 \vee a = b$ 
proof -
  have  $(a * c = b * c) = ((a - b) * c = 0)$ 
  by (simp add: ring-distribs right-minus-eq)
  thus ?thesis
  by (simp add: disj-commute right-minus-eq)

```

qed

**lemma** *mult-cancel-left* [*simp*, *noatp*]:

$$c * a = c * b \longleftrightarrow c = 0 \vee a = b$$

**proof** –

$$\text{have } (c * a = c * b) = (c * (a - b) = 0)$$

by (*simp add: ring-distrib right-minus-eq*)

thus ?thesis

by (*simp add: right-minus-eq*)

qed

end

**class** *ring-1-no-zero-divisors* = *ring-1* + *ring-no-zero-divisors*  
**begin**

**lemma** *mult-cancel-right1* [*simp*]:

$$c = b * c \longleftrightarrow c = 0 \vee b = 1$$

by (*insert mult-cancel-right [of 1 c b], force*)

**lemma** *mult-cancel-right2* [*simp*]:

$$a * c = c \longleftrightarrow c = 0 \vee a = 1$$

by (*insert mult-cancel-right [of a c 1], simp*)

**lemma** *mult-cancel-left1* [*simp*]:

$$c = c * b \longleftrightarrow c = 0 \vee b = 1$$

by (*insert mult-cancel-left [of c 1 b], force*)

**lemma** *mult-cancel-left2* [*simp*]:

$$c * a = c \longleftrightarrow c = 0 \vee a = 1$$

by (*insert mult-cancel-left [of c a 1], simp*)

end

**class** *idom* = *comm-ring-1* + *no-zero-divisors*  
**begin**

**subclass** *ring-1-no-zero-divisors* **by** *intro-locales*

end

**class** *division-ring* = *ring-1* + *inverse* +

**assumes** *left-inverse* [*simp*]:  $a \neq 0 \implies \text{inverse } a * a = 1$

**assumes** *right-inverse* [*simp*]:  $a \neq 0 \implies a * \text{inverse } a = 1$

**begin**

**subclass** *ring-1-no-zero-divisors*

**proof** *unfold-locales*

**fix**  $a\ b :: 'a$

```

assume  $a: a \neq 0$  and  $b: b \neq 0$ 
show  $a * b \neq 0$ 
proof
  assume  $ab: a * b = 0$ 
  hence  $0 = \text{inverse } a * (a * b) * \text{inverse } b$ 
  by simp
  also have  $\dots = (\text{inverse } a * a) * (b * \text{inverse } b)$ 
  by (simp only: mult-assoc)
  also have  $\dots = 1$ 
  using  $a\ b$  by simp
  finally show False
  by simp
qed
qed

```

```

lemma nonzero-imp-inverse-nonzero:
   $a \neq 0 \implies \text{inverse } a \neq 0$ 
proof
  assume ianz:  $\text{inverse } a = 0$ 
  assume  $a \neq 0$ 
  hence  $1 = a * \text{inverse } a$  by simp
  also have  $\dots = 0$  by (simp add: ianz)
  finally have  $1 = 0$  .
  thus False by (simp add: eq-commute)
qed

```

```

lemma inverse-zero-imp-zero:
   $\text{inverse } a = 0 \implies a = 0$ 
apply (rule classical)
apply (drule nonzero-imp-inverse-nonzero)
apply auto
done

```

```

lemma nonzero-inverse-minus-eq:
  assumes  $a \neq 0$ 
  shows  $\text{inverse } (-\ a) = -\ \text{inverse } a$ 
proof -
  have  $- \ a * \text{inverse } (-\ a) = - \ a * -\ \text{inverse } a$ 
  using assms by simp
  then show ?thesis unfolding mult-cancel-left using assms by simp
qed

```

```

lemma nonzero-inverse-inverse-eq:
  assumes  $a \neq 0$ 
  shows  $\text{inverse } (\text{inverse } a) = a$ 
proof -
  have  $(\text{inverse } (\text{inverse } a) * \text{inverse } a) * a = a$ 
  using assms by (simp add: nonzero-imp-inverse-nonzero)
  then show ?thesis using assms by (simp add: mult-assoc)

```

qed

**lemma** *nonzero-inverse-eq-imp-eq*:

assumes *inveq*:  $\text{inverse } a = \text{inverse } b$

and *anz*:  $a \neq 0$

and *bnz*:  $b \neq 0$

shows  $a = b$

**proof** –

have  $a * \text{inverse } b = a * \text{inverse } a$

by (*simp add: inveq*)

hence  $(a * \text{inverse } b) * b = (a * \text{inverse } a) * b$

by *simp*

then show  $a = b$

by (*simp add: mult-assoc anz bnz*)

qed

**lemma** *inverse-1* [*simp*]:  $\text{inverse } 1 = 1$

**proof** –

have  $\text{inverse } 1 * 1 = 1$

by (*rule left-inverse*) (*rule one-neq-zero*)

then show *?thesis* by *simp*

qed

**lemma** *inverse-unique*:

assumes *ab*:  $a * b = 1$

shows  $\text{inverse } a = b$

**proof** –

have  $a \neq 0$  using *ab* by (*cases a = 0*) *simp-all*

moreover have  $\text{inverse } a * (a * b) = \text{inverse } a$  by (*simp add: ab*)

ultimately show *?thesis* by (*simp add: mult-assoc [symmetric]*)

qed

**lemma** *nonzero-inverse-mult-distrib*:

assumes *anz*:  $a \neq 0$

and *bnz*:  $b \neq 0$

shows  $\text{inverse } (a * b) = \text{inverse } b * \text{inverse } a$

**proof** –

have  $\text{inverse } (a * b) * (a * b) * \text{inverse } b = \text{inverse } b$

by (*simp add: anz bnz*)

hence  $\text{inverse } (a * b) * a = \text{inverse } b$

by (*simp add: mult-assoc bnz*)

hence  $\text{inverse } (a * b) * a * \text{inverse } a = \text{inverse } b * \text{inverse } a$

by *simp*

thus *?thesis*

by (*simp add: mult-assoc anz*)

qed

**lemma** *division-ring-inverse-add*:

$a \neq 0 \implies b \neq 0 \implies \text{inverse } a + \text{inverse } b = \text{inverse } a * (a + b) * \text{inverse } b$



```

    by (simp add: ring-simps mult-assoc)

lemma division-ring-inverse-diff:
   $a \neq 0 \implies b \neq 0 \implies \text{inverse } a - \text{inverse } b = \text{inverse } a * (b - a) * \text{inverse } b$ 
  by (simp add: ring-simps mult-assoc)

end

class field = comm-ring-1 + inverse +
  assumes field-inverse:  $a \neq 0 \implies \text{inverse } a * a = 1$ 
  assumes divide-inverse:  $a / b = a * \text{inverse } b$ 
begin

subclass division-ring
proof unfold-locales
  fix a :: 'a
  assume a  $\neq 0$ 
  thus  $\text{inverse } a * a = 1$  by (rule field-inverse)
  thus  $a * \text{inverse } a = 1$  by (simp only: mult-commute)
qed

subclass idom by intro-locales

lemma right-inverse-eq:  $b \neq 0 \implies a / b = 1 \longleftrightarrow a = b$ 
proof
  assume neq:  $b \neq 0$ 
  {
    hence  $a = (a / b) * b$  by (simp add: divide-inverse mult-ac)
    also assume  $a / b = 1$ 
    finally show  $a = b$  by simp
  }
  next
    assume a = b
    with neq show  $a / b = 1$  by (simp add: divide-inverse)
  }
qed

lemma nonzero-inverse-eq-divide:  $a \neq 0 \implies \text{inverse } a = 1 / a$ 
  by (simp add: divide-inverse)

lemma divide-self [simp]:  $a \neq 0 \implies a / a = 1$ 
  by (simp add: divide-inverse)

lemma divide-zero-left [simp]:  $0 / a = 0$ 
  by (simp add: divide-inverse)

lemma inverse-eq-divide:  $\text{inverse } a = 1 / a$ 
  by (simp add: divide-inverse)

lemma add-divide-distrib:  $(a+b) / c = a/c + b/c$ 

```

```

    by (simp add: divide-inverse ring-distrib)

end

class division-by-zero = zero + inverse +
  assumes inverse-zero [simp]: inverse 0 = 0

lemma divide-zero [simp]:
  a / 0 = (0::'a::{field,division-by-zero})
  by (simp add: divide-inverse)

lemma divide-self-if [simp]:
  a / (a::'a::{field,division-by-zero}) = (if a=0 then 0 else 1)
  by (simp add: divide-self)

class mult-mono = times + zero + ord +
  assumes mult-left-mono: a ≤ b ⇒ 0 ≤ c ⇒ c * a ≤ c * b
  assumes mult-right-mono: a ≤ b ⇒ 0 ≤ c ⇒ a * c ≤ b * c

class pordered-semiring = mult-mono + semiring-0 + pordered-ab-semigroup-add

begin

lemma mult-mono:
  a ≤ b ⇒ c ≤ d ⇒ 0 ≤ b ⇒ 0 ≤ c
  ⇒ a * c ≤ b * d
apply (erule mult-right-mono [THEN order-trans], assumption)
apply (erule mult-left-mono, assumption)
done

lemma mult-mono':
  a ≤ b ⇒ c ≤ d ⇒ 0 ≤ a ⇒ 0 ≤ c
  ⇒ a * c ≤ b * d
apply (rule mult-mono)
apply (fast intro: order-trans)+
done

end

class pordered-cancel-semiring = mult-mono + pordered-ab-semigroup-add
  + semiring + comm-monoid-add + cancel-ab-semigroup-add
begin

subclass semiring-0-cancel by intro-locales
subclass pordered-semiring by intro-locales

lemma mult-nonneg-nonneg: 0 ≤ a ⇒ 0 ≤ b ⇒ 0 ≤ a * b
  by (drule mult-left-mono [of zero b], auto)

```

**lemma** *mult-nonneg-nonpos*:  $0 \leq a \implies b \leq 0 \implies a * b \leq 0$   
**by** (*drule mult-left-mono [of b zero]*, *auto*)

**lemma** *mult-nonneg-nonpos2*:  $0 \leq a \implies b \leq 0 \implies b * a \leq 0$   
**by** (*drule mult-right-mono [of b zero]*, *auto*)

**lemma** *split-mult-neg-le*:  $(0 \leq a \ \& \ b \leq 0) \mid (a \leq 0 \ \& \ 0 \leq b) \implies a * b \leq 0$   
**by** (*auto simp add: mult-nonneg-nonpos mult-nonneg-nonpos2*)

**end**

**class** *ordered-semiring* = *semiring* + *comm-monoid-add* + *ordered-cancel-ab-semigroup-add*  
+ *mult-mono*  
**begin**

**subclass** *pordered-cancel-semiring* **by** *intro-locales*

**subclass** *pordered-comm-monoid-add* **by** *intro-locales*

**lemma** *mult-left-less-imp-less*:  
 $c * a < c * b \implies 0 \leq c \implies a < b$   
**by** (*force simp add: mult-left-mono not-le [symmetric]*)

**lemma** *mult-right-less-imp-less*:  
 $a * c < b * c \implies 0 \leq c \implies a < b$   
**by** (*force simp add: mult-right-mono not-le [symmetric]*)

**end**

**class** *ordered-semiring-strict* = *semiring* + *comm-monoid-add* + *ordered-cancel-ab-semigroup-add*  
+  
**assumes** *mult-strict-left-mono*:  $a < b \implies 0 < c \implies c * a < c * b$   
**assumes** *mult-strict-right-mono*:  $a < b \implies 0 < c \implies a * c < b * c$   
**begin**

**subclass** *semiring-0-cancel* **by** *intro-locales*

**subclass** *ordered-semiring*  
**proof** *unfold-locales*  
**fix**  $a \ b \ c :: 'a$   
**assume**  $A: a \leq b \ 0 \leq c$   
**from**  $A$  **show**  $c * a \leq c * b$   
**unfolding** *le-less*  
**using** *mult-strict-left-mono* **by** (*cases c = 0*) *auto*  
**from**  $A$  **show**  $a * c \leq b * c$   
**unfolding** *le-less*  
**using** *mult-strict-right-mono* **by** (*cases c = 0*) *auto*  
**qed**

**lemma** *mult-left-le-imp-le*:

$$c * a \leq c * b \implies 0 < c \implies a \leq b$$

**by** (*force simp add: mult-strict-left-mono -not-less [symmetric]*)

**lemma** *mult-right-le-imp-le*:

$$a * c \leq b * c \implies 0 < c \implies a \leq b$$

**by** (*force simp add: mult-strict-right-mono not-less [symmetric]*)

**lemma** *mult-pos-pos*:

$$0 < a \implies 0 < b \implies 0 < a * b$$

**by** (*drule mult-strict-left-mono [of zero b], auto*)

**lemma** *mult-pos-neg*:

$$0 < a \implies b < 0 \implies a * b < 0$$

**by** (*drule mult-strict-left-mono [of b zero], auto*)

**lemma** *mult-pos-neg2*:

$$0 < a \implies b < 0 \implies b * a < 0$$

**by** (*drule mult-strict-right-mono [of b zero], auto*)

**lemma** *zero-less-mult-pos*:

$$0 < a * b \implies 0 < a \implies 0 < b$$

**apply** (*cases b≤0*)

**apply** (*auto simp add: le-less not-less*)

**apply** (*drule-tac mult-pos-neg [of a b]*)

**apply** (*auto dest: less-not-sym*)

**done**

**lemma** *zero-less-mult-pos2*:

$$0 < b * a \implies 0 < a \implies 0 < b$$

**apply** (*cases b≤0*)

**apply** (*auto simp add: le-less not-less*)

**apply** (*drule-tac mult-pos-neg2 [of a b]*)

**apply** (*auto dest: less-not-sym*)

**done**

Strict monotonicity in both arguments

**lemma** *mult-strict-mono*:

**assumes**  $a < b$  **and**  $c < d$  **and**  $0 < b$  **and**  $0 \leq c$

**shows**  $a * c < b * d$

**using** *assms* **apply** (*cases c=0*)

**apply** (*simp add: mult-pos-pos*)

**apply** (*erule mult-strict-right-mono [THEN less-trans]*)

**apply** (*force simp add: le-less*)

**apply** (*erule mult-strict-left-mono, assumption*)

**done**

This weaker variant has more natural premises

**lemma** *mult-strict-mono'*:

assumes  $a < b$  and  $c < d$  and  $0 \leq a$  and  $0 \leq c$   
 shows  $a * c < b * d$   
 by (rule mult-strict-mono) (insert assms, auto)

lemma mult-less-le-imp-less:

assumes  $a < b$  and  $c \leq d$  and  $0 \leq a$  and  $0 < c$   
 shows  $a * c < b * d$   
 using assms apply (subgoal-tac  $a * c < b * c$ )  
 apply (erule less-le-trans)  
 apply (erule mult-left-mono)  
 apply simp  
 apply (erule mult-strict-right-mono)  
 apply assumption  
 done

lemma mult-le-less-imp-less:

assumes  $a \leq b$  and  $c < d$  and  $0 < a$  and  $0 \leq c$   
 shows  $a * c < b * d$   
 using assms apply (subgoal-tac  $a * c \leq b * c$ )  
 apply (erule le-less-trans)  
 apply (erule mult-strict-left-mono)  
 apply simp  
 apply (erule mult-right-mono)  
 apply simp  
 done

lemma mult-less-imp-less-left:

assumes less:  $c * a < c * b$  and nonneg:  $0 \leq c$   
 shows  $a < b$   
 proof (rule ccontr)  
 assume  $\neg a < b$   
 hence  $b \leq a$  by (simp add: linorder-not-less)  
 hence  $c * b \leq c * a$  using nonneg by (rule mult-left-mono)  
 with this and less show False  
 by (simp add: not-less [symmetric])  
 qed

lemma mult-less-imp-less-right:

assumes less:  $a * c < b * c$  and nonneg:  $0 \leq c$   
 shows  $a < b$   
 proof (rule ccontr)  
 assume  $\neg a < b$   
 hence  $b \leq a$  by (simp add: linorder-not-less)  
 hence  $b * c \leq a * c$  using nonneg by (rule mult-right-mono)  
 with this and less show False  
 by (simp add: not-less [symmetric])  
 qed

end

```

class mult-mono1 = times + zero + ord +
  assumes mult-mono1:  $a \leq b \implies 0 \leq c \implies c * a \leq c * b$ 

class pordered-comm-semiring = comm-semiring-0
  + pordered-ab-semigroup-add + mult-mono1
begin

subclass pordered-semiring
proof unfold-locales
  fix a b c :: 'a
  assume  $a \leq b$   $0 \leq c$ 
  thus  $c * a \leq c * b$  by (rule mult-mono1)
  thus  $a * c \leq b * c$  by (simp only: mult-commute)
qed

end

class pordered-cancel-comm-semiring = comm-semiring-0-cancel
  + pordered-ab-semigroup-add + mult-mono1
begin

subclass pordered-comm-semiring by intro-locales
subclass pordered-cancel-semiring by intro-locales

end

class ordered-comm-semiring-strict = comm-semiring-0 + ordered-cancel-ab-semigroup-add
  +
  assumes mult-strict-left-mono-comm:  $a < b \implies 0 < c \implies c * a < c * b$ 
begin

subclass ordered-semiring-strict
proof unfold-locales
  fix a b c :: 'a
  assume  $a < b$   $0 < c$ 
  thus  $c * a < c * b$  by (rule mult-strict-left-mono-comm)
  thus  $a * c < b * c$  by (simp only: mult-commute)
qed

subclass pordered-cancel-comm-semiring
proof unfold-locales
  fix a b c :: 'a
  assume  $a \leq b$   $0 \leq c$ 
  thus  $c * a \leq c * b$ 
  unfolding le-less
  using mult-strict-left-mono by (cases c = 0) auto
qed

```

**end**

**class** *pordered-ring* = *ring* + *pordered-cancel-semiring*  
**begin**

**subclass** *pordered-ab-group-add* **by** *intro-locales*

**lemmas** *ring-simps* = *ring-simps* *group-simps*

**lemma** *less-add-iff1*:  
 $a * e + c < b * e + d \longleftrightarrow (a - b) * e + c < d$   
**by** (*simp add: ring-simps*)

**lemma** *less-add-iff2*:  
 $a * e + c < b * e + d \longleftrightarrow c < (b - a) * e + d$   
**by** (*simp add: ring-simps*)

**lemma** *le-add-iff1*:  
 $a * e + c \leq b * e + d \longleftrightarrow (a - b) * e + c \leq d$   
**by** (*simp add: ring-simps*)

**lemma** *le-add-iff2*:  
 $a * e + c \leq b * e + d \longleftrightarrow c \leq (b - a) * e + d$   
**by** (*simp add: ring-simps*)

**lemma** *mult-left-mono-neg*:  
 $b \leq a \implies c \leq 0 \implies c * a \leq c * b$   
**apply** (*drule mult-left-mono [of - - uminus c]*)  
**apply** (*simp-all add: minus-mult-left [symmetric]*)  
**done**

**lemma** *mult-right-mono-neg*:  
 $b \leq a \implies c \leq 0 \implies a * c \leq b * c$   
**apply** (*drule mult-right-mono [of - - uminus c]*)  
**apply** (*simp-all add: minus-mult-right [symmetric]*)  
**done**

**lemma** *mult-nonpos-nonpos*:  
 $a \leq 0 \implies b \leq 0 \implies 0 \leq a * b$   
**by** (*drule mult-right-mono-neg [of a zero b]*) *auto*

**lemma** *split-mult-pos-le*:  
 $(0 \leq a \wedge 0 \leq b) \vee (a \leq 0 \wedge b \leq 0) \implies 0 \leq a * b$   
**by** (*auto simp add: mult-nonneg-nonneg mult-nonpos-nonpos*)

**end**

**class** *abs-if* = *minus* + *uminus* + *ord* + *zero* + *abs* +  
**assumes** *abs-if*:  $|a| = (\text{if } a < 0 \text{ then } -a \text{ else } a)$

```

class sgn-if = minus + uminus + zero + one + ord + sgn +
  assumes sgn-if: sgn x = (if x = 0 then 0 else if 0 < x then 1 else - 1)

lemma (in sgn-if) sgn0[simp]: sgn 0 = 0
by(simp add:sgn-if)

class ordered-ring = ring + ordered-semiring
  + ordered-ab-group-add + abs-if
begin

subclass pordered-ring by intro-locales

subclass pordered-ab-group-add-abs
proof unfold-locales
  fix a b
  show  $|a + b| \leq |a| + |b|$ 
  by (auto simp add: abs-if not-less neg-less-eq-nonneg less-eq-neg-nonpos)
  (auto simp del: minus-add-distrib simp add: minus-add-distrib [symmetric]
    neg-less-eq-nonneg less-eq-neg-nonpos, auto intro: add-nonneg-nonneg,
    auto intro!: less-imp-le add-neg-neg)
qed (auto simp add: abs-if less-eq-neg-nonpos neg-equal-zero)

end

class ordered-ring-strict = ring + ordered-semiring-strict
  + ordered-ab-group-add + abs-if
begin

subclass ordered-ring by intro-locales

lemma mult-strict-left-mono-neg:
   $b < a \implies c < 0 \implies c * a < c * b$ 
  apply (drule mult-strict-left-mono [of - - uminus c])
  apply (simp-all add: minus-mult-left [symmetric])
  done

lemma mult-strict-right-mono-neg:
   $b < a \implies c < 0 \implies a * c < b * c$ 
  apply (drule mult-strict-right-mono [of - - uminus c])
  apply (simp-all add: minus-mult-right [symmetric])
  done

lemma mult-neg-neg:
   $a < 0 \implies b < 0 \implies 0 < a * b$ 
  by (drule mult-strict-right-mono-neg, auto)

subclass ring-no-zero-divisors

```



**proof** *unfold-locales*

```

fix a b
assume  $a \neq 0$  then have  $A: a < 0 \vee 0 < a$  by (simp add: neq-iff)
assume  $b \neq 0$  then have  $B: b < 0 \vee 0 < b$  by (simp add: neq-iff)
have  $a * b < 0 \vee 0 < a * b$ 
proof (cases a < 0)
  case True note  $A' = \text{this}$ 
  show ?thesis proof (cases b < 0)
    case True with  $A'$ 
      show ?thesis by (auto dest: mult-neg-neg)
    next
      case False with  $B$  have  $0 < b$  by auto
      with  $A'$  show ?thesis by (auto dest: mult-strict-right-mono)
  qed
next
  case False with  $A$  have  $A': 0 < a$  by auto
  show ?thesis proof (cases b < 0)
    case True with  $A'$ 
      show ?thesis by (auto dest: mult-strict-right-mono-neg)
    next
      case False with  $B$  have  $0 < b$  by auto
      with  $A'$  show ?thesis by (auto dest: mult-pos-pos)
  qed
qed
then show  $a * b \neq 0$  by (simp add: neq-iff)
qed

```

**lemma** *zero-less-mult-iff*:

```

 $0 < a * b \iff 0 < a \wedge 0 < b \vee a < 0 \wedge b < 0$ 
apply (auto simp add: mult-pos-pos mult-neg-neg)
apply (simp-all add: not-less le-less)
apply (erule disjE) apply assumption defer
apply (erule disjE) defer apply (drule sym) apply simp
apply (erule disjE) defer apply (drule sym) apply simp
apply (erule disjE) apply assumption apply (drule sym) apply simp
apply (drule sym) apply simp
apply (blast dest: zero-less-mult-pos)
apply (blast dest: zero-less-mult-pos2)
done

```

**lemma** *zero-le-mult-iff*:

```

 $0 \leq a * b \iff 0 \leq a \wedge 0 \leq b \vee a \leq 0 \wedge b \leq 0$ 
by (auto simp add: eq-commute [of 0] le-less not-less zero-less-mult-iff)

```

**lemma** *mult-less-0-iff*:

```

 $a * b < 0 \iff 0 < a \wedge b < 0 \vee a < 0 \wedge 0 < b$ 
apply (insert zero-less-mult-iff [of -a b])
apply (force simp add: minus-mult-left[symmetric])
done

```

**lemma** *mult-le-0-iff*:

$a * b \leq 0 \iff 0 \leq a \wedge b \leq 0 \vee a \leq 0 \wedge 0 \leq b$   
**apply** (*insert zero-le-mult-iff* [of  $-a \ b$ ])  
**apply** (*force simp add: minus-mult-left[symmetric]*)  
**done**

**lemma** *zero-le-square* [*simp*]:  $0 \leq a * a$   
**by** (*simp add: zero-le-mult-iff linear*)

**lemma** *not-square-less-zero* [*simp*]:  $\neg (a * a < 0)$   
**by** (*simp add: not-less*)

Cancellation laws for  $c * a < c * b$  and  $a * c < b * c$ , also with the relations  $\leq$  and equality.

These “disjunction” versions produce two cases when the comparison is an assumption, but effectively four when the comparison is a goal.

**lemma** *mult-less-cancel-right-disj*:

$a * c < b * c \iff 0 < c \wedge a < b \vee c < 0 \wedge b < a$   
**apply** (*cases c = 0*)  
**apply** (*auto simp add: neq-iff mult-strict-right-mono*  
*mult-strict-right-mono-neg*)  
**apply** (*auto simp add: not-less*  
*not-le [symmetric, of a\*c]*  
*not-le [symmetric, of a]*)  
**apply** (*erule-tac [!] notE*)  
**apply** (*auto simp add: less-imp-le mult-right-mono*  
*mult-right-mono-neg*)  
**done**

**lemma** *mult-less-cancel-left-disj*:

$c * a < c * b \iff 0 < c \wedge a < b \vee c < 0 \wedge b < a$   
**apply** (*cases c = 0*)  
**apply** (*auto simp add: neq-iff mult-strict-left-mono*  
*mult-strict-left-mono-neg*)  
**apply** (*auto simp add: not-less*  
*not-le [symmetric, of c\*a]*  
*not-le [symmetric, of a]*)  
**apply** (*erule-tac [!] notE*)  
**apply** (*auto simp add: less-imp-le mult-left-mono*  
*mult-left-mono-neg*)  
**done**

The “conjunction of implication” lemmas produce two cases when the comparison is a goal, but give four when the comparison is an assumption.

**lemma** *mult-less-cancel-right*:

$a * c < b * c \iff (0 \leq c \longrightarrow a < b) \wedge (c \leq 0 \longrightarrow b < a)$   
**using** *mult-less-cancel-right-disj* [of  $a \ c \ b$ ] **by** *auto*

**lemma** *mult-less-cancel-left*:

$c * a < c * b \iff (0 \leq c \longrightarrow a < b) \wedge (c \leq 0 \longrightarrow b < a)$   
**using** *mult-less-cancel-left-disj* [of *c a b*] **by** *auto*

**lemma** *mult-le-cancel-right*:

$a * c \leq b * c \iff (0 < c \longrightarrow a \leq b) \wedge (c < 0 \longrightarrow b \leq a)$   
**by** (*simp add: not-less [symmetric] mult-less-cancel-right-disj*)

**lemma** *mult-le-cancel-left*:

$c * a \leq c * b \iff (0 < c \longrightarrow a \leq b) \wedge (c < 0 \longrightarrow b \leq a)$   
**by** (*simp add: not-less [symmetric] mult-less-cancel-left-disj*)

**end**

This list of rewrites simplifies ring terms by multiplying everything out and bringing sums and products into a canonical form (by ordered rewriting). As a result it decides ring equalities but also helps with inequalities.

**lemmas** *ring-simps* = *group-simps* *ring-distrib*s

**class** *pordered-comm-ring* = *comm-ring* + *pordered-comm-semiring*  
**begin**

**subclass** *pordered-ring* **by** *intro-locales*

**subclass** *pordered-cancel-comm-semiring* **by** *intro-locales*

**end**

**class** *ordered-semidom* = *comm-semiring-1-cancel* + *ordered-comm-semiring-strict*  
 +

**assumes** *zero-less-one* [*simp*]:  $0 < 1$   
**begin**

**lemma** *pos-add-strict*:

**shows**  $0 < a \implies b < c \implies b < a + c$   
**using** *add-strict-mono* [of *zero a b c*] **by** *simp*

**lemma** *zero-le-one* [*simp*]:  $0 \leq 1$

**by** (*rule zero-less-one [THEN less-imp-le]*)

**lemma** *not-one-le-zero* [*simp*]:  $\neg 1 \leq 0$

**by** (*simp add: not-le*)

**lemma** *not-one-less-zero* [*simp*]:  $\neg 1 < 0$

**by** (*simp add: not-less*)

**lemma** *less-1-mult*:

```

assumes  $1 < m$  and  $1 < n$ 
shows  $1 < m * n$ 
using assms mult-strict-mono [of 1 m 1 n]
by (simp add: less-trans [OF zero-less-one])

end

class ordered-idom = comm-ring-1 +
  ordered-comm-semiring-strict + ordered-ab-group-add +
  abs-if + sgn-if

begin

subclass ordered-ring-strict by intro-locales
subclass pordered-comm-ring by intro-locales
subclass idom by intro-locales

subclass ordered-semidom
proof unfold-locales
  have  $0 \leq 1 * 1$  by (rule zero-le-square)
  thus  $0 < 1$  by (simp add: le-less)
qed

lemma linorder-neqE-ordered-idom:
  assumes  $x \neq y$  obtains  $x < y \mid y < x$ 
  using assms by (rule neqE)

These cancellation simprules also produce two cases when the comparison
is a goal.

lemma mult-le-cancel-right1:
   $c \leq b * c \iff (0 < c \longrightarrow 1 \leq b) \wedge (c < 0 \longrightarrow b \leq 1)$ 
  by (insert mult-le-cancel-right [of 1 c b], simp)

lemma mult-le-cancel-right2:
   $a * c \leq c \iff (0 < c \longrightarrow a \leq 1) \wedge (c < 0 \longrightarrow 1 \leq a)$ 
  by (insert mult-le-cancel-right [of a c 1], simp)

lemma mult-le-cancel-left1:
   $c \leq c * b \iff (0 < c \longrightarrow 1 \leq b) \wedge (c < 0 \longrightarrow b \leq 1)$ 
  by (insert mult-le-cancel-left [of c 1 b], simp)

lemma mult-le-cancel-left2:
   $c * a \leq c \iff (0 < c \longrightarrow a \leq 1) \wedge (c < 0 \longrightarrow 1 \leq a)$ 
  by (insert mult-le-cancel-left [of c a 1], simp)

lemma mult-less-cancel-right1:
   $c < b * c \iff (0 \leq c \longrightarrow 1 < b) \wedge (c \leq 0 \longrightarrow b < 1)$ 
  by (insert mult-less-cancel-right [of 1 c b], simp)

```

**lemma** *mult-less-cancel-right2*:

$$a * c < c \iff (0 \leq c \implies a < 1) \wedge (c \leq 0 \implies 1 < a)$$

**by** (*insert mult-less-cancel-right [of a c 1], simp*)

**lemma** *mult-less-cancel-left1*:

$$c < c * b \iff (0 \leq c \implies 1 < b) \wedge (c \leq 0 \implies b < 1)$$

**by** (*insert mult-less-cancel-left [of c 1 b], simp*)

**lemma** *mult-less-cancel-left2*:

$$c * a < c \iff (0 \leq c \implies a < 1) \wedge (c \leq 0 \implies 1 < a)$$

**by** (*insert mult-less-cancel-left [of c a 1], simp*)

**end**

**class** *ordered-field* = *field* + *ordered-idom*

Simprules for comparisons where common factors can be cancelled.

**lemmas** *mult-compare-simps* =

*mult-le-cancel-right mult-le-cancel-left*  
*mult-le-cancel-right1 mult-le-cancel-right2*  
*mult-le-cancel-left1 mult-le-cancel-left2*  
*mult-less-cancel-right mult-less-cancel-left*  
*mult-less-cancel-right1 mult-less-cancel-right2*  
*mult-less-cancel-left1 mult-less-cancel-left2*  
*mult-cancel-right mult-cancel-left*  
*mult-cancel-right1 mult-cancel-right2*  
*mult-cancel-left1 mult-cancel-left2*

— FIXME continue localization here

**lemma** *inverse-nonzero-iff-nonzero* [*simp*]:

$$(\text{inverse } a = 0) = (a = (0 :: 'a :: \{\text{division-ring, division-by-zero}\}))$$

**by** (*force dest: inverse-zero-imp-zero*)

**lemma** *inverse-minus-eq* [*simp*]:

$$\text{inverse}(-a) = -\text{inverse}(a :: 'a :: \{\text{division-ring, division-by-zero}\})$$

**proof** *cases*

**assume** *a=0* **thus** *?thesis* **by** (*simp add: inverse-zero*)

**next**

**assume** *a≠0*

**thus** *?thesis* **by** (*simp add: nonzero-inverse-minus-eq*)

**qed**

**lemma** *inverse-eq-imp-eq*:

$$\text{inverse } a = \text{inverse } b \implies a = (b :: 'a :: \{\text{division-ring, division-by-zero}\})$$

**apply** (*cases a=0 | b=0*)

**apply** (*force dest!: inverse-zero-imp-zero*

*simp add: eq-commute [of 0::'a]*)

**apply** (*force dest!: nonzero-inverse-eq-imp-eq*)

done

**lemma** *inverse-eq-iff-eq* [simp]:

$(\text{inverse } a = \text{inverse } b) = (a = (b::'a::\{\text{division-ring}, \text{division-by-zero}\}))$   
**by** (force dest!: *inverse-eq-imp-eq*)

**lemma** *inverse-inverse-eq* [simp]:

$\text{inverse}(\text{inverse } (a::'a::\{\text{division-ring}, \text{division-by-zero}\})) = a$

**proof** cases

**assume**  $a=0$  **thus** ?thesis **by** simp

**next**

**assume**  $a \neq 0$

**thus** ?thesis **by** (simp add: nonzero-inverse-inverse-eq)

**qed**

This version builds in division by zero while also re-orienting the right-hand side.

**lemma** *inverse-mult-distrib* [simp]:

$\text{inverse}(a*b) = \text{inverse}(a) * \text{inverse}(b::'a::\{\text{field}, \text{division-by-zero}\})$

**proof** cases

**assume**  $a \neq 0 \ \& \ b \neq 0$

**thus** ?thesis

**by** (simp add: nonzero-inverse-mult-distrib mult-commute)

**next**

**assume**  $\sim (a \neq 0 \ \& \ b \neq 0)$

**thus** ?thesis

**by** force

**qed**

There is no slick version using division by zero.

**lemma** *inverse-add*:

$[[a \neq 0; \ b \neq 0]]$

$\implies \text{inverse } a + \text{inverse } b = (a+b) * \text{inverse } a * \text{inverse } (b::'a::\text{field})$

**by** (simp add: division-ring-inverse-add mult-ac)

**lemma** *inverse-divide* [simp]:

$\text{inverse } (a/b) = b / (a::'a::\{\text{field}, \text{division-by-zero}\})$

**by** (simp add: divide-inverse mult-commute)

### 13.1 Calculations with fractions

There is a whole bunch of simp-rules just for class *field* but none for class *field* and *nonzero-divides* because the latter are covered by a simproc.

**lemma** *nonzero-mult-divide-mult-cancel-left*[simp,noatp]:

**assumes** [simp]:  $b \neq 0$  **and** [simp]:  $c \neq 0$  **shows**  $(c*a)/(c*b) = a/(b::'a::\text{field})$

**proof** –

**have**  $(c*a)/(c*b) = c * a * (\text{inverse } b * \text{inverse } c)$

**by** (simp add: divide-inverse nonzero-inverse-mult-distrib)

```

also have ... = a * inverse b * (inverse c * c)
  by (simp only: mult-ac)
also have ... = a * inverse b
  by simp
finally show ?thesis
  by (simp add: divide-inverse)
qed

```

```

lemma mult-divide-mult-cancel-left:
  c ≠ 0 ==> (c*a) / (c*b) = a / (b::'a::{field,division-by-zero})
apply (cases b = 0)
apply (simp-all add: nonzero-mult-divide-mult-cancel-left)
done

```

```

lemma nonzero-mult-divide-mult-cancel-right [noatp]:
  [| b ≠ 0; c ≠ 0 |] ==> (a*c) / (b*c) = a / (b::'a::{field})
by (simp add: mult-commute [of - c] nonzero-mult-divide-mult-cancel-left)

```

```

lemma mult-divide-mult-cancel-right:
  c ≠ 0 ==> (a*c) / (b*c) = a / (b::'a::{field,division-by-zero})
apply (cases b = 0)
apply (simp-all add: nonzero-mult-divide-mult-cancel-right)
done

```

```

lemma divide-1 [simp]: a / 1 = (a::'a::{field})
by (simp add: divide-inverse)

```

```

lemma times-divide-eq-right: a * (b/c) = (a*b) / (c::'a::{field})
by (simp add: divide-inverse mult-assoc)

```

```

lemma times-divide-eq-left: (b/c) * a = (b*a) / (c::'a::{field})
by (simp add: divide-inverse mult-ac)

```

```

lemmas times-divide-eq = times-divide-eq-right times-divide-eq-left

```

```

lemma divide-divide-eq-right [simp,noatp]:
  a / (b/c) = (a*c) / (b::'a::{field,division-by-zero})
by (simp add: divide-inverse mult-ac)

```

```

lemma divide-divide-eq-left [simp,noatp]:
  (a / b) / (c::'a::{field,division-by-zero}) = a / (b*c)
by (simp add: divide-inverse mult-assoc)

```

```

lemma add-frac-eq: (y::'a::{field}) ~ 0 ==> z ~ 0 ==>
  x / y + w / z = (x * z + w * y) / (y * z)
apply (subgoal-tac x / y = (x * z) / (y * z))
apply (erule ssubst)
apply (subgoal-tac w / z = (w * y) / (y * z))
apply (erule ssubst)

```

```

apply (rule add-divide-distrib [THEN sym])
apply (subst mult-commute)
apply (erule nonzero-mult-divide-mult-cancel-left [THEN sym])
apply assumption
apply (erule nonzero-mult-divide-mult-cancel-right [THEN sym])
apply assumption
done

```

### 13.1.1 Special Cancellation Simprules for Division

```

lemma mult-divide-mult-cancel-left-if[simp,noatp]:
fixes c :: 'a :: {field,division-by-zero}
shows (c*a) / (c*b) = (if c=0 then 0 else a/b)
by (simp add: mult-divide-mult-cancel-left)

```

```

lemma nonzero-mult-divide-cancel-right[simp,noatp]:
  b ≠ 0 ⇒ a * b / b = (a::'a::field)
using nonzero-mult-divide-mult-cancel-right[of 1 b a] by simp

```

```

lemma nonzero-mult-divide-cancel-left[simp,noatp]:
  a ≠ 0 ⇒ a * b / a = (b::'a::field)
using nonzero-mult-divide-mult-cancel-left[of 1 a b] by simp

```

```

lemma nonzero-divide-mult-cancel-right[simp,noatp]:
  [ a≠0; b≠0 ] ⇒ b / (a * b) = 1/(a::'a::field)
using nonzero-mult-divide-mult-cancel-right[of a b 1] by simp

```

```

lemma nonzero-divide-mult-cancel-left[simp,noatp]:
  [ a≠0; b≠0 ] ⇒ a / (a * b) = 1/(b::'a::field)
using nonzero-mult-divide-mult-cancel-left[of b a 1] by simp

```

```

lemma nonzero-mult-divide-mult-cancel-left2[simp,noatp]:
  [ b≠0; c≠0 ] ==> (c*a) / (b*c) = a/(b::'a::field)
using nonzero-mult-divide-mult-cancel-left[of b c a] by (simp add:mult-ac)

```

```

lemma nonzero-mult-divide-mult-cancel-right2[simp,noatp]:
  [ b≠0; c≠0 ] ==> (a*c) / (c*b) = a/(b::'a::field)
using nonzero-mult-divide-mult-cancel-right[of b c a] by (simp add:mult-ac)

```

## 13.2 Division and Unary Minus

```

lemma nonzero-minus-divide-left: b ≠ 0 ==> - (a/b) = (-a) / (b::'a::field)
by (simp add: divide-inverse minus-mult-left)

```

```

lemma nonzero-minus-divide-right: b ≠ 0 ==> - (a/b) = a / -(b::'a::field)
by (simp add: divide-inverse nonzero-inverse-minus-eq minus-mult-right)

```

```

lemma nonzero-minus-divide-divide: b ≠ 0 ==> (-a)/(-b) = a / (b::'a::field)

```



**by** (*simp add: divide-inverse nonzero-inverse-minus-eq*)

**lemma** *minus-divide-left*:  $-(a/b) = (-a) / (b::'a::field)$   
**by** (*simp add: divide-inverse minus-mult-left [symmetric]*)

**lemma** *minus-divide-right*:  $-(a/b) = a / -(b::'a::{field,division-by-zero})$   
**by** (*simp add: divide-inverse minus-mult-right [symmetric]*)

The effect is to extract signs from divisions

**lemmas** *divide-minus-left* = *minus-divide-left* [*symmetric*]  
**lemmas** *divide-minus-right* = *minus-divide-right* [*symmetric*]  
**declare** *divide-minus-left* [*simp*] *divide-minus-right* [*simp*]

Also, extract signs from products

**lemmas** *mult-minus-left* = *minus-mult-left* [*symmetric*]  
**lemmas** *mult-minus-right* = *minus-mult-right* [*symmetric*]  
**declare** *mult-minus-left* [*simp*] *mult-minus-right* [*simp*]

**lemma** *minus-divide-divide* [*simp*]:  
 $(-a)/(-b) = a / (b::'a::{field,division-by-zero})$   
**apply** (*cases b=0, simp*)  
**apply** (*simp add: nonzero-minus-divide-divide*)  
**done**

**lemma** *diff-divide-distrib*:  $(a-b)/(c::'a::field) = a/c - b/c$   
**by** (*simp add: diff-minus add-divide-distrib*)

**lemma** *add-divide-eq-iff*:  
 $(z::'a::field) \neq 0 \implies x + y/z = (z*x + y)/z$   
**by**(*simp add:add-divide-distrib nonzero-mult-divide-cancel-left*)

**lemma** *divide-add-eq-iff*:  
 $(z::'a::field) \neq 0 \implies x/z + y = (x + z*y)/z$   
**by**(*simp add:add-divide-distrib nonzero-mult-divide-cancel-left*)

**lemma** *diff-divide-eq-iff*:  
 $(z::'a::field) \neq 0 \implies x - y/z = (z*x - y)/z$   
**by**(*simp add:diff-divide-distrib nonzero-mult-divide-cancel-left*)

**lemma** *divide-diff-eq-iff*:  
 $(z::'a::field) \neq 0 \implies x/z - y = (x - z*y)/z$   
**by**(*simp add:diff-divide-distrib nonzero-mult-divide-cancel-left*)

**lemma** *nonzero-eq-divide-eq*:  $c \neq 0 \implies ((a::'a::field) = b/c) = (a*c = b)$   
**proof** –  
**assume** [*simp*]:  $c \neq 0$   
**have**  $(a = b/c) = (a*c = (b/c)*c)$  **by** *simp*  
**also have**  $\dots = (a*c = b)$  **by** (*simp add: divide-inverse mult-assoc*)  
**finally show** ?thesis .

qed

**lemma** *nonzero-divide-eq-eq*:  $c \neq 0 \implies (b/c = (a::'a::\text{field})) = (b = a*c)$

**proof** –

**assume** [simp]:  $c \neq 0$

**have**  $(b/c = a) = ((b/c)*c = a*c)$  **by** *simp*

**also have**  $\dots = (b = a*c)$  **by** (*simp add: divide-inverse mult-assoc*)

**finally show** *?thesis* .

qed

**lemma** *eq-divide-eq*:

$((a::'a::\{\text{field}, \text{division-by-zero}\}) = b/c) = (\text{if } c \neq 0 \text{ then } a*c = b \text{ else } a=0)$

**by** (*simp add: nonzero-eq-divide-eq*)

**lemma** *divide-eq-eq*:

$(b/c = (a::'a::\{\text{field}, \text{division-by-zero}\})) = (\text{if } c \neq 0 \text{ then } b = a*c \text{ else } a=0)$

**by** (*force simp add: nonzero-divide-eq-eq*)

**lemma** *divide-eq-imp*:  $(c::'a::\{\text{division-by-zero}, \text{field}\}) \sim 0 \implies$

$b = a * c \implies b / c = a$

**by** (*subst divide-eq-eq, simp*)

**lemma** *eq-divide-imp*:  $(c::'a::\{\text{division-by-zero}, \text{field}\}) \sim 0 \implies$

$a * c = b \implies a = b / c$

**by** (*subst eq-divide-eq, simp*)

**lemmas** *field-eq-simps = ring-simps*

*add-divide-eq-iff divide-add-eq-iff*

*diff-divide-eq-iff divide-diff-eq-iff*

*nonzero-eq-divide-eq nonzero-divide-eq-eq*

An example:

**lemma** *fixes*  $a\ b\ c\ d\ e\ f :: 'a::\text{field}$

**shows**  $\llbracket a \neq b; c \neq d; e \neq f \rrbracket \implies ((a-b)*(c-d)*(e-f))/((c-d)*(e-f)*(a-b)) = 1$

**apply**(*subgoal-tac*  $(c-d)*(e-f)*(a-b) \neq 0$ )

**apply**(*simp add: field-eq-simps*)

**apply**(*simp*)

**done**

**lemma** *diff-frac-eq*:  $(y::'a::\text{field}) \sim 0 \implies z \sim 0 \implies$

$x / y - w / z = (x * z - w * y) / (y * z)$

**by** (*simp add: field-eq-simps times-divide-eq*)

**lemma** *frac-eq-eq*:  $(y::'a::\text{field}) \sim 0 \implies z \sim 0 \implies$

$(x / y = w / z) = (x * z = w * y)$

by (simp add: field-eq-simps times-divide-eq)

### 13.3 Ordered Fields

**lemma** *positive-imp-inverse-positive*:

**assumes** *a-gt-0*:  $0 < a$  **shows**  $0 < \text{inverse } (a::'a::\text{ordered-field})$

**proof** –

**have**  $0 < a * \text{inverse } a$

**by** (simp add: a-gt-0 [THEN order-less-imp-not-eq2] zero-less-one)

**thus**  $0 < \text{inverse } a$

**by** (simp add: a-gt-0 [THEN order-less-not-sym] zero-less-mult-iff)

**qed**

**lemma** *negative-imp-inverse-negative*:

$a < 0 \implies \text{inverse } a < (0::'a::\text{ordered-field})$

**by** (insert positive-imp-inverse-positive [of  $-a$ ],

  simp add: nonzero-inverse-minus-eq order-less-imp-not-eq)

**lemma** *inverse-le-imp-le*:

**assumes** *invle*:  $\text{inverse } a \leq \text{inverse } b$  **and** *apos*:  $0 < a$

**shows**  $b \leq (a::'a::\text{ordered-field})$

**proof** (rule classical)

**assume**  $\sim b \leq a$

**hence**  $a < b$  **by** (simp add: linorder-not-le)

**hence** *bpos*:  $0 < b$  **by** (blast intro: apos order-less-trans)

**hence**  $a * \text{inverse } a \leq a * \text{inverse } b$

**by** (simp add: apos invle order-less-imp-le mult-left-mono)

**hence**  $(a * \text{inverse } a) * b \leq (a * \text{inverse } b) * b$

**by** (simp add: bpos order-less-imp-le mult-right-mono)

**thus**  $b \leq a$  **by** (simp add: mult-assoc apos bpos order-less-imp-not-eq2)

**qed**

**lemma** *inverse-positive-imp-positive*:

**assumes** *inv-gt-0*:  $0 < \text{inverse } a$  **and** *nz*:  $a \neq 0$

**shows**  $0 < (a::'a::\text{ordered-field})$

**proof** –

**have**  $0 < \text{inverse } (\text{inverse } a)$

**using** *inv-gt-0* **by** (rule positive-imp-inverse-positive)

**thus**  $0 < a$

**using** *nz* **by** (simp add: nonzero-inverse-inverse-eq)

**qed**

**lemma** *inverse-positive-iff-positive* [simp]:

$(0 < \text{inverse } a) = (0 < (a::'a::\{\text{ordered-field}, \text{division-by-zero}\}))$

**apply** (cases  $a = 0$ , simp)

**apply** (blast intro: inverse-positive-imp-positive positive-imp-inverse-positive)

**done**

**lemma** *inverse-negative-imp-negative*:

**assumes** *inv-less-0*:  $\text{inverse } a < 0$  **and** *nz*:  $a \neq 0$   
**shows**  $a < (0::'a::\text{ordered-field})$   
**proof** –  
    **have**  $\text{inverse } (\text{inverse } a) < 0$   
    **using** *inv-less-0* **by** (rule *negative-imp-inverse-negative*)  
    **thus**  $a < 0$  **using** *nz* **by** (simp add: *nonzero-inverse-inverse-eq*)  
**qed**

**lemma** *inverse-negative-iff-negative* [simp]:  
 $(\text{inverse } a < 0) = (a < (0::'a::\{\text{ordered-field}, \text{division-by-zero}\}))$   
**apply** (cases  $a = 0$ , simp)  
**apply** (blast intro: *inverse-negative-imp-negative* *negative-imp-inverse-negative*)  
**done**

**lemma** *inverse-nonnegative-iff-nonnegative* [simp]:  
 $(0 \leq \text{inverse } a) = (0 \leq (a::'a::\{\text{ordered-field}, \text{division-by-zero}\}))$   
**by** (simp add: *linorder-not-less* [symmetric])

**lemma** *inverse-nonpositive-iff-nonpositive* [simp]:  
 $(\text{inverse } a \leq 0) = (a \leq (0::'a::\{\text{ordered-field}, \text{division-by-zero}\}))$   
**by** (simp add: *linorder-not-less* [symmetric])

**lemma** *ordered-field-no-lb*:  $\forall x. \exists y. y < (x::'a::\text{ordered-field})$   
**proof**  
    **fix**  $x::'a$   
    **have**  $m1: -(1::'a) < 0$  **by** simp  
    **from** *add-strict-right-mono*[*OF*  $m1$ , **where**  $c=x$ ]  
    **have**  $(-1) + x < x$  **by** simp  
    **thus**  $\exists y. y < x$  **by** blast  
**qed**

**lemma** *ordered-field-no-ub*:  $\forall x. \exists y. y > (x::'a::\text{ordered-field})$   
**proof**  
    **fix**  $x::'a$   
    **have**  $m1: (1::'a) > 0$  **by** simp  
    **from** *add-strict-right-mono*[*OF*  $m1$ , **where**  $c=x$ ]  
    **have**  $1 + x > x$  **by** simp  
    **thus**  $\exists y. y > x$  **by** blast  
**qed**

### 13.4 Anti-Monotonicity of *inverse*

**lemma** *less-imp-inverse-less*:  
**assumes** *less*:  $a < b$  **and** *apos*:  $0 < a$   
**shows**  $\text{inverse } b < \text{inverse } (a::'a::\text{ordered-field})$   
**proof** (rule *ccontr*)  
    **assume**  $\sim \text{inverse } b < \text{inverse } a$   
    **hence**  $\text{inverse } a \leq \text{inverse } b$   
    **by** (simp add: *linorder-not-less*)

hence  $\sim (a < b)$   
 by (simp add: linorder-not-less inverse-le-imp-le [OF - apos])  
 thus *False*  
 by (rule notE [OF - less])  
 qed

**lemma** *inverse-less-imp-less*:  
 $[[\text{inverse } a < \text{inverse } b; 0 < a]] \implies b < (a::'a::\text{ordered-field})$   
 apply (simp add: order-less-le [of inverse a] order-less-le [of b])  
 apply (force dest!: inverse-le-imp-le nonzero-inverse-eq-imp-eq)  
 done

Both premises are essential. Consider -1 and 1.

**lemma** *inverse-less-iff-less* [simp, noatp]:  
 $[[0 < a; 0 < b]] \implies (\text{inverse } a < \text{inverse } b) = (b < (a::'a::\text{ordered-field}))$   
 by (blast intro: less-imp-inverse-less dest: inverse-less-imp-less)

**lemma** *le-imp-inverse-le*:  
 $[[a \leq b; 0 < a]] \implies \text{inverse } b \leq \text{inverse } (a::'a::\text{ordered-field})$   
 by (force simp add: order-le-less less-imp-inverse-less)

**lemma** *inverse-le-iff-le* [simp, noatp]:  
 $[[0 < a; 0 < b]] \implies (\text{inverse } a \leq \text{inverse } b) = (b \leq (a::'a::\text{ordered-field}))$   
 by (blast intro: le-imp-inverse-le dest: inverse-le-imp-le)

These results refer to both operands being negative. The opposite-sign case is trivial, since inverse preserves signs.

**lemma** *inverse-le-imp-le-neg*:  
 $[[\text{inverse } a \leq \text{inverse } b; b < 0]] \implies b \leq (a::'a::\text{ordered-field})$   
 apply (rule classical)  
 apply (subgoal-tac  $a < 0$ )  
 prefer 2 apply (force simp add: linorder-not-le intro: order-less-trans)  
 apply (insert inverse-le-imp-le [of  $-b -a$ ])  
 apply (simp add: order-less-imp-not-eq nonzero-inverse-minus-eq)  
 done

**lemma** *less-imp-inverse-less-neg*:  
 $[[a < b; b < 0]] \implies \text{inverse } b < \text{inverse } (a::'a::\text{ordered-field})$   
 apply (subgoal-tac  $a < 0$ )  
 prefer 2 apply (blast intro: order-less-trans)  
 apply (insert less-imp-inverse-less [of  $-b -a$ ])  
 apply (simp add: order-less-imp-not-eq nonzero-inverse-minus-eq)  
 done

**lemma** *inverse-less-imp-less-neg*:  
 $[[\text{inverse } a < \text{inverse } b; b < 0]] \implies b < (a::'a::\text{ordered-field})$   
 apply (rule classical)  
 apply (subgoal-tac  $a < 0$ )  
 prefer 2

```

apply (force simp add: linorder-not-less intro: order-le-less-trans)
apply (insert inverse-less-imp-less [of  $-b -a$ ])
apply (simp add: order-less-imp-not-eq nonzero-inverse-minus-eq)
done

```

```

lemma inverse-less-iff-less-neg [simp,noatp]:
   $[[a < 0; b < 0]] \implies (inverse\ a < inverse\ b) = (b < (a::'a::ordered-field))$ 
apply (insert inverse-less-iff-less [of  $-b -a$ ])
apply (simp del: inverse-less-iff-less
      add: order-less-imp-not-eq nonzero-inverse-minus-eq)
done

```

```

lemma le-imp-inverse-le-neg:
   $[[a \leq b; b < 0]] \implies inverse\ b \leq inverse\ (a::'a::ordered-field)$ 
by (force simp add: order-le-less less-imp-inverse-less-neg)

```

```

lemma inverse-le-iff-le-neg [simp,noatp]:
   $[[a < 0; b < 0]] \implies (inverse\ a \leq inverse\ b) = (b \leq (a::'a::ordered-field))$ 
by (blast intro: le-imp-inverse-le-neg dest: inverse-le-imp-le-neg)

```

### 13.5 Inverses and the Number One

```

lemma one-less-inverse-iff:
   $(1 < inverse\ x) = (0 < x \ \&\ x < (1::'a::\{ordered-field,division-by-zero\}))$ 
proof cases
  assume  $0 < x$ 
    with inverse-less-iff-less [OF zero-less-one, of  $x$ ]
    show ?thesis by simp
  next
    assume notless:  $\sim (0 < x)$ 
    have  $\sim (1 < inverse\ x)$ 
    proof
      assume  $1 < inverse\ x$ 
      also with notless have  $\dots \leq 0$  by (simp add: linorder-not-less)
      also have  $\dots < 1$  by (rule zero-less-one)
      finally show False by auto
    qed
    with notless show ?thesis by simp
qed

```

```

lemma inverse-eq-1-iff [simp]:
   $(inverse\ x = 1) = (x = (1::'a::\{field,division-by-zero\}))$ 
by (insert inverse-eq-iff-eq [of  $x\ 1$ ], simp)

```

```

lemma one-le-inverse-iff:
   $(1 \leq inverse\ x) = (0 < x \ \&\ x \leq (1::'a::\{ordered-field,division-by-zero\}))$ 
by (force simp add: order-le-less one-less-inverse-iff zero-less-one
      eq-commute [of  $1$ ])

```

**lemma** *inverse-less-1-iff*:

$(\text{inverse } x < 1) = (x \leq 0 \mid 1 < (x::'a::\{\text{ordered-field}, \text{division-by-zero}\}))$

**by** (*simp add: linorder-not-le [symmetric] one-le-inverse-iff*)

**lemma** *inverse-le-1-iff*:

$(\text{inverse } x \leq 1) = (x \leq 0 \mid 1 \leq (x::'a::\{\text{ordered-field}, \text{division-by-zero}\}))$

**by** (*simp add: linorder-not-less [symmetric] one-less-inverse-iff*)

### 13.6 Simplification of Inequalities Involving Literal Divisors

**lemma** *pos-le-divide-eq*:  $0 < (c::'a::\text{ordered-field}) \implies (a \leq b/c) = (a*c \leq b)$

**proof** –

**assume** *less*:  $0 < c$

**hence**  $(a \leq b/c) = (a*c \leq (b/c)*c)$

**by** (*simp add: mult-le-cancel-right order-less-not-sym [OF less]*)

**also have**  $\dots = (a*c \leq b)$

**by** (*simp add: order-less-imp-not-eq2 [OF less] divide-inverse mult-assoc*)

**finally show** *?thesis* .

**qed**

**lemma** *neg-le-divide-eq*:  $c < (0::'a::\text{ordered-field}) \implies (a \leq b/c) = (b \leq a*c)$

**proof** –

**assume** *less*:  $c < 0$

**hence**  $(a \leq b/c) = ((b/c)*c \leq a*c)$

**by** (*simp add: mult-le-cancel-right order-less-not-sym [OF less]*)

**also have**  $\dots = (b \leq a*c)$

**by** (*simp add: order-less-imp-not-eq [OF less] divide-inverse mult-assoc*)

**finally show** *?thesis* .

**qed**

**lemma** *le-divide-eq*:

$(a \leq b/c) =$

$(\text{if } 0 < c \text{ then } a*c \leq b$

$\text{else if } c < 0 \text{ then } b \leq a*c$

$\text{else } a \leq (0::'a::\{\text{ordered-field}, \text{division-by-zero}\}))$ )

**apply** (*cases c=0, simp*)

**apply** (*force simp add: pos-le-divide-eq neg-le-divide-eq linorder-neq-iff*)

**done**

**lemma** *pos-divide-le-eq*:  $0 < (c::'a::\text{ordered-field}) \implies (b/c \leq a) = (b \leq a*c)$

**proof** –

**assume** *less*:  $0 < c$

**hence**  $(b/c \leq a) = ((b/c)*c \leq a*c)$

**by** (*simp add: mult-le-cancel-right order-less-not-sym [OF less]*)

**also have**  $\dots = (b \leq a*c)$

**by** (*simp add: order-less-imp-not-eq2 [OF less] divide-inverse mult-assoc*)

**finally show** *?thesis* .

**qed**

**lemma** *neg-divide-le-eq*:  $c < (0::'a::\text{ordered-field}) \implies (b/c \leq a) = (a*c \leq b)$

**proof** –

**assume** *less*:  $c < 0$

**hence**  $(b/c \leq a) = (a*c \leq (b/c)*c)$

**by** (*simp add: mult-le-cancel-right order-less-not-sym [OF less]*)

**also have**  $\dots = (a*c \leq b)$

**by** (*simp add: order-less-imp-not-eq [OF less] divide-inverse mult-assoc*)

**finally show** *?thesis* .

**qed**

**lemma** *divide-le-eq*:

$(b/c \leq a) =$

$(\text{if } 0 < c \text{ then } b \leq a*c$

$\text{else if } c < 0 \text{ then } a*c \leq b$

$\text{else } 0 \leq (a::'a::\{\text{ordered-field, division-by-zero}\}))$

**apply** (*cases c=0, simp*)

**apply** (*force simp add: pos-divide-le-eq neg-divide-le-eq linorder-neq-iff*)

**done**

**lemma** *pos-less-divide-eq*:

$0 < (c::'a::\text{ordered-field}) \implies (a < b/c) = (a*c < b)$

**proof** –

**assume** *less*:  $0 < c$

**hence**  $(a < b/c) = (a*c < (b/c)*c)$

**by** (*simp add: mult-less-cancel-right-disj order-less-not-sym [OF less]*)

**also have**  $\dots = (a*c < b)$

**by** (*simp add: order-less-imp-not-eq2 [OF less] divide-inverse mult-assoc*)

**finally show** *?thesis* .

**qed**

**lemma** *neg-less-divide-eq*:

$c < (0::'a::\text{ordered-field}) \implies (a < b/c) = (b < a*c)$

**proof** –

**assume** *less*:  $c < 0$

**hence**  $(a < b/c) = ((b/c)*c < a*c)$

**by** (*simp add: mult-less-cancel-right-disj order-less-not-sym [OF less]*)

**also have**  $\dots = (b < a*c)$

**by** (*simp add: order-less-imp-not-eq [OF less] divide-inverse mult-assoc*)

**finally show** *?thesis* .

**qed**

**lemma** *less-divide-eq*:

$(a < b/c) =$

$(\text{if } 0 < c \text{ then } a*c < b$

$\text{else if } c < 0 \text{ then } b < a*c$

$\text{else } a < (0::'a::\{\text{ordered-field, division-by-zero}\}))$

**apply** (*cases c=0, simp*)

**apply** (*force simp add: pos-less-divide-eq neg-less-divide-eq linorder-neq-iff*)

**done**



```

lemma pos-divide-less-eq:
   $0 < (c::'a::\text{ordered-field}) \implies (b/c < a) = (b < a*c)$ 
proof -
  assume less:  $0 < c$ 
  hence  $(b/c < a) = ((b/c)*c < a*c)$ 
  by (simp add: mult-less-cancel-right-disj order-less-not-sym [OF less])
  also have  $\dots = (b < a*c)$ 
  by (simp add: order-less-imp-not-eq2 [OF less] divide-inverse mult-assoc)
  finally show ?thesis .
qed

lemma neg-divide-less-eq:
   $c < (0::'a::\text{ordered-field}) \implies (b/c < a) = (a*c < b)$ 
proof -
  assume less:  $c < 0$ 
  hence  $(b/c < a) = (a*c < (b/c)*c)$ 
  by (simp add: mult-less-cancel-right-disj order-less-not-sym [OF less])
  also have  $\dots = (a*c < b)$ 
  by (simp add: order-less-imp-not-eq [OF less] divide-inverse mult-assoc)
  finally show ?thesis .
qed

lemma divide-less-eq:
   $(b/c < a) =$ 
  (if  $0 < c$  then  $b < a*c$ 
   else if  $c < 0$  then  $a*c < b$ 
   else  $0 < (a::'a::\{\text{ordered-field}, \text{division-by-zero}\})$ )
apply (cases c=0, simp)
apply (force simp add: pos-divide-less-eq neg-divide-less-eq linorder-neq-iff)
done

```

### 13.7 Field simplification

Lemmas *field-simps* multiply with denominators in in(equations) if they can be proved to be non-zero (for equations) or positive/negative (for inequations).

**lemmas** *field-simps* = *field-eq-simps*

```

pos-divide-less-eq neg-divide-less-eq
pos-less-divide-eq neg-less-divide-eq
pos-divide-le-eq neg-divide-le-eq
pos-le-divide-eq neg-le-divide-eq

```

Lemmas *sign-simps* is a first attempt to automate proofs of positivity/negativity needed for *field-simps*. Have not added *sign-simps* to *field-simps* because the former can lead to case explosions.

**lemmas** *sign-simps* = *group-simps*

*zero-less-mult-iff mult-less-0-iff*

### 13.8 Division and Signs

**lemma** *zero-less-divide-iff*:

$((0::'a::\{\text{ordered-field}, \text{division-by-zero}\}) < a/b) = (0 < a \ \& \ 0 < b \mid a < 0 \ \& \ b < 0)$

**by** (*simp add: divide-inverse zero-less-mult-iff*)

**lemma** *divide-less-0-iff*:

$(a/b < (0::'a::\{\text{ordered-field}, \text{division-by-zero}\})) = (0 < a \ \& \ b < 0 \mid a < 0 \ \& \ 0 < b)$

**by** (*simp add: divide-inverse mult-less-0-iff*)

**lemma** *zero-le-divide-iff*:

$((0::'a::\{\text{ordered-field}, \text{division-by-zero}\}) \leq a/b) = (0 \leq a \ \& \ 0 \leq b \mid a \leq 0 \ \& \ b \leq 0)$

**by** (*simp add: divide-inverse zero-le-mult-iff*)

**lemma** *divide-le-0-iff*:

$(a/b \leq (0::'a::\{\text{ordered-field}, \text{division-by-zero}\})) = (0 \leq a \ \& \ b \leq 0 \mid a \leq 0 \ \& \ 0 \leq b)$

**by** (*simp add: divide-inverse mult-le-0-iff*)

**lemma** *divide-eq-0-iff* [*simp, noatp*]:

$(a/b = 0) = (a=0 \mid b=(0::'a::\{\text{field}, \text{division-by-zero}\}))$

**by** (*simp add: divide-inverse*)

**lemma** *divide-pos-pos*:

$0 < (x::'a::\text{ordered-field}) \implies 0 < y \implies 0 < x / y$

**by** (*simp add: field-simps*)

**lemma** *divide-nonneg-pos*:

$0 \leq (x::'a::\text{ordered-field}) \implies 0 < y \implies 0 \leq x / y$

**by** (*simp add: field-simps*)

**lemma** *divide-neg-pos*:

$(x::'a::\text{ordered-field}) < 0 \implies 0 < y \implies x / y < 0$

**by** (*simp add: field-simps*)

**lemma** *divide-nonpos-pos*:

$(x::'a::\text{ordered-field}) \leq 0 \implies 0 < y \implies x / y \leq 0$

**by** (*simp add: field-simps*)

**lemma** *divide-pos-neg*:

$0 < (x::'a::\text{ordered-field}) \implies y < 0 \implies x / y < 0$

**by** (*simp add: field-simps*)

**lemma** *divide-nonneg-neg*:  
 $0 \leq (x :: 'a :: \text{ordered-field}) \implies y < 0 \implies x / y \leq 0$   
**by** (*simp add: field-simps*)

**lemma** *divide-neg-neg*:  
 $(x :: 'a :: \text{ordered-field}) < 0 \implies y < 0 \implies 0 < x / y$   
**by** (*simp add: field-simps*)

**lemma** *divide-nonpos-neg*:  
 $(x :: 'a :: \text{ordered-field}) \leq 0 \implies y < 0 \implies 0 \leq x / y$   
**by** (*simp add: field-simps*)

### 13.9 Cancellation Laws for Division

**lemma** *divide-cancel-right* [*simp, noatp*]:  
 $(a/c = b/c) = (c = 0 \mid a = (b :: 'a :: \{\text{field}, \text{division-by-zero}\}))$   
**apply** (*cases c=0, simp*)  
**apply** (*simp add: divide-inverse*)  
**done**

**lemma** *divide-cancel-left* [*simp, noatp*]:  
 $(c/a = c/b) = (c = 0 \mid a = (b :: 'a :: \{\text{field}, \text{division-by-zero}\}))$   
**apply** (*cases c=0, simp*)  
**apply** (*simp add: divide-inverse*)  
**done**

#### 13.10 Division and the Number One

Simplify expressions equated with 1

**lemma** *divide-eq-1-iff* [*simp, noatp*]:  
 $(a/b = 1) = (b \neq 0 \ \& \ a = (b :: 'a :: \{\text{field}, \text{division-by-zero}\}))$   
**apply** (*cases b=0, simp*)  
**apply** (*simp add: right-inverse-eq*)  
**done**

**lemma** *one-eq-divide-iff* [*simp, noatp*]:  
 $(1 = a/b) = (b \neq 0 \ \& \ a = (b :: 'a :: \{\text{field}, \text{division-by-zero}\}))$   
**by** (*simp add: eq-commute [of 1]*)

**lemma** *zero-eq-1-divide-iff* [*simp, noatp*]:  
 $((0 :: 'a :: \{\text{ordered-field}, \text{division-by-zero}\}) = 1/a) = (a = 0)$   
**apply** (*cases a=0, simp*)  
**apply** (*auto simp add: nonzero-eq-divide-eq*)  
**done**

**lemma** *one-divide-eq-0-iff* [*simp, noatp*]:  
 $(1/a = (0 :: 'a :: \{\text{ordered-field}, \text{division-by-zero}\})) = (a = 0)$   
**apply** (*cases a=0, simp*)  
**apply** (*insert zero-neq-one [THEN not-sym]*)

**apply** (*auto simp add: nonzero-divide-eq-eq*)  
**done**

Simplify expressions such as  $0 < 1/x$  to  $0 < x$

**lemmas** *zero-less-divide-1-iff* = *zero-less-divide-iff* [*of 1, simplified*]  
**lemmas** *divide-less-0-1-iff* = *divide-less-0-iff* [*of 1, simplified*]  
**lemmas** *zero-le-divide-1-iff* = *zero-le-divide-iff* [*of 1, simplified*]  
**lemmas** *divide-le-0-1-iff* = *divide-le-0-iff* [*of 1, simplified*]

**declare** *zero-less-divide-1-iff* [*simp*]  
**declare** *divide-less-0-1-iff* [*simp, noatp*]  
**declare** *zero-le-divide-1-iff* [*simp*]  
**declare** *divide-le-0-1-iff* [*simp, noatp*]

### 13.11 Ordering Rules for Division

**lemma** *divide-strict-right-mono*:  
 $[|a < b; 0 < c|] \implies a / c < b / (c::'a::\text{ordered-field})$   
**by** (*simp add: order-less-imp-not-eq2 divide-inverse mult-strict-right-mono*  
*positive-imp-inverse-positive*)

**lemma** *divide-right-mono*:  
 $[|a \leq b; 0 \leq c|] \implies a / c \leq b / (c::'a::\{\text{ordered-field}, \text{division-by-zero}\})$   
**by** (*force simp add: divide-strict-right-mono order-le-less*)

**lemma** *divide-right-mono-neg*:  $(a::'a::\{\text{division-by-zero}, \text{ordered-field}\}) \leq b$   
 $\implies c \leq 0 \implies b / c \leq a / c$   
**apply** (*drule divide-right-mono [of - - - c]*)  
**apply** *auto*  
**done**

**lemma** *divide-strict-right-mono-neg*:  
 $[|b < a; c < 0|] \implies a / c < b / (c::'a::\text{ordered-field})$   
**apply** (*drule divide-strict-right-mono [of - - - c], simp*)  
**apply** (*simp add: order-less-imp-not-eq nonzero-minus-divide-right [symmetric]*)  
**done**

The last premise ensures that  $a$  and  $b$  have the same sign

**lemma** *divide-strict-left-mono*:  
 $[|b < a; 0 < c; 0 < a*b|] \implies c / a < c / (b::'a::\text{ordered-field})$   
**by**(*auto simp: field-simps times-divide-eq zero-less-mult-iff mult-strict-right-mono*)

**lemma** *divide-left-mono*:  
 $[|b \leq a; 0 \leq c; 0 < a*b|] \implies c / a \leq c / (b::'a::\text{ordered-field})$   
**by**(*auto simp: field-simps times-divide-eq zero-less-mult-iff mult-right-mono*)

**lemma** *divide-left-mono-neg*:  $(a::'a::\{\text{division-by-zero}, \text{ordered-field}\}) \leq b$   
 $\implies c \leq 0 \implies 0 < a * b \implies c / a \leq c / b$   
**apply** (*drule divide-left-mono [of - - - c]*)

**apply** (*auto simp add: mult-commute*)  
**done**

**lemma** *divide-strict-left-mono-neg*:  

$$[|a < b; c < 0; 0 < a*b|] \implies c / a < c / (b::'a::\text{ordered-field})$$
  
**by** (*auto simp: field-simps times-divide-eq zero-less-mult-iff mult-strict-right-mono-neg*)

Simplify quotients that are compared with the value 1.

**lemma** *le-divide-eq-1* [*noatp*]:  
**fixes**  $a :: 'a :: \{\text{ordered-field}, \text{division-by-zero}\}$   
**shows**  $(1 \leq b / a) = ((0 < a \ \& \ a \leq b) \mid (a < 0 \ \& \ b \leq a))$   
**by** (*auto simp add: le-divide-eq*)

**lemma** *divide-le-eq-1* [*noatp*]:  
**fixes**  $a :: 'a :: \{\text{ordered-field}, \text{division-by-zero}\}$   
**shows**  $(b / a \leq 1) = ((0 < a \ \& \ b \leq a) \mid (a < 0 \ \& \ a \leq b) \mid a=0)$   
**by** (*auto simp add: divide-le-eq*)

**lemma** *less-divide-eq-1* [*noatp*]:  
**fixes**  $a :: 'a :: \{\text{ordered-field}, \text{division-by-zero}\}$   
**shows**  $(1 < b / a) = ((0 < a \ \& \ a < b) \mid (a < 0 \ \& \ b < a))$   
**by** (*auto simp add: less-divide-eq*)

**lemma** *divide-less-eq-1* [*noatp*]:  
**fixes**  $a :: 'a :: \{\text{ordered-field}, \text{division-by-zero}\}$   
**shows**  $(b / a < 1) = ((0 < a \ \& \ b < a) \mid (a < 0 \ \& \ a < b) \mid a=0)$   
**by** (*auto simp add: divide-less-eq*)

### 13.12 Conditional Simplification Rules: No Case Splits

**lemma** *le-divide-eq-1-pos* [*simp, noatp*]:  
**fixes**  $a :: 'a :: \{\text{ordered-field}, \text{division-by-zero}\}$   
**shows**  $0 < a \implies (1 \leq b/a) = (a \leq b)$   
**by** (*auto simp add: le-divide-eq*)

**lemma** *le-divide-eq-1-neg* [*simp, noatp*]:  
**fixes**  $a :: 'a :: \{\text{ordered-field}, \text{division-by-zero}\}$   
**shows**  $a < 0 \implies (1 \leq b/a) = (b \leq a)$   
**by** (*auto simp add: le-divide-eq*)

**lemma** *divide-le-eq-1-pos* [*simp, noatp*]:  
**fixes**  $a :: 'a :: \{\text{ordered-field}, \text{division-by-zero}\}$   
**shows**  $0 < a \implies (b/a \leq 1) = (b \leq a)$   
**by** (*auto simp add: divide-le-eq*)

**lemma** *divide-le-eq-1-neg* [*simp, noatp*]:  
**fixes**  $a :: 'a :: \{\text{ordered-field}, \text{division-by-zero}\}$   
**shows**  $a < 0 \implies (b/a \leq 1) = (a \leq b)$   
**by** (*auto simp add: divide-le-eq*)

**lemma** *less-divide-eq-1-pos* [*simp, noatp*]:  
 fixes  $a :: 'a :: \{\text{ordered-field}, \text{division-by-zero}\}$   
 shows  $0 < a \implies (1 < b/a) = (a < b)$   
 by (auto simp add: less-divide-eq)

**lemma** *less-divide-eq-1-neg* [*simp, noatp*]:  
 fixes  $a :: 'a :: \{\text{ordered-field}, \text{division-by-zero}\}$   
 shows  $a < 0 \implies (1 < b/a) = (b < a)$   
 by (auto simp add: less-divide-eq)

**lemma** *divide-less-eq-1-pos* [*simp, noatp*]:  
 fixes  $a :: 'a :: \{\text{ordered-field}, \text{division-by-zero}\}$   
 shows  $0 < a \implies (b/a < 1) = (b < a)$   
 by (auto simp add: divide-less-eq)

**lemma** *divide-less-eq-1-neg* [*simp, noatp*]:  
 fixes  $a :: 'a :: \{\text{ordered-field}, \text{division-by-zero}\}$   
 shows  $a < 0 \implies b/a < 1 \iff a < b$   
 by (auto simp add: divide-less-eq)

**lemma** *eq-divide-eq-1* [*simp, noatp*]:  
 fixes  $a :: 'a :: \{\text{ordered-field}, \text{division-by-zero}\}$   
 shows  $(1 = b/a) = ((a \neq 0 \ \& \ a = b))$   
 by (auto simp add: eq-divide-eq)

**lemma** *divide-eq-eq-1* [*simp, noatp*]:  
 fixes  $a :: 'a :: \{\text{ordered-field}, \text{division-by-zero}\}$   
 shows  $(b/a = 1) = ((a \neq 0 \ \& \ a = b))$   
 by (auto simp add: divide-eq-eq)

### 13.13 Reasoning about inequalities with division

**lemma** *mult-right-le-one-le*:  $0 <= (x :: 'a :: \text{ordered-idom}) \implies 0 <= y \implies y <= 1 \implies x * y <= x$   
 by (auto simp add: mult-compare-simps)

**lemma** *mult-left-le-one-le*:  $0 <= (x :: 'a :: \text{ordered-idom}) \implies 0 <= y \implies y <= 1 \implies y * x <= x$   
 by (auto simp add: mult-compare-simps)

**lemma** *mult-imp-div-pos-le*:  $0 < (y :: 'a :: \text{ordered-field}) \implies x <= z * y \implies x / y <= z$   
 by (subst pos-divide-le-eq, assumption+)

**lemma** *mult-imp-le-div-pos*:  $0 < (y :: 'a :: \text{ordered-field}) \implies z * y <= x \implies z <= x / y$

```

by(simp add:field-simps)

lemma mult-imp-div-pos-less:  $0 < (y::'a::ordered-field) \implies x < z * y \implies$ 
 $x / y < z$ 
by(simp add:field-simps)

lemma mult-imp-less-div-pos:  $0 < (y::'a::ordered-field) \implies z * y < x \implies$ 
 $z < x / y$ 
by(simp add:field-simps)

lemma frac-le:  $(0::'a::ordered-field) \leq x \implies$ 
 $x \leq y \implies 0 < w \implies w \leq z \implies x / z \leq y / w$ 
apply (rule mult-imp-div-pos-le)
apply simp
apply (subst times-divide-eq-left)
apply (rule mult-imp-le-div-pos, assumption)
apply (rule mult-mono)
apply simp-all
done

lemma frac-less:  $(0::'a::ordered-field) \leq x \implies$ 
 $x < y \implies 0 < w \implies w \leq z \implies x / z < y / w$ 
apply (rule mult-imp-div-pos-less)
apply simp
apply (subst times-divide-eq-left)
apply (rule mult-imp-less-div-pos, assumption)
apply (erule mult-less-le-imp-less)
apply simp-all
done

lemma frac-less2:  $(0::'a::ordered-field) < x \implies$ 
 $x \leq y \implies 0 < w \implies w < z \implies x / z < y / w$ 
apply (rule mult-imp-div-pos-less)
apply simp-all
apply (subst times-divide-eq-left)
apply (rule mult-imp-less-div-pos, assumption)
apply (erule mult-le-less-imp-less)
apply simp-all
done

```

It’s not obvious whether these should be simprules or not. Their effect is to gather terms into one big fraction, like  $a*b*c / x*y*z$ . The rationale for that is unclear, but many proofs seem to need them.

```
declare times-divide-eq [simp]
```

### 13.14 Ordered Fields are Dense

```

context ordered-semidom
begin

```

**lemma** *less-add-one*:  $a < a + 1$

**proof** –

**have**  $a + 0 < a + 1$

**by** (*blast intro: zero-less-one add-strict-left-mono*)

**thus** ?thesis **by** *simp*

**qed**

**lemma** *zero-less-two*:  $0 < 1 + 1$

**by** (*blast intro: less-trans zero-less-one less-add-one*)

**end**

**lemma** *less-half-sum*:  $a < b \implies a < (a+b) / (1+1::'a::ordered-field)$

**by** (*simp add: field-simps zero-less-two*)

**lemma** *gt-half-sum*:  $a < b \implies (a+b)/(1+1::'a::ordered-field) < b$

**by** (*simp add: field-simps zero-less-two*)

**instance** *ordered-field* < *dense-linear-order*

**proof**

**fix**  $x\ y :: 'a$

**have**  $x < x + 1$  **by** *simp*

**then show**  $\exists y. x < y$  **..**

**have**  $x - 1 < x$  **by** *simp*

**then show**  $\exists y. y < x$  **..**

**show**  $x < y \implies \exists z > x. z < y$  **by** (*blast intro!: less-half-sum gt-half-sum*)

**qed**

### 13.15 Absolute Value

**context** *ordered-idom*

**begin**

**lemma** *mult-sgn-abs*:  $\text{sgn } x * \text{abs } x = x$

**unfolding** *abs-if sgn-if* **by** *auto*

**end**

**lemma** *abs-one* [*simp*]:  $\text{abs } 1 = (1::'a::ordered-idom)$

**by** (*simp add: abs-if zero-less-one [THEN order-less-not-sym]*)

**class** *pordered-ring-abs* = *pordered-ring* + *pordered-ab-group-add-abs* +

**assumes** *abs-eq-mult*:

$(0 \leq a \vee a \leq 0) \wedge (0 \leq b \vee b \leq 0) \implies |a * b| = |a| * |b|$

**class** *lordered-ring* = *pordered-ring* + *lordered-ab-group-add-abs*

**begin**



```

subclass lordered-ab-group-add-meet by intro-locales
subclass lordered-ab-group-add-join by intro-locales

end

lemma abs-le-mult:  $\text{abs } (a * b) \leq (\text{abs } a) * (\text{abs } (b::'a::\text{lordered-ring}))$ 
proof –
  let ?x = pprrt a * pprrt b – pprrt a * nprrt b – nprrt a * pprrt b + nprrt a * nprrt b
  let ?y = pprrt a * pprrt b + pprrt a * nprrt b + nprrt a * pprrt b + nprrt a * nprrt b
  have a:  $(\text{abs } a) * (\text{abs } b) = ?x$ 
    by (simp only: abs-prts[of a] abs-prts[of b] ring-simps)
  {
    fix u v :: 'a
    have bh:  $\llbracket u = a; v = b \rrbracket \implies$ 
       $u * v = \text{pprrt } a * \text{pprrt } b + \text{pprrt } a * \text{nprrt } b +$ 
       $\text{nprrt } a * \text{pprrt } b + \text{nprrt } a * \text{nprrt } b$ 
    apply (subst prts[of u], subst prts[of v])
    apply (simp add: ring-simps)
    done
  }
  note b = this[OF refl[of a] refl[of b]]
  note addm = add-mono[of 0::'a - 0::'a, simplified]
  note addm2 = add-mono[of - 0::'a - 0::'a, simplified]
  have xy: – ?x <= ?y
    apply (simp)
    apply (rule-tac y=0::'a in order-trans)
    apply (rule addm2)
    apply (simp-all add: mult-nonneg-nonneg mult-nonpos-nonpos)
    apply (rule addm)
    apply (simp-all add: mult-nonneg-nonneg mult-nonpos-nonpos)
    done
  have yx: ?y <= ?x
    apply (simp add: diff-def)
    apply (rule-tac y=0 in order-trans)
    apply (rule addm2, (simp add: mult-nonneg-nonpos mult-nonneg-nonpos2)+)
    apply (rule addm, (simp add: mult-nonneg-nonpos mult-nonneg-nonpos2)+)
    done
  have i1:  $a*b \leq \text{abs } a * \text{abs } b$  by (simp only: a b yx)
  have i2: –  $(\text{abs } a * \text{abs } b) \leq a*b$  by (simp only: a b xy)
  show ?thesis
    apply (rule abs-leI)
    apply (simp add: i1)
    apply (simp add: i2[simplified minus-le-iff])
    done
qed

instance lordered-ring  $\subseteq$  pordered-ring-abs
proof

```

```

fix a b :: 'a:: lordered-ring
assume ( $0 \leq a \vee a \leq 0$ )  $\wedge$  ( $0 \leq b \vee b \leq 0$ )
show abs (a*b) = abs a * abs b
proof -
  have s: ( $0 \leq a*b$ ) | ( $a*b \leq 0$ )
    apply (auto)
    apply (rule-tac split-mult-pos-le)
    apply (rule-tac contrapos-np[of a*b  $\leq$  0])
    apply (simp)
    apply (rule-tac split-mult-neg-le)
    apply (insert prems)
    apply (blast)
  done
have mulprts: a * b = (pprt a + nprt a) * (pprt b + nprt b)
  by (simp add: prts[symmetric])
show ?thesis
proof cases
  assume  $0 \leq a * b$ 
  then show ?thesis
    apply (simp-all add: mulprts abs-prts)
    apply (insert prems)
    apply (auto simp add:
      ring-simps
      iffD1[OF zero-le-iff-zero-nprt] iffD1[OF le-zero-iff-zero-pprt]
      iffD1[OF le-zero-iff-pprt-id] iffD1[OF zero-le-iff-nprt-id])
    apply (drule (1) mult-nonneg-nonpos[of a b], simp)
    apply (drule (1) mult-nonneg-nonpos2[of b a], simp)
  done
next
  assume  $\sim(0 \leq a*b)$ 
  with s have a*b  $\leq$  0 by simp
  then show ?thesis
    apply (simp-all add: mulprts abs-prts)
    apply (insert prems)
    apply (auto simp add: ring-simps)
    apply (drule (1) mult-nonneg-nonneg[of a b], simp)
    apply (drule (1) mult-nonpos-nonpos[of a b], simp)
  done
qed
qed
qed

instance ordered-idom  $\subseteq$  pordered-ring-abs
by default (auto simp add: abs-if not-less
  equal-neg-zero neg-equal-zero mult-less-0-iff)

lemma abs-mult: abs (a * b) = abs a * abs b (b::'a::ordered-idom)
  by (simp add: abs-eq-mult linorder-linear)

```

**lemma** *abs-mult-self*:  $\text{abs } a * \text{abs } a = a * (a::'a::\text{ordered-idom})$   
**by** (*simp add: abs-if*)

**lemma** *nonzero-abs-inverse*:  
 $a \neq 0 \implies \text{abs } (\text{inverse } (a::'a::\text{ordered-field})) = \text{inverse } (\text{abs } a)$   
**apply** (*auto simp add: linorder-neq-iff abs-if nonzero-inverse-minus-eq negative-imp-inverse-negative*)  
**apply** (*blast intro: positive-imp-inverse-positive elim: order-less-asm*)  
**done**

**lemma** *abs-inverse [simp]*:  
 $\text{abs } (\text{inverse } (a::'a::\{\text{ordered-field}, \text{division-by-zero}\})) = \text{inverse } (\text{abs } a)$   
**apply** (*cases a=0, simp*)  
**apply** (*simp add: nonzero-abs-inverse*)  
**done**

**lemma** *nonzero-abs-divide*:  
 $b \neq 0 \implies \text{abs } (a / (b::'a::\text{ordered-field})) = \text{abs } a / \text{abs } b$   
**by** (*simp add: divide-inverse abs-mult nonzero-abs-inverse*)

**lemma** *abs-divide [simp]*:  
 $\text{abs } (a / (b::'a::\{\text{ordered-field}, \text{division-by-zero}\})) = \text{abs } a / \text{abs } b$   
**apply** (*cases b=0, simp*)  
**apply** (*simp add: nonzero-abs-divide*)  
**done**

**lemma** *abs-mult-less*:  
 $[\text{abs } a < c; \text{abs } b < d] \implies \text{abs } a * \text{abs } b < c * (d::'a::\text{ordered-idom})$   
**proof** –  
**assume** *ac*:  $\text{abs } a < c$   
**hence** *cpos*:  $0 < c$  **by** (*blast intro: order-le-less-trans abs-ge-zero*)  
**assume**  $\text{abs } b < d$   
**thus** *?thesis* **by** (*simp add: ac cpos mult-strict-mono*)  
**qed**

**lemmas** *eq-minus-self-iff* = *equal-neg-zero*

**lemma** *less-minus-self-iff*:  $(a < -a) = (a < (0::'a::\text{ordered-idom}))$   
**unfolding** *order-less-le less-eq-neg-nonpos equal-neg-zero* ..

**lemma** *abs-less-iff*:  $(\text{abs } a < b) = (a < b \ \& \ -a < (b::'a::\text{ordered-idom}))$   
**apply** (*simp add: order-less-le abs-le-iff*)  
**apply** (*auto simp add: abs-if neg-less-eq-nonneg less-eq-neg-nonpos*)  
**done**

**lemma** *abs-mult-pos*:  $(0::'a::\text{ordered-idom}) \leq x \implies$   
 $(\text{abs } y) * x = \text{abs } (y * x)$   
**apply** (*subst abs-mult*)

apply simp  
done

lemma abs-div-pos:  $(0::'a::\{division-by-zero,ordered-field\}) < y ==>$   
 $abs\ x / y = abs\ (x / y)$   
 apply (subst abs-divide)  
 apply (simp add: order-less-imp-le)  
 done

### 13.16 Bounds of products via negative and positive Part

lemma mult-le-prts:  
 assumes  
 $a1 \leq (a::'a::lordered-ring)$   
 $a \leq a2$   
 $b1 \leq b$   
 $b \leq b2$   
 shows  
 $a * b \leq pprt\ a2 * pprt\ b2 + pprt\ a1 * nprt\ b2 + nprt\ a2 * pprt\ b1 + nprt\ a1$   
 $* nprt\ b1$   
 proof –  
 have  $a * b = (pprt\ a + nprt\ a) * (pprt\ b + nprt\ b)$   
 apply (subst prts[symmetric])  
 apply simp  
 done  
 then have  $a * b = pprt\ a * pprt\ b + pprt\ a * nprt\ b + nprt\ a * pprt\ b + nprt\ a * nprt\ b$   
 by (simp add: ring-simps)  
 moreover have  $pprt\ a * pprt\ b \leq pprt\ a2 * pprt\ b2$   
 by (simp-all add: prems mult-mono)  
 moreover have  $pprt\ a * nprt\ b \leq pprt\ a1 * nprt\ b2$   
 proof –  
 have  $pprt\ a * nprt\ b \leq pprt\ a * nprt\ b2$   
 by (simp add: mult-left-mono prems)  
 moreover have  $pprt\ a * nprt\ b2 \leq pprt\ a1 * nprt\ b2$   
 by (simp add: mult-right-mono-neg prems)  
 ultimately show ?thesis  
 by simp  
 qed  
 moreover have  $nprt\ a * pprt\ b \leq nprt\ a2 * pprt\ b1$   
 proof –  
 have  $nprt\ a * pprt\ b \leq nprt\ a2 * pprt\ b$   
 by (simp add: mult-right-mono prems)  
 moreover have  $nprt\ a2 * pprt\ b \leq nprt\ a2 * pprt\ b1$   
 by (simp add: mult-left-mono-neg prems)  
 ultimately show ?thesis  
 by simp  
 qed  
 moreover have  $nprt\ a * nprt\ b \leq nprt\ a1 * nprt\ b1$

```

proof –
  have  $\text{nprt } a * \text{nprt } b \leq \text{nprt } a * \text{nprt } b1$ 
    by (simp add: mult-left-mono-neg prems)
  moreover have  $\text{nprt } a * \text{nprt } b1 \leq \text{nprt } a1 * \text{nprt } b1$ 
    by (simp add: mult-right-mono-neg prems)
  ultimately show ?thesis
    by simp
qed
ultimately show ?thesis
  by – (rule add-mono | simp)+
qed

lemma mult-ge-prts:
  assumes
     $a1 \leq (a::'a::\text{ordered-ring})$ 
     $a \leq a2$ 
     $b1 \leq b$ 
     $b \leq b2$ 
  shows
     $a * b \geq \text{nprt } a1 * \text{pprt } b2 + \text{nprt } a2 * \text{nprt } b2 + \text{pprt } a1 * \text{pprt } b1 + \text{pprt } a2$ 
     $* \text{nprt } b1$ 
proof –
  from prems have  $a1 \leq -a$  by auto
  from prems have  $a2 \leq -a1$  by auto
  from mult-le-prts[of  $-a2 -a -a1 b1 b b2$ , OF  $a1 a2 \text{prems}(3) \text{prems}(4)$ , simplified nprt-neg pprrt-neg]
  have  $le: -(a * b) \leq -\text{nprt } a1 * \text{pprt } b2 + -\text{nprt } a2 * \text{nprt } b2 + -\text{pprt } a1$ 
     $* \text{pprt } b1 + -\text{pprt } a2 * \text{nprt } b1$  by simp
  then have  $-( -\text{nprt } a1 * \text{pprt } b2 + -\text{nprt } a2 * \text{nprt } b2 + -\text{pprt } a1 * \text{pprt } b1$ 
     $+ -\text{pprt } a2 * \text{nprt } b1) \leq a * b$ 
    by (simp only: minus-le-iff)
  then show ?thesis by simp
qed

end

```

## 14 Nat: Natural numbers

```

theory Nat
imports Inductive Ring-and-Field
uses
  ~~/src/Tools/rat.ML
  ~~/src/Provers/Arith/cancel-sums.ML
  (arith-data.ML)
  ~~/src/Provers/Arith/fast-lin-arith.ML
  (Tools/lin-arith.ML)
begin

```

**14.1 Type *ind*****typedecl** *ind***axiomatization***Zero-Rep* :: *ind* **and***Suc-Rep* :: *ind* ==> *ind***where**

— the axiom of infinity in 2 parts

*inj-Suc-Rep*: *inj Suc-Rep* **and***Suc-Rep-not-Zero-Rep*: *Suc-Rep* *x* ≠ *Zero-Rep***14.2 Type *nat***

Type definition

**inductive** *Nat* :: *ind* ⇒ *bool***where***Zero-RepI*: *Nat Zero-Rep*| *Suc-RepI*: *Nat i* ⇒ *Nat (Suc-Rep i)***global****typedef** (**open** *Nat*)*nat* = *Collect Nat***by** (*rule exI*, *rule CollectI*, *rule Nat.Zero-RepI*)**constdefs***Suc* :: *nat* ==> *nat**Suc-def*: *Suc* == (%*n*. *Abs-Nat (Suc-Rep (Rep-Nat n))*)**local****instantiation** *nat* :: *zero***begin****definition** *Zero-nat-def* [*code func del*]:*0* = *Abs-Nat Zero-Rep***instance** ..**end****lemma** *nat-induct*: *P 0* ==> (!*n*. *P n* ==> *P (Suc n)*) ==> *P n***apply** (*unfold Zero-nat-def Suc-def*)**apply** (*rule Rep-Nat-inverse [THEN subst]*) — types force good instantiation**apply** (*erule Rep-Nat [THEN CollectD, THEN Nat.induct]*)**apply** (*iprover elim: Abs-Nat-inverse [OF CollectI, THEN subst]*)**done**

```

lemma Suc-not-Zero [iff]: Suc m  $\neq$  0
  by (simp add: Zero-nat-def Suc-def
        Abs-Nat-inject Rep-Nat [THEN CollectD] Suc-RepI Zero-RepI
        Suc-Rep-not-Zero-Rep)

lemma Zero-not-Suc [iff]: 0  $\neq$  Suc m
  by (rule not-sym, rule Suc-not-Zero not-sym)

lemma inj-Suc[simp]: inj-on Suc N
  by (simp add: Suc-def inj-on-def Abs-Nat-inject Rep-Nat [THEN CollectD] Suc-RepI
        inj-Suc-Rep [THEN inj-eq] Rep-Nat-inject)

lemma Suc-Suc-eq [iff]: Suc m = Suc n  $\longleftrightarrow$  m = n
  by (rule inj-Suc [THEN inj-eq])

rep-datatype nat
  distinct Suc-not-Zero Zero-not-Suc
  inject Suc-Suc-eq
  induction nat-induct

declare nat.induct [case-names 0 Suc, induct type: nat]
declare nat.exhaust [case-names 0 Suc, cases type: nat]

lemmas nat-rec-0 = nat.recs(1)
  and nat-rec-Suc = nat.recs(2)

lemmas nat-case-0 = nat.cases(1)
  and nat-case-Suc = nat.cases(2)

Injectiveness and distinctness lemmas

lemma Suc-neq-Zero: Suc m = 0  $\implies$  R
  by (rule notE, rule Suc-not-Zero)

lemma Zero-neq-Suc: 0 = Suc m  $\implies$  R
  by (rule Suc-neq-Zero, erule sym)

lemma Suc-inject: Suc x = Suc y  $\implies$  x = y
  by (rule inj-Suc [THEN injD])

lemma n-not-Suc-n: n  $\neq$  Suc n
  by (induct n) simp-all

lemma Suc-n-not-n: Suc n  $\neq$  n
  by (rule not-sym, rule n-not-Suc-n)

A special form of induction for reasoning about  $m < n$  and  $m - n$ 

lemma diff-induct: (!x. P x 0)  $\implies$  (!y. P 0 (Suc y))  $\implies$ 
  (!x y. P x y  $\implies$  P (Suc x) (Suc y))  $\implies$  P m n
  apply (rule-tac x = m in spec)

```

```

apply (induct n)
prefer 2
apply (rule allI)
apply (induct-tac x, iprover+)
done

```

### 14.3 Arithmetic operators

```

instantiation nat :: {minus, comm-monoid-add}
begin

```

```

primrec plus-nat

```

```

where

```

```

  add-0:      0 + n = (n::nat)
  | add-Suc:  Suc m + n = Suc (m + n)

```

```

lemma add-0-right [simp]: m + 0 = (m::nat)
by (induct m) simp-all

```

```

lemma add-Suc-right [simp]: m + Suc n = Suc (m + n)
by (induct m) simp-all

```

```

lemma add-Suc-shift [code]: Suc m + n = m + Suc n
by simp

```

```

primrec minus-nat

```

```

where

```

```

  diff-0:      m - 0 = (m::nat)
  | diff-Suc:  m - Suc n = (case m - n of 0 => 0 | Suc k => k)

```

```

declare diff-Suc [simp del, code del]

```

```

lemma diff-0-eq-0 [simp, code]: 0 - n = (0::nat)
by (induct n) (simp-all add: diff-Suc)

```

```

lemma diff-Suc-Suc [simp, code]: Suc m - Suc n = m - n
by (induct n) (simp-all add: diff-Suc)

```

```

instance proof

```

```

  fix n m q :: nat

```

```

  show (n + m) + q = n + (m + q) by (induct n) simp-all

```

```

  show n + m = m + n by (induct n) simp-all

```

```

  show 0 + n = n by simp

```

```

qed

```

```

end

```

```

instantiation nat :: comm-semiring-1-cancel
begin

```



**definition**

*One-nat-def* [simp]:  $1 = \text{Suc } 0$

**primrec** *times-nat***where**

*mult-0*:  $0 * n = (0::nat)$   
 | *mult-Suc*:  $\text{Suc } m * n = n + (m * n)$

**lemma** *mult-0-right* [simp]:  $(m::nat) * 0 = 0$

**by** (induct m) simp-all

**lemma** *mult-Suc-right* [simp]:  $m * \text{Suc } n = m + (m * n)$

**by** (induct m) (simp-all add: add-left-commute)

**lemma** *add-mult-distrib*:  $(m + n) * k = (m * k) + ((n * k)::nat)$

**by** (induct m) (simp-all add: add-assoc)

**instance** proof

**fix**  $n\ m\ q :: nat$

**show**  $0 \neq (1::nat)$  **by** simp

**show**  $1 * n = n$  **by** simp

**show**  $n * m = m * n$  **by** (induct n) simp-all

**show**  $(n * m) * q = n * (m * q)$  **by** (induct n) (simp-all add: add-mult-distrib)

**show**  $(n + m) * q = n * q + m * q$  **by** (rule add-mult-distrib)

**assume**  $n + m = n + q$  **thus**  $m = q$  **by** (induct n) simp-all

qed

end

**14.3.1 Addition**

**lemma** *nat-add-assoc*:  $(m + n) + k = m + ((n + k)::nat)$

**by** (rule add-assoc)

**lemma** *nat-add-commute*:  $m + n = n + (m::nat)$

**by** (rule add-commute)

**lemma** *nat-add-left-commute*:  $x + (y + z) = y + ((x + z)::nat)$

**by** (rule add-left-commute)

**lemma** *nat-add-left-cancel* [simp]:  $(k + m = k + n) = (m = (n::nat))$

**by** (rule add-left-cancel)

**lemma** *nat-add-right-cancel* [simp]:  $(m + k = n + k) = (m = (n::nat))$

**by** (rule add-right-cancel)

Reasoning about  $m + 0 = 0$ , etc.

**lemma** *add-is-0* [iff]:

```

fixes  $m\ n :: \text{nat}$ 
shows  $(m + n = 0) = (m = 0 \ \& \ n = 0)$ 
by (cases m) simp-all

lemma add-is-1:
 $(m+n = \text{Suc } 0) = (m = \text{Suc } 0 \ \& \ n=0 \mid m=0 \ \& \ n = \text{Suc } 0)$ 
by (cases m) simp-all

lemma one-is-add:
 $(\text{Suc } 0 = m + n) = (m = \text{Suc } 0 \ \& \ n = 0 \mid m = 0 \ \& \ n = \text{Suc } 0)$ 
by (rule trans, rule eq-commute, rule add-is-1)

lemma add-eq-self-zero:
fixes  $m\ n :: \text{nat}$ 
shows  $m + n = m \implies n = 0$ 
by (induct m) simp-all

lemma inj-on-add-nat[simp]: inj-on ( $\%n::\text{nat}. n+k$ ) N
apply (induct k)
apply simp
apply (drule comp-inj-on[OF - inj-Suc])
apply (simp add:o-def)
done

```

### 14.3.2 Difference

```

lemma diff-self-eq-0 [simp]:  $(m::\text{nat}) - m = 0$ 
by (induct m) simp-all

lemma diff-diff-left:  $(i::\text{nat}) - j - k = i - (j + k)$ 
by (induct i j rule: diff-induct) simp-all

lemma Suc-diff-diff [simp]:  $(\text{Suc } m - n) - \text{Suc } k = m - n - k$ 
by (simp add: diff-diff-left)

lemma diff-commute:  $(i::\text{nat}) - j - k = i - k - j$ 
by (simp add: diff-diff-left add-commute)

lemma diff-add-inverse:  $(n + m) - n = (m::\text{nat})$ 
by (induct n) simp-all

lemma diff-add-inverse2:  $(m + n) - n = (m::\text{nat})$ 
by (simp add: diff-add-inverse add-commute [of m n])

lemma diff-cancel:  $(k + m) - (k + n) = m - (n::\text{nat})$ 
by (induct k) simp-all

lemma diff-cancel2:  $(m + k) - (n + k) = m - (n::\text{nat})$ 
by (simp add: diff-cancel add-commute)

```

**lemma** *diff-add-0*:  $n - (n + m) = (0::nat)$   
**by** (*induct n*) *simp-all*

Difference distributes over multiplication

**lemma** *diff-mult-distrib*:  $((m::nat) - n) * k = (m * k) - (n * k)$   
**by** (*induct m n* *rule: diff-induct*) (*simp-all add: diff-cancel*)

**lemma** *diff-mult-distrib2*:  $k * ((m::nat) - n) = (k * m) - (k * n)$   
**by** (*simp add: diff-mult-distrib mult-commute [of k]*)  
 — NOT added as rewrites, since sometimes they are used from right-to-left

### 14.3.3 Multiplication

**lemma** *nat-mult-assoc*:  $(m * n) * k = m * ((n * k)::nat)$   
**by** (*rule mult-assoc*)

**lemma** *nat-mult-commute*:  $m * n = n * (m::nat)$   
**by** (*rule mult-commute*)

**lemma** *add-mult-distrib2*:  $k * (m + n) = (k * m) + ((k * n)::nat)$   
**by** (*rule right-distrib*)

**lemma** *mult-is-0* [*simp*]:  $((m::nat) * n = 0) = (m=0 \mid n=0)$   
**by** (*induct m*) *auto*

**lemmas** *nat-distrib* =  
*add-mult-distrib add-mult-distrib2 diff-mult-distrib diff-mult-distrib2*

**lemma** *mult-eq-1-iff* [*simp*]:  $(m * n = \text{Suc } 0) = (m = 1 \ \& \ n = 1)$   
**apply** (*induct m*)  
**apply** *simp*  
**apply** (*induct n*)  
**apply** *auto*  
**done**

**lemma** *one-eq-mult-iff* [*simp, noatp*]:  $(\text{Suc } 0 = m * n) = (m = 1 \ \& \ n = 1)$   
**apply** (*rule trans*)  
**apply** (*rule-tac [2] mult-eq-1-iff, fastsimp*)  
**done**

**lemma** *mult-cancel1* [*simp*]:  $(k * m = k * n) = (m = n \mid (k = (0::nat)))$   
**proof** —  
**have**  $k \neq 0 \implies k * m = k * n \implies m = n$   
**proof** (*induct n arbitrary: m*)  
**case** 0 **then show**  $m = 0$  **by** *simp*  
**next**  
**case** (*Suc n*) **then show**  $m = \text{Suc } n$   
**by** (*cases m*) (*simp-all add: eq-commute [of 0]*)

qed  
 then show *?thesis* by auto  
 qed

lemma *mult-cancel2* [*simp*]:  $(m * k = n * k) = (m = n \mid (k = (0::nat)))$   
 by (*simp add: mult-commute*)

lemma *Suc-mult-cancel1*:  $(Suc\ k * m = Suc\ k * n) = (m = n)$   
 by (*subst mult-cancel1*) *simp*

## 14.4 Orders on *nat*

### 14.4.1 Operation definition

instantiation *nat* :: *linorder*  
 begin

primrec *less-eq-nat* where  
 $(0::nat) \leq n \longleftrightarrow True$   
 $\mid Suc\ m \leq n \longleftrightarrow (case\ n\ of\ 0 \Rightarrow False \mid Suc\ n \Rightarrow m \leq n)$

declare *less-eq-nat.simps* [*simp del, code del*]  
 lemma [*code*]:  $(0::nat) \leq n \longleftrightarrow True$  by (*simp add: less-eq-nat.simps*)  
 lemma *le0* [*iff*]:  $0 \leq (n::nat)$  by (*simp add: less-eq-nat.simps*)

definition *less-nat* where  
 $less-eq-Suc-le\ [code\ func\ del]: n < m \longleftrightarrow Suc\ n \leq m$

lemma *Suc-le-mono* [*iff*]:  $Suc\ n \leq Suc\ m \longleftrightarrow n \leq m$   
 by (*simp add: less-eq-nat.simps(2)*)

lemma *Suc-le-eq* [*code*]:  $Suc\ m \leq n \longleftrightarrow m < n$   
 unfolding *less-eq-Suc-le* ..

lemma *le-0-eq* [*iff*]:  $(n::nat) \leq 0 \longleftrightarrow n = 0$   
 by (*induct n*) (*simp-all add: less-eq-nat.simps(2)*)

lemma *not-less0* [*iff*]:  $\neg n < (0::nat)$   
 by (*simp add: less-eq-Suc-le*)

lemma *less-nat-zero-code* [*code*]:  $n < (0::nat) \longleftrightarrow False$   
 by *simp*

lemma *Suc-less-eq* [*iff*]:  $Suc\ m < Suc\ n \longleftrightarrow m < n$   
 by (*simp add: less-eq-Suc-le*)

lemma *less-Suc-eq-le* [*code*]:  $m < Suc\ n \longleftrightarrow m \leq n$   
 by (*simp add: less-eq-Suc-le*)

lemma *le-SucI*:  $m \leq n \Longrightarrow m \leq Suc\ n$

```

by (induct m arbitrary: n)
  (simp-all add: less-eq-nat.simps(2) split: nat.splits)

lemma Suc-leD: Suc m ≤ n ⇒ m ≤ n
by (cases n) (auto intro: le-SucI)

lemma less-SucI: m < n ⇒ m < Suc n
by (simp add: less-eq-Suc-le) (erule Suc-leD)

lemma Suc-lessD: Suc m < n ⇒ m < n
by (simp add: less-eq-Suc-le) (erule Suc-leD)

instance
proof
  fix n m :: nat
  have less-imp-le: n < m ⇒ n ≤ m
    unfolding less-eq-Suc-le by (erule Suc-leD)
  have irrefl: ¬ m < m by (induct m) auto
  have strict: n ≤ m ⇒ n ≠ m ⇒ n < m
  proof (induct n arbitrary: m)
    case 0 then show ?case
      by (cases m) (simp-all add: less-eq-Suc-le)
  next
    case (Suc n) then show ?case
      by (cases m) (simp-all add: less-eq-Suc-le)
  qed
  show n < m ⇔ n ≤ m ∧ n ≠ m
    by (auto simp add: irrefl intro: less-imp-le strict)
  next
    fix n :: nat show n ≤ n by (induct n) simp-all
  next
    fix n m :: nat assume n ≤ m and m ≤ n
    then show n = m
      by (induct n arbitrary: m)
        (simp-all add: less-eq-nat.simps(2) split: nat.splits)
  next
    fix n m q :: nat assume n ≤ m and m ≤ q
    then show n ≤ q
      proof (induct n arbitrary: m q)
        case 0 show ?case by simp
      next
        case (Suc n) then show ?case
          by (simp-all (no-asm-use) add: less-eq-nat.simps(2) split: nat.splits, clarify,
            simp-all (no-asm-use) add: less-eq-nat.simps(2) split: nat.splits, clarify,
            simp-all (no-asm-use) add: less-eq-nat.simps(2) split: nat.splits)
      qed
  next
    fix n m :: nat show n ≤ m ∨ m ≤ n
      by (induct n arbitrary: m)

```

```

    (simp-all add: less-eq-nat.simps(2) split: nat.splits)
qed
end

```

#### 14.4.2 Introduction properties

```

lemma lessI [iff]:  $n < \text{Suc } n$ 
  by (simp add: less-Suc-eq-le)

```

```

lemma zero-less-Suc [iff]:  $0 < \text{Suc } n$ 
  by (simp add: less-Suc-eq-le)

```

#### 14.4.3 Elimination properties

```

lemma less-not-refl:  $\sim n < (n::\text{nat})$ 
  by (rule order-less-irrefl)

```

```

lemma less-not-refl2:  $n < m \implies m \neq (n::\text{nat})$ 
  by (rule not-sym) (rule less-imp-neq)

```

```

lemma less-not-refl3:  $(s::\text{nat}) < t \implies s \neq t$ 
  by (rule less-imp-neq)

```

```

lemma less-irrefl-nat:  $(n::\text{nat}) < n \implies R$ 
  by (rule notE, rule less-not-refl)

```

```

lemma less-zeroE:  $(n::\text{nat}) < 0 \implies R$ 
  by (rule notE) (rule not-less0)

```

```

lemma less-Suc-eq:  $(m < \text{Suc } n) = (m < n \mid m = n)$ 
  unfolding less-Suc-eq-le le-less ..

```

```

lemma less-one [iff, noatp]:  $(n < (1::\text{nat})) = (n = 0)$ 
  by (simp add: less-Suc-eq)

```

```

lemma less-Suc0 [iff]:  $(n < \text{Suc } 0) = (n = 0)$ 
  by (simp add: less-Suc-eq)

```

```

lemma Suc-mono:  $m < n \implies \text{Suc } m < \text{Suc } n$ 
  by simp

```

”Less than” is antisymmetric, sort of

```

lemma less-antisym:  $\llbracket \neg n < m; n < \text{Suc } m \rrbracket \implies m = n$ 
  unfolding not-less less-Suc-eq-le by (rule antisym)

```

```

lemma nat-neq-iff:  $((m::\text{nat}) \neq n) = (m < n \mid n < m)$ 
  by (rule linorder-neq-iff)

```

```

lemma nat-less-cases: assumes major:  $(m::\text{nat}) < n \implies P \ n \ m$ 

```

```

and eqCase:  $m = n \implies P\ n\ m$  and lessCase:  $n < m \implies P\ n\ m$ 
shows  $P\ n\ m$ 
apply (rule less-linear [THEN disjE])
apply (erule-tac [2] disjE)
apply (erule lessCase)
apply (erule sym [THEN eqCase])
apply (erule major)
done

```

#### 14.4.4 Inductive (?) properties

```

lemma Suc-lessI:  $m < n \implies \text{Suc } m \neq n \implies \text{Suc } m < n$ 
  unfolding less-eq-Suc-le [of m] le-less by simp

```

```

lemma lessE:
  assumes major:  $i < k$ 
  and p1:  $k = \text{Suc } i \implies P$  and p2:  $\forall j. i < j \implies k = \text{Suc } j \implies P$ 
  shows  $P$ 
proof –
  from major have  $\exists j. i \leq j \wedge k = \text{Suc } j$ 
    unfolding less-eq-Suc-le by (induct k) simp-all
  then have  $(\exists j. i < j \wedge k = \text{Suc } j) \vee k = \text{Suc } i$ 
    by (clarsimp simp add: less-le)
  with p1 p2 show  $P$  by auto
qed

```

```

lemma less-SucE: assumes major:  $m < \text{Suc } n$ 
  and less:  $m < n \implies P$  and eq:  $m = n \implies P$  shows  $P$ 
  apply (rule major [THEN lessE])
  apply (rule eq, blast)
  apply (rule less, blast)
  done

```

```

lemma Suc-lessE: assumes major:  $\text{Suc } i < k$ 
  and minor:  $\forall j. i < j \implies k = \text{Suc } j \implies P$  shows  $P$ 
  apply (rule major [THEN lessE])
  apply (erule lessI [THEN minor])
  apply (erule Suc-lessD [THEN minor], assumption)
  done

```

```

lemma Suc-less-SucD:  $\text{Suc } m < \text{Suc } n \implies m < n$ 
  by simp

```

```

lemma less-trans-Suc:
  assumes le:  $i < j$  shows  $j < k \implies \text{Suc } i < k$ 
  apply (induct k, simp-all)
  apply (insert le)
  apply (simp add: less-Suc-eq)
  apply (blast dest: Suc-lessD)

```

**done**

Can be used with *less-Suc-eq* to get  $n = m \vee n < m$

**lemma** *not-less-eq*:  $\neg m < n \longleftrightarrow n < \text{Suc } m$

**unfolding** *not-less less-Suc-eq-le* ..

**lemma** *not-less-eq-eq*:  $\neg m \leq n \longleftrightarrow \text{Suc } n \leq m$

**unfolding** *not-le Suc-le-eq* ..

Properties of ”less than or equal”

**lemma** *le-imp-less-Suc*:  $m \leq n \implies m < \text{Suc } n$

**unfolding** *less-Suc-eq-le* .

**lemma** *Suc-n-not-le-n*:  $\sim \text{Suc } n \leq n$

**unfolding** *not-le less-Suc-eq-le* ..

**lemma** *le-Suc-eq*:  $(m \leq \text{Suc } n) = (m \leq n \mid m = \text{Suc } n)$

**by** (*simp add: less-Suc-eq-le [symmetric] less-Suc-eq*)

**lemma** *le-SucE*:  $m \leq \text{Suc } n \implies (m \leq n \implies R) \implies (m = \text{Suc } n \implies R) \implies R$

**by** (*drule le-Suc-eq [THEN iffD1], iprover+*)

**lemma** *Suc-leI*:  $m < n \implies \text{Suc}(m) \leq n$

**unfolding** *Suc-le-eq* .

Stronger version of *Suc-leD*

**lemma** *Suc-le-lessD*:  $\text{Suc } m \leq n \implies m < n$

**unfolding** *Suc-le-eq* .

**lemma** *less-imp-le-nat*:  $m < n \implies m \leq (n::\text{nat})$

**unfolding** *less-eq-Suc-le* **by** (*rule Suc-leD*)

For instance,  $(\text{Suc } m < \text{Suc } n) = (\text{Suc } m \leq n) = (m < n)$

**lemmas** *le-simps* = *less-imp-le-nat less-Suc-eq-le Suc-le-eq*

Equivalence of  $m \leq n$  and  $m < n \vee m = n$

**lemma** *less-or-eq-imp-le*:  $m < n \mid m = n \implies m \leq (n::\text{nat})$

**unfolding** *le-less* .

**lemma** *le-eq-less-or-eq*:  $(m \leq (n::\text{nat})) = (m < n \mid m = n)$

**by** (*rule le-less*)

Useful with *blast*.

**lemma** *eq-imp-le*:  $(m::\text{nat}) = n \implies m \leq n$

**by** *auto*

**lemma** *le-refl*:  $n \leq (n::\text{nat})$



**by** *simp*

**lemma** *le-trans*:  $[[i \leq j; j \leq k]] \implies i \leq (k::nat)$   
**by** (*rule order-trans*)

**lemma** *le-anti-sym*:  $[[m \leq n; n \leq m]] \implies m = (n::nat)$   
**by** (*rule antisym*)

**lemma** *nat-less-le*:  $((m::nat) < n) = (m \leq n \ \& \ m \neq n)$   
**by** (*rule less-le*)

**lemma** *le-neq-implies-less*:  $(m::nat) \leq n \implies m \neq n \implies m < n$   
**unfolding** *less-le* ..

**lemma** *nat-le-linear*:  $(m::nat) \leq n \mid n \leq m$   
**by** (*rule linear*)

**lemmas** *linorder-neqE-nat* = *linorder-neqE* [**where** 'a = nat]

**lemma** *le-less-Suc-eq*:  $m \leq n \implies (n < Suc \ m) = (n = m)$   
**unfolding** *less-Suc-eq-le* **by** *auto*

**lemma** *not-less-less-Suc-eq*:  $\sim n < m \implies (n < Suc \ m) = (n = m)$   
**unfolding** *not-less* **by** (*rule le-less-Suc-eq*)

**lemmas** *not-less-simps* = *not-less-less-Suc-eq* *le-less-Suc-eq*

These two rules ease the use of primitive recursion. NOTE USE OF ==

**lemma** *def-nat-rec-0*:  $(!!n. f \ n == nat-rec \ c \ h \ n) \implies f \ 0 = c$   
**by** *simp*

**lemma** *def-nat-rec-Suc*:  $(!!n. f \ n == nat-rec \ c \ h \ n) \implies f \ (Suc \ n) = h \ n \ (f \ n)$   
**by** *simp*

**lemma** *not0-implies-Suc*:  $n \neq 0 \implies \exists m. n = Suc \ m$   
**by** (*cases n*) *simp-all*

**lemma** *gr0-implies-Suc*:  $n > 0 \implies \exists m. n = Suc \ m$   
**by** (*cases n*) *simp-all*

**lemma** *gr-implies-not0*: **fixes**  $n :: nat$  **shows**  $m < n \implies n \neq 0$   
**by** (*cases n*) *simp-all*

**lemma** *neq0-conv[iff]*: **fixes**  $n :: nat$  **shows**  $(n \neq 0) = (0 < n)$   
**by** (*cases n*) *simp-all*

This theorem is useful with *blast*

**lemma** *gr0I*:  $((n::nat) = 0 \implies False) \implies 0 < n$   
**by** (*rule neq0-conv[THEN iffD1]*, *iprover*)

**lemma** *gr0-conv-Suc*:  $(0 < n) = (\exists m. n = \text{Suc } m)$

**by** (*fast intro: not0-implies-Suc*)

**lemma** *not-gr0 [iff,noatp]*:  $!!n::\text{nat}. (\sim (0 < n)) = (n = 0)$

**using** *neq0-conv* **by** *blast*

**lemma** *Suc-le-D*:  $(\text{Suc } n \leq m') ==> (? m. m' = \text{Suc } m)$

**by** (*induct m'*) *simp-all*

Useful in certain inductive arguments

**lemma** *less-Suc-eq-0-disj*:  $(m < \text{Suc } n) = (m = 0 \mid (\exists j. m = \text{Suc } j \ \& \ j < n))$

**by** (*cases m*) *simp-all*

#### 14.4.5 *min* and *max*

**lemma** *mono-Suc*: *mono Suc*

**by** (*rule monoI*) *simp*

**lemma** *min-0L [simp]*:  $\text{min } 0 \ n = (0::\text{nat})$

**by** (*rule min-leastL*) *simp*

**lemma** *min-0R [simp]*:  $\text{min } n \ 0 = (0::\text{nat})$

**by** (*rule min-leastR*) *simp*

**lemma** *min-Suc-Suc [simp]*:  $\text{min } (\text{Suc } m) (\text{Suc } n) = \text{Suc } (\text{min } m \ n)$

**by** (*simp add: mono-Suc min-of-mono*)

**lemma** *min-Suc1*:

$\text{min } (\text{Suc } n) \ m = (\text{case } m \text{ of } 0 ==> 0 \mid \text{Suc } m' ==> \text{Suc}(\text{min } n \ m'))$

**by** (*simp split: nat.split*)

**lemma** *min-Suc2*:

$\text{min } m \ (\text{Suc } n) = (\text{case } m \text{ of } 0 ==> 0 \mid \text{Suc } m' ==> \text{Suc}(\text{min } m' \ n))$

**by** (*simp split: nat.split*)

**lemma** *max-0L [simp]*:  $\text{max } 0 \ n = (n::\text{nat})$

**by** (*rule max-leastL*) *simp*

**lemma** *max-0R [simp]*:  $\text{max } n \ 0 = (n::\text{nat})$

**by** (*rule max-leastR*) *simp*

**lemma** *max-Suc-Suc [simp]*:  $\text{max } (\text{Suc } m) (\text{Suc } n) = \text{Suc}(\text{max } m \ n)$

**by** (*simp add: mono-Suc max-of-mono*)

**lemma** *max-Suc1*:

$\text{max } (\text{Suc } n) \ m = (\text{case } m \text{ of } 0 ==> \text{Suc } n \mid \text{Suc } m' ==> \text{Suc}(\text{max } n \ m'))$

**by** (*simp split: nat.split*)

**lemma** *max-Suc2*:

$\text{max } m \text{ (Suc } n) = (\text{case } m \text{ of } 0 \Rightarrow \text{Suc } n \mid \text{Suc } m' \Rightarrow \text{Suc}(\text{max } m' n))$   
**by** (*simp split: nat.split*)

#### 14.4.6 Monotonicity of Addition

**lemma** *Suc-pred* [*simp*]:  $n > 0 \Rightarrow \text{Suc } (n - \text{Suc } 0) = n$   
**by** (*simp add: diff-Suc split: nat.split*)

**lemma** *nat-add-left-cancel-le* [*simp*]:  $(k + m \leq k + n) = (m \leq (n::\text{nat}))$   
**by** (*induct k*) *simp-all*

**lemma** *nat-add-left-cancel-less* [*simp*]:  $(k + m < k + n) = (m < (n::\text{nat}))$   
**by** (*induct k*) *simp-all*

**lemma** *add-gr-0* [*iff*]:  $!!m::\text{nat}. (m + n > 0) = (m > 0 \mid n > 0)$   
**by**(*auto dest:gr0-implies-Suc*)

strict, in 1st argument

**lemma** *add-less-mono1*:  $i < j \Rightarrow i + k < j + (k::\text{nat})$   
**by** (*induct k*) *simp-all*

strict, in both arguments

**lemma** *add-less-mono*:  $[[i < j; k < l]] \Rightarrow i + k < j + (l::\text{nat})$   
**apply** (*rule add-less-mono1 [THEN less-trans], assumption+*)  
**apply** (*induct j, simp-all*)  
**done**

Deleted *less-natE*; use *less-imp-Suc-add RS exE*

**lemma** *less-imp-Suc-add*:  $m < n \Rightarrow (\exists k. n = \text{Suc } (m + k))$   
**apply** (*induct n*)  
**apply** (*simp-all add: order-le-less*)  
**apply** (*blast elim!: less-SucE*  
     *intro!: add-0-right [symmetric] add-Suc-right [symmetric]*)  
**done**

strict, in 1st argument; proof is by induction on  $k > 0$

**lemma** *mult-less-mono2*:  $(i::\text{nat}) < j \Rightarrow 0 < k \Rightarrow k * i < k * j$   
**apply**(*auto simp: gr0-conv-Suc*)  
**apply** (*induct-tac m*)  
**apply** (*simp-all add: add-less-mono*)  
**done**

The naturals form an ordered *comm-semiring-1-cancel*

**instance** *nat :: ordered-semidom*

**proof**

**fix** *i j k :: nat*

**show**  $0 < (1::\text{nat})$  **by** *simp*

```

  show  $i \leq j \implies k + i \leq k + j$  by simp
  show  $i < j \implies 0 < k \implies k * i < k * j$  by (simp add: mult-less-mono2)
qed

```

```

lemma nat-mult-1:  $(1::nat) * n = n$ 
by simp

```

```

lemma nat-mult-1-right:  $n * (1::nat) = n$ 
by simp

```

#### 14.4.7 Additional theorems about $op \leq$

Complete induction, aka course-of-values induction

```

lemma less-induct [case-names less]:
  fixes  $P :: nat \Rightarrow bool$ 
  assumes step:  $\bigwedge x. (\bigwedge y. y < x \implies P y) \implies P x$ 
  shows  $P a$ 
proof -
  have  $\bigwedge z. z \leq a \implies P z$ 
  proof (induct a)
    case (0 z)
    have  $P 0$  by (rule step) auto
    thus ?case using 0 by auto
  next
    case (Suc x z)
    then have  $z \leq x \vee z = \text{Suc } x$  by (simp add: le-Suc-eq)
    thus ?case
    proof
      assume  $z \leq x$  thus  $P z$  by (rule Suc(1))
    next
      assume  $z: z = \text{Suc } x$ 
      show  $P z$ 
      by (rule step) (rule Suc(1), simp add: z le-simps)
    qed
  qed
  thus ?thesis by auto
qed

```

```

lemma nat-less-induct:
  assumes !! $n. \forall m::nat. m < n \implies P m \implies P n$  shows  $P n$ 
  using assms less-induct by blast

```

```

lemma measure-induct-rule [case-names less]:
  fixes  $f :: 'a \Rightarrow nat$ 
  assumes step:  $\bigwedge x. (\bigwedge y. f y < f x \implies P y) \implies P x$ 
  shows  $P a$ 
by (induct m $\equiv$  a arbitrary: a rule: less-induct) (auto intro: step)

```

old style induction rules:

**lemma** *measure-induct*:

**fixes**  $f :: 'a \Rightarrow \text{nat}$

**shows**  $(\bigwedge x. \forall y. f\ y < f\ x \longrightarrow P\ y \Longrightarrow P\ x) \Longrightarrow P\ a$

**by** (rule *measure-induct-rule* [of  $f\ P\ a$ ]) *iprover*

**lemma** *full-nat-induct*:

**assumes** *step*:  $(!!n. (ALL\ m. Suc\ m \leq n \longrightarrow P\ m) \Longrightarrow P\ n)$

**shows**  $P\ n$

**by** (rule *less-induct*) (auto intro: *step simp:le-simps*)

An induction rule for establishing binary relations

**lemma** *less-Suc-induct*:

**assumes** *less*:  $i < j$

**and** *step*:  $!!i. P\ i\ (Suc\ i)$

**and** *trans*:  $!!i\ j\ k. P\ i\ j \Longrightarrow P\ j\ k \Longrightarrow P\ i\ k$

**shows**  $P\ i\ j$

**proof** –

**from** *less* **obtain**  $k$  **where**  $j = Suc(i+k)$  **by** (auto dest: *less-imp-Suc-add*)

**have**  $P\ i\ (Suc\ (i + k))$

**proof** (*induct k*)

**case** 0

**show** ?*case* **by** (*simp add: step*)

**next**

**case** (*Suc k*)

**thus** ?*case* **by** (auto intro: *assms*)

**qed**

**thus**  $P\ i\ j$  **by** (*simp add: j*)

**qed**

**lemma** *nat-induct2*:  $[[P\ 0; P\ (Suc\ 0); !!k. P\ k \Longrightarrow P\ (Suc\ (Suc\ k))]] \Longrightarrow P\ n$

**apply** (rule *nat-less-induct*)

**apply** (*case-tac n*)

**apply** (*case-tac [2] nat*)

**apply** (*blast intro: less-trans*) +

**done**

The method of infinite descent, frequently used in number theory. Provided by Roelof Oosterhuis.  $P(n)$  is true for all  $n \in \mathbb{N}$  if

- case “0”: given  $n = 0$  prove  $P(n)$ ,
- case “smaller”: given  $n > 0$  and  $\neg P(n)$  prove there exists a smaller integer  $m$  such that  $\neg P(m)$ .

A compact version without explicit base case:

**lemma** *infinite-descent*:

$[[!!n::\text{nat}. \neg P\ n \Longrightarrow \exists m < n. \neg P\ m]] \Longrightarrow P\ n$

**by** (*induct n rule: less-induct, auto*)

**lemma** *infinite-descent0*[*case-names 0 smaller*]:  
 $\llbracket P\ 0; !!n. n > 0 \implies \neg P\ n \implies (\exists m::nat. m < n \wedge \neg P\ m) \rrbracket \implies P\ n$   
**by** (*rule infinite-descent*) (*case-tac n > 0, auto*)

Infinite descent using a mapping to  $\mathbb{N}$ :  $P(x)$  is true for all  $x \in D$  if there exists a  $V : D \rightarrow \mathbb{N}$  and

- case “0”: given  $V(x) = 0$  prove  $P(x)$ ,
- case “smaller”: given  $V(x) > 0$  and  $\neg P(x)$  prove there exists a  $y \in D$  such that  $V(y) < V(x)$  and  $\neg P(y)$ .

NB: the proof also shows how to use the previous lemma.

**corollary** *infinite-descent0-measure* [*case-names 0 smaller*]:  
**assumes**  $A0: !!x. V\ x = (0::nat) \implies P\ x$   
**and**  $A1: !!x. V\ x > 0 \implies \neg P\ x \implies (\exists y. V\ y < V\ x \wedge \neg P\ y)$   
**shows**  $P\ x$   
**proof** –  
**obtain**  $n$  **where**  $n = V\ x$  **by** *auto*  
**moreover have**  $\bigwedge x. V\ x = n \implies P\ x$   
**proof** (*induct n rule: infinite-descent0*)  
**case** 0 — i.e.  $V(x) = 0$   
**with**  $A0$  **show**  $P\ x$  **by** *auto*  
**next** — now  $n > 0$  and  $P(x)$  does not hold for some  $x$  with  $V(x) = n$   
**case** (*smaller n*)  
**then obtain**  $x$  **where**  $vxn: V\ x = n$  **and**  $V\ x > 0 \wedge \neg P\ x$  **by** *auto*  
**with**  $A1$  **obtain**  $y$  **where**  $V\ y < V\ x \wedge \neg P\ y$  **by** *auto*  
**with**  $vxn$  **obtain**  $m$  **where**  $m = V\ y \wedge m < n \wedge \neg P\ y$  **by** *auto*  
**then show** *?case* **by** *auto*  
**qed**  
**ultimately show**  $P\ x$  **by** *auto*  
**qed**

Again, without explicit base case:

**lemma** *infinite-descent-measure*:  
**assumes**  $!!x. \neg P\ x \implies \exists y. (V::'a \Rightarrow nat) y < V\ x \wedge \neg P\ y$  **shows**  $P\ x$   
**proof** –  
**from** *assms* **obtain**  $n$  **where**  $n = V\ x$  **by** *auto*  
**moreover have**  $!!x. V\ x = n \implies P\ x$   
**proof** (*induct n rule: infinite-descent, auto*)  
**fix**  $x$  **assume**  $\neg P\ x$   
**with** *assms* **show**  $\exists m < V\ x. \exists y. V\ y = m \wedge \neg P\ y$  **by** *auto*  
**qed**  
**ultimately show**  $P\ x$  **by** *auto*  
**qed**

A [clumsy] way of lifting  $<$  monotonicity to  $\leq$  monotonicity

**lemma** *less-mono-imp-le-mono*:

$\llbracket \text{!} i j :: \text{nat}. i < j \implies f i < f j; i \leq j \rrbracket \implies f i \leq ((f j) :: \text{nat})$   
**by** (*simp add: order-le-less*) (*blast*)

non-strict, in 1st argument

**lemma** *add-le-mono1*:  $i \leq j \implies i + k \leq j + (k :: \text{nat})$   
**by** (*rule add-right-mono*)

non-strict, in both arguments

**lemma** *add-le-mono*:  $[i \leq j; k \leq l] \implies i + k \leq j + (l :: \text{nat})$   
**by** (*rule add-mono*)

**lemma** *le-add2*:  $n \leq ((m + n) :: \text{nat})$   
**by** (*insert add-right-mono [of 0 m n], simp*)

**lemma** *le-add1*:  $n \leq ((n + m) :: \text{nat})$   
**by** (*simp add: add-commute, rule le-add2*)

**lemma** *less-add-Suc1*:  $i < \text{Suc } (i + m)$   
**by** (*rule le-less-trans, rule le-add1, rule lessI*)

**lemma** *less-add-Suc2*:  $i < \text{Suc } (m + i)$   
**by** (*rule le-less-trans, rule le-add2, rule lessI*)

**lemma** *less-iff-Suc-add*:  $(m < n) = (\exists k. n = \text{Suc } (m + k))$   
**by** (*iprover intro!: less-add-Suc1 less-imp-Suc-add*)

**lemma** *trans-le-add1*:  $(i :: \text{nat}) \leq j \implies i \leq j + m$   
**by** (*rule le-trans, assumption, rule le-add1*)

**lemma** *trans-le-add2*:  $(i :: \text{nat}) \leq j \implies i \leq m + j$   
**by** (*rule le-trans, assumption, rule le-add2*)

**lemma** *trans-less-add1*:  $(i :: \text{nat}) < j \implies i < j + m$   
**by** (*rule less-le-trans, assumption, rule le-add1*)

**lemma** *trans-less-add2*:  $(i :: \text{nat}) < j \implies i < m + j$   
**by** (*rule less-le-trans, assumption, rule le-add2*)

**lemma** *add-lessD1*:  $i + j < (k :: \text{nat}) \implies i < k$   
**apply** (*rule le-less-trans [of - i+j]*)  
**apply** (*simp-all add: le-add1*)  
**done**

**lemma** *not-add-less1* [*iff*]:  $\sim (i + j < (i :: \text{nat}))$   
**apply** (*rule notI*)  
**apply** (*drule add-lessD1*)  
**apply** (*erule less-irrefl [THEN notE]*)  
**done**

**lemma** *not-add-less2* [*iff*]:  $\sim (j + i < (i::nat))$   
**by** (*simp add: add-commute*)

**lemma** *add-leD1*:  $m + k \leq n \implies m \leq (n::nat)$   
**apply** (*rule order-trans [of - m+k]*)  
**apply** (*simp-all add: le-add1*)  
**done**

**lemma** *add-leD2*:  $m + k \leq n \implies k \leq (n::nat)$   
**apply** (*simp add: add-commute*)  
**apply** (*erule add-leD1*)  
**done**

**lemma** *add-leE*:  $(m::nat) + k \leq n \implies (m \leq n \implies k \leq n \implies R) \implies R$   
**by** (*blast dest: add-leD1 add-leD2*)

needs !!*k* for *add-ac* to work

**lemma** *less-add-eq-less*:  $!!k::nat. k < l \implies m + l = k + n \implies m < n$   
**by** (*force simp del: add-Suc-right*  
*simp add: less-iff-Suc-add add-Suc-right [symmetric] add-ac*)

#### 14.4.8 More results about difference

Addition is the inverse of subtraction: if  $n \leq m$  then  $n + (m - n) = m$ .

**lemma** *add-diff-inverse*:  $\sim m < n \implies n + (m - n) = (m::nat)$   
**by** (*induct m n rule: diff-induct*) *simp-all*

**lemma** *le-add-diff-inverse* [*simp*]:  $n \leq m \implies n + (m - n) = (m::nat)$   
**by** (*simp add: add-diff-inverse linorder-not-less*)

**lemma** *le-add-diff-inverse2* [*simp*]:  $n \leq m \implies (m - n) + n = (m::nat)$   
**by** (*simp add: add-commute*)

**lemma** *Suc-diff-le*:  $n \leq m \implies \text{Suc } m - n = \text{Suc } (m - n)$   
**by** (*induct m n rule: diff-induct*) *simp-all*

**lemma** *diff-less-Suc*:  $m - n < \text{Suc } m$   
**apply** (*induct m n rule: diff-induct*)  
**apply** (*erule-tac [3] less-SucE*)  
**apply** (*simp-all add: less-Suc-eq*)  
**done**

**lemma** *diff-le-self* [*simp*]:  $m - n \leq (m::nat)$   
**by** (*induct m n rule: diff-induct*) (*simp-all add: le-SucI*)

**lemma** *le-iff-add*:  $(m::nat) \leq n = (\exists k. n = m + k)$   
**by** (*auto simp: le-add1 dest!: le-add-diff-inverse sym [of - n]*)

**lemma** *less-imp-diff-less*:  $(j::nat) < k \implies j - n < k$



**by** (*rule le-less-trans*, *rule diff-le-self*)

**lemma** *diff-Suc-less* [*simp*]:  $0 < n \implies n - \text{Suc } i < n$   
**by** (*cases n*) (*auto simp add: le-simps*)

**lemma** *diff-add-assoc*:  $k \leq (j::\text{nat}) \implies (i + j) - k = i + (j - k)$   
**by** (*induct j k rule: diff-induct*) *simp-all*

**lemma** *diff-add-assoc2*:  $k \leq (j::\text{nat}) \implies (j + i) - k = (j - k) + i$   
**by** (*simp add: add-commute diff-add-assoc*)

**lemma** *le-imp-diff-is-add*:  $i \leq (j::\text{nat}) \implies (j - i = k) = (j = k + i)$   
**by** (*auto simp add: diff-add-inverse2*)

**lemma** *diff-is-0-eq* [*simp*]:  $((m::\text{nat}) - n = 0) = (m \leq n)$   
**by** (*induct m n rule: diff-induct*) *simp-all*

**lemma** *diff-is-0-eq'* [*simp*]:  $m \leq n \implies (m::\text{nat}) - n = 0$   
**by** (*rule iffD2*, *rule diff-is-0-eq*)

**lemma** *zero-less-diff* [*simp*]:  $(0 < n - (m::\text{nat})) = (m < n)$   
**by** (*induct m n rule: diff-induct*) *simp-all*

**lemma** *less-imp-add-positive*:  
**assumes**  $i < j$   
**shows**  $\exists k::\text{nat}. 0 < k \ \& \ i + k = j$   
**proof**  
**from** *assms* **show**  $0 < j - i \ \& \ i + (j - i) = j$   
**by** (*simp add: order-less-imp-le*)  
**qed**

a nice rewrite for bounded subtraction

**lemma** *nat-minus-add-max*:  
**fixes**  $n \ m :: \text{nat}$   
**shows**  $n - m + m = \max \ n \ m$   
**by** (*simp add: max-def not-le order-less-imp-le*)

**lemma** *nat-diff-split*:  
 $P(a - b::\text{nat}) = ((a < b \dashrightarrow P \ 0) \ \& \ (\text{ALL } d. a = b + d \dashrightarrow P \ d))$   
 — elimination of  $-$  on *nat*  
**by** (*cases a < b*)  
 (*auto simp add: diff-is-0-eq [THEN iffD2] diff-add-inverse*  
*not-less le-less dest!: sym [of a] sym [of b] add-eq-self-zero*)

**lemma** *nat-diff-split-asm*:  
 $P(a - b::\text{nat}) = (\sim (a < b \ \& \ \sim P \ 0) \mid (\text{EX } d. a = b + d \ \& \ \sim P \ d))$   
 — elimination of  $-$  on *nat* in assumptions  
**by** (*auto split: nat-diff-split*)

#### 14.4.9 Monotonicity of Multiplication

**lemma** *mult-le-mono1*:  $i \leq (j::nat) \implies i * k \leq j * k$   
**by** (*simp add: mult-right-mono*)

**lemma** *mult-le-mono2*:  $i \leq (j::nat) \implies k * i \leq k * j$   
**by** (*simp add: mult-left-mono*)

$\leq$  monotonicity, BOTH arguments

**lemma** *mult-le-mono*:  $i \leq (j::nat) \implies k \leq l \implies i * k \leq j * l$   
**by** (*simp add: mult-mono*)

**lemma** *mult-less-mono1*:  $(i::nat) < j \implies 0 < k \implies i * k < j * k$   
**by** (*simp add: mult-strict-right-mono*)

Differs from the standard *zero-less-mult-iff* in that there are no negative numbers.

**lemma** *nat-0-less-mult-iff* [*simp*]:  $(0 < (m::nat) * n) = (0 < m \ \& \ 0 < n)$   
**apply** (*induct m*)  
**apply** *simp*  
**apply** (*case-tac n*)  
**apply** *simp-all*  
**done**

**lemma** *one-le-mult-iff* [*simp*]:  $(Suc \ 0 \leq m * n) = (1 \leq m \ \& \ 1 \leq n)$   
**apply** (*induct m*)  
**apply** *simp*  
**apply** (*case-tac n*)  
**apply** *simp-all*  
**done**

**lemma** *mult-less-cancel2* [*simp*]:  $((m::nat) * k < n * k) = (0 < k \ \& \ m < n)$   
**apply** (*safe intro!: mult-less-mono1*)  
**apply** (*case-tac k, auto*)  
**apply** (*simp del: le-0-eq add: linorder-not-le [symmetric]*)  
**apply** (*blast intro: mult-le-mono1*)  
**done**

**lemma** *mult-less-cancel1* [*simp*]:  $(k * (m::nat) < k * n) = (0 < k \ \& \ m < n)$   
**by** (*simp add: mult-commute [of k]*)

**lemma** *mult-le-cancel1* [*simp*]:  $(k * (m::nat) \leq k * n) = (0 < k \ \longrightarrow m \leq n)$   
**by** (*simp add: linorder-not-less [symmetric], auto*)

**lemma** *mult-le-cancel2* [*simp*]:  $((m::nat) * k \leq n * k) = (0 < k \ \longrightarrow m \leq n)$   
**by** (*simp add: linorder-not-less [symmetric], auto*)

**lemma** *Suc-mult-less-cancel1*:  $(Suc \ k * m < Suc \ k * n) = (m < n)$   
**by** (*subst mult-less-cancel1*) *simp*

**lemma** *Suc-mult-le-cancel1*:  $(\text{Suc } k * m \leq \text{Suc } k * n) = (m \leq n)$   
**by** (*subst mult-le-cancel1*) *simp*

**lemma** *le-square*:  $m \leq m * (m::\text{nat})$   
**by** (*cases m*) (*auto intro: le-add1*)

**lemma** *le-cube*:  $(m::\text{nat}) \leq m * (m * m)$   
**by** (*cases m*) (*auto intro: le-add1*)

Lemma for *gcd*

**lemma** *mult-eq-self-implies-10*:  $(m::\text{nat}) = m * n ==> n = 1 \mid m = 0$   
**apply** (*drule sym*)  
**apply** (*rule disjCI*)  
**apply** (*rule nat-less-cases, erule-tac [2] -*)  
**apply** (*drule-tac [2] mult-less-mono2*)  
**apply** (*auto*)  
**done**

the lattice order on *nat*

**instantiation** *nat* :: *distrib-lattice*  
**begin**

**definition**  
 $(\text{inf} :: \text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat}) = \text{min}$

**definition**  
 $(\text{sup} :: \text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat}) = \text{max}$

**instance** **by** *intro-classes*  
 $(\text{auto simp add: inf-nat-def sup-nat-def max-def not-le min-def}$   
 $\text{intro: order-less-imp-le antisym elim!: order-trans order-less-trans})$

**end**

## 14.5 Embedding of the Naturals into any *semiring-1*: *of-nat*

**context** *semiring-1*  
**begin**

**primrec**  
 $\text{of-nat} :: \text{nat} \Rightarrow 'a$   
**where**  
 $\text{of-nat-0: } \text{of-nat } 0 = 0$   
 $\mid \text{of-nat-Suc: } \text{of-nat } (\text{Suc } m) = 1 + \text{of-nat } m$

**lemma** *of-nat-1* [*simp*]:  $\text{of-nat } 1 = 1$   
**by** *simp*

**lemma** *of-nat-add* [*simp*]:  $of\text{-}nat\ (m + n) = of\text{-}nat\ m + of\text{-}nat\ n$   
**by** (*induct m*) (*simp-all add: add-ac*)

**lemma** *of-nat-mult*:  $of\text{-}nat\ (m * n) = of\text{-}nat\ m * of\text{-}nat\ n$   
**by** (*induct m*) (*simp-all add: add-ac left-distrib*)

**definition**

*of-nat-aux* ::  $nat \Rightarrow 'a \Rightarrow 'a$

**where**

[*code func del*]:  $of\text{-}nat\text{-}aux\ n\ i = of\text{-}nat\ n + i$

**lemma** *of-nat-aux-code* [*code*]:  
*of-nat-aux* 0 *i* = *i*  
*of-nat-aux* (*Suc n*) *i* = *of-nat-aux n* (*i* + 1) — tail recursive  
**by** (*simp-all add: of-nat-aux-def add-ac*)

**lemma** *of-nat-code* [*code*]:  
 $of\text{-}nat\ n = of\text{-}nat\text{-}aux\ n\ 0$   
**by** (*simp add: of-nat-aux-def*)

**end**

Class for unital semirings with characteristic zero. Includes non-ordered rings like the complex numbers.

**class** *semiring-char-0* = *semiring-1* +  
**assumes** *of-nat-eq-iff* [*simp*]:  $of\text{-}nat\ m = of\text{-}nat\ n \longleftrightarrow m = n$   
**begin**

Special cases where either operand is zero

**lemma** *of-nat-0-eq-iff* [*simp, noatp*]:  $0 = of\text{-}nat\ n \longleftrightarrow 0 = n$   
**by** (*rule of-nat-eq-iff [of 0, simplified]*)

**lemma** *of-nat-eq-0-iff* [*simp, noatp*]:  $of\text{-}nat\ m = 0 \longleftrightarrow m = 0$   
**by** (*rule of-nat-eq-iff [of - 0, simplified]*)

**lemma** *inj-of-nat*: *inj of-nat*  
**by** (*simp add: inj-on-def*)

**end**

**context** *ordered-semidom*  
**begin**

**lemma** *zero-le-imp-of-nat*:  $0 \leq of\text{-}nat\ m$   
**apply** (*induct m, simp-all*)  
**apply** (*erule order-trans*)  
**apply** (*rule ord-le-eq-trans [OF - add-commute]*)  
**apply** (*rule less-add-one [THEN less-imp-le]*)  
**done**

**lemma** *less-imp-of-nat-less*:  $m < n \implies \text{of-nat } m < \text{of-nat } n$   
**apply** (*induct*  $m$   $n$  *rule*: *diff-induct*, *simp-all*)  
**apply** (*insert* *add-less-le-mono* [*OF* *zero-less-one* *zero-le-imp-of-nat*], *force*)  
**done**

**lemma** *of-nat-less-imp-less*:  $\text{of-nat } m < \text{of-nat } n \implies m < n$   
**apply** (*induct*  $m$   $n$  *rule*: *diff-induct*, *simp-all*)  
**apply** (*insert* *zero-le-imp-of-nat*)  
**apply** (*force* *simp* *add*: *not-less* [*symmetric*])  
**done**

**lemma** *of-nat-less-iff* [*simp*]:  $\text{of-nat } m < \text{of-nat } n \longleftrightarrow m < n$   
**by** (*blast* *intro*: *of-nat-less-imp-less* *less-imp-of-nat-less*)

**lemma** *of-nat-le-iff* [*simp*]:  $\text{of-nat } m \leq \text{of-nat } n \longleftrightarrow m \leq n$   
**by** (*simp* *add*: *not-less* [*symmetric*] *linorder-not-less* [*symmetric*])

Every *ordered-semidom* has characteristic zero.

**subclass** *semiring-char-0*  
**by** (*unfold-locales* (*simp* *add*: *eq-iff* *order-eq-iff*))

Special cases where either operand is zero

**lemma** *of-nat-0-le-iff* [*simp*]:  $0 \leq \text{of-nat } n$   
**by** (*rule* *of-nat-le-iff* [*of*  $0$ , *simplified*])

**lemma** *of-nat-le-0-iff* [*simp*, *noatp*]:  $\text{of-nat } m \leq 0 \longleftrightarrow m = 0$   
**by** (*rule* *of-nat-le-iff* [*of*  $- 0$ , *simplified*])

**lemma** *of-nat-0-less-iff* [*simp*]:  $0 < \text{of-nat } n \longleftrightarrow 0 < n$   
**by** (*rule* *of-nat-less-iff* [*of*  $0$ , *simplified*])

**lemma** *of-nat-less-0-iff* [*simp*]:  $\neg \text{of-nat } m < 0$   
**by** (*rule* *of-nat-less-iff* [*of*  $- 0$ , *simplified*])

**end**

**context** *ring-1*  
**begin**

**lemma** *of-nat-diff*:  $n \leq m \implies \text{of-nat } (m - n) = \text{of-nat } m - \text{of-nat } n$   
**by** (*simp* *add*: *compare-rls* *of-nat-add* [*symmetric*])

**end**

**context** *ordered-idom*  
**begin**

**lemma** *abs-of-nat* [*simp*]:  $|\text{of-nat } n| = \text{of-nat } n$

```

    unfolding abs-if by auto

end

lemma of-nat-id [simp]: of-nat n = n
  by (induct n) auto

lemma of-nat-eq-id [simp]: of-nat = id
  by (auto simp add: expand-fun-eq)

```

## 14.6 The Set of Natural Numbers

```

context semiring-1
begin

```

```

definition
  Nats :: 'a set where
  Nats = range of-nat

```

```

notation (xsymbols)
  Nats ( $\mathbb{N}$ )

```

```

lemma of-nat-in-Nats [simp]: of-nat n  $\in \mathbb{N}$ 
  by (simp add: Nats-def)

```

```

lemma Nats-0 [simp]:  $0 \in \mathbb{N}$ 
  apply (simp add: Nats-def)
  apply (rule range-eqI)
  apply (rule of-nat-0 [symmetric])
done

```

```

lemma Nats-1 [simp]:  $1 \in \mathbb{N}$ 
  apply (simp add: Nats-def)
  apply (rule range-eqI)
  apply (rule of-nat-1 [symmetric])
done

```

```

lemma Nats-add [simp]:  $a \in \mathbb{N} \implies b \in \mathbb{N} \implies a + b \in \mathbb{N}$ 
  apply (auto simp add: Nats-def)
  apply (rule range-eqI)
  apply (rule of-nat-add [symmetric])
done

```

```

lemma Nats-mult [simp]:  $a \in \mathbb{N} \implies b \in \mathbb{N} \implies a * b \in \mathbb{N}$ 
  apply (auto simp add: Nats-def)
  apply (rule range-eqI)
  apply (rule of-nat-mult [symmetric])
done

```

end

## 14.7 Further Arithmetic Facts Concerning the Natural Numbers

**lemma** *subst-equals*:

assumes  $1: t = s$  and  $2: u = t$

shows  $u = s$

using  $2\ 1$  by (rule trans)

**use** *arith-data.ML*

**declaration**  $\langle\langle K\ ArithData.setup \rangle\rangle$

**use** *Tools/lin-arith.ML*

**declaration**  $\langle\langle K\ LinArith.setup \rangle\rangle$

**lemmas**  $[arith-split] = nat-diff-split\ split-min\ split-max$

Subtraction laws, mostly by Clemens Ballarin

**lemma** *diff-less-mono*:  $[| a < (b::nat); c \leq a |] ==> a - c < b - c$

**by** *arith*

**lemma** *less-diff-conv*:  $(i < j - k) = (i + k < (j::nat))$

**by** *arith*

**lemma** *le-diff-conv*:  $(j - k \leq (i::nat)) = (j \leq i + k)$

**by** *arith*

**lemma** *le-diff-conv2*:  $k \leq j ==> (i \leq j - k) = (i + k \leq (j::nat))$

**by** *arith*

**lemma** *diff-diff-cancel* [*simp*]:  $i \leq (n::nat) ==> n - (n - i) = i$

**by** *arith*

**lemma** *le-add-diff*:  $k \leq (n::nat) ==> m \leq n + m - k$

**by** *arith*

**lemma** *diff-less*[*simp*]:  $!!m::nat. [| 0 < n; 0 < m |] ==> m - n < m$

**by** *arith*

Simplification of relational expressions involving subtraction

**lemma** *diff-diff-eq*:  $[| k \leq m; k \leq (n::nat) |] ==> ((m - k) - (n - k)) = (m - n)$

**by** (*simp split add: nat-diff-split*)

**lemma** *eq-diff-iff*:  $[| k \leq m; k \leq (n::nat) |] ==> (m - k = n - k) = (m = n)$

**by** (*auto split add: nat-diff-split*)

**lemma** *less-diff-iff*:  $[| k \leq m; k \leq (n::nat) |] ==> (m - k < n - k) = (m < n)$

**by** (*auto split add: nat-diff-split*)

**lemma** *le-diff-iff*:  $[[k \leq m; k \leq (n::nat)]] \implies (m-k \leq n-k) = (m \leq n)$   
**by** (*auto split add: nat-diff-split*)

(Anti)Monotonicity of subtraction – by Stephan Merz

**lemma** *diff-le-mono*:  $m \leq (n::nat) \implies (m-l) \leq (n-l)$   
**by** (*simp split add: nat-diff-split*)

**lemma** *diff-le-mono2*:  $m \leq (n::nat) \implies (l-n) \leq (l-m)$   
**by** (*simp split add: nat-diff-split*)

**lemma** *diff-less-mono2*:  $[[m < (n::nat); m < l]] \implies (l-n) < (l-m)$   
**by** (*simp split add: nat-diff-split*)

**lemma** *diffs0-imp-equal*:  $!!m::nat. [[m-n = 0; n-m = 0]] \implies m=n$   
**by** (*simp split add: nat-diff-split*)

**lemma** *min-diff*:  $\min(m - (i::nat)) (n - i) = \min m n - i$   
**unfolding** *min-def* **by** *auto*

**lemma** *inj-on-diff-nat*:  
**assumes** *k-le-n*:  $\forall n \in N. k \leq (n::nat)$   
**shows** *inj-on*  $(\lambda n. n - k)$  *N*  
**proof** (*rule inj-onI*)  
**fix** *x y*  
**assume** *a*:  $x \in N \ y \in N \ x - k = y - k$   
**with** *k-le-n* **have**  $x - k + k = y - k + k$  **by** *auto*  
**with** *a k-le-n* **show**  $x = y$  **by** *auto*  
**qed**

Rewriting to pull differences out

**lemma** *diff-diff-right* [*simp*]:  $k \leq j \implies i - (j - k) = i + (k::nat) - j$   
**by** *arith*

**lemma** *diff-Suc-diff-eq1* [*simp*]:  $k \leq j \implies m - \text{Suc } (j - k) = m + k - \text{Suc } j$   
**by** *arith*

**lemma** *diff-Suc-diff-eq2* [*simp*]:  $k \leq j \implies \text{Suc } (j - k) - m = \text{Suc } j - (k + m)$   
**by** *arith*

Lemmas for ex/Factorization

**lemma** *one-less-mult*:  $[[\text{Suc } 0 < n; \text{Suc } 0 < m]] \implies \text{Suc } 0 < m*n$   
**by** (*cases m*) *auto*

**lemma** *n-less-m-mult-n*:  $[[\text{Suc } 0 < n; \text{Suc } 0 < m]] \implies n < m*n$   
**by** (*cases m*) *auto*

**lemma** *n-less-n-mult-m*:  $[[\text{Suc } 0 < n; \text{Suc } 0 < m]] \implies n < n*m$



**by** (*cases m*) *auto*

Specialized induction principles that work ”backwards”:

**lemma** *inc-induct*[*consumes 1, case-names base step*]:

**assumes** *less*:  $i \leq j$

**assumes** *base*:  $P\ j$

**assumes** *step*:  $\forall i. [\ i < j; P\ (Suc\ i)\ ] \implies P\ i$

**shows**  $P\ i$

**using** *less*

**proof** (*induct d==j - i arbitrary: i*)

**case** ( $0\ i$ )

**hence**  $i = j$  **by** *simp*

**with** *base* **show** *?case* **by** *simp*

**next**

**case** ( $Suc\ d\ i$ )

**hence**  $i < j$   $P\ (Suc\ i)$

**by** *simp-all*

**thus**  $P\ i$  **by** (*rule step*)

**qed**

**lemma** *strict-inc-induct*[*consumes 1, case-names base step*]:

**assumes** *less*:  $i < j$

**assumes** *base*:  $\forall i. j = Suc\ i \implies P\ i$

**assumes** *step*:  $\forall i. [\ i < j; P\ (Suc\ i)\ ] \implies P\ i$

**shows**  $P\ i$

**using** *less*

**proof** (*induct d==j - i - 1 arbitrary: i*)

**case** ( $0\ i$ )

**with**  $\langle i < j \rangle$  **have**  $j = Suc\ i$  **by** *simp*

**with** *base* **show** *?case* **by** *simp*

**next**

**case** ( $Suc\ d\ i$ )

**hence**  $i < j$   $P\ (Suc\ i)$

**by** *simp-all*

**thus**  $P\ i$  **by** (*rule step*)

**qed**

**lemma** *zero-induct-lemma*:  $P\ k \implies (\forall n. P\ (Suc\ n) \implies P\ n) \implies P\ (k - i)$

**using** *inc-induct*[*of k - i k P, simplified*] **by** *blast*

**lemma** *zero-induct*:  $P\ k \implies (\forall n. P\ (Suc\ n) \implies P\ n) \implies P\ 0$

**using** *inc-induct*[*of 0 k P*] **by** *blast*

**lemma** *nat-not-singleton*:  $(\forall x. x = (0::nat)) = False$

**by** *auto*

**lemmas** *add-diff-assoc* = *diff-add-assoc* [*symmetric*]

**lemmas** *add-diff-assoc2* = *diff-add-assoc2* [*symmetric*]

**declare** *diff-diff-left* [*simp*] *add-diff-assoc* [*simp*] *add-diff-assoc2* [*simp*]

At present we prove no analogue of *not-less-Least* or *Least-Suc*, since there appears to be no need.

## 14.8 size of a datatype value

**class** *size* = *type* +  
     **fixes** *size* :: 'a  $\Rightarrow$  nat — see further theory *Wellfounded*  
**end**

## 15 Power: Exponentiation

**theory** *Power*  
**imports** *Nat*  
**begin**

**class** *power* = *type* +  
     **fixes** *power* :: 'a  $\Rightarrow$  nat  $\Rightarrow$  'a      (**infixr** ^ 80)

### 15.1 Powers for Arbitrary Monoids

**class** *recpower* = *monoid-mult* + *power* +  
     **assumes** *power-0* [*simp*]:  $a \wedge 0 = 1$   
     **assumes** *power-Suc*:  $a \wedge \text{Suc } n = a * (a \wedge n)$

**lemma** *power-0-Suc* [*simp*]:  $(0::'a::\{\text{recpower}, \text{semiring-0}\}) \wedge (\text{Suc } n) = 0$   
     **by** (*simp add: power-Suc*)

It looks plausible as a simprule, but its effect can be strange.

**lemma** *power-0-left*:  $0 \wedge n = (\text{if } n=0 \text{ then } 1 \text{ else } (0::'a::\{\text{recpower}, \text{semiring-0}\}))$   
     **by** (*induct n simp-all*)

**lemma** *power-one* [*simp*]:  $1 \wedge n = (1::'a::\text{recpower})$   
     **by** (*induct n (simp-all add: power-Suc)*)

**lemma** *power-one-right* [*simp*]:  $(a::'a::\text{recpower}) \wedge 1 = a$   
     **by** (*simp add: power-Suc*)

**lemma** *power-commutes*:  $(a::'a::\text{recpower}) \wedge n * a = a * a \wedge n$   
     **by** (*induct n (simp-all add: power-Suc mult-assoc)*)

**lemma** *power-add*:  $(a::'a::\text{recpower}) \wedge (m+n) = (a \wedge m) * (a \wedge n)$   
     **by** (*induct m (simp-all add: power-Suc mult-ac)*)

**lemma** *power-mult*:  $(a::'a::\text{recpower}) \wedge (m*n) = (a \wedge m) \wedge n$

by (induct n) (simp-all add: power-Suc power-add)

**lemma** power-mult-distrib:  $((a::'a::\{\text{recpower}, \text{comm-monoid-mult}\}) * b) ^ n = (a ^ n) * (b ^ n)$   
 by (induct n) (simp-all add: power-Suc mult-ac)

**lemma** zero-less-power[simp]:  
 $0 < (a::'a::\{\text{ordered-semidom}, \text{recpower}\}) \implies 0 < a ^ n$   
 apply (induct n)  
 apply (simp-all add: power-Suc zero-less-one mult-pos-pos)  
 done

**lemma** zero-le-power[simp]:  
 $0 \leq (a::'a::\{\text{ordered-semidom}, \text{recpower}\}) \implies 0 \leq a ^ n$   
 apply (simp add: order-le-less)  
 apply (erule disjE)  
 apply (simp-all add: zero-less-one power-0-left)  
 done

**lemma** one-le-power[simp]:  
 $1 \leq (a::'a::\{\text{ordered-semidom}, \text{recpower}\}) \implies 1 \leq a ^ n$   
 apply (induct n)  
 apply (simp-all add: power-Suc)  
 apply (rule order-trans [OF - mult-mono [of 1 - 1]])  
 apply (simp-all add: zero-le-one order-trans [OF zero-le-one])  
 done

**lemma** gt1-imp-ge0:  $1 < a \implies 0 \leq (a::'a::\text{ordered-semidom})$   
 by (simp add: order-trans [OF zero-le-one order-less-imp-le])

**lemma** power-gt1-lemma:  
 assumes gt1:  $1 < (a::'a::\{\text{ordered-semidom}, \text{recpower}\})$   
 shows  $1 < a * a ^ n$   
 proof -  
 have  $1 * 1 < a * 1$  using gt1 by simp  
 also have  $\dots \leq a * a ^ n$  using gt1  
 by (simp only: mult-mono gt1-imp-ge0 one-le-power order-less-imp-le  
 zero-le-one order-refl)  
 finally show ?thesis by simp  
 qed

**lemma** one-less-power[simp]:  
 $\llbracket 1 < (a::'a::\{\text{ordered-semidom}, \text{recpower}\}); 0 < n \rrbracket \implies 1 < a ^ n$   
 by (cases n, simp-all add: power-gt1-lemma power-Suc)

**lemma** power-gt1:  
 $1 < (a::'a::\{\text{ordered-semidom}, \text{recpower}\}) \implies 1 < a ^ (\text{Suc } n)$   
 by (simp add: power-gt1-lemma power-Suc)

```

lemma power-le-imp-le-exp:
  assumes gt1:  $(1::'a::\{\text{recpower}, \text{ordered-semidom}\}) < a$ 
  shows  $!!n. a^m \leq a^n \implies m \leq n$ 
proof (induct m)
  case 0
  show ?case by simp
next
  case (Suc m)
  show ?case
  proof (cases n)
  case 0
  from prems have  $a * a^m \leq 1$  by (simp add: power-Suc)
  with gt1 show ?thesis
  by (force simp only: power-gt1-lemma
    linorder-not-less [symmetric])
  next
  case (Suc n)
  from prems show ?thesis
  by (force dest: mult-left-le-imp-le
    simp add: power-Suc order-less-trans [OF zero-less-one gt1])
qed
qed

```

Surely we can strengthen this? It holds for  $0 < a < 1$  too.

