

The Supplemental Isabelle/HOL Library

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1 GCD: The Greatest Common Divisor

theory *GCD*
imports *ATP-Linkup*
begin

See [3].

1.1 Specification of GCD on nats

definition

is-gcd :: $\text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow \text{bool}$ **where** — *gcd* as a relation
is-gcd $p\ m\ n \iff p\ \text{dvd}\ m \wedge p\ \text{dvd}\ n \wedge$
 $(\forall d. d\ \text{dvd}\ m \longrightarrow d\ \text{dvd}\ n \longrightarrow d\ \text{dvd}\ p)$

Uniqueness

lemma *is-gcd-unique*: $\text{is-gcd}\ m\ a\ b \implies \text{is-gcd}\ n\ a\ b \implies m = n$
 $\langle \text{proof} \rangle$

Connection to divides relation

lemma *is-gcd-dvd*: $\text{is-gcd}\ m\ a\ b \implies k\ \text{dvd}\ a \implies k\ \text{dvd}\ b \implies k\ \text{dvd}\ m$
 $\langle \text{proof} \rangle$

Commutativity

lemma *is-gcd-commute*: $\text{is-gcd}\ k\ m\ n = \text{is-gcd}\ k\ n\ m$
 $\langle \text{proof} \rangle$

1.2 GCD on nat by Euclid’s algorithm

fun

gcd :: $\text{nat} \times \text{nat} \Rightarrow \text{nat}$

where

$\text{gcd}\ (m, n) = (\text{if } n = 0 \text{ then } m \text{ else } \text{gcd}\ (n, m \bmod n))$

lemma *gcd-induct*:

fixes $m\ n :: \text{nat}$

assumes $\bigwedge m. P\ m\ 0$

and $\bigwedge m\ n. 0 < n \implies P\ n\ (m \bmod n) \implies P\ m\ n$

shows $P\ m\ n$

$\langle \text{proof} \rangle$

lemma *gcd-0* [*simp*]: $\text{gcd}\ (m, 0) = m$
 $\langle \text{proof} \rangle$

lemma *gcd-0-left* [*simp*]: $\text{gcd}\ (0, m) = m$
 $\langle \text{proof} \rangle$

lemma *gcd-non-0*: $n > 0 \implies \text{gcd}\ (m, n) = \text{gcd}\ (n, m \bmod n)$
 $\langle \text{proof} \rangle$

lemma *gcd-1* [*simp*]: $\text{gcd } (m, \text{Suc } 0) = 1$
 ⟨*proof*⟩

declare *gcd.simps* [*simp del*]

$\text{gcd } (m, n)$ divides m and n . The conjunctions don’t seem provable separately.

lemma *gcd-dvd1* [*iff*]: $\text{gcd } (m, n) \text{ dvd } m$
and *gcd-dvd2* [*iff*]: $\text{gcd } (m, n) \text{ dvd } n$
 ⟨*proof*⟩

Maximality: for all m, n, k naturals, if k divides m and k divides n then k divides $\text{gcd } (m, n)$.

lemma *gcd-greatest*: $k \text{ dvd } m \implies k \text{ dvd } n \implies k \text{ dvd } \text{gcd } (m, n)$
 ⟨*proof*⟩

Function *gcd* yields the Greatest Common Divisor.

lemma *is-gcd*: $\text{is-gcd } (\text{gcd } (m, n)) \ m \ n$
 ⟨*proof*⟩

1.3 Derived laws for GCD

lemma *gcd-greatest-iff* [*iff*]: $k \text{ dvd } \text{gcd } (m, n) \longleftrightarrow k \text{ dvd } m \wedge k \text{ dvd } n$
 ⟨*proof*⟩

lemma *gcd-zero*: $\text{gcd } (m, n) = 0 \longleftrightarrow m = 0 \wedge n = 0$
 ⟨*proof*⟩

lemma *gcd-commute*: $\text{gcd } (m, n) = \text{gcd } (n, m)$
 ⟨*proof*⟩

lemma *gcd-assoc*: $\text{gcd } (\text{gcd } (k, m), n) = \text{gcd } (k, \text{gcd } (m, n))$
 ⟨*proof*⟩

lemma *gcd-1-left* [*simp*]: $\text{gcd } (\text{Suc } 0, m) = 1$
 ⟨*proof*⟩

Multiplication laws

lemma *gcd-mult-distrib2*: $k * \text{gcd } (m, n) = \text{gcd } (k * m, k * n)$
 — [3, page 27]
 ⟨*proof*⟩

lemma *gcd-mult* [*simp*]: $\text{gcd } (k, k * n) = k$
 ⟨*proof*⟩

lemma *gcd-self* [*simp*]: $\text{gcd } (k, k) = k$

$\langle \text{proof} \rangle$

lemma *relprime-dvd-mult*: $\text{gcd } (k, n) = 1 \implies k \text{ dvd } m * n \implies k \text{ dvd } m$
 $\langle \text{proof} \rangle$

lemma *relprime-dvd-mult-iff*: $\text{gcd } (k, n) = 1 \implies (k \text{ dvd } m * n) = (k \text{ dvd } m)$
 $\langle \text{proof} \rangle$

lemma *gcd-mult-cancel*: $\text{gcd } (k, n) = 1 \implies \text{gcd } (k * m, n) = \text{gcd } (m, n)$
 $\langle \text{proof} \rangle$

Addition laws

lemma *gcd-add1* [simp]: $\text{gcd } (m + n, n) = \text{gcd } (m, n)$
 $\langle \text{proof} \rangle$

lemma *gcd-add2* [simp]: $\text{gcd } (m, m + n) = \text{gcd } (m, n)$
 $\langle \text{proof} \rangle$

lemma *gcd-add2'* [simp]: $\text{gcd } (m, n + m) = \text{gcd } (m, n)$
 $\langle \text{proof} \rangle$

lemma *gcd-add-mult*: $\text{gcd } (m, k * m + n) = \text{gcd } (m, n)$
 $\langle \text{proof} \rangle$

lemma *gcd-dvd-prod*: $\text{gcd } (m, n) \text{ dvd } m * n$
 $\langle \text{proof} \rangle$

Division by gcd yields relatively primes.

lemma *div-gcd-relprime*:
assumes *nz*: $a \neq 0 \vee b \neq 0$
shows $\text{gcd } (a \text{ div } \text{gcd}(a,b), b \text{ div } \text{gcd}(a,b)) = 1$
 $\langle \text{proof} \rangle$

1.4 LCM defined by GCD

definition

$\text{lcm} :: \text{nat} \times \text{nat} \Rightarrow \text{nat}$

where

lcm-prim-def: $\text{lcm} = (\lambda(m, n). m * n \text{ div } \text{gcd } (m, n))$

lemma *lcm-def*:
 $\text{lcm } (m, n) = m * n \text{ div } \text{gcd } (m, n)$
 $\langle \text{proof} \rangle$

lemma *prod-gcd-lcm*:
 $m * n = \text{gcd } (m, n) * \text{lcm } (m, n)$
 $\langle \text{proof} \rangle$

lemma *lcm-0* [simp]: $\text{lcm } (m, 0) = 0$

$\langle proof \rangle$

lemma *lcm-1* [*simp*]: $lcm\ (m, 1) = m$
 $\langle proof \rangle$

lemma *lcm-0-left* [*simp*]: $lcm\ (0, n) = 0$
 $\langle proof \rangle$

lemma *lcm-1-left* [*simp*]: $lcm\ (1, m) = m$
 $\langle proof \rangle$

lemma *dvd-pos*:
 fixes $n\ m :: nat$
 assumes $n > 0$ and $m\ dvd\ n$
 shows $m > 0$
 $\langle proof \rangle$

lemma *lcm-least*:
 assumes $m\ dvd\ k$ and $n\ dvd\ k$
 shows $lcm\ (m, n)\ dvd\ k$
 $\langle proof \rangle$

lemma *lcm-dvd1* [*iff*]:
 $m\ dvd\ lcm\ (m, n)$
 $\langle proof \rangle$

lemma *lcm-dvd2* [*iff*]:
 $n\ dvd\ lcm\ (m, n)$
 $\langle proof \rangle$

1.5 GCD and LCM on integers

definition
 $igcd :: int \Rightarrow int \Rightarrow int$ **where**
 $igcd\ i\ j = int\ (gcd\ (nat\ (abs\ i), nat\ (abs\ j)))$

lemma *igcd-dvd1* [*simp*]: $igcd\ i\ j\ dvd\ i$
 $\langle proof \rangle$

lemma *igcd-dvd2* [*simp*]: $igcd\ i\ j\ dvd\ j$
 $\langle proof \rangle$

lemma *igcd-pos*: $igcd\ i\ j \geq 0$
 $\langle proof \rangle$

lemma *igcd0* [*simp*]: $(igcd\ i\ j = 0) = (i = 0 \wedge j = 0)$
 $\langle proof \rangle$

lemma *igcd-commute*: $igcd\ i\ j = igcd\ j\ i$

$\langle proof \rangle$

lemma *igcd-neg1* [simp]: $igcd (- i) j = igcd i j$
 $\langle proof \rangle$

lemma *igcd-neg2* [simp]: $igcd i (- j) = igcd i j$
 $\langle proof \rangle$

lemma *zrelprime-dvd-mult*: $igcd i j = 1 \implies i \text{ dvd } k * j \implies i \text{ dvd } k$
 $\langle proof \rangle$

lemma *int-nat-abs*: $int (nat (abs x)) = abs x$ $\langle proof \rangle$

lemma *igcd-greatest*:
 assumes $k \text{ dvd } m$ and $k \text{ dvd } n$
 shows $k \text{ dvd } igcd m n$
 $\langle proof \rangle$

lemma *div-igcd-relprime*:
 assumes $nz: a \neq 0 \vee b \neq 0$
 shows $igcd (a \text{ div } (igcd a b)) (b \text{ div } (igcd a b)) = 1$
 $\langle proof \rangle$

definition *ilcm* = $(\lambda i j. int (lcm(nat(abs i), nat(abs j))))$

lemma *dvd-ilcm-self1* [simp]: $i \text{ dvd } ilcm i j$
 $\langle proof \rangle$

lemma *dvd-ilcm-self2* [simp]: $j \text{ dvd } ilcm i j$
 $\langle proof \rangle$

lemma *dvd-imp-dvd-ilcm1*:
 assumes $k \text{ dvd } i$ shows $k \text{ dvd } (ilcm i j)$
 $\langle proof \rangle$

lemma *dvd-imp-dvd-ilcm2*:
 assumes $k \text{ dvd } j$ shows $k \text{ dvd } (ilcm i j)$
 $\langle proof \rangle$

lemma *zdvd-self-abs1*: $(d::int) \text{ dvd } (abs d)$
 $\langle proof \rangle$

lemma *zdvd-self-abs2*: $(abs (d::int)) \text{ dvd } d$
 $\langle proof \rangle$

lemma *lcm-pos*:
 assumes *mpos*: $m > 0$
 and *npos*: $n > 0$
 shows $\text{lcm } (m, n) > 0$
 $\langle \text{proof} \rangle$

lemma *ilcm-pos*:
 assumes *anz*: $a \neq 0$
 and *bnz*: $b \neq 0$
 shows $0 < \text{ilcm } a \ b$
 $\langle \text{proof} \rangle$

end

2 Abstract-Rat: Abstract rational numbers

theory *Abstract-Rat*
imports *GCD*
begin

types $\text{Num} = \text{int} \times \text{int}$

abbreviation
 $\text{Num}0\text{-syn} :: \text{Num } (0_N)$
where $0_N \equiv (0, 0)$

abbreviation
 $\text{Num}i\text{-syn} :: \text{int} \Rightarrow \text{Num } (-_N)$
where $i_N \equiv (i, 1)$

definition
 $\text{isnormNum} :: \text{Num} \Rightarrow \text{bool}$
where
 $\text{isnormNum} = (\lambda(a, b). (\text{if } a = 0 \text{ then } b = 0 \text{ else } b > 0 \wedge \text{igcd } a \ b = 1))$

definition
 $\text{normNum} :: \text{Num} \Rightarrow \text{Num}$
where
 $\text{normNum} = (\lambda(a, b). (\text{if } a=0 \vee b = 0 \text{ then } (0, 0) \text{ else } (\text{let } g = \text{igcd } a \ b \text{ in if } b > 0 \text{ then } (a \text{ div } g, b \text{ div } g) \text{ else } (- (a \text{ div } g), - (b \text{ div } g)))))$

lemma *normNum-isnormNum [simp]*: $\text{isnormNum } (\text{normNum } x)$
 $\langle \text{proof} \rangle$

Arithmetic over Num

definition
 $\text{Nadd} :: \text{Num} \Rightarrow \text{Num} \Rightarrow \text{Num } (\text{infixl } +_N \ 60)$

where

$Nadd = (\lambda(a,b) (a',b'). \text{ if } a = 0 \vee b = 0 \text{ then } normNum(a',b') \\ \text{ else if } a'=0 \vee b' = 0 \text{ then } normNum(a,b) \\ \text{ else } normNum(a*b' + b*a', b*b'))$

definition

$Nmul :: Num \Rightarrow Num \Rightarrow Num \text{ (infixl } *_N 60)$

where

$Nmul = (\lambda(a,b) (a',b'). \text{ let } g = igcd (a*a') (b*b') \\ \text{ in } (a*a' \text{ div } g, b*b' \text{ div } g))$

definition

$Nneg :: Num \Rightarrow Num (\sim_N)$

where

$Nneg \equiv (\lambda(a,b). (-a,b))$

definition

$Nsub :: Num \Rightarrow Num \Rightarrow Num \text{ (infixl } -_N 60)$

where

$Nsub = (\lambda a b. a +_N \sim_N b)$

definition

$Ninv :: Num \Rightarrow Num$

where

$Ninv \equiv \lambda(a,b). \text{ if } a < 0 \text{ then } (-b, |a|) \text{ else } (b,a)$

definition

$Ndiv :: Num \Rightarrow Num \Rightarrow Num \text{ (infixl } \div_N 60)$

where

$Ndiv \equiv \lambda a b. a *_N Ninv b$

lemma $Nneg\text{-}normN[simp]$: $isnormNum x \implies isnormNum (\sim_N x)$
 $\langle proof \rangle$

lemma $Nadd\text{-}normN[simp]$: $isnormNum (x +_N y)$
 $\langle proof \rangle$

lemma $Nsub\text{-}normN[simp]$: $\llbracket isnormNum y \rrbracket \implies isnormNum (x -_N y)$
 $\langle proof \rangle$

lemma $Nmul\text{-}normN[simp]$: **assumes** $xn:isnormNum x$ **and** $yn:isnormNum y$
shows $isnormNum (x *_N y)$
 $\langle proof \rangle$

lemma $Ninv\text{-}normN[simp]$: $isnormNum x \implies isnormNum (Ninv x)$
 $\langle proof \rangle$

lemma $isnormNum\text{-}int[simp]$:
 $isnormNum 0_N \text{ isnormNum } (1::int)_N i \neq 0 \implies isnormNum i_N$
 $\langle proof \rangle$

Relations over Num

definition

$$Nlt0 :: Num \Rightarrow bool \ (0 >_N)$$
where

$$Nlt0 = (\lambda(a,b). a < 0)$$
definition

$$Nle0 :: Num \Rightarrow bool \ (0 \geq_N)$$
where

$$Nle0 = (\lambda(a,b). a \leq 0)$$
definition

$$Ngt0 :: Num \Rightarrow bool \ (0 <_N)$$
where

$$Ngt0 = (\lambda(a,b). a > 0)$$
definition

$$Nge0 :: Num \Rightarrow bool \ (0 \leq_N)$$
where

$$Nge0 = (\lambda(a,b). a \geq 0)$$
definition

$$Nlt :: Num \Rightarrow Num \Rightarrow bool \ (\mathbf{infix} <_N \ 55)$$
where

$$Nlt = (\lambda a \ b. 0 >_N (a -_N b))$$
definition

$$Nle :: Num \Rightarrow Num \Rightarrow bool \ (\mathbf{infix} \leq_N \ 55)$$
where

$$Nle = (\lambda a \ b. 0 \geq_N (a -_N b))$$
definition

$$INum = (\lambda(a,b). \text{of-int } a \ / \ \text{of-int } b)$$

lemma *INum-int [simp]*: $INum \ i_N = ((\text{of-int } i) :: 'a :: \text{field}) \ INum \ 0_N = (0 :: 'a :: \text{field})$
 $\langle \text{proof} \rangle$

lemma *isnormNum-unique[simp]*:

assumes *na*: *isnormNum* *x* **and** *nb*: *isnormNum* *y*

shows $((INum \ x :: 'a :: \{\text{ring-char-0, field, division-by-zero}\}) = INum \ y) = (x = y)$ **(is ?lhs = ?rhs)**
 $\langle \text{proof} \rangle$

lemma *isnormNum0[simp]*: $isnormNum \ x \implies (INum \ x = (0 :: 'a :: \{\text{ring-char-0, field, division-by-zero}\})) = (x = 0_N)$
 $\langle \text{proof} \rangle$

lemma *of-int-div-aux*: $d \sim 0 \implies ((\text{of-int } x) :: 'a :: \{\text{field, ring-char-0}\}) \ / \ (\text{of-int } d) =$

$of_int\ (x\ div\ d) + (of_int\ (x\ mod\ d)) / ((of_int\ d)::'a)$
 $\langle proof \rangle$

lemma *of-int-div*: $(d::int) \sim = 0 ==> d\ dvd\ n ==>$
 $(of_int(n\ div\ d)::'a::\{field,\ ring_char-0\}) = of_int\ n / of_int\ d$
 $\langle proof \rangle$

lemma *normNum[simp]*: $INum\ (normNum\ x) = (INum\ x :: 'a::\{ring_char-0,field,$
division-by-zero $\})$
 $\langle proof \rangle$

lemma *INum-normNum-iff*: $(INum\ x :: 'a::\{field,\ division-by-zero,\ ring_char-0\})$
 $= INum\ y \longleftrightarrow normNum\ x = normNum\ y$ (**is** *?lhs = ?rhs*)
 $\langle proof \rangle$

lemma *Nadd[simp]*: $INum\ (x +_N y) = INum\ x + (INum\ y :: 'a :: \{ring_char-0,division-by-zero,field\})$
 $\langle proof \rangle$

lemma *Nmul[simp]*: $INum\ (x *_N y) = INum\ x * (INum\ y :: 'a :: \{ring_char-0,division-by-zero,field\})$
 $\langle proof \rangle$

lemma *Nneg[simp]*: $INum\ (\sim_N x) = - (INum\ x :: 'a:: field)$
 $\langle proof \rangle$

lemma *Nsub[simp]*: **shows** $INum\ (x -_N y) = INum\ x - (INum\ y :: 'a :: \{ring_char-0,division-by-zero,field\})$
 $\langle proof \rangle$

lemma *Ninv[simp]*: $INum\ (Ninv\ x) = (1::'a :: \{division-by-zero,field\}) / (INum\ x)$
 $\langle proof \rangle$

lemma *Ndiv[simp]*: $INum\ (x \div_N y) = INum\ x / (INum\ y :: 'a :: \{ring_char-0,$
division-by-zero,field $\})$ $\langle proof \rangle$

lemma *Nlt0-iff[simp]*: **assumes** $nx: isnormNum\ x$
shows $((INum\ x :: 'a :: \{ring_char-0,division-by-zero,ordered-field\}) < 0) = 0 >_N$
 x
 $\langle proof \rangle$

lemma *Nle0-iff[simp]*: **assumes** $nx: isnormNum\ x$
shows $((INum\ x :: 'a :: \{ring_char-0,division-by-zero,ordered-field\}) \leq 0) = 0 \geq_N$
 x
 $\langle proof \rangle$

lemma *Nglt0-iff[simp]*: **assumes** $nx: isnormNum\ x$ **shows** $((INum\ x :: 'a :: \{ring_char-0,division-by-zero,ordered-field\}) < 0) = 0 <_N x$
 $\langle proof \rangle$

lemma *Nge0-iff[simp]*: **assumes** $nx: isnormNum\ x$
shows $((INum\ x :: 'a :: \{\text{ring-char-0, division-by-zero, ordered-field}\}) \geq 0) = 0 \leq_N x$
 $\langle proof \rangle$

lemma *Nlt-iff[simp]*: **assumes** $nx: isnormNum\ x$ **and** $ny: isnormNum\ y$
shows $((INum\ x :: 'a :: \{\text{ring-char-0, division-by-zero, ordered-field}\}) < INum\ y)$
 $= (x <_N y)$
 $\langle proof \rangle$

lemma *Nle-iff[simp]*: **assumes** $nx: isnormNum\ x$ **and** $ny: isnormNum\ y$
shows $((INum\ x :: 'a :: \{\text{ring-char-0, division-by-zero, ordered-field}\}) \leq INum\ y)$
 $= (x \leq_N y)$
 $\langle proof \rangle$

lemma *Nadd-commute*: $x +_N y = y +_N x$
 $\langle proof \rangle$

lemma*[simp]*: $(0, b) +_N y = normNum\ y\ (a, 0) +_N y = normNum\ y$
 $x +_N (0, b) = normNum\ x\ x +_N (a, 0) = normNum\ x$
 $\langle proof \rangle$

lemma *normNum-nilpotent-aux[simp]*: **assumes** $nx: isnormNum\ x$
shows $normNum\ x = x$
 $\langle proof \rangle$

lemma *normNum-nilpotent[simp]*: $normNum\ (normNum\ x) = normNum\ x$
 $\langle proof \rangle$

lemma *normNum0[simp]*: $normNum\ (0, b) = 0_N\ normNum\ (a, 0) = 0_N$
 $\langle proof \rangle$

lemma *normNum-Nadd*: $normNum\ (x +_N y) = x +_N y$ $\langle proof \rangle$

lemma *Nadd-normNum1[simp]*: $normNum\ x +_N y = x +_N y$
 $\langle proof \rangle$

lemma *Nadd-normNum2[simp]*: $x +_N normNum\ y = x +_N y$
 $\langle proof \rangle$

lemma *Nadd-assoc*: $x +_N y +_N z = x +_N (y +_N z)$
 $\langle proof \rangle$

lemma *Nmul-commute*: $isnormNum\ x \implies isnormNum\ y \implies x *_N y = y *_N x$
 $\langle proof \rangle$

lemma *Nmul-assoc*: **assumes** $nx: isnormNum\ x$ **and** $ny: isnormNum\ y$ **and** $nz: isnormNum\ z$
shows $x *_N y *_N z = x *_N (y *_N z)$
 $\langle proof \rangle$

lemma *Nsub0*: **assumes** $x: isnormNum\ x$ **and** $y: isnormNum\ y$ **shows** $(x -_N y = 0_N) = (x = y)$

$\langle proof \rangle$

lemma *Nmul0[simp]*: $c *_N 0_N = 0_N \quad 0_N *_N c = 0_N$
 $\langle proof \rangle$

lemma *Nmul-eq0[simp]*: **assumes** $nx:isnormNum\ x$ **and** $ny:isnormNum\ y$
shows $(x *_N y = 0_N) = (x = 0_N \vee y = 0_N)$
 $\langle proof \rangle$

lemma *Nneg-Nneg[simp]*: $\sim_N (\sim_N c) = c$
 $\langle proof \rangle$

lemma *Nmul1[simp]*:
 $isnormNum\ c \implies 1_N *_N c = c$
 $isnormNum\ c \implies c *_N 1_N = c$
 $\langle proof \rangle$

end

3 AssocList: Map operations implemented on association lists

theory *AssocList*
imports *Map*
begin

The operations preserve distinctness of keys and function *clearjunk* distributes over them. Since *clearjunk* enforces distinctness of keys it can be used to establish the invariant, e.g. for inductive proofs.

primrec
 $delete :: 'key \Rightarrow ('key \times 'val)\ list \Rightarrow ('key \times 'val)\ list$
where
 $delete\ k\ [] = []$
 $| delete\ k\ (p \# ps) = (if\ fst\ p = k\ then\ delete\ k\ ps\ else\ p \# delete\ k\ ps)$

primrec
 $update :: 'key \Rightarrow 'val \Rightarrow ('key \times 'val)\ list \Rightarrow ('key \times 'val)\ list$
where
 $update\ k\ v\ [] = [(k, v)]$
 $| update\ k\ v\ (p \# ps) = (if\ fst\ p = k\ then\ (k, v) \# ps\ else\ p \# update\ k\ v\ ps)$

primrec
 $updates :: 'key\ list \Rightarrow 'val\ list \Rightarrow ('key \times 'val)\ list \Rightarrow ('key \times 'val)\ list$
where
 $updates\ []\ vs\ ps = ps$
 $| updates\ (k \# ks)\ vs\ ps = (case\ vs$
 $of\ [] \Rightarrow ps$
 $| (v \# vs') \Rightarrow updates\ ks\ vs'\ (update\ k\ v\ ps))$

primrec

$$\text{merge} :: ('key \times 'val) \text{ list} \Rightarrow ('key \times 'val) \text{ list} \Rightarrow ('key \times 'val) \text{ list}$$
where

$$\begin{aligned} \text{merge } qs \ [] &= qs \\ | \text{merge } qs \ (p \# ps) &= \text{update } (\text{fst } p) \ (\text{snd } p) \ (\text{merge } qs \ ps) \end{aligned}$$

lemma *length-delete-le*: $\text{length } (\text{delete } k \ al) \leq \text{length } al$

<proof>

lemma *compose-hint* [simp]:

$$\text{length } (\text{delete } k \ al) < \text{Suc } (\text{length } al)$$

<proof>

fun

$$\text{compose} :: ('key \times 'a) \text{ list} \Rightarrow ('a \times 'b) \text{ list} \Rightarrow ('key \times 'b) \text{ list}$$
where

$$\begin{aligned} \text{compose } [] \ ys &= [] \\ | \text{compose } (x \# xs) \ ys &= (\text{case } \text{map-of } ys \ (\text{snd } x) \\ &\quad \text{of } \text{None} \Rightarrow \text{compose } (\text{delete } (\text{fst } x) \ xs) \ ys \\ &\quad | \text{Some } v \Rightarrow (\text{fst } x, v) \# \text{compose } xs \ ys) \end{aligned}$$
primrec

$$\text{restrict} :: 'key \text{ set} \Rightarrow ('key \times 'val) \text{ list} \Rightarrow ('key \times 'val) \text{ list}$$
where

$$\begin{aligned} \text{restrict } A \ [] &= [] \\ | \text{restrict } A \ (p \# ps) &= (\text{if } \text{fst } p \in A \text{ then } p \# \text{restrict } A \ ps \text{ else } \text{restrict } A \ ps) \end{aligned}$$
primrec

$$\text{map-ran} :: ('key \Rightarrow 'val \Rightarrow 'val) \Rightarrow ('key \times 'val) \text{ list} \Rightarrow ('key \times 'val) \text{ list}$$
where

$$\begin{aligned} \text{map-ran } f \ [] &= [] \\ | \text{map-ran } f \ (p \# ps) &= (\text{fst } p, f \ (\text{fst } p) \ (\text{snd } p)) \# \text{map-ran } f \ ps \end{aligned}$$
fun

$$\text{clearjunk} :: ('key \times 'val) \text{ list} \Rightarrow ('key \times 'val) \text{ list}$$
where

$$\begin{aligned} \text{clearjunk } [] &= [] \\ | \text{clearjunk } (p \# ps) &= p \# \text{clearjunk } (\text{delete } (\text{fst } p) \ ps) \end{aligned}$$

lemmas [simp del] = *compose-hint*

3.1 delete

lemma *delete-eq*:

$$\text{delete } k \ xs = \text{filter } (\lambda p. \text{fst } p \neq k) \ xs$$

<proof>

lemma *delete-id* [simp]: $k \notin \text{fst } \text{' set } al \implies \text{delete } k \ al = al$

<proof>

lemma *delete-conv*: $\text{map-of } (\text{delete } k \text{ al}) \text{ } k' = ((\text{map-of } al)(k := \text{None})) \text{ } k'$
 $\langle \text{proof} \rangle$

lemma *delete-conv'*: $\text{map-of } (\text{delete } k \text{ al}) = ((\text{map-of } al)(k := \text{None}))$
 $\langle \text{proof} \rangle$

lemma *delete-idem*: $\text{delete } k (\text{delete } k \text{ al}) = \text{delete } k \text{ al}$
 $\langle \text{proof} \rangle$

lemma *map-of-delete* [simp]:
 $k' \neq k \implies \text{map-of } (\text{delete } k \text{ al}) \text{ } k' = \text{map-of } al \text{ } k'$
 $\langle \text{proof} \rangle$

lemma *delete-notin-dom*: $k \notin \text{fst } ' \text{ set } (\text{delete } k \text{ al})$
 $\langle \text{proof} \rangle$

lemma *dom-delete-subset*: $\text{fst } ' \text{ set } (\text{delete } k \text{ al}) \subseteq \text{fst } ' \text{ set } al$
 $\langle \text{proof} \rangle$

lemma *distinct-delete*:
assumes *distinct* ($\text{map } \text{fst } al$)
shows *distinct* ($\text{map } \text{fst } (\text{delete } k \text{ al})$)
 $\langle \text{proof} \rangle$

lemma *delete-twist*: $\text{delete } x (\text{delete } y \text{ al}) = \text{delete } y (\text{delete } x \text{ al})$
 $\langle \text{proof} \rangle$

lemma *clearjunk-delete*: $\text{clearjunk } (\text{delete } x \text{ al}) = \text{delete } x (\text{clearjunk } al)$
 $\langle \text{proof} \rangle$

3.2 clearjunk

lemma *insert-fst-filter*:
 $\text{insert } a (\text{fst } ' \{x \in \text{set } ps. \text{fst } x \neq a\}) = \text{insert } a (\text{fst } ' \text{set } ps)$
 $\langle \text{proof} \rangle$

lemma *dom-clearjunk*: $\text{fst } ' \text{ set } (\text{clearjunk } al) = \text{fst } ' \text{ set } al$
 $\langle \text{proof} \rangle$

lemma *notin-filter-fst*: $a \notin \text{fst } ' \{x \in \text{set } ps. \text{fst } x \neq a\}$
 $\langle \text{proof} \rangle$

lemma *distinct-clearjunk* [simp]: $\text{distinct } (\text{map } \text{fst } (\text{clearjunk } al))$
 $\langle \text{proof} \rangle$

lemma *map-of-filter*: $k \neq a \implies \text{map-of } [q \leftarrow ps. \text{fst } q \neq a] \text{ } k = \text{map-of } ps \text{ } k$
 $\langle \text{proof} \rangle$

lemma *map-of-clearjunk*: $\text{map-of } (\text{clearjunk } al) = \text{map-of } al$
 $\langle \text{proof} \rangle$

lemma *length-clearjunk*: $\text{length } (\text{clearjunk } al) \leq \text{length } al$
 $\langle \text{proof} \rangle$

lemma *notin-fst-filter*: $a \notin \text{fst } \text{'set } ps \implies [q \leftarrow ps . \text{fst } q \neq a] = ps$
 $\langle \text{proof} \rangle$

lemma *distinct-clearjunk-id [simp]*: $\text{distinct } (\text{map fst } al) \implies \text{clearjunk } al = al$
 $\langle \text{proof} \rangle$

lemma *clearjunk-idem*: $\text{clearjunk } (\text{clearjunk } al) = \text{clearjunk } al$
 $\langle \text{proof} \rangle$

3.3 dom and ran

lemma *dom-map-of'*: $\text{fst } \text{'set } al = \text{dom } (\text{map-of } al)$
 $\langle \text{proof} \rangle$

lemmas *dom-map-of = dom-map-of' [symmetric]*

lemma *ran-clearjunk*: $\text{ran } (\text{map-of } (\text{clearjunk } al)) = \text{ran } (\text{map-of } al)$
 $\langle \text{proof} \rangle$

lemma *ran-distinct*:
assumes *dist*: $\text{distinct } (\text{map fst } al)$
shows $\text{ran } (\text{map-of } al) = \text{snd } \text{'set } al$
 $\langle \text{proof} \rangle$

lemma *ran-map-of*: $\text{ran } (\text{map-of } al) = \text{snd } \text{'set } (\text{clearjunk } al)$
 $\langle \text{proof} \rangle$

3.4 update

lemma *update-conv*: $\text{map-of } (\text{update } k \ v \ al) \ k' = ((\text{map-of } al)(k \mapsto v)) \ k'$
 $\langle \text{proof} \rangle$

lemma *update-conv'*: $\text{map-of } (\text{update } k \ v \ al) = ((\text{map-of } al)(k \mapsto v))$
 $\langle \text{proof} \rangle$

lemma *dom-update*: $\text{fst } \text{'set } (\text{update } k \ v \ al) = \{k\} \cup \text{fst } \text{'set } al$
 $\langle \text{proof} \rangle$

lemma *distinct-update*:
assumes *distinct* $(\text{map fst } al)$
shows $\text{distinct } (\text{map fst } (\text{update } k \ v \ al))$
 $\langle \text{proof} \rangle$

lemma *update-filter*:

$a \neq k \implies \text{update } k \ v \ [q \leftarrow ps \ . \ \text{fst } q \neq a] = [q \leftarrow \text{update } k \ v \ ps \ . \ \text{fst } q \neq a]$
 ⟨proof⟩

lemma *clearjunk-update*: $\text{clearjunk } (\text{update } k \ v \ al) = \text{update } k \ v \ (\text{clearjunk } al)$
 ⟨proof⟩

lemma *update-triv*: $\text{map-of } al \ k = \text{Some } v \implies \text{update } k \ v \ al = al$
 ⟨proof⟩

lemma *update-nonempty* [simp]: $\text{update } k \ v \ al \neq []$
 ⟨proof⟩

lemma *update-eqD*: $\text{update } k \ v \ al = \text{update } k \ v' \ al' \implies v = v'$
 ⟨proof⟩

lemma *update-last* [simp]: $\text{update } k \ v \ (\text{update } k \ v' \ al) = \text{update } k \ v \ al$
 ⟨proof⟩

Note that the lists are not necessarily the same: $\text{update } k \ v \ (\text{update } k' \ v' \ []) = [(k', v'), (k, v)]$ and $\text{update } k' \ v' \ (\text{update } k \ v \ []) = [(k, v), (k', v')]$.

lemma *update-swap*: $k \neq k' \implies \text{map-of } (\text{update } k \ v \ (\text{update } k' \ v' \ al)) = \text{map-of } (\text{update } k' \ v' \ (\text{update } k \ v \ al))$
 ⟨proof⟩

lemma *update-Some-unfold*:
 $(\text{map-of } (\text{update } k \ v \ al) \ x = \text{Some } y) =$
 $(x = k \wedge v = y \vee x \neq k \wedge \text{map-of } al \ x = \text{Some } y)$
 ⟨proof⟩

lemma *image-update*[simp]: $x \notin A \implies \text{map-of } (\text{update } x \ y \ al) \ ` \ A = \text{map-of } al \ ` \ A$
 ⟨proof⟩

3.5 updates

lemma *updates-conv*: $\text{map-of } (\text{updates } ks \ vs \ al) \ k = ((\text{map-of } al)(ks[\mapsto]vs)) \ k$
 ⟨proof⟩

lemma *updates-conv'*: $\text{map-of } (\text{updates } ks \ vs \ al) = ((\text{map-of } al)(ks[\mapsto]vs))$
 ⟨proof⟩

lemma *distinct-updates*:
assumes *distinct* (map fst al)
shows *distinct* (map fst (updates ks vs al))
 ⟨proof⟩

lemma *clearjunk-updates*:
 $\text{clearjunk } (\text{updates } ks \ vs \ al) = \text{updates } ks \ vs \ (\text{clearjunk } al)$

$\langle \text{proof} \rangle$

lemma *updates-empty[simp]*: $\text{updates } vs [] \text{ } al = al$
 $\langle \text{proof} \rangle$

lemma *updates-Cons*: $\text{updates } (k \# ks) (v \# vs) \text{ } al = \text{updates } ks \text{ } vs (\text{update } k \text{ } v \text{ } al)$
 $\langle \text{proof} \rangle$

lemma *updates-append1[simp]*: $\text{size } ks < \text{size } vs \implies$
 $\text{updates } (ks @ [k]) \text{ } vs \text{ } al = \text{update } k \text{ } (vs ! \text{size } ks) (\text{updates } ks \text{ } vs \text{ } al)$
 $\langle \text{proof} \rangle$

lemma *updates-list-update-drop[simp]*:
 $\llbracket \text{size } ks \leq i; i < \text{size } vs \rrbracket$
 $\implies \text{updates } ks \text{ } (vs[i := v]) \text{ } al = \text{updates } ks \text{ } vs \text{ } al$
 $\langle \text{proof} \rangle$

lemma *update-updates-conv-if*:
 $\text{map-of } (\text{updates } xs \text{ } ys (\text{update } x \text{ } y \text{ } al)) =$
 $\text{map-of } (\text{if } x \in \text{set}(\text{take } (\text{length } ys) \text{ } xs) \text{ then } \text{updates } xs \text{ } ys \text{ } al$
 $\text{else } (\text{update } x \text{ } y (\text{updates } xs \text{ } ys \text{ } al)))$
 $\langle \text{proof} \rangle$

lemma *updates-twist [simp]*:
 $k \notin \text{set } ks \implies$
 $\text{map-of } (\text{updates } ks \text{ } vs (\text{update } k \text{ } v \text{ } al)) = \text{map-of } (\text{update } k \text{ } v (\text{updates } ks \text{ } vs \text{ } al))$
 $\langle \text{proof} \rangle$

lemma *updates-apply-notin[simp]*:
 $k \notin \text{set } ks \implies \text{map-of } (\text{updates } ks \text{ } vs \text{ } al) \text{ } k = \text{map-of } al \text{ } k$
 $\langle \text{proof} \rangle$

lemma *updates-append-drop[simp]*:
 $\text{size } xs = \text{size } ys \implies \text{updates } (xs @ zs) \text{ } ys \text{ } al = \text{updates } xs \text{ } ys \text{ } al$
 $\langle \text{proof} \rangle$

lemma *updates-append2-drop[simp]*:
 $\text{size } xs = \text{size } ys \implies \text{updates } xs \text{ } (ys @ zs) \text{ } al = \text{updates } xs \text{ } ys \text{ } al$
 $\langle \text{proof} \rangle$

3.6 map-ran

lemma *map-ran-conv*: $\text{map-of } (\text{map-ran } f \text{ } al) \text{ } k = \text{option-map } (f \text{ } k) (\text{map-of } al \text{ } k)$
 $\langle \text{proof} \rangle$

lemma *dom-map-ran*: $\text{fst} \text{ ` } \text{set } (\text{map-ran } f \text{ } al) = \text{fst} \text{ ` } \text{set } al$
 $\langle \text{proof} \rangle$

lemma *distinct-map-ran*: $\text{distinct } (\text{map } \text{fst } al) \implies \text{distinct } (\text{map } \text{fst } (\text{map-ran } f$

al))
 ⟨proof⟩

lemma *map-ran-filter*: $\text{map-ran } f \ [p \leftarrow ps. \text{fst } p \neq a] = [p \leftarrow \text{map-ran } f \ ps. \text{fst } p \neq a]$
 ⟨proof⟩

lemma *clearjunk-map-ran*: $\text{clearjunk } (\text{map-ran } f \ al) = \text{map-ran } f \ (\text{clearjunk } al)$
 ⟨proof⟩

3.7 merge

lemma *dom-merge*: $\text{fst } ' \text{ set } (\text{merge } xs \ ys) = \text{fst } ' \text{ set } xs \cup \text{fst } ' \text{ set } ys$
 ⟨proof⟩

lemma *distinct-merge*:
assumes *distinct* ($\text{map } \text{fst } xs$)
shows *distinct* ($\text{map } \text{fst } (\text{merge } xs \ ys)$)
 ⟨proof⟩

lemma *clearjunk-merge*:
 $\text{clearjunk } (\text{merge } xs \ ys) = \text{merge } (\text{clearjunk } xs) \ ys$
 ⟨proof⟩

lemma *merge-conv*: $\text{map-of } (\text{merge } xs \ ys) \ k = (\text{map-of } xs \ ++ \ \text{map-of } ys) \ k$
 ⟨proof⟩

lemma *merge-conv'*: $\text{map-of } (\text{merge } xs \ ys) = (\text{map-of } xs \ ++ \ \text{map-of } ys)$
 ⟨proof⟩

lemma *merge-empt*: $\text{map-of } (\text{merge } [] \ ys) = \text{map-of } ys$
 ⟨proof⟩

lemma *merge-assoc[simp]*: $\text{map-of } (\text{merge } m1 \ (\text{merge } m2 \ m3)) = \text{map-of } (\text{merge } (\text{merge } m1 \ m2) \ m3)$
 ⟨proof⟩

lemma *merge-Some-iff*:
 $(\text{map-of } (\text{merge } m \ n) \ k = \text{Some } x) =$
 $(\text{map-of } n \ k = \text{Some } x \vee \text{map-of } n \ k = \text{None} \wedge \text{map-of } m \ k = \text{Some } x)$
 ⟨proof⟩

lemmas *merge-SomeD* = *merge-Some-iff* [THEN *iffD1*, standard]
declare *merge-SomeD* [dest!]

lemma *merge-find-right[simp]*: $\text{map-of } n \ k = \text{Some } v \implies \text{map-of } (\text{merge } m \ n) \ k = \text{Some } v$
 ⟨proof⟩

lemma *merge-None* [iff]:
 $(\text{map-of } (\text{merge } m \ n) \ k = \text{None}) = (\text{map-of } n \ k = \text{None} \wedge \text{map-of } m \ k = \text{None})$
 ⟨proof⟩

lemma *merge-upd*[simp]:
 $\text{map-of } (\text{merge } m \ (\text{update } k \ v \ n)) = \text{map-of } (\text{update } k \ v \ (\text{merge } m \ n))$
 ⟨proof⟩

lemma *merge-updatess*[simp]:
 $\text{map-of } (\text{merge } m \ (\text{updates } xs \ ys \ n)) = \text{map-of } (\text{updates } xs \ ys \ (\text{merge } m \ n))$
 ⟨proof⟩

lemma *merge-append*: $\text{map-of } (xs @ ys) = \text{map-of } (\text{merge } ys \ xs)$
 ⟨proof⟩

3.8 compose

lemma *compose-first-None* [simp]:
 assumes $\text{map-of } xs \ k = \text{None}$
 shows $\text{map-of } (\text{compose } xs \ ys) \ k = \text{None}$
 ⟨proof⟩

lemma *compose-conv*:
 shows $\text{map-of } (\text{compose } xs \ ys) \ k = (\text{map-of } ys \circ_m \text{map-of } xs) \ k$
 ⟨proof⟩

lemma *compose-conv'*:
 shows $\text{map-of } (\text{compose } xs \ ys) = (\text{map-of } ys \circ_m \text{map-of } xs)$
 ⟨proof⟩

lemma *compose-first-Some* [simp]:
 assumes $\text{map-of } xs \ k = \text{Some } v$
 shows $\text{map-of } (\text{compose } xs \ ys) \ k = \text{map-of } ys \ v$
 ⟨proof⟩

lemma *dom-compose*: $\text{fst } \text{'set } (\text{compose } xs \ ys) \subseteq \text{fst } \text{'set } xs$
 ⟨proof⟩

lemma *distinct-compose*:
 assumes $\text{distinct } (\text{map } \text{fst } xs)$
 shows $\text{distinct } (\text{map } \text{fst } (\text{compose } xs \ ys))$
 ⟨proof⟩

lemma *compose-delete-twist*: $(\text{compose } (\text{delete } k \ xs) \ ys) = \text{delete } k \ (\text{compose } xs \ ys)$
 ⟨proof⟩

lemma *compose-clearjunk*: $\text{compose } xs \ (\text{clearjunk } ys) = \text{compose } xs \ ys$
 ⟨proof⟩

lemma *clearjunk-compose*: $\text{clearjunk } (\text{compose } xs \ ys) = \text{compose } (\text{clearjunk } xs) \ ys$
 $\langle \text{proof} \rangle$

lemma *compose-empty* [simp]:
 $\text{compose } xs \ [] = []$
 $\langle \text{proof} \rangle$

lemma *compose-Some-iff*:
 $(\text{map-of } (\text{compose } xs \ ys) \ k = \text{Some } v) =$
 $(\exists k'. \text{map-of } xs \ k = \text{Some } k' \wedge \text{map-of } ys \ k' = \text{Some } v)$
 $\langle \text{proof} \rangle$

lemma *map-comp-None-iff*:
 $(\text{map-of } (\text{compose } xs \ ys) \ k = \text{None}) =$
 $(\text{map-of } xs \ k = \text{None} \vee (\exists k'. \text{map-of } xs \ k = \text{Some } k' \wedge \text{map-of } ys \ k' = \text{None}))$
 $\langle \text{proof} \rangle$

3.9 restrict

lemma *restrict-eq*:
 $\text{restrict } A = \text{filter } (\lambda p. \text{fst } p \in A)$
 $\langle \text{proof} \rangle$

lemma *distinct-restr*: $\text{distinct } (\text{map fst } al) \implies \text{distinct } (\text{map fst } (\text{restrict } A \ al))$
 $\langle \text{proof} \rangle$

lemma *restr-conv*: $\text{map-of } (\text{restrict } A \ al) \ k = ((\text{map-of } al)|^{\cdot} A) \ k$
 $\langle \text{proof} \rangle$

lemma *restr-conv'*: $\text{map-of } (\text{restrict } A \ al) = ((\text{map-of } al)|^{\cdot} A)$
 $\langle \text{proof} \rangle$

lemma *restr-empty* [simp]:
 $\text{restrict } \{\} \ al = []$
 $\text{restrict } A \ [] = []$
 $\langle \text{proof} \rangle$

lemma *restr-in* [simp]: $x \in A \implies \text{map-of } (\text{restrict } A \ al) \ x = \text{map-of } al \ x$
 $\langle \text{proof} \rangle$

lemma *restr-out* [simp]: $x \notin A \implies \text{map-of } (\text{restrict } A \ al) \ x = \text{None}$
 $\langle \text{proof} \rangle$

lemma *dom-restr* [simp]: $\text{fst } ^{\cdot} \text{set } (\text{restrict } A \ al) = \text{fst } ^{\cdot} \text{set } al \cap A$
 $\langle \text{proof} \rangle$

lemma *restr-upd-same* [simp]: $\text{restrict } (-\{x\}) \ (\text{update } x \ y \ al) = \text{restrict } (-\{x\})$

al
 $\langle \text{proof} \rangle$

lemma *restr-restr* [simp]: $\text{restrict } A (\text{restrict } B \text{ al}) = \text{restrict } (A \cap B) \text{ al}$
 $\langle \text{proof} \rangle$

lemma *restr-update* [simp]:
 $\text{map-of } (\text{restrict } D (\text{update } x \ y \ \text{al})) =$
 $\text{map-of } ((\text{if } x \in D \text{ then } (\text{update } x \ y (\text{restrict } (D - \{x\}) \ \text{al})) \text{ else } \text{restrict } D \ \text{al}))$
 $\langle \text{proof} \rangle$

lemma *restr-delete* [simp]:
 $(\text{delete } x (\text{restrict } D \ \text{al})) =$
 $(\text{if } x \in D \text{ then } \text{restrict } (D - \{x\}) \ \text{al} \text{ else } \text{restrict } D \ \text{al})$
 $\langle \text{proof} \rangle$

lemma *update-restr*:
 $\text{map-of } (\text{update } x \ y (\text{restrict } D \ \text{al})) = \text{map-of } (\text{update } x \ y (\text{restrict } (D - \{x\}) \ \text{al}))$
 $\langle \text{proof} \rangle$

lemma *upate-restr-conv* [simp]:
 $x \in D \implies$
 $\text{map-of } (\text{update } x \ y (\text{restrict } D \ \text{al})) = \text{map-of } (\text{update } x \ y (\text{restrict } (D - \{x\}) \ \text{al}))$
 $\langle \text{proof} \rangle$

lemma *restr-updates* [simp]:
 $\llbracket \text{length } xs = \text{length } ys; \text{ set } xs \subseteq D \rrbracket$
 $\implies \text{map-of } (\text{restrict } D (\text{updates } xs \ ys \ \text{al})) =$
 $\text{map-of } (\text{updates } xs \ ys (\text{restrict } (D - \text{set } xs) \ \text{al}))$
 $\langle \text{proof} \rangle$

lemma *restr-delete-twist*: $(\text{restrict } A (\text{delete } a \ ps)) = \text{delete } a (\text{restrict } A \ ps)$
 $\langle \text{proof} \rangle$

lemma *clearjunk-restrict*:
 $\text{clearjunk } (\text{restrict } A \ \text{al}) = \text{restrict } A (\text{clearjunk } \text{al})$
 $\langle \text{proof} \rangle$

end

4 SetsAndFunctions: Operations on sets and functions

theory *SetsAndFunctions*
imports *ATP-Linkup*
begin

This library lifts operations like addition and muliplication to sets and

functions of appropriate types. It was designed to support asymptotic calculations. See the comments at the top of theory *BigO*.

4.1 Basic definitions

definition

set-plus :: (*'a::plus*) *set* => *'a set* => *'a set* (**infixl** \oplus 65) **where**
 $A \oplus B == \{c. \text{EX } a:A. \text{EX } b:B. c = a + b\}$

instantiation *fun* :: (*type*, *plus*) *plus*
begin

definition

func-plus: $f + g == (\%x. f\ x + g\ x)$

instance $\langle \text{proof} \rangle$

end

definition

set-times :: (*'a::times*) *set* => *'a set* => *'a set* (**infixl** \otimes 70) **where**
 $A \otimes B == \{c. \text{EX } a:A. \text{EX } b:B. c = a * b\}$

instantiation *fun* :: (*type*, *times*) *times*
begin

definition

func-times: $f * g == (\%x. f\ x * g\ x)$

instance $\langle \text{proof} \rangle$

end

instantiation *fun* :: (*type*, *zero*) *zero*
begin

definition

func-zero: $0::('a::type) => ('b::zero)) == \%x. 0$

instance $\langle \text{proof} \rangle$

end

instantiation *fun* :: (*type*, *one*) *one*
begin

definition

func-one: $1::('a::type) => ('b::one)) == \%x. 1$

instance $\langle proof \rangle$

end

definition

$elt\text{-}set\text{-}plus :: 'a::plus \Rightarrow 'a\ set \Rightarrow 'a\ set \ (\text{infixl } +o\ 70) \ \text{where}$
 $a +o\ B = \{c. \ EX\ b:B. \ c = a + b\}$

definition

$elt\text{-}set\text{-}times :: 'a::times \Rightarrow 'a\ set \Rightarrow 'a\ set \ (\text{infixl } *o\ 80) \ \text{where}$
 $a *o\ B = \{c. \ EX\ b:B. \ c = a * b\}$

abbreviation $(input)$

$elt\text{-}set\text{-}eq :: 'a \Rightarrow 'a\ set \Rightarrow bool \ (\text{infix } =o\ 50) \ \text{where}$
 $x =o\ A == x : A$

instance $fun :: (type, semigroup\text{-}add) semigroup\text{-}add$
 $\langle proof \rangle$

instance $fun :: (type, comm\text{-}monoid\text{-}add) comm\text{-}monoid\text{-}add$
 $\langle proof \rangle$

instance $fun :: (type, ab\text{-}group\text{-}add) ab\text{-}group\text{-}add$
 $\langle proof \rangle$

instance $fun :: (type, semigroup\text{-}mult) semigroup\text{-}mult$
 $\langle proof \rangle$

instance $fun :: (type, comm\text{-}monoid\text{-}mult) comm\text{-}monoid\text{-}mult$
 $\langle proof \rangle$

instance $fun :: (type, comm\text{-}ring\text{-}1) comm\text{-}ring\text{-}1$
 $\langle proof \rangle$

interpretation $set\text{-}semigroup\text{-}add: semigroup\text{-}add\ [op \oplus :: ('a::semigroup\text{-}add)\ set$
 $\Rightarrow 'a\ set \Rightarrow 'a\ set]$
 $\langle proof \rangle$

interpretation $set\text{-}semigroup\text{-}mult: semigroup\text{-}mult\ [op \otimes :: ('a::semigroup\text{-}mult)$
 $set \Rightarrow 'a\ set \Rightarrow 'a\ set]$
 $\langle proof \rangle$

interpretation $set\text{-}comm\text{-}monoid\text{-}add: comm\text{-}monoid\text{-}add\ [\{0\}\ op \oplus :: ('a::comm\text{-}monoid\text{-}add)$
 $set \Rightarrow 'a\ set \Rightarrow 'a\ set]$
 $\langle proof \rangle$

interpretation $set\text{-}comm\text{-}monoid\text{-}mult: comm\text{-}monoid\text{-}mult\ [\{1\}\ op \otimes :: ('a::comm\text{-}monoid\text{-}mult)$
 $set \Rightarrow 'a\ set \Rightarrow 'a\ set]$

$\langle \text{proof} \rangle$

4.2 Basic properties

lemma *set-plus-intro* [intro]: $a : C \implies b : D \implies a + b : C \oplus D$
 $\langle \text{proof} \rangle$

lemma *set-plus-intro2* [intro]: $b : C \implies a + b : a +_o C$
 $\langle \text{proof} \rangle$

lemma *set-plus-rearrange*: $((a :: 'a :: \text{comm-monoid-add}) +_o C) \oplus (b +_o D) = (a + b) +_o (C \oplus D)$
 $\langle \text{proof} \rangle$

lemma *set-plus-rearrange2*: $(a :: 'a :: \text{semigroup-add}) +_o (b +_o C) = (a + b) +_o C$
 $\langle \text{proof} \rangle$

lemma *set-plus-rearrange3*: $((a :: 'a :: \text{semigroup-add}) +_o B) \oplus C = a +_o (B \oplus C)$
 $\langle \text{proof} \rangle$

theorem *set-plus-rearrange4*: $C \oplus ((a :: 'a :: \text{comm-monoid-add}) +_o D) = a +_o (C \oplus D)$
 $\langle \text{proof} \rangle$

theorems *set-plus-rearranges* = *set-plus-rearrange set-plus-rearrange2 set-plus-rearrange3 set-plus-rearrange4*

lemma *set-plus-mono* [intro!]: $C \leq D \implies a +_o C \leq a +_o D$
 $\langle \text{proof} \rangle$

lemma *set-plus-mono2* [intro]: $(C :: ('a :: \text{plus}) \text{ set}) \leq D \implies E \leq F \implies C \oplus E \leq D \oplus F$
 $\langle \text{proof} \rangle$

lemma *set-plus-mono3* [intro]: $a : C \implies a +_o D \leq C \oplus D$
 $\langle \text{proof} \rangle$

lemma *set-plus-mono4* [intro]: $(a :: 'a :: \text{comm-monoid-add}) : C \implies a +_o D \leq D \oplus C$
 $\langle \text{proof} \rangle$

lemma *set-plus-mono5*: $a : C \implies B \leq D \implies a +_o B \leq C \oplus D$
 $\langle \text{proof} \rangle$

lemma *set-plus-mono-b*: $C \leq D \implies x : a +_o C \implies x : a +_o D$
 $\langle \text{proof} \rangle$

lemma *set-plus-mono2-b*: $C \leq D \implies E \leq F \implies x : C \oplus E \implies$
 $x : D \oplus F$
 $\langle \text{proof} \rangle$

lemma *set-plus-mono3-b*: $a : C \implies x : a +_o D \implies x : C \oplus D$
 $\langle \text{proof} \rangle$

lemma *set-plus-mono4-b*: $(a :: 'a :: \text{comm-monoid-add}) : C \implies$
 $x : a +_o D \implies x : D \oplus C$
 $\langle \text{proof} \rangle$

lemma *set-zero-plus* [simp]: $(0 :: 'a :: \text{comm-monoid-add}) +_o C = C$
 $\langle \text{proof} \rangle$

lemma *set-zero-plus2*: $(0 :: 'a :: \text{comm-monoid-add}) : A \implies B \leq A \oplus B$
 $\langle \text{proof} \rangle$

lemma *set-plus-imp-minus*: $(a :: 'a :: \text{ab-group-add}) : b +_o C \implies (a - b) : C$
 $\langle \text{proof} \rangle$

lemma *set-minus-imp-plus*: $(a :: 'a :: \text{ab-group-add}) - b : C \implies a : b +_o C$
 $\langle \text{proof} \rangle$

lemma *set-minus-plus*: $((a :: 'a :: \text{ab-group-add}) - b : C) = (a : b +_o C)$
 $\langle \text{proof} \rangle$

lemma *set-times-intro* [intro]: $a : C \implies b : D \implies a * b : C \otimes D$
 $\langle \text{proof} \rangle$

lemma *set-times-intro2* [intro!]: $b : C \implies a * b : a *_o C$
 $\langle \text{proof} \rangle$

lemma *set-times-rearrange*: $((a :: 'a :: \text{comm-monoid-mult}) *_o C) \otimes$
 $(b *_o D) = (a * b) *_o (C \otimes D)$
 $\langle \text{proof} \rangle$

lemma *set-times-rearrange2*: $(a :: 'a :: \text{semigroup-mult}) *_o (b *_o C) =$
 $(a * b) *_o C$
 $\langle \text{proof} \rangle$

lemma *set-times-rearrange3*: $((a :: 'a :: \text{semigroup-mult}) *_o B) \otimes C =$
 $a *_o (B \otimes C)$
 $\langle \text{proof} \rangle$

theorem *set-times-rearrange4*: $C \otimes ((a :: 'a :: \text{comm-monoid-mult}) *_o D) =$
 $a *_o (C \otimes D)$
 $\langle \text{proof} \rangle$

theorems *set-times-rearranges* = *set-times-rearrange set-times-rearrange2 set-times-rearrange3 set-times-rearrange4*

lemma *set-times-mono* [intro]: $C \leq D \implies a *o C \leq a *o D$
 ⟨proof⟩

lemma *set-times-mono2* [intro]: $(C :: ('a :: times) \text{ set}) \leq D \implies E \leq F \implies C \otimes E \leq D \otimes F$
 ⟨proof⟩

lemma *set-times-mono3* [intro]: $a : C \implies a *o D \leq C \otimes D$
 ⟨proof⟩

lemma *set-times-mono4* [intro]: $(a :: 'a :: comm-monoid-mult) : C \implies a *o D \leq D \otimes C$
 ⟨proof⟩

lemma *set-times-mono5*: $a : C \implies B \leq D \implies a *o B \leq C \otimes D$
 ⟨proof⟩

lemma *set-times-mono-b*: $C \leq D \implies x : a *o C \implies x : a *o D$
 ⟨proof⟩

lemma *set-times-mono2-b*: $C \leq D \implies E \leq F \implies x : C \otimes E \implies x : D \otimes F$
 ⟨proof⟩

lemma *set-times-mono3-b*: $a : C \implies x : a *o D \implies x : C \otimes D$
 ⟨proof⟩

lemma *set-times-mono4-b*: $(a :: 'a :: comm-monoid-mult) : C \implies x : a *o D \implies x : D \otimes C$
 ⟨proof⟩

lemma *set-one-times* [simp]: $(1 :: 'a :: comm-monoid-mult) *o C = C$
 ⟨proof⟩

lemma *set-times-plus-distrib*: $(a :: 'a :: semiring) *o (b +o C) = (a * b) +o (a *o C)$
 ⟨proof⟩

lemma *set-times-plus-distrib2*: $(a :: 'a :: semiring) *o (B \oplus C) = (a *o B) \oplus (a *o C)$
 ⟨proof⟩

lemma *set-times-plus-distrib3*: $((a :: 'a :: semiring) +o C) \otimes D \leq a *o D \oplus C \otimes D$
 ⟨proof⟩

```

theorems set-times-plus-distrib =
  set-times-plus-distrib
  set-times-plus-distrib2

```

```

lemma set-neg-intro: (a::'a::ring-1) : (- 1) *o C ==>
  - a : C
  <proof>

```

```

lemma set-neg-intro2: (a::'a::ring-1) : C ==>
  - a : (- 1) *o C
  <proof>

```

```

end

```

5 BigO: Big O notation

```

theory BigO
imports Main SetsAndFunctions
begin

```

This library is designed to support asymptotic “big O” calculations, i.e. reasoning with expressions of the form $f = O(g)$ and $f = g + O(h)$. An earlier version of this library is described in detail in [2].

The main changes in this version are as follows:

- We have eliminated the O operator on sets. (Most uses of this seem to be inessential.)
- We no longer use $+$ as output syntax for $+o$
- Lemmas involving *sumr* have been replaced by more general lemmas involving *setsum*.
- The library has been expanded, with e.g. support for expressions of the form $f < g + O(h)$.

See `Complex/ex/BigO_Complex.thy` for additional lemmas that require the HOL-Complex logic image.

Note also since the Big O library includes rules that demonstrate set inclusion, to use the automated reasoners effectively with the library one should redeclare the theorem *subsetI* as an intro rule, rather than as an *intro!* rule, for example, using **declare** *subsetI* [*del*, *intro*].

