

# Isabelle/HOLCF — Higher-Order Logic of Computable Functions

June 8, 2008

## Contents

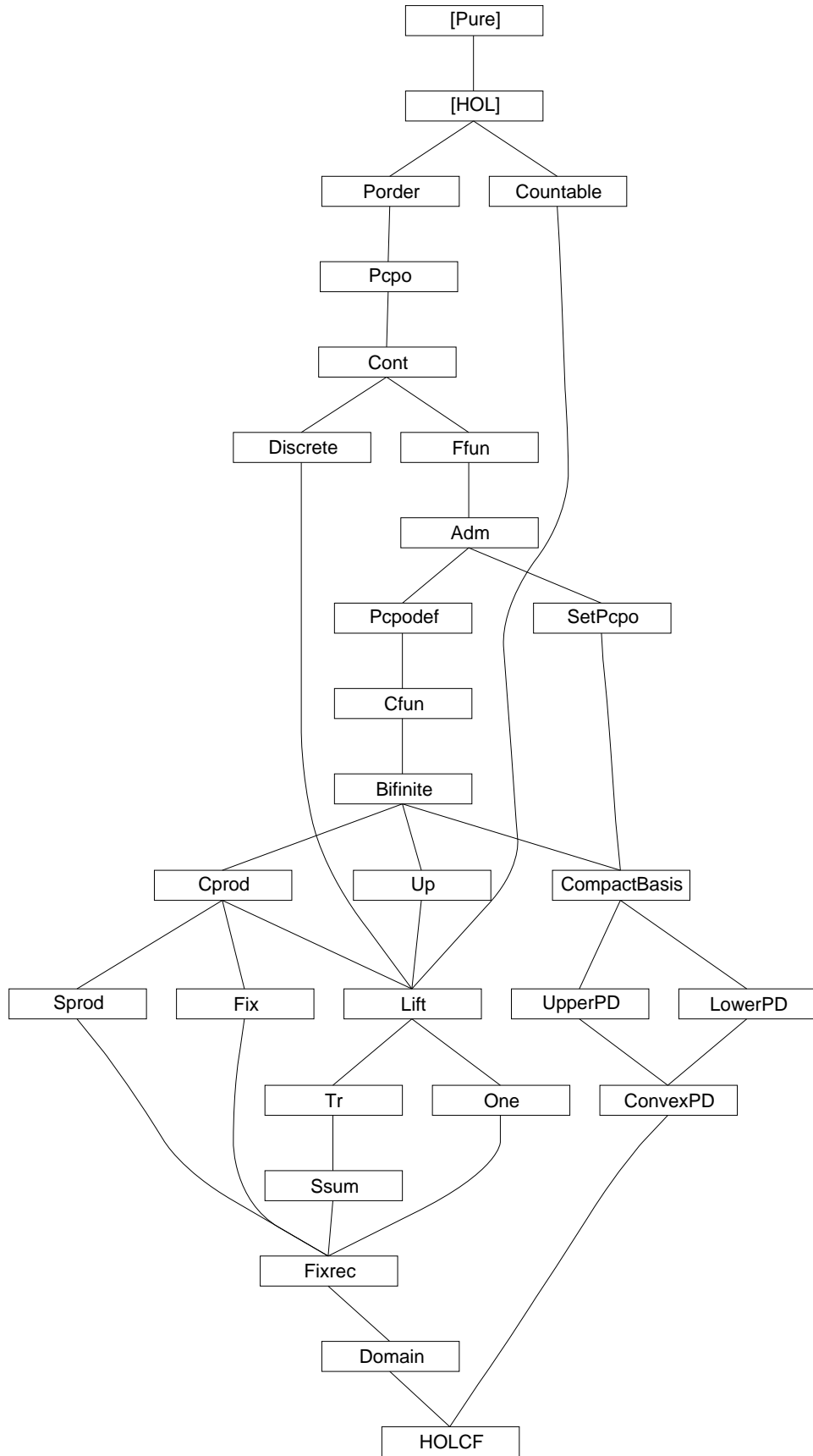
<b>1</b>	<b>Porder: Partial orders</b>	<b>7</b>
1.1	Type class for partial orders . . . . .	7
1.2	Upper bounds . . . . .	8
1.3	Least upper bounds . . . . .	8
1.4	Countable chains . . . . .	10
1.5	Totally ordered sets . . . . .	11
1.6	Finite chains . . . . .	12
1.7	Directed sets . . . . .	13
<b>2</b>	<b>Pcpo: Classes cpo and pcpo</b>	<b>15</b>
2.1	Complete partial orders . . . . .	15
2.2	Pointed cpos . . . . .	18
2.3	Chain-finite and flat cpos . . . . .	20
<b>3</b>	<b>Cont: Continuity and monotonicity</b>	<b>22</b>
3.1	Definitions . . . . .	23
3.2	$\text{monofun } f \wedge \text{contlub } f \equiv \text{cont } f$ . . . . .	23
3.3	Continuity of basic functions . . . . .	25
3.4	Finite chains and flat pcpo . . . . .	26
<b>4</b>	<b>Ffun: Class instances for the full function space</b>	<b>27</b>
4.1	Full function space is a partial order . . . . .	27
4.2	Full function space is chain complete . . . . .	28
4.3	Full function space is pointed . . . . .	30
4.4	Propagation of monotonicity and continuity . . . . .	30
<b>5</b>	<b>Adm: Admissibility and compactness</b>	<b>33</b>
5.1	Definitions . . . . .	33
5.2	Admissibility on chain-finite types . . . . .	33
5.3	Admissibility of special formulae and propagation . . . . .	34
5.4	Compactness . . . . .	36

<b>6</b>	<b>Pcposdef: Subtypes of pcpos</b>	<b>37</b>
6.1	Proving a subtype is a partial order . . . . .	37
6.2	Proving a subtype is finite . . . . .	37
6.3	Proving a subtype is chain-finite . . . . .	38
6.4	Proving a subtype is complete . . . . .	39
6.4.1	Continuity of <i>Rep</i> and <i>Abs</i> . . . . .	40
6.5	Proving subtype elements are compact . . . . .	40
6.6	Proving a subtype is pointed . . . . .	41
6.6.1	Strictness of <i>Rep</i> and <i>Abs</i> . . . . .	41
6.7	Proving a subtype is flat . . . . .	43
6.8	HOLCF type definition package . . . . .	43
<b>7</b>	<b>Cfun: The type of continuous functions</b>	<b>43</b>
7.1	Definition of continuous function type . . . . .	43
7.2	Syntax for continuous lambda abstraction . . . . .	44
7.3	Continuous function space is pointed . . . . .	45
7.4	Basic properties of continuous functions . . . . .	45
7.5	Continuity of application . . . . .	46
7.6	Continuity simplification procedure . . . . .	49
7.7	Miscellaneous . . . . .	49
7.8	Continuous injection-retraction pairs . . . . .	50
7.9	Identity and composition . . . . .	51
7.10	Strictified functions . . . . .	52
7.11	Continuous let-bindings . . . . .	53
<b>8</b>	<b>Bifinite: Bifinite domains and approximation</b>	<b>53</b>
8.1	Omega-profinite and bifinite domains . . . . .	54
8.2	Instance for continuous function space . . . . .	56
<b>9</b>	<b>Cprod: The cpo of cartesian products</b>	<b>57</b>
9.1	Type <i>unit</i> is a cpo . . . . .	58
9.2	Product type is a partial order . . . . .	58
9.3	Monotonicity of $(-, -)$ , <i>fst</i> , <i>snd</i> . . . . .	59
9.4	Product type is a cpo . . . . .	59
9.5	Product type is pointed . . . . .	60
9.6	Continuity of $(-, -)$ , <i>fst</i> , <i>snd</i> . . . . .	61
9.7	Continuous versions of constants . . . . .	61
9.8	Convert all lemmas to the continuous versions . . . . .	62
9.9	Product type is a bifinite domain . . . . .	64
<b>10</b>	<b>Sprod: The type of strict products</b>	<b>65</b>
10.1	Definition of strict product type . . . . .	65
10.2	Definitions of constants . . . . .	66
10.3	Case analysis . . . . .	66

10.4	Properties of <i>spair</i> . . . . .	67
10.5	Properties of <i>sfst</i> and <i>ssnd</i> . . . . .	68
10.6	Compactness . . . . .	69
10.7	Properties of <i>ssplit</i> . . . . .	69
10.8	Strict product preserves flatness . . . . .	69
10.9	Strict product is a bifinite domain . . . . .	70
<b>11</b>	<b>Discrete: Discrete cpo types</b>	<b>70</b>
11.1	Type <i>'a discr</i> is a discrete cpo . . . . .	71
11.2	Type <i>'a discr</i> is a cpo . . . . .	71
11.3	<i>undiscr</i> . . . . .	72
<b>12</b>	<b>Up: The type of lifted values</b>	<b>72</b>
12.1	Definition of new type for lifting . . . . .	72
12.2	Ordering on lifted cpo . . . . .	72
12.3	Lifted cpo is a partial order . . . . .	73
12.4	Lifted cpo is a cpo . . . . .	73
12.5	Lifted cpo is pointed . . . . .	75
12.6	Continuity of <i>Iup</i> and <i>Ifup</i> . . . . .	76
12.7	Continuous versions of constants . . . . .	76
12.8	Lifted cpo is a bifinite domain . . . . .	78
<b>13</b>	<b>Countable: Encoding (almost) everything into natural numbers</b>	<b>79</b>
13.1	The class of countable types . . . . .	79
13.2	Conversion functions . . . . .	79
13.3	Countable types . . . . .	80
<b>14</b>	<b>Lift: Lifting types of class type to flat pcpo's</b>	<b>82</b>
14.1	Lift as a datatype . . . . .	83
14.2	Lift is flat . . . . .	84
14.3	Further operations . . . . .	84
14.4	Continuity Proofs for <i>flift1</i> , <i>flift2</i> . . . . .	85
14.5	Lifted countable types are bifinite . . . . .	86
<b>15</b>	<b>Tr: The type of lifted booleans</b>	<b>87</b>
15.1	Rewriting of HOLCF operations to HOL functions . . . . .	90
15.2	Compactness . . . . .	91
<b>16</b>	<b>Ssum: The type of strict sums</b>	<b>91</b>
16.1	Definition of strict sum type . . . . .	91
16.2	Definitions of constructors . . . . .	91
16.3	Properties of <i>sinl</i> and <i>sinr</i> . . . . .	92
16.4	Case analysis . . . . .	94
16.5	Case analysis combinator . . . . .	94

16.6	Strict sum preserves flatness . . . . .	95
16.7	Strict sum is a bifinite domain . . . . .	95
<b>17</b>	<b>One: The unit domain</b>	<b>96</b>
<b>18</b>	<b>Fix: Fixed point operator and admissibility</b>	<b>97</b>
18.1	Iteration . . . . .	97
18.2	Least fixed point operator . . . . .	98
18.3	Fixed point induction . . . . .	100
18.4	Recursive let bindings . . . . .	100
18.5	Weak admissibility . . . . .	101
<b>19</b>	<b>Fixrec: Package for defining recursive functions in HOLCF</b>	<b>102</b>
19.1	Maybe monad type . . . . .	102
19.1.1	Monadic bind operator . . . . .	103
19.1.2	Run operator . . . . .	103
19.1.3	Monad plus operator . . . . .	104
19.1.4	Fatbar combinator . . . . .	104
19.2	Case branch combinator . . . . .	105
19.3	Case syntax . . . . .	105
19.4	Pattern combinators for data constructors . . . . .	107
19.5	Wildcards, as-patterns, and lazy patterns . . . . .	110
19.6	Match functions for built-in types . . . . .	111
19.7	Mutual recursion . . . . .	113
19.8	Initializing the fixrec package . . . . .	113
<b>20</b>	<b>Domain: Domain package</b>	<b>113</b>
20.1	Continuous isomorphisms . . . . .	113
20.2	Casedist . . . . .	115
<b>21</b>	<b>SetPcpo: Set as a pointed cpo</b>	<b>117</b>
21.1	Admissibility of set predicates . . . . .	118
21.2	Compactness . . . . .	118
<b>22</b>	<b>CompactBasis: Compact bases of domains</b>	<b>119</b>
22.1	Ideals over a preorder . . . . .	119
22.2	Defining functions in terms of basis elements . . . . .	120
22.3	Compact bases of bifinite domains . . . . .	126
22.4	A compact basis for powerdomains . . . . .	130
<b>23</b>	<b>UpperPD: Upper powerdomain</b>	<b>133</b>
23.1	Basis preorder . . . . .	133
23.2	Type definition . . . . .	135
23.3	Approximation . . . . .	136
23.4	Monadic unit and plus . . . . .	137

23.5	Induction rules . . . . .	140
23.6	Monadic bind . . . . .	141
23.7	Map and join . . . . .	142
<b>24</b>	<b>LowerPD: Lower powerdomain</b>	<b>143</b>
24.1	Basis preorder . . . . .	143
24.2	Type definition . . . . .	145
24.3	Approximation . . . . .	146
24.4	Monadic unit and plus . . . . .	147
24.5	Induction rules . . . . .	151
24.6	Monadic bind . . . . .	151
24.7	Map and join . . . . .	152
<b>25</b>	<b>ConvexPD: Convex powerdomain</b>	<b>154</b>
25.1	Basis preorder . . . . .	154
25.2	Type definition . . . . .	156
25.3	Approximation . . . . .	158
25.4	Monadic unit and plus . . . . .	159
25.5	Induction rules . . . . .	162
25.6	Monadic bind . . . . .	162
25.7	Map and join . . . . .	163
25.8	Conversions to other powerdomains . . . . .	164



## 1 Porder: Partial orders

```
theory Porder
imports Datatype Finite-Set
begin
```

### 1.1 Type class for partial orders

```
class sq-ord = type +
  fixes sq-le :: 'a ⇒ 'a ⇒ bool
```

```
notation
  sq-le (infixl << 55)
```

```
notation (xsymbols)
  sq-le (infixl ⊆ 55)
```

```
class preorder = sq-ord +
  assumes refl-less [iff]:  $x \sqsubseteq x$ 
  assumes trans-less:  $\llbracket x \sqsubseteq y; y \sqsubseteq z \rrbracket \Longrightarrow x \sqsubseteq z$ 
```

```
class po = preorder +
  assumes antisym-less:  $\llbracket x \sqsubseteq y; y \sqsubseteq x \rrbracket \Longrightarrow x = y$ 
```

minimal fixes least element

```
lemma minimal2UU[OF allI] :  $\forall x::'a::po. uu \sqsubseteq x \Longrightarrow uu = (THE u. \forall y. u \sqsubseteq y)$ 
by (blast intro: theI2 antisym-less)
```

the reverse law of anti-symmetry of  $op \sqsubseteq$

```
lemma antisym-less-inverse:  $(x::'a::po) = y \Longrightarrow x \sqsubseteq y \wedge y \sqsubseteq x$ 
by simp
```

```
lemma box-less:  $\llbracket (a::'a::po) \sqsubseteq b; c \sqsubseteq a; b \sqsubseteq d \rrbracket \Longrightarrow c \sqsubseteq d$ 
by (rule trans-less [OF trans-less])
```

```
lemma po-eq-conv:  $((x::'a::po) = y) = (x \sqsubseteq y \wedge y \sqsubseteq x)$ 
by (fast elim!: antisym-less-inverse intro!: antisym-less)
```

```
lemma rev-trans-less:  $\llbracket (y::'a::po) \sqsubseteq z; x \sqsubseteq y \rrbracket \Longrightarrow x \sqsubseteq z$ 
by (rule trans-less)
```

```
lemma sq-ord-less-eq-trans:  $\llbracket a \sqsubseteq b; b = c \rrbracket \Longrightarrow a \sqsubseteq c$ 
by (rule subst)
```

```
lemma sq-ord-eq-less-trans:  $\llbracket a = b; b \sqsubseteq c \rrbracket \Longrightarrow a \sqsubseteq c$ 
by (rule ssubst)
```

```
lemmas HOLCF-trans-rules [trans] =
```

*trans-less*  
*antisym-less*  
*sq-ord-less-eq-trans*  
*sq-ord-eq-less-trans*

## 1.2 Upper bounds

### definition

*is-ub* :: [*a set*, *'a::po*]  $\Rightarrow$  *bool* (**infixl** <| 55) **where**  
 $(S <| x) = (\forall y. y \in S \longrightarrow y \sqsubseteq x)$

**lemma** *is-ubI*:  $(\bigwedge x. x \in S \Longrightarrow x \sqsubseteq u) \Longrightarrow S <| u$   
**by** (*simp add: is-ub-def*)

**lemma** *is-ubD*:  $\llbracket S <| u; x \in S \rrbracket \Longrightarrow x \sqsubseteq u$   
**by** (*simp add: is-ub-def*)

**lemma** *ub-imageI*:  $(\bigwedge x. x \in S \Longrightarrow f x \sqsubseteq u) \Longrightarrow (\lambda x. f x) ' S <| u$   
**unfolding** *is-ub-def* **by** *fast*

**lemma** *ub-imageD*:  $\llbracket f ' S <| u; x \in S \rrbracket \Longrightarrow f x \sqsubseteq u$   
**unfolding** *is-ub-def* **by** *fast*

**lemma** *ub-rangeI*:  $(\bigwedge i. S i \sqsubseteq x) \Longrightarrow \text{range } S <| x$   
**unfolding** *is-ub-def* **by** *fast*

**lemma** *ub-rangeD*:  $\text{range } S <| x \Longrightarrow S i \sqsubseteq x$   
**unfolding** *is-ub-def* **by** *fast*

**lemma** *is-ub-empty* [*simp*]:  $\{\} <| u$   
**unfolding** *is-ub-def* **by** *fast*

**lemma** *is-ub-insert* [*simp*]:  $(\text{insert } x A) <| y = (x \sqsubseteq y \wedge A <| y)$   
**unfolding** *is-ub-def* **by** *fast*

**lemma** *is-ub-upward*:  $\llbracket S <| x; x \sqsubseteq y \rrbracket \Longrightarrow S <| y$   
**unfolding** *is-ub-def* **by** (*fast intro: trans-less*)

## 1.3 Least upper bounds

### definition

*is-lub* :: [*a set*, *'a::po*]  $\Rightarrow$  *bool* (**infixl** <<| 55) **where**  
 $(S <<| x) = (S <| x \wedge (\forall u. S <| u \longrightarrow x \sqsubseteq u))$

### definition

*lub* :: *'a set*  $\Rightarrow$  *'a::po* **where**  
 $\text{lub } S = (\text{THE } x. S <<| x)$

### syntax

*-BLub* :: [*pttrn*, *'a set*, *'b*]  $\Rightarrow$  *'b* ( $((\exists \text{LUB } \text{--} \text{--} / \text{--}) [0, 0, 10] 10)$ )



**syntax** (*xsymbols*)

*-BLub* :: [*pttrn*, 'a set, 'b]  $\Rightarrow$  'b (( $\exists \sqcup$  - $\in$  - / -) [*0*, *0*, *10*] *10*)

**translations**

*LUB* *x*:*A*. *t* == *CONST lub* (( $\%x$ . *t*) ' *A*)

**abbreviation**

*Lub* (**binder** *LUB* *10*) **where**

*LUB* *n*. *t n* == *lub* (*range t*)

**notation** (*xsymbols*)

*Lub* (**binder**  $\sqcup$  *10*)

access to some definition as inference rule

**lemma** *is-lubD1*:  $S <<| x \Longrightarrow S <| x$

**unfolding** *is-lub-def* **by** *fast*

**lemma** *is-lub-lub*:  $\llbracket S <<| x; S <| u \rrbracket \Longrightarrow x \sqsubseteq u$

**unfolding** *is-lub-def* **by** *fast*

**lemma** *is-lubI*:  $\llbracket S <| x; \bigwedge u. S <| u \Longrightarrow x \sqsubseteq u \rrbracket \Longrightarrow S <<| x$

**unfolding** *is-lub-def* **by** *fast*

lubs are unique

**lemma** *unique-lub*:  $\llbracket S <<| x; S <<| y \rrbracket \Longrightarrow x = y$

**apply** (*unfold is-lub-def is-ub-def*)

**apply** (*blast intro: antisym-less*)

**done**

technical lemmas about *lub* and *op <<|*

**lemma** *lubI*:  $M <<| x \Longrightarrow M <<| \text{lub } M$

**apply** (*unfold lub-def*)

**apply** (*rule theI*)

**apply** *assumption*

**apply** (*erule (1) unique-lub*)

**done**

**lemma** *thelubI*:  $M <<| l \Longrightarrow \text{lub } M = l$

**by** (*rule unique-lub [OF lubI]*)

**lemma** *is-lub-singleton*:  $\{x\} <<| x$

**by** (*simp add: is-lub-def*)

**lemma** *lub-singleton* [*simp*]:  $\text{lub } \{x\} = x$

**by** (*rule thelubI [OF is-lub-singleton]*)

**lemma** *is-lub-bin*:  $x \sqsubseteq y \Longrightarrow \{x, y\} <<| y$

**by** (*simp add: is-lub-def*)

**lemma** *lub-bin*:  $x \sqsubseteq y \implies \text{lub } \{x, y\} = y$   
**by** (*rule is-lub-bin* [*THEN thelubI*])

**lemma** *is-lub-maximal*:  $\llbracket S <| x; x \in S \rrbracket \implies S <<| x$   
**by** (*erule is-lubI*, *erule* (1) *is-ubD*)

**lemma** *lub-maximal*:  $\llbracket S <| x; x \in S \rrbracket \implies \text{lub } S = x$   
**by** (*rule is-lub-maximal* [*THEN thelubI*])

## 1.4 Countable chains

### definition

— Here we use countable chains and I prefer to code them as functions!

*chain* :: (*nat*  $\Rightarrow$  '*a::po*)  $\Rightarrow$  *bool* **where**  
*chain* *Y* = ( $\forall i. Y\ i \sqsubseteq Y\ (\text{Suc } i)$ )

**lemma** *chainI*:  $(\bigwedge i. Y\ i \sqsubseteq Y\ (\text{Suc } i)) \implies \text{chain } Y$   
**unfolding** *chain-def* **by** *fast*

**lemma** *chainE*:  $\text{chain } Y \implies Y\ i \sqsubseteq Y\ (\text{Suc } i)$   
**unfolding** *chain-def* **by** *fast*

chains are monotone functions

**lemma** *chain-mono*:  
 assumes *Y*: *chain* *Y*  
 shows  $i \leq j \implies Y\ i \sqsubseteq Y\ j$   
**apply** (*induct* *j*)  
**apply** *simp*  
**apply** (*erule le-SucE*)  
**apply** (*rule trans-less* [*OF* - *chainE* [*OF* *Y*]])  
**apply** *simp*  
**apply** *simp*  
**done**

**lemma** *chain-mono-less*:  $\llbracket \text{chain } Y; i < j \rrbracket \implies Y\ i \sqsubseteq Y\ j$   
**by** (*erule chain-mono*, *simp*)

**lemma** *chain-shift*:  $\text{chain } Y \implies \text{chain } (\lambda i. Y\ (i + j))$   
**apply** (*rule chainI*)  
**apply** *simp*  
**apply** (*erule chainE*)  
**done**

technical lemmas about (least) upper bounds of chains

**lemma** *is-ub-lub*:  $\text{range } S <<| x \implies S\ i \sqsubseteq x$   
**by** (*rule is-lubD1* [*THEN ub-rangeD*])

**lemma** *is-ub-range-shift*:

```

  chain S  $\implies$  range ( $\lambda i. S (i + j)$ )  $<|$  x = range S  $<|$  x
apply (rule iffI)
apply (rule ub-rangeI)
apply (rule-tac y=S (i + j) in trans-less)
apply (erule chain-mono)
apply (rule le-add1)
apply (erule ub-rangeD)
apply (rule ub-rangeI)
apply (erule ub-rangeD)
done

```

**lemma** *is-lub-range-shift*:

```

  chain S  $\implies$  range ( $\lambda i. S (i + j)$ )  $<<|$  x = range S  $<<|$  x
by (simp add: is-lub-def is-ub-range-shift)

```

the lub of a constant chain is the constant

```

lemma chain-const [simp]: chain ( $\lambda i. c$ )
by (simp add: chainI)

```

```

lemma lub-const: range ( $\lambda x. c$ )  $<<|$  c
by (blast dest: ub-rangeD intro: is-lubI ub-rangeI)

```

```

lemma thelub-const [simp]: ( $\bigsqcup i. c$ ) = c
by (rule lub-const [THEN thelubI])

```

## 1.5 Totally ordered sets

**definition**

— Arbitrary chains are total orders

*tord* :: 'a::po set  $\Rightarrow$  bool **where**

*tord* S = ( $\forall x y. x \in S \wedge y \in S \longrightarrow (x \sqsubseteq y \vee y \sqsubseteq x)$ )

The range of a chain is a totally ordered

```

lemma chain-tord: chain Y  $\implies$  tord (range Y)
unfolding tord-def
apply (clarify, rename-tac i j)
apply (rule-tac x=i and y=j in linorder-le-cases)
apply (fast intro: chain-mono)+
done

```

**lemma** *finite-tord-has-max*:

```

   $\llbracket \text{finite } S; S \neq \{\}; \text{tord } S \rrbracket \implies \exists y \in S. \forall x \in S. x \sqsubseteq y$ 
apply (induct S rule: finite-ne-induct)
apply simp
apply (drule meta-mp, simp add: tord-def)
apply (erule bexE, rename-tac z)
apply (subgoal-tac x  $\sqsubseteq$  z  $\vee$  z  $\sqsubseteq$  x)
apply (erule disjE)
apply (rule-tac x=z in bexI, simp, simp)

```

```

apply (rule-tac  $x=x$  in beXI)
apply (clarsimp elim!: rev-trans-less)
apply simp
apply (simp add: tord-def)
done

```

## 1.6 Finite chains

### definition

— finite chains, needed for monotony of continuous functions

```

max-in-chain :: [nat, nat  $\Rightarrow$  'a::po]  $\Rightarrow$  bool where
max-in-chain i C = ( $\forall j. i \leq j \longrightarrow C\ i = C\ j$ )

```

### definition

```

finite-chain :: (nat  $\Rightarrow$  'a::po)  $\Rightarrow$  bool where
finite-chain C = (chain C  $\wedge$  ( $\exists i. \text{max-in-chain } i\ C$ ))

```

results about finite chains

**lemma** *max-in-chainI*: ( $\bigwedge j. i \leq j \Longrightarrow Y\ i = Y\ j$ )  $\Longrightarrow$  *max-in-chain* *i Y*  
**unfolding** *max-in-chain-def* **by** *fast*

**lemma** *max-in-chainD*:  $\llbracket \text{max-in-chain } i\ Y; i \leq j \rrbracket \Longrightarrow Y\ i = Y\ j$   
**unfolding** *max-in-chain-def* **by** *fast*

**lemma** *lub-finch1*:  $\llbracket \text{chain } C; \text{max-in-chain } i\ C \rrbracket \Longrightarrow \text{range } C <<| C\ i$   
**apply** (rule is-lubI)  
**apply** (rule ub-rangeI, rename-tac *j*)  
**apply** (rule-tac  $x=i$  **and**  $y=j$  **in** *linorder-le-cases*)  
**apply** (drule (1) *max-in-chainD*, simp)  
**apply** (erule (1) *chain-mono*)  
**apply** (erule ub-rangeD)  
**done**

**lemma** *lub-finch2*:

```

    finite-chain C  $\Longrightarrow$   $\text{range } C <<| C\ (\text{LEAST } i. \text{max-in-chain } i\ C)$ 
apply (unfold finite-chain-def)
apply (erule conjE)
apply (erule LeastI2-ex)
apply (erule (1) lub-finch1)
done

```

**lemma** *finch-imp-finite-range*: *finite-chain* *Y*  $\Longrightarrow$  *finite* (*range* *Y*)  
**apply** (unfold *finite-chain-def*, clarify)  
**apply** (rule-tac  $f=Y$  **and**  $n=\text{Suc } i$  **in** *nat-seg-image-imp-finite*)  
**apply** (rule equalityI)  
**apply** (rule subsetI)  
**apply** (erule rangeE, rename-tac *j*)  
**apply** (rule-tac  $x=i$  **and**  $y=j$  **in** *linorder-le-cases*)  
**apply** (subgoal-tac  $Y\ j = Y\ i$ , simp)

```

  apply (simp add: max-in-chain-def)
  apply simp
  apply fast
done

```

```

lemma finite-range-imp-finch:
   $\llbracket \text{chain } Y; \text{finite } (\text{range } Y) \rrbracket \implies \text{finite-chain } Y$ 
  apply (subgoal-tac  $\exists y \in \text{range } Y. \forall x \in \text{range } Y. x \sqsubseteq y$ )
  apply (clarsimp, rename-tac i)
  apply (subgoal-tac max-in-chain i Y)
  apply (simp add: finite-chain-def exI)
  apply (simp add: max-in-chain-def po-eq-conv chain-mono)
  apply (erule finite-tord-has-max, simp)
  apply (erule chain-tord)
done

```

```

lemma bin-chain:  $x \sqsubseteq y \implies \text{chain } (\lambda i. \text{if } i=0 \text{ then } x \text{ else } y)$ 
by (rule chainI, simp)

```

```

lemma bin-chainmax:
   $x \sqsubseteq y \implies \text{max-in-chain } (\text{Suc } 0) (\lambda i. \text{if } i=0 \text{ then } x \text{ else } y)$ 
by (unfold max-in-chain-def, simp)

```

```

lemma lub-bin-chain:
   $x \sqsubseteq y \implies \text{range } (\lambda i::\text{nat}. \text{if } i=0 \text{ then } x \text{ else } y) <<| y$ 
  apply (frule bin-chain)
  apply (drule bin-chainmax)
  apply (drule (1) lub-finch1)
  apply simp
done

```

the maximal element in a chain is its lub

```

lemma lub-chain-maxelem:  $\llbracket Y \text{ i} = c; \forall i. Y \text{ i} \sqsubseteq c \rrbracket \implies \text{lub } (\text{range } Y) = c$ 
by (blast dest: ub-rangeD intro: thelubI is-lubI ub-rangeI)

```

## 1.7 Directed sets

### definition

```

directed :: 'a::po set  $\Rightarrow$  bool where
directed S = (( $\exists x. x \in S$ )  $\wedge$  ( $\forall x \in S. \forall y \in S. \exists z \in S. x \sqsubseteq z \wedge y \sqsubseteq z$ ))

```

### lemma directedI:

```

  assumes 1:  $\exists z. z \in S$ 
  assumes 2:  $\bigwedge x y. \llbracket x \in S; y \in S \rrbracket \implies \exists z \in S. x \sqsubseteq z \wedge y \sqsubseteq z$ 
  shows directed S
  unfolding directed-def using prems by fast

```

### lemma directedD1: directed S $\implies \exists z. z \in S$

```

  unfolding directed-def by fast

```

**lemma** *directedD2*:  $\llbracket \text{directed } S; x \in S; y \in S \rrbracket \implies \exists z \in S. x \sqsubseteq z \wedge y \sqsubseteq z$   
**unfolding** *directed-def* **by** *fast*

**lemma** *directedE1*:  
**assumes**  $S$ : *directed*  $S$   
**obtains**  $z$  **where**  $z \in S$   
**by** (*insert directedD1* [*OF*  $S$ ], *fast*)

**lemma** *directedE2*:  
**assumes**  $S$ : *directed*  $S$   
**assumes**  $x$ :  $x \in S$  **and**  $y$ :  $y \in S$   
**obtains**  $z$  **where**  $z \in S$   $x \sqsubseteq z$   $y \sqsubseteq z$   
**by** (*insert directedD2* [*OF*  $S$   $x$   $y$ ], *fast*)

**lemma** *directed-finiteI*:  
**assumes**  $U$ :  $\bigwedge U. \llbracket \text{finite } U; U \subseteq S \rrbracket \implies \exists z \in S. U <| z$   
**shows** *directed*  $S$   
**proof** (*rule directedI*)  
**have** *finite*  $\{\}$  **and**  $\{\} \subseteq S$  **by** *simp-all*  
**hence**  $\exists z \in S. \{\} <| z$  **by** (*rule*  $U$ )  
**thus**  $\exists z. z \in S$  **by** *simp*  
**next**  
**fix**  $x$   $y$   
**assume**  $x \in S$  **and**  $y \in S$   
**hence** *finite*  $\{x, y\}$  **and**  $\{x, y\} \subseteq S$  **by** *simp-all*  
**hence**  $\exists z \in S. \{x, y\} <| z$  **by** (*rule*  $U$ )  
**thus**  $\exists z \in S. x \sqsubseteq z \wedge y \sqsubseteq z$  **by** *simp*  
**qed**

**lemma** *directed-finiteD*:  
**assumes**  $S$ : *directed*  $S$   
**shows**  $\llbracket \text{finite } U; U \subseteq S \rrbracket \implies \exists z \in S. U <| z$   
**proof** (*induct*  $U$  *set: finite*)  
**case** *empty*  
**from**  $S$  **have**  $\exists z. z \in S$  **by** (*rule directedD1*)  
**thus**  $\exists z \in S. \{\} <| z$  **by** *simp*  
**next**  
**case** (*insert*  $x$   $F$ )  
**from** (*insert*  $x$   $F \subseteq S$ )  
**have**  $xS$ :  $x \in S$  **and**  $FS$ :  $F \subseteq S$  **by** *simp-all*  
**from**  $FS$  **have**  $\exists y \in S. F <| y$  **by** *fact*  
**then** **obtain**  $y$  **where**  $yS$ :  $y \in S$  **and**  $Fy$ :  $F <| y$  **..**  
**obtain**  $z$  **where**  $zS$ :  $z \in S$  **and**  $xz$ :  $x \sqsubseteq z$  **and**  $yz$ :  $y \sqsubseteq z$   
**using**  $S$   $xS$   $yS$  **by** (*rule directedE2*)  
**from**  $Fy$   $yz$  **have**  $F <| z$  **by** (*rule is-ub-upward*)  
**with**  $xz$  **have** *insert*  $x$   $F <| z$  **by** *simp*  
**with**  $zS$  **show**  $\exists z \in S. \text{insert } x \text{ } F <| z$  **..**  
**qed**

**lemma** *not-directed-empty* [simp]:  $\neg \text{directed } \{\}$   
**by** (rule *notI*, drule *directedD1*, simp)

**lemma** *directed-singleton*:  $\text{directed } \{x\}$   
**by** (rule *directedI*, auto)

**lemma** *directed-bin*:  $x \sqsubseteq y \implies \text{directed } \{x, y\}$   
**by** (rule *directedI*, auto)

**lemma** *directed-chain*:  $\text{chain } S \implies \text{directed } (\text{range } S)$   
**apply** (rule *directedI*)  
**apply** (rule-tac  $x=S\ 0$  **in** *exI*, simp)  
**apply** (clarify, rename-tac  $m\ n$ )  
**apply** (rule-tac  $x=S\ (\max\ m\ n)$  **in** *beI*)  
**apply** (simp add: *chain-mono*)  
**apply** simp  
**done**  
**end**

## 2 Pcpo: Classes cpo and pcpo

**theory** *Pcpo*  
**imports** *Porder*  
**begin**

### 2.1 Complete partial orders

The class cpo of chain complete partial orders

**axclass** *cpo* < *po*  
 — class axiom:  
*cpo*:  $\text{chain } S \implies \exists x. \text{range } S <<| x$

in cpo’s everthing equal to THE lub has lub properties for every chain

**lemma** *cpo-lubI*:  $\text{chain } (S::\text{nat} \Rightarrow 'a::\text{cpo}) \implies \text{range } S <<| \text{lub } (\text{range } S)$   
**by** (fast dest: *cpo elim*: *lubI*)

**lemma** *thelubE*:  $\llbracket \text{chain } S; (\bigsqcup i. S\ i) = (l::'a::\text{cpo}) \rrbracket \implies \text{range } S <<| l$   
**by** (blast dest: *cpo intro*: *lubI*)

Properties of the lub

**lemma** *is-ub-thelub*:  $\text{chain } (S::\text{nat} \Rightarrow 'a::\text{cpo}) \implies S\ x \sqsubseteq (\bigsqcup i. S\ i)$   
**by** (blast dest: *cpo intro*: *lubI* [THEN *is-ub-lub*])

**lemma** *is-lub-thelub*:  
 $\llbracket \text{chain } (S::\text{nat} \Rightarrow 'a::\text{cpo}); \text{range } S <<| x \rrbracket \implies (\bigsqcup i. S\ i) \sqsubseteq x$

by (blast dest: cpo intro: lubI [THEN is-lub-lub])

**lemma** *lub-range-mono*:

[[range  $X \subseteq \text{range } Y$ ; chain  $Y$ ; chain  $(X::\text{nat} \Rightarrow 'a::\text{cpo})$ ]]  
 $\implies (\bigsqcup i. X\ i) \sqsubseteq (\bigsqcup i. Y\ i)$   
 apply (erule is-lub-the lub)  
 apply (rule ub-rangeI)  
 apply (subgoal-tac  $\exists j. X\ i = Y\ j$ )  
 apply clarsimp  
 apply (erule is-ub-the lub)  
 apply auto  
 done

**lemma** *lub-range-shift*:

chain  $(Y::\text{nat} \Rightarrow 'a::\text{cpo}) \implies (\bigsqcup i. Y\ (i + j)) = (\bigsqcup i. Y\ i)$   
 apply (rule antisym-less)  
 apply (rule lub-range-mono)  
 apply fast  
 apply assumption  
 apply (erule chain-shift)  
 apply (rule is-lub-the lub)  
 apply assumption  
 apply (rule ub-rangeI)  
 apply (rule-tac  $y = Y\ (i + j)$  in trans-less)  
 apply (erule chain-mono)  
 apply (rule le-add1)  
 apply (rule is-ub-the lub)  
 apply (erule chain-shift)  
 done

**lemma** *maxinch-is-the lub*:

chain  $Y \implies \text{max-in-chain } i\ Y = ((\bigsqcup i. Y\ i) = ((Y\ i)::'a::\text{cpo}))$   
 apply (rule iffI)  
 apply (fast intro!: the lubI lub-finch1)  
 apply (unfold max-in-chain-def)  
 apply (safe intro!: antisym-less)  
 apply (fast elim!: chain-mono)  
 apply (drule sym)  
 apply (force elim!: is-ub-the lub)  
 done

the  $\sqsubseteq$  relation between two chains is preserved by their lubs

**lemma** *lub-mono*:

[[chain  $(X::\text{nat} \Rightarrow 'a::\text{cpo})$ ; chain  $Y$ ;  $\bigwedge i. X\ i \sqsubseteq Y\ i$ ]]  
 $\implies (\bigsqcup i. X\ i) \sqsubseteq (\bigsqcup i. Y\ i)$   
 apply (erule is-lub-the lub)  
 apply (rule ub-rangeI)  
 apply (rule trans-less)  
 apply (erule meta-spec)



**apply** (*erule is-ub-the lub*)  
**done**

the = relation between two chains is preserved by their lubs

**lemma** *lub-equal*:

$\llbracket \text{chain } (X :: \text{nat} \Rightarrow 'a :: \text{cpo}); \text{chain } Y; \forall k. X\ k = Y\ k \rrbracket$   
 $\implies (\bigsqcup i. X\ i) = (\bigsqcup i. Y\ i)$

**by** (*simp only: expand-fun-eq [symmetric]*)

more results about mono and = of lubs of chains

**lemma** *lub-mono2*:

$\llbracket \exists j. \forall i > j. X\ i = Y\ i; \text{chain } (X :: \text{nat} \Rightarrow 'a :: \text{cpo}); \text{chain } Y \rrbracket$   
 $\implies (\bigsqcup i. X\ i) \sqsubseteq (\bigsqcup i. Y\ i)$

**apply** (*erule exE*)

**apply** (*subgoal-tac*  $(\bigsqcup i. X\ (i + \text{Suc } j)) \sqsubseteq (\bigsqcup i. Y\ (i + \text{Suc } j))$ )

**apply** (*thin-tac*  $\forall i > j. X\ i = Y\ i$ )

**apply** (*simp only: lub-range-shift*)

**apply** *simp*

**done**

**lemma** *lub-equal2*:

$\llbracket \exists j. \forall i > j. X\ i = Y\ i; \text{chain } (X :: \text{nat} \Rightarrow 'a :: \text{cpo}); \text{chain } Y \rrbracket$   
 $\implies (\bigsqcup i. X\ i) = (\bigsqcup i. Y\ i)$

**by** (*blast intro: antisym-less lub-mono2 sym*)

**lemma** *lub-mono3*:

$\llbracket \text{chain } (Y :: \text{nat} \Rightarrow 'a :: \text{cpo}); \text{chain } X; \forall i. \exists j. Y\ i \sqsubseteq X\ j \rrbracket$   
 $\implies (\bigsqcup i. Y\ i) \sqsubseteq (\bigsqcup i. X\ i)$

**apply** (*erule is-lub-the lub*)

**apply** (*rule ub-rangeI*)

**apply** (*erule allE*)

**apply** (*erule exE*)

**apply** (*erule trans-less*)

**apply** (*erule is-ub-the lub*)

**done**

**lemma** *ch2ch-lub*:

**fixes**  $Y :: \text{nat} \Rightarrow \text{nat} \Rightarrow 'a :: \text{cpo}$

**assumes** 1:  $\bigwedge j. \text{chain } (\lambda i. Y\ i\ j)$

**assumes** 2:  $\bigwedge i. \text{chain } (\lambda j. Y\ i\ j)$

**shows**  $\text{chain } (\lambda i. \bigsqcup j. Y\ i\ j)$

**apply** (*rule chainI*)

**apply** (*rule lub-mono [OF 2 2]*)

**apply** (*rule chainE [OF 1]*)

**done**

**lemma** *diag-lub*:

**fixes**  $Y :: \text{nat} \Rightarrow \text{nat} \Rightarrow 'a :: \text{cpo}$

**assumes** 1:  $\bigwedge j. \text{chain } (\lambda i. Y\ i\ j)$

```

assumes 2:  $\bigwedge i. \text{chain } (\lambda j. Y\ i\ j)$ 
shows  $(\bigsqcup i. \bigsqcup j. Y\ i\ j) = (\bigsqcup i. Y\ i\ i)$ 
proof (rule antisym-less)
  have 3:  $\text{chain } (\lambda i. Y\ i\ i)$ 
    apply (rule chainI)
    apply (rule trans-less)
    apply (rule chainE [OF 1])
    apply (rule chainE [OF 2])
    done
  have 4:  $\text{chain } (\lambda i. \bigsqcup j. Y\ i\ j)$ 
    by (rule ch2ch-lub [OF 1 2])
  show  $(\bigsqcup i. \bigsqcup j. Y\ i\ j) \sqsubseteq (\bigsqcup i. Y\ i\ i)$ 
    apply (rule is-lub-the lub [OF 4])
    apply (rule ub-rangeI)
    apply (rule lub-mono3 [rule-format, OF 2 3])
    apply (rule exI)
    apply (rule trans-less)
    apply (rule chain-mono [OF 1 le-maxI1])
    apply (rule chain-mono [OF 2 le-maxI2])
    done
  show  $(\bigsqcup i. Y\ i\ i) \sqsubseteq (\bigsqcup i. \bigsqcup j. Y\ i\ j)$ 
    apply (rule lub-mono [OF 3 4])
    apply (rule is-ub-the lub [OF 2])
    done
qed

```

```

lemma ex-lub:
  fixes Y :: nat  $\Rightarrow$  nat  $\Rightarrow$  'a::cpo
  assumes 1:  $\bigwedge j. \text{chain } (\lambda i. Y\ i\ j)$ 
  assumes 2:  $\bigwedge i. \text{chain } (\lambda j. Y\ i\ j)$ 
  shows  $(\bigsqcup i. \bigsqcup j. Y\ i\ j) = (\bigsqcup j. \bigsqcup i. Y\ i\ j)$ 
by (simp add: diag-lub 1 2)

```

## 2.2 Pointed cpos

The class pcpo of pointed cpos

```

axclass pcpo < cpo
  least:  $\exists x. \forall y. x \sqsubseteq y$ 

```

### definition

```

UU :: 'a::pcpo where
UU = (THE x.  $\forall y. x \sqsubseteq y$ )

```

### notation (xsymbols)

```

UU ( $\perp$ )

```

derive the old rule minimal

```

lemma UU-least:  $\forall z. \perp \sqsubseteq z$ 
apply (unfold UU-def)

```

```

apply (rule theI')
apply (rule ex-ex1I)
apply (rule least)
apply (blast intro: antisym-less)
done

```

```

lemma minimal [iff]:  $\perp \sqsubseteq x$ 
by (rule UU-least [THEN spec])

```

```

lemma UU-reorient:  $(\perp = x) = (x = \perp)$ 
by auto

```

```

ML <<
  local
    val meta-UU-reorient = thm UU-reorient RS eq-reflection;
    fun reorient-proc sg - (- $ t $ u) =
      case u of
        Const(Pcpo.UU, -) => NONE
      | Const(HOL.zero, -) => NONE
      | Const(HOL.one, -) => NONE
      | Const(Numeral.number-of, -) $ - => NONE
      | - => SOME meta-UU-reorient;
    in
      val UU-reorient-simproc =
        Simplifier.simproc @{theory} UU-reorient-simproc [UU=x] reorient-proc
      end;

    Addsimprocs [UU-reorient-simproc];
  >>

```

useful lemmas about  $\perp$

```

lemma less-UU-iff [simp]:  $(x \sqsubseteq \perp) = (x = \perp)$ 
by (simp add: po-eq-conv)

```

```

lemma eq-UU-iff:  $(x = \perp) = (x \sqsubseteq \perp)$ 
by simp

```

```

lemma UU-I:  $x \sqsubseteq \perp \implies x = \perp$ 
by (subst eq-UU-iff)

```

```

lemma not-less2not-eq:  $\neg (x::'a::po) \sqsubseteq y \implies x \neq y$ 
by auto

```

```

lemma chain-UU-I:  $\llbracket \text{chain } Y; (\bigsqcup i. Y\ i) = \perp \rrbracket \implies \forall i. Y\ i = \perp$ 
apply (rule allI)
apply (rule UU-I)
apply (erule subst)
apply (erule is-ub-the lub)
done

```

```

lemma chain-UU-I-inverse:  $\forall i::nat. Y\ i = \perp \implies (\bigsqcup i. Y\ i) = \perp$ 
apply (rule lub-chain-maxelem)
apply (erule spec)
apply simp
done

```

```

lemma chain-UU-I-inverse2:  $(\bigsqcup i. Y\ i) \neq \perp \implies \exists i::nat. Y\ i \neq \perp$ 
by (blast intro: chain-UU-I-inverse)

```

```

lemma notUU-I:  $\llbracket x \sqsubseteq y; x \neq \perp \rrbracket \implies y \neq \perp$ 
by (blast intro: UU-I)

```

```

lemma chain-mono2:  $\llbracket \exists j. Y\ j \neq \perp; \text{chain } Y \rrbracket \implies \exists j. \forall i>j. Y\ i \neq \perp$ 
by (blast dest: notUU-I chain-mono-less)

```

### 2.3 Chain-finite and flat cpos

further useful classes for HOLCF domains

```

axclass finite-po < finite, po

```

```

axclass chfin < po
  chfin: chain Y  $\implies \exists n. \text{max-in-chain } n\ Y$ 

```

```

axclass flat < pcpo
  ax-flat:  $x \sqsubseteq y \implies (x = \perp) \vee (x = y)$ 

```

finite partial orders are chain-finite and directed-complete

```

instance finite-po < chfin
apply intro-classes
apply (drule finite-range-imp-finch)
apply (rule finite)
apply (simp add: finite-chain-def)
done

```

```

instance finite-po < cpo
apply intro-classes
apply (drule directed-chain)
apply (drule directed-finiteD [OF - finite subset-refl])
apply (erule bexE, rule exI)
apply (erule (1) is-lub-maximal)
done

```

some properties for chfin and flat

chfin types are cpo

```

instance chfin < cpo
apply intro-classes

```

```

apply (frule chfin)
apply (blast intro: lub-finch1)
done

```

flat types are chfin

```

instance flat < chfin
apply intro-classes
apply (unfold max-in-chain-def)
apply (case-tac  $\forall i. Y\ i = \perp$ )
apply simp
apply simp
apply (erule exE)
apply (rule-tac  $x=i$  in exI)
apply clarify
apply (blast dest: chain-mono ax-flat)
done

```

flat subclass of chfin; *adm-flat* not needed

```

lemma flat-less-iff:
  fixes  $x\ y :: 'a::flat$ 
  shows  $(x \sqsubseteq y) = (x = \perp \vee x = y)$ 
by (safe dest!: ax-flat)

```

```

lemma flat-eq:  $(a::'a::flat) \neq \perp \implies a \sqsubseteq b = (a = b)$ 
by (safe dest!: ax-flat)

```

```

lemma chfin2finch:  $chain\ (Y::nat \Rightarrow 'a::chfin) \implies finite-chain\ Y$ 
by (simp add: chfin finite-chain-def)

```

Discrete cpos

```

axclass discrete-cpo < sq-ord
  discrete-cpo [simp]:  $x \sqsubseteq y \longleftrightarrow x = y$ 

```

```

instance discrete-cpo < po
by (intro-classes, simp-all)

```

In a discrete cpo, every chain is constant

```

lemma discrete-chain-const:
  assumes  $S: chain\ (S::nat \Rightarrow 'a::discrete-cpo)$ 
  shows  $\exists x. S = (\lambda i. x)$ 
proof (intro exI ext)
  fix  $i :: nat$ 
  have  $S\ 0 \sqsubseteq S\ i$  using  $S\ le0$  by (rule chain-mono)
  hence  $S\ 0 = S\ i$  by simp
  thus  $S\ i = S\ 0$  by (rule sym)
qed

```

```

instance discrete-cpo < cpo

```

**proof**

**fix**  $S :: \text{nat} \Rightarrow 'a$   
**assume**  $S: \text{chain } S$   
**hence**  $\exists x. S = (\lambda i. x)$   
**by** (*rule discrete-chain-const*)  
**thus**  $\exists x. \text{range } S < \leq x$   
**by** (*fast intro: lub-const*)  
**qed**

lemmata for improved admissibility introduction rule

**lemma** *infinite-chain-adm-lemma*:

$\llbracket \text{chain } Y; \forall i. P (Y i); \bigwedge Y. \llbracket \text{chain } Y; \forall i. P (Y i); \neg \text{finite-chain } Y \rrbracket \implies P (\bigsqcup i. Y i) \rrbracket$   
 $\implies P (\bigsqcup i. Y i)$   
**apply** (*case-tac finite-chain Y*)  
**prefer** 2 **apply** *fast*  
**apply** (*unfold finite-chain-def*)  
**apply** *safe*  
**apply** (*erule lub-finch1 [THEN thelubI, THEN ssubst]*)  
**apply** *assumption*  
**apply** (*erule spec*)  
**done**

