

Examples for program extraction in Higher-Order Logic

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1 Auxiliary lemmas used in program extraction examples

```
theory Util
imports Main
begin
```

Decidability of equality on natural numbers.

```
lemma nat-eq-dec:  $\bigwedge n::nat. m = n \vee m \neq n$ 
  apply (induct m)
  apply (case-tac n)
  apply (case-tac [3] n)
  apply (simp only: nat.simps, iprover?)
done
```

Well-founded induction on natural numbers, derived using the standard structural induction rule.

lemma *nat-wf-ind*:

assumes $R: \bigwedge x::nat. (\bigwedge y. y < x \implies P y) \implies P x$
shows $P z$

proof (*rule R*)

show $\bigwedge y. y < z \implies P y$

proof (*induct z*)

case 0

thus *?case* **by** *simp*

next

case (*Suc n y*)

from *nat-eq-dec* **show** *?case*

proof

assume *ny*: $n = y$

have $P n$

by (*rule R*) (*rule Suc*)

with *ny* **show** *?case* **by** *simp*

next

assume $n \neq y$

with *Suc* **have** $y < n$ **by** *simp*

thus *?case* **by** (*rule Suc*)

qed

qed

qed

Bounded search for a natural number satisfying a decidable predicate.

lemma *search*:

assumes *dec*: $\bigwedge x::nat. P x \vee \neg P x$

shows $(\exists x < y. P x) \vee \neg (\exists x < y. P x)$

proof (*induct y*)

case 0 **show** *?case* **by** *simp*

next

case (*Suc z*)

thus *?case*

proof

assume $\exists x < z. P x$

then obtain *x* **where** *le*: $x < z$ **and** *P*: $P x$ **by** *iprover*

from *le* **have** $x < \text{Suc } z$ **by** *simp*

with *P* **show** *?case* **by** *iprover*

next

assume *nex*: $\neg (\exists x < z. P x)$

from *dec* **show** *?case*

proof

assume *P*: $P z$

have $z < \text{Suc } z$ **by** *simp*

with *P* **show** *?thesis* **by** *iprover*

next

assume *nP*: $\neg P z$

```

have  $\neg (\exists x < \text{Suc } z. P\ x)$ 
proof
  assume  $\exists x < \text{Suc } z. P\ x$ 
  then obtain  $x$  where  $le: x < \text{Suc } z$  and  $P: P\ x$  by iprover
  have  $x < z$ 
  proof (cases  $x = z$ )
    case True
    with  $nP$  and  $P$  show ?thesis by simp
  next
    case False
    with  $le$  show ?thesis by simp
  qed
  with  $P$  have  $\exists x < z. P\ x$  by iprover
  with  $nex$  show False ..
qed
thus ?case by iprover
qed
qed
qed
end

```

2 Quotient and remainder

theory *QuotRem* imports *Util* begin

Derivation of quotient and remainder using program extraction.

```

theorem division:  $\exists r\ q. a = \text{Suc } b * q + r \wedge r \leq b$ 
proof (induct  $a$ )
  case 0
  have  $0 = \text{Suc } b * 0 + 0 \wedge 0 \leq b$  by simp
  thus ?case by iprover
next
  case (Suc  $a$ )
  then obtain  $r\ q$  where  $I: a = \text{Suc } b * q + r$  and  $r \leq b$  by iprover
  from nat-eq-dec show ?case
  proof
    assume  $r = b$ 
    with  $I$  have  $\text{Suc } a = \text{Suc } b * (\text{Suc } q) + 0 \wedge 0 \leq b$  by simp
    thus ?case by iprover
  next
    assume  $r \neq b$ 
    with  $\langle r \leq b \rangle$  have  $r < b$  by (simp add: order-less-le)
    with  $I$  have  $\text{Suc } a = \text{Suc } b * q + (\text{Suc } r) \wedge (\text{Suc } r) \leq b$  by simp
    thus ?case by iprover
  qed
qed

```

extract *division*

The program extracted from the above proof looks as follows

```

division ≡
λx xa.
  nat-rec (0, 0)
    (λa H. let (x, y) = H
      in case nat-eq-dec x xa of Left ⇒ (0, Suc y)
      | Right ⇒ (Suc x, y))
  x

```

The corresponding correctness theorem is

$$a = \text{Suc } b * \text{snd } (\text{division } a \ b) + \text{fst } (\text{division } a \ b) \wedge \text{fst } (\text{division } a \ b) \leq b$$

code-module *Div*

contains

test = *division* 9 2

export-code *division* in *SML*

end

3 Greatest common divisor

theory *Greatest-Common-Divisor*

imports *QuotRem*

begin

theorem *greatest-common-divisor*:

$$\bigwedge n::\text{nat}. \text{Suc } m < n \implies \exists k \ n1 \ m1. k * n1 = n \wedge k * m1 = \text{Suc } m \wedge$$

$$(\forall l \ l1 \ l2. l * l1 = n \longrightarrow l * l2 = \text{Suc } m \longrightarrow l \leq k)$$

proof (*induct m rule: nat-wf-ind*)

case (1 m n)

from *division* **obtain** *r q* **where** *h1*: $n = \text{Suc } m * q + r$ **and** *h2*: $r \leq m$

by *iprover*

show ?*case*

proof (*cases r*)

case 0

with *h1* **have** $\text{Suc } m * q = n$ **by** *simp*

moreover **have** $\text{Suc } m * 1 = \text{Suc } m$ **by** *simp*

moreover {

fix *l2* **have** $\bigwedge l \ l1. l * l1 = n \implies l * l2 = \text{Suc } m \implies l \leq \text{Suc } m$

by (*cases l2*) *simp-all* }

ultimately **show** ?*thesis* **by** *iprover*

next

case (*Suc nat*)

```

with h2 have h: nat < m by simp
moreover from h have Suc nat < Suc m by simp
ultimately have  $\exists k\ m1\ r1. k * m1 = Suc\ m \wedge k * r1 = Suc\ nat \wedge$ 
  ( $\forall l\ l1\ l2. l * l1 = Suc\ m \longrightarrow l * l2 = Suc\ nat \longrightarrow l \leq k$ )
  by (rule 1)
then obtain k m1 r1 where
  h1':  $k * m1 = Suc\ m$ 
  and h2':  $k * r1 = Suc\ nat$ 
  and h3':  $\bigwedge l\ l1\ l2. l * l1 = Suc\ m \implies l * l2 = Suc\ nat \implies l \leq k$ 
  by iprover
have mn:  $Suc\ m < n$  by (rule 1)
from h1 h1' h2' Suc have  $k * (m1 * q + r1) = n$ 
  by (simp add: add-mult-distrib2 nat-mult-assoc [symmetric])
moreover have  $\bigwedge l\ l1\ l2. l * l1 = n \implies l * l2 = Suc\ m \implies l \leq k$ 
proof -
  fix l l1 l2
  assume ll1n:  $l * l1 = n$ 
  assume ll2m:  $l * l2 = Suc\ m$ 
  moreover have  $l * (l1 - l2 * q) = Suc\ nat$ 
  by (simp add: diff-mult-distrib2 h1 Suc [symmetric] mn ll1n ll2m [symmetric])
  ultimately show  $l \leq k$  by (rule h3')
qed
ultimately show ?thesis using h1' by iprover
qed
qed

```

extract *greatest-common-divisor*

The extracted program for computing the greatest common divisor is

```

greatest-common-divisor  $\equiv$ 
 $\lambda x. nat\text{-}wf\text{-}ind\text{-}P\ x$ 
  ( $\lambda x\ H2\ xa.$ 
    let  $(xa, y) = division\ xa\ x$ 
    in case  $xa$  of 0  $\Rightarrow (Suc\ x, y, 1)$ 
      |  $Suc\ nat \Rightarrow$ 
        let  $(x, ya) = H2\ nat\ (Suc\ x); (xa, ya) = ya$ 
        in  $(x, xa * y + ya, xa)$ )