```

lemma power-inject-exp [simp]:
   $1 < (a::'a::\{\text{ordered-semidom}, \text{recpower}\}) \implies (a^m = a^n) = (m=n)$ 
by (force simp add: order-antisym power-le-imp-le-exp)

```

Can relax the first premise to  $(0::'a) < a$  in the case of the natural numbers.

```

lemma power-less-imp-less-exp:
   $[(1::'a::\{\text{recpower}, \text{ordered-semidom}\}) < a; a^m < a^n] \implies m < n$ 
by (simp add: order-less-le [of m n] order-less-le [of a^m a^n]
  power-le-imp-le-exp)

```

```

lemma power-mono:
   $[a \leq b; (0::'a::\{\text{recpower}, \text{ordered-semidom}\}) \leq a] \implies a^n \leq b^n$ 
apply (induct n)
apply (simp-all add: power-Suc)
apply (auto intro: mult-mono order-trans [of 0 a b])
done

```

```

lemma power-strict-mono [rule-format]:
   $[a < b; (0::'a::\{\text{recpower}, \text{ordered-semidom}\}) \leq a] \implies 0 < n \longrightarrow a^n < b^n$ 
apply (induct n)
apply (auto simp add: mult-strict-mono power-Suc
  order-le-less-trans [of 0 a b])
done

```

```

lemma power-eq-0-iff [simp]:
   $(a^n = 0) = (a = (0 :: 'a :: \{\text{ring-1-no-zero-divisors}, \text{recpower}\}) \ \& \ n > 0)$ 
apply (induct n)
apply (auto simp add: power-Suc zero-neq-one [THEN not-sym])
done

```

```

lemma field-power-not-zero:
   $a \neq (0 :: 'a :: \{\text{ring-1-no-zero-divisors}, \text{recpower}\}) \implies a^n \neq 0$ 
by force

```

```

lemma nonzero-power-inverse:
  fixes a :: 'a :: {division-ring, recpower}
  shows  $a \neq 0 \implies \text{inverse } (a^n) = (\text{inverse } a)^n$ 
apply (induct n)
apply (auto simp add: power-Suc nonzero-inverse-mult-distrib power-commutes)
done

```

Perhaps these should be simprules.

```

lemma power-inverse:
  fixes a :: 'a :: {division-ring, division-by-zero, recpower}
  shows  $\text{inverse } (a^n) = (\text{inverse } a)^n$ 
apply (cases  $a = 0$ )
apply (simp add: power-0-left)
apply (simp add: nonzero-power-inverse)
done

```

```

lemma power-one-over:  $1 / (a :: 'a :: \{\text{field}, \text{division-by-zero}, \text{recpower}\})^n =$ 
   $(1 / a)^n$ 
apply (simp add: divide-inverse)
apply (rule power-inverse)
done

```

```

lemma nonzero-power-divide:
   $b \neq 0 \implies (a/b)^n = ((a :: 'a :: \{\text{field}, \text{recpower}\})^n) / (b^n)$ 
by (simp add: divide-inverse power-mult-distrib nonzero-power-inverse)

```

```

lemma power-divide:
   $(a/b)^n = ((a :: 'a :: \{\text{field}, \text{division-by-zero}, \text{recpower}\})^n) / b^n$ 
apply (case-tac  $b=0$ , simp add: power-0-left)
apply (rule nonzero-power-divide)
apply assumption
done

```

```

lemma power-abs:  $\text{abs}(a^n) = \text{abs}(a :: 'a :: \{\text{ordered-idom}, \text{recpower}\})^n$ 
apply (induct n)
apply (auto simp add: power-Suc abs-mult)
done

```

**lemma** *zero-less-power-abs-iff* [simp,noatp]:  
 $(0 < (abs\ a)^n) = (a \neq (0::'a::\{\text{ordered-idom}, \text{recpower}\}) \mid n=0)$   
**proof** (induct n)  
 case 0  
 show ?case by (simp add: zero-less-one)  
 next  
 case (Suc n)  
 show ?case by (auto simp add: prems power-Suc zero-less-mult-iff  
 abs-zero)  
**qed**

**lemma** *zero-le-power-abs* [simp]:  
 $(0::'a::\{\text{ordered-idom}, \text{recpower}\}) \leq (abs\ a)^n$   
**by** (rule zero-le-power [OF abs-ge-zero])

**lemma** *power-minus*:  $(-a)^n = (-1)^n * (a::'a::\{\text{comm-ring-1}, \text{recpower}\})^n$   
**proof** –  
 have  $-a = (-1) * a$  by (simp add: minus-mult-left [symmetric])  
 thus ?thesis by (simp only: power-mult-distrib)  
**qed**

Lemma for *power-strict-decreasing*

**lemma** *power-Suc-less*:  
 $[(0::'a::\{\text{ordered-semidom}, \text{recpower}\}) < a; a < 1] \implies a * a^n < a^{n+1}$   
**apply** (induct n)  
**apply** (auto simp add: power-Suc mult-strict-left-mono)  
**done**

**lemma** *power-strict-decreasing*:  
 $[n < N; 0 < a; a < (1::'a::\{\text{ordered-semidom}, \text{recpower}\})] \implies a^N < a^n$   
**apply** (erule rev-mp)  
**apply** (induct N)  
**apply** (auto simp add: power-Suc power-Suc-less less-Suc-eq)  
**apply** (rename-tac m)  
**apply** (subgoal-tac  $a * a^m < 1 * a^n$ , simp)  
**apply** (rule mult-strict-mono)  
**apply** (auto simp add: zero-less-one order-less-imp-le)  
**done**

Proof resembles that of *power-strict-decreasing*

**lemma** *power-decreasing*:  
 $[n \leq N; 0 \leq a; a \leq (1::'a::\{\text{ordered-semidom}, \text{recpower}\})] \implies a^N \leq a^n$   
**apply** (erule rev-mp)  
**apply** (induct N)  
**apply** (auto simp add: power-Suc le-Suc-eq)  
**apply** (rename-tac m)

```

apply (subgoal-tac  $a * a^m \leq 1 * a^n$ , simp)
apply (rule mult-mono)
apply (auto simp add: zero-le-one)
done

```

**lemma** *power-Suc-less-one*:

```

  [|  $0 < a$ ;  $a < (1::'a::\{\text{ordered-semidom,recpower}\})$  |] ==>  $a^{\text{Suc } n} < 1$ 
apply (insert power-strict-decreasing [of  $0 \text{ Suc } n \ a$ ], simp)
done

```

Proof again resembles that of *power-strict-decreasing*

**lemma** *power-increasing*:

```

  [|  $n \leq N$ ;  $(1::'a::\{\text{ordered-semidom,recpower}\}) \leq a$  |] ==>  $a^n \leq a^N$ 
apply (erule rev-mp)
apply (induct N)
apply (auto simp add: power-Suc le-Suc-eq)
apply (rename-tac m)
apply (subgoal-tac  $1 * a^n \leq a * a^m$ , simp)
apply (rule mult-mono)
apply (auto simp add: order-trans [OF zero-le-one])
done

```

Lemma for *power-strict-increasing*

**lemma** *power-less-power-Suc*:

```

  ( $1::'a::\{\text{ordered-semidom,recpower}\}) < a$  ==>  $a^n < a * a^n$ 
apply (induct n)
apply (auto simp add: power-Suc mult-strict-left-mono order-less-trans [OF zero-less-one])
done

```

**lemma** *power-strict-increasing*:

```

  [|  $n < N$ ;  $(1::'a::\{\text{ordered-semidom,recpower}\}) < a$  |] ==>  $a^n < a^N$ 
apply (erule rev-mp)
apply (induct N)
apply (auto simp add: power-less-power-Suc power-Suc less-Suc-eq)
apply (rename-tac m)
apply (subgoal-tac  $1 * a^n < a * a^m$ , simp)
apply (rule mult-strict-mono)
apply (auto simp add: order-less-trans [OF zero-less-one] order-less-imp-le)
done

```

**lemma** *power-increasing-iff* [simp]:

```

   $1 < (b::'a::\{\text{ordered-semidom,recpower}\})$  ==>  $(b^x \leq b^y) = (x \leq y)$ 
by (blast intro: power-le-imp-le-exp power-increasing order-less-imp-le)

```

**lemma** *power-strict-increasing-iff* [simp]:

```

   $1 < (b::'a::\{\text{ordered-semidom,recpower}\})$  ==>  $(b^x < b^y) = (x < y)$ 
by (blast intro: power-less-imp-less-exp power-strict-increasing)

```

**lemma** *power-le-imp-le-base*:

```

assumes  $le: a \wedge \text{Suc } n \leq b \wedge \text{Suc } n$ 
and  $ynonneg: (0 :: 'a :: \{\text{ordered-semidom}, \text{recpower}\}) \leq b$ 
shows  $a \leq b$ 
proof (rule ccontr)
  assume  $\sim a \leq b$ 
  then have  $b < a$  by (simp only: linorder-not-le)
  then have  $b \wedge \text{Suc } n < a \wedge \text{Suc } n$ 
    by (simp only: prems power-strict-mono)
  from le and this show False
    by (simp add: linorder-not-less [symmetric])
qed

```

```

lemma power-less-imp-less-base:
  fixes  $a b :: 'a :: \{\text{ordered-semidom}, \text{recpower}\}$ 
  assumes less:  $a \wedge n < b \wedge n$ 
  assumes nonneg:  $0 \leq b$ 
  shows  $a < b$ 
proof (rule contrapos-pp [OF less])
  assume  $\sim a < b$ 
  hence  $b \leq a$  by (simp only: linorder-not-less)
  hence  $b \wedge n \leq a \wedge n$  using nonneg by (rule power-mono)
  thus  $\sim a \wedge n < b \wedge n$  by (simp only: linorder-not-less)
qed

```

```

lemma power-inject-base:
   $\llbracket a \wedge \text{Suc } n = b \wedge \text{Suc } n; 0 \leq a; 0 \leq b \rrbracket$ 
   $\implies a = (b :: 'a :: \{\text{ordered-semidom}, \text{recpower}\})$ 
by (blast intro: power-le-imp-le-base order-antisym order-eq-refl sym)

```

```

lemma power-eq-imp-eq-base:
  fixes  $a b :: 'a :: \{\text{ordered-semidom}, \text{recpower}\}$ 
  shows  $\llbracket a \wedge n = b \wedge n; 0 \leq a; 0 \leq b; 0 < n \rrbracket \implies a = b$ 
by (cases n, simp-all, rule power-inject-base)

```

## 15.2 Exponentiation for the Natural Numbers

```

instantiation nat :: recpower
begin

```

```

primrec power-nat where
   $p \wedge 0 = (1 :: \text{nat})$ 
   $| p \wedge (\text{Suc } n) = (p :: \text{nat}) * (p \wedge n)$ 

```

```

instance proof
  fix  $z n :: \text{nat}$ 
  show  $z \wedge 0 = 1$  by simp
  show  $z \wedge (\text{Suc } n) = z * (z \wedge n)$  by simp
qed

```



**end**

**lemma** *of-nat-power*:

*of-nat* ( $m \wedge n$ ) = (*of-nat*  $m::'a::\{\text{semiring-1}, \text{recpower}\}$ )  $\wedge n$   
**by** (*induct*  $n$ , *simp-all* *add*: *power-Suc of-nat-mult*)

**lemma** *nat-one-le-power* [*simp*]:  $1 \leq i \implies \text{Suc } 0 \leq i \wedge n$

**by** (*insert one-le-power* [*of i n*], *simp*)

**lemma** *nat-zero-less-power-iff* [*simp*]:  $(x \wedge n > 0) = (x > (0::\text{nat}) \mid n=0)$

**by** (*induct*  $n$ , *auto*)

Valid for the naturals, but what if  $0 < i < 1$ ? Premises cannot be weakened:  
 consider the case where  $i = (0::'a)$ ,  $m = (1::'a)$  and  $n = (0::'a)$ .

**lemma** *nat-power-less-imp-less*:

**assumes** *nonneg*:  $0 < (i::\text{nat})$

**assumes** *less*:  $i \wedge m < i \wedge n$

**shows**  $m < n$

**proof** (*cases*  $i = 1$ )

**case** *True* **with** *less power-one* [**where**  $'a = \text{nat}$ ] **show** *?thesis* **by** *simp*

**next**

**case** *False* **with** *nonneg* **have**  $1 < i$  **by** *auto*

**from** *power-strict-increasing-iff* [*OF this*] *less* **show** *?thesis* **..**

**qed**

**lemma** *power-diff*:

**assumes** *nz*:  $a \sim = 0$

**shows**  $n \leq m \implies (a::'a::\{\text{recpower}, \text{field}\}) \wedge (m-n) = (a \wedge m) / (a \wedge n)$

**by** (*induct*  $m$   $n$  *rule*: *diff-induct*)

(*simp-all* *add*: *power-Suc nonzero-mult-divide-cancel-left nz*)

ML bindings for the general exponentiation theorems

**ML**

⟨⟨

*val power-0* = *thmpower-0*;

*val power-Suc* = *thmpower-Suc*;

*val power-0-Suc* = *thmpower-0-Suc*;

*val power-0-left* = *thmpower-0-left*;

*val power-one* = *thmpower-one*;

*val power-one-right* = *thmpower-one-right*;

*val power-add* = *thmpower-add*;

*val power-mult* = *thmpower-mult*;

*val power-mult-distrib* = *thmpower-mult-distrib*;

*val zero-less-power* = *thmzero-less-power*;

*val zero-le-power* = *thmzero-le-power*;

*val one-le-power* = *thmone-le-power*;

*val gt1-imp-ge0* = *thmgt1-imp-ge0*;

*val power-gt1-lemma* = *thmpower-gt1-lemma*;

*val power-gt1* = *thmpower-gt1*;

```

val power-le-imp-le-exp = thmpower-le-imp-le-exp;
val power-inject-exp = thmpower-inject-exp;
val power-less-imp-less-exp = thmpower-less-imp-less-exp;
val power-mono = thmpower-mono;
val power-strict-mono = thmpower-strict-mono;
val power-eq-0-iff = thmpower-eq-0-iff;
val field-power-eq-0-iff = thmpower-eq-0-iff;
val field-power-not-zero = thmfield-power-not-zero;
val power-inverse = thmpower-inverse;
val nonzero-power-divide = thmnonzero-power-divide;
val power-divide = thmpower-divide;
val power-abs = thmpower-abs;
val zero-less-power-abs-iff = thmzero-less-power-abs-iff;
val zero-le-power-abs = thm zero-le-power-abs;
val power-minus = thmpower-minus;
val power-Suc-less = thmpower-Suc-less;
val power-strict-decreasing = thmpower-strict-decreasing;
val power-decreasing = thmpower-decreasing;
val power-Suc-less-one = thmpower-Suc-less-one;
val power-increasing = thmpower-increasing;
val power-strict-increasing = thmpower-strict-increasing;
val power-le-imp-le-base = thmpower-le-imp-le-base;
val power-inject-base = thmpower-inject-base;
>>

```

ML bindings for the remaining theorems

**ML**

```

<<
val nat-one-le-power = thmnat-one-le-power;
val nat-power-less-imp-less = thmnat-power-less-imp-less;
val nat-zero-less-power-iff = thmnat-zero-less-power-iff;
>>

```

**end**

## 16 Divides: The division operators div, mod and the divides relation dvd

```

theory Divides
imports Nat Power Product-Type
uses ~/src/Provers/Arith/cancel-div-mod.ML
begin

```

### 16.1 Syntactic division operations

```

class div = times +

```

```

fixes div :: 'a ⇒ 'a ⇒ 'a (infixl div 70)
fixes mod :: 'a ⇒ 'a ⇒ 'a (infixl mod 70)
begin

definition
  dvd :: 'a ⇒ 'a ⇒ bool (infixl dvd 50)
where
  [code func del]: m dvd n ⟷ (∃ k. n = m * k)

end

```

## 16.2 Abstract divisibility in commutative semirings.

```

class semiring-div = comm-semiring-1-cancel + div +
  assumes mod-div-equality: a div b * b + a mod b = a
  and div-by-0: a div 0 = 0
  and mult-div: b ≠ 0 ⟹ a * b div b = a
begin

  op div and op mod

  lemma div-by-1: a div 1 = a
    using mult-div [of 1 a] zero-neq-one by simp

  lemma mod-by-1: a mod 1 = 0
  proof –
    from mod-div-equality [of a one] div-by-1 have a + a mod 1 = a by simp
    then have a + a mod 1 = a + 0 by simp
    then show ?thesis by (rule add-left-imp-eq)
  qed

  lemma mod-by-0: a mod 0 = a
    using mod-div-equality [of a zero] by simp

  lemma mult-mod: a * b mod b = 0
  proof (cases b = 0)
    case True then show ?thesis by (simp add: mod-by-0)
  next
    case False with mult-div have abb: a * b div b = a .
    from mod-div-equality have a * b div b * b + a * b mod b = a * b .
    with abb have a * b + a * b mod b = a * b + 0 by simp
    then show ?thesis by (rule add-left-imp-eq)
  qed

  lemma mod-self: a mod a = 0
    using mult-mod [of one] by simp

  lemma div-self: a ≠ 0 ⟹ a div a = 1
    using mult-div [of - one] by simp

```

```

lemma div-0:  $0 \text{ div } a = 0$ 
proof (cases  $a = 0$ )
  case True then show ?thesis by (simp add: div-by-0)
next
  case False with mult-div have  $0 * a \text{ div } a = 0$  .
  then show ?thesis by simp
qed

```

```

lemma mod-0:  $0 \text{ mod } a = 0$ 
using mod-div-equality [of zero a] div-0 by simp

```

```

lemma mod-div-equality2:  $b * (a \text{ div } b) + a \text{ mod } b = a$ 
unfolding mult-commute [of b]
by (rule mod-div-equality)

```

```

lemma div-mod-equality:  $((a \text{ div } b) * b + a \text{ mod } b) + c = a + c$ 
by (simp add: mod-div-equality)

```

```

lemma div-mod-equality2:  $(b * (a \text{ div } b) + a \text{ mod } b) + c = a + c$ 
by (simp add: mod-div-equality2)

```

The *op dvd* relation

```

lemma dvdI [intro?]:  $a = b * c \implies b \text{ dvd } a$ 
unfolding dvd-def ..

```

```

lemma dvdE [elim?]:  $b \text{ dvd } a \implies (\bigwedge c. a = b * c \implies P) \implies P$ 
unfolding dvd-def by blast

```

```

lemma dvd-def-mod [code func]:  $a \text{ dvd } b \longleftrightarrow b \text{ mod } a = 0$ 
proof

```

```

  assume  $b \text{ mod } a = 0$ 
  with mod-div-equality [of b a] have  $b \text{ div } a * a = b$  by simp
  then have  $b = a * (b \text{ div } a)$  unfolding mult-commute ..
  then have  $\exists c. b = a * c$  ..
  then show  $a \text{ dvd } b$  unfolding dvd-def .
next
  assume  $a \text{ dvd } b$ 
  then have  $\exists c. b = a * c$  unfolding dvd-def .
  then obtain  $c$  where  $b = a * c$  ..
  then have  $b \text{ mod } a = a * c \text{ mod } a$  by simp
  then have  $b \text{ mod } a = c * a \text{ mod } a$  by (simp add: mult-commute)
  then show  $b \text{ mod } a = 0$  by (simp add: mult-mod)
qed

```

```

lemma dvd-refl:  $a \text{ dvd } a$ 
unfolding dvd-def-mod mod-self ..

```

```

lemma dvd-trans:
  assumes  $a \text{ dvd } b$  and  $b \text{ dvd } c$ 

```

```

  shows  $a \text{ dvd } c$ 
proof -
  from assms obtain  $v$  where  $b = a * v$  unfolding dvd-def by auto
  moreover from assms obtain  $w$  where  $c = b * w$  unfolding dvd-def by auto
  ultimately have  $c = a * (v * w)$  by (simp add: mult-assoc)
  then show ?thesis unfolding dvd-def ..
qed

lemma zero-dvd-iff [noatp]:  $0 \text{ dvd } a \iff a = 0$ 
  unfolding dvd-def by simp

lemma dvd-0:  $a \text{ dvd } 0$ 
  unfolding dvd-def proof
    show  $0 = a * 0$  by simp
  qed

lemma one-dvd:  $1 \text{ dvd } a$ 
  unfolding dvd-def by simp

lemma dvd-mult:  $a \text{ dvd } c \implies a \text{ dvd } (b * c)$ 
  unfolding dvd-def by (blast intro: mult-left-commute)

lemma dvd-mult2:  $a \text{ dvd } b \implies a \text{ dvd } (b * c)$ 
  apply (subst mult-commute)
  apply (erule dvd-mult)
  done

lemma dvd-triv-right:  $a \text{ dvd } b * a$ 
  by (rule dvd-mult) (rule dvd-refl)

lemma dvd-triv-left:  $a \text{ dvd } a * b$ 
  by (rule dvd-mult2) (rule dvd-refl)

lemma mult-dvd-mono:  $a \text{ dvd } c \implies b \text{ dvd } d \implies a * b \text{ dvd } c * d$ 
  apply (unfold dvd-def, clarify)
  apply (rule-tac x = k * ka in exI)
  apply (simp add: mult-ac)
  done

lemma dvd-mult-left:  $a * b \text{ dvd } c \implies a \text{ dvd } c$ 
  by (simp add: dvd-def mult-assoc, blast)

lemma dvd-mult-right:  $a * b \text{ dvd } c \implies b \text{ dvd } c$ 
  unfolding mult-ac [of a] by (rule dvd-mult-left)

end

```

### 16.3 Division on *nat*

We define *op div* and *op mod* on *nat* by means of a characteristic relation with two input arguments *m*, *n* and two output arguments *q*(uotient) and *r*(emainder).

**definition** *divmod-rel* :: *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  *bool* **where**

*divmod-rel* *m n q r*  $\longleftrightarrow m = q * n + r \wedge (\text{if } n > 0 \text{ then } 0 \leq r \wedge r < n \text{ else } q = 0)$

*divmod-rel* is total:

**lemma** *divmod-rel-ex*:

**obtains** *q r* **where** *divmod-rel m n q r*

**proof** (*cases n = 0*)

**case** *True* **with** *that* **show** *thesis*

**by** (*auto simp add: divmod-rel-def*)

**next**

**case** *False*

**have**  $\exists q r. m = q * n + r \wedge r < n$

**proof** (*induct m*)

**case** *0* **with**  $\langle n \neq 0 \rangle$

**have**  $(0::nat) = 0 * n + 0 \wedge 0 < n$  **by** *simp*

**then show** *?case* **by** *blast*

**next**

**case** (*Suc m*) **then obtain** *q' r'*

**where** *m*:  $m = q' * n + r'$  **and** *n*:  $r' < n$  **by** *auto*

**then show** *?case* **proof** (*cases Suc r' < n*)

**case** *True*

**from** *m n* **have**  $Suc\ m = q' * n + Suc\ r'$  **by** *simp*

**with** *True* **show** *?thesis* **by** *blast*

**next**

**case** *False* **then have**  $n \leq Suc\ r'$  **by** *auto*

**moreover from** *n* **have**  $Suc\ r' \leq n$  **by** *auto*

**ultimately have**  $n = Suc\ r'$  **by** *auto*

**with** *m* **have**  $Suc\ m = Suc\ q' * n + 0$  **by** *simp*

**with**  $\langle n \neq 0 \rangle$  **show** *?thesis* **by** *blast*

**qed**

**qed**

**with** *that* **show** *thesis*

**using**  $\langle n \neq 0 \rangle$  **by** (*auto simp add: divmod-rel-def*)

**qed**

*divmod-rel* is injective:

**lemma** *divmod-rel-unique-div*:

**assumes** *divmod-rel m n q r*

**and** *divmod-rel m n q' r'*

**shows**  $q = q'$

**proof** (*cases n = 0*)

**case** *True* **with** *assms* **show** *?thesis*

```

    by (simp add: divmod-rel-def)
next
  case False
  have aux:  $\bigwedge q\ r\ q'\ r'.\ q' * n + r' = q * n + r \implies r < n \implies q' \leq (q::nat)$ 
  apply (rule leI)
  apply (subst less-iff-Suc-add)
  apply (auto simp add: add-mult-distrib)
  done
  from  $\langle n \neq 0 \rangle$  assms show ?thesis
  by (auto simp add: divmod-rel-def
    intro: order-antisym dest: aux sym)
qed

```

```

lemma divmod-rel-unique-mod:
  assumes divmod-rel m n q r
  and divmod-rel m n q' r'
  shows  $r = r'$ 
proof -
  from assms have  $q = q'$  by (rule divmod-rel-unique-div)
  with assms show ?thesis by (simp add: divmod-rel-def)
qed

```

We instantiate divisibility on the natural numbers by means of *divmod-rel*:

```

instantiation nat :: semiring-div
begin

```

```

definition divmod ::  $nat \Rightarrow nat \Rightarrow nat \times nat$  where
  [code func del]:  $divmod\ m\ n = (THE\ (q,\ r).\ divmod\_rel\ m\ n\ q\ r)$ 

```

```

definition div-nat where
   $m\ div\ n = fst\ (divmod\ m\ n)$ 

```

```

definition mod-nat where
   $m\ mod\ n = snd\ (divmod\ m\ n)$ 

```

```

lemma divmod-div-mod:
   $divmod\ m\ n = (m\ div\ n,\ m\ mod\ n)$ 
unfolding div-nat-def mod-nat-def by simp

```

```

lemma divmod-eq:
  assumes divmod-rel m n q r
  shows  $divmod\ m\ n = (q,\ r)$ 
using assms by (auto simp add: divmod-def
  dest: divmod-rel-unique-div divmod-rel-unique-mod)

```

```

lemma div-eq:
  assumes divmod-rel m n q r
  shows  $m\ div\ n = q$ 
using assms by (auto dest: divmod-eq simp add: div-nat-def)

```

**lemma** *mod-eq*:

**assumes** *divmod-rel*  $m\ n\ q\ r$

**shows**  $m \bmod n = r$

**using** *assms* **by** (*auto dest: divmod-eq simp add: mod-nat-def*)

**lemma** *divmod-rel*: *divmod-rel*  $m\ n\ (m \operatorname{div} n)\ (m \bmod n)$

**proof** –

**from** *divmod-rel-ex*

**obtain**  $q\ r$  **where** *rel*: *divmod-rel*  $m\ n\ q\ r$  .

**moreover with** *div-eq mod-eq* **have**  $m \operatorname{div} n = q$  **and**  $m \bmod n = r$

**by** *simp-all*

**ultimately show** *?thesis* **by** *simp*

**qed**

**lemma** *divmod-zero*:

*divmod*  $m\ 0 = (0, m)$

**proof** –

**from** *divmod-rel* [*of*  $m\ 0$ ] **show** *?thesis*

**unfolding** *divmod-div-mod divmod-rel-def* **by** *simp*

**qed**

**lemma** *divmod-base*:

**assumes**  $m < n$

**shows** *divmod*  $m\ n = (0, m)$

**proof** –

**from** *divmod-rel* [*of*  $m\ n$ ] **show** *?thesis*

**unfolding** *divmod-div-mod divmod-rel-def*

**using** *assms* **by** (*cases*  $m \operatorname{div} n = 0$ )

(*auto simp add: gr0-conv-Suc* [*of*  $m \operatorname{div} n$ ])

**qed**

**lemma** *divmod-step*:

**assumes**  $0 < n$  **and**  $n \leq m$

**shows** *divmod*  $m\ n = (\operatorname{Suc} ((m - n) \operatorname{div} n), (m - n) \bmod n)$

**proof** –

**from** *divmod-rel* **have** *divmod-m-n*: *divmod-rel*  $m\ n\ (m \operatorname{div} n)\ (m \bmod n)$  .

**with** *assms* **have** *m-div-n*:  $m \operatorname{div} n \geq 1$

**by** (*cases*  $m \operatorname{div} n$ ) (*auto simp add: divmod-rel-def*)

**from** *assms* *divmod-m-n* **have** *divmod-rel*  $(m - n)\ n\ (m \operatorname{div} n - 1)\ (m \bmod n)$

**by** (*cases*  $m \operatorname{div} n$ ) (*auto simp add: divmod-rel-def*)

**with** *divmod-eq* **have** *divmod*  $(m - n)\ n = (m \operatorname{div} n - 1, m \bmod n)$  **by** *simp*

**moreover from** *divmod-div-mod* **have** *divmod*  $(m - n)\ n = ((m - n) \operatorname{div} n, (m - n) \bmod n)$  .

**ultimately have**  $m \operatorname{div} n = \operatorname{Suc} ((m - n) \operatorname{div} n)$

**and**  $m \bmod n = (m - n) \bmod n$  **using** *m-div-n* **by** *simp-all*

**then show** *?thesis* **using** *divmod-div-mod* **by** *simp*

**qed**

The “recursion” equations for *op div* and *op mod*



```

lemma div-less [simp]:
  fixes m n :: nat
  assumes m < n
  shows m div n = 0
  using assms divmod-base divmod-div-mod by simp

lemma le-div-geq:
  fixes m n :: nat
  assumes 0 < n and n ≤ m
  shows m div n = Suc ((m - n) div n)
  using assms divmod-step divmod-div-mod by simp

lemma mod-less [simp]:
  fixes m n :: nat
  assumes m < n
  shows m mod n = m
  using assms divmod-base divmod-div-mod by simp

lemma le-mod-geq:
  fixes m n :: nat
  assumes n ≤ m
  shows m mod n = (m - n) mod n
  using assms divmod-step divmod-div-mod by (cases n = 0) simp-all

instance proof
  fix m n :: nat show m div n * n + m mod n = m
  using divmod-rel [of m n] by (simp add: divmod-rel-def)
next
  fix n :: nat show n div 0 = 0
  using divmod-zero divmod-div-mod [of n 0] by simp
next
  fix m n :: nat assume n ≠ 0 then show m * n div n = m
  by (induct m) (simp-all add: le-div-geq)
qed

end

```

Simproc for cancelling *op div* and *op mod*

```

lemmas mod-div-equality = semiring-div-class.times-div-mod-plus-zero-one.mod-div-equality
[of m::nat n, standard]
lemmas mod-div-equality2 = mod-div-equality2 [of n::nat m, standard]
lemmas div-mod-equality = div-mod-equality [of m::nat n k, standard]
lemmas div-mod-equality2 = div-mod-equality2 [of m::nat n k, standard]

```

```

ML <<
  structure CancelDivModData =
  struct

```

```

    val div-name = @{const-name div};

```

```

val mod-name = @{const-name mod};
val mk-binop = HOLogic.mk-binop;
val mk-sum = ArithData.mk-sum;
val dest-sum = ArithData.dest-sum;

(*logic*)

val div-mod-eqs = map mk-meta-eq [@{thm div-mod-equality}, @{thm div-mod-equality2}]

val trans = trans

val prove-eq-sums =
  let val_simps = @{thm add-0} :: @{thm add-0-right} :: @{thms add-ac}
  in ArithData.prove-conv all-tac (ArithData.simp-all-tac_simps) end;

end;

structure CancelDivMod = CancelDivModFun(CancelDivModData);

val cancel-div-mod-proc = Simplifier.simproc @{theory}
  cancel-div-mod [(m::nat) + n] (K CancelDivMod.proc);

Addsimprocs[cancel-div-mod-proc];
>>

code generator setup

lemma divmod-if [code]: divmod m n = (if n = 0  $\vee$  m < n then (0, m) else
  let (q, r) = divmod (m - n) n in (Suc q, r))
by (simp add: divmod-zero divmod-base divmod-step)
  (simp add: divmod-div-mod)

code-modulename SML
  Divides Nat

code-modulename OCaml
  Divides Nat

code-modulename Haskell
  Divides Nat

```

### 16.3.1 Quotient

```

lemmas DIVISION-BY-ZERO-DIV [simp] = div-by-0 [of a::nat, standard]
lemmas div-0 [simp] = semiring-div-class.div-0 [of n::nat, standard]

lemma div-geq: 0 < n  $\implies$   $\neg$  m < n  $\implies$  m div n = Suc ((m - n) div n)
by (simp add: le-div-geq linorder-not-less)

lemma div-if: 0 < n  $\implies$  m div n = (if m < n then 0 else Suc ((m - n) div n))

```

by (simp add: div-geq)

**lemma** *div-mult-self-is-m* [simp]:  $0 < n \implies (m * n) \text{ div } n = (m :: \text{nat})$   
by (rule mult-div) simp

**lemma** *div-mult-self1-is-m* [simp]:  $0 < n \implies (n * m) \text{ div } n = (m :: \text{nat})$   
by (simp add: mult-commute)

### 16.3.2 Remainder

**lemmas** *DIVISION-BY-ZERO-MOD* [simp] = *mod-by-0* [of  $a :: \text{nat}$ , standard]

**lemmas** *mod-0* [simp] = *semiring-div-class.mod-0* [of  $n :: \text{nat}$ , standard]

**lemma** *mod-less-divisor* [simp]:  
fixes  $m \ n :: \text{nat}$   
assumes  $n > 0$   
shows  $m \text{ mod } n < (n :: \text{nat})$   
using *assms divmod-rel* unfolding *divmod-rel-def* by auto

**lemma** *mod-less-eq-dividend* [simp]:  
fixes  $m \ n :: \text{nat}$   
shows  $m \text{ mod } n \leq m$   
**proof** (rule *add-leD2*)  
from *mod-div-equality* have  $m \text{ div } n * n + m \text{ mod } n = m$  .  
then show  $m \text{ div } n * n + m \text{ mod } n \leq m$  by auto  
**qed**

**lemma** *mod-geq*:  $\neg m < (n :: \text{nat}) \implies m \text{ mod } n = (m - n) \text{ mod } n$   
by (simp add: *le-mod-geq linorder-not-less*)

**lemma** *mod-if*:  $m \text{ mod } (n :: \text{nat}) = (\text{if } m < n \text{ then } m \text{ else } (m - n) \text{ mod } n)$   
by (simp add: *le-mod-geq*)

**lemma** *mod-1* [simp]:  $m \text{ mod } \text{Suc } 0 = 0$   
by (induct  $m$ ) (simp-all add: *mod-geq*)

**lemmas** *mod-self* [simp] = *semiring-div-class.mod-self* [of  $n :: \text{nat}$ , standard]

**lemma** *mod-add-self2* [simp]:  $(m + n) \text{ mod } n = m \text{ mod } (n :: \text{nat})$   
apply (subgoal-tac  $(n + m) \text{ mod } n = (n + m - n) \text{ mod } n$ )  
apply (simp add: *add-commute*)  
apply (subst *le-mod-geq* [symmetric], simp-all)  
**done**

**lemma** *mod-add-self1* [simp]:  $(n + m) \text{ mod } n = m \text{ mod } (n :: \text{nat})$   
by (simp add: *add-commute mod-add-self2*)

**lemma** *mod-mult-self1* [simp]:  $(m + k * n) \text{ mod } n = m \text{ mod } (n :: \text{nat})$   
by (induct  $k$ ) (simp-all add: *add-left-commute* [of  $- n$ ])

**lemma** *mod-mult-self2* [*simp*]:  $(m + n * k) \bmod n = m \bmod (n :: nat)$   
**by** (*simp add: mult-commute mod-mult-self1*)

**lemma** *mod-mult-distrib*:  $(m \bmod n) * (k :: nat) = (m * k) \bmod (n * k)$   
**apply** (*cases n = 0, simp*)  
**apply** (*cases k = 0, simp*)  
**apply** (*induct m rule: nat-less-induct*)  
**apply** (*subst mod-if, simp*)  
**apply** (*simp add: mod-geq diff-mult-distrib*)  
**done**

**lemma** *mod-mult-distrib2*:  $(k :: nat) * (m \bmod n) = (k * m) \bmod (k * n)$   
**by** (*simp add: mult-commute [of k] mod-mult-distrib*)

**lemma** *mod-mult-self-is-0* [*simp*]:  $(m * n) \bmod n = (0 :: nat)$   
**apply** (*cases n = 0, simp*)  
**apply** (*induct m, simp*)  
**apply** (*rename-tac k*)  
**apply** (*cut-tac m = k \* n and n = n in mod-add-self2*)  
**apply** (*simp add: add-commute*)  
**done**

**lemma** *mod-mult-self1-is-0* [*simp*]:  $(n * m) \bmod n = (0 :: nat)$   
**by** (*simp add: mult-commute mod-mult-self-is-0*)

**lemma** *mult-div-cancel*:  $(n :: nat) * (m \bmod n) = m - (m \bmod n)$   
**by** (*cut-tac m = m and n = n in mod-div-equality2, arith*)

**lemma** *mod-le-divisor* [*simp*]:  $0 < n \implies m \bmod n \leq (n :: nat)$   
**apply** (*drule mod-less-divisor [where m = m]*)  
**apply** *simp*  
**done**

### 16.3.3 Quotient and Remainder

**lemma** *mod-div-decomp*:  
**fixes**  $n \ k :: nat$   
**obtains**  $m \ q$  **where**  $m = n \operatorname{div} k$  **and**  $q = n \bmod k$   
**and**  $n = m * k + q$   
**proof** –  
**from** *mod-div-equality* **have**  $n = n \operatorname{div} k * k + n \bmod k$  **by** *auto*  
**moreover** **have**  $n \operatorname{div} k = n \operatorname{div} k$  **..**  
**moreover** **have**  $n \bmod k = n \bmod k$  **..**  
**note that** **ultimately show** *thesis* **by** *blast*  
**qed**

**lemma** *divmod-rel-mult1-eq*:

```

[[ divmod-rel b c q r; c > 0 ]]
==> divmod-rel (a*b) c (a*q + a*r div c) (a*r mod c)
by (auto simp add: split-ifs mult-ac divmod-rel-def add-mult-distrib2)

```

```

lemma div-mult1-eq: (a*b) div c = a*(b div c) + a*(b mod c) div (c::nat)
apply (cases c = 0, simp)
apply (blast intro: divmod-rel [THEN divmod-rel-mult1-eq, THEN div-eq])
done

```

```

lemma mod-mult1-eq: (a*b) mod c = a*(b mod c) mod (c::nat)
apply (cases c = 0, simp)
apply (blast intro: divmod-rel [THEN divmod-rel-mult1-eq, THEN mod-eq])
done

```

```

lemma mod-mult1-eq': (a*b) mod (c::nat) = ((a mod c) * b) mod c
apply (rule trans)
apply (rule-tac s = b*a mod c in trans)
apply (rule-tac [2] mod-mult1-eq)
apply (simp-all add: mult-commute)
done

```

```

lemma mod-mult-distrib-mod:
  (a*b) mod (c::nat) = ((a mod c) * (b mod c)) mod c
apply (rule mod-mult1-eq' [THEN trans])
apply (rule mod-mult1-eq)
done

```

```

lemma divmod-rel-add1-eq:
  [[ divmod-rel a c aq ar; divmod-rel b c bq br; c > 0 ]]
  ==> divmod-rel (a + b) c (aq + bq + (ar+br) div c) ((ar + br) mod c)
by (auto simp add: split-ifs mult-ac divmod-rel-def add-mult-distrib2)

```

```

lemma div-add1-eq:
  (a+b) div (c::nat) = a div c + b div c + ((a mod c + b mod c) div c)
apply (cases c = 0, simp)
apply (blast intro: divmod-rel-add1-eq [THEN div-eq] divmod-rel)
done

```

```

lemma mod-add1-eq: (a+b) mod (c::nat) = (a mod c + b mod c) mod c
apply (cases c = 0, simp)
apply (blast intro: divmod-rel-add1-eq [THEN mod-eq] divmod-rel)
done

```

```

lemma mod-lemma: [[ (0::nat) < c; r < b ]] ==> b * (q mod c) + r < b * c
apply (cut-tac m = q and n = c in mod-less-divisor)
apply (drule-tac [2] m = q mod c in less-imp-Suc-add, auto)
apply (erule-tac P = %x. ?lhs < ?rhs x in ssubst)
apply (simp add: add-mult-distrib2)

```

done

**lemma** *divmod-rel-mult2-eq*:  $[[ \text{divmod-rel } a \ b \ q \ r; \ 0 < b; \ 0 < c ]]$   
 $\implies \text{divmod-rel } a \ (b*c) \ (q \text{ div } c) \ (b*(q \text{ mod } c) + r)$   
**by** (*auto simp add: mult-ac divmod-rel-def add-mult-distrib2 [symmetric] mod-lemma*)

**lemma** *div-mult2-eq*:  $a \text{ div } (b*c) = (a \text{ div } b) \text{ div } (c::\text{nat})$   
**apply** (*cases b = 0, simp*)  
**apply** (*cases c = 0, simp*)  
**apply** (*force simp add: divmod-rel [THEN divmod-rel-mult2-eq, THEN div-eq]*)  
**done**

**lemma** *mod-mult2-eq*:  $a \text{ mod } (b*c) = b*(a \text{ div } b \text{ mod } c) + a \text{ mod } (b::\text{nat})$   
**apply** (*cases b = 0, simp*)  
**apply** (*cases c = 0, simp*)  
**apply** (*auto simp add: mult-commute divmod-rel [THEN divmod-rel-mult2-eq, THEN mod-eq]*)  
**done**

#### 16.3.4 Cancellation of Common Factors in Division

**lemma** *div-mult-mult-lemma*:  
 $[[ (0::\text{nat}) < b; \ 0 < c ]] \implies (c*a) \text{ div } (c*b) = a \text{ div } b$   
**by** (*auto simp add: div-mult2-eq*)

**lemma** *div-mult-mult1* [*simp*]:  $(0::\text{nat}) < c \implies (c*a) \text{ div } (c*b) = a \text{ div } b$   
**apply** (*cases b = 0*)  
**apply** (*auto simp add: linorder-neq-iff [of b] div-mult-mult-lemma*)  
**done**

**lemma** *div-mult-mult2* [*simp*]:  $(0::\text{nat}) < c \implies (a*c) \text{ div } (b*c) = a \text{ div } b$   
**apply** (*drule div-mult-mult1*)  
**apply** (*auto simp add: mult-commute*)  
**done**

#### 16.3.5 Further Facts about Quotient and Remainder

**lemma** *div-1* [*simp*]:  $m \text{ div } \text{Suc } 0 = m$   
**by** (*induct m*) (*simp-all add: div-geq*)

**lemmas** *div-self* [*simp*] = *semiring-div-class.div-self* [*of n::nat, standard*]

**lemma** *div-add-self2*:  $0 < n \implies (m+n) \text{ div } n = \text{Suc } (m \text{ div } n)$   
**apply** (*subgoal-tac (n + m) div n = Suc ((n+m-n) div n)*)  
**apply** (*simp add: add-commute*)  
**apply** (*subst div-geq [symmetric], simp-all*)  
**done**

**lemma** *div-add-self1*:  $0 < n \implies (n+m) \text{ div } n = \text{Suc } (m \text{ div } n)$   
**by** (*simp add: add-commute div-add-self2*)

```

lemma div-mult-self1 [simp]: !!n::nat.  $0 < n \implies (m + k * n) \text{ div } n = k + m \text{ div } n$ 
  apply (subst div-add1-eq)
  apply (subst div-mult1-eq, simp)
  done

```

```

lemma div-mult-self2 [simp]:  $0 < n \implies (m + n * k) \text{ div } n = k + m \text{ div } (n :: \text{nat})$ 
  by (simp add: mult-commute div-mult-self1)

```

```

lemma div-le-mono [rule-format (no-asm)]:
   $\forall m :: \text{nat}. m \leq n \dashv\rightarrow (m \text{ div } k) \leq (n \text{ div } k)$ 
apply (case-tac k=0, simp)
apply (induct n rule: nat-less-induct, clarify)
apply (case-tac n < k)

```

```

apply simp

```

```

apply (case-tac m < k)

```

```

apply simp

```

```

apply (simp add: div-geq diff-le-mono)
done

```

```

lemma div-le-mono2: !!m::nat. [ $0 < m; m \leq n$ ]  $\implies (k \text{ div } n) \leq (k \text{ div } m)$ 
apply (subgoal-tac 0 < n)
  prefer 2 apply simp
apply (induct-tac k rule: nat-less-induct)
apply (rename-tac k)
apply (case-tac k < n, simp)
apply (subgoal-tac ~ (k < m))
  prefer 2 apply simp
apply (simp add: div-geq)
apply (subgoal-tac (k - n) div n ≤ (k - m) div n)
  prefer 2
  apply (blast intro: div-le-mono diff-le-mono2)
apply (rule le-trans, simp)
apply (simp)
done

```

```

lemma div-le-dividend [simp]:  $m \text{ div } n \leq (m :: \text{nat})$ 
apply (case-tac n=0, simp)
apply (subgoal-tac m div n ≤ m div 1, simp)
apply (rule div-le-mono2)
apply (simp-all (no-asm-simp))

```

done

```

lemma div-less-dividend [rule-format]:
  !!n::nat. 1<n ==> 0 < m --> m div n < m
apply (induct-tac m rule: nat-less-induct)
apply (rename-tac m)
apply (case-tac m<n, simp)
apply (subgoal-tac 0<n)
  prefer 2 apply simp
apply (simp add: div-geq)
apply (case-tac n<m)
  apply (subgoal-tac (m-n) div n < (m-n) )
  apply (rule impI less-trans-Suc)+
apply assumption
  apply (simp-all)
done

```

**declare** *div-less-dividend* [simp]

A fact for the mutilated chess board

```

lemma mod-Suc: Suc(m) mod n = (if Suc(m mod n) = n then 0 else Suc(m mod
n))
apply (case-tac n=0, simp)
apply (induct m rule: nat-less-induct)
apply (case-tac Suc (na) <n)

apply (frule lessI [THEN less-trans], simp add: less-not-refl3)

apply (simp add: linorder-not-less le-Suc-eq mod-geq)
apply (auto simp add: Suc-diff-le le-mod-geq)
done

```

```

lemma nat-mod-div-trivial [simp]: m mod n div n = (0 :: nat)
  by (cases n = 0) auto

```

```

lemma nat-mod-mod-trivial [simp]: m mod n mod n = (m mod n :: nat)
  by (cases n = 0) auto

```

### 16.3.6 The Divides Relation

```

lemma dvdI [intro?]: n = m * k ==> m dvd n
  unfolding dvd-def by blast

```

```

lemma dvdE [elim?]: !!P. [|m dvd n; !!k. n = m*k ==> P|] ==> P
  unfolding dvd-def by blast

```

```

lemma dvd-0-right [iff]: m dvd (0::nat)
  unfolding dvd-def by (blast intro: mult-0-right [symmetric])

```



```

lemma dvd-0-left: 0 dvd m ==> m = (0::nat)
  by (force simp add: dvd-def)

lemma dvd-0-left-iff [iff]: (0 dvd (m::nat)) = (m = 0)
  by (blast intro: dvd-0-left)

declare dvd-0-left-iff [noatp]

lemma dvd-1-left [iff]: Suc 0 dvd k
  unfolding dvd-def by simp

lemma dvd-1-iff-1 [simp]: (m dvd Suc 0) = (m = Suc 0)
  by (simp add: dvd-def)

lemmas dvd-refl [simp] = semiring-div-class.dvd-refl [of m::nat, standard]
lemmas dvd-trans [trans] = semiring-div-class.dvd-trans [of m::nat n p, standard]

lemma dvd-anti-sym: [| m dvd n; n dvd m |] ==> m = (n::nat)
  unfolding dvd-def
  by (force dest: mult-eq-self-implies-10 simp add: mult-assoc mult-eq-1-iff)

op dvd is a partial order

interpretation dvd: order [op dvd  $\lambda n m :: nat. n dvd m \wedge n \neq m$ ]
  by unfold-locales (auto intro: dvd-trans dvd-anti-sym)

lemma dvd-add: [| k dvd m; k dvd n |] ==> k dvd (m+n :: nat)
  unfolding dvd-def
  by (blast intro: add-mult-distrib2 [symmetric])

lemma dvd-diff: [| k dvd m; k dvd n |] ==> k dvd (m-n :: nat)
  unfolding dvd-def
  by (blast intro: diff-mult-distrib2 [symmetric])

lemma dvd-diffD: [| k dvd m-n; k dvd n; n ≤ m |] ==> k dvd (m::nat)
  apply (erule linorder-not-less [THEN iffD2, THEN add-diff-inverse, THEN
subst])
  apply (blast intro: dvd-add)
  done

lemma dvd-diffD1: [| k dvd m-n; k dvd m; n ≤ m |] ==> k dvd (n::nat)
  by (drule-tac m = m in dvd-diff, auto)

lemma dvd-mult: k dvd n ==> k dvd (m*n :: nat)
  unfolding dvd-def by (blast intro: mult-left-commute)

lemma dvd-mult2: k dvd m ==> k dvd (m*n :: nat)
  apply (subst mult-commute)
  apply (erule dvd-mult)

```

done

**lemma** *dvd-triv-right* [iff]:  $k \text{ dvd } (m * k :: \text{nat})$   
 by (rule *dvd-refl* [THEN *dvd-mult*])

**lemma** *dvd-triv-left* [iff]:  $k \text{ dvd } (k * m :: \text{nat})$   
 by (rule *dvd-refl* [THEN *dvd-mult2*])

**lemma** *dvd-reduce*:  $(k \text{ dvd } n + k) = (k \text{ dvd } (n :: \text{nat}))$   
 apply (rule *iffI*)  
 apply (erule-tac [2] *dvd-add*)  
 apply (rule-tac [2] *dvd-refl*)  
 apply (subgoal-tac  $n = (n + k) - k$ )  
 prefer 2 apply *simp*  
 apply (erule *ssubst*)  
 apply (erule *dvd-diff*)  
 apply (rule *dvd-refl*)  
 done

**lemma** *dvd-mod*:  $!!n :: \text{nat}. [\![ f \text{ dvd } m; f \text{ dvd } n \!]\!] ==> f \text{ dvd } m \bmod n$   
 unfolding *dvd-def*  
 apply (case-tac  $n = 0$ , *auto*)  
 apply (blast intro: *mod-mult-distrib2* [*symmetric*])  
 done

**lemma** *dvd-mod-imp-dvd*:  $[\![ (k :: \text{nat}) \text{ dvd } m \bmod n; k \text{ dvd } n \!]\!] ==> k \text{ dvd } m$   
 apply (subgoal-tac  $k \text{ dvd } (m \text{ div } n) * n + m \bmod n$ )  
 apply (*simp add: mod-div-equality*)  
 apply (*simp only: dvd-add dvd-mult*)  
 done

**lemma** *dvd-mod-iff*:  $k \text{ dvd } n ==> ((k :: \text{nat}) \text{ dvd } m \bmod n) = (k \text{ dvd } m)$   
 by (blast intro: *dvd-mod-imp-dvd dvd-mod*)

**lemma** *dvd-mult-cancel*:  $!!k :: \text{nat}. [\![ k * m \text{ dvd } k * n; 0 < k \!]\!] ==> m \text{ dvd } n$   
 unfolding *dvd-def*  
 apply (erule *exE*)  
 apply (*simp add: mult-ac*)  
 done

**lemma** *dvd-mult-cancel1*:  $0 < m ==> (m * n \text{ dvd } m) = (n = (1 :: \text{nat}))$   
 apply *auto*  
 apply (subgoal-tac  $m * n \text{ dvd } m * 1$ )  
 apply (erule *dvd-mult-cancel*, *auto*)  
 done

**lemma** *dvd-mult-cancel2*:  $0 < m ==> (n * m \text{ dvd } m) = (n = (1 :: \text{nat}))$   
 apply (*subst mult-commute*)  
 apply (erule *dvd-mult-cancel1*)

done

**lemma** *mult-dvd-mono*:  $[i \text{ dvd } m; j \text{ dvd } n] \implies i*j \text{ dvd } (m*n :: \text{nat})$   
 apply (unfold dvd-def, clarify)  
 apply (rule-tac  $x = k*ka$  in exI)  
 apply (simp add: mult-ac)  
 done

**lemma** *dvd-mult-left*:  $(i*j :: \text{nat}) \text{ dvd } k \implies i \text{ dvd } k$   
 by (simp add: dvd-def mult-assoc, blast)

**lemma** *dvd-mult-right*:  $(i*j :: \text{nat}) \text{ dvd } k \implies j \text{ dvd } k$   
 apply (unfold dvd-def, clarify)  
 apply (rule-tac  $x = i*k$  in exI)  
 apply (simp add: mult-ac)  
 done

**lemma** *dvd-imp-le*:  $[k \text{ dvd } n; 0 < n] \implies k \leq (n :: \text{nat})$   
 apply (unfold dvd-def, clarify)  
 apply (simp-all (no-asm-use) add: zero-less-mult-iff)  
 apply (erule conjE)  
 apply (rule le-trans)  
 apply (rule-tac [2] le-refl [THEN mult-le-mono])  
 apply (erule-tac [2] Suc-leI, simp)  
 done

**lemmas** *dvd-eq-mod-eq-0* = *dvd-def-mod* [of  $k :: \text{nat } n$ , standard]

**lemma** *dvd-mult-div-cancel*:  $n \text{ dvd } m \implies n * (m \text{ div } n) = (m :: \text{nat})$   
 apply (subgoal-tac  $m \bmod n = 0$ )  
 apply (simp add: mult-div-cancel)  
 apply (simp only: dvd-eq-mod-eq-0)  
 done

**lemma** *le-imp-power-dvd*:  $!!i :: \text{nat}. m \leq n \implies i^m \text{ dvd } i^n$   
 apply (unfold dvd-def)  
 apply (erule linorder-not-less [THEN iffD2, THEN add-diff-inverse, THEN subst])  
 apply (simp add: power-add)  
 done

**lemma** *mod-add-left-eq*:  $((a :: \text{nat}) + b) \bmod c = (a \bmod c + b) \bmod c$   
 apply (rule trans [symmetric])  
 apply (rule mod-add1-eq, simp)  
 apply (rule mod-add1-eq [symmetric])  
 done

**lemma** *mod-add-right-eq*:  $(a+b) \bmod (c :: \text{nat}) = (a + (b \bmod c)) \bmod c$   
 apply (rule trans [symmetric])

```

  apply (rule mod-add1-eq, simp)
  apply (rule mod-add1-eq [symmetric])
  done

lemma nat-zero-less-power-iff [simp]:  $(x^n > 0) = (x > (0::nat) \mid n=0)$ 
  by (induct n) auto

lemma power-le-dvd [rule-format]:  $k^j \text{ dvd } n \longrightarrow i \leq j \longrightarrow k^i \text{ dvd } (n::nat)$ 
  apply (induct j)
  apply (simp-all add: le-Suc-eq)
  apply (blast dest!: dvd-mult-right)
  done

lemma power-dvd-imp-le:  $[|i^m \text{ dvd } i^n; (1::nat) < i|] \implies m \leq n$ 
  apply (rule power-le-imp-le-exp, assumption)
  apply (erule dvd-imp-le, simp)
  done

lemma mod-eq-0-iff:  $(m \bmod d = 0) = (\exists q::nat. m = d*q)$ 
  by (auto simp add: dvd-eq-mod-eq-0 [symmetric] dvd-def)

lemmas mod-eq-0D [dest!] = mod-eq-0-iff [THEN iffD1]

lemma mod-eqD:  $(m \bmod d = r) \implies \exists q::nat. m = r + q*d$ 
  apply (cut-tac  $m = m$  in mod-div-equality)
  apply (simp only: add-ac)
  apply (blast intro: sym)
  done

lemma split-div:

$$P(n \text{ div } k :: nat) =$$


$$((k = 0 \longrightarrow P\ 0) \wedge (k \neq 0 \longrightarrow (!i. !j < k. n = k*i + j \longrightarrow P\ i)))$$


$$(\text{is } ?P = ?Q \text{ is } - = (- \wedge (- \longrightarrow ?R)))$$

proof
  assume P: ?P
  show ?Q
  proof (cases)
    assume k = 0
    with P show ?Q by (simp add: DIVISION-BY-ZERO-DIV)
  next
    assume not0:  $k \neq 0$ 
    thus ?Q
    proof (simp, intro allI impI)
      fix i j
      assume n:  $n = k*i + j$  and j:  $j < k$ 
      show P i
      proof (cases)
        assume i = 0

```

```

      with  $n \ j \ P$  show  $P \ i$  by simp
    next
      assume  $i \neq 0$ 
      with  $\text{not0 } n \ j \ P$  show  $P \ i$  by (simp add: add-ac)
    qed
  qed
next
  assume  $Q: ?Q$ 
  show  $?P$ 
  proof (cases)
    assume  $k = 0$ 
    with  $Q$  show  $?P$  by (simp add: DIVISION-BY-ZERO-DIV)
  next
    assume  $\text{not0}: k \neq 0$ 
    with  $Q$  have  $R: ?R$  by simp
    from  $\text{not0 } R[\text{THEN spec, of } n \ \text{div } k, \text{ THEN spec, of } n \ \text{mod } k]$ 
    show  $?P$  by simp
  qed
qed

lemma split-div-lemma:
  assumes  $0 < n$ 
  shows  $n * q \leq m \wedge m < n * \text{Suc } q \longleftrightarrow q = ((m::\text{nat}) \ \text{div } n) \ (\text{is } ?lhs \longleftrightarrow ?rhs)$ 
proof
  assume  $?rhs$ 
  with mult-div-cancel have  $nq: n * q = m - (m \ \text{mod } n)$  by simp
  then have  $A: n * q \leq m$  by simp
  have  $n - (m \ \text{mod } n) > 0$  using mod-less-divisor assms by auto
  then have  $m < m + (n - (m \ \text{mod } n))$  by simp
  then have  $m < n + (m - (m \ \text{mod } n))$  by simp
  with  $nq$  have  $m < n + n * q$  by simp
  then have  $B: m < n * \text{Suc } q$  by simp
  from  $A \ B$  show  $?lhs$  ..
next
  assume  $P: ?lhs$ 
  then have divmod-rel  $m \ n \ q \ (m - n * q)$ 
    unfolding divmod-rel-def by (auto simp add: mult-ac)
  then show  $?rhs$  using divmod-rel by (rule divmod-rel-unique-div)
qed

theorem split-div':
   $P \ ((m::\text{nat}) \ \text{div } n) = ((n = 0 \wedge P \ 0) \vee$ 
     $(\exists q. (n * q \leq m \wedge m < n * (\text{Suc } q)) \wedge P \ q))$ 
  apply (case-tac  $0 < n$ )
  apply (simp only: add: split-div-lemma)
  apply (simp-all add: DIVISION-BY-ZERO-DIV)
  done

```

```

lemma split-mod:
   $P(n \bmod k :: \text{nat}) =$ 
   $((k = 0 \longrightarrow P\ n) \wedge (k \neq 0 \longrightarrow (!i. !j < k. n = k*i + j \longrightarrow P\ j)))$ 
   $(\text{is } ?P = ?Q \text{ is } - = (- \wedge (- \longrightarrow ?R)))$ 
proof
  assume  $P: ?P$ 
  show  $?Q$ 
  proof (cases)
    assume  $k = 0$ 
    with  $P$  show  $?Q$  by(simp add:DIVISION-BY-ZERO-MOD)
  next
    assume  $\text{not0}: k \neq 0$ 
    thus  $?Q$ 
    proof (simp, intro allI impI)
      fix  $i\ j$ 
      assume  $n = k*i + j\ j < k$ 
      thus  $P\ j$  using  $\text{not0}\ P$  by(simp add:add-ac mult-ac)
    qed
  qed
next
  assume  $Q: ?Q$ 
  show  $?P$ 
  proof (cases)
    assume  $k = 0$ 
    with  $Q$  show  $?P$  by(simp add:DIVISION-BY-ZERO-MOD)
  next
    assume  $\text{not0}: k \neq 0$ 
    with  $Q$  have  $R: ?R$  by simp
    from  $\text{not0}\ R$  [THEN spec, of n div k, THEN spec, of n mod k]
    show  $?P$  by simp
  qed
qed

theorem mod-div-equality':  $(m :: \text{nat}) \bmod n = m - (m \text{ div } n) * n$ 
  apply (rule-tac P=%x. m mod n = x - (m div n) * n in
    subst [OF mod-div-equality [of - n]])
  apply arith
  done

lemma div-mod-equality':
  fixes  $m\ n :: \text{nat}$ 
  shows  $m \text{ div } n * n = m - m \bmod n$ 
proof –
  have  $m \bmod n \leq m \bmod n ..$ 
  from div-mod-equality have
     $m \text{ div } n * n + m \bmod n - m \bmod n = m - m \bmod n$  by simp
  with diff-add-assoc [OF (m mod n ≤ m mod n), of m div n * n] have
     $m \text{ div } n * n + (m \bmod n - m \bmod n) = m - m \bmod n$ 
  by simp

```

```

    then show ?thesis by simp
qed

```

### 16.3.7 An “induction” law for modulus arithmetic.

```

lemma mod-induct-0:
  assumes step:  $\forall i < p. P\ i \longrightarrow P\ ((\text{Suc } i) \bmod p)$ 
  and base:  $P\ 0$  and  $i: i < p$ 
  shows  $P\ 0$ 
proof (rule ccontr)
  assume contra:  $\neg(P\ 0)$ 
  from  $i$  have  $p: 0 < p$  by simp
  have  $\forall k. 0 < k \longrightarrow \neg P\ (p-k)$  (is  $\forall k. ?A\ k$ )
  proof
    fix  $k$ 
    show ?A  $k$ 
    proof (induct  $k$ )
      show ?A  $0$  by simp — by contradiction
    next
      fix  $n$ 
      assume ih: ?A  $n$ 
      show ?A  $(\text{Suc } n)$ 
      proof (clarsimp)
        assume  $y: P\ (p - \text{Suc } n)$ 
        have  $n: \text{Suc } n < p$ 
        proof (rule ccontr)
          assume  $\neg(\text{Suc } n < p)$ 
          hence  $p - \text{Suc } n = 0$ 
            by simp
          with  $y$  contra show False
            by simp
        qed
        hence  $n2: \text{Suc } (p - \text{Suc } n) = p - n$  by arith
        from  $p$  have  $p - \text{Suc } n < p$  by arith
        with  $y$  step have  $z: P\ ((\text{Suc } (p - \text{Suc } n)) \bmod p)$ 
          by blast
        show False
      proof (cases  $n=0$ )
        case True
          with  $z\ n2$  contra show ?thesis by simp
        next
          case False
            with  $p$  have  $p - n < p$  by arith
            with  $z\ n2\ False\ ih$  show ?thesis by simp
      qed
    qed
  qed
  qed
  moreover

```

```

from  $i$  obtain  $k$  where  $0 < k \wedge i + k = p$ 
  by (blast dest: less-imp-add-positive)
hence  $0 < k \wedge i = p - k$  by auto
moreover
note base
ultimately
show False by blast
qed

lemma mod-induct:
  assumes step:  $\forall i < p. P\ i \longrightarrow P\ ((\text{Suc } i) \bmod p)$ 
  and base:  $P\ i$  and  $i: i < p$  and  $j: j < p$ 
  shows  $P\ j$ 
proof –
  have  $\forall j < p. P\ j$ 
  proof
    fix  $j$ 
    show  $j < p \longrightarrow P\ j$  (is  $?A\ j$ )
    proof (induct j)
      from step base i show  $?A\ 0$ 
      by (auto elim: mod-induct-0)
    next
      fix  $k$ 
      assume ih:  $?A\ k$ 
      show  $?A\ (\text{Suc } k)$ 
      proof
        assume suc:  $\text{Suc } k < p$ 
        hence  $k: k < p$  by simp
        with ih have  $P\ k$  ..
        with step k have  $P\ (\text{Suc } k \bmod p)$ 
        by blast
        moreover
        from suc have  $\text{Suc } k \bmod p = \text{Suc } k$ 
        by simp
        ultimately
        show  $P\ (\text{Suc } k)$  by simp
      qed
    qed
  qed
  with  $j$  show  $?thesis$  by blast
qed

end

```

## 17 Relation: Relations

```

theory Relation
imports Product-Type

```



**begin**

## 17.1 Definitions

**definition**

$converse :: ('a * 'b) set \Rightarrow ('b * 'a) set$   
 $((-^{\wedge} -1) [1000] 999) \textbf{ where}$   
 $r^{\wedge} -1 == \{(y, x). (x, y) : r\}$

**notation** (*xsymbols*)

$converse \quad ((-^{-1}) [1000] 999)$

**definition**

$rel-comp :: [('b * 'c) set, ('a * 'b) set] \Rightarrow ('a * 'c) set$   
 $(\textbf{infixr } O \ 75) \textbf{ where}$   
 $r \ O \ s == \{(x, z). \ EX \ y. (x, y) : s \ \& \ (y, z) : r\}$

**definition**

$Image :: [('a * 'b) set, 'a set] \Rightarrow 'b set$   
 $(\textbf{infixl } `` \ 90) \textbf{ where}$   
 $r \ `` \ s == \{y. \ EX \ x:s. (x, y):r\}$

**definition**

$Id :: ('a * 'a) set \textbf{ where}$  — the identity relation  
 $Id == \{p. \ EX \ x. p = (x, x)\}$

**definition**

$diag :: 'a set \Rightarrow ('a * 'a) set \textbf{ where}$  — diagonal: identity over a set  
 $diag \ A == \bigcup_{x \in A}. \{(x, x)\}$

**definition**

$Domain :: ('a * 'b) set \Rightarrow 'a set \textbf{ where}$   
 $Domain \ r == \{x. \ EX \ y. (x, y):r\}$

**definition**

$Range :: ('a * 'b) set \Rightarrow 'b set \textbf{ where}$   
 $Range \ r == Domain(r^{\wedge} -1)$

**definition**

$Field :: ('a * 'a) set \Rightarrow 'a set \textbf{ where}$   
 $Field \ r == Domain \ r \cup Range \ r$

**definition**

$refl :: ['a set, ('a * 'a) set] \Rightarrow bool \textbf{ where}$  — reflexivity over a set  
 $refl \ A \ r == r \subseteq A \times A \ \& \ (ALL \ x: A. (x, x) : r)$

**abbreviation**

$reflexive :: ('a * 'a) set \Rightarrow bool \textbf{ where}$  — reflexivity over a type  
 $reflexive == refl \ UNIV$

**definition**

$sym :: ('a * 'a) set \Rightarrow bool$  **where** — symmetry predicate  
 $sym\ r == ALL\ x\ y. (x,y):r \longrightarrow (y,x):r$

**definition**

$antisym :: ('a * 'a) set \Rightarrow bool$  **where** — antisymmetry predicate  
 $antisym\ r == ALL\ x\ y. (x,y):r \longrightarrow (y,x):r \longrightarrow x=y$

**definition**

$trans :: ('a * 'a) set \Rightarrow bool$  **where** — transitivity predicate  
 $trans\ r == (ALL\ x\ y\ z. (x,y):r \longrightarrow (y,z):r \longrightarrow (x,z):r)$

**definition**

$single-valued :: ('a * 'b) set \Rightarrow bool$  **where**  
 $single-valued\ r == ALL\ x\ y. (x,y):r \longrightarrow (ALL\ z. (x,z):r \longrightarrow y=z)$

**definition**

$inv-image :: ('b * 'b) set \Rightarrow ('a \Rightarrow 'b) \Rightarrow ('a * 'a) set$  **where**  
 $inv-image\ r\ f == \{(x, y). (f\ x, f\ y) : r\}$

**17.2 The identity relation**

**lemma**  $IdI$  [intro]:  $(a, a) : Id$   
**by** (*simp add: Id-def*)

**lemma**  $IdE$  [elim!]:  $p : Id \Longrightarrow (!x. p = (x, x) \Longrightarrow P) \Longrightarrow P$   
**by** (*unfold Id-def*) (*iprover elim: CollectE*)

**lemma**  $pair-in-Id-conv$  [iff]:  $((a, b) : Id) = (a = b)$   
**by** (*unfold Id-def*) *blast*

**lemma**  $reflexive-Id$ :  $reflexive\ Id$   
**by** (*simp add: refl-def*)

**lemma**  $antisym-Id$ :  $antisym\ Id$   
 — A strange result, since  $Id$  is also symmetric.  
**by** (*simp add: antisym-def*)

**lemma**  $sym-Id$ :  $sym\ Id$   
**by** (*simp add: sym-def*)

**lemma**  $trans-Id$ :  $trans\ Id$   
**by** (*simp add: trans-def*)

**17.3 Diagonal: identity over a set**

**lemma**  $diag-empty$  [simp]:  $diag\ \{\} = \{\}$   
**by** (*simp add: diag-def*)

**lemma** *diag-eqI*:  $a = b \implies a : A \implies (a, b) : \text{diag } A$   
**by** (*simp add: diag-def*)

**lemma** *diagI* [*intro!, noatp*]:  $a : A \implies (a, a) : \text{diag } A$   
**by** (*rule diag-eqI*) (*rule refl*)

**lemma** *diagE* [*elim!*]:  
 $c : \text{diag } A \implies (!x. x : A \implies c = (x, x) \implies P) \implies P$   
 — The general elimination rule.  
**by** (*unfold diag-def*) (*iprover elim!: UN-E singletonE*)

**lemma** *diag-iff*:  $((x, y) : \text{diag } A) = (x = y \ \& \ x : A)$   
**by** *blast*

**lemma** *diag-subset-Times*:  $\text{diag } A \subseteq A \times A$   
**by** *blast*

## 17.4 Composition of two relations

**lemma** *rel-compI* [*intro*]:  
 $(a, b) : s \implies (b, c) : r \implies (a, c) : r \ O \ s$   
**by** (*unfold rel-comp-def*) *blast*

**lemma** *rel-compE* [*elim!*]:  $xz : r \ O \ s \implies$   
 $(!x \ y \ z. xz = (x, z) \implies (x, y) : s \implies (y, z) : r \implies P) \implies P$   
**by** (*unfold rel-comp-def*) (*iprover elim!: CollectE splitE exE conjE*)

**lemma** *rel-compEpair*:  
 $(a, c) : r \ O \ s \implies (!y. (a, y) : s \implies (y, c) : r \implies P) \implies P$   
**by** (*iprover elim: rel-compE Pair-inject ssubst*)

**lemma** *R-O-Id* [*simp*]:  $R \ O \ \text{Id} = R$   
**by** *fast*

**lemma** *Id-O-R* [*simp*]:  $\text{Id} \ O \ R = R$   
**by** *fast*

**lemma** *rel-comp-empty1* [*simp*]:  $\{\} \ O \ R = \{\}$   
**by** *blast*

**lemma** *rel-comp-empty2* [*simp*]:  $R \ O \ \{\} = \{\}$   
**by** *blast*

**lemma** *O-assoc*:  $(R \ O \ S) \ O \ T = R \ O \ (S \ O \ T)$   
**by** *blast*

**lemma** *trans-O-subset*:  $\text{trans } r \implies r \ O \ r \subseteq r$   
**by** (*unfold trans-def*) *blast*

**lemma** *rel-comp-mono*:  $r' \subseteq r \implies s' \subseteq s \implies (r' \circ s') \subseteq (r \circ s)$   
**by** *blast*

**lemma** *rel-comp-subset-Sigma*:  
 $s \subseteq A \times B \implies r \subseteq B \times C \implies (r \circ s) \subseteq A \times C$   
**by** *blast*

## 17.5 Reflexivity

**lemma** *reflI*:  $r \subseteq A \times A \implies (!x. x : A \implies (x, x) : r) \implies \text{refl } A \ r$   
**by** (*unfold refl-def*) (*iprover intro! ballI*)

**lemma** *reflD*:  $\text{refl } A \ r \implies a : A \implies (a, a) : r$   
**by** (*unfold refl-def*) *blast*

**lemma** *reflD1*:  $\text{refl } A \ r \implies (x, y) : r \implies x : A$   
**by** (*unfold refl-def*) *blast*

**lemma** *reflD2*:  $\text{refl } A \ r \implies (x, y) : r \implies y : A$   
**by** (*unfold refl-def*) *blast*

**lemma** *refl-Int*:  $\text{refl } A \ r \implies \text{refl } B \ s \implies \text{refl } (A \cap B) \ (r \cap s)$   
**by** (*unfold refl-def*) *blast*

**lemma** *refl-Un*:  $\text{refl } A \ r \implies \text{refl } B \ s \implies \text{refl } (A \cup B) \ (r \cup s)$   
**by** (*unfold refl-def*) *blast*

**lemma** *refl-INTER*:  
 $\text{ALL } x:S. \text{refl } (A \ x) \ (r \ x) \implies \text{refl } (\text{INTER } S \ A) \ (\text{INTER } S \ r)$   
**by** (*unfold refl-def*) *fast*

**lemma** *refl-UNION*:  
 $\text{ALL } x:S. \text{refl } (A \ x) \ (r \ x) \implies \text{refl } (\text{UNION } S \ A) \ (\text{UNION } S \ r)$   
**by** (*unfold refl-def*) *blast*

**lemma** *refl-empty[simp]*:  $\text{refl } \{\} \ \{\}$   
**by**(*simp add:refl-def*)

**lemma** *refl-diag*:  $\text{refl } A \ (\text{diag } A)$   
**by** (*rule reflI [OF diag-subset-Times diagI]*)

## 17.6 Antisymmetry

**lemma** *antisymI*:  
 $(!x \ y. (x, y) : r \implies (y, x) : r \implies x=y) \implies \text{antisym } r$   
**by** (*unfold antisym-def*) *iprover*

**lemma** *antisymD*:  $\text{antisym } r \implies (a, b) : r \implies (b, a) : r \implies a = b$   
**by** (*unfold antisym-def*) *iprover*

**lemma** *antisym-subset*:  $r \subseteq s \implies \text{antisym } s \implies \text{antisym } r$   
**by** (*unfold antisym-def*) *blast*

**lemma** *antisym-empty* [*simp*]:  $\text{antisym } \{\}$   
**by** (*unfold antisym-def*) *blast*

**lemma** *antisym-diag* [*simp*]:  $\text{antisym } (\text{diag } A)$   
**by** (*unfold antisym-def*) *blast*

## 17.7 Symmetry

**lemma** *symI*:  $(\forall a\ b. (a, b) : r \implies (b, a) : r) \implies \text{sym } r$   
**by** (*unfold sym-def*) *iprover*

**lemma** *symD*:  $\text{sym } r \implies (a, b) : r \implies (b, a) : r$   
**by** (*unfold sym-def*, *blast*)

**lemma** *sym-Int*:  $\text{sym } r \implies \text{sym } s \implies \text{sym } (r \cap s)$   
**by** (*fast intro: symI dest: symD*)

**lemma** *sym-Un*:  $\text{sym } r \implies \text{sym } s \implies \text{sym } (r \cup s)$   
**by** (*fast intro: symI dest: symD*)

**lemma** *sym-INTER*:  $\text{ALL } x:S. \text{sym } (r\ x) \implies \text{sym } (\text{INTER } S\ r)$   
**by** (*fast intro: symI dest: symD*)

**lemma** *sym-UNION*:  $\text{ALL } x:S. \text{sym } (r\ x) \implies \text{sym } (\text{UNION } S\ r)$   
**by** (*fast intro: symI dest: symD*)

**lemma** *sym-diag* [*simp*]:  $\text{sym } (\text{diag } A)$   
**by** (*rule symI*) *clarify*

## 17.8 Transitivity

**lemma** *transI*:  
 $(\forall x\ y\ z. (x, y) : r \implies (y, z) : r \implies (x, z) : r) \implies \text{trans } r$   
**by** (*unfold trans-def*) *iprover*

**lemma** *transD*:  $\text{trans } r \implies (a, b) : r \implies (b, c) : r \implies (a, c) : r$   
**by** (*unfold trans-def*) *iprover*

**lemma** *trans-Int*:  $\text{trans } r \implies \text{trans } s \implies \text{trans } (r \cap s)$   
**by** (*fast intro: transI elim: transD*)

**lemma** *trans-INTER*:  $\text{ALL } x:S. \text{trans } (r\ x) \implies \text{trans } (\text{INTER } S\ r)$   
**by** (*fast intro: transI elim: transD*)

**lemma** *trans-diag* [*simp*]:  $\text{trans } (\text{diag } A)$   
**by** (*fast intro: transI elim: transD*)

## 17.9 Converse

**lemma** *converse-iff* [*iff*]:  $((a,b): r^{-1}) = ((b,a): r)$   
**by** (*simp add: converse-def*)

**lemma** *converseI*[*sym*]:  $(a, b): r \implies (b, a): r^{-1}$   
**by** (*simp add: converse-def*)

**lemma** *converseD*[*sym*]:  $(a,b): r^{-1} \implies (b, a): r$   
**by** (*simp add: converse-def*)

**lemma** *converseE* [*elim!*]:  
 $yx : r^{-1} \implies (!x y. yx = (y, x) \implies (x, y): r \implies P) \implies P$   
 — More general than *converseD*, as it “splits” the member of the relation.  
**by** (*unfold converse-def*) (*iprover elim!: CollectE splitE bexE*)

**lemma** *converse-converse* [*simp*]:  $(r^{-1})^{-1} = r$   
**by** (*unfold converse-def*) *blast*

**lemma** *converse-rel-comp*:  $(r \circ s)^{-1} = s^{-1} \circ r^{-1}$   
**by** *blast*

**lemma** *converse-Int*:  $(r \cap s)^{-1} = r^{-1} \cap s^{-1}$   
**by** *blast*

**lemma** *converse-Un*:  $(r \cup s)^{-1} = r^{-1} \cup s^{-1}$   
**by** *blast*

**lemma** *converse-INTER*:  $(\text{INTER } S \ r)^{-1} = (\text{INT } x:S. (r \ x)^{-1})$   
**by** *fast*

**lemma** *converse-UNION*:  $(\text{UNION } S \ r)^{-1} = (\text{UN } x:S. (r \ x)^{-1})$   
**by** *blast*

**lemma** *converse-Id* [*simp*]:  $\text{Id}^{-1} = \text{Id}$   
**by** *blast*

**lemma** *converse-diag* [*simp*]:  $(\text{diag } A)^{-1} = \text{diag } A$   
**by** *blast*

**lemma** *refl-converse* [*simp*]:  $\text{refl } A \ (\text{converse } r) = \text{refl } A \ r$   
**by** (*unfold refl-def*) *auto*

**lemma** *sym-converse* [*simp*]:  $\text{sym} \ (\text{converse } r) = \text{sym } r$   
**by** (*unfold sym-def*) *blast*

**lemma** *antisym-converse* [*simp*]:  $\text{antisym} \ (\text{converse } r) = \text{antisym } r$   
**by** (*unfold antisym-def*) *blast*

**lemma** *trans-converse* [*simp*]:  $\text{trans} \ (\text{converse } r) = \text{trans } r$

**by** (*unfold trans-def*) *blast*

**lemma** *sym-conv-converse-eq*:  $\text{sym } r = (r^{\wedge} - 1 = r)$   
**by** (*unfold sym-def*) *fast*

**lemma** *sym-Un-converse*:  $\text{sym } (r \cup r^{\wedge} - 1)$   
**by** (*unfold sym-def*) *blast*

**lemma** *sym-Int-converse*:  $\text{sym } (r \cap r^{\wedge} - 1)$   
**by** (*unfold sym-def*) *blast*

### 17.10 Domain

**declare** *Domain-def* [*noatp*]

**lemma** *Domain-iff*:  $(a : \text{Domain } r) = (EX y. (a, y) : r)$   
**by** (*unfold Domain-def*) *blast*

**lemma** *DomainI* [*intro*]:  $(a, b) : r ==> a : \text{Domain } r$   
**by** (*iprover intro!*: *iffD2* [*OF Domain-iff*])

**lemma** *DomainE* [*elim!*]:  
 $a : \text{Domain } r ==> (!y. (a, y) : r ==> P) ==> P$   
**by** (*iprover dest!*: *iffD1* [*OF Domain-iff*])

**lemma** *Domain-empty* [*simp*]:  $\text{Domain } \{\} = \{\}$   
**by** *blast*

**lemma** *Domain-insert*:  $\text{Domain } (\text{insert } (a, b) r) = \text{insert } a (\text{Domain } r)$   
**by** *blast*

**lemma** *Domain-Id* [*simp*]:  $\text{Domain } \text{Id} = \text{UNIV}$   
**by** *blast*

**lemma** *Domain-diag* [*simp*]:  $\text{Domain } (\text{diag } A) = A$   
**by** *blast*

**lemma** *Domain-Un-eq*:  $\text{Domain}(A \cup B) = \text{Domain}(A) \cup \text{Domain}(B)$   
**by** *blast*

**lemma** *Domain-Int-subset*:  $\text{Domain}(A \cap B) \subseteq \text{Domain}(A) \cap \text{Domain}(B)$   
**by** *blast*

**lemma** *Domain-Diff-subset*:  $\text{Domain}(A) - \text{Domain}(B) \subseteq \text{Domain}(A - B)$   
**by** *blast*

**lemma** *Domain-Union*:  $\text{Domain } (\text{Union } S) = (\bigcup A \in S. \text{Domain } A)$   
**by** *blast*

**lemma** *Domain-converse*[simp]:  $\text{Domain}(r^{-1}) = \text{Range } r$   
**by** (*auto simp: Range-def*)

**lemma** *Domain-mono*:  $r \subseteq s \implies \text{Domain } r \subseteq \text{Domain } s$   
**by** *blast*

**lemma** *fst-eq-Domain*:  $\text{fst} \circ R = \text{Domain } R$   
**by** (*auto intro!: image-eqI*)

### 17.11 Range

**lemma** *Range-iff*:  $(a : \text{Range } r) = (\exists y. (y, a) : r)$   
**by** (*simp add: Domain-def Range-def*)

**lemma** *RangeI* [intro]:  $(a, b) : r \implies b : \text{Range } r$   
**by** (*unfold Range-def (iprover intro!: converseI DomainI)*)

**lemma** *RangeE* [elim!]:  $b : \text{Range } r \implies (!x. (x, b) : r \implies P) \implies P$   
**by** (*unfold Range-def (iprover elim!: DomainE dest!: converseD)*)

**lemma** *Range-empty* [simp]:  $\text{Range } \{\} = \{\}$   
**by** *blast*

**lemma** *Range-insert*:  $\text{Range } (\text{insert } (a, b) r) = \text{insert } b (\text{Range } r)$   
**by** *blast*

**lemma** *Range-Id* [simp]:  $\text{Range } \text{Id} = \text{UNIV}$   
**by** *blast*

**lemma** *Range-diag* [simp]:  $\text{Range } (\text{diag } A) = A$   
**by** *auto*

**lemma** *Range-Un-eq*:  $\text{Range}(A \cup B) = \text{Range}(A) \cup \text{Range}(B)$   
**by** *blast*

**lemma** *Range-Int-subset*:  $\text{Range}(A \cap B) \subseteq \text{Range}(A) \cap \text{Range}(B)$   
**by** *blast*

**lemma** *Range-Diff-subset*:  $\text{Range}(A) - \text{Range}(B) \subseteq \text{Range}(A - B)$   
**by** *blast*

**lemma** *Range-Union*:  $\text{Range } (\text{Union } S) = (\bigcup A \in S. \text{Range } A)$   
**by** *blast*

**lemma** *Range-converse*[simp]:  $\text{Range}(r^{-1}) = \text{Domain } r$   
**by** *blast*

**lemma** *snd-eq-Range*:  $\text{snd} \circ R = \text{Range } R$   
**by** (*auto intro!: image-eqI*)



### 17.12 Field

**lemma** *mono-Field*:  $r \subseteq s \implies \text{Field } r \subseteq \text{Field } s$   
**by** (*auto simp:Field-def Domain-def Range-def*)

**lemma** *Field-empty[simp]*:  $\text{Field } \{\} = \{\}$   
**by** (*auto simp:Field-def*)

**lemma** *Field-insert[simp]*:  $\text{Field } (\text{insert } (a,b) \ r) = \{a,b\} \cup \text{Field } r$   
**by** (*auto simp:Field-def*)

**lemma** *Field-Un[simp]*:  $\text{Field } (r \cup s) = \text{Field } r \cup \text{Field } s$   
**by** (*auto simp:Field-def*)

**lemma** *Field-Union[simp]*:  $\text{Field } (\bigcup R) = \bigcup (\text{Field } ` R)$   
**by** (*auto simp:Field-def*)

**lemma** *Field-converse[simp]*:  $\text{Field } (r^{-1}) = \text{Field } r$   
**by** (*auto simp:Field-def*)

### 17.13 Image of a set under a relation

**declare** *Image-def* [*noatp*]

**lemma** *Image-iff*:  $(b : r `` A) = (EX x:A. (x, b) : r)$   
**by** (*simp add: Image-def*)

**lemma** *Image-singleton*:  $r `` \{a\} = \{b. (a, b) : r\}$   
**by** (*simp add: Image-def*)

**lemma** *Image-singleton-iff* [*iff*]:  $(b : r `` \{a\}) = ((a, b) : r)$   
**by** (*rule Image-iff [THEN trans] simp*)

**lemma** *ImageI* [*intro,noatp*]:  $(a, b) : r \implies a : A \implies b : r `` A$   
**by** (*unfold Image-def blast*)

**lemma** *ImageE* [*elim!*]:  
 $b : r `` A \implies (!x. (x, b) : r \implies x : A \implies P) \implies P$   
**by** (*unfold Image-def (iprover elim!: CollectE bexE)*)

**lemma** *rev-ImageI*:  $a : A \implies (a, b) : r \implies b : r `` A$   
 — This version’s more effective when we already have the required  $a$   
**by** *blast*

**lemma** *Image-empty* [*simp*]:  $R `` \{\} = \{\}$   
**by** *blast*

**lemma** *Image-Id* [*simp*]:  $\text{Id} `` A = A$   
**by** *blast*

**lemma** *Image-diag* [*simp*]:  $\text{diag } A \text{ “ } B = A \cap B$   
**by** *blast*

**lemma** *Image-Int-subset*:  $R \text{ “ } (A \cap B) \subseteq R \text{ “ } A \cap R \text{ “ } B$   
**by** *blast*

**lemma** *Image-Int-eq*:  
 $\text{single-valued } (\text{converse } R) \implies R \text{ “ } (A \cap B) = R \text{ “ } A \cap R \text{ “ } B$   
**by** (*simp add: single-valued-def, blast*)

**lemma** *Image-Un*:  $R \text{ “ } (A \cup B) = R \text{ “ } A \cup R \text{ “ } B$   
**by** *blast*

**lemma** *Un-Image*:  $(R \cup S) \text{ “ } A = R \text{ “ } A \cup S \text{ “ } A$   
**by** *blast*

**lemma** *Image-subset*:  $r \subseteq A \times B \implies r \text{ “ } C \subseteq B$   
**by** (*iprover intro!: subsetI elim!: ImageE dest!: subsetD SigmaD2*)

**lemma** *Image-eq-UN*:  $r \text{ “ } B = (\bigcup y \in B. r \text{ “ } \{y\})$   
 — NOT suitable for rewriting  
**by** *blast*

**lemma** *Image-mono*:  $r' \subseteq r \implies A' \subseteq A \implies (r' \text{ “ } A') \subseteq (r \text{ “ } A)$   
**by** *blast*

**lemma** *Image-UN*:  $(r \text{ “ } (\text{UNION } A \ B)) = (\bigcup x \in A. r \text{ “ } (B \ x))$   
**by** *blast*

**lemma** *Image-INT-subset*:  $(r \text{ “ } \text{INTER } A \ B) \subseteq (\bigcap x \in A. r \text{ “ } (B \ x))$   
**by** *blast*

Converse inclusion requires some assumptions

**lemma** *Image-INT-eq*:  
 $[[\text{single-valued } (r^{-1}); A \neq \{\}]] \implies r \text{ “ } \text{INTER } A \ B = (\bigcap x \in A. r \text{ “ } B \ x)$   
**apply** (*rule equalityI*)  
**apply** (*rule Image-INT-subset*)  
**apply** (*simp add: single-valued-def, blast*)  
**done**

**lemma** *Image-subset-eq*:  $(r \text{ “ } A \subseteq B) = (A \subseteq - ((r^{-1}) \text{ “ } (-B)))$   
**by** *blast*

## 17.14 Single valued relations

**lemma** *single-valuedI*:  
 $\text{ALL } x \ y. (x, y):r \dashrightarrow (\text{ALL } z. (x, z):r \dashrightarrow y=z) \implies \text{single-valued } r$   
**by** (*unfold single-valued-def*)

**lemma** *single-valuedD*:

*single-valued*  $r \implies (x, y) : r \implies (x, z) : r \implies y = z$   
**by** (*simp add: single-valued-def*)

**lemma** *single-valued-rel-comp*:

*single-valued*  $r \implies \text{single-valued } s \implies \text{single-valued } (r \circ s)$   
**by** (*unfold single-valued-def blast*)

**lemma** *single-valued-subset*:

$r \subseteq s \implies \text{single-valued } s \implies \text{single-valued } r$   
**by** (*unfold single-valued-def blast*)

**lemma** *single-valued-Id* [*simp*]: *single-valued Id*

**by** (*unfold single-valued-def blast*)

**lemma** *single-valued-diag* [*simp*]: *single-valued (diag A)*

**by** (*unfold single-valued-def blast*)

### 17.15 Graphs given by *Collect*

**lemma** *Domain-Collect-split* [*simp*]:  $\text{Domain}\{(x,y). P\ x\ y\} = \{x. \text{EX } y. P\ x\ y\}$

**by** *auto*

**lemma** *Range-Collect-split* [*simp*]:  $\text{Range}\{(x,y). P\ x\ y\} = \{y. \text{EX } x. P\ x\ y\}$

**by** *auto*

**lemma** *Image-Collect-split* [*simp*]:  $\{(x,y). P\ x\ y\} \text{ “ } A = \{y. \text{EX } x:A. P\ x\ y\}$

**by** *auto*

### 17.16 Inverse image

**lemma** *sym-inv-image*:  $\text{sym } r \implies \text{sym } (\text{inv-image } r\ f)$

**by** (*unfold sym-def inv-image-def blast*)

**lemma** *trans-inv-image*:  $\text{trans } r \implies \text{trans } (\text{inv-image } r\ f)$

**apply** (*unfold trans-def inv-image-def*)

**apply** (*simp (no-asm)*)

**apply** *blast*

**done**

### 17.17 Version of *lfp-induct* for binary relations

**lemmas** *lfp-induct2* =

*lfp-induct-set* [*of* (*a*, *b*), *split-format* (*complete*)]

**end**

## 18 Predicate: Predicates

```
theory Predicate
imports Inductive Relation
begin
```

### 18.1 Equality and Subsets

```
lemma pred-equals-eq:  $((\lambda x. x \in R) = (\lambda x. x \in S)) = (R = S)$ 
  by (simp add: mem-def)
```

```
lemma pred-equals-eq2 [pred-set-conv]:  $((\lambda x y. (x, y) \in R) = (\lambda x y. (x, y) \in S))$ 
 $= (R = S)$ 
  by (simp add: expand-fun-eq mem-def)
```

```
lemma pred-subset-eq:  $((\lambda x. x \in R) \leq (\lambda x. x \in S)) = (R \leq S)$ 
  by (simp add: mem-def)
```

```
lemma pred-subset-eq2 [pred-set-conv]:  $((\lambda x y. (x, y) \in R) \leq (\lambda x y. (x, y) \in S))$ 
 $= (R \leq S)$ 
  by fast
```

### 18.2 Top and bottom elements

```
lemma top1I [intro!]: top x
  by (simp add: top-fun-eq top-bool-eq)
```

```
lemma top2I [intro!]: top x y
  by (simp add: top-fun-eq top-bool-eq)
```

```
lemma bot1E [elim!]: bot x  $\implies P$ 
  by (simp add: bot-fun-eq bot-bool-eq)
```

```
lemma bot2E [elim!]: bot x y  $\implies P$ 
  by (simp add: bot-fun-eq bot-bool-eq)
```

### 18.3 The empty set

```
lemma bot-empty-eq: bot =  $(\lambda x. x \in \{\})$ 
  by (auto simp add: expand-fun-eq)
```

```
lemma bot-empty-eq2: bot =  $(\lambda x y. (x, y) \in \{\})$ 
  by (auto simp add: expand-fun-eq)
```

### 18.4 Binary union

```
lemma sup1-iff [simp]: sup A B x  $\longleftrightarrow A x \mid B x$ 
  by (simp add: sup-fun-eq sup-bool-eq)
```

```
lemma sup2-iff [simp]: sup A B x y  $\longleftrightarrow A x y \mid B x y$ 
```

**by** (*simp add: sup-fun-eq sup-bool-eq*)

**lemma** *sup-Un-eq* [*pred-set-conv*]:  $\sup (\lambda x. x \in R) (\lambda x. x \in S) = (\lambda x. x \in R \cup S)$

**by** (*simp add: expand-fun-eq*)

**lemma** *sup-Un-eq2* [*pred-set-conv*]:  $\sup (\lambda x y. (x, y) \in R) (\lambda x y. (x, y) \in S) = (\lambda x y. (x, y) \in R \cup S)$

**by** (*simp add: expand-fun-eq*)

**lemma** *sup1I1* [*elim?*]:  $A x \implies \sup A B x$

**by** *simp*

**lemma** *sup2I1* [*elim?*]:  $A x y \implies \sup A B x y$

**by** *simp*

**lemma** *sup1I2* [*elim?*]:  $B x \implies \sup A B x$

**by** *simp*

**lemma** *sup2I2* [*elim?*]:  $B x y \implies \sup A B x y$

**by** *simp*

Classical introduction rule: no commitment to  $A$  vs  $B$ .

**lemma** *sup1CI* [*intro!*]:  $(\sim B x \implies A x) \implies \sup A B x$

**by** *auto*

**lemma** *sup2CI* [*intro!*]:  $(\sim B x y \implies A x y) \implies \sup A B x y$

**by** *auto*

**lemma** *sup1E* [*elim!*]:  $\sup A B x \implies (A x \implies P) \implies (B x \implies P) \implies P$

**by** *simp iprover*

**lemma** *sup2E* [*elim!*]:  $\sup A B x y \implies (A x y \implies P) \implies (B x y \implies P) \implies P$

**by** *simp iprover*

## 18.5 Binary intersection

**lemma** *inf1-iff* [*simp*]:  $\inf A B x \longleftrightarrow A x \wedge B x$

**by** (*simp add: inf-fun-eq inf-bool-eq*)

**lemma** *inf2-iff* [*simp*]:  $\inf A B x y \longleftrightarrow A x y \wedge B x y$

**by** (*simp add: inf-fun-eq inf-bool-eq*)

**lemma** *inf-Int-eq* [*pred-set-conv*]:  $\inf (\lambda x. x \in R) (\lambda x. x \in S) = (\lambda x. x \in R \cap S)$

**by** (*simp add: expand-fun-eq*)

**lemma** *inf-Int-eq2* [*pred-set-conv*]:  $\inf (\lambda x y. (x, y) \in R) (\lambda x y. (x, y) \in S) =$   
 $(\lambda x y. (x, y) \in R \cap S)$

**by** (*simp add: expand-fun-eq*)

**lemma** *inf1I* [*intro!*]:  $A x \implies B x \implies \inf A B x$

**by** *simp*

**lemma** *inf2I* [*intro!*]:  $A x y \implies B x y \implies \inf A B x y$

**by** *simp*

**lemma** *inf1D1*:  $\inf A B x \implies A x$

**by** *simp*

**lemma** *inf2D1*:  $\inf A B x y \implies A x y$

**by** *simp*

**lemma** *inf1D2*:  $\inf A B x \implies B x$

**by** *simp*

**lemma** *inf2D2*:  $\inf A B x y \implies B x y$

**by** *simp*

**lemma** *inf1E* [*elim!*]:  $\inf A B x \implies (A x \implies B x \implies P) \implies P$

**by** *simp*

**lemma** *inf2E* [*elim!*]:  $\inf A B x y \implies (A x y \implies B x y \implies P) \implies P$

**by** *simp*

## 18.6 Unions of families

**lemma** *SUP1-iff* [*simp*]:  $(\text{SUP } x:A. B x) b = (\text{EX } x:A. B x b)$

**by** (*simp add: SUPR-def Sup-fun-def Sup-bool-def*) *blast*

**lemma** *SUP2-iff* [*simp*]:  $(\text{SUP } x:A. B x) b c = (\text{EX } x:A. B x b c)$

**by** (*simp add: SUPR-def Sup-fun-def Sup-bool-def*) *blast*

**lemma** *SUP1-I* [*intro*]:  $a : A \implies B a b \implies (\text{SUP } x:A. B x) b$

**by** *auto*

**lemma** *SUP2-I* [*intro*]:  $a : A \implies B a b c \implies (\text{SUP } x:A. B x) b c$

**by** *auto*

**lemma** *SUP1-E* [*elim!*]:  $(\text{SUP } x:A. B x) b \implies (!x. x : A \implies B x b \implies R) \implies R$

**by** *auto*

**lemma** *SUP2-E* [*elim!*]:  $(\text{SUP } x:A. B x) b c \implies (!x. x : A \implies B x b c \implies R) \implies R$

**by** *auto*

**lemma** *SUP-UN-eq*:  $(\text{SUP } i. (\lambda x. x \in r \ i)) = (\lambda x. x \in (\text{UN } i. r \ i))$   
**by** (*simp add: expand-fun-eq*)

**lemma** *SUP-UN-eq2*:  $(\text{SUP } i. (\lambda x y. (x, y) \in r \ i)) = (\lambda x y. (x, y) \in (\text{UN } i. r \ i))$   
**by** (*simp add: expand-fun-eq*)

## 18.7 Intersections of families

**lemma** *INF1-iff* [*simp*]:  $(\text{INF } x:A. B \ x) \ b = (\text{ALL } x:A. B \ x \ b)$   
**by** (*simp add: INFI-def Inf-fun-def Inf-bool-def*) *blast*

**lemma** *INF2-iff* [*simp*]:  $(\text{INF } x:A. B \ x) \ b \ c = (\text{ALL } x:A. B \ x \ b \ c)$   
**by** (*simp add: INFI-def Inf-fun-def Inf-bool-def*) *blast*

**lemma** *INF1-I* [*intro!*]:  $(!!x. x : A ==> B \ x \ b) ==> (\text{INF } x:A. B \ x) \ b$   
**by** *auto*

**lemma** *INF2-I* [*intro!*]:  $(!!x. x : A ==> B \ x \ b \ c) ==> (\text{INF } x:A. B \ x) \ b \ c$   
**by** *auto*

**lemma** *INF1-D* [*elim*]:  $(\text{INF } x:A. B \ x) \ b ==> a : A ==> B \ a \ b$   
**by** *auto*

**lemma** *INF2-D* [*elim*]:  $(\text{INF } x:A. B \ x) \ b \ c ==> a : A ==> B \ a \ b \ c$   
**by** *auto*

**lemma** *INF1-E* [*elim*]:  $(\text{INF } x:A. B \ x) \ b ==> (B \ a \ b ==> R) ==> (a \sim: A ==> R) ==> R$   
**by** *auto*

**lemma** *INF2-E* [*elim*]:  $(\text{INF } x:A. B \ x) \ b \ c ==> (B \ a \ b \ c ==> R) ==> (a \sim: A ==> R) ==> R$   
**by** *auto*

**lemma** *INF-INT-eq*:  $(\text{INF } i. (\lambda x. x \in r \ i)) = (\lambda x. x \in (\text{INT } i. r \ i))$   
**by** (*simp add: expand-fun-eq*)

**lemma** *INF-INT-eq2*:  $(\text{INF } i. (\lambda x y. (x, y) \in r \ i)) = (\lambda x y. (x, y) \in (\text{INT } i. r \ i))$   
**by** (*simp add: expand-fun-eq*)

## 18.8 Composition of two relations

**inductive**

*pred-comp* ::  $[ 'b ==> 'c ==> \text{bool}, 'a ==> 'b ==> \text{bool} ] ==> 'a ==> 'c ==> \text{bool}$   
 (*infixr OO 75*)

**for** *r* ::  $'b ==> 'c ==> \text{bool}$  **and** *s* ::  $'a ==> 'b ==> \text{bool}$

**where**

*pred-compI* [*intro*]:  $s \ a \ b ==> r \ b \ c ==> (r \ OO \ s) \ a \ c$

**inductive-cases** *pred-compE* [*elim!*]: ( $r \text{ OO } s$ )  $a \ c$

**lemma** *pred-comp-rel-comp-eq* [*pred-set-conv*]:

$((\lambda x y. (x, y) \in r) \text{ OO } (\lambda x y. (x, y) \in s)) = (\lambda x y. (x, y) \in r \text{ O } s))$   
**by** (*auto simp add: expand-fun-eq elim: pred-compE*)

## 18.9 Converse

**inductive**

*conversep* :: ( $'a \Rightarrow 'b \Rightarrow \text{bool}$ )  $\Rightarrow 'b \Rightarrow 'a \Rightarrow \text{bool}$   
 $((-\hat{\ }--1) \ [1000] \ 1000)$   
**for**  $r :: 'a \Rightarrow 'b \Rightarrow \text{bool}$

**where**

*conversepI*:  $r \ a \ b \ ==> r \hat{\ }--1 \ b \ a$

**notation** (*xsymbols*)

*conversep*  $((-^{-1-1}) \ [1000] \ 1000)$

**lemma** *conversepD*:

**assumes**  $ab: r \hat{\ }--1 \ a \ b$   
**shows**  $r \ b \ a$  **using**  $ab$   
**by** *cases simp*

**lemma** *conversep-iff* [*iff*]:  $r \hat{\ }--1 \ a \ b = r \ b \ a$

**by** (*iprover intro: conversepI dest: conversepD*)

**lemma** *conversep-converse-eq* [*pred-set-conv*]:

$(\lambda x y. (x, y) \in r) \hat{\ }--1 = (\lambda x y. (x, y) \in r \hat{\ }-1)$   
**by** (*auto simp add: expand-fun-eq*)

**lemma** *conversep-conversep* [*simp*]:  $(r \hat{\ }--1) \hat{\ }--1 = r$

**by** (*iprover intro: order-antisym conversepI dest: conversepD*)

**lemma** *converse-pred-comp*:  $(r \text{ OO } s) \hat{\ }--1 = s \hat{\ }--1 \text{ OO } r \hat{\ }--1$

**by** (*iprover intro: order-antisym conversepI pred-compI*  
*elim: pred-compE dest: conversepD*)

**lemma** *converse-meet*:  $(\inf r \ s) \hat{\ }--1 = \inf r \hat{\ }--1 \ s \hat{\ }--1$

**by** (*simp add: inf-fun-eq inf-bool-eq*)  
*(iprover intro: conversepI ext dest: conversepD)*

**lemma** *converse-join*:  $(\sup r \ s) \hat{\ }--1 = \sup r \hat{\ }--1 \ s \hat{\ }--1$

**by** (*simp add: sup-fun-eq sup-bool-eq*)  
*(iprover intro: conversepI ext dest: conversepD)*

**lemma** *conversep-noteq* [*simp*]:  $(op \ \sim) \hat{\ }--1 = op \ \sim$

**by** (*auto simp add: expand-fun-eq*)

**lemma** *conversep-eq* [*simp*]:  $(op \ =) \hat{\ }--1 = op \ =$



**by** (*auto simp add: expand-fun-eq*)

### 18.10 Domain

**inductive**

*DomainP* :: (*'a* => *'b* => bool) => *'a* => bool  
**for** *r* :: *'a* => *'b* => bool

**where**

*DomainPI* [*intro*]: *r a b* ==> *DomainP r a*

**inductive-cases** *DomainPE* [*elim!*]: *DomainP r a*

**lemma** *DomainP-Domain-eq* [*pred-set-conv*]: *DomainP* ( $\lambda x y. (x, y) \in r$ ) = ( $\lambda x. x \in \text{Domain } r$ )

**by** (*blast intro!: Orderings.order-antisym predicate1I*)

### 18.11 Range

**inductive**

*RangeP* :: (*'a* => *'b* => bool) => *'b* => bool  
**for** *r* :: *'a* => *'b* => bool

**where**

*RangePI* [*intro*]: *r a b* ==> *RangeP r b*

**inductive-cases** *RangePE* [*elim!*]: *RangeP r b*

**lemma** *RangeP-Range-eq* [*pred-set-conv*]: *RangeP* ( $\lambda x y. (x, y) \in r$ ) = ( $\lambda x. x \in \text{Range } r$ )

**by** (*blast intro!: Orderings.order-antisym predicate1I*)

### 18.12 Inverse image

**definition**

*inv-imagep* :: (*'b* => *'a* => bool) => (*'a* => *'b*) => *'a* => *'a* => bool **where**  
*inv-imagep r f* ==  $\%x y. r (f x) (f y)$

**lemma** [*pred-set-conv*]: *inv-imagep* ( $\lambda x y. (x, y) \in r$ ) *f* = ( $\lambda x y. (x, y) \in \text{inv-image } r f$ )

**by** (*simp add: inv-image-def inv-imagep-def*)

**lemma** *in-inv-imagep* [*simp*]: *inv-imagep r f x y* = *r (f x) (f y)*

**by** (*simp add: inv-imagep-def*)

### 18.13 The Powerset operator

**definition** *Powp* :: (*'a* => bool) => *'a set* => bool **where**

*Powp A* ==  $\lambda B. \forall x \in B. A x$

**lemma** *Powp-Pow-eq* [*pred-set-conv*]: *Powp* ( $\lambda x. x \in A$ ) = ( $\lambda x. x \in \text{Pow } A$ )

**by** (*auto simp add: Powp-def expand-fun-eq*)

**lemmas** *Powp-mono* [mono] = *Pow-mono* [to-pred pred-subset-eq]

### 18.14 Properties of relations - predicate versions

**abbreviation** *antisymP* :: ('a => 'a => bool) => bool **where**  
*antisymP* r == *antisym* {(x, y). r x y}

**abbreviation** *transP* :: ('a => 'a => bool) => bool **where**  
*transP* r == *trans* {(x, y). r x y}

**abbreviation** *single-valuedP* :: ('a => 'b => bool) => bool **where**  
*single-valuedP* r == *single-valued* {(x, y). r x y}

**end**

## 19 Transitive-Closure: Reflexive and Transitive closure of a relation

**theory** *Transitive-Closure*  
**imports** *Predicate*  
**uses** *~~/src/Provers/trancl.ML*  
**begin**

*rtrancl* is reflexive/transitive closure, *trancl* is transitive closure, *reflcl* is reflexive closure.

These postfix operators have *maximum priority*, forcing their operands to be atomic.

### inductive-set

*rtrancl* :: ('a × 'a) set ⇒ ('a × 'a) set ((-^\*) [1000] 999)  
**for** *r* :: ('a × 'a) set

### where

*rtrancl-refl* [intro!, Pure.intro!, simp]: (a, a) : r^\*  
| *rtrancl-into-rtrancl* [Pure.intro]: (a, b) : r^\* ==> (b, c) : r ==> (a, c) : r^\*

### inductive-set

*trancl* :: ('a × 'a) set ⇒ ('a × 'a) set ((-^+) [1000] 999)  
**for** *r* :: ('a × 'a) set

### where

*r-into-trancl* [intro, Pure.intro]: (a, b) : r ==> (a, b) : r^+  
| *trancl-into-trancl* [Pure.intro]: (a, b) : r^+ ==> (b, c) : r ==> (a, c) : r^+

### notation

*rtranclp* ((-^\*\*) [1000] 1000) **and**  
*tranclp* ((-^++) [1000] 1000)

### abbreviation

$reflclp :: ('a \Rightarrow 'a \Rightarrow bool) \Rightarrow 'a \Rightarrow 'a \Rightarrow bool \quad ((-\hat{==}) [1000] 1000)$   
**where**  
 $r\hat{==} == sup\ r\ op =$

**abbreviation**

$refcl :: ('a \times 'a)\ set \Rightarrow ('a \times 'a)\ set \quad ((-\hat{=}) [1000] 999)$  **where**  
 $r\hat{=} == r \cup Id$

**notation** (*xsymbols*)

$rtrancp \quad ((-\hat{*}) [1000] 1000)$  **and**  
 $trancp \quad ((-\hat{++}) [1000] 1000)$  **and**  
 $reflclp \quad ((-\hat{==}) [1000] 1000)$  **and**  
 $rtranc \quad ((-\hat{*}) [1000] 999)$  **and**  
 $tranc \quad ((-\hat{+}) [1000] 999)$  **and**  
 $refcl \quad ((-\hat{=}) [1000] 999)$

**notation** (*HTML output*)

$rtrancp \quad ((-\hat{*}) [1000] 1000)$  **and**  
 $trancp \quad ((-\hat{++}) [1000] 1000)$  **and**  
 $reflclp \quad ((-\hat{==}) [1000] 1000)$  **and**  
 $rtranc \quad ((-\hat{*}) [1000] 999)$  **and**  
 $tranc \quad ((-\hat{+}) [1000] 999)$  **and**  
 $refcl \quad ((-\hat{=}) [1000] 999)$

**19.1 Reflexive closure**

**lemma** *reflexive-refcl*[simp]:  $reflexive(r\hat{=})$   
**by** (simp add: refl-def)

**lemma** *antisym-refcl*[simp]:  $antisym(r\hat{=}) = antisym\ r$   
**by** (simp add: antisym-def)

**lemma** *trans-refclI*[simp]:  $trans\ r \Longrightarrow trans(r\hat{=})$   
**unfolding** *trans-def* **by** blast

**19.2 Reflexive-transitive closure**

**lemma** *refcl-set-eq* [*pred-set-conv*]:  $(sup\ (\lambda x\ y. (x, y) \in r)\ op\ =) = (\lambda x\ y. (x, y) \in r\ Un\ Id)$   
**by** (simp add: expand-fun-eq)

**lemma** *r-into-rtranc* [*intro*]:  $!!p. p \in r \Longrightarrow p \in r\hat{*}$   
 — *rtranc* of *r* contains *r*  
**apply** (simp only: split-tupled-all)  
**apply** (erule *rtranc-refl* [THEN *rtranc-into-rtranc*])  
**done**

**lemma** *r-into-rtrancp* [*intro*]:  $r\ x\ y \Longrightarrow r\hat{*} x\ y$   
 — *rtranc* of *r* contains *r*  
**by** (erule *rtrancp.rtranc-refl* [THEN *rtrancp.rtranc-into-rtranc*])

```

lemma rtranclp-mono:  $r \leq s \implies r^{**} \leq s^{**}$ 
  — monotonicity of rtrancl
  apply (rule predicate2I)
  apply (erule rtranclp.induct)
  apply (rule-tac [2] rtranclp.rtrancl-into-rtrancl, blast+)
  done

```

```

lemmas rtrancl-mono = rtranclp-mono [to-set]

```

```

theorem rtranclp-induct [consumes 1, case-names base step, induct set: rtranclp]:
  assumes a:  $r^{**} a b$ 
  and cases:  $P a !!y z. [| r^{**} a y; r y z; P y |] \implies P z$ 
  shows  $P b$ 
proof —
  from a have  $a = a \dashrightarrow P b$ 
  by (induct  $\%x y. x = a \dashrightarrow P y a b$ ) (iprover intro: cases)+
  then show ?thesis by iprover
qed

```

```

lemmas rtrancl-induct [induct set: rtrancl] = rtranclp-induct [to-set]

```

```

lemmas rtranclp-induct2 =
  rtranclp-induct[of - (ax,ay) (bx,by), split-rule,
    consumes 1, case-names refl step]

```

```

lemmas rtrancl-induct2 =
  rtrancl-induct[of (ax,ay) (bx,by), split-format (complete),
    consumes 1, case-names refl step]

```

```

lemma reflexive-rtrancl: reflexive ( $r^*$ )
  by (unfold refl-def) fast

```

Transitivity of transitive closure.