**lemma** *increasing-chain-adm-lemma*:

$\llbracket \text{chain } Y; \forall i. P (Y i); \bigwedge Y. \llbracket \text{chain } Y; \forall i. P (Y i); \forall i. \exists j > i. Y i \neq Y j \wedge Y i \sqsubseteq Y j \rrbracket \implies P (\bigsqcup i. Y i) \rrbracket$   
 $\implies P (\bigsqcup i. Y i)$   
**apply** (*erule infinite-chain-adm-lemma*)  
**apply** *assumption*  
**apply** (*erule thin-rl*)  
**apply** (*unfold finite-chain-def*)  
**apply** (*unfold max-in-chain-def*)  
**apply** (*fast dest: le-imp-less-or-eq elim: chain-mono-less*)  
**done**

**end**

### 3 Cont: Continuity and monotonicity

**theory** *Cont*  
**imports** *Pcpo*  
**begin**

Now we change the default class! From now on all untyped type variables are of default class po

**defaultsort** *po*

### 3.1 Definitions

**definition**

$monofun :: ('a \Rightarrow 'b) \Rightarrow bool$  — monotonicity **where**  
 $monofun f = (\forall x y. x \sqsubseteq y \longrightarrow f x \sqsubseteq f y)$

**definition**

$contlub :: ('a::cpo \Rightarrow 'b::cpo) \Rightarrow bool$  — first cont. def **where**  
 $contlub f = (\forall Y. chain Y \longrightarrow f (\bigsqcup i. Y i) = (\bigsqcup i. f (Y i)))$

**definition**

$cont :: ('a::cpo \Rightarrow 'b::cpo) \Rightarrow bool$  — second cont. def **where**  
 $cont f = (\forall Y. chain Y \longrightarrow range (\lambda i. f (Y i)) <<| f (\bigsqcup i. Y i))$

**lemma** *contlubI*:

$\llbracket \bigwedge Y. chain Y \Longrightarrow f (\bigsqcup i. Y i) = (\bigsqcup i. f (Y i)) \rrbracket \Longrightarrow contlub f$   
**by** (*simp add: contlub-def*)

**lemma** *contlubE*:

$\llbracket contlub f; chain Y \rrbracket \Longrightarrow f (\bigsqcup i. Y i) = (\bigsqcup i. f (Y i))$   
**by** (*simp add: contlub-def*)

**lemma** *contI*:

$\llbracket \bigwedge Y. chain Y \Longrightarrow range (\lambda i. f (Y i)) <<| f (\bigsqcup i. Y i) \rrbracket \Longrightarrow cont f$   
**by** (*simp add: cont-def*)

**lemma** *contE*:

$\llbracket cont f; chain Y \rrbracket \Longrightarrow range (\lambda i. f (Y i)) <<| f (\bigsqcup i. Y i)$   
**by** (*simp add: cont-def*)

**lemma** *monofunI*:

$\llbracket \bigwedge x y. x \sqsubseteq y \Longrightarrow f x \sqsubseteq f y \rrbracket \Longrightarrow monofun f$   
**by** (*simp add: monofun-def*)

**lemma** *monofunE*:

$\llbracket monofun f; x \sqsubseteq y \rrbracket \Longrightarrow f x \sqsubseteq f y$   
**by** (*simp add: monofun-def*)

### 3.2 $monofun f \wedge contlub f \equiv cont f$

monotone functions map chains to chains

**lemma** *ch2ch-monofun*:  $\llbracket monofun f; chain Y \rrbracket \Longrightarrow chain (\lambda i. f (Y i))$   
**apply** (*rule chainI*)  
**apply** (*erule monofunE*)  
**apply** (*erule chainE*)  
**done**

monotone functions map upper bound to upper bounds

**lemma** *ub2ub-monofun*:

```

  [[monofun f; range Y <| u]] ==> range (λi. f (Y i)) <| f u
  apply (rule ub-rangeI)
  apply (erule monofunE)
  apply (erule ub-rangeD)
  done

```

```

lemma ub2ub-monofun':
  [[monofun f; S <| u]] ==> f ' S <| f u
  apply (rule ub-imageI)
  apply (erule monofunE)
  apply (erule (1) is-ubD)
  done

```

monotone functions map directed sets to directed sets

```

lemma dir2dir-monofun:
  assumes f: monofun f
  assumes S: directed S
  shows directed (f ' S)
proof (rule directedI)
  from directedD1 [OF S]
  obtain x where x ∈ S ..
  hence f x ∈ f ' S by simp
  thus ∃ x. x ∈ f ' S ..
next
  fix x assume x ∈ f ' S
  then obtain a where x = f a and a: a ∈ S ..
  fix y assume y ∈ f ' S
  then obtain b where y = f b and b: b ∈ S ..
  from directedD2 [OF S a b]
  obtain c where c ∈ S and a ⊆ c ∧ b ⊆ c ..
  hence f c ∈ f ' S and x ⊆ f c ∧ y ⊆ f c
  using monofunE [OF f] x y by simp-all
  thus ∃ z ∈ f ' S. x ⊆ z ∧ y ⊆ z ..
qed

```

left to right:  $\text{monofun } f \wedge \text{contlub } f \implies \text{cont } f$

```

lemma monocontlub2cont: [[monofun f; contlub f]] ==> cont f
  apply (rule contI)
  apply (rule thelubE)
  apply (erule (1) ch2ch-monofun)
  apply (erule (1) contlubE [symmetric])
  done

```

first a lemma about binary chains

```

lemma binchain-cont:
  [[cont f; x ⊆ y]] ==> range (λi::nat. f (if i = 0 then x else y)) <<| f y
  apply (subgoal-tac f (⋒ i::nat. if i = 0 then x else y) = f y)
  apply (erule subst)
  apply (erule contE)

```



```

apply (erule bin-chain)
apply (rule-tac f=f in arg-cong)
apply (erule lub-bin-chain [THEN thelubI])
done

```

right to left:  $\text{cont } f \implies \text{monofun } f \wedge \text{contlub } f$

part1:  $\text{cont } f \implies \text{monofun } f$

```

lemma cont2mono:  $\text{cont } f \implies \text{monofun } f$ 
apply (rule monofunI)
apply (drule (1) binchain-cont)
apply (drule-tac i=0 in is-ub-lub)
apply simp
done

```

**lemmas** ch2ch-cont = cont2mono [THEN ch2ch-monofun]

right to left:  $\text{cont } f \implies \text{monofun } f \wedge \text{contlub } f$

part2:  $\text{cont } f \implies \text{contlub } f$

```

lemma cont2contlub:  $\text{cont } f \implies \text{contlub } f$ 
apply (rule contlubI)
apply (rule thelubI [symmetric])
apply (erule (1) contE)
done

```

**lemmas** cont2contlubE = cont2contlub [THEN contlubE]

```

lemma contI2:
  assumes mono: monofun f
  assumes less:  $\bigwedge Y. \llbracket \text{chain } Y; \text{chain } (\lambda i. f (Y i)) \rrbracket$ 
     $\implies f (\text{lub } (\text{range } Y)) \sqsubseteq (\bigsqcup i. f (Y i))$ 
  shows cont f
apply (rule monocontlub2cont)
apply (rule mono)
apply (rule contlubI)
apply (rule antisym-less)
apply (rule less, assumption)
apply (erule ch2ch-monofun [OF mono])
apply (rule is-lub-the lub)
apply (erule ch2ch-monofun [OF mono])
apply (rule ub2ub-monofun [OF mono])
apply (rule is-lubD1)
apply (erule cpo-lubI)
done

```

### 3.3 Continuity of basic functions

The identity function is continuous

```

lemma cont-id: cont ( $\lambda x. x$ )
apply (rule contI)
apply (erule cpo-lubI)
done

```

constant functions are continuous

```

lemma cont-const: cont ( $\lambda x. c$ )
apply (rule contI)
apply (rule lub-const)
done

```

if-then-else is continuous

```

lemma cont-if [simp]:
   $\llbracket \text{cont } f; \text{cont } g \rrbracket \implies \text{cont } (\lambda x. \text{if } b \text{ then } f \ x \text{ else } g \ x)$ 
by (induct b) simp-all

```

### 3.4 Finite chains and flat pcpos

monotone functions map finite chains to finite chains

```

lemma monofun-finch2finch:
   $\llbracket \text{monofun } f; \text{finite-chain } Y \rrbracket \implies \text{finite-chain } (\lambda n. f \ (Y \ n))$ 
apply (unfold finite-chain-def)
apply (simp add: ch2ch-monofun)
apply (force simp add: max-in-chain-def)
done

```

The same holds for continuous functions

```

lemma cont-finch2finch:
   $\llbracket \text{cont } f; \text{finite-chain } Y \rrbracket \implies \text{finite-chain } (\lambda n. f \ (Y \ n))$ 
by (rule cont2mono [THEN monofun-finch2finch])

```

```

lemma chfindom-monofun2cont: monofun f  $\implies \text{cont } (f :: 'a :: \text{chfin} \Rightarrow 'b :: \text{cpo})$ 
apply (rule monocontlub2cont)
apply assumption
apply (rule contlubI)
apply (frule chfin2finch)
apply (clarsimp simp add: finite-chain-def)
apply (subgoal-tac max-in-chain i ( $\lambda i. f \ (Y \ i)$ ))
apply (simp add: maxinch-is-thelub ch2ch-monofun)
apply (force simp add: max-in-chain-def)
done

```

some properties of flat

```

lemma flatdom-strict2mono:  $f \perp = \perp \implies \text{monofun } (f :: 'a :: \text{flat} \Rightarrow 'b :: \text{pcpo})$ 
apply (rule monofunI)
apply (drule ax-flat)
apply auto
done

```

**lemma** *flatdom-strict2cont*:  $f \perp = \perp \implies \text{cont } (f :: 'a :: \text{flat} \Rightarrow 'b :: \text{pcpo})$   
**by** (rule *flatdom-strict2mono* [THEN *chfindom-monofun2cont*])

functions with discrete domain

**lemma** *cont-discrete-cpo* [*simp*]:  $\text{cont } (f :: 'a :: \text{discrete-cpo} \Rightarrow 'b :: \text{cpo})$   
**apply** (rule *contI*)  
**apply** (drule *discrete-chain-const*, *clarify*)  
**apply** (*simp add: lub-const*)  
**done**

**end**

## 4 Ffun: Class instances for the full function space

**theory** *Ffun*  
**imports** *Cont*  
**begin**

### 4.1 Full function space is a partial order

**instantiation** *fun* ::  $(\text{type}, \text{sq-ord}) \text{ sq-ord}$   
**begin**

**definition**  
*less-fun-def*:  $(op \sqsubseteq) \equiv (\lambda f g. \forall x. f x \sqsubseteq g x)$

**instance** ..  
**end**

**instance** *fun* ::  $(\text{type}, \text{po}) \text{ po}$   
**proof**  
**fix**  $f :: 'a \Rightarrow 'b$   
**show**  $f \sqsubseteq f$   
**by** (*simp add: less-fun-def*)  
**next**  
**fix**  $f g :: 'a \Rightarrow 'b$   
**assume**  $f \sqsubseteq g$  **and**  $g \sqsubseteq f$  **thus**  $f = g$   
**by** (*simp add: less-fun-def expand-fun-eq antisym-less*)  
**next**  
**fix**  $f g h :: 'a \Rightarrow 'b$   
**assume**  $f \sqsubseteq g$  **and**  $g \sqsubseteq h$  **thus**  $f \sqsubseteq h$   
**unfolding** *less-fun-def* **by** (*fast elim: trans-less*)  
**qed**

make the symbol  $<<$  accessible for type *fun*

**lemma** *expand-fun-less*:  $(f \sqsubseteq g) = (\forall x. f x \sqsubseteq g x)$

**by** (*simp add: less-fun-def*)

**lemma** *less-fun-ext*:  $(\bigwedge x. f\ x \sqsubseteq g\ x) \implies f \sqsubseteq g$   
**by** (*simp add: less-fun-def*)

## 4.2 Full function space is chain complete

function application is monotone

**lemma** *monofun-app*: *monofun* ( $\lambda f. f\ x$ )  
**by** (*rule monofunI, simp add: less-fun-def*)

chains of functions yield chains in the po range

**lemma** *ch2ch-fun*: *chain*  $S \implies \text{chain } (\lambda i. S\ i\ x)$   
**by** (*simp add: chain-def less-fun-def*)

**lemma** *ch2ch-lambda*:  $(\bigwedge x. \text{chain } (\lambda i. S\ i\ x)) \implies \text{chain } S$   
**by** (*simp add: chain-def less-fun-def*)

upper bounds of function chains yield upper bound in the po range

**lemma** *ub2ub-fun*:  
 $\text{range } S <| u \implies \text{range } (\lambda i. S\ i\ x) <| u\ x$   
**by** (*auto simp add: is-ub-def less-fun-def*)

Type  $'a \Rightarrow 'b$  is chain complete

**lemma** *is-lub-lambda*:  
 $\text{assumes } f: \bigwedge x. \text{range } (\lambda i. Y\ i\ x) <<| f\ x$   
 $\text{shows } \text{range } Y <<| f$   
**apply** (*rule is-lubI*)  
**apply** (*rule ub-rangeI*)  
**apply** (*rule less-fun-ext*)  
**apply** (*rule is-ub-lub [OF f]*)  
**apply** (*rule less-fun-ext*)  
**apply** (*rule is-lub-lub [OF f]*)  
**apply** (*erule ub2ub-fun*)  
**done**

**lemma** *lub-fun*:  
 $\text{chain } (S::\text{nat} \Rightarrow 'a::\text{type} \Rightarrow 'b::\text{cpo})$   
 $\implies \text{range } S <<| (\lambda x. \bigsqcup i. S\ i\ x)$   
**apply** (*rule is-lub-lambda*)  
**apply** (*rule cpo-lubI*)  
**apply** (*erule ch2ch-fun*)  
**done**

**lemma** *thelub-fun*:  
 $\text{chain } (S::\text{nat} \Rightarrow 'a::\text{type} \Rightarrow 'b::\text{cpo})$   
 $\implies \text{lub } (\text{range } S) = (\lambda x. \bigsqcup i. S\ i\ x)$   
**by** (*rule lub-fun [THEN thelubI]*)

**lemma** *cpo-fun*:

*chain* ( $S :: \text{nat} \Rightarrow 'a :: \text{type} \Rightarrow 'b :: \text{cpo}$ )  $\implies \exists x. \text{range } S <<| x$   
**by** (*rule exI*, *erule lub-fun*)

**instance** *fun* :: (*type*, *cpo*) *cpo*  
**by** *intro-classes* (*rule cpo-fun*)

**instance** *fun* :: (*finite*, *finite-po*) *finite-po* ..

**instance** *fun* :: (*type*, *discrete-cpo*) *discrete-cpo*  
**proof**

**fix** *f g* :: '*a*  $\Rightarrow$  '*b*  
**show**  $f \sqsubseteq g \iff f = g$   
**unfolding** *expand-fun-less expand-fun-eq*  
**by** *simp*

**qed**

chain-finite function spaces

**lemma** *maxinch2maxinch-lambda*:

$(\bigwedge x. \text{max-in-chain } n (\lambda i. S \ i \ x)) \implies \text{max-in-chain } n \ S$   
**unfolding** *max-in-chain-def expand-fun-eq* **by** *simp*

**lemma** *maxinch-mono*:

$\llbracket \text{max-in-chain } i \ Y; i \leq j \rrbracket \implies \text{max-in-chain } j \ Y$   
**unfolding** *max-in-chain-def*  
**proof** (*intro allI impI*)

**fix** *k*  
**assume**  $Y: \forall n \geq i. Y \ i = Y \ n$   
**assume** *ij*:  $i \leq j$   
**assume** *jk*:  $j \leq k$   
**from** *ij jk* **have** *ik*:  $i \leq k$  **by** *simp*  
**from** *Y ij* **have** *Yij*:  $Y \ i = Y \ j$  **by** *simp*  
**from** *Y ik* **have** *Yik*:  $Y \ i = Y \ k$  **by** *simp*  
**from** *Yij Yik* **show**  $Y \ j = Y \ k$  **by** *auto*

**qed**

**instance** *fun* :: (*finite*, *chfin*) *chfin*

**proof**

**fix** *Y* :: *nat*  $\Rightarrow$  '*a*  $\Rightarrow$  '*b*  
**let** *?n* =  $\lambda x. \text{LEAST } n. \text{max-in-chain } n (\lambda i. Y \ i \ x)$   
**assume** *chain Y*  
**hence**  $\bigwedge x. \text{chain } (\lambda i. Y \ i \ x)$   
**by** (*rule ch2ch-fun*)  
**hence**  $\bigwedge x. \exists n. \text{max-in-chain } n (\lambda i. Y \ i \ x)$   
**by** (*rule chfin*)  
**hence**  $\bigwedge x. \text{max-in-chain } (?n \ x) (\lambda i. Y \ i \ x)$   
**by** (*rule LeastI-ex*)  
**hence**  $\bigwedge x. \text{max-in-chain } (\text{Max } (\text{range } ?n)) (\lambda i. Y \ i \ x)$

```

    by (rule maxinch-mono [OF - Max-ge], simp-all)
  hence max-in-chain (Max (range ?n)) Y
    by (rule maxinch2maxinch-lambda)
  thus  $\exists n. \text{max-in-chain } n \ Y \ ..$ 
qed

```

### 4.3 Full function space is pointed

```

lemma minimal-fun:  $(\lambda x. \perp) \sqsubseteq f$ 
by (simp add: less-fun-def)

```

```

lemma least-fun:  $\exists x::'a::\text{type} \Rightarrow 'b::\text{pcpo}. \forall y. x \sqsubseteq y$ 
apply (rule-tac  $x = \lambda x. \perp$  in exI)
apply (rule minimal-fun [THEN allI])
done

```

```

instance fun :: (type, pcpo) pcpo
by intro-classes (rule least-fun)

```

for compatibility with old HOLCF-Version

```

lemma inst-fun-pcpo:  $\perp = (\lambda x. \perp)$ 
by (rule minimal-fun [THEN UU-I, symmetric])

```

function application is strict in the left argument

```

lemma app-strict [simp]:  $\perp \ x = \perp$ 
by (simp add: inst-fun-pcpo)

```

The following results are about application for functions in  $'a \Rightarrow 'b$

```

lemma monofun-fun-fun:  $f \sqsubseteq g \Longrightarrow f \ x \sqsubseteq g \ x$ 
by (simp add: less-fun-def)

```

```

lemma monofun-fun-arg:  $\llbracket \text{monofun } f; x \sqsubseteq y \rrbracket \Longrightarrow f \ x \sqsubseteq f \ y$ 
by (rule monofunE)

```

```

lemma monofun-fun:  $\llbracket \text{monofun } f; \text{monofun } g; f \sqsubseteq g; x \sqsubseteq y \rrbracket \Longrightarrow f \ x \sqsubseteq g \ y$ 
by (rule trans-less [OF monofun-fun-arg monofun-fun-fun])

```

### 4.4 Propagation of monotonicity and continuity

the lub of a chain of monotone functions is monotone

```

lemma monofun-lub-fun:
   $\llbracket \text{chain } (F::\text{nat} \Rightarrow 'a \Rightarrow 'b::\text{cpo}); \forall i. \text{monofun } (F \ i) \rrbracket$ 
 $\Longrightarrow \text{monofun } (\bigsqcup i. F \ i)$ 
apply (rule monofunI)
apply (simp add: thelub-fun)
apply (rule lub-mono)
apply (erule ch2ch-fun)
apply (erule ch2ch-fun)

```

**apply** (*simp add: monofunE*)  
**done**

the lub of a chain of continuous functions is continuous

**declare** *range-composition* [*simp del*]

**lemma** *contlub-lub-fun*:  
 $\llbracket \text{chain } F; \forall i. \text{cont } (F\ i) \rrbracket \implies \text{contlub } (\bigsqcup i. F\ i)$   
**apply** (*rule contlubI*)  
**apply** (*simp add: thelub-fun*)  
**apply** (*simp add: cont2contlubE*)  
**apply** (*rule ex-lub*)  
**apply** (*erule ch2ch-fun*)  
**apply** (*simp add: ch2ch-cont*)  
**done**

**lemma** *cont-lub-fun*:  
 $\llbracket \text{chain } F; \forall i. \text{cont } (F\ i) \rrbracket \implies \text{cont } (\bigsqcup i. F\ i)$   
**apply** (*rule monocontlub2cont*)  
**apply** (*erule monofun-lub-fun*)  
**apply** (*simp add: cont2mono*)  
**apply** (*erule (1) contlub-lub-fun*)  
**done**

**lemma** *cont2cont-lub*:  
 $\llbracket \text{chain } F; \bigwedge i. \text{cont } (F\ i) \rrbracket \implies \text{cont } (\lambda x. \bigsqcup i. F\ i\ x)$   
**by** (*simp add: thelub-fun [symmetric] cont-lub-fun*)

**lemma** *mono2mono-fun*: *monofun* *f*  $\implies$  *monofun* ( $\lambda x. f\ x\ y$ )  
**apply** (*rule monofunI*)  
**apply** (*erule (1) monofun-fun-arg [THEN monofun-fun-fun]*)  
**done**

**lemma** *cont2cont-fun*: *cont* *f*  $\implies$  *cont* ( $\lambda x. f\ x\ y$ )  
**apply** (*rule monocontlub2cont*)  
**apply** (*erule cont2mono [THEN mono2mono-fun]*)  
**apply** (*rule contlubI*)  
**apply** (*simp add: cont2contlubE*)  
**apply** (*simp add: thelub-fun ch2ch-cont*)  
**done**

Note  $(\lambda x. \lambda y. f\ x\ y) = f$

**lemma** *mono2mono-lambda*:  
**assumes** *f*:  $\bigwedge y. \text{monofun } (\lambda x. f\ x\ y)$  **shows** *monofun* *f*  
**apply** (*rule monofunI*)  
**apply** (*rule less-fun-ext*)  
**apply** (*erule monofunE [OF f]*)  
**done**

```

lemma cont2cont-lambda [simp]:
  assumes  $f: \bigwedge y. \text{cont } (\lambda x. f\ x\ y)$  shows  $\text{cont } f$ 
apply (subgoal-tac monofun f)
apply (rule monocontlub2cont)
apply assumption
apply (rule contlubI)
apply (rule ext)
apply (simp add: thelub-fun ch2ch-monofun)
apply (erule cont2contlubE [OF f])
apply (simp add: mono2mono-lambda cont2mono f)
done

```

What D.A.Schmidt calls continuity of abstraction; never used here

```

lemma contlub-lambda:
  ( $\bigwedge x::'a::\text{type}. \text{chain } (\lambda i. S\ i\ x::'b::\text{cpo})$ )
   $\implies (\lambda x. \bigsqcup i. S\ i\ x) = (\bigsqcup i. (\lambda x. S\ i\ x))$ 
by (simp add: thelub-fun ch2ch-lambda)

```

```

lemma contlub-abstraction:
   $\llbracket \text{chain } Y; \forall y. \text{cont } (\lambda x. (c::'a::\text{cpo} \Rightarrow 'b::\text{type} \Rightarrow 'c::\text{cpo})\ x\ y) \rrbracket \implies$ 
   $(\lambda y. \bigsqcup i. c\ (Y\ i)\ y) = (\bigsqcup i. (\lambda y. c\ (Y\ i)\ y))$ 
apply (rule thelub-fun [symmetric])
apply (simp add: ch2ch-cont)
done

```

```

lemma mono2mono-app:
   $\llbracket \text{monofun } f; \forall x. \text{monofun } (f\ x); \text{monofun } t \rrbracket \implies \text{monofun } (\lambda x. (f\ x)\ (t\ x))$ 
apply (rule monofunI)
apply (simp add: monofun-fun monofunE)
done

```

```

lemma cont2contlub-app:
   $\llbracket \text{cont } f; \forall x. \text{cont } (f\ x); \text{cont } t \rrbracket \implies \text{contlub } (\lambda x. (f\ x)\ (t\ x))$ 
apply (rule contlubI)
apply (subgoal-tac chain  $(\lambda i. f\ (Y\ i))$ )
apply (subgoal-tac chain  $(\lambda i. t\ (Y\ i))$ )
apply (simp add: cont2contlubE thelub-fun)
apply (rule diag-lub)
apply (erule ch2ch-fun)
apply (erule spec)
apply (erule (1) ch2ch-cont)
apply (erule (1) ch2ch-cont)
apply (erule (1) ch2ch-cont)
done

```

```

lemma cont2cont-app:
   $\llbracket \text{cont } f; \forall x. \text{cont } (f\ x); \text{cont } t \rrbracket \implies \text{cont } (\lambda x. (f\ x)\ (t\ x))$ 
by (blast intro: monocontlub2cont mono2mono-app cont2mono cont2contlub-app)

```



```

lemmas cont2cont-app2 = cont2cont-app [rule-format]

lemma cont2cont-app3:  $\llbracket \text{cont } f; \text{cont } t \rrbracket \implies \text{cont } (\lambda x. f \ (t \ x))$ 
by (rule cont2cont-app2 [OF cont-const])

end

```

## 5 Adm: Admissibility and compactness

```

theory Adm
imports Ffun
begin

```

```

defaultsort cpo

```

### 5.1 Definitions

```

definition
  adm :: ('a::cpo  $\Rightarrow$  bool)  $\Rightarrow$  bool where
    adm P =  $(\forall Y. \text{chain } Y \longrightarrow (\forall i. P \ (Y \ i)) \longrightarrow P \ (\bigsqcup i. Y \ i))$ 

```

```

lemma admI:
   $(\bigwedge Y. \llbracket \text{chain } Y; \forall i. P \ (Y \ i) \rrbracket \implies P \ (\bigsqcup i. Y \ i)) \implies \text{adm } P$ 
unfolding adm-def by fast

```

```

lemma admD:  $\llbracket \text{adm } P; \text{chain } Y; \bigwedge i. P \ (Y \ i) \rrbracket \implies P \ (\bigsqcup i. Y \ i)$ 
unfolding adm-def by fast

```

```

lemma triv-admI:  $\forall x. P \ x \implies \text{adm } P$ 
by (rule admI, erule spec)

```

improved admissibility introduction

```

lemma admI2:
   $(\bigwedge Y. \llbracket \text{chain } Y; \forall i. P \ (Y \ i); \forall i. \exists j > i. Y \ i \neq Y \ j \wedge Y \ i \sqsubseteq Y \ j \rrbracket \implies P \ (\bigsqcup i. Y \ i)) \implies \text{adm } P$ 
apply (rule admI)
apply (erule (1) increasing-chain-adm-lemma)
apply fast
done

```

### 5.2 Admissibility on chain-finite types

for chain-finite (easy) types every formula is admissible

```

lemma adm-chfn:  $\text{adm } (P :: 'a::chfn \Rightarrow \text{bool})$ 
by (rule admI, frule chfn, auto simp add: maxinch-is-thelub)

```

### 5.3 Admissibility of special formulae and propagation

**lemma** *adm-not-free*:  $\text{adm } (\lambda x. t)$   
**by** (*rule admI, simp*)

**lemma** *adm-conj*:  $\llbracket \text{adm } P; \text{adm } Q \rrbracket \implies \text{adm } (\lambda x. P x \wedge Q x)$   
**by** (*fast intro: admI elim: admD*)

**lemma** *adm-all*:  $(\bigwedge y. \text{adm } (P y)) \implies \text{adm } (\lambda x. \forall y. P y x)$   
**by** (*fast intro: admI elim: admD*)

**lemma** *adm-ball*:  $(\bigwedge y. y \in A \implies \text{adm } (P y)) \implies \text{adm } (\lambda x. \forall y \in A. P y x)$   
**by** (*fast intro: admI elim: admD*)

Admissibility for disjunction is hard to prove. It takes 5 Lemmas

**lemma** *adm-disj-lemma1*:  
 $\llbracket \text{chain } (Y::\text{nat} \Rightarrow 'a::\text{cpo}); \forall i. \exists j \geq i. P (Y j) \rrbracket$   
 $\implies \text{chain } (\lambda i. Y (LEAST j. i \leq j \wedge P (Y j)))$   
**apply** (*rule chainI*)  
**apply** (*erule chain-mono*)  
**apply** (*rule Least-le*)  
**apply** (*rule LeastI2-ex*)  
**apply** *simp-all*  
**done**

**lemmas** *adm-disj-lemma2* = *LeastI-ex* [*of*  $\lambda j. i \leq j \wedge P (Y j)$ , *standard*]

**lemma** *adm-disj-lemma3*:  
 $\llbracket \text{chain } (Y::\text{nat} \Rightarrow 'a::\text{cpo}); \forall i. \exists j \geq i. P (Y j) \rrbracket \implies$   
 $(\bigsqcup i. Y i) = (\bigsqcup i. Y (LEAST j. i \leq j \wedge P (Y j)))$   
**apply** (*frule (1) adm-disj-lemma1*)  
**apply** (*rule antisym-less*)  
**apply** (*rule lub-mono, assumption+*)  
**apply** (*erule chain-mono*)  
**apply** (*simp add: adm-disj-lemma2*)  
**apply** (*rule lub-range-mono, fast, assumption+*)  
**done**

**lemma** *adm-disj-lemma4*:  
 $\llbracket \text{adm } P; \text{chain } Y; \forall i. \exists j \geq i. P (Y j) \rrbracket \implies P (\bigsqcup i. Y i)$   
**apply** (*subst adm-disj-lemma3, assumption+*)  
**apply** (*erule admD*)  
**apply** (*simp add: adm-disj-lemma1*)  
**apply** (*simp add: adm-disj-lemma2*)  
**done**

**lemma** *adm-disj-lemma5*:  
 $\forall n::\text{nat}. P n \vee Q n \implies (\forall i. \exists j \geq i. P j) \vee (\forall i. \exists j \geq i. Q j)$   
**apply** (*erule contrapos-pp*)  
**apply** (*clarsimp, rename-tac a b*)

```

apply (rule-tac x=max a b in exI)
apply simp
done

```

```

lemma adm-disj:  $\llbracket \text{adm } P; \text{adm } Q \rrbracket \implies \text{adm } (\lambda x. P\ x \vee Q\ x)$ 
apply (rule admI)
apply (erule adm-disj-lemma5 [THEN disjE])
apply (erule (2) adm-disj-lemma4 [THEN disjI1])
apply (erule (2) adm-disj-lemma4 [THEN disjI2])
done

```

```

lemma adm-imp:  $\llbracket \text{adm } (\lambda x. \neg P\ x); \text{adm } Q \rrbracket \implies \text{adm } (\lambda x. P\ x \longrightarrow Q\ x)$ 
by (subst imp-conv-disj, rule adm-disj)

```

```

lemma adm-iff:
   $\llbracket \text{adm } (\lambda x. P\ x \longrightarrow Q\ x); \text{adm } (\lambda x. Q\ x \longrightarrow P\ x) \rrbracket$ 
   $\implies \text{adm } (\lambda x. P\ x = Q\ x)$ 
by (subst iff-conv-conj-imp, rule adm-conj)

```

```

lemma adm-not-conj:
   $\llbracket \text{adm } (\lambda x. \neg P\ x); \text{adm } (\lambda x. \neg Q\ x) \rrbracket \implies \text{adm } (\lambda x. \neg (P\ x \wedge Q\ x))$ 
by (simp add: adm-imp)

```

admissibility and continuity

```

declare range-composition [simp del]

```

```

lemma adm-less:  $\llbracket \text{cont } u; \text{cont } v \rrbracket \implies \text{adm } (\lambda x. u\ x \sqsubseteq v\ x)$ 
apply (rule admI)
apply (simp add: cont2contlubE)
apply (rule lub-mono)
apply (erule (1) ch2ch-cont)
apply (erule (1) ch2ch-cont)
apply (erule spec)
done

```

```

lemma adm-eq:  $\llbracket \text{cont } u; \text{cont } v \rrbracket \implies \text{adm } (\lambda x. u\ x = v\ x)$ 
by (simp add: po-eq-conv adm-conj adm-less)

```

```

lemma adm-subst:  $\llbracket \text{cont } t; \text{adm } P \rrbracket \implies \text{adm } (\lambda x. P\ (t\ x))$ 
apply (rule admI)
apply (simp add: cont2contlubE)
apply (erule admD)
apply (erule (1) ch2ch-cont)
apply (erule spec)
done

```

```

lemma adm-not-less:  $\text{cont } t \implies \text{adm } (\lambda x. \neg t\ x \sqsubseteq u)$ 
apply (rule admI)
apply (drule-tac x=0 in spec)

```

```

apply (erule contrapos-nn)
apply (erule rev-trans-less)
apply (erule cont2mono [THEN monofunE])
apply (erule is-ub-the lub)
done

```

## 5.4 Compactness

### definition

```

compact :: 'a::cpo  $\Rightarrow$  bool where
compact k = adm ( $\lambda x. \neg k \sqsubseteq x$ )

```

**lemma compactI:**  $\text{adm } (\lambda x. \neg k \sqsubseteq x) \Longrightarrow \text{compact } k$   
**unfolding** compact-def .

**lemma compactD:**  $\text{compact } k \Longrightarrow \text{adm } (\lambda x. \neg k \sqsubseteq x)$   
**unfolding** compact-def .

### lemma compactI2:

```

( $\bigwedge Y. \llbracket \text{chain } Y; x \sqsubseteq \text{lub } (\text{range } Y) \rrbracket \Longrightarrow \exists i. x \sqsubseteq Y i$ )  $\Longrightarrow$  compact x
unfolding compact-def adm-def by fast

```

### lemma compactD2:

```

 $\llbracket \text{compact } x; \text{chain } Y; x \sqsubseteq \text{lub } (\text{range } Y) \rrbracket \Longrightarrow \exists i. x \sqsubseteq Y i$ 
unfolding compact-def adm-def by fast

```

**lemma compact-chfin** [simp]:  $\text{compact } (x::'a::\text{chfin})$   
**by** (rule compactI [OF adm-chfin])

### lemma compact-imp-max-in-chain:

```

 $\llbracket \text{chain } Y; \text{compact } (\bigsqcup i. Y i) \rrbracket \Longrightarrow \exists i. \text{max-in-chain } i Y$ 
apply (drule (1) compactD2, simp)
apply (erule exE, rule-tac x=i in exI)
apply (rule max-in-chainI)
apply (rule antisym-less)
apply (erule (1) chain-mono)
apply (erule (1) trans-less [OF is-ub-the lub])
done

```

admissibility and compactness

**lemma adm-compact-not-less:**  $\llbracket \text{compact } k; \text{cont } t \rrbracket \Longrightarrow \text{adm } (\lambda x. \neg k \sqsubseteq t x)$   
**unfolding** compact-def **by** (rule adm-subst)

**lemma adm-neq-compact:**  $\llbracket \text{compact } k; \text{cont } t \rrbracket \Longrightarrow \text{adm } (\lambda x. t x \neq k)$   
**by** (simp add: po-eq-conv adm-imp adm-not-less adm-compact-not-less)

**lemma adm-compact-neq:**  $\llbracket \text{compact } k; \text{cont } t \rrbracket \Longrightarrow \text{adm } (\lambda x. k \neq t x)$   
**by** (simp add: po-eq-conv adm-imp adm-not-less adm-compact-not-less)

**lemma** *compact-UU* [*simp*, *intro*]: *compact*  $\perp$   
**by** (*rule compactI*, *simp add: adm-not-free*)

**lemma** *adm-not-UU*: *cont*  $t \implies \text{adm } (\lambda x. t\ x \neq \perp)$   
**by** (*simp add: adm-neq-compact*)

Any upward-closed predicate is admissible.

**lemma** *adm-upward*:  
**assumes**  $P: \bigwedge x\ y. \llbracket P\ x; x \sqsubseteq y \rrbracket \implies P\ y$   
**shows** *adm*  $P$   
**by** (*rule admI*, *drule spec*, *erule P*, *erule is-ub-the lub*)

**lemmas** *adm-lemmas* [*simp*] =  
*adm-not-free adm-conj adm-all adm-ball adm-disj adm-imp adm-iff*  
*adm-less adm-eq adm-not-less*  
*adm-compact-not-less adm-compact-neq adm-neq-compact adm-not-UU*

**end**

## 6 Pcpcodef: Subtypes of pcpos

**theory** *Pcpcodef*  
**imports** *Adm*  
**uses** (*Tools/pcpcodef-package.ML*)  
**begin**

### 6.1 Proving a subtype is a partial order

A subtype of a partial order is itself a partial order, if the ordering is defined in the standard way.

**theorem** *typedef-po*:  
**fixes**  $Abs :: 'a::po \Rightarrow 'b::sq-ord$   
**assumes** *type: type-definition* *Rep* *Abs*  $A$   
**and** *less: op*  $\sqsubseteq \equiv \lambda x\ y. \text{Rep } x \sqsubseteq \text{Rep } y$   
**shows** *OFCLASS*('b, *po-class*)  
**apply** (*intro-classes*, *unfold less*)  
**apply** (*rule refl-less*)  
**apply** (*erule* (1) *trans-less*)  
**apply** (*rule type-definition.Rep-inject* [*OF type*, *THEN iffD1*])  
**apply** (*erule* (1) *antisym-less*)  
**done**

### 6.2 Proving a subtype is finite

**context** *type-definition*  
**begin**

```

lemma Abs-image:
  shows  $Abs \text{ ' } A = UNIV$ 
proof
  show  $Abs \text{ ' } A \leq UNIV$  by simp
  show  $UNIV \leq Abs \text{ ' } A$ 
proof
  fix  $x$ 
  have  $x = Abs (Rep\ x)$  by (rule Rep-inverse [symmetric])
  thus  $x : Abs \text{ ' } A$  using Rep by (rule image-eqI)
qed
qed

```

```

lemma finite-UNIV:  $finite\ A \implies finite\ (UNIV :: 'b\ set)$ 
proof –
  assume  $finite\ A$ 
  hence  $finite\ (Abs \text{ ' } A)$  by (rule finite-imageI)
  thus  $finite\ (UNIV :: 'b\ set)$  by (simp only: Abs-image)
qed

end

```

```

theorem typedef-finite-po:
  fixes  $Abs :: 'a::finite-po \Rightarrow 'b::po$ 
  assumes type: type-definition Rep Abs A
  shows  $OFCLASS('b, finite-po-class)$ 
  apply (intro-classes)
  apply (rule type-definition.finite-UNIV [OF type])
  apply (rule finite)
done

```

### 6.3 Proving a subtype is chain-finite

```

lemma monofun-Rep:
  assumes  $less: op \sqsubseteq \equiv \lambda x\ y. Rep\ x \sqsubseteq Rep\ y$ 
  shows  $monofun\ Rep$ 
by (rule monofunI, unfold less)

```

```

lemmas  $ch2ch-Rep = ch2ch-monofun\ [OF\ monofun-Rep]$ 
lemmas  $ub2ub-Rep = ub2ub-monofun\ [OF\ monofun-Rep]$ 

```

```

theorem typedef-chfin:
  fixes  $Abs :: 'a::chfin \Rightarrow 'b::po$ 
  assumes type: type-definition Rep Abs A
  and  $less: op \sqsubseteq \equiv \lambda x\ y. Rep\ x \sqsubseteq Rep\ y$ 
  shows  $OFCLASS('b, chfin-class)$ 
  apply intro-classes
  apply (drule ch2ch-Rep [OF less])
  apply (drule chfin)
  apply (unfold max-in-chain-def)

```

```

apply (simp add: type-definition.Rep-inject [OF type])
done

```

## 6.4 Proving a subtype is complete

A subtype of a cpo is itself a cpo if the ordering is defined in the standard way, and the defining subset is closed with respect to limits of chains. A set is closed if and only if membership in the set is an admissible predicate.

**lemma** *Abs-inverse-lub-Rep*:

```

fixes Abs :: 'a::cpo  $\Rightarrow$  'b::po
assumes type: type-definition Rep Abs A
and less: op  $\sqsubseteq \equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$ 
and adm: adm ( $\lambda x. x \in A$ )
shows chain S  $\Longrightarrow$  Rep (Abs ( $\bigsqcup i. \text{Rep } (S i)$ )) = ( $\bigsqcup i. \text{Rep } (S i)$ )
apply (rule type-definition.Abs-inverse [OF type])
apply (erule admD [OF adm ch2ch-Rep [OF less]])
apply (rule type-definition.Rep [OF type])
done

```

**theorem** *typedef-lub*:

```

fixes Abs :: 'a::cpo  $\Rightarrow$  'b::po
assumes type: type-definition Rep Abs A
and less: op  $\sqsubseteq \equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$ 
and adm: adm ( $\lambda x. x \in A$ )
shows chain S  $\Longrightarrow$  range S  $<<|$  Abs ( $\bigsqcup i. \text{Rep } (S i)$ )
apply (frule ch2ch-Rep [OF less])
apply (rule is-lubI)
apply (rule ub-rangeI)
apply (simp only: less Abs-inverse-lub-Rep [OF type less adm])
apply (erule is-ub-the lub)
apply (simp only: less Abs-inverse-lub-Rep [OF type less adm])
apply (erule is-lub-the lub)
apply (erule ub2ub-Rep [OF less])
done

```

**lemmas** *typedef-the lub* = typedef-lub [THEN the lubI, standard]

**theorem** *typedef-cpo*:

```

fixes Abs :: 'a::cpo  $\Rightarrow$  'b::po
assumes type: type-definition Rep Abs A
and less: op  $\sqsubseteq \equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$ 
and adm: adm ( $\lambda x. x \in A$ )
shows OFCLASS('b, cpo-class)
proof
  fix S::nat  $\Rightarrow$  'b assume chain S
  hence range S  $<<|$  Abs ( $\bigsqcup i. \text{Rep } (S i)$ )
    by (rule typedef-lub [OF type less adm])
  thus  $\exists x. \text{range } S <<| x \dots$ 
qed

```

### 6.4.1 Continuity of *Rep* and *Abs*

For any sub-cpo, the *Rep* function is continuous.

```

theorem typedef-cont-Rep:
  fixes Abs :: 'a::cpo  $\Rightarrow$  'b::cpo
  assumes type: type-definition Rep Abs A
    and less: op  $\sqsubseteq \equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$ 
    and adm: adm ( $\lambda x. x \in A$ )
  shows cont Rep
apply (rule contI)
apply (simp only: typedef-theLub [OF type less adm])
apply (simp only: Abs-inverse-lub-Rep [OF type less adm])
apply (rule cpo-lubI)
apply (erule ch2ch-Rep [OF less])
done

```

For a sub-cpo, we can make the *Abs* function continuous only if we restrict its domain to the defining subset by composing it with another continuous function.

```

theorem typedef-is-lubI:
  assumes less: op  $\sqsubseteq \equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$ 
  shows range ( $\lambda i. Rep\ (S\ i)$ )  $<<| Rep\ x \Longrightarrow range\ S <<| x$ 
apply (rule is-lubI)
apply (rule ub-rangeI)
apply (subst less)
apply (erule is-ub-lub)
apply (subst less)
apply (erule is-lub-lub)
apply (erule ub2ub-Rep [OF less])
done

```

```

theorem typedef-cont-Abs:
  fixes Abs :: 'a::cpo  $\Rightarrow$  'b::cpo
  fixes f :: 'c::cpo  $\Rightarrow$  'a::cpo
  assumes type: type-definition Rep Abs A
    and less: op  $\sqsubseteq \equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$ 
    and adm: adm ( $\lambda x. x \in A$ )
    and f-in-A:  $\bigwedge x. f\ x \in A$ 
    and cont-f: cont f
  shows cont ( $\lambda x. Abs\ (f\ x)$ )
apply (rule contI)
apply (rule typedef-is-lubI [OF less])
apply (simp only: type-definition.Abs-inverse [OF type f-in-A])
apply (erule cont-f [THEN contE])
done

```

## 6.5 Proving subtype elements are compact

```

theorem typedef-compact:

```



```

fixes Abs :: 'a::cpo  $\Rightarrow$  'b::cpo
assumes type: type-definition Rep Abs A
and less: op  $\sqsubseteq \equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$ 
and adm: adm ( $\lambda x. x \in A$ )
shows compact (Rep k)  $\implies$  compact k
proof (unfold compact-def)
have cont-Rep: cont Rep
by (rule typedef-cont-Rep [OF type less adm])
assume adm ( $\lambda x. \neg \text{Rep } k \sqsubseteq x$ )
with cont-Rep have adm ( $\lambda x. \neg \text{Rep } k \sqsubseteq \text{Rep } x$ ) by (rule adm-subst)
thus adm ( $\lambda x. \neg k \sqsubseteq x$ ) by (unfold less)
qed

```

## 6.6 Proving a subtype is pointed

A subtype of a cpo has a least element if and only if the defining subset has a least element.

```

theorem typedef-pcpo-generic:
fixes Abs :: 'a::cpo  $\Rightarrow$  'b::cpo
assumes type: type-definition Rep Abs A
and less: op  $\sqsubseteq \equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$ 
and z-in-A:  $z \in A$ 
and z-least:  $\bigwedge x. x \in A \implies z \sqsubseteq x$ 
shows OFCLASS('b, pcpo-class)
apply (intro-classes)
apply (rule-tac x=Abs z in exI, rule allI)
apply (unfold less)
apply (subst type-definition.Abs-inverse [OF type z-in-A])
apply (rule z-least [OF type-definition.Rep [OF type]])
done

```

As a special case, a subtype of a pcpo has a least element if the defining subset contains  $\perp$ .

```

theorem typedef-pcpo:
fixes Abs :: 'a::pcpo  $\Rightarrow$  'b::cpo
assumes type: type-definition Rep Abs A
and less: op  $\sqsubseteq \equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$ 
and UU-in-A:  $\perp \in A$ 
shows OFCLASS('b, pcpo-class)
by (rule typedef-pcpo-generic [OF type less UU-in-A], rule minimal)

```

### 6.6.1 Strictness of Rep and Abs

For a sub-pcpo where  $\perp$  is a member of the defining subset, Rep and Abs are both strict.

```

theorem typedef-Abs-strict:
assumes type: type-definition Rep Abs A

```

```

    and less:  $op \sqsubseteq \equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$ 
    and UU-in-A:  $\perp \in A$ 
    shows  $Abs\ \perp = \perp$ 
    apply (rule UU-I, unfold less)
    apply (simp add: type-definition.Abs-inverse [OF type UU-in-A])
  done

```

```

theorem typedef-Rep-strict:
  assumes type: type-definition Rep Abs A
    and less:  $op \sqsubseteq \equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$ 
    and UU-in-A:  $\perp \in A$ 
  shows  $Rep\ \perp = \perp$ 
  apply (rule typedef-Abs-strict [OF type less UU-in-A, THEN subst])
  apply (rule type-definition.Abs-inverse [OF type UU-in-A])
done

```

```

theorem typedef-Abs-strict-iff:
  assumes type: type-definition Rep Abs A
    and less:  $op \sqsubseteq \equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$ 
    and UU-in-A:  $\perp \in A$ 
  shows  $x \in A \implies (Abs\ x = \perp) = (x = \perp)$ 
  apply (rule typedef-Abs-strict [OF type less UU-in-A, THEN subst])
  apply (simp add: type-definition.Abs-inject [OF type] UU-in-A)
done

```

```

theorem typedef-Rep-strict-iff:
  assumes type: type-definition Rep Abs A
    and less:  $op \sqsubseteq \equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$ 
    and UU-in-A:  $\perp \in A$ 
  shows  $(Rep\ x = \perp) = (x = \perp)$ 
  apply (rule typedef-Rep-strict [OF type less UU-in-A, THEN subst])
  apply (simp add: type-definition.Rep-inject [OF type])
done

```

```

theorem typedef-Abs-defined:
  assumes type: type-definition Rep Abs A
    and less:  $op \sqsubseteq \equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$ 
    and UU-in-A:  $\perp \in A$ 
  shows  $\llbracket x \neq \perp; x \in A \rrbracket \implies Abs\ x \neq \perp$ 
  by (simp add: typedef-Abs-strict-iff [OF type less UU-in-A])

```

```

theorem typedef-Rep-defined:
  assumes type: type-definition Rep Abs A
    and less:  $op \sqsubseteq \equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$ 
    and UU-in-A:  $\perp \in A$ 
  shows  $x \neq \perp \implies Rep\ x \neq \perp$ 
  by (simp add: typedef-Rep-strict-iff [OF type less UU-in-A])

```

## 6.7 Proving a subtype is flat

```

theorem typedef-flat:
  fixes Abs :: 'a::flat  $\Rightarrow$  'b::pcpo
  assumes type: type-definition Rep Abs A
    and less: op  $\sqsubseteq \equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$ 
    and UU-in-A:  $\perp \in A$ 
  shows OFCLASS('b, flat-class)
apply (intro-classes)
apply (unfold less)
apply (simp add: type-definition.Rep-inject [OF type, symmetric])
apply (simp add: typedef-Rep-strict [OF type less UU-in-A])
apply (simp add: ax-flat)
done

```

## 6.8 HOLCF type definition package

```

use Tools/pcpodef-package.ML

end

```

## 7 Cfun: The type of continuous functions

```

theory Cfun
imports Pcpodef Ffun
uses (Tools/cont-proc.ML)
begin

```

```

defaultsort cpo

```

### 7.1 Definition of continuous function type

```

lemma Ex-cont:  $\exists f. \text{cont } f$ 
by (rule exI, rule cont-const)

```

```

lemma adm-cont:  $\text{adm } \text{cont}$ 
by (rule admI, rule cont-lub-fun)

```

```

cpodef (CFun) ('a, 'b)  $\rightarrow$  (infixr  $\rightarrow$  0) = {f::'a  $\Rightarrow$  'b. cont f}
by (simp add: Ex-cont adm-cont)

```

```

syntax (xsymbols)
   $\rightarrow$  :: [type, type]  $\Rightarrow$  type      (( $\rightarrow$   $\rightarrow$  /  $\rightarrow$ ) [1,0]0)

```

```

notation
  Rep-CFun (( $\rightarrow$  /  $\rightarrow$ ) [999,1000] 999)

```

```

notation (xsymbols)
  Rep-CFun (( $\rightarrow$  /  $\rightarrow$ ) [999,1000] 999)

```

**notation** (*HTML output*)  
*Rep-CFun* (( $\cdot/\cdot$ ) [999,1000] 999)

## 7.2 Syntax for continuous lambda abstraction

**syntax** *-cabs* :: 'a

**parse-translation**  $\langle\langle$   
 (\* rewrites (*-cabs* *x t*) => (*Abs-CFun* (%*x. t*)) \*)  
 [mk-binder-tr (*-cabs*, @{const-syntax *Abs-CFun*})];  
 $\rangle\rangle$

To avoid eta-contraction of body:

**typed-print-translation**  $\langle\langle$   
*let*  
 fun *cabs-tr'* - - [*Abs abs*] = *let*  
   *val* (*x,t*) = *atomic-abs-tr'* *abs*  
   in *Syntax.const -cabs* \$ *x* \$ *t* *end*  
  
 | *cabs-tr'* - *T* [*t*] = *let*  
   *val* *xT* = *domain-type* (*domain-type T*);  
   *val* *abs'* = (*x,xT*,(*incr-boundvars 1 t*)\$Bound 0);  
   *val* (*x,t'*) = *atomic-abs-tr'* *abs'*;  
   in *Syntax.const -cabs* \$ *x* \$ *t'* *end*;  
  