```

consts-code

arbitrary ((error arbitrary))

code-module *GCD*

contains

test = greatest-common-divisor 7 12

ML *GCD.test*

end

4 Warshall's algorithm

```
theory Warshall
imports Main
begin
```

Derivation of Warshall's algorithm using program extraction, based on Berger, Schwichtenberg and Seisenberger [1].

```
datatype b = T | F
```

```
primrec
```

```
  is-path' :: ('a  $\Rightarrow$  'a  $\Rightarrow$  b)  $\Rightarrow$  'a  $\Rightarrow$  'a list  $\Rightarrow$  'a  $\Rightarrow$  bool
```

```
where
```

```
  is-path' r x [] z = (r x z = T)
  | is-path' r x (y # ys) z = (r x y = T  $\wedge$  is-path' r y ys z)
```

```
definition
```

```
  is-path :: (nat  $\Rightarrow$  nat  $\Rightarrow$  b)  $\Rightarrow$  (nat * nat list * nat)  $\Rightarrow$ 
    nat  $\Rightarrow$  nat  $\Rightarrow$  nat  $\Rightarrow$  bool
```

```
where
```

```
  is-path r p i j k  $\longleftrightarrow$  fst p = j  $\wedge$  snd (snd p) = k  $\wedge$ 
    list-all ( $\lambda x. x < i$ ) (fst (snd p))  $\wedge$ 
    is-path' r (fst p) (fst (snd p)) (snd (snd p))
```

```
definition
```

```
  conc :: ('a * 'a list * 'a)  $\Rightarrow$  ('a * 'a list * 'a)  $\Rightarrow$  ('a * 'a list * 'a)
```

```
where
```

```
  conc p q = (fst p, fst (snd p) @ fst q # fst (snd q), snd (snd q))
```

```
theorem is-path'-snoc [simp]:
```

```
   $\bigwedge x. is-path' r x (ys @ [y]) z = (is-path' r x ys y \wedge r y z = T)$ 
  by (induct ys) simp+
```

```
theorem list-all-scoc [simp]: list-all P (xs @ [x]) = (P x  $\wedge$  list-all P xs)
```

```
  by (induct xs, simp+, iprover)
```

```
theorem list-all-lemma:
```

```
  list-all P xs  $\implies$  ( $\bigwedge x. P x \implies Q x$ )  $\implies$  list-all Q xs
```

```
proof -
```

```
  assume PQ:  $\bigwedge x. P x \implies Q x$ 
```

```
  show list-all P xs  $\implies$  list-all Q xs
```

```
  proof (induct xs)
```

```
    case Nil
```

```
    show ?case by simp
```

```
  next
```

```
    case (Cons y ys)
```

```
    hence Py: P y by simp
```

```
    from Cons have Pys: list-all P ys by simp
```

```
    show ?case
```

```

    by simp (rule conjI PQ Py Cons Pys)+
  qed
qed

theorem lemma1:  $\bigwedge p. \text{is-path } r \ p \ i \ j \ k \implies \text{is-path } r \ p \ (\text{Suc } i) \ j \ k$ 
  apply (unfold is-path-def)
  apply (simp cong add: conj-cong add: split-paired-all)
  apply (erule conjE)+
  apply (erule list-all-lemma)
  apply simp
  done

theorem lemma2:  $\bigwedge p. \text{is-path } r \ p \ 0 \ j \ k \implies r \ j \ k = T$ 
  apply (unfold is-path-def)
  apply (simp cong add: conj-cong add: split-paired-all)
  apply (case-tac aa)
  apply simp+
  done

theorem is-path'-conc:  $\text{is-path}' \ r \ j \ xs \ i \implies \text{is-path}' \ r \ i \ ys \ k \implies$ 
 $\text{is-path}' \ r \ j \ (xs \ @ \ i \ \# \ ys) \ k$ 
proof -
  assume pys:  $\text{is-path}' \ r \ i \ ys \ k$ 
  show  $\bigwedge j. \text{is-path}' \ r \ j \ xs \ i \implies \text{is-path}' \ r \ j \ (xs \ @ \ i \ \# \ ys) \ k$ 
  proof (induct xs)
    case (Nil j)
    hence  $r \ j \ i = T$  by simp
    with pys show ?case by simp
  next
    case (Cons z zs j)
    hence jzr:  $r \ j \ z = T$  by simp
    from Cons have pzs:  $\text{is-path}' \ r \ z \ zs \ i$  by simp
    show ?case
      by simp (rule conjI jzr Cons pzs)+
  qed
qed

theorem lemma3:
 $\bigwedge p \ q. \text{is-path } r \ p \ i \ j \ i \implies \text{is-path } r \ q \ i \ i \ k \implies$ 
 $\text{is-path } r \ (\text{conc } p \ q) \ (\text{Suc } i) \ j \ k$ 
  apply (unfold is-path-def conc-def)
  apply (simp cong add: conj-cong add: split-paired-all)
  apply (erule conjE)+
  apply (rule conjI)
  apply (erule list-all-lemma)
  apply simp
  apply (rule conjI)
  apply (erule list-all-lemma)
  apply simp

```

```

apply (rule is-path'-conc)
apply assumption +
done

```

theorem *lemma5*:

```

 $\bigwedge p. \text{is-path } r \ p \ (\text{Suc } i) \ j \ k \implies \sim \text{is-path } r \ p \ i \ j \ k \implies$ 
 $(\exists q. \text{is-path } r \ q \ i \ j \ i) \wedge (\exists q'. \text{is-path } r \ q' \ i \ i \ k)$ 

```

proof (*simp cong add: conj-cong add: split-paired-all is-path-def, (erule conjE)+*)

fix *xs*

assume *asms*:

list-all ($\lambda x. x < \text{Suc } i$) *xs*

is-path' *r j xs k*

$\neg \text{list-all } (\lambda x. x < i) \text{ } xs$

show $(\exists ys. \text{list-all } (\lambda x. x < i) \text{ } ys \wedge \text{is-path}' \ r \ j \ ys \ i) \wedge$

$(\exists ys. \text{list-all } (\lambda x. x < i) \text{ } ys \wedge \text{is-path}' \ r \ i \ ys \ k)$

proof

show $\bigwedge j. \text{list-all } (\lambda x. x < \text{Suc } i) \text{ } xs \implies \text{is-path}' \ r \ j \ xs \ k \implies$

$\neg \text{list-all } (\lambda x. x < i) \text{ } xs \implies$

$\exists ys. \text{list-all } (\lambda x. x < i) \text{ } ys \wedge \text{is-path}' \ r \ j \ ys \ i$ (**is** *PROP ?ih xs*)

proof (*induct xs*)

case *Nil*

thus *?case* **by** *simp*

next

case (*Cons a as j*)

show *?case*

proof (*cases a=i*)

case *True*

show *?thesis*

proof

from *True* **and** *Cons* **have** *r j i = T* **by** *simp*

thus *list-all* ($\lambda x. x < i$) $\square \wedge \text{is-path}' \ r \ j \ \square \ i$ **by** *simp*

qed

next

case *False*

have *PROP ?ih as* **by** (*rule Cons*)

then obtain *ys* **where** *ys*: *list-all* ($\lambda x. x < i$) *ys* $\wedge \text{is-path}' \ r \ a \ ys \ i$

proof

from *Cons* **show** *list-all* ($\lambda x. x < \text{Suc } i$) *as* **by** *simp*

from *Cons* **show** *is-path'* *r a as k* **by** *simp*

from *Cons* **and** *False* **show** $\neg \text{list-all } (\lambda x. x < i) \text{ } as$ **by** (*simp*)

qed

show *?thesis*

proof

from *Cons False ys*

show *list-all* ($\lambda x. x < i$) (*a#ys*) $\wedge \text{is-path}' \ r \ j \ (a\#ys) \ i$ **by** *simp*

qed

qed

qed

show $\bigwedge k. \text{list-all } (\lambda x. x < \text{Suc } i) \text{ } xs \implies \text{is-path}' \ r \ j \ xs \ k \implies$


```

  ¬ list-all (λx. x < i) xs ⇒
  ∃ ys. list-all (λx. x < i) ys ∧ is-path' r i ys k (is PROP ?ih xs)
proof (induct xs rule: rev-induct)
  case Nil
  thus ?case by simp
next
  case (snoc a as k)
  show ?case
  proof (cases a=i)
    case True
    show ?thesis
    proof
      from True and snoc have r i k = T by simp
      thus list-all (λx. x < i) [] ∧ is-path' r i [] k by simp
    qed
  qed
next
  case False
  have PROP ?ih as by (rule snoc)
  then obtain ys where ys: list-all (λx. x < i) ys ∧ is-path' r i ys a
  proof
    from snoc show list-all (λx. x < Suc i) as by simp
    from snoc show is-path' r j as a by simp
    from snoc and False show ¬ list-all (λx. x < i) as by simp
  qed
  show ?thesis
  proof
    from snoc False ys
    show list-all (λx. x < i) (ys @ [a]) ∧ is-path' r i (ys @ [a]) k
    by simp
  qed
qed
qed
qed (rule asms)+
qed