```

lemma trans-rtrancl: trans ( $r^*$ )

```

```

proof (rule transI)
  fix x y z
  assume  $(x, y) \in r^*$ 
  assume  $(y, z) \in r^*$ 
  then show  $(x, z) \in r^*$ 
  proof induct
    case base
    show  $(x, y) \in r^*$  by fact
  next
    case (step u v)
    from  $\langle (x, u) \in r^* \rangle$  and  $\langle (u, v) \in r \rangle$ 
    show  $(x, v) \in r^*$  ..
  qed
qed

```

**lemmas** *rtrancl-trans* = *trans-rtrancl* [*THEN transD, standard*]

**lemma** *rtranclp-trans*:

assumes  $xy: r^{**} x y$   
 and  $yz: r^{**} y z$   
 shows  $r^{**} x z$  **using**  $yz xy$   
**by** *induct iprover+*

**lemma** *rtranclE* [*cases set: rtrancl*]:

assumes *major*:  $(a::'a, b) : r^*$   
**obtains**  
 (*base*)  $a = b$   
 | (*step*)  $y$  **where**  $(a, y) : r^*$  **and**  $(y, b) : r$   
 — elimination of *rtrancl* – by induction on a special formula  
**apply** (*subgoal-tac*  $(a::'a) = b \mid (EX y. (a, y) : r^* \ \& \ (y, b) : r)$ )  
**apply** (*rule-tac* [2] *major* [*THEN rtrancl-induct*])  
**prefer** 2 **apply** *blast*  
**prefer** 2 **apply** *blast*  
**apply** (*erule asm-rl exE disjE conjE base step*) +  
**done**

**lemma** *rtrancl-Int-subset*:  $[Id \subseteq s; r \ O \ (r^* \cap s) \subseteq s] \implies r^* \subseteq s$

**apply** (*rule subsetI*)  
**apply** (*rule-tac p=x in PairE, clarify*)  
**apply** (*erule rtrancl-induct, auto*)  
**done**

**lemma** *converse-rtranclp-into-rtranclp*:

$r \ a \ b \implies r^{**} \ b \ c \implies r^{**} \ a \ c$   
**by** (*rule rtranclp-trans*) *iprover+*

**lemmas** *converse-rtrancl-into-rtrancl* = *converse-rtranclp-into-rtranclp* [*to-set*]

More  $r^*$  equations and inclusions.

**lemma** *rtranclp-idemp* [*simp*]:  $(r^{**})^{**} = r^{**}$

**apply** (*auto intro!: order-antisym*)  
**apply** (*erule rtranclp-induct*)  
**apply** (*rule rtranclp.rtrancl-refl*)  
**apply** (*blast intro: rtranclp-trans*)  
**done**

**lemmas** *rtrancl-idemp* [*simp*] = *rtranclp-idemp* [*to-set*]

**lemma** *rtrancl-idemp-self-comp* [*simp*]:  $R^* \ O \ R^* = R^*$

**apply** (*rule set-ext*)  
**apply** (*simp only: split-tupled-all*)  
**apply** (*blast intro: rtrancl-trans*)  
**done**

```

lemma rtrancl-subset-rtrancl:  $r \subseteq s^* \implies r^* \subseteq s^*$ 
  apply (drule rtrancl-mono)
  apply simp
  done

```

```

lemma rtranclp-subset:  $R \leq S \implies S \leq R^{**} \implies S^{**} = R^{**}$ 
  apply (drule rtranclp-mono)
  apply (drule rtranclp-mono)
  apply simp
  done

```

```

lemmas rtrancl-subset = rtranclp-subset [to-set]

```

```

lemma rtranclp-sup-rtranclp:  $(\sup (R^{**}) (S^{**}))^{**} = (\sup R S)^{**}$ 
  by (blast intro!: rtranclp-subset intro: rtranclp-mono [THEN predicate2D])

```

```

lemmas rtrancl-Un-rtrancl = rtranclp-sup-rtranclp [to-set]

```

```

lemma rtranclp-reflcl [simp]:  $(R^{\hat{=}})^{**} = R^{**}$ 
  by (blast intro!: rtranclp-subset)

```

```

lemmas rtrancl-reflcl [simp] = rtranclp-reflcl [to-set]

```

```

lemma rtrancl-r-diff-Id:  $(r - Id)^* = r^*$ 
  apply (rule sym)
  apply (rule rtrancl-subset, blast, clarify)
  apply (rename-tac a b)
  apply (case-tac  $a = b$ )
  apply blast
  apply (blast intro!: r-into-rtrancl)
  done

```

```

lemma rtranclp-r-diff-Id:  $(\inf r \text{ op } \sim)^{**} = r^{**}$ 
  apply (rule sym)
  apply (rule rtranclp-subset)
  apply blast+
  done

```

```

theorem rtranclp-converseD:
  assumes  $r: (r^{\hat{-}} - 1)^{**} x y$ 
  shows  $r^{**} y x$ 
proof -
  from r show ?thesis
  by induct (iprover intro: rtranclp-trans dest!: conversepD) +
qed

```

```

lemmas rtrancl-converseD = rtranclp-converseD [to-set]

```

**theorem** *rtranclp-converseI*:

assumes  $r^{**} y x$

shows  $(r^{\hat{-}1})^{**} x y$

using *assms*

by *induct* (*iprover* *intro*: *rtranclp-trans converseI*) +

**lemmas** *rtrancl-converseI* = *rtranclp-converseI* [*to-set*]

**lemma** *rtrancl-converse*:  $(r^{\hat{-}1})^* = (r^{\hat{*}})^{\hat{-}1}$

by (*fast dest!*: *rtrancl-converseD* *intro!*: *rtrancl-converseI*)

**lemma** *sym-rtrancl*:  $\text{sym } r \implies \text{sym } (r^{\hat{*}})$

by (*simp only*: *sym-conv-converse-eq rtrancl-converse* [*symmetric*])

**theorem** *converse-rtranclp-induct*[*consumes 1*]:

assumes *major*:  $r^{**} a b$

and *cases*:  $P b !!y z. [| r y z; r^{**} z b; P z |] \implies P y$

shows  $P a$

using *rtranclp-converseI* [*OF major*]

by *induct* (*iprover* *intro*: *cases dest!*: *converseD rtranclp-converseD*) +

**lemmas** *converse-rtrancl-induct* = *converse-rtranclp-induct* [*to-set*]

**lemmas** *converse-rtranclp-induct2* =

*converse-rtranclp-induct* [*of* - (*ax,ay*) (*bx,by*), *split-rule*,  
consumes 1, *case-names refl step*]

**lemmas** *converse-rtrancl-induct2* =

*converse-rtrancl-induct* [*of* (*ax,ay*) (*bx,by*), *split-format* (*complete*),  
consumes 1, *case-names refl step*]

**lemma** *converse-rtranclpE*:

assumes *major*:  $r^{**} x z$

and *cases*:  $x=z \implies P$

$!!y. [| r x y; r^{**} y z |] \implies P$

shows  $P$

**apply** (*subgoal-tac*  $x = z \mid (EX y. r x y \ \& \ r^{**} y z)$ )

**apply** (*rule-tac* [2] *major* [*THEN converse-rtranclp-induct*])

**prefer** 2 **apply** *iprover*

**prefer** 2 **apply** *iprover*

**apply** (*erule asm-rl exE disjE conjE cases*) +

**done**

**lemmas** *converse-rtranclE* = *converse-rtranclpE* [*to-set*]

**lemmas** *converse-rtranclpE2* = *converse-rtranclpE* [*of* - (*xa,xb*) (*za,zb*), *split-rule*]

**lemmas** *converse-rtranclE2* = *converse-rtranclE* [*of* (*xa,xb*) (*za,zb*), *split-rule*]

**lemma** *r-comp-rtrancl-eq*:  $r \circ r^+ = r^+ \circ r$   
**by** (*blast elim*: *rtranclE converse-rtranclE*  
*intro*: *rtrancl-into-rtrancl converse-rtrancl-into-rtrancl*)

**lemma** *rtrancl-unfold*:  $r^+ = \text{Id} \cup r \circ r^+$   
**by** (*auto intro*: *rtrancl-into-rtrancl elim*: *rtranclE*)

### 19.3 Transitive closure

**lemma** *trancl-mono*:  $!!p. p \in r^+ \implies r \subseteq s \implies p \in s^+$   
**apply** (*simp add*: *split-tupled-all*)  
**apply** (*erule trancl.induct*)  
**apply** (*iprover dest*: *subsetD*)  
**done**

**lemma** *r-into-trancl'*:  $!!p. p : r \implies p : r^+$   
**by** (*simp only*: *split-tupled-all*) (*erule r-into-trancl*)

Conversions between *trancl* and *rtrancl*.

**lemma** *tranclp-into-rtranclp*:  $r^{++} a b \implies r^{**} a b$   
**by** (*erule tranclp.induct*) *iprover*+

**lemmas** *trancl-into-rtrancl* = *tranclp-into-rtranclp* [*to-set*]

**lemma** *rtranclp-into-tranclp1*: **assumes**  $r: r^{**} a b$   
**shows**  $!!c. r b c \implies r^{++} a c$  **using**  $r$   
**by** *induct iprover*+

**lemmas** *rtrancl-into-trancl1* = *rtranclp-into-tranclp1* [*to-set*]

**lemma** *rtranclp-into-tranclp2*:  $[| r a b; r^{**} b c |] \implies r^{++} a c$   
— intro rule from  $r$  and *rtrancl*  
**apply** (*erule rtranclp.cases*)  
**apply** *iprover*  
**apply** (*rule rtranclp-trans* [*THEN rtranclp-into-tranclp1*])  
**apply** (*simp* | *rule r-into-rtranclp*)  
**done**

**lemmas** *rtrancl-into-trancl2* = *rtranclp-into-tranclp2* [*to-set*]

Nice induction rule for *trancl*

**lemma** *tranclp-induct* [*consumes 1, case-names base step, induct pred*: *tranclp*]:  
**assumes**  $r^{++} a b$   
**and cases**:  $!!y. r a y \implies P y$   
 $!!y z. r^{++} a y \implies r y z \implies P y \implies P z$   
**shows**  $P b$   
**proof** —  
**from**  $\langle r^{++} a b \rangle$  **have**  $a = a \dashv\dashv P b$   
**by** (*induct*  $\%x y. x = a \dashv\dashv P y a b$ ) (*iprover intro*: *cases*)+



**then show** *?thesis* **by** *iprover*  
**qed**

**lemmas** *trancl-induct* [*induct set: trancl*] = *tranclp-induct* [*to-set*]

**lemmas** *tranclp-induct2* =  
*tranclp-induct* [*of - (ax,ay) (bx,by), split-rule,*  
*consumes 1, case-names base step*]

**lemmas** *trancl-induct2* =  
*trancl-induct* [*of (ax,ay) (bx,by), split-format (complete),*  
*consumes 1, case-names base step*]

**lemma** *tranclp-trans-induct*:  
**assumes** *major: r<sup>++</sup> x y*  
**and cases:** *!!x y. r x y ==> P x y*  
*!!x y z. [| r<sup>++</sup> x y; P x y; r<sup>++</sup> y z; P y z |] ==> P x z*  
**shows** *P x y*  
 — Another induction rule for *trancl*, incorporating transitivity  
**by** (*iprover intro: major [THEN tranclp-induct] cases*)

**lemmas** *trancl-trans-induct* = *tranclp-trans-induct* [*to-set*]

**lemma** *tranclE* [*cases set: trancl*]:  
**assumes** *(a, b) : r<sup>+</sup>*  
**obtains**  
*(base) (a, b) : r*  
*| (step) c where (a, c) : r<sup>+</sup> and (c, b) : r*  
**using** *assms* **by** *cases simp-all*

**lemma** *trancl-Int-subset*: [*| r ⊆ s; r O (r<sup>+</sup> ∩ s) ⊆ s |] ==> *r<sup>+</sup> ⊆ s*  
**apply** (*rule subsetI*)  
**apply** (*rule-tac p = x in PairE*)  
**apply** *clarify*  
**apply** (*erule trancl-induct*)  
**apply** *auto*  
**done***

**lemma** *trancl-unfold*: *r<sup>+</sup> = r Un r O r<sup>+</sup>*  
**by** (*auto intro: trancl-into-trancl elim: tranclE*)

Transitivity of *r<sup>+</sup>*

**lemma** *trans-trancl* [*simp*]: *trans (r<sup>+</sup>)*  
**proof** (*rule transI*)  
**fix** *x y z*  
**assume** *(x, y) ∈ r<sup>+</sup>*  
**assume** *(y, z) ∈ r<sup>+</sup>*  
**then show** *(x, z) ∈ r<sup>+</sup>*  
**proof** *induct*

```

    case (base u)
    from  $\langle (x, y) \in r^+ \rangle$  and  $\langle (y, u) \in r \rangle$ 
    show  $\langle (x, u) \in r^+ \rangle$  ..
  next
  case (step u v)
  from  $\langle (x, u) \in r^+ \rangle$  and  $\langle (u, v) \in r \rangle$ 
  show  $\langle (x, v) \in r^+ \rangle$  ..
qed
qed

```

lemmas *tranc1-trans* = *trans-tranc1* [THEN *transD*, standard]

```

lemma tranc1p-trans:
  assumes  $xy: r^{++} x y$ 
  and  $yz: r^{++} y z$ 
  shows  $r^{++} x z$  using yz xy
  by induct iprover+

```

```

lemma tranc1-id [simp]:  $\text{trans } r \implies r^+ = r$ 
  apply auto
  apply (erule tranc1-induct)
  apply assumption
  apply (unfold trans-def)
  apply blast
  done

```

```

lemma rtranc1p-tranc1p-tranc1p:
  assumes  $r^{**} x y$ 
  shows  $!!z. r^{++} y z \implies r^{++} x z$  using assms
  by induct (iprover intro: tranc1p-trans)+

```

lemmas *rtranc1-tranc1-tranc1* = *rtranc1p-tranc1p-tranc1p* [*to-set*]

```

lemma tranc1p-into-tranc1p2:  $r a b \implies r^{++} b c \implies r^{++} a c$ 
  by (erule tranc1p-trans [OF tranc1p.r-into-tranc1])

```

lemmas *tranc1-into-tranc12* = *tranc1p-into-tranc1p2* [*to-set*]

```

lemma tranc1-insert:
   $(\text{insert } (y, x) r)^+ = r^+ \cup \{(a, b). (a, y) \in r^* \wedge (x, b) \in r^*\}$ 
  — primitive recursion for tranc1 over finite relations
  apply (rule equalityI)
  apply (rule subsetI)
  apply (simp only: split-tupled-all)
  apply (erule tranc1-induct, blast)
  apply (blast intro: rtranc1-into-tranc11 tranc1-into-rtranc1 r-into-tranc1 tranc1-trans)
  apply (rule subsetI)
  apply (blast intro: tranc1-mono rtranc1-mono
    [THEN [2] rev-subsetD] rtranc1-tranc1-tranc1 rtranc1-into-tranc12)

```

done

**lemma** *tranclp-converseI*:  $(r^{++})^{--1} x y \implies (r^{--1})^{++} x y$   
 apply (drule conversepD)  
 apply (erule tranclp-induct)  
 apply (iprover intro: conversepI tranclp-trans)+  
 done

**lemmas** *trancl-converseI* = *tranclp-converseI* [to-set]

**lemma** *tranclp-converseD*:  $(r^{--1})^{++} x y \implies (r^{++})^{--1} x y$   
 apply (rule conversepI)  
 apply (erule tranclp-induct)  
 apply (iprover dest: conversepD intro: tranclp-trans)+  
 done

**lemmas** *trancl-converseD* = *tranclp-converseD* [to-set]

**lemma** *tranclp-converse*:  $(r^{--1})^{++} = (r^{++})^{--1}$   
 by (fastsimp simp add: expand-fun-eq  
 intro!: tranclp-converseI dest!: tranclp-converseD)

**lemmas** *trancl-converse* = *tranclp-converse* [to-set]

**lemma** *sym-trancl*:  $\text{sym } r \implies \text{sym } (r^+)$   
 by (simp only: sym-conv-converse-eq trancl-converse [symmetric])

**lemma** *converse-tranclp-induct*:  
 assumes *major*:  $r^{++} a b$   
 and *cases*:  $!!y. r y b \implies P(y)$   
 $!!y z. [r y z; r^{++} z b; P(z)] \implies P(y)$   
 shows  $P a$   
 apply (rule tranclp-induct [OF tranclp-converseI, OF conversepI, OF major])  
 apply (rule cases)  
 apply (erule conversepD)  
 apply (blast intro: prems dest!: tranclp-converseD conversepD)  
 done

**lemmas** *converse-trancl-induct* = *converse-tranclp-induct* [to-set]

**lemma** *tranclpD*:  $R^{++} x y \implies \exists x z. R x z \wedge R^{**} z y$   
 apply (erule converse-tranclp-induct)  
 apply auto  
 apply (blast intro: rtranclp-trans)  
 done

**lemmas** *tranclD* = *tranclpD* [to-set]

**lemma** *tranclD2*:

$(x, y) \in R^+ \implies \exists z. (x, z) \in R^* \wedge (z, y) \in R$   
**by** (*blast elim: tranclE intro: trancl-into-rtrancl*)

**lemma** *irrefl-tranclI*:  $r^+-1 \cap r^* = \{\}$   $\implies (x, x) \notin r^+$   
**by** (*blast elim: tranclE dest: trancl-into-rtrancl*)

**lemma** *irrefl-trancl-rD*:  $\forall X. \forall x. (x, x) \notin r^+ \implies (x, y) \in r \implies x \neq y$   
**by** (*blast dest: r-into-trancl*)

**lemma** *trancl-subset-Sigma-aux*:  
 $(a, b) \in r^* \implies r \subseteq A \times A \implies a = b \vee a \in A$   
**by** (*induct rule: rtrancl-induct*) *auto*

**lemma** *trancl-subset-Sigma*:  $r \subseteq A \times A \implies r^+ \subseteq A \times A$   
**apply** (*rule subsetI*)  
**apply** (*simp only: split-tupled-all*)  
**apply** (*erule tranclE*)  
**apply** (*blast dest!: trancl-into-rtrancl trancl-subset-Sigma-aux*) +  
**done**

**lemma** *reflcl-tranclp [simp]*:  $(r^{++})^+ = r^{**}$   
**apply** (*safe intro!: order-antisym*)  
**apply** (*erule tranclp-into-rtranclp*)  
**apply** (*blast elim: rtranclp.cases dest: rtranclp-into-tranclp1*)  
**done**

**lemmas** *reflcl-trancl [simp]* = *reflcl-tranclp [to-set]*

**lemma** *trancl-reflcl [simp]*:  $(r^+)^+ = r^*$   
**apply** *safe*  
**apply** (*erule trancl-into-rtrancl, simp*)  
**apply** (*erule rtranclE, safe*)  
**apply** (*rule r-into-trancl, simp*)  
**apply** (*rule rtrancl-into-trancl1*)  
**apply** (*erule rtrancl-reflcl [THEN equalityD2, THEN subsetD], fast*)  
**done**

**lemma** *trancl-empty [simp]*:  $\{\}^+ = \{\}$   
**by** (*auto elim: trancl-induct*)

**lemma** *rtrancl-empty [simp]*:  $\{\}^* = Id$   
**by** (*rule subst [OF reflcl-trancl]*) *simp*

**lemma** *rtranclpD*:  $R^{**} a b \implies a = b \vee a \neq b \wedge R^{++} a b$   
**by** (*force simp add: reflcl-tranclp [symmetric] simp del: reflcl-tranclp*)

**lemmas** *rtranclD* = *rtranclpD [to-set]*

**lemma** *rtrancl-eq-or-trancl*:

$(x,y) \in R^* = (x=y \vee x \neq y \wedge (x,y) \in R^+)$   
**by** (*fast elim: trancl-into-rtrancl dest: rtranclD*)

*Domain and Range*

**lemma** *Domain-rtrancl* [*simp*]:  $\text{Domain } (R^*) = \text{UNIV}$   
**by** *blast*

**lemma** *Range-rtrancl* [*simp*]:  $\text{Range } (R^*) = \text{UNIV}$   
**by** *blast*

**lemma** *rtrancl-Un-subset*:  $(R^* \cup S^*) \subseteq (R \cup S)^*$   
**by** (*rule rtrancl-Un-rtrancl [THEN subst]*) *fast*

**lemma** *in-rtrancl-UnI*:  $x \in R^* \vee x \in S^* \implies x \in (R \cup S)^*$   
**by** (*blast intro: subsetD [OF rtrancl-Un-subset]*)

**lemma** *trancl-domain* [*simp*]:  $\text{Domain } (r^+) = \text{Domain } r$   
**by** (*unfold Domain-def*) (*blast dest: tranclD*)

**lemma** *trancl-range* [*simp*]:  $\text{Range } (r^+) = \text{Range } r$   
**unfolding** *Range-def* **by** (*simp add: trancl-converse [symmetric]*)

**lemma** *Not-Domain-rtrancl*:  
 $x \sim: \text{Domain } R \implies ((x, y) : R^*) = (x = y)$   
**apply** *auto*  
**apply** (*erule rev-mp*)  
**apply** (*erule rtrancl-induct*)  
**apply** *auto*  
**done**

More about converse *rtrancl* and *trancl*, should be merged with main body.

**lemma** *single-valued-confluent*:  
 $\llbracket \text{single-valued } r; (x,y) \in r^*; (x,z) \in r^* \rrbracket$   
 $\implies (y,z) \in r^* \vee (z,y) \in r^*$   
**apply** (*erule rtrancl-induct*)  
**apply** *simp*  
**apply** (*erule disjE*)  
**apply** (*blast elim: converse-rtranclE dest: single-valuedD*)  
**apply** (*blast intro: rtrancl-trans*)  
**done**

**lemma** *r-r-into-trancl*:  $(a, b) \in R \implies (b, c) \in R \implies (a, c) \in R^+$   
**by** (*fast intro: trancl-trans*)

**lemma** *trancl-into-trancl* [*rule-format*]:  
 $(a, b) \in r^+ \implies (b, c) \in r \implies (a, c) \in r^+$   
**apply** (*erule trancl-induct*)  
**apply** (*fast intro: r-r-into-trancl*)  
**apply** (*fast intro: r-r-into-trancl trancl-trans*)

**done**

**lemma** *trancp-rtrancp-trancp*:  
 $r^{++} a b \implies r^{**} b c \implies r^{++} a c$   
**apply** (*drule trancpD*)  
**apply** (*elim exE conjE*)  
**apply** (*drule rtrancp-trans, assumption*)  
**apply** (*drule rtrancp-into-trancp2, assumption, assumption*)  
**done**

**lemmas** *tranc-rtranc-tranc* = *trancp-rtrancp-trancp* [*to-set*]

**lemmas** *transitive-closure-trans* [*trans*] =  
*r-r-into-tranc tranc-trans rtranc-trans*  
*tranc.tranc-into-tranc tranc-into-tranc2*  
*rtranc.rtranc-into-rtranc converse-rtranc-into-rtranc*  
*rtranc-tranc-tranc tranc-rtranc-tranc*

**lemmas** *transitive-closurep-trans'* [*trans*] =  
*trancp-trans rtrancp-trans*  
*trancp.tranc-into-tranc trancp-into-trancp2*  
*rtrancp.rtranc-into-rtranc converse-rtrancp-into-rtrancp*  
*rtrancp-trancp-trancp trancp-rtrancp-trancp*

**declare** *tranc-into-rtranc* [*elim*]

## 19.4 Setup of transitivity reasoner

**ML**  $\ll$

```
structure Tranc-Tac = Tranc-Tac-Fun (
  struct
    val r-into-tranc = @{thm tranc.r-into-tranc};
    val tranc-trans  = @{thm tranc-trans};
    val rtranc-refl  = @{thm rtranc.rtranc-refl};
    val r-into-rtranc = @{thm r-into-rtranc};
    val tranc-into-rtranc = @{thm tranc-into-rtranc};
    val rtranc-tranc-tranc = @{thm rtranc-tranc-tranc};
    val tranc-rtranc-tranc = @{thm tranc-rtranc-tranc};
    val rtranc-trans = @{thm rtranc-trans};

  fun decomp (Trueprop $ t) =
    let fun dec (Const (op :, -) $ (Const (Pair, -) $ a $ b) $ rel) =
        let fun decr (Const (Transitive-Closure.rtranc, -) $ r) = (r, r*)
            | decr (Const (Transitive-Closure.tranc, -) $ r) = (r, r+)
            | decr r = (r, r);
        val (rel, r) = decr (Envir.beta-eta-contract rel);
        in SOME (a, b, rel, r) end
      | dec - = NONE
```

```

    in dec t end;

end);

structure Trancpl-Tac = Trancpl-Tac-Fun (
  struct
    val r-into-trancl = @{thm trancpl.r-into-trancl};
    val trancl-trans  = @{thm trancpl-trans};
    val rtrancl-refl  = @{thm rtrancl.rtrancl-refl};
    val r-into-rtrancl = @{thm r-into-rtrancl};
    val trancl-into-rtrancl = @{thm trancpl-into-rtrancl};
    val rtrancl-trancl-trancl = @{thm rtrancl-trancpl-trancpl};
    val trancl-rtrancl-trancl = @{thm trancpl-rtrancl-trancpl};
    val rtrancl-trans  = @{thm rtrancl-trans};

  fun decomp (Trueprop $ t) =
    let fun dec (rel $ a $ b) =
        let fun decr (Const (Transitive-Closure.rtranclp, -) $ r) = (r,r*)
            | decr (Const (Transitive-Closure.tranclp, -) $ r) = (r,r+)
            | decr r = (r,r);
        val (rel,r) = decr rel;
        in SOME (a, b, rel, r) end
      | dec - = NONE
    in dec t end;

  end);
>>

declaration << fn - =>
  Simplifier.map-ss (fn ss => ss
    addSolver (mk-solver Trancl (fn - => Trancl-Tac.trancl-tac))
    addSolver (mk-solver Rtrancl (fn - => Trancl-Tac.rtrancl-tac))
    addSolver (mk-solver Trancpl (fn - => Trancpl-Tac.trancl-tac))
    addSolver (mk-solver Rtrancpl (fn - => Trancpl-Tac.rtrancl-tac)))
>>

method-setup trancl =
  << Method.no-args (Method.SIMPLE-METHOD' Trancl-Tac.trancl-tac) >>
  << simple transitivity reasoner >>
method-setup rtrancl =
  << Method.no-args (Method.SIMPLE-METHOD' Trancl-Tac.rtrancl-tac) >>
  << simple transitivity reasoner >>
method-setup trancpl =
  << Method.no-args (Method.SIMPLE-METHOD' Trancpl-Tac.trancl-tac) >>
  << simple transitivity reasoner (predicate version) >>
method-setup rtrancpl =
  << Method.no-args (Method.SIMPLE-METHOD' Trancpl-Tac.rtrancl-tac) >>

```

⟨⟨ *simple transitivity reasoner (predicate version)* ⟩⟩

end

## 20 Finite-Set: Finite sets

**theory** *Finite-Set*  
**imports** *Divides Transitive-Closure*  
**begin**

### 20.1 Definition and basic properties

**inductive** *finite* :: '*a set* ==> bool

**where**

*emptyI* [*simp*, *intro!*]: *finite* {}

| *insertI* [*simp*, *intro!*]: *finite A* ==> *finite (insert a A)*

**lemma** *ex-new-if-finite*: — does not depend on def of finite at all

**assumes**  $\neg$  *finite* (*UNIV* :: '*a set*) **and** *finite A*

**shows**  $\exists a::'a. a \notin A$

**proof** —

**from** *prems* **have**  $A \neq \text{UNIV}$  **by** *blast*

**thus** *?thesis* **by** *blast*

**qed**

**lemma** *finite-induct* [*case-names empty insert, induct set: finite*]:

*finite F* ==>

$P \{ \} ==> (!x F. \text{finite } F ==> x \notin F ==> P F ==> P (\text{insert } x F)) ==> P F$

— Discharging  $x \notin F$  entails extra work.

**proof** —

**assume**  $P \{ \}$  **and**

*insert*:  $!x F. \text{finite } F ==> x \notin F ==> P F ==> P (\text{insert } x F)$

**assume** *finite F*

**thus**  $P F$

**proof** *induct*

**show**  $P \{ \}$  **by** *fact*

**fix**  $x F$  **assume**  $F: \text{finite } F$  **and**  $P: P F$

**show**  $P (\text{insert } x F)$

**proof** *cases*

**assume**  $x \in F$

**hence**  $\text{insert } x F = F$  **by** (*rule insert-absorb*)

**with**  $P$  **show** *?thesis* **by** (*simp only*.)

**next**

**assume**  $x \notin F$

**from**  $F$  **this**  $P$  **show** *?thesis* **by** (*rule insert*)

**qed**

**qed**



qed

**lemma** *finite-ne-induct* [*case-names singleton insert, consumes 2*]:

**assumes** *fin*: *finite F* **shows**  $F \neq \{\}$   $\implies$

$\llbracket \bigwedge x. P\{x\};$

$\bigwedge x F. \llbracket \text{finite } F; F \neq \{\}; x \notin F; P F \rrbracket \implies P (\text{insert } x F) \rrbracket$

$\implies P F$

**using** *fin*

**proof** *induct*

**case** *empty* **thus** *?case* **by** *simp*

**next**

**case** (*insert x F*)

**show** *?case*

**proof** *cases*

**assume**  $F = \{\}$

**thus** *?thesis* **using**  $\langle P \{x\} \rangle$  **by** *simp*

**next**

**assume**  $F \neq \{\}$

**thus** *?thesis* **using** *insert* **by** *blast*

qed

qed

**lemma** *finite-subset-induct* [*consumes 2, case-names empty insert*]:

**assumes** *finite F* **and**  $F \subseteq A$

**and** *empty*:  $P \{\}$

**and** *insert*:  $\forall a F. \text{finite } F \implies a \in A \implies a \notin F \implies P F \implies P (\text{insert } a F)$

**shows**  $P F$

**proof**  $-$

**from**  $\langle \text{finite } F \rangle$  **and**  $\langle F \subseteq A \rangle$

**show** *?thesis*

**proof** *induct*

**show**  $P \{\}$  **by** *fact*

**next**

**fix**  $x F$

**assume** *finite F* **and**  $x \notin F$  **and**

$P: F \subseteq A \implies P F$  **and** *i*:  $\text{insert } x F \subseteq A$

**show**  $P (\text{insert } x F)$

**proof** (*rule insert*)

**from** *i* **show**  $x \in A$  **by** *blast*

**from** *i* **have**  $F \subseteq A$  **by** *blast*

**with**  $P$  **show**  $P F$  .

**show** *finite F* **by** *fact*

**show**  $x \notin F$  **by** *fact*

qed

qed

qed

Finite sets are the images of initial segments of natural numbers:

```

lemma finite-imp-nat-seg-image-inj-on:
  assumes fin: finite A
  shows  $\exists (n::nat). f. A = f \text{ ‘ } \{i. i < n\} \ \& \ inj\text{-on } f \ \{i. i < n\}$ 
using fin
proof induct
  case empty
  show ?case
  proof show  $\exists f. \{\} = f \text{ ‘ } \{i::nat. i < 0\} \ \& \ inj\text{-on } f \ \{i. i < 0\}$  by simp
  qed
next
  case (insert a A)
  have notinA:  $a \notin A$  by fact
  from insert.hyps obtain n f
    where  $A = f \text{ ‘ } \{i::nat. i < n\} \ inj\text{-on } f \ \{i. i < n\}$  by blast
  hence  $insert\ a\ A = f(n:=a) \text{ ‘ } \{i. i < Suc\ n\}$ 
     $inj\text{-on } (f(n:=a)) \ \{i. i < Suc\ n\}$  using notinA
  by (auto simp add: image-def Ball-def inj-on-def less-Suc-eq)
  thus ?case by blast
qed

```

```

lemma nat-seg-image-imp-finite:
   $!!f\ A. A = f \text{ ‘ } \{i::nat. i < n\} \implies finite\ A$ 
proof (induct n)
  case 0 thus ?case by simp
next
  case (Suc n)
  let ?B =  $f \text{ ‘ } \{i. i < n\}$ 
  have finB: finite ?B by (rule Suc.hyps[OF refl])
  show ?case
  proof cases
    assume  $\exists k < n. f\ n = f\ k$ 
    hence  $A = ?B$  using Suc.prems by (auto simp: less-Suc-eq)
    thus ?thesis using finB by simp
  next
    assume  $\neg(\exists k < n. f\ n = f\ k)$ 
    hence  $A = insert\ (f\ n)\ ?B$  using Suc.prems by (auto simp: less-Suc-eq)
    thus ?thesis using finB by simp
  qed
qed

```

```

lemma finite-conv-nat-seg-image:
   $finite\ A = (\exists (n::nat). f. A = f \text{ ‘ } \{i::nat. i < n\})$ 
by (blast intro: nat-seg-image-imp-finite dest: finite-imp-nat-seg-image-inj-on)

```

### 20.1.1 Finiteness and set theoretic constructions

```

lemma finite-UnI:  $finite\ F \implies finite\ G \implies finite\ (F\ Un\ G)$ 
  — The union of two finite sets is finite.
  by (induct set: finite) simp-all

```

**lemma** *finite-subset*:  $A \subseteq B \implies \text{finite } B \implies \text{finite } A$

— Every subset of a finite set is finite.

**proof** —

**assume** *finite B*

**thus**  $!!A. A \subseteq B \implies \text{finite } A$

**proof** *induct*

**case** *empty*

**thus** *?case* **by** *simp*

**next**

**case** (*insert x F A*)

**have**  $A: A \subseteq \text{insert } x F$  **and**  $r: A - \{x\} \subseteq F \implies \text{finite } (A - \{x\})$  **by** *fact+*

**show** *finite A*

**proof** *cases*

**assume**  $x: x \in A$

**with**  $A$  **have**  $A - \{x\} \subseteq F$  **by** (*simp add: subset-insert-iff*)

**with**  $r$  **have** *finite*  $(A - \{x\})$  .

**hence** *finite* (*insert x (A - {x})*) ..

**also have** *insert x (A - {x}) = A* **using**  $x$  **by** (*rule insert-Diff*)

**finally show** *?thesis* .

**next**

**show**  $A \subseteq F \implies ?thesis$  **by** *fact*

**assume**  $x \notin A$

**with**  $A$  **show**  $A \subseteq F$  **by** (*simp add: subset-insert-iff*)

**qed**

**qed**

**qed**

**lemma** *finite-Collect-subset*[*simp*]:  $\text{finite } A \implies \text{finite } \{x \in A. P x\}$

**using** *finite-subset*[*of*  $\{x \in A. P x\} A$ ] **by** *blast*

**lemma** *finite-Un* [*iff*]:  $\text{finite } (F \text{ Un } G) = (\text{finite } F \ \& \ \text{finite } G)$

**by** (*blast intro: finite-subset* [*of* -  $X \text{ Un } Y$ , *standard*] *finite-UnI*)

**lemma** *finite-Int* [*simp*, *intro*]:  $\text{finite } F \mid \text{finite } G \implies \text{finite } (F \text{ Int } G)$

— The converse obviously fails.

**by** (*blast intro: finite-subset*)

**lemma** *finite-insert* [*simp*]:  $\text{finite } (\text{insert } a A) = \text{finite } A$

**apply** (*subst insert-is-Un*)

**apply** (*simp only: finite-Un, blast*)

**done**

**lemma** *finite-Union*[*simp*, *intro*]:

$\llbracket \text{finite } A; !!M. M \in A \implies \text{finite } M \rrbracket \implies \text{finite } (\bigcup A)$

**by** (*induct rule:finite-induct*) *simp-all*

**lemma** *finite-empty-induct*:

**assumes** *finite A*

```

    and P A
    and !!a A. finite A ==> a:A ==> P A ==> P (A - {a})
  shows P {}
proof -
  have P (A - A)
  proof -
    {
      fix c b :: 'a set
      assume c: finite c and b: finite b
      and P1: P b and P2: !!x y. finite y ==> x ∈ y ==> P y ==> P (y -
{x})
      have c ⊆ b ==> P (b - c)
      using c
      proof induct
        case empty
        from P1 show ?case by simp
      next
        case (insert x F)
        have P (b - F - {x})
        proof (rule P2)
          from - b show finite (b - F) by (rule finite-subset) blast
          from insert show x ∈ b - F by simp
          from insert show P (b - F) by simp
        qed
        also have b - F - {x} = b - insert x F by (rule Diff-insert [symmetric])
        finally show ?case .
      qed
    }
    then show ?thesis by this (simp-all add: assms)
  qed
  then show ?thesis by simp
qed

```

```

lemma finite-Diff [simp]: finite B ==> finite (B - Ba)
  by (rule Diff-subset [THEN finite-subset])

```

```

lemma finite-Diff-insert [iff]: finite (A - insert a B) = finite (A - B)
  apply (subst Diff-insert)
  apply (case-tac a : A - B)
  apply (rule finite-insert [symmetric, THEN trans])
  apply (subst insert-Diff, simp-all)
  done

```

```

lemma finite-Diff-singleton [simp]: finite (A - {a}) = finite A
  by simp

```

Image and Inverse Image over Finite Sets

```

lemma finite-imageI [simp]: finite F ==> finite (h ` F)
  — The image of a finite set is finite.

```

```

by (induct set: finite) simp-all

lemma finite-surj: finite A ==> B <= f ` A ==> finite B
  apply (frule finite-imageI)
  apply (erule finite-subset, assumption)
  done

lemma finite-range-imageI:
  finite (range g) ==> finite (range (%x. f (g x)))
  apply (drule finite-imageI, simp)
  done

lemma finite-imageD: finite (f`A) ==> inj-on f A ==> finite A
proof -
  have aux: !!A. finite (A - {}) = finite A by simp
  fix B :: 'a set
  assume finite B
  thus !!A. f`A = B ==> inj-on f A ==> finite A
    apply induct
    apply simp
    apply (subgoal-tac EX y:A. f y = x & F = f ` (A - {y}))
    apply clarify
    apply (simp (no-asm-use) add: inj-on-def)
    apply (blast dest!: aux [THEN iffD1], atomize)
    apply (erule-tac V = ALL A. ?PP (A) in thin-rl)
    apply (frule subsetD [OF equalityD2 insertI1], clarify)
    apply (rule-tac x = xa in bexI)
    apply (simp-all add: inj-on-image-set-diff)
    done
qed (rule refl)

lemma inj-vimage-singleton: inj f ==> f-`{a} ⊆ {THE x. f x = a}
  — The inverse image of a singleton under an injective function is included in a
  singleton.
  apply (auto simp add: inj-on-def)
  apply (blast intro: the-equality [symmetric])
  done

lemma finite-vimageI: [|finite F; inj h|] ==> finite (h -` F)
  — The inverse image of a finite set under an injective function is finite.
  apply (induct set: finite)
  apply simp-all
  apply (subst vimage-insert)
  apply (simp add: finite-Un finite-subset [OF inj-vimage-singleton])
  done

```

The finite UNION of finite sets

```

lemma finite-UN-I: finite A ==> (!!a. a:A ==> finite (B a)) ==> finite (UN

```

$a:A. B \ a)$   
**by** (*induct set: finite*) *simp-all*

Strengthen RHS to  $(\forall x \in A. \text{finite } (B \ x)) \wedge \text{finite } \{x \in A. B \ x \neq \{\}\}$ ?

We’d need to prove  $\text{finite } C \implies \forall A \ B. \text{UNION } A \ B \subseteq C \longrightarrow \text{finite } \{x \in A. B \ x \neq \{\}\}$  by induction.

**lemma** *finite-UN* [*simp*]:  $\text{finite } A \implies \text{finite } (\text{UNION } A \ B) = (\text{ALL } x:A. \text{finite } (B \ x))$   
**by** (*blast intro: finite-UN-I finite-subset*)

**lemma** *finite-Plus*:  $[\text{finite } A; \text{finite } B] \implies \text{finite } (A \ <+> B)$   
**by** (*simp add: Plus-def*)

Sigma of finite sets

**lemma** *finite-SigmaI* [*simp*]:  
 $\text{finite } A \implies (!a. a:A \implies \text{finite } (B \ a)) \implies \text{finite } (\text{SIGMA } a:A. B \ a)$   
**by** (*unfold Sigma-def*) (*blast intro!: finite-UN-I*)

**lemma** *finite-cartesian-product*:  $[\text{finite } A; \text{finite } B] \implies$   
 $\text{finite } (A \ <*> B)$   
**by** (*rule finite-SigmaI*)

**lemma** *finite-Prod-UNIV*:  
 $\text{finite } (\text{UNIV}::'a \ \text{set}) \implies \text{finite } (\text{UNIV}::'b \ \text{set}) \implies \text{finite } (\text{UNIV}::('a * 'b) \ \text{set})$   
**apply** (*subgoal-tac* ( $\text{UNIV}::('a * 'b) \ \text{set}) = \text{Sigma } \text{UNIV } (\%x. \text{UNIV})$ )  
**apply** (*erule ssubst*)  
**apply** (*erule finite-SigmaI, auto*)  
**done**

**lemma** *finite-cartesian-productD1*:  
 $[\text{finite } (A \ <*> B); B \neq \{\}] \implies \text{finite } A$   
**apply** (*auto simp add: finite-conv-nat-seg-image*)  
**apply** (*drule-tac x=n in spec*)  
**apply** (*drule-tac x=fst o f in spec*)  
**apply** (*auto simp add: o-def*)  
**prefer** 2 **apply** (*force dest!: equalityD2*)  
**apply** (*drule equalityD1*)  
**apply** (*rename-tac y x*)  
**apply** (*subgoal-tac*  $\exists k. k < n \ \& \ f \ k = (x,y)$ )  
**prefer** 2 **apply** *force*  
**apply** *clarify*  
**apply** (*rule-tac x=k in image-eqI, auto*)  
**done**

**lemma** *finite-cartesian-productD2*:  
 $[\text{finite } (A \ <*> B); A \neq \{\}] \implies \text{finite } B$   
**apply** (*auto simp add: finite-conv-nat-seg-image*)

```

apply (drule-tac x=n in spec)
apply (drule-tac x=snd o f in spec)
apply (auto simp add: o-def)
  prefer 2 apply (force dest!: equalityD2)
apply (drule equalityD1)
apply (rename-tac x y)
apply (subgoal-tac  $\exists k. k < n \ \& \ f \ k = (x,y)$ )
  prefer 2 apply force
apply clarify
apply (rule-tac x=k in image-eqI, auto)
done

```

The powerset of a finite set

```

lemma finite-Pow-iff [iff]: finite (Pow A) = finite A
proof
  assume finite (Pow A)
  with - have finite ((%x. {x}) ‘ A) by (rule finite-subset) blast
  thus finite A by (rule finite-imageD [unfolded inj-on-def]) simp
next
  assume finite A
  thus finite (Pow A)
    by induct (simp-all add: finite-UnI finite-imageI Pow-insert)
qed

```

```

lemma finite-UnionD: finite( $\bigcup A$ )  $\implies$  finite A
by(blast intro: finite-subset[OF subset-Pow-Union])

```

```

lemma finite-converse [iff]: finite ( $r^{-1}$ ) = finite r
apply (subgoal-tac  $r^{-1} = (\%(x,y). (y,x))'r$ )
apply simp
apply (rule iffI)
  apply (erule finite-imageD [unfolded inj-on-def])
  apply (simp split add: split-split)
apply (erule finite-imageI)
apply (simp add: converse-def image-def, auto)
apply (rule bexI)
  prefer 2 apply assumption
apply simp
done

```

### Finiteness of transitive closure (Thanks to Sidi Ehmety)

```

lemma finite-Field: finite r  $\implies$  finite (Field r)
  — A finite relation has a finite field (= domain  $\cup$  range.
  apply (induct set: finite)
  apply (auto simp add: Field-def Domain-insert Range-insert)
done

```

```

lemma tranc-subset-Field2:  $r^+ \leq \text{Field } r \times \text{Field } r$ 
  apply clarify
  apply (erule tranc-induct)
  apply (auto simp add: Field-def)
done

```

```

lemma finite-tranc:  $\text{finite } (r^+) = \text{finite } r$ 
  apply auto
  prefer 2
  apply (rule tranc-subset-Field2 [THEN finite-subset])
  apply (rule finite-SigmaI)
  prefer 3
  apply (blast intro: r-into-tranc' finite-subset)
  apply (auto simp add: finite-Field)
done

```

## 20.2 Class *finite*

```

setup  $\ll \text{Sign.add-path finite} \gg$  — FIXME: name tweaking
class finite = itself +
  assumes finite-UNIV:  $\text{finite } (\text{UNIV} :: 'a \text{ set})$ 
setup  $\ll \text{Sign.parent-path} \gg$ 
hide const finite

```

```

lemma finite [simp]:  $\text{finite } (A :: 'a::\text{finite set})$ 
  by (rule subset-UNIV finite-UNIV finite-subset)+

```

```

lemma UNIV-unit [noatp]:
   $\text{UNIV} = \{()\}$  by auto

```

```

instance unit :: finite
  by default (simp add: UNIV-unit)

```

```

lemma UNIV-bool [noatp]:
   $\text{UNIV} = \{\text{False}, \text{True}\}$  by auto

```

```

instance bool :: finite
  by default (simp add: UNIV-bool)

```

```

instance  $*$  :: (finite, finite) finite
  by default (simp only: UNIV-Times-UNIV [symmetric] finite-cartesian-product finite)

```

```

instance  $+$  :: (finite, finite) finite
  by default (simp only: UNIV-Plus-UNIV [symmetric] finite-Plus finite)

```

```

lemma inj-graph:  $\text{inj } (\%f. \{(x, y). y = f x\})$ 
  by (rule inj-onI, auto simp add: expand-set-eq expand-fun-eq)

```



```

instance fun :: (finite, finite) finite
proof
  show finite (UNIV :: ('a => 'b) set)
  proof (rule finite-imageD)
    let ?graph = %f::'a => 'b. {(x, y). y = f x}
    have range ?graph ⊆ Pow UNIV by simp
    moreover have finite (Pow (UNIV :: ('a * 'b) set))
      by (simp only: finite-Pow-iff finite)
    ultimately show finite (range ?graph)
      by (rule finite-subset)
    show inj ?graph by (rule inj-graph)
  qed
qed

```

### 20.3 A fold functional for finite sets

The intended behaviour is  $\text{fold } f \ g \ z \ \{x_1, \dots, x_n\} = f \ (g \ x_1) \ (\dots (f \ (g \ x_n) \ z) \dots)$  if  $f$  is associative-commutative. For an application of *fold* see the definitions of sums and products over finite sets.

```

inductive
  foldSet :: ('a => 'a => 'a) => ('b => 'a) => 'a => 'b set => 'a => bool
  for f :: 'a => 'a => 'a
  and g :: 'b => 'a
  and z :: 'a
where
  emptyI [intro]: foldSet f g z {} z
  | insertI [intro]:
    [| x ∉ A; foldSet f g z A y |]
    ⇒ foldSet f g z (insert x A) (f (g x) y)

```

```

inductive-cases empty-foldSetE [elim!]: foldSet f g z {} x

```

```

constdefs
  fold :: ('a => 'a => 'a) => ('b => 'a) => 'a => 'b set => 'a
  fold f g z A == THE x. foldSet f g z A x

```

A tempting alternative for the definiens is *if finite A then THE x. foldSet f g e A x else e*. It allows the removal of finiteness assumptions from the theorems *fold-commute*, *fold-reindex* and *fold-distrib*. The proofs become ugly, with *rule-format*. It is not worth the effort.

```

lemma Diff1-foldSet:
  foldSet f g z (A - {x}) y ==> x: A ==> foldSet f g z A (f (g x) y)
by (erule insert-Diff [THEN subst], rule foldSet.intros, auto)

```

```

lemma foldSet-imp-finite: foldSet f g z A x ==> finite A
  by (induct set: foldSet) auto

```

**lemma** *finite-imp-foldSet*: *finite A ==> EX x. foldSet f g z A x*  
**by** (*induct set: finite*) *auto*

### 20.3.1 From foldSet to fold

**lemma** *image-less-Suc*:  $h \text{ ‘ } \{i. i < \text{Suc } m\} = \text{insert } (h \text{ } m) (h \text{ ‘ } \{i. i < m\})$   
**by** (*auto simp add: less-Suc-eq*)

**lemma** *insert-image-inj-on-eq*:  
 $[[\text{insert } (h \text{ } m) A = h \text{ ‘ } \{i. i < \text{Suc } m\}; h \text{ } m \notin A;$   
 $\text{inj-on } h \{i. i < \text{Suc } m\}]]$   
 $\implies A = h \text{ ‘ } \{i. i < m\}$

**apply** (*auto simp add: image-less-Suc inj-on-def*)

**apply** (*blast intro: less-trans*)

**done**

**lemma** *insert-inj-onE*:

**assumes** *aA*:  $\text{insert } a A = h \text{ ‘ } \{i::\text{nat}. i < n\}$  **and** *anot*:  $a \notin A$   
**and** *inj-on*:  $\text{inj-on } h \{i::\text{nat}. i < n\}$

**shows**  $\exists hm \text{ m. inj-on } hm \{i::\text{nat}. i < m\} \ \& \ A = hm \text{ ‘ } \{i. i < m\} \ \& \ m < n$

**proof** (*cases n*)

**case 0** **thus** *?thesis* **using** *aA* **by** *auto*

**next**

**case** (*Suc m*)

**have** *nSuc*:  $n = \text{Suc } m$  **by** *fact*

**have** *mlessn*:  $m < n$  **by** (*simp add: nSuc*)

**from** *aA* **obtain** *k* **where** *hkeq*:  $h \text{ } k = a$  **and** *klessn*:  $k < n$  **by** (*blast elim!: equalityE*)

**let** *?hm* = *swap k m h*

**have** *inj-hm*:  $\text{inj-on } ?hm \{i. i < n\}$  **using** *klessn mlessn*

**by** (*simp add: inj-on-swap-iff inj-on*)

**show** *?thesis*

**proof** (*intro exI conjI*)

**show**  $\text{inj-on } ?hm \{i. i < m\}$  **using** *inj-hm*

**by** (*auto simp add: nSuc less-Suc-eq intro: subset-inj-on*)

**show**  $m < n$  **by** (*rule mlessn*)

**show**  $A = ?hm \text{ ‘ } \{i. i < m\}$

**proof** (*rule insert-image-inj-on-eq*)

**show**  $\text{inj-on } (\text{swap } k \text{ } m \text{ } h) \{i. i < \text{Suc } m\}$  **using** *inj-hm nSuc* **by** *simp*

**show**  $?hm \text{ } m \notin A$  **by** (*simp add: swap-def hkeq anot*)

**show**  $\text{insert } (?hm \text{ } m) A = ?hm \text{ ‘ } \{i. i < \text{Suc } m\}$

**using** *aA hkeq nSuc klessn*

**by** (*auto simp add: swap-def image-less-Suc fun-upd-image less-Suc-eq inj-on-image-set-diff [OF inj-on]*)

**qed**

**qed**

**qed**

**context** *ab-semigroup-mult*

**begin**

**lemma** *foldSet-determ-aux*:

$!!A \ x \ x' \ h. \llbracket A = h' \{i::\text{nat}. i < n\}; \text{inj-on } h \ \{i. i < n\};$   
 $\text{foldSet times } g \ z \ A \ x; \text{foldSet times } g \ z \ A \ x' \rrbracket$   
 $\implies x' = x$

**proof** (*induct n rule: less-induct*)

**case** (*less n*)

**have** *IH*:  $!!m \ h \ A \ x \ x'.$

$\llbracket m < n; A = h' \{i. i < m\}; \text{inj-on } h \ \{i. i < m\};$   
 $\text{foldSet times } g \ z \ A \ x; \text{foldSet times } g \ z \ A \ x' \rrbracket \implies x' = x$  **by** *fact*

**have** *Afoldx*:  $\text{foldSet times } g \ z \ A \ x$  **and** *Afoldx'*:  $\text{foldSet times } g \ z \ A \ x'$

**and** *A*:  $A = h' \{i. i < n\}$  **and** *inh*:  $\text{inj-on } h \ \{i. i < n\}$  **by** *fact+*

**show** *?case*

**proof** (*rule foldSet.cases [OF Afoldx]*)

**assume**  $A = \{\}$  **and**  $x = z$

**with** *Afoldx'* **show**  $x' = x$  **by** *blast*

**next**

**fix** *B b u*

**assume** *AbB*:  $A = \text{insert } b \ B$  **and**  $x: x = g \ b \ * \ u$

**and** *notinB*:  $b \notin B$  **and** *Bu*:  $\text{foldSet times } g \ z \ B \ u$

**show**  $x' = x$

**proof** (*rule foldSet.cases [OF Afoldx']*)

**assume**  $A = \{\}$  **and**  $x' = z$

**with** *AbB* **show**  $x' = x$  **by** *blast*

**next**

**fix** *C c v*

**assume** *AcC*:  $A = \text{insert } c \ C$  **and**  $x': x' = g \ c \ * \ v$

**and** *notinC*:  $c \notin C$  **and** *Cv*:  $\text{foldSet times } g \ z \ C \ v$

**from** *A AbB* **have** *Beq*:  $\text{insert } b \ B = h' \{i. i < n\}$  **by** *simp*

**from** *insert-inj-onE [OF Beq notinB inh]*

**obtain** *hB mB* **where** *inh-onB*:  $\text{inj-on } hB \ \{i. i < mB\}$

**and** *Beq*:  $B = hB' \{i. i < mB\}$

**and** *lessB*:  $mB < n$  **by** *auto*

**from** *A AcC* **have** *Ceq*:  $\text{insert } c \ C = h' \{i. i < n\}$  **by** *simp*

**from** *insert-inj-onE [OF Ceq notinC inh]*

**obtain** *hC mC* **where** *inh-onC*:  $\text{inj-on } hC \ \{i. i < mC\}$

**and** *Ceq*:  $C = hC' \{i. i < mC\}$

**and** *lessC*:  $mC < n$  **by** *auto*

**show**  $x' = x$

**proof** *cases*

**assume**  $b = c$

**then moreover** **have**  $B = C$  **using** *AbB AcC notinB notinC* **by** *auto*

**ultimately** **show** *?thesis* **using** *Bu Cv x x' IH [OF lessC Ceq inj-onC]*

**by** *auto*

**next**

**assume** *diff*:  $b \neq c$

**let** *?D*  $= B - \{c\}$

**have** *B*:  $B = \text{insert } c \ ?D$  **and** *C*:  $C = \text{insert } b \ ?D$

```

    using AbB AcC notinB notinC diff by (blast elim!:equalityE)+
    have finite A by (rule foldSet-imp-finite[OF Afoldx])
    with AbB have finite ?D by simp
    then obtain d where Dfoldd: foldSet times g z ?D d
      using finite-imp-foldSet by iprover
    moreover have cinB:  $c \in B$  using B by auto
    ultimately have foldSet times g z B ( $g\ c\ *\ d$ )
      by (rule Diff1-foldSet)
    then have  $g\ c\ *\ d = u$  by (rule IH [OF lessB Beq inj-onB Bu])
    then have  $u = g\ c\ *\ d$  ..
    moreover have  $v = g\ b\ *\ d$ 
    proof (rule sym, rule IH [OF lessC Ceq inj-onC Cv])
      show foldSet times g z C ( $g\ b\ *\ d$ ) using C notinB Dfoldd
        by fastsimp
    qed
  qed
  ultimately show ?thesis using x x'
    by (simp add: mult-left-commute)
  qed
qed
qed
qed

```

**lemma** *foldSet-determ*:

```

  foldSet times g z A x ==> foldSet times g z A y ==> y = x
  apply (frule foldSet-imp-finite [THEN finite-imp-nat-seg-image-inj-on])
  apply (blast intro: foldSet-determ-aux [rule-format])
  done

```

**lemma** *fold-equality*:  $\text{foldSet times } g\ z\ A\ y ==> \text{fold times } g\ z\ A = y$   
 by (unfold fold-def) (blast intro: foldSet-determ)

The base case for *fold*:

**lemma** (in  $-$ ) *fold-empty* [simp]:  $\text{fold } f\ g\ z\ \{\} = z$   
 by (unfold fold-def) blast

**lemma** *fold-insert-aux*:  $x \notin A ==>$

```

  (foldSet times g z (insert x A) v) =
  (EX y. foldSet times g z A y & v = g x * y)

```

**apply** auto

**apply** (rule-tac A1 = A and f1 = times in finite-imp-foldSet [THEN exE])

**apply** (fastsimp dest: foldSet-imp-finite)

**apply** (blast intro: foldSet-determ)

**done**

The recursion equation for *fold*:

**lemma** *fold-insert* [simp]:

```

  finite A ==> x \notin A ==> fold times g z (insert x A) = g x * fold times g z A

```

**apply** (unfold fold-def)

**apply** (simp add: fold-insert-aux)

```

apply (rule the-equality)
apply (auto intro: finite-imp-foldSet
  cong add: conj-cong simp add: fold-def [symmetric] fold-equality)
done

lemma fold-rec:
assumes fin: finite A and a: a:A
shows fold times g z A = g a * fold times g z (A - {a})
proof -
  have A: A = insert a (A - {a}) using a by blast
  hence fold times g z A = fold times g z (insert a (A - {a})) by simp
  also have ... = g a * fold times g z (A - {a})
    by(rule fold-insert) (simp add:fin)+
  finally show ?thesis .
qed

end

```

A simplified version for idempotent functions:

```

context ab-semigroup-idem-mult
begin

lemma fold-insert-idem:
assumes finA: finite A
shows fold times g z (insert a A) = g a * fold times g z A
proof cases
  assume a ∈ A
  then obtain B where A: A = insert a B and disj: a ∉ B
    by(blast dest: mk-disjoint-insert)
  show ?thesis
  proof -
    from finA A have finB: finite B by(blast intro: finite-subset)
    have fold times g z (insert a A) = fold times g z (insert a B) using A by simp
    also have ... = g a * fold times g z B
      using finB disj by simp
    also have ... = g a * fold times g z A
      using A finB disj
      by (simp add: mult-idem mult-assoc [symmetric])
    finally show ?thesis .
  qed
next
  assume a ∉ A
  with finA show ?thesis by simp
qed

```

```

lemma foldI-conv-id:
  finite A  $\implies$  fold times g z A = fold times id z (g ‘ A)
by(erule finite-induct)(simp-all add: fold-insert-idem del: fold-insert)

```

end

### 20.3.2 Lemmas about *fold*

**context** *ab-semigroup-mult*  
**begin**

**lemma** *fold-commute*:

*finite A ==> (!z. x \* (fold times g z A) = fold times g (x \* z) A)*  
**apply** (*induct set: finite*)  
**apply** *simp*  
**apply** (*simp add: mult-left-commute [of x]*)  
**done**

**lemma** *fold-nest-Un-Int*:

*finite A ==> finite B*  
 $\implies \text{fold times } g (\text{fold times } g z B) A = \text{fold times } g (\text{fold times } g z (A \text{ Int } B)) (A \text{ Un } B)$   
**apply** (*induct set: finite*)  
**apply** *simp*  
**apply** (*simp add: fold-commute Int-insert-left insert-absorb*)  
**done**

**lemma** *fold-nest-Un-disjoint*:

*finite A ==> finite B ==> A Int B = {}*  
 $\implies \text{fold times } g z (A \text{ Un } B) = \text{fold times } g (\text{fold times } g z B) A$   
**by** (*simp add: fold-nest-Un-Int*)

**lemma** *fold-reindex*:

**assumes** *fin: finite A*  
**shows** *inj-on h A  $\implies \text{fold times } g z (h ` A) = \text{fold times } (g \circ h) z A$*   
**using** *fin* **apply** *induct*  
**apply** *simp*  
**apply** *simp*  
**done**

Fusion theorem, as described in Graham Hutton’s paper, A Tutorial on the Universality and Expressiveness of Fold, JFP 9:4 (355-372), 1999.

**lemma** *fold-fusion*:

**includes** *ab-semigroup-mult g*  
**assumes** *fin: finite A*  
**and** *hyp:  $\bigwedge x y. h (g x y) = \text{times } x (h y)$*   
**shows**  *$h (\text{fold } g j w A) = \text{fold times } j (h w) A$*   
**using** *fin hyp* **by** (*induct set: finite*) *simp-all*

**lemma** *fold-cong*:

*finite A  $\implies (!x. x:A ==> g x = h x) \implies \text{fold times } g z A = \text{fold times } h z A$*   
**apply** (*subgoal-tac ALL C. C <= A  $\dashv\vdash$  (ALL x:C. g x = h x)  $\dashv\vdash$  fold times g z C = fold times h z C*)

```

  apply simp
  apply (erule finite-induct, simp)
  apply (simp add: subset-insert-iff, clarify)
  apply (subgoal-tac finite C)
  prefer 2 apply (blast dest: finite-subset [COMP swap-prems-rl])
  apply (subgoal-tac C = insert x (C - {x}))
  prefer 2 apply blast
  apply (erule ssubst)
  apply (drule spec)
  apply (erule (1) notE impE)
  apply (simp add: Ball-def del: insert-Diff-single)
done

```

end

**context** *comm-monoid-mult*  
**begin**

**lemma** *fold-Un-Int*:

```

  finite A ==> finite B ==>
    fold times g 1 A * fold times g 1 B =
    fold times g 1 (A Un B) * fold times g 1 (A Int B)
  by (induct set: finite)
    (auto simp add: mult-ac insert-absorb Int-insert-left)

```

**corollary** *fold-Un-disjoint*:

```

  finite A ==> finite B ==> A Int B = {} ==>
    fold times g 1 (A Un B) = fold times g 1 A * fold times g 1 B
  by (simp add: fold-Un-Int)

```

**lemma** *fold-UN-disjoint*:

```

  [[ finite I; ALL i:I. finite (A i);
    ALL i:I. ALL j:I. i ≠ j --> A i Int A j = {} ]]
  ==> fold times g 1 (UNION I A) =
    fold times (%i. fold times g 1 (A i)) 1 I
  apply (induct set: finite, simp, atomize)
  apply (subgoal-tac ALL i:F. x ≠ i)
  prefer 2 apply blast
  apply (subgoal-tac A x Int UNION F A = {})
  prefer 2 apply blast
  apply (simp add: fold-Un-disjoint)
done

```

**lemma** *fold-Sigma*: *finite A ==> ALL x:A. finite (B x) ==>*

```

  fold times (%x. fold times (g x) 1 (B x)) 1 A =
  fold times (split g) 1 (SIGMA x:A. B x)
  apply (subst Sigma-def)
  apply (subst fold-UN-disjoint, assumption, simp)
  apply blast

```

```

apply (erule fold-cong)
apply (subst fold-UN-disjoint, simp, simp)
  apply blast
apply simp
done

```

```

lemma fold-distrib: finite A  $\implies$ 
  fold times (%x. g x * h x) 1 A = fold times g 1 A * fold times h 1 A
by (erule finite-induct) (simp-all add: mult-ac)

```

**end**

## 20.4 Generalized summation over a set

```

interpretation comm-monoid-add: comm-monoid-mult [0::'a::comm-monoid-add
  op +]
by unfold-locales (auto intro: add-assoc add-commute)

```

```

constdefs
  setsum :: ('a => 'b) => 'a set => 'b::comm-monoid-add
  setsum f A == if finite A then fold (op +) f 0 A else 0

```

```

abbreviation
  Setsum ( $\sum$  - [1000] 999) where
     $\sum$  A == setsum (%x. x) A

```

Now: lot’s of fancy syntax. First, *setsum*  $(\lambda x. e) A$  is written  $\sum x \in A. e$ .

```

syntax
  -setsum :: pttrn => 'a set => 'b => 'b::comm-monoid-add  (( $\exists$ SUM -:-. -) [0,
  51, 10] 10)
syntax (xsymbols)
  -setsum :: pttrn => 'a set => 'b => 'b::comm-monoid-add  (( $\exists$  $\sum$  - $\in$ -. -) [0,
  51, 10] 10)
syntax (HTML output)
  -setsum :: pttrn => 'a set => 'b => 'b::comm-monoid-add  (( $\exists$  $\sum$  - $\in$ -. -) [0,
  51, 10] 10)

```

**translations** — Beware of argument permutation!

```

  SUM i:A. b == setsum (%i. b) A
   $\sum i \in A. b$  == setsum (%i. b) A

```

Instead of  $\sum x \in \{x. P\}. e$  we introduce the shorter  $\sum x | P. e$ .

```

syntax
  -qsetsum :: pttrn  $\Rightarrow$  bool  $\Rightarrow$  'a  $\Rightarrow$  'a (( $\exists$ SUM - | / - / -) [0,0,10] 10)
syntax (xsymbols)
  -qsetsum :: pttrn  $\Rightarrow$  bool  $\Rightarrow$  'a  $\Rightarrow$  'a (( $\exists$  $\sum$  - | (-) / -) [0,0,10] 10)
syntax (HTML output)
  -qsetsum :: pttrn  $\Rightarrow$  bool  $\Rightarrow$  'a  $\Rightarrow$  'a (( $\exists$  $\sum$  - | (-) / -) [0,0,10] 10)

```



**translations**

$$SUM\ x|P. t \Rightarrow \text{setsum } (\%x. t) \{x. P\}$$

$$\sum x|P. t \Rightarrow \text{setsum } (\%x. t) \{x. P\}$$
**print-translation**  $\ll$ 

$$\text{let}$$

$$\text{fun setsum-tr}' [Abs(x, Tx, t), Const (Collect, -) \$ Abs(y, Ty, P)] =$$

$$\text{if } x <> y \text{ then raise Match}$$

$$\text{else let val } x' = \text{Syntax.mark-bound } x$$

$$\text{val } t' = \text{subst-bound}(x', t)$$

$$\text{val } P' = \text{subst-bound}(x', P)$$

$$\text{in Syntax.const -qsetsum } \$ \text{Syntax.mark-bound } x \$ P' \$ t' \text{ end}$$

$$\text{in } [(\text{setsum}, \text{setsum-tr}')] \text{ end}$$

$$\gg$$

$$\text{lemma setsum-empty [simp]: setsum } f \{ \} = 0$$

$$\text{by (simp add: setsum-def)}$$

$$\text{lemma setsum-insert [simp]:}$$

$$\text{finite } F \Rightarrow a \notin F \Rightarrow \text{setsum } f (\text{insert } a F) = f a + \text{setsum } f F$$

$$\text{by (simp add: setsum-def)}$$

$$\text{lemma setsum-infinite [simp]: } \sim \text{finite } A \Rightarrow \text{setsum } f A = 0$$

$$\text{by (simp add: setsum-def)}$$

$$\text{lemma setsum-reindex:}$$

$$\text{inj-on } f B \Rightarrow \text{setsum } h (f ' B) = \text{setsum } (h \circ f) B$$

$$\text{by (auto simp add: setsum-def comm-monoid-add.fold-reindex dest!: finite-imageD)}$$

$$\text{lemma setsum-reindex-id:}$$

$$\text{inj-on } f B \Rightarrow \text{setsum } f B = \text{setsum id } (f ' B)$$

$$\text{by (auto simp add: setsum-reindex)}$$

$$\text{lemma setsum-cong:}$$

$$A = B \Rightarrow (!x. x:B \Rightarrow f x = g x) \Rightarrow \text{setsum } f A = \text{setsum } g B$$

$$\text{by (fastsimp simp: setsum-def intro: comm-monoid-add.fold-cong)}$$

$$\text{lemma strong-setsum-cong [cong]:}$$

$$A = B \Rightarrow (!x. x:B \Rightarrow f x = g x)$$

$$\Rightarrow \text{setsum } (\%x. f x) A = \text{setsum } (\%x. g x) B$$

$$\text{by (fastsimp simp: simp-implies-def setsum-def intro: comm-monoid-add.fold-cong)}$$

$$\text{lemma setsum-cong2: } [\bigwedge x. x \in A \Rightarrow f x = g x] \Rightarrow \text{setsum } f A = \text{setsum } g A$$

$$\text{by (rule setsum-cong[OF refl], auto)}$$

$$\text{lemma setsum-reindex-cong:}$$

$$[\text{inj-on } f A; B = f ' A; !a. a:A \Rightarrow g a = h (f a)]$$

$$\Rightarrow \text{setsum } h B = \text{setsum } g A$$

```

by (simp add: setsum-reindex cong: setsum-cong)

lemma setsum-0[simp]: setsum (%i. 0) A = 0
apply (clarsimp simp: setsum-def)
apply (erule finite-induct, auto)
done

lemma setsum-0': ALL a:A. f a = 0 ==> setsum f A = 0
by (simp add: setsum-cong)

lemma setsum-Un-Int: finite A ==> finite B ==>
  setsum g (A Un B) + setsum g (A Int B) = setsum g A + setsum g B
  — The reversed orientation looks more natural, but LOOPS as a simp rule!
by (simp add: setsum-def comm-monoid-add.fold-Un-Int [symmetric])

lemma setsum-Un-disjoint: finite A ==> finite B
  ==> A Int B = {} ==> setsum g (A Un B) = setsum g A + setsum g B
by (subst setsum-Un-Int [symmetric], auto)

lemma setsum-UN-disjoint:
  finite I ==> (ALL i:I. finite (A i)) ==>
    (ALL i:I. ALL j:I. i ≠ j --> A i Int A j = {}) ==>
      setsum f (UNION I A) = (∑ i∈I. setsum f (A i))
by (simp add: setsum-def comm-monoid-add.fold-UN-disjoint cong: setsum-cong)

No need to assume that  $C$  is finite. If infinite, the rhs is directly 0, and  $\bigcup C$ 
is also infinite, hence the lhs is also 0.

lemma setsum-Union-disjoint:
  [| (ALL A:C. finite A);
    (ALL A:C. ALL B:C. A ≠ B --> A Int B = {}) |]
  ==> setsum f (Union C) = setsum (setsum f) C
apply (cases finite C)
prefer 2 apply (force dest: finite-UnionD simp add: setsum-def)
  apply (frule setsum-UN-disjoint [of C id f])
  apply (unfold Union-def id-def, assumption+)
done

lemma setsum-Sigma: finite A ==> ALL x:A. finite (B x) ==>
  (∑ x∈A. (∑ y∈B x. f x y)) = (∑ (x,y)∈(SIGMA x:A. B x). f x y)
by (simp add: setsum-def comm-monoid-add.fold-Sigma split-def cong: setsum-cong)

Here we can eliminate the finiteness assumptions, by cases.

lemma setsum-cartesian-product:
  (∑ x∈A. (∑ y∈B. f x y)) = (∑ (x,y) ∈ A <*> B. f x y)
apply (cases finite A)
  apply (cases finite B)
    apply (simp add: setsum-Sigma)

```

```

apply (cases A={}, simp)
apply (simp)
apply (auto simp add: setsum-def
          dest: finite-cartesian-productD1 finite-cartesian-productD2)
done

```

```

lemma setsum-addf: setsum (%x. f x + g x) A = (setsum f A + setsum g A)
by(simp add:setsum-def comm-monoid-add.fold-distrib)

```

#### 20.4.1 Properties in more restricted classes of structures

```

lemma setsum-SucD: setsum f A = Suc n ==> EX a:A. 0 < f a
apply (case-tac finite A)
prefer 2 apply (simp add: setsum-def)
apply (erule rev-mp)
apply (erule finite-induct, auto)
done

```

```

lemma setsum-eq-0-iff [simp]:
  finite F ==> (setsum f F = 0) = (ALL a:F. f a = (0::nat))
by (induct set: finite) auto

```

```

lemma setsum-Un-nat: finite A ==> finite B ==>
  (setsum f (A Un B) :: nat) = setsum f A + setsum f B - setsum f (A Int B)
  — For the natural numbers, we have subtraction.
by (subst setsum-Un-Int [symmetric], auto simp add: ring-simps)

```

```

lemma setsum-Un: finite A ==> finite B ==>
  (setsum f (A Un B) :: 'a :: ab-group-add) =
    setsum f A + setsum f B - setsum f (A Int B)
by (subst setsum-Un-Int [symmetric], auto simp add: ring-simps)

```

```

lemma setsum-diff1-nat: (setsum f (A - {a}) :: nat) =
  (if a:A then setsum f A - f a else setsum f A)
apply (case-tac finite A)
prefer 2 apply (simp add: setsum-def)
apply (erule finite-induct)
apply (auto simp add: insert-Diff-if)
apply (drule-tac a = a in mk-disjoint-insert, auto)
done

```

```

lemma setsum-diff1: finite A ==>
  (setsum f (A - {a}) :: ('a::ab-group-add)) =
  (if a:A then setsum f A - f a else setsum f A)
by (erule finite-induct) (auto simp add: insert-Diff-if)

```

```

lemma setsum-diff1 '[rule-format]: finite A ==> a ∈ A → (∑ x ∈ A. f x) = f a
+ (∑ x ∈ (A - {a}). f x)
apply (erule finite-induct[where F=A and P=% A. (a ∈ A → (∑ x ∈ A. f

```

```

x) = f a + (∑ x ∈ (A - {a}). f x))]]
  apply (auto simp add: insert-Diff-if add-ac)
done

```

```

lemma setsum-diff-nat:
  assumes finite B
    and B ⊆ A
  shows (setsum f (A - B) :: nat) = (setsum f A) - (setsum f B)
  using prems
proof induct
  show setsum f (A - {}) = (setsum f A) - (setsum f {}) by simp
next
  fix F x assume finF: finite F and xnotinF: x ∉ F
    and xFinA: insert x F ⊆ A
    and IH: F ⊆ A ⇒ setsum f (A - F) = setsum f A - setsum f F
  from xnotinF xFinA have xinAF: x ∈ (A - F) by simp
  from xinAF have A: setsum f ((A - F) - {x}) = setsum f (A - F) - f x
    by (simp add: setsum-diff1-nat)
  from xFinA have F ⊆ A by simp
  with IH have setsum f (A - F) = setsum f A - setsum f F by simp
  with A have B: setsum f ((A - F) - {x}) = setsum f A - setsum f F - f x
    by simp
  from xnotinF have A - insert x F = (A - F) - {x} by auto
  with B have C: setsum f (A - insert x F) = setsum f A - setsum f F - f x
    by simp
  from finF xnotinF have setsum f (insert x F) = setsum f F + f x by simp
  with C have setsum f (A - insert x F) = setsum f A - setsum f (insert x F)
    by simp
  thus setsum f (A - insert x F) = setsum f A - setsum f (insert x F) by simp
qed

```

```

lemma setsum-diff:
  assumes le: finite A B ⊆ A
  shows setsum f (A - B) = setsum f A - ((setsum f B)::('a::ab-group-add))
proof -
  from le have finiteB: finite B using finite-subset by auto
  show ?thesis using finiteB le
proof induct
  case empty
  thus ?case by auto
next
  case (insert x F)
  thus ?case using le finiteB
  by (simp add: Diff-insert[where a=x and B=F] setsum-diff1 insert-absorb)
qed
qed

```

```

lemma setsum-mono:
  assumes le:  $\bigwedge i. i \in K \implies f(i) \leq ((g\ i) :: ('b :: \{comm-monoid-add, pordered-ab-semigroup-add\}))$ 
  shows  $(\sum i \in K. f\ i) \leq (\sum i \in K. g\ i)$ 
proof (cases finite K)
  case True
  thus ?thesis using le
proof induct
  case empty
  thus ?case by simp
next
  case insert
  thus ?case using add-mono by fastsimp
qed
next
  case False
  thus ?thesis
    by (simp add: setsum-def)
qed

lemma setsum-strict-mono:
  fixes f :: 'a  $\Rightarrow$  'b :: {pordered-cancel-ab-semigroup-add, comm-monoid-add}
  assumes finite A  $A \neq \{\}$ 
    and  $\forall x. x:A \implies f\ x < g\ x$ 
  shows setsum f A < setsum g A
  using prems
proof (induct rule: finite-ne-induct)
  case singleton thus ?case by simp
next
  case insert thus ?case by (auto simp: add-strict-mono)
qed

lemma setsum-negf:
  setsum ( $\%x. - (f\ x) :: 'a :: ab-group-add$ ) A = - setsum f A
proof (cases finite A)
  case True thus ?thesis by (induct set: finite) auto
next
  case False thus ?thesis by (simp add: setsum-def)
qed

lemma setsum-subtractf:
  setsum ( $\%x. ((f\ x) :: 'a :: ab-group-add) - g\ x$ ) A =
    setsum f A - setsum g A
proof (cases finite A)
  case True thus ?thesis by (simp add: diff-minus setsum-addf setsum-negf)
next
  case False thus ?thesis by (simp add: setsum-def)
qed

lemma setsum-nonneg:

```

```

assumes nn:  $\forall x \in A. (0 :: 'a :: \{pordered-ab-semigroup-add, comm-monoid-add\}) \leq$ 
 $f\ x$ 
shows  $0 \leq \text{setsum } f\ A$ 
proof (cases finite A)
  case True thus ?thesis using nn
  proof induct
    case empty then show ?case by simp
  next
    case (insert x F)
    then have  $0 + 0 \leq f\ x + \text{setsum } f\ F$  by (blast intro: add-mono)
    with insert show ?case by simp
  qed
next
  case False thus ?thesis by (simp add: setsum-def)
qed

```

```

lemma setsum-nonpos:
assumes np:  $\forall x \in A. f\ x \leq (0 :: 'a :: \{pordered-ab-semigroup-add, comm-monoid-add\})$ 
shows  $\text{setsum } f\ A \leq 0$ 
proof (cases finite A)
  case True thus ?thesis using np
  proof induct
    case empty then show ?case by simp
  next
    case (insert x F)
    then have  $f\ x + \text{setsum } f\ F \leq 0 + 0$  by (blast intro: add-mono)
    with insert show ?case by simp
  qed
next
  case False thus ?thesis by (simp add: setsum-def)
qed

```

```

lemma setsum-mono2:
fixes f :: 'a  $\Rightarrow$  'b ::  $\{pordered-ab-semigroup-add-imp-le, comm-monoid-add\}$ 
assumes fin: finite B and sub:  $A \subseteq B$  and nn:  $\bigwedge b. b \in B - A \implies 0 \leq f\ b$ 
shows  $\text{setsum } f\ A \leq \text{setsum } f\ B$ 
proof -
  have  $\text{setsum } f\ A \leq \text{setsum } f\ A + \text{setsum } f\ (B - A)$ 
  by (simp add: add-increasing2[OF setsum-nonneg] nn Ball-def)
  also have  $\dots = \text{setsum } f\ (A \cup (B - A))$  using fin finite-subset[OF sub fin]
  by (simp add: setsum-Un-disjoint del: Un-Diff-cancel)
  also have  $A \cup (B - A) = B$  using sub by blast
  finally show ?thesis .
qed

```

```

lemma setsum-mono3: finite B  $\implies A \leq B \implies$ 
  ALL x: B - A.
   $0 \leq ((f\ x) :: 'a :: \{comm-monoid-add, pordered-ab-semigroup-add\}) \implies$ 
   $\text{setsum } f\ A \leq \text{setsum } f\ B$ 

```

```

apply (subgoal-tac setsum f B = setsum f A + setsum f (B - A))
apply (erule ssubst)
apply (subgoal-tac setsum f A + 0 <= setsum f A + setsum f (B - A))
apply simp
apply (rule add-left-mono)
apply (erule setsum-nonneg)
apply (subst setsum-Un-disjoint [THEN sym])
apply (erule finite-subset, assumption)
apply (rule finite-subset)
prefer 2
apply assumption
apply auto
apply (rule setsum-cong)
apply auto
done

```

```

lemma setsum-right-distrib:
  fixes f :: 'a => ('b::semiring-0)
  shows r * setsum f A = setsum (%n. r * f n) A
proof (cases finite A)
  case True
  thus ?thesis
  proof induct
    case empty thus ?case by simp
  next
    case (insert x A) thus ?case by (simp add: right-distrib)
  qed
next
  case False thus ?thesis by (simp add: setsum-def)
qed

```

```

lemma setsum-left-distrib:
  setsum f A * (r::'a::semiring-0) = (∑ n∈A. f n * r)
proof (cases finite A)
  case True
  then show ?thesis
  proof induct
    case empty thus ?case by simp
  next
    case (insert x A) thus ?case by (simp add: left-distrib)
  qed
next
  case False thus ?thesis by (simp add: setsum-def)
qed

```

```

lemma setsum-divide-distrib:
  setsum f A / (r::'a::field) = (∑ n∈A. f n / r)
proof (cases finite A)
  case True

```

```

then show ?thesis
proof induct
  case empty thus ?case by simp
next
  case (insert x A) thus ?case by (simp add: add-divide-distrib)
qed
next
  case False thus ?thesis by (simp add: setsum-def)
qed

```

```

lemma setsum-abs[iff]:
  fixes f :: 'a => ('b::pordered-ab-group-add-abs)
  shows abs (setsum f A) ≤ setsum (%i. abs(f i)) A
proof (cases finite A)
  case True
  thus ?thesis
  proof induct
    case empty thus ?case by simp
  next
    case (insert x A)
    thus ?case by (auto intro: abs-triangle-ineq order-trans)
  qed
next
  case False thus ?thesis by (simp add: setsum-def)
qed

```

```

lemma setsum-abs-ge-zero[iff]:
  fixes f :: 'a => ('b::pordered-ab-group-add-abs)
  shows 0 ≤ setsum (%i. abs(f i)) A
proof (cases finite A)
  case True
  thus ?thesis
  proof induct
    case empty thus ?case by simp
  next
    case (insert x A) thus ?case by (auto simp: add-nonneg-nonneg)
  qed
next
  case False thus ?thesis by (simp add: setsum-def)
qed

```

```

lemma abs-setsum-abs[simp]:
  fixes f :: 'a => ('b::pordered-ab-group-add-abs)
  shows abs (∑ a∈A. abs(f a)) = (∑ a∈A. abs(f a))
proof (cases finite A)
  case True
  thus ?thesis
  proof induct
    case empty thus ?case by simp

```



```

next
  case (insert a A)
  hence  $|\sum a \in \text{insert } a \ A. |f \ a|| = ||f \ a| + (\sum a \in A. |f \ a|)|$  by simp
  also have  $\dots = ||f \ a| + |\sum a \in A. |f \ a||$  using insert by simp
  also have  $\dots = |f \ a| + |\sum a \in A. |f \ a||$ 
    by (simp del: abs-of-nonneg)
  also have  $\dots = (\sum a \in \text{insert } a \ A. |f \ a|)$  using insert by simp
  finally show ?case .
qed
next
  case False thus ?thesis by (simp add: setsum-def)
qed

```

Commuting outer and inner summation

**lemma** *swap-inj-on*:

```

  inj-on (%(i, j). (j, i)) (A × B)
  by (unfold inj-on-def) fast

```

**lemma** *swap-product*:

```

  (%(i, j). (j, i)) ‘ (A × B) = B × A
  by (simp add: split-def image-def) blast

```

**lemma** *setsum-commute*:

```

  ( $\sum i \in A. \sum j \in B. f \ i \ j$ ) = ( $\sum j \in B. \sum i \in A. f \ i \ j$ )
proof (simp add: setsum-cartesian-product)
  have ( $\sum (x,y) \in A <*> B. f \ x \ y$ ) =
    ( $\sum (y,x) \in (\%(i, j). (j, i)) ‘ (A \times B). f \ x \ y$ )
    (is ?s = -)
  apply (simp add: setsum-reindex [where  $f = \%(i, j). (j, i)$ ] swap-inj-on)
  apply (simp add: split-def)
  done
  also have  $\dots = (\sum (y,x) \in B \times A. f \ x \ y)$ 
    (is - = ?t)
  apply (simp add: swap-product)
  done
  finally show ?s = ?t .
qed

```

**lemma** *setsum-product*:

```

  fixes  $f :: 'a \Rightarrow ('b :: \text{semiring-0})$ 
  shows  $\text{setsum } f \ A * \text{setsum } g \ B = (\sum i \in A. \sum j \in B. f \ i * g \ j)$ 
  by (simp add: setsum-right-distrib setsum-left-distrib) (rule setsum-commute)

```

## 20.5 Generalized product over a set

**constdefs**

```

  setprod :: ('a => 'b) => 'a set => 'b::comm-monoid-mult
  setprod  $f \ A == \text{if finite } A \text{ then fold } (op *) \ f \ 1 \ A \text{ else } 1$ 

```

**abbreviation**

*Setprod* ( $\prod - [1000] 999$ ) **where**  
 $\prod A == \text{setprod } (\%x. x) A$

**syntax**

*-setprod* :: *pttrn* => 'a set => 'b => 'b::comm-monoid-mult ((*3PROD* -:- -) [0, 51, 10] 10)

**syntax** (*xsymbols*)

*-setprod* :: *pttrn* => 'a set => 'b => 'b::comm-monoid-mult ((*3* $\prod$  - $\in$ - -) [0, 51, 10] 10)

**syntax** (*HTML output*)

*-setprod* :: *pttrn* => 'a set => 'b => 'b::comm-monoid-mult ((*3* $\prod$  - $\in$ - -) [0, 51, 10] 10)

**translations** — Beware of argument permutation!

*PROD* *i*:A. *b* == *setprod* (%*i*. *b*) *A*  
 $\prod i \in A. b == \text{setprod } (\%i. b) A$

Instead of  $\prod x \in \{x. P\}. e$  we introduce the shorter  $\prod x | P. e$ .

**syntax**

*-qsetprod* :: *pttrn*  $\Rightarrow$  bool  $\Rightarrow$  'a  $\Rightarrow$  'a ((*3PROD* - | / - / -) [0,0,10] 10)

**syntax** (*xsymbols*)

*-qsetprod* :: *pttrn*  $\Rightarrow$  bool  $\Rightarrow$  'a  $\Rightarrow$  'a ((*3* $\prod$  - | (-). / -) [0,0,10] 10)

**syntax** (*HTML output*)

*-qsetprod* :: *pttrn*  $\Rightarrow$  bool  $\Rightarrow$  'a  $\Rightarrow$  'a ((*3* $\prod$  - | (-). / -) [0,0,10] 10)

**translations**

*PROD* *x* | *P*. *t* => *setprod* (%*x*. *t*) {*x*. *P*}  
 $\prod x | P. t \Rightarrow \text{setprod } (\%x. t) \{x. P\}$

**lemma** *setprod-empty* [*simp*]: *setprod* *f* {} = 1

**by** (*auto simp add: setprod-def*)

**lemma** *setprod-insert* [*simp*]: [| *finite* *A*; *a*  $\notin$  *A* |] ==>

*setprod* *f* (*insert* *a* *A*) = *f* *a* \* *setprod* *f* *A*

**by** (*simp add: setprod-def*)

**lemma** *setprod-infinite* [*simp*]:  $\sim$  *finite* *A* ==> *setprod* *f* *A* = 1

**by** (*simp add: setprod-def*)

**lemma** *setprod-reindex*:

*inj-on* *f* *B* ==> *setprod* *h* (*f* ‘ *B*) = *setprod* (*h*  $\circ$  *f*) *B*

**by**(*auto simp: setprod-def fold-reindex dest!:finite-imageD*)

**lemma** *setprod-reindex-id*: *inj-on* *f* *B* ==> *setprod* *f* *B* = *setprod* *id* (*f* ‘ *B*)

**by** (*auto simp add: setprod-reindex*)

**lemma** *setprod-cong*:

$A = B \implies (!x. x:B \implies f x = g x) \implies \text{setprod } f A = \text{setprod } g B$   
**by**(*fastsimp simp: setprod-def intro: fold-cong*)

**lemma** *strong-setprod-cong*:

$A = B \implies (!x. x:B \implies f x = g x) \implies \text{setprod } f A = \text{setprod } g B$   
**by**(*fastsimp simp: simp-implies-def setprod-def intro: fold-cong*)

**lemma** *setprod-reindex-cong*:  $\text{inj-on } f A \implies$

$B = f ` A \implies g = h \circ f \implies \text{setprod } h B = \text{setprod } g A$

**by** (*frule setprod-reindex, simp*)

**lemma** *setprod-1*:  $\text{setprod } (\%i. 1) A = 1$

**apply** (*case-tac finite A*)

**apply** (*erule finite-induct, auto simp add: mult-ac*)

**done**

**lemma** *setprod-1'*:  $ALL a:F. f a = 1 \implies \text{setprod } f F = 1$

**apply** (*subgoal-tac setprod f F = setprod (%x. 1) F*)

**apply** (*erule ssubst, rule setprod-1*)

**apply** (*rule setprod-cong, auto*)

**done**

**lemma** *setprod-Un-Int*:  $\text{finite } A \implies \text{finite } B$

$\implies \text{setprod } g (A \text{ Un } B) * \text{setprod } g (A \text{ Int } B) = \text{setprod } g A * \text{setprod } g B$

**by**(*simp add: setprod-def fold-Un-Int[symmetric]*)

**lemma** *setprod-Un-disjoint*:  $\text{finite } A \implies \text{finite } B$

$\implies A \text{ Int } B = \{\} \implies \text{setprod } g (A \text{ Un } B) = \text{setprod } g A * \text{setprod } g B$

**by** (*subst setprod-Un-Int [symmetric], auto*)

**lemma** *setprod-UN-disjoint*:

$\text{finite } I \implies (ALL i:I. \text{finite } (A i)) \implies$

$(ALL i:I. ALL j:I. i \neq j \longrightarrow A i \text{ Int } A j = \{\}) \implies$

$\text{setprod } f (\text{UNION } I A) = \text{setprod } (\%i. \text{setprod } f (A i)) I$

**by**(*simp add: setprod-def fold-UN-disjoint cong: setprod-cong*)

**lemma** *setprod-Union-disjoint*:

$[(ALL A:C. \text{finite } A);$

$(ALL A:C. ALL B:C. A \neq B \longrightarrow A \text{ Int } B = \{\}) ]$

$\implies \text{setprod } f (\text{Union } C) = \text{setprod } (\text{setprod } f) C$

**apply** (*cases finite C*)

**prefer 2 apply** (*force dest: finite-UnionD simp add: setprod-def*)

**apply** (*frule setprod-UN-disjoint [of C id f]*)

**apply** (*unfold Union-def id-def, assumption+*)

**done**

**lemma** *setprod-Sigma*:  $\text{finite } A \implies ALL x:A. \text{finite } (B x) \implies$

$(\prod x \in A. (\prod y \in B x. f x y)) =$

```

  (Π (x,y)∈(SIGMA x:A. B x). f x y)
by(simp add:setprod-def fold-Sigma split-def cong:setprod-cong)

```

Here we can eliminate the finiteness assumptions, by cases.

```

lemma setprod-cartesian-product:
  (Π x∈A. (Π y∈ B. f x y)) = (Π (x,y)∈(A <*> B). f x y)
apply (cases finite A)
apply (cases finite B)
  apply (simp add: setprod-Sigma)
  apply (cases A={}, simp)
  apply (simp add: setprod-1)
apply (auto simp add: setprod-def
  dest: finite-cartesian-productD1 finite-cartesian-productD2)
done

```

```

lemma setprod-timesf:
  setprod (%x. f x * g x) A = (setprod f A * setprod g A)
by(simp add:setprod-def fold-distrib)

```

### 20.5.1 Properties in more restricted classes of structures

```

lemma setprod-eq-1-iff [simp]:
  finite F ==> (setprod f F = 1) = (ALL a:F. f a = (1::nat))
by (induct set: finite) auto

```

```

lemma setprod-zero:
  finite A ==> EX x: A. f x = (0::'a::comm-semiring-1) ==> setprod f A = 0
apply (induct set: finite, force, clarsimp)
apply (erule disjE, auto)
done

```

```

lemma setprod-nonneg [rule-format]:
  (ALL x: A. (0::'a::ordered-idom) ≤ f x) --> 0 ≤ setprod f A
apply (case-tac finite A)
apply (induct set: finite, force, clarsimp)
apply (subgoal-tac 0 * 0 ≤ f x * setprod f F, force)
apply (rule mult-mono, assumption+)
apply (auto simp add: setprod-def)
done

```

```

lemma setprod-pos [rule-format]: (ALL x: A. (0::'a::ordered-idom) < f x)
  --> 0 < setprod f A
apply (case-tac finite A)
apply (induct set: finite, force, clarsimp)
apply (subgoal-tac 0 * 0 < f x * setprod f F, force)
apply (rule mult-strict-mono, assumption+)
apply (auto simp add: setprod-def)
done

```

**lemma** *setprod-nonzero* [rule-format]:

( $\text{ALL } x \ y. (x :: 'a :: \text{comm-semiring-1}) * y = 0 \dashrightarrow x = 0 \mid y = 0$ )  $\implies$   
 $\text{finite } A \implies (\text{ALL } x: A. f \ x \neq (0 :: 'a)) \dashrightarrow \text{setprod } f \ A \neq 0$   
**apply** (erule *finite-induct*, auto)  
**done**

**lemma** *setprod-zero-eq*:

( $\text{ALL } x \ y. (x :: 'a :: \text{comm-semiring-1}) * y = 0 \dashrightarrow x = 0 \mid y = 0$ )  $\implies$   
 $\text{finite } A \implies (\text{setprod } f \ A = (0 :: 'a)) = (\text{EX } x: A. f \ x = 0)$   
**apply** (insert *setprod-zero* [of *A f*] *setprod-nonzero* [of *A f*], blast)  
**done**

**lemma** *setprod-nonzero-field*:

$\text{finite } A \implies (\text{ALL } x: A. f \ x \neq (0 :: 'a :: \text{idom})) \implies \text{setprod } f \ A \neq 0$   
**apply** (rule *setprod-nonzero*, auto)  
**done**

**lemma** *setprod-zero-eq-field*:

$\text{finite } A \implies (\text{setprod } f \ A = (0 :: 'a :: \text{idom})) = (\text{EX } x: A. f \ x = 0)$   
**apply** (rule *setprod-zero-eq*, auto)  
**done**

**lemma** *setprod-Un*:  $\text{finite } A \implies \text{finite } B \implies (\text{ALL } x: A \ \text{Int } B. f \ x \neq 0) \implies$

( $\text{setprod } f \ (A \ \text{Un } B) :: 'a :: \{\text{field}\}$ )  
 $= \text{setprod } f \ A * \text{setprod } f \ B / \text{setprod } f \ (A \ \text{Int } B)$   
**apply** (subst *setprod-Un-Int* [symmetric], auto)  
**apply** (subgoal-tac *finite* (A Int B))  
**apply** (frule *setprod-nonzero-field* [of *A Int B f*], assumption)  
**apply** (subst *times-divide-eq-right* [THEN *sym*], auto)  
**done**

**lemma** *setprod-diff1*:  $\text{finite } A \implies f \ a \neq 0 \implies$

( $\text{setprod } f \ (A - \{a\}) :: 'a :: \{\text{field}\}$ ) =  
 $(\text{if } a:A \text{ then } \text{setprod } f \ A / f \ a \text{ else } \text{setprod } f \ A)$   
**by** (erule *finite-induct*) (auto simp add: *insert-Diff-if*)

**lemma** *setprod-inversef*:  $\text{finite } A \implies$

$\text{ALL } x: A. f \ x \neq (0 :: 'a :: \{\text{field}, \text{division-by-zero}\}) \implies$   
 $\text{setprod } (\text{inverse} \circ f) \ A = \text{inverse } (\text{setprod } f \ A)$   
**apply** (erule *finite-induct*)  
**apply** (simp, simp)  
**done**

**lemma** *setprod-dividef*:

[ $\text{finite } A$ ;  
 $\forall x \in A. g \ x \neq (0 :: 'a :: \{\text{field}, \text{division-by-zero}\})$ ]  
 $\implies \text{setprod } (\%x. f \ x / g \ x) \ A = \text{setprod } f \ A / \text{setprod } g \ A$   
**apply** (subgoal-tac  
 $\text{setprod } (\%x. f \ x / g \ x) \ A = \text{setprod } (\%x. f \ x * (\text{inverse} \circ g) \ x) \ A$ )

```

apply (erule ssubst)
apply (subst divide-inverse)
apply (subst setprod-timesf)
apply (subst setprod-inversef, assumption+, rule refl)
apply (rule setprod-cong, rule refl)
apply (subst divide-inverse, auto)
done

```

## 20.6 Finite cardinality

This definition, although traditional, is ugly to work with:  $\text{card } A == \text{LEAST } n. \text{ EX } f. A = \{f\ i \mid i. i < n\}$ . But now that we have *setsum* things are easy:

**definition**

$\text{card} :: 'a \text{ set} \Rightarrow \text{nat}$

**where**

$\text{card } A = \text{setsum } (\lambda x. 1) A$

**lemma** *card-empty* [simp]:  $\text{card } \{\} = 0$

**by** (simp add: card-def)

**lemma** *card-infinite* [simp]:  $\sim \text{finite } A ==> \text{card } A = 0$

**by** (simp add: card-def)

**lemma** *card-eq-setsum*:  $\text{card } A = \text{setsum } (\%x. 1) A$

**by** (simp add: card-def)

**lemma** *card-insert-disjoint* [simp]:

$\text{finite } A ==> x \notin A ==> \text{card } (\text{insert } x A) = \text{Suc}(\text{card } A)$

**by**(simp add: card-def)

**lemma** *card-insert-if*:

$\text{finite } A ==> \text{card } (\text{insert } x A) = (\text{if } x:A \text{ then } \text{card } A \text{ else } \text{Suc}(\text{card}(A)))$

**by** (simp add: insert-absorb)

**lemma** *card-0-eq* [simp,noatp]:  $\text{finite } A ==> (\text{card } A = 0) = (A = \{\})$

**apply** auto

**apply** (drule-tac  $a = x$  in mk-disjoint-insert, clarify, auto)

**done**

**lemma** *card-eq-0-iff*:  $(\text{card } A = 0) = (A = \{\} \mid \sim \text{finite } A)$

**by** auto

**lemma** *card-Suc-Diff1*:  $\text{finite } A ==> x: A ==> \text{Suc } (\text{card } (A - \{x\})) = \text{card } A$

**apply**(rule-tac  $t = A$  in insert-Diff [THEN subst], assumption)

**apply**(simp del:insert-Diff-single)

**done**

**lemma** *card-Diff-singleton*:

*finite A ==> x: A ==> card (A - {x}) = card A - 1*  
**by** (*simp add: card-Suc-Diff1 [symmetric]*)

**lemma** *card-Diff-singleton-if*:

*finite A ==> card (A - {x}) = (if x : A then card A - 1 else card A)*  
**by** (*simp add: card-Diff-singleton*)

**lemma** *card-Diff-insert[simp]*:

**assumes** *finite A and a:A and a ~: B*

**shows** *card(A - insert a B) = card(A - B) - 1*

**proof** -

**have** *A - insert a B = (A - B) - {a}* **using** *assms* **by** *blast*  
**then show** *?thesis* **using** *assms* **by** (*simp add: card-Diff-singleton*)  
**qed**

**lemma** *card-insert*: *finite A ==> card (insert x A) = Suc (card (A - {x}))*

**by** (*simp add: card-insert-if card-Suc-Diff1 del: card-Diff-insert*)

**lemma** *card-insert-le*: *finite A ==> card A <= card (insert x A)*

**by** (*simp add: card-insert-if*)

**lemma** *card-mono*:  $\llbracket \text{finite } B; A \subseteq B \rrbracket \implies \text{card } A \leq \text{card } B$

**by** (*simp add: card-def setsum-mono2*)

**lemma** *card-seteq*: *finite B ==> (!A. A <= B ==> card B <= card A ==> A = B)*

**apply** (*induct set: finite, simp, clarify*)  
**apply** (*subgoal-tac finite A & A - {x} <= F*)  
**prefer** 2 **apply** (*blast intro: finite-subset, atomize*)  
**apply** (*drule-tac x = A - {x} in spec*)  
**apply** (*simp add: card-Diff-singleton-if split add: split-if-asm*)  
**apply** (*case-tac card A, auto*)  
**done**

**lemma** *psubset-card-mono*: *finite B ==> A < B ==> card A < card B*

**apply** (*simp add: psubset-eq linorder-not-le [symmetric]*)

**apply** (*blast dest: card-seteq*)

**done**

**lemma** *card-Un-Int*: *finite A ==> finite B*

*==> card A + card B = card (A Un B) + card (A Int B)*

**by** (*simp add: card-def setsum-Un-Int*)

**lemma** *card-Un-disjoint*: *finite A ==> finite B*

*==> A Int B = {} ==> card (A Un B) = card A + card B*

**by** (*simp add: card-Un-Int*)

**lemma** *card-Diff-subset*:

*finite B ==> B <= A ==> card (A - B) = card A - card B*  
**by**(*simp add:card-def setsum-diff-nat*)

**lemma** *card-Diff1-less: finite A ==> x: A ==> card (A - {x}) < card A*  
**apply** (*rule Suc-less-SucD*)  
**apply** (*simp add: card-Suc-Diff1 del:card-Diff-insert*)  
**done**

**lemma** *card-Diff2-less:*  
*finite A ==> x: A ==> y: A ==> card (A - {x} - {y}) < card A*  
**apply** (*case-tac x = y*)  
**apply** (*simp add: card-Diff1-less del:card-Diff-insert*)  
**apply** (*rule less-trans*)  
**prefer 2 apply** (*auto intro!: card-Diff1-less simp del:card-Diff-insert*)  
**done**

**lemma** *card-Diff1-le: finite A ==> card (A - {x}) <= card A*  
**apply** (*case-tac x : A*)  
**apply** (*simp-all add: card-Diff1-less less-imp-le*)  
**done**

**lemma** *card-psubset: finite B ==> A ⊆ B ==> card A < card B ==> A < B*  
**by** (*erule psubsetI, blast*)

**lemma** *insert-partition:*  

$$\llbracket x \notin F; \forall c1 \in \text{insert } x \text{ } F. \forall c2 \in \text{insert } x \text{ } F. c1 \neq c2 \longrightarrow c1 \cap c2 = \{\} \rrbracket$$

$$\implies x \cap \bigcup F = \{\}$$
  
**by** *auto*

main cardinality theorem

**lemma** *card-partition [rule-format]:*  
*finite C ==>*  
*finite (⋃ C) -->*  
*(∀ c ∈ C. card c = k) -->*  
*(∀ c1 ∈ C. ∀ c2 ∈ C. c1 ≠ c2 --> c1 ∩ c2 = { }) -->*  
*k \* card(C) = card (⋃ C)*  
**apply** (*erule finite-induct, simp*)  
**apply** (*simp add: card-insert-disjoint card-Un-disjoint insert-partition*  
*finite-subset [of - ⋃ (insert x F)]*)  
**done**

The form of a finite set of given cardinality

**lemma** *card-eq-SucD:*  
**assumes** *card A = Suc k*  
**shows**  $\exists b \in B. A = \text{insert } b \text{ } B \ \& \ b \notin B \ \& \ \text{card } B = k \ \& \ (k=0 \longrightarrow B=\{\})$   
**proof** –  
**have** *fin: finite A* **using** *assms* **by** (*auto intro: ccontr*)  
**moreover** **have** *card A ≠ 0* **using** *assms* **by** *auto*  
**ultimately obtain** *b* **where** *b: b ∈ A* **by** *auto*



```

show ?thesis
proof (intro exI conjI)
  show  $A = \text{insert } b (A - \{b\})$  using  $b$  by blast
  show  $b \notin A - \{b\}$  by blast
  show  $\text{card } (A - \{b\}) = k$  and  $k = 0 \longrightarrow A - \{b\} = \{\}$ 
    using assms  $b$  fin by (fastsimp dest:mk-disjoint-insert)+
qed
qed

```

```

lemma card-Suc-eq:
  ( $\text{card } A = \text{Suc } k$ ) =
  ( $\exists b B. A = \text{insert } b B \ \& \ b \notin B \ \& \ \text{card } B = k \ \& \ (k=0 \longrightarrow B=\{\})$ )
apply (rule iffI)
  apply (erule card-eq-SucD)
  apply (auto)
  apply (subst card-insert)
  apply (auto intro: ccontr)
done

```

```

lemma setsum-constant [simp]: ( $\sum x \in A. y$ ) = of-nat (card  $A$ ) *  $y$ 
apply (cases finite  $A$ )
  apply (erule finite-induct)
  apply (auto simp add: ring-simps)
done

```

```

lemma setprod-constant: finite  $A \implies (\prod x \in A. (y::'a::\{\text{recpower}, \text{comm-monoid-mult}\}))$ 
=  $y^{\text{card } A}$ 
  apply (erule finite-induct)
  apply (auto simp add: power-Suc)
done

```

```

lemma setsum-bounded:
  assumes  $le: \bigwedge i. i \in A \implies f i \leq (K::'a::\{\text{semiring-1}, \text{pordered-ab-semigroup-add}\})$ 
  shows  $\text{setsum } f A \leq \text{of-nat}(\text{card } A) * K$ 
proof (cases finite  $A$ )
  case True
    thus ?thesis using  $le$  setsum-mono[where  $K=A$  and  $g = \%x. K$ ] by simp
  next
    case False thus ?thesis by (simp add: setsum-def)
qed

```

### 20.6.1 Cardinality of unions

```

lemma card-UN-disjoint:
  finite  $I \implies (\text{ALL } i:I. \text{finite } (A \ i)) \implies$ 
  ( $\text{ALL } i:I. \text{ALL } j:I. i \neq j \longrightarrow A \ i \cap A \ j = \{\}$ )  $\implies$ 
   $\text{card } (\text{UNION } I \ A) = (\sum i \in I. \text{card}(A \ i))$ 
  apply (simp add: card-def del: setsum-constant)
  apply (subgoal-tac

```

```

      setsum (%i. card (A i)) I = setsum (%i. (setsum (%x. 1) (A i))) I)
apply (simp add: setsum-UN-disjoint del: setsum-constant)
apply (simp cong: setsum-cong)
done

```

```

lemma card-Union-disjoint:
  finite C ==> (ALL A:C. finite A) ==>
    (ALL A:C. ALL B:C. A ≠ B --> A Int B = {}) ==>
      card (Union C) = setsum card C
apply (frule card-UN-disjoint [of C id])
apply (unfold Union-def id-def, assumption+)
done

```

### 20.6.2 Cardinality of image

The image of a finite set can be expressed using *fold*.

```

lemma image-eq-fold: finite A ==> f ` A = fold (op Un) (%x. {f x}) {} A
proof (induct rule: finite-induct)
  case empty then show ?case by simp
next
  interpret ab-semigroup-mult [op Un]
  by unfold-locales auto
  case insert
  then show ?case by simp
qed

```

```

lemma card-image-le: finite A ==> card (f ` A) <= card A
apply (induct set: finite)
apply simp
apply (simp add: le-SucI finite-imageI card-insert-if)
done

```

```

lemma card-image: inj-on f A ==> card (f ` A) = card A
by(simp add:card-def setsum-reindex o-def del:setsum-constant)

```

```

lemma endo-inj-surj: finite A ==> f ` A ⊆ A ==> inj-on f A ==> f ` A = A
by (simp add: card-seteq card-image)

```

```

lemma eq-card-imp-inj-on:
  [| finite A; card(f ` A) = card A |] ==> inj-on f A
apply (induct rule:finite-induct)
apply simp
apply(frule card-image-le[where f = f])
apply(simp add:card-insert-if split:if-splits)
done

```

```

lemma inj-on-iff-eq-card:
  finite A ==> inj-on f A = (card(f ` A) = card A)
by(blast intro: card-image eq-card-imp-inj-on)

```

**lemma** *card-inj-on-le*:

$\llbracket \text{inj-on } f \ A; f \ ' \ A \subseteq B; \text{finite } B \rrbracket \implies \text{card } A \leq \text{card } B$   
**apply** (*subgoal-tac* *finite A*)  
**apply** (*force intro: card-mono simp add: card-image [symmetric]*)  
**apply** (*blast intro: finite-imageD dest: finite-subset*)  
**done**

**lemma** *card-bij-eq*:

$\llbracket \text{inj-on } f \ A; f \ ' \ A \subseteq B; \text{inj-on } g \ B; g \ ' \ B \subseteq A; \\ \text{finite } A; \text{finite } B \rrbracket \implies \text{card } A = \text{card } B$   
**by** (*auto intro: le-anti-sym card-inj-on-le*)

### 20.6.3 Cardinality of products

**lemma** *card-SigmaI [simp]*:

$\llbracket \text{finite } A; \text{ALL } a:A. \text{finite } (B \ a) \rrbracket \\ \implies \text{card } (\text{SIGMA } x: A. B \ x) = (\sum a \in A. \text{card } (B \ a))$   
**by** (*simp add: card-def setsum-Sigma del: setsum-constant*)

**lemma** *card-cartesian-product*:  $\text{card } (A \lt * > B) = \text{card}(A) * \text{card}(B)$

**apply** (*cases finite A*)  
**apply** (*cases finite B*)  
**apply** (*auto simp add: card-eq-0-iff*  
*dest: finite-cartesian-productD1 finite-cartesian-productD2*)  
**done**

**lemma** *card-cartesian-product-singleton*:  $\text{card}(\{x\} \lt * > A) = \text{card}(A)$

**by** (*simp add: card-cartesian-product*)

### 20.6.4 Cardinality of the Powerset

**lemma** *card-Pow*:  $\text{finite } A \implies \text{card } (\text{Pow } A) = \text{Suc } (\text{Suc } 0) \wedge \text{card } A$

**apply** (*induct set: finite*)  
**apply** (*simp-all add: Pow-insert*)  
**apply** (*subst card-Un-disjoint, blast*)  
**apply** (*blast intro: finite-imageI, blast*)  
**apply** (*subgoal-tac inj-on (insert x) (Pow F)*)  
**apply** (*simp add: card-image Pow-insert*)  
**apply** (*unfold inj-on-def*)  
**apply** (*blast elim!: equalityE*)  
**done**

Relates to equivalence classes. Based on a theorem of F. Kammüller.

**lemma** *dvd-partition*:

$\text{finite } (\text{Union } C) \implies \\ \text{ALL } c : C. k \text{ dvd card } c \implies \\ (\text{ALL } c1: C. \text{ALL } c2: C. c1 \neq c2 \longrightarrow c1 \text{ Int } c2 = \{\}) \implies \\ k \text{ dvd card } (\text{Union } C)$

```

apply(frule finite-UnionD)
apply(rotate-tac -1)
  apply (induct set: finite, simp-all, clarify)
  apply (subst card-Un-disjoint)
  apply (auto simp add: dvd-add disjoint-eq-subset-Compl)
done

```

### 20.6.5 Relating injectivity and surjectivity

```

lemma finite-surj-inj: finite(A)  $\implies A \leq f'A \implies \text{inj-on } f \ A$ 
apply(rule eq-card-imp-inj-on, assumption)
apply(frule finite-imageI)
apply(drule (1) card-seteq)
apply(erule card-image-le)
apply simp
done

```

```

lemma finite-UNIV-surj-inj: fixes f :: 'a  $\Rightarrow$  'a
shows finite(UNIV :: 'a set)  $\implies \text{surj } f \implies \text{inj } f$ 
by (blast intro: finite-surj-inj subset-UNIV dest:surj-range)

```

```

lemma finite-UNIV-inj-surj: fixes f :: 'a  $\Rightarrow$  'a
shows finite(UNIV :: 'a set)  $\implies \text{inj } f \implies \text{surj } f$ 
by(fastsimp simp:surj-def dest!: endo-inj-surj)

```

```

corollary infinite-UNIV-nat:  $\sim \text{finite}(UNIV :: \text{nat set})$ 
proof
  assume finite(UNIV :: nat set)
  with finite-UNIV-inj-surj[of Suc]
  show False by simp (blast dest: Suc-neq-Zero surjD)
qed

```

## 20.7 A fold functional for non-empty sets

Does not require start value.

```

inductive
  fold1Set :: ('a  $\Rightarrow$  'a  $\Rightarrow$  'a)  $\Rightarrow$  'a set  $\Rightarrow$  'a  $\Rightarrow$  bool
  for f :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a
where
  fold1Set-insertI [intro]:
     $\llbracket \text{foldSet } f \text{ id } a \ A \ x; a \notin A \rrbracket \implies \text{fold1Set } f \ (\text{insert } a \ A) \ x$ 

```

```

constdefs
  fold1 :: ('a  $\Rightarrow$  'a  $\Rightarrow$  'a)  $\Rightarrow$  'a set  $\Rightarrow$  'a
  fold1 f A == THE x. fold1Set f A x

```

```

lemma fold1Set-nonempty:
  fold1Set f A x  $\implies A \neq \{\}$ 
  by(erule fold1Set.cases, simp-all)

```

**inductive-cases** *empty-fold1SetE* [elim!]: *fold1Set* *f* {} *x*

**inductive-cases** *insert-fold1SetE* [elim!]: *fold1Set* *f* (*insert* *a* *X*) *x*

**lemma** *fold1Set-sing* [iff]: (*fold1Set* *f* {*a*} *b*) = (*a* = *b*)  
**by** (*blast* *intro*: *foldSet.intros* *elim*: *foldSet.cases*)

**lemma** *fold1-singleton* [simp]: *fold1* *f* {*a*} = *a*  
**by** (*unfold* *fold1-def*) *blast*

**lemma** *finite-nonempty-imp-fold1Set*:  
 [ *finite* *A*; *A* ≠ {} ] ⇒ EX *x*. *fold1Set* *f* *A* *x*  
**apply** (*induct* *A* *rule*: *finite-induct*)  
**apply** (*auto* *dest*: *finite-imp-foldSet* [*of* - *f* *id*])  
**done**

First, some lemmas about *foldSet*.

**context** *ab-semigroup-mult*  
**begin**

**lemma** *foldSet-insert-swap*:  
**assumes** *fold*: *foldSet* *times* *id* *b* *A* *y*  
**shows** *b* ∉ *A* ⇒ *foldSet* *times* *id* *z* (*insert* *b* *A*) (*z* \* *y*)  
**using** *fold*  
**proof** (*induct* *rule*: *foldSet.induct*)  
**case** *emptyI* **thus** ?*case* **by** (*force* *simp* *add*: *fold-insert-aux* *mult-commute*)  
**next**  
**case** (*insertI* *x* *A* *y*)  
**have** *foldSet* *times* (λ*u*. *u*) *z* (*insert* *x* (*insert* *b* *A*)) (*x* \* (*z* \* *y*))  
**using** *insertI* **by** *force* — how does *id* get unfolded?  
**thus** ?*case* **by** (*simp* *add*: *insert-commute* *mult-ac*)  
**qed**

**lemma** *foldSet-permute-diff*:  
**assumes** *fold*: *foldSet* *times* *id* *b* *A* *x*  
**shows** !!*a*. [ *a* ∈ *A*; *b* ∉ *A* ] ⇒ *foldSet* *times* *id* *a* (*insert* *b* (*A* − {*a*})) *x*  
**using** *fold*  
**proof** (*induct* *rule*: *foldSet.induct*)  
**case** *emptyI* **thus** ?*case* **by** *simp*  
**next**  
**case** (*insertI* *x* *A* *y*)  
**have** *a* = *x* ∨ *a* ∈ *A* **using** *insertI* **by** *simp*  
**thus** ?*case*  
**proof**  
**assume** *a* = *x*  
**with** *insertI* **show** ?*thesis*  
**by** (*simp* *add*: *id-def* [*symmetric*], *blast* *intro*: *foldSet-insert-swap*)

```

next
  assume ainA: a ∈ A
  hence foldSet times id a (insert x (insert b (A - {a}))) (x * y)
    using insertI by (force simp: id-def)
  moreover
  have insert x (insert b (A - {a})) = insert b (insert x A - {a})
    using ainA insertI by blast
  ultimately show ?thesis by (simp add: id-def)
qed
qed

lemma fold1-eq-fold:
  [|finite A; a ∉ A|] ==> fold1 times (insert a A) = fold times id a A
  apply (simp add: fold1-def fold-def)
  apply (rule the-equality)
  apply (best intro: foldSet-determ theI dest: finite-imp-foldSet [of - times id])
  apply (rule sym, clarify)
  apply (case-tac Aa=A)
  apply (best intro: the-equality foldSet-determ)
  apply (subgoal-tac foldSet times id a A x)
  apply (best intro: the-equality foldSet-determ)
  apply (subgoal-tac insert aa (Aa - {a}) = A)
  prefer 2 apply (blast elim: equalityE)
  apply (auto dest: foldSet-permute-diff [where a=a])
  done

lemma nonempty-iff: (A ≠ {}) = (∃ x B. A = insert x B & x ∉ B)
  apply safe
  apply simp
  apply (drule-tac x=x in spec)
  apply (drule-tac x=A-{x} in spec, auto)
  done

lemma fold1-insert:
  assumes nonempty: A ≠ {} and A: finite A x ∉ A
  shows fold1 times (insert x A) = x * fold1 times A
  proof -
    from nonempty obtain a A' where A = insert a A' & a ∉ A'
    by (auto simp add: nonempty-iff)
    with A show ?thesis
    by (simp add: insert-commute [of x] fold1-eq-fold eq-commute)
  qed
qed

end

context ab-semigroup-idem-mult
begin

lemma fold1-insert-idem [simp]:

```

```

assumes nonempty:  $A \neq \{\}$  and  $A$ : finite  $A$ 
shows  $\text{fold1 times } (\text{insert } x \ A) = x * \text{fold1 times } A$ 
proof –
  from nonempty obtain  $a \ A'$  where  $A'$ :  $A = \text{insert } a \ A' \ \& \ a \sim: A'$ 
    by (auto simp add: nonempty-iff)
  show ?thesis
  proof cases
    assume  $a = x$ 
    thus ?thesis
    proof cases
      assume  $A' = \{\}$ 
      with prems show ?thesis by (simp add: mult-idem)
    next
      assume  $A' \neq \{\}$ 
      with prems show ?thesis
        by (simp add: fold1-insert mult-assoc [symmetric] mult-idem)
    qed
  next
    assume  $a \neq x$ 
    with prems show ?thesis
      by (simp add: insert-commute fold1-eq-fold fold-insert-idem)
    qed
  qed

```

```

lemma hom-fold1-commute:
assumes hom:  $\forall x \ y. \ h \ (x * y) = h \ x * h \ y$ 
and  $N$ : finite  $N \ N \neq \{\}$  shows  $h \ (\text{fold1 times } N) = \text{fold1 times } (h \ ` \ N)$ 
using  $N$  proof (induct rule: finite-ne-induct)
  case singleton thus ?case by simp
next
  case (insert  $n \ N$ )
  then have  $h \ (\text{fold1 times } (\text{insert } n \ N)) = h \ (n * \text{fold1 times } N)$  by simp
  also have  $\dots = h \ n * h \ (\text{fold1 times } N)$  by (rule hom)
  also have  $h \ (\text{fold1 times } N) = \text{fold1 times } (h \ ` \ N)$  by (rule insert)
  also have  $\text{times } (h \ n) \ \dots = \text{fold1 times } (\text{insert } (h \ n) \ (h \ ` \ N))$ 
    using insert by (simp)
  also have  $\text{insert } (h \ n) \ (h \ ` \ N) = h \ ` \ \text{insert } n \ N$  by simp
  finally show ?case .
qed

```

**end**

Now the recursion rules for definitions:

```

lemma fold1-singleton-def:  $g = \text{fold1 } f \implies g \ \{a\} = a$ 
by (simp add: fold1-singleton)

```

```

lemma (in ab-semigroup-mult) fold1-insert-def:
   $\llbracket g = \text{fold1 times}; \text{finite } A; x \notin A; A \neq \{\} \rrbracket \implies g \ (\text{insert } x \ A) = x * g \ A$ 
by (simp add: fold1-insert)

```

**lemma** (in *ab-semigroup-idem-mult*) *fold1-insert-idem-def*:  
 $\llbracket g = \text{fold1 times}; \text{finite } A; A \neq \{\} \rrbracket \implies g (\text{insert } x \ A) = x * g \ A$   
**by** *simp*

### 20.7.1 Determinacy for *fold1Set*

Not actually used!!

**context** *ab-semigroup-mult*  
**begin**

**lemma** *foldSet-permute*:  
 $\llbracket \text{foldSet times id } b (\text{insert } a \ A) \ x; a \notin A; b \notin A \rrbracket$   
 $\implies \text{foldSet times id } a (\text{insert } b \ A) \ x$

**apply** (*cases a=b*)  
**apply** (*auto dest: foldSet-permute-diff*)  
**done**

**lemma** *fold1Set-determ*:  
 $\text{fold1Set times } A \ x \implies \text{fold1Set times } A \ y \implies y = x$   
**proof** (*clarify elim!: fold1Set.cases*)  
**fix** *A x B y a b*  
**assume** *Ax: foldSet times id a A x*  
**assume** *By: foldSet times id b B y*  
**assume** *anotA: a ∉ A*  
**assume** *bnotB: b ∉ B*  
**assume** *eq: insert a A = insert b B*  
**show** *y=x*  
**proof** *cases*  
**assume** *same: a=b*  
**hence** *A=B* **using** *anotA bnotB eq* **by** (*blast elim!: equalityE*)  
**thus** *?thesis* **using** *Ax By same* **by** (*blast intro: foldSet-determ*)  
**next**  
**assume** *diff: a≠b*  
**let** *?D = B - {a}*  
**have** *B: B = insert a ?D* **and** *A: A = insert b ?D*  
**and** *aB: a ∈ B* **and** *bA: b ∈ A*  
**using** *eq anotA bnotB diff* **by** (*blast elim!:equalityE*)  
**with** *aB bnotB By*  
**have** *foldSet times id a (insert b ?D) y*  
**by** (*auto intro: foldSet-permute simp add: insert-absorb*)  
**moreover**  
**have** *foldSet times id a (insert b ?D) x*  
**by** (*simp add: A [symmetric] Ax*)  
**ultimately show** *?thesis* **by** (*blast intro: foldSet-determ*)  
**qed**  
**qed**

**lemma** *fold1Set-equality*:  $\text{fold1Set times } A \ y \implies \text{fold1 times } A = y$



```

    by (unfold fold1-def) (blast intro: fold1Set-determ)

end

declare
  empty-foldSetE [rule del] foldSet.intros [rule del]
  empty-fold1SetE [rule del] insert-fold1SetE [rule del]
  — No more proofs involve these relations.

```

### 20.7.2 Lemmas about *fold1*

```

context ab-semigroup-mult
begin

```

```

lemma fold1-Un:
  assumes A: finite A A ≠ {}
  shows finite B  $\implies$  B ≠ {}  $\implies$  A Int B = {}  $\implies$ 
    fold1 times (A Un B) = fold1 times A * fold1 times B
  using A by (induct rule: finite-ne-induct)
  (simp-all add: fold1-insert mult-assoc)

```

```

lemma fold1-in:
  assumes A: finite (A) A ≠ {} and elem:  $\bigwedge x y. x * y \in \{x, y\}$ 
  shows fold1 times A  $\in$  A
  using A
  proof (induct rule: finite-ne-induct)
    case singleton thus ?case by simp
  next
    case insert thus ?case using elem by (force simp add: fold1-insert)
  qed

```

```

end

```

```

lemma (in ab-semigroup-idem-mult) fold1-Un2:
  assumes A: finite A A ≠ {}
  shows finite B  $\implies$  B ≠ {}  $\implies$ 
    fold1 times (A Un B) = fold1 times A * fold1 times B
  using A
  proof (induct rule: finite-ne-induct)
    case singleton thus ?case by simp
  next
    case insert thus ?case by (simp add: mult-assoc)
  qed

```

### 20.7.3 Fold1 in lattices with *inf* and *sup*

As an application of *fold1* we define infimum and supremum in (not necessarily complete!) lattices over (non-empty) sets by means of *fold1*.

```

context lower-semilattice

```

**begin**

**lemma** *ab-semigroup-idem-mult-inf*:

*ab-semigroup-idem-mult inf*  
**apply** *unfold-locales*  
**apply** (*rule inf-assoc*)  
**apply** (*rule inf-commute*)  
**apply** (*rule inf-idem*)  
**done**

**lemma** *below-fold1-iff*:

**assumes** *finite A A ≠ {}*  
**shows**  $x \leq \text{fold1 inf } A \longleftrightarrow (\forall a \in A. x \leq a)$   
**proof** –  
**interpret** *ab-semigroup-idem-mult [inf]*  
**by** (*rule ab-semigroup-idem-mult-inf*)  
**show** *?thesis* **using** *assms* **by** (*induct rule: finite-ne-induct*) *simp-all*  
**qed**

**lemma** *fold1-belowI*:

**assumes** *finite A*  
**and**  $a \in A$   
**shows**  $\text{fold1 inf } A \leq a$   
**proof** –  
**from** *assms* **have**  $A \neq \{\}$  **by** *auto*  
**from**  $\langle \text{finite } A \rangle \langle A \neq \{\} \rangle \langle a \in A \rangle$  **show** *?thesis*  
**proof** (*induct rule: finite-ne-induct*)  
**case** *singleton* **thus** *?case* **by** *simp*  
**next**  
**interpret** *ab-semigroup-idem-mult [inf]*  
**by** (*rule ab-semigroup-idem-mult-inf*)  
**case** (*insert x F*)  
**from** *insert(5)* **have**  $a = x \vee a \in F$  **by** *simp*  
**thus** *?case*  
**proof**  
**assume**  $a = x$  **thus** *?thesis* **using** *insert*  
**by** (*simp add: mult-ac-idem*)  
**next**  
**assume**  $a \in F$   
**hence**  $\text{bel: fold1 inf } F \leq a$  **by** (*rule insert*)  
**have**  $\text{inf (fold1 inf (insert x F)) } a = \text{inf } x (\text{inf (fold1 inf } F) a)$   
**using** *insert* **by** (*simp add: mult-ac-idem*)  
**also have**  $\text{inf (fold1 inf } F) a = \text{fold1 inf } F$   
**using** *bel* **by** (*auto intro: antisym*)  
**also have**  $\text{inf } x \dots = \text{fold1 inf (insert x F)}$   
**using** *insert* **by** (*simp add: mult-ac-idem*)  
**finally have** *aux*:  $\text{inf (fold1 inf (insert x F)) } a = \text{fold1 inf (insert x F)}$  .  
**moreover have**  $\text{inf (fold1 inf (insert x F)) } a \leq a$  **by** *simp*  
**ultimately show** *?thesis* **by** *simp*

qed  
 qed  
 qed

end

**lemma** (in upper-semilattice) ab-semigroup-idem-mult-sup:  
 ab-semigroup-idem-mult sup  
**by** (rule lower-semilattice.ab-semigroup-idem-mult-inf)  
 (rule dual-lattice)

**context** lattice  
**begin**

**definition**

$Inf\text{-}fin :: 'a \text{ set} \Rightarrow 'a (\prod_{fin} [900] 900)$   
**where**  
 $Inf\text{-}fin = fold1 \ inf$

**definition**

$Sup\text{-}fin :: 'a \text{ set} \Rightarrow 'a (\sqcup_{fin} [900] 900)$   
**where**  
 $Sup\text{-}fin = fold1 \ sup$

**lemma**  $Inf\text{-}le\text{-}Sup \ [simp]: \llbracket finite \ A; \ A \neq \{\} \rrbracket \Longrightarrow \prod_{fin} A \leq \sqcup_{fin} A$   
**apply**(unfold Sup-fin-def Inf-fin-def)  
**apply**(subgoal-tac EX a. a:A)  
**prefer** 2 **apply** blast  
**apply**(erule exE)  
**apply**(rule order-trans)  
**apply**(erule (1) fold1-belowI)  
**apply**(erule (1) lower-semilattice.fold1-belowI [OF dual-lattice])  
**done**

**lemma**  $sup\text{-}Inf\text{-}absorb \ [simp]:$   
 $finite \ A \Longrightarrow a \in A \Longrightarrow sup \ a \ (\prod_{fin} A) = a$   
**apply**(subst sup-commute)  
**apply**(simp add: Inf-fin-def sup-absorb2 fold1-belowI)  
**done**

**lemma**  $inf\text{-}Sup\text{-}absorb \ [simp]:$   
 $finite \ A \Longrightarrow a \in A \Longrightarrow inf \ a \ (\sqcup_{fin} A) = a$   
**by** (simp add: Sup-fin-def inf-absorb1  
 lower-semilattice.fold1-belowI [OF dual-lattice])

end

**context** distrib-lattice  
**begin**

**lemma** *sup-Inf1-distrib*:  
 assumes *finite A*  
 and  $A \neq \{\}$   
 shows  $\sup x (\prod_{fin} A) = \prod_{fin} \{\sup x a \mid a. a \in A\}$   
**proof** –  
 interpret *ab-semigroup-idem-mult* [*inf*]  
 by (rule *ab-semigroup-idem-mult-inf*)  
 from *assms* show ?thesis  
 by (simp add: *Inf-fin-def image-def*  
   *hom-fold1-commute* [where  $h = \sup x$ , *OF sup-inf-distrib1*])  
   (rule *arg-cong* [where  $f = \text{fold1 inf}$ ], *blast*)  
**qed**

**lemma** *sup-Inf2-distrib*:  
 assumes *A: finite A*  $A \neq \{\}$  and *B: finite B*  $B \neq \{\}$   
 shows  $\sup (\prod_{fin} A) (\prod_{fin} B) = \prod_{fin} \{\sup a b \mid a. a \in A \wedge b \in B\}$   
**using** *A* **proof** (*induct rule: finite-ne-induct*)  
 case *singleton* **thus** ?case  
 by (simp add: *sup-Inf1-distrib* [*OF B*] *fold1-singleton-def* [*OF Inf-fin-def*])  
**next**  
 interpret *ab-semigroup-idem-mult* [*inf*]  
 by (rule *ab-semigroup-idem-mult-inf*)  
 case (*insert x A*)  
 have *finB*: *finite*  $\{\sup x b \mid b. b \in B\}$   
 by (rule *finite-surj* [where  $f = \sup x$ , *OF B(1)*], *auto*)  
 have *finAB*: *finite*  $\{\sup a b \mid a. a \in A \wedge b \in B\}$   
**proof** –  
 have  $\{\sup a b \mid a. a \in A \wedge b \in B\} = (UN a:A. UN b:B. \{\sup a b\})$   
 by *blast*  
**thus** ?thesis **by** (simp add: *insert(1) B(1)*)  
**qed**  
 have *ne*:  $\{\sup a b \mid a. a \in A \wedge b \in B\} \neq \{\}$  **using** *insert B* **by** *blast*  
 have  $\sup (\prod_{fin} (\text{insert } x A)) (\prod_{fin} B) = \sup (\inf x (\prod_{fin} A)) (\prod_{fin} B)$   
**using** *insert* **by** (simp add: *fold1-insert-idem-def* [*OF Inf-fin-def*])  
**also have**  $\dots = \inf (\sup x (\prod_{fin} B)) (\sup (\prod_{fin} A) (\prod_{fin} B))$  **by** (rule *sup-inf-distrib2*)  
**also have**  $\dots = \inf (\prod_{fin} \{\sup x b \mid b. b \in B\}) (\prod_{fin} \{\sup a b \mid a. a \in A \wedge b \in B\})$   
**using** *insert* **by** (simp add: *sup-Inf1-distrib* [*OF B*])  
**also have**  $\dots = \prod_{fin} (\{\sup x b \mid b. b \in B\} \cup \{\sup a b \mid a. a \in A \wedge b \in B\})$   
 (is  $\dots = \prod_{fin} ?M$ )  
**using** *B insert*  
**by** (simp add: *Inf-fin-def fold1-Un2* [*OF finB - finAB ne*])  
**also have**  $?M = \{\sup a b \mid a. a \in \text{insert } x A \wedge b \in B\}$   
**by** *blast*  
**finally show** ?case .  
**qed**

**lemma** *inf-Sup1-distrib*:

```

    assumes finite A and  $A \neq \{\}$ 
    shows  $\inf x (\bigsqcup_{fin} A) = \bigsqcup_{fin} \{\inf x a \mid a. a \in A\}$ 
  proof -
    interpret ab-semigroup-idem-mult [sup]
    by (rule ab-semigroup-idem-mult-sup)
    from assms show ?thesis
    by (simp add: Sup-fin-def image-def hom-fold1-commute [where  $h = \inf x$ , OF
    inf-sup-distrib1])
    (rule arg-cong [where  $f = \text{fold1 } sup$ ], blast)
  qed

lemma inf-Sup2-distrib:
  assumes A: finite A  $A \neq \{\}$  and B: finite B  $B \neq \{\}$ 
  shows  $\inf (\bigsqcup_{fin} A) (\bigsqcup_{fin} B) = \bigsqcup_{fin} \{\inf a b \mid a b. a \in A \wedge b \in B\}$ 
using A proof (induct rule: finite-ne-induct)
  case singleton thus ?case
    by (simp add: inf-Sup1-distrib [OF B] fold1-singleton-def [OF Sup-fin-def])
  next
  case (insert x A)
  have finB: finite  $\{\inf x b \mid b. b \in B\}$ 
    by (rule finite-surj [where  $f = \%b. \inf x b$ , OF B(1)], auto)
  have finAB: finite  $\{\inf a b \mid a b. a \in A \wedge b \in B\}$ 
  proof -
    have  $\{\inf a b \mid a b. a \in A \wedge b \in B\} = (UN a:A. UN b:B. \{\inf a b\})$ 
    by blast
    thus ?thesis by (simp add: insert(1) B(1))
  qed
  have ne:  $\{\inf a b \mid a b. a \in A \wedge b \in B\} \neq \{\}$  using insert B by blast
  interpret ab-semigroup-idem-mult [sup]
  by (rule ab-semigroup-idem-mult-sup)
  have  $\inf (\bigsqcup_{fin} (\text{insert } x A)) (\bigsqcup_{fin} B) = \inf (sup x (\bigsqcup_{fin} A)) (\bigsqcup_{fin} B)$ 
    using insert by (simp add: fold1-insert-idem-def [OF Sup-fin-def])
  also have  $\dots = sup (\inf x (\bigsqcup_{fin} B)) (\inf (\bigsqcup_{fin} A) (\bigsqcup_{fin} B))$  by (rule inf-sup-distrib2)
  also have  $\dots = sup (\bigsqcup_{fin} \{\inf x b \mid b. b \in B\}) (\bigsqcup_{fin} \{\inf a b \mid a b. a \in A \wedge b \in B\})$ 
  by (rule inf-sup-distrib1)
  using insert by (simp add: inf-Sup1-distrib [OF B])
  also have  $\dots = \bigsqcup_{fin} (\{\inf x b \mid b. b \in B\} \cup \{\inf a b \mid a b. a \in A \wedge b \in B\})$ 
    (is  $= \bigsqcup_{fin} ?M$ )
    using B insert
    by (simp add: Sup-fin-def fold1-Un2 [OF finB - finAB ne])
  also have  $?M = \{\inf a b \mid a b. a \in \text{insert } x A \wedge b \in B\}$ 
    by blast
  finally show ?case .
qed

end

context complete-lattice
begin

```

Coincidence on finite sets in complete lattices:

```

lemma Inf-fin-Inf:
  assumes finite A and  $A \neq \{\}$ 
  shows  $\bigcap_{fin} A = Inf\ A$ 
proof –
  interpret ab-semigroup-idem-mult [inf]
    by (rule ab-semigroup-idem-mult-inf)
  from assms show ?thesis
  unfolding Inf-fin-def by (induct A set: finite)
    (simp-all add: Inf-insert-simp)
qed

```

```

lemma Sup-fin-Sup:
  assumes finite A and  $A \neq \{\}$ 
  shows  $\bigcup_{fin} A = Sup\ A$ 
proof –
  interpret ab-semigroup-idem-mult [sup]
    by (rule ab-semigroup-idem-mult-sup)
  from assms show ?thesis
  unfolding Sup-fin-def by (induct A set: finite)
    (simp-all add: Sup-insert-simp)
qed

```

**end**

#### 20.7.4 Fold1 in linear orders with *min* and *max*

As an application of *fold1* we define minimum and maximum in (not necessarily complete!) linear orders over (non-empty) sets by means of *fold1*.

```

context linorder
begin

```

```

lemma ab-semigroup-idem-mult-min:
  ab-semigroup-idem-mult min
  by unfold-locales (auto simp add: min-def)

```

```

lemma ab-semigroup-idem-mult-max:
  ab-semigroup-idem-mult max
  by unfold-locales (auto simp add: max-def)

```

```

lemma min-lattice:
  lower-semilattice (op ≤) (op <) min
  by unfold-locales (auto simp add: min-def)

```

```

lemma max-lattice:
  lower-semilattice (op ≥) (op >) max
  by unfold-locales (auto simp add: max-def)

```

**lemma** *dual-max*:  
 $ord.max (op \geq) = min$   
**by** (*auto simp add: ord.max-def-raw min-def-raw expand-fun-eq*)

**lemma** *dual-min*:  
 $ord.min (op \geq) = max$   
**by** (*auto simp add: ord.min-def-raw max-def-raw expand-fun-eq*)

**lemma** *strict-below-fold1-iff*:  
**assumes** *finite A and  $A \neq \{\}$*   
**shows**  $x < fold1\ min\ A \longleftrightarrow (\forall a \in A. x < a)$   
**proof** –  
**interpret** *ab-semigroup-idem-mult [min]*  
**by** (*rule ab-semigroup-idem-mult-min*)  
**from** *assms show ?thesis*  
**by** (*induct rule: finite-ne-induct*)  
*(simp-all add: fold1-insert)*  
**qed**

**lemma** *fold1-below-iff*:  
**assumes** *finite A and  $A \neq \{\}$*   
**shows**  $fold1\ min\ A \leq x \longleftrightarrow (\exists a \in A. a \leq x)$   
**proof** –  
**interpret** *ab-semigroup-idem-mult [min]*  
**by** (*rule ab-semigroup-idem-mult-min*)  
**from** *assms show ?thesis*  
**by** (*induct rule: finite-ne-induct*)  
*(simp-all add: fold1-insert min-le-iff-disj)*  
**qed**

**lemma** *fold1-strict-below-iff*:  
**assumes** *finite A and  $A \neq \{\}$*   
**shows**  $fold1\ min\ A < x \longleftrightarrow (\exists a \in A. a < x)$   
**proof** –  
**interpret** *ab-semigroup-idem-mult [min]*  
**by** (*rule ab-semigroup-idem-mult-min*)  
**from** *assms show ?thesis*  
**by** (*induct rule: finite-ne-induct*)  
*(simp-all add: fold1-insert min-less-iff-disj)*  
**qed**

**lemma** *fold1-antimono*:  
**assumes**  $A \neq \{\}$  **and**  $A \subseteq B$  **and** *finite B*  
**shows**  $fold1\ min\ B \leq fold1\ min\ A$   
**proof** *cases*  
**assume**  $A = B$  **thus** *?thesis* **by** *simp*  
**next**  
**interpret** *ab-semigroup-idem-mult [min]*  
**by** (*rule ab-semigroup-idem-mult-min*)

```

assume  $A \neq B$ 
have  $B: B = A \cup (B-A)$  using  $\langle A \subseteq B \rangle$  by blast
have  $\text{fold1 min } B = \text{fold1 min } (A \cup (B-A))$  by  $(\text{subst } B)(\text{rule refl})$ 
also have  $\dots = \text{min } (\text{fold1 min } A) (\text{fold1 min } (B-A))$ 
proof –
  have finite  $A$  by  $(\text{rule finite-subset}[OF \langle A \subseteq B \rangle \langle \text{finite } B \rangle])$ 
  moreover have finite  $(B-A)$  by  $(\text{rule finite-Diff}[OF \langle \text{finite } B \rangle])$ 
  moreover have  $(B-A) \neq \{\}$  using prems by blast
  moreover have  $A \text{ Int } (B-A) = \{\}$  using prems by blast
  ultimately show ?thesis using  $\langle A \neq \{\} \rangle$  by  $(\text{rule-tac fold1-Un})$ 
qed
also have  $\dots \leq \text{fold1 min } A$  by  $(\text{simp add: min-le-iff-disj})$ 
finally show ?thesis .
qed

```

**definition**

$\text{Min} :: 'a \text{ set} \Rightarrow 'a$

**where**

$\text{Min} = \text{fold1 min}$

**definition**

$\text{Max} :: 'a \text{ set} \Rightarrow 'a$

**where**

$\text{Max} = \text{fold1 max}$

**lemmas** *Min-singleton*  $[\text{simp}] = \text{fold1-singleton-def } [OF \text{ Min-def}]$

**lemmas** *Max-singleton*  $[\text{simp}] = \text{fold1-singleton-def } [OF \text{ Max-def}]$

**lemma** *Min-insert*  $[\text{simp}]$ :

**assumes** *finite*  $A$  **and**  $A \neq \{\}$

**shows**  $\text{Min } (\text{insert } x \ A) = \text{min } x \ (\text{Min } A)$

**proof** –

**interpret** *ab-semigroup-idem-mult*  $[\text{min}]$

**by**  $(\text{rule ab-semigroup-idem-mult-min})$

**from** *assms* **show** *?thesis* **by**  $(\text{rule fold1-insert-idem-def } [OF \text{ Min-def}])$

**qed**

**lemma** *Max-insert*  $[\text{simp}]$ :

**assumes** *finite*  $A$  **and**  $A \neq \{\}$

**shows**  $\text{Max } (\text{insert } x \ A) = \text{max } x \ (\text{Max } A)$

**proof** –

**interpret** *ab-semigroup-idem-mult*  $[\text{max}]$

**by**  $(\text{rule ab-semigroup-idem-mult-max})$

**from** *assms* **show** *?thesis* **by**  $(\text{rule fold1-insert-idem-def } [OF \text{ Max-def}])$

**qed**

**lemma** *Min-in*  $[\text{simp}]$ :

**assumes** *finite*  $A$  **and**  $A \neq \{\}$

**shows**  $\text{Min } A \in A$



**proof** –

**interpret** *ab-semigroup-idem-mult* [*min*]  
     **by** (*rule ab-semigroup-idem-mult-min*)  
   **from** *assms fold1-in* **show** ?*thesis* **by** (*fastsimp simp: Min-def min-def*)  
**qed**

**lemma** *Max-in* [*simp*]:

**assumes** *finite A* **and**  $A \neq \{\}$   
   **shows** *Max A*  $\in A$

**proof** –

**interpret** *ab-semigroup-idem-mult* [*max*]  
     **by** (*rule ab-semigroup-idem-mult-max*)  
   **from** *assms fold1-in* [*of A*] **show** ?*thesis* **by** (*fastsimp simp: Max-def max-def*)  
**qed**

**lemma** *Min-Un*:

**assumes** *finite A* **and**  $A \neq \{\}$  **and** *finite B* **and**  $B \neq \{\}$   
   **shows** *Min* ( $A \cup B$ ) = *min* (*Min A*) (*Min B*)

**proof** –

**interpret** *ab-semigroup-idem-mult* [*min*]  
     **by** (*rule ab-semigroup-idem-mult-min*)  
   **from** *assms* **show** ?*thesis*  
     **by** (*simp add: Min-def fold1-Un2*)  
**qed**

**lemma** *Max-Un*:

**assumes** *finite A* **and**  $A \neq \{\}$  **and** *finite B* **and**  $B \neq \{\}$   
   **shows** *Max* ( $A \cup B$ ) = *max* (*Max A*) (*Max B*)

**proof** –

**interpret** *ab-semigroup-idem-mult* [*max*]  
     **by** (*rule ab-semigroup-idem-mult-max*)  
   **from** *assms* **show** ?*thesis*  
     **by** (*simp add: Max-def fold1-Un2*)  
**qed**

**lemma** *hom-Min-commute*:

**assumes**  $\bigwedge x y. h (\min x y) = \min (h x) (h y)$   
     **and** *finite N* **and**  $N \neq \{\}$   
   **shows**  $h (\min N) = \min (h \text{ ` } N)$

**proof** –

**interpret** *ab-semigroup-idem-mult* [*min*]  
     **by** (*rule ab-semigroup-idem-mult-min*)  
   **from** *assms* **show** ?*thesis*  
     **by** (*simp add: Min-def hom-fold1-commute*)  
**qed**

**lemma** *hom-Max-commute*:

**assumes**  $\bigwedge x y. h (\max x y) = \max (h x) (h y)$   
     **and** *finite N* **and**  $N \neq \{\}$

shows  $h (Max N) = Max (h \text{ ‘ } N)$   
**proof** –  
 interpret *ab-semigroup-idem-mult* [*max*]  
 by (rule *ab-semigroup-idem-mult-max*)  
 from *assms* **show** ?thesis  
 by (simp add: *Max-def hom-fold1-commute* [of *h*])  
**qed**

**lemma** *Min-le* [*simp*]:  
 assumes *finite A* and  $x \in A$   
 shows  $Min A \leq x$   
**proof** –  
 interpret *lower-semilattice* [ $op \leq op < min$ ]  
 by (rule *min-lattice*)  
 from *assms* **show** ?thesis by (simp add: *Min-def fold1-belowI*)  
**qed**

**lemma** *Max-ge* [*simp*]:  
 assumes *finite A* and  $x \in A$   
 shows  $x \leq Max A$   
**proof** –  
 invoke *lower-semilattice* [ $op \geq op > max$ ]  
 by (rule *max-lattice*)  
 from *assms* **show** ?thesis by (simp add: *Max-def fold1-belowI*)  
**qed**

**lemma** *Min-ge-iff* [*simp*, *noatp*]:  
 assumes *finite A* and  $A \neq \{\}$   
 shows  $x \leq Min A \longleftrightarrow (\forall a \in A. x \leq a)$   
**proof** –  
 interpret *lower-semilattice* [ $op \leq op < min$ ]  
 by (rule *min-lattice*)  
 from *assms* **show** ?thesis by (simp add: *Min-def below-fold1-iff*)  
**qed**

**lemma** *Max-le-iff* [*simp*, *noatp*]:  
 assumes *finite A* and  $A \neq \{\}$   
 shows  $Max A \leq x \longleftrightarrow (\forall a \in A. a \leq x)$   
**proof** –  
 invoke *lower-semilattice* [ $op \geq op > max$ ]  
 by (rule *max-lattice*)  
 from *assms* **show** ?thesis by (simp add: *Max-def below-fold1-iff*)  
**qed**

**lemma** *Min-gr-iff* [*simp*, *noatp*]:  
 assumes *finite A* and  $A \neq \{\}$   
 shows  $x < Min A \longleftrightarrow (\forall a \in A. x < a)$   
**proof** –  
 interpret *lower-semilattice* [ $op \leq op < min$ ]

by (rule min-lattice)  
 from *assms* show ?thesis by (simp add: Min-def strict-below-fold1-iff)  
 qed

lemma *Max-less-iff* [simp, noatp]:  
 assumes *finite A* and  $A \neq \{\}$   
 shows  $\text{Max } A < x \longleftrightarrow (\forall a \in A. a < x)$   
 proof –  
 note  $\text{Max} = \text{Max-def}$   
 interpret *linorder* [*op*  $\geq$  *op*  $>$ ]  
 by (rule dual-linorder)  
 from *assms* show ?thesis  
 by (simp add: Max strict-below-fold1-iff [folded dual-max])  
 qed

lemma *Min-le-iff* [noatp]:  
 assumes *finite A* and  $A \neq \{\}$   
 shows  $\text{Min } A \leq x \longleftrightarrow (\exists a \in A. a \leq x)$   
 proof –  
 interpret *lower-semilattice* [*op*  $\leq$  *op*  $<$  *min*]  
 by (rule min-lattice)  
 from *assms* show ?thesis  
 by (simp add: Min-def fold1-below-iff)  
 qed

lemma *Max-ge-iff* [noatp]:  
 assumes *finite A* and  $A \neq \{\}$   
 shows  $x \leq \text{Max } A \longleftrightarrow (\exists a \in A. x \leq a)$   
 proof –  
 note  $\text{Max} = \text{Max-def}$   
 interpret *linorder* [*op*  $\geq$  *op*  $>$ ]  
 by (rule dual-linorder)  
 from *assms* show ?thesis  
 by (simp add: Max fold1-below-iff [folded dual-max])  
 qed

lemma *Min-less-iff* [noatp]:  
 assumes *finite A* and  $A \neq \{\}$   
 shows  $\text{Min } A < x \longleftrightarrow (\exists a \in A. a < x)$   
 proof –  
 interpret *lower-semilattice* [*op*  $\leq$  *op*  $<$  *min*]  
 by (rule min-lattice)  
 from *assms* show ?thesis  
 by (simp add: Min-def fold1-strict-below-iff)  
 qed

lemma *Max-gr-iff* [noatp]:  
 assumes *finite A* and  $A \neq \{\}$   
 shows  $x < \text{Max } A \longleftrightarrow (\exists a \in A. x < a)$

```

proof –
  note  $Max = Max-def$ 
  interpret  $linorder$  [ $op \geq op >$ ]
    by ( $rule\ dual-linorder$ )
  from  $assms$  show  $?thesis$ 
    by ( $simp\ add: Max\ fold1-strict-below-iff$  [ $folded\ dual-max$ ])
qed

lemma  $Min-antimono$ :
  assumes  $M \subseteq N$  and  $M \neq \{\}$  and  $finite\ N$ 
  shows  $Min\ N \leq Min\ M$ 
proof –
  interpret  $distrib-lattice$  [ $op \leq op < min\ max$ ]
    by ( $rule\ distrib-lattice-min-max$ )
  from  $assms$  show  $?thesis$  by ( $simp\ add: Min-def\ fold1-antimono$ )
qed

lemma  $Max-mono$ :
  assumes  $M \subseteq N$  and  $M \neq \{\}$  and  $finite\ N$ 
  shows  $Max\ M \leq Max\ N$ 
proof –
  note  $Max = Max-def$ 
  interpret  $linorder$  [ $op \geq op >$ ]
    by ( $rule\ dual-linorder$ )
  from  $assms$  show  $?thesis$ 
    by ( $simp\ add: Max\ fold1-antimono$  [ $folded\ dual-max$ ])
qed

lemma  $finite-linorder-induct[consumes\ 1, case-names\ empty\ insert]$ :
   $finite\ A \implies P\ \{\} \implies$ 
  ( $!!A\ b. finite\ A \implies \forall a:A. a < b \implies P\ A \implies P(insert\ b\ A)$ )
   $\implies P\ A$ 
proof ( $induct\ A\ rule: measure-induct-rule[where\ f=card]$ )
  fix  $A :: 'a\ set$ 
  assume  $IH: !!B. card\ B < card\ A \implies finite\ B \implies P\ \{\} \implies$ 
    ( $!!A\ b. finite\ A \implies (\forall a \in A. a < b) \implies P\ A \implies P(insert\ b\ A)$ )
     $\implies P\ B$ 
  and  $finite\ A$  and  $P\ \{\}$ 
  and  $step: !!A\ b. \llbracket finite\ A; \forall a \in A. a < b; P\ A \rrbracket \implies P(insert\ b\ A)$ 
  show  $P\ A$ 
  proof ( $cases\ A = \{\}$ )
    assume  $A = \{\}$  thus  $P\ A$  using  $\langle P\ \{\} \rangle$  by  $simp$ 
  next
    let  $?B = A - \{Max\ A\}$  let  $?A = insert\ (Max\ A)\ ?B$ 
    assume  $A \neq \{\}$ 
    with  $\langle finite\ A \rangle$  have  $Max\ A : A$  by  $auto$ 
    hence  $A: ?A = A$  using  $insert-Diff-single\ insert-absorb$  by  $auto$ 
    note  $card-Diff1-less[OF\ \langle finite\ A \rangle\ \langle Max\ A : A \rangle]$ 
    moreover have  $finite\ ?B$  using  $\langle finite\ A \rangle$  by  $simp$ 

```

```

ultimately have  $P \text{ ?}B$  using  $\langle P \ \{\} \rangle$  step IH by blast
moreover have  $\forall a \in ?B. a < \text{Max } A$ 
  using Max-ge [OF  $\langle \text{finite } A \rangle$ ] by fastsimp
ultimately show  $P \ A$ 
  using A insert-Diff-single step[OF  $\langle \text{finite } ?B \rangle$ ] by fastsimp
qed
qed

end

context ordered-ab-semigroup-add
begin

lemma add-Min-commute:
  fixes k
  assumes finite N and  $N \neq \{\}$ 
  shows  $k + \text{Min } N = \text{Min } \{k + m \mid m. m \in N\}$ 
proof -
  have  $\bigwedge x y. k + \text{min } x y = \text{min } (k + x) (k + y)$ 
    by (simp add: min-def not-le)
    (blast intro: antisym less-imp-le add-left-mono)
  with assms show ?thesis
    using hom-Min-commute [of plus k N]
    by simp (blast intro: arg-cong [where f = Min])
qed

lemma add-Max-commute:
  fixes k
  assumes finite N and  $N \neq \{\}$ 
  shows  $k + \text{Max } N = \text{Max } \{k + m \mid m. m \in N\}$ 
proof -
  have  $\bigwedge x y. k + \text{max } x y = \text{max } (k + x) (k + y)$ 
    by (simp add: max-def not-le)
    (blast intro: antisym less-imp-le add-left-mono)
  with assms show ?thesis
    using hom-Max-commute [of plus k N]
    by simp (blast intro: arg-cong [where f = Max])
qed

end

end

```

## 21 Equiv-Relations: Equivalence Relations in Higher-Order Set Theory

theory *Equiv-Relations*

```

imports Finite-Set Relation
begin

```

### 21.1 Equivalence relations

```

locale equiv =
  fixes A and r
  assumes refl: refl A r
    and sym: sym r
    and trans: trans r

```

Suppes, Theorem 70:  $r$  is an equiv relation iff  $r^{-1} \circ r = r$ .

First half:  $\text{equiv } A \ r \implies r^{-1} \circ r = r$ .