*in* [(@{const-syntax *Abs-CFun*}, *cabs-tr'*)] *end*;  
 $\rangle\rangle$

Syntax for nested abstractions

**syntax**  
*-Lambda* :: [*cargs*, 'a]  $\Rightarrow$  *logic* (( $\exists$ LAM  $\cdot/\cdot$ ) [1000, 10] 10)

**syntax** (*xsymbols*)  
*-Lambda* :: [*cargs*, 'a]  $\Rightarrow$  *logic* (( $\exists$  $\Lambda$   $\cdot/\cdot$ ) [1000, 10] 10)

**parse-ast-translation**  $\langle\langle$   
 (\* rewrites (*LAM x y z. t*) => (*-cabs x* (*-cabs y* (*-cabs z t*))) \*)  
 (\* cf. *Syntax.lambda-ast-tr* from *Syntax/syn-trans.ML* \*)  
*let*  
 fun *Lambda-ast-tr* [*pats*, *body*] =  
   *Syntax.fold-ast-p -cabs* (*Syntax.unfold-ast -cargs pats*, *body*)  
   | *Lambda-ast-tr* *asts* = *raise Syntax.AST* (*Lambda-ast-tr*, *asts*);  
*in* [(*-Lambda*, *Lambda-ast-tr*)] *end*;  
 $\rangle\rangle$

**print-ast-translation**  $\langle\langle$   
 (\* rewrites (*-cabs x* (*-cabs y* (*-cabs z t*))) => (*LAM x y z. t*) \*)  
 (\* cf. *Syntax.abs-ast-tr'* from *Syntax/syn-trans.ML* \*)  
 $\rangle\rangle$

```

let
  fun cabs-ast-tr' asts =
    (case Syntax.unfold-ast-p -cabs
      (Syntax.Appl (Syntax.Constant -cabs :: asts)) of
      ([], -) => raise Syntax.AST (cabs-ast-tr', asts)
    | (xs, body) => Syntax.Appl
      [Syntax.Constant -Lambda, Syntax.fold-ast -cargs xs, body]);
in [(-cabs, cabs-ast-tr')] end;
>>

```

Dummy patterns for continuous abstraction

**translations**

$\Lambda \cdot. t \Rightarrow \text{CONST Abs-CFun } (\lambda \cdot. t)$

### 7.3 Continuous function space is pointed

**lemma** *UU-CFun*:  $\perp \in \text{CFun}$

**by** (*simp add: CFun-def inst-fun-pcpo cont-const*)

**instance**  $\rightarrow :: (\text{finite-po}, \text{finite-po}) \text{ finite-po}$

**by** (*rule typedef-finite-po [OF type-definition-CFun]*)

**instance**  $\rightarrow :: (\text{finite-po}, \text{chfin}) \text{ chfin}$

**by** (*rule typedef-chfin [OF type-definition-CFun less-CFun-def]*)

**instance**  $\rightarrow :: (\text{cpo}, \text{discrete-cpo}) \text{ discrete-cpo}$

**by** (*intro-classes (simp add: less-CFun-def Rep-CFun-inject)*)

**instance**  $\rightarrow :: (\text{cpo}, \text{pcpo}) \text{ pcpo}$

**by** (*rule typedef-pcpo [OF type-definition-CFun less-CFun-def UU-CFun]*)

**lemmas** *Rep-CFun-strict* =

*typedef-Rep-strict [OF type-definition-CFun less-CFun-def UU-CFun]*

**lemmas** *Abs-CFun-strict* =

*typedef-Abs-strict [OF type-definition-CFun less-CFun-def UU-CFun]*

function application is strict in its first argument

**lemma** *Rep-CFun-strict1* [*simp*]:  $\perp \cdot x = \perp$

**by** (*simp add: Rep-CFun-strict*)

for compatibility with old HOLCF-Version

**lemma** *inst-cfun-pcpo*:  $\perp = (\Lambda x. \perp)$

**by** (*simp add: inst-fun-pcpo [symmetric] Abs-CFun-strict*)

### 7.4 Basic properties of continuous functions

Beta-equality for continuous functions

**lemma** *Abs-CFun-inverse2*:  $\text{cont } f \implies \text{Rep-CFun } (\text{Abs-CFun } f) = f$   
**by** (*simp add: Abs-CFun-inverse CFun-def*)

**lemma** *beta-cfun* [*simp*]:  $\text{cont } f \implies (\Lambda x. f \cdot x) \cdot u = f \cdot u$   
**by** (*simp add: Abs-CFun-inverse2*)

Eta-equality for continuous functions

**lemma** *eta-cfun*:  $(\Lambda x. f \cdot x) = f$   
**by** (*rule Rep-CFun-inverse*)

Extensionality for continuous functions

**lemma** *expand-cfun-eq*:  $(f = g) = (\forall x. f \cdot x = g \cdot x)$   
**by** (*simp add: Rep-CFun-inject [symmetric] expand-fun-eq*)

**lemma** *ext-cfun*:  $(\bigwedge x. f \cdot x = g \cdot x) \implies f = g$   
**by** (*simp add: expand-cfun-eq*)

Extensionality wrt. ordering for continuous functions

**lemma** *expand-cfun-less*:  $f \sqsubseteq g = (\forall x. f \cdot x \sqsubseteq g \cdot x)$   
**by** (*simp add: less-CFun-def expand-fun-less*)

**lemma** *less-cfun-ext*:  $(\bigwedge x. f \cdot x \sqsubseteq g \cdot x) \implies f \sqsubseteq g$   
**by** (*simp add: expand-cfun-less*)

Congruence for continuous function application

**lemma** *cfun-cong*:  $\llbracket f = g; x = y \rrbracket \implies f \cdot x = g \cdot y$   
**by** *simp*

**lemma** *cfun-fun-cong*:  $f = g \implies f \cdot x = g \cdot x$   
**by** *simp*

**lemma** *cfun-arg-cong*:  $x = y \implies f \cdot x = f \cdot y$   
**by** *simp*

## 7.5 Continuity of application

**lemma** *cont-Rep-CFun1*:  $\text{cont } (\lambda f. f \cdot x)$   
**by** (*rule cont-Rep-CFun [THEN cont2cont-fun]*)

**lemma** *cont-Rep-CFun2*:  $\text{cont } (\lambda x. f \cdot x)$   
**apply** (*cut-tac x=f in Rep-CFun*)  
**apply** (*simp add: CFun-def*)  
**done**

**lemmas** *monofun-Rep-CFun* = *cont-Rep-CFun* [*THEN cont2mono*]

**lemmas** *conthub-Rep-CFun* = *cont-Rep-CFun* [*THEN cont2conthub*]

**lemmas** *monofun-Rep-CFun1* = *cont-Rep-CFun1* [*THEN cont2mono, standard*]

**lemmas** *conthub-Rep-CFun1* = *cont-Rep-CFun1* [*THEN cont2conthub, standard*]

**lemmas** *monofun-Rep-CFun2* = *cont-Rep-CFun2* [THEN *cont2mono*, *standard*]  
**lemmas** *contlub-Rep-CFun2* = *cont-Rep-CFun2* [THEN *cont2contlub*, *standard*]

contlub, cont properties of *Rep-CFun* in each argument

**lemma** *contlub-cfun-arg*:  $\text{chain } Y \implies f \cdot (\text{lub } (\text{range } Y)) = (\bigsqcup i. f \cdot (Y i))$   
**by** (rule *contlub-Rep-CFun2* [THEN *contlubE*])

**lemma** *cont-cfun-arg*:  $\text{chain } Y \implies \text{range } (\lambda i. f \cdot (Y i)) <<| f \cdot (\text{lub } (\text{range } Y))$   
**by** (rule *cont-Rep-CFun2* [THEN *contE*])

**lemma** *contlub-cfun-fun*:  $\text{chain } F \implies \text{lub } (\text{range } F) \cdot x = (\bigsqcup i. F i \cdot x)$   
**by** (rule *contlub-Rep-CFun1* [THEN *contlubE*])

**lemma** *cont-cfun-fun*:  $\text{chain } F \implies \text{range } (\lambda i. F i \cdot x) <<| \text{lub } (\text{range } F) \cdot x$   
**by** (rule *cont-Rep-CFun1* [THEN *contE*])

monotonicity of application

**lemma** *monofun-cfun-fun*:  $f \sqsubseteq g \implies f \cdot x \sqsubseteq g \cdot x$   
**by** (simp add: *expand-cfun-less*)

**lemma** *monofun-cfun-arg*:  $x \sqsubseteq y \implies f \cdot x \sqsubseteq f \cdot y$   
**by** (rule *monofun-Rep-CFun2* [THEN *monofunE*])

**lemma** *monofun-cfun*:  $\llbracket f \sqsubseteq g; x \sqsubseteq y \rrbracket \implies f \cdot x \sqsubseteq g \cdot y$   
**by** (rule *trans-less* [OF *monofun-cfun-fun monofun-cfun-arg*])

ch2ch - rules for the type  $'a \rightarrow 'b$

**lemma** *chain-monofun*:  $\text{chain } Y \implies \text{chain } (\lambda i. f \cdot (Y i))$   
**by** (erule *monofun-Rep-CFun2* [THEN *ch2ch-monofun*])

**lemma** *ch2ch-Rep-CFunR*:  $\text{chain } Y \implies \text{chain } (\lambda i. f \cdot (Y i))$   
**by** (rule *monofun-Rep-CFun2* [THEN *ch2ch-monofun*])

**lemma** *ch2ch-Rep-CFunL*:  $\text{chain } F \implies \text{chain } (\lambda i. (F i) \cdot x)$   
**by** (rule *monofun-Rep-CFun1* [THEN *ch2ch-monofun*])

**lemma** *ch2ch-Rep-CFun* [simp]:  
 $\llbracket \text{chain } F; \text{chain } Y \rrbracket \implies \text{chain } (\lambda i. (F i) \cdot (Y i))$   
**by** (simp add: *chain-def monofun-cfun*)

**lemma** *ch2ch-LAM* [simp]:  
 $\llbracket \bigwedge x. \text{chain } (\lambda i. S i x); \bigwedge i. \text{cont } (\lambda x. S i x) \rrbracket \implies \text{chain } (\lambda i. \bigwedge x. S i x)$   
**by** (simp add: *chain-def expand-cfun-less*)

contlub, cont properties of *Rep-CFun* in both arguments

**lemma** *contlub-cfun*:  
 $\llbracket \text{chain } F; \text{chain } Y \rrbracket \implies (\bigsqcup i. F i) \cdot (\bigsqcup i. Y i) = (\bigsqcup i. F i \cdot (Y i))$   
**by** (simp add: *contlub-cfun-fun contlub-cfun-arg diag-lub*)

**lemma** *cont-cfun*:

$\llbracket \text{chain } F; \text{chain } Y \rrbracket \implies \text{range } (\lambda i. F\ i \cdot (Y\ i)) < < | (\bigsqcup i. F\ i) \cdot (\bigsqcup i. Y\ i)$   
 apply (rule thelubE)  
 apply (simp only: ch2ch-Rep-CFun)  
 apply (simp only: contrlub-cfun)  
 done

**lemma** *contrlub-LAM*:

$\llbracket \bigwedge x. \text{chain } (\lambda i. F\ i\ x); \bigwedge i. \text{cont } (\lambda x. F\ i\ x) \rrbracket$   
 $\implies (\bigwedge x. \bigsqcup i. F\ i\ x) = (\bigsqcup i. \bigwedge x. F\ i\ x)$   
 apply (simp add: thelub-CFun)  
 apply (simp add: Abs-CFun-inverse2)  
 apply (simp add: thelub-fun ch2ch-lambda)  
 done

**lemmas** *lub-distrib* =

*contrlub-cfun* [symmetric]  
*contrlub-LAM* [symmetric]

strictness

**lemma** *strictI*:  $f \cdot x = \perp \implies f \cdot \perp = \perp$   
 apply (rule UU-I)  
 apply (erule subst)  
 apply (rule minimal [THEN monofun-cfun-arg])  
 done

the lub of a chain of continuous functions is monotone

**lemma** *lub-cfun-mono*:  $\text{chain } F \implies \text{monofun } (\lambda x. \bigsqcup i. F\ i\ x)$   
 apply (erule ch2ch-monofun [OF monofun-Rep-CFun])  
 apply (simp add: thelub-fun [symmetric])  
 apply (erule monofun-lub-fun)  
 apply (simp add: monofun-Rep-CFun2)  
 done

a lemma about the exchange of lubs for type  $'a \rightarrow 'b$

**lemma** *ex-lub-cfun*:

$\llbracket \text{chain } F; \text{chain } Y \rrbracket \implies (\bigsqcup j. \bigsqcup i. F\ j \cdot (Y\ i)) = (\bigsqcup i. \bigsqcup j. F\ j \cdot (Y\ i))$   
 by (simp add: diag-lub)

the lub of a chain of cont. functions is continuous

**lemma** *cont-lub-cfun*:  $\text{chain } F \implies \text{cont } (\lambda x. \bigsqcup i. F\ i\ x)$   
 apply (rule cont2cont-lub)  
 apply (erule monofun-Rep-CFun [THEN ch2ch-monofun])  
 apply (rule cont-Rep-CFun2)  
 done

type  $'a \rightarrow 'b$  is chain complete



**lemma** *lub-cfun*:  $\text{chain } F \implies \text{range } F <<| (\Lambda x. \bigsqcup i. F i \cdot x)$   
**by** (*simp only*: *contlub-cfun-fun* [*symmetric*] *eta-cfun thelubE*)

**lemma** *thelub-cfun*:  $\text{chain } F \implies \text{lub } (\text{range } F) = (\Lambda x. \bigsqcup i. F i \cdot x)$   
**by** (*rule lub-cfun* [*THEN thelubI*])

## 7.6 Continuity simplification procedure

cont2cont lemma for *Rep-CFun*

**lemma** *cont2cont-Rep-CFun*:  
 $\llbracket \text{cont } f; \text{cont } t \rrbracket \implies \text{cont } (\lambda x. (f x) \cdot (t x))$   
**by** (*best intro*: *cont2cont-app2 cont-const cont-Rep-CFun cont-Rep-CFun2*)

cont2mono Lemma for  $\lambda x. \Lambda y. c1 x y$

**lemma** *cont2mono-LAM*:  
**assumes** *p1*:  $\llbracket !x. \text{cont}(c1 x) \rrbracket$   
**assumes** *p2*:  $\llbracket !y. \text{monofun}(\%x. c1 x y) \rrbracket$   
**shows**  $\text{monofun}(\%x. \text{LAM } y. c1 x y)$   
**apply** (*rule monofunI*)  
**apply** (*rule less-cfun-ext*)  
**apply** (*simp add*: *p1*)  
**apply** (*erule p2* [*THEN monofunE*])  
**done**

cont2cont Lemma for  $\lambda x. \Lambda y. c1 x y$

**lemma** *cont2cont-LAM*:  
**assumes** *p1*:  $\llbracket !x. \text{cont}(c1 x) \rrbracket$   
**assumes** *p2*:  $\llbracket !y. \text{cont}(\%x. c1 x y) \rrbracket$   
**shows**  $\text{cont}(\%x. \text{LAM } y. c1 x y)$   
**apply** (*rule cont-Abs-CFun*)  
**apply** (*simp add*: *p1 CFun-def*)  
**apply** (*simp add*: *p2 cont2cont-lambda*)  
**done**

continuity simplification procedure

**lemmas** *cont-lemmas1* =  
*cont-const cont-id cont-Rep-CFun2 cont2cont-Rep-CFun cont2cont-LAM*

**use** *Tools/cont-proc.ML*  
**setup** *ContProc.setup*

## 7.7 Miscellaneous

Monotonicity of *Abs-CFun*

**lemma** *semi-monofun-Abs-CFun*:  
 $\llbracket \text{cont } f; \text{cont } g; f \sqsubseteq g \rrbracket \implies \text{Abs-CFun } f \sqsubseteq \text{Abs-CFun } g$   
**by** (*simp add*: *less-CFun-def Abs-CFun-inverse2*)

some lemmata for functions with flat/chfin domain/range types

```

lemma chfin-Rep-CFunR: chain (Y::nat => 'a::cpo->'b::chfin)
  ==> !s. ? n. lub(range(Y))$s = Y n$s
apply (rule allI)
apply (subst contlub-cfun-fun)
apply assumption
apply (fast intro!: thelubI chfin lub-finch2 chfin2finch ch2ch-Rep-CFunL)
done

```

```

lemma adm-chfindom: adm ( $\lambda(u::'a::cpo \rightarrow 'b::chfin). P(u \cdot s)$ )
by (rule adm-subst, simp, rule adm-chfin)

```

## 7.8 Continuous injection-retraction pairs

Continuous retractions are strict.

```

lemma retraction-strict:
   $\forall x. f \cdot (g \cdot x) = x \implies f \cdot \perp = \perp$ 
apply (rule UU-I)
apply (drule-tac  $x = \perp$  in spec)
apply (erule subst)
apply (rule monofun-cfun-arg)
apply (rule minimal)
done

```

```

lemma injection-eq:
   $\forall x. f \cdot (g \cdot x) = x \implies (g \cdot x = g \cdot y) = (x = y)$ 
apply (rule iffI)
apply (drule-tac  $f = f$  in cfun-arg-cong)
apply simp
apply simp
done

```

```

lemma injection-less:
   $\forall x. f \cdot (g \cdot x) = x \implies (g \cdot x \sqsubseteq g \cdot y) = (x \sqsubseteq y)$ 
apply (rule iffI)
apply (drule-tac  $f = f$  in monofun-cfun-arg)
apply simp
apply (erule monofun-cfun-arg)
done

```

```

lemma injection-defined-rev:
   $\llbracket \forall x. f \cdot (g \cdot x) = x; g \cdot z = \perp \rrbracket \implies z = \perp$ 
apply (drule-tac  $f = f$  in cfun-arg-cong)
apply (simp add: retraction-strict)
done

```

```

lemma injection-defined:
   $\llbracket \forall x. f \cdot (g \cdot x) = x; z \neq \perp \rrbracket \implies g \cdot z \neq \perp$ 

```

by (erule contrapos-nn, rule injection-defined-rev)

propagation of flatness and chain-finiteness by retractions

**lemma** *chfin2chfin*:

$$\begin{aligned} & \forall y. (f::'a::chfin \rightarrow 'b).(g \cdot y) = y \\ & \implies \forall Y::nat \Rightarrow 'b. chain\ Y \longrightarrow (\exists n. max-in-chain\ n\ Y) \end{aligned}$$

apply clarify

apply (drule-tac  $f=g$  in chain-monofun)

apply (drule chfin)

apply (unfold max-in-chain-def)

apply (simp add: injection-eq)

done

**lemma** *flat2flat*:

$$\begin{aligned} & \forall y. (f::'a::flat \rightarrow 'b::pcpo).(g \cdot y) = y \\ & \implies \forall x\ y::'b. x \sqsubseteq y \longrightarrow x = \perp \vee x = y \end{aligned}$$

apply clarify

apply (drule-tac  $f=g$  in monofun-cfun-arg)

apply (drule ax-flat)

apply (erule disjE)

apply (simp add: injection-defined-rev)

apply (simp add: injection-eq)

done

a result about functions with flat codomain

**lemma** *flat-eqI*:  $\llbracket (x::'a::flat) \sqsubseteq y; x \neq \perp \rrbracket \implies x = y$

by (drule ax-flat, simp)

**lemma** *flat-codom*:

$$f \cdot x = (c::'b::flat) \implies f \cdot \perp = \perp \vee (\forall z. f \cdot z = c)$$

apply (case-tac  $f \cdot x = \perp$ )

apply (rule disjI1)

apply (rule UU-I)

apply (erule-tac  $t=\perp$  in subst)

apply (rule minimal [THEN monofun-cfun-arg])

apply clarify

apply (rule-tac  $a = f \cdot \perp$  in refl [THEN box-equals])

apply (erule minimal [THEN monofun-cfun-arg, THEN flat-eqI])

apply (erule minimal [THEN monofun-cfun-arg, THEN flat-eqI])

done

## 7.9 Identity and composition

**definition**

$$\begin{aligned} ID &:: 'a \rightarrow 'a \text{ where} \\ ID &= (\Lambda x. x) \end{aligned}$$

**definition**

$$cfcomp :: ('b \rightarrow 'c) \rightarrow ('a \rightarrow 'b) \rightarrow 'a \rightarrow 'c \text{ where}$$

*oo-def*:  $cfcomp = (\Lambda f g x. f \cdot (g \cdot x))$

#### abbreviation

*cfcomp-syn* ::  $[ 'b \rightarrow 'c, 'a \rightarrow 'b ] \Rightarrow 'a \rightarrow 'c$  (**infixr** *oo* 100) **where**  
 $f \text{ oo } g == cfcomp \cdot f \cdot g$

**lemma** *ID1* [*simp*]:  $ID \cdot x = x$   
**by** (*simp add: ID-def*)

**lemma** *cfcomp1*:  $(f \text{ oo } g) = (\Lambda x. f \cdot (g \cdot x))$   
**by** (*simp add: oo-def*)

**lemma** *cfcomp2* [*simp*]:  $(f \text{ oo } g) \cdot x = f \cdot (g \cdot x)$   
**by** (*simp add: cfcomp1*)

**lemma** *cfcomp-strict* [*simp*]:  $\perp \text{ oo } f = \perp$   
**by** (*simp add: expand-cfun-eq*)

Show that interpretation of (pcpo,  $\rightarrow$ ) is a category. The class of objects is interpretation of syntactical class pcpo. The class of arrows between objects  $'a$  and  $'b$  is interpret. of  $'a \rightarrow 'b$ . The identity arrow is interpretation of *ID*. The composition of *f* and *g* is interpretation of *oo*.

**lemma** *ID2* [*simp*]:  $f \text{ oo } ID = f$   
**by** (*rule ext-cfun, simp*)

**lemma** *ID3* [*simp*]:  $ID \text{ oo } f = f$   
**by** (*rule ext-cfun, simp*)

**lemma** *assoc-oo*:  $f \text{ oo } (g \text{ oo } h) = (f \text{ oo } g) \text{ oo } h$   
**by** (*rule ext-cfun, simp*)

## 7.10 Strictified functions

**defaultsort** *pcpo*

#### definition

*strictify* ::  $('a \rightarrow 'b) \rightarrow 'a \rightarrow 'b$  **where**  
 $strictify = (\Lambda f x. \text{if } x = \perp \text{ then } \perp \text{ else } f \cdot x)$

results about *strictify*

**lemma** *cont-strictify1*:  $\text{cont } (\lambda f. \text{if } x = \perp \text{ then } \perp \text{ else } f \cdot x)$   
**by** (*simp add: cont-if*)

**lemma** *monofun-strictify2*:  $\text{monofun } (\lambda x. \text{if } x = \perp \text{ then } \perp \text{ else } f \cdot x)$   
**apply** (*rule monofunI*)  
**apply** (*auto simp add: monofun-cfun-arg*)  
**done**

```

lemma contlub-strictify2: contlub ( $\lambda x. \text{if } x = \perp \text{ then } \perp \text{ else } f \cdot x$ )
apply (rule contlubI)
apply (case-tac lub (range Y) =  $\perp$ )
apply (drule (1) chain-UU-I)
apply simp
apply (simp del: if-image-distrib)
apply (simp only: contlub-cfun-arg)
apply (rule lub-equal2)
apply (rule chain-mono2 [THEN exE])
apply (erule chain-UU-I-inverse2)
apply (assumption)
apply (rule-tac  $x=x$  in exI, clarsimp)
apply (erule chain-monofun)
apply (erule monofun-strictify2 [THEN ch2ch-monofun])
done

lemmas cont-strictify2 =
  monocontlub2cont [OF monofun-strictify2 contlub-strictify2, standard]

lemma strictify-conv-if: strictify  $\cdot f \cdot x = (\text{if } x = \perp \text{ then } \perp \text{ else } f \cdot x)$ 
by (unfold strictify-def, simp add: cont-strictify1 cont-strictify2)

lemma strictify1 [simp]: strictify  $\cdot f \cdot \perp = \perp$ 
by (simp add: strictify-conv-if)

lemma strictify2 [simp]:  $x \neq \perp \implies \text{strictify} \cdot f \cdot x = f \cdot x$ 
by (simp add: strictify-conv-if)

7.11 Continuous let-bindings

definition
  CLet ::  $'a \rightarrow ('a \rightarrow 'b) \rightarrow 'b$  where
    CLet = ( $\Lambda s f. f \cdot s$ )

syntax
  -CLet :: [letbinds,  $'a$ ] =>  $'a ((\text{Let } (-) / \text{in } (-)) 10)$ 

translations
  -CLet (-binds b bs) e == -CLet b (-CLet bs e)
  Let x = a in e == CONST CLet  $\cdot a \cdot (\Lambda x. e)$ 

end

```

## 8 Bifinite: Bifinite domains and approximation

```

theory Bifinite
imports Cfun
begin

```

## 8.1 Omega-profinite and bifinite domains

```

class profinite = cpo +
  fixes approx :: nat ⇒ 'a → 'a
  assumes chain-approx-app: chain (λi. approx i·x)
  assumes lub-approx-app [simp]: (⋒ i. approx i·x) = x
  assumes approx-idem: approx i·(approx i·x) = approx i·x
  assumes finite-fixes-approx: finite {x. approx i·x = x}

```

```

class bifinite = profinite + pcpo

```

```

lemma finite-range-imp-finite-fixes:
  finite {x. ∃ y. x = f y} ⇒ finite {x. f x = x}
apply (subgoal-tac {x. f x = x} ⊆ {x. ∃ y. x = f y})
apply (erule (1) finite-subset)
apply (clarify, erule subst, rule exI, rule refl)
done

```

```

lemma chain-approx [simp]:
  chain (approx :: nat ⇒ 'a::profinite → 'a)
apply (rule chainI)
apply (rule less-cfun-ext)
apply (rule chainE)
apply (rule chain-approx-app)
done

```

```

lemma lub-approx [simp]: (⋒ i. approx i) = (Λ(x::'a::profinite). x)
by (rule ext-cfun, simp add: contlub-cfun-fun)

```

```

lemma approx-less: approx i·x ⊆ (x::'a::profinite)
apply (subgoal-tac approx i·x ⊆ (⋒ i. approx i·x), simp)
apply (rule is-ub-the lub, simp)
done

```

```

lemma approx-strict [simp]: approx i·(⊥::'a::bifinite) = ⊥
by (rule UU-I, rule approx-less)

```

```

lemma approx-approx1:
  i ≤ j ⇒ approx i·(approx j·x) = approx i·(x::'a::profinite)
apply (rule antisym-less)
apply (rule monofun-cfun-arg [OF approx-less])
apply (rule sq-ord-eq-less-trans [OF approx-idem [symmetric]])
apply (rule monofun-cfun-arg)
apply (rule monofun-cfun-fun)
apply (erule chain-mono [OF chain-approx])
done

```

```

lemma approx-approx2:
  j ≤ i ⇒ approx i·(approx j·x) = approx j·(x::'a::profinite)
apply (rule antisym-less)

```

```

apply (rule approx-less)
apply (rule sq-ord-eq-less-trans [OF approx-idem [symmetric]])
apply (rule monofun-cfun-fun)
apply (erule chain-mono [OF chain-approx])
done

```

```

lemma approx-approx [simp]:
  approx i.(approx j.x) = approx (min i j).(x::'a::profinite)
apply (rule-tac x=i and y=j in linorder-le-cases)
apply (simp add: approx-approx1 min-def)
apply (simp add: approx-approx2 min-def)
done

```

```

lemma idem-fixes-eq-range:
   $\forall x. f (f x) = f x \implies \{x. f x = x\} = \{y. \exists x. y = f x\}$ 
by (auto simp add: eq-sym-conv)

```

```

lemma finite-approx: finite {y::'a::profinite.  $\exists x. y = \text{approx } n \cdot x$ }
using finite-fixes-approx by (simp add: idem-fixes-eq-range)

```

```

lemma finite-range-approx:
  finite (range ( $\lambda x::'a::profinite. \text{approx } n \cdot x$ ))
by (simp add: image-def finite-approx)

```

```

lemma compact-approx [simp]:
  fixes x :: 'a::profinite
  shows compact (approx n.x)
proof (rule compactI2)
  fix Y::nat  $\Rightarrow$  'a
  assume Y: chain Y
  have finite-chain ( $\lambda i. \text{approx } n \cdot (Y i)$ )
  proof (rule finite-range-imp-finch)
    show chain ( $\lambda i. \text{approx } n \cdot (Y i)$ )
    using Y by simp
    have range ( $\lambda i. \text{approx } n \cdot (Y i)$ )  $\subseteq \{x. \text{approx } n \cdot x = x\}$ 
    by clarsimp
    thus finite (range ( $\lambda i. \text{approx } n \cdot (Y i)$ ))
    using finite-fixes-approx by (rule finite-subset)
  qed
  hence  $\exists j. (\bigsqcup i. \text{approx } n \cdot (Y i)) = \text{approx } n \cdot (Y j)$ 
  by (simp add: finite-chain-def maxinch-is-thelub Y)
  then obtain j where j:  $(\bigsqcup i. \text{approx } n \cdot (Y i)) = \text{approx } n \cdot (Y j) \dots$ 

```

```

  assume approx n.x  $\sqsubseteq (\bigsqcup i. Y i)$ 
  hence approx n.(approx n.x)  $\sqsubseteq \text{approx } n \cdot (\bigsqcup i. Y i)$ 
  by (rule monofun-cfun-arg)
  hence approx n.x  $\sqsubseteq (\bigsqcup i. \text{approx } n \cdot (Y i))$ 
  by (simp add: contlub-cfun-arg Y)
  hence approx n.x  $\sqsubseteq \text{approx } n \cdot (Y j)$ 

```

```

    using j by simp
  hence  $\text{approx } n \cdot x \sqsubseteq Y j$ 
    using approx-less by (rule trans-less)
  thus  $\exists j. \text{approx } n \cdot x \sqsubseteq Y j$  ..
qed

```

```

lemma bifinite-compact-eq-approx:
  fixes x :: 'a::profinite
  assumes x: compact x
  shows  $\exists i. \text{approx } i \cdot x = x$ 
proof -
  have chain: chain ( $\lambda i. \text{approx } i \cdot x$ ) by simp
  have less:  $x \sqsubseteq (\bigsqcup i. \text{approx } i \cdot x)$  by simp
  obtain i where  $i: x \sqsubseteq \text{approx } i \cdot x$ 
    using compactD2 [OF x chain less] ..
  with approx-less have  $\text{approx } i \cdot x = x$ 
    by (rule antisym-less)
  thus  $\exists i. \text{approx } i \cdot x = x$  ..
qed

```

```

lemma bifinite-compact-iff:
  compact (x :: 'a::profinite) = ( $\exists n. \text{approx } n \cdot x = x$ )
apply (rule iffI)
apply (erule bifinite-compact-eq-approx)
apply (erule exE)
apply (erule subst)
apply (rule compact-approx)
done

```

```

lemma approx-induct:
  assumes adm: adm P and P:  $\bigwedge n x. P (\text{approx } n \cdot x)$ 
  shows P (x :: 'a::profinite)
proof -
  have P ( $\bigsqcup n. \text{approx } n \cdot x$ )
    by (rule admD [OF adm], simp, simp add: P)
  thus P x by simp
qed

```

```

lemma bifinite-less-ext:
  fixes x y :: 'a::profinite
  shows  $(\bigwedge i. \text{approx } i \cdot x \sqsubseteq \text{approx } i \cdot y) \implies x \sqsubseteq y$ 
apply (subgoal-tac ( $\bigsqcup i. \text{approx } i \cdot x \sqsubseteq (\bigsqcup i. \text{approx } i \cdot y)$ ), simp)
apply (rule lub-mono, simp, simp, simp)
done

```

## 8.2 Instance for continuous function space

```

lemma finite-range-lemma:
  fixes h :: 'a::cpo  $\rightarrow$  'b::cpo

```



```

fixes  $k :: 'c::cpo \rightarrow 'd::cpo$ 
shows  $\llbracket \text{finite } \{y. \exists x. y = h \cdot x\}; \text{finite } \{y. \exists x. y = k \cdot x\} \rrbracket$ 
   $\implies \text{finite } \{g. \exists f. g = (\Lambda x. k \cdot (f \cdot (h \cdot x)))\}$ 
apply (rule-tac  $f = \lambda g. \{(h \cdot x, y) \mid x y. y = g \cdot x\}$  in finite-imageD)
apply (rule-tac  $B = \text{Pow } (\{y. \exists x. y = h \cdot x\} \times \{y. \exists x. y = k \cdot x\})$ 
  in finite-subset)
apply (rule image-subsetI)
apply (clarsimp, fast)
apply simp
apply (rule inj-onI)
apply (clarsimp simp add: expand-set-eq)
apply (rule ext-cfun, simp)
apply (drule-tac  $x = h \cdot x$  in spec)
apply (drule-tac  $x = k \cdot (f \cdot (h \cdot x))$  in spec)
apply (drule iffD1, fast)
apply clarsimp
done

```

```

instantiation  $\rightarrow :: (\text{profinite}, \text{profinite}) \text{profinite}$ 
begin

```

```

definition

```

```

  approx-cfun-def:
  approx =  $(\lambda n. \Lambda f x. \text{approx } n \cdot (f \cdot (\text{approx } n \cdot x)))$ 

```

```

instance

```

```

apply (intro-classes, unfold approx-cfun-def)
apply simp
apply (simp add: lub-distrib eta-cfun)
apply simp
apply simp
apply (rule finite-range-imp-finite-fixes)
apply (intro finite-range-lemma finite-approx)
done

```

```

end

```

```

instance  $\rightarrow :: (\text{profinite}, \text{bifinite}) \text{bifinite} ..$ 

```

```

lemma approx-cfun:  $\text{approx } n \cdot f \cdot x = \text{approx } n \cdot (f \cdot (\text{approx } n \cdot x))$ 
by (simp add: approx-cfun-def)

```

```

end

```

## 9 Cprod: The cpo of cartesian products

```

theory Cprod
imports Bifinite

```

**begin**

**defaultsort** *cpo*

### 9.1 Type *unit* is a **pcpo**

**instantiation** *unit* :: *sq-ord*

**begin**

**definition**

*less-unit-def* [*simp*]:  $x \sqsubseteq (y::unit) \equiv \text{True}$

**instance** ..

**end**

**instance** *unit* :: *discrete-cpo*

**by** *intro-classes simp*

**instance** *unit* :: *finite-po* ..

**instance** *unit* :: *pcpo*

**by** *intro-classes simp*

**definition**

*unit-when* ::  $'a \rightarrow unit \rightarrow 'a$  **where**

*unit-when* =  $(\Lambda a \cdot a)$

**translations**

$\Lambda(). t == \text{CONST } unit\text{-when} \cdot t$

**lemma** *unit-when* [*simp*]:  $unit\text{-when} \cdot a \cdot u = a$

**by** (*simp add: unit-when-def*)

### 9.2 Product type is a partial order

**instantiation** \* :: (*sq-ord*, *sq-ord*) *sq-ord*

**begin**

**definition**

*less-cprod-def*:  $(op \sqsubseteq) \equiv \lambda p1\ p2. (fst\ p1 \sqsubseteq fst\ p2 \wedge snd\ p1 \sqsubseteq snd\ p2)$

**instance** ..

**end**

**instance** \* :: (*po*, *po*) *po*

**proof**

**fix** *x* ::  $'a \times 'b$

**show**  $x \sqsubseteq x$

**unfolding** *less-cprod-def* **by** *simp*

**next**

```

fix x y :: 'a × 'b
assume x ⊆ y y ⊆ x thus x = y
  unfolding less-cprod-def Pair-fst-snd-eq
  by (fast intro: antisym-less)
next
  fix x y z :: 'a × 'b
  assume x ⊆ y y ⊆ z thus x ⊆ z
    unfolding less-cprod-def
    by (fast intro: trans-less)
qed

```

### 9.3 Monotonicity of $(-, -)$ , $\text{fst}$ , $\text{snd}$

```

lemma prod-lessI:  $\llbracket \text{fst } p \subseteq \text{fst } q; \text{snd } p \subseteq \text{snd } q \rrbracket \implies p \subseteq q$ 
unfolding less-cprod-def by simp

```

```

lemma Pair-less-iff [simp]:  $(a, b) \subseteq (c, d) = (a \subseteq c \wedge b \subseteq d)$ 
unfolding less-cprod-def by simp

```

Pair  $(-, -)$  is monotone in both arguments

```

lemma monofun-pair1: monofun  $(\lambda x. (x, y))$ 
by (simp add: monofun-def)

```

```

lemma monofun-pair2: monofun  $(\lambda y. (x, y))$ 
by (simp add: monofun-def)

```

```

lemma monofun-pair:
   $\llbracket x1 \subseteq x2; y1 \subseteq y2 \rrbracket \implies (x1, y1) \subseteq (x2, y2)$ 
by simp

```

$\text{fst}$  and  $\text{snd}$  are monotone

```

lemma monofun-fst: monofun  $\text{fst}$ 
by (simp add: monofun-def less-cprod-def)

```

```

lemma monofun-snd: monofun  $\text{snd}$ 
by (simp add: monofun-def less-cprod-def)

```

### 9.4 Product type is a cpo

```

lemma is-lub-Pair:
   $\llbracket \text{range } X <<| x; \text{range } Y <<| y \rrbracket \implies \text{range } (\lambda i. (X i, Y i)) <<| (x, y)$ 
apply (rule is-lubI [OF ub-rangeI])
apply (simp add: less-cprod-def is-ub-lub)
apply (frule ub2ub-monofun [OF monofun-fst])
apply (drule ub2ub-monofun [OF monofun-snd])
apply (simp add: less-cprod-def is-lub-lub)
done

```

```

lemma lub-cprod:

```

```

fixes  $S :: \text{nat} \Rightarrow ('a::\text{cpo} \times 'b::\text{cpo})$ 
assumes  $S: \text{chain } S$ 
shows  $\text{range } S <<| (\bigsqcup i. \text{fst } (S \ i), \bigsqcup i. \text{snd } (S \ i))$ 
proof –
  have  $\text{chain } (\lambda i. \text{fst } (S \ i))$ 
    using  $\text{monofun-fst } S$  by ( $\text{rule ch2ch-monofun}$ )
  hence  $1: \text{range } (\lambda i. \text{fst } (S \ i)) <<| (\bigsqcup i. \text{fst } (S \ i))$ 
    by ( $\text{rule cpo-lubI}$ )
  have  $\text{chain } (\lambda i. \text{snd } (S \ i))$ 
    using  $\text{monofun-snd } S$  by ( $\text{rule ch2ch-monofun}$ )
  hence  $2: \text{range } (\lambda i. \text{snd } (S \ i)) <<| (\bigsqcup i. \text{snd } (S \ i))$ 
    by ( $\text{rule cpo-lubI}$ )
  show  $\text{range } S <<| (\bigsqcup i. \text{fst } (S \ i), \bigsqcup i. \text{snd } (S \ i))$ 
    using  $\text{is-lub-Pair } [OF \ 1 \ 2]$  by  $\text{simp}$ 
qed

```

```

lemma  $\text{thelub-cprod}$ :
   $\text{chain } (S::\text{nat} \Rightarrow 'a::\text{cpo} \times 'b::\text{cpo})$ 
   $\implies \text{lub } (\text{range } S) = (\bigsqcup i. \text{fst } (S \ i), \bigsqcup i. \text{snd } (S \ i))$ 
by ( $\text{rule lub-cprod } [THEN \ \text{thelubI}]$ )

```

```

instance  $*$   $:: (\text{cpo}, \text{cpo}) \text{cpo}$ 
proof
  fix  $S :: \text{nat} \Rightarrow ('a \times 'b)$ 
  assume  $\text{chain } S$ 
  hence  $\text{range } S <<| (\bigsqcup i. \text{fst } (S \ i), \bigsqcup i. \text{snd } (S \ i))$ 
    by ( $\text{rule lub-cprod}$ )
  thus  $\exists x. \text{range } S <<| x \ ..$ 
qed

```

```

instance  $*$   $:: (\text{finite-po}, \text{finite-po}) \text{finite-po} \ ..$ 

```

```

instance  $*$   $:: (\text{discrete-cpo}, \text{discrete-cpo}) \text{discrete-cpo}$ 
proof
  fix  $x \ y :: 'a \times 'b$ 
  show  $x \sqsubseteq y \longleftrightarrow x = y$ 
    unfolding  $\text{less-cprod-def Pair-fst-snd-eq}$ 
    by  $\text{simp}$ 
qed

```

## 9.5 Product type is pointed

```

lemma  $\text{minimal-cprod}$ :  $(\perp, \perp) \sqsubseteq p$ 
by ( $\text{simp add: less-cprod-def}$ )

```

```

instance  $*$   $:: (\text{pcpo}, \text{pcpo}) \text{pcpo}$ 
by  $\text{intro-classes } (\text{fast intro: minimal-cprod})$ 

```

```

lemma  $\text{inst-cprod-pcpo}$ :  $\perp = (\perp, \perp)$ 

```

by (rule minimal-cprod [THEN UU-I, symmetric])

## 9.6 Continuity of $(-, -)$ , $\text{fst}$ , $\text{snd}$

```
lemma cont-pair1: cont ( $\lambda x. (x, y)$ )
  apply (rule contI)
  apply (rule is-lub-Pair)
  apply (erule cpo-lubI)
  apply (rule lub-const)
done
```

```
lemma cont-pair2: cont ( $\lambda y. (x, y)$ )
  apply (rule contI)
  apply (rule is-lub-Pair)
  apply (rule lub-const)
  apply (erule cpo-lubI)
done
```

```
lemma contlub-fst: contlub fst
  apply (rule contlubI)
  apply (simp add: thelub-cprod)
done
```

```
lemma contlub-snd: contlub snd
  apply (rule contlubI)
  apply (simp add: thelub-cprod)
done
```

```
lemma cont-fst: cont fst
  apply (rule monocontlub2cont)
  apply (rule monofun-fst)
  apply (rule contlub-fst)
done
```

```
lemma cont-snd: cont snd
  apply (rule monocontlub2cont)
  apply (rule monofun-snd)
  apply (rule contlub-snd)
done
```

## 9.7 Continuous versions of constants

### definition

$\text{cpair} :: 'a \rightarrow 'b \rightarrow ('a * 'b)$  — continuous pairing **where**  
 $\text{cpair} = (\Lambda x y. (x, y))$

### definition

$\text{cfst} :: ('a * 'b) \rightarrow 'a$  **where**  
 $\text{cfst} = (\Lambda p. \text{fst } p)$

**definition**

$csnd :: ('a * 'b) \rightarrow 'b$  **where**  
 $csnd = (\Lambda p. snd\ p)$

**definition**

$csplit :: ('a \rightarrow 'b \rightarrow 'c) \rightarrow ('a * 'b) \rightarrow 'c$  **where**  
 $csplit = (\Lambda f\ p. f \cdot (cfst \cdot p) \cdot (csnd \cdot p))$

**syntax**

$-ctuple :: [a, args] \Rightarrow 'a * 'b \ ((1<-/, ->))$

**syntax** (*xsymbols*)

$-ctuple :: [a, args] \Rightarrow 'a * 'b \ ((1\langle -, / - \rangle))$

**translations**

$\langle x, y, z \rangle == \langle x, \langle y, z \rangle \rangle$   
 $\langle x, y \rangle == CONST\ cpair \cdot x \cdot y$

**translations**

$\Lambda(CONST\ cpair \cdot x \cdot y). t == CONST\ csplit \cdot (\Lambda x\ y. t)$

**9.8 Convert all lemmas to the continuous versions**

**lemma** *cpair-eq-pair*:  $\langle x, y \rangle = (x, y)$

**by** (*simp add: cpair-def cont-pair1 cont-pair2*)

**lemma** *pair-eq-cpair*:  $(x, y) = \langle x, y \rangle$

**by** (*simp add: cpair-def cont-pair1 cont-pair2*)

**lemma** *inject-cpair*:  $\langle a, b \rangle = \langle aa, ba \rangle \implies a = aa \wedge b = ba$

**by** (*simp add: cpair-eq-pair*)

**lemma** *cpair-eq [iff]*:  $(\langle a, b \rangle = \langle a', b' \rangle) = (a = a' \wedge b = b')$

**by** (*simp add: cpair-eq-pair*)

**lemma** *cpair-less [iff]*:  $(\langle a, b \rangle \sqsubseteq \langle a', b' \rangle) = (a \sqsubseteq a' \wedge b \sqsubseteq b')$

**by** (*simp add: cpair-eq-pair less-cprod-def*)

**lemma** *cpair-defined-iff [iff]*:  $(\langle x, y \rangle = \perp) = (x = \perp \wedge y = \perp)$

**by** (*simp add: inst-cprod-pcpo cpair-eq-pair*)

**lemma** *cpair-strict [simp]*:  $\langle \perp, \perp \rangle = \perp$

**by** *simp*

**lemma** *inst-cprod-pcpo2*:  $\perp = \langle \perp, \perp \rangle$

**by** (*rule cpair-strict [symmetric]*)

**lemma** *defined-cpair-rev*:

$\langle a, b \rangle = \perp \implies a = \perp \wedge b = \perp$

**by** *simp*

**lemma** *Exh-Cprod2*:  $\exists a\ b. z = \langle a, b \rangle$   
**by** (*simp add: cpair-eq-pair*)

**lemma** *cprodE*:  $\llbracket \bigwedge x\ y. p = \langle x, y \rangle \implies Q \rrbracket \implies Q$   
**by** (*cut-tac Exh-Cprod2, auto*)

**lemma** *cfst-cpair* [*simp*]:  $cfst \cdot \langle x, y \rangle = x$   
**by** (*simp add: cpair-eq-pair cfst-def cont-fst*)

**lemma** *csnd-cpair* [*simp*]:  $csnd \cdot \langle x, y \rangle = y$   
**by** (*simp add: cpair-eq-pair csnd-def cont-snd*)

**lemma** *cfst-strict* [*simp*]:  $cfst \cdot \perp = \perp$   
**unfolding** *inst-cprod-pcpo2* **by** (*rule cfst-cpair*)

**lemma** *csnd-strict* [*simp*]:  $csnd \cdot \perp = \perp$   
**unfolding** *inst-cprod-pcpo2* **by** (*rule csnd-cpair*)

**lemma** *cpair-cfst-csnd*:  $\langle cfst \cdot p, csnd \cdot p \rangle = p$   
**by** (*cases p rule: cprodE, simp*)

**lemmas** *surjective-pairing-Cprod2* = *cpair-cfst-csnd*

**lemma** *less-cprod*:  $x \sqsubseteq y = (cfst \cdot x \sqsubseteq cfst \cdot y \wedge csnd \cdot x \sqsubseteq csnd \cdot y)$   
**by** (*simp add: less-cprod-def cfst-def csnd-def cont-fst cont-snd*)

**lemma** *eq-cprod*:  $(x = y) = (cfst \cdot x = cfst \cdot y \wedge csnd \cdot x = csnd \cdot y)$   
**by** (*auto simp add: po-eq-conv less-cprod*)

**lemma** *cfst-less-iff*:  $cfst \cdot x \sqsubseteq y = x \sqsubseteq \langle y, csnd \cdot x \rangle$   
**by** (*simp add: less-cprod*)

**lemma** *csnd-less-iff*:  $csnd \cdot x \sqsubseteq y = x \sqsubseteq \langle cfst \cdot x, y \rangle$   
**by** (*simp add: less-cprod*)

**lemma** *compact-cfst*:  $compact\ x \implies compact\ (cfst \cdot x)$   
**by** (*rule compactI, simp add: cfst-less-iff*)

**lemma** *compact-csnd*:  $compact\ x \implies compact\ (csnd \cdot x)$   
**by** (*rule compactI, simp add: csnd-less-iff*)

**lemma** *compact-cpair*:  $\llbracket compact\ x; compact\ y \rrbracket \implies compact\ \langle x, y \rangle$   
**by** (*rule compactI, simp add: less-cprod*)

**lemma** *compact-cpair-iff* [*simp*]:  $compact\ \langle x, y \rangle = (compact\ x \wedge compact\ y)$   
**apply** (*safe intro!: compact-cpair*)  
**apply** (*drule compact-cfst, simp*)

**apply** (*drule compact-csnd, simp*)  
**done**

**instance** \* :: (*chfin, chfin*) *chfin*  
**apply** *intro-classes*  
**apply** (*erule compact-imp-max-in-chain*)  
**apply** (*rule-tac p =  $\sqcup i. Y i$  in cprodE, simp*)  
**done**

**lemma** *lub-cprod2*:  
 $chain\ S \implies range\ S <<| <\sqcup i. cfst.(S\ i), \sqcup i. csnd.(S\ i)>$   
**apply** (*simp add: cpair-eq-pair cfst-def csnd-def cont-fst cont-snd*)  
**apply** (*erule lub-cprod*)  
**done**

**lemma** *thelub-cprod2*:  
 $chain\ S \implies lub\ (range\ S) = <\sqcup i. cfst.(S\ i), \sqcup i. csnd.(S\ i)>$   
**by** (*rule lub-cprod2 [THEN thelubI]*)

**lemma** *csplit1 [simp]*:  $csplit.f \cdot \perp = f \cdot \perp \cdot \perp$   
**by** (*simp add: csplit-def*)

**lemma** *csplit2 [simp]*:  $csplit.f \cdot \langle x, y \rangle = f \cdot x \cdot y$   
**by** (*simp add: csplit-def*)

**lemma** *csplit3 [simp]*:  $csplit \cdot cpair \cdot z = z$   
**by** (*simp add: csplit-def cpair-cfst-csnd*)

**lemmas** *Cprod-rews = cfst-cpair csnd-cpair csplit2*

## 9.9 Product type is a bifinite domain

**instantiation** \* :: (*profinite, profinite*) *profinite*  
**begin**

**definition**

*approx-cprod-def*:  
 $approx = (\lambda n. \Lambda \langle x, y \rangle. \langle approx\ n \cdot x, approx\ n \cdot y \rangle)$

**instance** *proof*

**fix** *i :: nat and x :: 'a × 'b*  
**show** *chain* ( $\lambda i. approx\ i \cdot x$ )  
**unfolding** *approx-cprod-def* **by** *simp*  
**show** ( $\sqcup i. approx\ i \cdot x$ ) = *x*  
**unfolding** *approx-cprod-def*  
**by** (*simp add: lub-distrib eta-cfun*)  
**show**  $approx\ i \cdot (approx\ i \cdot x) = approx\ i \cdot x$   
**unfolding** *approx-cprod-def csplit-def* **by** *simp*  
**have**  $\{x :: 'a \times 'b. approx\ i \cdot x = x\} \subseteq$



```

      {x::'a. approx i.x = x} × {x::'b. approx i.x = x}
    unfolding approx-cprod-def
    by (clarsimp simp add: pair-eq-cpair)
  thus finite {x::'a × 'b. approx i.x = x}
    by (rule finite-subset,
        intro finite-cartesian-product finite-fixes-approx)
qed

end