5.1 Definitions

```

definition

```

$bigO :: ('a \Rightarrow 'b :: ordered-idom) \Rightarrow ('a \Rightarrow 'b) \text{ set } ((1O'(-')))$ **where**
 $O(f :: ('a \Rightarrow 'b)) =$
 $\{h. EX\ c. ALL\ x. abs\ (h\ x) \leq c * abs\ (f\ x)\}$

lemma *bigO-pos-const*: $(EX\ (c :: 'a :: ordered-idom).$
 $ALL\ x. (abs\ (h\ x)) \leq (c * (abs\ (f\ x))))$
 $= (EX\ c. 0 < c \ \&\ (ALL\ x. (abs\ (h\ x)) \leq (c * (abs\ (f\ x)))))$
 $\langle proof \rangle$

lemma *bigO-alt-def*: $O(f) =$
 $\{h. EX\ c. (0 < c \ \&\ (ALL\ x. abs\ (h\ x) \leq c * abs\ (f\ x)))\}$
 $\langle proof \rangle$

lemma *bigO-elt-subset* [intro]: $f : O(g) \Rightarrow O(f) \leq O(g)$
 $\langle proof \rangle$

lemma *bigO-refl* [intro]: $f : O(f)$
 $\langle proof \rangle$

lemma *bigO-zero*: $0 : O(g)$
 $\langle proof \rangle$

lemma *bigO-zero2*: $O(\%x.0) = \{\%x.0\}$
 $\langle proof \rangle$

lemma *bigO-plus-self-subset* [intro]:
 $O(f) \oplus O(f) \leq O(f)$
 $\langle proof \rangle$

lemma *bigO-plus-idemp* [simp]: $O(f) \oplus O(f) = O(f)$
 $\langle proof \rangle$

lemma *bigO-plus-subset* [intro]: $O(f + g) \leq O(f) \oplus O(g)$
 $\langle proof \rangle$

lemma *bigO-plus-subset2* [intro]: $A \leq O(f) \Rightarrow B \leq O(f) \Rightarrow A \oplus B \leq O(f)$
 $\langle proof \rangle$

lemma *bigO-plus-eq*: $ALL\ x. 0 \leq f\ x \Rightarrow ALL\ x. 0 \leq g\ x \Rightarrow$
 $O(f + g) = O(f) \oplus O(g)$
 $\langle proof \rangle$

lemma *bigO-bounded-alt*: $ALL\ x. 0 \leq f\ x \Rightarrow ALL\ x. f\ x \leq c * g\ x \Rightarrow$
 $f : O(g)$
 $\langle proof \rangle$

lemma *bigO-bounded*: $ALL\ x. 0 \leq f\ x \Rightarrow ALL\ x. f\ x \leq g\ x \Rightarrow$
 $f : O(g)$

$\langle \text{proof} \rangle$

lemma *bigo-bounded2*: $ALL\ x.\ lb\ x\ \leq\ f\ x\ ==>\ ALL\ x.\ f\ x\ \leq\ lb\ x + g\ x\ ==>$
 $f : lb + o\ O(g)$
 $\langle \text{proof} \rangle$

lemma *bigo-abs*: $(\%x.\ abs(f\ x)) = o\ O(f)$
 $\langle \text{proof} \rangle$

lemma *bigo-abs2*: $f = o\ O(\%x.\ abs(f\ x))$
 $\langle \text{proof} \rangle$

lemma *bigo-abs3*: $O(f) = O(\%x.\ abs(f\ x))$
 $\langle \text{proof} \rangle$

lemma *bigo-abs4*: $f = o\ g + o\ O(h) ==>$
 $(\%x.\ abs\ (f\ x)) = o\ (\%x.\ abs\ (g\ x)) + o\ O(h)$
 $\langle \text{proof} \rangle$

lemma *bigo-abs5*: $f = o\ O(g) ==>\ (\%x.\ abs(f\ x)) = o\ O(g)$
 $\langle \text{proof} \rangle$

lemma *bigo-elt-subset2* [intro]: $f : g + o\ O(h) ==>\ O(f) \leq O(g) \oplus O(h)$
 $\langle \text{proof} \rangle$

lemma *bigo-mult* [intro]: $O(f) \otimes O(g) \leq O(f * g)$
 $\langle \text{proof} \rangle$

lemma *bigo-mult2* [intro]: $f * o\ O(g) \leq O(f * g)$
 $\langle \text{proof} \rangle$

lemma *bigo-mult3*: $f : O(h) ==>\ g : O(j) ==>\ f * g : O(h * j)$
 $\langle \text{proof} \rangle$

lemma *bigo-mult4* [intro]: $f : k + o\ O(h) ==>\ g * f : (g * k) + o\ O(g * h)$
 $\langle \text{proof} \rangle$

lemma *bigo-mult5*: $ALL\ x.\ f\ x\ \sim\ 0 ==>$
 $O(f * g) \leq (f :: 'a ==> ('b :: ordered-field)) * o\ O(g)$
 $\langle \text{proof} \rangle$

lemma *bigo-mult6*: $ALL\ x.\ f\ x\ \sim\ 0 ==>$
 $O(f * g) = (f :: 'a ==> ('b :: ordered-field)) * o\ O(g)$
 $\langle \text{proof} \rangle$

lemma *bigo-mult7*: $ALL\ x.\ f\ x\ \sim\ 0 ==>$
 $O(f * g) \leq O(f :: 'a ==> ('b :: ordered-field)) \otimes O(g)$
 $\langle \text{proof} \rangle$

lemma *bigo-mult8*: $ALL\ x.\ f\ x\ \sim =\ 0\ ==>$

$$O(f * g) = O(f :: 'a ==> ('b :: ordered-field)) \otimes O(g)$$

$\langle proof \rangle$

lemma *bigo-minus* [intro]: $f : O(g) ==> -f : O(g)$

$\langle proof \rangle$

lemma *bigo-minus2*: $f : g + o\ O(h) ==> -f : -g + o\ O(h)$

$\langle proof \rangle$

lemma *bigo-minus3*: $O(-f) = O(f)$

$\langle proof \rangle$

lemma *bigo-plus-absorb-lemma1*: $f : O(g) ==> f + o\ O(g) <= O(g)$

$\langle proof \rangle$

lemma *bigo-plus-absorb-lemma2*: $f : O(g) ==> O(g) <= f + o\ O(g)$

$\langle proof \rangle$

lemma *bigo-plus-absorb* [simp]: $f : O(g) ==> f + o\ O(g) = O(g)$

$\langle proof \rangle$

lemma *bigo-plus-absorb2* [intro]: $f : O(g) ==> A <= O(g) ==> f + o\ A <= O(g)$

$\langle proof \rangle$

lemma *bigo-add-commute-imp*: $f : g + o\ O(h) ==> g : f + o\ O(h)$

$\langle proof \rangle$

lemma *bigo-add-commute*: $(f : g + o\ O(h)) = (g : f + o\ O(h))$

$\langle proof \rangle$

lemma *bigo-const1*: $(\%x.\ c) : O(\%x.\ 1)$

$\langle proof \rangle$

lemma *bigo-const2* [intro]: $O(\%x.\ c) <= O(\%x.\ 1)$

$\langle proof \rangle$

lemma *bigo-const3*: $(c :: 'a :: ordered-field) \sim =\ 0 ==> (\%x.\ 1) : O(\%x.\ c)$

$\langle proof \rangle$

lemma *bigo-const4*: $(c :: 'a :: ordered-field) \sim =\ 0 ==> O(\%x.\ 1) <= O(\%x.\ c)$

$\langle proof \rangle$

lemma *bigo-const* [simp]: $(c :: 'a :: ordered-field) \sim =\ 0 ==>$

$$O(\%x.\ c) = O(\%x.\ 1)$$

$\langle proof \rangle$

lemma *bigo-const-mult1*: $(\%x.\ c * f\ x) : O(f)$

$\langle proof \rangle$

lemma *bigo-const-mult2*: $O(\%x. c * f x) \leq O(f)$
 $\langle proof \rangle$

lemma *bigo-const-mult3*: $(c::'a::ordered-field) \sim 0 \implies f : O(\%x. c * f x)$
 $\langle proof \rangle$

lemma *bigo-const-mult4*: $(c::'a::ordered-field) \sim 0 \implies$
 $O(f) \leq O(\%x. c * f x)$
 $\langle proof \rangle$

lemma *bigo-const-mult [simp]*: $(c::'a::ordered-field) \sim 0 \implies$
 $O(\%x. c * f x) = O(f)$
 $\langle proof \rangle$

lemma *bigo-const-mult5 [simp]*: $(c::'a::ordered-field) \sim 0 \implies$
 $(\%x. c) *o O(f) = O(f)$
 $\langle proof \rangle$

lemma *bigo-const-mult6 [intro]*: $(\%x. c) *o O(f) \leq O(f)$
 $\langle proof \rangle$

lemma *bigo-const-mult7 [intro]*: $f =o O(g) \implies (\%x. c * f x) =o O(g)$
 $\langle proof \rangle$

lemma *bigo-compose1*: $f =o O(g) \implies (\%x. f(k x)) =o O(\%x. g(k x))$
 $\langle proof \rangle$

lemma *bigo-compose2*: $f =o g +o O(h) \implies (\%x. f(k x)) =o (\%x. g(k x)) +o$
 $O(\%x. h(k x))$
 $\langle proof \rangle$

5.2 Setsum

lemma *bigo-setsum-main*: $ALL x. ALL y : A x. 0 \leq h x y \implies$
 $EX c. ALL x. ALL y : A x. abs(f x y) \leq c * (h x y) \implies$
 $(\%x. SUM y : A x. f x y) =o O(\%x. SUM y : A x. h x y)$
 $\langle proof \rangle$

lemma *bigo-setsum1*: $ALL x y. 0 \leq h x y \implies$
 $EX c. ALL x y. abs(f x y) \leq c * (h x y) \implies$
 $(\%x. SUM y : A x. f x y) =o O(\%x. SUM y : A x. h x y)$
 $\langle proof \rangle$

lemma *bigo-setsum2*: $ALL y. 0 \leq h y \implies$
 $EX c. ALL y. abs(f y) \leq c * (h y) \implies$
 $(\%x. SUM y : A x. f y) =o O(\%x. SUM y : A x. h y)$
 $\langle proof \rangle$

lemma *bigo-setsum3*: $f =_o O(h) ==>$
 $(\%x. \text{SUM } y : A \ x. (l \ x \ y) * f(k \ x \ y)) =_o$
 $O(\%x. \text{SUM } y : A \ x. \text{abs}(l \ x \ y * h(k \ x \ y)))$
 $\langle \text{proof} \rangle$

lemma *bigo-setsum4*: $f =_o g +_o O(h) ==>$
 $(\%x. \text{SUM } y : A \ x. l \ x \ y * f(k \ x \ y)) =_o$
 $(\%x. \text{SUM } y : A \ x. l \ x \ y * g(k \ x \ y)) +_o$
 $O(\%x. \text{SUM } y : A \ x. \text{abs}(l \ x \ y * h(k \ x \ y)))$
 $\langle \text{proof} \rangle$

lemma *bigo-setsum5*: $f =_o O(h) ==> \text{ALL } x \ y. 0 \leq l \ x \ y ==>$
 $\text{ALL } x. 0 \leq h \ x ==>$
 $(\%x. \text{SUM } y : A \ x. (l \ x \ y) * f(k \ x \ y)) =_o$
 $O(\%x. \text{SUM } y : A \ x. (l \ x \ y) * h(k \ x \ y))$
 $\langle \text{proof} \rangle$

lemma *bigo-setsum6*: $f =_o g +_o O(h) ==> \text{ALL } x \ y. 0 \leq l \ x \ y ==>$
 $\text{ALL } x. 0 \leq h \ x ==>$
 $(\%x. \text{SUM } y : A \ x. (l \ x \ y) * f(k \ x \ y)) =_o$
 $(\%x. \text{SUM } y : A \ x. (l \ x \ y) * g(k \ x \ y)) +_o$
 $O(\%x. \text{SUM } y : A \ x. (l \ x \ y) * h(k \ x \ y))$
 $\langle \text{proof} \rangle$

5.3 Misc useful stuff

lemma *bigo-useful-intro*: $A \leq O(f) ==> B \leq O(f) ==>$
 $A \oplus B \leq O(f)$
 $\langle \text{proof} \rangle$

lemma *bigo-useful-add*: $f =_o O(h) ==> g =_o O(h) ==> f + g =_o O(h)$
 $\langle \text{proof} \rangle$

lemma *bigo-useful-const-mult*: $(c::'a::\text{ordered-field}) \sim 0 ==>$
 $(\%x. c) * f =_o O(h) ==> f =_o O(h)$
 $\langle \text{proof} \rangle$

lemma *bigo-fix*: $(\%x. f ((x::\text{nat}) + 1)) =_o O(\%x. h(x + 1)) ==> f \ 0 = 0 ==>$
 $f =_o O(h)$
 $\langle \text{proof} \rangle$

lemma *bigo-fix2*:
 $(\%x. f ((x::\text{nat}) + 1)) =_o (\%x. g(x + 1)) +_o O(\%x. h(x + 1)) ==>$
 $f \ 0 = g \ 0 ==> f =_o g +_o O(h)$
 $\langle \text{proof} \rangle$

5.4 Less than or equal to

definition

$lesso :: ('a ==> 'b :: ordered-idom) ==> ('a ==> 'b) ==> ('a ==> 'b)$
 $(\text{infixl } <_o \ 70) \text{ where}$
 $f <_o g = (\%x. \max (f\ x - g\ x)\ 0)$

lemma *bigo-lesseq1*: $f =_o O(h) ==> ALL\ x. abs\ (g\ x) <= abs\ (f\ x) ==>$
 $g =_o O(h)$
 $\langle proof \rangle$

lemma *bigo-lesseq2*: $f =_o O(h) ==> ALL\ x. abs\ (g\ x) <= f\ x ==>$
 $g =_o O(h)$
 $\langle proof \rangle$

lemma *bigo-lesseq3*: $f =_o O(h) ==> ALL\ x. 0 <= g\ x ==> ALL\ x. g\ x <= f\ x ==>$
 $x ==>$
 $g =_o O(h)$
 $\langle proof \rangle$

lemma *bigo-lesseq4*: $f =_o O(h) ==>$
 $ALL\ x. 0 <= g\ x ==> ALL\ x. g\ x <= abs\ (f\ x) ==>$
 $g =_o O(h)$
 $\langle proof \rangle$

lemma *bigo-lesso1*: $ALL\ x. f\ x <= g\ x ==> f <_o g =_o O(h)$
 $\langle proof \rangle$

lemma *bigo-lesso2*: $f =_o g +_o O(h) ==>$
 $ALL\ x. 0 <= k\ x ==> ALL\ x. k\ x <= f\ x ==>$
 $k <_o g =_o O(h)$
 $\langle proof \rangle$

lemma *bigo-lesso3*: $f =_o g +_o O(h) ==>$
 $ALL\ x. 0 <= k\ x ==> ALL\ x. g\ x <= k\ x ==>$
 $f <_o k =_o O(h)$
 $\langle proof \rangle$

lemma *bigo-lesso4*: $f <_o g =_o O(k :: 'a ==> 'b :: ordered-field) ==>$
 $g =_o h +_o O(k) ==> f <_o h =_o O(k)$
 $\langle proof \rangle$

lemma *bigo-lesso5*: $f <_o g =_o O(h) ==>$
 $EX\ C. ALL\ x. f\ x <= g\ x + C * abs(h\ x)$
 $\langle proof \rangle$

lemma *lesso-add*: $f <_o g =_o O(h) ==>$
 $k <_o l =_o O(h) ==> (f + k) <_o (g + l) =_o O(h)$
 $\langle proof \rangle$

end

6 Binomial: Binomial Coefficients

```
theory Binomial
imports ATP-Linkup
begin
```

This development is based on the work of Andy Gordon and Florian Kammüller.

```
consts
  binomial :: nat ⇒ nat ⇒ nat    (infixl choose 65)
primrec
  binomial-0: (0 choose k) = (if k = 0 then 1 else 0)
  binomial-Suc: (Suc n choose k) =
    (if k = 0 then 1 else (n choose (k - 1)) + (n choose k))

lemma binomial-n-0 [simp]: (n choose 0) = 1
⟨proof⟩

lemma binomial-0-Suc [simp]: (0 choose Suc k) = 0
⟨proof⟩

lemma binomial-Suc-Suc [simp]:
  (Suc n choose Suc k) = (n choose k) + (n choose Suc k)
⟨proof⟩

lemma binomial-eq-0: !!k. n < k ==> (n choose k) = 0
⟨proof⟩

declare binomial-0 [simp del] binomial-Suc [simp del]

lemma binomial-n-n [simp]: (n choose n) = 1
⟨proof⟩

lemma binomial-Suc-n [simp]: (Suc n choose n) = Suc n
⟨proof⟩

lemma binomial-1 [simp]: (n choose Suc 0) = n
⟨proof⟩

lemma zero-less-binomial: k ≤ n ==> (n choose k) > 0
⟨proof⟩

lemma binomial-eq-0-iff: (n choose k = 0) = (n < k)
⟨proof⟩

lemma zero-less-binomial-iff: (n choose k > 0) = (k ≤ n)
⟨proof⟩
```

lemma *Suc-times-binomial-eq*:

!! $k. k \leq n \implies \text{Suc } n * (n \text{ choose } k) = (\text{Suc } n \text{ choose } \text{Suc } k) * \text{Suc } k$
 <proof>

This is the well-known version, but it’s harder to use because of the need to reason about division.

lemma *binomial-Suc-Suc-eq-times*:

$k \leq n \implies (\text{Suc } n \text{ choose } \text{Suc } k) = (\text{Suc } n * (n \text{ choose } k)) \text{ div } \text{Suc } k$
 <proof>

Another version, with -1 instead of Suc.

lemma *times-binomial-minus1-eq*:

[[$k \leq n; \ 0 < k$]] $\implies (n \text{ choose } k) * k = n * ((n - 1) \text{ choose } (k - 1))$
 <proof>

6.1 Theorems about choose

Basic theorem about *choose*. By Florian Kammüller, tidied by LCP.

lemma *card-s-0-eq-empty*:

$\text{finite } A \implies \text{card } \{B. B \subseteq A \ \& \ \text{card } B = 0\} = 1$
 <proof>

lemma *choose-deconstruct*: $\text{finite } M \implies x \notin M$

$\implies \{s. s \leq \text{insert } x \ M \ \& \ \text{card}(s) = \text{Suc } k\}$
 $= \{s. s \leq M \ \& \ \text{card}(s) = \text{Suc } k\} \cup n$
 $\{s. \text{EX } t. t \leq M \ \& \ \text{card}(t) = k \ \& \ s = \text{insert } x \ t\}$
 <proof>

There are as many subsets of A having cardinality k as there are sets obtained from the former by inserting a fixed element x into each.

lemma *constr-bij*:

[[$\text{finite } A; \ x \notin A$]] \implies
 $\text{card } \{B. \text{EX } C. C \leq A \ \& \ \text{card}(C) = k \ \& \ B = \text{insert } x \ C\} =$
 $\text{card } \{B. B \leq A \ \& \ \text{card}(B) = k\}$
 <proof>

Main theorem: combinatorial statement about number of subsets of a set.

lemma *n-sub-lemma*:

!! $A. \text{finite } A \implies \text{card } \{B. B \leq A \ \& \ \text{card } B = k\} = (\text{card } A \text{ choose } k)$
 <proof>

theorem *n-subsets*:

$\text{finite } A \implies \text{card } \{B. B \leq A \ \& \ \text{card } B = k\} = (\text{card } A \text{ choose } k)$
 <proof>

The binomial theorem (courtesy of Tobias Nipkow):

theorem *binomial*: $(a+b::\text{nat})^n = (\sum k=0..n. (n \text{ choose } k) * a^k * b^{(n-k)})$

<proof>

end

7 Boolean-Algebra: Boolean Algebras

theory *Boolean-Algebra*

imports *ATP-Linkup*

begin

locale *boolean* =

fixes *conj* :: 'a \Rightarrow 'a \Rightarrow 'a (**infixr** \sqcap 70)

fixes *disj* :: 'a \Rightarrow 'a \Rightarrow 'a (**infixr** \sqcup 65)

fixes *compl* :: 'a \Rightarrow 'a (\sim - [81] 80)

fixes *zero* :: 'a (**0**)

fixes *one* :: 'a (**1**)

assumes *conj-assoc*: $(x \sqcap y) \sqcap z = x \sqcap (y \sqcap z)$

assumes *disj-assoc*: $(x \sqcup y) \sqcup z = x \sqcup (y \sqcup z)$

assumes *conj-commute*: $x \sqcap y = y \sqcap x$

assumes *disj-commute*: $x \sqcup y = y \sqcup x$

assumes *conj-disj-distrib*: $x \sqcap (y \sqcup z) = (x \sqcap y) \sqcup (x \sqcap z)$

assumes *disj-conj-distrib*: $x \sqcup (y \sqcap z) = (x \sqcup y) \sqcap (x \sqcup z)$

assumes *conj-one-right* [*simp*]: $x \sqcap \mathbf{1} = x$

assumes *disj-zero-right* [*simp*]: $x \sqcup \mathbf{0} = x$

assumes *conj-cancel-right* [*simp*]: $x \sqcap \sim x = \mathbf{0}$

assumes *disj-cancel-right* [*simp*]: $x \sqcup \sim x = \mathbf{1}$

begin

lemmas *disj-ac* =

disj-assoc disj-commute

mk-left-commute [**where** 'a = 'a, *of disj, OF disj-assoc disj-commute*]

lemmas *conj-ac* =

conj-assoc conj-commute

mk-left-commute [**where** 'a = 'a, *of conj, OF conj-assoc conj-commute*]

lemma *dual*: *boolean disj conj compl one zero*

<proof>

7.1 Complement

lemma *complement-unique*:

assumes 1: $a \sqcap x = \mathbf{0}$

assumes 2: $a \sqcup x = \mathbf{1}$

assumes 3: $a \sqcap y = \mathbf{0}$

assumes 4: $a \sqcup y = \mathbf{1}$

shows $x = y$

<proof>

lemma *compl-unique*: $\llbracket x \sqcap y = \mathbf{0}; x \sqcup y = \mathbf{1} \rrbracket \implies \sim x = y$
 $\langle \text{proof} \rangle$

lemma *double-compl* [simp]: $\sim (\sim x) = x$
 $\langle \text{proof} \rangle$

lemma *compl-eq-compl-iff* [simp]: $(\sim x = \sim y) = (x = y)$
 $\langle \text{proof} \rangle$

7.2 Conjunction

lemma *conj-absorb* [simp]: $x \sqcap x = x$
 $\langle \text{proof} \rangle$

lemma *conj-zero-right* [simp]: $x \sqcap \mathbf{0} = \mathbf{0}$
 $\langle \text{proof} \rangle$

lemma *compl-one* [simp]: $\sim \mathbf{1} = \mathbf{0}$
 $\langle \text{proof} \rangle$

lemma *conj-zero-left* [simp]: $\mathbf{0} \sqcap x = \mathbf{0}$
 $\langle \text{proof} \rangle$

lemma *conj-one-left* [simp]: $\mathbf{1} \sqcap x = x$
 $\langle \text{proof} \rangle$

lemma *conj-cancel-left* [simp]: $\sim x \sqcap x = \mathbf{0}$
 $\langle \text{proof} \rangle$

lemma *conj-left-absorb* [simp]: $x \sqcap (x \sqcap y) = x \sqcap y$
 $\langle \text{proof} \rangle$

lemma *conj-disj-distrib2*:
 $(y \sqcup z) \sqcap x = (y \sqcap x) \sqcup (z \sqcap x)$
 $\langle \text{proof} \rangle$

lemmas *conj-disj-distrib* =
conj-disj-distrib conj-disj-distrib2

7.3 Disjunction

lemma *disj-absorb* [simp]: $x \sqcup x = x$
 $\langle \text{proof} \rangle$

lemma *disj-one-right* [simp]: $x \sqcup \mathbf{1} = \mathbf{1}$
 $\langle \text{proof} \rangle$

lemma *compl-zero* [simp]: $\sim \mathbf{0} = \mathbf{1}$
 $\langle \text{proof} \rangle$

lemma *disj-zero-left* [*simp*]: $\mathbf{0} \sqcup x = x$
 $\langle proof \rangle$

lemma *disj-one-left* [*simp*]: $\mathbf{1} \sqcup x = \mathbf{1}$
 $\langle proof \rangle$

lemma *disj-cancel-left* [*simp*]: $\sim x \sqcup x = \mathbf{1}$
 $\langle proof \rangle$

lemma *disj-left-absorb* [*simp*]: $x \sqcup (x \sqcup y) = x \sqcup y$
 $\langle proof \rangle$

lemma *disj-conj-distrib2*:
 $(y \sqcap z) \sqcup x = (y \sqcup x) \sqcap (z \sqcup x)$
 $\langle proof \rangle$

lemmas *disj-conj-distrib* =
disj-conj-distrib disj-conj-distrib2

7.4 De Morgan’s Laws

lemma *de-Morgan-conj* [*simp*]: $\sim (x \sqcap y) = \sim x \sqcup \sim y$
 $\langle proof \rangle$

lemma *de-Morgan-disj* [*simp*]: $\sim (x \sqcup y) = \sim x \sqcap \sim y$
 $\langle proof \rangle$

end

7.5 Symmetric Difference

locale *boolean-xor* = *boolean* +
fixes *xor* :: $'a \Rightarrow 'a \Rightarrow 'a$ (**infixr** \oplus 65)
assumes *xor-def*: $x \oplus y = (x \sqcap \sim y) \sqcup (\sim x \sqcap y)$
begin

lemma *xor-def2*:
 $x \oplus y = (x \sqcup y) \sqcap (\sim x \sqcup \sim y)$
 $\langle proof \rangle$

lemma *xor-commute*: $x \oplus y = y \oplus x$
 $\langle proof \rangle$

lemma *xor-assoc*: $(x \oplus y) \oplus z = x \oplus (y \oplus z)$
 $\langle proof \rangle$

lemmas *xor-ac* =
xor-assoc xor-commute
mk-left-commute [**where** $'a = 'a$, *of xor*, *OF xor-assoc xor-commute*]

lemma *xor-zero-right* [*simp*]: $x \oplus \mathbf{0} = x$
 $\langle proof \rangle$

lemma *xor-zero-left* [*simp*]: $\mathbf{0} \oplus x = x$
 $\langle proof \rangle$

lemma *xor-one-right* [*simp*]: $x \oplus \mathbf{1} = \sim x$
 $\langle proof \rangle$

lemma *xor-one-left* [*simp*]: $\mathbf{1} \oplus x = \sim x$
 $\langle proof \rangle$

lemma *xor-self* [*simp*]: $x \oplus x = \mathbf{0}$
 $\langle proof \rangle$

lemma *xor-left-self* [*simp*]: $x \oplus (x \oplus y) = y$
 $\langle proof \rangle$

lemma *xor-compl-left*: $\sim x \oplus y = \sim (x \oplus y)$
 $\langle proof \rangle$

lemma *xor-compl-right*: $x \oplus \sim y = \sim (x \oplus y)$
 $\langle proof \rangle$

lemma *xor-cancel-right* [*simp*]: $x \oplus \sim x = \mathbf{1}$
 $\langle proof \rangle$

lemma *xor-cancel-left* [*simp*]: $\sim x \oplus x = \mathbf{1}$
 $\langle proof \rangle$

lemma *conj-xor-distrib*: $x \sqcap (y \oplus z) = (x \sqcap y) \oplus (x \sqcap z)$
 $\langle proof \rangle$

lemma *conj-xor-distrib2*:
 $(y \oplus z) \sqcap x = (y \sqcap x) \oplus (z \sqcap x)$
 $\langle proof \rangle$

lemmas *conj-xor-distrib* =
conj-xor-distrib conj-xor-distrib2

end

end

8 Product-ord: Order on product types

theory *Product-ord*

imports *ATP-Linkup*
begin

instantiation * :: (*ord*, *ord*) *ord*
begin

definition

prod-le-def [*code func del*]: $x \leq y \longleftrightarrow \text{fst } x < \text{fst } y \vee \text{fst } x = \text{fst } y \wedge \text{snd } x \leq \text{snd } y$

definition

prod-less-def [*code func del*]: $x < y \longleftrightarrow \text{fst } x < \text{fst } y \vee \text{fst } x = \text{fst } y \wedge \text{snd } x < \text{snd } y$

instance $\langle \text{proof} \rangle$

end

lemma [*code, code func del*]:

$(x1, y1) \leq (x2, y2) \longleftrightarrow x1 < x2 \vee x1 = x2 \wedge y1 \leq y2$
 $(x1, y1) < (x2, y2) \longleftrightarrow x1 < x2 \vee x1 = x2 \wedge y1 < y2$
 $\langle \text{proof} \rangle$

lemma [*code func*]:

$(x1::'a::\{\text{ord}, \text{eq}\}, y1) \leq (x2, y2) \longleftrightarrow x1 < x2 \vee x1 = x2 \wedge y1 \leq y2$
 $(x1::'a::\{\text{ord}, \text{eq}\}, y1) < (x2, y2) \longleftrightarrow x1 < x2 \vee x1 = x2 \wedge y1 < y2$
 $\langle \text{proof} \rangle$

instance * :: (*order*, *order*) *order*
 $\langle \text{proof} \rangle$

instance * :: (*linorder*, *linorder*) *linorder*
 $\langle \text{proof} \rangle$

instantiation * :: (*linorder*, *linorder*) *distrib-lattice*
begin

definition

inf-prod-def: $(\text{inf} :: 'a \times 'b \Rightarrow - \Rightarrow -) = \text{min}$

definition

sup-prod-def: $(\text{sup} :: 'a \times 'b \Rightarrow - \Rightarrow -) = \text{max}$

instance

$\langle \text{proof} \rangle$

end

end

9 Char-nat: Mapping between characters and natural numbers

```
theory Char-nat
imports List
begin
```

Conversions between nibbles and natural numbers in $[0..15]$.

```
primrec
```

```
  nat-of-nibble :: nibble  $\Rightarrow$  nat where
    nat-of-nibble Nibble0 = 0
  | nat-of-nibble Nibble1 = 1
  | nat-of-nibble Nibble2 = 2
  | nat-of-nibble Nibble3 = 3
  | nat-of-nibble Nibble4 = 4
  | nat-of-nibble Nibble5 = 5
  | nat-of-nibble Nibble6 = 6
  | nat-of-nibble Nibble7 = 7
  | nat-of-nibble Nibble8 = 8
  | nat-of-nibble Nibble9 = 9
  | nat-of-nibble NibbleA = 10
  | nat-of-nibble NibbleB = 11
  | nat-of-nibble NibbleC = 12
  | nat-of-nibble NibbleD = 13
  | nat-of-nibble NibbleE = 14
  | nat-of-nibble NibbleF = 15
```

```
definition
```

```
  nibble-of-nat :: nat  $\Rightarrow$  nibble where
    nibble-of-nat x = (let y = x mod 16 in
      if y = 0 then Nibble0 else
      if y = 1 then Nibble1 else
      if y = 2 then Nibble2 else
      if y = 3 then Nibble3 else
      if y = 4 then Nibble4 else
      if y = 5 then Nibble5 else
      if y = 6 then Nibble6 else
      if y = 7 then Nibble7 else
      if y = 8 then Nibble8 else
      if y = 9 then Nibble9 else
      if y = 10 then NibbleA else
      if y = 11 then NibbleB else
      if y = 12 then NibbleC else
      if y = 13 then NibbleD else
      if y = 14 then NibbleE else
      NibbleF)
```


lemma *nibble-of-nat-norm*:

nibble-of-nat (*n mod 16*) = *nibble-of-nat* *n*
 ⟨*proof*⟩

lemmas [*code func*] = *nibble-of-nat-norm* [*symmetric*]

lemma *nibble-of-nat-simps* [*simp*]:

nibble-of-nat 0 = *Nibble0*
nibble-of-nat 1 = *Nibble1*
nibble-of-nat 2 = *Nibble2*
nibble-of-nat 3 = *Nibble3*
nibble-of-nat 4 = *Nibble4*
nibble-of-nat 5 = *Nibble5*
nibble-of-nat 6 = *Nibble6*
nibble-of-nat 7 = *Nibble7*
nibble-of-nat 8 = *Nibble8*
nibble-of-nat 9 = *Nibble9*
nibble-of-nat 10 = *NibbleA*
nibble-of-nat 11 = *NibbleB*
nibble-of-nat 12 = *NibbleC*
nibble-of-nat 13 = *NibbleD*
nibble-of-nat 14 = *NibbleE*
nibble-of-nat 15 = *NibbleF*
 ⟨*proof*⟩

lemmas *nibble-of-nat-code* [*code func*] = *nibble-of-nat-simps*

[*simplified nat-number Let-def not-neg-number-of-Pls neg-number-of-Bit0 neg-number-of-Bit1*
if-False add-0 add-Suc]

lemma *nibble-of-nat-of-nibble*: *nibble-of-nat* (*nat-of-nibble* *n*) = *n*
 ⟨*proof*⟩

lemma *nat-of-nibble-of-nat*: *nat-of-nibble* (*nibble-of-nat* *n*) = *n mod 16*
 ⟨*proof*⟩

lemma *inj-nat-of-nibble*: *inj nat-of-nibble*
 ⟨*proof*⟩

lemma *nat-of-nibble-eq*: *nat-of-nibble* *n* = *nat-of-nibble* *m* \longleftrightarrow *n* = *m*
 ⟨*proof*⟩

lemma *nat-of-nibble-less-16*: *nat-of-nibble* *n* < 16
 ⟨*proof*⟩

lemma *nat-of-nibble-div-16*: *nat-of-nibble* *n* div 16 = 0
 ⟨*proof*⟩

Conversion between chars and nats.

definition

$nibble\text{-}pair\text{-}of\text{-}nat :: nat \Rightarrow nibble \times nibble$ **where**
 $nibble\text{-}pair\text{-}of\text{-}nat\ n = (nibble\text{-}of\text{-}nat\ (n \div 16), nibble\text{-}of\text{-}nat\ (n \bmod 16))$

lemma *nibble-of-pair* [code func]:

$nibble\text{-}pair\text{-}of\text{-}nat\ n = (nibble\text{-}of\text{-}nat\ (n \div 16), nibble\text{-}of\text{-}nat\ n)$
 $\langle proof \rangle$

primrec

$nat\text{-}of\text{-}char :: char \Rightarrow nat$ **where**
 $nat\text{-}of\text{-}char\ (Char\ n\ m) = nat\text{-}of\text{-}nibble\ n * 16 + nat\text{-}of\text{-}nibble\ m$

lemmas [simp del] = $nat\text{-}of\text{-}char.simps$

definition

$char\text{-}of\text{-}nat :: nat \Rightarrow char$ **where**
 $char\text{-}of\text{-}nat\text{-}def: char\text{-}of\text{-}nat\ n = split\ Char\ (nibble\text{-}pair\text{-}of\text{-}nat\ n)$

lemma *Char-char-of-nat*:

$Char\ n\ m = char\text{-}of\text{-}nat\ (nat\text{-}of\text{-}nibble\ n * 16 + nat\text{-}of\text{-}nibble\ m)$
 $\langle proof \rangle$

lemma *char-of-nat-of-char*:

$char\text{-}of\text{-}nat\ (nat\text{-}of\text{-}char\ c) = c$
 $\langle proof \rangle$

lemma *nat-of-char-of-nat*:

$nat\text{-}of\text{-}char\ (char\text{-}of\text{-}nat\ n) = n \bmod 256$
 $\langle proof \rangle$

lemma *nibble-pair-of-nat-char*:

$nibble\text{-}pair\text{-}of\text{-}nat\ (nat\text{-}of\text{-}char\ (Char\ n\ m)) = (n, m)$
 $\langle proof \rangle$

Code generator setup

code-modulename *SML*

Char-nat List

code-modulename *OCaml*

Char-nat List

code-modulename *Haskell*

Char-nat List

end

10 Char-ord: Order on characters

theory *Char-ord*

imports *Product-ord Char-nat*
begin

instantiation *nibble :: linorder*
begin

definition
nibble-less-eq-def: $n \leq m \longleftrightarrow \text{nat-of-nibble } n \leq \text{nat-of-nibble } m$

definition
nibble-less-def: $n < m \longleftrightarrow \text{nat-of-nibble } n < \text{nat-of-nibble } m$

instance $\langle \text{proof} \rangle$

end

instantiation *nibble :: distrib-lattice*
begin

definition
(inf :: nibble \Rightarrow -) = min

definition
(sup :: nibble \Rightarrow -) = max

instance $\langle \text{proof} \rangle$

end

instantiation *char :: linorder*
begin

definition
char-less-eq-def [code func del]: $c1 \leq c2 \longleftrightarrow (\text{case } c1 \text{ of Char } n1 \ m1 \Rightarrow \text{case } c2 \text{ of Char } n2 \ m2 \Rightarrow$
 $n1 < n2 \vee n1 = n2 \wedge m1 \leq m2)$

definition
char-less-def [code func del]: $c1 < c2 \longleftrightarrow (\text{case } c1 \text{ of Char } n1 \ m1 \Rightarrow \text{case } c2 \text{ of Char } n2 \ m2 \Rightarrow$
 $n1 < n2 \vee n1 = n2 \wedge m1 < m2)$

instance
 $\langle \text{proof} \rangle$

end

instantiation *char :: distrib-lattice*
begin

definition $(inf :: char \Rightarrow -) = min$ **definition** $(sup :: char \Rightarrow -) = max$ **instance** $\langle proof \rangle$ **end****lemma** $[simp, code func]$:**shows** $char-less-eq-simp$: $Char\ n1\ m1 \leq Char\ n2\ m2 \longleftrightarrow n1 < n2 \vee n1 = n2 \wedge m1 \leq m2$ **and** $char-less-simp$: $Char\ n1\ m1 < Char\ n2\ m2 \longleftrightarrow n1 < n2 \vee n1 = n2 \wedge m1 < m2$ $\langle proof \rangle$ **end**

11 Code-Char: Code generation of pretty characters (and strings)

theory *Code-Char***imports** *List***begin****declare** $char.recs$ $[code\ func\ del]$ $char.cases$ $[code\ func\ del]$ **lemma** $[code\ func]$: $size\ (c::char) = 0$ $\langle proof \rangle$ **lemma** $[code\ func]$: $char-size\ (c::char) = 0$ $\langle proof \rangle$ **code-type** *char* $(SML\ char)$ $(OCaml\ char)$ $(Haskell\ Char)$ $\langle ML \rangle$ **code-instance** $char :: eq$ $(Haskell\ -)$

code-reserved *SML*
char

code-reserved *OCaml*
char

code-const *op* = :: *char* ⇒ *char* ⇒ *bool*
 (*SML* !((- : *char*) = -))
 (*OCaml* !((- : *char*) = -))
 (*Haskell* **infixl** 4 ==)

end

12 Code-Integer: Pretty integer literals for code generation

theory *Code-Integer*
imports *ATP-Linkup*
begin

HOL numeral expressions are mapped to integer literals in target languages, using predefined target language operations for abstract integer operations.

code-type *int*
 (*SML* *IntInf.int*)
 (*OCaml* *Big'-int.big'-int*)
 (*Haskell* *Integer*)

code-instance *int* :: *eq*
 (*Haskell* -)

⟨*ML*⟩

code-const *Int.Pls* **and** *Int.Min* **and** *Int.Bit0* **and** *Int.Bit1*
 (*SML* *raise/ Fail/ Pls*
 and *raise/ Fail/ Min*
 and !((-);/ *raise/ Fail/ Bit0*)
 and !((-);/ *raise/ Fail/ Bit1*))
 (*OCaml* *failwith/ Pls*
 and *failwith/ Min*
 and !((-);/ *failwith/ Bit0*)
 and !((-);/ *failwith/ Bit1*))
 (*Haskell* *error/ Pls*
 and *error/ Min*
 and *error/ Bit0*
 and *error/ Bit1*)

```

code-const Int.pred
  (SML IntInf.− ((−), 1))
  (OCaml Big'-int.pred'-big'-int)
  (Haskell !(-/ -/ 1))

code-const Int.succ
  (SML IntInf.+ ((−), 1))
  (OCaml Big'-int.succ'-big'-int)
  (Haskell !(-/ +/ 1))

code-const op + :: int ⇒ int ⇒ int
  (SML IntInf.+ ((−), (−)))
  (OCaml Big'-int.add'-big'-int)
  (Haskell infixl 6 +)

code-const uminus :: int ⇒ int
  (SML IntInf.~)
  (OCaml Big'-int.minus'-big'-int)
  (Haskell negate)

code-const op − :: int ⇒ int ⇒ int
  (SML IntInf.− ((−), (−)))
  (OCaml Big'-int.sub'-big'-int)
  (Haskell infixl 6 −)

code-const op * :: int ⇒ int ⇒ int
  (SML IntInf.* ((−), (−)))
  (OCaml Big'-int.mult'-big'-int)
  (Haskell infixl 7 *)

code-const op = :: int ⇒ int ⇒ bool
  (SML !((- : IntInf.int) = -))
  (OCaml Big'-int.eq'-big'-int)
  (Haskell infixl 4 ==)

code-const op ≤ :: int ⇒ int ⇒ bool
  (SML IntInf.<= ((−), (−)))
  (OCaml Big'-int.le'-big'-int)
  (Haskell infix 4 <=)

code-const op < :: int ⇒ int ⇒ bool
  (SML IntInf.< ((−), (−)))
  (OCaml Big'-int.lt'-big'-int)
  (Haskell infix 4 <)

code-reserved SML IntInf
code-reserved OCaml Big-int

end

```

13 Code-Char-chr: Code generation of pretty characters with character codes

```

theory Code-Char-chr
imports Char-nat Code-Char Code-Integer
begin

definition
  int-of-char = int o nat-of-char

lemma [code func]:
  nat-of-char = nat o int-of-char
  ⟨proof⟩

definition
  char-of-int = char-of-nat o nat

lemma [code func]:
  char-of-nat = char-of-int o int
  ⟨proof⟩

lemmas [code func del] = char.recs char.cases char.size

lemma [code func, code inline]:
  char-rec f c = split f (nibble-pair-of-nat (nat-of-char c))
  ⟨proof⟩

lemma [code func, code inline]:
  char-case f c = split f (nibble-pair-of-nat (nat-of-char c))
  ⟨proof⟩

lemma [code func]:
  size (c::char) = 0
  ⟨proof⟩

code-const int-of-char and char-of-int
  (SML !(IntInf.fromInt o Char.ord) and !(Char.chr o IntInf.toInt))
  (OCaml Big'-int.big'-int'-of'-int (Char.code -) and Char.chr (Big'-int.int'-of'-big'-int
  -))
  (Haskell toInteger (fromEnum (- :: Char)) and !(let chr k | k < 256 = toEnum
  k :: Char in chr . fromInteger))

end

```

14 Code-Index: Type of indices

```

theory Code-Index
imports ATP-Linkup

```

begin

Indices are isomorphic to HOL *nat* but mapped to target-language builtin integers

14.1 Datatype of indices

typedef *index* = *UNIV* :: *nat set*
morphisms *nat-of-index index-of-nat* $\langle \text{proof} \rangle$

lemma *index-of-nat-nat-of-index* [*simp*]:
 $\text{index-of-nat } (\text{nat-of-index } k) = k$
 $\langle \text{proof} \rangle$

lemma *nat-of-index-index-of-nat* [*simp*]:
 $\text{nat-of-index } (\text{index-of-nat } n) = n$
 $\langle \text{proof} \rangle$

lemma *index*:
 $(\bigwedge n::\text{index}. \text{PROP } P \ n) \equiv (\bigwedge n::\text{nat}. \text{PROP } P \ (\text{index-of-nat } n))$
 $\langle \text{proof} \rangle$

lemma *index-case*:
assumes $\bigwedge n. k = \text{index-of-nat } n \implies P$
shows P
 $\langle \text{proof} \rangle$

lemma *index-induct-raw*:
assumes $\bigwedge n. P \ (\text{index-of-nat } n)$
shows $P \ k$
 $\langle \text{proof} \rangle$

lemma *nat-of-index-inject* [*simp*]:
 $\text{nat-of-index } k = \text{nat-of-index } l \iff k = l$
 $\langle \text{proof} \rangle$

lemma *index-of-nat-inject* [*simp*]:
 $\text{index-of-nat } n = \text{index-of-nat } m \iff n = m$
 $\langle \text{proof} \rangle$

instantiation *index* :: *zero*
begin

definition [*simp*, *code func del*]:
 $0 = \text{index-of-nat } 0$

instance $\langle \text{proof} \rangle$

end

definition *[simp]*:

Suc-index k = index-of-nat (Suc (nat-of-index k))

lemma *index-induct*: $P\ 0 \implies (\bigwedge k. P\ k \implies P\ (Suc\text{-}index\ k)) \implies P\ k$
<proof>

lemma *Suc-not-Zero-index*: *Suc-index k \neq 0*
<proof>

lemma *Zero-not-Suc-index*: *0 \neq Suc-index k*
<proof>

lemma *Suc-Suc-index-eq*: *Suc-index k = Suc-index l \longleftrightarrow k = l*
<proof>

rep-datatype *index*

distinct *Suc-not-Zero-index Zero-not-Suc-index*

inject *Suc-Suc-index-eq*

induction *index-induct*

lemmas *[code func del] = index.recs index.cases*

declare *index-case* *[case-names nat, cases type: index]*

declare *index-induct* *[case-names nat, induct type: index]*

lemma *[code func]*:

index-size = nat-of-index

<proof>

lemma *[code func]*:

size = nat-of-index

<proof>

lemma *[code func]*:

k = l \longleftrightarrow nat-of-index k = nat-of-index l

<proof>

14.2 Indices as datatype of ints

instantiation *index :: number*

begin

definition

number-of = index-of-nat o nat

instance *<proof>*

end

lemma *nat-of-index-number* [*simp*]:
 $\text{nat-of-index } (\text{number-of } k) = \text{number-of } k$
 $\langle \text{proof} \rangle$

code-datatype *number-of* :: *int* \Rightarrow *index*

14.3 Basic arithmetic

instantiation *index* :: {*minus*, *ordered-semidom*, *Divides.div*, *linorder*}
begin

lemma *zero-index-code* [*code inline*, *code func*]:
 $(0::\text{index}) = \text{Numeral0}$
 $\langle \text{proof} \rangle$

lemma [*code post*]: $\text{Numeral0} = (0::\text{index})$
 $\langle \text{proof} \rangle$

definition [*simp*, *code func del*]:
 $(1::\text{index}) = \text{index-of-nat } 1$

lemma *one-index-code* [*code inline*, *code func*]:
 $(1::\text{index}) = \text{Numeral1}$
 $\langle \text{proof} \rangle$

lemma [*code post*]: $\text{Numeral1} = (1::\text{index})$
 $\langle \text{proof} \rangle$

definition [*simp*, *code func del*]:
 $n + m = \text{index-of-nat } (\text{nat-of-index } n + \text{nat-of-index } m)$

lemma *plus-index-code* [*code func*]:
 $\text{index-of-nat } n + \text{index-of-nat } m = \text{index-of-nat } (n + m)$
 $\langle \text{proof} \rangle$

definition [*simp*, *code func del*]:
 $n - m = \text{index-of-nat } (\text{nat-of-index } n - \text{nat-of-index } m)$

definition [*simp*, *code func del*]:
 $n * m = \text{index-of-nat } (\text{nat-of-index } n * \text{nat-of-index } m)$

lemma *times-index-code* [*code func*]:
 $\text{index-of-nat } n * \text{index-of-nat } m = \text{index-of-nat } (n * m)$
 $\langle \text{proof} \rangle$

definition [*simp*, *code func del*]:
 $n \text{ div } m = \text{index-of-nat } (\text{nat-of-index } n \text{ div } \text{nat-of-index } m)$

definition [*simp*, *code func del*]:
 $n \text{ mod } m = \text{index-of-nat } (\text{nat-of-index } n \text{ mod } \text{nat-of-index } m)$

lemma *div-index-code* [*code func*]:
 $\text{index-of-nat } n \text{ div index-of-nat } m = \text{index-of-nat } (n \text{ div } m)$
 ⟨*proof*⟩

lemma *mod-index-code* [*code func*]:
 $\text{index-of-nat } n \text{ mod index-of-nat } m = \text{index-of-nat } (n \text{ mod } m)$
 ⟨*proof*⟩

definition [*simp, code func del*]:
 $n \leq m \longleftrightarrow \text{nat-of-index } n \leq \text{nat-of-index } m$

definition [*simp, code func del*]:
 $n < m \longleftrightarrow \text{nat-of-index } n < \text{nat-of-index } m$

lemma *less-eq-index-code* [*code func*]:
 $\text{index-of-nat } n \leq \text{index-of-nat } m \longleftrightarrow n \leq m$
 ⟨*proof*⟩

lemma *less-index-code* [*code func*]:
 $\text{index-of-nat } n < \text{index-of-nat } m \longleftrightarrow n < m$
 ⟨*proof*⟩

instance ⟨*proof*⟩

end

lemma *Suc-index-minus-one*: $\text{Suc-index } n - 1 = n$ ⟨*proof*⟩

lemma *index-of-nat-code* [*code*]:
 $\text{index-of-nat} = \text{of-nat}$
 ⟨*proof*⟩

lemma *index-not-eq-zero*: $i \neq \text{index-of-nat } 0 \longleftrightarrow i \geq 1$
 ⟨*proof*⟩

definition
 $\text{nat-of-index-aux} :: \text{index} \Rightarrow \text{nat} \Rightarrow \text{nat}$

where
 $\text{nat-of-index-aux } i \ n = \text{nat-of-index } i + n$

lemma *nat-of-index-aux-code* [*code*]:
 $\text{nat-of-index-aux } i \ n = (\text{if } i = 0 \text{ then } n \text{ else } \text{nat-of-index-aux } (i - 1) (\text{Suc } n))$
 ⟨*proof*⟩

lemma *nat-of-index-code* [*code*]:
 $\text{nat-of-index } i = \text{nat-of-index-aux } i \ 0$
 ⟨*proof*⟩

14.4 ML interface

$\langle ML \rangle$

14.5 Specialized $op -$, $op div$ and $op mod$ operations

definition

$minus-index-aux :: index \Rightarrow index \Rightarrow index$

where

$[code\ func\ del]: minus-index-aux = op -$

lemma $[code\ func]: op - = minus-index-aux$

$\langle proof \rangle$

definition

$div-mod-index :: index \Rightarrow index \Rightarrow index \times index$

where

$[code\ func\ del]: div-mod-index\ n\ m = (n\ div\ m, n\ mod\ m)$

lemma $[code\ func]:$

$div-mod-index\ n\ m = (if\ m = 0\ then\ (0, n)\ else\ (n\ div\ m, n\ mod\ m))$

$\langle proof \rangle$

lemma $[code\ func]:$

$n\ div\ m = fst\ (div-mod-index\ n\ m)$

$\langle proof \rangle$

lemma $[code\ func]:$

$n\ mod\ m = snd\ (div-mod-index\ n\ m)$

$\langle proof \rangle$

14.6 Code serialization

Implementation of indices by bounded integers

code-type $index$

$(SML\ int)$

$(OCaml\ int)$

$(Haskell\ Int)$

code-instance $index :: eq$

$(Haskell\ -)$

$\langle ML \rangle$

code-reserved $SML\ Int\ int$

code-reserved $OCaml\ Pervasives\ int$

code-const $op + :: index \Rightarrow index \Rightarrow index$

$(SML\ Int.+ / ((-), / (-)))$

$(OCaml\ Pervasives.(+))$

```

(Haskell infixl 6 +)

code-const minus-index-aux :: index ⇒ index ⇒ index
  (SML Int.max / (- / - / -, / 0 : int))
  (OCaml Pervasives.max / (- / - / -) / (0 : int) )
  (Haskell max / (- / - / -) / (0 :: Int))

code-const op * :: index ⇒ index ⇒ index
  (SML Int.* / ((-), / (-)))
  (OCaml Pervasives.( * ))
  (Haskell infixl 7 *)

code-const div-mod-index
  (SML (fn n => fn m => / (n div m, n mod m)))
  (OCaml (fun n -> fun m -> / (n ' / m, n mod m)))
  (Haskell divMod)

code-const op = :: index ⇒ index ⇒ bool
  (SML !((- : Int.int) = -))
  (OCaml !((- : int) = -))
  (Haskell infixl 4 ==)

code-const op ≤ :: index ⇒ index ⇒ bool
  (SML Int.<= / ((-), / (-)))
  (OCaml !((- : int) <= -))
  (Haskell infix 4 <=)

code-const op < :: index ⇒ index ⇒ bool
  (SML Int.< / ((-), / (-)))
  (OCaml !((- : int) < -))
  (Haskell infix 4 <)

end

```

15 Code-Message: Monolithic strings (message strings) for code generation

```

theory Code-Message
imports List
begin

```

15.1 Datatype of messages

```

datatype message-string = STR string

```

```

lemmas [code func del] = message-string.recs message-string.cases

```

lemma *[code func]: size (s::message-string) = 0*
⟨proof⟩

lemma *[code func]: message-string-size (s::message-string) = 0*
⟨proof⟩

15.2 ML interface

⟨ML⟩

15.3 Code serialization

code-type *message-string*
(SML string)
(OCaml string)
(Haskell String)

⟨ML⟩

code-reserved *SML string*
code-reserved *OCaml string*

code-instance *message-string :: eq*
(Haskell −)

code-const *op = :: message-string ⇒ message-string ⇒ bool*
(SML !((- : string) = -))
(OCaml !((- : string) = -))
(Haskell infixl 4 ==)

end

16 Coinductive-List: Potentially infinite lists as greatest fixed-point

theory *Coinductive-List*
imports *List*
begin

16.1 List constructors over the datatype universe

definition *NIL = Datatype.In0 (Datatype.Numb 0)*

definition *CONS M N = Datatype.In1 (Datatype.Scons M N)*

lemma *CONS-not-NIL [iff]: CONS M N ≠ NIL*
and *NIL-not-CONS [iff]: NIL ≠ CONS M N*
and *CONS-inject [iff]: (CONS K M) = (CONS L N) = (K = L ∧ M = N)*
⟨proof⟩

lemma *CONS-mono*: $M \subseteq M' \implies N \subseteq N' \implies \text{CONS } M \ N \subseteq \text{CONS } M' \ N'$
 ⟨proof⟩

lemma *CONS-UN1*: $\text{CONS } M \ (\bigcup x. f \ x) = (\bigcup x. \text{CONS } M \ (f \ x))$
 — A continuity result?
 ⟨proof⟩

definition *List-case* $c \ h = \text{Datatype.Case } (\lambda-. \ c) \ (\text{Datatype.Split } h)$

lemma *List-case-NIL* [simp]: $\text{List-case } c \ h \ \text{NIL} = c$
and *List-case-CONS* [simp]: $\text{List-case } c \ h \ (\text{CONS } M \ N) = h \ M \ N$
 ⟨proof⟩

16.2 Corecursive lists

coinductive-set *LList* for A

where *NIL* [intro]: $\text{NIL} \in \text{LList } A$
 | *CONS* [intro]: $a \in A \implies M \in \text{LList } A \implies \text{CONS } a \ M \in \text{LList } A$

lemma *LList-mono*:
assumes *subset*: $A \subseteq B$
shows $\text{LList } A \subseteq \text{LList } B$
 — This justifies using *LList* in other recursive type definitions.
 ⟨proof⟩

consts

LList-corec-aux :: $\text{nat} \Rightarrow ('a \Rightarrow ('b \ \text{Datatype.item} \times 'a) \ \text{option}) \Rightarrow 'a \Rightarrow 'b \ \text{Datatype.item}$

primrec

LList-corec-aux 0 $f \ x = \{\}$
LList-corec-aux (Suc k) $f \ x =$
 (case $f \ x$ of
 None $\Rightarrow \text{NIL}$
 Some $(z, w) \Rightarrow \text{CONS } z \ (\text{LList-corec-aux } k \ f \ w))$

definition *LList-corec* $a \ f = (\bigcup k. \text{LList-corec-aux } k \ f \ a)$

Note: the subsequent recursion equation for *LList-corec* may be used with the Simplifier, provided it operates in a non-strict fashion for case expressions (i.e. the usual *case* congruence rule needs to be present).

lemma *LList-corec*:
 $\text{LList-corec } a \ f =$
 (case $f \ a$ of None $\Rightarrow \text{NIL}$ | Some $(z, w) \Rightarrow \text{CONS } z \ (\text{LList-corec } w \ f))$
 (is ?lhs = ?rhs)
 ⟨proof⟩

lemma *LList-corec-type*: $\text{LList-corec } a \ f \in \text{LList } \text{UNIV}$
 ⟨proof⟩

16.3 Abstract type definition

typedef 'a llist = LList (range Datatype.Leaf) :: 'a Datatype.item set
 ⟨proof⟩

lemma NIL-type: NIL ∈ llist
 ⟨proof⟩

lemma CONS-type: $a \in \text{range Datatype.Leaf} \implies$
 $M \in \text{llist} \implies \text{CONS } a \ M \in \text{llist}$
 ⟨proof⟩

lemma llistI: $x \in \text{LList } (\text{range Datatype.Leaf}) \implies x \in \text{llist}$
 ⟨proof⟩

lemma llistD: $x \in \text{llist} \implies x \in \text{LList } (\text{range Datatype.Leaf})$
 ⟨proof⟩

lemma Rep-llist-UNIV: Rep-llist $x \in \text{LList UNIV}$
 ⟨proof⟩

definition LNil = Abs-llist NIL

definition LCons $x \ xs = \text{Abs-llist } (\text{CONS } (\text{Datatype.Leaf } x) (\text{Rep-llist } xs))$

lemma LCons-not-LNil [iff]: $\text{LCons } x \ xs \neq \text{LNil}$
 ⟨proof⟩

lemma LNil-not-LCons [iff]: $\text{LNil} \neq \text{LCons } x \ xs$
 ⟨proof⟩

lemma LCons-inject [iff]: $(\text{LCons } x \ xs = \text{LCons } y \ ys) = (x = y \wedge xs = ys)$
 ⟨proof⟩

lemma Rep-llist-LNil: Rep-llist LNil = NIL
 ⟨proof⟩

lemma Rep-llist-LCons: Rep-llist $(\text{LCons } x \ l) =$
 $\text{CONS } (\text{Datatype.Leaf } x) (\text{Rep-llist } l)$
 ⟨proof⟩

lemma llist-cases [cases type: llist]:
obtains
 $(\text{LNil}) \ l = \text{LNil}$
 $\mid (\text{LCons}) \ x \ l' \textbf{ where } l = \text{LCons } x \ l'$
 ⟨proof⟩

definition

llist-case $c \ d \ l =$
 $\text{List-case } c \ (\lambda x \ y. \ d \ (\text{inv Datatype.Leaf } x) (\text{Abs-llist } y)) (\text{Rep-llist } l)$

syntax

$LNil :: \text{logic}$
 $LCons :: \text{logic}$

translations

$\text{case } p \text{ of } LNil \Rightarrow a \mid LCons \ x \ l \Rightarrow b \equiv \text{CONST } \text{llist-case } a \ (\lambda x \ l. \ b) \ p$

lemma llist-case-LNil [simp]: $\text{llist-case } c \ d \ LNil = c$
 $\langle \text{proof} \rangle$

lemma llist-case-LCons [simp]: $\text{llist-case } c \ d \ (LCons \ M \ N) = d \ M \ N$
 $\langle \text{proof} \rangle$

definition

$\text{llist-corec } a \ f =$
 $\text{Abs-llist } (LList-corec \ a$
 $(\lambda z.$
 $\text{case } f \ z \text{ of } None \Rightarrow None$
 $\mid \text{Some } (v, w) \Rightarrow \text{Some } (\text{Datatype.Leaf } v, w)))$

lemma $LList-corec\text{-type2}$:

$LList-corec \ a$
 $(\lambda z. \text{case } f \ z \text{ of } None \Rightarrow None$
 $\mid \text{Some } (v, w) \Rightarrow \text{Some } (\text{Datatype.Leaf } v, w)) \in \text{llist}$
 $(\text{is } ?corec \ a \in -)$
 $\langle \text{proof} \rangle$

lemma llist-corec :

$\text{llist-corec } a \ f =$
 $(\text{case } f \ a \text{ of } None \Rightarrow LNil \mid \text{Some } (z, w) \Rightarrow LCons \ z \ (\text{llist-corec } w \ f))$
 $\langle \text{proof} \rangle$

16.4 Equality as greatest fixed-point – the bisimulation principle

coinductive-set EqLList **for** r

where EqNIL : $(NIL, NIL) \in \text{EqLList } r$
 $\mid \text{EqCONS}$: $(a, b) \in r \Longrightarrow (M, N) \in \text{EqLList } r \Longrightarrow$
 $(CONS \ a \ M, CONS \ b \ N) \in \text{EqLList } r$

lemma EqLList-unfold :

$\text{EqLList } r = \text{dsum } (\text{diag } \{\text{Datatype.Numb } 0\}) \ (\text{dprod } r \ (\text{EqLList } r))$
 $\langle \text{proof} \rangle$

lemma $\text{EqLList-implies-ntrunc-equality}$:

$(M, N) \in \text{EqLList } (\text{diag } A) \Longrightarrow \text{ntrunc } k \ M = \text{ntrunc } k \ N$
 $\langle \text{proof} \rangle$

lemma *Domain-EqLList*: $\text{Domain } (\text{EqLList } (\text{diag } A)) \subseteq \text{LList } A$
 $\langle \text{proof} \rangle$

lemma *EqLList-diag*: $\text{EqLList } (\text{diag } A) = \text{diag } (\text{LList } A)$
 $(\text{is } ?lhs = ?rhs)$
 $\langle \text{proof} \rangle$

lemma *EqLList-diag-iff* [iff]: $(p \in \text{EqLList } (\text{diag } A)) = (p \in \text{diag } (\text{LList } A))$
 $\langle \text{proof} \rangle$

To show two LLists are equal, exhibit a bisimulation! (Also admits true equality.)

lemma *LList-equalityI*
 $[\text{consumes } 1, \text{ case-names } \text{EqLList}, \text{ case-conclusion } \text{EqLList } \text{EqNIL } \text{EqCONS}]$:
assumes $r: (M, N) \in r$
and *step*: $\bigwedge M N. (M, N) \in r \implies$
 $M = \text{NIL} \wedge N = \text{NIL} \vee$
 $(\exists a b M' N'.$
 $M = \text{CONS } a M' \wedge N = \text{CONS } b N' \wedge (a, b) \in \text{diag } A \wedge$
 $((M', N') \in r \vee (M', N') \in \text{EqLList } (\text{diag } A)))$
shows $M = N$
 $\langle \text{proof} \rangle$

lemma *LList-fun-equalityI*
 $[\text{consumes } 1, \text{ case-names } \text{NIL-type } \text{NIL } \text{CONS}, \text{ case-conclusion } \text{CONS } \text{EqNIL } \text{EqCONS}]$:
assumes $M: M \in \text{LList } A$
and *fun-NIL*: $g \text{ NIL} \in \text{LList } A \quad f \text{ NIL} = g \text{ NIL}$
and *fun-CONS*: $\bigwedge x l. x \in A \implies l \in \text{LList } A \implies$
 $(f (\text{CONS } x l), g (\text{CONS } x l)) = (\text{NIL}, \text{NIL}) \vee$
 $(\exists M N a b.$
 $(f (\text{CONS } x l), g (\text{CONS } x l)) = (\text{CONS } a M, \text{CONS } b N) \wedge$
 $(a, b) \in \text{diag } A \wedge$
 $(M, N) \in \{(f u, g u) \mid u. u \in \text{LList } A\} \cup \text{diag } (\text{LList } A))$
 $(\text{is } \bigwedge x l. - \implies - \implies ?\text{fun-CONS } x l)$
shows $f M = g M$
 $\langle \text{proof} \rangle$

Finality of *llist* A : Uniqueness of functions defined by corecursion.

lemma *equals-LList-corec*:
assumes $h: \bigwedge x. h x =$
 $(\text{case } f x \text{ of } \text{None} \Rightarrow \text{NIL} \mid \text{Some } (z, w) \Rightarrow \text{CONS } z (h w))$
shows $h x = (\lambda x. \text{LList-corec } x f) x$
 $\langle \text{proof} \rangle$

lemma *llist-equalityI*
 $[\text{consumes } 1, \text{ case-names } \text{Eqllist}, \text{ case-conclusion } \text{Eqllist } \text{EqLNil } \text{EqLCons}]$:
assumes $r: (l1, l2) \in r$

and *step*: $\bigwedge q. q \in r \implies$
 $q = (LNil, LNil) \vee$
 $(\exists l1\ l2\ a\ b.$
 $q = (LCons\ a\ l1, LCons\ b\ l2) \wedge a = b \wedge$
 $((l1, l2) \in r \vee l1 = l2))$
(is $\bigwedge q. - \implies ?EqLNil\ q \vee ?EqLCons\ q)$
shows $l1 = l2$
 $\langle proof \rangle$

lemma *l1ist-fun-equalityI*
 $[case-names\ LNil\ LCons, case-conclusion\ LCons\ EqLNil\ EqLCons]:$
assumes *fun-LNil*: $f\ LNil = g\ LNil$
and *fun-LCons*: $\bigwedge x\ l.$
 $(f\ (LCons\ x\ l), g\ (LCons\ x\ l)) = (LNil, LNil) \vee$
 $(\exists l1\ l2\ a\ b.$
 $(f\ (LCons\ x\ l), g\ (LCons\ x\ l)) = (LCons\ a\ l1, LCons\ b\ l2) \wedge$
 $a = b \wedge ((l1, l2) \in \{(f\ u, g\ u) \mid u. True\} \vee l1 = l2))$
(is $\bigwedge x\ l. ?fun-LCons\ x\ l)$
shows $f\ l = g\ l$
 $\langle proof \rangle$

16.5 Derived operations – both on the set and abstract type

16.5.1 *Lconst*

definition $Lconst\ M \equiv lfp\ (\lambda N. CONS\ M\ N)$

lemma *Lconst-fun-mono*: *mono* $(CONS\ M)$
 $\langle proof \rangle$

lemma *Lconst*: $Lconst\ M = CONS\ M\ (Lconst\ M)$
 $\langle proof \rangle$

lemma *Lconst-type*:
assumes $M \in A$
shows $Lconst\ M \in LList\ A$
 $\langle proof \rangle$

lemma *Lconst-eq-LList-corec*: $Lconst\ M = LList-corec\ M\ (\lambda x. Some\ (x, x))$
 $\langle proof \rangle$

lemma *gfp-Lconst-eq-LList-corec*:
 $gfp\ (\lambda N. CONS\ M\ N) = LList-corec\ M\ (\lambda x. Some\ (x, x))$
 $\langle proof \rangle$

16.5.2 *Lmap* and *lmap*

definition

$Lmap\ f\ M = LList-corec\ M\ (List-case\ None\ (\lambda x\ M'. Some\ (f\ x, M')))$

definition

$lmap\ f\ l = llist_corec\ l$
 $(\lambda z.$
 $\quad case\ z\ of\ LNil \Rightarrow None$
 $\quad | LCons\ y\ z \Rightarrow Some\ (f\ y,\ z))$

lemma *Lmap-NIL* [simp]: $Lmap\ f\ NIL = NIL$
and *Lmap-CONS* [simp]: $Lmap\ f\ (CONS\ M\ N) = CONS\ (f\ M)\ (Lmap\ f\ N)$
 $\langle proof \rangle$

lemma *Lmap-type*:
assumes $M: M \in LList\ A$
and $f: \bigwedge x. x \in A \implies f\ x \in B$
shows $Lmap\ f\ M \in LList\ B$
 $\langle proof \rangle$

lemma *Lmap-compose*:
assumes $M: M \in LList\ A$
shows $Lmap\ (f\ o\ g)\ M = Lmap\ f\ (Lmap\ g\ M)$ (**is** $?lhs\ M = ?rhs\ M$)
 $\langle proof \rangle$

lemma *Lmap-ident*:
assumes $M: M \in LList\ A$
shows $Lmap\ (\lambda x. x)\ M = M$ (**is** $?lmap\ M = -$)
 $\langle proof \rangle$

lemma *lmap-LNil* [simp]: $lmap\ f\ LNil = LNil$
and *lmap-LCons* [simp]: $lmap\ f\ (LCons\ M\ N) = LCons\ (f\ M)\ (lmap\ f\ N)$
 $\langle proof \rangle$

lemma *lmap-compose* [simp]: $lmap\ (f\ o\ g)\ l = lmap\ f\ (lmap\ g\ l)$
 $\langle proof \rangle$

lemma *lmap-ident* [simp]: $lmap\ (\lambda x. x)\ l = l$
 $\langle proof \rangle$

16.5.3 Lappend

definition

$Lappend\ M\ N = LList_corec\ (M,\ N)$
 $(split\ (List_case$
 $\quad (List_case\ None\ (\lambda N1\ N2. Some\ (N1,\ (NIL,\ N2))))$
 $\quad (\lambda M1\ M2\ N. Some\ (M1,\ (M2,\ N))))$

definition

$lappend\ l\ n = llist_corec\ (l,\ n)$
 $(split\ (lList_case$
 $\quad (lList_case\ None\ (\lambda n1\ n2. Some\ (n1,\ (LNil,\ n2))))$
 $\quad (\lambda l1\ l2\ n. Some\ (l1,\ (l2,\ n))))$

lemma *Lappend-NIL-NIL* [simp]:

$Lappend\ NIL\ NIL = NIL$
and $Lappend-NIL-CONS$ [simp]:
 $Lappend\ NIL\ (CONS\ N\ N') = CONS\ N\ (Lappend\ NIL\ N')$
and $Lappend-CONS$ [simp]:
 $Lappend\ (CONS\ M\ M')\ N = CONS\ M\ (Lappend\ M'\ N)$
 ⟨proof⟩

lemma $Lappend-NIL$ [simp]: $M \in LList\ A \implies Lappend\ NIL\ M = M$
 ⟨proof⟩

lemma $Lappend-NIL2$: $M \in LList\ A \implies Lappend\ M\ NIL = M$
 ⟨proof⟩

lemma $Lappend-type$:
 assumes $M: M \in LList\ A$ **and** $N: N \in LList\ A$
 shows $Lappend\ M\ N \in LList\ A$
 ⟨proof⟩

lemma $lappend-LNil-LNil$ [simp]: $lappend\ LNil\ LNil = LNil$
and $lappend-LNil-LCons$ [simp]: $lappend\ LNil\ (LCons\ l\ l') = LCons\ l\ (lappend\ LNil\ l')$
and $lappend-LCons$ [simp]: $lappend\ (LCons\ l\ l')\ m = LCons\ l\ (lappend\ l'\ m)$
 ⟨proof⟩

lemma $lappend-LNil1$ [simp]: $lappend\ LNil\ l = l$
 ⟨proof⟩

lemma $lappend-LNil2$ [simp]: $lappend\ l\ LNil = l$
 ⟨proof⟩

lemma $lappend-assoc$: $lappend\ (lappend\ l1\ l2)\ l3 = lappend\ l1\ (lappend\ l2\ l3)$
 ⟨proof⟩

lemma $lmap-lappend-distrib$: $lmap\ f\ (lappend\ l\ n) = lappend\ (lmap\ f\ l)\ (lmap\ f\ n)$
 ⟨proof⟩

16.6 iterates

llist-fun-equalityI cannot be used here!

definition
 $iterates :: ('a \Rightarrow 'a) \Rightarrow 'a \Rightarrow 'a\ llist$ **where**
 $iterates\ f\ a = llist-corec\ a\ (\lambda x. Some\ (x, f\ x))$

lemma $iterates$: $iterates\ f\ x = LCons\ x\ (iterates\ f\ (f\ x))$
 ⟨proof⟩

lemma $lmap-iterates$: $lmap\ f\ (iterates\ f\ x) = iterates\ f\ (f\ x)$
 ⟨proof⟩

lemma *iterates-lmap*: $\text{iterates } f \ x = LCons \ x \ (\text{lmap } f \ (\text{iterates } f \ x))$
 $\langle \text{proof} \rangle$

16.7 A rather complex proof about iterates – cf. Andy Pitts

lemma *funpow-lmap*:
fixes $f :: 'a \Rightarrow 'a$
shows $(\text{lmap } f \ ^n) (LCons \ b \ l) = LCons \ ((f \ ^n) \ b) ((\text{lmap } f \ ^n) \ l)$
 $\langle \text{proof} \rangle$

lemma *iterates-equality*:
assumes $h: \bigwedge x. h \ x = LCons \ x \ (\text{lmap } f \ (h \ x))$
shows $h = \text{iterates } f$
 $\langle \text{proof} \rangle$

lemma *lappend-iterates*: $\text{lappend } (\text{iterates } f \ x) \ l = \text{iterates } f \ x$
 $\langle \text{proof} \rangle$

end

17 Parity: Even and Odd for int and nat

theory *Parity*
imports *ATP-Linkup*
begin

class *even-odd* = *type* +
fixes $\text{even} :: 'a \Rightarrow \text{bool}$

abbreviation
 $\text{odd} :: 'a::\text{even-odd} \Rightarrow \text{bool}$ **where**
 $\text{odd } x \equiv \neg \text{even } x$

instantiation *nat* **and** *int* :: *even-odd*
begin

definition
 $\text{even-def } [\text{presburger}]: \text{even } x \longleftrightarrow (x::\text{int}) \bmod 2 = 0$

definition
 $\text{even-nat-def } [\text{presburger}]: \text{even } x \longleftrightarrow \text{even } (\text{int } x)$

instance $\langle \text{proof} \rangle$

end

17.1 Even and odd are mutually exclusive

lemma *int-pos-lt-two-imp-zero-or-one*:

$$0 \leq x \implies (x::int) < 2 \implies x = 0 \mid x = 1$$

<proof>

lemma *neg-one-mod-two* [*simp, presburger*]:

$$((x::int) \bmod 2 \sim= 0) = (x \bmod 2 = 1) \text{ } \langle \textit{proof} \rangle$$

17.2 Behavior under integer arithmetic operations

lemma *even-times-anything*: $even (x::int) \implies even (x * y)$

<proof>

lemma *anything-times-even*: $even (y::int) \implies even (x * y)$

<proof>

lemma *odd-times-odd*: $odd (x::int) \implies odd y \implies odd (x * y)$

<proof>

lemma *even-product*[*presburger*]: $even((x::int) * y) = (even x \mid even y)$

<proof>

lemma *even-plus-even*: $even (x::int) \implies even y \implies even (x + y)$

<proof>

lemma *even-plus-odd*: $even (x::int) \implies odd y \implies odd (x + y)$

<proof>

lemma *odd-plus-even*: $odd (x::int) \implies even y \implies odd (x + y)$

<proof>

lemma *odd-plus-odd*: $odd (x::int) \implies odd y \implies even (x + y) \text{ } \langle \textit{proof} \rangle$

lemma *even-sum*[*presburger*]: $even ((x::int) + y) = ((even x \ \& \ even y) \mid (odd x \ \& \ odd y))$

<proof>

lemma *even-neg*[*presburger*]: $even (-(x::int)) = even x \text{ } \langle \textit{proof} \rangle$

lemma *even-difference*:

$$even ((x::int) - y) = ((even x \ \& \ even y) \mid (odd x \ \& \ odd y)) \text{ } \langle \textit{proof} \rangle$$

lemma *even-pow-gt-zero*:

$$even (x::int) \implies 0 < n \implies even (x^n)$$

<proof>

lemma *odd-pow-iff*[*presburger*]: $odd ((x::int) ^ n) \longleftrightarrow (n = 0 \vee odd x)$

<proof>

lemma *odd-pow*: $\text{odd } x \implies \text{odd}((x::\text{int})^n) \langle \text{proof} \rangle$

lemma *even-power*[presburger]: $\text{even}((x::\text{int})^n) = (\text{even } x \ \& \ 0 < n)$
 $\langle \text{proof} \rangle$

lemma *even-zero*[presburger]: $\text{even } (0::\text{int}) \langle \text{proof} \rangle$

lemma *odd-one*[presburger]: $\text{odd } (1::\text{int}) \langle \text{proof} \rangle$

lemmas *even-odd-simps* [simp] = *even-def*[of number-of v, standard] *even-zero*
odd-one even-product even-sum even-neg even-difference even-power

17.3 Equivalent definitions

lemma *two-times-even-div-two*: $\text{even } (x::\text{int}) \implies 2 * (x \text{ div } 2) = x$
 $\langle \text{proof} \rangle$

lemma *two-times-odd-div-two-plus-one*: $\text{odd } (x::\text{int}) \implies$
 $2 * (x \text{ div } 2) + 1 = x \langle \text{proof} \rangle$

lemma *even-equiv-def*: $\text{even } (x::\text{int}) = (\text{EX } y. x = 2 * y) \langle \text{proof} \rangle$

lemma *odd-equiv-def*: $\text{odd } (x::\text{int}) = (\text{EX } y. x = 2 * y + 1) \langle \text{proof} \rangle$

17.4 even and odd for nats

lemma *pos-int-even-equiv-nat-even*: $0 \leq x \implies \text{even } x = \text{even } (\text{nat } x)$
 $\langle \text{proof} \rangle$

lemma *even-nat-product*[presburger]: $\text{even}((x::\text{nat}) * y) = (\text{even } x \mid \text{even } y)$
 $\langle \text{proof} \rangle$

lemma *even-nat-sum*[presburger]: $\text{even}((x::\text{nat}) + y) =$
 $((\text{even } x \ \& \ \text{even } y) \mid (\text{odd } x \ \& \ \text{odd } y)) \langle \text{proof} \rangle$

lemma *even-nat-difference*[presburger]:
 $\text{even}((x::\text{nat}) - y) = (x < y \mid (\text{even } x \ \& \ \text{even } y) \mid (\text{odd } x \ \& \ \text{odd } y))$
 $\langle \text{proof} \rangle$

lemma *even-nat-Suc*[presburger]: $\text{even } (\text{Suc } x) = \text{odd } x \langle \text{proof} \rangle$

lemma *even-nat-power*[presburger]: $\text{even}((x::\text{nat})^y) = (\text{even } x \ \& \ 0 < y)$
 $\langle \text{proof} \rangle$

lemma *even-nat-zero*[presburger]: $\text{even } (0::\text{nat}) \langle \text{proof} \rangle$

lemmas *even-odd-nat-simps* [simp] = *even-nat-def*[of number-of v, standard]
even-nat-zero even-nat-Suc even-nat-product even-nat-sum even-nat-power

17.5 Equivalent definitions

lemma *nat-lt-two-imp-zero-or-one*: $(x::nat) < \text{Suc } (\text{Suc } 0) ==>$
 $x = 0 \mid x = \text{Suc } 0$ *<proof>*

lemma *even-nat-mod-two-eq-zero*: $\text{even } (x::nat) ==> x \bmod (\text{Suc } (\text{Suc } 0)) = 0$
<proof>

lemma *odd-nat-mod-two-eq-one*: $\text{odd } (x::nat) ==> x \bmod (\text{Suc } (\text{Suc } 0)) = \text{Suc } 0$
<proof>

lemma *even-nat-equiv-def*: $\text{even } (x::nat) = (x \bmod \text{Suc } (\text{Suc } 0) = 0)$
<proof>

lemma *odd-nat-equiv-def*: $\text{odd } (x::nat) = (x \bmod \text{Suc } (\text{Suc } 0) = \text{Suc } 0)$
<proof>

lemma *even-nat-div-two-times-two*: $\text{even } (x::nat) ==>$
 $\text{Suc } (\text{Suc } 0) * (x \text{ div } \text{Suc } (\text{Suc } 0)) = x$ *<proof>*

lemma *odd-nat-div-two-times-two-plus-one*: $\text{odd } (x::nat) ==>$
 $\text{Suc } (\text{Suc } (\text{Suc } 0) * (x \text{ div } \text{Suc } (\text{Suc } 0))) = x$ *<proof>*

lemma *even-nat-equiv-def2*: $\text{even } (x::nat) = (\text{EX } y. x = \text{Suc } (\text{Suc } 0) * y)$
<proof>

lemma *odd-nat-equiv-def2*: $\text{odd } (x::nat) = (\text{EX } y. x = \text{Suc } (\text{Suc } (\text{Suc } 0) * y))$
<proof>

17.6 Parity and powers

lemma *minus-one-even-odd-power*:
 $(\text{even } x \longrightarrow (-1::'a::\{\text{comm-ring-1}, \text{recpower}\})^x = 1) \ \&$
 $(\text{odd } x \longrightarrow (-1::'a)^x = -1)$
<proof>

lemma *minus-one-even-power [simp]*:
 $\text{even } x ==> (-1::'a::\{\text{comm-ring-1}, \text{recpower}\})^x = 1$
<proof>

lemma *minus-one-odd-power [simp]*:
 $\text{odd } x ==> (-1::'a::\{\text{comm-ring-1}, \text{recpower}\})^x = -1$
<proof>

lemma *neg-one-even-odd-power*:
 $(\text{even } x \longrightarrow (-1::'a::\{\text{number-ring}, \text{recpower}\})^x = 1) \ \&$
 $(\text{odd } x \longrightarrow (-1::'a)^x = -1)$
<proof>

lemma *neg-one-even-power [simp]*:

$even\ x ==> (-1::'a::\{number\text{-}ring, recpower\})^{\wedge} x = 1$
 $\langle proof \rangle$

lemma *neg-one-odd-power* [simp]:
 $odd\ x ==> (-1::'a::\{number\text{-}ring, recpower\})^{\wedge} x = -1$
 $\langle proof \rangle$

lemma *neg-power-if*:
 $(-x::'a::\{comm\text{-}ring\text{-}1, recpower\})^{\wedge} n =$
 $(if\ even\ n\ then\ (x^{\wedge} n)\ else\ -(x^{\wedge} n))$
 $\langle proof \rangle$

lemma *zero-le-even-power*: $even\ n ==>$
 $0 \leq (x::'a::\{recpower, ordered\text{-}ring\text{-}strict\})^{\wedge} n$
 $\langle proof \rangle$

lemma *zero-le-odd-power*: $odd\ n ==>$
 $(0 \leq (x::'a::\{recpower, ordered\text{-}idom\})^{\wedge} n) = (0 \leq x)$
 $\langle proof \rangle$

lemma *zero-le-power-eq*[presburger]: $(0 \leq (x::'a::\{recpower, ordered\text{-}idom\})^{\wedge} n)$
 $=$
 $(even\ n \mid (odd\ n \ \&\ 0 \leq x))$
 $\langle proof \rangle$

lemma *zero-less-power-eq*[presburger]: $(0 < (x::'a::\{recpower, ordered\text{-}idom\})^{\wedge} n)$
 $=$
 $(n = 0 \mid (even\ n \ \&\ x \sim 0) \mid (odd\ n \ \&\ 0 < x))$
 $\langle proof \rangle$

lemma *power-less-zero-eq*[presburger]: $((x::'a::\{recpower, ordered\text{-}idom\})^{\wedge} n < 0)$
 $=$
 $(odd\ n \ \&\ x < 0)$
 $\langle proof \rangle$

lemma *power-le-zero-eq*[presburger]: $((x::'a::\{recpower, ordered\text{-}idom\})^{\wedge} n \leq 0)$
 $=$
 $(n \sim 0 \ \&\ ((odd\ n \ \&\ x \leq 0) \mid (even\ n \ \&\ x = 0)))$
 $\langle proof \rangle$

lemma *power-even-abs*: $even\ n ==>$
 $(abs\ (x::'a::\{recpower, ordered\text{-}idom\}))^{\wedge} n = x^{\wedge} n$
 $\langle proof \rangle$

lemma *zero-less-power-nat-eq*[presburger]: $(0 < (x::nat)^{\wedge} n) = (n = 0 \mid 0 < x)$
 $\langle proof \rangle$

lemma *power-minus-even* [simp]: $even\ n ==>$
 $(-x)^{\wedge} n = (x^{\wedge} n::'a::\{recpower, comm\text{-}ring\text{-}1\})$

$\langle \text{proof} \rangle$

lemma *power-minus-odd* [simp]: $\text{odd } n \implies$
 $(-x)^n = -(x^n :: 'a :: \{\text{recpower}, \text{comm-ring-1}\})$
 $\langle \text{proof} \rangle$

17.7 General Lemmas About Division

lemma *Suc-times-mod-eq*: $1 < k \implies \text{Suc } (k * m) \bmod k = 1$
 $\langle \text{proof} \rangle$

declare *Suc-times-mod-eq* [of number-of *w*, standard, simp]

lemma [simp]: $n \bmod k \leq (\text{Suc } n) \bmod k$
 $\langle \text{proof} \rangle$

lemma *Suc-n-div-2-gt-zero* [simp]: $(0 :: \text{nat}) < n \implies 0 < (n + 1) \bmod 2$
 $\langle \text{proof} \rangle$

lemma *div-2-gt-zero* [simp]: $(1 :: \text{nat}) < n \implies 0 < n \bmod 2$
 $\langle \text{proof} \rangle$

lemma *mod-mult-self3* [simp]: $(k * n + m) \bmod n = m \bmod (n :: \text{nat})$
 $\langle \text{proof} \rangle$

lemma *mod-mult-self4* [simp]: $\text{Suc } (k * n + m) \bmod n = \text{Suc } m \bmod n$
 $\langle \text{proof} \rangle$

lemma *mod-Suc-eq-Suc-mod*: $\text{Suc } m \bmod n = \text{Suc } (m \bmod n) \bmod n$
 $\langle \text{proof} \rangle$

17.8 More Even/Odd Results

lemma *even-mult-two-ex*: $\text{even}(n) = (\exists m :: \text{nat}. n = 2 * m)$
 $\langle \text{proof} \rangle$

lemma *odd-Suc-mult-two-ex*: $\text{odd}(n) = (\exists m. n = \text{Suc } (2 * m))$
 $\langle \text{proof} \rangle$

lemma *even-add* [simp]: $\text{even}(m + n :: \text{nat}) = (\text{even } m = \text{even } n)$
 $\langle \text{proof} \rangle$

lemma *odd-add* [simp]: $\text{odd}(m + n :: \text{nat}) = (\text{odd } m \neq \text{odd } n)$
 $\langle \text{proof} \rangle$

lemma *div-Suc*: $\text{Suc } a \bmod c = a \bmod c + \text{Suc } 0 \bmod c +$
 $(a \bmod c + \text{Suc } 0 \bmod c) \bmod c$
 $\langle \text{proof} \rangle$

lemma *lemma-even-div2* [simp]: $\text{even } (n :: \text{nat}) \implies (n + 1) \bmod 2 = n \bmod 2$

<proof>

lemma *lemma-not-even-div2* [simp]: $\sim \text{even } n \implies (n + 1) \text{ div } 2 = \text{Suc } (n \text{ div } 2)$

<proof>

lemma *even-num-iff*: $0 < n \implies \text{even } n = (\sim \text{even}(n - 1 :: \text{nat}))$

<proof>

lemma *even-even-mod-4-iff*: $\text{even } (n :: \text{nat}) = \text{even } (n \bmod 4)$

<proof>

lemma *lemma-odd-mod-4-div-2*: $n \bmod 4 = (3 :: \text{nat}) \implies \text{odd}((n - 1) \text{ div } 2)$

<proof>

lemma *lemma-even-mod-4-div-2*: $n \bmod 4 = (1 :: \text{nat}) \implies \text{even}((n - 1) \text{ div } 2)$

<proof>

Simplify, when the exponent is a numeral

lemmas *power-0-left-number-of* = *power-0-left* [of number-of w, standard]

declare *power-0-left-number-of* [simp]

lemmas *zero-le-power-eq-number-of* [simp] =
zero-le-power-eq [of - number-of w, standard]

lemmas *zero-less-power-eq-number-of* [simp] =
zero-less-power-eq [of - number-of w, standard]

lemmas *power-le-zero-eq-number-of* [simp] =
power-le-zero-eq [of - number-of w, standard]

lemmas *power-less-zero-eq-number-of* [simp] =
power-less-zero-eq [of - number-of w, standard]

lemmas *zero-less-power-nat-eq-number-of* [simp] =
zero-less-power-nat-eq [of - number-of w, standard]

lemmas *power-eq-0-iff-number-of* [simp] = *power-eq-0-iff* [of - number-of w, standard]

lemmas *power-even-abs-number-of* [simp] = *power-even-abs* [of number-of w -, standard]

17.9 An Equivalence for $0 \leq a^n$

lemma *even-power-le-0-imp-0*:

$a^n (2*k) \leq (0 :: 'a :: \{\text{ordered-idom}, \text{recpower}\}) \implies a = 0$

<proof>

lemma *zero-le-power-iff* [presburger]:

$(0 \leq a \wedge n) = (0 \leq (a :: 'a :: \{\text{ordered-idom}, \text{recpower}\}) \mid \text{even } n)$
 $\langle \text{proof} \rangle$

17.10 Miscellaneous

lemma *odd-pos*: $\text{odd } (n :: \text{nat}) \implies 0 < n$
 $\langle \text{proof} \rangle$

lemma [*presburger*]: $(x + 1) \text{ div } 2 = x \text{ div } 2 \iff \text{even } (x :: \text{int})$ $\langle \text{proof} \rangle$

lemma [*presburger*]: $(x + 1) \text{ div } 2 = x \text{ div } 2 + 1 \iff \text{odd } (x :: \text{int})$ $\langle \text{proof} \rangle$

lemma *even-plus-one-div-two*: $\text{even } (x :: \text{int}) \implies (x + 1) \text{ div } 2 = x \text{ div } 2$ $\langle \text{proof} \rangle$

lemma *odd-plus-one-div-two*: $\text{odd } (x :: \text{int}) \implies (x + 1) \text{ div } 2 = x \text{ div } 2 + 1$
 $\langle \text{proof} \rangle$

lemma [*presburger*]: $(\text{Suc } x) \text{ div } \text{Suc } (\text{Suc } 0) = x \text{ div } \text{Suc } (\text{Suc } 0) \iff \text{even } x$
 $\langle \text{proof} \rangle$

lemma [*presburger*]: $(\text{Suc } x) \text{ div } \text{Suc } (\text{Suc } 0) = x \text{ div } \text{Suc } (\text{Suc } 0) \iff \text{even } x$
 $\langle \text{proof} \rangle$

lemma *even-nat-plus-one-div-two*: $\text{even } (x :: \text{nat}) \implies$
 $(\text{Suc } x) \text{ div } \text{Suc } (\text{Suc } 0) = x \text{ div } \text{Suc } (\text{Suc } 0)$ $\langle \text{proof} \rangle$

lemma *odd-nat-plus-one-div-two*: $\text{odd } (x :: \text{nat}) \implies$
 $(\text{Suc } x) \text{ div } \text{Suc } (\text{Suc } 0) = \text{Suc } (x \text{ div } \text{Suc } (\text{Suc } 0))$ $\langle \text{proof} \rangle$

end

18 Commutative-Ring: Proving equalities in commutative rings

theory *Commutative-Ring*

imports *List Parity*

uses (*comm-ring.ML*)

begin

Syntax of multivariate polynomials (*pol*) and polynomial expressions.

datatype *'a pol* =
 $\text{Pc } 'a$
 $\mid \text{Pinj } \text{nat } 'a \text{ pol}$
 $\mid \text{PX } 'a \text{ pol } \text{nat } 'a \text{ pol}$

datatype *'a polex* =
 $\text{Pol } 'a \text{ pol}$
 $\mid \text{Add } 'a \text{ polex } 'a \text{ polex}$
 $\mid \text{Sub } 'a \text{ polex } 'a \text{ polex}$
 $\mid \text{Mul } 'a \text{ polex } 'a \text{ polex}$
 $\mid \text{Pow } 'a \text{ polex } \text{nat}$
 $\mid \text{Neg } 'a \text{ polex}$

Interpretation functions for the shadow syntax.

fun

$Ipol :: 'a::\{comm-ring,recpower\} list \Rightarrow 'a pol \Rightarrow 'a$

where

$Ipol\ l\ (Pc\ c) = c$

$| Ipol\ l\ (Pinj\ i\ P) = Ipol\ (drop\ i\ l)\ P$

$| Ipol\ l\ (PX\ P\ x\ Q) = Ipol\ l\ P * (hd\ l) ^x + Ipol\ (drop\ 1\ l)\ Q$

fun

$Ipolex :: 'a::\{comm-ring,recpower\} list \Rightarrow 'a polex \Rightarrow 'a$

where

$Ipolex\ l\ (Pol\ P) = Ipol\ l\ P$

$| Ipolex\ l\ (Add\ P\ Q) = Ipolex\ l\ P + Ipolex\ l\ Q$

$| Ipolex\ l\ (Sub\ P\ Q) = Ipolex\ l\ P - Ipolex\ l\ Q$

$| Ipolex\ l\ (Mul\ P\ Q) = Ipolex\ l\ P * Ipolex\ l\ Q$

$| Ipolex\ l\ (Pow\ p\ n) = Ipolex\ l\ p ^ n$

$| Ipolex\ l\ (Neg\ P) = - Ipolex\ l\ P$

Create polynomial normalized polynomials given normalized inputs.

definition

$mkPinj :: nat \Rightarrow 'a pol \Rightarrow 'a pol$ **where**

$mkPinj\ x\ P = (case\ P\ of$

$Pc\ c \Rightarrow Pc\ c\ |$

$Pinj\ y\ P \Rightarrow Pinj\ (x + y)\ P\ |$

$PX\ p1\ y\ p2 \Rightarrow Pinj\ x\ P)$

definition

$mkPX :: 'a::\{comm-ring,recpower\} pol \Rightarrow nat \Rightarrow 'a pol \Rightarrow 'a pol$ **where**

$mkPX\ P\ i\ Q = (case\ P\ of$

$Pc\ c \Rightarrow (if\ (c = 0)\ then\ (mkPinj\ 1\ Q)\ else\ (PX\ P\ i\ Q))\ |$

$Pinj\ j\ R \Rightarrow PX\ P\ i\ Q\ |$

$PX\ P2\ i2\ Q2 \Rightarrow (if\ (Q2 = (Pc\ 0))\ then\ (PX\ P2\ (i+i2)\ Q)\ else\ (PX\ P\ i\ Q))$

)

Defining the basic ring operations on normalized polynomials

function

$add :: 'a::\{comm-ring,recpower\} pol \Rightarrow 'a pol \Rightarrow 'a pol$ (**infixl** \oplus 65)

where

$Pc\ a \oplus Pc\ b = Pc\ (a + b)$

$| Pc\ c \oplus Pinj\ i\ P = Pinj\ i\ (P \oplus Pc\ c)$

$| Pinj\ i\ P \oplus Pc\ c = Pinj\ i\ (P \oplus Pc\ c)$

$| Pc\ c \oplus PX\ P\ i\ Q = PX\ P\ i\ (Q \oplus Pc\ c)$

$| PX\ P\ i\ Q \oplus Pc\ c = PX\ P\ i\ (Q \oplus Pc\ c)$

$| Pinj\ x\ P \oplus Pinj\ y\ Q =$

$(if\ x = y\ then\ mkPinj\ x\ (P \oplus Q)$

$else\ (if\ x > y\ then\ mkPinj\ y\ (Pinj\ (x - y)\ P \oplus Q)$

$else\ mkPinj\ x\ (Pinj\ (y - x)\ Q \oplus P)))$

$| Pinj\ x\ P \oplus PX\ Q\ y\ R =$

$(if\ x = 0\ then\ P \oplus PX\ Q\ y\ R$

$$\begin{aligned} & \text{else (if } x = 1 \text{ then } PX \ Q \ y \ (R \oplus P) \\ & \quad \text{else } PX \ Q \ y \ (R \oplus Pinj \ (x - 1) \ P))) \\ | & PX \ P \ x \ R \oplus Pinj \ y \ Q = \\ & \quad \text{(if } y = 0 \text{ then } PX \ P \ x \ R \oplus Q \\ & \quad \text{else (if } y = 1 \text{ then } PX \ P \ x \ (R \oplus Q) \\ & \quad \text{else } PX \ P \ x \ (R \oplus Pinj \ (y - 1) \ Q))) \\ | & PX \ P1 \ x \ P2 \oplus PX \ Q1 \ y \ Q2 = \\ & \quad \text{(if } x = y \text{ then } mkPX \ (P1 \oplus Q1) \ x \ (P2 \oplus Q2) \\ & \quad \text{else (if } x > y \text{ then } mkPX \ (PX \ P1 \ (x - y) \ (Pc \ 0) \oplus Q1) \ y \ (P2 \oplus Q2) \\ & \quad \text{else } mkPX \ (PX \ Q1 \ (y - x) \ (Pc \ 0) \oplus P1) \ x \ (P2 \oplus Q2))) \\ \langle proof \rangle \\ \mathbf{termination} \ \langle proof \rangle \end{aligned}$$

function

$mul :: 'a :: \{comm-ring, recpower\} \ pol \Rightarrow 'a \ pol \Rightarrow 'a \ pol \ (\mathbf{infixl} \ \otimes \ 70)$

where

$$\begin{aligned} & Pc \ a \ \otimes \ Pc \ b = Pc \ (a * b) \\ | & Pc \ c \ \otimes \ Pinj \ i \ P = \\ & \quad \text{(if } c = 0 \text{ then } Pc \ 0 \text{ else } mkPinj \ i \ (P \ \otimes \ Pc \ c)) \\ | & Pinj \ i \ P \ \otimes \ Pc \ c = \\ & \quad \text{(if } c = 0 \text{ then } Pc \ 0 \text{ else } mkPinj \ i \ (P \ \otimes \ Pc \ c)) \\ | & Pc \ c \ \otimes \ PX \ P \ i \ Q = \\ & \quad \text{(if } c = 0 \text{ then } Pc \ 0 \text{ else } mkPX \ (P \ \otimes \ Pc \ c) \ i \ (Q \ \otimes \ Pc \ c)) \\ | & PX \ P \ i \ Q \ \otimes \ Pc \ c = \\ & \quad \text{(if } c = 0 \text{ then } Pc \ 0 \text{ else } mkPX \ (P \ \otimes \ Pc \ c) \ i \ (Q \ \otimes \ Pc \ c)) \\ | & Pinj \ x \ P \ \otimes \ Pinj \ y \ Q = \\ & \quad \text{(if } x = y \text{ then } mkPinj \ x \ (P \ \otimes \ Q) \text{ else} \\ & \quad \text{(if } x > y \text{ then } mkPinj \ y \ (Pinj \ (x - y) \ P \ \otimes \ Q) \\ & \quad \text{else } mkPinj \ x \ (Pinj \ (y - x) \ Q \ \otimes \ P))) \\ | & Pinj \ x \ P \ \otimes \ PX \ Q \ y \ R = \\ & \quad \text{(if } x = 0 \text{ then } P \ \otimes \ PX \ Q \ y \ R \text{ else} \\ & \quad \text{(if } x = 1 \text{ then } mkPX \ (Pinj \ x \ P \ \otimes \ Q) \ y \ (R \ \otimes \ P) \\ & \quad \text{else } mkPX \ (Pinj \ x \ P \ \otimes \ Q) \ y \ (R \ \otimes \ Pinj \ (x - 1) \ P))) \\ | & PX \ P \ x \ R \ \otimes \ Pinj \ y \ Q = \\ & \quad \text{(if } y = 0 \text{ then } PX \ P \ x \ R \ \otimes \ Q \text{ else} \\ & \quad \text{(if } y = 1 \text{ then } mkPX \ (Pinj \ y \ Q \ \otimes \ P) \ x \ (R \ \otimes \ Q) \\ & \quad \text{else } mkPX \ (Pinj \ y \ Q \ \otimes \ P) \ x \ (R \ \otimes \ Pinj \ (y - 1) \ Q))) \\ | & PX \ P1 \ x \ P2 \ \otimes \ PX \ Q1 \ y \ Q2 = \\ & \quad mkPX \ (P1 \ \otimes \ Q1) \ (x + y) \ (P2 \ \otimes \ Q2) \oplus \\ & \quad (mkPX \ (P1 \ \otimes \ mkPinj \ 1 \ Q2) \ x \ (Pc \ 0) \oplus \\ & \quad (mkPX \ (Q1 \ \otimes \ mkPinj \ 1 \ P2) \ y \ (Pc \ 0))) \\ \langle proof \rangle \\ \mathbf{termination} \ \langle proof \rangle \end{aligned}$$

Negation**fun**

$neg :: 'a :: \{comm-ring, recpower\} \ pol \Rightarrow 'a \ pol$

where

$neg \ (Pc \ c) = Pc \ (-c)$

| $neg (Pinj\ i\ P) = Pinj\ i\ (neg\ P)$
 | $neg (PX\ P\ x\ Q) = PX\ (neg\ P)\ x\ (neg\ Q)$

Substraction

definition

$sub :: 'a :: \{comm-ring, recpower\} \Rightarrow 'a\ pol \Rightarrow 'a\ pol$ (**infixl** \ominus 65)

where

$sub\ P\ Q = P \oplus neg\ Q$

Square for Fast Exponentiation

fun

$sqr :: 'a :: \{comm-ring, recpower\} \Rightarrow 'a\ pol$

where

$sqr\ (Pc\ c) = Pc\ (c * c)$
 | $sqr\ (Pinj\ i\ P) = mkPinj\ i\ (sqr\ P)$
 | $sqr\ (PX\ A\ x\ B) = mkPX\ (sqr\ A)\ (x + x)\ (sqr\ B) \oplus$
 $mkPX\ (Pc\ (1 + 1) \otimes A \otimes mkPinj\ 1\ B)\ x\ (Pc\ 0)$

Fast Exponentiation

fun

$pow :: nat \Rightarrow 'a :: \{comm-ring, recpower\} \Rightarrow 'a\ pol$

where

$pow\ 0\ P = Pc\ 1$
 | $pow\ n\ P = (if\ even\ n\ then\ pow\ (n\ div\ 2)\ (sqr\ P)$
 $else\ P \otimes pow\ (n\ div\ 2)\ (sqr\ P))$

lemma *pow-if*:

$pow\ n\ P =$
 $(if\ n = 0\ then\ Pc\ 1\ else\ if\ even\ n\ then\ pow\ (n\ div\ 2)\ (sqr\ P)$
 $else\ P \otimes pow\ (n\ div\ 2)\ (sqr\ P))$
 $\langle proof \rangle$

Normalization of polynomial expressions

fun

$norm :: 'a :: \{comm-ring, recpower\} \Rightarrow 'a\ pol$

where

$norm\ (Pol\ P) = P$
 | $norm\ (Add\ P\ Q) = norm\ P \oplus norm\ Q$
 | $norm\ (Sub\ P\ Q) = norm\ P \ominus norm\ Q$
 | $norm\ (Mul\ P\ Q) = norm\ P \otimes norm\ Q$
 | $norm\ (Pow\ P\ n) = pow\ n\ (norm\ P)$
 | $norm\ (Neg\ P) = neg\ (norm\ P)$

mkPinj preserve semantics

lemma *mkPinj-ci*: $Ipol\ l\ (mkPinj\ a\ B) = Ipol\ l\ (Pinj\ a\ B)$

$\langle proof \rangle$

mkPX preserves semantics

lemma *mkPX-ci*: $Ipol\ l\ (mkPX\ A\ b\ C) = Ipol\ l\ (PX\ A\ b\ C)$

$\langle proof \rangle$

Correctness theorems for the implemented operations

Negation

lemma *neg-ci*: $Ipol\ l\ (neg\ P) = -(Ipol\ l\ P)$
 $\langle proof \rangle$

Addition

lemma *add-ci*: $Ipol\ l\ (P \oplus Q) = Ipol\ l\ P + Ipol\ l\ Q$
 $\langle proof \rangle$

Multiplication

lemma *mul-ci*: $Ipol\ l\ (P \otimes Q) = Ipol\ l\ P * Ipol\ l\ Q$
 $\langle proof \rangle$

Substraction

lemma *sub-ci*: $Ipol\ l\ (P \ominus Q) = Ipol\ l\ P - Ipol\ l\ Q$
 $\langle proof \rangle$

Square

lemma *sqr-ci*: $Ipol\ ls\ (sqr\ P) = Ipol\ ls\ P * Ipol\ ls\ P$
 $\langle proof \rangle$

Power

lemma *even-pow*: $even\ n \implies pow\ n\ P = pow\ (n\ div\ 2)\ (sqr\ P)$
 $\langle proof \rangle$

lemma *pow-ci*: $Ipol\ ls\ (pow\ n\ P) = Ipol\ ls\ P \wedge n$
 $\langle proof \rangle$

Normalization preserves semantics

lemma *norm-ci*: $Ipolex\ l\ Pe = Ipol\ l\ (norm\ Pe)$
 $\langle proof \rangle$

Reflection lemma: Key to the (incomplete) decision procedure

lemma *norm-eq*:
assumes $norm\ P1 = norm\ P2$
shows $Ipolex\ l\ P1 = Ipolex\ l\ P2$
 $\langle proof \rangle$

$\langle ML \rangle$

end

19 Continuity: Continuity and iterations (of set transformers)

```
theory Continuity
imports ATP-Linkup
begin
```

19.1 Continuity for complete lattices

definition

```
chain :: (nat  $\Rightarrow$  'a::complete-lattice)  $\Rightarrow$  bool where
chain M  $\longleftrightarrow$  ( $\forall i. M\ i \leq M\ (Suc\ i)$ )
```

definition

```
continuous :: ('a::complete-lattice  $\Rightarrow$  'a::complete-lattice)  $\Rightarrow$  bool where
continuous F  $\longleftrightarrow$  ( $\forall M. chain\ M \longrightarrow F\ (SUP\ i. M\ i) = (SUP\ i. F\ (M\ i))$ )
```

lemma SUP-nat-conv:

```
(SUP n. M n) = sup (M 0) (SUP n. M (Suc n))
<proof>
```

lemma continuous-mono: fixes F :: 'a::complete-lattice \Rightarrow 'a::complete-lattice

```
assumes continuous F shows mono F
<proof>
```

lemma continuous-lfp:

```
assumes continuous F shows lfp F = (SUP i. (F^i) bot)
<proof>
```

The following development is just for sets but presents an up and a down version of chains and continuity and covers *gfp*.

19.2 Chains

definition

```
up-chain :: (nat  $\Rightarrow$  'a set)  $\Rightarrow$  bool where
up-chain F = ( $\forall i. F\ i \subseteq F\ (Suc\ i)$ )
```

lemma up-chainI: ($\forall i. F\ i \subseteq F\ (Suc\ i)$) \implies up-chain F

<proof>

lemma up-chainD: up-chain F $\implies F\ i \subseteq F\ (Suc\ i)$

<proof>

lemma up-chain-less-mono:

```
up-chain F  $\implies x < y \implies F\ x \subseteq F\ y$ 
<proof>
```

lemma up-chain-mono: up-chain F $\implies x \leq y \implies F\ x \subseteq F\ y$

$\langle proof \rangle$

definition

$down-chain :: (nat \Rightarrow 'a\ set) \Rightarrow bool$ **where**
 $down-chain\ F = (\forall i. F\ (Suc\ i) \subseteq F\ i)$

lemma $down-chainI$: $(!!i. F\ (Suc\ i) \subseteq F\ i) \Rightarrow down-chain\ F$
 $\langle proof \rangle$

lemma $down-chainD$: $down-chain\ F \Rightarrow F\ (Suc\ i) \subseteq F\ i$
 $\langle proof \rangle$

lemma $down-chain-less-mono$:

$down-chain\ F \Rightarrow x < y \Rightarrow F\ y \subseteq F\ x$
 $\langle proof \rangle$

lemma $down-chain-mono$: $down-chain\ F \Rightarrow x \leq y \Rightarrow F\ y \subseteq F\ x$
 $\langle proof \rangle$

19.3 Continuity

definition

$up-cont :: ('a\ set \Rightarrow 'a\ set) \Rightarrow bool$ **where**
 $up-cont\ f = (\forall F. up-chain\ F \longrightarrow f\ (\bigcup (range\ F)) = \bigcup (f\ ` range\ F))$

lemma $up-contI$:

$(!!F. up-chain\ F \Rightarrow f\ (\bigcup (range\ F)) = \bigcup (f\ ` range\ F)) \Rightarrow up-cont\ f$
 $\langle proof \rangle$

lemma $up-contD$:

$up-cont\ f \Rightarrow up-chain\ F \Rightarrow f\ (\bigcup (range\ F)) = \bigcup (f\ ` range\ F)$
 $\langle proof \rangle$

lemma $up-cont-mono$: $up-cont\ f \Rightarrow mono\ f$
 $\langle proof \rangle$

definition

$down-cont :: ('a\ set \Rightarrow 'a\ set) \Rightarrow bool$ **where**
 $down-cont\ f =$
 $(\forall F. down-chain\ F \longrightarrow f\ (Inter\ (range\ F)) = Inter\ (f\ ` range\ F))$

lemma $down-contI$:

$(!!F. down-chain\ F \Rightarrow f\ (Inter\ (range\ F)) = Inter\ (f\ ` range\ F)) \Rightarrow$
 $down-cont\ f$
 $\langle proof \rangle$

lemma *down-contD*: $\text{down-cont } f \implies \text{down-chain } F \implies$
 $f (\text{Inter } (\text{range } F)) = \text{Inter } (f ` \text{range } F)$
 $\langle \text{proof} \rangle$

lemma *down-cont-mono*: $\text{down-cont } f \implies \text{mono } f$
 $\langle \text{proof} \rangle$

19.4 Iteration

definition

$\text{up-iterate} :: ('a \text{ set} \Rightarrow 'a \text{ set}) \Rightarrow \text{nat} \Rightarrow 'a \text{ set}$ **where**
 $\text{up-iterate } f \ n = (f^n) \ \{\}$

lemma *up-iterate-0* [simp]: $\text{up-iterate } f \ 0 = \{\}$
 $\langle \text{proof} \rangle$

lemma *up-iterate-Suc* [simp]: $\text{up-iterate } f \ (\text{Suc } i) = f \ (\text{up-iterate } f \ i)$
 $\langle \text{proof} \rangle$

lemma *up-iterate-chain*: $\text{mono } F \implies \text{up-chain } (\text{up-iterate } F)$
 $\langle \text{proof} \rangle$

lemma *UNION-up-iterate-is-fp*:

$\text{up-cont } F \implies$
 $F \ (\text{UNION UNIV } (\text{up-iterate } F)) = \text{UNION UNIV } (\text{up-iterate } F)$
 $\langle \text{proof} \rangle$

lemma *UNION-up-iterate-lowerbound*:

$\text{mono } F \implies F \ P = P \implies \text{UNION UNIV } (\text{up-iterate } F) \subseteq P$
 $\langle \text{proof} \rangle$

lemma *UNION-up-iterate-is-lfp*:

$\text{up-cont } F \implies \text{lfp } F = \text{UNION UNIV } (\text{up-iterate } F)$
 $\langle \text{proof} \rangle$

definition

$\text{down-iterate} :: ('a \text{ set} \Rightarrow 'a \text{ set}) \Rightarrow \text{nat} \Rightarrow 'a \text{ set}$ **where**
 $\text{down-iterate } f \ n = (f^n) \ \text{UNIV}$

lemma *down-iterate-0* [simp]: $\text{down-iterate } f \ 0 = \text{UNIV}$
 $\langle \text{proof} \rangle$

lemma *down-iterate-Suc* [simp]:

$\text{down-iterate } f \ (\text{Suc } i) = f \ (\text{down-iterate } f \ i)$
 $\langle \text{proof} \rangle$

lemma *down-iterate-chain*: $\text{mono } F \implies \text{down-chain } (\text{down-iterate } F)$
 $\langle \text{proof} \rangle$

lemma *INTER-down-iterate-is-fp*:

down-cont F ==>

F (INTER UNIV (down-iterate F)) = INTER UNIV (down-iterate F)

<proof>

lemma *INTER-down-iterate-upperbound*:

mono F ==> F P = P ==> P ⊆ INTER UNIV (down-iterate F)

<proof>

lemma *INTER-down-iterate-is-gfp*:

down-cont F ==> gfp F = INTER UNIV (down-iterate F)

<proof>

end

20 Countable: Encoding (almost) everything into natural numbers

theory *Countable*

imports *Finite-Set List Hilbert-Choice*

begin

20.1 The class of countable types

class *countable* = *itself* +

assumes *ex-inj*: $\exists \text{to-nat} :: 'a \Rightarrow \text{nat}. \text{inj to-nat}$

lemma *countable-classI*:

fixes *f* :: $'a \Rightarrow \text{nat}$

assumes $\bigwedge x y. f x = f y \implies x = y$

shows *OFCLASS*('a, *countable-class*)

<proof>

20.2 Conversion functions

definition *to-nat* :: $'a::\text{countable} \Rightarrow \text{nat}$ **where**

to-nat = (*SOME f. inj f*)

definition *from-nat* :: $\text{nat} \Rightarrow 'a::\text{countable}$ **where**

from-nat = *inv* (*to-nat* :: $'a \Rightarrow \text{nat}$)

lemma *inj-to-nat* [*simp*]: *inj to-nat*

<proof>

lemma *to-nat-split* [*simp*]: *to-nat x = to-nat y \longleftrightarrow x = y*

<proof>

lemma *from-nat-to-nat* [simp]:
 from-nat (*to-nat* *x*) = *x*
 ⟨*proof*⟩

20.3 Countable types

instance *nat* :: *countable*
 ⟨*proof*⟩

subclass (**in** *finite*) *countable*
 ⟨*proof*⟩

Pairs

primrec *sum* :: *nat* ⇒ *nat*
where
 sum 0 = 0
 | *sum* (*Suc* *n*) = *Suc* *n* + *sum* *n*

lemma *sum-arith*: *sum* *n* = *n* * *Suc* *n* div 2
 ⟨*proof*⟩

lemma *sum-mono*: *n* ≥ *m* ⇒ *sum* *n* ≥ *sum* *m*
 ⟨*proof*⟩

definition
 pair-encode = (λ(*m*, *n*). *sum* (*m* + *n*) + *m*)

lemma *inj-pair-encode*: *inj* *pair-encode*
 ⟨*proof*⟩

instance * :: (*countable*, *countable*) *countable*
 ⟨*proof*⟩

Sums

instance +:: (*countable*, *countable*) *countable*
 ⟨*proof*⟩

Integers

lemma *int-cases*: (*i*::*int*) = 0 ∨ *i* < 0 ∨ *i* > 0
 ⟨*proof*⟩

lemma *int-pos-neg-zero*:
 obtains (*zero*) (*z*::*int*) = 0 *sgn* *z* = 0 *abs* *z* = 0
 | (*pos*) *n* **where** *z* = *of-nat* *n* *sgn* *z* = 1 *abs* *z* = *of-nat* *n*
 | (*neg*) *n* **where** *z* = − (*of-nat* *n*) *sgn* *z* = −1 *abs* *z* = *of-nat* *n*
 ⟨*proof*⟩

instance *int* :: *countable*
 ⟨*proof*⟩

Options

instance *option* :: (countable) countable
 ⟨proof⟩

Lists

lemma *from-nat-to-nat-map* [simp]: map from-nat (map to-nat xs) = xs
 ⟨proof⟩

primrec

list-encode :: 'a::countable list \Rightarrow nat

where

list-encode [] = 0
 | *list-encode* (x#xs) = Suc (to-nat (x, *list-encode* xs))

instance *list* :: (countable) countable
 ⟨proof⟩

Functions

instance *fun* :: (finite, countable) countable
 ⟨proof⟩

end

21 Dense-Linear-Order: Dense linear order without endpoints and a quantifier elimination procedure in Ferrante and Rackoff style

theory *Dense-Linear-Order*

imports *Arith-Tools*

uses

~~/src/HOL/Tools/Qelim/qelim.ML

~~/src/HOL/Tools/Qelim/langford-data.ML

~~/src/HOL/Tools/Qelim/ferrante-rackoff-data.ML

(~~/src/HOL/Tools/Qelim/langford.ML)

(~~/src/HOL/Tools/Qelim/ferrante-rackoff.ML)

begin

⟨ML⟩

context *linorder*

begin

lemma *less-not-permute*: $\neg (x < y \wedge y < x)$ ⟨proof⟩

lemma *gather-simps*:
 shows

$(\exists x. (\forall y \in L. y < x) \wedge (\forall y \in U. x < y) \wedge x < u \wedge P x) \longleftrightarrow (\exists x. (\forall y \in L. y < x) \wedge (\forall y \in (\text{insert } u \ U). x < y) \wedge P x)$
and $(\exists x. (\forall y \in L. y < x) \wedge (\forall y \in U. x < y) \wedge l < x \wedge P x) \longleftrightarrow (\exists x. (\forall y \in (\text{insert } l \ L). y < x) \wedge (\forall y \in U. x < y) \wedge P x)$
 $(\exists x. (\forall y \in L. y < x) \wedge (\forall y \in U. x < y) \wedge x < u) \longleftrightarrow (\exists x. (\forall y \in L. y < x) \wedge (\forall y \in (\text{insert } u \ U). x < y))$
and $(\exists x. (\forall y \in L. y < x) \wedge (\forall y \in U. x < y) \wedge l < x) \longleftrightarrow (\exists x. (\forall y \in (\text{insert } l \ L). y < x) \wedge (\forall y \in U. x < y))$ $\langle \text{proof} \rangle$

lemma

gather-start: $(\exists x. P x) \equiv (\exists x. (\forall y \in \{\}. y < x) \wedge (\forall y \in \{\}. x < y) \wedge P x)$
 $\langle \text{proof} \rangle$

Theorems for $\exists z. \forall x. x < z \longrightarrow (P x \longleftrightarrow P_{-\infty})$

lemma *minf-lt*: $\exists z. \forall x. x < z \longrightarrow (x < t \longleftrightarrow \text{True})$ $\langle \text{proof} \rangle$

lemma *minf-gt*: $\exists z. \forall x. x < z \longrightarrow (t < x \longleftrightarrow \text{False})$
 $\langle \text{proof} \rangle$

lemma *minf-le*: $\exists z. \forall x. x < z \longrightarrow (x \leq t \longleftrightarrow \text{True})$ $\langle \text{proof} \rangle$

lemma *minf-ge*: $\exists z. \forall x. x < z \longrightarrow (t \leq x \longleftrightarrow \text{False})$
 $\langle \text{proof} \rangle$

lemma *minf-eq*: $\exists z. \forall x. x < z \longrightarrow (x = t \longleftrightarrow \text{False})$ $\langle \text{proof} \rangle$

lemma *minf-neq*: $\exists z. \forall x. x < z \longrightarrow (x \neq t \longleftrightarrow \text{True})$ $\langle \text{proof} \rangle$

lemma *minf-P*: $\exists z. \forall x. x < z \longrightarrow (P \longleftrightarrow P)$ $\langle \text{proof} \rangle$

Theorems for $\exists z. \forall x. x < z \longrightarrow (P x \longleftrightarrow P_{+\infty})$

lemma *pinf-gt*: $\exists z. \forall x. z < x \longrightarrow (t < x \longleftrightarrow \text{True})$ $\langle \text{proof} \rangle$

lemma *pinf-lt*: $\exists z. \forall x. z < x \longrightarrow (x < t \longleftrightarrow \text{False})$
 $\langle \text{proof} \rangle$

lemma *pinf-ge*: $\exists z. \forall x. z < x \longrightarrow (t \leq x \longleftrightarrow \text{True})$ $\langle \text{proof} \rangle$

lemma *pinf-le*: $\exists z. \forall x. z < x \longrightarrow (x \leq t \longleftrightarrow \text{False})$
 $\langle \text{proof} \rangle$

lemma *pinf-eq*: $\exists z. \forall x. z < x \longrightarrow (x = t \longleftrightarrow \text{False})$ $\langle \text{proof} \rangle$

lemma *pinf-neq*: $\exists z. \forall x. z < x \longrightarrow (x \neq t \longleftrightarrow \text{True})$ $\langle \text{proof} \rangle$

lemma *pinf-P*: $\exists z. \forall x. z < x \longrightarrow (P \longleftrightarrow P)$ $\langle \text{proof} \rangle$

lemma *nmi-lt*: $t \in U \implies \forall x. \neg \text{True} \wedge x < t \longrightarrow (\exists u \in U. u \leq x)$ $\langle \text{proof} \rangle$

lemma *nmi-gt*: $t \in U \implies \forall x. \neg \text{False} \wedge t < x \longrightarrow (\exists u \in U. u \leq x)$
 $\langle \text{proof} \rangle$

lemma *nmi-le*: $t \in U \implies \forall x. \neg \text{True} \wedge x \leq t \longrightarrow (\exists u \in U. u \leq x)$ $\langle \text{proof} \rangle$

lemma *nmi-ge*: $t \in U \implies \forall x. \neg \text{False} \wedge t \leq x \longrightarrow (\exists u \in U. u \leq x)$ $\langle \text{proof} \rangle$

lemma *nmi-eq*: $t \in U \implies \forall x. \neg \text{False} \wedge x = t \longrightarrow (\exists u \in U. u \leq x)$ $\langle \text{proof} \rangle$

lemma *nmi-neq*: $t \in U \implies \forall x. \neg \text{True} \wedge x \neq t \longrightarrow (\exists u \in U. u \leq x)$ $\langle \text{proof} \rangle$

lemma *nmi-P*: $\forall x. \sim P \wedge P \longrightarrow (\exists u \in U. u \leq x)$ $\langle \text{proof} \rangle$

lemma *nmi-conj*: $\llbracket \forall x. \neg P1' \wedge P1 x \longrightarrow (\exists u \in U. u \leq x) ;$
 $\forall x. \neg P2' \wedge P2 x \longrightarrow (\exists u \in U. u \leq x) \rrbracket \implies$

$\forall x. \neg (P1' \wedge P2') \wedge (P1 x \wedge P2 x) \longrightarrow (\exists u \in U. u \leq x)$ $\langle \text{proof} \rangle$

lemma *nmi-disj*: $\llbracket \forall x. \neg P1' \wedge P1 x \longrightarrow (\exists u \in U. u \leq x) ;$

$$\begin{aligned} & \forall x. \neg P2' \wedge P2 x \longrightarrow (\exists u \in U. u \leq x) \Longrightarrow \\ & \forall x. \neg(P1' \vee P2') \wedge (P1 x \vee P2 x) \longrightarrow (\exists u \in U. u \leq x) \langle proof \rangle \end{aligned}$$

lemma *npi-lt*: $t \in U \Longrightarrow \forall x. \neg False \wedge x < t \longrightarrow (\exists u \in U. x \leq u) \langle proof \rangle$
lemma *npi-gt*: $t \in U \Longrightarrow \forall x. \neg True \wedge t < x \longrightarrow (\exists u \in U. x \leq u) \langle proof \rangle$
lemma *npi-le*: $t \in U \Longrightarrow \forall x. \neg False \wedge x \leq t \longrightarrow (\exists u \in U. x \leq u) \langle proof \rangle$
lemma *npi-ge*: $t \in U \Longrightarrow \forall x. \neg True \wedge t \leq x \longrightarrow (\exists u \in U. x \leq u) \langle proof \rangle$
lemma *npi-eq*: $t \in U \Longrightarrow \forall x. \neg False \wedge x = t \longrightarrow (\exists u \in U. x \leq u) \langle proof \rangle$
lemma *npi-neq*: $t \in U \Longrightarrow \forall x. \neg True \wedge x \neq t \longrightarrow (\exists u \in U. x \leq u) \langle proof \rangle$
lemma *npi-P*: $\forall x. \sim P \wedge P \longrightarrow (\exists u \in U. x \leq u) \langle proof \rangle$
lemma *npi-conj*: $\llbracket \forall x. \neg P1' \wedge P1 x \longrightarrow (\exists u \in U. x \leq u) ; \forall x. \neg P2' \wedge P2 x \longrightarrow (\exists u \in U. x \leq u) \rrbracket \Longrightarrow$
 $\forall x. \neg(P1' \wedge P2') \wedge (P1 x \wedge P2 x) \longrightarrow (\exists u \in U. x \leq u) \langle proof \rangle$
lemma *npi-disj*: $\llbracket \forall x. \neg P1' \wedge P1 x \longrightarrow (\exists u \in U. x \leq u) ; \forall x. \neg P2' \wedge P2 x \longrightarrow (\exists u \in U. x \leq u) \rrbracket \Longrightarrow$
 $\forall x. \neg(P1' \vee P2') \wedge (P1 x \vee P2 x) \longrightarrow (\exists u \in U. x \leq u) \langle proof \rangle$

lemma *lin-dense-lt*: $t \in U \Longrightarrow \forall x l u. (\forall t. l < t \wedge t < u \longrightarrow t \notin U) \wedge l < x \wedge x < u \wedge x < t \longrightarrow (\forall y. l < y \wedge y < u \longrightarrow y < t) \langle proof \rangle$

lemma *lin-dense-gt*: $t \in U \Longrightarrow \forall x l u. (\forall t. l < t \wedge t < u \longrightarrow t \notin U) \wedge l < x \wedge x < u \wedge t < x \longrightarrow (\forall y. l < y \wedge y < u \longrightarrow t < y) \langle proof \rangle$

lemma *lin-dense-le*: $t \in U \Longrightarrow \forall x l u. (\forall t. l < t \wedge t < u \longrightarrow t \notin U) \wedge l < x \wedge x < u \wedge x \leq t \longrightarrow (\forall y. l < y \wedge y < u \longrightarrow y \leq t) \langle proof \rangle$

lemma *lin-dense-ge*: $t \in U \Longrightarrow \forall x l u. (\forall t. l < t \wedge t < u \longrightarrow t \notin U) \wedge l < x \wedge x < u \wedge t \leq x \longrightarrow (\forall y. l < y \wedge y < u \longrightarrow t \leq y) \langle proof \rangle$

lemma *lin-dense-eq*: $t \in U \Longrightarrow \forall x l u. (\forall t. l < t \wedge t < u \longrightarrow t \notin U) \wedge l < x \wedge x < u \wedge x = t \longrightarrow (\forall y. l < y \wedge y < u \longrightarrow y = t) \langle proof \rangle$

lemma *lin-dense-neq*: $t \in U \Longrightarrow \forall x l u. (\forall t. l < t \wedge t < u \longrightarrow t \notin U) \wedge l < x \wedge x < u \wedge x \neq t \longrightarrow (\forall y. l < y \wedge y < u \longrightarrow y \neq t) \langle proof \rangle$

lemma *lin-dense-P*: $\forall x l u. (\forall t. l < t \wedge t < u \longrightarrow t \notin U) \wedge l < x \wedge x < u \wedge P \longrightarrow (\forall y. l < y \wedge y < u \longrightarrow P) \langle proof \rangle$

lemma *lin-dense-conj*:

$$\begin{aligned} & \llbracket \forall x l u. (\forall t. l < t \wedge t < u \longrightarrow t \notin U) \wedge l < x \wedge x < u \wedge P1 x \longrightarrow (\forall y. l < y \wedge y < u \longrightarrow P1 y) ; \\ & \forall x l u. (\forall t. l < t \wedge t < u \longrightarrow t \notin U) \wedge l < x \wedge x < u \wedge P2 x \longrightarrow (\forall y. l < y \wedge y < u \longrightarrow P2 y) \rrbracket \Longrightarrow \\ & \forall x l u. (\forall t. l < t \wedge t < u \longrightarrow t \notin U) \wedge l < x \wedge x < u \wedge (P1 x \wedge P2 x) \longrightarrow (\forall y. l < y \wedge y < u \longrightarrow (P1 y \wedge P2 y)) \langle proof \rangle \end{aligned}$$

lemma *lin-dense-disj*:

$$\llbracket \forall x l u. (\forall t. l < t \wedge t < u \longrightarrow t \notin U) \wedge l < x \wedge x < u \wedge P1 x$$

$\longrightarrow (\forall y. l < y \wedge y < u \longrightarrow P1\ y) ;$
 $\forall x\ l\ u. (\forall t. l < t \wedge t < u \longrightarrow t \notin U) \wedge l < x \wedge x < u \wedge P2\ x$
 $\longrightarrow (\forall y. l < y \wedge y < u \longrightarrow P2\ y) \parallel \Longrightarrow$
 $\forall x\ l\ u. (\forall t. l < t \wedge t < u \longrightarrow t \notin U) \wedge l < x \wedge x < u \wedge (P1\ x \vee P2\ x)$
 $\longrightarrow (\forall y. l < y \wedge y < u \longrightarrow (P1\ y \vee P2\ y))$
 $\langle proof \rangle$

lemma *npmibnd*: $\llbracket \forall x. \neg MP \wedge P\ x \longrightarrow (\exists u \in U. u \leq x); \forall x. \neg PP \wedge P\ x \longrightarrow$
 $(\exists u \in U. x \leq u) \rrbracket$
 $\Longrightarrow \forall x. \neg MP \wedge \neg PP \wedge P\ x \longrightarrow (\exists u \in U. \exists u' \in U. u \leq x \wedge x \leq u')$
 $\langle proof \rangle$

lemma *finite-set-intervals*:
assumes *px*: $P\ x$ **and** *lx*: $l \leq x$ **and** *xu*: $x \leq u$ **and** *linS*: $l \in S$
and *uinS*: $u \in S$ **and** *fS*: *finite* S **and** *lS*: $\forall x \in S. l \leq x$ **and** *Su*: $\forall x \in S. x \leq$
 u
shows $\exists a \in S. \exists b \in S. (\forall y. a < y \wedge y < b \longrightarrow y \notin S) \wedge a \leq x \wedge x \leq b \wedge$
 $P\ x$
 $\langle proof \rangle$

lemma *finite-set-intervals2*:
assumes *px*: $P\ x$ **and** *lx*: $l \leq x$ **and** *xu*: $x \leq u$ **and** *linS*: $l \in S$
and *uinS*: $u \in S$ **and** *fS*: *finite* S **and** *lS*: $\forall x \in S. l \leq x$ **and** *Su*: $\forall x \in S. x \leq$
 u
shows $(\exists s \in S. P\ s) \vee (\exists a \in S. \exists b \in S. (\forall y. a < y \wedge y < b \longrightarrow y \notin S) \wedge$
 $a < x \wedge x < b \wedge P\ x)$
 $\langle proof \rangle$

end

22 The classical QE after Langford for dense linear orders

context *dense-linear-order*
begin

lemma *dlo-qe-bnds*:
assumes *ne*: $L \neq \{\}$ **and** *neU*: $U \neq \{\}$ **and** *fL*: *finite* L **and** *fU*: *finite* U
shows $(\exists x. (\forall y \in L. y < x) \wedge (\forall y \in U. x < y)) \equiv (\forall l \in L. \forall u \in U. l < u)$
 $\langle proof \rangle$

lemma *dlo-qe-noub*:
assumes *ne*: $L \neq \{\}$ **and** *fL*: *finite* L
shows $(\exists x. (\forall y \in L. y < x) \wedge (\forall y \in \{\}. x < y)) \equiv \text{True}$
 $\langle proof \rangle$

lemma *dlo-qe-nolb*:
assumes *ne*: $U \neq \{\}$ **and** *fU*: *finite* U

shows $(\exists x. (\forall y \in \{ \}. y < x) \wedge (\forall y \in U. x < y)) \equiv \text{True}$
 $\langle \text{proof} \rangle$

lemma *exists-neq*: $\exists (x::'a). x \neq t \exists (x::'a). t \neq x$
 $\langle \text{proof} \rangle$

lemmas *dlo-simps* = *order-refl less-irrefl not-less not-le exists-neq*
le-less neq-iff linear less-not-permute

lemma *axiom*: *dense-linear-order* (*op* \leq) (*op* $<$) $\langle \text{proof} \rangle$

lemma *atoms*:

includes *meta-term-syntax*

shows *TERM* (*less* $:: 'a \Rightarrow -$)

and *TERM* (*less-eq* $:: 'a \Rightarrow -$)

and *TERM* (*op* $= :: 'a \Rightarrow -$) $\langle \text{proof} \rangle$

declare *axiom*[*langford qe: dlo-qe-bnds dlo-qe-nolb dlo-qe-noub gather: gather-start*
gather-simps atoms: atoms]

declare *dlo-simps*[*langfordsimp*]

end

lemma *dnf*:

$(P \ \& \ (Q \mid R)) = ((P \ \& \ Q) \mid (P \ \& \ R))$

$((Q \mid R) \ \& \ P) = ((Q \ \& \ P) \mid (R \ \& \ P))$

$\langle \text{proof} \rangle$

lemmas *weak-dnf-simps* = *simp-thms dnf*

lemma *nnf-simps*:

$(\neg(P \ \wedge \ Q)) = (\neg P \ \vee \ \neg Q) \ (\neg(P \ \vee \ Q)) = (\neg P \ \wedge \ \neg Q) \ (P \longrightarrow Q) = (\neg P \ \vee \ Q)$

$(P = Q) = ((P \ \wedge \ Q) \ \vee \ (\neg P \ \wedge \ \neg Q)) \ (\neg \neg(P)) = P$

$\langle \text{proof} \rangle$

lemma *ex-distrib*: $(\exists x. P \ x \ \vee \ Q \ x) \longleftrightarrow ((\exists x. P \ x) \ \vee \ (\exists x. Q \ x)) \ \langle \text{proof} \rangle$

lemmas *dnf-simps* = *weak-dnf-simps nnf-simps ex-distrib*

$\langle ML \rangle$

23 Constructive dense linear orders yield QE for linear arithmetic over ordered Fields – see *Arith-Tools.thy*

Linear order without upper bounds

locale *linorder-stupid-syntax* = *linorder*

begin

notation

$less_eq \ (op \sqsubseteq) \text{ and}$
 $less_eq \ ((-/ \sqsubseteq -) \ [51, 51] \ 50) \text{ and}$
 $less \ (op \sqsubset) \text{ and}$
 $less \ ((-/ \sqsubset -) \ [51, 51] \ 50)$

end

locale $linorder_no_ub = linorder_stupid_syntax +$

assumes $gt_ex: \exists y. less \ x \ y$

begin

lemma $ge_ex: \exists y. x \sqsubseteq y \langle proof \rangle$

Theorems for $\exists z. \forall x. z \sqsubset x \longrightarrow (P \ x \longleftrightarrow P_{+\infty})$

lemma $pinf_conj:$

assumes $ex1: \exists z1. \forall x. z1 \sqsubset x \longrightarrow (P1 \ x \longleftrightarrow P1')$

and $ex2: \exists z2. \forall x. z2 \sqsubset x \longrightarrow (P2 \ x \longleftrightarrow P2')$

shows $\exists z. \forall x. z \sqsubset x \longrightarrow ((P1 \ x \wedge P2 \ x) \longleftrightarrow (P1' \wedge P2'))$

$\langle proof \rangle$

lemma $pinf_disj:$

assumes $ex1: \exists z1. \forall x. z1 \sqsubset x \longrightarrow (P1 \ x \longleftrightarrow P1')$

and $ex2: \exists z2. \forall x. z2 \sqsubset x \longrightarrow (P2 \ x \longleftrightarrow P2')$

shows $\exists z. \forall x. z \sqsubset x \longrightarrow ((P1 \ x \vee P2 \ x) \longleftrightarrow (P1' \vee P2'))$

$\langle proof \rangle$

lemma $pinf_ex: \text{assumes } ex: \exists z. \forall x. z \sqsubset x \longrightarrow (P \ x \longleftrightarrow P1) \text{ and } p1: P1 \text{ shows}$

$\exists x. P \ x$

$\langle proof \rangle$

end

Linear order without upper bounds

locale $linorder_no_lb = linorder_stupid_syntax +$

assumes $lt_ex: \exists y. less \ y \ x$

begin

lemma $le_ex: \exists y. y \sqsubseteq x \langle proof \rangle$

Theorems for $\exists z. \forall x. x \sqsubset z \longrightarrow (P \ x \longleftrightarrow P_{-\infty})$

lemma $minf_conj:$

assumes $ex1: \exists z1. \forall x. x \sqsubset z1 \longrightarrow (P1 \ x \longleftrightarrow P1')$

and $ex2: \exists z2. \forall x. x \sqsubset z2 \longrightarrow (P2 \ x \longleftrightarrow P2')$

shows $\exists z. \forall x. x \sqsubset z \longrightarrow ((P1 \ x \wedge P2 \ x) \longleftrightarrow (P1' \wedge P2'))$

$\langle proof \rangle$

lemma $minf_disj:$

assumes $ex1: \exists z1. \forall x. x \sqsubset z1 \longrightarrow (P1 \ x \longleftrightarrow P1')$

and $ex2: \exists z2. \forall x. x \sqsubset z2 \longrightarrow (P2 \ x \longleftrightarrow P2')$

shows $\exists z. \forall x. x \sqsubset z \longrightarrow ((P1 \ x \vee P2 \ x) \longleftrightarrow (P1' \vee P2'))$

$\langle proof \rangle$

lemma *minf-ex*: **assumes** $ex: \exists z. \forall x. x \sqsubset z \longrightarrow (P\ x \longleftrightarrow P1)$ **and** $p1: P1$
shows $\exists x. P\ x$
 $\langle proof \rangle$

end

locale *constr-dense-linear-order* = *linorder-no-lb* + *linorder-no-ub* +
fixes *between*
assumes *between-less*: $less\ x\ y \implies less\ x\ (between\ x\ y) \wedge less\ (between\ x\ y)\ y$
and *between-same*: $between\ x\ x = x$

interpretation *constr-dense-linear-order* < *dense-linear-order*
 $\langle proof \rangle$

context *constr-dense-linear-order*
begin

lemma *rinf-U*:
assumes $fU: finite\ U$
and *lin-dense*: $\forall x\ l\ u. (\forall t. l \sqsubset t \wedge t \sqsubset u \longrightarrow t \notin U) \wedge l \sqsubset x \wedge x \sqsubset u \wedge P\ x$
 $\longrightarrow (\forall y. l \sqsubset y \wedge y \sqsubset u \longrightarrow P\ y)$
and *nmpiU*: $\forall x. \neg MP \wedge \neg PP \wedge P\ x \longrightarrow (\exists u \in U. \exists u' \in U. u \sqsubseteq x \wedge x \sqsubseteq u')$
and *nmi*: $\neg MP$ **and** *npi*: $\neg PP$ **and** $ex: \exists x. P\ x$
shows $\exists u \in U. \exists u' \in U. P\ (between\ u\ u')$
 $\langle proof \rangle$
term *linorder.Min less-eq*
 $\langle proof \rangle$

theorem *fr-eq*:
assumes $fU: finite\ U$
and *lin-dense*: $\forall x\ l\ u. (\forall t. l \sqsubset t \wedge t \sqsubset u \longrightarrow t \notin U) \wedge l \sqsubset x \wedge x \sqsubset u \wedge P\ x$
 $\longrightarrow (\forall y. l \sqsubset y \wedge y \sqsubset u \longrightarrow P\ y)$
and *nmibnd*: $\forall x. \neg MP \wedge P\ x \longrightarrow (\exists u \in U. u \sqsubseteq x)$
and *npibnd*: $\forall x. \neg PP \wedge P\ x \longrightarrow (\exists u \in U. x \sqsubseteq u)$
and *mi*: $\exists z. \forall x. x \sqsubset z \longrightarrow (P\ x = MP)$ **and** *pi*: $\exists z. \forall x. z \sqsubset x \longrightarrow (P\ x = PP)$
shows $(\exists x. P\ x) \equiv (MP \vee PP \vee (\exists u \in U. \exists u' \in U. P\ (between\ u\ u')))$
(is - \equiv (- \vee - \vee ?F) **is** ?E \equiv ?D)
 $\langle proof \rangle$

lemmas *minf-thms* = *minf-conj minf-disj minf-eq minf-neq minf-lt minf-le minf-gt minf-ge minf-P*

lemmas *pinf-thms* = *pinf-conj pinf-disj pinf-eq pinf-neq pinf-lt pinf-le pinf-gt pinf-ge pinf-P*

lemmas *nmi-thms* = *nmi-conj nmi-disj nmi-eq nmi-neq nmi-lt nmi-le nmi-gt nmi-ge*

nmi-P

lemmas *npi-thms* = *npi-conj npi-disj npi-eq npi-neq npi-lt npi-le npi-gt npi-ge*
npi-P

lemmas *lin-dense-thms* = *lin-dense-conj lin-dense-disj lin-dense-eq lin-dense-neq*
lin-dense-lt lin-dense-le lin-dense-gt lin-dense-ge lin-dense-P

lemma *ferrack-axiom*: *constr-dense-linear-order less-eq less between*
 $\langle \text{proof} \rangle$

lemma *atoms*:

includes *meta-term-syntax*

shows *TERM* (*less* :: '*a* \Rightarrow -)

and *TERM* (*less-eq* :: '*a* \Rightarrow -)

and *TERM* (*op* = :: '*a* \Rightarrow -) $\langle \text{proof} \rangle$

declare *ferrack-axiom* [*ferrack minf: minf-thms pinf: pinf-thms*
nmi: nmi-thms npi: npi-thms lindense:
lin-dense-thms qe: fr-eq atoms: atoms]

$\langle \text{ML} \rangle$

end

$\langle \text{ML} \rangle$

23.1 Ferrante and Rackoff algorithm over ordered fields

lemma *neg-prod-lt*: (*c*::'*a*::ordered-field) < 0 $\implies ((c*x < 0) == (x > 0))$
 $\langle \text{proof} \rangle$

lemma *pos-prod-lt*: (*c*::'*a*::ordered-field) > 0 $\implies ((c*x < 0) == (x < 0))$
 $\langle \text{proof} \rangle$

lemma *neg-prod-sum-lt*: (*c*::'*a*::ordered-field) < 0 $\implies ((c*x + t < 0) == (x > (-1/c)*t))$
 $\langle \text{proof} \rangle$

lemma *pos-prod-sum-lt*: (*c*::'*a*::ordered-field) > 0 $\implies ((c*x + t < 0) == (x < (-1/c)*t))$
 $\langle \text{proof} \rangle$

lemma *sum-lt*: ((*x*::'*a*::pordered-ab-group-add) + *t* < 0) == (*x* < - *t*)
 $\langle \text{proof} \rangle$

lemma *neg-prod-le*: (*c*::'*a*::ordered-field) < 0 $\implies ((c*x \leq 0) == (x \geq 0))$
 $\langle \text{proof} \rangle$

lemma *pos-prod-le*: (*c*::'*a*::ordered-field) > 0 $\implies ((c*x \leq 0) == (x \leq 0))$
 $\langle \text{proof} \rangle$

lemma *neg-prod-sum-le*: $(c::'a::\text{ordered-field}) < 0 \implies ((c*x + t \leq 0) == (x \geq (-1/c)*t))$
 $\langle \text{proof} \rangle$

lemma *pos-prod-sum-le*: $(c::'a::\text{ordered-field}) > 0 \implies ((c*x + t \leq 0) == (x \leq (-1/c)*t))$
 $\langle \text{proof} \rangle$

lemma *sum-le*: $((x::'a::\text{pordered-ab-group-add}) + t \leq 0) == (x \leq -t)$
 $\langle \text{proof} \rangle$

lemma *nz-prod-eq*: $(c::'a::\text{ordered-field}) \neq 0 \implies ((c*x = 0) == (x = 0))$ $\langle \text{proof} \rangle$

lemma *nz-prod-sum-eq*: $(c::'a::\text{ordered-field}) \neq 0 \implies ((c*x + t = 0) == (x = (-1/c)*t))$
 $\langle \text{proof} \rangle$

lemma *sum-eq*: $((x::'a::\text{pordered-ab-group-add}) + t = 0) == (x = -t)$
 $\langle \text{proof} \rangle$

interpretation *class-ordered-field-dense-linear-order*: *constr-dense-linear-order*
 $[op \leq op <$
 $\lambda x y. 1/2 * ((x::'a::\{\text{ordered-field}, \text{recpower}, \text{number-ring}\}) + y)]$
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

end

24 Efficient-Nat: Implementation of natural numbers by target-language integers

theory *Efficient-Nat*
imports *Code-Integer Code-Index*
begin

When generating code for functions on natural numbers, the canonical representation using 0 and Suc is unsuitable for computations involving large numbers. The efficiency of the generated code can be improved drastically by implementing natural numbers by target-language integers. To do this, just include this theory.