```

lemma sym-trans-comp-subset:
  sym r  $\implies$  trans r  $\implies$   $r^{-1} \circ r \subseteq r$ 
  by (unfold trans-def sym-def converse-def) blast

```

```

lemma refl-comp-subset: refl A r  $\implies$   $r \subseteq r^{-1} \circ r$ 
  by (unfold refl-def) blast

```

```

lemma equiv-comp-eq: equiv A r  $\implies$   $r^{-1} \circ r = r$ 
  apply (unfold equiv-def)
  apply clarify
  apply (rule equalityI)
  apply (iprover intro: sym-trans-comp-subset refl-comp-subset) +
  done

```

Second half.

```

lemma comp-equivI:
   $r^{-1} \circ r = r \implies \text{Domain } r = A \implies \text{equiv } A \ r$ 
  apply (unfold equiv-def refl-def sym-def trans-def)
  apply (erule equalityE)
  apply (subgoal-tac  $\forall x \ y. (x, y) \in r \longrightarrow (y, x) \in r$ )
  apply fast
  apply fast
  done

```

### 21.2 Equivalence classes

```

lemma equiv-class-subset:
  equiv A r  $\implies$   $(a, b) \in r \implies r^{\text{``}}\{a\} \subseteq r^{\text{``}}\{b\}$ 
  — lemma for the next result
  by (unfold equiv-def trans-def sym-def) blast

```

```

theorem equiv-class-eq: equiv A r  $\implies$   $(a, b) \in r \implies r^{\text{``}}\{a\} = r^{\text{``}}\{b\}$ 
  apply (assumption | rule equalityI equiv-class-subset) +
  apply (unfold equiv-def sym-def)
  apply blast
  done

```

**lemma** *equiv-class-self*:  $\text{equiv } A \ r \implies a \in A \implies a \in r^{\{\{a\}\}}$   
**by** (*unfold equiv-def refl-def*) *blast*

**lemma** *subset-equiv-class*:  
 $\text{equiv } A \ r \implies r^{\{\{b\}\}} \subseteq r^{\{\{a\}\}} \implies b \in A \implies (a, b) \in r$   
— lemma for the next result  
**by** (*unfold equiv-def refl-def*) *blast*

**lemma** *eq-equiv-class*:  
 $r^{\{\{a\}\}} = r^{\{\{b\}\}} \implies \text{equiv } A \ r \implies b \in A \implies (a, b) \in r$   
**by** (*iprover intro: equalityD2 subset-equiv-class*)

**lemma** *equiv-class-nondisjoint*:  
 $\text{equiv } A \ r \implies x \in (r^{\{\{a\}\}} \cap r^{\{\{b\}\}}) \implies (a, b) \in r$   
**by** (*unfold equiv-def trans-def sym-def*) *blast*

**lemma** *equiv-type*:  $\text{equiv } A \ r \implies r \subseteq A \times A$   
**by** (*unfold equiv-def refl-def*) *blast*

**theorem** *equiv-class-eq-iff*:  
 $\text{equiv } A \ r \implies ((x, y) \in r) = (r^{\{\{x\}\}} = r^{\{\{y\}\}} \ \& \ x \in A \ \& \ y \in A)$   
**by** (*blast intro!: equiv-class-eq dest: eq-equiv-class equiv-type*)

**theorem** *eq-equiv-class-iff*:  
 $\text{equiv } A \ r \implies x \in A \implies y \in A \implies (r^{\{\{x\}\}} = r^{\{\{y\}\}}) = ((x, y) \in r)$   
**by** (*blast intro!: equiv-class-eq dest: eq-equiv-class equiv-type*)

### 21.3 Quotients

**constdefs**  
 $\text{quotient} :: ['a \text{ set}, ('a * 'a) \text{ set}] \Rightarrow 'a \text{ set set} \ (\text{infixl } '/' \ 90)$   
 $A // r == \bigcup x \in A. \{r^{\{\{x\}\}}\}$  — set of equiv classes

**lemma** *quotientI*:  $x \in A \implies r^{\{\{x\}\}} \in A // r$   
**by** (*unfold quotient-def*) *blast*

**lemma** *quotientE*:  
 $X \in A // r \implies (!x. X = r^{\{\{x\}\}} \implies x \in A \implies P) \implies P$   
**by** (*unfold quotient-def*) *blast*

**lemma** *Union-quotient*:  $\text{equiv } A \ r \implies \text{Union } (A // r) = A$   
**by** (*unfold equiv-def refl-def quotient-def*) *blast*

**lemma** *quotient-disj*:  
 $\text{equiv } A \ r \implies X \in A // r \implies Y \in A // r \implies X = Y \mid (X \cap Y = \{\})$   
**apply** (*unfold quotient-def*)  
**apply** *clarify*  
**apply** (*rule equiv-class-eq*)

```

apply assumption
apply (unfold equiv-def trans-def sym-def)
apply blast
done

```

```

lemma quotient-eqI:
  [| equiv A r;  $X \in A//r$ ;  $Y \in A//r$ ;  $x \in X$ ;  $y \in Y$ ;  $(x,y) \in r$  |] ==>  $X = Y$ 
apply (clarify elim!: quotientE)
apply (rule equiv-class-eq, assumption)
apply (unfold equiv-def sym-def trans-def, blast)
done

```

```

lemma quotient-eq-iff:
  [| equiv A r;  $X \in A//r$ ;  $Y \in A//r$ ;  $x \in X$ ;  $y \in Y$  |] ==>  $(X = Y) = ((x,y) \in r)$ 
apply (rule iffI)
prefer 2 apply (blast del: equalityI intro: quotient-eqI)
apply (clarify elim!: quotientE)
apply (unfold equiv-def sym-def trans-def, blast)
done

```

```

lemma eq-equiv-class-iff2:
  [| equiv A r;  $x \in A$ ;  $y \in A$  |] ==>  $(\{x\}//r = \{y\}//r) = ((x,y) : r)$ 
by(simp add:quotient-def eq-equiv-class-iff)

```

```

lemma quotient-empty [simp]:  $\{\}/r = \{\}$ 
by(simp add: quotient-def)

```

```

lemma quotient-is-empty [iff]:  $(A//r = \{\}) = (A = \{\})$ 
by(simp add: quotient-def)

```

```

lemma quotient-is-empty2 [iff]:  $(\{\} = A//r) = (A = \{\})$ 
by(simp add: quotient-def)

```

```

lemma singleton-quotient:  $\{x\}//r = \{r \text{ “ } \{x\}\}$ 
by(simp add:quotient-def)

```

```

lemma quotient-diff1:
  [| inj-on (%a.  $\{a\}//r$ ) A;  $a \in A$  |] ==>  $(A - \{a\})//r = A//r - \{a\}//r$ 
apply(simp add:quotient-def inj-on-def)
apply blast
done

```

## 21.4 Defining unary operations upon equivalence classes

A congruence-preserving function

**locale** *congruent* =



**fixes**  $r$  **and**  $f$   
**assumes** *congruent*:  $(y, z) \in r \implies f\ y = f\ z$

**abbreviation**

*RESPECTS* ::  $('a \implies 'b) \implies ('a * 'a)\ set \implies bool$   
 (**infixr** *respects* 80) **where**  
 $f\ respects\ r \equiv congruent\ r\ f$

**lemma** *UN-constant-eq*:  $a \in A \implies \forall y \in A. f\ y = c \implies (\bigcup y \in A. f(y)) = c$   
 — lemma required to prove *UN-equiv-class*  
**by** *auto*

**lemma** *UN-equiv-class*:

*equiv*  $A\ r \implies f\ respects\ r \implies a \in A$   
 $\implies (\bigcup x \in r^{-1}\{a\}. f\ x) = f\ a$   
 — Conversion rule  
**apply** (*rule equiv-class-self* [*THEN UN-constant-eq*], *assumption*+)  
**apply** (*unfold equiv-def congruent-def sym-def*)  
**apply** (*blast del: equalityI*)  
**done**

**lemma** *UN-equiv-class-type*:

*equiv*  $A\ r \implies f\ respects\ r \implies X \in A//r \implies$   
 $(!!x. x \in A \implies f\ x \in B) \implies (\bigcup x \in X. f\ x) \in B$   
**apply** (*unfold quotient-def*)  
**apply** *clarify*  
**apply** (*subst UN-equiv-class*)  
**apply** *auto*  
**done**

Sufficient conditions for injectiveness. Could weaken premises! major premise could be an inclusion; *bcong* could be  $!!y. y \in A \implies f\ y \in B$ .

**lemma** *UN-equiv-class-inject*:

*equiv*  $A\ r \implies f\ respects\ r \implies$   
 $(\bigcup x \in X. f\ x) = (\bigcup y \in Y. f\ y) \implies X \in A//r \implies Y \in A//r$   
 $\implies (!!x\ y. x \in A \implies y \in A \implies f\ x = f\ y \implies (x, y) \in r)$   
 $\implies X = Y$   
**apply** (*unfold quotient-def*)  
**apply** *clarify*  
**apply** (*rule equiv-class-eq*)  
**apply** *assumption*  
**apply** (*subgoal-tac*  $f\ x = f\ xa$ )  
**apply** *blast*  
**apply** (*erule box-equals*)  
**apply** (*assumption* | *rule UN-equiv-class*) +  
**done**

## 21.5 Defining binary operations upon equivalence classes

A congruence-preserving function of two arguments

```

locale congruent2 =
  fixes r1 and r2 and f
  assumes congruent2:
     $(y1, z1) \in r1 \implies (y2, z2) \in r2 \implies f\ y1\ y2 = f\ z1\ z2$ 

```

Abbreviation for the common case where the relations are identical

```

abbreviation
  RESPECTS2:: [ $'a \implies 'a \implies 'b$ ,  $('a * 'a)\ set$ ]  $\implies bool$ 
    (infixr respects2 80) where
     $f\ respects2\ r \equiv congruent2\ r\ r\ f$ 

```

**lemma** congruent2-implies-congruent:

```

  equiv A r1  $\implies congruent2\ r1\ r2\ f \implies a \in A \implies congruent\ r2\ (f\ a)$ 
by (unfold congruent-def congruent2-def equiv-def refl-def) blast

```

**lemma** congruent2-implies-congruent-UN:

```

  equiv A1 r1  $\implies equiv\ A2\ r2 \implies congruent2\ r1\ r2\ f \implies a \in A2 \implies$ 
     $congruent\ r1\ (\lambda x1. \bigcup x2 \in r2. \{a\}. f\ x1\ x2)$ 
apply (unfold congruent-def)
apply clarify
apply (rule equiv-type [THEN subsetD, THEN SigmaE2], assumption+)
apply (simp add: UN-equiv-class congruent2-implies-congruent)
apply (unfold congruent2-def equiv-def refl-def)
apply (blast del: equalityI)
done

```

**lemma** UN-equiv-class2:

```

  equiv A1 r1  $\implies equiv\ A2\ r2 \implies congruent2\ r1\ r2\ f \implies a1 \in A1 \implies a2$ 
 $\in A2$ 
     $\implies (\bigcup x1 \in r1. \{a1\}. \bigcup x2 \in r2. \{a2\}. f\ x1\ x2) = f\ a1\ a2$ 
by (simp add: UN-equiv-class congruent2-implies-congruent
    congruent2-implies-congruent-UN)

```

**lemma** UN-equiv-class-type2:

```

  equiv A1 r1  $\implies equiv\ A2\ r2 \implies congruent2\ r1\ r2\ f$ 
     $\implies X1 \in A1 // r1 \implies X2 \in A2 // r2$ 
     $\implies (!x1\ x2. x1 \in A1 \implies x2 \in A2 \implies f\ x1\ x2 \in B)$ 
     $\implies (\bigcup x1 \in X1. \bigcup x2 \in X2. f\ x1\ x2) \in B$ 
apply (unfold quotient-def)
apply clarify
apply (blast intro: UN-equiv-class-type congruent2-implies-congruent-UN
    congruent2-implies-congruent quotientI)
done

```

**lemma** UN-UN-split-split-eq:

$(\bigcup (x1, x2) \in X. \bigcup (y1, y2) \in Y. A\ x1\ x2\ y1\ y2) =$   
 $(\bigcup x \in X. \bigcup y \in Y. (\lambda(x1, x2). (\lambda(y1, y2). A\ x1\ x2\ y1\ y2)\ y)\ x)$   
 — Allows a natural expression of binary operators,  
 — without explicit calls to *split*  
**by** *auto*

**lemma** *congruent2I*:

*equiv A1 r1 ==> equiv A2 r2*  
 $==> (!y\ z\ w. w \in A2 ==> (y, z) \in r1 ==> f\ y\ w = f\ z\ w)$   
 $==> (!y\ z\ w. w \in A1 ==> (y, z) \in r2 ==> f\ w\ y = f\ w\ z)$   
 $==> congruent2\ r1\ r2\ f$   
 — Suggested by John Harrison – the two subproofs may be  
 — *much* simpler than the direct proof.  
**apply** (*unfold congruent2-def equiv-def refl-def*)  
**apply** *clarify*  
**apply** (*blast intro: trans*)  
**done**

**lemma** *congruent2-commuteI*:

**assumes** *equivA: equiv A r*  
**and** *commute: !y z. y \in A ==> z \in A ==> f y z = f z y*  
**and** *cong: !y z w. w \in A ==> (y, z) \in r ==> f w y = f w z*  
**shows** *f respects2 r*  
**apply** (*rule congruent2I [OF equivA equivA]*)  
**apply** (*rule commute [THEN trans]*)  
**apply** (*rule-tac [3] commute [THEN trans, symmetric]*)  
**apply** (*rule-tac [5] sym*)  
**apply** (*rule cong | assumption |*  
 $erule\ equivA\ [THEN\ equiv-type,\ THEN\ subsetD,\ THEN\ SigmaE2])$   
**done**

## 21.6 Quotients and finiteness

Suggested by Florian Kammüller

**lemma** *finite-quotient*:  $finite\ A ==> r \subseteq A \times A ==> finite\ (A//r)$

— recall  $equiv\ ?A\ ?r ==> ?r \subseteq ?A \times ?A$

**apply** (*rule finite-subset*)  
**apply** (*erule-tac [2] finite-Pow-iff [THEN iffD2]*)  
**apply** (*unfold quotient-def*)  
**apply** *blast*  
**done**

**lemma** *finite-equiv-class*:

$finite\ A ==> r \subseteq A \times A ==> X \in A//r ==> finite\ X$   
**apply** (*unfold quotient-def*)  
**apply** (*rule finite-subset*)  
**prefer** 2 **apply** *assumption*  
**apply** *blast*  
**done**

```

lemma equiv-imp-dvd-card:
  finite A ==> equiv A r ==>  $\forall X \in A//r. k \text{ dvd card } X$ 
    ==> k dvd card A
  apply (rule Union-quotient [THEN subst [where P= $\lambda A. k \text{ dvd card } A$ ]])
  apply assumption
  apply (rule dvd-partition)
  prefer 3 apply (blast dest: quotient-disj)
  apply (simp-all add: Union-quotient equiv-type)
done

lemma card-quotient-disjoint:
  [finite A; inj-on ( $\lambda x. \{x\} // r$ ) A]  $\implies \text{card}(A//r) = \text{card } A$ 
apply(simp add:quotient-def)
apply(subst card-UN-disjoint)
  apply assumption
  apply simp
apply(fastsimp simp add:inj-on-def)
apply (simp add:setsum-constant)
done

end

```

## 22 Wellfounded: Well-founded Recursion

```

theory Wellfounded
imports Finite-Set Nat
uses (Tools/function-package/size.ML)
begin

```

### 22.1 Basic Definitions

```

inductive
  wfrec-rel :: ('a * 'a) set ==> (('a ==> 'b) ==> 'a ==> 'b) ==> 'a ==> 'b ==> bool
  for R :: ('a * 'a) set
  and F :: ('a ==> 'b) ==> 'a ==> 'b
where
  wfrecI: ALL z. (z, x) : R --> wfrec-rel R F z (g z) ==>
    wfrec-rel R F x (F g x)

constdefs
  wf :: ('a * 'a)set ==> bool
  wf(r) == (!P. (!x. (!y. (y,x):r --> P(y)) --> P(x)) --> (!x. P(x)))

  wfP :: ('a ==> 'a ==> bool) ==> bool
  wfP r == wf {(x, y). r x y}

  acyclic :: ('a * 'a)set ==> bool

```

```

acyclic r == !x. (x,x) ~: r^+

cut      :: ('a ==> 'b) ==> ('a * 'a) set ==> 'a ==> 'a ==> 'b
cut f r x == (%y. if (y,x):r then f y else arbitrary)

adm-wf :: ('a * 'a) set ==> (('a ==> 'b) ==> 'a ==> 'b) ==> bool
adm-wf R F == ALL f g x.
  (ALL z. (z, x) : R --> f z = g z) --> F f x = F g x

wfrec :: ('a * 'a) set ==> (('a ==> 'b) ==> 'a ==> 'b) ==> 'a ==> 'b
[code func del]: wfrec R F == %x. THE y. wfrec-rel R (%f x. F (cut f R x) x)
x y

abbreviation acyclicP :: ('a ==> 'a ==> bool) ==> bool where
  acyclicP r == acyclic {(x, y). r x y}

class wellorder = linorder +
  assumes wf: wf {(x, y). x < y}

lemma wfP-wf-eq [pred-set-conv]: wfP (λx y. (x, y) ∈ r) = wf r
by (simp add: wfP-def)

lemma wfUNIVI:
  (!!P x. (ALL x. (ALL y. (y,x) : r --> P(y)) --> P(x)) ==> P(x)) ==>
  wf(r)
unfolding wf-def by blast

lemmas wfPUNIVI = wfUNIVI [to-pred]

Restriction to domain A and range B. If r is well-founded over their inter-
section, then wf r

lemma wfI:
  [| r ⊆ A <*> B;
    !!x P. [| ∀ y. (y,x) : r --> P y] --> P x; x : A; x : B |] ==> P x |]
  ==> wf r
unfolding wf-def by blast

lemma wf-induct:
  [| wf(r);
    !!x. [| ALL y. (y,x) : r --> P(y) |] ==> P(x)
    |] ==> P(a)
unfolding wf-def by blast

lemmas wfP-induct = wf-induct [to-pred]

lemmas wf-induct-rule = wf-induct [rule-format, consumes 1, case-names less,
  induct set: wf]

```

**lemmas** *wfP-induct-rule* = *wf-induct-rule* [*to-pred*, *induct set*: *wfP*]

**lemma** *wf-not-sym*:  $wf\ r ==> (a, x) : r ==> (x, a) \sim : r$   
**by** (*induct a arbitrary: x set: wf*) *blast*

**lemmas** *wf-asy* = *wf-not-sym* [*elim-format*]

**lemma** *wf-not-refl* [*simp*]:  $wf\ r ==> (a, a) \sim : r$   
**by** (*blast elim: wf-asy*)

**lemmas** *wf-irrefl* = *wf-not-refl* [*elim-format*]

## 22.2 Basic Results

transitive closure of a well-founded relation is well-founded!

**lemma** *wf-trancl*:

**assumes** *wf r*  
**shows**  $wf\ (r^+)$

**proof** –

```
{
  fix P and x
  assume induct-step:  $!!x. (!!y. (y, x) : r^+ ==> P\ y) ==> P\ x$ 
  have  $P\ x$ 
  proof (rule induct-step)
    fix y assume  $(y, x) : r^+$ 
    with  $\langle wf\ r \rangle$  show  $P\ y$ 
    proof (induct x arbitrary: y)
      case (less x)
      note  $hyp = \langle \bigwedge x' y'. (x', x) : r ==> (y', x') : r^+ ==> P\ y' \rangle$ 
      from  $\langle (y, x) : r^+ \rangle$  show  $P\ y$ 
      proof cases
        case base
        show  $P\ y$ 
        proof (rule induct-step)
          fix  $y'$  assume  $(y', y) : r^+$ 
          with  $\langle (y, x) : r \rangle$  show  $P\ y'$  by (rule hyp [of y y'])
        qed
      qed
    next
    case step
    then obtain  $x'$  where  $(x', x) : r$  and  $(y, x') : r^+$  by simp
    then show  $P\ y$  by (rule hyp [of x' y])
  qed
qed
qed
} then show ?thesis unfolding wf-def by blast
qed
```

**lemmas** *wfP-trancl* = *wf-trancl* [*to-pred*]

**lemma** *wf-converse-trancl*:  $wf\ (r^{\wedge}-1) ==> wf\ ((r^{\wedge}+)^{\wedge}-1)$   
**apply** (*subst trancl-converse* [*symmetric*])  
**apply** (*erule wf-trancl*)  
**done**

Minimal-element characterization of well-foundedness

**lemma** *wf-eq-minimal*:  $wf\ r = (\forall Q\ x.\ x \in Q \longrightarrow (\exists z \in Q.\ \forall y.\ (y, z) \in r \longrightarrow y \notin Q))$   
**proof** (*intro iffI strip*)  
**fix** *Q* :: 'a set **and** *x*  
**assume** *wf r* **and**  $x \in Q$   
**then show**  $\exists z \in Q.\ \forall y.\ (y, z) \in r \longrightarrow y \notin Q$   
**unfolding** *wf-def*  
**by** (*blast dest: spec* [*of - %x. x ∈ Q ⟶ (∃ z ∈ Q. ∀ y. (y, z) ∈ r ⟶ y ∉ Q)*])  
**next**  
**assume**  $1: \forall Q\ x.\ x \in Q \longrightarrow (\exists z \in Q.\ \forall y.\ (y, z) \in r \longrightarrow y \notin Q)$   
**show** *wf r*  
**proof** (*rule wfUNIVI*)  
**fix** *P* :: 'a  $\Rightarrow$  bool **and** *x*  
**assume**  $2: \forall x.\ (\forall y.\ (y, x) \in r \longrightarrow P\ y) \longrightarrow P\ x$   
**let**  $?Q = \{x.\ \neg P\ x\}$   
**have**  $x \in ?Q \longrightarrow (\exists z \in ?Q.\ \forall y.\ (y, z) \in r \longrightarrow y \notin ?Q)$   
**by** (*rule 1* [*THEN spec, THEN spec*])  
**then have**  $\neg P\ x \longrightarrow (\exists z.\ \neg P\ z \wedge (\forall y.\ (y, z) \in r \longrightarrow P\ y))$  **by** *simp*  
**with**  $2$  **have**  $\neg P\ x \longrightarrow (\exists z.\ \neg P\ z \wedge P\ z)$  **by** *fast*  
**then show**  $P\ x$  **by** *simp*  
**qed**  
**qed**

**lemma** *wfE-min*:  
**assumes** *wf R*  $x \in Q$   
**obtains** *z* **where**  $z \in Q \wedge y.\ (y, z) \in R \Longrightarrow y \notin Q$   
**using** *assms* **unfolding** *wf-eq-minimal* **by** *blast*

**lemma** *wfI-min*:  
 $(\bigwedge x\ Q.\ x \in Q \Longrightarrow \exists z \in Q.\ \forall y.\ (y, z) \in R \longrightarrow y \notin Q)$   
 $\Longrightarrow wf\ R$   
**unfolding** *wf-eq-minimal* **by** *blast*

**lemmas** *wfP-eq-minimal* = *wf-eq-minimal* [*to-pred*]

Well-foundedness of subsets

**lemma** *wf-subset*:  $[\mid wf(r);\ p \leq r\ \mid] ==> wf(p)$   
**apply** (*simp* (*no-asm-use*) *add: wf-eq-minimal*)  
**apply** *fast*  
**done**

**lemmas** *wfP-subset* = *wf-subset* [*to-pred*]

Well-foundedness of the empty relation

**lemma** *wf-empty* [*iff*]: *wf*( $\{\}$ )  
**by** (*simp add: wf-def*)

**lemmas** *wfP-empty* [*iff*] =  
*wf-empty* [*to-pred bot-empty-eq2, simplified bot-fun-eq bot-bool-eq*]

**lemma** *wf-Int1*: *wf* *r* ==> *wf* (*r* Int *r'*)  
**apply** (*erule wf-subset*)  
**apply** (*rule Int-lower1*)  
**done**

**lemma** *wf-Int2*: *wf* *r* ==> *wf* (*r'* Int *r*)  
**apply** (*erule wf-subset*)  
**apply** (*rule Int-lower2*)  
**done**

Well-foundedness of insert

**lemma** *wf-insert* [*iff*]: *wf*(*insert* (*y,x*) *r*) = (*wf*(*r*) & (*x,y*)  $\sim$ : *r*<sup>\*</sup>)  
**apply** (*rule iffI*)  
**apply** (*blast elim: wf-trancl* [*THEN wf-irrefl*]  
*intro: rtrancl-into-trancl1 wf-subset*  
*rtrancl-mono* [*THEN* [*2*] *rev-subsetD*])  
**apply** (*simp add: wf-eq-minimal, safe*)  
**apply** (*rule allE, assumption, erule impE, blast*)  
**apply** (*erule bexE*)  
**apply** (*rename-tac a, case-tac a = x*)  
**prefer** 2  
**apply** *blast*  
**apply** (*case-tac y:Q*)  
**prefer** 2 **apply** *blast*  
**apply** (*rule-tac x = {z. z:Q & (z,y) : r<sup>\*</sup>}* **in** *allE*)  
**apply** *assumption*  
**apply** (*erule-tac V = ALL Q. (EX x. x : Q) --> ?P Q* **in** *thin-rl*)  
— essential for speed

Blast with new substOccur fails

**apply** (*fast intro: converse-rtrancl-into-rtrancl*)  
**done**

Well-foundedness of image

**lemma** *wf-prod-fun-image*: [*wf* *r*; *inj* *f* ] ==> *wf*(*prod-fun* *f* *f* ‘ *r*)  
**apply** (*simp only: wf-eq-minimal, clarify*)  
**apply** (*case-tac EX p. f p : Q*)  
**apply** (*erule-tac x = {p. f p : Q}* **in** *allE*)  
**apply** (*fast dest: inj-onD, blast*)  
**done**



### 22.3 Well-Foundedness Results for Unions

**lemma** *wf-union-compatible:*

**assumes**  $wf\ R\ wf\ S$

**assumes**  $S\ O\ R\ \subseteq\ R$

**shows**  $wf\ (R\ \cup\ S)$

**proof** (*rule wfI-min*)

**fix**  $x :: 'a$  **and**  $Q$

**let**  $?Q' = \{x \in Q. \forall y. (y, x) \in R \longrightarrow y \notin Q\}$

**assume**  $x \in Q$

**obtain**  $a$  **where**  $a \in ?Q'$

**by** (*rule wfE-min* [*OF*  $\langle wf\ R \rangle \langle x \in Q \rangle$ ]) *blast*

**with**  $\langle wf\ S \rangle$

**obtain**  $z$  **where**  $z \in ?Q'$  **and**  $zmin: \bigwedge y. (y, z) \in S \implies y \notin ?Q'$  **by** (*erule wfE-min*)

{

**fix**  $y$  **assume**  $(y, z) \in S$

**then have**  $y \notin ?Q'$  **by** (*rule zmin*)

**have**  $y \notin Q$

**proof**

**assume**  $y \in Q$

**with**  $\langle y \notin ?Q' \rangle$

**obtain**  $w$  **where**  $(w, y) \in R$  **and**  $w \in Q$  **by** *auto*

**from**  $\langle (w, y) \in R \rangle \langle (y, z) \in S \rangle$  **have**  $(w, z) \in S\ O\ R$  **by** (*rule rel-compI*)

**with**  $\langle S\ O\ R\ \subseteq\ R \rangle$  **have**  $(w, z) \in R$  **..**

**with**  $\langle z \in ?Q' \rangle$  **have**  $w \notin Q$  **by** *blast*

**with**  $\langle w \in Q \rangle$  **show** *False* **by** *contradiction*

**qed**

}

**with**  $\langle z \in ?Q' \rangle$  **show**  $\exists z \in Q. \forall y. (y, z) \in R \cup S \longrightarrow y \notin Q$  **by** *blast*

**qed**

Well-foundedness of indexed union with disjoint domains and ranges

**lemma** *wf-UN*:  $[ \bigwedge i:I. wf(r\ i);$

$\bigwedge i:I. \bigwedge j:I. r\ i \sim r\ j \longrightarrow Domain(r\ i) \cap Range(r\ j) = \{\}$

$] \implies wf(UN\ i:I. r\ i)$

**apply** (*simp only: wf-eq-minimal, clarify*)

**apply** (*rename-tac*  $A\ a$ , *case-tac*  $EX\ i:I. EX\ a:A. EX\ b:A. (b, a) : r\ i$ )

**prefer** 2

**apply** *force*

**apply** *clarify*

**apply** (*drule bspec, assumption*)

**apply** (*erule-tac*  $x = \{a. a:A \ \& \ (EX\ b:A. (b, a) : r\ i)\}$  **in** *allE*)

**apply** (*blast elim!*: *allE*)

**done**

**lemmas**  $wfP-SUP = wf-UN$  [**where**  $I = UNIV$  **and**  $r = \lambda i. \{(x, y). r\ i\ x\ y\}$ ,  
*to-pred SUP-UN-eq2 bot-empty-eq pred-equals-eq, simplified, standard*]

**lemma** *wf-Union*:

```

[| ALL r:R. wf r;
  ALL r:R. ALL s:R. r ~ = s --> Domain r Int Range s = {}
|] ==> wf(Union R)
apply (simp add: Union-def)
apply (blast intro: wf-UN)
done

```

**lemma** *wf-Un*:

```

[| wf r; wf s; Domain r Int Range s = {} |] ==> wf(r Un s)
using wf-union-compatible[of s r]
by (auto simp: Un-ac)

```

**lemma** *wf-union-merge*:

```

wf (R ∪ S) = wf (R O R ∪ R O S ∪ S) (is wf ?A = wf ?B)
proof
  assume wf ?A
  with wf-trancl have wfT: wf (?A ^+) .
  moreover have ?B ⊆ ?A ^+
    by (subst trancl-unfold, subst trancl-unfold) blast
  ultimately show wf ?B by (rule wf-subset)
next
  assume wf ?B

```

**show** wf ?A

**proof** (rule wfI-min)

**fix** Q :: 'a set **and** x

**assume** x ∈ Q

**with** ⟨wf ?B⟩

**obtain** z **where** z ∈ Q **and**  $\bigwedge y. (y, z) \in ?B \implies y \notin Q$

**by** (erule wfE-min)

**then have** A1:  $\bigwedge y. (y, z) \in R \ O \ R \implies y \notin Q$

**and** A2:  $\bigwedge y. (y, z) \in R \ O \ S \implies y \notin Q$

**and** A3:  $\bigwedge y. (y, z) \in S \implies y \notin Q$

**by** auto

**show**  $\exists z \in Q. \forall y. (y, z) \in ?A \implies y \notin Q$

**proof** (cases  $\forall y. (y, z) \in R \implies y \notin Q$ )

**case** True

**with** ⟨z ∈ Q⟩ A3 **show** ?thesis **by** blast

**next**

**case** False

**then obtain** z' **where** z' ∈ Q (z', z) ∈ R **by** blast

**have**  $\forall y. (y, z') \in ?A \implies y \notin Q$

**proof** (intro allI impI)

**fix** y **assume** (y, z') ∈ ?A

```

    then show  $y \notin Q$ 
  proof
    assume  $(y, z') \in R$ 
    then have  $(y, z) \in R \ O \ R$  using  $\langle (z', z) \in R \rangle ..$ 
    with A1 show  $y \notin Q$  .
  next
    assume  $(y, z') \in S$ 
    then have  $(y, z) \in R \ O \ S$  using  $\langle (z', z) \in R \rangle ..$ 
    with A2 show  $y \notin Q$  .
  qed
qed
with  $\langle z' \in Q \rangle$  show ?thesis ..
qed
qed
qed

```

**lemma** *wf-comp-self*:  $wf \ R = wf \ (R \ O \ R)$  — special case  
 by (rule *wf-union-merge* [where  $S = \{\}$ , *simplified*])

### 22.3.1 acyclic

**lemma** *acyclicI*:  $ALL \ x. (x, x) \sim: r^+ \implies acyclic \ r$   
 by (*simp add: acyclic-def*)

**lemma** *wf-acyclic*:  $wf \ r \implies acyclic \ r$   
**apply** (*simp add: acyclic-def*)  
**apply** (*blast elim: wf-trancl [THEN wf-irrefl]*)  
**done**

**lemmas** *wfP-acyclicP* = *wf-acyclic* [*to-pred*]

**lemma** *acyclic-insert* [*iff*]:  
 $acyclic(insert \ (y,x) \ r) = (acyclic \ r \ \& \ (x,y) \sim: r^*)$   
**apply** (*simp add: acyclic-def trancl-insert*)  
**apply** (*blast intro: rtrancl-trans*)  
**done**

**lemma** *acyclic-converse* [*iff*]:  $acyclic(r^-1) = acyclic \ r$   
 by (*simp add: acyclic-def trancl-converse*)

**lemmas** *acyclicP-converse* [*iff*] = *acyclic-converse* [*to-pred*]

**lemma** *acyclic-impl-antisym-rtrancl*:  $acyclic \ r \implies antisym(r^*)$   
**apply** (*simp add: acyclic-def antisym-def*)  
**apply** (*blast elim: rtranclE intro: rtrancl-into-trancl1 rtrancl-trancl-trancl*)  
**done**

```

lemma acyclic-subset: [| acyclic s; r <= s |] ==> acyclic r
apply (simp add: acyclic-def)
apply (blast intro: trancl-mono)
done

```

Wellfoundedness of finite acyclic relations

```

lemma finite-acyclic-wf [rule-format]: finite r ==> acyclic r --> wf r
apply (erule finite-induct, blast)
apply (simp (no-asm-simp) only: split-tupled-all)
apply simp
done

```

```

lemma finite-acyclic-wf-converse: [|finite r; acyclic r|] ==> wf (r-1)
apply (erule finite-converse [THEN iffD2, THEN finite-acyclic-wf])
apply (erule acyclic-converse [THEN iffD2])
done

```

```

lemma wf-iff-acyclic-if-finite: finite r ==> wf r = acyclic r
by (blast intro: finite-acyclic-wf wf-acyclic)

```

## 22.4 Well-Founded Recursion

cut

```

lemma cuts-eq: (cut f r x = cut g r x) = (ALL y. (y,x):r --> f(y)=g(y))
by (simp add: expand-fun-eq cut-def)

```

```

lemma cut-apply: (x,a):r ==> (cut f r a)(x) = f(x)
by (simp add: cut-def)

```

Inductive characterization of wfrec combinator; for details see: John Harrison, “Inductive definitions: automation and application”

```

lemma wfrec-unique: [| adm-wf R F; wf R |] ==> EX! y. wfrec-rel R F x y
apply (simp add: adm-wf-def)
apply (erule-tac a=x in wf-induct)
apply (rule ex1I)
apply (rule-tac g = %x. THE y. wfrec-rel R F x y in wfrec-rel.wfrecI)
apply (fast dest!: theI')
apply (erule wfrec-rel.cases, simp)
apply (erule allE, erule allE, erule allE, erule mp)
apply (fast intro: the-equality [symmetric])
done

```

```

lemma adm-lemma: adm-wf R (%f x. F (cut f R x) x)
apply (simp add: adm-wf-def)
apply (intro strip)
apply (rule cuts-eq [THEN iffD2, THEN subst], assumption)
apply (rule refl)
done

```

```

lemma wfrec: wf(r) ==> wfrec r H a = H (cut (wfrec r H) r a) a
apply (simp add: wfrec-def)
apply (rule adm-lemma [THEN wfrec-unique, THEN the1-equality], assumption)
apply (rule wfrec-rel.wfrecI)
apply (intro strip)
apply (erule adm-lemma [THEN wfrec-unique, THEN theI'])
done

```

## 22.5 Code generator setup

```

consts-code
  wfrec  ((<module>wfrec?)
attach ⟨⟨
  fun wfrec f x = f (wfrec f) x;
  ⟩⟩

```

## 22.6 LEAST and wellorderings

See also *wf-linord-ex-has-least* and its consequences in *Wellfounded-Relations.ML*

```

lemma wellorder-Least-lemma [rule-format]:
  P (k::'a::wellorder) --> P (LEAST x. P(x)) & (LEAST x. P(x)) <= k
apply (rule-tac a = k in wf [THEN wf-induct])
apply (rule impI)
apply (rule classical)
apply (rule-tac s = x in Least-equality [THEN ssubst], auto)
apply (auto simp add: linorder-not-less [symmetric])
done

lemmas LeastI = wellorder-Least-lemma [THEN conjunct1, standard]
lemmas Least-le = wellorder-Least-lemma [THEN conjunct2, standard]

```

— The following 3 lemmas are due to Brian Huffman

```

lemma LeastI-ex: EX x::'a::wellorder. P x ==> P (Least P)
apply (erule exE)
apply (erule LeastI)
done

```

```

lemma LeastI2:
  [| P (a::'a::wellorder); !!x. P x ==> Q x |] ==> Q (Least P)
by (blast intro: LeastI)

```

```

lemma LeastI2-ex:
  [| EX a::'a::wellorder. P a; !!x. P x ==> Q x |] ==> Q (Least P)
by (blast intro: LeastI-ex)

```

```

lemma not-less-Least: [| k < (LEAST x. P x) |] ==> ~P (k::'a::wellorder)
apply (simp (no-asm-use) add: linorder-not-le [symmetric])
apply (erule contrapos-nn)

```

apply (erule Least-le)  
done

## 22.7 nat is well-founded

**lemma** less-nat-rel:  $op < = (\lambda m n. n = Suc\ m)^{++}$   
**proof** (rule ext, rule ext, rule iffI)  
 fix  $n\ m :: nat$   
 assume  $m < n$   
 then show  $(\lambda m n. n = Suc\ m)^{++}\ m\ n$   
**proof** (induct n)  
 case 0 then show ?case by auto  
 next  
 case (Suc n) then show ?case  
 by (auto simp add: less-Suc-eq-le le-less intro: tranclp.trancl-into-trancl)  
 qed  
 next  
 fix  $n\ m :: nat$   
 assume  $(\lambda m n. n = Suc\ m)^{++}\ m\ n$   
 then show  $m < n$   
 by (induct n)  
 (simp-all add: less-Suc-eq-le reflexive le-less)  
 qed

### definition

pred-nat ::  $(nat * nat)$  set **where**  
 pred-nat =  $\{(m, n). n = Suc\ m\}$

### definition

less-than ::  $(nat * nat)$  set **where**  
 less-than =  $pred-nat^+$

**lemma** less-eq:  $(m, n) \in pred-nat^+ \longleftrightarrow m < n$   
**unfolding** less-nat-rel pred-nat-def trancl-def **by** simp

**lemma** pred-nat-trancl-eq-le:  
 $(m, n) \in pred-nat^* \longleftrightarrow m \leq n$   
**unfolding** less-eq rtrancl-eq-or-trancl **by** auto

**lemma** wf-pred-nat: wf pred-nat  
**apply** (unfold wf-def pred-nat-def, clarify)  
**apply** (induct-tac x, blast+)  
**done**

**lemma** wf-less-than [iff]: wf less-than  
**by** (simp add: less-than-def wf-pred-nat [THEN wf-trancl])

**lemma** trans-less-than [iff]: trans less-than  
**by** (simp add: less-than-def trans-trancl)

**lemma** *less-than-iff* [*iff*]:  $((x,y): \text{less-than}) = (x < y)$   
**by** (*simp add: less-than-def less-eq*)

**lemma** *wf-less*:  $wf \{(x, y::nat). x < y\}$   
**using** *wf-less-than* **by** (*simp add: less-than-def less-eq [symmetric]*)

Type *nat* is a wellfounded order

**instance** *nat :: wellorder*  
**by** *intro-classes*  
 (*assumption* |  
*rule le-refl le-trans le-anti-sym nat-less-le nat-le-linear wf-less*)+

*LEAST* theorems for type *nat*

**lemma** *Least-Suc*:  
 $[| P\ n; \sim P\ 0 |] \implies (LEAST\ n.\ P\ n) = Suc\ (LEAST\ m.\ P(Suc\ m))$   
**apply** (*case-tac n, auto*)  
**apply** (*frule LeastI*)  
**apply** (*drule-tac P = %x. P (Suc x) in LeastI*)  
**apply** (*subgoal-tac (LEAST x. P x)  $\leq$  Suc (LEAST x. P (Suc x))*)  
**apply** (*erule-tac [2] Least-le*)  
**apply** (*case-tac LEAST x. P x, auto*)  
**apply** (*drule-tac P = %x. P (Suc x) in Least-le*)  
**apply** (*blast intro: order-antisym*)  
**done**

**lemma** *Least-Suc2*:  
 $[| P\ n; Q\ m; \sim P\ 0; !k.\ P\ (Suc\ k) = Q\ k |] \implies Least\ P = Suc\ (Least\ Q)$   
**apply** (*erule (1) Least-Suc [THEN ssubst]*)  
**apply** *simp*  
**done**

**lemma** *ex-least-nat-le*:  $\neg P(0) \implies P(n::nat) \implies \exists k \leq n. (\forall i < k. \neg P\ i) \ \&\ P(k)$   
**apply** (*cases n*)  
**apply** *blast*  
**apply** (*rule-tac x=LEAST k. P(k) in exI*)  
**apply** (*blast intro: Least-le dest: not-less-Least intro: LeastI-ex*)  
**done**

**lemma** *ex-least-nat-less*:  $\neg P(0) \implies P(n::nat) \implies \exists k < n. (\forall i \leq k. \neg P\ i) \ \&\ P(k+1)$   
**apply** (*cases n*)  
**apply** *blast*  
**apply** (*frule (1) ex-least-nat-le*)  
**apply** (*erule exE*)  
**apply** (*case-tac k*)  
**apply** *simp*  
**apply** (*rename-tac k1*)  
**apply** (*rule-tac x=k1 in exI*)  
**apply** *fastsimp*

done

## 22.8 Accessible Part

Inductive definition of the accessible part  $acc\ r$  of a relation; see also [?].

**inductive-set**

$acc :: ('a * 'a) \text{ set} \Rightarrow 'a \text{ set}$

**for**  $r :: ('a * 'a) \text{ set}$

**where**

$accI: (!!y. (y, x) : r \Rightarrow y : acc\ r) \Rightarrow x : acc\ r$

**abbreviation**

$termip :: ('a \Rightarrow 'a \Rightarrow bool) \Rightarrow 'a \Rightarrow bool$  **where**

$termip\ r == accp\ (r^{-1-1})$

**abbreviation**

$termi :: ('a * 'a) \text{ set} \Rightarrow 'a \text{ set}$  **where**

$termi\ r == acc\ (r^{-1})$

**lemmas**  $accpI = accp.accI$

Induction rules

**theorem**  $accp-induct$ :

**assumes**  $major: accp\ r\ a$

**assumes**  $hyp: !!x. accp\ r\ x \Rightarrow \forall y. r\ y\ x \longrightarrow P\ y \Rightarrow P\ x$

**shows**  $P\ a$

**apply**  $(rule\ major\ [THEN\ accp.induct])$

**apply**  $(rule\ hyp)$

**apply**  $(rule\ accp.accI)$

**apply**  $fast$

**apply**  $fast$

**done**

**theorems**  $accp-induct-rule = accp-induct\ [rule-format, induct\ set: accp]$

**theorem**  $accp-downward: accp\ r\ b \Rightarrow r\ a\ b \Rightarrow accp\ r\ a$

**apply**  $(erule\ accp.cases)$

**apply**  $fast$

**done**

**lemma**  $not-accp-down$ :

**assumes**  $na: \neg accp\ R\ x$

**obtains**  $z$  **where**  $R\ z\ x$  **and**  $\neg accp\ R\ z$

**proof** –

**assume**  $a: \bigwedge z. [R\ z\ x; \neg accp\ R\ z] \Longrightarrow thesis$

**show**  $thesis$

**proof**  $(cases\ \forall z. R\ z\ x \longrightarrow accp\ R\ z)$

**case**  $True$



```

    hence  $\bigwedge z. R\ z\ x \implies accp\ R\ z$  by auto
    hence  $accp\ R\ x$ 
      by (rule accp.accI)
    with na show thesis ..
  next
    case False then obtain z where  $R\ z\ x$  and  $\neg accp\ R\ z$ 
      by auto
    with a show thesis .
qed
qed

lemma accp-downwards-aux:  $r^{**}\ b\ a \implies accp\ r\ a \dashrightarrow accp\ r\ b$ 
  apply (erule rtranclp-induct)
  apply blast
  apply (blast dest: accp-downward)
  done

theorem accp-downwards:  $accp\ r\ a \implies r^{**}\ b\ a \implies accp\ r\ b$ 
  apply (blast dest: accp-downwards-aux)
  done

theorem accp-wfPI:  $\forall x. accp\ r\ x \implies wfP\ r$ 
  apply (rule wfPUNIVI)
  apply (induct-tac P x rule: accp-induct)
  apply blast
  apply blast
  done

theorem accp-wfPD:  $wfP\ r \implies accp\ r\ x$ 
  apply (erule wfP-induct-rule)
  apply (rule accp.accI)
  apply blast
  done

theorem wfP-accp-iff:  $wfP\ r = (\forall x. accp\ r\ x)$ 
  apply (blast intro: accp-wfPI dest: accp-wfPD)
  done

```

Smaller relations have bigger accessible parts:

```

lemma accp-subset:
  assumes sub:  $R1 \leq R2$ 
  shows  $accp\ R2 \leq accp\ R1$ 
proof (rule predicateII)
  fix x assume  $accp\ R2\ x$ 
  then show  $accp\ R1\ x$ 
proof (induct x)
  fix x
  assume ih:  $\bigwedge y. R2\ y\ x \implies accp\ R1\ y$ 
  with sub show  $accp\ R1\ x$ 

```

```

      by (blast intro: accp.accI)
    qed
  qed

```

This is a generalized induction theorem that works on subsets of the accessible part.

```

lemma accp-subset-induct:
  assumes subset:  $D \leq \text{accp } R$ 
  and dcl:  $\bigwedge x z. \llbracket D x; R z x \rrbracket \implies D z$ 
  and D x
  and istep:  $\bigwedge x. \llbracket D x; (\bigwedge z. R z x \implies P z) \rrbracket \implies P x$ 
  shows  $P x$ 
proof –
  from subset and  $\langle D x \rangle$ 
  have  $\text{accp } R x \dots$ 
  then show  $P x$  using  $\langle D x \rangle$ 
  proof (induct x)
    fix x
    assume  $D x$ 
    and  $\bigwedge y. R y x \implies D y \implies P y$ 
    with dcl and istep show  $P x$  by blast
  qed
qed

```

Set versions of the above theorems

```

lemmas acc-induct = accp-induct [to-set]

lemmas acc-induct-rule = acc-induct [rule-format, induct set: acc]

lemmas acc-downward = accp-downward [to-set]

lemmas not-acc-down = not-accp-down [to-set]

lemmas acc-downwards-aux = accp-downwards-aux [to-set]

lemmas acc-downwards = accp-downwards [to-set]

lemmas acc-wfI = accp-wfPI [to-set]

lemmas acc-wfD = accp-wfPD [to-set]

lemmas wf-acc-iff = wfP-accp-iff [to-set]

lemmas acc-subset = accp-subset [to-set pred-subset-eq]

lemmas acc-subset-induct = accp-subset-induct [to-set pred-subset-eq]

```

## 22.9 Tools for building wellfounded relations

Inverse Image

```

lemma wf-inv-image [simp,intro!]:  $wf(r) \implies wf(inv\text{-}image\ r\ (f::'a \Rightarrow 'b))$ 
apply (simp (no-asm-use) add: inv-image-def wf-eq-minimal)
apply clarify
apply (subgoal-tac EX ( $w::'b$ ) .  $w : \{w. EX\ (x::'a) . x: Q \ \& \ (f\ x = w)\}$ )
prefer 2 apply (blast del: allE)
apply (erule allE)
apply (erule (1) notE impE)
apply blast
done

```

```

lemma in-inv-image[simp]:  $((x,y) : inv\text{-}image\ r\ f) = ((f\ x, f\ y) : r)$ 
by (auto simp: inv-image-def)

```

Measure functions into *nat*

```

definition measure ::  $('a \Rightarrow nat) \Rightarrow ('a * 'a) \text{set}$ 
where measure == inv-image less-than

```

```

lemma in-measure[simp]:  $((x,y) : measure\ f) = (f\ x < f\ y)$ 
by (simp add: measure-def)

```

```

lemma wf-measure [iff]:  $wf\ (measure\ f)$ 
apply (unfold measure-def)
apply (rule wf-less-than [THEN wf-inv-image])
done

```

Lexicographic combinations

```

definition
  lex-prod ::  $[('a * 'a) \text{set}, ('b * 'b) \text{set}] \Rightarrow (('a * 'b) * ('a * 'b)) \text{set}$ 
  (infixr <*lex*> 80)

```

**where**

```

   $ra\ <*lex*>\ rb == \{((a,b),(a',b')).\ (a,a') : ra \mid a=a' \ \& \ (b,b') : rb\}$ 

```

```

lemma wf-lex-prod [intro!]:  $[wf(ra); wf(rb)] \implies wf(ra\ <*lex*>\ rb)$ 
apply (unfold wf-def lex-prod-def)
apply (rule allI, rule impI)
apply (simp (no-asm-use) only: split-paired-All)
apply (drule spec, erule mp)
apply (rule allI, rule impI)
apply (drule spec, erule mp, blast)
done

```

```

lemma in-lex-prod[simp]:
   $((a,b),(a',b')) : r\ <*lex*>\ s \iff ((a,a') : r \vee (a = a' \wedge (b, b') : s))$ 
by (auto simp: lex-prod-def)

```

*op <\*lex\*>* preserves transitivity

**lemma** *trans-lex-prod* [intro!]:  

$$[[ \text{trans } R1; \text{trans } R2 ] \implies \text{trans } (R1 <*\text{lex}*> R2)]$$
  
**by** (*unfold trans-def lex-prod-def, blast*)

lexicographic combinations with measure functions

**definition**

$\text{mlex-prod} :: ('a \Rightarrow \text{nat}) \Rightarrow ('a \times 'a) \text{ set} \Rightarrow ('a \times 'a) \text{ set}$  (**infixr**  $<*\text{mlex}*>$  80)  
**where**  

$$f <*\text{mlex}*> R = \text{inv-image } (\text{less-than } <*\text{lex}*> R) (\%x. (f\ x, x))$$

**lemma** *wf-mlex*:  $\text{wf } R \implies \text{wf } (f <*\text{mlex}*> R)$   
**unfolding** *mlex-prod-def*  
**by** *auto*

**lemma** *mlex-less*:  $f\ x < f\ y \implies (x, y) \in f <*\text{mlex}*> R$   
**unfolding** *mlex-prod-def* **by** *simp*

**lemma** *mlex-leq*:  $f\ x \leq f\ y \implies (x, y) \in R \implies (x, y) \in f <*\text{mlex}*> R$   
**unfolding** *mlex-prod-def* **by** *auto*

proper subset relation on finite sets

**definition** *finite-psubset* ::  $('a \text{ set} * 'a \text{ set}) \text{ set}$   
**where** *finite-psubset* ==  $\{(A, B). A < B \ \& \ \text{finite } B\}$

**lemma** *wf-finite-psubset*:  $\text{wf } (\text{finite-psubset})$   
**apply** (*unfold finite-psubset-def*)  
**apply** (*rule wf-measure [THEN wf-subset]*)  
**apply** (*simp add: measure-def inv-image-def less-than-def less-eq*)  
**apply** (*fast elim!: psubset-card-mono*)  
**done**

**lemma** *trans-finite-psubset*:  $\text{trans } \text{finite-psubset}$   
**by** (*simp add: finite-psubset-def less-le trans-def, blast*)

Wellfoundedness of *same-fst*

**definition**

$\text{same-fst} :: ('a \Rightarrow \text{bool}) \Rightarrow ('a \Rightarrow ('b * 'b) \text{ set}) \Rightarrow (('a * 'b) * ('a * 'b)) \text{ set}$   
**where**

$\text{same-fst } P\ R == \{((x', y'), (x, y)) . x' = x \ \& \ P\ x \ \& \ (y', y) : R\ x\}$

— For *rec-def* declarations where the first *n* parameters stay unchanged in the recursive call. See *Library/While-Combinator.thy* for an application.

**lemma** *same-fstI* [intro!]:  

$$[[ P\ x; (y', y) : R\ x ] \implies ((x, y'), (x, y)) : \text{same-fst } P\ R]$$
  
**by** (*simp add: same-fst-def*)

**lemma** *wf-same-fst*:  
**assumes** *prem*:  $(!!x. P\ x \implies \text{wf } (R\ x))$   
**shows**  $\text{wf } (\text{same-fst } P\ R)$

```

apply (simp cong del: imp-cong add: wf-def same-fst-def)
apply (intro strip)
apply (rename-tac a b)
apply (case-tac wf (R a))
  apply (erule-tac a = b in wf-induct, blast)
apply (blast intro: prem)
done

```

## 22.10 Weakly decreasing sequences (w.r.t. some well-founded order) stabilize.

This material does not appear to be used any longer.

```

lemma lemma1: [| ALL i. (f (Suc i), f i) : r^* |] ==> (f (i+k), f i) : r^*
apply (induct-tac k, simp-all)
apply (blast intro: rtrancl-trans)
done

```

```

lemma lemma2: [| ALL i. (f (Suc i), f i) : r^*; wf (r^+) |]
  ==> ALL m. f m = x --> (EX i. ALL k. f (m+i+k) = f (m+i))
apply (erule wf-induct, clarify)
apply (case-tac EX j. (f (m+j), f m) : r^+)
  apply clarify
  apply (subgoal-tac EX i. ALL k. f ((m+j) + i+k) = f ((m+j) + i))
  apply clarify
  apply (rule-tac x = j+i in exI)
  apply (simp add: add-ac, blast)
apply (rule-tac x = 0 in exI, clarsimp)
apply (drule-tac i = m and k = k in lemma1)
apply (blast elim: rtranclE dest: rtrancl-into-trancl1)
done

```

```

lemma wf-weak-decr-stable: [| ALL i. (f (Suc i), f i) : r^*; wf (r^+) |]
  ==> EX i. ALL k. f (i+k) = f i
apply (drule-tac x = 0 in lemma2 [THEN spec], auto)
done

```

**lemma weak-decr-stable:**

```

  ALL i. f (Suc i) <= ((f i)::nat) ==> EX i. ALL k. f (i+k) = f i
apply (rule-tac r = pred-nat in wf-weak-decr-stable)
apply (simp add: pred-nat-trancl-eq-le)
apply (intro wf-trancl wf-pred-nat)
done

```

## 22.11 size of a datatype value

```

use Tools/function-package/size.ML

```

```

setup Size.setup

```

```

lemma nat-size [simp, code func]: size (n::nat) = n
  by (induct n) simp-all

```

```

end

```

## 23 Int: The Integers as Equivalence Classes over Pairs of Natural Numbers

```

theory Int
imports Equiv-Relations Nat Wellfounded
uses
  (Tools/numeral.ML)
  (Tools/numeral-syntax.ML)
  (~~/src/Provers/Arith/assoc-fold.ML)
  (~~/src/Provers/Arith/cancel-numerals.ML)
  (~~/src/Provers/Arith/combine-numerals.ML)
  (int-arith1.ML)
begin

```

### 23.1 The equivalence relation underlying the integers

```

definition
  intrel :: ((nat × nat) × (nat × nat)) set
where
  intrel = {((x, y), (u, v)) | x y u v. x + v = u + y }

```

```

typedef (Integ)
  int = UNIV // intrel
  by (auto simp add: quotient-def)

```

```

instantiation int :: {zero, one, plus, minus, uminus, times, ord, abs, sgn}
begin

```

```

definition
  Zero-int-def [code func del]: 0 = Abs-Integ (intrel “ {(0, 0)}”)

```

```

definition
  One-int-def [code func del]: 1 = Abs-Integ (intrel “ {(1, 0)}”)

```

```

definition
  add-int-def [code func del]: z + w = Abs-Integ
    (⋃ (x, y) ∈ Rep-Integ z. ⋃ (u, v) ∈ Rep-Integ w.
      intrel “ {(x + u, y + v)}”)

```

```

definition

```

*minus-int-def* [code func del]:  
 $- z = \text{Abs-Integ } (\bigcup (x, y) \in \text{Rep-Integ } z. \text{intrel } “ \{(y, x)\})$

**definition**

*diff-int-def* [code func del]:  $z - w = z + (-w :: \text{int})$

**definition**

*mult-int-def* [code func del]:  $z * w = \text{Abs-Integ}$   
 $(\bigcup (x, y) \in \text{Rep-Integ } z. \bigcup (u, v) \in \text{Rep-Integ } w.$   
 $\text{intrel } “ \{(x*u + y*v, x*v + y*u)\})$

**definition**

*le-int-def* [code func del]:  
 $z \leq w \iff (\exists x y u v. x+v \leq u+y \wedge (x, y) \in \text{Rep-Integ } z \wedge (u, v) \in \text{Rep-Integ } w)$

**definition**

*less-int-def* [code func del]:  $(z::\text{int}) < w \iff z \leq w \wedge z \neq w$

**definition**

*zabs-def*:  $|i::\text{int}| = (\text{if } i < 0 \text{ then } -i \text{ else } i)$

**definition**

*zsgn-def*:  $\text{sgn } (i::\text{int}) = (\text{if } i=0 \text{ then } 0 \text{ else if } 0<i \text{ then } 1 \text{ else } -1)$

**instance ..**

**end**

## 23.2 Construction of the Integers

**lemma** *intrel-iff* [simp]:  $((x,y),(u,v)) \in \text{intrel} = (x+v = u+y)$   
**by** (simp add: intrel-def)

**lemma** *equiv-intrel*: *equiv UNIV intrel*  
**by** (simp add: intrel-def equiv-def refl-def sym-def trans-def)

Reduces equality of equivalence classes to the *intrel* relation:  $(\text{intrel } “ \{x\} = \text{intrel } “ \{y\}) = ((x, y) \in \text{intrel})$

**lemmas** *equiv-intrel-iff* [simp] = *eq-equiv-class-iff* [OF *equiv-intrel UNIV-I UNIV-I*]

All equivalence classes belong to set of representatives

**lemma** [simp]:  $\text{intrel} “ \{(x,y)\} \in \text{Integ}$   
**by** (auto simp add: Integ-def intrel-def quotient-def)

Reduces equality on abstractions to equality on representatives:  $\llbracket x \in \text{Integ}; y \in \text{Integ} \rrbracket \implies (\text{Abs-Integ } x = \text{Abs-Integ } y) = (x = y)$

**declare** *Abs-Integ-inject* [simp,noatp] *Abs-Integ-inverse* [simp,noatp]

Case analysis on the representation of an integer as an equivalence class of pairs of naturals.

```

lemma eq-Abs-Integ [case-names Abs-Integ, cases type: int]:
  (!!x y. z = Abs-Integ(intrel“{(x,y)}”) ==> P) ==> P
apply (rule Abs-Integ-cases [of z])
apply (auto simp add: Integ-def quotient-def)
done

```

### 23.3 Arithmetic Operations

```

lemma minus: - Abs-Integ(intrel“{(x,y)}”) = Abs-Integ(intrel “ {(y,x)}”)
proof -
  have (λ(x,y). intrel“{(y,x)}”) respects intrel
    by (simp add: congruent-def)
  thus ?thesis
    by (simp add: minus-int-def UN-equiv-class [OF equiv-intrel])
qed

```

```

lemma add:
  Abs-Integ (intrel“{(x,y)}”) + Abs-Integ (intrel“{(u,v)}”) =
  Abs-Integ (intrel“{(x+u, y+v)}”)
proof -
  have (λz w. (λ(x,y). (λ(u,v). intrel “ {(x+u, y+v)}”) w) z)
    respects2 intrel
    by (simp add: congruent2-def)
  thus ?thesis
    by (simp add: add-int-def UN-UN-split-split-eq
      UN-equiv-class2 [OF equiv-intrel equiv-intrel])
qed

```

Congruence property for multiplication

```

lemma mult-congruent2:
  (%p1 p2. (%(x,y). (%(u,v). intrel“{(x*u + y*v, x*v + y*u)}”) p2) p1)
    respects2 intrel
apply (rule equiv-intrel [THEN congruent2-commuteI])
apply (force simp add: mult-ac, clarify)
apply (simp add: congruent-def mult-ac)
apply (rename-tac u v w x y z)
apply (subgoal-tac u*y + x*y = w*y + v*y & u*z + x*z = w*z + v*z)
apply (simp add: mult-ac)
apply (simp add: add-mult-distrib [symmetric])
done

```

```

lemma mult:
  Abs-Integ((intrel“{(x,y)}”)) * Abs-Integ((intrel“{(u,v)}”)) =
  Abs-Integ(intrel “ {(x*u + y*v, x*v + y*u)}”)
by (simp add: mult-int-def UN-UN-split-split-eq mult-congruent2
  UN-equiv-class2 [OF equiv-intrel equiv-intrel])

```



The integers form a *comm-ring-1*

**instance** *int* :: *comm-ring-1*

**proof**

```

fix i j k :: int
show (i + j) + k = i + (j + k)
  by (cases i, cases j, cases k) (simp add: add add-assoc)
show i + j = j + i
  by (cases i, cases j) (simp add: add-ac add)
show 0 + i = i
  by (cases i) (simp add: Zero-int-def add)
show - i + i = 0
  by (cases i) (simp add: Zero-int-def minus add)
show i - j = i + - j
  by (simp add: diff-int-def)
show (i * j) * k = i * (j * k)
  by (cases i, cases j, cases k) (simp add: mult ring-simps)
show i * j = j * i
  by (cases i, cases j) (simp add: mult ring-simps)
show 1 * i = i
  by (cases i) (simp add: One-int-def mult)
show (i + j) * k = i * k + j * k
  by (cases i, cases j, cases k) (simp add: add mult ring-simps)
show 0 ≠ (1::int)
  by (simp add: Zero-int-def One-int-def)

```

qed

**lemma** *int-def*:  $\text{of-nat } m = \text{Abs-Integ } (\text{intrel } \{(m, 0)\})$

**by** (*induct* *m*, *simp-all* *add*: *Zero-int-def One-int-def add*)

## 23.4 The $\leq$ Ordering

**lemma** *le*:

$(\text{Abs-Integ}(\text{intrel}\{(x,y)\}) \leq \text{Abs-Integ}(\text{intrel}\{(u,v)\})) = (x+v \leq u+y)$

**by** (*force simp add*: *le-int-def*)

**lemma** *less*:

$(\text{Abs-Integ}(\text{intrel}\{(x,y)\}) < \text{Abs-Integ}(\text{intrel}\{(u,v)\})) = (x+v < u+y)$

**by** (*simp add*: *less-int-def le order-less-le*)

**instance** *int* :: *linorder*

**proof**

```

fix i j k :: int
show (i < j) = (i ≤ j ∧ i ≠ j)
  by (simp add: less-int-def)
show i ≤ i
  by (cases i) (simp add: le)
show i ≤ j ⇒ j ≤ k ⇒ i ≤ k
  by (cases i, cases j, cases k) (simp add: le)
show i ≤ j ⇒ j ≤ i ⇒ i = j

```

```

    by (cases i, cases j) (simp add: le)
  show  $i \leq j \vee j \leq i$ 
    by (cases i, cases j) (simp add: le linorder-linear)
qed

```

```

instantiation int :: distrib-lattice
begin

```

```

definition
  ( $\text{inf} :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$ ) = min

```

```

definition
  ( $\text{sup} :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$ ) = max

```

```

instance
  by intro-classes
  (auto simp add: inf-int-def sup-int-def min-max.sup-inf-distrib1)

end

```

```

instance int :: pordered-cancel-ab-semigroup-add
proof
  fix i j k :: int
  show  $i \leq j \implies k + i \leq k + j$ 
    by (cases i, cases j, cases k) (simp add: le add)
qed

```

Strict Monotonicity of Multiplication

strict, in 1st argument; proof is by induction on  $k \geq 0$

```

lemma zmult-zless-mono2-lemma:
  ( $i :: \text{int} < j \implies 0 < k \implies \text{of-nat } k * i < \text{of-nat } k * j$ )
apply (induct k, simp)
apply (simp add: left-distrib)
apply (case-tac k=0)
apply (simp-all add: add-strict-mono)
done

```

```

lemma zero-le-imp-eq-int: ( $0 :: \text{int}$ )  $\leq k \implies \exists n. k = \text{of-nat } n$ 
apply (cases k)
apply (auto simp add: le add int-def Zero-int-def)
apply (rule-tac  $x=x-y$  in exI, simp)
done

```

```

lemma zero-less-imp-eq-int: ( $0 :: \text{int}$ )  $< k \implies \exists n > 0. k = \text{of-nat } n$ 
apply (cases k)
apply (simp add: less int-def Zero-int-def)
apply (rule-tac  $x=x-y$  in exI, simp)
done

```

```

lemma zmult-zless-mono2: [|  $i < j$ ;  $(0::int) < k$  |] ==>  $k*i < k*j$ 
apply (drule zero-less-imp-eq-int)
apply (auto simp add: zmult-zless-mono2-lemma)
done

```

The integers form an ordered integral domain

```

instance int :: ordered-idom
proof
  fix  $i\ j\ k :: int$ 
  show  $i < j \implies 0 < k \implies k * i < k * j$ 
    by (rule zmult-zless-mono2)
  show  $|i| = (if\ i < 0\ then\ -i\ else\ i)$ 
    by (simp only: zabs-def)
  show  $sgn\ (i::int) = (if\ i=0\ then\ 0\ else\ if\ 0 < i\ then\ 1\ else\ -1)$ 
    by (simp only: zsgn-def)
qed

instance int :: lordered-ring
proof
  fix  $k :: int$ 
  show  $abs\ k = sup\ k\ (-k)$ 
    by (auto simp add: sup-int-def zabs-def max-def less-minus-self-iff [symmetric])
qed

```

```

lemma zless-imp-add1-zle:  $w < z \implies w + (1::int) \leq z$ 
apply (cases w, cases z)
apply (simp add: less le add One-int-def)
done

```

```

lemma zless-iff-Suc-zadd:
   $(w :: int) < z \iff (\exists n. z = w + of\_nat\ (Suc\ n))$ 
apply (cases z, cases w)
apply (auto simp add: less add int-def)
apply (rename-tac a b c d)
apply (rule-tac x=a+d - Suc(c+b) in exI)
apply arith
done

```

```

lemmas int-distrib =
  left-distrib [of z1::int z2 w, standard]
  right-distrib [of w::int z1 z2, standard]
  left-diff-distrib [of z1::int z2 w, standard]
  right-diff-distrib [of w::int z1 z2, standard]

```

## 23.5 Embedding of the Integers into any *ring-1*: *of-int*

```

context ring-1
begin

```

**definition**

*of-int* :: *int*  $\Rightarrow$  'a

**where**

[*code func del*]: *of-int* *z* = *contents* ( $\bigcup (i, j) \in \text{Rep-Integ } z. \{ \text{of-nat } i - \text{of-nat } j \}$ )

**lemma** *of-int*: *of-int* (*Abs-Integ* (*intrel* “  $\{(i, j)\}$  ”)) = *of-nat* *i* - *of-nat* *j*

**proof** –

**have** ( $\lambda(i, j). \{ \text{of-nat } i - (\text{of-nat } j :: 'a) \}$ ) *respects intrel*

**by** (*simp add: congruent-def compare-rls of-nat-add [symmetric]*  
*del: of-nat-add*)

**thus** ?thesis

**by** (*simp add: of-int-def UN-equiv-class [OF equiv-intrel]*)

**qed**

**lemma** *of-int-0* [*simp*]: *of-int* 0 = 0

**by** (*simp add: of-int Zero-int-def*)

**lemma** *of-int-1* [*simp*]: *of-int* 1 = 1

**by** (*simp add: of-int One-int-def*)

**lemma** *of-int-add* [*simp*]: *of-int* (*w*+*z*) = *of-int* *w* + *of-int* *z*

**by** (*cases w, cases z, simp add: compare-rls of-int OrderedGroup.compare-rls add*)

**lemma** *of-int-minus* [*simp*]: *of-int* (–*z*) = – (*of-int* *z*)

**by** (*cases z, simp add: compare-rls of-int minus*)

**lemma** *of-int-diff* [*simp*]: *of-int* (*w* – *z*) = *of-int* *w* – *of-int* *z*

**by** (*simp add: OrderedGroup.diff-minus diff-minus*)

**lemma** *of-int-mult* [*simp*]: *of-int* (*w*\**z*) = *of-int* *w* \* *of-int* *z*

**apply** (*cases w, cases z*)

**apply** (*simp add: compare-rls of-int left-diff-distrib right-diff-distrib*  
*mult add-ac of-nat-mult*)

**done**

Collapse nested embeddings

**lemma** *of-int-of-nat-eq* [*simp*]: *of-int* (*of-nat* *n*) = *of-nat* *n*

**by** (*induct n*) *auto*

**end**

**context** *ordered-idom*

**begin**

**lemma** *of-int-le-iff* [*simp*]:

*of-int* *w*  $\leq$  *of-int* *z*  $\iff$  *w*  $\leq$  *z*

**by** (*cases w, cases z, simp add: of-int le minus compare-rls of-nat-add [symmetric]*  
*del: of-nat-add*)

Special cases where either operand is zero

**lemmas** *of-int-0-le-iff* [*simp*] = *of-int-le-iff* [*of 0, simplified*]  
**lemmas** *of-int-le-0-iff* [*simp*] = *of-int-le-iff* [*of - 0, simplified*]

**lemma** *of-int-less-iff* [*simp*]:  
*of-int w < of-int z  $\longleftrightarrow$  w < z*  
**by** (*simp add: not-le [symmetric] linorder-not-le [symmetric]*)

Special cases where either operand is zero

**lemmas** *of-int-0-less-iff* [*simp*] = *of-int-less-iff* [*of 0, simplified*]  
**lemmas** *of-int-less-0-iff* [*simp*] = *of-int-less-iff* [*of - 0, simplified*]

**end**

Class for unital rings with characteristic zero. Includes non-ordered rings like the complex numbers.

**class** *ring-char-0* = *ring-1* + *semiring-char-0*  
**begin**

**lemma** *of-int-eq-iff* [*simp*]:  
*of-int w = of-int z  $\longleftrightarrow$  w = z*  
**apply** (*cases w, cases z, simp add: of-int*)  
**apply** (*simp only: diff-eq-eq diff-add-eq eq-diff-eq*)  
**apply** (*simp only: of-nat-add [symmetric] of-nat-eq-iff*)  
**done**

Special cases where either operand is zero

**lemmas** *of-int-0-eq-iff* [*simp*] = *of-int-eq-iff* [*of 0, simplified*]  
**lemmas** *of-int-eq-0-iff* [*simp*] = *of-int-eq-iff* [*of - 0, simplified*]

**end**

Every *ordered-idom* has characteristic zero.

**subclass** (**in** *ordered-idom*) *ring-char-0* **by** *intro-locales*

**lemma** *of-int-eq-id* [*simp*]: *of-int = id*  
**proof**  
**fix** *z* **show** *of-int z = id z*  
**by** (*cases z*) (*simp add: of-int add minus int-def diff-minus*)  
**qed**

## 23.6 Magnitude of an Integer, as a Natural Number: *nat*

**definition**

*nat :: int  $\Rightarrow$  nat*

**where**

[*code func del*]: *nat z = contents ( $\bigcup (x, y) \in \text{Rep-Integ } z. \{x - y\})$*

```

lemma nat: nat (Abs-Integ (intrel“{(x,y)})) = x-y
proof -
  have ( $\lambda(x,y). \{x-y\}$ ) respects intrel
  by (simp add: congruent-def) arith
  thus ?thesis
  by (simp add: nat-def UN-equiv-class [OF equiv-intrel])
qed

lemma nat-int [simp]: nat (of-nat n) = n
by (simp add: nat int-def)

lemma nat-zero [simp]: nat 0 = 0
by (simp add: Zero-int-def nat)

lemma int-nat-eq [simp]: of-nat (nat z) = (if 0 ≤ z then z else 0)
by (cases z, simp add: nat le int-def Zero-int-def)

corollary nat-0-le: 0 ≤ z ==> of-nat (nat z) = z
by simp

lemma nat-le-0 [simp]: z ≤ 0 ==> nat z = 0
by (cases z, simp add: nat le Zero-int-def)

lemma nat-le-eq-zle: 0 < w | 0 ≤ z ==> (nat w ≤ nat z) = (w ≤ z)
apply (cases w, cases z)
apply (simp add: nat le linorder-not-le [symmetric] Zero-int-def, arith)
done

An alternative condition is (0::'a) ≤ w

corollary nat-mono-iff: 0 < z ==> (nat w < nat z) = (w < z)
by (simp add: nat-le-eq-zle linorder-not-le [symmetric])

corollary nat-less-eq-zless: 0 ≤ w ==> (nat w < nat z) = (w < z)
by (simp add: nat-le-eq-zle linorder-not-le [symmetric])

lemma zless-nat-conj [simp]: (nat w < nat z) = (0 < z & w < z)
apply (cases w, cases z)
apply (simp add: nat le Zero-int-def linorder-not-le [symmetric], arith)
done

lemma nonneg-eq-int:
  fixes z :: int
  assumes 0 ≤ z and  $\bigwedge m. z = \text{of-nat } m \implies P$ 
  shows P
  using assms by (blast dest: nat-0-le sym)

lemma nat-eq-iff: (nat w = m) = (if 0 ≤ w then w = of-nat m else m=0)
by (cases w, simp add: nat le int-def Zero-int-def, arith)

```

**corollary** *nat-eq-iff2*:  $(m = \text{nat } w) = (\text{if } 0 \leq w \text{ then } w = \text{of-nat } m \text{ else } m=0)$   
**by** (*simp only: eq-commute [of m] nat-eq-iff*)

**lemma** *nat-less-iff*:  $0 \leq w \implies (\text{nat } w < m) = (w < \text{of-nat } m)$   
**apply** (*cases w*)  
**apply** (*simp add: nat le int-def Zero-int-def linorder-not-le [symmetric], arith*)  
**done**

**lemma** *int-eq-iff*:  $(\text{of-nat } m = z) = (m = \text{nat } z \ \& \ 0 \leq z)$   
**by** (*auto simp add: nat-eq-iff2*)

**lemma** *zero-less-nat-eq [simp]*:  $(0 < \text{nat } z) = (0 < z)$   
**by** (*insert zless-nat-conj [of 0], auto*)

**lemma** *nat-add-distrib*:  
 $[(0 :: \text{int}) \leq z; \ 0 \leq z'] \implies \text{nat } (z + z') = \text{nat } z + \text{nat } z'$   
**by** (*cases z, cases z', simp add: nat add le Zero-int-def*)

**lemma** *nat-diff-distrib*:  
 $[(0 :: \text{int}) \leq z'; \ z' \leq z] \implies \text{nat } (z - z') = \text{nat } z - \text{nat } z'$   
**by** (*cases z, cases z',*  
*simp add: nat add minus diff-minus le Zero-int-def*)

**lemma** *nat-zminus-int [simp]*:  $\text{nat } (- (\text{of-nat } n)) = 0$   
**by** (*simp add: int-def minus nat Zero-int-def*)

**lemma** *zless-nat-eq-int-zless*:  $(m < \text{nat } z) = (\text{of-nat } m < z)$   
**by** (*cases z, simp add: nat less int-def, arith*)

**context** *ring-1*  
**begin**

**lemma** *of-nat-nat*:  $0 \leq z \implies \text{of-nat } (\text{nat } z) = \text{of-int } z$   
**by** (*cases z rule: eq-Abs-Integ*)  
*(simp add: nat le of-int Zero-int-def of-nat-diff)*

**end**

## 23.7 Lemmas about the Function *of-nat* and Orderings

**lemma** *negative-zless-0*:  $- (\text{of-nat } (\text{Suc } n)) < (0 :: \text{int})$   
**by** (*simp add: order-less-le del: of-nat-Suc*)

**lemma** *negative-zless [iff]*:  $- (\text{of-nat } (\text{Suc } n)) < (\text{of-nat } m :: \text{int})$   
**by** (*rule negative-zless-0 [THEN order-less-le-trans], simp*)

**lemma** *negative-zle-0*:  $- \text{of-nat } n \leq (0 :: \text{int})$   
**by** (*simp add: minus-le-iff*)

**lemma** *negative-zle* [*iff*]:  $- \text{of-nat } n \leq (\text{of-nat } m :: \text{int})$   
**by** (*rule order-trans* [*OF negative-zle-0 of-nat-0-le-iff*])

**lemma** *not-zle-0-negative* [*simp*]:  $\sim (0 \leq - (\text{of-nat } (\text{Suc } n) :: \text{int}))$   
**by** (*subst le-minus-iff*, *simp del: of-nat-Suc*)

**lemma** *int-zle-neg*:  $((\text{of-nat } n :: \text{int}) \leq - \text{of-nat } m) = (n = 0 \ \& \ m = 0)$   
**by** (*simp add: int-def le minus Zero-int-def*)

**lemma** *not-int-zless-negative* [*simp*]:  $\sim ((\text{of-nat } n :: \text{int}) < - \text{of-nat } m)$   
**by** (*simp add: linorder-not-less*)

**lemma** *negative-eq-positive* [*simp*]:  $((- \text{of-nat } n :: \text{int}) = \text{of-nat } m) = (n = 0 \ \& \ m = 0)$   
**by** (*force simp add: order-eq-iff [of - of-nat n] int-zle-neg*)

**lemma** *zle-iff-zadd*:  $(w :: \text{int}) \leq z \iff (\exists n. z = w + \text{of-nat } n)$   
**proof** –  
**have**  $(w \leq z) = (0 \leq z - w)$   
   **by** (*simp only: le-diff-eq add-0-left*)  
**also have**  $\dots = (\exists n. z - w = \text{of-nat } n)$   
   **by** (*auto elim: zero-le-imp-eq-int*)  
**also have**  $\dots = (\exists n. z = w + \text{of-nat } n)$   
   **by** (*simp only: group-simps*)  
**finally show** ?thesis .  
**qed**

**lemma** *zadd-int-left*:  $\text{of-nat } m + (\text{of-nat } n + z) = \text{of-nat } (m + n) + (z :: \text{int})$   
**by** *simp*

**lemma** *int-Suc0-eq-1*:  $\text{of-nat } (\text{Suc } 0) = (1 :: \text{int})$   
**by** *simp*

This version is proved for all ordered rings, not just integers! It is proved here because attribute *arith-split* is not available in theory *Ring-and-Field*. But is it really better than just rewriting with *abs-if*?

**lemma** *abs-split* [*arith-split, noatp*]:  
 $P(\text{abs}(a :: 'a :: \text{ordered-idom})) = ((0 \leq a \implies P \ a) \ \& \ (a < 0 \implies P(-a)))$   
**by** (*force dest: order-less-le-trans simp add: abs-if linorder-not-less*)

**lemma** *negD*:  $(x :: \text{int}) < 0 \implies \exists n. x = - (\text{of-nat } (\text{Suc } n))$   
**apply** (*cases x*)  
**apply** (*auto simp add: le minus Zero-int-def int-def order-less-le*)  
**apply** (*rule-tac x=y - Suc x in exI, arith*)  
**done**



### 23.8 Cases and induction

Now we replace the case analysis rule by a more conventional one: whether an integer is negative or not.

```
theorem int-cases [cases type: int, case-names nonneg neg]:
  [|!! n. (z :: int) = of-nat n ==> P; !! n. z = - (of-nat (Suc n)) ==> P |]
  ==> P
apply (cases z < 0, blast dest!: negD)
apply (simp add: linorder-not-less del: of-nat-Suc)
apply auto
apply (blast dest: nat-0-le [THEN sym])
done
```

```
theorem int-induct [induct type: int, case-names nonneg neg]:
  [|!! n. P (of-nat n :: int); !!n. P (- (of-nat (Suc n))) |] ==> P z
  by (cases z rule: int-cases) auto
```

Contributed by Brian Huffman

```
theorem int-diff-cases:
  obtains (diff) m n where (z::int) = of-nat m - of-nat n
apply (cases z rule: eq-Abs-Integ)
apply (rule-tac m=x and n=y in diff)
apply (simp add: int-def diff-def minus add)
done
```

### 23.9 Binary representation

This formalization defines binary arithmetic in terms of the integers rather than using a datatype. This avoids multiple representations (leading zeroes, etc.) See *ZF/Tools/twos-compl.ML*, function *int-of-binary*, for the numerical interpretation.

The representation expects that  $(m \bmod 2)$  is 0 or 1, even if  $m$  is negative; For instance,  $-5 \div 2 = -3$  and  $-5 \bmod 2 = 1$ ; thus  $-5 = (-3)*2 + 1$ . This two’s complement binary representation derives from the paper “An Efficient Representation of Arithmetic for Term Rewriting” by Dave Cohen and Phil Watson, *Rewriting Techniques and Applications*, Springer LNCS 488 (240-251), 1991.

```
definition
  Pls :: int where
    [code func del]: Pls = 0
```

```
definition
  Min :: int where
    [code func del]: Min = - 1
```

```
definition
```

*Bit0* :: *int*  $\Rightarrow$  *int* **where**  
 [code func del]: *Bit0* *k* = *k* + *k*

**definition**

*Bit1* :: *int*  $\Rightarrow$  *int* **where**  
 [code func del]: *Bit1* *k* = 1 + *k* + *k*

**class** *number* = *type* + — for numeric types: nat, int, real, ...  
**fixes** *number-of* :: *int*  $\Rightarrow$  'a

**use** *Tools/numeral.ML*

**syntax**

-*Numeral* :: *num-const*  $\Rightarrow$  'a    (-)

**use** *Tools/numeral-syntax.ML*

**setup** *NumeralSyntax.setup*

**abbreviation**

*Numeral0*  $\equiv$  *number-of* *Pls*

**abbreviation**

*Numeral1*  $\equiv$  *number-of* (*Bit1* *Pls*)

**lemma** *Let-number-of* [simp]: *Let* (*number-of* *v*) *f* = *f* (*number-of* *v*)  
 — Unfold all *lets* involving constants  
**unfolding** *Let-def* ..

**definition**

*succ* :: *int*  $\Rightarrow$  *int* **where**  
 [code func del]: *succ* *k* = *k* + 1

**definition**

*pred* :: *int*  $\Rightarrow$  *int* **where**  
 [code func del]: *pred* *k* = *k* - 1

**lemmas**

*max-number-of* [simp] = *max-def*  
 [of *number-of* *u* *number-of* *v*, *standard*, *simp*]

**and**

*min-number-of* [simp] = *min-def*  
 [of *number-of* *u* *number-of* *v*, *standard*, *simp*]  
 — unfolding *minx* and *max* on numerals

**lemmas** *numeral-simps* =

*succ-def* *pred-def* *Pls-def* *Min-def* *Bit0-def* *Bit1-def*

Removal of leading zeroes

**lemma** *Bit0-Pls* [simp, code post]:

$Bit0\ Pls = Pls$   
**unfolding numeral-simps by simp**

**lemma** *Bit1-Min* [*simp, code post*]:  
 $Bit1\ Min = Min$   
**unfolding numeral-simps by simp**

**lemmas** *normalize-bin-simps* =  
 $Bit0\ Pls\ Bit1\ Min$

### 23.10 The Functions *succ*, *pred* and *uminus*

**lemma** *succ-Pls* [*simp*]:  
 $succ\ Pls = Bit1\ Pls$   
**unfolding numeral-simps by simp**

**lemma** *succ-Min* [*simp*]:  
 $succ\ Min = Pls$   
**unfolding numeral-simps by simp**

**lemma** *succ-Bit0* [*simp*]:  
 $succ\ (Bit0\ k) = Bit1\ k$   
**unfolding numeral-simps by simp**

**lemma** *succ-Bit1* [*simp*]:  
 $succ\ (Bit1\ k) = Bit0\ (succ\ k)$   
**unfolding numeral-simps by simp**

**lemmas** *succ-bin-simps* =  
 $succ\ Pls\ succ\ Min\ succ\ Bit0\ succ\ Bit1$

**lemma** *pred-Pls* [*simp*]:  
 $pred\ Pls = Min$   
**unfolding numeral-simps by simp**

**lemma** *pred-Min* [*simp*]:  
 $pred\ Min = Bit0\ Min$   
**unfolding numeral-simps by simp**

**lemma** *pred-Bit0* [*simp*]:  
 $pred\ (Bit0\ k) = Bit1\ (pred\ k)$   
**unfolding numeral-simps by simp**

**lemma** *pred-Bit1* [*simp*]:  
 $pred\ (Bit1\ k) = Bit0\ k$   
**unfolding numeral-simps by simp**

**lemmas** *pred-bin-simps* =  
 $pred\ Pls\ pred\ Min\ pred\ Bit0\ pred\ Bit1$

**lemma** *minus-Pls* [*simp*]:  
 –  $Pls = Pls$   
**unfolding** *numeral-simps* **by** *simp*

**lemma** *minus-Min* [*simp*]:  
 –  $Min = Bit1\ Pls$   
**unfolding** *numeral-simps* **by** *simp*

**lemma** *minus-Bit0* [*simp*]:  
 –  $(Bit0\ k) = Bit0\ (-\ k)$   
**unfolding** *numeral-simps* **by** *simp*

**lemma** *minus-Bit1* [*simp*]:  
 –  $(Bit1\ k) = Bit1\ (pred\ (-\ k))$   
**unfolding** *numeral-simps* **by** *simp*

**lemmas** *minus-bin-simps* =  
*minus-Pls minus-Min minus-Bit0 minus-Bit1*

### 23.11 Binary Addition and Multiplication: *op +* and *op \**

**lemma** *add-Pls* [*simp*]:  
 $Pls + k = k$   
**unfolding** *numeral-simps* **by** *simp*

**lemma** *add-Min* [*simp*]:  
 $Min + k = pred\ k$   
**unfolding** *numeral-simps* **by** *simp*

**lemma** *add-Bit0-Bit0* [*simp*]:  
 $(Bit0\ k) + (Bit0\ l) = Bit0\ (k + l)$   
**unfolding** *numeral-simps* **by** *simp-all*

**lemma** *add-Bit0-Bit1* [*simp*]:  
 $(Bit0\ k) + (Bit1\ l) = Bit1\ (k + l)$   
**unfolding** *numeral-simps* **by** *simp-all*

**lemma** *add-Bit1-Bit0* [*simp*]:  
 $(Bit1\ k) + (Bit0\ l) = Bit1\ (k + l)$   
**unfolding** *numeral-simps* **by** *simp*

**lemma** *add-Bit1-Bit1* [*simp*]:  
 $(Bit1\ k) + (Bit1\ l) = Bit0\ (k + succ\ l)$   
**unfolding** *numeral-simps* **by** *simp*

**lemma** *add-Pls-right* [*simp*]:  
 $k + Pls = k$   
**unfolding** *numeral-simps* **by** *simp*

**lemma** *add-Min-right* [*simp*]:

$k + \text{Min} = \text{pred } k$

**unfolding** *numeral-simps* **by** *simp*

**lemmas** *add-bin-simps* =

*add-Pls add-Min add-Pls-right add-Min-right*

*add-Bit0-Bit0 add-Bit0-Bit1 add-Bit1-Bit0 add-Bit1-Bit1*

**lemma** *mult-Pls* [*simp*]:

$\text{Pls} * w = \text{Pls}$

**unfolding** *numeral-simps* **by** *simp*

**lemma** *mult-Min* [*simp*]:

$\text{Min} * k = -\ k$

**unfolding** *numeral-simps* **by** *simp*

**lemma** *mult-Bit0* [*simp*]:

$(\text{Bit0 } k) * l = \text{Bit0 } (k * l)$

**unfolding** *numeral-simps int-distrib* **by** *simp*

**lemma** *mult-Bit1* [*simp*]:

$(\text{Bit1 } k) * l = (\text{Bit0 } (k * l)) + l$

**unfolding** *numeral-simps int-distrib* **by** *simp*

**lemmas** *mult-bin-simps* =

*mult-Pls mult-Min mult-Bit0 mult-Bit1*

### 23.12 Converting Numerals to Rings: *number-of*

**class** *number-ring* = *number + comm-ring-1 +*

**assumes** *number-of-eq*: *number-of*  $k = \text{of-int } k$

self-embedding of the integers

**instantiation** *int* :: *number-ring*

**begin**

**definition**

*int-number-of-def* [*code func del*]: *number-of*  $w = (\text{of-int } w :: \text{int})$

**instance**

**by** *intro-classes (simp only: int-number-of-def)*

**end**

**lemma** *number-of-is-id*:

*number-of*  $(k :: \text{int}) = k$

**unfolding** *int-number-of-def* **by** *simp*

**lemma** *number-of-succ*:

$$\text{number-of } (\text{succ } k) = (1 + \text{number-of } k :: 'a::\text{number-ring})$$

**unfolding** *number-of-eq numeral-simps* **by** *simp*

**lemma** *number-of-pred*:

$$\text{number-of } (\text{pred } w) = (- 1 + \text{number-of } w :: 'a::\text{number-ring})$$

**unfolding** *number-of-eq numeral-simps* **by** *simp*

**lemma** *number-of-minus*:

$$\text{number-of } (\text{uminus } w) = (- (\text{number-of } w) :: 'a::\text{number-ring})$$

**unfolding** *number-of-eq numeral-simps* **by** *simp*

**lemma** *number-of-add*:

$$\text{number-of } (v + w) = (\text{number-of } v + \text{number-of } w :: 'a::\text{number-ring})$$

**unfolding** *number-of-eq numeral-simps* **by** *simp*

**lemma** *number-of-mult*:

$$\text{number-of } (v * w) = (\text{number-of } v * \text{number-of } w :: 'a::\text{number-ring})$$

**unfolding** *number-of-eq numeral-simps* **by** *simp*

The correctness of shifting. But it doesn't seem to give a measurable speed-up.

**lemma** *double-number-of-Bit0*:

$$(1 + 1) * \text{number-of } w = (\text{number-of } (\text{Bit0 } w) :: 'a::\text{number-ring})$$

**unfolding** *number-of-eq numeral-simps left-distrib* **by** *simp*

Converting numerals 0 and 1 to their abstract versions.

**lemma** *numeral-0-eq-0* [*simp*]:

$$\text{Numeral0} = (0 :: 'a::\text{number-ring})$$

**unfolding** *number-of-eq numeral-simps* **by** *simp*

**lemma** *numeral-1-eq-1* [*simp*]:

$$\text{Numeral1} = (1 :: 'a::\text{number-ring})$$

**unfolding** *number-of-eq numeral-simps* **by** *simp*

Special-case simplification for small constants.

Unary minus for the abstract constant 1. Cannot be inserted as a *simprule* until later: it is *number-of-Min* re-oriented!

**lemma** *numeral-m1-eq-minus-1*:

$$(-1 :: 'a::\text{number-ring}) = - 1$$

**unfolding** *number-of-eq numeral-simps* **by** *simp*

**lemma** *mult-minus1* [*simp*]:

$$-1 * z = -(z :: 'a::\text{number-ring})$$

**unfolding** *number-of-eq numeral-simps* **by** *simp*

**lemma** *mult-minus1-right* [*simp*]:

$$z * -1 = -(z :: 'a::\text{number-ring})$$

**unfolding** *number-of-eq numeral-simps* **by** *simp*

**lemma** *minus-number-of-mult [simp]*:  
 $-(\text{number-of } w) * z = \text{number-of } (\text{uminus } w) * (z :: 'a :: \text{number-ring})$   
**unfolding** *number-of-eq* **by** *simp*

Subtraction

**lemma** *diff-number-of-eq*:  
 $\text{number-of } v - \text{number-of } w =$   
 $(\text{number-of } (v + \text{uminus } w) :: 'a :: \text{number-ring})$   
**unfolding** *number-of-eq* **by** *simp*

**lemma** *number-of-Pls*:  
 $\text{number-of } \text{Pls} = (0 :: 'a :: \text{number-ring})$   
**unfolding** *number-of-eq numeral-simps* **by** *simp*

**lemma** *number-of-Min*:  
 $\text{number-of } \text{Min} = (- 1 :: 'a :: \text{number-ring})$   
**unfolding** *number-of-eq numeral-simps* **by** *simp*

**lemma** *number-of-Bit0*:  
 $\text{number-of } (\text{Bit0 } w) = (0 :: 'a :: \text{number-ring}) + (\text{number-of } w) + (\text{number-of } w)$   
**unfolding** *number-of-eq numeral-simps* **by** *simp*

**lemma** *number-of-Bit1*:  
 $\text{number-of } (\text{Bit1 } w) = (1 :: 'a :: \text{number-ring}) + (\text{number-of } w) + (\text{number-of } w)$   
**unfolding** *number-of-eq numeral-simps* **by** *simp*

### 23.13 Equality of Binary Numbers

First version by Norbert Voelker

**definition**  
 $\text{neg} :: 'a :: \text{ordered-idom} \Rightarrow \text{bool}$   
**where**  
 $\text{neg } Z \longleftrightarrow Z < 0$

**definition**  
 $\text{iszero} :: 'a :: \text{semiring-1} \Rightarrow \text{bool}$   
**where**  
 $\text{iszero } z \longleftrightarrow z = 0$

**lemma** *not-neg-int [simp]*:  $\sim \text{neg } (\text{of-nat } n)$   
**by** (*simp add: neg-def*)

**lemma** *neg-zminus-int [simp]*:  $\text{neg } (- (\text{of-nat } (\text{Suc } n)))$   
**by** (*simp add: neg-def neg-less-0-iff-less del: of-nat-Suc*)

**lemmas** *neg-eq-less-0* = *neg-def*

**lemma** *not-neg-eq-ge-0*:  $(\sim \text{neg } x) = (0 \leq x)$   
**by** (*simp add: neg-def linorder-not-less*)

To simplify inequalities when Numeral1 can get simplified to 1

**lemma** *not-neg-0*:  $\sim \text{neg } 0$   
**by** (*simp add: One-int-def neg-def*)

**lemma** *not-neg-1*:  $\sim \text{neg } 1$   
**by** (*simp add: neg-def linorder-not-less zero-le-one*)

**lemma** *iszero-0*: *iszero 0*  
**by** (*simp add: iszero-def*)

**lemma** *not-iszero-1*:  $\sim \text{iszero } 1$   
**by** (*simp add: iszero-def eq-commute*)

**lemma** *neg-nat*:  $\text{neg } z ==> \text{nat } z = 0$   
**by** (*simp add: neg-def order-less-imp-le*)

**lemma** *not-neg-nat*:  $\sim \text{neg } z ==> \text{of-nat } (\text{nat } z) = z$   
**by** (*simp add: linorder-not-less neg-def*)

**lemma** *eq-number-of-eq*:  
 $((\text{number-of } x :: 'a :: \text{number-ring}) = \text{number-of } y) =$   
 $\text{iszero } (\text{number-of } (x + \text{uminus } y) :: 'a)$   
**unfolding** *iszero-def number-of-add number-of-minus*  
**by** (*simp add: compare-rls*)

**lemma** *iszero-number-of-Pls*:  
 $\text{iszero } ((\text{number-of } \text{Pls}) :: 'a :: \text{number-ring})$   
**unfolding** *iszero-def numeral-0-eq-0 ..*

**lemma** *nonzero-number-of-Min*:  
 $\sim \text{iszero } ((\text{number-of } \text{Min}) :: 'a :: \text{number-ring})$   
**unfolding** *iszero-def numeral-m1-eq-minus-1* **by** *simp*

## 23.14 Comparisons, for Ordered Rings

**lemmas** *double-eq-0-iff = double-zero*

**lemma** *le-imp-0-less*:  
**assumes** *le*:  $0 \leq z$   
**shows**  $(0 :: \text{int}) < 1 + z$   
**proof** –  
**have**  $0 \leq z$  **by** *fact*  
**also have**  $\dots < z + 1$  **by** (*rule less-add-one*)  
**also have**  $\dots = 1 + z$  **by** (*simp add: add-ac*)  
**finally show**  $0 < 1 + z$  .



qed

**lemma** *odd-nonzero*:

$1 + z + z \neq (0::\text{int})$

**proof** (*cases z rule: int-cases*)

**case** (*nonneg n*)

**have**  $le: 0 \leq z+z$  **by** (*simp add: nonneg add-increasing*)

**thus** *?thesis* **using** *le-imp-0-less [OF le]*

**by** (*auto simp add: add-assoc*)

**next**

**case** (*neg n*)

**show** *?thesis*

**proof**

**assume** *eq: 1 + z + z = 0*

**have**  $(0::\text{int}) < 1 + (\text{of-nat } n + \text{of-nat } n)$

**by** (*simp add: le-imp-0-less add-increasing*)

**also have**  $\dots = -(1 + z + z)$

**by** (*simp add: neg add-assoc [symmetric]*)

**also have**  $\dots = 0$  **by** (*simp add: eq*)

**finally have**  $0 < 0$  **..**

**thus** *False* **by** *blast*

qed

qed

**lemma** *iszero-number-of-Bit0*:

*iszero (number-of (Bit0 w)::'a) =*

*iszero (number-of w::'a::{ring-char-0,number-ring})*

**proof** –

**have**  $(\text{of-int } w + \text{of-int } w = (0::'a)) \implies (w = 0)$

**proof** –

**assume** *eq: of-int w + of-int w = (0::'a)*

**then have**  $\text{of-int } (w + w) = (\text{of-int } 0 :: 'a)$  **by** *simp*

**then have**  $w + w = 0$  **by** (*simp only: of-int-eq-iff*)

**then show**  $w = 0$  **by** (*simp only: double-eq-0-iff*)

qed

**thus** *?thesis*

**by** (*auto simp add: iszero-def number-of-eq numeral-simps*)

qed

**lemma** *iszero-number-of-Bit1*:

$\sim \text{iszero (number-of (Bit1 w)::'a::{ring-char-0,number-ring})}$

**proof** –

**have**  $1 + \text{of-int } w + \text{of-int } w \neq (0::'a)$

**proof**

**assume** *eq: 1 + of-int w + of-int w = (0::'a)*

**hence**  $\text{of-int } (1 + w + w) = (\text{of-int } 0 :: 'a)$  **by** *simp*

**hence**  $1 + w + w = 0$  **by** (*simp only: of-int-eq-iff*)

**with** *odd-nonzero* **show** *False* **by** *blast*

qed

```

thus ?thesis
  by (auto simp add: iszero-def number-of-eq numeral-simps)
qed

```

### 23.15 The Less-Than Relation

```

lemma less-number-of-eq-neg:
  ((number-of x :: 'a :: {ordered-idom, number-ring}) < number-of y)
  = neg (number-of (x + uminus y) :: 'a)
apply (subst less-iff-diff-less-0)
apply (simp add: neg-def diff-minus number-of-add number-of-minus)
done

```

If *Numeral0* is rewritten to 0 then this rule can't be applied: *Numeral0* IS *Numeral0*

```

lemma not-neg-number-of-Pls:
  ~ neg (number-of Pls :: 'a :: {ordered-idom, number-ring})
by (simp add: neg-def numeral-0-eq-0)

```

```

lemma neg-number-of-Min:
  neg (number-of Min :: 'a :: {ordered-idom, number-ring})
by (simp add: neg-def zero-less-one numeral-m1-eq-minus-1)

```

```

lemma double-less-0-iff:
  (a + a < 0) = (a < (0 :: 'a :: ordered-idom))
proof -
  have (a + a < 0) = ((1+1)*a < 0) by (simp add: left-distrib)
  also have ... = (a < 0)
    by (simp add: mult-less-0-iff zero-less-two
              order-less-not-sym [OF zero-less-two])
  finally show ?thesis .
qed

```

```

lemma odd-less-0:
  (1 + z + z < 0) = (z < (0 :: int))
proof (cases z rule: int-cases)
  case (nonneg n)
  thus ?thesis by (simp add: linorder-not-less add-assoc add-increasing
                        le-imp-0-less [THEN order-less-imp-le])
next
  case (neg n)
  thus ?thesis by (simp del: of-nat-Suc of-nat-add
                        add: compare-rls of-nat-1 [symmetric] of-nat-add [symmetric])
qed

```

```

lemma neg-number-of-Bit0:
  neg (number-of (Bit0 w) :: 'a) =
  neg (number-of w :: 'a :: {ordered-idom, number-ring})
by (simp add: neg-def number-of-eq numeral-simps double-less-0-iff)

```

**lemma** *neg-number-of-Bit1*:  
 $\text{neg } (\text{number-of } (\text{Bit1 } w) :: 'a) =$   
 $\text{neg } (\text{number-of } w :: 'a :: \{\text{ordered-idom}, \text{number-ring}\})$   
**proof** –  
**have**  $((1 :: 'a) + \text{of-int } w + \text{of-int } w < 0) = (\text{of-int } (1 + w + w) < (\text{of-int } 0 :: 'a))$   
**by** *simp*  
**also have**  $\dots = (w < 0)$  **by** *(simp only: of-int-less-iff odd-less-0)*  
**finally show** *?thesis*  
**by** *(simp add: neg-def number-of-eq numeral-simps)*  
**qed**

Less-Than or Equals

Reduces  $a \leq b$  to  $\neg b < a$  for ALL numerals.

**lemmas** *le-number-of-eq-not-less* =  
 $\text{linorder-not-less } [\text{of number-of } w \text{ number-of } v, \text{symmetric},$   
 $\text{standard}]$

**lemma** *le-number-of-eq*:  
 $((\text{number-of } x :: 'a :: \{\text{ordered-idom}, \text{number-ring}\}) \leq \text{number-of } y)$   
 $= (\sim (\text{neg } (\text{number-of } (y + \text{uminus } x) :: 'a)))$   
**by** *(simp add: le-number-of-eq-not-less less-number-of-eq-neg)*

Absolute value (*abs*)

**lemma** *abs-number-of*:  
 $\text{abs}(\text{number-of } x :: 'a :: \{\text{ordered-idom}, \text{number-ring}\}) =$   
 $(\text{if number-of } x < (0 :: 'a) \text{ then } -\text{number-of } x \text{ else number-of } x)$   
**by** *(simp add: abs-if)*

Re-orientation of the equation  $\text{nnn} = x$

**lemma** *number-of-reorient*:  
 $(\text{number-of } w = x) = (x = \text{number-of } w)$   
**by** *auto*

## 23.16 Simplification of arithmetic operations on integer constants.

**lemmas** *arith-extra-simps* [*standard*, *simp*] =  
 $\text{number-of-add } [\text{symmetric}]$   
 $\text{number-of-minus } [\text{symmetric}] \text{ numeral-m1-eq-minus-1 } [\text{symmetric}]$   
 $\text{number-of-mult } [\text{symmetric}]$   
 $\text{diff-number-of-eq abs-number-of}$

For making a minimal simpset, one must include these default simprules.  
 Also include *simp-thms*.

**lemmas** *arith-simps* =

*normalize-bin-simps pred-bin-simps succ-bin-simps*  
*add-bin-simps minus-bin-simps mult-bin-simps*  
*abs-zero abs-one arith-extra-simps*

Simplification of relational operations

**lemmas** *rel-simps* [*simp*] =  
*eq-number-of-eq iszero-0 nonzero-number-of-Min*  
*iszero-number-of-Bit0 iszero-number-of-Bit1*  
*less-number-of-eq-neg*  
*not-neg-number-of-Pls not-neg-0 not-neg-1 not-iszero-1*  
*neg-number-of-Min neg-number-of-Bit0 neg-number-of-Bit1*  
*le-number-of-eq*

### 23.17 Simplification of arithmetic when nested to the right.

**lemma** *add-number-of-left* [*simp*]:  
 $\text{number-of } v + (\text{number-of } w + z) =$   
 $(\text{number-of } (v + w) + z :: 'a :: \text{number-ring})$   
**by** (*simp add: add-assoc [symmetric]*)

**lemma** *mult-number-of-left* [*simp*]:  
 $\text{number-of } v * (\text{number-of } w * z) =$   
 $(\text{number-of } (v * w) * z :: 'a :: \text{number-ring})$   
**by** (*simp add: mult-assoc [symmetric]*)

**lemma** *add-number-of-diff1*:  
 $\text{number-of } v + (\text{number-of } w - c) =$   
 $\text{number-of } (v + w) - (c :: 'a :: \text{number-ring})$   
**by** (*simp add: diff-minus add-number-of-left*)

**lemma** *add-number-of-diff2* [*simp*]:  
 $\text{number-of } v + (c - \text{number-of } w) =$   
 $\text{number-of } (v + \text{uminus } w) + (c :: 'a :: \text{number-ring})$   
**apply** (*subst diff-number-of-eq [symmetric]*)  
**apply** (*simp only: compare-rls*)  
**done**

### 23.18 The Set of Integers

**context** *ring-1*  
**begin**

**definition**  
 $\text{Ints} :: 'a \text{ set}$   
**where**  
 $\text{Ints} = \text{range of-int}$

**end**

**notation** (*xsymbols*)  
*Ints* ( $\mathbb{Z}$ )

**context** *ring-1*  
**begin**

**lemma** *Ints-0* [*simp*]:  $0 \in \mathbb{Z}$   
**apply** (*simp add: Ints-def*)  
**apply** (*rule range-eqI*)  
**apply** (*rule of-int-0 [symmetric]*)  
**done**

**lemma** *Ints-1* [*simp*]:  $1 \in \mathbb{Z}$   
**apply** (*simp add: Ints-def*)  
**apply** (*rule range-eqI*)  
**apply** (*rule of-int-1 [symmetric]*)  
**done**

**lemma** *Ints-add* [*simp*]:  $a \in \mathbb{Z} \implies b \in \mathbb{Z} \implies a + b \in \mathbb{Z}$   
**apply** (*auto simp add: Ints-def*)  
**apply** (*rule range-eqI*)  
**apply** (*rule of-int-add [symmetric]*)  
**done**

**lemma** *Ints-minus* [*simp*]:  $a \in \mathbb{Z} \implies -a \in \mathbb{Z}$   
**apply** (*auto simp add: Ints-def*)  
**apply** (*rule range-eqI*)  
**apply** (*rule of-int-minus [symmetric]*)  
**done**

**lemma** *Ints-mult* [*simp*]:  $a \in \mathbb{Z} \implies b \in \mathbb{Z} \implies a * b \in \mathbb{Z}$   
**apply** (*auto simp add: Ints-def*)  
**apply** (*rule range-eqI*)  
**apply** (*rule of-int-mult [symmetric]*)  
**done**

**lemma** *Ints-cases* [*cases set: Ints*]:  
  **assumes**  $q \in \mathbb{Z}$   
  **obtains** (*of-int*)  $z$  **where**  $q = \text{of-int } z$   
  **unfolding** *Ints-def*  
**proof** –  
  **from**  $\langle q \in \mathbb{Z} \rangle$  **have**  $q \in \text{range of-int}$  **unfolding** *Ints-def* .  
  **then obtain**  $z$  **where**  $q = \text{of-int } z$  ..  
  **then show** *thesis* ..  
**qed**

**lemma** *Ints-induct* [*case-names of-int, induct set: Ints*]:  
   $q \in \mathbb{Z} \implies (\bigwedge z. P (\text{of-int } z)) \implies P q$   
  **by** (*rule Ints-cases*) *auto*

end

```
lemma Ints-diff [simp]:  $a \in \mathbb{Z} \implies b \in \mathbb{Z} \implies a - b \in \mathbb{Z}$ 
apply (auto simp add: Ints-def)
apply (rule range-eqI)
apply (rule of-int-diff [symmetric])
done
```

The premise involving  $\mathbb{Z}$  prevents  $a = (1::'a) / (2::'a)$ .

```
lemma Ints-double-eq-0-iff:
  assumes in-Ints:  $a \in \text{Ints}$ 
  shows  $(a + a = 0) = (a = (0::'a::\text{ring-char-0}))$ 
proof -
  from in-Ints have  $a \in \text{range of-int}$  unfolding Ints-def [symmetric] .
  then obtain z where  $a = \text{of-int } z$  ..
  show ?thesis
  proof
    assume  $a = 0$ 
    thus  $a + a = 0$  by simp
  next
    assume eq:  $a + a = 0$ 
    hence  $\text{of-int } (z + z) = (\text{of-int } 0 :: 'a)$  by (simp add: a)
    hence  $z + z = 0$  by (simp only: of-int-eq-iff)
    hence  $z = 0$  by (simp only: double-eq-0-iff)
    thus  $a = 0$  by (simp add: a)
  qed
qed
```

```
lemma Ints-odd-nonzero:
  assumes in-Ints:  $a \in \text{Ints}$ 
  shows  $1 + a + a \neq (0::'a::\text{ring-char-0})$ 
proof -
  from in-Ints have  $a \in \text{range of-int}$  unfolding Ints-def [symmetric] .
  then obtain z where  $a = \text{of-int } z$  ..
  show ?thesis
  proof
    assume eq:  $1 + a + a = 0$ 
    hence  $\text{of-int } (1 + z + z) = (\text{of-int } 0 :: 'a)$  by (simp add: a)
    hence  $1 + z + z = 0$  by (simp only: of-int-eq-iff)
    with odd-nonzero show False by blast
  qed
qed
```

```
lemma Ints-number-of:
   $(\text{number-of } w :: 'a::\text{number-ring}) \in \text{Ints}$ 
  unfolding number-of-eq Ints-def by simp
```

```
lemma Ints-odd-less-0:
```

**assumes** *in-Ints*:  $a \in \text{Ints}$   
**shows**  $(1 + a + a < 0) = (a < (0 :: 'a :: \text{ordered-idom}))$   
**proof** –  
**from** *in-Ints* **have**  $a \in \text{range of-int}$  **unfolding** *Ints-def* [*symmetric*] .  
**then obtain**  $z$  **where**  $a = \text{of-int } z$  ..  
**hence**  $((1 :: 'a) + a + a < 0) = (\text{of-int } (1 + z + z) < (\text{of-int } 0 :: 'a))$   
**by** (*simp add: a*)  
**also have**  $\dots = (z < 0)$  **by** (*simp only: of-int-less-iff odd-less-0*)  
**also have**  $\dots = (a < 0)$  **by** (*simp add: a*)  
**finally show** ?thesis .  
**qed**

### 23.19 setsum and setprod

By Jeremy Avigad

**lemma** *of-nat-setsum*:  $\text{of-nat } (\text{setsum } f \ A) = (\sum x \in A. \text{of-nat}(f \ x))$   
**apply** (*cases finite A*)  
**apply** (*erule finite-induct, auto*)  
**done**

**lemma** *of-int-setsum*:  $\text{of-int } (\text{setsum } f \ A) = (\sum x \in A. \text{of-int}(f \ x))$   
**apply** (*cases finite A*)  
**apply** (*erule finite-induct, auto*)  
**done**

**lemma** *of-nat-setprod*:  $\text{of-nat } (\text{setprod } f \ A) = (\prod x \in A. \text{of-nat}(f \ x))$   
**apply** (*cases finite A*)  
**apply** (*erule finite-induct, auto simp add: of-nat-mult*)  
**done**

**lemma** *of-int-setprod*:  $\text{of-int } (\text{setprod } f \ A) = (\prod x \in A. \text{of-int}(f \ x))$   
**apply** (*cases finite A*)  
**apply** (*erule finite-induct, auto*)  
**done**

**lemma** *setprod-nonzero-nat*:  
 $\text{finite } A \implies (\forall x \in A. f \ x \neq (0 :: \text{nat})) \implies \text{setprod } f \ A \neq 0$   
**by** (*rule setprod-nonzero, auto*)

**lemma** *setprod-zero-eq-nat*:  
 $\text{finite } A \implies (\text{setprod } f \ A = (0 :: \text{nat})) = (\exists x \in A. f \ x = 0)$   
**by** (*rule setprod-zero-eq, auto*)

**lemma** *setprod-nonzero-int*:  
 $\text{finite } A \implies (\forall x \in A. f \ x \neq (0 :: \text{int})) \implies \text{setprod } f \ A \neq 0$   
**by** (*rule setprod-nonzero, auto*)

**lemma** *setprod-zero-eq-int*:  
 $\text{finite } A \implies (\text{setprod } f \ A = (0 :: \text{int})) = (\exists x \in A. f \ x = 0)$

**by** (*rule setprod-zero-eq, auto*)

**lemmas** *int-setsum* = *of-nat-setsum* [**where** 'a=int]

**lemmas** *int-setprod* = *of-nat-setprod* [**where** 'a=int]

### 23.20 Inequality Reasoning for the Arithmetic Simproc

**lemma** *add-numeral-0*:  $\text{Numeral0} + a = (a::'a::\text{number-ring})$

**by** *simp*

**lemma** *add-numeral-0-right*:  $a + \text{Numeral0} = (a::'a::\text{number-ring})$

**by** *simp*

**lemma** *mult-numeral-1*:  $\text{Numeral1} * a = (a::'a::\text{number-ring})$

**by** *simp*

**lemma** *mult-numeral-1-right*:  $a * \text{Numeral1} = (a::'a::\text{number-ring})$

**by** *simp*

**lemma** *divide-numeral-1*:  $a / \text{Numeral1} = (a::'a::\{\text{number-ring}, \text{field}\})$

**by** *simp*

**lemma** *inverse-numeral-1*:

*inverse Numeral1* =  $(\text{Numeral1}::'a::\{\text{number-ring}, \text{field}\})$

**by** *simp*

Theorem lists for the cancellation simprocs. The use of binary numerals for 0 and 1 reduces the number of special cases.

**lemmas** *add-0s* = *add-numeral-0 add-numeral-0-right*

**lemmas** *mult-1s* = *mult-numeral-1 mult-numeral-1-right*  
*mult-minus1 mult-minus1-right*

### 23.21 Special Arithmetic Rules for Abstract 0 and 1

Arithmetic computations are defined for binary literals, which leaves 0 and 1 as special cases. Addition already has rules for 0, but not 1. Multiplication and unary minus already have rules for both 0 and 1.

**lemma** *binop-eq*:  $[[f\ x\ y = g\ x\ y; x = x'; y = y']] ==> f\ x'\ y' = g\ x'\ y'$

**by** *simp*

**lemmas** *add-number-of-eq* = *number-of-add* [*symmetric*]

Allow 1 on either or both sides

**lemma** *one-add-one-is-two*:  $1 + 1 = (2::'a::\text{number-ring})$

**by** (*simp del: numeral-1-eq-1 add: numeral-1-eq-1* [*symmetric*] *add-number-of-eq*)

**lemmas** *add-special* =



*one-add-one-is-two*

*binop-eq [of op +, OF add-number-of-eq numeral-1-eq-1 refl, standard]*

*binop-eq [of op +, OF add-number-of-eq refl numeral-1-eq-1, standard]*

Allow 1 on either or both sides (1-1 already simplifies to 0)

**lemmas** *diff-special* =

*binop-eq [of op −, OF diff-number-of-eq numeral-1-eq-1 refl, standard]*

*binop-eq [of op −, OF diff-number-of-eq refl numeral-1-eq-1, standard]*

Allow 0 or 1 on either side with a binary numeral on the other

**lemmas** *eq-special* =

*binop-eq [of op =, OF eq-number-of-eq numeral-0-eq-0 refl, standard]*

*binop-eq [of op =, OF eq-number-of-eq numeral-1-eq-1 refl, standard]*

*binop-eq [of op =, OF eq-number-of-eq refl numeral-0-eq-0, standard]*

*binop-eq [of op =, OF eq-number-of-eq refl numeral-1-eq-1, standard]*

Allow 0 or 1 on either side with a binary numeral on the other

**lemmas** *less-special* =

*binop-eq [of op <, OF less-number-of-eq-neg numeral-0-eq-0 refl, standard]*

*binop-eq [of op <, OF less-number-of-eq-neg numeral-1-eq-1 refl, standard]*

*binop-eq [of op <, OF less-number-of-eq-neg refl numeral-0-eq-0, standard]*

*binop-eq [of op <, OF less-number-of-eq-neg refl numeral-1-eq-1, standard]*

Allow 0 or 1 on either side with a binary numeral on the other

**lemmas** *le-special* =

*binop-eq [of op ≤, OF le-number-of-eq numeral-0-eq-0 refl, standard]*

*binop-eq [of op ≤, OF le-number-of-eq numeral-1-eq-1 refl, standard]*

*binop-eq [of op ≤, OF le-number-of-eq refl numeral-0-eq-0, standard]*

*binop-eq [of op ≤, OF le-number-of-eq refl numeral-1-eq-1, standard]*

**lemmas** *arith-special*[simp] =

*add-special diff-special eq-special less-special le-special*

**lemma** *min-max-01*: *min (0::int) 1 = 0 & min (1::int) 0 = 0 &*

*max (0::int) 1 = 1 & max (1::int) 0 = 1*

**by**(*simp add:min-def max-def*)

**lemmas** *min-max-special*[simp] =

*min-max-01*

*max-def [of 0::int number-of v, standard, simp]*

*min-def [of 0::int number-of v, standard, simp]*

*max-def [of number-of u 0::int, standard, simp]*

*min-def [of number-of u 0::int, standard, simp]*

*max-def [of 1::int number-of v, standard, simp]*

*min-def [of 1::int number-of v, standard, simp]*

*max-def [of number-of u 1::int, standard, simp]*

*min-def [of number-of u 1::int, standard, simp]*

Legacy theorems

```

lemmas zle-int = of-nat-le-iff [where 'a=int]
lemmas int-int-eq = of-nat-eq-iff [where 'a=int]

use ~/src/Provers/Arith/assoc-fold.ML
use int-arith1.ML
declaration << K int-arith-setup >>

```

### 23.22 Lemmas About Small Numerals

```

lemma of-int-m1 [simp]: of-int -1 = (-1 :: 'a :: number-ring)
proof -
  have (of-int -1 :: 'a) = of-int (- 1) by simp
  also have ... = - of-int 1 by (simp only: of-int-minus)
  also have ... = -1 by simp
  finally show ?thesis .
qed

lemma abs-minus-one [simp]: abs (-1) = (1::'a::{ordered-idom,number-ring})
by (simp add: abs-if)

lemma abs-power-minus-one [simp]:
  abs(-1 ^ n) = (1::'a::{ordered-idom,number-ring,recpower})
by (simp add: power-abs)

lemma of-int-number-of-eq:
  of-int (number-of v) = (number-of v :: 'a :: number-ring)
by (simp add: number-of-eq)

```

Lemmas for specialist use, NOT as default simprules

```

lemma mult-2: 2 * z = (z+z::'a::number-ring)
proof -
  have 2*z = (1 + 1)*z by simp
  also have ... = z+z by (simp add: left-distrib)
  finally show ?thesis .
qed

lemma mult-2-right: z * 2 = (z+z::'a::number-ring)
by (subst mult-commute, rule mult-2)

```

### 23.23 More Inequality Reasoning

```

lemma zless-add1-eq: (w < z + (1::int)) = (w<z | w=z)
by arith

lemma add1-zle-eq: (w + (1::int) ≤ z) = (w<z)
by arith

lemma zle-diff1-eq [simp]: (w ≤ z - (1::int)) = (w<z)

```

by *arith*

**lemma** *zle-add1-eq-le* [*simp*]:  $(w < z + (1::int)) = (w \leq z)$   
by *arith*

**lemma** *int-one-le-iff-zero-less*:  $((1::int) \leq z) = (0 < z)$   
by *arith*

### 23.24 The Functions *nat* and *int*

Simplify the terms *int*  $(0::'a)$ , *int*  $(\text{Suc } 0)$  and  $w + - z$

**declare** *Zero-int-def* [*symmetric, simp*]

**declare** *One-int-def* [*symmetric, simp*]

**lemmas** *diff-int-def-symmetric* = *diff-int-def* [*symmetric, simp*]

**lemma** *nat-0*:  $\text{nat } 0 = 0$   
by (*simp add: nat-eq-iff*)

**lemma** *nat-1*:  $\text{nat } 1 = \text{Suc } 0$   
by (*subst nat-eq-iff, simp*)

**lemma** *nat-2*:  $\text{nat } 2 = \text{Suc } (\text{Suc } 0)$   
by (*subst nat-eq-iff, simp*)

**lemma** *one-less-nat-eq* [*simp*]:  $(\text{Suc } 0 < \text{nat } z) = (1 < z)$   
**apply** (*insert zless-nat-conj [of 1 z]*)  
**apply** (*auto simp add: nat-1*)  
**done**

This simplifies expressions of the form *int*  $n = z$  where  $z$  is an integer literal.

**lemmas** *int-eq-iff-number-of* [*simp*] = *int-eq-iff* [*of - number-of v, standard*]

**lemma** *split-nat* [*arith-split*]:

$P(\text{nat}(i::int)) = ((\forall n. i = \text{of\_nat } n \longrightarrow P n) \ \& \ (i < 0 \longrightarrow P 0))$   
(**is**  $?P = (?L \ \& \ ?R)$ )

**proof** (*cases i < 0*)

case *True* **thus** *?thesis* **by** *auto*

**next**

case *False*

**have**  $?P = ?L$

**proof**

**assume**  $?P$  **thus**  $?L$  **using** *False* **by** *clarsimp*

**next**

**assume**  $?L$  **thus**  $?P$  **using** *False* **by** *simp*

**qed**

**with** *False* **show** *?thesis* **by** *simp*

**qed**

**context** *ring-1*  
**begin**

**lemma** *of-int-of-nat*:

*of-int*  $k = (\text{if } k < 0 \text{ then } - \text{ of-nat } (\text{nat } (- k)) \text{ else } \text{of-nat } (\text{nat } k))$

**proof** (*cases*  $k < 0$ )

**case** *True* **then have**  $0 \leq -k$  **by** *simp*

**then have**  $\text{of-nat } (\text{nat } (-k)) = \text{of-int } (-k)$  **by** (*rule of-nat-nat*)

**with** *True* **show** *?thesis* **by** *simp*

**next**

**case** *False* **then show** *?thesis* **by** (*simp add: not-less of-nat-nat*)

**qed**

**end**

**lemma** *nat-mult-distrib*:

**fixes**  $z \ z' :: \text{int}$

**assumes**  $0 \leq z$

**shows**  $\text{nat } (z * z') = \text{nat } z * \text{nat } z'$

**proof** (*cases*  $0 \leq z'$ )

**case** *False* **with** *assms* **have**  $z * z' \leq 0$

**by** (*simp add: not-le mult-le-0-iff*)

**then have**  $\text{nat } (z * z') = 0$  **by** *simp*

**moreover from** *False* **have**  $\text{nat } z' = 0$  **by** *simp*

**ultimately show** *?thesis* **by** *simp*

**next**

**case** *True* **with** *assms* **have**  $ge-0: z * z' \geq 0$  **by** (*simp add: zero-le-mult-iff*)

**show** *?thesis*

**by** (*rule injD [of of-nat :: nat  $\Rightarrow$  int, OF inj-of-nat]*)

(*simp only: of-nat-mult of-nat-nat [OF True]*)

*of-nat-nat [OF assms] of-nat-nat [OF ge-0], simp*)

**qed**

**lemma** *nat-mult-distrib-neg*:  $z \leq (0::\text{int}) \implies \text{nat}(z * z') = \text{nat}(-z) * \text{nat}(-z')$

**apply** (*rule trans*)

**apply** (*rule-tac [2] nat-mult-distrib, auto*)

**done**

**lemma** *nat-abs-mult-distrib*:  $\text{nat } (\text{abs } (w * z)) = \text{nat } (\text{abs } w) * \text{nat } (\text{abs } z)$

**apply** (*cases*  $z=0 \mid w=0$ )

**apply** (*auto simp add: abs-if nat-mult-distrib [symmetric]*)

*nat-mult-distrib-neg [symmetric] mult-less-0-iff*)

**done**

## 23.25 Induction principles for int

Well-founded segments of the integers

**definition**

*int-ge-less-than*  $:: \text{int} \Rightarrow (\text{int} * \text{int}) \text{ set}$

where

$$\text{int-ge-less-than } d = \{(z', z). d \leq z' \ \& \ z' < z\}$$

**theorem** *wf-int-ge-less-than*: wf (int-ge-less-than d)

**proof** –

have int-ge-less-than d  $\subseteq$  measure (%z. nat (z-d))

by (auto simp add: int-ge-less-than-def)

thus ?thesis

by (rule wf-subset [OF wf-measure])

qed

This variant looks odd, but is typical of the relations suggested by Rank-Finder.

**definition**

$$\text{int-ge-less-than2} :: \text{int} \Rightarrow (\text{int} * \text{int}) \text{ set}$$

where

$$\text{int-ge-less-than2 } d = \{(z', z). d \leq z \ \& \ z' < z\}$$

**theorem** *wf-int-ge-less-than2*: wf (int-ge-less-than2 d)

**proof** –

have int-ge-less-than2 d  $\subseteq$  measure (%z. nat (1+z-d))

by (auto simp add: int-ge-less-than2-def)

thus ?thesis

by (rule wf-subset [OF wf-measure])

qed

**abbreviation**

$$\text{int} :: \text{nat} \Rightarrow \text{int}$$

where

$$\text{int} \equiv \text{of-nat}$$

**theorem** *int-ge-induct* [case-names base step, induct set: int]:

fixes i :: int

assumes ge:  $k \leq i$  and

base:  $P \ k$  and

step:  $\bigwedge i. k \leq i \implies P \ i \implies P \ (i + 1)$

shows  $P \ i$

**proof** –

{ fix n have  $\bigwedge i::\text{int}. n = \text{nat}(i-k) \implies k \leq i \implies P \ i$

proof (induct n)

case 0

hence  $i = k$  by arith

thus  $P \ i$  using base by simp

next

case (Suc n)

then have  $n = \text{nat}((i - 1) - k)$  by arith

moreover

have  $ki1: k \leq i - 1$  using Suc.prem by arith

```

    ultimately
    have  $P(i - 1)$  by (rule Suc.hyps)
    from step[OF ki1 this] show ?case by simp
  qed
}
with ge show ?thesis by fast
qed

```

```

theorem int-gr-induct [case-names base step, induct set: int]:
  assumes gr:  $k < (i::int)$  and
    base:  $P(k+1)$  and
    step:  $\bigwedge i. \llbracket k < i; P\ i \rrbracket \implies P(i+1)$ 
  shows  $P\ i$ 
apply (rule int-ge-induct[of  $k + 1$ ])
  using gr apply arith
  apply (rule base)
  apply (rule step, simp+)
done

```

```

theorem int-le-induct [consumes 1, case-names base step]:
  assumes le:  $i \leq (k::int)$  and
    base:  $P(k)$  and
    step:  $\bigwedge i. \llbracket i \leq k; P\ i \rrbracket \implies P(i - 1)$ 
  shows  $P\ i$ 
proof -
  { fix n have  $\bigwedge i::int. n = \text{nat}(k-i) \implies i \leq k \implies P\ i$ 
    proof (induct n)
      case 0
      hence  $i = k$  by arith
      thus  $P\ i$  using base by simp
    next
      case (Suc n)
      hence  $n = \text{nat}(k - (i+1))$  by arith
      moreover
      have ki1:  $i + 1 \leq k$  using Suc.prems by arith
      ultimately
      have  $P(i+1)$  by (rule Suc.hyps)
      from step[OF ki1 this] show ?case by simp
    qed
  }
  with le show ?thesis by fast
qed

```

```

theorem int-less-induct [consumes 1, case-names base step]:
  assumes less:  $(i::int) < k$  and
    base:  $P(k - 1)$  and
    step:  $\bigwedge i. \llbracket i < k; P\ i \rrbracket \implies P(i - 1)$ 
  shows  $P\ i$ 

```

```

apply(rule int-le-induct[of - k - 1])
  using less apply arith
  apply(rule base)
apply (rule step, simp+)
done

```

### 23.26 Intermediate value theorems

```

lemma int-val-lemma:
  ( $\forall i < n :: \text{nat}. \text{abs}(f(i+1) - f\ i) \leq 1$ )  $\longrightarrow$ 
   $f\ 0 \leq k \longrightarrow k \leq f\ n \longrightarrow (\exists i \leq n. f\ i = (k :: \text{int}))$ 
apply (induct-tac n, simp)
apply (intro strip)
apply (erule impE, simp)
apply (erule-tac  $x = n$  in allE, simp)
apply (case-tac  $k = f\ (n+1)$  )
  apply force
apply (erule impE)
  apply (simp add: abs-if split add: split-if-asm)
apply (blast intro: le-SucI)
done

```

**lemmas** *nat0-intermed-int-val* = *int-val-lemma* [*rule-format* (*no-asm*)]

```

lemma nat-intermed-int-val:
  [ $\forall i. m \leq i \ \& \ i < n \longrightarrow \text{abs}(f(i+1) - f\ i) \leq 1; m < n;$ 
   $f\ m \leq k; k \leq f\ n$  ]  $\implies ? i. m \leq i \ \& \ i \leq n \ \& \ f\ i = (k :: \text{int})$ 
apply (cut-tac  $n = n - m$  and  $f = \%i. f\ (i+m)$  and  $k = k$ 
  in int-val-lemma)
apply simp
apply (erule exE)
apply (rule-tac  $x = i+m$  in exI, arith)
done

```

### 23.27 Products and 1, by T. M. Rasmussen

```

lemma zabs-less-one-iff [simp]: ( $|z| < 1$ ) = ( $z = (0 :: \text{int})$ )
by arith

```

```

lemma abs-zmult-eq-1: ( $|m * n| = 1$ )  $\implies |m| = (1 :: \text{int})$ 
apply (cases  $|n|=1$ )
apply (simp add: abs-mult)
apply (rule ccontr)
apply (auto simp add: linorder-neq-iff abs-mult)
apply (subgoal-tac  $2 \leq |m| \ \& \ 2 \leq |n|$ )
  prefer 2 apply arith
apply (subgoal-tac  $2*2 \leq |m| * |n|$ , simp)
apply (rule mult-mono, auto)
done

```

**lemma** *pos-zmult-eq-1-iff-lemma*:  $(m * n = 1) ==> m = (1::int) \mid m = -1$   
**by** (*insert abs-zmult-eq-1 [of m n], arith*)

**lemma** *pos-zmult-eq-1-iff*:  $0 < (m::int) ==> (m * n = 1) = (m = 1 \ \& \ n = 1)$   
**apply** (*auto dest: pos-zmult-eq-1-iff-lemma*)  
**apply** (*simp add: mult-commute [of m]*)  
**apply** (*frule pos-zmult-eq-1-iff-lemma, auto*)  
**done**

**lemma** *zmult-eq-1-iff*:  $(m*n = (1::int)) = ((m = 1 \ \& \ n = 1) \mid (m = -1 \ \& \ n = -1))$   
**apply** (*rule iffI*)  
**apply** (*frule pos-zmult-eq-1-iff-lemma*)  
**apply** (*simp add: mult-commute [of m]*)  
**apply** (*frule pos-zmult-eq-1-iff-lemma, auto*)  
**done**

**lemma** *infinite-UNIV-int*:  $\sim \text{finite}(\text{UNIV}::\text{int set})$   
**proof**  
**assume** *finite(UNIV::int set)*  
**moreover have**  $\sim (EX \ i::\text{int}. 2*i = 1)$   
**by** (*auto simp: pos-zmult-eq-1-iff*)  
**ultimately show** *False using finite-UNIV-inj-surj[of %n::int. n+n]*  
**by** (*simp add:inj-on-def surj-def*) (*blast intro:sym*)  
**qed**

## 23.28 Integer Powers

**instantiation** *int :: recpower*  
**begin**

**primrec** *power-int* **where**  
 $p \wedge 0 = (1::int)$   
 $\mid p \wedge (\text{Suc } n) = (p::int) * (p \wedge n)$

**instance** **proof**  
**fix** *z :: int*  
**fix** *n :: nat*  
**show**  $z \wedge 0 = 1$  **by** *simp*  
**show**  $z \wedge \text{Suc } n = z * (z \wedge n)$  **by** *simp*  
**qed**

**end**

**lemma** *zpower-zadd-distrib*:  $x \wedge (y + z) = ((x \wedge y) * (x \wedge z)::int)$   
**by** (*rule Power.power-add*)

**lemma** *zpower-zpower*:  $(x \wedge y) \wedge z = (x \wedge (y * z)::int)$



```

by (rule Power.power-mult [symmetric])

lemma zero-less-zpower-abs-iff [simp]:
  (0 < abs x ^ n) ⟷ (x ≠ (0::int) | n = 0)
by (induct n) (auto simp add: zero-less-mult-iff)

lemma zero-le-zpower-abs [simp]: (0::int) ≤ abs x ^ n
by (induct n) (auto simp add: zero-le-mult-iff)

lemma of-int-power:
  of-int (z ^ n) = (of-int z ^ n :: 'a::{recpower, ring-1})
by (induct n) (simp-all add: power-Suc)

lemma int-power: int (m ^ n) = (int m) ^ n
by (rule of-nat-power)

lemmas zpower-int = int-power [symmetric]

23.29 Configuration of the code generator

code-datatype Pls Min Bit0 Bit1 number-of :: int ⇒ int

lemmas pred-succ-numeral-code [code func] =
  pred-bin-simps succ-bin-simps

lemmas plus-numeral-code [code func] =
  add-bin-simps
  arith-extra-simps(1) [where 'a = int]

lemmas minus-numeral-code [code func] =
  minus-bin-simps
  arith-extra-simps(2) [where 'a = int]
  arith-extra-simps(5) [where 'a = int]

lemmas times-numeral-code [code func] =
  mult-bin-simps
  arith-extra-simps(4) [where 'a = int]

instantiation int :: eq
begin

definition [code func del]: eq-class.eq k l ⟷ k - l = (0::int)

instance by default (simp add: eq-int-def)

end

lemma eq-number-of-int-code [code func]:
  eq-class.eq (number-of k :: int) (number-of l) ⟷ eq-class.eq k l

```

**unfolding** *eq-int-def number-of-is-id ..*

**lemma** *eq-int-code* [code func]:

$eq\_class.eq\ Int.Pls\ Int.Pls \longleftrightarrow True$   
 $eq\_class.eq\ Int.Pls\ Int.Min \longleftrightarrow False$   
 $eq\_class.eq\ Int.Pls\ (Int.Bit0\ k2) \longleftrightarrow eq\_class.eq\ Int.Pls\ k2$   
 $eq\_class.eq\ Int.Pls\ (Int.Bit1\ k2) \longleftrightarrow False$   
 $eq\_class.eq\ Int.Min\ Int.Pls \longleftrightarrow False$   
 $eq\_class.eq\ Int.Min\ Int.Min \longleftrightarrow True$   
 $eq\_class.eq\ Int.Min\ (Int.Bit0\ k2) \longleftrightarrow False$   
 $eq\_class.eq\ Int.Min\ (Int.Bit1\ k2) \longleftrightarrow eq\_class.eq\ Int.Min\ k2$   
 $eq\_class.eq\ (Int.Bit0\ k1)\ Int.Pls \longleftrightarrow eq\_class.eq\ Int.Pls\ k1$   
 $eq\_class.eq\ (Int.Bit1\ k1)\ Int.Pls \longleftrightarrow False$   
 $eq\_class.eq\ (Int.Bit0\ k1)\ Int.Min \longleftrightarrow False$   
 $eq\_class.eq\ (Int.Bit1\ k1)\ Int.Min \longleftrightarrow eq\_class.eq\ Int.Min\ k1$   
 $eq\_class.eq\ (Int.Bit0\ k1)\ (Int.Bit0\ k2) \longleftrightarrow eq\_class.eq\ k1\ k2$   
 $eq\_class.eq\ (Int.Bit0\ k1)\ (Int.Bit1\ k2) \longleftrightarrow False$   
 $eq\_class.eq\ (Int.Bit1\ k1)\ (Int.Bit0\ k2) \longleftrightarrow False$   
 $eq\_class.eq\ (Int.Bit1\ k1)\ (Int.Bit1\ k2) \longleftrightarrow eq\_class.eq\ k1\ k2$

**unfolding** *eq-number-of-int-code* [symmetric, of Int.Pls]

$eq\_number-of-int-code\ [symmetric,\ of\ Int.Min]$   
 $eq\_number-of-int-code\ [symmetric,\ of\ Int.Bit0\ k1]$   
 $eq\_number-of-int-code\ [symmetric,\ of\ Int.Bit1\ k1]$   
 $eq\_number-of-int-code\ [symmetric,\ of\ Int.Bit0\ k2]$   
 $eq\_number-of-int-code\ [symmetric,\ of\ Int.Bit1\ k2]$

**by** (*simp-all add: eq Pls-def*,  
*simp-all only: Min-def succ-def pred-def number-of-is-id*)  
*(auto simp add: iszero-def)*

**lemma** *less-eq-number-of-int-code* [code func]:

$(number-of\ k :: int) \leq number-of\ l \longleftrightarrow k \leq l$

**unfolding** *number-of-is-id ..*

**lemma** *less-eq-int-code* [code func]:

$Int.Pls \leq Int.Pls \longleftrightarrow True$   
 $Int.Pls \leq Int.Min \longleftrightarrow False$   
 $Int.Pls \leq Int.Bit0\ k \longleftrightarrow Int.Pls \leq k$   
 $Int.Pls \leq Int.Bit1\ k \longleftrightarrow Int.Pls \leq k$   
 $Int.Min \leq Int.Pls \longleftrightarrow True$   
 $Int.Min \leq Int.Min \longleftrightarrow True$   
 $Int.Min \leq Int.Bit0\ k \longleftrightarrow Int.Min < k$   
 $Int.Min \leq Int.Bit1\ k \longleftrightarrow Int.Min \leq k$   
 $Int.Bit0\ k \leq Int.Pls \longleftrightarrow k \leq Int.Pls$   
 $Int.Bit1\ k \leq Int.Pls \longleftrightarrow k < Int.Pls$   
 $Int.Bit0\ k \leq Int.Min \longleftrightarrow k \leq Int.Min$   
 $Int.Bit1\ k \leq Int.Min \longleftrightarrow k \leq Int.Min$   
 $Int.Bit0\ k1 \leq Int.Bit0\ k2 \longleftrightarrow k1 \leq k2$   
 $Int.Bit0\ k1 \leq Int.Bit1\ k2 \longleftrightarrow k1 \leq k2$   
 $Int.Bit1\ k1 \leq Int.Bit0\ k2 \longleftrightarrow k1 < k2$

$Int.Bit1\ k1 \leq Int.Bit1\ k2 \iff k1 \leq k2$

**unfolding** *less-eq-number-of-int-code* [*symmetric*, of *Int.Pls*]

*less-eq-number-of-int-code* [*symmetric*, of *Int.Min*]

*less-eq-number-of-int-code* [*symmetric*, of *Int.Bit0 k1*]

*less-eq-number-of-int-code* [*symmetric*, of *Int.Bit1 k1*]

*less-eq-number-of-int-code* [*symmetric*, of *Int.Bit0 k2*]

*less-eq-number-of-int-code* [*symmetric*, of *Int.Bit1 k2*]

**by** (*simp-all add: Pls-def*, *simp-all only: Min-def succ-def pred-def number-of-is-id*)

(*auto simp add: neg-def linorder-not-less group-simps*

*zle-add1-eq-le* [*symmetric*] *del: iffI* , *auto simp only: Bit0-def Bit1-def*)

**lemma** *less-number-of-int-code* [*code func*]:

(*number-of k :: int*) < *number-of l*  $\iff k < l$

**unfolding** *number-of-is-id* ..

**lemma** *less-int-code* [*code func*]:

*Int.Pls* < *Int.Pls*  $\iff$  *False*

*Int.Pls* < *Int.Min*  $\iff$  *False*

*Int.Pls* < *Int.Bit0 k*  $\iff$  *Int.Pls* < *k*

*Int.Pls* < *Int.Bit1 k*  $\iff$  *Int.Pls*  $\leq$  *k*

*Int.Min* < *Int.Pls*  $\iff$  *True*

*Int.Min* < *Int.Min*  $\iff$  *False*

*Int.Min* < *Int.Bit0 k*  $\iff$  *Int.Min* < *k*

*Int.Min* < *Int.Bit1 k*  $\iff$  *Int.Min* < *k*

*Int.Bit0 k* < *Int.Pls*  $\iff$  *k* < *Int.Pls*

*Int.Bit1 k* < *Int.Pls*  $\iff$  *k* < *Int.Pls*

*Int.Bit0 k* < *Int.Min*  $\iff$  *k*  $\leq$  *Int.Min*

*Int.Bit1 k* < *Int.Min*  $\iff$  *k* < *Int.Min*

*Int.Bit0 k1* < *Int.Bit0 k2*  $\iff$  *k1* < *k2*

*Int.Bit0 k1* < *Int.Bit1 k2*  $\iff$  *k1*  $\leq$  *k2*

*Int.Bit1 k1* < *Int.Bit0 k2*  $\iff$  *k1* < *k2*

*Int.Bit1 k1* < *Int.Bit1 k2*  $\iff$  *k1* < *k2*

**unfolding** *less-number-of-int-code* [*symmetric*, of *Int.Pls*]

*less-number-of-int-code* [*symmetric*, of *Int.Min*]

*less-number-of-int-code* [*symmetric*, of *Int.Bit0 k1*]

*less-number-of-int-code* [*symmetric*, of *Int.Bit1 k1*]

*less-number-of-int-code* [*symmetric*, of *Int.Bit0 k2*]

*less-number-of-int-code* [*symmetric*, of *Int.Bit1 k2*]

**by** (*simp-all add: Pls-def*, *simp-all only: Min-def succ-def pred-def number-of-is-id*)

(*auto simp add: neg-def group-simps zle-add1-eq-le* [*symmetric*] *del: iffI*,

*auto simp only: Bit0-def Bit1-def*)

**definition**

*int-aux* :: *nat*  $\Rightarrow$  *int*  $\Rightarrow$  *int* **where**

[*code func del*]: *int-aux* = *of-nat-aux*

**lemmas** *int-aux-code* = *of-nat-aux-code* [**where** *?a* = *int*, *simplified int-aux-def*  
[*symmetric*], *code*]