```

theorem lemma5':

```

  ∧ p. is-path r p (Suc i) j k ⇒ ¬ is-path r p i j k ⇒
  ¬ (∀ q. ¬ is-path r q i j i) ∧ ¬ (∀ q'. ¬ is-path r q' i i k)
  by (iprover dest: lemma5)

```

theorem warshall:

```

  ∧ j k. ¬ (∃ p. is-path r p i j k) ∨ (∃ p. is-path r p i j k)
proof (induct i)
  case (0 j k)
  show ?case
  proof (cases r j k)
    assume r j k = T
    hence is-path r (j, [], k) 0 j k
    by (simp add: is-path-def)
  qed

```

```

    hence  $\exists p. \text{is-path } r \ p \ 0 \ j \ k \ ..$ 
    thus ?thesis ..
next
  assume  $r \ j \ k = F$ 
  hence  $r \ j \ k \sim = T$  by simp
  hence  $\neg (\exists p. \text{is-path } r \ p \ 0 \ j \ k)$ 
    by (iprover dest: lemma2)
  thus ?thesis ..
qed
next
  case (Suc i j k)
  thus ?case
proof
    assume h1:  $\neg (\exists p. \text{is-path } r \ p \ i \ j \ k)$ 
    from Suc show ?case
    proof
        assume  $\neg (\exists p. \text{is-path } r \ p \ i \ j \ i)$ 
        with h1 have  $\neg (\exists p. \text{is-path } r \ p \ (\text{Suc } i) \ j \ k)$ 
          by (iprover dest: lemma5')
        thus ?case ..
    next
        assume  $\exists p. \text{is-path } r \ p \ i \ j \ i$ 
        then obtain p where h2:  $\text{is-path } r \ p \ i \ j \ i \ ..$ 
        from Suc show ?case
        proof
            assume  $\neg (\exists p. \text{is-path } r \ p \ i \ i \ k)$ 
            with h1 have  $\neg (\exists p. \text{is-path } r \ p \ (\text{Suc } i) \ j \ k)$ 
              by (iprover dest: lemma5')
            thus ?case ..
        next
            assume  $\exists q. \text{is-path } r \ q \ i \ i \ k$ 
            then obtain q where  $\text{is-path } r \ q \ i \ i \ k \ ..$ 
            with h2 have  $\text{is-path } r \ (\text{conc } p \ q) \ (\text{Suc } i) \ j \ k$ 
              by (rule lemma3)
            hence  $\exists pq. \text{is-path } r \ pq \ (\text{Suc } i) \ j \ k \ ..$ 
            thus ?case ..
        qed
    qed
  next
    assume  $\exists p. \text{is-path } r \ p \ i \ j \ k$ 
    hence  $\exists p. \text{is-path } r \ p \ (\text{Suc } i) \ j \ k$ 
      by (iprover intro: lemma1)
    thus ?case ..
  qed
qed
extract warshall

```

The program extracted from the above proof looks as follows

```

warshall ≡
λx xa xb xc.
  nat-rec (λxa xb. case x xa xb of T ⇒ Some (xa, [], xb) | F ⇒ None)
    (λx H2 xa xb.
      case H2 xa xb of
        None ⇒
          case H2 xa x of None ⇒ None
          | Some q ⇒
            case H2 x xb of None ⇒ None | Some qa ⇒ Some (conc q qa)
            | Some q ⇒ Some q)
      xa xb xc

```

The corresponding correctness theorem is

```

case warshall r i j k of None ⇒ ∀x. ¬ is-path r x i j k
| Some q ⇒ is-path r q i j k

```

end

5 Higman's lemma

```

theory Higman
imports Main
begin

```

Formalization by Stefan Berghofer and Monika Seisenberger, based on Coquand and Fridlender [2].

```

datatype letter = A | B

```

```

inductive emb :: letter list ⇒ letter list ⇒ bool

```

where

```

  emb0 [Pure.intro]: emb [] bs
| emb1 [Pure.intro]: emb as bs ⇒ emb as (b # bs)
| emb2 [Pure.intro]: emb as bs ⇒ emb (a # as) (a # bs)

```

```

inductive L :: letter list ⇒ letter list list ⇒ bool

```

```

  for v :: letter list

```

where

```

  L0 [Pure.intro]: emb w v ⇒ L v (w # ws)
| L1 [Pure.intro]: L v ws ⇒ L v (w # ws)

```

```

inductive good :: letter list list ⇒ bool

```

where

```

  good0 [Pure.intro]: L w ws ⇒ good (w # ws)
| good1 [Pure.intro]: good ws ⇒ good (w # ws)

```

```

inductive R :: letter ⇒ letter list list ⇒ letter list list ⇒ bool

```

```

    for a :: letter
  where
    R0 [Pure.intro]: R a [] []
    | R1 [Pure.intro]: R a vs ws  $\implies$  R a (w # vs) ((a # w) # ws)

  inductive T :: letter  $\Rightarrow$  letter list list  $\Rightarrow$  letter list list  $\Rightarrow$  bool
    for a :: letter
  where
    T0 [Pure.intro]: a  $\neq$  b  $\implies$  R b ws zs  $\implies$  T a (w # zs) ((a # w) # zs)
    | T1 [Pure.intro]: T a ws zs  $\implies$  T a (w # ws) ((a # w) # zs)
    | T2 [Pure.intro]: a  $\neq$  b  $\implies$  T a ws zs  $\implies$  T a ws ((b # w) # zs)

  inductive bar :: letter list list  $\Rightarrow$  bool
  where
    bar1 [Pure.intro]: good ws  $\implies$  bar ws
    | bar2 [Pure.intro]: ( $\bigwedge w$ . bar (w # ws))  $\implies$  bar ws

  theorem prop1: bar ([] # ws) by iprover

  theorem lemma1: L as ws  $\implies$  L (a # as) ws
    by (erule L.induct, iprover+)

  lemma lemma2': R a vs ws  $\implies$  L as vs  $\implies$  L (a # as) ws
    apply (induct set: R)
    apply (erule L.cases)
    apply simp+
    apply (erule L.cases)
    apply simp-all
    apply (rule L0)
    apply (erule emb2)
    apply (erule L1)
    done

  lemma lemma2: R a vs ws  $\implies$  good vs  $\implies$  good ws
    apply (induct set: R)
    apply iprover
    apply (erule good.cases)
    apply simp-all
    apply (rule good0)
    apply (erule lemma2')
    apply assumption
    apply (erule good1)
    done

  lemma lemma3': T a vs ws  $\implies$  L as vs  $\implies$  L (a # as) ws
    apply (induct set: T)
    apply (erule L.cases)
    apply simp-all
    apply (rule L0)