```

```
instance * :: (bifinite, bifinite) bifinite ..
```

```

lemma approx-cpair [simp]:
  approx i.⟨x, y⟩ = ⟨approx i.x, approx i.y⟩
unfolding approx-cprod-def by simp

```

```

lemma cfst-approx: cfst.(approx i.p) = approx i.(cfst.p)
by (cases p rule: cprodE, simp)

```

```

lemma csnd-approx: csnd.(approx i.p) = approx i.(csnd.p)
by (cases p rule: cprodE, simp)

```

```
end
```

## 10 Sprod: The type of strict products

```

theory Sprod
imports Cprod
begin

```

```
defaultsort pcpo
```

### 10.1 Definition of strict product type

```

pcpodef (Sprod) ('a, 'b) ** (infixr ** 20) =
  {p::'a × 'b. p = ⊥ ∨ (cfst.p ≠ ⊥ ∧ csnd.p ≠ ⊥)}
by simp

```

```

instance ** :: ({finite-po,pcpo}, {finite-po,pcpo}) finite-po
by (rule typedef-finite-po [OF type-definition-Sprod])

```

```

instance ** :: ({chfin,pcpo}, {chfin,pcpo}) chfin
by (rule typedef-chfin [OF type-definition-Sprod less-Sprod-def])

```

```

syntax (xsymbols)
  **      :: [type, type] => type      ((- ⊗ / -) [21,20] 20)
syntax (HTML output)
  **      :: [type, type] => type      ((- ⊗ / -) [21,20] 20)

```

**lemma** *spair-lemma*:

$\langle \text{strictify} \cdot (\Lambda b. a) \cdot b, \text{strictify} \cdot (\Lambda a. b) \cdot a \rangle \in \text{Sprod}$   
**by** (*simp add: Sprod-def strictify-conv-if*)

## 10.2 Definitions of constants

**definition**

$\text{sfst} :: ('a ** 'b) \rightarrow 'a$  **where**  
 $\text{sfst} = (\Lambda p. \text{cfst} \cdot (\text{Rep-Sprod } p))$

**definition**

$\text{ssnd} :: ('a ** 'b) \rightarrow 'b$  **where**  
 $\text{ssnd} = (\Lambda p. \text{csnd} \cdot (\text{Rep-Sprod } p))$

**definition**

$\text{spair} :: 'a \rightarrow 'b \rightarrow ('a ** 'b)$  **where**  
 $\text{spair} = (\Lambda a b. \text{Abs-Sprod}$   
 $\quad \langle \text{strictify} \cdot (\Lambda b. a) \cdot b, \text{strictify} \cdot (\Lambda a. b) \cdot a \rangle)$

**definition**

$\text{ssplit} :: ('a \rightarrow 'b \rightarrow 'c) \rightarrow ('a ** 'b) \rightarrow 'c$  **where**  
 $\text{ssplit} = (\Lambda f. \text{strictify} \cdot (\Lambda p. f \cdot (\text{sfst} \cdot p) \cdot (\text{ssnd} \cdot p)))$

**syntax**

$\text{@stuple} :: ['a, \text{args}] \Rightarrow 'a ** 'b \quad ((1'(\cdot, / \cdot, \cdot))$

**translations**

$(:x, y, z:) == (:x, (:y, z:))$   
 $(:x, y:) == \text{CONST } \text{spair} \cdot x \cdot y$

**translations**

$\Lambda(\text{CONST } \text{spair} \cdot x \cdot y). t == \text{CONST } \text{ssplit} \cdot (\Lambda x y. t)$

## 10.3 Case analysis

**lemma** *Rep-Sprod-spair*:

$\text{Rep-Sprod } (:a, b:) = \langle \text{strictify} \cdot (\Lambda b. a) \cdot b, \text{strictify} \cdot (\Lambda a. b) \cdot a \rangle$

**unfolding** *spair-def*

**by** (*simp add: cont-Abs-Sprod Abs-Sprod-inverse spair-lemma*)

**lemmas** *Rep-Sprod-simps* =

*Rep-Sprod-inject [symmetric] less-Sprod-def*  
*Rep-Sprod-strict Rep-Sprod-spair*

**lemma** *Exh-Sprod2*:

$z = \perp \vee (\exists a b. z = (:a, b:) \wedge a \neq \perp \wedge b \neq \perp)$

**apply** (*insert Rep-Sprod [of z]*)

**apply** (*simp add: Rep-Sprod-simps eq-cprod*)

**apply** (*simp add: Sprod-def*)

**apply** (*erule disjE, simp*)

**apply** (*simp add: strictify-conv-if*)  
**apply** *fast*  
**done**

**lemma** *sprodE* [*cases type: \*\**]:  
 $\llbracket p = \perp \implies Q; \bigwedge x y. \llbracket p = (:x, y:); x \neq \perp; y \neq \perp \rrbracket \implies Q \rrbracket \implies Q$   
**by** (*cut-tac z=p in Exh-Sprod2, auto*)

**lemma** *sprod-induct* [*induct type: \*\**]:  
 $\llbracket P \perp; \bigwedge x y. \llbracket x \neq \perp; y \neq \perp \rrbracket \implies P (:x, y:) \rrbracket \implies P x$   
**by** (*cases x, simp-all*)

## 10.4 Properties of *spair*

**lemma** *spair-strict1* [*simp*]:  $(:\perp, y:) = \perp$   
**by** (*simp add: Rep-Sprod-simps strictify-conv-if*)

**lemma** *spair-strict2* [*simp*]:  $(:x, \perp:) = \perp$   
**by** (*simp add: Rep-Sprod-simps strictify-conv-if*)

**lemma** *spair-strict-iff* [*simp*]:  $((:x, y:) = \perp) = (x = \perp \vee y = \perp)$   
**by** (*simp add: Rep-Sprod-simps strictify-conv-if*)

**lemma** *spair-less-iff*:  
 $((:a, b:) \sqsubseteq (:c, d:)) = (a = \perp \vee b = \perp \vee (a \sqsubseteq c \wedge b \sqsubseteq d))$   
**by** (*simp add: Rep-Sprod-simps strictify-conv-if*)

**lemma** *spair-eq-iff*:  
 $((:a, b:) = (:c, d:)) =$   
 $(a = c \wedge b = d \vee (a = \perp \vee b = \perp) \wedge (c = \perp \vee d = \perp))$   
**by** (*simp add: Rep-Sprod-simps strictify-conv-if*)

**lemma** *spair-strict*:  $x = \perp \vee y = \perp \implies (:x, y:) = \perp$   
**by** *simp*

**lemma** *spair-strict-rev*:  $(:x, y:) \neq \perp \implies x \neq \perp \wedge y \neq \perp$   
**by** *simp*

**lemma** *spair-defined*:  $\llbracket x \neq \perp; y \neq \perp \rrbracket \implies (:x, y:) \neq \perp$   
**by** *simp*

**lemma** *spair-defined-rev*:  $(:x, y:) = \perp \implies x = \perp \vee y = \perp$   
**by** *simp*

**lemma** *spair-eq*:  
 $\llbracket x \neq \perp; y \neq \perp \rrbracket \implies ((:x, y:) = (:a, b:)) = (x = a \wedge y = b)$   
**by** (*simp add: spair-eq-iff*)

**lemma** *spair-inject*:

$\llbracket x \neq \perp; y \neq \perp; (:x, y:) = (:a, b:) \rrbracket \implies x = a \wedge y = b$   
**by** (rule *spair-eq* [THEN *iffD1*])

**lemma** *inst-sprod-pcpo2*:  $UU = (:UU, UU:)$   
**by** *simp*

## 10.5 Properties of *sfst* and *ssnd*

**lemma** *sfst-strict* [*simp*]:  $sfst.\perp = \perp$   
**by** (*simp* add: *sfst-def cont-Rep-Sprod Rep-Sprod-strict*)

**lemma** *ssnd-strict* [*simp*]:  $ssnd.\perp = \perp$   
**by** (*simp* add: *ssnd-def cont-Rep-Sprod Rep-Sprod-strict*)

**lemma** *sfst-spair* [*simp*]:  $y \neq \perp \implies sfst.(x, y) = x$   
**by** (*simp* add: *sfst-def cont-Rep-Sprod Rep-Sprod-spair*)

**lemma** *ssnd-spair* [*simp*]:  $x \neq \perp \implies ssnd.(x, y) = y$   
**by** (*simp* add: *ssnd-def cont-Rep-Sprod Rep-Sprod-spair*)

**lemma** *sfst-defined-iff* [*simp*]:  $(sfst.p = \perp) = (p = \perp)$   
**by** (*cases* *p*, *simp-all*)

**lemma** *ssnd-defined-iff* [*simp*]:  $(ssnd.p = \perp) = (p = \perp)$   
**by** (*cases* *p*, *simp-all*)

**lemma** *sfst-defined*:  $p \neq \perp \implies sfst.p \neq \perp$   
**by** *simp*

**lemma** *ssnd-defined*:  $p \neq \perp \implies ssnd.p \neq \perp$   
**by** *simp*

**lemma** *surjective-pairing-Sprod2*:  $(:sfst.p, ssnd.p:) = p$   
**by** (*cases* *p*, *simp-all*)

**lemma** *less-sprod*:  $x \sqsubseteq y = (sfst.x \sqsubseteq sfst.y \wedge ssnd.x \sqsubseteq ssnd.y)$   
**apply** (*simp* add: *less-Sprod-def sfst-def ssnd-def cont-Rep-Sprod*)  
**apply** (rule *less-cprod*)  
**done**

**lemma** *eq-sprod*:  $(x = y) = (sfst.x = sfst.y \wedge ssnd.x = ssnd.y)$   
**by** (*auto* *simp* add: *po-eq-conv less-sprod*)

**lemma** *spair-less*:  
 $\llbracket x \neq \perp; y \neq \perp \rrbracket \implies (:x, y:) \sqsubseteq (:a, b:) = (x \sqsubseteq a \wedge y \sqsubseteq b)$   
**apply** (*cases* *a* =  $\perp$ , *simp*)  
**apply** (*cases* *b* =  $\perp$ , *simp*)  
**apply** (*simp* add: *less-sprod*)  
**done**

```

lemma sfst-less-iff:  $\text{sfst} \cdot x \sqsubseteq y = x \sqsubseteq (:y, \text{ssnd} \cdot x)$ 
apply (cases  $x = \perp$ , simp, cases  $y = \perp$ , simp)
apply (simp add: less-sprod)
done

```

```

lemma ssnd-less-iff:  $\text{ssnd} \cdot x \sqsubseteq y = x \sqsubseteq (: \text{sfst} \cdot x, y)$ 
apply (cases  $x = \perp$ , simp, cases  $y = \perp$ , simp)
apply (simp add: less-sprod)
done

```

## 10.6 Compactness

```

lemma compact-sfst:  $\text{compact } x \implies \text{compact } (\text{sfst} \cdot x)$ 
by (rule compactI, simp add: sfst-less-iff)

```

```

lemma compact-ssnd:  $\text{compact } x \implies \text{compact } (\text{ssnd} \cdot x)$ 
by (rule compactI, simp add: ssnd-less-iff)

```

```

lemma compact-spair:  $\llbracket \text{compact } x; \text{compact } y \rrbracket \implies \text{compact } (:x, y)$ 
by (rule compact-Sprod, simp add: Rep-Sprod-spair strictify-conv-if)

```

```

lemma compact-spair-iff:
   $\text{compact } (:x, y) = (x = \perp \vee y = \perp \vee (\text{compact } x \wedge \text{compact } y))$ 
apply (safe elim!: compact-spair)
apply (drule compact-sfst, simp)
apply (drule compact-ssnd, simp)
apply simp
apply simp
done

```

## 10.7 Properties of *ssplit*

```

lemma ssplit1 [simp]:  $\text{ssplit} \cdot f \cdot \perp = \perp$ 
by (simp add: ssplit-def)

```

```

lemma ssplit2 [simp]:  $\llbracket x \neq \perp; y \neq \perp \rrbracket \implies \text{ssplit} \cdot f \cdot (:x, y) = f \cdot x \cdot y$ 
by (simp add: ssplit-def)

```

```

lemma ssplit3 [simp]:  $\text{ssplit} \cdot \text{spair} \cdot z = z$ 
by (cases  $z$ , simp-all)

```

## 10.8 Strict product preserves flatness

```

instance ** :: (flat, flat) flat
apply (intro-classes, clarify)
apply (rule-tac  $p=x$  in sprodE, simp)
apply (rule-tac  $p=y$  in sprodE, simp)
apply (simp add: flat-less-iff spair-less)
done

```

## 10.9 Strict product is a bifinite domain

**instantiation**  $** :: (bifinite, bifinite) \text{ bifinite}$   
**begin**

**definition**

*approx-sprod-def*:  
 $approx = (\lambda n. \Lambda(x, y). (:approx\ n\cdot x, approx\ n\cdot y:))$

**instance proof**

**fix**  $i :: nat$  **and**  $x :: 'a \otimes 'b$   
**show**  $chain\ (\lambda i. approx\ i\cdot x)$   
  **unfolding** *approx-sprod-def* **by** *simp*  
**show**  $(\bigsqcup i. approx\ i\cdot x) = x$   
  **unfolding** *approx-sprod-def*  
  **by** (*simp add: lub-distribs eta-cfun*)  
**show**  $approx\ i\cdot (approx\ i\cdot x) = approx\ i\cdot x$   
  **unfolding** *approx-sprod-def*  
  **by** (*simp add: ssplit-def strictify-conv-if*)  
**have**  $Rep\text{-}Sprod\ \{x :: 'a \otimes 'b. approx\ i\cdot x = x\} \subseteq \{x. approx\ i\cdot x = x\}$   
  **unfolding** *approx-sprod-def*  
  **apply** (*clarify, rule-tac p=x in sprodE*)  
  **apply** (*simp add: Rep-Sprod-strict*)  
  **apply** (*simp add: Rep-Sprod-spair spair-eq-iff*)  
  **done**  
**hence**  $finite\ (Rep\text{-}Sprod\ \{x :: 'a \otimes 'b. approx\ i\cdot x = x\})$   
  **using** *finite-fixes-approx* **by** (*rule finite-subset*)  
**thus**  $finite\ \{x :: 'a \otimes 'b. approx\ i\cdot x = x\}$   
  **by** (*rule finite-imageD, simp add: inj-on-def Rep-Sprod-inject*)  
**qed**

**end**

**lemma** *approx-spair [simp]*:

$approx\ i\cdot (:x, y:) = (:approx\ i\cdot x, approx\ i\cdot y:)$   
**unfolding** *approx-sprod-def*  
**by** (*simp add: ssplit-def strictify-conv-if*)

**end**

## 11 Discrete: Discrete cpo types

**theory** *Discrete*

**imports** *Cont*

**begin**

**datatype**  $'a\ discr = Discr\ 'a :: type$

### 11.1 Type $'a$ *discr* is a discrete cpo

**instantiation** *discr* :: (type) sq-ord  
**begin**

**definition**

*less-discr-def*:  
 $(op \sqsubseteq :: 'a \text{ discr} \Rightarrow 'a \text{ discr} \Rightarrow \text{bool}) = (op =)$

**instance** ..  
**end**

**instance** *discr* :: (type) discrete-cpo  
**by** *intro-classes* (*simp add: less-discr-def*)

**lemma** *discr-less-eq* [*iff*]:  $((x :: ('a :: \text{type}) \text{discr}) << y) = (x = y)$   
**by** *simp*

### 11.2 Type $'a$ *discr* is a cpo

**lemma** *discr-chain0*:  
 $!!S :: \text{nat} \Rightarrow ('a :: \text{type}) \text{discr}. \text{chain } S \implies S \ i = S \ 0$   
**apply** (*unfold chain-def*)  
**apply** (*induct-tac i*)  
**apply** (*rule refl*)  
**apply** (*erule subst*)  
**apply** (*rule sym*)  
**apply** *fast*  
**done**

**lemma** *discr-chain-range0* [*simp*]:  
 $!!S :: \text{nat} \Rightarrow ('a :: \text{type}) \text{discr}. \text{chain}(S) \implies \text{range}(S) = \{S \ 0\}$   
**by** (*fast elim: discr-chain0*)

**instance** *discr* :: (finite) finite-po  
**proof**  
**have** *finite* (*Discr* ‘ (*UNIV* ::  $'a$  set))  
**by** (*rule finite-imageI* [*OF finite*])  
**also have** (*Discr* ‘ (*UNIV* ::  $'a$  set)) = *UNIV*  
**by** (*auto, case-tac x, auto*)  
**finally show** *finite* (*UNIV* ::  $'a$  discr set) .  
**qed**

**instance** *discr* :: (type) chfin  
**apply** *intro-classes*  
**apply** (*rule-tac x=0 in exI*)  
**apply** (*unfold max-in-chain-def*)  
**apply** (*clarify, erule discr-chain0* [*symmetric*])  
**done**

### 11.3 *undiscr*

**definition**

```
undiscr :: ('a::type)discr ==> 'a where
undiscr x = (case x of Discr y => y)
```

**lemma** *undiscr-Discr* [simp]: *undiscr (Discr x) = x*  
**by** (simp add: undiscr-def)

**lemma** *Discr-undiscr* [simp]: *Discr (undiscr y) = y*  
**by** (induct y) simp

**lemma** *discr-chain-f-range0*:  
 $!!S::nat=>('a::type)discr. chain(S) ==> range(\%i. f(S\ i)) = \{f(S\ 0)\}$   
**by** (fast dest: discr-chain0 elim: arg-cong)

**lemma** *cont-discr* [iff]: *cont (\%x::('a::type)discr. f x)*  
**by** (rule cont-discrete-cpo)

**end**

## 12 Up: The type of lifted values

**theory** *Up*  
**imports** *Bifinite*  
**begin**

**defaultsort** *cpo*

### 12.1 Definition of new type for lifting

**datatype** *'a u* = *Ibottom* | *Iup 'a*

**syntax** (*xsymbols*)  
 $u :: type \Rightarrow type ((-\perp) [1000] 999)$

**consts**  
 $Ifup :: ('a \rightarrow 'b::pcpo) \Rightarrow 'a\ u \Rightarrow 'b$

**primrec**  
 $Ifup\ f\ Ibottom = \perp$   
 $Ifup\ f\ (Iup\ x) = f\cdot x$

### 12.2 Ordering on lifted cpo

**instantiation** *u* :: (*cpo*) *sq-ord*  
**begin**

**definition**



```

less-up-def:
  (op  $\sqsubseteq$ )  $\equiv (\lambda x y. \text{case } x \text{ of } Ibottom \Rightarrow \text{True} \mid Iup\ a \Rightarrow$ 
    (case  $y$  of  $Ibottom \Rightarrow \text{False} \mid Iup\ b \Rightarrow a \sqsubseteq b))$ 

instance ..
end

lemma minimal-up [iff]:  $Ibottom \sqsubseteq z$ 
by (simp add: less-up-def)

lemma not-Iup-less [iff]:  $\neg Iup\ x \sqsubseteq Ibottom$ 
by (simp add: less-up-def)

lemma Iup-less [iff]:  $(Iup\ x \sqsubseteq Iup\ y) = (x \sqsubseteq y)$ 
by (simp add: less-up-def)

```

### 12.3 Lifted cpo is a partial order

```

instance u :: (cpo) po
proof
  fix x :: 'a u
  show  $x \sqsubseteq x$ 
    unfolding less-up-def by (simp split: u.split)
next
  fix x y :: 'a u
  assume  $x \sqsubseteq y$   $y \sqsubseteq x$  thus  $x = y$ 
    unfolding less-up-def
    by (auto split: u.split-asm intro: antisym-less)
next
  fix x y z :: 'a u
  assume  $x \sqsubseteq y$   $y \sqsubseteq z$  thus  $x \sqsubseteq z$ 
    unfolding less-up-def
    by (auto split: u.split-asm intro: trans-less)
qed

```

```

lemma u-UNIV:  $UNIV = \text{insert } Ibottom (\text{range } Iup)$ 
by (auto, case-tac x, auto)

```

```

instance u :: (finite-po) finite-po
by (intro-classes, simp add: u-UNIV)

```

### 12.4 Lifted cpo is a cpo

```

lemma is-lub-Iup:
  range  $S << \mid x \implies \text{range } (\lambda i. Iup\ (S\ i)) << \mid Iup\ x$ 
apply (rule is-lubI)
apply (rule ub-rangeI)
apply (subst Iup-less)
apply (erule is-ub-lub)
apply (case-tac u)

```

```

apply (drule ub-rangeD)
apply simp
apply simp
apply (erule is-lub-lub)
apply (rule ub-rangeI)
apply (drule-tac i=i in ub-rangeD)
apply simp
done

```

```

lemma is-lub-Iup':  $\llbracket \text{directed } S; S <<| x \rrbracket \implies (Iup \text{ ` } S) <<| Iup \ x$ 
apply (rule is-lubI)
apply (rule ub-imageI)
apply (subst Iup-less)
apply (erule (1) is-ubD [OF is-lubD1])
apply (case-tac u)
apply (drule directedD1, erule exE)
apply (drule (1) ub-imageD)
apply simp
apply simp
apply (erule is-lub-lub)
apply (rule is-ubI)
apply (drule (1) ub-imageD)
apply simp
done

```

Now some lemmas about chains of  $'a_{\perp}$  elements

```

lemma up-lemma1:  $z \neq Ibottom \implies Iup \ (THE \ a. \ Iup \ a = z) = z$ 
by (case-tac z, simp-all)

```

```

lemma up-lemma2:
   $\llbracket \text{chain } Y; Y \ j \neq Ibottom \rrbracket \implies Y \ (i + j) \neq Ibottom$ 
apply (erule contrapos-nn)
apply (drule-tac i=j and j=i + j in chain-mono)
apply (rule le-add2)
apply (case-tac Y j)
apply assumption
apply simp
done

```

```

lemma up-lemma3:
   $\llbracket \text{chain } Y; Y \ j \neq Ibottom \rrbracket \implies Iup \ (THE \ a. \ Iup \ a = Y \ (i + j)) = Y \ (i + j)$ 
by (rule up-lemma1 [OF up-lemma2])

```

```

lemma up-lemma4:
   $\llbracket \text{chain } Y; Y \ j \neq Ibottom \rrbracket \implies \text{chain } (\lambda i. \ THE \ a. \ Iup \ a = Y \ (i + j))$ 
apply (rule chainI)
apply (rule Iup-less [THEN iffD1])
apply (subst up-lemma3, assumption+)+
apply (simp add: chainE)

```

done

**lemma** *up-lemma5*:

$\llbracket \text{chain } Y; Y j \neq \text{Ibottom} \rrbracket \implies$   
 $(\lambda i. Y (i + j)) = (\lambda i. \text{Iup } (\text{THE } a. \text{Iup } a = Y (i + j)))$   
**by** (*rule ext*, *rule up-lemma3 [symmetric]*)

**lemma** *up-lemma6*:

$\llbracket \text{chain } Y; Y j \neq \text{Ibottom} \rrbracket$   
 $\implies \text{range } Y <<| \text{Iup } (\bigsqcup i. \text{THE } a. \text{Iup } a = Y(i + j))$   
**apply** (*rule-tac j1 = j in is-lub-range-shift [THEN iffD1]*)  
**apply** *assumption*  
**apply** (*subst up-lemma5, assumption+*)  
**apply** (*rule is-lub-Iup*)  
**apply** (*rule cpo-lubI*)  
**apply** (*erule (1) up-lemma4*)  
**done**

**lemma** *up-chain-lemma*:

*chain Y*  $\implies$   
 $(\exists A. \text{chain } A \wedge \text{lub } (\text{range } Y) = \text{Iup } (\text{lub } (\text{range } A)) \wedge$   
 $(\exists j. \forall i. Y (i + j) = \text{Iup } (A i))) \vee (Y = (\lambda i. \text{Ibottom}))$   
**apply** (*rule disjCI*)  
**apply** (*simp add: expand-fun-eq*)  
**apply** (*erule exE, rename-tac j*)  
**apply** (*rule-tac x =  $\lambda i. \text{THE } a. \text{Iup } a = Y (i + j)$  in exI*)  
**apply** (*simp add: up-lemma4*)  
**apply** (*simp add: up-lemma6 [THEN thelubI]*)  
**apply** (*rule-tac x = j in exI*)  
**apply** (*simp add: up-lemma3*)  
**done**

**lemma** *cpo-up*: *chain* ( $Y :: \text{nat} \Rightarrow 'a \text{ u}$ )  $\implies \exists x. \text{range } Y <<| x$   
**apply** (*frule up-chain-lemma, safe*)  
**apply** (*rule-tac x = Iup (lub (range A)) in exI*)  
**apply** (*erule-tac j = j in is-lub-range-shift [THEN iffD1, standard]*)  
**apply** (*simp add: is-lub-Iup cpo-lubI*)  
**apply** (*rule exI, rule lub-const*)  
**done**

**instance**  $u :: (\text{cpo}) \text{ cpo}$

**by** *intro-classes (rule cpo-up)*

## 12.5 Lifted cpo is pointed

**lemma** *least-up*:  $\exists x :: 'a \text{ u}. \forall y. x \sqsubseteq y$   
**apply** (*rule-tac x = Ibottom in exI*)  
**apply** (*rule minimal-up [THEN allI]*)  
**done**

```

instance  $u :: (cpo) \text{ pcpo}$ 
by intro-classes (rule least-up)

for compatibility with old HOLCF-Version

lemma inst-up-pcpo:  $\perp = \text{Ibottom}$ 
by (rule minimal-up [THEN UU-I, symmetric])

```

## 12.6 Continuity of $Iup$ and $Ifup$

continuity for  $Iup$

```

lemma cont-Iup: cont Iup
apply (rule contI)
apply (rule is-lub-Iup)
apply (erule cpo-lubI)
done

```

continuity for  $Ifup$

```

lemma cont-Ifup1: cont ( $\lambda f. Ifup f x$ )
by (induct x, simp-all)

```

```

lemma monofun-Ifup2: monofun ( $\lambda x. Ifup f x$ )
apply (rule monofunI)
apply (case-tac x, simp)
apply (case-tac y, simp)
apply (simp add: monofun-cfun-arg)
done

```

```

lemma cont-Ifup2: cont ( $\lambda x. Ifup f x$ )
apply (rule contI)
apply (frule up-chain-lemma, safe)
apply (rule-tac j=j in is-lub-range-shift [THEN iffD1, standard])
apply (erule monofun-Ifup2 [THEN ch2ch-monofun])
apply (simp add: cont-cfun-arg)
apply (simp add: lub-const)
done

```

## 12.7 Continuous versions of constants

**definition**

```

 $up :: 'a \rightarrow 'a \text{ u where}$ 
 $up = (\Lambda x. Iup x)$ 

```

**definition**

```

 $fup :: ('a \rightarrow 'b::pcpo) \rightarrow 'a \text{ u} \rightarrow 'b \text{ where}$ 
 $fup = (\Lambda f p. Ifup f p)$ 

```

**translations**

case  $l$  of  $XCONST$   $up \cdot x \Rightarrow t == CONST fup \cdot (\Lambda x. t) \cdot l$   
 $\Lambda(XCONST up \cdot x). t == CONST fup \cdot (\Lambda x. t)$

continuous versions of lemmas for  $'a_{\perp}$

**lemma** *Exh-Up*:  $z = \perp \vee (\exists x. z = up \cdot x)$   
**apply** (*induct*  $z$ )  
**apply** (*simp add: inst-up-pcpo*)  
**apply** (*simp add: up-def cont-Iup*)  
**done**

**lemma** *up-eq* [*simp*]:  $(up \cdot x = up \cdot y) = (x = y)$   
**by** (*simp add: up-def cont-Iup*)

**lemma** *up-inject*:  $up \cdot x = up \cdot y \Longrightarrow x = y$   
**by** *simp*

**lemma** *up-defined* [*simp*]:  $up \cdot x \neq \perp$   
**by** (*simp add: up-def cont-Iup inst-up-pcpo*)

**lemma** *not-up-less-UU*:  $\neg up \cdot x \sqsubseteq \perp$   
**by** *simp*

**lemma** *up-less* [*simp*]:  $(up \cdot x \sqsubseteq up \cdot y) = (x \sqsubseteq y)$   
**by** (*simp add: up-def cont-Iup*)

**lemma** *upE* [*cases type: u*]:  $\llbracket p = \perp \Longrightarrow Q; \bigwedge x. p = up \cdot x \Longrightarrow Q \rrbracket \Longrightarrow Q$   
**apply** (*cases*  $p$ )  
**apply** (*simp add: inst-up-pcpo*)  
**apply** (*simp add: up-def cont-Iup*)  
**done**

**lemma** *up-induct* [*induct type: u*]:  $\llbracket P \perp; \bigwedge x. P (up \cdot x) \rrbracket \Longrightarrow P x$   
**by** (*cases*  $x$ , *simp-all*)

lifting preserves chain-finiteness

**lemma** *up-chain-cases*:  
 $chain\ Y \Longrightarrow$   
 $(\exists A. chain\ A \wedge (\bigsqcup i. Y\ i) = up \cdot (\bigsqcup i. A\ i) \wedge$   
 $(\exists j. \forall i. Y\ (i + j) = up \cdot (A\ i))) \vee Y = (\lambda i. \perp)$   
**by** (*simp add: inst-up-pcpo up-def cont-Iup up-chain-lemma*)

**lemma** *compact-up*:  $compact\ x \Longrightarrow compact\ (up \cdot x)$   
**apply** (*rule compactI2*)  
**apply** (*drule up-chain-cases, safe*)  
**apply** (*drule (1) compactD2, simp*)  
**apply** (*erule exE, rule-tac x=i + j in exI*)  
**apply** *simp*  
**apply** *simp*  
**done**

```

lemma compact-upD: compact (up.x)  $\implies$  compact x
unfolding compact-def
by (drule adm-subst [OF cont-Rep-CFun2 [where f=up]], simp)

```

```

lemma compact-up-iff [simp]: compact (up.x) = compact x
by (safe elim!: compact-up compact-upD)

```

```

instance u :: (chfin) chfin
apply intro-classes
apply (erule compact-imp-max-in-chain)
apply (rule-tac p= $\sqcup$  i. Y i in upE, simp-all)
done

```

properties of fup

```

lemma fup1 [simp]: fup.f. $\perp$  =  $\perp$ 
by (simp add: fup-def cont-Ifup1 cont-Ifup2 inst-up-pcpo)

```

```

lemma fup2 [simp]: fup.f.(up.x) = f.x
by (simp add: up-def fup-def cont-Iup cont-Ifup1 cont-Ifup2)

```

```

lemma fup3 [simp]: fup.up.x = x
by (cases x, simp-all)

```

## 12.8 Lifted cpo is a bifinite domain

```

instantiation u :: (profinite) bifinite
begin

```

**definition**

```

approx-up-def:
approx = ( $\lambda n.$  fup.( $\Lambda x.$  up.(approx n.x)))

```

**instance proof**

```

fix i :: nat and x :: 'a u
show chain ( $\lambda i.$  approx i.x)
  unfolding approx-up-def by simp
show ( $\sqcup$  i. approx i.x) = x
  unfolding approx-up-def
  by (simp add: lub-distribs eta-cfun)
show approx i.(approx i.x) = approx i.x
  unfolding approx-up-def
  by (induct x, simp, simp)
have {x::'a u. approx i.x = x}  $\subseteq$ 
  insert  $\perp$  (( $\lambda x.$  up.x) ` {x::'a. approx i.x = x})
  unfolding approx-up-def
  by (rule subsetI, rule-tac p=x in upE, simp-all)
thus finite {x::'a u. approx i.x = x}
  by (rule finite-subset, simp add: finite-fixes-approx)

```

qed

end

**lemma** *approx-up* [*simp*]:  $\text{approx } i \cdot (\text{up} \cdot x) = \text{up} \cdot (\text{approx } i \cdot x)$   
**unfolding** *approx-up-def* **by** *simp*

end

## 13 Countable: Encoding (almost) everything into natural numbers

**theory** *Countable*  
**imports** *Finite-Set List Hilbert-Choice*  
**begin**

### 13.1 The class of countable types

**class** *countable* = *itself* +  
**assumes** *ex-inj*:  $\exists \text{to-nat} :: 'a \Rightarrow \text{nat}. \text{inj to-nat}$

**lemma** *countable-classI*:  
**fixes** *f* ::  $'a \Rightarrow \text{nat}$   
**assumes**  $\bigwedge x y. f\ x = f\ y \implies x = y$   
**shows** *OFCLASS*('a, *countable-class*)  
**proof** (*intro-classes*, *rule exI*)  
**show** *inj f*  
**by** (*rule injI* [*OF assms*]) *assumption*  
**qed**

### 13.2 Conversion functions

**definition** *to-nat* ::  $'a::\text{countable} \Rightarrow \text{nat}$  **where**  
*to-nat* = (*SOME f. inj f*)

**definition** *from-nat* ::  $\text{nat} \Rightarrow 'a::\text{countable}$  **where**  
*from-nat* = *inv (to-nat :: 'a  $\Rightarrow$  nat)*

**lemma** *inj-to-nat* [*simp*]: *inj to-nat*  
**by** (*rule exE-some* [*OF ex-inj*]) (*simp add: to-nat-def*)

**lemma** *to-nat-split* [*simp*]:  $\text{to-nat } x = \text{to-nat } y \longleftrightarrow x = y$   
**using** *injD* [*OF inj-to-nat*] **by** *auto*

**lemma** *from-nat-to-nat* [*simp*]:  
*from-nat (to-nat x) = x*  
**by** (*simp add: from-nat-def*)

### 13.3 Countable types

```

instance nat :: countable
  by (rule countable-classI [of id]) simp

subclass (in finite) countable
proof unfold-locales
  have finite (UNIV::'a set) by (rule finite-UNIV)
  with finite-conv-nat-seg-image [of UNIV]
  obtain n and f :: nat  $\Rightarrow$  'a
    where UNIV = f ` {i. i < n} by auto
  then have surj f unfolding surj-def by auto
  then have inj (inv f) by (rule surj-imp-inj-inv)
  then show  $\exists$  to-nat :: 'a  $\Rightarrow$  nat. inj to-nat by (rule exI [of inj])
qed

```

Pairs

```

primrec sum :: nat  $\Rightarrow$  nat
where
  sum 0 = 0
| sum (Suc n) = Suc n + sum n

```

```

lemma sum-arith: sum n = n * Suc n div 2
  by (induct n) auto

```

```

lemma sum-mono: n  $\geq$  m  $\implies$  sum n  $\geq$  sum m
  by (induct n m rule: diff-induct) auto

```

definition

```

pair-encode = ( $\lambda$ (m, n). sum (m + n) + m)

```

```

lemma inj-pair-encode: inj pair-encode
  unfolding pair-encode-def

```

```

proof (rule injI, simp only: split-paired-all split-conv)
  fix a b c d
  assume eq: sum (a + b) + a = sum (c + d) + c
  have a + b = c + d  $\vee$  a + b  $\geq$  Suc (c + d)  $\vee$  c + d  $\geq$  Suc (a + b) by arith
  then
  show (a, b) = (c, d)
  proof (elim disjE)
    assume sumeq: a + b = c + d
    then have a = c using eq by auto
    moreover from sumeq this have b = d by auto
    ultimately show ?thesis by simp
  next
    assume a + b  $\geq$  Suc (c + d)
    from sum-mono[OF this] eq
    show ?thesis by auto
  next
    assume c + d  $\geq$  Suc (a + b)

```



```

    from sum-mono[OF this] eq
    show ?thesis by auto
qed
qed

```

```

instance * :: (countable, countable) countable
by (rule countable-classI [of  $\lambda(x, y). \text{pair-encode } (\text{to-nat } x, \text{to-nat } y)$ ]]
    (auto dest: injD [OF inj-pair-encode] injD [OF inj-to-nat])

```

Sums

```

instance +:: (countable, countable) countable
  by (rule countable-classI [of  $(\lambda x. \text{case } x \text{ of } \text{Inl } a \Rightarrow \text{to-nat } (\text{False}, \text{to-nat } a)
    | \text{Inr } b \Rightarrow \text{to-nat } (\text{True}, \text{to-nat } b))$ ]]
      (auto split: sum.splits))

```

Integers

```

lemma int-cases:  $(i::\text{int}) = 0 \vee i < 0 \vee i > 0$ 
by presburger

```

```

lemma int-pos-neg-zero:
  obtains (zero)  $(z::\text{int}) = 0 \text{ sgn } z = 0 \text{ abs } z = 0$ 
    | (pos)  $n \text{ where } z = \text{of-nat } n \text{ sgn } z = 1 \text{ abs } z = \text{of-nat } n$ 
    | (neg)  $n \text{ where } z = -(\text{of-nat } n) \text{ sgn } z = -1 \text{ abs } z = \text{of-nat } n$ 
apply atomize-elim
apply (insert int-cases[of z])
apply (auto simp: zsgn-def)
apply (rule-tac  $x=\text{nat } (-z)$  in exI, simp)
apply (rule-tac  $x=\text{nat } z$  in exI, simp)
done

```

```

instance int :: countable
proof (rule countable-classI [of  $(\lambda i. \text{to-nat } (\text{nat } (\text{sgn } i + 1), \text{nat } (\text{abs } i)))$ ],
    auto dest: injD [OF inj-to-nat])
  fix x y
  assume a:  $\text{nat } (\text{sgn } x + 1) = \text{nat } (\text{sgn } y + 1) \text{ nat } (\text{abs } x) = \text{nat } (\text{abs } y)$ 
  show  $x = y$ 
  proof (cases rule: int-pos-neg-zero[of x])
    case zero
    with a show  $x = y$  by (cases rule: int-pos-neg-zero[of y]) auto
  next
    case (pos n)
    with a show  $x = y$  by (cases rule: int-pos-neg-zero[of y]) auto
  next
    case (neg n)
    with a show  $x = y$  by (cases rule: int-pos-neg-zero[of y]) auto
  qed
qed

```

Options

```

instance option :: (countable) countable
by (rule countable-classI [of  $\lambda x. \text{case } x \text{ of } \text{None} \Rightarrow 0$ 
|  $\text{Some } y \Rightarrow \text{Suc } (\text{to-nat } y)$ ]])
(auto split:option.splits)

```

Lists

```

lemma from-nat-to-nat-map [simp]: map from-nat (map to-nat xs) = xs
by (simp add: comp-def map-compose [symmetric])

```

**primrec**

```

  list-encode :: 'a::countable list  $\Rightarrow$  nat
where
  list-encode [] = 0
| list-encode (x#xs) = Suc (to-nat (x, list-encode xs))

```

```

instance list :: (countable) countable
proof (rule countable-classI [of list-encode])
  fix xs ys :: 'a list
  assume cenc: list-encode xs = list-encode ys
  then show xs = ys
  proof (induct xs arbitrary: ys)
    case (Nil ys)
    with cenc show ?case by (cases ys, auto)
  next
    case (Cons x xs' ys)
    thus ?case by (cases ys) auto
  qed
qed

```

Functions

```

instance fun :: (finite, countable) countable
proof
  obtain xs :: 'a list where xs: set xs = UNIV
  using finite-list [OF finite-UNIV] ..
  show  $\exists \text{to-nat}::('a \Rightarrow 'b) \Rightarrow \text{nat. inj to-nat}$ 
  proof
    show inj ( $\lambda f. \text{to-nat } (\text{map } f \text{ xs})$ )
    by (rule injI, simp add: xs expand-fun-eq)
  qed
qed
end

```

## 14 Lift: Lifting types of class type to flat pcpos

```

theory Lift
imports Discrete Up Cprod Countable

```

```

begin

defaultsort type

pcpodef 'a lift = UNIV :: 'a discr u set
by simp

instance lift :: (finite) finite-po
by (rule typedef-finite-po [OF type-definition-lift])

lemmas inst-lift-pcpo = Abs-lift-strict [symmetric]

definition
  Def :: 'a  $\Rightarrow$  'a lift where
  Def x = Abs-lift (up.(Discr x))

```

### 14.1 Lift as a datatype

```

lemma lift-distinct1:  $\perp \neq$  Def x
by (simp add: Def-def Abs-lift-inject lift-def inst-lift-pcpo)

```

```

lemma lift-distinct2: Def x  $\neq$   $\perp$ 
by (simp add: Def-def Abs-lift-inject lift-def inst-lift-pcpo)

```

```

lemma Def-inject: (Def x = Def y) = (x = y)
by (simp add: Def-def Abs-lift-inject lift-def)

```

```

lemma lift-induct:  $\llbracket P \perp; \bigwedge x. P (Def x) \rrbracket \Longrightarrow P y$ 
apply (induct y)
apply (rule-tac p=y in upE)
apply (simp add: Abs-lift-strict)
apply (case-tac x)
apply (simp add: Def-def)
done

```

```

rep-datatype lift
  distinct lift-distinct1 lift-distinct2
  inject Def-inject
  induction lift-induct

```

```

lemma Def-not-UU: Def a  $\neq$  UU
by simp

```

$\perp$  and Def

```

lemma Lift-exhaust:  $x = \perp \vee (\exists y. x = Def y)$ 
by (induct x) simp-all

```

```

lemma Lift-cases:  $\llbracket x = \perp \Longrightarrow P; \exists a. x = Def a \Longrightarrow P \rrbracket \Longrightarrow P$ 
by (insert Lift-exhaust) blast

```

**lemma** *not-Undef-is-Def*:  $(x \neq \perp) = (\exists y. x = \text{Def } y)$   
**by** (*cases*  $x$ ) *simp-all*

**lemma** *lift-definedE*:  $\llbracket x \neq \perp; \bigwedge a. x = \text{Def } a \implies R \rrbracket \implies R$   
**by** (*cases*  $x$ ) *simp-all*

For  $x \neq \perp$  in assumptions *def-tac* replaces  $x$  by  $\text{Def } a$  in conclusion.

**ML**  $\llbracket$   
 $\text{local val lift-definedE} = \text{thm lift-definedE}$   
 $\text{in val def-tac} = \text{SIMPSET}' (\text{fn ss} \Rightarrow$   
 $\text{etac lift-definedE THEN' asm-simp-tac ss})$   
 $\text{end};$   
 $\rrbracket$

**lemma** *DefE*:  $\text{Def } x = \perp \implies R$   
**by** *simp*

**lemma** *DefE2*:  $\llbracket x = \text{Def } s; x = \perp \rrbracket \implies R$   
**by** *simp*

**lemma** *Def-inject-less-eq*:  $\text{Def } x \sqsubseteq \text{Def } y = (x = y)$   
**by** (*simp add: less-lift-def Def-def Abs-lift-inverse lift-def*)

**lemma** *Def-less-is-eq* [*simp*]:  $\text{Def } x \sqsubseteq y = (\text{Def } x = y)$   
**apply** (*induct*  $y$ )  
**apply** *simp*  
**apply** (*simp add: Def-inject-less-eq*)  
**done**

## 14.2 Lift is flat

**lemma** *less-lift*:  $(x :: 'a \text{ lift}) \sqsubseteq y = (x = y \vee x = \perp)$   
**by** (*induct*  $x$ , *simp-all*)

**instance** *lift* :: (*type*) *flat*  
**by** (*intro-classes, auto simp add: less-lift*)

Two specific lemmas for the combination of LCF and HOL terms.

**lemma** *cont-Rep-CFun-app* [*simp*]:  $\llbracket \text{cont } g; \text{cont } f \rrbracket \implies \text{cont}(\lambda x. ((f x) \cdot (g x)) \ s)$   
**by** (*rule cont2cont-Rep-CFun [THEN cont2cont-fun]*)

**lemma** *cont-Rep-CFun-app-app* [*simp*]:  $\llbracket \text{cont } g; \text{cont } f \rrbracket \implies \text{cont}(\lambda x. ((f x) \cdot (g x)) \ s \ t)$   
**by** (*rule cont-Rep-CFun-app [THEN cont2cont-fun]*)

## 14.3 Further operations

**definition**

$flift1 :: ('a \Rightarrow 'b::pcpo) \Rightarrow ('a \text{ lift} \rightarrow 'b)$  (**binder** *FLIFT 10*) **where**  
 $flift1 = (\lambda f. (\Lambda x. \text{lift-case } \perp f x))$

**definition**

$flift2 :: ('a \Rightarrow 'b) \Rightarrow ('a \text{ lift} \rightarrow 'b \text{ lift})$  **where**  
 $flift2 f = (FLIFT x. Def (f x))$

**definition**

$liftpair :: 'a \text{ lift} \times 'b \text{ lift} \Rightarrow ('a \times 'b) \text{ lift}$  **where**  
 $liftpair x = csplit.(FLIFT x y. Def (x, y)).x$

#### 14.4 Continuity Proofs for *flift1*, *flift2*

Need the instance of *flat*.

**lemma** *cont-lift-case1*:  $cont (\lambda f. \text{lift-case } a f x)$   
**apply** (*induct* *x*)  
**apply** *simp*  
**apply** *simp*  
**apply** (*rule* *cont-id* [*THEN* *cont2cont-fun*])  
**done**

**lemma** *cont-lift-case2*:  $cont (\lambda x. \text{lift-case } \perp f x)$   
**apply** (*rule* *flatdom-strict2cont*)  
**apply** *simp*  
**done**

**lemma** *cont-flift1*:  $cont \text{ flift1}$   
**apply** (*unfold* *flift1-def*)  
**apply** (*rule* *cont2cont-LAM*)  
**apply** (*rule* *cont-lift-case2*)  
**apply** (*rule* *cont-lift-case1*)  
**done**

**lemma** *cont2cont-flift1* [*simp*]:  
 $\llbracket \bigwedge y. cont (\lambda x. f x y) \rrbracket \Longrightarrow cont (\lambda x. FLIFT y. f x y)$   
**apply** (*rule* *cont-flift1* [*THEN* *cont2cont-app3*])  
**apply** *simp*  
**done**

**lemma** *cont2cont-lift-case* [*simp*]:  
 $\llbracket \bigwedge y. cont (\lambda x. f x y); cont g \rrbracket \Longrightarrow cont (\lambda x. \text{lift-case } UU (f x) (g x))$   
**apply** (*subgoal-tac*  $cont (\lambda x. (FLIFT y. f x y).(g x))$ )  
**apply** (*simp* *add*: *flift1-def* *cont-lift-case2*)  
**apply** *simp*  
**done**

rewrites for *flift1*, *flift2*

**lemma** *flift1-Def* [*simp*]:  $flift1 f.(Def x) = (f x)$   
**by** (*simp* *add*: *flift1-def* *cont-lift-case2*)

**lemma** *flift2-Def* [*simp*]: *flift2* *f* · (*Def* *x*) = *Def* (*f* *x*)  
**by** (*simp* *add*: *flift2-def*)

**lemma** *flift1-strict* [*simp*]: *flift1* *f* ·  $\perp$  =  $\perp$   
**by** (*simp* *add*: *flift1-def* *cont-lift-case2*)

**lemma** *flift2-strict* [*simp*]: *flift2* *f* ·  $\perp$  =  $\perp$   
**by** (*simp* *add*: *flift2-def*)

**lemma** *flift2-defined* [*simp*]:  $x \neq \perp \implies (\text{flift2 } f).x \neq \perp$   
**by** (*erule* *lift-definedE*, *simp*)

**lemma** *flift2-defined-iff* [*simp*]:  $(\text{flift2 } f.x = \perp) = (x = \perp)$   
**by** (*cases* *x*, *simp-all*)

Extension of *cont-tac* and installation of simplifier.