```

lemma [code, code unfold, code inline del]:
  of-nat n = int-aux n 0
  by (simp add: int-aux-def of-nat-aux-def)

```

**definition**

```

nat-aux :: int ⇒ nat ⇒ nat where
nat-aux i n = nat i + n

```

```

lemma [code]:
  nat-aux i n = (if i ≤ 0 then n else nat-aux (i - 1) (Suc n)) — tail recursive
  by (auto simp add: nat-aux-def nat-eq-iff linorder-not-le order-less-imp-le
    dest: zless-imp-add1-zle)

```

```

lemma [code]: nat i = nat-aux i 0
  by (simp add: nat-aux-def)

```

```

hide (open) const int-aux nat-aux

```

```

lemma zero-is-num-zero [code func, code inline, symmetric, code post]:
  (0::int) = Numeral0
  by simp

```

```

lemma one-is-num-one [code func, code inline, symmetric, code post]:
  (1::int) = Numeral1
  by simp

```

```

code-modulename SML
  Int Integer

```

```

code-modulename OCaml
  Int Integer

```

```

code-modulename Haskell
  Int Integer

```

```

types-code
  int (int)
attach (term-of) ⟨⟨
  val term-of-int = HOLogic.mk-number HOLogic.intT;
  ⟩⟩
attach (test) ⟨⟨
  fun gen-int i =
    let val j = one-of [~1, 1] * random-range 0 i
    in (j, fn () => term-of-int j) end;
  ⟩⟩

```

```

setup ⟨⟨
  let

```

```

fun strip-number-of (@{term Int.number-of :: int => int} $ t) = t
  | strip-number-of t = t;

fun numeral-codegen thy defs gr dep module b t =
  let val i = HOLogic.dest-numeral (strip-number-of t)
  in
    SOME (fst (Codegen.invoke-tycodegen thy defs dep module false (gr, HO-
Logic.intT)),
      Codegen.str (string-of-int i))
  end handle TERM - => NONE;

in

Codegen.add-codegen numeral-codegen numeral-codegen

end
>>

consts-code
  number-of :: int => int    ((-))
  0 :: int                (0)
  1 :: int                (1)
  uminus :: int => int      (~)
  op + :: int => int => int  ((- +/ -))
  op * :: int => int => int  ((- */ -))
  op ≤ :: int => int => bool ((- <= / -))
  op < :: int => int => bool ((- < / -))

quickcheck-params [default-type = int]

hide (open) const Pls Min Bit0 Bit1 succ pred

23.30 Legacy theorems

lemmas zminus-zminus = minus-minus [of z::int, standard]
lemmas zminus-0 = minus-zero [where 'a=int]
lemmas zminus-zadd-distrib = minus-add-distrib [of z::int w, standard]
lemmas zadd-commute = add-commute [of z::int w, standard]
lemmas zadd-assoc = add-assoc [of z1::int z2 z3, standard]
lemmas zadd-left-commute = add-left-commute [of x::int y z, standard]
lemmas zadd-ac = zadd-assoc zadd-commute zadd-left-commute
lemmas zmult-ac = OrderedGroup.mult-ac
lemmas zadd-0 = OrderedGroup.add-0-left [of z::int, standard]
lemmas zadd-0-right = OrderedGroup.add-0-left [of z::int, standard]
lemmas zadd-zminus-inverse2 = left-minus [of z::int, standard]
lemmas zmult-zminus = mult-minus-left [of z::int w, standard]
lemmas zmult-commute = mult-commute [of z::int w, standard]
lemmas zmult-assoc = mult-assoc [of z1::int z2 z3, standard]
lemmas zadd-zmult-distrib = left-distrib [of z1::int z2 w, standard]

```

```

lemmas zadd-zmult-distrib2 = right-distrib [of w::int z1 z2, standard]
lemmas zdiff-zmult-distrib = left-diff-distrib [of z1::int z2 w, standard]
lemmas zdiff-zmult-distrib2 = right-diff-distrib [of w::int z1 z2, standard]

lemmas zmult-1 = mult-1-left [of z::int, standard]
lemmas zmult-1-right = mult-1-right [of z::int, standard]

lemmas zle-refl = order-refl [of w::int, standard]
lemmas zle-trans = order-trans [where 'a=int and x=i and y=j and z=k,
standard]
lemmas zle-anti-sym = order-antisym [of z::int w, standard]
lemmas zle-linear = linorder-linear [of z::int w, standard]
lemmas zless-linear = linorder-less-linear [where 'a = int]

lemmas zadd-left-mono = add-left-mono [of i::int j k, standard]
lemmas zadd-strict-right-mono = add-strict-right-mono [of i::int j k, standard]
lemmas zadd-zless-mono = add-less-le-mono [of w'::int w z' z, standard]

lemmas int-0-less-1 = zero-less-one [where 'a=int]
lemmas int-0-neq-1 = zero-neq-one [where 'a=int]

lemmas inj-int = inj-of-nat [where 'a=int]
lemmas zadd-int = of-nat-add [where 'a=int, symmetric]
lemmas int-mult = of-nat-mult [where 'a=int]
lemmas zmult-int = of-nat-mult [where 'a=int, symmetric]
lemmas int-eq-0-conv = of-nat-eq-0-iff [where 'a=int and m=n, standard]
lemmas zless-int = of-nat-less-iff [where 'a=int]
lemmas int-less-0-conv = of-nat-less-0-iff [where 'a=int and m=k, standard]
lemmas zero-less-int-conv = of-nat-0-less-iff [where 'a=int]
lemmas zero-zle-int = of-nat-0-le-iff [where 'a=int]
lemmas int-le-0-conv = of-nat-le-0-iff [where 'a=int and m=n, standard]
lemmas int-0 = of-nat-0 [where 'a=int]
lemmas int-1 = of-nat-1 [where 'a=int]
lemmas int-Suc = of-nat-Suc [where 'a=int]
lemmas abs-int-eq = abs-of-nat [where 'a=int and n=m, standard]
lemmas of-int-int-eq = of-int-of-nat-eq [where 'a=int]
lemmas zdiff-int = of-nat-diff [where 'a=int, symmetric]
lemmas zless-le = less-int-def
lemmas int-eq-of-nat = TrueI

end

```

## 24 FunDef: General recursive function definitions

```

theory FunDef
imports Wellfounded
uses
  (Tools/function-package/fundef-lib.ML)

```

```

(Tools/function-package/fundef-common.ML)
(Tools/function-package/inductive-wrap.ML)
(Tools/function-package/context-tree.ML)
(Tools/function-package/fundef-core.ML)
(Tools/function-package/sum-tree.ML)
(Tools/function-package/mutual.ML)
(Tools/function-package/pattern-split.ML)
(Tools/function-package/fundef-package.ML)
(Tools/function-package/auto-term.ML)
(Tools/function-package/induction-scheme.ML)
(Tools/function-package/measure-functions.ML)
(Tools/function-package/lexicographic-order.ML)
(Tools/function-package/fundef-datatype.ML)
begin

```

Definitions with default value.

**definition**

```

THE-default :: 'a ⇒ ('a ⇒ bool) ⇒ 'a where
THE-default d P = (if (∃!x. P x) then (THE x. P x) else d)

```

**lemma** *THE-defaultI'*:  $\exists!x. P x \implies P \text{ (THE-default } d \text{ } P)$   
**by** (*simp add: theI' THE-default-def*)

**lemma** *THE-default1-equality*:

```

[[∃!x. P x; P a]] ⇒ THE-default d P = a
by (simp add: the1-equality THE-default-def)

```

**lemma** *THE-default-none*:

```

¬(∃!x. P x) ⇒ THE-default d P = d
by (simp add: THE-default-def)

```

**lemma** *fundef-ex1-existence*:

```

assumes f-def: f == (λx::'a. THE-default (d x) (λy. G x y))
assumes ex1: ∃!y. G x y
shows G x (f x)
apply (simp only: f-def)
apply (rule THE-defaultI')
apply (rule ex1)
done

```

**lemma** *fundef-ex1-uniqueness*:

```

assumes f-def: f == (λx::'a. THE-default (d x) (λy. G x y))
assumes ex1: ∃!y. G x y
assumes elm: G x (h x)
shows h x = f x
apply (simp only: f-def)
apply (rule THE-default1-equality [symmetric])
apply (rule ex1)

```

```

apply (rule elm)
done

lemma fundef-ex1-iff:
  assumes f-def:  $f == (\lambda x::'a. \text{THE-default } (d \ x) (\lambda y. G \ x \ y))$ 
  assumes ex1:  $\exists! y. G \ x \ y$ 
  shows  $(G \ x \ y) = (f \ x = y)$ 
  apply (auto simp:ex1 f-def THE-default1-equality)
  apply (rule THE-defaultI')
  apply (rule ex1)
  done

lemma fundef-default-value:
  assumes f-def:  $f == (\lambda x::'a. \text{THE-default } (d \ x) (\lambda y. G \ x \ y))$ 
  assumes graph:  $\bigwedge x \ y. G \ x \ y \implies D \ x$ 
  assumes  $\neg D \ x$ 
  shows  $f \ x = d \ x$ 
proof -
  have  $\neg(\exists y. G \ x \ y)$ 
  proof
    assume  $\exists y. G \ x \ y$ 
    hence  $D \ x$  using graph ..
    with  $\neg D \ x$  show False ..
  qed
  hence  $\neg(\exists! y. G \ x \ y)$  by blast

  thus ?thesis
    unfolding f-def
    by (rule THE-default-none)
qed

definition in-rel-def[simp]:
   $\text{in-rel } R \ x \ y == (x, y) \in R$ 

lemma wf-in-rel:
   $\text{wf } R \implies \text{wfP } (\text{in-rel } R)$ 
  by (simp add: wfP-def)

inductive is-measure ::  $('a \Rightarrow \text{nat}) \Rightarrow \text{bool}$ 
where is-measure-trivial: is-measure f

use Tools/function-package/fundef-lib.ML
use Tools/function-package/fundef-common.ML
use Tools/function-package/inductive-wrap.ML
use Tools/function-package/context-tree.ML
use Tools/function-package/fundef-core.ML
use Tools/function-package/sum-tree.ML
use Tools/function-package/mutual.ML
use Tools/function-package/pattern-split.ML

```



```

use Tools/function-package/auto-term.ML
use Tools/function-package/fundef-package.ML
use Tools/function-package/induction-scheme.ML
use Tools/function-package/measure-functions.ML
use Tools/function-package/lexicographic-order.ML
use Tools/function-package/fundef-datatype.ML

setup ⟨⟨
  FundefPackage.setup
  #> InductionScheme.setup
  #> MeasureFunctions.setup
  #> LexicographicOrder.setup
  #> FundefDatatype.setup
  ⟩⟩

lemma let-cong [fundef-cong]:
   $M = N \implies (\bigwedge x. x = N \implies f\ x = g\ x) \implies \text{Let } M\ f = \text{Let } N\ g$ 
  unfolding Let-def by blast

lemmas [fundef-cong] =
  if-cong image-cong INT-cong UN-cong
  bex-cong ball-cong imp-cong

lemma split-cong [fundef-cong]:
   $(\bigwedge x\ y. (x, y) = q \implies f\ x\ y = g\ x\ y) \implies p = q$ 
   $\implies \text{split } f\ p = \text{split } g\ q$ 
  by (auto simp: split-def)

lemma comp-cong [fundef-cong]:
   $f\ (g\ x) = f'\ (g'\ x') \implies (f\ o\ g)\ x = (f'\ o\ g')\ x'$ 
  unfolding o-apply .

```

## 24.1 Setup for termination proofs

Rules for generating measure functions

```

lemma [measure-function]: is-measure size
by (rule is-measure-trivial)

```

```

lemma [measure-function]: is-measure f  $\implies$  is-measure ( $\lambda p. f\ (\text{fst } p)$ )
by (rule is-measure-trivial)

```

```

lemma [measure-function]: is-measure f  $\implies$  is-measure ( $\lambda p. f\ (\text{snd } p)$ )
by (rule is-measure-trivial)

```

```

lemma termination-basic-simps[termination-simp]:
   $x < (y::nat) \implies x < y + z$ 
   $x < z \implies x < y + z$ 
   $x \leq y \implies x \leq y + (z::nat)$ 
   $x \leq z \implies x \leq y + (z::nat)$ 
   $x < y \implies x \leq (y::nat)$ 

```

```

by arith+

declare le-imp-less-Suc[termination-simp]

lemma prod-size-simp[termination-simp]:
  prod-size f g p = f (fst p) + g (snd p) + Suc 0
by (induct p) auto

end

```

## 25 IntDiv: The Division Operators *div* and *mod*; the Divides Relation *dvd*

```

theory IntDiv
imports Int Divides FunDef
begin

constdefs
  quorem :: (int*int) * (int*int) => bool
    — definition of quotient and remainder
    [code func]: quorem == %((a,b), (q,r)).
                  a = b*q + r &
                  (if 0 < b then 0 ≤ r & r < b else b < r & r ≤ 0)

  adjust :: [int, int*int] => int*int
    — for the division algorithm
    [code func]: adjust b == %(q,r). if 0 ≤ r-b then (2*q + 1, r-b)
                  else (2*q, r)

  algorithm for the case a ≥ 0, b > 0

  function
    posDivAlg :: int ⇒ int ⇒ int × int
  where
    posDivAlg a b =
      (if (a < b | b ≤ 0) then (0,a)
       else adjust b (posDivAlg a (2*b)))
  by auto
  termination by (relation measure (%(a,b). nat(a - b + 1))) auto

  algorithm for the case a < 0, b > 0

  function
    negDivAlg :: int ⇒ int ⇒ int × int
  where
    negDivAlg a b =
      (if (0 ≤ a+b | b ≤ 0) then (-1,a+b)
       else adjust b (negDivAlg a (2*b)))

```

**by** *auto*

**termination by** (*relation measure*  $(\%(a,b). \text{nat}(-a - b))$ ) *auto*

algorithm for the general case  $b \neq (0::'a)$

**constdefs**

$\text{negateSnd} :: \text{int} * \text{int} \Rightarrow \text{int} * \text{int}$   
 $[\text{code func}]: \text{negateSnd} == \%(q,r). (q, -r)$

**definition**

$\text{divAlg} :: \text{int} \times \text{int} \Rightarrow \text{int} \times \text{int}$

— The full division algorithm considers all possible signs for a, b including the special case  $a=0, b<0$  because *negDivAlg* requires  $a < (0::'a)$ .

**where**

$\text{divAlg} = (\lambda(a, b). (\text{if } 0 \leq a \text{ then}$   
 $\quad \text{if } 0 \leq b \text{ then } \text{posDivAlg } a \ b$   
 $\quad \text{else if } a=0 \text{ then } (0, 0)$   
 $\quad \text{else } \text{negateSnd } (\text{negDivAlg } (-a) (-b))$   
 $\text{else}$   
 $\quad \text{if } 0 < b \text{ then } \text{negDivAlg } a \ b$   
 $\quad \text{else } \text{negateSnd } (\text{posDivAlg } (-a) (-b))))$

**instantiation**  $\text{int} :: \text{Divides.div}$

**begin**

**definition**

$\text{div-def}: a \text{ div } b = \text{fst } (\text{divAlg } (a, b))$

**definition**

$\text{mod-def}: a \text{ mod } b = \text{snd } (\text{divAlg } (a, b))$

**instance ..**

**end**

**lemma** *divAlg-mod-div*:

$\text{divAlg } (p, q) = (p \text{ div } q, p \text{ mod } q)$   
**by** (*auto simp add: div-def mod-def*)

Here is the division algorithm in ML:

```
fun posDivAlg (a,b) =
  if a<b then (0,a)
  else let val (q,r) = posDivAlg(a, 2*b)
        in  if 0<le>r-b then (2*q+1, r-b) else (2*q, r)
        end

fun negDivAlg (a,b) =
  if 0<le>a+b then (~1,a+b)
```

```

    else let val (q,r) = negDivAlg(a, 2*b)
          in  if 0 <= r-b then (2*q+1, r-b) else (2*q, r)
          end;

fun negateSnd (q,r:int) = (q,~r);

fun divAlg (a,b) = if 0 <= a then
  if b>0 then posDivAlg (a,b)
  else if a=0 then (0,0)
  else negateSnd (negDivAlg (~a,~b))
else
  if 0 < b then negDivAlg (a,b)
  else negateSnd (posDivAlg (~a,~b));

```

### 25.1 Uniqueness and Monotonicity of Quotients and Remainders

**lemma** *unique-quotient-lemma*:

$\llbracket b*q' + r' \leq b*q + r; \ 0 \leq r'; \ r' < b; \ r < b \rrbracket$   
 $\implies q' \leq (q::int)$

**apply** (*subgoal-tac*  $r' + b * (q' - q) \leq r$ )  
**prefer** 2 **apply** (*simp add: right-diff-distrib*)  
**apply** (*subgoal-tac*  $0 < b * (1 + q - q')$ )  
**apply** (*erule-tac* [2] *order-le-less-trans*)  
**prefer** 2 **apply** (*simp add: right-diff-distrib right-distrib*)  
**apply** (*subgoal-tac*  $b * q' < b * (1 + q)$ )  
**prefer** 2 **apply** (*simp add: right-diff-distrib right-distrib*)  
**apply** (*simp add: mult-less-cancel-left*)  
**done**

**lemma** *unique-quotient-lemma-neg*:

$\llbracket b*q' + r' \leq b*q + r; \ r \leq 0; \ b < r; \ b < r' \rrbracket$   
 $\implies q \leq (q'::int)$

**by** (*rule-tac*  $b = -b$  **and**  $r = -r'$  **and**  $r' = -r$  **in** *unique-quotient-lemma*,  
*auto*)

**lemma** *unique-quotient*:

$\llbracket \text{quorem}((a,b), (q,r)); \ \text{quorem}((a,b), (q',r')); \ b \neq 0 \rrbracket$   
 $\implies q = q'$

**apply** (*simp add: quorem-def linorder-neq-iff split: split-if-asm*)  
**apply** (*blast intro: order-antisym*  
*dest: order-eq-refl [THEN unique-quotient-lemma]*  
*order-eq-refl [THEN unique-quotient-lemma-neg] sym*)  
**done**

**lemma** *unique-remainder*:

```

    [| quorem ((a,b), (q,r)); quorem ((a,b), (q',r')); b ≠ 0 |]
    ==> r = r'
  apply (subgoal-tac q = q')
  apply (simp add: quorem-def)
  apply (blast intro: unique-quotient)
done

```

## 25.2 Correctness of *posDivAlg*, the Algorithm for Non-Negative Dividends

And positive divisors

```

lemma adjust-eq [simp]:
  adjust b (q,r) =
    (let diff = r-b in
     if 0 ≤ diff then (2*q + 1, diff)
     else (2*q, r))
by (simp add: Let-def adjust-def)

declare posDivAlg.simps [simp del]

use with a simproc to avoid repeatedly proving the premise

lemma posDivAlg-eqn:
  0 < b ==>
    posDivAlg a b = (if a < b then (0,a) else adjust b (posDivAlg a (2*b)))
by (rule posDivAlg.simps [THEN trans], simp)

```

Correctness of *posDivAlg*: it computes quotients correctly

```

theorem posDivAlg-correct:
  assumes 0 ≤ a and 0 < b
  shows quorem ((a, b), posDivAlg a b)
using prems apply (induct a b rule: posDivAlg.induct)
apply auto
apply (simp add: quorem-def)
apply (subst posDivAlg-eqn, simp add: right-distrib)
apply (case-tac a < b)
apply simp-all
apply (erule splitE)
apply (auto simp add: right-distrib Let-def)
done

```

## 25.3 Correctness of *negDivAlg*, the Algorithm for Negative Dividends

And positive divisors

```

declare negDivAlg.simps [simp del]

use with a simproc to avoid repeatedly proving the premise

```

**lemma** *negDivAlg-eqn*:  
 $0 < b \implies$   
 $\text{negDivAlg } a \ b =$   
 $(\text{if } 0 \leq a+b \text{ then } (-1, a+b) \text{ else adjust } b (\text{negDivAlg } a \ (2*b)))$   
**by** (rule *negDivAlg.simps* [THEN trans], simp)

**lemma** *negDivAlg-correct*:  
**assumes**  $a < 0$  **and**  $b > 0$   
**shows**  $\text{quorem } ((a, b), \text{negDivAlg } a \ b)$   
**using** *prems* **apply** (induct  $a \ b$  rule: *negDivAlg.induct*)  
**apply** (auto simp add: *linorder-not-le*)  
**apply** (simp add: *quorem-def*)  
**apply** (subst *negDivAlg-eqn*, assumption)  
**apply** (case-tac  $a + b < (0::\text{int})$ )  
**apply** *simp-all*  
**apply** (erule *splitE*)  
**apply** (auto simp add: *right-distrib Let-def*)  
**done**

## 25.4 Existence Shown by Proving the Division Algorithm to be Correct

**lemma** *quorem-0*:  $b \neq 0 \implies \text{quorem } ((0, b), (0, 0))$   
**by** (auto simp add: *quorem-def linorder-neq-iff*)

**lemma** *posDivAlg-0* [simp]:  $\text{posDivAlg } 0 \ b = (0, 0)$   
**by** (subst *posDivAlg.simps*, auto)

**lemma** *negDivAlg-minus1* [simp]:  $\text{negDivAlg } -1 \ b = (-1, b - 1)$   
**by** (subst *negDivAlg.simps*, auto)

**lemma** *negateSnd-eq* [simp]:  $\text{negateSnd}(q, r) = (q, -r)$   
**by** (simp add: *negateSnd-def*)

**lemma** *quorem-neg*:  $\text{quorem } ((-a, -b), qr) \implies \text{quorem } ((a, b), \text{negateSnd } qr)$   
**by** (auto simp add: *split-ifs quorem-def*)

**lemma** *divAlg-correct*:  $b \neq 0 \implies \text{quorem } ((a, b), \text{divAlg } (a, b))$   
**by** (force simp add: *linorder-neq-iff quorem-0 divAlg-def quorem-neg*  
*posDivAlg-correct negDivAlg-correct*)

Arbitrary definitions for division by zero. Useful to simplify certain equations.

**lemma** *DIVISION-BY-ZERO* [simp]:  $a \text{ div } (0::\text{int}) = 0 \ \& \ a \text{ mod } (0::\text{int}) = a$   
**by** (simp add: *div-def mod-def divAlg-def posDivAlg.simps*)

Basic laws about division and remainder

**lemma** *zmod-zdiv-equality*:  $(a::\text{int}) = b * (a \text{ div } b) + (a \text{ mod } b)$

```

apply (case-tac  $b = 0$ , simp)
apply (cut-tac  $a = a$  and  $b = b$  in divAlg-correct)
apply (auto simp add: quorem-def div-def mod-def)
done

```

```

lemma zdiv-zmod-equality:  $(b * (a \text{ div } b) + (a \text{ mod } b)) + k = (a::int)+k$ 
by(simp add: zmod-zdiv-equality[symmetric])

```

```

lemma zdiv-zmod-equality2:  $((a \text{ div } b) * b + (a \text{ mod } b)) + k = (a::int)+k$ 
by(simp add: mult-commute zmod-zdiv-equality[symmetric])

```

Tool setup

```

ML <<
local

```

```

structure CancelDivMod = CancelDivModFun(
struct
  val div-name = @{const-name Divides.div};
  val mod-name = @{const-name Divides.mod};
  val mk-binop = HOLogic.mk-binop;
  val mk-sum = Int-Numeral-Simprocs.mk-sum HOLogic.intT;
  val dest-sum = Int-Numeral-Simprocs.dest-sum;
  val div-mod-eqs =
    map mk-meta-eq [ @{thm zdiv-zmod-equality},
                     @{thm zdiv-zmod-equality2} ];
  val trans = trans;
  val prove-eq-sums =
    let
      val_simps = @{thm diff-int-def} :: Int-Numeral-Simprocs.add-0s @ @{thms
zadd-ac}
    in ArithData.prove-conv all-tac (ArithData.simp-all-tac val_simps) end;
end)

```

in

```

val cancel-zdiv-zmod-proc = Simplifier.simproc @{theory}
  cancel-zdiv-zmod [( $m::int$ ) +  $n$ ] (K CancelDivMod.proc)

```

end;

```

Addsimprocs [cancel-zdiv-zmod-proc]
>>

```

```

lemma pos-mod-conj :  $(0::int) < b ==> 0 \leq a \text{ mod } b \ \& \ a \text{ mod } b < b$ 
apply (cut-tac  $a = a$  and  $b = b$  in divAlg-correct)
apply (auto simp add: quorem-def mod-def)
done

```

```

lemmas pos-mod-sign [simp] = pos-mod-conj [THEN conjunct1, standard]

```

**and** *pos-mod-bound* [*simp*] = *pos-mod-conj* [*THEN conjunct2, standard*]

**lemma** *neg-mod-conj* :  $b < (0::int) \implies a \bmod b \leq 0 \ \& \ b < a \bmod b$   
**apply** (*cut-tac*  $a = a$  **and**  $b = b$  **in** *divAlg-correct*)  
**apply** (*auto simp add: quorem-def div-def mod-def*)  
**done**

**lemmas** *neg-mod-sign* [*simp*] = *neg-mod-conj* [*THEN conjunct1, standard*]  
**and** *neg-mod-bound* [*simp*] = *neg-mod-conj* [*THEN conjunct2, standard*]

## 25.5 General Properties of div and mod

**lemma** *quorem-div-mod*:  $b \neq 0 \implies \text{quorem}((a, b), (a \text{ div } b, a \bmod b))$   
**apply** (*cut-tac*  $a = a$  **and**  $b = b$  **in** *zmod-zdiv-equality*)  
**apply** (*force simp add: quorem-def linorder-neq-iff*)  
**done**

**lemma** *quorem-div*:  $[\text{quorem}((a, b), (q, r)); b \neq 0] \implies a \text{ div } b = q$   
**by** (*simp add: quorem-div-mod [THEN unique-quotient]*)

**lemma** *quorem-mod*:  $[\text{quorem}((a, b), (q, r)); b \neq 0] \implies a \bmod b = r$   
**by** (*simp add: quorem-div-mod [THEN unique-remainder]*)

**lemma** *div-pos-pos-trivial*:  $[(0::int) \leq a; a < b] \implies a \text{ div } b = 0$   
**apply** (*rule quorem-div*)  
**apply** (*auto simp add: quorem-def*)  
**done**

**lemma** *div-neg-neg-trivial*:  $[a \leq (0::int); b < a] \implies a \text{ div } b = 0$   
**apply** (*rule quorem-div*)  
**apply** (*auto simp add: quorem-def*)  
**done**

**lemma** *div-pos-neg-trivial*:  $[(0::int) < a; a + b \leq 0] \implies a \text{ div } b = -1$   
**apply** (*rule quorem-div*)  
**apply** (*auto simp add: quorem-def*)  
**done**

**lemma** *mod-pos-pos-trivial*:  $[(0::int) \leq a; a < b] \implies a \bmod b = a$   
**apply** (*rule-tac*  $q = 0$  **in** *quorem-mod*)  
**apply** (*auto simp add: quorem-def*)  
**done**

**lemma** *mod-neg-neg-trivial*:  $[a \leq (0::int); b < a] \implies a \bmod b = a$   
**apply** (*rule-tac*  $q = 0$  **in** *quorem-mod*)  
**apply** (*auto simp add: quorem-def*)  
**done**



```

lemma mod-pos-neg-trivial: [| ( $0::int$ ) <  $a$ ;  $a+b \leq 0$  |] ==>  $a \bmod b = a+b$ 
apply (rule-tac  $q = -1$  in quorem-mod)
apply (auto simp add: quorem-def)
done

```

There is no *mod-neg-pos-trivial*.

```

lemma zdiv-zminus-zminus [simp]:  $(-a) \operatorname{div} (-b) = a \operatorname{div} (b::int)$ 
apply (case-tac  $b = 0$ , simp)
apply (simp add: quorem-div-mod [THEN quorem-neg, simplified,
      THEN quorem-div, THEN sym])

```

**done**

```

lemma zmod-zminus-zminus [simp]:  $(-a) \bmod (-b) = - (a \bmod (b::int))$ 
apply (case-tac  $b = 0$ , simp)
apply (subst quorem-div-mod [THEN quorem-neg, simplified, THEN quorem-mod],
      auto)
done

```

## 25.6 Laws for div and mod with Unary Minus

```

lemma zminus1-lemma:
  quorem(( $a,b$ ),( $q,r$ ))
  ==> quorem (( $-a,b$ ), (if  $r=0$  then  $-q$  else  $-q - 1$ ),
    (if  $r=0$  then  $0$  else  $b-r$ ))
by (force simp add: split-ifs quorem-def linorder-neq-iff right-diff-distrib)

```

```

lemma zdiv-zminus1-eq-if:
   $b \neq (0::int)$ 
  ==>  $(-a) \operatorname{div} b =$ 
    (if  $a \bmod b = 0$  then  $-(a \operatorname{div} b)$  else  $-(a \operatorname{div} b) - 1$ )
by (blast intro: quorem-div-mod [THEN zminus1-lemma, THEN quorem-div])

```

```

lemma zmod-zminus1-eq-if:
   $(-a::int) \bmod b = (\text{if } a \bmod b = 0 \text{ then } 0 \text{ else } b - (a \bmod b))$ 
apply (case-tac  $b = 0$ , simp)
apply (blast intro: quorem-div-mod [THEN zminus1-lemma, THEN quorem-mod])
done

```

```

lemma zdiv-zminus2:  $a \operatorname{div} (-b) = (-a::int) \operatorname{div} b$ 
by (cut-tac  $a = -a$  in zdiv-zminus-zminus, auto)

```

```

lemma zmod-zminus2:  $a \bmod (-b) = -((-a::int) \bmod b)$ 
by (cut-tac  $a = -a$  and  $b = b$  in zmod-zminus-zminus, auto)

```

```

lemma zdiv-zminus2-eq-if:

```

```

    b ≠ (0::int)
    ==> a div (-b) =
      (if a mod b = 0 then - (a div b) else - (a div b) - 1)
  by (simp add: zdiv-zminus1-eq-if zdiv-zminus2)

```

```

lemma zmod-zminus2-eq-if:
  a mod (-b::int) = (if a mod b = 0 then 0 else (a mod b) - b)
  by (simp add: zmod-zminus1-eq-if zmod-zminus2)

```

## 25.7 Division of a Number by Itself

```

lemma self-quotient-aux1: [| (0::int) < a; a = r + a*q; r < a |] ==> 1 ≤ q
  apply (subgoal-tac 0 < a*q)
  apply (simp add: zero-less-mult-iff, arith)
  done

```

```

lemma self-quotient-aux2: [| (0::int) < a; a = r + a*q; 0 ≤ r |] ==> q ≤ 1
  apply (subgoal-tac 0 ≤ a*(1-q))
  apply (simp add: zero-le-mult-iff)
  apply (simp add: right-diff-distrib)
  done

```

```

lemma self-quotient: [| quorem((a,a),(q,r)); a ≠ (0::int) |] ==> q = 1
  apply (simp add: split-ifs quorem-def linorder-neq-iff)
  apply (rule order-antisym, safe, simp-all)
  apply (rule-tac [3] a = -a and r = -r in self-quotient-aux1)
  apply (rule-tac a = -a and r = -r in self-quotient-aux2)
  apply (force intro: self-quotient-aux1 self-quotient-aux2 simp add: add-commute)+
  done

```

```

lemma self-remainder: [| quorem((a,a),(q,r)); a ≠ (0::int) |] ==> r = 0
  apply (frule self-quotient, assumption)
  apply (simp add: quorem-def)
  done

```

```

lemma zdiv-self [simp]: a ≠ 0 ==> a div a = (1::int)
  by (simp add: quorem-div-mod [THEN self-quotient])

```

```

lemma zmod-self [simp]: a mod a = (0::int)
  apply (case-tac a = 0, simp)
  apply (simp add: quorem-div-mod [THEN self-remainder])
  done

```

## 25.8 Computation of Division and Remainder

```

lemma zdiv-zero [simp]: (0::int) div b = 0
  by (simp add: div-def divAlg-def)

```

```

lemma div-eq-minus1: (0::int) < b ==> -1 div b = -1

```

**by** (*simp add: div-def divAlg-def*)

**lemma** *zmod-zero* [*simp*]:  $(0::int) \bmod b = 0$

**by** (*simp add: mod-def divAlg-def*)

**lemma** *zdiv-minus1*:  $(0::int) < b \implies -1 \operatorname{div} b = -1$

**by** (*simp add: div-def divAlg-def*)

**lemma** *zmod-minus1*:  $(0::int) < b \implies -1 \bmod b = b - 1$

**by** (*simp add: mod-def divAlg-def*)

a positive, b positive

**lemma** *div-pos-pos*:  $\llbracket 0 < a; 0 \leq b \rrbracket \implies a \operatorname{div} b = \operatorname{fst} (\operatorname{posDivAlg} a b)$

**by** (*simp add: div-def divAlg-def*)

**lemma** *mod-pos-pos*:  $\llbracket 0 < a; 0 \leq b \rrbracket \implies a \bmod b = \operatorname{snd} (\operatorname{posDivAlg} a b)$

**by** (*simp add: mod-def divAlg-def*)

a negative, b positive

**lemma** *div-neg-pos*:  $\llbracket a < 0; 0 < b \rrbracket \implies a \operatorname{div} b = \operatorname{fst} (\operatorname{negDivAlg} a b)$

**by** (*simp add: div-def divAlg-def*)

**lemma** *mod-neg-pos*:  $\llbracket a < 0; 0 < b \rrbracket \implies a \bmod b = \operatorname{snd} (\operatorname{negDivAlg} a b)$

**by** (*simp add: mod-def divAlg-def*)

a positive, b negative

**lemma** *div-pos-neg*:

$\llbracket 0 < a; b < 0 \rrbracket \implies a \operatorname{div} b = \operatorname{fst} (\operatorname{negateSnd} (\operatorname{negDivAlg} (-a) (-b)))$

**by** (*simp add: div-def divAlg-def*)

**lemma** *mod-pos-neg*:

$\llbracket 0 < a; b < 0 \rrbracket \implies a \bmod b = \operatorname{snd} (\operatorname{negateSnd} (\operatorname{negDivAlg} (-a) (-b)))$

**by** (*simp add: mod-def divAlg-def*)

a negative, b negative

**lemma** *div-neg-neg*:

$\llbracket a < 0; b \leq 0 \rrbracket \implies a \operatorname{div} b = \operatorname{fst} (\operatorname{negateSnd} (\operatorname{posDivAlg} (-a) (-b)))$

**by** (*simp add: div-def divAlg-def*)

**lemma** *mod-neg-neg*:

$\llbracket a < 0; b \leq 0 \rrbracket \implies a \bmod b = \operatorname{snd} (\operatorname{negateSnd} (\operatorname{posDivAlg} (-a) (-b)))$

**by** (*simp add: mod-def divAlg-def*)

Simplify expresions in which div and mod combine numerical constants

**lemma** *quoremI*:

$\llbracket a == b * q + r; \text{if } 0 < b \text{ then } 0 \leq r \wedge r < b \text{ else } b < r \wedge r \leq 0 \rrbracket$   
 $\implies \operatorname{quorem} ((a, b), (q, r))$

**unfolding** *quorem-def* **by** *simp*

```

lemmas quorem-div-eq = quoremI [THEN quorem-div, THEN eq-reflection]
lemmas quorem-mod-eq = quoremI [THEN quorem-mod, THEN eq-reflection]
lemmas arithmetic-simps =
  arith-simps
  add-special
  OrderedGroup.add-0-left
  OrderedGroup.add-0-right
  mult-zero-left
  mult-zero-right
  mult-1-left
  mult-1-right

```

```

ML <<
  local
    infix ==;
    val op == = Logic.mk-equals;
    fun plus m n = @{term plus :: int ⇒ int ⇒ int} $ m $ n;
    fun mult m n = @{term times :: int ⇒ int ⇒ int} $ m $ n;

    val binary-ss = HOL-basic-ss addsimps @{thms arithmetic-simps};
    fun prove ctxt prop =
      Goal.prove ctxt [] [] prop (fn - => ALLGOALS (full-simp-tac binary-ss));

    fun binary-proc proc ss ct =
      (case Thm.term-of ct of
        - $ t $ u =>
          (case try (pairself ('(snd o HOLogic.dest-number))) (t, u) of
            SOME args => proc (Simplifier.the-context ss) args
          | NONE => NONE)
        | - => NONE);
  in
    fun divmod-proc rule = binary-proc (fn ctxt => fn ((m, t), (n, u)) =>
      if n = 0 then NONE
      else
        let val (k, l) = Integer.div-mod m n;
            fun mk-num x = HOLogic.mk-number HOLogic.intT x;
            in SOME (rule OF [prove ctxt (t == plus (mult u (mk-num k)) (mk-num l))])
          end);

    end;
  >>

simproc-setup binary-int-div (number-of m div number-of n :: int) =
  << K (divmod-proc (@{thm quorem-div-eq})) >>

simproc-setup binary-int-mod (number-of m mod number-of n :: int) =

```

⟨⟨  $K$  (divmod-proc (@{thm quorem-mod-eq})) ⟩⟩

**lemmas** div-pos-pos-number-of =  
div-pos-pos [of number-of  $v$  number-of  $w$ , standard]

**lemmas** div-neg-pos-number-of =  
div-neg-pos [of number-of  $v$  number-of  $w$ , standard]

**lemmas** div-pos-neg-number-of =  
div-pos-neg [of number-of  $v$  number-of  $w$ , standard]

**lemmas** div-neg-neg-number-of =  
div-neg-neg [of number-of  $v$  number-of  $w$ , standard]

**lemmas** mod-pos-pos-number-of =  
mod-pos-pos [of number-of  $v$  number-of  $w$ , standard]

**lemmas** mod-neg-pos-number-of =  
mod-neg-pos [of number-of  $v$  number-of  $w$ , standard]

**lemmas** mod-pos-neg-number-of =  
mod-pos-neg [of number-of  $v$  number-of  $w$ , standard]

**lemmas** mod-neg-neg-number-of =  
mod-neg-neg [of number-of  $v$  number-of  $w$ , standard]

**lemmas** posDivAlg-eqn-number-of [simp] =  
posDivAlg-eqn [of number-of  $v$  number-of  $w$ , standard]

**lemmas** negDivAlg-eqn-number-of [simp] =  
negDivAlg-eqn [of number-of  $v$  number-of  $w$ , standard]

Special-case simplification

**lemma** zmod-1 [simp]:  $a \bmod (1::int) = 0$   
**apply** (cut-tac  $a = a$  **and**  $b = 1$  **in** pos-mod-sign)  
**apply** (cut-tac [2]  $a = a$  **and**  $b = 1$  **in** pos-mod-bound)  
**apply** (auto simp del:pos-mod-bound pos-mod-sign)  
**done**

**lemma** zdiv-1 [simp]:  $a \operatorname{div} (1::int) = a$   
**by** (cut-tac  $a = a$  **and**  $b = 1$  **in** zmod-zdiv-equality, auto)

**lemma** zmod-minus1-right [simp]:  $a \bmod (-1::int) = 0$   
**apply** (cut-tac  $a = a$  **and**  $b = -1$  **in** neg-mod-sign)  
**apply** (cut-tac [2]  $a = a$  **and**  $b = -1$  **in** neg-mod-bound)

**apply** (*auto simp del: neg-mod-sign neg-mod-bound*)  
**done**

**lemma** *zdiv-minus1-right* [*simp*]:  $a \text{ div } (-1::\text{int}) = -a$   
**by** (*cut-tac a = a and b = -1 in zmod-zdiv-equality, auto*)

**lemmas** *div-pos-pos-1-number-of* [*simp*] =  
*div-pos-pos* [*OF int-0-less-1, of number-of w, standard*]

**lemmas** *div-pos-neg-1-number-of* [*simp*] =  
*div-pos-neg* [*OF int-0-less-1, of number-of w, standard*]

**lemmas** *mod-pos-pos-1-number-of* [*simp*] =  
*mod-pos-pos* [*OF int-0-less-1, of number-of w, standard*]

**lemmas** *mod-pos-neg-1-number-of* [*simp*] =  
*mod-pos-neg* [*OF int-0-less-1, of number-of w, standard*]

**lemmas** *posDivAlg-eqn-1-number-of* [*simp*] =  
*posDivAlg-eqn* [*of concl: 1 number-of w, standard*]

**lemmas** *negDivAlg-eqn-1-number-of* [*simp*] =  
*negDivAlg-eqn* [*of concl: 1 number-of w, standard*]

## 25.9 Monotonicity in the First Argument (Dividend)

**lemma** *zdiv-mono1*:  $[\mid a \leq a'; \ 0 < (b::\text{int}) \mid] \implies a \text{ div } b \leq a' \text{ div } b$   
**apply** (*cut-tac a = a and b = b in zmod-zdiv-equality*)  
**apply** (*cut-tac a = a' and b = b in zmod-zdiv-equality*)  
**apply** (*rule unique-quotient-lemma*)  
**apply** (*erule subst*)  
**apply** (*erule subst, simp-all*)  
**done**

**lemma** *zdiv-mono1-neg*:  $[\mid a \leq a'; \ (b::\text{int}) < 0 \mid] \implies a' \text{ div } b \leq a \text{ div } b$   
**apply** (*cut-tac a = a and b = b in zmod-zdiv-equality*)  
**apply** (*cut-tac a = a' and b = b in zmod-zdiv-equality*)  
**apply** (*rule unique-quotient-lemma-neg*)  
**apply** (*erule subst*)  
**apply** (*erule subst, simp-all*)  
**done**

## 25.10 Monotonicity in the Second Argument (Divisor)

**lemma** *q-pos-lemma*:  
 $[\mid 0 \leq b' * q' + r'; \ r' < b'; \ 0 < b' \mid] \implies 0 \leq (q'::\text{int})$   
**apply** (*subgoal-tac 0 < b' \* (q' + 1)*)

```

apply (simp add: zero-less-mult-iff)
apply (simp add: right-distrib)
done

```

```

lemma zdiv-mono2-lemma:
  [|  $b*q + r = b'*q' + r'$ ;  $0 \leq b'*q' + r'$ ;
     $r' < b'$ ;  $0 \leq r$ ;  $0 < b'$ ;  $b' \leq b$  |]
  ==>  $q \leq (q'::int)$ 
apply (frule q-pos-lemma, assumption+)
apply (subgoal-tac  $b*q < b*(q' + 1)$  )
apply (simp add: mult-less-cancel-left)
apply (subgoal-tac  $b*q = r' - r + b'*q'$ )
prefer 2 apply simp
apply (simp (no-asm-simp) add: right-distrib)
apply (subst add-commute, rule zadd-zless-mono, arith)
apply (rule mult-right-mono, auto)
done

```

```

lemma zdiv-mono2:
  [|  $(0::int) \leq a$ ;  $0 < b'$ ;  $b' \leq b$  |] ==>  $a \text{ div } b \leq a \text{ div } b'$ 
apply (subgoal-tac  $b \neq 0$ )
prefer 2 apply arith
apply (cut-tac  $a = a$  and  $b = b$  in zmod-zdiv-equality)
apply (cut-tac  $a = a$  and  $b = b'$  in zmod-zdiv-equality)
apply (rule zdiv-mono2-lemma)
apply (erule subst)
apply (erule subst, simp-all)
done

```

```

lemma q-neg-lemma:
  [|  $b'*q' + r' < 0$ ;  $0 \leq r'$ ;  $0 < b'$  |] ==>  $q' \leq (0::int)$ 
apply (subgoal-tac  $b'*q' < 0$ )
apply (simp add: mult-less-0-iff, arith)
done

```

```

lemma zdiv-mono2-neg-lemma:
  [|  $b*q + r = b'*q' + r'$ ;  $b'*q' + r' < 0$ ;
     $r < b$ ;  $0 \leq r'$ ;  $0 < b'$ ;  $b' \leq b$  |]
  ==>  $q' \leq (q::int)$ 
apply (frule q-neg-lemma, assumption+)
apply (subgoal-tac  $b*q' < b*(q + 1)$  )
apply (simp add: mult-less-cancel-left)
apply (simp add: right-distrib)
apply (subgoal-tac  $b*q' \leq b'*q'$ )
prefer 2 apply (simp add: mult-right-mono-neg, arith)
done

```

```

lemma zdiv-mono2-neg:
  [|  $a < (0::int)$ ;  $0 < b'$ ;  $b' \leq b$  |] ==>  $a \text{ div } b' \leq a \text{ div } b$ 

```

```

apply (cut-tac  $a = a$  and  $b = b$  in zmod-zdiv-equality)
apply (cut-tac  $a = a$  and  $b = b'$  in zmod-zdiv-equality)
apply (rule zdiv-mono2-neg-lemma)
apply (erule subst)
apply (erule subst, simp-all)
done

```

### 25.11 More Algebraic Laws for div and mod

proving  $(a*b) \text{ div } c = a * (b \text{ div } c) + a * (b \text{ mod } c)$

**lemma** *zmult1-lemma*:

```

  [| quorem(( $b, c$ ),( $q, r$ ));  $c \neq 0$  |]
  ==> quorem (( $a*b, c$ ), ( $a*q + a*r \text{ div } c, a*r \text{ mod } c$ ))

```

**by** (*force simp add: split-ifs quorem-def linorder-neg-iff right-distrib*)

**lemma** *zdiv-zmult1-eq*:  $(a*b) \text{ div } c = a*(b \text{ div } c) + a*(b \text{ mod } c) \text{ div } (c::int)$

**apply** (*case-tac*  $c = 0$ , *simp*)

**apply** (*blast intro: quorem-div-mod [THEN zmult1-lemma, THEN quorem-div]*)  
**done**

**lemma** *zmod-zmult1-eq*:  $(a*b) \text{ mod } c = a*(b \text{ mod } c) \text{ mod } (c::int)$

**apply** (*case-tac*  $c = 0$ , *simp*)

**apply** (*blast intro: quorem-div-mod [THEN zmult1-lemma, THEN quorem-mod]*)  
**done**

**lemma** *zmod-zmult1-eq'*:  $(a*b) \text{ mod } (c::int) = ((a \text{ mod } c) * b) \text{ mod } c$

**apply** (*rule trans*)

**apply** (*rule-tac*  $s = b*a \text{ mod } c$  **in** *trans*)

**apply** (*rule-tac* [2] *zmod-zmult1-eq*)

**apply** (*simp-all add: mult-commute*)

**done**

**lemma** *zmod-zmult-distrib*:  $(a*b) \text{ mod } (c::int) = ((a \text{ mod } c) * (b \text{ mod } c)) \text{ mod } c$

**apply** (*rule zmod-zmult1-eq' [THEN trans]*)

**apply** (*rule zmod-zmult1-eq*)

**done**

**lemma** *zdiv-zmult-self1* [*simp*]:  $b \neq (0::int) ==> (a*b) \text{ div } b = a$

**by** (*simp add: zdiv-zmult1-eq*)

**instance** *int :: semiring-div*

**by** *intro-classes auto*

**lemma** *zdiv-zmult-self2* [*simp*]:  $b \neq (0::int) ==> (b*a) \text{ div } b = a$

**by** (*subst mult-commute, erule zdiv-zmult-self1*)

**lemma** *zmod-zmult-self1* [*simp*]:  $(a*b) \text{ mod } b = (0::int)$

**by** (*simp add: zmod-zmult1-eq*)



**lemma** *zmod-zmult-self2* [*simp*]:  $(b*a) \bmod b = (0::int)$   
**by** (*simp add: mult-commute zmod-zmult1-eq*)

**lemma** *zmod-eq-0-iff*:  $(m \bmod d = 0) = (EX q::int. m = d*q)$   
**proof**  
**assume**  $m \bmod d = 0$   
**with** *zmod-zdiv-equality*[*of m d*] **show**  $EX q::int. m = d*q$  **by** *auto*  
**next**  
**assume**  $EX q::int. m = d*q$   
**thus**  $m \bmod d = 0$  **by** *auto*  
**qed**

**lemmas** *zmod-eq-0D* [*dest!*] = *zmod-eq-0-iff* [*THEN iffD1*]

proving  $(a+b) \bmod c = a \bmod c + b \bmod c$

**lemma** *zadd1-lemma*:  
 $[ \text{quorem}((a,c),(aq,ar)); \text{quorem}((b,c),(bq,br)); c \neq 0 ]$   
 $\implies \text{quorem}((a+b, c), (aq + bq + (ar+br) \bmod c, (ar+br) \bmod c))$   
**by** (*force simp add: split-ifs quorem-def linorder-neq-iff right-distrib*)

**lemma** *zdiv-zadd1-eq*:  
 $(a+b) \bmod (c::int) = a \bmod c + b \bmod c$   
**apply** (*case-tac c = 0, simp*)  
**apply** (*blast intro: zadd1-lemma [OF quorem-div-mod quorem-div-mod] quorem-div*)  
**done**

**lemma** *zmod-zadd1-eq*:  $(a+b) \bmod (c::int) = (a \bmod c + b \bmod c) \bmod c$   
**apply** (*case-tac c = 0, simp*)  
**apply** (*blast intro: zadd1-lemma [OF quorem-div-mod quorem-div-mod] quorem-mod*)  
**done**

**lemma** *mod-div-trivial* [*simp*]:  $(a \bmod b) \bmod b = (0::int)$   
**apply** (*case-tac b = 0, simp*)  
**apply** (*auto simp add: linorder-neq-iff div-pos-pos-trivial div-neg-neg-trivial*)  
**done**

**lemma** *mod-mod-trivial* [*simp*]:  $(a \bmod b) \bmod b = a \bmod (b::int)$   
**apply** (*case-tac b = 0, simp*)  
**apply** (*force simp add: linorder-neq-iff mod-pos-pos-trivial mod-neg-neg-trivial*)  
**done**

**lemma** *zmod-zadd-left-eq*:  $(a+b) \bmod (c::int) = ((a \bmod c) + b) \bmod c$   
**apply** (*rule trans [symmetric]*)  
**apply** (*rule zmod-zadd1-eq, simp*)  
**apply** (*rule zmod-zadd1-eq [symmetric]*)  
**done**

**lemma** *zmod-zadd-right-eq*:  $(a+b) \bmod (c::int) = (a + (b \bmod c)) \bmod c$

```

apply (rule trans [symmetric])
apply (rule zmod-zadd1-eq, simp)
apply (rule zmod-zadd1-eq [symmetric])
done

```

```

lemma zdiv-zadd-self1[simp]:  $a \neq (0::int) \implies (a+b) \text{ div } a = b \text{ div } a + 1$ 
by (simp add: zdiv-zadd1-eq)

```

```

lemma zdiv-zadd-self2[simp]:  $a \neq (0::int) \implies (b+a) \text{ div } a = b \text{ div } a + 1$ 
by (simp add: zdiv-zadd1-eq)

```

```

lemma zmod-zadd-self1[simp]:  $(a+b) \bmod a = b \bmod (a::int)$ 
apply (case-tac a = 0, simp)
apply (simp add: zmod-zadd1-eq)
done

```

```

lemma zmod-zadd-self2[simp]:  $(b+a) \bmod a = b \bmod (a::int)$ 
apply (case-tac a = 0, simp)
apply (simp add: zmod-zadd1-eq)
done

```

```

lemma zmod-zdiff1-eq: fixes  $a::int$ 
  shows  $(a - b) \bmod c = (a \bmod c - b \bmod c) \bmod c$  (is ?l = ?r)
proof -
  have ?l =  $(c + (a \bmod c - b \bmod c)) \bmod c$ 
    using zmod-zadd1-eq[of a - b c] by (simp add: ring-simps zmod-zminus1-eq-if)
  also have ... = ?r by simp
  finally show ?thesis .
qed

```

## 25.12 Proving $a \text{ div } (b * c) = a \text{ div } b \text{ div } c$

first, four lemmas to bound the remainder for the cases  $b_i 0$  and  $b_i 0$

```

lemma zmult2-lemma-aux1:  $[(0::int) < c; b < r; r \leq 0] \implies b*c < b*(q \bmod c) + r$ 
apply (subgoal-tac  $b * (c - q \bmod c) < r * 1$ )
apply (simp add: right-diff-distrib)
apply (rule order-le-less-trans)
apply (erule-tac [2] mult-strict-right-mono)
apply (rule mult-left-mono-neg)
apply (auto simp add: compare-rls add-commute [of 1]
  add1-zle-eq pos-mod-bound)
done

```

```

lemma zmult2-lemma-aux2:
   $[(0::int) < c; b < r; r \leq 0] \implies b * (q \bmod c) + r \leq 0$ 
apply (subgoal-tac  $b * (q \bmod c) \leq 0$ )
apply arith

```

**apply** (*simp add: mult-le-0-iff*)  
**done**

**lemma** *zmult2-lemma-aux3*:  $[(0::\text{int}) < c; 0 \leq r; r < b] \implies 0 \leq b * (q \bmod c) + r$   
**apply** (*subgoal-tac*  $0 \leq b * (q \bmod c)$ )  
**apply** *arith*  
**apply** (*simp add: zero-le-mult-iff*)  
**done**

**lemma** *zmult2-lemma-aux4*:  $[(0::\text{int}) < c; 0 \leq r; r < b] \implies b * (q \bmod c) + r < b * c$   
**apply** (*subgoal-tac*  $r * 1 < b * (c - q \bmod c)$ )  
**apply** (*simp add: right-diff-distrib*)  
**apply** (*rule order-less-le-trans*)  
**apply** (*erule mult-strict-right-mono*)  
**apply** (*rule-tac* [2] *mult-left-mono*)  
**apply** (*auto simp add: compare-rls add-commute [of 1] add1-zle-eq pos-mod-bound*)  
**done**

**lemma** *zmult2-lemma*:  $[\text{quorem}((a,b), (q,r)); b \neq 0; 0 < c] \implies \text{quorem}((a, b*c), (q \text{ div } c, b*(q \bmod c) + r))$   
**by** (*auto simp add: mult-ac quorem-def linorder-neq-iff zero-less-mult-iff right-distrib [symmetric] zmult2-lemma-aux1 zmult2-lemma-aux2 zmult2-lemma-aux3 zmult2-lemma-aux4*)

**lemma** *zdiv-zmult2-eq*:  $(0::\text{int}) < c \implies a \text{ div } (b*c) = (a \text{ div } b) \text{ div } c$   
**apply** (*case-tac*  $b = 0$ , *simp*)  
**apply** (*force simp add: quorem-div-mod [THEN zmult2-lemma, THEN quorem-div]*)  
**done**

**lemma** *zmod-zmult2-eq*:  
 $(0::\text{int}) < c \implies a \bmod (b*c) = b*(a \text{ div } b \bmod c) + a \bmod b$   
**apply** (*case-tac*  $b = 0$ , *simp*)  
**apply** (*force simp add: quorem-div-mod [THEN zmult2-lemma, THEN quorem-mod]*)  
**done**

### 25.13 Cancellation of Common Factors in div

**lemma** *zdiv-zmult-zmult1-aux1*:  
 $[(0::\text{int}) < b; c \neq 0] \implies (c*a) \text{ div } (c*b) = a \text{ div } b$   
**by** (*subst zdiv-zmult2-eq, auto*)

**lemma** *zdiv-zmult-zmult1-aux2*:  
 $[b < (0::\text{int}); c \neq 0] \implies (c*a) \text{ div } (c*b) = a \text{ div } b$   
**apply** (*subgoal-tac*  $(c * (-a)) \text{ div } (c * (-b)) = (-a) \text{ div } (-b)$ )  
**apply** (*rule-tac* [2] *zdiv-zmult-zmult1-aux1, auto*)

done

**lemma** *zdiv-zmult-zmult1*:  $c \neq (0::int) \implies (c*a) \text{ div } (c*b) = a \text{ div } b$   
**apply** (*case-tac*  $b = 0$ , *simp*)  
**apply** (*auto simp add: linorder-neq-iff zdiv-zmult-zmult1-aux1 zdiv-zmult-zmult1-aux2*)  
**done**

**lemma** *zdiv-zmult-zmult1-if*[*simp*]:  
 $(k*m) \text{ div } (k*n) = (\text{if } k = (0::int) \text{ then } 0 \text{ else } m \text{ div } n)$   
**by** (*simp add: zdiv-zmult-zmult1*)

## 25.14 Distribution of Factors over mod

**lemma** *zmod-zmult-zmult1-aux1*:  
 $[\mid (0::int) < b; \ c \neq 0 \mid] \implies (c*a) \text{ mod } (c*b) = c * (a \text{ mod } b)$   
**by** (*subst zmod-zmult2-eq, auto*)

**lemma** *zmod-zmult-zmult1-aux2*:  
 $[\mid b < (0::int); \ c \neq 0 \mid] \implies (c*a) \text{ mod } (c*b) = c * (a \text{ mod } b)$   
**apply** (*subgoal-tac*  $(c * (-a)) \text{ mod } (c * (-b)) = c * ((-a) \text{ mod } (-b))$ )  
**apply** (*rule-tac* [2] *zmod-zmult-zmult1-aux1, auto*)  
**done**

**lemma** *zmod-zmult-zmult1*:  $(c*a) \text{ mod } (c*b) = (c::int) * (a \text{ mod } b)$   
**apply** (*case-tac*  $b = 0$ , *simp*)  
**apply** (*case-tac*  $c = 0$ , *simp*)  
**apply** (*auto simp add: linorder-neq-iff zmod-zmult-zmult1-aux1 zmod-zmult-zmult1-aux2*)  
**done**

**lemma** *zmod-zmult-zmult2*:  $(a*c) \text{ mod } (b*c) = (a \text{ mod } b) * (c::int)$   
**apply** (*cut-tac*  $c = c$  **in** *zmod-zmult-zmult1*)  
**apply** (*auto simp add: mult-commute*)  
**done**

**lemma** *zmod-zmod-cancel*:  
**assumes**  $n \text{ dvd } m$  **shows**  $(k::int) \text{ mod } m \text{ mod } n = k \text{ mod } n$   
**proof** –  
**from**  $\langle n \text{ dvd } m \rangle$  **obtain**  $r$  **where**  $m = n*r$  **by** (*auto simp: dvd-def*)  
**have**  $k \text{ mod } n = (m * (k \text{ div } m) + k \text{ mod } m) \text{ mod } n$   
**using** *zmod-zdiv-equality*[of  $k \ m$ ] **by** *simp*  
**also have**  $\dots = (m * (k \text{ div } m) \text{ mod } n + k \text{ mod } m \text{ mod } n) \text{ mod } n$   
**by** (*subst zmod-zadd1-eq, rule refl*)  
**also have**  $m * (k \text{ div } m) \text{ mod } n = 0$  **using**  $\langle m = n*r \rangle$   
**by** (*simp add: mult-ac*)  
**finally show** *?thesis* **by** *simp*  
**qed**

### 25.15 Splitting Rules for div and mod

The proofs of the two lemmas below are essentially identical

**lemma** *split-pos-lemma*:

$0 < k ==>$

$P(n \text{ div } k :: \text{int})(n \text{ mod } k) = (\forall i j. 0 \leq j \ \& \ j < k \ \& \ n = k*i + j \longrightarrow P \ i \ j)$

**apply** (*rule iffI, clarify*)

**apply** (*erule-tac P=P ?x ?y in rev-mp*)

**apply** (*subst zmod-zadd1-eq*)

**apply** (*subst zdiv-zadd1-eq*)

**apply** (*simp add: div-pos-pos-trivial mod-pos-pos-trivial*)

converse direction

**apply** (*drule-tac x = n div k in spec*)

**apply** (*drule-tac x = n mod k in spec, simp*)

**done**

**lemma** *split-neg-lemma*:

$k < 0 ==>$

$P(n \text{ div } k :: \text{int})(n \text{ mod } k) = (\forall i j. k < j \ \& \ j \leq 0 \ \& \ n = k*i + j \longrightarrow P \ i \ j)$

**apply** (*rule iffI, clarify*)

**apply** (*erule-tac P=P ?x ?y in rev-mp*)

**apply** (*subst zmod-zadd1-eq*)

**apply** (*subst zdiv-zadd1-eq*)

**apply** (*simp add: div-neg-neg-trivial mod-neg-neg-trivial*)

converse direction

**apply** (*drule-tac x = n div k in spec*)

**apply** (*drule-tac x = n mod k in spec, simp*)

**done**

**lemma** *split-zdiv*:

$P(n \text{ div } k :: \text{int}) =$

$((k = 0 \longrightarrow P \ 0) \ \&$

$(0 < k \longrightarrow (\forall i j. 0 \leq j \ \& \ j < k \ \& \ n = k*i + j \longrightarrow P \ i))) \ \&$

$(k < 0 \longrightarrow (\forall i j. k < j \ \& \ j \leq 0 \ \& \ n = k*i + j \longrightarrow P \ i)))$

**apply** (*case-tac k=0, simp*)

**apply** (*simp only: linorder-neq-iff*)

**apply** (*erule disjE*)

**apply** (*simp-all add: split-pos-lemma [of concl: %x y. P x]*  
*split-neg-lemma [of concl: %x y. P x]*)

**done**

**lemma** *split-zmod*:

$P(n \text{ mod } k :: \text{int}) =$

$((k = 0 \longrightarrow P \ n) \ \&$

$(0 < k \longrightarrow (\forall i j. 0 \leq j \ \& \ j < k \ \& \ n = k*i + j \longrightarrow P \ j))) \ \&$

$(k < 0 \longrightarrow (\forall i j. k < j \ \& \ j \leq 0 \ \& \ n = k*i + j \longrightarrow P \ j)))$

**apply** (*case-tac k=0, simp*)

```

apply (simp only: linorder-neq-iff)
apply (erule disjE)
  apply (simp-all add: split-pos-lemma [of concl: %x y. P y]
        split-neg-lemma [of concl: %x y. P y])
done

```

```

declare split-zdiv [of - - number-of k, simplified, standard, arith-split]
declare split-zmod [of - - number-of k, simplified, standard, arith-split]

```

## 25.16 Speeding up the Division Algorithm with Shifting

computing div by shifting

**lemma** *pos-zdiv-mult-2*:  $(0::int) \leq a \implies (1 + 2*b) \text{ div } (2*a) = b \text{ div } a$

**proof** *cases*

**assume**  $a=0$

**thus** *?thesis* **by** *simp*

**next**

**assume**  $a \neq 0$  **and**  $le\text{-}a: 0 \leq a$

**hence**  $a\text{-}pos: 1 \leq a$  **by** *arith*

**hence**  $one\text{-}less\text{-}a2: 1 < 2*a$  **by** *arith*

**hence**  $le\text{-}2a: 2 * (1 + b \text{ mod } a) \leq 2 * a$

**by** (*simp add: mult-le-cancel-left add-commute [of 1] add1-zle-eq*)

**with**  $a\text{-}pos$  **have**  $0 \leq b \text{ mod } a$  **by** *simp*

**hence**  $le\text{-}addm: 0 \leq 1 \text{ mod } (2*a) + 2*(b \text{ mod } a)$

**by** (*simp add: mod-pos-pos-trivial one-less-a2*)

**with**  $le\text{-}2a$

**have**  $(1 \text{ mod } (2*a) + 2*(b \text{ mod } a)) \text{ div } (2*a) = 0$

**by** (*simp add: div-pos-pos-trivial le-addm mod-pos-pos-trivial one-less-a2*  
           *right-distrib*)

**thus** *?thesis*

**by** (*subst zdiv-zadd1-eq,*

*simp add: zdiv-zmult-zmult1 zmod-zmult-zmult1 one-less-a2*  
       *div-pos-pos-trivial*)

**qed**

**lemma** *neg-zdiv-mult-2*:  $a \leq (0::int) \implies (1 + 2*b) \text{ div } (2*a) = (b+1) \text{ div } a$

**apply** (*subgoal-tac*  $(1 + 2*(-b - 1)) \text{ div } (2 * (-a)) = (-b - 1) \text{ div } (-a)$ )

**apply** (*rule-tac* [2] *pos-zdiv-mult-2*)

**apply** (*auto simp add: minus-mult-right [symmetric] right-diff-distrib*)

**apply** (*subgoal-tac*  $(-1 - (2 * b)) = -(1 + (2 * b))$ )

**apply** (*simp only: zdiv-zminus-zminus diff-minus minus-add-distrib [symmetric],*  
       *simp*)

**done**

**lemma** *not-0-le-lemma*:  $\sim 0 \leq x \implies x \leq (0::int)$

**by** *auto*

**lemma** *zdiv-number-of-Bit0* [simp]:  

$$\text{number-of } (\text{Int.Bit0 } v) \text{ div number-of } (\text{Int.Bit0 } w) =$$

$$\text{number-of } v \text{ div } (\text{number-of } w :: \text{int})$$
**by** (simp only: number-of-eq numeral-simps) simp

**lemma** *zdiv-number-of-Bit1* [simp]:  

$$\text{number-of } (\text{Int.Bit1 } v) \text{ div number-of } (\text{Int.Bit0 } w) =$$

$$(\text{if } (0 :: \text{int}) \leq \text{number-of } w$$

$$\text{then number-of } v \text{ div } (\text{number-of } w)$$

$$\text{else } (\text{number-of } v + (1 :: \text{int})) \text{ div } (\text{number-of } w))$$
**apply** (simp only: number-of-eq numeral-simps UNIV-I split: split-if)  
**apply** (simp add: zdiv-zmult-zmult1 pos-zdiv-mult-2 neg-zdiv-mult-2 add-ac)  
**done**

### 25.17 Computing mod by Shifting (proofs resemble those for div)

**lemma** *pos-zmod-mult-2*:  

$$(0 :: \text{int}) \leq a \implies (1 + 2*b) \bmod (2*a) = 1 + 2 * (b \bmod a)$$
**apply** (case-tac a = 0, simp)  
**apply** (subgoal-tac 1 < a \* 2)  
**prefer** 2 **apply** arith  
**apply** (subgoal-tac 2 \* (1 + b mod a) ≤ 2\*a)  
**apply** (rule-tac [2] mult-left-mono)  
**apply** (auto simp add: add-commute [of 1] mult-commute add1-zle-eq pos-mod-bound)  
**apply** (subst zmod-zadd1-eq)  
**apply** (simp add: zmod-zmult-zmult2 mod-pos-pos-trivial)  
**apply** (rule mod-pos-pos-trivial)  
**apply** (auto simp add: mod-pos-pos-trivial ring-distrib)  
**apply** (subgoal-tac 0 ≤ b mod a, arith, simp)  
**done**

**lemma** *neg-zmod-mult-2*:  

$$a \leq (0 :: \text{int}) \implies (1 + 2*b) \bmod (2*a) = 2 * ((b+1) \bmod a) - 1$$
**apply** (subgoal-tac (1 + 2 \* (-b - 1)) mod (2 \* (-a)) =  

$$1 + 2 * ((-b - 1) \bmod (-a))$$
)  
**apply** (rule-tac [2] pos-zmod-mult-2)  
**apply** (auto simp add: minus-mult-right [symmetric] right-diff-distrib)  
**apply** (subgoal-tac (-1 - (2 \* b)) = - (1 + (2 \* b)))  
**prefer** 2 **apply** simp  
**apply** (simp only: zmod-zminus-zminus diff-minus minus-add-distrib [symmetric])  
**done**

**lemma** *zmod-number-of-Bit0* [simp]:  

$$\text{number-of } (\text{Int.Bit0 } v) \bmod \text{number-of } (\text{Int.Bit0 } w) =$$

$$(2 :: \text{int}) * (\text{number-of } v \bmod \text{number-of } w)$$
**apply** (simp only: number-of-eq numeral-simps)  
**apply** (simp add: zmod-zmult-zmult1 pos-zmod-mult-2)

```

      not-0-le-lemma neg-zmod-mult-2 add-ac)
done

lemma zmod-number-of-Bit1 [simp]:
  number-of (Int.Bit1 v) mod number-of (Int.Bit0 w) =
    (if (0::int) ≤ number-of w
      then 2 * (number-of v mod number-of w) + 1
      else 2 * ((number-of v + (1::int)) mod number-of w) - 1)
apply (simp only: number-of-eq numeral-simps)
apply (simp add: zmod-zmult-zmult1 pos-zmod-mult-2
  not-0-le-lemma neg-zmod-mult-2 add-ac)
done

```

### 25.18 Quotients of Signs

```

lemma div-neg-pos-less0: [| a < (0::int); 0 < b |] ==> a div b < 0
apply (subgoal-tac a div b ≤ -1, force)
apply (rule order-trans)
apply (rule-tac a' = -1 in zdiv-mono1)
apply (auto simp add: zdiv-minus1)
done

lemma div-nonneg-neg-le0: [| (0::int) ≤ a; b < 0 |] ==> a div b ≤ 0
by (drule zdiv-mono1-neg, auto)

lemma pos-imp-zdiv-nonneg-iff: (0::int) < b ==> (0 ≤ a div b) = (0 ≤ a)
apply auto
apply (drule-tac [2] zdiv-mono1)
apply (auto simp add: linorder-neg-iff)
apply (simp (no-asm-use) add: linorder-not-less [symmetric])
apply (blast intro: div-neg-pos-less0)
done

lemma neg-imp-zdiv-nonneg-iff:
  b < (0::int) ==> (0 ≤ a div b) = (a ≤ (0::int))
apply (subst zdiv-zminus-zminus [symmetric])
apply (subst pos-imp-zdiv-nonneg-iff, auto)
done

lemma pos-imp-zdiv-neg-iff: (0::int) < b ==> (a div b < 0) = (a < 0)
by (simp add: linorder-not-le [symmetric] pos-imp-zdiv-nonneg-iff)

lemma neg-imp-zdiv-neg-iff: b < (0::int) ==> (a div b < 0) = (0 < a)
by (simp add: linorder-not-le [symmetric] neg-imp-zdiv-nonneg-iff)

```

### 25.19 The Divides Relation

```

lemma zdvd-iff-zmod-eq-0: (m dvd n) = (n mod m = (0::int))

```



```

by (simp add: dvd-def zmod-eq-0-iff)

lemmas zdvd-iff-zmod-eq-0-number-of [simp] =
  zdvd-iff-zmod-eq-0 [of number-of x number-of y, standard]

lemma zdvd-0-right [iff]: (m::int) dvd 0
  by (simp add: dvd-def)

lemma zdvd-0-left [iff,noatp]: (0 dvd (m::int)) = (m = 0)
  by (simp add: dvd-def)

lemma zdvd-1-left [iff]: 1 dvd (m::int)
  by (simp add: dvd-def)

lemma zdvd-refl [simp]: m dvd (m::int)
  by (auto simp add: dvd-def intro: zmultip-1-right [symmetric])

lemma zdvd-trans: m dvd n ==> n dvd k ==> m dvd (k::int)
  by (auto simp add: dvd-def intro: mult-assoc)

lemma zdvd-zminus-iff: (m dvd -n) = (m dvd (n::int))
  apply (simp add: dvd-def, auto)
  apply (rule-tac [!] x = -k in exI, auto)
  done

lemma zdvd-zminus2-iff: (-m dvd n) = (m dvd (n::int))
  apply (simp add: dvd-def, auto)
  apply (rule-tac [!] x = -k in exI, auto)
  done

lemma zdvd-abs1: (|i::int| dvd j) = (i dvd j)
  apply (cases i > 0, simp)
  apply (simp add: dvd-def)
  apply (rule iffI)
  apply (erule exE)
  apply (rule-tac x=- k in exI, simp)
  apply (erule exE)
  apply (rule-tac x=- k in exI, simp)
  done

lemma zdvd-abs2: ((i::int) dvd |j|) = (i dvd j)
  apply (cases j > 0, simp)
  apply (simp add: dvd-def)
  apply (rule iffI)
  apply (erule exE)
  apply (rule-tac x=- k in exI, simp)
  apply (erule exE)
  apply (rule-tac x=- k in exI, simp)
  done

lemma zdvd-anti-sym:

```

```

    0 < m ==> 0 < n ==> m dvd n ==> n dvd m ==> m = (n::int)
  apply (simp add: dvd-def, auto)
  apply (simp add: mult-assoc zero-less-mult-iff zmult-eq-1-iff)
  done

lemma zdvd-zadd: k dvd m ==> k dvd n ==> k dvd (m + n :: int)
  apply (simp add: dvd-def)
  apply (blast intro: right-distrib [symmetric])
  done

lemma zdvd-dvd-eq: assumes anz:a ≠ 0 and ab: (a::int) dvd b and ba:b dvd a
  shows |a| = |b|
proof -
  from ab obtain k where k:b = a*k unfolding dvd-def by blast
  from ba obtain k' where k':a = b*k' unfolding dvd-def by blast
  from k k' have a = a*k*k' by simp
  with mult-cancel-left1 [where c=a and b=k*k']
  have k*k':k*k' = 1 using anz by (simp add: mult-assoc)
  hence k = 1 ∧ k' = 1 ∨ k = -1 ∧ k' = -1 by (simp add: zmult-eq-1-iff)
  thus ?thesis using k k' by auto
qed

lemma zdvd-zdiff: k dvd m ==> k dvd n ==> k dvd (m - n :: int)
  apply (simp add: dvd-def)
  apply (blast intro: right-diff-distrib [symmetric])
  done

lemma zdvd-zdiffD: k dvd m - n ==> k dvd n ==> k dvd (m::int)
  apply (subgoal-tac m = n + (m - n))
  apply (erule ssubst)
  apply (blast intro: zdvd-zadd, simp)
  done

lemma zdvd-zmult: k dvd (n::int) ==> k dvd m * n
  apply (simp add: dvd-def)
  apply (blast intro: mult-left-commute)
  done

lemma zdvd-zmult2: k dvd (m::int) ==> k dvd m * n
  apply (subst mult-commute)
  apply (erule zdvd-zmult)
  done

lemma zdvd-triv-right [iff]: (k::int) dvd m * k
  apply (rule zdvd-zmult)
  apply (rule zdvd-refl)
  done

lemma zdvd-triv-left [iff]: (k::int) dvd k * m

```

```

apply (rule zdvd-zmult2)
apply (rule zdvd-refl)
done

lemma zdvd-zmultD2:  $j * k \text{ dvd } n \implies j \text{ dvd } (n::int)$ 
apply (simp add: dvd-def)
apply (simp add: mult-assoc, blast)
done

lemma zdvd-zmultD:  $j * k \text{ dvd } n \implies k \text{ dvd } (n::int)$ 
apply (rule zdvd-zmultD2)
apply (subst mult-commute, assumption)
done

lemma zdvd-zmult-mono:  $i \text{ dvd } m \implies j \text{ dvd } (n::int) \implies i * j \text{ dvd } m * n$ 
apply (simp add: dvd-def, clarify)
apply (rule-tac  $x = k * ka$  in exI)
apply (simp add: mult-ac)
done

lemma zdvd-reduce:  $(k \text{ dvd } n + k * m) = (k \text{ dvd } (n::int))$ 
apply (rule iffI)
apply (erule-tac [2] zdvd-zadd)
apply (subgoal-tac  $n = (n + k * m) - k * m$ )
apply (erule ssubst)
apply (erule zdvd-zdiff, simp-all)
done

lemma zdvd-zmod:  $f \text{ dvd } m \implies f \text{ dvd } (n::int) \implies f \text{ dvd } m \text{ mod } n$ 
apply (simp add: dvd-def)
apply (auto simp add: zmod-zmult-zmult1)
done

lemma zdvd-zmod-imp-zdvd:  $k \text{ dvd } m \text{ mod } n \implies k \text{ dvd } n \implies k \text{ dvd } (m::int)$ 
apply (subgoal-tac  $k \text{ dvd } n * (m \text{ div } n) + m \text{ mod } n$ )
apply (simp add: zmod-zdiv-equality [symmetric])
apply (simp only: zdvd-zadd zdvd-zmult2)
done

lemma zdvd-not-zless:  $0 < m \implies m < n \implies \neg n \text{ dvd } (m::int)$ 
apply (simp add: dvd-def, auto)
apply (subgoal-tac  $0 < n$ )
prefer 2
apply (blast intro: order-less-trans)
apply (simp add: zero-less-mult-iff)
apply (subgoal-tac  $n * k < n * 1$ )
apply (drule mult-less-cancel-left [THEN iffD1], auto)
done

lemma zmult-div-cancel:  $(n::int) * (m \text{ div } n) = m - (m \text{ mod } n)$ 

```

```

using zmod-zdiv-equality[where  $a=m$  and  $b=n$ ]
by (simp add: ring-simps)

lemma zdvd-mult-div-cancel:( $n::int$ ) dvd  $m \implies n * (m \text{ div } n) = m$ 
apply (subgoal-tac  $m \text{ mod } n = 0$ )
apply (simp add: zmult-div-cancel)
apply (simp only: zdvd-iff-zmod-eq-0)
done

lemma zdvd-mult-cancel: assumes  $d:k * m \text{ dvd } k * n$  and  $kz:k \neq (0::int)$ 
shows  $m \text{ dvd } n$ 
proof–
  from  $d$  obtain  $h$  where  $h: k*n = k*m * h$  unfolding dvd-def by blast
  {assume  $n \neq m*h$  hence  $k*n \neq k*(m*h)$  using  $kz$  by simp
   with  $h$  have False by (simp add: mult-assoc)}
  hence  $n = m * h$  by blast
  thus ?thesis by blast
qed

lemma zdvd-zmult-cancel-disj[simp]:
  ( $k*m$ ) dvd ( $k*n$ ) = ( $k=0 \mid m \text{ dvd } (n::int)$ )
by (auto simp: zdvd-zmult-mono dest: zdvd-mult-cancel)

theorem ex-nat: ( $\exists x::nat. P\ x$ ) = ( $\exists x::int. 0 \leq x \wedge P\ (nat\ x)$ )
apply (simp split add: split-nat)
apply (rule iffI)
apply (erule exE)
apply (rule-tac  $x = int\ x$  in  $exI$ )
apply simp
apply (erule exE)
apply (rule-tac  $x = nat\ x$  in  $exI$ )
apply (erule conjE)
apply (erule-tac  $x = nat\ x$  in  $allE$ )
apply simp
done

theorem zdvd-int: ( $x \text{ dvd } y$ ) = ( $int\ x \text{ dvd } int\ y$ )
apply (simp only: dvd-def ex-nat int-int-eq [symmetric] zmult-int [symmetric]
  nat-0-le cong add: conj-cong)
apply (rule iffI)
apply iprover
apply (erule exE)
apply (case-tac  $x=0$ )
apply (rule-tac  $x=0$  in  $exI$ )
apply simp
apply (case-tac  $0 \leq k$ )
apply iprover
apply (simp add: neg0-conv linorder-not-le)

```

```

apply (drule mult-strict-left-mono-neg [OF iffD2 [OF zero-less-int-conv]])
apply assumption
apply (simp add: mult-ac)
done

```

```

lemma zdvd1-eq[simp]: (x::int) dvd 1 = (|x| = 1)
proof
  assume d: x dvd 1 hence int (nat |x|) dvd int (nat 1) by (simp add: zdvd-abs1)
  hence nat |x| dvd 1 by (simp add: zdvd-int)
  hence nat |x| = 1 by simp
  thus |x| = 1 by (cases x < 0, auto)
next
  assume |x|=1 thus x dvd 1
  by(cases x < 0, simp-all add: minus-equation-iff zdvd-iff-zmod-eq-0)
qed
lemma zdvd-mult-cancel1:
  assumes mp:m ≠(0::int) shows (m * n dvd m) = (|n| = 1)
proof
  assume n1: |n| = 1 thus m * n dvd m
  by (cases n > 0, auto simp add: zdvd-zminus2-iff minus-equation-iff)
next
  assume H: m * n dvd m hence H2: m * n dvd m * 1 by simp
  from zdvd-mult-cancel[OF H2 mp] show |n| = 1 by (simp only: zdvd1-eq)
qed

```

```

lemma int-dvd-iff: (int m dvd z) = (m dvd nat (abs z))
apply (auto simp add: dvd-def nat-abs-mult-distrib)
apply (auto simp add: nat-eq-iff abs-if split add: split-if-asm)
apply (rule-tac x = -(int k) in exI)
apply (auto simp add: int-mult)
done

```

```

lemma dvd-int-iff: (z dvd int m) = (nat (abs z) dvd m)
apply (auto simp add: dvd-def abs-if int-mult)
apply (rule-tac [3] x = nat k in exI)
apply (rule-tac [2] x = -(int k) in exI)
apply (rule-tac x = nat (-k) in exI)
apply (cut-tac [3] k = m in int-less-0-conv)
apply (cut-tac k = m in int-less-0-conv)
apply (auto simp add: zero-le-mult-iff mult-less-0-iff
  nat-mult-distrib [symmetric] nat-eq-iff2)
done

```

```

lemma nat-dvd-iff: (nat z dvd m) = (if 0 ≤ z then (z dvd int m) else m = 0)
apply (auto simp add: dvd-def int-mult)
apply (rule-tac x = nat k in exI)
apply (cut-tac k = m in int-less-0-conv)
apply (auto simp add: zero-le-mult-iff mult-less-0-iff
  nat-mult-distrib [symmetric] nat-eq-iff2)

```

done

**lemma** *zminus-dvd-iff* [iff]:  $(-z \text{ dvd } w) = (z \text{ dvd } (w::\text{int}))$   
 apply (auto simp add: dvd-def)  
 apply (rule-tac [!]  $x = -k$  in *exI*, auto)  
 done

**lemma** *dvd-zminus-iff* [iff]:  $(z \text{ dvd } -w) = (z \text{ dvd } (w::\text{int}))$   
 apply (auto simp add: dvd-def)  
 apply (drule minus-equation-iff [THEN iffD1])  
 apply (rule-tac [!]  $x = -k$  in *exI*, auto)  
 done

**lemma** *zdvd-imp-le*:  $[| z \text{ dvd } n; 0 < n |] ==> z \leq (n::\text{int})$   
 apply (rule-tac  $z=n$  in *int-cases*)  
 apply (auto simp add: dvd-int-iff)  
 apply (rule-tac  $z=z$  in *int-cases*)  
 apply (auto simp add: dvd-imp-le)  
 done

**lemma** *zpower-zmod*:  $((x::\text{int}) \bmod m) ^ y \bmod m = x ^ y \bmod m$   
 apply (induct y, auto)  
 apply (rule zmod-zmult1-eq [THEN trans])  
 apply (simp (no-asm-simp))  
 apply (rule zmod-zmult-distrib [symmetric])  
 done

**lemma** *zdiv-int*:  $\text{int } (a \text{ div } b) = (\text{int } a) \text{ div } (\text{int } b)$   
 apply (subst split-div, auto)  
 apply (subst split-zdiv, auto)  
 apply (rule-tac  $a=\text{int } (b * i) + \text{int } j$  and  $b=\text{int } b$  and  $r=\text{int } j$  and  $r'=\text{int } j$  in  
*IntDiv.unique-quotient*)  
 apply (auto simp add: *IntDiv.quorem-def of-nat-mult*)  
 done

**lemma** *zmod-int*:  $\text{int } (a \bmod b) = (\text{int } a) \bmod (\text{int } b)$   
 apply (subst split-mod, auto)  
 apply (subst split-zmod, auto)  
 apply (rule-tac  $a=\text{int } (b * i) + \text{int } j$  and  $b=\text{int } b$  and  $q=\text{int } i$  and  $q'=\text{int } i$   
 in *unique-remainder*)  
 apply (auto simp add: *IntDiv.quorem-def of-nat-mult*)  
 done

Suggested by Matthias Daum

**lemma** *int-power-div-base*:  
 $[| 0 < m; 0 < k |] ==> k ^ m \text{ div } k = (k::\text{int}) ^ (m - \text{Suc } 0)$   
 apply (subgoal-tac  $k ^ m = k ^ ((m - 1) + 1)$ )  
 apply (erule ssubst)  
 apply (simp only: power-add)

**apply** *simp-all*  
**done**

by Brian Huffman

**lemma** *zminus-zmod*:  $-(x::int) \bmod m \bmod m = -x \bmod m$   
**by** (*simp only: zmod-zminus1-eq-if mod-mod-trivial*)

**lemma** *zdiff-zmod-left*:  $(x \bmod m - y) \bmod m = (x - y) \bmod (m::int)$   
**by** (*simp only: diff-def zmod-zadd-left-eq [symmetric]*)

**lemma** *zdiff-zmod-right*:  $(x - y \bmod m) \bmod m = (x - y) \bmod (m::int)$   
**proof** –  
**have**  $(x + -(y \bmod m) \bmod m) \bmod m = (x + -y \bmod m) \bmod m$   
**by** (*simp only: zminus-zmod*)  
**hence**  $(x + -(y \bmod m)) \bmod m = (x + -y) \bmod m$   
**by** (*simp only: zmod-zadd-right-eq [symmetric]*)  
**thus**  $(x - y \bmod m) \bmod m = (x - y) \bmod m$   
**by** (*simp only: diff-def*)  
**qed**

**lemmas** *zmod-simps* =  
*IntDiv.zmod-zadd-left-eq [symmetric]*  
*IntDiv.zmod-zadd-right-eq [symmetric]*  
*IntDiv.zmod-zmult1-eq [symmetric]*  
*IntDiv.zmod-zmult1-eq' [symmetric]*  
*IntDiv.zpower-zmod*  
*zminus-zmod zdiff-zmod-left zdiff-zmod-right*

code generator setup

**context** *ring-1*  
**begin**

**lemma** *of-int-num* [*code func*]:  
 $of\_int\ k = (if\ k = 0\ then\ 0\ else\ if\ k < 0\ then$   
 $\quad -\ of\_int\ (-k)\ else\ let$   
 $\quad (l, m) = divAlg\ (k, 2);$   
 $\quad l' = of\_int\ l$   
 $\quad in\ if\ m = 0\ then\ l' + l' \ else\ l' + l' + 1)$   
**proof** –  
**have** *aux1*:  $k \bmod (2::int) \neq (0::int) \implies$   
 $of\_int\ k = of\_int\ (k \div 2 * 2 + 1)$   
**proof** –  
**have**  $k \bmod 2 < 2$  **by** (*auto intro: pos-mod-bound*)  
**moreover** **have**  $0 \leq k \bmod 2$  **by** (*auto intro: pos-mod-sign*)  
**moreover** **assume**  $k \bmod 2 \neq 0$   
**ultimately** **have**  $k \bmod 2 = 1$  **by** *arith*  
**moreover** **have**  $of\_int\ k = of\_int\ (k \div 2 * 2 + k \bmod 2)$  **by** *simp*  
**ultimately** **show** *?thesis* **by** *auto*  
**qed**

```

have aux2:  $\bigwedge x. \text{of-int } 2 * x = x + x$ 
proof -
  fix x
  have int2:  $(2::\text{int}) = 1 + 1$  by arith
  show  $\text{of-int } 2 * x = x + x$ 
  unfolding int2 of-int-add left-distrib by simp
qed
have aux3:  $\bigwedge x. x * \text{of-int } 2 = x + x$ 
proof -
  fix x
  have int2:  $(2::\text{int}) = 1 + 1$  by arith
  show  $x * \text{of-int } 2 = x + x$ 
  unfolding int2 of-int-add right-distrib by simp
qed
from aux1 show ?thesis by (auto simp add: divAlg-mod-div Let-def aux2 aux3)
qed

end

code-module SML
  IntDiv Integer

code-module OCaml
  IntDiv Integer

code-module Haskell
  IntDiv Integer

end

```

## 26 NatBin: Binary arithmetic for the natural numbers

```

theory NatBin
imports IntDiv
begin

```

Arithmetic for naturals is reduced to that for the non-negative integers.

```

instantiation nat :: number
begin

```

```

definition
  nat-number-of-def [code inline]: number-of v = nat (number-of v)

```

```

instance ..

```

```

end

```



```

lemma [code post]:
  nat (number-of v) = number-of v
  unfolding nat-number-of-def ..

```

```

abbreviation (xsymbols)
  square :: 'a::power => 'a ((-^2) [1000] 999) where
  x2 == x^2

```

```

notation (latex output)
  square ((-^2) [1000] 999)

```

```

notation (HTML output)
  square ((-^2) [1000] 999)

```

## 26.1 Function *nat*: Coercion from Type *int* to *nat*

```

declare nat-0 [simp] nat-1 [simp]

```

```

lemma nat-number-of [simp]: nat (number-of w) = number-of w
by (simp add: nat-number-of-def)

```

```

lemma nat-numeral-0-eq-0 [simp]: Numeral0 = (0::nat)
by (simp add: nat-number-of-def)

```

```

lemma nat-numeral-1-eq-1 [simp]: Numeral1 = (1::nat)
by (simp add: nat-1 nat-number-of-def)

```

```

lemma numeral-1-eq-Suc-0: Numeral1 = Suc 0
by (simp add: nat-numeral-1-eq-1)

```

```

lemma numeral-2-eq-2: 2 = Suc (Suc 0)
apply (unfold nat-number-of-def)
apply (rule nat-2)
done

```

Distributive laws for type *nat*. The others are in theory *IntArith*, but these require *div* and *mod* to be defined for type “*int*”. They also need some of the lemmas proved above.

```

lemma nat-div-distrib: (0::int) <= z ==> nat (z div z') = nat z div nat z'
apply (case-tac 0 <= z')
apply (auto simp add: div-nonneg-neg-le0 DIVISION-BY-ZERO-DIV)
apply (case-tac z' = 0, simp add: DIVISION-BY-ZERO)
apply (auto elim!: nonneg-eq-int)
apply (rename-tac m m')
apply (subgoal-tac 0 <= int m div int m')
  prefer 2 apply (simp add: nat-numeral-0-eq-0 pos-imp-zdiv-nonneg-iff)
apply (rule of-nat-eq-iff [where 'a=int, THEN iffD1], simp)
apply (rule-tac r = int (m mod m') in quorem-div)

```

```

prefer 2 apply force
apply (simp add: nat-less-iff [symmetric] quorem-def nat-numeral-0-eq-0
        of-nat-add [symmetric] of-nat-mult [symmetric]
        del: of-nat-add of-nat-mult)
done

```

```

lemma nat-mod-distrib:
  [| (0::int) <= z; 0 <= z' |] ==> nat (z mod z') = nat z mod nat z'
apply (case-tac z' = 0, simp add: DIVISION-BY-ZERO)
apply (auto elim!: nonneg-eq-int)
apply (rename-tac m m')
apply (subgoal-tac 0 <= int m mod int m')
  prefer 2 apply (simp add: nat-less-iff nat-numeral-0-eq-0 pos-mod-sign)
apply (rule int-int-eq [THEN iffD1], simp)
apply (rule-tac q = int (m div m') in quorem-mod)
  prefer 2 apply force
apply (simp add: nat-less-iff [symmetric] quorem-def nat-numeral-0-eq-0
        of-nat-add [symmetric] of-nat-mult [symmetric]
        del: of-nat-add of-nat-mult)
done

```

Suggested by Matthias Daum

```

lemma int-div-less-self: [| 0 < x; 1 < k |] ==> x div k < (x::int)
apply (subgoal-tac nat x div nat k < nat x)
  apply (simp (asm-lr) add: nat-div-distrib [symmetric])
apply (rule Divides.div-less-dividend, simp-all)
done

```

## 26.2 Function *int*: Coercion from Type *nat* to *int*

```

lemma int-nat-number-of [simp]:
  int (number-of v) =
    (if neg (number-of v :: int) then 0
     else (number-of v :: int))
by (simp del: nat-number-of
      add: neg-nat nat-number-of-def not-neg-nat add-assoc)

```

### 26.2.1 Successor

```

lemma Suc-nat-eq-nat-zadd1: (0::int) <= z ==> Suc (nat z) = nat (1 + z)
apply (rule sym)
apply (simp add: nat-eq-iff int-Suc)
done

```

```

lemma Suc-nat-number-of-add:
  Suc (number-of v + n) =
    (if neg (number-of v :: int) then 1+n else number-of (Int.succ v) + n)
by (simp del: nat-number-of

```

*add: nat-number-of-def neg-nat*  
*Suc-nat-eq-nat-zadd1 number-of-succ)*

**lemma** *Suc-nat-number-of [simp]:*  
*Suc (number-of v) =*  
*(if neg (number-of v :: int) then 1 else number-of (Int.succ v))*  
**apply** (*cut-tac n = 0 in Suc-nat-number-of-add*)  
**apply** (*simp cong del: if-weak-cong*)  
**done**

### 26.2.2 Addition

**lemma** *add-nat-number-of [simp]:*  
*(number-of v :: nat) + number-of v' =*  
*(if neg (number-of v :: int) then number-of v'*  
*else if neg (number-of v' :: int) then number-of v*  
*else number-of (v + v'))*  
**by** (*force dest!: neg-nat*  
*simp del: nat-number-of*  
*simp add: nat-number-of-def nat-add-distrib [symmetric]*)

### 26.2.3 Subtraction

**lemma** *diff-nat-eq-if:*  
*nat z - nat z' =*  
*(if neg z' then nat z*  
*else let d = z - z' in*  
*if neg d then 0 else nat d)*  
**apply** (*simp add: Let-def nat-diff-distrib [symmetric] neg-eq-less-0 not-neg-eq-ge-0*)  
**done**

**lemma** *diff-nat-number-of [simp]:*  
*(number-of v :: nat) - number-of v' =*  
*(if neg (number-of v' :: int) then number-of v*  
*else let d = number-of (v + uminus v') in*  
*if neg d then 0 else nat d)*  
**by** (*simp del: nat-number-of add: diff-nat-eq-if nat-number-of-def*)

### 26.2.4 Multiplication

**lemma** *mult-nat-number-of [simp]:*  
*(number-of v :: nat) \* number-of v' =*  
*(if neg (number-of v :: int) then 0 else number-of (v \* v'))*  
**by** (*force dest!: neg-nat*  
*simp del: nat-number-of*  
*simp add: nat-number-of-def nat-mult-distrib [symmetric]*)

### 26.2.5 Quotient

**lemma** *div-nat-number-of [simp]:*

```

      (number-of v :: nat) div number-of v' =
        (if neg (number-of v :: int) then 0
         else nat (number-of v div number-of v'))
  by (force dest!: neg-nat
      simp del: nat-number-of
      simp add: nat-number-of-def nat-div-distrib [symmetric])

lemma one-div-nat-number-of [simp]:
  (Suc 0) div number-of v' = (nat (1 div number-of v'))
by (simp del: nat-numeral-1-eq-1 add: numeral-1-eq-Suc-0 [symmetric])

```

### 26.2.6 Remainder

```

lemma mod-nat-number-of [simp]:
  (number-of v :: nat) mod number-of v' =
    (if neg (number-of v :: int) then 0
     else if neg (number-of v' :: int) then number-of v
     else nat (number-of v mod number-of v'))
by (force dest!: neg-nat
      simp del: nat-number-of
      simp add: nat-number-of-def nat-mod-distrib [symmetric])

lemma one-mod-nat-number-of [simp]:
  (Suc 0) mod number-of v' =
    (if neg (number-of v' :: int) then Suc 0
     else nat (1 mod number-of v'))
by (simp del: nat-numeral-1-eq-1 add: numeral-1-eq-Suc-0 [symmetric])

```

### 26.2.7 Divisibility

```

lemmas dvd-eq-mod-eq-0-number-of =
  dvd-eq-mod-eq-0 [of number-of x number-of y, standard]

declare dvd-eq-mod-eq-0-number-of [simp]

```

### ML

```

⟨⟨
  val nat-number-of-def = thmnat-number-of-def;

  val nat-number-of = thmnat-number-of;
  val nat-numeral-0-eq-0 = thmnat-numeral-0-eq-0;
  val nat-numeral-1-eq-1 = thmnat-numeral-1-eq-1;
  val numeral-1-eq-Suc-0 = thmnumeral-1-eq-Suc-0;
  val numeral-2-eq-2 = thmnumeral-2-eq-2;
  val nat-div-distrib = thmnat-div-distrib;
  val nat-mod-distrib = thmnat-mod-distrib;
  val int-nat-number-of = thmint-nat-number-of;
  val Suc-nat-eq-nat-zadd1 = thmSuc-nat-eq-nat-zadd1;
  val Suc-nat-number-of-add = thmSuc-nat-number-of-add;
  val Suc-nat-number-of = thmSuc-nat-number-of;

```

```

val add-nat-number-of = thmadd-nat-number-of;
val diff-nat-eq-if = thmdiff-nat-eq-if;
val diff-nat-number-of = thmdiff-nat-number-of;
val mult-nat-number-of = thmmult-nat-number-of;
val div-nat-number-of = thmdiv-nat-number-of;
val mod-nat-number-of = thmmod-nat-number-of;
>>

```

## 26.3 Comparisons

### 26.3.1 Equals (=)

**lemma** *eq-nat-nat-iff*:

```

  [| (0::int) <= z; 0 <= z' |] ==> (nat z = nat z') = (z=z')
by (auto elim!: nonneg-eq-int)

```

**lemma** *eq-nat-number-of* [simp]:

```

  ((number-of v :: nat) = number-of v') =
    (if neg (number-of v :: int) then (iszero (number-of v' :: int) | neg (number-of
v' :: int))
     else if neg (number-of v' :: int) then iszero (number-of v :: int)
     else iszero (number-of (v + uminus v') :: int))
apply (simp only: simp-thms neg-nat not-neg-eq-ge-0 nat-number-of-def
eq-nat-nat-iff eq-number-of-eq nat-0 iszero-def
split add: split-if cong add: imp-cong)
apply (simp only: nat-eq-iff nat-eq-iff2)
apply (simp add: not-neg-eq-ge-0 [symmetric])
done

```

### 26.3.2 Less-than (<)

**lemma** *less-nat-number-of* [simp]:

```

  ((number-of v :: nat) < number-of v') =
    (if neg (number-of v :: int) then neg (number-of (uminus v') :: int)
     else neg (number-of (v + uminus v') :: int))
by (simp only: simp-thms neg-nat not-neg-eq-ge-0 nat-number-of-def
nat-less-eq-zless less-number-of-eq-neg zless-nat-eq-int-zless
cong add: imp-cong, simp add: Pls-def)

```

**lemmas** *numerals* = nat-numeral-0-eq-0 nat-numeral-1-eq-1 numeral-2-eq-2

## 26.4 Powers with Numeric Exponents

We cannot refer to the number  $2::'a$  in *Ring-and-Field.thy*. We cannot prove general results about the numeral  $-1::'a$ , so we have to use  $-(1::'a)$  instead.

```

lemma power2-eq-square: (a::'a::recpower)2 = a * a
  by (simp add: numeral-2-eq-2 Power.power-Suc)

lemma zero-power2 [simp]: (0::'a::{semiring-1,recpower})2 = 0
  by (simp add: power2-eq-square)

lemma one-power2 [simp]: (1::'a::{semiring-1,recpower})2 = 1
  by (simp add: power2-eq-square)

lemma power3-eq-cube: (x::'a::recpower) ^ 3 = x * x * x
  apply (subgoal-tac 3 = Suc (Suc (Suc 0)))
  apply (erule ssubst)
  apply (simp add: power-Suc mult-ac)
  apply (unfold nat-number-of-def)
  apply (subst nat-eq-iff)
  apply simp
done

```

Squares of literal numerals will be evaluated.

```

lemmas power2-eq-square-number-of =
  power2-eq-square [of number-of w, standard]
declare power2-eq-square-number-of [simp]

```

```

lemma zero-le-power2[simp]: 0 ≤ (a2::'a::{ordered-idom,recpower})
  by (simp add: power2-eq-square)

lemma zero-less-power2[simp]:
  (0 < a2) = (a ≠ (0::'a::{ordered-idom,recpower}))
  by (force simp add: power2-eq-square zero-less-mult-iff linorder-neq-iff)

lemma power2-less-0[simp]:
  fixes a :: 'a::{ordered-idom,recpower}
  shows ~ (a2 < 0)
by (force simp add: power2-eq-square mult-less-0-iff)

lemma zero-eq-power2[simp]:
  (a2 = 0) = (a = (0::'a::{ordered-idom,recpower}))
  by (force simp add: power2-eq-square mult-eq-0-iff)

lemma abs-power2[simp]:
  abs(a2) = (a2::'a::{ordered-idom,recpower})
  by (simp add: power2-eq-square abs-mult abs-mult-self)

lemma power2-abs[simp]:
  (abs a)2 = (a2::'a::{ordered-idom,recpower})
  by (simp add: power2-eq-square abs-mult-self)

lemma power2-minus[simp]:

```

$(-a)^2 = (a^2 :: 'a :: \{comm-ring-1, recpower\})$   
**by** (*simp add: power2-eq-square*)

**lemma** *power2-le-imp-le*:  
**fixes**  $x\ y :: 'a :: \{ordered-semidom, recpower\}$   
**shows**  $\llbracket x^2 \leq y^2; 0 \leq y \rrbracket \implies x \leq y$   
**unfolding** *numeral-2-eq-2* **by** (*rule power-le-imp-le-base*)

**lemma** *power2-less-imp-less*:  
**fixes**  $x\ y :: 'a :: \{ordered-semidom, recpower\}$   
**shows**  $\llbracket x^2 < y^2; 0 \leq y \rrbracket \implies x < y$   
**by** (*rule power-less-imp-less-base*)

**lemma** *power2-eq-imp-eq*:  
**fixes**  $x\ y :: 'a :: \{ordered-semidom, recpower\}$   
**shows**  $\llbracket x^2 = y^2; 0 \leq x; 0 \leq y \rrbracket \implies x = y$   
**unfolding** *numeral-2-eq-2* **by** (*erule (2) power-eq-imp-eq-base, simp*)

**lemma** *power-minus1-even*[*simp*]:  $(-1) ^ (2*n) = (1 :: 'a :: \{comm-ring-1, recpower\})$   
**apply** (*induct n*)  
**apply** (*auto simp add: power-Suc power-add*)  
**done**

**lemma** *power-even-eq*:  $(a :: 'a :: recpower) ^ (2*n) = (a ^ n) ^ 2$   
**by** (*subst mult-commute*) (*simp add: power-mult*)

**lemma** *power-odd-eq*:  $(a :: int) ^ Suc(2*n) = a * (a ^ n) ^ 2$   
**by** (*simp add: power-even-eq*)

**lemma** *power-minus-even* [*simp*]:  
 $(-a) ^ (2*n) = (a :: 'a :: \{comm-ring-1, recpower\}) ^ (2*n)$   
**by** (*simp add: power-minus1-even power-minus [of a]*)

**lemma** *zero-le-even-power'*[*simp*]:  
 $0 \leq (a :: 'a :: \{ordered-idom, recpower\}) ^ (2*n)$   
**proof** (*induct n*)  
**case** 0  
**show** ?case **by** (*simp add: zero-le-one*)  
**next**  
**case** (*Suc n*)  
**have**  $a ^ (2 * Suc\ n) = (a * a) * a ^ (2*n)$   
**by** (*simp add: mult-ac power-add power2-eq-square*)  
**thus** ?case  
**by** (*simp add: prems zero-le-mult-iff*)  
**qed**

**lemma** *odd-power-less-zero*:  
 $(a :: 'a :: \{ordered-idom, recpower\}) < 0 \implies a ^ Suc(2*n) < 0$   
**proof** (*induct n*)

```

    case 0
    then show ?case by (simp add: Power.power-Suc)
next
case (Suc n)
have  $a \wedge \text{Suc } (2 * \text{Suc } n) = (a * a) * a \wedge \text{Suc } (2 * n)$ 
  by (simp add: mult-ac power-add power2-eq-square Power.power-Suc)
thus ?case
  by (simp add: prems mult-less-0-iff mult-neg-neg)
qed

```

**lemma** *odd-0-le-power-imp-0-le*:

```

 $0 \leq a \wedge \text{Suc } (2 * n) \implies 0 \leq (a :: 'a :: \{\text{ordered-idom}, \text{recpower}\})$ 
apply (insert odd-power-less-zero [of a n])
apply (force simp add: linorder-not-less [symmetric])
done

```

Simprules for comparisons where common factors can be cancelled.

```

lemmas zero-compare-simps =
  add-strict-increasing add-strict-increasing2 add-increasing
  zero-le-mult-iff zero-le-divide-iff
  zero-less-mult-iff zero-less-divide-iff
  mult-le-0-iff divide-le-0-iff
  mult-less-0-iff divide-less-0-iff
  zero-le-power2 power2-less-0

```

#### 26.4.1 Nat

```

lemma Suc-pred':  $0 < n \implies n = \text{Suc}(n - 1)$ 
by (simp add: numerals)

```

```

lemmas expand-Suc = Suc-pred' [of number-of v, standard]

```

#### 26.4.2 Arith

```

lemma Suc-eq-add-numeral-1:  $\text{Suc } n = n + 1$ 
by (simp add: numerals)

```

```

lemma Suc-eq-add-numeral-1-left:  $\text{Suc } n = 1 + n$ 
by (simp add: numerals)

```

```

lemma add-eq-if:  $(m :: \text{nat}) + n = (\text{if } m = 0 \text{ then } n \text{ else } \text{Suc } ((m - 1) + n))$ 
apply (case-tac m)
apply (simp-all add: numerals)
done

```

```

lemma mult-eq-if:  $(m :: \text{nat}) * n = (\text{if } m = 0 \text{ then } 0 \text{ else } n + ((m - 1) * n))$ 
apply (case-tac m)

```



```

apply (simp-all add: numerals)
done

```

```

lemma power-eq-if: ( $p \wedge m :: \text{nat}$ ) = (if  $m=0$  then 1 else  $p * (p \wedge (m - 1))$ )
apply (case-tac m)
apply (simp-all add: numerals)
done

```

## 26.5 Comparisons involving (0::nat)

Simplification already does  $n < (0::'a)$ ,  $n \leq (0::'a)$  and  $(0::'a) \leq n$ .

```

lemma eq-number-of-0 [simp]:
  (number-of  $v = (0::\text{nat})$ ) =
    (if neg (number-of  $v :: \text{int}$ ) then True else iszero (number-of  $v :: \text{int}$ ))
by (simp del: nat-numeral-0-eq-0 add: nat-numeral-0-eq-0 [symmetric] iszero-0)

```

```

lemma eq-0-number-of [simp]:
  (( $0::\text{nat}$ ) = number-of  $v$ ) =
    (if neg (number-of  $v :: \text{int}$ ) then True else iszero (number-of  $v :: \text{int}$ ))
by (rule trans [OF eq-sym-conv eq-number-of-0])

```

```

lemma less-0-number-of [simp]:
  (( $0::\text{nat}$ ) < number-of  $v$ ) = neg (number-of (uminus  $v$ ) :: int)
by (simp del: nat-numeral-0-eq-0 add: nat-numeral-0-eq-0 [symmetric] Pls-def)

```

```

lemma neg-imp-number-of-eq-0: neg (number-of  $v :: \text{int}$ ) ==> number-of  $v =$ 
  ( $0::\text{nat}$ )
by (simp del: nat-numeral-0-eq-0 add: nat-numeral-0-eq-0 [symmetric] iszero-0)

```

## 26.6 Comparisons involving Suc

```

lemma eq-number-of-Suc [simp]:
  (number-of  $v = \text{Suc } n$ ) =
    (let  $pv = \text{number-of } (\text{Int.pred } v)$  in
      if neg  $pv$  then False else  $\text{nat } pv = n$ )
apply (simp only: simp-thms Let-def neg-eq-less-0 linorder-not-less
  number-of-pred nat-number-of-def
  split add: split-if)
apply (rule-tac x = number-of v in spec)
apply (auto simp add: nat-eq-iff)
done

```

```

lemma Suc-eq-number-of [simp]:
  ( $\text{Suc } n = \text{number-of } v$ ) =
    (let  $pv = \text{number-of } (\text{Int.pred } v)$  in
      if neg  $pv$  then False else  $\text{nat } pv = n$ )
by (rule trans [OF eq-sym-conv eq-number-of-Suc])

```

```

lemma less-number-of-Suc [simp]:
  (number-of  $v < \text{Suc } n$ ) =
    (let  $pv = \text{number-of } (\text{Int.pred } v)$  in
     if  $\text{neg } pv$  then True else  $\text{nat } pv < n$ )
apply (simp only: simp-thms Let-def neg-eq-less-0 linorder-not-less
         number-of-pred nat-number-of-def
         split add: split-if)
apply (rule-tac  $x = \text{number-of } v$  in spec)
apply (auto simp add: nat-less-iff)
done

lemma less-Suc-number-of [simp]:
  ( $\text{Suc } n < \text{number-of } v$ ) =
    (let  $pv = \text{number-of } (\text{Int.pred } v)$  in
     if  $\text{neg } pv$  then False else  $n < \text{nat } pv$ )
apply (simp only: simp-thms Let-def neg-eq-less-0 linorder-not-less
         number-of-pred nat-number-of-def
         split add: split-if)
apply (rule-tac  $x = \text{number-of } v$  in spec)
apply (auto simp add: zless-nat-eq-int-zless)
done

lemma le-number-of-Suc [simp]:
  (number-of  $v \leq \text{Suc } n$ ) =
    (let  $pv = \text{number-of } (\text{Int.pred } v)$  in
     if  $\text{neg } pv$  then True else  $\text{nat } pv \leq n$ )
by (simp add: Let-def less-Suc-number-of linorder-not-less [symmetric])

lemma le-Suc-number-of [simp]:
  ( $\text{Suc } n \leq \text{number-of } v$ ) =
    (let  $pv = \text{number-of } (\text{Int.pred } v)$  in
     if  $\text{neg } pv$  then False else  $n \leq \text{nat } pv$ )
by (simp add: Let-def less-number-of-Suc linorder-not-less [symmetric])

lemma eq-number-of-Pls-Min: ( $\text{Numeral0} :: \text{int}$ )  $\sim = \text{number-of } \text{Int.Min}$ 
by auto

```

## 26.7 Max and Min Combined with *Suc*

```

lemma max-number-of-Suc [simp]:
  max ( $\text{Suc } n$ ) (number-of  $v$ ) =
    (let  $pv = \text{number-of } (\text{Int.pred } v)$  in
     if  $\text{neg } pv$  then  $\text{Suc } n$  else  $\text{Suc}(\text{max } n (\text{nat } pv))$ )
apply (simp only: Let-def neg-eq-less-0 number-of-pred nat-number-of-def
         split add: split-if nat.split)
apply (rule-tac  $x = \text{number-of } v$  in spec)
apply auto
done

```

```

lemma max-Suc-number-of [simp]:
  max (number-of v) (Suc n) =
    (let pv = number-of (Int.pred v) in
      if neg pv then Suc n else Suc(max (nat pv) n))
apply (simp only: Let-def neg-eq-less-0 number-of-pred nat-number-of-def
  split add: split-if nat.split)
apply (rule-tac x = number-of v in spec)
apply auto
done

```

```

lemma min-number-of-Suc [simp]:
  min (Suc n) (number-of v) =
    (let pv = number-of (Int.pred v) in
      if neg pv then 0 else Suc(min n (nat pv)))
apply (simp only: Let-def neg-eq-less-0 number-of-pred nat-number-of-def
  split add: split-if nat.split)
apply (rule-tac x = number-of v in spec)
apply auto
done

```

```

lemma min-Suc-number-of [simp]:
  min (number-of v) (Suc n) =
    (let pv = number-of (Int.pred v) in
      if neg pv then 0 else Suc(min (nat pv) n))
apply (simp only: Let-def neg-eq-less-0 number-of-pred nat-number-of-def
  split add: split-if nat.split)
apply (rule-tac x = number-of v in spec)
apply auto
done

```

## 26.8 Literal arithmetic involving powers

```

lemma nat-power-eq: (0::int) <= z ==> nat (zn) = nat z ^ n
apply (induct n)
apply (simp-all (no-asm-simp) add: nat-mult-distrib)
done

```

```

lemma power-nat-number-of:
  (number-of v :: nat) ^ n =
    (if neg (number-of v :: int) then 0n else nat ((number-of v :: int) ^ n))
by (simp only: simp-thms neg-nat not-neg-eq-ge-0 nat-number-of-def nat-power-eq
  split add: split-if cong: imp-cong)

```

```

lemmas power-nat-number-of-number-of = power-nat-number-of [of - number-of
w, standard]
declare power-nat-number-of-number-of [simp]

```

For arbitrary rings

```

lemma power-number-of-even:
  fixes z :: 'a::{number-ring,recpower}
  shows z ^ number-of (Int.Bit0 w) = (let w = z ^ (number-of w) in w * w)
unfolding Let-def nat-number-of-def number-of-Bit0
apply (rule-tac x = number-of w in spec, clarify)
apply (case-tac (0::int) <= x)
apply (auto simp add: nat-mult-distrib power-even-eq power2-eq-square)
done

lemma power-number-of-odd:
  fixes z :: 'a::{number-ring,recpower}
  shows z ^ number-of (Int.Bit1 w) = (if (0::int) <= number-of w
    then (let w = z ^ (number-of w) in z * w * w) else 1)
unfolding Let-def nat-number-of-def number-of-Bit1
apply (rule-tac x = number-of w in spec, auto)
apply (simp only: nat-add-distrib nat-mult-distrib)
apply simp
apply (auto simp add: nat-add-distrib nat-mult-distrib power-even-eq power2-eq-square
  neg-nat power-Suc)
done

lemmas zpower-number-of-even = power-number-of-even [where 'a=int]
lemmas zpower-number-of-odd = power-number-of-odd [where 'a=int]

lemmas power-number-of-even-number-of [simp] =
  power-number-of-even [of number-of v, standard]

lemmas power-number-of-odd-number-of [simp] =
  power-number-of-odd [of number-of v, standard]

ML
⟨⟨
  val numeral-ss = @{simpset} addsimps @{thms numerals};

  val nat-bin-arith-setup =
    LinArith.map-data
      (fn {add-mono-thms, mult-mono-thms, inj-thms, lessD, neqE, simpset} =>
        {add-mono-thms = add-mono-thms, mult-mono-thms = mult-mono-thms,
         inj-thms = inj-thms,
         lessD = lessD, neqE = neqE,
         simpset = simpset addsimps [Suc-nat-number-of, int-nat-number-of,
          @{thm not-neg-number-of-Pls}, @{thm neg-number-of-Min},
          @{thm neg-number-of-Bit0}, @{thm neg-number-of-Bit1}]})
    ⟩⟩

declaration ⟨⟨ K nat-bin-arith-setup ⟩⟩

```

**declare** *split-div*[*of - - number-of k, standard, arith-split*]  
**declare** *split-mod*[*of - - number-of k, standard, arith-split*]

**lemma** *nat-number-of-Pls*: *Numerals* 0 = (0::nat)  
**by** (*simp add: number-of-Pls nat-number-of-def*)

**lemma** *nat-number-of-Min*: *number-of Int.Min* = (0::nat)  
**apply** (*simp only: number-of-Min nat-number-of-def nat-zminus-int*)  
**done**

**lemma** *nat-number-of-Bit0*:  
*number-of (Int.Bit0 w)* = (let *n::nat* = *number-of w* in *n + n*)  
**apply** (*simp only: nat-number-of-def Let-def*)  
**apply** (*cases neg (number-of w :: int)*)  
**apply** (*simp add: neg-nat neg-number-of-Bit0*)  
**apply** (*rule int-int-eq [THEN iffD1]*)  
**apply** (*simp only: not-neg-nat neg-number-of-Bit0 int-Suc zadd-int [symmetric]*  
*simp-thms*)  
**apply** (*simp only: number-of-Bit0 zadd-assoc*)  
**apply** *simp*  
**done**

**lemma** *nat-number-of-Bit1*:  
*number-of (Int.Bit1 w)* =  
 (if *neg (number-of w :: int)* then 0  
 else let *n* = *number-of w* in *Suc (n + n)*)  
**apply** (*simp only: nat-number-of-def Let-def split: split-if*)  
**apply** (*intro conjI impI*)  
**apply** (*simp add: neg-nat neg-number-of-Bit1*)  
**apply** (*rule int-int-eq [THEN iffD1]*)  
**apply** (*simp only: not-neg-nat neg-number-of-Bit1 int-Suc zadd-int [symmetric]*  
*simp-thms*)  
**apply** (*simp only: number-of-Bit1 zadd-assoc*)  
**done**

**lemmas** *nat-number* =  
*nat-number-of-Pls nat-number-of-Min*  
*nat-number-of-Bit0 nat-number-of-Bit1*

**lemma** *Let-Suc* [*simp*]: *Let (Suc n) f* == *f (Suc n)*  
**by** (*simp add: Let-def*)

**lemma** *power-m1-even*:  $(-1) ^ (2*n) = (1::'a::\{number-ring,recpower\})$   
**by** (*simp add: power-mult power-Suc*)

**lemma** *power-m1-odd*:  $(-1) ^ Suc(2*n) = (-1::'a::\{number-ring,recpower\})$   
**by** (*simp add: power-mult power-Suc*)

## 26.9 Literal arithmetic and *of-nat*

**lemma** *of-nat-double*:

$$0 \leq x \implies \text{of-nat} (\text{nat} (2 * x)) = \text{of-nat} (\text{nat} x) + \text{of-nat} (\text{nat} x)$$

**by** (*simp only: mult-2 nat-add-distrib of-nat-add*)

**lemma** *nat-numeral-m1-eq-0*:  $-1 = (0::\text{nat})$

**by** (*simp only: nat-number-of-def*)

**lemma** *of-nat-number-of-lemma*:

$$\begin{aligned} \text{of-nat} (\text{number-of } v :: \text{nat}) = \\ & (\text{if } 0 \leq (\text{number-of } v :: \text{int}) \\ & \quad \text{then } (\text{number-of } v :: 'a :: \text{number-ring}) \\ & \quad \text{else } 0) \end{aligned}$$

**by** (*simp add: int-number-of-def nat-number-of-def number-of-eq of-nat-nat*)

**lemma** *of-nat-number-of-eq [simp]*:

$$\begin{aligned} \text{of-nat} (\text{number-of } v :: \text{nat}) = \\ & (\text{if } \text{neg} (\text{number-of } v :: \text{int}) \text{ then } 0 \\ & \quad \text{else } (\text{number-of } v :: 'a :: \text{number-ring})) \end{aligned}$$

**by** (*simp only: of-nat-number-of-lemma neg-def, simp*)

## 26.10 Lemmas for the Combination and Cancellation Simprocs

**lemma** *nat-number-of-add-left*:

$$\begin{aligned} \text{number-of } v + (\text{number-of } v' + (k::\text{nat})) = \\ & (\text{if } \text{neg} (\text{number-of } v :: \text{int}) \text{ then } \text{number-of } v' + k \\ & \quad \text{else if } \text{neg} (\text{number-of } v' :: \text{int}) \text{ then } \text{number-of } v + k \\ & \quad \text{else } \text{number-of } (v + v') + k) \end{aligned}$$

**by** *simp*

**lemma** *nat-number-of-mult-left*:

$$\begin{aligned} \text{number-of } v * (\text{number-of } v' * (k::\text{nat})) = \\ & (\text{if } \text{neg} (\text{number-of } v :: \text{int}) \text{ then } 0 \\ & \quad \text{else } \text{number-of } (v * v') * k) \end{aligned}$$

**by** *simp*

### 26.10.1 For *combine-numerals*

**lemma** *left-add-mult-distrib*:  $i*u + (j*u + k) = (i+j)*u + (k::\text{nat})$

**by** (*simp add: add-mult-distrib*)

### 26.10.2 For *cancel-numerals*

**lemma** *nat-diff-add-eq1*:

$$j <= (i::\text{nat}) \implies ((i*u + m) - (j*u + n)) = (((i-j)*u + m) - n)$$

**by** (*simp split add: nat-diff-split add: add-mult-distrib*)

**lemma** *nat-diff-add-eq2*:

$i \leq (j::nat) \implies ((i*u + m) - (j*u + n)) = (m - ((j-i)*u + n))$   
**by** (*simp split add: nat-diff-split add: add-mult-distrib*)

**lemma** *nat-eq-add-iff1*:

$j \leq (i::nat) \implies (i*u + m = j*u + n) = ((i-j)*u + m = n)$   
**by** (*auto split add: nat-diff-split simp add: add-mult-distrib*)

**lemma** *nat-eq-add-iff2*:

$i \leq (j::nat) \implies (i*u + m = j*u + n) = (m = (j-i)*u + n)$   
**by** (*auto split add: nat-diff-split simp add: add-mult-distrib*)

**lemma** *nat-less-add-iff1*:

$j \leq (i::nat) \implies (i*u + m < j*u + n) = ((i-j)*u + m < n)$   
**by** (*auto split add: nat-diff-split simp add: add-mult-distrib*)

**lemma** *nat-less-add-iff2*:

$i \leq (j::nat) \implies (i*u + m < j*u + n) = (m < (j-i)*u + n)$   
**by** (*auto split add: nat-diff-split simp add: add-mult-distrib*)

**lemma** *nat-le-add-iff1*:

$j \leq (i::nat) \implies (i*u + m \leq j*u + n) = ((i-j)*u + m \leq n)$   
**by** (*auto split add: nat-diff-split simp add: add-mult-distrib*)

**lemma** *nat-le-add-iff2*:

$i \leq (j::nat) \implies (i*u + m \leq j*u + n) = (m \leq (j-i)*u + n)$   
**by** (*auto split add: nat-diff-split simp add: add-mult-distrib*)

### 26.10.3 For *cancel-numeral-factors*

**lemma** *nat-mult-le-cancel1*:  $(0::nat) < k \implies (k*m \leq k*n) = (m \leq n)$   
**by** *auto*

**lemma** *nat-mult-less-cancel1*:  $(0::nat) < k \implies (k*m < k*n) = (m < n)$   
**by** *auto*

**lemma** *nat-mult-eq-cancel1*:  $(0::nat) < k \implies (k*m = k*n) = (m = n)$   
**by** *auto*

**lemma** *nat-mult-div-cancel1*:  $(0::nat) < k \implies (k*m) \text{ div } (k*n) = (m \text{ div } n)$   
**by** *auto*

**lemma** *nat-mult-dvd-cancel-disj[simp]*:

$(k*m) \text{ dvd } (k*n) = (k=0 \mid m \text{ dvd } (n::nat))$   
**by**(*auto simp: dvd-eq-mod-eq-0 mod-mult-distrib2[symmetric]*)

**lemma** *nat-mult-dvd-cancel1*:  $0 < k \implies (k*m) \text{ dvd } (k*n::nat) = (m \text{ dvd } n)$   
**by**(*auto*)

**26.10.4 For cancel-factor**

**lemma** *nat-mult-le-cancel-disj*:  $(k * m \leq k * n) = ((0 :: nat) < k \longrightarrow m \leq n)$   
**by** *auto*

**lemma** *nat-mult-less-cancel-disj*:  $(k * m < k * n) = ((0 :: nat) < k \ \& \ m < n)$   
**by** *auto*

**lemma** *nat-mult-eq-cancel-disj*:  $(k * m = k * n) = (k = (0 :: nat) \mid m = n)$   
**by** *auto*

**lemma** *nat-mult-div-cancel-disj*[*simp*]:  
 $(k * m) \text{ div } (k * n) = (\text{if } k = (0 :: nat) \text{ then } 0 \text{ else } m \text{ div } n)$   
**by** (*simp add: nat-mult-div-cancel1*)

**end**

## 27 Groebner-Basis: Semiring normalization and Groebner Bases

**theory** *Groebner-Basis*

**imports** *NatBin*

**uses**

*Tools/Groebner-Basis/misc.ML*

*Tools/Groebner-Basis/normalizer-data.ML*

*(Tools/Groebner-Basis/normalizer.ML)*

*(Tools/Groebner-Basis/groebner.ML)*

**begin**

### 27.1 Semiring normalization

**setup** *NormalizerData.setup*

**locale** *gb-semiring* =

**fixes** *add mul pwr r0 r1*

**assumes** *add-a*:  $(\text{add } x (\text{add } y z) = \text{add } (\text{add } x y) z)$

**and** *add-c*:  $\text{add } x y = \text{add } y x$  **and** *add-0*:  $\text{add } r0 x = x$

**and** *mul-a*:  $\text{mul } x (\text{mul } y z) = \text{mul } (\text{mul } x y) z$  **and** *mul-c*:  $\text{mul } x y = \text{mul } y x$

**and** *mul-1*:  $\text{mul } r1 x = x$  **and** *mul-0*:  $\text{mul } r0 x = r0$

**and** *mul-d*:  $\text{mul } x (\text{add } y z) = \text{add } (\text{mul } x y) (\text{mul } x z)$

**and** *pwr-0*:  $\text{pwr } x 0 = r1$  **and** *pwr-Suc*:  $\text{pwr } x (\text{Suc } n) = \text{mul } x (\text{pwr } x n)$

**begin**

**lemma** *mul-pwr*:  $\text{mul } (\text{pwr } x p) (\text{pwr } x q) = \text{pwr } x (p + q)$

**proof** (*induct p*)

**case** *0*

**then show** ?*case* **by** (*auto simp add: pwr-0 mul-1*)



```

next
  case Suc
  from this [symmetric] show ?case
    by (auto simp add: pwr-Suc mul-1 mul-a)
qed

lemma pwr-mul: pwr (mul x y) q = mul (pwr x q) (pwr y q)
proof (induct q arbitrary: x y, auto simp add: pwr-0 pwr-Suc mul-1)
  fix q x y
  assume  $\bigwedge x y. \text{pwr } (mul\ x\ y)\ q = mul\ (pwr\ x\ q)\ (pwr\ y\ q)$ 
  have  $mul\ (mul\ x\ y)\ (mul\ (pwr\ x\ q)\ (pwr\ y\ q)) = mul\ x\ (mul\ y\ (mul\ (pwr\ x\ q)\ (pwr\ y\ q)))$ 
  by (simp add: mul-a)
  also have  $\dots = (mul\ (mul\ y\ (mul\ (pwr\ y\ q)\ (pwr\ x\ q)))\ x)$  by (simp add: mul-c)
  also have  $\dots = (mul\ (mul\ y\ (pwr\ y\ q))\ (mul\ (pwr\ x\ q)\ x))$  by (simp add: mul-a)
  finally show  $mul\ (mul\ x\ y)\ (mul\ (pwr\ x\ q)\ (pwr\ y\ q)) =$ 
     $mul\ (mul\ x\ (pwr\ x\ q))\ (mul\ y\ (pwr\ y\ q))$  by (simp add: mul-c)
qed

lemma pwr-pwr: pwr (pwr x p) q = pwr x (p * q)
proof (induct p arbitrary: q)
  case 0
  show ?case using pwr-Suc mul-1 pwr-0 by (induct q) auto
next
  case Suc
  thus ?case by (auto simp add: mul-pwr [symmetric] pwr-mul pwr-Suc)
qed

```

### 27.1.1 Declaring the abstract theory

```

lemma semiring-ops:
  includes meta-term-syntax
  shows TERM (add x y) and TERM (mul x y) and TERM (pwr x n)
    and TERM r0 and TERM r1
  by rule+

```

```

lemma semiring-rules:
  add (mul a m) (mul b m) = mul (add a b) m
  add (mul a m) m = mul (add a r1) m
  add m (mul a m) = mul (add a r1) m
  add m m = mul (add r1 r1) m
  add r0 a = a
  add a r0 = a
  mul a b = mul b a
  mul (add a b) c = add (mul a c) (mul b c)
  mul r0 a = r0
  mul a r0 = r0
  mul r1 a = a
  mul a r1 = a

```

```

mul (mul lx ly) (mul rx ry) = mul (mul lx rx) (mul ly ry)
mul (mul lx ly) (mul rx ry) = mul lx (mul ly (mul rx ry))
mul (mul lx ly) (mul rx ry) = mul rx (mul (mul lx ly) ry)
mul (mul lx ly) rx = mul (mul lx rx) ly
mul (mul lx ly) rx = mul lx (mul ly rx)
mul lx (mul rx ry) = mul (mul lx rx) ry
mul lx (mul rx ry) = mul rx (mul lx ry)
add (add a b) (add c d) = add (add a c) (add b d)
add (add a b) c = add a (add b c)
add a (add c d) = add c (add a d)
add (add a b) c = add (add a c) b
add a c = add c a
add a (add c d) = add (add a c) d
mul (pwr x p) (pwr x q) = pwr x (p + q)
mul x (pwr x q) = pwr x (Suc q)
mul (pwr x q) x = pwr x (Suc q)
mul x x = pwr x 2
pwr (mul x y) q = mul (pwr x q) (pwr y q)
pwr (pwr x p) q = pwr x (p * q)
pwr x 0 = r1
pwr x 1 = x
mul x (add y z) = add (mul x y) (mul x z)
pwr x (Suc q) = mul x (pwr x q)
pwr x (2*n) = mul (pwr x n) (pwr x n)
pwr x (Suc (2*n)) = mul x (mul (pwr x n) (pwr x n))
proof -
  show add (mul a m) (mul b m) = mul (add a b) m using mul-d mul-c by simp
next show add (mul a m) m = mul (add a r1) m using mul-d mul-c mul-1 by
simp
next show add m (mul a m) = mul (add a r1) m using mul-c mul-d mul-1 add-c
by simp
next show add m m = mul (add r1 r1) m using mul-c mul-d mul-1 by simp
next show add r0 a = a using add-0 by simp
next show add a r0 = a using add-0 add-c by simp
next show mul a b = mul b a using mul-c by simp
next show mul (add a b) c = add (mul a c) (mul b c) using mul-c mul-d by
simp
next show mul r0 a = r0 using mul-0 by simp
next show mul a r0 = r0 using mul-0 mul-c by simp
next show mul r1 a = a using mul-1 by simp
next show mul a r1 = a using mul-1 mul-c by simp
next show mul (mul lx ly) (mul rx ry) = mul (mul lx rx) (mul ly ry)
  using mul-c mul-a by simp
next show mul (mul lx ly) (mul rx ry) = mul lx (mul ly (mul rx ry))
  using mul-a by simp
next
  have mul (mul lx ly) (mul rx ry) = mul (mul rx ry) (mul lx ly) by (rule mul-c)
  also have ... = mul rx (mul ry (mul lx ly)) using mul-a by simp
  finally

```

```

  show mul (mul lx ly) (mul rx ry) = mul rx (mul (mul lx ly) ry)
    using mul-c by simp
next show mul (mul lx ly) rx = mul (mul lx rx) ly using mul-c mul-a by simp
next
  show mul (mul lx ly) rx = mul lx (mul ly rx) by (simp add: mul-a)
next show mul lx (mul rx ry) = mul (mul lx rx) ry by (simp add: mul-a )
next show mul lx (mul rx ry) = mul rx (mul lx ry) by (simp add: mul-a,simp
add: mul-c)
next show add (add a b) (add c d) = add (add a c) (add b d)
  using add-c add-a by simp
next show add (add a b) c = add a (add b c) using add-a by simp
next show add a (add c d) = add c (add a d)
  apply (simp add: add-a) by (simp only: add-c)
next show add (add a b) c = add (add a c) b using add-a add-c by simp
next show add a c = add c a by (rule add-c)
next show add a (add c d) = add (add a c) d using add-a by simp
next show mul (pwr x p) (pwr x q) = pwr x (p + q) by (rule mul-pwr)
next show mul x (pwr x q) = pwr x (Suc q) using pwr-Suc by simp
next show mul (pwr x q) x = pwr x (Suc q) using pwr-Suc mul-c by simp
next show mul x x = pwr x 2 by (simp add: nat-number pwr-Suc pwr-0 mul-1
mul-c)
next show pwr (mul x y) q = mul (pwr x q) (pwr y q) by (rule pwr-mul)
next show pwr (pwr x p) q = pwr x (p * q) by (rule pwr-pwr)
next show pwr x 0 = r1 using pwr-0 .
next show pwr x 1 = x by (simp add: nat-number pwr-Suc pwr-0 mul-1 mul-c)
next show mul x (add y z) = add (mul x y) (mul x z) using mul-d by simp
next show pwr x (Suc q) = mul x (pwr x q) using pwr-Suc by simp
next show pwr x (2 * n) = mul (pwr x n) (pwr x n) by (simp add: nat-number
mul-pwr)
next show pwr x (Suc (2 * n)) = mul x (mul (pwr x n) (pwr x n))
  by (simp add: nat-number pwr-Suc mul-pwr)
qed

```

```

lemmas gb-semiring-axioms' =
  gb-semiring-axioms [normalizer
    semiring ops: semiring-ops
    semiring rules: semiring-rules]

```

end

```

interpretation class-semiring: gb-semiring
  [op + op * op ^ 0::'a::{comm-semiring-1, recpower} 1]
  by unfold-locales (auto simp add: ring-simps power-Suc)

```

```

lemmas nat-arith =
  add-nat-number-of diff-nat-number-of mult-nat-number-of eq-nat-number-of less-nat-number-of

```

```

lemma not-iszero-Numeral1: ¬ iszero (Numeral1::'a::number-ring)

```

```

by (simp add: numeral-1-eq-1)
lemmas comp-arith = Let-def arith-simps nat-arith rel-simps if-False
if-True add-0 add-Suc add-number-of-left mult-number-of-left
numeral-1-eq-1[symmetric] Suc-eq-add-numeral-1
numeral-0-eq-0[symmetric] numerals[symmetric] not-iszero-1
iszero-number-of-Bit1 iszero-number-of-Bit0 nonzero-number-of-Min
iszero-number-of-Pls iszero-0 not-iszero-Numeral1

lemmas semiring-norm = comp-arith

ML ⟨⟨
local

open Conv;

fun numeral-is-const ct =
  can HOLogic.dest-number (Thm.term-of ct);

fun int-of-rat x =
  (case Rat.quotient-of-rat x of (i, 1) => i
  | - => error int-of-rat: bad int);

val numeral-conv =
  Simplifier.rewrite (HOL-basic-ss addsimps @ {thms semiring-norm}) then-conv
  Simplifier.rewrite (HOL-basic-ss addsimps
    (@ {thms numeral-1-eq-1} @ @ {thms numeral-0-eq-0} @ @ {thms numerals(1-2)}));

in

fun normalizer-funs key =
  NormalizerData.funs key
  {is-const = fn phi => numeral-is-const,
   dest-const = fn phi => fn ct =>
     Rat.rat-of-int (snd
       (HOLogic.dest-number (Thm.term-of ct)
         handle TERM - => error ring-dest-const)),
   mk-const = fn phi => fn cT => fn x => Numeral.mk-cnumber cT (int-of-rat
     x),
   conv = fn phi => K numeral-conv}

end
⟩⟩

declaration ⟨⟨ normalizer-funs @ {thm class-semiring.gb-semiring-axioms'} ⟩⟩

locale gb-ring = gb-semiring +
  fixes sub :: 'a ⇒ 'a ⇒ 'a
  and neg :: 'a ⇒ 'a

```

```

assumes neg-mul:  $\text{neg } x = \text{mul } (\text{neg } r1) \ x$ 
and sub-add:  $\text{sub } x \ y = \text{add } x \ (\text{neg } y)$ 
begin

```

```

lemma ring-ops:
includes meta-term-syntax
shows TERM (sub x y) and TERM (neg x) .

```

```

lemmas ring-rules = neg-mul sub-add

```

```

lemmas gb-ring-axioms' =
  gb-ring-axioms [normalizer
    semiring ops: semiring-ops
    semiring rules: semiring-rules
    ring ops: ring-ops
    ring rules: ring-rules]

```

```

end

```

```

interpretation class-ring: gb-ring [op + op * op ^
  0::'a::{comm-semiring-1,recpower,number-ring} 1 op - uminus]
by unfold-locales simp-all

```

```

declaration << normalizer-funs @{thm class-ring.gb-ring-axioms'} >>

```

```

use Tools/Groebner-Basis/normalizer.ML

```

```

method-setup sring-norm = <<
  Method.ctx-args (fn ctxt => Method.SIMPLE-METHOD' (Normalizer.semiring-normalize-tac
ctxt))
  >> semiring normalizer

```

```

locale gb-field = gb-ring +
  fixes divide :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a
  and inverse:: 'a  $\Rightarrow$  'a
  assumes divide:  $\text{divide } x \ y = \text{mul } x \ (\text{inverse } y)$ 
  and inverse:  $\text{inverse } x = \text{divide } r1 \ x$ 
begin

```

```

lemmas gb-field-axioms' =
  gb-field-axioms [normalizer
    semiring ops: semiring-ops
    semiring rules: semiring-rules
    ring ops: ring-ops
    ring rules: ring-rules]

```

end

## 27.2 Groebner Bases

```

locale semiringb = gb-semiring +
  assumes add-cancel:  $\text{add } (x::'a) \ y = \text{add } x \ z \longleftrightarrow y = z$ 
  and add-mul-solve:  $\text{add } (\text{mul } w \ y) \ (\text{mul } x \ z) =$ 
     $\text{add } (\text{mul } w \ z) \ (\text{mul } x \ y) \longleftrightarrow w = x \vee y = z$ 
begin

lemma noteq-reduce:  $a \neq b \wedge c \neq d \longleftrightarrow \text{add } (\text{mul } a \ c) \ (\text{mul } b \ d) \neq \text{add } (\text{mul } a$ 
 $d) \ (\text{mul } b \ c)$ 
proof–
  have  $a \neq b \wedge c \neq d \longleftrightarrow \neg (a = b \vee c = d)$  by simp
  also have  $\dots \longleftrightarrow \text{add } (\text{mul } a \ c) \ (\text{mul } b \ d) \neq \text{add } (\text{mul } a \ d) \ (\text{mul } b \ c)$ 
  using add-mul-solve by blast
  finally show  $a \neq b \wedge c \neq d \longleftrightarrow \text{add } (\text{mul } a \ c) \ (\text{mul } b \ d) \neq \text{add } (\text{mul } a \ d) \ (\text{mul}$ 
 $b \ c)$ 
  by simp
qed

lemma add-scale-eq-noteq:  $\llbracket r \neq r0 ; (a = b) \wedge \sim(c = d) \rrbracket$ 
 $\implies \text{add } a \ (\text{mul } r \ c) \neq \text{add } b \ (\text{mul } r \ d)$ 
proof(clarify)
  assume nz:  $r \neq r0$  and cnd:  $c \neq d$ 
  and eq:  $\text{add } b \ (\text{mul } r \ c) = \text{add } b \ (\text{mul } r \ d)$ 
  hence  $\text{mul } r \ c = \text{mul } r \ d$  using cnd add-cancel by simp
  hence  $\text{add } (\text{mul } r0 \ d) \ (\text{mul } r \ c) = \text{add } (\text{mul } r0 \ c) \ (\text{mul } r \ d)$ 
  using mul-0 add-cancel by simp
  thus False using add-mul-solve nz cnd by simp
qed

lemma add-r0-iff:  $x = \text{add } x \ a \longleftrightarrow a = r0$ 
proof–
  have  $a = r0 \longleftrightarrow \text{add } x \ a = \text{add } x \ r0$  by (simp add: add-cancel)
  thus  $x = \text{add } x \ a \longleftrightarrow a = r0$  by (auto simp add: add-c add-0)
qed

declare gb-semiring-axioms' [normalizer del]

lemmas semiringb-axioms' = semiringb-axioms [normalizer
  semiring ops: semiring-ops
  semiring rules: semiring-rules
  idom rules: noteq-reduce add-scale-eq-noteq]

end

locale ringb = semiringb + gb-ring +
  assumes subr0-iff:  $\text{sub } x \ y = r0 \longleftrightarrow x = y$ 

```

**begin**

**declare** *gb-ring-axioms'* [*normalizer del*]

**lemmas** *ringb-axioms'* = *ringb-axioms* [*normalizer*

*semiring ops: semiring-ops*

*semiring rules: semiring-rules*

*ring ops: ring-ops*

*ring rules: ring-rules*

*idom rules: noteq-reduce add-scale-eq-noteq*

*ideal rules: subr0-iff add-r0-iff*]

**end**

**lemma** *no-zero-divisors-neq0*:

**assumes** *az*: (*a*::'*a*::no-zero-divisors)  $\neq 0$

**and** *ab*: *a*\**b* = 0 **shows** *b* = 0

**proof** –

{ **assume** *bz*: *b*  $\neq 0$

**from** *no-zero-divisors* [*OF az bz*] *ab* **have** *False* **by** *blast* }

**thus** *b* = 0 **by** *blast*

**qed**

**interpretation** *class-ringb: ringb*

[*op* + *op* \* *op* ^ 0::'*a*::{*idom,recpower,number-ring*} 1 *op* – *uminus*]

**proof**(*unfold-locales*, *simp add: ring-simps power-Suc*, *auto*)

**fix** *w x y z* ::'*a*::{*idom,recpower,number-ring*}

**assume** *p*: *w* \* *y* + *x* \* *z* = *w* \* *z* + *x* \* *y* **and** *ynz*: *y*  $\neq z$

**hence** *ynz'*: *y* – *z*  $\neq 0$  **by** *simp*

**from** *p* **have** *w* \* *y* + *x* \* *z* – *w* \* *z* – *x* \* *y* = 0 **by** *simp*

**hence** *w* \* (*y* – *z*) – *x* \* (*y* – *z*) = 0 **by** (*simp add: ring-simps*)

**hence** (*y* – *z*) \* (*w* – *x*) = 0 **by** (*simp add: ring-simps*)

**with** *no-zero-divisors-neq0* [*OF ynz'*]

**have** *w* – *x* = 0 **by** *blast*

**thus** *w* = *x* **by** *simp*

**qed**

**declaration**  $\ll$  *normalizer-funs* @{*thm class-ringb.ringb-axioms'*}  $\gg$

**interpretation** *natgb: semiringb*

[*op* + *op* \* *op* ^ 0::nat 1]

**proof** (*unfold-locales*, *simp add: ring-simps power-Suc*)

**fix** *w x y z* ::nat

{ **assume** *p*: *w* \* *y* + *x* \* *z* = *w* \* *z* + *x* \* *y* **and** *ynz*: *y*  $\neq z$

**hence** *y* < *z*  $\vee$  *y* > *z* **by** *arith*

**moreover** {

**assume** *lt*: *y* < *z* **hence**  $\exists k. z = y + k \wedge k > 0$  **by** (*rule-tac x=z – y in*

*exI*, *auto*)

**then obtain  $k$  where  $kp: k>0$  and  $yz:z = y + k$  by *blast***  
**from  $p$  have  $(w * y + x * y) + x*k = (w * y + x*y) + w*k$  by  $(\text{simp add: } yz \text{ ring-simps})$**   
**hence  $x*k = w*k$  by *simp***  
**hence  $w = x$  using  $kp$  by  $(\text{simp add: mult-cancel2})$  }**  
**moreover {**  
**assume  $lt: y > z$  hence  $\exists k. y = z + k \wedge k>0$  by  $(\text{rule-tac } x=y - z \text{ in } exI, \text{ auto})$**   
**then obtain  $k$  where  $kp: k>0$  and  $yz:y = z + k$  by *blast***  
**from  $p$  have  $(w * z + x * z) + w*k = (w * z + x*z) + x*k$  by  $(\text{simp add: } yz \text{ ring-simps})$**   
**hence  $w*k = x*k$  by *simp***  
**hence  $w = x$  using  $kp$  by  $(\text{simp add: mult-cancel2})$  }**  
**ultimately have  $w=x$  by *blast* }**  
**thus  $(w * y + x * z = w * z + x * y) = (w = x \vee y = z)$  by *auto***  
**qed**

**declaration**  $\langle\langle \text{normalizer-funs } @\{\text{thm natgb.semiringb-axioms'} \} \rangle\rangle$

**locale** *fieldgb* = *ringb* + *gb-field*  
**begin**

**declare** *gb-field-axioms'* [*normalizer del*]

**lemmas** *fieldgb-axioms' = fieldgb-axioms* [*normalizer*  
*semiring ops: semiring-ops*  
*semiring rules: semiring-rules*  
*ring ops: ring-ops*  
*ring rules: ring-rules*  
*idom rules: noteq-reduce add-scale-eq-noteq*  
*ideal rules: subr0-iff add-r0-iff*]

**end**

**lemmas** *bool-simps = simp-thms(1-34)*

**lemma** *dnf:*

$(P \ \& \ (Q \mid R)) = ((P \ \& \ Q) \mid (P \ \& \ R)) \ ((Q \mid R) \ \& \ P) = ((Q \ \& \ P) \mid (R \ \& \ P))$   
 $(P \wedge Q) = (Q \wedge P) \ (P \vee Q) = (Q \vee P)$   
**by** *blast+*

**lemmas** *weak-dnf-simps = dnf bool-simps*

**lemma** *nnf-simps:*

$(\neg(P \wedge Q)) = (\neg P \vee \neg Q) \ (\neg(P \vee Q)) = (\neg P \wedge \neg Q) \ (P \longrightarrow Q) = (\neg P \vee Q)$   
 $(P = Q) = ((P \wedge Q) \vee (\neg P \wedge \neg Q)) \ (\neg \neg(P)) = P$   
**by** *blast+*

**lemma** *PFalse:*



```

     $P \equiv \text{False} \implies \neg P$ 
     $\neg P \implies (P \equiv \text{False})$ 
  by auto

use Tools/Groebner-Basis/groebner.ML

method-setup algebra =
  <<
  let
    fun keyword k = Scan.lift (Args.$$$ k -- Args.colon) >> K ()
    val addN = add
    val delN = del
    val any-keyword = keyword addN || keyword delN
    val thms = Scan.repeat (Scan.unless any-keyword Attrib.multi-thm) >> flat;
  in
    fn src => Method.syntax
      ((Scan.optional (keyword addN |-- thms) []) --
       (Scan.optional (keyword delN |-- thms) [])) src
    #> (fn ((add-ths, del-ths), ctxt) =>
        Method.SIMPLE-METHOD' (Groebner.algebra-tac add-ths del-ths ctxt))
  end
  >> solve polynomial equations over (semi)rings and ideal membership problems using
  Groebner bases

end

```

## 28 Arith-Tools: Setup of arithmetic tools

```

theory Arith-Tools
imports Groebner-Basis
uses
  ~~/src/Provers/Arith/cancel-numeral-factor.ML
  ~~/src/Provers/Arith/extract-common-term.ML
  int-factor-simprocs.ML
  nat-simprocs.ML
begin

```

### 28.1 Simprocs for the Naturals

```
declaration << K nat-simprocs-setup >>
```

#### 28.1.1 For simplifying $\text{Suc } m - K$ and $K - \text{Suc } m$

Where K above is a literal

```

lemma Suc-diff-eq-diff-pred: Numeral0 < n ==> Suc m - n = m - (n - Nu-
  meral1)
by (simp add: numeral-0-eq-0 numeral-1-eq-1 split add: nat-diff-split)

```

Now just instantiating  $n$  to  $\text{number-of } v$  does the right simplification, but with some redundant inequality tests.