```

```

apply (erule emb2)
apply (rule L1)
apply (erule lemma1)
apply (erule L.cases)
apply simp-all
apply iprover+
done

lemma lemma3:  $T\ a\ ws\ zs \implies good\ ws \implies good\ zs$ 
apply (induct set: T)
apply (erule good.cases)
apply simp-all
apply (rule good0)
apply (erule lemma1)
apply (erule good1)
apply (erule good.cases)
apply simp-all
apply (rule good0)
apply (erule lemma3')
apply iprover+
done

lemma lemma4:  $R\ a\ ws\ zs \implies ws \neq [] \implies T\ a\ ws\ zs$ 
apply (induct set: R)
apply iprover
apply (case-tac vs)
apply (erule R.cases)
apply simp
apply (case-tac a)
apply (rule-tac b=B in T0)
apply simp
apply (rule R0)
apply (rule-tac b=A in T0)
apply simp
apply (rule R0)
apply simp
apply (rule T1)
apply simp
done

lemma letter-neg:  $(a::letter) \neq b \implies c \neq a \implies c = b$ 
apply (case-tac a)
apply (case-tac b)
apply (case-tac c, simp, simp)
apply (case-tac c, simp, simp)
apply (case-tac b)
apply (case-tac c, simp, simp)
apply (case-tac c, simp, simp)
done

```

```

lemma letter-eq-dec: (a::letter) = b  $\vee$  a  $\neq$  b
  apply (case-tac a)
  apply (case-tac b)
  apply simp
  apply simp
  apply (case-tac b)
  apply simp
  apply simp
  done

theorem prop2:
  assumes ab: a  $\neq$  b and bar: bar xs
  shows  $\bigwedge$ ys zs. bar ys  $\implies$  T a xs zs  $\implies$  T b ys zs  $\implies$  bar zs using bar
proof induct
  fix xs zs assume T a xs zs and good xs
  hence good zs by (rule lemma3)
  then show bar zs by (rule bar1)
next
  fix xs ys
  assume I:  $\bigwedge$ w ys zs. bar ys  $\implies$  T a (w # xs) zs  $\implies$  T b ys zs  $\implies$  bar zs
  assume bar ys
  thus  $\bigwedge$ zs. T a xs zs  $\implies$  T b ys zs  $\implies$  bar zs
  proof induct
    fix ys zs assume T b ys zs and good ys
    then have good zs by (rule lemma3)
    then show bar zs by (rule bar1)
  next
  fix ys zs assume I':  $\bigwedge$ w zs. T a xs zs  $\implies$  T b (w # ys) zs  $\implies$  bar zs
  and ys:  $\bigwedge$ w. bar (w # ys) and Ta: T a xs zs and Tb: T b ys zs
  show bar zs
  proof (rule bar2)
    fix w
    show bar (w # zs)
    proof (cases w)
      case Nil
      thus ?thesis by simp (rule prop1)
    next
      case (Cons c cs)
      from letter-eq-dec show ?thesis
      proof
        assume ca: c = a
        from ab have bar ((a # cs) # zs) by (iprover intro: I ys Ta Tb)
        thus ?thesis by (simp add: Cons ca)
      next
        assume c  $\neq$  a
        with ab have cb: c = b by (rule letter-neq)
        from ab have bar ((b # cs) # zs) by (iprover intro: I' Ta Tb)
        thus ?thesis by (simp add: Cons cb)
      qed
    qed
  qed

```

```

      qed
    qed
  qed
qed

theorem prop3:
  assumes bar: bar xs
  shows  $\bigwedge zs. xs \neq [] \implies R\ a\ xs\ zs \implies bar\ zs$  using bar
proof induct
  fix xs zs
  assume R a xs zs and good xs
  then have good zs by (rule lemma2)
  then show bar zs by (rule bar1)
next
  fix xs zs
  assume I:  $\bigwedge w\ zs. w \# xs \neq [] \implies R\ a\ (w \# xs)\ zs \implies bar\ zs$ 
  and xsb:  $\bigwedge w. bar\ (w \# xs)$  and xsn:  $xs \neq []$  and R:  $R\ a\ xs\ zs$ 
  show bar zs
  proof (rule bar2)
    fix w
    show bar (w # zs)
    proof (induct w)
      case Nil
      show ?case by (rule prop1)
    next
      case (Cons c cs)
      from letter-eq-dec show ?case
      proof
        assume c = a
        thus ?thesis by (iprover intro: I [simplified] R)
      next
        from R xsn have T:  $T\ a\ xs\ zs$  by (rule lemma4)
        assume c  $\neq$  a
        thus ?thesis by (iprover intro: prop2 Cons xsb xsn R T)
      qed
    qed
  qed
qed
qed
qed

theorem higman: bar []
proof (rule bar2)
  fix w
  show bar [w]
  proof (induct w)
    show bar [] by (rule prop1)
  next
    fix c cs assume bar [cs]
    thus bar [c # cs] by (rule prop3) (simp, iprover)
  qed
qed

```

```

qed
qed

primrec
  is-prefix :: 'a list  $\Rightarrow$  (nat  $\Rightarrow$  'a)  $\Rightarrow$  bool
where
  is-prefix [] f = True
  | is-prefix (x # xs) f = (x = f (length xs)  $\wedge$  is-prefix xs f)

theorem L-idx:
  assumes L: L w ws
  shows is-prefix ws f  $\Longrightarrow$   $\exists i$ . emb (f i) w  $\wedge$  i < length ws using L
proof induct
  case (L0 v ws)
  hence emb (f (length ws)) w by simp
  moreover have length ws < length (v # ws) by simp
  ultimately show ?case by iprover
next
  case (L1 ws v)
  then obtain i where emb: emb (f i) w and i < length ws
    by simp iprover
  hence i < length (v # ws) by simp
  with emb show ?case by iprover
qed

theorem good-idx:
  assumes good: good ws
  shows is-prefix ws f  $\Longrightarrow$   $\exists i j$ . emb (f i) (f j)  $\wedge$  i < j using good
proof induct
  case (good0 w ws)
  hence w = f (length ws) and is-prefix ws f by simp-all
  with good0 show ?case by (iprover dest: L-idx)
next
  case (good1 ws w)
  thus ?case by simp
qed

theorem bar-idx:
  assumes bar: bar ws
  shows is-prefix ws f  $\Longrightarrow$   $\exists i j$ . emb (f i) (f j)  $\wedge$  i < j using bar
proof induct
  case (bar1 ws)
  thus ?case by (rule good-idx)
next
  case (bar2 ws)
  hence is-prefix (f (length ws) # ws) f by simp
  thus ?case by (rule bar2)
qed

```

Strong version: yields indices of words that can be embedded into each

other.

```

theorem higman-idx:  $\exists (i::nat) j. \text{emb } (f\ i) (f\ j) \wedge i < j$ 
proof (rule bar-idx)
  show bar [] by (rule higman)
  show is-prefix [] f by simp
qed

```

Weak version: only yield sequence containing words that can be embedded into each other.

```

theorem good-prefix-lemma:
  assumes bar: bar ws
  shows is-prefix ws f  $\implies \exists vs. \text{is-prefix } vs\ f \wedge \text{good } vs$  using bar
proof induct
  case bar1
  thus ?case by iprover
next
  case (bar2 ws)
  from bar2.prems have is-prefix (f (length ws) # ws) f by simp
  thus ?case by (iprover intro: bar2)
qed

```

```

theorem good-prefix:  $\exists vs. \text{is-prefix } vs\ f \wedge \text{good } vs$ 
using higman
by (rule good-prefix-lemma) simp+

```

5.1 Extracting the program

```

declare R.induct [ind-realizer]
declare T.induct [ind-realizer]
declare L.induct [ind-realizer]
declare good.induct [ind-realizer]
declare bar.induct [ind-realizer]

```

```

extract higman-idx

```

Program extracted from the proof of *higman-idx*:

```

higman-idx  $\equiv \lambda x. \text{bar-idx } x\ \text{higman}$ 