24.1 Basic arithmetic

Most standard arithmetic functions on natural numbers are implemented using their counterparts on the integers:

code-datatype *number-nat-inst.number-of-nat*

lemma *zero-nat-code* [*code*, *code unfold*]:
 $0 = (\text{Numeral0} :: \text{nat})$
 $\langle \text{proof} \rangle$

lemmas [*code post*] = *zero-nat-code* [*symmetric*]

lemma *one-nat-code* [*code*, *code unfold*]:
 $1 = (\text{Numeral1} :: \text{nat})$
 $\langle \text{proof} \rangle$

lemmas [*code post*] = *one-nat-code* [*symmetric*]

lemma *Suc-code* [*code*]:
 $\text{Suc } n = n + 1$
 $\langle \text{proof} \rangle$

lemma *plus-nat-code* [*code*]:
 $n + m = \text{nat } (\text{of-nat } n + \text{of-nat } m)$
 $\langle \text{proof} \rangle$

lemma *minus-nat-code* [*code*]:
 $n - m = \text{nat } (\text{of-nat } n - \text{of-nat } m)$
 $\langle \text{proof} \rangle$

lemma *times-nat-code* [*code*]:
 $n * m = \text{nat } (\text{of-nat } n * \text{of-nat } m)$
 $\langle \text{proof} \rangle$

Specialized *op div* and *op mod* operations.

definition

divmod-aux :: $\text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat} \times \text{nat}$

where

[*code func del*]: *divmod-aux* = *divmod*

lemma [*code func*]:
 $\text{divmod } n \ m = (\text{if } m = 0 \text{ then } (0, n) \text{ else } \text{divmod-aux } n \ m)$
 $\langle \text{proof} \rangle$

lemma *divmod-aux-code* [*code*]:
 $\text{divmod-aux } n \ m = (\text{nat } (\text{of-nat } n \text{ div } \text{of-nat } m), \text{nat } (\text{of-nat } n \text{ mod } \text{of-nat } m))$
 $\langle \text{proof} \rangle$

lemma *eq-nat-code* [*code*]:
 $n = m \longleftrightarrow (\text{of-nat } n :: \text{int}) = \text{of-nat } m$
 $\langle \text{proof} \rangle$

lemma *less-eq-nat-code* [*code*]:
 $n \leq m \longleftrightarrow (\text{of-nat } n :: \text{int}) \leq \text{of-nat } m$
 $\langle \text{proof} \rangle$

lemma *less-nat-code* [*code*]:
 $n < m \iff (\text{of-nat } n :: \text{int}) < \text{of-nat } m$
 ⟨*proof*⟩

24.2 Case analysis

Case analysis on natural numbers is rephrased using a conditional expression:

lemma [*code func, code unfold*]:
 $\text{nat-case} = (\lambda f g n. \text{if } n = 0 \text{ then } f \text{ else } g (n - 1))$
 ⟨*proof*⟩

24.3 Preprocessors

In contrast to *Suc n*, the term $n + 1$ is no longer a constructor term. Therefore, all occurrences of this term in a position where a pattern is expected (i.e. on the left-hand side of a recursion equation or in the arguments of an inductive relation in an introduction rule) must be eliminated. This can be accomplished by applying the following transformation rules:

lemma *Suc-if-eq*: $(\bigwedge n. f (\text{Suc } n) = h n) \implies f 0 = g \implies$
 $f n = (\text{if } n = 0 \text{ then } g \text{ else } h (n - 1))$
 ⟨*proof*⟩

lemma *Suc-clause*: $(\bigwedge n. P n (\text{Suc } n)) \implies n \neq 0 \implies P (n - 1) n$
 ⟨*proof*⟩

The rules above are built into a preprocessor that is plugged into the code generator. Since the preprocessor for introduction rules does not know anything about modes, some of the modes that worked for the canonical representation of natural numbers may no longer work.

⟨*ML*⟩

24.4 Target language setup

For ML, we map *nat* to target language integers, where we assert that values are always non-negative.

code-type *nat*
 (*SML int*)
 (*OCaml Big'-int.big'-int*)

types-code

nat (*int*)
attach (*term-of*) ⟨
 $\text{val term-of-nat} = \text{HOLogic.mk-number HOLogic.natT};$
 ⟩
attach (*test*) ⟨

```

fun gen-nat i =
  let val n = random-range 0 i
  in (n, fn () => term-of-nat n) end;
>>

```

For Haskell we define our own *nat* type. The reason is that we have to distinguish type class instances for *nat* and *int*.

```

code-include Haskell Nat <<
newtype Nat = Nat Integer deriving (Show, Eq);

```

```

instance Num Nat where {
  fromInteger k = Nat (if k >= 0 then k else 0);
  Nat n + Nat m = Nat (n + m);
  Nat n - Nat m = fromInteger (n - m);
  Nat n * Nat m = Nat (n * m);
  abs n = n;
  signum - = 1;
  negate n = error negate Nat;
};

```

```

instance Ord Nat where {
  Nat n <= Nat m = n <= m;
  Nat n < Nat m = n < m;
};

```

```

instance Real Nat where {
  toRational (Nat n) = toRational n;
};

```

```

instance Enum Nat where {
  toEnum k = fromInteger (toEnum k);
  fromEnum (Nat n) = fromEnum n;
};

```

```

instance Integral Nat where {
  toInteger (Nat n) = n;
  divMod n m = quotRem n m;
  quotRem (Nat n) (Nat m) = (Nat k, Nat l) where (k, l) = quotRem n m;
};
>>

```

```

code-reserved Haskell Nat

```

```

code-type nat
(Haskell Nat)

```

```

code-instance nat :: eq
(Haskell -)

```

Natural numerals.

lemma [*code inline, symmetric, code post*]:
 $\text{nat } (\text{number-of } i) = \text{number-nat-inst.number-of-nat } i$
 — this interacts as desired with $\text{number-of } ?v = \text{nat } (\text{number-of } ?v)$
 $\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

Since natural numbers are implemented using integers in ML, the coercion function *of-nat* of type $\text{nat} \Rightarrow \text{int}$ is simply implemented by the identity function. For the *nat* function for converting an integer to a natural number, we give a specific implementation using an ML function that returns its input value, provided that it is non-negative, and otherwise returns 0.

definition

$\text{int} :: \text{nat} \Rightarrow \text{int}$

where

[*code func del*]: $\text{int} = \text{of-nat}$

lemma *int-code'* [*code func*]:

$\text{int } (\text{number-of } l) = (\text{if neg } (\text{number-of } l :: \text{int}) \text{ then } 0 \text{ else } \text{number-of } l)$
 $\langle \text{proof} \rangle$

lemma *nat-code'* [*code func*]:

$\text{nat } (\text{number-of } l) = (\text{if neg } (\text{number-of } l :: \text{int}) \text{ then } 0 \text{ else } \text{number-of } l)$
 $\langle \text{proof} \rangle$

lemma *of-nat-int* [*code unfold*]:

$\text{of-nat} = \text{int } \langle \text{proof} \rangle$

declare *of-nat-int* [*symmetric, code post*]

code-const *int*

(*SML* -)

(*OCaml* -)

consts-code

int ((-))

nat ((**module**)*nat*)

attach $\langle\langle$

fun nat i = if i < 0 then 0 else i;

$\rangle\rangle$

code-const *nat*

(*SML* *IntInf.max* / (/0,/ -))

(*OCaml* *Big'-int.max'-big'-int* / *Big'-int.zero'-big'-int*)

For Haskell, things are slightly different again.

code-const *int and nat*

(*Haskell toInteger and fromInteger*)

Conversion from and to indices.

```

code-const index-of-nat
  (SML IntInf.toInt)
  (OCaml Big'-int.int'-of'-big'-int)
  (Haskell toEnum)

```

```

code-const nat-of-index
  (SML IntInf.fromInt)
  (OCaml Big'-int.big'-int'-of'-int)
  (Haskell fromEnum)

```

Using target language arithmetic operations whenever appropriate

```

code-const op + :: nat ⇒ nat ⇒ nat
  (SML IntInf.+ ((-), (-)))
  (OCaml Big'-int.add'-big'-int)
  (Haskell infixl 6 +)

```

```

code-const op * :: nat ⇒ nat ⇒ nat
  (SML IntInf.* ((-), (-)))
  (OCaml Big'-int.mult'-big'-int)
  (Haskell infixl 7 *)

```

```

code-const divmod-aux
  (SML IntInf.divMod / ((-), / (-)))
  (OCaml Big'-int.quomod'-big'-int)
  (Haskell divMod)

```

```

code-const op = :: nat ⇒ nat ⇒ bool
  (SML !((- : IntInf.int) = -))
  (OCaml Big'-int.eq'-big'-int)
  (Haskell infixl 4 ==)

```

```

code-const op ≤ :: nat ⇒ nat ⇒ bool
  (SML IntInf.<= ((-), (-)))
  (OCaml Big'-int.le'-big'-int)
  (Haskell infix 4 <=)

```

```

code-const op < :: nat ⇒ nat ⇒ bool
  (SML IntInf.< ((-), (-)))
  (OCaml Big'-int.lt'-big'-int)
  (Haskell infix 4 <)

```

```

consts-code
  0                                (0)
  Suc                             ((- +/ 1))
  op + :: nat ⇒ nat ⇒ nat        ((- +/ -))
  op * :: nat ⇒ nat ⇒ nat        ((- */ -))
  op ≤ :: nat ⇒ nat ⇒ bool       ((- <= / -))
  op < :: nat ⇒ nat ⇒ bool       ((- < / -))

```

Module names

```

code-modulename SML
  Nat Integer
  Divides Integer
  Efficient-Nat Integer

code-modulename OCaml
  Nat Integer
  Divides Integer
  Efficient-Nat Integer

code-modulename Haskell
  Nat Integer
  Divides Integer
  Efficient-Nat Integer

hide const int

end

```

25 Enum: Finite types as explicit enumerations

```

theory Enum
imports Main
begin

```

25.1 Class *enum*

```

class enum = itself +
  fixes enum :: 'a list
  assumes UNIV-enum [code func]: UNIV = set enum
  and enum-distinct: distinct enum
begin

lemma finite-enum: finite (UNIV :: 'a set)
  ⟨proof⟩

lemma enum-all: set enum = UNIV ⟨proof⟩

lemma in-enum [intro]: x ∈ set enum
  ⟨proof⟩

lemma enum-eq-I:
  assumes  $\bigwedge x. x \in \text{set } xs$ 
  shows set enum = set xs
  ⟨proof⟩

end

```

25.2 Equality and order on functions

instantiation *fun* :: (*enum*, *eq*) *eq*
begin

definition

eq-class.eq f g $\longleftrightarrow (\forall x \in \text{set } \text{enum}. f\ x = g\ x)$

instance $\langle \text{proof} \rangle$

end

lemma *order-fun* [*code func*]:

fixes *f g* :: '*a*::*enum* \Rightarrow '*b*::*order*

shows $f \leq g \longleftrightarrow \text{list-all } (\lambda x. f\ x \leq g\ x) \text{ enum}$

and $f < g \longleftrightarrow f \leq g \wedge \neg \text{list-all } (\lambda x. f\ x = g\ x) \text{ enum}$

$\langle \text{proof} \rangle$

25.3 Quantifiers

lemma *all-code* [*code func*]: $(\forall x. P\ x) \longleftrightarrow \text{list-all } P \text{ enum}$

$\langle \text{proof} \rangle$

lemma *exists-code* [*code func*]: $(\exists x. P\ x) \longleftrightarrow \neg \text{list-all } (\text{Not } o\ P) \text{ enum}$

$\langle \text{proof} \rangle$

25.4 Default instances

primrec *n-lists* :: *nat* \Rightarrow '*a* *list* \Rightarrow '*a* *list list* **where**

n-lists 0 *xs* = []

| *n-lists* (Suc *n*) *xs* = *concat* (*map* ($\lambda y s. \text{map } (\lambda y. y \# ys) xs$) (*n-lists* *n* *xs*))

lemma *n-lists-Nil* [*simp*]: *n-lists* *n* [] = (if *n* = 0 then [] else [])

$\langle \text{proof} \rangle$

lemma *length-n-lists*: *length* (*n-lists* *n* *xs*) = *length* *xs* ^ *n*

$\langle \text{proof} \rangle$

lemma *length-n-lists-elem*: $ys \in \text{set } (n\text{-lists } n\ xs) \implies \text{length } ys = n$

$\langle \text{proof} \rangle$

lemma *set-n-lists*: $\text{set } (n\text{-lists } n\ xs) = \{ys. \text{length } ys = n \wedge \text{set } ys \subseteq \text{set } xs\}$

$\langle \text{proof} \rangle$

lemma *distinct-n-lists*:

assumes *distinct* *xs*

shows *distinct* (*n-lists* *n* *xs*)

$\langle \text{proof} \rangle$

lemma *map-of-zip-map*:

fixes $f :: 'a::enum \Rightarrow 'b::enum$
shows $\text{map-of } (\text{zip } xs \ (\text{map } f \ xs)) = (\lambda x. \text{ if } x \in \text{set } xs \text{ then } \text{Some } (f \ x) \text{ else } \text{None})$
 $\langle \text{proof} \rangle$

lemma *map-of-zip-enum-is-Some*:
assumes $\text{length } ys = \text{length } (enum :: 'a::enum \text{ list})$
shows $\exists y. \text{ map-of } (\text{zip } (enum :: 'a::enum \text{ list}) \ ys) \ x = \text{Some } y$
 $\langle \text{proof} \rangle$

lemma *map-of-zip-enum-inject*:
fixes $xs \ ys :: 'b::enum \text{ list}$
assumes $\text{length } xs = \text{length } (enum :: 'a::enum \text{ list})$
 $\text{length } ys = \text{length } (enum :: 'a::enum \text{ list})$
and $\text{map-of: the } \circ \text{ map-of } (\text{zip } (enum :: 'a::enum \text{ list}) \ xs) = \text{the } \circ \text{ map-of } (\text{zip } (enum :: 'a::enum \text{ list}) \ ys)$
shows $xs = ys$
 $\langle \text{proof} \rangle$

instantiation $fun :: (enum, enum) \Rightarrow enum$
begin

definition
 $[\text{code func del}]: enum = \text{map } (\lambda ys. \text{the } \circ \text{ map-of } (\text{zip } (enum :: 'a \text{ list}) \ ys)) \ (n\text{-lists } (\text{length } (enum :: 'a::enum \text{ list})) \ enum)$

instance $\langle \text{proof} \rangle$

end

lemma $[\text{code func}]$:
 $enum = \text{map } (\lambda ys. \text{the } \circ \text{ map-of } (\text{zip } (enum :: ('a::\{enum, eq\}) \text{ list}) \ ys)) \ (n\text{-lists } (\text{length } (enum :: 'a::\{enum, eq\} \text{ list})) \ enum)$
 $\langle \text{proof} \rangle$

instantiation $unit :: enum$
begin

definition
 $enum = [()]$

instance $\langle \text{proof} \rangle$

end

instantiation $bool :: enum$
begin

definition

```

enum = [False, True]

instance ⟨proof⟩

end

primrec product :: 'a list ⇒ 'b list ⇒ ('a × 'b) list where
  product [] = []
  | product (x#xs) ys = map (Pair x) ys @ product xs ys

lemma product-list-set:
  set (product xs ys) = set xs × set ys
  ⟨proof⟩

lemma distinct-product:
  assumes distinct xs and distinct ys
  shows distinct (product xs ys)
  ⟨proof⟩

instantiation * :: (enum, enum) enum
begin

definition
  enum = product enum enum

instance ⟨proof⟩

end

instantiation + :: (enum, enum) enum
begin

definition
  enum = map Inl enum @ map Inr enum

instance ⟨proof⟩

end

primrec sublists :: 'a list ⇒ 'a list list where
  sublists [] = [[]]
  | sublists (x#xs) = (let xss = sublists xs in map (Cons x) xss @ xss)

lemma length-sublists:
  length (sublists xs) = Suc (Suc (0::nat)) ^ length xs
  ⟨proof⟩

lemma sublists-powset:
  set ' set (sublists xs) = Pow (set xs)

```


<proof>

lemma *distinct-set-sublists*:

assumes *distinct xs*

shows *distinct (map set (sublists xs))*

<proof>

instantiation *nibble* :: *enum*

begin

definition

enum = [*Nibble0*, *Nibble1*, *Nibble2*, *Nibble3*, *Nibble4*, *Nibble5*, *Nibble6*, *Nibble7*,
Nibble8, *Nibble9*, *NibbleA*, *NibbleB*, *NibbleC*, *NibbleD*, *NibbleE*, *NibbleF*]

instance *<proof>*

end

instantiation *char* :: *enum*

begin

definition

[*code func del*]: *enum* = *map (split Char) (product enum enum)*

lemma *enum-char* [*code func*]:

enum = [*Char Nibble0 Nibble0*, *Char Nibble0 Nibble1*, *Char Nibble0 Nibble2*,
Char Nibble0 Nibble3, *Char Nibble0 Nibble4*, *Char Nibble0 Nibble5*,
Char Nibble0 Nibble6, *Char Nibble0 Nibble7*, *Char Nibble0 Nibble8*,
Char Nibble0 Nibble9, *Char Nibble0 NibbleA*, *Char Nibble0 NibbleB*,
Char Nibble0 NibbleC, *Char Nibble0 NibbleD*, *Char Nibble0 NibbleE*,
Char Nibble0 NibbleF, *Char Nibble1 Nibble0*, *Char Nibble1 Nibble1*,
Char Nibble1 Nibble2, *Char Nibble1 Nibble3*, *Char Nibble1 Nibble4*,
Char Nibble1 Nibble5, *Char Nibble1 Nibble6*, *Char Nibble1 Nibble7*,
Char Nibble1 Nibble8, *Char Nibble1 Nibble9*, *Char Nibble1 NibbleA*,
Char Nibble1 NibbleB, *Char Nibble1 NibbleC*, *Char Nibble1 NibbleD*,
Char Nibble1 NibbleE, *Char Nibble1 NibbleF*, *CHR " "*, *CHR "!"*,
Char Nibble2 Nibble2, *CHR "#"*, *CHR "\$"*, *CHR "%"*, *CHR "&"*,
Char Nibble2 Nibble7, *CHR "("*, *CHR ")"*, *CHR "*"*, *CHR "+"*, *CHR ","*,
CHR "-", *CHR "."*, *CHR "/"*, *CHR "0"*, *CHR "1"*, *CHR "2"*, *CHR "3"*,
CHR "4", *CHR "5"*, *CHR "6"*, *CHR "7"*, *CHR "8"*, *CHR "9"*, *CHR ":"*,
CHR ";", *CHR "<"*, *CHR "="*, *CHR ">"*, *CHR "?"*, *CHR "@"*, *CHR "A"*,
CHR "B", *CHR "C"*, *CHR "D"*, *CHR "E"*, *CHR "F"*, *CHR "G"*, *CHR "H"*,
CHR "I", *CHR "J"*, *CHR "K"*, *CHR "L"*, *CHR "M"*, *CHR "N"*, *CHR "O"*,
CHR "P", *CHR "Q"*, *CHR "R"*, *CHR "S"*, *CHR "T"*, *CHR "U"*, *CHR "V"*,
CHR "W", *CHR "X"*, *CHR "Y"*, *CHR "Z"*, *CHR "["*, *Char Nibble5 NibbleC*,
CHR "]", *CHR "^"*, *CHR "-"*, *Char Nibble6 Nibble0*, *CHR "a"*, *CHR "b"*,
CHR "c", *CHR "d"*, *CHR "e"*, *CHR "f"*, *CHR "g"*, *CHR "h"*, *CHR "i"*,
CHR "j", *CHR "k"*, *CHR "l"*, *CHR "m"*, *CHR "n"*, *CHR "o"*, *CHR "p"*,
CHR "q", *CHR "r"*, *CHR "s"*, *CHR "t"*, *CHR "u"*, *CHR "v"*, *CHR "w"*,

CHR "x", CHR "y", CHR "z", CHR "{", CHR "|", CHR "}", CHR "~",
Char Nibble7 NibbleF, Char Nibble8 Nibble0, Char Nibble8 Nibble1,
Char Nibble8 Nibble2, Char Nibble8 Nibble3, Char Nibble8 Nibble4,
Char Nibble8 Nibble5, Char Nibble8 Nibble6, Char Nibble8 Nibble7,
Char Nibble8 Nibble8, Char Nibble8 Nibble9, Char Nibble8 NibbleA,
Char Nibble8 NibbleB, Char Nibble8 NibbleC, Char Nibble8 NibbleD,
Char Nibble8 NibbleE, Char Nibble8 NibbleF, Char Nibble9 Nibble0,
Char Nibble9 Nibble1, Char Nibble9 Nibble2, Char Nibble9 Nibble3,
Char Nibble9 Nibble4, Char Nibble9 Nibble5, Char Nibble9 Nibble6,
Char Nibble9 Nibble7, Char Nibble9 Nibble8, Char Nibble9 Nibble9,
Char Nibble9 NibbleA, Char Nibble9 NibbleB, Char Nibble9 NibbleC,
Char Nibble9 NibbleD, Char Nibble9 NibbleE, Char Nibble9 NibbleF,
Char NibbleA Nibble0, Char NibbleA Nibble1, Char NibbleA Nibble2,
Char NibbleA Nibble3, Char NibbleA Nibble4, Char NibbleA Nibble5,
Char NibbleA Nibble6, Char NibbleA Nibble7, Char NibbleA Nibble8,
Char NibbleA Nibble9, Char NibbleA NibbleA, Char NibbleA NibbleB,
Char NibbleA NibbleC, Char NibbleA NibbleD, Char NibbleA NibbleE,
Char NibbleA NibbleF, Char NibbleB Nibble0, Char NibbleB Nibble1,
Char NibbleB Nibble2, Char NibbleB Nibble3, Char NibbleB Nibble4,
Char NibbleB Nibble5, Char NibbleB Nibble6, Char NibbleB Nibble7,
Char NibbleB Nibble8, Char NibbleB Nibble9, Char NibbleB NibbleA,
Char NibbleB NibbleB, Char NibbleB NibbleC, Char NibbleB NibbleD,
Char NibbleB NibbleE, Char NibbleB NibbleF, Char NibbleC Nibble0,
Char NibbleC Nibble1, Char NibbleC Nibble2, Char NibbleC Nibble3,
Char NibbleC Nibble4, Char NibbleC Nibble5, Char NibbleC Nibble6,
Char NibbleC Nibble7, Char NibbleC Nibble8, Char NibbleC Nibble9,
Char NibbleC NibbleA, Char NibbleC NibbleB, Char NibbleC NibbleC,
Char NibbleC NibbleD, Char NibbleC NibbleE, Char NibbleC NibbleF,
Char NibbleD Nibble0, Char NibbleD Nibble1, Char NibbleD Nibble2,
Char NibbleD Nibble3, Char NibbleD Nibble4, Char NibbleD Nibble5,
Char NibbleD Nibble6, Char NibbleD Nibble7, Char NibbleD Nibble8,
Char NibbleD Nibble9, Char NibbleD NibbleA, Char NibbleD NibbleB,
Char NibbleD NibbleC, Char NibbleD NibbleD, Char NibbleD NibbleE,
Char NibbleD NibbleF, Char NibbleE Nibble0, Char NibbleE Nibble1,
Char NibbleE Nibble2, Char NibbleE Nibble3, Char NibbleE Nibble4,
Char NibbleE Nibble5, Char NibbleE Nibble6, Char NibbleE Nibble7,
Char NibbleE Nibble8, Char NibbleE Nibble9, Char NibbleE NibbleA,
Char NibbleE NibbleB, Char NibbleE NibbleC, Char NibbleE NibbleD,
Char NibbleE NibbleE, Char NibbleE NibbleF, Char NibbleF Nibble0,
Char NibbleF Nibble1, Char NibbleF Nibble2, Char NibbleF Nibble3,
Char NibbleF Nibble4, Char NibbleF Nibble5, Char NibbleF Nibble6,
Char NibbleF Nibble7, Char NibbleF Nibble8, Char NibbleF Nibble9,
Char NibbleF NibbleA, Char NibbleF NibbleB, Char NibbleF NibbleC,
Char NibbleF NibbleD, Char NibbleF NibbleE, Char NibbleF NibbleF]
 ⟨proof⟩

instance ⟨proof⟩

end

end

26 RType: Reflecting Pure types into HOL

```

theory RType
imports Main Code-Message Code-Index
begin

datatype rtype = RType message-string rtype list

class rtype =
  fixes rtype :: 'a::{} itself  $\Rightarrow$  rtype
begin

definition
  rtype-of :: 'a  $\Rightarrow$  rtype
where
  [simp]: rtype-of x = rtype TYPE('a)

end

 $\langle ML \rangle$ 

lemma [code func]:
  RType tyco1 tys1 = RType tyco2 tys2  $\longleftrightarrow$  tyco1 = tyco2
   $\wedge$  list-all2 (op =) tys1 tys2
   $\langle proof \rangle$ 

code-type rtype
  (SML Term.typ)

code-const RType
  (SML Term.Type / (-, -))

code-reserved SML Term

hide (open) const rtype RType

end

```

27 Eval: A simple term evaluation mechanism

```

theory Eval
imports
  RType
  Code-Index

```

begin

27.1 Term representation

27.1.1 Terms and class *term-of*

datatype *term* = *dummy-term*

definition

Const :: *message-string* \Rightarrow *rtype* \Rightarrow *term*

where

Const - - = *dummy-term*

definition

App :: *term* \Rightarrow *term* \Rightarrow *term*

where

App - - = *dummy-term*

code-datatype *Const App*

class *term-of* = *rtype* +

fixes *term-of* :: 'a \Rightarrow *term*

lemma *term-of-anything*: *term-of* *x* \equiv *t*

<proof>

<ML>

27.1.2 *term-of* instances

<ML>

27.1.3 Code generator setup

lemmas [*code func del*] = *term.recs term.cases term.size*

lemma [*code func, code func del*]: (*t1*::*term*) = *t2* \longleftrightarrow *t1* = *t2* *<proof>*

lemma [*code func, code func del*]: (*term-of* :: *rtype* \Rightarrow *term*) = *term-of* *<proof>*

lemma [*code func, code func del*]: (*term-of* :: *term* \Rightarrow *term*) = *term-of* *<proof>*

lemma [*code func, code func del*]: (*term-of* :: *index* \Rightarrow *term*) = *term-of* *<proof>*

lemma [*code func, code func del*]: (*term-of* :: *message-string* \Rightarrow *term*) = *term-of* *<proof>*

code-type *term*

(*SML Term.term*)

code-const *Const* and *App*

(*SML Term.Const*/ (-, -) and *Term.\$*/ (-, -))

code-const *term-of* :: *index* \Rightarrow *term*

(*SML HLogic.mk'-number / HLogic.indexT*)

code-const *term-of* :: *message-string* \Rightarrow *term*
 (*SML Message'-String.mk*)

27.1.4 Syntax

$\langle ML \rangle$

notation (**output**)
rterm-of ($\ll\!-\!\gg$)

locale (**open**) *rterm-syntax* =
fixes *rterm-of-syntax* :: 'a \Rightarrow 'b ($\ll\!-\!\gg$)

$\langle ML \rangle$

hide *const dummy-term*
hide (**open**) *const Const App*
hide (**open**) *const term-of*

27.2 Evaluation setup

$\langle ML \rangle$

end

28 Eval-Witness: Evaluation Oracle with ML witnesses

theory *Eval-Witness*
imports *List*
begin

We provide an oracle method similar to “eval”, but with the possibility to provide ML values as witnesses for existential statements.

Our oracle can prove statements of the form $\exists x. P\ x$ where P is an executable predicate that can be compiled to ML. The oracle generates code for P and applies it to a user-specified ML value. If the evaluation returns true, this is effectively a proof of $\exists x. P\ x$.

However, this is only sound if for every ML value of the given type there exists a corresponding HOL value, which could be used in an explicit proof. Unfortunately this is not true for function types, since ML functions are not equivalent to the pure HOL functions. Thus, the oracle can only be used on first-order types.

We define a type class to mark types that can be safely used with the oracle.

```
class ml-equiv = type
```

Instances of *ml-equiv* should only be declared for those types, where the universe of ML values coincides with the HOL values.

Since this is essentially a statement about ML, there is no logical characterization.

```
instance nat :: ml-equiv <proof>
instance bool :: ml-equiv <proof>
instance list :: (ml-equiv) ml-equiv <proof>
```

```
<ML>
```

28.1 Toy Examples

Note that we must use the generated data structure for the naturals, since ML integers are different.

Since polymorphism is not allowed, we must specify the type explicitly:

```
lemma  $\exists l. \text{length } (l::\text{bool list}) = 3$ 
<proof>
```

Multiple witnesses

```
lemma  $\exists k l. \text{length } (k::\text{bool list}) = \text{length } (l::\text{bool list})$ 
<proof>
```

28.2 Discussion

28.2.1 Conflicts

This theory conflicts with *EfficientNat*, since the *ml-equiv* instance for natural numbers is not valid when they are mapped to ML integers. With that theory loaded, we could use our oracle to prove $\exists n. n < (0::'a)$ by providing ~ 1 as a witness.

This shows that *ml-equiv* declarations have to be used with care, taking the configuration of the code generator into account.

28.2.2 Haskell

If we were able to run generated Haskell code, the situation would be much nicer, since Haskell functions are pure and could be used as witnesses for HOL functions: Although Haskell functions are partial, we know that if the evaluation terminates, they are “sufficiently defined” and could be completed arbitrarily to a total (HOL) function.

This would allow us to provide access to very efficient data structures via lookup functions coded in Haskell and provided to HOL as witnesses.

```
end
```

29 Executable-Set: Implementation of finite sets by lists

```
theory Executable-Set
imports List
begin
```

29.1 Definitional rewrites

```
lemma [code target: Set]:
   $A = B \longleftrightarrow A \subseteq B \wedge B \subseteq A$ 
  <proof>
```

```
declare subset-eq [code]
```

```
lemma [code]:
   $a \in A \longleftrightarrow (\exists x \in A. x = a)$ 
  <proof>
```

```
definition
  filter-set :: ('a  $\Rightarrow$  bool)  $\Rightarrow$  'a set  $\Rightarrow$  'a set where
  filter-set P xs = {x  $\in$  xs. P x}
```

29.2 Operations on lists

29.2.1 Basic definitions

```
definition
  flip :: ('a  $\Rightarrow$  'b  $\Rightarrow$  'c)  $\Rightarrow$  'b  $\Rightarrow$  'a  $\Rightarrow$  'c where
  flip f a b = f b a
```

```
definition
  member :: 'a list  $\Rightarrow$  'a  $\Rightarrow$  bool where
  member xs x  $\longleftrightarrow x \in$  set xs
```

```
definition
  insertl :: 'a  $\Rightarrow$  'a list  $\Rightarrow$  'a list where
  insertl x xs = (if member xs x then xs else x # xs)
```

```
lemma [code target: List]: member [] y  $\longleftrightarrow$  False
and [code target: List]: member (x # xs) y  $\longleftrightarrow y = x \vee$  member xs y
<proof>
```

```
fun
  drop-first :: ('a  $\Rightarrow$  bool)  $\Rightarrow$  'a list  $\Rightarrow$  'a list where
  drop-first f [] = []
| drop-first f (x # xs) = (if f x then xs else x # drop-first f xs)
declare drop-first.simps [code del]
declare drop-first.simps [code target: List]
```

```

declare remove1.simps [code del]
lemma [code target: List]:
  remove1 x xs = (if member xs x then drop-first (λy. y = x) xs else xs)
  ⟨proof⟩

```

```

lemma member-nil [simp]:
  member [] = (λx. False)
  ⟨proof⟩

```

```

lemma member-insertl [simp]:
  x ∈ set (insertl x xs)
  ⟨proof⟩

```

```

lemma insertl-member [simp]:
  fixes xs x
  assumes member: member xs x
  shows insertl x xs = xs
  ⟨proof⟩

```

```

lemma insertl-not-member [simp]:
  fixes xs x
  assumes member: ¬ (member xs x)
  shows insertl x xs = x # xs
  ⟨proof⟩

```

```

lemma foldr-remove1-empty [simp]:
  foldr remove1 xs [] = []
  ⟨proof⟩

```

29.2.2 Derived definitions

```

function unionl :: 'a list ⇒ 'a list ⇒ 'a list
where
  unionl [] ys = ys
  | unionl xs ys = foldr insertl xs ys
  ⟨proof⟩
termination ⟨proof⟩

```

lemmas *unionl-eq* = *unionl.simps*(2)

```

function intersect :: 'a list ⇒ 'a list ⇒ 'a list
where
  intersect [] ys = []
  | intersect xs [] = []
  | intersect xs ys = filter (member xs) ys
  ⟨proof⟩
termination ⟨proof⟩

```

lemmas *intersect-eq* = *intersect.simps*(3)


```

function subtract :: 'a list  $\Rightarrow$  'a list  $\Rightarrow$  'a list
where
  subtract [] ys = ys
| subtract xs [] = []
| subtract xs ys = foldr remove1 xs ys
<proof>
termination <proof>

```

```

lemmas subtract-eq = subtract.simps(3)

```

```

function map-distinct :: ('a  $\Rightarrow$  'b)  $\Rightarrow$  'a list  $\Rightarrow$  'b list
where
  map-distinct f [] = []
| map-distinct f xs = foldr (insertl o f) xs []
<proof>
termination <proof>

```

```

lemmas map-distinct-eq = map-distinct.simps(2)

```

```

function unions :: 'a list list  $\Rightarrow$  'a list
where
  unions [] = []
| unions xs = foldr unionl xs []
<proof>
termination <proof>

```

```

lemmas unions-eq = unions.simps(2)

```

```

consts intersects :: 'a list list  $\Rightarrow$  'a list
primrec
  intersects (x#xs) = foldr intersect xs x

```

```

definition
  map-union :: 'a list  $\Rightarrow$  ('a  $\Rightarrow$  'b list)  $\Rightarrow$  'b list where
  map-union xs f = unions (map f xs)

```

```

definition
  map-inter :: 'a list  $\Rightarrow$  ('a  $\Rightarrow$  'b list)  $\Rightarrow$  'b list where
  map-inter xs f = intersects (map f xs)

```

29.3 Isomorphism proofs

```

lemma iso-member:
  member xs x  $\longleftrightarrow$  x  $\in$  set xs
  <proof>

```

```

lemma iso-insert:
  set (insertl x xs) = insert x (set xs)

```

$\langle proof \rangle$

lemma *iso-remove1*:

assumes *distinct*: *distinct xs*

shows $set\ (remove1\ x\ xs) = set\ xs - \{x\}$

$\langle proof \rangle$

lemma *iso-union*:

$set\ (union1\ xs\ ys) = set\ xs \cup set\ ys$

$\langle proof \rangle$

lemma *iso-intersect*:

$set\ (intersect\ xs\ ys) = set\ xs \cap set\ ys$

$\langle proof \rangle$

definition

subtract' :: 'a list \Rightarrow 'a list \Rightarrow 'a list **where**

subtract' = *flip subtract*

lemma *iso-subtract*:

fixes *ys*

assumes *distinct*: *distinct ys*

shows $set\ (subtract'\ ys\ xs) = set\ ys - set\ xs$

and *distinct* (*subtract' ys xs*)

$\langle proof \rangle$

lemma *iso-map-distinct*:

$set\ (map-distinct\ f\ xs) = image\ f\ (set\ xs)$

$\langle proof \rangle$

lemma *iso-unions*:

$set\ (unions\ xss) = \bigcup\ set\ (map\ set\ xss)$

$\langle proof \rangle$

lemma *iso-intersects*:

$set\ (intersects\ (xs\#xss)) = \bigcap\ set\ (map\ set\ (xs\#xss))$

$\langle proof \rangle$

lemma *iso-UNION*:

$set\ (map-union\ xs\ f) = UNION\ (set\ xs)\ (set\ o\ f)$

$\langle proof \rangle$

lemma *iso-INTER*:

$set\ (map-inter\ (x\#xs)\ f) = INTER\ (set\ (x\#xs))\ (set\ o\ f)$

$\langle proof \rangle$

definition

Blall :: 'a list \Rightarrow ('a \Rightarrow bool) \Rightarrow bool **where**

Blall = *flip list-all*

definition

$Blex :: 'a\ list \Rightarrow ('a \Rightarrow bool) \Rightarrow bool$ **where**
 $Blex = flip\ list-ex$

lemma iso-Ball:

$Blall\ xs\ f = Ball\ (set\ xs)\ f$
 $\langle proof \rangle$

lemma iso-Bex:

$Blex\ xs\ f = Bex\ (set\ xs)\ f$
 $\langle proof \rangle$

lemma iso-filter:

$set\ (filter\ P\ xs) = filter-set\ P\ (set\ xs)$
 $\langle proof \rangle$

29.4 code generator setup

$\langle ML \rangle$

29.4.1 const serializations**consts-code**

$\{\} (\{*\}\{*\})$
 $insert (\{*\}insertl*)$
 $op \cup (\{*\}unionl*)$
 $op \cap (\{*\}intersect*)$
 $op - :: 'a\ set \Rightarrow 'a\ set \Rightarrow 'a\ set (\{*\}flip\ subtract\ *)$
 $image (\{*\}map-distinct*)$
 $Union (\{*\}unions*)$
 $Inter (\{*\}intersects*)$
 $UNION (\{*\}map-union*)$
 $INTER (\{*\}map-inter*)$
 $Ball (\{*\}Blall*)$
 $Bex (\{*\}Blex*)$
 $filter-set (\{*\}filter*)$

end

30 FuncSet: Pi and Function Sets

theory *FuncSet*

imports *Main*

begin

definition

$Pi :: ['a\ set,\ 'a \Rightarrow 'b\ set] \Rightarrow ('a \Rightarrow 'b)\ set$ **where**
 $Pi\ A\ B = \{f. \forall x. x \in A \longrightarrow f\ x \in B\ x\}$

definition

extensional :: $'a \text{ set} \Rightarrow ('a \Rightarrow 'b) \text{ set}$ **where**
extensional $A = \{f. \forall x. x \sim A \Rightarrow f x = \text{arbitrary}\}$

definition

restrict :: $['a \Rightarrow 'b, 'a \text{ set}] \Rightarrow ('a \Rightarrow 'b)$ **where**
restrict $f A = (\%x. \text{if } x \in A \text{ then } f x \text{ else arbitrary})$

abbreviation

funcset :: $['a \text{ set}, 'b \text{ set}] \Rightarrow ('a \Rightarrow 'b) \text{ set}$
(infixr \rightarrow 60) where
 $A \rightarrow B == Pi A (\%-. B)$

notation (*xsymbols*)

funcset **(infixr \rightarrow 60)**

syntax

-Pi :: $[pttrn, 'a \text{ set}, 'b \text{ set}] \Rightarrow ('a \Rightarrow 'b) \text{ set}$ $((\exists PI \text{ :-./ -}) 10)$
-lam :: $[pttrn, 'a \text{ set}, 'a \Rightarrow 'b] \Rightarrow ('a \Rightarrow 'b)$ $((\exists \% \text{ :-./ -}) [0,0,3] 3)$

syntax (*xsymbols*)

-Pi :: $[pttrn, 'a \text{ set}, 'b \text{ set}] \Rightarrow ('a \Rightarrow 'b) \text{ set}$ $((\exists \Pi \text{ -}\in\text{./ -}) 10)$
-lam :: $[pttrn, 'a \text{ set}, 'a \Rightarrow 'b] \Rightarrow ('a \Rightarrow 'b)$ $((\exists \lambda \text{ -}\in\text{./ -}) [0,0,3] 3)$

syntax (*HTML output*)

-Pi :: $[pttrn, 'a \text{ set}, 'b \text{ set}] \Rightarrow ('a \Rightarrow 'b) \text{ set}$ $((\exists \Pi \text{ -}\in\text{./ -}) 10)$
-lam :: $[pttrn, 'a \text{ set}, 'a \Rightarrow 'b] \Rightarrow ('a \Rightarrow 'b)$ $((\exists \lambda \text{ -}\in\text{./ -}) [0,0,3] 3)$

translations

$Pi x:A. B == CONST Pi A (\%x. B)$
 $\%x:A. f == CONST restrict (\%x. f) A$

definition

compose :: $['a \text{ set}, 'b \Rightarrow 'c, 'a \Rightarrow 'b] \Rightarrow ('a \Rightarrow 'c)$ **where**
compose $A g f = (\lambda x \in A. g (f x))$

30.1 Basic Properties of Pi

lemma *Pi-I*: $(!!x. x \in A \Rightarrow f x \in B) \Rightarrow f \in Pi A B$
 $\langle \text{proof} \rangle$

lemma *funcsetI*: $(!!x. x \in A \Rightarrow f x \in B) \Rightarrow f \in A \rightarrow B$
 $\langle \text{proof} \rangle$

lemma *Pi-mem*: $[f: Pi A B; x \in A] \Rightarrow f x \in B$
 $\langle \text{proof} \rangle$

lemma *funcset-mem*: $[f \in A \rightarrow B; x \in A] \Rightarrow f x \in B$

$\langle proof \rangle$

lemma *funcset-image*: $f \in A \rightarrow B \implies f' A \subseteq B$
 $\langle proof \rangle$

lemma *Pi-eq-empty*: $((\Pi x: A. B x) = \{\}) = (\exists x \in A. B(x) = \{\})$
 $\langle proof \rangle$

lemma *Pi-empty* [simp]: $\Pi \{\} B = UNIV$
 $\langle proof \rangle$

lemma *Pi-UNIV* [simp]: $A \rightarrow UNIV = UNIV$
 $\langle proof \rangle$

Covariance of Pi-sets in their second argument

lemma *Pi-mono*: $(!!x. x \in A \implies B x \leq C x) \implies \Pi A B \leq \Pi A C$
 $\langle proof \rangle$

Contravariance of Pi-sets in their first argument

lemma *Pi-anti-mono*: $A' \leq A \implies \Pi A B \leq \Pi A' B$
 $\langle proof \rangle$

30.2 Composition With a Restricted Domain: *compose*

lemma *funcset-compose*:

$[f \in A \rightarrow B; g \in B \rightarrow C] \implies \text{compose } A g f \in A \rightarrow C$
 $\langle proof \rangle$

lemma *compose-assoc*:

$[f \in A \rightarrow B; g \in B \rightarrow C; h \in C \rightarrow D] \implies \text{compose } A h (\text{compose } A g f) = \text{compose } A (\text{compose } B h g) f$
 $\langle proof \rangle$

lemma *compose-eq*: $x \in A \implies \text{compose } A g f x = g(f(x))$
 $\langle proof \rangle$

lemma *surj-compose*: $[f' A = B; g' B = C] \implies \text{compose } A g f' A = C$
 $\langle proof \rangle$

30.3 Bounded Abstraction: *restrict*

lemma *restrict-in-funcset*: $(!!x. x \in A \implies f x \in B) \implies (\lambda x \in A. f x) \in A \rightarrow B$
 $\langle proof \rangle$

lemma *restrictI*: $(!!x. x \in A \implies f x \in B x) \implies (\lambda x \in A. f x) \in \Pi A B$
 $\langle proof \rangle$

lemma *restrict-apply* [simp]:

$(\lambda y \in A. f y) x = (\text{if } x \in A \text{ then } f x \text{ else arbitrary})$

$\langle proof \rangle$

lemma *restrict-ext*:

$(!!x. x \in A \implies f x = g x) \implies (\lambda x \in A. f x) = (\lambda x \in A. g x)$

$\langle proof \rangle$

lemma *inj-on-restrict-eq* [simp]: $inj\text{-}on\ (restrict\ f\ A)\ A = inj\text{-}on\ f\ A$

$\langle proof \rangle$

lemma *Id-compose*:

$[|f \in A \rightarrow B; f \in extensional\ A|] \implies compose\ A\ (\lambda y \in B. y)\ f = f$

$\langle proof \rangle$

lemma *compose-Id*:

$[|g \in A \rightarrow B; g \in extensional\ A|] \implies compose\ A\ g\ (\lambda x \in A. x) = g$

$\langle proof \rangle$

lemma *image-restrict-eq* [simp]: $(restrict\ f\ A) \text{ `` } A = f \text{ `` } A$

$\langle proof \rangle$

30.4 Bijections Between Sets

The definition of *bij-betw* is in *Fun.thy*, but most of the theorems belong here, or need at least *Hilbert-Choice*.

lemma *bij-betw-imp-funcset*: $bij\text{-}betw\ f\ A\ B \implies f \in A \rightarrow B$

$\langle proof \rangle$

lemma *inj-on-compose*:

$[|bij\text{-}betw\ f\ A\ B; inj\text{-}on\ g\ B\ |] \implies inj\text{-}on\ (compose\ A\ g\ f)\ A$

$\langle proof \rangle$

lemma *bij-betw-compose*:

$[|bij\text{-}betw\ f\ A\ B; bij\text{-}betw\ g\ B\ C\ |] \implies bij\text{-}betw\ (compose\ A\ g\ f)\ A\ C$

$\langle proof \rangle$

lemma *bij-betw-restrict-eq* [simp]:

$bij\text{-}betw\ (restrict\ f\ A)\ A\ B = bij\text{-}betw\ f\ A\ B$

$\langle proof \rangle$

30.5 Extensionality

lemma *extensional-arb*: $[|f \in extensional\ A; x \notin A|] \implies f x = arbitrary$

$\langle proof \rangle$

lemma *restrict-extensional* [simp]: $restrict\ f\ A \in extensional\ A$

$\langle proof \rangle$

lemma *compose-extensional* [simp]: $compose\ A\ f\ g \in extensional\ A$

$\langle proof \rangle$

lemma *extensionalityI*:

$[[f \in \text{extensional } A; g \in \text{extensional } A;$
 $!!x. x \in A \implies f x = g x]] \implies f = g$
 $\langle \text{proof} \rangle$

lemma *Inv-funcset*: $f \text{ ‘ } A = B \implies (\lambda x \in B. \text{Inv } A f x) : B \rightarrow A$
 $\langle \text{proof} \rangle$

lemma *compose-Inv-id*:

$\text{bij-betw } f A B \implies \text{compose } A (\lambda y \in B. \text{Inv } A f y) f = (\lambda x \in A. x)$
 $\langle \text{proof} \rangle$

lemma *compose-id-Inv*:

$f \text{ ‘ } A = B \implies \text{compose } B f (\lambda y \in B. \text{Inv } A f y) = (\lambda x \in B. x)$
 $\langle \text{proof} \rangle$

30.6 Cardinality

lemma *card-inj*: $[[f \in A \rightarrow B; \text{inj-on } f A; \text{finite } B]] \implies \text{card}(A) \leq \text{card}(B)$
 $\langle \text{proof} \rangle$

lemma *card-bij*:

$[[f \in A \rightarrow B; \text{inj-on } f A;$
 $g \in B \rightarrow A; \text{inj-on } g B; \text{finite } A; \text{finite } B]] \implies \text{card}(A) = \text{card}(B)$
 $\langle \text{proof} \rangle$

declare *FuncSet.Pi-I* [skolem]
declare *FuncSet.Pi-mono* [skolem]
declare *FuncSet.extensionalityI* [skolem]
declare *FuncSet.funcsetI* [skolem]
declare *FuncSet.restrictI* [skolem]
declare *FuncSet.restrict-in-funcset* [skolem]

end

31 Heap: A polymorphic heap based on cantor encodings

theory *Heap*
imports *Main Countable RType*
begin

31.1 Representable types

The type class of representable types

class *heap* = *rtype* + *countable*

Instances for common HOL types

instance *nat* :: *heap* \langle *proof* \rangle

instance $*$:: (*heap*, *heap*) *heap* \langle *proof* \rangle

instance $+$:: (*heap*, *heap*) *heap* \langle *proof* \rangle

instance *list* :: (*heap*) *heap* \langle *proof* \rangle

instance *option* :: (*heap*) *heap* \langle *proof* \rangle

instance *int* :: *heap* \langle *proof* \rangle

instance *message-string* :: *countable*
 \langle *proof* \rangle

instance *message-string* :: *heap* \langle *proof* \rangle

Reflected types themselves are heap-representable

instantiation *rtype* :: *countable*

begin

lemma *list-size-size-append*:

list-size size (xs @ ys) = list-size size xs + list-size size ys
 \langle *proof* \rangle

lemma *rtype-size*: $t = RType.RType\ c\ ts \implies t' \in set\ ts \implies size\ t' < size\ t$
 \langle *proof* \rangle

function *to-nat-rtype* :: *rtype* \Rightarrow *nat* **where**

to-nat-rtype (*RType.RType* *c* *ts*) = *to-nat* (*to-nat* *c*, *to-nat* (*map* *to-nat-rtype* *ts*))
 \langle *proof* \rangle

termination \langle *proof* \rangle

instance

\langle *proof* \rangle

end

instance *rtype* :: *heap* \langle *proof* \rangle

31.2 A polymorphic heap with dynamic arrays and references

types $addr = nat$ — untyped heap references

datatype $'a\ array = Array\ addr$

datatype $'a\ ref = Ref\ addr$ — note the phantom type $'a$

primrec $addr-of-array :: 'a\ array \Rightarrow addr$ **where**
 $addr-of-array\ (Array\ x) = x$

primrec $addr-of-ref :: 'a\ ref \Rightarrow addr$ **where**
 $addr-of-ref\ (Ref\ x) = x$

lemma $addr-of-array-inj\ [simp]:$
 $addr-of-array\ a = addr-of-array\ a' \longleftrightarrow a = a'$
 $\langle proof \rangle$

lemma $addr-of-ref-inj\ [simp]:$
 $addr-of-ref\ r = addr-of-ref\ r' \longleftrightarrow r = r'$
 $\langle proof \rangle$

instance $array :: (type)\ countable$
 $\langle proof \rangle$

instance $ref :: (type)\ countable$
 $\langle proof \rangle$

$\langle ML \rangle$

types $heap-rep = nat$ — representable values

record $heap =$
 $arrays :: rtype \Rightarrow addr \Rightarrow heap-rep\ list$
 $refs :: rtype \Rightarrow addr \Rightarrow heap-rep$
 $lim :: addr$

definition $empty :: heap$ **where**
 $empty = (\lambda arrays = (\lambda-. arbitrary), refs = (\lambda-. arbitrary), lim = 0)$ — why arbitrary?

31.3 Imperative references and arrays

References and arrays are developed in parallel, but keeping them separate makes some later proofs simpler.