**lemmas** *cont-lemmas-ext* =  
*cont2cont-flift1* *cont2cont-lift-case* *cont2cont-lambda*  
*cont-Rep-CFun-app* *cont-Rep-CFun-app-app* *cont-if*

**ML**  $\ll$   
*local*  
*val* *cont-lemmas2* = *thms* *cont-lemmas1* @ *thms* *cont-lemmas-ext*;  
*val* *flift1-def* = *thm* *flift1-def*;  
*in*

*fun* *cont-tac* *i* = *resolve-tac* *cont-lemmas2* *i*;  
*fun* *cont-tacR* *i* = *REPEAT* (*cont-tac* *i*);

*fun* *cont-tacRs* *ss* *i* =  
*simp-tac* *ss* *i* *THEN*  
*REPEAT* (*cont-tac* *i*)  
*end*;  
 $\gg$

## 14.5 Lifted countable types are bifinite

**instantiation** *lift* :: (*countable*) *bifinite*  
**begin**

**definition**  
*approx-lift-def*:  
*approx* = ( $\lambda n.$  *FLIFT* *x*. *if* *to-nat* *x* < *n* *then* *Def* *x* *else*  $\perp$ )

**instance proof**  
**fix** *x* :: 'a *lift*  
**show** *chain* ( $\lambda i.$  *approx* *i* · *x*)  
**unfolding** *approx-lift-def*

```

    by (rule chainI, cases x, simp-all)
next
  fix x :: 'a lift
  show ( $\bigsqcup$  i. approx i·x) = x
    unfolding approx-lift-def
    apply (cases x, simp)
    apply (rule thelubI)
    apply (rule is-lubI)
    apply (rule ub-rangeI, simp)
    apply (drule ub-rangeD)
    apply (erule rev-trans-less)
    apply simp
    apply (rule lessI)
  done
next
  fix i :: nat and x :: 'a lift
  show approx i.(approx i·x) = approx i·x
    unfolding approx-lift-def
    by (cases x, simp, simp)
next
  fix i :: nat
  show finite {x::'a lift. approx i·x = x}
  proof (rule finite-subset)
    let ?S = insert ( $\perp$ ::'a lift) (Def ‘to-nat -‘ {..i})
    show {x::'a lift. approx i·x = x}  $\subseteq$  ?S
      unfolding approx-lift-def
      by (rule subsetI, case-tac x, simp, simp split: split-if-asm)
    show finite ?S
      by (simp add: finite-vimageI)
  qed
qed
end

end

```

## 15 Tr: The type of lifted booleans

```

theory Tr
imports Lift
begin

defaultsort pcpo

types
  tr = bool lift

translations

```

$tr \leq (type) \text{ bool lift}$

**definition**

$TT :: tr \text{ where}$   
 $TT = Def \ True$

**definition**

$FF :: tr \text{ where}$   
 $FF = Def \ False$

**definition**

$trifte :: 'c \rightarrow 'c \rightarrow tr \rightarrow 'c \text{ where}$   
 $ifte\text{-def}: trifte = (\Lambda \ t \ e. \ FLIFT \ b. \ \text{if } b \text{ then } t \text{ else } e)$

**abbreviation**

$cifte\text{-syn} :: [tr, 'c, 'c] \Rightarrow 'c \ ((\exists If \ - / (then \ - / else \ -) \ fi) \ 60) \ \text{where}$   
 $\text{If } b \text{ then } e1 \text{ else } e2 \ fi == trifte.e1.e2.b$

**definition**

$trand :: tr \rightarrow tr \rightarrow tr \text{ where}$   
 $andalso\text{-def}: trand = (\Lambda \ x \ y. \ \text{If } x \text{ then } y \text{ else } FF \ fi)$

**abbreviation**

$andalso\text{-syn} :: tr \Rightarrow tr \Rightarrow tr \ (- \ andalso \ - \ [36,35] \ 35) \ \text{where}$   
 $x \ andalso \ y == trand.x.y$

**definition**

$tror :: tr \rightarrow tr \rightarrow tr \text{ where}$   
 $orelse\text{-def}: tror = (\Lambda \ x \ y. \ \text{If } x \text{ then } TT \text{ else } y \ fi)$

**abbreviation**

$orelse\text{-syn} :: tr \Rightarrow tr \Rightarrow tr \ (- \ orelse \ - \ [31,30] \ 30) \ \text{where}$   
 $x \ orelse \ y == tror.x.y$

**definition**

$neg :: tr \rightarrow tr \text{ where}$   
 $neg = flift2 \ Not$

**definition**

$If2 :: [tr, 'c, 'c] \Rightarrow 'c \text{ where}$   
 $If2 \ Q \ x \ y = (\text{If } Q \text{ then } x \text{ else } y \ fi)$

**translations**

$\Lambda \ (CONST \ TT). \ t == CONST \ trifte.t.\bot$   
 $\Lambda \ (CONST \ FF). \ t == CONST \ trifte.\bot.t$

Exhaustion and Elimination for type  $tr$

**lemma**  $Exh\text{-}tr: t = \bot \vee t = TT \vee t = FF$

**apply**  $(unfold \ FF\text{-}def \ TT\text{-}def)$

**apply**  $(induct \ t)$

**apply**  $fast$

**apply**  $fast$



done

**lemma** *trE*:  $\llbracket p = \perp \implies Q; p = TT \implies Q; p = FF \implies Q \rrbracket \implies Q$   
**apply** (*rule Exh-tr [THEN disjE]*)  
**apply** *fast*  
**apply** (*erule disjE*)  
**apply** *fast*  
**apply** *fast*  
**done**

tactic for tr-thms with case split

**lemmas** *tr-defs* = *andalso-def orelse-def neg-def ifte-def TT-def FF-def*

distinctness for type *tr*

**lemma** *dist-less-tr [simp]*:  
 $\neg TT \sqsubseteq \perp \neg FF \sqsubseteq \perp \neg TT \sqsubseteq FF \neg FF \sqsubseteq TT$   
**by** (*simp-all add: tr-defs*)

**lemma** *dist-eq-tr [simp]*:  
 $TT \neq \perp FF \neq \perp TT \neq FF \perp \neq TT \perp \neq FF FF \neq TT$   
**by** (*simp-all add: tr-defs*)

lemmas about andalso, orelse, neg and if

**lemma** *ifte-thms [simp]*:  
*If*  $\perp$  *then* *e1* *else* *e2* *fi* =  $\perp$   
*If* *FF* *then* *e1* *else* *e2* *fi* = *e2*  
*If* *TT* *then* *e1* *else* *e2* *fi* = *e1*  
**by** (*simp-all add: ifte-def TT-def FF-def*)

**lemma** *andalso-thms [simp]*:  
 $(TT \text{ andalso } y) = y$   
 $(FF \text{ andalso } y) = FF$   
 $(\perp \text{ andalso } y) = \perp$   
 $(y \text{ andalso } TT) = y$   
 $(y \text{ andalso } y) = y$   
**apply** (*unfold andalso-def, simp-all*)  
**apply** (*rule-tac p=y in trE, simp-all*)  
**apply** (*rule-tac p=y in trE, simp-all*)  
**done**

**lemma** *orelse-thms [simp]*:  
 $(TT \text{ orelse } y) = TT$   
 $(FF \text{ orelse } y) = y$   
 $(\perp \text{ orelse } y) = \perp$   
 $(y \text{ orelse } FF) = y$   
 $(y \text{ orelse } y) = y$   
**apply** (*unfold orelse-def, simp-all*)  
**apply** (*rule-tac p=y in trE, simp-all*)  
**apply** (*rule-tac p=y in trE, simp-all*)

done

**lemma** *neg-thms* [*simp*]:

$neg \cdot TT = FF$

$neg \cdot FF = TT$

$neg \cdot \perp = \perp$

**by** (*simp-all add: neg-def TT-def FF-def*)

split-tac for If via If2 because the constant has to be a constant

**lemma** *split-If2*:

$P \text{ (If2 } Q \ x \ y) = ((Q = \perp \longrightarrow P \ \perp) \wedge (Q = TT \longrightarrow P \ x) \wedge (Q = FF \longrightarrow P \ y))$

**apply** (*unfold If2-def*)

**apply** (*rule-tac p = Q in trE*)

**apply** (*simp-all*)

done

**ML**  $\ll$

*val split-If-tac =*

*simp-tac (HOL-basic-ss addsimps [ @ { thm If2-def } RS sym ])*

*THEN' (split-tac [ @ { thm split-If2 } ])*

$\gg$

### 15.1 Rewriting of HOLCF operations to HOL functions

**lemma** *andalso-or*:

$t \neq \perp \implies ((t \text{ andalso } s) = FF) = (t = FF \vee s = FF)$

**apply** (*rule-tac p = t in trE*)

**apply** *simp-all*

done

**lemma** *andalso-and*:

$t \neq \perp \implies ((t \text{ andalso } s) \neq FF) = (t \neq FF \wedge s \neq FF)$

**apply** (*rule-tac p = t in trE*)

**apply** *simp-all*

done

**lemma** *Def-bool1* [*simp*]: (*Def*  $x \neq FF$ ) =  $x$

**by** (*simp add: FF-def*)

**lemma** *Def-bool2* [*simp*]: (*Def*  $x = FF$ ) =  $(\neg x)$

**by** (*simp add: FF-def*)

**lemma** *Def-bool3* [*simp*]: (*Def*  $x = TT$ ) =  $x$

**by** (*simp add: TT-def*)

**lemma** *Def-bool4* [*simp*]: (*Def*  $x \neq TT$ ) =  $(\neg x)$

**by** (*simp add: TT-def*)

```

lemma If-and-if:
  (If Def P then A else B fi) = (if P then A else B)
apply (rule-tac p = Def P in trE)
apply (auto simp add: TT-def[symmetric] FF-def[symmetric])
done

```

## 15.2 Compactness

```

lemma compact-TT [simp]: compact TT
by (rule compact-chfin)

```

```

lemma compact-FF [simp]: compact FF
by (rule compact-chfin)

```

```

end

```

## 16 Ssum: The type of strict sums

```

theory Ssum
imports Cprod Tr
begin

```

```

defaultsort pcpo

```

### 16.1 Definition of strict sum type

```

pcpodef (Ssum) ('a, 'b) ++ (infixr ++ 10) =
  {p :: tr × ('a × 'b).
    (cfst·p ⊆ TT ⟷ csnd·(csnd·p) = ⊥) ∧
    (cfst·p ⊆ FF ⟷ cfst·(csnd·p) = ⊥)}
by simp

```

```

instance ++ :: ({finite-po,pcpo}, {finite-po,pcpo}) finite-po
by (rule typedef-finite-po [OF type-definition-Ssum])

```

```

instance ++ :: ({chfin,pcpo}, {chfin,pcpo}) chfin
by (rule typedef-chfin [OF type-definition-Ssum less-Ssum-def])

```

```

syntax (xsymbols)
  ++      :: [type, type] => type      ((- ⊕ -) [21, 20] 20)
syntax (HTML output)
  ++      :: [type, type] => type      ((- ⊕ -) [21, 20] 20)

```

### 16.2 Definitions of constructors

```

definition
  sinl :: 'a → ('a ++ 'b) where
    sinl = (λ a. Abs-Ssum <strictify·(λ -. TT)·a, a, ⊥>)

```

**definition**

$\text{sinr} :: 'b \rightarrow ('a \rightarrow 'b) \text{ where}$   
 $\text{sinr} = (\lambda b. \text{Abs-Ssum } \langle \text{strictify} \cdot (\lambda -. FF) \cdot b, \perp, b \rangle)$

**lemma**  $\text{sinl-Ssum}$ :  $\langle \text{strictify} \cdot (\lambda -. TT) \cdot a, a, \perp \rangle \in \text{Ssum}$   
**by** (*simp add: Ssum-def strictify-conv-if*)

**lemma**  $\text{sinr-Ssum}$ :  $\langle \text{strictify} \cdot (\lambda -. FF) \cdot b, \perp, b \rangle \in \text{Ssum}$   
**by** (*simp add: Ssum-def strictify-conv-if*)

**lemma**  $\text{sinl-Abs-Ssum}$ :  $\text{sinl} \cdot a = \text{Abs-Ssum } \langle \text{strictify} \cdot (\lambda -. TT) \cdot a, a, \perp \rangle$   
**by** (*unfold sinl-def, simp add: cont-Abs-Ssum sinl-Ssum*)

**lemma**  $\text{sinr-Abs-Ssum}$ :  $\text{sinr} \cdot b = \text{Abs-Ssum } \langle \text{strictify} \cdot (\lambda -. FF) \cdot b, \perp, b \rangle$   
**by** (*unfold sinr-def, simp add: cont-Abs-Ssum sinr-Ssum*)

**lemma**  $\text{Rep-Ssum-sinl}$ :  $\text{Rep-Ssum } (\text{sinl} \cdot a) = \langle \text{strictify} \cdot (\lambda -. TT) \cdot a, a, \perp \rangle$   
**by** (*simp add: sinl-Abs-Ssum Abs-Ssum-inverse sinl-Ssum*)

**lemma**  $\text{Rep-Ssum-sinr}$ :  $\text{Rep-Ssum } (\text{sinr} \cdot b) = \langle \text{strictify} \cdot (\lambda -. FF) \cdot b, \perp, b \rangle$   
**by** (*simp add: sinr-Abs-Ssum Abs-Ssum-inverse sinr-Ssum*)

**16.3 Properties of  $\text{sinl}$  and  $\text{sinr}$** 

## Ordering

**lemma**  $\text{sinl-less}$  [*simp*]:  $(\text{sinl} \cdot x \sqsubseteq \text{sinl} \cdot y) = (x \sqsubseteq y)$   
**by** (*simp add: less-Ssum-def Rep-Ssum-sinl strictify-conv-if*)

**lemma**  $\text{sinr-less}$  [*simp*]:  $(\text{sinr} \cdot x \sqsubseteq \text{sinr} \cdot y) = (x \sqsubseteq y)$   
**by** (*simp add: less-Ssum-def Rep-Ssum-sinr strictify-conv-if*)

**lemma**  $\text{sinl-less-sinr}$  [*simp*]:  $(\text{sinl} \cdot x \sqsubseteq \text{sinr} \cdot y) = (x = \perp)$   
**by** (*simp add: less-Ssum-def Rep-Ssum-sinl Rep-Ssum-sinr strictify-conv-if*)

**lemma**  $\text{sinr-less-sinl}$  [*simp*]:  $(\text{sinr} \cdot x \sqsubseteq \text{sinl} \cdot y) = (x = \perp)$   
**by** (*simp add: less-Ssum-def Rep-Ssum-sinl Rep-Ssum-sinr strictify-conv-if*)

## Equality

**lemma**  $\text{sinl-eq}$  [*simp*]:  $(\text{sinl} \cdot x = \text{sinl} \cdot y) = (x = y)$   
**by** (*simp add: po-eq-conv*)

**lemma**  $\text{sinr-eq}$  [*simp*]:  $(\text{sinr} \cdot x = \text{sinr} \cdot y) = (x = y)$   
**by** (*simp add: po-eq-conv*)

**lemma**  $\text{sinl-eq-sinr}$  [*simp*]:  $(\text{sinl} \cdot x = \text{sinr} \cdot y) = (x = \perp \wedge y = \perp)$   
**by** (*subst po-eq-conv, simp*)

**lemma**  $\text{sinr-eq-sinl}$  [*simp*]:  $(\text{sinr} \cdot x = \text{sinl} \cdot y) = (x = \perp \wedge y = \perp)$

**by** (*subst po-eq-conv*, *simp*)

**lemma** *sinl-inject*:  $\text{sinl} \cdot x = \text{sinl} \cdot y \implies x = y$   
**by** (*rule sinl-eq [THEN iffD1]*)

**lemma** *sinr-inject*:  $\text{sinr} \cdot x = \text{sinr} \cdot y \implies x = y$   
**by** (*rule sinr-eq [THEN iffD1]*)

Strictness

**lemma** *sinl-strict* [*simp*]:  $\text{sinl} \cdot \perp = \perp$   
**by** (*simp add: sinl-Abs-Ssum Abs-Ssum-strict*)

**lemma** *sinr-strict* [*simp*]:  $\text{sinr} \cdot \perp = \perp$   
**by** (*simp add: sinr-Abs-Ssum Abs-Ssum-strict*)

**lemma** *sinl-defined-iff* [*simp*]:  $(\text{sinl} \cdot x = \perp) = (x = \perp)$   
**by** (*cut-tac sinl-eq [of x  $\perp$ ], simp*)

**lemma** *sinr-defined-iff* [*simp*]:  $(\text{sinr} \cdot x = \perp) = (x = \perp)$   
**by** (*cut-tac sinr-eq [of x  $\perp$ ], simp*)

**lemma** *sinl-defined* [*intro!*]:  $x \neq \perp \implies \text{sinl} \cdot x \neq \perp$   
**by** *simp*

**lemma** *sinr-defined* [*intro!*]:  $x \neq \perp \implies \text{sinr} \cdot x \neq \perp$   
**by** *simp*

Compactness

**lemma** *compact-sinl*:  $\text{compact } x \implies \text{compact } (\text{sinl} \cdot x)$   
**by** (*rule compact-Ssum, simp add: Rep-Ssum-sinl strictify-conv-if*)

**lemma** *compact-sinr*:  $\text{compact } x \implies \text{compact } (\text{sinr} \cdot x)$   
**by** (*rule compact-Ssum, simp add: Rep-Ssum-sinr strictify-conv-if*)

**lemma** *compact-sinlD*:  $\text{compact } (\text{sinl} \cdot x) \implies \text{compact } x$   
**unfolding** *compact-def*  
**by** (*drule adm-subst [OF cont-Rep-CFun2 [where f=sinl]], simp*)

**lemma** *compact-sinrD*:  $\text{compact } (\text{sinr} \cdot x) \implies \text{compact } x$   
**unfolding** *compact-def*  
**by** (*drule adm-subst [OF cont-Rep-CFun2 [where f=sinr]], simp*)

**lemma** *compact-sinl-iff* [*simp*]:  $\text{compact } (\text{sinl} \cdot x) = \text{compact } x$   
**by** (*safe elim!: compact-sinl compact-sinlD*)

**lemma** *compact-sinr-iff* [*simp*]:  $\text{compact } (\text{sinr} \cdot x) = \text{compact } x$   
**by** (*safe elim!: compact-sinr compact-sinrD*)

## 16.4 Case analysis

**lemma** *Exh-Ssum*:

$z = \perp \vee (\exists a. z = \text{sinl} \cdot a \wedge a \neq \perp) \vee (\exists b. z = \text{sinr} \cdot b \wedge b \neq \perp)$   
**apply** (*rule-tac*  $x=z$  **in** *Abs-Ssum-induct*)  
**apply** (*rule-tac*  $p=y$  **in** *cprodE*, *rename-tac*  $t\ x$ )  
**apply** (*rule-tac*  $p=x$  **in** *cprodE*, *rename-tac*  $a\ b$ )  
**apply** (*rule-tac*  $p=t$  **in** *trE*)  
**apply** (*rule* *disjI1*)  
**apply** (*simp* *add*: *Ssum-def* *cpair-strict* *Abs-Ssum-strict*)  
**apply** (*rule* *disjI2*, *rule* *disjI1*, *rule-tac*  $x=a$  **in** *exI*)  
**apply** (*simp* *add*: *sinl-Abs-Ssum* *Ssum-def*)  
**apply** (*rule* *disjI2*, *rule* *disjI2*, *rule-tac*  $x=b$  **in** *exI*)  
**apply** (*simp* *add*: *sinr-Abs-Ssum* *Ssum-def*)  
**done**

**lemma** *ssumE* [*cases type*: ++]:

$\llbracket p = \perp \implies Q; \wedge x. \llbracket p = \text{sinl} \cdot x; x \neq \perp \rrbracket \implies Q; \wedge y. \llbracket p = \text{sinr} \cdot y; y \neq \perp \rrbracket \implies Q \rrbracket \implies Q$   
**by** (*cut-tac*  $z=p$  **in** *Exh-Ssum*, *auto*)

**lemma** *ssum-induct* [*induct type*: ++]:

$\llbracket P\ \perp; \wedge x. x \neq \perp \implies P\ (\text{sinl} \cdot x); \wedge y. y \neq \perp \implies P\ (\text{sinr} \cdot y) \rrbracket \implies P\ x$   
**by** (*cases*  $x$ , *simp-all*)

**lemma** *ssumE2*:

$\llbracket \wedge x. p = \text{sinl} \cdot x \implies Q; \wedge y. p = \text{sinr} \cdot y \implies Q \rrbracket \implies Q$   
**by** (*cases*  $p$ , *simp* *only*: *sinl-strict* [*symmetric*], *simp*, *simp*)

**lemma** *less-sinlD*:  $p \sqsubseteq \text{sinl} \cdot x \implies \exists y. p = \text{sinl} \cdot y \wedge y \sqsubseteq x$   
**by** (*cases*  $p$ , *rule-tac*  $x=\perp$  **in** *exI*, *simp-all*)

**lemma** *less-sinrD*:  $p \sqsubseteq \text{sinr} \cdot x \implies \exists y. p = \text{sinr} \cdot y \wedge y \sqsubseteq x$   
**by** (*cases*  $p$ , *rule-tac*  $x=\perp$  **in** *exI*, *simp-all*)

## 16.5 Case analysis combinator

**definition**

$\text{sscase} :: ('a \rightarrow 'c) \rightarrow ('b \rightarrow 'c) \rightarrow ('a ++ 'b) \rightarrow 'c$  **where**  
 $\text{sscase} = (\Lambda\ f\ g\ s. (\Lambda\ <t, x, y>. \text{If } t \text{ then } f \cdot x \text{ else } g \cdot y\ \text{fi}) \cdot (\text{Rep-Ssum } s))$

**translations**

$\text{case } s \text{ of } XCONST\ \text{sinl} \cdot x \Rightarrow t1 \mid XCONST\ \text{sinr} \cdot y \Rightarrow t2 == CONST\ \text{sscase} \cdot (\Lambda\ x.\ t1) \cdot (\Lambda\ y.\ t2) \cdot s$

**translations**

$\Lambda(XCONST\ \text{sinl} \cdot x). t == CONST\ \text{sscase} \cdot (\Lambda\ x.\ t) \cdot \perp$

$$\Lambda(XCONST \text{ sinr} \cdot y). t == CONST \text{ sscase} \cdot \perp \cdot (\Lambda y. t)$$

**lemma** *beta-sscase*:

$$\text{sscase} \cdot f \cdot g \cdot s = (\Lambda < t, x, y >. \text{If } t \text{ then } f \cdot x \text{ else } g \cdot y \text{ fi}) \cdot (\text{Rep-Ssum } s)$$

**unfolding** *sscase-def* **by** (*simp add: cont-Rep-Ssum*)

**lemma** *sscase1* [*simp*]:  $\text{sscase} \cdot f \cdot g \cdot \perp = \perp$

**unfolding** *beta-sscase* **by** (*simp add: Rep-Ssum-strict*)

**lemma** *sscase2* [*simp*]:  $x \neq \perp \implies \text{sscase} \cdot f \cdot g \cdot (\text{sinl} \cdot x) = f \cdot x$

**unfolding** *beta-sscase* **by** (*simp add: Rep-Ssum-sinl*)

**lemma** *sscase3* [*simp*]:  $y \neq \perp \implies \text{sscase} \cdot f \cdot g \cdot (\text{sinr} \cdot y) = g \cdot y$

**unfolding** *beta-sscase* **by** (*simp add: Rep-Ssum-sinr*)

**lemma** *sscase4* [*simp*]:  $\text{sscase} \cdot \text{sinl} \cdot \text{sinr} \cdot z = z$

**by** (*cases z, simp-all*)

## 16.6 Strict sum preserves flatness

**instance** ++ :: (*flat, flat*) *flat*

**apply** (*intro-classes, clarify*)

**apply** (*rule-tac p=x in ssumE, simp*)

**apply** (*rule-tac p=y in ssumE, simp-all add: flat-less-iff*)

**apply** (*rule-tac p=y in ssumE, simp-all add: flat-less-iff*)

**done**

## 16.7 Strict sum is a bifinite domain

**instantiation** ++ :: (*bifinite, bifinite*) *bifinite*

**begin**

**definition**

*approx-ssum-def*:

$$\text{approx} = (\lambda n. \text{sscase} \cdot (\Lambda x. \text{sinl} \cdot (\text{approx } n \cdot x)) \cdot (\Lambda y. \text{sinr} \cdot (\text{approx } n \cdot y)))$$

**lemma** *approx-sinl* [*simp*]:  $\text{approx } i \cdot (\text{sinl} \cdot x) = \text{sinl} \cdot (\text{approx } i \cdot x)$

**unfolding** *approx-ssum-def* **by** (*cases x =  $\perp$  simp-all*)

**lemma** *approx-sinr* [*simp*]:  $\text{approx } i \cdot (\text{sinr} \cdot x) = \text{sinr} \cdot (\text{approx } i \cdot x)$

**unfolding** *approx-ssum-def* **by** (*cases x =  $\perp$  simp-all*)

**instance** *proof*

**fix** *i :: nat and x :: 'a  $\oplus$  'b*

**show** *chain* ( $\lambda i. \text{approx } i \cdot x$ )

**unfolding** *approx-ssum-def* **by** *simp*

**show** ( $\bigsqcup i. \text{approx } i \cdot x$ ) = *x*

**unfolding** *approx-ssum-def*

**by** (*simp add: lub-distribs eta-cfun*)

**show**  $\text{approx } i \cdot (\text{approx } i \cdot x) = \text{approx } i \cdot x$

```

    by (cases x, simp add: approx-ssum-def, simp, simp)
  have {x::'a  $\oplus$  'b. approx i·x = x}  $\subseteq$ 
    ( $\lambda x. \text{sinl} \cdot x$ ) ‘ {x. approx i·x = x}  $\cup$ 
    ( $\lambda x. \text{sinr} \cdot x$ ) ‘ {x. approx i·x = x}
  by (rule subsetI, rule-tac p=x in ssumE2, simp, simp)
  thus finite {x::'a  $\oplus$  'b. approx i·x = x}
  by (rule finite-subset,
      intro finite-UnI finite-imageI finite-fixes-approx)
qed

end

end

```

## 17 One: The unit domain

```

theory One
imports Lift
begin

types one = unit lift
translations
  one <= (type) unit lift

constdefs
  ONE :: one
  ONE == Def ()

```

Exhaustion and Elimination for type *one*

```

lemma Exh-one: t =  $\perp$   $\vee$  t = ONE
apply (unfold ONE-def)
apply (induct t)
apply simp
apply simp
done

```

```

lemma oneE:  $\llbracket p = \perp \implies Q; p = ONE \implies Q \rrbracket \implies Q$ 
apply (rule Exh-one [THEN disjE])
apply fast
apply fast
done

```

```

lemma dist-less-one [simp]:  $\neg ONE \sqsubseteq \perp$ 
apply (unfold ONE-def)
apply simp
done

```

```

lemma dist-eq-one [simp]:  $ONE \neq \perp \perp \neq ONE$ 

```



```

apply (unfold ONE-def)
apply simp-all
done

```

```

lemma compact-ONE [simp]: compact ONE
by (rule compact-chfin)

```

Case analysis function for type *one*

```

definition
  one-when :: 'a::pcpo  $\rightarrow$  one  $\rightarrow$  'a where
  one-when = ( $\Lambda$  a. strictify. ( $\Lambda$  -. a))

```

```

translations
  case x of CONST ONE  $\Rightarrow$  t == CONST one-when.t.x
   $\Lambda$  (CONST ONE). t == CONST one-when.t

```

```

lemma one-when1 [simp]: (case  $\perp$  of ONE  $\Rightarrow$  t) =  $\perp$ 
by (simp add: one-when-def)

```

```

lemma one-when2 [simp]: (case ONE of ONE  $\Rightarrow$  t) = t
by (simp add: one-when-def)

```

```

lemma one-when3 [simp]: (case x of ONE  $\Rightarrow$  ONE) = x
by (rule-tac p=x in oneE, simp-all)

```

```

end

```

## 18 Fix: Fixed point operator and admissibility

```

theory Fix
imports Cfun Cprod Adm
begin

```

```

defaultsort pcpo

```

### 18.1 Iteration

```

consts
  iterate :: nat  $\Rightarrow$  ('a::cpo  $\rightarrow$  'a)  $\rightarrow$  ('a  $\rightarrow$  'a)

```

```

primrec
  iterate 0 = ( $\Lambda$  F x. x)
  iterate (Suc n) = ( $\Lambda$  F x. F.(iterate n.F.x))

```

Derive inductive properties of iterate from primitive recursion

```

lemma iterate-0 [simp]: iterate 0.F.x = x
by simp

```

**lemma** *iterate-Suc* [*simp*]:  $\text{iterate } (\text{Suc } n) \cdot F \cdot x = F \cdot (\text{iterate } n \cdot F \cdot x)$   
**by** *simp*

**declare** *iterate.simps* [*simp del*]

**lemma** *iterate-Suc2*:  $\text{iterate } (\text{Suc } n) \cdot F \cdot x = \text{iterate } n \cdot F \cdot (F \cdot x)$   
**by** (*induct-tac n, auto*)

The sequence of function iterations is a chain. This property is essential since monotonicity of *iterate* makes no sense.

**lemma** *chain-iterate2*:  $x \sqsubseteq F \cdot x \implies \text{chain } (\lambda i. \text{iterate } i \cdot F \cdot x)$   
**by** (*rule chainI, induct-tac i, auto elim: monofun-cfun-arg*)

**lemma** *chain-iterate* [*simp*]:  $\text{chain } (\lambda i. \text{iterate } i \cdot F \cdot \perp)$   
**by** (*rule chain-iterate2 [OF minimal]*)

## 18.2 Least fixed point operator

### definition

$\text{fix} :: ('a \rightarrow 'a) \rightarrow 'a$  **where**  
 $\text{fix} = (\lambda F. \bigsqcup i. \text{iterate } i \cdot F \cdot \perp)$

Binder syntax for *fix*

### syntax

*-FIX* :: [*'a, 'a*]  $\Rightarrow 'a$  (*(3FIX -./ -) [1000, 10] 10*)

### syntax (*xsymbols*)

*-FIX* :: [*'a, 'a*]  $\Rightarrow 'a$  (*(3μ -./ -) [1000, 10] 10*)

### translations

$\mu x. t == \text{CONST } \text{fix} \cdot (\lambda x. t)$

Properties of *fix*

direct connection between *fix* and iteration

**lemma** *fix-def2*:  $\text{fix} \cdot F = (\bigsqcup i. \text{iterate } i \cdot F \cdot \perp)$   
**apply** (*unfold fix-def*)  
**apply** (*rule beta-cfun*)  
**apply** (*rule cont2cont-lub*)  
**apply** (*rule ch2ch-lambda*)  
**apply** (*rule chain-iterate*)  
**apply** *simp*  
**done**

Kleene’s fixed point theorems for continuous functions in pointed omega cpo’s

**lemma** *fix-eq*:  $\text{fix} \cdot F = F \cdot (\text{fix} \cdot F)$

```

apply (simp add: fix-def2)
apply (subst lub-range-shift [of - 1, symmetric])
apply (rule chain-iterate)
apply (subst contlub-cfun-arg)
apply (rule chain-iterate)
apply simp
done

```

```

lemma fix-least-less:  $F \cdot x \sqsubseteq x \implies \text{fix} \cdot F \sqsubseteq x$ 
apply (simp add: fix-def2)
apply (rule is-lub-the lub)
apply (rule chain-iterate)
apply (rule ub-rangeI)
apply (induct-tac i)
apply simp
apply simp
apply (erule rev-trans-less)
apply (erule monofun-cfun-arg)
done

```

```

lemma fix-least:  $F \cdot x = x \implies \text{fix} \cdot F \sqsubseteq x$ 
by (rule fix-least-less, simp)

```

```

lemma fix-eq1:  $\llbracket F \cdot x = x; \forall z. F \cdot z = z \longrightarrow x \sqsubseteq z \rrbracket \implies x = \text{fix} \cdot F$ 
apply (rule antisym-less)
apply (simp add: fix-eq [symmetric])
apply (erule fix-least)
done

```

```

lemma fix-eq2:  $f \equiv \text{fix} \cdot F \implies f = F \cdot f$ 
by (simp add: fix-eq [symmetric])

```

```

lemma fix-eq3:  $f \equiv \text{fix} \cdot F \implies f \cdot x = F \cdot f \cdot x$ 
by (erule fix-eq2 [THEN cfun-fun-cong])

```

```

lemma fix-eq4:  $f = \text{fix} \cdot F \implies f = F \cdot f$ 
apply (erule ssubst)
apply (rule fix-eq)
done

```

```

lemma fix-eq5:  $f = \text{fix} \cdot F \implies f \cdot x = F \cdot f \cdot x$ 
by (erule fix-eq4 [THEN cfun-fun-cong])

```

strictness of *fix*

```

lemma fix-defined-iff:  $(\text{fix} \cdot F = \perp) = (F \cdot \perp = \perp)$ 
apply (rule iffI)
apply (erule subst)
apply (rule fix-eq [symmetric])
apply (erule fix-least [THEN UU-I])

```

$$\begin{array}{lcl} (recbindt) \ x = a, \langle y, ys \rangle = \langle b, bs \rangle & == & (recbindt) \ \langle x, y, ys \rangle = \langle a, b, bs \rangle \\ (recbindt) \ x = a, y = b & == & (recbindt) \ \langle x, y \rangle = \langle a, b \rangle \end{array}$$

**translations**

$-Letrec (-recbinds\ b\ bs)\ e == -Letrec\ b\ (-Letrec\ bs\ e)$   
 $Letrec\ xs = a\ in\ \langle e, es \rangle == CONST\ CLetrec.(\Lambda\ xs.\ \langle a, e, es \rangle)$   
 $Letrec\ xs = a\ in\ e == CONST\ CLetrec.(\Lambda\ xs.\ \langle a, e \rangle)$

Bekic’s Theorem: Simultaneous fixed points over pairs can be written in terms of separate fixed points.

**lemma** *fix-cprod*:

$fix.(F::'a \times 'b \rightarrow 'a \times 'b) =$   
 $\langle \mu\ x.\ cfst.(F.\langle x, \mu\ y.\ csnd.(F.\langle x, y \rangle))),$   
 $\mu\ y.\ csnd.(F.\langle \mu\ x.\ cfst.(F.\langle x, \mu\ y.\ csnd.(F.\langle x, y \rangle))), y \rangle \rangle$   
 $(is\ fix.F = \langle ?x, ?y \rangle)$

**proof** (*rule* *fix-eqI* [*rule-format*, *symmetric*])

**have** 1:  $cfst.(F.\langle ?x, ?y \rangle) = ?x$   
**by** (*rule* *trans* [*symmetric*, *OF* *fix-eq*], *simp*)  
**have** 2:  $csnd.(F.\langle ?x, ?y \rangle) = ?y$   
**by** (*rule* *trans* [*symmetric*, *OF* *fix-eq*], *simp*)  
**from** 1 2 **show**  $F.\langle ?x, ?y \rangle = \langle ?x, ?y \rangle$  **by** (*simp* *add*: *eq-cprod*)

**next**

**fix**  $z$  **assume**  $F.z: F.z = z$   
**then obtain**  $x\ y$  **where**  $z: z = \langle x, y \rangle$  **by** (*rule-tac*  $p=z$  **in** *cprodE*)  
**from**  $F.z\ z$  **have**  $F.x: cfst.(F.\langle x, y \rangle) = x$  **by** *simp*  
**from**  $F.z\ z$  **have**  $F.y: csnd.(F.\langle x, y \rangle) = y$  **by** *simp*  
**let**  $?y1 = \mu\ y.\ csnd.(F.\langle x, y \rangle)$   
**have**  $?y1 \sqsubseteq y$  **by** (*rule* *fix-least*, *simp* *add*:  $F.y$ )  
**hence**  $cfst.(F.\langle x, ?y1 \rangle) \sqsubseteq cfst.(F.\langle x, y \rangle)$  **by** (*simp* *add*: *monofun-cfun*)  
**hence**  $cfst.(F.\langle x, ?y1 \rangle) \sqsubseteq x$  **using**  $F.x$  **by** *simp*  
**hence** 1:  $?x \sqsubseteq x$  **by** (*simp* *add*: *fix-least-less*)  
**hence**  $csnd.(F.\langle ?x, y \rangle) \sqsubseteq csnd.(F.\langle x, y \rangle)$  **by** (*simp* *add*: *monofun-cfun*)  
**hence**  $csnd.(F.\langle ?x, y \rangle) \sqsubseteq y$  **using**  $F.y$  **by** *simp*  
**hence** 2:  $?y \sqsubseteq y$  **by** (*simp* *add*: *fix-least-less*)  
**show**  $\langle ?x, ?y \rangle \sqsubseteq z$  **using**  $z\ 1\ 2$  **by** *simp*

**qed**

**18.5 Weak admissibility****definition**

$adm_w :: ('a \Rightarrow bool) \Rightarrow bool$  **where**  
 $adm_w\ P = (\forall F. (\forall n. P\ (iterate\ n.\ F.\perp)) \longrightarrow P\ (\bigsqcup i. iterate\ i.\ F.\perp))$

an admissible formula is also weak admissible

**lemma** *adm-impl-admw*:  $adm\ P \Longrightarrow adm_w\ P$

**apply** (*unfold* *adm-w-def*)

**apply** (*intro* *strip*)

**apply** (*erule* *admD*)

**apply** (*rule* *chain-iterate*)

**apply** (*erule* *spec*)

**done**

computational induction for weak admissible formulae

**lemma** *wfix-ind*:  $\llbracket \text{adm}w\ P; \forall n. P\ (\text{iterate}\ n.\ F.\ \perp) \rrbracket \implies P\ (\text{fix}.\ F)$   
**by** (*simp add: fix-def2 admw-def*)

**lemma** *def-wfix-ind*:  
 $\llbracket f \equiv \text{fix}.\ F; \text{adm}w\ P; \forall n. P\ (\text{iterate}\ n.\ F.\ \perp) \rrbracket \implies P\ f$   
**by** (*simp, rule wfix-ind*)

**end**

## 19 Fixrec: Package for defining recursive functions in HOLCF

**theory** *Fixrec*  
**imports** *Sprod Ssum Up One Tr Fix*  
**uses** (*Tools/fixrec-package.ML*)  
**begin**

### 19.1 Maybe monad type

**defaultsort** *cpo*

**pcpodef** (**open**) *'a maybe* = *UNIV::(one ++ 'a u) set*  
**by** *simp*

**constdefs**  
*fail* :: *'a maybe*  
*fail*  $\equiv$  *Abs-maybe (sinl.ONE)*

**constdefs**  
*return* :: *'a*  $\rightarrow$  *'a maybe* **where**  
*return*  $\equiv$   $\Lambda\ x. \text{Abs-maybe}\ (\text{sinr}.\ (\text{up}.\ x))$

**definition**  
*maybe-when* :: *'b*  $\rightarrow$  (*'a*  $\rightarrow$  *'b*)  $\rightarrow$  *'a maybe*  $\rightarrow$  *'b::pcpo* **where**  
*maybe-when* = ( $\Lambda\ f\ r\ m. \text{sscase}.\ (\Lambda\ x. f).\ (\text{fup}.\ r).\ (\text{Rep-maybe}\ m)$ )

**lemma** *maybeE*:  
 $\llbracket p = \perp \implies Q; p = \text{fail} \implies Q; \bigwedge x. p = \text{return}.\ x \implies Q \rrbracket \implies Q$   
**apply** (*unfold fail-def return-def*)  
**apply** (*cases p, rename-tac r*)  
**apply** (*rule-tac p=r in ssumE, simp add: Abs-maybe-strict*)  
**apply** (*rule-tac p=x in oneE, simp, simp*)  
**apply** (*rule-tac p=y in upE, simp, simp add: cont-Abs-maybe*)  
**done**

**lemma** *return-defined [simp]*: *return.x*  $\neq \perp$   
**by** (*simp add: return-def cont-Abs-maybe Abs-maybe-defined*)

**lemma** *fail-defined* [simp]:  $\text{fail} \neq \perp$   
**by** (simp add: fail-def Abs-maybe-defined)

**lemma** *return-eq* [simp]:  $(\text{return} \cdot x = \text{return} \cdot y) = (x = y)$   
**by** (simp add: return-def cont-Abs-maybe Abs-maybe-inject)

**lemma** *return-neq-fail* [simp]:  
 $\text{return} \cdot x \neq \text{fail} \text{ fail} \neq \text{return} \cdot x$   
**by** (simp-all add: return-def fail-def cont-Abs-maybe Abs-maybe-inject)

**lemma** *maybe-when-rews* [simp]:  
 $\text{maybe-when} \cdot f \cdot r \cdot \perp = \perp$   
 $\text{maybe-when} \cdot f \cdot r \cdot \text{fail} = f$   
 $\text{maybe-when} \cdot f \cdot r \cdot (\text{return} \cdot x) = r \cdot x$   
**by** (simp-all add: return-def fail-def maybe-when-def cont-Rep-maybe  
cont-Abs-maybe Abs-maybe-inverse Rep-maybe-strict)

**translations**  
 $\text{case } m \text{ of fail} \Rightarrow t1 \mid \text{return} \cdot x \Rightarrow t2 == \text{CONST maybe-when} \cdot t1 \cdot (\Lambda x. t2) \cdot m$

### 19.1.1 Monadic bind operator

**definition**  
 $\text{bind} :: 'a \text{ maybe} \rightarrow ('a \rightarrow 'b \text{ maybe}) \rightarrow 'b \text{ maybe}$  **where**  
 $\text{bind} = (\Lambda m f. \text{case } m \text{ of fail} \Rightarrow \text{fail} \mid \text{return} \cdot x \Rightarrow f \cdot x)$

monad laws

**lemma** *bind-strict* [simp]:  $\text{bind} \cdot \perp \cdot f = \perp$   
**by** (simp add: bind-def)

**lemma** *bind-fail* [simp]:  $\text{bind} \cdot \text{fail} \cdot f = \text{fail}$   
**by** (simp add: bind-def)

**lemma** *left-unit* [simp]:  $\text{bind} \cdot (\text{return} \cdot a) \cdot k = k \cdot a$   
**by** (simp add: bind-def)

**lemma** *right-unit* [simp]:  $\text{bind} \cdot m \cdot \text{return} = m$   
**by** (rule-tac p=m in maybeE, simp-all)

**lemma** *bind-assoc*:  
 $\text{bind} \cdot (\text{bind} \cdot m \cdot k) \cdot h = \text{bind} \cdot m \cdot (\Lambda a. \text{bind} \cdot (k \cdot a) \cdot h)$   
**by** (rule-tac p=m in maybeE, simp-all)

### 19.1.2 Run operator

**definition**  
 $\text{run} :: 'a \text{ maybe} \rightarrow 'a :: \text{pcpo}$  **where**  
 $\text{run} = \text{maybe-when} \cdot \perp \cdot \text{ID}$

rewrite rules for run

**lemma** *run-strict* [*simp*]:  $\text{run} \cdot \perp = \perp$   
**by** (*simp add: run-def*)

**lemma** *run-fail* [*simp*]:  $\text{run} \cdot \text{fail} = \perp$   
**by** (*simp add: run-def*)

**lemma** *run-return* [*simp*]:  $\text{run} \cdot (\text{return} \cdot x) = x$   
**by** (*simp add: run-def*)

### 19.1.3 Monad plus operator

**definition**

$\text{mplus} :: 'a \text{ maybe} \rightarrow 'a \text{ maybe} \rightarrow 'a \text{ maybe}$  **where**  
 $\text{mplus} = (\Lambda m1\ m2. \text{case } m1 \text{ of fail} \Rightarrow m2 \mid \text{return} \cdot x \Rightarrow m1)$

**abbreviation**

$\text{mplus-syn} :: ['a \text{ maybe}, 'a \text{ maybe}] \Rightarrow 'a \text{ maybe}$  (**infixr**  $+++$  65) **where**  
 $m1\ +++\ m2 == \text{mplus} \cdot m1 \cdot m2$

rewrite rules for mplus

**lemma** *mplus-strict* [*simp*]:  $\perp\ +++\ m = \perp$   
**by** (*simp add: mplus-def*)

**lemma** *mplus-fail* [*simp*]:  $\text{fail}\ +++\ m = m$   
**by** (*simp add: mplus-def*)

**lemma** *mplus-return* [*simp*]:  $\text{return} \cdot x\ +++\ m = \text{return} \cdot x$   
**by** (*simp add: mplus-def*)

**lemma** *mplus-fail2* [*simp*]:  $m\ +++\ \text{fail} = m$   
**by** (*rule-tac p=m in maybeE, simp-all*)

**lemma** *mplus-assoc*:  $(x\ +++\ y)\ +++\ z = x\ +++\ (y\ +++\ z)$   
**by** (*rule-tac p=x in maybeE, simp-all*)

### 19.1.4 Fatbar combinator

**definition**

$\text{fatbar} :: ('a \rightarrow 'b \text{ maybe}) \rightarrow ('a \rightarrow 'b \text{ maybe}) \rightarrow ('a \rightarrow 'b \text{ maybe})$  **where**  
 $\text{fatbar} = (\Lambda a\ b\ x. a \cdot x\ +++\ b \cdot x)$

**abbreviation**

$\text{fatbar-syn} :: ['a \rightarrow 'b \text{ maybe}, 'a \rightarrow 'b \text{ maybe}] \Rightarrow 'a \rightarrow 'b \text{ maybe}$  (**infixr**  $\parallel$  60)  
**where**  
 $m1\ \parallel\ m2 == \text{fatbar} \cdot m1 \cdot m2$

**lemma** *fatbar1*:  $m \cdot x = \perp \implies (m\ \parallel\ ms) \cdot x = \perp$   
**by** (*simp add: fatbar-def*)



**lemma** *fatbar2*:  $m \cdot x = \text{fail} \implies (m \parallel ms) \cdot x = ms \cdot x$   
**by** (*simp add: fatbar-def*)

**lemma** *fatbar3*:  $m \cdot x = \text{return} \cdot y \implies (m \parallel ms) \cdot x = \text{return} \cdot y$   
**by** (*simp add: fatbar-def*)

**lemmas** *fatbar-simps* = *fatbar1 fatbar2 fatbar3*

**lemma** *run-fatbar1*:  $m \cdot x = \perp \implies \text{run} \cdot ((m \parallel ms) \cdot x) = \perp$   
**by** (*simp add: fatbar-def*)

**lemma** *run-fatbar2*:  $m \cdot x = \text{fail} \implies \text{run} \cdot ((m \parallel ms) \cdot x) = \text{run} \cdot (ms \cdot x)$   
**by** (*simp add: fatbar-def*)

**lemma** *run-fatbar3*:  $m \cdot x = \text{return} \cdot y \implies \text{run} \cdot ((m \parallel ms) \cdot x) = y$   
**by** (*simp add: fatbar-def*)

**lemmas** *run-fatbar-simps* [*simp*] = *run-fatbar1 run-fatbar2 run-fatbar3*

## 19.2 Case branch combinator

### constdefs

*branch* ::  $('a \rightarrow 'b \text{ maybe}) \Rightarrow ('b \rightarrow 'c) \rightarrow ('a \rightarrow 'c \text{ maybe})$   
*branch*  $p \equiv \Lambda r x. \text{bind} \cdot (p \cdot x) \cdot (\Lambda y. \text{return} \cdot (r \cdot y))$

**lemma** *branch-rews*:

$p \cdot x = \perp \implies \text{branch } p \cdot r \cdot x = \perp$   
 $p \cdot x = \text{fail} \implies \text{branch } p \cdot r \cdot x = \text{fail}$   
 $p \cdot x = \text{return} \cdot y \implies \text{branch } p \cdot r \cdot x = \text{return} \cdot (r \cdot y)$

**by** (*simp-all add: branch-def*)

**lemma** *branch-return* [*simp*]:  $\text{branch } \text{return} \cdot r \cdot x = \text{return} \cdot (r \cdot x)$   
**by** (*simp add: branch-def*)

## 19.3 Case syntax

### nonterminals

*Case-syn Cases-syn*

### syntax

*-Case-syntax* ::  $[ 'a, \text{Cases-syn} ] \Rightarrow 'b$  ((*Case* - of / -) 10)  
*-Case1* ::  $[ 'a, 'b ] \Rightarrow \text{Case-syn}$  ((2- => / -) 10)  
:: *Case-syn* => *Cases-syn* (-)  
*-Case2* ::  $[ \text{Case-syn}, \text{Cases-syn} ] \Rightarrow \text{Cases-syn}$  (- / | -)

**syntax** (*xsymbols*)

*-Case1* ::  $[ 'a, 'b ] \Rightarrow \text{Case-syn}$  ((2- => / -) 10)

### translations

```
-Case-syntax x ms == CONST Fixrec.run.(ms.x)
-Case2 m ms == m || ms
```

Parsing Case expressions

**syntax**

```
-pat :: 'a
-var :: 'a
-noargs :: 'a
```

**translations**

```
-Case1 p r => CONST branch (-pat p).(-var p r)
-var (-args x y) r => CONST csplit.(-var x (-var y r))
-var -noargs r => CONST unit-when.r
```

**parse-translation** <<

```
(* rewrites (-pat x) => (return) *)
(* rewrites (-var x t) => (Abs-CFun (%x. t)) *)
[(-pat, K (Syntax.const Fixrec.return)),
 mk-binder-tr (-var, Abs-CFun)];
>>
```

Printing Case expressions

**syntax**

```
-match :: 'a
```

**print-translation** <<

```
let
  fun dest-LAM (Const (@{const-syntax Rep-CFun},-) $ Const (@{const-syntax
unit-when},-) $ t) =
    (Syntax.const -noargs, t)
  | dest-LAM (Const (@{const-syntax Rep-CFun},-) $ Const (@{const-syntax
csplit},-) $ t) =
    let
      val (v1, t1) = dest-LAM t;
      val (v2, t2) = dest-LAM t1;
      in (Syntax.const -args $ v1 $ v2, t2) end
  | dest-LAM (Const (@{const-syntax Abs-CFun},-) $ t) =
    let
      val abs = case t of Abs abs => abs
      | - => (x, dummyT, incr-boundvars 1 t $ Bound 0);
      val (x, t') = atomic-abs-tr' abs;
      in (Syntax.const -var $ x, t') end
  | dest-LAM - = raise Match; (* too few vars: abort translation *)

  fun Case1-tr' [Const(@{const-syntax branch},-) $ p, r] =
    let val (v, t) = dest-LAM r;
    in Syntax.const -Case1 $ (Syntax.const -match $ p $ v) $ t end;

in [(@{const-syntax Rep-CFun}, Case1-tr')] end;
```

»

#### translations

$x \leq \text{-match Fixrec.return } (-\text{var } x)$

### 19.4 Pattern combinators for data constructors

**types**  $(\text{'a}, \text{'b}) \text{ pat} = \text{'a} \rightarrow \text{'b maybe}$

#### definition

$\text{cpair-pat} :: (\text{'a}, \text{'c}) \text{ pat} \Rightarrow (\text{'b}, \text{'d}) \text{ pat} \Rightarrow (\text{'a} \times \text{'b}, \text{'c} \times \text{'d}) \text{ pat}$  **where**  
 $\text{cpair-pat } p1 \text{ } p2 = (\Lambda \langle x, y \rangle.$   
 $\text{bind} \cdot (p1 \cdot x) \cdot (\Lambda a. \text{bind} \cdot (p2 \cdot y) \cdot (\Lambda b. \text{return} \cdot \langle a, b \rangle)))$

#### definition

$\text{spair-pat} ::$   
 $(\text{'a}, \text{'c}) \text{ pat} \Rightarrow (\text{'b}, \text{'d}) \text{ pat} \Rightarrow (\text{'a}::\text{pcpo} \otimes \text{'b}::\text{pcpo}, \text{'c} \times \text{'d}) \text{ pat}$  **where**  
 $\text{spair-pat } p1 \text{ } p2 = (\Lambda (:x, y). \text{cpair-pat } p1 \text{ } p2 \cdot \langle x, y \rangle)$

#### definition

$\text{sinl-pat} :: (\text{'a}, \text{'c}) \text{ pat} \Rightarrow (\text{'a}::\text{pcpo} \oplus \text{'b}::\text{pcpo}, \text{'c}) \text{ pat}$  **where**  
 $\text{sinl-pat } p = \text{sscase} \cdot p \cdot (\Lambda x. \text{fail})$

#### definition

$\text{sinr-pat} :: (\text{'b}, \text{'c}) \text{ pat} \Rightarrow (\text{'a}::\text{pcpo} \oplus \text{'b}::\text{pcpo}, \text{'c}) \text{ pat}$  **where**  
 $\text{sinr-pat } p = \text{sscase} \cdot (\Lambda x. \text{fail}) \cdot p$

#### definition

$\text{up-pat} :: (\text{'a}, \text{'b}) \text{ pat} \Rightarrow (\text{'a } u, \text{'b}) \text{ pat}$  **where**  
 $\text{up-pat } p = \text{fup} \cdot p$

#### definition

$\text{TT-pat} :: (\text{tr}, \text{unit}) \text{ pat}$  **where**  
 $\text{TT-pat} = (\Lambda b. \text{If } b \text{ then return} \cdot () \text{ else fail } fi)$

#### definition

$\text{FF-pat} :: (\text{tr}, \text{unit}) \text{ pat}$  **where**  
 $\text{FF-pat} = (\Lambda b. \text{If } b \text{ then fail else return} \cdot () \text{ fi})$

#### definition

$\text{ONE-pat} :: (\text{one}, \text{unit}) \text{ pat}$  **where**  
 $\text{ONE-pat} = (\Lambda \text{ONE}. \text{return} \cdot ())$

Parse translations (patterns)

#### translations

$\text{-pat } (XCONST \text{cpair} \cdot x \cdot y) \Rightarrow CONST \text{cpair-pat } (-\text{pat } x) (-\text{pat } y)$   
 $\text{-pat } (XCONST \text{spair} \cdot x \cdot y) \Rightarrow CONST \text{spair-pat } (-\text{pat } x) (-\text{pat } y)$   
 $\text{-pat } (XCONST \text{sinl} \cdot x) \Rightarrow CONST \text{sinl-pat } (-\text{pat } x)$   
 $\text{-pat } (XCONST \text{sinr} \cdot x) \Rightarrow CONST \text{sinr-pat } (-\text{pat } x)$

```

-pat (XCONST up·x) => CONST up-pat (-pat x)
-pat (XCONST TT) => CONST TT-pat
-pat (XCONST FF) => CONST FF-pat
-pat (XCONST ONE) => CONST ONE-pat