```

lemma neg-number-of-pred-iff-0:
  neg (number-of (Int.pred v)::int) = (number-of v = (0::nat))
apply (subgoal-tac neg (number-of (Int.pred v)) = (number-of v < Suc 0) )
apply (simp only: less-Suc-eq-le le-0-eq)
apply (subst less-number-of-Suc, simp)
done

```

No longer required as a simprule because of the *inverse-fold* simproc

```

lemma Suc-diff-number-of:
  neg (number-of (uminus v)::int) ==>
    Suc m - (number-of v) = m - (number-of (Int.pred v))
apply (subst Suc-diff-eq-diff-pred)
apply simp
apply (simp del: nat-numeral-1-eq-1)
apply (auto simp only: diff-nat-number-of less-0-number-of [symmetric]
  neg-number-of-pred-iff-0)
done

```

```

lemma diff-Suc-eq-diff-pred: m - Suc n = (m - 1) - n
by (simp add: numerals split add: nat-diff-split)

```

### 28.1.2 For nat-case and nat-rec

```

lemma nat-case-number-of [simp]:
  nat-case a f (number-of v) =
    (let pv = number-of (Int.pred v) in
     if neg pv then a else f (nat pv))
by (simp split add: nat.split add: Let-def neg-number-of-pred-iff-0)

```

```

lemma nat-case-add-eq-if [simp]:
  nat-case a f ((number-of v) + n) =
    (let pv = number-of (Int.pred v) in
     if neg pv then nat-case a f n else f (nat pv + n))
apply (subst add-eq-if)
apply (simp split add: nat.split
  del: nat-numeral-1-eq-1
  add: numeral-1-eq-Suc-0 [symmetric] Let-def
  neg-imp-number-of-eq-0 neg-number-of-pred-iff-0)
done

```

```

lemma nat-rec-number-of [simp]:
  nat-rec a f (number-of v) =
    (let pv = number-of (Int.pred v) in
     if neg pv then a else f (nat pv) (nat-rec a f (nat pv)))
apply (case-tac (number-of v) ::nat)
apply (simp-all (no-asm-simp) add: Let-def neg-number-of-pred-iff-0)
apply (simp split add: split-if-asm)

```

done

**lemma** *nat-rec-add-eq-if* [*simp*]:  
 $\text{nat-rec } a \ f \ (\text{number-of } v + n) =$   
 $(\text{let } pv = \text{number-of } (\text{Int.pred } v) \text{ in}$   
 $\text{if neg } pv \text{ then nat-rec } a \ f \ n$   
 $\text{else } f \ (\text{nat } pv + n) \ (\text{nat-rec } a \ f \ (\text{nat } pv + n)))$   
**apply** (*subst add-eq-if*)  
**apply** (*simp split add: nat.split*  
 $\text{del: nat-numeral-1-eq-1}$   
 $\text{add: numeral-1-eq-Suc-0 [symmetric] Let-def neg-imp-number-of-eq-0}$   
 $\text{neg-number-of-pred-iff-0}$ )  
done

### 28.1.3 Various Other Lemmas

Evens and Odds, for Mutilated Chess Board

Lemmas for specialist use, NOT as default simprules

**lemma** *nat-mult-2*:  $2 * z = (z + z :: \text{nat})$   
**proof** –  
 $\text{have } 2 * z = (1 + 1) * z \text{ by } \textit{simp}$   
 $\text{also have } \dots = z + z \text{ by } (\textit{simp add: left-distrib})$   
 $\text{finally show ?thesis .}$   
**qed**

**lemma** *nat-mult-2-right*:  $z * 2 = (z + z :: \text{nat})$   
**by** (*subst mult-commute, rule nat-mult-2*)

Case analysis on  $n < (2 :: 'a)$

**lemma** *less-2-cases*:  $(n :: \text{nat}) < 2 ==> n = 0 \mid n = \text{Suc } 0$   
**by** *arith*

**lemma** *div2-Suc-Suc* [*simp*]:  $\text{Suc}(\text{Suc } m) \text{ div } 2 = \text{Suc } (m \text{ div } 2)$   
**by** *arith*

**lemma** *add-self-div-2* [*simp*]:  $(m + m) \text{ div } 2 = (m :: \text{nat})$   
**by** (*simp add: nat-mult-2 [symmetric]*)

**lemma** *mod2-Suc-Suc* [*simp*]:  $\text{Suc}(\text{Suc } m) \text{ mod } 2 = m \text{ mod } 2$   
**apply** (*subgoal-tac m mod 2 < 2*)  
**apply** (*erule less-2-cases [THEN disjE]*)  
**apply** (*simp-all (no-asm-simp) add: Let-def mod-Suc nat-1*)  
done

**lemma** *mod2-gr-0* [*simp*]:  $!!m :: \text{nat}. (0 < m \text{ mod } 2) = (m \text{ mod } 2 = 1)$   
**apply** (*subgoal-tac m mod 2 < 2*)  
**apply** (*force simp del: mod-less-divisor, simp*)  
done

Removal of Small Numerals: 0, 1 and (in additive positions) 2

**lemma** *add-2-eq-Suc* [simp]:  $2 + n = \text{Suc} (\text{Suc } n)$   
**by** *simp*

**lemma** *add-2-eq-Suc'* [simp]:  $n + 2 = \text{Suc} (\text{Suc } n)$   
**by** *simp*

Can be used to eliminate long strings of Sucs, but not by default

**lemma** *Suc3-eq-add-3*:  $\text{Suc} (\text{Suc} (\text{Suc } n)) = 3 + n$   
**by** *simp*

These lemmas collapse some needless occurrences of Suc: at least three Sucs, since two and fewer are rewritten back to Suc again! We already have some rules to simplify operands smaller than 3.

**lemma** *div-Suc-eq-div-add3* [simp]:  $m \text{ div } (\text{Suc} (\text{Suc} (\text{Suc } n))) = m \text{ div } (3+n)$   
**by** (*simp add: Suc3-eq-add-3*)

**lemma** *mod-Suc-eq-mod-add3* [simp]:  $m \text{ mod } (\text{Suc} (\text{Suc} (\text{Suc } n))) = m \text{ mod } (3+n)$   
**by** (*simp add: Suc3-eq-add-3*)

**lemma** *Suc-div-eq-add3-div*:  $(\text{Suc} (\text{Suc} (\text{Suc } m))) \text{ div } n = (3+m) \text{ div } n$   
**by** (*simp add: Suc3-eq-add-3*)

**lemma** *Suc-mod-eq-add3-mod*:  $(\text{Suc} (\text{Suc} (\text{Suc } m))) \text{ mod } n = (3+m) \text{ mod } n$   
**by** (*simp add: Suc3-eq-add-3*)

**lemmas** *Suc-div-eq-add3-div-number-of* =  
*Suc-div-eq-add3-div* [of - number-of v, standard]  
**declare** *Suc-div-eq-add3-div-number-of* [simp]

**lemmas** *Suc-mod-eq-add3-mod-number-of* =  
*Suc-mod-eq-add3-mod* [of - number-of v, standard]  
**declare** *Suc-mod-eq-add3-mod-number-of* [simp]

#### 28.1.4 Special Simplification for Constants

These belong here, late in the development of HOL, to prevent their interfering with proofs of abstract properties of instances of the function *number-of*

These distributive laws move literals inside sums and differences.

**lemmas** *left-distrib-number-of* = *left-distrib* [of - - number-of v, standard]  
**declare** *left-distrib-number-of* [simp]

**lemmas** *right-distrib-number-of* = *right-distrib* [of number-of v, standard]  
**declare** *right-distrib-number-of* [simp]

**lemmas** *left-diff-distrib-number-of* =

```

    left-diff-distrib [of - - number-of v, standard]
declare left-diff-distrib-number-of [simp]

```

```

lemmas right-diff-distrib-number-of =
    right-diff-distrib [of number-of v, standard]
declare right-diff-distrib-number-of [simp]

```

These are actually for fields, like real: but where else to put them?

```

lemmas zero-less-divide-iff-number-of =
    zero-less-divide-iff [of number-of w, standard]
declare zero-less-divide-iff-number-of [simp,noatp]

```

```

lemmas divide-less-0-iff-number-of =
    divide-less-0-iff [of number-of w, standard]
declare divide-less-0-iff-number-of [simp,noatp]

```

```

lemmas zero-le-divide-iff-number-of =
    zero-le-divide-iff [of number-of w, standard]
declare zero-le-divide-iff-number-of [simp,noatp]

```

```

lemmas divide-le-0-iff-number-of =
    divide-le-0-iff [of number-of w, standard]
declare divide-le-0-iff-number-of [simp,noatp]

```

Replaces *inverse #nn* by  $1/\#nn$ . It looks strange, but then other simprocs simplify the quotient.

```

lemmas inverse-eq-divide-number-of =
    inverse-eq-divide [of number-of w, standard]
declare inverse-eq-divide-number-of [simp]

```

These laws simplify inequalities, moving unary minus from a term into the literal.

```

lemmas less-minus-iff-number-of =
    less-minus-iff [of number-of v, standard]
declare less-minus-iff-number-of [simp,noatp]

```

```

lemmas le-minus-iff-number-of =
    le-minus-iff [of number-of v, standard]
declare le-minus-iff-number-of [simp,noatp]

```

```

lemmas equation-minus-iff-number-of =
    equation-minus-iff [of number-of v, standard]
declare equation-minus-iff-number-of [simp,noatp]

```

```

lemmas minus-less-iff-number-of =
    minus-less-iff [of - number-of v, standard]
declare minus-less-iff-number-of [simp,noatp]

```

```

lemmas minus-le-iff-number-of =
  minus-le-iff [of - number-of v, standard]
declare minus-le-iff-number-of [simp,noatp]

```

```

lemmas minus-equation-iff-number-of =
  minus-equation-iff [of - number-of v, standard]
declare minus-equation-iff-number-of [simp,noatp]

```

To Simplify Inequalities Where One Side is the Constant 1

```

lemma less-minus-iff-1 [simp,noatp]:
  fixes b::'b::{ordered-idom,number-ring}
  shows  $(1 < - b) = (b < -1)$ 
by auto

```

```

lemma le-minus-iff-1 [simp,noatp]:
  fixes b::'b::{ordered-idom,number-ring}
  shows  $(1 \leq - b) = (b \leq -1)$ 
by auto

```

```

lemma equation-minus-iff-1 [simp,noatp]:
  fixes b::'b::number-ring
  shows  $(1 = - b) = (b = -1)$ 
by (subst equation-minus-iff, auto)

```

```

lemma minus-less-iff-1 [simp,noatp]:
  fixes a::'a::{ordered-idom,number-ring}
  shows  $(- a < 1) = (-1 < a)$ 
by auto

```

```

lemma minus-le-iff-1 [simp,noatp]:
  fixes a::'a::{ordered-idom,number-ring}
  shows  $(- a \leq 1) = (-1 \leq a)$ 
by auto

```

```

lemma minus-equation-iff-1 [simp,noatp]:
  fixes a::'a::number-ring
  shows  $(- a = 1) = (a = -1)$ 
by (subst minus-equation-iff, auto)

```

Cancellation of constant factors in comparisons ( $<$  and  $\leq$ )

```

lemmas mult-less-cancel-left-number-of =
  mult-less-cancel-left [of number-of v, standard]
declare mult-less-cancel-left-number-of [simp,noatp]

```

```

lemmas mult-less-cancel-right-number-of =
  mult-less-cancel-right [of - number-of v, standard]
declare mult-less-cancel-right-number-of [simp,noatp]

```

**lemmas** *mult-le-cancel-left-number-of* =  
     *mult-le-cancel-left* [of number-of *v*, standard]  
**declare** *mult-le-cancel-left-number-of* [simp,noatp]

**lemmas** *mult-le-cancel-right-number-of* =  
     *mult-le-cancel-right* [of - number-of *v*, standard]  
**declare** *mult-le-cancel-right-number-of* [simp,noatp]

Multiplying out constant divisors in comparisons ( $<$ ,  $\leq$  and  $=$ )

**lemmas** *le-divide-eq-number-of1* [simp] = *le-divide-eq* [of - - number-of *w*, standard]  
**lemmas** *divide-le-eq-number-of1* [simp] = *divide-le-eq* [of - number-of *w*, standard]  
**lemmas** *less-divide-eq-number-of1* [simp] = *less-divide-eq* [of - - number-of *w*, standard]  
**lemmas** *divide-less-eq-number-of1* [simp] = *divide-less-eq* [of - number-of *w*, standard]  
**lemmas** *eq-divide-eq-number-of1* [simp] = *eq-divide-eq* [of - - number-of *w*, standard]  
**lemmas** *divide-eq-eq-number-of1* [simp] = *divide-eq-eq* [of - number-of *w*, standard]

### 28.1.5 Optional Simplification Rules Involving Constants

Simplify quotients that are compared with a literal constant.

**lemmas** *le-divide-eq-number-of* = *le-divide-eq* [of number-of *w*, standard]  
**lemmas** *divide-le-eq-number-of* = *divide-le-eq* [of - - number-of *w*, standard]  
**lemmas** *less-divide-eq-number-of* = *less-divide-eq* [of number-of *w*, standard]  
**lemmas** *divide-less-eq-number-of* = *divide-less-eq* [of - - number-of *w*, standard]  
**lemmas** *eq-divide-eq-number-of* = *eq-divide-eq* [of number-of *w*, standard]  
**lemmas** *divide-eq-eq-number-of* = *divide-eq-eq* [of - - number-of *w*, standard]

Not good as automatic simprules because they cause case splits.

**lemmas** *divide-const-simps* =  
     *le-divide-eq-number-of divide-le-eq-number-of less-divide-eq-number-of*  
     *divide-less-eq-number-of eq-divide-eq-number-of divide-eq-eq-number-of*  
     *le-divide-eq-1 divide-le-eq-1 less-divide-eq-1 divide-less-eq-1*

Division By  $-1$

**lemma** *divide-minus1* [simp]:  
      $x / -1 = -(x :: 'a :: \{\text{field}, \text{division-by-zero}, \text{number-ring}\})$   
**by** *simp*

**lemma** *minus1-divide* [simp]:  
      $-1 / (x :: 'a :: \{\text{field}, \text{division-by-zero}, \text{number-ring}\}) = -(1/x)$   
**by** (*simp add: divide-inverse inverse-minus-eq*)

**lemma** *half-gt-zero-iff*:  
      $(0 < r/2) = (0 < (r :: 'a :: \{\text{ordered-field}, \text{division-by-zero}, \text{number-ring}\}))$   
**by** *auto*

```

lemmas half-gt-zero = half-gt-zero-iff [THEN iffD2, standard]
declare half-gt-zero [simp]

```

```

lemma nat-dvd-not-less:
  [| 0 < m; m < n |] ==> ¬ n dvd (m::nat)
  by (unfold dvd-def) auto

```

```

ML <<
  val divide-minus1 = @{thm divide-minus1};
  val minus1-divide = @{thm minus1-divide};
  >>

```

## 28.2 Groebner Bases for fields

```

interpretation class-fieldgb:
  fieldgb[op + op * op ^ 0::'a::{field,recpower,number-ring} 1 op - uminus op /
  inverse] apply (unfold-locales) by (simp-all add: divide-inverse)

```

```

lemma divide-Numeral1: (x::'a::{field,number-ring}) / Numeral1 = x by simp
lemma divide-Numeral0: (x::'a::{field,number-ring, division-by-zero}) / Numeral0
  = 0
  by simp
lemma mult-frac-frac: ((x::'a::{field,division-by-zero}) / y) * (z / w) = (x*z) /
  (y*w)
  by simp
lemma mult-frac-num: ((x::'a::{field, division-by-zero}) / y) * z = (x*z) / y
  by simp
lemma mult-num-frac: ((x::'a::{field, division-by-zero}) / y) * z = (x*z) / y
  by simp

```

```

lemma Numeral1-eq1-nat: (1::nat) = Numeral1 by simp

```

```

lemma add-frac-num: y ≠ 0 ==> (x::'a::{field, division-by-zero}) / y + z = (x +
  z*y) / y
  by (simp add: add-divide-distrib)
lemma add-num-frac: y ≠ 0 ==> z + (x::'a::{field, division-by-zero}) / y = (x +
  z*y) / y
  by (simp add: add-divide-distrib)

```

```

ML<<
  local
    val zr = @{cpat 0}
    val zT = ctyp-of-term zr
    val geq = @{cpat op =}
    val eqT = Thm.dest-ctyp (ctyp-of-term geq) |> hd

```



```

val add-frac-eq = mk-meta-eq @ { thm add-frac-eq }
val add-frac-num = mk-meta-eq @ { thm add-frac-num }
val add-num-frac = mk-meta-eq @ { thm add-num-frac }

fun prove-nz ss T t =
  let
    val z = instantiate-cterm [(zT, T)], [] zr
    val eq = instantiate-cterm [(eqT, T)], [] geq
    val th = Simplifier.rewrite (ss addsimps simp-thms)
      (Thm.capply @ { cterm Trueprop } (Thm.capply @ { cterm Not }
        (Thm.capply (Thm.capply eq t) z)))
    in equal-elim (symmetric th) TrueI
  end

fun proc phi ss ct =
  let
    val ((x,y),(w,z)) =
      (Thm.dest-binop #> (fn (a,b) => (Thm.dest-binop a, Thm.dest-binop b)))
  ct
    val - = map (HOLogic.dest-number o term-of) [x,y,z,w]
    val T = ctyp-of-term x
    val [y-nz, z-nz] = map (prove-nz ss T) [y, z]
    val th = instantiate' [SOME T] (map SOME [y,z,x,w]) add-frac-eq
    in SOME (implies-elim (implies-elim th y-nz) z-nz)
  end
  handle CTERM - => NONE | TERM - => NONE | THM - => NONE

fun proc2 phi ss ct =
  let
    val (l,r) = Thm.dest-binop ct
    val T = ctyp-of-term l
    in (case (term-of l, term-of r) of
      (Const (@ { const-name HOL.divide }, -) $ $ -, -) =>
        let val (x,y) = Thm.dest-binop l val z = r
          val - = map (HOLogic.dest-number o term-of) [x,y,z]
          val ynz = prove-nz ss T y
          in SOME (implies-elim (instantiate' [SOME T] (map SOME [y,x,z])
add-frac-num) ynz)
        end
      | (-, Const (@ { const-name HOL.divide }, -) $ $ -) =>
        let val (x,y) = Thm.dest-binop r val z = l
          val - = map (HOLogic.dest-number o term-of) [x,y,z]
          val ynz = prove-nz ss T y
          in SOME (implies-elim (instantiate' [SOME T] (map SOME [y,z,x])
add-num-frac) ynz)
        end
      | - => NONE)
    end
  handle CTERM - => NONE | TERM - => NONE | THM - => NONE

```

```

fun is-number (Const(@{const-name HOL.divide},-)$a$b) = is-number a andalso
is-number b
  | is-number t = can HOLLogic.dest-number t

val is-number = is-number o term-of

fun proc3 phi ss ct =
  (case term-of ct of
    Const(@{const-name HOL.less},-)$ (Const(@{const-name HOL.divide},-)$-$-)$-
  =>
    let
      val ((a,b),c) = Thm.dest-binop ct |>> Thm.dest-binop
      val - = map is-number [a,b,c]
      val T = ctyp-of-term c
      val th = instantiate' [SOME T] (map SOME [a,b,c]) @ {thm divide-less-eq}
      in SOME (mk-meta-eq th) end
    | Const(@{const-name HOL.less-eq},-)$ (Const(@{const-name HOL.divide},-)$-$-)$-
  =>
    let
      val ((a,b),c) = Thm.dest-binop ct |>> Thm.dest-binop
      val - = map is-number [a,b,c]
      val T = ctyp-of-term c
      val th = instantiate' [SOME T] (map SOME [a,b,c]) @ {thm divide-le-eq}
      in SOME (mk-meta-eq th) end
    | Const(op =,-)$ (Const(@{const-name HOL.divide},-)$-$-)$- =>
    let
      val ((a,b),c) = Thm.dest-binop ct |>> Thm.dest-binop
      val - = map is-number [a,b,c]
      val T = ctyp-of-term c
      val th = instantiate' [SOME T] (map SOME [a,b,c]) @ {thm divide-eq-eq}
      in SOME (mk-meta-eq th) end
    | Const(@{const-name HOL.less},-)$-$ (Const(@{const-name HOL.divide},-)$-$-)$-
  =>
    let
      val (a,(b,c)) = Thm.dest-binop ct ||> Thm.dest-binop
      val - = map is-number [a,b,c]
      val T = ctyp-of-term c
      val th = instantiate' [SOME T] (map SOME [a,b,c]) @ {thm less-divide-eq}
      in SOME (mk-meta-eq th) end
    | Const(@{const-name HOL.less-eq},-)$-$ (Const(@{const-name HOL.divide},-)$-$-)$-
  =>
    let
      val (a,(b,c)) = Thm.dest-binop ct ||> Thm.dest-binop
      val - = map is-number [a,b,c]
      val T = ctyp-of-term c
      val th = instantiate' [SOME T] (map SOME [a,b,c]) @ {thm le-divide-eq}
      in SOME (mk-meta-eq th) end
    | Const(op =,-)$-$ (Const(@{const-name HOL.divide},-)$-$-)$- =>

```

```

let
  val (a,(b,c)) = Thm.dest-binop ct ||> Thm.dest-binop
  val - = map is-number [a,b,c]
  val T = ctyp-of-term c
  val th = instantiate' [SOME T] (map SOME [a,b,c]) @ {thm eq-divide-eq}
  in SOME (mk-meta-eq th) end
| - => NONE)
handle TERM - => NONE | CTERM - => NONE | THM - => NONE

val add-frac-frac-simproc =
  make-simproc {lhss = [@{cpat (?x::?'a::field)/?y + (?w::?'a::field)/?z}],
    name = add-frac-frac-simproc,
    proc = proc, identifier = []}

val add-frac-num-simproc =
  make-simproc {lhss = [@{cpat (?x::?'a::field)/?y + ?z}, @ {cpat ?z +
    (?x::?'a::field)/?y}],
    name = add-frac-num-simproc,
    proc = proc2, identifier = []}

val ord-frac-simproc =
  make-simproc
  {lhss = [@{cpat (?a::(?'a::{field, ord}))/?b < ?c},
    @ {cpat (?a::(?'a::{field, ord}))/?b ≤ ?c},
    @ {cpat ?c < (?a::(?'a::{field, ord}))/?b},
    @ {cpat ?c ≤ (?a::(?'a::{field, ord}))/?b},
    @ {cpat ?c = ((?a::(?'a::{field, ord}))/?b)},
    @ {cpat ((?a::(?'a::{field, ord}))/ ?b) = ?c}],
    name = ord-frac-simproc, proc = proc3, identifier = []}

val nat-arith = map thm [add-nat-number-of, diff-nat-number-of,
  mult-nat-number-of, eq-nat-number-of, less-nat-number-of]

val comp-arith = (map thm [Let-def, if-False, if-True, add-0,
  add-Suc, add-number-of-left, mult-number-of-left,
  Suc-eq-add-numeral-1]) @
  (map (fn s => thm s RS sym) [numeral-1-eq-1, numeral-0-eq-0])
  @ @ {thms arith-simps} @ nat-arith @ @ {thms rel-simps}

val ths = [@{thm mult-numeral-1}, @ {thm mult-numeral-1-right},
  @ {thm divide-Numeral1},
  @ {thm Ring-and-Field.divide-zero}, @ {thm divide-Numeral0},
  @ {thm divide-divide-eq-left}, @ {thm mult-frac-frac},
  @ {thm mult-num-frac}, @ {thm mult-frac-num},
  @ {thm mult-frac-frac}, @ {thm times-divide-eq-right},
  @ {thm times-divide-eq-left}, @ {thm divide-divide-eq-right},
  @ {thm diff-def}, @ {thm minus-divide-left},
  @ {thm Numeral1-eq1-nat}, @ {thm add-divide-distrib} RS sym]

```

local

```

open Conv
in
val comp-conv = (Simplifier.rewrite
(HOL-basic-ss addsimps @{thms Groebner-Basis.comp-arith}
  addsimps ths addsimps comp-arith addsimps simp-thms
  addsimprocs field-cancel-numeral-factors
  addsimprocs [add-frac-frac-simproc, add-frac-num-simproc,
    ord-frac-simproc]
  addcongs [@{thm if-weak-cong}])))
then-conv (Simplifier.rewrite (HOL-basic-ss addsimps
  [@{thm numeral-1-eq-1},{thm numeral-0-eq-0}] @ @{thms numerals(1-2)}))
end

fun numeral-is-const ct =
  case term-of ct of
    Const (@{const-name HOL.divide},-) $ a $ b =>
      numeral-is-const (Thm.dest-arg1 ct) andalso numeral-is-const (Thm.dest-arg
ct)
  | Const (@{const-name HOL.uminus},-) $ t => numeral-is-const (Thm.dest-arg
ct)
  | t => can HOLogic.dest-number t

fun dest-const ct = ((case term-of ct of
  Const (@{const-name HOL.divide},-) $ a $ b =>
    Rat.rat-of-quotient (snd (HOLogic.dest-number a), snd (HOLogic.dest-number
b))
  | t => Rat.rat-of-int (snd (HOLogic.dest-number t)))
  handle TERM - => error ring-dest-const)

fun mk-const phi cT x =
  let val (a, b) = Rat.quotient-of-rat x
  in if b = 1 then Numeral.mk-cnumber cT a
    else Thm.capply
      (Thm.capply (Drule.ctrm-rule (instantiate' [SOME cT] []) @ {cpat op /})
        (Numeral.mk-cnumber cT a))
      (Numeral.mk-cnumber cT b)
  end

in
val field-comp-conv = comp-conv;
val fieldgb-declaration =
  NormalizerData.funs @ {thm class-fieldgb.fieldgb-axioms'}
  {is-const = K numeral-is-const,
   dest-const = K dest-const,
   mk-const = mk-const,
   conv = K (K comp-conv)}
end;
>>

```

```

declaration⟨⟨ fieldgb-declaration ⟩⟩
end

```

## 29 SetInterval: Set intervals

```

theory SetInterval
imports Int
begin

```

```

context ord

```

```

begin

```

```

definition

```

```

  lessThan    :: 'a => 'a set ((1{..<})) where
  {..} == {x. x < u}

```

```

definition

```

```

  atMost      :: 'a => 'a set ((1{..})) where
  {..u} == {x. x ≤ u}

```

```

definition

```

```

  greaterThan :: 'a => 'a set ((1{<..})) where
  {l<..} == {x. l < x}

```

```

definition

```

```

  atLeast     :: 'a => 'a set ((1{-.})) where
  {l..} == {x. l ≤ x}

```

```

definition

```

```

  greaterThanLessThan :: 'a => 'a => 'a set ((1{<..<})) where
  {l<..} == {l<..} Int {..}

```

```

definition

```

```

  atLeastLessThan :: 'a => 'a => 'a set ((1{<-.})) where
  {l..} == {l..} Int {..}

```

```

definition

```

```

  greaterThanAtMost :: 'a => 'a => 'a set ((1{<..})) where
  {l<..u} == {l<..} Int {..u}

```

```

definition

```

```

  atLeastAtMost :: 'a => 'a => 'a set ((1{<-.})) where
  {l..u} == {l..} Int {..u}

```

```

end

```

A note of warning when using  $\{.. $n\}$  on type  $\text{nat}$ : it is equivalent to  $\{0.. $n\}$  but some lemmas involving  $\{m.. $n\}$  may not exist in  $\{.. $n\}$ -form as well.$$$$

```

syntax

```

$@UNION-le :: nat \Rightarrow nat \Rightarrow 'b\ set \Rightarrow 'b\ set \quad ((\exists UN \ -<= \cdot / \ -) \ 10)$   
 $@UNION-less :: nat \Rightarrow nat \Rightarrow 'b\ set \Rightarrow 'b\ set \quad ((\exists UN \ -< \cdot / \ -) \ 10)$   
 $@INTER-le :: nat \Rightarrow nat \Rightarrow 'b\ set \Rightarrow 'b\ set \quad ((\exists INT \ -<= \cdot / \ -) \ 10)$   
 $@INTER-less :: nat \Rightarrow nat \Rightarrow 'b\ set \Rightarrow 'b\ set \quad ((\exists INT \ -< \cdot / \ -) \ 10)$

**syntax** (*input*)

$@UNION-le :: nat \Rightarrow nat \Rightarrow 'b\ set \Rightarrow 'b\ set \quad ((\exists \cup \ -\leq \cdot / \ -) \ 10)$   
 $@UNION-less :: nat \Rightarrow nat \Rightarrow 'b\ set \Rightarrow 'b\ set \quad ((\exists \cup \ -< \cdot / \ -) \ 10)$   
 $@INTER-le :: nat \Rightarrow nat \Rightarrow 'b\ set \Rightarrow 'b\ set \quad ((\exists \cap \ -\leq \cdot / \ -) \ 10)$   
 $@INTER-less :: nat \Rightarrow nat \Rightarrow 'b\ set \Rightarrow 'b\ set \quad ((\exists \cap \ -< \cdot / \ -) \ 10)$

**syntax** (*xsymbols*)

$@UNION-le :: nat \Rightarrow nat \Rightarrow 'b\ set \Rightarrow 'b\ set \quad ((\exists \cup (00 \_ \leq \_) / \_) \ 10)$   
 $@UNION-less :: nat \Rightarrow nat \Rightarrow 'b\ set \Rightarrow 'b\ set \quad ((\exists \cup (00 \_ < \_) / \_) \ 10)$   
 $@INTER-le :: nat \Rightarrow nat \Rightarrow 'b\ set \Rightarrow 'b\ set \quad ((\exists \cap (00 \_ \leq \_) / \_) \ 10)$   
 $@INTER-less :: nat \Rightarrow nat \Rightarrow 'b\ set \Rightarrow 'b\ set \quad ((\exists \cap (00 \_ < \_) / \_) \ 10)$

**translations**

$UN\ i \leq n. A == UN\ i: \{..n\}. A$   
 $UN\ i < n. A == UN\ i: \{..<n\}. A$   
 $INT\ i \leq n. A == INT\ i: \{..n\}. A$   
 $INT\ i < n. A == INT\ i: \{..<n\}. A$

## 29.1 Various equivalences

**lemma** (*in ord*) *lessThan-iff* [*iff*]: (*i*: *lessThan* *k*) = (*i* < *k*)  
**by** (*simp add: lessThan-def*)

**lemma** *Compl-lessThan* [*simp*]:

!!*k*:: '*a*::*linorder*.  $\neg lessThan\ k = atLeast\ k$

**apply** (*auto simp add: lessThan-def atLeast-def*)

**done**

**lemma** *single-Diff-lessThan* [*simp*]: !!*k*:: '*a*::*order*.  $\{k\} - lessThan\ k = \{k\}$   
**by** *auto*

**lemma** (*in ord*) *greaterThan-iff* [*iff*]: (*i*: *greaterThan* *k*) = (*k* < *i*)  
**by** (*simp add: greaterThan-def*)

**lemma** *Compl-greaterThan* [*simp*]:

!!*k*:: '*a*::*linorder*.  $\neg greaterThan\ k = atMost\ k$

**by** (*auto simp add: greaterThan-def atMost-def*)

**lemma** *Compl-atMost* [*simp*]: !!*k*:: '*a*::*linorder*.  $\neg atMost\ k = greaterThan\ k$

**apply** (*subst Compl-greaterThan [symmetric]*)

**apply** (*rule double-complement*)

**done**

**lemma** (*in ord*) *atLeast-iff* [*iff*]: (*i*: *atLeast* *k*) = (*k* <= *i*)

**by** (*simp add: atLeast-def*)

**lemma** *Compl-atLeast* [*simp*]:

!!*k*:: 'a::linorder.  $\neg \text{atLeast } k = \text{lessThan } k$

**by** (*auto simp add: lessThan-def atLeast-def*)

**lemma** (*in ord*) *atMost-iff* [*iff*]: (*i*: *atMost* *k*) = (*i* ≤ *k*)

**by** (*simp add: atMost-def*)

**lemma** *atMost-Int-atLeast*: !!*n*:: 'a::order. *atMost* *n* *Int* *atLeast* *n* = {*n*}

**by** (*blast intro: order-antisym*)

## 29.2 Logical Equivalences for Set Inclusion and Equality

**lemma** *atLeast-subset-iff* [*iff*]:

(*atLeast* *x* ⊆ *atLeast* *y*) = (*y* ≤ (*x*::'a::order))

**by** (*blast intro: order-trans*)

**lemma** *atLeast-eq-iff* [*iff*]:

(*atLeast* *x* = *atLeast* *y*) = (*x* = (*y*::'a::linorder))

**by** (*blast intro: order-antisym order-trans*)

**lemma** *greaterThan-subset-iff* [*iff*]:

(*greaterThan* *x* ⊆ *greaterThan* *y*) = (*y* ≤ (*x*::'a::linorder))

**apply** (*auto simp add: greaterThan-def*)

**apply** (*subst linorder-not-less [symmetric], blast*)

**done**

**lemma** *greaterThan-eq-iff* [*iff*]:

(*greaterThan* *x* = *greaterThan* *y*) = (*x* = (*y*::'a::linorder))

**apply** (*rule iffI*)

**apply** (*erule equalityE*)

**apply** (*simp-all add: greaterThan-subset-iff*)

**done**

**lemma** *atMost-subset-iff* [*iff*]: (*atMost* *x* ⊆ *atMost* *y*) = (*x* ≤ (*y*::'a::order))

**by** (*blast intro: order-trans*)

**lemma** *atMost-eq-iff* [*iff*]: (*atMost* *x* = *atMost* *y*) = (*x* = (*y*::'a::linorder))

**by** (*blast intro: order-antisym order-trans*)

**lemma** *lessThan-subset-iff* [*iff*]:

(*lessThan* *x* ⊆ *lessThan* *y*) = (*x* ≤ (*y*::'a::linorder))

**apply** (*auto simp add: lessThan-def*)

**apply** (*subst linorder-not-less [symmetric], blast*)

**done**

**lemma** *lessThan-eq-iff* [*iff*]:

(*lessThan* *x* = *lessThan* *y*) = (*x* = (*y*::'a::linorder))

```

apply (rule iffI)
  apply (erule equalityE)
  apply (simp-all add: lessThan-subset-iff)
done

```

### 29.3 Two-sided intervals

```

context ord
begin

```

```

lemma greaterThanLessThan-iff [simp,noatp]:
  ( $i : \{l <..<u\}$ ) = ( $l < i \ \& \ i < u$ )
by (simp add: greaterThanLessThan-def)

```

```

lemma atLeastLessThan-iff [simp,noatp]:
  ( $i : \{l..<u\}$ ) = ( $l \leq i \ \& \ i < u$ )
by (simp add: atLeastLessThan-def)

```

```

lemma greaterThanAtMost-iff [simp,noatp]:
  ( $i : \{l <..u\}$ ) = ( $l < i \ \& \ i \leq u$ )
by (simp add: greaterThanAtMost-def)

```

```

lemma atLeastAtMost-iff [simp,noatp]:
  ( $i : \{l..u\}$ ) = ( $l \leq i \ \& \ i \leq u$ )
by (simp add: atLeastAtMost-def)

```

The above four lemmas could be declared as *iffs*. If we do so, a call to *blast* in Hyperreal/Star.ML, lemma *STAR-Int* seems to take forever (more than one hour).

```

end

```

#### 29.3.1 Emptiness and singletons

```

context order
begin

```

```

lemma atLeastAtMost-empty [simp]:  $n < m \implies \{m..n\} = \{\}$ 
by (auto simp add: atLeastAtMost-def atMost-def atLeast-def)

```

```

lemma atLeastLessThan-empty [simp]:  $n \leq m \implies \{m..<n\} = \{\}$ 
by (auto simp add: atLeastLessThan-def)

```

```

lemma greaterThanAtMost-empty [simp]:  $l \leq k \implies \{k <..l\} = \{\}$ 
by (auto simp: greaterThanAtMost-def greaterThan-def atMost-def)

```

```

lemma greaterThanLessThan-empty [simp]:  $l \leq k \implies \{k <..l\} = \{\}$ 
by (auto simp: greaterThanLessThan-def greaterThan-def lessThan-def)

```

```

lemma atLeastAtMost-singleton [simp]:  $\{a..a\} = \{a\}$ 

```



by (auto simp add: atLeastAtMost-def atMost-def atLeast-def)  
 end

## 29.4 Intervals of natural numbers

### 29.4.1 The Constant *lessThan*

**lemma** *lessThan-0* [simp]: *lessThan* (0::nat) = {}  
 by (simp add: *lessThan-def*)

**lemma** *lessThan-Suc*: *lessThan* (Suc *k*) = insert *k* (*lessThan* *k*)  
 by (simp add: *lessThan-def less-Suc-eq, blast*)

**lemma** *lessThan-Suc-atMost*: *lessThan* (Suc *k*) = atMost *k*  
 by (simp add: *lessThan-def atMost-def less-Suc-eq-le*)

**lemma** *UN-lessThan-UNIV*: (UN *m*::nat. *lessThan* *m*) = UNIV  
 by blast

### 29.4.2 The Constant *greaterThan*

**lemma** *greaterThan-0* [simp]: *greaterThan* 0 = range Suc  
 apply (simp add: *greaterThan-def*)  
 apply (blast dest: gr0-conv-Suc [THEN iffD1])  
 done

**lemma** *greaterThan-Suc*: *greaterThan* (Suc *k*) = *greaterThan* *k* - {Suc *k*}  
 apply (simp add: *greaterThan-def*)  
 apply (auto elim: linorder-neqE)  
 done

**lemma** *INT-greaterThan-UNIV*: (INT *m*::nat. *greaterThan* *m*) = {}  
 by blast

### 29.4.3 The Constant *atLeast*

**lemma** *atLeast-0* [simp]: *atLeast* (0::nat) = UNIV  
 by (unfold *atLeast-def UNIV-def, simp*)

**lemma** *atLeast-Suc*: *atLeast* (Suc *k*) = *atLeast* *k* - {*k*}  
 apply (simp add: *atLeast-def*)  
 apply (simp add: *Suc-le-eq*)  
 apply (simp add: *order-le-less, blast*)  
 done

**lemma** *atLeast-Suc-greaterThan*: *atLeast* (Suc *k*) = *greaterThan* *k*  
 by (auto simp add: *greaterThan-def atLeast-def less-Suc-eq-le*)

**lemma** *UN-atLeast-UNIV*: (UN *m*::nat. *atLeast* *m*) = UNIV

by *blast*

#### 29.4.4 The Constant *atMost*

**lemma** *atMost-0* [*simp*]:  $\text{atMost } (0::\text{nat}) = \{0\}$   
 by (*simp add: atMost-def*)

**lemma** *atMost-Suc*:  $\text{atMost } (\text{Suc } k) = \text{insert } (\text{Suc } k) (\text{atMost } k)$   
**apply** (*simp add: atMost-def*)  
**apply** (*simp add: less-Suc-eq order-le-less, blast*)  
**done**

**lemma** *UN-atMost-UNIV*:  $(\text{UN } m::\text{nat}. \text{atMost } m) = \text{UNIV}$   
 by *blast*

#### 29.4.5 The Constant *atLeastLessThan*

The orientation of the following rule is tricky. The lhs is defined in terms of the rhs. Hence the chosen orientation makes sense in this theory — the reverse orientation complicates proofs (eg nontermination). But outside, when the definition of the lhs is rarely used, the opposite orientation seems preferable because it reduces a specific concept to a more general one.

**lemma** *atLeast0LessThan*:  $\{0::\text{nat}..<n\} = \{..<n\}$   
 by (*simp add: lessThan-def atLeastLessThan-def*)

**declare** *atLeast0LessThan*[*symmetric, code unfold*]

**lemma** *atLeastLessThan0*:  $\{m..<0::\text{nat}\} = \{\}$   
 by (*simp add: atLeastLessThan-def*)

#### 29.4.6 Intervals of nats with *Suc*

Not a simprule because the RHS is too messy.

**lemma** *atLeastLessThanSuc*:  
 $\{m..<\text{Suc } n\} = (\text{if } m \leq n \text{ then insert } n \{m..<n\} \text{ else } \{\})$   
 by (*auto simp add: atLeastLessThan-def*)

**lemma** *atLeastLessThan-singleton* [*simp*]:  $\{m..<\text{Suc } m\} = \{m\}$   
 by (*auto simp add: atLeastLessThan-def*)

**lemma** *atLeastLessThanSuc-atLeastAtMost*:  $\{l..<\text{Suc } u\} = \{l..u\}$   
 by (*simp add: lessThan-Suc-atMost atLeastAtMost-def atLeastLessThan-def*)

**lemma** *atLeastSucAtMost-greaterThanAtMost*:  $\{\text{Suc } l..u\} = \{l<..u\}$   
 by (*simp add: atLeast-Suc-greaterThan atLeastAtMost-def greaterThanAtMost-def*)

**lemma** *atLeastSucLessThan-greaterThanLessThan*:  $\{\text{Suc } l..<u\} = \{l<..<u\}$

by (simp add: atLeast-Suc-greaterThan atLeastLessThan-def  
greaterThanLessThan-def)

**lemma** atLeastAtMostSuc-conv:  $m \leq \text{Suc } n \implies \{m.. \text{Suc } n\} = \text{insert } (\text{Suc } n) \{m..n\}$   
by (auto simp add: atLeastAtMost-def)

#### 29.4.7 Image

**lemma** image-add-atLeastAtMost:  
(%n::nat.  $n+k$ ) ‘  $\{i..j\} = \{i+k..j+k\}$  (is ?A = ?B)

**proof**

show ?A  $\subseteq$  ?B by auto

next

show ?B  $\subseteq$  ?A

**proof**

fix n assume a:  $n : ?B$

hence  $n - k : \{i..j\}$  by auto

moreover have  $n = (n - k) + k$  using a by auto

ultimately show  $n : ?A$  by blast

qed

qed

**lemma** image-add-atLeastLessThan:  
(%n::nat.  $n+k$ ) ‘  $\{i..<j\} = \{i+k..<j+k\}$  (is ?A = ?B)

**proof**

show ?A  $\subseteq$  ?B by auto

next

show ?B  $\subseteq$  ?A

**proof**

fix n assume a:  $n : ?B$

hence  $n - k : \{i..<j\}$  by auto

moreover have  $n = (n - k) + k$  using a by auto

ultimately show  $n : ?A$  by blast

qed

qed

**corollary** image-Suc-atLeastAtMost[simp]:

Suc ‘  $\{i..j\} = \{\text{Suc } i.. \text{Suc } j\}$

using image-add-atLeastAtMost[where  $k=1$ ] by simp

**corollary** image-Suc-atLeastLessThan[simp]:

Suc ‘  $\{i..<j\} = \{\text{Suc } i..<\text{Suc } j\}$

using image-add-atLeastLessThan[where  $k=1$ ] by simp

**lemma** image-add-int-atLeastLessThan:

(%x.  $x + (l::\text{int})$ ) ‘  $\{0..<u-l\} = \{l..<u\}$

apply (auto simp add: image-def)

apply (rule-tac  $x = x - l$  in bexI)

```

apply auto
done

```

#### 29.4.8 Finiteness

```

lemma finite-lessThan [iff]: fixes k :: nat shows finite {..k}
  by (induct k) (simp-all add: lessThan-Suc)

```

```

lemma finite-atMost [iff]: fixes k :: nat shows finite {..k}
  by (induct k) (simp-all add: atMost-Suc)

```

```

lemma finite-greaterThanLessThan [iff]:
  fixes l :: nat shows finite {l<..u}
by (simp add: greaterThanLessThan-def)

```

```

lemma finite-atLeastLessThan [iff]:
  fixes l :: nat shows finite {l..u}
by (simp add: atLeastLessThan-def)

```

```

lemma finite-greaterThanAtMost [iff]:
  fixes l :: nat shows finite {l<..u}
by (simp add: greaterThanAtMost-def)

```

```

lemma finite-atLeastAtMost [iff]:
  fixes l :: nat shows finite {l..u}
by (simp add: atLeastAtMost-def)

```

```

lemma bounded-nat-set-is-finite:
  (ALL i:N. i < (n::nat)) ==> finite N
  — A bounded set of natural numbers is finite.
apply (rule finite-subset)
apply (rule-tac [2] finite-lessThan, auto)
done

```

Any subset of an interval of natural numbers the size of the subset is exactly that interval.

```

lemma subset-card-intvl-is-intvl:
  A <= {k..k+card A} ==> A = {k..k+card A} (is PROP ?P)
proof cases
  assume finite A
  thus PROP ?P
proof(induct A rule:finite-linorder-induct)
  case empty thus ?case by auto
next
  case (insert A b)
  moreover hence b ~: A by auto
  moreover have A <= {k..k+card A} and b = k+card A
    using <b ~: A> insert by fastsimp+
  ultimately show ?case by auto

```

```

qed
next
  assume  $\sim$ finite  $A$  thus PROP ?P by simp
qed

```

### 29.4.9 Cardinality

```

lemma card-lessThan [simp]: card  $\{.. $u\}$  =  $u$ 
  by (induct  $u$ , simp-all add: lessThan-Suc)$ 
```

```

lemma card-atMost [simp]: card  $\{.. $u\}$  = Suc  $u$ 
  by (simp add: lessThan-Suc-atMost [THEN sym])$ 
```

```

lemma card-atLeastLessThan [simp]: card  $\{l.. $u\}$  =  $u - l$ 
  apply (subgoal-tac card  $\{l.. $u\}$  = card  $\{.. $u-l\}$ )
  apply (erule ssubst, rule card-lessThan)
  apply (subgoal-tac ( $\%x. x + l$ ) ‘  $\{.. $u-l\}$  =  $\{l.. $u\}$ )
  apply (erule subst)
  apply (rule card-image)
  apply (simp add: inj-on-def)
  apply (auto simp add: image-def atLeastLessThan-def lessThan-def)
  apply (rule-tac  $x = x - l$  in exI)
  apply arith
done$$$$$ 
```

```

lemma card-atLeastAtMost [simp]: card  $\{l.. $u\}$  = Suc  $u - l$ 
  by (subst atLeastLessThanSuc-atLeastAtMost [THEN sym], simp)$ 
```

```

lemma card-greaterThanAtMost [simp]: card  $\{l<.. $u\}$  =  $u - l$ 
  by (subst atLeastSucAtMost-greaterThanAtMost [THEN sym], simp)$ 
```

```

lemma card-greaterThanLessThan [simp]: card  $\{l<.. $u\}$  =  $u - \text{Suc } l$ 
  by (subst atLeastSucLessThan-greaterThanLessThan [THEN sym], simp)$ 
```

```

lemma ex-bij-betw-nat-finite:
  finite  $M \implies \exists h. \text{bij-betw } h \ \{0.. $\text{card } M\} \ M$ 
apply (drule finite-imp-nat-seg-image-inj-on)
apply (auto simp: atLeast0LessThan[symmetric] lessThan-def[symmetric] card-image
  bij-betw-def)
done$ 
```

```

lemma ex-bij-betw-finite-nat:
  finite  $M \implies \exists h. \text{bij-betw } h \ M \ \{0.. $\text{card } M\}$ 
by (blast dest: ex-bij-betw-nat-finite bij-betw-inv)$ 
```

## 29.5 Intervals of integers

```

lemma atLeastLessThanPlusOne-atLeastAtMost-int:  $\{l.. $u+1\}$  =  $\{l.. $(u::\text{int})\}$ 
  by (auto simp add: atLeastAtMost-def atLeastLessThan-def)$$ 
```

**lemma** *atLeastPlusOneAtMost-greaterThanAtMost-int*:  $\{l+1..u\} = \{l<..(u::int)\}$   
**by** (*auto simp add: atLeastAtMost-def greaterThanAtMost-def*)

**lemma** *atLeastPlusOneLessThan-greaterThanLessThan-int*:  
 $\{l+1..<u\} = \{l<..<u::int\}$   
**by** (*auto simp add: atLeastLessThan-def greaterThanLessThan-def*)

### 29.5.1 Finiteness

**lemma** *image-atLeastZeroLessThan-int*:  $0 \leq u \implies$   
 $\{(0::int)..<u\} = \text{int } ' \{..<\text{nat } u\}$   
**apply** (*unfold image-def lessThan-def*)  
**apply** *auto*  
**apply** (*rule-tac x = nat x in exI*)  
**apply** (*auto simp add: zless-nat-conj zless-nat-eq-int-zless [THEN sym]*)  
**done**

**lemma** *finite-atLeastZeroLessThan-int*: *finite*  $\{(0::int)..<u\}$   
**apply** (*case-tac  $0 \leq u$* )  
**apply** (*subst image-atLeastZeroLessThan-int, assumption*)  
**apply** (*rule finite-imageI*)  
**apply** *auto*  
**done**

**lemma** *finite-atLeastLessThan-int [iff]*: *finite*  $\{l..<u::int\}$   
**apply** (*subgoal-tac ( $\%x. x + l$ ) '  $\{0..<u-l\} = \{l..<u\}$* )  
**apply** (*erule subst*)  
**apply** (*rule finite-imageI*)  
**apply** (*rule finite-atLeastZeroLessThan-int*)  
**apply** (*rule image-add-int-atLeastLessThan*)  
**done**

**lemma** *finite-atLeastAtMost-int [iff]*: *finite*  $\{l..(u::int)\}$   
**by** (*subst atLeastLessThanPlusOne-atLeastAtMost-int [THEN sym], simp*)

**lemma** *finite-greaterThanAtMost-int [iff]*: *finite*  $\{l<..(u::int)\}$   
**by** (*subst atLeastPlusOneAtMost-greaterThanAtMost-int [THEN sym], simp*)

**lemma** *finite-greaterThanLessThan-int [iff]*: *finite*  $\{l<..<u::int\}$   
**by** (*subst atLeastPlusOneLessThan-greaterThanLessThan-int [THEN sym], simp*)

### 29.5.2 Cardinality

**lemma** *card-atLeastZeroLessThan-int*:  $\text{card } \{(0::int)..<u\} = \text{nat } u$   
**apply** (*case-tac  $0 \leq u$* )  
**apply** (*subst image-atLeastZeroLessThan-int, assumption*)  
**apply** (*subst card-image*)  
**apply** (*auto simp add: inj-on-def*)  
**done**

```

lemma card-atLeastLessThan-int [simp]:  $\text{card } \{l..<u\} = \text{nat } (u - l)$ 
  apply (subgoal-tac  $\text{card } \{l..<u\} = \text{card } \{0..<u-l\}$ )
  apply (erule ssbst, rule card-atLeastZeroLessThan-int)
  apply (subgoal-tac ( $\%x. x + l$ ) ‘  $\{0..<u-l\} = \{l..<u\}$ ’)
  apply (erule subst)
  apply (rule card-image)
  apply (simp add: inj-on-def)
  apply (rule image-add-int-atLeastLessThan)
done

```

```

lemma card-atLeastAtMost-int [simp]:  $\text{card } \{l..u\} = \text{nat } (u - l + 1)$ 
  apply (subst atLeastLessThanPlusOne-atLeastAtMost-int [THEN sym])
  apply (auto simp add: compare-rls)
done

```

```

lemma card-greaterThanAtMost-int [simp]:  $\text{card } \{l<..u\} = \text{nat } (u - l)$ 
  by (subst atLeastPlusOneAtMost-greaterThanAtMost-int [THEN sym], simp)

```

```

lemma card-greaterThanLessThan-int [simp]:  $\text{card } \{l<..\} = \text{nat } (u - (l + 1))$ 
  by (subst atLeastPlusOneLessThan-greaterThanLessThan-int [THEN sym], simp)

```

## 29.6 Lemmas useful with the summation operator setsum

For examples, see Algebra/poly/UnivPoly2.thy

### 29.6.1 Disjoint Unions

Singletons and open intervals

```

lemma ivl-disj-un-singleton:
   $\{l::'a::\text{linorder}\} \text{ Un } \{l<..\} = \{l..\}$ 
   $\{..\} \text{ Un } \{u::'a::\text{linorder}\} = \{..u\}$ 
   $(l::'a::\text{linorder}) < u \implies \{l\} \text{ Un } \{l<..\} = \{l..\}$ 
   $(l::'a::\text{linorder}) < u \implies \{l<..\} \text{ Un } \{u\} = \{l<..\}$ 
   $(l::'a::\text{linorder}) \leq u \implies \{l\} \text{ Un } \{l<..\} = \{l..\}$ 
   $(l::'a::\text{linorder}) \leq u \implies \{l..\} \text{ Un } \{u\} = \{l..\}$ 
by auto

```

One- and two-sided intervals

```

lemma ivl-disj-un-one:
   $(l::'a::\text{linorder}) < u \implies \{..l\} \text{ Un } \{l<..\} = \{..\}$ 
   $(l::'a::\text{linorder}) \leq u \implies \{..<l\} \text{ Un } \{l..\} = \{..\}$ 
   $(l::'a::\text{linorder}) \leq u \implies \{..l\} \text{ Un } \{l<..\} = \{..\}$ 
   $(l::'a::\text{linorder}) \leq u \implies \{..<l\} \text{ Un } \{l..\} = \{..\}$ 
   $(l::'a::\text{linorder}) \leq u \implies \{l<..\} \text{ Un } \{u<..\} = \{l<..\}$ 
   $(l::'a::\text{linorder}) < u \implies \{l<..\} \text{ Un } \{u..\} = \{l<..\}$ 
   $(l::'a::\text{linorder}) \leq u \implies \{l..\} \text{ Un } \{u<..\} = \{l..\}$ 
   $(l::'a::\text{linorder}) \leq u \implies \{l..\} \text{ Un } \{u..\} = \{l..\}$ 

```

by *auto*

Two- and two-sided intervals

**lemma** *ivl-disj-un-two*:

$$\begin{aligned}
& \llbracket (l::'a::\text{linorder}) < m; m \leq u \rrbracket \implies \{l <..< m\} \text{ Un } \{m..< u\} = \{l <..< u\} \\
& \llbracket (l::'a::\text{linorder}) \leq m; m < u \rrbracket \implies \{l <..m\} \text{ Un } \{m <..< u\} = \{l <..< u\} \\
& \llbracket (l::'a::\text{linorder}) \leq m; m \leq u \rrbracket \implies \{l..< m\} \text{ Un } \{m..< u\} = \{l..< u\} \\
& \llbracket (l::'a::\text{linorder}) \leq m; m < u \rrbracket \implies \{l..m\} \text{ Un } \{m <..< u\} = \{l..< u\} \\
& \llbracket (l::'a::\text{linorder}) < m; m \leq u \rrbracket \implies \{l <..< m\} \text{ Un } \{m..u\} = \{l <..u\} \\
& \llbracket (l::'a::\text{linorder}) \leq m; m \leq u \rrbracket \implies \{l <..m\} \text{ Un } \{m <..u\} = \{l <..u\} \\
& \llbracket (l::'a::\text{linorder}) \leq m; m < u \rrbracket \implies \{l..< m\} \text{ Un } \{m..u\} = \{l..u\} \\
& \llbracket (l::'a::\text{linorder}) \leq m; m \leq u \rrbracket \implies \{l..m\} \text{ Un } \{m <..u\} = \{l..u\}
\end{aligned}$$

by *auto*

**lemmas** *ivl-disj-un* = *ivl-disj-un-singleton* *ivl-disj-un-one* *ivl-disj-un-two*

## 29.6.2 Disjoint Intersections

Singletons and open intervals

**lemma** *ivl-disj-int-singleton*:

$$\begin{aligned}
& \{l::'a::\text{order}\} \text{ Int } \{l <..\} = \{\} \\
& \{..< u\} \text{ Int } \{u\} = \{\} \\
& \{l\} \text{ Int } \{l <..< u\} = \{\} \\
& \{l <..< u\} \text{ Int } \{u\} = \{\} \\
& \{l\} \text{ Int } \{l <..u\} = \{\} \\
& \{l..< u\} \text{ Int } \{u\} = \{\} \\
& \text{by } \textit{simp}+
\end{aligned}$$

One- and two-sided intervals

**lemma** *ivl-disj-int-one*:

$$\begin{aligned}
& \{..l::'a::\text{order}\} \text{ Int } \{l <..< u\} = \{\} \\
& \{..< l\} \text{ Int } \{l..< u\} = \{\} \\
& \{..l\} \text{ Int } \{l <..u\} = \{\} \\
& \{..< l\} \text{ Int } \{l..u\} = \{\} \\
& \{l <..u\} \text{ Int } \{u <..\} = \{\} \\
& \{l <..< u\} \text{ Int } \{u..\} = \{\} \\
& \{l..u\} \text{ Int } \{u <..\} = \{\} \\
& \{l..< u\} \text{ Int } \{u..\} = \{\}
\end{aligned}$$

by *auto*

Two- and two-sided intervals

**lemma** *ivl-disj-int-two*:

$$\begin{aligned}
& \{l::'a::\text{order} <..< m\} \text{ Int } \{m..< u\} = \{\} \\
& \{l <..m\} \text{ Int } \{m <..< u\} = \{\} \\
& \{l..< m\} \text{ Int } \{m..< u\} = \{\} \\
& \{l..m\} \text{ Int } \{m <..< u\} = \{\} \\
& \{l <..< m\} \text{ Int } \{m..u\} = \{\} \\
& \{l <..m\} \text{ Int } \{m <..u\} = \{\}
\end{aligned}$$



```

{.. $<m$ } Int { $m..u$ } = {}
{.. $m$ } Int { $m<..u$ } = {}
by auto

```

**lemmas** *ivl-disj-int* = *ivl-disj-int-singleton ivl-disj-int-one ivl-disj-int-two*

### 29.6.3 Some Differences

```

lemma ivl-diff[simp]:
   $i \leq n \implies \{i..<m\} - \{i..<n\} = \{n..<(m::'a::linorder)\}$ 
by(auto)

```

### 29.6.4 Some Subset Conditions

```

lemma ivl-subset [simp, noatp]:
   $(\{i..<j\} \subseteq \{m..<n\}) = (j \leq i \mid m \leq i \ \& \ j \leq (n::'a::linorder))$ 
apply(auto simp: linorder-not-le)
apply(rule ccontr)
apply(insert linorder-le-less-linear[of i n])
apply(clarsimp simp: linorder-not-le)
apply(fastsimp)
done

```

## 29.7 Summation indexed over intervals

### **syntax**

```

-from-to-setsum :: idt  $\Rightarrow$  'a  $\Rightarrow$  'a  $\Rightarrow$  'b  $\Rightarrow$  'b ((SUM - = ..-/ -) [0,0,0,10] 10)
-from-upto-setsum :: idt  $\Rightarrow$  'a  $\Rightarrow$  'a  $\Rightarrow$  'b  $\Rightarrow$  'b ((SUM - = ..<-/ -) [0,0,0,10] 10)
-upt-setsum :: idt  $\Rightarrow$  'a  $\Rightarrow$  'b  $\Rightarrow$  'b ((SUM -<-/ -) [0,0,10] 10)
-upto-setsum :: idt  $\Rightarrow$  'a  $\Rightarrow$  'b  $\Rightarrow$  'b ((SUM -<= -/ -) [0,0,10] 10)

```

### **syntax** (*xsymbols*)

```

-from-to-setsum :: idt  $\Rightarrow$  'a  $\Rightarrow$  'a  $\Rightarrow$  'b  $\Rightarrow$  'b (( $\sum$  - = ..-/ -) [0,0,0,10] 10)
-from-upto-setsum :: idt  $\Rightarrow$  'a  $\Rightarrow$  'a  $\Rightarrow$  'b  $\Rightarrow$  'b (( $\sum$  - = ..<-/ -) [0,0,0,10] 10)
-upt-setsum :: idt  $\Rightarrow$  'a  $\Rightarrow$  'b  $\Rightarrow$  'b (( $\sum$  -<-/ -) [0,0,10] 10)
-upto-setsum :: idt  $\Rightarrow$  'a  $\Rightarrow$  'b  $\Rightarrow$  'b (( $\sum$  -<= -/ -) [0,0,10] 10)

```

### **syntax** (*HTML output*)

```

-from-to-setsum :: idt  $\Rightarrow$  'a  $\Rightarrow$  'a  $\Rightarrow$  'b  $\Rightarrow$  'b (( $\sum$  - = ..-/ -) [0,0,0,10] 10)
-from-upto-setsum :: idt  $\Rightarrow$  'a  $\Rightarrow$  'a  $\Rightarrow$  'b  $\Rightarrow$  'b (( $\sum$  - = ..<-/ -) [0,0,0,10] 10)
-upt-setsum :: idt  $\Rightarrow$  'a  $\Rightarrow$  'b  $\Rightarrow$  'b (( $\sum$  -<-/ -) [0,0,10] 10)
-upto-setsum :: idt  $\Rightarrow$  'a  $\Rightarrow$  'b  $\Rightarrow$  'b (( $\sum$  -<= -/ -) [0,0,10] 10)

```

### **syntax** (*latex-sum output*)

```

-from-to-setsum :: idt  $\Rightarrow$  'a  $\Rightarrow$  'a  $\Rightarrow$  'b  $\Rightarrow$  'b
(( $\sum$  - = -) [0,0,0,10] 10)
-from-upto-setsum :: idt  $\Rightarrow$  'a  $\Rightarrow$  'a  $\Rightarrow$  'b  $\Rightarrow$  'b
(( $\sum$  -<= -) [0,0,0,10] 10)
-upt-setsum :: idt  $\Rightarrow$  'a  $\Rightarrow$  'b  $\Rightarrow$  'b
(( $\sum$  -< -) [0,0,10] 10)

```

$-upto-setsum :: idt \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b$   
 $((\exists \sum_{- \leq -} \cdot) [0,0,10] \ 10)$

**translations**

$\sum_{x=a..b}. t == setsum (\%x. t) \{a..b\}$   
 $\sum_{x=a..<b}. t == setsum (\%x. t) \{a..<b\}$   
 $\sum_{i \leq n}. t == setsum (\lambda i. t) \{..n\}$   
 $\sum_{i < n}. t == setsum (\lambda i. t) \{..<n\}$

The above introduces some pretty alternative syntaxes for summation over intervals:

Old	New	L <sup>A</sup> T <sub>E</sub> X
$\sum_{x \in \{a..b\}}. e$	$\sum x = a..b. e$	$\sum_{x=a}^b e$
$\sum_{x \in \{a..<b\}}. e$	$\sum x = a..<b. e$	$\sum_{x=a}^{<b} e$
$\sum_{x \in \{..b\}}. e$	$\sum x \leq b. e$	$\sum_{x \leq b} e$
$\sum_{x \in \{..<b\}}. e$	$\sum x < b. e$	$\sum_{x < b} e$

The left column shows the term before introduction of the new syntax, the middle column shows the new (default) syntax, and the right column shows a special syntax. The latter is only meaningful for latex output and has to be activated explicitly by setting the print mode to *latex-sum* (e.g. via *mode = latex-sum* in antiquotations). It is not the default L<sup>A</sup>T<sub>E</sub>X output because it only works well with italic-style formulae, not tt-style.

Note that for uniformity on *nat* it is better to use  $\sum x = 0..<n. e$  rather than  $\sum x < n. e$ : *setsum* may not provide all lemmas available for  $\{m..<n\}$  also in the special form for  $\{..<n\}$ .

This congruence rule should be used for sums over intervals as the standard theorem *setsum-cong* does not work well with the simplifier who adds the unsimplified premise  $x \in B$  to the context.

**lemma** *setsum-ivl-cong*:

$\llbracket a = c; b = d; !!x. \llbracket c \leq x; x < d \rrbracket \implies f x = g x \rrbracket \implies$   
 $setsum f \{a..<b\} = setsum g \{c..<d\}$

**by** (*rule setsum-cong, simp-all*)

**lemma** *setsum-atMost-Suc[simp]*:  $(\sum i \leq Suc\ n. f\ i) = (\sum i \leq n. f\ i) + f(Suc\ n)$   
**by** (*simp add:atMost-Suc add-ac*)

**lemma** *setsum-lessThan-Suc[simp]*:  $(\sum i < Suc\ n. f\ i) = (\sum i < n. f\ i) + f\ n$   
**by** (*simp add:lessThan-Suc add-ac*)

**lemma** *setsum-cl-ivl-Suc[simp]*:

$setsum f \{m..Suc\ n\} = (if\ Suc\ n < m\ then\ 0\ else\ setsum f \{m..n\} + f(Suc\ n))$

**by** (*auto simp:add-ac atLeastAtMostSuc-conv*)

**lemma** *setsum-op-ivl-Suc*[simp]:  
 $\text{setsum } f \{m..<\text{Suc } n\} = (\text{if } n < m \text{ then } 0 \text{ else } \text{setsum } f \{m..<n\} + f(n))$   
**by** (auto simp:add-ac atLeastLessThanSuc)

**lemma** *setsum-add-nat-ivl*:  $\llbracket m \leq n; n \leq p \rrbracket \implies$   
 $\text{setsum } f \{m..<n\} + \text{setsum } f \{n..<p\} = \text{setsum } f \{m..<p::\text{nat}\}$   
**by** (simp add:setsum-Un-disjoint[symmetric] ivl-disj-int ivl-disj-un)

**lemma** *setsum-diff-nat-ivl*:  
**fixes**  $f :: \text{nat} \Rightarrow 'a::\text{ab-group-add}$   
**shows**  $\llbracket m \leq n; n \leq p \rrbracket \implies$   
 $\text{setsum } f \{m..<p\} - \text{setsum } f \{m..<n\} = \text{setsum } f \{n..<p\}$   
**using** *setsum-add-nat-ivl* [of  $m \ n \ p \ f$ ,symmetric]  
**apply** (simp add:add-ac)  
**done**

## 29.8 Shifting bounds

**lemma** *setsum-shift-bounds-nat-ivl*:  
 $\text{setsum } f \{m+k..<n+k\} = \text{setsum } (\%i. f(i+k))\{m..<n::\text{nat}\}$   
**by** (induct  $n$ , auto simp:atLeastLessThanSuc)

**lemma** *setsum-shift-bounds-cl-nat-ivl*:  
 $\text{setsum } f \{m+k..n+k\} = \text{setsum } (\%i. f(i+k))\{m..n::\text{nat}\}$   
**apply** (insert *setsum-reindex*[OF *inj-on-add-nat*, where  $h=f$  and  $B = \{m..n\}$ ])  
**apply** (simp add:image-add-atLeastAtMost o-def)  
**done**

**corollary** *setsum-shift-bounds-cl-Suc-ivl*:  
 $\text{setsum } f \{\text{Suc } m..<\text{Suc } n\} = \text{setsum } (\%i. f(\text{Suc } i))\{m..n\}$   
**by** (simp add:setsum-shift-bounds-cl-nat-ivl[where  $k=1$ ,simplified])

**corollary** *setsum-shift-bounds-Suc-ivl*:  
 $\text{setsum } f \{\text{Suc } m..<\text{Suc } n\} = \text{setsum } (\%i. f(\text{Suc } i))\{m..<n\}$   
**by** (simp add:setsum-shift-bounds-nat-ivl[where  $k=1$ ,simplified])

**lemma** *setsum-head*:  
**fixes**  $n :: \text{nat}$   
**assumes**  $mn: m <= n$   
**shows**  $(\sum x \in \{m..n\}. P \ x) = P \ m + (\sum x \in \{m<..n\}. P \ x)$  (is ?lhs = ?rhs)  
**proof** –  
**from**  $mn$   
**have**  $\{m..n\} = \{m\} \cup \{m<..n\}$   
**by** (auto intro: ivl-disj-un-singleton)  
**hence**  $?lhs = (\sum x \in \{m\} \cup \{m<..n\}. P \ x)$   
**by** (simp add: atLeast0LessThan)  
**also have**  $\dots = ?rhs$  **by** *simp*  
**finally show** *?thesis* .

qed

**lemma** *setsum-head-upt*:

fixes  $m::nat$

assumes  $m: 0 < m$

shows  $(\sum x < m. P\ x) = P\ 0 + (\sum x \in \{1..<m\}. P\ x)$

**proof** –

have  $(\sum x < m. P\ x) = (\sum x \in \{0..<m\}. P\ x)$

by (*simp add: atLeast0LessThan*)

also

from  $m$

have  $\dots = (\sum x \in \{0..m - 1\}. P\ x)$

by (*cases m*) (*auto simp add: atLeastLessThanSuc-atLeastAtMost*)

also

have  $\dots = P\ 0 + (\sum x \in \{0 < ..m - 1\}. P\ x)$

by (*simp add: setsum-head*)

also

from  $m$

have  $\{0 < ..m - 1\} = \{1..<m\}$

by (*cases m*) (*auto simp add: atLeastLessThanSuc-atLeastAtMost*)

finally **show** *?thesis* .

qed

## 29.9 The formula for geometric sums

**lemma** *geometric-sum*:

$x \sim 1 \implies (\sum i=0..<n. x^i) =$

$(x^{n+1} - 1) / (x - 1::'a::\{field, recpower\})$

**by** (*induct n*) (*simp-all add: field-simps power-Suc*)

## 29.10 The formula for arithmetic sums

**lemma** *gauss-sum*:

$((1::'a::comm-semiring-1) + 1) * (\sum i \in \{1..n\}. of\_nat\ i) =$   
 $of\_nat\ n * ((of\_nat\ n) + 1)$

**proof** (*induct n*)

case 0

show *?case* **by** *simp*

**next**

case (*Suc n*)

then **show** *?case* **by** (*simp add: ring-simps*)

qed

**theorem** *arith-series-general*:

$((1::'a::comm-semiring-1) + 1) * (\sum i \in \{..<n\}. a + of\_nat\ i * d) =$   
 $of\_nat\ n * (a + (a + of\_nat\ (n - 1) * d))$

**proof** *cases*

assume *ngt1*:  $n > 1$

let  $?I = \lambda i. of\_nat\ i$  **and**  $?n = of\_nat\ n$

have

$(\sum_{i \in \{..<n\}}. a + ?I \ i * d) =$   
 $((\sum_{i \in \{..<n\}}. a) + (\sum_{i \in \{..<n\}}. ?I \ i * d))$   
 by (rule setsum-addf)  
 also from ngt1 have  $\dots = ?n * a + (\sum_{i \in \{..<n\}}. ?I \ i * d)$  by simp  
 also from ngt1 have  $\dots = (?n * a + d * (\sum_{i \in \{1..<n\}}. ?I \ i))$   
 by (simp add: setsum-right-distrib setsum-head-upt mult-ac)  
 also have  $(1+1) * \dots = (1+1) * ?n * a + d * (1+1) * (\sum_{i \in \{1..<n\}}. ?I \ i)$   
 by (simp add: left-distrib right-distrib)  
 also from ngt1 have  $\{1..<n\} = \{1..n - 1\}$   
 by (cases n) (auto simp: atLeastLessThanSuc-atLeastAtMost)  
 also from ngt1  
 have  $(1+1) * ?n * a + d * (1+1) * (\sum_{i \in \{1..n - 1\}}. ?I \ i) = ((1+1) * ?n * a + d * ?I$   
 $(n - 1) * ?I \ n)$   
 by (simp only: mult-ac gauss-sum [of n - 1])  
 (simp add: mult-ac trans [OF add-commute of-nat-Suc [symmetric]])  
 finally show ?thesis by (simp add: mult-ac add-ac right-distrib)  
 next  
 assume  $\neg(n > 1)$   
 hence  $n = 1 \vee n = 0$  by auto  
 thus ?thesis by (auto simp: mult-ac right-distrib)  
 qed

**lemma** arith-series-nat:

$Suc (Suc \ 0) * (\sum_{i \in \{..<n\}}. a + i * d) = n * (a + (a + (n - 1) * d))$   
**proof** –  
 have  
 $((1::nat) + 1) * (\sum_{i \in \{..<n::nat\}}. a + of-nat(i) * d) =$   
 $of-nat(n) * (a + (a + of-nat(n - 1) * d))$   
 by (rule arith-series-general)  
 thus ?thesis by (auto simp add: of-nat-id)  
 qed

**lemma** arith-series-int:

$(2::int) * (\sum_{i \in \{..<n\}}. a + of-nat \ i * d) =$   
 $of-nat \ n * (a + (a + of-nat(n - 1) * d))$   
**proof** –  
 have  
 $((1::int) + 1) * (\sum_{i \in \{..<n\}}. a + of-nat \ i * d) =$   
 $of-nat(n) * (a + (a + of-nat(n - 1) * d))$   
 by (rule arith-series-general)  
 thus ?thesis by simp  
 qed

**lemma** sum-diff-distrib:

fixes  $P::nat \Rightarrow nat$   
 shows  
 $\forall x. Q \ x \leq P \ x \implies$   
 $(\sum_{x < n. P \ x} - \sum_{x < n. Q \ x}) = (\sum_{x < n. P \ x - Q \ x})$   
**proof** (induct n)

```

case 0 show ?case by simp
next
  case (Suc n)

  let ?lhs = ( $\sum x < n. P\ x$ ) - ( $\sum x < n. Q\ x$ )
  let ?rhs =  $\sum x < n. P\ x - Q\ x$ 

  from Suc have ?lhs = ?rhs by simp
  moreover
  from Suc have ?lhs + P n - Q n = ?rhs + (P n - Q n) by simp
  moreover
  from Suc have
    ( $\sum x < n. P\ x$ ) + P n - (( $\sum x < n. Q\ x$ ) + Q n) = ?rhs + (P n - Q n)
    by (subst diff-diff-left[symmetric],
        subst diff-add-assoc2)
    (auto simp: diff-add-assoc2 intro: setsum-mono)
  ultimately
  show ?case by simp
qed

end

```

### 30 Presburger: Decision Procedure for Presburger Arithmetic

```

theory Presburger
imports Arith-Tools SetInterval
uses
  Tools/Qelim/cooper-data.ML
  Tools/Qelim/generated-cooper.ML
  Tools/Qelim/qelim.ML
  (Tools/Qelim/cooper.ML)
  (Tools/Qelim/presburger.ML)
begin

setup CooperData.setup

```

#### 30.1 The $-\infty$ and $+\infty$ Properties

```

lemma minf:
   $\llbracket \exists (z :: 'a::linorder). \forall x < z. P\ x = P'\ x; \exists z. \forall x < z. Q\ x = Q'\ x \rrbracket$ 
     $\implies \exists z. \forall x < z. (P\ x \wedge Q\ x) = (P'\ x \wedge Q'\ x)$ 
   $\llbracket \exists (z :: 'a::linorder). \forall x < z. P\ x = P'\ x; \exists z. \forall x < z. Q\ x = Q'\ x \rrbracket$ 
     $\implies \exists z. \forall x < z. (P\ x \vee Q\ x) = (P'\ x \vee Q'\ x)$ 
   $\exists (z :: 'a::\{linorder\}). \forall x < z. (x = t) = \text{False}$ 
   $\exists (z :: 'a::\{linorder\}). \forall x < z. (x \neq t) = \text{True}$ 
   $\exists (z :: 'a::\{linorder\}). \forall x < z. (x < t) = \text{True}$ 

```

$\exists (z :: 'a :: \{\text{linorder}\}). \forall x < z. (x \leq t) = \text{True}$   
 $\exists (z :: 'a :: \{\text{linorder}\}). \forall x < z. (x > t) = \text{False}$   
 $\exists (z :: 'a :: \{\text{linorder}\}). \forall x < z. (x \geq t) = \text{False}$   
 $\exists z. \forall (x :: 'a :: \{\text{linorder}, \text{plus}, \text{Divides.div}\}) < z. (d \text{ dvd } x + s) = (d \text{ dvd } x + s)$   
 $\exists z. \forall (x :: 'a :: \{\text{linorder}, \text{plus}, \text{Divides.div}\}) < z. (\neg d \text{ dvd } x + s) = (\neg d \text{ dvd } x + s)$   
 $\exists z. \forall x < z. F = F$   
**by** ((erule exE, erule exE, rule-tac x=min z za in exI, simp)+, (rule-tac x=t in exI, fastsimp)+) simp-all

**lemma** pinf:

$\llbracket \exists (z :: 'a :: \{\text{linorder}\}). \forall x > z. P x = P' x; \exists z. \forall x > z. Q x = Q' x \rrbracket$   
 $\implies \exists z. \forall x > z. (P x \wedge Q x) = (P' x \wedge Q' x)$   
 $\llbracket \exists (z :: 'a :: \{\text{linorder}\}). \forall x > z. P x = P' x; \exists z. \forall x > z. Q x = Q' x \rrbracket$   
 $\implies \exists z. \forall x > z. (P x \vee Q x) = (P' x \vee Q' x)$   
 $\exists (z :: 'a :: \{\text{linorder}\}). \forall x > z. (x = t) = \text{False}$   
 $\exists (z :: 'a :: \{\text{linorder}\}). \forall x > z. (x \neq t) = \text{True}$   
 $\exists (z :: 'a :: \{\text{linorder}\}). \forall x > z. (x < t) = \text{False}$   
 $\exists (z :: 'a :: \{\text{linorder}\}). \forall x > z. (x \leq t) = \text{False}$   
 $\exists (z :: 'a :: \{\text{linorder}\}). \forall x > z. (x > t) = \text{True}$   
 $\exists (z :: 'a :: \{\text{linorder}\}). \forall x > z. (x \geq t) = \text{True}$   
 $\exists z. \forall (x :: 'a :: \{\text{linorder}, \text{plus}, \text{Divides.div}\}) > z. (d \text{ dvd } x + s) = (d \text{ dvd } x + s)$   
 $\exists z. \forall (x :: 'a :: \{\text{linorder}, \text{plus}, \text{Divides.div}\}) > z. (\neg d \text{ dvd } x + s) = (\neg d \text{ dvd } x + s)$   
 $\exists z. \forall x > z. F = F$   
**by** ((erule exE, erule exE, rule-tac x=max z za in exI, simp)+, (rule-tac x=t in exI, fastsimp)+) simp-all

**lemma** inf-period:

$\llbracket \forall x k. P x = P (x - k * D); \forall x k. Q x = Q (x - k * D) \rrbracket$   
 $\implies \forall x k. (P x \wedge Q x) = (P (x - k * D) \wedge Q (x - k * D))$   
 $\llbracket \forall x k. P x = P (x - k * D); \forall x k. Q x = Q (x - k * D) \rrbracket$   
 $\implies \forall x k. (P x \vee Q x) = (P (x - k * D) \vee Q (x - k * D))$   
 $(d :: 'a :: \{\text{comm-ring}, \text{Divides.div}\}) \text{ dvd } D \implies \forall x k. (d \text{ dvd } x + t) = (d \text{ dvd } (x - k * D) + t)$   
 $(d :: 'a :: \{\text{comm-ring}, \text{Divides.div}\}) \text{ dvd } D \implies \forall x k. (\neg d \text{ dvd } x + t) = (\neg d \text{ dvd } (x - k * D) + t)$   
 $\forall x k. F = F$

**by** simp-all

(clarsimp simp add: dvd-def, rule iffI, clarsimp, rule-tac x = kb - ka \* k in exI,  
 simp add: ring-simps, clarsimp, rule-tac x = kb + ka \* k in exI, simp add:  
 ring-simps)+

## 30.2 The A and B sets

**lemma** bset:

$\llbracket \forall x. (\forall j \in \{1 .. D\}. \forall b \in B. x \neq b + j) \longrightarrow P x \longrightarrow P(x - D) ;$   
 $\forall x. (\forall j \in \{1 .. D\}. \forall b \in B. x \neq b + j) \longrightarrow Q x \longrightarrow Q(x - D) \rrbracket \implies$   
 $\forall x. (\forall j \in \{1 .. D\}. \forall b \in B. x \neq b + j) \longrightarrow (P x \wedge Q x) \longrightarrow (P(x - D) \wedge Q(x - D))$   
 $\llbracket \forall x. (\forall j \in \{1 .. D\}. \forall b \in B. x \neq b + j) \longrightarrow P x \longrightarrow P(x - D) ;$

$$\begin{aligned}
& \forall x. (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow Q\ x \longrightarrow Q(x - D) \Longrightarrow \\
& \forall x. (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (P\ x \vee Q\ x) \longrightarrow (P(x - D) \vee Q\ (x - D)) \\
& \llbracket D > 0; t - 1 \in B \rrbracket \Longrightarrow (\forall x. (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (x = t) \longrightarrow (x - D = t)) \\
& \llbracket D > 0; t \in B \rrbracket \Longrightarrow (\forall (x :: \text{int}). (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (x \neq t) \longrightarrow (x - D \neq t)) \\
& D > 0 \Longrightarrow (\forall (x :: \text{int}). (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (x < t) \longrightarrow (x - D < t)) \\
& D > 0 \Longrightarrow (\forall (x :: \text{int}). (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (x \leq t) \longrightarrow (x - D \leq t)) \\
& \llbracket D > 0; t \in B \rrbracket \Longrightarrow (\forall (x :: \text{int}). (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (x > t) \longrightarrow (x - D > t)) \\
& \llbracket D > 0; t - 1 \in B \rrbracket \Longrightarrow (\forall (x :: \text{int}). (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (x \geq t) \longrightarrow (x - D \geq t)) \\
& d\ \text{dvd}\ D \Longrightarrow (\forall (x :: \text{int}). (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (d\ \text{dvd}\ x + t) \longrightarrow (d\ \text{dvd}\ (x - D) + t)) \\
& d\ \text{dvd}\ D \Longrightarrow (\forall (x :: \text{int}). (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (\neg d\ \text{dvd}\ x + t) \longrightarrow (\neg d\ \text{dvd}\ (x - D) + t)) \\
& \forall x. (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow F \longrightarrow F \\
& \text{proof (blast, blast)} \\
& \text{assume } dp: D > 0 \text{ and } tB: t - 1 \in B \\
& \text{show } (\forall x. (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (x = t) \longrightarrow (x - D = t)) \\
& \text{apply (rule allI, rule impI, erule ballE[where x=1], erule ballE[where x=t - 1])} \\
& \text{using dp tB by simp-all} \\
& \text{next} \\
& \text{assume } dp: D > 0 \text{ and } tB: t \in B \\
& \text{show } (\forall x. (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (x \neq t) \longrightarrow (x - D \neq t)) \\
& \text{apply (rule allI, rule impI, erule ballE[where x=D], erule ballE[where x=t])} \\
& \text{using dp tB by simp-all} \\
& \text{next} \\
& \text{assume } dp: D > 0 \text{ thus } (\forall x. (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (x < t) \longrightarrow (x - D < t)) \text{ by arith} \\
& \text{next} \\
& \text{assume } dp: D > 0 \text{ thus } \forall x. (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (x \leq t) \longrightarrow (x - D \leq t) \text{ by arith} \\
& \text{next} \\
& \text{assume } dp: D > 0 \text{ and } tB: t \in B \\
& \{\text{fix } x \text{ assume nob: } \forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j \text{ and } g: x > t \text{ and } ng: \neg (x - D) > t \\
& \text{hence } x - t \leq D \text{ and } 1 \leq x - t \text{ by simp+} \\
& \text{hence } \exists j \in \{1 \dots D\}. x - t = j \text{ by auto} \\
& \text{hence } \exists j \in \{1 \dots D\}. x = t + j \text{ by (simp add: ring-simps)} \\
& \text{with nob tB have False by simp}\} \\
& \text{thus } \forall x. (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (x > t) \longrightarrow (x - D > t) \text{ by blast} \\
& \text{next} \\
& \text{assume } dp: D > 0 \text{ and } tB: t - 1 \in B \\
& \{\text{fix } x \text{ assume nob: } \forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j \text{ and } g: x \geq t \text{ and } ng: \neg (x - D) > t
\end{aligned}$$



$- D) \geq t$   
**hence**  $x - (t - 1) \leq D$  **and**  $1 \leq x - (t - 1)$  **by** *simp+*  
**hence**  $\exists j \in \{1 \dots D\}. x - (t - 1) = j$  **by** *auto*  
**hence**  $\exists j \in \{1 \dots D\}. x = (t - 1) + j$  **by** (*simp add: ring-simps*)  
**with** *nob tB* **have** *False* **by** *simp*  
**thus**  $\forall x. (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (x \geq t) \longrightarrow (x - D \geq t)$  **by** *blast*  
**next**  
**assume**  $d: d \text{ dvd } D$   
**{fix**  $x$  **assume**  $H: d \text{ dvd } x + t$  **with**  $d$  **have**  $d \text{ dvd } (x - D) + t$   
**by** (*clarsimp simp add: dvd-def, rule-tac x = ka - k in exI, simp add: ring-simps*)  
**thus**  $\forall (x::int). (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (d \text{ dvd } x + t) \longrightarrow (d \text{ dvd } (x - D) + t)$  **by** *simp*  
**next**  
**assume**  $d: d \text{ dvd } D$   
**{fix**  $x$  **assume**  $H: \neg(d \text{ dvd } x + t)$  **with**  $d$  **have**  $\neg d \text{ dvd } (x - D) + t$   
**by** (*clarsimp simp add: dvd-def, erule-tac x = ka + k in allE, simp add: ring-simps*)  
**thus**  $\forall (x::int). (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (\neg d \text{ dvd } x + t) \longrightarrow (\neg d \text{ dvd } (x - D) + t)$  **by** *auto*  
**qed** *blast*

**lemma** *aset:*

$\llbracket \forall x. (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow P x \longrightarrow P(x + D) ;$   
 $\forall x. (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow Q x \longrightarrow Q(x + D) \rrbracket \Longrightarrow$   
 $\forall x. (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (P x \wedge Q x) \longrightarrow (P(x + D) \wedge Q(x + D))$   
 $\llbracket \forall x. (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow P x \longrightarrow P(x + D) ;$   
 $\forall x. (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow Q x \longrightarrow Q(x + D) \rrbracket \Longrightarrow$   
 $\forall x. (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (P x \vee Q x) \longrightarrow (P(x + D) \vee Q(x + D))$   
 $\llbracket D > 0; t + 1 \in A \rrbracket \Longrightarrow (\forall x. (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (x = t) \longrightarrow (x + D = t))$   
 $\llbracket D > 0 ; t \in A \rrbracket \Longrightarrow (\forall (x::int). (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (x \neq t) \longrightarrow (x + D \neq t))$   
 $\llbracket D > 0; t \in A \rrbracket \Longrightarrow (\forall (x::int). (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (x < t) \longrightarrow (x + D < t))$   
 $\llbracket D > 0; t + 1 \in A \rrbracket \Longrightarrow (\forall (x::int). (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (x \leq t) \longrightarrow (x + D \leq t))$   
 $D > 0 \Longrightarrow (\forall (x::int). (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (x > t) \longrightarrow (x + D > t))$   
 $D > 0 \Longrightarrow (\forall (x::int). (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (x \geq t) \longrightarrow (x + D \geq t))$   
 $d \text{ dvd } D \Longrightarrow (\forall (x::int). (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (d \text{ dvd } x + t) \longrightarrow (d \text{ dvd } (x + D) + t))$   
 $d \text{ dvd } D \Longrightarrow (\forall (x::int). (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (\neg d \text{ dvd } x + t) \longrightarrow (\neg d \text{ dvd } (x + D) + t))$   
 $\forall x. (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow F \longrightarrow F$   
**proof** (*blast, blast*)

```

assume  $dp: D > 0$  and  $tA: t + 1 \in A$ 
show  $(\forall x. (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (x = t) \longrightarrow (x + D = t))$ 
apply (rule allI, rule impI, erule ballE[where  $x=1$ ], erule ballE[where  $x=t + 1$ ])
using  $dp$   $tA$  by simp-all
next
assume  $dp: D > 0$  and  $tA: t \in A$ 
show  $(\forall x. (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (x \neq t) \longrightarrow (x + D \neq t))$ 
apply (rule allI, rule impI, erule ballE[where  $x=D$ ], erule ballE[where  $x=t$ ])
using  $dp$   $tA$  by simp-all
next
assume  $dp: D > 0$  thus  $(\forall x. (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (x > t) \longrightarrow (x + D > t))$  by arith
next
assume  $dp: D > 0$  thus  $\forall x. (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (x \geq t) \longrightarrow (x + D \geq t)$  by arith
next
assume  $dp: D > 0$  and  $tA: t \in A$ 
{fix  $x$  assume  $nob: \forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j$  and  $g: x < t$  and  $ng: \neg (x + D) < t$ 
hence  $t - x \leq D$  and  $1 \leq t - x$  by simp+
hence  $\exists j \in \{1 \dots D\}. t - x = j$  by auto
hence  $\exists j \in \{1 \dots D\}. x = t - j$  by (auto simp add: ring-simps)
with  $nob$   $tA$  have False by simp
thus  $\forall x. (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (x < t) \longrightarrow (x + D < t)$  by blast
next
assume  $dp: D > 0$  and  $tA: t + 1 \in A$ 
{fix  $x$  assume  $nob: \forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j$  and  $g: x \leq t$  and  $ng: \neg (x + D) \leq t$ 
hence  $(t + 1) - x \leq D$  and  $1 \leq (t + 1) - x$  by (simp-all add: ring-simps)
hence  $\exists j \in \{1 \dots D\}. (t + 1) - x = j$  by auto
hence  $\exists j \in \{1 \dots D\}. x = (t + 1) - j$  by (auto simp add: ring-simps)
with  $nob$   $tA$  have False by simp
thus  $\forall x. (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (x \leq t) \longrightarrow (x + D \leq t)$  by blast
next
assume  $d: d \text{ dvd } D$ 
{fix  $x$  assume  $H: d \text{ dvd } x + t$  with  $d$  have  $d \text{ dvd } (x + D) + t$ 
by (clarsimp simp add: dvd-def, rule-tac  $x = ka + k$  in exI, simp add: ring-simps)
thus  $\forall (x::int). (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (d \text{ dvd } x + t) \longrightarrow (d \text{ dvd } (x + D) + t)$  by simp
next
assume  $d: d \text{ dvd } D$ 
{fix  $x$  assume  $H: \neg (d \text{ dvd } x + t)$  with  $d$  have  $\neg d \text{ dvd } (x + D) + t$ 
by (clarsimp simp add: dvd-def, erule-tac  $x = ka - k$  in allE, simp add: ring-simps)
thus  $\forall (x::int). (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (\neg d \text{ dvd } x + t) \longrightarrow (\neg d \text{ dvd } (x + D) + t)$  by auto
qed blast

```

### 30.3 Cooper’s Theorem $-\infty$ and $+\infty$ Version

#### 30.3.1 First some trivial facts about periodic sets or predicates

**lemma** *periodic-finite-ex*:

**assumes**  $dpos: (0::int) < d$  **and**  $modd: ALL\ x\ k. P\ x = P(x - k*d)$   
**shows**  $(EX\ x. P\ x) = (EX\ j : \{1..d\}. P\ j)$   
**(is ?LHS = ?RHS)**

**proof**

**assume**  $?LHS$

**then obtain**  $x$  **where**  $P: P\ x ..$

**have**  $x\ mod\ d = x - (x\ div\ d)*d$  **by**  $(simp\ add:zmod-zdiv-equality\ mult-ac\ eq-diff-eq)$

**hence**  $Pmod: P\ x = P(x\ mod\ d)$  **using**  $modd$  **by**  $simp$

**show**  $?RHS$

**proof**  $(cases)$

**assume**  $x\ mod\ d = 0$

**hence**  $P\ 0$  **using**  $P\ Pmod$  **by**  $simp$

**moreover have**  $P\ 0 = P(0 - (-1)*d)$  **using**  $modd$  **by**  $blast$

**ultimately have**  $P\ d$  **by**  $simp$

**moreover have**  $d : \{1..d\}$  **using**  $dpos$  **by**  $(simp\ add:atLeastAtMost-iff)$

**ultimately show**  $?RHS ..$

**next**

**assume**  $not0: x\ mod\ d \neq 0$

**have**  $P(x\ mod\ d)$  **using**  $dpos\ P\ Pmod$  **by**  $(simp\ add:pos-mod-sign\ pos-mod-bound)$

**moreover have**  $x\ mod\ d : \{1..d\}$

**proof**  $-$

**from**  $dpos$  **have**  $0 \leq x\ mod\ d$  **by**  $(rule\ pos-mod-sign)$

**moreover from**  $dpos$  **have**  $x\ mod\ d < d$  **by**  $(rule\ pos-mod-bound)$

**ultimately show**  $?thesis$  **using**  $not0$  **by**  $(simp\ add:atLeastAtMost-iff)$

**qed**

**ultimately show**  $?RHS ..$

**qed**

**qed** *auto*

#### 30.3.2 The $-\infty$ Version

**lemma** *decr-lemma*:  $0 < (d::int) \implies x - (abs(x-z)+1) * d < z$

**by**  $(induct\ rule: int-gr-induct, simp-all\ add:int-distrib)$

**lemma** *incr-lemma*:  $0 < (d::int) \implies z < x + (abs(x-z)+1) * d$

**by**  $(induct\ rule: int-gr-induct, simp-all\ add:int-distrib)$

**theorem** *int-induct* $[case-names\ base\ step1\ step2]$ :

**assumes**

*base*:  $P(k::int)$  **and** *step1*:  $\bigwedge i. \llbracket k \leq i; P\ i \rrbracket \implies P(i+1)$  **and**

*step2*:  $\bigwedge i. \llbracket k \geq i; P\ i \rrbracket \implies P(i-1)$

**shows**  $P\ i$

**proof**  $-$

**have**  $i \leq k \vee i \geq k$  **by** *arith*

**thus**  $?thesis$  **using** *prems* *int-ge-induct* $[where\ P=P\ and\ k=k\ and\ i=i]$  *int-le-induct* $[where$

$P=P$  and  $k=k$  and  $i=i]$  by *blast*  
 qed

**lemma** *decr-mult-lemma*:

**assumes**  $dpos: (0::int) < d$  **and**  $minus: \forall x. P\ x \longrightarrow P(x - d)$  **and**  $knneg: 0 \leq k$   
**shows**  $ALL\ x. P\ x \longrightarrow P(x - k*d)$   
**using**  $knneg$   
**proof** (*induct rule:int-ge-induct*)  
**case** *base* **thus** *?case* **by** *simp*  
**next**  
**case** (*step i*)  
 {**fix**  $x$   
   **have**  $P\ x \longrightarrow P\ (x - i * d)$  **using** *step.hyps* **by** *blast*  
   **also have**  $\dots \longrightarrow P(x - (i + 1) * d)$  **using**  $minus[THEN\ spec, of\ x - i * d]$   
     **by** (*simp add:int-distrib OrderedGroup.diff-diff-eq[symmetric]*)  
   **ultimately have**  $P\ x \longrightarrow P(x - (i + 1) * d)$  **by** *blast*}  
**thus** *?case* ..  
 qed

**lemma** *minusinfinity*:

**assumes**  $dpos: 0 < d$  **and**  
 $P1eqP1: ALL\ x\ k. P1\ x = P1(x - k*d)$  **and**  $ePeqP1: EX\ z::int. ALL\ x. x < z \longrightarrow (P\ x = P1\ x)$   
**shows**  $(EX\ x. P1\ x) \longrightarrow (EX\ x. P\ x)$   
**proof**  
**assume**  $eP1: EX\ x. P1\ x$   
**then obtain**  $x$  **where**  $P1: P1\ x ..$   
**from**  $ePeqP1$  **obtain**  $z$  **where**  $P1eqP: ALL\ x. x < z \longrightarrow (P\ x = P1\ x) ..$   
**let**  $?w = x - (abs(x-z)+1) * d$   
**from**  $dpos$  **have**  $w: ?w < z$  **by** (*rule decr-lemma*)  
**have**  $P1\ x = P1\ ?w$  **using**  $P1eqP1$  **by** *blast*  
**also have**  $\dots = P(?w)$  **using**  $w\ P1eqP$  **by** *blast*  
**finally have**  $P\ ?w$  **using**  $P1$  **by** *blast*  
**thus**  $EX\ x. P\ x ..$   
 qed

**lemma** *cpmi*:

**assumes**  $dp: 0 < D$  **and**  $p1:\exists z. \forall x < z. P\ x = P'\ x$   
**and**  $nb:\forall x. (\forall j \in \{1..D\}. \forall (b::int) \in B. x \neq b+j) \longrightarrow P\ (x) \longrightarrow P\ (x - D)$   
**and**  $pd: \forall x\ k. P'\ x = P'\ (x - k*D)$   
**shows**  $(\exists x. P\ x) = ((\exists j \in \{1..D\} . P'\ j) \mid (\exists j \in \{1..D\} . \exists b \in B. P\ (b+j)))$   
 (**is**  $?L = (?R1 \vee ?R2)$ )  
**proof**–  
 {**assume**  $?R2$  **hence**  $?L$  **by** *blast*}  
**moreover**  
 {**assume**  $H: ?R1$  **hence**  $?L$  **using**  $minusinfinity[OF\ dp\ pd\ p1]$  *periodic-finite-ex*[ $OF\ dp\ pd$ ] **by** *simp*}

```

moreover
{ fix  $x$ 
  assume  $P: P\ x$  and  $H: \neg ?R2$ 
  {fix  $y$  assume  $\neg (\exists j \in \{1..D\}. \exists b \in B. P\ (b + j))$  and  $P: P\ y$ 
    hence  $\sim (EX\ (j::int) : \{1..D\}. EX\ (b::int) : B. y = b+j)$  by auto
    with  $nb\ P$  have  $P\ (y - D)$  by auto }
  hence  $ALL\ x. \sim (EX\ (j::int) : \{1..D\}. EX\ (b::int) : B. P(b+j)) \dashrightarrow P\ (x)$ 
 $\dashrightarrow P\ (x - D)$  by blast
  with  $H\ P$  have  $th: \forall x. P\ x \longrightarrow P\ (x - D)$  by auto
  from  $p1$  obtain  $z$  where  $z: ALL\ x. x < z \dashrightarrow (P\ x = P'\ x)$  by blast
  let  $?y = x - (|x - z| + 1)*D$ 
  have  $zp: 0 \leq (|x - z| + 1)$  by arith
  from  $dp$  have  $yz: ?y < z$  using decr-lemma[OF dp] by simp
  from  $z$ [rule-format, OF yz] decr-mult-lemma[OF dp th zp, rule-format, OF P]
have  $th2: P'\ ?y$  by auto
  with periodic-finite-ex[OF dp pd]
  have  $?R1$  by blast }
ultimately show  $?thesis$  by blast
qed

```

### 30.3.3 The $+\infty$ Version

**lemma** *plusinfinity*:

```

assumes  $dpos: (0::int) < d$  and
 $P1eqP1: \forall x\ k. P'\ x = P'(x - k*d)$  and  $ePeqP1: \exists z. \forall x > z. P\ x = P'\ x$ 
shows  $(\exists x. P'\ x) \longrightarrow (\exists x. P\ x)$ 

```

**proof**

```

assume  $eP1: EX\ x. P'\ x$ 
then obtain  $x$  where  $P1: P'\ x ..$ 
from  $ePeqP1$  obtain  $z$  where  $P1eqP: \forall x > z. P\ x = P'\ x ..$ 
let  $?w' = x + (abs(x-z)+1) * d$ 
let  $?w = x - (-(abs(x-z) + 1))*d$ 
have  $ww'[simp]: ?w = ?w'$  by (simp add: ring-simps)
from  $dpos$  have  $w: ?w > z$  by (simp only: ww' incr-lemma)
hence  $P'\ x = P'\ ?w$  using  $P1eqP1$  by blast
also have  $\dots = P(?w)$  using  $w\ P1eqP$  by blast
finally have  $P\ ?w$  using  $P1$  by blast
thus  $EX\ x. P\ x ..$ 

```

**qed**

**lemma** *incr-mult-lemma*:

```

assumes  $dpos: (0::int) < d$  and  $plus: ALL\ x::int. P\ x \longrightarrow P(x + d)$  and  $knneg: 0 \leq k$ 

```

```

shows  $ALL\ x. P\ x \longrightarrow P(x + k*d)$ 

```

**using** *knneg*

**proof** (*induct rule:int-ge-induct*)

```

  case base thus  $?case$  by simp

```

**next**

```

  case (step i)

```

```

{fix x
  have  $P\ x \longrightarrow P\ (x + i * d)$  using step.hyps by blast
  also have  $\dots \longrightarrow P(x + (i + 1) * d)$  using plus[THEN spec, of  $x + i * d$ ]
    by (simp add:int-distrib zadd-ac)
  ultimately have  $P\ x \longrightarrow P(x + (i + 1) * d)$  by blast}
thus ?case ..
qed

lemma cppi:
  assumes dp:  $0 < D$  and p1: $\exists z. \forall x > z. P\ x = P'\ x$ 
  and nb: $\forall x. (\forall j \in \{1..D\}. \forall (b::int) \in A. x \neq b - j) \longrightarrow P\ (x) \longrightarrow P\ (x + D)$ 
  and pd: $\forall x\ k. P'\ x = P'\ (x - k * D)$ 
  shows  $(\exists x. P\ x) = ((\exists j \in \{1..D\}. P'\ j) \mid (\exists j \in \{1..D\}. \exists b \in A. P\ (b - j)))$ 
  (is ?L = (?R1  $\vee$  ?R2))
proof -
  {assume ?R2 hence ?L by blast}
  moreover
  {assume H: ?R1 hence ?L using plusinfinity[OF dp pd p1] periodic-finite-ex[OF dp pd] by simp}
  moreover
  {fix x
    assume P:  $P\ x$  and H:  $\neg ?R2$ 
    {fix y assume  $\neg (\exists j \in \{1..D\}. \exists b \in A. P\ (b - j))$  and P:  $P\ y$ 
      hence  $\sim (EX\ (j::int) : \{1..D\}. EX\ (b::int) : A. y = b - j)$  by auto
      with nb P have  $P\ (y + D)$  by auto }
    hence  $ALL\ x. \sim (EX\ (j::int) : \{1..D\}. EX\ (b::int) : A. P(b-j)) \longrightarrow P\ (x)$ 
     $\longrightarrow P\ (x + D)$  by blast
    with H P have th:  $\forall x. P\ x \longrightarrow P\ (x + D)$  by auto
    from p1 obtain z where z:  $ALL\ x. x > z \longrightarrow (P\ x = P'\ x)$  by blast
    let ?y =  $x + (|x - z| + 1) * D$ 
    have zp:  $0 \leq (|x - z| + 1) * D$  by arith
    from dp have yz:  $?y > z$  using incr-lemma[OF dp] by simp
    from z[rule-format, OF yz] incr-mult-lemma[OF dp th zp, rule-format, OF P]
  have th2:  $P'\ ?y$  by auto
    with periodic-finite-ex[OF dp pd]
    have ?R1 by blast}
  ultimately show ?thesis by blast
qed

```

```

lemma simp-from-to:  $\{i..j::int\} = (\text{if } j < i \text{ then } \{\} \text{ else insert } i\ \{i+1..j\})$ 
apply(simp add:atLeastAtMost-def atLeast-def atMost-def)
apply(fastsimp)
done

```

```

theorem unity-coeff-ex:  $(\exists (x::'a::\{\text{semiring-0}, \text{Divides.div}\}). P\ (l * x)) \equiv (\exists x. l \text{ dvd } (x + 0) \wedge P\ x)$ 
  apply (rule eq-reflection[symmetric])
  apply (rule iffI)

```

```

defer
apply (erule exE)
apply (rule-tac x = l * x in exI)
apply (simp add: dvd-def)
apply (rule-tac x=x in exI, simp)
apply (erule exE)
apply (erule conjE)
apply (erule dvdE)
apply (rule-tac x = k in exI)
apply simp
done

```

**lemma** *zdvd-mono*: **assumes** *not0*:  $(k::int) \neq 0$   
**shows**  $((m::int) \text{ dvd } t) \equiv (k*m \text{ dvd } k*t)$   
**using** *not0* **by** (simp add: dvd-def)

**lemma** *uminus-dvd-conv*:  $(d \text{ dvd } (t::int)) \equiv (-d \text{ dvd } t) \ (d \text{ dvd } (t::int)) \equiv (d \text{ dvd } -t)$   
**by** *simp-all*

Theorems for transforming predicates on nat to predicates on *int*

**lemma** *all-nat*:  $(\forall x::nat. P\ x) = (\forall x::int. 0 \leq x \longrightarrow P\ (nat\ x))$   
**by** (simp split add: split-nat)

**lemma** *ex-nat*:  $(\exists x::nat. P\ x) = (\exists x::int. 0 \leq x \wedge P\ (nat\ x))$   
**apply** (auto split add: split-nat)  
**apply** (rule-tac x=int x in exI, simp)  
**apply** (rule-tac x = nat x in exI, erule-tac x = nat x in allE, simp)  
**done**

**lemma** *zdiff-int-split*:  $P\ (int\ (x - y)) =$   
 $((y \leq x \longrightarrow P\ (int\ x - int\ y)) \wedge (x < y \longrightarrow P\ 0))$   
**by** (case-tac  $y \leq x$ , simp-all add: zdiff-int)

**lemma** *number-of1*:  $(0::int) \leq number-of\ n \implies (0::int) \leq number-of\ (Int.Bit0\ n) \wedge (0::int) \leq number-of\ (Int.Bit1\ n)$

**by** *simp*

**lemma** *number-of2*:  $(0::int) \leq Numeral0$  **by** *simp*

**lemma** *Suc-plus1*:  $Suc\ n = n + 1$  **by** *simp*

Specific instances of congruence rules, to prevent simplifier from looping.

**theorem** *imp-le-cong*:  $(0 \leq x \implies P = P') \implies (0 \leq (x::int) \longrightarrow P) = (0 \leq x \longrightarrow P')$  **by** *simp*

**theorem** *conj-le-cong*:  $(0 \leq x \implies P = P') \implies (0 \leq (x::int) \wedge P) = (0 \leq x \wedge P')$

**by** (simp cong: conj-cong)

**lemma** *int-eq-number-of-eq*:

```

  (((number-of v)::int) = (number-of w)) = iszero ((number-of (v + (uminus
w)))::int)
  by simp

```

```

lemma mod-eq0-dvd-iff[presburger]: (m::nat) mod n = 0  $\longleftrightarrow$  n dvd m
unfolding dvd-eq-mod-eq-0[symmetric] ..

```

```

lemma zmod-eq0-zdvd-iff[presburger]: (m::int) mod n = 0  $\longleftrightarrow$  n dvd m
unfolding zdvd-iff-zmod-eq-0[symmetric] ..

```

```

declare mod-1[presburger]
declare mod-0[presburger]
declare zmod-1[presburger]
declare zmod-zero[presburger]
declare zmod-self[presburger]
declare mod-self[presburger]
declare DIVISION-BY-ZERO-MOD[presburger]
declare nat-mod-div-trivial[presburger]
declare div-mod-equality2[presburger]
declare div-mod-equality[presburger]
declare mod-div-equality2[presburger]
declare mod-div-equality[presburger]
declare mod-mult-self1[presburger]
declare mod-mult-self2[presburger]
declare zdiv-zmod-equality2[presburger]
declare zdiv-zmod-equality[presburger]
declare mod2-Suc-Suc[presburger]
lemma [presburger]: (a::int) div 0 = 0 and [presburger]: a mod 0 = a
using IntDiv.DIVISION-BY-ZERO by blast+

```

```

use Tools/Qelim/cooper.ML
oracle linze-oracle (term) = Coopereif.cooper-oracle

```

```

use Tools/Qelim/presburger.ML

```

```

declaration << fn - =>
  arith-tactic-add
  (mk-arith-tactic presburger (fn ctxt => fn i => fn st =>
    (warning Trying Presburger arithmetic ...;
     Presburger.cooper-tac true [] [] ctxt i st)))
  >>

```

```

method-setup presburger = <<
  let
    fun keyword k = Scan.lift (Args.$$$ k -- Args.colon) >> K ()
    fun simple-keyword k = Scan.lift (Args.$$$ k) >> K ()
    val addN = add
    val delN = del
    val elimN = elim
    val any-keyword = keyword addN || keyword delN || simple-keyword elimN

```



```

val thms = Scan.repeat (Scan.unless any-keyword Attrib.multi-thm) >> flat;
in
  fn src => Method.syntax
    ((Scan.optional (simple-keyword elimN >> K false) true) --
     (Scan.optional (keyword addN |-- thms) []) --
     (Scan.optional (keyword delN |-- thms) [])) src
  #> (fn (((elim, add-ths), del-ths), ctx) =>
      Method.SIMPLE-METHOD' (Presburger.cooper-tac elim add-ths del-ths
    ctx))
end
>> Cooper's algorithm for Presburger arithmetic

```

```

lemma [presburger]: m mod 2 = (1::nat) <=> ¬ 2 dvd m by presburger
lemma [presburger]: m mod 2 = Suc 0 <=> ¬ 2 dvd m by presburger
lemma [presburger]: m mod (Suc (Suc 0)) = (1::nat) <=> ¬ 2 dvd m by presburger
lemma [presburger]: m mod (Suc (Suc 0)) = Suc 0 <=> ¬ 2 dvd m by presburger
lemma [presburger]: m mod 2 = (1::int) <=> ¬ 2 dvd m by presburger

```

```

lemma zdvd-period:
  fixes a d :: int
  assumes advdd: a dvd d
  shows a dvd (x + t) <=> a dvd ((x + c * d) + t)
proof-
  {
    fix x k
    from inf-period(3) [OF advdd, rule-format, where x=x and k=-k]
    have a dvd (x + t) <=> a dvd (x + k * d + t) by simp
  }
  hence ∀ x.∀ k. ((a::int) dvd (x + t)) = (a dvd (x+k*d + t)) by simp
  then show ?thesis by simp
qed
end

```

## 31 Refute: Refute

```

theory Refute
imports Inductive
uses
  Tools/prop-logic.ML
  Tools/sat-solver.ML
  Tools/refute.ML
  Tools/refute-isar.ML
begin

setup Refute.setup

```

```

(* ----- *)
(* REFUTE                                          *)
(* ----- *)
(* We use a SAT solver to search for a (finite) model that refutes a given *)
(* HOL formula.                                  *)
(* ----- *)

(* ----- *)
(* NOTE                                           *)
(* ----- *)
(* I strongly recommend that you install a stand-alone SAT solver if you *)
(* want to use 'refute'. For details see 'HOL/Tools/sat_solver.ML'. If you *)
(* have installed (a supported version of) zChaff, simply set 'ZCHAFF_HOME' *)
(* in 'etc/settings'.                             *)
(* ----- *)

(* ----- *)
(* USAGE                                          *)
(* ----- *)
(* See the file 'HOL/ex/Refute_Examples.thy' for examples. The supported *)
(* parameters are explained below.                *)
(* ----- *)

(* ----- *)
(* CURRENT LIMITATIONS                          *)
(* ----- *)
(* 'refute' currently accepts formulas of higher-order predicate logic (with *)
(* equality), including free/bound/schematic variables, lambda abstractions, *)
(* sets and set membership, "arbitrary", "The", "Eps", records and          *)
(* inductively defined sets. Constants are unfolded automatically, and sort *)
(* axioms are added as well. Other, user-asserted axioms however are       *)
(* ignored. Inductive datatypes and recursive functions are supported, but *)
(* may lead to spurious countermodels.                                         *)
(* ----- *)
(* The (space) complexity of the algorithm is non-elementary.                *)
(* ----- *)
(* Schematic type variables are not supported.                               *)
(* ----- *)

(* ----- *)
(* PARAMETERS                                   *)
(* ----- *)
(* The following global parameters are currently supported (and required): *)
(* ----- *)
(* Name          Type      Description                                           *)
(* ----- *)
(* "minsize"      int       Only search for models with size at least          *)
(*                   'minsize'.                                                  *)
(* ----- *)
(* "maxsize"      int       If >0, only search for models with size at most    *)

```

```

(*)          'maxsize'.                                *)
(*) "maxvars"  int      If >0, use at most 'maxvars' boolean variables  *)
(*)                                when transforming the term into a propositional *)
(*)                                formula.                                *)
(*) "maxtime"  int      If >0, terminate after at most 'maxtime' seconds. *)
(*)                                This value is ignored under some ML compilers. *)
(*) "satsolver" string  Name of the SAT solver to be used.                *)
(*)                                                    *)
(*) See 'HOL/SAT.thy' for default values.                                *)
(*)                                                    *)
(*) The size of particular types can be specified in the form type=size *)
(*) (where 'type' is a string, and 'size' is an int).  Examples:        *)
(*) "'a'=1"                                           *)
(*) "List.list"=2                                     *)
(*) ----- *)

(*) ----- *)
(*) FILES                                           *)
(*)                                                    *)
(*) HOL/Tools/prop_logic.ML      Propositional logic                *)
(*) HOL/Tools/sat_solver.ML      SAT solvers                        *)
(*) HOL/Tools/refute.ML          Translation HOL -> propositional logic and *)
(*)                                Boolean assignment -> HOL model        *)
(*) HOL/Tools/refute_isar.ML      Adds 'refute'/'refute_params' to Isabelle's *)
(*)                                syntax                                *)
(*) HOL/Refute.thy                This file: loads the ML files, basic setup, *)
(*)                                documentation                          *)
(*) HOL/SAT.thy                   Sets default parameters            *)
(*) HOL/ex/RefuteExamples.thy     Examples                          *)
(*) ----- *)

end

```

## 32 SAT: Reconstructing external resolution proofs for propositional logic

```

theory SAT
imports Refute
uses
  Tools/cnf-funcs.ML
  Tools/sat-funcs.ML
begin

```

Late package setup: default values for refute, see also theory *Refute*.

```

refute-params
  [itself=1,

```

```

    minsize=1,
    maxsize=8,
    maxvars=10000,
    maxtime=60,
    satsolver=auto]

ML << structure sat = SATFunc(structure cnf = cnf); >>

method-setup sat = << Method.no-args (Method.SIMPLE-METHOD' sat.sat-tac)
>>
    SAT solver

method-setup satx = << Method.no-args (Method.SIMPLE-METHOD' sat.satx-tac)
>>
    SAT solver (with definitional CNF)

end

```

### 33 Recdef: TFL: recursive function definitions

```

theory Recdef
imports FunDef
uses
  (Tools/TFL/casesplit.ML)
  (Tools/TFL/utils.ML)
  (Tools/TFL/usyntax.ML)
  (Tools/TFL/dcterm.ML)
  (Tools/TFL/thms.ML)
  (Tools/TFL/rules.ML)
  (Tools/TFL/thry.ML)
  (Tools/TFL/tfl.ML)
  (Tools/TFL/post.ML)
  (Tools/recdef-package.ML)
begin

* This form avoids giant explosions in proofs. NOTE USE OF ==

lemma def-wfrec: [| f==wfrec r H; wf(r) |] ==> f(a) = H (cut f r a) a
apply auto
apply (blast intro: wfrec)
done

lemma tfl-wf-induct: ALL R. wf R -->
  (ALL P. (ALL x. (ALL y. (y,x):R --> P y) --> P x) --> (ALL x. P
x))
apply clarify
apply (rule-tac r = R and P = P and a = x in wf-induct, assumption, blast)
done

```

**lemma** *tfl-cut-apply*:  $ALL\ f\ R.\ (x,a):R \dashrightarrow (cut\ f\ R\ a)(x) = f(x)$   
**apply** *clarify*  
**apply** (*rule cut-apply, assumption*)  
**done**

**lemma** *tfl-wfrec*:  
 $ALL\ M\ R\ f.\ (f=wfrec\ R\ M) \dashrightarrow wf\ R \dashrightarrow (ALL\ x.\ f\ x = M\ (cut\ f\ R\ x)\ x)$   
**apply** *clarify*  
**apply** (*erule wfrec*)  
**done**

**lemma** *tfl-eq-True*:  $(x = True) \dashrightarrow x$   
**by** *blast*

**lemma** *tfl-rev-eq-mp*:  $(x = y) \dashrightarrow y \dashrightarrow x$   
**by** *blast*

**lemma** *tfl-simp-thm*:  $(x \dashrightarrow y) \dashrightarrow (x = x') \dashrightarrow (x' \dashrightarrow y)$   
**by** *blast*

**lemma** *tfl-P-imp-P-iff-True*:  $P \implies P = True$   
**by** *blast*

**lemma** *tfl-imp-trans*:  $(A \dashrightarrow B) \implies (B \dashrightarrow C) \implies (A \dashrightarrow C)$   
**by** *blast*

**lemma** *tfl-disj-assoc*:  $(a \vee b) \vee c == a \vee (b \vee c)$   
**by** *simp*

**lemma** *tfl-disjE*:  $P \vee Q \implies P \dashrightarrow R \implies Q \dashrightarrow R \implies R$   
**by** *blast*

**lemma** *tfl-exE*:  $\exists x.\ P\ x \implies \forall x.\ P\ x \dashrightarrow Q \implies Q$   
**by** *blast*

**use** *Tools/TFL/casesplit.ML*  
**use** *Tools/TFL/utls.ML*  
**use** *Tools/TFL/usyntax.ML*  
**use** *Tools/TFL/dcterm.ML*  
**use** *Tools/TFL/thms.ML*  
**use** *Tools/TFL/rules.ML*  
**use** *Tools/TFL/thry.ML*  
**use** *Tools/TFL/tfl.ML*  
**use** *Tools/TFL/post.ML*  
**use** *Tools/recdef-package.ML*  
**setup** *RecdefPackage.setup*

**lemmas** [*recdef-simp*] =

```

    inv-image-def
    measure-def
    lex-prod-def
    same-fst-def
    less-Suc-eq [THEN iffD2]

lemmas [recdef-cong] =
    if-cong let-cong image-cong INT-cong UN-cong bex-cong ball-cong imp-cong

lemmas [recdef-wf] =
    wf-trancl
    wf-less-than
    wf-lex-prod
    wf-inv-image
    wf-measure
    wf-pred-nat
    wf-same-fst
    wf-empty

end

```

### 34 Datatype: Analogues of the Cartesian Product and Disjoint Sum for Datatypes

```

theory Datatype
imports Finite-Set Wellfounded
begin

lemma size-bool [code func]:
    size (b::bool) = 0 by (cases b) auto

declare prod.size [noatp]

typedef (Node)
    ('a,'b) node = {p. EX f x k. p = (f::nat=>'b+nat, x::'a+nat) & f k = Inr 0}
    — it is a subtype of (nat=>'b+nat) * ('a+nat)
    by auto

Datatypes will be represented by sets of type node

types 'a item      = ('a, unit) node set
    ('a, 'b) dtree = ('a, 'b) node set

consts
    Push      :: [('b + nat), nat => ('b + nat)] => (nat => ('b + nat))

    Push-Node :: [('b + nat), ('a, 'b) node] => ('a, 'b) node
    ndepth    :: ('a, 'b) node => nat

```

$Atom \quad :: ('a + nat) \Rightarrow ('a, 'b) \text{ dtree}$   
 $Leaf \quad :: 'a \Rightarrow ('a, 'b) \text{ dtree}$   
 $Numb \quad :: nat \Rightarrow ('a, 'b) \text{ dtree}$   
 $Scons \quad :: [('a, 'b) \text{ dtree}, ('a, 'b) \text{ dtree}] \Rightarrow ('a, 'b) \text{ dtree}$   
 $In0 \quad :: ('a, 'b) \text{ dtree} \Rightarrow ('a, 'b) \text{ dtree}$   
 $In1 \quad :: ('a, 'b) \text{ dtree} \Rightarrow ('a, 'b) \text{ dtree}$   
 $Lim \quad :: ('b \Rightarrow ('a, 'b) \text{ dtree}) \Rightarrow ('a, 'b) \text{ dtree}$   
  
 $ntrunc \quad :: [nat, ('a, 'b) \text{ dtree}] \Rightarrow ('a, 'b) \text{ dtree}$   
  
 $uprod \quad :: [('a, 'b) \text{ dtree set}, ('a, 'b) \text{ dtree set}] \Rightarrow ('a, 'b) \text{ dtree set}$   
 $usum \quad :: [('a, 'b) \text{ dtree set}, ('a, 'b) \text{ dtree set}] \Rightarrow ('a, 'b) \text{ dtree set}$   
  
 $Split \quad :: [[('a, 'b) \text{ dtree}, ('a, 'b) \text{ dtree}] \Rightarrow 'c, ('a, 'b) \text{ dtree}] \Rightarrow 'c$   
 $Case \quad :: [[('a, 'b) \text{ dtree}] \Rightarrow 'c, [('a, 'b) \text{ dtree}] \Rightarrow 'c, ('a, 'b) \text{ dtree}] \Rightarrow 'c$   
  
 $dprod \quad :: [((('a, 'b) \text{ dtree} * ('a, 'b) \text{ dtree}) \text{ set}, (('a, 'b) \text{ dtree} * ('a, 'b) \text{ dtree}) \text{ set}) \Rightarrow (('a, 'b) \text{ dtree} * ('a, 'b) \text{ dtree}) \text{ set}]$   
 $dsum \quad :: [((('a, 'b) \text{ dtree} * ('a, 'b) \text{ dtree}) \text{ set}, (('a, 'b) \text{ dtree} * ('a, 'b) \text{ dtree}) \text{ set}) \Rightarrow (('a, 'b) \text{ dtree} * ('a, 'b) \text{ dtree}) \text{ set}]$

**defs**

*Push-Node-def:*  $Push\text{-}Node == (\%n \ x. Abs\text{-}Node (\%k. Inr \ 0, x)))$

*Push-def:*  $Push == (\%b \ h. nat\text{-}case \ b \ h)$

*Atom-def:*  $Atom == (\%x. \{Abs\text{-}Node((\%k. Inr \ 0, x))\})$   
*Scons-def:*  $Scons \ M \ N == (Push\text{-}Node \ (Inr \ 1) \ 'M) \ Un \ (Push\text{-}Node \ (Inr \ (Suc \ 1)) \ 'N)$

*Leaf-def:*  $Leaf == Atom \ o \ Inl$   
*Numb-def:*  $Numb == Atom \ o \ Inr$

*In0-def:*  $In0(M) == Scons \ (Numb \ 0) \ M$   
*In1-def:*  $In1(M) == Scons \ (Numb \ 1) \ M$

*Lim-def:*  $Lim \ f == Union \ \{z. \ ? \ x. \ z = Push\text{-}Node \ (Inl \ x) \ ' (f \ x)\}$

*ndepth-def*:  $ndepth(n) == (\% (f, x). \text{LEAST } k. f\ k = \text{Inr } 0) \text{ (Rep-Node } n)$

*ntrunc-def*:  $ntrunc\ k\ N == \{n. n:N \ \&\ ndepth(n) < k\}$

*uprod-def*:  $uprod\ A\ B == UN\ x:A. UN\ y:B. \{Scons\ x\ y\}$

*usum-def*:  $usum\ A\ B == In0'A\ Un\ In1'B$

*Split-def*:  $Split\ c\ M == THE\ u. EX\ x\ y. M = Scons\ x\ y \ \&\ u = c\ x\ y$

*Case-def*:  $Case\ c\ d\ M == THE\ u. (EX\ x. M = In0(x) \ \&\ u = c(x))$   
 $\quad \quad \quad | (EX\ y. M = In1(y) \ \&\ u = d(y))$

*dprod-def*:  $dprod\ r\ s == UN\ (x, x'):r. UN\ (y, y'):s. \{(Scons\ x\ y, Scons\ x'\ y')\}$

*dsum-def*:  $dsum\ r\ s == (UN\ (x, x'):r. \{(In0(x), In0(x'))\})\ Un$   
 $\quad \quad \quad (UN\ (y, y'):s. \{(In1(y), In1(y'))\})$

**lemma** *apfst-convE*:

$\llbracket q = \text{apfst } f\ p; \ !\!x\ y. \llbracket p = (x, y); \ q = (f(x), y) \rrbracket ==> R$   
 $\llbracket \rrbracket ==> R$

**by** (*force simp add: apfst-def*)

**lemma** *Push-inject1*:  $Push\ i\ f = Push\ j\ g ==> i=j$

**apply** (*simp add: Push-def expand-fun-eq*)

**apply** (*drule-tac x=0 in spec, simp*)

**done**

**lemma** *Push-inject2*:  $Push\ i\ f = Push\ j\ g ==> f=g$

**apply** (*auto simp add: Push-def expand-fun-eq*)

**apply** (*drule-tac x=Suc x in spec, simp*)

**done**

**lemma** *Push-inject*:

$\llbracket Push\ i\ f = Push\ j\ g; \llbracket i=j; \ f=g \rrbracket ==> P \rrbracket ==> P$

**by** (*blast dest: Push-inject1 Push-inject2*)

**lemma** *Push-neq-K0*:  $Push\ (\text{Inr } (Suc\ k))\ f = (\%z. \text{Inr } 0) ==> P$

**by** (*auto simp add: Push-def expand-fun-eq split: nat.split-asm*)

**lemmas** *Abs-Node-inj* = *Abs-Node-inject* [*THEN* [2] *rev-iffD1, standard*]



**lemma** *Node-K0-I*: (%k. Inr 0, a) : Node  
**by** (simp add: Node-def)

**lemma** *Node-Push-I*: p : Node ==> apfst (Push i) p : Node  
**apply** (simp add: Node-def Push-def)  
**apply** (fast intro!: apfst-conv nat-case-Suc [THEN trans])  
**done**

### 34.1 Freeness: Distinctness of Constructors

**lemma** *Scons-not-Atom* [iff]: Scons M N ≠ Atom(a)  
**apply** (simp add: Atom-def Scons-def Push-Node-def One-nat-def)  
**apply** (blast intro: Node-K0-I Rep-Node [THEN Node-Push-I]  
dest!: Abs-Node-inj  
elim!: apfst-convE sym [THEN Push-neq-K0])  
**done**

**lemmas** *Atom-not-Scons* [iff] = Scons-not-Atom [THEN not-sym, standard]

**lemma** *inj-Atom*: inj(Atom)  
**apply** (simp add: Atom-def)  
**apply** (blast intro!: inj-onI Node-K0-I dest!: Abs-Node-inj)  
**done**  
**lemmas** *Atom-inject* = inj-Atom [THEN injD, standard]

**lemma** *Atom-Atom-eq* [iff]: (Atom(a)=Atom(b)) = (a=b)  
**by** (blast dest!: Atom-inject)

**lemma** *inj-Leaf*: inj(Leaf)  
**apply** (simp add: Leaf-def o-def)  
**apply** (rule inj-onI)  
**apply** (erule Atom-inject [THEN Inl-inject])  
**done**

**lemmas** *Leaf-inject* [dest!] = inj-Leaf [THEN injD, standard]

**lemma** *inj-Numb*: inj(Numb)  
**apply** (simp add: Numb-def o-def)  
**apply** (rule inj-onI)  
**apply** (erule Atom-inject [THEN Inr-inject])

done

lemmas *Numb-inject* [*dest!*] = *inj-Numb* [*THEN injD, standard*]

lemma *Push-Node-inject*:

$[[ \text{Push-Node } i \ m = \text{Push-Node } j \ n; \ [i=j; \ m=n] ] ==> P$   
 $] ==> P$

apply (simp add: *Push-Node-def*)

apply (erule *Abs-Node-inj* [*THEN apfst-convE*])

apply (rule *Rep-Node* [*THEN Node-Push-I*])+

apply (erule sym [*THEN apfst-convE*])

apply (blast intro: *Rep-Node-inject* [*THEN iffD1*] trans sym elim!: *Push-inject*)

done

lemma *Scons-inject-lemma1*: *Scons M N* <= *Scons M' N'* ==> *M* <= *M'*

apply (simp add: *Scons-def One-nat-def*)

apply (blast dest!: *Push-Node-inject*)

done

lemma *Scons-inject-lemma2*: *Scons M N* <= *Scons M' N'* ==> *N* <= *N'*

apply (simp add: *Scons-def One-nat-def*)

apply (blast dest!: *Push-Node-inject*)

done

lemma *Scons-inject1*: *Scons M N* = *Scons M' N'* ==> *M* = *M'*

apply (erule *equalityE*)

apply (iprover intro: *equalityI Scons-inject-lemma1*)

done

lemma *Scons-inject2*: *Scons M N* = *Scons M' N'* ==> *N* = *N'*

apply (erule *equalityE*)

apply (iprover intro: *equalityI Scons-inject-lemma2*)

done

lemma *Scons-inject*:

$[[ \text{Scons } M \ N = \text{Scons } M' \ N'; \ [M=M'; \ N=N'] ] ==> P ] ==> P$

by (iprover dest: *Scons-inject1 Scons-inject2*)

lemma *Scons-Scons-eq* [*iff*]: (*Scons M N* = *Scons M' N'*) = (*M* = *M'* & *N* = *N'*)

by (blast elim!: *Scons-inject*)

**lemma** *Scons-not-Leaf* [iff]: *Scons* *M N*  $\neq$  *Leaf*(*a*)  
**by** (*simp add: Leaf-def o-def Scons-not-Atom*)

**lemmas** *Leaf-not-Scons* [iff] = *Scons-not-Leaf* [THEN *not-sym*, *standard*]

**lemma** *Scons-not-Numb* [iff]: *Scons* *M N*  $\neq$  *Numb*(*k*)  
**by** (*simp add: Numb-def o-def Scons-not-Atom*)

**lemmas** *Numb-not-Scons* [iff] = *Scons-not-Numb* [THEN *not-sym*, *standard*]

**lemma** *Leaf-not-Numb* [iff]: *Leaf*(*a*)  $\neq$  *Numb*(*k*)  
**by** (*simp add: Leaf-def Numb-def*)

**lemmas** *Numb-not-Leaf* [iff] = *Leaf-not-Numb* [THEN *not-sym*, *standard*]

**lemma** *ndepth-K0*: *ndepth* (*Abs-Node*(%*k*. *Inr* 0, *x*)) = 0  
**by** (*simp add: ndepth-def Node-K0-I* [THEN *Abs-Node-inverse*] *Least-equality*)

**lemma** *ndepth-Push-Node-aux*:  
*nat-case* (*Inr* (*Suc* *i*)) *f k* = *Inr* 0  $\longrightarrow$  *Suc*(*LEAST* *x*. *f x* = *Inr* 0)  $\leq$  *k*  
**apply** (*induct-tac k, auto*)  
**apply** (*erule Least-le*)  
**done**

**lemma** *ndepth-Push-Node*:  
*ndepth* (*Push-Node* (*Inr* (*Suc* *i*)) *n*) = *Suc*(*ndepth*(*n*))  
**apply** (*insert Rep-Node* [of *n*, *unfolded Node-def*])  
**apply** (*auto simp add: ndepth-def Push-Node-def*  
*Rep-Node* [THEN *Node-Push-I*, THEN *Abs-Node-inverse*])  
**apply** (*rule Least-equality*)  
**apply** (*auto simp add: Push-def ndepth-Push-Node-aux*)  
**apply** (*erule LeastI*)  
**done**

**lemma** *ntrunc-0* [*simp*]: *ntrunc* 0 *M* = {}  
**by** (*simp add: ntrunc-def*)

**lemma** *ntrunc-Atom* [simp]: *ntrunc* (*Suc k*) (*Atom a*) = *Atom*(*a*)  
**by** (*auto simp add: Atom-def ntrunc-def ndepth-K0*)

**lemma** *ntrunc-Leaf* [simp]: *ntrunc* (*Suc k*) (*Leaf a*) = *Leaf*(*a*)  
**by** (*simp add: Leaf-def o-def ntrunc-Atom*)

**lemma** *ntrunc-Numb* [simp]: *ntrunc* (*Suc k*) (*Numb i*) = *Numb*(*i*)  
**by** (*simp add: Numb-def o-def ntrunc-Atom*)

**lemma** *ntrunc-Scons* [simp]:  
*ntrunc* (*Suc k*) (*Scons M N*) = *Scons* (*ntrunc k M*) (*ntrunc k N*)  
**by** (*auto simp add: Scons-def ntrunc-def One-nat-def ndepth-Push-Node*)

**lemma** *ntrunc-one-In0* [simp]: *ntrunc* (*Suc 0*) (*In0 M*) = {}  
**apply** (*simp add: In0-def*)  
**apply** (*simp add: Scons-def*)  
**done**

**lemma** *ntrunc-In0* [simp]: *ntrunc* (*Suc*(*Suc k*)) (*In0 M*) = *In0* (*ntrunc* (*Suc k*)  
*M*)  
**by** (*simp add: In0-def*)

**lemma** *ntrunc-one-In1* [simp]: *ntrunc* (*Suc 0*) (*In1 M*) = {}  
**apply** (*simp add: In1-def*)  
**apply** (*simp add: Scons-def*)  
**done**

**lemma** *ntrunc-In1* [simp]: *ntrunc* (*Suc*(*Suc k*)) (*In1 M*) = *In1* (*ntrunc* (*Suc k*)  
*M*)  
**by** (*simp add: In1-def*)

### 34.2 Set Constructions

**lemma** *uprodI* [intro!]: [*M:A; N:B*] ==> *Scons M N* : *uprod A B*  
**by** (*simp add: uprod-def*)

**lemma** *uprodE* [elim!]:  
[*c : uprod A B*;  
!!*x y. [x:A; y:B; c = Scons x y]* ==> *P*  
] ==> *P*  
**by** (*auto simp add: uprod-def*)

**lemma** *uprodE2*:  $\llbracket Scons\ M\ N : uprod\ A\ B; \llbracket M:A; N:B \rrbracket ==> P \rrbracket ==> P$   
**by** (*auto simp add: uprod-def*)

**lemma** *usum-In0I* [*intro*]:  $M:A ==> In0(M) : usum\ A\ B$   
**by** (*simp add: usum-def*)

**lemma** *usum-In1I* [*intro*]:  $N:B ==> In1(N) : usum\ A\ B$   
**by** (*simp add: usum-def*)

**lemma** *usumE* [*elim!*]:  
 $\llbracket u : usum\ A\ B;$   
 $\quad !!x. \llbracket x:A; u=In0(x) \rrbracket ==> P;$   
 $\quad !!y. \llbracket y:B; u=In1(y) \rrbracket ==> P$   
 $\rrbracket ==> P$   
**by** (*auto simp add: usum-def*)

**lemma** *In0-not-In1* [*iff*]:  $In0(M) \neq In1(N)$   
**by** (*auto simp add: In0-def In1-def One-nat-def*)

**lemmas** *In1-not-In0* [*iff*] = *In0-not-In1* [*THEN not-sym, standard*]

**lemma** *In0-inject*:  $In0(M) = In0(N) ==> M=N$   
**by** (*simp add: In0-def*)

**lemma** *In1-inject*:  $In1(M) = In1(N) ==> M=N$   
**by** (*simp add: In1-def*)

**lemma** *In0-eq* [*iff*]:  $(In0\ M = In0\ N) = (M=N)$   
**by** (*blast dest!: In0-inject*)

**lemma** *In1-eq* [*iff*]:  $(In1\ M = In1\ N) = (M=N)$   
**by** (*blast dest!: In1-inject*)

**lemma** *inj-In0*: *inj In0*  
**by** (*blast intro!: inj-onI*)

**lemma** *inj-In1*: *inj In1*  
**by** (*blast intro!: inj-onI*)

```

lemma Lim-inject:  $\text{Lim } f = \text{Lim } g \implies f = g$ 
apply (simp add: Lim-def)
apply (rule ext)
apply (blast elim!: Push-Node-inject)
done

```

```

lemma ntrunc-subsetI:  $\text{ntrunc } k \ M \leq M$ 
by (auto simp add: ntrunc-def)

```