31.3.1 Primitive operations

definition

$new-ref :: heap \Rightarrow ('a::heap) \text{ ref } \times heap \text{ where}$
 $new-ref \ h = (let \ l = lim \ h \text{ in } (Ref \ l, h(lim := l + 1)))$

definition

$new-array :: heap \Rightarrow ('a::heap) \text{ array } \times heap \text{ where}$
 $new-array \ h = (let \ l = lim \ h \text{ in } (Array \ l, h(lim := l + 1)))$

definition

$ref-present :: 'a::heap \text{ ref } \Rightarrow heap \Rightarrow bool \text{ where}$
 $ref-present \ r \ h \longleftrightarrow addr-of-ref \ r < lim \ h$

definition

$array-present :: 'a::heap \text{ array } \Rightarrow heap \Rightarrow bool \text{ where}$
 $array-present \ a \ h \longleftrightarrow addr-of-array \ a < lim \ h$

definition

$get-ref :: 'a::heap \text{ ref } \Rightarrow heap \Rightarrow 'a \text{ where}$
 $get-ref \ r \ h = from-nat \ (refs \ h \ (RTYPE('a)) \ (addr-of-ref \ r))$

definition

$get-array :: 'a::heap \text{ array } \Rightarrow heap \Rightarrow 'a \text{ list where}$
 $get-array \ a \ h = map \ from-nat \ (arrays \ h \ (RTYPE('a)) \ (addr-of-array \ a))$

definition

$set-ref :: 'a::heap \text{ ref } \Rightarrow 'a \Rightarrow heap \Rightarrow heap \text{ where}$
 $set-ref \ r \ x =$
 $refs-update \ (\lambda h. h \ (RTYPE('a) := ((h \ (RTYPE('a))) \ (addr-of-ref \ r := to-nat \ x))))$

definition

$set-array :: 'a::heap \text{ array } \Rightarrow 'a \text{ list } \Rightarrow heap \Rightarrow heap \text{ where}$
 $set-array \ a \ x =$
 $arrays-update \ (\lambda h. h \ (RTYPE('a) := ((h \ (RTYPE('a))) \ (addr-of-array \ a := map \ to-nat \ x))))$

31.3.2 Interface operations**definition**

$ref :: 'a \Rightarrow heap \Rightarrow 'a::heap \text{ ref } \times heap \text{ where}$
 $ref \ x \ h = (let \ (r, h') = new-ref \ h;$
 $h'' = set-ref \ r \ x \ h'$
 $in \ (r, h''))$

definition

$array :: nat \Rightarrow 'a \Rightarrow heap \Rightarrow 'a::heap \text{ array } \times heap \text{ where}$
 $array \ n \ x \ h = (let \ (r, h') = new-array \ h;$
 $h'' = set-array \ r \ (replicate \ n \ x) \ h'$
 $in \ (r, h''))$

definition

array-of-list :: 'a list \Rightarrow heap \Rightarrow 'a::heap array \times heap **where**
array-of-list xs h = (let (r, h') = new-array h;
 h'' = set-array r xs h'
 in (r, h''))

definition

upd :: 'a::heap array \Rightarrow nat \Rightarrow 'a \Rightarrow heap \Rightarrow heap **where**
upd a i x h = set-array a ((get-array a h)[i:=x]) h

definition

length :: 'a::heap array \Rightarrow heap \Rightarrow nat **where**
length a h = size (get-array a h)

definition

array-ran :: ('a::heap) option array \Rightarrow heap \Rightarrow 'a set **where**
array-ran a h = {e. Some e \in set (get-array a h)}
 — FIXME

31.3.3 Reference equality

The following relations are useful for comparing arrays and references.

definition

noteq-refs :: ('a::heap) ref \Rightarrow ('b::heap) ref \Rightarrow bool (**infix** != 70)

where

$r \neq s \iff \text{RTYPE}(a) \neq \text{RTYPE}(b) \vee \text{addr-of-ref } r \neq \text{addr-of-ref } s$

definition

noteq-arrs :: ('a::heap) array \Rightarrow ('b::heap) array \Rightarrow bool (**infix** == 70)

where

$r \neq s \iff \text{RTYPE}(a) \neq \text{RTYPE}(b) \vee \text{addr-of-array } r \neq \text{addr-of-array } s$

lemma *noteq-refs-sym*: $r \neq s \implies s \neq r$

and *noteq-arrs-sym*: $a \neq b \implies b \neq a$

and *unequal-refs* [simp]: $r \neq r' \iff r \neq r'$ — same types!

and *unequal-arrs* [simp]: $a \neq a' \iff a \neq a'$

<proof>

lemma *present-new-ref*: $\text{ref-present } r \ h \implies r \neq \text{fst } (\text{ref } v \ h)$

<proof>

lemma *present-new-arr*: $\text{array-present } a \ h \implies a \neq \text{fst } (\text{array } v \ x \ h)$

<proof>

31.3.4 Properties of heap containers

Properties of imperative arrays

FIXME: Does there exist a “canonical” array axiomatisation in the literature?

lemma *array-get-set-eq* [simp]: $\text{get-array } r \ (\text{set-array } r \ x \ h) = x$
 ⟨proof⟩

lemma *array-get-set-neq* [simp]: $r =!!= s \implies \text{get-array } r \ (\text{set-array } s \ x \ h) = \text{get-array } r \ h$
 ⟨proof⟩

lemma *set-array-same* [simp]:
 $\text{set-array } r \ x \ (\text{set-array } r \ y \ h) = \text{set-array } r \ x \ h$
 ⟨proof⟩

lemma *array-set-set-swap*:
 $r =!!= r' \implies \text{set-array } r \ x \ (\text{set-array } r' \ x' \ h) = \text{set-array } r' \ x' \ (\text{set-array } r \ x \ h)$
 ⟨proof⟩

lemma *array-ref-set-set-swap*:
 $\text{set-array } r \ x \ (\text{set-ref } r' \ x' \ h) = \text{set-ref } r' \ x' \ (\text{set-array } r \ x \ h)$
 ⟨proof⟩

lemma *get-array-upd-eq* [simp]:
 $\text{get-array } a \ (\text{upd } a \ i \ v \ h) = (\text{get-array } a \ h) \ [i := v]$
 ⟨proof⟩

lemma *nth-upd-array-neq-array* [simp]:
 $a =!!= b \implies \text{get-array } a \ (\text{upd } b \ j \ v \ h) \ ! \ i = \text{get-array } a \ h \ ! \ i$
 ⟨proof⟩

lemma *get-arry-array-upd-elem-neqIndex* [simp]:
 $i \neq j \implies \text{get-array } a \ (\text{upd } a \ j \ v \ h) \ ! \ i = \text{get-array } a \ h \ ! \ i$
 ⟨proof⟩

lemma *length-upd-eq* [simp]:
 $\text{length } a \ (\text{upd } a \ i \ v \ h) = \text{length } a \ h$
 ⟨proof⟩

lemma *length-upd-neq* [simp]:
 $\text{length } a \ (\text{upd } b \ i \ v \ h) = \text{length } a \ h$
 ⟨proof⟩

lemma *upd-swap-neqArray*:
 $a =!!= a' \implies$
 $\text{upd } a \ i \ v \ (\text{upd } a' \ i' \ v' \ h)$
 $= \text{upd } a' \ i' \ v' \ (\text{upd } a \ i \ v \ h)$
 ⟨proof⟩

lemma *upd-swap-neqIndex*:
 $\llbracket i \neq i' \rrbracket \implies \text{upd } a \ i \ v \ (\text{upd } a \ i' \ v' \ h) = \text{upd } a \ i' \ v' \ (\text{upd } a \ i \ v \ h)$
 ⟨proof⟩

lemma *get-array-init-array-list*:

get-array (*fst* (*array-of-list* *ls* *h*)) (*snd* (*array-of-list* *ls'* *h*)) = *ls'*
 ⟨*proof*⟩

lemma *set-array*:

set-array (*fst* (*array-of-list* *ls* *h*))
 new-ls (*snd* (*array-of-list* *ls* *h*))
 = *snd* (*array-of-list* *new-ls* *h*)
 ⟨*proof*⟩

lemma *array-present-upd* [*simp*]:

array-present *a* (*upd* *b* *i* *v* *h*) = *array-present* *a* *h*
 ⟨*proof*⟩

lemma *array-of-list-replicate*:

array-of-list (*replicate* *n* *x*) = *array* *n* *x*
 ⟨*proof*⟩

Properties of imperative references

lemma *next-ref-fresh* [*simp*]:

assumes (*r*, *h'*) = *new-ref* *h*
shows \neg *ref-present* *r* *h*
 ⟨*proof*⟩

lemma *next-ref-present* [*simp*]:

assumes (*r*, *h'*) = *new-ref* *h*
shows *ref-present* *r* *h'*
 ⟨*proof*⟩

lemma *ref-get-set-eq* [*simp*]: *get-ref* *r* (*set-ref* *r* *x* *h*) = *x*

⟨*proof*⟩

lemma *ref-get-set-neq* [*simp*]: *r* \neq *s* \implies *get-ref* *r* (*set-ref* *s* *x* *h*) = *get-ref* *r* *h*

⟨*proof*⟩

lemma *ref-set-get*: *set-ref* *r* (*get-ref* *r* *h*) *h* = *h*

⟨*proof*⟩

lemma *set-ref-same* [*simp*]:

set-ref *r* *x* (*set-ref* *r* *y* *h*) = *set-ref* *r* *x* *h*
 ⟨*proof*⟩

lemma *ref-set-set-swap*:

r \neq *r'* \implies *set-ref* *r* *x* (*set-ref* *r'* *x'* *h*) = *set-ref* *r'* *x'* (*set-ref* *r* *x* *h*)
 ⟨*proof*⟩

lemma *ref-new-set*: *fst* (*ref* *v* (*set-ref* *r* *v'* *h*)) = *fst* (*ref* *v* *h*)

$\langle \text{proof} \rangle$

lemma *ref-get-new* [simp]:
 $\text{get-ref } (\text{fst } (\text{ref } v \ h)) \ (\text{snd } (\text{ref } v' \ h)) = v'$
 $\langle \text{proof} \rangle$

lemma *ref-set-new* [simp]:
 $\text{set-ref } (\text{fst } (\text{ref } v \ h)) \ \text{new-}v \ (\text{snd } (\text{ref } v \ h)) = \text{snd } (\text{ref } \text{new-}v \ h)$
 $\langle \text{proof} \rangle$

lemma *ref-get-new-neq*: $r \neq (\text{fst } (\text{ref } v \ h)) \implies$
 $\text{get-ref } r \ (\text{snd } (\text{ref } v \ h)) = \text{get-ref } r \ h$
 $\langle \text{proof} \rangle$

lemma *lim-set-ref* [simp]:
 $\lim (\text{set-ref } r \ v \ h) = \lim h$
 $\langle \text{proof} \rangle$

lemma *ref-present-new-ref* [simp]:
 $\text{ref-present } r \ h \implies \text{ref-present } r \ (\text{snd } (\text{ref } v \ h))$
 $\langle \text{proof} \rangle$

lemma *ref-present-set-ref* [simp]:
 $\text{ref-present } r \ (\text{set-ref } r' \ v \ h) = \text{ref-present } r \ h$
 $\langle \text{proof} \rangle$

lemma *array-ranI*: $\llbracket \text{Some } b = \text{get-array } a \ h \ ! \ i; i < \text{Heap.length } a \ h \rrbracket \implies b \in$
 $\text{array-ran } a \ h$
 $\langle \text{proof} \rangle$

lemma *array-ran-upd-array-Some*:
assumes $cl \in \text{array-ran } a \ (\text{Heap.upd } a \ i \ (\text{Some } b) \ h)$
shows $cl \in \text{array-ran } a \ h \vee cl = b$
 $\langle \text{proof} \rangle$

lemma *array-ran-upd-array-None*:
assumes $cl \in \text{array-ran } a \ (\text{Heap.upd } a \ i \ \text{None } h)$
shows $cl \in \text{array-ran } a \ h$
 $\langle \text{proof} \rangle$

Non-interaction between imperative array and imperative references

lemma *get-array-set-ref* [simp]: $\text{get-array } a \ (\text{set-ref } r \ v \ h) = \text{get-array } a \ h$
 $\langle \text{proof} \rangle$

lemma *nth-set-ref* [simp]: $\text{get-array } a \ (\text{set-ref } r \ v \ h) \ ! \ i = \text{get-array } a \ h \ ! \ i$
 $\langle \text{proof} \rangle$

lemma *get-ref-upd* [simp]: $\text{get-ref } r \ (\text{upd } a \ i \ v \ h) = \text{get-ref } r \ h$
 $\langle \text{proof} \rangle$

lemma *new-ref-upd*: $\text{fst } (\text{ref } v \ (\text{upd } a \ i \ v' \ h)) = \text{fst } (\text{ref } v \ h)$
 $\langle \text{proof} \rangle$

not actually true ???

lemma *upd-set-ref-swap*: $\text{upd } a \ i \ v \ (\text{set-ref } r \ v' \ h) = \text{set-ref } r \ v' \ (\text{upd } a \ i \ v \ h)$
 $\langle \text{proof} \rangle$

lemma *length-new-ref* [simp]:
 $\text{length } a \ (\text{snd } (\text{ref } v \ h)) = \text{length } a \ h$
 $\langle \text{proof} \rangle$

lemma *get-array-new-ref* [simp]:
 $\text{get-array } a \ (\text{snd } (\text{ref } v \ h)) = \text{get-array } a \ h$
 $\langle \text{proof} \rangle$

lemma *ref-present-upd* [simp]:
 $\text{ref-present } r \ (\text{upd } a \ i \ v \ h) = \text{ref-present } r \ h$
 $\langle \text{proof} \rangle$

lemma *array-present-set-ref* [simp]:
 $\text{array-present } a \ (\text{set-ref } r \ v \ h) = \text{array-present } a \ h$
 $\langle \text{proof} \rangle$

lemma *array-present-new-ref* [simp]:
 $\text{array-present } a \ h \implies \text{array-present } a \ (\text{snd } (\text{ref } v \ h))$
 $\langle \text{proof} \rangle$

hide (**open**) *const empty array array-of-list upd length ref*

end

32 Heap-Monad: A monad with a polymorphic heap

theory *Heap-Monad*
imports *Heap*
begin

32.1 The monad

32.1.1 Monad combinators

datatype *exception* = *Exn*

Monadic heap actions either produce values and transform the heap, or fail

datatype $'a \ \text{Heap} = \text{Heap } \text{heap} \Rightarrow ('a + \text{exception}) \times \text{heap}$

primrec

$execute :: 'a \text{ Heap} \Rightarrow \text{heap} \Rightarrow ('a + \text{exception}) \times \text{heap}$ **where**
 $execute (\text{Heap } f) = f$
lemmas $[code \ del] = execute.simps$

lemma $\text{Heap-execute } [simp]$:
 $\text{Heap } (execute \ f) = f \ \langle proof \rangle$

lemma Heap-eqI :
 $(\bigwedge h. \text{execute } f \ h = \text{execute } g \ h) \Longrightarrow f = g$
 $\langle proof \rangle$

lemma Heap-eqI' :
 $(\bigwedge h. (\lambda x. \text{execute } (f \ x) \ h) = (\lambda y. \text{execute } (g \ y) \ h)) \Longrightarrow f = g$
 $\langle proof \rangle$

lemma Heap-strip : $(\bigwedge f. \text{PROP } P \ f) \equiv (\bigwedge g. \text{PROP } P \ (\text{Heap } g))$
 $\langle proof \rangle$

definition

$\text{heap} :: (\text{heap} \Rightarrow 'a \times \text{heap}) \Rightarrow 'a \text{ Heap}$ **where**
 $[code \ del]: \text{heap } f = \text{Heap } (\lambda h. \text{apfst } \text{Inl } (f \ h))$

lemma $\text{execute-heap } [simp]$:
 $execute (\text{heap } f) \ h = \text{apfst } \text{Inl } (f \ h)$
 $\langle proof \rangle$

definition

$\text{run} :: 'a \text{ Heap} \Rightarrow 'a \text{ Heap}$ **where**
 $\text{run-drop } [code \ del]: \text{run } f = f$

definition

$\text{bindM} :: 'a \text{ Heap} \Rightarrow ('a \Rightarrow 'b \text{ Heap}) \Rightarrow 'b \text{ Heap}$ (**infixl** $>>=$ 54) **where**
 $[code \ del]: f \ >>= g = \text{Heap } (\lambda h. \text{case } execute \ f \ h \text{ of}$
 $\quad (\text{Inl } x, h') \Rightarrow execute \ (g \ x) \ h'$
 $\quad | \ r \Rightarrow r)$

notation

bindM (**infixl** $\gg=$ 54)

abbreviation

$\text{chainM} :: 'a \text{ Heap} \Rightarrow 'b \text{ Heap} \Rightarrow 'b \text{ Heap}$ (**infixl** $>>$ 54) **where**
 $f \ >> g \equiv f \ >>= (\lambda \cdot. g)$

notation

chainM (**infixl** \gg 54)

definition

return :: 'a \Rightarrow 'a Heap **where**
 [code del]: *return* x = heap (Pair x)

lemma *execute-return* [simp]:
execute (return x) h = apfst Inl (x, h)
 <proof>

definition

raise :: string \Rightarrow 'a Heap **where** — the string is just decoration
 [code del]: *raise* s = Heap (Pair (Inr Exn))

notation (*latex output*)
raise (*raise*)

lemma *execute-raise* [simp]:
execute (raise s) h = (Inr Exn, h)
 <proof>

32.1.2 do-syntax

We provide a convenient do-notation for monadic expressions well-known from Haskell. *Let* is printed specially in do-expressions.

nonterminals *do-expr*

syntax

-do :: do-expr \Rightarrow 'a
 ((do (-)) [12] 100)
 -bindM :: pttrn \Rightarrow 'a \Rightarrow do-expr \Rightarrow do-expr
 (- <- -;/- [1000, 13, 12] 12)
 -chainM :: 'a \Rightarrow do-expr \Rightarrow do-expr
 (-;/- [13, 12] 12)
 -let :: pttrn \Rightarrow 'a \Rightarrow do-expr \Rightarrow do-expr
 (let - = -;/- [1000, 13, 12] 12)
 -nil :: 'a \Rightarrow do-expr
 (- [12] 12)

syntax (*xsymbols*)

-bindM :: pttrn \Rightarrow 'a \Rightarrow do-expr \Rightarrow do-expr
 (- \leftarrow -;/- [1000, 13, 12] 12)

syntax (*latex output*)

-do :: do-expr \Rightarrow 'a
 ((do (-)) [12] 100)
 -let :: pttrn \Rightarrow 'a \Rightarrow do-expr \Rightarrow do-expr
 (let - = -;/- [1000, 13, 12] 12)

notation (*latex output*)
return (*return*)

translations

-do f => CONST run f

$-bindM\ x\ f\ g \Rightarrow f \gg= (\lambda x. g)$
 $-chainM\ f\ g \Rightarrow f \gg g$
 $-let\ x\ t\ f \Rightarrow CONST\ Let\ t\ (\lambda x. f)$
 $-nil\ f \Rightarrow f$

$\langle ML \rangle$

32.1.3 Plain evaluation

definition

$evaluate :: 'a\ Heap \Rightarrow 'a$

where

$[code\ del]:\ evaluate\ f = (case\ execute\ f\ Heap.empty$
 $of\ (Inl\ x,\ -) \Rightarrow x)$

32.2 Monad properties

32.2.1 Superfluous runs

run is just a doodle

lemma $run-simp\ [simp]:$

$\bigwedge f. run\ (run\ f) = run\ f$
 $\bigwedge f\ g. run\ f \gg= g = f \gg= g$
 $\bigwedge f\ g. run\ f \gg g = f \gg g$
 $\bigwedge f\ g. f \gg= (\lambda x. run\ g) = f \gg= (\lambda x. g)$
 $\bigwedge f\ g. f \gg run\ g = f \gg g$
 $\bigwedge f. f = run\ g \longleftrightarrow f = g$
 $\bigwedge f. run\ f = g \longleftrightarrow f = g$
 $\langle proof \rangle$

32.2.2 Monad laws

lemma $return-bind: return\ x \gg= f = f\ x$
 $\langle proof \rangle$

lemma $bind-return: f \gg= return = f$
 $\langle proof \rangle$

lemma $bind-bind: (f \gg= g) \gg= h = f \gg= (\lambda x. g\ x \gg= h)$
 $\langle proof \rangle$

lemma $bind-bind': f \gg= (\lambda x. g\ x \gg= h\ x) = f \gg= (\lambda x. g\ x \gg= (\lambda y. return\ (x, y))) \gg= (\lambda (x, y). h\ x\ y)$
 $\langle proof \rangle$

lemma $raise-bind: raise\ e \gg= f = raise\ e$
 $\langle proof \rangle$

lemmas $monad-simp = return-bind\ bind-return\ bind-bind\ raise-bind$

32.3 Generic combinators

definition

$liftM :: ('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b \text{ Heap}$

where

$liftM f = return \circ f$

definition

$compM :: ('a \Rightarrow 'b \text{ Heap}) \Rightarrow ('b \Rightarrow 'c \text{ Heap}) \Rightarrow 'a \Rightarrow 'c \text{ Heap}$ (**infixl** $>>==$ 54)

where

$(f >>== g) = (\lambda x. f x \gg== g)$

notation

$compM$ (**infixl** $\gg==$ 54)

lemma *liftM-collapse*: $liftM f x = return (f x)$

$\langle proof \rangle$

lemma *liftM-compM*: $liftM f \gg== g = g \circ f$

$\langle proof \rangle$

lemma *compM-return*: $f \gg== return = f$

$\langle proof \rangle$

lemma *compM-compM*: $(f \gg== g) \gg== h = f \gg== (g \gg== h)$

$\langle proof \rangle$

lemma *liftM-bind*:

$(\lambda x. liftM f x \gg== liftM g) = liftM (\lambda x. g (f x))$

$\langle proof \rangle$

lemma *liftM-comp*:

$liftM f \circ g = liftM (f \circ g)$

$\langle proof \rangle$

lemmas *monad-simp'* = *monad-simp liftM-compM compM-return*

compM-compM liftM-bind liftM-comp

primrec

$mapM :: ('a \Rightarrow 'b \text{ Heap}) \Rightarrow 'a \text{ list} \Rightarrow 'b \text{ list Heap}$

where

$mapM f [] = return []$

| $mapM f (x \# xs) = do \ y \leftarrow f x;$
 $\quad \quad \quad ys \leftarrow mapM f xs;$
 $\quad \quad \quad return (y \# ys)$
 $\quad \quad \quad done$

primrec

$foldM :: ('a \Rightarrow 'b \Rightarrow 'b \text{ Heap}) \Rightarrow 'a \text{ list} \Rightarrow 'b \Rightarrow 'b \text{ Heap}$

where

```

foldM f [] s = return s
| foldM f (x#xs) s = f x s >>= foldM f xs

```

```

hide (open) const heap execute

```

32.4 Code generator setup

32.4.1 Logical intermediate layer

definition

```

Fail :: message-string => exception

```

where

```

[code func del]: Fail s = Exn

```

definition

```

raise-exc :: exception => 'a Heap

```

where

```

[code func del]: raise-exc e = raise []

```

lemma *raise-raise-exc* [code func, code inline]:

```

raise s = raise-exc (Fail (STR s))

```

<proof>

```

hide (open) const Fail raise-exc

```

32.4.2 SML

```

code-type Heap (SML unit/ ->/ -)
code-const Heap (SML raise/ (Fail/ bare Heap))
code-monad run op >>= return () SML
code-const run (SML -)
code-const return (SML (fn/ ()/ =>/ -))
code-const Heap-Monad.Fail (SML Fail)
code-const Heap-Monad.raise-exc (SML (fn/ ()/ =>/ raise/ -))

```

32.4.3 OCaml

```

code-type Heap (OCaml -)
code-const Heap (OCaml failwith/ bare Heap)
code-monad run op >>= return () OCaml
code-const run (OCaml -)
code-const return (OCaml (fn/ ()/ =>/ -))
code-const Heap-Monad.Fail (OCaml Failure)
code-const Heap-Monad.raise-exc (OCaml (fn/ ()/ =>/ raise/ -))

```

```

code-reserved OCaml Failure raise

```

32.4.4 Haskell

Adaption layer

```

code-include Haskell STMonad
  <<import qualified Control.Monad;
  import qualified Control.Monad.ST;
  import qualified Data.STRef;
  import qualified Data.Array.ST;

  type ST s a = Control.Monad.ST.ST s a;
  type STRef s a = Data.STRef.STRef s a;
  type STArray s a = Data.Array.ST.STArray s Integer a;

  runST :: (forall s. ST s a) -> a;
  runST s = Control.Monad.ST.runST s;

  newSTRef = Data.STRef.newSTRef;
  readSTRef = Data.STRef.readSTRef;
  writeSTRef = Data.STRef.writeSTRef;

  newArray :: (Integer, Integer) -> a -> ST s (STArray s a);
  newArray = Data.Array.ST.newArray;

  newListArray :: (Integer, Integer) -> [a] -> ST s (STArray s a);
  newListArray = Data.Array.ST.newListArray;

  length :: STArray s a -> ST s Integer;
  length a = Control.Monad.liftM snd (Data.Array.ST.getBounds a);

  readArray :: STArray s a -> Integer -> ST s a;
  readArray = Data.Array.ST.readArray;

  writeArray :: STArray s a -> Integer -> a -> ST s ();
  writeArray = Data.Array.ST.writeArray;>>

code-reserved Haskell ST STRef Array
  runST
  newSTRef readSTRef writeSTRef
  newArray newListArray bounds readArray writeArray

  Monad

code-type Heap (Haskell ST 's -)
code-const Heap (Haskell error bare Heap)
code-const evaluate (Haskell runST)
code-monad run op >>= Haskell
code-const return (Haskell return)
code-const Heap-Monad.Fail (Haskell -)
code-const Heap-Monad.raise-exc (Haskell error)

end

```

33 Array: Monadic arrays

```
theory Array
imports Heap-Monad Code-Index
begin
```

33.1 Primitives

definition

```
new :: nat ⇒ 'a::heap ⇒ 'a array Heap where
[code del]: new n x = Heap-Monad.heap (Heap.array n x)
```

definition

```
of-list :: 'a::heap list ⇒ 'a array Heap where
[code del]: of-list xs = Heap-Monad.heap (Heap.array-of-list xs)
```

definition

```
length :: 'a::heap array ⇒ nat Heap where
[code del]: length arr = Heap-Monad.heap (λh. (Heap.length arr h, h))
```

definition

```
nth :: 'a::heap array ⇒ nat ⇒ 'a Heap
where
[code del]: nth a i = (do len ← length a;
  (if i < len
    then Heap-Monad.heap (λh. (get-array a h ! i, h))
    else raise ("array lookup: index out of range"))
  done)
```

— FIXME adjunction for List theory

no-syntax

```
nth :: 'a list ⇒ nat ⇒ 'a (infixl ! 100)
```

abbreviation

```
nth-list :: 'a list ⇒ nat ⇒ 'a (infixl ! 100)
```

where

```
nth-list ≡ List.nth
```

definition

```
upd :: nat ⇒ 'a ⇒ 'a::heap array ⇒ 'a::heap array Heap
where
[code del]: upd i x a = (do len ← length a;
  (if i < len
    then Heap-Monad.heap (λh. (a, Heap.upd a i x h))
    else raise ("array update: index out of range"))
  done)
```

lemma upd-return:

```
upd i x a ≫ return a = upd i x a
⟨proof⟩
```

33.2 Derivates

definition

$map_entry :: nat \Rightarrow ('a::heap \Rightarrow 'a) \Rightarrow 'a\ array \Rightarrow 'a\ array\ Heap$

where

$map_entry\ i\ f\ a = (do$
 $\quad x \leftarrow nth\ a\ i;$
 $\quad upd\ i\ (f\ x)\ a$
 $\quad done)$

definition

$swap :: nat \Rightarrow 'a \Rightarrow 'a::heap\ array \Rightarrow 'a\ Heap$

where

$swap\ i\ x\ a = (do$
 $\quad y \leftarrow nth\ a\ i;$
 $\quad upd\ i\ x\ a;$
 $\quad return\ x$
 $\quad done)$

definition

$make :: nat \Rightarrow (nat \Rightarrow 'a::heap) \Rightarrow 'a\ array\ Heap$

where

$make\ n\ f = of_list\ (map\ f\ [0..<n])$

definition

$freeze :: 'a::heap\ array \Rightarrow 'a\ list\ Heap$

where

$freeze\ a = (do$
 $\quad n \leftarrow length\ a;$
 $\quad mapM\ (nth\ a)\ [0..<n]$
 $\quad done)$

definition

$map :: ('a::heap \Rightarrow 'a) \Rightarrow 'a\ array \Rightarrow 'a\ array\ Heap$

where

$map\ f\ a = (do$
 $\quad n \leftarrow length\ a;$
 $\quad foldM\ (\lambda n. map_entry\ n\ f)\ [0..<n]\ a$
 $\quad done)$

hide (open) *const new map* — avoid clashed with some popular names

33.3 Properties

lemma *array-make* [code func]:

$Array.new\ n\ x = make\ n\ (\lambda -. x)$
 $\langle proof \rangle$

lemma *array-of-list-make* [code func]:

$of_list\ xs = make\ (List.length\ xs)\ (\lambda n. xs\ !\ n)$

<proof>

33.4 Code generator setup

33.4.1 Logical intermediate layer

definition *new'* **where**

[code del]: *new'* = *Array.new* o *nat-of-index*

hide (open) *const new'*

lemma [code func]:

Array.new = *Array.new'* o *index-of-nat*

<proof>

definition *of-list'* **where**

[code del]: *of-list' i xs* = *Array.of-list* (*take* (*nat-of-index i*) *xs*)

hide (open) *const of-list'*

lemma [code func]:

Array.of-list xs = *Array.of-list'* (*index-of-nat* (*List.length xs*)) *xs*

<proof>

definition *make'* **where**

[code del]: *make' i f* = *Array.make* (*nat-of-index i*) (*f* o *index-of-nat*)

hide (open) *const make'*

lemma [code func]:

Array.make n f = *Array.make'* (*index-of-nat n*) (*f* o *nat-of-index*)

<proof>

definition *length'* **where**

[code del]: *length'* = *Array.length* $\gg==$ *liftM index-of-nat*

hide (open) *const length'*

lemma [code func]:

Array.length = *Array.length'* $\gg==$ *liftM nat-of-index*

<proof>

definition *nth'* **where**

[code del]: *nth' a* = *Array.nth* *a* o *nat-of-index*

hide (open) *const nth'*

lemma [code func]:

Array.nth a n = *Array.nth'* *a* (*index-of-nat n*)

<proof>

definition *upd'* **where**

[code del]: *upd' a i x* = *Array.upd* (*nat-of-index i*) *x a* \gg *return* ()

hide (open) *const upd'*

lemma [code func]:

Array.upd i x a = *Array.upd'* *a* (*index-of-nat i*) *x* \gg *return a*

<proof>

33.4.2 SML

```

code-type array (SML -/ array)
code-const Array (SML raise/ (Fail/ bare Array))
code-const Array.new' (SML (fn/ ()/ =>/ Array.array/ ((-),/ (-))))
code-const Array.of-list (SML (fn/ ()/ =>/ Array.fromList/ -))
code-const Array.make' (SML (fn/ ()/ =>/ Array.tabulate/ ((-),/ (-))))
code-const Array.length' (SML (fn/ ()/ =>/ Array.length/ -))
code-const Array.nth' (SML (fn/ ()/ =>/ Array.sub/ ((-),/ (-))))
code-const Array.upd' (SML (fn/ ()/ =>/ Array.update/ ((-),/ (-),/ (-))))

```

code-reserved *SML Array*

33.4.3 OCaml

```

code-type array (OCaml -/ array)
code-const Array (OCaml failwith/ bare Array)
code-const Array.new' (OCaml (fn/ ()/ =>/ Array.make/ -/ -))
code-const Array.of-list (OCaml (fn/ ()/ =>/ Array.of'-list/ -))
code-const Array.make' (OCaml (fn/ ()/ =>/ Array.init/ -/ -))
code-const Array.length' (OCaml (fn/ ()/ =>/ Array.length/ -))
code-const Array.nth' (OCaml (fn/ ()/ =>/ Array.get/ -/ -))
code-const Array.upd' (OCaml (fn/ ()/ =>/ Array.set/ -/ -/ -))

```

code-reserved *OCaml Array*

33.4.4 Haskell

```

code-type array (Haskell STArray '-s -)
code-const Array (Haskell error/ bare Array)
code-const Array.new' (Haskell newArray/ (0,/ -))
code-const Array.of-list' (Haskell newListArray/ (0,/ -))
code-const Array.length' (Haskell length)
code-const Array.nth' (Haskell readArray)
code-const Array.upd' (Haskell writeArray)

```

end

34 Ref: Monadic references

```

theory Ref
imports Heap-Monad
begin

```

Imperative reference operations; modeled after their ML counterparts.

See <http://caml.inria.fr/pub/docs/manual-caml-light/node14.15.html> and <http://www.smlnj.org/doc/level-comparison.html>

34.1 Primitives

definition

$new :: 'a::heap \Rightarrow 'a \text{ ref } Heap$ **where**
 $[code\ del]: new\ v = Heap-Monad.heap\ (Heap.ref\ v)$

definition

$lookup :: 'a::heap\ ref \Rightarrow 'a\ Heap\ (!- 61)$ **where**
 $[code\ del]: lookup\ r = Heap-Monad.heap\ (\lambda h. (get-ref\ r\ h, h))$

definition

$update :: 'a\ ref \Rightarrow ('a::heap) \Rightarrow unit\ Heap\ (- := - 62)$ **where**
 $[code\ del]: update\ r\ e = Heap-Monad.heap\ (\lambda h. ((), set-ref\ r\ e\ h))$

34.2 Derivates

definition

$change :: ('a::heap \Rightarrow 'a) \Rightarrow 'a\ ref \Rightarrow 'a\ Heap$
where
 $change\ f\ r = (do\ x \leftarrow !\ r;$
 $\quad\quad\quad let\ y = f\ x;$
 $\quad\quad\quad r := y;$
 $\quad\quad\quad return\ y$
 $\quad done)$

hide (open) $const\ new\ lookup\ update\ change$

34.3 Properties

lemma *lookup-chain*:

$(!r \gg f) = f$
 $\langle proof \rangle$

lemma *update-change* $[code\ func]$:

$r := e = Ref.change\ (\lambda-. e)\ r \gg return\ ()$
 $\langle proof \rangle$

34.4 Code generator setup

34.4.1 SML

code-type $ref\ (SML\ -/\ ref)$
code-const $Ref\ (SML\ raise/\ (Fail/\ bare\ Ref))$
code-const $Ref.new\ (SML\ (fn/\ ()/\ ==>/ ref/\ -))$
code-const $Ref.lookup\ (SML\ (fn/\ ()/\ ==>/ !/\ -))$
code-const $Ref.update\ (SML\ (fn/\ ()/\ ==>/ -/\ :=/\ -))$
code-reserved $SML\ ref$

34.4.2 OCaml

```

code-type ref (OCaml -/ ref)
code-const Ref (OCaml failwith/ bare Ref))
code-const Ref.new (OCaml (fn/ ()/ =>/ ref/ -))
code-const Ref.lookup (OCaml (fn/ ()/ =>/ !/ -))
code-const Ref.update (OCaml (fn/ ()/ =>/ -/ :=/ -))

code-reserved OCaml ref

```

34.4.3 Haskell

```

code-type ref (Haskell STRef '-s -)
code-const Ref (Haskell error/ bare Ref)
code-const Ref.new (Haskell newSTRef)
code-const Ref.lookup (Haskell readSTRef)
code-const Ref.update (Haskell writeSTRef)

end

```

35 Imperative-HOL: Entry point into monadic imperative HOL

```

theory Imperative-HOL
imports Array Ref
begin

end

```

36 Infinite-Set: Infinite Sets and Related Concepts

```

theory Infinite-Set
imports ATP-Linkup
begin

```

36.1 Infinite Sets

Some elementary facts about infinite sets, mostly by Stefan Merz. Beware! Because “infinite” merely abbreviates a negation, these lemmas may not work well with *blast*.

```

abbreviation
  infinite :: 'a set  $\Rightarrow$  bool where
    infinite S ==  $\neg$  finite S

```

Infinite sets are non-empty, and if we remove some elements from an infinite set, the result is still infinite.

lemma *infinite-imp-nonempty*: $\text{infinite } S \implies S \neq \{\}$
 ⟨proof⟩

lemma *infinite-remove*:
 $\text{infinite } S \implies \text{infinite } (S - \{a\})$
 ⟨proof⟩

lemma *Diff-infinite-finite*:
assumes T : $\text{finite } T$ **and** S : $\text{infinite } S$
shows $\text{infinite } (S - T)$
 ⟨proof⟩

lemma *Un-infinite*: $\text{infinite } S \implies \text{infinite } (S \cup T)$
 ⟨proof⟩

lemma *infinite-super*:
assumes T : $S \subseteq T$ **and** S : $\text{infinite } S$
shows $\text{infinite } T$
 ⟨proof⟩

As a concrete example, we prove that the set of natural numbers is infinite.

lemma *finite-nat-bounded*:
assumes S : $\text{finite } (S::\text{nat set})$
shows $\exists k. S \subseteq \{.. (**is** $\exists k. ?\text{bounded } S \ k$)
 ⟨proof⟩$

lemma *finite-nat-iff-bounded*:
 $\text{finite } (S::\text{nat set}) = (\exists k. S \subseteq \{.. (**is** $?lhs = ?rhs$)
 ⟨proof⟩$

lemma *finite-nat-iff-bounded-le*:
 $\text{finite } (S::\text{nat set}) = (\exists k. S \subseteq \{..k\})$ (**is** $?lhs = ?rhs$)
 ⟨proof⟩

lemma *infinite-nat-iff-unbounded*:
 $\text{infinite } (S::\text{nat set}) = (\forall m. \exists n. m < n \wedge n \in S)$
 (**is** $?lhs = ?rhs$)
 ⟨proof⟩

lemma *infinite-nat-iff-unbounded-le*:
 $\text{infinite } (S::\text{nat set}) = (\forall m. \exists n. m \leq n \wedge n \in S)$
 (**is** $?lhs = ?rhs$)
 ⟨proof⟩

For a set of natural numbers to be infinite, it is enough to know that for any number larger than some k , there is some larger number that is an element of the set.

lemma *unbounded-k-infinite*:

assumes $k: \forall m. k < m \longrightarrow (\exists n. m < n \wedge n \in S)$
shows $\text{infinite } (S :: \text{nat set})$
 $\langle \text{proof} \rangle$

lemma $\text{nat-infinite [simp]: infinite (UNIV :: nat set)}$
 $\langle \text{proof} \rangle$

lemma $\text{nat-not-finite [elim]: finite (UNIV :: nat set) } \Longrightarrow R$
 $\langle \text{proof} \rangle$

Every infinite set contains a countable subset. More precisely we show that a set S is infinite if and only if there exists an injective function from the naturals into S .

lemma $\text{range-inj-infinite:}$
 $\text{inj } (f :: \text{nat} \Rightarrow 'a) \Longrightarrow \text{infinite } (\text{range } f)$
 $\langle \text{proof} \rangle$

lemma $\text{int-infinite [simp]:}$
shows $\text{infinite } (\text{UNIV} :: \text{int set})$
 $\langle \text{proof} \rangle$

The “only if” direction is harder because it requires the construction of a sequence of pairwise different elements of an infinite set S . The idea is to construct a sequence of non-empty and infinite subsets of S obtained by successively removing elements of S .

lemma linorder-injI:
assumes $\text{hyp: } !!x y. x < (y :: 'a :: \text{linorder}) \Longrightarrow f x \neq f y$
shows $\text{inj } f$
 $\langle \text{proof} \rangle$

lemma $\text{infinite-countable-subset:}$
assumes $\text{inf: infinite } (S :: 'a \text{ set})$
shows $\exists f. \text{inj } (f :: \text{nat} \Rightarrow 'a) \wedge \text{range } f \subseteq S$
 $\langle \text{proof} \rangle$

lemma $\text{infinite-iff-countable-subset:}$
 $\text{infinite } S = (\exists f. \text{inj } (f :: \text{nat} \Rightarrow 'a) \wedge \text{range } f \subseteq S)$
 $\langle \text{proof} \rangle$

For any function with infinite domain and finite range there is some element that is the image of infinitely many domain elements. In particular, any infinite sequence of elements from a finite set contains some element that occurs infinitely often.

lemma inf-img-fin-dom:
assumes $\text{img: finite } (f'A) \text{ and dom: infinite } A$
shows $\exists y \in f'A. \text{infinite } (f - \{y\})$
 $\langle \text{proof} \rangle$

lemma *inf-img-fin-domE*:
assumes *finite* ($f^{\ast}A$) **and** *infinite* A
obtains y **where** $y \in f^{\ast}A$ **and** *infinite* ($f -^{\ast} \{y\}$)
 $\langle \text{proof} \rangle$

36.2 Infinitely Many and Almost All

We often need to reason about the existence of infinitely many (resp., all but finitely many) objects satisfying some predicate, so we introduce corresponding binders and their proof rules.

definition

Inf-many $:: ('a \Rightarrow \text{bool}) \Rightarrow \text{bool}$ (**binder** *INFM* 10) **where**
Inf-many $P = \text{infinite } \{x. P\ x\}$

definition

Alm-all $:: ('a \Rightarrow \text{bool}) \Rightarrow \text{bool}$ (**binder** *MOST* 10) **where**
Alm-all $P = (\neg (\text{INFM } x. \neg P\ x))$

notation (*xsymbols*)

Inf-many (**binder** \exists_{∞} 10) **and**
Alm-all (**binder** \forall_{∞} 10)

notation (*HTML output*)

Inf-many (**binder** \exists_{∞} 10) **and**
Alm-all (**binder** \forall_{∞} 10)

lemma *INF-EX*:

$(\exists_{\infty} x. P\ x) \Longrightarrow (\exists x. P\ x)$
 $\langle \text{proof} \rangle$

lemma *MOST-iff-finiteNeg*: $(\forall_{\infty} x. P\ x) = \text{finite } \{x. \neg P\ x\}$
 $\langle \text{proof} \rangle$

lemma *ALL-MOST*: $\forall x. P\ x \Longrightarrow \forall_{\infty} x. P\ x$
 $\langle \text{proof} \rangle$

lemma *INF-mono*:

assumes *inf*: $\exists_{\infty} x. P\ x$ **and** *q*: $\bigwedge x. P\ x \Longrightarrow Q\ x$
shows $\exists_{\infty} x. Q\ x$
 $\langle \text{proof} \rangle$

lemma *MOST-mono*: $\forall_{\infty} x. P\ x \Longrightarrow (\bigwedge x. P\ x \Longrightarrow Q\ x) \Longrightarrow \forall_{\infty} x. Q\ x$
 $\langle \text{proof} \rangle$

lemma *INF-nat*: $(\exists_{\infty} n. P\ (n::\text{nat})) = (\forall m. \exists n. m < n \wedge P\ n)$
 $\langle \text{proof} \rangle$

lemma *INF-nat-le*: $(\exists_{\infty} n. P\ (n::\text{nat})) = (\forall m. \exists n. m \leq n \wedge P\ n)$
 $\langle \text{proof} \rangle$

lemma *MOST-nat*: $(\forall_{\infty} n. P (n::nat)) = (\exists m. \forall n. m < n \longrightarrow P n)$
 $\langle proof \rangle$

lemma *MOST-nat-le*: $(\forall_{\infty} n. P (n::nat)) = (\exists m. \forall n. m \leq n \longrightarrow P n)$
 $\langle proof \rangle$

36.3 Enumeration of an Infinite Set

The set’s element type must be wellordered (e.g. the natural numbers).

consts

enumerate :: ‘a::wellorder set => (nat => ‘a::wellorder)

primrec

enumerate-0: *enumerate* *S* 0 = (*LEAST* *n*. *n* ∈ *S*)

enumerate-Suc: *enumerate* *S* (*Suc* *n*) = *enumerate* (*S* − {*LEAST* *n*. *n* ∈ *S*}) *n*

lemma *enumerate-Suc'*:

enumerate *S* (*Suc* *n*) = *enumerate* (*S* − {*enumerate* *S* 0}) *n*

$\langle proof \rangle$

lemma *enumerate-in-set*: *infinite* *S* \implies *enumerate* *S* *n* : *S*

$\langle proof \rangle$

declare *enumerate-0* [simp del] *enumerate-Suc* [simp del]

lemma *enumerate-step*: *infinite* *S* \implies *enumerate* *S* *n* < *enumerate* *S* (*Suc* *n*)

$\langle proof \rangle$

lemma *enumerate-mono*: *m* < *n* \implies *infinite* *S* \implies *enumerate* *S* *m* < *enumerate* *S* *n*

$\langle proof \rangle$

36.4 Miscellaneous

A few trivial lemmas about sets that contain at most one element. These simplify the reasoning about deterministic automata.

definition

atmost-one :: ‘a set \Rightarrow bool **where**

atmost-one *S* = $(\forall x y. x \in S \wedge y \in S \longrightarrow x = y)$

lemma *atmost-one-empty*: *S* = {} \implies *atmost-one* *S*

$\langle proof \rangle$

lemma *atmost-one-singleton*: *S* = {*x*} \implies *atmost-one* *S*

$\langle proof \rangle$

lemma *atmost-one-unique* [elim]: *atmost-one* *S* \implies *x* ∈ *S* \implies *y* ∈ *S* \implies *y* = *x*

$\langle proof \rangle$

end

37 ListVector: Lists as vectors

```
theory ListVector
imports Main
begin
```

A vector-space like structure of lists and arithmetic operations on them. Is only a vector space if restricted to lists of the same length.

Multiplication with a scalar:

```
abbreviation scale :: ('a::times)  $\Rightarrow$  'a list  $\Rightarrow$  'a list (infix *s 70)
where x *s xs  $\equiv$  map (op * x) xs
```

```
lemma scale1[simp]: (1::'a::monoid-mult) *s xs = xs
<proof>
```

37.1 + and −

```
fun zipwith0 :: ('a::zero  $\Rightarrow$  'b::zero  $\Rightarrow$  'c)  $\Rightarrow$  'a list  $\Rightarrow$  'b list  $\Rightarrow$  'c list
where
  zipwith0 f [] [] = [] |
  zipwith0 f (x#xs) (y#ys) = f x y # zipwith0 f xs ys |
  zipwith0 f (x#xs) [] = f x 0 # zipwith0 f xs [] |
  zipwith0 f [] (y#ys) = f 0 y # zipwith0 f [] ys
```

```
instance list :: ({zero,plus})plus
list-add-def: op +  $\equiv$  zipwith0 (op +) <proof>
```

```
instance list :: ({zero,uminus})uminus
list-uminus-def: uminus  $\equiv$  map uminus <proof>
```

```
instance list :: ({zero,minus})minus
list-diff-def: op −  $\equiv$  zipwith0 (op −) <proof>
```

```
lemma zipwith0-Nil[simp]: zipwith0 f [] ys = map (f 0) ys
<proof>
```

```
lemma list-add-Nil[simp]: [] + xs = (xs::'a::monoid-add list)
<proof>
```

```
lemma list-add-Nil2[simp]: xs + [] = (xs::'a::monoid-add list)
<proof>
```

```
lemma list-add-Cons[simp]: (x#xs) + (y#ys) = (x+y)#(xs+ys)
```


$\langle \text{proof} \rangle$

lemma *list-diff-Nil[simp]*: $[] - xs = -(xs :: 'a :: \text{group-add list})$
 $\langle \text{proof} \rangle$

lemma *list-diff-Nil2[simp]*: $xs - [] = (xs :: 'a :: \text{group-add list})$
 $\langle \text{proof} \rangle$

lemma *list-diff-Cons-Cons[simp]*: $(x \# xs) - (y \# ys) = (x - y) \# (xs - ys)$
 $\langle \text{proof} \rangle$

lemma *list-uminus-Cons[simp]*: $-(x \# xs) = (-x) \# (-xs)$
 $\langle \text{proof} \rangle$

lemma *self-list-diff*:
 $xs - xs = \text{replicate } (\text{length}(xs :: 'a :: \text{group-add list})) \ 0$
 $\langle \text{proof} \rangle$

lemma *list-add-assoc*: **fixes** $xs :: 'a :: \text{monoid-add list}$
shows $(xs + ys) + zs = xs + (ys + zs)$
 $\langle \text{proof} \rangle$

37.2 Inner product

definition *iprod* :: $'a :: \text{ring list} \Rightarrow 'a \text{ list} \Rightarrow 'a \ (\langle -, - \rangle)$ **where**
 $\langle xs, ys \rangle = (\sum (x, y) \leftarrow \text{zip } xs \ ys. \ x * y)$

lemma *iprod-Nil[simp]*: $\langle [], ys \rangle = 0$
 $\langle \text{proof} \rangle$

lemma *iprod-Nil2[simp]*: $\langle xs, [] \rangle = 0$
 $\langle \text{proof} \rangle$

lemma *iprod-Cons[simp]*: $\langle x \# xs, y \# ys \rangle = x * y + \langle xs, ys \rangle$
 $\langle \text{proof} \rangle$

lemma *iprod0-if-coeffs0*: $\forall c \in \text{set } cs. \ c = 0 \implies \langle cs, xs \rangle = 0$
 $\langle \text{proof} \rangle$

lemma *iprod-uminus[simp]*: $\langle -xs, ys \rangle = -\langle xs, ys \rangle$
 $\langle \text{proof} \rangle$

lemma *iprod-left-add-distrib*: $\langle xs + ys, zs \rangle = \langle xs, zs \rangle + \langle ys, zs \rangle$
 $\langle \text{proof} \rangle$

lemma *iprod-left-diff-distrib*: $\langle xs - ys, zs \rangle = \langle xs, zs \rangle - \langle ys, zs \rangle$
 $\langle \text{proof} \rangle$

lemma *iprod-assoc*: $\langle x *_s xs, ys \rangle = x * \langle xs, ys \rangle$

<proof>

end

38 Multiset: Multisets

theory *Multiset*
imports *List*
begin

38.1 The type of multisets

typedef *'a multiset* = $\{f :: 'a \Rightarrow \text{nat. finite } \{x . f\ x > 0\}\}$
<proof>

lemmas *multiset-typedef* [*simp*] =
Abs-multiset-inverse Rep-multiset-inverse Rep-multiset
and [*simp*] = *Rep-multiset-inject* [*symmetric*]

definition

Mempty :: *'a multiset* ($\{\#\}$) **where**
 $\{\#\} = \text{Abs-multiset } (\lambda a. 0)$

definition

single :: *'a* \Rightarrow *'a multiset* **where**
 $\text{single } a = \text{Abs-multiset } (\lambda b. \text{if } b = a \text{ then } 1 \text{ else } 0)$

declare

Mempty-def[*code func del*] *single-def*[*code func del*]

definition

count :: *'a multiset* \Rightarrow *'a* \Rightarrow *nat* **where**
 $\text{count} = \text{Rep-multiset}$

definition

MCollect :: *'a multiset* \Rightarrow (*'a* \Rightarrow *bool*) \Rightarrow *'a multiset* **where**
 $\text{MCollect } M\ P = \text{Abs-multiset } (\lambda x. \text{if } P\ x \text{ then } \text{Rep-multiset } M\ x \text{ else } 0)$

abbreviation

Melem :: *'a* \Rightarrow *'a multiset* \Rightarrow *bool* $((-/ : \# \ -) [50, 51] 50)$ **where**
 $a : \# M == 0 < \text{count } M\ a$

notation (*xsymbols*)

Melem (**infix** $\in \#$ 50)

syntax

$\text{-MCollect} :: \text{pttrn} \Rightarrow 'a\ \text{multiset} \Rightarrow \text{bool} \Rightarrow 'a\ \text{multiset} \quad ((1\ \{\# \ - : \# \ - / \ - \# \}))$

translations

$\{\#x : \# M. P\# \} == \text{CONST } \text{MCollect } M\ (\lambda x. P)$

definition

set-of :: 'a multiset ==> 'a set **where**
set-of M = {x. x :# M}

instantiation *multiset* :: (type) {plus, minus, zero, size}
begin

definition

union-def[code func del]:
M + N = Abs-multiset (λa. Rep-multiset M a + Rep-multiset N a)

definition

diff-def: M - N = Abs-multiset (λa. Rep-multiset M a - Rep-multiset N a)

definition

Zero-multiset-def [simp]: 0 = {#}

definition

size-def[code func del]: size M = setsum (count M) (set-of M)

instance <proof>

end

definition

multiset-inter :: 'a multiset ==> 'a multiset ==> 'a multiset (**infixl** #∩ 70) **where**
multiset-inter A B = A - (A - B)

Multiset Enumeration

syntax

-multiset :: args ==> 'a multiset ({#(-)#})

translations

{#x, xs#} == {#x#} + {#xs#}
{#x#} == CONST single x

Preservation of the representing set *multiset*.

lemma *const0-in-multiset*: (λa. 0) ∈ multiset
<proof>

lemma *only1-in-multiset*: (λb. if b = a then 1 else 0) ∈ multiset
<proof>

lemma *union-preserves-multiset*:

M ∈ multiset ==> N ∈ multiset ==> (λa. M a + N a) ∈ multiset
<proof>

lemma *diff-preserves-multiset*:

M ∈ multiset ==> (λa. M a - N a) ∈ multiset

$\langle proof \rangle$

lemma *MCollect-preserves-multiset*:

$M \in multiset ==> (\lambda x. \text{if } P \ x \text{ then } M \ x \text{ else } 0) \in multiset$
 $\langle proof \rangle$

lemmas *in-multiset = const0-in-multiset only1-in-multiset*

union-preserves-multiset diff-preserves-multiset MCollect-preserves-multiset

38.2 Algebraic properties

38.2.1 Union

lemma *union-empty [simp]*: $M + \{\#\} = M \wedge \{\#\} + M = M$
 $\langle proof \rangle$

lemma *union-commute*: $M + N = N + (M::'a \text{ multiset})$
 $\langle proof \rangle$

lemma *union-assoc*: $(M + N) + K = M + (N + (K::'a \text{ multiset}))$
 $\langle proof \rangle$

lemma *union-lcomm*: $M + (N + K) = N + (M + (K::'a \text{ multiset}))$
 $\langle proof \rangle$

lemmas *union-ac = union-assoc union-commute union-lcomm*

instance *multiset :: (type) comm-monoid-add*
 $\langle proof \rangle$

38.2.2 Difference

lemma *diff-empty [simp]*: $M - \{\#\} = M \wedge \{\#\} - M = \{\#\}$
 $\langle proof \rangle$

lemma *diff-union-inverse2 [simp]*: $M + \{\#a\# \} - \{\#a\# \} = M$
 $\langle proof \rangle$

lemma *diff-cancel*: $A - A = \{\#\}$
 $\langle proof \rangle$

38.2.3 Count of elements

lemma *count-empty [simp]*: $\text{count } \{\#\} \ a = 0$
 $\langle proof \rangle$

lemma *count-single [simp]*: $\text{count } \{\#b\# \} \ a = (\text{if } b = a \text{ then } 1 \text{ else } 0)$
 $\langle proof \rangle$

lemma *count-union [simp]*: $\text{count } (M + N) \ a = \text{count } M \ a + \text{count } N \ a$

$\langle proof \rangle$

lemma *count-diff* [simp]: $count\ (M - N)\ a = count\ M\ a - count\ N\ a$
 $\langle proof \rangle$

lemma *count-MCollect* [simp]:
 $count\ \{\# x:\#M. P\ x\ \#\}\ a = (if\ P\ a\ then\ count\ M\ a\ else\ 0)$
 $\langle proof \rangle$

38.2.4 Set of elements

lemma *set-of-empty* [simp]: $set-of\ \{\#\} = \{\}$
 $\langle proof \rangle$

lemma *set-of-single* [simp]: $set-of\ \{\#b\#\} = \{b\}$
 $\langle proof \rangle$

lemma *set-of-union* [simp]: $set-of\ (M + N) = set-of\ M \cup set-of\ N$
 $\langle proof \rangle$

lemma *set-of-eq-empty-iff* [simp]: $(set-of\ M = \{\}) = (M = \{\# \})$
 $\langle proof \rangle$

lemma *mem-set-of-iff* [simp]: $(x \in set-of\ M) = (x :\# M)$
 $\langle proof \rangle$

lemma *set-of-MCollect* [simp]: $set-of\ \{\# x:\#M. P\ x\ \#\} = set-of\ M \cap \{x. P\ x\}$
 $\langle proof \rangle$

38.2.5 Size

lemma *size-empty* [simp,code func]: $size\ \{\#\} = 0$
 $\langle proof \rangle$

lemma *size-single* [simp,code func]: $size\ \{\#b\#\} = 1$
 $\langle proof \rangle$

lemma *finite-set-of* [iff]: $finite\ (set-of\ M)$
 $\langle proof \rangle$

lemma *setsum-count-Int*:
 $finite\ A ==> setsum\ (count\ N)\ (A \cap set-of\ N) = setsum\ (count\ N)\ A$
 $\langle proof \rangle$

lemma *size-union*[simp,code func]: $size\ (M + N::'a\ multiset) = size\ M + size\ N$
 $\langle proof \rangle$

lemma *size-eq-0-iff-empty* [iff]: $(size\ M = 0) = (M = \{\# \})$
 $\langle proof \rangle$

lemma *nonempty-has-size*: $(S \neq \{\#\}) = (0 < \text{size } S)$
 $\langle \text{proof} \rangle$

lemma *size-eq-Suc-imp-elem*: $\text{size } M = \text{Suc } n \implies \exists a. a : \# M$
 $\langle \text{proof} \rangle$

38.2.6 Equality of multisets

lemma *multiset-eq-conv-count-eq*: $(M = N) = (\forall a. \text{count } M \ a = \text{count } N \ a)$
 $\langle \text{proof} \rangle$

lemma *single-not-empty* [simp]: $\{\#a\# \neq \{\#\} \wedge \{\#\} \neq \{\#a\# \}$
 $\langle \text{proof} \rangle$

lemma *single-eq-single* [simp]: $(\{\#a\# = \{\#b\# \}) = (a = b)$
 $\langle \text{proof} \rangle$

lemma *union-eq-empty* [iff]: $(M + N = \{\#\}) = (M = \{\#\} \wedge N = \{\#\})$
 $\langle \text{proof} \rangle$

lemma *empty-eq-union* [iff]: $(\{\#\} = M + N) = (M = \{\#\} \wedge N = \{\#\})$
 $\langle \text{proof} \rangle$

lemma *union-right-cancel* [simp]: $(M + K = N + K) = (M = (N :: 'a \text{ multiset}))$
 $\langle \text{proof} \rangle$

lemma *union-left-cancel* [simp]: $(K + M = K + N) = (M = (N :: 'a \text{ multiset}))$
 $\langle \text{proof} \rangle$

lemma *union-is-single*:
 $(M + N = \{\#a\# \}) = (M = \{\#a\# \} \wedge N = \{\#\} \vee M = \{\#\} \wedge N = \{\#a\# \})$
 $\langle \text{proof} \rangle$

lemma *single-is-union*:
 $(\{\#a\# = M + N) \longleftrightarrow (\{\#a\# = M \wedge N = \{\#\} \vee M = \{\#\} \wedge \{\#a\# = N)$
 $\langle \text{proof} \rangle$

lemma *add-eq-conv-diff*:
 $(M + \{\#a\# = N + \{\#b\# \}) =$
 $(M = N \wedge a = b \vee M = N - \{\#a\# + \{\#b\# \} \wedge N = M - \{\#b\# +$
 $\{\#a\# \})$
 $\langle \text{proof} \rangle$

declare *Rep-multiset-inject* [symmetric, simp del]

instance *multiset* :: (type) cancel-ab-semigroup-add
 $\langle \text{proof} \rangle$

lemma *insert-DiffM*:

$x \in\# M \implies \{\#x\# \} + (M - \{\#x\# \}) = M$
 $\langle proof \rangle$

lemma *insert-DiffM2[simp]*:

$x \in\# M \implies M - \{\#x\# \} + \{\#x\# \} = M$
 $\langle proof \rangle$

lemma *multi-union-self-other-eq*:

$(A::'a \text{ multiset}) + X = A + Y \implies X = Y$
 $\langle proof \rangle$

lemma *multi-self-add-other-not-self[simp]*: $(A = A + \{\#x\# \}) = False$

$\langle proof \rangle$

lemma *insert-noteq-member*:

assumes BC : $B + \{\#b\# \} = C + \{\#c\# \}$
and $bnotc$: $b \neq c$
shows $c \in\# B$
 $\langle proof \rangle$

lemma *add-eq-conv-ex*:

$(M + \{\#a\# \} = N + \{\#b\# \}) =$
 $(M = N \wedge a = b \vee (\exists K. M = K + \{\#b\# \} \wedge N = K + \{\#a\# \}))$
 $\langle proof \rangle$

lemma *empty-multiset-count*:

$(\forall x. \text{count } A \ x = 0) = (A = \{\#\})$
 $\langle proof \rangle$

38.2.7 Intersection

lemma *multiset-inter-count*:

$\text{count } (A \ \#\cap B) \ x = \min (\text{count } A \ x) (\text{count } B \ x)$
 $\langle proof \rangle$

lemma *multiset-inter-commute*: $A \ \#\cap B = B \ \#\cap A$

$\langle proof \rangle$

lemma *multiset-inter-assoc*: $A \ \#\cap (B \ \#\cap C) = A \ \#\cap B \ \#\cap C$

$\langle proof \rangle$

lemma *multiset-inter-left-commute*: $A \ \#\cap (B \ \#\cap C) = B \ \#\cap (A \ \#\cap C)$

$\langle proof \rangle$

lemmas *multiset-inter-ac =*

multiset-inter-commute

multiset-inter-assoc
multiset-inter-left-commute

lemma *multiset-inter-single*: $a \neq b \implies \{\#a\# \} \# \cap \{\#b\# \} = \{\#\}$
 $\langle \text{proof} \rangle$

lemma *multiset-union-diff-commute*: $B \# \cap C = \{\#\} \implies A + B - C = A - C + B$
 $\langle \text{proof} \rangle$

38.2.8 Comprehension (filter)

lemma *MCollect-empty*[*simp*, *code func*]: $MCollect \ \{\#\} \ P = \{\#\}$
 $\langle \text{proof} \rangle$

lemma *MCollect-single*[*simp*, *code func*]:
 $MCollect \ \{\#x\# \} \ P = (\text{if } P \ x \text{ then } \{\#x\# \} \text{ else } \{\#\})$
 $\langle \text{proof} \rangle$

lemma *MCollect-union*[*simp*, *code func*]:
 $MCollect \ (M+N) \ f = MCollect \ M \ f + MCollect \ N \ f$
 $\langle \text{proof} \rangle$

38.3 Induction and case splits

lemma *setsum-decr*:
 $\text{finite } F \implies (0::nat) < f \ a \implies$
 $\text{setsum } (f \ (a := f \ a - 1)) \ F = (\text{if } a \in F \text{ then } \text{setsum } f \ F - 1 \text{ else } \text{setsum } f \ F)$
 $\langle \text{proof} \rangle$

lemma *rep-multiset-induct-aux*:
assumes 1: $P \ (\lambda a. \ (0::nat))$
and 2: $\forall b. f \in \text{multiset} \implies P \ f \implies P \ (f \ (b := f \ b + 1))$
shows $\forall f. f \in \text{multiset} \ \longrightarrow \ \text{setsum } f \ \{x. f \ x \neq 0\} = n \ \longrightarrow \ P \ f$
 $\langle \text{proof} \rangle$

theorem *rep-multiset-induct*:
 $f \in \text{multiset} \implies P \ (\lambda a. \ 0) \implies$
 $(\forall b. f \in \text{multiset} \implies P \ f \implies P \ (f \ (b := f \ b + 1))) \implies P \ f$
 $\langle \text{proof} \rangle$

theorem *multiset-induct* [*case-names empty add*, *induct type: multiset*]:
assumes *empty*: $P \ \{\#\}$
and *add*: $\forall M \ x. P \ M \implies P \ (M + \{\#x\# \})$
shows $P \ M$
 $\langle \text{proof} \rangle$

lemma *multi-nonempty-split*: $M \neq \{\#\} \implies \exists A \ a. M = A + \{\#a\# \}$
 $\langle \text{proof} \rangle$

lemma *multiset-cases* [*cases type, case-names empty add*]:
assumes *em*: $M = \{\#\} \implies P$
assumes *add*: $\bigwedge N x. M = N + \{\#x\# \} \implies P$
shows P
 $\langle \text{proof} \rangle$

lemma *multi-member-split*: $x \in\# M \implies \exists A. M = A + \{\#x\# \}$
 $\langle \text{proof} \rangle$

lemma *multiset-partition*: $M = \{\# x:\#M. P x \#\} + \{\# x:\#M. \neg P x \#\}$
 $\langle \text{proof} \rangle$

declare *multiset-typedef* [*simp del*]

lemma *multi-drop-mem-not-eq*: $c \in\# B \implies B - \{\#c\# \} \neq B$
 $\langle \text{proof} \rangle$

38.4 Orderings

38.4.1 Well-foundedness

definition

mult1 :: (*'a* \times *'a*) *set* ==> (*'a multiset* \times *'a multiset*) *set* **where**
mult1 *r* =
 $\{(N, M). \exists a M0 K. M = M0 + \{\#a\# \} \wedge N = M0 + K \wedge$
 $(\forall b. b :\# K \longrightarrow (b, a) \in r)\}$

definition

mult :: (*'a* \times *'a*) *set* ==> (*'a multiset* \times *'a multiset*) *set* **where**
mult *r* = (*mult1* *r*)⁺

lemma *not-less-empty* [*iff*]: $(M, \{\#\}) \notin \text{mult1 } r$
 $\langle \text{proof} \rangle$

lemma *less-add*: $(N, M0 + \{\#a\# \}) \in \text{mult1 } r \implies$
 $(\exists M. (M, M0) \in \text{mult1 } r \wedge N = M + \{\#a\# \}) \vee$
 $(\exists K. (\forall b. b :\# K \longrightarrow (b, a) \in r) \wedge N = M0 + K)$
 $(\text{is } \implies ?\text{case1 } (\text{mult1 } r) \vee ?\text{case2})$
 $\langle \text{proof} \rangle$

lemma *all-accessible*: $\text{wf } r \implies \forall M. M \in \text{acc } (\text{mult1 } r)$
 $\langle \text{proof} \rangle$

theorem *wf-mult1*: $\text{wf } r \implies \text{wf } (\text{mult1 } r)$
 $\langle \text{proof} \rangle$

theorem *wf-mult*: $\text{wf } r \implies \text{wf } (\text{mult } r)$
 $\langle \text{proof} \rangle$

38.4.2 Closure-free presentation

lemma *diff-union-single-conv*: $a : \# J \implies I + J - \{\#a\} = I + (J - \{\#a\})$
 $\langle \text{proof} \rangle$

One direction.

lemma *mult-implies-one-step*:
 $\text{trans } r \implies (M, N) \in \text{mult } r \implies$
 $\exists I J K. N = I + J \wedge M = I + K \wedge J \neq \{\#\} \wedge$
 $(\forall k \in \text{set-of } K. \exists j \in \text{set-of } J. (k, j) \in r)$
 $\langle \text{proof} \rangle$

lemma *elem-imp-eq-diff-union*: $a : \# M \implies M = M - \{\#a\} + \{\#a\}$
 $\langle \text{proof} \rangle$

lemma *size-eq-Suc-imp-eq-union*: $\text{size } M = \text{Suc } n \implies \exists a N. M = N + \{\#a\}$
 $\langle \text{proof} \rangle$

lemma *one-step-implies-mult-aux*:
 $\text{trans } r \implies$
 $\forall I J K. (\text{size } J = n \wedge J \neq \{\#\} \wedge (\forall k \in \text{set-of } K. \exists j \in \text{set-of } J. (k, j) \in r))$
 $\longrightarrow (I + K, I + J) \in \text{mult } r$
 $\langle \text{proof} \rangle$

lemma *one-step-implies-mult*:
 $\text{trans } r \implies J \neq \{\#\} \implies \forall k \in \text{set-of } K. \exists j \in \text{set-of } J. (k, j) \in r$
 $\implies (I + K, I + J) \in \text{mult } r$
 $\langle \text{proof} \rangle$

38.4.3 Partial-order properties

instantiation *multiset* :: (order) order
begin

definition

less-multiset-def: $M' < M \longleftrightarrow (M', M) \in \text{mult } \{(x', x). x' < x\}$

definition

le-multiset-def: $M' \leq M \longleftrightarrow M' = M \vee M' < (M :: 'a \text{ multiset})$

lemma *trans-base-order*: $\text{trans } \{(x', x). x' < (x :: 'a :: \text{order})\}$
 $\langle \text{proof} \rangle$

Irreflexivity.

lemma *mult-irrefl-aux*:
 $\text{finite } A \implies (\forall x \in A. \exists y \in A. x < (y :: 'a :: \text{order})) \implies A = \{\}$
 $\langle \text{proof} \rangle$

lemma *mult-less-not-refl*: $\neg M < (M :: 'a :: \text{order multiset})$
 $\langle \text{proof} \rangle$

lemma *mult-less-irrefl* [*elim!*]: $M < (M::'a::\text{order multiset}) \implies R$
 $\langle \text{proof} \rangle$

Transitivity.

theorem *mult-less-trans*: $K < M \implies M < N \implies K < (N::'a::\text{order multiset})$
 $\langle \text{proof} \rangle$

Asymmetry.

theorem *mult-less-not-sym*: $M < N \implies \neg N < (M::'a::\text{order multiset})$
 $\langle \text{proof} \rangle$

theorem *mult-less-asym*:
 $M < N \implies (\neg P \implies N < (M::'a::\text{order multiset})) \implies P$
 $\langle \text{proof} \rangle$

theorem *mult-le-refl* [*iff*]: $M \leq (M::'a::\text{order multiset})$
 $\langle \text{proof} \rangle$

Anti-symmetry.

theorem *mult-le-antisym*:
 $M \leq N \implies N \leq M \implies M = (N::'a::\text{order multiset})$
 $\langle \text{proof} \rangle$

Transitivity.

theorem *mult-le-trans*:
 $K \leq M \implies M \leq N \implies K \leq (N::'a::\text{order multiset})$
 $\langle \text{proof} \rangle$

theorem *mult-less-le*: $(M < N) = (M \leq N \wedge M \neq (N::'a::\text{order multiset}))$
 $\langle \text{proof} \rangle$

instance
 $\langle \text{proof} \rangle$

end

38.4.4 Monotonicity of multiset union

lemma *mult1-union*:
 $(B, D) \in \text{mult1 } r \implies \text{trans } r \implies (C + B, C + D) \in \text{mult1 } r$
 $\langle \text{proof} \rangle$

lemma *union-less-mono2*: $B < D \implies C + B < C + (D::'a::\text{order multiset})$
 $\langle \text{proof} \rangle$

lemma *union-less-mono1*: $B < D \implies B + C < D + (C::'a::\text{order multiset})$
 $\langle \text{proof} \rangle$

lemma *union-less-mono*:

$A < C \implies B < D \implies A + B < C + (D :: 'a :: \text{order multiset})$
 $\langle \text{proof} \rangle$

lemma *union-le-mono*:

$A \leq C \implies B \leq D \implies A + B \leq C + (D :: 'a :: \text{order multiset})$
 $\langle \text{proof} \rangle$

lemma *empty-leI* [iff]: $\{\#\} \leq (M :: 'a :: \text{order multiset})$

$\langle \text{proof} \rangle$

lemma *union-upper1*: $A \leq A + (B :: 'a :: \text{order multiset})$

$\langle \text{proof} \rangle$

lemma *union-upper2*: $B \leq A + (B :: 'a :: \text{order multiset})$

$\langle \text{proof} \rangle$

instance *multiset* :: (order) pordered-ab-semigroup-add

$\langle \text{proof} \rangle$

38.5 Link with lists

primrec *multiset-of* :: 'a list \Rightarrow 'a multiset **where**

$\text{multiset-of } [] = \{\#\}$ |
 $\text{multiset-of } (a \# x) = \text{multiset-of } x + \{\# a \#\}$

lemma *multiset-of-zero-iff*[simp]: $(\text{multiset-of } x = \{\#\}) = (x = [])$