```

Corresponding correctness theorem:

```

 $\text{emb } (f\ (\text{fst } (\text{higman-idx } f)))\ (f\ (\text{snd } (\text{higman-idx } f))) \wedge$ 
 $\text{fst } (\text{higman-idx } f) < \text{snd } (\text{higman-idx } f)$ 

```

Program extracted from the proof of *higman*:

```

higman  $\equiv$ 
 $\text{bar2 } []\ (\text{list-rec } (\text{prop1 } [])\ (\lambda a\ w\ H. \text{prop3 } a\ [a\ \# w]\ H\ (R1\ []\ []\ w\ R0)))$ 

```

Program extracted from the proof of *prop1*:

prop1 \equiv
 $\lambda x. \text{bar2 } (\square \# x) (\lambda w. \text{bar1 } (w \# \square \# x) (\text{good0 } w (\square \# x) (L0 \square x)))$

Program extracted from the proof of *prop2*:

prop2 \equiv
 $\lambda x \text{ xa xb xc } H.$
 $\text{barT-rec } (\lambda ws \text{ xa xb xc } H \text{ Ha Hb}. \text{bar1 } xc (\text{lemma3 } x \text{ Ha xa}))$
 $(\lambda ws \text{ xb r xc xd } H.$
 $\text{barT-rec } (\lambda ws \text{ x xb H Ha}. \text{bar1 } xb (\text{lemma3 } xa \text{ Ha } x))$
 $(\lambda wsa \text{ xb ra xc H Ha}.$
 $\text{bar2 } xc$
 $(\text{list-case } (\text{prop1 } xc)$
 $(\lambda a \text{ list}.$
 $\text{case letter-eq-dec } a \text{ x of}$
 $\text{Left} \Rightarrow$
 $r \text{ list wsa } ((x \# \text{list}) \# xc) (\text{bar2 } wsa \text{ xb})$
 $(T1 \text{ ws xc list } H) (T2 \text{ x wsa xc list } Ha)$
 $| \text{Right} \Rightarrow$
 $ra \text{ list } ((xa \# \text{list}) \# xc) (T2 \text{ xa ws xc list } H)$
 $(T1 \text{ wsa xc list } Ha))))$
 $H \text{ xd})$
 $H \text{ xb xc}$

Program extracted from the proof of *prop3*:

prop3 \equiv
 $\lambda x \text{ xa } H.$
 $\text{barT-rec } (\lambda ws \text{ xa xb } H. \text{bar1 } xb (\text{lemma2 } x \text{ H xa}))$
 $(\lambda ws \text{ xa r xb } H.$
 $\text{bar2 } xb$
 $(\text{list-rec } (\text{prop1 } xb)$
 $(\lambda a \text{ w } Ha.$
 $\text{case letter-eq-dec } a \text{ x of}$
 $\text{Left} \Rightarrow r \text{ w } ((x \# w) \# xb) (R1 \text{ ws xb w } H)$
 $| \text{Right} \Rightarrow$
 $\text{prop2 } a \text{ x ws } ((a \# w) \# xb) \text{ Ha } (\text{bar2 } ws \text{ xa})$
 $(T0 \text{ x ws xb w } H) (T2 \text{ a ws xb w } (\text{lemma4 } x \text{ H}))))$
 $H \text{ xa}$

5.2 Some examples

consts-code

arbitrary $:: LT \ ((\{ * L0 \square \square * \}))$
arbitrary $:: TT \ ((\{ * T0 A \square \square \square R0 * \}))$

code-module *Higman*

contains

higman = *higman-idx*

```

ML <<
local open Higman in

val a = 16807.0;
val m = 2147483647.0;

fun nextRand seed =
  let val t = a*seed
  in t - m * real (Real.floor(t/m)) end;

fun mk-word seed l =
  let
    val r = nextRand seed;
    val i = Real.round (r / m * 10.0);
    in if i > 7 andalso l > 2 then (r, []) else
       apsnd (cons (if i mod 2 = 0 then A else B)) (mk-word r (l+1))
    end;

fun f s zero = mk-word s 0
  | f s (Suc n) = f (fst (mk-word s 0)) n;

val g1 = snd o (f 20000.0);
val g2 = snd o (f 50000.0);

fun f1 zero = [A,A]
  | f1 (Suc zero) = [B]
  | f1 (Suc (Suc zero)) = [A,B]
  | f1 - = [];

fun f2 zero = [A,A]
  | f2 (Suc zero) = [B]
  | f2 (Suc (Suc zero)) = [B,A]
  | f2 - = [];

val (i1, j1) = higman g1;
val (v1, w1) = (g1 i1, g1 j1);
val (i2, j2) = higman g2;
val (v2, w2) = (g2 i2, g2 j2);
val (i3, j3) = higman f1;
val (v3, w3) = (f1 i3, f1 j3);
val (i4, j4) = higman f2;
val (v4, w4) = (f2 i4, f2 j4);

end;
>>

```

definition

```

    arbitrary-LT :: LT where
      [symmetric, code inline]: arbitrary-LT = arbitrary

definition
    arbitrary-TT :: TT where
      [symmetric, code inline]: arbitrary-TT = arbitrary

code-datatype L0 L1 arbitrary-LT
code-datatype T0 T1 T2 arbitrary-TT

export-code higman-idx in SML module-name Higman

ML ⟨⟨
  local
    open Higman
  in

    val a = 16807.0;
    val m = 2147483647.0;

    fun nextRand seed =
      let val t = a*seed
      in t - m * real (Real.floor(t/m)) end;

    fun mk-word seed l =
      let
        val r = nextRand seed;
        val i = Real.round (r / m * 10.0);
      in if i > 7 andalso l > 2 then (r, []) else
        apsnd (cons (if i mod 2 = 0 then A else B)) (mk-word r (l+1))
      end;

    fun f s Zero-nat = mk-word s 0
      | f s (Suc n) = f (fst (mk-word s 0)) n;

    val g1 = snd o (f 20000.0);

    val g2 = snd o (f 50000.0);

    fun f1 Zero-nat = [A,A]
      | f1 (Suc Zero-nat) = [B]
      | f1 (Suc (Suc Zero-nat)) = [A,B]
      | f1 - = [];

    fun f2 Zero-nat = [A,A]
      | f2 (Suc Zero-nat) = [B]
      | f2 (Suc (Suc Zero-nat)) = [B,A]
      | f2 - = [];

```

```

val (i1, j1) = higman-idx g1;
val (v1, w1) = (g1 i1, g1 j1);
val (i2, j2) = higman-idx g2;
val (v2, w2) = (g2 i2, g2 j2);
val (i3, j3) = higman-idx f1;
val (v3, w3) = (f1 i3, f1 j3);
val (i4, j4) = higman-idx f2;
val (v4, w4) = (f2 i4, f2 j4);

end;
>>

end

```

6 The pigeonhole principle

```

theory Pigeonhole
imports Util Efficient-Nat
begin

```

We formalize two proofs of the pigeonhole principle, which lead to extracted programs of quite different complexity. The original formalization of these proofs in NUPRL is due to Aleksey Nogin [3].