```

lemma ntrunc-subsetD:  $(!!k. \text{ntrunc } k \ M \leq N) \implies M \leq N$ 
by (auto simp add: ntrunc-def)

```

```

lemma ntrunc-equality:  $(!!k. \text{ntrunc } k \ M = \text{ntrunc } k \ N) \implies M = N$ 
apply (rule equalityI)
apply (rule-tac [!] ntrunc-subsetD)
apply (rule-tac [!] ntrunc-subsetI [THEN [2] subset-trans], auto)
done

```

```

lemma ntrunc-o-equality:
   $[!k. (\text{ntrunc}(k) \circ h1) = (\text{ntrunc}(k) \circ h2)] \implies h1 = h2$ 
apply (rule ntrunc-equality [THEN ext])
apply (simp add: expand-fun-eq)
done

```

```

lemma uprod-mono:  $[A \leq A'; B \leq B'] \implies \text{uprod } A \ B \leq \text{uprod } A' \ B'$ 
by (simp add: uprod-def, blast)

```

```

lemma usum-mono:  $[A \leq A'; B \leq B'] \implies \text{usum } A \ B \leq \text{usum } A' \ B'$ 
by (simp add: usum-def, blast)

```

```

lemma Scons-mono:  $[M \leq M'; N \leq N'] \implies \text{Scons } M \ N \leq \text{Scons } M' \ N'$ 
by (simp add: Scons-def, blast)

```

```

lemma In0-mono:  $M \leq N \implies \text{In0}(M) \leq \text{In0}(N)$ 
by (simp add: In0-def subset-refl Scons-mono)

```

```

lemma In1-mono:  $M \leq N \implies \text{In1}(M) \leq \text{In1}(N)$ 
by (simp add: In1-def subset-refl Scons-mono)

```

**lemma** *Split* [*simp*]: *Split*  $c$  (*Scons*  $M$   $N$ ) =  $c$   $M$   $N$   
**by** (*simp add: Split-def*)

**lemma** *Case-In0* [*simp*]: *Case*  $c$   $d$  (*In0*  $M$ ) =  $c(M)$   
**by** (*simp add: Case-def*)

**lemma** *Case-In1* [*simp*]: *Case*  $c$   $d$  (*In1*  $N$ ) =  $d(N)$   
**by** (*simp add: Case-def*)

**lemma** *ntrunc-UN1*: *ntrunc*  $k$  (*UN*  $x$ .  $f(x)$ ) = (*UN*  $x$ . *ntrunc*  $k$  ( $f$   $x$ ))  
**by** (*simp add: ntrunc-def, blast*)

**lemma** *Scons-UN1-x*: *Scons* (*UN*  $x$ .  $f$   $x$ )  $M$  = (*UN*  $x$ . *Scons* ( $f$   $x$ )  $M$ )  
**by** (*simp add: Scons-def, blast*)

**lemma** *Scons-UN1-y*: *Scons*  $M$  (*UN*  $x$ .  $f$   $x$ ) = (*UN*  $x$ . *Scons*  $M$  ( $f$   $x$ ))  
**by** (*simp add: Scons-def, blast*)

**lemma** *In0-UN1*: *In0* (*UN*  $x$ .  $f(x)$ ) = (*UN*  $x$ . *In0* ( $f(x)$ ))  
**by** (*simp add: In0-def Scons-UN1-y*)

**lemma** *In1-UN1*: *In1* (*UN*  $x$ .  $f(x)$ ) = (*UN*  $x$ . *In1* ( $f(x)$ ))  
**by** (*simp add: In1-def Scons-UN1-y*)

**lemma** *dprodI* [*intro!*]:  
 $\llbracket (M, M'):r; (N, N'):s \rrbracket ==> (Scons\ M\ N, Scons\ M'\ N') : dprod\ r\ s$   
**by** (*auto simp add: dprod-def*)

**lemma** *dprodE* [*elim!*]:  
 $\llbracket c : dprod\ r\ s; !!x\ y\ x'\ y'. \llbracket (x, x') : r; (y, y') : s; c = (Scons\ x\ y, Scons\ x'\ y') \rrbracket ==> P$   
 $\llbracket ==> P$   
**by** (*auto simp add: dprod-def*)

**lemma** *dsum-In0I* [*intro*]:  $(M, M'):r ==> (In0(M), In0(M')) : dsum\ r\ s$   
**by** (*auto simp add: dsum-def*)

**lemma** *dsum-In1I* [intro]:  $(N, N') : s ==> (In1(N), In1(N')) : dsum\ r\ s$   
**by** (*auto simp add: dsum-def*)

**lemma** *dsumE* [elim!]:  

$$\begin{aligned} &[[\ w : dsum\ r\ s; \\ &\quad !!x\ x'.\ [[\ (x, x') : r;\ w = (In0(x), In0(x'))\ ]\ ] ==> P; \\ &\quad !!y\ y'.\ [[\ (y, y') : s;\ w = (In1(y), In1(y'))\ ]\ ] ==> P \\ &]] ==> P \end{aligned}$$
  
**by** (*auto simp add: dsum-def*)

**lemma** *dprod-mono*:  $[[\ r <= r';\ s <= s'\ ]\ ] ==> dprod\ r\ s <= dprod\ r'\ s'$   
**by** *blast*

**lemma** *dsum-mono*:  $[[\ r <= r';\ s <= s'\ ]\ ] ==> dsum\ r\ s <= dsum\ r'\ s'$   
**by** *blast*

**lemma** *dprod-Sigma*:  $(dprod\ (A\ <*>\ B)\ (C\ <*>\ D)) <= (uprod\ A\ C)\ <*>\ (uprod\ B\ D)$   
**by** *blast*

**lemmas** *dprod-subset-Sigma* = *subset-trans* [*OF dprod-mono dprod-Sigma, standard*]

**lemma** *dprod-subset-Sigma2*:  

$$(dprod\ (Sigma\ A\ B)\ (Sigma\ C\ D)) <=$$
  

$$Sigma\ (uprod\ A\ C)\ (Split\ (\%x\ y.\ uprod\ (B\ x)\ (D\ y)))$$
  
**by** *auto*

**lemma** *dsum-Sigma*:  $(dsum\ (A\ <*>\ B)\ (C\ <*>\ D)) <= (usum\ A\ C)\ <*>\ (usum\ B\ D)$   
**by** *blast*

**lemmas** *dsum-subset-Sigma* = *subset-trans* [*OF dsum-mono dsum-Sigma, standard*]

**lemma** *Domain-dprod* [*simp*]:  $Domain\ (dprod\ r\ s) = uprod\ (Domain\ r)\ (Domain\ s)$   
**by** *auto*



**lemma** *Domain-dsum* [simp]:  $\text{Domain } (dsum\ r\ s) = usum\ (\text{Domain } r)\ (\text{Domain } s)$

**by** *auto*

hides popular names

**hide** (open) *type node item*

**hide** (open) *const Push Node Atom Leaf Numb Lim Split Case*

## 35 Datatypes

### 35.1 Representing sums

**rep-datatype** *sum*

**distinct** *Inl-not-Inr Inr-not-Inl*

**inject** *Inl-eq Inr-eq*

**induction** *sum-induct*

**lemma** *sum-case-KK* [simp]:  $\text{sum-case } (\%x::'a.\ a)\ (\%x::'a.\ a) = (\%x::'a.\ a)$   
**by** (rule *ext*) (simp *split: sum.split*)

**lemma** *surjective-sum*:  $\text{sum-case } (\%x::'a.\ f\ (\text{Inl } x))\ (\%y::'b.\ f\ (\text{Inr } y))\ s = f(s)$   
**apply** (rule-tac  $s = s$  **in** *sumE*)  
**apply** (erule *ssubst*)  
**apply** (rule *sum.cases*(1))  
**apply** (erule *ssubst*)  
**apply** (rule *sum.cases*(2))  
**done**

**lemma** *sum-case-weak-cong*:  $s = t \implies \text{sum-case } f\ g\ s = \text{sum-case } f\ g\ t$   
— Prevents simplification of *f* and *g*: much faster.  
**by** *simp*

**lemma** *sum-case-inject*:

$\text{sum-case } f1\ f2 = \text{sum-case } g1\ g2 \implies (f1 = g1 \implies f2 = g2 \implies P) \implies P$

**proof** —

**assume** *a*:  $\text{sum-case } f1\ f2 = \text{sum-case } g1\ g2$

**assume** *r*:  $f1 = g1 \implies f2 = g2 \implies P$

**show** *P*

**apply** (rule *r*)

**apply** (rule *ext*)

**apply** (cut-tac  $x = \text{Inl } x$  **in** *a* [THEN *fun-cong*], *simp*)

**apply** (rule *ext*)

**apply** (cut-tac  $x = \text{Inr } x$  **in** *a* [THEN *fun-cong*], *simp*)

**done**

**qed**

**constdefs**

*Suml* ::  $('a \Rightarrow 'c) \Rightarrow 'a + 'b \Rightarrow 'c$

*Suml* == (%f. *sum-case f arbitrary*)

*Sumr* :: ('b => 'c) => 'a + 'b => 'c  
*Sumr* == *sum-case arbitrary*

**lemma** *Suml-inject*: *Suml f = Suml g ==> f = g*  
**by** (*unfold Suml-def*) (*erule sum-case-inject*)

**lemma** *Sumr-inject*: *Sumr f = Sumr g ==> f = g*  
**by** (*unfold Sumr-def*) (*erule sum-case-inject*)

**hide** (**open**) *const Suml Sumr*

### 35.2 The option datatype

**datatype** 'a *option* = *None* | *Some* 'a

**lemma** *not-None-eq* [*iff*]:  $(x \sim = \text{None}) = (EX\ y. x = \text{Some } y)$   
**by** (*induct x*) *auto*

**lemma** *not-Some-eq* [*iff*]:  $(ALL\ y. x \sim = \text{Some } y) = (x = \text{None})$   
**by** (*induct x*) *auto*

Although it may appear that both of these equalities are helpful only when applied to assumptions, in practice it seems better to give them the uniform iff attribute.

**lemma** *option-caseE*:  
**assumes** *c*:  $(\text{case } x \text{ of } \text{None} \Rightarrow P \mid \text{Some } y \Rightarrow Q\ y)$   
**obtains**  
 (*None*) *x* = *None* **and** *P*  
 | (*Some*) *y* **where** *x* = *Some y* **and** *Q y*  
**using** *c* **by** (*cases x*) *simp-all*

**lemma** *insert-None-conv-UNIV*:  $\text{insert } \text{None} (\text{range } \text{Some}) = \text{UNIV}$   
**by** (*rule set-ext*, *case-tac x*) *auto*

**instance** *option* :: (*finite*) *finite*  
**by** *default* (*simp add: insert-None-conv-UNIV* [*symmetric*])

#### 35.2.1 Operations

**consts**  
*the* :: 'a *option* => 'a

**primrec**  
*the* (*Some x*) = *x*

**consts**  
*o2s* :: 'a *option* => 'a *set*  
**primrec**

```

o2s None = {}
o2s (Some x) = {x}

```

```

lemma ospec [dest]: (ALL x:o2s A. P x) ==> A = Some x ==> P x
by simp

```

```

declaration << fn - ==>
  Classical.map-cs (fn cs ==> cs addSD2 (ospec, thm ospec))
>>

```

```

lemma elem-o2s [iff]: (x : o2s xo) = (xo = Some x)
by (cases xo) auto

```

```

lemma o2s-empty-eq [simp]: (o2s xo = {}) = (xo = None)
by (cases xo) auto

```

**definition**

```

option-map :: ('a => 'b) => 'a option => 'b option

```

**where**

```

[code func del]: option-map = (%f y. case y of None ==> None | Some x ==>
Some (f x))

```

```

lemma option-map-None [simp, code]: option-map f None = None
by (simp add: option-map-def)

```

```

lemma option-map-Some [simp, code]: option-map f (Some x) = Some (f x)
by (simp add: option-map-def)

```

```

lemma option-map-is-None [iff]:
  (option-map f opt = None) = (opt = None)
by (simp add: option-map-def split add: option.split)

```

```

lemma option-map-eq-Some [iff]:
  (option-map f xo = Some y) = (EX z. xo = Some z & f z = y)
by (simp add: option-map-def split add: option.split)

```

```

lemma option-map-comp:
  option-map f (option-map g opt) = option-map (f o g) opt
by (simp add: option-map-def split add: option.split)

```

```

lemma option-map-o-sum-case [simp]:
  option-map f o sum-case g h = sum-case (option-map f o g) (option-map f o h)
by (rule ext) (simp split: sum.split)

```

### 35.2.2 Code generator setup

**definition**

```

is-none :: 'a option => bool where
is-none-none [code post, symmetric, code inline]: is-none x <==> x = None

```

```

lemma is-none-code [code]:
  shows is-none None  $\longleftrightarrow$  True
    and is-none (Some x)  $\longleftrightarrow$  False
  unfolding is-none-none [symmetric] by simp-all

hide (open) const is-none

code-type option
  (SML - option)
  (OCaml - option)
  (Haskell Maybe -)

code-const None and Some
  (SML NONE and SOME)
  (OCaml None and Some -)
  (Haskell Nothing and Just)

code-instance option :: eq
  (Haskell -)

code-const op = :: 'a::eq option  $\Rightarrow$  'a option  $\Rightarrow$  bool
  (Haskell infixl 4 ==)

code-reserved SML
  option NONE SOME

code-reserved OCaml
  option None Some

code-modulename SML
  Datatype Nat

code-modulename OCaml
  Datatype Nat

code-modulename Haskell
  Datatype Nat

end

```

## 36 Extraction: Program extraction for HOL

```

theory Extraction
imports Datatype
uses Tools/rewrite-hol-proof.ML
begin

```

### 36.1 Setup

```

setup ⟨⟨
  let
  fun realizes-set-proc (Const (realizes, Type (fun, [Type (Null, []), -])) $ r $
    (Const (op :, -) $ x $ S) = (case strip-comb S of
      (Var (ixn, U), ts) => SOME (list-comb (Var (ixn, binder-types U @
        [HOLogic.dest-setT (body-type U)] ---> HOLogic.boolT), ts @ [x]))
    | (Free (s, U), ts) => SOME (list-comb (Free (s, binder-types U @
        [HOLogic.dest-setT (body-type U)] ---> HOLogic.boolT), ts @ [x]))
    | - => NONE)
  | realizes-set-proc (Const (realizes, Type (fun, [T, -])) $ r $
    (Const (op :, -) $ x $ S) = (case strip-comb S of
      (Var (ixn, U), ts) => SOME (list-comb (Var (ixn, T :: binder-types U @
        [HOLogic.dest-setT (body-type U)] ---> HOLogic.boolT), r :: ts @ [x]))
    | (Free (s, U), ts) => SOME (list-comb (Free (s, T :: binder-types U @
        [HOLogic.dest-setT (body-type U)] ---> HOLogic.boolT), r :: ts @ [x]))
    | - => NONE)
  | realizes-set-proc - = NONE;

  fun mk-realizes-set r rT s (setT as Type (set, [elT])) =
    Abs (x, elT, Const (realizes, rT ---> HOLogic.boolT ---> HOLogic.boolT) $
      incr-boundvars 1 r $ (Const (op :, elT ---> setT ---> HOLogic.boolT) $
        Bound 0 $ incr-boundvars 1 s));

  in
    Extraction.add-types
      [(bool, ([], NONE)),
       (set, ([realizes-set-proc], SOME mk-realizes-set))] #>
    Extraction.set-preprocessor (fn thy =>
      Proofterm.rewrite-proof-notypes
        ([], (HOL/elim-cong, RewriteHOLProof.elim-cong) ::
          ProofRewriteRules.rprocs true) o
      Proofterm.rewrite-proof thy
        (RewriteHOLProof.rews, ProofRewriteRules.rprocs true) o
      ProofRewriteRules.elim-vars (curry Const arbitrary))
    end
  ⟩⟩

lemmas [extraction-expand] =
  meta-spec atomize-eq atomize-all atomize-imp atomize-conj
  allE rev-mp conjE Eq-TrueI Eq-FalseI eqTrueI eqTrueE eq-cong2
  notE' impE' impE iffE imp-cong simp-thms eq-True eq-False
  induct-forall-eq induct-implies-eq induct-equal-eq induct-conj-eq
  induct-forall-def induct-implies-def induct-equal-def induct-conj-def
  induct-atomize induct-rulify induct-rulify-fallback
  True-implies-equals TrueE

datatype sumbool = Left | Right

```

### 36.2 Type of extracted program

#### extract-type

$$\text{typeof } (\text{Trueprop } P) \equiv \text{typeof } P$$

$$\begin{aligned} \text{typeof } P \equiv \text{Type } (\text{TYPE}(\text{Null})) &\implies \text{typeof } Q \equiv \text{Type } (\text{TYPE}('Q)) \implies \\ \text{typeof } (P \longrightarrow Q) &\equiv \text{Type } (\text{TYPE}('Q)) \end{aligned}$$

$$\text{typeof } Q \equiv \text{Type } (\text{TYPE}(\text{Null})) \implies \text{typeof } (P \longrightarrow Q) \equiv \text{Type } (\text{TYPE}(\text{Null}))$$

$$\begin{aligned} \text{typeof } P \equiv \text{Type } (\text{TYPE}('P)) &\implies \text{typeof } Q \equiv \text{Type } (\text{TYPE}('Q)) \implies \\ \text{typeof } (P \longrightarrow Q) &\equiv \text{Type } (\text{TYPE}('P \Rightarrow 'Q)) \end{aligned}$$

$$\begin{aligned} (\lambda x. \text{typeof } (P x)) &\equiv (\lambda x. \text{Type } (\text{TYPE}(\text{Null}))) \implies \\ \text{typeof } (\forall x. P x) &\equiv \text{Type } (\text{TYPE}(\text{Null})) \end{aligned}$$

$$\begin{aligned} (\lambda x. \text{typeof } (P x)) &\equiv (\lambda x. \text{Type } (\text{TYPE}('P))) \implies \\ \text{typeof } (\forall x::'a. P x) &\equiv \text{Type } (\text{TYPE}('a \Rightarrow 'P)) \end{aligned}$$

$$\begin{aligned} (\lambda x. \text{typeof } (P x)) &\equiv (\lambda x. \text{Type } (\text{TYPE}(\text{Null}))) \implies \\ \text{typeof } (\exists x::'a. P x) &\equiv \text{Type } (\text{TYPE}('a)) \end{aligned}$$

$$\begin{aligned} (\lambda x. \text{typeof } (P x)) &\equiv (\lambda x. \text{Type } (\text{TYPE}('P))) \implies \\ \text{typeof } (\exists x::'a. P x) &\equiv \text{Type } (\text{TYPE}('a \times 'P)) \end{aligned}$$

$$\begin{aligned} \text{typeof } P \equiv \text{Type } (\text{TYPE}(\text{Null})) &\implies \text{typeof } Q \equiv \text{Type } (\text{TYPE}(\text{Null})) \implies \\ \text{typeof } (P \vee Q) &\equiv \text{Type } (\text{TYPE}(\text{sumbool})) \end{aligned}$$

$$\begin{aligned} \text{typeof } P \equiv \text{Type } (\text{TYPE}(\text{Null})) &\implies \text{typeof } Q \equiv \text{Type } (\text{TYPE}('Q)) \implies \\ \text{typeof } (P \vee Q) &\equiv \text{Type } (\text{TYPE}('Q \text{ option})) \end{aligned}$$

$$\begin{aligned} \text{typeof } P \equiv \text{Type } (\text{TYPE}('P)) &\implies \text{typeof } Q \equiv \text{Type } (\text{TYPE}(\text{Null})) \implies \\ \text{typeof } (P \vee Q) &\equiv \text{Type } (\text{TYPE}('P \text{ option})) \end{aligned}$$

$$\begin{aligned} \text{typeof } P \equiv \text{Type } (\text{TYPE}('P)) &\implies \text{typeof } Q \equiv \text{Type } (\text{TYPE}('Q)) \implies \\ \text{typeof } (P \vee Q) &\equiv \text{Type } (\text{TYPE}('P + 'Q)) \end{aligned}$$

$$\begin{aligned} \text{typeof } P \equiv \text{Type } (\text{TYPE}(\text{Null})) &\implies \text{typeof } Q \equiv \text{Type } (\text{TYPE}('Q)) \implies \\ \text{typeof } (P \wedge Q) &\equiv \text{Type } (\text{TYPE}('Q)) \end{aligned}$$

$$\begin{aligned} \text{typeof } P \equiv \text{Type } (\text{TYPE}('P)) &\implies \text{typeof } Q \equiv \text{Type } (\text{TYPE}(\text{Null})) \implies \\ \text{typeof } (P \wedge Q) &\equiv \text{Type } (\text{TYPE}('P)) \end{aligned}$$

$$\begin{aligned} \text{typeof } P \equiv \text{Type } (\text{TYPE}('P)) &\implies \text{typeof } Q \equiv \text{Type } (\text{TYPE}('Q)) \implies \\ \text{typeof } (P \wedge Q) &\equiv \text{Type } (\text{TYPE}('P \times 'Q)) \end{aligned}$$

$$\text{typeof } (P = Q) \equiv \text{typeof } ((P \longrightarrow Q) \wedge (Q \longrightarrow P))$$

$$\text{typeof } (x \in P) \equiv \text{typeof } P$$

### 36.3 Realizability

#### realizability

$$(realizes\ t\ (Trueprop\ P)) \equiv (Trueprop\ (realizes\ t\ P))$$

$$\begin{aligned} (typeof\ P) &\equiv (Type\ (TYPE(Null))) \implies \\ (realizes\ t\ (P \longrightarrow Q)) &\equiv (realizes\ Null\ P \longrightarrow realizes\ t\ Q) \end{aligned}$$

$$\begin{aligned} (typeof\ P) &\equiv (Type\ (TYPE('P))) \implies \\ (typeof\ Q) &\equiv (Type\ (TYPE(Null))) \implies \\ (realizes\ t\ (P \longrightarrow Q)) &\equiv (\forall x::'P. realizes\ x\ P \longrightarrow realizes\ Null\ Q) \end{aligned}$$

$$(realizes\ t\ (P \longrightarrow Q)) \equiv (\forall x. realizes\ x\ P \longrightarrow realizes\ (t\ x)\ Q)$$

$$\begin{aligned} (\lambda x. typeof\ (P\ x)) &\equiv (\lambda x. Type\ (TYPE(Null))) \implies \\ (realizes\ t\ (\forall x. P\ x)) &\equiv (\forall x. realizes\ Null\ (P\ x)) \end{aligned}$$

$$(realizes\ t\ (\forall x. P\ x)) \equiv (\forall x. realizes\ (t\ x)\ (P\ x))$$

$$\begin{aligned} (\lambda x. typeof\ (P\ x)) &\equiv (\lambda x. Type\ (TYPE(Null))) \implies \\ (realizes\ t\ (\exists x. P\ x)) &\equiv (realizes\ Null\ (P\ t)) \end{aligned}$$

$$(realizes\ t\ (\exists x. P\ x)) \equiv (realizes\ (snd\ t)\ (P\ (fst\ t)))$$

$$\begin{aligned} (typeof\ P) &\equiv (Type\ (TYPE(Null))) \implies \\ (typeof\ Q) &\equiv (Type\ (TYPE(Null))) \implies \\ (realizes\ t\ (P \vee Q)) &\equiv \\ (case\ t\ of\ Left \Rightarrow realizes\ Null\ P \mid Right \Rightarrow realizes\ Null\ Q) \end{aligned}$$

$$\begin{aligned} (typeof\ P) &\equiv (Type\ (TYPE(Null))) \implies \\ (realizes\ t\ (P \vee Q)) &\equiv \\ (case\ t\ of\ None \Rightarrow realizes\ Null\ P \mid Some\ q \Rightarrow realizes\ q\ Q) \end{aligned}$$

$$\begin{aligned} (typeof\ Q) &\equiv (Type\ (TYPE(Null))) \implies \\ (realizes\ t\ (P \vee Q)) &\equiv \\ (case\ t\ of\ None \Rightarrow realizes\ Null\ Q \mid Some\ p \Rightarrow realizes\ p\ P) \end{aligned}$$

$$\begin{aligned} (realizes\ t\ (P \vee Q)) &\equiv \\ (case\ t\ of\ Inl\ p \Rightarrow realizes\ p\ P \mid Inr\ q \Rightarrow realizes\ q\ Q) \end{aligned}$$

$$\begin{aligned} (typeof\ P) &\equiv (Type\ (TYPE(Null))) \implies \\ (realizes\ t\ (P \wedge Q)) &\equiv (realizes\ Null\ P \wedge realizes\ t\ Q) \end{aligned}$$

$$\begin{aligned} (typeof\ Q) &\equiv (Type\ (TYPE(Null))) \implies \\ (realizes\ t\ (P \wedge Q)) &\equiv (realizes\ t\ P \wedge realizes\ Null\ Q) \end{aligned}$$

$$(realizes\ t\ (P \wedge Q)) \equiv (realizes\ (fst\ t)\ P \wedge realizes\ (snd\ t)\ Q)$$

$$\begin{aligned} typeof\ P &\equiv Type\ (TYPE(Null)) \implies \\ realizes\ t\ (\neg P) &\equiv \neg realizes\ Null\ P \end{aligned}$$

$$\begin{aligned} \text{typeof } P &\equiv \text{Type } (\text{TYPE}('P)) \implies \\ \text{realizes } t \ (\neg P) &\equiv (\forall x::'P. \neg \text{realizes } x \ P) \\[10pt] \text{typeof } (P::\text{bool}) &\equiv \text{Type } (\text{TYPE}(\text{Null})) \implies \\ \text{typeof } Q &\equiv \text{Type } (\text{TYPE}(\text{Null})) \implies \\ \text{realizes } t \ (P = Q) &\equiv \text{realizes } \text{Null } P = \text{realizes } \text{Null } Q \\[10pt] (\text{realizes } t \ (P = Q)) &\equiv (\text{realizes } t \ ((P \longrightarrow Q) \wedge (Q \longrightarrow P))) \end{aligned}$$

### 36.4 Computational content of basic inference rules

**theorem** *disjE-realizer*:

**assumes**  $r$ :  $\text{case } x \text{ of } \text{Inl } p \Rightarrow P \ p \mid \text{Inr } q \Rightarrow Q \ q$   
**and**  $r1$ :  $\bigwedge p. P \ p \implies R \ (f \ p)$  **and**  $r2$ :  $\bigwedge q. Q \ q \implies R \ (g \ q)$   
**shows**  $R \ (\text{case } x \text{ of } \text{Inl } p \Rightarrow f \ p \mid \text{Inr } q \Rightarrow g \ q)$   
**proof** (*cases*  $x$ )  
  **case** *Inl*  
    **with**  $r$  **show** *?thesis* **by** *simp* (*rule*  $r1$ )  
**next**  
  **case** *Inr*  
    **with**  $r$  **show** *?thesis* **by** *simp* (*rule*  $r2$ )  
**qed**

**theorem** *disjE-realizer2*:

**assumes**  $r$ :  $\text{case } x \text{ of } \text{None} \Rightarrow P \mid \text{Some } q \Rightarrow Q \ q$   
**and**  $r1$ :  $P \implies R \ f$  **and**  $r2$ :  $\bigwedge q. Q \ q \implies R \ (g \ q)$   
**shows**  $R \ (\text{case } x \text{ of } \text{None} \Rightarrow f \mid \text{Some } q \Rightarrow g \ q)$   
**proof** (*cases*  $x$ )  
  **case** *None*  
    **with**  $r$  **show** *?thesis* **by** *simp* (*rule*  $r1$ )  
**next**  
  **case** *Some*  
    **with**  $r$  **show** *?thesis* **by** *simp* (*rule*  $r2$ )  
**qed**

**theorem** *disjE-realizer3*:

**assumes**  $r$ :  $\text{case } x \text{ of } \text{Left} \Rightarrow P \mid \text{Right} \Rightarrow Q$   
**and**  $r1$ :  $P \implies R \ f$  **and**  $r2$ :  $Q \implies R \ g$   
**shows**  $R \ (\text{case } x \text{ of } \text{Left} \Rightarrow f \mid \text{Right} \Rightarrow g)$   
**proof** (*cases*  $x$ )  
  **case** *Left*  
    **with**  $r$  **show** *?thesis* **by** *simp* (*rule*  $r1$ )  
**next**  
  **case** *Right*  
    **with**  $r$  **show** *?thesis* **by** *simp* (*rule*  $r2$ )  
**qed**

**theorem** *conjI-realizer*:



$P\ p \Longrightarrow Q\ q \Longrightarrow P\ (fst\ (p, q)) \wedge Q\ (snd\ (p, q))$   
**by** *simp*

**theorem** *exI-realizer*:

$P\ y\ x \Longrightarrow P\ (snd\ (x, y))\ (fst\ (x, y))$  **by** *simp*

**theorem** *exE-realizer*:  $P\ (snd\ p)\ (fst\ p) \Longrightarrow$

$(\bigwedge x\ y. P\ y\ x \Longrightarrow Q\ (f\ x\ y)) \Longrightarrow Q\ (let\ (x, y) = p\ in\ f\ x\ y)$   
**by** (*cases p*) (*simp add: Let-def*)

**theorem** *exE-realizer'*:  $P\ (snd\ p)\ (fst\ p) \Longrightarrow$

$(\bigwedge x\ y. P\ y\ x \Longrightarrow Q) \Longrightarrow Q$  **by** (*cases p*) *simp*

**realizers**

*impI* ( $P, Q$ ):  $\lambda pq. pq$   
 $\Lambda P\ Q\ pq\ (h: -). allI\ \cdot\ \cdot\ (\Lambda x. impI\ \cdot\ \cdot\ \cdot\ (h\ \cdot\ x))$

*impI* ( $P$ ): *Null*  
 $\Lambda P\ Q\ (h: -). allI\ \cdot\ \cdot\ (\Lambda x. impI\ \cdot\ \cdot\ \cdot\ (h\ \cdot\ x))$

*impI* ( $Q$ ):  $\lambda q. q\ \Lambda P\ Q\ q. impI\ \cdot\ \cdot\ \cdot$

*impI*: *Null impI*

*mp* ( $P, Q$ ):  $\lambda pq. pq$   
 $\Lambda P\ Q\ pq\ (h: -)\ p. mp\ \cdot\ \cdot\ \cdot\ (spec\ \cdot\ \cdot\ p\ \cdot\ h)$

*mp* ( $P$ ): *Null*  
 $\Lambda P\ Q\ (h: -)\ p. mp\ \cdot\ \cdot\ \cdot\ (spec\ \cdot\ \cdot\ p\ \cdot\ h)$

*mp* ( $Q$ ):  $\lambda q. q\ \Lambda P\ Q\ q. mp\ \cdot\ \cdot\ \cdot$

*mp*: *Null mp*

*allI* ( $P$ ):  $\lambda p. p\ \Lambda P\ p. allI\ \cdot\ \cdot$

*allI*: *Null allI*

*spec* ( $P$ ):  $\lambda x\ p. p\ x\ \Lambda P\ x\ p. spec\ \cdot\ \cdot\ x$

*spec*: *Null spec*

*exI* ( $P$ ):  $\lambda x\ p. (x, p)\ \Lambda P\ x\ p. exI\text{-realizer}\ \cdot\ P\ \cdot\ p\ \cdot\ x$

*exI*:  $\lambda x. x\ \Lambda P\ x\ (h: -). h$

*exE* ( $P, Q$ ):  $\lambda p\ pq. let\ (x, y) = p\ in\ pq\ x\ y$

$\Lambda P\ Q\ p\ (h: -)\ pq. exE\text{-realizer}\ \cdot\ P\ \cdot\ p\ \cdot\ Q\ \cdot\ pq\ \cdot\ h$

$exE\ (P): \text{Null}$   
 $\Lambda\ P\ Q\ p.\ exE\text{-realizer}' \cdot \cdot \cdot \cdot \cdot$

$exE\ (Q): \lambda x\ pq.\ pq\ x$   
 $\Lambda\ P\ Q\ x\ (h1: -)\ pq\ (h2: -).\ h2 \cdot x \cdot h1$

$exE: \text{Null}$   
 $\Lambda\ P\ Q\ x\ (h1: -)\ (h2: -).\ h2 \cdot x \cdot h1$

$conjI\ (P, Q): \text{Pair}$   
 $\Lambda\ P\ Q\ p\ (h: -)\ q.\ conjI\text{-realizer} \cdot P \cdot p \cdot Q \cdot q \cdot h$

$conjI\ (P): \lambda p.\ p$   
 $\Lambda\ P\ Q\ p.\ conjI \cdot \cdot \cdot \cdot$

$conjI\ (Q): \lambda q.\ q$   
 $\Lambda\ P\ Q\ (h: -)\ q.\ conjI \cdot \cdot \cdot \cdot \cdot h$

$conjI: \text{Null}\ conjI$

$conjunct1\ (P, Q): \text{fst}$   
 $\Lambda\ P\ Q\ pq.\ conjunct1 \cdot \cdot \cdot \cdot$

$conjunct1\ (P): \lambda p.\ p$   
 $\Lambda\ P\ Q\ p.\ conjunct1 \cdot \cdot \cdot \cdot$

$conjunct1\ (Q): \text{Null}$   
 $\Lambda\ P\ Q\ q.\ conjunct1 \cdot \cdot \cdot \cdot$

$conjunct1: \text{Null}\ conjunct1$

$conjunct2\ (P, Q): \text{snd}$   
 $\Lambda\ P\ Q\ pq.\ conjunct2 \cdot \cdot \cdot \cdot$

$conjunct2\ (P): \text{Null}$   
 $\Lambda\ P\ Q\ p.\ conjunct2 \cdot \cdot \cdot \cdot$

$conjunct2\ (Q): \lambda p.\ p$   
 $\Lambda\ P\ Q\ p.\ conjunct2 \cdot \cdot \cdot \cdot$

$conjunct2: \text{Null}\ conjunct2$

$disjI1\ (P, Q): \text{Inl}$   
 $\Lambda\ P\ Q\ p.\ iffD2 \cdot \cdot \cdot \cdot \cdot (\text{sum.cases-1} \cdot P \cdot \cdot \cdot p)$

$disjI1\ (P): \text{Some}$   
 $\Lambda\ P\ Q\ p.\ iffD2 \cdot \cdot \cdot \cdot \cdot (\text{option.cases-2} \cdot \cdot \cdot P \cdot p)$

$disjI1\ (Q): \text{None}$

$$\Lambda P Q. \text{iffD2} \cdot \cdot \cdot \cdot (option.cases-1 \cdot \cdot \cdot -)$$

$$\text{disjI1: Left}$$

$$\Lambda P Q. \text{iffD2} \cdot \cdot \cdot \cdot (sumbool.cases-1 \cdot \cdot \cdot -)$$

$$\text{disjI2 } (P, Q): \text{Inr}$$

$$\Lambda Q P q. \text{iffD2} \cdot \cdot \cdot \cdot (sum.cases-2 \cdot \cdot \cdot Q \cdot q)$$

$$\text{disjI2 } (P): \text{None}$$

$$\Lambda Q P. \text{iffD2} \cdot \cdot \cdot \cdot (option.cases-1 \cdot \cdot \cdot -)$$

$$\text{disjI2 } (Q): \text{Some}$$

$$\Lambda Q P q. \text{iffD2} \cdot \cdot \cdot \cdot (option.cases-2 \cdot \cdot \cdot Q \cdot q)$$

$$\text{disjI2: Right}$$

$$\Lambda Q P. \text{iffD2} \cdot \cdot \cdot \cdot (sumbool.cases-2 \cdot \cdot \cdot -)$$

$$\text{disjE } (P, Q, R): \lambda pq \text{ pr } qr.$$

$$(case \text{ pq of } Inl \text{ p} \Rightarrow pr \text{ p} \mid Inr \text{ q} \Rightarrow qr \text{ q})$$

$$\Lambda P Q R pq (h1: -) pr (h2: -) qr.$$

$$\text{disjE-realizer} \cdot \cdot \cdot \cdot pq \cdot R \cdot pr \cdot qr \cdot h1 \cdot h2$$

$$\text{disjE } (Q, R): \lambda pq \text{ pr } qr.$$

$$(case \text{ pq of } None \Rightarrow pr \mid Some \text{ q} \Rightarrow qr \text{ q})$$

$$\Lambda P Q R pq (h1: -) pr (h2: -) qr.$$

$$\text{disjE-realizer2} \cdot \cdot \cdot \cdot pq \cdot R \cdot pr \cdot qr \cdot h1 \cdot h2$$

$$\text{disjE } (P, R): \lambda pq \text{ pr } qr.$$

$$(case \text{ pq of } None \Rightarrow qr \mid Some \text{ p} \Rightarrow pr \text{ p})$$

$$\Lambda P Q R pq (h1: -) pr (h2: -) qr (h3: -).$$

$$\text{disjE-realizer2} \cdot \cdot \cdot \cdot pq \cdot R \cdot qr \cdot pr \cdot h1 \cdot h3 \cdot h2$$

$$\text{disjE } (R): \lambda pq \text{ pr } qr.$$

$$(case \text{ pq of } Left \Rightarrow pr \mid Right \Rightarrow qr)$$

$$\Lambda P Q R pq (h1: -) pr (h2: -) qr.$$

$$\text{disjE-realizer3} \cdot \cdot \cdot \cdot pq \cdot R \cdot pr \cdot qr \cdot h1 \cdot h2$$

$$\text{disjE } (P, Q): \text{Null}$$

$$\Lambda P Q R pq. \text{disjE-realizer} \cdot \cdot \cdot \cdot pq \cdot (\lambda x. R) \cdot \cdot \cdot$$

$$\text{disjE } (Q): \text{Null}$$

$$\Lambda P Q R pq. \text{disjE-realizer2} \cdot \cdot \cdot \cdot pq \cdot (\lambda x. R) \cdot \cdot \cdot$$

$$\text{disjE } (P): \text{Null}$$

$$\Lambda P Q R pq (h1: -) (h2: -) (h3: -).$$

$$\text{disjE-realizer2} \cdot \cdot \cdot \cdot pq \cdot (\lambda x. R) \cdot \cdot \cdot \cdot h1 \cdot h3 \cdot h2$$

$$\text{disjE: Null}$$

$$\Lambda P Q R pq. \text{disjE-realizer3} \cdot \cdot \cdot \cdot pq \cdot (\lambda x. R) \cdot \cdot \cdot$$

*FalseE* (*P*): *arbitrary*  
 $\Lambda P. \text{FalseE} \cdot -$

*FalseE*: *Null FalseE*

*notI* (*P*): *Null*  
 $\Lambda P (h: -). \text{allI} \cdot - \cdot (\Lambda x. \text{notI} \cdot - \cdot (h \cdot x))$

*notI*: *Null notI*

*notE* (*P*, *R*):  $\lambda p. \text{arbitrary}$   
 $\Lambda P R (h: -) p. \text{notE} \cdot - \cdot - \cdot (\text{spec} \cdot - \cdot p \cdot h)$

*notE* (*P*): *Null*  
 $\Lambda P R (h: -) p. \text{notE} \cdot - \cdot - \cdot (\text{spec} \cdot - \cdot p \cdot h)$

*notE* (*R*): *arbitrary*  
 $\Lambda P R. \text{notE} \cdot - \cdot -$

*notE*: *Null notE*

*subst* (*P*):  $\lambda s \ t \ ps. ps$   
 $\Lambda s \ t \ P (h: -) ps. \text{subst} \cdot s \cdot t \cdot P \ ps \cdot h$

*subst*: *Null subst*

*iffD1* (*P*, *Q*): *fst*  
 $\Lambda Q \ P \ pq (h: -) p.$   
 $mp \cdot - \cdot - \cdot (\text{spec} \cdot - \cdot p \cdot (\text{conjunct1} \cdot - \cdot - \cdot h))$

*iffD1* (*P*):  $\lambda p. p$   
 $\Lambda Q \ P \ p (h: -). mp \cdot - \cdot - \cdot (\text{conjunct1} \cdot - \cdot - \cdot h)$

*iffD1* (*Q*): *Null*  
 $\Lambda Q \ P \ q1 (h: -) q2.$   
 $mp \cdot - \cdot - \cdot (\text{spec} \cdot - \cdot q2 \cdot (\text{conjunct1} \cdot - \cdot - \cdot h))$

*iffD1*: *Null iffD1*

*iffD2* (*P*, *Q*): *snd*  
 $\Lambda P \ Q \ pq (h: -) q.$   
 $mp \cdot - \cdot - \cdot (\text{spec} \cdot - \cdot q \cdot (\text{conjunct2} \cdot - \cdot - \cdot h))$

*iffD2* (*P*):  $\lambda p. p$   
 $\Lambda P \ Q \ p (h: -). mp \cdot - \cdot - \cdot (\text{conjunct2} \cdot - \cdot - \cdot h)$

*iffD2* (*Q*): *Null*  
 $\Lambda P \ Q \ q1 (h: -) q2.$

$$mp \cdot \dots \cdot (spec \cdot \dots \cdot q2 \cdot (conjunct2 \cdot \dots \cdot h))$$

$$iffD2: \text{Null } iffD2$$

$$iffI \ (P, Q): \text{Pair}$$

$$\begin{aligned} & \Lambda P Q pq \ (h1 : -) \ qp \ (h2 : -). \ conjI\text{-realizer} \cdot \\ & (\lambda pq. \forall x. P \ x \longrightarrow Q \ (pq \ x)) \cdot pq \cdot \\ & (\lambda qp. \forall x. Q \ x \longrightarrow P \ (qp \ x)) \cdot qp \cdot \\ & (allI \cdot \dots \cdot (\Lambda x. impI \cdot \dots \cdot (h1 \cdot x))) \cdot \\ & (allI \cdot \dots \cdot (\Lambda x. impI \cdot \dots \cdot (h2 \cdot x))) \end{aligned}$$

$$iffI \ (P): \lambda p. p$$

$$\begin{aligned} & \Lambda P Q \ (h1 : -) \ p \ (h2 : -). \ conjI \cdot \dots \cdot \\ & (allI \cdot \dots \cdot (\Lambda x. impI \cdot \dots \cdot (h1 \cdot x))) \cdot \\ & (impI \cdot \dots \cdot h2) \end{aligned}$$

$$iffI \ (Q): \lambda q. q$$

$$\begin{aligned} & \Lambda P Q q \ (h1 : -) \ (h2 : -). \ conjI \cdot \dots \cdot \\ & (impI \cdot \dots \cdot h1) \cdot \\ & (allI \cdot \dots \cdot (\Lambda x. impI \cdot \dots \cdot (h2 \cdot x))) \end{aligned}$$

$$iffI: \text{Null } iffI$$

end

### 37 Relation-Power: Powers of Relations and Functions

**theory** *Relation-Power*

**imports** *Power Transitive-Closure*

**begin**

**instance**

*fun* :: (*type*, *type*) *power* ..  
 — only type *'a*  $\Rightarrow$  *'a* should be in class *power*!

**overloading**

*relpow*  $\equiv$  *power* :: (*'a*  $\times$  *'a*) *set*  $\Rightarrow$  *nat*  $\Rightarrow$  (*'a*  $\times$  *'a*) *set* (**unchecked**)

**begin**

$R \wedge n = R \ O \ \dots \ O \ R$ , the *n*-fold composition of *R*

**primrec** *relpow* **where**

$(R :: ('a \times 'a) \text{ set}) \wedge 0 = Id$   
 $| (R :: ('a \times 'a) \text{ set}) \wedge Suc \ n = R \ O \ (R \wedge n)$

**end**

**overloading**

$\text{funpow} \equiv \text{power} :: ('a \Rightarrow 'a) \Rightarrow \text{nat} \Rightarrow 'a \Rightarrow 'a$  (**unchecked**)  
**begin**

$f \wedge n = f \circ \dots \circ f$ , the  $n$ -fold composition of  $f$

**primrec**  $\text{funpow}$  **where**

$(f :: 'a \Rightarrow 'a) \wedge 0 = \text{id}$   
 $| (f :: 'a \Rightarrow 'a) \wedge \text{Suc } n = f \circ (f \wedge n)$

**end**

WARNING: due to the limits of Isabelle’s type classes, exponentiation on functions and relations has too general a domain, namely  $('a \times 'b)$  *set* and  $'a \Rightarrow 'b$ . Explicit type constraints may therefore be necessary. For example,  $\text{range } (f \wedge n) = A$  and  $\text{Range } (R \wedge n) = B$  need constraints.

Circumvent this problem for code generation:

**primrec**

$\text{fun-pow} :: \text{nat} \Rightarrow ('a \Rightarrow 'a) \Rightarrow 'a \Rightarrow 'a$

**where**

$\text{fun-pow } 0 f = \text{id}$   
 $| \text{fun-pow } (\text{Suc } n) f = f \circ \text{fun-pow } n f$

**lemma**  $\text{funpow-fun-pow}$  [*code inline*]:  $f \wedge n = \text{fun-pow } n f$

**unfolding**  $\text{funpow-def}$   $\text{fun-pow-def}$  ..

**lemma**  $\text{funpow-add}$ :  $f \wedge (m+n) = f \wedge m \circ f \wedge n$

**by** (*induct m*) *simp-all*

**lemma**  $\text{funpow-swap1}$ :  $f((f \wedge n) x) = (f \wedge n)(f x)$

**proof** –

**have**  $f((f \wedge n) x) = (f \wedge (n+1)) x$  **by** *simp*  
**also have**  $\dots = (f \wedge n \circ f \wedge 1) x$  **by** (*simp only: funpow-add*)  
**also have**  $\dots = (f \wedge n)(f x)$  **by** *simp*  
**finally show** *?thesis* .

**qed**

**lemma**  $\text{rel-pow-1}$  [*simp*]:

**fixes**  $R :: ('a * 'a)\text{set}$

**shows**  $R \wedge 1 = R$

**by** *simp*

**lemma**  $\text{rel-pow-0-I}$ :  $(x, x) : R \wedge 0$

**by** *simp*

**lemma**  $\text{rel-pow-Suc-I}$ :  $[ (x, y) : R \wedge n; (y, z) : R ] ==> (x, z) : R \wedge (\text{Suc } n)$

**by** *auto*

**lemma** *rel-pow-Suc-I2*:

$(x, y) : R \implies (y, z) : R^n \implies (x, z) : R^{(Suc\ n)}$   
**apply** (*induct n arbitrary: z*)  
**apply** *simp*  
**apply** *fastsimp*  
**done**

**lemma** *rel-pow-0-E*:  $\llbracket (x, y) : R^0; x=y \implies P \rrbracket \implies P$   
**by** *simp*

**lemma** *rel-pow-Suc-E*:

$\llbracket (x, z) : R^{(Suc\ n)}; !!y. \llbracket (x, y) : R^n; (y, z) : R \rrbracket \implies P \rrbracket \implies P$   
**by** *auto*

**lemma** *rel-pow-E*:

$\llbracket (x, z) : R^n; \llbracket n=0; x = z \rrbracket \implies P; !!y\ m. \llbracket n = Suc\ m; (x, y) : R^m; (y, z) : R \rrbracket \implies P \rrbracket \implies P$   
**by** (*cases n*) *auto*

**lemma** *rel-pow-Suc-D2*:

$(x, z) : R^{(Suc\ n)} \implies (\exists y. (x, y) : R \ \& \ (y, z) : R^n)$   
**apply** (*induct n arbitrary: x z*)  
**apply** (*blast intro: rel-pow-0-I elim: rel-pow-0-E rel-pow-Suc-E*)  
**apply** (*blast intro: rel-pow-Suc-I elim: rel-pow-0-E rel-pow-Suc-E*)  
**done**

**lemma** *rel-pow-Suc-D2'*:

$\forall x\ y\ z. (x, y) : R^n \ \& \ (y, z) : R \dashrightarrow (\exists w. (x, w) : R \ \& \ (w, z) : R^n)$   
**by** (*induct n*) (*simp-all, blast*)

**lemma** *rel-pow-E2*:

$\llbracket (x, z) : R^n; \llbracket n=0; x = z \rrbracket \implies P; !!y\ m. \llbracket n = Suc\ m; (x, y) : R; (y, z) : R^m \rrbracket \implies P \rrbracket \implies P$   
**apply** (*case-tac n, simp*)  
**apply** (*cut-tac n=nat and R=R in rel-pow-Suc-D2', simp, blast*)  
**done**

**lemma** *rtrancl-imp-UN-rel-pow*:  $!!p. p : R^* \implies p : (UN\ n. R^n)$

**apply** (*simp only: split-tupled-all*)  
**apply** (*erule rtrancl-induct*)  
**apply** (*blast intro: rel-pow-0-I rel-pow-Suc-I*)  
**done**

**lemma** *rel-pow-imp-rtrancl*:  $!!p. p : R^n \implies p : R^*$

**apply** (*simp only: split-tupled-all*)  
**apply** (*induct n*)

```

apply (blast intro: rtrancl-refl elim: rel-pow-0-E)
apply (blast elim: rel-pow-Suc-E intro: rtrancl-into-rtrancl)
done

```

```

lemma rtrancl-is-UN-rel-pow:  $R^* = (\text{UN } n. R^n)$ 
by (blast intro: rtrancl-imp-UN-rel-pow rel-pow-imp-rtrancl)

```

```

lemma trancl-power:
 $x \in r^+ = (\exists n > 0. x \in r^n)$ 
apply (cases x)
apply simp
apply (rule iffI)
apply (drule tranclD2)
apply (clarsimp simp: rtrancl-is-UN-rel-pow)
apply (rule-tac x=Suc x in exI)
apply (clarsimp simp: rel-comp-def)
apply fastsimp
apply clarsimp
apply (case-tac n, simp)
apply clarsimp
apply (drule rel-pow-imp-rtrancl)
apply fastsimp
done

```

```

lemma single-valued-rel-pow:
  !!r::('a * 'a) set. single-valued r ==> single-valued (r^n)
apply (rule single-valuedI)
apply (induct n)
apply simp
apply (fast dest: single-valuedD elim: rel-pow-Suc-E)
done

```

**ML**

```

⟨⟨
val funpow-add = thm funpow-add;
val rel-pow-1 = thm rel-pow-1;
val rel-pow-0-I = thm rel-pow-0-I;
val rel-pow-Suc-I = thm rel-pow-Suc-I;
val rel-pow-Suc-I2 = thm rel-pow-Suc-I2;
val rel-pow-0-E = thm rel-pow-0-E;
val rel-pow-Suc-E = thm rel-pow-Suc-E;
val rel-pow-E = thm rel-pow-E;
val rel-pow-Suc-D2 = thm rel-pow-Suc-D2;
val rel-pow-Suc-D2 = thm rel-pow-Suc-D2;
val rel-pow-E2 = thm rel-pow-E2;
val rtrancl-imp-UN-rel-pow = thm rtrancl-imp-UN-rel-pow;
val rel-pow-imp-rtrancl = thm rel-pow-imp-rtrancl;
val rtrancl-is-UN-rel-pow = thm rtrancl-is-UN-rel-pow;
val single-valued-rel-pow = thm single-valued-rel-pow;

```



»

end

## 38 Hilbert-Choice: Hilbert’s Epsilon-Operator and the Axiom of Choice

```
theory Hilbert-Choice
imports Nat Wellfounded
uses (Tools/meson.ML) (Tools/specification-package.ML)
begin
```

### 38.1 Hilbert’s epsilon

#### axiomatization

$Eps :: ('a \Rightarrow bool) \Rightarrow 'a$

#### where

$someI: P\ x \Longrightarrow P\ (Eps\ P)$

#### syntax (epsilon)

$-Eps :: [pttrn, bool] \Rightarrow 'a \quad ((\exists \epsilon \text{ -./ -}) [0, 10] 10)$

#### syntax (HOL)

$-Eps :: [pttrn, bool] \Rightarrow 'a \quad ((\exists @ \text{ -./ -}) [0, 10] 10)$

#### syntax

$-Eps :: [pttrn, bool] \Rightarrow 'a \quad ((\exists SOME \text{ -./ -}) [0, 10] 10)$

#### translations

$SOME\ x.\ P == CONST\ Eps\ (\%x.\ P)$

#### print-translation <<

*(\* to avoid eta-contraction of body \*)*  
 $[(@\{const\text{-syntax}\ Eps\}, fn\ [Abs\ abs] \Rightarrow$   
 $\quad let\ val\ (x,t) = atomic\text{-abs-tr}'\ abs$   
 $\quad in\ Syntax.const\ -Eps\ \$\ x\ \$\ t\ end)]$   
 >>

#### constdefs

$inv :: ('a \Rightarrow 'b) \Rightarrow ('b \Rightarrow 'a)$   
 $inv(f :: 'a \Rightarrow 'b) == \%y.\ SOME\ x.\ f\ x = y$

$Inv :: 'a\ set \Rightarrow ('a \Rightarrow 'b) \Rightarrow ('b \Rightarrow 'a)$   
 $Inv\ A\ f == \%x.\ SOME\ y.\ y \in A \ \&\ f\ y = x$

### 38.2 Hilbert’s Epsilon-operator

Easier to apply than *someI* if the witness comes from an existential formula

**lemma** *someI-ex*  $[elim?]: \exists x.\ P\ x \Longrightarrow P\ (SOME\ x.\ P\ x)$

**apply** (*erule exE*)

**apply** (*erule someI*)  
**done**

Easier to apply than *someI* because the conclusion has only one occurrence of *P*.

**lemma** *someI2*:  $[[ P\ a; \ !x. P\ x ==> Q\ x ]] ==> Q\ (SOME\ x. P\ x)$   
**by** (*blast intro: someI*)

Easier to apply than *someI2* if the witness comes from an existential formula

**lemma** *someI2-ex*:  $[[ \exists a. P\ a; \ !x. P\ x ==> Q\ x ]] ==> Q\ (SOME\ x. P\ x)$   
**by** (*blast intro: someI2*)

**lemma** *some-equality* [*intro*]:  
 $[[ P\ a; \ !x. P\ x ==> x=a ]] ==> (SOME\ x. P\ x) = a$   
**by** (*blast intro: someI2*)

**lemma** *some1-equality*:  $[[ EX!x. P\ x; P\ a ]] ==> (SOME\ x. P\ x) = a$   
**by** (*blast intro: some-equality*)

**lemma** *some-eq-ex*:  $P\ (SOME\ x. P\ x) = (\exists x. P\ x)$   
**by** (*blast intro: someI*)

**lemma** *some-eq-trivial* [*simp*]:  $(SOME\ y. y=x) = x$   
**apply** (*rule some-equality*)  
**apply** (*rule refl, assumption*)  
**done**

**lemma** *some-sym-eq-trivial* [*simp*]:  $(SOME\ y. x=y) = x$   
**apply** (*rule some-equality*)  
**apply** (*rule refl*)  
**apply** (*erule sym*)  
**done**

### 38.3 Axiom of Choice, Proved Using the Description Operator

Used in *Tools/meson.ML*

**lemma** *choice*:  $\forall x. \exists y. Q\ x\ y ==> \exists f. \forall x. Q\ x\ (f\ x)$   
**by** (*fast elim: someI*)

**lemma** *bchoice*:  $\forall x \in S. \exists y. Q\ x\ y ==> \exists f. \forall x \in S. Q\ x\ (f\ x)$   
**by** (*fast elim: someI*)

### 38.4 Function Inverse

**lemma** *inv-id* [*simp*]:  $inv\ id = id$   
**by** (*simp add: inv-def id-def*)

A one-to-one function has an inverse.

**lemma** *inv-f-f* [*simp*]:  $\text{inj } f \implies \text{inv } f (f x) = x$   
**by** (*simp add: inv-def inj-eq*)

**lemma** *inv-f-eq*:  $[\text{inj } f; f x = y] \implies \text{inv } f y = x$   
**apply** (*erule subst*)  
**apply** (*erule inv-f-f*)  
**done**

**lemma** *inj-imp-inv-eq*:  $[\text{inj } f; \forall x. f(g x) = x] \implies \text{inv } f = g$   
**by** (*blast intro: ext inv-f-eq*)

But is it useful?

**lemma** *inj-transfer*:  
**assumes** *injf*:  $\text{inj } f$  **and** *minor*:  $\forall y. y \in \text{range}(f) \implies P(\text{inv } f y)$   
**shows**  $P x$   
**proof** –  
**have**  $f x \in \text{range } f$  **by** *auto*  
**hence**  $P(\text{inv } f (f x))$  **by** (*rule minor*)  
**thus**  $P x$  **by** (*simp add: inv-f-f [OF injf]*)  
**qed**

**lemma** *inj-iff*:  $(\text{inj } f) = (\text{inv } f \circ f = \text{id})$   
**apply** (*simp add: o-def expand-fun-eq*)  
**apply** (*blast intro: inj-on-inverseI inv-f-f*)  
**done**

**lemma** *inv-o-cancel*[*simp*]:  $\text{inj } f \implies \text{inv } f \circ f = \text{id}$   
**by** (*simp add: inj-iff*)

**lemma** *o-inv-o-cancel*[*simp*]:  $\text{inj } f \implies g \circ \text{inv } f \circ f = g$   
**by** (*simp add: o-assoc[symmetric]*)

**lemma** *inv-image-cancel*[*simp*]:  
 $\text{inj } f \implies \text{inv } f ' f ' S = S$   
**by** (*simp add: image-compose[symmetric]*)

**lemma** *inj-imp-surj-inv*:  $\text{inj } f \implies \text{surj } (\text{inv } f)$   
**by** (*blast intro: surjI inv-f-f*)

**lemma** *f-inv-f*:  $y \in \text{range}(f) \implies f(\text{inv } f y) = y$   
**apply** (*simp add: inv-def*)  
**apply** (*fast intro: someI*)  
**done**

**lemma** *surj-f-inv-f*:  $\text{surj } f \implies f(\text{inv } f y) = y$   
**by** (*simp add: f-inv-f surj-range*)

**lemma** *inv-injective*:

```

assumes eq: inv f x = inv f y
and x: x: range f
and y: y: range f
shows x=y
proof -
  have f (inv f x) = f (inv f y) using eq by simp
  thus ?thesis by (simp add: f-inv-f x y)
qed

```

```

lemma inj-on-inv: A <= range(f) ==> inj-on (inv f) A
by (fast intro: inj-onI elim: inv-injective injD)

```

```

lemma surj-imp-inj-inv: surj f ==> inj (inv f)
by (simp add: inj-on-inv surj-range)

```

```

lemma surj-iff: (surj f) = (f o inv f = id)
apply (simp add: o-def expand-fun-eq)
apply (blast intro: surjI surj-f-inv-f)
done

```

```

lemma surj-imp-inv-eq: [ surj f;  $\forall x. g(f x) = x$  ] ==> inv f = g
apply (rule ext)
apply (drule-tac x = inv f x in spec)
apply (simp add: surj-f-inv-f)
done

```

```

lemma bij-imp-bij-inv: bij f ==> bij (inv f)
by (simp add: bij-def inj-imp-surj-inv surj-imp-inj-inv)

```

```

lemma inv-equality: [  $\forall x. g(f x) = x$ ;  $\forall y. f(g y) = y$  ] ==> inv f = g
apply (rule ext)
apply (auto simp add: inv-def)
done

```

```

lemma inv-inv-eq: bij f ==> inv (inv f) = f
apply (rule inv-equality)
apply (auto simp add: bij-def surj-f-inv-f)
done

```

```

lemma o-inv-distrib: [ bij f; bij g ] ==> inv (f o g) = inv g o inv f
apply (rule inv-equality)
apply (auto simp add: bij-def surj-f-inv-f)
done

```

```

lemma image-surj-f-inv-f: surj f ==> f ‘ (inv f ‘ A) = A
by (simp add: image-eq-UN surj-f-inv-f)

```

**lemma** *image-inv-f-f*:  $\text{inj } f \implies (\text{inv } f) \circ (f \circ A) = A$   
**by** (*simp add: image-eq-UN*)

**lemma** *inv-image-comp*:  $\text{inj } f \implies \text{inv } f \circ (f \circ X) = X$   
**by** (*auto simp add: image-def*)

**lemma** *bij-image-Collect-eq*:  $\text{bij } f \implies f \circ \text{Collect } P = \{y. P (\text{inv } f y)\}$   
**apply** *auto*  
**apply** (*force simp add: bij-is-inj*)  
**apply** (*blast intro: bij-is-surj [THEN surj-f-inv-f, symmetric]*)  
**done**

**lemma** *bij-vimage-eq-inv-image*:  $\text{bij } f \implies f \circ A = \text{inv } f \circ A$   
**apply** (*auto simp add: bij-is-surj [THEN surj-f-inv-f]*)  
**apply** (*blast intro: bij-is-inj [THEN inv-f-f, symmetric]*)  
**done**

### 38.5 Inverse of a PI-function (restricted domain)

**lemma** *Inv-f-f*:  $[\text{inj-on } f A; x \in A] \implies \text{Inv } A f (f x) = x$   
**apply** (*simp add: Inv-def inj-on-def*)  
**apply** (*blast intro: someI2*)  
**done**

**lemma** *f-Inv-f*:  $y \in f \circ A \implies f (\text{Inv } A f y) = y$   
**apply** (*simp add: Inv-def*)  
**apply** (*fast intro: someI2*)  
**done**

**lemma** *Inv-injective*:  
**assumes** *eq*:  $\text{Inv } A f x = \text{Inv } A f y$   
**and** *x*:  $x \in f \circ A$   
**and** *y*:  $y \in f \circ A$   
**shows**  $x=y$   
**proof** –  
**have**  $f (\text{Inv } A f x) = f (\text{Inv } A f y)$  **using** *eq* **by** *simp*  
**thus** *?thesis* **by** (*simp add: f-Inv-f x y*)  
**qed**

**lemma** *inj-on-Inv*:  $B \subseteq f \circ A \implies \text{inj-on } (\text{Inv } A f) B$   
**apply** (*rule inj-onI*)  
**apply** (*blast intro: inj-onI dest: Inv-injective injD*)  
**done**

**lemma** *Inv-mem*:  $[\text{inv } f \circ A = B; x \in B] \implies \text{Inv } A f x \in A$   
**apply** (*simp add: Inv-def*)  
**apply** (*fast intro: someI2*)  
**done**

```

lemma Inv-f-eq: [| inj-on f A; f x = y; x ∈ A |] ==> Inv A f y = x
  apply (erule subst)
  apply (erule Inv-f-f, assumption)
  done

```

```

lemma Inv-comp:
  [| inj-on f (g ‘ A); inj-on g A; x ∈ f ‘ g ‘ A |] ==>
  Inv A (f o g) x = (Inv A g o Inv (g ‘ A) f) x
  apply simp
  apply (rule Inv-f-eq)
  apply (fast intro: comp-inj-on)
  apply (simp add: f-Inv-f Inv-mem)
  apply (simp add: Inv-mem)
  done

```

```

lemma bij-betw-Inv: bij-betw f A B ==> bij-betw (Inv A f) B A
  apply (auto simp add: bij-betw-def inj-on-Inv Inv-mem)
  apply (simp add: image-compose [symmetric] o-def)
  apply (simp add: image-def Inv-f-f)
  done

```

### 38.6 Other Consequences of Hilbert’s Epsilon

Hilbert’s Epsilon and the *split* Operator

Looping *simprule*

```

lemma split-paired-Eps: (SOME x. P x) = (SOME (a,b). P(a,b))
  by simp

```

```

lemma Eps-split: Eps (split P) = (SOME xy. P (fst xy) (snd xy))
  by (simp add: split-def)

```

```

lemma Eps-split-eq [simp]: (@(x',y'). x = x' & y = y') = (x,y)
  by blast

```

A relation is wellfounded iff it has no infinite descending chain

```

lemma wf-iff-no-infinite-down-chain:
  wf r = (~( $\exists f. \forall i. (f(Suc\ i), f\ i) \in r$ ))
  apply (simp only: wf-eq-minimal)
  apply (rule iffI)
  apply (rule notI)
  apply (erule exE)
  apply (erule tac x = {w.  $\exists i. w=f\ i$ } in allE, blast)
  apply (erule contrapos-np, simp, clarify)
  apply (subgoal-tac  $\forall n. nat-rec\ x\ (\%i\ y. @z. z:Q \ \&\ (z,y):r)\ n \in Q$ )
  apply (rule-tac x = nat-rec x ( $\%i\ y. @z. z:Q \ \&\ (z,y):r$ ) in exI)
  apply (rule allI, simp)
  apply (rule someI2-ex, blast, blast)

```

```

apply (rule allI)
apply (induct-tac n, simp-all)
apply (rule someI2-ex, blast+)
done

```

A dynamically-scoped fact for TFL

```

lemma tfl-some:  $\forall P x. P x \longrightarrow P (Eps P)$ 
  by (blast intro: someI)

```

### 38.7 Least value operator

**constdefs**

```

LeastM :: [ $'a \Rightarrow 'b::ord, 'a \Rightarrow bool$ ]  $\Rightarrow 'a$ 
LeastM m P == SOME x. P x & ( $\forall y. P y \longrightarrow m x \leq m y$ )

```

**syntax**

```

-LeastM :: [pttrn,  $'a \Rightarrow 'b::ord, bool$ ]  $\Rightarrow 'a$   (LEAST - WRT -. - [0, 4, 10]
10)

```

**translations**

```

LEAST x WRT m. P == LeastM m (%x. P)

```

**lemma** LeastMI2:

```

P x ==> (!y. P y ==> m x <= m y)
==> (!x. P x ==>  $\forall y. P y \longrightarrow m x \leq m y \implies Q x$ )
==> Q (LeastM m P)
apply (simp add: LeastM-def)
apply (rule someI2-ex, blast, blast)
done

```

**lemma** LeastM-equality:

```

P k ==> (!x. P x ==> m k <= m x)
==> m (LEAST x WRT m. P x) = (m k::'a::order)
apply (rule LeastMI2, assumption, blast)
apply (blast intro!: order-antisym)
done

```

**lemma** wf-linord-ex-has-least:

```

wf r ==>  $\forall x y. ((x,y):r^+) = ((y,x)^\sim:r^*) \implies P k$ 
==>  $\exists x. P x \ \& \ (!y. P y \longrightarrow (m x, m y):r^*)$ 
apply (drule wf-trancl [THEN wf-eq-minimal [THEN iffD1]])
apply (drule-tac x = m `Collect P in spec, force)
done

```

**lemma** ex-has-least-nat:

```

P k ==>  $\exists x. P x \ \& \ (\forall y. P y \longrightarrow m x \leq (m y::nat))$ 
apply (simp only: pred-nat-trancl-eq-le [symmetric])
apply (rule wf-pred-nat [THEN wf-linord-ex-has-least])
apply (simp add: less-eq linorder-not-le pred-nat-trancl-eq-le, assumption)
done

```

**lemma** *LeastM-nat-lemma*:

```

  P k ==> P (LeastM m P) & (∀ y. P y --> m (LeastM m P) <= (m y::nat))
apply (simp add: LeastM-def)
apply (rule someI-ex)
apply (erule ex-has-least-nat)
done

```

**lemmas** *LeastM-natI* = *LeastM-nat-lemma* [THEN conjunct1, standard]

**lemma** *LeastM-nat-le*:  $P\ x \implies m\ (LeastM\ m\ P) \leq (m\ x::nat)$

**by** (rule *LeastM-nat-lemma* [THEN conjunct2, THEN spec, THEN mp], assumption, assumption)

### 38.8 Greatest value operator

**constdefs**

```

GreatestM :: ['a => 'b::ord, 'a => bool] => 'a
GreatestM m P == SOME x. P x & (∀ y. P y --> m y <= m x)

Greatest :: ('a::ord => bool) => 'a    (binder GREATEST 10)
Greatest == GreatestM (%x. x)

```

**syntax**

```

-GreatestM :: [pttrn, 'a=>'b::ord, bool] => 'a
  (GREATEST - WRT -. - [0, 4, 10] 10)

```

**translations**

```

GREATEST x WRT m. P == GreatestM m (%x. P)

```

**lemma** *GreatestMI2*:

```

P x ==> (!y. P y ==> m y <= m x)
==> (!x. P x ==> ∀ y. P y --> m y ≤ m x ==> Q x)
==> Q (GreatestM m P)
apply (simp add: GreatestM-def)
apply (rule someI2-ex, blast, blast)
done

```

**lemma** *GreatestM-equality*:

```

P k ==> (!x. P x ==> m x <= m k)
==> m (GREATEST x WRT m. P x) = (m k::'a::order)
apply (rule-tac m = m in GreatestMI2, assumption, blast)
apply (blast intro!: order-antisym)
done

```

**lemma** *Greatest-equality*:

```

P (k::'a::order) ==> (!x. P x ==> x <= k) ==> (GREATEST x. P x) = k
apply (simp add: Greatest-def)
apply (erule GreatestM-equality, blast)

```



done

**lemma** *ex-has-greatest-nat-lemma*:

$P\ k \implies \forall x. P\ x \longrightarrow (\exists y. P\ y \ \& \ \sim ((m\ y::nat) \leq m\ x))$   
 $\implies \exists y. P\ y \ \& \ \sim (m\ y < m\ k + n)$

**apply** (*induct* *n*, *force*)

**apply** (*force simp add: le-Suc-eq*)

done

**lemma** *ex-has-greatest-nat*:

$P\ k \implies \forall y. P\ y \longrightarrow m\ y < b$   
 $\implies \exists x. P\ x \ \& \ (\forall y. P\ y \longrightarrow (m\ y::nat) \leq m\ x)$

**apply** (*rule ccontr*)

**apply** (*cut-tac*  $P = P$  **and**  $n = b - m\ k$  **in** *ex-has-greatest-nat-lemma*)

**apply** (*subgoal-tac* [3]  $m\ k \leq b$ , *auto*)

done

**lemma** *GreatestM-nat-lemma*:

$P\ k \implies \forall y. P\ y \longrightarrow m\ y < b$   
 $\implies P\ (GreatestM\ m\ P) \ \& \ (\forall y. P\ y \longrightarrow (m\ y::nat) \leq m\ (GreatestM\ m\ P))$

**apply** (*simp add: GreatestM-def*)

**apply** (*rule someI-ex*)

**apply** (*erule ex-has-greatest-nat, assumption*)

done

**lemmas** *GreatestM-natI* = *GreatestM-nat-lemma* [*THEN conjunct1, standard*]

**lemma** *GreatestM-nat-le*:

$P\ x \implies \forall y. P\ y \longrightarrow m\ y < b$   
 $\implies (m\ x::nat) \leq m\ (GreatestM\ m\ P)$   
**apply** (*blast dest: GreatestM-nat-lemma* [*THEN conjunct2, THEN spec, of P*])  
 done

Specialization to *GREATEST*.

**lemma** *GreatestI*:  $P\ (k::nat) \implies \forall y. P\ y \longrightarrow y < b \implies P\ (GREATEST\ x.\ P\ x)$

**apply** (*simp add: Greatest-def*)

**apply** (*rule GreatestM-natI, auto*)

done

**lemma** *Greatest-le*:

$P\ x \implies \forall y. P\ y \longrightarrow y < b \implies (x::nat) \leq (GREATEST\ x.\ P\ x)$

**apply** (*simp add: Greatest-def*)

**apply** (*rule GreatestM-nat-le, auto*)

done

## 38.9 The Meson proof procedure

### 38.9.1 Negation Normal Form

de Morgan laws

**lemma** *meson-not-conjD*:  $\sim(P \& Q) ==> \sim P \mid \sim Q$   
**and** *meson-not-disjD*:  $\sim(P \mid Q) ==> \sim P \& \sim Q$   
**and** *meson-not-notD*:  $\sim\sim P ==> P$   
**and** *meson-not-allD*:  $!!P. \sim(\forall x. P(x)) ==> \exists x. \sim P(x)$   
**and** *meson-not-exD*:  $!!P. \sim(\exists x. P(x)) ==> \forall x. \sim P(x)$   
**by** *fast+*

Removal of  $-->$  and  $<->$  (positive and negative occurrences)

**lemma** *meson-imp-to-disjD*:  $P-->Q ==> \sim P \mid Q$   
**and** *meson-not-impD*:  $\sim(P-->Q) ==> P \& \sim Q$   
**and** *meson-iff-to-disjD*:  $P=Q ==> (\sim P \mid Q) \& (\sim Q \mid P)$   
**and** *meson-not-iffD*:  $\sim(P=Q) ==> (P \mid Q) \& (\sim P \mid \sim Q)$   
 — Much more efficient than  $P \wedge \neg Q \vee Q \wedge \neg P$  for computing CNF  
**and** *meson-not-refl-disj-D*:  $x \sim = x \mid P ==> P$   
**by** *fast+*

### 38.9.2 Pulling out the existential quantifiers

Conjunction

**lemma** *meson-conj-exD1*:  $!!P Q. (\exists x. P(x)) \& Q ==> \exists x. P(x) \& Q$   
**and** *meson-conj-exD2*:  $!!P Q. P \& (\exists x. Q(x)) ==> \exists x. P \& Q(x)$   
**by** *fast+*

Disjunction

**lemma** *meson-disj-exD*:  $!!P Q. (\exists x. P(x)) \mid (\exists x. Q(x)) ==> \exists x. P(x) \mid Q(x)$   
 — DO NOT USE with forall-Skolemization: makes fewer schematic variables!!  
 — With ex-Skolemization, makes fewer Skolem constants  
**and** *meson-disj-exD1*:  $!!P Q. (\exists x. P(x)) \mid Q ==> \exists x. P(x) \mid Q$   
**and** *meson-disj-exD2*:  $!!P Q. P \mid (\exists x. Q(x)) ==> \exists x. P \mid Q(x)$   
**by** *fast+*

### 38.9.3 Generating clauses for the Meson Proof Procedure

Disjunctions

**lemma** *meson-disj-assoc*:  $(P \mid Q) \mid R ==> P \mid (Q \mid R)$   
**and** *meson-disj-comm*:  $P \mid Q ==> Q \mid P$   
**and** *meson-disj-FalseD1*:  $False \mid P ==> P$   
**and** *meson-disj-FalseD2*:  $P \mid False ==> P$   
**by** *fast+*

## 38.10 Lemmas for Meson, the Model Elimination Procedure

Generation of contrapositives

Inserts negated disjunct after removing the negation; P is a literal. Model elimination requires assuming the negation of every attempted subgoal, hence the negated disjuncts.

**lemma** *make-neg-rule*:  $\sim P \mid Q \implies ((\sim P \implies P) \implies Q)$   
**by** *blast*

Version for Plaisted’s ”Positive refinement” of the Meson procedure

**lemma** *make-refined-neg-rule*:  $\sim P \mid Q \implies (P \implies Q)$   
**by** *blast*

P should be a literal

**lemma** *make-pos-rule*:  $P \mid Q \implies ((P \implies \sim P) \implies Q)$   
**by** *blast*

Versions of *make-neg-rule* and *make-pos-rule* that don’t insert new assumptions, for ordinary resolution.

**lemmas** *make-neg-rule'* = *make-refined-neg-rule*

**lemma** *make-pos-rule'*:  $[P \mid Q; \sim P] \implies Q$   
**by** *blast*

Generation of a goal clause – put away the final literal

**lemma** *make-neg-goal*:  $\sim P \implies ((\sim P \implies P) \implies \text{False})$   
**by** *blast*

**lemma** *make-pos-goal*:  $P \implies ((P \implies \sim P) \implies \text{False})$   
**by** *blast*

### 38.10.1 Lemmas for Forward Proof

There is a similarity to congruence rules

**lemma** *conj-forward*:  $[P' \& Q'; P' \implies P; Q' \implies Q] \implies P \& Q$   
**by** *blast*

**lemma** *disj-forward*:  $[P' \mid Q'; P' \implies P; Q' \implies Q] \implies P \mid Q$   
**by** *blast*

**lemma** *disj-forward2*:

$[P' \mid Q'; P' \implies P; [Q'; P \implies \text{False}] \implies Q] \implies P \mid Q$   
**apply** *blast*  
**done**

**lemma** *all-forward*:  $[ \forall x. P'(x); !x. P'(x) \implies P(x) ] \implies \forall x. P(x)$   
**by** *blast*

**lemma** *ex-forward*:  $[ \exists x. P'(x); !x. P'(x) \implies P(x) ] \implies \exists x. P(x)$

by *blast*

Many of these bindings are used by the ATP linkup, and not just by legacy proof scripts.

**ML**

```

⟨⟨
val inv-def = thm inv-def;
val Inv-def = thm Inv-def;

val someI = thm someI;
val someI-ex = thm someI-ex;
val someI2 = thm someI2;
val someI2-ex = thm someI2-ex;
val some-equality = thm some-equality;
val some1-equality = thm some1-equality;
val some-eq-ex = thm some-eq-ex;
val some-eq-trivial = thm some-eq-trivial;
val some-sym-eq-trivial = thm some-sym-eq-trivial;
val choice = thm choice;
val bchoice = thm bchoice;
val inv-id = thm inv-id;
val inv-f-f = thm inv-f-f;
val inv-f-eq = thm inv-f-eq;
val inj-imp-inv-eq = thm inj-imp-inv-eq;
val inj-transfer = thm inj-transfer;
val inj-iff = thm inj-iff;
val inj-imp-surj-inv = thm inj-imp-surj-inv;
val f-inv-f = thm f-inv-f;
val surj-f-inv-f = thm surj-f-inv-f;
val inv-injective = thm inv-injective;
val inj-on-inv = thm inj-on-inv;
val surj-imp-inj-inv = thm surj-imp-inj-inv;
val surj-iff = thm surj-iff;
val surj-imp-inv-eq = thm surj-imp-inv-eq;
val bij-imp-bij-inv = thm bij-imp-bij-inv;
val inv-equality = thm inv-equality;
val inv-inv-eq = thm inv-inv-eq;
val o-inv-distrib = thm o-inv-distrib;
val image-surj-f-inv-f = thm image-surj-f-inv-f;
val image-inv-f-f = thm image-inv-f-f;
val inv-image-comp = thm inv-image-comp;
val bij-image-Collect-eq = thm bij-image-Collect-eq;
val bij-vimage-eq-inv-image = thm bij-vimage-eq-inv-image;
val Inv-f-f = thm Inv-f-f;
val f-Inv-f = thm f-Inv-f;
val Inv-injective = thm Inv-injective;
val inj-on-Inv = thm inj-on-Inv;
val split-paired-Eps = thm split-paired-Eps;
val Eps-split = thm Eps-split;

```

```

val Eps-split-eq = thm Eps-split-eq;
val wf-iff-no-infinite-down-chain = thm wf-iff-no-infinite-down-chain;
val Inv-mem = thm Inv-mem;
val Inv-f-eq = thm Inv-f-eq;
val Inv-comp = thm Inv-comp;
val tft-some = thm tft-some;
val make-neg-rule = thm make-neg-rule;
val make-refined-neg-rule = thm make-refined-neg-rule;
val make-pos-rule = thm make-pos-rule;
val make-neg-rule' = thm make-neg-rule';
val make-pos-rule' = thm make-pos-rule';
val make-neg-goal = thm make-neg-goal;
val make-pos-goal = thm make-pos-goal;
val conj-forward = thm conj-forward;
val disj-forward = thm disj-forward;
val disj-forward2 = thm disj-forward2;
val all-forward = thm all-forward;
val ex-forward = thm ex-forward;
>>

```

### 38.11 Meson package

```
use Tools/meson.ML
```

```
setup Meson.setup
```

### 38.12 Specification package – Hilbertized version

```

lemma exE-some: [| Ex P ; c == Eps P |] ==> P c
  by (simp only: someI-ex)

```

```
use Tools/specification-package.ML
```

```
end
```

## 39 ATP-Linkup: The Isabelle-ATP Linkup

```
theory ATP-Linkup
```

```
imports Record Presburger SAT Recdef Extraction Relation-Power Hilbert-Choice
```

```
uses
```

```

Tools/polyhash.ML
Tools/res-clause.ML
Tools/res-hol-clause.ML
Tools/res-axioms.ML
Tools/res-reconstruct.ML
Tools/watcher.ML
Tools/res-atp.ML

```

```

(Tools/res-atp-provers.ML)
(Tools/res-atp-methods.ML)
~~/src/Tools/Metis/metis.ML
(Tools/metis-tools.ML)
begin

```

```

definition COMBI :: 'a => 'a
  where COMBI P == P

```

```

definition COMBK :: 'a => 'b => 'a
  where COMBK P Q == P

```

```

definition COMBB :: ('b => 'c) => ('a => 'b) => 'a => 'c
  where COMBB P Q R == P (Q R)

```

```

definition COMBC :: ('a => 'b => 'c) => 'b => 'a => 'c
  where COMBC P Q R == P R Q

```

```

definition COMBS :: ('a => 'b => 'c) => ('a => 'b) => 'a => 'c
  where COMBS P Q R == P R (Q R)

```

```

definition fequal :: 'a => 'a => bool
  where fequal X Y == (X=Y)

```

```

lemma fequal-imp-equal: fequal X Y ==> X=Y
  by (simp add: fequal-def)

```

```

lemma equal-imp-fequal: X=Y ==> fequal X Y
  by (simp add: fequal-def)

```

These two represent the equivalence between Boolean equality and iff. They can't be converted to clauses automatically, as the iff would be expanded...

```

lemma iff-positive: P | Q | P=Q
by blast

```

```

lemma iff-negative: ~P | ~Q | P=Q
by blast

```

Theorems for translation to combinators

```

lemma abs-S: (%x. (f x) (g x)) == COMBS f g
apply (rule eq-reflection)
apply (rule ext)
apply (simp add: COMBS-def)
done

```

```

lemma abs-I: (%x. x) == COMBI
apply (rule eq-reflection)
apply (rule ext)
apply (simp add: COMBI-def)

```

done

```
lemma abs-K: (%x. y) == COMBK y
apply (rule eq-reflection)
apply (rule ext)
apply (simp add: COMBK-def)
done
```

```
lemma abs-B: (%x. a (g x)) == COMBB a g
apply (rule eq-reflection)
apply (rule ext)
apply (simp add: COMBB-def)
done
```

```
lemma abs-C: (%x. (f x) b) == COMBC f b
apply (rule eq-reflection)
apply (rule ext)
apply (simp add: COMBC-def)
done
```

```
use Tools/res-axioms.ML      — requires the combinators declared above
use Tools/res-hol-clause.ML
use Tools/res-reconstruct.ML
use Tools/watcher.ML
use Tools/res-atp.ML
```

```
setup ResAxioms.meson-method-setup
```

### 39.1 Setup for Vampire, E prover and SPASS

```
use Tools/res-atp-provers.ML
```

```
oracle vampire-oracle (string * int) = << ResAtpProvers.vampire-o >>
oracle eprover-oracle (string * int) = << ResAtpProvers.eprover-o >>
oracle spass-oracle (string * int) = << ResAtpProvers.spass-o >>
```

```
use Tools/res-atp-methods.ML
setup ResAtpMethods.setup — Oracle ATP methods: still useful?
setup ResReconstruct.setup — Config parameters
setup ResAxioms.setup — Sledgehammer
```

### 39.2 The Metis prover

```
use Tools/metis-tools.ML
setup MetisTools.setup
```

```
setup <<
  Theory.at-end ResAxioms.clause-cache-endtheory
>>
```

end

## 40 List: The datatype of finite lists

```
theory List
imports ATP-Linkup
uses Tools/string-syntax.ML
begin
```

```
datatype 'a list =
  Nil    ([])
  | Cons 'a 'a list  (infixr # 65)
```

### 40.1 Basic list processing functions

```
consts
  filter:: ('a => bool) => 'a list => 'a list
  concat:: 'a list list => 'a list
  foldl :: ('b => 'a => 'b) => 'b => 'a list => 'b
  foldr :: ('a => 'b => 'b) => 'a list => 'b => 'b
  hd:: 'a list => 'a
  tl:: 'a list => 'a list
  last:: 'a list => 'a
  butlast :: 'a list => 'a list
  set :: 'a list => 'a set
  map :: ('a=>'b) => ('a list => 'b list)
  listsum :: 'a list => 'a::monoid-add
  nth :: 'a list => nat => 'a  (infixl ! 100)
  list-update :: 'a list => nat => 'a => 'a list
  take:: nat => 'a list => 'a list
  drop:: nat => 'a list => 'a list
  takeWhile :: ('a => bool) => 'a list => 'a list
  dropWhile :: ('a => bool) => 'a list => 'a list
  rev :: 'a list => 'a list
  zip :: 'a list => 'b list => ('a * 'b) list
  upt :: nat => nat => nat list ((1[..< /-]))
  remdups :: 'a list => 'a list
  remove1 :: 'a => 'a list => 'a list
  distinct:: 'a list => bool
  replicate :: nat => 'a => 'a list
  splice :: 'a list => 'a list => 'a list
```

```
nonterminals lupdbinds lupdbind
```

```
syntax
```

```
— list Enumeration
```



$@list :: args \Rightarrow 'a\ list \quad ([(-)])$

— Special syntax for filter

$@filter :: [pttrn, 'a\ list, bool] \Rightarrow 'a\ list \quad ((1[-<--./-]))$

— list update

$-lupdbind :: ['a, 'a] \Rightarrow lupdbind \quad ((2[-:=/-]))$

$:: lupdbind \Rightarrow lupdbinds \quad (-)$

$-lupdbinds :: [lupdbind, lupdbinds] \Rightarrow lupdbinds \quad (-./-)$

$-LUpdate :: ['a, lupdbinds] \Rightarrow 'a \quad (-/[(-)] [900,0] 900)$

### translations

$[x, xs] == x\#[xs]$

$[x] == x\#[\ ]$

$[x < -xs \ .\ P] == filter\ (\%x.\ P)\ xs$

$-LUpdate\ xs\ (-lupdbinds\ b\ bs) == -LUpdate\ (-LUpdate\ xs\ b)\ bs$

$xs[i:=x] == list-update\ xs\ i\ x$

### syntax (*xsymbols*)

$@filter :: [pttrn, 'a\ list, bool] \Rightarrow 'a\ list((1[-<--./-]))$

### syntax (*HTML output*)

$@filter :: [pttrn, 'a\ list, bool] \Rightarrow 'a\ list((1[-<--./-]))$

Function *size* is overloaded for all datatypes. Users may refer to the list version as *length*.

### abbreviation

$length :: 'a\ list \Rightarrow nat\ \mathbf{where}$

$length == size$

### primrec

$hd(x\#xs) = x$

### primrec

$tl([\ ]) = [\ ]$

$tl(x\#xs) = xs$

### primrec

$last(x\#xs) = (if\ xs=[\ ]\ then\ x\ else\ last\ xs)$

### primrec

$butlast\ [\ ] = [\ ]$

$butlast(x\#xs) = (if\ xs=[\ ]\ then\ [\ ]\ else\ x\#butlast\ xs)$

### primrec

$set\ [\ ] = \{\}$

$set\ (x\#xs) = insert\ x\ (set\ xs)$

**primrec**

$$\begin{aligned} \text{map } f \ [] &= [] \\ \text{map } f \ (x \# xs) &= f(x) \# \text{map } f \ xs \end{aligned}$$
**primrec**

$$\text{append} :: 'a \text{ list} \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list} \text{ (infixr } @ \text{ 65)}$$
**where**

$$\begin{aligned} \text{append-Nil}: [] @ ys &= ys \\ | \text{append-Cons}: (x \# xs) @ ys &= x \# xs @ ys \end{aligned}$$
**primrec**

$$\begin{aligned} \text{rev}([]) &= [] \\ \text{rev}(x \# xs) &= \text{rev}(xs) @ [x] \end{aligned}$$
**primrec**

$$\begin{aligned} \text{filter } P \ [] &= [] \\ \text{filter } P \ (x \# xs) &= (\text{if } P \ x \text{ then } x \# \text{filter } P \ xs \text{ else } \text{filter } P \ xs) \end{aligned}$$
**primrec**

$$\begin{aligned} \text{foldl-Nil}: \text{foldl } f \ a \ [] &= a \\ \text{foldl-Cons}: \text{foldl } f \ a \ (x \# xs) &= \text{foldl } f \ (f \ a \ x) \ xs \end{aligned}$$
**primrec**

$$\begin{aligned} \text{foldr } f \ [] \ a &= a \\ \text{foldr } f \ (x \# xs) \ a &= f \ x \ (\text{foldr } f \ xs \ a) \end{aligned}$$
**primrec**

$$\begin{aligned} \text{concat}([]) &= [] \\ \text{concat}(x \# xs) &= x @ \text{concat}(xs) \end{aligned}$$
**primrec**

$$\begin{aligned} \text{listsum} \ [] &= 0 \\ \text{listsum} \ (x \# xs) &= x + \text{listsum } xs \end{aligned}$$
**primrec**

$$\begin{aligned} \text{drop-Nil}: \text{drop } n \ [] &= [] \\ \text{drop-Cons}: \text{drop } n \ (x \# xs) &= (\text{case } n \text{ of } 0 \Rightarrow x \# xs \mid \text{Suc}(m) \Rightarrow \text{drop } m \ xs) \\ \text{--- Warning: simpset does not contain this definition, but separate theorems for} \\ n = 0 \text{ and } n = \text{Suc } k \end{aligned}$$
**primrec**

$$\begin{aligned} \text{take-Nil}: \text{take } n \ [] &= [] \\ \text{take-Cons}: \text{take } n \ (x \# xs) &= (\text{case } n \text{ of } 0 \Rightarrow [] \mid \text{Suc}(m) \Rightarrow x \# \text{take } m \ xs) \\ \text{--- Warning: simpset does not contain this definition, but separate theorems for} \\ n = 0 \text{ and } n = \text{Suc } k \end{aligned}$$
**primrec**

$$\begin{aligned} \text{nth-Cons}: (x \# xs)!n &= (\text{case } n \text{ of } 0 \Rightarrow x \mid (\text{Suc } k) \Rightarrow xs!k) \\ \text{--- Warning: simpset does not contain this definition, but separate theorems for} \end{aligned}$$

$n = 0$  and  $n = \text{Suc } k$

**primrec**

$\llbracket i := v \rrbracket = \llbracket$   
 $(x \# xs)[i := v] = (\text{case } i \text{ of } 0 \Rightarrow v \# xs \mid \text{Suc } j \Rightarrow x \# xs[j := v])$

**primrec**

$\text{takeWhile } P \llbracket = \llbracket$   
 $\text{takeWhile } P (x \# xs) = (\text{if } P x \text{ then } x \# \text{takeWhile } P xs \text{ else } \llbracket)$

**primrec**

$\text{dropWhile } P \llbracket = \llbracket$   
 $\text{dropWhile } P (x \# xs) = (\text{if } P x \text{ then } \text{dropWhile } P xs \text{ else } x \# xs)$

**primrec**

$\text{zip } xs \llbracket = \llbracket$   
 $\text{zip-Cons: } \text{zip } xs (y \# ys) = (\text{case } xs \text{ of } \llbracket \Rightarrow \llbracket \mid z \# zs \Rightarrow (z, y) \# \text{zip } zs ys)$   
 — Warning: simpset does not contain this definition, but separate theorems for  
 $xs = \llbracket$  and  $xs = z \# zs$

**primrec**

$\text{upt-0: } [i..<0] = \llbracket$   
 $\text{upt-Suc: } [i..<(\text{Suc } j)] = (\text{if } i \leq j \text{ then } [i..<j] @ [j] \text{ else } \llbracket)$

**primrec**

$\text{distinct } \llbracket = \text{True}$   
 $\text{distinct } (x \# xs) = (x \sim: \text{set } xs \wedge \text{distinct } xs)$

**primrec**

$\text{remdups } \llbracket = \llbracket$   
 $\text{remdups } (x \# xs) = (\text{if } x : \text{set } xs \text{ then } \text{remdups } xs \text{ else } x \# \text{remdups } xs)$

**primrec**

$\text{remove1 } x \llbracket = \llbracket$   
 $\text{remove1 } x (y \# xs) = (\text{if } x = y \text{ then } xs \text{ else } y \# \text{remove1 } x xs)$

**primrec**

$\text{replicate-0: } \text{replicate } 0 x = \llbracket$   
 $\text{replicate-Suc: } \text{replicate } (\text{Suc } n) x = x \# \text{replicate } n x$

**definition**

$\text{rotate1} :: 'a \text{ list} \Rightarrow 'a \text{ list}$  **where**  
 $\text{rotate1 } xs = (\text{case } xs \text{ of } \llbracket \Rightarrow \llbracket \mid x \# xs \Rightarrow xs @ [x])$

**definition**

$\text{rotate} :: \text{nat} \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list}$  **where**  
 $\text{rotate } n = \text{rotate1} \hat{\ } n$

**definition**

```
list-all2 :: ('a => 'b => bool) => 'a list => 'b list => bool where
[code func del]: list-all2 P xs ys =
  (length xs = length ys  $\wedge$  ( $\forall (x, y) \in \text{set } (\text{zip } xs \text{ } ys).$  P x y))
```

**definition**

```
sublist :: 'a list => nat set => 'a list where
sublist xs A = map fst (filter ( $\lambda p.$  snd p  $\in$  A) (zip xs [0.. $\text{size } xs$ ]))
```

**primrec**

```
splice [] ys = ys
splice (x#xs) ys = (if ys=[] then x#xs else x # hd ys # splice xs (tl ys))
— Warning: simpset does not contain the second eqn but a derived one.
```

Figure 1 shows characteristic examples that should give an intuitive understanding of the above functions.

The following simple sort functions are intended for proofs, not for efficient implementations.

**context** linorder

**begin**

```
fun sorted :: 'a list  $\Rightarrow$  bool where
sorted []  $\longleftrightarrow$  True |
sorted [x]  $\longleftrightarrow$  True |
sorted (x#y#zs)  $\longleftrightarrow$  x  $\leq$  y  $\wedge$  sorted (y#zs)
```

**primrec** insort :: 'a  $\Rightarrow$  'a list  $\Rightarrow$  'a list **where**

```
insort x [] = [x] |
insort x (y#ys) = (if x  $\leq$  y then (x#y#ys) else y#(insort x ys))
```

**primrec** sort :: 'a list  $\Rightarrow$  'a list **where**

```
sort [] = [] |
sort (x#xs) = insort x (sort xs)
```

**end**

**40.1.1 List comprehension**

Input syntax for Haskell-like list comprehension notation. Typical example:  $[(x,y). x \leftarrow xs, y \leftarrow ys, x \neq y]$ , the list of all pairs of distinct elements from  $xs$  and  $ys$ . The syntax is as in Haskell, except that  $|$  becomes a dot (like in Isabelle’s set comprehension):  $[e. x \leftarrow xs, \dots]$  rather than  $[e \mid x \leftarrow xs, \dots]$ .

The qualifiers after the dot are

**generators**  $p \leftarrow xs$ , where  $p$  is a pattern and  $xs$  an expression of list type,  
or

**guards**  $b$ , where  $b$  is a boolean expression.

```

[a, b] @ [c, d] = [a, b, c, d]
length [a, b, c] = 3
set [a, b, c] = {a, b, c}
map f [a, b, c] = [f a, f b, f c]
rev [a, b, c] = [c, b, a]
hd [a, b, c, d] = a
tl [a, b, c, d] = [b, c, d]
last [a, b, c, d] = d
butlast [a, b, c, d] = [a, b, c]
filter (λn::nat. n<2) [0,2,1] = [0,1]
concat [[a, b], [c, d, e], [], [f]] = [a, b, c, d, e, f]
foldl f x [a, b, c] = f (f (f x a) b) c
foldr f [a, b, c] x = f a (f b (f c x))
zip [a, b, c] [x, y, z] = [(a, x), (b, y), (c, z)]
zip [a, b] [x, y, z] = [(a, x), (b, y)]
splice [a, b, c] [x, y, z] = [a, x, b, y, c, z]
splice [a, b, c, d] [x, y] = [a, x, b, y, c, d]
take 2 [a, b, c, d] = [a, b]
take 6 [a, b, c, d] = [a, b, c, d]
drop 2 [a, b, c, d] = [c, d]
drop 6 [a, b, c, d] = []
takeWhile (λn. n < 3) [1, 2, 3, 0] = [1, 2]
dropWhile (λn. n < 3) [1, 2, 3, 0] = [3, 0]
distinct [2, 0, 1]
remdups [2, 0, 2, 1, 2] = [0, 1, 2]
remove1 2 [2, 0, 2, 1, 2] = [0, 2, 1, 2]
[a, b, c, d] ! 2 = c
[a, b, c, d][2 := x] = [a, b, x, d]
sublist [a, b, c, d, e] {0, 2, 3} = [a, c, d]
rotate1 [a, b, c, d] = [b, c, d, a]
rotate 3 [a, b, c, d] = [d, a, b, c]
replicate 4 a = [a, a, a, a]
[2..<5] = [2, 3, 4]
listsum [1, 2, 3] = 6

```

Figure 1: Characteristic examples

Just like in Haskell, list comprehension is just a shorthand. To avoid misunderstandings, the translation into desugared form is not reversed upon output. Note that the translation of  $[e. x \leftarrow xs]$  is optimized to  $map (\lambda x. e) xs$ .

It is easy to write short list comprehensions which stand for complex expressions. During proofs, they may become unreadable (and mangled). In such cases it can be advisable to introduce separate definitions for the list comprehensions in question.

**nonterminals** *lc-qual lc-quals*

**syntax**

*-listcompr* :: 'a  $\Rightarrow$  *lc-qual*  $\Rightarrow$  *lc-quals*  $\Rightarrow$  'a list ([ - . --)

*-lc-gen* :: 'a  $\Rightarrow$  'a list  $\Rightarrow$  *lc-qual* (- <- -)

*-lc-test* :: bool  $\Rightarrow$  *lc-qual* (-)

*-lc-end* :: *lc-quals* ()

*-lc-quals* :: *lc-qual*  $\Rightarrow$  *lc-quals*  $\Rightarrow$  *lc-quals* (, --)

*-lc-abs* :: 'a  $\Rightarrow$  'b list  $\Rightarrow$  'b list

**syntax** (*xsymbols*)

*-lc-gen* :: 'a  $\Rightarrow$  'a list  $\Rightarrow$  *lc-qual* (-  $\leftarrow$  -)

**syntax** (*HTML output*)

*-lc-gen* :: 'a  $\Rightarrow$  'a list  $\Rightarrow$  *lc-qual* (-  $\leftarrow$  -)

**parse-translation** (advanced)  $\ll$

*let*

```
val NilC = Syntax.const @{const-name Nil};
val ConsC = Syntax.const @{const-name Cons};
val mapC = Syntax.const @{const-name map};
val concatC = Syntax.const @{const-name concat};
val IfC = Syntax.const @{const-name If};
fun singl x = ConsC $ x $ NilC;
```

*fun pat-tr ctxt p e opti = (\* %x. case x of p => e | - => [] \*)*

*let*

```
val x = Free (Name.variant (add-term-free-names (p$e, [])) x, dummyT);
val e = if opti then singl e else e;
val case1 = Syntax.const -case1 $ p $ e;
val case2 = Syntax.const -case1 $ Syntax.const Term.dummy-patternN
              $ NilC;
val cs = Syntax.const -case2 $ case1 $ case2
val ft = DatatypeCase.case-tr false DatatypePackage.datatype-of-constr
        ctxt [x, cs]
```

*in lambda x ft end;*

*fun abs-tr ctxt (p as Free(s,T)) e opti =*

```

    let val thy = ProofContext.theory-of ctxt;
    val s' = Sign.intern-const thy s
    in if Sign.declared-const thy s'
      then (pat-tr ctxt p e opti, false)
      else (lambda p e, true)
    end
  | abs-tr ctxt p e opti = (pat-tr ctxt p e opti, false);

fun lc-tr ctxt [e, Const(-lc-test,-)]$b, qs] =
  let val res = case qs of Const(-lc-end,-) => singl e
    | Const(-lc-quals,-)$q$qs => lc-tr ctxt [e,q,qs];
  in IfC $ b $ res $ NilC end
| lc-tr ctxt [e, Const(-lc-gen,-)] $ p $ es, Const(-lc-end,-)] =
  (case abs-tr ctxt p e true of
    (f,true) => mapC $ f $ es
    | (f, false) => concatC $ (mapC $ f $ es))
| lc-tr ctxt [e, Const(-lc-gen,-)] $ p $ es, Const(-lc-quals,-)$q$qs] =
  let val e' = lc-tr ctxt [e,q,qs];
  in concatC $ (mapC $ (fst(abs-tr ctxt p e' false)) $ es) end

in [(-listcompr, lc-tr)] end
>>

```

#### 40.1.2 [] and op #

**lemma** *not-Cons-self* [simp]:

$xs \neq x \# xs$

**by** (induct xs) auto

**lemmas** *not-Cons-self2* [simp] = *not-Cons-self* [symmetric]

**lemma** *neq-Nil-conv*:  $(xs \neq []) = (\exists y \ ys. xs = y \# ys)$

**by** (induct xs) auto

**lemma** *length-induct*:

$(\bigwedge xs. \forall ys. \text{length } ys < \text{length } xs \longrightarrow P \ ys \Longrightarrow P \ xs) \Longrightarrow P \ xs$

**by** (rule *measure-induct* [of length]) iprover

#### 40.1.3 length

Needs to come before @ because of theorem *append-eq-append-conv*.

**lemma** *length-append* [simp]:  $\text{length } (xs \ @ \ ys) = \text{length } xs + \text{length } ys$

**by** (induct xs) auto

**lemma** *length-map* [simp]:  $\text{length } (\text{map } f \ xs) = \text{length } xs$

**by** (induct xs) auto

**lemma** *length-rev* [simp]:  $\text{length } (\text{rev } xs) = \text{length } xs$

**by** (induct xs) auto

**lemma** *length-tl* [*simp*]:  $\text{length } (\text{tl } xs) = \text{length } xs - 1$   
**by** (*cases xs*) *auto*

**lemma** *length-0-conv* [*iff*]:  $(\text{length } xs = 0) = (xs = [])$   
**by** (*induct xs*) *auto*

**lemma** *length-greater-0-conv* [*iff*]:  $(0 < \text{length } xs) = (xs \neq [])$   
**by** (*induct xs*) *auto*

**lemma** *length-pos-if-in-set*:  $x : \text{set } xs \implies \text{length } xs > 0$   
**by** *auto*

**lemma** *length-Suc-conv*:  
 $(\text{length } xs = \text{Suc } n) = (\exists y \text{ } ys. xs = y \# ys \wedge \text{length } ys = n)$   
**by** (*induct xs*) *auto*

**lemma** *Suc-length-conv*:  
 $(\text{Suc } n = \text{length } xs) = (\exists y \text{ } ys. xs = y \# ys \wedge \text{length } ys = n)$   
**apply** (*induct xs, simp, simp*)  
**apply** *blast*  
**done**

**lemma** *impossible-Cons*:  $\text{length } xs <= \text{length } ys \implies xs = x \# ys = \text{False}$   
**by** (*induct xs*) *auto*

**lemma** *list-induct2* [*consumes 1, case-names Nil Cons*]:  
 $\text{length } xs = \text{length } ys \implies P [] [] \implies$   
 $(\bigwedge x \text{ } xs \text{ } y \text{ } ys. \text{length } xs = \text{length } ys \implies P \text{ } xs \text{ } ys \implies P (x \# xs) (y \# ys))$   
 $\implies P \text{ } xs \text{ } ys$   
**proof** (*induct xs arbitrary: ys*)  
**case Nil then show ?case by simp**  
**next**  
**case (Cons x xs ys) then show ?case by (cases ys) simp-all**  
**qed**

**lemma** *list-induct3* [*consumes 2, case-names Nil Cons*]:  
 $\text{length } xs = \text{length } ys \implies \text{length } ys = \text{length } zs \implies P [] [] [] \implies$   
 $(\bigwedge x \text{ } xs \text{ } y \text{ } ys \text{ } z \text{ } zs. \text{length } xs = \text{length } ys \implies \text{length } ys = \text{length } zs \implies P \text{ } xs \text{ } ys \text{ } zs$   
 $\implies P (x \# xs) (y \# ys) (z \# zs))$   
 $\implies P \text{ } xs \text{ } ys \text{ } zs$   
**proof** (*induct xs arbitrary: ys zs*)  
**case Nil then show ?case by simp**  
**next**  
**case (Cons x xs ys zs) then show ?case by (cases ys, simp-all)**  
 $(\text{cases } zs, \text{simp-all})$   
**qed**

**lemma** *list-induct2'*:



```

[[ P [] ];
 $\bigwedge x \text{ xs}. P (x\#\text{xs})$  [];
 $\bigwedge y \text{ ys}. P [] (y\#\text{ys})$ ;
 $\bigwedge x \text{ xs } y \text{ ys}. P \text{ xs } \text{ys} \implies P (x\#\text{xs}) (y\#\text{ys})$  ]
 $\implies P \text{ xs } \text{ys}$ 
by (induct xs arbitrary: ys) (case-tac x, auto)+

```

**lemma** *neq-if-length-neq*:  $\text{length } \text{xs} \neq \text{length } \text{ys} \implies (\text{xs} = \text{ys}) == \text{False}$   
**by** (rule Eq-FalseI) auto

**simproc-setup** *list-neq* ((xs::'a list) = ys) = <<  
 (\*  
*Reduces xs=ys to False if xs and ys cannot be of the same length.*  
*This is the case if the atomic sublists of one are a submultiset*  
*of those of the other list and there are fewer Cons's in one than the other.*  
 \*)

let

```

fun len (Const(List.list.Nil,-)) acc = acc
| len (Const(List.list.Cons,-) $ - $ xs) (ts,n) = len xs (ts,n+1)
| len (Const(List.append,-) $ xs $ ys) acc = len xs (len ys acc)
| len (Const(List.rev,-) $ xs) acc = len xs acc
| len (Const(List.map,-) $ - $ xs) acc = len xs acc
| len t (ts,n) = (t::ts,n);

```

fun list-neq - ss ct =

let

```

val (Const(-,eqT) $ lhs $ rhs) = Thm.term-of ct;
val (ls,m) = len lhs ([],0) and (rs,n) = len rhs ([],0);
fun prove-neq() =
  let
    val Type(-,listT::-) = eqT;
    val size = HOLogic.size-const listT;
    val eq-len = HOLogic.mk-eq (size $ lhs, size $ rhs);
    val neq-len = HOLogic.mk-Trueprop (HOLogic.Not $ eq-len);
    val thm = Goal.prove (Simplifier.the-context ss) [] [] neq-len
      (K (simp-tac (Simplifier.inherit-context ss @ {simpset} 1)));
    in SOME (thm RS @ {thm neq-if-length-neq}) end
  end

```

in

```

if m < n andalso submultiset (op aconv) (ls,rs) orelse
  n < m andalso submultiset (op aconv) (rs,ls)
then prove-neq() else NONE

```

end;

in list-neq end;

>>

**40.1.4 @ – append**

**lemma** *append-assoc* [simp]:  $(xs @ ys) @ zs = xs @ (ys @ zs)$   
**by** (induct xs) auto

**lemma** *append-Nil2* [simp]:  $xs @ [] = xs$   
**by** (induct xs) auto

**interpretation** *semigroup-append*: *semigroup-add* [op @]  
**by** *unfold-locales simp*

**interpretation** *monoid-append*: *monoid-add* [[] op @]  
**by** *unfold-locales (simp+)*

**lemma** *append-is-Nil-conv* [iff]:  $(xs @ ys = []) = (xs = [] \wedge ys = [])$   
**by** (induct xs) auto

**lemma** *Nil-is-append-conv* [iff]:  $([] = xs @ ys) = (xs = [] \wedge ys = [])$   
**by** (induct xs) auto

**lemma** *append-self-conv* [iff]:  $(xs @ ys = xs) = (ys = [])$   
**by** (induct xs) auto

**lemma** *self-append-conv* [iff]:  $(xs = xs @ ys) = (ys = [])$   
**by** (induct xs) auto

**lemma** *append-eq-append-conv* [simp, noatp]:  
 $length\ xs = length\ ys \vee length\ us = length\ vs$   
 $\implies (xs @ us = ys @ vs) = (xs = ys \wedge us = vs)$   
**apply** (induct xs arbitrary: ys)  
**apply** (case-tac ys, simp, force)  
**apply** (case-tac ys, force, simp)  
**done**

**lemma** *append-eq-append-conv2*:  $(xs @ ys = zs @ ts) =$   
 $(EX\ us.\ xs = zs @ us \ \&\ us @ ys = ts \mid xs @ us = zs \ \&\ ys = us @ ts)$   
**apply** (induct xs arbitrary: ys zs ts)  
**apply** *fastsimp*  
**apply** (case-tac zs)  
**apply** *simp*  
**apply** *fastsimp*  
**done**

**lemma** *same-append-eq* [iff]:  $(xs @ ys = xs @ zs) = (ys = zs)$   
**by** *simp*

**lemma** *append1-eq-conv* [iff]:  $(xs @ [x] = ys @ [y]) = (xs = ys \wedge x = y)$   
**by** *simp*

**lemma** *append-same-eq* [iff]:  $(ys @ xs = zs @ xs) = (ys = zs)$   
**by** *simp*

**lemma** *append-self-conv2* [iff]:  $(xs @ ys = ys) = (xs = [])$   
**using** *append-same-eq* [of - - []] **by** *auto*

**lemma** *self-append-conv2* [iff]:  $(ys = xs @ ys) = (xs = [])$   
**using** *append-same-eq* [of []] **by** *auto*

**lemma** *hd-Cons-tl* [simp,noatp]:  $xs \neq [] \implies hd\ xs \# tl\ xs = xs$   
**by** (*induct xs*) *auto*

**lemma** *hd-append*:  $hd\ (xs @ ys) = (if\ xs = []\ then\ hd\ ys\ else\ hd\ xs)$   
**by** (*induct xs*) *auto*

**lemma** *hd-append2* [simp]:  $xs \neq [] \implies hd\ (xs @ ys) = hd\ xs$   
**by** (*simp add: hd-append split: list.split*)

**lemma** *tl-append*:  $tl\ (xs @ ys) = (case\ xs\ of\ [] \Rightarrow tl\ ys \mid z\#zs \Rightarrow zs @ ys)$   
**by** (*simp split: list.split*)

**lemma** *tl-append2* [simp]:  $xs \neq [] \implies tl\ (xs @ ys) = tl\ xs @ ys$   
**by** (*simp add: tl-append split: list.split*)

**lemma** *Cons-eq-append-conv*:  $x\#xs = ys@zs =$   
 $(ys = [] \ \&\ x\#xs = zs \mid (EX\ ys'.\ x\#ys' = ys \ \&\ xs = ys'@zs))$   
**by**(*cases ys*) *auto*

**lemma** *append-eq-Cons-conv*:  $(ys@zs = x\#xs) =$   
 $(ys = [] \ \&\ zs = x\#xs \mid (EX\ ys'.\ ys = x\#ys' \ \&\ ys'@zs = xs))$   
**by**(*cases ys*) *auto*

Trivial rules for solving @-equations automatically.

**lemma** *eq-Nil-appendI*:  $xs = ys \implies xs = [] @ ys$   
**by** *simp*

**lemma** *Cons-eq-appendI*:  
 $[| x \# xs1 = ys; xs = xs1 @ zs |] \implies x \# xs = ys @ zs$   
**by** (*drule sym*) *simp*

**lemma** *append-eq-appendI*:  
 $[| xs @ xs1 = zs; ys = xs1 @ us |] \implies xs @ ys = zs @ us$   
**by** (*drule sym*) *simp*

Simplification procedure for all list equalities. Currently only tries to rearrange @ to see if - both lists end in a singleton list, - or both lists end in the same list.

**ML**  $\langle\langle$   
*local*

```

fun last (cons as Const(List.list.Cons,-) $ - $ xs) =
  (case xs of Const(List.list.Nil,-) => cons | - => last xs)
  | last (Const(List.append,-) $ - $ ys) = last ys
  | last t = t;

fun list1 (Const(List.list.Cons,-) $ - $ Const(List.list.Nil,-)) = true
  | list1 - = false;

fun butlast ((cons as Const(List.list.Cons,-) $ x) $ xs) =
  (case xs of Const(List.list.Nil,-) => xs | - => cons $ butlast xs)
  | butlast ((app as Const(List.append,-) $ xs) $ ys) = app $ butlast ys
  | butlast xs = Const(List.list.Nil,fastype-of xs);

val rearr-ss = HOL-basic-ss addsimps [@{thm append-assoc},
  @{thm append-Nil}, @{thm append-Cons}];

fun list-eq ss (F as (eq as Const(-,eqT)) $ lhs $ rhs) =
  let
    val lastl = last lhs and lastr = last rhs;
    fun rearr conv =
      let
        val lhs1 = butlast lhs and rhs1 = butlast rhs;
        val Type(-,listT::-) = eqT
        val appT = [listT,listT] ----> listT
        val app = Const(List.append,appT)
        val F2 = eq $ (app$lhs1$lastl) $ (app$rhs1$lastr)
        val eq = HOLogic.mk-Trueprop (HOLogic.mk-eq (F,F2));
        val thm = Goal.prove (Simplifier.the-context ss) [] [] eq
          (K (simp-tac (Simplifier.inherit-context ss rearr-ss) 1));
        in SOME ((conv RS (thm RS trans)) RS eq-reflection) end;
      in
        if list1 lastl andalso list1 lastr then rearr @{thm append1-eq-conv}
        else if lastl aconv lastr then rearr @{thm append-same-eq}
        else NONE
      end;
  in
    val list-eq-simproc =
      Simplifier.simproc @{theory} list-eq [(xs::'a list) = ys] (K list-eq);
  end;

Addsimprocs [list-eq-simproc];
>>

```

**40.1.5** *map*

**lemma** *map-ext*:  $(\forall x. x : \text{set } xs \longrightarrow f x = g x) \implies \text{map } f xs = \text{map } g xs$   
**by** (*induct xs*) *simp-all*

**lemma** *map-ident* [*simp*]:  $\text{map } (\lambda x. x) = (\lambda xs. xs)$   
**by** (*rule ext, induct-tac xs*) *auto*

**lemma** *map-append* [*simp*]:  $\text{map } f (xs @ ys) = \text{map } f xs @ \text{map } f ys$   
**by** (*induct xs*) *auto*

**lemma** *map-compose*:  $\text{map } (f \circ g) xs = \text{map } f (\text{map } g xs)$   
**by** (*induct xs*) (*auto simp add: o-def*)

**lemma** *rev-map*:  $\text{rev } (\text{map } f xs) = \text{map } f (\text{rev } xs)$   
**by** (*induct xs*) *auto*

**lemma** *map-eq-conv* [*simp*]:  $(\text{map } f xs = \text{map } g xs) = (\forall x : \text{set } xs. f x = g x)$   
**by** (*induct xs*) *auto*

**lemma** *map-cong* [*fundef-cong, recdef-cong*]:  
 $xs = ys \implies (\forall x. x : \text{set } ys \implies f x = g x) \implies \text{map } f xs = \text{map } g ys$   
— a congruence rule for *map*  
**by** *simp*

**lemma** *map-is-Nil-conv* [*iff*]:  $(\text{map } f xs = []) = (xs = [])$   
**by** (*cases xs*) *auto*

**lemma** *Nil-is-map-conv* [*iff*]:  $([] = \text{map } f xs) = (xs = [])$   
**by** (*cases xs*) *auto*

**lemma** *map-eq-Cons-conv*:  
 $(\text{map } f xs = y \# ys) = (\exists z zs. xs = z \# zs \wedge f z = y \wedge \text{map } f zs = ys)$   
**by** (*cases xs*) *auto*

**lemma** *Cons-eq-map-conv*:  
 $(x \# xs = \text{map } f ys) = (\exists z zs. ys = z \# zs \wedge x = f z \wedge xs = \text{map } f zs)$   
**by** (*cases ys*) *auto*

**lemmas** *map-eq-Cons-D* = *map-eq-Cons-conv* [*THEN iffD1*]  
**lemmas** *Cons-eq-map-D* = *Cons-eq-map-conv* [*THEN iffD1*]  
**declare** *map-eq-Cons-D* [*dest!*] *Cons-eq-map-D* [*dest!*]

**lemma** *ex-map-conv*:  
 $(\exists x. xs. ys = \text{map } f xs) = (\forall y : \text{set } ys. \exists x. y = f x)$   
**by** (*induct ys, auto simp add: Cons-eq-map-conv*)

**lemma** *map-eq-imp-length-eq*:  
**assumes**  $\text{map } f xs = \text{map } f ys$   
**shows**  $\text{length } xs = \text{length } ys$

```

using assms proof (induct ys arbitrary: xs)
  case Nil then show ?case by simp
next
  case (Cons y ys) then obtain z zs where xs: xs = z # zs by auto
  from Cons xs have map f zs = map f ys by simp
  moreover with Cons have length zs = length ys by blast
  with xs show ?case by simp
qed

```

```

lemma map-inj-on:
  [| map f xs = map f ys; inj-on f (set xs Un set ys) |]
  ==> xs = ys
apply(frule map-eq-imp-length-eq)
apply(rotate-tac -1)
apply(induct rule:list-induct2)
  apply simp
apply(simp)
apply (blast intro:sym)
done

```

```

lemma inj-on-map-eq-map:
  inj-on f (set xs Un set ys) ==> (map f xs = map f ys) = (xs = ys)
by(blast dest:map-inj-on)

```

```

lemma map-injective:
  map f xs = map f ys ==> inj f ==> xs = ys
by (induct ys arbitrary: xs) (auto dest!:injD)

```

```

lemma inj-map-eq-map[simp]: inj f ==> (map f xs = map f ys) = (xs = ys)
by(blast dest:map-injective)

```

```

lemma inj-mapI: inj f ==> inj (map f)
by (iprover dest: map-injective injD intro: inj-onI)

```

```

lemma inj-mapD: inj (map f) ==> inj f
apply (unfold inj-on-def, clarify)
apply (erule-tac x = [x] in ballE)
  apply (erule-tac x = [y] in ballE, simp, blast)
apply blast
done

```

```

lemma inj-map[iff]: inj (map f) = inj f
by (blast dest: inj-mapD intro: inj-mapI)

```

```

lemma inj-on-mapI: inj-on f ( $\bigcup$  (set ‘ A)) ==> inj-on (map f) A
apply(rule inj-onI)
apply(erule map-inj-on)
apply(blast intro:inj-onI dest:inj-onD)
done

```

**lemma** *map-idI*:  $(\bigwedge x. x \in \text{set } xs \implies f\ x = x) \implies \text{map } f\ xs = xs$   
**by** (*induct xs, auto*)

**lemma** *map-fun-upd* [*simp*]:  $y \notin \text{set } xs \implies \text{map } (f(y:=v))\ xs = \text{map } f\ xs$   
**by** (*induct xs, auto*)

**lemma** *map-fst-zip*[*simp*]:  
 $\text{length } xs = \text{length } ys \implies \text{map } \text{fst } (\text{zip } xs\ ys) = xs$   
**by** (*induct rule:list-induct2, simp-all*)

**lemma** *map-snd-zip*[*simp*]:  
 $\text{length } xs = \text{length } ys \implies \text{map } \text{snd } (\text{zip } xs\ ys) = ys$   
**by** (*induct rule:list-induct2, simp-all*)

#### 40.1.6 *rev*

**lemma** *rev-append* [*simp*]:  $\text{rev } (xs\ @\ ys) = \text{rev } ys\ @\ \text{rev } xs$   
**by** (*induct xs, auto*)

**lemma** *rev-rev-ident* [*simp*]:  $\text{rev } (\text{rev } xs) = xs$   
**by** (*induct xs, auto*)

**lemma** *rev-swap*:  $(\text{rev } xs = ys) = (xs = \text{rev } ys)$   
**by** *auto*

**lemma** *rev-is-Nil-conv* [*iff*]:  $(\text{rev } xs = []) = (xs = [])$   
**by** (*induct xs, auto*)

**lemma** *Nil-is-rev-conv* [*iff*]:  $([] = \text{rev } xs) = (xs = [])$   
**by** (*induct xs, auto*)

**lemma** *rev-singleton-conv* [*simp*]:  $(\text{rev } xs = [x]) = (xs = [x])$   
**by** (*cases xs, auto*)

**lemma** *singleton-rev-conv* [*simp*]:  $([x] = \text{rev } xs) = (xs = [x])$   
**by** (*cases xs, auto*)

**lemma** *rev-is-rev-conv* [*iff*]:  $(\text{rev } xs = \text{rev } ys) = (xs = ys)$   
**apply** (*induct xs arbitrary: ys, force*)  
**apply** (*case-tac ys, simp, force*)  
**done**

**lemma** *inj-on-rev*[*iff*]: *inj-on* *rev A*  
**by**(*simp add:inj-on-def*)

**lemma** *rev-induct* [*case-names Nil snoc*]:  
 $[\![\ P\ ]\!];\ !!x\ xs.\ P\ xs \implies P\ (xs\ @\ [x])\ ]\implies P\ xs$   
**apply**(*simplesubst rev-rev-ident[symmetric]*)

**apply**(*rule-tac list = rev xs in list.induct, simp-all*)  
**done**

**lemma** *rev-exhaust* [*case-names Nil snoc*]:  
 $(xs = [] \implies P) \implies (!ys\ y. xs = ys @ [y] \implies P) \implies P$   
**by** (*induct xs rule: rev-induct*) *auto*

**lemmas** *rev-cases = rev-exhaust*

**lemma** *rev-eq-Cons-iff*[*iff*]:  $(rev\ xs = y \# ys) = (xs = rev\ ys @ [y])$   
**by**(*rule rev-cases[of xs]*) *auto*

#### 40.1.7 set

**lemma** *finite-set* [*iff*]: *finite* (*set xs*)  
**by** (*induct xs*) *auto*

**lemma** *set-append* [*simp*]:  $set\ (xs @ ys) = (set\ xs \cup set\ ys)$   
**by** (*induct xs*) *auto*

**lemma** *hd-in-set*[*simp*]:  $xs \neq [] \implies hd\ xs : set\ xs$   
**by**(*cases xs*) *auto*

**lemma** *set-subset-Cons*:  $set\ xs \subseteq set\ (x \# xs)$   
**by** *auto*

**lemma** *set-ConsD*:  $y \in set\ (x \# xs) \implies y = x \vee y \in set\ xs$   
**by** *auto*

**lemma** *set-empty* [*iff*]:  $(set\ xs = \{\}) = (xs = [])$   
**by** (*induct xs*) *auto*

**lemma** *set-empty2*[*iff*]:  $(\{\} = set\ xs) = (xs = [])$   
**by**(*induct xs*) *auto*

**lemma** *set-rev* [*simp*]:  $set\ (rev\ xs) = set\ xs$   
**by** (*induct xs*) *auto*

**lemma** *set-map* [*simp*]:  $set\ (map\ f\ xs) = f^*(set\ xs)$   
**by** (*induct xs*) *auto*

**lemma** *set-filter* [*simp*]:  $set\ (filter\ P\ xs) = \{x. x : set\ xs \wedge P\ x\}$   
**by** (*induct xs*) *auto*

**lemma** *set-upt* [*simp*]:  $set[i..<j] = \{k. i \leq k \wedge k < j\}$   
**apply** (*induct j, simp-all*)  
**apply** (*erule ssubst, auto*)  
**done**



```

lemma split-list:  $x : \text{set } xs \implies \exists ys\ zs. xs = ys @ x \# zs$ 
proof (induct xs)
  case Nil thus ?case by simp
next
  case Cons thus ?case by (auto intro: Cons-eq-appendI)
qed

```

```

lemma in-set-conv-decomp:  $x \in \text{set } xs \longleftrightarrow (\exists ys\ zs. xs = ys @ x \# zs)$ 
by (auto elim: split-list)

```

```

lemma split-list-first:  $x : \text{set } xs \implies \exists ys\ zs. xs = ys @ x \# zs \wedge x \notin \text{set } ys$ 
proof (induct xs)
  case Nil thus ?case by simp
next
  case (Cons a xs)
  show ?case
  proof cases
    assume  $x = a$  thus ?case using Cons by fastsimp
  next
    assume  $x \neq a$  thus ?case using Cons by (fastsimp intro!: Cons-eq-appendI)
  qed
qed

```

```

lemma in-set-conv-decomp-first:
   $(x : \text{set } xs) = (\exists ys\ zs. xs = ys @ x \# zs \wedge x \notin \text{set } ys)$ 
by (auto dest!: split-list-first)

```

```

lemma split-list-last:  $x : \text{set } xs \implies \exists ys\ zs. xs = ys @ x \# zs \wedge x \notin \text{set } zs$ 
proof (induct xs rule: rev-induct)
  case Nil thus ?case by simp
next
  case (snoc a xs)
  show ?case
  proof cases
    assume  $x = a$  thus ?case using snoc by simp (metis ex-in-conv set-empty2)
  next
    assume  $x \neq a$  thus ?case using snoc by fastsimp
  qed
qed

```

```

lemma in-set-conv-decomp-last:
   $(x : \text{set } xs) = (\exists ys\ zs. xs = ys @ x \# zs \wedge x \notin \text{set } zs)$ 
by (auto dest!: split-list-last)

```

```

lemma split-list-prop:  $\exists x \in \text{set } xs. P\ x \implies \exists ys\ x\ zs. xs = ys @ x \# zs \ \& \ P\ x$ 
proof (induct xs)
  case Nil thus ?case by simp
next

```

```

    case Cons thus ?case
      by (simp add: Bex-def) (metis append-Cons append.simps(1))
qed

```

```

lemma split-list-propE:
  assumes  $\exists x \in \text{set } xs. P x$ 
  obtains  $ys\ x\ zs$  where  $xs = ys @ x \# zs$  and  $P x$ 
using split-list-prop [OF assms] by blast

```

```

lemma split-list-first-prop:
   $\exists x \in \text{set } xs. P x \implies$ 
   $\exists ys\ x\ zs. xs = ys @ x \# zs \wedge P x \wedge (\forall y \in \text{set } ys. \neg P y)$ 
proof (induct xs)
  case Nil thus ?case by simp
next
  case (Cons x xs)
  show ?case
  proof cases
    assume P x
    thus ?thesis by simp
    (metis Un-upper1 contra-subsetD in-set-conv-decomp-first self-append-conv2
    set-append)
  next
    assume  $\neg P x$ 
    hence  $\exists x \in \text{set } xs. P x$  using Cons(2) by simp
    thus ?thesis using  $\langle \neg P x \rangle$  Cons(1) by (metis append-Cons set-ConsD)
  qed
qed

```

```

lemma split-list-first-propE:
  assumes  $\exists x \in \text{set } xs. P x$ 
  obtains  $ys\ x\ zs$  where  $xs = ys @ x \# zs$  and  $P x$  and  $\forall y \in \text{set } ys. \neg P y$ 
using split-list-first-prop [OF assms] by blast

```

```

lemma split-list-first-prop-iff:
   $(\exists x \in \text{set } xs. P x) \longleftrightarrow$ 
   $(\exists ys\ x\ zs. xs = ys @ x \# zs \wedge P x \wedge (\forall y \in \text{set } ys. \neg P y))$ 
by (rule, erule split-list-first-prop) auto

```

```

lemma split-list-last-prop:
   $\exists x \in \text{set } xs. P x \implies$ 
   $\exists ys\ x\ zs. xs = ys @ x \# zs \wedge P x \wedge (\forall z \in \text{set } zs. \neg P z)$ 
proof (induct xs rule: rev-induct)
  case Nil thus ?case by simp
next
  case (snoc x xs)
  show ?case
  proof cases
    assume P x thus ?thesis by (metis emptyE set-empty)
  qed

```

```

next
  assume  $\neg P\ x$ 
  hence  $\exists x \in \text{set } xs. P\ x$  using snoc(2) by simp
  thus ?thesis using  $\langle \neg P\ x \rangle$  snoc(1) by fastsimp
qed
qed

```

**lemma** *split-list-last-propE*:  
 assumes  $\exists x \in \text{set } xs. P\ x$   
 obtains  $ys\ x\ zs$  where  $xs = ys @ x \# zs$  and  $P\ x$  and  $\forall z \in \text{set } zs. \neg P\ z$   
 using *split-list-last-prop* [*OF assms*] by *blast*

**lemma** *split-list-last-prop-iff*:  
 $(\exists x \in \text{set } xs. P\ x) \longleftrightarrow$   
 $(\exists ys\ x\ zs. xs = ys @ x \# zs \wedge P\ x \wedge (\forall z \in \text{set } zs. \neg P\ z))$   
 by (*metis split-list-last-prop* [where  $P=P$ ] *in-set-conv-decomp*)

**lemma** *finite-list*:  $\text{finite } A \implies \exists xs. \text{set } xs = A$   
 by (*erule finite-induct*)  
 (*auto simp add: set.simps(2)* [*symmetric*] *simp del: set.simps(2)*)

**lemma** *card-length*:  $\text{card } (\text{set } xs) \leq \text{length } xs$   
 by (*induct xs*) (*auto simp add: card-insert-if*)

**lemma** *set-minus-filter-out*:  
 $\text{set } xs - \{y\} = \text{set } (\text{filter } (\lambda x. \neg (x = y))\ xs)$   
 by (*induct xs*) *auto*

#### 40.1.8 filter

**lemma** *filter-append* [*simp*]:  $\text{filter } P\ (xs @ ys) = \text{filter } P\ xs @ \text{filter } P\ ys$   
 by (*induct xs*) *auto*

**lemma** *rev-filter*:  $\text{rev } (\text{filter } P\ xs) = \text{filter } P\ (\text{rev } xs)$   
 by (*induct xs*) *simp-all*

**lemma** *filter-filter* [*simp*]:  $\text{filter } P\ (\text{filter } Q\ xs) = \text{filter } (\lambda x. Q\ x \wedge P\ x)\ xs$   
 by (*induct xs*) *auto*

**lemma** *length-filter-le* [*simp*]:  $\text{length } (\text{filter } P\ xs) \leq \text{length } xs$   
 by (*induct xs*) (*auto simp add: le-SucI*)

**lemma** *sum-length-filter-compl*:  
 $\text{length}(\text{filter } P\ xs) + \text{length}(\text{filter } (\%x. \sim P\ x)\ xs) = \text{length } xs$   
 by(*induct xs*) *simp-all*

**lemma** *filter-True* [*simp*]:  $\forall x \in \text{set } xs. P\ x \implies \text{filter } P\ xs = xs$   
 by (*induct xs*) *auto*

**lemma** *filter-False* [*simp*]:  $\forall x \in \text{set } xs. \neg P x \implies \text{filter } P \text{ } xs = []$   
**by** (*induct xs*) *auto*

**lemma** *filter-empty-conv*:  $(\text{filter } P \text{ } xs = []) = (\forall x \in \text{set } xs. \neg P x)$   
**by** (*induct xs*) *simp-all*

**lemma** *filter-id-conv*:  $(\text{filter } P \text{ } xs = xs) = (\forall x \in \text{set } xs. P x)$   
**apply** (*induct xs*)  
**apply** *auto*  
**apply** (*cut-tac P=P and xs=xs in length-filter-le*)  
**apply** *simp*  
**done**

**lemma** *filter-map*:  
 $\text{filter } P (\text{map } f \text{ } xs) = \text{map } f (\text{filter } (P \circ f) \text{ } xs)$   
**by** (*induct xs*) *simp-all*

**lemma** *length-filter-map* [*simp*]:  
 $\text{length } (\text{filter } P (\text{map } f \text{ } xs)) = \text{length } (\text{filter } (P \circ f) \text{ } xs)$   
**by** (*simp add:filter-map*)

**lemma** *filter-is-subset* [*simp*]:  $\text{set } (\text{filter } P \text{ } xs) \leq \text{set } xs$   
**by** *auto*

**lemma** *length-filter-less*:  
 $\llbracket x : \text{set } xs; \sim P x \rrbracket \implies \text{length } (\text{filter } P \text{ } xs) < \text{length } xs$   
**proof** (*induct xs*)  
**case** *Nil* **thus** ?*case* **by** *simp*  
**next**  
**case** (*Cons x xs*) **thus** ?*case*  
**apply** (*auto split:split-if-asm*)  
**using** *length-filter-le[of P xs]* **apply** *arith*  
**done**  
**qed**

**lemma** *length-filter-conv-card*:  
 $\text{length } (\text{filter } p \text{ } xs) = \text{card} \{i. i < \text{length } xs \ \& \ p(xs!i)\}$   
**proof** (*induct xs*)  
**case** *Nil* **thus** ?*case* **by** *simp*  
**next**  
**case** (*Cons x xs*)  
**let** ?*S* =  $\{i. i < \text{length } xs \ \& \ p(xs!i)\}$   
**have** *fin*: *finite* ?*S* **by** (*fast intro: bounded-nat-set-is-finite*)  
**show** ?*case* (**is** ?*l* = *card* ?*S'*)  
**proof** (*cases*)  
**assume** *p x*  
**hence** *eq*: ?*S'* = *insert* 0 (*Suc* ‘ ?*S*)  
**by** (*auto simp: image-def split:nat.split dest:gr0-implies-Suc*)  
**have** *length* (*filter* *p* (*x # xs*)) = *Suc*(*card* ?*S*)

```

    using Cons ⟨p x⟩ by simp
    also have ... = Suc(card(Suc ‘ ?S)) using fin
    by (simp add: card-image inj-Suc)
    also have ... = card ?S' using eq fin
    by (simp add: card-insert-if) (simp add: image-def)
    finally show ?thesis .
next
  assume ¬ p x
  hence eq: ?S' = Suc ‘ ?S
    by (auto simp add: image-def split:nat.split elim:lessE)
  have length (filter p (x # xs)) = card ?S
    using Cons ⟨¬ p x⟩ by simp
  also have ... = card(Suc ‘ ?S) using fin
  by (simp add: card-image inj-Suc)
  also have ... = card ?S' using eq fin
  by (simp add: card-insert-if)
  finally show ?thesis .
qed
qed

lemma Cons-eq-filterD:
  x # xs = filter P ys ⟹
    ∃ us vs. ys = us @ x # vs ∧ (∀ u ∈ set us. ¬ P u) ∧ P x ∧ xs = filter P vs
    (is - ⟹ ∃ us vs. ?P ys us vs)
proof (induct ys)
  case Nil thus ?case by simp
next
  case (Cons y ys)
  show ?case (is ∃ x. ?Q x)
  proof cases
    assume Py: P y
    show ?thesis
    proof cases
      assume x = y
      with Py Cons.prem have ?Q [] by simp
      then show ?thesis ..
    next
      assume x ≠ y
      with Py Cons.prem show ?thesis by simp
    qed
  qed
next
  assume ¬ P y
  with Cons obtain us vs where ?P (y # ys) (y # us) vs by fastsimp
  then have ?Q (y # us) by simp
  then show ?thesis ..
qed
qed

lemma filter-eq-ConsD:

```

$filter\ P\ ys = x \# xs \implies$   
 $\exists us\ vs.\ ys = us @ x \# vs \wedge (\forall u \in set\ us.\ \neg P\ u) \wedge P\ x \wedge xs = filter\ P\ vs$   
**by**(rule Cons-eq-filterD) simp

**lemma** filter-eq-Cons-iff:  
 $(filter\ P\ ys = x \# xs) =$   
 $(\exists us\ vs.\ ys = us @ x \# vs \wedge (\forall u \in set\ us.\ \neg P\ u) \wedge P\ x \wedge xs = filter\ P\ vs)$   
**by**(auto dest:filter-eq-ConsD)

**lemma** Cons-eq-filter-iff:  
 $(x \# xs = filter\ P\ ys) =$   
 $(\exists us\ vs.\ ys = us @ x \# vs \wedge (\forall u \in set\ us.\ \neg P\ u) \wedge P\ x \wedge xs = filter\ P\ vs)$   
**by**(auto dest:Cons-eq-filterD)

**lemma** filter-cong[fundef-cong, recdef-cong]:  
 $xs = ys \implies (\bigwedge x.\ x \in set\ ys \implies P\ x = Q\ x) \implies filter\ P\ xs = filter\ Q\ ys$   
**apply** simp  
**apply**(erule thin-rl)  
**by** (induct ys) simp-all

#### 40.1.9 List partitioning

**primrec** partition :: ('a  $\Rightarrow$  bool)  $\Rightarrow$  'a list  $\Rightarrow$  'a list  $\times$  'a list **where**  
 $partition\ P\ [] = ([], [])$   
 $| partition\ P\ (x \# xs) =$   
 $(let\ (yes, no) = partition\ P\ xs$   
 $in\ if\ P\ x\ then\ (x \# yes, no)\ else\ (yes, x \# no))$

**lemma** partition-filter1:  
 $fst\ (partition\ P\ xs) = filter\ P\ xs$   
**by** (induct xs) (auto simp add: Let-def split-def)

**lemma** partition-filter2:  
 $snd\ (partition\ P\ xs) = filter\ (Not\ o\ P)\ xs$   
**by** (induct xs) (auto simp add: Let-def split-def)

**lemma** partition-P:  
**assumes** partition P xs = (yes, no)  
**shows**  $(\forall p \in set\ yes.\ P\ p) \wedge (\forall p \in set\ no.\ \neg P\ p)$   
**proof** –  
**from** assms **have** yes = fst (partition P xs) **and** no = snd (partition P xs)  
**by** simp-all  
**then show** ?thesis **by** (simp-all add: partition-filter1 partition-filter2)  
**qed**

**lemma** partition-set:  
**assumes** partition P xs = (yes, no)  
**shows**  $set\ yes \cup set\ no = set\ xs$   
**proof** –

```

from assms have yes = fst (partition P xs) and no = snd (partition P xs)
by simp-all
then show ?thesis by (auto simp add: partition-filter1 partition-filter2)
qed

```

#### 40.1.10 concat

```

lemma concat-append [simp]: concat (xs @ ys) = concat xs @ concat ys
by (induct xs) auto

```

```

lemma concat-eq-Nil-conv [simp]: (concat xss = []) = ( $\forall xs \in \text{set } xss. xs = []$ )
by (induct xss) auto

```

```

lemma Nil-eq-concat-conv [simp]: ([] = concat xss) = ( $\forall xs \in \text{set } xss. xs = []$ )
by (induct xss) auto

```

```

lemma set-concat [simp]: set (concat xs) = (UN x:set xs. set x)
by (induct xs) auto

```

```

lemma concat-map-singleton [simp]: concat (map (%x. [f x]) xs) = map f xs
by (induct xs) auto

```

```

lemma map-concat: map f (concat xs) = concat (map (map f) xs)
by (induct xs) auto

```

```

lemma filter-concat: filter p (concat xs) = concat (map (filter p) xs)
by (induct xs) auto

```

```

lemma rev-concat: rev (concat xs) = concat (map rev (rev xs))
by (induct xs) auto

```

#### 40.1.11 nth

```

lemma nth-Cons-0 [simp]: (x # xs)!0 = x
by auto

```

```

lemma nth-Cons-Suc [simp]: (x # xs)!(Suc n) = xs!n
by auto

```

```

declare nth.simps [simp del]

```

```

lemma nth-append:
  (xs @ ys)!n = (if n < length xs then xs!n else ys!(n - length xs))
apply (induct xs arbitrary: n, simp)
apply (case-tac n, auto)
done

```

```

lemma nth-append-length [simp]: (xs @ x # ys) ! length xs = x
by (induct xs) auto

```

**lemma** *nth-append-length-plus*[simp]:  $(xs @ ys) ! (length\ xs + n) = ys ! n$   
**by** (*induct xs*) *auto*

**lemma** *nth-map* [simp]:  $n < length\ xs \implies (map\ f\ xs)!n = f(xs!n)$   
**apply** (*induct xs arbitrary: n, simp*)  
**apply** (*case-tac n, auto*)  
**done**

**lemma** *hd-conv-nth*:  $xs \neq [] \implies hd\ xs = xs!0$   
**by**(*cases xs*) *simp-all*

**lemma** *list-eq-iff-nth-eq*:  
 $(xs = ys) = (length\ xs = length\ ys \wedge (ALL\ i < length\ xs. xs!i = ys!i))$   
**apply**(*induct xs arbitrary: ys*)  
**apply** *force*  
**apply**(*case-tac ys*)  
**apply** *simp*  
**apply**(*simp add:nth-Cons split:nat.split*)**apply** *blast*  
**done**

**lemma** *set-conv-nth*:  $set\ xs = \{xs!i \mid i. i < length\ xs\}$   
**apply** (*induct xs, simp, simp*)  
**apply** *safe*  
**apply** (*metis nat-case-0 nth.simps zero-less-Suc*)  
**apply** (*metis less-Suc-eq-0-disj nth-Cons-Suc*)  
**apply** (*case-tac i, simp*)  
**apply** (*metis diff-Suc-Suc nat-case-Suc nth.simps zero-less-diff*)  
**done**

**lemma** *in-set-conv-nth*:  $(x \in set\ xs) = (\exists i < length\ xs. xs!i = x)$   
**by**(*auto simp:set-conv-nth*)

**lemma** *list-ball-nth*:  $[| n < length\ xs; !x : set\ xs. P\ x |] \implies P(xs!n)$   
**by** (*auto simp add: set-conv-nth*)

**lemma** *nth-mem* [simp]:  $n < length\ xs \implies xs!n : set\ xs$   
**by** (*auto simp add: set-conv-nth*)

**lemma** *all-nth-imp-all-set*:  
 $[| !i < length\ xs. P(xs!i); x : set\ xs |] \implies P\ x$   
**by** (*auto simp add: set-conv-nth*)

**lemma** *all-set-conv-all-nth*:  
 $(\forall x \in set\ xs. P\ x) = (\forall i. i < length\ xs \longrightarrow P\ (xs ! i))$   
**by** (*auto simp add: set-conv-nth*)

**lemma** *rev-nth*:  
 $n < size\ xs \implies rev\ xs ! n = xs ! (length\ xs - Suc\ n)$