$\langle \text{proof} \rangle$

lemma *multiset-of-zero-iff-right*[simp]: $(\{\#\} = \text{multiset-of } x) = (x = [])$

$\langle \text{proof} \rangle$

lemma *set-of-multiset-of*[simp]: $\text{set-of}(\text{multiset-of } x) = \text{set } x$

$\langle \text{proof} \rangle$

lemma *mem-set-multiset-eq*: $x \in \text{set } xs = (x : \# \text{ multiset-of } xs)$

$\langle \text{proof} \rangle$

lemma *multiset-of-append* [simp]:

$\text{multiset-of } (xs @ ys) = \text{multiset-of } xs + \text{multiset-of } ys$
 $\langle \text{proof} \rangle$

lemma *surj-multiset-of*: *surj multiset-of*

$\langle \text{proof} \rangle$

lemma *set-count-greater-0*: $\text{set } x = \{a. \text{count } (\text{multiset-of } x) a > 0\}$

$\langle \text{proof} \rangle$

lemma *distinct-count-atmost-1*:

$distinct\ x = (!\ a.\ count\ (multiset-of\ x)\ a = (if\ a \in set\ x\ then\ 1\ else\ 0))$
 $\langle proof \rangle$

lemma *multiset-of-eq-setD*:
 $multiset-of\ xs = multiset-of\ ys \implies set\ xs = set\ ys$
 $\langle proof \rangle$

lemma *set-eq-iff-multiset-of-eq-distinct*:
 $distinct\ x \implies distinct\ y \implies$
 $(set\ x = set\ y) = (multiset-of\ x = multiset-of\ y)$
 $\langle proof \rangle$

lemma *set-eq-iff-multiset-of-remdups-eq*:
 $(set\ x = set\ y) = (multiset-of\ (remdups\ x) = multiset-of\ (remdups\ y))$
 $\langle proof \rangle$

lemma *multiset-of-compl-union [simp]*:
 $multiset-of\ [x \leftarrow xs.\ P\ x] + multiset-of\ [x \leftarrow xs.\ \neg P\ x] = multiset-of\ xs$
 $\langle proof \rangle$

lemma *count-filter*:
 $count\ (multiset-of\ xs)\ x = length\ [y \leftarrow xs.\ y = x]$
 $\langle proof \rangle$

lemma *nth-mem-multiset-of*: $i < length\ ls \implies (ls\ !\ i) :\# multiset-of\ ls$
 $\langle proof \rangle$

lemma *multiset-of-remove1*: $multiset-of\ (remove1\ a\ xs) = multiset-of\ xs - \{\#a\# \}$
 $\langle proof \rangle$

lemma *multiset-of-eq-length*:
assumes $multiset-of\ xs = multiset-of\ ys$
shows $length\ xs = length\ ys$
 $\langle proof \rangle$

This lemma shows which properties suffice to show that a function f with $f\ xs = ys$ behaves like sort.

lemma *properties-for-sort*:
 $multiset-of\ ys = multiset-of\ xs \implies sorted\ ys \implies sort\ xs = ys$
 $\langle proof \rangle$

38.6 Pointwise ordering induced by count

definition
 $mset-le :: 'a\ multiset \Rightarrow 'a\ multiset \Rightarrow bool\ (\text{infix } \le\# 50)\ \text{where}$
 $(A \le\# B) = (\forall a.\ count\ A\ a \leq count\ B\ a)$

definition
 $mset-less :: 'a\ multiset \Rightarrow 'a\ multiset \Rightarrow bool\ (\text{infix } <\# 50)\ \text{where}$

$$(A < \# B) = (A \leq \# B \wedge A \neq B)$$

notation *mset-le* (**infix** $\subseteq \#$ 50)

notation *mset-less* (**infix** $\subset \#$ 50)

lemma *mset-le-refl[simp]*: $A \leq \# A$
 $\langle \text{proof} \rangle$

lemma *mset-le-trans*: $A \leq \# B \implies B \leq \# C \implies A \leq \# C$
 $\langle \text{proof} \rangle$

lemma *mset-le-antisym*: $A \leq \# B \implies B \leq \# A \implies A = B$
 $\langle \text{proof} \rangle$

lemma *mset-le-exists-conv*: $(A \leq \# B) = (\exists C. B = A + C)$
 $\langle \text{proof} \rangle$

lemma *mset-le-mono-add-right-cancel[simp]*: $(A + C \leq \# B + C) = (A \leq \# B)$
 $\langle \text{proof} \rangle$

lemma *mset-le-mono-add-left-cancel[simp]*: $(C + A \leq \# C + B) = (A \leq \# B)$
 $\langle \text{proof} \rangle$

lemma *mset-le-mono-add*: $\llbracket A \leq \# B; C \leq \# D \rrbracket \implies A + C \leq \# B + D$
 $\langle \text{proof} \rangle$

lemma *mset-le-add-left[simp]*: $A \leq \# A + B$
 $\langle \text{proof} \rangle$

lemma *mset-le-add-right[simp]*: $B \leq \# A + B$
 $\langle \text{proof} \rangle$

lemma *mset-le-single*: $a : \# B \implies \{\#a\# \} \leq \# B$
 $\langle \text{proof} \rangle$

lemma *multiset-diff-union-assoc*: $C \leq \# B \implies A + B - C = A + (B - C)$
 $\langle \text{proof} \rangle$

lemma *mset-le-multiset-union-diff-commute*:

assumes $B \leq \# A$

shows $A - B + C = A + C - B$

$\langle \text{proof} \rangle$

lemma *multiset-of-remdups-le*: $\text{multiset-of } (\text{remdups } xs) \leq \# \text{ multiset-of } xs$
 $\langle \text{proof} \rangle$

lemma *multiset-of-update*:

$i < \text{length } ls \implies \text{multiset-of } (ls[i := v]) = \text{multiset-of } ls - \{\#ls ! i\# \} + \{\#v\# \}$
 $\langle \text{proof} \rangle$

lemma *multiset-of-swap*:

$i < \text{length } ls \implies j < \text{length } ls \implies$
 $\text{multiset-of } (ls[j := ls ! i, i := ls ! j]) = \text{multiset-of } ls$
 $\langle \text{proof} \rangle$

interpretation *mset-order*: $\text{order } [op \leq\# op <\#]$

$\langle \text{proof} \rangle$

interpretation *mset-order-cancel-semigroup*:

$\text{pordered-cancel-ab-semigroup-add } [op + op \leq\# op <\#]$
 $\langle \text{proof} \rangle$

interpretation *mset-order-semigroup-cancel*:

$\text{pordered-ab-semigroup-add-imp-le } [op + op \leq\# op <\#]$
 $\langle \text{proof} \rangle$

lemma *mset-lessD*: $A \subset\# B \implies x \in\# A \implies x \in\# B$

$\langle \text{proof} \rangle$

lemma *mset-leD*: $A \subseteq\# B \implies x \in\# A \implies x \in\# B$

$\langle \text{proof} \rangle$

lemma *mset-less-insertD*: $(A + \{\#x\} \subset\# B) \implies (x \in\# B \wedge A \subset\# B)$

$\langle \text{proof} \rangle$

lemma *mset-le-insertD*: $(A + \{\#x\} \subseteq\# B) \implies (x \in\# B \wedge A \subseteq\# B)$

$\langle \text{proof} \rangle$

lemma *mset-less-of-empty[simp]*: $A \subset\# \{\#\} = \text{False}$

$\langle \text{proof} \rangle$

lemma *multi-psub-of-add-self[simp]*: $A \subset\# A + \{\#x\}$

$\langle \text{proof} \rangle$

lemma *multi-psub-self[simp]*: $A \subset\# A = \text{False}$

$\langle \text{proof} \rangle$

lemma *mset-less-add-bothsides*:

$T + \{\#x\} \subset\# S + \{\#x\} \implies T \subset\# S$
 $\langle \text{proof} \rangle$

lemma *mset-less-empty-nonempty*: $(\{\#\} \subset\# S) = (S \neq \{\#\})$

$\langle \text{proof} \rangle$

lemma *mset-less-size*: $A \subset\# B \implies \text{size } A < \text{size } B$

$\langle \text{proof} \rangle$

lemmas *mset-less-trans* = *mset-order.less-eq-less.less-trans*

lemma *mset-less-diff-self*: $c \in\# B \implies B - \{\#c\} \subset\# B$
 $\langle proof \rangle$

38.7 Strong induction and subset induction for multisets

Well-foundedness of proper subset operator:

proper multiset subset

definition

mset-less-rel :: ('a multiset * 'a multiset) set **where**
mset-less-rel = {(A,B). $A \subset\# B$ }

lemma *multiset-add-sub-el-shuffle*:

assumes $c \in\# B$ **and** $b \neq c$
shows $B - \{\#c\} + \{\#b\} = B + \{\#b\} - \{\#c\}$
 $\langle proof \rangle$

lemma *wf-mset-less-rel*: *wf mset-less-rel*
 $\langle proof \rangle$

The induction rules:

lemma *full-multiset-induct* [*case-names less*]:
assumes *ih*: $\bigwedge B. \forall A. A \subset\# B \implies P A \implies P B$
shows $P B$
 $\langle proof \rangle$

lemma *multi-subset-induct* [*consumes 2, case-names empty add*]:
assumes $F \subseteq\# A$
and *empty*: $P \{\#\}$
and *insert*: $\bigwedge a F. a \in\# A \implies P F \implies P (F + \{\#a\})$
shows $P F$
 $\langle proof \rangle$

A consequence: Extensionality.

lemma *multi-count-eq*: $(\forall x. \text{count } A \ x = \text{count } B \ x) = (A = B)$
 $\langle proof \rangle$

lemmas *multi-count-ext* = *multi-count-eq* [*THEN iffD1, rule-format*]

38.8 The fold combinator

The intended behaviour is *fold-mset* $f \ z \ \{\#x_1, \dots, x_n\} = f \ x_1 \ (\dots (f \ x_n \ z) \dots)$ if f is associative-commutative.

The graph of *fold-mset*, z : the start element, f : folding function, A : the multiset, y : the result.

inductive

$fold\text{-}msetG :: ('a \Rightarrow 'b \Rightarrow 'b) \Rightarrow 'b \Rightarrow 'a \text{ multiset} \Rightarrow 'b \Rightarrow bool$
for $f :: 'a \Rightarrow 'b \Rightarrow 'b$
and $z :: 'b$
where
 $emptyI \text{ [intro]}: fold\text{-}msetG f z \{\#\} z$
 $| insertI \text{ [intro]}: fold\text{-}msetG f z A y \Longrightarrow fold\text{-}msetG f z (A + \{\#x\# \}) (f x y)$

inductive-cases $empty\text{-}fold\text{-}msetGE \text{ [elim!]}: fold\text{-}msetG f z \{\#\} x$
inductive-cases $insert\text{-}fold\text{-}msetGE: fold\text{-}msetG f z (A + \{\#\}) y$

definition

$fold\text{-}mset :: ('a \Rightarrow 'b \Rightarrow 'b) \Rightarrow 'b \Rightarrow 'a \text{ multiset} \Rightarrow 'b$ **where**
 $fold\text{-}mset f z A = (THE x. fold\text{-}msetG f z A x)$

lemma *Diff1-fold-msetG*:

$fold\text{-}msetG f z (A - \{\#x\# \}) y \Longrightarrow x \in \# A \Longrightarrow fold\text{-}msetG f z A (f x y)$
 $\langle proof \rangle$

lemma *fold-msetG-nonempty*: $\exists x. fold\text{-}msetG f z A x$
 $\langle proof \rangle$

lemma *fold-mset-empty[simp]*: $fold\text{-}mset f z \{\#\} = z$
 $\langle proof \rangle$

locale *left-commutative* =
fixes $f :: 'a \Rightarrow 'b \Rightarrow 'b$
assumes *left-commute*: $f x (f y z) = f y (f x z)$
begin

lemma *fold-msetG-determ*:

$fold\text{-}msetG f z A x \Longrightarrow fold\text{-}msetG f z A y \Longrightarrow y = x$
 $\langle proof \rangle$

lemma *fold-mset-insert-aux*:

$(fold\text{-}msetG f z (A + \{\#x\# \}) v) =$
 $(\exists y. fold\text{-}msetG f z A y \wedge v = f x y)$
 $\langle proof \rangle$

lemma *fold-mset-equality*: $fold\text{-}msetG f z A y \Longrightarrow fold\text{-}mset f z A = y$
 $\langle proof \rangle$

lemma *fold-mset-insert*:

$fold\text{-}mset f z (A + \{\#x\# \}) = f x (fold\text{-}mset f z A)$
 $\langle proof \rangle$

lemma *fold-mset-insert-idem*:

$fold\text{-}mset f z (A + \{\#a\# \}) = f a (fold\text{-}mset f z A)$
 $\langle proof \rangle$

lemma *fold-mset-commute*: $f\ x\ (fold-mset\ f\ z\ A) = fold-mset\ f\ (f\ x\ z)\ A$
 $\langle proof \rangle$

lemma *fold-mset-single [simp]*: $fold-mset\ f\ z\ \{\#x\# \} = f\ x\ z$
 $\langle proof \rangle$

lemma *fold-mset-union [simp]*:
 $fold-mset\ f\ z\ (A+B) = fold-mset\ f\ (fold-mset\ f\ z\ A)\ B$
 $\langle proof \rangle$

lemma *fold-mset-fusion*:
includes *left-commutative g*
shows $(\bigwedge x\ y. h\ (g\ x\ y) = f\ x\ (h\ y)) \implies h\ (fold-mset\ g\ w\ A) = fold-mset\ f\ (h\ w)\ A$
 $\langle proof \rangle$

lemma *fold-mset-rec*:
assumes $a \in \# A$
shows $fold-mset\ f\ z\ A = f\ a\ (fold-mset\ f\ z\ (A - \{\#a\# \}))$
 $\langle proof \rangle$

end

A note on code generation: When defining some function containing a subterm *fold-mset F*, code generation is not automatic. When interpreting locale *left-commutative* with *F*, the would be code thms for *fold-mset* become thms like $fold-mset\ F\ z\ \{\# \} = z$ where *F* is not a pattern but contains defined symbols, i.e. is not a code thm. Hence a separate constant with its own code thms needs to be introduced for *F*. See the image operator below.

38.9 Image

definition [*code func del*]: $image-mset\ f == fold-mset\ (op + o\ single\ o\ f)\ \{\# \}$

interpretation *image-left-comm*: *left-commutative* [*op + o single o f*]
 $\langle proof \rangle$

lemma *image-mset-empty [simp, code func]*: $image-mset\ f\ \{\# \} = \{\# \}$
 $\langle proof \rangle$

lemma *image-mset-single [simp, code func]*: $image-mset\ f\ \{\#x\# \} = \{\#f\ x\# \}$
 $\langle proof \rangle$

lemma *image-mset-insert*:
 $image-mset\ f\ (M + \{\#a\# \}) = image-mset\ f\ M + \{\#f\ a\# \}$
 $\langle proof \rangle$

lemma *image-mset-union[simp, code func]*:
 $image-mset\ f\ (M+N) = image-mset\ f\ M + image-mset\ f\ N$

⟨proof⟩

lemma *size-image-mset* [simp]: *size (image-mset f M) = size M*
 ⟨proof⟩

lemma *image-mset-is-empty-iff* [simp]: *image-mset f M = {#} ⟷ M = {#}*
 ⟨proof⟩

syntax

comprehension1-mset :: 'a ⇒ 'b ⇒ 'b multiset ⇒ 'a multiset
 (({#-/. - :# -#}))

translations

{#e. x:#M#} == CONST image-mset (%x. e) M

syntax

comprehension2-mset :: 'a ⇒ 'b ⇒ 'b multiset ⇒ bool ⇒ 'a multiset
 (({#-/. | - :# -./ -#}))

translations

{#e | x:#M. P#} => {#e. x :# {# x:#M. P#}#}

This allows to write not just filters like {# x :# M. x < c#} but also images like {#x + x. x :# M#} and {#x+x|x:#M. x<c#}, where the latter is currently displayed as {#x + x. x :# {# x :# M. x < c#}#}.

end

39 NatPair: Pairs of Natural Numbers

theory *NatPair*

imports *ATP-Linkup*

begin

An injective function from \mathbb{N}^2 to \mathbb{N} . Definition and proofs are from [4, page 85].

definition

nat2-to-nat:: (nat * nat) ⇒ nat **where**
nat2-to-nat pair = (let (n,m) = pair in (n+m) * Suc (n+m) div 2 + n)

lemma *dvd2-a-x-suc-a*: 2 dvd a * (Suc a)
 ⟨proof⟩

lemma

assumes *eq*: *nat2-to-nat (u,v) = nat2-to-nat (x,y)*
shows *nat2-to-nat-help*: *u+v ≤ x+y*
 ⟨proof⟩

theorem *nat2-to-nat-inj*: *inj nat2-to-nat*

<proof>

end

40 Nat-Infinity: Natural numbers with infinity

theory *Nat-Infinity*
imports *ATP-Linkup*
begin

40.1 Definitions

We extend the standard natural numbers by a special value indicating infinity. This includes extending the ordering relations $op <$ and $op \leq$.

datatype *inat* = *Fin nat* | *Infty*

notation (*xsymbols*)
Infty (∞)

notation (*HTML output*)
Infty (∞)

definition
iSuc :: *inat* \Rightarrow *inat* **where**
iSuc *i* = (case *i* of *Fin* *n* \Rightarrow *Fin* (*Suc* *n*) | $\infty \Rightarrow \infty$)

instantiation *inat* :: {*ord*, *zero*}
begin

definition
Zero-inat-def: 0 == *Fin* 0

definition
iless-def: *m* < *n* ==
 case *m* of *Fin* *m1* \Rightarrow (case *n* of *Fin* *n1* \Rightarrow *m1* < *n1* | $\infty \Rightarrow$ *True*)
 | $\infty \Rightarrow$ *False*

definition
ile-def: *m* \leq *n* ==
 case *n* of *Fin* *n1* \Rightarrow (case *m* of *Fin* *m1* \Rightarrow *m1* \leq *n1* | $\infty \Rightarrow$ *False*)
 | $\infty \Rightarrow$ *True*

instance *<proof>*

end

lemmas *inat-defs* = *Zero-inat-def* *iSuc-def* *iless-def* *ile-def*

lemmas *inat-splits* = *inat.split inat.split-asm*

Below is a not quite complete set of theorems. Use the method (*simp add: inat-defs split:inat-splits, arith?*) to prove new theorems or solve arithmetic subgoals involving *inat* on the fly.

40.2 Constructors

lemma *Fin-0*: $Fin\ 0 = 0$
 $\langle proof \rangle$

lemma *Infty-ne-i0* [*simp*]: $\infty \neq 0$
 $\langle proof \rangle$

lemma *i0-ne-Infty* [*simp*]: $0 \neq \infty$
 $\langle proof \rangle$

lemma *iSuc-Fin* [*simp*]: $iSuc\ (Fin\ n) = Fin\ (Suc\ n)$
 $\langle proof \rangle$

lemma *iSuc-Infty* [*simp*]: $iSuc\ \infty = \infty$
 $\langle proof \rangle$

lemma *iSuc-ne-0* [*simp*]: $iSuc\ n \neq 0$
 $\langle proof \rangle$

lemma *iSuc-inject* [*simp*]: $(iSuc\ x = iSuc\ y) = (x = y)$
 $\langle proof \rangle$

40.3 Ordering relations

instance *inat* :: *linorder*
 $\langle proof \rangle$

lemma *Infty-ilessE* [*elim!*]: $\infty < Fin\ m \implies R$
 $\langle proof \rangle$

lemma *iless-linear*: $m < n \vee m = n \vee n < (m::inat)$
 $\langle proof \rangle$

lemma *iless-not-refl*: $\neg n < (n::inat)$
 $\langle proof \rangle$

lemma *iless-trans*: $i < j \implies j < k \implies i < (k::inat)$
 $\langle proof \rangle$

lemma *iless-not-sym*: $n < m \implies \neg m < (n::inat)$
 $\langle proof \rangle$

lemma *Fin-iless-mono* [simp]: $(\text{Fin } n < \text{Fin } m) = (n < m)$
 $\langle \text{proof} \rangle$

lemma *Fin-iless-Infty* [simp]: $\text{Fin } n < \infty$
 $\langle \text{proof} \rangle$

lemma *Infty-eq* [simp]: $(n < \infty) = (n \neq \infty)$
 $\langle \text{proof} \rangle$

lemma *i0-eq* [simp]: $((0::\text{inat}) < n) = (n \neq 0)$
 $\langle \text{proof} \rangle$

lemma *i0-iless-iSuc* [simp]: $0 < \text{iSuc } n$
 $\langle \text{proof} \rangle$

lemma *not-ilessi0* [simp]: $\neg n < (0::\text{inat})$
 $\langle \text{proof} \rangle$

lemma *Fin-iless*: $n < \text{Fin } m \implies \exists k. n = \text{Fin } k$
 $\langle \text{proof} \rangle$

lemma *iSuc-mono* [simp]: $(\text{iSuc } n < \text{iSuc } m) = (n < m)$
 $\langle \text{proof} \rangle$

lemma *ile-def2*: $(m \leq n) = (m < n \vee m = (n::\text{inat}))$
 $\langle \text{proof} \rangle$

lemma *ile-refl* [simp]: $n \leq (n::\text{inat})$
 $\langle \text{proof} \rangle$

lemma *ile-trans*: $i \leq j \implies j \leq k \implies i \leq (k::\text{inat})$
 $\langle \text{proof} \rangle$

lemma *ile-iless-trans*: $i \leq j \implies j < k \implies i < (k::\text{inat})$
 $\langle \text{proof} \rangle$

lemma *iless-ile-trans*: $i < j \implies j \leq k \implies i < (k::\text{inat})$
 $\langle \text{proof} \rangle$

lemma *Infty-ub* [simp]: $n \leq \infty$
 $\langle \text{proof} \rangle$

lemma *i0-lb* [simp]: $(0::\text{inat}) \leq n$
 $\langle \text{proof} \rangle$

lemma *Infty-ileE* [elim!]: $\infty \leq \text{Fin } m \implies R$
 $\langle \text{proof} \rangle$

lemma *Fin-ile-mono* [simp]: $(\text{Fin } n \leq \text{Fin } m) = (n \leq m)$
 ⟨proof⟩

lemma *ilessI1*: $n \leq m \implies n \neq m \implies n < (m::\text{inat})$
 ⟨proof⟩

lemma *ileI1*: $m < n \implies \text{iSuc } m \leq n$
 ⟨proof⟩

lemma *Suc-ile-eq*: $(\text{Fin } (\text{Suc } m) \leq n) = (\text{Fin } m < n)$
 ⟨proof⟩

lemma *iSuc-ile-mono* [simp]: $(\text{iSuc } n \leq \text{iSuc } m) = (n \leq m)$
 ⟨proof⟩

lemma *iless-Suc-eq* [simp]: $(\text{Fin } m < \text{iSuc } n) = (\text{Fin } m \leq n)$
 ⟨proof⟩

lemma *not-iSuc-ilei0* [simp]: $\neg \text{iSuc } n \leq 0$
 ⟨proof⟩

lemma *ile-iSuc* [simp]: $n \leq \text{iSuc } n$
 ⟨proof⟩

lemma *Fin-ile*: $n \leq \text{Fin } m \implies \exists k. n = \text{Fin } k$
 ⟨proof⟩

lemma *chain-incr*: $\forall i. \exists j. Y i < Y j \implies \exists j. \text{Fin } k < Y j$
 ⟨proof⟩

40.4 Well-ordering

lemma *less-FinE*:
 $[[n < \text{Fin } m; !!k. n = \text{Fin } k \implies k < m \implies P]] \implies P$
 ⟨proof⟩

lemma *less-InftyE*:
 $[[n < \text{Infty}; !!k. n = \text{Fin } k \implies P]] \implies P$
 ⟨proof⟩

lemma *inat-less-induct*:
assumes *prem*: $!!n. \forall m::\text{inat}. m < n \longrightarrow P m \implies P n$ **shows** $P n$
 ⟨proof⟩

instance *inat* :: *wellorder*
 ⟨proof⟩

end

41 Nested-Environment: Nested environments

theory *Nested-Environment*
imports *List*
begin

Consider a partial function $e :: 'a \Rightarrow 'b \text{ option}$; this may be understood as an *environment* mapping indexes $'a$ to optional entry values $'b$ (cf. the basic theory *Map* of Isabelle/HOL). This basic idea is easily generalized to that of a *nested environment*, where entries may be either basic values or again proper environments. Then each entry is accessed by a *path*, i.e. a list of indexes leading to its position within the structure.

datatype $('a, 'b, 'c) \text{ env} =$
 $\quad \text{Val } 'a$
 $\quad | \text{Env } 'b \ 'c \Rightarrow ('a, 'b, 'c) \text{ env option}$

In the type $('a, 'b, 'c) \text{ env}$ the parameter $'a$ refers to basic values (occurring in terminal positions), type $'b$ to values associated with proper (inner) environments, and type $'c$ with the index type for branching. Note that there is no restriction on any of these types. In particular, arbitrary branching may yield rather large (transfinite) tree structures.

41.1 The lookup operation

Lookup in nested environments works by following a given path of index elements, leading to an optional result (a terminal value or nested environment). A *defined position* within a nested environment is one where *lookup* at its path does not yield *None*.

consts
 $\text{lookup} :: ('a, 'b, 'c) \text{ env} \Rightarrow 'c \text{ list} \Rightarrow ('a, 'b, 'c) \text{ env option}$
 $\text{lookup-option} :: ('a, 'b, 'c) \text{ env option} \Rightarrow 'c \text{ list} \Rightarrow ('a, 'b, 'c) \text{ env option}$

primrec (lookup)
 $\text{lookup } (\text{Val } a) \text{ xs} = (\text{if } \text{xs} = [] \text{ then } \text{Some } (\text{Val } a) \text{ else } \text{None})$
 $\text{lookup } (\text{Env } b \text{ es}) \text{ xs} =$
 $\quad (\text{case } \text{xs} \text{ of}$
 $\quad \quad [] \Rightarrow \text{Some } (\text{Env } b \text{ es})$
 $\quad \quad | y \# \text{ys} \Rightarrow \text{lookup-option } (\text{es } y) \text{ ys})$
 $\text{lookup-option } \text{None } \text{xs} = \text{None}$
 $\text{lookup-option } (\text{Some } e) \text{ xs} = \text{lookup } e \text{ xs}$

hide $\text{const lookup-option}$

The characteristic cases of *lookup* are expressed by the following equalities.

theorem *lookup-nil*: $\text{lookup } e \ [] = \text{Some } e$
 $\langle \text{proof} \rangle$

theorem *lookup-val-cons*: $\text{lookup } (\text{Val } a) (x \# xs) = \text{None}$
 $\langle \text{proof} \rangle$

theorem *lookup-env-cons*:
 $\text{lookup } (\text{Env } b \ es) (x \# xs) =$
 $(\text{case } es \ x \ \text{of}$
 $\quad \text{None} \Rightarrow \text{None}$
 $\quad | \ \text{Some } e \Rightarrow \text{lookup } e \ xs)$
 $\langle \text{proof} \rangle$

lemmas *lookup.simps* [*simp del*]
and *lookup-simps* [*simp*] = *lookup-nil lookup-val-cons lookup-env-cons*

theorem *lookup-eq*:
 $\text{lookup } env \ xs =$
 $(\text{case } xs \ \text{of}$
 $\quad [] \Rightarrow \text{Some } env$
 $\quad | \ x \# xs \Rightarrow$
 $\quad (\text{case } env \ \text{of}$
 $\quad \quad \text{Val } a \Rightarrow \text{None}$
 $\quad \quad | \ \text{Env } b \ es \Rightarrow$
 $\quad \quad (\text{case } es \ x \ \text{of}$
 $\quad \quad \quad \text{None} \Rightarrow \text{None}$
 $\quad \quad \quad | \ \text{Some } e \Rightarrow \text{lookup } e \ xs)))$
 $\langle \text{proof} \rangle$

Displaced *lookup* operations, relative to a certain base path prefix, may be reduced as follows. There are two cases, depending whether the environment actually extends far enough to follow the base path.

theorem *lookup-append-none*:
assumes $\text{lookup } env \ xs = \text{None}$
shows $\text{lookup } env \ (xs \ @ \ ys) = \text{None}$
 $\langle \text{proof} \rangle$

theorem *lookup-append-some*:
assumes $\text{lookup } env \ xs = \text{Some } e$
shows $\text{lookup } env \ (xs \ @ \ ys) = \text{lookup } e \ ys$
 $\langle \text{proof} \rangle$

Successful *lookup* deeper down an environment structure means we are able to peek further up as well. Note that this is basically just the contrapositive statement of *lookup-append-none* above.

theorem *lookup-some-append*:
assumes $\text{lookup } env \ (xs \ @ \ ys) = \text{Some } e$
shows $\exists e. \text{lookup } env \ xs = \text{Some } e$

<proof>

The subsequent statement describes in more detail how a successful *lookup* with a non-empty path results in a certain situation at any upper position.

theorem *lookup-some-upper:*

assumes *lookup env (xs @ y # ys) = Some e*

shows $\exists b' es' env'.$

lookup env xs = Some (Env b' es') \wedge

es' y = Some env' \wedge

lookup env' ys = Some e

<proof>

41.2 The update operation

Update at a certain position in a nested environment may either delete an existing entry, or overwrite an existing one. Note that update at undefined positions is simply absorbed, i.e. the environment is left unchanged.

consts

update :: 'c list => ('a, 'b, 'c) env option

=> ('a, 'b, 'c) env => ('a, 'b, 'c) env

update-option :: 'c list => ('a, 'b, 'c) env option

=> ('a, 'b, 'c) env option => ('a, 'b, 'c) env option

primrec (*update*)

update xs opt (Val a) =

(if xs = [] then (case opt of None => Val a | Some e => e)
else Val a)

update xs opt (Env b es) =

(case xs of
[] => (case opt of None => Env b es | Some e => e)
| y # ys => Env b (es (y := update-option ys opt (es y))))

update-option xs opt None =

(if xs = [] then opt else None)

update-option xs opt (Some e) =

(if xs = [] then opt else Some (update xs opt e))

hide *const update-option*

The characteristic cases of *update* are expressed by the following equalities.

theorem *update-nil-none:* *update [] None env = env*

<proof>

theorem *update-nil-some:* *update [] (Some e) env = e*

<proof>

theorem *update-cons-val:* *update (x # xs) opt (Val a) = Val a*

$\langle \text{proof} \rangle$

theorem *update-cons-nil-env*:

$\text{update } [x] \text{ opt } (\text{Env } b \text{ es}) = \text{Env } b \text{ (es (x := opt))}$

$\langle \text{proof} \rangle$

theorem *update-cons-cons-env*:

$\text{update } (x \# y \# \text{ys}) \text{ opt } (\text{Env } b \text{ es}) =$
 $\text{Env } b \text{ (es (x :=$
 $\text{ (case es x of$
 $\text{ None } \Rightarrow \text{ None}$
 $\text{ | Some e } \Rightarrow \text{ Some (update (y \# ys) opt e)))))$

$\langle \text{proof} \rangle$

lemmas *update.simps [simp del]*

and *update-simps [simp] = update-nil-none update-nil-some*
update-cons-val update-cons-nil-env update-cons-cons-env

lemma *update-eq*:

$\text{update } xs \text{ opt env} =$
 (case xs of
 $\text{ [] } \Rightarrow$
 (case opt of
 $\text{ None } \Rightarrow \text{ env}$
 $\text{ | Some e } \Rightarrow \text{ e)}$
 $\text{ | x \# xs } \Rightarrow$
 (case env of
 $\text{ Val a } \Rightarrow \text{ Val a}$
 $\text{ | Env b es } \Rightarrow$
 (case xs of
 $\text{ [] } \Rightarrow \text{ Env b (es (x := opt))}$
 $\text{ | y \# ys } \Rightarrow$
 $\text{ Env b (es (x :=$
 (case es x of
 $\text{ None } \Rightarrow \text{ None}$
 $\text{ | Some e } \Rightarrow \text{ Some (update (y \# ys) opt e)))))$

$\langle \text{proof} \rangle$

The most basic correspondence of *lookup* and *update* states that after *update* at a defined position, subsequent *lookup* operations would yield the new value.

theorem *lookup-update-some*:

assumes *lookup env xs = Some e*

shows *lookup (update xs (Some env') env) xs = Some env'*

$\langle \text{proof} \rangle$

The properties of displaced *update* operations are analogous to those of *lookup* above. There are two cases: below an undefined position *update* is

absorbed altogether, and below a defined positions *update* affects subsequent *lookup* operations in the obvious way.

theorem *update-append-none*:

assumes *lookup env xs = None*
shows *update (xs @ y # ys) opt env = env*
 $\langle \text{proof} \rangle$

theorem *update-append-some*:

assumes *lookup env xs = Some e*
shows *lookup (update (xs @ y # ys) opt env) xs = Some (update (y # ys) opt e)*
 $\langle \text{proof} \rangle$

Apparently, *update* does not affect the result of subsequent *lookup* operations at independent positions, i.e. in case that the paths for *update* and *lookup* fork at a certain point.

theorem *lookup-update-other*:

assumes *neg: y \neq (z::'c)*
shows *lookup (update (xs @ z # zs) opt env) (xs @ y # ys) = lookup env (xs @ y # ys)*
 $\langle \text{proof} \rangle$

Equality of environments for code generation

lemma [*code func*, *code func del*]:

fixes *e1 e2 :: ('b::eq, 'a::eq, 'c::eq) env*
shows *eq-class.eq e1 e2 \longleftrightarrow eq-class.eq e1 e2* $\langle \text{proof} \rangle$

lemma *eq-env-code* [*code func*]:

fixes *x y :: 'a::eq*
and *f g :: 'c::{eq, finite} \Rightarrow ('b::eq, 'a, 'c) env option*
shows *eq-class.eq (Env x f) (Env y g) \longleftrightarrow eq-class.eq x y \wedge ($\forall z \in \text{UNIV}$. case f z of None \Rightarrow (case g z of None \Rightarrow True | Some - \Rightarrow False) | Some a \Rightarrow (case g z of None \Rightarrow False | Some b \Rightarrow eq-class.eq a b)) (is ?env)*
and *eq-class.eq (Val a) (Val b) \longleftrightarrow eq-class.eq a b*
and *eq-class.eq (Val a) (Env y g) \longleftrightarrow False*
and *eq-class.eq (Env x f) (Val b) \longleftrightarrow False*
 $\langle \text{proof} \rangle$

end

42 Numeral-Type: Numeral Syntax for Types

theory *Numeral-Type*

```

imports ATP-Linkup
begin

```

42.1 Preliminary lemmas

```

lemma inj-Inl [simp]: inj-on Inl A
  ⟨proof⟩

```

```

lemma inj-Inr [simp]: inj-on Inr A
  ⟨proof⟩

```

```

lemma inj-Some [simp]: inj-on Some A
  ⟨proof⟩

```

```

lemma card-Plus:
  [| finite A; finite B |] ==> card (A <+> B) = card A + card B
  ⟨proof⟩

```

```

lemma (in type-definition) univ:
  UNIV = Abs ‘ A
  ⟨proof⟩

```

```

lemma (in type-definition) card: card (UNIV :: ‘b set) = card A
  ⟨proof⟩

```

42.2 Cardinalities of types

```

syntax -type-card :: type ==> nat ((1CARD/(1‘(-))))

```

```

translations CARD(t) ==> CONST card (UNIV :: t set)

```

```

  ⟨ML⟩

```

```

lemma card-unit: CARD(unit) = 1
  ⟨proof⟩

```

```

lemma card-bool: CARD(bool) = 2
  ⟨proof⟩

```

```

lemma card-prod: CARD(‘a::finite × ‘b::finite) = CARD(‘a) * CARD(‘b)
  ⟨proof⟩

```

```

lemma card-sum: CARD(‘a::finite + ‘b::finite) = CARD(‘a) + CARD(‘b)
  ⟨proof⟩

```

```

lemma card-option: CARD(‘a::finite option) = Suc CARD(‘a)
  ⟨proof⟩

```

```

lemma card-set: CARD(‘a::finite set) = 2 ^ CARD(‘a)
  ⟨proof⟩

```

42.3 Numeral Types

```

typedef (open) num0 = UNIV :: nat set ⟨proof⟩
typedef (open) num1 = UNIV :: unit set ⟨proof⟩
typedef (open) 'a bit0 = UNIV :: (bool * 'a) set ⟨proof⟩
typedef (open) 'a bit1 = UNIV :: (bool * 'a) option set ⟨proof⟩

```

```

instance num1 :: finite
⟨proof⟩

```

```

instance bit0 :: (finite) finite
⟨proof⟩

```

```

instance bit1 :: (finite) finite
⟨proof⟩

```

```

lemma card-num1: CARD(num1) = 1
⟨proof⟩

```

```

lemma card-bit0: CARD('a::finite bit0) = 2 * CARD('a)
⟨proof⟩

```

```

lemma card-bit1: CARD('a::finite bit1) = Suc (2 * CARD('a))
⟨proof⟩

```

```

lemma card-num0: CARD (num0) = 0
⟨proof⟩

```

```

lemmas card-univ-simps [simp] =
  card-unit
  card-bool
  card-prod
  card-sum
  card-option
  card-set
  card-num1
  card-bit0
  card-bit1
  card-num0

```

42.4 Syntax

```

syntax
  -NumeralType :: num-const => type (-)
  -NumeralType0 :: type (0)
  -NumeralType1 :: type (1)

```

```

translations
  -NumeralType1 == (type) num1
  -NumeralType0 == (type) num0

```

$\langle ML \rangle$

42.5 Classes with at least 1 and 2

Class `finite` already captures “at least 1”

lemma *zero-less-card-finite* [simp]:

$0 < \text{CARD}('a::\text{finite})$

$\langle \text{proof} \rangle$

lemma *one-le-card-finite* [simp]:

$\text{Suc } 0 \leq \text{CARD}('a::\text{finite})$

$\langle \text{proof} \rangle$

Class for cardinality “at least 2”

class *card2* = *finite* +

assumes *two-le-card*: $2 \leq \text{CARD}('a)$

lemma *one-less-card*: $\text{Suc } 0 < \text{CARD}('a::\text{card2})$

$\langle \text{proof} \rangle$

instance *bit0* :: (*finite*) *card2*

$\langle \text{proof} \rangle$

instance *bit1* :: (*finite*) *card2*

$\langle \text{proof} \rangle$

42.6 Examples

lemma $\text{CARD}(0) = 0$ $\langle \text{proof} \rangle$

lemma $\text{CARD}(17) = 17$ $\langle \text{proof} \rangle$

end

43 Option-ord: Canonical order on option type

theory *Option-ord*

imports *ATP-Linkup*

begin

instantiation *option* :: (*order*) *order*

begin

definition *less-eq-option* **where**

[*code func del*]: $x \leq y \longleftrightarrow (\text{case } x \text{ of } \text{None} \Rightarrow \text{True} \mid \text{Some } x \Rightarrow (\text{case } y \text{ of } \text{None} \Rightarrow \text{False} \mid \text{Some } y \Rightarrow x \leq y))$

definition *less-option* **where**

[*code func del*]: $x < y \longleftrightarrow (\text{case } y \text{ of } \text{None} \Rightarrow \text{False} \mid \text{Some } y \Rightarrow (\text{case } x \text{ of } \text{None} \Rightarrow \text{True} \mid \text{Some } x \Rightarrow x < y))$

lemma *less-eq-option-None* [*simp*]: $\text{None} \leq x$
 ⟨*proof*⟩

lemma *less-eq-option-None-code* [*code*]: $\text{None} \leq x \longleftrightarrow \text{True}$
 ⟨*proof*⟩

lemma *less-eq-option-None-is-None*: $x \leq \text{None} \implies x = \text{None}$
 ⟨*proof*⟩

lemma *less-eq-option-Some-None* [*simp*, *code*]: $\text{Some } x \leq \text{None} \longleftrightarrow \text{False}$
 ⟨*proof*⟩

lemma *less-eq-option-Some* [*simp*, *code*]: $\text{Some } x \leq \text{Some } y \longleftrightarrow x \leq y$
 ⟨*proof*⟩

lemma *less-option-None* [*simp*, *code*]: $x < \text{None} \longleftrightarrow \text{False}$
 ⟨*proof*⟩

lemma *less-option-None-is-Some*: $\text{None} < x \implies \exists z. x = \text{Some } z$
 ⟨*proof*⟩

lemma *less-option-None-Some* [*simp*]: $\text{None} < \text{Some } x$
 ⟨*proof*⟩

lemma *less-option-None-Some-code* [*code*]: $\text{None} < \text{Some } x \longleftrightarrow \text{True}$
 ⟨*proof*⟩

lemma *less-option-Some* [*simp*, *code*]: $\text{Some } x < \text{Some } y \longleftrightarrow x < y$
 ⟨*proof*⟩

instance ⟨*proof*⟩

end

instance *option* :: (*linorder*) *linorder*
 ⟨*proof*⟩

end

44 Order-Relation: Orders as Relations

theory *Order-Relation*

imports *ATP-Linkup Hilbert-Choice*

begin

This prelude could be moved to theory Relation:

definition *irrefl* $r \equiv \forall x. (x, x) \notin r$

definition *total-on* $A \ r \equiv \forall x \in A. \forall y \in A. x \neq y \longrightarrow (x, y) \in r \vee (y, x) \in r$

abbreviation *total* $\equiv \text{total-on } UNIV$

lemma *total-on-empty*[simp]: *total-on* $\{\}$ r
 $\langle \text{proof} \rangle$

lemma *refl-on-converse*[simp]: *refl* $A \ (r^{-1}) = \text{refl } A \ r$
 $\langle \text{proof} \rangle$

lemma *total-on-converse*[simp]: *total-on* $A \ (r^{-1}) = \text{total-on } A \ r$
 $\langle \text{proof} \rangle$

lemma *irrefl-diff-Id*[simp]: *irrefl* $(r - Id)$
 $\langle \text{proof} \rangle$

declare [[*simp-depth-limit* = 2]]

lemma *trans-diff-Id*: *trans* $r \implies \text{antisym } r \implies \text{trans } (r - Id)$
 $\langle \text{proof} \rangle$

declare [[*simp-depth-limit* = 50]]

lemma *total-on-diff-Id*[simp]: *total-on* $A \ (r - Id) = \text{total-on } A \ r$
 $\langle \text{proof} \rangle$

44.1 Orders on a set

definition *preorder-on* $A \ r \equiv \text{refl } A \ r \wedge \text{trans } r$

definition *partial-order-on* $A \ r \equiv \text{preorder-on } A \ r \wedge \text{antisym } r$

definition *linear-order-on* $A \ r \equiv \text{partial-order-on } A \ r \wedge \text{total-on } A \ r$

definition *strict-linear-order-on* $A \ r \equiv \text{trans } r \wedge \text{irrefl } r \wedge \text{total-on } A \ r$

definition *well-order-on* $A \ r \equiv \text{linear-order-on } A \ r \wedge \text{wf}(r - Id)$

lemmas *order-on-defs* =
preorder-on-def partial-order-on-def linear-order-on-def
strict-linear-order-on-def well-order-on-def

lemma *preorder-on-empty*[simp]: *preorder-on* $\{\}$ $\{\}$
 $\langle \text{proof} \rangle$

lemma *partial-order-on-empty[simp]: partial-order-on {} {}*
 $\langle \text{proof} \rangle$

lemma *linear-order-on-empty[simp]: linear-order-on {} {}*
 $\langle \text{proof} \rangle$

lemma *well-order-on-empty[simp]: well-order-on {} {}*
 $\langle \text{proof} \rangle$

lemma *preorder-on-converse[simp]: preorder-on A (r⁻¹) = preorder-on A r*
 $\langle \text{proof} \rangle$

lemma *partial-order-on-converse[simp]:*
partial-order-on A (r⁻¹) = partial-order-on A r
 $\langle \text{proof} \rangle$

lemma *linear-order-on-converse[simp]:*
linear-order-on A (r⁻¹) = linear-order-on A r
 $\langle \text{proof} \rangle$

lemma *strict-linear-order-on-diff-Id:*
linear-order-on A r \implies strict-linear-order-on A (r-Id)
 $\langle \text{proof} \rangle$

44.2 Orders on the field

abbreviation *Refl r \equiv refl (Field r) r*

abbreviation *Preorder r \equiv preorder-on (Field r) r*

abbreviation *Partial-order r \equiv partial-order-on (Field r) r*

abbreviation *Total r \equiv total-on (Field r) r*

abbreviation *Linear-order r \equiv linear-order-on (Field r) r*

abbreviation *Well-order r \equiv well-order-on (Field r) r*

lemma *subset-Image-Image-iff:*
 $\llbracket \text{Preorder } r; A \subseteq \text{Field } r; B \subseteq \text{Field } r \rrbracket \implies$
 $r \text{ “ } A \subseteq r \text{ “ } B \longleftrightarrow (\forall a \in A. \exists b \in B. (b, a) : r)$
 $\langle \text{proof} \rangle$

lemma *subset-Image1-Image1-iff:*
 $\llbracket \text{Preorder } r; a : \text{Field } r; b : \text{Field } r \rrbracket \implies r \text{ “ } \{a\} \subseteq r \text{ “ } \{b\} \longleftrightarrow (b, a) : r$
 $\langle \text{proof} \rangle$

lemma *Refl-antisym-eq-Image1-Image1-iff*:

$\llbracket \text{Refl } r; \text{antisym } r; a:\text{Field } r; b:\text{Field } r \rrbracket \implies r \text{ “ } \{a\} = r \text{ “ } \{b\} \longleftrightarrow a=b$
 $\langle \text{proof} \rangle$

lemma *Partial-order-eq-Image1-Image1-iff*:

$\llbracket \text{Partial-order } r; a:\text{Field } r; b:\text{Field } r \rrbracket \implies r \text{ “ } \{a\} = r \text{ “ } \{b\} \longleftrightarrow a=b$
 $\langle \text{proof} \rangle$

44.3 Orders on a type

abbreviation *strict-linear-order* \equiv *strict-linear-order-on UNIV*

abbreviation *linear-order* \equiv *linear-order-on UNIV*

abbreviation *well-order* $r \equiv$ *well-order-on UNIV*

end

45 Permutation: Permutations

theory *Permutation*

imports *Multiset*

begin

inductive

perm :: 'a list => 'a list => bool (- <~~> - [50, 50] 50)

where

Nil [intro!]: [] <~~> []
 | *swap* [intro!]: y # x # l <~~> x # y # l
 | *Cons* [intro!]: xs <~~> ys ==> z # xs <~~> z # ys
 | *trans* [intro]: xs <~~> ys ==> ys <~~> zs ==> xs <~~> zs

lemma *perm-refl [iff]*: l <~~> l

$\langle \text{proof} \rangle$

45.1 Some examples of rule induction on permutations

lemma *xperm-empty-imp*: [] <~~> ys ==> ys = []

$\langle \text{proof} \rangle$

This more general theorem is easier to understand!

lemma *perm-length*: xs <~~> ys ==> length xs = length ys

$\langle \text{proof} \rangle$

lemma *perm-empty-imp*: [] <~~> xs ==> xs = []

$\langle \text{proof} \rangle$

lemma *perm-sym*: $xs <\sim\sim> ys \implies ys <\sim\sim> xs$
 ⟨proof⟩

45.2 Ways of making new permutations

We can insert the head anywhere in the list.

lemma *perm-append-Cons*: $a \# xs @ ys <\sim\sim> xs @ a \# ys$
 ⟨proof⟩

lemma *perm-append-swap*: $xs @ ys <\sim\sim> ys @ xs$
 ⟨proof⟩

lemma *perm-append-single*: $a \# xs <\sim\sim> xs @ [a]$
 ⟨proof⟩

lemma *perm-rev*: $rev\ xs <\sim\sim> xs$
 ⟨proof⟩

lemma *perm-append1*: $xs <\sim\sim> ys \implies l @ xs <\sim\sim> l @ ys$
 ⟨proof⟩

lemma *perm-append2*: $xs <\sim\sim> ys \implies xs @ l <\sim\sim> ys @ l$
 ⟨proof⟩

45.3 Further results

lemma *perm-empty* [iff]: $([] <\sim\sim> xs) = (xs = [])$
 ⟨proof⟩

lemma *perm-empty2* [iff]: $(xs <\sim\sim> []) = (xs = [])$
 ⟨proof⟩

lemma *perm-sing-imp*: $ys <\sim\sim> xs \implies xs = [y] \implies ys = [y]$
 ⟨proof⟩

lemma *perm-sing-eq* [iff]: $(ys <\sim\sim> [y]) = (ys = [y])$
 ⟨proof⟩

lemma *perm-sing-eq2* [iff]: $([y] <\sim\sim> ys) = (ys = [y])$
 ⟨proof⟩

45.4 Removing elements

consts

remove :: 'a => 'a list => 'a list

primrec

remove $x [] = []$

remove $x (y \# ys) = (if\ x = y\ then\ ys\ else\ y \# remove\ x\ ys)$

lemma *perm-remove*: $x \in \text{set } ys \implies ys <\sim\sim> x \# \text{remove } x \text{ } ys$
 ⟨proof⟩

lemma *remove-commute*: $\text{remove } x (\text{remove } y \text{ } l) = \text{remove } y (\text{remove } x \text{ } l)$
 ⟨proof⟩

lemma *multiset-of-remove* [simp]:
 $\text{multiset-of } (\text{remove } a \text{ } x) = \text{multiset-of } x - \{\#a\# \}$
 ⟨proof⟩

Congruence rule

lemma *perm-remove-perm*: $xs <\sim\sim> ys \implies \text{remove } z \text{ } xs <\sim\sim> \text{remove } z \text{ } ys$
 ⟨proof⟩

lemma *remove-hd* [simp]: $\text{remove } z (z \# xs) = xs$
 ⟨proof⟩

lemma *cons-perm-imp-perm*: $z \# xs <\sim\sim> z \# ys \implies xs <\sim\sim> ys$
 ⟨proof⟩

lemma *cons-perm-eq* [iff]: $(z \# xs <\sim\sim> z \# ys) = (xs <\sim\sim> ys)$
 ⟨proof⟩

lemma *append-perm-imp-perm*: $zs @ xs <\sim\sim> zs @ ys \implies xs <\sim\sim> ys$
 ⟨proof⟩

lemma *perm-append1-eq* [iff]: $(zs @ xs <\sim\sim> zs @ ys) = (xs <\sim\sim> ys)$
 ⟨proof⟩

lemma *perm-append2-eq* [iff]: $(xs @ zs <\sim\sim> ys @ zs) = (xs <\sim\sim> ys)$
 ⟨proof⟩

lemma *multiset-of-eq-perm*: $(\text{multiset-of } xs = \text{multiset-of } ys) = (xs <\sim\sim> ys)$
 ⟨proof⟩

lemma *multiset-of-le-perm-append*:
 $(\text{multiset-of } xs \leq \# \text{multiset-of } ys) = (\exists zs. xs @ zs <\sim\sim> ys)$
 ⟨proof⟩

lemma *perm-set-eq*: $xs <\sim\sim> ys \implies \text{set } xs = \text{set } ys$
 ⟨proof⟩

lemma *perm-distinct-iff*: $xs <\sim\sim> ys \implies \text{distinct } xs = \text{distinct } ys$
 ⟨proof⟩

lemma *eq-set-perm-remdups*: $\text{set } xs = \text{set } ys \implies \text{remdups } xs <\sim\sim> \text{remdups } ys$
 ⟨proof⟩

lemma *perm-remdups-iff-eq-set*: $\text{remdups } x <\sim\sim> \text{remdups } y = (\text{set } x = \text{set } y)$

$\langle proof \rangle$

end

46 Primes: Primality on nat

theory *Primes*
imports *GCD Parity*
begin

definition

$coprime :: nat \Rightarrow nat \Rightarrow bool$ **where**
 $coprime\ m\ n \longleftrightarrow (gcd\ (m, n) = 1)$

definition

$prime :: nat \Rightarrow bool$ **where**
 $prime\ p \longleftrightarrow (1 < p \wedge (\forall m. m\ dvd\ p \longrightarrow m = 1 \vee m = p))$

lemma *two-is-prime*: $prime\ 2$

$\langle proof \rangle$

lemma *prime-imp-relprime*: $prime\ p \implies \neg p\ dvd\ n \implies gcd\ (p, n) = 1$

$\langle proof \rangle$

This theorem leads immediately to a proof of the uniqueness of factorization. If p divides a product of primes then it is one of those primes.

lemma *prime-dvd-mult*: $prime\ p \implies p\ dvd\ m * n \implies p\ dvd\ m \vee p\ dvd\ n$

$\langle proof \rangle$

lemma *prime-dvd-square*: $prime\ p \implies p\ dvd\ m^{Suc\ 0} \implies p\ dvd\ m$

$\langle proof \rangle$

lemma *prime-dvd-power-two*: $prime\ p \implies p\ dvd\ m^2 \implies p\ dvd\ m$

$\langle proof \rangle$

lemma *exp-eq-1*: $(x::nat)^n = 1 \longleftrightarrow x = 1 \vee n = 0$ $\langle proof \rangle$

lemma *exp-mono-lt*: $(x::nat)^n < y^n \longleftrightarrow x < y$

$\langle proof \rangle$

lemma *exp-mono-le*: $(x::nat)^n \leq y^n \longleftrightarrow x \leq y$

$\langle proof \rangle$

lemma *exp-mono-eq*: $(x::nat)^n = y^n \longleftrightarrow x = y$

$\langle proof \rangle$

lemma *even-square*: **assumes** $e: even\ (n::nat)$ **shows** $\exists x. n^2 = 4 * x$

$\langle proof \rangle$

lemma *odd-square*: **assumes** $e: odd\ (n::nat)$ **shows** $\exists x. n^2 = 4*x + 1$
 $\langle proof \rangle$

lemma *diff-square*: $(x::nat)^2 - y^2 = (x+y)*(x - y)$
 $\langle proof \rangle$

Elementary theory of divisibility

lemma *divides-ge*: $(a::nat) \text{ dvd } b \implies b = 0 \vee a \leq b$ $\langle proof \rangle$

lemma *divides-antisym*: $(x::nat) \text{ dvd } y \wedge y \text{ dvd } x \longleftrightarrow x = y$
 $\langle proof \rangle$

lemma *divides-add-revr*: **assumes** $da: (d::nat) \text{ dvd } a$ **and** $dab: d \text{ dvd } (a + b)$
shows $d \text{ dvd } b$
 $\langle proof \rangle$

declare *nat-mult-dvd-cancel-disj*[presburger]

lemma *nat-mult-dvd-cancel-disj* [presburger]:
 $(m::nat)*k \text{ dvd } n*k \longleftrightarrow k = 0 \vee m \text{ dvd } n$ $\langle proof \rangle$

lemma *divides-mul-l*: $(a::nat) \text{ dvd } b \implies (c * a) \text{ dvd } (c * b)$
 $\langle proof \rangle$

lemma *divides-mul-r*: $(a::nat) \text{ dvd } b \implies (a * c) \text{ dvd } (b * c)$ $\langle proof \rangle$

lemma *divides-cases*: $(n::nat) \text{ dvd } m \implies m = 0 \vee m = n \vee 2 * n \leq m$
 $\langle proof \rangle$

lemma *divides-le*: $m \text{ dvd } n \implies m \leq n \vee n = 0$ $\langle proof \rangle$

lemma *divides-div-not*: $(x::nat) = (q * n) + r \implies 0 < r \implies r < n \implies \sim(n \text{ dvd } x)$
 $\langle proof \rangle$

lemma *divides-exp*: $(x::nat) \text{ dvd } y \implies x^n \text{ dvd } y^n$
 $\langle proof \rangle$

lemma *divides-exp2*: $n \neq 0 \implies (x::nat)^n \text{ dvd } y \implies x \text{ dvd } y$
 $\langle proof \rangle$

fun *fact* :: $nat \Rightarrow nat$ **where**

fact 0 = 1

| *fact* (Suc n) = Suc n * *fact* n

lemma *fact-lt*: $0 < \text{fact } n$ $\langle proof \rangle$

lemma *fact-le*: $\text{fact } n \geq 1$ $\langle proof \rangle$

lemma *fact-mono*: **assumes** $le: m \leq n$ **shows** $\text{fact } m \leq \text{fact } n$
 $\langle proof \rangle$

lemma *divides-fact*: $1 \leq p \implies p \leq n \implies p \text{ dvd } \text{fact } n$
 $\langle proof \rangle$

```

declare dvd-triv-left[presburger]
declare dvd-triv-right[presburger]
lemma divides-rexp:
   $x \text{ dvd } y \implies (x::\text{nat}) \text{ dvd } (y^\wedge(\text{Suc } n))$  <proof>

```

The Bezout theorem is a bit ugly for N; it'd be easier for Z

```

lemma ind-euclid:
  assumes c:  $\forall a \ b. P \ (a::\text{nat}) \ b \longleftrightarrow P \ b \ a$  and z:  $\forall a. P \ a \ 0$ 
  and add:  $\forall a \ b. P \ a \ b \longrightarrow P \ a \ (a + b)$ 
  shows  $P \ a \ b$ 
<proof>

```

```

lemma bezout-lemma:
  assumes ex:  $\exists (d::\text{nat}) \ x \ y. d \text{ dvd } a \wedge d \text{ dvd } b \wedge (a * x = b * y + d \vee b * x = a * y + d)$ 
  shows  $\exists d \ x \ y. d \text{ dvd } a \wedge d \text{ dvd } a + b \wedge (a * x = (a + b) * y + d \vee (a + b) * x = a * y + d)$ 
<proof>

```

```

lemma bezout-add:  $\exists (d::\text{nat}) \ x \ y. d \text{ dvd } a \wedge d \text{ dvd } b \wedge (a * x = b * y + d \vee b * x = a * y + d)$ 
<proof>

```

```

lemma bezout:  $\exists (d::\text{nat}) \ x \ y. d \text{ dvd } a \wedge d \text{ dvd } b \wedge (a * x - b * y = d \vee b * x - a * y = d)$ 
<proof>

```

We can get a stronger version with a nonzeroness assumption.

```

lemma bezout-add-strong: assumes nz:  $a \neq (0::\text{nat})$ 
  shows  $\exists d \ x \ y. d \text{ dvd } a \wedge d \text{ dvd } b \wedge a * x = b * y + d$ 
<proof>

```

Greatest common divisor.

```

lemma gcd-unique:  $d \text{ dvd } a \wedge d \text{ dvd } b \wedge (\forall e. e \text{ dvd } a \wedge e \text{ dvd } b \longrightarrow e \text{ dvd } d) \longleftrightarrow d = \text{gcd}(a,b)$ 
<proof>

```

```

lemma gcd-eq: assumes H:  $\forall d. d \text{ dvd } x \wedge d \text{ dvd } y \longleftrightarrow d \text{ dvd } u \wedge d \text{ dvd } v$ 
  shows  $\text{gcd}(x,y) = \text{gcd}(u,v)$ 
<proof>

```

```

lemma bezout-gcd:  $\exists x \ y. a * x - b * y = \text{gcd}(a,b) \vee b * x - a * y = \text{gcd}(a,b)$ 
<proof>

```

```

lemma bezout-gcd-strong: assumes a:  $a \neq 0$ 
  shows  $\exists x \ y. a * x = b * y + \text{gcd}(a,b)$ 
<proof>

```


lemma *gcd-mult-distrib*: $\text{gcd}(a * c, b * c) = c * \text{gcd}(a, b)$
 $\langle \text{proof} \rangle$

lemma *gcd-bezout*: $(\exists x y. a * x - b * y = d \vee b * x - a * y = d) \longleftrightarrow \text{gcd}(a, b) \text{ dvd } d$
 (is ?lhs \longleftrightarrow ?rhs)
 $\langle \text{proof} \rangle$

lemma *gcd-bezout-sum*: **assumes** $H: a * x + b * y = d$ **shows** $\text{gcd}(a, b) \text{ dvd } d$
 $\langle \text{proof} \rangle$

lemma *gcd-mult'*: $\text{gcd}(b, a * b) = b$
 $\langle \text{proof} \rangle$

lemma *gcd-add*: $\text{gcd}(a + b, b) = \text{gcd}(a, b)$ $\text{gcd}(b + a, b) = \text{gcd}(a, b)$ $\text{gcd}(a, a + b) = \text{gcd}(a, b)$ $\text{gcd}(a, b + a) = \text{gcd}(a, b)$
 $\langle \text{proof} \rangle$

lemma *gcd-sub*: $b \leq a \implies \text{gcd}(a - b, b) = \text{gcd}(a, b)$ $a \leq b \implies \text{gcd}(a, b - a) = \text{gcd}(a, b)$
 $\langle \text{proof} \rangle$

Coprimality

lemma *coprime*: $\text{coprime } a \ b \longleftrightarrow (\forall d. d \text{ dvd } a \wedge d \text{ dvd } b \longleftrightarrow d = 1)$
 $\langle \text{proof} \rangle$

lemma *coprime-commute*: $\text{coprime } a \ b \longleftrightarrow \text{coprime } b \ a$ $\langle \text{proof} \rangle$

lemma *coprime-bezout*: $\text{coprime } a \ b \longleftrightarrow (\exists x y. a * x - b * y = 1 \vee b * x - a * y = 1)$
 $\langle \text{proof} \rangle$

lemma *coprime-divprod*: $d \text{ dvd } a * b \implies \text{coprime } d \ a \implies d \text{ dvd } b$
 $\langle \text{proof} \rangle$

lemma *coprime-1[simp]*: $\text{coprime } a \ 1$ $\langle \text{proof} \rangle$

lemma *coprime-1'[simp]*: $\text{coprime } 1 \ a$ $\langle \text{proof} \rangle$

lemma *coprime-Suc0[simp]*: $\text{coprime } a \ (\text{Suc } 0)$ $\langle \text{proof} \rangle$

lemma *coprime-Suc0'[simp]*: $\text{coprime } (\text{Suc } 0) \ a$ $\langle \text{proof} \rangle$

lemma *gcd-coprime*:

assumes $z: \text{gcd}(a, b) \neq 0$ **and** $a: a = a' * \text{gcd}(a, b)$ **and** $b: b = b' * \text{gcd}(a, b)$
shows $\text{coprime } a' \ b'$

$\langle \text{proof} \rangle$

lemma *coprime-0*: $\text{coprime } d \ 0 \longleftrightarrow d = 1$ $\langle \text{proof} \rangle$

lemma *coprime-mul*: **assumes** $da: \text{coprime } d \ a$ **and** $db: \text{coprime } d \ b$
shows $\text{coprime } d \ (a * b)$

$\langle \text{proof} \rangle$

lemma *coprime-lmul2*: **assumes** $dab: \text{coprime } d \ (a * b)$ **shows** $\text{coprime } d \ b$
 $\langle \text{proof} \rangle$

lemma *coprime-rmul2*: $\text{coprime } d \ (a * b) \implies \text{coprime } d \ a$

<proof>

lemma *coprime-mul-eq*: $\text{coprime } d \ (a * b) \longleftrightarrow \text{coprime } d \ a \wedge \text{coprime } d \ b$

<proof>

lemma *gcd-coprime-exists*:

assumes *nz*: $\text{gcd}(a, b) \neq 0$

shows $\exists a' \ b'. \ a = a' * \text{gcd}(a, b) \wedge b = b' * \text{gcd}(a, b) \wedge \text{coprime } a' \ b'$

<proof>

lemma *coprime-exp*: $\text{coprime } d \ a \implies \text{coprime } d \ (a^n)$

<proof>

lemma *coprime-exp-imp*: $\text{coprime } a \ b \implies \text{coprime } (a^n) \ (b^n)$

<proof>

lemma *coprime-refl[simp]*: $\text{coprime } n \ n \longleftrightarrow n = 1$ *<proof>*

lemma *coprime-plus1[simp]*: $\text{coprime } (n + 1) \ n$

<proof>

lemma *coprime-minus1*: $n \neq 0 \implies \text{coprime } (n - 1) \ n$

<proof>

lemma *bezout-gcd-pow*: $\exists x \ y. \ a^n * x - b^n * y = \text{gcd}(a, b)^n \vee b^n * x - a^n * y = \text{gcd}(a, b)^n$

<proof>

lemma *gcd-exp*: $\text{gcd } (a^n, b^n) = \text{gcd}(a, b)^n$

<proof>

lemma *coprime-exp2*: $\text{coprime } (a^{Suc \ n}) \ (b^{Suc \ n}) \longleftrightarrow \text{coprime } a \ b$

<proof>

lemma *division-decomp*: **assumes** *dc*: $(a::nat) \ \text{dvd} \ b * c$

shows $\exists b' \ c'. \ a = b' * c' \wedge b' \ \text{dvd} \ b \wedge c' \ \text{dvd} \ c$

<proof>

lemma *nat-power-eq-0-iff*: $(m::nat)^n = 0 \longleftrightarrow n \neq 0 \wedge m = 0$ *<proof>*

lemma *divides-rev*: **assumes** *ab*: $(a::nat)^n \ \text{dvd} \ b^n$ **and** $n:n \neq 0$ **shows** $a \ \text{dvd} \ b$

<proof>

lemma *divides-mul*: **assumes** *mr*: $m \ \text{dvd} \ r$ **and** *nr*: $n \ \text{dvd} \ r$ **and** *mn*: $\text{coprime } m \ n$

shows $m * n \ \text{dvd} \ r$

<proof>

A binary form of the Chinese Remainder Theorem.

lemma *chinese-remainder*: **assumes** *ab*: $\text{coprime } a \ b$ **and** $a:a \neq 0$ **and** $b:b \neq 0$

shows $\exists x \ q1 \ q2. \ x = u + q1 * a \wedge x = v + q2 * b$

<proof>

Primality

A few useful theorems about primes

lemma *prime-0[simp]*: $\sim \text{prime } 0$ *<proof>*

lemma *prime-1[simp]*: $\sim \text{prime } 1$ *<proof>*

lemma *prime-Suc0[simp]*: $\sim \text{prime } (\text{Suc } 0)$ *<proof>*

lemma *prime-ge-2*: $\text{prime } p \implies p \geq 2$ *<proof>*

lemma *prime-factor*: **assumes** $n: n \neq 1$ **shows** $\exists p. \text{prime } p \wedge p \text{ dvd } n$
<proof>

lemma *prime-factor-lt*: **assumes** $p: \text{prime } p$ **and** $n: n \neq 0$ **and** $\text{npm}: n = p * m$
shows $m < n$

<proof>

lemma *euclid-bound*: $\exists p. \text{prime } p \wedge n < p \wedge p \leq \text{Suc } (\text{fact } n)$

<proof>

lemma *euclid*: $\exists p. \text{prime } p \wedge p > n$ *<proof>*

lemma *primes-infinite*: $\neg (\text{finite } \{p. \text{prime } p\})$

<proof>

lemma *coprime-prime*: **assumes** $ab: \text{coprime } a \ b$

shows $\sim (\text{prime } p \wedge p \text{ dvd } a \wedge p \text{ dvd } b)$

<proof>

lemma *coprime-prime-eq*: $\text{coprime } a \ b \longleftrightarrow (\forall p. \sim (\text{prime } p \wedge p \text{ dvd } a \wedge p \text{ dvd } b))$

(**is** *?lhs* = *?rhs*)

<proof>

lemma *prime-coprime*: **assumes** $p: \text{prime } p$

shows $n = 1 \vee p \text{ dvd } n \vee \text{coprime } p \ n$

<proof>

lemma *prime-coprime-strong*: $\text{prime } p \implies p \text{ dvd } n \vee \text{coprime } p \ n$

<proof>

declare *coprime-0[simp]*

lemma *coprime-0'[simp]*: $\text{coprime } 0 \ d \longleftrightarrow d = 1$ *<proof>*

lemma *coprime-bezout-strong*: **assumes** $ab: \text{coprime } a \ b$ **and** $b: b \neq 1$

shows $\exists x \ y. a * x = b * y + 1$

<proof>

lemma *bezout-prime*: **assumes** $p: \text{prime } p$ **and** $pa: \neg p \text{ dvd } a$

shows $\exists x \ y. a * x = p * y + 1$

<proof>

lemma *prime-divprod*: **assumes** $p: \text{prime } p$ **and** $pab: p \text{ dvd } a * b$

shows $p \text{ dvd } a \vee p \text{ dvd } b$
 $\langle \text{proof} \rangle$

lemma *prime-divprod-eq*: **assumes** p : prime p
shows $p \text{ dvd } a * b \longleftrightarrow p \text{ dvd } a \vee p \text{ dvd } b$
 $\langle \text{proof} \rangle$

lemma *prime-divexp*: **assumes** p : prime p **and** px : $p \text{ dvd } x^n$
shows $p \text{ dvd } x$
 $\langle \text{proof} \rangle$

lemma *prime-divexp-n*: prime $p \implies p \text{ dvd } x^n \implies p^n \text{ dvd } x^n$
 $\langle \text{proof} \rangle$

lemma *coprime-prime-dvd-ex*: **assumes** xy : $\neg \text{coprime } x \ y$
shows $\exists p. \text{ prime } p \wedge p \text{ dvd } x \wedge p \text{ dvd } y$
 $\langle \text{proof} \rangle$

lemma *coprime-sos*: **assumes** xy : coprime $x \ y$
shows coprime $(x * y) (x^2 + y^2)$
 $\langle \text{proof} \rangle$

lemma *distinct-prime-coprime*: prime $p \implies \text{ prime } q \implies p \neq q \implies \text{ coprime } p \ q$
 $\langle \text{proof} \rangle$

lemma *prime-coprime-lt*: **assumes** p : prime p **and** x : $0 < x$ **and** xp : $x < p$
shows coprime $x \ p$
 $\langle \text{proof} \rangle$

lemma *even-dvd[simp]*: even $(n::\text{nat}) \longleftrightarrow 2 \text{ dvd } n$ $\langle \text{proof} \rangle$

lemma *prime-odd*: prime $p \implies p = 2 \vee \text{ odd } p$ $\langle \text{proof} \rangle$

One property of coprimality is easier to prove via prime factors.

lemma *prime-divprod-pow*:
assumes p : prime p **and** ab : coprime $a \ b$ **and** pab : $p^n \text{ dvd } a * b$
shows $p^n \text{ dvd } a \vee p^n \text{ dvd } b$
 $\langle \text{proof} \rangle$

lemma *nat-mult-eq-one*: $(n::\text{nat}) * m = 1 \longleftrightarrow n = 1 \wedge m = 1$ (**is** ?lhs \longleftrightarrow ?rhs)
 $\langle \text{proof} \rangle$

lemma *power-Suc0[simp]*: $\text{Suc } 0^n = \text{Suc } 0$
 $\langle \text{proof} \rangle$

lemma *coprime-pow*: **assumes** ab : coprime $a \ b$ **and** $abcn$: $a * b = c^n$
shows $\exists r \ s. a = r^n \wedge b = s^n$
 $\langle \text{proof} \rangle$

More useful lemmas.

lemma *prime-product*:

prime ($p * q$) $\implies p = 1 \vee q = 1$ $\langle \text{proof} \rangle$

lemma *prime-exp*: *prime* ($p \wedge n$) \longleftrightarrow *prime* $p \wedge n = 1$
 $\langle \text{proof} \rangle$

lemma *prime-power-mult*:
assumes p : *prime* p **and** xy : $x * y = p \wedge k$
shows $\exists i j. x = p \wedge i \wedge y = p \wedge j$
 $\langle \text{proof} \rangle$

lemma *prime-power-exp*: **assumes** p : *prime* p **and** n : $n \neq 0$
and xn : $x \wedge n = p \wedge k$ **shows** $\exists i. x = p \wedge i$
 $\langle \text{proof} \rangle$

lemma *divides-primelow*: **assumes** p : *prime* p
shows $d \text{ dvd } p \wedge k \longleftrightarrow (\exists i. i \leq k \wedge d = p \wedge i)$
 $\langle \text{proof} \rangle$

lemma *coprime-divisors*: $d \text{ dvd } a \implies e \text{ dvd } b \implies \text{coprime } a b \implies \text{coprime } d e$
 $\langle \text{proof} \rangle$

declare *power-Suc0* [simp del]

declare *even-dvd* [simp del]

end

47 Quicksort: Quicksort

theory *Quicksort*

imports *Multiset*

begin

context *linorder*

begin

function *quicksort* :: 'a list \Rightarrow 'a list **where**

quicksort [] = [] |

quicksort ($x \# xs$) = *quicksort* ($[y \leftarrow xs. \sim x \leq y]$) @ [x] @ *quicksort* ($[y \leftarrow xs. x \leq y]$)

$\langle \text{proof} \rangle$

termination

$\langle \text{proof} \rangle$

end

context *linorder*

begin

lemma *quicksort-permutes* [simp]:

```

    multiset-of (quicksort xs) = multiset-of xs
  <proof>

```

```

lemma set-quicksort [simp]: set (quicksort xs) = set xs
  <proof>

```

```

lemma sorted-quicksort: sorted(quicksort xs)
  <proof>

```

```

end

```

```

end

```

48 Quotient: Quotient types

```

theory Quotient
imports ATP-Linkup Hilbert-Choice
begin

```

We introduce the notion of quotient types over equivalence relations via type classes.