This proof yields a polynomial program.

theorem *pigeonhole*:

$\bigwedge f. (\bigwedge i. i \leq \text{Suc } n \implies f\ i \leq n) \implies \exists i\ j. i \leq \text{Suc } n \wedge j < i \wedge f\ i = f\ j$

proof (*induct n*)

case 0

hence $\text{Suc } 0 \leq \text{Suc } 0 \wedge 0 < \text{Suc } 0 \wedge f\ (\text{Suc } 0) = f\ 0$ **by** *simp*

thus *?case* **by** *iprover*

next

case (*Suc n*)

{

fix *k*

have

$k \leq \text{Suc } (\text{Suc } n) \implies$

$(\bigwedge i\ j. \text{Suc } k \leq i \implies i \leq \text{Suc } (\text{Suc } n) \implies j < i \implies f\ i \neq f\ j) \implies$

$(\exists i\ j. i \leq k \wedge j < i \wedge f\ i = f\ j)$

proof (*induct k*)

case 0

let *?f* = $\lambda i. \text{if } f\ i = \text{Suc } n \text{ then } f\ (\text{Suc } (\text{Suc } n)) \text{ else } f\ i$

have $\neg (\exists i\ j. i \leq \text{Suc } n \wedge j < i \wedge ?f\ i = ?f\ j)$

proof

assume $\exists i\ j. i \leq \text{Suc } n \wedge j < i \wedge ?f\ i = ?f\ j$

then obtain *i j* **where** *i*: $i \leq \text{Suc } n$ **and** *j*: $j < i$

and *f*: $?f\ i = ?f\ j$ **by** *iprover*

from *j* **have** *i-nz*: $\text{Suc } 0 \leq i$ **by** *simp*

```

from i have iSSn:  $i \leq \text{Suc } (\text{Suc } n)$  by simp
have S0SSn:  $\text{Suc } 0 \leq \text{Suc } (\text{Suc } n)$  by simp
show False
proof cases
  assume fi:  $f\ i = \text{Suc } n$ 
  show False
  proof cases
    assume fj:  $f\ j = \text{Suc } n$ 
    from i-nz and iSSn and j have  $f\ i \neq f\ j$  by (rule 0)
    moreover from fi have  $f\ i = f\ j$ 
      by (simp add: fj [symmetric])
    ultimately show ?thesis ..
  next
    from i and j have  $j < \text{Suc } (\text{Suc } n)$  by simp
    with S0SSn and le-refl have  $f\ (\text{Suc } (\text{Suc } n)) \neq f\ j$ 
      by (rule 0)
    moreover assume  $f\ j \neq \text{Suc } n$ 
    with fi and f have  $f\ (\text{Suc } (\text{Suc } n)) = f\ j$  by simp
    ultimately show False ..
  qed
next
  assume fi:  $f\ i \neq \text{Suc } n$ 
  show False
  proof cases
    from i have  $i < \text{Suc } (\text{Suc } n)$  by simp
    with S0SSn and le-refl have  $f\ (\text{Suc } (\text{Suc } n)) \neq f\ i$ 
      by (rule 0)
    moreover assume  $f\ j = \text{Suc } n$ 
    with fi and f have  $f\ (\text{Suc } (\text{Suc } n)) = f\ i$  by simp
    ultimately show False ..
  next
    from i-nz and iSSn and j
    have  $f\ i \neq f\ j$  by (rule 0)
    moreover assume  $f\ j \neq \text{Suc } n$ 
    with fi and f have  $f\ i = f\ j$  by simp
    ultimately show False ..
  qed
qed
qed
moreover have  $\bigwedge i. i \leq \text{Suc } n \implies ?f\ i \leq n$ 
proof -
  fix i assume  $i \leq \text{Suc } n$ 
  hence i:  $i < \text{Suc } (\text{Suc } n)$  by simp
  have  $f\ (\text{Suc } (\text{Suc } n)) \neq f\ i$ 
    by (rule 0) (simp-all add: i)
  moreover have  $f\ (\text{Suc } (\text{Suc } n)) \leq \text{Suc } n$ 
    by (rule Suc) simp
  moreover from i have  $i \leq \text{Suc } (\text{Suc } n)$  by simp
  hence  $f\ i \leq \text{Suc } n$  by (rule Suc)

```

```

      ultimately show ?thesis i
        by simp
    qed
  hence  $\exists i j. i \leq \text{Suc } n \wedge j < i \wedge ?f i = ?f j$ 
    by (rule Suc)
  ultimately show ?case ..
next
case (Suc k)
from search [OF nat-eq-dec] show ?case
proof
  assume  $\exists j < \text{Suc } k. f (\text{Suc } k) = f j$ 
  thus ?case by (iprover intro: le-refl)
next
assume nex:  $\neg (\exists j < \text{Suc } k. f (\text{Suc } k) = f j)$ 
have  $\exists i j. i \leq k \wedge j < i \wedge f i = f j$ 
proof (rule Suc)
  from Suc show  $k \leq \text{Suc } (\text{Suc } n)$  by simp
  fix i j assume k:  $\text{Suc } k \leq i$  and i:  $i \leq \text{Suc } (\text{Suc } n)$ 
  and j:  $j < i$ 
  show  $f i \neq f j$ 
  proof cases
    assume eq:  $i = \text{Suc } k$ 
    show ?thesis
    proof
      assume  $f i = f j$ 
      hence  $f (\text{Suc } k) = f j$  by (simp add: eq)
      with nex and j and eq show False by iprover
    qed
  next
  assume  $i \neq \text{Suc } k$ 
  with k have  $\text{Suc } (\text{Suc } k) \leq i$  by simp
  thus ?thesis using i and j by (rule Suc)
qed
qed
thus ?thesis by (iprover intro: le-SucI)
qed
qed
}
note r = this
show ?case by (rule r) simp-all
qed

```

The following proof, although quite elegant from a mathematical point of view, leads to an exponential program:

theorem *pigeonhole-slow*:

$\bigwedge f. (\bigwedge i. i \leq \text{Suc } n \implies f i \leq n) \implies \exists i j. i \leq \text{Suc } n \wedge j < i \wedge f i = f j$

proof (*induct n*)

case 0

have $\text{Suc } 0 \leq \text{Suc } 0$..

```

moreover have  $0 < \text{Suc } 0$  ..
moreover from  $0$  have  $f (\text{Suc } 0) = f 0$  by simp
ultimately show ?case by iprover
next
  case  $(\text{Suc } n)$ 
  from search [OF nat-eq-dec] show ?case
  proof
    assume  $\exists j < \text{Suc } (\text{Suc } n). f (\text{Suc } (\text{Suc } n)) = f j$ 
    thus ?case by (iprover intro: le-refl)
  next
    assume  $\neg (\exists j < \text{Suc } (\text{Suc } n). f (\text{Suc } (\text{Suc } n)) = f j)$ 
    hence nex:  $\forall j < \text{Suc } (\text{Suc } n). f (\text{Suc } (\text{Suc } n)) \neq f j$  by iprover
    let  $?f = \lambda i. \text{if } f i = \text{Suc } n \text{ then } f (\text{Suc } (\text{Suc } n)) \text{ else } f i$ 
    have  $\bigwedge i. i \leq \text{Suc } n \implies ?f i \leq n$ 
    proof -
      fix  $i$  assume  $i; i \leq \text{Suc } n$ 
      show ?thesis  $i$ 
      proof (cases  $f i = \text{Suc } n$ )
        case True
        from  $i$  and nex have  $f (\text{Suc } (\text{Suc } n)) \neq f i$  by simp
        with True have  $f (\text{Suc } (\text{Suc } n)) \neq \text{Suc } n$  by simp
        moreover from  $\text{Suc}$  have  $f (\text{Suc } (\text{Suc } n)) \leq \text{Suc } n$  by simp
        ultimately have  $f (\text{Suc } (\text{Suc } n)) \leq n$  by simp
        with True show ?thesis by simp
      next
        case False
        from  $\text{Suc}$  and  $i$  have  $f i \leq \text{Suc } n$  by simp
        with False show ?thesis by simp
      qed
    qed
    hence  $\exists i j. i \leq \text{Suc } n \wedge j < i \wedge ?f i = ?f j$  by (rule Suc)
    then obtain  $i j$  where  $i: i \leq \text{Suc } n$  and  $ji: j < i$  and  $f: ?f i = ?f j$ 
      by iprover
    have  $f i = f j$ 
    proof (cases  $f i = \text{Suc } n$ )
      case True
      show ?thesis
      proof (cases  $f j = \text{Suc } n$ )
        assume  $f j = \text{Suc } n$ 
        with True show ?thesis by simp
      next
        assume  $f j \neq \text{Suc } n$ 
        moreover from  $i ji nex$  have  $f (\text{Suc } (\text{Suc } n)) \neq f j$  by simp
        ultimately show ?thesis using True f by simp
      qed
    next
      case False
      show ?thesis
      proof (cases  $f j = \text{Suc } n$ )