```

proof (induct xs arbitrary: n)
  case Nil thus ?case by simp
next
  case (Cons x xs)
  hence n: n < Suc (length xs) by simp
  moreover
  { assume n < length xs
    with n obtain n' where length xs - n = Suc n'
    by (cases length xs - n, auto)
    moreover
    then have length xs - Suc n = n' by simp
    ultimately
    have xs ! (length xs - Suc n) = (x # xs) ! (length xs - n) by simp
  }
  ultimately
  show ?case by (clarsimp simp add: Cons nth-append)
qed

```

#### 40.1.12 list-update

**lemma** length-list-update [simp]:  $\text{length}(xs[i:=x]) = \text{length } xs$   
**by** (induct xs arbitrary: i) (auto split: nat.split)

**lemma** nth-list-update:  
 $i < \text{length } xs \implies (xs[i:=x])!j = (\text{if } i = j \text{ then } x \text{ else } xs!j)$   
**by** (induct xs arbitrary: i j) (auto simp add: nth-Cons split: nat.split)

**lemma** nth-list-update-eq [simp]:  $i < \text{length } xs \implies (xs[i:=x])!i = x$   
**by** (simp add: nth-list-update)

**lemma** nth-list-update-neq [simp]:  $i \neq j \implies xs[i:=x]!j = xs!j$   
**by** (induct xs arbitrary: i j) (auto simp add: nth-Cons split: nat.split)

**lemma** list-update-id [simp]:  $xs[i := xs!i] = xs$   
**by** (induct xs arbitrary: i) (simp-all split: nat.splits)

**lemma** list-update-beyond [simp]:  $\text{length } xs \leq i \implies xs[i:=x] = xs$   
**apply** (induct xs arbitrary: i)  
**apply** simp  
**apply** (case-tac i)  
**apply** simp-all  
**done**

**lemma** list-update-same-conv:  
 $i < \text{length } xs \implies (xs[i := x] = xs) = (xs!i = x)$   
**by** (induct xs arbitrary: i) (auto split: nat.split)

**lemma** list-update-append1:  
 $i < \text{size } xs \implies (xs @ ys)[i:=x] = xs[i:=x] @ ys$

```

apply (induct xs arbitrary: i, simp)
apply (simp split:nat.split)
done

```

```

lemma list-update-append:
  (xs @ ys) [n:= x] =
    (if n < length xs then xs[n:= x] @ ys else xs @ (ys [n-length xs:= x]))
by (induct xs arbitrary: n) (auto split:nat.splits)

```

```

lemma list-update-length [simp]:
  (xs @ x # ys)[length xs := y] = (xs @ y # ys)
by (induct xs, auto)

```

```

lemma update-zip:
  length xs = length ys ==>
    (zip xs ys)[i:=xy] = zip (xs[i:=fst xy]) (ys[i:=snd xy])
by (induct ys arbitrary: i xy xs) (auto, case-tac xs, auto split: nat.split)

```

```

lemma set-update-subset-insert: set(xs[i:=x]) <= insert x (set xs)
by (induct xs arbitrary: i) (auto split: nat.split)

```

```

lemma set-update-subsetI: [ set xs <= A; x:A ] ==> set(xs[i := x]) <= A
by (blast dest!: set-update-subset-insert [THEN subsetD])

```

```

lemma set-update-memI: n < length xs ==> x ∈ set (xs[n := x])
by (induct xs arbitrary: n) (auto split:nat.splits)

```

```

lemma list-update-overwrite:
  xs [i := x, i := y] = xs [i := y]
apply (induct xs arbitrary: i)
apply simp
apply (case-tac i)
apply simp-all
done

```

```

lemma list-update-swap:
  i ≠ i' ==> xs [i := x, i' := x'] = xs [i' := x', i := x]
apply (induct xs arbitrary: i i')
apply simp
apply (case-tac i, case-tac i')
apply auto
apply (case-tac i')
apply auto
done

```

#### 40.1.13 last and butlast

```

lemma last-snoc [simp]: last (xs @ [x]) = x
by (induct xs) auto

```

**lemma** *butlast-snoc* [simp]:  $\text{butlast } (xs @ [x]) = xs$   
**by** (induct xs) auto

**lemma** *last-ConsL*:  $xs = [] \implies \text{last}(x \# xs) = x$   
**by** (simp add: last.simps)

**lemma** *last-ConsR*:  $xs \neq [] \implies \text{last}(x \# xs) = \text{last } xs$   
**by** (simp add: last.simps)

**lemma** *last-append*:  $\text{last}(xs @ ys) = (\text{if } ys = [] \text{ then } \text{last } xs \text{ else } \text{last } ys)$   
**by** (induct xs) (auto)

**lemma** *last-appendL* [simp]:  $ys = [] \implies \text{last}(xs @ ys) = \text{last } xs$   
**by** (simp add: last-append)

**lemma** *last-appendR* [simp]:  $ys \neq [] \implies \text{last}(xs @ ys) = \text{last } ys$   
**by** (simp add: last-append)

**lemma** *hd-rev*:  $xs \neq [] \implies \text{hd}(\text{rev } xs) = \text{last } xs$   
**by** (rule rev-exhaust[of xs]) simp-all

**lemma** *last-rev*:  $xs \neq [] \implies \text{last}(\text{rev } xs) = \text{hd } xs$   
**by** (cases xs) simp-all

**lemma** *last-in-set* [simp]:  $as \neq [] \implies \text{last } as \in \text{set } as$   
**by** (induct as) auto

**lemma** *length-butlast* [simp]:  $\text{length } (\text{butlast } xs) = \text{length } xs - 1$   
**by** (induct xs rule: rev-induct) auto

**lemma** *butlast-append*:  
 $\text{butlast } (xs @ ys) = (\text{if } ys = [] \text{ then } \text{butlast } xs \text{ else } xs @ \text{butlast } ys)$   
**by** (induct xs arbitrary: ys) auto

**lemma** *append-butlast-last-id* [simp]:  
 $xs \neq [] \implies \text{butlast } xs @ [\text{last } xs] = xs$   
**by** (induct xs) auto

**lemma** *in-set-butlastD*:  $x : \text{set } (\text{butlast } xs) \implies x : \text{set } xs$   
**by** (induct xs) (auto split: split-if-asm)

**lemma** *in-set-butlast-appendI*:  
 $x : \text{set } (\text{butlast } xs) \mid x : \text{set } (\text{butlast } ys) \implies x : \text{set } (\text{butlast } (xs @ ys))$   
**by** (auto dest: in-set-butlastD simp add: butlast-append)

**lemma** *last-drop* [simp]:  $n < \text{length } xs \implies \text{last } (\text{drop } n \text{ } xs) = \text{last } xs$   
**apply** (induct xs arbitrary: n)  
**apply** simp

**apply** (*auto split:nat.split*)  
**done**

**lemma** *last-conv-nth*:  $xs \neq [] \implies \text{last } xs = xs!(\text{length } xs - 1)$   
**by** (*induct xs*) (*auto simp: neq-Nil-conv*)

**lemma** *butlast-conv-take*:  $\text{butlast } xs = \text{take } (\text{length } xs - 1) \text{ } xs$   
**by** (*induct xs, simp, case-tac xs, simp-all*)

#### 40.1.14 take and drop

**lemma** *take-0* [*simp*]:  $\text{take } 0 \text{ } xs = []$   
**by** (*induct xs*) *auto*

**lemma** *drop-0* [*simp*]:  $\text{drop } 0 \text{ } xs = xs$   
**by** (*induct xs*) *auto*

**lemma** *take-Suc-Cons* [*simp*]:  $\text{take } (\text{Suc } n) (x \# xs) = x \# \text{take } n \text{ } xs$   
**by** *simp*

**lemma** *drop-Suc-Cons* [*simp*]:  $\text{drop } (\text{Suc } n) (x \# xs) = \text{drop } n \text{ } xs$   
**by** *simp*

**declare** *take-Cons* [*simp del*] **and** *drop-Cons* [*simp del*]

**lemma** *take-Suc*:  $xs \sim [] \implies \text{take } (\text{Suc } n) \text{ } xs = \text{hd } xs \# \text{take } n \text{ } (\text{tl } xs)$   
**by** (*clarsimp simp add: neq-Nil-conv*)

**lemma** *drop-Suc*:  $\text{drop } (\text{Suc } n) \text{ } xs = \text{drop } n \text{ } (\text{tl } xs)$   
**by** (*cases xs, simp-all*)

**lemma** *take-tl*:  $\text{take } n \text{ } (\text{tl } xs) = \text{tl } (\text{take } (\text{Suc } n) \text{ } xs)$   
**by** (*induct xs arbitrary: n*) *simp-all*

**lemma** *drop-tl*:  $\text{drop } n \text{ } (\text{tl } xs) = \text{tl } (\text{drop } n \text{ } xs)$   
**by** (*induct xs arbitrary: n, simp-all add: drop-Cons drop-Suc split:nat.split*)

**lemma** *tl-take*:  $\text{tl } (\text{take } n \text{ } xs) = \text{take } (n - 1) \text{ } (\text{tl } xs)$   
**by** (*cases n, simp, cases xs, auto*)

**lemma** *tl-drop*:  $\text{tl } (\text{drop } n \text{ } xs) = \text{drop } n \text{ } (\text{tl } xs)$   
**by** (*simp only: drop-tl*)

**lemma** *nth-via-drop*:  $\text{drop } n \text{ } xs = y \# ys \implies xs!n = y$   
**apply** (*induct xs arbitrary: n, simp*)  
**apply** (*simp add: drop-Cons nth-Cons split:nat.splits*)  
**done**

**lemma** *take-Suc-conv-app-nth*:

```

   $i < \text{length } xs \implies \text{take } (\text{Suc } i) \text{ } xs = \text{take } i \text{ } xs @ [xs!i]$ 
apply (induct xs arbitrary: i, simp)
apply (case-tac i, auto)
done

```

```

lemma drop-Suc-conv-tl:
   $i < \text{length } xs \implies (xs!i) \# (\text{drop } (\text{Suc } i) \text{ } xs) = \text{drop } i \text{ } xs$ 
apply (induct xs arbitrary: i, simp)
apply (case-tac i, auto)
done

```

```

lemma length-take [simp]:  $\text{length } (\text{take } n \text{ } xs) = \min (\text{length } xs) \ n$ 
by (induct n arbitrary: xs) (auto, case-tac xs, auto)

```

```

lemma length-drop [simp]:  $\text{length } (\text{drop } n \text{ } xs) = (\text{length } xs - n)$ 
by (induct n arbitrary: xs) (auto, case-tac xs, auto)

```

```

lemma take-all [simp]:  $\text{length } xs \leq n \implies \text{take } n \text{ } xs = xs$ 
by (induct n arbitrary: xs) (auto, case-tac xs, auto)

```

```

lemma drop-all [simp]:  $\text{length } xs \leq n \implies \text{drop } n \text{ } xs = []$ 
by (induct n arbitrary: xs) (auto, case-tac xs, auto)

```

```

lemma take-append [simp]:
   $\text{take } n \text{ } (xs @ ys) = (\text{take } n \text{ } xs @ \text{take } (n - \text{length } xs) \text{ } ys)$ 
by (induct n arbitrary: xs) (auto, case-tac xs, auto)

```

```

lemma drop-append [simp]:
   $\text{drop } n \text{ } (xs @ ys) = \text{drop } n \text{ } xs @ \text{drop } (n - \text{length } xs) \text{ } ys$ 
by (induct n arbitrary: xs) (auto, case-tac xs, auto)

```

```

lemma take-take [simp]:  $\text{take } n \text{ } (\text{take } m \text{ } xs) = \text{take } (\min n \ m) \text{ } xs$ 
apply (induct m arbitrary: xs n, auto)
apply (case-tac xs, auto)
apply (case-tac n, auto)
done

```

```

lemma drop-drop [simp]:  $\text{drop } n \text{ } (\text{drop } m \text{ } xs) = \text{drop } (n + m) \text{ } xs$ 
apply (induct m arbitrary: xs, auto)
apply (case-tac xs, auto)
done

```

```

lemma take-drop:  $\text{take } n \text{ } (\text{drop } m \text{ } xs) = \text{drop } m \text{ } (\text{take } (n + m) \text{ } xs)$ 
apply (induct m arbitrary: xs n, auto)
apply (case-tac xs, auto)
done

```

```

lemma drop-take:  $\text{drop } n \text{ } (\text{take } m \text{ } xs) = \text{take } (m - n) \text{ } (\text{drop } n \text{ } xs)$ 
apply (induct xs arbitrary: m n)

```

```

apply simp
apply(simp add: take-Cons drop-Cons split:nat.split)
done

```

```

lemma append-take-drop-id [simp]: take n xs @ drop n xs = xs
apply (induct n arbitrary: xs, auto)
apply (case-tac xs, auto)
done

```

```

lemma take-eq-Nil[simp]: (take n xs = []) = (n = 0 ∨ xs = [])
apply(induct xs arbitrary: n)
apply simp
apply(simp add:take-Cons split:nat.split)
done

```

```

lemma drop-eq-Nil[simp]: (drop n xs = []) = (length xs <= n)
apply(induct xs arbitrary: n)
apply simp
apply(simp add:drop-Cons split:nat.split)
done

```

```

lemma take-map: take n (map f xs) = map f (take n xs)
apply (induct n arbitrary: xs, auto)
apply (case-tac xs, auto)
done

```

```

lemma drop-map: drop n (map f xs) = map f (drop n xs)
apply (induct n arbitrary: xs, auto)
apply (case-tac xs, auto)
done

```

```

lemma rev-take: rev (take i xs) = drop (length xs - i) (rev xs)
apply (induct xs arbitrary: i, auto)
apply (case-tac i, auto)
done

```

```

lemma rev-drop: rev (drop i xs) = take (length xs - i) (rev xs)
apply (induct xs arbitrary: i, auto)
apply (case-tac i, auto)
done

```

```

lemma nth-take [simp]: i < n ==> (take n xs)!i = xs!i
apply (induct xs arbitrary: i n, auto)
apply (case-tac n, blast)
apply (case-tac i, auto)
done

```

```

lemma nth-drop [simp]:
  n + i <= length xs ==> (drop n xs)!i = xs!(n + i)

```

```

apply (induct n arbitrary: xs i, auto)
apply (case-tac xs, auto)
done

```

```

lemma butlast-take:
  n <= length xs ==> butlast (take n xs) = take (n - 1) xs
by (simp add: butlast-conv-take min-max.inf-absorb1 min-max.inf-absorb2)

```

```

lemma butlast-drop: butlast (drop n xs) = drop n (butlast xs)
by (simp add: butlast-conv-take drop-take)

```

```

lemma take-butlast: n < length xs ==> take n (butlast xs) = take n xs
by (simp add: butlast-conv-take min-max.inf-absorb1)

```

```

lemma drop-butlast: drop n (butlast xs) = butlast (drop n xs)
by (simp add: butlast-conv-take drop-take)

```

```

lemma hd-drop-conv-nth: [ xs ≠ []; n < length xs ] ==> hd(drop n xs) = xs!n
by(simp add: hd-conv-nth)

```

```

lemma set-take-subset: set(take n xs) ⊆ set xs
by(induct xs arbitrary: n)(auto simp:take-Cons split:nat.split)

```

```

lemma set-drop-subset: set(drop n xs) ⊆ set xs
by(induct xs arbitrary: n)(auto simp:drop-Cons split:nat.split)

```

```

lemma in-set-takeD: x : set(take n xs) ==> x : set xs
using set-take-subset by fast

```

```

lemma in-set-dropD: x : set(drop n xs) ==> x : set xs
using set-drop-subset by fast

```

```

lemma append-eq-conv-conj:
  (xs @ ys = zs) = (xs = take (length xs) zs ∧ ys = drop (length xs) zs)
apply (induct xs arbitrary: zs, simp, clarsimp)
apply (case-tac zs, auto)
done

```

```

lemma take-add:
  i+j ≤ length(xs) ==> take (i+j) xs = take i xs @ take j (drop i xs)
apply (induct xs arbitrary: i, auto)
apply (case-tac i, simp-all)
done

```

```

lemma append-eq-append-conv-if:
  (xs1 @ xs2 = ys1 @ ys2) =
  (if size xs1 ≤ size ys1
   then xs1 = take (size xs1) ys1 ∧ xs2 = drop (size xs1) ys1 @ ys2
   else take (size ys1) xs1 = ys1 ∧ drop (size ys1) xs1 @ xs2 = ys2)

```

```

apply(induct  $xs_1$  arbitrary:  $ys_1$ )
  apply simp
apply(case-tac  $ys_1$ )
apply simp-all
done

```

```

lemma take-hd-drop:
   $n < \text{length } xs \implies \text{take } n \text{ } xs @ [\text{hd } (\text{drop } n \text{ } xs)] = \text{take } (n+1) \text{ } xs$ 
apply(induct  $xs$  arbitrary:  $n$ )
apply simp
apply(simp add:drop-Cons split:nat.split)
done

```

```

lemma id-take-nth-drop:
   $i < \text{length } xs \implies xs = \text{take } i \text{ } xs @ xs!i \# \text{drop } (\text{Suc } i) \text{ } xs$ 
proof –
  assume  $si$ :  $i < \text{length } xs$ 
  hence  $xs = \text{take } (\text{Suc } i) \text{ } xs @ \text{drop } (\text{Suc } i) \text{ } xs$  by auto
  moreover
  from  $si$  have  $\text{take } (\text{Suc } i) \text{ } xs = \text{take } i \text{ } xs @ [xs!i]$ 
  apply (rule-tac take-Suc-conv-app-nth) by arith
  ultimately show ?thesis by auto
qed

```

```

lemma upd-conv-take-nth-drop:
   $i < \text{length } xs \implies xs[i:=a] = \text{take } i \text{ } xs @ a \# \text{drop } (\text{Suc } i) \text{ } xs$ 
proof –
  assume  $i$ :  $i < \text{length } xs$ 
  have  $xs[i:=a] = (\text{take } i \text{ } xs @ xs!i \# \text{drop } (\text{Suc } i) \text{ } xs)[i:=a]$ 
  by(rule arg-cong[OF id-take-nth-drop[OF  $i$ ]])
  also have  $\dots = \text{take } i \text{ } xs @ a \# \text{drop } (\text{Suc } i) \text{ } xs$ 
  using  $i$  by (simp add: list-update-append)
  finally show ?thesis .
qed

```

```

lemma nth-drop':
   $i < \text{length } xs \implies xs ! i \# \text{drop } (\text{Suc } i) \text{ } xs = \text{drop } i \text{ } xs$ 
apply (induct  $i$  arbitrary:  $xs$ )
apply (simp add: neq-Nil-conv)
apply (erule exE)+
apply simp
apply (case-tac  $xs$ )
apply simp-all
done

```

#### 40.1.15 *takeWhile* and *dropWhile*

```

lemma takeWhile-dropWhile-id [simp]:  $\text{takeWhile } P \text{ } xs @ \text{dropWhile } P \text{ } xs = xs$ 
by (induct  $xs$ ) auto

```



**lemma** *takeWhile-append1* [simp]:

$\llbracket x : \text{set } xs; \sim P(x) \rrbracket \implies \text{takeWhile } P \ (xs @ ys) = \text{takeWhile } P \ xs$

**by** (induct xs) auto

**lemma** *takeWhile-append2* [simp]:

$(\llbracket x : \text{set } xs \implies P \ x \rrbracket \implies \text{takeWhile } P \ (xs @ ys) = xs @ \text{takeWhile } P \ ys$

**by** (induct xs) auto

**lemma** *takeWhile-tail*:  $\neg P \ x \implies \text{takeWhile } P \ (xs @ (x \# l)) = \text{takeWhile } P \ xs$

**by** (induct xs) auto

**lemma** *dropWhile-append1* [simp]:

$\llbracket x : \text{set } xs; \sim P(x) \rrbracket \implies \text{dropWhile } P \ (xs @ ys) = (\text{dropWhile } P \ xs) @ ys$

**by** (induct xs) auto

**lemma** *dropWhile-append2* [simp]:

$(\llbracket x : \text{set } xs \implies P(x) \rrbracket \implies \text{dropWhile } P \ (xs @ ys) = \text{dropWhile } P \ ys$

**by** (induct xs) auto

**lemma** *set-takeWhileD*:  $x : \text{set } (\text{takeWhile } P \ xs) \implies x : \text{set } xs \wedge P \ x$

**by** (induct xs) (auto split: split-if-asm)

**lemma** *takeWhile-eq-all-conv*[simp]:

$(\text{takeWhile } P \ xs = xs) = (\forall x \in \text{set } xs. P \ x)$

**by**(induct xs, auto)

**lemma** *dropWhile-eq-Nil-conv*[simp]:

$(\text{dropWhile } P \ xs = []) = (\forall x \in \text{set } xs. P \ x)$

**by**(induct xs, auto)

**lemma** *dropWhile-eq-Cons-conv*:

$(\text{dropWhile } P \ xs = y \# ys) = (xs = \text{takeWhile } P \ xs @ y \# ys \ \& \ \neg P \ y)$

**by**(induct xs, auto)

The following two lemmas could be generalized to an arbitrary property.

**lemma** *takeWhile-neq-rev*:  $\llbracket \text{distinct } xs; x \in \text{set } xs \rrbracket \implies$

$\text{takeWhile } (\lambda y. y \neq x) \ (\text{rev } xs) = \text{rev } (\text{tl } (\text{dropWhile } (\lambda y. y \neq x) \ xs))$

**by**(induct xs) (auto simp: takeWhile-tail[where l=[]])

**lemma** *dropWhile-neq-rev*:  $\llbracket \text{distinct } xs; x \in \text{set } xs \rrbracket \implies$

$\text{dropWhile } (\lambda y. y \neq x) \ (\text{rev } xs) = x \# \text{rev } (\text{takeWhile } (\lambda y. y \neq x) \ xs)$

**apply**(induct xs)

**apply** simp

**apply** auto

**apply**(subst dropWhile-append2)

**apply** auto

**done**

**lemma** *takeWhile-not-last*:  
 $\llbracket xs \neq []; \text{distinct } xs \rrbracket \implies \text{takeWhile } (\lambda y. y \neq \text{last } xs) \text{ } xs = \text{butlast } xs$   
**apply** (*induct xs*)  
**apply** *simp*  
**apply** (*case-tac xs*)  
**apply** (*auto*)  
**done**

**lemma** *takeWhile-cong* [*fundef-cong, recdef-cong*]:  
 $\llbracket l = k; \forall x. x : \text{set } l \implies P x = Q x \rrbracket$   
 $\implies \text{takeWhile } P \text{ } l = \text{takeWhile } Q \text{ } k$   
**by** (*induct k arbitrary: l*) (*simp-all*)

**lemma** *dropWhile-cong* [*fundef-cong, recdef-cong*]:  
 $\llbracket l = k; \forall x. x : \text{set } l \implies P x = Q x \rrbracket$   
 $\implies \text{dropWhile } P \text{ } l = \text{dropWhile } Q \text{ } k$   
**by** (*induct k arbitrary: l, simp-all*)

#### 40.1.16 *zip*

**lemma** *zip-Nil* [*simp*]:  $\text{zip } [] \text{ } ys = []$   
**by** (*induct ys*) *auto*

**lemma** *zip-Cons-Cons* [*simp*]:  $\text{zip } (x \# xs) \text{ } (y \# ys) = (x, y) \# \text{zip } xs \text{ } ys$   
**by** *simp*

**declare** *zip-Cons* [*simp del*]

**lemma** *zip-Cons1*:  
 $\text{zip } (x \# xs) \text{ } ys = (\text{case } ys \text{ of } [] \Rightarrow [] \mid y \# ys \Rightarrow (x, y) \# \text{zip } xs \text{ } ys)$   
**by** (*auto split:list.split*)

**lemma** *length-zip* [*simp*]:  
 $\text{length } (\text{zip } xs \text{ } ys) = \min (\text{length } xs) (\text{length } ys)$   
**by** (*induct xs ys rule:list-induct2'*) *auto*

**lemma** *zip-append1*:  
 $\text{zip } (xs @ ys) \text{ } zs =$   
 $\text{zip } xs \text{ } (\text{take } (\text{length } xs) \text{ } zs) @ \text{zip } ys \text{ } (\text{drop } (\text{length } xs) \text{ } zs)$   
**by** (*induct xs zs rule:list-induct2'*) *auto*

**lemma** *zip-append2*:  
 $\text{zip } xs \text{ } (ys @ zs) =$   
 $\text{zip } (\text{take } (\text{length } ys) \text{ } xs) \text{ } ys @ \text{zip } (\text{drop } (\text{length } ys) \text{ } xs) \text{ } zs$   
**by** (*induct xs ys rule:list-induct2'*) *auto*

**lemma** *zip-append* [*simp*]:  
 $\llbracket \text{length } xs = \text{length } us; \text{length } ys = \text{length } vs \rrbracket \implies$   
 $\text{zip } (xs @ ys) \text{ } (us @ vs) = \text{zip } xs \text{ } us @ \text{zip } ys \text{ } vs$

**by** (*simp add: zip-append1*)

**lemma** *zip-rev*:

$\text{length } xs = \text{length } ys \implies \text{zip } (\text{rev } xs) (\text{rev } ys) = \text{rev } (\text{zip } xs \ ys)$

**by** (*induct rule:list-induct2, simp-all*)

**lemma** *map-zip-map*:

$\text{map } f \ (\text{zip } (\text{map } g \ xs) \ ys) = \text{map } (\lambda(x,y). f(g \ x, \ y)) \ (\text{zip } xs \ ys)$

**apply** (*induct xs arbitrary:ys*) **apply** *simp*

**apply** (*case-tac ys*)

**apply** *simp-all*

**done**

**lemma** *map-zip-map2*:

$\text{map } f \ (\text{zip } xs \ (\text{map } g \ ys)) = \text{map } (\lambda(x,y). f(x, \ g \ y)) \ (\text{zip } xs \ ys)$

**apply** (*induct xs arbitrary:ys*) **apply** *simp*

**apply** (*case-tac ys*)

**apply** *simp-all*

**done**

**lemma** *nth-zip* [*simp*]:

$[i < \text{length } xs; i < \text{length } ys] \implies (\text{zip } xs \ ys)!i = (xs!i, \ ys!i)$

**apply** (*induct ys arbitrary: i xs, simp*)

**apply** (*case-tac xs*)

**apply** (*simp-all add: nth.simps split: nat.split*)

**done**

**lemma** *set-zip*:

$\text{set } (\text{zip } xs \ ys) = \{(xs!i, \ ys!i) \mid i. i < \min (\text{length } xs) (\text{length } ys)\}$

**by** (*simp add: set-conv-nth cong: rev-conj-cong*)

**lemma** *zip-update*:

$\text{length } xs = \text{length } ys \implies \text{zip } (xs[i:=x]) \ (ys[i:=y]) = (\text{zip } xs \ ys)[i:=(x,y)]$

**by** (*rule sym, simp add: update-zip*)

**lemma** *zip-replicate* [*simp*]:

$\text{zip } (\text{replicate } i \ x) \ (\text{replicate } j \ y) = \text{replicate } (\min \ i \ j) \ (x,y)$

**apply** (*induct i arbitrary: j, auto*)

**apply** (*case-tac j, auto*)

**done**

**lemma** *take-zip*:

$\text{take } n \ (\text{zip } xs \ ys) = \text{zip } (\text{take } n \ xs) \ (\text{take } n \ ys)$

**apply** (*induct n arbitrary: xs ys*)

**apply** *simp*

**apply** (*case-tac xs, simp*)

**apply** (*case-tac ys, simp-all*)

**done**

**lemma** *drop-zip*:  
 $\text{drop } n \text{ (zip } xs \text{ } ys) = \text{zip (drop } n \text{ } xs) \text{ (drop } n \text{ } ys)$   
**apply** (*induct* *n* *arbitrary*: *xs* *ys*)  
**apply** *simp*  
**apply** (*case-tac* *xs*, *simp*)  
**apply** (*case-tac* *ys*, *simp-all*)  
**done**

**lemma** *set-zip-leftD*:  
 $(x,y) \in \text{set (zip } xs \text{ } ys) \implies x \in \text{set } xs$   
**by** (*induct* *xs* *ys* *rule*:*list-induct2'*) *auto*

**lemma** *set-zip-rightD*:  
 $(x,y) \in \text{set (zip } xs \text{ } ys) \implies y \in \text{set } ys$   
**by** (*induct* *xs* *ys* *rule*:*list-induct2'*) *auto*

**lemma** *in-set-zipE*:  
 $(x,y) : \text{set (zip } xs \text{ } ys) \implies (\llbracket x : \text{set } xs; y : \text{set } ys \rrbracket \implies R) \implies R$   
**by**(*blast* *dest*: *set-zip-leftD* *set-zip-rightD*)

#### 40.1.17 *list-all2*

**lemma** *list-all2-lengthD* [*intro?*]:  
 $\text{list-all2 } P \text{ } xs \text{ } ys \implies \text{length } xs = \text{length } ys$   
**by** (*simp* *add*: *list-all2-def*)

**lemma** *list-all2-Nil* [*iff*, *code*]:  $\text{list-all2 } P \text{ [] } ys = (ys = [])$   
**by** (*simp* *add*: *list-all2-def*)

**lemma** *list-all2-Nil2* [*iff*, *code*]:  $\text{list-all2 } P \text{ } xs \text{ []} = (xs = [])$   
**by** (*simp* *add*: *list-all2-def*)

**lemma** *list-all2-Cons* [*iff*, *code*]:  
 $\text{list-all2 } P \text{ (} x \# xs \text{) (} y \# ys \text{) = (} P \text{ } x \text{ } y \wedge \text{list-all2 } P \text{ } xs \text{ } ys \text{)}$   
**by** (*auto* *simp* *add*: *list-all2-def*)

**lemma** *list-all2-Cons1*:  
 $\text{list-all2 } P \text{ (} x \# xs \text{) } ys = (\exists z \text{ } zs. ys = z \# zs \wedge P \text{ } x \text{ } z \wedge \text{list-all2 } P \text{ } xs \text{ } zs)$   
**by** (*cases* *ys*) *auto*

**lemma** *list-all2-Cons2*:  
 $\text{list-all2 } P \text{ } xs \text{ (} y \# ys \text{) = (\exists z \text{ } zs. xs = z \# zs \wedge P \text{ } z \text{ } y \wedge \text{list-all2 } P \text{ } zs \text{ } ys)$   
**by** (*cases* *xs*) *auto*

**lemma** *list-all2-rev* [*iff*]:  
 $\text{list-all2 } P \text{ (rev } xs \text{) (rev } ys \text{) = list-all2 } P \text{ } xs \text{ } ys$   
**by** (*simp* *add*: *list-all2-def* *zip-rev* *cong*: *conj-cong*)

**lemma** *list-all2-rev1*:

*list-all2*  $P$  (*rev*  $xs$ )  $ys$  = *list-all2*  $P$   $xs$  (*rev*  $ys$ )  
**by** (*subst list-all2-rev [symmetric]*) *simp*

**lemma** *list-all2-append1*:  
*list-all2*  $P$  ( $xs @ ys$ )  $zs$  =  
 (*EX*  $us\ vs.\ zs = us @ vs \wedge \text{length } us = \text{length } xs \wedge \text{length } vs = \text{length } ys \wedge$   
*list-all2*  $P$   $xs\ us \wedge \text{list-all2 } P\ ys\ vs$ )  
**apply** (*simp add: list-all2-def zip-append1*)  
**apply** (*rule iffI*)  
**apply** (*rule-tac x = take (length xs) zs in exI*)  
**apply** (*rule-tac x = drop (length xs) zs in exI*)  
**apply** (*force split: nat-diff-split simp add: min-def, clarify*)  
**apply** (*simp add: ball-Un*)  
**done**

**lemma** *list-all2-append2*:  
*list-all2*  $P$   $xs$  ( $ys @ zs$ ) =  
 (*EX*  $us\ vs.\ xs = us @ vs \wedge \text{length } us = \text{length } ys \wedge \text{length } vs = \text{length } zs \wedge$   
*list-all2*  $P\ us\ ys \wedge \text{list-all2 } P\ vs\ zs$ )  
**apply** (*simp add: list-all2-def zip-append2*)  
**apply** (*rule iffI*)  
**apply** (*rule-tac x = take (length ys) xs in exI*)  
**apply** (*rule-tac x = drop (length ys) xs in exI*)  
**apply** (*force split: nat-diff-split simp add: min-def, clarify*)  
**apply** (*simp add: ball-Un*)  
**done**

**lemma** *list-all2-append*:  
 $\text{length } xs = \text{length } ys \implies$   
 $\text{list-all2 } P\ (xs@us)\ (ys@vs) = (\text{list-all2 } P\ xs\ ys \wedge \text{list-all2 } P\ us\ vs)$   
**by** (*induct rule:list-induct2, simp-all*)

**lemma** *list-all2-appendI* [*intro?*, *trans*]:  
 $\llbracket \text{list-all2 } P\ a\ b; \text{list-all2 } P\ c\ d \rrbracket \implies \text{list-all2 } P\ (a@c)\ (b@d)$   
**by** (*simp add: list-all2-append list-all2-lengthD*)

**lemma** *list-all2-conv-all-nth*:  
*list-all2*  $P$   $xs$   $ys$  =  
 ( $\text{length } xs = \text{length } ys \wedge (\forall i < \text{length } xs.\ P\ (xs!i)\ (ys!i))$ )  
**by** (*force simp add: list-all2-def set-zip*)

**lemma** *list-all2-trans*:  
**assumes** *tr*:  $!!a\ b\ c.\ P1\ a\ b \implies P2\ b\ c \implies P3\ a\ c$   
**shows**  $!!bs\ cs.\ \text{list-all2 } P1\ as\ bs \implies \text{list-all2 } P2\ bs\ cs \implies \text{list-all2 } P3\ as\ cs$   
 (**is**  $!!bs\ cs.\ PROP\ ?Q\ as\ bs\ cs$ )  
**proof** (*induct as*)  
**fix**  $x\ xs\ bs$  **assume** *I1*:  $!!bs\ cs.\ PROP\ ?Q\ xs\ bs\ cs$   
**show**  $!!cs.\ PROP\ ?Q\ (x \# xs)\ bs\ cs$   
**proof** (*induct bs*)

```

fix y ys cs assume I2: !!cs. PROP ?Q (x # xs) ys cs
show PROP ?Q (x # xs) (y # ys) cs
  by (induct cs) (auto intro: tr I1 I2)
qed simp
qed simp

```

```

lemma list-all2-all-nthI [intro?]:
  length a = length b  $\implies$  ( $\bigwedge n. n < \text{length } a \implies P (a!n) (b!n)$ )  $\implies$  list-all2 P a b
by (simp add: list-all2-conv-all-nth)

```

```

lemma list-all2I:
   $\forall x \in \text{set } (\text{zip } a \ b). \text{split } P \ x \implies \text{length } a = \text{length } b \implies \text{list-all2 } P \ a \ b$ 
by (simp add: list-all2-def)

```

```

lemma list-all2-nthD:
   $\llbracket \text{list-all2 } P \ xs \ ys; p < \text{size } xs \rrbracket \implies P (xs!p) (ys!p)$ 
by (simp add: list-all2-conv-all-nth)

```

```

lemma list-all2-nthD2:
   $\llbracket \text{list-all2 } P \ xs \ ys; p < \text{size } ys \rrbracket \implies P (xs!p) (ys!p)$ 
by (frule list-all2-lengthD) (auto intro: list-all2-nthD)

```

```

lemma list-all2-map1:
  list-all2 P (map f as) bs = list-all2 ( $\lambda x \ y. P (f \ x) \ y$ ) as bs
by (simp add: list-all2-conv-all-nth)

```

```

lemma list-all2-map2:
  list-all2 P as (map f bs) = list-all2 ( $\lambda x \ y. P \ x \ (f \ y)$ ) as bs
by (auto simp add: list-all2-conv-all-nth)

```

```

lemma list-all2-refl [intro?]:
  ( $\bigwedge x. P \ x \ x$ )  $\implies$  list-all2 P xs xs
by (simp add: list-all2-conv-all-nth)

```

```

lemma list-all2-update-cong:
   $\llbracket i < \text{size } xs; \text{list-all2 } P \ xs \ ys; P \ x \ y \rrbracket \implies \text{list-all2 } P (xs[i:=x]) (ys[i:=y])$ 
by (simp add: list-all2-conv-all-nth nth-list-update)

```

```

lemma list-all2-update-cong2:
   $\llbracket \text{list-all2 } P \ xs \ ys; P \ x \ y; i < \text{length } ys \rrbracket \implies \text{list-all2 } P (xs[i:=x]) (ys[i:=y])$ 
by (simp add: list-all2-lengthD list-all2-update-cong)

```

```

lemma list-all2-takeI [simp,intro?]:
  list-all2 P xs ys  $\implies$  list-all2 P (take n xs) (take n ys)
apply (induct xs arbitrary: n ys)
apply simp
apply (clarsimp simp add: list-all2-Cons1)
apply (case-tac n)
apply auto

```

done

**lemma** *list-all2-dropI* [*simp,intro?*]:  
 $list-all2\ P\ as\ bs \implies list-all2\ P\ (drop\ n\ as)\ (drop\ n\ bs)$   
**apply** (*induct as arbitrary: n bs, simp*)  
**apply** (*clarsimp simp add: list-all2-Cons1*)  
**apply** (*case-tac n, simp, simp*)  
done

**lemma** *list-all2-mono* [*intro?*]:  
 $list-all2\ P\ xs\ ys \implies (\bigwedge xs\ ys. P\ xs\ ys \implies Q\ xs\ ys) \implies list-all2\ Q\ xs\ ys$   
**apply** (*induct xs arbitrary: ys, simp*)  
**apply** (*case-tac ys, auto*)  
done

**lemma** *list-all2-eq*:  
 $xs = ys \longleftrightarrow list-all2\ (op =)\ xs\ ys$   
**by** (*induct xs ys rule: list-induct2' auto*)

#### 40.1.18 foldl and foldr

**lemma** *foldl-append* [*simp*]:  
 $foldl\ f\ a\ (xs\ @\ ys) = foldl\ f\ (foldl\ f\ a\ xs)\ ys$   
**by** (*induct xs arbitrary: a auto*)

**lemma** *foldr-append*[*simp*]:  $foldr\ f\ (xs\ @\ ys)\ a = foldr\ f\ xs\ (foldr\ f\ ys\ a)$   
**by** (*induct xs auto*)

**lemma** *foldr-map*:  $foldr\ g\ (map\ f\ xs)\ a = foldr\ (g\ o\ f)\ xs\ a$   
**by**(*induct xs simp-all*)

For efficient code generation: avoid intermediate list.

**lemma** *foldl-map*[*code unfold*]:  
 $foldl\ g\ a\ (map\ f\ xs) = foldl\ (\%a\ x. g\ a\ (f\ x))\ a\ xs$   
**by**(*induct xs arbitrary:a simp-all*)

**lemma** *foldl-cong* [*fundef-cong, recdef-cong*]:  
 $[| a = b; l = k; !!a\ x. x : set\ l \implies f\ a\ x = g\ a\ x |]$   
 $\implies foldl\ f\ a\ l = foldl\ g\ b\ k$   
**by** (*induct k arbitrary: a b l simp-all*)

**lemma** *foldr-cong* [*fundef-cong, recdef-cong*]:  
 $[| a = b; l = k; !!a\ x. x : set\ l \implies f\ x\ a = g\ x\ a |]$   
 $\implies foldr\ f\ l\ a = foldr\ g\ k\ b$   
**by** (*induct k arbitrary: a b l simp-all*)

**lemma** (*in semigroup-add*) *foldl-assoc*:  
**shows**  $foldl\ op\ +\ (x+y)\ zs = x + (foldl\ op\ +\ y\ zs)$   
**by** (*induct zs arbitrary: y (simp-all add:add-assoc)*)

**lemma** (in monoid-add) foldl-absorb0:  
**shows**  $x + (\text{foldl } \text{op} + 0 \text{ } zs) = \text{foldl } \text{op} + x \text{ } zs$   
**by** (induct zs) (simp-all add:foldl-assoc)

The “First Duality Theorem” in Bird & Wadler:

**lemma** foldl-foldr1-lemma:  
 $\text{foldl } \text{op} + a \text{ } xs = a + \text{foldr } \text{op} + xs \text{ } (0::'a::\text{monoid-add})$   
**by** (induct xs arbitrary: a) (auto simp:add-assoc)

**corollary** foldl-foldr1:  
 $\text{foldl } \text{op} + 0 \text{ } xs = \text{foldr } \text{op} + xs \text{ } (0::'a::\text{monoid-add})$   
**by** (simp add:foldl-foldr1-lemma)

The “Third Duality Theorem” in Bird & Wadler:

**lemma** foldr-foldl:  $\text{foldr } f \text{ } xs \text{ } a = \text{foldl } (\%x \text{ } y. f \text{ } y \text{ } x) \text{ } a \text{ } (\text{rev } xs)$   
**by** (induct xs) auto

**lemma** foldl-foldr:  $\text{foldl } f \text{ } a \text{ } xs = \text{foldr } (\%x \text{ } y. f \text{ } y \text{ } x) \text{ } (\text{rev } xs) \text{ } a$   
**by** (simp add: foldr-foldl [of  $\%x \text{ } y. f \text{ } y \text{ } x \text{ } \text{rev } xs$ ])

**lemma** (in ab-semigroup-add) foldr-conv-foldl:  $\text{foldr } \text{op} + xs \text{ } a = \text{foldl } \text{op} + a \text{ } xs$   
**by** (induct xs, auto simp add: foldl-assoc add-commute)

Note:  $n \leq \text{foldl } (\text{op} +) \text{ } n \text{ } ns$  looks simpler, but is more difficult to use because it requires an additional transitivity step.

**lemma** start-le-sum:  $(m::\text{nat}) \leq n \implies m \leq \text{foldl } (\text{op} +) \text{ } n \text{ } ns$   
**by** (induct ns arbitrary: n) auto

**lemma** elem-le-sum:  $(n::\text{nat}) : \text{set } ns \implies n \leq \text{foldl } (\text{op} +) \text{ } 0 \text{ } ns$   
**by** (force intro: start-le-sum simp add: in-set-conv-decomp)

**lemma** sum-eq-0-conv [iff]:  
 $(\text{foldl } (\text{op} +) \text{ } (m::\text{nat}) \text{ } ns = 0) = (m = 0 \wedge (\forall n \in \text{set } ns. n = 0))$   
**by** (induct ns arbitrary: m) auto

**lemma** foldr-invariant:  
 $\llbracket Q \text{ } x ; \forall x \in \text{set } xs. P \text{ } x ; \forall x \text{ } y. P \text{ } x \wedge Q \text{ } y \longrightarrow Q \text{ } (f \text{ } x \text{ } y) \rrbracket \implies Q \text{ } (\text{foldr } f \text{ } xs \text{ } x)$   
**by** (induct xs, simp-all)

**lemma** foldl-invariant:  
 $\llbracket Q \text{ } x ; \forall x \in \text{set } xs. P \text{ } x ; \forall x \text{ } y. P \text{ } x \wedge Q \text{ } y \longrightarrow Q \text{ } (f \text{ } y \text{ } x) \rrbracket \implies Q \text{ } (\text{foldl } f \text{ } x \text{ } xs)$   
**by** (induct xs arbitrary: x, simp-all)

*foldl* and *concat*

**lemma** concat-conv-foldl:  $\text{concat } xss = \text{foldl } \text{op}@ \text{ } [] \text{ } xss$   
**by** (induct xss) (simp-all add:monoid-append.foldl-absorb0)



**lemma** *foldl-conv-concat*:  
 $\text{foldl } (op \ @) \ xs \ xxs = xs \ @ \ (\text{concat } xxs)$   
**by** (*simp add:concat-conv-foldl monoid-append.foldl-absorb0*)

#### 40.1.19 List summation: *listsum* and $\sum$

**lemma** *listsum-append* [*simp*]:  $\text{listsum } (xs \ @ \ ys) = \text{listsum } xs + \text{listsum } ys$   
**by** (*induct xs*) (*simp-all add:add-assoc*)

**lemma** *listsum-rev* [*simp*]:  
**fixes**  $xs :: 'a::comm-monoid-add \text{ list}$   
**shows**  $\text{listsum } (\text{rev } xs) = \text{listsum } xs$   
**by** (*induct xs*) (*simp-all add:add-ac*)

**lemma** *listsum-foldr*:  $\text{listsum } xs = \text{foldr } (op \ +) \ xs \ 0$   
**by** (*induct xs*) *auto*

**lemma** *length-concat*:  $\text{length } (\text{concat } xss) = \text{listsum } (\text{map } \text{length } xss)$   
**by** (*induct xss*) *simp-all*

For efficient code generation — *listsum* is not tail recursive but *foldl* is.

**lemma** *listsum[code unfold]*:  $\text{listsum } xs = \text{foldl } (op \ +) \ 0 \ xs$   
**by** (*simp add:listsum-foldr foldl-foldr1*)

Some syntactic sugar for summing a function over a list:

**syntax**  
 $\text{-listsum} :: \text{pttrn} \Rightarrow 'a \text{ list} \Rightarrow 'b \Rightarrow 'b \quad ((\text{3SUM } \leftarrow -. \ ) \ [0, 51, 10] \ 10)$   
**syntax** (*xsymbols*)  
 $\text{-listsum} :: \text{pttrn} \Rightarrow 'a \text{ list} \Rightarrow 'b \Rightarrow 'b \quad ((\text{3}\sum \leftarrow -. \ ) \ [0, 51, 10] \ 10)$   
**syntax** (*HTML output*)  
 $\text{-listsum} :: \text{pttrn} \Rightarrow 'a \text{ list} \Rightarrow 'b \Rightarrow 'b \quad ((\text{3}\sum \leftarrow -. \ ) \ [0, 51, 10] \ 10)$

**translations** — Beware of argument permutation!  
 $\text{SUM } x \leftarrow xs. \ b == \text{CONST } \text{listsum } (\text{map } (\%x. \ b) \ xs)$   
 $\sum x \leftarrow xs. \ b == \text{CONST } \text{listsum } (\text{map } (\%x. \ b) \ xs)$

**lemma** *listsum-triv*:  $(\sum x \leftarrow xs. \ r) = \text{of-nat } (\text{length } xs) * r$   
**by** (*induct xs*) (*simp-all add:left-distrib*)

**lemma** *listsum-0* [*simp*]:  $(\sum x \leftarrow xs. \ 0) = 0$   
**by** (*induct xs*) (*simp-all add:left-distrib*)

For non-Abelian groups *xs* needs to be reversed on one side:

**lemma** *uminus-listsum-map*:  
**fixes**  $f :: 'a \Rightarrow 'b::ab-group-add$   
**shows** —  $\text{listsum } (\text{map } f \ xs) = (\text{listsum } (\text{map } (\text{uminus } o \ f) \ xs))$   
**by** (*induct xs*) *simp-all*

**40.1.20** *upt*

**lemma** *upt-rec*[code]:  $[i..<j] = (\text{if } i < j \text{ then } i \# [Suc\ i..<j] \text{ else } [])$   
 — simp does not terminate!  
**by** (*induct j*) *auto*

**lemma** *upt-conv-Nil* [*simp*]:  $j \leq i \implies [i..<j] = []$   
**by** (*subst upt-rec*) *simp*

**lemma** *upt-eq-Nil-conv*[*simp*]:  $([i..<j] = []) = (j = 0 \vee j \leq i)$   
**by**(*induct j*)*simp-all*

**lemma** *upt-eq-Cons-conv*:  
 $([i..<j] = x \# xs) = (i < j \ \& \ i = x \ \& \ [i+1..<j] = xs)$   
**apply**(*induct j arbitrary: x xs*)  
**apply** *simp*  
**apply**(*clarsimp simp add: append-eq-Cons-conv*)  
**apply** *arith*  
**done**

**lemma** *upt-Suc-append*:  $i \leq j \implies [i..<(Suc\ j)] = [i..<j]@[j]$   
 — Only needed if *upt-Suc* is deleted from the simpset.  
**by** *simp*

**lemma** *upt-conv-Cons*:  $i < j \implies [i..<j] = i \# [Suc\ i..<j]$   
**by** (*simp add: upt-rec*)

**lemma** *upt-add-eq-append*:  $i \leq j \implies [i..<j+k] = [i..<j]@[j..<j+k]$   
 — LOOPS as a simprule, since  $j \leq j$ .  
**by** (*induct k*) *auto*

**lemma** *length-upt* [*simp*]:  $\text{length } [i..<j] = j - i$   
**by** (*induct j*) (*auto simp add: Suc-diff-le*)

**lemma** *nth-upt* [*simp*]:  $i + k < j \implies [i..<j] ! k = i + k$   
**apply** (*induct j*)  
**apply** (*auto simp add: less-Suc-eq nth-append split: nat-diff-split*)  
**done**

**lemma** *hd-upt*[*simp*]:  $i < j \implies \text{hd}[i..<j] = i$   
**by**(*simp add:upt-conv-Cons*)

**lemma** *last-upt*[*simp*]:  $i < j \implies \text{last}[i..<j] = j - 1$   
**apply**(*cases j*)  
**apply** *simp*  
**by**(*simp add:upt-Suc-append*)

**lemma** *take-upt* [*simp*]:  $i+m \leq n \implies \text{take } m \ [i..<n] = [i..<i+m]$   
**apply** (*induct m arbitrary: i, simp*)

```

apply (subst upt-rec)
apply (rule sym)
apply (subst upt-rec)
apply (simp del: upt.simps)
done

```

```

lemma drop-upt[simp]: drop m [i..apply(induct j)
apply auto
done

```

```

lemma map-Suc-upt: map Suc [m..by (induct n) auto

```

```

lemma nth-map-upt: i < n-m ==> (map f [m..apply (induct n m arbitrary: i rule: diff-induct)
prefer 3 apply (subst map-Suc-upt[symmetric])
apply (auto simp add: less-diff-conv nth-upt)
done

```

```

lemma nth-take-lemma:
  k <= length xs ==> k <= length ys ==>
    (!!i. i < k --> xs!i = ys!i) ==> take k xs = take k ys
apply (atomize, induct k arbitrary: xs ys)
apply (simp-all add: less-Suc-eq-0-disj all-conj-distrib, clarify)

```

Both lists must be non-empty

```

apply (case-tac xs, simp)
apply (case-tac ys, clarify)
  apply (simp (no-asm-use))
apply clarify

```

prenexing's needed, not miniscoping

```

apply (simp (no-asm-use) add: all-simps [symmetric] del: all-simps)
apply blast
done

```

```

lemma nth-equalityI:
  [| length xs = length ys; ALL i < length xs. xs!i = ys!i |] ==> xs = ys
apply (frule nth-take-lemma [OF le-refl eq-imp-le])
apply (simp-all add: take-all)
done

```

```

lemma map-nth:
  map (λi. xs ! i) [0..by (rule nth-equalityI, auto)

```

```

lemma list-all2-antisym:

```

```

[[ (∧ x y. [[ P x y; Q y x ] ⇒ x = y); list-all2 P xs ys; list-all2 Q ys xs ]
⇒ xs = ys
apply (simp add: list-all2-conv-all-nth)
apply (rule nth-equalityI, blast, simp)
done

```

**lemma** *take-equalityI*:  $(\forall i. \text{take } i \text{ } xs = \text{take } i \text{ } ys) \Rightarrow xs = ys$

— The famous take-lemma.

```

apply (drule-tac x = max (length xs) (length ys) in spec)
apply (simp add: le-max-iff-disj take-all)
done

```

**lemma** *take-Cons'*:

```

  take n (x # xs) = (if n = 0 then [] else x # take (n - 1) xs)
by (cases n) simp-all

```

**lemma** *drop-Cons'*:

```

  drop n (x # xs) = (if n = 0 then x # xs else drop (n - 1) xs)
by (cases n) simp-all

```

**lemma** *nth-Cons'*:  $(x \# xs)!n = (\text{if } n = 0 \text{ then } x \text{ else } xs!(n - 1))$

**by** (cases n) simp-all

**lemmas** *take-Cons-number-of* = *take-Cons'*[of number-of v, standard]

**lemmas** *drop-Cons-number-of* = *drop-Cons'*[of number-of v, standard]

**lemmas** *nth-Cons-number-of* = *nth-Cons'*[of - - number-of v, standard]

**declare** *take-Cons-number-of* [simp]

*drop-Cons-number-of* [simp]

*nth-Cons-number-of* [simp]

#### 40.1.21 distinct and remdups

**lemma** *distinct-append* [simp]:

*distinct* (xs @ ys) = (*distinct* xs ∧ *distinct* ys ∧ set xs ∩ set ys = {})

**by** (induct xs) auto

**lemma** *distinct-rev*[simp]: *distinct*(rev xs) = *distinct* xs

**by**(induct xs) auto

**lemma** *set-remdups* [simp]: set (remdups xs) = set xs

**by** (induct xs) (auto simp add: insert-absorb)

**lemma** *distinct-remdups* [iff]: *distinct* (remdups xs)

**by** (induct xs) auto

**lemma** *distinct-remdups-id*: *distinct* xs ==> remdups xs = xs

**by** (induct xs, auto)

**lemma** *remdups-id-iff-distinct* [simp]:  $\text{remdups } xs = xs \longleftrightarrow \text{distinct } xs$   
**by** (*metis distinct-remdups distinct-remdups-id*)

**lemma** *finite-distinct-list*:  $\text{finite } A \implies \exists x. \text{set } xs = A \ \& \ \text{distinct } xs$   
**by** (*metis distinct-remdups finite-list set-remdups*)

**lemma** *remdups-eq-nil-iff* [simp]:  $(\text{remdups } x = []) = (x = [])$   
**by** (*induct x, auto*)

**lemma** *remdups-eq-nil-right-iff* [simp]:  $([] = \text{remdups } x) = (x = [])$   
**by** (*induct x, auto*)

**lemma** *length-remdups-leq*[iff]:  $\text{length}(\text{remdups } xs) \leq \text{length } xs$   
**by** (*induct xs*) *auto*

**lemma** *length-remdups-eq*[iff]:  
 $(\text{length } (\text{remdups } xs) = \text{length } xs) = (\text{remdups } xs = xs)$   
**apply**(*induct xs*)  
**apply** *auto*  
**apply**(*subgoal-tac length (remdups xs) <= length xs*)  
**apply** *arith*  
**apply**(*rule length-remdups-leq*)  
**done**

**lemma** *distinct-map*:  
 $\text{distinct}(\text{map } f \ xs) = (\text{distinct } xs \ \& \ \text{inj-on } f \ (\text{set } xs))$   
**by** (*induct xs*) *auto*

**lemma** *distinct-filter* [simp]:  $\text{distinct } xs \implies \text{distinct } (\text{filter } P \ xs)$   
**by** (*induct xs*) *auto*

**lemma** *distinct-upt*[simp]:  $\text{distinct}[i..<j]$   
**by** (*induct j*) *auto*

**lemma** *distinct-take*[simp]:  $\text{distinct } xs \implies \text{distinct } (\text{take } i \ xs)$   
**apply**(*induct xs arbitrary: i*)  
**apply** *simp*  
**apply** (*case-tac i*)  
**apply** *simp-all*  
**apply**(*blast dest:in-set-takeD*)  
**done**

**lemma** *distinct-drop*[simp]:  $\text{distinct } xs \implies \text{distinct } (\text{drop } i \ xs)$   
**apply**(*induct xs arbitrary: i*)  
**apply** *simp*  
**apply** (*case-tac i*)

**apply** *simp-all*  
**done**

**lemma** *distinct-list-update*:  
**assumes** *d*: *distinct xs* **and** *a*:  $a \notin \text{set } xs - \{xs!i\}$   
**shows** *distinct (xs[i:=a])*  
**proof** (*cases i < length xs*)  
  **case** *True*  
    **with** *a* **have**  $a \notin \text{set } (\text{take } i \text{ } xs @ xs ! i \# \text{drop } (\text{Suc } i) \text{ } xs) - \{xs!i\}$   
      **apply** (*drule-tac id-take-nth-drop*) **by** *simp*  
    **with** *d* *True* **show** *?thesis*  
      **apply** (*simp add: upd-conv-take-nth-drop*)  
      **apply** (*drule subst [OF id-take-nth-drop]*) **apply** *assumption*  
      **apply** *simp* **apply** (*cases a = xs!i*) **apply** *simp* **by** *blast*  
  **next**  
    **case** *False* **with** *d* **show** *?thesis* **by** *auto*  
**qed**

It is best to avoid this indexed version of *distinct*, but sometimes it is useful.

**lemma** *distinct-conv-nth*:  
 $\text{distinct } xs = (\forall i < \text{size } xs. \forall j < \text{size } xs. i \neq j \longrightarrow xs!i \neq xs!j)$   
**apply** (*induct xs, simp, simp*)  
**apply** (*rule iffI, clarsimp*)  
  **apply** (*case-tac i*)  
  **apply** (*case-tac j, simp*)  
  **apply** (*simp add: set-conv-nth*)  
  **apply** (*case-tac j*)  
  **apply** (*clarsimp simp add: set-conv-nth, simp*)  
  **apply** (*rule conjI*)  
  
  **apply** (*clarsimp simp add: set-conv-nth*)  
  **apply** (*erule-tac x = 0 in allE, simp*)  
  **apply** (*erule-tac x = Suc i in allE, simp, clarsimp*)  
  
  **apply** (*erule-tac x = Suc i in allE, simp*)  
  **apply** (*erule-tac x = Suc j in allE, simp*)  
**done**

**lemma** *nth-eq-iff-index-eq*:  
 $\llbracket \text{distinct } xs; i < \text{length } xs; j < \text{length } xs \rrbracket \Longrightarrow (xs!i = xs!j) = (i = j)$   
**by**(*auto simp: distinct-conv-nth*)

**lemma** *distinct-card*:  $\text{distinct } xs \Longrightarrow \text{card } (\text{set } xs) = \text{size } xs$   
**by** (*induct xs*) *auto*

**lemma** *card-distinct*:  $\text{card } (\text{set } xs) = \text{size } xs \Longrightarrow \text{distinct } xs$   
**proof** (*induct xs*)  
  **case** *Nil* **thus** *?case* **by** *simp*  
**next**

```

case (Cons x xs)
show ?case
proof (cases x ∈ set xs)
  case False with Cons show ?thesis by simp
next
  case True with Cons.prem
  have card (set xs) = Suc (length xs)
    by (simp add: card-insert-if split: split-if-asm)
  moreover have card (set xs) ≤ length xs by (rule card-length)
  ultimately have False by simp
  thus ?thesis ..
qed
qed

```

```

lemma not-distinct-decomp: ~ distinct ws ==> EX xs ys zs y. ws = xs@[y]@ys@[y]@zs
apply (induct n == length ws arbitrary:ws) apply simp
apply (case-tac ws) apply simp
apply (simp split:split-if-asm)
apply (metis Cons-eq-appendI eq-Nil-appendI split-list)
done

```

```

lemma length-remdups-concat:
  length(remdups(concat xss)) = card(⋃ xs ∈ set xss. set xs)
by (simp add: set-concat distinct-card[symmetric])

```

#### 40.1.22 remove1

```

lemma remove1-append:
  remove1 x (xs @ ys) =
    (if x ∈ set xs then remove1 x xs @ ys else xs @ remove1 x ys)
by (induct xs) auto

```

```

lemma in-set-remove1[simp]:
  a ≠ b ==> a : set(remove1 b xs) = (a : set xs)
apply (induct xs)
apply auto
done

```

```

lemma set-remove1-subset: set(remove1 x xs) <= set xs
apply (induct xs)
  apply simp
  apply simp
  apply blast
done

```

```

lemma set-remove1-eq [simp]: distinct xs ==> set(remove1 x xs) = set xs - {x}
apply (induct xs)
  apply simp
  apply simp

```

**apply** *blast*  
**done**

**lemma** *length-remove1*:  
 $\text{length}(\text{remove1 } x \text{ } xs) = (\text{if } x : \text{set } xs \text{ then } \text{length } xs - 1 \text{ else } \text{length } xs)$   
**apply** (*induct xs*)  
**apply** (*auto dest!:length-pos-if-in-set*)  
**done**

**lemma** *remove1-filter-not[simp]*:  
 $\neg P \ x \implies \text{remove1 } x \ (\text{filter } P \ xs) = \text{filter } P \ xs$   
**by**(*induct xs*) *auto*

**lemma** *notin-set-remove1[simp]*:  $x \sim : \text{set } xs \implies x \sim : \text{set}(\text{remove1 } y \ xs)$   
**apply**(*insert set-remove1-subset*)  
**apply** *fast*  
**done**

**lemma** *distinct-remove1[simp]*:  $\text{distinct } xs \implies \text{distinct}(\text{remove1 } x \ xs)$   
**by** (*induct xs*) *simp-all*

#### 40.1.23 replicate

**lemma** *length-replicate [simp]*:  $\text{length } (\text{replicate } n \ x) = n$   
**by** (*induct n*) *auto*

**lemma** *map-replicate [simp]*:  $\text{map } f \ (\text{replicate } n \ x) = \text{replicate } n \ (f \ x)$   
**by** (*induct n*) *auto*

**lemma** *replicate-app-Cons-same*:  
 $(\text{replicate } n \ x) @ (x \ \# \ xs) = x \ \# \ \text{replicate } n \ x @ xs$   
**by** (*induct n*) *auto*

**lemma** *rev-replicate [simp]*:  $\text{rev } (\text{replicate } n \ x) = \text{replicate } n \ x$   
**apply** (*induct n, simp*)  
**apply** (*simp add: replicate-app-Cons-same*)  
**done**

**lemma** *replicate-add*:  $\text{replicate } (n + m) \ x = \text{replicate } n \ x @ \text{replicate } m \ x$   
**by** (*induct n*) *auto*

Courtesy of Matthias Daum:

**lemma** *append-replicate-commute*:  
 $\text{replicate } n \ x @ \text{replicate } k \ x = \text{replicate } k \ x @ \text{replicate } n \ x$   
**apply** (*simp add: replicate-add [THEN sym]*)  
**apply** (*simp add: add-commute*)  
**done**

**lemma** *hd-replicate [simp]*:  $n \neq 0 \implies \text{hd } (\text{replicate } n \ x) = x$



**by** (*induct n*) *auto*

**lemma** *tl-replicate* [*simp*]:  $n \neq 0 \implies \text{tl } (\text{replicate } n \ x) = \text{replicate } (n - 1) \ x$   
**by** (*induct n*) *auto*

**lemma** *last-replicate* [*simp*]:  $n \neq 0 \implies \text{last } (\text{replicate } n \ x) = x$   
**by** (*atomize (full)*, *induct n*) *auto*

**lemma** *nth-replicate* [*simp*]:  $i < n \implies (\text{replicate } n \ x)!i = x$   
**apply** (*induct n arbitrary: i, simp*)  
**apply** (*simp add: nth-Cons split: nat.split*)  
**done**

Courtesy of Matthias Daum (2 lemmas):

**lemma** *take-replicate* [*simp*]:  $\text{take } i \ (\text{replicate } k \ x) = \text{replicate } (\min i \ k) \ x$   
**apply** (*case-tac k ≤ i*)  
**apply** (*simp add: min-def*)  
**apply** (*drule not-leE*)  
**apply** (*simp add: min-def*)  
**apply** (*subgoal-tac replicate k x = replicate i x @ replicate (k - i) x*)  
**apply** *simp*  
**apply** (*simp add: replicate-add [symmetric]*)  
**done**

**lemma** *drop-replicate* [*simp*]:  $\text{drop } i \ (\text{replicate } k \ x) = \text{replicate } (k - i) \ x$   
**apply** (*induct k arbitrary: i*)  
**apply** *simp*  
**apply** *clarsimp*  
**apply** (*case-tac i*)  
**apply** *simp*  
**apply** *clarsimp*  
**done**

**lemma** *set-replicate-Suc*:  $\text{set } (\text{replicate } (\text{Suc } n) \ x) = \{x\}$   
**by** (*induct n*) *auto*

**lemma** *set-replicate* [*simp*]:  $n \neq 0 \implies \text{set } (\text{replicate } n \ x) = \{x\}$   
**by** (*fast dest!: not0-implies-Suc intro!: set-replicate-Suc*)

**lemma** *set-replicate-conv-if*:  $\text{set } (\text{replicate } n \ x) = (\text{if } n = 0 \text{ then } \{\} \text{ else } \{x\})$   
**by** *auto*

**lemma** *in-set-replicateD*:  $x : \text{set } (\text{replicate } n \ y) \implies x = y$   
**by** (*simp add: set-replicate-conv-if split: split-if-asm*)

**lemma** *replicate-append-same*:  
 $\text{replicate } i \ x \ @ \ [x] = x \ \# \ \text{replicate } i \ x$   
**by** (*induct i*) *simp-all*

**lemma** *map-replicate-trivial*:  
 $\text{map } (\lambda i. x) [0..<i] = \text{replicate } i x$   
**by** (*induct i*) (*simp-all add: replicate-append-same*)

#### 40.1.24 *rotate1* and *rotate*

**lemma** *rotate-simps*[*simp*]:  $\text{rotate1 } [] = [] \wedge \text{rotate1 } (x\#xs) = xs @ [x]$   
**by**(*simp add:rotate1-def*)

**lemma** *rotate0*[*simp*]:  $\text{rotate } 0 = \text{id}$   
**by**(*simp add:rotate-def*)

**lemma** *rotate-Suc*[*simp*]:  $\text{rotate } (\text{Suc } n) xs = \text{rotate1 } (\text{rotate } n xs)$   
**by**(*simp add:rotate-def*)

**lemma** *rotate-add*:  
 $\text{rotate } (m+n) = \text{rotate } m \circ \text{rotate } n$   
**by**(*simp add:rotate-def funpow-add*)

**lemma** *rotate-rotate*:  $\text{rotate } m (\text{rotate } n xs) = \text{rotate } (m+n) xs$   
**by**(*simp add:rotate-add*)

**lemma** *rotate1-rotate-swap*:  $\text{rotate1 } (\text{rotate } n xs) = \text{rotate } n (\text{rotate1 } xs)$   
**by**(*simp add:rotate-def funpow-swap1*)

**lemma** *rotate1-length01*[*simp*]:  $\text{length } xs \leq 1 \implies \text{rotate1 } xs = xs$   
**by**(*cases xs*) *simp-all*

**lemma** *rotate-length01*[*simp*]:  $\text{length } xs \leq 1 \implies \text{rotate } n xs = xs$   
**apply**(*induct n*)  
**apply** *simp*  
**apply** (*simp add:rotate-def*)  
**done**

**lemma** *rotate1-hd-tl*:  $xs \neq [] \implies \text{rotate1 } xs = \text{tl } xs @ [\text{hd } xs]$   
**by**(*simp add:rotate1-def split:list.split*)

**lemma** *rotate-drop-take*:  
 $\text{rotate } n xs = \text{drop } (n \bmod \text{length } xs) xs @ \text{take } (n \bmod \text{length } xs) xs$   
**apply**(*induct n*)  
**apply** *simp*  
**apply**(*simp add:rotate-def*)  
**apply**(*cases xs = []*)  
**apply** (*simp*)  
**apply**(*case-tac n mod length xs = 0*)  
**apply**(*simp add:mod-Suc*)  
**apply**(*simp add: rotate1-hd-tl drop-Suc take-Suc*)  
**apply**(*simp add:mod-Suc rotate1-hd-tl drop-Suc[symmetric] drop-tl[symmetric]*)

```

      take-hd-drop linorder-not-le)
done

lemma rotate-conv-mod: rotate n xs = rotate (n mod length xs) xs
by(simp add:rotate-drop-take)

lemma rotate-id[simp]: n mod length xs = 0  $\implies$  rotate n xs = xs
by(simp add:rotate-drop-take)

lemma length-rotate1[simp]: length(rotate1 xs) = length xs
by(simp add:rotate1-def split:list.split)

lemma length-rotate[simp]: length(rotate n xs) = length xs
by (induct n arbitrary: xs) (simp-all add:rotate-def)

lemma distinct1-rotate[simp]: distinct(rotate1 xs) = distinct xs
by(simp add:rotate1-def split:list.split) blast

lemma distinct-rotate[simp]: distinct(rotate n xs) = distinct xs
by (induct n) (simp-all add:rotate-def)

lemma rotate-map: rotate n (map f xs) = map f (rotate n xs)
by(simp add:rotate-drop-take take-map drop-map)

lemma set-rotate1[simp]: set(rotate1 xs) = set xs
by(simp add:rotate1-def split:list.split)

lemma set-rotate[simp]: set(rotate n xs) = set xs
by (induct n) (simp-all add:rotate-def)

lemma rotate1-is-Nil-conv[simp]: (rotate1 xs = []) = (xs = [])
by(simp add:rotate1-def split:list.split)

lemma rotate-is-Nil-conv[simp]: (rotate n xs = []) = (xs = [])
by (induct n) (simp-all add:rotate-def)

lemma rotate-rev:
  rotate n (rev xs) = rev(rotate (length xs - (n mod length xs)) xs)
apply(simp add:rotate-drop-take rev-drop rev-take)
apply(cases length xs = 0)
  apply simp
  apply(cases n mod length xs = 0)
    apply simp
    apply(simp add:rotate-drop-take rev-drop rev-take)
done

lemma hd-rotate-conv-nth: xs  $\neq$  []  $\implies$  hd(rotate n xs) = xs!(n mod length xs)
apply(simp add:rotate-drop-take hd-append hd-drop-conv-nth hd-conv-nth)
apply(subgoal-tac length xs  $\neq$  [])

```

**prefer 2 apply simp**  
**using mod-less-divisor[*of length xs n*] by arith**

#### 40.1.25 *sublist* — a generalization of *nth* to sets

**lemma** *sublist-empty* [*simp*]: *sublist xs {}* = []  
**by** (*auto simp add: sublist-def*)

**lemma** *sublist-nil* [*simp*]: *sublist [] A* = []  
**by** (*auto simp add: sublist-def*)

**lemma** *length-sublist*:  
 $\text{length}(\text{sublist } xs \ I) = \text{card}\{i. i < \text{length } xs \wedge i : I\}$   
**by**(*simp add: sublist-def length-filter-conv-card cong:conj-cong*)

**lemma** *sublist-shift-lemma-Suc*:  
 $\text{map fst } (\text{filter } (\%p. P(\text{Suc}(\text{snd } p))) (\text{zip } xs \ is)) =$   
 $\text{map fst } (\text{filter } (\%p. P(\text{snd } p)) (\text{zip } xs (\text{map } \text{Suc } is)))$   
**apply**(*induct xs arbitrary: is*)  
**apply** *simp*  
**apply** (*case-tac is*)  
**apply** *simp*  
**apply** *simp*  
**done**

**lemma** *sublist-shift-lemma*:  
 $\text{map fst } [p < -\text{zip } xs \ [i..<i + \text{length } xs] . \text{snd } p : A] =$   
 $\text{map fst } [p < -\text{zip } xs \ [0..<\text{length } xs] . \text{snd } p + i : A]$   
**by** (*induct xs rule: rev-induct*) (*simp-all add: add-commute*)

**lemma** *sublist-append*:  
 $\text{sublist } (l @ l') \ A = \text{sublist } l \ A @ \text{sublist } l' \ \{j. j + \text{length } l : A\}$   
**apply** (*unfold sublist-def*)  
**apply** (*induct l' rule: rev-induct, simp*)  
**apply** (*simp add: upt-add-eq-append[of 0] zip-append sublist-shift-lemma*)  
**apply** (*simp add: add-commute*)  
**done**

**lemma** *sublist-Cons*:  
 $\text{sublist } (x \# l) \ A = (\text{if } 0:A \text{ then } [x] \text{ else } []) @ \text{sublist } l \ \{j. \text{Suc } j : A\}$   
**apply** (*induct l rule: rev-induct*)  
**apply** (*simp add: sublist-def*)  
**apply** (*simp del: append-Cons add: append-Cons[symmetric] sublist-append*)  
**done**

**lemma** *set-sublist*:  $\text{set}(\text{sublist } xs \ I) = \{xs[i] | i. i < \text{size } xs \wedge i \in I\}$   
**apply**(*induct xs arbitrary: I*)  
**apply**(*auto simp: sublist-Cons nth-Cons split:nat.split dest!: gr0-implies-Suc*)  
**done**

**lemma** *set-sublist-subset*:  $\text{set}(\text{sublist } xs \ I) \subseteq \text{set } xs$   
**by** (*auto simp add: set-sublist*)

**lemma** *notin-set-sublistI* [*simp*]:  $x \notin \text{set } xs \implies x \notin \text{set}(\text{sublist } xs \ I)$   
**by** (*auto simp add: set-sublist*)

**lemma** *in-set-sublistD*:  $x \in \text{set}(\text{sublist } xs \ I) \implies x \in \text{set } xs$   
**by** (*auto simp add: set-sublist*)

**lemma** *sublist-singleton* [*simp*]:  $\text{sublist } [x] \ A = (\text{if } 0 : A \text{ then } [x] \text{ else } [])$   
**by** (*simp add: sublist-Cons*)

**lemma** *distinct-sublistI* [*simp*]:  $\text{distinct } xs \implies \text{distinct}(\text{sublist } xs \ I)$   
**apply** (*induct xs arbitrary: I*)  
**apply** *simp*  
**apply** (*auto simp add: sublist-Cons*)  
**done**

**lemma** *sublist-upt-eq-take* [*simp*]:  $\text{sublist } l \ \{..  
**apply** (*induct l rule: rev-induct, simp*)  
**apply** (*simp split: nat-diff-split add: sublist-append*)  
**done**$

**lemma** *filter-in-sublist*:  
 $\text{distinct } xs \implies \text{filter } (\%x. x \in \text{set}(\text{sublist } xs \ s)) \ xs = \text{sublist } xs \ s$   
**proof** (*induct xs arbitrary: s*)  
**case** *Nil* **thus** *?case* **by** *simp*  
**next**  
**case** (*Cons a xs*)  
**moreover** **hence**  $!x. x : \text{set } xs \longrightarrow x \neq a$  **by** *auto*  
**ultimately show** *?case* **by** (*simp add: sublist-Cons cong: filter-cong*)  
**qed**

#### 40.1.26 *splice*

**lemma** *splice-Nil2* [*simp, code*]:  
 $\text{splice } xs \ [] = xs$   
**by** (*cases xs*) *simp-all*

**lemma** *splice-Cons-Cons* [*simp, code*]:  
 $\text{splice } (x \# xs) \ (y \# ys) = x \# y \# \text{splice } xs \ ys$   
**by** *simp*

**declare** *splice.simps*(2) [*simp del, code del*]

**lemma** *length-splice* [*simp*]:  $\text{length}(\text{splice } xs \ ys) = \text{length } xs + \text{length } ys$

```

apply(induct xs arbitrary: ys) apply simp
apply(case-tac ys)
  apply auto
done

```

## 40.2 Sorting

Currently it is not shown that *sort* returns a permutation of its input because the nicest proof is via multisets, which are not yet available. Alternatively one could define a function that counts the number of occurrences of an element in a list and use that instead of multisets to state the correctness property.

```

context linorder
begin

```

```

lemma sorted-Cons: sorted (x#xs) = (sorted xs & (ALL y:set xs. x <= y))
apply(induct xs arbitrary: x) apply simp
by simp (blast intro: order-trans)

```

```

lemma sorted-append:
  sorted (xs@ys) = (sorted xs & sorted ys & (∀ x ∈ set xs. ∀ y ∈ set ys. x ≤ y))
by (induct xs) (auto simp add:sorted-Cons)

```

```

lemma set-insort: set(insort x xs) = insert x (set xs)
by (induct xs) auto

```

```

lemma set-sort[simp]: set(sort xs) = set xs
by (induct xs) (simp-all add:set-insort)

```

```

lemma distinct-insort: distinct (insort x xs) = (x ∉ set xs ∧ distinct xs)
by(induct xs)(auto simp:set-insort)

```

```

lemma distinct-sort[simp]: distinct (sort xs) = distinct xs
by(induct xs)(simp-all add:distinct-insort set-sort)

```

```

lemma sorted-insort: sorted (insort x xs) = sorted xs
apply (induct xs)
  apply(auto simp:sorted-Cons set-insort)
done

```

```

theorem sorted-sort[simp]: sorted (sort xs)
by (induct xs) (auto simp:sorted-insort)

```

```

lemma insort-is-Cons:  $\forall x \in \text{set } xs. a \leq x \implies \text{insort } a \text{ } xs = a \# xs$ 
by (cases xs) auto

```

```

lemma sorted-remove1: sorted xs  $\implies$  sorted (remove1 a xs)
by (induct xs, auto simp add: sorted-Cons)

```

**lemma** *insort-remove1*:  $\llbracket a \in \text{set } xs; \text{sorted } xs \rrbracket \implies \text{insort } a (\text{remove1 } a \text{ } xs) = xs$   
**by** (*induct xs, auto simp add: sorted-Cons insort-is-Cons*)

**lemma** *sorted-remdups*[*simp*]:  
 $\text{sorted } l \implies \text{sorted } (\text{remdups } l)$   
**by** (*induct l*) (*auto simp: sorted-Cons*)

**lemma** *sorted-distinct-set-unique*:  
**assumes** *sorted xs distinct xs sorted ys distinct ys set xs = set ys*  
**shows**  $xs = ys$   
**proof** –  
  **from** *assms* **have**  $1: \text{length } xs = \text{length } ys$  **by** (*auto dest!: distinct-card*)  
  **from** *assms* **show** *?thesis*  
  **proof**(*induct rule:list-induct2[OF 1]*)  
    **case** 1 **show** *?case* **by** *simp*  
  **next**  
    **case** 2 **thus** *?case* **by** (*simp add:sorted-Cons*)  
    (*metis Diff-insert-absorb antisym insertE insert-iff*)  
  **qed**  
**qed**

**lemma** *finite-sorted-distinct-unique*:  
**shows**  $\text{finite } A \implies \exists x. \text{set } xs = A \ \& \ \text{sorted } xs \ \& \ \text{distinct } xs$   
**apply**(*drule finite-distinct-list*)  
**apply** *clarify*  
**apply**(*rule-tac a=sort xs in ex1I*)  
**apply** (*auto simp: sorted-distinct-set-unique*)  
**done**  
**end**

**lemma** *sorted-upt*[*simp*]:  $\text{sorted}[i..<j]$   
**by** (*induct j*) (*simp-all add:sorted-append*)

#### 40.2.1 *sorted-list-of-set*

This function maps (finite) linearly ordered sets to sorted lists. Warning: in most cases it is not a good idea to convert from sets to lists but one should convert in the other direction (via *set*).

**context** *linorder*  
**begin**

**definition**  
 $\text{sorted-list-of-set} :: 'a \text{ set} \Rightarrow 'a \text{ list}$  **where**  
 $\text{sorted-list-of-set } A == \text{THE } xs. \text{set } xs = A \ \& \ \text{sorted } xs \ \& \ \text{distinct } xs$

**lemma** *sorted-list-of-set*[*simp*]:  $\text{finite } A \implies$   
 $\text{set}(\text{sorted-list-of-set } A) = A \ \&$

```

  sorted(sorted-list-of-set A) & distinct(sorted-list-of-set A)
apply(simp add:sorted-list-of-set-def)
apply(rule the1I2)
  apply(simp-all add: finite-sorted-distinct-unique)
done

```

```

lemma sorted-list-of-empty[simp]: sorted-list-of-set {} = []
unfolding sorted-list-of-set-def
apply(subst the-equality[of - []])
apply simp-all
done

```

**end**

#### 40.2.2 upto: the generic interval-list

```

class finite-intvl-succ = linorder +
fixes successor :: 'a  $\Rightarrow$  'a
assumes finite-intvl: finite{a..b}
and successor-incr: a < successor a
and ord-discrete:  $\neg(\exists x. a < x \ \& \ x < \text{successor } a)$ 

```

```

context finite-intvl-succ
begin

```

```

definition
  upto :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a list ((1[-./-])) where
  upto i j == sorted-list-of-set {i..j}

```

```

lemma upto[simp]: set[a..b] = {a..b} & sorted[a..b] & distinct[a..b]
by(simp add:upto-def finite-intvl)

```

```

lemma insert-intvl:  $i \leq j \implies \text{insert } i \ \{\text{successor } i..j\} = \{i..j\}$ 
apply(insert successor-incr[of i])
apply(auto simp: atLeastAtMost-def atLeast-def atMost-def)
apply(metis ord-discrete less-le not-le)
done

```

```

lemma sorted-list-of-set-rec:  $i \leq j \implies$ 
  sorted-list-of-set {i..j} = i # sorted-list-of-set {successor i..j}
apply(simp add:sorted-list-of-set-def upto-def)
apply (rule the1-equality[OF finite-sorted-distinct-unique])
  apply (simp add:finite-intvl)
apply (rule the1I2[OF finite-sorted-distinct-unique])
  apply (simp add:finite-intvl)
apply (simp add: sorted-Cons insert-intvl Ball-def)
apply (metis successor-incr leD less-imp-le order-trans)
done

```



**lemma** *upto-rec*[code]:  $[i..j] = (\text{if } i \leq j \text{ then } i \# [\text{successor } i..j] \text{ else } [])$   
**by** (*simp add: upto-def sorted-list-of-set-rec*)

**end**

The integers are an instance of the above class:

**instantiation** *int:: finite-intvl-succ*  
**begin**

**definition**

*successor-int-def*: *successor* = ( $\%i::\text{int. } i+1$ )

**instance**

**by** *intro-classes (simp-all add: successor-int-def)*

**end**

Now  $[i..j]$  is defined for integers.

**hide** (**open**) *const successor*

### 40.2.3 *lists*: the list-forming operator over sets

**inductive-set**

*lists* :: 'a set => 'a list set

**for** *A* :: 'a set

**where**

*Nil* [*intro!*]:  $[] : \text{lists } A$

| *Cons* [*intro!*,*noatp*]:  $[a : A; l : \text{lists } A] ==> a \# l : \text{lists } A$

**inductive-cases** *listsE* [*elim!*,*noatp*]:  $x \# l : \text{lists } A$

**inductive-cases** *listspE* [*elim!*,*noatp*]: *listsp* *A* ( $x \# l$ )

**lemma** *listsp-mono* [*mono*]:  $A \leq B ==> \text{listsp } A \leq \text{listsp } B$

**by** (*rule predicate1I, erule listsp.induct, blast+*)

**lemmas** *lists-mono* = *listsp-mono* [*to-set pred-subset-eq*]

**lemma** *listsp-infI*:

**assumes** *l*: *listsp* *A* *l* **shows** *listsp* *B* *l* ==> *listsp* (*inf* *A* *B*) *l* **using** *l*

**by** *induct blast+*

**lemmas** *lists-IntI* = *listsp-infI* [*to-set*]

**lemma** *listsp-inf-eq* [*simp*]: *listsp* (*inf* *A* *B*) = *inf* (*listsp* *A*) (*listsp* *B*)

**proof** (*rule mono-inf [where f=listsp, THEN order-antisym]*)

**show** *mono listsp* **by** (*simp add: mono-def listsp-mono*)

**show** *inf* (*listsp* *A*) (*listsp* *B*)  $\leq \text{listsp } (\text{inf } A \ B)$  **by** (*blast intro!: listsp-infI predicate1I*)

**qed**

**lemmas** *listsp-conj-eq* [*simp*] = *listsp-inf-eq* [*simplified inf-fun-eq inf-bool-eq*]

**lemmas** *lists-Int-eq* [*simp*] = *listsp-inf-eq* [*to-set pred-equals-eq*]

**lemma** *append-in-listsp-conv* [*iff*]:

(*listsp* *A* (*xs* @ *ys*)) = (*listsp* *A* *xs* ∧ *listsp* *A* *ys*)

**by** (*induct xs*) *auto*

**lemmas** *append-in-lists-conv* [*iff*] = *append-in-listsp-conv* [*to-set*]

**lemma** *in-listsp-conv-set*: (*listsp* *A* *xs*) = ( $\forall x \in \text{set } xs. A\ x$ )

— eliminate *listsp* in favour of *set*

**by** (*induct xs*) *auto*

**lemmas** *in-lists-conv-set* = *in-listsp-conv-set* [*to-set*]

**lemma** *in-listspD* [*dest!*,*noatp*]: *listsp* *A* *xs* ==>  $\forall x \in \text{set } xs. A\ x$

**by** (*rule in-listsp-conv-set* [*THEN iffD1*])

**lemmas** *in-listsD* [*dest!*,*noatp*] = *in-listspD* [*to-set*]

**lemma** *in-listspI* [*intro!*,*noatp*]:  $\forall x \in \text{set } xs. A\ x ==> \text{listsp } A\ xs$

**by** (*rule in-listsp-conv-set* [*THEN iffD2*])

**lemmas** *in-listsI* [*intro!*,*noatp*] = *in-listspI* [*to-set*]

**lemma** *lists-UNIV* [*simp*]: *lists* *UNIV* = *UNIV*

**by** *auto*

#### 40.2.4 Inductive definition for membership

**inductive** *ListMem* :: 'a  $\Rightarrow$  'a list  $\Rightarrow$  bool

**where**

*elem*: *ListMem* *x* (*x* # *xs*)

| *insert*: *ListMem* *x* *xs*  $\Longrightarrow$  *ListMem* *x* (*y* # *xs*)

**lemma** *ListMem-iff*: (*ListMem* *x* *xs*) = ( $x \in \text{set } xs$ )

**apply** (*rule iffI*)

**apply** (*induct set: ListMem*)

**apply** *auto*

**apply** (*induct xs*)

**apply** (*auto intro: ListMem.intros*)

**done**

#### 40.2.5 Lists as Cartesian products

*set-Cons* *A* *Xs*: the set of lists with head drawn from *A* and tail drawn from *Xs*.

**constdefs**

$set-Cons :: 'a\ set \Rightarrow 'a\ list\ set \Rightarrow 'a\ list\ set$   
 $set-Cons\ A\ XS == \{z. \exists x\ xs. z = x\#\!xs \ \& \ x \in A \ \& \ xs \in XS\}$

**lemma**  $set-Cons-sing-Nil$  [simp]:  $set-Cons\ A\ \{\}\ = (\%x. [x])\ 'A$   
**by** (auto simp add: set-Cons-def)

Yields the set of lists, all of the same length as the argument and with elements drawn from the corresponding element of the argument.

**consts**  $listset :: 'a\ set\ list \Rightarrow 'a\ list\ set$

**primrec**

$listset\ \[] = \{\}\}$   
 $listset(A\#\!As) = set-Cons\ A\ (listset\ As)$

**40.3 Relations on Lists****40.3.1 Length Lexicographic Ordering**

These orderings preserve well-foundedness: shorter lists precede longer lists. These ordering are not used in dictionaries.

**consts**  $lexn :: ('a * 'a)\ set \Rightarrow nat \Rightarrow ('a\ list * 'a\ list)\ set$   
— The lexicographic ordering for lists of the specified length

**primrec**

$lexn\ r\ 0 = \{\}$   
 $lexn\ r\ (Suc\ n) =$   
 $(prod-fun\ (\%(x,xs). x\#\!xs)\ (\%(x,xs). x\#\!xs)\ ' (r\ <*\!lex*\!>\ lexn\ r\ n))\ Int$   
 $\{(xs,ys). length\ xs = Suc\ n \wedge length\ ys = Suc\ n\}$

**constdefs**

$lex :: ('a \times 'a)\ set \Rightarrow ('a\ list \times 'a\ list)\ set$   
 $lex\ r == \bigcup n. lexn\ r\ n$   
— Holds only between lists of the same length

$lenlex :: ('a \times 'a)\ set \Rightarrow ('a\ list \times 'a\ list)\ set$   
 $lenlex\ r == inv-image\ (less-than\ <*\!lex*\!>\ lex\ r)\ (\%xs. (length\ xs, xs))$   
— Compares lists by their length and then lexicographically

**lemma**  $wf-lexn$ :  $wf\ r \Rightarrow wf\ (lexn\ r\ n)$

**apply** (induct n, simp, simp)

**apply**(rule wf-subset)

**prefer** 2 **apply** (rule Int-lower1)

**apply**(rule wf-prod-fun-image)

**prefer** 2 **apply** (rule inj-onI, auto)

**done**

**lemma**  $lexn-length$ :

$(xs, ys) : lexn\ r\ n \Rightarrow length\ xs = n \wedge length\ ys = n$

**by** (induct n arbitrary: xs ys) auto

```

lemma wf-lex [intro!]: wf r ==> wf (lex r)
apply (unfold lex-def)
apply (rule wf-UN)
apply (blast intro: wf-lexn, clarify)
apply (rename-tac m n)
apply (subgoal-tac m ≠ n)
prefer 2 apply blast
apply (blast dest: lexn-length not-sym)
done

```

```

lemma lexn-conv:
  lexn r n =
    {(xs,ys). length xs = n ∧ length ys = n ∧
      (∃ xys x y xs' ys'. xs = xys @ x # xs' ∧ ys = xys @ y # ys' ∧ (x, y):r)}
apply (induct n, simp)
apply (simp add: image-Collect lex-prod-def, safe, blast)
apply (rule-tac x = ab # xys in exI, simp)
apply (case-tac xys, simp-all, blast)
done

```

```

lemma lex-conv:
  lex r =
    {(xs,ys). length xs = length ys ∧
      (∃ xys x y xs' ys'. xs = xys @ x # xs' ∧ ys = xys @ y # ys' ∧ (x, y):r)}
by (force simp add: lex-def lexn-conv)

```

```

lemma wf-lenlex [intro!]: wf r ==> wf (lenlex r)
by (unfold lenlex-def) blast

```

```

lemma lenlex-conv:
  lenlex r = {(xs,ys). length xs < length ys |
    length xs = length ys ∧ (xs, ys) : lex r}
by (simp add: lenlex-def diag-def lex-prod-def inv-image-def)

```

```

lemma Nil-notin-lex [iff]: ([], ys) ∉ lex r
by (simp add: lex-conv)

```

```

lemma Nil2-notin-lex [iff]: (xs, []) ∉ lex r
by (simp add: lex-conv)

```

```

lemma Cons-in-lex [simp]:
  ((x # xs, y # ys) : lex r) =
    ((x, y) : r ∧ length xs = length ys | x = y ∧ (xs, ys) : lex r)
apply (simp add: lex-conv)
apply (rule iffI)
prefer 2 apply (blast intro: Cons-eq-appendI, clarify)
apply (case-tac xys, simp, simp)
apply blast

```

done

### 40.3.2 Lexicographic Ordering

Classical lexicographic ordering on lists, ie. ”a” j ”ab” j ”b”. This ordering does *not* preserve well-foundedness. Author: N. Voelker, March 2005.

**constdefs**

$$\begin{aligned} \text{lexord} &:: ('a * 'a)\text{set} \Rightarrow ('a \text{ list} * 'a \text{ list}) \text{ set} \\ \text{lexord } r &== \{(x,y). \exists a v. y = x @ a \# v \vee \\ &\quad (\exists u a b v w. (a,b) \in r \wedge x = u @ (a \# v) \wedge y = u @ (b \# w))\} \end{aligned}$$

**lemma** *lexord-Nil-left[simp]*:  $([],y) \in \text{lexord } r = (\exists a x. y = a \# x)$   
**by** (*unfold lexord-def, induct-tac y, auto*)

**lemma** *lexord-Nil-right[simp]*:  $(x,[]) \notin \text{lexord } r$   
**by** (*unfold lexord-def, induct-tac x, auto*)

**lemma** *lexord-cons-cons[simp]*:

$$\begin{aligned} ((a \# x, b \# y) \in \text{lexord } r) &= ((a,b) \in r \mid (a = b \ \& \ (x,y) \in \text{lexord } r)) \\ \text{apply } &(\text{unfold lexord-def, safe, simp-all}) \\ \text{apply } &(\text{case-tac } u, \text{ simp, simp}) \\ \text{apply } &(\text{case-tac } u, \text{ simp, clarsimp, blast, blast, clarsimp}) \\ \text{apply } &(\text{erule-tac } x=b \# u \text{ in allE}) \\ \text{by } &\text{force} \end{aligned}$$

**lemmas** *lexord-simps* = *lexord-Nil-left lexord-Nil-right lexord-cons-cons*

**lemma** *lexord-append-rightI*:  $\exists b z. y = b \# z \implies (x, x @ y) \in \text{lexord } r$   
**by** (*induct-tac x, auto*)

**lemma** *lexord-append-left-rightI*:

$$(a,b) \in r \implies (u @ a \# x, u @ b \# y) \in \text{lexord } r$$

**by** (*induct-tac u, auto*)

**lemma** *lexord-append-leftI*:  $(u,v) \in \text{lexord } r \implies (x @ u, x @ v) \in \text{lexord } r$   
**by** (*induct x, auto*)

**lemma** *lexord-append-leftD*:

$$\llbracket (x @ u, x @ v) \in \text{lexord } r; (! a. (a,a) \notin r) \rrbracket \implies (u,v) \in \text{lexord } r$$

**by** (*erule rev-mp, induct-tac x, auto*)

**lemma** *lexord-take-index-conv*:

$$\begin{aligned} ((x,y) : \text{lexord } r) &= \\ &((\text{length } x < \text{length } y \wedge \text{take } (\text{length } x) \ y = x) \vee \\ &(\exists i. i < \min(\text{length } x)(\text{length } y) \ \& \ \text{take } i \ x = \text{take } i \ y \ \& \ (x!i,y!i) \in r)) \\ \text{apply } &(\text{unfold lexord-def Let-def, clarsimp}) \\ \text{apply } &(\text{rule-tac } f = (\% a b. a \vee b) \text{ in arg-cong2}) \\ \text{apply } &\text{auto} \\ \text{apply } &(\text{rule-tac } x=\text{hd } (\text{drop } (\text{length } x) \ y) \text{ in exI}) \end{aligned}$$

```

apply (rule-tac x=tl (drop (length x) y) in exI)
apply (erule subst, simp add: min-def)
apply (rule-tac x=length u in exI, simp)
apply (rule-tac x=take i x in exI)
apply (rule-tac x=x ! i in exI)
apply (rule-tac x=y ! i in exI, safe)
apply (rule-tac x=drop (Suc i) x in exI)
apply (drule sym, simp add: drop-Suc-conv-tl)
apply (rule-tac x=drop (Suc i) y in exI)
by (simp add: drop-Suc-conv-tl)

```

— lexord is extension of partial ordering List.lex

```

lemma lexord-lex: (x,y) ∈ lex r = ((x,y) ∈ lexord r ∧ length x = length y)
  apply (rule-tac x = y in spec)
  apply (induct-tac x, clarsimp)
  by (clarify, case-tac x, simp, force)

```

```

lemma lexord-irreflexive: (! x. (x,x) ∉ r) ⇒ (y,y) ∉ lexord r
  by (induct y, auto)

```

**lemma** lexord-trans:

```

  [| (x, y) ∈ lexord r; (y, z) ∈ lexord r; trans r |] ⇒ (x, z) ∈ lexord r
  apply (erule rev-mp)+
  apply (rule-tac x = x in spec)
  apply (rule-tac x = z in spec)
  apply (induct-tac y, simp, clarify)
  apply (case-tac xa, erule ssubst)
  apply (erule allE, erule allE) — avoid simp recursion
  apply (case-tac x, simp, simp)
  apply (case-tac x, erule allE, erule allE, simp)
  apply (erule-tac x = listb in allE)
  apply (erule-tac x = lista in allE, simp)
  apply (unfold trans-def)
  by blast

```

```

lemma lexord-transI: trans r ⇒ trans (lexord r)
by (rule transI, drule lexord-trans, blast)

```

```

lemma lexord-linear: (! a b. (a,b) ∈ r | a = b | (b,a) ∈ r) ⇒ (x,y) : lexord r | x
= y | (y,x) : lexord r
  apply (rule-tac x = y in spec)
  apply (induct-tac x, rule allI)
  apply (case-tac x, simp, simp)
  apply (rule allI, case-tac x, simp, simp)
  by blast

```

#### 40.4 Lexicographic combination of measure functions

These are useful for termination proofs

**definition**

$measures\ fs = inv-image\ (lex\ less-than)\ (\%a.\ map\ (\%f.\ f\ a)\ fs)$

**lemma**  $wf-measures[recdef-wf, simp]: wf\ (measures\ fs)$

**unfolding**  $measures-def$

**by**  $blast$

**lemma**  $in-measures[simp]:$

$(x, y) \in measures\ [] = False$

$(x, y) \in measures\ (f\ \# fs)$

$= (f\ x < f\ y \vee (f\ x = f\ y \wedge (x, y) \in measures\ fs))$

**unfolding**  $measures-def$

**by**  $auto$

**lemma**  $measures-less: f\ x < f\ y ==> (x, y) \in measures\ (f\ \# fs)$

**by**  $simp$

**lemma**  $measures-lesseq: f\ x <= f\ y ==> (x, y) \in measures\ fs ==> (x, y) \in measures\ (f\ \# fs)$

**by**  $auto$

#### 40.4.1 Lifting a Relation on List Elements to the Lists

**inductive-set**

$listrel :: ('a * 'a) set ==> ('a\ list * 'a\ list) set$

**for**  $r :: ('a * 'a) set$

**where**

$Nil: ([], []) \in listrel\ r$

$| Cons: [(x, y) \in r; (xs, ys) \in listrel\ r] ==> (x\ \# xs, y\ \# ys) \in listrel\ r$

**inductive-cases**  $listrel-Nil1\ [elim!]: ([], xs) \in listrel\ r$

**inductive-cases**  $listrel-Nil2\ [elim!]: (xs, []) \in listrel\ r$

**inductive-cases**  $listrel-Cons1\ [elim!]: (y\ \# ys, xs) \in listrel\ r$

**inductive-cases**  $listrel-Cons2\ [elim!]: (xs, y\ \# ys) \in listrel\ r$

**lemma**  $listrel-mono: r \subseteq s ==> listrel\ r \subseteq listrel\ s$

**apply**  $clarify$

**apply**  $(erule\ listrel.induct)$

**apply**  $(blast\ intro: listrel.intros)+$

**done**

**lemma**  $listrel-subset: r \subseteq A \times A ==> listrel\ r \subseteq lists\ A \times lists\ A$

**apply**  $clarify$

**apply**  $(erule\ listrel.induct, auto)$

**done**

**lemma**  $listrel-refl: refl\ A\ r ==> refl\ (lists\ A)\ (listrel\ r)$

**apply**  $(simp\ add: refl-def\ listrel-subset\ Ball-def)$

```

apply (rule allI)
apply (induct-tac x)
apply (auto intro: listrel.intros)
done

```

```

lemma listrel-sym: sym r  $\implies$  sym (listrel r)
apply (auto simp add: sym-def)
apply (erule listrel.induct)
apply (blast intro: listrel.intros)+
done

```

```

lemma listrel-trans: trans r  $\implies$  trans (listrel r)
apply (simp add: trans-def)
apply (intro allI)
apply (rule impI)
apply (erule listrel.induct)
apply (blast intro: listrel.intros)+
done

```

```

theorem equiv-listrel: equiv A r  $\implies$  equiv (lists A) (listrel r)
by (simp add: equiv-def listrel-refl listrel-sym listrel-trans)

```

```

lemma listrel-Nil [simp]: listrel r “ {} = {}
by (blast intro: listrel.intros)

```

```

lemma listrel-Cons:
  listrel r “ {x#xs} = set-Cons (r“{x}) (listrel r “ {xs})
by (auto simp add: set-Cons-def intro: listrel.intros)

```

## 40.5 Miscellany

### 40.5.1 Characters and strings

```

datatype nibble =
  Nibble0 | Nibble1 | Nibble2 | Nibble3 | Nibble4 | Nibble5 | Nibble6 | Nibble7
  | Nibble8 | Nibble9 | NibbleA | NibbleB | NibbleC | NibbleD | NibbleE | NibbleF

```

```

lemma UNIV-nibble:
  UNIV = {Nibble0, Nibble1, Nibble2, Nibble3, Nibble4, Nibble5, Nibble6, Nibble7,
    Nibble8, Nibble9, NibbleA, NibbleB, NibbleC, NibbleD, NibbleE, NibbleF} (is -
    = ?A)
proof (rule UNIV-eq-I)
  fix x show x  $\in$  ?A by (cases x) simp-all
qed

```

```

instance nibble :: finite
  by default (simp add: UNIV-nibble)

```

```

datatype char = Char nibble nibble

```

— Note: canonical order of character encoding coincides with standard term



ordering

**lemma** *UNIV-char*:

$UNIV = \text{image } (\text{split } \text{Char}) (UNIV \times UNIV)$

**proof** (rule *UNIV-eq-I*)

**fix** *x* **show**  $x \in \text{image } (\text{split } \text{Char}) (UNIV \times UNIV)$  **by** (cases *x*) *auto*  
**qed**

**instance** *char* :: *finite*

**by** *default* (*simp add: UNIV-char*)

**types** *string* = *char list*

**syntax**

-*Char* :: *xstr*  $\Rightarrow$  *char* (*CHR* -)

-*String* :: *xstr*  $\Rightarrow$  *string* (-)

**setup** *StringSyntax.setup*

## 40.6 Size function

**lemma** [*measure-function*]:  $\text{is-measure } f \Longrightarrow \text{is-measure } (\text{list-size } f)$

**by** (rule *is-measure-trivial*)

**lemma** [*measure-function*]:  $\text{is-measure } f \Longrightarrow \text{is-measure } (\text{option-size } f)$

**by** (rule *is-measure-trivial*)

**lemma** *list-size-estimation*[*termination-simp*]:

$x \in \text{set } xs \Longrightarrow y < f x \Longrightarrow y < \text{list-size } f xs$

**by** (*induct xs*) *auto*

**lemma** *list-size-estimation'*[*termination-simp*]:

$x \in \text{set } xs \Longrightarrow y \leq f x \Longrightarrow y \leq \text{list-size } f xs$

**by** (*induct xs*) *auto*

**lemma** *list-size-map*[*simp*]:  $\text{list-size } f (\text{map } g xs) = \text{list-size } (f \circ g) xs$

**by** (*induct xs*) *auto*

**lemma** *list-size-pointwise*[*termination-simp*]:

$(\bigwedge x. x \in \text{set } xs \Longrightarrow f x < g x) \Longrightarrow \text{list-size } f xs \leq \text{list-size } g xs$

**by** (*induct xs*) *force+*

## 40.7 Code generator

### 40.7.1 Setup

**types-code**

*list* (- *list*)

**attach** (*term-of*)  $\ll$

*fun* *term-of-list*  $f T = \text{HOLogic.mk-list } T \circ \text{map } f;$

```

>>
attach (test) <<
  fun gen-list' aG aT i j = frequency
    [(i, fn () =>
      let
        val (x, t) = aG j;
        val (xs, ts) = gen-list' aG aT (i-1) j
        in (x :: xs, fn () => HOLogic.cons-const aT $ t () $ ts ()) end),
      (1, fn () => ([], fn () => HOLogic.nil-const aT))] ()
  and gen-list aG aT i = gen-list' aG aT i i;
>>
  char (string)
attach (term-of) <<
  val term-of-char = HOLogic.mk-char o ord;
>>
attach (test) <<
  fun gen-char i =
    let val j = random-range (ord a) (Int.min (ord a + i, ord z))
    in (chr j, fn () => HOLogic.mk-char j) end;
>>

consts-code Cons ((- ::/ -))

code-type list
  (SML - list)
  (OCaml - list)
  (Haskell ![-])

code-reserved SML
  list

code-reserved OCaml
  list

code-const Nil
  (SML [])
  (OCaml [])
  (Haskell [])

setup <<
  fold (fn target => CodeTarget.add-pretty-list target
    @{const-name Nil} @{const-name Cons}
  ) [SML, OCaml, Haskell]
>>

code-instance list :: eq
  (Haskell -)

code-const op = :: 'a::eq list => 'a list => bool

```

```

(Haskell infixl 4 ==)

setup <<
  let

    fun list-codegen thy defs gr dep thyname b t =
      let
        val ts = HOLogic.dest-list t;
        val (gr', -) = Codegen.invoke-tycodegen thy defs dep thyname false
          (gr, fastype-of t);
        val (gr'', ps) = foldl-map
          (Codegen.invoke-codegen thy defs dep thyname false) (gr', ts)
        in SOME (gr'', Pretty.list [ ] ps) end handle TERM - => NONE;

    fun char-codegen thy defs gr dep thyname b t =
      let
        val i = HOLogic.dest-char t;
        val (gr', -) = Codegen.invoke-tycodegen thy defs dep thyname false
          (gr, fastype-of t)
        in SOME (gr', Codegen.str (ML-Syntax.print-string (chr i)))
        end handle TERM - => NONE;

    in
      Codegen.add-codegen list-codegen list-codegen
      #> Codegen.add-codegen char-codegen char-codegen
    end;
  >>

```

#### 40.7.2 Generation of efficient code

```

primrec
  member :: 'a ⇒ 'a list ⇒ bool (infixl mem 55)
where
  x mem [] ⟷ False
  | x mem (y#ys) ⟷ (if y = x then True else x mem ys)

```

```

primrec
  null :: 'a list ⇒ bool
where
  null [] = True
  | null (x#xs) = False

```

```

primrec
  list-inter :: 'a list ⇒ 'a list ⇒ 'a list
where
  list-inter [] bs = []
  | list-inter (a#as) bs =
    (if a ∈ set bs then a # list-inter as bs else list-inter as bs)

```

**primrec**

$$\text{list-all} :: ('a \Rightarrow \text{bool}) \Rightarrow ('a \text{ list} \Rightarrow \text{bool})$$
**where**

$$\text{list-all } P [] = \text{True}$$

$$| \text{list-all } P (x \# xs) = (P x \wedge \text{list-all } P xs)$$
**primrec**

$$\text{list-ex} :: ('a \Rightarrow \text{bool}) \Rightarrow ('a \text{ list} \Rightarrow \text{bool})$$
**where**

$$\text{list-ex } P [] = \text{False}$$

$$| \text{list-ex } P (x \# xs) = (P x \vee \text{list-ex } P xs)$$
**primrec**

$$\text{filtermap} :: ('a \Rightarrow 'b \text{ option}) \Rightarrow ('a \text{ list} \Rightarrow 'b \text{ list})$$
**where**

$$\text{filtermap } f [] = []$$

$$| \text{filtermap } f (x \# xs) =$$

$$(\text{case } f x \text{ of } \text{None} \Rightarrow \text{filtermap } f xs$$

$$| \text{Some } y \Rightarrow y \# \text{filtermap } f xs)$$
**primrec**

$$\text{map-filter} :: ('a \Rightarrow 'b) \Rightarrow ('a \Rightarrow \text{bool}) \Rightarrow ('a \text{ list} \Rightarrow 'b \text{ list})$$
**where**

$$\text{map-filter } f P [] = []$$

$$| \text{map-filter } f P (x \# xs) =$$

$$(\text{if } P x \text{ then } f x \# \text{map-filter } f P xs \text{ else } \text{map-filter } f P xs)$$

Only use *mem* for generating executable code. Otherwise use  $x \in \text{set } xs$  instead — it is much easier to reason about. The same is true for *list-all* and *list-ex*: write  $\forall x \in \text{set } xs$  and  $\exists x \in \text{set } xs$  instead because the HOL quantifiers are already known to the automatic provers. In fact, the declarations in the code subsection make sure that  $\in$ ,  $\forall x \in \text{set } xs$  and  $\exists x \in \text{set } xs$  are implemented efficiently.

Efficient emptiness check is implemented by *null*.

The functions *filtermap* and *map-filter* are just there to generate efficient code. Do not use them for modelling and proving.

**lemma** *rev-foldl-cons* [code]:

$$\text{rev } xs = \text{foldl } (\lambda xs x. x \# xs) [] xs$$

**proof** (*induct xs*)

**case** *Nil* **then show** ?*case* **by simp**

**next**

**case** *Cons*

{

**fix** *x xs ys*

**have** *foldl* ( $\lambda xs x. x \# xs$ ) *ys xs* @ [*x*]

$= \text{foldl } (\lambda xs x. x \# xs) (ys @ [x]) xs$

**by** (*induct xs arbitrary: ys*) *auto*

}

```

note aux = this
show ?case by (induct xs) (auto simp add: Cons aux)
qed

```

```

lemma mem-iff [code post]:
   $x \text{ mem } xs \longleftrightarrow x \in \text{set } xs$ 
by (induct xs) auto

```

```

lemmas in-set-code [code unfold] = mem-iff [symmetric]

```

```

lemma empty-null [code inline]:
   $xs = [] \longleftrightarrow \text{null } xs$ 
by (cases xs) simp-all

```

```

lemmas null-empty [code post] =
  empty-null [symmetric]

```

```

lemma list-inter-conv:
   $\text{set } (\text{list-inter } xs \ ys) = \text{set } xs \cap \text{set } ys$ 
by (induct xs) auto

```

```

lemma list-all-iff [code post]:
   $\text{list-all } P \ xs \longleftrightarrow (\forall x \in \text{set } xs. P \ x)$ 
by (induct xs) auto

```

```

lemmas list-ball-code [code unfold] = list-all-iff [symmetric]

```

```

lemma list-all-append [simp]:
   $\text{list-all } P \ (xs \ @ \ ys) \longleftrightarrow (\text{list-all } P \ xs \wedge \text{list-all } P \ ys)$ 
by (induct xs) auto

```

```

lemma list-all-rev [simp]:
   $\text{list-all } P \ (\text{rev } xs) \longleftrightarrow \text{list-all } P \ xs$ 
by (simp add: list-all-iff)

```

```

lemma list-all-length:
   $\text{list-all } P \ xs \longleftrightarrow (\forall n < \text{length } xs. P \ (xs \ ! \ n))$ 
unfolding list-all-iff by (auto intro: all-nth-imp-all-set)

```

```

lemma list-ex-iff [code post]:
   $\text{list-ex } P \ xs \longleftrightarrow (\exists x \in \text{set } xs. P \ x)$ 
by (induct xs) simp-all

```

```

lemmas list-bex-code [code unfold] =
  list-ex-iff [symmetric]

```

```

lemma list-ex-length:
   $\text{list-ex } P \ xs \longleftrightarrow (\exists n < \text{length } xs. P \ (xs \ ! \ n))$ 
unfolding list-ex-iff set-conv-nth by auto

```

**lemma** *filtermap-conv*:

$\text{filtermap } f \text{ } xs = \text{map } (\lambda x. \text{the } (f \text{ } x)) (\text{filter } (\lambda x. f \text{ } x \neq \text{None}) \text{ } xs)$   
**by** (*induct xs*) (*simp-all split: option.split*)

**lemma** *map-filter-conv* [*simp*]:

$\text{map-filter } f \text{ } P \text{ } xs = \text{map } f (\text{filter } P \text{ } xs)$   
**by** (*induct xs*) *auto*

Code for bounded quantification and summation over nats.

**lemma** *atMost-upto* [*code unfold*]:

$\{..n\} = \text{set } [0..<\text{Suc } n]$   
**by** *auto*

**lemma** *atLeast-upt* [*code unfold*]:

$\{..<n\} = \text{set } [0..<n]$   
**by** *auto*

**lemma** *greaterThanLessThan-upt* [*code unfold*]:

$\{n<..  
**by** *auto*$

**lemma** *atLeastLessThan-upt* [*code unfold*]:

$\{n..  
**by** *auto*$

**lemma** *greaterThanAtMost-upto* [*code unfold*]:

$\{n<..  
**by** *auto*$

**lemma** *atLeastAtMost-upto* [*code unfold*]:

$\{n..  
**by** *auto*$

**lemma** *all-nat-less-eq* [*code unfold*]:

$(\forall m<n::\text{nat}. P \text{ } m) \longleftrightarrow (\forall m \in \{0..  
**by** *auto*$

**lemma** *ex-nat-less-eq* [*code unfold*]:

$(\exists m<n::\text{nat}. P \text{ } m) \longleftrightarrow (\exists m \in \{0..  
**by** *auto*$

**lemma** *all-nat-less* [*code unfold*]:

$(\forall m\leq n::\text{nat}. P \text{ } m) \longleftrightarrow (\forall m \in \{0..  
**by** *auto*$

**lemma** *ex-nat-less* [*code unfold*]:

$(\exists m\leq n::\text{nat}. P \text{ } m) \longleftrightarrow (\exists m \in \{0..  
**by** *auto*$

```

lemma setsum-set-upt-conv-listsum [code unfold]:
  setsum f (set [k..n]) = listsum (map f [k..n])
apply(subst atLeastLessThan-upt[symmetric])
by (induct n) simp-all

end

```

## 41 Map: Maps

```

theory Map
imports List
begin

```

```

types ('a,'b)  $\sim=>$  = 'a => 'b option (infixr 0)
translations (type) a  $\sim=>$  b <= (type) a => b option

```

```

syntax (xsymbols)
   $\sim=>$  :: [type, type] => type (infixr  $\rightarrow$  0)

```

```

abbreviation
  empty :: 'a  $\sim=>$  'b where
    empty == %x. None

```

```

definition
  map-comp :: ('b  $\sim=>$  'c) => ('a  $\sim=>$  'b) => ('a  $\sim=>$  'c) (infixl o'-m 55)
where
  f o-m g = ( $\lambda k$ . case g k of None  $\Rightarrow$  None | Some v  $\Rightarrow$  f v)

```

```

notation (xsymbols)
  map-comp (infixl  $\circ_m$  55)

```

```

definition
  map-add :: ('a  $\sim=>$  'b) => ('a  $\sim=>$  'b) => ('a  $\sim=>$  'b) (infixl ++ 100)
where
  m1 ++ m2 = ( $\lambda x$ . case m2 x of None  $\Rightarrow$  m1 x | Some y  $\Rightarrow$  Some y)

```

```

definition
  restrict-map :: ('a  $\sim=>$  'b) => 'a set => ('a  $\sim=>$  'b) (infixl |' 110) where
  m |'A = ( $\lambda x$ . if x : A then m x else None)

```

```

notation (latex output)
  restrict-map (-| - [111,110] 110)

```

```

definition
  dom :: ('a  $\sim=>$  'b) => 'a set where
  dom m = {a. m a  $\sim=$  None}

```

**definition**

$ran :: ('a \rightsquigarrow 'b) \Rightarrow 'b \text{ set}$  **where**  
 $ran\ m = \{b. \exists a. m\ a = Some\ b\}$

**definition**

$map-le :: ('a \rightsquigarrow 'b) \Rightarrow ('a \rightsquigarrow 'b) \Rightarrow bool$  (**infix**  $\subseteq_m$  50) **where**  
 $(m_1 \subseteq_m m_2) = (\forall a \in dom\ m_1. m_1\ a = m_2\ a)$

**consts**

$map-of :: ('a * 'b)\ list \Rightarrow 'a \rightsquigarrow 'b$   
 $map-upds :: ('a \rightsquigarrow 'b) \Rightarrow 'a\ list \Rightarrow 'b\ list \Rightarrow ('a \rightsquigarrow 'b)$

**nonterminals**

$maplets\ maplet$

**syntax**

$-maplet :: ['a, 'a] \Rightarrow maplet$   $(- \ /|-\rangle / -)$   
 $-maplets :: ['a, 'a] \Rightarrow maplet$   $(- \ /[[-\rangle] / -)$   
 $:: maplet \Rightarrow maplets$   $(-)$   
 $-Maplets :: [maplet, maplets] \Rightarrow maplets$   $(-, / -)$   
 $-MapUpd :: ['a \rightsquigarrow 'b, maplets] \Rightarrow 'a \rightsquigarrow 'b$   $(-/('(-) [900,0]900)$   
 $-Map :: maplets \Rightarrow 'a \rightsquigarrow 'b$   $((1[-]))$

**syntax** (*xsymbols*)

$-maplet :: ['a, 'a] \Rightarrow maplet$   $(- \ / \mapsto / -)$   
 $-maplets :: ['a, 'a] \Rightarrow maplet$   $(- \ /[\mapsto] / -)$

**translations**

$-MapUpd\ m\ (-Maplets\ xy\ ms) == -MapUpd\ (-MapUpd\ m\ xy)\ ms$   
 $-MapUpd\ m\ (-maplet\ x\ y) == m(x := Some\ y)$   
 $-MapUpd\ m\ (-maplets\ x\ y) == map-upds\ m\ x\ y$   
 $-Map\ ms == -MapUpd\ (CONST\ empty)\ ms$   
 $-Map\ (-Maplets\ ms1\ ms2) <= -MapUpd\ (-Map\ ms1)\ ms2$   
 $-Maplets\ ms1\ (-Maplets\ ms2\ ms3) <= -Maplets\ (-Maplets\ ms1\ ms2)\ ms3$

**primrec**

$map-of\ [] = empty$   
 $map-of\ (p \# ps) = (map-of\ ps)(fst\ p \ |-\rangle\ snd\ p)$

**declare**  $map-of.simps$  [code del]**lemma**  $map-of-Cons-code$  [code]:

$map-of\ []\ k = None$   
 $map-of\ ((l, v) \# ps)\ k = (if\ l = k\ then\ Some\ v\ else\ map-of\ ps\ k)$   
**by**  $simp-all$

**defs**

$map-upds-def$  [code func]:  $m(xs \ |-\rangle\ ys) == m\ ++\ map-of\ (rev(zip\ xs\ ys))$



**41.1** *empty*

**lemma** *empty-upd-none* [simp]:  $\text{empty}(x := \text{None}) = \text{empty}$   
**by** (rule *ext*) *simp*

**41.2** *map-upd*

**lemma** *map-upd-triv*:  $t \ k = \text{Some } x \implies t(k|-\>x) = t$   
**by** (rule *ext*) *simp*

**lemma** *map-upd-nonempty* [simp]:  $t(k|-\>x) \sim = \text{empty}$   
**proof**

**assume**  $t(k \mapsto x) = \text{empty}$   
  **then have**  $(t(k \mapsto x)) \ k = \text{None}$  **by** *simp*  
  **then show** *False* **by** *simp*  
**qed**

**lemma** *map-upd-eqD1*:

**assumes**  $m(a \mapsto x) = n(a \mapsto y)$   
  **shows**  $x = y$   
**proof** –  
  **from** *prems* **have**  $(m(a \mapsto x)) \ a = (n(a \mapsto y)) \ a$  **by** *simp*  
  **then show** *?thesis* **by** *simp*  
**qed**

**lemma** *map-upd-Some-unfold*:

$((m(a|-\>b)) \ x = \text{Some } y) = (x = a \wedge b = y \vee x \neq a \wedge m \ x = \text{Some } y)$   
**by** *auto*

**lemma** *image-map-upd* [simp]:  $x \notin A \implies m(x \mapsto y) \ ` A = m \ ` A$   
**by** *auto*

**lemma** *finite-range-updI*:  $\text{finite } (\text{range } f) \implies \text{finite } (\text{range } (f(a|-\>b)))$   
**unfolding** *image-def*  
**apply** (*simp* (*no-asm-use*) *add:full-SetCompr-eq*)  
**apply** (rule *finite-subset*)  
  **prefer** 2 **apply** *assumption*  
**apply** (*auto*)  
**done**

**41.3** *map-of*

**lemma** *map-of-eq-None-iff*:

$(\text{map-of } xys \ x = \text{None}) = (x \notin \text{fst } \text{' } (\text{set } xys))$   
**by** (*induct* *xys*) *simp-all*

**lemma** *map-of-is-SomeD*:  $\text{map-of } xys \ x = \text{Some } y \implies (x, y) \in \text{set } xys$

**apply** (*induct* *xys*)  
  **apply** *simp*  
**apply** (*clarsimp split: if-splits*)

done

**lemma** *map-of-eq-Some-iff* [*simp*]:

$\text{distinct}(\text{map fst } xys) \implies (\text{map-of } xys \ x = \text{Some } y) = ((x, y) \in \text{set } xys)$

**apply** (*induct xys*)

**apply** *simp*

**apply** (*auto simp: map-of-eq-None-iff [symmetric]*)

done

**lemma** *Some-eq-map-of-iff* [*simp*]:

$\text{distinct}(\text{map fst } xys) \implies (\text{Some } y = \text{map-of } xys \ x) = ((x, y) \in \text{set } xys)$

**by** (*auto simp del: map-of-eq-Some-iff simp add: map-of-eq-Some-iff [symmetric]*)

**lemma** *map-of-is-SomeI* [*simp*]:  $\llbracket \text{distinct}(\text{map fst } xys); (x, y) \in \text{set } xys \rrbracket$

$\implies \text{map-of } xys \ x = \text{Some } y$

**apply** (*induct xys*)

**apply** *simp*

**apply** *force*

done

**lemma** *map-of-zip-is-None* [*simp*]:

$\text{length } xs = \text{length } ys \implies (\text{map-of } (\text{zip } xs \ ys) \ x = \text{None}) = (x \notin \text{set } xs)$

**by** (*induct rule: list-induct2*) *simp-all*

**lemma** *map-of-zip-is-Some*:

**assumes**  $\text{length } xs = \text{length } ys$

**shows**  $x \in \text{set } xs \longleftrightarrow (\exists y. \text{map-of } (\text{zip } xs \ ys) \ x = \text{Some } y)$

**using** *assms* **by** (*induct rule: list-induct2*) *simp-all*

**lemma** *map-of-zip-upd*:

**fixes**  $x :: 'a$  **and**  $xs :: 'a \text{ list}$  **and**  $ys \ zs :: 'b \text{ list}$

**assumes**  $\text{length } ys = \text{length } xs$

**and**  $\text{length } zs = \text{length } xs$

**and**  $x \notin \text{set } xs$

**and**  $\text{map-of } (\text{zip } xs \ ys)(x \mapsto y) = \text{map-of } (\text{zip } xs \ zs)(x \mapsto z)$

**shows**  $\text{map-of } (\text{zip } xs \ ys) = \text{map-of } (\text{zip } xs \ zs)$

**proof**

**fix**  $x' :: 'a$

**show**  $\text{map-of } (\text{zip } xs \ ys) \ x' = \text{map-of } (\text{zip } xs \ zs) \ x'$

**proof** (*cases*  $x = x'$ )

**case** *True*

**from** *assms* *True* *map-of-zip-is-None* [*of xs ys x*]

**have**  $\text{map-of } (\text{zip } xs \ ys) \ x' = \text{None}$  **by** *simp*

**moreover from** *assms* *True* *map-of-zip-is-None* [*of xs zs x*]

**have**  $\text{map-of } (\text{zip } xs \ zs) \ x' = \text{None}$  **by** *simp*

**ultimately show** *?thesis* **by** *simp*

**next**

**case** *False* **from** *assms*

**have**  $(\text{map-of } (\text{zip } xs \ ys)(x \mapsto y)) \ x' = (\text{map-of } (\text{zip } xs \ zs)(x \mapsto z)) \ x'$  **by**

```

auto
  with False show ?thesis by simp
qed
qed

lemma map-of-zip-inject:
  assumes length ys = length xs
  and length zs = length xs
  and dist: distinct xs
  and map-of: map-of (zip xs ys) = map-of (zip xs zs)
  shows ys = zs
using assms(1) assms(2)[symmetric] using dist map-of proof (induct ys xs zs
rule: list-induct3)
  case Nil show ?case by simp
next
  case (Cons y ys x xs z zs)
  from ⟨map-of (zip (x#xs) (y#ys)) = map-of (zip (x#xs) (z#zs))⟩
  have map-of: map-of (zip xs ys)(x ↦ y) = map-of (zip xs zs)(x ↦ z) by simp
  from Cons have length ys = length xs and length zs = length xs
  and x ∉ set xs by simp-all
  then have map-of (zip xs ys) = map-of (zip xs zs) using map-of by (rule
map-of-zip-upd)
  with Cons.hyps ⟨distinct (x # xs)⟩ have ys = zs by simp
  moreover from map-of have y = z by (rule map-upd-eqD1)
  ultimately show ?case by simp
qed

lemma finite-range-map-of: finite (range (map-of xys))
apply (induct xys)
  apply (simp-all add: image-constant)
  apply (rule finite-subset)
  prefer 2 apply assumption
  apply auto
done

lemma map-of-SomeD: map-of xs k = Some y ⟹ (k, y) ∈ set xs
by (induct xs) (simp, atomize (full), auto)

lemma map-of-mapk-SomeI:
  inj f ⟹ map-of t k = Some x ⟹
  map-of (map (split (%k. Pair (f k))) t) (f k) = Some x
by (induct t) (auto simp add: inj-eq)

lemma weak-map-of-SomeI: (k, x) : set l ⟹ ∃ x. map-of l k = Some x
by (induct l) auto

lemma map-of-filter-in:
  map-of xs k = Some z ⟹ P k z ⟹ map-of (filter (split P) xs) k = Some z
by (induct xs) auto

```

**lemma** *map-of-map*:  $\text{map-of } (\text{map } (\% (a, b). (a, f b)) \text{ } xs) \text{ } x = \text{option-map } f \text{ } (\text{map-of } xs \text{ } x)$   
**by** (*induct xs*) *auto*

#### 41.4 *option-map* related

**lemma** *option-map-o-empty* [*simp*]:  $\text{option-map } f \text{ } o \text{ } \text{empty} = \text{empty}$   
**by** (*rule ext*) *simp*

**lemma** *option-map-o-map-upd* [*simp*]:  
 $\text{option-map } f \text{ } o \text{ } m(a|->b) = (\text{option-map } f \text{ } o \text{ } m)(a|->f b)$   
**by** (*rule ext*) *simp*

#### 41.5 *map-comp* related

**lemma** *map-comp-empty* [*simp*]:  
 $m \circ_m \text{empty} = \text{empty}$   
 $\text{empty} \circ_m m = \text{empty}$   
**by** (*auto simp add: map-comp-def intro: ext split: option.splits*)

**lemma** *map-comp-simps* [*simp*]:  
 $m2 \text{ } k = \text{None} \implies (m1 \circ_m m2) \text{ } k = \text{None}$   
 $m2 \text{ } k = \text{Some } k' \implies (m1 \circ_m m2) \text{ } k = m1 \text{ } k'$   
**by** (*auto simp add: map-comp-def*)

**lemma** *map-comp-Some-iff*:  
 $((m1 \circ_m m2) \text{ } k = \text{Some } v) = (\exists k'. m2 \text{ } k = \text{Some } k' \wedge m1 \text{ } k' = \text{Some } v)$   
**by** (*auto simp add: map-comp-def split: option.splits*)

**lemma** *map-comp-None-iff*:  
 $((m1 \circ_m m2) \text{ } k = \text{None}) = (m2 \text{ } k = \text{None} \vee (\exists k'. m2 \text{ } k = \text{Some } k' \wedge m1 \text{ } k' = \text{None}))$   
**by** (*auto simp add: map-comp-def split: option.splits*)

#### 41.6 ++

**lemma** *map-add-empty*[*simp*]:  $m ++ \text{empty} = m$   
**by**(*simp add: map-add-def*)

**lemma** *empty-map-add*[*simp*]:  $\text{empty} ++ m = m$   
**by** (*rule ext*) (*simp add: map-add-def split: option.split*)

**lemma** *map-add-assoc*[*simp*]:  $m1 ++ (m2 ++ m3) = (m1 ++ m2) ++ m3$   
**by** (*rule ext*) (*simp add: map-add-def split: option.split*)

**lemma** *map-add-Some-iff*:  
 $((m ++ n) \text{ } k = \text{Some } x) = (n \text{ } k = \text{Some } x \mid n \text{ } k = \text{None} \ \& \ m \text{ } k = \text{Some } x)$   
**by** (*simp add: map-add-def split: option.split*)

**lemma** *map-add-SomeD* [*dest!*]:

$(m ++ n) \ k = \text{Some } x \implies n \ k = \text{Some } x \vee n \ k = \text{None} \wedge m \ k = \text{Some } x$

**by** (*rule* *map-add-Some-iff* [*THEN iffD1*])

**lemma** *map-add-find-right* [*simp*]:  $!!xx. n \ k = \text{Some } xx \implies (m ++ n) \ k = \text{Some } xx$

**by** (*subst* *map-add-Some-iff*) *fast*

**lemma** *map-add-None* [*iff*]:  $((m ++ n) \ k = \text{None}) = (n \ k = \text{None} \ \& \ m \ k = \text{None})$

**by** (*simp* *add*: *map-add-def* *split*: *option.split*)

**lemma** *map-add-upd*[*simp*]:  $f ++ g(x|->y) = (f ++ g)(x|->y)$

**by** (*rule* *ext*) (*simp* *add*: *map-add-def*)

**lemma** *map-add-upds*[*simp*]:  $m1 ++ (m2(xs[\mapsto]ys)) = (m1 ++ m2)(xs[\mapsto]ys)$

**by** (*simp* *add*: *map-upds-def*)

**lemma** *map-of-append*[*simp*]:  $\text{map-of } (xs @ ys) = \text{map-of } ys ++ \text{map-of } xs$

**unfolding** *map-add-def*

**apply** (*induct* *xs*)

**apply** *simp*

**apply** (*rule* *ext*)

**apply** (*simp* *split* *add*: *option.split*)

**done**

**lemma** *finite-range-map-of-map-add*:

$\text{finite } (\text{range } f) \implies \text{finite } (\text{range } (f ++ \text{map-of } l))$

**apply** (*induct* *l*)

**apply** (*auto* *simp* *del*: *fun-upd-apply*)

**apply** (*erule* *finite-range-updI*)

**done**

**lemma** *inj-on-map-add-dom* [*iff*]:

$\text{inj-on } (m ++ m') \ (\text{dom } m') = \text{inj-on } m' \ (\text{dom } m')$

**by** (*fastsimp* *simp*: *map-add-def* *dom-def* *inj-on-def* *split*: *option.splits*)

## 41.7 restrict-map

**lemma** *restrict-map-to-empty* [*simp*]:  $m|'\{\} = \text{empty}$

**by** (*simp* *add*: *restrict-map-def*)

**lemma** *restrict-map-empty* [*simp*]:  $\text{empty}|'D = \text{empty}$

**by** (*simp* *add*: *restrict-map-def*)

**lemma** *restrict-in* [*simp*]:  $x \in A \implies (m|'A) \ x = m \ x$

**by** (*simp* *add*: *restrict-map-def*)

**lemma** *restrict-out* [*simp*]:  $x \notin A \implies (m|'A) \ x = \text{None}$

**by** (*simp add: restrict-map-def*)

**lemma** *ran-restrictD*:  $y \in \text{ran } (m|'A) \implies \exists x \in A. m\ x = \text{Some } y$   
**by** (*auto simp: restrict-map-def ran-def split: split-if-asm*)

**lemma** *dom-restrict* [*simp*]:  $\text{dom } (m|'A) = \text{dom } m \cap A$   
**by** (*auto simp: restrict-map-def dom-def split: split-if-asm*)

**lemma** *restrict-upd-same* [*simp*]:  $m(x \mapsto y)|'(-\{x\}) = m|'(-\{x\})$   
**by** (*rule ext*) (*auto simp: restrict-map-def*)

**lemma** *restrict-restrict* [*simp*]:  $m|'A|'B = m|'(A \cap B)$   
**by** (*rule ext*) (*auto simp: restrict-map-def*)

**lemma** *restrict-fun-upd* [*simp*]:  
 $m(x := y)|'D = (\text{if } x \in D \text{ then } (m|'(D - \{x\}))(x := y) \text{ else } m|'D)$   
**by** (*simp add: restrict-map-def expand-fun-eq*)

**lemma** *fun-upd-None-restrict* [*simp*]:  
 $(m|'D)(x := \text{None}) = (\text{if } x:D \text{ then } m|'(D - \{x\}) \text{ else } m|'D)$   
**by** (*simp add: restrict-map-def expand-fun-eq*)

**lemma** *fun-upd-restrict*:  $(m|'D)(x := y) = (m|'(D - \{x\}))(x := y)$   
**by** (*simp add: restrict-map-def expand-fun-eq*)

**lemma** *fun-upd-restrict-conv* [*simp*]:  
 $x \in D \implies (m|'D)(x := y) = (m|'(D - \{x\}))(x := y)$   
**by** (*simp add: restrict-map-def expand-fun-eq*)

## 41.8 map-upds

**lemma** *map-upds-Nil1* [*simp*]:  $m([], [] \mapsto bs) = m$   
**by** (*simp add: map-upds-def*)

**lemma** *map-upds-Nil2* [*simp*]:  $m(as\ [] \mapsto []) = m$   
**by** (*simp add: map-upds-def*)

**lemma** *map-upds-Cons* [*simp*]:  $m(a \# as\ [] \mapsto b \# bs) = (m(a \mapsto b))(as\ [] \mapsto bs)$   
**by** (*simp add: map-upds-def*)

**lemma** *map-upds-append1* [*simp*]:  $\bigwedge ys\ m. \text{size } xs < \text{size } ys \implies$   
 $m(xs @ [x]\ [] \mapsto ys) = m(xs\ [] \mapsto ys)(x \mapsto ys!\text{size } xs)$   
**apply** (*induct xs*)  
**apply** (*clarsimp simp add: neq-Nil-conv*)  
**apply** (*case-tac ys*)  
**apply** *simp*  
**apply** *simp*  
**done**

**lemma** *map-upds-list-update2-drop* [simp]:

$\llbracket \text{size } xs \leq i; i < \text{size } ys \rrbracket$   
   $\implies m(xs[\mapsto]ys[i:=y]) = m(xs[\mapsto]ys)$   
**apply** (*induct xs arbitrary: m ys i*)  
  **apply** *simp*  
**apply** (*case-tac ys*)  
  **apply** *simp*  
**apply** (*simp split: nat.split*)  
**done**

**lemma** *map-upd-upds-conv-if*:

$(f(x|->y))(xs \llbracket -> \rrbracket ys) =$   
   $(\text{if } x : \text{set}(\text{take } (\text{length } ys) \text{ } xs) \text{ then } f(xs \llbracket -> \rrbracket ys)$   
   $\quad \text{else } (f(xs \llbracket -> \rrbracket ys))(x|->y))$   
**apply** (*induct xs arbitrary: x y ys f*)  
  **apply** *simp*  
**apply** (*case-tac ys*)  
  **apply** (*auto split: split-if simp: fun-upd-twist*)  
**done**

**lemma** *map-upds-twist* [simp]:

$a \sim : \text{set } as \implies m(a|->b)(as \llbracket -> \rrbracket bs) = m(as \llbracket -> \rrbracket bs)(a|->b)$   
**using** *set-take-subset* **by** (*fastsimp simp add: map-upd-upds-conv-if*)

**lemma** *map-upds-apply-nontin* [simp]:

$x \sim : \text{set } xs \implies (f(xs \llbracket -> \rrbracket ys)) \ x = f \ x$   
**apply** (*induct xs arbitrary: ys*)  
  **apply** *simp*  
**apply** (*case-tac ys*)  
  **apply** (*auto simp: map-upd-upds-conv-if*)  
**done**

**lemma** *fun-upds-append-drop* [simp]:

$\text{size } xs = \text{size } ys \implies m(xs@zs[\mapsto]ys) = m(xs[\mapsto]ys)$   
**apply** (*induct xs arbitrary: m ys*)  
  **apply** *simp*  
**apply** (*case-tac ys*)  
  **apply** *simp-all*  
**done**

**lemma** *fun-upds-append2-drop* [simp]:

$\text{size } xs = \text{size } ys \implies m(xs[\mapsto]ys@zs) = m(xs[\mapsto]ys)$   
**apply** (*induct xs arbitrary: m ys*)  
  **apply** *simp*  
**apply** (*case-tac ys*)  
  **apply** *simp-all*  
**done**

```

lemma restrict-map-upds[simp]:
  [| length xs = length ys; set xs  $\subseteq$  D |]
     $\implies$  m(xs [| $\mapsto$ ] ys) | 'D = (m | ' (D - set xs))(xs [| $\mapsto$ ] ys)
apply (induct xs arbitrary: m ys)
apply simp
apply (case-tac ys)
apply simp
apply (simp add: Diff-insert [symmetric] insert-absorb)
apply (simp add: map-upd-upds-conv-if)
done

```

#### 41.9 dom

```

lemma domI: m a = Some b  $\implies$  a : dom m
by (simp add: dom-def)

```

```

lemma domD: a : dom m  $\implies$   $\exists$  b. m a = Some b
by (cases m a) (auto simp add: dom-def)

```

```

lemma domIff [iff, simp del]: (a : dom m) = (m a  $\sim$  None)
by (simp add: dom-def)

```

```

lemma dom-empty [simp]: dom empty = {}
by (simp add: dom-def)

```

```

lemma dom-fun-upd [simp]:
  dom(f(x := y)) = (if y=None then dom f - {x} else insert x (dom f))
by (auto simp add: dom-def)

```

```

lemma dom-map-of: dom(map-of xys) = {x.  $\exists$  y. (x,y) : set xys}
by (induct xys) (auto simp del: fun-upd-apply)

```

```

lemma dom-map-of-conv-image-fst:
  dom(map-of xys) = fst ' (set xys)
by (force simp: dom-map-of)

```

```

lemma dom-map-of-zip [simp]: [| length xs = length ys; distinct xs |]  $\implies$ 
  dom(map-of(zip xs ys)) = set xs
by (induct rule: list-induct2) simp-all

```

```

lemma finite-dom-map-of: finite (dom (map-of l))
by (induct l) (auto simp add: dom-def insert-Collect [symmetric])

```

```

lemma dom-map-upds [simp]:
  dom(m(xs[| $\rightarrow$ ]ys)) = set(take (length ys) xs)  $\cup$  dom m
apply (induct xs arbitrary: m ys)
apply simp
apply (case-tac ys)

```



**apply** *auto*  
**done**

**lemma** *dom-map-add* [*simp*]:  $\text{dom}(m++n) = \text{dom } n \cup \text{dom } m$   
**by** (*auto simp: dom-def*)

**lemma** *dom-override-on* [*simp*]:  
 $\text{dom}(\text{override-on } f \ g \ A) =$   
 $(\text{dom } f - \{a. a : A - \text{dom } g\}) \cup \{a. a : A \mid \text{Int dom } g\}$   
**by** (*auto simp: dom-def override-on-def*)

**lemma** *map-add-comm*:  $\text{dom } m1 \cap \text{dom } m2 = \{\} \implies m1++m2 = m2++m1$   
**by** (*rule ext*) (*force simp: map-add-def dom-def split: option.split*)

**lemma** *finite-map-freshness*:  
 $\text{finite}(\text{dom}(f :: 'a \rightarrow 'b)) \implies \neg \text{finite}(\text{UNIV} :: 'a \text{ set}) \implies$   
 $\exists x. f \ x = \text{None}$   
**by** (*bestsimp dest: ex-new-if-finite*)

#### 41.10 *ran*

**lemma** *ranI*:  $m \ a = \text{Some } b \implies b : \text{ran } m$   
**by** (*auto simp: ran-def*)

**lemma** *ran-empty* [*simp*]:  $\text{ran empty} = \{\}$   
**by** (*auto simp: ran-def*)

**lemma** *ran-map-upd* [*simp*]:  $m \ a = \text{None} \implies \text{ran}(m(a|->b)) = \text{insert } b \ (\text{ran } m)$   
**unfolding** *ran-def*  
**apply** *auto*  
**apply** (*subgoal-tac aa ~ = a*)  
**apply** *auto*  
**done**

#### 41.11 *map-le*

**lemma** *map-le-empty* [*simp*]:  $\text{empty} \subseteq_m g$   
**by** (*simp add: map-le-def*)

**lemma** *upd-None-map-le* [*simp*]:  $f(x := \text{None}) \subseteq_m f$   
**by** (*force simp add: map-le-def*)

**lemma** *map-le-upd* [*simp*]:  $f \subseteq_m g \implies f(a := b) \subseteq_m g(a := b)$   
**by** (*fastsimp simp add: map-le-def*)

**lemma** *map-le-imp-upd-le* [*simp*]:  $m1 \subseteq_m m2 \implies m1(x := \text{None}) \subseteq_m m2(x \mapsto y)$

**by** (*force simp add: map-le-def*)

**lemma** *map-le-upds* [*simp*]:  
 $f \subseteq_m g \implies f(as \ [|->] \ bs) \subseteq_m g(as \ [|->] \ bs)$   
**apply** (*induct as arbitrary: f g bs*)  
**apply** *simp*  
**apply** (*case-tac bs*)  
**apply** *auto*  
**done**

**lemma** *map-le-implies-dom-le*:  $(f \subseteq_m g) \implies (dom \ f \subseteq dom \ g)$   
**by** (*fastsimp simp add: map-le-def dom-def*)

**lemma** *map-le-refl* [*simp*]:  $f \subseteq_m f$   
**by** (*simp add: map-le-def*)

**lemma** *map-le-trans*[*trans*]:  $\llbracket m1 \subseteq_m m2; m2 \subseteq_m m3 \rrbracket \implies m1 \subseteq_m m3$   
**by** (*auto simp add: map-le-def dom-def*)

**lemma** *map-le-antisym*:  $\llbracket f \subseteq_m g; g \subseteq_m f \rrbracket \implies f = g$   
**unfolding** *map-le-def*  
**apply** (*rule ext*)  
**apply** (*case-tac x ∈ dom f, simp*)  
**apply** (*case-tac x ∈ dom g, simp, fastsimp*)  
**done**

**lemma** *map-le-map-add* [*simp*]:  $f \subseteq_m (g ++ f)$   
**by** (*fastsimp simp add: map-le-def*)

**lemma** *map-le-iff-map-add-commute*:  $(f \subseteq_m f ++ g) = (f ++ g = g ++ f)$   
**by**(*fastsimp simp: map-add-def map-le-def expand-fun-eq split: option.splits*)

**lemma** *map-add-le-mapE*:  $f ++ g \subseteq_m h \implies g \subseteq_m h$   
**by** (*fastsimp simp add: map-le-def map-add-def dom-def*)

**lemma** *map-add-le-mapI*:  $\llbracket f \subseteq_m h; g \subseteq_m h; f \subseteq_m f ++ g \rrbracket \implies f ++ g \subseteq_m h$   
**by** (*clarsimp simp add: map-le-def map-add-def dom-def split: option.splits*)

**end**

## 42 Main: Main HOL

**theory** *Main*  
**imports** *Map*  
**begin**

**ML**  $\ll val \text{HOL-proofs} = ! \text{Proofterm.proofs} \gg$

**ML**  $\langle\langle$  *path-add*  $\sim\sim$  /*src/HOL/Library*  $\rangle\rangle$   
**end**

## References