```

CONST version is also needed for constructors with special syntax

#### translations

```

-pat (CONST cpair·x·y) => CONST cpair-pat (-pat x) (-pat y)
-pat (CONST spair·x·y) => CONST spair-pat (-pat x) (-pat y)

```

Parse translations (variables)

#### translations

```

-var (XCONST cpair·x·y) r => -var (-args x y) r
-var (XCONST spair·x·y) r => -var (-args x y) r
-var (XCONST sinl·x) r => -var x r
-var (XCONST sinr·x) r => -var x r
-var (XCONST up·x) r => -var x r
-var (XCONST TT) r => -var -noargs r
-var (XCONST FF) r => -var -noargs r
-var (XCONST ONE) r => -var -noargs r

```

#### translations

```

-var (CONST cpair·x·y) r => -var (-args x y) r
-var (CONST spair·x·y) r => -var (-args x y) r

```

Print translations

#### translations

```

CONST cpair·(-match p1 v1)·(-match p2 v2)
  <= -match (CONST cpair-pat p1 p2) (-args v1 v2)
CONST spair·(-match p1 v1)·(-match p2 v2)
  <= -match (CONST spair-pat p1 p2) (-args v1 v2)
CONST sinl·(-match p1 v1) <= -match (CONST sinl-pat p1) v1
CONST sinr·(-match p1 v1) <= -match (CONST sinr-pat p1) v1
CONST up·(-match p1 v1) <= -match (CONST up-pat p1) v1
CONST TT <= -match (CONST TT-pat) -noargs
CONST FF <= -match (CONST FF-pat) -noargs
CONST ONE <= -match (CONST ONE-pat) -noargs

```

#### lemma cpair-pat1:

```

branch p·r·x = ⊥ ==> branch (cpair-pat p q)·(csplit·r)·⟨x, y⟩ = ⊥

```

apply (simp add: branch-def cpair-pat-def)

apply (rule-tac p=p·x in maybeE, simp-all)

done

#### lemma cpair-pat2:

```

branch p·r·x = fail ==> branch (cpair-pat p q)·(csplit·r)·⟨x, y⟩ = fail

```

apply (simp add: branch-def cpair-pat-def)

apply (rule-tac p=p·x in maybeE, simp-all)

done

**lemma** *cpair-pat3*:

$\text{branch } p \cdot r \cdot x = \text{return} \cdot s \implies$   
   $\text{branch } (\text{cpair-pat } p \ q) \cdot (\text{csplit} \cdot r) \cdot \langle x, y \rangle = \text{branch } q \cdot s \cdot y$   
**apply** (*simp add: branch-def cpair-pat-def*)  
**apply** (*rule-tac p=p.x in maybeE, simp-all*)  
**apply** (*rule-tac p=q.y in maybeE, simp-all*)  
**done**

**lemmas** *cpair-pat [simp]* =  
*cpair-pat1 cpair-pat2 cpair-pat3*

**lemma** *spair-pat [simp]*:

$\text{branch } (\text{spair-pat } p1 \ p2) \cdot r \cdot \perp = \perp$   
   $\llbracket x \neq \perp; y \neq \perp \rrbracket$   
   $\implies \text{branch } (\text{spair-pat } p1 \ p2) \cdot r \cdot (:x, y:) =$   
   $\text{branch } (\text{cpair-pat } p1 \ p2) \cdot r \cdot \langle x, y \rangle$   
**by** (*simp-all add: branch-def spair-pat-def*)

**lemma** *sinl-pat [simp]*:

$\text{branch } (\text{sinl-pat } p) \cdot r \cdot \perp = \perp$   
   $x \neq \perp \implies \text{branch } (\text{sinl-pat } p) \cdot r \cdot (\text{sinl} \cdot x) = \text{branch } p \cdot r \cdot x$   
   $y \neq \perp \implies \text{branch } (\text{sinl-pat } p) \cdot r \cdot (\text{sinr} \cdot y) = \text{fail}$   
**by** (*simp-all add: branch-def sinl-pat-def*)

**lemma** *sinr-pat [simp]*:

$\text{branch } (\text{sinr-pat } p) \cdot r \cdot \perp = \perp$   
   $x \neq \perp \implies \text{branch } (\text{sinr-pat } p) \cdot r \cdot (\text{sinl} \cdot x) = \text{fail}$   
   $y \neq \perp \implies \text{branch } (\text{sinr-pat } p) \cdot r \cdot (\text{sinr} \cdot y) = \text{branch } p \cdot r \cdot y$   
**by** (*simp-all add: branch-def sinr-pat-def*)

**lemma** *up-pat [simp]*:

$\text{branch } (\text{up-pat } p) \cdot r \cdot \perp = \perp$   
   $\text{branch } (\text{up-pat } p) \cdot r \cdot (\text{up} \cdot x) = \text{branch } p \cdot r \cdot x$   
**by** (*simp-all add: branch-def up-pat-def*)

**lemma** *TT-pat [simp]*:

$\text{branch } \text{TT-pat} \cdot (\text{unit-when} \cdot r) \cdot \perp = \perp$   
   $\text{branch } \text{TT-pat} \cdot (\text{unit-when} \cdot r) \cdot \text{TT} = \text{return} \cdot r$   
   $\text{branch } \text{TT-pat} \cdot (\text{unit-when} \cdot r) \cdot \text{FF} = \text{fail}$   
**by** (*simp-all add: branch-def TT-pat-def*)

**lemma** *FF-pat [simp]*:

$\text{branch } \text{FF-pat} \cdot (\text{unit-when} \cdot r) \cdot \perp = \perp$   
   $\text{branch } \text{FF-pat} \cdot (\text{unit-when} \cdot r) \cdot \text{TT} = \text{fail}$   
   $\text{branch } \text{FF-pat} \cdot (\text{unit-when} \cdot r) \cdot \text{FF} = \text{return} \cdot r$   
**by** (*simp-all add: branch-def FF-pat-def*)

**lemma** *ONE-pat [simp]*:

$branch\ ONE\text{-}pat.(unit\text{-}when.r).\perp = \perp$   
 $branch\ ONE\text{-}pat.(unit\text{-}when.r).ONE = return.r$   
**by** (*simp-all add: branch-def ONE-pat-def*)

## 19.5 Wildcards, as-patterns, and lazy patterns

### syntax

$-as\text{-}pat :: [idt, 'a] \Rightarrow 'a$  (**infixr as 10**)  
 $-lazy\text{-}pat :: 'a \Rightarrow 'a$  ( $\sim$  - [1000] 1000)

### definition

$wild\text{-}pat :: 'a \rightarrow unit\ maybe$  **where**  
 $wild\text{-}pat = (\Lambda x. return.())$

### definition

$as\text{-}pat :: ('a \rightarrow 'b\ maybe) \Rightarrow 'a \rightarrow ('a \times 'b)\ maybe$  **where**  
 $as\text{-}pat\ p = (\Lambda x. bind.(p.x).(\Lambda a. return.(x, a)))$

### definition

$lazy\text{-}pat :: ('a \rightarrow 'b::pcpo\ maybe) \Rightarrow ('a \rightarrow 'b\ maybe)$  **where**  
 $lazy\text{-}pat\ p = (\Lambda x. return.(run.(p.x)))$

Parse translations (patterns)

### translations

$-pat\ - \Rightarrow CONST\ wild\text{-}pat$   
 $-pat\ (-as\text{-}pat\ x\ y) \Rightarrow CONST\ as\text{-}pat\ (-pat\ y)$   
 $-pat\ (-lazy\text{-}pat\ x) \Rightarrow CONST\ lazy\text{-}pat\ (-pat\ x)$

Parse translations (variables)

### translations

$-var\ -\ r \Rightarrow -var\ \text{-noargs}\ r$   
 $-var\ (-as\text{-}pat\ x\ y)\ r \Rightarrow -var\ (-args\ x\ y)\ r$   
 $-var\ (-lazy\text{-}pat\ x)\ r \Rightarrow -var\ x\ r$

Print translations

### translations

$- \leq = -match\ (CONST\ wild\text{-}pat)\ \text{-noargs}$   
 $-as\text{-}pat\ x\ (-match\ p\ v) \leq = -match\ (CONST\ as\text{-}pat\ p)\ (-args\ (-var\ x)\ v)$   
 $-lazy\text{-}pat\ (-match\ p\ v) \leq = -match\ (CONST\ lazy\text{-}pat\ p)\ v$

Lazy patterns in lambda abstractions

### translations

$-cabs\ (-lazy\text{-}pat\ p)\ r == CONST\ Fixrec.run\ oo\ (-Case1\ (-lazy\text{-}pat\ p)\ r)$

**lemma**  $wild\text{-}pat\ [simp]:\ branch\ wild\text{-}pat.(unit\text{-}when.r).x = return.r$

**by** (*simp add: branch-def wild-pat-def*)

**lemma**  $as\text{-}pat\ [simp]:$

$branch\ (as\text{-}pat\ p).(csplit.r).x = branch\ p.(r.x).x$

```

apply (simp add: branch-def as-pat-def)
apply (rule-tac p=p.x in maybeE, simp-all)
done

```

```

lemma lazy-pat [simp]:
  branch p.r.x =  $\perp$   $\implies$  branch (lazy-pat p).r.x = return.(r. $\perp$ )
  branch p.r.x = fail  $\implies$  branch (lazy-pat p).r.x = return.(r. $\perp$ )
  branch p.r.x = return.s  $\implies$  branch (lazy-pat p).r.x = return.s
apply (simp-all add: branch-def lazy-pat-def)
apply (rule-tac [!] p=p.x in maybeE, simp-all)
done

```

## 19.6 Match functions for built-in types

**defaultsort** *pcpo*

**definition**

```

match-UU :: 'a  $\rightarrow$  unit maybe where
match-UU = ( $\Lambda$  x. fail)

```

**definition**

```

match-cpair :: 'a::cpo  $\times$  'b::cpo  $\rightarrow$  ('a  $\times$  'b) maybe where
match-cpair = csplit.( $\Lambda$  x y. return.<x,y>)

```

**definition**

```

match-spair :: 'a  $\otimes$  'b  $\rightarrow$  ('a  $\times$  'b) maybe where
match-spair = ssplit.( $\Lambda$  x y. return.<x,y>)

```

**definition**

```

match-sinl :: 'a  $\oplus$  'b  $\rightarrow$  'a maybe where
match-sinl = sscase.return.( $\Lambda$  y. fail)

```

**definition**

```

match-sinr :: 'a  $\oplus$  'b  $\rightarrow$  'b maybe where
match-sinr = sscase.( $\Lambda$  x. fail).return

```

**definition**

```

match-up :: 'a::cpo u  $\rightarrow$  'a maybe where
match-up = fup.return

```

**definition**

```

match-ONE :: one  $\rightarrow$  unit maybe where
match-ONE = ( $\Lambda$  ONE. return.())

```

**definition**

```

match-TT :: tr  $\rightarrow$  unit maybe where
match-TT = ( $\Lambda$  b. If b then return.() else fail fi)

```

**definition**

*match-FF* ::  $tr \rightarrow unit$  maybe **where**  
*match-FF* = ( $\Lambda b$ . If  $b$  then fail else return.() fi)

**lemma** *match-UU-simps* [simp]:  
*match-UU*. $x$  = fail  
**by** (simp add: *match-UU-def*)

**lemma** *match-cpair-simps* [simp]:  
*match-cpair*. $\langle x, y \rangle$  = return. $\langle x, y \rangle$   
**by** (simp add: *match-cpair-def*)

**lemma** *match-spair-simps* [simp]:  
 $\llbracket x \neq \perp; y \neq \perp \rrbracket \implies \text{match-spair}.\langle x, y \rangle = \text{return}.\langle x, y \rangle$   
*match-spair*. $\perp$  =  $\perp$   
**by** (simp-all add: *match-spair-def*)

**lemma** *match-sinl-simps* [simp]:  
 $x \neq \perp \implies \text{match-sinl}.\text{sinl}.x = \text{return}.x$   
 $x \neq \perp \implies \text{match-sinl}.\text{sinr}.x = \text{fail}$   
*match-sinl*. $\perp$  =  $\perp$   
**by** (simp-all add: *match-sinl-def*)

**lemma** *match-sinr-simps* [simp]:  
 $x \neq \perp \implies \text{match-sinr}.\text{sinr}.x = \text{return}.x$   
 $x \neq \perp \implies \text{match-sinr}.\text{sinl}.x = \text{fail}$   
*match-sinr*. $\perp$  =  $\perp$   
**by** (simp-all add: *match-sinr-def*)

**lemma** *match-up-simps* [simp]:  
*match-up*.( $\text{up}.x$ ) = return. $x$   
*match-up*. $\perp$  =  $\perp$   
**by** (simp-all add: *match-up-def*)

**lemma** *match-ONE-simps* [simp]:  
*match-ONE*.ONE = return.()  
*match-ONE*. $\perp$  =  $\perp$   
**by** (simp-all add: *match-ONE-def*)

**lemma** *match-TT-simps* [simp]:  
*match-TT*.TT = return.()  
*match-TT*.FF = fail  
*match-TT*. $\perp$  =  $\perp$   
**by** (simp-all add: *match-TT-def*)

**lemma** *match-FF-simps* [simp]:  
*match-FF*.FF = return.()  
*match-FF*.TT = fail  
*match-FF*. $\perp$  =  $\perp$   
**by** (simp-all add: *match-FF-def*)



## 19.7 Mutual recursion

The following rules are used to prove unfolding theorems from fixed-point definitions of mutually recursive functions.

**lemma** *cpair-equalI*:  $\llbracket x \equiv \text{cfst} \cdot p; y \equiv \text{csnd} \cdot p \rrbracket \implies \langle x, y \rangle \equiv p$   
**by** (*simp add: surjective-pairing-Cprod2*)

**lemma** *cpair-eqD1*:  $\langle x, y \rangle = \langle x', y' \rangle \implies x = x'$   
**by** *simp*

**lemma** *cpair-eqD2*:  $\langle x, y \rangle = \langle x', y' \rangle \implies y = y'$   
**by** *simp*

lemma for proving rewrite rules

**lemma** *ssubst-lhs*:  $\llbracket t = s; P \ s = Q \rrbracket \implies P \ t = Q$   
**by** *simp*

## 19.8 Initializing the fixrec package

**use** *Tools/fixrec-package.ML*

**hide** (**open**) *const return bind fail run*

**end**

## 20 Domain: Domain package

**theory** *Domain*  
**imports** *Ssum Sprod Up One Tr Fixrec*  
**begin**

**defaultsort** *pcpo*

### 20.1 Continuous isomorphisms

A locale for continuous isomorphisms

**locale** *iso* =  
**fixes** *abs* ::  $'a \rightarrow 'b$   
**fixes** *rep* ::  $'b \rightarrow 'a$   
**assumes** *abs-iso* [*simp*]:  $\text{rep} \cdot (\text{abs} \cdot x) = x$   
**assumes** *rep-iso* [*simp*]:  $\text{abs} \cdot (\text{rep} \cdot y) = y$   
**begin**

**lemma** *swap*: *iso rep abs*  
**by** (*rule iso.intro [OF rep-iso abs-iso]*)

**lemma** *abs-less*:  $(\text{abs} \cdot x \sqsubseteq \text{abs} \cdot y) = (x \sqsubseteq y)$

**proof**

**assume**  $abs \cdot x \sqsubseteq abs \cdot y$   
  **then have**  $rep \cdot (abs \cdot x) \sqsubseteq rep \cdot (abs \cdot y)$  **by** (rule monofun-cfun-arg)  
  **then show**  $x \sqsubseteq y$  **by** simp

**next**

**assume**  $x \sqsubseteq y$   
  **then show**  $abs \cdot x \sqsubseteq abs \cdot y$  **by** (rule monofun-cfun-arg)

**qed**

**lemma** *rep-less*:  $(rep \cdot x \sqsubseteq rep \cdot y) = (x \sqsubseteq y)$   
**by** (rule iso.abs-less [OF swap])

**lemma** *abs-eq*:  $(abs \cdot x = abs \cdot y) = (x = y)$   
**by** (simp add: po-eq-conv abs-less)

**lemma** *rep-eq*:  $(rep \cdot x = rep \cdot y) = (x = y)$   
**by** (rule iso.abs-eq [OF swap])

**lemma** *abs-strict*:  $abs \cdot \perp = \perp$

**proof** –

**have**  $\perp \sqsubseteq rep \cdot \perp$  ..  
  **then have**  $abs \cdot \perp \sqsubseteq abs \cdot (rep \cdot \perp)$  **by** (rule monofun-cfun-arg)  
  **then have**  $abs \cdot \perp \sqsubseteq \perp$  **by** simp  
  **then show** ?thesis **by** (rule UU-I)

**qed**

**lemma** *rep-strict*:  $rep \cdot \perp = \perp$   
**by** (rule iso.abs-strict [OF swap])

**lemma** *abs-defin'*:  $abs \cdot x = \perp \implies x = \perp$

**proof** –

**have**  $x = rep \cdot (abs \cdot x)$  **by** simp  
  **also assume**  $abs \cdot x = \perp$   
  **also note** *rep-strict*  
  **finally show**  $x = \perp$  .

**qed**

**lemma** *rep-defin'*:  $rep \cdot z = \perp \implies z = \perp$   
**by** (rule iso.abs-defin' [OF swap])

**lemma** *abs-defined*:  $z \neq \perp \implies abs \cdot z \neq \perp$   
**by** (erule contrapos-nn, erule abs-defin')

**lemma** *rep-defined*:  $z \neq \perp \implies rep \cdot z \neq \perp$   
**by** (rule iso.abs-defined [OF iso.swap]) (rule iso-axioms)

**lemma** *abs-defined-iff*:  $(abs \cdot x = \perp) = (x = \perp)$   
**by** (auto elim: abs-defin' intro: abs-strict)

```

lemma rep-defined-iff:  $(rep \cdot x = \perp) = (x = \perp)$ 
  by (rule iso.abs-defined-iff [OF iso.swap]) (rule iso-axioms)

lemma (in iso) compact-abs-rev:  $compact (abs \cdot x) \implies compact x$ 
proof (unfold compact-def)
  assume  $adm (\lambda y. \neg abs \cdot x \sqsubseteq y)$ 
  with cont-Rep-CFun2
  have  $adm (\lambda y. \neg abs \cdot x \sqsubseteq abs \cdot y)$  by (rule adm-subst)
  then show  $adm (\lambda y. \neg x \sqsubseteq y)$  using abs-less by simp
qed

lemma compact-rep-rev:  $compact (rep \cdot x) \implies compact x$ 
  by (rule iso.compact-abs-rev [OF iso.swap]) (rule iso-axioms)

lemma compact-abs:  $compact x \implies compact (abs \cdot x)$ 
  by (rule compact-rep-rev) simp

lemma compact-rep:  $compact x \implies compact (rep \cdot x)$ 
  by (rule iso.compact-abs [OF iso.swap]) (rule iso-axioms)

lemma iso-swap:  $(x = abs \cdot y) = (rep \cdot x = y)$ 
proof
  assume  $x = abs \cdot y$ 
  then have  $rep \cdot x = rep \cdot (abs \cdot y)$  by simp
  then show  $rep \cdot x = y$  by simp
next
  assume  $rep \cdot x = y$ 
  then have  $abs \cdot (rep \cdot x) = abs \cdot y$  by simp
  then show  $x = abs \cdot y$  by simp
qed

end

```

## 20.2 Casedist

```

lemma ex-one-defined-iff:
   $(\exists x. P x \wedge x \neq \perp) = P ONE$ 
apply safe
apply (rule-tac p=x in oneE)
  apply simp
  apply simp
apply force
done

lemma ex-up-defined-iff:
   $(\exists x. P x \wedge x \neq \perp) = (\exists x. P (up \cdot x))$ 
apply safe
apply (rule-tac p=x in upE)
  apply simp

```

```

apply fast
apply (force intro!: up-defined)
done

```

```

lemma ex-sprod-defined-iff:
   $(\exists y. P\ y \wedge y \neq \perp) =$ 
   $(\exists x\ y. (P\ (:x, y:) \wedge x \neq \perp) \wedge y \neq \perp)$ 
apply safe
apply (rule-tac p=y in sprodE)
apply simp
apply fast
apply (force intro!: spair-defined)
done

```

```

lemma ex-sprod-up-defined-iff:
   $(\exists y. P\ y \wedge y \neq \perp) =$ 
   $(\exists x\ y. P\ (:up\cdot x, y:) \wedge y \neq \perp)$ 
apply safe
apply (rule-tac p=y in sprodE)
apply simp
apply (rule-tac p=x in upE)
apply simp
apply fast
apply (force intro!: spair-defined)
done

```

```

lemma ex-ssum-defined-iff:
   $(\exists x. P\ x \wedge x \neq \perp) =$ 
   $((\exists x. P\ (sinl\cdot x) \wedge x \neq \perp) \vee$ 
   $(\exists x. P\ (sinr\cdot x) \wedge x \neq \perp))$ 
apply (rule iffI)
apply (erule exE)
apply (erule conjE)
apply (rule-tac p=x in ssumE)
apply simp
apply (rule disjI1, fast)
apply (rule disjI2, fast)
apply (erule disjE)
apply force
apply force
done

```

```

lemma exh-start:  $p = \perp \vee (\exists x. p = x \wedge x \neq \perp)$ 
by auto

```

```

lemmas ex-defined-iffs =
  ex-ssum-defined-iff
  ex-sprod-up-defined-iff
  ex-sprod-defined-iff

```

*ex-up-defined-iff*  
*ex-one-defined-iff*

Rules for turning exh into casedist

**lemma** *exh-casedist0*:  $\llbracket R; R \implies P \rrbracket \implies P$   
**by** *auto*

**lemma** *exh-casedist1*:  $((P \vee Q \implies R) \implies S) \equiv (\llbracket P \implies R; Q \implies R \rrbracket \implies S)$   
**by** *rule auto*

**lemma** *exh-casedist2*:  $(\exists x. P\ x \implies Q) \equiv (\bigwedge x. P\ x \implies Q)$   
**by** *rule auto*

**lemma** *exh-casedist3*:  $(P \wedge Q \implies R) \equiv (P \implies Q \implies R)$   
**by** *rule auto*

**lemmas** *exh-casedists* = *exh-casedist1 exh-casedist2 exh-casedist3*

**end**

## 21 SetPcpo: Set as a pointed cpo

**theory** *SetPcpo*  
**imports** *Adm*  
**begin**

**instantiation** *bool* :: *po*  
**begin**

**definition**  
*less-bool-def*:  $(op \sqsubseteq) = (op \longrightarrow)$

**instance**  
**by** (*intro-classes, unfold less-bool-def, safe*)

**end**

**lemma** *less-set-eq*:  $(op \sqsubseteq) = (op \subseteq)$   
**by** (*simp add: less-fun-def less-bool-def le-fun-def le-bool-def expand-fun-eq*)

**instance** *bool* :: *finite-po* ..

**lemma** *Union-is-lub*:  $A <<| \text{Union } A$   
**unfolding** *is-lub-def is-ub-def less-set-eq* **by** *fast*

**instance** *bool* :: *cpo* ..

**lemma** *lub-eq-Union*:  $\text{lub} = \text{Union}$

**by** (*rule ext*, *rule thelubI* [*OF Union-is-lub*])

**instance** *bool* :: *pcpo*

**proof**

**have**  $\forall y::\text{bool}. \text{False} \sqsubseteq y$  **unfolding** *less-bool-def* **by** *simp*

**thus**  $\exists x::\text{bool}. \forall y. x \sqsubseteq y$  ..

**qed**

**lemma** *UU-eq-empty*:  $\perp = \{\}$

**by** (*rule UU-I* [*symmetric*], *simp add: less-set-eq*)

**lemmas** *set-cpo-simps* = *less-set-eq lub-eq-Union UU-eq-empty*

## 21.1 Admissibility of set predicates

**lemma** *adm-nonempty*:  $\text{adm } (\lambda A. \exists x. x \in A)$

**by** (*rule admI*, *force simp add: lub-eq-Union*)

**lemma** *adm-in*:  $\text{adm } (\lambda A. x \in A)$

**by** (*rule admI*, *simp add: lub-eq-Union*)

**lemma** *adm-not-in*:  $\text{adm } (\lambda A. x \notin A)$

**by** (*rule admI*, *simp add: lub-eq-Union*)

**lemma** *adm-Ball*:  $(\bigwedge x. \text{adm } (\lambda A. P A x)) \implies \text{adm } (\lambda A. \forall x \in A. P A x)$

**unfolding** *Ball-def* **by** (*simp add: adm-not-in*)

**lemma** *adm-Bex*:  $\text{adm } (\lambda A. \text{Bex } A P)$

**by** (*rule admI*, *simp add: lub-eq-Union*)

**lemma** *adm-subset*:  $\text{adm } (\lambda A. A \subseteq B)$

**by** (*rule admI*, *auto simp add: lub-eq-Union*)

**lemma** *adm-superset*:  $\text{adm } (\lambda A. B \subseteq A)$

**by** (*rule admI*, *auto simp add: lub-eq-Union*)

**lemmas** *adm-set-lemmas* =

*adm-nonempty adm-in adm-not-in adm-Bex adm-Ball adm-subset adm-superset*

## 21.2 Compactness

**lemma** *compact-empty*:  $\text{compact } \{\}$

**by** (*fold UU-eq-empty*, *simp*)

**lemma** *compact-insert*:  $\text{compact } A \implies \text{compact } (\text{insert } x A)$

**unfolding** *compact-def set-cpo-simps*

**by** (*simp add: adm-set-lemmas*)

**lemma** *finite-imp-compact*:  $\text{finite } A \implies \text{compact } A$

**by** (*induct A set: finite*, *rule compact-empty*, *erule compact-insert*)

end

## 22 CompactBasis: Compact bases of domains

```
theory CompactBasis
imports Bifinite SetPcpo
begin
```

### 22.1 Ideals over a preorder

```
context preorder
begin
```

**definition**

```
ideal :: 'a set  $\Rightarrow$  bool where
ideal A = (( $\exists x. x \in A$ )  $\wedge$  ( $\forall x \in A. \forall y \in A. \exists z \in A. x \sqsubseteq z \wedge y \sqsubseteq z$ )  $\wedge$ 
( $\forall x y. x \sqsubseteq y \longrightarrow y \in A \longrightarrow x \in A$ ))
```

**lemma idealI:**

```
assumes  $\exists x. x \in A$ 
assumes  $\bigwedge x y. [x \in A; y \in A] \Longrightarrow \exists z \in A. x \sqsubseteq z \wedge y \sqsubseteq z$ 
assumes  $\bigwedge x y. [x \sqsubseteq y; y \in A] \Longrightarrow x \in A$ 
shows ideal A
```

**unfolding ideal-def using prems by fast**

**lemma idealD1:**

```
ideal A  $\Longrightarrow \exists x. x \in A$ 
```

**unfolding ideal-def by fast**

**lemma idealD2:**

```
 $[ideal A; x \in A; y \in A] \Longrightarrow \exists z \in A. x \sqsubseteq z \wedge y \sqsubseteq z$ 
```

**unfolding ideal-def by fast**

**lemma idealD3:**

```
 $[ideal A; x \sqsubseteq y; y \in A] \Longrightarrow x \in A$ 
```

**unfolding ideal-def by fast**

**lemma ideal-directed-finite:**

```
assumes A: ideal A
```

```
shows  $[finite U; U \subseteq A] \Longrightarrow \exists z \in A. \forall x \in U. x \sqsubseteq z$ 
```

**apply (induct U set: finite)**

**apply (simp add: idealD1 [OF A])**

**apply (simp, clarify, rename-tac y)**

**apply (drule (1) idealD2 [OF A])**

**apply (clarify, erule-tac x=z in rev-bexI)**

**apply (fast intro: trans-less)**

**done**

```

lemma ideal-principal: ideal { $x. x \sqsubseteq z$ }
apply (rule idealI)
apply (rule-tac  $x=z$  in exI)
apply fast
apply (rule-tac  $x=z$  in bexI, fast)
apply fast
apply (fast intro: trans-less)
done

lemma directed-image-ideal:
  assumes  $A$ : ideal  $A$ 
  assumes  $f$ :  $\bigwedge x y. x \sqsubseteq y \implies f x \sqsubseteq f y$ 
  shows directed ( $f \text{ ` } A$ )
apply (rule directedI)
apply (cut-tac idealD1 [OF  $A$ ], fast)
apply (clarify, rename-tac  $a\ b$ )
apply (drule (1) idealD2 [OF  $A$ ])
apply (clarify, rename-tac  $c$ )
apply (rule-tac  $x=f\ c$  in rev-bexI)
apply (erule imageI)
apply (simp add: f)
done

lemma adm-ideal: adm ( $\lambda A. \text{ideal } A$ )
unfolding ideal-def by (intro adm-lemmas adm-set-lemmas)

lemma lub-image-principal:
  assumes  $f$ :  $\bigwedge x y. x \sqsubseteq y \implies f x \sqsubseteq f y$ 
  shows  $(\bigsqcup x \in \{x. x \sqsubseteq y\}. f x) = f y$ 
apply (rule thelubI)
apply (rule is-lub-maximal)
apply (rule ub-imageI)
apply (simp add: f)
apply (rule imageI)
apply simp
done

end

```

## 22.2 Defining functions in terms of basis elements

```

lemma finite-directed-contains-lub:
   $\llbracket \text{finite } S; \text{directed } S \rrbracket \implies \exists u \in S. S <<| u$ 
apply (drule (1) directed-finiteD, rule subset-refl)
apply (erule bexE)
apply (rule rev-bexI, assumption)
apply (erule (1) is-lub-maximal)
done

```



**lemma** *lub-finite-directed-in-self*:

$\llbracket \text{finite } S; \text{ directed } S \rrbracket \implies \text{lub } S \in S$   
**apply** (*drule* (1) *finite-directed-contains-lub*, *clarify*)  
**apply** (*drule* *thelubI*, *simp*)  
**done**

**lemma** *finite-directed-has-lub*:  $\llbracket \text{finite } S; \text{ directed } S \rrbracket \implies \exists u. S <<| u$   
**by** (*drule* (1) *finite-directed-contains-lub*, *fast*)

**lemma** *is-ub-thelub0*:  $\llbracket \exists u. S <<| u; x \in S \rrbracket \implies x \sqsubseteq \text{lub } S$   
**apply** (*erule* *exE*, *drule* *lubI*)  
**apply** (*drule* *is-lubD1*)  
**apply** (*erule* (1) *is-ubD*)  
**done**

**lemma** *is-lub-thelub0*:  $\llbracket \exists u. S <<| u; S <| x \rrbracket \implies \text{lub } S \sqsubseteq x$   
**by** (*erule* *exE*, *drule* *lubI*, *erule* *is-lub-lub*)

**locale** *basis-take* = *preorder* *r* +  
  **fixes** *take* :: *nat*  $\Rightarrow$  '*a*::*type*  $\Rightarrow$  '*a*  
  **assumes** *take-less*: *r* (*take* *n* *a*) *a*  
  **assumes** *take-take*: *take* *n* (*take* *n* *a*) = *take* *n* *a*  
  **assumes** *take-mono*: *r* *a* *b*  $\implies$  *r* (*take* *n* *a*) (*take* *n* *b*)  
  **assumes** *take-chain*: *r* (*take* *n* *a*) (*take* (*Suc* *n*) *a*)  
  **assumes** *finite-range-take*: *finite* (*range* (*take* *n*))  
  **assumes** *take-covers*:  $\exists n. \text{take } n \text{ } a = a$

**locale** *ideal-completion* = *basis-take* *r* +  
  **fixes** *principal* :: '*a*::*type*  $\Rightarrow$  '*b*::*cpo*  
  **fixes** *rep* :: '*b*::*cpo*  $\Rightarrow$  '*a*::*type* *set*  
  **assumes** *ideal-rep*:  $\bigwedge x. \text{preorder.ideal } r \text{ (rep } x)$   
  **assumes** *cont-rep*: *cont* *rep*  
  **assumes** *rep-principal*:  $\bigwedge a. \text{rep (principal } a) = \{b. r \text{ } b \text{ } a\}$   
  **assumes** *subset-repD*:  $\bigwedge x \ y. \text{rep } x \subseteq \text{rep } y \implies x \sqsubseteq y$   
**begin**

**lemma** *finite-take-rep*: *finite* (*take* *n* ‘ *rep* *x*)  
**by** (*rule* *finite-subset* [*OF* *image-mono* [*OF* *subset-UNIV*] *finite-range-take*])

**lemma** *basis-fun-lemma0*:  
  **fixes** *f* :: '*a*::*type*  $\Rightarrow$  '*c*::*cpo*  
  **assumes** *f-mono*:  $\bigwedge a \ b. r \text{ } a \text{ } b \implies f \text{ } a \sqsubseteq f \text{ } b$   
  **shows**  $\exists u. f \text{ ' take } i \text{ ' rep } x <<| u$   
**apply** (*rule* *finite-directed-has-lub*)  
**apply** (*rule* *finite-imageI*)  
**apply** (*rule* *finite-take-rep*)  
**apply** (*subst* *image-image*)  
**apply** (*rule* *directed-image-ideal*)

```

apply (rule ideal-rep)
apply (rule f-mono)
apply (erule take-mono)
done

```

```

lemma basis-fun-lemma1:
  fixes f :: 'a::type  $\Rightarrow$  'c::cpo
  assumes f-mono:  $\bigwedge a\ b. r\ a\ b \implies f\ a \sqsubseteq f\ b$ 
  shows chain  $(\lambda i. \text{lub}\ (f\ ` \text{take}\ i\ ` \text{rep}\ x))$ 
  apply (rule chainI)
  apply (rule is-lub-the lub0)
  apply (rule basis-fun-lemma0, erule f-mono)
  apply (rule is-ubI, clarsimp, rename-tac a)
  apply (rule sq-le.trans-less [OF f-mono [OF take-chain]])
  apply (rule is-ub-the lub0)
  apply (rule basis-fun-lemma0, erule f-mono)
  apply simp
done

```

```

lemma basis-fun-lemma2:
  fixes f :: 'a::type  $\Rightarrow$  'c::cpo
  assumes f-mono:  $\bigwedge a\ b. r\ a\ b \implies f\ a \sqsubseteq f\ b$ 
  shows  $f\ ` \text{rep}\ x <| (\bigsqcup i. \text{lub}\ (f\ ` \text{take}\ i\ ` \text{rep}\ x))$ 
  apply (rule is-lubI)
  apply (rule ub-imageI, rename-tac a)
  apply (cut-tac a=a in take-covers, erule exE, rename-tac i)
  apply (erule subst)
  apply (rule rev-trans-less)
  apply (rule-tac x=i in is-ub-the lub)
  apply (rule basis-fun-lemma1, erule f-mono)
  apply (rule is-ub-the lub0)
  apply (rule basis-fun-lemma0, erule f-mono)
  apply simp
  apply (rule is-lub-the lub [OF - ub-rangeI])
  apply (rule basis-fun-lemma1, erule f-mono)
  apply (rule is-lub-the lub0)
  apply (rule basis-fun-lemma0, erule f-mono)
  apply (rule is-ubI, clarsimp, rename-tac a)
  apply (rule sq-le.trans-less [OF f-mono [OF take-less]])
  apply (erule (1) ub-imageD)
done

```

```

lemma basis-fun-lemma:
  fixes f :: 'a::type  $\Rightarrow$  'c::cpo
  assumes f-mono:  $\bigwedge a\ b. r\ a\ b \implies f\ a \sqsubseteq f\ b$ 
  shows  $\exists u. f\ ` \text{rep}\ x <| u$ 
  by (rule exI, rule basis-fun-lemma2, erule f-mono)

```

```

lemma rep-mono:  $x \sqsubseteq y \implies \text{rep}\ x \subseteq \text{rep}\ y$ 

```

**apply** (*drule* *cont-rep* [*THEN* *cont2mono*, *THEN* *monofunE*])  
**apply** (*simp* *add*: *set-cpo-simps*)  
**done**

**lemma** *rep-contrub*:  
 $\text{chain } Y \implies \text{rep } (\bigsqcup i. Y i) = (\bigcup i. \text{rep } (Y i))$   
**by** (*simp* *add*: *cont2contrubE* [*OF* *cont-rep*] *set-cpo-simps*)

**lemma** *less-def*:  $x \sqsubseteq y \iff \text{rep } x \subseteq \text{rep } y$   
**by** (*rule* *iffI* [*OF* *rep-mono* *subset-repD*])

**lemma** *rep-eq*:  $\text{rep } x = \{a. \text{principal } a \sqsubseteq x\}$   
**unfolding** *less-def* *rep-principal*  
**apply** *safe*  
**apply** (*erule* (1) *idealD3* [*OF* *ideal-rep*])  
**apply** (*erule* *subsetD*, *simp* *add*: *refl*)  
**done**

**lemma** *mem-rep-iff-principal-less*:  $a \in \text{rep } x \iff \text{principal } a \sqsubseteq x$   
**by** (*simp* *add*: *rep-eq*)

**lemma** *principal-less-iff-mem-rep*:  $\text{principal } a \sqsubseteq x \iff a \in \text{rep } x$   
**by** (*simp* *add*: *rep-eq*)

**lemma** *principal-less-iff*:  $\text{principal } a \sqsubseteq \text{principal } b \iff r a b$   
**by** (*simp* *add*: *principal-less-iff-mem-rep* *rep-principal*)

**lemma** *principal-eq-iff*:  $\text{principal } a = \text{principal } b \iff r a b \wedge r b a$   
**unfolding** *po-eq-conv* [**where** '*a*'=*b*] *principal-less-iff* ..

**lemma** *repD*:  $a \in \text{rep } x \implies \text{principal } a \sqsubseteq x$   
**by** (*simp* *add*: *rep-eq*)

**lemma** *principal-mono*:  $r a b \implies \text{principal } a \sqsubseteq \text{principal } b$   
**by** (*simp* *add*: *principal-less-iff*)

**lemma** *lessI*:  $(\bigwedge a. \text{principal } a \sqsubseteq x \implies \text{principal } a \sqsubseteq u) \implies x \sqsubseteq u$   
**unfolding** *principal-less-iff-mem-rep*  
**by** (*simp* *add*: *less-def* *subset-eq*)

**lemma** *lub-principal-rep*:  $\text{principal } \text{'rep } x <<| x$   
**apply** (*rule* *is-lubI*)  
**apply** (*rule* *ub-imageI*)  
**apply** (*erule* *repD*)  
**apply** (*subst* *less-def*)  
**apply** (*rule* *subsetI*)  
**apply** (*drule* (1) *ub-imageD*)  
**apply** (*simp* *add*: *rep-eq*)  
**done**

**definition**

*basis-fun* :: (*'a::type*  $\Rightarrow$  *'c::cpo*)  $\Rightarrow$  *'b*  $\rightarrow$  *'c* **where**  
*basis-fun* = ( $\lambda f. (\Lambda x. \text{lub } (f \text{ ' rep } x))$ )

**lemma** *basis-fun-beta*:

**fixes** *f* :: *'a::type*  $\Rightarrow$  *'c::cpo*  
**assumes** *f-mono*:  $\bigwedge a \ b. r \ a \ b \implies f \ a \sqsubseteq f \ b$   
**shows** *basis-fun* *f*  $\cdot x = \text{lub } (f \text{ ' rep } x)$   
**unfolding** *basis-fun-def*  
**proof** (*rule beta-cfun*)  
**have** *lub*:  $\bigwedge x. \exists u. f \text{ ' rep } x <<| u$   
   **using** *f-mono* **by** (*rule basis-fun-lemma*)  
**show** *cont*: *cont* ( $\lambda x. \text{lub } (f \text{ ' rep } x)$ )  
   **apply** (*rule contI2*)  
   **apply** (*rule monofunI*)  
   **apply** (*rule is-lub-the lub0* [*OF lub ub-imageI*])  
   **apply** (*rule is-ub-the lub0* [*OF lub imageI*])  
   **apply** (*erule* (1) *subsetD* [*OF rep-mono*])  
   **apply** (*rule is-lub-the lub0* [*OF lub ub-imageI*])  
   **apply** (*simp add: rep-contrub, clarify*)  
   **apply** (*erule rev-trans-less* [*OF is-ub-the lub0*])  
   **apply** (*erule is-ub-the lub0* [*OF lub imageI*])  
**done**

**qed****lemma** *basis-fun-principal*:

**fixes** *f* :: *'a::type*  $\Rightarrow$  *'c::cpo*  
**assumes** *f-mono*:  $\bigwedge a \ b. r \ a \ b \implies f \ a \sqsubseteq f \ b$   
**shows** *basis-fun* *f*  $\cdot (\text{principal } a) = f \ a$   
**apply** (*subst basis-fun-beta, erule f-mono*)  
**apply** (*subst rep-principal*)  
**apply** (*rule lub-image-principal, erule f-mono*)  
**done**

**lemma** *basis-fun-mono*:

**assumes** *f-mono*:  $\bigwedge a \ b. r \ a \ b \implies f \ a \sqsubseteq f \ b$   
**assumes** *g-mono*:  $\bigwedge a \ b. r \ a \ b \implies g \ a \sqsubseteq g \ b$   
**assumes** *less*:  $\bigwedge a. f \ a \sqsubseteq g \ a$   
**shows** *basis-fun* *f*  $\sqsubseteq$  *basis-fun* *g*  
**apply** (*rule less-cfun-ext*)  
**apply** (*simp only: basis-fun-beta f-mono g-mono*)  
**apply** (*rule is-lub-the lub0*)  
   **apply** (*rule basis-fun-lemma, erule f-mono*)  
   **apply** (*rule ub-imageI, rename-tac a*)  
   **apply** (*rule sq-le.trans-less* [*OF less*])  
   **apply** (*rule is-ub-the lub0*)  
   **apply** (*rule basis-fun-lemma, erule g-mono*)  
**apply** (*erule imageI*)

done

**lemma** *compact-principal*: *compact* (*principal a*)  
**by** (*rule compactI2*, *simp add: principal-less-iff-mem-rep rep-contlub*)

**definition**

*completion-approx* :: *nat*  $\Rightarrow$  *'b*  $\rightarrow$  *'b* **where**  
*completion-approx* = ( $\lambda i.$  *basis-fun* ( $\lambda a.$  *principal* (*take i a*)))

**lemma** *completion-approx-beta*:  
*completion-approx i*  $\cdot$  *x* = ( $\bigsqcup a \in \text{rep } x.$  *principal* (*take i a*))  
**unfolding** *completion-approx-def*  
**by** (*simp add: basis-fun-beta principal-mono take-mono*)

**lemma** *completion-approx-principal*:  
*completion-approx i* (*principal a*) = *principal* (*take i a*)  
**unfolding** *completion-approx-def*  
**by** (*simp add: basis-fun-principal principal-mono take-mono*)

**lemma** *chain-completion-approx*: *chain completion-approx*  
**unfolding** *completion-approx-def*  
**apply** (*rule chainI*)  
**apply** (*rule basis-fun-mono*)  
**apply** (*erule principal-mono* [*OF take-mono*])  
**apply** (*erule principal-mono* [*OF take-mono*])  
**apply** (*rule principal-mono* [*OF take-chain*])  
**done**

**lemma** *lub-completion-approx*: ( $\bigsqcup i.$  *completion-approx i*  $\cdot$  *x*) = *x*  
**unfolding** *completion-approx-beta*  
**apply** (*subst image-image* [**where** *f*=*principal*, *symmetric*])  
**apply** (*rule unique-lub* [*OF - lub-principal-rep*])  
**apply** (*rule basis-fun-lemma2*, *erule principal-mono*)  
**done**

**lemma** *completion-approx-eq-principal*:  
 $\exists a \in \text{rep } x. \text{ completion-approx } i \cdot x = \text{principal } (\text{take } i \text{ } a)$   
**unfolding** *completion-approx-beta*  
**apply** (*subst image-image* [**where** *f*=*principal*, *symmetric*])  
**apply** (*subgoal-tac finite* (*principal* ‘*take i* ‘*rep x*’))  
**apply** (*subgoal-tac directed* (*principal* ‘*take i* ‘*rep x*’))  
**apply** (*drule* (1) *lub-finite-directed-in-self*, *fast*)  
**apply** (*subst image-image*)  
**apply** (*rule directed-image-ideal*)  
**apply** (*rule ideal-rep*)  
**apply** (*erule principal-mono* [*OF take-mono*])  
**apply** (*rule finite-imageI*)  
**apply** (*rule finite-take-rep*)  
**done**

**lemma** *completion-approx-idem*:

*completion-approx i.(completion-approx i.x) = completion-approx i.x*  
**using** *completion-approx-eq-principal* [**where**  $i=i$  **and**  $x=x$ ]  
**by** (*auto simp add: completion-approx-principal take-take*)

**lemma** *finite-fixes-completion-approx*:

*finite {x. completion-approx i.x = x} (is finite ?S)*  
**apply** (*subgoal-tac ?S ⊆ principal ‘ range (take i)*)  
**apply** (*erule finite-subset*)  
**apply** (*rule finite-imageI*)  
**apply** (*rule finite-range-take*)  
**apply** (*clarify, erule subst*)  
**apply** (*cut-tac x=x and i=i in completion-approx-eq-principal*)  
**apply** *fast*  
**done**

**lemma** *principal-induct*:

**assumes** *adm: adm P*  
**assumes** *P:  $\bigwedge a. P$  (principal a)*  
**shows** *P x*  
**apply** (*subgoal-tac P ( $\bigsqcup i. completion-approx i.x$ )*)  
**apply** (*simp add: lub-completion-approx*)  
**apply** (*rule admD [OF adm]*)  
**apply** (*simp add: chain-completion-approx*)  
**apply** (*cut-tac x=x and i=i in completion-approx-eq-principal*)  
**apply** (*clarify, simp add: P*)  
**done**

**end**

## 22.3 Compact bases of bifinite domains

**defaultsort** *profinite*

**typedef** (**open**) *'a compact-basis* =  $\{x::'a::profinite. compact\ x\}$   
**by** (*fast intro: compact-approx*)

**lemma** *compact-Rep-compact-basis* [*simp*]: *compact (Rep-compact-basis a)*  
**by** (*rule Rep-compact-basis [unfolded mem-Collect-eq]*)

**lemma** *Rep-Abs-compact-basis-approx* [*simp*]:

*Rep-compact-basis (Abs-compact-basis (approx n.x)) = approx n.x*  
**by** (*rule Abs-compact-basis-inverse, simp*)

**lemma** *compact-imp-Rep-compact-basis*:

*compact x  $\implies \exists y. x = Rep-compact-basis y$*   
**by** (*rule exI, rule Abs-compact-basis-inverse [symmetric], simp*)

**instantiation** *compact-basis* :: (*profinite*) *sq-ord*  
**begin**

**definition**

*compact-le-def*:

$(op \sqsubseteq) \equiv (\lambda x y. Rep\text{-}compact\text{-}basis\ x \sqsubseteq Rep\text{-}compact\text{-}basis\ y)$

**instance** ..

**end**

**instance** *compact-basis* :: (*profinite*) *po*

**by** (*rule typedef-po*

[*OF type-definition-compact-basis compact-le-def*])

minimal compact element

**definition**

*compact-bot* :: '*a*::*bifinite compact-basis* **where**

*compact-bot* = *Abs-compact-basis*  $\perp$

**lemma** *Rep-compact-bot*: *Rep-compact-basis compact-bot* =  $\perp$

**unfolding** *compact-bot-def* **by** (*simp add: Abs-compact-basis-inverse*)

**lemma** *compact-minimal* [*simp*]: *compact-bot*  $\sqsubseteq$  *a*

**unfolding** *compact-le-def Rep-compact-bot* **by** *simp*

compacts

**definition**

*compacts* :: '*a*  $\Rightarrow$  '*a compact-basis set* **where**

*compacts* =  $(\lambda x. \{a. Rep\text{-}compact\text{-}basis\ a \sqsubseteq x\})$

**lemma** *ideal-compacts*: *preorder.ideal sq-le* (*compacts w*)

**unfolding** *compacts-def*

**apply** (*rule preorder.idealI*)

**apply** (*rule preorder-class.axioms*)

**apply** (*rule-tac x=Abs-compact-basis (approx 0.w) in exI*)

**apply** (*simp add: approx-less*)

**apply** *simp*

**apply** (*cut-tac a=x in compact-Rep-compact-basis*)

**apply** (*cut-tac a=y in compact-Rep-compact-basis*)

**apply** (*drule bifinite-compact-eq-approx*)

**apply** (*drule bifinite-compact-eq-approx*)

**apply** (*clarify, rename-tac i j*)

**apply** (*rule-tac x=Abs-compact-basis (approx (max i j).w) in exI*)

**apply** (*simp add: approx-less compact-le-def*)

**apply** (*erule subst, erule subst*)

**apply** (*simp add: monofun-cfun chain-mono [OF chain-approx]*)

**apply** (*simp add: compact-le-def*)

**apply** (*erule (1) trans-less*)

done

**lemma** *compacts-Rep-compact-basis*:

*compacts (Rep-compact-basis b) = {a. a  $\sqsubseteq$  b}*

**unfolding** *compacts-def compact-le-def ..*

**lemma** *cont-compacts*: *cont compacts*

**unfolding** *compacts-def*

**apply** (*rule contI2*)

**apply** (*rule monofunI*)

**apply** (*simp add: set-cpo-simps*)

**apply** (*fast intro: trans-less*)

**apply** (*simp add: set-cpo-simps*)

**apply** *clarify*

**apply** *simp*

**apply** (*erule (1) compactD2 [OF compact-Rep-compact-basis]*)

done

**lemma** *compacts-lessD*: *compacts x  $\subseteq$  compacts y  $\implies$  x  $\sqsubseteq$  y*

**apply** (*subgoal-tac ( $\sqcup$  i. approx i.x)  $\sqsubseteq$  y, simp*)

**apply** (*rule admD, simp, simp*)

**apply** (*drule-tac c=Abs-compact-basis (approx i.x) in subsetD*)

**apply** (*simp add: compacts-def Abs-compact-basis-inverse approx-less*)

**apply** (*simp add: compacts-def Abs-compact-basis-inverse*)

done

**lemma** *compacts-mono*: *x  $\sqsubseteq$  y  $\implies$  compacts x  $\subseteq$  compacts y*

**unfolding** *compacts-def by (fast intro: trans-less)*

**lemma** *less-compact-basis-iff*: *(x  $\sqsubseteq$  y) = (compacts x  $\subseteq$  compacts y)*

**by** (*rule iffI [OF compacts-mono compacts-lessD]*)

**lemma** *compact-basis-induct*:

*$\llbracket \text{adm } P; \bigwedge a. P (\text{Rep-compact-basis } a) \rrbracket \implies P x$*

**apply** (*erule approx-induct*)

**apply** (*drule-tac x=Abs-compact-basis (approx n.x) in meta-spec*)

**apply** (*simp add: Abs-compact-basis-inverse*)

done

approximation on compact bases

**definition**

*compact-approx :: nat  $\Rightarrow$  'a compact-basis  $\Rightarrow$  'a compact-basis* **where**