```



```

    assume  $f\ j = \text{Suc } n$ 
    moreover from  $i\ nex$  have  $f\ (\text{Suc } (\text{Suc } n)) \neq f\ i$  by simp
    ultimately show ?thesis using False f by simp
  next
    assume  $f\ j \neq \text{Suc } n$ 
    with False f show ?thesis by simp
  qed
qed
moreover from  $i$  have  $i \leq \text{Suc } (\text{Suc } n)$  by simp
ultimately show ?thesis using ji by iprover
qed
qed

```

extract *pigeonhole pigeonhole-slow*

The programs extracted from the above proofs look as follows:

```

pigeonhole  $\equiv$ 
nat-rec ( $\lambda x. (\text{Suc } 0, 0)$ )
  ( $\lambda x\ H2\ xa.$ 
    nat-rec arbitrary
      ( $\lambda x\ H2.$ 
        case search ( $\text{Suc } x$ ) ( $\lambda xb. \text{nat-eq-dec } (xa\ (\text{Suc } x))\ (xa\ xb)$ ) of
          None  $\Rightarrow$  let  $(x, y) = H2$  in  $(x, y) \mid$  Some  $p \Rightarrow (\text{Suc } x, p)$ )
        ( $\text{Suc } (\text{Suc } x)$ ))

```

```

pigeonhole-slow  $\equiv$ 
nat-rec ( $\lambda x. (\text{Suc } 0, 0)$ )
  ( $\lambda x\ H2\ xa.$ 
    case search ( $\text{Suc } (\text{Suc } x)$ )
      ( $\lambda xb. \text{nat-eq-dec } (xa\ (\text{Suc } (\text{Suc } x)))\ (xa\ xb)$ ) of
      None  $\Rightarrow$ 
        let  $(x, y) = H2$  ( $\lambda i. \text{if } xa\ i = \text{Suc } x \text{ then } xa\ (\text{Suc } (\text{Suc } x)) \text{ else } xa\ i$ )
        in  $(x, y)$ 
       $\mid$  Some  $p \Rightarrow (\text{Suc } (\text{Suc } x), p)$ )

```

The program for searching for an element in an array is

```

search  $\equiv$ 
 $\lambda x\ H. \text{nat-rec } None$ 
  ( $\lambda y\ Ha.$ 
    case  $Ha$  of None  $\Rightarrow$  case  $H\ y$  of Left  $\Rightarrow$  Some  $y \mid$  Right  $\Rightarrow$  None
     $\mid$  Some  $p \Rightarrow \text{Some } p$ )
   $x$ 

```

The correctness statement for *pigeonhole* is

```

( $\bigwedge i. i \leq \text{Suc } n \Rightarrow f\ i \leq n$ )  $\Rightarrow$ 
fst (pigeonhole  $n\ f$ )  $\leq \text{Suc } n \wedge$ 
snd (pigeonhole  $n\ f$ )  $< \text{fst } (\text{pigeonhole } n\ f) \wedge$ 
 $f\ (\text{fst } (\text{pigeonhole } n\ f)) = f\ (\text{snd } (\text{pigeonhole } n\ f))$ 

```

In order to analyze the speed of the above programs, we generate ML code from them.

definition

test *n* *u* = *pigeonhole* *n* ($\lambda m. m - 1$)

definition

test' *n* *u* = *pigeonhole-slow* *n* ($\lambda m. m - 1$)

definition

test'' *u* = *pigeonhole* 8 (*op* ! [0, 1, 2, 3, 4, 5, 6, 3, 7, 8])

consts-code

arbitrary :: *nat* ({* 0::*nat* *})

arbitrary :: *nat* × *nat* ({* (0::*nat*, 0::*nat*) *})

definition

arbitrary-nat-pair :: *nat* × *nat* **where**

[*symmetric*, *code inline*]: *arbitrary-nat-pair* = *arbitrary*

definition

arbitrary-nat :: *nat* **where**

[*symmetric*, *code inline*]: *arbitrary-nat* = *arbitrary*

code-const *arbitrary-nat-pair* (*SML* (~ 1 , ~ 1))

code-const *arbitrary-nat* (*SML* ~ 1)

code-module *PH1*

contains

test = *test*

test' = *test'*

test'' = *test''*

export-code *test test' test''* **in** *SML* **module-name** *PH2*

ML *timeit* (*PH1.test* 10)

ML *timeit* (*PH2.test* 10)

ML *timeit* (*PH1.test'* 10)

ML *timeit* (*PH2.test'* 10)

ML *timeit* (*PH1.test* 20)

ML *timeit* (*PH2.test* 20)

ML *timeit* (*PH1.test'* 20)

ML *timeit* (*PH2.test'* 20)

ML *timeit* (*PH1.test* 25)

ML *timeit* (*PH2.test* 25)

ML *timeit* (*PH1.test'* 25)

ML *timeit* (*PH2.test'* 25)

ML *timeit* (*PH1.test* 500)

ML *timeit* (*PH2.test* 500)

ML *timeit* *PH1.test''*

ML *timeit* *PH2.test''*

end

7 Euclid's theorem

theory *Euclid*

imports *~~/src/HOL/NumberTheory/Factorization Efficient-Nat Util*

begin

A constructive version of the proof of Euclid's theorem by Markus Wenzel and Freek Wiedijk [4].

lemma *prime-eq*: *prime* $p = (1 < p \wedge (\forall m. m \text{ dvd } p \longrightarrow 1 < m \longrightarrow m = p))$

apply (*simp add: prime-def*)

apply (*rule iffI*)

apply *blast*

apply (*erule conjE*)

apply (*rule conjI*)

apply *assumption*

apply (*rule allI impI*)

apply (*erule allE*)

apply (*erule impE*)

apply *assumption*

apply (*case-tac m=0*)

apply *simp*

apply (*case-tac m=Suc 0*)

apply *simp*

apply *simp*

done

lemma *prime-eq'*: *prime* $p = (1 < p \wedge (\forall m k. p = m * k \longrightarrow 1 < m \longrightarrow m = p))$

by (*simp add: prime-eq dvd-def all-simps [symmetric] del: all-simps*)

lemma *factor-greater-one1*: $n = m * k \Longrightarrow m < n \Longrightarrow k < n \Longrightarrow \text{Suc } 0 < m$

by (*induct m*) *auto*

lemma *factor-greater-one2*: $n = m * k \Longrightarrow m < n \Longrightarrow k < n \Longrightarrow \text{Suc } 0 < k$

by (*induct k*) *auto*

```

lemma not-prime-ex-mk:
  assumes  $n$ :  $\text{Suc } 0 < n$ 
  shows  $(\exists m\ k. \text{Suc } 0 < m \wedge \text{Suc } 0 < k \wedge m < n \wedge k < n \wedge n = m * k) \vee$ 
prime n
proof -
  {
    fix  $k$ 
    from nat-eq-dec
    have  $(\exists m < n. n = m * k) \vee \neg (\exists m < n. n = m * k)$ 
      by (rule search)
  }
  hence  $(\exists k < n. \exists m < n. n = m * k) \vee \neg (\exists k < n. \exists m < n. n = m * k)$ 
    by (rule search)
  thus ?thesis
proof
  assume  $\exists k < n. \exists m < n. n = m * k$ 
  then obtain  $k\ m$  where  $k$ :  $k < n$  and  $m$ :  $m < n$  and  $nmk$ :  $n = m * k$ 
    by iprover
  from  $nmk\ m\ k$  have  $\text{Suc } 0 < m$  by (rule factor-greater-one1)
  moreover from  $nmk\ m\ k$  have  $\text{Suc } 0 < k$  by (rule factor-greater-one2)
  ultimately show ?thesis using  $k\ m\ nmk$  by iprover
next
  assume  $\neg (\exists k < n. \exists m < n. n = m * k)$ 
  hence  $A$ :  $\forall k < n. \forall m < n. n \neq m * k$  by iprover
  have  $\forall m\ k. n = m * k \longrightarrow \text{Suc } 0 < m \longrightarrow m = n$ 
  proof (intro allI impI)
    fix  $m\ k$ 
    assume  $nmk$ :  $n = m * k$ 
    assume  $m$ :  $\text{Suc } 0 < m$ 
    from  $n\ m\ nmk$  have  $k$ :  $0 < k$ 
      by (cases k) auto
    moreover from  $n$  have  $n$ :  $0 < n$  by simp
    moreover note  $m$ 
    moreover from  $nmk$  have  $m * k = n$  by simp
    ultimately have  $kn$ :  $k < n$  by (rule prod-mn-less-k)
    show  $m = n$ 
    proof (cases k = Suc 0)
      case True
      with  $nmk$  show ?thesis by (simp only: mult-Suc-right)
    next
      case False
      from  $m$  have  $0 < m$  by simp
      moreover note  $n$ 
      moreover from False n nmk k have  $\text{Suc } 0 < k$  by auto
      moreover from  $nmk$  have  $k * m = n$  by (simp only: mult-ac)
      ultimately have  $mn$ :  $m < n$  by (rule prod-mn-less-k)
      with  $kn\ A\ nmk$  show ?thesis by iprover
  qed
qed