48.1 Equivalence relations and quotient types

Type class *equiv* models equivalence relations $\sim :: 'a \Rightarrow 'a \Rightarrow \text{bool}$.

```

class eqv = type +
  fixes eqv :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool    (infixl  $\sim$  50)

class equiv = eqv +
  assumes equiv-refl [intro]: x  $\sim$  x
  assumes equiv-trans [trans]: x  $\sim$  y  $\Longrightarrow$  y  $\sim$  z  $\Longrightarrow$  x  $\sim$  z
  assumes equiv-sym [sym]: x  $\sim$  y  $\Longrightarrow$  y  $\sim$  x

```

```

lemma equiv-not-sym [sym]:  $\neg$  (x  $\sim$  y)  $\Longrightarrow$   $\neg$  (y  $\sim$  (x::'a::equiv))
  <proof>

```

```

lemma not-equiv-trans1 [trans]:  $\neg$  (x  $\sim$  y)  $\Longrightarrow$  y  $\sim$  z  $\Longrightarrow$   $\neg$  (x  $\sim$  (z::'a::equiv))
  <proof>

```

```

lemma not-equiv-trans2 [trans]: x  $\sim$  y  $\Longrightarrow$   $\neg$  (y  $\sim$  z)  $\Longrightarrow$   $\neg$  (x  $\sim$  (z::'a::equiv))
  <proof>

```

The quotient type *'a quot* consists of all *equivalence classes* over elements of the base type *'a*.

```

typedef 'a quot = { {x. a  $\sim$  x} | a::'a::eqv. True }
  <proof>

```

lemma *quotI* [*intro*]: $\{x. a \sim x\} \in \text{quot}$
 $\langle \text{proof} \rangle$

lemma *quotE* [*elim*]: $R \in \text{quot} \implies (!a. R = \{x. a \sim x\} \implies C) \implies C$
 $\langle \text{proof} \rangle$

Abstracted equivalence classes are the canonical representation of elements of a quotient type.

definition

class :: 'a::equiv \Rightarrow 'a *quot* ($\lfloor _ \rfloor$) **where**
 $\lfloor a \rfloor = \text{Abs-quot } \{x. a \sim x\}$

theorem *quot-exhaust*: $\exists a. A = \lfloor a \rfloor$
 $\langle \text{proof} \rangle$

lemma *quot-cases* [*cases type: quot*]: $(!a. A = \lfloor a \rfloor \implies C) \implies C$
 $\langle \text{proof} \rangle$

48.2 Equality on quotients

Equality of canonical quotient elements coincides with the original relation.

theorem *quot-equality* [*iff?*]: $(\lfloor a \rfloor = \lfloor b \rfloor) = (a \sim b)$
 $\langle \text{proof} \rangle$

48.3 Picking representing elements

definition

pick :: 'a::equiv *quot* \Rightarrow 'a **where**
 $\text{pick } A = (\text{SOME } a. A = \lfloor a \rfloor)$

theorem *pick-equiv* [*intro*]: $\text{pick } \lfloor a \rfloor \sim a$
 $\langle \text{proof} \rangle$

theorem *pick-inverse* [*intro*]: $\lfloor \text{pick } A \rfloor = A$
 $\langle \text{proof} \rangle$

The following rules support canonical function definitions on quotient types (with up to two arguments). Note that the stripped-down version without additional conditions is sufficient most of the time.

theorem *quot-cond-function*:

assumes *eq*: $!!X Y. P X Y \implies f X Y = g (\text{pick } X) (\text{pick } Y)$
and *cong*: $!!x x' y y'. \lfloor x \rfloor = \lfloor x' \rfloor \implies \lfloor y \rfloor = \lfloor y' \rfloor$
 $\implies P \lfloor x \rfloor \lfloor y \rfloor \implies P \lfloor x' \rfloor \lfloor y' \rfloor \implies g x y = g x' y'$
and *P*: $P \lfloor a \rfloor \lfloor b \rfloor$
shows $f \lfloor a \rfloor \lfloor b \rfloor = g a b$
 $\langle \text{proof} \rangle$

theorem *quot-function*:

assumes $!!X\ Y. f\ X\ Y == g\ (pick\ X)\ (pick\ Y)$
and $!!x\ x'\ y\ y'. \lfloor x \rfloor = \lfloor x' \rfloor ==> \lfloor y \rfloor = \lfloor y' \rfloor ==> g\ x\ y = g\ x'\ y'$
shows $f\ \lfloor a \rfloor\ \lfloor b \rfloor = g\ a\ b$
 $\langle proof \rangle$

theorem *quot-function'*:

$(!!X\ Y. f\ X\ Y == g\ (pick\ X)\ (pick\ Y)) ==>$
 $(!!x\ x'\ y\ y'. x \sim x' ==> y \sim y' ==> g\ x\ y = g\ x'\ y') ==>$
 $f\ \lfloor a \rfloor\ \lfloor b \rfloor = g\ a\ b$
 $\langle proof \rangle$

end

49 Ramsey: Ramsey’s Theorem

theory *Ramsey*

imports *ATP-Linkup Infinite-Set*

begin

49.1 Preliminaries

49.1.1 “Axiom” of Dependent Choice

consts *choice* :: $('a ==> bool) ==> ('a * 'a)\ set ==> nat ==> 'a$
— An integer-indexed chain of choices

primrec

choice-0: $choice\ P\ r\ 0 = (SOME\ x. P\ x)$

choice-Suc: $choice\ P\ r\ (Suc\ n) = (SOME\ y. P\ y \ \&\ (choice\ P\ r\ n, y) \in r)$

lemma *choice-n*:

assumes $P0$: $P\ x0$
and *Pstep*: $!!x. P\ x ==> \exists y. P\ y \ \&\ (x, y) \in r$
shows $P\ (choice\ P\ r\ n)$
 $\langle proof \rangle$

lemma *dependent-choice*:

assumes *trans*: $trans\ r$
and $P0$: $P\ x0$
and *Pstep*: $!!x. P\ x ==> \exists y. P\ y \ \&\ (x, y) \in r$
obtains $f :: nat ==> 'a$ **where**
 $!!n. P\ (f\ n)$ **and** $!!n\ m. n < m ==> (f\ n, f\ m) \in r$
 $\langle proof \rangle$

49.1.2 Partitions of a Set

definition

$part :: nat \Rightarrow nat \Rightarrow 'a\ set \Rightarrow ('a\ set \Rightarrow nat) \Rightarrow bool$

— the function f partitions the r -subsets of the typically infinite set Y into s distinct categories.

where

$part\ r\ s\ Y\ f = (\forall X. X \subseteq Y \ \& \ finite\ X \ \& \ card\ X = r \longrightarrow f\ X < s)$

For induction, we decrease the value of r in partitions.

lemma *part-Suc-imp-part*:

$[[\ infinite\ Y; \ part\ (Suc\ r)\ s\ Y\ f; \ y \in Y \]]$
 $\implies part\ r\ s\ (Y - \{y\})\ (\%u. f\ (insert\ y\ u))$

$\langle proof \rangle$

lemma *part-subset*: $part\ r\ s\ YY\ f \implies Y \subseteq YY \implies part\ r\ s\ Y\ f$

$\langle proof \rangle$

49.2 Ramsey’s Theorem: Infinitary Version

lemma *Ramsey-induction*:

fixes s **and** $r::nat$

shows

$!!(YY::'a\ set)\ (f::'a\ set \Rightarrow nat).$

$[[\ infinite\ YY; \ part\ r\ s\ YY\ f \]]$

$\implies \exists Y'\ t'. Y' \subseteq YY \ \& \ infinite\ Y' \ \& \ t' < s \ \&$

$(\forall X. X \subseteq Y' \ \& \ finite\ X \ \& \ card\ X = r \longrightarrow f\ X = t')$

$\langle proof \rangle$

theorem *Ramsey*:

fixes $s\ r :: nat$ **and** $Z::'a\ set$ **and** $f::'a\ set \Rightarrow nat$

shows

$[[\ infinite\ Z;$

$\forall X. X \subseteq Z \ \& \ finite\ X \ \& \ card\ X = r \longrightarrow f\ X < s \]]$

$\implies \exists Y\ t. Y \subseteq Z \ \& \ infinite\ Y \ \& \ t < s$

$\ \& \ (\forall X. X \subseteq Y \ \& \ finite\ X \ \& \ card\ X = r \longrightarrow f\ X = t)$

$\langle proof \rangle$

corollary *Ramsey2*:

fixes $s::nat$ **and** $Z::'a\ set$ **and** $f::'a\ set \Rightarrow nat$

assumes $infZ: infinite\ Z$

and $part: \forall x \in Z. \forall y \in Z. x \neq y \longrightarrow f\ \{x,y\} < s$

shows

$\exists Y\ t. Y \subseteq Z \ \& \ infinite\ Y \ \& \ t < s \ \& \ (\forall x \in Y. \forall y \in Y. x \neq y \longrightarrow f\ \{x,y\} = t)$

$\langle proof \rangle$

An application of Ramsey's theorem to program termination. See [5].

$$disj\text{-}wf \quad :: ('a * 'a) \text{set} \Rightarrow bool$$
$$disj\text{-}wf\ r = (\exists T. \exists n::nat. (\forall i < n. wf(T\ i)) \ \& \ r = (\bigcup i < n. T\ i))$$
$$transition_idx :: [nat \Rightarrow 'a, nat \Rightarrow ('a * 'a) set, nat set] \Rightarrow nat$$
$$\text{transition-idx } s \ T \ A = \\ (LEAST \ k. \ \exists i \ j. \ A = \{i, j\} \ \& \ i < j \ \& \ (s \ j, s \ i) \in T \ k)$$
$$[i < j; (s_j, s_i) \in T_k; k < n] \implies \text{transition-idx } s \ T \ \{i, j\} < n$$
$$[i < j; (s\ j, s\ i) \in T\ k] ==> (s\ j, s\ i) \in T\ (transition_idx\ s\ T\ \{i,j\})$$
$$disj\text{-}wf(r) = (\exists T. \exists n::nat. (\forall i < n. wf(T\ i)) \ \& \ r \subseteq (\bigcup_{i < n. T\ i}))$$

shows $wf\ r$

50 RBT: Red-Black Trees

The type $(\text{'}k, \text{'}v)$ *rbt* denotes red-black trees with keys of type $\text{'}k$ and values of type $\text{'}v$. To function properly, the key type must belong to the *linorder*

class.

A value t of this type is a valid red-black tree if it satisfies the invariant $isrbt\ t$. This theory provides lemmas to prove that the invariant is satisfied throughout the computation.

The interpretation function $RBT.map\text{-}of$ returns the partial map represented by a red-black tree:

$$RBT.map\text{-}of::('a, 'b)\ rbt \Rightarrow 'a \multimap 'b$$

This function should be used for reasoning about the semantics of the RBT operations. Furthermore, it implements the lookup functionality for the data structure: It is executable and the lookup is performed in $O(\log n)$.

50.2 Operations

Currently, the following operations are supported:

$$Empty::('a, 'b)\ rbt$$

Returns the empty tree. $O(1)$

$$insrt::'a \Rightarrow 'b \Rightarrow ('a, 'b)\ rbt \Rightarrow ('a, 'b)\ rbt$$

Updates the map at a given position. $O(\log n)$

$$RBT.delete::'a \Rightarrow ('a, 'b)\ rbt \Rightarrow ('a, 'b)\ rbt$$

Deletes a map entry at a given position. $O(\log n)$

$$union::('a, 'b)\ rbt \Rightarrow ('a, 'b)\ rbt \Rightarrow ('a, 'b)\ rbt$$

Forms the union of two trees, preferring entries from the first one.

$$RBT.map::('a \Rightarrow 'b) \Rightarrow ('c, 'a)\ rbt \Rightarrow ('c, 'b)\ rbt$$

Maps a function over the values of a map. $O(n)$

50.3 Invariant preservation

$$isrbt\ Empty$$

$$(Empty\text{-}isrbt)$$

$$isrbt\ ?t \Longrightarrow isrbt\ (insrt\ ?k\ ?v\ ?t)$$

$$(insrt\text{-}isrbt)$$

$$isrbt\ ?t \Longrightarrow isrbt\ (RBT.delete\ ?k\ ?t)$$

$$(delete\text{-}isrbt)$$

$$isrbt\ ?lt \Longrightarrow isrbt\ (union\ ?lt\ ?rt)$$

$$(union\text{-}isrbt)$$

$$isrbt\ (RBT.map\ ?f\ ?t) = isrbt\ ?t$$

$$(map\text{-}isrbt)$$

50.4 Map Semantics

map-of-Empty

$RBT.map\text{-}of\ Empty = empty$

map-of-insert

$isrbt\ ?t \implies RBT.map\text{-}of\ (insrt\ ?k\ ?v\ ?t) = RBT.map\text{-}of\ ?t(\ ?k \mapsto ?v)$

map-of-delete

$isrbt\ ?t \implies RBT.map\text{-}of\ (RBT.delete\ ?k\ ?t) = RBT.map\text{-}of\ ?t|_{(-\ \{?k\})}$

map-of-union

$\llbracket isrbt\ ?s; st\ ?t \rrbracket$
 $\implies RBT.map\text{-}of\ (union\ ?s\ ?t) = RBT.map\text{-}of\ ?s ++ RBT.map\text{-}of\ ?t$

map-of-map

$RBT.map\text{-}of\ (RBT.map\ ?f\ ?t) = option\text{-}map\ ?f \circ RBT.map\text{-}of\ ?t$

end

51 State-Monad: Combinators syntax for generic, open state monads (single threaded monads)

theory *State-Monad*
imports *List*
begin

51.1 Motivation

The logic HOL has no notion of constructor classes, so it is not possible to model monads the Haskell way in full genericity in Isabelle/HOL.

However, this theory provides substantial support for a very common class of monads: *state monads* (or *single-threaded monads*, since a state is transformed single-threaded).

To enter from the Haskell world, http://www.engr.mun.ca/~theo/Misc/haskell_and_monads.htm makes a good motivating start. Here we just sketch briefly how those monads enter the game of Isabelle/HOL.

51.2 State transformations and combinators

We classify functions operating on states into two categories:

transformations with type signature $\sigma \Rightarrow \sigma'$, transforming a state.

“yielding” transformations with type signature $\sigma \Rightarrow \alpha \times \sigma'$, “yielding” a side result while transforming a state.

queries with type signature $\sigma \Rightarrow \alpha$, computing a result dependent on a state.

By convention we write σ for types representing states and $\alpha, \beta, \gamma, \dots$ for types representing side results. Type changes due to transformations are not excluded in our scenario.

We aim to assert that values of any state type σ are used in a single-threaded way: after application of a transformation on a value of type σ , the former value should not be used again. To achieve this, we use a set of monad combinators:

notation *fcomp* (**infixl** >> 60)

notation (*xsymbols*) *fcomp* (**infixl** >> 60)

notation *scomp* (**infixl** >>= 60)

notation (*xsymbols*) *scomp* (**infixl** >>= 60)

abbreviation (*input*)

return \equiv *Pair*

definition

run :: ($'a \Rightarrow 'b$) $\Rightarrow 'a \Rightarrow 'b$ **where**

run *f* = *f*

$\langle ML \rangle$

Given two transformations *f* and *g*, they may be directly composed using the *op* >> combinator, forming a forward composition: $(f \gg g) s = f (g s)$.

After any yielding transformation, we bind the side result immediately using a lambda abstraction. This is the purpose of the *op* >>= combinator: $(f \gg= (\lambda x. g)) s = (let (x, s') = f s in g s')$.

For queries, the existing *Let* is appropriate.

Naturally, a computation may yield a side result by pairing it to the state from the left; we introduce the suggestive abbreviation *Pair* for this purpose.

The *run* is just a marker.

The most crucial distinction to Haskell is that we do not need to introduce distinguished type constructors for different kinds of state. This has two consequences:

- The monad model does not state anything about the kind of state; the model for the state is completely orthogonal and may be specified completely independently.
- There is no distinguished type constructor encapsulating away the state transformation, i.e. transformations may be applied directly without using any lifting or providing and dropping units (“open monad”).
- The type of states may change due to a transformation.

51.3 Obsolete runs

run is just a doodle and should not occur nested:

lemma *run-simp* [*simp*]:

$$\begin{aligned} \bigwedge f. \text{run } (\text{run } f) &= \text{run } f \\ \bigwedge f g. \text{run } f \gg= g &= f \gg= g \\ \bigwedge f g. \text{run } f \gg g &= f \gg g \\ \bigwedge f g. f \gg= (\lambda x. \text{run } g) &= f \gg= (\lambda x. g) \\ \bigwedge f g. f \gg \text{run } g &= f \gg g \\ \bigwedge f. f = \text{run } f &\longleftrightarrow \text{True} \\ \bigwedge f. \text{run } f = f &\longleftrightarrow \text{True} \end{aligned}$$

<proof>

51.4 Monad laws

The common monadic laws hold and may also be used as normalization rules for monadic expressions:

lemma

return-scomp [*simp*]: $\text{return } x \gg= f = f x$
<proof>

lemma

scomp-return [*simp*]: $x \gg= \text{return} = x$
<proof>

lemma

id-fcomp [*simp*]: $\text{id} \gg f = f$
<proof>

lemma

fcomp-id [*simp*]: $f \gg \text{id} = f$
<proof>

lemma

scomp-scomp [*simp*]: $(f \gg= g) \gg= h = f \gg= (\lambda x. g x \gg= h)$
<proof>

lemma

scomp-fcomp [simp]: $(f \gg= g) \gg h = f \gg= (\lambda x. g\ x \gg h)$
 $\langle proof \rangle$

lemma

fcomp-scomp [simp]: $(f \gg g) \gg= h = f \gg (g \gg= h)$
 $\langle proof \rangle$

lemma

fcomp-fcomp [simp]: $(f \gg g) \gg h = f \gg (g \gg h)$
 $\langle proof \rangle$

lemmas *monad-simp = run-simp return-scomp scomp-return id-fcomp fcomp-id*
scomp-scomp scomp-fcomp fcomp-scomp fcomp-fcomp

Evaluation of monadic expressions by force:

lemmas *monad-collapse = monad-simp o-apply o-assoc split-Pair split-comp*
scomp-def fcomp-def run-def

51.5 ML abstract operations

$\langle ML \rangle$

51.6 Syntax

We provide a convenient *do*-notation for monadic expressions well-known from Haskell. *Let* is printed specially in *do*-expressions.

nonterminals *do-expr*

syntax

-do :: *do-expr* \Rightarrow 'a
 (do - done [12] 12)
-scomp :: *pttrn* \Rightarrow 'a \Rightarrow *do-expr* \Rightarrow *do-expr*
 (- <- -;/ - [1000, 13, 12] 12)
-fcomp :: 'a \Rightarrow *do-expr* \Rightarrow *do-expr*
 (-;/ - [13, 12] 12)
-let :: *pttrn* \Rightarrow 'a \Rightarrow *do-expr* \Rightarrow *do-expr*
 (let - = -;/ - [1000, 13, 12] 12)
-nil :: 'a \Rightarrow *do-expr*
 (- [12] 12)

syntax (*xsymbols*)

-scomp :: *pttrn* \Rightarrow 'a \Rightarrow *do-expr* \Rightarrow *do-expr*
 (- \leftarrow -;/ - [1000, 13, 12] 12)

translations

-do *f* \Rightarrow *CONST* *run* *f*
-scomp *x f g* \Rightarrow *f* $\gg=$ ($\lambda x. g$)
-fcomp *f g* \Rightarrow *f* $\gg g$

-let $x\ t\ f \Rightarrow \text{CONST Let } t\ (\lambda x. f)$
 -nil $f \Rightarrow f$

$\langle ML \rangle$

51.7 Combinators

definition

$lift :: ('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'c \Rightarrow 'b \times 'c$ **where**
 $lift\ f\ x = return\ (f\ x)$

primrec

$list :: ('a \Rightarrow 'b \Rightarrow 'b) \Rightarrow 'a\ list \Rightarrow 'b \Rightarrow 'b$ **where**
 $list\ f\ [] = id$
 $| list\ f\ (x\#xs) = (do\ f\ x; list\ f\ xs\ done)$

primrec

$list-yield :: ('a \Rightarrow 'b \Rightarrow 'c \times 'b) \Rightarrow 'a\ list \Rightarrow 'b \Rightarrow 'c\ list \times 'b$ **where**
 $list-yield\ f\ [] = return\ []$
 $| list-yield\ f\ (x\#xs) = (do\ y \leftarrow f\ x; ys \leftarrow list-yield\ f\ xs; return\ (y\#ys)\ done)$

definition

$collapse :: ('a \Rightarrow ('a \Rightarrow 'b \times 'a) \times 'a) \Rightarrow 'a \Rightarrow 'b \times 'a$ **where**
 $collapse\ f = (do\ g \leftarrow f; g\ done)$

combinator properties

lemma *list-append* [*simp*]:

$list\ f\ (xs\ @\ ys) = list\ f\ xs\ \gg\ list\ f\ ys$
 $\langle proof \rangle$

lemma *list-cong* [*fundef-cong*, *recdef-cong*]:

$\llbracket \bigwedge x. x \in set\ xs \Longrightarrow f\ x = g\ x; xs = ys \rrbracket$
 $\Longrightarrow list\ f\ xs = list\ g\ ys$
 $\langle proof \rangle$

lemma *list-yield-cong* [*fundef-cong*, *recdef-cong*]:

$\llbracket \bigwedge x. x \in set\ xs \Longrightarrow f\ x = g\ x; xs = ys \rrbracket$
 $\Longrightarrow list-yield\ f\ xs = list-yield\ g\ ys$
 $\langle proof \rangle$

For an example, see HOL/ex/Random.thy.

end

52 Univ-Poly: Univariate Polynomials

theory *Univ-Poly*

imports *Main*

begin

Application of polynomial as a function.

```
primrec (in semiring-0) poly :: 'a list => 'a => 'a where
  poly-Nil: poly [] x = 0
| poly-Cons: poly (h#t) x = h + x * poly t x
```

52.1 Arithmetic Operations on Polynomials

addition

```
primrec (in semiring-0) padd :: 'a list => 'a list => 'a list (infixl +++ 65)
where
  padd-Nil: [] +++ l2 = l2
| padd-Cons: (h#t) +++ l2 = (if l2 = [] then h#t
                             else (h + hd l2)#(t +++ tl l2))
```

Multiplication by a constant

```
primrec (in semiring-0) cmult :: 'a => 'a list => 'a list (infixl %* 70) where
  cmult-Nil: c %* [] = []
| cmult-Cons: c %* (h#t) = (c * h)#(c %* t)
```

Multiplication by a polynomial

```
primrec (in semiring-0) pmult :: 'a list => 'a list => 'a list (infixl *** 70)
where
  pmult-Nil: [] *** l2 = []
| pmult-Cons: (h#t) *** l2 = (if t = [] then h %* l2
                              else (h %* l2) +++ ((0) # (t *** l2)))
```

Repeated multiplication by a polynomial

```
primrec (in semiring-0) mulexp :: nat => 'a list => 'a list => 'a list where
  mulexp-zero: mulexp 0 p q = q
| mulexp-Suc: mulexp (Suc n) p q = p *** mulexp n p q
```

Exponential

```
primrec (in semiring-1) pexp :: 'a list => nat => 'a list (infixl %^ 80) where
  pexp-0: p %^ 0 = [1]
| pexp-Suc: p %^ (Suc n) = p *** (p %^ n)
```

Quotient related value of dividing a polynomial by $x + a$

```
primrec (in field) pquot :: 'a list => 'a => 'a list where
  pquot-Nil: pquot [] a = []
| pquot-Cons: pquot (h#t) a = (if t = [] then [h]
                              else (inverse(a) * (h - hd (pquot t a)))#(pquot t a))
```

normalization of polynomials (remove extra 0 coeff)

```
primrec (in semiring-0) pnormalize :: 'a list => 'a list where
  pnormalize-Nil: pnormalize [] = []
| pnormalize-Cons: pnormalize (h#p) = (if (pnormalize p) = []
    then (if (h = 0) then [] else [h])
    else (h#(pnormalize p)))
```

definition (in *semiring-0*) *pnormal* $p = ((\text{pnormalize } p = p) \wedge p \neq [])$

definition (in *semiring-0*) *nonconstant* $p = (\text{pnormal } p \wedge (\forall x. p \neq [x]))$

Other definitions

definition (in *ring-1*)

poly-minus :: 'a list \Rightarrow 'a list $(-- - [80] 80)$ **where**

$-- p = (- 1) \%* p$

definition (in *semiring-0*)

divides :: 'a list \Rightarrow 'a list \Rightarrow bool (infixl *divides* 70) **where**

$p1 \text{ divides } p2 = (\exists q. \text{poly } p2 = \text{poly}(p1 *** q))$

— order of a polynomial

definition (in *ring-1*) *order* :: 'a \Rightarrow 'a list \Rightarrow nat **where**

$\text{order } a \text{ } p = (\text{SOME } n. ([-a, 1] \%^{\wedge} n) \text{ divides } p \ \& \\ \sim (([-a, 1] \%^{\wedge} (\text{Suc } n)) \text{ divides } p))$

— degree of a polynomial

definition (in *semiring-0*) *degree* :: 'a list \Rightarrow nat **where**

$\text{degree } p = \text{length } (\text{pnormalize } p) - 1$

— squarefree polynomials — NB with respect to real roots only.

definition (in *ring-1*)

rsquarefree :: 'a list \Rightarrow bool **where**

$\text{rsquarefree } p = (\text{poly } p \neq \text{poly } [] \ \& \\ (\forall a. (\text{order } a \text{ } p = 0) \mid (\text{order } a \text{ } p = 1)))$

context *semiring-0*

begin

lemma *padd-Nil2[simp]*: $p +++ [] = p$

<proof>

lemma *padd-Cons-Cons*: $(h1 \# p1) +++ (h2 \# p2) = (h1 + h2) \# (p1 +++ p2)$

<proof>

lemma *pminus-Nil[simp]*: $-- [] = []$

<proof>

lemma *pmult-singleton*: $[h1] *** p1 = h1 \%* p1$ *<proof>*

end

lemma (in *semiring-1*) *poly-ident-mult[simp]*: $1 \%* t = t$ *<proof>*

lemma (in *semiring-0*) *poly-simple-add-Cons[simp]*: $[a] +++ ((0) \# t) = (a \# t)$

<proof>

Handy general properties

lemma (in *comm-semiring-0*) *padd-commut*: $b +++ a = a +++ b$
 ⟨proof⟩

lemma (in *comm-semiring-0*) *padd-assoc*: $\forall b\ c. (a +++ b) +++ c = a +++ (b +++ c)$
 ⟨proof⟩

lemma (in *semiring-0*) *poly-cmult-distr*: $a \%* (p +++ q) = (a \%* p +++ a \%* q)$
 ⟨proof⟩

lemma (in *ring-1*) *pmult-by-x[simp]*: $[0, 1] *** t = ((0)\#t)$
 ⟨proof⟩

properties of evaluation of polynomials.

lemma (in *semiring-0*) *poly-add*: $\text{poly } (p1 +++ p2) x = \text{poly } p1\ x + \text{poly } p2\ x$
 ⟨proof⟩

lemma (in *comm-semiring-0*) *poly-cmult*: $\text{poly } (c \%* p) x = c * \text{poly } p\ x$
 ⟨proof⟩

lemma (in *comm-semiring-0*) *poly-cmult-map*: $\text{poly } (\text{map } (op * c) p) x = c * \text{poly } p\ x$
 ⟨proof⟩

lemma (in *comm-ring-1*) *poly-minus*: $\text{poly } (-- p) x = - (\text{poly } p\ x)$
 ⟨proof⟩

lemma (in *comm-semiring-0*) *poly-mult*: $\text{poly } (p1 *** p2) x = \text{poly } p1\ x * \text{poly } p2\ x$
 ⟨proof⟩

class *recpower-semiring* = *semiring* + *recpower*
class *recpower-semiring-1* = *semiring-1* + *recpower*
class *recpower-semiring-0* = *semiring-0* + *recpower*
class *recpower-ring* = *ring* + *recpower*
class *recpower-ring-1* = *ring-1* + *recpower*
subclass (in *recpower-ring-1*) *recpower-ring* ⟨proof⟩
class *recpower-comm-semiring-1* = *recpower* + *comm-semiring-1*
class *recpower-comm-ring-1* = *recpower* + *comm-ring-1*
subclass (in *recpower-comm-ring-1*) *recpower-comm-semiring-1* ⟨proof⟩
class *recpower-idom* = *recpower* + *idom*
subclass (in *recpower-idom*) *recpower-comm-ring-1* ⟨proof⟩
class *idom-char-0* = *idom* + *ring-char-0*
class *recpower-idom-char-0* = *recpower* + *idom-char-0*
subclass (in *recpower-idom-char-0*) *recpower-idom* ⟨proof⟩

lemma (in *recpower-comm-ring-1*) *poly-exp*: $\text{poly } (p \% ^ n) x = (\text{poly } p\ x) ^ n$
 ⟨proof⟩

More Polynomial Evaluation Lemmas

lemma (in *semiring-0*) *poly-add-rzero[simp]*: $\text{poly } (a +++ []) x = \text{poly } a x$
 ⟨proof⟩

lemma (in *comm-semiring-0*) *poly-mult-assoc*: $\text{poly } ((a *** b) *** c) x = \text{poly } (a *** (b *** c)) x$
 ⟨proof⟩

lemma (in *semiring-0*) *poly-mult-Nil2[simp]*: $\text{poly } (p *** []) x = 0$
 ⟨proof⟩

lemma (in *comm-semiring-1*) *poly-exp-add*: $\text{poly } (p \%^\wedge (n + d)) x = \text{poly } (p \%^\wedge n *** p \%^\wedge d) x$
 ⟨proof⟩

52.2 Key Property: if $f a = (0::'a)$ then $x - a$ divides $p x$

lemma (in *comm-ring-1*) *lemma-poly-linear-rem*: $\forall h. \exists q r. h \# t = [r] +++ [-a, 1] *** q$
 ⟨proof⟩

lemma (in *comm-ring-1*) *poly-linear-rem*: $\exists q r. h \# t = [r] +++ [-a, 1] *** q$
 ⟨proof⟩

lemma (in *comm-ring-1*) *poly-linear-divides*: $(\text{poly } p a = 0) = ((p = []) \mid (\exists q. p = [-a, 1] *** q))$
 ⟨proof⟩

lemma (in *semiring-0*) *lemma-poly-length-mult[simp]*: $\forall h k a. \text{length } (k \%* p +++ (h \# (a \%* p))) = \text{Suc } (\text{length } p)$
 ⟨proof⟩

lemma (in *semiring-0*) *lemma-poly-length-mult2[simp]*: $\forall h k. \text{length } (k \%* p +++ (h \# p)) = \text{Suc } (\text{length } p)$
 ⟨proof⟩

lemma (in *ring-1*) *poly-length-mult[simp]*: $\text{length } ([-a, 1] *** q) = \text{Suc } (\text{length } q)$
 ⟨proof⟩

52.3 Polynomial length

lemma (in *semiring-0*) *poly-cmult-length[simp]*: $\text{length } (a \%* p) = \text{length } p$
 ⟨proof⟩

lemma (in *semiring-0*) *poly-add-length*: $\text{length } (p1 +++ p2) = \max (\text{length } p1) (\text{length } p2)$
 ⟨proof⟩

lemma (in *semiring-0*) *poly-root-mult-length[simp]*: $\text{length}([a,b] \text{ *** } p) = \text{Suc}(\text{length } p)$
 <proof>

lemma (in *idom*) *poly-mult-not-eq-poly-Nil[simp]*:
 $\text{poly } (p \text{ *** } q) \ x \neq \text{poly } [] \ x \longleftrightarrow \text{poly } p \ x \neq \text{poly } [] \ x \wedge \text{poly } q \ x \neq \text{poly } [] \ x$
 <proof>

lemma (in *idom*) *poly-mult-eq-zero-disj*: $\text{poly } (p \text{ *** } q) \ x = 0 \longleftrightarrow \text{poly } p \ x = 0 \vee \text{poly } q \ x = 0$
 <proof>

Normalisation Properties

lemma (in *semiring-0*) *poly-normalized-nil*: $(\text{pnormalize } p = []) \longrightarrow (\text{poly } p \ x = 0)$
 <proof>

A nontrivial polynomial of degree n has no more than n roots

lemma (in *idom*) *poly-roots-index-lemma*:
assumes p : $\text{poly } p \ x \neq \text{poly } [] \ x$ **and** n : $\text{length } p = n$
shows $\exists i. \forall x. \text{poly } p \ x = 0 \longrightarrow (\exists m \leq n. x = i \ m)$
 <proof>

lemma (in *idom*) *poly-roots-index-length*: $\text{poly } p \ x \neq \text{poly } [] \ x \implies \exists i. \forall x. (\text{poly } p \ x = 0) \longrightarrow (\exists n. n \leq \text{length } p \ \& \ x = i \ n)$
 <proof>

lemma (in *idom*) *poly-roots-finite-lemma1*: $\text{poly } p \ x \neq \text{poly } [] \ x \implies \exists N \ i. \forall x. (\text{poly } p \ x = 0) \longrightarrow (\exists n. (n::\text{nat}) < N \ \& \ x = i \ n)$
 <proof>

lemma (in *idom*) *idom-finite-lemma*:
assumes P : $\forall x. P \ x \longrightarrow (\exists n. n < \text{length } j \ \& \ x = j \ n)$
shows $\text{finite } \{x. P \ x\}$
 <proof>

lemma (in *idom*) *poly-roots-finite-lemma2*: $\text{poly } p \ x \neq \text{poly } [] \ x \implies \exists i. \forall x. (\text{poly } p \ x = 0) \longrightarrow x \in \text{set } i$
 <proof>

lemma *UNIV-nat-infinite*: $\neg \text{finite } (\text{UNIV} :: \text{nat set})$
 <proof>

lemma (in *ring-char-0*) *UNIV-ring-char-0-infinte*:
 $\neg (\text{finite } (\text{UNIV} :: 'a \text{ set}))$
 <proof>

lemma (in *idom-char-0*) *poly-roots-finite*: $(poly\ p \neq poly\ []) =$
 $finite\ \{x. poly\ p\ x = 0\}$
 $\langle proof \rangle$

Entirety and Cancellation for polynomials

lemma (in *idom-char-0*) *poly-entire-lemma2*:
assumes $p0: poly\ p \neq poly\ []$ **and** $q0: poly\ q \neq poly\ []$
shows $poly\ (p *** q) \neq poly\ []$
 $\langle proof \rangle$

lemma (in *idom-char-0*) *poly-entire*:
 $poly\ (p *** q) = poly\ [] \iff poly\ p = poly\ [] \vee poly\ q = poly\ []$
 $\langle proof \rangle$

lemma (in *idom-char-0*) *poly-entire-neg*: $(poly\ (p *** q) \neq poly\ []) = ((poly\ p \neq$
 $poly\ []) \ \&\ (poly\ q \neq poly\ []))$
 $\langle proof \rangle$

lemma *fun-eq*: $(f = g) = (\forall x. f\ x = g\ x)$
 $\langle proof \rangle$

lemma (in *comm-ring-1*) *poly-add-minus-zero-iff*: $(poly\ (p +++ --\ q) = poly$
 $[]) = (poly\ p = poly\ q)$
 $\langle proof \rangle$

lemma (in *comm-ring-1*) *poly-add-minus-mult-eq*: $poly\ (p *** q +++ --\ (p ***$
 $r)) = poly\ (p *** (q +++ --\ r))$
 $\langle proof \rangle$

subclass (in *idom-char-0*) *comm-ring-1* $\langle proof \rangle$
lemma (in *idom-char-0*) *poly-mult-left-cancel*: $(poly\ (p *** q) = poly\ (p *** r))$
 $= (poly\ p = poly\ [] \mid poly\ q = poly\ r)$
 $\langle proof \rangle$

lemma (in *recpower-idom*) *poly-exp-eq-zero[simp]*:
 $(poly\ (p \% ^ n) = poly\ []) = (poly\ p = poly\ [] \ \&\ n \neq 0)$
 $\langle proof \rangle$

lemma (in *semiring-1*) *one-neg-zero[simp]*: $1 \neq 0$ $\langle proof \rangle$
lemma (in *comm-ring-1*) *poly-prime-eq-zero[simp]*: $poly\ [a, 1] \neq poly\ []$
 $\langle proof \rangle$

lemma (in *recpower-idom*) *poly-exp-prime-eq-zero*: $(poly\ ([a, 1] \% ^ n) \neq poly\ [])$
 $\langle proof \rangle$

A more constructive notion of polynomials being trivial

lemma (in *idom-char-0*) *poly-zero-lemma'*: $poly\ (h \# t) = poly\ [] \implies h = 0 \ \&$
 $poly\ t = poly\ []$

$\langle \text{proof} \rangle$

lemma (in *idom-char-0*) *poly-zero*: $(\text{poly } p = \text{poly } []) = \text{list-all } (\%c. c = 0) p$
 $\langle \text{proof} \rangle$

lemma (in *idom-char-0*) *poly-0*: $\text{list-all } (\lambda c. c = 0) p \implies \text{poly } p \ x = 0$
 $\langle \text{proof} \rangle$

Basics of divisibility.

lemma (in *idom*) *poly-primes*: $([a, 1] \text{ divides } (p *** q)) = ([a, 1] \text{ divides } p \mid [a, 1] \text{ divides } q)$
 $\langle \text{proof} \rangle$

lemma (in *comm-semiring-1*) *poly-divides-refl[simp]*: $p \text{ divides } p$
 $\langle \text{proof} \rangle$

lemma (in *comm-semiring-1*) *poly-divides-trans*: $([p \text{ divides } q; q \text{ divides } r]) \implies p \text{ divides } r$
 $\langle \text{proof} \rangle$

lemma (in *recpower-comm-semiring-1*) *poly-divides-exp*: $m \leq n \implies (p \% ^ n) \text{ divides } (p \% ^ m)$
 $\langle \text{proof} \rangle$

lemma (in *recpower-comm-semiring-1*) *poly-exp-divides*: $([p \% ^ n \text{ divides } q; m \leq n]) \implies (p \% ^ m) \text{ divides } q$
 $\langle \text{proof} \rangle$

lemma (in *comm-semiring-0*) *poly-divides-add*:
 $([p \text{ divides } q; p \text{ divides } r]) \implies p \text{ divides } (q +++ r)$
 $\langle \text{proof} \rangle$

lemma (in *comm-ring-1*) *poly-divides-diff*:
 $([p \text{ divides } q; p \text{ divides } (q +++ r)]) \implies p \text{ divides } r$
 $\langle \text{proof} \rangle$

lemma (in *comm-ring-1*) *poly-divides-diff2*: $([p \text{ divides } r; p \text{ divides } (q +++ r)]) \implies p \text{ divides } q$
 $\langle \text{proof} \rangle$

lemma (in *semiring-0*) *poly-divides-zero*: $\text{poly } p = \text{poly } [] \implies q \text{ divides } p$
 $\langle \text{proof} \rangle$

lemma (in *semiring-0*) *poly-divides-zero2[simp]*: $q \text{ divides } []$
 $\langle \text{proof} \rangle$

At last, we can consider the order of a root.

lemma (in *idom-char-0*) *poly-order-exists-lemma*:

assumes lp : $\text{length } p = d$ **and** p : $\text{poly } p \neq \text{poly } []$
shows $\exists n \ q. \ p = \text{mulexp } n \ [-a, 1] \ q \wedge \text{poly } q \ a \neq 0$
 $\langle \text{proof} \rangle$

lemma (**in** *recpower-comm-semiring-1*) *poly-mulexp*: $\text{poly } (\text{mulexp } n \ p \ q) \ x = (\text{poly } p \ x) \wedge n * \text{poly } q \ x$
 $\langle \text{proof} \rangle$

lemma (**in** *comm-semiring-1*) *divides-left-mult*:
assumes $d:(p***q)$ *divides* r **shows** p *divides* $r \wedge q$ *divides* r
 $\langle \text{proof} \rangle$

lemma (**in** *recpower-semiring-1*)
zero-power-iff: $0 \wedge n = (\text{if } n = 0 \text{ then } 1 \text{ else } 0)$
 $\langle \text{proof} \rangle$

lemma (**in** *recpower-idom-char-0*) *poly-order-exists*:
assumes lp : $\text{length } p = d$ **and** $p0$: $\text{poly } p \neq \text{poly } []$
shows $\exists n. ([-a, 1] \% \wedge n)$ *divides* p $\& \sim(([-a, 1] \% \wedge (\text{Suc } n)) \text{ divides } p)$
 $\langle \text{proof} \rangle$

lemma (**in** *semiring-1*) *poly-one-divides[simp]*: $[1]$ *divides* p
 $\langle \text{proof} \rangle$

lemma (**in** *recpower-idom-char-0*) *poly-order*: $\text{poly } p \neq \text{poly } []$
 $\implies \exists n. ([-a, 1] \% \wedge n)$ *divides* p $\&$
 $\sim(([-a, 1] \% \wedge (\text{Suc } n)) \text{ divides } p)$
 $\langle \text{proof} \rangle$

Order

lemma *some1-equalityD*: $[| \ n = (@n. P \ n); \ \exists n. P \ n \ |] \implies P \ n$
 $\langle \text{proof} \rangle$

lemma (**in** *recpower-idom-char-0*) *order*:
 $(([-a, 1] \% \wedge n)$ *divides* p $\&$
 $\sim(([-a, 1] \% \wedge (\text{Suc } n)) \text{ divides } p)) =$
 $((n = \text{order } a \ p) \& \sim(\text{poly } p = \text{poly } []))$
 $\langle \text{proof} \rangle$

lemma (**in** *recpower-idom-char-0*) *order2*: $[| \ \text{poly } p \neq \text{poly } [] \ |]$
 $\implies ([-a, 1] \% \wedge (\text{order } a \ p))$ *divides* p $\&$
 $\sim(([-a, 1] \% \wedge (\text{Suc } (\text{order } a \ p))) \text{ divides } p)$
 $\langle \text{proof} \rangle$

lemma (in *recpower-idom-char-0*) *order-unique*: $[\text{poly } p \neq \text{poly } \square; [-a, 1] \% ^n) \text{ divides } p;$
 $\sim([[-a, 1] \% ^n (Suc\ n)) \text{ divides } p)$
 $\square ==> (n = \text{order } a\ p)$
 <proof>

lemma (in *recpower-idom-char-0*) *order-unique-lemma*: $(\text{poly } p \neq \text{poly } \square \ \& \ ([-a, 1] \% ^n) \text{ divides } p \ \& \sim([[-a, 1] \% ^n (Suc\ n)) \text{ divides } p))$
 $==> (n = \text{order } a\ p)$
 <proof>

lemma (in *ring-1*) *order-poly*: $\text{poly } p = \text{poly } q ==> \text{order } a\ p = \text{order } a\ q$
 <proof>

lemma (in *semiring-1*) *pexp-one[simp]*: $p \% ^n (Suc\ 0) = p$
 <proof>

lemma (in *comm-ring-1*) *lemma-order-root*:
 $0 < n \ \& \ [-a, 1] \% ^n \text{ divides } p \ \& \ \sim([-a, 1] \% ^n (Suc\ n)) \text{ divides } p$
 $\implies \text{poly } p\ a = 0$
 <proof>

lemma (in *recpower-idom-char-0*) *order-root*: $(\text{poly } p\ a = 0) = ((\text{poly } p = \text{poly } \square) \mid \text{order } a\ p \neq 0)$
 <proof>

lemma (in *recpower-idom-char-0*) *order-divides*: $(([-a, 1] \% ^n) \text{ divides } p) = ((\text{poly } p = \text{poly } \square) \mid n \leq \text{order } a\ p)$
 <proof>

lemma (in *recpower-idom-char-0*) *order-decomp*:
 $\text{poly } p \neq \text{poly } \square$
 $==> \exists q. (\text{poly } p = \text{poly } ([[-a, 1] \% ^{(\text{order } a\ p)}] *** q)) \ \& \sim([[-a, 1] \text{ divides } q)$
 <proof>

Important composition properties of orders.

lemma *order-mult*: $\text{poly } (p *** q) \neq \text{poly } \square$
 $==> \text{order } a\ (p *** q) = \text{order } a\ p + \text{order } (a::'a::\{\text{recpower-idom-char-0}\})$
 q
 <proof>

lemma (in *recpower-idom-char-0*) *order-mult*:
 assumes $pq0: \text{poly } (p *** q) \neq \text{poly } \square$
 shows $\text{order } a\ (p *** q) = \text{order } a\ p + \text{order } a\ q$
 <proof>

lemma (in *recpower-idom-char-0*) *order-root2*: $\text{poly } p \neq \text{poly } [] \implies (\text{poly } p \text{ a} = 0) = (\text{order } a \text{ p} \neq 0)$
 <proof>

lemma (in *semiring-1*) *pmult-one[simp]*: $[1] *** p = p$ <proof>

lemma (in *semiring-0*) *poly-Nil-zero*: $\text{poly } [] = \text{poly } [0]$
 <proof>

lemma (in *recpower-idom-char-0*) *rsquarefree-decomp*:
 $[\text{rsquarefree } p; \text{poly } p \text{ a} = 0]$
 $\implies \exists q. (\text{poly } p = \text{poly } ([-a, 1] *** q)) \ \& \ \text{poly } q \text{ a} \neq 0$
 <proof>

Normalization of a polynomial.

lemma (in *semiring-0*) *poly-normalize[simp]*: $\text{poly } (\text{pnormalize } p) = \text{poly } p$
 <proof>

The degree of a polynomial.

lemma (in *semiring-0*) *lemma-degree-zero*:
 $\text{list-all } (\%c. c = 0) \text{ p} \longleftrightarrow \text{pnormalize } p = []$
 <proof>

lemma (in *idom-char-0*) *degree-zero*:
assumes $\text{pN}: \text{poly } p = \text{poly } []$ **shows** $\text{degree } p = 0$
 <proof>

lemma (in *semiring-0*) *pnormalize-sing*: $(\text{pnormalize } [x] = [x]) \longleftrightarrow x \neq 0$ <proof>

lemma (in *semiring-0*) *pnormalize-pair*: $y \neq 0 \longleftrightarrow (\text{pnormalize } [x, y] = [x, y])$
 <proof>

lemma (in *semiring-0*) *pnormal-cons*: $\text{pnormal } p \implies \text{pnormal } (c \# p)$
 <proof>

lemma (in *semiring-0*) *pnormal-tail*: $p \neq [] \implies \text{pnormal } (c \# p) \implies \text{pnormal } p$
 <proof>

lemma (in *semiring-0*) *pnormal-last-nonzero*: $\text{pnormal } p \implies \text{last } p \neq 0$
 <proof>

lemma (in *semiring-0*) *pnormal-length*: $\text{pnormal } p \implies 0 < \text{length } p$
 <proof>

lemma (in *semiring-0*) *pnormal-last-length*: $[0 < \text{length } p ; \text{last } p \neq 0] \implies \text{pnormal } p$
 <proof>

lemma (in *semiring-0*) *pnormal-id*: $\text{pnormal } p \longleftrightarrow (0 < \text{length } p \wedge \text{last } p \neq 0)$
 <proof>

lemma (in *idom-char-0*) *poly-Cons-eq*: $\text{poly } (c \# cs) = \text{poly } (d \# ds) \longleftrightarrow c = d \wedge \text{poly } cs = \text{poly } ds$ (is $?lhs \longleftrightarrow ?rhs$)
 <proof>

lemma (in *idom-char-0*) *pnormalize-unique*: $\text{poly } p = \text{poly } q \implies \text{pnormalize } p = \text{pnormalize } q$
 <proof>

lemma (in *idom-char-0*) *degree-unique*: **assumes** pq : $\text{poly } p = \text{poly } q$
shows $\text{degree } p = \text{degree } q$
 <proof>

lemma (in *semiring-0*) *pnormalize-length*: $\text{length } (\text{pnormalize } p) \leq \text{length } p$ <proof>

lemma (in *semiring-0*) *last-linear-mul-lemma*:
 $\text{last } ((a \%* p) +++ (x \# (b \%* p))) = (\text{if } p = [] \text{ then } x \text{ else } b * \text{last } p)$
 <proof>

lemma (in *semiring-1*) *last-linear-mul*: **assumes** $p: p \neq []$ **shows** $\text{last } ([a, 1] *** p) = \text{last } p$
 <proof>

lemma (in *semiring-0*) *pnormalize-eq*: $\text{last } p \neq 0 \implies \text{pnormalize } p = p$
 <proof>

lemma (in *semiring-0*) *last-pnormalize*: $\text{pnormalize } p \neq [] \implies \text{last } (\text{pnormalize } p) \neq 0$
 <proof>

lemma (in *semiring-0*) *pnormal-degree*: $\text{last } p \neq 0 \implies \text{degree } p = \text{length } p - 1$
 <proof>

lemma (in *semiring-0*) *poly-Nil-ext*: $\text{poly } [] = (\lambda x. 0)$ <proof>

lemma (in *idom-char-0*) *linear-mul-degree*: **assumes** p : $\text{poly } p \neq \text{poly } []$
shows $\text{degree } ([a, 1] *** p) = \text{degree } p + 1$
 <proof>

lemma (in *idom-char-0*) *linear-pow-mul-degree*:
 $\text{degree } ([a, 1] \% ^n *** p) = (\text{if } \text{poly } p = \text{poly } [] \text{ then } 0 \text{ else } \text{degree } p + n)$
 <proof>

lemma (in *recpower-idom-char-0*) *order-degree*:
assumes $p0$: $\text{poly } p \neq \text{poly } []$
shows $\text{order } a \ p \leq \text{degree } p$
 <proof>

Tidier versions of finiteness of roots.

lemma (in *idom-char-0*) *poly-roots-finite-set*: $\text{poly } p \neq \text{poly } [] \implies \text{finite } \{x. \text{poly } p \ x = 0\}$
 <proof>

bound for polynomial.

lemma *poly-mono*: $\text{abs}(x) \leq k \implies \text{abs}(\text{poly } p \ (x::'a::\{\text{ordered-idom}\})) \leq \text{poly } (\text{map } \text{abs } p) \ k$
 <proof>

lemma (in *semiring-0*) *poly-Sing*: $\text{poly } [c] \ x = c$ <proof>

end

53 While-Combinator: A general “while” combinator

theory *While-Combinator*
imports *Main*
begin

We define the while combinator as the “mother of all tail recursive functions”.

function (*tailrec*) *while* :: $('a \Rightarrow \text{bool}) \Rightarrow ('a \Rightarrow 'a) \Rightarrow 'a \Rightarrow 'a$
where
 while-unfold[*simp del*]: $\text{while } b \ c \ s = (\text{if } b \ s \ \text{then } \text{while } b \ c \ (c \ s) \ \text{else } s)$
 <proof>

declare *while-unfold*[*code*]

lemma *def-while-unfold*:
 assumes *fdef*: $f == \text{while } \text{test } \text{do}$
 shows $f \ x = (\text{if } \text{test } x \ \text{then } f(\text{do } x) \ \text{else } x)$
 <proof>

The proof rule for *while*, where P is the invariant.

theorem *while-rule-lemma*:
 assumes *invariant*: $!!s. P \ s \implies b \ s \implies P \ (c \ s)$
 and *terminate*: $!!s. P \ s \implies \neg b \ s \implies Q \ s$
 and *wf*: $\text{wf } \{(t, s). P \ s \wedge b \ s \wedge t = c \ s\}$
 shows $P \ s \implies Q \ (\text{while } b \ c \ s)$
 <proof>

theorem *while-rule*:
 $[] \ P \ s;$
 $!!s. [] \ P \ s; \ b \ s \ [] \implies P \ (c \ s);$
 $!!s. [] \ P \ s; \neg b \ s \ [] \implies Q \ s;$
 wf $r;$

```
!!s. [| P s; b s |] ==> (c s, s) ∈ r |] ==>
Q (while b c s)
⟨proof⟩
```

An application: computation of the *lfp* on finite sets via iteration.

theorem *lfp-conv-while*:

```
[| mono f; finite U; f U = U |] ==>
lfp f = fst (while (λ(A, fA). A ≠ fA) (λ(A, fA). (fA, f fA)) ({}, f {}))
⟨proof⟩
```

An example of using the *while* combinator.