*compact-approx = ( $\lambda n a. \text{Abs-compact-basis (approx n. (Rep-compact-basis a))}$ )*

**lemma** *Rep-compact-approx*:

*Rep-compact-basis (compact-approx n a) = approx n. (Rep-compact-basis a)*

**unfolding** *compact-approx-def*

**by** (*simp add: Abs-compact-basis-inverse*)



**lemmas** *approx-Rep-compact-basis* = *Rep-compact-approx* [*symmetric*]

**lemma** *compact-approx-le*: *compact-approx n a*  $\sqsubseteq$  *a*  
**unfolding** *compact-le-def*  
**by** (*simp add: Rep-compact-approx approx-less*)

**lemma** *compact-approx-mono1*:  
 $i \leq j \implies \text{compact-approx } i \ a \sqsubseteq \text{compact-approx } j \ a$   
**unfolding** *compact-le-def*  
**apply** (*simp add: Rep-compact-approx*)  
**apply** (*rule chain-mono, simp, assumption*)  
**done**

**lemma** *compact-approx-mono*:  
 $a \sqsubseteq b \implies \text{compact-approx } n \ a \sqsubseteq \text{compact-approx } n \ b$   
**unfolding** *compact-le-def*  
**apply** (*simp add: Rep-compact-approx*)  
**apply** (*erule monofun-cfun-arg*)  
**done**

**lemma** *ex-compact-approx-eq*:  $\exists n. \text{compact-approx } n \ a = a$   
**apply** (*simp add: Rep-compact-basis-inject [symmetric]*)  
**apply** (*simp add: Rep-compact-approx*)  
**apply** (*rule bifinite-compact-eq-approx*)  
**apply** (*rule compact-Rep-compact-basis*)  
**done**

**lemma** *compact-approx-idem*:  
 $\text{compact-approx } n \ (\text{compact-approx } n \ a) = \text{compact-approx } n \ a$   
**apply** (*rule Rep-compact-basis-inject [THEN iffD1]*)  
**apply** (*simp add: Rep-compact-approx*)  
**done**

**lemma** *finite-fixes-compact-approx*: *finite* {*a. compact-approx n a = a*}  
**apply** (*subgoal-tac finite (Rep-compact-basis ‘ {a. compact-approx n a = a} )*)  
**apply** (*erule finite-imageD*)  
**apply** (*rule inj-onI, simp add: Rep-compact-basis-inject*)  
**apply** (*rule finite-subset [OF - finite-fixes-approx [where i=n]]*)  
**apply** (*rule subsetI, clarify, rename-tac a*)  
**apply** (*simp add: Rep-compact-basis-inject [symmetric]*)  
**apply** (*simp add: Rep-compact-approx*)  
**done**

**lemma** *finite-range-compact-approx*: *finite* (*range (compact-approx n)*)  
**apply** (*cut-tac n=n in finite-fixes-compact-approx*)  
**apply** (*simp add: idem-fixes-eq-range compact-approx-idem*)  
**apply** (*simp add: image-def*)  
**done**

```

interpretation compact-basis:
  ideal-completion [sq-le compact-approx Rep-compact-basis compacts]
proof (unfold-locales)
  fix n :: nat and a b :: 'a compact-basis and x :: 'a
  show compact-approx n a  $\sqsubseteq$  a
    by (rule compact-approx-le)
  show compact-approx n (compact-approx n a) = compact-approx n a
    by (rule compact-approx-idem)
  show compact-approx n a  $\sqsubseteq$  compact-approx (Suc n) a
    by (rule compact-approx-mono1, simp)
  show finite (range (compact-approx n))
    by (rule finite-range-compact-approx)
  show  $\exists n::nat. \text{compact-approx } n \ a = a$ 
    by (rule ex-compact-approx-eq)
  show preorder.ideal sq-le (compacts x)
    by (rule ideal-compacts)
  show cont compacts
    by (rule cont-compacts)
  show compacts (Rep-compact-basis a) = {b. b  $\sqsubseteq$  a}
    by (rule compacts-Rep-compact-basis)
next
  fix n :: nat and a b :: 'a compact-basis
  assume a  $\sqsubseteq$  b
  thus compact-approx n a  $\sqsubseteq$  compact-approx n b
    by (rule compact-approx-mono)
next
  fix x y :: 'a
  assume compacts x  $\subseteq$  compacts y thus x  $\sqsubseteq$  y
    by (rule compacts-lessD)
qed

```

## 22.4 A compact basis for powerdomains

```

typedef 'a pd-basis =
  {S::'a::profinite compact-basis set. finite S  $\wedge$  S  $\neq$  {}}
by (rule-tac x={arbitrary} in exI, simp)

```

```

lemma finite-Rep-pd-basis [simp]: finite (Rep-pd-basis u)
by (insert Rep-pd-basis [of u, unfolded pd-basis-def]) simp

```

```

lemma Rep-pd-basis-nonempty [simp]: Rep-pd-basis u  $\neq$  {}
by (insert Rep-pd-basis [of u, unfolded pd-basis-def]) simp

```

unit and plus

**definition**

```

  PDUnit :: 'a compact-basis  $\Rightarrow$  'a pd-basis where
  PDUnit = ( $\lambda x. \text{Abs-pd-basis } \{x\}$ )

```

**definition**

$PDPlus :: 'a \text{ pd-basis} \Rightarrow 'a \text{ pd-basis} \Rightarrow 'a \text{ pd-basis}$  **where**  
 $PDPlus \ t \ u = Abs\text{-pd-basis} \ (Rep\text{-pd-basis} \ t \cup Rep\text{-pd-basis} \ u)$

**lemma** *Rep-PDUnit*:

$Rep\text{-pd-basis} \ (PDUnit \ x) = \{x\}$

**unfolding** *PDUnit-def* **by** (rule *Abs-pd-basis-inverse*) (simp add: *pd-basis-def*)

**lemma** *Rep-PDPlus*:

$Rep\text{-pd-basis} \ (PDPlus \ u \ v) = Rep\text{-pd-basis} \ u \cup Rep\text{-pd-basis} \ v$

**unfolding** *PDPlus-def* **by** (rule *Abs-pd-basis-inverse*) (simp add: *pd-basis-def*)

**lemma** *PDUnit-inject* [simp]:  $(PDUnit \ a = PDUnit \ b) = (a = b)$

**unfolding** *Rep-pd-basis-inject* [symmetric] *Rep-PDUnit* **by** *simp*

**lemma** *PDPlus-assoc*:  $PDPlus \ (PDPlus \ t \ u) \ v = PDPlus \ t \ (PDPlus \ u \ v)$

**unfolding** *Rep-pd-basis-inject* [symmetric] *Rep-PDPlus* **by** (rule *Un-assoc*)

**lemma** *PDPlus-commute*:  $PDPlus \ t \ u = PDPlus \ u \ t$

**unfolding** *Rep-pd-basis-inject* [symmetric] *Rep-PDPlus* **by** (rule *Un-commute*)

**lemma** *PDPlus-absorb*:  $PDPlus \ t \ t = t$

**unfolding** *Rep-pd-basis-inject* [symmetric] *Rep-PDPlus* **by** (rule *Un-absorb*)

**lemma** *pd-basis-induct1*:

**assumes** *PDUnit*:  $\bigwedge a. P \ (PDUnit \ a)$

**assumes** *PDPlus*:  $\bigwedge a \ t. P \ t \Longrightarrow P \ (PDPlus \ (PDUnit \ a) \ t)$

**shows**  $P \ x$

**apply** (*induct* *x*, *unfold pd-basis-def*, *clarify*)

**apply** (*erule* (1) *finite-ne-induct*)

**apply** (*cut-tac*  $a=x$  **in** *PDUnit*)

**apply** (*simp* add: *PDUnit-def*)

**apply** (*drule-tac*  $a=x$  **in** *PDPlus*)

**apply** (*simp* add: *PDUnit-def* *PDPlus-def* *Abs-pd-basis-inverse* [*unfolded pd-basis-def*])

**done**

**lemma** *pd-basis-induct*:

**assumes** *PDUnit*:  $\bigwedge a. P \ (PDUnit \ a)$

**assumes** *PDPlus*:  $\bigwedge t \ u. \llbracket P \ t; P \ u \rrbracket \Longrightarrow P \ (PDPlus \ t \ u)$

**shows**  $P \ x$

**apply** (*induct* *x* *rule*: *pd-basis-induct1*)

**apply** (*rule* *PDUnit*, *erule* *PDPlus* [*OF PDUnit*])

**done**

*fold-pd*

**definition**

*fold-pd* ::

$('a \text{ compact-basis} \Rightarrow 'b::\text{type}) \Rightarrow ('b \Rightarrow 'b \Rightarrow 'b) \Rightarrow 'a \text{ pd-basis} \Rightarrow 'b$

**where** *fold-pd* *g* *f* *t* = *fold1* *f* (*g* ‘ *Rep-pd-basis* *t*)

**lemma** *fold-pd-PDUnit*:

**includes** *ab-semigroup-idem-mult* *f*

**shows** *fold-pd* *g f* (*PDUnit* *x*) = *g x*

**unfolding** *fold-pd-def* *Rep-PDUnit* **by** *simp*

**lemma** *fold-pd-PDPlus*:

**includes** *ab-semigroup-idem-mult* *f*

**shows** *fold-pd* *g f* (*PDPlus* *t u*) = *f* (*fold-pd* *g f* *t*) (*fold-pd* *g f* *u*)

**unfolding** *fold-pd-def* *Rep-PDPlus* **by** (*simp* *add*: *image-Un fold1-Un2*)

*approx-pd*

**definition**

*approx-pd* :: *nat*  $\Rightarrow$  '*a* *pd-basis*  $\Rightarrow$  '*a* *pd-basis* **where**

*approx-pd* *n* = ( $\lambda t$ . *Abs-pd-basis* (*compact-approx* *n* ' *Rep-pd-basis* *t*))

**lemma** *Rep-approx-pd*:

*Rep-pd-basis* (*approx-pd* *n* *t*) = *compact-approx* *n* ' *Rep-pd-basis* *t*

**unfolding** *approx-pd-def*

**apply** (*rule* *Abs-pd-basis-inverse*)

**apply** (*simp* *add*: *pd-basis-def*)

**done**

**lemma** *approx-pd-simps* [*simp*]:

*approx-pd* *n* (*PDUnit* *a*) = *PDUnit* (*compact-approx* *n* *a*)

*approx-pd* *n* (*PDPlus* *t u*) = *PDPlus* (*approx-pd* *n* *t*) (*approx-pd* *n* *u*)

**apply** (*simp-all* *add*: *Rep-pd-basis-inject* [*symmetric*])

**apply** (*simp-all* *add*: *Rep-approx-pd* *Rep-PDUnit* *Rep-PDPlus* *image-Un*)

**done**

**lemma** *approx-pd-idem*: *approx-pd* *n* (*approx-pd* *n* *t*) = *approx-pd* *n* *t*

**apply** (*induct* *t* *rule*: *pd-basis-induct*)

**apply** (*simp* *add*: *compact-approx-idem*)

**apply** *simp*

**done**

**lemma** *range-image-f*: *range* (*image* *f*) = *Pow* (*range* *f*)

**apply** (*safe*, *fast*)

**apply** (*rule-tac* *x=f* - ' *x* **in** *range-eqI*)

**apply** (*simp*, *fast*)

**done**

**lemma** *finite-range-approx-pd*: *finite* (*range* (*approx-pd* *n*))

**apply** (*subgoal-tac* *finite* (*Rep-pd-basis* ' *range* (*approx-pd* *n*)))

**apply** (*erule* *finite-imageD*)

**apply** (*rule* *inj-onI*, *simp* *add*: *Rep-pd-basis-inject*)

**apply** (*subst* *image-image*)

**apply** (*subst* *Rep-approx-pd*)

**apply** (*simp* *only*: *range-composition*)

**apply** (*rule* *finite-subset* [*OF* *image-mono* [*OF* *subset-UNIV*]])

```

apply (simp add: range-image-f)
apply (rule finite-range-compact-approx)
done

lemma ex-approx-pd-eq:  $\exists n. \text{approx-pd } n \ t = t$ 
apply (subgoal-tac  $\exists n. \forall m \geq n. \text{approx-pd } m \ t = t$ , fast)
apply (induct t rule: pd-basis-induct)
apply (cut-tac a=a in ex-compact-approx-eq)
apply (clarify, rule-tac x=n in exI)
apply (clarify, simp)
apply (rule antisym-less)
apply (rule compact-approx-le)
apply (drule-tac a=a in compact-approx-mono1)
apply simp
apply (clarify, rename-tac i j)
apply (rule-tac x=max i j in exI, simp)
done

end

```

## 23 UpperPD: Upper powerdomain

```

theory UpperPD
imports CompactBasis
begin

```

### 23.1 Basis preorder

**definition**

*upper-le* :: 'a pd-basis  $\Rightarrow$  'a pd-basis  $\Rightarrow$  bool (**infix**  $\leq_{\#}$  50) **where**  
*upper-le* = ( $\lambda u \ v. \forall y \in \text{Rep-pd-basis } v. \exists x \in \text{Rep-pd-basis } u. x \sqsubseteq y$ )

```

lemma upper-le-refl [simp]:  $t \leq_{\#} t$ 
unfolding upper-le-def by fast

```

```

lemma upper-le-trans:  $\llbracket t \leq_{\#} u; u \leq_{\#} v \rrbracket \Longrightarrow t \leq_{\#} v$ 
unfolding upper-le-def
apply (rule ballI)
apply (drule (1) bspec, erule bexE)
apply (drule (1) bspec, erule bexE)
apply (erule rev-bexI)
apply (erule (1) trans-less)
done

```

```

interpretation upper-le: preorder [upper-le]
by (rule preorder.intro, rule upper-le-refl, rule upper-le-trans)

```

```

lemma upper-le-minimal [simp]: PDUnit compact-bot  $\leq_{\#} t$ 

```

**unfolding** *upper-le-def Rep-PDUnit* **by** *simp*

**lemma** *PDUnit-upper-mono*:  $x \sqsubseteq y \implies PDUnit\ x \leq^\# PDUnit\ y$   
**unfolding** *upper-le-def Rep-PDUnit* **by** *simp*

**lemma** *PDPlus-upper-mono*:  $\llbracket s \leq^\# t; u \leq^\# v \rrbracket \implies PDPlus\ s\ u \leq^\# PDPlus\ t\ v$   
**unfolding** *upper-le-def Rep-PDPlus* **by** *fast*

**lemma** *PDPlus-upper-less*:  $PDPlus\ t\ u \leq^\# t$   
**unfolding** *upper-le-def Rep-PDPlus* **by** *fast*

**lemma** *upper-le-PDUnit-PDUnit-iff* [*simp*]:  
 $(PDUnit\ a \leq^\# PDUnit\ b) = a \sqsubseteq b$   
**unfolding** *upper-le-def Rep-PDUnit* **by** *fast*

**lemma** *upper-le-PDPlus-PDUnit-iff*:  
 $(PDPlus\ t\ u \leq^\# PDUnit\ a) = (t \leq^\# PDUnit\ a \vee u \leq^\# PDUnit\ a)$   
**unfolding** *upper-le-def Rep-PDPlus Rep-PDUnit* **by** *fast*

**lemma** *upper-le-PDPlus-iff*:  $(t \leq^\# PDPlus\ u\ v) = (t \leq^\# u \wedge t \leq^\# v)$   
**unfolding** *upper-le-def Rep-PDPlus* **by** *fast*

**lemma** *upper-le-induct* [*induct set: upper-le*]:  
**assumes** *le*:  $t \leq^\# u$   
**assumes** 1:  $\bigwedge a\ b. a \sqsubseteq b \implies P\ (PDUnit\ a)\ (PDUnit\ b)$   
**assumes** 2:  $\bigwedge t\ u\ a. P\ t\ (PDUnit\ a) \implies P\ (PDPlus\ t\ u)\ (PDUnit\ a)$   
**assumes** 3:  $\bigwedge t\ u\ v. \llbracket P\ t\ u; P\ t\ v \rrbracket \implies P\ t\ (PDPlus\ u\ v)$   
**shows**  $P\ t\ u$   
**using** *le* **apply** (*induct u arbitrary: t rule: pd-basis-induct*)  
**apply** (*erule rev-mp*)  
**apply** (*induct-tac t rule: pd-basis-induct*)  
**apply** (*simp add: 1*)  
**apply** (*simp add: upper-le-PDPlus-PDUnit-iff*)  
**apply** (*simp add: 2*)  
**apply** (*subst PDPlus-commute*)  
**apply** (*simp add: 2*)  
**apply** (*simp add: upper-le-PDPlus-iff 3*)  
**done**

**lemma** *approx-pd-upper-mono1*:  
 $i \leq j \implies approx\text{-}pd\ i\ t \leq^\# approx\text{-}pd\ j\ t$   
**apply** (*induct t rule: pd-basis-induct*)  
**apply** (*simp add: compact-approx-mono1*)  
**apply** (*simp add: PDPlus-upper-mono*)  
**done**

**lemma** *approx-pd-upper-le*:  $approx\text{-}pd\ i\ t \leq^\# t$   
**apply** (*induct t rule: pd-basis-induct*)  
**apply** (*simp add: compact-approx-le*)

```

apply (simp add: PDPlus-upper-mono)
done

```

```

lemma approx-pd-upper-mono:
   $t \leq\# u \implies \text{approx-pd } n \ t \leq\# \text{approx-pd } n \ u$ 
apply (erule upper-le-induct)
apply (simp add: compact-approx-mono)
apply (simp add: upper-le-PDPlus-PDUnit-iff)
apply (simp add: upper-le-PDPlus-iff)
done

```

## 23.2 Type definition

```

cpodef (open) 'a upper-pd =
  {S::'a::profinite pd-basis set. upper-le.ideal S}
apply (simp add: upper-le.adm-ideal)
apply (fast intro: upper-le.ideal-principal)
done

```

```

lemma ideal-Rep-upper-pd: upper-le.ideal (Rep-upper-pd x)
by (rule Rep-upper-pd [unfolded mem-Collect-eq])

```

```

definition
  upper-principal :: 'a pd-basis  $\Rightarrow$  'a upper-pd where
  upper-principal t = Abs-upper-pd {u. u  $\leq\#$  t}

```

```

lemma Rep-upper-principal:
  Rep-upper-pd (upper-principal t) = {u. u  $\leq\#$  t}
unfolding upper-principal-def
apply (rule Abs-upper-pd-inverse [unfolded mem-Collect-eq])
apply (rule upper-le.ideal-principal)
done

```

```

interpretation upper-pd:
  ideal-completion [upper-le approx-pd upper-principal Rep-upper-pd]
apply unfold-locales
apply (rule approx-pd-upper-le)
apply (rule approx-pd-idem)
apply (erule approx-pd-upper-mono)
apply (rule approx-pd-upper-mono1, simp)
apply (rule finite-range-approx-pd)
apply (rule ex-approx-pd-eq)
apply (rule ideal-Rep-upper-pd)
apply (rule cont-Rep-upper-pd)
apply (rule Rep-upper-principal)
apply (simp only: less-upper-pd-def less-set-eq)
done

```

```

lemma upper-principal-less-iff [simp]:

```

$upper\_principal\ t \sqsubseteq upper\_principal\ u \longleftrightarrow t \leq^\# u$   
**by** (rule *upper-pd.principal-less-iff*)

**lemma** *upper-principal-eq-iff*:  
 $upper\_principal\ t = upper\_principal\ u \longleftrightarrow t \leq^\# u \wedge u \leq^\# t$   
**by** (rule *upper-pd.principal-eq-iff*)

**lemma** *upper-principal-mono*:  
 $t \leq^\# u \implies upper\_principal\ t \sqsubseteq upper\_principal\ u$   
**by** (rule *upper-pd.principal-mono*)

**lemma** *compact-upper-principal*:  $compact\ (upper\_principal\ t)$   
**by** (rule *upper-pd.compact-principal*)

**lemma** *upper-pd-minimal*:  $upper\_principal\ (PDUnit\ compact\_bot) \sqsubseteq ys$   
**by** (induct *ys* rule: *upper-pd.principal-induct*, *simp*, *simp*)

**instance** *upper-pd* :: (bifinite) *pcpo*  
**by** *intro-classes* (fast *intro*: *upper-pd-minimal*)

**lemma** *inst-upper-pd-pcpo*:  $\perp = upper\_principal\ (PDUnit\ compact\_bot)$   
**by** (rule *upper-pd-minimal* [THEN *UU-I*, *symmetric*])

### 23.3 Approximation

**instantiation** *upper-pd* :: (profinite) *profinite*  
**begin**

**definition**  
 $approx\_upper\_pd\_def: approx = upper\_pd.completion\_approx$

**instance**  
**apply** (*intro-classes*, *unfold approx-upper-pd-def*)  
**apply** (*simp add*: *upper-pd.chain-completion-approx*)  
**apply** (rule *upper-pd.lub-completion-approx*)  
**apply** (rule *upper-pd.completion-approx-idem*)  
**apply** (rule *upper-pd.finite-fixes-completion-approx*)  
**done**

**end**

**instance** *upper-pd* :: (bifinite) *bifinite* ..

**lemma** *approx-upper-principal* [*simp*]:  
 $approx\ n.(upper\_principal\ t) = upper\_principal\ (approx\_pd\ n\ t)$   
**unfolding** *approx-upper-pd-def*  
**by** (rule *upper-pd.completion-approx-principal*)

**lemma** *approx-eq-upper-principal*:



$\exists t \in \text{Rep-upper-pd } xs. \text{ approx } n \cdot xs = \text{upper-principal } (\text{approx-pd } n \ t)$   
**unfolding** *approx-upper-pd-def*  
**by** (*rule upper-pd.completion-approx-eq-principal*)

**lemma** *compact-imp-upper-principal*:  
 $\text{compact } xs \implies \exists t. xs = \text{upper-principal } t$   
**apply** (*drule bifinite-compact-eq-approx*)  
**apply** (*erule exE*)  
**apply** (*erule subst*)  
**apply** (*cut-tac n=i and xs=xs in approx-eq-upper-principal*)  
**apply** *fast*  
**done**

**lemma** *upper-principal-induct*:  
 $\llbracket \text{adm } P; \bigwedge t. P (\text{upper-principal } t) \rrbracket \implies P \ xs$   
**by** (*rule upper-pd.principal-induct*)

**lemma** *upper-principal-induct2*:  
 $\llbracket \bigwedge ys. \text{adm } (\lambda xs. P \ xs \ ys); \bigwedge xs. \text{adm } (\lambda ys. P \ xs \ ys); \bigwedge t \ u. P (\text{upper-principal } t) (\text{upper-principal } u) \rrbracket \implies P \ xs \ ys$   
**apply** (*rule-tac x=ys in spec*)  
**apply** (*rule-tac xs=xs in upper-principal-induct, simp*)  
**apply** (*rule allI, rename-tac ys*)  
**apply** (*rule-tac xs=ys in upper-principal-induct, simp*)  
**apply** *simp*  
**done**

## 23.4 Monadic unit and plus

### definition

$\text{upper-unit} :: 'a \rightarrow 'a \text{ upper-pd}$  **where**  
 $\text{upper-unit} = \text{compact-basis.basis-fun } (\lambda a. \text{upper-principal } (\text{PDUnit } a))$

### definition

$\text{upper-plus} :: 'a \text{ upper-pd} \rightarrow 'a \text{ upper-pd} \rightarrow 'a \text{ upper-pd}$  **where**  
 $\text{upper-plus} = \text{upper-pd.basis-fun } (\lambda t. \text{upper-pd.basis-fun } (\lambda u. \text{upper-principal } (\text{PDPlus } t \ u)))$

### abbreviation

$\text{upper-add} :: 'a \text{ upper-pd} \Rightarrow 'a \text{ upper-pd} \Rightarrow 'a \text{ upper-pd}$   
 $(\text{infixl } +\# \ 65)$  **where**  
 $xs +\# ys == \text{upper-plus} \cdot xs \cdot ys$

### syntax

$\text{-upper-pd} :: \text{args} \Rightarrow 'a \text{ upper-pd } (\{-\}\#)$

### translations

$\{x, xs\}\# == \{x\}\# +\# \{xs\}\#$   
 $\{x\}\# == \text{CONST upper-unit} \cdot x$

```

lemma upper-unit-Rep-compact-basis [simp]:
  {Rep-compact-basis a}# = upper-principal (PDUnit a)
unfolding upper-unit-def
by (simp add: compact-basis.basis-fun-principal
  upper-principal-mono PDUnit-upper-mono)

lemma upper-plus-principal [simp]:
  upper-principal t +# upper-principal u = upper-principal (PDPlus t u)
unfolding upper-plus-def
by (simp add: upper-pd.basis-fun-principal
  upper-pd.basis-fun-mono PDPlus-upper-mono)

lemma approx-upper-unit [simp]:
  approx n·{x}# = {approx n·x}#
apply (induct x rule: compact-basis-induct, simp)
apply (simp add: approx-Rep-compact-basis)
done

lemma approx-upper-plus [simp]:
  approx n·(xs +# ys) = (approx n·xs) +# (approx n·ys)
by (induct xs ys rule: upper-principal-induct2, simp, simp, simp)

lemma upper-plus-assoc: (xs +# ys) +# zs = xs +# (ys +# zs)
apply (induct xs ys arbitrary: zs rule: upper-principal-induct2, simp, simp)
apply (rule-tac xs=zs in upper-principal-induct, simp)
apply (simp add: PDPlus-assoc)
done

lemma upper-plus-commute: xs +# ys = ys +# xs
apply (induct xs ys rule: upper-principal-induct2, simp, simp)
apply (simp add: PDPlus-commute)
done

lemma upper-plus-absorb: xs +# xs = xs
apply (induct xs rule: upper-principal-induct, simp)
apply (simp add: PDPlus-absorb)
done

interpretation aci-upper-plus: ab-semigroup-idem-mult [op +#]
by unfold-locales
  (rule upper-plus-assoc upper-plus-commute upper-plus-absorb)+

lemma upper-plus-left-commute: xs +# (ys +# zs) = ys +# (xs +# zs)
by (rule aci-upper-plus.mult-left-commute)

lemma upper-plus-left-absorb: xs +# (xs +# ys) = xs +# ys
by (rule aci-upper-plus.mult-left-idem)

```

**lemmas** *upper-plus-aci* = *aci-upper-plus.mult-ac-idem*

**lemma** *upper-plus-less1*:  $xs +\# ys \sqsubseteq xs$   
**apply** (*induct* *xs ys* *rule*: *upper-principal-induct2*, *simp*, *simp*)  
**apply** (*simp* *add*: *PDPlus-upper-less*)  
**done**

**lemma** *upper-plus-less2*:  $xs +\# ys \sqsubseteq ys$   
**by** (*subst* *upper-plus-commute*, *rule* *upper-plus-less1*)

**lemma** *upper-plus-greatest*:  $\llbracket xs \sqsubseteq ys; xs \sqsubseteq zs \rrbracket \implies xs \sqsubseteq ys +\# zs$   
**apply** (*subst* *upper-plus-absorb* [*of xs, symmetric*])  
**apply** (*erule* (1) *monofun-cfun* [*OF monofun-cfun-arg*])  
**done**

**lemma** *upper-less-plus-iff*:  
 $xs \sqsubseteq ys +\# zs \longleftrightarrow xs \sqsubseteq ys \wedge xs \sqsubseteq zs$   
**apply** *safe*  
**apply** (*erule* *trans-less* [*OF - upper-plus-less1*])  
**apply** (*erule* *trans-less* [*OF - upper-plus-less2*])  
**apply** (*erule* (1) *upper-plus-greatest*)  
**done**

**lemma** *upper-plus-less-unit-iff*:  
 $xs +\# ys \sqsubseteq \{z\}\# \longleftrightarrow xs \sqsubseteq \{z\}\# \vee ys \sqsubseteq \{z\}\#$   
**apply** (*rule* *iffI*)  
**apply** (*subgoal-tac*  
 $\text{adm } (\lambda f. f \cdot xs \sqsubseteq f \cdot \{z\}\# \vee f \cdot ys \sqsubseteq f \cdot \{z\}\#)$   
**apply** (*drule* *admD*, *rule* *chain-approx*)  
**apply** (*drule-tac* *f=approx i* **in** *monofun-cfun-arg*)  
**apply** (*cut-tac* *xs=approx i.xs* **in** *compact-imp-upper-principal*, *simp*)  
**apply** (*cut-tac* *xs=approx i.ys* **in** *compact-imp-upper-principal*, *simp*)  
**apply** (*cut-tac* *x=approx i.z* **in** *compact-imp-Rep-compact-basis*, *simp*)  
**apply** (*clarify*, *simp* *add*: *upper-le-PDPlus-PDUnit-iff*)  
**apply** *simp*  
**apply** *simp*  
**apply** (*erule* *disjE*)  
**apply** (*erule* *trans-less* [*OF upper-plus-less1*])  
**apply** (*erule* *trans-less* [*OF upper-plus-less2*])  
**done**

**lemma** *upper-unit-less-iff* [*simp*]:  $\{x\}\# \sqsubseteq \{y\}\# \longleftrightarrow x \sqsubseteq y$   
**apply** (*rule* *iffI*)  
**apply** (*rule* *bifinite-less-ext*)  
**apply** (*drule-tac* *f=approx i* **in** *monofun-cfun-arg*, *simp*)  
**apply** (*cut-tac* *x=approx i.x* **in** *compact-imp-Rep-compact-basis*, *simp*)  
**apply** (*cut-tac* *x=approx i.y* **in** *compact-imp-Rep-compact-basis*, *simp*)  
**apply** (*clarify*, *simp* *add*: *compact-le-def*)  
**apply** (*erule* *monofun-cfun-arg*)

done

**lemmas** *upper-pd-less-simps* =  
   *upper-unit-less-iff*  
   *upper-less-plus-iff*  
   *upper-plus-less-unit-iff*

**lemma** *upper-unit-eq-iff* [*simp*]:  $\{x\}^\# = \{y\}^\# \longleftrightarrow x = y$   
**unfolding** *po-eq-conv* **by** *simp*

**lemma** *upper-unit-strict* [*simp*]:  $\{\perp\}^\# = \perp$   
**unfolding** *inst-upper-pd-pcpo Rep-compact-bot* [*symmetric*] **by** *simp*

**lemma** *upper-plus-strict1* [*simp*]:  $\perp +^\# ys = \perp$   
**by** (*rule UU-I*, *rule upper-plus-less1*)

**lemma** *upper-plus-strict2* [*simp*]:  $xs +^\# \perp = \perp$   
**by** (*rule UU-I*, *rule upper-plus-less2*)

**lemma** *upper-unit-strict-iff* [*simp*]:  $\{x\}^\# = \perp \longleftrightarrow x = \perp$   
**unfolding** *upper-unit-strict* [*symmetric*] **by** (*rule upper-unit-eq-iff*)

**lemma** *upper-plus-strict-iff* [*simp*]:  
    $xs +^\# ys = \perp \longleftrightarrow xs = \perp \vee ys = \perp$   
**apply** (*rule iffI*)  
**apply** (*erule rev-mp*)  
**apply** (*rule upper-principal-induct2* [**where** *xs=xs and ys=ys*], *simp*, *simp*)  
**apply** (*simp add: inst-upper-pd-pcpo upper-principal-eq-iff*  
   *upper-le-PDPlus-PDUnit-iff*)  
**apply** *auto*  
**done**

**lemma** *compact-upper-unit-iff* [*simp*]:  $\text{compact } \{x\}^\# \longleftrightarrow \text{compact } x$   
**unfolding** *bifinite-compact-iff* **by** *simp*

**lemma** *compact-upper-plus* [*simp*]:  
    $\llbracket \text{compact } xs; \text{compact } ys \rrbracket \Longrightarrow \text{compact } (xs +^\# ys)$   
**apply** (*drule compact-imp-upper-principal*) +  
**apply** (*auto simp add: compact-upper-principal*)  
**done**

## 23.5 Induction rules

**lemma** *upper-pd-induct1*:  
   **assumes** *P: adm P*  
   **assumes** *unit*:  $\bigwedge x. P \{x\}^\#$   
   **assumes** *insert*:  $\bigwedge x ys. \llbracket P \{x\}^\#; P ys \rrbracket \Longrightarrow P (\{x\}^\# +^\# ys)$   
   **shows** *P* (*xs::'a upper-pd*)  
**apply** (*induct xs rule: upper-principal-induct, rule P*)

```

apply (induct-tac t rule: pd-basis-induct1)
apply (simp only: upper-unit-Rep-compact-basis [symmetric])
apply (rule unit)
apply (simp only: upper-unit-Rep-compact-basis [symmetric]
           upper-plus-principal [symmetric])
apply (erule insert [OF unit])
done

lemma upper-pd-induct:
  assumes P: adm P
  assumes unit:  $\bigwedge x. P \{x\}^\#$ 
  assumes plus:  $\bigwedge xs \ ys. \llbracket P \ xs; \ P \ ys \rrbracket \implies P \ (xs \ +^\# \ ys)$ 
  shows  $P \ (xs :: 'a \ upper-pd)$ 
apply (induct xs rule: upper-principal-induct, rule P)
apply (induct-tac t rule: pd-basis-induct)
apply (simp only: upper-unit-Rep-compact-basis [symmetric] unit)
apply (simp only: upper-plus-principal [symmetric] plus)
done

```

## 23.6 Monadic bind

### definition

```

upper-bind-basis ::
  'a pd-basis  $\Rightarrow$  ('a  $\rightarrow$  'b upper-pd)  $\rightarrow$  'b upper-pd where
  upper-bind-basis = fold-pd
    ( $\lambda a. \ \Lambda \ f. \ f \cdot (Rep-compact-basis \ a)$ )
    ( $\lambda x \ y. \ \Lambda \ f. \ x \cdot f \ +^\# \ y \cdot f$ )

```

### lemma *ACI-upper-bind*:

```

  ab-semigroup-idem-mult ( $\lambda x \ y. \ \Lambda \ f. \ x \cdot f \ +^\# \ y \cdot f$ )
apply unfold-locales
apply (simp add: upper-plus-assoc)
apply (simp add: upper-plus-commute)
apply (simp add: upper-plus-absorb eta-cfun)
done

```

### lemma *upper-bind-basis-simps* [*simp*]:

```

  upper-bind-basis (PDUnit a) =
    ( $\Lambda \ f. \ f \cdot (Rep-compact-basis \ a)$ )
  upper-bind-basis (PDPlus t u) =
    ( $\Lambda \ f. \ upper-bind-basis \ t \cdot f \ +^\# \ upper-bind-basis \ u \cdot f$ )
unfolding upper-bind-basis-def
apply –
apply (rule fold-pd-PDUnit [OF ACI-upper-bind])
apply (rule fold-pd-PDPlus [OF ACI-upper-bind])
done

```

### lemma *upper-bind-basis-mono*:

```

   $t \leq^\# u \implies upper-bind-basis \ t \sqsubseteq upper-bind-basis \ u$ 

```

**unfolding** *expand-cfun-less*  
**apply** (*erule upper-le-induct, safe*)  
**apply** (*simp add: compact-le-def monofun-cfun*)  
**apply** (*simp add: trans-less [OF upper-plus-less1]*)  
**apply** (*simp add: upper-less-plus-iff*)  
**done**

**definition**

*upper-bind* :: 'a upper-pd  $\rightarrow$  ('a  $\rightarrow$  'b upper-pd)  $\rightarrow$  'b upper-pd **where**  
*upper-bind* = *upper-pd.basis-fun upper-bind-basis*

**lemma** *upper-bind-principal* [*simp*]:  
*upper-bind*.(*upper-principal* t) = *upper-bind-basis* t  
**unfolding** *upper-bind-def*  
**apply** (*rule upper-pd.basis-fun-principal*)  
**apply** (*erule upper-bind-basis-mono*)  
**done**

**lemma** *upper-bind-unit* [*simp*]:  
*upper-bind*.{x}#f = f.x  
**by** (*induct x rule: compact-basis-induct, simp, simp*)

**lemma** *upper-bind-plus* [*simp*]:  
*upper-bind*.(xs +# ys).f = *upper-bind*.xs.f +# *upper-bind*.ys.f  
**by** (*induct xs ys rule: upper-principal-induct2, simp, simp, simp*)

**lemma** *upper-bind-strict* [*simp*]: *upper-bind*. $\perp$ .f = f. $\perp$   
**unfolding** *upper-unit-strict* [*symmetric*] **by** (*rule upper-bind-unit*)

## 23.7 Map and join

**definition**

*upper-map* :: ('a  $\rightarrow$  'b)  $\rightarrow$  'a upper-pd  $\rightarrow$  'b upper-pd **where**  
*upper-map* = ( $\Lambda$  f xs. *upper-bind*.xs.( $\Lambda$  x. {f.x}#))

**definition**

*upper-join* :: 'a upper-pd upper-pd  $\rightarrow$  'a upper-pd **where**  
*upper-join* = ( $\Lambda$  xss. *upper-bind*.xss.( $\Lambda$  xs. xs))

**lemma** *upper-map-unit* [*simp*]:  
*upper-map*.f.{x}# = {f.x}#  
**unfolding** *upper-map-def* **by** *simp*

**lemma** *upper-map-plus* [*simp*]:  
*upper-map*.f.(xs +# ys) = *upper-map*.f.xs +# *upper-map*.f.ys  
**unfolding** *upper-map-def* **by** *simp*

**lemma** *upper-join-unit* [*simp*]:  
*upper-join*.{xs}# = xs

**unfolding** *upper-join-def* **by** *simp*

**lemma** *upper-join-plus* [*simp*]:

$upper-join.(xss +\# yss) = upper-join.xss +\# upper-join.yss$

**unfolding** *upper-join-def* **by** *simp*

**lemma** *upper-map-ident*:  $upper-map.(\Lambda x. x).xs = xs$

**by** (*induct xs rule: upper-pd-induct, simp-all*)

**lemma** *upper-map-map*:

$upper-map.f.(upper-map.g.xs) = upper-map.(\Lambda x. f.(g.x)).xs$

**by** (*induct xs rule: upper-pd-induct, simp-all*)

**lemma** *upper-join-map-unit*:

$upper-join.(upper-map.upper-unit.xs) = xs$

**by** (*induct xs rule: upper-pd-induct, simp-all*)

**lemma** *upper-join-map-join*:

$upper-join.(upper-map.upper-join.xsss) = upper-join.(upper-join.xsss)$

**by** (*induct xsss rule: upper-pd-induct, simp-all*)

**lemma** *upper-join-map-map*:

$upper-join.(upper-map.(upper-map.f).xss) =$

$upper-map.f.(upper-join.xss)$

**by** (*induct xss rule: upper-pd-induct, simp-all*)

**lemma** *upper-map-approx*:  $upper-map.(approx\ n).xs = approx\ n.xs$

**by** (*induct xs rule: upper-pd-induct, simp-all*)

**end**

## 24 LowerPD: Lower powerdomain

**theory** *LowerPD*

**imports** *CompactBasis*

**begin**

### 24.1 Basis preorder

**definition**

$lower-le :: 'a\ pd-basis \Rightarrow 'a\ pd-basis \Rightarrow bool$  (**infix**  $\leq_b$  50) **where**

$lower-le = (\lambda u\ v. \forall x \in Rep-pd-basis\ u. \exists y \in Rep-pd-basis\ v. x \sqsubseteq y)$

**lemma** *lower-le-refl* [*simp*]:  $t \leq_b t$

**unfolding** *lower-le-def* **by** *fast*

**lemma** *lower-le-trans*:  $\llbracket t \leq_b u; u \leq_b v \rrbracket \Longrightarrow t \leq_b v$

**unfolding** *lower-le-def*

```

apply (rule ballI)
apply (drule (1) bspec, erule bexE)
apply (drule (1) bspec, erule bexE)
apply (erule rev-beXI)
apply (erule (1) trans-less)
done

```

```

interpretation lower-le: preorder [lower-le]
by (rule preorder.intro, rule lower-le-refl, rule lower-le-trans)

```

```

lemma lower-le-minimal [simp]: PDUnit compact-bot  $\leq_b$  t
unfolding lower-le-def Rep-PDUnit
by (simp, rule Rep-pd-basis-nonempty [folded ex-in-conv])

```

```

lemma PDUnit-lower-mono:  $x \sqsubseteq y \implies \text{PDUnit } x \leq_b \text{PDUnit } y$ 
unfolding lower-le-def Rep-PDUnit by fast

```

```

lemma PDPlus-lower-mono:  $\llbracket s \leq_b t; u \leq_b v \rrbracket \implies \text{PDPlus } s \ u \leq_b \text{PDPlus } t \ v$ 
unfolding lower-le-def Rep-PDPlus by fast

```

```

lemma PDPlus-lower-less:  $t \leq_b \text{PDPlus } t \ u$ 
unfolding lower-le-def Rep-PDPlus by fast

```

```

lemma lower-le-PDUnit-PDUnit-iff [simp]:
   $(\text{PDUnit } a \leq_b \text{PDUnit } b) = a \sqsubseteq b$ 
unfolding lower-le-def Rep-PDUnit by fast

```

```

lemma lower-le-PDUnit-PDPlus-iff:
   $(\text{PDUnit } a \leq_b \text{PDPlus } t \ u) = (\text{PDUnit } a \leq_b t \vee \text{PDUnit } a \leq_b u)$ 
unfolding lower-le-def Rep-PDPlus Rep-PDUnit by fast

```

```

lemma lower-le-PDPlus-iff:  $(\text{PDPlus } t \ u \leq_b v) = (t \leq_b v \wedge u \leq_b v)$ 
unfolding lower-le-def Rep-PDPlus by fast

```

```

lemma lower-le-induct [induct set: lower-le]:
  assumes le:  $t \leq_b u$ 
  assumes 1:  $\bigwedge a \ b. a \sqsubseteq b \implies P (\text{PDUnit } a) (\text{PDUnit } b)$ 
  assumes 2:  $\bigwedge t \ u \ a. P (\text{PDUnit } a) t \implies P (\text{PDUnit } a) (\text{PDPlus } t \ u)$ 
  assumes 3:  $\bigwedge t \ u \ v. \llbracket P t \ v; P u \ v \rrbracket \implies P (\text{PDPlus } t \ u) v$ 
  shows  $P t \ u$ 
using le
apply (induct t arbitrary: u rule: pd-basis-induct)
apply (erule rev-mp)
apply (induct-tac u rule: pd-basis-induct)
apply (simp add: 1)
apply (simp add: lower-le-PDUnit-PDPlus-iff)
apply (simp add: 2)
apply (subst PDPlus-commute)
apply (simp add: 2)

```



```

apply (simp add: lower-le-PDPlus-iff 3)
done

```

```

lemma approx-pd-lower-mono1:
   $i \leq j \implies \text{approx-pd } i \ t \leq_b \text{approx-pd } j \ t$ 
apply (induct t rule: pd-basis-induct)
apply (simp add: compact-approx-mono1)
apply (simp add: PDPlus-lower-mono)
done

```

```

lemma approx-pd-lower-le:  $\text{approx-pd } i \ t \leq_b t$ 
apply (induct t rule: pd-basis-induct)
apply (simp add: compact-approx-le)
apply (simp add: PDPlus-lower-mono)
done

```

```

lemma approx-pd-lower-mono:
   $t \leq_b u \implies \text{approx-pd } n \ t \leq_b \text{approx-pd } n \ u$ 
apply (erule lower-le-induct)
apply (simp add: compact-approx-mono)
apply (simp add: lower-le-PDUnit-PDPlus-iff)
apply (simp add: lower-le-PDPlus-iff)
done

```

## 24.2 Type definition

```

cpodef (open) 'a lower-pd =
  {S::'a::profinite pd-basis set. lower-le.ideal S}
apply (simp add: lower-le.adm-ideal)
apply (fast intro: lower-le.ideal-principal)
done

```

```

lemma ideal-Rep-lower-pd: lower-le.ideal (Rep-lower-pd x)
by (rule Rep-lower-pd [unfolded mem-Collect-eq])

```

### definition

```

lower-principal :: 'a pd-basis  $\Rightarrow$  'a lower-pd where
lower-principal t = Abs-lower-pd {u. u  $\leq_b$  t}

```

```

lemma Rep-lower-principal:
  Rep-lower-pd (lower-principal t) = {u. u  $\leq_b$  t}
unfolding lower-principal-def
apply (rule Abs-lower-pd-inverse [simplified])
apply (rule lower-le.ideal-principal)
done

```

### interpretation lower-pd:

```

ideal-completion [lower-le approx-pd lower-principal Rep-lower-pd]
apply unfold-locales

```

```

apply (rule approx-pd-lower-le)
apply (rule approx-pd-idem)
apply (erule approx-pd-lower-mono)
apply (rule approx-pd-lower-mono1, simp)
apply (rule finite-range-approx-pd)
apply (rule ex-approx-pd-eq)
apply (rule ideal-Rep-lower-pd)
apply (rule cont-Rep-lower-pd)
apply (rule Rep-lower-principal)
apply (simp only: less-lower-pd-def less-set-eq)
done

```

```

lemma lower-principal-less-iff [simp]:
  lower-principal  $t \sqsubseteq$  lower-principal  $u \iff t \leq_b u$ 
by (rule lower-pd.principal-less-iff)

```

```

lemma lower-principal-eq-iff:
  lower-principal  $t =$  lower-principal  $u \iff t \leq_b u \wedge u \leq_b t$ 
by (rule lower-pd.principal-eq-iff)

```

```

lemma lower-principal-mono:
   $t \leq_b u \implies$  lower-principal  $t \sqsubseteq$  lower-principal  $u$ 
by (rule lower-pd.principal-mono)

```

```

lemma compact-lower-principal: compact (lower-principal  $t$ )
by (rule lower-pd.compact-principal)

```

```

lemma lower-pd-minimal: lower-principal (PDUUnit compact-bot)  $\sqsubseteq$   $ys$ 
by (induct  $ys$  rule: lower-pd.principal-induct, simp, simp)

```

```

instance lower-pd :: (bifinite) pcpo
by intro-classes (fast intro: lower-pd-minimal)

```

```

lemma inst-lower-pd-pcpo:  $\perp =$  lower-principal (PDUUnit compact-bot)
by (rule lower-pd-minimal [THEN UU-I, symmetric])

```

### 24.3 Approximation

```

instantiation lower-pd :: (profinite) profinite
begin

```

```

definition
  approx-lower-pd-def: approx = lower-pd.completion-approx

```

```

instance
apply (intro-classes, unfold approx-lower-pd-def)
apply (simp add: lower-pd.chain-completion-approx)
apply (rule lower-pd.lub-completion-approx)
apply (rule lower-pd.completion-approx-idem)

```

```

apply (rule lower-pd.finite-fixes-completion-approx)
done

end

instance lower-pd :: (bifinite) bifinite ..

lemma approx-lower-principal [simp]:
  approx n.(lower-principal t) = lower-principal (approx-pd n t)
unfolding approx-lower-pd-def
by (rule lower-pd.completion-approx-principal)

lemma approx-eq-lower-principal:
   $\exists t \in \text{Rep-lower-pd } xs. \text{approx } n \cdot xs = \text{lower-principal } (\text{approx-pd } n \ t)$ 
unfolding approx-lower-pd-def
by (rule lower-pd.completion-approx-eq-principal)

lemma compact-imp-lower-principal:
  compact xs  $\implies \exists t. xs = \text{lower-principal } t$ 
apply (drule bifinite-compact-eq-approx)
apply (erule exE)
apply (erule subst)
apply (cut-tac n=i and xs=xs in approx-eq-lower-principal)
apply fast
done

lemma lower-principal-induct:
   $\llbracket \text{adm } P; \bigwedge t. P (\text{lower-principal } t) \rrbracket \implies P \ xs$ 
by (rule lower-pd.principal-induct)

lemma lower-principal-induct2:
   $\llbracket \bigwedge ys. \text{adm } (\lambda xs. P \ xs \ ys); \bigwedge xs. \text{adm } (\lambda ys. P \ xs \ ys);$ 
 $\bigwedge t \ u. P (\text{lower-principal } t) (\text{lower-principal } u) \rrbracket \implies P \ xs \ ys$ 
apply (rule-tac x=ys in spec)
apply (rule-tac xs=xs in lower-principal-induct, simp)
apply (rule allI, rename-tac ys)
apply (rule-tac xs=ys in lower-principal-induct, simp)
apply simp
done

```

## 24.4 Monadic unit and plus

### definition

```

lower-unit :: 'a  $\rightarrow$  'a lower-pd where
lower-unit = compact-basis.basis-fun ( $\lambda a. \text{lower-principal } (\text{PDUnit } a)$ )

```

### definition

```

lower-plus :: 'a lower-pd  $\rightarrow$  'a lower-pd  $\rightarrow$  'a lower-pd where
lower-plus = lower-pd.basis-fun ( $\lambda t. \text{lower-pd.basis-fun } (\lambda u.$ 

```

$lower\text{-}principal\ (PDPlus\ t\ u)))$

### abbreviation

$lower\text{-}add :: 'a\ lower\text{-}pd \Rightarrow 'a\ lower\text{-}pd \Rightarrow 'a\ lower\text{-}pd$   
 $(infixl\ +b\ 65)\ where$   
 $xs\ +b\ ys == lower\text{-}plus.xs.ys$

### syntax

$lower\text{-}pd :: args \Rightarrow 'a\ lower\text{-}pd\ (\{-\}b)$

### translations

$\{x, xs\}b == \{x\}b +b \{xs\}b$   
 $\{x\}b == CONST\ lower\text{-}unit.x$

**lemma**  $lower\text{-}unit\text{-}Rep\text{-}compact\text{-}basis\ [simp]:$

$\{Rep\text{-}compact\text{-}basis\ a\}b = lower\text{-}principal\ (PDUUnit\ a)$

**unfolding**  $lower\text{-}unit\text{-}def$

**by** ( $simp\ add: compact\text{-}basis.basis\text{-}fun\text{-}principal$   
 $lower\text{-}principal\text{-}mono\ PDUUnit\text{-}lower\text{-}mono$ )

**lemma**  $lower\text{-}plus\text{-}principal\ [simp]:$

$lower\text{-}principal\ t +b lower\text{-}principal\ u = lower\text{-}principal\ (PDPlus\ t\ u)$

**unfolding**  $lower\text{-}plus\text{-}def$

**by** ( $simp\ add: lower\text{-}pd.basis\text{-}fun\text{-}principal$   
 $lower\text{-}pd.basis\text{-}fun\text{-}mono\ PDPlus\text{-}lower\text{-}mono$ )