```

```

    with n have prime n
    by (simp only: prime-eq' One-nat-def simp-thms)
    thus ?thesis ..
  qed
qed

lemma factor-exists: Suc 0 < n  $\implies$  ( $\exists l.$  primel l  $\wedge$  prod l = n)
proof (induct n rule: nat-wf-ind)
  case (1 n)
  from  $\langle$ Suc 0 < n $\rangle$ 
  have ( $\exists m k.$  Suc 0 < m  $\wedge$  Suc 0 < k  $\wedge$  m < n  $\wedge$  k < n  $\wedge$  n = m * k)  $\vee$  prime
  n
    by (rule not-prime-ex-mk)
  then show ?case
  proof
    assume  $\exists m k.$  Suc 0 < m  $\wedge$  Suc 0 < k  $\wedge$  m < n  $\wedge$  k < n  $\wedge$  n = m * k
    then obtain m k where m: Suc 0 < m and k: Suc 0 < k and mn: m < n
      and kn: k < n and nmk: n = m * k by iprover
    from mn and m have  $\exists l.$  primel l  $\wedge$  prod l = m by (rule 1)
    then obtain l1 where primel-l1: primel l1 and prod-l1-m: prod l1 = m
      by iprover
    from kn and k have  $\exists l.$  primel l  $\wedge$  prod l = k by (rule 1)
    then obtain l2 where primel-l2: primel l2 and prod-l2-k: prod l2 = k
      by iprover
    from primel-l1 primel-l2
    have  $\exists l.$  primel l  $\wedge$  prod l = prod l1 * prod l2
      by (rule split-primel)
    with prod-l1-m prod-l2-k nmk show ?thesis by simp
  next
    assume prime n
    hence primel [n]  $\wedge$  prod [n] = n by (rule prime-primel)
    thus ?thesis ..
  qed
qed

lemma dvd-prod [iff]: n dvd prod (n # ns)
  by simp

primrec fact :: nat  $\Rightarrow$  nat ((-) [1000] 999)
where
  0! = 1
  | (Suc n)! = n! * Suc n

lemma fact-greater-0 [iff]: 0 < n!
  by (induct n) simp-all

lemma dvd-factorial: 0 < m  $\implies$  m  $\leq$  n  $\implies$  m dvd n!
proof (induct n)
  case 0

```

```

    then show ?case by simp
next
case (Suc n)
from ⟨ $m \leq \text{Suc } n$ ⟩ show ?case
proof (rule le-SucE)
  assume  $m \leq n$ 
  with ⟨ $0 < m$ ⟩ have  $m \text{ dvd } n!$  by (rule Suc)
  then have  $m \text{ dvd } (n! * \text{Suc } n)$  by (rule dvd-mult2)
  then show ?thesis by simp
next
  assume  $m = \text{Suc } n$ 
  then have  $m \text{ dvd } (n! * \text{Suc } n)$ 
    by (auto intro: dvdI simp: mult-ac)
  then show ?thesis by simp
qed
qed

```

```

lemma prime-factor-exists:
  assumes  $N: (1::\text{nat}) < n$ 
  shows  $\exists p. \text{prime } p \wedge p \text{ dvd } n$ 
proof -
  from  $N$  obtain  $l$  where  $\text{primel-}l: \text{primel } l$ 
    and  $\text{prod-}l: n = \text{prod } l$  using factor-exists
  by simp iprover
  from  $\text{prems}$  have  $l \neq []$ 
    by (auto simp add: primel-nempty-g-one)
  then obtain  $x \text{ xs}$  where  $l: l = x \# \text{xs}$ 
    by (cases  $l$ ) simp
  from  $\text{primel-}l \text{ } l$  have  $\text{prime } x$  by (simp add: primel-hd-tl)
  moreover from  $\text{primel-}l \text{ } l \text{ prod-}l$ 
  have  $x \text{ dvd } n$  by (simp only: dvd-prod)
  ultimately show ?thesis by iprover
qed

```

Euclid's theorem: there are infinitely many primes.

```

lemma Euclid:  $\exists p. \text{prime } p \wedge n < p$ 
proof -
  let ? $k = n! + 1$ 
  have  $1 < n! + 1$  by simp
  then obtain  $p$  where  $\text{prime}: \text{prime } p$  and  $\text{dvd}: p \text{ dvd } ?k$  using prime-factor-exists
  by iprover
  have  $n < p$ 
  proof -
    have  $\neg p \leq n$ 
    proof
      assume  $pn: p \leq n$ 
      from ⟨ $\text{prime } p$ ⟩ have  $0 < p$  by (rule prime-g-zero)
      then have  $p \text{ dvd } n!$  using  $pn$  by (rule dvd-factorial)
      with  $\text{dvd}$  have  $p \text{ dvd } ?k - n!$  by (rule dvd-diff)
    qed
  qed

```

```

    then have  $p \text{ dvd } 1$  by simp
    with prime show False using prime-nd-one by auto
  qed
  then show ?thesis by simp
  qed
  with prime show ?thesis by iprover
  qed

```

```

extract Euclid

```

The program extracted from the proof of Euclid’s theorem looks as follows.

$Euclid \equiv \lambda x. \text{prime-factor-exists } (x! + 1)$

The program corresponding to the proof of the factorization theorem is

```

factor-exists  $\equiv$ 
 $\lambda x. \text{nat-wf-ind-}P \ x$ 
  ( $\lambda x \ H2.$ 
    case not-prime-ex-mk  $x$  of None  $\Rightarrow [x]$ 
    | Some  $p \Rightarrow \text{let } (x, y) = p \text{ in split-primel } (H2 \ x) (H2 \ y))$ 

```

```

consts-code
  arbitrary ((error arbitrary))

```

```

code-module Prime
contains Euclid

```

```

ML Prime.factor-exists 1007
ML Prime.factor-exists 567
ML Prime.factor-exists 345
ML Prime.factor-exists 999
ML Prime.factor-exists 876

```

```

ML Prime.Euclid 0
ML Prime.Euclid it
ML Prime.Euclid it
ML Prime.Euclid it

```

```

end

```

References

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- [2] T. Coquand and D. Fridlender. A proof of Higman’s lemma by structural induction. Technical report, Chalmers University, November 1993.
- [3] A. Nogin. Writing constructive proofs yielding efficient extracted programs. In D. Galmiche, editor, *Proceedings of the Workshop on Type-Theoretic Languages: Proof Search and Semantics*, volume 37 of *Electronic Notes in Theoretical Computer Science*. Elsevier Science Publishers, 2000.
- [4] M. Wenzel and F. Wiedijk. A comparison of the mathematical proof languages Mizar and Isar. *Journal of Automated Reasoning*, 29(3-4):389–411, 2002.