Cannot use *set-eq-subset* because it leads to looping because the anti-symmetry *simproc* turns the subset relationship back into equality.

```
theorem P (lfp (λN::int set. {0} ∪ {(n + 2) mod 6 | n. n ∈ N})) =
P {0, 4, 2}
⟨proof⟩
```

end

54 Word: Binary Words

```
theory Word
imports List
begin
```

54.1 Auxiliary Lemmas

```
lemma max-le [intro!]: [| x ≤ z; y ≤ z |] ==> max x y ≤ z
⟨proof⟩
```

```
lemma max-mono:
fixes x :: 'a::linorder
assumes mf: mono f
shows max (f x) (f y) ≤ f (max x y)
⟨proof⟩
```

```
declare zero-le-power [intro]
and zero-less-power [intro]
```

```
lemma int-nat-two-exp: 2 ^ k = int (2 ^ k)
⟨proof⟩
```

54.2 Bits

```
datatype bit =
Zero (0)
| One (1)
```

primrec

$$\text{bitval} :: \text{bit} \Rightarrow \text{nat}$$
where

$$\text{bitval } \mathbf{0} = 0$$

$$| \text{bitval } \mathbf{1} = 1$$
consts

$$\text{bitnot} :: \text{bit} \Rightarrow \text{bit}$$

$$\text{bitand} :: \text{bit} \Rightarrow \text{bit} \Rightarrow \text{bit} \text{ (infixr bitand 35)}$$

$$\text{bitor} :: \text{bit} \Rightarrow \text{bit} \Rightarrow \text{bit} \text{ (infixr bitor 30)}$$

$$\text{bitxor} :: \text{bit} \Rightarrow \text{bit} \Rightarrow \text{bit} \text{ (infixr bitxor 30)}$$
notation (*xsymbols*)
$$\text{bitnot } (\neg_b - [40] 40) \text{ and}$$

$$\text{bitand } (\text{infixr } \wedge_b 35) \text{ and}$$

$$\text{bitor } (\text{infixr } \vee_b 30) \text{ and}$$

$$\text{bitxor } (\text{infixr } \oplus_b 30)$$
notation (*HTML output*)
$$\text{bitnot } (\neg_b - [40] 40) \text{ and}$$

$$\text{bitand } (\text{infixr } \wedge_b 35) \text{ and}$$

$$\text{bitor } (\text{infixr } \vee_b 30) \text{ and}$$

$$\text{bitxor } (\text{infixr } \oplus_b 30)$$
primrec

$$\text{bitnot-zero: } (\text{bitnot } \mathbf{0}) = \mathbf{1}$$

$$\text{bitnot-one: } (\text{bitnot } \mathbf{1}) = \mathbf{0}$$
primrec

$$\text{bitand-zero: } (\mathbf{0} \text{ bitand } y) = \mathbf{0}$$

$$\text{bitand-one: } (\mathbf{1} \text{ bitand } y) = y$$
primrec

$$\text{bitor-zero: } (\mathbf{0} \text{ bitor } y) = y$$

$$\text{bitor-one: } (\mathbf{1} \text{ bitor } y) = \mathbf{1}$$
primrec

$$\text{bitxor-zero: } (\mathbf{0} \text{ bitxor } y) = y$$

$$\text{bitxor-one: } (\mathbf{1} \text{ bitxor } y) = (\text{bitnot } y)$$

lemma *bitnot-bitnot* [simp]: $(\text{bitnot } (\text{bitnot } b)) = b$
 ⟨proof⟩

lemma *bitand-cancel* [simp]: $(b \text{ bitand } b) = b$
 ⟨proof⟩

lemma *bitor-cancel* [simp]: $(b \text{ bitor } b) = b$
 ⟨proof⟩

lemma *bitxor-cancel* [simp]: $(b \text{ bitxor } b) = \mathbf{0}$
 ⟨proof⟩

54.3 Bit Vectors

First, a couple of theorems expressing case analysis and induction principles for bit vectors.

lemma *bit-list-cases*:
 assumes *empty*: $w = [] \implies P \ w$
 and *zero*: $!!bs. w = \mathbf{0} \ \# \ bs \implies P \ w$
 and *one*: $!!bs. w = \mathbf{1} \ \# \ bs \implies P \ w$
 shows $P \ w$
 ⟨proof⟩

lemma *bit-list-induct*:
 assumes *empty*: $P \ []$
 and *zero*: $!!bs. P \ bs \implies P \ (\mathbf{0} \ \# \ bs)$
 and *one*: $!!bs. P \ bs \implies P \ (\mathbf{1} \ \# \ bs)$
 shows $P \ w$
 ⟨proof⟩

definition
bv-msb :: *bit list* \Rightarrow *bit* **where**
bv-msb $w = (\text{if } w = [] \text{ then } \mathbf{0} \text{ else } \text{hd } w)$

definition
bv-extend :: $[nat, bit, bit \text{ list}] \Rightarrow bit \text{ list}$ **where**
bv-extend $i \ b \ w = (\text{replicate } (i - \text{length } w) \ b) \ @ \ w$

definition
bv-not :: *bit list* \Rightarrow *bit list* **where**
bv-not $w = \text{map } \text{bitnot} \ w$

lemma *bv-length-extend* [simp]: $\text{length } w \leq i \implies \text{length } (bv\text{-extend } i \ b \ w) = i$
 ⟨proof⟩

lemma *bv-not-Nil* [simp]: $bv\text{-not } [] = []$
 ⟨proof⟩

lemma *bv-not-Cons* [simp]: $bv\text{-not } (b \ \# \ bs) = (\text{bitnot } b) \ \# \ bv\text{-not } bs$
 ⟨proof⟩

lemma *bv-not-bv-not* [simp]: $bv\text{-not } (bv\text{-not } w) = w$
 ⟨proof⟩

lemma *bv-msb-Nil* [simp]: $bv\text{-msb } [] = \mathbf{0}$
 ⟨proof⟩

lemma *bv-msb-Cons* [simp]: $\text{bv-msb } (b\#bs) = b$
 ⟨proof⟩

lemma *bv-msb-bv-not* [simp]: $0 < \text{length } w \implies \text{bv-msb } (\text{bv-not } w) = (\text{bitnot } (\text{bv-msb } w))$
 ⟨proof⟩

lemma *bv-msb-one-length* [simp,intro]: $\text{bv-msb } w = \mathbf{1} \implies 0 < \text{length } w$
 ⟨proof⟩

lemma *length-bv-not* [simp]: $\text{length } (\text{bv-not } w) = \text{length } w$
 ⟨proof⟩

definition

bv-to-nat :: *bit list* => *nat* **where**
bv-to-nat = foldl (%bn b. 2 * bn + bitval b) 0

lemma *bv-to-nat-Nil* [simp]: $\text{bv-to-nat } [] = 0$
 ⟨proof⟩

lemma *bv-to-nat-helper* [simp]: $\text{bv-to-nat } (b \# bs) = \text{bitval } b * 2^{\text{length } bs} + \text{bv-to-nat } bs$
 ⟨proof⟩

lemma *bv-to-nat0* [simp]: $\text{bv-to-nat } (\mathbf{0}\#bs) = \text{bv-to-nat } bs$
 ⟨proof⟩

lemma *bv-to-nat1* [simp]: $\text{bv-to-nat } (\mathbf{1}\#bs) = 2^{\text{length } bs} + \text{bv-to-nat } bs$
 ⟨proof⟩

lemma *bv-to-nat-upper-range*: $\text{bv-to-nat } w < 2^{\text{length } w}$
 ⟨proof⟩

lemma *bv-extend-longer* [simp]:
assumes *wn*: $n \leq \text{length } w$
shows $\text{bv-extend } n \ b \ w = w$
 ⟨proof⟩

lemma *bv-extend-shorter* [simp]:
assumes *wn*: $\text{length } w < n$
shows $\text{bv-extend } n \ b \ w = \text{bv-extend } n \ b \ (b\#w)$
 ⟨proof⟩

consts

rem-initial :: *bit* => *bit list* => *bit list*

primrec

rem-initial *b* [] = []
rem-initial *b* (*x*#*xs*) = (if *b* = *x* then *rem-initial* *b* *xs* else *x*#*xs*)

lemma *rem-initial-length*: $\text{length } (\text{rem-initial } b \ w) \leq \text{length } w$
 $\langle \text{proof} \rangle$

lemma *rem-initial-equal*:
assumes p : $\text{length } (\text{rem-initial } b \ w) = \text{length } w$
shows $\text{rem-initial } b \ w = w$
 $\langle \text{proof} \rangle$

lemma *bv-extend-rem-initial*: $\text{bv-extend } (\text{length } w) \ b \ (\text{rem-initial } b \ w) = w$
 $\langle \text{proof} \rangle$

lemma *rem-initial-append1*:
assumes $\text{rem-initial } b \ xs \sim []$
shows $\text{rem-initial } b \ (xs \ @ \ ys) = \text{rem-initial } b \ xs \ @ \ ys$
 $\langle \text{proof} \rangle$

lemma *rem-initial-append2*:
assumes $\text{rem-initial } b \ xs = []$
shows $\text{rem-initial } b \ (xs \ @ \ ys) = \text{rem-initial } b \ ys$
 $\langle \text{proof} \rangle$

definition
 $\text{norm-unsigned} :: \text{bit list} \Rightarrow \text{bit list}$ **where**
 $\text{norm-unsigned} = \text{rem-initial } \mathbf{0}$

lemma *norm-unsigned-Nil* [simp]: $\text{norm-unsigned } [] = []$
 $\langle \text{proof} \rangle$

lemma *norm-unsigned-Cons0* [simp]: $\text{norm-unsigned } (\mathbf{0} \# bs) = \text{norm-unsigned } bs$
 $\langle \text{proof} \rangle$

lemma *norm-unsigned-Cons1* [simp]: $\text{norm-unsigned } (\mathbf{1} \# bs) = \mathbf{1} \# bs$
 $\langle \text{proof} \rangle$

lemma *norm-unsigned-idem* [simp]: $\text{norm-unsigned } (\text{norm-unsigned } w) = \text{norm-unsigned } w$
 $\langle \text{proof} \rangle$

consts

$\text{nat-to-bv-helper} :: \text{nat} \Rightarrow \text{bit list} \Rightarrow \text{bit list}$

recdef *nat-to-bv-helper* $\text{measure } (\lambda n. n)$
 $\text{nat-to-bv-helper } n = (\%bs. (\text{if } n = 0 \text{ then } bs$
 $\quad \text{else } \text{nat-to-bv-helper } (n \text{ div } 2) ((\text{if } n \text{ mod } 2 = 0 \text{ then } \mathbf{0}$
 $\text{else } \mathbf{1}) \# bs)))$

definition

$\text{nat-to-bv} :: \text{nat} \Rightarrow \text{bit list}$ **where**
 $\text{nat-to-bv } n = \text{nat-to-bv-helper } n \ []$

lemma *nat-to-bv0* [*simp*]: *nat-to-bv 0* = []
 ⟨*proof*⟩

lemmas [*simp del*] = *nat-to-bv-helper.simps*

lemma *n-div-2-cases*:
 assumes *zero*: (*n::nat*) = 0 ==> *R*
 and *div* : [| *n div 2* < *n* ; 0 < *n* |] ==> *R*
 shows *R*
 ⟨*proof*⟩

lemma *int-wf-ge-induct*:
 assumes *ind* : !!*i::int*. (!!*j*. [| *k* ≤ *j* ; *j* < *i* |] ==> *P j*) ==> *P i*
 shows *P i*
 ⟨*proof*⟩

lemma *unfold-nat-to-bv-helper*:
nat-to-bv-helper b l = *nat-to-bv-helper b* [] @ *l*
 ⟨*proof*⟩

lemma *nat-to-bv-non0* [*simp*]: *n* ≠ 0 ==> *nat-to-bv n* = *nat-to-bv (n div 2)* @ [*if*
n mod 2 = 0 then 0 else 1]
 ⟨*proof*⟩

lemma *bv-to-nat-dist-append*:
bv-to-nat (l1 @ l2) = *bv-to-nat l1* * 2 ^ *length l2* + *bv-to-nat l2*
 ⟨*proof*⟩

lemma *bv-nat-bv* [*simp*]: *bv-to-nat (nat-to-bv n)* = *n*
 ⟨*proof*⟩

lemma *bv-to-nat-type* [*simp*]: *bv-to-nat (norm-unsigned w)* = *bv-to-nat w*
 ⟨*proof*⟩

lemma *length-norm-unsigned-le* [*simp*]: *length (norm-unsigned w)* ≤ *length w*
 ⟨*proof*⟩

lemma *bv-to-nat-rew-msb*: *bv-msb w* = 1 ==> *bv-to-nat w* = 2 ^ (*length w* - 1)
 + *bv-to-nat (tl w)*
 ⟨*proof*⟩

lemma *norm-unsigned-result*: *norm-unsigned xs* = [] ∨ *bv-msb (norm-unsigned xs)*
 = 1
 ⟨*proof*⟩

lemma *norm-empty-bv-to-nat-zero*:
 assumes *nw*: *norm-unsigned w* = []
 shows *bv-to-nat w* = 0
 ⟨*proof*⟩

lemma *bv-to-nat-lower-limit*:

assumes *w0*: $0 < \text{bv-to-nat } w$

shows $2^{\wedge} (\text{length } (\text{norm-unsigned } w) - 1) \leq \text{bv-to-nat } w$

<proof>

lemmas $[\text{simp del}] = \text{nat-to-bv-non0}$

lemma *norm-unsigned-length [intro!]*: $\text{length } (\text{norm-unsigned } w) \leq \text{length } w$

<proof>

lemma *norm-unsigned-equal*:

$\text{length } (\text{norm-unsigned } w) = \text{length } w \implies \text{norm-unsigned } w = w$

<proof>

lemma *bv-extend-norm-unsigned*: $\text{bv-extend } (\text{length } w) \mathbf{0} (\text{norm-unsigned } w) = w$

<proof>

lemma *norm-unsigned-append1 [simp]*:

$\text{norm-unsigned } xs \neq [] \implies \text{norm-unsigned } (xs @ ys) = \text{norm-unsigned } xs @ ys$

<proof>

lemma *norm-unsigned-append2 [simp]*:

$\text{norm-unsigned } xs = [] \implies \text{norm-unsigned } (xs @ ys) = \text{norm-unsigned } ys$

<proof>

lemma *bv-to-nat-zero-imp-empty*:

$\text{bv-to-nat } w = 0 \implies \text{norm-unsigned } w = []$

<proof>

lemma *bv-to-nat-nzero-imp-nempty*:

$\text{bv-to-nat } w \neq 0 \implies \text{norm-unsigned } w \neq []$

<proof>

lemma *nat-helper1*:

assumes *ass*: $\text{nat-to-bv } (\text{bv-to-nat } w) = \text{norm-unsigned } w$

shows $\text{nat-to-bv } (2 * \text{bv-to-nat } w + \text{bitval } x) = \text{norm-unsigned } (w @ [x])$

<proof>

lemma *nat-helper2*: $\text{nat-to-bv } (2^{\wedge} \text{length } xs + \text{bv-to-nat } xs) = \mathbf{1} \# xs$

<proof>

lemma *nat-bv-nat [simp]*: $\text{nat-to-bv } (\text{bv-to-nat } w) = \text{norm-unsigned } w$

<proof>

lemma *bv-to-nat-qinj*:

assumes *one*: $\text{bv-to-nat } xs = \text{bv-to-nat } ys$

and *len*: $\text{length } xs = \text{length } ys$

shows $xs = ys$

$\langle \text{proof} \rangle$

lemma *norm-unsigned-nat-to-bv* [simp]:
 $\text{norm-unsigned } (\text{nat-to-bv } n) = \text{nat-to-bv } n$
 $\langle \text{proof} \rangle$

lemma *length-nat-to-bv-upper-limit*:
assumes $nk: n \leq 2^k - 1$
shows $\text{length } (\text{nat-to-bv } n) \leq k$
 $\langle \text{proof} \rangle$

lemma *length-nat-to-bv-lower-limit*:
assumes $nk: 2^k \leq n$
shows $k < \text{length } (\text{nat-to-bv } n)$
 $\langle \text{proof} \rangle$

54.4 Unsigned Arithmetic Operations

definition
 $\text{bv-add} :: [\text{bit list}, \text{bit list}] \Rightarrow \text{bit list}$ **where**
 $\text{bv-add } w1 \ w2 = \text{nat-to-bv } (\text{bv-to-nat } w1 + \text{bv-to-nat } w2)$

lemma *bv-add-type1* [simp]: $\text{bv-add } (\text{norm-unsigned } w1) \ w2 = \text{bv-add } w1 \ w2$
 $\langle \text{proof} \rangle$

lemma *bv-add-type2* [simp]: $\text{bv-add } w1 \ (\text{norm-unsigned } w2) = \text{bv-add } w1 \ w2$
 $\langle \text{proof} \rangle$

lemma *bv-add-returntype* [simp]: $\text{norm-unsigned } (\text{bv-add } w1 \ w2) = \text{bv-add } w1 \ w2$
 $\langle \text{proof} \rangle$

lemma *bv-add-length*: $\text{length } (\text{bv-add } w1 \ w2) \leq \text{Suc } (\max (\text{length } w1) (\text{length } w2))$
 $\langle \text{proof} \rangle$

definition
 $\text{bv-mult} :: [\text{bit list}, \text{bit list}] \Rightarrow \text{bit list}$ **where**
 $\text{bv-mult } w1 \ w2 = \text{nat-to-bv } (\text{bv-to-nat } w1 * \text{bv-to-nat } w2)$

lemma *bv-mult-type1* [simp]: $\text{bv-mult } (\text{norm-unsigned } w1) \ w2 = \text{bv-mult } w1 \ w2$
 $\langle \text{proof} \rangle$

lemma *bv-mult-type2* [simp]: $\text{bv-mult } w1 \ (\text{norm-unsigned } w2) = \text{bv-mult } w1 \ w2$
 $\langle \text{proof} \rangle$

lemma *bv-mult-returntype* [simp]: $\text{norm-unsigned } (\text{bv-mult } w1 \ w2) = \text{bv-mult } w1 \ w2$
 $\langle \text{proof} \rangle$

lemma *bv-mult-length*: $\text{length } (\text{bv-mult } w1 \ w2) \leq \text{length } w1 + \text{length } w2$

$\langle proof \rangle$

54.5 Signed Vectors

consts

norm-signed :: bit list => bit list

primrec

norm-signed-Nil: *norm-signed* [] = []

norm-signed-Cons: *norm-signed* (b#bs) =

(case b of

0 => if *norm-unsigned* bs = [] then [] else b#*norm-unsigned* bs

| 1 => b#rem-initial b bs)

lemma *norm-signed0* [simp]: *norm-signed* [0] = []

$\langle proof \rangle$

lemma *norm-signed1* [simp]: *norm-signed* [1] = [1]

$\langle proof \rangle$

lemma *norm-signed01* [simp]: *norm-signed* (0#1#xs) = 0#1#xs

$\langle proof \rangle$

lemma *norm-signed00* [simp]: *norm-signed* (0#0#xs) = *norm-signed* (0#xs)

$\langle proof \rangle$

lemma *norm-signed10* [simp]: *norm-signed* (1#0#xs) = 1#0#xs

$\langle proof \rangle$

lemma *norm-signed11* [simp]: *norm-signed* (1#1#xs) = *norm-signed* (1#xs)

$\langle proof \rangle$

lemmas [simp del] = *norm-signed-Cons*

definition

int-to-bv :: int => bit list **where**

int-to-bv n = (if 0 ≤ n

then *norm-signed* (0#nat-to-bv (nat n))

else *norm-signed* (bv-not (0#nat-to-bv (nat (-n - 1)))))

lemma *int-to-bv-ge0* [simp]: 0 ≤ n ==> *int-to-bv* n = *norm-signed* (0 # nat-to-bv (nat n))

$\langle proof \rangle$

lemma *int-to-bv-lt0* [simp]:

n < 0 ==> *int-to-bv* n = *norm-signed* (bv-not (0#nat-to-bv (nat (-n - 1)))))

$\langle proof \rangle$

lemma *norm-signed-idem* [simp]: *norm-signed* (*norm-signed* w) = *norm-signed* w

$\langle proof \rangle$

definition

$bv\text{-}to\text{-}int :: bit\ list \Rightarrow int$ **where**
 $bv\text{-}to\text{-}int\ w =$
 $(case\ bv\text{-}msb\ w\ of\ \mathbf{0} \Rightarrow int\ (bv\text{-}to\text{-}nat\ w)$
 $| \mathbf{1} \Rightarrow -\ int\ (bv\text{-}to\text{-}nat\ (bv\text{-}not\ w) + 1))$

lemma $bv\text{-}to\text{-}int\text{-}Nil$ [simp]: $bv\text{-}to\text{-}int\ [] = 0$
 $\langle proof \rangle$

lemma $bv\text{-}to\text{-}int\text{-}Cons0$ [simp]: $bv\text{-}to\text{-}int\ (\mathbf{0}\#bs) = int\ (bv\text{-}to\text{-}nat\ bs)$
 $\langle proof \rangle$

lemma $bv\text{-}to\text{-}int\text{-}Cons1$ [simp]: $bv\text{-}to\text{-}int\ (\mathbf{1}\#bs) = -\ int\ (bv\text{-}to\text{-}nat\ (bv\text{-}not\ bs) + 1)$
 $\langle proof \rangle$

lemma $bv\text{-}to\text{-}int\text{-}type$ [simp]: $bv\text{-}to\text{-}int\ (norm\text{-}signed\ w) = bv\text{-}to\text{-}int\ w$
 $\langle proof \rangle$

lemma $bv\text{-}to\text{-}int\text{-}upper\text{-}range$: $bv\text{-}to\text{-}int\ w < 2^{\wedge} (length\ w - 1)$
 $\langle proof \rangle$

lemma $bv\text{-}to\text{-}int\text{-}lower\text{-}range$: $-(2^{\wedge} (length\ w - 1)) \leq bv\text{-}to\text{-}int\ w$
 $\langle proof \rangle$

lemma $int\text{-}bv\text{-}int$ [simp]: $int\text{-}to\text{-}bv\ (bv\text{-}to\text{-}int\ w) = norm\text{-}signed\ w$
 $\langle proof \rangle$

lemma $bv\text{-}int\text{-}bv$ [simp]: $bv\text{-}to\text{-}int\ (int\text{-}to\text{-}bv\ i) = i$
 $\langle proof \rangle$

lemma $bv\text{-}msb\text{-}norm$ [simp]: $bv\text{-}msb\ (norm\text{-}signed\ w) = bv\text{-}msb\ w$
 $\langle proof \rangle$

lemma $norm\text{-}signed\text{-}length$: $length\ (norm\text{-}signed\ w) \leq length\ w$
 $\langle proof \rangle$

lemma $norm\text{-}signed\text{-}equal$: $length\ (norm\text{-}signed\ w) = length\ w \Rightarrow norm\text{-}signed\ w = w$
 $\langle proof \rangle$

lemma $bv\text{-}extend\text{-}norm\text{-}signed$: $bv\text{-}msb\ w = b \Rightarrow bv\text{-}extend\ (length\ w)\ b\ (norm\text{-}signed\ w) = w$
 $\langle proof \rangle$

lemma $bv\text{-}to\text{-}int\text{-}qinj$:
assumes one : $bv\text{-}to\text{-}int\ xs = bv\text{-}to\text{-}int\ ys$
and len : $length\ xs = length\ ys$

shows $xs = ys$
 $\langle proof \rangle$

lemma *int-to-bv-returntype [simp]: norm-signed (int-to-bv w) = int-to-bv w*
 $\langle proof \rangle$

lemma *bv-to-int-msb0: $0 \leq bv\text{-to-int } w1 \implies bv\text{-msb } w1 = 0$*
 $\langle proof \rangle$

lemma *bv-to-int-msb1: $bv\text{-to-int } w1 < 0 \implies bv\text{-msb } w1 = 1$*
 $\langle proof \rangle$

lemma *bv-to-int-lower-limit-gt0:*
assumes $w0: 0 < bv\text{-to-int } w$
shows $2 \wedge (\text{length } (\text{norm-signed } w) - 2) \leq bv\text{-to-int } w$
 $\langle proof \rangle$

lemma *norm-signed-result: $\text{norm-signed } w = [] \vee \text{norm-signed } w = [1] \vee bv\text{-msb } (\text{norm-signed } w) \neq bv\text{-msb } (\text{tl } (\text{norm-signed } w))$*
 $\langle proof \rangle$

lemma *bv-to-int-upper-limit-lem1:*
assumes $w0: bv\text{-to-int } w < -1$
shows $bv\text{-to-int } w < -(2 \wedge (\text{length } (\text{norm-signed } w) - 2))$
 $\langle proof \rangle$

lemma *length-int-to-bv-upper-limit-gt0:*
assumes $w0: 0 < i$
and $wk: i \leq 2 \wedge (k - 1) - 1$
shows $\text{length } (\text{int-to-bv } i) \leq k$
 $\langle proof \rangle$

lemma *pos-length-pos:*
assumes $i0: 0 < bv\text{-to-int } w$
shows $0 < \text{length } w$
 $\langle proof \rangle$

lemma *neg-length-pos:*
assumes $i0: bv\text{-to-int } w < -1$
shows $0 < \text{length } w$
 $\langle proof \rangle$

lemma *length-int-to-bv-lower-limit-gt0:*
assumes $wk: 2 \wedge (k - 1) \leq i$
shows $k < \text{length } (\text{int-to-bv } i)$
 $\langle proof \rangle$

lemma *length-int-to-bv-upper-limit-lem1:*
assumes $w1: i < -1$

and $wk: -(2^k \wedge (k - 1)) \leq i$
shows $\text{length } (\text{int-to-bv } i) \leq k$
 $\langle \text{proof} \rangle$

lemma *length-int-to-bv-lower-limit-lem1*:
assumes $wk: i < -(2^k \wedge (k - 1))$
shows $k < \text{length } (\text{int-to-bv } i)$
 $\langle \text{proof} \rangle$

54.6 Signed Arithmetic Operations

54.6.1 Conversion from unsigned to signed

definition
 $\text{utos} :: \text{bit list} \Rightarrow \text{bit list}$ **where**
 $\text{utos } w = \text{norm-signed } (\mathbf{0} \# w)$

lemma *utos-type [simp]*: $\text{utos } (\text{norm-unsigned } w) = \text{utos } w$
 $\langle \text{proof} \rangle$

lemma *utos-returntype [simp]*: $\text{norm-signed } (\text{utos } w) = \text{utos } w$
 $\langle \text{proof} \rangle$

lemma *utos-length*: $\text{length } (\text{utos } w) \leq \text{Suc } (\text{length } w)$
 $\langle \text{proof} \rangle$

lemma *bv-to-int-utos*: $\text{bv-to-int } (\text{utos } w) = \text{int } (\text{bv-to-nat } w)$
 $\langle \text{proof} \rangle$

54.6.2 Unary minus

definition
 $\text{bv-uminus} :: \text{bit list} \Rightarrow \text{bit list}$ **where**
 $\text{bv-uminus } w = \text{int-to-bv } (- \text{bv-to-int } w)$

lemma *bv-uminus-type [simp]*: $\text{bv-uminus } (\text{norm-signed } w) = \text{bv-uminus } w$
 $\langle \text{proof} \rangle$

lemma *bv-uminus-returntype [simp]*: $\text{norm-signed } (\text{bv-uminus } w) = \text{bv-uminus } w$
 $\langle \text{proof} \rangle$

lemma *bv-uminus-length*: $\text{length } (\text{bv-uminus } w) \leq \text{Suc } (\text{length } w)$
 $\langle \text{proof} \rangle$

lemma *bv-uminus-length-utos*: $\text{length } (\text{bv-uminus } (\text{utos } w)) \leq \text{Suc } (\text{length } w)$
 $\langle \text{proof} \rangle$

definition
 $\text{bv-sadd} :: [\text{bit list}, \text{bit list}] \Rightarrow \text{bit list}$ **where**
 $\text{bv-sadd } w1 \ w2 = \text{int-to-bv } (\text{bv-to-int } w1 + \text{bv-to-int } w2)$

lemma *bv-sadd-type1* [simp]: $\text{bv-sadd } (\text{norm-signed } w1) \ w2 = \text{bv-sadd } w1 \ w2$
 ⟨proof⟩

lemma *bv-sadd-type2* [simp]: $\text{bv-sadd } w1 \ (\text{norm-signed } w2) = \text{bv-sadd } w1 \ w2$
 ⟨proof⟩

lemma *bv-sadd-returntype* [simp]: $\text{norm-signed } (\text{bv-sadd } w1 \ w2) = \text{bv-sadd } w1 \ w2$
 ⟨proof⟩

lemma *adder-helper*:
 assumes $lw: 0 < \max (\text{length } w1) (\text{length } w2)$
 shows $((2::\text{int}) ^ (\text{length } w1 - 1)) + (2 ^ (\text{length } w2 - 1)) \leq 2 ^ \max (\text{length } w1) (\text{length } w2)$
 ⟨proof⟩

lemma *bv-sadd-length*: $\text{length } (\text{bv-sadd } w1 \ w2) \leq \text{Suc } (\max (\text{length } w1) (\text{length } w2))$
 ⟨proof⟩

definition
 $\text{bv-sub} :: [\text{bit list}, \text{bit list}] \Rightarrow \text{bit list}$ **where**
 $\text{bv-sub } w1 \ w2 = \text{bv-sadd } w1 \ (\text{bv-uminus } w2)$

lemma *bv-sub-type1* [simp]: $\text{bv-sub } (\text{norm-signed } w1) \ w2 = \text{bv-sub } w1 \ w2$
 ⟨proof⟩

lemma *bv-sub-type2* [simp]: $\text{bv-sub } w1 \ (\text{norm-signed } w2) = \text{bv-sub } w1 \ w2$
 ⟨proof⟩

lemma *bv-sub-returntype* [simp]: $\text{norm-signed } (\text{bv-sub } w1 \ w2) = \text{bv-sub } w1 \ w2$
 ⟨proof⟩

lemma *bv-sub-length*: $\text{length } (\text{bv-sub } w1 \ w2) \leq \text{Suc } (\max (\text{length } w1) (\text{length } w2))$
 ⟨proof⟩

definition
 $\text{bv-smult} :: [\text{bit list}, \text{bit list}] \Rightarrow \text{bit list}$ **where**
 $\text{bv-smult } w1 \ w2 = \text{int-to-bv } (\text{bv-to-int } w1 * \text{bv-to-int } w2)$

lemma *bv-smult-type1* [simp]: $\text{bv-smult } (\text{norm-signed } w1) \ w2 = \text{bv-smult } w1 \ w2$
 ⟨proof⟩

lemma *bv-smult-type2* [simp]: $\text{bv-smult } w1 \ (\text{norm-signed } w2) = \text{bv-smult } w1 \ w2$
 ⟨proof⟩

lemma *bv-smult-returntype* [simp]: $\text{norm-signed } (\text{bv-smult } w1 \ w2) = \text{bv-smult } w1 \ w2$
 ⟨proof⟩

lemma *bv-smult-length*: $\text{length } (\text{bv-smult } w1 \ w2) \leq \text{length } w1 + \text{length } w2$
 $\langle \text{proof} \rangle$

lemma *bv-msb-one*: $\text{bv-msb } w = \mathbf{1} \implies \text{bv-to-nat } w \neq 0$
 $\langle \text{proof} \rangle$

lemma *bv-smult-length-utos*: $\text{length } (\text{bv-smult } (\text{utos } w1) \ w2) \leq \text{length } w1 + \text{length } w2$
 $\langle \text{proof} \rangle$

lemma *bv-smult-sym*: $\text{bv-smult } w1 \ w2 = \text{bv-smult } w2 \ w1$
 $\langle \text{proof} \rangle$

54.7 Structural operations

definition

bv-select :: $[\text{bit list}, \text{nat}] \Rightarrow \text{bit}$ **where**
bv-select $w \ i = w \ ! \ (\text{length } w - 1 - i)$

definition

bv-chop :: $[\text{bit list}, \text{nat}] \Rightarrow \text{bit list} * \text{bit list}$ **where**
bv-chop $w \ i = (\text{let } \text{len} = \text{length } w \text{ in } (\text{take } (\text{len} - i) \ w, \text{drop } (\text{len} - i) \ w))$

definition

bv-slice :: $[\text{bit list}, \text{nat} * \text{nat}] \Rightarrow \text{bit list}$ **where**
bv-slice $w = (\lambda(b, e). \text{fst } (\text{bv-chop } (\text{snd } (\text{bv-chop } w \ (b+1))) \ e))$

lemma

bv-select-rev:
assumes *nonnull*: $n < \text{length } w$
shows $\text{bv-select } w \ n = \text{rev } w \ ! \ n$
 $\langle \text{proof} \rangle$

lemma *bv-chop-append*: $\text{bv-chop } (w1 \ @ \ w2) \ (\text{length } w2) = (w1, w2)$
 $\langle \text{proof} \rangle$

lemma *append-bv-chop-id*: $\text{fst } (\text{bv-chop } w \ l) \ @ \ \text{snd } (\text{bv-chop } w \ l) = w$
 $\langle \text{proof} \rangle$

lemma *bv-chop-length-fst* [*simp*]: $\text{length } (\text{fst } (\text{bv-chop } w \ i)) = \text{length } w - i$
 $\langle \text{proof} \rangle$

lemma *bv-chop-length-snd* [*simp*]: $\text{length } (\text{snd } (\text{bv-chop } w \ i)) = \min i \ (\text{length } w)$
 $\langle \text{proof} \rangle$

lemma *bv-slice-length* [*simp*]: $[\mid j \leq i; i < \text{length } w \mid] \implies \text{length } (\text{bv-slice } w \ (i, j)) = i - j + 1$
 $\langle \text{proof} \rangle$

definition

length-nat :: *nat* ==> *nat* **where**
length-nat *x* = (*LEAST* *n*. *x* < 2 ^ *n*)

lemma *length-nat*: *length* (*nat-to-bv* *n*) = *length-nat* *n*
 ⟨*proof*⟩

lemma *length-nat-0* [*simp*]: *length-nat* 0 = 0
 ⟨*proof*⟩

lemma *length-nat-non0*:
 assumes *n0*: *n* ≠ 0
 shows *length-nat* *n* = *Suc* (*length-nat* (*n* div 2))
 ⟨*proof*⟩

definition

length-int :: *int* ==> *nat* **where**
length-int *x* =
 (if 0 < *x* then *Suc* (*length-nat* (*nat* *x*))
 else if *x* = 0 then 0
 else *Suc* (*length-nat* (*nat* (−*x* − 1))))

lemma *length-int*: *length* (*int-to-bv* *i*) = *length-int* *i*
 ⟨*proof*⟩

lemma *length-int-0* [*simp*]: *length-int* 0 = 0
 ⟨*proof*⟩

lemma *length-int-gt0*: 0 < *i* ==> *length-int* *i* = *Suc* (*length-nat* (*nat* *i*))
 ⟨*proof*⟩

lemma *length-int-lt0*: *i* < 0 ==> *length-int* *i* = *Suc* (*length-nat* (*nat* (− *i*) − 1))
 ⟨*proof*⟩

lemma *bv-chopI*: [| *w* = *w1* @ *w2* ; *i* = *length* *w2* |] ==> *bv-chop* *w* *i* = (*w1*, *w2*)
 ⟨*proof*⟩

lemma *bv-sliceI*: [| *j* ≤ *i* ; *i* < *length* *w* ; *w* = *w1* @ *w2* @ *w3* ; *Suc* *i* = *length* *w2* + *j* ; *j* = *length* *w3* |] ==> *bv-slice* *w* (*i*, *j*) = *w2*
 ⟨*proof*⟩

lemma *bv-slice-bv-slice*:

assumes *ki*: *k* ≤ *i*
 and *ij*: *i* ≤ *j*
 and *jl*: *j* ≤ *l*
 and *lw*: *l* < *length* *w*
 shows *bv-slice* *w* (*j*, *i*) = *bv-slice* (*bv-slice* *w* (*l*, *k*)) (*j* − *k*, *i* − *k*)
 ⟨*proof*⟩

lemma *bv-to-nat-extend* [simp]: $bv\text{-}to\text{-}nat\ (bv\text{-}extend\ n\ \mathbf{0}\ w) = bv\text{-}to\text{-}nat\ w$
 ⟨proof⟩

lemma *bv-msb-extend-same* [simp]: $bv\text{-}msb\ w = b \implies bv\text{-}msb\ (bv\text{-}extend\ n\ b\ w) = b$
 ⟨proof⟩

lemma *bv-to-int-extend* [simp]:
 assumes $a: bv\text{-}msb\ w = b$
 shows $bv\text{-}to\text{-}int\ (bv\text{-}extend\ n\ b\ w) = bv\text{-}to\text{-}int\ w$
 ⟨proof⟩

lemma *length-nat-mono* [simp]: $x \leq y \implies length\text{-}nat\ x \leq length\text{-}nat\ y$
 ⟨proof⟩

lemma *length-nat-mono-int* [simp]: $x \leq y \implies length\text{-}nat\ x \leq length\text{-}nat\ y$
 ⟨proof⟩

lemma *length-nat-pos* [simp,intro!]: $0 < x \implies 0 < length\text{-}nat\ x$
 ⟨proof⟩

lemma *length-int-mono-gt0*: $[| 0 \leq x ; x \leq y |] \implies length\text{-}int\ x \leq length\text{-}int\ y$
 ⟨proof⟩

lemma *length-int-mono-lt0*: $[| x \leq y ; y \leq 0 |] \implies length\text{-}int\ y \leq length\text{-}int\ x$
 ⟨proof⟩

lemmas [simp] = *length-nat-non0*

lemma *nat-to-bv* (*number-of Int.Pls*) = []
 ⟨proof⟩

consts

fast-bv-to-nat-helper :: $[bit\ list, int] \Rightarrow int$

primrec

fast-bv-to-nat-Nil: $fast\text{-}bv\text{-}to\text{-}nat\text{-}helper\ []\ k = k$

fast-bv-to-nat-Cons: $fast\text{-}bv\text{-}to\text{-}nat\text{-}helper\ (b\#bs)\ k =$

$fast\text{-}bv\text{-}to\text{-}nat\text{-}helper\ bs\ ((bit\text{-}case\ Int.Bit0\ Int.Bit1\ b)\ k)$

lemma *fast-bv-to-nat-Cons0*: $fast\text{-}bv\text{-}to\text{-}nat\text{-}helper\ (\mathbf{0}\#bs)\ bin =$
 $fast\text{-}bv\text{-}to\text{-}nat\text{-}helper\ bs\ (Int.Bit0\ bin)$
 ⟨proof⟩

lemma *fast-bv-to-nat-Cons1*: $fast\text{-}bv\text{-}to\text{-}nat\text{-}helper\ (\mathbf{1}\#bs)\ bin =$
 $fast\text{-}bv\text{-}to\text{-}nat\text{-}helper\ bs\ (Int.Bit1\ bin)$
 ⟨proof⟩

lemma *fast-bv-to-nat-def*:
 $bv\text{-}to\text{-}nat\ bs == number\text{-}of\ (fast\text{-}bv\text{-}to\text{-}nat\text{-}helper\ bs\ Int.Pls)$

$\langle proof \rangle$

declare *fast-bv-to-nat-Cons* [*simp del*]
declare *fast-bv-to-nat-Cons0* [*simp*]
declare *fast-bv-to-nat-Cons1* [*simp*]

$\langle ML \rangle$

declare *bv-to-nat1* [*simp del*]
declare *bv-to-nat-helper* [*simp del*]

definition

bv-mapzip :: [*bit* ==> *bit* ==> *bit*, *bit list*, *bit list*] ==> *bit list* **where**
bv-mapzip *f* *w1* *w2* =
 (let *g* = *bv-extend* (*max* (*length* *w1*) (*length* *w2*)) 0
 in *map* (*split* *f*) (*zip* (*g* *w1*) (*g* *w2*)))

lemma *bv-length-bv-mapzip* [*simp*]:

length (*bv-mapzip* *f* *w1* *w2*) = *max* (*length* *w1*) (*length* *w2*)
 $\langle proof \rangle$

lemma *bv-mapzip-Nil* [*simp*]: *bv-mapzip* *f* [] [] = []
 $\langle proof \rangle$

lemma *bv-mapzip-Cons* [*simp*]: *length* *w1* = *length* *w2* ==>
bv-mapzip *f* (*x* # *w1*) (*y* # *w2*) = *f* *x* *y* # *bv-mapzip* *f* *w1* *w2*
 $\langle proof \rangle$

end

55 Zorn: Zorn’s Lemma

theory *Zorn*
imports *Order-Relation*
begin

definition *chain-subset* :: '*a* set set => bool (*chain*_⊆) **where**
*chain*_⊆ *C* ≡ ∀ *A* ∈ *C*. ∀ *B* ∈ *C*. *A* ⊆ *B* ∨ *B* ⊆ *A*

The lemma and section numbers refer to an unpublished article [1].

definition

chain :: '*a* set set ==> '*a* set set set **where**
chain *S* = {*F*. *F* ⊆ *S* & *chain*_⊆ *F*}

definition

super :: ['*a* set set, '*a* set set] ==> '*a* set set set **where**
super *S* *c* = {*d*. *d* ∈ *chain* *S* & *c* ⊂ *d*}

definition

$maxchain :: 'a \text{ set set} \Rightarrow 'a \text{ set set set}$ **where**
 $maxchain\ S = \{c. c \in chain\ S \ \& \ super\ S\ c = \{\}\}$

definition

$succ :: ['a \text{ set set}, 'a \text{ set set}] \Rightarrow 'a \text{ set set}$ **where**
 $succ\ S\ c =$
 (if $c \notin chain\ S \mid c \in maxchain\ S$
 then c else $SOME\ c'. c' \in super\ S\ c$)

inductive-set

$TFin :: 'a \text{ set set} \Rightarrow 'a \text{ set set set}$
for $S :: 'a \text{ set set}$
where
 $succI: \quad x \in TFin\ S \Rightarrow succ\ S\ x \in TFin\ S$
 $| Pow\text{-}UnionI: \quad Y \in Pow(TFin\ S) \Rightarrow Union(Y) \in TFin\ S$

55.1 Mathematical Preamble**lemma** *Union-lemma0*:

$(\forall x \in C. x \subseteq A \mid B \subseteq x) \Rightarrow Union(C) \subseteq A \mid B \subseteq Union(C)$
 $\langle proof \rangle$

This is theorem *increasingD2* of ZF/Zorn.thy

lemma *Abrial-axiom1*: $x \subseteq succ\ S\ x$

$\langle proof \rangle$

lemmas *TFin-UnionI* = *TFin.Pow-UnionI* [*OF PowI*]**lemma** *TFin-induct*:

assumes $H: n \in TFin\ S$
and $I: !!x. x \in TFin\ S \Rightarrow P\ x \Rightarrow P\ (succ\ S\ x)$
 $!!Y. Y \subseteq TFin\ S \Rightarrow Ball\ Y\ P \Rightarrow P(Union\ Y)$
shows $P\ n$ $\langle proof \rangle$

lemma *succ-trans*: $x \subseteq y \Rightarrow x \subseteq succ\ S\ y$

$\langle proof \rangle$

Lemma 1 of section 3.1

lemma *TFin-linear-lemma1*:

$[| n \in TFin\ S; m \in TFin\ S;$
 $\quad \forall x \in TFin\ S. x \subseteq m \longrightarrow x = m \mid succ\ S\ x \subseteq m$
 $|] \Rightarrow n \subseteq m \mid succ\ S\ m \subseteq n$
 $\langle proof \rangle$

Lemma 2 of section 3.2

lemma *TFin-linear-lemma2*:

$m \in TFin\ S \Rightarrow \forall n \in TFin\ S. n \subseteq m \longrightarrow n = m \mid succ\ S\ n \subseteq m$

$\langle proof \rangle$

Re-ordering the premises of Lemma 2

lemma *TFin-subsetD*:

$[[n \subseteq m; m \in TFin\ S; n \in TFin\ S]] ==> n=m \mid succ\ S\ n \subseteq m$

$\langle proof \rangle$

Consequences from section 3.3 – Property 3.2, the ordering is total

lemma *TFin-subset-linear*: $[[m \in TFin\ S; n \in TFin\ S]] ==> n \subseteq m \mid m \subseteq n$

$\langle proof \rangle$

Lemma 3 of section 3.3

lemma *eq-succ-upper*: $[[n \in TFin\ S; m \in TFin\ S; m = succ\ S\ m]] ==> n \subseteq m$

$\langle proof \rangle$

Property 3.3 of section 3.3

lemma *equal-succ-Union*: $m \in TFin\ S ==> (m = succ\ S\ m) = (m = Union(TFin\ S))$

$\langle proof \rangle$

55.2 Hausdorff’s Theorem: Every Set Contains a Maximal Chain.

NB: We assume the partial ordering is \subseteq , the subset relation!

lemma *empty-set-mem-chain*: $(\{\} :: 'a\ set\ set) \in chain\ S$

$\langle proof \rangle$

lemma *super-subset-chain*: $super\ S\ c \subseteq chain\ S$

$\langle proof \rangle$

lemma *maxchain-subset-chain*: $maxchain\ S \subseteq chain\ S$

$\langle proof \rangle$

lemma *mem-super-Ex*: $c \in chain\ S - maxchain\ S ==> EX\ d. d \in super\ S\ c$

$\langle proof \rangle$

lemma *select-super*:

$c \in chain\ S - maxchain\ S ==> (\epsilon\ c'. c': super\ S\ c): super\ S\ c$

$\langle proof \rangle$

lemma *select-not-equals*:

$c \in chain\ S - maxchain\ S ==> (\epsilon\ c'. c': super\ S\ c) \neq c$

$\langle proof \rangle$

lemma *succI3*: $c \in chain\ S - maxchain\ S ==> succ\ S\ c = (\epsilon\ c'. c': super\ S\ c)$

$\langle proof \rangle$

lemma *succ-not-equals*: $c \in \text{chain } S \rightarrow \text{maxchain } S \Rightarrow \text{succ } S \ c \neq c$
 $\langle \text{proof} \rangle$

lemma *TFin-chain-lemma4*: $c \in \text{TFin } S \Rightarrow (c :: 'a \text{ set set}) : \text{chain } S$
 $\langle \text{proof} \rangle$

theorem *Hausdorff*: $\exists c. (c :: 'a \text{ set set}) : \text{maxchain } S$
 $\langle \text{proof} \rangle$

55.3 Zorn’s Lemma: If All Chains Have Upper Bounds Then There Is a Maximal Element

lemma *chain-extend*:
 $[\mid c \in \text{chain } S; z \in S; \forall x \in c. x \subseteq (z :: 'a \text{ set}) \mid] \Rightarrow \{z\} \cup c \in \text{chain } S$
 $\langle \text{proof} \rangle$

lemma *chain-Union-upper*: $[\mid c \in \text{chain } S; x \in c \mid] \Rightarrow x \subseteq \text{Union}(c)$
 $\langle \text{proof} \rangle$

lemma *chain-ball-Union-upper*: $c \in \text{chain } S \Rightarrow \forall x \in c. x \subseteq \text{Union}(c)$
 $\langle \text{proof} \rangle$

lemma *maxchain-Zorn*:
 $[\mid c \in \text{maxchain } S; u \in S; \text{Union}(c) \subseteq u \mid] \Rightarrow \text{Union}(c) = u$
 $\langle \text{proof} \rangle$

theorem *Zorn-Lemma*:
 $\forall c \in \text{chain } S. \text{Union}(c) : S \Rightarrow \exists y \in S. \forall z \in S. y \subseteq z \rightarrow y = z$
 $\langle \text{proof} \rangle$

55.4 Alternative version of Zorn’s Lemma

lemma *Zorn-Lemma2*:
 $\forall c \in \text{chain } S. \exists y \in S. \forall x \in c. x \subseteq y$
 $\Rightarrow \exists y \in S. \forall x \in S. (y :: 'a \text{ set set}) \subseteq x \rightarrow y = x$
 $\langle \text{proof} \rangle$

Various other lemmas

lemma *chainD*: $[\mid c \in \text{chain } S; x \in c; y \in c \mid] \Rightarrow x \subseteq y \mid y \subseteq x$
 $\langle \text{proof} \rangle$

lemma *chainD2*: $!!(c :: 'a \text{ set set}). c \in \text{chain } S \Rightarrow c \subseteq S$
 $\langle \text{proof} \rangle$

definition *Chain* :: $('a * 'a) \text{ set} \Rightarrow 'a \text{ set set}$ **where**
 $\text{Chain } r \equiv \{A. \forall a \in A. \forall b \in A. (a, b) : r \vee (b, a) \in r\}$

lemma *mono-Chain*: $r \subseteq s \implies \text{Chain } r \subseteq \text{Chain } s$
 $\langle \text{proof} \rangle$

Zorn’s lemma for partial orders:

lemma *Zorns-po-lemma*:

assumes *po*: *Partial-order* r **and** u : $\forall C \in \text{Chain } r. \exists u \in \text{Field } r. \forall a \in C. (a, u):r$
shows $\exists m \in \text{Field } r. \forall a \in \text{Field } r. (m, a):r \longrightarrow a=m$
 $\langle \text{proof} \rangle$

definition *init-seg-of* :: $((a *' a) \text{set} * (a *' a) \text{set}) \text{set}$ **where**
 $\text{init-seg-of} == \{(r, s). r \subseteq s \wedge (\forall a \ b \ c. (a, b):s \wedge (b, c):r \longrightarrow (a, b):r)\}$

abbreviation *initialSegmentOf* :: $(a *' a) \text{set} \Rightarrow (a *' a) \text{set} \Rightarrow \text{bool}$
 $(\text{infix } \text{initial}'\text{-segment}'\text{-of } 55)$ **where**
 $r \text{ initial-segment-of } s == (r, s): \text{init-seg-of}$

lemma *refl-init-seg-of*[*simp*]: $r \text{ initial-segment-of } r$
 $\langle \text{proof} \rangle$

lemma *trans-init-seg-of*:
 $r \text{ initial-segment-of } s \implies s \text{ initial-segment-of } t \implies r \text{ initial-segment-of } t$
 $\langle \text{proof} \rangle$

lemma *antisym-init-seg-of*:
 $r \text{ initial-segment-of } s \implies s \text{ initial-segment-of } r \implies r=s$
 $\langle \text{proof} \rangle$

lemma *Chain-init-seg-of-Union*:
 $R \in \text{Chain } \text{init-seg-of} \implies r \in R \implies r \text{ initial-segment-of } \bigcup R$
 $\langle \text{proof} \rangle$

lemma *chain-subset-trans-Union*:
 $\text{chain} \subseteq R \implies \forall r \in R. \text{trans } r \implies \text{trans}(\bigcup R)$
 $\langle \text{proof} \rangle$

lemma *chain-subset-antisym-Union*:
 $\text{chain} \subseteq R \implies \forall r \in R. \text{antisym } r \implies \text{antisym}(\bigcup R)$
 $\langle \text{proof} \rangle$

lemma *chain-subset-Total-Union*:
assumes $\text{chain} \subseteq R \ \forall r \in R. \text{Total } r$
shows $\text{Total } (\bigcup R)$
 $\langle \text{proof} \rangle$

lemma *wf-Union-wf-init-segs*:
assumes $R \in \text{Chain } \text{init-seg-of}$ **and** $\forall r \in R. \text{wf } r$ **shows** $\text{wf}(\bigcup R)$
 $\langle \text{proof} \rangle$

lemma *Chain-inits-DiffI*:

$R \in \text{Chain init-seg-of} \implies \{r - s \mid r. r \in R\} \in \text{Chain init-seg-of}$
 $\langle \text{proof} \rangle$

theorem *well-ordering*: $\exists r::('a*'a)\text{set}. \text{Well-order } r \wedge \text{Field } r = \text{UNIV}$
 $\langle \text{proof} \rangle$

corollary *well-order-on*: $\exists r::('a*'a)\text{set}. \text{well-order-on } A \ r$
 $\langle \text{proof} \rangle$

end

56 List-Prefix: List prefixes and postfixes

theory *List-Prefix*

imports *List*

begin

56.1 Prefix order on lists

instantiation *list* :: (*type*) *order*
begin

definition

prefix-def [*code func del*]: $xs \leq ys = (\exists zs. ys = xs @ zs)$

definition

strict-prefix-def [*code func del*]: $xs < ys = (xs \leq ys \wedge xs \neq (ys::'a \text{ list}))$

instance

$\langle \text{proof} \rangle$

end

lemma *prefixI* [*intro?*]: $ys = xs @ zs \implies xs \leq ys$
 $\langle \text{proof} \rangle$

lemma *prefixE* [*elim?*]:

assumes $xs \leq ys$

obtains zs **where** $ys = xs @ zs$

$\langle \text{proof} \rangle$

lemma *strict-prefixI'* [*intro?*]: $ys = xs @ z \# zs \implies xs < ys$
 $\langle \text{proof} \rangle$

lemma *strict-prefixE'* [*elim?*]:

assumes $xs < ys$

obtains $z \ zs$ **where** $ys = xs @ z \# zs$

$\langle \text{proof} \rangle$

lemma *strict-prefixI* [*intro?*]: $xs \leq ys \implies xs \neq ys \implies xs < (ys::'a \text{ list})$
 $\langle \text{proof} \rangle$

lemma *strict-prefixE* [*elim?*]:
fixes $xs \ ys :: 'a \text{ list}$
assumes $xs < ys$
obtains $xs \leq ys$ **and** $xs \neq ys$
 $\langle \text{proof} \rangle$

56.2 Basic properties of prefixes

theorem *Nil-prefix* [*iff*]: $[] \leq xs$
 $\langle \text{proof} \rangle$

theorem *prefix-Nil* [*simp*]: $(xs \leq []) = (xs = [])$
 $\langle \text{proof} \rangle$

lemma *prefix-snoc* [*simp*]: $(xs \leq ys @ [y]) = (xs = ys @ [y] \vee xs \leq ys)$
 $\langle \text{proof} \rangle$

lemma *Cons-prefix-Cons* [*simp*]: $(x \# xs \leq y \# ys) = (x = y \wedge xs \leq ys)$
 $\langle \text{proof} \rangle$

lemma *same-prefix-prefix* [*simp*]: $(xs @ ys \leq xs @ zs) = (ys \leq zs)$
 $\langle \text{proof} \rangle$

lemma *same-prefix-nil* [*iff*]: $(xs @ ys \leq xs) = (ys = [])$
 $\langle \text{proof} \rangle$

lemma *prefix-prefix* [*simp*]: $xs \leq ys \implies xs \leq ys @ zs$
 $\langle \text{proof} \rangle$

lemma *append-prefixD*: $xs @ ys \leq zs \implies xs \leq zs$
 $\langle \text{proof} \rangle$

theorem *prefix-Cons*: $(xs \leq y \# ys) = (xs = [] \vee (\exists zs. xs = y \# zs \wedge zs \leq ys))$
 $\langle \text{proof} \rangle$

theorem *prefix-append*:
 $(xs \leq ys @ zs) = (xs \leq ys \vee (\exists us. xs = ys @ us \wedge us \leq zs))$
 $\langle \text{proof} \rangle$

lemma *append-one-prefix*:
 $xs \leq ys \implies \text{length } xs < \text{length } ys \implies xs @ [ys ! \text{length } xs] \leq ys$
 $\langle \text{proof} \rangle$

theorem *prefix-length-le*: $xs \leq ys \implies \text{length } xs \leq \text{length } ys$

$\langle \text{proof} \rangle$

lemma *prefix-same-cases*:

$(xs_1 :: 'a \text{ list}) \leq ys \implies xs_2 \leq ys \implies xs_1 \leq xs_2 \vee xs_2 \leq xs_1$
 $\langle \text{proof} \rangle$

lemma *set-mono-prefix*: $xs \leq ys \implies \text{set } xs \subseteq \text{set } ys$

$\langle \text{proof} \rangle$

lemma *take-is-prefix*: $\text{take } n \text{ } xs \leq xs$

$\langle \text{proof} \rangle$

lemma *map-prefixI*: $xs \leq ys \implies \text{map } f \text{ } xs \leq \text{map } f \text{ } ys$

$\langle \text{proof} \rangle$

lemma *prefix-length-less*: $xs < ys \implies \text{length } xs < \text{length } ys$

$\langle \text{proof} \rangle$

lemma *strict-prefix-simps* [simp]:

$xs < [] = \text{False}$
 $[] < (x \# xs) = \text{True}$
 $(x \# xs) < (y \# ys) = (x = y \wedge xs < ys)$

$\langle \text{proof} \rangle$

lemma *take-strict-prefix*: $xs < ys \implies \text{take } n \text{ } xs < ys$

$\langle \text{proof} \rangle$

lemma *not-prefix-cases*:

assumes *pfx*: $\neg ps \leq ls$

obtains

$(c1) \text{ } ps \neq [] \text{ and } ls = []$
 $| (c2) \text{ } a \text{ as } x \text{ xs where } ps = a \# as \text{ and } ls = x \# xs \text{ and } x = a \text{ and } \neg as \leq xs$
 $| (c3) \text{ } a \text{ as } x \text{ xs where } ps = a \# as \text{ and } ls = x \# xs \text{ and } x \neq a$

$\langle \text{proof} \rangle$

lemma *not-prefix-induct* [consumes 1, case-names Nil Neq Eq]:

assumes *np*: $\neg ps \leq ls$

and *base*: $\bigwedge x \text{ xs. } P (x \# xs) []$

and *r1*: $\bigwedge x \text{ xs } y \text{ ys. } x \neq y \implies P (x \# xs) (y \# ys)$

and *r2*: $\bigwedge x \text{ xs } y \text{ ys. } [x = y; \neg xs \leq ys; P \text{ xs ys}] \implies P (x \# xs) (y \# ys)$

shows $P \text{ ps } ls \langle \text{proof} \rangle$

56.3 Parallel lists

definition

$\text{parallel} :: 'a \text{ list} \Rightarrow 'a \text{ list} \Rightarrow \text{bool}$ (infixl \parallel 50) **where**
 $(xs \parallel ys) = (\neg xs \leq ys \wedge \neg ys \leq xs)$

lemma *parallelI* [intro]: $\neg xs \leq ys \implies \neg ys \leq xs \implies xs \parallel ys$

$\langle proof \rangle$

lemma *parallelE* [*elim*]:

assumes $xs \parallel ys$

obtains $\neg xs \leq ys \wedge \neg ys \leq xs$

$\langle proof \rangle$

theorem *prefix-cases*:

obtains $xs \leq ys \mid ys < xs \mid xs \parallel ys$

$\langle proof \rangle$

theorem *parallel-decomp*:

$xs \parallel ys \implies \exists as\ b\ bs\ c\ cs. b \neq c \wedge xs = as @ b \# bs \wedge ys = as @ c \# cs$

$\langle proof \rangle$

lemma *parallel-append*: $a \parallel b \implies a @ c \parallel b @ d$

$\langle proof \rangle$

lemma *parallel-appendI*: $xs \parallel ys \implies x = xs @ xs' \implies y = ys @ ys' \implies x \parallel y$

$\langle proof \rangle$

lemma *parallel-commute*: $a \parallel b \longleftrightarrow b \parallel a$

$\langle proof \rangle$

56.4 Postfix order on lists

definition

postfix $:: 'a\ list \implies 'a\ list \implies bool$ $((-/ >>= -) [51, 50] 50)$ **where**
 $(xs >>= ys) = (\exists zs. xs = zs @ ys)$

lemma *postfixI* [*intro?*]: $xs = zs @ ys \implies xs >>= ys$

$\langle proof \rangle$

lemma *postfixE* [*elim?*]:

assumes $xs >>= ys$

obtains zs **where** $xs = zs @ ys$

$\langle proof \rangle$

lemma *postfix-refl* [*iff*]: $xs >>= xs$

$\langle proof \rangle$

lemma *postfix-trans*: $\llbracket xs >>= ys; ys >>= zs \rrbracket \implies xs >>= zs$

$\langle proof \rangle$

lemma *postfix-antisym*: $\llbracket xs >>= ys; ys >>= xs \rrbracket \implies xs = ys$

$\langle proof \rangle$

lemma *Nil-postfix* [*iff*]: $xs >>= []$

$\langle proof \rangle$

lemma *postfix-Nil* [*simp*]: $([] >>= xs) = (xs = [])$

$\langle proof \rangle$

lemma *postfix-ConsI*: $xs \gg= ys \implies x \# xs \gg= ys$

<proof>

lemma *postfix-ConsD*: $xs \gg= y \# ys \implies xs \gg= ys$

<proof>

lemma *postfix-appendI*: $xs \gg= ys \implies zs @ xs \gg= ys$

<proof>

lemma *postfix-appendD*: $xs \gg= zs @ ys \implies xs \gg= ys$

<proof>

lemma *postfix-is-subset*: $xs \gg= ys \implies \text{set } ys \subseteq \text{set } xs$

<proof>

lemma *postfix-ConsD2*: $x \# xs \gg= y \# ys \implies xs \gg= ys$

<proof>

lemma *postfix-to-prefix*: $xs \gg= ys \longleftrightarrow \text{rev } ys \leq \text{rev } xs$

<proof>

lemma *distinct-postfix*: $\text{distinct } xs \implies xs \gg= ys \implies \text{distinct } ys$

<proof>

lemma *postfix-map*: $xs \gg= ys \implies \text{map } f \, xs \gg= \text{map } f \, ys$

<proof>

lemma *postfix-drop*: $as \gg= \text{drop } n \, as$

<proof>

lemma *postfix-take*: $xs \gg= ys \implies xs = \text{take } (\text{length } xs - \text{length } ys) \, xs @ ys$

<proof>

lemma *parallelD1*: $x \parallel y \implies \neg x \leq y$

<proof>

lemma *parallelD2*: $x \parallel y \implies \neg y \leq x$

<proof>

lemma *parallel-Nil1* [simp]: $\neg x \parallel []$

<proof>

lemma *parallel-Nil2* [simp]: $\neg [] \parallel x$

<proof>

lemma *Cons-parallelI1*: $a \neq b \implies a \# as \parallel b \# bs$

<proof>

lemma *Cons-parallelI2*: $[a = b; as \parallel bs] \implies a \# as \parallel b \# bs$

<proof>

```

lemma not-equal-is-parallel:
  assumes neq:  $xs \neq ys$ 
  and len:  $length\ xs = length\ ys$ 
  shows  $xs \parallel ys$ 
   $\langle proof \rangle$ 

```

56.5 Executable code

```

lemma less-eq-code [code func]:
   $([] :: 'a::\{eq, ord\}\ list) \leq xs \longleftrightarrow True$ 
   $(x :: 'a::\{eq, ord\}) \# xs \leq [] \longleftrightarrow False$ 
   $(x :: 'a::\{eq, ord\}) \# xs \leq y \# ys \longleftrightarrow x = y \wedge xs \leq ys$ 
   $\langle proof \rangle$ 

```

```

lemma less-code [code func]:
   $xs < ([] :: 'a::\{eq, ord\}\ list) \longleftrightarrow False$ 
   $[] < (x :: 'a::\{eq, ord\}) \# xs \longleftrightarrow True$ 
   $(x :: 'a::\{eq, ord\}) \# xs < y \# ys \longleftrightarrow x = y \wedge xs < ys$ 
   $\langle proof \rangle$ 

```

```

lemmas [code func] = postfix-to-prefix

```

```

end

```

57 List-lexord: Lexicographic order on lists

```

theory List-lexord

```

```

imports List

```

```

begin

```

```

instantiation list :: (ord) ord

```

```

begin

```

```

definition

```

```

  list-less-def [code func del]:  $(xs :: ('a::ord)\ list) < ys \longleftrightarrow (xs, ys) \in lexord\ \{(u, v). u < v\}$ 

```

```

definition

```

```

  list-le-def [code func del]:  $(xs :: ('a::ord)\ list) \leq ys \longleftrightarrow (xs < ys \vee xs = ys)$ 

```

```

instance  $\langle proof \rangle$ 

```

```

end

```

```

instance list :: (order) order

```

```

   $\langle proof \rangle$ 

```

instance *list* :: (*linorder*) *linorder*
 ⟨*proof*⟩

instantiation *list* :: (*linorder*) *distrib-lattice*
begin

definition
 [code func del]: (*inf* :: 'a *list* ⇒ -) = *min*

definition
 [code func del]: (*sup* :: 'a *list* ⇒ -) = *max*

instance
 ⟨*proof*⟩

end

lemma *not-less-Nil* [*simp*]: $\neg (x < [])$
 ⟨*proof*⟩

lemma *Nil-less-Cons* [*simp*]: $[] < a \# x$
 ⟨*proof*⟩

lemma *Cons-less-Cons* [*simp*]: $a \# x < b \# y \longleftrightarrow a < b \vee a = b \wedge x < y$
 ⟨*proof*⟩

lemma *le-Nil* [*simp*]: $x \leq [] \longleftrightarrow x = []$
 ⟨*proof*⟩

lemma *Nil-le-Cons* [*simp*]: $[] \leq x$
 ⟨*proof*⟩

lemma *Cons-le-Cons* [*simp*]: $a \# x \leq b \# y \longleftrightarrow a < b \vee a = b \wedge x \leq y$
 ⟨*proof*⟩

lemma *less-code* [*code func*]:
 $xs < ([] :: 'a :: \{eq, order\} list) \longleftrightarrow False$
 $[] < (xs :: 'a :: \{eq, order\}) \# xs \longleftrightarrow True$
 $(x :: 'a :: \{eq, order\}) \# xs < y \# ys \longleftrightarrow x < y \vee x = y \wedge xs < ys$
 ⟨*proof*⟩

lemma *less-eq-code* [*code func*]:
 $x \# xs \leq ([] :: 'a :: \{eq, order\} list) \longleftrightarrow False$
 $[] \leq (xs :: 'a :: \{eq, order\} list) \longleftrightarrow True$
 $(x :: 'a :: \{eq, order\}) \# xs \leq y \# ys \longleftrightarrow x < y \vee x = y \wedge xs \leq ys$
 ⟨*proof*⟩

end

58 Sublist-Order: Sublist Ordering

```
theory Sublist-Order
imports Main
begin
```

This theory defines sublist ordering on lists. A list ys is a sublist of a list xs , iff one obtains ys by erasing some elements from xs .

58.1 Definitions and basic lemmas

```
instantiation list :: (type) order
begin
```

```
inductive less-eq-list where
  empty [simp, intro!]: [] ≤ xs
| drop: ys ≤ xs ⇒ ys ≤ x # xs
| take: ys ≤ xs ⇒ x # ys ≤ x # xs
```

```
lemmas ileq-empty = empty
lemmas ileq-drop = drop
lemmas ileq-take = take
```

```
lemma ileq-cases [cases set, case-names empty drop take]:
  assumes xs ≤ ys
  and xs = [] ⇒ P
  and  $\bigwedge z \text{ } zs. \text{ } ys = z \text{ } \# \text{ } zs \Rightarrow xs \leq zs \Rightarrow P$ 
  and  $\bigwedge x \text{ } zs \text{ } ws. \text{ } xs = x \text{ } \# \text{ } zs \Rightarrow ys = x \text{ } \# \text{ } ws \Rightarrow zs \leq ws \Rightarrow P$ 
  shows P
  <proof>
```

```
lemma ileq-induct [induct set, case-names empty drop take]:
  assumes xs ≤ ys
  and  $\bigwedge zs. \text{ } P \text{ } [] \text{ } zs$ 
  and  $\bigwedge z \text{ } zs \text{ } ws. \text{ } ws \leq zs \Rightarrow P \text{ } ws \text{ } zs \Rightarrow P \text{ } ws \text{ } (z \text{ } \# \text{ } zs)$ 
  and  $\bigwedge z \text{ } zs \text{ } ws. \text{ } ws \leq zs \Rightarrow P \text{ } ws \text{ } zs \Rightarrow P \text{ } (z \text{ } \# \text{ } ws) \text{ } (z \text{ } \# \text{ } zs)$ 
  shows P xs ys
  <proof>
```

```
definition
```

```
[code func del]: (xs :: 'a list) < ys ⇔ xs ≤ ys ∧ xs ≠ ys
```

```
lemma ileq-length: xs ≤ ys ⇒ length xs ≤ length ys
  <proof>
```

```
lemma ileq-below-empty [simp]: xs ≤ [] ⇔ xs = []
  <proof>
```

```
instance <proof>
```

```
end
```

lemmas *ileq-intros* = *ileq-empty ileq-drop ileq-take*

lemma *ileq-drop-many*: $xs \leq ys \implies xs \leq zs @ ys$
 ⟨proof⟩

lemma *ileq-take-many*: $xs \leq ys \implies zs @ xs \leq zs @ ys$
 ⟨proof⟩

lemma *ileq-same-length*: $xs \leq ys \implies \text{length } xs = \text{length } ys \implies xs = ys$
 ⟨proof⟩

lemma *ileq-same-append* [simp]: $x \# xs \leq xs \longleftrightarrow \text{False}$
 ⟨proof⟩

lemma *ilt-length* [intro]:
 assumes $xs < ys$
 shows $\text{length } xs < \text{length } ys$
 ⟨proof⟩

lemma *ilt-empty* [simp]: $[] < xs \longleftrightarrow xs \neq []$
 ⟨proof⟩

lemma *ilt-emptyI*: $xs \neq [] \implies [] < xs$
 ⟨proof⟩

lemma *ilt-emptyD*: $[] < xs \implies xs \neq []$
 ⟨proof⟩

lemma *ilt-below-empty*[simp]: $xs < [] \implies \text{False}$
 ⟨proof⟩

lemma *ilt-drop*: $xs < ys \implies xs < x \# ys$
 ⟨proof⟩

lemma *ilt-take*: $xs < ys \implies x \# xs < x \# ys$
 ⟨proof⟩

lemma *ilt-drop-many*: $xs < ys \implies xs < zs @ ys$
 ⟨proof⟩

lemma *ilt-take-many*: $xs < ys \implies zs @ xs < zs @ ys$
 ⟨proof⟩

58.2 Appending elements

lemma *ileq-rev-take*: $xs \leq ys \implies xs @ [x] \leq ys @ [x]$
 ⟨proof⟩

lemma *ilt-rev-take*: $xs < ys \implies xs @ [x] < ys @ [x]$
 ⟨proof⟩

lemma *ileq-rev-drop*: $xs \leq ys \implies xs \leq ys @ [x]$
 ⟨proof⟩

lemma *ileq-rev-drop-many*: $xs \leq ys \implies xs \leq ys @ zs$
 ⟨proof⟩

58.3 Relation to standard list operations

lemma *ileq-map*: $xs \leq ys \implies \text{map } f \, xs \leq \text{map } f \, ys$
 ⟨proof⟩

lemma *ileq-filter-left[simp]*: $\text{filter } f \text{ } xs \leq xs$
 $\langle \text{proof} \rangle$
lemma *ileq-filter*: $xs \leq ys \implies \text{filter } f \text{ } xs \leq \text{filter } f \text{ } ys$
 $\langle \text{proof} \rangle$
end

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