**lemma**  $approx\text{-}lower\text{-}unit\ [simp]:$

$approx\ n.\{x\}b = \{approx\ n.x\}b$

**apply** ( $induct\ x\ rule: compact\text{-}basis\text{-}induct, simp$ )

**apply** ( $simp\ add: approx\text{-}Rep\text{-}compact\text{-}basis$ )

**done**

**lemma**  $approx\text{-}lower\text{-}plus\ [simp]:$

$approx\ n.(xs +b ys) = (approx\ n.xs) +b (approx\ n.ys)$

**by** ( $induct\ xs\ ys\ rule: lower\text{-}principal\text{-}induct2, simp, simp, simp$ )

**lemma**  $lower\text{-}plus\text{-}assoc: (xs +b ys) +b zs = xs +b (ys +b zs)$

**apply** ( $induct\ xs\ ys\ arbitrary: zs\ rule: lower\text{-}principal\text{-}induct2, simp, simp$ )

**apply** ( $rule\text{-}tac\ xs=zs\ in\ lower\text{-}principal\text{-}induct, simp$ )

**apply** ( $simp\ add: PDPlus\text{-}assoc$ )

**done**

**lemma**  $lower\text{-}plus\text{-}commute: xs +b ys = ys +b xs$

**apply** ( $induct\ xs\ ys\ rule: lower\text{-}principal\text{-}induct2, simp, simp$ )

**apply** ( $simp\ add: PDPlus\text{-}commute$ )

**done**

**lemma**  $lower\text{-}plus\text{-}absorb: xs +b xs = xs$

**apply** ( $induct\ xs\ rule: lower\text{-}principal\text{-}induct, simp$ )

**apply** (*simp add: PDPlus-absorb*)  
**done**

**interpretation** *aci-lower-plus: ab-semigroup-idem-mult* [*op +b*]  
**by** *unfold-locales*  
 (*rule lower-plus-assoc lower-plus-commute lower-plus-absorb*)+

**lemma** *lower-plus-left-commute*:  $xs +b (ys +b zs) = ys +b (xs +b zs)$   
**by** (*rule aci-lower-plus.mult-left-commute*)

**lemma** *lower-plus-left-absorb*:  $xs +b (xs +b ys) = xs +b ys$   
**by** (*rule aci-lower-plus.mult-left-idem*)

**lemmas** *lower-plus-aci = aci-lower-plus.mult-ac-idem*

**lemma** *lower-plus-less1*:  $xs \sqsubseteq xs +b ys$   
**apply** (*induct xs ys rule: lower-principal-induct2, simp, simp*)  
**apply** (*simp add: PDPlus-lower-less*)  
**done**

**lemma** *lower-plus-less2*:  $ys \sqsubseteq xs +b ys$   
**by** (*subst lower-plus-commute, rule lower-plus-less1*)

**lemma** *lower-plus-least*:  $\llbracket xs \sqsubseteq zs; ys \sqsubseteq zs \rrbracket \implies xs +b ys \sqsubseteq zs$   
**apply** (*subst lower-plus-absorb [of zs, symmetric]*)  
**apply** (*erule (1) monofun-cfun [OF monofun-cfun-arg]*)  
**done**

**lemma** *lower-plus-less-iff*:  
 $xs +b ys \sqsubseteq zs \longleftrightarrow xs \sqsubseteq zs \wedge ys \sqsubseteq zs$   
**apply** *safe*  
**apply** (*erule trans-less [OF lower-plus-less1]*)  
**apply** (*erule trans-less [OF lower-plus-less2]*)  
**apply** (*erule (1) lower-plus-least*)  
**done**

**lemma** *lower-unit-less-plus-iff*:  
 $\{x\}b \sqsubseteq ys +b zs \longleftrightarrow \{x\}b \sqsubseteq ys \vee \{x\}b \sqsubseteq zs$   
**apply** (*rule iffI*)  
**apply** (*subgoal-tac*  
 $adm (\lambda f. f \cdot \{x\}b \sqsubseteq f \cdot ys \vee f \cdot \{x\}b \sqsubseteq f \cdot zs)$ )  
**apply** (*drule admD, rule chain-approx*)  
**apply** (*drule-tac f=approx i in monofun-cfun-arg*)  
**apply** (*cut-tac x=approx i.x in compact-imp-Rep-compact-basis, simp*)  
**apply** (*cut-tac xs=approx i.ys in compact-imp-lower-principal, simp*)  
**apply** (*cut-tac xs=approx i.zs in compact-imp-lower-principal, simp*)  
**apply** (*clarify, simp add: lower-le-PDUnit-PDPlus-iff*)  
**apply** *simp*  
**apply** *simp*

```

apply (erule disjE)
apply (erule trans-less [OF - lower-plus-less1])
apply (erule trans-less [OF - lower-plus-less2])
done

```

```

lemma lower-unit-less-iff [simp]:  $\{x\}^\flat \sqsubseteq \{y\}^\flat \longleftrightarrow x \sqsubseteq y$ 
apply (rule iffI)
apply (rule bifinite-less-ext)
apply (drule-tac f=approx i in monofun-cfun-arg, simp)
apply (cut-tac x=approx i.x in compact-imp-Rep-compact-basis, simp)
apply (cut-tac x=approx i.y in compact-imp-Rep-compact-basis, simp)
apply (clarify, simp add: compact-le-def)
apply (erule monofun-cfun-arg)
done

```

```

lemmas lower-pd-less-simps =
  lower-unit-less-iff
  lower-plus-less-iff
  lower-unit-less-plus-iff

```

```

lemma lower-unit-eq-iff [simp]:  $\{x\}^\flat = \{y\}^\flat \longleftrightarrow x = y$ 
unfolding po-eq-conv by simp

```

```

lemma lower-unit-strict [simp]:  $\{\perp\}^\flat = \perp$ 
unfolding inst-lower-pd-pcpo Rep-compact-bot [symmetric] by simp

```

```

lemma lower-unit-strict-iff [simp]:  $\{x\}^\flat = \perp \longleftrightarrow x = \perp$ 
unfolding lower-unit-strict [symmetric] by (rule lower-unit-eq-iff)

```

```

lemma lower-plus-strict-iff [simp]:
   $xs \flat ys = \perp \longleftrightarrow xs = \perp \wedge ys = \perp$ 
apply safe
apply (rule UU-I, erule subst, rule lower-plus-less1)
apply (rule UU-I, erule subst, rule lower-plus-less2)
apply (rule lower-plus-absorb)
done

```

```

lemma lower-plus-strict1 [simp]:  $\perp \flat ys = ys$ 
apply (rule antisym-less [OF - lower-plus-less2])
apply (simp add: lower-plus-least)
done

```

```

lemma lower-plus-strict2 [simp]:  $xs \flat \perp = xs$ 
apply (rule antisym-less [OF - lower-plus-less1])
apply (simp add: lower-plus-least)
done

```

```

lemma compact-lower-unit-iff [simp]:  $\text{compact } \{x\}^\flat \longleftrightarrow \text{compact } x$ 
unfolding bifinite-compact-iff by simp

```

```

lemma compact-lower-plus [simp]:
   $\llbracket \text{compact } xs; \text{ compact } ys \rrbracket \implies \text{compact } (xs +\flat ys)$ 
apply (drule compact-imp-lower-principal)+
apply (auto simp add: compact-lower-principal)
done

```

## 24.5 Induction rules

```

lemma lower-pd-induct1:
  assumes P: adm P
  assumes unit:  $\bigwedge x. P \{x\}^\flat$ 
  assumes insert:
     $\bigwedge x \text{ } ys. \llbracket P \{x\}^\flat; P \text{ } ys \rrbracket \implies P (\{x\}^\flat +\flat ys)$ 
  shows P (xs::'a lower-pd)
apply (induct xs rule: lower-principal-induct, rule P)
apply (induct-tac t rule: pd-basis-induct1)
apply (simp only: lower-unit-Rep-compact-basis [symmetric])
apply (rule unit)
apply (simp only: lower-unit-Rep-compact-basis [symmetric]
               lower-plus-principal [symmetric])
apply (erule insert [OF unit])
done

```

```

lemma lower-pd-induct:
  assumes P: adm P
  assumes unit:  $\bigwedge x. P \{x\}^\flat$ 
  assumes plus:  $\bigwedge xs \text{ } ys. \llbracket P \text{ } xs; P \text{ } ys \rrbracket \implies P (xs +\flat ys)$ 
  shows P (xs::'a lower-pd)
apply (induct xs rule: lower-principal-induct, rule P)
apply (induct-tac t rule: pd-basis-induct)
apply (simp only: lower-unit-Rep-compact-basis [symmetric] unit)
apply (simp only: lower-plus-principal [symmetric] plus)
done

```

## 24.6 Monadic bind

### definition

```

lower-bind-basis ::
  'a pd-basis  $\Rightarrow$  ('a  $\rightarrow$  'b lower-pd)  $\rightarrow$  'b lower-pd where
lower-bind-basis = fold-pd
  ( $\lambda a. \bigwedge f. f \cdot (\text{Rep-compact-basis } a)$ )
  ( $\lambda x \text{ } y. \bigwedge f. x \cdot f +\flat y \cdot f$ )

```

```

lemma ACI-lower-bind:
  ab-semigroup-idem-mult ( $\lambda x \text{ } y. \bigwedge f. x \cdot f +\flat y \cdot f$ )
apply unfold-locales
apply (simp add: lower-plus-assoc)
apply (simp add: lower-plus-commute)
apply (simp add: lower-plus-absorb eta-cfun)

```

done

**lemma** *lower-bind-basis-simps* [simp]:  
 $\text{lower-bind-basis } (PDUnit\ a) =$   
 $(\Lambda f. f \cdot (\text{Rep-compact-basis } a))$   
 $\text{lower-bind-basis } (PDPlus\ t\ u) =$   
 $(\Lambda f. \text{lower-bind-basis } t \cdot f + \text{lower-bind-basis } u \cdot f)$   
**unfolding** *lower-bind-basis-def*  
**apply** –  
**apply** (rule *fold-pd-PDUnit* [OF *ACI-lower-bind*])  
**apply** (rule *fold-pd-PDPlus* [OF *ACI-lower-bind*])  
**done**

**lemma** *lower-bind-basis-mono*:  
 $t \leq b u \implies \text{lower-bind-basis } t \sqsubseteq \text{lower-bind-basis } u$   
**unfolding** *expand-cfun-less*  
**apply** (erule *lower-le-induct*, safe)  
**apply** (simp add: *compact-le-def* *monofun-cfun*)  
**apply** (simp add: *rev-trans-less* [OF *lower-plus-less1*])  
**apply** (simp add: *lower-plus-less-iff*)  
**done**

**definition**

$\text{lower-bind} :: 'a \text{ lower-pd} \rightarrow ('a \rightarrow 'b \text{ lower-pd}) \rightarrow 'b \text{ lower-pd}$  **where**  
 $\text{lower-bind} = \text{lower-pd.basis-fun } \text{lower-bind-basis}$

**lemma** *lower-bind-principal* [simp]:  
 $\text{lower-bind} \cdot (\text{lower-principal } t) = \text{lower-bind-basis } t$   
**unfolding** *lower-bind-def*  
**apply** (rule *lower-pd.basis-fun-principal*)  
**apply** (erule *lower-bind-basis-mono*)  
**done**

**lemma** *lower-bind-unit* [simp]:  
 $\text{lower-bind} \cdot \{x\} \cdot f = f \cdot x$   
**by** (induct *x* rule: *compact-basis-induct*, simp, simp)

**lemma** *lower-bind-plus* [simp]:  
 $\text{lower-bind} \cdot (xs + ys) \cdot f = \text{lower-bind} \cdot xs \cdot f + \text{lower-bind} \cdot ys \cdot f$   
**by** (induct *xs ys* rule: *lower-principal-induct2*, simp, simp, simp)

**lemma** *lower-bind-strict* [simp]:  $\text{lower-bind} \cdot \perp \cdot f = f \cdot \perp$   
**unfolding** *lower-unit-strict* [symmetric] **by** (rule *lower-bind-unit*)

## 24.7 Map and join

**definition**

$\text{lower-map} :: ('a \rightarrow 'b) \rightarrow 'a \text{ lower-pd} \rightarrow 'b \text{ lower-pd}$  **where**  
 $\text{lower-map} = (\Lambda f\ xs. \text{lower-bind} \cdot xs \cdot (\Lambda x. \{f \cdot x\}))$



**definition**

$lower\_join :: 'a\ lower\_pd\ lower\_pd \rightarrow 'a\ lower\_pd$  **where**  
 $lower\_join = (\Lambda\ xss.\ lower\_bind \cdot xss \cdot (\Lambda\ xs.\ xs))$

**lemma**  $lower\_map\_unit$  [simp]:

$lower\_map \cdot f \cdot \{x\} \flat = \{f \cdot x\} \flat$

**unfolding**  $lower\_map\_def$  **by**  $simp$

**lemma**  $lower\_map\_plus$  [simp]:

$lower\_map \cdot f \cdot (xs \flat ys) = lower\_map \cdot f \cdot xs \flat lower\_map \cdot f \cdot ys$

**unfolding**  $lower\_map\_def$  **by**  $simp$

**lemma**  $lower\_join\_unit$  [simp]:

$lower\_join \cdot \{xs\} \flat = xs$

**unfolding**  $lower\_join\_def$  **by**  $simp$

**lemma**  $lower\_join\_plus$  [simp]:

$lower\_join \cdot (xss \flat yss) = lower\_join \cdot xss \flat lower\_join \cdot yss$

**unfolding**  $lower\_join\_def$  **by**  $simp$

**lemma**  $lower\_map\_ident$ :  $lower\_map \cdot (\Lambda\ x.\ x) \cdot xs = xs$ 

**by** ( $induct\ xs\ rule: lower\_pd\_induct, simp\_all$ )

**lemma**  $lower\_map\_map$ :

$lower\_map \cdot f \cdot (lower\_map \cdot g \cdot xs) = lower\_map \cdot (\Lambda\ x.\ f \cdot (g \cdot x)) \cdot xs$

**by** ( $induct\ xs\ rule: lower\_pd\_induct, simp\_all$ )

**lemma**  $lower\_join\_map\_unit$ :

$lower\_join \cdot (lower\_map \cdot lower\_unit \cdot xs) = xs$

**by** ( $induct\ xs\ rule: lower\_pd\_induct, simp\_all$ )

**lemma**  $lower\_join\_map\_join$ :

$lower\_join \cdot (lower\_map \cdot lower\_join \cdot xsss) = lower\_join \cdot (lower\_join \cdot xsss)$

**by** ( $induct\ xsss\ rule: lower\_pd\_induct, simp\_all$ )

**lemma**  $lower\_join\_map\_map$ :

$lower\_join \cdot (lower\_map \cdot (lower\_map \cdot f) \cdot xss) =$

$lower\_map \cdot f \cdot (lower\_join \cdot xss)$

**by** ( $induct\ xss\ rule: lower\_pd\_induct, simp\_all$ )

**lemma**  $lower\_map\_approx$ :  $lower\_map \cdot (approx\ n) \cdot xs = approx\ n \cdot xs$ 

**by** ( $induct\ xs\ rule: lower\_pd\_induct, simp\_all$ )

**end**

## 25 ConvexPD: Convex powerdomain

```
theory ConvexPD
imports UpperPD LowerPD
begin
```

### 25.1 Basis preorder

**definition**

```
convex-le :: 'a pd-basis  $\Rightarrow$  'a pd-basis  $\Rightarrow$  bool (infix  $\leq_{\mathfrak{h}}$  50) where
convex-le = ( $\lambda u v. u \leq_{\mathfrak{h}} v \wedge u \leq_b v$ )
```

**lemma** *convex-le-refl* [simp]:  $t \leq_{\mathfrak{h}} t$

**unfolding** *convex-le-def* **by** (fast intro: upper-le-refl lower-le-refl)

**lemma** *convex-le-trans*:  $\llbracket t \leq_{\mathfrak{h}} u; u \leq_{\mathfrak{h}} v \rrbracket \Longrightarrow t \leq_{\mathfrak{h}} v$

**unfolding** *convex-le-def* **by** (fast intro: upper-le-trans lower-le-trans)

**interpretation** *convex-le*: preorder [convex-le]

**by** (rule preorder.intro, rule convex-le-refl, rule convex-le-trans)

**lemma** *upper-le-minimal* [simp]: *PDUnit compact-bot*  $\leq_{\mathfrak{h}} t$

**unfolding** *convex-le-def Rep-PDUnit* **by** simp

**lemma** *PDUnit-convex-mono*:  $x \sqsubseteq y \Longrightarrow PDUnit\ x \leq_{\mathfrak{h}} PDUnit\ y$

**unfolding** *convex-le-def* **by** (fast intro: PDUnit-upper-mono PDUnit-lower-mono)

**lemma** *PDPlus-convex-mono*:  $\llbracket s \leq_{\mathfrak{h}} t; u \leq_{\mathfrak{h}} v \rrbracket \Longrightarrow PDPlus\ s\ u \leq_{\mathfrak{h}} PDPlus\ t\ v$

**unfolding** *convex-le-def* **by** (fast intro: PDPlus-upper-mono PDPlus-lower-mono)

**lemma** *convex-le-PDUnit-PDUnit-iff* [simp]:

```
(PDUnit a  $\leq_{\mathfrak{h}}$  PDUnit b) =  $a \sqsubseteq b$ 
```

**unfolding** *convex-le-def upper-le-def lower-le-def Rep-PDUnit* **by** fast

**lemma** *convex-le-PDUnit-lemma1*:

```
(PDUnit a  $\leq_{\mathfrak{h}}$  t) = ( $\forall b \in Rep\text{-}pd\text{-}basis\ t. a \sqsubseteq b$ )
```

**unfolding** *convex-le-def upper-le-def lower-le-def Rep-PDUnit*

**using** *Rep-pd-basis-nonempty* [of t, folded ex-in-conv] **by** fast

**lemma** *convex-le-PDUnit-PDPlus-iff* [simp]:

```
(PDUnit a  $\leq_{\mathfrak{h}}$  PDPlus t u) = (PDUnit a  $\leq_{\mathfrak{h}}$  t  $\wedge$  PDUnit a  $\leq_{\mathfrak{h}}$  u)
```

**unfolding** *convex-le-PDUnit-lemma1 Rep-PDPlus* **by** fast

**lemma** *convex-le-PDUnit-lemma2*:

```
(t  $\leq_{\mathfrak{h}}$  PDUnit b) = ( $\forall a \in Rep\text{-}pd\text{-}basis\ t. a \sqsubseteq b$ )
```

**unfolding** *convex-le-def upper-le-def lower-le-def Rep-PDUnit*

**using** *Rep-pd-basis-nonempty* [of t, folded ex-in-conv] **by** fast

**lemma** *convex-le-PDPlus-PDUnit-iff* [simp]:

```
(PDPlus t u  $\leq_{\mathfrak{h}}$  PDUnit a) = (t  $\leq_{\mathfrak{h}}$  PDUnit a  $\wedge$  u  $\leq_{\mathfrak{h}}$  PDUnit a)
```

unfolding *convex-le-PDUnit-lemma2 Rep-PDPlus* by *fast*

**lemma** *convex-le-PDPlus-lemma*:

assumes  $z: PDPlus\ t\ u \leq_{\mathfrak{h}} z$

shows  $\exists v\ w. z = PDPlus\ v\ w \wedge t \leq_{\mathfrak{h}} v \wedge u \leq_{\mathfrak{h}} w$

**proof** (*intro exI conjI*)

let  $?A = \{b \in Rep\text{-}pd\text{-}basis\ z. \exists a \in Rep\text{-}pd\text{-}basis\ t. a \sqsubseteq b\}$

let  $?B = \{b \in Rep\text{-}pd\text{-}basis\ z. \exists a \in Rep\text{-}pd\text{-}basis\ u. a \sqsubseteq b\}$

let  $?v = Abs\text{-}pd\text{-}basis\ ?A$

let  $?w = Abs\text{-}pd\text{-}basis\ ?B$

have *Rep-v*:  $Rep\text{-}pd\text{-}basis\ ?v = ?A$

apply (*rule Abs-pd-basis-inverse*)

apply (*rule Rep-pd-basis-nonempty* [of *t*, folded *ex-in-conv*, THEN *exE*])

apply (*cut-tac* *z*, *simp only*: *convex-le-def lower-le-def*, *clarify*)

apply (*drule-tac*  $x=x$  in *bspec*, *simp add*: *Rep-PDPlus*, *erule bexE*)

apply (*simp add*: *pd-basis-def*)

apply *fast*

done

have *Rep-w*:  $Rep\text{-}pd\text{-}basis\ ?w = ?B$

apply (*rule Abs-pd-basis-inverse*)

apply (*rule Rep-pd-basis-nonempty* [of *u*, folded *ex-in-conv*, THEN *exE*])

apply (*cut-tac* *z*, *simp only*: *convex-le-def lower-le-def*, *clarify*)

apply (*drule-tac*  $x=x$  in *bspec*, *simp add*: *Rep-PDPlus*, *erule bexE*)

apply (*simp add*: *pd-basis-def*)

apply *fast*

done

show  $z = PDPlus\ ?v\ ?w$

apply (*insert* *z*)

apply (*simp add*: *convex-le-def*, *erule conjE*)

apply (*simp add*: *Rep-pd-basis-inject* [*symmetric*] *Rep-PDPlus*)

apply (*simp add*: *Rep-v Rep-w*)

apply (*rule equalityI*)

apply (*rule subsetI*)

apply (*simp only*: *upper-le-def*)

apply (*drule* (1) *bspec*, *erule bexE*)

apply (*simp add*: *Rep-PDPlus*)

apply *fast*

apply *fast*

done

show  $t \leq_{\mathfrak{h}} ?v\ u \leq_{\mathfrak{h}} ?w$

apply (*insert* *z*)

apply (*simp-all add*: *convex-le-def upper-le-def lower-le-def Rep-PDPlus Rep-v*

*Rep-w*)

apply *fast+*

done

qed

**lemma** *convex-le-induct* [*induct set*: *convex-le*]:

assumes *le*:  $t \leq_{\mathfrak{h}} u$

```

assumes 2:  $\bigwedge t u v. \llbracket P t u; P u v \rrbracket \implies P t v$ 
assumes 3:  $\bigwedge a b. a \sqsubseteq b \implies P (PDUnit a) (PDUnit b)$ 
assumes 4:  $\bigwedge t u v w. \llbracket P t v; P u w \rrbracket \implies P (PDPlus t u) (PDPlus v w)$ 
shows  $P t u$ 
using le apply (induct t arbitrary: u rule: pd-basis-induct)
apply (erule rev-mp)
apply (induct-tac u rule: pd-basis-induct1)
apply (simp add: 3)
apply (simp, clarify, rename-tac a b t)
apply (subgoal-tac  $P (PDPlus (PDUnit a) (PDUnit a)) (PDPlus (PDUnit b) t)$ )
apply (simp add: PDPlus-absorb)
apply (erule (1) 4 [OF 3])
apply (drule convex-le-PDPlus-lemma, clarify)
apply (simp add: 4)
done

```

```

lemma approx-pd-convex-mono1:
   $i \leq j \implies \text{approx-pd } i \ t \leq_{\mathfrak{h}} \text{approx-pd } j \ t$ 
apply (induct t rule: pd-basis-induct)
apply (simp add: compact-approx-mono1)
apply (simp add: PDPlus-convex-mono)
done

```

```

lemma approx-pd-convex-le:  $\text{approx-pd } i \ t \leq_{\mathfrak{h}} t$ 
apply (induct t rule: pd-basis-induct)
apply (simp add: compact-approx-le)
apply (simp add: PDPlus-convex-mono)
done

```

```

lemma approx-pd-convex-mono:
   $t \leq_{\mathfrak{h}} u \implies \text{approx-pd } n \ t \leq_{\mathfrak{h}} \text{approx-pd } n \ u$ 
apply (erule convex-le-induct)
apply (erule (1) convex-le-trans)
apply (simp add: compact-approx-mono)
apply (simp add: PDPlus-convex-mono)
done

```

## 25.2 Type definition

```

cpodef (open) 'a convex-pd =
  {S::'a::profinite pd-basis set. convex-le.ideal S}
apply (simp add: convex-le.adm-ideal)
apply (fast intro: convex-le.ideal-principal)
done

```

```

lemma ideal-Rep-convex-pd: convex-le.ideal (Rep-convex-pd xs)
by (rule Rep-convex-pd [unfolded mem-Collect-eq])

```

```

lemma Rep-convex-pd-mono:  $xs \sqsubseteq ys \implies \text{Rep-convex-pd } xs \subseteq \text{Rep-convex-pd } ys$ 

```

**unfolding** *less-convex-pd-def less-set-eq* .

**definition**

*convex-principal* :: 'a *pd-basis*  $\Rightarrow$  'a *convex-pd* **where**  
*convex-principal* *t* = *Abs-convex-pd* {*u*. *u*  $\leq_{\mathfrak{h}}$  *t*}

**lemma** *Rep-convex-principal*:

*Rep-convex-pd* (*convex-principal* *t*) = {*u*. *u*  $\leq_{\mathfrak{h}}$  *t*}

**unfolding** *convex-principal-def*

**apply** (rule *Abs-convex-pd-inverse* [simplified])

**apply** (rule *convex-le.ideal-principal*)

**done**

**interpretation** *convex-pd*:

*ideal-completion* [*convex-le approx-pd convex-principal Rep-convex-pd*]

**apply** *unfold-locales*

**apply** (rule *approx-pd-convex-le*)

**apply** (rule *approx-pd-idem*)

**apply** (erule *approx-pd-convex-mono*)

**apply** (rule *approx-pd-convex-mono1*, *simp*)

**apply** (rule *finite-range-approx-pd*)

**apply** (rule *ex-approx-pd-eq*)

**apply** (rule *ideal-Rep-convex-pd*)

**apply** (rule *cont-Rep-convex-pd*)

**apply** (rule *Rep-convex-principal*)

**apply** (*simp only*: *less-convex-pd-def less-set-eq*)

**done**

**lemma** *convex-principal-less-iff* [*simp*]:

*convex-principal* *t*  $\sqsubseteq$  *convex-principal* *u*  $\longleftrightarrow$  *t*  $\leq_{\mathfrak{h}}$  *u*

**by** (rule *convex-pd.principal-less-iff*)

**lemma** *convex-principal-eq-iff* [*simp*]:

*convex-principal* *t* = *convex-principal* *u*  $\longleftrightarrow$  *t*  $\leq_{\mathfrak{h}}$  *u*  $\wedge$  *u*  $\leq_{\mathfrak{h}}$  *t*

**by** (rule *convex-pd.principal-eq-iff*)

**lemma** *convex-principal-mono*:

*t*  $\leq_{\mathfrak{h}}$  *u*  $\Longrightarrow$  *convex-principal* *t*  $\sqsubseteq$  *convex-principal* *u*

**by** (rule *convex-pd.principal-mono*)

**lemma** *compact-convex-principal*: *compact* (*convex-principal* *t*)

**by** (rule *convex-pd.compact-principal*)

**lemma** *convex-pd-minimal*: *convex-principal* (*PDUnit compact-bot*)  $\sqsubseteq$  *ys*

**by** (*induct ys* rule: *convex-pd.principal-induct*, *simp*, *simp*)

**instance** *convex-pd* :: (*bifinite*) *pcpo*

**by** *intro-classes* (*fast intro*: *convex-pd-minimal*)

**lemma** *inst-convex-pd-pcpo*:  $\perp = \text{convex-principal } (PDU\text{Unit } \text{compact-bot})$   
**by** (rule *convex-pd-minimal* [THEN *UU-I*, *symmetric*])

### 25.3 Approximation

**instantiation** *convex-pd* :: (profinite) profinite  
**begin**

**definition**

*approx-convex-pd-def*: *approx* = *convex-pd.completion-approx*

**instance**

**apply** (*intro-classes*, *unfold approx-convex-pd-def*)  
**apply** (*simp add*: *convex-pd.chain-completion-approx*)  
**apply** (rule *convex-pd.lub-completion-approx*)  
**apply** (rule *convex-pd.completion-approx-idem*)  
**apply** (rule *convex-pd.finite-fixes-completion-approx*)  
**done**

**end**

**instance** *convex-pd* :: (bifinite) bifinite ..

**lemma** *approx-convex-principal* [*simp*]:

*approx n*.(*convex-principal t*) = *convex-principal (approx-pd n t)*

**unfolding** *approx-convex-pd-def*

**by** (rule *convex-pd.completion-approx-principal*)

**lemma** *approx-eq-convex-principal*:

$\exists t \in \text{Rep-convex-pd } xs. \text{approx } n \cdot xs = \text{convex-principal } (\text{approx-pd } n \ t)$

**unfolding** *approx-convex-pd-def*

**by** (rule *convex-pd.completion-approx-eq-principal*)

**lemma** *compact-imp-convex-principal*:

*compact xs*  $\implies \exists t. xs = \text{convex-principal } t$

**apply** (*drule bifinite-compact-eq-approx*)

**apply** (*erule exE*)

**apply** (*erule subst*)

**apply** (*cut-tac n=i and xs=xs in approx-eq-convex-principal*)

**apply** *fast*

**done**

**lemma** *convex-principal-induct*:

$\llbracket \text{adm } P; \bigwedge t. P (\text{convex-principal } t) \rrbracket \implies P \ xs$

**by** (rule *convex-pd.principal-induct*)

**lemma** *convex-principal-induct2*:

$\llbracket \bigwedge ys. \text{adm } (\lambda xs. P \ xs \ ys); \bigwedge xs. \text{adm } (\lambda ys. P \ xs \ ys);$

$\bigwedge t \ u. P (\text{convex-principal } t) (\text{convex-principal } u) \rrbracket \implies P \ xs \ ys$

```

apply (rule-tac  $x=ys$  in spec)
apply (rule-tac  $xs=xs$  in convex-principal-induct, simp)
apply (rule allI, rename-tac ys)
apply (rule-tac  $xs=ys$  in convex-principal-induct, simp)
apply simp
done

```

## 25.4 Monadic unit and plus

### definition

```

convex-unit :: 'a → 'a convex-pd where
convex-unit = compact-basis.basis-fun (λa. convex-principal (PDUUnit a))

```

### definition

```

convex-plus :: 'a convex-pd → 'a convex-pd → 'a convex-pd where
convex-plus = convex-pd.basis-fun (λt. convex-pd.basis-fun (λu.
  convex-principal (PDPlus t u)))

```

### abbreviation

```

convex-add :: 'a convex-pd ⇒ 'a convex-pd ⇒ 'a convex-pd
(infixl +⋮ 65) where
xs +⋮ ys == convex-plus·xs·ys

```

### syntax

```

-convex-pd :: args ⇒ 'a convex-pd ({-}⋮)

```

### translations

```

{x, xs}⋮ == {x}⋮ +⋮ {xs}⋮
{x}⋮ == CONST convex-unit·x

```

**lemma** convex-unit-Rep-compact-basis [simp]:

```

{Rep-compact-basis a}⋮ = convex-principal (PDUUnit a)

```

**unfolding** convex-unit-def

**by** (simp add: compact-basis.basis-fun-principal  
convex-principal-mono PDUUnit-convex-mono)

**lemma** convex-plus-principal [simp]:

```

convex-principal t +⋮ convex-principal u = convex-principal (PDPlus t u)

```

**unfolding** convex-plus-def

**by** (simp add: convex-pd.basis-fun-principal  
convex-pd.basis-fun-mono PDPlus-convex-mono)

**lemma** approx-convex-unit [simp]:

```

approx n·{x}⋮ = {approx n·x}⋮

```

**apply** (induct x rule: compact-basis-induct, simp)

**apply** (simp add: approx-Rep-compact-basis)

**done**

**lemma** approx-convex-plus [simp]:

$\text{approx } n \cdot (xs + \sharp ys) = \text{approx } n \cdot xs + \sharp \text{approx } n \cdot ys$   
**by** (induct  $xs$   $ys$  rule: convex-principal-induct2, simp, simp, simp)

**lemma** convex-plus-assoc:  
 $(xs + \sharp ys) + \sharp zs = xs + \sharp (ys + \sharp zs)$   
**apply** (induct  $xs$   $ys$  arbitrary:  $zs$  rule: convex-principal-induct2, simp, simp)  
**apply** (rule-tac  $xs=zs$  in convex-principal-induct, simp)  
**apply** (simp add: PDPlus-assoc)  
**done**

**lemma** convex-plus-commute:  $xs + \sharp ys = ys + \sharp xs$   
**apply** (induct  $xs$   $ys$  rule: convex-principal-induct2, simp, simp)  
**apply** (simp add: PDPlus-commute)  
**done**

**lemma** convex-plus-absorb:  $xs + \sharp xs = xs$   
**apply** (induct  $xs$  rule: convex-principal-induct, simp)  
**apply** (simp add: PDPlus-absorb)  
**done**

**interpretation** aci-convex-plus: ab-semigroup-idem-mult  $[op + \sharp]$   
**by** unfold-locales  
(rule convex-plus-assoc convex-plus-commute convex-plus-absorb)+

**lemma** convex-plus-left-commute:  $xs + \sharp (ys + \sharp zs) = ys + \sharp (xs + \sharp zs)$   
**by** (rule aci-convex-plus.mult-left-commute)

**lemma** convex-plus-left-absorb:  $xs + \sharp (xs + \sharp ys) = xs + \sharp ys$   
**by** (rule aci-convex-plus.mult-left-idem)

**lemmas** convex-plus-aci = aci-convex-plus.mult-ac-idem

**lemma** convex-unit-less-plus-iff [simp]:  
 $\{x\} \sharp \sqsubseteq ys + \sharp zs \longleftrightarrow \{x\} \sharp \sqsubseteq ys \wedge \{x\} \sharp \sqsubseteq zs$   
**apply** (rule iffI)  
**apply** (subgoal-tac  
adm ( $\lambda f. f \cdot \{x\} \sharp \sqsubseteq f \cdot ys \wedge f \cdot \{x\} \sharp \sqsubseteq f \cdot zs$ ))  
**apply** (drule admD, rule chain-approx)  
**apply** (drule-tac  $f=\text{approx } i$  in monofun-cfun-arg)  
**apply** (cut-tac  $x=\text{approx } i \cdot x$  in compact-imp-Rep-compact-basis, simp)  
**apply** (cut-tac  $xs=\text{approx } i \cdot ys$  in compact-imp-convex-principal, simp)  
**apply** (cut-tac  $xs=\text{approx } i \cdot zs$  in compact-imp-convex-principal, simp)  
**apply** (clarify, simp)  
**apply** simp  
**apply** simp  
**apply** (erule conjE)  
**apply** (subst convex-plus-absorb [of  $\{x\} \sharp$ , symmetric])  
**apply** (erule (1) monofun-cfun [OF monofun-cfun-arg])  
**done**



**lemma** *convex-plus-less-unit-iff* [simp]:  
 $xs +\sqcup ys \sqsubseteq \{z\} \iff xs \sqsubseteq \{z\} \wedge ys \sqsubseteq \{z\}$   
**apply** (rule iffI)  
**apply** (subgoal-tac  
 adm ( $\lambda f. f \cdot xs \sqsubseteq f \cdot \{z\} \wedge f \cdot ys \sqsubseteq f \cdot \{z\}$ ))  
**apply** (drule admD, rule chain-approx)  
**apply** (drule-tac f=approx i in monofun-cfun-arg)  
**apply** (cut-tac xs=approx i.xs in compact-imp-convex-principal, simp)  
**apply** (cut-tac xs=approx i.ys in compact-imp-convex-principal, simp)  
**apply** (cut-tac x=approx i.z in compact-imp-Rep-compact-basis, simp)  
**apply** (clarify, simp)  
**apply** simp  
**apply** simp  
**apply** (erule conjE)  
**apply** (subst convex-plus-absorb [of  $\{z\}$ , symmetric])  
**apply** (erule (1) monofun-cfun [OF monofun-cfun-arg])  
**done**

**lemma** *convex-unit-less-iff* [simp]:  $\{x\} \sqsubseteq \{y\} \iff x \sqsubseteq y$   
**apply** (rule iffI)  
**apply** (rule bifinite-less-ext)  
**apply** (drule-tac f=approx i in monofun-cfun-arg, simp)  
**apply** (cut-tac x=approx i.x in compact-imp-Rep-compact-basis, simp)  
**apply** (cut-tac x=approx i.y in compact-imp-Rep-compact-basis, simp)  
**apply** (clarify, simp add: compact-le-def)  
**apply** (erule monofun-cfun-arg)  
**done**

**lemma** *convex-unit-eq-iff* [simp]:  $\{x\} = \{y\} \iff x = y$   
**unfolding** po-eq-conv **by** simp

**lemma** *convex-unit-strict* [simp]:  $\{\perp\} = \perp$   
**unfolding** inst-convex-pd-pcpo Rep-compact-bot [symmetric] **by** simp

**lemma** *convex-unit-strict-iff* [simp]:  $\{x\} = \perp \iff x = \perp$   
**unfolding** convex-unit-strict [symmetric] **by** (rule convex-unit-eq-iff)

**lemma** *compact-convex-unit-iff* [simp]:  
 $\text{compact } \{x\} \iff \text{compact } x$   
**unfolding** bifinite-compact-iff **by** simp

**lemma** *compact-convex-plus* [simp]:  
 $\llbracket \text{compact } xs; \text{compact } ys \rrbracket \implies \text{compact } (xs +\sqcup ys)$   
**apply** (drule compact-imp-convex-principal)+  
**apply** (auto simp add: compact-convex-principal)  
**done**

## 25.5 Induction rules

```

lemma convex-pd-induct1:
  assumes  $P$ :  $\text{adm } P$ 
  assumes unit:  $\bigwedge x. P \{x\} \dot{+}$ 
  assumes insert:  $\bigwedge x \text{ } ys. \llbracket P \{x\} \dot{+}; P \text{ } ys \rrbracket \implies P (\{x\} \dot{+} \dot{+} ys)$ 
  shows  $P (xs :: 'a \text{ } \text{convex-pd})$ 
apply (induct  $xs$  rule: convex-principal-induct, rule  $P$ )
apply (induct-tac  $t$  rule: pd-basis-induct1)
apply (simp only: convex-unit-Rep-compact-basis [symmetric])
apply (rule unit)
apply (simp only: convex-unit-Rep-compact-basis [symmetric]
  convex-plus-principal [symmetric])
apply (erule insert [OF unit])
done

lemma convex-pd-induct:
  assumes  $P$ :  $\text{adm } P$ 
  assumes unit:  $\bigwedge x. P \{x\} \dot{+}$ 
  assumes plus:  $\bigwedge xs \text{ } ys. \llbracket P \text{ } xs; P \text{ } ys \rrbracket \implies P (xs \dot{+} ys)$ 
  shows  $P (xs :: 'a \text{ } \text{convex-pd})$ 
apply (induct  $xs$  rule: convex-principal-induct, rule  $P$ )
apply (induct-tac  $t$  rule: pd-basis-induct)
apply (simp only: convex-unit-Rep-compact-basis [symmetric] unit)
apply (simp only: convex-plus-principal [symmetric] plus)
done

```

## 25.6 Monadic bind

### definition

```

convex-bind-basis ::
  ' $a$  pd-basis  $\Rightarrow$  ( $'a \rightarrow 'b \text{ } \text{convex-pd}$ )  $\rightarrow 'b \text{ } \text{convex-pd}$  where
convex-bind-basis = fold-pd
  ( $\lambda a. \bigwedge f. f \cdot (\text{Rep-compact-basis } a)$ )
  ( $\lambda x \text{ } y. \bigwedge f. x \cdot f \dot{+} y \cdot f$ )

```

```

lemma ACI-convex-bind:
  ab-semigroup-idem-mult ( $\lambda x \text{ } y. \bigwedge f. x \cdot f \dot{+} y \cdot f$ )
apply unfold-locales
apply (simp add: convex-plus-assoc)
apply (simp add: convex-plus-commute)
apply (simp add: convex-plus-absorb eta-cfun)
done

```

```

lemma convex-bind-basis-simps [simp]:
  convex-bind-basis (PDUnit  $a$ ) =
    ( $\bigwedge f. f \cdot (\text{Rep-compact-basis } a)$ )
  convex-bind-basis (PDPlus  $t \text{ } u$ ) =
    ( $\bigwedge f. \text{convex-bind-basis } t \cdot f \dot{+} \text{convex-bind-basis } u \cdot f$ )
unfolding convex-bind-basis-def

```

**apply** –  
**apply** (rule *fold-pd-PDUnit* [OF *ACI-convex-bind*])  
**apply** (rule *fold-pd-PDPlus* [OF *ACI-convex-bind*])  
**done**

**lemma** *monofun-LAM*:  
 $\llbracket \text{cont } f; \text{cont } g; \bigwedge x. f\ x \sqsubseteq g\ x \rrbracket \implies (\bigwedge x. f\ x) \sqsubseteq (\bigwedge x. g\ x)$   
**by** (simp add: *expand-cfun-less*)

**lemma** *convex-bind-basis-mono*:  
 $t \leq_{\mathfrak{h}} u \implies \text{convex-bind-basis } t \sqsubseteq \text{convex-bind-basis } u$   
**apply** (erule *convex-le-induct*)  
**apply** (erule (1) *trans-less*)  
**apply** (simp add: *monofun-LAM compact-le-def monofun-cfun*)  
**apply** (simp add: *monofun-LAM compact-le-def monofun-cfun*)  
**done**

**definition**  
 $\text{convex-bind} :: 'a\ \text{convex-pd} \rightarrow ('a \rightarrow 'b\ \text{convex-pd}) \rightarrow 'b\ \text{convex-pd}$  **where**  
 $\text{convex-bind} = \text{convex-pd.basis-fun } \text{convex-bind-basis}$

**lemma** *convex-bind-principal* [simp]:  
 $\text{convex-bind}.\text{(convex-principal } t) = \text{convex-bind-basis } t$   
**unfolding** *convex-bind-def*  
**apply** (rule *convex-pd.basis-fun-principal*)  
**apply** (erule *convex-bind-basis-mono*)  
**done**

**lemma** *convex-bind-unit* [simp]:  
 $\text{convex-bind}.\{x\}_{\mathfrak{h}}.f = f.x$   
**by** (induct *x* rule: *compact-basis-induct*, simp, simp)

**lemma** *convex-bind-plus* [simp]:  
 $\text{convex-bind}.(xs +_{\mathfrak{h}} ys).f = \text{convex-bind}.xs.f +_{\mathfrak{h}} \text{convex-bind}.ys.f$   
**by** (induct *xs ys* rule: *convex-principal-induct2*, simp, simp, simp)

**lemma** *convex-bind-strict* [simp]:  $\text{convex-bind}.\perp.f = f.\perp$   
**unfolding** *convex-unit-strict* [symmetric] **by** (rule *convex-bind-unit*)

## 25.7 Map and join

**definition**  
 $\text{convex-map} :: ('a \rightarrow 'b) \rightarrow 'a\ \text{convex-pd} \rightarrow 'b\ \text{convex-pd}$  **where**  
 $\text{convex-map} = (\bigwedge f\ xs. \text{convex-bind}.xs.(\bigwedge x. \{f.x\}_{\mathfrak{h}}))$

**definition**  
 $\text{convex-join} :: 'a\ \text{convex-pd } \text{convex-pd} \rightarrow 'a\ \text{convex-pd}$  **where**  
 $\text{convex-join} = (\bigwedge xss. \text{convex-bind}.xss.(\bigwedge xs. xs))$

**lemma** *convex-map-unit* [simp]:  
 $\text{convex-map} \cdot f \cdot (\text{convex-unit} \cdot x) = \text{convex-unit} \cdot (f \cdot x)$   
**unfolding** *convex-map-def* **by** *simp*

**lemma** *convex-map-plus* [simp]:  
 $\text{convex-map} \cdot f \cdot (xs +\# ys) = \text{convex-map} \cdot f \cdot xs +\# \text{convex-map} \cdot f \cdot ys$   
**unfolding** *convex-map-def* **by** *simp*

**lemma** *convex-join-unit* [simp]:  
 $\text{convex-join} \cdot \{xs\} \# = xs$   
**unfolding** *convex-join-def* **by** *simp*

**lemma** *convex-join-plus* [simp]:  
 $\text{convex-join} \cdot (xss +\# yss) = \text{convex-join} \cdot xss +\# \text{convex-join} \cdot yss$   
**unfolding** *convex-join-def* **by** *simp*

**lemma** *convex-map-ident*:  $\text{convex-map} \cdot (\Lambda x. x) \cdot xs = xs$   
**by** (*induct xs rule: convex-pd-induct, simp-all*)

**lemma** *convex-map-map*:  
 $\text{convex-map} \cdot f \cdot (\text{convex-map} \cdot g \cdot xs) = \text{convex-map} \cdot (\Lambda x. f \cdot (g \cdot x)) \cdot xs$   
**by** (*induct xs rule: convex-pd-induct, simp-all*)

**lemma** *convex-join-map-unit*:  
 $\text{convex-join} \cdot (\text{convex-map} \cdot \text{convex-unit} \cdot xs) = xs$   
**by** (*induct xs rule: convex-pd-induct, simp-all*)

**lemma** *convex-join-map-join*:  
 $\text{convex-join} \cdot (\text{convex-map} \cdot \text{convex-join} \cdot xsss) = \text{convex-join} \cdot (\text{convex-join} \cdot xsss)$   
**by** (*induct xsss rule: convex-pd-induct, simp-all*)

**lemma** *convex-join-map-map*:  
 $\text{convex-join} \cdot (\text{convex-map} \cdot (\text{convex-map} \cdot f) \cdot xss) =$   
 $\text{convex-map} \cdot f \cdot (\text{convex-join} \cdot xss)$   
**by** (*induct xss rule: convex-pd-induct, simp-all*)

**lemma** *convex-map-approx*:  $\text{convex-map} \cdot (\text{approx } n) \cdot xs = \text{approx } n \cdot xs$   
**by** (*induct xs rule: convex-pd-induct, simp-all*)

## 25.8 Conversions to other powerdomains

Convex to upper

**lemma** *convex-le-imp-upper-le*:  $t \leq\# u \implies t \leq\# u$   
**unfolding** *convex-le-def* **by** *simp*

**definition**  
 $\text{convex-to-upper} :: 'a \text{ convex-pd} \rightarrow 'a \text{ upper-pd}$  **where**  
 $\text{convex-to-upper} = \text{convex-pd.basis-fun upper-principal}$

**lemma** *convex-to-upper-principal* [simp]:  
 $\text{convex-to-upper} \cdot (\text{convex-principal } t) = \text{upper-principal } t$   
**unfolding** *convex-to-upper-def*  
**apply** (rule *convex-pd.basis-fun-principal*)  
**apply** (rule *upper-principal-mono*)  
**apply** (erule *convex-le-imp-upper-le*)  
**done**

**lemma** *convex-to-upper-unit* [simp]:  
 $\text{convex-to-upper} \cdot \{x\}_{\sharp} = \{x\}_{\sharp}$   
**by** (induct *x* rule: *compact-basis-induct*, *simp*, *simp*)

**lemma** *convex-to-upper-plus* [simp]:  
 $\text{convex-to-upper} \cdot (xs +_{\sharp} ys) = \text{convex-to-upper} \cdot xs +_{\sharp} \text{convex-to-upper} \cdot ys$   
**by** (induct *xs ys* rule: *convex-principal-induct2*, *simp*, *simp*, *simp*)

**lemma** *approx-convex-to-upper*:  
 $\text{approx } i \cdot (\text{convex-to-upper} \cdot xs) = \text{convex-to-upper} \cdot (\text{approx } i \cdot xs)$   
**by** (induct *xs* rule: *convex-pd-induct*, *simp*, *simp*, *simp*)

Convex to lower

**lemma** *convex-le-imp-lower-le*:  $t \leq_{\sharp} u \implies t \leq_{\flat} u$   
**unfolding** *convex-le-def* **by** *simp*

**definition**  
 $\text{convex-to-lower} :: 'a \text{ convex-pd} \rightarrow 'a \text{ lower-pd}$  **where**  
 $\text{convex-to-lower} = \text{convex-pd.basis-fun lower-principal}$

**lemma** *convex-to-lower-principal* [simp]:  
 $\text{convex-to-lower} \cdot (\text{convex-principal } t) = \text{lower-principal } t$   
**unfolding** *convex-to-lower-def*  
**apply** (rule *convex-pd.basis-fun-principal*)  
**apply** (rule *lower-principal-mono*)  
**apply** (erule *convex-le-imp-lower-le*)  
**done**

**lemma** *convex-to-lower-unit* [simp]:  
 $\text{convex-to-lower} \cdot \{x\}_{\sharp} = \{x\}_{\flat}$   
**by** (induct *x* rule: *compact-basis-induct*, *simp*, *simp*)

**lemma** *convex-to-lower-plus* [simp]:  
 $\text{convex-to-lower} \cdot (xs +_{\sharp} ys) = \text{convex-to-lower} \cdot xs +_{\flat} \text{convex-to-lower} \cdot ys$   
**by** (induct *xs ys* rule: *convex-principal-induct2*, *simp*, *simp*, *simp*)

**lemma** *approx-convex-to-lower*:  
 $\text{approx } i \cdot (\text{convex-to-lower} \cdot xs) = \text{convex-to-lower} \cdot (\text{approx } i \cdot xs)$   
**by** (induct *xs* rule: *convex-pd-induct*, *simp*, *simp*, *simp*)

Ordering property

```

lemma convex-pd-less-iff:
  ( $xs \sqsubseteq ys$ ) =
    ( $convex\text{-}to\text{-}upper.xs \sqsubseteq convex\text{-}to\text{-}upper.ys \wedge$ 
      $convex\text{-}to\text{-}lower.xs \sqsubseteq convex\text{-}to\text{-}lower.ys$ )
  apply (safe elim!: monofun-cfun-arg)
  apply (rule bifinite-less-ext)
  apply (drule-tac  $f=approx\ i$  in monofun-cfun-arg)
  apply (drule-tac  $f=approx\ i$  in monofun-cfun-arg)
  apply (cut-tac  $xs=approx\ i.xs$  in compact-imp-convex-principal, simp)
  apply (cut-tac  $xs=approx\ i.ys$  in compact-imp-convex-principal, simp)
  apply clarify
  apply (simp add: approx-convex-to-upper approx-convex-to-lower convex-le-def)
done

```

```

lemmas convex-plus-less-plus-iff =
  convex-pd-less-iff [where  $xs=xs +\natural ys$  and  $ys=zs +\natural ws$ , standard]

```

```

lemmas convex-pd-less-simps =
  convex-unit-less-plus-iff
  convex-plus-less-unit-iff
  convex-plus-less-plus-iff
  convex-unit-less-iff
  convex-to-upper-unit
  convex-to-upper-plus
  convex-to-lower-unit
  convex-to-lower-plus
  upper-pd-less-simps
  lower-pd-less-simps

```

```

end

```

```

theory HOLCF

```

```

imports Sprod Ssum Up Lift Discrete One Tr Domain ConvexPD Main

```

```

uses

```

```

  holcf-logic.ML
  Tools/cont-consts.ML
  Tools/domain/domain-library.ML
  Tools/domain/domain-syntax.ML
  Tools/domain/domain-axioms.ML
  Tools/domain/domain-theorems.ML
  Tools/domain/domain-extender.ML
  Tools/adm-tac.ML

```

```

begin

```

```

defaultsort pcpo

```

```

declaration << fn - =>
  Simplifier.map-ss (fn simpset => simpset addSolver
    (mk-solver' adm-tac (fn ss =>
      adm-tac (cut-facts-tac (Simplifier.prem-ss ss) THEN' cont-tacRs ss)))));
>>

end

```